

8-2-2017

2017 August 2 -- Agenda and Attachments

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Board of



TRUSTEES

VOL. 175 AUGUST 2, 2017

REVISED

MEETING OF THE BOARD OF TRUSTEES UNIVERSITY OF CONNECTICUT

AGENDA

**University of Connecticut
Office of the Provost Conference Room
Albert Gurdon Gulley Hall
352 Mansfield Road
Storrs, Connecticut**

August 2, 2017

BOARD OF TRUSTEES SCHEDULE

9:00 a.m.	Financial Affairs Committee Meeting
9:15 a.m.	Board of Trustees Meeting

BOARD MEETING AGENDA

Call to order at **9:15 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Board recognitions
 - (c) Minutes of the meetings of June 28 and July 17, 2017
 - (d) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based Programs (Attachment 1)
 - (2) Tenure at Hire (Attachment 2)
 - (3) Amy Beth Selzer Memorial Reception Area for the Neag School of Education on the UConn Hartford Campus (Attachment 3)
 - (4) Anne E. McAloon Room on the UConn Hartford Campus (Attachment 4)
 - (5) Bank of America Room on the UConn Hartford Campus (Attachment 5)
 - (6) Plaque Honoring Harleigh B. Trecker at the School of Social Work, UConn Hartford Campus (Attachment 6)
 - (7) Harry and Edith Gampel Student Center on the UConn Hartford Campus (Attachment 7)
 - (8) Mihalek Room on the UConn Hartford Campus (Attachment 8)
 - (9) The Travelers Collaborative Space on the UConn Hartford Campus (Attachment 9)
3. President's Report
4. Academic Affairs Committee Report
 - (a) Report on Committee activities

5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Reauthorization of Twenty-Third Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds (Attachment 10)
 - (2) Disposition of the Torrington Campus (Attachment 11)
 - (3) Project Budget (Final) for CUP Equipment Replacement and Pumping Project (Attachment 12)
 - (4) Project Budget (Final) for Fine Arts Phase II: Music & Dramatic Arts Library Renovations Phases I & II (Attachment 13)
 - (5) Project Budget (Final) for Kellogg Dairy Center Robotic Milkers Addition (Attachment 14)
6. UConn Health Report
 - (a) Report on UConn Health activities
7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
10. Student Life Committee Report
 - (a) Report of Committee activities
11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Informational Item:
 - (1) UConn Foundation Report (Attachment 15)
12. Committee on Compensation Report
 - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated
15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

CONTRACTS AND AGREEMENTS
FOR APPROVAL
AUGUST 2, 2017

PROCUREMENT - NEW

EDUCATION ABROAD

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Academic Centers Abroad	KA090117-8A	\$7,500,000	09/17/17-09/16/22	Auxiliary Services	Sarah O'Leary, Director of Education Abroad	Tuition, accommodations, visa and other immigrations documentation, emergency phone line, and other services for the University's Italy study abroad programs at the International Studies Institute and the Umbra Institute. The expenses associated with this contract are borne by the participating students, not by the University. Zero extensions available.

PROCUREMENT - AMENDMENTS

AUDIO/VISUAL EQUIPMENT, SUPPLIES AND SERVICE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
2	HB Communications	UC-07-KJ110106	\$0 [Contract Value Previously \$29,701,420; Contract Value Remains the Same]	11/01/13-03/31/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$24,241,222	\$4,137,381	\$3,415,828	Audio/visual equipment and installation for all University campuses, including UCH. Amend to extend contract term six months, through 03/31/18. Zero extensions remain.

COMPUTERS AND PERIPHERALS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
3	Dell Marketing LP	UC-MF080913	\$3,200,000 [Contract Value Previously \$11,500,000; Total New Contract Value \$14,700,000]	07/01/14-06/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$9,757,680	\$4,839,567	\$2,088,429	Personal computers, servers, and other hardware for all University campuses, including UCH. Amend to increase contract value by \$3,200,000, for total new contract value of \$14,700,000. One extension of two years remains.

DAIRY PRODUCTS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
4	Dean Foods, LLC (d.b.a Garelick Farms, LLC)	UC-15-KA082014-8	\$367,584 [Contract Value Previously \$1,431,908; Total New Contract Value \$1,799,492]	10/01/14-09/30/18	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	\$1,222,658	\$480,258	\$343,081	Milk and dairy products primarily for the Department of Dining Services use for student meals, dairy bar and other needs. Services provided to all University campuses, excluding UCH. Amend to increase contract value by \$367,584, for total new contract value of \$1,799,492. Amend to extend term one year, through 09/30/18. One extension of one year remains.

FURNITURE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
5	Office Furniture, Inc.	15PSX0041	\$2,000,000 [Contract Value Previously \$2,600,000; Total New Contract Value \$4,600,000]	07/02/15-06/30/20	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,844,416	\$19,186	\$0	Furniture for all campuses, including UCH. Amend to increase contract value by \$2,000,000, for a total new contract value of \$4,600,000. Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
AUGUST 2, 2017

HVAC/PLUMBING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
6	The Granite Group Wholesalers, LLC	DS020116-1-4	\$1,459,504 [Contract Value Previously \$1,000,000; Total New Contract Value \$2,459,504]	07/01/16-06/30/19	Multiple Sources	Matthew Larson, Director of Procurement Services	\$751,515	\$0	\$0	HVAC and plumbing supplies for all University campuses, including UCH. Amend to increase contract value by \$1,459,504, for a total new contract value of \$2,459,504. One extension of three years remains.
INSULATION SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
7	The Claremont Sales Corporation	UC-13-DS100512-1	\$200,000 [Contract Value Previously \$1,279,000; Total New Contract Value \$1,479,000]	12/28/12-12/18/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,219,033	\$289,806	\$128,976	Repair and replace insulation of piping and equipment of the University's distribution systems for steam, hot water, chilled water, potable water, feed water, heated and cooled air at all University campuses, including UCH. Amend to increase contract value by \$200,000, for a total new contract value of \$1,479,000. Zero extensions remain.
ON-CALL CONSULTING SERVICES - CIVIL AND STRUCTURAL ENGINEERING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
8	AECOM (d/b/a URS Corporation AES)	012.1-12-500-22916	\$1,500,000 [Contract Value Previously \$4,000,000; Total New Contract Value \$5,500,000]	03/01/13-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,917,327	\$1,100,510	\$157,854	On-call civil and engineering professional services for all University campuses, including UCH. Amend to increase contract value by \$1,500,000, for a total new contract value of \$5,500,000. Zero extensions remain.
RESEARCH SUPPLIES AND SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
9	Airgas USA, LLC	CNR01362	\$887,000 [Contract Value Previously \$995,000; Total New Contract Value \$1,882,000]	01/01/15-09/30/19	Multiple Sources	Matthew Larson, Director of Procurement Services	\$915,024	\$380,657	\$136,988	Compressed gases, gas handling, hardware and related supplies for all University campuses, including UCH. Amend to increase contract value by \$1,231,304, for total new contract value of \$1,881,304. Zero extensions remain.
10	TA Instruments - Waters, LLC	15PSX0005	\$1,622,500 [Contract Value Previously \$995,000; Total New Contract Value \$2,617,500]	06/01/15-05/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$878,139	\$805,266	\$0	Spectrophotometers, Chromatographs, Analyzers and related lab equipment and supplies for all University campuses, including UCH. Amend to increase contract value by \$1,622,500, for total new contract value of \$2,617,500. Zero extensions remain.
11	Triumvirate Environmental, Inc.	UC-14-CGP030513	\$825,892 [Contract Value Previously \$995,000; Total New Contract Value \$1,661,892]	08/01/13-07/31/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,194,782	\$379,466	\$287,826	Packaging, labeling, transporting and disposing hazardous laboratory waste generated by all University campuses, including UCH. Amend to increase contract value by \$825,892, for total new contract value of \$1,661,892. Amend to extend contract term one year, through 07/31/18. Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
AUGUST 2, 2017

SECURITY EQUIPMENT, SUPPLIES AND SERVICE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
12	Security Technologies Inc.	LM111613-1	\$183,399 [Contract Value Previously \$1,819,316; Total New Contract Value \$2,002,715]	04/25/13-01/31/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,048,074	\$211,280	\$183,788	Security upgrades for all University campuses, excluding UCH. Amend to increase contract value by \$183,300, for total new contract value of \$2,002,715. Zero extensions remain.

SERVICE AGREEMENT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
13	Siemens Industry, Inc.	UC-13-LM080913-1	\$400,000 [Contract Value Previously \$900,000; Total New Contract Value \$1,300,000]	04/01/14-12/31/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$554,329	\$102,480	\$2,475	Relocations, additions and alternations for the Fire Alarm Systems Equipment located all University campuses, excluding UCH. Amend to increase contract value by \$400,000, for total new contract value of \$1,300,000. Amend to extend contract term one year, through 12/31/18. Zero extensions remain.

SNOW REMOVAL

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
14	Tabacco & Son Builders, Inc.	DS071014-1	\$602,329 [Contract Value Previously \$1,925,085; Total New Contract Value \$2,527,454]	11/01/17-10/31/18	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	\$1,883,778	\$541,547	\$588,930	Snow and ice control services on the Storrs and Depot campuses. Amend to increase contract value by \$602,329, for a total new contract value of \$2,527,454. Amend to extend contract term one year, through 10/31/18. One extension of one year remains.

SOFTWARE PRODUCTS AND RELATED SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
15	Oracle America, Inc.	94ITZ0005MA/MB	\$1,808,344 [Contract Value Previously \$14,194,846; Total New Contract Value \$16,003,190]	07/01/08-12/31/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$13,032,020	\$1,972,136	\$1,534,359	Software licenses, maintenance and support for Oracle IT systems for all University campuses, excluding UCH. Amend to increase contract value \$1,808,344, for total new contract value of \$16,003,190. This is a State Master Agreement, which provides the State with options to extend through 12/31/50.

TELECOMMUNICATIONS HARDWARE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
16	Anixter, Inc.	UC-13-LP030513	\$381,982 [Contract Value Previously \$1,337,699; Total New Contract Value \$1,719,681]	10/14/13-06/30/18	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Technology Officer	\$1,079,629	\$346,238	\$191,095	Telecommunications hardware and supplies for all University campuses, including UCH. Amend to increase contract value \$381,981, for total new contract value of \$1,719,681. Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
AUGUST 2, 2017

TELECOMMUNICATIONS & INTERNET SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
17	The Southern New England Telephone Company	UC-11-KW011210	\$519,141 [Contract Value Previously \$5,476,422; Total New Contract Value \$5,995,563]	01/01/11-01/31/18	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Technology Officer	\$4,461,434	\$813,393	\$697,545	PBX switch maintenance for Lucent 5ESS telephone switch modules located in the Babbage Library and 3 remote sites (Kennedy Building, Hilltop and Charter Oak Complexes). The switch provides dial tone to approximately 15K subscribers. Amend to increase contract value by \$519,141, for total new contract value of \$5,995,563. Zero extensions remain.

NON-PROCUREMENT - AMENDMENTS

LIBRARY SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY16	Expenditures FY15	Purpose
18	EBSCO Information Services	UC-17-JL020316	\$2,550,000 [Contract Value Previously \$7,720,000; Total New Contract Value \$10,270,000]	07/01/17-06/30/20	Multiple Sources	Holly Jeffcoat, Interim Vice Provost for University Libraries	\$0	\$0	\$0	Provide an agent to purchase library serials and subscriptions for all University campuses, including UCH. Journals include packages held by Wiley Interscience Core Collection, Taylor & Francis, and Springer. This relationship may be effectuated through one or more contracts or amendments. Amend to increase contract value by \$2,550,000, for total new contract value of \$10,270,000. Two extensions of one year each remain.

REVENUE AGREEMENT

CONCESSIONS

No.	Contractor	Contract No.	Term	Revenue Recipient	Program Director	Purpose
1	Sodexo Operations LLC	UC-18-KA022117-8	08/02/17-07/31/23	Athletics	David Benedict, Director of Athletics	Provide concessions for the operation of all on-campus varsity sporting venues for the Division of Athletics and other functions as needed. UConn's anticipated annual revenue during the initial term is between \$125,000 and \$134,000. This is the result of a public solicitation. Two extensions of two years each available.

LEASES FOR APPROVAL

UNIVERSITY AS LESSEE

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Salvatore and Michelle Shifrin dba Kirby Mills	\$114,167 for Year 1, increasing 1.12% per year	10 Years (with two 5-Year Renewal Options)	University Operational Fund	Anne D'Alleva - Dean of Fine Arts	Lease of 7,714 square feet on the second floor at Kirby Mills, Mansfield, CT for Masters of Fine Arts program (ie. arts studios). The University is accepting the space "as is" and the Landlord is responsible for all real estate taxes, insurance and maintenance of the building. The University is responsible for utility costs and reimbursement of custodial expenses. The University will also have to extend security monitoring, data and telephone services, purchase some new FF&E and undertake minor reconfigurations of the space (the total cost of these items is estimated to be between \$400,000 and \$450,000). The University has an option to add up to an additional 7,714 square feet on the First Floor of the building on the same terms and conditions in the future.

UNIVERSITY AS LESSOR

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Eastern Connecticut Conservation District	\$1,250	10/01/14-12/31/18	Operating Fund - General	Cameron Faustman, Interim Dean and Director, College of Agriculture and Natural Resources	Fifth amendment to a lease of office space comprised of approximately 99 square feet of office space in the Windham County Agricultural Extension Building located at 139 Wolf Den Road, Brooklyn, Connecticut. This Fifth Amendment extends the Lease Agreement for 15 months to coincide with the end of calendar year 2018.

ATTACHMENT 2

**TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT
PRESENTED TO THE BOARD OF TRUSTEES — August 2, 2017**

Effective Immediately:

TENURE AS PROFESSOR

Mohamad Alkadry	Public Policy	College of Liberal Arts and Sciences
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Effective August 23, 2017

TENURE AS ASSOCIATE PROFESSOR

Lynne Tirrell	Philosophy	College of Liberal Arts and Sciences
Carlos Trallero	Physics	College of Liberal Arts and Sciences

Effective January 1, 2018


TENURE AS PROFESSOR

Deborah Chyun	School of Nursing
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ATTACHMENT 3

August 2, 2017

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for the Amy Beth Selzer Memorial Reception Area for the Neag School of Education on the UConn Hartford Campus

RECOMMENDATION:

That the Board of Trustees authorizes the naming of the reception area at the Neag School of Education, UConn Hartford campus as the Amy Beth Selzer Memorial Reception Area.

BACKGROUND:

Dr. Gerard and Judith Selzer have been donors to the University since 2002. The Selzers recently made a gift to the UConn Hartford Promise Scholarship Fund providing scholarship support to Hartford area students in memory of their daughter Amy Beth Selzer.

The Neag School for Education reception area is located on the fourth floor of the former Hartford Times building on the UConn Hartford campus and is approximately 162 square feet.


The Selzers have pledged a gift amount consistent with the amount recommended under the University's *Named Gift Guidelines* to name this area.

At its July 13, 2017, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 4

August 2, 2017

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for the Anne E. McAloon Room on the UConn Hartford Campus

RECOMMENDATION:

That the Board of Trustees authorizes the naming of a third-floor breakout room on the UConn Hartford campus as the Anne E. McAloon Room.

