

10-26-2016

## 2016 October 26 -- Agenda and Attachments

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# UConn

UNIVERSITY OF CONNECTICUT

*Board of*



# TRUSTEES

VOL. 167 OCTOBER 26, 2016

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Lewis B. Rome Commons Ballroom  
South Campus Complex  
Storrs, Connecticut

October 26, 2016

**BOARD OF TRUSTEES SCHEDULE**

9:00 a.m.	Financial Affairs Committee Meeting
9:15 a.m.	Board of Trustees Meeting

**BOARD MEETING AGENDA**

Call to order at **9:15 a.m.**

1. Executive Session
2. Public Participation
3. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meeting of September 29, 2016
  - (c) Consent Agenda Item:
    - (1) Contracts and Agreements for the Storrs-based Programs (Attachment 1)
  - (d) Consideration of Faculty Member Appeal
4. President's Report
5. Academic Affairs Committee Report
  - (a) Report on Committee activities
6. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 2)
    - (2) Revised Allocation of Bond Authorizations as set forth in the Twentieth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 3)
    - (3) Revised Allocation of Bond Authorizations as set forth in the Twenty-Second Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 4)

- (4) Endowment of Funds Received from the Gordon J. Flynn Living Trust of 2011 (Attachment 5)
  - (5) Project Budget (Design) for CUP Equipment Replacement and Pumping Project (Attachment 6)
- 7. UConn Health Report
  - (a) Report on UConn Health activities
- 8. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
- 9. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
- 10. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
- 11. Student Life Committee Report
  - (a) Report of Committee activities
- 12. Institutional Advancement Committee Report
  - (a) Report on Committee activities
- 13. Committee on Compensation Report
  - (a) Report on Committee activities
- 14. Other business
- 15. Adjournment

**PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.***

## **ATTACHMENT 1**

**Contracts and Agreements  
for Approval  
October 26, 2016**

**PROCUREMENT - AMENDMENTS**

**ELECTRICITY**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	Consolidated Edison Solutions Inc.	LM092513-2	\$580,000 [Contract Value Previously \$3,398,258; Total New Contract Value \$3,978,258]	11/01/13-04/30/17	Operating Funds - Auxiliary Services	Michael Jednak, AVP Facilities Operations & Building Services	\$3,209,466	\$1,132,753	\$1,132,753	Electricity for University campuses, other than UCH. <b>Amend to increase contract value by \$580,000, for a total new contract value of \$3,978,258. Amend to extend term six months, through 04/30/17.</b>
2	Direct Energy Services LLC (t/k/a Hess)	LM092513-1	\$2,510,100 [Contract Value Previously \$14,772,596; Total New Contract Value \$17,282,596]	11/01/13-04/30/17	Operating Funds - Auxiliary Services	Michael Jednak, AVP Facilities Operations & Building Services	\$13,951,896	\$4,924,194	\$4,924,194	Electricity for UCH campus. <b>Amend to increase contract value by \$2,510,000, for a total new contract value of \$17,282,596. Amend to extend term six months, through 04/30/17.</b>

**EVENT STAFFING SERVICES**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	Contemporary Services Corporation	UC-13-KMA050313-A	\$85,000 [Contract Value Previously \$1,036,842; Total New Contract Value \$1,121,842]	01/01/14-06/30/17	Operating Funds	David Benedict, Athletic Director	\$759,475	\$358,657	\$338,717	Management and staffing for events on the Storrs campus, including athletic events and commencement. <b>Amend to increase contract value by \$85,000, for a total new contract value of \$1,121,842.</b> One extension of one year remains.

**FURNITURE**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	Office Furniture Inc.	15PSX0041	\$700,000 [Contract Value Previously \$900,000; Total New Contract Value \$1,600,000]	07/01/15-06/30/20	Auxiliary Funds	Matthew Larson, Director of Procurement Services	\$779,843	\$19,186	\$0	Furniture for all University campuses, including UCH. <b>Amend to increase contract value by \$700,000, for a total new contract value of \$1,600,000.</b> Zero extensions remain.
2	Red Thread Spaces LLC	15PSX0041	\$700,000 [Contract Value Previously \$3,857,193; Total New Contract Value \$4,557,193]	07/01/15-06/30/20	Auxiliary Funds	Matthew Larson, Director of Procurement Services	\$3,241,615	\$1,310,674	\$0	Furniture for all University campuses, including UCH. <b>Amend to increase contract value by \$700,000, for a total new contract value of \$4,557,193.</b> Zero extensions remain.

**MOTOR VEHICLES**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	Crowley Ford LLC	10PSX0239	\$1,450,000 [Contract Value Previously \$950,000; Total New Contract Value \$2,400,000]	02/08/11-09/30/19	Multiple Sources	Matthew Larson, Director of Procurement Services	\$903,250	\$530,993	\$0	Motor vehicles for all University campuses, including UCH. <b>Amend to increase contract value by \$1,450,000, for a total new contract value of \$2,400,000.</b> Zero extensions remain.

