

9-27-2017

## 2017 September 27 -- Agenda and Attachments

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# UConn

UNIVERSITY OF CONNECTICUT

*Board of*



# TRUSTEES

VOL. 176 SEPTEMBER 27, 2017

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
NextGen Residence Hall  
Room 112  
2378 Alumni Drive  
Storrs, Connecticut

September 27, 2017

**BOARD OF TRUSTEES SCHEDULE**

9:00 a.m.	Academic Affairs Committee Meeting (Room 112)
9:15 a.m.	Financial Affairs Committee Meeting (Room 112)
9:30 a.m.	Board of Trustees Meeting (Room 112)

**BOARD MEETING AGENDA**

Call to order at **9:30 a.m.**

1. Public Participation
2. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meeting of August 2, 2017
  - (c) Consent Agenda Items:
    - (1) Contracts and Agreements for the Storrs-based Programs (Attachment 1)
    - (2) Annual Report of the Endowed Chair Program Entitled "Aetna English Chair of Writing" (Attachment 2)
    - (3) Tenure at Hire (Attachment 3)
    - (4) Designation of Emeritus Status (Attachment 4)
    - (5) Sabbatical Leave Recommendations (Attachment 5)
    - (6) Appointment of Professor Heather Elliott-Famularo to the Donna Krenicki Professorship in Digital Media and Design in the School of Fine Arts (Attachment 6)
    - (7) Appointment of Professor Brendan Maher to the Connecticut Mutual Chair in Insurance Law in the School of Law (Attachment 7)
    - (8) "Executive" Master of Laws (LLM) Program in Seoul, South Korea (Attachment 8)
    - (9) Bachelor's Degree in Social Work (Attachment 9)
    - (10) Peter J. Werth Institute for Entrepreneurship and Innovation (Attachment 10)
    - (11) Peter J. Werth Residence Tower (Attachment 11)
    - (12) Reports of Endowed Chairs for the Period July 1, 2016, to June 30, 2017 (UConn Health) (Attachment 12)
  - (d) Election of Board Secretary

3. President's Report
4. Academic Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Informational Items:
    - (1) Tenure-track Reappointments (Attachment 13)
    - (2) Centers and Institutes (Attachment 14)
5. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) FY 17 Deferred Maintenance and Equipment Expenditures (Attachment 15)
    - (2) Project Budget (Revised Final) for Engineering Building – Engineering & Science Building (Attachment 16)
    - (3) Project Budget (Revised Final) for North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III (Attachment 17)
    - (4) Project Budget (Final) for Stamford Parking Garage Demolition (Attachment 18)
    - (5) Project Budget (Final) for Farms Buildings Repair/Replacement – Spring Hill Farm ABSL2 Facility (Attachment 19)
    - (6) Project Budget (Revised Final) for UCONN 2000 Code Remediation: Wilber Cross Building (Attachment 20)
    - (7) Project Budget (Design) for Stamford Campus Surface Parking (Attachment 21)
    - (8) Project Budget (Revised Planning) for Academic and Research Facilities – STEM Research Center Science 1 (Attachment 22)
    - (9) Project Budget (Planning) for the Supplemental Utility Plant Project (Attachment 23)
    - (10) Project Budget (Revised Final) for the UConn Health Elevator 24 & 25 Modernization and Sill Repairs (Attachment 24)
    - (11) Project Budget (Revised Final) for the UConn Health Main Accumulation Building (Attachment 25)
  - (c) Informational Item:
    - (1) Notice to Board of Trustees and the Public that the University is Considering the Adoption of Procurement Policies Pursuant to Public Act 17-130 (Attachment 26)
  - (d) Presentation:
    - (1) Presentation: Update on Implementation of New Medical Record System at UConn Health  
 Presenter: Executive Vice President for Health Affairs Agwunobi



6. UConn Health Report
  - (a) Report on UConn Health activities
7. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
  - (b) Item requiring Board discussion and approval:
    - (1) Sewer Main Easement to Town of Mansfield (Attachment 27)
9. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
10. Student Life Committee Report
  - (a) Report on Committee activities
11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
12. Committee on Compensation Report
  - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated
15. Adjournment

**PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.***

## **ATTACHMENT 1**

CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
SEPTEMBER 27, 2017

PROCUREMENT - AMENDMENTS

CHEMICALS - SNOW AND ICE CONTROL

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
1	Chemical Solutions, Inc.	DS071015-1-1	\$225,121 [Contract Value Previously \$900,000; Total New Contract Value \$1,125,121]	10/02/15-07/01/18	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	\$757,478	\$204,158	\$553,320	Chemicals for snow and ice control for all University campuses, excluding UCH. <b>Amend to increase contract value \$225,221, for total new contract value of \$1,125,221.</b> Two extensions of one year each remain.

IT CONSULTING

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
2	Navigator Management Partners, LLC	UC-15-KJ022114-4	\$0 [Contract Value Previously \$3,000,000; Contract Value Remains the Same]	11/01/14-10/31/18	Multiple Sources	Charles Eaton, Controller	\$1,705,547	\$1,310,011	\$395,536	Kuali Systems and Business Intelligence consulting services for all University campuses. <b>Amend to extend contract term one year, through 10/31/18.</b> One extension of one year remains.
3	Vivantech Inc.	UC-15-KJ022114-5	\$0 [Contract Value Previously \$3,000,000; Contract Value Remains the Same]	11/01/14-10/31/18	Multiple Sources	Charles Eaton, Controller	\$630,803	\$0	\$424,615	Kuali Systems and Business Intelligence consulting services for all University campuses. <b>Amend to extend contract term one year, through 10/31/18.</b> One extension of one year remains.

IT HARDWARE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
4	ePlus Technology Inc.	UC-16-SF071116-G	\$1,000,000 [Contract Value Previously \$800,000; Total New Contract Value \$1,800,000]	05/15/17-05/15/20	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$269,019	\$269,019	\$0	Operating system, network, and security hardware and services for all University campuses, including UCH. <b>Amend to increase contract value by \$1,000,000, for total new contract value of \$1,800,000.</b> Two extensions of three years each remain.
5	Mainline Information Systems, Inc.	UC-16-SF071116-I	\$1,000,000 [Contract Value Previously \$800,000; Total New Contract Value \$1,800,000]	06/01/17-06/01/20	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$0	\$0	\$0	Operating system, network, and security hardware and services for all University campuses, including UCH. <b>Amend to increase contract value by \$1,000,000, for total new contract value of \$1,800,000.</b> Two extensions of three years each remain.
6	NWN Corporation	UC-16-SF071116-C	\$1,000,000 [Contract Value Previously \$800,000; Total New Contract Value \$1,800,000]	04/24/17-04/24/20	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$430,267	\$0	\$0	Operating system, network, and security hardware and services for all University campuses, including UCH. <b>Amend to increase contract value by \$1,000,000, for total new contract value of \$1,800,000.</b> Two extensions of three years each remain.

**CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
SEPTEMBER 27, 2017**

**JANITORIAL EQUIPMENT AND SUPPLIES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
7	C & C Janitorial Supplies, Inc.	MHEC # MC15-G17	\$939,315 [Contract Value Previously \$1,978,945; Total New Contract Value \$2,918,260]	10/01/12-10/31/18	Operating Funds-General	Michael Jednak, AVP Facilities Operations & Building Services	\$1,793,121	\$1,359,771	\$361,734	Janitorial supplies and equipment for all University campuses, other than UCH. <b>Amend to increase contract value \$939,315, for total new contract value of \$2,918,260. Amend to extend contract term one year, through 10/31/18.</b> Purchases are through a consortium contract. Extensions may be exercised by the consortium.

**MECHANICAL SYSTEMS**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
8	New England Mechanical Services, Inc.	UC-15-LM040114-1-5	\$765,340 [Contract Value Previously \$3,322,995; Total New Contract Value \$4,088,335]	10/09/14-06/30/18	Multiple Sources	Michael Jednak, AVP Facilities Operations & Building Services	\$2,870,566	\$1,351,433	\$1,153,715	HVAC mechanical services for all University campuses, including UCH. <b>Amend to increase contract value by \$765,340, for total new contract value of \$4,088,335.</b> One extension of one year remains.
9	B-G Mechanical Service, Inc. (formerly known as Titan Mechanical)	UC-15-LM040114-1-9	\$350,529 [Contract Value Previously \$1,350,000; Total New Contract Value \$1,700,529]	10/09/14-06/30/18	Multiple Sources	Michael Jednak, AVP Facilities Operations & Building Services	\$1,350,000	\$539,069	\$416,682	HVAC mechanical services for all University campuses, including UCH. <b>Amend to increase contract value by \$350,529, for total new contract value of \$1,700,529.</b> One extension of one year remains.

**NAVAL ENGINEERING**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
10	Blount Boats, Inc.	011416AA-1	\$0 [Contract Value Previously \$2,739,970; Contract Value Remains the Same]	01/31/17-11/30/17	Multiple Sources	Jeremy Teitelbaum, Interim Provost & Executive Vice President for Academic Affairs	\$2,117,252	\$1,868,165	\$0	Extensive modification of R/V CT Research Vessel. <b>Amend to extend contract term three months, through 11/30/17.</b> Zero extensions remain.

**ON-CALL CONSULTING SERVICES - CIVIL AND STRUCTURAL ENGINEERING**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
11	Tighe & Bond, Inc.	012.1-11-500-22916	\$500,000 [Contract Value Previously \$500,000; Total New Contract Value \$1,000,000]	03/01/13-04/30/19	Multiple Sources	Matthew Larson, Director of Procurement Services	\$275,618	\$0	\$27,500	On-call professional services for all University campuses, including UCH. <b>Amend to increase contract value by \$500,000, for total new contract value of \$1,000,000. Amend to extend contract term one year, through 04/30/19.</b> Zero extensions remain.

CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
SEPTEMBER 27, 2017

**ON-CALL CONSULTING SERVICES - GENERAL ENVIRONMENTAL ENGINEERING**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
12	Fuss & O'Neill, Inc.	005-1-500-103117	\$1,000,000 [Contract Value Previously \$500,000; Total New Contract Value \$1,500,000]	11/01/14-10/31/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$123,323	\$75,483	\$37,753	On-call professional services for all University campuses, including UCH. <b>Amend to increase contract value by \$1,000,000, for total new contract value of \$1,500,000. Amend to extend contract term one year, through 10/31/18.</b> Zero extensions remain.

**ON-CALL CONSULTING SERVICES -HAZARDOUS MATERIAL/INDUSTRIAL HYGIENE ASSESSMENT DESIGN**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
13	ATC Group Services LLC (d/b/a Cardno ATC)	004-1-500-103117	\$500,000 [Contract Value Previously \$950,000; Total New Contract Value \$1,450,000]	11/01/14-10/31/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$777,884	\$88,186	\$238,051	On-call professional services for all University campuses, including UCH. <b>Amend to increase contract value by \$500,000, for total new contract value of \$1,450,000. Amend to extend contract term one year, through 10/31/18.</b> Zero extensions remain.

**SYSTEMS INTEGRATOR**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/31/17	Expenditures FY17	Expenditures FY16	Purpose
14	Array Systems, LLC	UC-LM111212-1	\$900,000 [Contract Value Previously \$950,000; Total New Contract Value \$1,850,000]	02/04/13-12/31/18	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	\$878,056	\$139,012	\$168,073	Design, install, program and maintain instrumentation and distribution control systems for Cogeneration Facility and Reclaimed Water Facility on the Storrs campus. <b>Amend to increase contract value by \$900,000, for total new contract value of \$1,850,000. Amend to extend contract term one year through 12/31/18.</b> Zero extensions remain.

## **ATTACHMENT 2**

September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: Annual Report of the Endowed Chair Program Entitled "Aetna Chair of Writing"

RECOMMENDATION:

In accordance with statute, the Board of Trustees directs the submittal of annual reports prepared by the University Administration to the Office of Higher Education concerning the endowed chair expenditures for the Endowed Chair Program Entitled "Aetna Chair of Writing".

BACKGROUND:

The Aetna Chair of Writing is under the direction of Professor Brenda Jo Brueggemann and is funded jointly by the UConn Foundation and the Office of Higher Education Investment Fund. It was established during the 1988-89 fiscal year as authorized by C.G.S. Section 10a-20a. Subsection (f) of the statute states that "the Board of Trustees shall submit annual reports to the Office of Higher Education concerning the endowed chair expenditures."

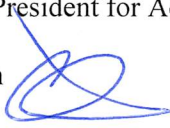
The 2017 annual report consists of a financial report and a narrative report by Professor Brueggemann.

Attachments



DATE: August 31, 2017

TO: Scott A. Jordan  
Executive Vice President for Administration and Chief Financial Officer

FROM: Charles H. Eaton   
Controller

RE: Aetna Chair of Writing

Enclosed is a financial report for the Aetna Chair of Writing program, under the direction of Professor Brueggemann, for fiscal year ended June 30, 2017. The report provides the separate financial operations of the program managed by the University and the UConn Foundation, along with the combined total. The expenditures for fiscal year 2017 totaled \$45,134.31.

Professor Brueggemann has provided a narrative of the writing program's operation for fiscal year 2017, which is also enclosed. Section 10a-20a (f) of the Connecticut General Statutes requires an annual report approved by the University's Board of Trustees to be submitted to the Office of Higher Education (OHE) for the Endowed Chair of Writing.

Additional notes are provided on the financial report disclosing the year-end balances of the Foundation and OHE endowment funds.

Thank you for your assistance in this matter. If you should have any questions or need any additional information, please do not hesitate to contact me.

Enclosures

c: B. Brueggemann  
R. Hasenfratz  
G. Ganz



University of Connecticut and  
University of Connecticut Foundation  
Aetna Chair of Writing  
Fiscal Year Ended June 30, 2017

	University	Foundation	Combined Total
Beginning Balance as of July 1, 2016	\$32,828.61	\$46,881.61	\$79,710.22
<u>Revenue</u>			
Pooled Endowment Income Allocation	0.00	37,236.79	37,236.79
Transferred From UConn Foundation	45,134.31	(45,134.31)	0.00
TOTAL REVENUES (EXPENDITURES)	45,134.31	(7,897.52)	37,236.79
<u>Expenditures</u>			
Salary & Wages	800.00	0.00	800.00
Printing and Binding	5,828.35	0.00	5,828.35
Conferences and Meetings	3,967.40	0.00	3,967.40
Travel	1,507.15	0.00	1,507.15
Outside Professional Services	5,171.86	0.00	5,171.86
TOTAL Contractual Services	16,474.76	0.00	16,474.76
Office Supplies	85.16	0.00	85.16
Books	213.39	0.00	213.39
TOTAL Commodities	298.55	0.00	298.55
Student Aid Fees	27,561.00	0.00	27,561.00
TOTAL Sundry Charges	27,561.00	0.00	27,561.00
TOTAL EXPENDITURES	45,134.31	0.00	45,134.31
Ending Balance as of June 30, 2017	\$32,828.61	\$38,984.09	\$71,812.70

This endowed chair is not supported by any University funds, but the University maintains accounts which receive revenue from the Foundation and the Office of Higher Education (OHE). These University accounts disburse the funds in support of the program including all funds transferred from the Foundation and the OHE. There were no transfers from the OHE in fiscal year 2017. The first column above summarizes the University's revenue and expenditure activity for this chair. The Foundation also disburses certain expenses directly to third parties on behalf of the University as included above in the second column in fiscal year 2017, although there were no expenses in fiscal year 2017. For fiscal year 2017, the University beginning balance was adjusted for a prior period adjustment related to fiscal years 2014 and 2008.

The Aetna Chair of Writing has funds held at the Foundation and the OHE. The Foundation endowed fund was established with a gift of \$506,989 (amount permanently restricted) in 1988 and was valued at \$852,148.52 at June 30, 2017, which included accumulated gains/losses on the original gift. The spendable portion of the Foundation endowed fund was \$38,984.09 as of June 30, 2017. The OHE endowed fund was established with \$500,000 of state funds and is invested in the Endowed Chair Investment Fund (managed by the State Treasurer). The interest earned on this fund supports the Aetna Chair of Writing. The value of this fund was \$512,620 at June 30, 2017, including principal of \$500,000 and interest of \$12,620.

## **Annual Report, Aetna Chair of Writing, 2016-2017**

This was yet another transitional year for the Aetna Chair of Writing. In spring 2015 Lynn Z Bloom, Board of Trustees Distinguished Professor and inaugural holder of the Aetna Chair of Writing, retired after three decades of service to the University of Connecticut. To fill the one-year gap between the outgoing and incoming Aetna Chairs, during 2015-2016, Professor Thomas Deans (Department of English) stepped in to maintain stewardship of the programs sponsored by the endowment.

In August 2016, Professor Brenda Jo Brueggemann arrived at the University of Connecticut to begin a new term as the Aetna Chair of Writing. Professor Brueggemann is a scholar of international reputation who works at the intersections of writing, rhetoric, disability studies, and creative nonfiction. She has edited major journals, led international scholarly associations, coordinated innovative interdisciplinary projects, received major grants, and written or edited nine books. At her previous academic institutions—most recently the University of Louisville (3 years) and before that Ohio State (21 years)—she won teaching awards, mentored many graduate students into successful academic careers, and founded nationally lauded programs in writing, diversity, disability, and public engagement. Professor Brueggemann is also serving as the Director of UConn's First-Year Writing Program.

Much of the 2016-2017 year's Aetna Chair activities were about learning the Aetna programs' landscape and "taking stock" for Professor Brueggemann. The investiture ceremony for the Aetna Chair (and two other endowed Chairs in The College of Liberal Arts and Sciences) was held on April 5, 2017.

The writing program events and activities sponsored by the Aetna endowment touch a wide range of departments across UConn, and some extend well beyond campus to enrich the teaching of writing across the State of Connecticut:

### **Connecticut Writing Project**

UConn's Connecticut Writing Project, led by Director Jason Courtmanche, is the only site in the nation with an endowment, a half million dollars generously provided by the Aetna Foundation (begun in 1985), initially with matching funds from the State Department of Higher Education. For the past twenty-eight (28) years, the Aetna Chair of Writing Endowment has provided \$24-30,000 to fund invitational fellowships for teachers participating in the Connecticut Writing Project five-week Summer Institute. Over the years, Fellows have included teachers from all levels, kindergarten to college, and a wide range of schools, from high need to college. The variety of subsequent awards and appointments for Summer Institute participants attests to their impressive qualities as educators and administrators. These include Connecticut Teacher of the Year awards; graduate fellowships for bilingual education, masters, doctoral, and post-doctoral work; positions as department head, program director, and dean; publications of their own (including a novel), journal editorship (*ALAN Review*, *Massachusetts Review*), awards for Teaching Writing (College Board) and Human and Civil Rights (Connecticut Education Association); and Scholastic Writing Awards for their students.

One consequence of such a strong state writing project program is its ability to engender additional funding from other sources. Thus the Connecticut Writing Project's demonstrated success led to an award from its parent umbrella organization, the National Writing Project. In November 2016 both Jason Courtmanche, CWP Director, and Brenda Brueggemann, Aetna Chair of Writing, attended the National Council of Teachers of English conference (in Atlanta, Georgia) and participated in several of the National Writing Project's sessions and their evening reception at that annual convention.

On June 24, 2017 the Connecticut Writing Project hosted a banquet at the Rome Ballroom in honor of its 35th year. The inaugural Aetna Chair, Emeritus Professor Lynn Z Bloom, delivered the keynote talk for that evening, "Why True Stories Matter."

### **First-Year Writing**

On April 7, 2017, The Aetna Chair of Writing again co-sponsored the Twelfth annual Conference on the Teaching of Writing. The theme of this year's conference was "Teaching Writing with Humility and Conviction. With 105 registrations and 13 sessions featuring 47 total presenters (from 13 different states), the conference featured a lunch-time keynote address delivered by Professor John Duffy (Notre Dame), "Radical Humilities: Post-Truth, Ethics, and the Teaching of Writing." The conference closed with a writing prompt workshop--facilitated by the Aetna Chair (Brueggemann) and the keynote speaker, Professor Duffy--based on the the issues and elements gained by conference goers over the course of the day. The Aetna Graduate Teaching (of Writing) award was also awarded during the conference lunch to English PhD student, Meghan Burns.

Each spring this conference brings a scholar of national prominence to campus, creates a regional forum for researchers in writing studies to deliver papers, and serves as a professional development opportunity for our own First-Year Writing instructors at Storrs and the regional campuses, as well as in the Early College Experience program.

### **Regional campuses**

The Aetna endowment continues to support, in small but meaningful ways, the important work of writing on UConn's regional campuses. At the Waterbury campus in 2016-2017 Aetna funds were used for writing-related activities during their Academic Integrity Awareness Week, the "Waterbury Writes" event, and a series of workshops/speakers focused on advancing multimodal approaches in the writing classrooms (for Waterbury instructors of first-year writing courses). Likewise, The Stamford campus makes use of Aetna funds to support a series of first-year writing instructor workshops with topics chosen by the instructors themselves. With partial funding from the Aetna endowment, the Avery Point campus carried out 8 different Friday "writing retreats" --open to faculty and students alike-- where an entire day was spent together, with an ocean view, just writing; these retreats have become quite popular.

### **Creative Writing**

The Aetna Endowment supports publication of the award-winning *Long River Review* (student literary magazine); Poetic Journeys (poetry on campus buses, in Babbidge Library, and the Austin Building); undergraduate and graduate creative writing awards; and a host of speakers and guest teachers. The Aetna funded short-term writer-in-residence program enables students to work with (and be inspired by) widely published, award-winning authors with international reputations, who also give public readings during their residencies.

Brief synopses of the writers, publications, and events sponsored (or co-sponsored) by the Aetna endowment during the 2016-2017 academic year include these:

Amber Dermont / Aetna Writer-in-Residence. October 20, 2017.

Dermont is the author of the novel, *The Starboard Sea* (2012), and the short story collection, *Damage Control* (2014). A graduate of the Iowa Writers' Workshop, Dermont received her PhD in literature and creative writing from the University of Houston. Her short fiction has appeared in *TriQuarterly*, *Tin House*, *Zoetrope: All-Story*, and in the anthologies *Best New American Voices*, *Best American Nonrequired Reading*, *The Worst Years of Your Life*, and *Home of the Brave*. A recipient of fellowships from InPrint, the Bread Loaf Writers' Conference, the Sewanee Writers' Conference, and a Literature Fellowship from the National Endowment for the Arts. She currently serves as an Associate Professor of English and creative writing at Rice University in Houston, Texas.

Kimiko Hahn / Aetna Writer-in-Residence. March 22, 2017.

Hahn was born in Mt. Kisco, New York, the child of artists, a Japanese American mother from Hawaii and a German American father from Wisconsin. She received a BA from the University of Iowa and an MA from Columbia University. She is the author of ten collections of poetry including *Air Pocket* (1989), *The Artist's Daughter* (2002), and *Toxic Flora* (2010). She is the recipient of fellowships from the National Endowment for the Arts and the New York Foundation for the Arts, the Theodore Roethke Memorial Award, and the American Book Award. She is a Distinguished Professor in the English department at Queens College/CUNY.

M. T. Anderson / Aetna Celebration of Creative Nonfiction. April 11, 2017. (*Co Sponsored with the Rightor's Fund for Children's Literature*)

Anderson has written a wide variety of titles including works of fantasy and satire for a range of ages. He was educated in English literature at Harvard University and Cambridge University, and received his MFA in creative writing from Syracuse University. He is the author of fifteen novels including *Thirsty* (1997) and *Avenue Q, or, The Smell of Danger* (2010), and four picture books, *Handel, Who Knew What He Liked* (2001) and *The Serpent Came to Gloucester* (2005). In 2006, he won the National Book Award.

Poetic Journeys Release Party. April 24, 2017.

Poetic Journeys was developed by the Creative Writing Program at the University of Connecticut and inspired by the New York MTA's Poetry in Motion series, itself inspired by London's Poems on the Underground. Poetic Journeys features poems written by UConn students, faculty, and staff on placards designed by students in the University's Design Center.

Poetic Journeys began in the Fall of 2000, and subsequent series have been published annually. Poetic Journeys grants writers and designers a unique collaborative experience. It offers the campus community and visitors a poetic respite from their busy days, and an opportunity, each time they board a bus, to embark on a different kind of journey. Program sponsors include the Creative Writing Program, the Design Center, the Aetna Chair of Writing, the UConn Cooperative Bookstore, and UConn Transportation.

*Long River Review* Release Party. April 27, 2017.

2017 marked 20 years that UConn students have come together to collaborate and produce the award-winning literary magazine the *Long River Review*, comprised of poetry, translations, fiction, creative nonfiction, interviews, and art.

### **University Writing Center**

Aetna Endowment funding helps the Writing Center sustain its nationally recognized range of programs. Endowment funding also drives the annual Writing in the Disciplines Awards, which recognize excellent undergraduate writing in the sciences, social sciences, humanities, and professional schools. Three (3) UConn undergraduate students were awarded prizes for their writing in Science and Engineering, Social Sciences, Humanities classes at the annual Aetna Writing Awards night ceremony On October 27, 2016; six (6) additional students were awarded Honorable Mention prizes in these three disciplinary areas as well.

### **Graduate Programs and Awards**

Some Aetna Endowment funds were disbursed to allow undergraduate and graduate students to attend professional conferences related to writing. English PhD student Melissa Bugdal received a graduate research travel award toward her participation and paper presentation at the International Writing Centers Conference in Denver, CO (Oct. 16-18, 2016). Two English PhD students, Gabriel Morrison and Kathryn Warrender, also received graduate research awards toward their participation at the week-long Digital Media and Composition (DMAC) Institute held at The Ohio State University (May 10-17, 2017). Finally, at the annual Aetna Awards night, a graduate critical writing prize was awarded to English PhD student, Daniel Graham (for a paper written for Professor Christopher Vials).

### **Critical and First Year Essay Prizes**

Now in its 27th year, the annual Aetna Writing Prize Award evening took place on October 27, 2016. These prizes recognize outstanding writing by first-year students, graduate students, and Aetna Fellows.

In addition to the Writing in the Disciplines awards (see above) and the graduate critical writing award (see above), a first prize and two honorable mentions were also awarded for a first-year writing student essay and three (3) winners (and six honorable mentions) were given in Poetry, Prose Fiction and Prose Non-Fiction for the Connecticut Writing Project's Teacher-Consultant Writing Contest.

### **Research and Grant Writing**

In an attempt to make use of the Aetna Chair of Writing's position and long history of excellence in the support of writing-related work at UConn, Professor Brueggemann collaborated with four other UConn colleagues (in English Studies, The Human Rights Institute, Women's Gender & Sexuality Studies, and Human Development/Family Studies) on developing a grant application to UConn's Academic Plan REP, Round 3. (<http://academicvision.uconn.edu/round-3/>) Professor Brueggemann and Professor Cathy Schlund Vials were the co-PIs on the application, "Writing Disability Rights." While the application was not funded in this round, there are further plans to seek extramural funding for the project.

### **Future plans**

Having used this initial year to gain a more solid sense of the ways in which the Aetna endowment funds are used to support various writing-related programs and initiatives at UConn and beyond, Professor Brueggemann has also now begun to develop a few new ideas for future initiatives.

First, the Aetna Writing Awards event, typically held during October, will be moved to (end of) Spring 2018 and combined with a larger showcase and celebration of student writing at UConn. A much larger “poster session” kind of event will take place in the Student Union on the afternoon of April 19, 2018 and will feature outstanding writing projects completed by UConn students throughout the 2016-2017 year (as nominated by their instructors). This celebration event will be concluded with a reception and announcement of the “best of” awards in the late afternoon; this event will also ideally feature student writing from the ECE-English courses taken by over 3,000 high school students in 93 Connecticut high schools and they will also receive awards. Finally, this Celebration of UConn Student Writing event will serve as the opening event for the 2018 Conference on the Teaching of Writing, which will then take place on Friday, April 20, 2018.

Second, the Aetna Chair would like to use the leverage of the endowment to help gain partnership and further funding support for the First-Year Writing Program’s new Writing Across Technology (WAT) initiative. With the WAT initiative, we are ready to redefine how students write in their First-Year Writing courses at UConn. Through WAT, our students will learn how to critically and creatively compose work in multiple media for a wide audience with a continued emphasis on the conceptual and technical skills all writers use and need. The UConn First-Year Writing Program’s WAT initiative knits together two somewhat similar strands: critical digital literacy and multimodal composing.

Third, the Aetna Chair aims to seek additional donors toward the further advancement of writing on UConn’s 5 campuses (Storrs, Avery Point, Hartford, Stamford, Waterbury), in its extensive Early College Experience (ECE) English program throughout over 90 Connecticut high schools, and through an even greater public engagement with writing in the state of Connecticut.

Fourth, in 2017-2018, the Aetna Chair will be looking for new (and additional) advisory board members that will represent an engagement with, and investment in, writing not just on the Storrs campus, but throughout the ECE-English high schools, the regional campuses, and the Connecticut public at large.

**Background:** In 1985 the Aetna Foundation gave UConn a half million dollars to support the Connecticut Writing Project and related writing activities. This money was deposited in a well-managed UConn Foundation account. The State of Connecticut matched Aetna’s half million dollar donation and those funds are invested in a short-term investment fund at the State of Connecticut

**Current status:** Aetna Endowment funds, supplemented by interest from the matching state grant, have enabled us to sustain the myriad of activities identified here on a \$45,200 budget for FY 2017. Recent advice from the UConn Foundation and Director of the College of Liberal Arts and Sciences (CLAS) Development indicates, however, that the amount currently generated annually from the Aetna

endowment funds is around \$36,000; as such, the *balance* of the spendable portion of these funds is being spent down while we are also seeing less *accumulation* from the funds year to year, while the needs (and budget/spending requests for the funds) are growing.

The University of Connecticut can be justifiably proud of the activities of its undergraduate and graduate students and faculty in rhetoric and composition on state, regional, national, and international levels, made possible in part by the Aetna Chair of Writing Endowment.