BACKGROUND:

Ms. Anne McAloon has been a donor to the University since 1980. Although she has generously donated to several areas within the University during her nearly four decades of support, most of her philanthropic focus has been to her endowed scholarship, the Anne E. McAloon Endowed Scholarship Fund. This includes a planned gift to this fund.

Ms. McAloon is a Founders Society, Charles Lewis Beach Society member, and is also interested in the Hartford campus and Hartford Public Library. She has made a gift to the Hartford Campus Director's Fund, which will provide unrestricted support for the Hartford campus.

The third-floor breakout room is in the former Hartford Times building on the UConn Hartford campus and is approximately 134 square feet. The breakout room is expected to be used for tutoring, small study collaboration, and other faculty and student needs.

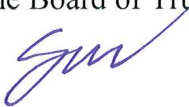
Ms. McAloon has pledged a gift amount consistent with the amount recommended under the University's *Named Gift Guidelines* to name this area.

At its July 13, 2017, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 5

August 2, 2017

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for the Bank of America Room on the UConn Hartford Campus

RECOMENDATION:

That the Board of Trustees authorizes the naming of a third-floor breakout room on the UConn Hartford campus as the Bank of America Room.

BACKGROUND:

The Bank of America Corporation is a multinational banking and financial services corporation headquartered in Charlotte, North Carolina. It is ranked second on the list of largest banks in the United States by assets. As of 2016, Bank of America was the 26th largest company in the United States by total revenue. In 2016, it was ranked No. 11 on the Forbes Magazine Global 2000 list of largest companies in the world.

Bank of America has a giving history with the University of Connecticut spanning thirty-five years. Most recently, they have supported the School of Business Entrepreneurial Bootcamp for Veterans with Disabilities.

The third-floor breakout room is in the former Hartford Times building on the UConn Hartford campus and is approximately 75 square feet. The breakout room is expected to be used for tutoring, small study collaboration, and other faculty and student needs.

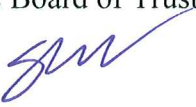
Bank of America has given a gift that is consistent with the amount recommended under the University's *Named Gift Guidelines* to name this area.

At its July 13, 2017, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 6

August 2, 2017

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Authorization for a Plaque Honoring Harleigh B. Trecker at
the School of Social Work, UConn Hartford Campus

RECOMMENDATION:

That the Board of Trustees authorizes a plaque in honor of former Dean Harleigh B. Trecker's service to the School of Social Work at the University of Connecticut.

BACKGROUND:

The Harleigh B. Trecker Library on the UConn West Hartford campus was named in celebration of the long-time dean of the School of Social Work, Dean Harleigh B. Trecker. Mr. Trecker served as Dean for the School of Social Work from 1951 to 1968. From 1968 until his retirement in 1977, he served as a University Professor of Social Work. Throughout his career he was an active consultant, institute leader, and lecturer. Mr. Trecker also received several awards and distinctions including a citation from the American Association of Social Workers in 1951 and a certificate of recognition from the Connecticut Social Welfare Conference in 1967.

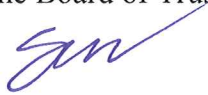
The new School of Social Work will be located at 38 Prospect Street in Hartford, Connecticut, but will no longer have a library located on the premises. It is recommended that a plaque be hung in the new School of Social Work to recognize Dean Trecker's service to the University.

At its July 13, 2017, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 7

August 2, 2017

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for the Harry and Edith Gampel Student Center
on the UConn Hartford Campus

RECOMMENDATION:

That the Board of Trustees authorizes the naming of the second-floor student center on the UConn Hartford Campus be named the Harry and Edith Gampel Student Center.

BACKGROUND:

Mr. Harry and Mrs. Edith Gampel were generous donors to the University of Connecticut during their lifetimes. In 1985, in recognition of their father's 65 birthday, the children of Mr. Gampel gave a gift in support of the renovation of the West Hartford campus.

On May 3, 1996, the West Hartford Campus Student Center was dedicated to Harry and Edith Gampel, and a plaque was hung. At that time, the family was assured that if the campus was moved, an appropriate space would be identified to honor Mr. and Mrs. Gampel.

The student center is located on the second floor of the former Hartford Times building on the UConn Hartford campus and is approximately 484 square feet.

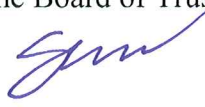
In fulfillment of the obligation to recognize the Gampels' past support, it is recommended that the second floor student center be named the Harry and Edith Gampel Student Center and that the original plaque be relocated to the new space.

At its July 13, 2017, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 8

August 2, 2017

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for the Mihalek Room on the UConn Hartford Campus

RECOMMENDATION:

That the Board of Trustees authorizes the naming of a third-floor breakout classroom on the UConn Hartford campus the Mihalek Room.

BACKGROUND:

Mr. Michael Mihalek and his wife, Dr. Mary E. Mihalek, are both graduates of the University of Connecticut and donors since 2003. Mr. Mihalek earned two degrees from the University in the School of Business. During his time at UConn, he was a member of the marching band as well as the band's honors society, Kappa Kappa Psi.

The third-floor breakout room is in the former Hartford Times building on the UConn Hartford campus and is approximately 142 square feet. The breakout room is expected to be used for tutoring, small study collaboration, and other faculty and student needs.

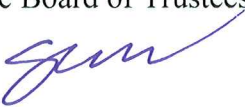
Mr. Mihalek has pledged a gift to the UConn Promise Scholarship Program providing unrestricted scholarship support to Hartford area students. The pledge amount is consistent with the amount recommended under the University's *Named Gift Guidelines* to name this area.

At its July 13, 2017, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 9

August 2, 2017

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for The Travelers Collaborative Space on the UConn Hartford Campus

RECOMMENDATION:

That the Board of Trustees authorizes the naming of The Travelers Collaborative space on the UConn Hartford campus.

BACKGROUND:

The Travelers Companies is an American insurance company. It is the second largest writer of U.S. commercial property casualty insurance and the third largest writer of U.S. personal insurance through independent agents. Travelers is incorporated in Minnesota, with headquarters in New York City and its largest office in Hartford, Connecticut.

Travelers is a strong supporter of the University with corporate giving of over \$9.5 million. Their most significant giving has been with the School of Business, UConn Health, and Enrollment Planning.

The Travelers Companies is funding the completion of the 800-square foot space on the first floor of the Hartford Times Building located on the UConn Hartford campus. This space is planned as student-centric with a focus on innovation and collaboration. The space is expected to integrate students' curricula and become a creative and holistic space to share information. Travelers' gift is expected to be used to outfit the space with furnishings and technology needs. Any remaining funds are expected to be used for programmatic enhancements that could include student awards. The Travelers Companies has pledged a gift amount consistent with the amount recommended under the University's *Named Gift Guidelines* to name this area.


At its July 13, 2017, meeting, the Institutional Advancement Committee recommended approval to the full Board.


ATTACHMENT 10



August 2, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum 
Interim Provost and Executive Vice President for Academic Affairs

RE: Reauthorization of Twenty-Third Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds

RECOMMENDATION:

That the Board of Trustees approve a revised Twenty-third Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut General Obligation Bonds secured by the State of Connecticut's (the "State") Debt Service Commitment in an amount not to exceed \$147,750,000 plus costs of issuance, plus amounts carried forward from the Twenty-second Supplemental Indenture. The only change from the Twenty-third Supplemental Indenture approved previously by this Board is a reduction in the authorized amount from \$295,000,000 to \$147,750,000.

BACKGROUND:

On June 28, 2017, the Board of Trustees approved the Twenty-third Supplemental Indenture, which authorized bonds in the maximum statutory principal amount of \$295,500,000 (the "Original Supplement"). Pending approval of the State Budget and calculation of the State Debt Limit this supplemental indenture was not sent to the Governor for his signature. With approval from the Office of Policy and Management, the University will seek the Governor's approval for one-half the original amount in advance of the setting of the State Debt Limit. This amount is sufficient to maintain cash flow on current capital projects. The University will seek additional Board of Trustees approval when a State Budget is determined and State Debt Limit set. The University will seek the Governor's approval for this Supplemental Indenture as well as any additional supplemental indentures required to authorize the full bond allotment.

UNIVERSITY OF CONNECTICUT

as Issuer

and

U.S. BANK NATIONAL ASSOCIATION

as Trustee

TWENTY-THIRD SUPPLEMENTAL INDENTURE

AUTHORIZING

THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS

(Secured by the State Debt Service Commitment)

and

AMENDING THE GENERAL OBLIGATION MASTER INDENTURE OF TRUST

DATED AS OF NOVEMBER 1, 1995

Dated as of _____

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**TWENTY-THIRD SUPPLEMENTAL INDENTURE
AUTHORIZING THE ISSUANCE OF
THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS
(SECURED BY THE STATE DEBT SERVICE COMMITMENT)**

AND

AMENDING THE MASTER INDENTURE

ARTICLE I

Definitions and Statutory Authority

101. **Twenty-third Supplemental Indenture.** This Twenty-third Supplemental Indenture authorizing the Bonds is supplemental to, and constitutes a Supplemental Indenture within the meaning of, and is adopted in accordance with Article X of the General Obligation Master Indenture of Trust between the University of Connecticut (the “University”) and the Trustee dated as of November 1, 1995 (the “Master Indenture”) as amended and supplemented to the date hereof, the form of which was approved by the State Bond Commission as required by Section 10a-109g of the General Statutes of Connecticut.

102. **Definitions.** All terms defined, and the rules of construction set forth, in Article I of the Indenture shall have the same meanings in this Twenty-third Supplemental Indenture as such terms are given in such Article I except that, as used in this Twenty-third Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

“Authorized Officer’s Certificate” means a copy of a resolution of the Board of Trustees certified by an Authorized Officer.

“Authorized Officer for Sale Purposes” means the Authorized Officer, who shall be an officer, official or trustee serving on the financial affairs committee of the Board of Trustees.

“Bond Insurance Policy” means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on the Bonds and constitutes a Bond Facility under the Indenture.

“Bond Insurer” means a financial guaranty insurance company, if any, or any successor thereto which insures the Bonds as provided in the Certificate of Determination.

“Bonds” means any series of bonds issued pursuant to this Twenty-third Supplemental Indenture.

“Certificate of Determination” means the certificate of determination of the Treasurer required by section 502 hereof and otherwise referenced herein.

“Indenture” means the General Obligation Master Indenture of Trust between the University and the Trustee dated as of November 1, 1995, as from time to time amended or supplemented.

“Insured Bonds” means any series or certain maturities in any series of bonds to be insured by a municipal bond new issue insurance policy to be issued simultaneously with the delivery of Bonds by the Bond Insurer.

“Official Statement” means the official statement of the University relating to the Bonds.

“Preliminary Official Statement” means the preliminary official statement of the University relating to the Bonds.

“Principal” or **“principal”** means the principal amount of each Bond payable at maturity.

“Principal Amount” means the outstanding principal of a Bond.

“Twenty-second Supplemental Indenture” means the Twenty-second Supplemental Indenture, dated January 1, 2017 supplementing the Master Indenture.

“Twenty-third Supplemental Indenture” means this Twenty-third Supplemental Indenture dated as of _____ as may be amended from time to time, authorizing the Bonds.

“Underwriters” means the initial purchasers of the Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

103. **Authority for the Twenty-third Supplemental Indenture.** This Twenty-third Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Indenture.

ARTICLE II

Authorization, Terms and Issuance of Bonds

201. **Authorization of Fiscal Year 2017-2018 Bonds, Maximum Amount, Delegation, Designation and Pledge.** Bonds for Fiscal Year ending June 30, 2018 entitled to the benefit, protection and security of the Act and Indenture, and constituting Bonds to be secured by the State Debt Service Commitment are hereby authorized to be issued under the Indenture and pursuant to the Act in a maximum amount not to exceed \$147,750,000 for the UConn Projects as set forth in Appendix A (attached hereto and hereby made a part hereof) and constituting UConn 2000 Projects (provided nothing herein shall preclude the amendment of Appendix A pursuant to the Act and in accordance with the Indenture and as provided by Appendix A), plus the amount of the Costs of Issuance to be funded from the proceeds of such Bonds. The amount of bonds which may be secured by the State debt service commitment and be issued for fiscal year ending 2018 but not authorized herein, if any, are hereby carried forward to be authorized at a future time.

All of the principal amount of bonds authorized but unissued under the Twenty-second Supplemental Indenture approved by Governor Malloy on July 5, 2016, as amended and as set forth in the Certificate of Determination executed in connection with the bonds issued pursuant to the Twenty-second Supplemental Indenture is carried forward to Fiscal Year 2017-2018 in accordance with the Act.

The exact amount of the Bonds to be issued under this Twenty-third Supplemental Indenture is hereby delegated to and is to be determined by a certificate of, the Authorized Officer for Sale Purposes in accordance with Section 7(a)(2) of the Act respecting the anticipated cash expenditure requirements for authorized UConn 2000 Projects within the year following issuance plus not more than twenty (20%) percent in excess thereof, provided that such amount shall not exceed \$147,750,000 (plus Costs of Issuance and any carry forward amounts). The amount of the balance of Bonds herein authorized for Fiscal Year ending 2018 and not funded by the Bonds shall be issued subsequently pursuant to an additional Certificate of Authorized Officer for Sale Purposes as an additional series of Bonds hereunder or pursuant to a Supplemental Indenture or Supplemental Indentures depending on the remaining cash expenditure requirements respecting each UConn 2000 Project theretofore authorized by a Supplemental Indenture.

The Bonds shall be designated as and shall be distinguished from other Bonds by the additional title “20__ Series A” or such other designation or designations of “Series __” inserting the applicable number and letter, respectively, reflecting the year and series issued, as provided in the Certificate of Determination, pursuant to and subject to the terms, conditions and limitations established in the Indenture, this Twenty-third Supplemental Indenture, an Authorized Officer’s Certificate and the Treasurer’s Certificate of Determination. In accordance with the Act, the amount of the State Debt Service Commitment in each fiscal year is hereby pledged for the punctual payment of the Special Debt Service Requirements on the Bonds as the same arise and shall become due and payable.

202. **Purposes.** The Bonds will be issued and used to provide funds for deposit in the following accounts of the Bond Proceeds Fund: (i) Construction Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Trustee, for construction and equipping of certain facilities (or reimbursement to the University for funds expended therefor) that are included and that have been authorized as a UCONN 2000 Project by the Board of Trustees and (ii) Cost of Issuance Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Resolution, shall be held and maintained by the Treasurer, to pay or provide for the Bonds costs of issuance. The Treasurer and University shall cause the proceeds from the sale of the Bonds to be so deposited in the Bond Proceeds Fund. Monies in the Construction Account respecting the proceeds of the Bonds heretofore issued may be disbursed from time to time pursuant to Section 603 of the Master Indenture, particularly paragraph (5) thereof, for any such UConn 2000 Project but not in excess of the aggregate amount authorized for such UConn 2000 Project by the Board of Trustees.

203. **Interest Payments.** The Bonds shall bear interest from their respective dates, payable on the date or dates, and at the rates as shall be determined by the Treasurer in the Certificate of Determination. Except as otherwise may be provided in such Certificates, interest shall be computed on the basis of a 360-day year consisting of 12 months of 30 days each.