**Contracts and Agreements  
for Approval  
October 26, 2016**

NETWORK SERVICES FOR TELECOMMUNICATIONS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	The Southern New England Telephone Co (d/b/a Frontier Communications, f/k/a AT&T/Custom Business Group, Inc.)	MA B-03-006	\$0 [Contract Value Previously \$6,366,118; Contract Value Remains the Same]	10/01/03-09/30/17	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$4,770,997	\$540,177	\$434,214	Network services for landline communication services and teleconferencing services for all University campuses other than UCH. <b>Amend to extend term by one year, through 09/30/17.</b> Zero extensions remain.
ON-CALL CONSULTANT SERVICES - CIVIL AND STRUCTURAL ENGINEERING										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	AECOM (d/b/a URS Corporation AES)	012.1-12-500-22916	\$500,000 [Contract Value Previously \$2,500,000; Total New Contract Value \$3,000,000]	03/01/13-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,258,905	\$1,100,510	\$157,836	Civil and structural engineering services for all University campuses, including UCH. <b>Amend to increase contract value by \$500,000, for a total new contract value of \$3,000,000.</b>
RESEARCH SUPPLIES & EQUIPMENT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY16	Expenditures FY15	Purpose
1	Fisher Scientific, LLC	UC-09-CGP021309	\$500,000 [Contract Value Previously \$19,706,849; Total New Contract Value \$20,206,849]	05/01/09-12/31/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$18,356,854	\$3,291,591	\$3,351,276	Laboratory supplies, chemicals and equipment for all University campuses, including UCH. <b>Amend to increase contract value by \$500,000, for total new contract value of \$20,206,849.</b> Zero extensions remain.
SOFTWARE & SOFTWARE MAINTENANCE AND SUPPORT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	Accruent, LLC	UC-5-2390	\$290,242 [Contract Value Previously \$3,370,000; Total New Contract Value \$3,660,242]	07/01/10-06/30/18	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$1,976,177		\$178,283	Licenses, maintenance, and support for the University's FAMIS system on all University campuses, including UCH. <b>Amend to increase contract value by \$290,242, for total new contract value of \$3,660,242. Amend to extend term for one year, through 06/30/18.</b> Zero extensions remain.
TRADE LABOR										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	Sarazin General Contractors, Inc.	071111MS	\$200,000 [Contract Value Previously \$3,085,012; Total New Contract Value \$3,285,012]	11/11/11-03/10/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,811,789	\$569,907	\$495,453	Trade labor for renovation projects on all University campuses, including UCH. Contract used primarily by the University's Department of Academic Renovations. <b>Amend to increase contract value by \$200,000, for total new contract value of \$3,285,012. Amend to extend term four months, through 03/01/17.</b> Zero extensions remain.

**Contracts and Agreements  
for Approval  
October 26, 2016**


UNIFORMS										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	Swiss Laundry of Rockville, Inc.	UC-12-KA021012-8	\$49,689 <i>[Contract Value Previously \$1,284,098; Total New Contract Value \$1,333,787]</i>	05/01/12-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,135,971	\$349,258	\$244,185	Provide uniform purchases, rental and laundering services to departments such as Facilities Operations, Fire and Police Departments, Animal Sciences, Dramatic Arts, Motor Pool and Central Stores. <b>Amend to increase contract value by \$49,689 for total new contract value of \$1,333,787.</b> Zero extensions remain.
WATER SYSTEM MANAGEMENT AND OPERATION SERVICES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/31/16	Expenditures FY 16	Expenditures FY 15	Purpose
1	New England Water Utility Services	UC-11-PL040210-1	\$842,000 <i>[Contract Value Previously \$950,000; Total New Contract Value \$1,792,000]</i>	12/18/14-11/30/17	Operating Funds - General	Michael Jednak, AVP Facilities Operations & Building Services	\$913,776	\$702,514	\$211,262	Water systems management, operation, maintenance, development and regulatory compliance at the Storrs and Depot and Agronomy Farm campuses. <b>Amend to increase contract value by \$842,000, for total new contract value of 1,792,000. Amend to extend term one year, through 11/30/17.</b> Two extensions of one year each remain.
LEASES FOR APPROVAL										
UNIVERSITY AS LESSOR										
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose				
1	Tri-County Arc, Inc.	\$1	02/01/12-01/31/18	Operating Fund - General	Gregory J. Weidemann, Dean and Director, College of Agriculture and Natural Resources	First Amendment to Lease of two existing greenhouses on the grounds of the University of Connecticut - Depot Campus, located at the northeast corner of the intersection of Route 44 and Bone Mill Road, Mansfield. Lessee has an arrangement with the Department of Developmental Services to operate a day program for individuals, which is a continuation of the program formerly operated by Hockanum Industries, Inc. This amendment extends the term of the lease for one year.				



## **ATTACHMENT 2**

October 26, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Seventeenth  
Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Seventeenth Supplemental Indenture, as follows:

- Decrease Manchester Hall Renovation by \$34,686.87 for a total fiscal year 2011-2012 bond authorization for such project of \$772,577.13; and
- Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$34,686.87 for a total fiscal year 2011-2012 bond authorization for such project of \$16,486,022.81.

BACKGROUND:

The Board of Trustees approved the Seventeenth Supplemental Indenture on June 23, 2011 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Seventeenth Supplemental Indenture on July 27, 2011.

The projects authorized under the Seventeenth Supplemental Indenture have been financed with the proceeds of the University's debt service commitment bonds issued in 2011, 2013, 2014 and 2016.

The Board of Trustees amended the Seventeenth Supplemental Indenture on June 28, 2012, April 24, 2013, June 26, 2013 and June 24, 2015 to make certain reallocations of bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2011-2012, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE SEVENTEENTH SUPPLEMENTAL INDENTURE  
TO REALLOCATE FISCAL YEAR 2011-2012  
GENERAL OBLIGATION BOND AUTHORIZATIONS**

**WHEREAS**, on June 23, 2011, the University of Connecticut (the “University”), by vote of its Board of Trustees, approved the Seventeenth Supplemental Indenture (the “Seventeenth Supplemental Indenture”) supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the “Master Indenture”); and

**WHEREAS**, the University, in conjunction with the Treasurer of the State of Connecticut issued the University’s General Obligation Bonds in 2011, 2013, 2014 and 2016 to finance the Fiscal Year 2011-2012 bond authorizations; and

**WHEREAS**, on June 28, 2012, April 24, 2013, June 26, 2013 and June 24, 2015 the University amended the Seventeenth Supplemental Indenture to make certain reallocations of bond authorizations for Fiscal Year 2011-2012 as set forth in Appendix A; and

**WHEREAS**, it has been determined that the allocations for the proceeds of the bonds authorized by the Seventeenth Supplemental Indenture should be revised to reflect the final amounts spent on certain of the projects; and

**WHEREAS**, the University desires to amend the Seventeenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Seventeenth Supplemental Indenture.