Respectfully submitted,

Brenda Jo Brueggemann  
Professor of English  
Aetna Chair of Writing  
Director, First-Year Writing Program

July 10, 2017

## **Aetna Chair of Writing Approved Budget FY18**

### **Block Accounts**

CWP-Block \$21k, \$3k rollover	\$24,000.00
Creative Writing	\$10,000.00
Campus Writing Ctr [prizes, bootcamps, celebration, spkrs]	\$1,000.00
First Year Writing {prof. development, conference}	\$6,050.00
Writing Internship Program, R. Fairbanks	\$500.00
Regional Campus Support:	
Avery Point [writing retreats]	\$500.00
Hartford Campus [Writing Hartford]	\$500.00
Waterbury Campus [writing events]	\$400.00
Stamford Campus [instructor meetings]	\$300.00

### **Aetna**

Aetna Prizes	\$2,000.00
Student Travel [not to exceed \$500/student]	\$3,000.00
Discretionary	\$3,000.00
<b>Total Approved Budget FY18</b>	<b>\$51,250.00</b>



## **ATTACHMENT 3**

**TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT  
PRESENTED TO THE BOARD OF TRUSTEES — September 27, 2017**

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**TENURE AS PROFESSOR**

**Effective September 27, 2017:**

Sherry Pagoto

Allied Health Sciences

College of Agriculture, Health  
and Natural Resources

**Effective December 29, 2017:**

Heather Elliott-Famularo

Digital Media and Design

School of Fine Arts

## **ATTACHMENT 4**

**University of Connecticut Department of Human Resources  
Emeritus Retirees  
September 27, 2017 Board of Trustees Meeting**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>RETIRED</b>	<b>HIRED</b>
Arlene Diane Albert	Professor	Molecular & Cell Biology	Liberal Arts & Sciences	09/01/2017	08/23/1997
Loftus E. Becket	Professor		School of Law	08/01/2017	09/01/1984
Thomas Jude Boucher	Extension Educator	Extension	Ag, Health & Natural Resources	06/01/2017	07/07/1986
Robert G. Cromley	Professor	Geography	Liberal Arts & Sciences	08/01/2017	09/01/1983
Deborah Dancy	Professor	Art & Art History	School of Fine Arts	06/01/2017	09/01/1981
Karen K. Filchak	Extension Educator	Extension	Ag, Health & Natural Resources	06/01/2017	04/20/1979
Jean A. Givens	Professor	Art & Art History	School of Fine Arts	09/01/2017	09/01/1987
Timothy Hunter	Professor	Digital Media & Design	School of Fine Arts	07/01/2017	08/23/2007
Devendra S. Kalonia	Professor	Pharmaceutical Sciences	School of Pharmacy	06/01/2017	09/01/1982
David B. Miller	Professor	Psychological Sciences	Liberal Arts & Sciences	06/01/2017	09/01/1980
John D. Phillips	Assoc. Professor	Accounting	School of Business	04/01/2017	01/01/1999
Gary N. Powell	Professor	Management	School of Business	06/01/2017	09/01/1976
JoAnn Robinson	Professor	Human Dev. & Family Studies	Liberal Arts & Sciences	06/01/2017	08/23/2004
William T. Ross Jr.	Professor	Marketing	School of Business	08/22/2017	08/23/2010
Deborah Shelton	Professor		School of Nursing	07/01/2017	08/23/2004
Susan Spiggle	Assoc Professor	Marketing	School of Business	06/01/2017	01/01/1982
Jeffrey L. Tollefson	Professor	Mathematics	Liberal Arts & Sciences	07/01/2017	09/01/1974
Gregory Weidemann	Professor	Dean's Office	Ag, Health & Natural Resources	06/01/2017	07/04/2008
Robert Wyss	Professor	Journalism	Liberal Arts & Sciences	07/01/2017	08/23/2002

## **ATTACHMENT 5**

University of Connecticut Office of the Provost  
Sabbatical Leave Recommendations Requiring Board of Trustees Approval  
September 27, 2017 Board of Trustees Meeting

**SABBATICAL MODIFICATIONS/POSTPONEMENTS**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY PERIOD</u></b>
Bock, Monica	Associate Professor	Art & Art History	Fine Arts	Half CY 2018 AY 18-19
Cote, Robin	Professor	Physics	Liberal Arts and Sciences	Half CY 2018 CY 2023
Markus, Etan	Professor	Psychological Sciences	Liberal Arts and Sciences	Full Spring 2018 Spring 2019
Ramprasad, Rampi	Professor	Materials Science & Engineering	Engineering	Full Fall 2017 Spring 2020
Shor, Leslie	Associate Professor	Chemical & Biomolecular Engineering	Engineering	Half AY 17-18 CY 2018
Willen, Sarah	Associate Professor	Anthropology	Liberal Arts and Sciences	Full Fall 2017  Half AY 17-18


**SABBATICAL LEAVE REQUESTS**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY PERIOD</u></b>
Bravo-Ureta, Boris	Professor	Agricultural and Resource Economics	Agriculture, Health and Natural Resources	Full Spring 2018
Lykotrafitis, George	Associate Professor	Mechanical Engineering	Engineering	Full Fall 2018
Ma, Anson	Associate Professor	Chemical & Biomolecular Engineering	Engineering	Half AY 17-18
Marsden, James	Dist. Professor	Operations & Information Management	Business	Full Fall 2018

## **ATTACHMENT 6**

September 27, 2017

TO: Members of the Board of Trustees

FROM: Jeremy Teitelbaum, Interim Provost 

RE: Appointment of Professor Heather Elliott-Famularo to the Donna Krenicki  
Professorship in Design and Digital Media in the School of Fine Arts

RECOMMENDATION:

That the Board of Trustees approve the appointment of Professor Heather Elliott-Famularo to the Donna Krenicki Professorship in Design and Digital Media in the School of Fine Arts

BACKGROUND:

The School of Fine Arts unanimously supported Professor Heather Elliott-Famularo for the position of Department Head, Digital Media and Design, and holder of the Donna Krenicki Endowed Professorship.

Professor Elliott-Famularo has most recently served as Professor and Division Chair of Digital Arts at Bowling Green State University. She is an award-winning filmmaker and digital artist who is committed to researching and exploring important cultural issues through her artwork. Although primarily focused on video and film production, Professor Elliott-Famularo also creates artworks that use performance, photography, and digital mediums.

Professor Elliott-Famularo's most recent and ongoing research has included a documentary film, *Bearing Witness: The Voices of Our Survivors*, that shares the testimony of six Holocaust survivors of Toledo, Ohio. The film won the Audience Award for Best Documentary at the Palm Beach International Film Festival, and was nominated for an Emmy award. The film had its broadcast premiere on PBS in July 2012. In 2013, Professor Elliott-Famularo expanded the documentary into a collaborative, interdisciplinary project, for which she co-led two successful grant proposals. Adjacent to this project, she has also produced a virtual environment of Gwoździec, a lost synagogue in Poland. In addition to this major project, she has had numerous exhibitions of her video installations, both nationally and internationally.


The Board established the Donna Krenicki Professorship in Design and Digital Media on February 24, 2016. Prof. Elliott-Famularo's five-year term will begin on December 29, 2017.



## **ATTACHMENT 7**

September 27, 2017

TO: Members of the Board of Trustees

FROM: Jeremy Teitelbaum, Interim Provost 

RE: Appointment of Professor Brendan Maher to the Connecticut Mutual Chair in Insurance Law in the School of Law

RECOMMENDATION:

That the Board of Trustees approve the appointment of Professor Brendan Maher to the Connecticut Mutual Chair in Insurance Law in the School of Law.

BACKGROUND:

The Connecticut Mutual Chair in Insurance Law was established in 1997 by generous gifts from the Connecticut Mutual Life Foundation. It is the linchpin of the Connecticut Insurance Law Center.

Professor Maher joined the School of Law in 2013, and he was promoted to Professor of Law in 2015. In 2015, Professor Maher was appointed the Director of the independently endowed Insurance Law Center. A graduate of Stanford University and Harvard Law School, Professor Maher is the faculty advisor for the peer-reviewed Connecticut Insurance Law Journal and a nationally recognized expert in the regulation of insurance, pensions, and health care. He is a leading authority on the meaning of both the Employee Retirement Income Security Act and the Affordable Care Act. Professor Maher also teaches and studies the procedural and evidentiary aspects of civil litigation in federal courts.


This professorship, a five-year term, will be sufficient to provide a \$5,000 supplement to whatever summer stipend Professor Maher is awarded as part of the annual summer research competition now conducted at the Law School. Funds distributed yearly from the endowment support summer research and travel as well as activities of the chair and also summer research and travel for other related activities. There is no additional funding necessary.

Professor Tom Baker was the first appointment to this chair in 1997. Professor Patricia McCoy was appointed chair in 2010. Professor Maher's appointment will extend through August 1, 2022.

## **ATTACHMENT 8**

September 27, 2017

TO: Members of the Board of Trustees

FROM: Jeremy Teitelbaum, Interim Provost 

RE: "Executive" Master of Laws (LLM) Program in Seoul, South Korea

RECOMMENDATION:

That the Board of Trustees approve the "Executive" Master of Laws (LLM) Program in Seoul, South Korea.

BACKGROUND:

Providing foreign lawyers with training in U.S. law has become a crucial part of American legal education. The UConn School of Law has long been a pioneer in this field and it continues to innovate. The present proposal is part of that continuing program of innovation.

By way of background, in the last two years, the School of Law has more than doubled the number of LLM programs it offers in Hartford and also more than doubled the number of foreign LLM candidates it has matriculated. In addition to growing its international program in Hartford, the School of Law has also initiated a summer and winter teaching program in Seoul, South Korea, offering law school courses to Korean lawyers on an intensive basis. The current proposal builds on that latter initiative.

Begun in the summer of 2014, the School of Law's Korean intensive teaching program was undertaken with a partner institution in Seoul, the Hallym University of Graduate Studies (HUGS). The courses offered in Seoul are taught in the summer and winter intersessions, in subjects tested on the examination for admission to the bar in the District of Columbia (the DC Bar Exam). Washington DC is unusual among American bars in that it permits foreign lawyers to sit for the qualifying exam without having received an LLM degree from a U.S. law school. Rather, Washington DC permits foreign law graduates to sit if the applicants have successfully completed 26 credits from an ABA-accredited law school in courses on subjects covered by the DC Bar Exam.

The students taking UConn Law courses in Seoul are thus graduates of South Korean law schools who seek to qualify to sit for the DC Bar Exam. To date, our Korean students have enrolled in our intensive courses in Seoul on a non-degree basis, with the option recently added to earn one or more non-degree certificates that the UConn Board of Trustees approved in April 2016.

## **Request for New UConn Academic Degree Program**

**Name of proposed academic degree program:** *“Executive” Master of Laws (LLM) Program in Seoul, South Korea*

**Name of sponsoring School:** *School of Law*

**Campuses proposed to offer this degree program:** *School of Law (providing instruction on-site in Seoul, South Korea)*

**Contact person and contact details:** *Peter Lindseth, Olimpiad S. Ioffe Professor of International and Comparative Law, Director of International Programs, UConn School of Law (peter.lindseth@uconn.edu)*

**Type of Proposal:** *New degree program / modified certificate program*

**Type of Program:** *Creating an “executive” LLM degree track to operate in conjunction with our existing non-degree/certificate program in Seoul, South Korea (the Board of Trustees approved the certificate program in April 2016)*

**Anticipated Initiation Date:** *September 2017*

**Anticipated Date of First Graduation:** *May 2018*

## **Background & Description**

Providing foreign lawyers with training in U.S. law has become a crucial part of American legal education. The UConn School of Law has long been a pioneer in this field and it continues to innovate. The present proposal is part of that continuing program of innovation.

By way of background, in the last two years, the School of Law has more than doubled the number of LLM programs it offers in Hartford and also more than doubled the number of foreign LLM candidates it has matriculated. In addition to growing its international program in Hartford, the School of Law has also initiated a summer and winter teaching program in Seoul, South Korea, offering law school courses to Korean lawyers on an intensive basis. The current proposal builds on that latter initiative.

Begun in the summer of 2014, the School of Law’s Korean intensive teaching program was undertaken with a partner institution in Seoul, the Hallym University of Graduate Studies (HUGS). The courses offered in Seoul are taught in the summer and winter intersessions, in subjects tested on the examination for admission to the bar in the District of Columbia (the DC Bar Exam). Washington DC is unusual among American bars in that it permits foreign lawyers to sit for the qualifying exam without having received an LLM degree from a U.S. law school. Rather, Washington DC permits foreign law graduates to sit if the applicants have successfully completed 26 credits from an ABA-accredited law school in courses on subjects covered by the DC Bar Exam.

The students taking UConn Law courses in Seoul are thus graduates of South Korean law schools who seek to qualify to sit for the DC Bar Exam. To date, our Korean students have enrolled in our intensive courses in Seoul on a non-degree basis, with the option recently added to earn one or more non-degree certificates that the UConn Board of Trustees approved in April 2016.

The School of Law is now seeking to establish an Executive LLM degree to attract additional students and to entice the highest qualified students in our Seoul program to earn an LLM degree. (See Curriculum & Program Outline below for more detail).

## **Reasons for the Proposed Program/Modification**

In the 2015-2016 academic year, our Korean partners at HUGS requested an upgrade in the relationship with UConn Law, specifically a “certificate” on a non-degree basis. At that time, however, our Korean partners also indicated that, for recruiting and competitive purposes (see Similar Programs section below), the establishment of an LLM degree would help to grow the program even further.

To gauge their seriousness as well as the overall feasibility of this proposal, the School of Law invited HUGS representatives to Hartford in July 2016. At that time, these representatives met with the Dean (Timothy Fisher), Director of International Programs (Peter Lindseth), and UConn’s Vice President for Global Affairs (Daniel Weiner), along with lead international programs staff at the School of Law. On the basis of that meeting and in consultation with Global

Affairs, the School of Law concluded that adding an additional LLM track to our existing non-degree program could be both feasible and worthwhile; hence this application.

### **Curriculum & Program Outline**

In keeping with the requirements to qualify for the Washington DC bar or other bar exams, the curriculum in this program covers “courses of study, each of which is substantially concentrated on a single subject tested on the Uniform Bar Examination” (UBE) (quoting District of Columbia Court of Appeals Rule 46(c)(4)). These courses of study correspond to the core curriculum in U.S. legal education and are already taught at UConn School of Law.

The courses in this program are taught on an intensive basis either during the summer (May-August) or the winter (December-January) intersessions. Winter courses may also extend into February, in order to accommodate the needs of our Korean students for scheduling flexibility over the Lunar New Year Holiday. The number of courses as well as the topics taught each intersession are set by the School of Law in consultation with our partners in Korea on a term-by-term basis. Generally speaking, four courses are taught in the summer (between 14-16 credits total) and three to four courses are taught in the winter (between 10-16 credits total).

With the addition of an Executive LLM to the already-existing intensive teaching program in Seoul, we now envision a three-tiered program:

- (i) our existing general program, in which non-degree students take law courses from UConn instructors, receive a transcript upon successful completion;
- (ii) our existing certificate program, in which non-degree students, who are not enrolled in the LLM program, take law courses from UConn instructors, receive a transcript and any applicable certificate(s) upon successful completion of 12 credits of approved courses with a minimum of a B average in the courses qualifying towards the certificate; and
- (iii) an Executive LLM program, in which students apply directly to the UConn School of Law Executive LLM program and are admitted based on the admissions criteria set for the Executive LLM Program. The Executive LLM program requires successful completion of 24 credits. The Students previously enrolled in non-degree coursework would be able to access advanced standing for qualifying credits as set out in the Executive LLM regulations.

Admission into the general program (track (i), non-degree/non-certificate) is the responsibility of our Korean partner. Admission into the certificate program (track (ii), non-degree/certificate) is based on the attainment of grades in the required courses for such certificates at the level determined by the faculty of the School of Law as part of its general administration of the program. Admission into the Executive LLM program (track (iii), executive LLM) will occur only after students have completed the application for admission which will include an application, personal statement, writing sample, transcript of prior degrees in law, proof of language competency, and an application fee of \$75. Students requesting advanced standing for HUGS or other coursework would request that during admissions and must have achieved the requisite grade of “B” or higher on any advanced standing credits. Such admission will be



## **“Executive” Master of Laws (LLM) Program in Seoul, South Korea**

conducted through the Graduate Admissions Office of the School of Law and follow the usual procedures for admission into a UConn Law LLM program, subject to any adjustments to meet the needs of this specific program. The successful completion of 12-credits at a "B" or higher will be a sufficient basis to waive the requirement for TOEFL or a like test for the issuance of the necessary student visa, if needed for travel to the U.S.

The ability to transfer 12 credits from either of the non-degree tracks into the Executive LLM program is consistent with the School of Law’s current rules for giving advanced standing in LLM programs generally. Those rules also permit applicants to use up to 6 credits earned at another law school toward the 12-credit advanced standing. We expect to apply the same rule in this program to incentivize students in competing programs (see Similar Programs section below) to transfer into our program and complete their studies through the UConn Executive Law LLM program in Seoul.

For successful completion of the Executive LLM program (track iii), participating students would be expected to earn a total of 24 credits, broadly consistent with the practices of other institutions (such as the London School of Economics and Northwestern) offering Executive LLM programs. Twenty of the required credits would be taken from the existing “core curriculum” courses offered in Seoul, and four additional credits would be earned via a selection of courses specifically developed for the program and focused on critical intellectual, cultural, and skills-development dimensions of U.S. legal study beyond the mastery of doctrinal material. To this end, three two-credit courses are currently in the planning stages, one offering sustained intellectual engagement with U.S. legal theory and history; a second offering a robust introduction to U.S. legal institutions and culture; and a third providing exercises in research and writing skills consistent with the academic requirements for current international LLM candidates studying in Hartford. Based on the demands of the program, these courses may be taught on the UConn Law campus; in Seoul; online using both synchronous and asynchronous methods; or some combination thereof.

The School of Law faculty will, consistent with this document, formulate any guidelines and/or academic regulations that are necessary to the administration of the intensive teaching program in Seoul (including tracks i, ii, and iii). These guidelines and/or academic regulations will be monitored by both the School of Law and HUGS for compliance.

### **Learning Outcomes**

On the basis of the rigorous requirements for the curriculum and program set out in the prior section, we expect students participating in the Executive LLM program to achieve a strong basic grounding in U.S. law substantially similar to the grounding achieved by students in the School of Law’s U.S. Legal Studies LLM program in Hartford. Even though taught on an intensive basis, the School of Law has designed its “core curriculum” courses in Seoul to cover substantially the same material as courses in the same subjects taught in Hartford, and the previously described additional four credits of coursework will offer an opportunity for a more sustained engagement in the intellectual, cultural and skills-development dimensions of legal study than is ordinarily possible in intensive courses focused on the mastery of legal doctrine. And as noted, students opting to complete 26 credits on UBE tested subjects, may be able to gain



qualification to sit for the DC Bar Exam (although UConn Law explicitly makes no representation that its courses taught in this program will qualify students to sit for any bar in the United States).

### **Enrollment & Graduation Projections**

The program to date has enjoyed steady and indeed growing enrollments. In Summer Term 2014, we offered four courses and had 27 students complete a total of 227 credits. In the Winter Term 2014-2015, we again offered four courses and had 29 students complete a total of 239 credits. In the Summer Term 2015, we offered four more courses and had 51 students complete a total of 588 credits. In the Winter Term 2015-2016, we offered four courses and had 56 students complete a total of 589 credits. In the Summer Term 2016, we again offered four courses and had 59 students complete a total of 616 credits. Most recently, in the Winter Term 2016-2017, we offered three courses and had 37 students complete a total of 354 credits.

Our Korean partners at HUGS have indicated that offering enrolled students the possibility of completing an LLM option will be a valuable recruitment tool that will contribute to continued program growth. Out of 66 students enrolled in courses during the winter and summer 2016 term, 35 students took more than one course. There were 64 students who earned a B or better in at least one course. Out of the 64 students who had at least one B, 59 enrolled in more than one course and 37 of those had two or more grades at B or above. Each semester we have returning and new students which increases the likelihood that we will have students enrolled in both the certificate programs and the executive LLM program.

### **Financial Resources**

Student fees, set at the School of Law’s standard fees for the summer and winter session, have funded and will continue to fund the program for students in track (i), non-degree/non-certificate and students in track (ii), non-degree/certificate. For students in tracks i and ii, UConn and HUGS will continue to share the fees according to a payment schedule negotiated between the parties. In general, the allocation of fees per registered credit that shall be charged to each student follow this basic payment formula: UConn reimburses HUGS for the “hard costs” (e.g., faculty stipends, airfare, hotel, and other contractually-agreed expenses). Thereafter, student revenues are shared followed by a tranche in which we split the revenues 67-33 in favor of UConn and, at certain number of registered credits, a third tranche in which we split the revenues 50-50.

In the Executive LLM program (track (iii), Executive LLM), the fee-per-credit rate will increase to correspond to the rates charged in the U.S. Legal Studies LLM program, currently \$1190 per credit. Students enrolled in the Executive LLM program will pay the established per credit plus any applicable student fees and from these fees UConn Law will pay HUGS for any costs and services provided to UConn Law related to the management of the program.

### **Facilities/Equipment/Library/Special Resources**

In Seoul, our Korean partners will provide the required classroom space, equipment, and library. In Hartford, this program will not require new classrooms, other space, or any new equipment. Drawing on a practice used by other Executive LL.M. programs, we may at some point offer a two-week “capstone” course in Hartford as part of the program, but we would schedule such a course during a period in which existing classroom space and student support services – such as assisting participants in locating short-term accommodations – would be adequate to our needs. The School of Law’s graduate and international program staff is experienced in providing such services and the additional responsibilities could be undertaken without compromising existing programs.

### **Program Administration**

In the administration of the program, the Director of International Programs, the Director of Graduate and Exchange Programs and faculty will coordinate with the Office of Graduate and International Programs, which is currently responsible for the International Exchange Programs, the School of Law’s five existing LLM programs in Hartford, and the S.J.D. (doctoral) program.

As it does for these other programs, the Office of Graduate and International Programs, together with the Admissions Office and the Office of Student Services, will assist in coordinating admissions, student programming and academic counseling for the Executive LLM program in Seoul. This support will include working with School Registrar, Business Office, Student Finance Office, Career Planning, and Associate Dean for Academic Affairs, assisting each of them in administrative issues related to LLM students at the School of Law. The Graduate and International Programs Office will coordinate international admissions with the University’s Office of Global Affairs as well as its visa office (ISSS), as it currently does in its other programs.

### **Faculty**

The instructors in this program are either current or retired full-time members of the faculty of the School of Law, or qualified adjunct faculty duly appointed by the Faculty Appointments Committee at the School of Law. In the program to date, the following faculty have taught courses in Seoul:

Robert Birmingham, Professor of Law  
Deborah Calloway, Professor Emerita  
Michael Fischl, Professor of Law.  
Jennifer Mailly, Assistant Clinical Professor of Law  
Sachin Pandya, Professor of Law  
Jessica Rubin, Assistant Clinical Professor of Law  
Douglas Spencer, Associate Professor of Law and Public Policy and Roger S. Baldwin  
Scholar  
David Thaw, former UConn School of Law faculty member  
Stephen Utz, Professor of Law

**Similar Programs in Connecticut or Region**

Northwestern University School of Law offers courses in South Korea leading to an Executive LLM. Their program charges significantly greater tuition than our proposed LLM program and thus we expect to be very competitive with Northwestern with regard to price-sensitive students. In addition, Florida Coastal School of Law (FCSL) offers courses in South Korea on a non-degree basis. Although FCSL charges less than UConn School of Law on a fee-per-credit basis, we have remained very competitive with FCSL in terms of recruitment, due to the superior efforts of our Korean partner, the quality of our teaching, and the impressive international standing of the UConn “brand.”

## **ATTACHMENT 9**

September 27, 2017

TO: Members of the Board of Trustees  
FROM: Jeremy Teitelbaum, Interim Provost  
RE: Bachelor's Degree in Social Work



RECOMMENDATION:

That the Board of Trustees approve the Bachelor's Degree in Social Work

BACKGROUND:

The UConn School of Social Work, with its new location within the downtown Hartford campus, is well positioned to expand our educational and community reach through the development of a Bachelor of Social Work (BSW) program. The BSW is a professional practice degree that prepares graduates for entry-level employment in a wide range of community and social service agencies. With the addition of the BSW, we would be offering accredited professional degrees at all levels, including the MSW and the PhD. The creation of the BSW program will: 1) serve as a signature major for the Hartford Campus; 2) expand the School's reach in recruiting a larger, diverse student body; 3) increase opportunities for interdisciplinary collaboration with other undergraduate units, faculty and students; 4) expand our footprint and contributions in the capitol region; 5) create a career pathway for undergraduate students; and 6) develop a pipeline for our MSW program.

The BSW is established following the standards for accreditation of the national accrediting body, the Council on Social Work Education. Students apply to join the BSW program in their sophomore year to begin their program of study in the beginning of their junior year. Students complete a common social work curriculum and one approved elective course in social work or related department/school, for forty-four credits. BSW students will complete their required coursework as well as 450 hours in field-based practice over their senior year (spring and fall semesters).

Initially, the program is available only on the Hartford Campus. However, with the start of bus services between Storrs and the Hartford campus, the ease of traveling between campuses might make it more favorable for Storrs campus students to enroll in the program. Additionally, it may be possible to mount some additional introductory and/or online courses on the Storrs campus. The University already offers an undergraduate Introduction to Social Work course.

## **PROPOSED BACHELOR'S DEGREE IN SOCIAL WORK**

**CIP-Code:** 44.0701, Social Work

### **Background and Description/Reasons for the Program**

The University of Connecticut School of Social Work, with its new location within the downtown Hartford campus, is well positioned to expand our educational and community reach through the development of a Bachelor of Social Work (BSW) program. The bachelor in social work is a professional practice degree that prepares graduates for entry-level employment in a wide range of community and social service agencies. With the addition of the BSW, we would be offering accredited professional degrees at all levels, including the MSW and the PhD, as many top ranked public institutions do. We would also be the only university in Connecticut to do so. The creation of the BSW program will: 1) serve as a signature major for the Hartford Campus; 2) expand the School's reach in recruiting a larger, diverse student body; 3) increase opportunities for interdisciplinary collaboration with other undergraduate units, faculty and students; 4) expand our footprint and contributions in the capitol region; 5) create a career pathway for undergraduate students; and 6) develop a pipeline for our masters in social work program.

*The Hartford Campus.* The addition of this major to our long established school of social work will increase the visibility and reputation of the Hartford campus by building important inter-professional collaborations and through the enhancement of our many urban partnerships. The program will provide workforce preparation through the development of innovative internships (possible field units with our neighbors, the Hartford Public Library and Charter Oak Cultural Center, both of whom have expressed interest, to name a few). A BSW program is also consistent with other Hartford Campus and UConn foci such as interprofessional collaborations. The university's commitment to public engagement will be reinforced by collaborations among social work faculty, undergraduate faculty and community organizations, who share a commitment to the Greater Hartford community. Having a BSW as a signature program of the Hartford Campus affords the constituents of our state and city many opportunities as well, through internships and employment. Students will become acquainted with the various community led programs and outreach efforts, some of which may lead to employment post-graduation. Additionally, there will be the opportunity to develop partnerships with local community colleges through articulation agreements, which facilitate the completion of the BSW by students who might otherwise not continue their education. The BSW Program will also be one of a few majors, which can be completed at the Hartford Campus.

*Diversity.* Diversity is a critical value and goal within both the university and the SSW. Ranked in the top 20% of schools of social work nationally, one-third of the SSW's 400 students and 9 of our 27 full time faculty members belong to groups underrepresented in higher education. A central aim of the School of Social Work is to be a recognized leader in advancing human well-being, human rights, and social justice through innovative social work education, knowledge generation and dissemination, and public engagement. Central to this mission is the active recruitment of underrepresented students and faculty who can bring diverse perspectives to the classroom, to scholarship, and ultimately, to the profession. The SSW, like our national counterparts, tends to attract and retain a diverse student body.

*Interdisciplinary Collaboration.* The School of Social Work is involved in a growing number of faculty interdisciplinary collaborations across the University, including with the School of Law, UConn Health, and the human rights programs. Some of our students participate in the Urban Services Track with other health profession students and with the Interdisciplinary Education Program. With the addition of the intercampus bus system, both graduate and bachelor students will now have the benefit of easier access to courses and activities on all campuses. While the BSW program would be offered exclusively on the Hartford Campus and students will be able to complete their entire program here, students will also have access to elective courses and educational opportunities on other campuses.

## **Bachelor's Degree in Social Work**

*The Capitol Region.* The SSW has an extensive collaboration network with public and private human service agencies throughout the state with a high concentration of activity in the capitol region. Through mandatory credit bearing field internships alone, SSW masters students provided 33,040 hours of service in the city of Hartford during academic year 2016-2017. The addition of the BSW program will increase that footprint and engagement as students will be required to complete a minimum of 450 supervised hours in community agencies. Bachelor's students will complete this requirement in healthcare settings, schools, libraries, community agencies, non-profit, municipal and state agencies.

*Career Pathway.* The BSW major is unique among social service related undergraduate offerings. With its required internships supervised by trained MSW field instructors in social work and related settings, the major prepares graduates for professional practice and employment. In many states, the degree leads directly to professional licensure. According to the Department of Labor, there is increasing demand for entry-level social workers (projected at 14 -19% increase by 2024). BSW graduates can add to the much-needed work force in the state, particularly in the areas of child, family and school settings and healthcare. The ability to embark on a career pathway leading directly to social work employment may also encourage graduates to stay in the state, advocate for important local and state issues, and increase the UConn alumni base.

*MSW Pipeline.* Finally, the creation of the BSW major creates a larger pool of students for application to our MSW program. Currently, the School of Social Work already accepts UConn graduates from Human Development and Family Studies, Sociology, Political Science, Urban Studies, and Psychology into the MSW Social Work program. In addition, however, through our existing Advanced Standing Program, BSW graduates from other institutions can apply to complete our MSW program with an accelerated one-month summer session in addition to one academic year. This would allow highly motivated and qualified UConn students to complete their bachelors and master's degrees in 5 years. (In contrast, students without an accredited BSW degree complete a full two-year, 60 credit graduate program. This BSW option has obvious financial advantages for our students.) As a Research I university, with well-prepared first and second year students, we would be able to develop a selective BSW program, with potential collaboration with other departments through elective courses and shared learning sites. Additionally, adding a BSW program could enable the UConn SSW to bolster opportunities for doctoral students through graduate assistantships that would provide them access to both funding, teaching and other important academic experiences. Once the program is established, we may consider options such as accredited articulation agreements with local community colleges for transfer and completion of the BSW degree.

### **Curriculum & Program Outline & Learning Outcomes**

The Bachelor's in Social Work (BSW) Program and social work major are established following the standards for accreditation of the national accrediting body, the Council on Social Work Education. Students apply to join the BSW program in their sophomore year to begin their program of study in the beginning of their junior year. Students complete a common social work curriculum and one approved elective course in social work or related department/school, for forty-four credits. BSW students will complete their required coursework as well as 450 hours in field-based practice over their senior year (spring and fall semesters).

#### ***Program Outline***

The proposed curriculum includes two courses in human behavior and the social environment (micro, mezzo and macro dimensions), two policy-related courses, a course on social justice and diversity, research methods, two social work practice seminars, two field internships and two field seminars, and an elective in social work.

## Bachelor's Degree in Social Work

Dept.	Course	Course Title	Credits
SOWK	TBD	The Social Work Profession & Practice	3
SOWK	TBD	HBSE Micro	3
SOWK	TBD	HBSE Macro	3
SOWK	TBD	Social Welfare Policy I	3
SOWK	TBD	Social Welfare Policy II	4
SOWK	TBD	Social Justice and Diversity	3
SOWK	TBD	Fundamentals of Social Work Research	3
SOWK	TBD	Social Work Practice I: Micro	3
SOWK	TBD	Social Work Practice II: Mezzo	3
SOWK	TBD	Social Work Practice III: Macro	3
SOWK	TBD	Field Seminar I	2
SOWK	TBD	Field Seminar II	2
SOWK	TBD	Field	3
SOWK	TBD	Field	3
SOWK	TBD	Elective	3
		Total Credit Hours:	44

### ***Learning Outcomes***

1. Demonstrate ethical and professional behavior
2. Engage diversity and difference in practice
3. Advance human rights and social, economic, and environmental justice
4. Engage in practice-informed research and research-informed practice
5. Engage in policy practice
6. Engage with individuals, families, groups, organizations and communities
7. Assess individuals, families, groups, organizations and communities
8. Intervene individuals, families, groups, organizations and communities
9. Evaluate practice with individuals, families, groups, organizations and communities

### **Enrollment Projections**

We expect to build the program to its capacity of 25 per cohort over the first few years. Students will apply in the spring of their sophomore year. Beginning in the fall of 2018, 20-25 students would be enrolled as juniors and another 20-25 student cohort in the fall 2019. Enrollment targets will be reviewed in AY 2019-2020, based upon demand for the major and existing resources.

Initially, the program is available only on the Hartford Campus. However, with the start of bus services between Storrs and the Hartford campus, the ease of traveling between campuses might make it more favorable for Storrs campus students to enroll in the program. Additionally, it may be possible to mount some additional introductory and/or online courses on the Storrs campus. The university already offers an undergraduate introduction to social work course.

### **Financial Resources**

Resources have tentatively been put aside for the hiring of two faculty, including funds for the director.

### **Facilities/Equipment/Library/Special Resources**

No new facilities or equipment is required. The BSW program will be housed in the School of Social and classroom facilities and equipment will be available on the Hartford Campus. The School of Social Work



## **Bachelor's Degree in Social Work**

currently has library and other resources available for its Master's and PhD programs; it will add similar materials for the BSW program.