204. **Form, Denomination, Numbers and Letters.** The Bonds shall be in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York (“DTC”), which will act as securities depository for the Bonds. The Bonds shall be in denominations to be determined by the Treasurer in the Certificate of Determination. The Bonds shall be lettered “AR-___” or such other letters provided in the Certificate of Determination. Each such letter shall be followed by the number of the Bonds. The Bonds shall be numbered consecutively from one upward in order of issuance.

205. **Places of Payment and Paying Agent.** So long as all of the Bonds are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as securities depository, Principal, Sinking Fund Installments, if any, Redemption Price of and interest on the Bonds shall be payable from the Trustee to DTC or its successor as securities depository for the Bonds, as determined by the Treasurer in the Certificate of Determination. If any of the Bonds shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on the Bonds shall be payable by check mailed to the registered owners of the Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of the Bonds shall be payable at the principal corporate trust office of the Paying Agent for the Bonds.

206. **Sale.** Pursuant to Sections 7(e) and (f) of the Act, the Treasurer is authorized by the Act to sell the Bonds by negotiation or public competitive sale, in such manner, at such price or prices, at such time or times, in one or more series, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State and University. The terms and particulars of each such sale, the receipt of each proposal and each award of the Bonds and all other action appropriate or necessary in connection therewith shall be set by the Treasurer, including the selection of the Trustee pursuant to Article VIII of the Indenture, in conjunction with the Authorized Officer for Sale Purposes to whom such matters are hereby delegated and shall be recited in the Treasurer's Certificate of Determination, and confirmed by the Authorized Officer for Sale Purposes.

207. **Execution.** The Bonds shall be signed in the name of the University by the manual or facsimile signature of its President and the seal of the University (or a facsimile thereof) shall be affixed, imprinted, engraved or otherwise reproduced thereon and attested by an Authorized Officer. The Bonds shall be authenticated manually by the Trustee in accordance with the provisions of the Indenture.

208. **Delivery and Application of Bond Proceeds.** After their execution as provided herein and in the Indenture, the Bonds shall be delivered to the Trustee for authentication as provided in the Indenture and shall thereupon be delivered to the Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Indenture. The proceeds of the ___Bonds shall be deposited in the Bond Proceeds Fund in the amounts and for the Construction Account and Costs of Issuance Account, as more particularly set forth in the Certificate of Determination of the Treasurer.

209. **Defeasance.** Pursuant to Section 1001(1)(b) of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Section 1402, of the Master Indenture at the end of the second sentence, item (c) is hereby amended in its entirety as follows.

(c) in the event said Bonds are not by their terms subject to redemption within the next succeeding 60 days, the University shall have given the Trustee in form satisfactory to it irrevocable instructions to mail at least once, or to publish at least twice at an interval of not less than seven days between publications in an Authorized Newspaper, as soon as practicable, a notice to the Holders of such Bonds that the deposit required by (b) above has been made with the Trustee and that said Bonds are deemed to have been paid in accordance with this Section and stating such maturity or redemption date upon which moneys are

to be available for the payment of the principal or Redemption Price, if applicable, on said Bonds.

210. **UConn Infrastructure Improvement Program.** Pursuant to Sections 1001(1)(b) and 1001(6) of the Master Indenture, for purposes of the Bonds and any bonds issued under the Master Indenture after the date hereof, Section 909(C) is deleted in its entirety.

211. **Continuing Disclosure Undertaking.** Pursuant to Sections 1001(1) and 1512 of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Article XV is hereby deleted in its entirety.

ARTICLE III

Form of the Bonds

301. **Form of the Bonds.** The Bonds shall be substantially in the form set forth in the Indenture with such additions or deletions anticipated by this Twenty-third Supplemental Indenture as are set forth in the Certificate of Determination.

ARTICLE IV

Tax Covenant

401. **Tax Exemption.** In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to the Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause the Bonds to be “arbitrage bonds” within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or on behalf of the University or in any manner with respect to the Bonds regarding all acts necessary to satisfy and fulfill such covenants.

ARTICLE V

Miscellaneous

501. **No Recourse.** No recourse shall be had for the payment of the principal of or interest on the Bonds or for any claim based thereon or on this Twenty-third Supplemental Indenture against any member of the Board of Trustees, nor the State Bond Commission or any officer of the University or the State or any person executing the Bonds and neither the members of the Board of Trustees or the State Bond Commission nor officers of the University or the State nor any person executing the Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of the Bonds shall be liable personally thereon or be subject to any personal liability or accountability by reason of the

issuance or execution thereof. Pursuant to Section 19 of the Act, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UCONN 2000 Infrastructure Improvement Program.

502. Delegation of Authority to Treasurer and to Authorized Officer for Sale Purposes or the Executive Vice President for Administration and Chief Financial Officer.

(A) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Indenture, the authority to determine with respect to the Bonds the date or dates and maturities (provided, however, that the Bonds issued to finance equipment and collections shall mature not later than five (5) years from their dated date and the Bonds issued to finance any other purpose shall mature not later than thirty (30) years from their dated date); provisions for either serial or term bonds, sinking fund requirements, if any; due dates of interest; denominations; the terms, if any, of optional or extraordinary redemption, with or without premium; time or times of sale (subject to the cash flow requirements of the University to cover the cost of the UCONN 2000 Infrastructure Improvement Program) and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Bonds and of the issuance and sale thereof as the Treasurer may determine to be in the best interests of the State and University. The Treasurer shall file a Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of the Bonds setting forth the details and particulars of the Bonds determined by her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of the Bonds.

(B) The Treasurer is also delegated, pursuant to the Act and, in accordance with Section 4(a)(5) of the Act pursuant to certain provisions of Section 3-20 of the General Statutes of the State of Connecticut, as amended, the authority to enter into agreements in consultation with the University (through an Authorized Officer) with respect to the issuance and sale of the Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory agreements, and agreements with respect to security for the Bonds.

(C) The Authorized Officer for Sale Purposes or the Executive Vice President for Administration and Chief Financial Officer is hereby delegated and the Treasurer is further delegated, pursuant to the Act, the authority to approve the final terms of and publication and distribution of the Official Statement in connection with the offering and sale of the Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c-2-12 of the Securities and Exchange Commission ("Rule 15c-2-12"), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c-2-12. The mailing, publication and distribution of the Preliminary Official Statement is hereby approved. The Treasurer, in conjunction with the Authorized Officer for Sale Purposes or the Executive Vice President for Administration and Chief Financial Officer, is further authorized and directed to sign any amendment or supplement or certificate with respect to the Official Statement or the Preliminary Official Statement that may, in the Treasurer's judgment, be necessary or appropriate on or before the date of delivery of the Bonds.

(D) Subsequent to adoption of the resolution of the Board of Trustees authorizing the Twenty-third Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby

authorized to make such changes, insertions, deletions or provisions to the Twenty-third Supplemental Indenture, not materially inconsistent with the intent of the provisions of the Twenty-third Supplemental Indenture as so adopted as may be necessary or appropriate to respond to the requirements of the Governor, the Treasurer, the Underwriters of the Bonds, the Bond Insurer, if any, or the rating agencies with respect to the Twenty-third Supplemental Indenture as evidenced by approval of the Certificate of Determination and may rely on a Counsel's Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the Bonds within the scope of such Authorized Officer's duties at the University and under the Act.

503. **Declaration of Official Intent.** The University reasonably expects to incur expenditures (the "Expenditures") in connection with the Bond projects of which a general functional description is contained in Appendix A attached hereto (collectively, the "Project"). The University reasonably expects to reimburse itself for the cost of Expenditures with respect to the Project with the proceeds of Bonds, tax-exempt obligations to be issued by the University, not later than eighteen (18) months after the later of the date the original Expenditure is paid or the date the Project is placed in service or abandoned, but in no event more than three (3) years after the original Expenditure is paid. The maximum principal amount of such debt with respect to the Project is not expected to exceed \$295,500,000. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

ARTICLE VI

Amendments to the Master Indenture

601. **Definitions.** Pursuant to Section 1001(7) of the Master Indenture, the following definition contained in Section 101 of the Master Indenture is hereby amended in its entirety as follows:

"Authorized Officer" means, in the case of the University, the Chairman or Vice-Chairman of the Board of Trustees, the finance committee of the Board of Trustees (acting by resolution and constituting the finance committee of the Board of Trustees within the meaning of the Act), the President, the Provost and Executive Vice President for Academic Affairs, the Executive Vice-President For Administration and Chief Financial Officer, or the Manager of Treasury Services (for the purpose of making disbursements and investments only), the Controller (for the purpose of making disbursements only), or any other person duly authorized by the bylaws or resolution of the University to perform the act or sign the document in question.

602. **Establishment of Funds and Accounts Therein.** Pursuant to Section 1001(9) of the Master Indenture, Section 602 of the Master Indenture is hereby amended in its entirety as follows:

The University hereby establishes and creates the following funds and accounts to be held by the Treasurer or the Trustee:

- (1) Bond Proceeds Fund
 - (a) Construction Account - Trustee
 - (b) Costs of Issuance Accounts - Treasurer

- (2) Debt Service Fund - Trustee
 - (a) Interest Account
 - (b) Principal Installment Account
- (3) Renewal and Replacement Fund - Trustee
- (4) Redemption Fund - Trustee

The University reserves the right and power, subject to this Indenture, to establish additional funds, accounts and sub-accounts hereunder. All funds, accounts and sub-accounts created under this Indenture, in addition to other funds, accounts or sub-accounts from time to time established hereunder, shall be held and maintained by the Treasurer, the Trustee or the University in accordance with the terms of this Indenture.

603. Renewal and Replacement Fund. Pursuant to Section 1001(9) of the Master Indenture, Section 606(C) of the Master Indenture is hereby amended in its entirety as follows:

C. The University is further authorized and directed to order each disbursement from the Renewal and Replacement Fund upon a certification filed with the Trustee, signed by an Authorized Officer of the University. Such certification shall (i) state the requisition number, (ii) specify the project or other facilities financed with such disbursement and the nature of each item or category of cost and certify the same to be correct and proper under this Section and that such item or category of cost has been properly paid or incurred as a cost of the project or other facilities, (iii) if the money in the Renewal and Replacement Fund is proceeds of a tax exempt obligation, then, pursuant to Section 912 hereof, such disbursement is consistent with the covenant of the University respecting tax exempt obligations and any Tax Regulatory Agreement with respect thereto, (iv) certify that none of the items or categories for which the certification is made has formed the basis for any disbursement theretofore made from the Bond Proceeds Fund (v) certify that the payee and amount stated with respect to each item in the certification are correct and that such item is due and owing, and (vi) specify the name and address of the person to whom payment is due or has been made.

IN WITNESS WHEREOF, the University of Connecticut has caused this Twenty-third Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Twenty-third Supplemental Indenture to be signed and sealed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: _____

Susan Herbst

Its President

(SEAL)

ATTEST:

By: _____

Scott A. Jordan

Its Executive Vice President for Administration
and Chief Financial Officer

U.S. BANK NATIONAL ASSOCIATION,
as Trustee

Date: _____

By: _____

Name:

Title:

APPENDIX A¹

**TWENTY-THIRD SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2017-2018
UCONN 2000 BOND AUTHORIZATIONS
____SERIES ____ BOND PROJECTS**

[TO BE REVISED]

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds**	Fiscal Year 2017-2018 Bond Authorization ⁽²⁾	UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***
--<u>Storrs and Regional Campuses</u>			
Academic and Research Facilities	\$587,495,298.00	\$49,170,724.00	\$22,504,392.00
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum	850,132,090.66	30,000,000.00	324,813,773.66
Engineering Building (with Environmental Research Institute)	94,412,822.00	7,999,275.00	86,413,547.00
Hartford Relocation Acquisition/Renovation	140,000,000.14	18,290,001.00	121,709,999.14
Subtotal – Storrs and Regional Campuses		<u>\$105,460,000.00</u>	
--<u>Health Center</u>			
Equipment, Library Collections and Telecommunications - Health Center	\$68,429,390.00	\$35,000,000.00	\$66,954,390.00
Medical School Academic Building Renovation	39,792,488.00	745,000.00	39,047,488.00
The University of Connecticut Health Center New Construction and Renovation	388,257,001.00	6,545,000.00	381,712,001.00
Subtotal – Health Center		<u>\$42,290,000.00</u>	
Total Fiscal Year 2017-2018 Bond Authorization		<u>\$147,750,000.00</u>	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Twenty-third Supplemental Indenture on August 2, 2017.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

ATTACHMENT 11

August 2, 2017

TO: Members of the Board of Trustees

FR: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Richard F. Orr
Vice President and General Counsel 

RE: Disposition of the Torrington Campus

RECOMMENDATION

On April 27, 2016, the Board of Trustees by resolution closed UConn's campus in Torrington after a careful assessment of the campus's inability to attract a sufficient number of students over a number of years. Because UConn will no longer need the land and buildings that comprise the Torrington Campus, the Administration seeks authorization to dispose of the campus land and buildings.

As set forth more fully below, the Administration recommends that the Board of Trustees authorize the Administration to negotiate and execute two agreements:

1. Lease the M. Adela Eads Classroom Building (the "Classroom Building") and the Maintenance Garage to EdAdvance (one of Connecticut's six Regional Educational Service Centers), which services 24 school districts in western and northwestern Connecticut; and, following court approval,
2. Transfer the campus land and buildings to the City of Torrington ("City") and contemporaneously assign the EdAdvance lease to the City.

The substance of these recommendations is set forth more fully below and in the Board Resolution at the end of this Memorandum.

BACKGROUND

The campus, presently unused except for the ongoing activities of the Litchfield County Extension Center, is comprised of approximately 95 acres of land on two parcels located on the east and west sides of University Drive in Torrington. The land contains three structures: 1 – the Classroom Building; 2 -- a Maintenance Garage; and 3 -- the Litchfield County Extension Center

and its related storage shed (the “Extension Center Building”) (collectively, the land and buildings are referred to as the “Premises”).

Since April 27, 2016, UConn has received a few inquiries about the availability of the Premises, primarily from educational organizations. Among those making inquiries were the Connecticut Technical High School System (CTHSS). In December 2016, Administration members held a meeting with the Mayor of Torrington and a CTHSS representative, at which time CTHSS explained that it could not go forward in a timely manner.

EdAdvance initially expressed interest in and toured the Premises in early April 2017. In late June 2017, EdAdvance submitted to UConn a proposal to lease the Classroom Building for a limited time, starting as soon as possible, to conduct the following educational activities: a Head Start daily preschool program; a day-treatment program for middle and high-school students with social-emotional needs from area school districts; and day/evening adult education courses for high school diploma, GED and enrichment programs.

As it had with previous inquiries, UConn communicated with the Mayor about EdAdvance’s interest in the Classroom Building. Several discussions followed, which resulted in a proposed two-step transaction. First, UConn will lease the Classroom Building and surrounding ground to EdAdvance. Second, UConn will transfer ownership of the entire campus (including the Classroom Building) to the City subject to the lease. Because the Classroom Building was paid for by a gift, transfer of title to that building requires court approval. Such approval cannot be obtained before the start of the school year. The two-step transaction allows EdAdvance to take occupancy this fall while the court approval process proceeds.