**NOW THEREFORE, BE IT RESOLVED** by the Board of Trustees of the University as follows:

That Appendix A to the Seventeenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2011-2012 bond authorization amongst projects as follows: (a) decrease Manchester Hall Renovation by \$34,686.87 for a total fiscal year 2011-2012 bond authorization for such project of \$772,577.13; and (b) increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$34,686.87 for a total fiscal year 2011-2012 bond authorization for such project of \$16,486,022.81; and to update the total amounts of debt service commitment (“DSC”) bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

**APPENDIX A**  
***As Amended*<sup>(1)</sup>**

**SEVENTEENTH SUPPLEMENTAL INDENTURE**  
**UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM**  
**FISCAL YEAR 2011-2012**  
**UCONN 2000 BOND AUTHORIZATIONS**  
**\_\_\_\_\_ SERIES \_\_\_\_ BOND PROJECTS**

<b>UCONN 2000 Projects*</b> <b>--<u>Storrs and Regional Campuses</u></b>	<b>UCONN 2000 Phase III Total (\$) Needed -<u>D.S.C.</u> <u>Bonds**</u></b>	<b>Fiscal Year 2011-2012 Bond <u>Authorization</u> <sup>(2)</sup></b>	<b>UCONN 2000 Phase III Prior D.S.C. Bond <u>Authorization</u> ***</b>
Arjona and Monteith (new classroom buildings)	\$ 128,518,947.28	\$ 1,047,356.93	\$ 95,000,000.00
Avery Point Campus Undergraduate and Library Building	10,461,245.77	5,600,000.00	3,347,813.00
Beach Hall Renovations	5,228,972.33	3,300,000.00	1,442,695.33
Biobehavioral Complex Replacement	3,589,141.00	1,687,975.00	1,100,000.00
Bishop Renovation	2,730,141.00	2,125,000.00	100,000.00
<sup>(3)</sup> Deferred Maintenance/Code/ADA Renovation Lump Sum	650,516,616.77	16,486,022.81	146,179,493.79
Engineering Building (with Environmental Research Institute)	96,351,287.06	500,000.00	5,000,000.00
Equipment, Library Collections & Telecommunications	299,200,308.00	13,226,921.00	53,411,892.59
Fine Arts Phase II	27,564,831.75	1,675,000.00	2,850,000.00
Floriculture Greenhouse	6,691,798.67	3,750,000.00	2,941,798.67
Gant Building Renovations	13,231,018.00	6,500,000.00	2,750,000.00
Heating Plant Upgrade	30,646,897.00	1,190,000.00	-
Law School Renovations/Improvements	16,766,342.94	635,624.94	15,150,000.00
Manchester Hall Renovation	772,577.13	772,577.13	-
Mansfield Training School Improvements	6,410,656.94	-	3,000,000.00
North Hillside Road Completion	8,200,000.00	-	1,500,000.00
Old Central Warehouse	126,000.00	-	126,000.00
Psychology Building Renovation/Addition	24,337,399.00	2,900,000.00	2,750,000.00
Residential Life Facilities	170,477,876.16	6,037,951.87	4,079,770.14
Storrs Hall Addition	14,664,091.44	7,910,570.32	6,530,000.00
Torrey Renovation Completion and Biology Expansion	13,905,224.00	500,000.00	1,000,000.00
Young Building Renovation/Addition	24,140,884.00	6,655,000.00	2,845,000.00
<b><u>Subtotal – Storrs and Regional Campuses</u></b>		<b><u>\$82,500,000.00</u></b>	

<b><u>--Health Center</u></b>			
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	\$ 47,552,989.03	\$ 3,500,000.00	\$ 29,480,125.03
Dental School Renovation	3,525,000	-	3,525,000.00
Equipment, Library Collections & Telecommunications — Health Center	68,429,390	2,500,000.00	50,039,265.00
Main Building Renovation	120,105,675	14,000,000.00	11,385,000.00
The University of Connecticut Health Center New Construction and Renovation	387,457,001	54,700,000.00	-
<u>Subtotal – Health Center</u>		<b><u>\$74,700,000.00</u></b>	
<b>Total Fiscal Year 2011-2012 Bond Authorization</b>		<b>\$157,200,000.00</b>	

\*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

\*\*Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

\*\*\*Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

<sup>(1)</sup> The Board of Trustees approved the Seventeenth Supplemental Indenture on June 23, 2011 and amended it on June 28, 2012, April 24, 2013, June 26, 2013, June 24, 2015 and October 26, 2016.


<sup>(2)</sup> The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

<sup>(3)</sup> Public Act 16-4 effective July 1, 2016 changed the name of UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”. Since this project was authorized in this supplemental indenture prior to the legislative name change effective date, the original project name is referred to herein. However, pursuant to Public Act 16-4 future financings will use “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”.