### **Program Administration**

Administrative and faculty staffing for the proposed BSW program is in accordance with the accreditation requirements of the Council on Social Work Education for dedicated program personnel. The BSW program will be administered by a newly appointed director and overall oversight provided by the existing Office of Academic Affairs and the Dean.

### **Faculty**

Two new faculty positions will be required for the program; in addition, existing faculty will assume some teaching and advising responsibilities. The existing Field Education Department will manage the development and oversight of field internships.

### **Similar Programs in Connecticut or Region**

Public Universities in Connecticut with BSW programs include Connecticut State University, Southern State University, Eastern Connecticut State University, and Western Connecticut University. The following private institutions offer the degree: the University of Saint Joseph and the newly developed programs at Sacred Heart and Quinnipiac.

## **ATTACHMENT 10**

September 27, 2017

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for the Peter J. Werth Institute for  
Entrepreneurship and Innovation

RECOMMENDATION:

That the Board of Trustees authorizes the establishment of the Peter J. Werth Institute for Entrepreneurship and Innovation.

BACKGROUND:

Mr. Werth graduated from Fort Hays State University with a bachelor of science degree in chemistry and mathematics, and he received a master's degree in organic chemistry from Stanford University. He holds an honorary degree from Southern Connecticut State University and the University of Connecticut. Mr. Werth is the founder and CEO of Chemwerth Inc., a full-service generic drug development and supply company based in Connecticut.

Mr. Werth is an avid Husky fan and season ticket holder for men's and women's basketball and football. He is a long-time supporter of the University of Connecticut. Most recently, the Werth Family Basketball Champions Center was named in honor of his single largest gift to the project. He has been an active donor since 2003, supporting overall program enhancements in the Division of Athletics.

Under the guidance of the University's Provost Office, Mr. Werth's gift will support the work of the UConn Entrepreneurship and Innovation Consortium, nurturing student and faculty innovation and business development, and strengthening the local and state economy. This gift from Mr. Werth will allow the University to expand the current Consortium into a university-wide Institute for Entrepreneurship and Innovation that will bear the Werth name.

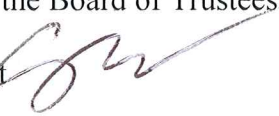
Mr. Werth has pledged a gift consistent with the amounts recommended for establishment of this institute under the University's *Named Gift Guidelines*.

At its September 12, 2017, meeting, the Institutional Advancement Committee recommended approval to the full Board.

## **ATTACHMENT 11**

September 27, 2017

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for the Peter J. Werth Residence Tower

RECOMMENDATION:

That the Board of Trustees authorizes the naming of the Next Generation Residence Hall on the Storrs campus the Peter J. Werth Residence Tower.

BACKGROUND:

NextGen Hall is located in the Hilltop area of campus adjacent to the campus athletic facilities. In addition to the residential spaces in the building, NextGen Hall is home to offices for Residential Life and First Year Programs & Learning Communities staff, as well as a variety of spaces that foster academic success, innovation, and community building. These spaces include the Learning Community Innovation Zone maker space, the Idea Lab, the NextGen Forum for programs and events, and dedicated community spaces for the students and faculty in each Learning Community.

Mr. Werth graduated from Fort Hays State University with a bachelor of science degree in chemistry and mathematics, and he received a master's degree in organic chemistry from Stanford University. He holds an honorary degree from Southern Connecticut State University and the University of Connecticut. Mr. Werth is the founder and CEO of Chemwerth Inc., a full-service generic drug development and supply company based in Connecticut.

Mr. Werth is an avid Husky fan and season ticket holder for men's and women's basketball and football. He is a long-time supporter of the University of Connecticut. Most recently, the Werth Family Basketball Champions Center was named in honor of his single largest gift to the project. He has been an active donor since 2003, supporting overall program enhancements in the Division of Athletics.

Mr. Werth has pledged a gift consistent with the amounts recommended for naming this space under the University's *Named Gift Guidelines*.


At its September 12, 2017, meeting, the Institutional Advancement Committee recommended approval to the full Board.

## **ATTACHMENT 12**



September 27, 2017

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA   
Chief Executive Officer, UConn Health  
Executive Vice President for Health Affairs

RE: Reports of Endowed Chairs for the period of July 1, 2016 to June 30, 2017

**RECOMMENDATION:**

That the University of Connecticut Board of Trustees approve the Annual Reports for the following Endowed Chairs:

1. Human Genetics
2. Infectious Disease
3. Transfusion Medicine

**BACKGROUND:**

The three endowed chairs referenced above were established during the Fiscal Year 1988 to 1989 as authorized by CGS 10a – 20a. Subsection (f) of the statute states the “Board of Trustees shall submit annual reports to the Board of Governors concerning their expenditures.” The reports presented today are for the period of July 1, 2016 to June 30, 2017.

The University of Connecticut Health Center  
Endowed Chair in Human Genetics  
300041-10600-10

	FY13	FY14	FY15	FY16	FY17*
<b>Beginning Cash Balance</b>	<b>\$283,310.11</b>	<b>\$246,337.11</b>	<b>\$288,977.33</b>	<b>\$277,187.31</b>	<b>\$186,903.31</b>
<b>Receipts :</b>					
Interest Transferred from DHE:	-	1,295.51	2,119.10		5,992.10
Interest Transferred from UCONN Foundation:	72,893.00	75,392.60	75,943.68	78,968.00	74,710.00
<b>Total Receipts</b>	<b>72,893.00</b>	<b>76,688.11</b>	<b>78,062.78</b>	<b>78,968.00</b>	<b>80,702.10</b>
<b>Expenditures :</b>					
Salaries and Wages	83,165.00	31,326.59	45,019.06	88,817.00	49,024.00
Fringe Benefits	26,408.00	2,576.80	43,748.74	37,155.00	26,287.00
Purchased Services	293.00	34.50	1,085.00	42,045.00	19,637.00
Supplies					
Equipment				1,235.00	
Change in accruals		110.00			3,880.00
<b>Total Expenditures</b>	<b>109,866.00</b>	<b>34,047.89</b>	<b>89,852.80</b>	<b>169,252.00</b>	<b>98,828.00</b>
<b>Ending Cash Spendable Balance</b>	<b>\$246,337.11</b>	<b>\$288,977.33</b>	<b>\$277,187.31</b>	<b>\$186,903.31</b>	<b>\$168,777.41</b>

\* FY17 are not final and may change due to year end adjustments





Health Net, Inc. Chair in Human Genetics

David W. Rowe, MD

drowe@uchc.edu



## THANK YOU MESSAGE

One of the responsibilities of my role as Director of the Center for Regenerative Medicine and Skeletal Development is to ensure that technical skills, biological resources and instrumentation essential to the type of science performed by members of our group and their collaborators will be maintained and available. With an ever-shrinking budget for maintaining infrastructure, the revenue produced from the Health Net, Inc. Chair in Human Genetics allows us to be operational and to provide limited fee-for-service activities to external researchers. Thus the chair has enabled us to raise the additional funding that in total is crucial for us to continue to offer these services to UConn researchers.

## TEACHING AND RESEARCH HIGHLIGHTS

### Discover new genes that cause high or low bone mass

We are examining the bones of mice generated by an international consortium that is inactivating each gene, one by one, from the mouse genome. We are finding that greater than 10% of unselected mice with a gene knock out have a major variation in bone mass. Deeper studies distinguish if the variation is due to an effect on the rate of formation or destruction of bone. This data projects that 2000 or more genes contribute to the development or resistance to osteoporosis in humans and supports the concept that any individual's bone health status is the product of multiple genes that influence the formation or destruction of the skeleton.

### Demonstrate the human induced pluripotent cells (iPSC) can be directed to make human bone and cartilage tissue in a mouse

iPSCs derived from a patient with severe genetic bone disorder (osteogenesis imperfect) makes limited amount of bone in the mouse, but revert to normal formation if the underlying genetic mutation is corrected using CRISPR/CAS9 technology. This cell system provides a method to study rare human genetic diseases affecting bone and cartilage that are unique to a specific individual. It has both diagnostic and therapeutic potential.

### Develop methods for studying the tissue structure that joins a tendon or ligament to bone (called the enthesis)

This structure has to accommodate the rigidity of bone with the dynamic motion of the tendon/ligament. How this structure forms and responds to injury was revealed using the mouse models and histological techniques that were developed in our laboratory.



## SERVICE AND PUBLIC ENGAGEMENT

Stem cell therapy is being exploited by non-FDA approved clinics touting miraculous cures for a myriad of disease. These clinics base their claims on poorly performed research studies and patient testimonials. Along with a researcher at NIH, we convinced our professional bone societies (American Society for Bone and Mineral Research, and Orthopedic Research Society) to form a ASBMR/ORS task force that will establish the research criteria that need to be met in a research article to support a claims of positive outcome from a cell based treatment strategy. We want the serious scientific community to set standards that any researcher has to meet to claim a positive outcome. This will prevent these clinics refer to bad studies as proof of their approach and should influence FDA when any formal request for a clinical trial of a therapy. My laboratory provided the leadership and database support to collect the information that will be the basis of the report that will be published later this year.

## COMPLETE ACTIVITIES FOR FISCAL YEAR 2016–2017

### Teaching and Mentoring

#### Courses Taught

- A three-hour lecture set followed by two hours of student conferences for the second year students on the topic of sex differentiation and disorders of sex differentiation including congenital adrenal hyperplasia.

#### Students Advised

- I have avoided taking PhD student because I feel they should be trained by our junior research faculty. Instead, I have had collaborations with faculty at Storrs (Dr. Mei Wei) and UConn (Dr. Yusuf Kahn, Dr. Lakshmi Nair) to use our instrumentation and animal resources.
- Most of my advisory effort was focused on Dr. Nathaniel Dymont, a postdoctoral fellow. He was successful in obtaining an NIH K99 award for career development. This past year he completed the training component of the grant and accepted a tenure track faculty position at the University of Pennsylvania.
- A junior faculty member, Dr. Sumit Yadaf, for whom I have been the primary mentor just received notification that he too will be receiving a K award. He will perform the mentored portion of the award in my laboratory environment, but hopefully will stay on permanently within the Orthodontic Department of the Dental School.

## RESEARCH, SCHOLARSHIP, AND CREATIVE ACTIVITIES

### New Research Grants

- In addition to the non-competitive renewals obtained on grants R01 AR063702 (Skeletal Phenotyping of KOMP Mice) and R01 AR064381 (Targeted Correction of Dominant Mutations of Type I Collagen Causing Severe OI), I received a new grant (R13 AR070574) to support a workshop to teach the cryohistological methods developed in the laboratory. However due to time and personnel constraints, I decided to return the grant unused.



## Journal Articles

1. Gohil, S. V., Kuo, C. L., Adams, D. J., Maye, P., Rowe, D. W., & Nair, L. S. (2016). Evaluation of the donor cell contribution in rhBMP-2 mediated bone formation with chitosan thermogels using fluorescent protein reporter mice. *J Biomed Mater Res A*, 104(4), 928-941. PMID: 26703977
2. Utreja, A., N. A. Dymment, S. Yadav, M. M. Villa, Y. Li, X. Jiang, et al. (2016). Cell and matrix response of temporomandibular cartilage to mechanical loading. *Osteoarthritis Cartilage* 24(2): 335-344. PMID: PMC4757844
3. Shalini V Gohil, Sarah B. Brittain, Ho-Man Kan., Hicham M. Drissi, David W. Rowe and Lakshmi S. Nair\* Evaluation of enzymatically crosslinked injectable glycol chitosan hydrogel. *Journal of Materials Chemistry B*. in press.
4. Zhang, J., Dymment, N. A., Rowe, D. W., Siu, S. Y., Sundberg, J. P., Uitto, J., & Li, Q. (2016). Ectopic mineralization of cartilage and collagen-rich tendons and ligaments in Enpp1<sup>asj-2J</sup> mice. *Oncotarget*. doi:10.18632/oncotarget.7455. PMID: 26910915.
5. Adams, D. J., Rowe, D. W., & Ackert-Bicknell, C. L. (2016). Genetics of aging bone. *Mamm Genome*. doi:10.1007/s00335-016-9650-y. PMID: 27272104, PMID: PMC4948189.
6. Matic, I., Matthews, B. G., Wang, X., Dymment, N. A., Worthley, D. L., Rowe, D. W., Grcevic, D., and Kalajzic, I. (2016). Quiescent bone lining cells are a major source of osteoblasts during adulthood. *Stem Cells*. doi:10.1002/stem.2474. PMID: 27507737
7. Dymment, N. A., Jiang, X., Chen, L., Hong, S. H., Adams, D. J., Ackert-Bicknell, C., Shin, D. G and Rowe, D. W. (2016). High-Throughput, Multi-Image Cryohistology of Mineralized Tissues. *J Vis Exp* (115). doi:10.3791/54468. PMID: 27684089
8. Arble, J. R., Lalley, A. L., Dymment, N. A., Joshi, P., Shin, D. G., Gooch, C., Grawe, B. Rowe, D. and Shearn, J. T. (2016). The LG/J Murine Strain Exhibits Near-Normal Tendon Biomechanical Properties Following a Full-Length Central Patellar Tendon Defect. *Connect Tissue Res*. doi:10.1080/03008207.2016.1213247. PMID: 27552106
9. Yoshida, R., Alaei, F., Dyrna, F., Kronenberg, M. S., Maye, P., Kalajzic, I., Rowe, D. W., Mazzocca, A. D. and Dymment, N. A. (2016). Murine supraspinatus tendon injury model to identify the cellular origins of rotator cuff healing. *Connect Tissue Res*, 1-9. doi:10.1080/03008207.2016.1189910. PMID: 27184388

## Conference Proceedings

- Adams, D. J., Gatti, D. M., Rydzik, R., Mello, L. L., Rowe, D. W., Ackert-Bicknell, C. L. Novel Genetic Loci Regulating Cortical Bone Morphometry Revealed by Diversity Outbred Mice, 62nd Annual Meeting of the Orthopaedic Research Society, Orlando, FL, March 5-8, 2016.
- Adams, D., Nyman, J., Godfrey, D., Adams, D., Lublinsky, S., Rowe, D. and Ackert-Bicknell, C. Correlation of DXA Measured on Isolated Femurs by Faxitron and PIXImus Densitometry versus Ashing and MicroCT. *J Bone Miner Res* 31 (Suppl 1). 38th Annual Meeting of the American Society for Bone and Mineral Research (2016), Atlanta, GA., Abst SU0023.
- Adams, D., Rydzik, R., Chen, L., Wu, Z., Hong, S-H., Joshi, P., Zhang, C., Sundberg, J., Garland, G., Shin, D-K., Rowe, D. and Ackert-Bicknell, C. High Throughput Bone Phenotyping: Rigor and Transparency. *J Bone Miner Res* 31 (Suppl 1). 38th Annual Meeting of the American Society for Bone and Mineral Research (2016), Atlanta, GA., Abst MO0072.
- Ackert-Bicknell, C., Adams, D., Rydzik, R., Chen, L., Wu, Z., Hong, S-H., Garland, G., Joshi, P., Zhang, C., Sundberg, J., Shin, D-K., and Rowe, D. Spectrum of bone architectural abnormalities detected by  $\mu$ CT screening of mouse gene knockout lines. *J Bone Miner Res* 31 (Suppl 1). 38th Annual Meeting of the American Society for Bone and Mineral Research (2016), Atlanta, GA., Abst SA0082
- Xin, X., Kronenberg, K., Chen, L., Gohil, G., Wang, L., Jiang, X., Nair, L., Rowe, D., and Lichtler, A. Use of OI iPSC In Vivo Bone Formation Model to Study Disease Mechanisms and Potential Therapies. *J Bone Miner Res* 31 (Suppl 1). 38th Annual Meeting of the American Society for Bone and Mineral Research (2016), Atlanta, GA.



### Seminars

- Histology workshop at UCH – 1/1/16 and 3/18/16 and 5/10/16 (not part of the R13 grant that was returned).
- Samson Leo, organizer of the International Conference and Expo on Musculoskeletal Regeneration in Chicago on 5/5/16. Present lecture on the histological evaluation of cell based therapy of skeletal defects.
- Dr. Pamela Robey's group ant NIDCR/NIH on 6/1/16. Group talk leading to submission of a grant with that group on 4/17 to use iPSC to study the disease, fibrodysplasia of bone.
- Dr. Richard Kruse, Department of Orthopedics, Dupont Children's Hospital on 6/2/16. Discussed the use of corrected cell therapy for the orthopedics procedures use for the disease, osteogenesis imperfect.
- Dr. Zhangui Tang, Central South University, Changsha, China on 6/25/16. Presented to the International Symposium on the Advance in Stomatology Research. Title was the genetics of skeletal disease.
- Invited speaker at the 2016 Annual meeting of Material Research Society in Boston MA on 12/5/16 The talk was given in Symposium BM3: Biomaterials for Regenerative Medicine and titled, Cellular and functional evaluation of bony repair using GFP reporter mice and fluorescent cryohistological techniques.

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### SERVICE

#### Service to the University, Profession, or Community

- NIH study section for COBRE: Served on a panel that reviewed competitive renewal of the COBRE granting mechanism.
  - Supporting the development of a stronger mammalian biology program at the University of Hartford. A prior postdoctoral student, Yingcui Li, PhD, has become a faculty member there and she wants a selected student to have a research opportunity. We have helped by allowing the student and Ms. Li to use some of our laboratory space during the summer months, and we are helping her assemble an R15 research grant proposal that will cover some of the costs for expanding the program in time and number of students.
-

The University of Connecticut Health Center  
Endowed Chair in Infectious Diseases/AIDS Research  
631129-10141-10

	FY13	FY14	FY15	FY16	FY17*
<b>Beginning Cash Balance</b>	<b>\$77,734.78</b>	<b>\$49,368.78</b>	<b>\$31,254.92</b>	<b>\$36,703.75</b>	<b>\$29,724.75</b>
<b>Receipts :</b>					
Interest Transferred from DHE:	-	1,775.83	2,904.75		3,327.03
<b>Total Receipts</b>	<b>-</b>	<b>1,775.83</b>	<b>2,904.75</b>	<b>-</b>	<b>3,327.03</b>
<b>Expenditures :</b>					
Salaries and Wages	8,587.00	3,724.66			
Fringe Benefits	2,194.00	1,354.51			
Purchased Services	14,663.00	14,128.62	(4,044.08)	6,979.00	
Supplies	1,475.00	166.00			
Equipment	1,447.00		1,500.00		1,641.50
Change in accruals		515.90			(3,495)
<b>Total Expenditures</b>	<b>28,366.00</b>	<b>19,889.69</b>	<b>(2,544.08)</b>	<b>6,979.00</b>	<b>(1,853.50)</b>
<b>Ending Cash Spendable Balance</b>	<b>\$49,368.78</b>	<b>\$31,254.92</b>	<b>\$36,703.75</b>	<b>\$29,724.75</b>	<b>\$34,905.28</b>

\* FY17 are not final and may change due to year end adjustments

Endowment principal balance as of 12/31/16	#####
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**UConn**

## Endowment Report FY2017



State of Connecticut Board of  
Governors for Higher Education  
Chair in Infectious Diseases

Kevin Dieckhaus, MD

dieckhaus@uchc.edu

### THANK YOU MESSAGE

I would like to express my gratitude to the donors. The proceeds from this program support educational programming related to infectious diseases for residents, fellows, and students.

### TEACHING AND RESEARCH HIGHLIGHTS

Through this program, I have been able to support direct mentorship of medical students, residents, and fellows as they learn the field of infectious diseases in a myriad of clinical settings, including under-resourced international settings. In addition to the direct medical skills imparted, trainees learn valuable cross cultural and integrative skills important for any practicing clinician in a multi-ethnic world.

### SERVICE AND PUBLIC ENGAGEMENT

Outreach to a variety of practice settings and sites for student involvement have occurred, including sites in Uganda, Dominican Republic, Guam, Peru, Haiti, Sri Lanka, and Guatemala.





## **COMPLETE ACTIVITIES FOR FISCAL YEAR 2016-2017**

### **Teaching and Mentoring**

#### **Courses Taught**

- M200.010.15 Mechanisms of Diseases – Infect Disease, Section Leader, Yr 2 Medical and Dental
- M200.50.15 Mechanisms of Diseases – Nervous System, Lecturer, Yr 2 Medical and Dental
- SCHOLARSHIP course – Leader /content expert Yr 1 Medical
- Community Health Research Methods – 2 (2016) Instructor Yr 2 Medical
- Advanced Clinical Problem Solving – Instructor Yr 2 Medical
- Microbiology (PHD program) – Instructor, PhD students
- Human Health and Development – Instructor Yr 1 Medical
- CoRE A and B – Content expert Yr 1 Medical
- VITALS – Content expert Yr 1 Medical
- Community Research Individual Learning Opportunity - Global Health – Course Leader, Yr 1 Medical

#### **Students Advised**

##### **Current medical and dental student advising (graduate level):**

- Kaitlin Markoja – Pregnancy, delivery in Uganda
- Jessica Malcolm – Chikungunya in Jamaica
- Veronica Schmidt – Nutrition in Puerto Rico
- Julia Koretski – Mental Health in Uganda
- Brian Stenson – Knowledge of reproductive health, rural Costa Rica
- Ryan Duggan – Tropical medicine curriculum
- Sonny Caplan – Medication adherence in migrant farm workers
- Sam Baron – Educational methods in Costa Rican medical students
- Lyubina Yankova – Helicobacter pylori in Uganda
- Kyle Shin – Nutrition in Dominican Republic
- Spencer Beck – Hypertension in Dominican Republic
- Rebecca Zweiffler – Women’s reproductive health in Panama
- Kousanee Chheda – Eye health in Oregon
- Alex Werne – A tool for pediatric antibiotic dosing in developing areas
- Jessica Gordon – A tool for antiseizure medication dosing in developing areas
- Jason Adler – Assessing CSF cellularity using urine dipstick testing
- Kwaku Ohemeng – Online microbiology resource [www.megamicro.info](http://www.megamicro.info)
- Benjamin Aranow – Online microbiology resource [www.megamicro.info](http://www.megamicro.info)
- Gian Grant – Online microbiology resource [www.megamicro.info](http://www.megamicro.info)
- Richard Jiminez – Online microbiology resource [www.megamicro.info](http://www.megamicro.info)
- Adam Bartholomeo – Use of protective measures with TNF inhibitors
- Nicholas Bellas – Diabetes in Nicaragua
- Antea DeMarsalis – Substance use (Betalquid) in Sri Lanka
- Alyssa DiCosmo – Helicobacter pylori acquisition in Uganda



- Faith Doneghey – Hepatitis C in Guam
- Megan Grammatico – Helicobacter pylori in Uganda
- Alex Guiliano – Diabetes control and Machismo in Nicaragua
- Rabale Hasan – PrEP in UConn's HIV clinic
- Shaan Kamaal – Color blindness correction lenses
- Emily Keller – Dental assessment in Honduras
- Jennifer Lawson – Genetics of kidney disease in Sri Lanka
- Tiffany Miller – Chlamydia in Guam
- Chidinma Okafor – Dental knowledge/attitudes/barriers/practice in Dominican Republic
- Christine Parsons – Maternal health in rural Uganda
- Rashni Pashankar – HIV PrEP modalities in Providence RI
- Brooke Schuman – Special needs children and resources in Nicaragua
- Vruksha Upadhyay – Helicobacter pylori in Dominican Republic
- Christine Yang – Breast Cancer perceptions in Haiti

## RESEARCH, SCHOLARSHIP, AND CREATIVE ACTIVITIES

### New Honorific Awards

- University of Connecticut Provost's Award for Excellence in Public Engagement, 2016

### Journal Articles

1. Song, E, Musinguzi P, Dieckhaus K: Factors affecting patient presentation at a national dermatology referral clinic in Kampala, Uganda. Int J Dermatol. doi:10.1111/ijd.13435 2016

### Books and Book Chapters

- Rose S, Dieckhaus K: Amebiasis. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Proctologic Conditions V: Lymphogranuloma Venereum and Syphilis. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Parasitic Diseases I: Trichuriasis. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Parasitic Diseases II: Ascariasis. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Parasitic Diseases III: Enterobiasis. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Parasitic Diseases IV: Strongyloidiasis. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Parasitic Diseases V: Necatoriasis and Ancylosomiasis. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Parasitic Diseases VI: Taeniasis saginata. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016





- Rose S, Dieckhaus K: Parasitic Diseases VII: Taeniasis solium (Cystercosis Cellulosae). In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Parasitic Diseases VIII: Hymenolepsis nana. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Parasitic Diseases IX: Diphyllbothriasis. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016
- Rose S, Dieckhaus K: Parasitic Diseases X: Helminths and Protozoa Infesting the Human Intestine. In: Reynolds et al: The Netter Collection of Medical Illustrations – Volume 9, Digestive System, Part II – Lower Digestive, 2016

#### Conference Proceedings

- Koretski J, Dieckhaus K: Healthcare Workers' Self-reported Knowledge, Attitudes, and Practice Implications Regarding Mental Health Care in Rural Uganda, Consortium of Universities in Global Health (CUGH), 2016

#### Other Works of Scholarship

- Consortium of Universities in Global Health: Evert et al: Global Health Education Competencies Tool Kit. Competency 5b: Demonstrate diplomacy and build trust with community partners and Competency 5c: Communicate joint lessons learned to community partners and global constituencies; <http://cugh.org/resources/2063>; 2017

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## SERVICE

### Service to the University, Profession, or Community

- UConn Global Affairs Advisory Board
-

The University of Connecticut Health Center  
Endowed Chair in Transfusion Medicine  
300037-10149-10 (and 35021)

	FY13	FY14	FY15	FY16	FY17*
<b>Beginning Cash Balance</b>	<b>\$326,165</b>	<b>\$383,544</b>	<b>\$423,209</b>	<b>\$422,118</b>	<b>\$419,727</b>
<b>Receipts :</b>					
Interest Transferred from UCONN Foundation:	\$39,674	\$41,035	\$0	\$0	
Interest Transferred from DHE:	\$0	\$888	\$1,452	\$0	\$4,107
<b>Total Receipts</b>	<b>\$39,674</b>	<b>\$41,923</b>	<b>\$1,452</b>	<b>\$0</b>	<b>\$4,107</b>
<b>Expenditures :</b>					
Salaries and Wages		\$1,787	\$1,092		
Fringe Benefits		\$471	\$471		
Purchased Services	\$1,250			\$2,391	\$1,693
Supplies					
Equipment					
Change in accruals	(\$18,955)		\$980		
<b>Total Expenditures</b>	<b>(\$17,705)</b>	<b>\$2,258</b>	<b>\$2,543</b>	<b>\$2,391</b>	<b>\$1,693</b>
<b>Ending Cash Spendable Balance</b>	<b>\$383,544</b>	<b>\$423,209</b>	<b>\$422,118</b>	<b>\$419,727</b>	<b>\$422,141</b>

\* FY17 are not final and may change due to year end adjustments

Endowment principal balance as of 12/31/16	\$ 572,306
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## **ATTACHMENT 13**

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – September 27, 2017**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Yong-Jun Shin	Assistant Professor	Biomedical Engineering	School of Engineering
Yan Geng	Assistant Professor	Art and Art History	School of Fine Arts

## **ATTACHMENT 14**

September 27, 2017

TO: Members of the Board of Trustees  
FROM: Jeremy Teitelbaum, Interim Provost  
RE: Centers and Institutes



The *By-Laws of the University of Connecticut*, Article XII, state that “All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University’s mission,” and that the “Provost will routinely inform the Board of Trustees ... of the establishment and discontinuation of all centers and institutes.” This document represents such notice.

BACKGROUND:

Following review and deliberation, the Academic Centers and Institutes Review Committee brought forth the following recommendations, which I support:

Established

Center for the Advancement of Business Analytics (CABA)  
Center of Biological Risk

Renewed for five-year term

Center for Behavioral Education and Research  
Center for Land Use Education and Research (CLEAR)  
Connecticut Sea Grant College Program  
El Instituto: Institute of Latina/o, Caribbean and Latin American Studies  
Human Rights Institute  
Insurance Law Center  
Wildlife and Fisheries Conservation Center

Renewed for two-year term

GE Global Learning Center

Renewed for one-year term

Center for Environmental Health and Health Promotion

Discontinued

Center for Public Health and Health Policy  
Digital Media Center  
Institute of Comparative Human Rights

Mission statements for established and renewed Centers and Institutes are available on the following pages.

## Established

### **Center for the Advancement of Business Analytics (CABA)**

The mission of the Center for the Advancement of Business Analytics (CABA) is to be a

- One stop shop for ‘business analytics and data science’;
- Value proposition for partnering organizations by developing and enhancing their analytics capabilities;
- Outlet for faculty engagement – research projects, business interactions/projects;
- Channel for knowledge dissemination – workshops, conferences, certifications, short courses;
- Focal point for community services – repository of data, thought leadership, and newsletters; and
- Conduit for student engagement - projects, experiential learning, capstone, and internships.

### **Center of Biological Risk**

The Center of Biological Risk seeks to improve the capacity to assess, manage, and communicate biological risks of global change through research, teaching, and community outreach. The Center focuses on risks that affect, originate from, or are mediated by biological systems. These risks are commonly neglected in contemporary risk analyses and are often more complicated than physical threats, yet can have just as damaging consequences.

## Renewed

### **Center for Behavioral Education and Research**

CBER seeks to promote equity and improve educational outcomes for all learners, especially those with or at risk for learning and behavioral difficulties.

### **Center for Environmental Health and Health Promotion (CEHHP)**

At the Center for Environmental Health and Health Promotion (CEHHP), we bring together researchers to investigate and analyze the interaction between human behavior and the environment. We strive to identify health resources and constraints in various environmental settings and how they contribute to the development of chronic diseases. We promote developing interventions that integrate health behavior and lifestyle modifications with environmental alterations, which is essential in improving human health and reducing the rate and prevalence of chronic diseases

### **Center for Land Use Education and Research (CLEAR)**

To provide information and assistance to land use decision makers and other audiences in support of better land use decisions, healthier natural resources, and more resilient communities.

### **Connecticut Sea Grant College Program**

Connecticut Sea Grant generates and provides science-based information to achieve healthy coastal and marine ecosystems and consequent public benefits.

### **El Instituto: Institute of Latina/o, Caribbean and Latin American Studies**

El Instituto is an interdisciplinary and multidisciplinary academic institute that advances the research, scholarship, creative endeavors and undergraduate and graduate teaching of Latina/o, Caribbean, Puerto Rican, and Latin American Studies. The Institute’s core and affiliated faculty are engaged in community-based, regional, national, and international scholarship and collaborations that enhance our understanding of local and global diasporic issues, social justice and historical inequalities, and growth and progress among Latina/o, Caribbean, and Latin American populations.

**GE Global Learning Center**

The Center's mission is to build and enhance the role of UConn and its School of Business as a comprehensive, multi-disciplinary resource for promoting global business education and practice.

**Human Rights Institute**

The Institute advances human rights teaching across all University of Connecticut colleges and schools and pursues novel and critical approaches to human rights scholarship and pedagogy. Our aim is to educate well-rounded scholars with an informed understanding of human rights, promote interdisciplinary scholarship and provide experiential learning for our students. The Institute encourages engaged discussion and academic research on the advantages and limitations of human rights discourse and practice. Our research programs demonstrate the Institute's commitment to bridging disciplinary boundaries and providing a space for scholars and advocates to exchange knowledge and experience across areas of expertise.

**Insurance Law Center (ILC)**

The mission of Insurance Law Center is to promote the study of insurance law and risk regulation through education and independent research, and to preserve UConn and Connecticut's academic, historic, and commercial advantage in those fields.

**Wildlife and Fisheries Conservation Center**

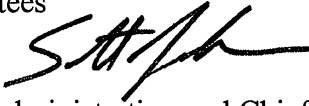
To combine the important elements of understanding wildlife and fish biology, the habitats that wild populations occupy, and the consequences of interactions with humans to inform management decisions that affect the future sustainability of fish and wildlife species.