The lease to EdAdvance and the sale to the City will each preserve UConn’s right to operate the Extension Center until 2026 as UConn’s required to do by the terms of a gift related to that building.

Other than EdAdvance, there are no other prospective users at this time.

Lease to EdAdvance

The proposed lease between UConn and EdAdvance is expected to contain the following material terms. Although it is possible that some of these terms may vary in the final lease, the Administration seeks authority to enter into a substantially similar lease without further Board action.

Rent

Sixty Thousand Dollars (\$60,000) annually.

Term

Initial three (3)-year term with an option for two (2) three (3)-year extensions.

Lease Premises

The Classroom Building, the Maintenance Garage and a specifically identified land area, all of which will be represented on a map (the "Lease Premises"). The Lease Premises shall include all land area and site improvements surrounding the Extension Center Building, the Classroom Building and the Maintenance Garage, but shall not include the unimproved land area which is a part of the Premises. The Extension Center Building itself shall not be included in Lease Premises.

Access to and Operation of Extension Center Building

EdAdvance shall ensure any and all reasonable ingress and egress across the Lease Premises to the Extension Center Building by UConn, as well as by that building's occupants and invitees. UConn shall have the right to continue to operate its existing and reasonably foreseeable Extension programs.

Maintenance

EdAdvance shall be responsible for the cleaning, care and routine maintenance of the Lease Premises, which shall include the existing parking lot and access driveways.

UConn will remain responsible for maintenance, repairs and utilities of the Extension Center Building. UConn will be able to utilize a portion of the Maintenance Garage to support UConn's repair and maintenance of the Extension Center Building.

Utilities

EdAdvance shall be responsible for all utilities costs and systems for the Lease Premises. This includes routine maintenance and repair of all utility systems.

The proposed lease with EdAdvance will relieve UConn of a substantial operation and maintenance expense and generate \$60,000 per year in income. The Administration plans to go forward with the lease whether or not the transfer to the City is consummated.

The Administration requests that the Board authorize the Administration to negotiate and execute lease with EdAdvance in the best interest of UConn, with no further action or approval by the Board.

Transfer to the City

As of the writing of this memorandum, negotiations with the City and EdAdvance have progressed substantially, and are memorialized in a draft term sheet. On July 17, the Torrington City Council voted to authorize the Mayor to negotiate and execute a non binding term sheet containing the material terms. The Administration anticipates that a non binding Term Sheet will be executed before the August 2 Board meeting or shortly thereafter.

It is expected that the Purchase and Sale Agreement (the “Agreement”) will contain the following terms. Although it is possible that some of the terms may vary in the final Agreement, the Administration seeks authority to enter into an Agreement without further Board action.

Purchase Price

One Dollar (\$1.00).

Premises

All of the land and buildings of the former Torrington Campus.

Role of Court

Due to the charitable restriction on the Classroom Building, transfer of title cannot occur until approval by the Superior Court for the Judicial District of Litchfield or other court of competent jurisdiction (the “Court”).

Lease of the Extension Center Building

Concurrently with the transfer of title, the City and UConn will enter into a lease (the “Extension Center Lease”) at no cost to UConn to allow for UConn (as tenant) to operate the Extension Center Building until at least 2026 to satisfy the charitable restriction on that building. The Extension Center Lease will provide UConn as a tenant of the City the same rights and obligations it will have as the landlord during the EdAdvance lease described above.

Assignment of EdAdvance Lease

In order to accommodate EdAdvance’s plans for the Classroom Building beginning in the fall of 2017, UConn will enter into a lease (described above) prior to the sale to the City, and will assign that lease to the City when the City takes title to the campus.

Property Condition and Due Diligence Period

City shall acquire the Premises “As is.” The City will have ninety (90) days from execution of the Agreement to complete its physical due diligence examination.

The proposed transfer to the City of Torrington of the former Torrington campus will relieve UConn of a substantial operation and maintenance expense and provide substantial benefits to the City.

The Administration requests that the Board authorize the Administration to negotiate and execute the Agreement with the City in the best interest of UConn with no further action or approval by the Board.

RESOLUTION

For the reasons set forth above, the Administration recommends that the Board of Trustees adopt the following resolution:

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF CONNECTICUT:

Section 1. The Board of Trustees authorizes the President or the President's designee (the "Administration") to negotiate and execute a lease with EdAdvance on the material terms set forth in this Memorandum, or on such other terms as are in the best interest of UConn.

Section 2. The Board of Trustees authorizes the Administration to negotiate and execute a Purchase & Sale Agreement with the City of Torrington (the "City") on the material terms set forth in this Memorandum, or on such other terms as are in the best interest of UConn.


-END-


ATTACHMENT 12



August 2, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum 
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for CUP Equipment Replacement and Pumping Project
(Final: \$23,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$23,000,000 for the CUP Equipment Replacement and Pumping Project for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$9,500,000 in UCONN 2000 bond funds and \$13,500,000 in University Funds for CUP Equipment Replacement and Pumping Project.”

BACKGROUND:

The University produces and distributes chilled water for cooling from the Central Utility Plant (CUP) and the Cogen plant, consisting of two gas fired chillers, two electric centrifugal chillers, and four evaporative cooling towers located in the CUP, and four steam turbine chillers and nine evaporative cooling towers located in the Cogen facility.

A study was commissioned to review reliability, production, and distribution of chilled water to ensure that existing and future needs could be met. The study revealed that the full capacity of the plant could not be effectively distributed to campus with the current piping configuration. Additionally, the study showed that immediate replacement of two of the four CUP chillers and the CUP cooling towers was also necessary as they have reached the end of their useful life.

This project is for the design and replacement of the two chillers, four cooling towers, and the piping modifications necessary to improve distribution.

The CUP Equipment Replacement and Pumping Project is currently in the Design Phase. Design began in February 2017 and construction documents will be completed in July 2017. The project will bid in Fall 2017 and Construction is anticipated to begin in January 2018 and to be complete in May 2019.

The Final Budget is based on a professional cost estimator who prepared an estimate of probable cost based on the Design Development documents.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: CUP EQUIPMENT REPLACEMENT AND PUMPING IMPROVEMENTS

	APPROVED PLANNING 6/27/2016	APPROVED DESIGN 10/26/2016	PROPOSED FINAL 8/2/2017
<u>BUDGETED EXPENDITURES</u>			
	PRC		
CONSTRUCTION	\$ -	\$ -	\$ 17,000,000
DESIGN SERVICES	48,000	921,000	1,400,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	68,000	400,000
OTHER AE SERVICES (including Project Management)	5,000	84,000	875,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	92,000	25,000
INSURANCE AND LEGAL	-	1,000	-
MISCELLANEOUS	-	4,000	100,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 53,000	\$ 1,170,000	\$ 19,800,000
PROJECT CONTINGENCY	7,000	130,000	3,200,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 60,000</u>	<u>\$ 1,300,000</u>	<u>\$ 23,000,000</u>
<u>SOURCE(S) OF FUNDING</u>			
UCONN 2000 BOND FUNDS	\$ 60,000	\$ 1,300,000	\$ 9,500,000
UNIVERSITY FUNDS*	-	-	13,500,000
TOTAL BUDGETED FUNDING	<u>\$ 60,000</u>	<u>\$ 1,300,000</u>	<u>\$ 23,000,000</u>

*At this time, the University plans to incur expenditures for this project against University Funds. The University may reimburse itself at a later date when UCONN 2000 bonds are authorized and issued.

CUP EQUIPMENT REPLACEMENT AND PUMPING PROJECT

Project Budget (FINAL)

August 2, 2017



Central Utility Plant and project cooling towers at right




Existing Chiller 3 to be replaced at left

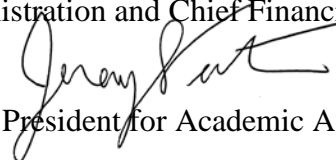
ATTACHMENT 13



August 2, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitelbaum 
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Fine Arts Phase II: Music & Dramatic Arts Library
Renovations Phases I & II (Final: \$2,100,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget for Fine Arts Phase II: Music & Dramatic Arts Library Renovations Phases I & II for \$2,100,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$2,100,000 in UCONN 2000 bond funds for Fine Arts Phase II: Music & Dramatic Arts Library Renovations Phases I & II.”

BACKGROUND:

The Music Library, constructed in the early 1990's, houses most of the University Libraries materials relating to the subject of music. Reading and study areas, stacks, archives, listening rooms and classrooms are provided on three levels of a circular “drum” structure.

As library needs have changed and collections have consolidated, the University has reprogrammed the Music Library to accommodate new and expanded functions. Proposed space assignments are ground floor Music Resource Center; first floor quiet study lounge and second floor administrative offices.

Phase I reconstructs the second floor of the drum to provide departmental administrative offices. Access to the Library roof will be provided in this phase of work.

Phase II consolidates library operations and provides badly-needed study space for the School. All library functions, including entrance and security systems, will be relocated to the ground floor. The first floor, which currently serves as the Library entrance, will be renovated to provide quiet study space. The glazing between the Library and the Main Lobby will be modified to provide more transparency. Finishes in all project areas will be upgraded.

The Fine Arts Phase II: Music & Dramatic Arts Library Renovations Phases I & II is currently in the Construction Phase. Planning began in Summer 2015 and design services procured in Fall 2015. Construction is anticipated to begin in September 2017 and to be complete in March 2018.

As an interior reconstruction project, the Music and Dramatic Arts Library project is not subject to Connecticut High Performance Building Code requirements.

The Final Budget is based on a professional cost estimator who prepared an estimate of probable cost based on the Design Development documents.

The total project budget will be \$2,100,000. There is no change in budget from the previous submitted Board approved resolution.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: FINE ARTS PHASE II - MUSIC & DRAMATIC ARTS LIBRARY
RENOVATIONS PHASES I & II**

<u>BUDGETED EXPENDITURES</u>	APPROVED PLANNING 9/30/2015	APPROVED DESIGN 6/29/2016	PROPOSED FINAL 8/2/2017
	PRC		
CONSTRUCTION	\$ -	\$ 1,350,000	\$ 1,400,000
DESIGN SERVICES	112,000	170,000	155,000
TELECOMMUNICATIONS	-	40,000	5,000
FURNITURE, FIXTURES AND EQUIPMENT	-	160,000	161,500
CONSTRUCTION ADMINISTRATION	-	75,000	75,000
OTHER AE SERVICES (including Project Management)	5,000	73,000	71,500
ART	-	-	-
RELOCATION	-	10,000	10,000
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	8,000	8,000
MISCELLANEOUS	-	4,000	4,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 117,000	\$ 1,890,000	\$ 1,890,000
PROJECT CONTINGENCY	13,000	210,000	210,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 130,000</u>	<u>\$ 2,100,000</u>	<u>\$ 2,100,000</u>
<u>SOURCE(S) OF FUNDING</u>			
UCONN 2000 BOND FUNDS	\$ 130,000	\$ 2,100,000	\$ 2,100,000
TOTAL BUDGETED FUNDING	<u>\$ 130,000</u>	<u>\$ 2,100,000</u>	<u>\$ 2,100,000</u>

BOT 8.2.17

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ATTACHMENT 14



August 2, 2017

TO: Members of the Board of Trustees

FROM: Scott A. Jordan *SAJ*
Executive Vice President for Administration and Chief Financial Officer

Jeremy Teitlebaum *JT*
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Kellogg Dairy Center Robotic Milkers Addition
(Final: \$1,800,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$1,800,000 for the Kellogg Dairy Center Robotic Milkers Addition for Design and Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$1,800,000 in University Funds for Kellogg Dairy Center Robotic Milkers Addition.”

BACKGROUND:

This project includes the design of an approximate 2,500 – 3,000 GSF addition to the Kellogg Dairy Center for the installation of two robotic milking machines and associated fetch pens, public viewing room and milk cooling/storage rooms. The milking equipment will be purchased by the University and installed by the equipment vendor.

The installation of the equipment will allow the Kellogg Dairy Center to save on operational costs long term by saving on staff overhead as current employees plan to retire in the short term. The robotic milkers will be operational 24/7, require minimal supervision and provide a new cost-effective feeding system for the Kellogg Dairy Center going forward.

The construction documentation will include a barn addition with site/civil modifications including retaining walls, storm water retention area, access drive and associated fencing and guardrails.

The Kellogg Dairy Center Robotic Milkers Addition is currently in the Construction Phase. Design began in Summer of 2016 and construction documents to be bid in Winter 2017. Construction is anticipated to begin in Fall 2017 and to be complete in Spring 2018.

The Final Budget is based on bids received from pre-qualified general contractors.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

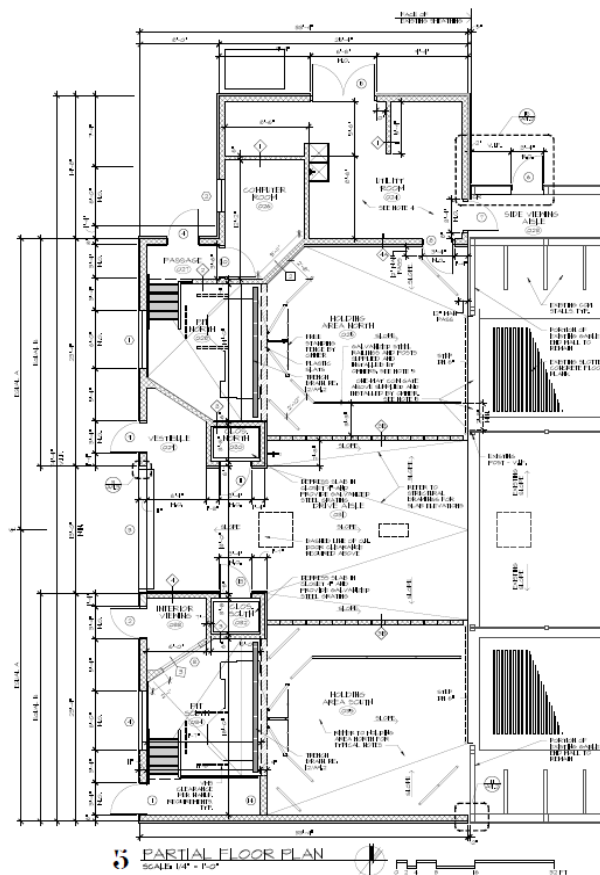
PROJECT NAME: KELLOGG DAIRY CENTER ROBOTIC MILKERS ADDITION

	APPROVED PLANNING 3/7/2016	APPROVED DESIGN 8/29/2016	PROPOSED FINAL 8/2/2017
<u>BUDGETED EXPENDITURES</u>	PRC	PRC	
CONSTRUCTION	\$ -	\$ -	\$ 1,119,000
DESIGN SERVICES	40,000	90,000	120,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	410,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	3,000	5,000	53,400
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	3,000	5,500
MISCELLANEOUS	-	2,000	2,100
OTHER SOFT COSTS	2,000	-	-
SUBTOTAL	\$ 45,000	\$ 100,000	\$ 1,710,000
PROJECT CONTINGENCY	5,000	10,000	90,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 50,000</u>	<u>\$ 110,000</u>	<u>\$ 1,800,000</u>
<u>SOURCE(S) OF FUNDING</u>			
UNIVERSITY FUNDS	<u>\$ 50,000</u>	<u>\$ 110,000</u>	<u>\$ 1,800,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 50,000</u>	<u>\$ 110,000</u>	<u>\$ 1,800,000</u>

KELLOGG DIARY CENTER ROBOTIC MILKERS ADDITION

Project Budget (FINAL)

August 2, 2017



ATTACHMENT 15



TO: Members of the Board of Trustees

FROM: Josh Newton, President & CEO, UConn Foundation

DATE: August 2, 2017

RE: UConn Foundation Fundraising Report

We are pleased to share the fundraising results from another successful fiscal year for the UConn Foundation. While the independent audit of our FY17 numbers will not be completed until early October, we are confident reporting that the Foundation has raised \$72 million – which means over the last three fiscal years donors to the University have made commitments and gifts totaling \$227 million, by far the best three-year period in UConn’s history.