## **ATTACHMENT 3**

October 26, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Twentieth  
Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Twentieth Supplemental Indenture, as follows:

- Decrease Beach Hall Renovations by \$167,985.00 for a total fiscal year 2014-2015 bond authorization for such project of \$486,277.00; and
- Decrease Bishop Renovation by \$1,097,161.00 for a total fiscal year 2014-2015 bond authorization for such project of \$180,141.00; and
- Decrease Manchester Hall Renovation by \$39,038.00 for a total fiscal year 2014-2015 bond authorization for such project of \$0.00; and
- Decrease Torrey Renovation Completion and Biology Expansion by \$337,495.00 for a total fiscal year 2014-2015 bond authorization for such project of \$30,373.00; and
- Decrease Waterbury Downtown Campus by \$35,000.00 for a total fiscal year 2014-2015 bond authorization for such project of \$1,379,085.00; and
- Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$1,676,679.00 for a total fiscal year 2014-2015 bond authorization for such project of \$44,134,861.88.

BACKGROUND:

The Board of Trustees approved the Twentieth Supplemental Indenture on June 25, 2014 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Twentieth Supplemental Indenture on July 2, 2014.

The projects authorized under the Twentieth Supplemental Indenture have been financed with the proceeds of the University's debt service commitment bonds issued in 2015 and 2016.

The Board of Trustees amended the Twentieth Supplemental Indenture on June 24, 2015 and March 30, 2016 to make certain reallocations of bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2014-2015, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.



**RESOLUTION AMENDING THE TWENTIETH SUPPLEMENTAL INDENTURE  
TO REALLOCATE FISCAL YEAR 2014-2015  
GENERAL OBLIGATION BOND AUTHORIZATIONS**

**WHEREAS**, on June 25, 2014, the University of Connecticut (the “University”), by vote of its Board of Trustees, approved the Twentieth Supplemental Indenture (the “Twentieth Supplemental Indenture”) supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the “Master Indenture”); and

**WHEREAS**, the University, in conjunction with the Treasurer of the State of Connecticut (the “State Treasurer”), issued the University’s General Obligation Bonds in 2015 and 2016 to finance the Fiscal Year 2014-2015 bond authorizations; and

**WHEREAS**, on June 24, 2015 and March 30, 2016, the University amended the Twentieth Supplemental Indenture to make certain reallocations of bond authorizations for Fiscal Year 2014-2015 as set forth in Appendix A; and

**WHEREAS**, it has been determined that the allocations for the proceeds of the bonds authorized by the Twentieth Supplemental Indenture should be revised to reflect the final amounts spent on certain of the projects; and

**WHEREAS**, the University desires to amend the Twentieth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Twentieth Supplemental Indenture.

**NOW THEREFORE, BE IT RESOLVED** by the Board of Trustees of the University as follows:

That Appendix A to the Twentieth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2014-2015 bond authorization amongst projects as follows: (a) decrease Beach Hall Renovations by \$167,985.00 for a total fiscal year 2014-2015 bond authorization for such project of \$486,277.00; and (b) decrease Bishop Renovation by \$1,097,161.00 for a total fiscal year 2014-2015 bond authorization for such project of \$180,141.00; and (c) decrease Manchester Hall Renovation by \$39,038.00 for a total fiscal year 2014-2015 bond authorization for such project of \$0.00; and (d) decrease Torrey Renovation Completion and Biology Expansion by \$337,495.00 for a total fiscal year 2014-2015 bond authorization for such project of \$30,373.00; and (e) decrease Waterbury Downtown Campus by \$35,000.00 for a total fiscal year 2014-2015 bond authorization for such project of \$1,379,085.00; and (f) increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$1,676,679.00 for a total fiscal year 2014-2015 bond authorization for such project of \$44,134,861.88; and to update the total amounts of debt service commitment (“DSC”) bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

**APPENDIX A<sup>1</sup>**

**TWENTIETH SUPPLEMENTAL INDENTURE  
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM  
FISCAL YEAR 2014-2015  
UCONN 2000 BOND AUTHORIZATIONS  
\_\_\_\_\_ SERIES \_\_\_\_ BOND PROJECTS**

<b>UCONN 2000 Projects*</b> <b>--<u>Storrs and Regional Campuses</u></b>	<b>UCONN 2000 Phase III Total (\$) Needed -<u>D.S.C.</u> Bonds**</b>	<b>Fiscal Year 2014-2015 Bond <u>Authorization</u> <sup>(2)</sup></b>	<b>UCONN 2000 Phase III Prior D.S.C. Bond <u>Authorization</u> ***</b>
Academic and Research Facilities	\$510,200,528.40	\$9,024,327.00	\$4,093,982.00
Arjona and Monteith (new classroom buildings)	128,518,947.28	12,023,606.07	107,547,356.93
Avery Point Renovation	8,695,227.00	7,302,782.00	1,016,469.54
Beach Hall Renovations	5,228,972.33	486,277.00	4,742,695.33
Biobehavioral Complex Replacement	3,589,141.00	551,166.00	2,787,975.00
Bishop Renovation	2,730,141.00	180,141.00	2,550,000.00
<sup>(3)</sup> Deferred Maintenance/Code/ADA Renovation Lump Sum	650,516,616.77	44,134,861.88	187,642,252.71
Engineering Building (with Environmental Research Institute)	96,351,287.06	20,970,913.00	7,000,000.00
Equipment, Library Collections & Telecommunications	299,200,308.00	34,500,000.00	79,141,496.00
Fine Arts Phase II	27,564,831.75	26,509.00	4,575,000.00
Gant Building Renovations	13,231,018.00	1,075,000.00	12,156,018.00
Hartford Relocation Acquisition/Renovation	140,000,000.00	13,299,682.00	3,125,317.59
Heating Plant Upgrade	30,646,897.00	9,828,824.00	2,875,000.00
Jorgensen Renovation	3,934,474.58	150,000.00	2,084,474.58
Koons Hall Renovation/Addition	1,530,057.00	35,000.00	1,080,057.00
Manchester Hall Renovation	772,577.13	-	772,577.13
North Hillside Road Completion	8,200,000.00	1,500,000.00	6,700,000.00
Parking Garage #3	69,453,082.00	75,699.00	-
Residential Life Facilities	170,477,876.16	43,991,717.00	18,117,722.01
Stamford Campus Improvements/Housing	11,333,703.00	-	1,107,426.00
Support Facility (Architectural and Engineering Services)	16,583.05	16,583.05	-
Torrey Renovation Completion and Biology Expansion	13,905,224.00	30,373.00	1,500,000.00
Waterbury Downtown Campus	1,858,022.00	1,379,085.00	478,937.00
Young Building Renovation/Addition	24,140,884.00	4,417,454.00	19,223,430.00
<b><u>Subtotal – Storrs and Regional Campuses</u></b>		<b><u>\$205,000,000.00</u></b>	