## **ATTACHMENT 15**

September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: FY17 Deferred Maintenance and Equipment Expenditures

RECOMMENDATION:

That the Board of Trustees acknowledge the Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum expenditures of \$22,521,578 (Storrs and the Regional Campuses: \$22,521,578 and UConn Health: \$0) for Fiscal Year 2017 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached list represents actual expenditures for the Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum (Deferred Maintenance) project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for information. The attached information includes a listing of actual expenditures by type of project (buildings; infrastructure; code/ADA; access, appearance & safety; renovation lump sum) compared to the estimated amounts that formed the basis of the original capital budget for FY17 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily equal the authorization for the specific year.

Also attached is the annual informational report: the summary of all Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Equipment, Library Collections and Telecommunications expenditures to date under the UCONN 2000 program.

**University of Connecticut**  
**UConn 2000 Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum**  
**Budget and Project Expenditures through 6/30/17**

	FY17 Original Budget 6/29/16	FY17 Revised Budget 6/28/17	Fiscal Year 2017 Authorized Projects			All Previous Years Authorized Projects Expended in FY17	Total FY17 Expenditures
			Expended in FY17	Encumbered	Budget Balance Available		
<b>Storrs &amp; Regional Campuses</b>							
Buildings	\$ -	\$ 9,937,816	\$ 1,832,863	\$ 3,478,405	\$ 4,626,547	\$ 5,937,380	\$ 7,770,243
Infrastructure	30,128,336	31,768,524	9,324,065	13,214,966	9,229,493	10,478,614	19,802,678
Code/ADA	2,200,000	1,224,501	766,426	299,440	158,634	2,633,439.31	3,399,866
Access, Appearance & Safety	4,411,000	1,921,000	436,847	668,663	815,489	1,276,850	1,713,698
Renovation Lump Sum	11,291,516	16,492,781	10,161,377	3,779,601	2,551,803	15,994,474	26,155,851
Reserves	6,652,494	40,354	-	-	40,354	-	-
<b>Total</b>	<b>\$ 54,683,346</b>	<b>\$ 61,384,975</b>	<b>\$ 22,521,578</b>	<b>\$ 21,441,076</b>	<b>\$ 17,422,321</b>	<b>\$ 36,320,757</b>	<b>\$ 58,842,336</b>
<b>UConn Health</b>							
Buildings/Infrastructure	\$ 1,200,972	\$ 855,972	\$ -	\$ -	\$ 855,972	\$ 5,464,110	\$ 5,464,110
Access, Appearance & Safety	600,000	600,000	-	-	600,000	42,657	42,657
Reserves	210,000	210,000	-	-	210,000	-	-
<b>Total</b>	<b>\$ 2,010,972</b>	<b>\$ 1,665,972</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,665,972</b>	<b>\$ 5,506,767</b>	<b>\$ 5,506,767</b>
<b>Grand Total</b>	<b>\$ 56,694,318</b>	<b>\$ 63,050,947</b>	<b>\$ 22,521,578</b>	<b>\$ 21,441,076</b>	<b>\$ 19,088,293</b>	<b>\$ 41,827,524</b>	<b>\$ 64,349,103</b>

# University of Connecticut

## UConn 2000 Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum Project Expenditures during FY17

### Storrs & Regionals

		<u>Expenditures</u>
<b>Buildings</b>	Annual Lifecycle-Classroom Maintenance Allotment	54,281
	Annual Lifecycle-Building Envelopes-Windows/Facades/Roof Maintenance Allotment	322,407
	Annual Lifecycle-Elevator Maintenance/Renewals/Replacement Allotment	13,227
	Annual Lifecycle-Life Safety System Renewals Allotment	347,661
	Annual Lifecycle-Mechanical,Electrical,Plumbing Maintenance/Replacement & Upgrade Allotment	281,110
	Art Ceramic Studio Ventilation Improvements	19,423
	Avery Point Library - Elevator Modernization	106,217
	Castleman Machine Shop Air Conditioning Maintenance	478,464
	CUP Turbine Air Intake Canopy Maintenance/Improvements	330,640
	Engineering I HVAC Controls, Mechanical Vent, Fume Hood Fan Access Maintenance/Improvements	18,875
	Engineering II & UTEB Air Conditioning Maintenance	738,368
	Engineering II Roof Replacement	32,499
	Fats, Oil, Grease (FOG) Compliance - Phase II	4,870
	Field House - Life Safety Fire System Maintenance	(12,318)
	Field House HVAC Equipment Replacement	90,104
	Gampel Pavilion Dome Ceiling and Roof Maintenance/Improvements	1,711,453
	Gant Building - IMS Network Remediation Maintenance	36,248
	Koons Hall - Academic Building Refurbishment	5
	Law School Hosmer Facade Restoration Phase II	13,676
	North & South Parking Garage Safety Screen Installation	767,910
	Phillips Communication Building Air Distribution & Lighting System Maintenance & Upgrade	952,005
	Replace Chemistry Air Compressor	158
	Replace Gampel Chillers	1,107,715
	Replace Gampel Cooling Towers	170,942
	Sprinkler Corrosion Protection Maintenance in Bio-Physics Building	25,868
	Stamford Campus Garage - Demolition	3,175
	Stamford Parking Garage Safety Maintenance/Improvements	13,067
	Stamford Parking Garage Structural Maintenance/Improvements	635
	Stamford Vestibule Door Maintenance/Improvements	52,373
	Storrs House Wall Maintenance/Improvements	40,010
	Student Union Freight Elevator Pit Remediation	2,672
	Tasker Restroom Renovation	12,196
	White Building - Academic Building Refurbishment	12,401
	Wood Hall Academic Building Refurbishment	21,904
<b>Buildings Total</b>		<b>\$ 7,770,243</b>
<b>Infrastructure</b>	5.4 Million Gallons Water Supply Reservoir Maintenance/Improvements	370,017
	Annual Lifecycle-Utility Renewals, Replacement & Upgrades Allotment	780,579
	Babbidge Library Electrical Distribution System Maintenance & Upgrade	153,727
	Bergin Utilities Maintenance	14,369
	Campus Drainage Master Plan Update	182,021
	Central Campus Infrastructure Maintenance & Upgrades	(877,316)
	CUP Equipment Replacement and Pumping Maintenance Project	554,715
	CUP Heat Steam Maintenance/Improvements: Budds Building	(16,990)
	CUP Heat Steam Maintenance/Improvements: Family Studies	(17,271)
	CUP Heat Steam Maintenance/Improvements: Manchester Hall	(17,095)
	East Central Campus Utility Maintenance & Upgrade	51,356
	EEB Greenhouse Enabling Utility Improvements	40,471
	Fenton River Well Field & Road Maintenance/Improvements	31,208
	Hillside & Gilbert Road Infrastructure Maintenance	23,305
	Main Water Line Replacement Phase II	1,924,265
	Motor Pool Emergency Generator Transfer Switch Maintenance	98,399
	North Eagleville Road Area Infrastructure Maintenance/Replacement & Upgrade: Phase I Exigent	210,196
	North Eagleville Road Area Infrastructure Maintenance/Replacement & Upgrade Phase II	509,313
	North Eagleville Road Area Infrastructure Maintenance/Replacement & Upgrade Phase III	10,695,615
	Northwest Science Quad Infrastructure Maintenance/Replacement & Upgrade - Phase 1	1,030,957
	Ratcliffe Hicks Retaining Wall Stabilization	352,664
	Sewer Line Replacement at Storrs Road Pump Station	953,923

South Tunnel Domestic Water Line Replacement	165,312
Southwest Campus Infrastructure Maintenance & Upgrade	92,259
Student Union Steam & Condensate Return Service Replacement	977,615
UConn STEM Science Quad - Utilities Improvements	161,192
UTEB Chilled Water Connection/Maintenance	203,813
Utility Framework - Utility System Modeling	201,431
Utility Infrastructure GIS Mapping	303,254
Water Pollution Control Facility Priority 1 Maintenance/Improvements	286,097
WPCF Disinfection System Maintenance/Improvements	56,039
WPCF Main Transfer Switch Maintenance/Improvements	2,999
WPCF Underground Piping Installation	120,620
WPCF Maintenance & Upgrades	183,619

<b>Infrastructure Total</b>	<b>\$ 19,802,678</b>
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<b>Code/ADA</b>		
FY12 DM Code Allotment		38,733
FY15 DM Code Allotment		83,151
OSFM Code Remediation - Babbidge Library Emergency Lights		7,835
OSFM Code Remediation - Busby Suites		4,615
OSFM Code Remediation - Northwood Apartments		824
OSFM Code Remediation - Whetten Graduate Center		589
UC2000 Code Remediation - Alumni Quad Dormitories		54,110
UC2000 Code Remediation - Avery Point CUP		4,422
UC2000 Code Remediation - Brien McMahon Hall		9,673
UC2000 Code Remediation - Bronwell Facility Renovations		4,664
UC2000 Code Remediation - Buckley Hall Dorm		70,444
UC2000 Code Remediation - CLAS - CE Waring		12,823
UC2000 Code Remediation - Gant Physics & Math Infill		4,784
UC2000 Code Remediation - Holcomb/Whitney/Sprague Dorms		119,215
UC2000 Code Remediation - Koons Hall		51,078
UC2000 Code Remediation - Mansfield Apartments		867,508
UC2000 Code Remediation - Morrone Stadium Lighting		2,218
UC2000 Code Remediation - Music/Drama		14,174
UC2000 Code Remediation - New Central Warehouse		64,809
UC2000 Code Remediation - Northwest Quad		225,145
UC2000 Code Remediation - Shippee Hall Dorm		6,551
UC2000 Code Remediation - South Campus Laundry		84,246
UC2000 Code Remediation - Stamford Downtown Relocation		324,164
UC2000 Code Remediation - Starr Hall		117,668
UC2000 Code Remediation - White Building/Dairy Bar Improvements		13,268
UC2000 Code Remediation - Wilbur Cross Building		468,937
UCFM Code Remediation - Avery Point Branford House Phase II		251,426
UCFM Code Remediation - Avery Point Building #7		30,478
UCFM Code Remediation - Budds Building		7,114
UCFM Code Remediation - C-3 Russell Dorm		1,984
UCFM Code Remediation - Campus Wide Laundry Alterations		77,897
UCFM Code Remediation - Daily Campus		2,923
UCFM Code Remediation - Drama Music Building		2,541
UCFM Code Remediation - Gampel Pavilion Wolff Zackin Pool Room		21,920
UCFM Code Remediation - Hicks Hall		862
UCFM Code Remediation - Kellogg Dairy Center		13,872
UCFM Code Remediation - Lebanon Cottage		5,638
UCFM Code Remediation - Longley School-Depot Campus		32,835
UCFM Code Remediation - Lorentzen Horse Stable		138,250
UCFM Code Remediation - Norling Building - Depot Campus		44,592
UCFM Code Remediation - North Campus Dining Hall		6,133
UCFM Code Remediation - North Campus Dormitories		4,882
UCFM Code Remediation - North Parking Garage		1,175
UCFM Code Remediation - Northwest Quad		1,332
UCFM Code Remediation - Philip Austin Building (CLAS)		3,284
UCFM Code Remediation - Shippee Dorm & Catering Facility		884
UCFM Code Remediation - South Garage Stairwell		74,415
UCFM Code Remediation - Towers Dormitories		2,073
UCFM Code Remediation - Trecker Library Fire Alarm Upgrade		2,410
UCFM Code Remediation - UTEB / Engineering II		7,752
UCFM Code Remediation - West Campus Dormitories		529

	UCFM Code Remediation - White Dairy Bar Freezer Sprinklers	3,766
	UCFM Code Remediation - Williams Health Services Building	2,923
	UCFM Code Remediation - Willow House Daycare	295
	Whetten Office Renovation & Code Remediation	32
<b>Code/ADA Total</b>		<b>\$ 3,399,866</b>
<b>Access, Appearance &amp; Safety</b>	Annual Landscape Maintenance Allotment	155,669
	Concrete Maintenance on Storrs Campus	4,007
	Core Campus Deferred Maintenance, Fall 2013	824
	Dodd/Jorgensen/Babbidge - Concrete Stair Replacements	179,154
	Horsebarn Hill Pedestrian Safety Improvements	33,933
	North Campus Technology Park Parking Installation	24,827
	Pedestrian Safety Improvements - Benton Service Alley	69,065
	Pedestrian Safety Improvements - Core Campus Phase I	69,296
	Pedestrian Safety Improvement - Phase I	47,209
	South Campus Commons Landscape & Pedestrian Improvement Plan	535,118
	South Campus Stair Maintenance/Improvements	427,686
	Tech Park Parking Lot - Parcel J Installation	49,857
	Wayfinding Assessment and Implementation	33,912
	Wilbur Cross Reading Rooms Finish Upgrades	83,140
<b>Access, Appearance &amp; Safety Total</b>		<b>\$ 1,713,698</b>
<b>Renovation Lump Sum</b>	Academic Building Refurbishment 2016	60,552
	AMIC Lab 132 & Room 110 - Longley Renovation	9,744
	Atwater / Pathobiology Interior Refurbishment	360,018
	Avery Point Academic Building Classroom 211 Upgrades	31,345
	Bronwell Room 316-317 New Faculty Lab Renovation	27,351
	CLAS Academic Service Center (ASC) Relocation to Rowe Building	332,606
	Energy Services Performance Contract - Phase I (ESCO)	13,590,621
	Engineering II Computer Lab 202 Renovation	53,081
	Family Studies Interior Refurbishments	319,727
	Flooring Replacement - Drama Music 2nd Floor	236,512
	Flooring Replacement - Music Orchestra 2nd Floor	194,747
	FMRI (Functional Magnetic Resonance Imaging) Installation	20,655
	FY16 Abatement/Mold Remediation/Restoration Allotment	56,035
	FY17 Environmental Compliance-Asbestos Abatement/Mold Remediation/Restoration	481,726
	Hawley Armory Interior Refurbishment	199,866
	Koons Hall Renovation	821,314
	Master Plan - Deferred Maintenance	21,160
	New Faculty Renovation - ITEB CSE Faculty	486
	Phillips Communication Sciences Building 112 New Faculty Renovation	71,392
	Pilot Lab - Engineering II - West Wing (Lab 114+) Renovation	52,402
	Poultry Facility Egg Sales Cooler Relocation	2,163
	Psychology Bousfield Annex A201 Renovation	8,584
	Public Art Maintenance/Improvements	41
	Putnam Refectory Renovations	9,041,195
	Stamford Campus Police Substation Relocation & Renovation	2,794
	Torrey Life Sciences 411 MCB New Faculty (TL2198) Renovation	34,891
	Torrey Life Sciences 417 MCB New Faculty (TL2192) Renovation	4,306
	Towers Office Space Renovation (TL2239)	104,468
	Von der Mehden Recital Hall Stage Extension	16,068
<b>Renovation Lump Sum Total</b>		<b>\$ 26,155,851</b>
<b>Total Storrs &amp; Regionals</b>		<b>\$ 58,842,336</b>

#### UConn Health

<b>Buildings/Infrastructure</b>	195 Farmington Avenue Renovation - U3	274,536
	A Building Sub-basement	36,000
	Backup Generator Tie-in	109,115
	Building 20 Demolition	178,622
	Curb Modification	2,974
	Demolish Dowling North & South	17,021
	Elevator #27 & 28 Rebuilt	18,750
	Elevator Fire Alarm Upgrade	7,845
	ESB004 New Boiler & Data	1,345,989
	Exterior Tile Replacement-Munson	24,797
	Firehouse Improvements	2,527

Generator Munson Road	3,750
H Tower - Replace Exterior Sealant	12,329
Helipad Relocation	(24,596)
High Pressure Steam Line	23,145
HIM Relocation ASB	2,679
Main Building Facade Sealant	6,300
Maintenance/Replace Escalators	81,112
Medical Gas Storage	(2,762)
Munson Road Roof/Deck Maintenance/Improvements	2,290,835
P4002 Modification - UCH CMD	7,491
Parking Lot Maintenance/Improvements-FY16	321,778
Parking lots A,B,C Repave	21,800
Penthouse Radio Site	273
Renovate 195 Farmington Pk I	366,590
Replace 190 Ton Cooling Tower	208,437
Replace Cooling Tower SWTH	74,869
Replace Doors Elevator # 27	11,750
Sump Pumps Clinic Building	40,154
<b>Buildings/Infrastructure Total</b>	<b>\$ 5,464,110</b>
<b>Access, Appearance &amp; Safety</b> Human Resource Area Improvements	42,657
<b>Access, Appearance &amp; Safety Total</b>	<b>\$ 42,657</b>
<b>Total UConn Health</b>	<b>\$ 5,506,767</b>
 <b>Grand Total</b>	 <b>\$ 64,349,103</b>

Note: negative amounts reflect movement of expenditures off of bond funds.

**University of Connecticut**  
**UConn 2000 Phases I-III Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure**  
**Improvements & Renovation Lump Sum**  
**Expenditures For Fiscal Years 1996 - 2017**

	Phase I FY96-FY99	Phase II FY00-FY05*	Phase III FY06-FY12	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	Total
Storrs & Regional Campuses	\$45,858,374	\$125,333,277	\$102,598,809	\$13,320,722	\$11,220,309	\$24,546,476	\$43,800,626	\$58,842,336	\$ 425,520,930
UConn Health	-	11,700	22,384,371	3,784,252	3,714,548	1,689,211	2,134,902	5,506,767	39,225,750
<b>Grand Total</b>	<b>\$ 45,858,374</b>	<b>\$ 125,344,977</b>	<b>\$ 124,983,180</b>	<b>\$ 17,104,974</b>	<b>\$ 14,934,857</b>	<b>\$ 26,235,687</b>	<b>\$ 45,935,528</b>	<b>\$ 64,349,103</b>	<b>\$ 464,746,680</b>

**UConn 2000 Phases I-III Equipment, Library Collections and Telecommunications**  
**Expenditures For Fiscal Years 1996 - 2017**

	Phase I FY96-FY99	Phase II FY00-FY05*	Phase III FY06-FY12	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	Total
<b>Storrs &amp; Regional Campuses</b>									
Academic Services <sup>1</sup>	\$28,880,247	\$ 61,083,979	\$ 38,888,788	\$ 100,286	\$ 7,178,597	\$12,219,997	\$10,423,771	\$ 7,834,029	\$ 166,609,694
Libraries <sup>2</sup>	17,024,852	34,651,315	5,555,366	-	-	-	-	-	57,231,533
Student Services <sup>3</sup>	541,364	1,764,989	423,998	-	136,927	72,595	26,432	-	2,966,305
Operational Support & Physical Plant Services <sup>4</sup>	5,835,173	11,048,201	12,009,706	7,392,676	2,045,051	5,957,592	4,612,948	12,105,947	61,007,294
<b>Total - UConn Storrs &amp; Regional Campuses</b>	<b>\$ 52,281,635</b>	<b>\$ 108,548,484</b>	<b>\$ 56,877,858</b>	<b>\$ 7,492,963</b>	<b>\$ 9,360,575</b>	<b>\$ 18,250,184</b>	<b>\$ 15,063,152</b>	<b>\$ 19,939,975</b>	<b>\$ 287,814,825</b>
<b>UConn Health</b>									
Academic Services <sup>1</sup>	\$ -	\$ 3,653	\$ 19,611,899	\$ 730,841	\$ 1,274,389	\$ 1,237,925	\$ 1,087,238	\$ 1,613,990	\$ 25,559,934
Libraries	-	-	7,250,390	214,513	3,546	(3,546)	-	-	7,464,903
Operational Support & Physical Plant Services <sup>4</sup>	-	3,653	19,611,899	730,841	1,274,388	1,237,925	1,087,238	1,613,990	25,559,932
<b>Total spent by UConn</b>									
<b>Storrs for UConn Health <sup>5</sup></b>	<b>\$ -</b>	<b>\$ 7,306</b>	<b>\$ 46,474,188</b>	<b>\$ 1,676,194</b>	<b>\$ 2,552,323</b>	<b>\$ 2,472,303</b>	<b>\$ 2,174,475</b>	<b>\$ 3,227,980</b>	<b>\$ 58,584,771</b>
<b>Grand Total</b>	<b>\$ 52,281,635</b>	<b>\$ 108,555,790</b>	<b>\$ 103,352,046</b>	<b>\$ 9,169,157</b>	<b>\$ 11,912,898</b>	<b>\$ 20,722,487</b>	<b>\$ 17,237,627</b>	<b>\$ 23,167,956</b>	<b>\$ 346,399,596</b>

Notes:

- 1) Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- 2) The funding of library acquisitions was shifted to operating dollars as part of a phase-in plan which made UCONN 2000 dollars available for reallocation to other capital expenses. This was important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- 3) Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000.
- 4) Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.
- 5) UConn Health expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.

\*FY05 funds were allocated in Phase II and Phase III. For this summary, all expenditures for FY05 were included in Phase II.





## **ATTACHMENT 16**



September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum   
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Engineering Building – Engineering & Science Building  
(Revised Final: \$95,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$95,000,000 for the Engineering Building – Engineering & Science Building for Planning, Design and Construction.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$94,237,000 in UCONN 2000 bond funds and \$63,000 in Energy Funds for the Engineering Building- Engineering & Science Building project. This Resolution documents a change in Fund source with no change to the Approved Project Budget of \$95,000,000.”

BACKGROUND:

The new Engineering & Science Building is a state-of-the-art laboratory for research in Bio-Nano Engineering, Cyber-Physical Systems Engineering, Chemical Engineering and other sciences that will catalyze research advances in convergence technologies. Planning for this new structure evolved over time in response to the significant shortage of high-quality laboratory space for trans-disciplinary research.

The planning and design of the building was integrated with planning for the Next Generation Connecticut initiative. The building design provides space to accommodate some of the anticipated STEM faculty growth and to house the Institute for Systems Genomics.

In order to ready the site for the new building, two phases of enabling projects were necessary in advance of construction proper, including demolition of the Old Central Warehouse and natural gas distribution lines. The building has received a Temporary Certificate of Occupancy (TCO) for floors 2 – 4. The construction of the Engineering Building will be complete October 2017.

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The University has received a federal grant of \$63,000 from a Cooperative Agreement between the University of Connecticut and the Department of Environmental Protection, which supports “abating or preventing nonpoint source pollution” in the form of a green roof.

The Engineering Building – Engineering & Science Building project will conform to Connecticut High Performance Building Code regulations and is registered as a LEED project with a target of LEED Silver.

The Revised Final Budget is attached for your consideration and approval.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: ENGINEERING BUILDING - ENGINEERING & SCIENCE BUILDING

	APPROVED PLANNING 6/24/2008	APPROVED REVISED PLANNING 2/18/2010	APPROVED REVISED PLANNING 9/21/2010	APPROVED REVISED PLANNING 8/3/2011	APPROVED REVISED PLANNING 9/25/2013	APPROVED REVISED PLANNING 3/26/2014	APPROVED DESIGN 4/29/2015	APPROVED FINAL 6/24/2015	PROPOSED REVISED FINAL 9/27/2017
<b><u>BUDGETED EXPENDITURES</u></b>									
CONSTRUCTION	\$ -	\$ -	\$ -	\$ 45,750,000	\$ 68,100,000	\$ 68,100,000	\$ 68,100,000	\$ 73,500,000	\$ 73,500,000
DESIGN SERVICES	760,000	1,300,000	1,300,000	4,458,147	7,150,000	7,150,000	7,150,000	7,500,000	7,500,000
TELECOMMUNICATIONS	-	-	-	1,025,000	1,500,000	1,100,000	1,100,000	900,000	900,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	1,100,000	1,610,000	1,610,000	1,300,000	1,300,000
CONSTRUCTION ADMINISTRATION	10,000	10,000	10,000	-	1,812,000	1,812,000	1,812,000	1,300,000	1,300,000
OTHER AE SERVICES (including Project Management)	50,000	50,000	50,000	1,683,750	2,544,205	2,675,000	2,675,000	2,600,000	2,600,000
ART	-	-	-	450,000	681,000	681,000	681,000	700,000	700,000
RELOCATION	-	-	-	300,000	-	50,000	50,000	300,000	300,000
ENVIRONMENTAL	45,000	45,000	45,000	45,000	75,000	110,000	110,000	100,000	100,000
INSURANCE AND LEGAL	5,000	15,000	15,000	35,000	55,000	50,000	50,000	100,000	100,000
MISCELLANEOUS	-	350,000	350,000	253,103	150,000	50,000	50,000	400,000	400,000
OTHER SOFT COSTS	30,000	30,000	30,000	-	85,195	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 900,000</b>	<b>\$ 1,800,000</b>	<b>\$ 1,800,000</b>	<b>\$ 54,000,000</b>	<b>\$ 83,252,400</b>	<b>\$ 83,388,000</b>	<b>\$ 83,388,000</b>	<b>\$ 88,700,000</b>	<b>\$ 88,700,000</b>
PROJECT CONTINGENCY	100,000	200,000	200,000	6,500,000	9,247,600	9,112,000	9,112,000	6,300,000	6,300,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 2,000,000</u></b>	<b><u>\$ 2,000,000</u></b>	<b><u>\$ 60,500,000</u></b>	<b><u>\$ 92,500,000</u></b>	<b><u>\$ 92,500,000</u></b>	<b><u>\$ 92,500,000</u></b>	<b><u>\$ 95,000,000</u></b>	<b><u>\$ 95,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>									
OTHER FUNDS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 63,000
UConn 2000 BOND FUNDS	1,000,000	2,000,000	2,000,000	60,500,000	92,500,000	92,500,000	92,500,000	95,000,000	94,937,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 2,000,000</u></b>	<b><u>\$ 2,000,000</u></b>	<b><u>\$ 60,500,000</u></b>	<b><u>\$ 92,500,000</u></b>	<b><u>\$ 92,500,000</u></b>	<b><u>\$ 92,500,000</u></b>	<b><u>\$ 95,000,000</u></b>	<b><u>\$ 95,000,000</u></b>

ENGINEERING BUILDING –  
ENGINEERING AND SCIENCE BUILDING  
Project Budget (REVISED FINAL)  
September 27, 2017



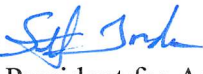
## **ATTACHMENT 17**






September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum   
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III (Revised Final: \$56,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$56,000,000 for the North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$55,886,364 in UCONN 2000 bond funds and \$113,636 in Other Funds for North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III.”

BACKGROUND:

The North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III project is intended to replace aging infrastructure along a portion of the North Eagleville Road corridor from the W.B. Young Building westerly to the Northwest Residences. The increase to the Project Budget is attributable to unforeseen field conditions during construction including large quantities of rock ledge, polluted / contaminated soils, unknown and mismarked existing utilities and other construction issues that have affected the schedule and cost of the project. The EVPA & CFO approved an immediate increase of \$2,450,000, less than the 5% maximum allowed by BOT policy, on September 1, 2017 for an Approved Revised Final Project Budget of \$51,450,000. This resolution requests an additional \$4,550,000 for a new Revised Final Project Budget of \$56,000,000. The Revised Final Budget is based on the Construction Manager’s estimates to complete construction.

The North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades project is being implemented in three phases of construction. Phase I, which replaced a failed steam line, was completed in 2014. Phase II, which replaced and upgraded utilities in the W.B. Young Quad, was completed in December 2015.

Phase III, which is the subject of this resolution, replaces and upgrades utilities in the North Eagleville Road Corridor. Utilities included in the project scope are: steam and condensate return, electrical, water, storm and sanitary gravity sewer, a sanitary force main, and telecommunications. The project will include opportunities for landscape improvements and reconfiguration of North Eagleville Road within the project locus to improve pedestrian safety as defined by the University Master Plan approved in February 2015.

Phase III is being implemented in two phases of construction over a period of two Summers/Falls in 2016 and 2017. The University has retained a Construction Manager at Risk to implement the project under a Guaranteed Maximum Price (GMP) contract.

Phase IIIA was completed in the Fall of 2016 and focused on the area of Towers Residence Halls, the CAHNR campus east of Storrs Road and a portion of North Eagleville Road from Storrs Road west to the Lakeside Building and northerly to the Towers Residential Complex. This phase replaced steam distribution and condensate return piping, electrical power distribution, telecommunications distribution, and a domestic water main.

Phase IIIB is focused on North Eagleville Road from Storrs Road west to Discovery Drive. This phase replaces steam distribution and condensate return piping, electrical power distribution, telecommunication distribution, sanitary force main, and install a new high pressure fire main. Surface and roadway improvements to North Eagleville Road will be included in this phase of construction and will include pedestrian safety improvements, improved lighting, and improved traffic flow through the corridor.

The North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase IIIB is currently in the Construction Phase. Design began in Summer of 2016 and design documents were bid in Winter 2017. Construction began in May 2017 with anticipated completion in January 2018, with landscape completion to follow in Spring 2018. Phase IIIB is being constructed under a Project Labor Agreement.

The Revised Final Budget is attached for your information.

Attachment



## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: NORTH EAGLEVILLE ROAD AREA INFRASTRUCTURE REPAIR/REPLACEMENT AND UPGRADES - PHASE III**


	APPROVED PLANNING 4/28/2015 SARCC	APPROVED REVISED PLANNING 8/5/2015	APPROVED DESIGN 10/28/2015	APPROVED FINAL 12/16/2015	APPROVED REVISED FINAL 3/29/2017	APPROVED REVISED FINAL 9/1/2017 EVPACFO	PROPOSED REVISED FINAL 9/27/2017
<b><u>BUDGETED EXPENDITURES</u></b>							
CONSTRUCTION	\$ 250,000	\$ 200,000	\$ 300,000	\$ 13,000,000	\$ 41,947,000	\$ 44,397,000	\$ 49,697,000
DESIGN SERVICES	-	1,000,000	2,000,000	2,000,000	2,100,000	2,100,000	2,100,000
TELECOMMUNICATIONS	-	-	-	-	100,000	100,000	100,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	750,000	750,000	770,000	770,000	770,000
OTHER AE SERVICES (including Project Management)	10,000	90,000	150,000	1,200,000	1,953,000	1,953,000	1,953,000
ART	-	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-	-
ENVIRONMENTAL	-	30,000	30,000	210,000	335,000	335,000	335,000
INSURANCE AND LEGAL	-	10,000	170,000	200,000	155,000	155,000	155,000
MISCELLANEOUS	2,000	-	-	140,000	140,000	140,000	140,000
OTHER SOFT COSTS	-	-	-	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 262,000</b>	<b>\$ 1,330,000</b>	<b>\$ 3,400,000</b>	<b>\$ 17,500,000</b>	<b>\$ 47,500,000</b>	<b>\$ 49,950,000</b>	<b>\$ 55,250,000</b>
PROJECT CONTINGENCY	38,000	170,000	600,000	2,500,000	1,500,000	1,500,000	750,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 300,000</b>	<b>\$ 1,500,000</b>	<b>\$ 4,000,000</b>	<b>\$ 20,000,000</b>	<b>\$ 49,000,000</b>	<b>\$ 51,450,000</b>	<b>\$ 56,000,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>							
UConn 2000 BOND FUNDS	\$ 300,000	\$ 1,500,000	\$ 4,000,000	\$ 20,000,000	\$ 49,000,000	\$ 51,450,000	\$ 55,886,364
OTHER FUNDS	-	-	-	-	-	-	113,636
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 300,000</b>	<b>\$ 1,500,000</b>	<b>\$ 4,000,000</b>	<b>\$ 20,000,000</b>	<b>\$ 49,000,000</b>	<b>\$ 51,450,000</b>	<b>\$ 56,000,000</b>


## **ATTACHMENT 18**



September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum   
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Stamford Parking Garage Demolition  
(Final: \$13,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$13,000,000 for the Stamford Parking Garage Demolition for Design and Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$13,000,000 in UCONN 2000 bond funds for Stamford Parking Garage Demolition.”

BACKGROUND:

The Stamford Parking Garage is a three level steel and concrete structure located on approximately 4 acres at the Stamford Campus. The site is at the intersection of Washington Avenue and Broad Street, with the Mill River at the west border and 11 occupied residential lots to the north.

Macchi Engineers was retained to assess the condition of the parking garage. A report issued in February of 2017 determined that the garage is beyond its useful life and should be demolished at the earliest opportunity. The garage and the site soil tested positive for environmental and Tighe and Bond was retained to produce contract documents and assist in the permitting process.

This project is for the demolition and disposal of the existing parking structure and the garage site remediation as required for the future surface parking project.

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*An Equal Opportunity Employer*

The Stamford Parking Garage Demolition Project is currently in the Design Phase. Design began in June 2017 and construction documents will be completed in September 2017. The current schedule anticipates that the project will be bid in Fall 2017 and construction will begin in November 2017 and will be complete in Winter 2018.

The Final Budget is based on a professional cost estimator who prepared an estimate of probable cost based on the design development documents.

The Final Budget is attached for your information.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: STAMFORD PARKING GARAGE DEMOLITION**

	APPROVED PLANNING 5/1/2017 PRC	APPROVED REVISED PLANNING 5/26/2017 PRC	APPROVED DESIGN 8/21/2017 PRC	PROPOSED FINAL 9/27/2017
<b><u>BUDGETED EXPENDITURES</u></b>				
CONSTRUCTION	\$ -	\$ -	\$ -	\$ 10,500,000
DESIGN SERVICES	120,000	220,000	310,000	420,000
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	73,000
OTHER AE SERVICES (including Project Management)	10,000	15,000	20,000	428,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	-	-	45,000
INSURANCE AND LEGAL	2,000	2,000	2,000	30,000
MISCELLANEOUS	-	-	-	4,000
OTHER SOFT COSTS	3,000	5,000	5,000	-
<b>SUBTOTAL</b>	<b>\$ 135,000</b>	<b>\$ 242,000</b>	<b>\$ 337,000</b>	<b>\$ 11,500,000</b>
PROJECT CONTINGENCY	15,000	30,000	35,000	1,500,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 150,000</u></b>	<b><u>\$ 272,000</u></b>	<b><u>\$ 372,000</u></b>	<b><u>\$ 13,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>				
UConn 2000 BOND FUNDS	<u>\$ 150,000</u>	<u>\$ 272,000</u>	<u>\$ 372,000</u>	<u>\$ 13,000,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 150,000</u></b>	<b><u>\$ 272,000</u></b>	<b><u>\$ 372,000</u></b>	<b><u>\$ 13,000,000</u></b>

# STAMFORD PARKING GARAGE DEMOLITION

Project Budget (FINAL)

September 27, 2017



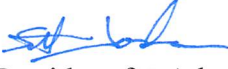
Project Site


## **ATTACHMENT 19**



September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitlebaum   
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Farm Buildings Repair/Replacement - Spring Hill Farm  
ABSL2 Facility (Final: \$3,800,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$3,800,000 for the Farm Buildings Repair/Replacement - Spring Hill Farm ABSL2 Facility for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$3,000,000 in UCONN 2000 bond funds and \$800,000 in University Funds for Farm Buildings Repair/Replacement - Spring Hill Farm ABSL2 Facility.”

BACKGROUND:

A new standalone vivarium/animal research facility built to Animal Biosafety Level 2 (ABSL2) based upon a revised program for a reduced size facility of 2,880 GSF. The facility will be located at the Spring Hill Farm along RT 195. Animal research to include poultry, swine and bovine.

The Farm Buildings Repair/Replacement - Spring Hill Farm ABSL2 Facility is currently in the Bidding Phase. Design began in November 2016 and construction documents bid in July 2017. Construction is anticipated to begin in October 2017 and to be complete in May 2018.

The Final Budget is based upon results from a competitive bidding process with pre-qualified contractors.



The anticipated total project budget is \$3,800,000.

The Final Budget is attached for your information.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: FARM BUILDINGS REPAIR/REPLACEMENT- SPRING HILL FARM ABSL 2 FACILITY**

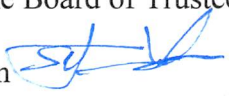
	<b>APPROVED PLANNING 12/5/2013 SARCC</b>	<b>APPROVED DESIGN 10/30/2014 SARCC</b>	<b>PROPOSED FINAL 9/27/2017</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$ -	\$ -	\$ 2,750,000
DESIGN SERVICES	275,000	275,000	439,000
TELECOMMUNICATIONS	-	-	50,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	120,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	30,000	30,000	134,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	5,500	5,500	5,500
MISCELLANEOUS	1,500	1,500	1,500
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 312,000</b>	<b>\$ 312,000</b>	<b>\$ 3,500,000</b>
PROJECT CONTINGENCY	48,000	48,000	300,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 360,000</b>	<b>\$ 360,000</b>	<b>\$ 3,800,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UNIVERSITY FUNDS	\$ 60,000	\$ 60,000	\$ 800,000
UConn 2000 BOND FUNDS	300,000	300,000	3,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 360,000</b>	<b>\$ 360,000</b>	<b>\$ 3,800,000</b>


## **ATTACHMENT 20**



September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum   
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN 2000 Code Remediation: Wilbur Cross  
(Revised Final: \$1,640,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$1,640,000 for the UConn 2000 Code Remediation: Wilbur Cross for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$1,332,054 in UCONN 2000 bond Funds and \$307,946 in University Funds for UConn 2000 Code Remediation: Wilbur Cross.”

BACKGROUND:

The University Office of Fire Marshal and Building Inspector (OFMBI), after completing a required plan review and field inspection of the UCONN 2000 Wilbur Cross Building Renovations Project, issued a total of sixty-nine code discrepancies in 2009.

Fifty five of the sixty-nine cited discrepancies have been corrected to date. During the course of corrective work, additional discrepancies were observed and cited by OFMBI. The correction of these additional discrepancies required additional design and construction services and consequently increased the project costs. Remediation designs have been completed and this revised final budget is for additional funding to cover the cost correction. At the completion of construction, all cited code discrepancies under the UCONN 2000 Code Remediation Program for the Wilbur Cross building will be remediated.

The Revised Final Budget is attached for your information.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: UCONN 2000 CODE REMEDIATION: WILBUR CROSS BUILDING**


	APPROVED FINAL 4/24/2013	APPROVED REVISED FINAL 2/26/2014	APPROVED REVISED FINAL 9/24/2014	PROPOSED REVISED FINAL 9/27/2017
<b><u>BUDGETED EXPENDITURES</u></b>				
CONSTRUCTION	\$ 428,700	\$ 829,000	\$ 829,000	\$ 1,029,000
DESIGN SERVICES	65,300	96,000	96,000	96,000
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	123,000	158,000	158,000	208,000
OTHER AE SERVICES (including Project Management)	25,000	31,000	71,000	71,000
ART	-	-	-	-
RELOCATION	5,000	20,000	20,000	20,000
ENVIRONMENTAL	-	-	-	-
INSURANCE AND LEGAL	45,000	60,000	60,000	60,000
MISCELLANEOUS	15,000	26,000	26,000	26,000
OTHER SOFT COSTS	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 707,000</b>	<b>\$ 1,220,000</b>	<b>\$ 1,260,000</b>	<b>\$ 1,510,000</b>
PROJECT CONTINGENCY	60,000	80,000	80,000	130,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 767,000</u></b>	<b><u>\$ 1,300,000</u></b>	<b><u>\$ 1,340,000</u></b>	<b><u>\$ 1,640,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>				
UNIVERSITY FUNDS	\$ 767,000	\$ 1,300,000	\$ 307,946	\$ 307,946
UCONN 2000 BOND FUNDS	-	-	1,032,054	1,332,054
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 767,000</u></b>	<b><u>\$ 1,300,000</u></b>	<b><u>\$ 1,340,000</u></b>	<b><u>\$ 1,640,000</u></b>


## **ATTACHMENT 21**



September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum   
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Stamford Campus Surface Parking  
(Design: \$500,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$500,000 for Main Campus Parking Replacements for Planning and Design. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$500,000 in UConn 2000 bond funds for Stamford Campus Surface Parking.”

BACKGROUND:

The planned demolition of the existing parking garage located at 1194 Washington Boulevard will result in the displacement of approximately 900 parking spaces comprising approximately 600 spaces serving the Stamford Campus and approximately 300 spaces serving Stamford Hospital.

The scope of this project is to design and construct a new surface parking lot immediately upon completion of the garage's abatement, demolition and site remediation. The design will be based upon the concept prepared during Planning and accommodate approximately 300 parking spaces with on-grade connectivity to Mill River Park.

Planning began in May 2017 and the Project recently entered its Design Phase with a consulting team led by Cardinal Engineering Associates of Meriden, CT. If the Garage Demolition project

proceeds on schedule, the current Surface Parking Project schedule anticipates bidding by February 2018 and construction completed by the fall semester of 2018.

The total Project budget is in the range of \$3,500,000 to \$4,500,000. This Planning estimate is dependent upon the outcome of the Garage Demolition and site remediation and detailed cost estimating as the Design phase progresses.

The Design Budget, Location Map and Conceptual Site Plan are attached for your information.

Attachment



## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: DESIGN**

**PROJECT NAME: STAMFORD CAMPUS SURFACE PARKING**

	<b>APPROVED PLANNING 5/25/2017</b>	<b>PROPOSED DESIGN 9/27/2017</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
	PRC	
CONSTRUCTION	\$ -	\$ -
DESIGN SERVICES	200,000	325,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	45,000	50,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	10,000	20,000
INSURANCE AND LEGAL	5,000	15,000
MISCELLANEOUS	5,000	15,000
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 265,000</b>	<b>\$ 425,000</b>
PROJECT CONTINGENCY	35,000	75,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 300,000</u></b>	<b><u>\$ 500,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 BOND FUNDS	<u>\$ 300,000</u>	<u>\$ 500,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 300,000</u></b>	<b><u>\$ 500,000</u></b>

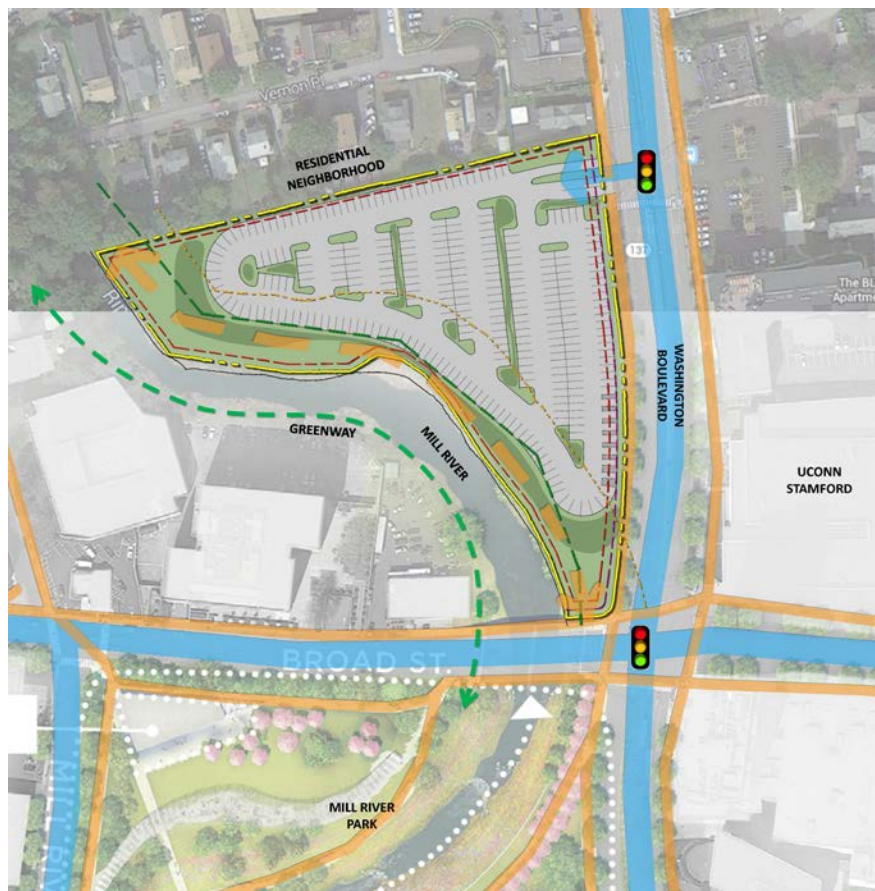
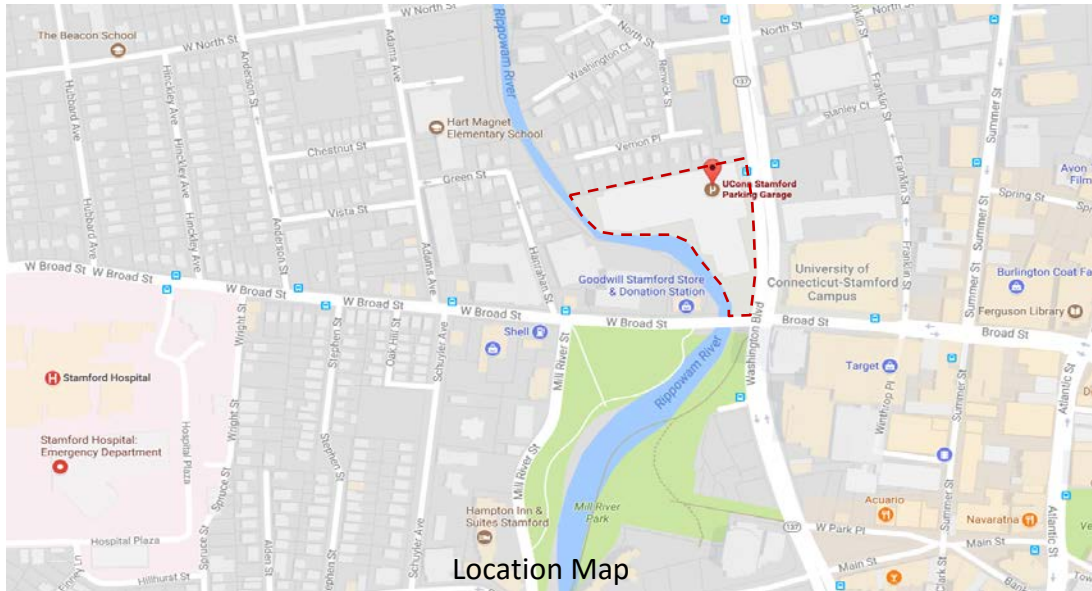
BOT 9.27.17

300024

# STAMFORD CAMPUS SURFACE PARKING

## Project Budget (DESIGN)

September 27, 2017




Conceptual Site Plan


## **ATTACHMENT 22**



September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum   
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Academic and Research Facilities – STEM Research Center  
Science 1 (Revised Planning: \$15,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget of \$15,000,000 for Academic and Research Facilities – STEM Research Center Science 1 for Planning and Design.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the Revised Planning Budget of \$15,000,000 in UCONN 2000 bond funds for the Academic and Research Facilities – STEM Research Center Science 1 project.”

BACKGROUND:

The Board of Trustees approved the Master Plan on February 25, 2015 that provides a vision and a series of development guidelines for new construction on the Storrs campus and specifically for the advancement of the area known as the Northwest Science Quad District. The District will be the location for the expansion of UConn’s research enterprise providing an exciting opportunity to plan and design new buildings and infrastructure in support of new STEM research and teaching facilities in a distinct campus quadrangle. The new quad district will be built in phases on the large area of undeveloped land located within a five minute walk to the core of central campus. The first funded project is Academic and Research Facilities – STEM Research Center Science 1, an approximately 200,000 GSF research facility that will be designed to meet both the current and future programmatic requirements of the University of Connecticut as it seeks to balance the anticipated rise in student enrollment in STEM programs with future research needs.

The Board approved an initial expenditure amount of \$1,000,000 in November 2013 for the planning and feasibility analysis of the STEM Research Center Science 1. The Board approved

an amended amount of \$5,000,000 in June 2016 for the planning and schematic design of the STEM Research Center Science 1.

The Academic and Research Facilities – STEM Research Center Science 1 is currently in the Planning Phase. Planning began in Winter 2015, conceptual design was completed in May 2017 and construction documents will be bid in Winter 2019. Construction is anticipated to begin in Spring 2019 and to be complete in Summer 2021. It is anticipated that the project will be constructed under a Project Labor Agreement.

The Academic and Research Facilities – STEM Research Center Science 1 project will conform to Connecticut High Performance Building Code regulations and will be registered as a LEED project with a target of LEED Gold.

The anticipated total cost of the project will be in the range of \$225,000,000 to \$250,000,000.

The Revised Planning Budget is attached for your information.

Attachment

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET:   REVISED PLANNING**

**PROJECT NAME:       ACADEMIC AND RESEARCH FACILITIES - STEM RESEARCH CENTER SCIENCE 1**

	<b>APPROVED PLANNING 11/13/2013</b>	<b>APPROVED REVISED PLANNING 6/29/2016</b>	<b>PROPOSED REVISED PLANNING 9/27/2017</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$       -	\$   200,000	\$   600,000
DESIGN SERVICES	600,000	3,840,000	11,250,000
TELECOMMUNICATIONS	20,000	-	-
FURNITURE, FIXTURES AND EQUIPMENT	20,000	-	-
CONSTRUCTION ADMINISTRATION	100,000	300,000	600,000
OTHER AE SERVICES (including Project Management)	125,000	150,000	850,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	150,000
INSURANCE AND LEGAL	5,000	7,500	20,000
MISCELLANEOUS	-	2,500	30,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$   870,000</b>	<b>\$ 4,500,000</b>	<b>\$ 13,500,000</b>
PROJECT CONTINGENCY	130,000	500,000	1,500,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 5,000,000</u></b>	<b><u>\$ 15,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 BOND FUNDS	<u>\$ 1,000,000</u>	<u>\$ 5,000,000</u>	<u>\$ 15,000,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 5,000,000</u></b>	<b><u>\$ 15,000,000</u></b>



ACADEMIC AND RESEARCH FACILITIES –  
STEM RESEARCH CENTER SCIENCE 1  
Project Budget (REVISED PLANNING)  
September 27, 2017



Northwest Science Quad Conceptual Site Plan


## **ATTACHMENT 23**






September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum   
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for the Supplemental Utility Plant Project  
(Planning: \$ 5,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget of \$5,000,000 for the Supplemental Utility Plant Project for Planning. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$5,000,000 in UCONN 2000 bond funds for the Supplemental Utility Plant Project.”

BACKGROUND:

The University produces or treats and distributes its own electrical power, steam, chilled water domestic water, reclaimed water, and sanitary waste.

Utility modeling has shown that additional chilled water, steam, and electrical power will be needed to support the development of the Storrs Campus as projected by the University Master Plan and the STEM initiatives of Next Generation Connecticut Capital Plan, particularly the STEM Research Center Science 1 building.

The University has determined that these additional loads may best be produced in a new Supplemental Utility Plant in the Northwest section of campus.

The Supplemental Utility Plant may include the following elements:

- New chiller(s) required to be in place in order to support the Gant Science Renovations Phase II (2020 completion)
- An upgraded electrical utility connection to support the new STEM Research Center Science 1 building (2021 completion). The upgraded connection will also re-establish the original design for the campus electrical power distribution, which allowed the campus to run on either UConn Cogen power produced at the Central Utility Plant (CUP) or power imported from the Eversource grid.
- Emergency generator(s) to ensure emergency power demands are met once STEM Research Center Science 1 building is completed.
- Steam boiler(s) to replace the aging boilers located at the CUP which are required to be phased out of service in 2023 due to regulatory emissions caps
- Electrical tri-generation equipment needed to increase electrical capacity necessary in order to supply projected campus needs.

The project will be constructed in two phases. Phase I will include the new Supplemental Utility Plant building, site improvements, chiller(s), emergency generator(s), and steam boiler(s). The estimated total project cost of Phase I is \$20-30 million. Phase II includes installation of the electrical tri-generation equipment, which will be, determined during Phase I. Phase II has an estimated total project cost of \$40-60 million.

The initial \$5,000,000 budget is for the design of Phase 1 and planning for Phase II. Architect and Engineer selection has concluded allowing the University to engage a design firm.

The Supplemental Utility Plant Project is currently in the Planning Phase. Planning began in Fall of 2016 and design documents will be completed in the summer of 2018. The project will bid in Fall 2018 and Phase 1 Construction is anticipated to begin in late 2018 / early 2019 and to be complete in 2020.

The Planning Budget is based on fee proposals received during the architect selection.

The Planning Phase Budget is attached for your information.

Attachment

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: PLANNING**

**PROJECT NAME: SUPPLEMENTAL UTILITY PLANT**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>PROPOSED PLANNING <u>9/27/2017</u></b>
CONSTRUCTION	\$ 200,000
DESIGN SERVICES	3,830,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	230,000
ART	-
RELOCATION	-
ENVIRONMENTAL	60,000
INSURANCE AND LEGAL	30,000
MISCELLANEOUS	-
OTHER SOFT COSTS	<u>150,000</u>
<b>SUBTOTAL</b>	<b>\$ 4,500,000</b>
PROJECT CONTINGENCY	<u>500,000</u>
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 5,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
UCONN 2000 BOND FUNDS	<u>\$ 5,000,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 5,000,000</u></b>

BOT 9.27.17

300025

# SUPPLEMENTAL UTILITY PLANT PROJECT

## Project Budget (PLANNING)

September 27, 2017




Conceptual Site layout including the Supplemental Utility Plant

## **ATTACHMENT 24**

# UConn HEALTH

September 27, 2017

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA   
Executive Vice President for Health Affairs

Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Elevator 24 & 25 Modernization and Sill Repairs (Revised Final: \$1,390,000)

## RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$1,390,000 for the UConn Health (UCH) Elevator 24 & 25 Modernization and Sill Repairs

## RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$1,390,000 in UConn 2000 funds for the UConn Health (UCH) Elevator 24 & 25 Modernization and Sill Repairs.”

## BACKGROUND:

Elevators 24 and 25 are the service elevators for the Connecticut Tower area of the Main Building. An initial assessment determined the existing sills have started to fail structurally and need to be replaced. Subsequent evaluation of the elevator operating components and controls determined that a modernization of the units is also needed. Modernization includes replacing the hoist-way and cab components and motor controls and makes the elevators safer, more efficient.

The Revised Final budget is based upon receipt of bids for the work. The low bid is over budget resulting in a \$290,000 budget increase. The overage will be covered by UCONN 2000 Deferred Maintenance contingency funds.

The Revised Final Budget is attached for your consideration and approval. The Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 18, 2017.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:**    **REVISED FINAL**

**PROJECT NAME:**        **UCONN HEALTH - ELEVATOR 24 & 25 SILL REPAIRS**

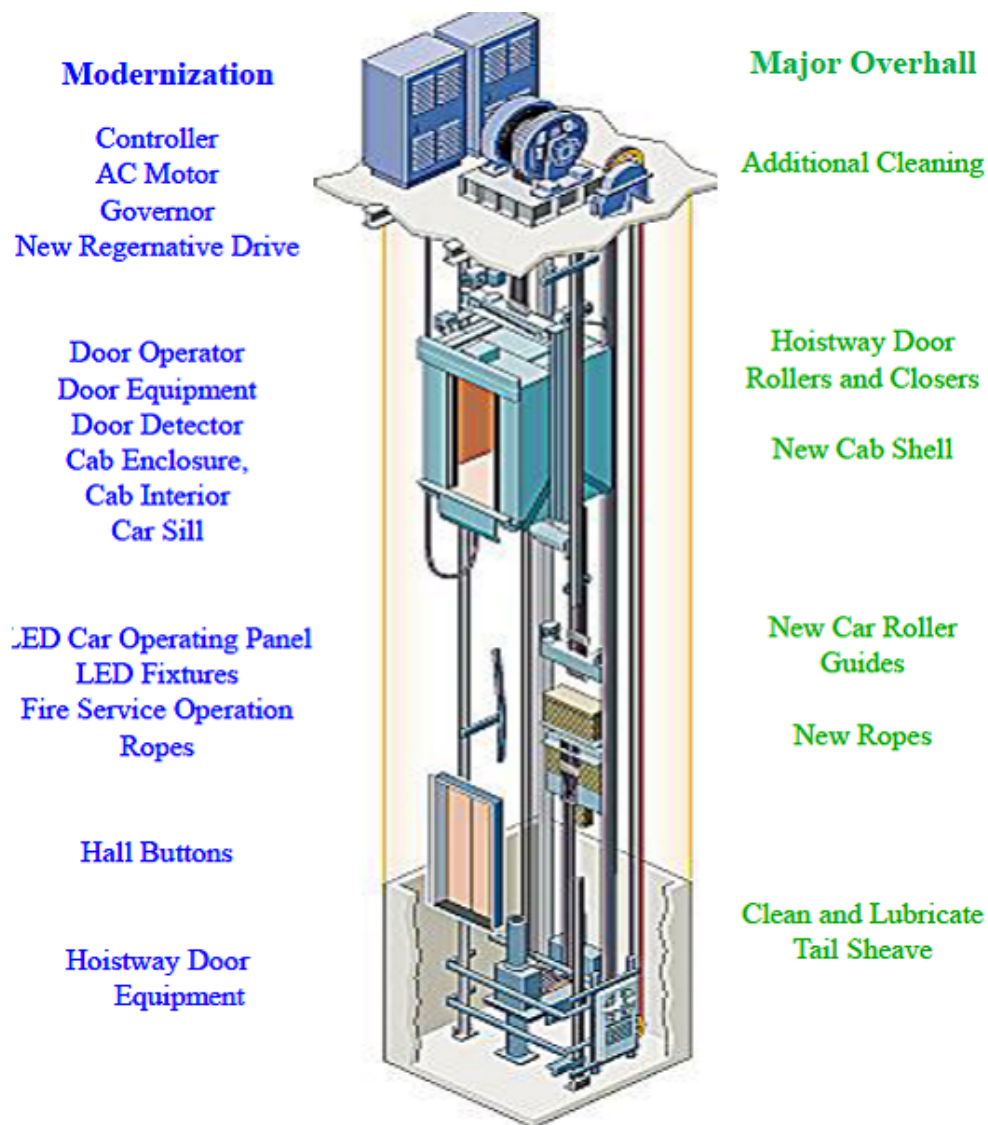
	<b>APPROVED PLANNING 3/30/2016</b>	<b>APPROVED DESIGN 3/29/2017</b>	<b>APPROVED FINAL 6/28/2017</b>	<b>PROPOSED REVISED FINAL 9/27/2017</b>
<b><u>BUDGETED EXPENDITURES</u></b>				
CONSTRUCTION	\$ 489,000	\$ 870,000	\$ 870,000	\$ 1,160,000
DESIGN SERVICES	35,000	108,000	108,000	108,000
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-
OTHER AE SERVICES (including Project Management)	13,000	13,000	13,000	13,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	-	-	-
INSURANCE AND LEGAL	5,000	5,000	5,000	5,000
MISCELLANEOUS	3,000	4,000	4,000	4,000
<b>SUBTOTAL</b>	<b>\$ 545,000</b>	<b>\$ 1,000,000</b>	<b>\$ 1,000,000</b>	<b>\$ 1,290,000</b>
PROJECT CONTINGENCY	55,000	100,000	100,000	100,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 600,000</u></b>	<b><u>\$ 1,100,000</u></b>	<b><u>\$ 1,100,000</u></b>	<b><u>\$ 1,390,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>				
UCONN 2000 FUNDS	\$ 600,000	\$ 1,100,000	\$ 1,100,000	\$ 1,390,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 600,000</u></b>	<b><u>\$ 1,100,000</u></b>	<b><u>\$ 1,100,000</u></b>	<b><u>\$ 1,390,000</u></b>

BOT 9.27.17

08-054



**UCONN HEALTH/IMPROVEMENTS**  
**UConn Health - Elevator 24 & 25 Modernization and Sill**  
**Repairs (Revised Final: \$1,390,000)**  
**September 27, 2017**



MODERNIZATION DIAGRAM




## **ATTACHMENT 25**

# UConn HEALTH

September 27, 2017

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA   
Executive Vice President for Health Affairs

Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Main Accumulation Building (Revised Final: \$3,850,000)

## RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$3,850,000 for the UConn Health (UCH) Main Accumulation Building project

## RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$2,000,000 in UConn 2000 funds and \$1,850,000 in UCH funds for the UConn Health Main Accumulation Building.”

## BACKGROUND:

UConn Health’s building for the collection, consolidation, and shipping of hazardous waste materials from research and clinical activities was demolished in late 2012 as part of the site preparation for the Jackson Laboratory for Genomic Medicine. Since that time the waste materials have been handled in temporary areas in the Main Building lab area. The temporary area is undersized and does not provide sufficient storage areas, resulting in less than optimal operating conditions including more frequent shipping and thus increased operating expenses. This project will construct a new building sized at approximately 4,000 gross square feet to establish a new permanent location for the waste handling and shipping activities.

The Revised Final budget is based upon receipt of bids for the work. The low bid is under budget resulting in a \$550,000 budget reduction. The proposed budget also reflects a change in funding from all UCH funds to a combination of UConn 2000 funds and UCH funds.

The Revised Final Budget is attached for your consideration and approval. The Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 18, 2017.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:**    **REVISED FINAL**

**PROJECT NAME:**        **UCONN HEALTH - MAIN ACCUMULATION BUILDING**

	<b>APPROVED PLANNING 12/7/2016</b>	<b>APPROVED DESIGN 3/29/2017</b>	<b>APPROVED FINAL 6/28/2017</b>	<b>PROPOSED REVISED FINAL 9/27/2017</b>
<b><u>BUDGETED EXPENDITURES</u></b>				
CONSTRUCTION	\$ 2,080,000	\$ 3,600,000	\$ 3,600,000	\$ 3,070,000
DESIGN SERVICES	305,000	305,000	305,000	352,000
TELECOMMUNICATIONS	25,000	25,000	25,000	25,000
FURNITURE, FIXTURES AND EQUIPMENT	35,000	35,000	35,000	35,000
CONSTRUCTION ADMINISTRATION	-	-	-	-
OTHER AE SERVICES (including Project Management	45,000	45,000	45,000	28,000
ART	-	-	-	-
RELOCATION	5,000	5,000	5,000	5,000
ENVIRONMENTAL	10,000	10,000	10,000	-
INSURANCE AND LEGAL	10,000	10,000	10,000	-
MISCELLANEOUS	10,000	10,000	10,000	10,000
<b>SUBTOTAL</b>	<b>\$ 2,525,000</b>	<b>\$ 4,045,000</b>	<b>\$ 4,045,000</b>	<b>\$ 3,525,000</b>
PROJECT CONTINGENCY	255,000	355,000	355,000	325,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 2,780,000</u></b>	<b><u>\$ 4,400,000</u></b>	<b><u>\$ 4,400,000</u></b>	<b><u>\$ 3,850,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>				
UCONN HEALTH FUNDS	\$ 2,780,000	\$ 4,400,000	\$ 4,400,000	\$ 1,850,000
UCONN 2000 FUNDS	-	-	-	2,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 2,780,000</u></b>	<b><u>\$ 4,400,000</u></b>	<b><u>\$ 4,400,000</u></b>	<b><u>\$ 3,850,000</u></b>

BOT 9.27.17

17-007

# UCONN HEALTH/IMPROVEMENTS

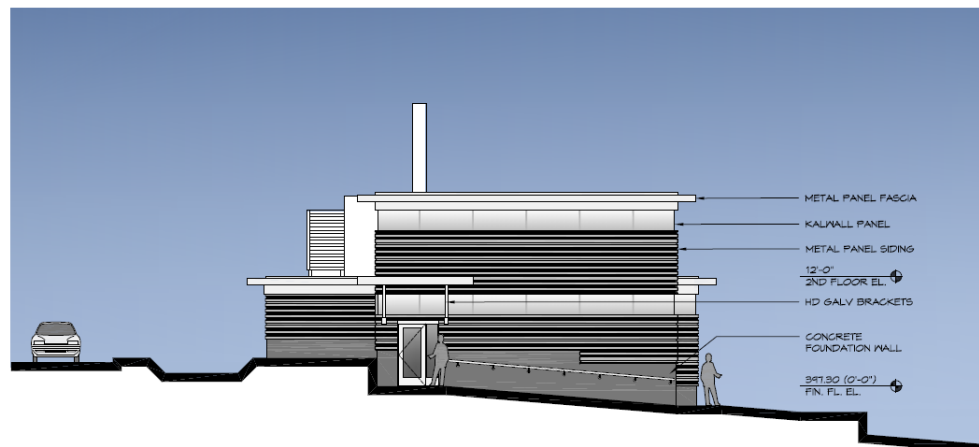
## UConn Health – Main Accumulation Building

(Revised Final: \$3,850,000)

September 27, 2017



### MAIN ACCUMULATION BUILDING: SITE PLAN




### EAST ELEVATION

## **ATTACHMENT 26**

September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan  
Executive Vice President for Administration and Chief Financial Officer 

RE: Notice to Board of Trustees and the Public that the University is Considering the  
Adoption of Procurement Policies Pursuant to Public Act No. 17-130

RECOMMENDATION:

It is recommended that the Board of Trustees adopt procurement policies, pursuant to Public Act No. 17-130, to provide the University of Connecticut with the option to enter into certain contracts for the purchase of goods and services or to lease personal property using procurement procedures that differ from those prescribed in Conn. Gen. Stat. § 10a-151b(b). Drafts of the proposed policies are attached.

This memorandum is to notify the Board, interested persons, and the general public that the University is considering the adoption of the proposed policies and will be accepting comments.

BACKGROUND:

On June 30, 2017, Public Act No. 17-130 ("Act") was signed into law. The Act authorizes the Board of Trustees to adopt policies that would allow UConn to enter into certain contracts for the purchase of goods and services or to lease personal property using procurement procedures that differ from those prescribed in Conn. Gen. Stat. § 10a-151b(b). UConn supported this legislation during the 2017 Regular Legislative Session, as did the Board of Regents for Higher Education. The purpose of the legislation was to enable certain contracting activities undertaken by higher education institutions to be performed more efficiently and effectively.

The proposed procurement policies would cover contracts for the purchase of goods and services or the lease of personal property that (1) are made outside the United States and used abroad; (2) are made as part of a collaboration agreement; or (3) do not involve the expenditure of state or certain institutional funds.

The Act requires the Board to provide “a reasonable opportunity for interested persons to present their views on such policies prior to adoption.” This requirement is similar to the process used to amend UConn’s By-Laws, which requires that notice be provided at one Board meeting and action be taken at a subsequent meeting.

The proposed policies have been, or will be, posted to the Procurement Services webpage. Anyone interested in commenting on the proposed policies can submit written comments on the webpage or by mail to an address provided on the webpage. Comments will be accepted for thirty days following posting.

#### NOTIFICATION

The Board of Trustees invites all interested persons to review the proposed policies and submit written comments through a Procurement Services webpage developed for this purpose (<http://contracting.uconn.edu/draft-policies-comment-submission-form/>) or by mailing their comments to the address listed on the webpage.

The administration will collect and summarize the comments. The administration may, after reviewing the comments and making any appropriate changes, present the policies to the Board for adoption at one of its regularly scheduled meetings. Prior to adopting the policies, the Board will allow the public to provide additional comments during the public participation portion of that meeting.

A copy of this notice will be posted on UConn’s Procurement Services website no later than noon September 28, 2017 and will remain posted until the Board puts the approval of such policies on the agenda for a regular Board meeting.

Attachments: Draft Policy for Purchases for Use Abroad  
Draft Policy for Purchases Made Using Outside Funds  
Draft Policy for Purchasing Through Collaboration Contracts

Policy Number	SP (Sourcing Policies)-1
Title	Purchases for Use Abroad
Policy Owner	Procurement Services
Applies to	Faculty and Staff
Campus Applicability	All campuses, including UConn Health
Effective Date	<input type="text"/>
For More Information Contact	<input type="text"/>
Contact Information	<input type="text"/>

## REASON FOR POLICY

Most of UConn's purchases are made in the United States or are made overseas for use in the United States. But UConn is a global research University that sponsors, or participates in, teaching, research, and other programs around the world. These programs provide important educational opportunities for students and support research and service by faculty and students.

This Policy is established pursuant to Section 2(b)(1)(B) and Section 3 of Public Act 17-130, which authorizes UConn to develop policies for the purchase of equipment, supplies or services, or the lease of personal property (i) to be used outside of the United States, and (ii) where the other party to the contract is located outside of the United States. These purchases are referred to in this Policy as "Overseas Purchases."

The purpose of this Policy is to establish a framework for procedures pursuant to which UConn can make Overseas Purchases. Overseas Purchases are used to assist UConn in pursuing its teaching, research, clinical, public service, and economic development missions. Making Overseas Purchases effectively and prudently will help UConn to fulfill its missions.

## APPLIES TO

Faculty and staff on all campuses, including UConn Health.

## DEFINITIONS

**"Overseas Purchase"** is a Purchase (i) to be used outside of the United States and (ii) where the other party to the contract is located outside of the United States.

**"Partner Institutions"** are any of the following that are located outside the United States and with which UConn jointly supports teaching, learning, or research programs: research institutes, laboratories, government agencies, quasi-governmental agencies, non-government organizations, members of a consortium of which UConn is a member, colleges, and universities.

**"Purchase"** is the purchase of equipment, supplies, or services, or the lease of personal property.

**"Simplified Acquisition Threshold"** is a threshold set by the Federal Government for purchasing goods and services in a manner that, as stated in Section 13.002 of the Federal Acquisition Regulations, "[p]romote[s] efficiency and economy in contracting" and "avoid[s] unnecessary burdens." The Simplified Acquisition Threshold is set in Subpart 2.1 of the Federal Acquisition Regulations and is currently \$150,000.

## POLICY STATEMENT

- A. The President or the President's designee shall adopt procedures for entering into contracts for Overseas Purchases, including identifying vendors for Overseas Purchases. Such procedures shall be consistent with this Policy.
- B. UConn shall make Overseas Purchases in a manner consistent with applicable law, provided that the following shall be exempt from CGS §10a-151b(b) and regulations adopted pursuant to CGS §4e-47:
  - (1) Overseas Purchases from Partner Institutions, or from vendors located outside the United States identified by Partner Institutions, if such Purchases serve jointly-supported teaching, learning, or research programs.
  - (2) Overseas Purchases up to the Simplified Acquisition Threshold. UConn shall request quotations from at least three prospective vendors before making such purchases.
  - (3) Overseas Purchases entered into consistent with the following:



- UConn shall attempt to identify as many prospective vendors as is practical and cost effective, considering the challenges associated with each purchase. Such challenges may include geographic limitations, language barriers, the availability or absence of technology, the ability to identify vendors that are reliable and safe, and local laws and regulations.
- When more than one prospective vendor is identified, UConn shall conduct an appropriate competitive process. The competitive process shall take into consideration the challenges of participating in a formal procurement process, including the factors set forth above.

C. The procedures adopted pursuant this Policy shall include a method for reporting any contract exempted under Paragraph B of this Policy that is entered into or amended. As required by Section 3(b) of Public Act 17-130, not later than January 1, 2018, and annually thereafter, such report shall be submitted to the joint standing committees of the General Assembly having cognizance of matters relating to higher education and government administration.

## ENFORCEMENT

Violations of this Policy may result in appropriate disciplinary measures in accordance with University Laws and By-Laws, General Rules of Conduct for All University Employees, applicable collective bargaining agreements, and the University of Connecticut Student Code.

## PROCEDURES/FORMS

☐

## POLICY HISTORY

☐

Policy Number	SP (Sourcing Policies)-2
Title	Purchases Made Using Outside Funds
Policy Owner	Procurement Services
Applies to	Faculty and Staff
Campus Applicability	All campuses, including UConn Health
Effective Date	<input type="text"/>
For More Information Contact	<input type="text"/>
Contact Information	<input type="text"/>

## REASON FOR POLICY

Most of UConn's purchases are made with State and Certain Other Institutional Funds (defined below). But some purchases are made with funds that come from other sources. This Policy is established pursuant to Section 2(b)(1)(A) and Section 3 of Public Act 17-130, which authorizes UConn to develop policies for purchases that do not involve the expenditure of State and Certain Other Institutional Funds. Funds other than State and Certain Other Institutional Funds are referred to in this Policy as "Outside Funds."

Outside Funds include federal and philanthropic grants, sponsored research and other sources.

The purpose of this Policy is to establish a framework for procedures pursuant to which UConn can enter into contracts using Outside Funds. Outside Funds are used to assist UConn in pursuing its teaching, research, clinical, public service, and economic development missions. Those missions are vital to discovery, innovation, and job creation in the State of Connecticut. Using Outside Funds effectively and prudently will help UConn fulfill its missions. It will also help UConn more successfully compete with other institutions for Outside Funds.

## APPLIES TO

Faculty and staff on all campuses, including UConn Health.

## DEFINITIONS

"Funder" is the provider of Outside Funds to UConn.

"Outside Funds" are funds other than State and Certain Other Institutional Funds.

"Purchase" is the purchase of equipment, supplies, or services, or the lease of personal property.

"Simplified Acquisition Threshold" is a threshold set by the Federal Government for purchasing goods and services in a manner that, as stated in Section 13.002 of the Federal Acquisition Regulations, "[p]romote[s] efficiency and economy in contracting" and "avoid[s] unnecessary burdens." The Simplified Acquisition Threshold is set in Subpart 2.1 of the Federal Acquisition Regulations and is currently \$150,000.

"State and Certain Other Institutional Funds" has the same meaning as in Section 2(a)(5) of Public Act 17-130, which meaning is (i) funds appropriated or bonds authorized by the General Assembly; (ii) revenue generated from tuition; (iii) funds collected from student fees, housing fees or dining services; (iv) revenue generated from athletic sponsorship deals or ticket sales; and (v) revenue collected from the clinical operations of The University of Connecticut Health Center and the John Dempsey Hospital.

## POLICY STATEMENT

- A. The President or the President's designee shall adopt procedures for entering into contracts for Purchases with Outside Funds, including identifying vendors for such Purchases. Such procedures shall be consistent with this Policy.
- B. UConn shall make Purchases with Outside Funds in a manner consistent with applicable law, provided that the following shall be exempt from CGS §10a-151b(b) and regulations adopted pursuant to CGS §4e-47:
  - (1) Purchases from vendors selected through a process prescribed by the Funder. The procedures adopted pursuant this Policy shall include a method for documenting the Funder's prescribed process in advance of purchases.

- (2) Purchases up to the Simplified Acquisition Threshold. UConn shall request quotations from at least three prospective vendors before making such purchases.
- C. The procedures adopted pursuant this Policy shall include a method for reporting any contract exempted under Paragraph B of this Policy that is entered into or amended. As required by Section 3(b) of Public Act 17-130, not later than January 1, 2018, and annually thereafter, such report shall be submitted to the joint standing committees of the General Assembly having cognizance of matters relating to higher education and government administration.

#### **ENFORCEMENT**

Violations of this Policy may result in appropriate disciplinary measures in accordance with University Laws and By-Laws, General Rules of Conduct for All University Employees, applicable collective bargaining agreements, and the University of Connecticut Student Code.

#### **PROCEDURES/FORMS**

[ ]

#### **POLICY HISTORY**

[ ]

Policy Number	SP (Sourcing Policies)-3
Title	Purchasing Through Collaboration Contracts
Policy Owner	Procurement Services
Applies to	Faculty and Staff
Campus Applicability	All campuses, including UConn Health
Effective Date	[ ]
For More Information Contact	[ ]
Contact Information	[ ]

## REASON FOR POLICY

Most of UConn's purchases are made simply to acquire good or services. But in some instances purchases are made in the context of a broader collaboration with another higher education institution, a nonprofit organization, or an industry partner. This Policy is established pursuant to Section 2(b)(1)(C) and Section 3 of Public Act 17-130, which authorizes UConn to develop policies for purchases that are made as part of a Collaboration Contract (defined below).

The purpose of this Policy is to establish a framework for procedures pursuant to which UConn can enter into Collaboration Contracts. Collaboration Contracts assist UConn in pursuing its teaching, research, clinical, public service, and economic development missions. Those missions are vital to discovery, innovation, and job creation in the State of Connecticut. Making purchases effectively and prudently in the context of Collaboration Contracts will help UConn to fulfill its missions. It will also help UConn more successfully compete with other institutions for Collaboration Contracts.

## APPLIES TO

Faculty and staff on all campuses, including UConn Health.

## DEFINITIONS

**"Collaboration Contracts"** are contracts described in Section 2(b)(1)(C) of Public Act 17-130, which are contracts in which the other party agrees to provide UConn with at least two of the following: (i) philanthropic support, (ii) sponsored research, (iii) research collaborations, (iv) employment opportunities for students, or (v) some other substantial value to UConn or the state.

**"Collaborator"** is UConn's counterparty under a Collaboration Contract.

**"Purchase"** is the purchase of equipment, supplies, or services, or the lease of personal property.

**"Simplified Acquisition Threshold"** is a threshold set by the Federal Government for purchasing goods and services in a simplified manner that, as stated in Section 13.002 of the Federal Acquisition Regulations, "[p]romote[s] efficiency and economy in contracting" and "avoid[s] unnecessary burdens." The Simplified Acquisition Threshold is set in Subpart 2.1 of the Federal Acquisition Regulations and is currently \$150,000.

## POLICY STATEMENT

- A. The President or the President's designee shall adopt procedures for entering into Collaboration Contracts, including identifying Collaborators. Such procedures shall be consistent with this Policy.
- B. UConn shall enter into Collaboration Contracts and make Purchases from Collaborators in a manner consistent with applicable law, provided that the following shall be exempt from CGS §10a-151b(b) and regulations adopted pursuant to CGS §4e-47:
  - (1) Purchases through a Collaboration Contract under which both (i) the Collaborator's contributions have substantial market value and (ii) such market value, plus any other benefits the Collaboration Contract will provide UConn, is expected to exceed UConn's expenditures.
  - (2) Purchases up to the Simplified Acquisition Threshold. UConn shall request quotations from at least three prospective vendors before making such purchases.
- C. The procedures adopted pursuant this Policy shall include a method for reporting any contract exempted under Paragraph B of this Policy that is entered into or amended. As required by Section 3(b) of Public Act 17-130, not later than January 1, 2018, and annually thereafter, such report shall be submitted to the joint standing committees of the General Assembly having cognizance of matters relating to higher education and government administration.

## ENFORCEMENT

Violations of this Policy may result in appropriate disciplinary measures in accordance with University Laws and By-Laws, General Rules of Conduct for All University Employees, applicable collective bargaining agreements, and the University of Connecticut Student Code.

## PROCEDURES/FORMS

[ ]

## POLICY HISTORY


[ ]

DRAFT

## **ATTACHMENT 27**

September 27, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: Sewer Main Easement to Town of Mansfield

RECOMMENDATION:

That the Board of Trustees grants temporary and permanent easements to the Town of Mansfield for the construction and maintenance of a new sewer force main primarily located in and adjacent to the existing University utility corridor in the North Campus conservation area.

BACKGROUND:

These temporary and permanent easements are associated with the Four Corners Sewer Project, a Town of Mansfield ("Town") initiative to provide sewer service to the area surrounding the intersection of Routes 195 and 44. The Town's proposed sewer force main will connect the Four Corners sewer district to the UConn Water Pollution Control Facility, which will provide sewage treatment per the previously-approved sewer service agreement between UConn and the Town.

The proposed easement area is congruent with an existing UConn utility corridor, within which lies UConn's current and former transmission mains for the Willimantic wellfield water supply. UConn's former water main pipe, now abandoned, will be employed as a sleeve for most of the Town's proposed sewer force main. The proposed easement areas also run along the southern section of the Innovation Partnership Building site, ultimately connecting with UConn's sewer collection pipe in Discovery Drive.

Much of the proposed easement areas lie within an area subject to a conservation agreement in favor of the Connecticut Department of Energy and Environmental Protection (DEEP). UConn has been fully engaged with DEEP about the proposed sewer main and DEEP has agreed that the granting of the proposed easement is consistent with the conservation agreement.

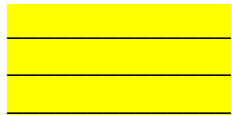
The easement areas are shown on the attached sheet, entitled "Easement Map, Over Property of the University of Connecticut, Mansfield, Connecticut, Prepared for the Town of Mansfield," Sheet 1 of 1, Scale 1"=40', Dated August 23, 2017, prepared by Gesick & Associates P.C.

Attached for your reference is the current draft of the form of easement being reviewed by the Town. This draft remains subject to further negotiation.

UConn will seek no consideration for these easements because they are to the benefit of UConn in that, per the previously-approved UConn/Town sewer service agreement, Mansfield will pay proportional operational and maintenance costs based on sewer usage, and will pay proportional costs for future capital improvements to the UConn sewer system based on the capacity that has been reserved for Town sewage.



After recording, return to:



SEWER MAIN EASEMENT

KNOW ALL MEN BY THESE PRESENTS, that **THE STATE OF CONNECTICUT**, acting herein by the undersigned, **THE UNIVERSITY OF CONNECTICUT**, a constituent unit of the State of Connecticut System of Higher Education (the "**Grantor**"), acting pursuant to Conn. Gen. Stat. Section 4b-21(a)(3), for valuable consideration, receipt whereof is hereby acknowledged, does hereby grant to **THE TOWN OF MANSFIELD**, [a municipal corporation] (the "**Grantee**"), its successors and assigns, the permanent non-exclusive right and easement to install, inspect, operate, maintain, change the size of, renew, replace and repair one or more lines of sewer pipes or mains and appurtenances thereto in, through, under, over, on and across a certain strip of Grantor's land thirty feet in width situated in the Town of Mansfield, County of Tolland and State of Connecticut, together with a temporary easement to be utilized solely during sewer pipe installation and site restoration, described as shown on a map entitled "Easement Map, Over Property of the University of Connecticut, Mansfield, Connecticut, Prepared for the Town of Mansfield," Sheet 1 of 1, Scale 1"=40', Dated [REDACTED], prepared by Gesick & Associates P.C., which map is on file in the Town Clerk's Office in the Town of Mansfield. The areas of the permanent and temporary easements are more particularly bounded and described on **Schedule A** attached hereto and made a part hereof.

In addition to the above granted rights, Grantee shall have all other rights and benefits necessary or convenient for the full enjoyment or use of the rights herein granted, including, without limitation, the right at any reasonable time or times to enter upon the property of Grantor at such point or points as Grantee may deem expedient or necessary for the purpose of exercising its rights hereunder. Grantee covenants that said rights shall be exercised, so far as is practicable, with consideration of the convenience of Grantor and without doing any unreasonable damage. Grantee covenants that it will, upon completion of any work hereunder, replace and restore said premises to substantially the same condition as that existing before beginning such work.

Grantor reserves for Grantor and Grantor's heirs, executors, administrators, successors and assigns, the right to fully use and enjoy the Easement Area, subject to the rights, privileges and authority herein granted, Grantor agreeing not to utilize or improve the Easement Area in any manner which will interfere with Grantee's rights and privileges herein granted.

The Grantee further agrees, by the acceptance of this easement, that as long as and to the extent that the sewer pipes or mains and appurtenances thereto used to provide sewer service, the Grantee will repair, replace and maintain such facilities at its own expense and in connection with any repair, replacement or maintenance of said system the Grantee shall promptly restore

the premises, to the extent feasible, to substantially the same condition as existed prior to such repair.

TO HAVE AND TO HOLD the said granted and bargained easement, privilege, and right of way and its appurtenances to Grantee and its successors and assigns forever, to its and their own proper use and behoof.

The provisions hereof shall be binding upon and inure to the benefit of the parties hereto and their respective heirs, executors, administrators, successors and assigns. Whenever used, the singular number shall include the plural, the plural the singular, and the use of any gender shall include all genders.

IN WITNESS WHEREOF, Grantor has hereunto set or caused to be set Grantor's hand. and seal this \_\_\_\_ day of \_\_\_\_\_ 2017.

*[The next page is the signature page]*

Signed, sealed and delivered  
in the presence of:

\_\_\_\_\_

THE UNIVERSITY OF CONNECTICUT

\_\_\_\_\_

By: \_\_\_\_\_

\_\_\_\_\_

THE STATE OF CONNECTICUT

\_\_\_\_\_

By: \_\_\_\_\_

Its Treasurer

Or other duly authorized Designee

STATE OF CONNECTICUT )

: ss.

COUNTY OF )

The foregoing instrument was acknowledged before me this \_\_\_\_ day of \_\_\_\_\_,  
2017, by \_\_\_\_\_, \_\_\_\_\_ of The University of Connecticut, a  
nonprofit state institution of higher learning, as his/her free act and deed.

\_\_\_\_\_

Notary Public

My commission expires:

Commissioner of the Superior Court

*[Signature and Acknowledgement Page to Sewer Main Easement]*

STATE OF CONNECTICUT )  
 : ss.  
COUNTY OF )

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 2017, by \_\_\_\_\_, the Treasurer (or other duly authorized designee) of The State of Connecticut, as his/her free act and deed.

Notary Public  
My commission expires:  
Commissioner of the Superior Court

**APPROVED AS TO FORM:**  
ATTORNEY GENERAL OF THE STATE OF CONNECTICUT

Assistant Attorney General

Date: \_\_\_\_\_

*[Signature and Acknowledgement Page to Sewer Main Easement]*

**Schedule A**

**Description of Easement Areas**

**PERMANENT EASEMENT AREA DESCRIPTION**

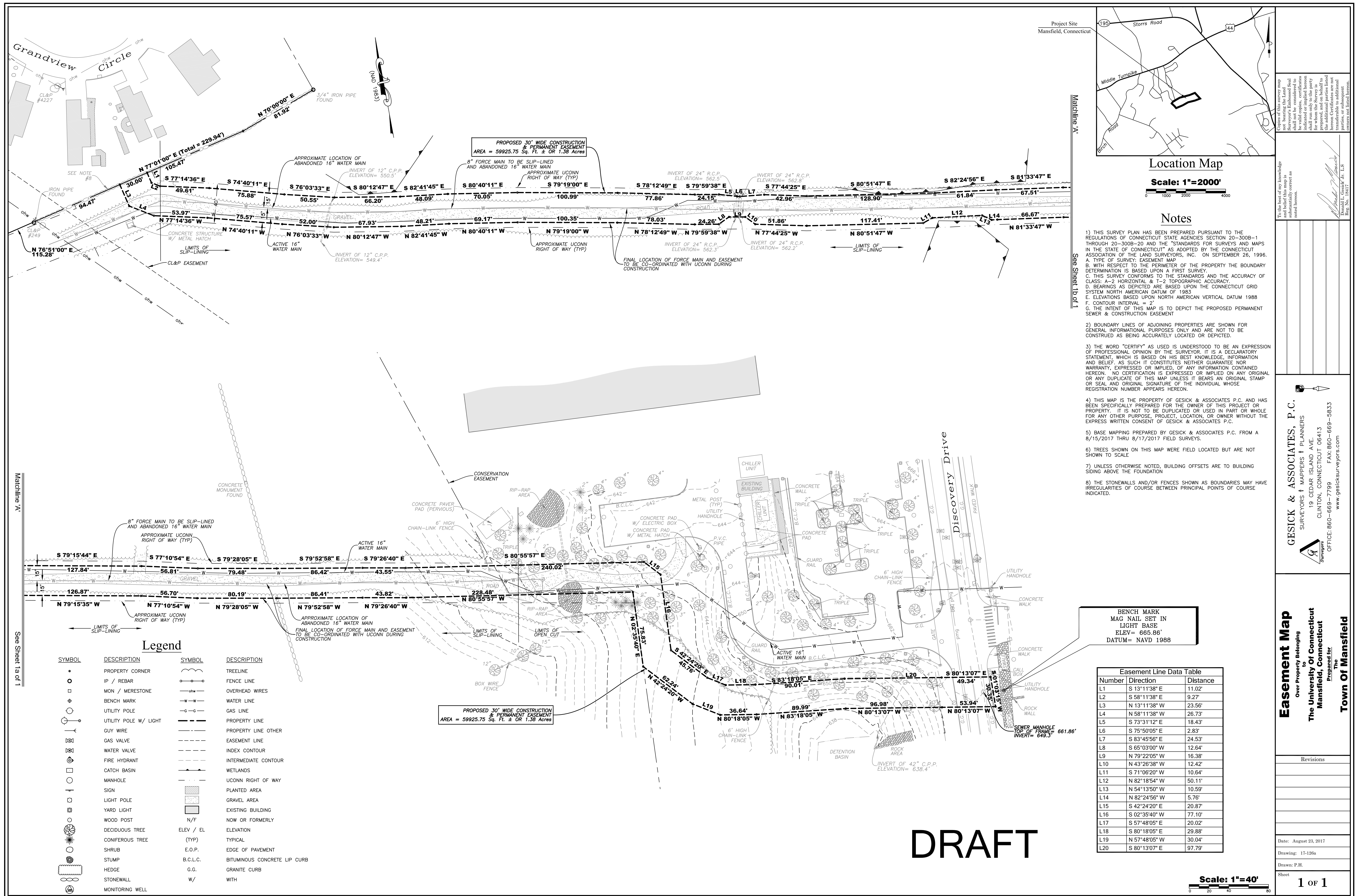
[TO BE PROVIDED]

EASEMENT AREA=\_\_\_\_\_ SQ. FT., \_\_\_\_\_ ACRES.

**TEMPORARY EASEMENT AREA**

[TO BE PROVIDED]

TEMPORARY EASEMENT AREA=\_\_\_\_\_ SQ. FT., \_\_\_\_\_ ACRES.



## **INFORMATIONAL ITEMS**

**University of Connecticut Department of Human Resources**  
**New Hires Processed from July 13, 2017 to September 8, 2017**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Aasand, Emily	UCP 07-Publicity/Mktg Manager	University Communications	8/4/2017
Aggarwala, Sarah	UCP 06-SHS Nurse	Ctrs for Health/CMHS/Wellness	8/18/2017
Akman, Murat	Asst Research Professor	Mathematics	8/23/2017
Amador, Emma B	Asst Professor	History	8/23/2017
Artigas, Valarie Ann	Clinical Instructor	Nursing	8/23/2017
Bahal, Raman	Asst Professor	Pharmaceutical Science	8/23/2017
Balatsky, Alexander	Professor	Physics	8/23/2017
Barachkova, Ekaterina S	UCP 03-Program Asst I	Intl Student and Scholar Svcs	7/21/2017
Barshay, Stephen S	Asst Professor in Res	Chemistry	8/23/2017
Bartolotta, Jonathan	UCP 04-Program Asst 2	Aerospace Studies	9/1/2017
Battaly, Heather D	Professor	Philosophy	8/23/2017
Benson, Judy Ann	UCP 06-Publicity/Mktg Admin	Sea Grant College Program	7/21/2017
Bidgoli, Maryam Jafari	Visiting Asst Professor	Finance	8/23/2017
Biro, Michael	Asst Professor in Res	Mathematics	8/23/2017
Biyikli, Necmi	Asst Professor	Electrical and Computer Engr	8/23/2017
Blockett, Reginald A	Visiting Asst Professor	Educational Leadership	8/23/2017
Boudissa, Nadine	UCP 06-Program Specialist 1	Intl Student and Scholar Svcs	8/4/2017
Bragin, Mikhail A	UConnFaculty/Staff	Electrical and Computer Engr	8/4/2017
Breault, Caitlin E	UCP 05-Admissions Officer	Admissions	8/4/2017
Brown, Ricardo Teris	Asst Professor in Res	Music	8/23/2017
Carpentieri, Nicola	Asst Professor	Lit, Cultures and Languages	8/23/2017
Casas, Augusto	Assoc Professor in Res	Computer Sci and Engineering	8/23/2017
Challenger, Clewiston	Asst Professor	Educational Psychology	8/23/2017
Charbonneau, Zach	UCP 04-Comp Tech Sup Cons 1	Business	8/4/2017
Chen, Li	Asst Research Professor	Mathematics	8/23/2017
Chen, Tianxu	Asst Professor in Res	Economics	8/23/2017
Cheung, Wai-Kei	UCP 11-Network Technician 4	CT Education Network ASC	9/1/2017
Colbert, Kimberly	UCP 04-Admin Services Asst 3	Student Activities	9/1/2017
Corredor Waldron, Sandra	Asst Professor	Management	8/23/2017



**University of Connecticut Department of Human Resources  
New Hires Processed from July 13, 2017 to September 8, 2017  
Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Cronin, Nancy E	Clinical Instructor	Nursing Instruct and Research	8/23/2017
Debald, Helena Adairre	UCP 05-Program Coordinator	ISS 1st Yr Prog and Learn Cmty	8/4/2017
Devries, Phoebe Robinson	Asst Professor	Physics	8/23/2017
Divito, Joseph	Research Asst 1	InCHIP	8/23/2017
Donegan, Mary Kathleen	Asst Professor in Res	Urban Studies Program	8/23/2017
Eaton, Carrie L	Clinical Instructor	Nursing Instruct and Research	8/23/2017
Edwards, Brian	UCP 09-Center Director	Rainbow Center	8/18/2017
Eigo, Jennifer Claire	Instructor in Residence	Operations and Info Management	8/23/2017
Etudo, Ugochukwu O	Asst Professor	Operations and Info Management	8/23/2017
Fernandez, Johanna I	UCP 03-Program Asst I	Law Instruction and Research	8/18/2017
Field, Christopher Thomas	Instructor in Residence	Operations and Info Management	8/23/2017
Fisher, Kaitlyn Rose	UCP 05-Residence Hall Director	Residential Life	7/31/2017
Foody, Elizabeth	UCP 05-Admin Services Spec 3	Fine Arts	7/31/2017
Frain, Melissa L.	UCP 04-Program Asst 2	UConn Recreation	7/21/2017
Fulger, Aurel Mihai	Asst Professor	Mathematics	8/23/2017
Fuller, Jessica	Asst Professor in Res	Mathematics	8/23/2017
Gernant, Stephanie Ann	Asst Professor	Pharmacy Practice	8/23/2017
Gerrard, Omega	Research Professor	InCHIP	7/1/2017
Giudice, Eric Michael	UCP 05-Admissions Officer	Admissions	8/11/2017
Glinsky, Eric C	UCP 03-Network Technician 1	IT Network Engr and Design	9/1/2017
Goetz, Jared	Research Asst 3	InCHIP	8/23/2017
Goldberg, Daniel Lawrence	Asst Professor in Res	Music	8/23/2017
Gorka, Alexander P	Asst Professor	Chemistry	8/23/2017
Gravina, Ashley Nicole	UCP 05-Residence Hall Director	Residential Life	7/31/2017
Griffin, Katlyn H	UCP 07-Counselor	Ctrs for Health/CMHS/Wellness	8/4/2017
Groves, Jessica	UCP 05-Academic Advisor 1	CLAS Academic Services	8/10/2017
Gu, Yuwen	Asst Professor	Statistics	8/23/2017
Gunawan, Emily	Asst Research Professor	Mathematics	8/23/2017
Gyure, Maria E	Director & Lecturer	Allied Health Sciences	8/21/2017
Hall, Claire L.	UCP 05-Writer/Editor 3	Business	8/4/2017
Herd, Kelly	Asst Professor	Marketing	8/23/2017
Herzberg, Amir	Professor	Computer Sci and Engineering	8/23/2017

**University of Connecticut Department of Human Resources  
New Hires Processed from July 13, 2017 to September 8, 2017  
Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Hill-Moses, Arielle Jean	UCP 06-Admin Services Asst 4	Fine Arts	8/4/2017
Hu, Chaoqi	UCP 05-Admissions Officer	Admissions	8/4/2017
Huang, Weizhao Simon	Visiting Asst Professor	Finance	8/23/2017
Hull, Jon Colton	Specialist IA	Athletics MI Football	7/10/2017
Jin, Luchang	Asst Professor	Physics	8/23/2017
Jones, Jacob Nathaniel	UCP 05-Residence Hall Director	Residential Life	7/31/2017
Kim, Min Seong	Assoc Professor	Economics	8/23/2017
Kim, Anne	UCP 06-Academic Advisor 2	Enrichment Programs	7/21/2017
Knipple, James	Visiting Asst Professor	Dramatic Arts	8/23/2017
Knutie, Sarah Ann	Asst Professor	Ecology and Evolutionary Bio	8/23/2017
Ko, Emily B Leibin	UCP 06-Media Producer 1	University Libraries	8/18/2017
Krauss, Sloane Rachel	UCP 05-Program Coordinator	ISS 1st Yr Prog and Learn Cmty	8/4/2017
Krawec, Walter Oliver	Asst Professor	Computer Sci and Engineering	8/23/2017
Kurtzman, Aimee Nicole	UCP 06-SHS Nurse	Ctrs for Health/CMHS/Wellness	8/18/2017
Lawson, Erik Thomas	Asst Professor	Dramatic Arts	8/23/2017
Lekwauwa, Ruby	UCP 12-SHS Psychiatrist 2	Ctrs for Health/CMHS/Wellness	8/4/2017
Les, Katherine Renee	Asst Coach (Specialist IA)	Athletics Women Intercollegiat	7/14/2017
Li, Sean	Asst Professor	Mathematics	8/23/2017
Lim, Nehemy	Visiting Asst Professor	Statistics	8/23/2017
Lloyd, Margaret H	Asst Professor	Social Work	8/23/2017
Lupton, Robert N	Asst Professor	Political Science	8/23/2017
Maruschak, Tyler	UCP 05-Residence Hall Director	Residential Life	8/18/2017
Massey, Jessica Nicole	UCP 04-Admin Services Asst 3	Allied Health Sciences	8/4/2017
Matheou, Georgios	Asst Professor	Mechanical Engineering	8/23/2017
Mathews, Rachel	UCP 06-Academic Advisor 2	Student-Athlete Success Prog	9/1/2017
McAlhany, Joseph	Asst Professor	History	8/23/2017
McArdle, David	Asst Professor in Res	Mathematics	8/23/2017
McCaffery, Jeanne	Assoc Professor	Allied Health Sciences	8/23/2017
McCarron, Daniel	Asst Professor	Physics	8/23/2017
McNally, Michael Patrick	UCP 08-Comp Prog/Analyst 2	University Communications	9/1/2017
Meslin, David J	Visiting Asst Professor	Digital Media and Design	8/23/2017
Miao, Fei	Asst Professor	Computer Sci and Engineering	8/23/2017

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Miller, Christopher Alan	Instructor in Residence	Accounting	8/23/2017
Mozian, Kristen	UCP 06-Publicity/Mktg Admin	CT Small Bus Development Ctr	7/21/2017
Murphy, Francis Charles	Asst Professor	Accounting	8/23/2017
Murphy, John M	Asst Professor in Res	Digital Media and Design	8/23/2017
Norman, Timothy F	UCP 05-Dining Serv Ar Asst Mgr	Dining Services	7/7/2017
Okpych, Nathanael J	Asst Professor	Social Work	8/23/2017
Oleski, Jessica Lee	UCP 08-Program Director	InCHIP	8/23/2017
Ordaz Mendoza, Belter Ernesto	Asst Professor in Res	Physics	8/23/2017
Orsini-Garry, Angela	Clinical Instructor	Nursing Instruct and Research	8/23/2017
Ostroff, Linnaea Emily	Asst Professor	Physiology and Neurobiology	8/23/2017
Pagano, Emily	UCP 07-Substance Abs Prev Edu	Wellness and Prevention Svcs	7/7/2017
Pagoto, Sherry	Professor	Allied Health Sciences	8/23/2017
Palumbo, Ashley	UCP 06-Publicity/Mktg Admin	InCHIP	9/11/2017
Pena Mendez, Malaquias	Assoc Professor	Civil and Environ Engineering	8/23/2017
Perkoski, Evan James	Asst Professor	Political Science	8/23/2017
Pflomm, John Riley	Research Asst 1	Psychological Sciences	8/18/2017
Pietraszko, Joanna Aleksandra	Visiting Instructor	Linguistics	8/23/2017
Pinto-Gutierrez, Cristian	Visiting Asst Professor	Finance	8/23/2017
Ramos-Kittrell, Jesus A	Asst Professor in Res	Music	8/23/2017
Redlich, Sarah Anne	UCP 03-Program Asst I	Psychological Sciences	8/18/2017
Reinwald, Donovan Campbell	UCP 05-University Librarian 1	University Libraries	7/7/2017
Reynolds, Gabrielle Chantelle	UCP 01-Financial Asst 1	Speech, Lang and Hearing Sci	7/31/2017
Rodriguez, Christian Fermin	UCP 10-SHS APRN	Ctrs for Health/CMHS/Wellness	8/18/2017
Roy-Chowdhury, Sharmishtha	Lecturer	History	8/23/2017
Sainju, Deepak	Asst Professor in Res	Physics	8/23/2017
Sanders, Nathan	UCP 05-Admissions Officer	Admissions	8/4/2017
Sarkar, Debapriya	Asst Professor	English	8/23/2017
Sengupta, Anindita	Visiting Asst Professor	Women, Gender and Sexuality	8/23/2017
Shapley, Michael Joseph	UCP 07-Equip/Fac Mgr Ath	Athletics Equipment Center	7/17/2017
Sheehan, Moira	UConnFaculty/Staff	Animal Care	8/7/2017
Shemer, Noga	Asst Professor in Res	Anthropology	8/23/2017
Siedlecki, Samantha A	UConnFaculty/Staff	Marine Sciences	8/23/2017

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Sirmans, Eleanor Tice	Visiting Instructor	Health Systems Management	8/23/2017
Smith, Stephen	Visiting Asst Professor	Integrative Geoscience	8/23/2017
Snyder, Marianne	Asst Professor	Nursing Instruct and Research	8/23/2017
Spillman, Kevin	UCP 04-Publicity/Mktg Coord	Athletics Marketing	8/28/2017
Stone, Ashlee Murray	UCP 08-University Pharmacist 2	Ctrs for Health/CMHS/Wellness	8/18/2017
Suanda, Sumarga H	Asst Professor	Psychological Sciences	8/23/2017
Sullivan, Christine	UCP 07-Business Consultant	CT Small Bus Development Ctr	7/21/2017
Tabor, Clay	Asst Professor	Integrative Geoscience	8/23/2017
Tanner, Justin Kyle	UCP 05-Residence Hall Director	Residential Life	7/31/2017
Tatiwong, Marisa Christina	UCP 05-Program Coordinator	Career Development Center	7/21/2017
Taylor, Kari B	Asst Professor in Res	Educational Leadership	8/23/2017
Thompson, Amy	Assoc Professor in Res	UTC Inst Advanced Systems Engr	8/23/2017
Tirrell, Lynne	Assoc Professor	Philosophy	8/23/2017
Trallero, Carlos	Assoc Professor	Physics	8/23/2017
Trallero, Sarah Melissa	UCP 04-Laboratory Technician 2	Physics	8/4/2017
Valor, Reinaldo Oscar	Specialist IA	Athletics Women Intercollegiat	8/28/2017
Vega, Kenneth R	UCP 04-Comp Tech Sup Cons 1	Business	8/4/2017
Wallace, Scott B	Assoc Professor	Journalism	8/23/2017
Walsh, Katherine Patricia	Asst Professor in Res	Mathematics	8/23/2017
Wang, Lihan	Asst Research Professor	Mathematics	8/23/2017
Wang, Haiying	Asst Professor	Statistics	8/23/2017
Waring, Molly	Asst Professor	Allied Health Sciences	8/23/2017
Whalen, Mary B	Asst Clinical Professor	Nursing Instruct and Research	8/23/2017
White, Simon James	Asst Professor	Molecular and Cell Biology	8/23/2017
Wluka, Elizabeth	Specialist IA	Athletics Sports Medicine	8/27/2017
Wood, Keith D	Asst Professor in Res	Physics	8/23/2017
Wood, Sarah Louise	Visiting Asst Professor	Lit, Cultures and Languages	8/23/2017
Xiao, Ling	Asst Professor	Mathematics	8/23/2017
Xiong, Christine	UCP 03-Program Asst I	Intl Student and Scholar Svcs	8/4/2017
Yan, Bing	Asst Research Professor	Electrical and Computer Engr	8/4/2017
Yaraghi, Niam	Asst Professor	Operations and Info Management	8/23/2017
Yates, Matthew Kyle	UCP 08-Program Director	Education Abroad	8/18/2017

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Zabel, Chelsea Lane	UCP 05-Academic Advisor 1	CLAS Academic Services	7/7/2017
Zhu, Jin	Asst Professor	Civil and Environ Engineering	8/23/2017
Zimmerman, Scott	Asst Research Professor	Mathematics	8/23/2017
Zou, Bin	Asst Professor	Mathematics	8/23/2017

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<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Abbott, Nancy E	UCP 07-Program Manager	Retirement	Agriculture Experiment Station	7/1/2017
Abrams, Alison J	Specialist IA	Separation	Athletics WI Rowing	9/1/2017
Albert, Arlene D	Professor	Retirement	Molecular and Cell Biology	9/1/2017
Allen, Bryant K	UCP 04-Admin Serv Specialist 2	Separation	Procurement Services	7/18/2017
Alvarez, Angelynn R	Visiting Asst Professor	Separation	Mathematics	8/23/2017
Amamra, Abdelfattah	Asst Professor in Res	Separation	Computer Sci and Engineering	8/23/2017
Ault, Jocelyn D	UCP 06-Program Specialist 1	Separation	Education	7/1/2017
Baccanale, Cecile L	University Director	Separation	Animal Care	7/5/2017
Balasingam, Balakumar	Asst Research Professor	Separation	Electrical and Computer Engr	7/1/2017
Beasley, Maya	Assoc Professor	Separation	Sociology	8/23/2017
Beatty, Erin L	U Staff Professional 2	Separation	General Counsel	7/8/2017
Blanton, Hart	Professor	Separation	Psychological Sciences	8/23/2017
Blechinger, Sarah Miyoko	Visiting Instructor	Separation	Accounting	8/23/2017
Blodgett, Victoria A	U Staff Prof III & Asst Dean	Separation	Graduate Education	6/30/2017
Blush, Margarita B	Asst Professor	Separation	Dramatic Arts	8/23/2017
Bone, Donna	UCP 07-Business Manager 2	Retirement	Ed Curriculum and Instruction	8/1/2017
Bridwell, Brenna Lynne	Visiting Asst Professor	Separation	Political Science	8/23/2017
Buitrago, Nicholas Carlos	Research Asst 1	Separation	Social Psychology	8/12/2017
Cadman, Carol P	UCP 04-Admin Services Asst 3	Retirement	Education	7/1/2017
Chase, Wendy M	SP Internal Lecturer III	Separation	Speech, Lang and Hearing Sci	8/23/2017
Chudy, Younghee	UCP 04-Admin Services Asst 3	Separation	Materials Science Institute	7/1/2017
Cobb, William Jelani	Assoc Professor	Separation	History	8/23/2017
Cretors, Angela	U Staff Professional 3	Separation	Athletics Business	7/14/2017
Cromley, Robert G	Professor	Retirement	Geography	8/1/2017
Cui, Jun-Hong	Professor	Separation	Computer Sci and Engineering	8/23/2017
Daddona, Amanda N	UCP 03-Program Asst I	Separation	Music	8/17/2017
Davila, Carolina	UCP 05-Residence Hall Director	Separation	Residential Life	6/30/2017
Davis, Tehran Jespah	Asst Professor	Separation	Psychological Sciences	8/23/2017
Delos-Santos, Fe O	UCP 06-Program Specialist 1	Retirement	Asian and Asian Amer Stud Inst	7/1/2017
Dennigan, Darcie	Visiting Asst Professor	Separation	English	8/23/2017

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Deprey, Brynn D	UCP 07-Publicity/Mktg Manager	Separation	CT Education Network ASC	7/6/2017
Dulli, Hani	Asst Professor in Res	Separation	Physics	8/23/2017
Easton, Joann M	UCP 06-Program Administrator	Retirement	Ed Psychology Gift and Talent	7/1/2017
Edgington, Padraic	Asst Professor in Res	Separation	Computer Sci and Engineering	8/23/2017
Edwards, Dale L	Asst Professor in Res	Separation	Dramatic Arts	8/23/2017
Eyadat, Zaid	Professor in Residence	Separation	Political Science	8/23/2017
Farese, Jeffrey	UCP 11-Computer Manager	Separation	IT Network Engr and Design	8/12/2017
Farley, Matthew	UCP 08-Program Director	Separation	Public Engagement	7/1/2017
Fish, Gabrielle P	UCP 03-Technical Records Coord	Separation	Liberal Arts and Sciences	8/5/2017
Fisher, Kaitlyn Rose	UCP 05-Residence Hall Director	Separation	Residential Life	8/4/2017
Fisler, Emily E	UCP 05-Laboratory Technician 3	Separation	Chem and Biomolecular Engr	7/7/2017
Fu, You-Jun	Academic Asst 3	Separation	Chemistry	7/29/2017
Garside, David	UCP 10-Facilities Prof 4	Retirement	Facilities Mech and Plumb Svcs	8/1/2017
Gill, Corinne Ann	UCP 04-Admin Serv Specialist 2	Separation	Engineering	7/29/2017
Givens, Jean A	Professor	Retirement	Art and Art History	9/1/2017
Glenn, Wendy J	Professor	Separation	Ed Curriculum and Instruction	8/23/2017
Gonsoulin-Getty, Patrick R	Visiting Asst Professor	Separation	Integrative Geoscience	8/23/2017
Griffin, Nancy J	U Ed Asst 2	Retirement	Instit Rsrch and Effectiveness	7/1/2017
Hastillo, Abigail W	UCP 07-Program Specialist 2	Separation	Education Abroad	7/31/2017
Hunt, Jordan M	UCP 07-Equip/Fac Mgr Ath	Separation	Athletics Equipment Center	6/24/2017
Illian, Travis G	Specialist IA	Separation	Athletics Strength Center	8/20/2017
Jackson, Nicole C	Asst Professor in Res	Separation	Management	8/23/2017
Jordan, Ann R	UCP 08-Asst Finance Dir 1	Retirement	Procurement Services	7/1/2017
Keagle, Martha B	Lecturer	Retirement	Allied Health Sciences	8/1/2017
Ki, Ppudah	Visiting Asst Professor	Separation	Human Dev and Family Studies	8/23/2017
Killmister, Suzanne	Asst Professor	Separation	Philosophy	8/23/2017
Kuhnly, Joan E.	Assoc Clinical Professor	Separation	Nursing Instruct and Research	8/23/2017
Kunak, Kevin T	UCP 05-Data Base Programmer	Separation	Social Work Instruct and Rsrch	7/1/2017
Kyeremeh, Frederick K	UCP 03-Program Asst I	Separation	Institutional Equity	6/29/2017
Langlais, Andrew J	U Staff Professional 2	Separation	Fire Marshal and Bldg Inspect	8/11/2017

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<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Lawless, Sarah E	Specialist IIA	Separation	Athletics MI Football	3/25/2017
Liberto, Hallie	Asst Professor	Separation	Philosophy	8/23/2017
Lin, Xiao	Asst Professor	Separation	Finance	8/23/2017
Louis, Michael R.	Specialist IA	Separation	Athletics Women Intercollegiat	9/1/2017
Mack, Frank C	Director & Assoc Professor	Separation	Dramatic Arts	8/23/2017
Marchut, Elizabeth M	UCP 06-Data Base Prog/Anlst	Retirement	Planning, Design and Construct	8/1/2017
Martin, Jeanne M	UCP 07-Administrative Mgr 2	Retirement	Avery Point Campus	8/1/2017
Martin, Joanne	Asst Clinical Professor	Separation	Nursing	8/23/2017
McComiskey, Marita J	Asst Professor in Res	Retirement	Women, Gender and Sexuality	8/1/2017
McGuire, Colleen A	University Director	Separation	Full-Time MBA Program	8/1/2017
McNeill, Marvin	Assoc Professor in Res	Separation	Music	8/23/2017
McNulty, John J	Asst Clinical Professor	Retirement	Nursing Instruct and Research	9/1/2017
McRoberts, Gerald W.	Visiting Asst Professor	Separation	Psychological Sciences	8/23/2017
Menard, Michael W	UnivStaffProfessnal 3	Retirement	Public Engagement	7/1/2017
Millerd, Nancy Stephens	UCP 06-Financial Aid Officer	Retirement	Financial Aid	8/1/2017
Mulat, Worku	Asst Research Professor	Separation	Civil and Environ Engineering	8/23/2017
Mustain, William E	Assoc Professor	Separation	Chem and Biomolecular Engr	8/23/2017
Nailor, Michael D.	Assoc Clinical Professor	Separation	Pharmacy Practice	8/2/2017
Narozniak, Magdalena A	UCP 05-Program Coordinator	Separation	Early College Experience	7/12/2017
Neururer, Thaddeus A	Visiting Asst Professor	Separation	Accounting	8/23/2017
Niu, Gao	Asst Professor	Separation	Mathematics	8/23/2017
Oguike, Oluchukwu O	Professor	Separation	Art and Art History	8/23/2017
Olschan, Samantha	Asst Professor	Separation	Digital Media and Design	8/23/2017
Ortinez, Rebecca L	UCP 04-Program Asst 2	Separation	Journalism	7/10/2017
Osbourne, Cristina	UCP 05-Financial Asst 2	Separation	Plant Sci and Landscape Arch	7/22/2017
Palmieri, James A	Visiting Instructor	Separation	Plant Sci and Landscape Arch	7/1/2017
Patel, Bhupen N	U Staff Professional 4	Retirement	Construction Assurance	7/1/2017
Petrella, Peter	UCP 09-OSD Consl & Project Mgr	Retirement	Social Work Instruct and Rsrch	7/1/2017
Puryear, Jeb S	Research Assoc 1	Separation	Ed Psychology Gift and Talent	7/15/2017
Richardson, Wendi L	UCP 07-Administrative Mgr 2	Retirement	Early College Experience	7/1/2017



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<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Rincon, Blanca E	Asst Professor	Separation	Educational Leadership	8/23/2017
Rogers, James	UCP 05-Dining Serv Ar Asst Mgr	Retirement	Dining Services	6/1/2017
Ross, William T	Professor	Separation	Marketing	8/23/2017
Roy Chowdhury, Sharmishtha	Lecturer	Separation	History	5/23/2017
Shea, Virginia R	UCP 10-SHS APRN	Separation	Ctrs for Health/CMHS/Wellness	8/14/2017
Skortcheva Donesky, Rossitza R	Visiting Assoc Professor	Separation	Art and Art History	8/23/2017
Sorensen, Ian Slade	UCP 04-Comp Tech Sup Cons 1	Separation	IT User Services	8/17/2017
Stark, Tammy E	Visiting Asst Professor	Separation	Linguistics	8/23/2017
Stewart, Kathryn D	UCP 05-Program Coordinator	Separation	Public Engagement	7/1/2017
Trahan, Thomas	UCP 10-Facilities Prof 4	Death	Utility Plant Operations	7/6/2017
Triantoro, Tamilla	Director & Asst Prof in Res	Separation	Operations and Info Management	8/23/2017
Turner, Amanda	UCP 07-Program Specialist 2	Separation	Education	6/24/2017
Wallace, Love T	UCP 05-Residence Hall Director	Separation	Residential Life	7/20/2017
Wang, Fangfang	Visiting Asst Professor	Separation	Statistics	8/23/2017
Warner, Tammy E	UCP 07-Business Consultant	Separation	CT Small Bus Development Ctr	7/4/2017
Whiteley, Robin L	UCP 01-Program Aide	Separation	Dept of Extension New London	7/29/2017
Williams, Steven T	Visiting Instructor	Separation	Accounting	8/23/2017
Wisniewski, Michael Thomas	UCP 07-Business Consultant	Separation	CT Small Bus Development Ctr	7/29/2017
Yorio, Nicholas P	U Staff Professional 2	Separation	Institutional Equity	8/23/2017
Zhao, Chenwei	UCP 05-University Librarian 1	Separation	University Libraries	7/14/2017
Zito, Stephen Michael	Visiting Asst Professor	Separation	Mathematics	8/23/2017

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 09/06/2017**  
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NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Beaudry, Karen E	UCP 06-Financial Aid Officer	Financial Aid	8/22/2017	8/22/2017	Medical Leave	Paid
Bellamy, Michelle L	UCP 05-Academic Advisor 1	Physics	7/20/2017	7/20/2017	Medical Leave	Paid
Bellamy, Michelle L	UCP 05-Academic Advisor 1	Physics	8/1/2017	8/1/2017	Medical Leave	Paid
Bellamy, Michelle L	UCP 05-Academic Advisor 1	Physics	8/15/2017	8/15/2017	Medical Leave	Paid
Bobaljik, Jonathan David	Professor	Linguistics	2/23/2018	8/22/2018	Other - Guggenheim Fellowship	Partial - 51%
Bozorgmanesh, Hadi	Professor In Residence	Engineering	6/22/2017	7/25/2017	Medical Leave	Paid
Bruno, Nicole G	UCP 05-Program Coordinator	Student Activities	8/28/2017	8/31/2017	Medical Leave	Paid
Cogan, John	Associate Professor	Law School	4/4/2017	5/22/2017	Medical Leave	Paid
Costigliola, Frank	Distinguished Professor	History	8/23/2017	8/22/2018	Other - Fellowship	Paid
DeVries, Phoebe	Assistant Professor	Physics	8/23/2017	8/22/2018	Personal Leave	Unpaid
Dracobly, Elizabeth B	UCP 05-Admin Coordinator	Mechanical Engineering	7/25/2017	7/31/2017	Maternity Leave	Paid
Dracobly, Elizabeth B	UCP 05-Admin Coordinator	Mechanical Engineering	8/17/2017	8/17/2017	Medical Leave	Paid
Dudden, Alexis	Professor	History	11/18/2016	8/22/2017	Other - Fulbright - Korean-American Educational Commission	Partial - 54%
Dunne, Gerald	Professor	Physics	8/23/2017	12/16/2017	Other - Research leave at Kavli Inst.	Paid
English, Gary	Distinguished Professor	Dramatic Arts	8/23/2017	8/22/2018	Other - Visiting AQU/Bard	Partial - 68%
Gill, Jonathan	UCP 09-Oper Sys Prog/Anal 3	IT Server Support	8/14/2017	8/14/2017	Medical Leave	Paid
Gill, Jonathan	UCP 09-Oper Sys Prog/Anal 3	IT Server Support	8/28/2017	8/28/2017	Medical Leave	Paid
Hamilton, Doug	Professor	Physics	8/23/2017	5/22/2018	Other- Pre Retirement Workload Reduction	Partial - 50%
Haycock, Heather	Research Assistant II	Pathobiology	8/4/2017	8/17/2017	Medical Leave	Paid
Heller, Nina R	Dean	Social Work	7/25/2017	8/3/2017	Medical Leave	Paid
Heller, Nina R	Dean	Social Work	8/4/2017	8/11/2017	Medical Leave	Paid
Heller, Nina R	Dean	Social Work	8/18/2017	8/25/2017	Medical Leave	Paid
Hills, Laura B	UCP 05-Program Coordinator	Education Abroad	7/14/2017	7/20/2017	Maternity Leave	Paid
Huang, Chun-Hsi	Associate Professor	Computer Science & Eng.	9/5/2017	9/4/2018	Other- Inter-Personnel Agreement with NSF	Paid
Hyde-Wick, Susan	UCP 06-Career Consultant	Waterbury Campus	8/10/2017	8/17/2017	Medical Leave	Paid
Hyde-Wick, Susan	UCP 06-Career Consultant	Waterbury Campus	8/21/2017	8/31/2017	Medical Leave	Paid
Hyde-Wick, Susan	UCP 06-Career Consultant	Waterbury Campus	9/5/2017	9/6/2017	Medical Leave	Paid
Jackson, Kathleen V	UCP 06-SHS Nurse	Student Health Services	8/31/2017	8/31/2017	Medical Leave	Paid
Livingston, Jill	UCP 11-Area Head-Library	University Libraries	8/25/2017	8/25/2017	Medical Leave	Paid
McNamara, Eileen	UCP 05-Assignments Coordinator	Residential Life	7/12/2017	7/12/2017	Medical Leave	Paid
Monahan Wilding ,Jolene L.	UCP 09-OSD Consl & Project Mgr	Social Work Instruct and Rsrch	8/4/2017	8/17/2017	Maternity Leave	Paid
Oguibe, Oluchukwu	Professor	Art & Art History	2/23/2017	8/22/2017	Research Leave	Paid
Petersen, Mary Ellen	UCP 04-Laboratory Technician 2	Biology Central Services	7/31/2017	8/2/2017	Medical Leave	Paid
Roberts, Jessica Lynn	UCP 06-Grants & Contr Spec 1	Sponsored Programs	8/3/2017	8/3/2017	Maternity Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Shapiro, Lionel	Associate Professor	Philosophy	1/31/2017	8/22/2017	Alexander Von Humboldt Research Fellowship	Partial - 50%
Simoniello, Jennifer L	UCP 05-Admin Coordinator	Animal Science	8/8/2017	8/17/2017	Medical Leave	Paid
Simoniello, Jennifer L	UCP 05-Admin Coordinator	Animal Science	8/18/2017	8/31/2017	Medical Leave	Paid
Smith, Tresca M	UCP 05-Admin Services Spec 3	Student Health Services	7/17/2017	7/17/2017	Medical Leave	Paid
Song, Yongfeng	U Post Doc Fellow 1	Biology Central Services	8/4/2017	8/10/2017	Family Medical Leave	Paid
Song, Yongfeng	U Post Doc Fellow 1	Biology Central Services	8/11/2017	8/25/2017	Family Medical Leave	Unpaid
Tabor, Clay	Assistant Professor	Integrative Geoscience	8/23/2017	12/31/2017	Personal Leave	Unpaid
Tran, Nu-Anh	Assistant Professor	History	2/2/2017	5/22/2017	Family Medical Leave	Paid
Wang, Li	Associate Professor	Physiology and Neurobiology	8/23/2017	8/22/2018	Other - VA MOU	Partial - 61%
Wang, Xiaojing	Assistant Professor	Statistics	4/14/2017	5/22/2017	Maternity Leave	Paid
Weiner Jr,Robert M	UCP 10-Comp Tech Supp Cons 4	Engineering Technical Services	7/10/2017	7/20/2017	Medical Leave	Paid
Weiner Jr,Robert M	UCP 10-Comp Tech Supp Cons 4	Engineering Technical Services	8/4/2017	8/17/2017	Medical Leave	Paid
Weiner Jr,Robert M	UCP 10-Comp Tech Supp Cons 4	Engineering Technical Services	8/18/2017	8/31/2017	Medical Leave	Paid
Yelin, Susanne	Professor	Physics	8/23/2017	8/22/2018	Other- Fellowship at ITAMP	Partial - 50%
Zhang, Bi	Professor	Mechanical Engineering	8/23/2017	8/22/2019	Research Leave	Partial - 60%
Zhang, Bi	Professor	Mechanical Engineering	8/23/2019	9/30/2019	Administrative Leave	Unpaid
Zhao,Chenwei	UCP 05-University Librarian 1	University Libraries	7/10/2017	7/10/2017	Medical Leave	Paid

## **COMMITTEE AGENDAS**

## AGENDA

### Meeting of the BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE

September 13, 2017, 10:30 a.m.  
University of Connecticut  
Lewis B. Rome Commons Ballroom  
626 Gilbert Road Ext. – Storrs, Connecticut

#### **ACTION ITEMS:**

#### **TAB**

- |  |   |
|--|---|
| 1) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of June 14, 2017, as circulated | 1 |
| 2) Sewer Main Easement to Town of Mansfield<br>➤ Presenter: Robert Sitkowski, University Attorney                      | 2 |

#### **PRESENTATION/DISCUSSION ITEMS:**

- |   |                                      |  |
|---|--------------------------------------|--|
| 3) Quarterly Report on Construction Performance   | <i>(Postponed to Future Meeting)</i> |  |
| 4) Updates on Operational and Organizational Activities, and Improvements Capital Project and Contract Administration (CPCA)<br>➤ Presenter: Matthew Larson, Director of Procurement Services   | 3                                    |  |
| 5) Status of Code Correction Projects   |                                      |  |
| ▪ Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report   | 4                                    |  |
| ▪ Quarterly Construction Status Report, Period Ending June 30, 2017<br><a href="http://updc.uconn.edu/wpcontent/uploads/sites/1525/2017/07/UConn_Quarterly_Construction_Status_Report_06302017.pdf">http://updc.uconn.edu/wpcontent/uploads/sites/1525/2017/07/UConn_Quarterly_Construction_Status_Report_06302017.pdf</a><br>➤ Presenter: Brian Gore, Director of Project and Program Management |                                      |  |
| 6) <u>Project Updates</u>   |                                      |  |
| UConn Health  |                                      |  |
| ▪ BioScience and UCH Capital Projects Update  | 5                                    |  |
| ▪ BioScience Connecticut Monthly Capital Projects Report<br>➤ Presenter: Thomas Trutter, Associate Vice President for Campus Planning, Design and Construction (UConn Health)   | 6                                    |  |
| Storrs Based Programs   |                                      |  |
| ▪ Project Updates and Updates on Operational and Organizational Activities, and Improvements  | 7                                    |  |
| ▪ Summary of Individual Change Orders Greater Than 3% of Project Cost<br>➤ Presenter: Robert Corbett, Director of Regional & Development Projects   | 8                                    |  |
| 7) 2018 BGE Meeting Schedule – Draft  | 9                                    |  |

#### **EXECUTIVE SESSION (As Needed)**

*The next meeting of the BGE Committee is November 16, 2017*

**University of Connecticut Board of Trustees  
Institutional Advancement Committee**

University of Connecticut  
University Communications  
34 North Eagleville Road, room 101  
Storrs, Connecticut

Tuesday, September 12, 2017  
1:30 p.m.

1. Acceptance of the Minutes from July 13, 2017.....Ms. Gándara
2. Naming Recommendations ..... Mr. Newton
  - Peter J. Werth Institute for Entrepreneurship and Innovation
  - Peter J. Werth Residence Tower
3. Foundation Report ..... Mr. Newton
4. University Communications Report ..... Mr. Kendig
5. Legislative Report .....Ms. Lombardo

*Executive Session as needed*

# University of Connecticut & UConn Health Joint Audit & Compliance Committee Meeting

**September 14, 2017**

9:00 am – 9:45 am - Executive Session

9:45 am – 11:00 am - Public Session

University of Connecticut, Lewis B. Rome Commons Ballroom, 626 Gilbert Road Extension, Storrs, CT

**REVISED**

Topic	Proposed Action	Tab
<b>Executive Session to discuss:</b> <ul style="list-style-type: none"> <li>C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public's interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]</li> <li>C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)]</li> <li>C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]</li> <li>C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]</li> </ul>	Review	None
<b>Opportunity for Public Comments</b>		None
<b>Minutes of the May 16, 2017 JACC Meeting</b>	Approval	1
<b>Storrs &amp; UConn Health Significant Compliance Activities</b> <ul style="list-style-type: none"> <li>Clinical Conflict of Interest at UConn Health</li> </ul>	Update	2
<b>HealthONE – Electronic Medical Record Implementation</b>	Update	
<b>Storrs &amp; UConn Health Significant Audit Activities</b> <ul style="list-style-type: none"> <li>Status of Audit Assignments</li> <li>Audit Follow-up Activity</li> </ul>	Update	3
<b>Draft Audit Plans for 2018</b>	Approval	4
<b>External Engagements</b> <ul style="list-style-type: none"> <li>Status of External Engagements</li> <li>BKD – Fee for FY17 Annual Agreed Upon Procedures to the Statements of Revenues and Expenses for Athletics Programs</li> <li>CohnReznick – Fee for FY17 Annual Audit and Agreed Upon Procedures, UConn 2000 Construction Projects Expenditures</li> </ul>	Update Approval Approval	5
<b>Informational/Educational Items</b> <ul style="list-style-type: none"> <li>Compliance Newsletters – UConn &amp; UConn Health</li> </ul>	Information Only	6
<b>Conclusion of Full Meeting</b>		
<b>Information Session with OACE and External Auditors</b>		

The next meeting of the JACC will be held on Thursday, December 19, 2017 at 10:00 am  
University of Connecticut, Lewis B. Rome Commons Ballroom, Storrs, CT

## **Academic Affairs**



**AGENDA**  
Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**  
Wednesday, September 27, 2017  
University of Connecticut  
NextGen Residence Hall  
Room 112  
2378 Alumni Drive  
Storrs, Connecticut  
9:00 a.m.

	<b><u>COMMITTEE</u></b>	<b><u>ATTACHMENT</u></b>
1) Minutes of the Academic Affairs Committee Meetings of May 24, 2017, and July 31, 2017, as circulated	A	

**ACTION ITEMS:**

2) Tenure at Hire	3
3) Designation of Emeritus Status	4
4) Sabbatical Leave Recommendations	5
5) Appointment of Professor Heather Elliott-Famularo to the Donna Krenicki Professorship in Digital Media and Design in the School of Fine Arts	6
6) Appointment of Professor Brendan Maher to the Connecticut Mutual Chair in Insurance Law in the School of Law	7
7) “Executive” Master of Laws (LLM) Program in Seoul, South Korea	8
8) Bachelor’s Degree in Social Work	9
9) Peter J. Werth Institute for Entrepreneurship and Innovation	10

**INFORMATIONAL ITEMS:**

10) Tenure-track Reappointments	13
11) Centers and Institutes	14

**EXECUTIVE SESSION** *(As Needed)*

## **ATTACHMENT A**

**DRAFT MINUTES**  
**SPECIAL MEETING OF THE ACADEMIC AFFAIRS COMMITTEE**  
**May 24, 2017**

Committee Trustees: Braghirol, Carbray, Dennis-LaVigne, Lobo, McHugh

University Senate: Coundouriotis, Folta

Staff: Murray, Rubin, Teitelbaum, Volin

Guests: McElya, Shor

Committee Chairwoman Dennis-LaVigne convened the meeting at 12:03 p.m. at the University of Connecticut, Thomas J. Meskill Law Library, Room 316, Law School Campus.

On a motion by Trustee Braghirol, seconded by Trustee Carbray, the minutes of the April 26, 2017, meeting were approved as circulated.

Interim Provost Teitelbaum introduced ***Action Item #2, Sabbatical Leave Recommendations***. Moved by Trustee Carbray, seconded by Trustee Braghirol, the Committee recommended approval to the full Board.

Interim Provost Teitelbaum introduced ***Action Item #3, Appointment of Professor Sulin Ba to the Treibeck Family Chair in the Connecticut Information Technology Institute in the School of Business; and Action Item #4, Appointment of Professor Suresh Nair to the Robert Cizik Chair in Manufacturing and Technology in the School of Business***. Moved by Trustee Braghirol, seconded by Trustee Carbray, the Committee recommended appointment to the full Board.

Chairwoman Dennis-LaVigne introduced Dr. Micki McElya, Associate Professor of History, who gave a presentation on her research. Following the presentation, a brief discussion ensued.

Interim Provost Teitelbaum introduced Dr. Leslie Shor, Associate Professor of Chemical and Biomolecular Engineering, who gave a presentation on her research. Following the presentation, a brief discussion ensued.

Interim Provost Teitelbaum led an overview and discussion of the promotion and tenure process with the Committee.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 1:33 p.m.

Respectfully submitted,

Brandon L. Murray  
Committee Secretary

**DRAFT MINUTES**  
**SPECIAL MEETING OF THE ACADEMIC AFFAIRS COMMITTEE**  
**July 31, 2017**

Committee Trustees: Carbray, Dennis-LaVigne, Savino

University Senate: Folta

Staff: Murray, Rubin, Teitelbaum

Committee Chairwoman Dennis-LaVigne convened the meeting at 4:31 p.m. at the University of Connecticut, Gulley Hall, President's Conference Room, Storrs Campus.

Interim Provost Teitelbaum introduced ***Action Item #1, Tenure at Hire***. Moved by Trustee Carbray, seconded by Trustee Savino, the Committee recommended approval to the full Board.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 4:35 p.m.

Respectfully submitted,

Brandon L. Murray  
Committee Secretary

## **Financial Affairs**

Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**September 27, 2017 at 9:15 a.m.**  
University of Connecticut  
NextGen Residence Hall, Room 112  
2378 Alumni Drive  
Storrs, Connecticut

**ATTACHMENT**      **LOCATION**  
**COMMITTEE**      **FULL BOARD**

- |   |   |
|---|---|
| 1) Approval of the Minutes of the Financial Affairs Committee Meetings of June 12, 2017, June 28, 2017 and July 17, 2018, as circulated | A |
|---|---|

**ACTION ITEMS:**

- |   |    |
|---|----|
| 2) Contracts and Agreements for Approval  | 1  |
| 3) Annual Report of the Endowed Chair Program Entitled “Aetna English Chair of Writing” | 2  |
| 4) FY 17 Deferred Maintenance and Equipment Expenditures                                | 15 |

**PROJECT BUDGETS FOR APPROVAL:**

<b><u>STORRS BASED PROGRAMS</u></b>	<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
5) Engineering Building – Engineering & Science Building	Revised Final	\$95,000,000	16
6) North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III	Revised Final	\$56,000,000	17
7) Stamford Parking Garage Demolition	Final	\$13,000,000	18
8) Farm Buildings Repair/Replacement – Spring Hill Farm ABSL2 Facility	Final	\$3,800,000	19
9) UCONN 2000 Code Remediation: Wilbur Cross	Revised Final	\$1,640,000	20
10) Stamford Campus Surface Parking	Design	\$500,000	21
11) Academic and Research Facilities – STEM Research Center Science 1	Revised Planning	\$15,000,000	22
12) Supplemental Utility Plant Project	Planning	\$5,000,000	23
 <b><u>UCONN HEALTH</u></b>			
13) UCH Elevator 24 & 25 Modernization and Sill Repairs	Revised Final	\$1,390,000	24
14) UCH Main Accumulation Building	Revised Final	\$3,850,000	25

**INFORMATION ITEMS:**

- |  |    |
|--|----|
| 15) Notice to Board of Trustees and the Public that the University is Considering the Adoption of Procurement Policies Pursuant to Public Act No. 17-130 | 26 |
|--|----|

	<b><u>ATTACHMENT COMMITTEE</u></b>	<b><u>LOCATION FULL BOARD</u></b>
16) Project Budget Map	B	
17) Contracts and Agreements for Information	C	
18) Construction Project Status Report <a href="http://media.budget.uconn.edu/BOT/CSR/Construction%20Status%20Report%209.27.17.pdf">http://media.budget.uconn.edu/BOT/CSR/Construction%20Status%20Report%209.27.17.pdf</a>		(Under Separate Cover)
19) Master Schedule for UCONN 2000 Phases I, II & III (as of 06/30/17) <a href="http://media.budget.uconn.edu/BOT/Master.pdf">http://media.budget.uconn.edu/BOT/Master.pdf</a>		(Under Separate Cover)
20) UCONN 2000 – Book 44 <a href="http://reports.uconn.edu/2017/08/10/legislative-report-no-44/">http://reports.uconn.edu/2017/08/10/legislative-report-no-44/</a>		
<b><u>EXECUTIVE SESSION</u></b> ( <i>As Needed</i> )		

## **ATTACHMENT A**



**MINUTES**  
**SPECIAL MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**

University of Connecticut  
Lewis B. Rome Commons Ballroom  
626 Gilbert Road Ext.  
Storrs, Connecticut  
June 12, 2017, 9:00 a.m.

TRUSTEES PRESENT: Andy Bessette, Mark Boxer (*via telephone*), Shari Cantor, Richard Carbray, Adam Kuegler, Thomas Kruger, Larry McHugh, and Thomas Ritter (*via telephone*),

STAFF PRESENT: Andy Agwunobi, Chad Bianchi, Jeremy Campbell, Debbie Carone, David Clokey, Laura Cruickshank, Lisa Danville, Elly Dougherty, Nathan Fuerst, Gail Garber, Jeffrey Geoghegan, Michael Gilbert, Susan Herbst, Michael Jednak, Scott Jordan, Tysen Kendig, Michael Kirk, Joann Lombardo, Mona Lucas, Josh Newton, Richard Orr, Stephanie Reitz, Rachel Rubin, Katrina Spencer, Jeremy Teitelbaum, Scott Wetstone, Kelly Wihbey, Michelle Williams, Kristin Wirtanen, and Reka Wrynn

Committee Chairman Thomas Kruger convened a special meeting of the Financial Affairs Committee at 9:00 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

President Susan Herbst provided opening remarks. Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, presented agenda ***Item #1, FY18 Draft Budget Presentation for the University of Connecticut, Storrs and Regional Campuses.*** Mr. Jordan directed the committee to a PowerPoint presentation detailing the FY18 operating budget proposal and options for the Storrs based programs. The budget presentation included an overview of the operating budget for Storrs and regional campuses and a capital budget overview. The goal is to adopt operating and capital budgets at the June 28, 2017 Board of Trustees meeting; which is dependent on the State adopting a budget. If the State has not adopted a budget by that date there may be a need to bring a revised budget to the Trustees after the June 28, 2017 meeting.

The budget presentation included an FY17 end of year projection, an overview of budget expenses and revenue for FY18; a history of State support; details of the most challenging budget areas; and a summary of the University's strengths, weaknesses, opportunities and threats. The committee discussed the effects the various state budget proposals, including SEBAC concessions, on the University's budget and financial situation. The detrimental impact of budget cuts to UConn were detailed as well as the effect of fringe benefit costs on the University and the research enterprise. Trustee Bessette emphasized the importance of planning for 2021 if the SEBAC concessions are adopted, as they include 3.5% salary and step increases. The committee discussed the need to pursue and generate additional revenue while continuing careful oversight of expenditures.

Mr. Jordan reviewed the University's Capital Budget, which is also subject to State budget uncertainties. The presentation included the status of major capital projects, deferral of critical projects and a summary of the Capital Program. The Capital Budget follows the NextGenCT Program. FY18 is the fourth year of the NextGenCT Program; and a great deal has been accomplished in those four years. A number of other projects, not funded by NextGenCT, are also complete or in construction including the new Recreation Center and Innovation Partnership Building as well as a number of infrastructure projects. Bond funds of \$1.3 billion have not been authorized by the State for projects that are already under construction or are in planning/design. The FY18 capital amount has been reduced by \$29.6 million, which may delay some projects to future years, reduce projects and some projects may be cut. President Herbst confirmed that despite the capital plan cuts the Fine Arts renovation is planned to move forward.

Mr. Jordan stated that the Capital Budget Plan, to be presented to the Board on June 28, will be based upon the current statutory amount of \$295 million as a "not to exceed" amount and then reduce the amount as the State cuts the budget. It is important for the University to have a budget on July 1. A balanced budget will be brought to the Trustees on June 28. Should the State's budget adjustments cause the University to require revision of the approved budget, a revised budget will subsequently be presented to the Trustees for approval. Chairman McHugh congratulated the administration, staff and faculty for addressing budget issues and cutbacks as they occurred during the year and for bringing a balanced budget forward at year-end.

On a motion by Trustee Bessette and seconded by Trustee Cantor agenda ***Item #1, FY18 Draft Budget Presentation for the University of Connecticut, Storrs and Regional Campuses*** was recommended, as presented, to the full Board for approval subject to any adjustments deemed necessary by the Executive Vice President for Administration and Chief Financial Officer given State budget developments that may occur between June 12 and June 28.

Dr. Andy Agwunobi, Executive Vice President for Health Affairs and Chief Executive Officer of UConn Health, reviewed the UConn Health budget presentation. He stated that at the end of FY17 UConn Health is forecasting a loss of \$19.7 million versus a budgeted loss of \$15.9 million. The budgets for both FY17 and FY18 reflect a difficult financial picture as this is the worst financial outlook in approximately a decade. Key drivers for the loss include unanticipated May 10 Governor's rescission of \$2.2 million; operating revenue shortfall of \$15.5 million compared to budget with the main driver being a less than anticipated surgical volume. Operating expense was favorable to budget by \$10.4 million with the main drivers being a salary and fringe decrease of \$4.3 million due to management reviewing all vacant positions; along with a decrease in other expenses of \$5.2 million due to utilities and outside purchased services.

Dr. Agwunobi explained UConn Health's financial overview from FY14-FY18; stating that it is getting harder to control the losses and increasingly difficult to cut costs and generate revenue. Despite outward appearances, the financial outlook at UConn Health has been worsening. While clinical revenue has grown, the growth in clinical revenue has fallen behind expense growth. Most Connecticut hospital networks lost inpatient volumes from 2013-2017 but UConn Health grew in patient volumes by 8.4%. Statewide UConn Health inpatient market share grew from 2.07% to 2.33% from FY13-FY17. Competition challenges hospital occupancy. Only eight Connecticut hospitals remain independent and maintain a 19.5% market share.

Another expense factor is the fringe rate for John Dempsey Hospital is significantly higher than the Connecticut Hospital Association fringe rate. The fringe payments from the State vs. the actual difference between UConn Health and the Connecticut Hospital Association (CHA) is significant. If, with all of the other financial challenges, fringe rates were at the level of other health systems, UConn Health would be above break even. The Farmington clinical campus could be sustainable if it had a different type of cost structure. Other key cost drivers include state reductions including lost fringe payments and fund sweeps; and debt service payments. The current operational structure of the clinical enterprise is financially unsustainable. The State has its own financial challenges and UConn Health cannot look to the State to solve its problems. Strategic structural changes need to be made in FY18 to ensure financial sustainability and success of UConn Health. These must include financial improvements including cost savings and revenue enhancement; outsourcing of revenue cycle management, workforce planning. The UConn Health academic enterprise is outstanding and is not experiencing the same challenges as the clinical enterprise.

Jeffrey Geoghegan, Chief Financial Officer for UConn Health, provided FY18 budget assumptions for UConn Health. He detailed a preliminary forecast of a \$59.4 million deficit but a bottom line target of an \$18.4 million deficit for FY18. A review of the capital budget and the items that are on hold, unfunded, etc. result in a balanced capital budget. Mr. Geoghegan also presented a balanced draft operating budget spending plan.

Chairman McHugh stated his appreciation for the hard work done by Dr. Agwunobi and Mr. Geoghegan and the entire UConn Storrs team under the leadership of President Herbst and Mr. Jordan to bring forth a balanced budget.

No action was taken on the UConn Health budget as it was still subject to review by the UConn Health Board of Directors.

There being no additional agenda items the meeting was adjourned on a motion by Trustee Bessette and seconded by Trustee Boxer at 11:10 a.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**

NextGen Residence Hall, Room 112  
2378 Alumni Drive  
Storrs, Connecticut  
June 28, 2017, 9:00 a.m.

TRUSTEES PRESENT: Andy Bessette, Mark Boxer, Kevin Braghirol, Chuck Bunnell, Shari Cantor, Richard Carbray, Sanford Cloud, Marilda Gandara, Thomas Kruger, Adam Kuegler, Andrea Dennis-LaVigne (*via telephone*), Larry McHugh, Denis Nayden, and Thomas Ritter

STAFF PRESENT: Andy Agwunobi, David Benedict, Laura Cruickshank, Jeffrey Geoghegan, Michael Gilbert, Susan Herbst, Scott Jordan, Tysen Kendig, Michael Kirk, Matthew Larson, Wayne Locust, Joelle Murchison, Richard Orr, Rachel Rubin, Katrina Spencer, Jeremy Teitelbaum and Dan Weiner

UNIVERSITY SENATE MEMBERS PRESENT:  
Douglas Hamilton and Debra Kendall

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:00 a.m. in Room 112 of NextGen Residence Hall on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger stated that the Financial Affairs Committee met on June 12 for an in depth discussion of the operating and capital budgets for the Storrs based programs and UConn Health. The committee has reviewed, discussed and recommended agenda ***Items 7 and 9, Spending Plan for Fiscal Year 2018 for the University of Connecticut, Storrs & Regional Campuses***; and the ***Fiscal Year 2018 Capital Budget*** to the full Board for approval. Agenda ***Item #8, Spending Plan for Fiscal Year 2018 for UConn Health*** was also reviewed, discussed, and recommended to the Board of Directors for additional review. On a motion by Trustee Bessette and seconded by Trustee Cantor the presentations, review, discussion and approval of the Spending Plans and Capital Budget agenda items were deferred to the full Board meeting.

The minutes of the meeting of April 26, 2017 were approved as circulated, on a motion by Trustee Bessette and seconded by Trustee Cantor.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, presented highlights of agenda ***Item #3, Contracts and Agreements for Approval***. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Mr. Jordan detailed agenda ***Item #4, Proposed Statement of Work for the UConn Foundation for Fiscal Year 2018 and Operational Changes at the UConn Law School Foundation***. On a motion by Trustee Cantor and seconded by Trustee Bessette the item was recommended to the full Board for approval.

On a motion by Trustee Bessette and seconded by Trustee Cantor ***agenda Item #5, Recommendation for Extension of Collective Bargaining Agreement with the University of Connecticut Chapter of the American Association of University Professors (AAUP)*** and ***agenda Item #6, Recommendation for Extension of Collective Bargaining Agreement with the University of Connecticut Professional Employees Union (UCPEA)*** were recommended to the full Board for approval.

Mr. Jordan provided information on agenda ***Item #10, Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #11, Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #12, Revised Allocation of Bond Authorizations as set forth in the Twentieth Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #13, Revised Allocation of Bond Authorizations as set forth in the Twenty-First Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #14, Revised Allocation of Bond Authorizations as set forth in the Twenty-Second Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #15, Twenty-Third Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds***; and ***Item #16, Twenty-Fourth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds for Refunding Purposes***. On a motion by Trustee Bessette and seconded by Trustee Cantor agenda Items 10-16 were recommended to the full Board for approval.

Mr. Jordan detailed the project budgets for approval: agenda ***Item #'s 17-22, 28 Professional Park Renovation (Final: \$675,000)***; ***UConn 2000 Code Remediation: Towers Dormitories (Revised Final: \$800,000)***; ***Residential Life Facilities – Alumni Quad Shower Renovations (Revised Final: \$1,156,000)***; ***Main Campus Parking Replacements (Planning: \$500,000)***; ***Decentralized Heat at Central Warehouse and Facilities Operations & Building Services Buildings (Final: \$700,000)***; and ***Steam Line Lateral at the John J. Budds Building (Final: \$800,000)***. On a motion by Trustee Bessette and seconded by Trustee Boxer the project budgets were recommended to the full Board for approval.

Dr. Andy Agwunobi, UConn Health Chief Executive Officer, updated the Committee on the UConn Health project budgets presented for approval, which included agenda ***Item #'s 23-26, UCH Elevator 24 & 25 Modernization and Sill Repair (Final: \$1,100,000)***; ***UCH Main Accumulation Building (Final: \$4,400,000)***; ***UCH Medical School Academic Building Addition and Renovation (Revised Final: \$36,795,000)***; and ***UCH New Construction and Renovation – Clinic (C) Building Renovations (Revised Final: \$92,514,604)***. On a motion by Trustee Bessette and seconded by Trustee Boxer the project budgets for UConn Health were recommended to the full Board for approval.

Trustee Kruger directed the committee to the information items for their review. There being no additional agenda items the meeting was adjourned at 9:24 a.m. on a motion by Trustee Boxer and seconded by Trustee Bessette.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee

**MINUTES**  
**SPECIAL MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**

University of Connecticut  
Office of the President Conference Room  
352 Mansfield Road  
Storrs, Connecticut  
July 17, 2017, 3:30 p.m.

**TRUSTEES PARTICIPATING**

**BY TELEPHONE:** Andy Bessette, Mark Boxer, Kevin Braghirol, Chuck Bunnell, Shari Cantor, Richard Carbray, Sanford Cloud, Dennis-LaVigne, Marilda Gandara, Thomas Kruger, Rebecca Lobo, Larry McHugh, Denis Nayden, Steven Reviczky, Thomas Ritter, Christine Savino and Catherine Smith

**STAFF PRESENT:** Kelly Bannister, Tom Breen, Debbie Carone, Christopher Delello (*via Telephone*), Keith Hood, Scott Jordan, Michael Kirk, Susan Locke, Radenka Maric, Rachel Rubin, Michael White, and Kelly Wihbey

**UNIVERSITY SENATE MEMBERS PRESENT:** Sulin Ba

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 3:30 p.m. in Office of the President Conference Room on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger requested a roll call of the Committee members on the telephone. He welcomed student Trustee Christine Savino to the committee.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, detailed the two bargaining agreement agenda items presented for approval. On a motion by Trustee Bessette and seconded by Trustee Boxer, agenda *Item #1, Recommendation for Approval of Collective Bargaining Agreement with the University of Connecticut Chapter of the American Association of University Professors (AAUP)* was recommended to the full Board for approval.

On a motion by Trustee Boxer and seconded by Trustee Bessette, and Trustee Carbray abstaining, agenda *Item #2, Recommendation for Approval of Collective Bargaining Agreement with the University of Connecticut Professional Employees Association (UCPEA)* was recommended to the full Board for approval.

There being no additional agenda items the meeting was adjourned at 3:43 p.m. on a motion by Trustee Boxer and seconded by Trustee Bessette.

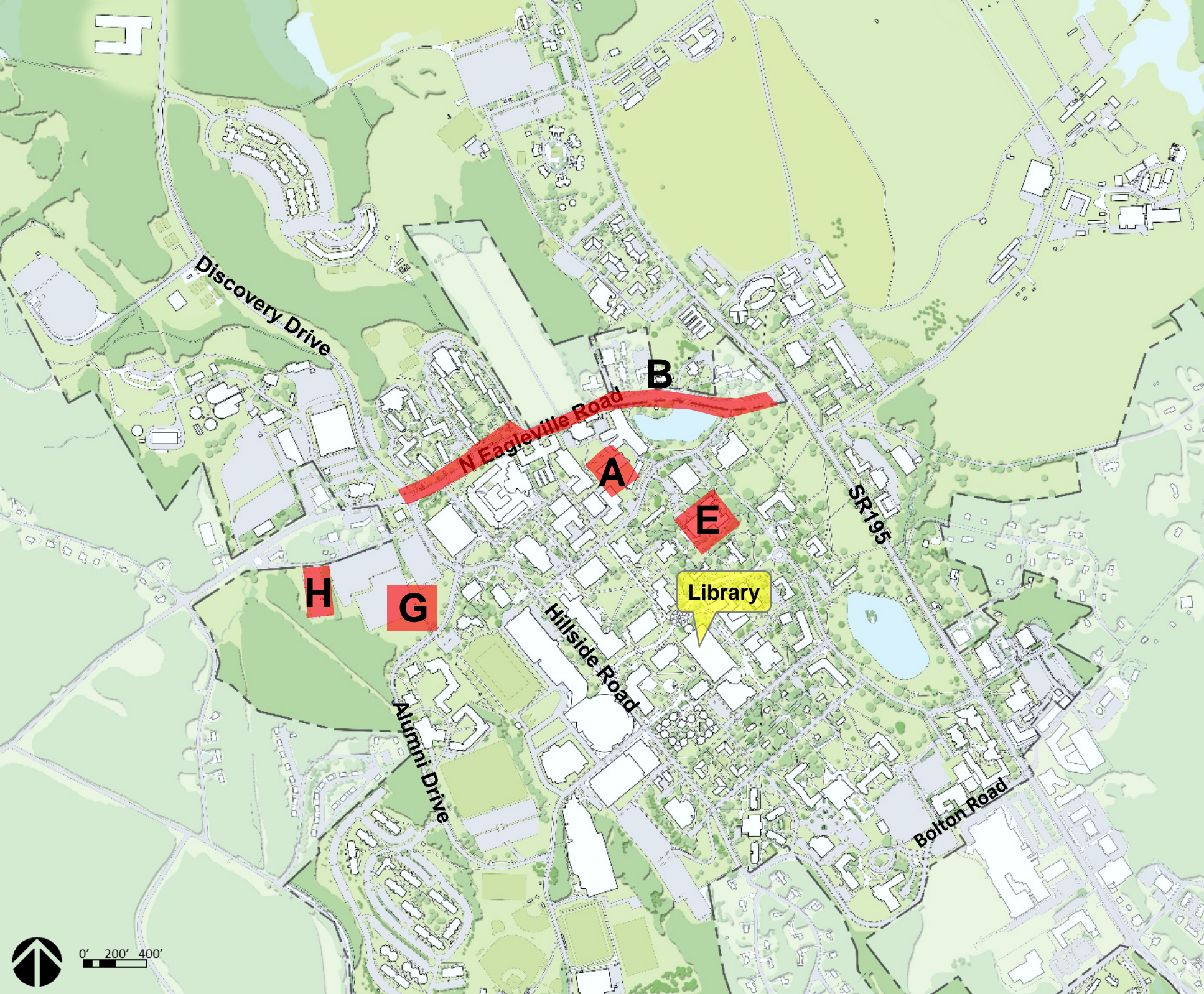
Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee

## **ATTACHMENT B**





## KEY TO PROJECTS

### Final / Revised Final

- A Engineering Building – Engineering & Science Building
- B North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III
- C Stamford Parking Garage Demolition\*\*
- D Farm Buildings Repair/Replacement – Spring Hill Farm ABSL2 Facility\*\*
- E UCONN 2000 Code Remediation: Wilbur Cross

### Design / Revised Design

- F Stamford Campus Surface Parking\*\*

### Planning / Revised Planning

- G Academic and Research Facilities – STEM Research Center Science I
- H Supplemental Utility Plant Project

**\*\* Note:** Campus-Wide or Regional Campus project.  
Location not shown on map.

**ATTACHMENT #**



**CONTRACTS AND AGREEMENTS  
FOR INFORMATION  
SEPTEMBER 27, 2017**

<b>PROCUREMENT - NEW</b>							
<b>IT DISASTER RECOVERY AND BUSINESS CONTINUITY SERVICES</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	IBM	99ITZ0031MA	\$572,696	07/15/99-12/31/50	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	Business continuity and disaster recovery services to all University campuses, excluding UCH. Purchases are through a State of Connecticut contract. Extensions may be exercised by the State.
<b>CONSTRUCTION SERVICES - GENERAL CONTRACTOR</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
2	Electrical Energy Systems Corporation	901995	\$1,747,567	07/06/17-05/31/18	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Electrical Distribution System Upgrade for the Babbidge Library located at Storrs Campus. This is a result of an open and competitive sourcing process. <i>(Final Project Budget approved by the BOT on 04/26/17 - \$4,000,000)</i>
<b>FACILITIES SERVICES - INTEGRATED PEST MANAGEMENT</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
3	Waltham Services, LLC	DS011117-1	\$500,000	07/01/17-06/30/20	Multiple Sources	Michael Jednak, AVP Facilities Operations & Building Services	Comprehensive Integrated Pest Management program for all University campuses, excluding UCH. This is a result of an open and competitive sourcing process. Three extensions of one year each remain.
<b>FACILITIES SERVICES - RIGGING AND HOISTING</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
4	G & F Equipment Co., Inc.	DS030717-1-1	\$500,000	07/01/17-06/30/19	Multiple Sources	Michael Jednak, AVP Facilities Operations & Building Services	Hoisting, rigging, warehousing, and millwright services for all University campuses. This is a result of an open and competitive sourcing process. Three extensions of one year each remain.
5	Keeney Rigging & Trucking, Inc.	DS030717-1-2	\$500,000	07/01/17-06/30/19	Multiple Sources	Michael Jednak, AVP Facilities Operations & Building Services	Hoisting, rigging, warehousing, and millwright services for all University campuses. This is a result of an open and competitive sourcing process. Three extensions of one year each remain.
<b>IT HARDWARE</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
6	Burwood Group	UC-16-SF071116- B	\$800,000	03/23/17-03/23/20	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	Operating system, network, and security hardware and services for all University campuses. This is a result of an open and competitive sourcing process. Two extensions of three years each remain.
7	Integration Partners	UC-16-SF071116-D	\$800,000	04/24/17-04/24/20	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	Operating system, network, and security hardware and services for all University campuses. This is a result of an open and competitive sourcing process. Two extensions of three years each remain.
8	Kelser Corporation	UC-16-SF071116-A	\$800,000	04/24/17-04/24/20	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	Operating system, network, and security hardware and services for all University campuses. This is a result of an open and competitive sourcing process. Two extensions of three years each remain.

**CONTRACTS AND AGREEMENTS  
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<b>IT HARDWARE (Continued)</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
9	Lighthouse Computer Services, Inc.	UC-16-SF071116-E	\$800,000	03/23/17-03/23/20	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	Operating system, network, and security hardware and services for all University campuses. This is a result of an open and competitive sourcing process. Two extensions of three years each remain.
10	Presidio Network Solutions Group, LLC	UC-16-SF071116-J	\$800,000	05/26/17-05/26/20	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	Operating system, network, and security hardware and services for all University campuses. This is a result of an open and competitive sourcing process. Two extensions of three years each remain.

**UTILITIES- GAS TRANSPORTATION**

<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
11	Connecticut Natural Gas Corporation	NA	\$18,000,000	11/01/17-10/31/24	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	Gas transportation. This contract was approved by a Resolution of the Board of Trustees on April 27, 2016. As described in the Resolution, this contract will stabilize UConn's gas transportation costs, provide additional budget stability and certainty, and limit the number of days that gas transportation to UConn will be curtailed. The Resolution contemplated, but did not require, a five year term commencing on January 1, 2017. As negotiated, the contract will be for five years commencing on November 1, 2017 and will automatically extend for an additional two years unless either party elects otherwise. Following that two year extension, the contract may be extended indefinitely by agreement between the parties.

**PROCUREMENT - AMENDMENTS**

**STANDARD FIXED-FEE ARCHITECT'S CONTRACT**

<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>New Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Total Expenditures as of 07/31/17</b>	<b>Expenditures FY 17</b>	<b>Expenditures FY 16</b>	<b>Purpose</b>
12	Centerbrook Architects and Planners, LLP	901729	\$190,129 [Contract Value Previously \$3,195,524; Total New Contract Value \$3,385,653]	01/24/13-12/31/17	Bond Funds	Thomas P. Trutter, AVP of Campus Planning, Design and Construction, UCH	\$3,393,397	\$306,880	\$360,613	Architectural services for UCH Academic Building Addition and Renovations. Sixth Amendment. <b>Amend to increase contract value \$190,129, for total new contract value of \$3,385,653. Amend to extend contract term eleven months, through 12/31/17. (Revised Final Project Budget approved by the BOT on 06/28/17 - \$36,795,000)</b>
13	Goody Clancy Architecture, LLC	901750	\$77,250 [Contract Value Previously \$1,508,993; Total New Contract Value \$1,586,243]	05/13/13-12/31/17	Bond Funds	Thomas P. Trutter, AVP of Campus Planning, Design and Construction, UCH	\$1,586,243	\$37,488	\$103,821	Architectural services for UCHC Cell and Genome Science Building Addition. Fifth Amendment. <b>Amend to increase contract value \$77,250, for total new contract value of \$1,586,243. Amend to extend contract term eighteen months, through 12/31/17. (Revised Final Project Budget approved by the BOT on 06/24/15 - \$19,800,000)</b>