The \$71.8 million in new contributions and pledged commitments comes from 22,243 gifts – most of which were less than \$1,000. In fact, the Foundation reached the \$70 million threshold without a gift of more than \$4 million, evidence of its emerging strength in its mid-level giving tiers; we enjoyed an increase of 20% in the number of gifts between \$25,000 and \$500,000.

One of the highlights of the last fiscal year is the continued support for the *Transform Lives* scholarship initiative. The Foundation publicly launched the effort to raise \$150 million for scholarships and student support in January of 2015. We’re pleased to report that the Foundation raised \$22 million for this initiative in FY17 and \$77.1 million overall. Surpassing the halfway mark of the \$150 million goal is a major source of pride for our donors and staff.

Of the total funds raised last fiscal year, \$5.7 million was in support of capital improvements at UConn, \$22 million for scholarship and student support, \$25.7 million for program support, \$7.4 million for faculty support, and \$11 million for research. All told, \$42 million was designated for current operations and \$29.8 million earmarked for the endowment – which now stands at over \$400 million, with an increase of more than 10% since the end of the last fiscal year.

Within these totals are some exciting gifts and commitments worth highlighting. They include:

- \$4 million in support of Environmental Research, the Music Department and Biomedical Engineering
- \$3 million for Athletics, supporting the Werth Family UConn Basketball Champions Center
- \$3 million for the College of Liberal Arts and Sciences (CLAS) in support of an endowed professorship in Psychology
- \$2 million for the School of Social Work supporting scholarships
- \$2 million for the School of Fine Arts in support of Illustration and Graphic Design

\$1.9 million for the Humanities Institute within the CLAS

- \$1.5 million for the School of Business
- \$1 million for the School of Business supporting scholarships
- \$1 million for Athletics in support of baseball
- \$1 million for the Hartford Regional Campus supporting scholarships

The Foundation has taken bold steps in the last year to strengthen giving on all levels. We created a first-ever Office of Principal Giving, focused on securing transformative gifts, and we are confident it will pay dividends in FY18 and beyond. Increasing overall donor count – through new methods of engagement with smaller level donors– is also a Foundation priority. The traditional models of fundraising, which focus on phone calling and mail solicitations, are effective for many donors but others respond to a more digital and customized approach. The Foundation is excited to chart a new course and become an industry leader in employing these new strategies.

Thanks to these changes, and the continued support from our donors, the University and the Board of Trustees, the Foundation is well-positioned for a very successful FY18. The fundraising goal for FY18 is \$85 million – which would be the largest total for any fiscal year in the UConn Foundation's history.

We look forward to your continued partnership and support in the year ahead as we work to reach this milestone, and strengthen UConn Nation.

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
New Hires Processed from June 8, 2017 to July 13, 2017
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
Alkadry, Mohamad	Department Head & Professor	Public Policy	7/1/2017
Benjamino, Jacquelynn M	U Post Doc Fel 1	Molecular and Cell Biology	6/9/2017
Chaput, Jennifer L	UCP 05-University Librarian 1	University Libraries	6/23/2017
Das, Deya	U Post Doc Fel 1	Materials Science Institute	6/9/2017
Deronde, Kailynn	U Post Doc Fel 1	Pharmacy Practice	7/1/2017
Grond, Kirsten	U Post Doc Fel 1	Molecular and Cell Biology	6/9/2017
Hancock, Roeland	Asst Research Professor	Psychological Sciences	6/23/2017
Hastings, Ryan M	U Post Doc Fel 1	Marine Sciences	6/23/2017
Hennessy, Emily A	U Post Doc Fel 1	Psychological Sciences	6/23/2017
House, Laura	UCP 09-University Accountant 3	Controller	7/7/2017
Lewis, Kacie	Asst Coach (Specialist IA)	Athletics Women Intercollegiat	6/16/2017
Loisel, Emerson P	UCP 07-Program Assoc	Community Standards	6/23/2017
Luciani, Joseph M	Academic Asst 1	Tech Park	6/23/2017
Manna, Alexandra	UCP 03-Program Asst I	Stamford Campus	6/23/2017
Mestre-Soto, Zitmarie	UCP 03-Program Asst I	Law	6/23/2017
Nelson, Heather	U Ed Asst 3	Instit Rsrch and Effectiveness	6/23/2017
Parker, Paul S	UCP 08-Program Director	Tech Comm and Industry Rltns	6/23/2017
Parrinello, Elaine	UCP 07-Dining Svcs Area Mgr	Dining Services	6/23/2017
Sears, Corrie	U Staff Professional 2	Athletics Marketing	6/19/2017
Steen, Valerie	U Post Doc Fel 1	Ecology and Evolutionary Bio	5/26/2017
Tellings, Jos L	U Post Doc Fel 1	Linguistics	7/1/2017
Thibault, Rebecca	UCP 05-Academic Advisor 1	CLAS Academic Services	6/23/2017
Walsh, Renee A	UCP 05-University Librarian 1	University Libraries	6/9/2017
Wise, Timothy R	Specialist IA	Athletics MI Swimming	6/21/2017
Wisniewski, Michael T	UCP 07-Business Consultant	CT Small Bus Development Ctr	6/12/2017
Yu, Haoran	U Post Doc Fel 1	Clean Energy Engineering Ctr	6/5/2017

University of Connecticut Department of Human Resources
Separations Processed from June 8, 2017 to July 13, 2017
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Ammu, Prhashanna	U Post Doc Fel 1	Separation	Materials Science Institute	7/7/2017
Boucher, Christopher J	UCP 07-Comp Tech Sup Cons 2	Retirement	Student Affairs Info Tech	6/1/2017
Boucher, Thomas	Extension Educator	Retirement	Dept of Extension Tolland	6/1/2017
Butler, Patrick H	UCP 07-University Librarian 2	Separation	Law Library and Technology	6/2/2017
Cabrera Pacheco, Armando J	U Post Doc Fel 1	Separation	Mathematics	7/4/2017
Cox, Sarah E	UCP 09-University Librarian 3	Retirement	Law Library and Technology	6/1/2017
Dancy, Deborah J	Professor	Retirement	Art and Art History	6/1/2017
Deberry, Christopher D	UCP 01-Program Aide	Separation	Athletics MI Football	5/27/2017
Demers, Alicia C	UCP 07-Fiscal Manager	Separation	CT Small Bus Development Ctr	5/27/2017
Eisenhandler, Susan A	Asst Professor	Retirement	Sociology	6/1/2017
Filchak, Karen K	Extension Educator	Retirement	Dept of Extension Windham	6/1/2017
Flagg, Julia A	U Post Doc Fel 1	Separation	Civil and Environ Engineering	7/1/2017
Frasca, Salvatore	Professor	Separation	Pathobiology	6/8/2017
Galloway, David A	University Director	Separation	Audit, Compliance and Ethics	6/10/2017
Garvey, Joshua B	UCP 05-Writer/Editor 3	Separation	Engineering	6/9/2017
Giulietti, Catherine A	UCP 03-Technical Records Coord	Retirement	Htfd Student and Business Svcs	6/1/2017
Griffin, Daniel	Specialist IA	Separation	Athletics MI Basketball	7/1/2017
Kalonia, Devendra S	Professor	Retirement	Pharmaceutical Science	6/1/2017
Kapsch, Albertine A	UCP 05-Dining Serv Ar Asst Mgr	Retirement	Dining Services	6/1/2017
Lane, Sandra G	UCP 08-Program Director	Retirement	Hartford Campus	6/1/2017
Lewicki, Gary	Asst Vice President	Retirement	Enrollment Planning and Mgmt	6/1/2017
Lowe, Cheryl A	UCP 03-Program Asst I	Retirement	Educational Psychology	6/1/2017
Miller, David B	Professor	Retirement	Psychological Sciences	6/1/2017
Mitton Shannon, Susie	UCP 07-Asst Dean of Students	Separation	Dean of Students	6/1/2017
Moore, Stephanie	UCP 04-SHS Clinical Lab Tech	Retirement	Student Health Services	6/1/2017
Murillo, Cassandra M	UCP 03-Program Asst I	Separation	Psychological Sciences	6/23/2017
Page, Linda M	UCP 06-SHS Nurse	Retirement	Student Health Services	6/1/2017
Payton, Alice	U Staff Prof III & Assoc Dir	Separation	Animal Care	6/3/2017
Powell, Gary N	Professor	Retirement	Management	6/1/2017

University of Connecticut Department of Human Resources
Separations Processed from June 8, 2017 to July 13, 2017
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Roach, Kafi	UCP 06-Grants & Contr Spec 1	Separation	Social Work Instruct and Rsrch	6/10/2017
Robinson, JoAnn	Professor	Retirement	Human Dev and Family Studies	6/1/2017
Russo, Kathryn L	UCP 07-Program Assoc	Separation	Community Standards	6/15/2017
Salinardi, Donna A	Lecturer	Retirement	Mathematics	6/1/2017
Sanner, Kathleen	UCP 07-SHS Nurse/Coordinator	Retirement	Student Health Services	6/1/2017
Shelton, Deborah A	Professor	Retirement	Nursing Instruct and Research	7/1/2017
Spiggle, Susan	Assoc Professor	Retirement	Marketing	6/1/2017
Tyczkowski, Peter J	UCP 08-Program Director	Retirement	Pharmacy	6/1/2017
Verducci, Frank J	Asst Coach (Specialist IA)	Separation	Athletics MI Football	6/15/2017
Weeda, Erin R	U Post Doc Fel 1	Separation	Pharmacy Practice	6/22/2017
Weidemann, Gregory	Professor	Retirement	Agriculture,Health,Natural Rcs	6/1/2017
Whitten, Taylor	UCP 04-Athletic Equipiment Mgr	Separation	Athletics Equipment Center	6/20/2017
Wyss, Robert L	Professor	Retirement	Journalism	7/1/2017
Yang, Hoonsik	Visiting Instructor	Separation	Economics	7/1/2017
Zhang, Heng	Academic Asst 3	Retirement	Materials Science Institute	6/1/2017
Zhang, Jie	Academic Asst 3	Separation	Instit Rsrch and Effectiveness	6/12/2017

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 07/06/2017
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Bailey, John E	UCP 06-Graphic Designer/III 3	University Comm.	6/16/2017	6/16/2017	Medical Leave	Paid
Bajger, Marie L	UCP 03-Program Asst I	Admissions	6/13/2017	6/13/2017	Medical Leave	Paid
Bajger, Marie L	UCP 03-Program Asst I	Admissions	6/23/2017	6/23/2017	Medical Leave	Paid
Bedard, Melissa R	UCP 03-Financial Aid Analyst	Financial Aid	6/14/2017	6/22/2017	Medical Leave	Paid
Bedard, Melissa R	UCP 03-Financial Aid Analyst	Financial Aid	6/23/2017	6/26/2017	Medical Leave	Paid
Biron, Alexa B	UCP 08-Writer/Editor 4	University Comm.	6/21/2017	6/22/2017	Medical Leave	Paid
Bryan, Marva M	UCP 06-Info Systems Analyst 1	Admissions	6/12/2017	6/15/2017	Medical Leave	Paid
Buck, Marianne J	UCP 08-Asst Registrar	Registrar	6/13/2017	6/20/2017	Medical Leave	Paid
Carter, Emily	U Staff Professional 3	CT Small Bus Devel Ctr	6/21/2017	6/22/2017	Medical Leave	Paid
Carter, Emily	U Staff Professional 3	CT Small Bus Devel Ctr	6/23/2017	6/27/2017	Medical Leave	Paid
Chartier, Carolyn	UCP 04-Admin Serv Specialist 2	Information Svcs Admin	6/16/2017	6/21/2017	Medical Leave	Paid
Choi, Yoon-Young	Academic Assistant 1	Rudd Center	4/24/2017	6/19/2017	Maternity Leave	Paid thru 6/1/17
Cisneros, Laura	Visiting Assistant Professor	Natural Resources & the Environ.	5/22/2017	6/30/2017	Maternity Leave	Paid
Corbin, Susan	UCP 03-Program Asst I	Pharmacy	7/6/2017	7/6/2017	Medical Leave	Paid
Darius, Jennifer D	UCP 05-Ticket Manager	Jorgensen Performing Arts Ctr	6/12/2017	6/13/2017	Medical Leave	Paid
Delage, Danielle T	UCP 06-Program Specialist 1	Environ Hlth and Safety	6/12/2017	6/16/2017	Maternity Leave	Paid
Desjardin, Shelly	UCP 03-Program Asst I	CT Transportation Inst	6/13/2017	6/21/2017	Medical Leave	Paid
Desjardin, Shelly	UCP 03-Program Asst I	CT Transportation Inst	6/23/2017	6/29/2017	Medical Leave	Paid
Disharoon, Cheryl L	UCP 09-OSD Cons'l & Project Mgr	Social Work	6/13/2017	6/19/2017	Medical Leave	Paid
Elsaesser, Caitlin	Assistant Professor	Social Work	4/18/2017	5/22/2017	Maternity Leave	Paid
Ephraim, Lisa Beth	UCP 05-Academic Advisor 1	Engineering Undergrad Prog	6/13/2017	6/22/2017	Medical Leave	Paid
Ephraim, Lisa Beth	UCP 05-Academic Advisor 1	Engineering Undergrad Prog	6/23/2017	6/26/2017	Medical Leave	Paid
Fidantsef, Ana Lena	UCP 06-Program Specialist 1	Research	6/20/2017	6/20/2017	Medical Leave	Paid
Fisher, Jeffrey	Distinguished Professor	Psychological Sciences	5/24/2017	6/8/2017	Medical Leave	Paid
Fisher, Jeffrey	Distinguished Professor	Psychological Sciences	6/9/2017	7/31/2017	Medical Leave - Red. Sched.	Paid
Fletcher, Lois P	UCP 08-Univ Library Asst 4	University Libraries	6/26/2017	6/27/2017	Medical Leave	Paid
Gill, Jonathan	UCP 09-Oper Sys Prog/Anal 3	IT Server Support	6/13/2017	6/13/2017	Medical Leave	Paid
Griffin, Nancy J	U Ed Asst 2	Instit Rsrch and Effectiveness	6/21/2017	6/21/2017	Medical Leave	Paid
Guarino, Catherine Farrell	UCP 06-Academic Advisor 2	Htfd Student & Business Svcs	6/12/2017	6/22/2017	Maternity Leave	Paid
Guarino, Catherine Farrell	UCP 06-Academic Advisor 2	Htfd Student & Business Svcs	6/23/2017	7/6/2017	Maternity Leave	Paid
Hannon, Fany Dejesus	UCP 09-Center Director	Puerto Rican Cultural Center	6/21/2017	6/21/2017	Medical Leave	Paid
Hastillo, Abigail W	UCP 07-Program Specialist 2	Education Abroad	6/19/2017	6/21/2017	Medical Leave	Paid
Heller, Nina R	Dean	Social Work	6/12/2017	6/22/2017	Medical Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Heller,Nina R	Dean	Social Work	6/23/2017	6/30/2017	Medical Leave	Paid
Hessing,Bruce	UCP 05-Dining Serv Ar Asst Mgr	Dining Services	6/15/2017	6/15/2017	Medical Leave	Paid
Hills,Laura B	UCP 05-Program Coordinator	Education Abroad	6/12/2017	6/22/2017	Maternity Leave	Paid
Houseman Jr,David	UCP 09-Bldg Inspec & Comp Off	Fire Marshal & Bldg Inspect	6/16/2017	6/16/2017	Medical Leave	Paid
Houseman Jr,David	UCP 09-Bldg Inspec & Comp Off	Fire Marshal & Bldg Inspect	6/26/2017	6/26/2017	Medical Leave	Paid
Jackson-Morris,Cheryl	UCP 08-Program Director	Social Work Instr and Rsrch	6/12/2017	6/13/2017	Medical Leave	Paid
Kelleher,Brian Austin	UCP 06-Computer Prog/Anlyst 1	University Comm.	6/15/2017	6/15/2017	Medical Leave	Paid
Kelly,Andrea S	UCP 05-Admin Coordinator	Sea Grant College Prog	6/13/2017	6/13/2017	Medical Leave	Paid
Kelly,Andrea S	UCP 05-Admin Coordinator	Sea Grant College Prog	6/27/2017	6/27/2017	Medical Leave	Paid
Koss,Dorothy I	UCP 04-Admin Serv Specialist 2	Controller	6/29/2017	6/29/2017	Medical Leave	Paid
Lewis,Kyle R	UCP 03-Technical Records Coord	Liberal Arts and Sciences	6/12/2017	6/19/2017	Medical Leave	Paid
Lewis,Kyle R	UCP 03-Technical Records Coord	Liberal Arts and Sciences	6/26/2017	6/29/2017	Medical Leave	Paid
Maliga,Jacqueline Marie	UCP 05-Financial Asst 2	Pharmacy	6/12/2017	6/22/2017	Maternity Leave	Paid
Maliga,Jacqueline Marie	UCP 05-Financial Asst 2	Pharmacy	6/23/2017	6/30/2017	Maternity Leave	Paid
Marquis,Joan E	UCP 09-Data Base Admin 2	IT Enterprise Admin Systems	6/12/2017	6/22/2017	Medical Leave	Paid
Mathews,Janice	UCP 09-University Librarian 3	Library Access Svcs	6/30/2017	6/30/2017	Medical Leave	Paid
McGinnis,Holly	UCP 04-Technical Asst 1	Pathobiology	6/12/2017	6/19/2017	Medical Leave	Paid
McSweeney,Joyce	U Ed Asst 2	Nursing	6/26/2017	6/26/2017	Medical Leave	Paid
Millerd,Nancy Stephens	UCP 06-Financial Aid Officer	Financial Aid	6/13/2017	6/14/2017	Medical Leave	Paid
Millerd,Nancy Stephens	UCP 06-Financial Aid Officer	Financial Aid	6/27/2017	6/28/2017	Medical Leave	Paid
Misset,Kathleen M	UCP 09-OSD Consl & Project Mgr	Social Work Instr & Rsrch	6/14/2017	6/15/2017	Medical Leave	Paid
Morneau,Carleen M	UCP 07-Fiscal Manager	Business	6/22/2017	6/22/2017	Medical Leave	Paid
Nagy,Dorine E	UCP 04-Admin Serv Specialist 2	Nursing Instr & Research	6/20/2017	6/22/2017	Medical Leave	Paid
Nagy,Dorine E	UCP 04-Admin Serv Specialist 2	Nursing Instr & Research	6/23/2017	6/23/2017	Medical Leave	Paid
Nelson,Jean C	UCP 08-Writer/Editor 4	University Libraries	6/14/2017	6/22/2017	Medical Leave	Paid
O'Keefe,Meredith F	UniversityFaculty	Law	6/23/2017	6/28/2017	Maternity Leave	Paid
Orcutt,Ashley	UCP 04-Program Asst 2	Chemistry	6/12/2017	6/22/2017	Maternity Leave	Paid
Orcutt,Ashley	UCP 04-Program Asst 2	Chemistry	6/23/2017	6/26/2017	Maternity Leave	Paid
Ortiz,Maribel	UCP 04-Admin Serv Specialist 2	Payroll	6/13/2017	6/13/2017	Medical Leave	Paid
Petsa,Megan S	UCP 04-Program Asst 2	Statistics	6/12/2017	6/22/2017	Maternity Leave	Paid
Petsa,Megan S	UCP 04-Program Asst 2	Statistics	6/23/2017	6/26/2017	Maternity Leave	Paid
Pitts,Amanda J	U Ed Asst 3	Provost Academic Affairs	6/12/2017	6/19/2017	Maternity Leave	Paid
Post,Kimberly J	UCP 06-Admin Services Asst 4	Materials Science Inst	6/19/2017	6/19/2017	Medical Leave	Paid
Post,Kimberly J	UCP 06-Admin Services Asst 4	Materials Science Inst	6/28/2017	6/28/2017	Medical Leave	Paid
Quick,Darla L	UCP 05-Admin Coordinator	Admissions	6/19/2017	6/19/2017	Medical Leave	Paid
Roberts,Jessica Lynn	UCP 06-Grants & Contr Spec 1	Sponsored Programs	6/14/2017	6/22/2017	Medical Leave	Paid
Soder,Lisa R	UCP 05-Admin Services Spec 3	Nursing	6/21/2017	6/22/2017	Medical Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Soder,Lisa R	UCP 05-Admin Services Spec 3	Nursing	6/23/2017	6/30/2017	Medical Leave	Paid
Sullivan,Mary Kate	UCP 09-PROCURE SVCS SR SPEC	Procurement Svcs	6/13/2017	6/13/2017	Medical Leave	Paid
Thibault,Donna M	UCP 06-Admin Services Asst 4	Engineering	6/15/2017	6/19/2017	Medical Leave	Paid
Trahan,Thomas	UCP 10-Facilities Prof 4	Utility Plant Operations	6/20/2017	6/20/2017	Medical Leave	Paid
Urcinas,Nancy	UCP 04-Admin Serv Specialist 2	Social Work Instr & Rsrch	6/19/2017	6/19/2017	Medical Leave	Paid
Urcinas,Nancy	UCP 04-Admin Serv Specialist 2	Social Work Instr & Rsrch	6/29/2017	6/29/2017	Medical Leave	Paid
Wang, Li	Associate Professor	Physiology and Nuerobiology	8/23/2016	8/22/2017	Educational Leave	Partial Pay 63%
Weiner Jr,Robert M	UCP 10-Comp Tech Supp Cons 4	Engineering Technical Svcs	6/12/2017	6/22/2017	Medical Leave	Paid
Weiner Jr,Robert M	UCP 10-Comp Tech Supp Cons 4	Engineering Technical Svcs	6/23/2017	7/6/2017	Medical Leave	Paid
West,Gary R	UCP 06-Business Manager 1	Education	6/13/2017	6/13/2017	Medical Leave	Paid
Wilk,Kimberly E	UCP 07-U LIBRARY ASST III	University Libraries	6/19/2017	6/20/2017	Medical Leave	Paid

COMMITTEE AGENDAS

University of Connecticut Board of Trustees

AGENDA

Special Meeting of the **ACADEMIC AFFAIRS COMMITTEE**

Monday, July 31, 2017
University of Connecticut
Office of the President Conference Room
352 Mansfield Road
Storrs, Connecticut
4:30 p.m.

ACTION ITEM:

- 1) Tenure at Hire

EXECUTIVE SESSION (*As Needed*)

Special Meeting of the
FINANCIAL AFFAIRS COMMITTEE
July 17, 2017 at 3:30 p.m.
University of Connecticut
Office of the President Conference Room
352 Mansfield Road
Storrs, Connecticut

ATTACHMENT

ACTION ITEMS:

- | | |
|--|---|
| 1) Recommendation for Approval of Collective Bargaining Agreement with the University of Connecticut Chapter of the American Association of University Professors (AAUP) | 1 |
| 2) Recommendation for Approval of Collective Bargaining Agreement with the University of Connecticut Professional Employees Association (UCPEA) | 2 |

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

University of Connecticut
Lakeside Building, Conference Room 101
34 North Eagleville Road, Storrs, Connecticut

SPECIAL MEETING

Thursday, July 13, 2017
12:00 p.m.

1. Acceptance of the Minutes from June 13, 2017.....Ms. Gándara
2. Naming Recommendations Mr. Newton

UConn Hartford

- Amy Beth Selzer Memorial Reception Area
- Anne E McAloon Room
- Bank of America Room
- Harleigh B. Trecker Plaque
- Harry and Edith Gampel Student Center
- Mihalek Room
- The Travelers Collaborative space

Executive Session as needed

Financial Affairs

Meeting of the
FINANCIAL AFFAIRS COMMITTEE
August 2, 2017 at 9:00 a.m.
University of Connecticut
NextGen Residence Hall, Room 112
2378 Alumni Drive
Storrs, Connecticut

	<u>ATTACHMENT COMMITTEE</u>	<u>LOCATION FULL BOARD</u>
1) Approval of the Minutes of the Financial Affairs Committee Meetings of June 12, 2017, June 28, 2017 and July 17, 2017, as circulated	A	

ACTION ITEMS:

2) Contracts and Agreements for Approval	1
3) Reauthorization of Twenty-Third Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds	10
4) Disposition of the Torrington Campus	11

PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
5) CUP Equipment Replacement and Pumping Project	Final	\$23,000,000	12
6) Fine Arts Phase II: Music & Dramatic Arts Library Renovations Phases I & II	Final	\$2,100,000	13
7) Kellogg Dairy Center Robotic Milkers Addition	Final	\$1,800,000	14

INFORMATION ITEMS:

8) Project Budget Map	B
9) Contracts and Agreements for Information	C
10) Construction Project Status Report	(Under Separate Cover)

EXECUTIVE SESSION *(As Needed)*

ATTACHMENT A

MINUTES
SPECIAL MEETING OF THE FINANCIAL AFFAIRS COMMITTEE

June 12, 2017, 9:00 a.m.
University of Connecticut
Lewis B. Rome Commons Ballroom
626 Gilbert Road Ext.
Storrs, Connecticut

TRUSTEES PRESENT: Andy Bessette, Mark Boxer (*via telephone*), Shari Cantor, Richard Carbray, Adam Kuegler, Thomas Kruger, Larry McHugh, and Thomas Ritter (*via telephone*),

STAFF PRESENT: Andy Agwunobi, Chad Bianchi, Jeremy Campbell, Debbie Carone, David Clokey, Laura Cruickshank, Lisa Danville, Elly Dougherty, Nathan Fuerst, Gail Garber, Jeffrey Geoghegan, Michael Gilbert, Susan Herbst, Michael Jednak, Scott Jordan, Tysen Kendig, Michael Kirk, Joann Lombardo, Mona Lucas, Josh Newton, Richard Orr, Stephanie Reitz, Rachel Rubin, Katrina Spencer, Jeremy Teitelbaum, Scott Wetstone, Kelly Wihbey, Michelle Williams, Kristin Wirtanen, and Reka Wrynn

Committee Chairman Thomas Kruger convened a special meeting of the Financial Affairs Committee at 9:00 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

President Susan Herbst provided opening remarks. Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, presented agenda ***Item #1, FY18 Draft Budget Presentation for the University of Connecticut, Storrs and Regional Campuses.*** Mr. Jordan directed the committee to a PowerPoint presentation detailing the FY18 operating budget proposal and options for the Storrs based programs. The budget presentation included an overview of the operating budget for Storrs and regional campuses and a capital budget overview. The goal is to adopt operating and capital budgets at the June 28, 2017 Board of Trustees meeting; which is dependent on the State adopting a budget. If the State has not adopted a budget by that date there may be a need to bring a revised budget to the Trustees after the June 28, 2017 meeting.

The budget presentation included an FY17 end of year projection, an overview of budget expenses and revenue for FY18; a history of State support; details of the most challenging budget areas; and a summary of the University's strengths, weaknesses, opportunities and threats. The committee discussed the effects the various state budget proposals, including SEBAC concessions, on the University's budget and financial situation. The detrimental impact of budget cuts to UConn were detailed as well as the effect of fringe benefit costs on the University and the research enterprise. Trustee Bessette emphasized the importance of planning for 2021 if the SEBAC concessions are adopted, as they include 3.5% salary and step increases. The committee discussed the need to pursue and generate additional revenue while continuing careful oversight of expenditures.

Mr. Jordan reviewed the University's Capital Budget, which is also subject to State budget uncertainties. The presentation included the status of major capital projects, deferral of critical projects and a summary of the Capital Program. The Capital Budget follows the NextGenCT Program. FY18 is the fourth year of the NextGenCT Program; and a great deal has been accomplished in those four years. A number of other projects, not funded by NextGenCT, are also complete or in construction including the new Recreation Center and Innovation Partnership Building as well as a number of infrastructure projects. Bond funds of \$1.3 billion have not been authorized by the State for projects that are already under construction or are in planning/design. The FY18 capital amount has been reduced by \$29.6 million, which may delay some projects to future years, reduce projects and some projects may be cut. President Herbst confirmed that despite the capital plan cuts the Fine Arts renovation is planned to move forward.

Mr. Jordan stated that the Capital Budget Plan, to be presented to the Board on June 28, will be based upon the current statutory amount of \$295 million as a "not to exceed" amount and then reduce the amount as the State cuts the budget. It is important for the University to have a budget on July 1. A balanced budget will be brought to the Trustees on June 28. Should the State's budget adjustments cause the University to require revision of the approved budget, a revised budget will subsequently be presented to the Trustees for approval. Chairman McHugh congratulated the administration, staff and faculty for addressing budget issues and cutbacks as they occurred during the year and for bringing a balanced budget forward at year-end.

On a motion by Trustee Bessette and seconded by Trustee Cantor agenda ***Item #1, FY18 Draft Budget Presentation for the University of Connecticut, Storrs and Regional Campuses*** was recommended, as presented, to the full Board for approval subject to any adjustments deemed necessary by the Executive Vice President for Administration and Chief Financial Officer given State budget developments that may occur between June 12 and June 28.

Dr. Andy Agwunobi, Executive Vice President for Health Affairs and Chief Executive Officer of UConn Health, reviewed the UConn Health budget presentation. He stated that at the end of FY17 UConn Health is forecasting a loss of \$19.7 million versus a budgeted loss of \$15.9 million. The budgets for both FY17 and FY18 reflect a difficult financial picture as this is the worst financial outlook in approximately a decade. Key drivers for the loss include unanticipated May 10 Governor's rescission of \$2.2 million; operating revenue shortfall of \$15.5 million compared to budget with the main driver being a less than anticipated surgical volume. Operating expense was favorable to budget by \$10.4 million with the main drivers being a salary and fringe decrease of \$4.3 million due to management reviewing all vacant positions; along with a decrease in other expenses of \$5.2 million due to utilities and outside purchased services.

Dr. Agwunobi explained UConn Health's financial overview from FY14-FY18; stating that it is getting harder to control the losses and increasingly difficult to cut costs and generate revenue. Despite outward appearances, the financial outlook at UConn Health has been worsening. While clinical revenue has grown, the growth in clinical revenue has fallen behind expense growth. Most Connecticut hospital networks lost inpatient volumes from 2013-2017 but UConn Health grew in patient volumes by 8.4%. Statewide UConn Health inpatient market share grew from 2.07% to 2.33% from FY13-FY17. Competition challenges hospital occupancy. Only eight Connecticut hospitals remain independent and maintain a 19.5% market share.

Another expense factor is the fringe rate for John Dempsey Hospital is significantly higher than the Connecticut Hospital Association fringe rate. The fringe payments from the State vs. the actual difference between UConn Health and the Connecticut Hospital Association (CHA) is significant. If, with all of the other financial challenges, fringe rates were at the level of other health systems, UConn Health would be above break even. The Farmington clinical campus could be sustainable if it had a different type of cost structure. Other key cost drivers include state reductions including lost fringe payments and fund sweeps; and debt service payments. The current operational structure of the clinical enterprise is financially unsustainable. The State has its own financial challenges and UConn Health cannot look to the State to solve its problems. Strategic structural changes need to be made in FY18 to ensure financial sustainability and success of UConn Health. These must include financial improvements including cost savings and revenue enhancement; outsourcing of revenue cycle management, workforce planning. The UConn Health academic enterprise is outstanding and is not experiencing the same challenges as the clinical enterprise.

Jeffrey Geoghegan, Chief Financial Officer for UConn Health, provided FY18 budget assumptions for UConn Health. He detailed a preliminary forecast of a \$59.4 million deficit but a bottom line target of an \$18.4 million deficit for FY18. A review of the capital budget and the items that are on hold, unfunded, etc. result in a balanced capital budget. Mr. Geoghegan also presented a balanced draft operating budget spending plan.

Chairman McHugh stated his appreciation for the hard work done by Dr. Agwunobi and Mr. Geoghegan and the entire UConn Storrs team under the leadership of President Herbst and Mr. Jordan to bring forth a balanced budget.

No action was taken on the UConn Health budget as it was still subject to review by the UConn Health Board of Directors.

There being no additional agenda items the meeting was adjourned on a motion by Trustee Bessette and seconded by Trustee Boxer at 11:10 a.m.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE

NextGen Residence Hall, Room 112
2378 Alumni Drive
Storrs, Connecticut
June 28, 2017

TRUSTEES PRESENT: Andy Bessette, Mark Boxer, Kevin Braghirol, Chuck Bunnell, Shari Cantor, Richard Carbray, Sanford Cloud, Marilda Gandara, Thomas Kruger, Adam Kuegler, Andrea Dennis-LaVigne (*via telephone*), Larry McHugh, Denis Nayden, and Thomas Ritter

STAFF PRESENT: Andy Agwunobi, David Benedict, Laura Cruickshank, Jeffrey Geoghegan, Michael Gilbert, Susan Herbst, Scott Jordan, Tysen Kendig, Michael Kirk, Matthew Larson, Wayne Locust, Joelle Murchison, Richard Orr, Rachel Rubin, Katrina Spencer, Jeremy Teitelbaum and Dan Weiner

UNIVERSITY SENATE MEMBERS PRESENT:
Douglas Hamilton and Debra Kendall

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:00 a.m. in Room 112 of NextGen Residence Hall on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger stated that the Financial Affairs Committee met on June 12 for an in depth discussion of the operating and capital budgets for the Storrs based programs and UConn Health. The committee has reviewed, discussed and recommended agenda ***Items 7 and 9, Spending Plan for Fiscal Year 2018 for the University of Connecticut, Storrs & Regional Campuses***; and the ***Fiscal Year 2018 Capital Budget*** to the full Board for approval. Agenda ***Item #8, Spending Plan for Fiscal Year 2018 for UConn Health*** was also reviewed, discussed, and recommended to the Board of Directors for additional review. On a motion by Trustee Bessette and seconded by Trustee Cantor the presentations, review, discussion and approval of the Spending Plans and Capital Budget agenda items were deferred to the full Board meeting.

The minutes of the meeting of April 26, 2017 were approved as circulated, on a motion by Trustee Bessette and seconded by Trustee Cantor.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, presented highlights of agenda ***Item #3, Contracts and Agreements for Approval***. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Mr. Jordan detailed agenda ***Item #4, Proposed Statement of Work for the UConn Foundation for Fiscal Year 2018 and Operational Changes at the UConn Law School Foundation***. On a motion by Trustee Cantor and seconded by Trustee Bessette the item was recommended to the full Board for approval.

On a motion by Trustee Bessette and seconded by Trustee Cantor ***agenda Item #5, Recommendation for Extension of Collective Bargaining Agreement with the University of Connecticut Chapter of the American Association of University Professors (AAUP)*** and ***agenda Item #6, Recommendation for Extension of Collective Bargaining Agreement with the University of Connecticut Professional Employees Union (UCPEA)*** were recommended to the full Board for approval.

Mr. Jordan provided information on agenda ***Item #10, Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #11, Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #12, Revised Allocation of Bond Authorizations as set forth in the Twentieth Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #13, Revised Allocation of Bond Authorizations as set forth in the Twenty-First Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #14, Revised Allocation of Bond Authorizations as set forth in the Twenty-Second Supplemental Indenture (University of Connecticut General Obligation Bonds)***; ***Item #15, Twenty-Third Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds***; and ***Item #16, Twenty-Fourth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds for Refunding Purposes***. On a motion by Trustee Bessette and seconded by Trustee Cantor agenda Items 10-16 were recommended to the full Board for approval.

Mr. Jordan detailed the project budgets for approval: agenda ***Item #'s 17-22, 28 Professional Park Renovation (Final: \$675,000)***; ***UConn 2000 Code Remediation: Towers Dormitories (Revised Final: \$800,000)***; ***Residential Life Facilities – Alumni Quad Shower Renovations (Revised Final: \$1,156,000)***; ***Main Campus Parking Replacements (Planning: \$500,000)***; ***Decentralized Heat at Central Warehouse and Facilities Operations & Building Services Buildings (Final: \$700,000)***; and ***Steam Line Lateral at the John J. Budds Building (Final: \$800,000)***. On a motion by Trustee Bessette and seconded by Trustee Boxer the project budgets were recommended to the full Board for approval.

Dr. Andy Agwunobi, UConn Health Chief Executive Officer, updated the Committee on the UConn Health project budgets presented for approval, which included agenda ***Item #'s 23-26, UCH Elevator 24 & 25 Modernization and Sill Repair (Final: \$1,100,000)***; ***UCH Main Accumulation Building (Final: \$4,400,000)***; ***UCH Medical School Academic Building Addition and Renovation (Revised Final: \$36,795,000)***; and ***UCH New Construction and Renovation – Clinic (C) Building Renovations (Revised Final: \$92,514,604)***. On a motion by Trustee Bessette and seconded by Trustee Boxer the project budgets for UConn Health were recommended to the full Board for approval.

Trustee Kruger directed the committee to the information items for their review. There being no additional agenda items the meeting was adjourned at 9:24 a.m. on a motion by Trustee Boxer and seconded by Trustee Bessette.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

MINUTES
SPECIAL MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
July 17, 2017 at 3:30 p.m.
University of Connecticut
Office of the President Conference Room
352 Mansfield Road
Storrs, Connecticut

TRUSTEES PARTICIPATING

BY TELEPHONE: Andy Bessette, Mark Boxer, Kevin Braghirol, Chuck Bunnell, Shari Cantor, Richard Carbray, Sanford Cloud, Dennis-LaVigne, Marilda Gandara, Thomas Kruger, Rebecca Lobo, Larry McHugh, Denis Nayden, Steven Reviczky, Thomas Ritter, Christine Savino and Catherine Smith

STAFF PRESENT: Kelly Bannister, Tom Breen, Debbie Carone, Christopher Delello (*via Telephone*), Keith Hood, Scott Jordan, Michael Kirk, Susan Locke, Radenka Maric, Rachel Rubin, Michael White, and Kelly Wihbey

UNIVERSITY SENATE MEMBERS PRESENT: Sulin Ba

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 3:30 p.m. in Office of the President Conference Room on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger requested a roll call of the Committee members on the telephone. He welcomed student Trustee Christine Savino to the committee.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, detailed the two bargaining agreement agenda items presented for approval. On a motion by Trustee Bessette and seconded by Trustee Boxer, agenda *Item #1, Recommendation for Approval of Collective Bargaining Agreement with the University of Connecticut Chapter of the American Association of University Professors (AAUP)* was recommended to the full Board for approval.

On a motion by Trustee Boxer and seconded by Trustee Bessette, and Trustee Carbray abstaining, agenda *Item #2, Recommendation for Approval of Collective Bargaining Agreement with the University of Connecticut Professional Employees Association (UCPEA)* was recommended to the full Board for approval.

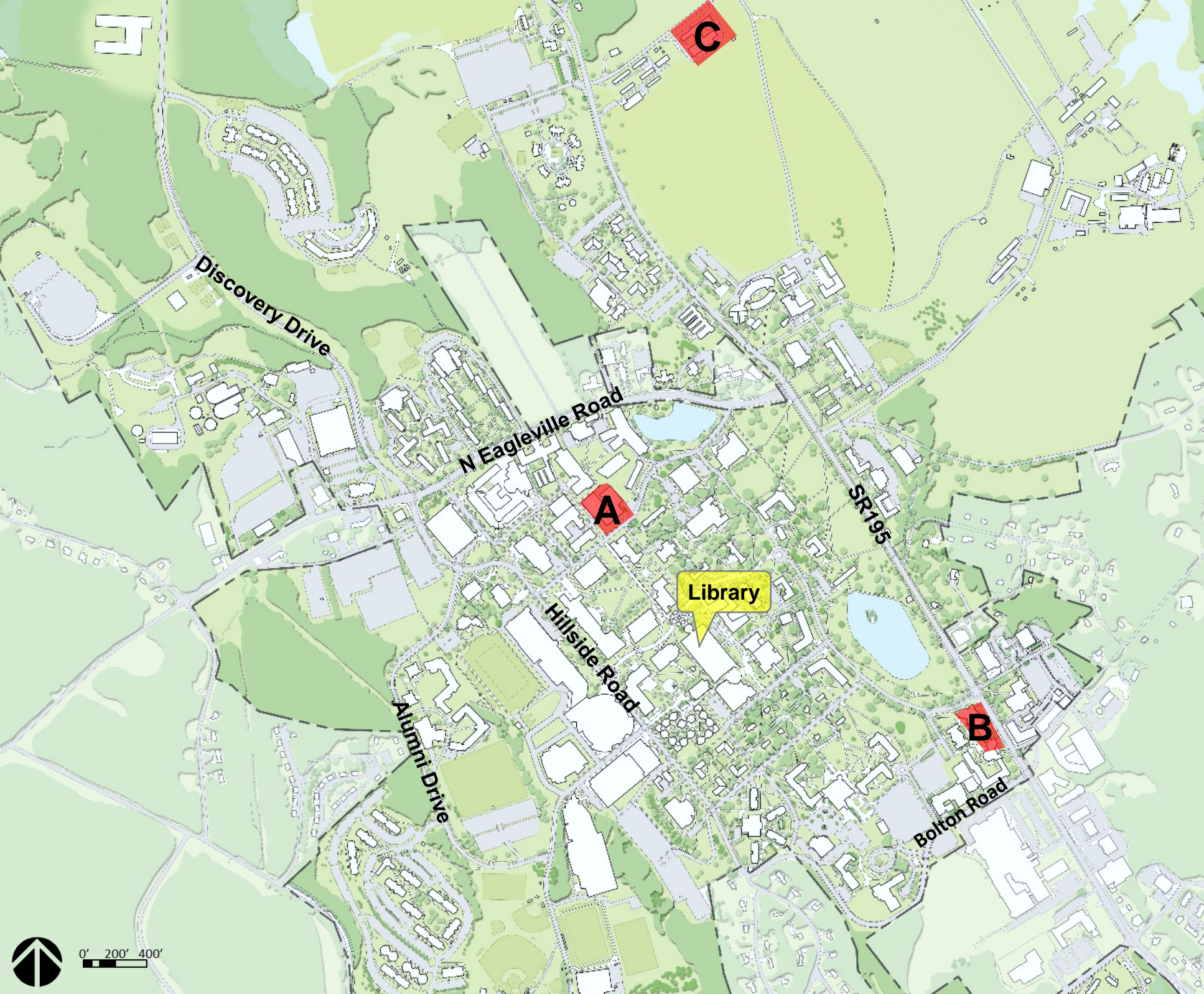
There being no additional agenda items the meeting was adjourned at 3:43 p.m. on a motion by Trustee Boxer and seconded by Trustee Bessette.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B



KEY TO PROJECTS

Final / Revised Final

- A CUP Equipment Replacement and Pumping Project
- B Fine Arts Phase II – Music & Dramatic Arts Library Renovations Phases I & II
- C Kellogg Dairy Center Robotic Milkers Addition

Design / Revised Design

None

Planning / Revised Planning

None

**** Note:** Campus-Wide or Regional Campus project.
Location not shown on map.

ATTACHMENT #

**CONTRACTS AND AGREEMENTS
FOR INFORMATION
08/02/17**

PROCUREMENT - NEW

CONSULTANT SERVICES - ENERGY ANALYST							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Competitive Energy Services, LLC	DS060916-1	\$900,000	03/01/17-12/31/19	Multiple Sources	Scott Jordan, Executive Vice President for Administration & Chief Financial Officer	Energy consultant services to advise the University on reducing its energy and utility costs for all University campuses, including UCH, and will assist the University in its development of a University sustainable energy plan. This is the result of a public solicitation. Two extensions of one year each remain.

CONSTRUCTION SERVICES - GENERAL CONTRACTOR							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
2	Air Temp Mechanical Services, Inc.	902123	\$1,725,765	04/17/17-08/21/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Improvement of air quality, operability, reliability, maintainability and energy efficiency of existing HVAC systems at Engineering II & UTEB on Storrs Campus. <i>(Final Project Budget approved by the BOT on 02/22/17 - \$2,500,000)</i>
3	All State Construction, Inc.	901824a	\$785,800	02/27/17-06/30/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Installation of granite stair and ramp system at existing retaining wall on south end of Avery Point Campus. <i>(Final Project Budget approved by the BOT on 04/29/15 - \$7,300,000)</i>
4	All State Construction, Inc.	201705	\$751,000	04/24/17-08/03/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Replacement of all fiberglass showers and other fixtures in Northwest Quad buildings on Storrs Campus. <i>(Revised Final Project Budget approved by the BOT on 04/26/17 - \$1,020,000)</i>
5	Greenwood Industries, Inc.	902134	\$1,482,000	04/17/17-08/11/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Removal and replacement of asphalt shingle roofing for Hilltop Repairs Phase III on Storrs Campus. <i>(Final Project Budget approved by the BOT on 03/29/17 - \$1,762,000)</i>
6	LaRosa Building Group, LLC	902124	\$3,306,000	05/08/17-09/29/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Demolition to accommodate construction of new interior spaces at Koons Hall on Storrs Campus. <i>(Final Project Budget approved by the BOT on 02/22/17 - \$5,500,000)</i>
7	Richards Corporation	902079	\$1,117,657	05/08/17-08/18/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Construction of Tech Park Parking Lot Parcel J on Storrs Campus. <i>(Final Project Budget approved by the BOT on 04/26/17 - \$1,450,000)</i>
8	Scope Construction Company, Inc.	201704	\$817,200	05/09/17-08/11/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Demolition and replacement of five showers in Brock Hall and Eddy Hall on Storrs Campus. <i>(Revised Final Project Budget approved by the BOT on 04/26/17 - \$1,030,000)</i>
9	Silktown Roofing, Inc.	201701	\$1,236,335	04/11/17-08/11/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Removal and replacement of shingles and attic insulation for Northwood Roof Replacement Phase II on Storrs Campus. <i>(Final Project Budget approved by the BOT on 03/29/17 - \$1,550,000)</i>
10	Standard Demolition Services, Inc.	902084	\$656,645	03/03/17-05/03/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Demolition of 5 & 6 Gilbert Road and 417 Whitney Road on Storrs Campus. <i>(Final Project Budget approved by the BOT on 02/22/17 - \$3,500,000)</i>

FACILITIES SERVICES - LANDSCAPING							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
11	BCI, Inc.	DS092916	\$505,000	03/01/17-12/31/19	Operating Funds	Michael Jednak, AVP, Facilities Operations & Building Services	Landscaping services for Avery Point Campus. This is the result of a public solicitation. Three extensions of one year each remain.

STANDARD FIXED-FEE ARCHITECT'S CONTRACT							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
12	Newman Architects, P.C.	201696	\$3,950,950	03/01/17-08/14/20	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Architectural services for the Athletic District Development project located on Storrs Campus. <i>(Revised Planning Project Budget approved by the BOT February 22, 2017 - \$4,750,000)</i>
13	Payette Associates, Inc.	901802	\$11,875,000	12/15/16-12/31/20	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	Architectural services for the New STEM Research Center - Science 1 project located on Storrs Campus. <i>(Revised Planning Project Budget approved by the BOT June 29, 2016 - \$5,000,000)</i>

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SERVICE AGREEMENT										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
14	Aaron Evergreen, Inc. (d/b/a Aaron Environmental)	LM092916-1	\$600,000	02/01/17-12/31/19	Operating Funds	Michael Jednak, AVP, Facilities Operations & Building Services	Inspection, maintenance and minor repair services for aboveground storage tank systems, oil/water and storm water grint separators, and related appurtenances on all UConn campuses, excluding UCH. This is the result of a public solicitation. Three extensions of one year each remain.			
PROCUREMENT - AMENDMENTS										
CONSTRUCTION SERVICES - CONSTRUCTION MANAGER										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
15	Downes Construction Company, LLC	901888	\$7,523,870 [Contract Value Previously \$63,424; Total New Contract Value \$7,587,294]	11/02/15-01/31/18	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	\$4,783,566	\$22,827	\$0	Construction Manager Services for Gampel Pavilion Dome Ceiling and Roof Repair. Guaranteed Maximum Price Amendment to increase contract by \$7,523,870 for Construction Management Services. (Final Project Budget approved by the BOT January 25, 2017 - \$10,000,000)
16	The Whiting-Turner Contracting Company	901803	\$3,104,580 [Contract Value Previously \$699,639; Total New Contract Value \$3,804,219]	07/24/15-07/31/25	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	\$3,604,858	\$66,558	\$0	Construction Manager Services for Gant Building Renovations - STEM. Guaranteed Maximum Price Amendment to increase contract by \$3,104,580 for Construction Management Services. (Final Project Budget approved by the BOT February 22, 2017 - \$85,000,000)
17	Turner Construction Company	901332	\$10,131,489 [Contract Value Previously \$12,401,741; Total New Contract Value \$22,533,230]	10/01/15-10/31/19	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	\$2,974,557	\$22,533	\$0	Construction Manager Services for the Student Recreation Center. Guaranteed Maximum Price Amendment to increase contract by \$10,131,489 for Construction Management Services. (Design Project Budget approved by the BOT December 7, 2016 - \$100,000,000)
18	Turner Construction Company	901990	\$28,977,962 [Contract Value Previously \$11,179,143; Total New Contract Value \$40,157,105]	12/01/15-01/31/18	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	\$16,508,755	\$464,445	\$0	Construction Manager Services for North Eagleville Road Area Infrastructure Repair/Replacement & Upgrades - Phase III. Guaranteed Maximum Price Amendment to increase contract by \$28,977,962 for Construction Management Services. (Revised Final Project Budget approved by the BOT March 29, 2017 - \$49,000,000)
ON-CALL CONSULTANT SERVICES - CONSTRUCTION SAFETY ON-SITE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
19	Hettrick, Cyr & Associates, Inc.	030-1-400-10/31/16	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	11/01/13-09/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$212,190	\$43,257	\$21,390	Professional Services On-Call Contract for Construction Safety On-Site to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. Fourth Amendment. Amend to extend the contract term six months, through 09/30/17.
20	Safety Solution Consultants, Inc.	030-2-400-10/31/16	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	11/01/13-09/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$364,210	\$153,542	\$59,597	Professional Services On-Call Contract for Construction Safety On-Site to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. Fourth Amendment. Amend to extend the contract term six months, through 09/30/17.
21	TRC Environmental Corporation	030-3-400-10/31/16	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	11/01/13-09/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$443,165	\$95,027	\$43,068	Professional Services On-Call Contract for Construction Safety On-Site to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. Fourth Amendment. Amend to extend the contract term six months, through 09/30/17.

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ON-CALL CONSULTANT SERVICES - PARKING AND TRANSPORTATION										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
22	Chance Management Advisors, Inc.	020-2-400-113016	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	12/01/13-09/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$246,632	\$60,849	\$0	Professional Services On-Call Contract for Parking and Transportation Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. Fourth Amendment. Amend to extend the contract six months, through 09/30/17.
ON-CALL TRADE CONTRACTOR SERVICES - ELECTRICAL										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
23	Beacon Electric, Inc.	OC.ELEC2014-950-1	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$31,198	\$28,858	\$0	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
24	Custom Electric, Inc.	OC.ELEC2014-950-2	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
25	Dicin Electric Company	OC.ELEC2014-950-3	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$200,881	\$0	\$0	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
26	D. Dubaldo Electric Co., LLC	OC.ELEC2014-950-4	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
27	Electrical Contractors, Inc.	OC.ELEC2014-950-5	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$263,741	\$142,658	\$30,186	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
28	Electrical Energy Systems Corporation	OC.ELEC2014-950-6	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$112,626	\$112,626	\$0	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
29	L. Holzner Electric Company	OC.ELEC2014-950-7	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$300,935	\$175,947	\$0	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
30	McPhee Electric, LTD	OC.ELEC2014-950-8	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.

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ON-CALL TRADE CONTRACTOR SERVICES - ELECTRICAL (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
31	Newington Electric Co., Inc.	OC.ELEC2014-950-9	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$18,980	\$0	\$18,980	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
32	Paquette Electric Company, Inc.	OC.ELEC2014-950-10	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
33	Prime Electric, LLC	OC.ELEC2014-950-11	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$93,602	\$47,301	\$46,301	Contractor Services for On-Call Contract for Electrical Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
ON-CALL TRADE CONTRACTOR SERVICES - GENERAL CONTRACTOR										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
34	All State Construction, Inc.	OC.GC2014-950-2	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$560,497	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
35	D'Amato Construction Company, Inc.	OC.GC2014-950-3	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
36	Desco Professional Builders, Inc.	OC.GC2014-950-4	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
37	Enfield Builders, Inc.	OC.GC2014-950-21	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
38	Fuss & O'Neill Design Build Services, LLC	OC.GC2014-950-5	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
39	G. Schnip Construction, Inc.	OC.GC2014-950-7	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$114,837	\$10,731	\$104,106	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.

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ON-CALL TRADE CONTRACTOR SERVICES - GENERAL CONTRACTOR (Continued)

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
40	Milestone Construction Service3s, LLC	OC.GC2014-950-10	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
41	Noble Construction & Management, Inc.	OC.GC2014-950-11	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$460,500	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. Second Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
42	Nosal Builders, Inc.	OC.GC2014-950-12	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
43	Pelletier Builders, Inc.	OC.GC2014-950-14	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
44	Pioneer Builders of Newington, Inc.	OC.GC2014-950-15	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
45	Sarazin General Contractors, Inc.	OC.GC2014-950-17	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,545,436	\$655,794	\$548,948	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. Third Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
46	Scope Construction Company, Inc.	OC.GC2014-950-18	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for General Contractor Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.

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ON-CALL TRADE CONTRACTOR SERVICES - HVAC										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
47	Modern Mechanical Systems, Inc.	OC.HVAC2014-800-3	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for HVAC Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
48	J. C. Higgins Corp., d/b/a Tucker Mechanical	OC.HVAC2014-800-6	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for HVAC Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
ON-CALL TRADE CONTRACTOR SERVICES - MASONRY AND WATERPROOFING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
49	Armani Restoration, Inc.	OC.MAS2014-800-1	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$44,823	\$44,823	\$0	Contractor Services for On-Call Contract for Masonry and Waterproofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
50	Advanced Caulking & Restoration, LLC	OC.MAS2014-800-2	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Masonry and Waterproofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
51	Capasso Restoration, Inc.	OC.MAS2014-800-3	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Masonry and Waterproofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
52	Civitillo Masonry, Inc.	OC.MAS2014-800-4	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$48,300	\$48,300	\$0	Contractor Services for On-Call Contract for Masonry and Waterproofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
53	Connecticut Mason Contractors, Inc.	OC.MAS2014-800-5	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Masonry and Waterproofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
54	Kronenberger & Sons Restoration, Inc.	OC.MAS2014-800-6	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Masonry and Waterproofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.

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ON-CALL TRADE CONTRACTOR SERVICES - MASONRY AND WATERPROOFING (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
55	New England Masonry & Roofing Company	OC.MAS2014-800-8	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Masonry and Waterproofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
56	Noble Construction & Management, Inc.	OC.MAS2014-800-9	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$20,150	\$0	\$20,150	Contractor Services for On-Call Contract for Masonry and Waterproofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
57	Structural Preservation Systems LLC	OC.MAS2014-800-10	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Masonry and Waterproofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
ON-CALL TRADE CONTRACTOR SERVICES - ROOFING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
58	Allied Restoration Corporation	OC.ROOF2014-800-1	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Roofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
59	Beaulieu Company, LLC	OC.ROOF2014-800-2	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Roofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
60	Commercial Roofing & Contracting, Inc.	OC.ROOF2014-800-3	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$169,478	\$141,431	\$1,103	Contractor Services for On-Call Contract for Roofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
61	Eagle Rivet Roof Service Corporation	OC.ROOF2014-800-5	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Roofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
62	The Imperial Company, Restoration Contractor, Inc.	OC.ROOF2014-800-8	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Roofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
63	Titan Roofing, Inc.	OC.ROOF2014-800-9	\$0 [Contract Value Previously \$800,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Roofing Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.

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ON-CALL TRADE CONTRACTOR SERVICES - SITE WORK

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
64	All-Phase Enterprises, Inc.	OC.SITE2014-950-1	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
65	All State Construction, Inc.	OC.SITE2014-950-2	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$756,618	\$49,500	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
66	G. Donovan Associates, Inc.	OC.SITE2014-950-3	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$339,500	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
67	Machnik Bros., Inc.	OC.SITE2014-950-4	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
68	Manafort Brothers Incorporated	OC.SITE2014-950-5	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
69	Mather Corporation	OC.SITE2014-950-6	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$84,451	\$28,305	\$56,147	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
70	Milton C. Beebe & Sons, Inc.	OC.SITE2014-950-7	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$77,904	\$75,400	\$2,504	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
71	Nosal Builders, Inc.	OC.SITE2014-950-8	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
72	O & G Industries, Inc.	OC.SITE2014-950-9	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
73	Spazzarini Construction Co., Inc.	OC.SITE2014-950-11	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.

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ON-CALL TRADE CONTRACTOR SERVICES - SITE WORK (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
74	VMS Construction Company	OC.SITE2014-950-12	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
75	Waters Construction Co., Inc.	OC.SITE2014-950-13	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
76	Tabacco & Son Builders, Inc.	OC.SITE2014-950-14	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	05/01/14-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Contractor Services for On-Call Contract for Site Work Services to be used at the University, regional campuses and UCH as added outsourced support on a project by project basis. First Amendment. Amend to extend contract one year, through 04/30/18. One extension of one year remains.
STANDARD FIXED-FEE ARCHITECT'S CONTRACT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/31/17	Expenditures FY 16	Expenditures FY 15	Purpose
77	H3 Hardy Collaboration Architecture, LLC	901667	\$44,000 [Contract Value Previously \$1,901,596; Total New Contract Value \$1,945,596]	12/16/13-12/31/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	\$1,945,596	\$658,517	\$354,818	Architectural services for Fine Arts Phase II located at the Storrs campus. Sixth Amendment. Amend to increase the contract value by \$44,000. (Design Project Budget approved by the BOT on 04/29/15 - \$23,500,000)
78	Goody Clancy Architecture, LLC	901803	\$274,937 [Contract Value Previously \$4,397,519; Total New Contract Value \$4,672,456]	08/03/15-12/31/22	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	\$4,672,456	\$1,932,509	\$0	Architectural services for the Gant Building Renovations - STEM located at the Storrs campus. Third Amendment. Amend to increase the contract value by \$274,937. (Final Project Budget approved by the BOT on 02/22/17 - \$85,000,000)
79	JCJ Architecture, PC	901332	\$119,739 [Contract Value Previously \$6,071,903; Total New Contract Value \$6,191,642]	10/29/15-02/18/20	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	\$6,662,631	\$660,683	\$0	Architectural services for the Student Recreation Center located at the Storrs campus. Fourth Amendment. Amend to increase the contract value by \$119,739. (Design Project Budget approved by the BOT on 12/07/16 - \$100,000,000)
80	Mitchell/Giurgola Architects, LLP	901376	\$102,330 [Contract Value Previously \$7,252,069; Total New Contract Value \$7,354,399]	01/10/17-06/30/18	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	\$7,107,671	\$893,232	\$2,599,170	Architectural services for the New Engineering and Science Building located at the Storrs campus. Second Contract to increase the original contract value by \$102,330. (Final Project Budget approved by the BOT on 06/24/15 - \$95,000,000)
81	Skidmore Owings & Merrill LLP	901661	\$386,694 [Contract Value Previously \$9,922,560; Total New Contract Value \$10,309,254]	04/12/12-12/31/17	Bond Funds	Laura Cruickshank, AVP, University Master Planner and Chief Architect	\$9,239,179	\$1,455,330	\$1,584,105	Architectural services for the Innovation Partnership Building Technology Quadrant Phase III located at the Storrs campus. Thirteenth and Fourteenth Amendment. Amend to increase the contract value by \$386,694. (Final Project Budget approved by the BOT on 12/10/14 - \$162,300,000)