<b><u>--Health Center</u></b>			
CLAC Renovation Biosafety Level 3 Lab	\$16,835,000.00	\$5,810,000.00	\$11,025,000.00
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	47,552,989.03	741,394.00	40,932,128.03
Equipment, Library Collections & Telecommunications — Health Center	68,429,390.00	4,125,000.00	56,429,265.00
Main Building Renovation	120,105,675.00	11,679,000.00	76,597,500.00
The University of Connecticut Health Center New Construction and Renovation	387,457,001.00	88,144,606.00	171,272,000.00
<b><u>Subtotal – Health Center</u></b>		<b><u>\$110,500,000.00</u></b>	
<b>Total Fiscal Year 2014-2015 Bond Authorization</b>		<b>\$315,500,000.00</b>	

\*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

\*\*Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

\*\*\*Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

<sup>(1)</sup> The Board of Trustees approved the Twentieth Supplemental Indenture on June 25, 2014 and amended it on June 24, 2015, March 30, 2016 and October 26, 2016.


<sup>(2)</sup> The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

<sup>(3)</sup> Public Act 16-4 effective July 1, 2016 changed the name of UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”. Since this project was authorized in this supplemental indenture prior to the legislative name change effective date, the original project name is referred to herein. However, pursuant to Public Act 16-4 future financings will use “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”.

## **ATTACHMENT 4**

October 26, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Twenty-Second Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Twenty-Second Supplemental Indenture, as follows:

- Decrease Arjona and Monteith (new classroom buildings) by \$1,068,946.00 for a total fiscal year 2016-2017 bond authorization for such project of \$431,054.00; and
- Decrease Fine Arts Phase II by \$1,000,000.00 for a total fiscal year 2016-2017 bond authorization for such project of \$4,544,998.00; and
- Decrease Residential Life Facilities by \$2,263,023.00 for a total fiscal year 2016-2017 bond authorization for such project of \$11,307,310.00; and
- Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$2,394,229.00 for a total fiscal year 2016-2017 bond authorization for such project of \$57,077,575.00; and
- Increase Engineering Building (with Environmental Research Institute) by \$1,937,740.00 for a total fiscal year 2016-2017 bond authorization for such project of \$19,344,710.00.

BACKGROUND:

The Board of Trustees approved the Twenty-Second Supplemental Indenture on June 29, 2016 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Twenty-Second Supplemental Indenture on July 5, 2016.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2016-2017, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE TWENTY-SECOND SUPPLEMENTAL INDENTURE  
TO REALLOCATE FISCAL YEAR 2016-2017  
GENERAL OBLIGATION BOND AUTHORIZATIONS**

**WHEREAS**, on June 29, 2016, the University of Connecticut (the “University”), by vote of its Board of Trustees, approved the Twenty-Second Supplemental Indenture (the “Twenty-Second Supplemental Indenture”) supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the “Master Indenture”); and

**WHEREAS**, it has been determined that the allocations for the proceeds of the bonds authorized by the Twenty-Second Supplemental Indenture should be revised to reflect the amounts to be spent on certain of the projects; and

**WHEREAS**, the University desires to amend the Twenty-Second Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Twenty-Second Supplemental Indenture.

**NOW THEREFORE, BE IT RESOLVED** by the Board of Trustees of the University as follows:

That Appendix A to the Twenty-Second Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2016-2017 bond authorization amongst projects as follows: (a) decrease Arjona and Monteith (new classroom buildings) by \$1,068,946.00 for a total fiscal year 2016-2017 bond authorization for such project of \$431,054.00; and (b) decrease Fine Arts Phase II by \$1,000,000.00 for a total fiscal year 2016-2017 bond authorization for such project of \$4,544,998.00; and (c) decrease Residential Life Facilities by \$2,263,023.00 for a total fiscal year 2016-2017 bond authorization for such project of \$11,307,310.00; and (d) increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$2,394,229.00 for a total fiscal year 2016-2017 bond authorization for such project of \$57,077,575.00; and (e) increase Engineering Building (with Environmental Research Institute) by \$1,937,740.00 for a total fiscal year 2016-2017 bond authorization for such project of \$19,344,710.00; and to update the total amounts of debt service commitment (“DSC”) bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

**APPENDIX A<sup>1</sup>**

**TWENTY-SECOND SUPPLEMENTAL INDENTURE  
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM  
FISCAL YEAR 2016-2017  
UCONN 2000 BOND AUTHORIZATIONS  
\_\_\_\_ SERIES \_\_\_\_ BOND PROJECTS**

<b>UCONN 2000 Projects*</b>	<b>UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds**</b>	<b>Fiscal Year 2016-2017 Bond Authorization <sup>(2)</sup></b>	<b>UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***</b>
<b>--<u>Storrs and Regional Campuses</u></b>			
Academic and Research Facilities	\$510,200,528.40	\$6,476,861.00	\$16,027,531.00
Arjona and Monteith (new classroom buildings)	128,518,947.28	431,054.00	128,087,893.28
<sup>(3)</sup> Deferred Maintenance/Code/ADA Renovation Lump Sum	650,516,616.77	57,077,575.00	262,362,663.76
Engineering Building (with Environmental Research Institute)	96,351,287.06	19,344,710.00	67,068,837.00
Equipment, Library Collections & Telecommunications	299,200,308.00	9,000,000.00	124,816,496.00
Fine Arts Phase II	27,564,831.75	4,544,998.00	6,583,426.00
Hartford Relocation Acquisition/Renovation	140,000,000.00	68,424,318.00	53,285,681.14
Heating Plant Upgrade	30,646,897.00	1,806,897.00	13,477,000.00
Jorgensen Renovation	3,934,474.58	200,000.00	3,734,474.58
Parking Garage #3	69,453,082.00	250,000.00	75,699.00
Residential Life Facilities	170,477,876.16	11,307,310.00	130,522,566.01
Stamford Campus Improvements/Housing	11,333,703.00	426,277.00	1,107,426.00
<b>Subtotal – Storrs and Regional Campuses</b>		<b><u>\$179,290,000.00</u></b>	
<b>--<u>Health Center</u></b>			
Deferred Maintenance/Code/ADA Renovation Sum - Health Center	\$47,552,989.03	\$2,010,972.00	\$44,892,867.03
Equipment, Library Collections and Telecommunications - Health Center	68,429,390.00	2,250,000.00	64,704,390.00
Main Building Renovation	120,105,675.00	22,679,657.00	97,426,018.00
Medical School Academic Building Renovation	39,047,488.00	4,599,988.00	34,447,500.00
The University of Connecticut Health Center New Construction and Renovation	387,457,001.00	29,569,383.00	350,597,618.00
<b>Subtotal – Health Center</b>		<b><u>\$61,110,000.00</u></b>	
<b>Total Fiscal Year 2016-2017 Bond Authorization</b>		<b><u>\$240,400,000.00</u></b>	

\*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

\*\*Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

\*\*\*Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

<sup>(1)</sup> The Board of Trustees approved the Twenty-second Supplemental Indenture on June 29, 2016 and amended it on October 26, 2016.

<sup>(2)</sup> The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

<sup>(3)</sup> Public Act 16-4 effective July 1, 2016 changed the name of UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”. Since this project was authorized in this supplemental indenture prior to the legislative name change effective date, the original project name is referred to herein. However, pursuant to Public Act 16-4 future financings will use “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”.




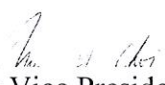
## **ATTACHMENT 5**



October 26, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi   
Provost and Executive Vice President for Academic Affairs

RE: Endowment of Funds Received from The Gordon Flynn Living Trust

RECOMMENDATION:

That the Board of Trustees establish a quasi-endowment to be known as the Gordon J. Flynn Fund, with a principal of \$630,000 (that being the amount received, to date, of a gift to the University made under Mr. Flynn's living trust), to be managed and administered consistently with endowed gifts to the University; and

That the Board further direct expenditures from the Gordon J. Flynn Fund to be made for benefit of the School of Business at the discretion of the Dean of the School of Business, subject to the control and oversight of the Provost; and subject in all cases to the control and oversight of the President.

RESOLUTION:

"Be it resolved that the Board of Trustees establish a quasi-endowment to be known as the Gordon J. Flynn Fund, with the principal sum of \$630,000 received as a bequest from the late Mr. Flynn; and

"Be it further resolved that expenditures from the Gordon J. Flynn Fund be made for benefit of the School of Business at the discretion of the Dean of the School of Business, subject to the control and oversight of the Provost; and subject in all cases to the control and oversight of the President."

Office of the Executive Vice President for  
Administration and Chief Financial Officer  
352 MANSFIELD ROAD, UNIT 1122  
GULLEY HALL  
STORRS, CT 06269-1122  
PHONE 860.486.3455  
FAX 860.486.1070

## BACKGROUND:

Alumnus Gordon J. Flynn ('56) was an accomplished industrial engineer and research consultant who passed away on March 9, 2013, leaving a substantial gift to the University of Connecticut through his living trust document created in 2011. In Mr. Flynn's 2012 membership documents for the Charles Lewis Beach Society (recognizing donors supporting UConn through planned gifts such as wills and trusts), Mr. Flynn expressed his intention that the gift be used to support the School of Business. The total value of Mr. Flynn's gift is anticipated to be approximately \$1.2 million, the largest bequest in the School of Business's history.

The University recently received the first distribution from the trust in the amount of \$630,000. Because the trust directs the distribution to the "University of Connecticut, General Fund," and because there is little evidence that Mr. Flynn intended his gift be made to the UConn Foundation, the funds were delivered to the University and deposited into a University gift account.

It is anticipated that endowing these funds will honor Mr. Flynn's legacy and intentions with sustaining support for the School of Business. The remainder of Mr. Flynn's gift, anticipated at approximately \$570,000, will be deposited to the endowment's spending account to support the School of Business.

Endowment funds are funds with respect to which donors or other outside agencies have stipulated, as a condition of the gift instrument, that the principal is to be maintained inviolate and in perpetuity and invested for the purpose of producing present and future income which may be either expended or added to principal.


Here, there are no conditions from the donor restricting the use of principal. The donor's only restrictions pertain to his desire that the funds be used to support the School of Business. Therefore, the proposed resolution employs the term "quasi-endowment." Quasi-endowment funds (funds functioning as endowment) are funds which the governing board of an institution, rather than a donor or other outside agency, has determined are to be retained and invested. Since these funds are internally designated rather than externally restricted as to use of the principal, the governing board has the right to decide at any time to expend the principal.


## **ATTACHMENT 6**



October 26, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi   
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for CUP Equipment Replacement and Pumping Project  
(Design: \$1,300,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$1,300,000 for the CUP Equipment Replacement and Pumping Project for Design. The Administration recommends that the Board of Trustees adopt the resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$1,300,000 in UCONN 2000 GO bonds for the design of the CUP Equipment Replacement and Pumping Project."

BACKGROUND:

The University produces and distributes chilled water for cooling from the Central Utility Plant (CUP) and the Cogen plant consisting of two gas fired chillers, two electric centrifugal chillers, and four evaporative cooling towers located in the CUP, and four steam turbine chillers and nine evaporative cooling towers located in the Cogen facility. A study was commissioned to review reliability, production, and distribution of chilled water to ensure that existing and future needs could be met. The study revealed that the full capacity of the plant could not be effectively distributed to campus with the current piping configuration. Additionally, the study showed that immediate replacement of two of the four CUP chillers and the CUP cooling towers was also necessary as they have reached the end of their useful life.

This project is for the design and replacement of the two chillers, four cooling towers, and the piping modifications necessary to improve distribution. The project resolution is for design only at this time.

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Administration and Chief Financial Officer  
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FAX 860.486.1070

The CUP Equipment Replacement and Pumping Project is currently in the Planning Phase. Planning began in Summer 2016 and the project will bid in Spring 2017. Construction is anticipated to begin in Fall 2017 and to be complete in Spring 2019.

The Design Phase Budget is based on order of magnitude estimates of similar facilities.

The anticipated total project budget will be in the range of \$22,500,000 to \$30,000,000.

The Design Phase Budget is attached for your information.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:    DESIGN**

**PROJECT NAME:        CUP EQUIPMENT REPLACEMENT AND PUMPING PROJECT**

	<b>APPROVED PLANNING 6/27/2016</b>	<b>PROPOSED DESIGN 10/26/2016</b>
<b><u>BUDGETED EXPENDITURES</u></b>	<b><u>PRC</u></b>	
CONSTRUCTION	\$ -	\$ -
DESIGN SERVICES	48,000	921,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	68,000
OTHER AE SERVICES (including Project Management)	5,000	84,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	92,000
INSURANCE AND LEGAL	-	1,000
MISCELLANEOUS	-	4,000
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 53,000</b>	<b>\$ 1,170,000</b>
PROJECT CONTINGENCY	7,000	130,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 60,000</b>	<b>\$ 1,300,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 GO BONDS	\$ 60,000	\$ 1,300,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 60,000</b>	<b>\$ 1,300,000</b>

## **INFORMATIONAL ITEMS**



**University of Connecticut Department of Human Resources**  
**New Hires Processed from September 8, 2016 - September 21, 2016**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
BISSELL, KRISTIN F.	UC PROFESSIONAL 12 - SHS PHYSICIAN II	STUDENT HEALTH SERVICES	9/2/2016
BUITRAGO, NICHOLAS	RESEARCH ASSISTANT 1	PSYCHOLOGY	9/2/2016
DESTEFANO, JOHN J.	U STAFF PROF III	NURSING INSTR & RES	8/29/2016
DONNELLY, JENNIFER C.	RESEARCH ASSISTANT 1	SOCIAL WORK INSTR & RES	9/1/2016
HARRISON, STEVEN J.	ASSISTANT PROFESSOR	KINESIOLOGY	8/23/2016
KENNEDY, JARED P. JR	UC PROFESSIONAL 01 - FINANCIAL ASST I	SOCIAL WORK	9/12/2016
MORALES, CRYSTAL	ASST PROF IN RES	MOLECULAR & CELL BIOLOGY	8/23/2016
PENG, JUN	VISITING ASST PROF	MATHEMATICS	8/27/2016
REIDER, JASON G.	UC PROFESSIONAL 03 - GRAPHIC DES/ILL I	ATHLETICS MARKETING	9/2/2016
SOLENSKY, DENNIS G.	UC PROFESSIONAL 09 - PRKG TRANSP SVCS ADM	TRANS & PARK AUX	9/2/2016
SURAGANI, SAIL.	RESEARCH ASSISTANT 3	NURSING INSTR & RES	9/2/2016

**University of Connecticut Department of Human Resources**  
**Separations Processed from September 8, 2016 - September 21, 2016**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	SEPARATION REASON	DEPT	DATE
ABBOTT, KELSEY S.	UC PROFESSIONAL 05 - ASST ORIENT COORD	RESIGNATION (GOOD STANDING)	ADMISS	9/6/2016
KOPAC, SARAH M.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	MCB	9/15/2016
LAKENBACH, MATTHEW D.	UC PROFESSIONAL 04 - PROG ASST II	RESIGNATION (GOOD STANDING)	GLOBAL	9/7/2016
MANDANIS, PERRY N.	UC PROFESSIONAL 12 - SHS PSYCHIATRIST II	RESIGNATION (GOOD STANDING)	HEALTH	9/13/2016
PELLETIER, KYLE A.	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	RESIGNATION (GOOD STANDING)	RESEARCH	9/9/2016
SANKARANARAYANAN, JAYASHR	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	PHPRC	8/22/2016
SMITH, GREGORY J.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	9/3/2016
SMITH, JESSICA A.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	MCB	8/24/2016
SPATH, ROBIN	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	SWORK	8/22/2016
STOELZEL, CARL R.	RESEARCH ASSOCIATE 1	RESIGNATION (GOOD STANDING)	PSYCH	9/15/2016
STOWERS, DANIEL D.	UC PROFESSIONAL 01 - FINANCIAL ASST I	RESIGNATION (GOOD STANDING)	PSYCH	9/15/2016

## **Financial Affairs**

## AGENDA

### Meeting of the FINANCIAL AFFAIRS COMMITTEE

October 26, 2016 at 9:00 a.m.

University of Connecticut  
Rome Commons Ballroom  
Storrs, Connecticut

<u>ATTACHMENT COMMITTEE</u>	<u>LOCATION FULL BOARD</u>
---------------------------------	--------------------------------

- |  |   |
|--|---|
| 1) Approval of the Minutes of the Financial Affairs Committee Meeting of September 29, 2016, as circulated | A |
|--|---|

#### **ACTION ITEMS:**

- |  |   |
|--|---|
| 2) Contracts and Agreements for Approval   | 1 |
| 3) Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture (University of Connecticut General Obligation Bonds)   | 2 |
| 4) Revised Allocation of Bond Authorizations as set forth in the Twentieth Supplemental Indenture (University of Connecticut General Obligation Bonds)     | 3 |
| 5) Revised Allocation of Bond Authorizations as set forth in the Twenty-Second Supplemental Indenture (University of Connecticut General Obligation Bonds) | 4 |
| 6) Endowment of Funds Received from the Gordon J. Flynn Living Trust of 2011   | 5 |

#### **PROJECT BUDGETS FOR APPROVAL:**

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
7) CUP Equipment Replacement and Pumping Project	Design	\$1,300,000	6

#### **INFORMATION ITEMS:**

- |  |                        |
|--|------------------------|
| 8) Construction Project Status Report                  | (Under Separate Cover) |
| 9) Master Schedule for UCONN 2000 Phases I, II and III | (Under Separate Cover) |

#### **EXECUTIVE SESSION (As Needed)**

## **ATTACHMENT A**

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**September 29, 2016**

TRUSTEES PRESENT: Andy Bessette, Kevin Braghirol, Shari Cantor, Richard Carbray, Sanford Cloud, Marilda Gandara, Thomas Kruger, Adam Kuegler, Andrea Dennis-LaVigne, Rebecca Lobo (*via telephone*), Larry McHugh, and Denis Nayden (*via telephone*), Thomas Ritter

STAFF PRESENT: Andy Agwunobi, Carolle Andrews, Mun Choi, Laura Cruickshank, Nicole Gelston, Jeffrey Geoghegan, Michael Gilbert, Susan Herbst, Scott Jordan, George Karsanow, Tysen Kendig, Michael Kirk, Matt Larson, Wayne Locust, Michael Mundrane, Richard Orr, Sally Reis, Rachel Rubin, Jeffrey Seeman, and Katrina Spencer

UNIVERSITY SENATE MEMBERS PRESENT:  
Baki Cetegen, Eleni Coundouriotis, Douglas Hamilton, Debra Kendall, Shayla Nunnally and Angela Rola

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 10:23 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

On a motion by Trustee Cantor and seconded by Trustee Bessette the minutes of the meeting of August 10, 2016 and the Revised Minutes of the Financial Affairs Committee meeting in Executive Session of June 24, 2015 were approved as circulated.

Matthew Larson, Director of Procurement, presented highlights of agenda ***Item #2, Contracts and Agreements for Approval***. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Scott Jordan, Vice President for Administration and Chief Financial Officer, briefed the committee on agenda ***Item #3, Annual Report of the Endowed Chair Program Entitled "Aetna English Chair in Writing"***. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Mr. Jordan presented agenda ***Item #4, Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements and Renovation Lump Sum Expenditures***. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Laura Cruickshank, Associate Vice President for University Planning, Design and Construction, distributed and reviewed the ***"2016 Significant Construction Activity during Summer Break"***. Ms. Cruickshank then presented the project budgets for Storrs based programs

for approval: agenda ***Items 5-12, Arjona and Monteith – Monteith Renovations (Revised Final: \$24,000,0000); Department of Physical Therapy Relocation (Revised Final: \$565,000); Main Water Line Replacement Phase II (Revised Final: \$3,750,000); Residential Life Facilities – Next Generation Connecticut Hall (formerly named Science Technology Engineering and Math (STEM) Residence Hall) (Revised Final: \$100,500,000); Law School Campus Center (Design: \$655,000); Norwest Science Quad Infrastructure, Phase I (Planning: \$1,000,000); Southwest Campus Infrastructure Upgrade (Revised Planning: \$1,200,000); and Water Pollution Control Facility Sludge Processing Plant (Planning: \$1,500,000).*** On a motion by Trustee Cantor and seconded by Trustee Bessette the project budgets were recommended to the full board for approval as presented.

George Karsanow, UConn Health, Director of Construction Services, detailed UConn Health project budgets for approval: agenda ***Items 13-16, 195 Farmington Avenue; Parking Lot Pavement Removal and Replacement (Revised Final: \$635,000); H Building Sealant Replacement (Revised Final: \$545,000); Electronic Medical Records Project Team Space Fit-Out – University Tower 7<sup>th</sup> Floor (Revised Final: \$1,575,000); and Munson Road Roof Replacement (Revised Final: \$3,875,000).*** On a motion by Trustee Bessette and seconded by Trustee Cantor the UConn Health project budgets were recommended to the full Board for approval as presented.

Trustee Kruger directed the committee to the information items for their review. There being no additional agenda items the meeting was adjourned at 10:40 a.m. on a motion by Trustee Bessette and seconded by Trustee Cantor.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee