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2016 June 29 -- Agenda and attachments

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UConn

UNIVERSITY OF CONNECTICUT

Board of



TRUSTEES

VOL. 163 JUNE 29, 2016

REVISED

MEETING OF THE BOARD OF TRUSTEES UNIVERSITY OF CONNECTICUT

AGENDA

University of Connecticut
Lewis B. Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

June 29, 2016

BOARD OF TRUSTEES SCHEDULE

9:00 a.m. Financial Affairs Committee Meeting
9:45 a.m. Board of Trustees Meeting

BOARD MEETING AGENDA

Call to order at **9:45 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Board recognitions
 - (c) Minutes of the meeting of April 27, 2016
 - (d) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based Programs and UConn Health (Attachment 1)
 - (2) Sustainable Design & Construction Policy (Attachment 2)
 - (3) Promotion and Tenure Recommendations (Attachment 3)
 - (4) Tenure Relocation (Attachment 4)
 - (5) Sabbatical and Educational Leave Recommendations (Attachment 5)
 - (6) Appointment of Professor Brenda Brueggemann to the Aetna Chair in Writing in the College of Liberal Arts and Sciences (Attachment 6)
 - (7) Appointment of Professor Stephen L. Ross to the Philip E. Austin Endowed Chair in the College of Liberal Arts and Sciences (Attachment 7)
 - (8) Appointment of Professor Manisha Sinha to the James L. and Shirley A. Draper Chair in American History in the College of Liberal Arts and Sciences (Attachment 8)
 - (9) Certificate in the Social Determinants of Health and Disparities (Attachment 9)

3. President's Report
4. Academic Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Revocation of an Honorary Degree (Attachment 10)
 - (c) Informational Item:
 - (1) Centers and Institutes (Attachment 11)
5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Sale of the West Hartford Campus (Attachment 12)
 - (2) Proposed Statements of Work for the UConn Foundation and the UConn Law School Foundation for Fiscal Year 2017 (Attachment 13)
 - (3) Recommendation for Extension of Collective Bargaining Agreement with the University of Connecticut Chapter of the American Association of University Professors (AAUP) (Separate Cover)
 - (4) Recommendation for Extension of Collective Bargaining Agreement with the University of Connecticut Professional Employees Association (UCPEA) (Separate Cover)

Budget Items (Storrs-based and UConn Health):

 - (5) Operating Budget for Fiscal Year 2017 for the University of Connecticut, Storrs and Regional Campuses (Attachment 14)
 - (6) State Appropriation Request for the Biennium Fiscal Years 2018 and 2019 for the University of Connecticut, Storrs and Regional Campuses (Attachment 15)
 - (7) Spending Plan for Fiscal Year 2017 for UConn Health (Attachment 16)
 - (8) State Appropriation Current Services Request for the Biennium Fiscal Years 2018 and 2019 for UConn Health (Attachment 17)
 - (9) Fiscal Year 2017 Capital Budget (Attachment 18)
 - (10) Fiscal Year 2017 Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum Projects (Attachment 19)

Bond Allocations:

 - (11) Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 20)
 - (12) Revised Allocation of Bond Authorizations as set forth in the Twenty-First Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 21)
 - (13) Twenty-Second Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds (Attachment 22)

Project Budgets (Storrs-based):

- (14) Project Budget (Final) for Central Campus Infrastructure Upgrades (Attachment 23)
- (15) Project Budget (Revised Final) for Residential Life Facilities – Northwood Roof and Envelope Repair (Attachment 24)
- (16) Project Budget (Revised Final) for Student Union Steam and Condensate Service Replacement Repairs (Attachment 25)
- (17) Project Budget (Revised Final Budget) for UCONN 2000 Code Remediation – Mansfield Apartments (Attachment 26)
- (18) Project Budget (Revised Final) for Residential Life Facilities – West Campus Shower Renovations (Attachment 27)
- (19) Project Budget (Revised Final) for Academic and Research Facilities – Main Accumulation Area for Regulated Waste (Attachment 28)
- (20) Project Budget (Design) for South Campus Commons Landscape and Pedestrian Improvements Plan (Attachment 29)
- (21) Project Budget (Design) for Fine Arts Phase II: Music and Dramatic Arts Library Renovations Phases I and II (Attachment 30)
- (22) Project Budget (Revised Planning) for Academic and Research Facilities – STEM Research Center Science 1 (Attachment 31)

Project Budgets (UConn Health):

- (23) Project Budget (Final) for UConn Health H Building Sealant Replacement (Attachment 32)
- (24) Project Budget (Revised Final) for UConn Health New Boiler and Deaerator Tank Repairs (Attachment 33)

- 6. UConn Health Report
 - (a) Report on UConn Health activities
- 7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
- 8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
- 9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
- 10. Student Life Committee Report
 - (a) Report of Committee activities
- 11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
- 12. Committee on Compensation Report
 - (a) Report on Committee activities

13. Other business
14. Executive Session anticipated
15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

CONTRACTS AND AGREEMENTS
FOR APPROVAL
June 29, 2016

PROCUREMENT - NEW										
ATHLETICS TICKET SALES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	The Aspire Group Inc.	UC-17-KA041316-8	\$3,747,367	07/01/16-03/31/21	Auxiliary Services	David Benedict, Director of Athletics	Outbound ticket sales services for University athletic events, particularly football, men's basketball, women's basketball, and men's ice hockey. Vendor is paid on a commission basis. This is a result of a publicly advertised procurement. Two extensions of one year each available.			
FOOD CONTRACTS										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Sardilli Produce and Dairy Co., Inc.	UC-17-KA022916-8A	\$6,251,797	07/01/16-06/30/19	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	Fresh fruit and produce, primarily used by the Department of Dining Services for student meals, catering and other needs. The is the result of a publicly advertised procurement. Two extensions of one year each provided.			
2	Sysco Corporation	UC-17-KA022916-8B	\$3,149,083	07/01/16-06/30/19	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	Fresh fruit and produce, primarily used by the Department of Dining Services for student meals, catering and other needs. The is the result of a publicly advertised procurement. Two extensions of one year each provided.			
HVAC/PLUMBING										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	B&F Electric Motors, LLC	DS020116-1-1	\$1,000,000	07/01/16-06/30/19	Multiple Sources	Matthew Larson, Director of Procurement Services	HVAC and plumbing supplies for all University campuses, including UCH. The is the result of a publicly advertised procurement. One extension of three years provided.			
2	The Bell Pump Service Company	DS020116-1-2	\$1,000,000	07/01/16-06/30/19	Multiple Sources	Matthew Larson, Director of Procurement Services	HVAC and plumbing supplies for all University campuses, including UCH. The is the result of a publicly advertised procurement. One extension of three years provided.			
3	F.W. Webb Company	DS020116-1-3	\$1,000,000	07/01/16-06/30/19	Multiple Sources	Matthew Larson, Director of Procurement Services	HVAC and plumbing supplies for all University campuses, including UCH. The is the result of a publicly advertised procurement. One extension of three years provided.			
4	The Granite Group Wholesalers, LLC	DS020116-1-4	\$1,000,000	07/01/16-06/30/19	Multiple Sources	Matthew Larson, Director of Procurement Services	HVAC and plumbing supplies for all University campuses, including UCH. The is the result of a publicly advertised procurement. One extension of three years provided.			
5	Torrington Supply Company, Inc.	DS020116-1-5	\$1,000,000	07/01/16-06/30/19	Multiple Sources	Matthew Larson, Director of Procurement Services	HVAC and plumbing supplies for all University campuses, including UCH. The is the result of a publicly advertised procurement. One extension of three years provided.			
TRANSPORTATION SERVICES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	New Flyer of America Inc.	15DOT7002	\$4,350,000	04/28/16-04/27/21	Auxiliary Services	William Wendt, Director of Logistics Administration	Provide Transportation Services with ten new transit buses to replace current aging vehicles. Zero extensions provided.			
PROCUREMENT - AMENDMENTS										
AUDIO VISUAL EQUIPMENT, SUPPLIES AND SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Adtech Systems, LLC	13PSX0090	\$600,000 [Contract Value Previously \$669,449; Total New Contract Value \$1,269,449]	04/01/14-03/31/19	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$112,722	\$42,849	\$0	Audio/visual equipment and installation for all University campuses, including UCH. Amend to increase contract value by \$600,000, for total new contract value of \$1,269,449. Zero extensions remain.

CONTRACTS AND AGREEMENTS
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EDUCATION ABROAD PROGRAM										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Academic Centers Abroad	KA11151-8A	\$20,000 [Contract Value Previously \$1,746,413; Total New Contract Value \$1,766,413]	09/01/15-08/31/16	Auxiliary Services	Yuhang Rong, Ph.D. Assistant Vice Provost for Global Affairs	\$1,746,413	\$0	\$0	Tuition, accommodations, visa and other immigrations documentation, emergency phone line, and other services for the University's study abroad programs at the Institute for Fine and Liberal Arts at the Palazzo Rucellai (Italy) and at the Umbra Institute (Italy). The expenses associated with this contract are borne by the participating students, not by the University. Amend to increase contract value by \$20,000 for total new contract value of \$1,766,413. Zero extensions.
FIRE ALARM TESTING & INSPECTION										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	SimplexGrinnell, LP	UC-13-051612LM	\$477,000 [Contract Value Previously \$4,138,332; Total New Contract Value \$4,615,332]	07/01/12-06/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,579,507	\$730,928	\$960,066	Testing, inspection and preventative maintenance services for fire alarm systems and equipment at all University campuses, other than UCH. Amend to increase contract value by \$477,000, for total new contract value of \$4,615,332. Amend to extend term by one year, through 06/30/17. Zero extensions remain.
FURNITURE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Red Thread Spaces LLC	15PSX0041	\$2,000,000 [Contract Value Previously \$1,857,193; Total New Contract Value \$3,857,193]	07/02/15-06/30/20	Auxiliary Funds	Matthew Larson, Director of Procurement Services	\$913,569	\$0	\$0	System office furniture for all University campuses, including UCH. Amend to increase contract value by \$2,000,000, for a total new contract value of \$3,857,193. Zero extensions.
HAZARDOUS WASTE DISPOSAL										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Triumvirate Environmental Inc.	UC-14-CGP030513	\$1,102,000 [Contract Value Previously \$871,226; Total New Contract Value \$1,973,226]	08/01/13-07/31/19	Multiple Sources	Jeff Seemann, Ph.D., Vice President for Research	\$871,226	\$308,411	\$222,665	Disposal of hazardous waste (primarily lab waste) on all University campuses, including UCH. Amend to increase contract value by \$1,102,000, for a total new contract value of \$1,973,226. Amend to extend term for three years, through 7/31/19. Zero extensions remain.

**CONTRACTS AND AGREEMENTS
FOR APPROVAL
June 29, 2016**

IT HARDWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Atlantic Computing; Bat Blue Corporation; Carousel Industries; CDW; Dyntek; e-Plus Technologies; HB Communications; Impulse Point; Lighthouse Computer Services, Inc.; MTM Technologies, Inc.; OMNI Data, LLC; RESCO Inc.; The Ergonomic Group; Versatile Communications; VION	UC-11-B001651-G(1)	\$0 [Contract Value Previously \$6,950,000; Contract Value Remains the Same]	07/07/10 - 12/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$4,051,673	\$1,194,562	\$1,319,960	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to extend term for six months through 12/30/16. Zero extensions remain.
2	IGX Acquisitions LLC (d/b/a IGX Global)	UC-11-B001651-G(2)	\$0 [Contract Value Previously \$2,149,646; Contract Value Remains the Same]	07/07/10 - 12/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$1,290,765	\$485,024	\$486,672	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to extend term for six months through 12/30/16. Zero extensions remain.
3	Integration Partners Corp.	UC-11-B001651-G(5)	\$0 [Contract Value Previously \$1,528,724; Contract Value Remains the Same]	07/07/10 - 12/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$474,668	\$0	\$0	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to extend term by six months through 12/30/16. Zero extensions remain.
4	NWN Corporation	UC-11-B001651-G(4)	\$0 [Contract Value Previously \$7,412,348; Contract Value Remains the Same]	07/07/10 - 12/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$3,518,937	\$328,552	\$1,146,886	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to extend term for six months through 12/30/16. Zero extensions remain.
5	Mainline Information Sys Inc.	UC-11-B001651-G(3)	\$698,879 [Contract Value Previously \$4,440,288; Total New Contract Value \$5,139,167]	07/07/10 - 12/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$3,606,889	\$1,314,072	\$148,085	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to extend term for six months, through 12/30/16. Amend to increase contract value by \$698,879, for a total new contract value of \$5,139,167. Zero extensions remain.
IT SERVICES, ON CALL										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Fairfax Data Systems, Inc.	UC-14-PG111412-1	\$0 [Contract Value Previously \$2,000,000; Contract Value Remains the Same]	07/01/13-09/30/18	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$0	\$0	\$0	Consolidates a broad array of UITs needs under a single pool of vendors. Services procured from vendors on a project-by-project basis. Projects will range from strategic planning for new infrastructure roll outs, to providing training to UITs staff, to simple programming. Amend to extend term for 27 months, through 09/30/18. One extension of two years remains.
2	Infowave Systems, Inc.	UC-14-PG111412-2	\$0 [Contract Value Previously \$2,000,000; Contract Value Remains the Same]	07/01/13-09/30/18	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$0	\$0	\$0	Consolidates a broad array of UITs needs under a single pool of vendors. Services procured from vendors on a project-by-project basis. Projects will range from strategic planning for new infrastructure roll outs, to providing training to UITs staff, to simple programming. Amend to extend term for 27 months, through 09/30/18. One extension of two years remains.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
June 29, 2016

IT SERVICES, ON CALL (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
3	On-Line Systems, Inc.	UC-14-PG111412-3	\$0 [Contract Value Previously \$2,000,000; Contract Value Remains the Same]	07/01/13-08/31/18	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$66,350	\$0	\$0	Consolidates a broad array of UITs needs under a single pool of vendors. Services procured from vendors on a project-by-project basis. Projects will range from strategic planning for new infrastructure roll outs, to providing training to UITs staff, to simple programming. Amend to extend term for 26 months, through 08/31/18. One extension of two years remains.
4	Tri-Corn Consulting Group, LLC	UC-14-PG111412-4	\$0 [Contract Value Previously \$2,000,000; Contract Value Remains the Same]	07/01/13-08/31/18	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$33,280	\$0	\$0	Consolidates a broad array of UITs needs under a single pool of vendors. Services procured from vendors on a project-by-project basis. Projects will range from strategic planning for new infrastructure roll outs, to providing training to UITs staff, to simple programming. Amend to extend term for 26 months, through 08/31/18. One extension of two years remains.
IT SOFTWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Acad-Plus; Atlantic Computing; BMC; CDW-G; Cloudpath; DLT; En Pointe Technologies; Ergonomic Group; VeloSecure, LLC (d/b/a Identity Finder, LLC); IGX Global; Lighthouse Computer Services; Mainline Information Systems; MTM; NWN; Rapid 7; Revco; SAI; Software House International; Splunk; Velocity Software	UC-11-B001652	\$0 [Contract Value Previously \$6,950,000; Contract Value Remains the Same]	07/06/10 - 12/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$3,056,514	\$392,471	\$337,596	Operating system, network, and security software and services for all University campuses, including UCH. Amend to extend term for six months, through 12/30/16. Zero extensions remain.
ON-CALL TRADE SERVICES-HVAC										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Air Temp Mechanical Services	OC.HVAC2014-800-1	\$500,000 [Contract Value Previously \$1,500,000.00; Total New Contract Value \$2,000,000]	05/1/2014-4/30/2017	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,048,174	\$489,916	\$0	On-call HVAC services for all University campuses, including UCH. Maximum of \$500,000 per project. Amend to increase contract value by \$500,000, for a total new contract value of \$2,000,000. Options to extend for two terms of one year each.
2	All State Construction	OC.HVAC2014-800-2	\$700,000 [Contract Value Previously \$800,000.00; Total New Contract Value \$1,500,000]	05/1/2014-4/30/2017	Multiple Sources	Matthew Larson, Director of Procurement Services	\$328,275	\$156,060	\$0	On-call HVAC services for all University campuses, including UCH. Maximum of \$500,000 per project. Amend to increase contract value by \$700,000, for a total new contract value of \$1,500,000. Options to extend for two terms of one year each.
3	Pro-Mech, Inc.	OC.HVAC2014-800-4	\$700,000 [Contract Value Previously \$800,000.00; Total New Contract Value \$1,500,000]	05/1/2014-4/30/2017	Multiple Sources	Matthew Larson, Director of Procurement Services	\$544,610	\$0	\$0	On-call HVAC services for all University campuses, including UCH. Maximum of \$500,000 per project. Amend to increase contract value by \$700,000, for a total new contract value of \$1,500,000. Options to extend for two terms of one year each.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
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SECURITY EQUIPMENT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Security Technologies Inc.	LM1111613-1	\$829,316 [Contract Value Previously \$990,000; Total New Contract Value \$1,819,316]	04/25/13-01/31/18	Multiple Sources	Matthew Larson, Director of Procurement Services	\$819,316	\$183,788	\$121,802	Complete security upgrade projects, including surveillance upgrades at the Avery Point and Stamford campuses. Amend to increase contract value by \$829,316 for total new contract value of \$1,819,316. Zero extensions remain.
TRADE LABOR										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Sarazin General Contractors, Inc.	071111MS	\$200,000 [Contract Value Previously \$2,885,012; Total New Contract Value \$3,085,012]	11/11/2011 - 11/10/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,583,137	\$495,453	\$758,748	Trade labor for renovation projects on all University campuses, including UCH. Contract used primarily by the University's Department of Academic Renovations. Amend to increase contract value \$200,000 for total new contract value of \$3,085,012. Zero extensions remain.
NON-PROCUREMENT- NEW										
LIBRARY- SCIENTIFIC JOURNALS										
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose			
1	EBSCO Information Services	UC-17-JL020316	\$7,720,000	07/01/16-06/30/19	Multiple Sources	Martha Bedard, Vice Provost for University Libraries	Provide an agent to purchase library serials and subscriptions for all University campuses, including UCH. Journals include packages held by Wiley Interscience Core Collection, Taylor & Francis, and Springer. This relationship may be effectuated through one or more contracts or amendments. This is the result of a publicly advertised RFP. Options to extend for two periods of one year each.			
NON-PROCUREMENT- AMENDMENTS										
LIBRARY- SCIENTIFIC JOURNALS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Expenditures as of 04/30/16	Expenditures FY 15	Expenditures FY 14	Purpose
1	Elsevier BV	UC-14-CON070112	\$4,167,332 [Contract Value Previously \$7,720,555; Total New Contract Value \$11,887,887]	01/01/14-12/31/18	Multiple Sources	Martha Bedard, Vice Provost for University Libraries	\$4,161,060	\$2,287,118	\$1,989,995	Science Direct Journal Package access for all University campuses, including UCH. Package contains over 1,400 core science journals considered essential to support research initiatives. This is a library media acquisition that will be effected under Section 10a-151a of the Connecticut General Statutes. Amend to increase contract value by \$4,167,332, for a new total contract value of \$11,887,887. Amend to extend term for two years, through 12/31/18.
2	NorthEast Research Libraries Consortia (a/k/a NERL/Center for Research Libraries/CRL, f/k/a Yale)	UC-13-CON070112(B)	\$1,041,600 [Contract Value Previously \$2,730,000; Total New Contract Value \$3,771,600]	0701/13-06/30/17	Multiple Sources	Martha Bedard, Vice Provost for University Libraries	\$2,741,001	\$831,567	\$997,161	Access to academic journals for all University campuses, including UCH. Journals include packages held by Nature Publishing Group and LexisNexis. This is a library media acquisition that will be effected under Section 10a-151a of the Connecticut General Statutes. Amend to increase contract value by \$1,041,600 for a total new contract value of \$3,771,600. Amend to extend term for one year, through 6/30/17.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
June 29, 2016


LEASES FOR APPROVAL

UNIVERSITY AS LESSOR:						
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Sodexo Inc	\$24,000	10/01/16-09/30/21	Revenue Generating	Kevin Larsen Assistant Vice President, Clinical Operations Administration	980 sf of retail space for Starbucks café in the University Tower. Note: Sodexo is responsible for the cost of the build-out. UConn Health will pay for utilities.
UNIVERSITY AS LESSEE:						
No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Connecticut Center for Advanced Technology, Inc.	\$126,000	07/01/07-07/31/17	Operating Fund - General	John Elliott - Dean, School of Business	Second Amendment to the Sublease at 222 Pitkin Street in East Hartford for the School of Business. As part of its restructuring in the Greater Hartford Area and preparation for the new campus location, this Second Amendment adds three offices, totalling 533 square feet, to the existing leased premises of 4,158 square feet and increases the annual rental amount by \$22,500. All other terms and conditions of the Sublease remain unchanged.
2	11 South Road LLC	\$112,460	04/01/17-03/31/20	Operating Funds-Clinical	Linda Manzelli Director, Calhoun Cardiology Center	Renewal of existing lease of 4,015 sf of space located at 11 South Road, Farmington, utilized by the Cardiology Department. Term includes initial 1-year term plus four 1-year renewal options.
3	Connecticut Children's Medical Center	\$84,810	07/01/16-06/30/17	Operating Funds-Clinical	John Brigada Director, Dean's Office Dental	Lease renewal for the School of Dental Medicine for 3,000 sf of dental and office space at CCMC.
4	Eagleville Partners LLC	\$19,200	07/01/16-06/30/17	Operating Funds	Suzanne Rose Senior Associate Dean, Academic Affairs & Education	Lease of residential property located at 66 Indian Spring Road, Woodstock, to house up to three 3rd-year medical students while they are completing their 6-week clinical rotations in the towns of Putnam and Thompson, Connecticut.

ATTACHMENT 2

June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Sustainable Design & Construction Policy

RECOMMENDATION:

That the Board of Trustees approve a revision to the Sustainable Design & Construction Policy in order to raise UConn's minimum green building standard from LEED Silver to LEED Gold certified.

In 2007, UConn was among the first public universities in the nation to set LEED Silver certification as a minimum standard for all new construction and major renovations. That was four years before the State of Connecticut adopted similar requirements for all state agencies, through its High Performance Building regulations.

UConn's early adoption of this Policy was an important factor in 2012, when the Sierra Club ranked UConn #1 among the nation's greenest colleges and universities. However, in recent years, LEED Gold has become the standard for many of UConn's peers, such as Georgia Tech, Colorado State, the Universities of Illinois, Florida, California and Colorado-Boulder, plus Yale, Harvard and American University.

UConn is committed to continuing its leadership role as a green campus and to being environmentally responsible. This policy change supports those goals.

BACKGROUND:

In March 2007, the Board of Trustees approved a Sustainable Design & Construction Policy (Policy), which established Leadership in Energy & Environmental Design (LEED) Silver certification as the minimum performance standard for any new construction or major renovation project exceeding \$5 million in total cost.

Pursuant to this Policy, UConn has registered or certified 34 LEED projects¹ totaling more than 3.3 million square feet. The University's LEED certified green buildings have realized measurable energy and water savings, with lower operating and maintenance costs, and increased productivity. Among these 34 green building projects, four exceeded the requirements of the Policy and were LEED Gold certified (Laurel Hall, Oak Hall and McMahon Dining Hall in Storrs, and UCHC's Research Tower at 400 Farmington Avenue). Two registered projects are currently in the design phase with a goal of LEED Gold certification (Student Recreation Center and Gant Building Renovations – STEM). The remainder have either achieved or are pending LEED Silver certification.

Last year, the Board approved the Sustainability Framework to UConn's 2015 Master Plan, which, among other things, recommends LEED Gold as the new performance standard for project design and construction. According to the Framework, a LEED Gold standard will be a significant means for attaining several UConn environmental commitments and goals, such as progress toward a carbon-neutral main campus by 2050 and Net Zero Growth in Energy and Water usage.

The attachment makes this recommended change from LEED Silver to Gold. Also, the revised Policy would retain the exemption language, whereby the Board may approve a waiver request when the cost of LEED Gold certification for a specific project would significantly outweigh the benefits. At its December 2015 meeting, the Board approved the first and only such waiver request for any applicable project in the nine years since the existing Policy was adopted.

Upgrading the Sustainable Design & Construction Policy from LEED Silver to Gold would ensure responsible growth, energy and water efficiency, waste reduction and resource conservation through the Next Gen CT capital improvement program. It would also restore UConn's position among higher education's green building leaders, help to achieve our carbon reduction commitments, and implement a baseline goal of the Sustainability Framework to UConn's 2015 Master Plan.

Attachment

¹ Early in the design phase, UConn registers LEED projects with the US Green Building Council (USGBC). When construction is completed, we submit documentation to the USGBC for review, verification and final LEED certification, which often occurs after the building has been operating for a year or more.

Sustainable Design & Construction Policy

The University of Connecticut shall plan, design, construct, renovate and maintain sustainable, energy- and water-efficient buildings that:

- Yield cost savings through lowered lifetime operating costs,
- Provide enhanced learning atmospheres for students and healthier environments for all building occupants and visitors, and
- Realize the University's commitment to responsible growth and environmental stewardship.

Accordingly, for any building construction or renovation project entering the pre-design planning phase, and whenever the estimated total project cost exceeds \$5 million, excluding the cost of equipment other than building systems, the University shall establish the Leadership in Energy & Environmental Design (LEED) ~~Silver~~ **Gold** rating as a minimum performance requirement.

The University shall comply with all applicable LEED protocols, including registering the project with the US Green Building Council at the beginning of the design phase and applying for LEED certification at project completion. Provided, however, if the administrative costs of LEED certification, including project registration fees, and documentation and monitoring costs, incurred exclusively for the purpose of achieving certification, are reasonably expected to exceed \$50,000 for any project, the University shall inform the University's Board of Trustees (acting through its Building, Grounds & Environment Committee) and seek approval to pursue certification.

The University may exempt a project from the minimum performance requirements of this policy only with the approval of the University's Board of Trustees (acting through its Building, Grounds & Environment Committee). To attain such exemption, and in addition to complying with procedures specified for a similar exemption pursuant to any applicable state law or regulation, the University shall prepare a written analysis substantiating that the costs of achieving LEED certification would significantly outweigh the benefits.

ATTACHMENT 3

**TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT
PRESENTED TO THE BOARD OF TRUSTEES — June 29, 2016**

Effective Immediately:

TENURE AS ASSOCIATE PROFESSOR

Kenneth Lachlan	Communication	College of Liberal Arts and Sciences
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Effective August 23, 2016

TENURE AS PROFESSOR

Brenda Brueggemann	English	College of Liberal Arts & Sciences
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Manisha Sinha	History	College of Liberal Arts & Sciences
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Eva Lefkowitz	Human Development & Family Studies	College of Liberal Arts & Sciences
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Fabrice Baudoin	Mathematics	College of Liberal Arts & Sciences
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Guozhen Lu	Mathematics	College of Liberal Arts & Sciences
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Ambar Sengupta	Mathematics	College of Liberal Arts & Sciences
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PROMOTION TO ASSISTANT PROFESSOR-IN-RESIDENCE

Brian Hentz	School of Business
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ATTACHMENT 4

June 29, 2016

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Approval of Tenure Relocation of Dr. Noel Card from the Department of Educational Psychology in the Neag School of Education to the Department of Human Development and Family Studies in the College of Liberal Arts and Sciences

RECOMMENDATION:

That the Board of Trustees approve the tenure relocation of Dr. Noel Card from the Department of Educational Psychology in the Neag School of Education to the Department of Human Development and Family Studies in the College of Liberal Arts and Sciences.

BACKGROUND:

Dr. Noel Card currently holds tenure as a Full Professor in the Department of Educational Psychology in the Neag School of Education. The relocation of Dr. Card's tenure will promote research synergies and broad collaborations in the Department of Human Development and Family Studies.

Dr. Card's tenure relocation is supported by the Dean of the Neag School of Education and the Dean of the College of Liberal Arts and Sciences.

ATTACHMENT 5

University of Connecticut Office of the Provost
Sabbatical and Educational Leave Recommendations Requiring Board of Trustees Approval
June 29, 2016 Board of Trustees Meeting

SABBATICAL MODIFICATIONS/POSTPONEMENTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Atkinson-Palombo, Carol	Associate Professor	Geography	Liberal Arts and Sciences	Full	Fall 2016
				Full	Spring 2017
Duffy, Valerie B.	Professor	Allied Health Sciences	Agriculture, Health and Natural Resources	Full	Fall 2016
				Full	Fall 2017
Marsh, Kerry	Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Fall 2016
				Half	AY 16- 17

SABBATICAL LEAVE REQUESTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Breen, Margaret	Professor	English	Liberal Arts and Sciences	Full	Spring 2017
Colbert, Robert D.	Associate Professor	Educational Psychology	Education	Full	Spring 2017
English, Gary M.	BOT Dist. Prof.	Dramatic Arts	Fine Arts	Full	Spring 2017
Langlois, Richard	Professor	Economics	Liberal Arts and Sciences	Full	Spring 2017
Makowsky, Veronica	Professor	English	Liberal Arts and Sciences	Full	Spring 2017
Myers, Emily	Assistant Professor	Speech, Language, and Hearing Sciences	Liberal Arts and Sciences	Full	Spring 2017
Pattipati, Krishna R.	BOT Dist. Prof.	Electrical and Computer Engineering	Engineering	Full	Spring 2017
Sánchez González, Lisa	Professor	English	Liberal Arts and Sciences	Full	Spring 2017

Segerson, Kathleen	BOT Dist. Prof.	Economics	Liberal Arts and Sciences	Full	Spring 2017
Wang, Guiling	Professor	Civil and Environmnetal Engineering	Engineering	Full	Spring 2017


UCPEA EDUCATIONAL LEAVE REQUESTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>UNIT</u>	<u>PAY</u>	<u>PERIOD</u>
Gyambibi, Kwasi	Coordinator/Counselor	Center for Academic Programs	Institute for Student Success	Full	8/8/16 - 2/6/17

ATTACHMENT 6



June 29, 2016

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost 
RE: Appointment of Professor Brenda Brueggemann to the Aetna Chair of Writing in the College of Liberal Arts and Sciences

RECOMMENDATION:

That the Board of Trustees approve the appointment of Professor Brenda Brueggemann to the Aetna Chair of Writing in the College of Liberal Arts and Sciences.

BACKGROUND:

Established in 1986, the Aetna Chair of Writing works in concert with campus writing directors and oversees the Aetna Chair endowment, which funds the Connecticut Writing Project, visiting writers, writing awards and other initiatives. This endowed position allows for major contributions to writing, both academic and creative, writing research, conferences, and the teaching of writing at all levels.

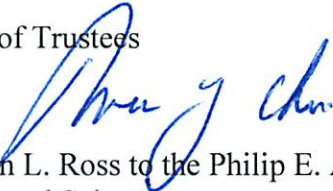
Professor Lynn Bloom was honored to be the inaugural chair-holder, beginning in 1988 and continuing until her retirement on May 31, 2015. The Department of English and the College launched a search for a scholar with an international reputation in Rhetoric, Composition, Creative Nonfiction, Technical Communication and/or Writing Studies to exercise intellectual leadership on matters related to writing and the teaching of writing. Professor Brueggemann was hired as a result of this search, and she will hold this Chair for an initial term of five years, from the start of her appointment on August 23, 2016 to August 22, 2021.

Professor Brueggemann received her Ph.D. from the University of Louisville and was a member of the faculty at The Ohio State University for over twenty years before returning to the University of Louisville in 2013 as a Professor of English and the Director of Composition. In addition to her research on rhetoric and creative non-fiction, Professor Brueggemann has focused on Disability and Deaf Studies. She has single authored two books, co-authored a textbook on composition, and she has published over 60 articles and essays.

ATTACHMENT 7



June 29, 2016

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost 
RE: Appointment of Stephen L. Ross to the Philip E. Austin Endowed Chair in the College of Liberal Arts and Sciences

RECOMMENDATION:

That the Board of Trustees approve the appointment of Stephen L. Ross to the Philip E. Austin Endowed Chair in the College of Liberal Arts and Sciences.

BACKGROUND:

Stephen L. Ross has been a Professor of Economics in the College of Liberal Arts and Sciences at UConn since 1994. He is an affiliated faculty member of the Urban and Community Studies Program, the Department of Public Policy, and the Center for Real Estate and Urban Economic Studies. The main emphasis of his scholarship is Urban Economics, and he has made substantial contributions in a variety of fields related to discrimination in housing, education, and in lending markets. He has collaborated with sociologists, planners, educators, and government agencies to make a transformative impact on the policies and practices in these fields.

The broad goals of the Philip E. Austin Endowed Chair are to recognize former President Austin's interests in and contributions to the social sciences and to education. The Chair holder must have a distinguished record in policy-relevant research, in areas such as education, health, housing, or environmental protection. As such, the Chair is open to faculty from the College of Liberal Arts and Sciences or from the Neag School of Education. The Chair is selected by a faculty committee with representation from both the College of Liberal Arts and Sciences and the Neag School of Education.

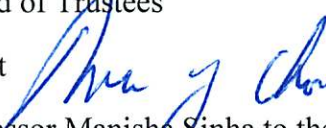
Kathleen Segerson, Department of Economics, was the inaugural holder of this Chair. Preston A. Britner, Department of Human Development and Family Studies, was the second. Professor Britner's term ends on August 22, 2016, and Professor Ross's term will begin August 23, 2016, ending on August 22, 2021.

ATTACHMENT 8



June 29, 2016

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Appointment of Professor Manisha Sinha to the James L. and Shirley A. Draper Chair in American History in the College of Liberal Arts and Sciences

RECOMMENDATION:

That the Board of Trustees approve the appointment of Professor Manisha Sinha to the James L. and Shirley A. Draper Chair in American History in the College of Liberal Arts and Sciences.

BACKGROUND:

The James L. and Shirley A. Draper Chair in American History enriches the knowledge, understanding, and teaching of American history at UConn.

The James L. and Shirley A. Draper Chair in American History endowment allows our faculty and students to take a wide-ranging look at the diverse ways in which the study of American history and culture, past and present, has shaped how we live as citizens of the United States and of a transnational world.

Professor Robert Gross was honored to be the inaugural chair-holder beginning at the time of his hire in 2003 and continuing until his retirement on January 31, 2015. The Department of History and the College launched a search for a scholar with an international reputation to exercise intellectual leadership on matters related to the research and scholarship of American history. Professor Sinha was hired as a result of this search, and she will hold this Chair for an initial term of five years, from the start of her appointment on August 23, 2016 to August 22, 2021.

Professor Manisha Sinha earned her Ph.D. from Columbia University, and she has served on the faculty at the University of Massachusetts, Amherst since 1994. Professor Sinha specializes in eighteenth- and nineteenth-century United States history, specifically the transnational histories of slavery and abolition, the coming of the Civil War, and the Civil War and Reconstruction. She also specializes in political history, Southern history, and African American history.

ATTACHMENT 9

June 29, 2016

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Certificate in the Social Determinants of Health and Disparities

RECOMMENDATION:

That the Board of Trustees approve the Certificate in the Social Determinants of Health and Disparities.

BACKGROUND:

The Certificate in the Social Determinants of Health and Disparities (CSDH&D) is a 4-course, 12-credit initiative to be earned by every UConn medical and dental student as they matriculate toward their MD or DMD degrees. The CSDH&D is consistent with the missions of the UConn School of Medicine and UConn Master of Public Health programs and is responsive to the Institute of Medicine call for "intersectorial effort." It will complement the medical and dental curricula, providing every student with basic knowledge of the distribution and determinants of health and health care services among populations, with emphasis on the origins and impact of social inequality and inequity on the health status of communities and subgroups within.

With growing appreciation for the extent to which health, well-being, illness and disability are socially determined, the training of medical and dental students will require greater attention to the mechanisms by which societal forces affect health risks and disease control opportunities. As such, the Institute of Medicine has called for "a new generation of intersectorial partnerships that draw on the perspectives and resources of diverse communities and actively engage them in health action." The urgency to prepare physicians and dentists as "interprofessional practitioners" is evident and is a core element of UConn's medical, dental and public health education programs.

The CSDH&D will enhance the credentials and competitiveness of every medical and dental student by affording them a unique opportunity to combine training in personal and population medicine. By extension, implementing this certificate will substantially enhance our University's ability to recruit students of the very highest caliber.

Providing the CSDH&D to all UConn medical and dental students is a unique initiative that will distinguish us from our peers. The CSDH&D will increase student exposures to concepts and examples of interprofessional practice that increasingly characterize how clinical medicine and dentistry will be practiced. It also will enhance their capacity to address the individual health of patients through application of population-health precepts and employ an evidence-basis for public policy formation and advocacy. As a result, our graduates will be better prepared to secure competitive residencies and more capable of achieving meaningful benefit to patients and communities they serve.

Request for New UConn Certificate Program

Program information

Certificate program:	Social Determinants of Health and Disparities
Sponsoring department:	Community Medicine and Health Care
Department Head:	Thomas Babor, PhD, MPH
Sponsoring School:	School of Medicine
Director of certificate program:	David I. Gregorio, PhD, MS (Professor of Community Medicine & Health Care, UConn School of Medicine)
Type of certificate:	Graduate, On Campus
CIP Code:	51.2207
Anticipated start date:	August 2016
Anticipated date of first graduation:	May 2020
Projected annual enrollments:	100-150 UConn Medical & Dental students

Program outline and description of program learning outcomes

The Certificate in the Social Determinants of Health and Disparities (CSDH&D) is a 4-course, 12-credit initiative to be earned by every UConn medical and dental student as they matriculate toward their MD or DMD degrees. The CSDH&D is consistent with the missions of the UConn School of Medicine and UConn Master of Public Health programs and is responsive to the Institute of Medicine (IOM) call for “intersectorial effort.” It will complement the medical/dental curriculum, providing every student with basic knowledge of the distribution and determinants of health and health care services among populations, with emphasis on the origins and impact of social inequality/inequity on the health status of communities and subgroups within.

Increasingly, practicing physicians and dentists confront clinical circumstances that result from, and are exacerbated by, perpetuate social, economic and cultural disparities at play in their communities. Health concerns ranging from obesity and addictions to injury, birth outcomes, asthma, cancer, psychosis and a range of other non-communicable and communicable conditions differ in extent and intensity across populations. Likewise, access to, delivery of and impact from essential health services varies by locale. Eliminating social inequities (imbalance of power, wealth and related resources that contribute to discrimination and exclusion of some groups by others) and health disparities (i.e., health differences that are closely linked with social, economic, and/or environmental disadvantage), while achieving health equity (i.e., the highest level of health for all people) and for Americans remain national¹ and global² priorities.

¹ U.S. Department of Health and Human Services, Office of Disease Prevention and Health Promotion, *Healthy People 2020*, Washington, DC, January 25, 2016, at: <http://www.healthypeople.gov>.

² Lancet Commission on Investing in Health, *Global Health 2035: A world converging within a generation*, January 25, 2016, at: <http://www.globalhealth2035.org>.

Medical and dental care historically has focused on personal dimensions of genes, physiology and pathologic states. Clinical care on its own, however, offers necessary but likely insufficient capacity to understand why specific populations are healthier/sicker than others or how those differences affect their relative capacities to achieve better health. Concurrently, policy/programmatic efforts to improve the health of entire populations that lack necessary understanding of genetic and physiological mechanisms of disease often fail to achieve meaningful reduction of health burdens or inequalities across population groups.

With growing appreciation for the extent to which health, well-being, illness and disability are socially determined, the training of medical and dental students will require greater attention to the mechanisms by which societal forces affect health risks and disease control opportunities.³ As such, the Institute of Medicine (IOM) has called for “a new generation of intersectorial partnerships that draw on the perspectives and resources of diverse communities and actively engage them in health action.”⁴ The urgency to prepare physicians and dentists as “interprofessional practitioners”⁵ is evident and is a core element of UConn’s medical, dental and public health education programs.

The CSDH&D will enhance the credentials and competitiveness of every medical and dental student by affording them a unique opportunity to combine training in personal and population medicine. By extension, implementing this certificate will substantially enhance our University’s ability to recruit students of the very highest caliber.

Providing the CSDH&D to all UConn medical and dental students is a unique initiative that will distinguish these schools from their peers. The CSDH&D will increase student exposures to concepts and examples of interprofessional practice that increasingly characterize how clinical medicine/dentistry will be practiced. It also will enhance their capacity to address the individual health of patients through application of population-health precepts and employ an evidence-basis for public policy formation and advocacy. As a result, our graduates will be better prepared to secure competitive residencies and more capable of achieving meaningful benefit to patients and communities they serve.

We believe the CSDH&D will be favorably received by incoming students. Since their founding, the Schools of Medicine and Dental Medicine have included elements of population-based science within its Years 1 & 2 curriculum. The MD/MPH and DMD/MPH options have been available since 1990 and 2006, respectively, and have

³ National Academies of Sciences, Engineering, and Medicine. 2016. A framework for educating health professionals to address the social determinants of health. Washington, DC: The National Academies Press. doi: 10.17226/21923.

⁴ Institute of Medicine, *The Future of the Public’s Health in the 21st Century*, Washington, DC: The National Academies Press, 2002.

⁵ Interprofessional Education Collaborative Expert Panel, *Core Competencies for Interprofessional Collaborative Practice: Report of an expert panel*. Washington, D.C.: Interprofessional Education Collaborative, 2011.

been awarded to roughly 100 students. There are 19 current students pursuing the MD/MPH. The Public Health Program also offers two existing Certificates (Foundations of Public Health & Interdisciplinary Disability Studies), which students may earn by enrolling in evening courses. If the new CSDH&D Certificate is approved medical and dental students could still pursue the Certificate in Interdisciplinary Disability Studies separately. We anticipate that the demand for the Foundation Certificate among medical and dental students would decline as a consequence of the certificate proposed here. At this point, most students pursuing the Foundations Certificate are not medical or dental students, so the overall impact on that program would not be large. Medical or dental students who desire to complete the certificate in the Foundations of Public Health could do so with separate approval. These students would be required to complete intermediate/advanced courses in epidemiology and biostatistics as part of the Certificate in Foundations of Public Health. Providing the CSDH&D to all students may further increase the numbers of individuals who go on to obtain the dual MD or DMD/MPH degree.

The mission of the UConn School of Medicine is “education at the undergraduate, graduate, and professional levels for practitioners, teachers, and researchers, conducted in an environment of exemplary patient care, research, and public service”. This mission is embodied in the following goals:

- to provide educational opportunities for Connecticut residents pursuing careers in the patient care professions, education, public health, biomedical and/or behavioral sciences;
- to advance knowledge through basic, biomedical, clinical, behavioral, and social research;
- to develop, demonstrate, and deliver health care services based on effectiveness, efficiency, and the application of the latest advances in clinical and health care research; and
- to help health care professionals maintain their competence through continuing education programs.

The mission of the UConn Master of Public Health program is “to offer an exemplary academic environment that prepares interprofessional learners to achieve high standards of public health practice”. This mission is embodied in the following goals:

- to recruit and prepare student who will engage collaboratively in pursuit of system-level public health solutions to community health concerns;
- to prepare competent public health practitioners who know and demonstrate interprofessional understanding of public health, medicine, dental medicine, nursing, pharmacy, law, social work and public administration;
- to be recognized as the leading educational institution that prepares leaders in the interprofessional practice of public health;
- to enable students, staff, faculty and community-based partners to contribute competent, collaborative effort toward assuring healthful, satisfying lives of Connecticut residents and beyond; and

- to uncover determinants of health and well-being and disseminate that information in support of evidence-based health promotion/disease prevention practices for populations.

-

Describe the educational prerequisites (and professional prerequisites, if appropriate) required of students for admission to this program.

All UConn medical and dental students will undertake the CSDH&D concurrently with their enrollment in their respective schools. There are no additional academic prerequisites.

The basic course content related to this certificate (i.e., 4 graduate courses described elsewhere in this proposal) will be delivered over the first two years of the medical/dental curriculum. However, students will have until the end of year 3 to complete all the requirements for the Certificate. The three-year timeframe complies with the usual practices for Certificates within The Graduate School. Upon successful completion of certificate program requirements, The Graduate School will confer the certificate so that the credential will be 'in hand' should students make applications for away rotations and/or residency placements during the third and fourth years of school.

Describe similar programs nationally, regionally, or in CT.

There is no equivalent of a fully integrated MD-DMD/Graduate Certificate currently offered within a U.S. medical or dental school. Many other universities around the country with schools/programs in public health (including UConn) offer voluntary certificate options that can be completed by medical or dental students.

Describe the program learning outcomes (upon successful completion of the program, students will be able to...)

The CSDH&D consists of 4-courses, 12-credits of study offered through UConn's Master of Public Health Program. Goals, objectives, and competencies pertaining to these courses are equivalent whether individuals complete them as part of their MPH or the CSDH&D. However, the scheduling of content will differ for MPH and medical/dental students. The MPH program is offered evenings (i.e., 5:30 – 9:00 pm), whereas MD and DMD curriculum is primarily daytime (i.e., 8:00 am – 5:00 pm). Furthermore, we will embed topics, assignments, expectations and evaluations pertinent to the CSDH&D across the relevant portion of the medical and dental school curricula as part of the changes already underway in the design of the new MDelta curriculum for the medical school (i.e., within CORE, VITALS and IPE), in order to emphasize the relevance and complementarity of this curriculum with their clinical and biological science education.

The CSDH&D will provide medical and dental students with conceptual understanding and practical skills needed to overcome conditions and barriers that perpetuate social inequity and foster health disparities. The 4 courses comprising the CSDH&D are PUBH 5408 (Introduction to Epidemiology & Biostatistics I), PUBH 5409 (Introduction to

Epidemiology and Biostatistics II), PUBH 5201 (Essentials of Social Inequality and Health Disparities) and PUBH 5202 (Eliminating Social Inequality and Health Disparities).

The goals for students who complete the CSDH&D are:

- to recognize the importance of social equity to the well-being and good health of patients and their communities;
- to describe essential social, economic and cultural factors as root causes of health disparities in society;
- to identify critical mechanisms and pathways for reducing/eliminating health disparities in their community;
- to apply the quantitative tools required to describe the distribution of disease and health within subgroups of the population;
- to assess whether risk factors and subgroup characteristics are associated with disease and/or are causative agents of disease;
- to evaluate whether interventions are effective in modifying both risk of disease and response to treatment among selected subgroups; and
- to apply the science of public health in promoting community-based efforts to develop, implement and evaluate solutions to address health equity.

Course content and pedagogies: The learning objectives would be the same for the Certificate as it is delivered in the medical/dental curriculum and in the MPH program. In the MPH program the content of the two Biostatistics and Epidemiology courses is currently delivered in a lecture, exercise, discussion format. In the MPH program the two new courses could be delivered in a lecture, discussion, exercise format or using team-based learning (TBL), depending on the instructor. In the medicine/dental medicine curriculum the content will be delivered in a modified TBL format that will include both in-class and out-of-class assignments.

Assessment of students in both curricular programs will be based on the same balance of activities and the material covered. The one difference will be that didactic exams in the SOM curriculum will be integrated to assess learning objectives across all medical and graduate school courses and will occur after each ten-week block rather than after each semester. The assessment questions associated specifically with the four public health courses will be extracted electronically from the integrated exam and graded separately to produce formative grades for each student for each course after each ten-week block. A final grade will be determined upon completion of all program requirements.

Awarding students the CSDH&D in addition to their MD or DMD degree will recognize the enhanced effort and time within the curriculum devoted to mastering these objectives. While the objectives and learning associated with the new CSDH&D will be integrated into the new curriculum, the majority of the material within the Certificate is not mandated by the medical school curriculum and goes substantially beyond levels previously available at this or other medical schools. As such, the curriculum merits separate recognition upon completion (i.e., a graduate certificate).

PUBH 5408 (Introduction to Epidemiology & Biostatistics I) and **PUBH 5409 (Introduction to Epidemiology & Biostatistics II)** provide integrated study of these topics. These courses are required of all students receiving the MPH degree; students completing the CSDH&D will undertake equivalent coursework and assignments and be similarly evaluated. Students who complete these courses are able to:

1. describe basic concepts of descriptive and analytic epidemiology;
2. apply basic methods and terminology to calculate and report disease rates and risks in populations;
3. map a public health approach to disease investigations;
4. delineate descriptive and inferential statistics and their differences;
5. employ principles of research design, probability and measurement to draw appropriate inferences from data;
6. assess the strengths and limitations of various research designs in collecting, analyzing and interpreting information from public health studies;
7. critically read and interpret the public health literature relative to study strengths and weaknesses;
8. utilize appropriate information technologies to collect, analyze and disseminate data; and
9. analyze and interpret data using Excel worksheets and the Statistical Package for the Social Sciences.

PUBH 5201 Essentials of Social Inequality and Health Disparities and **PUBH 5202 Eliminating Social Inequality and Health Disparities** are complementary, but stand along new electives within the MPH program.

PUBH 5201 raises insights into the origins and impact of social inequality in society. This course introduces students to (a) the extent of health disparities across our population, (b) how social inequality contributes to health disparities, and (c) why attention to social inequality is essential to the effective practice of clinical medicine and dental medicine. It provides students with a conceptual basis for the complementary course, **PUBH 5202 Eliminating Social Inequality and Health Disparities**. **PUBH 5201** examines how society's social, economic, political and cultural institutions are structured and why they perpetuate the unequal distribution of opportunities that systematically limit the life chances and experiences of individuals. A range of social determinants (race/racism, poverty, income inequality, education, environmental conditions, social capital, social cohesion, social mobility, safety/security, criminal justice system) are considered that may act as influences on health, either directly or as pathways for other determinants. **PUBH 5201** addresses the function of public health assessment.

Upon successful completion of this course, students will:

1. describe the scope and specifics of health disparities for common health conditions in the US and in Connecticut according to age, gender, race/ethnicity, socioeconomic status, sexual orientation, geography, language/culture, and other relevant descriptors;

2. describe essential social, economic and cultural factors as root causes of health disparities in society;
3. identify the principles and processes of our legal, governmental and social welfare systems that maintain the healthcare safety net within our community;
4. discuss the socio-political context within which historical and contemporary social and health inequity occurs;
5. recognize the importance of social equity to the well-being and good health of patients and their communities;
6. apply concepts of human rights and social justice to the analysis and evaluation of social and health care practices to address social and health disparities;
7. articulate the ethical and operational challenges within society that inhibit the equitable distribution of social and health services across communities;
8. practice cooperation and communication with other students in the health professions while working as teams in-class and on projects;
9. use vital statistics and other key data sources to characterize health disparities for a specific population or community as part of a course project.

PUBH 5202 examines the evidence-basis for individual, community, and structural interventions to reduce the impact of inequity on the health and health care utilization of individuals. This course builds upon Concepts of PUBH 5201 but can be completed without a prerequisite. This course examines the evidence-basis for structural, community, and individual interventions to reduce the impact of inequity on the health and health care utilization of individuals. The course focuses on identifying and implementing multi-level interventions that may reduce inequities by altering the social, economic, and other structural aspects of the environment. It will also address challenges of implementation and ways to reduce potential barriers.

Upon the successful completion of this course, students will be able to:

1. contrast basic social and behavioral science theories about the causes and control of public health concerns;
2. identify critical mechanisms and pathways for reducing/eliminating health disparities in communities through clinical practices, community services, or changes in policies;
3. identify sources of inefficiency and ineffectiveness of the U.S. health care system;
4. utilize appropriate theoretical and analytic skills in evaluating social and health care practices and policies intended to reduce inequities across our communities;
5. apply principles of cultural competency, human rights, and social justice to proposed interventions to promote health equity;
6. map stages of strategic planning and activities necessary to select and implement population-focused interventions, including conducting needs assessments, developing collaborative relationships with diverse professional and community stakeholders to address health problems, selecting evidence-based practices and policies appropriate for identified health needs, addressing challenges faced during implementation, and monitoring and evaluating interventions; ;

7. apply the science of public health in promoting community-based efforts to develop, implement and evaluate solutions to address health equity;
8. articulate strategies of leadership and advocacy necessary to successfully address social and health disparities present within a community; and
9. describe evidence-based system-level and clinical interventions to address a health disparity.

Curriculum information

Total number of credits required: 12 credits, 4 courses

Required courses

PUBH 5408 Epidemiology & Biostatistics I

PUBH 5409 Epidemiology & Biostatistics II

PUBH 5201 Essentials of Social Inequality and Health Disparities

PUBH 5202 Eliminating Social Inequality and Health Disparities

Detailed course information

The Public Health Curriculum Committee (Chair, J. Ungemack) and the Graduate Programs Committee on the UConn Health campus have reviewed and approved the two new courses described below – PUBH 5201, 5202.

Course Number& Name	Instructor	Frequency of offering	Course Status
PUBH 5408 Epidemiology & Biostatistics I	Scott Wetstone, MD Associate Professor of Community Medicine	Annually during SOM Yr 1	Existing course
PUBH 5409 Epidemiology & Biostatistics II	Scott Wetstone, MD Associate Professor of Community Medicine	Annually during SOM Yr 2	Existing course
PUBH 5201 Essentials of Social Inequality and Health Disparities	David Gregorio, PhD Tenured Professor of Community Medicine	Annually during SOM Yr 1	New course
PUBH 5202 Eliminating Social Inequality and Health Disparities	Zita Lazzarini, JD,MPH Tenured Associate Professor of Community Medicine	Annually during SOM Yr 2	New course

Students will receive letter grades assessing their performance in the four courses making up the certificate, just as with other Graduate School courses. Each student's grades will appear on a graduate school transcript separate from the medical or dental school transcripts.

Program evaluation

All Medical and Dental students are expected to complete the CSDH&D within three years (or less) concurrent with completion of the new MDelta curriculum. As such, there is not a feasible way to discern the distinct impact of the certificate on academic and career performance. However, we

expect a significantly larger number of students to enrich their scholarly work in the area of Social Determinants of Health and Disparities. Nonetheless, our means of evaluating student performance will consist of before-after comparisons on the following dimensions:

Impact of CSDH&D on matriculation:

- a. Qualifications of students (e.g., GPA, MCAT/DAT scores, etc.) applying to UConn Schools of Medicine & Dental Medicine once the certificate is operational.
- b. Yield on offers of admission to UConn Schools of Medicine & Dental Medicine.

Impact of CSDH&D on student outcomes at completion of the curriculum:

- a. Students seeking and obtaining elective placements at leading Schools of Medicine and Dental Medicine.
- b. Students seeking and obtaining residency placements in primary care.
- c. Students seeking and obtaining residency placements at leading clinical programs.

Impact on students' experience of the program and assessments of learning:

- d. Results of School of Medicine graduation survey (measures graduates' assessment of how well the curriculum prepared them for practice and residency).
- e. We will collect and analyze qualitative data from student focus groups held throughout the first cycle of the new certificate.

ATTACHMENT 10

June 29, 2016

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Revocation of an Honorary Degree

RECOMMENDATION:

That the Board of Trustees vote to revoke the Honorary Doctor of Fine Arts degree conferred upon William H. Cosby Jr. on May 18, 1996.

BACKGROUND:

The University of Connecticut conferred an Honorary Doctorate of Fine Arts upon William H. Cosby Jr. on May 18, 1996. The *Laws, By-Laws and Rules of the Board of Trustees* provide that the Board of Trustees may confer honorary degrees to individuals who “represent the highest intellectual and moral values; [the award] should reflect the very character and quality of the University itself.” (By Laws Article XVII).

Since the conferring of this honorary degree, Mr. Cosby has admitted, in sworn depositions that he engaged in conduct that is incongruent with the values of the University of Connecticut. The University respects the principles of due process and Mr. Cosby’s right to a fair and public trial on the criminal charges against him. But the conduct which he admitted in his sworn testimony provides compelling reasons for the University of Connecticut to consider the revocation of his honorary degree.

This is an extraordinary action that is not being taken lightly. The University administration, the Undergraduate Student Government, and the University Senate have engaged in discussions on this issue over the course of many months.

On March 30, 2016, the Undergraduate Student Government unanimously passed a resolution asking the University of Connecticut to revoke Mr. Cosby’s honorary degree. In May of 2016, the University Senate, representing faculty, staff and students voted unanimously to support the USG resolution. The consensus of the UConn community and the recommendation of the administration is that the Board of Trustees should revoke Mr. Cosby’s Honorary Doctorate of Fine Arts.

The University of Connecticut, and all institutions of higher education, must work diligently to prevent sexual assault and to support and care for victims. Mr. Cosby has admitted to conduct that is contrary to the values of the University of Connecticut. The University would not have awarded an Honorary Degree to Mr. Cosby if the full breadth of his past actions were known at the time of conferral.

Accordingly, we recommend that the Board of Trustees revoke the Honorary Doctor of Fine Arts degree conferred upon William H. Cosby Jr. on May 18, 1996.

ATTACHMENT 11



June 29, 2016

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Centers and Institutes

A handwritten signature in blue ink, appearing to read "Mun Y. Choi", is written over the "FROM:" and "RE:" lines of the memo.

The *By-Laws of the University of Connecticut*, Article XII, state that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission," and that the "Provost will routinely inform the Board of Trustees ... of the establishment and discontinuation of all centers and institutes." This document represents such notice.

BACKGROUND:

Renewed for five-year term

Asian and Asian American Studies Institute
Center for Excellence in Vaccine Research
University of Connecticut Humanities Institute

Renewed for three-year term

Center for Ecological Study of Perception and Action

Renamed

Institute for Collaboration on Health, Intervention, and Policy

Discontinued

Center for Regenerative Biology

Mission statements for renewed and renamed Centers and Institutes are available on the following pages.

Renewed for five-year term

Asian and Asian American Studies Institute

Distinguished by its global, diasporic, national, regional, and transnational orientations, the Asian and Asian American Studies Institute brings two traditionally distinct fields of inquiry together in dynamic conversation: Asian Studies and Asian American Studies. Comprised of the humanities, social sciences, and the arts, the Institute's research output and course offerings engage Asia, the Pacific, and the Americas not as static, monolithic sites but rather sets of shifting historical, geographic, and geopolitical zone of interaction, struggle, and cooperation. In sum, the Institute fosters intellectual endeavors that concern the broad historical and contemporary experiences of people of Asian descent in Asia and in different parts of the world, inclusive of North and South Americas, the Caribbean, Europe, Africa, and Australia.

Center for Excellence in Vaccine Research

The Center for Excellence in Vaccine Research focuses on the elucidation of the mechanisms of pathogenesis of, and immune responses to, primary bacterial and viral pathogens with the goal of developing safe and effective vaccines.

University of Connecticut Humanities Institute

The University of Connecticut Humanities Institute (UCHI) seeks to enhance research and creativity in the humanities, broadly defined. In particular, UCHI promotes the development and productivity of University of Connecticut faculty through its fellowship, seminar, and workshop programs, by bringing outside scholars and authors to Connecticut, and by its support for scholarly conferences and journals. UCHI promotes student scholarship by the appointment of graduate and undergraduate fellows, and through advanced courses in humanities fields. UCHI also sponsors conferences, symposia, and lectures where the learning of the humanities can inform public issues. By exploring the full range of humanistic inquiry and methodologies the UCHI calls attention to the many ways that scholarly advances in the humanities enrich general understanding of the human condition.

Renewed for three-year term

Center for Ecological Study of Perception and Action

The Center for Ecological Study of Perception and Action's mission is to understand perceiving, acting, and knowing as the natural-law consequences of self-organizing systems embedded in rich ecosystems.

Renamed

Institute for Collaboration on Health, Intervention, and Policy

The Institute for Collaboration on Health, Intervention, and Policy (InCHIP) is a multidisciplinary research institute dedicated to the creation and dissemination of new scientific knowledge and theoretical frameworks in the areas of health behavior, health behavior change, and health intervention and prevention at multiple levels of analysis (e.g., individual, environmental, social, and policy). InCHIP researchers lead novel, influential health behavior change initiatives at UConn, institutions across the United States, and globally in countries

including Albania, Brazil, China, Ethiopia, India, Mozambique, South Africa, Russia, Uganda, and Vietnam. Our investigators have expertise in the areas of HIV prevention and treatment adherence, diabetes management, cancer prevention and control, nutrition, pharmacology, substance abuse, obesity, autism, digital health technologies, school and child health, and complementary and alternative approaches to medicine, among other health domains.

ATTACHMENT 12

June 29, 2016

TO: Members of the Board of Trustees

FR: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

Richard F. Orr
Vice President and General Counsel

RE: Sale of the West Hartford Campus

RECOMMENDATION

The Administration recommends that it be authorized to negotiate and execute with the Town of West Hartford (the "Town") a Purchase & Sale Agreement for the sale of the University's West Hartford Campus (the "Property") to the Town in an amount of \$5,000,000 plus 90% of the proceeds of the Town's subsequent sale to a third party, as more fully described below. No further Board action will be required to make the final negotiated agreement binding.

This recommendation is embodied in a Board Resolution at the end of this Memorandum.

Contrary to some press reports, this proposal does not constitute a reduction in the sale price; rather, it is a different transaction. The value of the property depends on its use. Weiming Education Group ("WEG") agreed to pay \$12.6 million, but only in the event that the Town of West Hartford approved WEG's plans to open an international school for 500 tuition paying students. If the Town did not approve, WEG could have walked away and the University would have had to start again to seek a new buyer, with no guarantee of success, and facing the possibility of maintaining a vacant property at the cost of over \$600,000 per year. Following a public meeting in West Hartford, it was apparent that this scenario was a very real possibility.

Under the proposed transaction with the Town, the University is guaranteed a minimum purchase price of \$5 million and avoids the risk of paying to maintain vacant property. Moreover, if the Town does approve a use for which a buyer is willing to pay \$12.6 million – or any other amount – the University will, in effect, refund to the Town whatever the Town has paid and the University will receive 90% of the sale price, with the other 10% going to the Town.

The Administration believes that securing a minimum of \$5 million purchase price and avoiding the risk of long term maintenance costs justify sharing 10% of the purchase price with the Town.

BACKGROUND

On March 30, 2016, pursuant to a Board Resolution of the same day, the University provided notice to the Town that the University intended to sell the Property to WEG. This notice started a 45-day period that required the Town to notify the University of its intent to purchase the Property. That period ended on May 14, 2016.

By resolution on April 27, 2016 the Administration was further authorized by the Board to negotiate the terms of a Purchase & Sale Agreement for the sale of the Property to the Town provided that it was subject to final approval by the Board of Trustees.

On April 29, 2016 the Town requested an extension of time from May 14, 2016 until Friday, June 17, 2016 to notify the University of its intent to purchase the Property. The University agreed to this extension.

Negotiations with the Town of West Hartford

The Town staff and the Administration reached agreement on a term sheet for a sale to the Town on or about May 9, 2016.

At its meeting on May 16, 2016, the West Hartford Town Council ("Town Council") referred to the West Hartford Town Plan & Zoning Commission ("TPZ") a resolution authorizing the West Hartford Town Manager to enter into a Purchase & Sale Agreement with the University and to receive review comments from the TPZ. In the meantime, the University and the Town have exchanged drafts of a Purchase & Sale Agreement.

The TPZ met on June 6, 2016 and recommended approval of the Town Council resolution. At its regular meeting of Tuesday, June 14, 2016, the Town Council received the referral back from the TPZ, but tabled action on the resolution pending agreement on the final language of the Purchase & Sale Agreement.

As of the writing of this memorandum, the Administration believes that the remaining issues are not substantive and that they will be resolved in time to allow the Town Council to approve the transaction prior to the June 29, 2016 Board of Trustees meeting.

Suspension of Negotiations with WEG

Under Board Resolutions of March 30, 2016 and April 27, 2016, the University pursued a sale of the Property to WEG. At WEG's request those negotiations have been suspended in light of the proposed sale to the Town.

MATERIAL TERMS OF SALE TO TOWN

Today the Administration seeks the Board's approval of a Purchase & Sale Agreement containing the following material terms without further Board action:

Purchase Price

Five Million Dollars (\$5,000,000), and an additional Purchase Price such that that the University will receive 90% of any sales proceeds in the event the Town sells the Property to a third party any time before October 1, 2024. In that event, the economic effect would be as follows: the Town would receive a refund of the purchase price paid by the Town, the University would receive 90% of the price paid by the third party and the Town would receive 10% of that price.

If the Town decides to keep the Property for its use and does not sell before October 1, 2024, then the price remains at \$5,000,000.

Deposit/Balance of Purchase Price

The Town will make an initial Two Hundred Fifty Thousand Dollar (\$250,000) Deposit installment at the time of signing the Purchase & Sale Agreement. An additional Deposit of Seven Hundred Fifty Thousand Dollars (\$750,000) will be paid at the end of a 90-day due diligence period, unless the transaction is terminated as the result of the Town's due diligence.

At Closing, the Town will pay the balance of the Purchase Price, less the amount of the Deposit which will be Four Million Dollars (\$4,000,000.00), for a total Purchase Price of \$5,000,000.

Closing

The Closing and transfer of title will occur October 2, 2017. This allows the University to operate the Property before the University's move to Hartford, without having to lease the Property from the Town. There will, however, be provision for a lease back to the University in the event the Town wishes to close earlier.

Ballfields

The Property transferred to the Town includes the existing Ballfields area presently leased from the University by the Town. That lease will be extinguished when the Town takes title to the Ballfields.

RESOLUTION

For the reasons set forth above, the Administration recommends that the Board of Trustees adopt the following resolution:

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF CONNECTICUT:

Section 1. The Board of Trustees reaffirms its authorization of the President or the President's designee (the "Administration") to negotiate and execute with the Town of West Hartford (the "Town") on the terms set forth in this Memorandum. This Resolution constitutes the final Board of Trustees approval required under Section 2 of the Board's April 27, 2016 Resolution for the Sale of the West Hartford Campus to the Town.

-END-

ATTACHMENT 13




UNIVERSITY OF CONNECTICUT

June 29, 2016

Office of the Executive Vice President for
Administration and Chief Financial Officer
Scott A. Jordan
Executive Vice President
for Administration
and Chief Financial Officer

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Proposed Statements of Work for the UConn Foundation and the UConn Law
School Foundation for Fiscal Year 2017

RECOMMENDATION:

The University administration recommends that the Board of Trustees approve the resolutions below authorizing the University to enter into statements of work for Fiscal Year 2017 with The University of Connecticut Foundation, Incorporated (the "UConn Foundation") and The University of Connecticut Law School Foundation, Inc. (the "Law School Foundation").

BACKGROUND:

The University has entered into separate Master Agreements with the UConn Foundation and the Law School Foundation in satisfaction of the requirements of Connecticut General Statutes § 4-37f(9). These agreements detail the University's relationship with each organization and set forth each organization's responsibilities for performing development, investment and other services in support of the University. The UConn Foundation and the Law School Foundation are both private organizations, exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, that operate exclusively to promote the educational, research, cultural, and recreational objectives of the University. The Law School Foundation specifically operates for the benefit of the University's School of Law.

The Master Agreements with the UConn Foundation and the Law School Foundation require, among other things, that the University enter into statements of work with each organization outlining specific goals and objectives and the financial consideration to be provided by the University. The current statements of work expire on June 30, 2016.

a. UConn Foundation Statement of Work for FY 17

The proposed statement of work with the UConn Foundation sets forth the fundraising, investment management, alumni relations activities and other related goals and objectives for Fiscal Year 2017. The goals and objectives established for next year include the following:

- A fundraising goal of \$85 million in new gifts and commitments for the University (including of support for the UConn Health Center);

- Continuation of a five-year \$150 million goal aimed at doubling annual scholarships for the University (from \$15 to \$30 million);
- Implementation of a comprehensive Grateful Patient program in Cancer and Cardio programs;
- Raising \$15.5 million to complete funding for the Werth Family Basketball Champions Center and to initiate construction for soccer and baseball projects;
- Providing quality alumni programs and services that strengthen lifelong bonds between all members of the UConn family; and
- Conducting a comprehensive review and feasibility study to assess the readiness of its prospect and donor constituencies to conduct a comprehensive fundraising campaign in support of the University's strategic priorities. The University will reimburse up to \$100,000 of the UConn Foundation's costs for conducting this study.

In consideration for the UConn Foundation's performance of these services during the next fiscal year, the University has agreed to pay the UConn Foundation \$8,065,000 for its fundraising services and \$1,635,000 for alumni relations activities and services.

b. Law School Foundation Statement of Work for FY 17

The proposed statement of work with the Law School Foundation sets forth the fundraising, investment management, and other related goals and objectives for Fiscal Year 2017. The goals and objectives established for next year include continuing to pursue the following 2020 Fundraising Objectives:

- 20 percent of graduates making gifts to the Law School;
- \$750,000 giving to annual appeals (including Annual Fund and currently spendable donations for core school programs);
- \$30 million endowment market value (5% annual growth plus \$5 million in new gifts);
- \$3 million total new gifts and commitments annually;
- 250 gifts at Dean's Council (\$1,000) level; and
- 10 new planned gift commitments annually.

In consideration for the Law School Foundation's performance of such services during the next fiscal year, the University's School of Law will contribute \$175,000 to the financial support the University provides to the UConn Foundation, which support is utilized by the UConn Foundation to support the UConn Foundation's development staff that are assigned to work with the Law School Foundation at the University's School of Law.

RESOLUTION:

In furtherance of the foregoing, the University recommends the Board of Trustees of the University approve the following resolutions:

RESOLVED, that the University's President and administration are authorized to execute and deliver statements of work for Fiscal Year 2017 with each of the UConn Foundation and the Law School Foundation on the terms described above and such other terms as may be deemed

advisable and in the best interest of the University by the University's President and administration; and

RESOLVED, that the University's President and administration are hereby further authorized to execute, deliver and perform, in the name of and on behalf of the University, such certificates, instruments, documents and agreements as each of them may deem necessary, useful or required in furtherance of the foregoing resolution.

Statement of Work FY17

This Statement of Work FY17 (this "SOW"), effective the 1st day of July, 2016, is made between UNIVERSITY OF CONNECTICUT ("University"), Connecticut's land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED ("Foundation"), a Connecticut nonstock corporation that is exempt from taxation under 501(c)(3) of the Internal Revenue Code of 1986, as amended.

The University and the Foundation have entered into an Amended and Restated Master Agreement dated July 1, 2015 (the "Agreement") under which the Foundation has responsibility for fundraising efforts for the benefit of the University, management of endowment funds designated to benefit the University and for performing alumni relations activities in support of the University.

The Agreement stipulates that the University and Foundation will from time to time, but in no event less frequently than once every five (5) years, enter into statements of work which outline the specific fundraising, investment management and alumni relations activities goals and objectives that the University and Foundation have agreed upon and the consideration to be provided to the Foundation each fiscal year. This SOW covers the period July 1, 2016 through June 30, 2017 ("Period").

1. Payments

The University agreed to provide certain in-kind consideration to the Foundation for its services under the terms of the Agreement. In addition to agreeing to provide such in-kind consideration, the University further agrees to provide the following consideration to the Foundation for each year of the Period:

- a) Service Fee: The University will pay a guaranteed amount to the Foundation of \$8,065,000 during the Period, which payments will be made quarterly in advance in equal installments during the Period. In addition, the University will pay to the Foundation an amount not to exceed \$1,635,000 for alumni relations activities and services.
- b) Other Fees: The University agrees that Foundation operations will also be funded by an annual endowment administrative fee, and a gift fee on contributions and earnings on non-endowed Foundation assets.
 - 1) The Foundation will assess and retain an endowment administrative fee, as reasonably determined by the Foundation, on all endowment assets (University and Foundation assets) invested by the Foundation. The Foundation's endowment administrative fee is generally calculated annually on March 31st ("Calculation Date") and presently equals two percent (2.0%) of the rolling prior twelve (12) quarter average unitized market value of the long-term pooled investment portfolio multiplied by the number of units held by each endowed fund. The Foundation, from time to time, may change the endowment administrative fee and will notify the University, in writing, of any changes to the endowment administrative fee made during the Period. The endowment administrative fee owing to the Foundation will be transferred to Foundation operating funds in four equal installments on the first day of each quarter following the Calculation Date (April 1st, July 1st, October 1st, and January 1st).
 - 2) The Foundation will assess and retain gift fees on all non-endowed gifts deposited in the Foundation, as reasonably determined by the Foundation. The Foundation's gift fee for non-endowed gifts is presently five percent (5%) of the value of the gift as of the date of receipt. Twenty-five percent (25%)

of any non-endowed gift fee (or 1.25%) may be transferred to Foundation operating accounts supporting the school, college or unit supported by the fund to which the original gift was designated. The remaining seventy-five percent (75%) of any non-endowed gift fees (3.75%) is retained by the Foundation to support its operations. The Foundation, from time to time, may change the gift fees and will notify the University, in writing, of any changes to the gift fees made during the Period.

3) The Foundation will retain all investment earnings on non-endowed Foundation assets.

c) Use of Facilities: The University and the Foundation have entered into a separate Lease agreement dated effective October 22, 2015, which documents the terms and conditions for the Foundation's use of the Alumni Center, located at 2384 Alumni Drive.

2. Foundation Mission

The Foundation's mission statement is: Strengthening UConn One Relationship at a Time. The independent, not-for-profit, tax-exempt organization does this by operating exclusively to promote the educational, scientific, cultural, research and recreational objectives of the University of Connecticut. This is accomplished by providing quality programs and services for its alumni and supporters, and by serving as the primary fundraising vehicle for the University. The Foundation solicits, administers and invests private funds for the sole benefit of the University and its mission of pursuing excellence in teaching, research and public service.

3. Fundraising and Alumni Engagement Goals and Benchmarks

In consideration of the compensation provided to Foundation by the University under the terms of the Agreement and this SOW, the Foundation, consistent with its mission, agrees as follows:

The Foundation will continue its efforts to increase total private gift revenue toward an annual target of eighty-five million dollars (\$85M) in new gifts and commitments for the University (inclusive of support for the UConn Health Center), by June 30, 2017, such amount to be calculated in accordance with the Foundation's reasonably established gift counting policy, as amended from time to time. The annual strategies will include:

a) Increase donor engagement

- 1) Utilize the University President, Provost, Deans and Program Directors in strategic donor outreach at the six-figure level and above.
- 2) Utilize the UConn Foundation Board Work Group's – University, Health and Athletics – to support increased cultivation, solicitation and stewardship of major and principal gift prospects.
- 3) Increase commitments from the various boards' members - the UConn Board of Trustees, UConn Foundation Board of Directors, and the UConn Health Center Board of Directors - with the target of reaching the national university average of 14% in total annual commitments through board support.
- 4) Continue to focus on building customized engagement strategies for principal gift donors and prospects, understanding this group will have a disproportionate impact on the ability to reach and exceed goals.
- 5) Increase contact and deepen engagement of donors and prospects at the \$50K+ rated level through more efficient deployment of appropriate numbers of full time frontline fundraisers, effectively utilizing prospect research and screening data to drive activity.
- 6) Facilitate stronger collaboration in donor strategy working across the Foundation and the University, using Prospect Management meetings to review and discuss the status of top donor strategies and package comprehensive proposals. Engage deans and directors in strategy discussions and direct

implementation of fundraising. Increase engagement of University President and Provost with key University stakeholders.

b) Align fundraising with University priorities

- 1) Continue enrollment management scholarship fundraising with increased focus on a five-year \$150 million goal aimed at annually doubling scholarship support for the University. Use reasonable efforts to raise gifts and commitments for student support that equal not less than fifteen percent of the total amount of all gifts and commitments raised by June 30, 2017.
- 2) Expand comprehensive Grateful Patient program, with an initial focus on Cancer and Cardio programs, supported by direct mail outreach and personalized follow up from staff.
- 3) Raise \$3.5 million to complete funding for the Werth Family Basketball Champions Center
- 4) Raise \$12 million in commitments by June 30, 2017 in order to initiate construction for soccer and baseball projects.
- 5) Maintain an emphasis on endowment fundraising to improve on the current three-year average of \$17.3 million per year.
- 6) Support University, as well as School and College, fundraising priorities.

c) Increase alumni engagement

- 1) Strengthen lifelong bonds between all members of the UConn family by inspiring pride and providing quality programs and services which enhance the engagement of the diverse university community.
- 2) Enrich the lives of alumni by offering them remarkable learning experiences through engagement with the University of Connecticut's faculty, staff and students.
- 3) Increase alumni gifts of time, talent, and treasure by creating meaningful opportunities for alumni to be engaged and involved on behalf of the University through, for example, local and regional networks, reunion programming and opportunities within Career Services and Admissions.
- 4) Develop an exemplary Alumni organization respected for its energy, expertise, effectiveness, and innovation.

d) Enhance external and internal communications

- 1) Help educate faculty and staff across the University community about the important role of cultivating and stewarding private support.
- 2) Continue to develop fundraising focused material related to strategic priorities of the University.
- 3) Coordinate communications to alumni and donors with the University.
- 4) Continue efforts to educate the legislature, and general public, regarding the important role the Foundation plays in providing support to the University.

e) Increase operational efficiencies

- 1) Establish a new fundraising model for the Annual Giving program.
- 2) Strengthen stewardship for donors at various levels, including \$1K or more annual donors, as well as lifetime donors of \$100K or more.
- 3) Continue to integrate Regional Major Gift program into fundraising and event strategies.
- 4) Retain staff and increase investment in staff training.

4. Investment Benchmarks

The Foundation in its discretion will establish appropriate investment benchmarks for assets invested for the benefit of the University, both those owned by the Foundation and those owned by the University. The Foundation will provide to the University's President and Executive Vice President for Administration and Chief Financial Officer a summary report of its investment risk and return benchmarks during the Period. The Foundation will use reasonable efforts to maintain the following benchmarks during the Period:

- a) The target return on Foundation investments will be 6.25% plus inflation.
- b) The Foundation will limit to 12% the level of volatility on an annualized basis based on the Foundation's Board of Director's policy.
- c) The Foundation's target risk adjusted return measured by Sharpe ratio will be 1.0 or greater measured over rolling periods.

5. Campaign Readiness Assessment

In addition to pursuing the fundraising, alumni engagement and investment goals and benchmarks set forth above, the Foundation agrees to conduct, in a manner it deems appropriate, a comprehensive review and assessment of the readiness of its prospect and donor constituencies and general operations to conduct a comprehensive fundraising campaign in support of the University's strategic priorities ("Readiness Assessment"). Such Readiness Assessment shall assess:

- a) Assessing Internal Campaign Readiness
- b) Developing the Case Summary/Campaign Prospectus
- c) Engaging Leadership (internal and external)
- d) Discussions with key Principal and Major Gift Prospects
- e) Assessing Broader Major Gift Capacity and Interest
- f) Recommendations For Action

On or about January 15, 2017, the Foundation will provide a status briefing to the University's President and Chief Financial Officer with the results of its Readiness Assessment. In consideration of the foregoing, and in anticipation of initiating a comprehensive fundraising campaign, the University agrees to provide additional consideration to the Foundation upon execution of this SOW, to reimburse up to one hundred thousand dollars (\$100,000) to support campaign planning and execution. Following the Foundation's delivery and presentation of the Readiness Assessment to the University, and the University's determination that a comprehensive fundraising campaign is appropriate and in the University's best interests, the University and the Foundation will discuss, in good faith, the manner in which the University will support such campaign.

6. State Contract Requirements

The state contracting requirements set forth in Section 10 of the Agreement are incorporated herein by reference, to the extent necessary.

7. Amendment

This SOW may be modified or amended in whole or in part by mutual written agreement signed by duly authorized representatives of each of the parties.

8. Governing Law

This SOW is governed by the laws of the State of Connecticut. If there shall be any inconsistency between the provisions of this SOW and the Agreement, the provisions of the Agreement shall control.

FOR THE UNIVERSITY OF CONNECTICUT

Susan Herbst, Ph.D.
President, University of Connecticut

Date

Scott A. Jordan
Executive Vice President for Administration and
Chief Financial Officer, University of Connecticut

Date

FOR THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED

Daniel D. Toscano
Chair, The University of Connecticut
Foundation, Incorporated

Date

Joshua R. Newton
President, The University of Connecticut
Foundation, Incorporated.

Date

APPROVED AS TO FORM

Associate Attorney General,
Connecticut State Attorney General's Office

Date

Statement of Work #2

This Statement of Work #2 (this “SOW #2”), effective the first day of July, 2016, is made between the UNIVERSITY OF CONNECTICUT (the “University”), Connecticut’s land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT LAW SCHOOL FOUNDATION, INC. (the “Law School Foundation”), a Connecticut nonstock corporation that is exempt from taxation under 501(c)(3) of the Internal Revenue Code of 1986, as amended.

The University and the Law School Foundation have entered into that certain Master Agreement, dated January 1, 2016 (the “Master Agreement”) pursuant to which the University has designated responsibility to the Law School Foundation for certain development efforts in support of the University’s School of Law and for the management of certain endowment funds designated to benefit the University’s School of Law.

The Master Agreement stipulates that the University and Law School Foundation will from time to time, but in no event less frequently than every five (5) years, enter into statements of work which outline the specific fundraising goals and objectives that the University and Law School Foundation have agreed upon and the consideration to be provided to the Law School Foundation. This SOW #2 is entered into pursuant to the Master Agreement to cover the period of time from July 1, 2016 through June 30, 2017 (the “Period”).

1. Payments

The University has agreed to provide certain in-kind consideration to the Law School Foundation for its services under the terms of the Master Agreement. In addition to agreeing to provide such in-kind consideration, the University further agrees to provide the following consideration to the Law School Foundation during the Period:

- a) Service Fee: The University’s School of Law will contribute \$175,000 to the financial support the University provides to the UConn Foundation (as defined in the Master Agreement) during the Period, which support is utilized by the UConn Foundation to support development staff of the UConn Foundation that is assigned to the School of Law and works with the Law School Foundation.
- b) Other Fees: The University agrees that Law School Foundation operations may also be funded by earnings on non-endowed Law School Foundation assets, an annual endowment administrative fee, and a gift fee on contributions.
 - 1) The Law School Foundation will retain all investment earnings on non-endowed Law School Foundation assets.
 - 2) The Law School Foundation will assess and retain an endowment administrative fee, as reasonably determined by the Law School Foundation. The Law School Foundation’s endowment administrative fee is calculated annually on June 30th (“Calculation Date”) and presently equals one and one-half percent (1.5%) of the rolling prior twelve (12) quarter average unitized market value of the long-term pooled investment portfolio multiplied by the number of units held by each endowed fund. The endowment administrative fee is assessed on all endowment assets of the Law School Foundation that are invested by the Law School

Foundation. The endowment administrative fee owing to the Law School Foundation will be transferred to Law School Foundation operating funds in equal installments during each quarter following the Calculation Date.

- 3) The Law School Foundation may assess and retain gift fees on gifts deposited in the Law School Foundation, at such times and in such amounts as reasonably determined by the Law School Foundation.

2. Law School Foundation Mission

The Law School Foundation operates to support the University's School of Law and fulfills this mission primarily through fundraising, asset management and related support activities. Among such activities the Law School Foundation cultivates, solicits, acknowledges and receipts gifts; administers, invests and disburses funds; maintains constituent records; and manages and coordinates communications with constituents.

3. Fundraising Goals and Benchmarks

In consideration of the support provided to the Law School Foundation by the University under the terms of the Master Agreement and this SOW #2, the Law School Foundation, consistent with its mission, agrees to continue its efforts to satisfy its 2020 Fundraising Objectives, which are as follows:

- 20 percent of graduates making gifts to the Law School
- \$750,000 giving to annual appeals (including Annual Fund and currently spendable donations for core school programs)
- \$30 million endowment market value (5% annual growth plus \$5 million in new gifts)
- \$3 million total new gifts and commitments annually
- 250 gifts at Dean's Council (\$1,000) level
- 10 new planned gift commitments annually

4. Investment Benchmarks

The Law School Foundation in its discretion will establish appropriate investment benchmarks for assets owned by the Law School Foundation and invested for the benefit of the School of Law. The Law School Foundation will provide to the Dean of the School of Law and the University's President and Chief Financial Officer a summary report of its investment risk and return benchmarks during the Period. The Law School Foundation will use reasonable efforts to maintain the following benchmarks during the Period:

- a) for the Law School Foundation "Income Portfolio" – the United States Treasury and Agency 1-3 Year Index known as the "G1A0";
- b) for the Law School Foundation "Equity Portfolio," the Standard & Poor's Index known as the "S&P 500"; and
- c) the target asset mix of the combined investment accounts is targeted at 70% commitment to equities and a 30% commitment to fixed-income securities.

5. State Contract Requirements

The state contracting requirements set forth in Section 9 of the Master Agreement are incorporated herein by reference, to the extent necessary.

6. Amendment

This SOW #2 may be modified or amended in whole or in part by mutual written agreement signed by duly authorized representatives of each of the parties.

7. Governing Law

This SOW #2 is governed by the laws of the State of Connecticut. If there shall be any inconsistency between the provisions of this SOW #2 and the Master Agreement, the Master Agreement shall control.

[signature page follows]

FOR THE UNIVERSITY OF CONNECTICUT

Susan Herbst
President, University of Connecticut

Date

Timothy Fisher
Dean of the School of Law, University of Connecticut

Date

FOR THE UNIVERSITY OF CONNECTICUT LAW SCHOOL FOUNDATION, INC.

Steven M. Greenspan
President, The University of Connecticut
Law School Foundation, Inc.

Date

DRAFT

ATTACHMENT 14

June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan *SAJ*
Executive Vice President for Administration and Chief Financial Officer

RE: Operating Budget for Fiscal Year 2017 for the University of Connecticut, Storrs
and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the Operating Budget for Fiscal Year 2017 of \$1,319.3 million for the University of Connecticut, Storrs and Regional Campuses.

BACKGROUND:

The Operating Budget for Fiscal Year 2017 includes \$1,319.8 million of revenue, including State Support of \$369.9 million, to cover \$1,319.3 million of expenses. The University is presenting a balanced budget for FY17, despite continued fiscal challenges.

We are grateful to the State legislature and Governor for their continued support of UConn. On June 3, 2015, the FY17 State budget was adopted as part of the biennium budget bill and it included \$409.8 million (\$249.0 appropriation and ~\$160.8 million for fringe reimbursement) for UConn; however, the amended FY17 State budget passed on June 2, 2016 is a projected \$29.2 million less. Moreover, on June 9, 2016, UConn was cut through legislative lapses by another \$11.4 million. This means that for FY17, UConn has already been cut \$40.6 million from the original adopted budget. In addition to the lapses, UConn is at risk for an additional 5% or \$19.0 million of cuts as part of the Governor's rescission authority should the State budget dip into deficiency during FY17. State support, which consists of both the direct appropriation and fringe reimbursement, is critical to the University.

To address budget reductions and create a balanced budget, UConn has implemented strategic cuts to units across campuses, made judicious hiring decisions, reviewed purchases for careful spending, created operational efficiencies, raised tuition and generated new external revenues. UConn has closely examined its revenues and expenses, and it is uncertain at this point how the University would address additional FY17 cuts should they be administered.

It is important to note that despite reductions in State funding, UConn has made significant gains in improving academic quality in recent years. This includes being ranked as the 19th top public university in *U.S. News and World Report*, decreasing the average time to degree to 4.2 years, receiving record numbers of applications (including Connecticut resident applications), and outstanding SAT scores among members of our freshmen classes. UConn has come so far as an institution, but continuous cuts are impacting students, faculty and staff and our ability to grow and maintain academic excellence.

The Next Generation Connecticut (NextGenCT) initiative represents one of the most ambitious State investments in economic development, higher education, and research in the nation. This important program has both an operational and capital component. Overall this program has been successful and UConn has added 1,765 STEM undergraduate students since 2013, and approximately 80 STEM scholarships per year. Additionally, capital funds have allowed the University to construct new buildings, address some deferred maintenance, and revitalize our infrastructure. While the capital side has been largely funded (\$26 million was deferred from FY17 to FY18), the operating side has been significantly underfunded, due to the state's budget difficulties. The original plan contained \$53.0 million of operating funds for FY17 to pay for increased faculty, STEM scholarships, financial aid, lab/equipment needs, etc. The appropriated amount is \$19.2 million or 36% of the original proposal. Due to this reduction, UConn will not be able to hire the number of new faculty it had hoped, and, without growth in faculty, UConn will need to hold freshmen enrollment steady in Storrs at 3,800 for Fall 2016.

Notwithstanding our best efforts, UConn students and faculty are feeling the impact, and, in some areas, UConn is seeing slippage. Due to budget cuts, UConn has not been able to hire faculty as planned, but, up to this point, has continued to grow enrollment to honor its commitment of admitting additional students. In FY13, UConn undergraduate student enrollment was 22,301. In FY16, it had grown by 1,106 students or 5%. However, growth in enrollment coupled with less faculty hiring is impacting our student to faculty ratio. UConn's goal was to reduce the ratio from 18.3:1 to 15:1. Less faculty hiring in FY16 contributed to a rise in the ratio from 16.4:1 in FY15 to 16.9:1 in FY16 and UConn expects to see this trend continue in FY17.

In December 2015, UConn adopted a new four-year tuition plan for FY17-FY20. The plan will raise \$14 million of new revenue in FY17. This additional revenue is necessary for UConn to balance our budget, but the increase in tuition rates impacts our students and their families.

Even with the continued financial pressures, UConn is committed to providing student financial aid to our students based on both need and merit. In FY17, the University will provide \$95.9 million in financial aid. This is an increase of \$11.2 million over the FY16 amount and includes \$2.1 million of new merit scholarships to students pursuing degrees in STEM fields of study. The overall financial aid amount consists of \$62.4 million for need-based support and \$33.5 million in scholarships to support UConn students. Financial aid continues to be a priority, but, with continued budget pressure, it will be difficult in the future to provide enough financial aid to ensure that no qualified student is denied a UConn education because of financial need.

In spite of ongoing fiscal struggles, UConn will continue to focus on protecting academic excellence, providing strong student support, and supporting the research mission of the University. In recent years UConn has made significant gains and improved academic quality on every metric and we remain committed to maintaining and enhancing our academic excellence whenever possible in support of our students and the state of Connecticut.



University of Connecticut, Storrs & Regional Campuses

Operating Budget for Fiscal Year 2017

Revenues:		
Appropriation		223,020,377
Fringe Benefits & Adjustments		146,911,945
Total State Support	\$	369,932,322
Tuition		372,685,757
Fees		124,498,396
Grants & Contracts		79,294,938
Foundation/Endowment		20,005,062
Sales & Service Education		19,828,516
Auxiliary Enterprise Revenue		210,687,140
Other Revenue		18,282,867
Total Operating Funds	\$	1,215,214,998
Research Funds		104,587,158
Total Revenues	\$	1,319,802,157
Expenditures:		
Personal Services	\$	502,222,509
Fringe Benefits		258,337,473
Other Expenses		226,860,115
Energy		25,193,932
Equipment		13,916,612
Student Financial Aid		161,624,095
Debt Service/Projects		25,012,787
Total Operating Funds	\$	1,213,167,524
Research Funds		106,260,823
Total Expenditures	\$	1,319,428,346
Net Gain	\$	373,811



FY17 Budget Presentation

Board of Trustees
June 29, 2016

Agenda

1. FY17 UConn Budget Presentation
2. FY17 UConn Health Budget Presentation
3. Capital Budget Presentation

Overview

1. Protect academic excellence, provide strong student support, and support the research mission of the University.
2. Keep commitment to accessibility through increased student financial aid in the face of fiscal constraints.
3. UConn's FY17 budget is balanced due to careful management of expenses, operational efficiency gains, tuition increases and enhanced external revenues to mitigate against cuts in State funding.

Outstanding Academic Quality

Despite cuts in State funding and ongoing fiscal pressures, the University has made significant gains and improved academic quality.

UConn successes:

- Top 25 Public National University (19th) in *U.S. News and World Report*
- Decreased average time to degree to 4.2 years
- Increased number of class offerings by 33%
- Increased academic quality of students
- More than one in three CT high school seniors applying to UConn
- Over 36,000 applicants for the Fall of 2016, a record number
- Applications from all 50 states, Puerto Rico and 109 countries
- Fall 2015 entering class includes 68 valedictorians and 76 salutatorians
- SAT score is 3 points higher among applicants for Fall 2016

FY16 UConn Operating Budget vs. Forecast

In the face of \$17.4M of State cuts, with careful management, UConn will end FY16 with a small net gain of \$1.1M.

	FY16 Budget (\$M)	FY16 Forecast (\$M)	Favorable / (Unfavorable)
Revenues:			
Appropriation	243.2	240.6	(2.6)
Fringe Benefits Reimbursements	153.5	145.8	(7.7)
Total State Support	\$ 396.7	\$ 386.4	\$ (10.2)
Tuition	346.8	353.0	6.2
Fees	117.4	122.0	4.6
Grants & Contracts	68.8	78.3	9.5
Foundation/Endowment	18.4	20.0	1.6
Sales & Service Education	18.4	19.5	1.1
Auxiliary Enterprise Revenue	215.7	210.1	(5.6)
Other Revenue	10.7	16.0	5.3
Total Operating Fund	\$ 1,192.8	\$ 1,205.3	\$ 12.5
Research Fund	101.8	102.0	0.2
Total Current Funds Revenues	\$ 1,294.6	\$ 1,307.3	\$ 12.7
Expenditures:			
Personal Services	507.8	500.3	7.5
Fringe Benefits	259.3	247.4	11.9
Other Expenses/Equipment	212.1	221.8	(9.7)
Energy	24.5	23.5	1.0
Student Financial Aid	146.5	153.3	(6.8)
Debt Service/Projects	38.0	56.3	(18.2)
Total Operating Fund	\$ 1,188.2	\$ 1,202.5	\$ (14.3)
Research Fund	104.2	103.7	0.5
Total Current Funds Expenditures	\$ 1,292.4	\$ 1,306.3	\$ (13.8)
Net Gain/(Loss) Loss	\$ 2.2	\$ 1.1	\$ (1.1)

Note: Use of decimals may result in rounding differences.

FY17 Updated Budget Gap

UConn was able to balance the FY17 budget despite a reduction of \$29.2M from the original FY17 adopted budget and an \$11.4M cut due to lapses.

Updated Budget Gap		\$M
Projected FY17 Budget Gap (as of Dec. 2015)		(40.2)
FY17 State appropriation		(29.2)
Lower fringe costs than previously budgeted		7.1
Undergraduate tuition increase		12.8
Graduate tuition and other increases		1.2
No union or management general wage increases		19.0
Strategic 3% cut from University units		18.0
Full-year impact of FY16 mid-year cuts		9.2
Other changes		2.5
Total Changes		\$ 40.6
Revised Budget Gap		\$ 0.4
Cuts due to FY17 lapses, including fringe		(11.4)
Reduction in maintenance, small projects, etc.		11.4
FY17 Net Gain		\$ 0.4

Note: Use of decimals may result in rounding differences.

FY17 University Operating Budget

Even with recent fiscal challenges, UConn is presenting a balanced budget for FY17.

Revenues (\$M)

Appropriation	223.0
Fringe Benefits & Adjustments	146.9
Total State Support	\$ 369.9
Tuition	372.7
Fees	124.5
Grants & Contracts	79.3
Foundation/Endowment	20.0
Sales & Service Education	19.8
Auxiliary Enterprise Revenue	210.7
Other Revenue	18.3
Total Operating Fund	\$ 1,215.2
Research Fund	104.6
Total Operating Revenues	\$ 1,319.8

Expenditures (\$M)

Personal Services	502.2
Fringe Benefits	258.3
Other Expenses	226.9
Equipment	13.9
Energy	25.2
Student Financial Aid	161.6
Debt Service/Projects	24.9
Total Operating Fund	\$ 1,213.1
Research Fund	106.3
Total Operating Expenditures	\$ 1,319.3
Net Gain/(Loss)	\$ 0.4

Total State Support includes cut of (\$11.4M) due to FY17 lapses (as of 6/8/16).

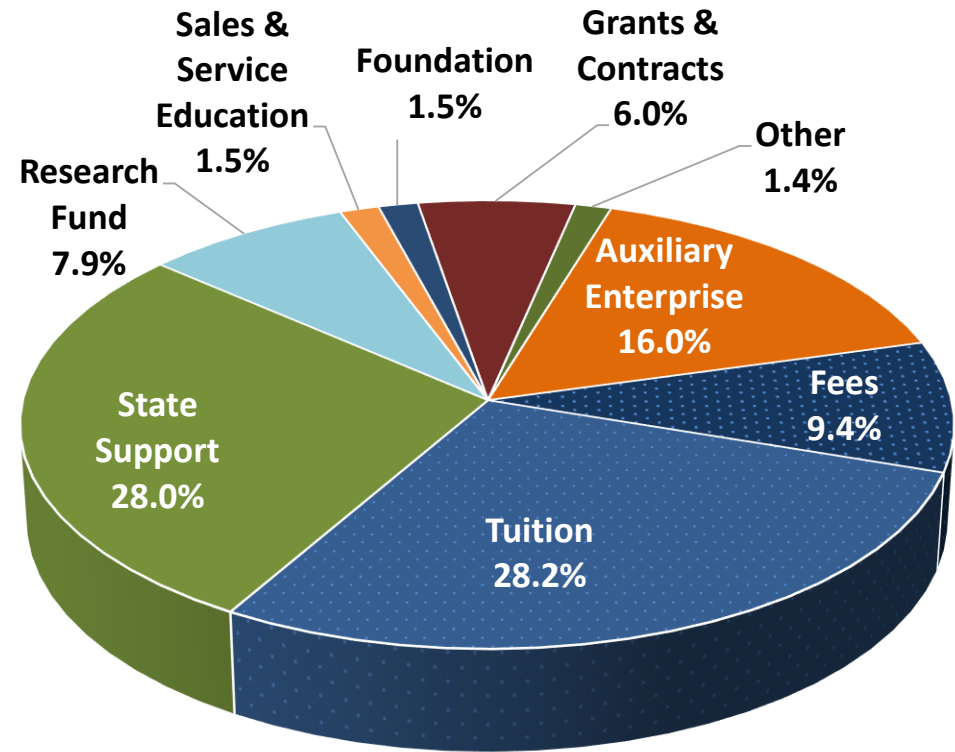
Note: Use of decimals may result in rounding differences.

FY17 Revenue Budget

Total revenue is expected to increase \$12.5M or 1.0% over the FY16 forecast.

Revenues (\$M)

Appropriation	223.0
Fringe Benefits & Adjustments	146.9
Total State Support	\$ 369.9
Tuition	372.7
Fees	124.5
Grants & Contracts	79.3
Foundation/Endowment	20.0
Sales & Service Education	19.8
Auxiliary Enterprise Revenue	210.7
Other Revenue	18.3
Total Operating Fund	\$ 1,215.2
Research Fund	104.6
Total Operating Revenues	\$ 1,319.8

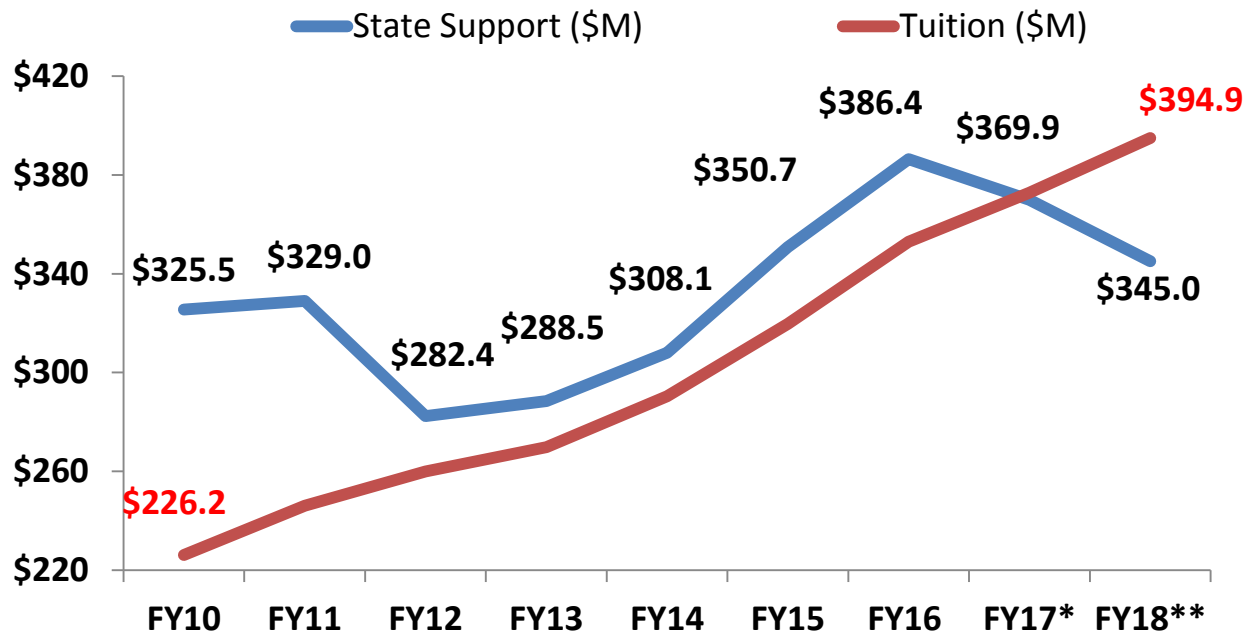


37% of total revenue is funded by students and their families through tuition and fees.

Note: Use of decimals may result in rounding differences.

Tuition is Largest Revenue Source

Starting in FY17, tuition is projected to be the largest revenue source, surpassing State support. Based on current projections, the gap will continue to grow in FY18.



*FY17 includes the appropriation and lapses as of 6/9/16.

**FY18 includes a 10% or \$36M assumed reduction from FY17 base appropriation per OPM letter dated 6/8/16.

Cuts to State Support

In FY17, UConn has already been cut \$11.4M and is at risk for additional \$19.0M. Since FY10, UConn has sustained \$86.9M in State reductions*, lost fringe and fund sweeps.

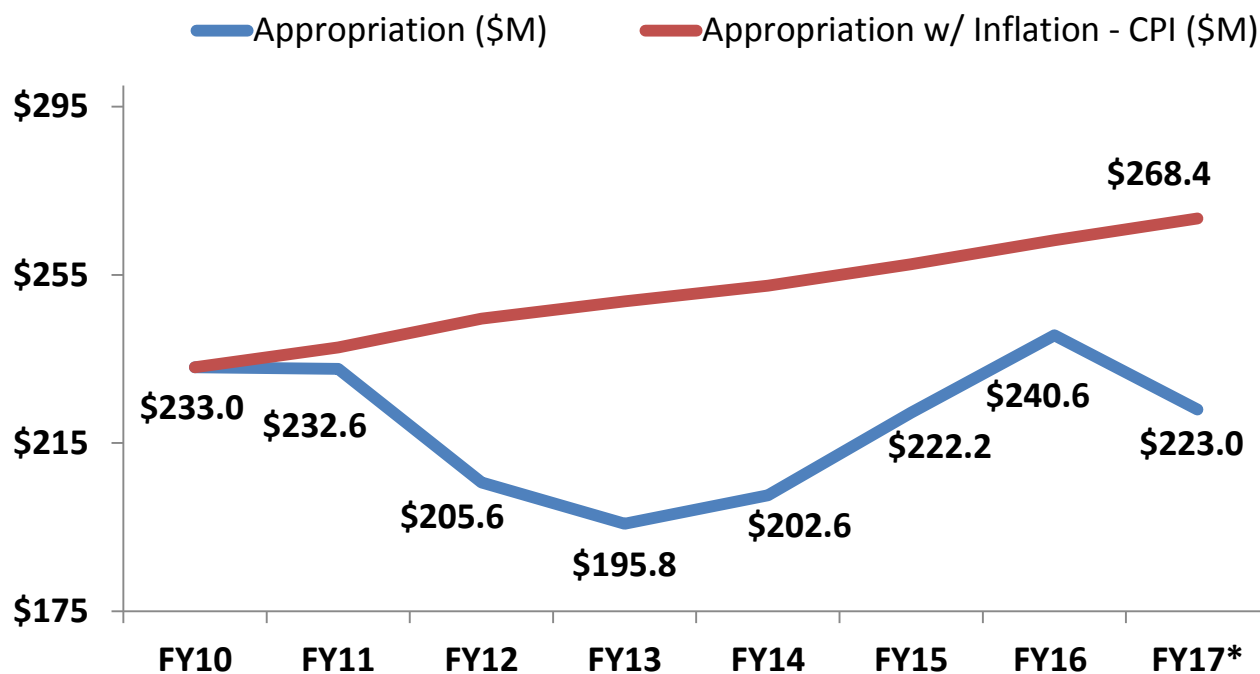
UConn - Reductions, Fringe (Lost) and Fund Sweeps (\$M)						
	Appropriation	Reduction	Lost Fringe due to Reduction	Fund Sweeps	Total Cut	
FY10	\$ 235.3	\$ (2.3)	\$ (1.2)	\$ (8.0)	\$ (11.5)	
FY11	233.0	(0.4)	(0.2)	(15.0)	(15.6)	
FY12	207.7	(2.1)	(1.1)	-	(3.2)	
FY13	206.1	(10.3)	(5.2)	-	(15.5)	
FY14	203.4	(0.8)	(0.4)	-	(1.2)	
FY15	229.6	(7.4)	(3.7)	-	(11.1)	
FY16	243.2	(2.6)	(1.6)	(13.2)	(17.4)	
FY17**	223.0	(6.9)	(4.5)		(11.4)	
TOTAL	\$	(32.8)	\$ (17.9)	\$ (36.2)	\$ (86.9)	

In addition to lapses already received, should the State budget dip into deficiency during FY17, the Governor has authority to make rescissions up to 5% or \$19.0M.

Note: Use of decimals may result in rounding differences.

State Appropriation

State appropriations have not kept pace with inflation. UConn tuition, fees, expense reductions and operational efficiencies must make up for the loss of funding.



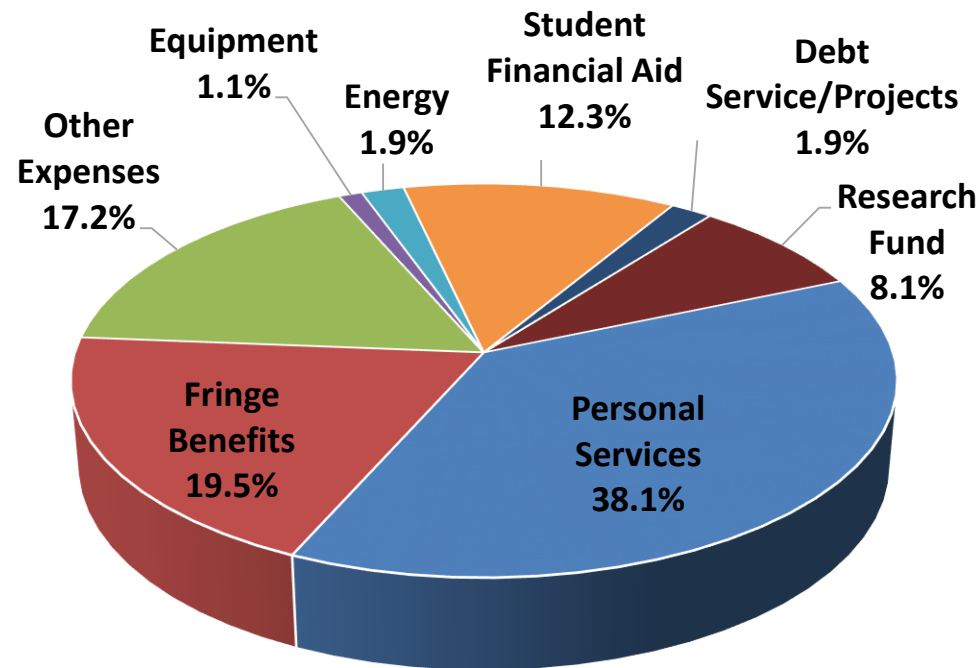
*FY17 includes the appropriation and lapses as of 6/8/16.

FY17 Expense Budget

Operating expenses are budgeted to increase \$13M or 1.0% over the FY16 forecast.

Expenditures (\$M)

Personal Services	502.2
Fringe Benefits	258.3
Other Expenses	226.9
Equipment	13.9
Energy	25.2
Student Financial Aid	161.6
Debt Service/Projects	24.9
Total Operating Fund	\$ 1,213.1
Research Fund	106.3
Total Operating Expenditures	\$ 1,319.3

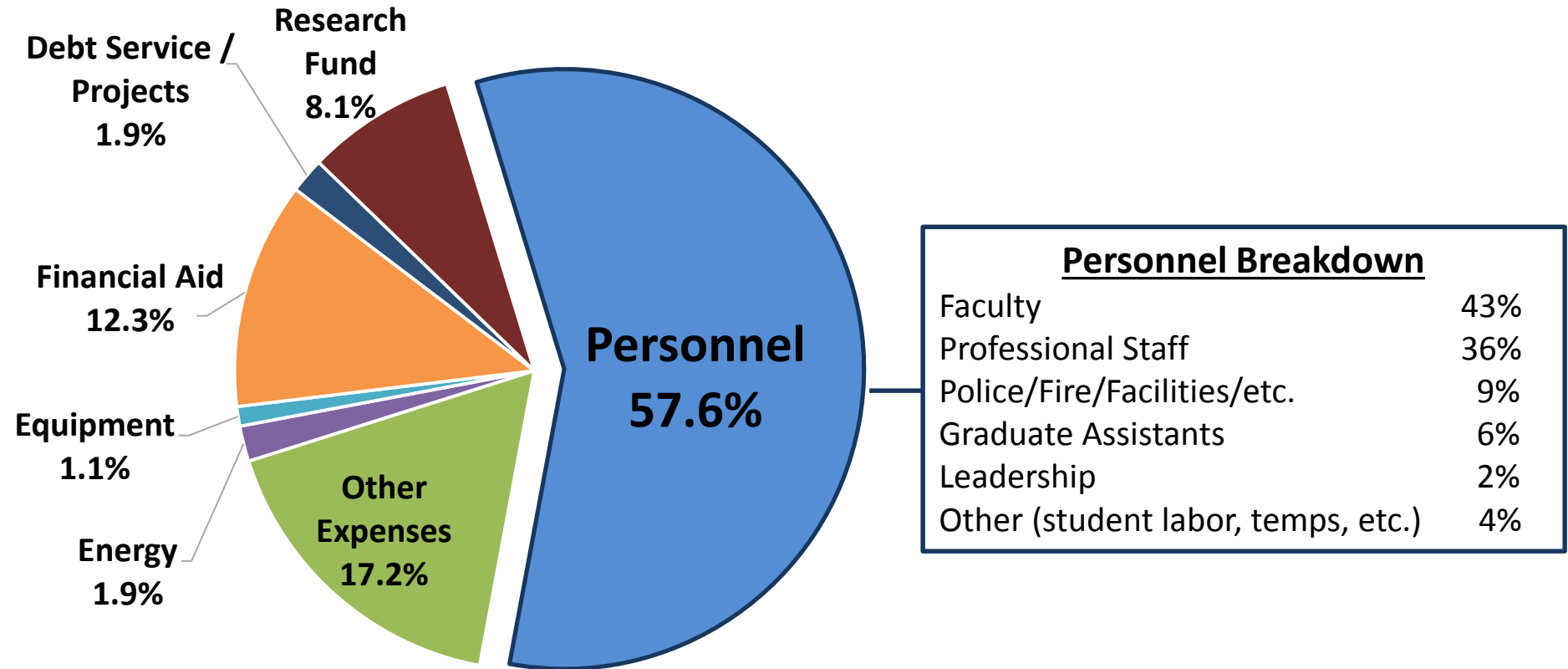


*Personal Services includes all salary costs for faculty, staff, graduate assistants, etc.

Note: Use of decimals may result in rounding differences.

Personal Services & Fringe Benefits

Personnel costs, including salaries and fringe benefits, account for almost 58% of UConn's total operating budget.



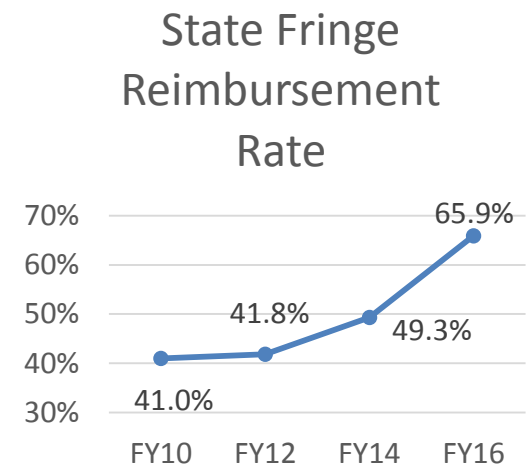
The State Appropriation covers about 56% of permanent employees

Note: Use of decimals may result in rounding differences.

Fringe Benefit Expenses

Total fringe benefit costs have grown by \$88M since FY13. The State Comptroller sets fringe rates, including retirement rates.

Fringe Benefits (\$M)	FY13	FY17	FY13-17 Change
Total Fringe Benefit Expenses	\$170.3	\$258.3	\$88.0
State Fringe Reimbursement	\$88.9	\$146.9	\$58.0
UConn Fringe Responsibility	\$81.4	\$111.4	\$30.0
% of Expense State Pays	52.2%	56.9%	



Even though UConn's State fringe reimbursement rate has increased over the past few years, our share of the fringe cost remains high at \$111.4M in FY17.

Financial Aid Expense

UConn remains committed to supporting students and is providing an additional \$11.2M in financial aid for FY17.

- The percentage of need-based financial aid to gross tuition is growing to 17.5% which is greater than last year and over the State's requirement of 15%.
- Need based financial aid is \$62.4M out of the \$95.9M University supported total.

Financial Aid (\$M)	FY14	FY15	FY16 Forecast	FY17 Budget	FY16-FY17 Change
University Supported	\$77.9	\$83.5	\$84.7	\$95.9	\$11.2
State	9.4	11.2	14.1	13.3	(0.8)
Federal (Pell/SEOG)	24.3	25.6	27.0	27.8	0.8
Other *	20.5	\$2.4	27.5	24.6	(2.9)
Total Aid in Budget	\$132.1	\$142.7	\$153.3	\$161.6	\$8.3

*Other funding comes from the Foundation, Endowments and other University self-supported areas

Spend Smart

UConn managers have addressed cuts in funding over the last several years by identifying areas for expense reductions, revenue enhancements, cost avoidance, and/or operational efficiencies.

Through a program called Spend Smart, over 200 initiatives were implemented by over 37 departments, schools/colleges and units totaling nearly \$29M. A few examples include:

Center for Excellence in Teaching and Learning (CETL) – Reorganization of the Center for Continuing Studies into CETL ~\$3.5M. Supports students and faculty through online initiatives, robust academic outreach programs, and development of new entrepreneurial programs.

School of Business - Reconfiguration of classrooms at the Graduate Business Learning Center ~\$685k. Supports student needs by aligning course offerings with demand.

Office of the Controller – Development of a new purchase order invoice process ~\$295k.

School of Nursing – Creation of an electronic health records system for simulation laboratories ~\$78k. Better prepares students for careers in nursing.

Waterbury Campus - Re-organized information desk ~\$55k.

Animal Care – Replacement of automatic water system to water bottles ~\$13k.

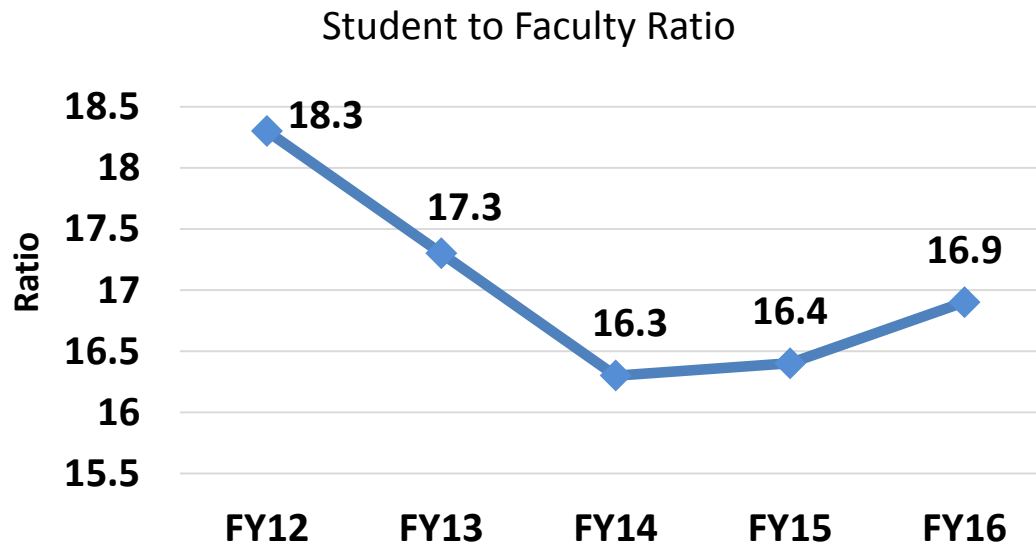
Student Affairs – Consolidation of ticket sales to the Student Union Information Center/online ~\$10k. Offers the community one stop shopping and online options.

UConn is changing the way it does business.

Budget Impact on Faculty and Students

While the University continues to prioritize student and faculty needs, the impact of the budget cuts are being felt around campus.

The student to faculty ratio has increased to 16.9:1. This could potentially have an impact on retention and graduation rates and overall rankings.



UConn's goal is to reach a Student to Faculty Ratio of 15:1

Operating Budget Risks

✓ State Support

- State cuts have totaled \$86.9M since FY10, including the largest cut coming in FY16 at \$17.4M. We have already received additional cuts through lapses of \$11.4M and are at risk for a maximum of \$19M more in cuts during the fiscal year.
- FY18 does not look better and UConn has been asked to look at a 10% cut or \$36M from the FY17 appropriation for FY18.

✓ State Fringe Benefits

- The State FY17 fringe rates will not be released until the end of June. Actual rates could be higher than assumed.

✓ Collective Bargaining Increases

- Current assumption is 0% for FY17 collective bargaining increases.
- Uncertainty for FY18 and beyond.

✓ Fair Labor Standards Act (FLSA) Change

- With the maximum salary changing for qualifying overtime, UConn is still assessing the impact on our employees. It appears the financial risk may be around \$1.5M.

UConn HEALTH

FY16 Operating Budget vs. Forecast (\$M)

UConn Health will end FY16 ahead of budget by \$3.1M.

	FY2016 Budget	FY2016 Forecast	Variance
<u>Revenues:</u>			
Tuition & Fees	\$ 22.6	\$ 21.6	\$ (1.0)
Research Grants and Contracts	81.6	83.8	2.2
Interns and Residents	64.6	65.0	0.4
Net Patient Care	440.2	439.7	(0.5)
Correctional Managed Health Care	91.0	87.4	(3.6)
Other Income	48.6	45.6	(3.0)
Total Revenue	\$ 748.6	\$ 743.1	\$ (5.5)
<u>Expenses:</u>			
Salary Expense	\$ 387.2	\$ 384.9	\$ (2.3)
Fringe Benefits	200.9	200.4	(0.5)
Medical/Dental House Staff	52.6	52.4	(0.2)
Correctional Managed Health Care	91.0	87.4	(3.6)
Outside & Other Purchased Services	65.6	65.4	(0.2)
Drugs/Medical Supplies	79.5	77.6	(1.9)
Other Expenses	126.2	120.8	(5.4)
Total Expenses	\$ 1,003.0	\$ 988.9	\$ (14.1)
<u>Excess/(Deficiency) of Revenues over Expenses</u>	\$ (254.4)	\$ (245.8)	\$ 8.6
State Appropriation-Block Grant	137.3	137.3	-
State Supported Fringe Benefits	101.2	102.2	1.0
GF Appropriation Reduction	-	(1.4)	(1.4)
Workers Compensation Net of Appropriation	-	(1.1)	(1.1)
Transfer to State of CT	-	(4.0)	(4.0)
<u>Excess/(Deficiency)</u>	\$ (15.9)	\$ (12.8)	\$ 3.1

UConn Health Budget Gap (\$M)

FY2016 Forecast	(12.8)
Reflect the current State Support	(23.3)
New Building and maintenance expense	(9.6)
New Employee and Fringe expense	(8.9)
Projected Deficit	\$ (54.6)
Workforce reductions	15.2
Departmental review	1.2
Clinical Revenue	15.9
Managerial Furlough	0.4
Print services and minor equipment	1.1
Medical Supplies and Other expenses	1.3
Research revenue	2.1
Tuition	1.5
Total Changes	\$ 38.7
Revised Funding Gap	\$ (15.9)

UConn Health State Support

UCH - Reductions, Lost Fringe and Fund Sweeps (\$1M)

	Appropriation	Reduction *	Lost Fringe due to Reduction	Fund Sweeps	Total Cut
FY10	\$ 119.0	\$ (1.2)	\$ (0.5)	\$ (10.0)	\$ (11.8)
FY11	119.3	(0.2)	(0.1)	(10.0)	(10.3)
FY12	109.7	(1.2)	(0.6)	-	(1.8)
FY13	112.7	(4.1)	(2.0)	-	(6.1)
FY14	125.5	(0.5)	(0.3)	-	(0.8)
FY15	135.4	(4.2)	(2.4)	-	(6.6)
FY16	144.3	(0.4)	(0.2)	(4.0)	(4.6)
FY17**	145.0	(14.5)	(9.2)	-	(23.7)
TOTAL		\$ (26.4)	\$ (15.3)	\$ (24.0)	\$ (65.7)

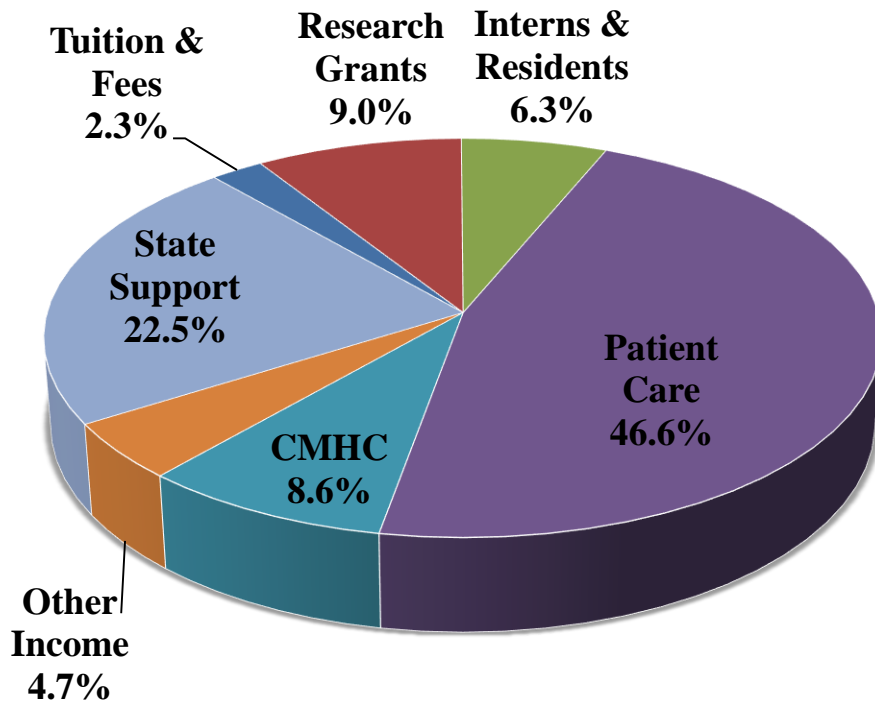
In FY17, UCH has been cut by \$23.7M and is at risk for an additional \$12.0M.

FY17 UConn Health Operating Budget (\$M)

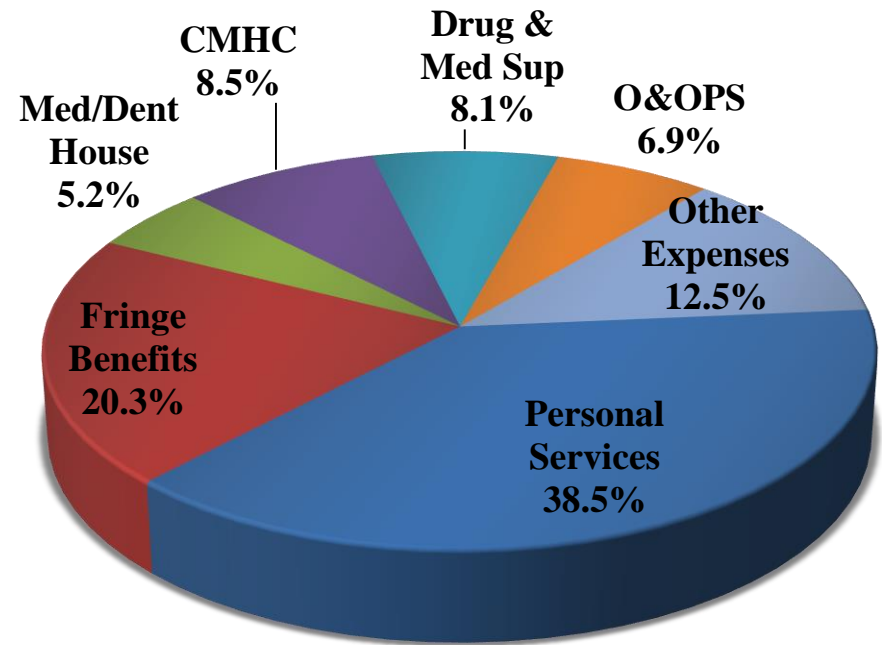
	FY2016 Forecast	FY2017 Proposed Budget	Variance
<u>Revenues:</u>			
Tuition & Fees	\$ 21.6	\$ 23.1	\$ 1.5
Research Grants and Contracts	83.8	89.8	6.0
Interns and Residents	65.0	63.3	(1.7)
Net Patient Care	439.7	464.6	24.9
Correctional Managed Health Care	87.4	86.0	(1.4)
Other Income	45.6	46.4	0.8
Total Revenue	\$ 743.1	\$ 773.2	\$ 30.1
<u>Expenses:</u>			
Salary Expense	\$ 384.9	\$ 388.0	\$ 3.1
Fringe Benefits	200.4	204.5	4.1
Medical/Dental House Staff	52.4	52.5	0.1
Correctional Managed Health Care	87.4	86.0	(1.4)
Outside & Other Purchased Services	65.4	69.1	3.7
Drugs/Medical Supplies	77.6	81.4	3.8
Other Expenses	120.8	125.8	5.0
Total Expenses	\$ 988.9	\$ 1,007.3	\$ 18.4
<u>Excess/(Deficiency) of Revenues over Expenses</u>	\$ (245.8)	\$ (234.1)	\$ 11.7
State Appropriation-Block Grant	137.3	123.8	(13.5)
State Supported Fringe Benefits	102.2	94.6	(7.6)
GF Appropriation Reduction	(1.4)	-	1.4
Workers Compensation Net of Appropriation	(1.1)	(0.2)	0.9
Transfer to State of CT	(4.0)	-	4.0
<u>Excess/(Deficiency)</u>	\$ (12.8)	\$ (15.9)	\$ (3.1)

UConn Health FY17 Budget

Revenue Budget \$991.4M



Expenditure Budget \$1,007.3M



UConn Health FY17 Spending Plan (\$M)

Total Operating Revenue	\$	773.2
State Support		218.2
Restricted Capital Balances:		
Use Allowance		5.6
State Bond Funds		44.4
Departmental /Grant Funds		<u>1.0</u>
Total Available for Spending		\$ 1,042.4
Total Operating Expenses (less depreciation)	\$	969.9
Current Approved Capital Projects		2.5
Working Capital Needs		2.0
FY 2017 Capital Spending		12.0
State Bond Funds		43.9
Use Allowance		5.6
Departmental /Grant Funds		1.0
Principal Payment on Debt		5.5
Total Spending Plan		\$ 1,042.4
Excess/(Deficiency)		<u>-</u>

UConn Health FY17 Operating Budget Risks

- Appropriation reductions, lost fringe, and fund sweeps
- Unsettled contracts with all unions
- Workers' Compensation expenses
- Payor mix and volume
- Provider based reimbursement
- Medicaid Reimbursement
- New University Tower– minimal historical data
- Retirement rate not final – to be received at the end of June 2016
- Hybrid Plan employee conversion – SAG award – IRS determination letter

Capital Budget Program

Capital Budget Program

- Thanks to the strong support from the State via UCONN 2000 - NextGenCT & Bioscience CT, UConn continues the transformation of modernizing, rehabilitating and expanding the physical plant of the University.
- In an effort to manage all University fund sources in a more strategic manner, UConn is presenting an all funds capital budget.

Major Building Openings in FY17

The first 3 major projects of the NextGenCT capital program will be completed this summer.



Monteith Building Renovation

- This 73,000 square-foot building will be the new home for the Math Department
- Budget of \$25M



Putnam Refectory Renovation

- This 42,000 square-foot dining hall is undergoing major renovations, will service new Next Generation Connecticut Residence Hall
- Budget of \$23M

Next Generation Connecticut Hall

- New 212,000 square-foot facility, with 725 new beds + staff & director apartments
- Budget of \$105M



FY17 Capital Budget

The State has deferred \$26M of bond funding to FY18, reducing the FY17 amount from \$266.4M to \$240.4M.

NextGenCT / Bioscience CT* Funding - UCONN 2000 GO Bonds		
NextGenCT	\$179,290,000	DM, Equipment, Hartford Campus, Residential Life, Academic & Research Facilities-Gant, Engineering, Fine Arts
Bioscience CT	61,110,000	DM, Equipment, Main Building, Medical School Academic Building, Hospital (Clinic Building)
Total UCONN 2000 GO Bonds	\$240,400,000	
Other - UConn Funds	34,152,000	Student Recreation Center, ESCO, Residential Life, Nathan Hale Debt, Athletics Facilities, Etc.
Total Capital Budget	\$274,552,000	All capital projects costing \$500K or more are submitted for Board action on a project by project basis

*NextGenCT and Bioscience CT are part of the UCONN 2000 Act

NextGenCT Projects under Construction

Major Projects in Construction (\$M)	FY17 Funds	Total Budget	Estimated Completion
Monteith Renovation	\$1.5	\$25	Aug 2016
Putnam Renovation	3.7	23	Aug 2016
Central Campus Infrastructure Repair	13.8	15	Jan 2019
North Eagleville Road Infrastructure Repair-P111a	15.7	20	Fall 2016
Engineering & Science Building	17.1	95	July 2017
Hartford Acquisition & Renovation	68.4	140	July 2017
South Campus Complex Envelope Repair	4.2	18	Nov 2016
Next Generation CT Hall	9.4	105	Aug 2016
ESCO – Steam Lines (UConn funds)	16.4	28	Spring 2017
DM/Renovations/Equip (incl. \$2M UConn funds)	25.3		Varies

Total: \$157.1M Bond Fund + \$18.4M UConn Funds=\$175.5M

Engineering & Science Building



Hartford Campus

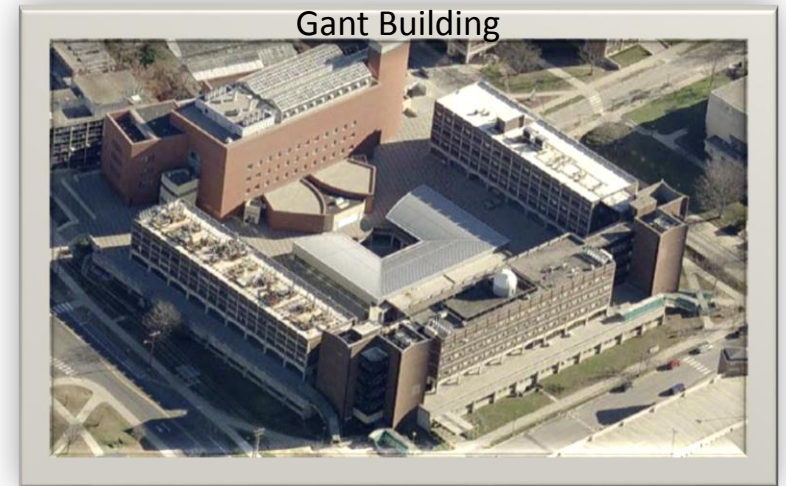


Next Generation CT Hall



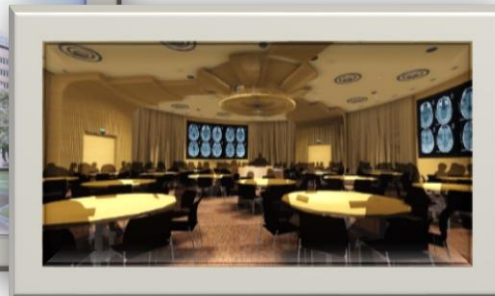
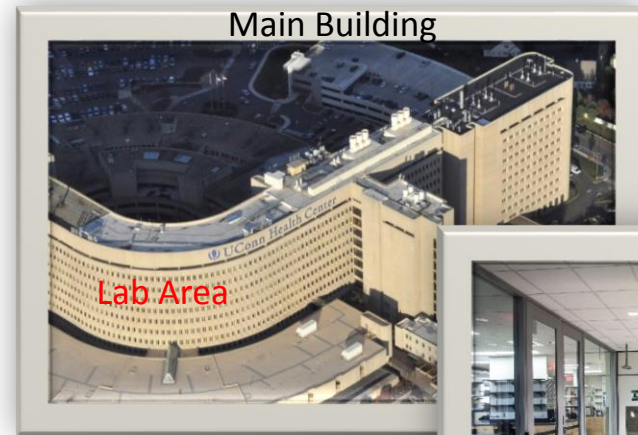
NextGenCT Projects in Planning/Design

Major Projects in Planning/Design (\$M)	FY17 Funds	Total Budget	Estimated Completion
Gant Renovations	\$2.2	\$180	Dec 2022
Fine Arts Addition	3.7	23	Fall 2018
Utility GIS Mapping	2.4	3	Spring 2017
South Campus Commons	2.7	4	TBD
Student Recreation Center (UConn funds)	6.8	100	Jan 2019
DM / Renovations (incl. \$8.9M UConn funds)	17.4		Varies
Total: \$22.2M Bond Funds + \$15.7M UConn Funds=\$37.9M			



Bioscience CT Major Projects

Major Projects (\$M)	FY17 Funds	Total Budget	Estimated Completion
Main Building: Lab Renovation Project 2	\$22.8	\$41	Feb 2017
Academic Building Addition & Renovation	4.6	36	April 2017
Clinic Building "C" Renovation	29.6	92	Aug 2018
DM/Equipment	4.1		Varies
Total	\$61.1		



Capital Program Risks

- For FY17, the State has deferred \$26M of authorization until FY18.
 - The program depends upon the economic health of the State and additional deferrals are a possibility in the future.
 - Delaying a project today could equal higher costs in the future.
 - Starting/stopping projects would affect UConn's reputation and bid responses/competitive pricing.
- UConn bridging funding for Capital Projects.
 - UConn funding was used to pay ~\$70 million of construction bills, which was reimbursed with April 2016 bond issuance.
 - Projecting use of ~\$125M of UConn funds prior to spring 2017 bond issuance.
- Many needs have been identified which are not fully funded or included in the current capital plan (i.e. deferred maintenance, athletic facilities), and funding strategies will need to be identified.
- Funding for UCH ends in FY18.



FY17 UConn Draft Budget Presentation

Board of Trustees
Financial Affairs Committee

May 25, 2016

Agenda

1. FY17 UConn Draft Budget Presentation
2. FY17 UConn Health Draft Budget Presentation
3. Capital Budget Presentation

Overview

1. Protect academic excellence, provide strong student support, and support the research mission of the University.
2. Keep commitment to accessibility through increased student financial aid in the face of fiscal constraints.
3. UConn's FY17 budget is a balanced budget due to careful management of expenses, operational efficiency gains, tuition increases and enhanced external revenues to mitigate against cuts in State funding.

UConn Budget by the Numbers

UConn Storrs and Regional Campuses

- \$1.3B Operating Budget
- 5 Campuses (Storrs, Avery Point, Hartford, Stamford & Waterbury)
- 12 Schools and Colleges
- 392 distinct departments
- 70 Centers and Institutes
- 7 Bargaining Units - Covering 90% of employees
- 23,407 Undergraduate Students (Fall 2015)
- 7,332 Graduate Students (Fall 2015)
- 4,801 Faculty and Staff (Fall 2015)

FY16 UConn Operating Budget vs. Forecast

Despite \$17.2M of State cuts, with careful management, UConn will end FY16 with a small net gain of \$1.1M.

	FY16 Budget (\$M)	FY16 Forecast (\$M)	Favorable / (Unfavorable)
Revenues:			
Appropriation	243.1	240.6	(2.5)
Fringe Benefits Reimbursements	153.5	145.8	(7.7)
Total State Support	\$ 396.7	\$ 386.4	\$ (10.2)
Tuition	346.8	353.0	6.2
Fees	117.4	122.0	4.6
Grants & Contracts	68.8	78.3	9.5
Foundation/Endowment	18.4	20.0	1.6
Sales & Service Education	18.4	19.5	1.1
Auxiliary Enterprise Revenue	215.7	210.1	(5.6)
Other Revenue	10.7	16.0	5.3
Total Operating Fund	\$ 1,192.8	\$ 1,205.3	\$ 12.5
Research Fund	101.8	102.0	0.2
Total Current Funds Revenues	\$ 1,294.6	\$ 1,307.3	\$ 12.7
Expenditures:			
Personal Services	507.8	500.3	7.5
Fringe Benefits	259.3	247.4	11.9
Other Expenses/Equipment	212.1	221.8	(9.7)
Energy	24.5	23.5	1.0
Student Financial Aid	146.5	153.3	(6.8)
Debt Service/Projects	38.0	56.3	(18.2)
Total Operating Fund	\$ 1,188.2	\$ 1,202.5	\$ (14.3)
Research Fund	104.2	103.7	0.5
Total Current Funds Expenditures	\$ 1,292.4	\$ 1,306.3	\$ (13.8)
Net Gain/(Loss) Loss	\$ 2.2	\$ 1.1	\$ (1.1)

Note: Use of decimals may result in rounding differences.

FY17 Updated Budget Gap

UConn has solved the FY17 Budget Gap through the following:

Updated Budget Gap		\$M
Projected December 2015 Budget Gap		\$ (40.2)
Current FY17 State Budget		(29.2)
Lower fringe costs than previously budgeted		7.1
Undergraduate tuition increase		12.8
Graduate tuition and other increases		1.2
No Union/Staff increases		19.0
FY17 - Strategic 3% cut from University units		18.0
Full-Year Impact of FY16 mid-year cuts		9.2
Other changes		2.5
Total Changes		\$ 40.6
Revised Funding Gap		\$ 0.4

Note: Use of decimals may result in rounding differences.

How has UConn met fiscal challenges?

Cuts have been made to almost every unit within UConn over the last few years.

In FY17, units across campus have had to reduce costs with most taking a 3% cut. Some cuts have been in positions (largely not filling vacancies) but also through proactively looking at ways to manage expenses while improving services. The University initiated a program (Spend Smart) to identify areas where expense reductions and/or operational efficiencies occurred. To date, more than 200 initiatives have been implemented. Below are two examples:

College of Liberal Arts and Sciences – Continuous and comprehensive analysis of undergraduate student course needs to ensure effective instructional planning and optimal instructor/student ratio.

- Fiscal resources are maximized and high demand courses are available and accessible to more students. Temporary and instructional support costs are minimized while maintaining academic quality.

One Card Office (Student IDs) - Incoming freshman students submit their ID card pictures online during the summer instead of coming to the office to have them taken by student office staff during orientation.

- Reduction in One Card Office staffing needs.
- Enhances the student experience - allows more time during orientation for students to participate in orientation programming.

FY17 Draft University Budget

Despite fiscal challenges, UConn is presenting a balanced budget for FY17.

Revenues (\$M)

Appropriation	229.9
Fringe Benefits & Adjustments	151.4
Total State Support	\$ 381.3
Tuition	372.7
Fees	124.5
Grants & Contracts	79.3
Foundation/Endowment	20.0
Sales & Service Education	19.8
Auxiliary Enterprise Revenue	210.7
Other Revenue	18.3
Total Operating Fund	\$ 1,226.6
Research Fund	104.6
Total Operating Revenues	\$ 1,331.2

Expenditures (\$M)

Personal Services	502.2
Fringe Benefits	258.3
Other Expenses	226.9
Equipment	13.9
Energy	25.2
Student Financial Aid	161.6
Debt Service/Projects	36.4
Total Operating Fund	\$ 1,224.6
Research Fund	106.3
Total Operating Expenditures	\$ 1,330.8
Net Gain/(Loss)	\$ 0.4

Note: Use of decimals may result in rounding differences.

FY17 Draft Revenue Budget

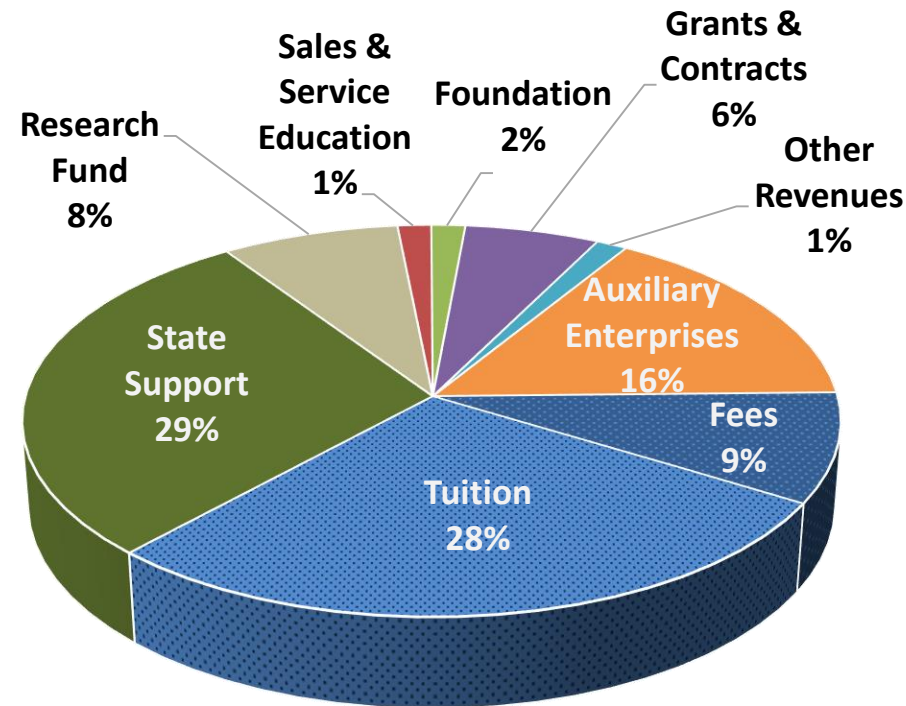
Total revenue is expected to increase \$24M or 1.8% over FY16.

Revenues (\$M)

Appropriation	229.9
Fringe Benefits & Adjustments	151.4
Total State Support	\$ 381.3
Tuition	372.7
Fees	124.5
Grants & Contracts	79.3
Foundation/Endowment	20.0
Sales & Service Education	19.8
Auxiliary Enterprise Revenue	210.7
Other Revenue	18.3
Total Operating Fund	\$ 1,226.6
Research Fund	104.6
Total Operating Revenues	\$ 1,331.2

The following slides will breakdown each category listed above.

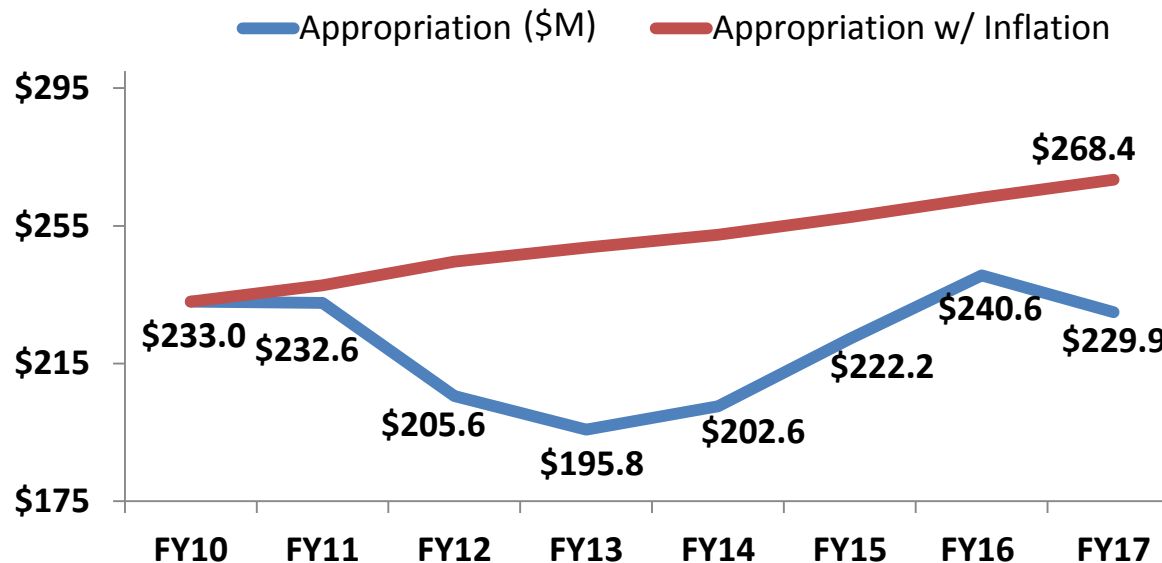
Note: Use of decimals may result in rounding differences.



37% of total revenue is funded by students and their families through tuition and fees.

State Support

UConn was able to balance the FY17 budget despite a reduction of \$29.2M from the original FY17 adopted budget. This was done through strategic expenditure reductions, judicious hiring, careful spending and additional tuition revenue.



Due to the Governor and legislative authority to make mid-year reductions, UConn is at risk for up to a maximum of \$30.4M in additional cuts in FY17.

State Support

UConn has sustained \$75.3M in state reductions*, lost fringe and fund sweeps since 2010.

UConn - Reductions, Fringe (lost) and Fund Sweeps (\$M)						
	Appropriation	Reduction	Lost Fringe due to Reduction	Fund Sweeps	Total Cut	
FY10	\$ 235.3	\$ (2.3)	\$ (1.2)	\$ (8.0)	\$ (11.5)	
FY11	\$ 233.0	\$ (0.4)	\$ (0.2)	\$ (15.0)	\$ (15.6)	
FY12	\$ 207.7	\$ (2.1)	\$ (1.1)	\$ -	\$ (3.2)	
FY13	\$ 206.1	\$ (10.3)	\$ (5.2)	\$ -	\$ (15.5)	
FY14	\$ 203.4	\$ (0.8)	\$ (0.4)	\$ -	\$ (1.2)	
FY15	\$ 229.6	\$ (7.4)	\$ (3.7)	\$ -	\$ (11.1)	
FY16	\$ 243.2	\$ (2.6)	\$ (1.6)	\$ (13.2)	\$ (17.4)	
TOTAL		\$ (25.9)	\$ (13.2)	\$ (36.2)	\$ (75.3)	

Largest cut in UConn history.

UConn tuition, fees, other revenues, expense reductions and operational efficiencies must make up for cuts to protect academic excellence and provide strong student support.

Note: Use of decimals may result in rounding differences.

NextGenCT Operating Funding

Given the State's fiscal challenges, NextGenCT operating funds were significantly less than the original plan.

\$M	Original Plan	Actual/ Proposed*	Change	Percent Reduction
FY15	\$ 17.4	\$ 7.6	\$ (9.8)	56.3%
FY16	33.8	9.6	(24.2)	71.6%
FY17	54.0	19.2	(34.8)	64.4%
Total	\$ 105.2	\$ 36.4	\$ (68.8)	65.4%

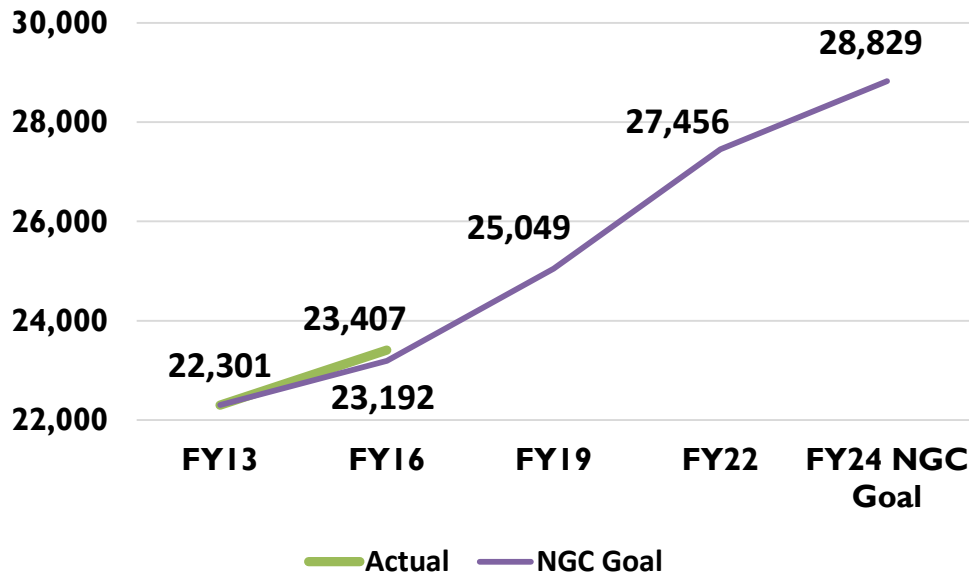
- Reduction in funding has impacted our ability to hire new faculty.
- In FY17, we will hold freshmen enrollment steady and plan to enroll 3,800 new Storrs freshmen.
- NextGenCT capital funding is more critical than ever to ensure faculty have labs/equipment needed to compete for grants, STEM students and faculty have great facilities to support research & education, and STEM scholarships and financial aid are funded.

*Due to mid-year reductions in FY15 & FY16, UConn has utilized one-time funds to fulfill the financial commitments of this initiative in those years.

UConn NextGenCT Student Growth

Since FY13, total undergraduate enrollment has increased by 1,106 students or 5% and is above the NextGenCT planned increase, despite NextGenCT operating fund shortages.

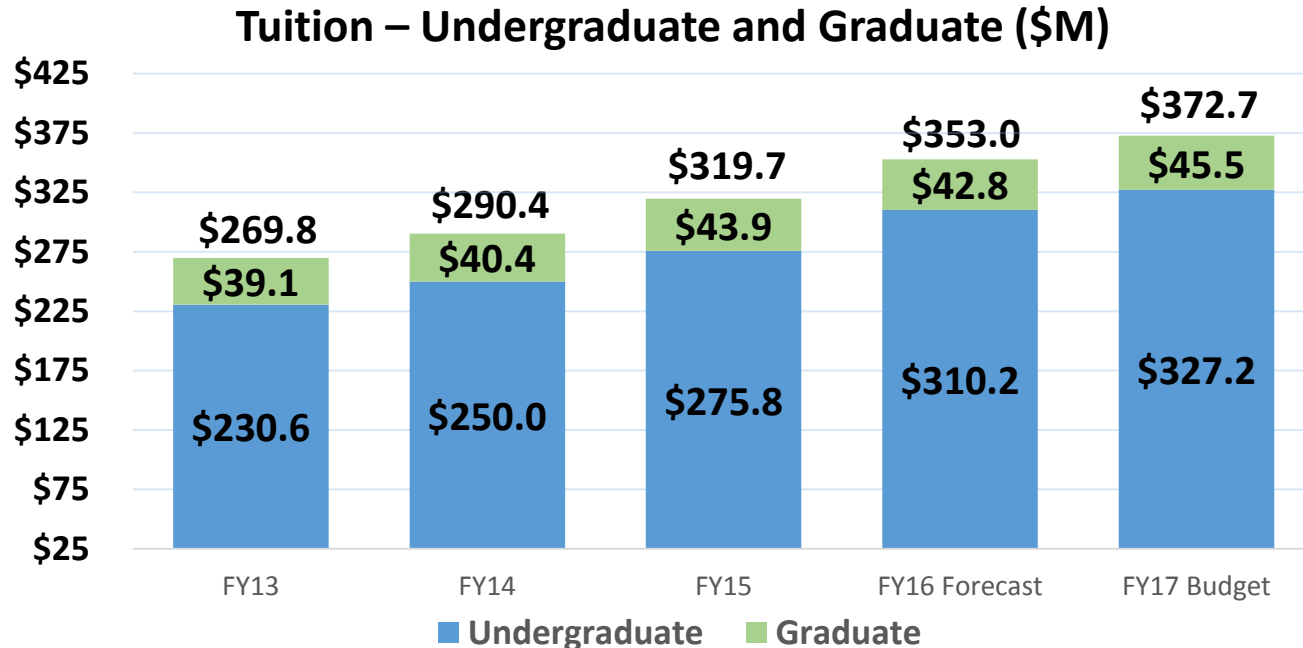
Undergraduate Enrollment



- Storrs undergraduate STEM enrollment increased by 22% since FY13.
 - 809 of the STEM students are in engineering which has increased by 41%.
- FY17 freshmen enrollment is not expected to grow.

Tuition Revenue

Gross Tuition Revenue is projected to grow 5.6% or \$19.7M over FY16.



- Undergraduate tuition revenue is expected to grow by \$17M driven by both rate increases and enrollment factors.
- 17.5% of tuition is devoted to need based financial aid, well above the required 15%.
- Graduate tuition revenue is projected to grow by almost \$3M in FY17, reflecting the tuition rate increase.

Fee Revenue

Mandatory Fees will not increase in FY17. Overall fee revenue will increase by \$1M, driven by enrollment growth within Fee Based Programs.

Course Fees \$38.8M

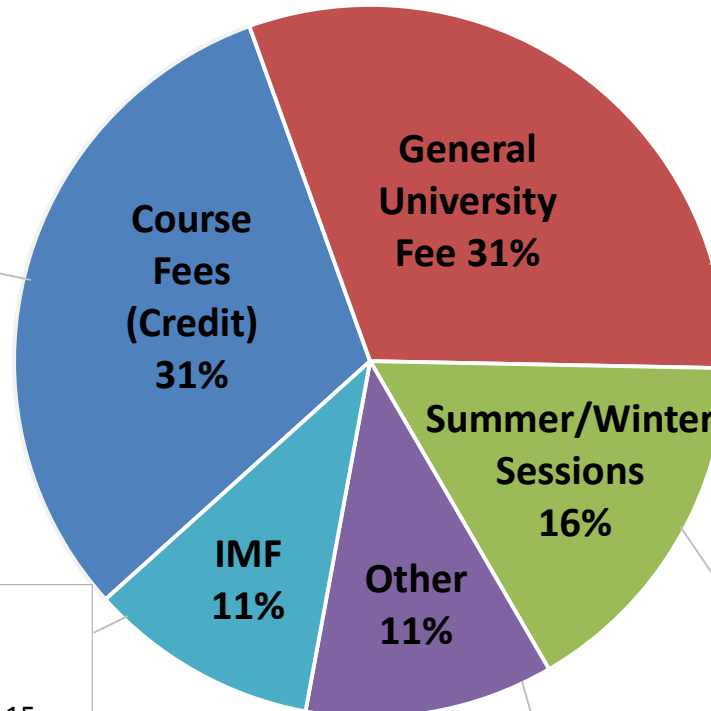
Includes programs in the Schools of Business, Education, Nursing, Social Work, Engineering, Law and Center for Excellence in Teaching and Learning (CETL)

Course Fee revenues have grown 55%, driven in large part to entrepreneurial and self supporting programs, including those listed above.

Infrastructure Maintenance Fee (IMF) \$13.0M

The IMF rate has not increased since the 2014-15 academic year.

IMF supports debt service associated with South Campus, as well as campus-wide preventative and deferred maintenance.



General University Fee (GUF) \$38.4M

The GUF rate has not increased since the 2014-15 academic year.

GUF funds activities in Student Health Services, Athletics & Recreational Services, One Card Office, the Jorgensen Center for the Performing Arts, Student Activities, payments to Student Union, and the Off Campus Student Services Office.

Other \$14.0M

This includes fees such as Application, Technology, Academic Materials and Major Fees.

Summer/Winter Sessions \$20.3M

Overall Summer/Winter Sessions revenues are steady. UConn continues to explore expanding this as a potential increased revenue source.

Grants and Contracts Revenue

Grants and Contracts Revenues have grown \$13.7M or 21% since FY13.

Federal Grants and Contracts \$43.7M

- Includes financial aid revenues, such as Pell Grants and the Federal Work Study Program. It also includes USDA awards in the School of Agriculture.

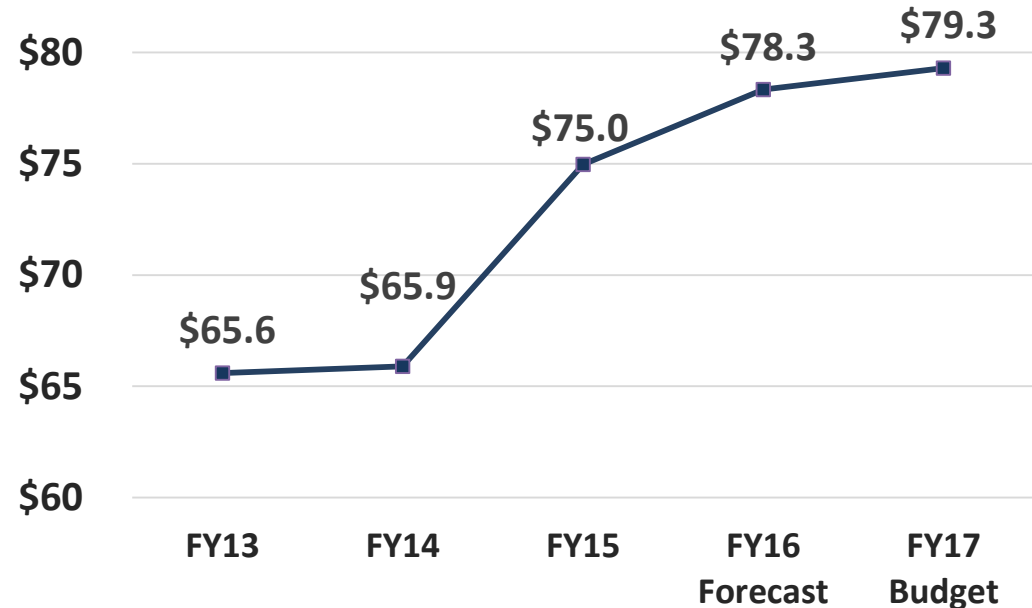
State Grants and Contracts \$32.2M

- Includes State funded financial aid, such as the Governors Merit Scholarship. Also includes the College Aid Grant, Social Work DSS agreement and Connecticut Education Network grant.
- Since FY13, State Grants and Contracts have seen an increase of over 40%.

Other Grants and Contracts \$3.4M

- Reflects a broad range of programs offered to students. Examples: CIGNA/UConn Business Analytics Research Collaboration, College Readiness Programs.

Grants and Contracts Revenue (\$M)



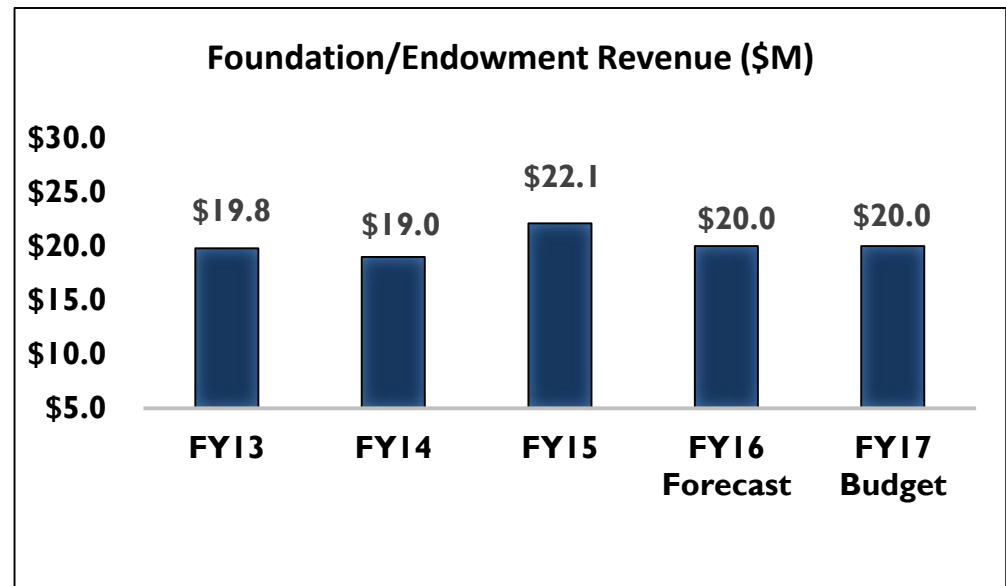
Most of these revenues are non-discretionary such as Pell Grants, Scholarships, etc.

Foundation/Endowment Revenue

Foundation and Endowment Revenue is expected to remain steady in FY17.

Foundation and Endowment \$20.0M

- As the endowment grows, it will play a larger part in the operating budget.
- This does not include money given for capital projects.
- FY17 estimated endowment income from the Foundation \$15.2M.
- Fundraising results are often not seen immediately but offer a long term payoff.



In the last two fiscal years, the UConn Foundation has raised approximately \$160M which is the best two year results in its history.

Sales & Service of Educational Activities

FY17 Sales & Service of Educational Activities is budgeted at \$19.8M.

Definition: Revenues from the sales of goods or services that are incidental to the conduct of instruction, research or public service.

Examples include:



**UConn Dairy Bar
-Ice Cream Sales**



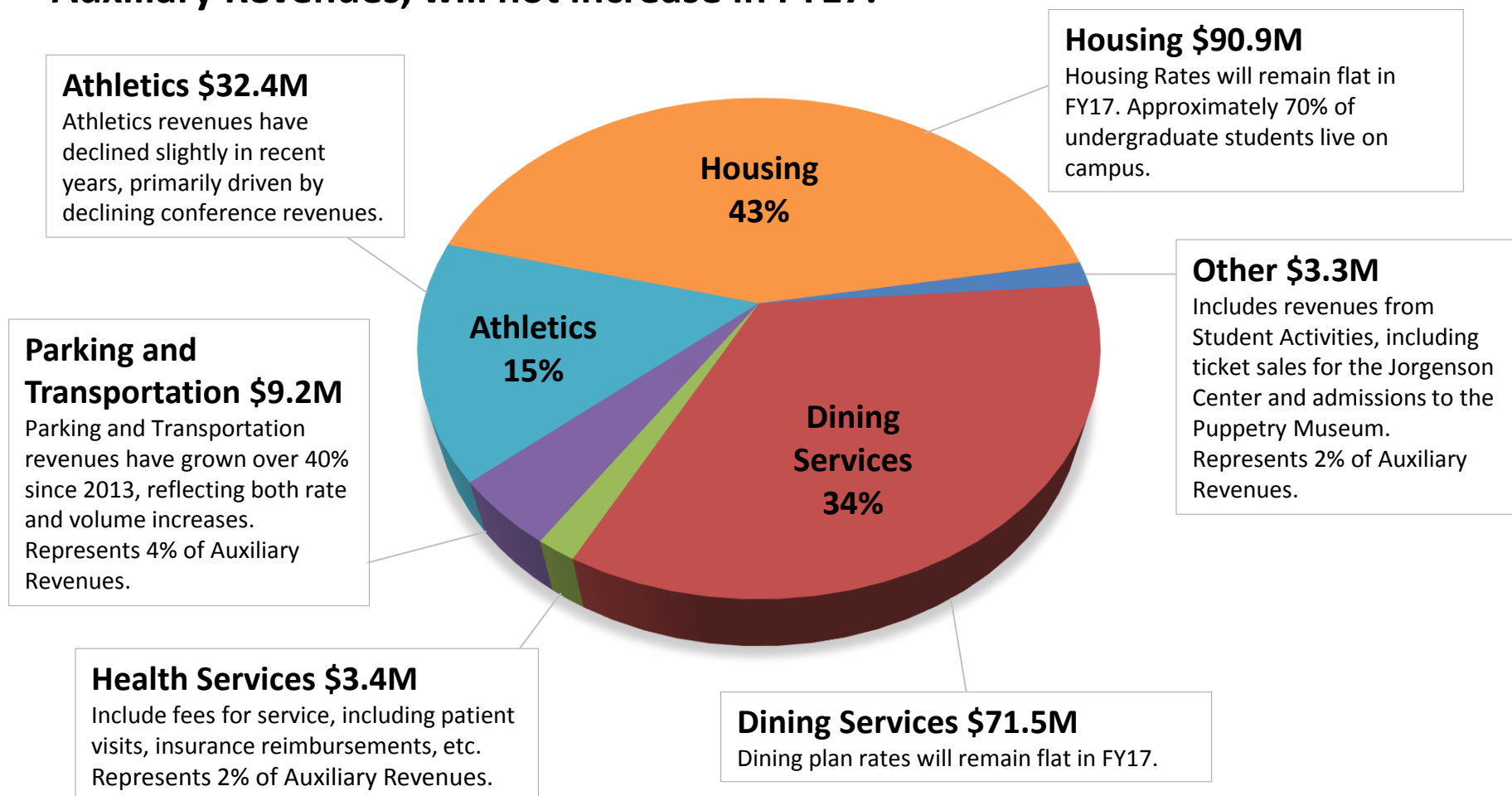
**Global Affairs
-Fee incidental to Travel
Abroad Programs**

*UConn
Grown*

**Agriculture
- UConn Blooms Plant and
Flowers Shop**

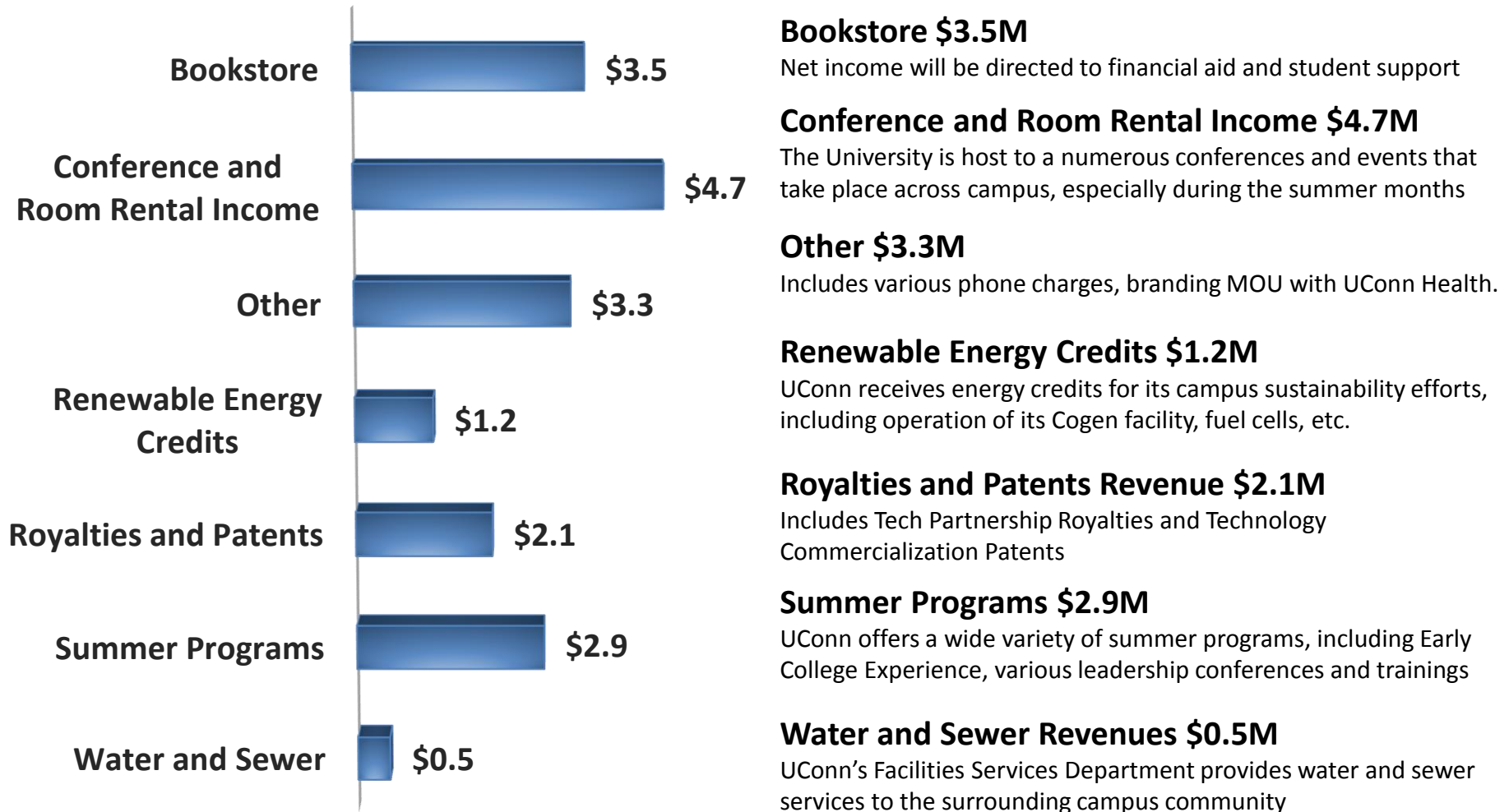
Auxiliary Enterprise Revenue

Housing and Dining Rates, which make up the largest component of Auxiliary Revenues, will not increase in FY17.



Other Revenues

Other Revenues consist of a wide variety of sources and are budgeted at \$18.3M.



Note: Use of decimals may result in rounding differences.

FY17 Draft Revenue Budget Overview

Summary of Revenues:

- Total Revenues are up 1.8% over FY16. Tuition, course fees, and other smaller revenue sources have partially offset the cuts in State appropriation funding.
- Mandatory Fees, as well as Room and Board rates, were not increased from the 2015-16 academic year.
- UConn continues to look for additional revenue other than tuition and fees to offset reductions in State support and to fund strategic initiatives.

A look ahead to Expenses:

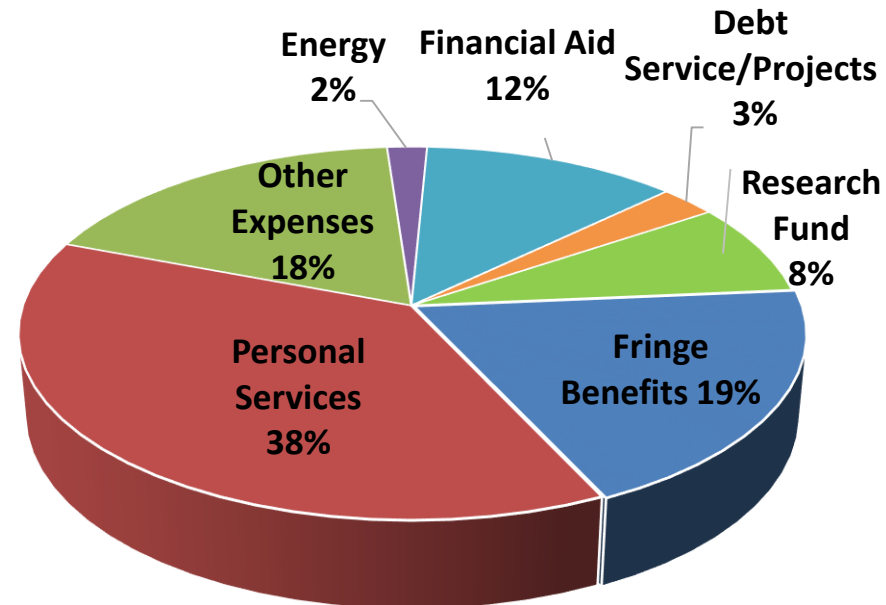
- Personal Services and Fringe Benefits make up more than 57% of the total expenditure budget.
- Financial Aid is growing over FY16 by \$8.3M or 5.4%, due to additional University funds to support our needy and meritorious students.

FY 17 Expense Budget

Operating expenses are budgeted to increase \$50.3M or 3.9% over FY16 .

Expenditures (\$M)

Personal Services*	502.2
Fringe Benefits	258.3
Other Expenses	226.9
Equipment	13.9
Energy	25.2
Student Financial Aid	161.6
Debt Service/Projects	36.4
Total Operating Fund	\$ 1,224.6
Research Fund	106.3
Total Operating Expenditures	\$ 1,330.8



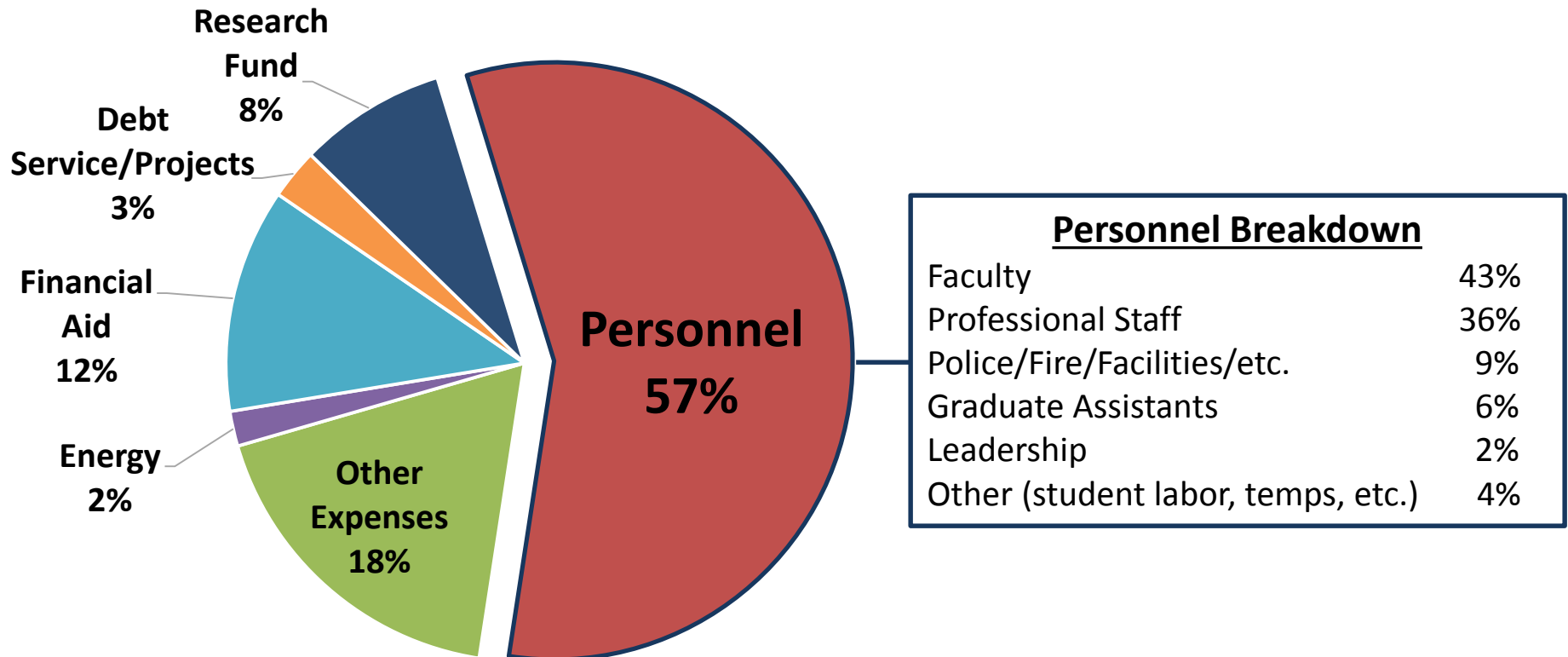
Each category will be broken out on the following slides.

*Personal Services includes all salary costs for faculty, staff, graduate assistants, etc.

Note: Use of decimals may result in rounding differences.

Personal Services & Fringe Benefits

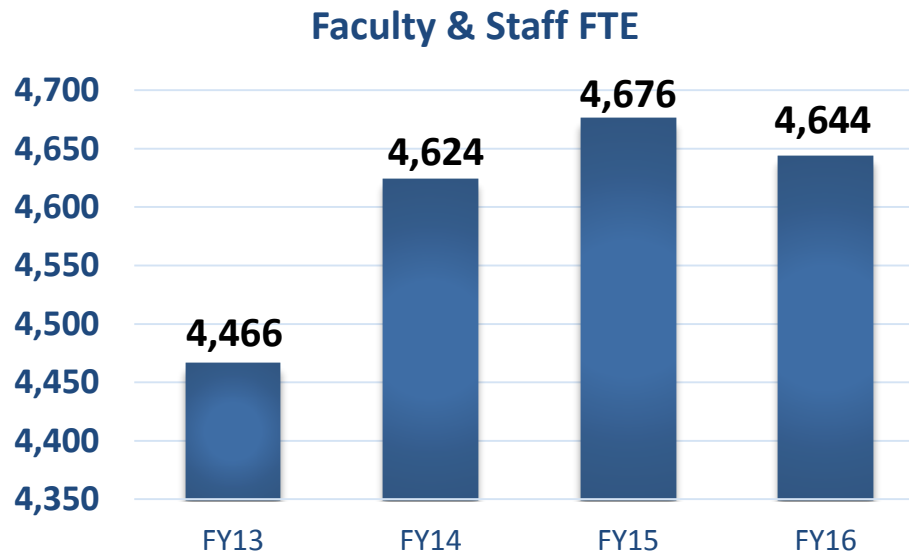
Personnel costs, including salaries and fringe benefits, account for over 57% of UConn's total operating budget.



The State Appropriation covers 63.4% of permanent employees

Personal Services

Despite increased enrollment, UConn's overall employee count dropped by 32 FTE from FY15 to FY16 due to necessary departmental rescissions.



Student enrollment has increased by 4.5% since FY13, while faculty and staff have grown only 4% over that same time period.

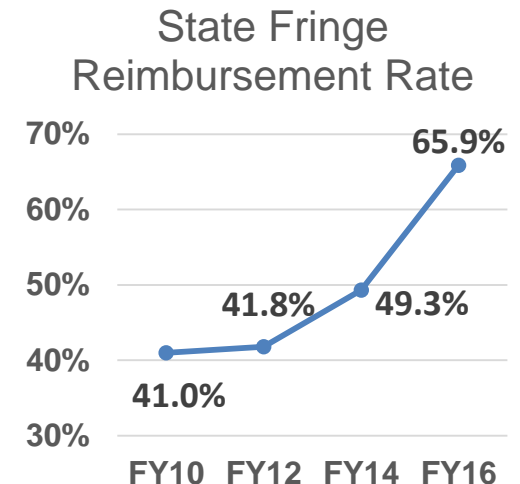
This data represents a snapshot from UConn's HR/Payroll system in February each year and excludes Post Doctoral Fellows.

FY14 and FY15 growth due to NextGen CT and Faculty Hiring Plan.

Fringe Benefit Expenses

Fringe benefit costs have grown by \$88M since FY13. The State Comptroller sets fringe rates including retirement rates.

Fringe Benefits (\$M)	FY13	FY17	FY13-17 Change
Total Fringe Benefit Expenses	\$170.3	\$258.3	\$88.0
State Fringe Reimbursement	\$88.9	\$151.4	\$62.5
UConn Fringe Responsibility	\$81.4	\$106.9	\$25.5
% of Expense State Pays	52.2%	58.6%	



Even though UConn's State fringe reimbursement rate has increased over the past few years, our share of the fringe cost remains high at \$106.9M in FY17.

Fringe Benefit Rates

The State Comptroller develops and pushes out the fringe benefit component rates to all of higher education. For simplicity and predictable budgeting for University departments, UConn develops average rates.

FY16 State Component Rates

Retirement (SERS)	53.58%
FICA SS	6.20%
FICA Medicare	1.45%
Unemployment Compensation	0.12%
Group Life Insurance*	~0.20%
Medical Insurance*	<u>~30.55%</u>
	92.10%

*Rates vary according to coverage selected

Other retirement options are available, however almost 50% of our current employees are on the State plan.

ARP Rate 11.99%

Teachers Rate 43.14%

Of the State retirement component rate of 53.58%, 29.3% is attributable to covering the State's overall unfunded liability.

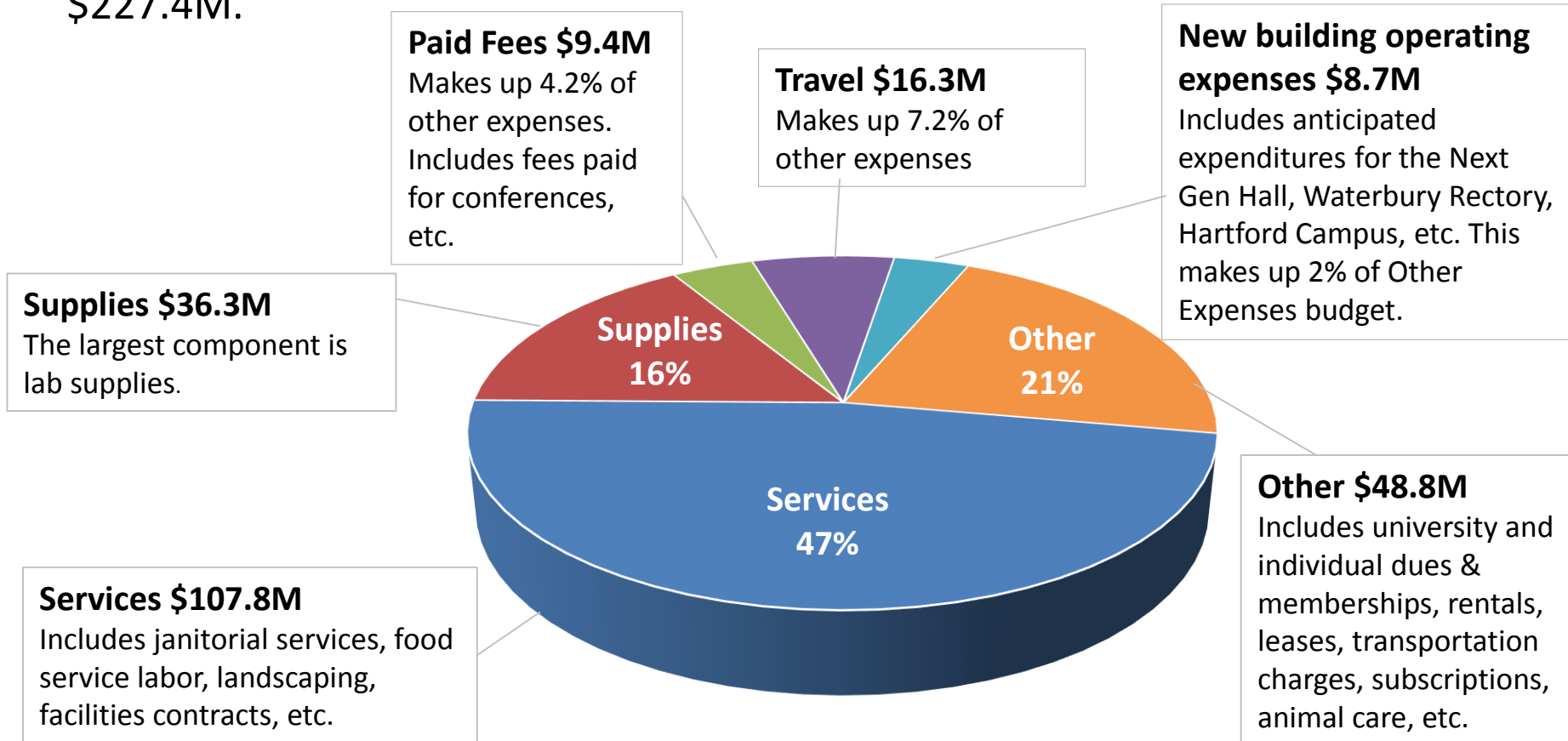
UConn Average Rates

University Rate Category	FY13	FY16	FY17 (projected)	FY13–17 Change
Faculty	36.7%	47.0%	50.6%	13.9%
Professional	47.3%	59.5%	63.5%	16.2%
Classified	79.6%	92.1%	94.5%	14.9%

Classified employees do not have the option of choosing other retirement plans and must be enrolled in the State retirement plan.

Other Expenses

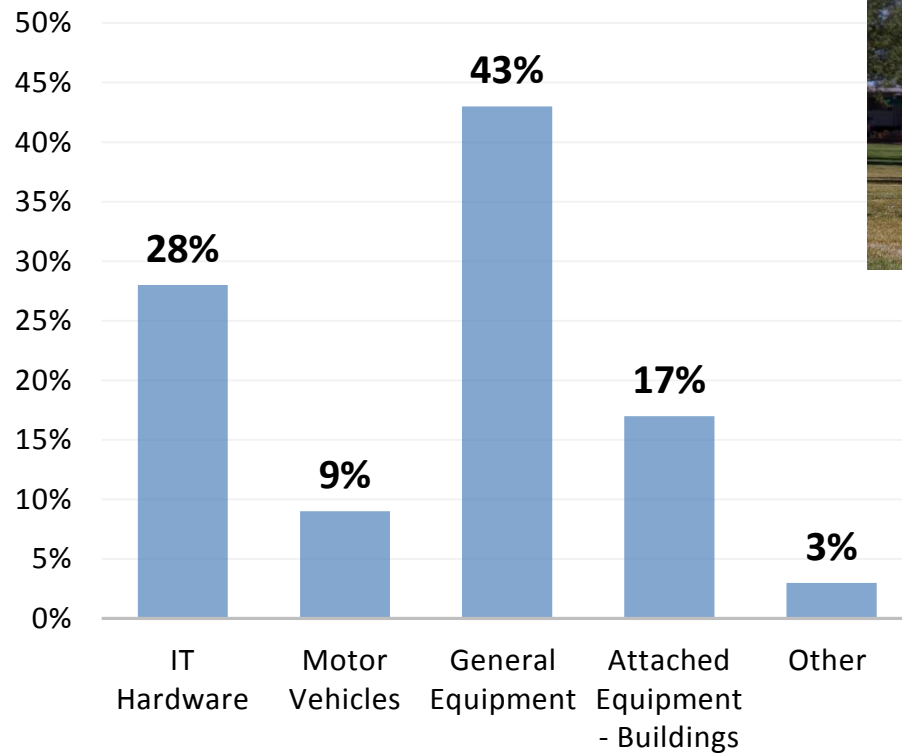
Includes all lab supplies, cost of food for dining services, parts for maintenance, office supplies, etc. In FY17, the total spend is expected to be \$227.4M.



Equipment Expenses

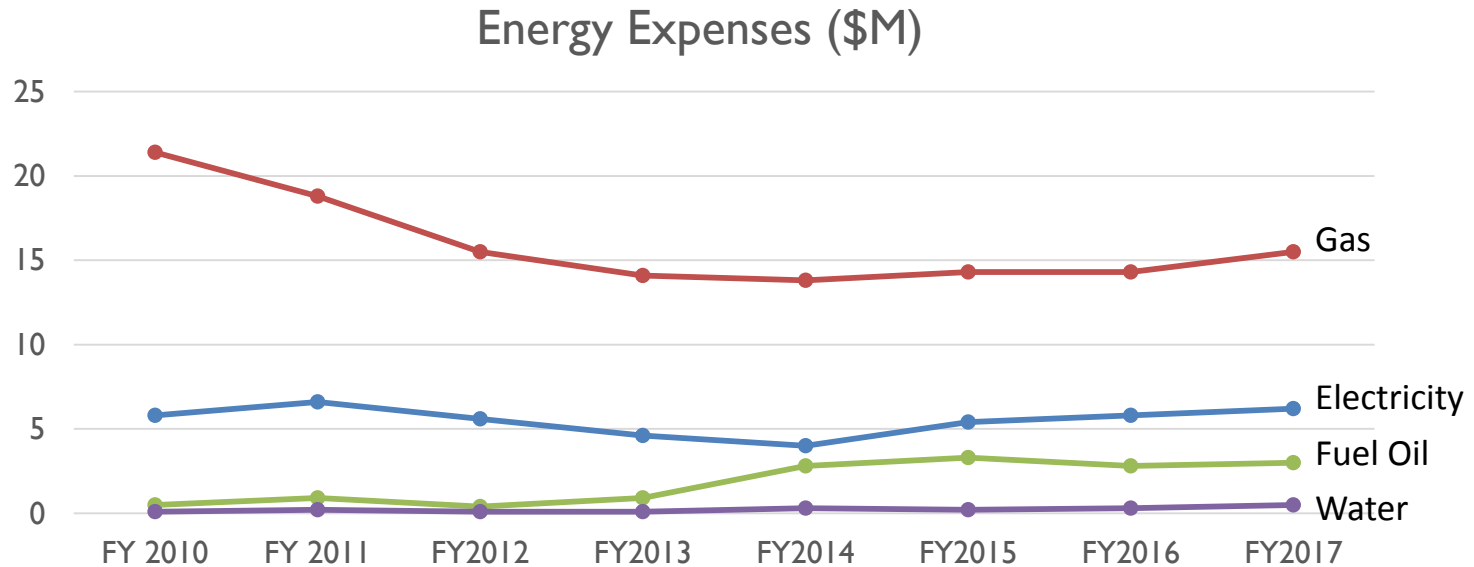
Equipment is defined as tangible, non-expendable, personal property having an anticipated life of one year or more.

Total Equipment Budget = \$13.9M



Energy Expenses

Since 2010, UConn energy expenses have decreased by more than \$4.5M.



Recent and ongoing energy savings initiatives.

- Energy Savings Performance Contracts (ESCO) – upgrading steam system.
- Retro-commissioning of building systems.
- Lighting upgrades.
- Recognized as a top 10 school in the Sierra Club over the last 4 years for being green and environmentally friendly.

Financial Aid Expense

UConn is committed to providing student financial aid, despite declining State funding.

- University Supported Financial Aid is growing by \$11.2M over the FY16 forecast.
- The percentage of need-based financial aid to overall tuition is growing to 17.5% which is greater than last year and over the State's requirement of 15%.

Financial Aid (\$M)	FY14	FY15	FY16 Forecast	FY17 Budget	FY16-FY17 Change
University Supported	\$77.9	\$83.5	\$84.7	\$95.9	\$11.2
State	9.4	11.2	14.1	13.3	(0.8)
Federal (Pell)	24.3	25.6	27.0	27.8	0.8
Other *	20.5	\$2.4	27.5	24.6	(2.9)
Total Aid in Budget	\$132.1	\$142.7	\$153.3	\$161.6	\$8.3

*Other funding comes from the Foundation, Endowments and other University self-supported areas

Operating Budget Risks

✓ State Support

- State cuts have totaled \$75.5M since FY10, including the largest cut coming in FY16 at \$17.4M. UConn's maximum risk for additional State cuts during FY17 is \$30.4M

✓ State Fringe Benefits

- The State FY17 fringe rates will not be released until the third week of June. Actual rates could be higher than assumed.
- This year the state proposed appropriating a fixed fringe reimbursement amount for UConn, instead of our current process of assigning employees for fringe reimbursement. While this proposal did not materialize, it poses a future risk if implemented.

✓ Collective Bargaining Increases

- Current assumption is 0% for FY17 collective bargaining increases.
- Uncertainty for FY18 and beyond.

✓ Fair Labor Standards Act (FLSA) Change

- With the maximum salary changing for qualifying overtime, UConn is still assessing the impact on our current and future employees, however it appears the financial risk may be around \$1.5M.

UConn HEALTH

UConn Health Budget by the Numbers

UConn Health is a complex, centralized institution supporting the integrated tripartite mission of Research, Education and Patient Care:

- \$1.0B Operating Budget
- 7 Business Units (School of Medicine, School of Dental Medicine, Research, Correctional Managed Health Care, Institutional Support, John Dempsey Hospital, UConn Medical Group)
- 8 Offsite Locations (Canton, Storrs, Southington, West Hartford, East Hartford, Plainville, Putnam, Simsbury)
- 386 distinct departments
- 321 Graduate Students (Fall 2015)
- 396 Medical Students (Fall 2015)
- 168 Dental Students (Fall 2015)
- 8 Bargaining Units - Covering 86% of employees

FY16 Budget Update and Projections

- Approved FY16 budget was \$15.9M loss, and forecast is a \$3.1M improvement to \$12.8M loss.
- Patient revenue projected to be on budget.
 - John Dempsey Hospital (JDH) over budget by \$4.2M
 - Dental Clinics over budget by \$1.2M
 - UConn Medical Group (UMG) under budget by \$6.1M
- Position/hiring management oversight saved \$2.8M in salary and fringes
- Late opening of University Tower resulting in a savings in facility costs

FY16 Operating Budget vs. Forecast

UConn Health will end FY16 ahead of budget by \$3.1M.

	FY16 Budget (\$M)	FY16 Actuals (\$M)	Variance
Revenues			
Tuition and Fees	22.6	21.6	(1.0)
Research Grants and Contracts	81.6	83.8	2.2
Interns and Residents	64.6	65	0.4
Net Patient Care	440.2	439.6	(0.6)
Correctional Managed Health Care	91	87.4	(3.6)
Other Income	48.5	45.6	(2.9)
Total Revenue	\$ 748.5	\$ 743.0	\$ (5.5)
Expenses			
Salary Expense	387.2	384.9	(2.3)
Fringe Benefits	200.9	200.4	(0.5)
Medical/Dental House Staff	52.6	52.4	(0.2)
Correctional Managed Health Care	91	87.4	(3.6)
Outside & Other Purchased Services	65.6	65.4	(0.2)
Drugs/Medical Supplies	79.5	77.6	(1.9)
Other Expenses	79.4	75	(4.4)
Debt Service	10.5	10.5	-
Depreciation	36.2	35.3	(0.9)
Total Expense	\$ 1,002.9	\$ 988.9	\$ (14.0)
Excess/(Deficiency of Revenues over Expenses	(254.4)	(245.9)	8.5
State Appropriation-Block Grant	137.3	136.2	(1.1)
State Supported Fringe Benefits	101.2	102.2	1.0
GS Appropriation Rescission	-	(1.3)	(1.3)
Transfer to State of CT	-	(4.0)	(4.0)
Excess/(Deficiency)	\$ (15.9)	\$ (12.8)	\$ 3.1

UConn Health Budget Gap

(\$M)	
FY16 Forecast	(12.8)
Reflects current State Support	(16.8)
New Building and maintenance expense	(9.6)
New Employee and Fringe expense	(8.9)
Projected Deficit	\$ (48.1)
Workforce reductions	8.7
Departmental review	1.2
Clinical revenue	15.9
Managerial Furlough	0.4
Print services and minor equipment	1.1
Medical Supplies and Other expenses	1.3
Research revenue	2.1
Tuition	1.5
Total Changes	\$ 32.2
Revised Funding Gap	\$ (15.9)

FY17 UConn Health Draft Operating Budget

Revenues (\$M)				Expenditures (\$M)			
	State Support		\$ 224.7		Salary Expense		\$ 392.0
	Tuition & Fees		23.1		Fringe Benefits		207.0
	Research Grants and Contracts		89.8		Medical/Dental House Staff		52.5
	Interns and Residents		63.3		Correctional Managed Health Care		86.1
	Net Patient Care		464.6		Outside & Other Purchased Services		69.1
	Correctional Managed Health Care		86.0		Drugs/Medical Supplies		81.4
	Other Income		46.4		Other Expenses		78.0
					Debt Service		10.2
					Depreciation		37.4
Total Revenues			\$ 997.9	Total Expenses			\$ 1,013.8
				Net Loss			\$ (15.9)

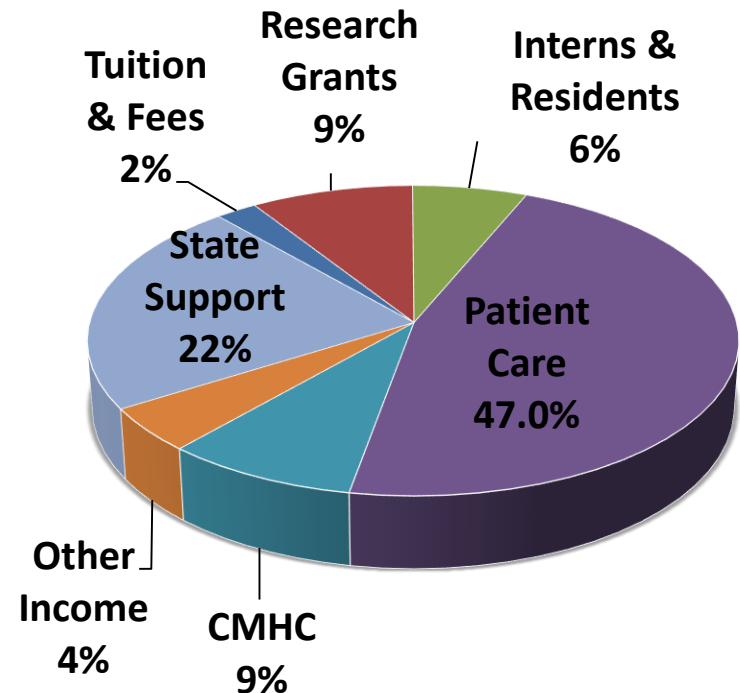
UConn Health FY17 Draft Spending Plan

Total Operating Revenue		\$ 773.2	Total Operating Expenses (less depreciation)	\$ 976.4
	State Support	224.7	Current Approved Capital Projects	2.5
			Working Capital Needs	2.0
	Restricted Balances:		FY 2017 Capital Spending	12.0
	Use Allowance	5.6	State Bond Funds	43.9
	State Bond Funds	44.4	Use Allowance	5.6
	Departmental/Grant Funds	1.0	Departmental /Grant Funds	1.0
			Principal Payment on Debt	5.5
Total Available for Spending		\$1,048.8	Total Spending Plan	\$ 1,048.8
			Net Gain/(Loss)	\$ 0.0

UConn Health FY17 Revenue Budget

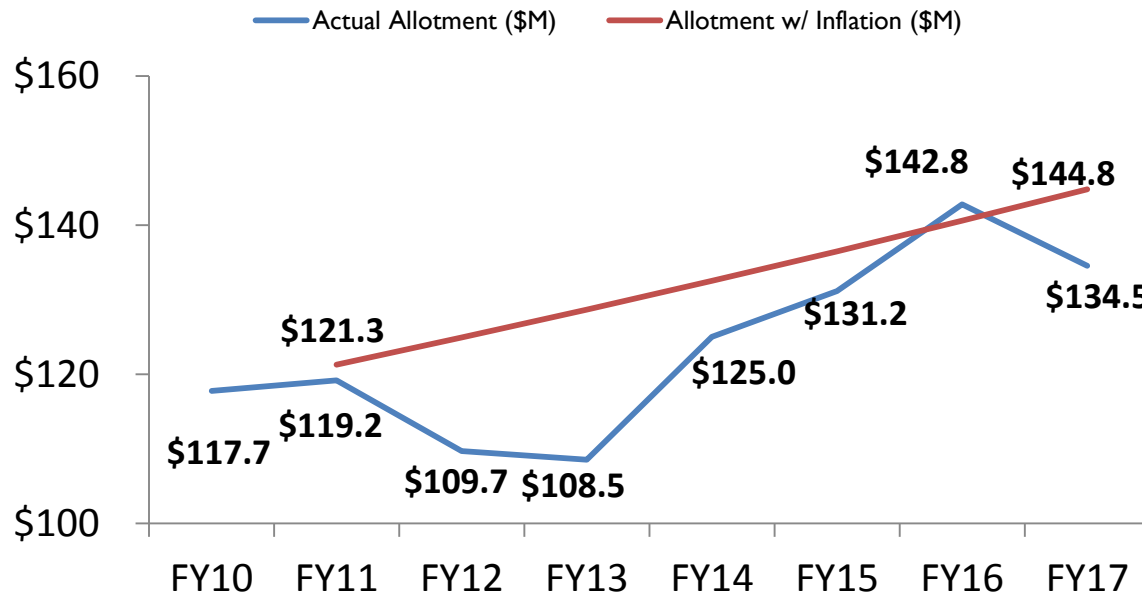
Total revenue is expected to increase \$21M, or 2.1 % over FY16.

Revenues (\$M)		
State Support	\$	224.7
Tuition & Fees		23.1
Research Grants and Contracts		89.8
Interns and Residents		63.3
Net Patient Care		464.6
Correctional Managed Health Care		86.0
Other Income		46.4
Total Revenues	\$	997.9



UConn Health State Support

UConn Health was able to balance the FY17 budget despite a reduction of \$16.8M from the FY17 Adopted budget (equates to a 7.2% drop in appropriation). This was accomplished by reviewing all positions prior to hiring, careful spending, additional patient revenue and a reduction on capital spending.



Due to the Governor and legislative authority over mid-year reductions, UConn Health is at risk for up to \$17.4M in additional reductions in FY17.

UConn Health State Support

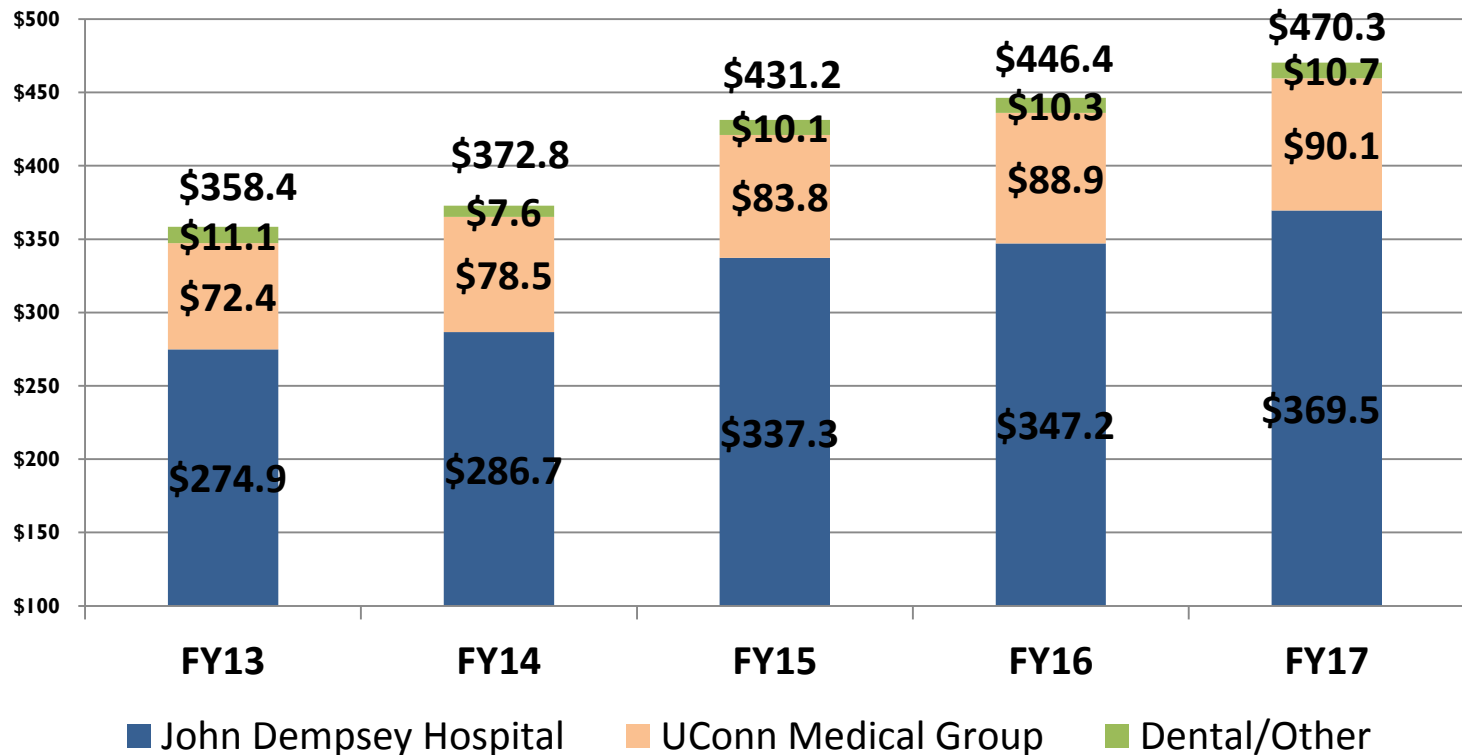
UConn Health has sustained \$58.8M in state appropriation reductions*, lost fringe and fund sweeps since FY10.

UConn Health - Reductions, Fringe (lost) and Fund Sweeps (\$M)					
	Appropriation	Reduction	Lost Fringe due to Reduction	Fund Sweeps	Total Cut
FY10	\$ 119.0	\$ (1.2)	\$ (0.5)	\$ (10.0)	\$ (11.8)
FY11	\$ 119.3	\$ (0.2)	\$ (0.1)	\$ (10.0)	\$ (10.3)
FY12	\$ 109.7	\$ (0.0)	\$ (0.0)	\$ -	\$ (0.0)
FY13	\$ 112.7	\$ (4.1)	\$ (2.0)	\$ -	\$ (6.1)
FY14	\$ 125.5	\$ (0.5)	\$ (0.3)	\$ -	\$ (0.8)
FY15	\$ 135.4	\$ (4.2)	\$ (2.4)	\$ -	\$ (6.6)
FY16	\$ 144.3	\$ (1.5)	\$ (0.9)	\$ (4.0)	\$ (6.4)
FY17	\$ 145.0	\$ (10.4)	\$ (6.4)	\$ -	\$ (16.8)
TOTAL		\$ (22.2)	\$ (12.7)	\$ (24.0)	\$ (58.8)

*Reductions = lapses, rescissions and deficit mitigation

UConn Health Net Patient Revenue

Net Patient Revenue is projected to grow 5.4%, or \$24M over FY16.



UConn Health FY17 Draft Budget Summary

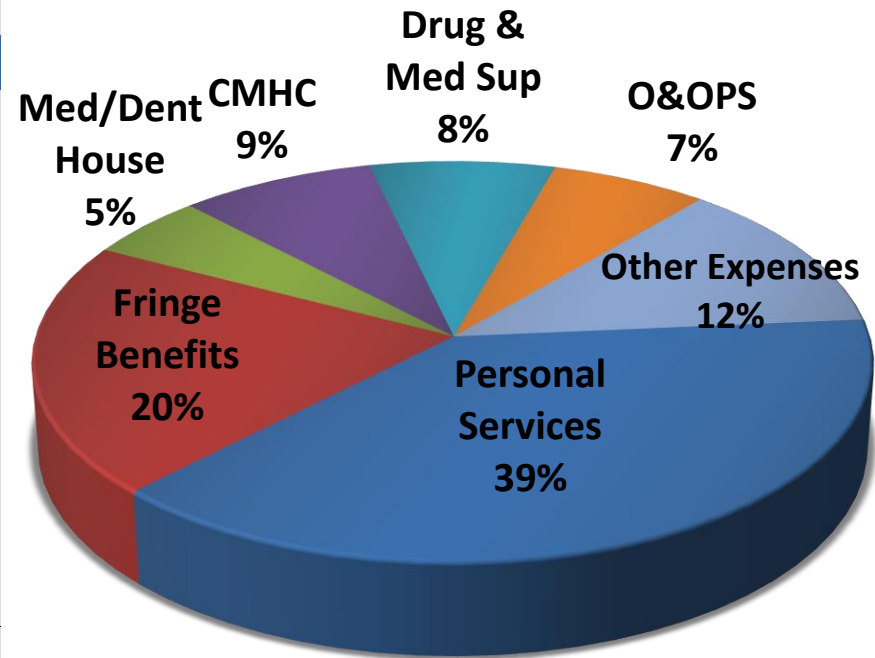
Summary of Revenues:

- Tuition and fees \$1.4M increase for the School of Medicine (SOM) and School of Dental Medicine (SODM) assumes approved rate increase
- Federal and non federal grants revenue 4.5% increase (offset by expense increase)
- Interns and residents revenue will decrease by 2.5% due to a one time additional revenue in FY16
- Net patient revenue has an overall increase of \$24.5M - UMG \$1.2M or 1.4% and JDH \$23.3M or 6.8%
- Correctional Managed Health Care decrease of 1.7% (offset by expense decrease)
- Gift & endowment income decrease of \$1.3M due to an decrease in foundation dollars for capital projects
- Other income increase of 4.4% due to full year of 340b contracts

UConn Health FY 17 Expense Budget

Operating expenses are budgeted to increase \$24.8M, or 2.5% over FY16.

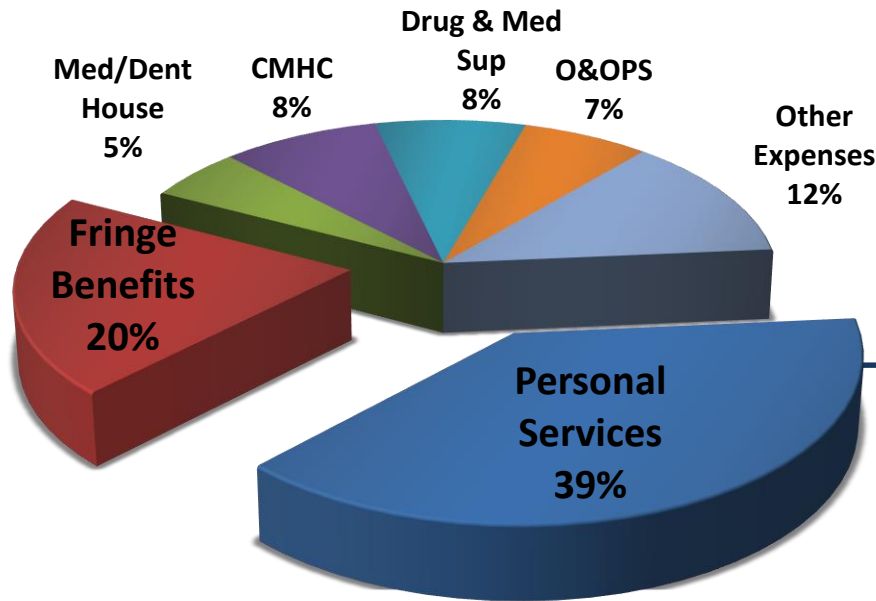
Expenditures (\$M)		
Salary Expense	\$	392.0
Fringe Benefits		207.0
Medical/Dental House Staff		52.5
Correctional Managed Health Care		86.1
Outside & Other Purchased Services		69.1
Drugs/Medical Supplies		81.4
Other Expenses		78.0
Debt Service		10.2
Depreciation		37.4
Total Expenses	\$	1,013.8



Note: Use of decimals may result in rounding differences.

UConn Health Personal Services & Fringe Benefits

Personnel costs, including salaries and fringe benefits, account for over 59.1% of UConn Health's total operating budget.



Personnel Breakdown

Faculty	10%
Professional Staff	72%
Police/Fire/Facilities/etc.	5%
Leadership	4%
Other (student labor, temps, etc.)	9%

Note: Use of decimals may result in rounding differences.

UConn Health FY17 Operating Budget Risks

- Mid-year appropriation reductions, lost fringe, and fund sweeps
- Unsettled contracts with all unions
- Workers' Compensation expenses
- Payor mix and volume
- Provider based reimbursement
- Medicaid Reimbursement
- New University Tower– minimal historical data
- Retirement rate not final – to be received third week of June 2016
- Hybrid Plan employee conversion – SAG award – IRS determination letter

Capital Budget Program

Capital Budget Program

- Thanks to the strong support from the State via UCONN 2000 - NextGenCT & Bioscience CT, UConn continues the transformation of modernizing, rehabilitating and expanding the physical plant of the University.
- In an effort to manage all University fund sources in a more strategic manner, UConn is presenting an all funds capital budget.

Major Building Openings in FY17

The first 3 major projects of the NextGenCT capital program will be completed this summer.



Monteith Building Renovation

- This 73,000 square-foot building will be the new home for the Math Department
- Budget of \$25M



Putnam Refectory Renovation

- This 42,000 square-foot dining hall is undergoing major renovations, will service new Next Generation Connecticut Residence Hall
- Budget of \$23M

Next Generation Connecticut Hall

- New 212,000 square-foot facility, with 725 new beds + staff & director apartments
- Budget of \$105M



FY17 Capital Budget

The State has deferred \$26M of funding to FY18, reducing the FY17 amount from \$266.4M to \$240.4M.

NextGenCT / Bioscience CT* Funding - UCONN 2000 GO Bonds		
NextGenCT	\$179,290,000	DM, Equipment, Hartford Campus, Residential Life, Academic & Research Facilities-Gant, Engineering, Fine Arts
Bioscience CT	61,110,000	DM, Equipment, Main Building, Medical School Academic Building, Hospital (Clinic Building)
Total UCONN 2000 GO Bonds	\$240,400,000	
Other - UConn Funds	34,152,000	Student Recreation Center, ESCO, Residential Life, Nathan Hale Debt, Athletics Facilities, Etc.
Total Capital Budget	\$274,552,000	All capital projects costing \$500K or more are submitted for Board action on a project by project basis

*NextGenCT and Bioscience CT are part of the UCONN 2000 Act

NextGenCT Projects under Construction

Major Projects in Construction (\$M)	FY17 Funds	Total Budget	Estimated Completion
Monteith Renovation	\$1.5	\$25	Aug 2016
Putnam Renovation	3.7	23	Aug 2016
Central Campus Infrastructure Repair	13.8	15	Jan 2019
North Eagleville Road Infrastructure Repair-P111a	15.7	20	Fall 2016
Engineering & Science Building	17.1	95	July 2017
Hartford Acquisition & Renovation	68.4	140	July 2017
South Campus Complex Envelope Repair	4.2	18	Nov 2016
Next Generation CT Hall	9.4	105	Aug 2016
ESCO – Steam Lines (UConn funds)	16.4	28	Spring 2017
DM/Renovations/Equip (incl. \$2M UConn funds)	25.3		Varies

Total: \$157.1M Bond Fund + \$18.4M UConn Funds=\$175.5M

Engineering & Science Building



Hartford Campus

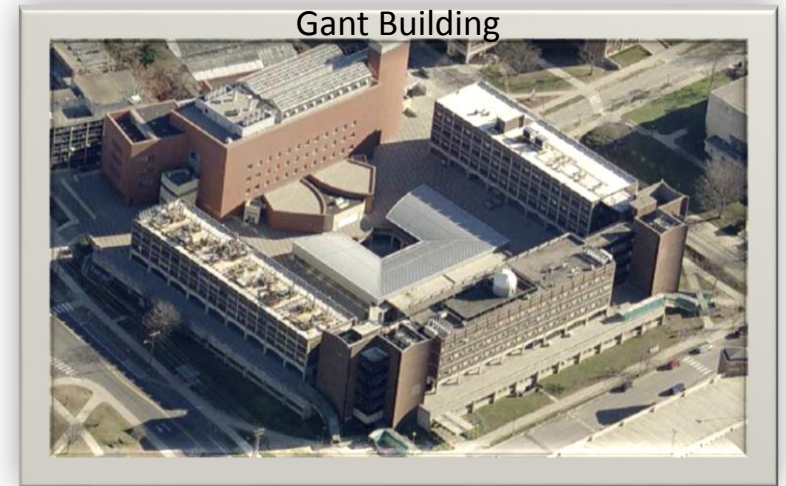


Next Generation CT Hall



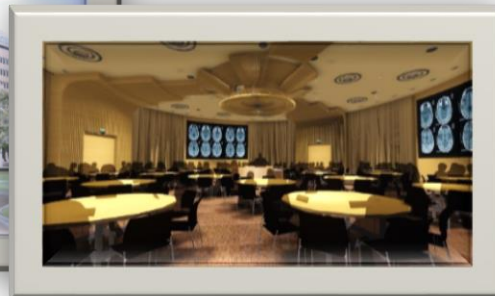
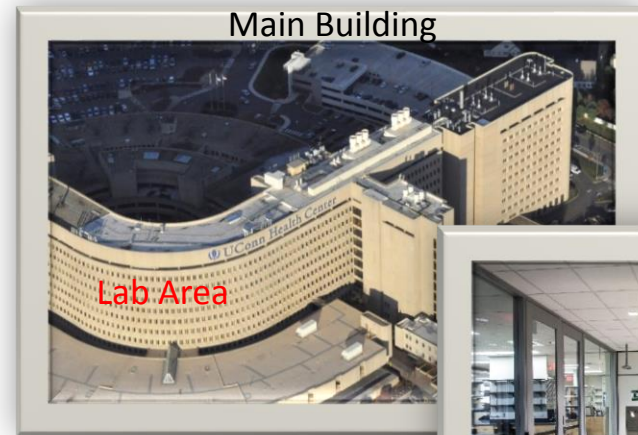
NextGenCT Projects in Planning/Design

Major Projects in Planning/Design (\$M)	FY17 Funds	Total Budget	Estimated Completion
Gant Renovations	\$2.2	\$180	Dec 2022
Fine Arts Addition	3.7	23	Fall 2018
Utility GIS Mapping	2.4	3	Spring 2017
South Campus Commons	2.7	4	TBD
Student Recreation Center (UConn funds)	6.8	100	Jan 2019
DM / Renovations (incl. \$8.9M UConn funds)	17.4		Varies
Total: \$22.2M Bond Funds + \$15.7M UConn Funds=\$37.9M			



Bioscience CT Major Projects

Major Projects (\$M)	FY17 Funds	Total Budget	Estimated Completion
Main Building: Lab Renovation Project 2	\$22.8	\$41	Feb 2017
Academic Building Addition & Renovation	4.6	36	April 2017
Clinic Building "C" Renovation	29.6	92	Aug 2018
DM/Equipment	4.1		Varies
Total	\$61.1		



Capital Program Risks

- For FY17, the State has deferred \$26M of authorization until FY18.
 - The program depends upon the economic health of the State and additional deferrals are a possibility in the future.
 - Delaying a project today could equal higher costs in the future.
 - Starting/stopping projects would affect UConn's reputation and bid responses/competitive pricing.
- UConn bridging funding for Capital Projects.
 - UConn funding was used to pay ~\$70 million of construction bills, which was reimbursed with April 2016 bond issuance.
 - Projecting use of ~\$125M of UConn funds prior to spring 2017 bond issuance.
- Many needs have been identified which are not fully funded or included in the current capital plan (i.e. deferred maintenance, athletic facilities), and funding strategies will need to be identified.
- Funding for UCH ends in FY18.

QUESTIONS?

ATTACHMENT 15

June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan *SAJ*
Executive Vice President for Administration and Chief Financial Officer

RE: State Appropriation Request for the Biennium Fiscal Years 2018 and 2019 for the
University of Connecticut, Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the State Appropriation Request for the Biennium Fiscal Years 2018 and 2019 as follows: \$285.0 million for Fiscal Year 2018 and \$295.6 million for Fiscal Year 2019 for the University of Connecticut, Storrs and Regional Campuses.

BACKGROUND:

The Fiscal Year 2018 State Appropriation request is \$285.0 million. It includes a request for *Next Generation Connecticut* funding of \$51.2 million which would bring the State supported amount back up to the original program request. Also, the University is requesting New Building Operating and Maintenance (O&M) funding of \$3.8 million related to the cleaning, maintenance, and utility costs surrounding new buildings including Tech Park, Engineering Building, Waterbury Rectory, and the Hartford Campus. In addition to the Appropriation request, the University is budgeting \$189.5 million for fringe benefits, which are received as a reimbursement from the State.

The Fiscal Year 2019 State Appropriation request is \$295.6 million. It includes a request for *Next Generation Connecticut* funding of \$10.3 million per the original program plan. Also, the University is requesting New Building Operating and Maintenance (O&M) funding of \$0.4 million related to the cleaning, maintenance, and utility costs surrounding new buildings, including Spring Hill Farm and the Fine Arts Production Facility addition. The University is also budgeting \$199.6 million for fringe benefits, which are received as a reimbursement from the State.

The University has not included a request for collective bargaining increases in either year due to the lack of settled contracts. However, the expectation is that a request would follow any future settled contracts.

We are grateful to the State Legislature and Governor for their continued support of UConn. State support, which consists of both the direct appropriation and fringe reimbursement, is critical to the University.

UNIVERSITY OF CONNECTICUT
Schedule of State Support
State Appropriation Request

FY 2017 Approved Appropriation

Operating Expenses	\$ 207,699,685
Workers' Compensation Claims	3,045,682
Next Generation Connecticut	19,072,546
Kirklyn M. Kerr Grant Program	100,000
FY 2017 Total Approved Appropriation	\$ 229,917,913

Collective Bargaining - No settled contracts	-
Next Generation Connecticut	51,220,153
New Facilities O&M	3,837,503
FY 2018 Total Requested Appropriation	\$ 284,975,569


Collective Bargaining - No settled contracts	-
Next Generation Connecticut	10,275,796
New Facilities O&M	382,339
FY 2019 Total Requested Appropriation	\$ 295,633,704

ATTACHMENT 16

UConn HEALTH

June 29, 2016

TO: Members of the Board of Trustees

FROM: Jeff Geoghegan
Chief Financial Officer 

RE: Spending Plan for Fiscal Year 2017 for UConn Health

RECOMMENDATION:

That the Board of Trustees approve the Spending Plan for Fiscal Year 2017 of \$1,042.4 million for UConn Health.

BACKGROUND:

The Fiscal Year 2017 Spending Plan includes \$1,042.4 million of revenue, including State support of \$218.2 million to cover \$1,042.4 million in expenses.

Highlights

UCH's proposed spending plan for FY17 projects an operating loss of \$15.9 million. However, decreasing the FY17 capital spend to \$12.0 million will balance the requested Spending Plan budget in FY17.

Assumptions

- **Revenue:**

The State appropriation for FY17 for UConn Health is \$123.8 million. UConn Health expects State fringe benefit support of \$81.1 million, along with \$13.5 million for the John Dempsey Hospital fringe benefit differential. Of UConn Health's \$991.4 million in revenue for FY17, sources other than the state appropriation account for an increase of \$30.1 million. Clinical revenue is budgeted at \$464.6 million, a 5.7% increase over FY16. Clinical revenues for JDH are budgeted to increase 6.7% over FY16. The revenue increase is due to volume increase related to a full year of the University Tower, a rate increase of 2%. For the UConn Medical Group (UMG), the overall increase to net patient revenue is 1.3% which is based on volume and 1% rate increase.

In FY17, we expect research revenue to increase by 7.2%. Research revenue is budgeted at \$89.8 million. Income related to the placement of interns and residents is \$63.3 million, a decrease of 2.6% due to a one time fringe benefit true up billed to all consortium hospitals in FY16. Tuition and fee revenue is \$23.1 million, which reflects the rate increases approved by the Board. \$86.0 million, on both the revenue and the expense side, reflects the contract with the Department of Correction for inmate health services.

- **Expenses:**

Salaries and fringes are budgeted at \$592.5 million, an increase of 1.2% primarily related to mid-year hires and an increase to health, dental and pharmacy insurance benefit along with retirement rate increases. Bargaining unit salary increases have not been included since contracts have not been settled for FY17. Utilities are increasing by 12.3% or \$1.6 million and Repairs and Maintenance is increasing by 13.9% due to the full year of the University Tower, new research space and the academic addition. Drugs and medical supplies are increasing by \$3.8 million due to the expected increase in volume.

ATTACHMENT 17

UConn HEALTH

DATE: June 29, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
CEO and Executive Vice President for Health Affairs



Jeffrey P. Geoghegan
Chief Financial Officer



RE: State Appropriation Current Services Request for the Biennium Fiscal Years 2018 and 2019 for UConn Health

RECOMMENDATION:

That the University Board of Trustees approve a Current Service State Appropriation Request for the Biennium Fiscal Years 2018 and 2019 as follows: \$132.9 million for Fiscal Year 2018 and \$136.3 million for Fiscal Year 2019 for UConn Health.

BACKGROUND:

The FY 2018 Current Services State Appropriation request is \$132.9 million. UConn Health is requesting increased funds for workers' compensation claims based on actual experience in fiscal year 2016 of \$1.5 million and increased funds for Bioscience of \$914,129.

The FY 2019 Current Services State Appropriation request is \$136.3 million. UConn Health is requesting increased funds for workers' compensation claims based on an estimated 3% increase of \$246,749 and increased funds for Bioscience of \$3.1 million.

This request was reviewed and endorsed by the UConn Health Finance Subcommittee and UConn Health Board of Directors on June 13, 2016.



Current Services State Appropriation Request

FY 2017 Approved Appropriation

Operating Fund	\$ 112,434,432
AHEC	394,522
Workers' Compensation Claims	6,703,480
<i>Bioscience</i>	10,970,700
FY 2017 Total Approved Appropriation	\$ 130,503,134

Collective Bargaining-no settled contracts	\$ -
Workers' Compensation Claims	\$ 1,521,476
<i>Bioscience</i>	\$ 914,129
FY 2018 Total Requested Appropriation	\$ 132,938,739


Collective Bargaining-no settled contracts	\$ -
Workers' Compensation Claims	\$ 246,749
<i>Bioscience</i>	\$ 3,113,474
FY 2019 Total Requested Appropriation	\$ 136,298,962

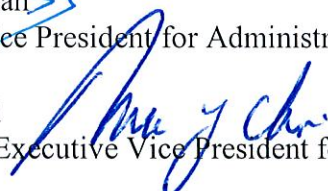
ATTACHMENT 18



June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2017 Capital Budget

RECOMMENDATION:

That the Board of Trustees approve a capital budget in the amount of \$274,552,000 for Fiscal Year 2017.

BACKGROUND:

The proposed capital budget for FY17 of \$274,552,000 includes \$240,400,000 of UCONN 2000 bond funds and \$34,152,000 of other UConn funds. The UCONN 2000 statutory authorization "cap" for bond funds has been decreased by \$26 million in FY17 and increased by the corresponding amount in FY18. As with previous capital budgets, these funds support cash flows for both current year projects and prior years' projects.

Two documents are attached that provide additional information:

- Attachment A - the proposed FY17 capital budget plan
- Attachment B - the UCONN 2000 Phase III Plan by Fiscal Year

While many small Next Generation Connecticut projects have already reached completion, the first three major projects – Next Generation Connecticut Hall, Monteith Building Renovation, Putnam Refectory Renovation – are expected to be complete in August 2016. By July 2017, the new Engineering & Science Building as well as the new Hartford Campus will be complete. Thanks to the strong support from the State via UCONN 2000, these Next Generation Connecticut projects are continuing the transformation of modernizing, rehabilitating and expanding the UConn campuses.

The key elements underlying the revisions to the capital budget plan are detailed below. These changes are driven by academic priorities, economic realities of construction costs and project timelines, and the importance of spending bond proceeds in a timely manner.

Program & Planning Adjustments:

The Next Generation Connecticut initiative priorities are the driving force for the adjustments made to the UConn capital budget plan. Next Generation Connecticut is a \$1.5 billion transformational investment in the University that most academic institutions only dream of. It will enable the University to build more laboratories, classrooms and dorms, enroll more students, secure advanced scientific equipment, create an outstanding campus in downtown Hartford, and attend to deferred maintenance needs across all campuses. This initiative is launching UConn into the top tier of international universities not only in the fields of Science, Technology, Engineering and Math (STEM), but in every single discipline in this modern age, where disciplines are increasingly intertwined. Planning for Next Generation Connecticut will continue to be thoroughly integrated into academic planning for the entire University throughout the process.

Over the past year, the Next Generation Connecticut capital budget plan has been adjusted due to the following:

- Revised cashflows or timing changes: STEM Research Center 1, Engineering & Science Building, Bergin renovations, Gampel Pavilion dome repair, Fine Arts addition, FOG Phase II, Mansfield Training School renovations, and Stamford improvements.
- Scope changes and (un)favorable bids: increases-Gant renovations, North Eagleville Road infrastructure repairs, Hartford Campus renovations, Heating Plant roof repair, and Young Building envelope repairs; decreases-Monteith renovations, Avery Point building demolition, water line repairs, and South Campus envelope repairs.
- New projects: Utility framework modeling & mapping, campus infrastructure upgrades and landscape repair programs, exigent steam repairs, UConn Health Electronic Medical Records (EMR), and capital program software.
- Defunding of projects: STEM Research Center 2, Avery Point classroom/waterfront upgrades, Honors Dorm, and Nathan Hale acquisition (funded from other UConn funds).

For UConn Health, the Bioscience CT planning and design was initiated in June 2010 and construction activities are continuing at a rapid pace. While there are many interdependencies between the projects which have dictated much of the timing of the individual components, the program is on schedule and completion is planned for 2018. A significant amount of progress towards Bioscience CT goals has been achieved. Many projects either have been or soon will be substantially complete. The new Ambulatory Care Center was completed in late FY15 and in FY16 the Incubator Lab Addition, Main Building Lab Renovation Project 1, and the new University Hospital Tower Phase 2 were completed. By March 2017, the Main Building Lab Renovation Project 2 will be ready, and by June 2017, the Academic Building Addition & Renovation will be complete.

In order to manage all University fund sources in a more strategic manner, UConn is proposing an all funds capital budget for approval. While UConn has always utilized other non-UCONN 2000 bond fund sources for capital projects, those funds have not been included in the annual capital budget proposal. Presentation of this data provides additional transparency. Note that UConn Health includes non-UCONN 2000 bond funds for capital projects in their annual Spending Plan proposal.

Indenture Amendments:

The law specifically gives the Board the authority to make revisions to project budgets and related indentures. It would be virtually impossible to manage a twenty-nine-year capital program without the authority to make such adjustments. These revisions are complex because 1) there are many projects, 2) UConn must operate within statutory annual bond caps, 3) tax-related expenditure requirements must be observed and 4) the adjustments to many lines generally involve projects which span a number of years. While revisions may affect current projects, given the annual bond caps, they also have a rollout effect over the next decade. The Board of Trustees also has the authority to amend past indentures in order to reflect changes as project budgets are finalized or other events affect the capital budget for a given prior fiscal year. At this time, changes to two Supplemental Indentures are requested.

A brief description of the projects included in the FY17 capital budget is included below. These are very general summaries; individual project budget descriptions (which are acted upon separately) provide much more detail regarding project scope, timetable, cost and funding sources. As always, the proposed use of capital funds for UConn Health is forwarded to you with the recommendation and endorsement of UConn Health's Board of Directors, who discussed and acted on these items on June 13, 2016.

Storrs and the Regional Campuses

Academic and Research Facilities

Currently, there are three major projects in various stages within this category: MAA, Gant Renovations, STEM Research Center 1.

The Main Accumulation Area (MAA) for Regulated Waste building is in construction. The new facility will be sized to adequately handle the three types of waste that are generated, including biological, chemical, and low level radioactive. The diversity of waste dictated certain building design features that promote the safety of operation. Therefore, the University required a building of approximately 8,200 square feet of space in a single level structure with processing and containment areas located adjacent to a central loading dock/receiving area. Completion is expected by December 2016.

The 285,000 gross square foot Gant Science Complex will be renovated to accommodate current and future University needs in response to growing student enrollment in STEM programs. These improvements will include classrooms, lecture halls, teaching and research laboratories, faculty offices and support spaces. Exterior site and plaza areas will be improved to make them more inviting and accessible to the campus community. The building envelope (facades and

roofs) will be reconstructed to provide a more attractive waterproof skin with better energy performance. Planning and design of the Gant Building Renovations is underway. Phased construction is anticipated to begin in Spring 2017 and be complete in Fall 2021.

The STEM Research Center 1 building is a keystone in the effort to fulfill the mandates of Next Generation Connecticut. As envisioned, the facility would be an approximate 200,000 gross square foot building that would provide critical new research facilities for the existing and new STEM faculty. Just as important, the new construction would provide essential swing laboratory space for the renovation of existing laboratory facilities. Programming and design is anticipated to start in the summer of 2016 and construction is scheduled to be substantially complete in the fall of 2020.

Arjona and Monteith

Renovation of the Henry Ruthven Monteith Building is underway. Originally planned as “swing space”, the 68,000 square foot Monteith building and the adjacent Schenker Lecture Hall are being reprogrammed as a new home for the Math Department which will vacate a wing of the Gant complex. While the existing exterior will remain unchanged, the interior will be gutted and reconstructed – providing classrooms, offices, conference rooms and support spaces. Construction commenced in October 2015 with anticipated substantial completion in July 2016.

Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum

The 2007 amendments to the law define the “deferred maintenance” portion of the project name as “repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair.” In addition, the University intentionally designs project to repair under-maintained facilities and infrastructure up to current standards. In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades
- General building renovations
- Roads, walks and grounds
- Environmental remediation

Engineering/Science Building

The School of Engineering is located in several buildings, five on the main Storrs campus and four at the Depot campus. The three oldest and least renovated buildings on the main campus were built between 1959 and 1987 and can no longer support emerging interdisciplinary engineering programs such as bioengineering and nanotechnology. A new five story Engineering and Science building will be located at the site of the Old Central Warehouse with three floors of Engineering and two floors of Life Sciences including the Institute for Systems Genomics. Construction began in June 2015 and is anticipated to be complete in July 2017.

Deferred maintenance projects in the remaining Engineering buildings are ongoing including code upgrades, mechanical improvements and interior renovations in all engineering buildings.

Equipment, Library Collections & Telecommunications

The enhancement of the University's infrastructure includes its instructional and scientific equipment. The equipment replacement category permits the University to replace outdated items with state of the art laboratory devices and computers. The funding encompasses seven major categories: management information systems, computers, research equipment, instructional equipment, furnishings, operational and public safety support and library materials. Library materials are no longer purchased with UCONN 2000 funds; this expense is part of the operating budget.

Fine Arts Phase II

Numerous planning and design studies performed since 1991 have evaluated the needs of the School of Fine Arts. In response to these studies, the Storrs Center initiative, and the dispersal of the School of Fine Arts (SFA) facilities throughout the Storrs and Depot campuses since 1991, a Master Plan has assessed the condition of the current facilities, updated the program requirements of the School, and made draft recommendations to guide the use of UCONN 2000 funds for the School. The scope of this project has been focused to address façade repair and replacement at the Drama and Drama-Music buildings and add a 30,000 square foot production facility. It will centralize production functions into a multipurpose facility which includes scene, stage, welding, lighting and costume shops. The University has selected an architectural team to provide existing conditions assessments, programming, design, and documentation and construction observation services. Construction is expected to start in spring of 2017 with anticipated completion Fall 2018.

Hartford Relocation Acquisition/Renovation

Design of the new UConn Hartford campus was finalized and site construction began in the summer of 2015. The new main campus building will be in a central location at the site of the historic Hartford Times Building in the downtown Front Street District of Adriaen's Landing. The historic building will be restored and incorporated into the new design. The new campus will include classrooms, a 75 seat lecture hall, labs, faculty offices, tutoring centers, conference rooms, study rooms, break out rooms, café and staff offices.

The new main building design includes a central atrium space and a courtyard for outdoor activities. The project includes first floor retail spaces that activate the urban streetscape and integrate the project with the existing Front Street commercial district. The project is scheduled for completion in July 2017. The University is evaluating strategic partnerships with the neighboring institutions and businesses in order to avoid over-building and will use underutilized existing space in the market to supplement its new building. The University purchased a 32,000 square foot building at 38 Prospect Street and is finalizing an operating and shared use agreement with the Hartford Public Library as other campus components. The result will be a neighborhood campus that helps to revitalize downtown Hartford.

Heating Plant Upgrade

The University completed an expansion to the existing heating plant, a new Cogeneration system, in 2006. At the time of construction, spaces to accommodate a future chiller and emergency generator were incorporated should the University require additional cooling and power. The advent of the Next Gen program necessitates several internal updates to the plant, including condensate piping repairs, new controls, the additional chilled water generator and the emergency generator. For FY17, the Chiller and Boiler House roofs project includes discarding all abandoned roof equipment and stacks, removes and replaces the existing roof structure and roofing system. It also includes repair and replacement of existing parapets and code-mandated upgrades to the life safety system, and provides enhanced seismic bracing at the parapet level of the building envelope. Completion is expected by November 2016

Jorgensen Renovation

This facility was constructed in 1956 for orchestra performances. Over the years, it has been modified to accommodate events and gatherings. The building contains five levels, including mezzanine levels above the basement and first floor. With a total of 76,408 square feet of space, the lower floor houses the Little Theatre, the Jorgensen Gallery, and a television studio. The upper floor contains a 2,600-seat auditorium, lobby areas, and support facilities. At this point, FY17 funding will provide additional support for limited repairs and renewal of the HVAC system.

Parking Garage #3

The University's Facilities Master Plan identified the need for and recommended a proposed site for a third parking garage. In the UCONN 2000 program, the North Parking Garage was constructed in the north side of the campus and the South Parking Garage was constructed in the campus core next to Gampel Pavilion. The location of this third garage will be synchronized with the development of a new research building to be located on the surface parking lot north of the North Garage. The new parking garage will be sited in a location that will serve the entire future development of the Northwest Science Quadrant.

Residential Life Facilities

This named project represents the overarching authorization to undertake activities to provide housing and dining facilities for the University's students. Although the quantity and the diversity of campus living arrangements were expanded under the first two phases of UCONN 2000, much remains to be done. Some renovations of the older dormitories, code improvements and sprinkler installations were accomplished. Multiple projects were completed for the installation of sprinkler systems, replacement of elevators, as well as windows and roofs in various residential facilities.

The new design/build Next Generation CT residence hall is presently under construction with completion expected in July 2016. The Next Generation CT residence hall is located in the Hilltop Residential area and will have a living/learning community, as well as approximately 725 new beds and staff and director apartments. Also, FY17 funding will support façade repairs at the South Campus complex as well as other deferred maintenance needs in all of the residential and dining facilities.

Stamford Campus Improvements/Housing

When the Stamford Downtown Campus was constructed, the majority of funds were spent on academic building and site work. Further improvements need to be undertaken. The FY17 funds will continue support of the Cooling Tower Replacement project. The two existing cooling towers were installed in 1997 and have surpassed their useful life – tower casings are deteriorated and cause numerous water leaks. Other age related issues involve the deterioration of fans and piping connections, valve corrosion, damaged bearings and excessive noise. This project to replace the towers is expected to be complete in Fall 2016.

UConn Health

Deferred Maintenance/Code/ADA Renovation Lump Sum

The 2007 amendments to the law define the “deferred maintenance” part of the project name as “repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair.” In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades
- General building renovations
- Roads, walks and grounds
- Environmental remediation

Equipment, Library Collections & Telecommunications

These funds are allocated to support the UConn Health’s equipment, needs and telecommunications infrastructure improvements. More specifically, the project line covers computers, management information systems, research equipment, instructional equipment, furnishings, and operational and public safety support. Outmoded items must be replaced with equipment that is necessary to support research and instructional activities, maintain building compliance, conserve energy and provide a safe environment for the students, staff, and those who use UConn Health services.

Main Building Renovation

UConn Health’s Main building includes access areas used by the general public as well as research, academic and clinical space. The focus of this renovation is the building’s research facility and major building mechanical systems. The research area of the facility consists of seven floors, which house over 200 laboratories and support space. Over the life of the building, no substantial renovations or upgrades have been undertaken.

An early phase of this project, the Clinical Skills Renovation, was completed in December 2007. This project renovated a portion of the Main Building to allow for the relocation and expansion of the Clinical Skills teaching program. The expanded program includes the use of a life-size programmable mannequin with a computerized graphical user interface used to teach clinical and decision making skills during realistic patient care scenarios.

The remainder of the work for the Main Building Renovation focuses on the Health Center's largest research facility, the "L" (LAB) building, which houses over 280,000 square feet of research labs and support space. The renovations are being implemented via two separate projects. "Project 1" which renovated approximately 50% of the total space, is complete and the reconfigured lab spaces are in use. The renovated labs are dramatically improved with larger, more flexible layouts that promote collaboration between researchers. The labs are also much more energy efficient because aged heating and air conditioning, electrical, and plumbing systems have been replaced with modern technologically advanced systems.

A second project, "Project 2" was included as part of the Bioscience Connecticut initiative. Project 2, phase 1, began construction in early 2016. This project is expected to be complete in March 2017. Phase 2 is deferred at this time, pending funding from non-state bond fund sources.

Medical School Academic Building Addition and Renovations

Originally conceived as a separate floor in the new bed tower, additional medical education space is planned to support a potential 30% increase in dental and medical student class sizes. As a result of conceptual planning work, the education space is now being constructed as an addition to the existing Academic (A) building. This approach provides a centralized location for the majority of the education activities. The project began construction in April 2015 and the addition will be complete in July 2016. The renovations will be completed in phases by June 2017.

UCH New Construction and Renovation

The existing John Dempsey Hospital (JDH) building lacked the capacity to accommodate evolving standards of care, new technologies and patient/provider expectations. Since construction completion in 1972, JDH's physical plant infrastructure, including mechanical, electrical, plumbing, HVAC and fire alarm systems and telecommunication cabling, has never been substantially renovated. The addition and renovation provides a new state of the art platform, including robust information technology systems, and new essential medical equipment for the delivery of healthcare and the education of the State's medical and dental students.

The recently completed phase 2 of this project constructed a new 169 patient bed tower as an addition to the existing Hospital (H) Building. The addition includes space for 13 new operating rooms and a new 42 bay Emergency Department. The renovation work for the existing H building is deferred pending funding.

The project's scope was expanded as part of the Bioscience Connecticut initiative to include 26,000 gross square feet of clinical support space and a second 400 car parking garage. Due to budget constraints, the clinical support space will not be fit-out as originally intended at this time, but will be used to house the IT electronic medical records implementation team.

The project is being implemented in phases as follows:

- Phase 1: New Parking Garage 3 and site utility work. This phase was completed in April 2013.
- Phase 2: Construction of the new bed tower, Emergency Department, Operating Room suite, and the new Parking Garage 2 (Construction began in June 2013 and is now complete).
- Phase 2B: Construction of final connecting corridors on the Main level will be complete in October 2016
- Phase 3: Renovations to the existing Hospital (H) building. (This phase is deferred pending the availability of funds from non-bond fund sources)

Clinic (C Building) Renovations

The existing clinical area of the Main Building will be partially renovated as part of the Bioscience Connecticut capital program. The focus of the renovations will be the Dental School's teaching clinics and the Pat and Jim Calhoun Cardiology Center. The project will also replace outdated mechanical and electrical building infrastructure components. The planning and design work is complete and the project construction is beginning.

Other UConn Funds

During FY17, UConn funds will be utilized for the following projects: Energy Services Performance Contract-Phase I, Recreation Center, Residential Life & Dining Services Facility Improvements, and Nathan Hale Acquisition. The University plans on issuing new debt in FY18 to reimburse the Recreation Center expenditures.

FY17 Capital Budget Proposed Projects

UCONN 2000 - Storrs & Regional Campuses

<u>Project Name</u>	<u>Phase III - FY17</u>
Academic and Research Facilities	6,476,861
Arjona and Monteith	1,500,000
Deferred Maintenance/Code Compliance/ADA Compliance/ Infrastructure Improvements & Renovation Lump Sum	54,683,346
Engineering Building	17,406,970
Equipment, Library Collections & Telecommunications	9,000,000
Fine Arts Phase II	5,544,998
Hartford Relocation Acquisition/Renovation	68,424,318
Heating Plant Upgrade	1,806,897
Jorgensen Renovation	200,000
Parking Garage #3	250,000
Residential Life Facilities	13,570,333
Stamford Campus Improvements/Housing	426,277
Subtotal of Storrs & Regional Campuses	\$ 179,290,000

UCONN 2000 - UConn Health

<u>Project Name</u>	<u>Phase III - FY17</u>
Deferred Maintenance/Code/ADA Renovation Sum	2,010,972
Equipment, Library Collections & Telecommunications	2,250,000
Main Building Renovation	22,679,657
Medical School Academic Building Renovation	4,599,988
UCH New Construction and Renovation	29,569,383
Subtotal of UConn Health	\$ 61,110,000

Total UCONN 2000 Bond Funds **\$ 240,400,000**

Other UConn Funds

Energy Services Performance Contract - Phase I	16,452,000
Recreation Center	7,000,000
Residential Life & Dining Services Facility Improvements	4,000,000
Nathan Hale Acquisition	4,700,000
Contingency	2,000,000

Total Other UConn Funds **\$ 34,152,000**

Grand Total FY17 Capital Budget **\$ 274,552,000**

UCONN 2000 Phase III
Draft Phasing Plan for Informational Purposes Only - Revised 6/29/16


Project	FY05-FY16	FY17	FY18-FY24	Total Phase III
Academic and Research Facilities	\$ 16,027,531	\$ 6,476,861	\$ 487,696,136	\$ 510,200,528
Arjona and Monteith (new classroom buildings)	128,087,893	1,500,000	-	129,587,893
Avery Point Campus Undergraduate & Library Building	10,461,246	-	-	10,461,246
Avery Point Renovation	8,695,227	-	-	8,695,227
Beach Hall Renovations	5,396,957	-	-	5,396,957
Benton State Art Museum Addition	2,903,509	-	-	2,903,509
Biobehavioral Complex Replacement	3,589,141	-	-	3,589,141
Bishop Renovation	3,827,302	-	-	3,827,302
Deferred Maintenance/Code Compliance/ADA Compliance/ Infrastructure Improvements & Renovation Lump Sum	260,651,297	54,683,346	489,260,735	804,595,378
Engineering Building	67,068,837	17,406,970	9,937,740	94,413,547
Equipment, Library Collections & Telecommunications	124,816,496	9,000,000	165,383,812	299,200,308
Family Studies (DRM) Renovation	2,868,306	-	-	2,868,306
Farm Buildings Repairs/Replacement	5,036,397	-	1,371,907	6,408,304
Fine Arts Phase II	6,583,426	5,544,998	16,436,408	28,564,832
Floriculture Greenhouse	6,691,799	-	-	6,691,799
Gant Building Renovations	13,231,018	-	-	13,231,018
Gentry Renovation & Completion	9,628,209	-	-	9,628,209
Hartford Relocation Acquisition/Renovation	53,285,681	68,424,318	18,290,001	140,000,000
Heating Plant Upgrade	13,477,000	1,806,897	15,363,000	30,646,897
Intramural, Recreational & Intercollegiate Facilities	31,009,921	-	-	31,009,921
Jorgensen Renovation	3,734,475	200,000	-	3,934,475
Koons Hall Renovation/Addition	1,530,057	-	-	1,530,057
Lakeside Renovation	3,800,000	-	-	3,800,000
Law School Renovations/Improvements	16,766,343	-	-	16,766,343
Manchester Hall Renovation	846,302	-	-	846,302
Mansfield Training School Improvements	3,014,780	-	3,395,877	6,410,657
Natural History Museum Completion	500,000	-	-	500,000
North Hillside Road Completion	8,200,000	-	-	8,200,000
Old Central Warehouse Renovation	126,000	-	-	126,000
Parking Garage #3	75,699	250,000	69,127,383	69,453,082
Psychology Building Renovation/Addition	24,337,399	-	-	24,337,399
Residential Life Facilities	130,522,566	13,570,333	28,648,000	172,740,899
School of Pharmacy/Biology	6,000,000	-	-	6,000,000
Stamford Campus Improvements/Housing	1,107,426	426,277	9,800,000	11,333,703
Storrs Hall Addition	14,664,091	-	-	14,664,091
Student Union Addition	13,000,000	-	-	13,000,000
Support Facility (Architectural & Engineering Services)	16,583	-	-	16,583
Torrey Life Science Renovation & Completion	1,867,868	-	12,374,851	14,242,719
Torrington Campus Improvements	369,156	-	-	369,156
Waterbury Downtown Campus	1,893,022	-	-	1,893,022
West Hartford Campus Renovations/Improvements	6,774,305	-	-	6,774,305
Young Building Renovation/Addition	24,140,884	-	-	24,140,884
SUBTOTAL FOR STORRS & REGIONAL CAMPUS	\$ 1,036,624,150	\$ 179,290,000	\$ 1,327,085,850	\$ 2,543,000,000
CLAC Renovation Biosafety Level 3 Lab	16,835,000	-	-	16,835,000
Deferred Maintenance/Code/ADA Renovation Sum-UCH	44,892,867	2,010,972	649,150	47,552,989
Dental School Renovation	3,525,000	-	-	3,525,000
Equipment, Library Collections & Telecommunications-UCH	64,704,390	2,250,000	1,475,000	68,429,390
Library/Student Computer Center Renovation	1,266,460	-	-	1,266,460
Main Building Renovation	97,426,018	22,679,657	-	120,105,675
Medical School Academic Building Renovation	34,447,500	4,599,988	-	39,047,488
Planning & Design Costs	25,000,000	-	-	25,000,000
Research Tower	68,580,997	-	-	68,580,997
Support Building Addition/Renovation	100,000	-	-	100,000
UCH New Construction and Renovation	350,597,618	29,569,383	7,290,000	387,457,001
SUBTOTAL FOR UCONN HEALTH	\$ 707,375,850	\$ 61,110,000	\$ 9,414,150	\$ 777,900,000
GRAND TOTAL	\$ 1,744,000,000	\$ 240,400,000	\$ 1,336,500,000	\$ 3,320,900,000

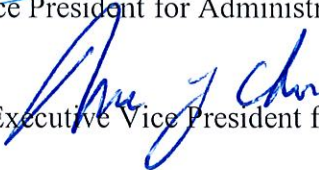
ATTACHMENT 19



June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2017 Deferred Maintenance/Code Compliance/ADA Compliance/
Infrastructure Improvements & Renovation Lump Sum Projects

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code Compliance/ADA Compliance/ Infrastructure Improvements & Renovation Lump Sum project list for FY17 in the total amount of \$54,683,346 for UConn and \$2,010,972 for UConn Health.

BACKGROUND:

Deferred Maintenance includes repairs of infrastructure or structures that were not maintained, repaired or replaced in the usual course of maintenance and repair. The University, like all State agencies, does not have access to sufficient funding to make all the necessary and appropriate repairs to its facilities and infrastructure. As a result, the University designs projects to repair under-maintained facilities and infrastructure up to current standards. The University views this process as an efficient and effective use of State resources as well as an appropriate use of DM funding under the UCONN 2000 program.

The attached FY17 proposed Deferred Maintenance/Code Compliance/ADA Compliance/ Infrastructure Improvements & Renovation Lump Sum project lists for UConn and UConn Health represent the priority projects for the campuses. The document groups projects into the following categories: Buildings; Infrastructure; Code/ADA; Access, Appearance & Safety; and Renovation & Lump Sum. It is critical that this work be performed at the required intervals to ensure acceptable facility conditions to support the expected life cycle of our assets.

It is anticipated that during the fiscal year some projects may change in priority, scope and cost. It is for this reason that the Board reviews and acts upon Deferred Maintenance twice every year: a proposed list for the coming fiscal year and a final list for the fiscal year just closed. Board of Trustee policy requires that an annual contingency be reserved for budget over runs which, in some

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cases, is not utilized if the project is completed at or below budget. Upon project close out, these funds are retained in deferred maintenance reserves for reallocation at a later date.

All capital projects costing \$500,000 or more are submitted for Board action on a project by project basis except for the Environmental Compliance Program and the Academic Building Renovation Program. The Environmental Compliance Program includes abatement, remediation and restoration work. The Academic Building Renovation Program focuses on repairing selected academic buildings during the break periods in order to restore the buildings to good condition and address any safety concerns. The Program will include repairs such as flooring, ceilings, lighting, wall finishes and signage. Not only will these repairs extend the life of these University assets, but they will also enhance the learning environment for students and faculty.

The UConn Health Board of Directors, at its June 13, 2016 meeting, endorsed and recommended the UConn Health project list.

The proposed lists for FY17 are attached for your consideration and approval.

University of Connecticut
FY17 UCONN 2000 Deferred Maintenance/Code Compliance/ADA
Compliance/Infrastructure Improvements & Renovation Lump Sum Projects

Storrs & Regional Campuses

Infrastructure

Central Campus Infrastructure Upgrades	13,800,000
Drainage Master Plan Update	186,000
North Eagleville Road Area Infrastructure Repair/Replacement & Upgrades-Phase III	13,256,336
Student Union Steam and Condensate Return Service Replacement	250,000
Utility Infrastructure GIS Mapping	2,386,000
Water Pollution Control Facility Repairs & Upgrades	250,000
Subtotal	\$30,128,336

Code / ADA

Code Corrections	1,300,000
Environmental Compliance-Asbestos Abatement, Mold Remediation & Restoration	900,000
Subtotal	\$2,200,000

Access, Appearance & Safety

North & South Parking Garage Safety Screen	710,000
Strategic Campus Imaging & Landscape Programs	3,426,000
Surface Parking: Tech Park Parking Lot - Parcel J	275,000
Subtotal	\$4,411,000

Renovation & Lump Sum Projects

Academic Building Renovation Program Lump Sum	3,325,466
Deferred Maintenance Lump Sum	4,301,976
Putnam Refectory Renovation	3,664,074
Subtotal	\$11,291,516

Total Project Allocations	\$48,030,852
Emergency / Reserve	6,652,494
Total	\$54,683,346

UConn Health

Buildings/Infrastructure

Repairs to Facilities Exteriors, Elevators & Infrastructure	1,200,972
Subtotal	\$1,200,972

Access, Appearance & Safety


Grounds Improvements (sidewalk and pavement repairs)	600,000
Subtotal	\$600,000

Total Project Allocations	\$1,800,972
Emergency / Reserve	210,000
Total	\$2,010,972

ATTACHMENT 20

June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Nineteenth
Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approve the reallocation of bond authorizations among capital projects by amending Appendix A of the Nineteenth Supplemental Indenture, as follows:

- Decrease Avery Point Campus Undergraduate and Library Building by \$124,286.71 for a total fiscal year 2013-2014 bond authorization for such project of \$380,713.29; and
- Decrease Gant Building Renovations by \$3,093,982.00 for a total fiscal year 2013-2014 bond authorization for such project of \$906,018.00; and
- Decrease Psychology Building Renovation/Addition by \$321,151.00 for a total fiscal year 2013-2014 bond authorization for such project of \$2,787,399.00; and
- Decrease Storrs Hall Addition by \$78,832.88 for a total fiscal year 2013-2014 bond authorization for such project of \$223,521.12; and
- Increase Academic and Research Facilities by \$3,093,982.00 for a total fiscal year 2013-2014 bond authorization for such project of \$4,093,982.00; and
- Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$524,270.59 for a total fiscal year 2013-2014 bond authorization for such project of \$15,657,885.59.

BACKGROUND:

The Board of Trustees approved the Nineteenth Supplemental Indenture on June 26, 2013 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Nineteenth Supplemental Indenture on July 11, 2013.

On July 31, 2013, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2013 Series A in the aggregate principal amount of \$223,910,000, with sale proceeds in the amount of \$189,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture.

On April 22, 2014, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2014 Series A in the aggregate principal amount of \$109,050,000, with sale proceeds in the amount of \$120,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture.

On April 16, 2015, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2015 Series A in the aggregate principal amount of \$220,165,000, with sale proceeds in the amount of \$250,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture.

On April 21, 2016, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2016 Series A in the aggregate principal amount of \$261,510,000, with sale proceeds in the amount of \$300,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture.

On September 25, 2013, February 26, 2014, June 24, 2015 and March 30, 2016 the Board of Trustees amended the Nineteenth Supplemental Indenture to reallocate bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2013-2014, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE NINETEENTH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2013-2014
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 26, 2013, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Nineteenth Supplemental Indenture (the "Nineteenth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on July 31, 2013, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2013 Series A in the aggregate principal amount of \$223,910,000, with sale proceeds in the amount of \$189,000,000 part of which were to be spent on the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture; and on April 22, 2014, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2014 Series A in the aggregate principal amount of \$109,050,000, with sale proceeds in the amount of \$120,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture; and on April 16, 2015, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2015 Series A in the aggregate principal amount of \$220,165,000, with sale proceeds in the amount of \$250,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture; and on April 21, 2016, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2016 Series A in the aggregate principal amount of \$261,510,000, with sale proceeds in the amount of \$300,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture.

WHEREAS, on September 25, 2013, February 26, 2014, June 24, 2015 and March 30, 2016 the University reallocated certain of the fiscal year 2013-2014 bond authorizations by amending the Nineteenth Supplemental Indenture; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Nineteenth Supplemental Indenture should be revised to reflect the actual progress made on certain of the projects; and

WHEREAS, the University desires to amend the Nineteenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Nineteenth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Nineteenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2013-2014 bond authorization amongst projects as follows: (a) decrease Avery Point Campus Undergraduate and Library Building by \$124,286.71 for a total fiscal year 2013-2014 bond authorization for such project of \$380,713.29; and (b) decrease Gant

Building Renovations by \$3,093,982.00 for a total fiscal year 2013-2014 bond authorization for such project of \$906,018.00; and (c) decrease Psychology Building Renovation/Addition by \$321,151.00 for a total fiscal year 2013-2014 bond authorization for such project of \$2,787,399.00; and (d) decrease Storrs Hall Addition by \$78,832.88 for a total fiscal year 2013-2014 bond authorization for such project of \$223,521.12; and (e) increase Academic and Research Facilities by \$3,093,982.00 for a total fiscal year 2013-2014 bond authorization for such project of \$4,093,982.00; and (f) increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$524,270.59 for a total fiscal year 2013-2014 bond authorization for such project of \$15,657,885.59; and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A
(as amended) ¹

NINETEENTH SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2013-2014
UCONN 2000 BOND AUTHORIZATIONS
_____ SERIES _____ BOND PROJECTS

UCONN 2000 Projects*	UCONN 2000 Phase III Total Needed -D.S.C. Bonds**	Fiscal Year 2013-2014 Bond Authorization (2)	UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***
--<u>Storrs and Regional Campuses</u>			
Academic and Research Facilities	\$510,200,528.40	\$4,093,982.00	\$ -
Avery Point Campus Undergraduate and Library Building	10,461,245.77	380,713.29	10,080,532.48
Deferred Maintenance/Code/ADA Renovation Lump Sum	804,595,378.27	15,657,885.59	171,949,680.25
Engineering Building (with Environmental Research Institute)	94,413,547.06	1,500,000.00	5,500,000.00
Equipment, Library Collections & Telecommunications	299,200,308.00	11,002,682.41	68,138,813.59
Farm Buildings Repairs/Replacement	6,408,304.09	1,308,055.00	3,728,342.09
Gant Building Renovations	13,231,018.00	906,018.00	11,250,000.00
Hartford Relocation Acquisition/Renovation	140,000,000.00	3,125,317.59	-
Heating Plant Upgrade	30,646,897.00	1,057,000.00	1,818,000.00
North Hillside Road Completion	8,200,000.00	2,700,000.00	4,000,000.00
Psychology Building Renovation/Addition	24,337,399.00	2,787,399.00	21,500,000.00
Residential Life Facilities	172,740,899.16	8,000,000.00	10,117,722.01
Stamford Campus Improvements/Housing	11,333,703.00	607,426.00	500,000.00
Storrs Hall Addition	14,664,091.44	223,521.12	14,440,570.32
Waterbury Downtown Campus	1,893,022.00	50,000.00	428,937.00
<u>Subtotal – Storrs and Regional Campuses</u>		<u>\$53,400,000.00</u>	
--<u>Health Center</u>			
CLAC Renovation Biosafety Level 3 Lab	\$16,835,000.00	\$9,710,000.00	\$1,315,000.00
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	47,552,989.03	4,952,003.00	35,980,125.03
Equipment, Library Collections & Telecommunications — Health Center	68,429,390.00	2,290,000.00	54,139,265.00
Main Building Renovation	120,105,675.00	28,009,500.00	48,588,000.00
Medical School Academic Building Renovation	39,047,488.00	25,747,500.00	8,700,000.00
Research Tower	68,580,997.00	8,109,997.00	60,471,000.00
The University of Connecticut Health Center New Construction and Renovation	387,457,001.00	72,181,000.00	99,091,000.00
<u>Subtotal – Health Center</u>		<u>\$151,000,000.00</u>	
Total Fiscal Year 2013-2014 Bond Authorization		\$204,400,000.00	

*Reflects amendments to project names including Public Act No 13-233.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward.

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.


(1) The Board of Trustees approved the Nineteenth Supplemental Indenture on June 26, 2013 and amended it on September 25, 2013, February 26, 2014, June 24, 2015, March 30, 2016 and June 29, 2016.

(2) The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

ATTACHMENT 21

June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Twenty-First
Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Twenty-First Supplemental Indenture, as follows:

- Decrease Gant Building Renovations by \$2,870,759.00 for a total fiscal year 2015-2016 bond authorization for such project of \$0.00; and
- Decrease Koons Hall Renovation/Addition by \$385,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$415,000.00; and
- Add Avery Point Renovation by \$375,975.00 for a total fiscal year 2015-2016 bond authorization for such project of \$375,975.00; and
- Add Young Building Renovation/Addition by \$500,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$500,000.00; and
- Increase Academic and Research Facilities by \$2,370,759.00 for a total fiscal year 2015-2016 bond authorization for such project of \$2,909,222.00; and
- Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$9,025.00 for a total fiscal year 2015-2016 bond authorization for such project of \$30,585,549.17.

BACKGROUND:

The Board of Trustees approved the Twenty-First Supplemental Indenture on June 24, 2015 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Twenty-First Supplemental Indenture on July 10, 2015.

On March 30, 2016 the Board of Trustees amended the Twenty-First Supplemental Indenture to reallocate bond authorizations among capital projects.

On April 21, 2016, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2016 Series A in the aggregate principal amount of \$261,510,000, with sale proceeds in the amount of \$300,000,000 which financed part of the Fiscal Year 2015-2016 bond authorizations in accordance with the Twenty-First Supplemental Indenture.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2015-2016, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE TWENTY-FIRST SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2015-2016
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 24, 2015, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Twenty-First Supplemental Indenture (the "Twenty-First Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on March 30, 2016 the University reallocated certain of the fiscal year 2015-2016 bond authorizations by amending the Twenty-First Supplemental Indenture; and

WHEREAS, on April 21, 2016, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2016 Series A in the aggregate principal amount of \$261,510,000, with sale proceeds in the amount of \$300,000,000 which financed part of the Fiscal Year 2015-2016 bond authorizations in accordance with the Twenty-First Supplemental Indenture; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Twenty-First Supplemental Indenture should be revised to reflect the actual progress made on certain of the projects; and

WHEREAS, the University desires to amend the Twenty-First Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Twenty-First Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Twenty-First Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2015-2016 bond authorization amongst projects as follows: (a) decrease Gant Building Renovations by \$2,870,759.00 for a total fiscal year 2015-2016 bond authorization for such project of \$0.00; and (b) decrease Koons Hall Renovation/Addition by \$385,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$415,000.00; and (c) add Avery Point Renovation by \$375,975.00 for a total fiscal year 2015-2016 bond authorization for such project of \$375,975.00; and (d) add Young Building Renovation/Addition by \$500,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$500,000.00; and (e) increase Academic and Research Facilities by \$2,370,759.00 for a total fiscal year 2015-2016 bond authorization for such project of \$2,909,222.00; and (f) increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$9,025.00 for a total fiscal year 2015-2016 bond authorization for such project of \$30,585,549.17; and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A¹

TWENTY-FIRST SUPPLEMENTAL INDENTURE UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM FISCAL YEAR 2015-2016 UCONN 2000 BOND AUTHORIZATIONS ____ SERIES ____ BOND PROJECTS

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds**	Fiscal Year 2015-2016 Bond Authorization ⁽²⁾	UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***
--Storrs and Regional Campuses			
Academic and Research Facilities	\$510,200,528.40	\$2,909,222.00	\$13,118,309.00
Arjona and Monteith (new classroom buildings)	129,587,893.28	8,516,930.28	119,570,963.00
Avery Point Renovation	8,695,227.00	375,975.00	8,319,251.54
Biobehavioral Complex Replacement	3,589,141.00	250,000.00	3,339,141.00
Deferred Maintenance/Code/ADA Renovation Lump Sum	804,595,378.27	30,585,549.17	230,065,748.72
Engineering Building (with Environmental Research Institute)	94,413,547.06	39,097,924.00	27,970,913.00
Equipment, Library Collections & Telecommunications	299,200,308.00	11,175,000.00	113,641,496.00
Fine Arts Phase II	28,564,831.75	1,981,917.00	4,601,509.00
Gant Building Renovations	13,231,018.00	-	13,231,018.00
Hartford Relocation Acquisition/Renovation	140,000,000.00	36,860,681.55	16,424,999.59
Heating Plant Upgrade	30,646,897.00	773,176.00	12,703,824.00
Jorgensen Renovation	3,934,474.58	1,500,000.00	2,234,474.58
Koons Hall Renovation/Addition	1,530,057.00	415,000.00	1,115,057.00
Law School Renovations/Improvements	16,766,342.94	980,718.00	15,785,624.94
Mansfield Training School Improvements	6,410,656.94	14,780.00	3,000,000.00
Psychology Building Renovation/Addition	24,337,399.00	50,000.00	24,287,399.00
Residential Life Facilities	172,740,899.16	68,413,127.00	62,109,439.01
Young Building Renovation/Addition	24,140,884.00	500,000.00	23,640,884.00
Subtotal – Storrs and Regional Campuses		<u>\$204,400,000.00</u>	

--Health Center			
Deferred Maintenance/Code/ADA Renovation Sum - Health Center	\$47,552,989.03	\$3,219,345.00	\$41,673,522.03
Equipment, Library Collections and Telecommunications - Health Center	68,429,390.00	4,150,125.00	60,554,265.00
Main Building Renovation	120,105,675.00	9,149,518.00	88,276,500.00
The University of Connecticut Health Center New Construction and Renovation	387,457,001.00	91,181,012.00	259,416,606.00
Subtotal – Health Center		<u>\$107,700,000.00</u>	
Total Fiscal Year 2015-2016 Bond Authorization		\$312,100,000.00	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

(1) The Board of Trustees approved the Twenty-first Supplemental Indenture on June 24, 2015 and amended it on March 30, 2016 and June 29, 2016.

(2) The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

ATTACHMENT 22

June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan *sl*
Executive Vice President for Administration and Chief Financial Officer

RE: Twenty-Second Supplemental Indenture Authorizing University of Connecticut
General Obligation Bonds

RECOMMENDATION:

That the Board of Trustees approve the Twenty-second Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut General Obligation Bonds secured by the State of Connecticut's (the "State") Debt Service Commitment in an amount not to exceed \$240,400,000 plus costs of issuance, plus amounts carried forward from the Twenty-first Supplemental Indenture.

BACKGROUND:

The University of Connecticut's (the "University") General Obligation Bonds authorized by the UCONN 2000 Act (Sections 10a-109a to 10a-109y, inclusive, of the Connecticut General Statutes, as amended) are secured by a Master Indenture of Trust by and between the University and U.S. Bank National Association, dated as of November 1, 1995, as amended (the "Master Indenture") which provides that each new issue of bonds be issued pursuant to a supplemental indenture. For bonds secured by the State Debt Service Commitment, the law sets maximum annual amounts that the University, through its Board of Trustees, may issue.

The Twenty-second Supplemental Indenture authorizes the appropriations for and issuance of bonds in the maximum amount of \$240,400,000 plus costs of issuance to finance Fiscal Year 2017 pursuant to Section 10a-109e (a) of the UCONN 2000 Act, as amended effective July 1, 2016, plus amounts carried forward from the Twenty-first Supplemental Indenture. The list includes projects which the Board has approved undertaking during Phase III at Storrs, the regional campuses and the UConn Health Center.

The Twenty-second Supplemental Indenture also authorizes that the exact amount of the bonds be determined at the time of issuance depending on cash expenditure requirements for twelve months or less following issuance. Appendix A of the Twenty-second Supplemental Indenture lists the UCONN 2000 projects that may be financed by the bonds (excluding the projects financed by the carry forward amounts).

This recommendation, if approved, will serve as the Board of Trustees' resolution for approval of the Twenty-second Supplemental Indenture and for the series of bonds to be issued in accordance therewith. The resolution, with supplemental information, as appropriate, will be sent to the Governor for approval. If the Governor chooses not to exercise his statutory authority to approve or disapprove the resolution within 30 days of its submission, it will be deemed approved in accordance with the Act.

UNIVERSITY OF CONNECTICUT

as Issuer

and

U.S. BANK NATIONAL ASSOCIATION

as Trustee

TWENTY-SECOND SUPPLEMENTAL INDENTURE

AUTHORIZING

THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS

(Secured by the State Debt Service Commitment)

Dated as of _____

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**TWENTY-SECOND SUPPLEMENTAL INDENTURE
AUTHORIZING THE ISSUANCE OF
THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS
(SECURED BY THE STATE DEBT SERVICE COMMITMENT)**

ARTICLE I

Definitions and Statutory Authority

101. **Twenty-second Supplemental Indenture.** This Twenty-second Supplemental Indenture authorizing the Bonds is supplemental to, and constitutes a Supplemental Indenture within the meaning of, and is adopted in accordance with Article X of the General Obligation Master Indenture of Trust between the University of Connecticut (the "University") and the Trustee dated as of November 1, 1995 (the "Master Indenture") as amended and supplemented to the date hereof, the form of which was approved by the State Bond Commission as required by Section 10a-109g of the General Statutes of Connecticut.

102. **Definitions.** All terms defined, and the rules of construction set forth, in Article I of the Indenture shall have the same meanings in this Twenty-second Supplemental Indenture as such terms are given in such Article I except that, as used in this Twenty-second Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

"Authorized Officer's Certificate" means a copy of a resolution of the Board of Trustees certified by an Authorized Officer.

"Authorized Officer for Sale Purposes" means the Authorized Officer, who shall be an officer, official or trustee serving on the financial affairs committee of the Board of Trustees.

"Bond Insurance Policy" means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on the Bonds and constitutes a Bond Facility under the Indenture.

"Bond Insurer" means a financial guaranty insurance company, if any, or any successor thereto which insures the Bonds as provided in the Certificate of Determination.

"Bonds" means any series of bonds issued pursuant to this Twenty-second Supplemental Indenture.

"Certificate of Determination" means the certificate of determination of the Treasurer required by section 502 hereof and otherwise referenced herein.

"Indenture" means the General Obligation Master Indenture of Trust between the University and the Trustee dated as of November 1, 1995, as from time to time amended or supplemented.

“Insured Bonds” means any series or certain maturities in any series of bonds to be insured by a municipal bond new issue insurance policy to be issued simultaneously with the delivery of Bonds by the Bond Insurer.

“Official Statement” means the official statement of the University relating to the Bonds.

“Preliminary Official Statement” means the preliminary official statement of the University relating to the Bonds.

“Principal” or **“principal”** means the principal amount of each Bond payable at maturity.

“Principal Amount” means the outstanding principal of a Bond.

“Twenty-first Supplemental Indenture” means the Twenty-first Supplemental Indenture, dated April 1, 2016 supplementing the Master Indenture.

“Twenty-second Supplemental Indenture” means this Twenty-second Supplemental Indenture dated as of _____ as may be amended from time to time, authorizing the Bonds.

“Underwriters” means the initial purchasers of the Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

103. **Authority for the Twenty-second Supplemental Indenture.** This Twenty-second Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Indenture.

ARTICLE II

Authorization, Terms and Issuance of Bonds

201. **Authorization of Fiscal Year 2016-2017 Bonds, Maximum Amount, Delegation, Designation and Pledge.** Bonds for Fiscal Year ending June 30, 2016 entitled to the benefit, protection and security of the Act and Indenture, and constituting Bonds to be secured by the State Debt Service Commitment are hereby authorized to be issued under the Indenture and pursuant to the Act in a maximum amount not to exceed \$240,400,000 for the UConn Projects as set forth in Appendix A (attached hereto and hereby made a part hereof) and constituting UConn 2000 Projects (provided nothing herein shall preclude the amendment of Appendix A pursuant to the Act and in accordance with the Indenture and as provided by Appendix A), plus the amount of the Costs of Issuance to be funded from the proceeds of such Bonds.

All of the principal amount of bonds authorized but unissued under the Twenty-first Supplemental Indenture approved by Governor Malloy on July 10, 2015, as amended and as set forth in the Certificate of Determination executed in connection with the bonds issued pursuant to the Twenty-first Supplemental Indenture is carried forward to Fiscal Year 2016-2017 in accordance with the Act.

The exact amount of the Bonds to be issued under this Twenty-second Supplemental Indenture is hereby delegated to and is to be determined by a certificate of, the Authorized Officer for Sale Purposes in accordance with Section 7(a)(2) of the Act respecting the anticipated cash expenditure requirements for authorized UConn 2000 Projects within the year following issuance plus not more than twenty (20%) percent in excess thereof, provided that such amount shall not exceed \$240,400,000 (plus Costs of Issuance and any carry forward amounts). The amount of the balance of Bonds herein authorized for Fiscal Year ending 2017 and not funded by the Bonds shall be issued subsequently pursuant to an additional Certificate of Authorized Officer for Sale Purposes as an additional series of Bonds hereunder or pursuant to a Supplemental Indenture or Supplemental Indentures depending on the remaining cash expenditure requirements respecting each UConn 2000 Project theretofore authorized by a Supplemental Indenture.

The Bonds shall be designated as and shall be distinguished from other Bonds by the additional title "20__ Series A" or such other designation or designations of "Series __" inserting the applicable number and letter, respectively, reflecting the year and series issued, as provided in the Certificate of Determination, pursuant to and subject to the terms, conditions and limitations established in the Indenture, this Twenty-second Supplemental Indenture, an Authorized Officer's Certificate and the Treasurer's Certificate of Determination. In accordance with the Act, the amount of the State Debt Service Commitment in each fiscal year is hereby pledged for the punctual payment of the Special Debt Service Requirements on the Bonds as the same arise and shall become due and payable.

202. **Purposes.** The Bonds will be issued and used to provide funds for deposit in the following accounts of the Bond Proceeds Fund: (i) Construction Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Trustee, for construction and equipping of certain facilities (or reimbursement to the University for funds expended therefor) that are included and that have been authorized as a UCONN 2000 Project by the Board of Trustees and (ii) Cost of Issuance Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Resolution, shall be held and maintained by the Treasurer, to pay or provide for the Bonds costs of issuance. The Treasurer and University shall cause the proceeds from the sale of the Bonds to be so deposited in the Bond Proceeds Fund. Monies in the Construction Account respecting the proceeds of the Bonds heretofore issued may be disbursed from time to time pursuant to Section 603 of the Master Indenture, particularly paragraph (5) thereof, for any such UConn 2000 Project but not in excess of the aggregate amount authorized for such UConn 2000 Project by the Board of Trustees.

203. **Interest Payments.** The Bonds shall bear interest from their respective dates, payable on the date or dates, and at the rates as shall be determined by the Treasurer in the Certificate of Determination. Except as otherwise may be provided in such Certificates, interest shall be computed on the basis of a 360-day year consisting of 12 months of 30 days each.

204. **Form, Denomination, Numbers and Letters.** The Bonds shall be in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York ("DTC"), which will act as securities depository for the Bonds. The Bonds shall be in denominations to be determined by the Treasurer in the Certificate of Determination. The Bonds shall be lettered "AR-__" or such other letters provided in the Certificate of Determination. Each such letter shall be followed by the number of the Bonds. The Bonds shall be numbered consecutively from one upward in order of issuance.

205. **Places of Payment and Paying Agent.** So long as all of the Bonds are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as securities depository, Principal, Sinking Fund Installments, if any, Redemption Price of and interest on the Bonds shall be payable from the Trustee to DTC or its successor as securities depository for the Bonds, as determined by the Treasurer in the Certificate of Determination. If any of the Bonds shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on the Bonds shall be payable by check mailed to the registered owners of the Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of the Bonds shall be payable at the principal corporate trust office of the Paying Agent for the Bonds.

206. **Sale.** Pursuant to Sections 7(e) and (f) of the Act, the Treasurer is authorized by the Act to sell the Bonds by negotiation or public competitive sale, in such manner, at such price or prices, at such time or times, in one or more series, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State and University. The terms and particulars of each such sale, the receipt of each proposal and each award of the Bonds and all other action appropriate or necessary in connection therewith shall be set by the Treasurer, including the selection of the Trustee pursuant to Article VIII of the Indenture, in conjunction with the Authorized Officer for Sale Purposes to whom such matters are hereby delegated and shall be recited in the Treasurer's Certificate of Determination, and confirmed by the Authorized Officer for Sale Purposes.

207. **Execution.** The Bonds shall be signed in the name of the University by the manual or facsimile signature of its President and the seal of the University (or a facsimile thereof) shall be affixed, imprinted, engraved or otherwise reproduced thereon and attested by an Authorized Officer. The Bonds shall be authenticated manually by the Trustee in accordance with the provisions of the Indenture.

208. **Delivery and Application of Bond Proceeds.** After their execution as provided herein and in the Indenture, the Bonds shall be delivered to the Trustee for authentication as provided in the Indenture and shall thereupon be delivered to the Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Indenture. The proceeds of the Bonds shall be deposited in the Bond Proceeds Fund in the amounts and for the Construction Account and Costs of Issuance Account, as more particularly set forth in the Certificate of Determination of the Treasurer.

209. **Defeasance.** Pursuant to Section 1001(1)(b) of the Master Indenture, for purposes of the Bonds, Section 1402(c) of the Master Indenture is hereby amended in its entirety as follows.

(c) in the event said Bonds are not by their terms subject to redemption within the next succeeding 60 days, the University shall have given the Trustee in form satisfactory to it irrevocable instructions to mail at least once, or to publish at least twice at an interval of not less than seven days between publications in an Authorized Newspaper, as soon as practicable, a notice to the Holders of such Bonds that the deposit required by (b) above has been made with the Trustee and that said Bonds are deemed to have been paid in accordance with this Section and stating such maturity or redemption date

upon which moneys are to be available for the payment of the principal or Redemption Price, if applicable, on said Bonds.

210. **UConn Infrastructure Improvement Program.** Pursuant to Sections 1001(1)(b) and 1001(6) of the Master Indenture, for purposes of the Bonds and any bonds issued under the Master Indenture after the date hereof, Section 909(C) is deleted in its entirety.

ARTICLE III

Form of the Bonds

301. **Form of the Bonds.** The Bonds shall be substantially in the form set forth in the Indenture with such additions or deletions anticipated by this Twenty-second Supplemental Indenture as are set forth in the Certificate of Determination.

ARTICLE IV

Tax Covenant

401. **Tax Exemption.** In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to the Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause the Bonds to be "arbitrage bonds" within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or on behalf of the University or in any manner with respect to the Bonds regarding all acts necessary to satisfy and fulfill such covenants.

ARTICLE V

Miscellaneous

501. **No Recourse.** No recourse shall be had for the payment of the principal of or interest on the Bonds or for any claim based thereon or on this Twenty-second Supplemental Indenture against any member of the Board of Trustees, nor the State Bond Commission or any officer of the University or the State or any person executing the Bonds and neither the members of the Board of Trustees or the State Bond Commission nor officers of the University or the State nor any person executing the Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of the Bonds shall be liable personally thereon or be subject to any personal liability or accountability by reason of the issuance or execution thereof. Pursuant to Section 19 of the Act, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UCONN 2000 Infrastructure Improvement Program.

502. Delegation of Authority to Treasurer and to Authorized Officer for Sale Purposes.

(A) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Indenture, the authority to determine with respect to the Bonds the date or dates and maturities (provided, however, that the Bonds issued to finance equipment and collections shall mature not later than five (5) years from their dated date and the Bonds issued to finance any other purpose shall mature not later than thirty (30) years from their dated date); provisions for either serial or term bonds, sinking fund requirements, if any; due dates of interest; denominations; the terms, if any, of optional or extraordinary redemption, with or without premium; time or times of sale (subject to the cash flow requirements of the University to cover the cost of the UCONN 2000 Infrastructure Improvement Program) and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Bonds and of the issuance and sale thereof as the Treasurer may determine to be in the best interests of the State and University. The Treasurer shall file a Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of the Bonds setting forth the details and particulars of the Bonds determined by her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of the Bonds.

(B) The Treasurer is also delegated, pursuant to the Act and, in accordance with Section 4(a)(5) of the Act pursuant to certain provisions of Section 3-20 of the General Statutes of the State of Connecticut, as amended, the authority to enter into agreements in consultation with the University (through an Authorized Officer) with respect to the issuance and sale of the Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory agreements, and agreements with respect to security for the Bonds.

(C) The Authorized Officer for Sale Purposes or the Executive Vice President for Administration and Chief Financial Officer is hereby delegated and the Treasurer is further delegated, pursuant to the Act, the authority to approve the final terms of and publication and distribution of the Official Statement in connection with the offering and sale of the Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c-2-12 of the Securities and Exchange Commission ("Rule 15c-2-12"), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c-2-12. The mailing, publication and distribution of the Preliminary Official Statement is hereby approved. The Treasurer, in conjunction with the Authorized Officer for Sale Purposes or the Executive Vice President for Administration and Chief Financial Officer, is further authorized and directed to sign any amendment or supplement or certificate with respect to the Official Statement or the Preliminary Official Statement that may, in the Treasurer's judgment, be necessary or appropriate on or before the date of delivery of the Bonds.

(D) Subsequent to adoption of the resolution of the Board of Trustees authorizing the Twenty-second Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby authorized to make such changes, insertions, deletions or provisions to the Twenty-second Supplemental Indenture, not materially inconsistent with the intent of the provisions of the Twenty-second Supplemental Indenture as so adopted as may be necessary or appropriate to respond to the requirements of the Governor, the Treasurer, the Underwriters of the Bonds, the Bond Insurer, if any, or the rating agencies with respect to the Twenty-second Supplemental Indenture as evidenced

by approval of the Certificate of Determination and may rely on a Counsel's Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the Bonds within the scope of such Authorized Officer's duties at the University and under the Act.

503. **Declaration of Official Intent.** The University reasonably expects to incur expenditures (the "Expenditures") in connection with the Bond projects of which a general functional description is contained in Appendix A attached hereto (collectively, the "Project"). The University reasonably expects to reimburse itself for the cost of Expenditures with respect to the Project with the proceeds of Bonds, tax-exempt obligations to be issued by the University, not later than eighteen (18) months after the later of the date the original Expenditure is paid or the date the Project is placed in service or abandoned, but in no event more than three (3) years after the original Expenditure is paid. The maximum principal amount of such debt with respect to the Project is not expected to exceed \$240,400,000. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

IN WITNESS WHEREOF, the University of Connecticut has caused this Twenty-second Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Twenty-second Supplemental Indenture to be signed and sealed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: _____
Susan Herbst
Its President

(SEAL)

ATTEST:

By: _____
Scott A. Jordan
Its Executive Vice President for Administration
and Chief Financial Officer

U.S. BANK NATIONAL ASSOCIATION,
as Trustee

Date: _____

By: _____
Name:
Title:

APPENDIX A¹

TWENTY-SECOND SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2016-2017
UCONN 2000 BOND AUTHORIZATIONS
___SERIES___ BOND PROJECTS

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds**	Fiscal Year 2016-2017 Bond Authorization ⁽²⁾	UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***
--<u>Storrs and Regional Campuses</u>			
Academic and Research Facilities	\$510,200,528.40	\$6,476,861.00	\$2,909,222.00
Arjona and Monteith (new classroom buildings)	129,587,893.28	1,500,000.00	8,516,930.28
Deferred Maintenance/Code/ADA Renovation Lump Sum	804,595,378.27	54,683,346.00	30,585,549.17
Engineering Building (with Environmental Research Institute)	94,413,547.06	17,406,970.00	39,097,924.00
Equipment, Library Collections & Telecommunications	299,200,308.00	9,000,000.00	11,175,000.00
Fine Arts Phase II	28,564,831.75	5,544,998.00	1,981,917.00
Hartford Relocation Acquisition/Renovation	140,000,000.00	68,424,318.00	36,860,681.55
Heating Plant Upgrade	30,646,897.00	1,806,897.00	980,176.00
Jorgensen Renovation	3,934,474.58	200,000.00	1,500,000.00
Parking Garage #3	69,453,082.00	250,000.00	250,000.00
Residential Life Facilities	172,740,899.16	13,570,333.00	68,413,127.00
Stamford Campus Improvements/Housing	11,333,703.00	426,277.00	-
Subtotal – Storrs and Regional Campuses		\$179,290,000.00	

--<u>Health Center</u>			
Deferred Maintenance/Code/ADA Renovation Sum - Health Center	\$47,552,989.03	\$2,010,972.00	\$3,219,345.00
Equipment, Library Collections and Telecommunications - Health Center	68,429,390.00	2,250,000.00	4,150,125.00
Main Building Renovation	39,047,488.00	22,679,657.00	9,149,518.00
Medical School Academic Building Renovation	120,105,675.00	4,599,988.00	-
The University of Connecticut Health Center New Construction and Renovation	387,457,001.00	29,569,383.00	91,181,012.00
Subtotal – Health Center		\$61,110,000.00	
Total Fiscal Year 2016-2017 Bond Authorization		\$240,400,000.00	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

(1) The Board of Trustees approved the Twenty-second Supplemental Indenture on June 29, 2016.


(2) The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

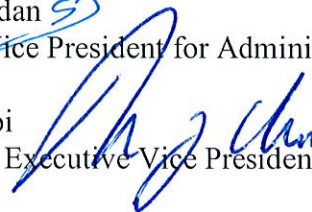
ATTACHMENT 23



June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Central Campus Infrastructure Upgrades
(Final: \$15,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$15,000,000 for the Central Campus Infrastructure Upgrades for Design and Construction.

BACKGROUND:

The Board of Trustees approved the Master Plan on February 25, 2015 that will provide the framework for campus development at the Storrs and Depot Campus for the next twenty years. This Master Plan details a capital improvement program that includes various utility infrastructure upgrade projects to support the renovation of existing buildings, the construction of new facilities and address deferred maintenance priorities throughout the Storrs Campus. The Board of Trustees approved the Planning Budget of \$15,000,000 for this project on February 24, 2016.

The Central Campus Infrastructure Upgrades project is currently in the Construction Phase. The utility enabling phase to support projects in the central part of campus is underway and the Design Phase started in April 2016. The utility enabling work will be designed and constructed in two Phases. Construction of Phase 1 utility enabling work is scheduled to start in Summer 2016. Construction of Phase 2 utility enabling work is scheduled to start in Spring 2017.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

The University operates a large network of utilities including steam, chilled water, sewer and storm water, domestic water, fire protection, medium voltage electrical service and reclaimed water.

The demolition of the existing Connecticut Commons Residence Halls where existing utilities need to be re-routed is underway and is scheduled to end in Fall 2016.

In order to support the construction of the infrastructure improvements, the demolition of four existing historic houses (formerly Faculty Row houses) is included and scheduled to start in Summer 2016 and end in Fall 2018. The houses are located at 423 Whitney Road, 421 Whitney Road, 13 Gilbert Road and 11 Gilbert Road.

The Final Budget is based on a combination of the construction manager's guaranteed maximum price and the engineer of record's conceptual estimate of probable cost for the Phase 1 enabling work.

The University requests a waiver of the three stage budget approval process in order to allow construction to proceed after pricing has been received and evaluated for conformance with the project scope and budget. The anticipated total project budget for the project will be in the range of \$20,000,000 to \$25,000,000, pending the final design of Phase 2 utility enabling work.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: CENTRAL CAMPUS INFRASTRUCTURE UPGRADES


	APPROVED PLANNING 2/24/2016	PROPOSED FINAL 6/29/2016
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 11,600,000	\$ 11,334,000
DESIGN SERVICES	400,000	478,000
TELECOMMUNICATIONS	250,000	265,000
FURNITURE, FIXTURES AND EQUIPMENT	60,000	60,000
CONSTRUCTION ADMINISTRATION	175,000	100,000
OTHER AE SERVICES (including Project Management)	525,000	615,000
ART	-	-
RELOCATION	50,000	75,000
ENVIRONMENTAL	345,000	463,000
INSURANCE AND LEGAL	20,000	25,000
MISCELLANEOUS	75,000	85,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 13,500,000	\$ 13,500,000
PROJECT CONTINGENCY	1,500,000	1,500,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 15,000,000</u>	<u>\$ 15,000,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 GO BONDS	\$ 15,000,000	\$ 15,000,000
TOTAL BUDGETED FUNDING	<u>\$ 15,000,000</u>	<u>\$ 15,000,000</u>

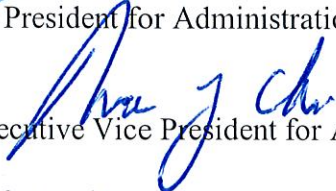
ATTACHMENT 24



June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities Northwood Roof and Envelope Repair (Revised Final: \$518,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$518,000 for Residential Life Facilities Northwood Roof and Envelope Repair project for Construction.

BACKGROUND:

Northwood Apartments Complex (1955) consists of twelve two-story buildings. The current roofs are 27 years old and have multiple leaks and the residential soffits, made of vinyl, provide easy access for animals to enter and live in the attic space. This phase of the project replaces all of the soffits with a material that will prevent animals from entering the attic.

The Residential Life Facilities Northwood Roof and Envelope Repair project began Planning and Design in December 2015. The project went to bid in April 2016. On-site construction will be from June 2016 to September 2016.

The Revised Final Budget is based on the low bid.

The Revised Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

**PROJECT NAME: RESIDENTIAL LIFE FACILITIES-NORTHWOOD ROOF AND
ENVELOPE REPAIR**

	APPROVED FINAL 4/21/2016	PROPOSED REVISED FINAL 6/29/2016
<u>BUDGETED EXPENDITURES</u>		
SAARC		
CONSTRUCTION	\$ 300,000	\$ 391,000
DESIGN SERVICES	66,159	66,159
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	13,164	16,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	5,000	5,000
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	3,000	3,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 387,323	\$ 481,159
PROJECT CONTINGENCY	30,677	36,841
TOTAL BUDGETED EXPENDITURES	\$ 418,000	\$ 518,000
<u>SOURCE(S) OF FUNDING</u>		
UNIVERSITY FUNDS	\$ 319,000	\$ 419,000
UConn 2000 GO BONDS	99,000	99,000
TOTAL BUDGETED FUNDING	\$ 418,000	\$ 518,000

RESIDENTIAL LIFE FACILITIES NORTHWOOD ROOF AND
ENVELOPE REPAIR
Project Budget (Revised Final)
June 29, 2016



Northwood Apartments (Rear Entrance)



(Side View)

ATTACHMENT 25



June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Student Union Steam and Condensate Service Replacement
Repairs (Revised Final: \$1,250,000)

RECOMMENDATION:

That the Board of Trustees note a report of an increase of \$50,000 (5%) on May 27, 2016 and approve an additional \$200,000 for a total Revised Final Budget amount of \$1,250,000 for the use of exigent procedures to procure the services necessary to replace the steam and condensate return service to the Student Union.

BACKGROUND:

The southern portion of the Storrs Campus electrical power supply is distributed by two 13,800 volt circuits (5P2 and 5P7) which were originally installed in the 1970s. Gampel Pavilion, ITEB, and the School of Business are among some of the buildings serviced by one or the other of these circuits.

In February and March 2016, both circuits experienced failures to segments of the cables on Fairfield Way as a result of short circuits caused by heat and moisture from a failed proximate steam/condensate return line. The failures and risk to public safety necessitated emergency replacement of both segments of the feeder cables. In one instance, an explosion occurred in the electrical distribution manhole adjacent to Laurel Hall and the Student Union.

The University has been advised by its consultant engineer that the steam and condensate return pipes should be replaced as expeditiously as possible in order to avoid a similar occurrence. A similar failure poses a public safety hazard and has the additional potential of disrupting electrical power supply to the entire southern portion of campus for extended periods of time.

Emergency measures (outside of this request) have already been taken in response to the incidents that include the replacement of both cables; provision of temporary power generation to ITEB and the School of Business; rental of a temporary steam generator to provide steam to the Student Union; diversion of condensate flow from the Student Union to discharge to drain; and purchase of replacement steam and condensate piping due to the long lead times involved.

In accordance with its engineer's recommendations, the University intends to replace the steam and condensate return lines to the Student Union as expeditiously as possible and seeks approval of an exigent procurement strategy to ensure that the work is completed in the shortest possible time frame. An exigent approach is necessary to secure construction and ancillary services necessary to support the installation of the steam and condensate return pipes. The pipes have been purchased independent of these requested exigent procurement services due to the long lead time with manufacturing and delivery. It is not feasible to use a traditional procurement process, involving the pre-qualification and subsequent bidding for the construction services, to secure the necessary services to align with the arrival of the pipe. The completion of the emergency repairs in this situation requires an exigent procurement process.

To complete the execution of the emergency repairs, this Resolution proposes the following concluding item for the Board of Trustees' approval under the process of exigent procurement:

In accordance with the prior approval of the Final Budget submitted March 30, 2016, the University has retained project management services and third party construction estimating services and has now concluded negotiations with the selected exigent construction firm, Fusco Corporation.

The University has received a price proposal from Fusco Corporation and has completed a confirming third party estimate of construction costs. The third party cost estimate is within 1% of the proposal and concludes that the Fusco proposal *".....reflects the current market conditions and complexities of the project as well as the very tight schedule"*.

On May 27, 2016, the project budget was increased by \$50,000 (5% of the approved Final Budget) from \$1,000,000 to \$1,050,000 on the authority of the Executive Vice President for Administration and Chief Financial Officer (EVPACFO), with the caveat that additional funds for unforeseen conditions may be requested in June 2016. Board of Trustees' policy permits the EVPACFO to approve changes up to 5% of the budget, providing that funding is available and that the Board of Trustees is notified subsequently through a revised project budget, noted in this resolution.

Additional unforeseen conditions in the utility tunnel and elsewhere have been encountered and this resolution requests an increase of \$200,000 for a total Revised Project Budget amount of \$1,250,000.

The Revised Final Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: STUDENT UNION STEAM AND CONDENSATE SERVICE REPLACEMENT REPAIRS


	APPROVED FINAL 3/30/2016	APPROVED REVISED FINAL 5/27/2016	PROPOSED REVISED FINAL 6/29/2016
<u>BUDGETED EXPENDITURES</u>		EVPACFO	
CONSTRUCTION	\$ 700,000	\$ 750,000	\$ 950,000
DESIGN SERVICES	50,000	50,000	50,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	50,000	50,000	50,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	50,000	50,000	50,000
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	50,000	50,000	50,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 900,000	\$ 950,000	\$ 1,150,000
PROJECT CONTINGENCY	100,000	100,000	100,000
TOTAL BUDGETED EXPENDITURES	\$ 1,000,000	\$ 1,050,000	\$ 1,250,000
<u>SOURCE(S) OF FUNDING</u>			
UConn 2000 GO BONDS	\$ 1,000,000	\$ 1,050,000	\$ 1,250,000
TOTAL BUDGETED FUNDING	\$ 1,000,000	\$ 1,050,000	\$ 1,250,000

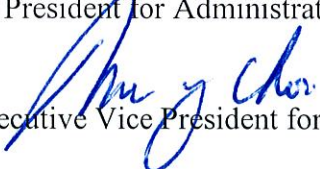
ATTACHMENT 26



June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN 2000 Code Remediation – Mansfield Apartments
(Revised Final Budget: \$3,600,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$3,600,000 for the remediation of code discrepancies at the Mansfield Apartments complex.

BACKGROUND:

The University Office of Fire Marshal and Building Inspector, after completing a required plan review and field inspection of the UCONN 2000 Mansfield Apartments Project, cited code discrepancies.

UConn PAES in conjunction with UConn's legal consultants have attempted to engage the original Architect and Contractor to obtain voluntary services in-kind for the remediation of code discrepancies determined by the University to be attributable to the respective companies. The original architect refutes any responsibility and has been unresponsive to University requests. A letter was issued to the responsible parties on August 26, 2013 to provide one last opportunity to correct the deficiencies on their own or the University will proceed to have the deficiencies repaired by others and seek remuneration for costs incurred. The original Architect remains unresponsive. The original Contractor is out of business and their surety company has been liquidated so there will be no recourse to recover costs incurred to remediate discrepancies attributable to the original Contractor. Therefore, the University is completing the corrective work for code discrepancies cited at Mansfield Apartments at its expense and will pursue cost recovery from the original Architect through legal action as applicable.

Remediation designs have been procured through the services of an on-call professional consultant and the work has been fully permitted by the University Office of Fire Marshal and Building Inspector.

Phase 1 of the work was completed in October of 2014 and has been inspected and approved.

Phase 2 comprises structural modifications, interior fire stopping in mechanical rooms and electrical work. The structural work was completed in the Summer 2015. The University became aware of the imminent need to replace boilers and water heaters which also presents an alternate method of compliance for the balance of the Phase II code remediation work.

The University has determined that it is advantageous to adopt this alternate method of compliance as it presents solutions to both a deferred maintenance and code remediation need. The University has received a credit for the balance of the originally planned Phase II portion of the work and has received bids for the installation of the boiler and water heaters.

The Revised Final Budget for is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: UCONN 2000 CODE REMEDIATION - MANSFIELD APARTMENTS

	APPROVED FINAL 8/6/2014	APPROVED REVISED FINAL 3/25/2015	PROPOSED REVISED FINAL 6/29/2016
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ 287,000	\$ 1,400,000	\$ 2,496,350
DESIGN SERVICES	134,000	220,000	250,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	25,000	230,000	325,000
OTHER AE SERVICES (including Project Management)	163,000	120,000	157,380
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	30,000	35,000
INSURANCE AND LEGAL	46,000	66,000	66,000
MISCELLANEOUS	5,000	10,000	70,270
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 660,000	\$ 2,076,000	\$ 3,400,000
PROJECT CONTINGENCY	70,000	200,000	200,000
TOTAL BUDGETED EXPENDITURES	\$ 730,000	\$ 2,276,000	\$ 3,600,000
<u>SOURCE(S) OF FUNDING</u>			
UNIVERSITY FUNDS	\$ 479,000	\$ 479,000	\$ 479,000
UCONN 2000 GO BONDS	251,000	1,797,000	3,121,000
TOTAL BUDGETED FUNDING	\$ 730,000	\$ 2,276,000	\$ 3,600,000


ATTACHMENT 27

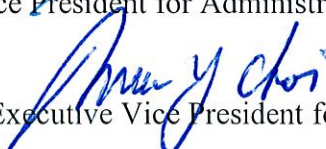


UNIVERSITY OF CONNECTICUT

June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities - West Campus Shower Renovations
(Revised Final: \$2,360,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$2,360,000 for Residential Life Facilities - West Campus Shower Renovations project for Construction.

BACKGROUND:

The original West Campus (1955) showers and bathrooms are inadequate for today's student needs. This renovation will take a student room off line from each floor and create a shower room that will connect to the existing bathroom. The existing bathrooms will be modernized by removing all hazardous materials including existing asbestos floor tiles, new LED lighting fixtures, new plumbing fixtures, new finishes, new toilet stalls, new accessories and a new exhaust system.

The Residential Life Facilities - West Campus Shower Renovations project began Planning and Design in August 2015. Bids were received March 2016. On-site Construction will be from May 2016 to August 2016.

When chases and walls were opened up, additional plumbing work and asbestos abatement was needed. This created plumbing and asbestos abatement change orders resulting in project delays which required overtime to meet the project completion date. The existing contingency was able to absorb these changes. This request is to replenish the contingency to pay for any future change orders which will increase the project budget by \$100,000 (4.4% of the approved Final Budget) from \$2,260,000 to \$2,360,000, with the project duration remaining unchanged.

The Revised Final Budget is attached for your consideration and approval.

Office of the Executive Vice President for
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STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED FINAL**

PROJECT NAME: **RESIDENTIAL LIFE FACILITIES - WEST CAMPUS SHOWER RENOVATIONS**

	APPROVED PLANNING / DESIGN 9/29/2015	APPROVED FINAL 1/27/2016	APPROVED REVISED FINAL 3/30/2016	PROPOSED REVISED FINAL 6/29/2016
<u>BUDGETED EXPENDITURES</u>				
SARCC				
CONSTRUCTION	\$ -	\$ 1,400,000	\$ 1,840,000	\$ 2,010,000
DESIGN SERVICES	127,900	127,900	128,000	128,000
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-
OTHER AE SERVICES (including Project Management)	8,200	60,000	70,000	73,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	-	21,000	21,000
INSURANCE AND LEGAL	2,000	141,200	2,000	2,000
MISCELLANEOUS	900	2,000	12,000	12,000
OTHER SOFT COSTS	-	11,900	-	-
SUBTOTAL	\$ 139,000	\$ 1,743,000	\$ 2,073,000	\$ 2,246,000
PROJECT CONTINGENCY	5,000	177,000	187,000	114,000
TOTAL BUDGETED EXPENDITURES	\$ 144,000	\$ 1,920,000	\$ 2,260,000	\$ 2,360,000
<u>SOURCE(S) OF FUNDING</u>				
UCONN 2000 GO BONDS	\$ 144,000	\$ 144,000	\$ 144,000	\$ 244,000
UNIVERSITY FUNDS	-	1,776,000	2,116,000	2,116,000
TOTAL BUDGETED FUNDING	\$ 144,000	\$ 1,920,000	\$ 2,260,000	\$ 2,360,000

RESIDENTIAL LIFE FACILITIES - WEST CAMPUS SHOWER RENOVATIONS

Project Budget (REVISED FINAL)

June 29, 2016



SANITARY MAINS NEEDED TO BE REMOVED AND RAISED
(24 Bathrooms - \$100,000)



Insufficient space to install shower valve; convert to surface mounted
shower valve assembly / asbestos waterproofing on concrete wall
(\$38,000; 36 locations)


ATTACHMENT 28

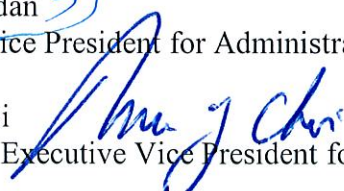


UNIVERSITY OF CONNECTICUT

June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Academic and Research Facilities – Main Accumulation Area
for Regulated Waste (Revised Final: \$5,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$5,500,000 for the Academic and Research Facilities – Main Accumulation Area for Regulated Waste for Planning, Design and Construction.

BACKGROUND:

The University's existing Main Accumulation Area (MAA) has been used since 1989 for short-term storage of regulated wastes that come from academic labs and support operations. The MAA's location off Horsebarn Hill Road is within a public drinking water supply watershed and has been a long-standing public concern. The existing facility for the storage of regulated waste is composed of modular trailers and containers totaling 4,200 square feet and is inefficient and insufficient for current and future use.

The new facility will be sized to adequately handle the three types of waste that are generated, including biological, chemical, and low level radioactive. The diversity of waste dictates certain building design features that promote the safety of operation.

The University commissioned a design team to prepare the plans and specifications for the project. After programming, it was determined that the University's requirement is for approximately 8,200 square feet of space in a single level structure. Processing and containment areas are located adjacent to a central loading dock/receiving area.

The Academic and Research Facilities – Main Accumulation Area for Regulated Waste is currently in the Construction Phase. Planning began in Fall 2013 with construction documents completed in April 2015. Construction began in Winter 2016 and will be complete in Fall 2016.

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The Academic and Research Facilities – Main Accumulation Area for Regulated Waste project will conform to Connecticut High Performance Building Code regulations and will be registered as a LEED project with a target of LEED Silver.

During the course of construction, design changes and unforeseen conditions were encountered including; ledge that was discovered on site that was blasted, removed and replaced with structural fill/stone, specification changes to the primary Air Handling Units (AHUs) serving the facility, and the relocation of the Fire Department Connection (FDC) serving the building. The cost associated with these changes coupled with the use of project contingency (that was in excess of the required 5%) to fund the original construction contract has resulted in the request to increase the project budget by \$244,420 (4.6% of the approved Final Budget) from \$5,255,580 to \$5,500,000, with the project duration remaining unchanged.

The Revised Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: ACADEMIC AND RESEARCH FACILITIES - MAIN ACCUMULATION AREA FOR REGULATED WASTES

	APPROVED PLANNING 10/29/2013	APPROVED REVISED PLANNING 6/25/2014	APPROVED DESIGN 2/25/2015	APPROVED FINAL 6/24/2015	PROPOSED REVISED FINAL 6/29/2016
<u>BUDGETED EXPENDITURES</u>					
EVPACFO					
CONSTRUCTION	\$ -	\$ 3,905,580	\$ 3,905,580	\$ 3,905,580	\$ 4,425,000
DESIGN SERVICES	84,805	475,000	475,000	475,000	465,000
TELECOMMUNICATIONS	-	25,000	25,000	25,000	25,000
FURNITURE, FIXTURES AND EQUIPMENT	-	54,000	30,500	30,500	30,500
CONSTRUCTION ADMINISTRATION	2,000	150,000	100,000	100,000	100,000
OTHER AE SERVICES (including Project Management)	3,500	140,000	210,000	210,000	210,000
ART	-	-	-	-	-
RELOCATION	-	10,000	10,000	10,000	10,000
ENVIRONMENTAL	-	-	-	-	-
INSURANCE AND LEGAL	5,000	10,000	8,000	8,000	8,000
MISCELLANEOUS	5,000	10,000	11,500	11,500	11,500
OTHER SOFT COSTS	-	-	-	-	-
SUBTOTAL	\$ 100,305	\$ 4,779,580	\$ 4,775,580	\$ 4,775,580	\$ 5,285,000
PROJECT CONTINGENCY	74,695	476,000	480,000	480,000	215,000
TOTAL BUDGETED EXPENDITURES	\$ 175,000	\$ 5,255,580	\$ 5,255,580	\$ 5,255,580	\$ 5,500,000
<u>SOURCE(S) OF FUNDING</u>					
UCONN 2000 GO BONDS	\$ 175,000	\$ 5,255,580	\$ 5,255,580	\$ 5,255,580	\$ 5,500,000
TOTAL BUDGETED FUNDING	\$ 175,000	\$ 5,255,580	\$ 5,255,580	\$ 5,255,580	\$ 5,500,000


ATTACHMENT 29

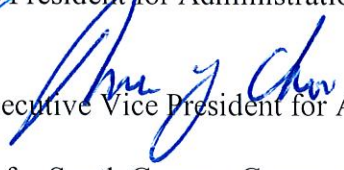


UNIVERSITY OF CONNECTICUT

June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for South Campus Commons Landscape and Pedestrian Improvements Plan (Design: \$3,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$3,500,000 for the South Campus Commons Landscape and Pedestrian Improvements Plan for Planning and Design.

BACKGROUND:

The University of Connecticut's 2015 Campus Master Plan illustrates improvements and enhancements to an existing open space on South Campus located primarily between Whitney and Gilbert Roads and currently occupied by several vacant historic "brown houses" (formerly known as Faculty Row). This space, bisected by a primary north-south pedestrian route called Academic Way, is adjacent to the new Student Recreation Center site and was conceived in the Master Plan to contain additional area for passive recreation and outdoor events, new sidewalks, plantings, lighting, furnishings and improved storm water drainage.

The South Campus Commons project is currently in the Planning Phase. A site programming guide is nearly complete and will be used as the basis of design for on-call landscape architectural and engineering services that will be procured by Spring 2017. Construction will begin by Fall 2018 and be complete by Fall 2019. The abatement and demolition of five of the historic houses – located at 3 Gilbert Road, 4 Gilbert Road, 5 Gilbert Road, 6 Gilbert Road and 417 Whitney Road – is a required enabling project anticipated to begin in Summer 2016 and be completed during the Fall 2016.

The Design Budget Recommendation is based upon a conceptual estimate of probable cost, including the enabling work, prepared by a Consultant. The anticipated total project budget will be in the range of \$5,000,000 to \$6,000,000.

The Design Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

**PROJECT NAME: SOUTH CAMPUS COMMONS LANDSCAPE AND PEDESTRIAN IMPROVEMENTS
PLAN**

	APPROVED PLANNING 2/1/2016 PRC	APPROVED REVISED PLANNING 5/18/2016 SARCC	PROPOSED DESIGN 6/29/2016
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ -	\$ -	\$ 2,200,000
DESIGN SERVICES	-	415,000	300,000
TELECOMMUNICATIONS	-	-	35,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	110,000
OTHER AE SERVICES (including Project Management)	25,000	15,000	145,000
ART	-	-	-
RELOCATION	-	-	25,000
ENVIRONMENTAL	-	-	150,000
INSURANCE AND LEGAL	-	-	2,500
MISCELLANEOUS	-	-	22,500
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 25,000	\$ 430,000	\$ 2,990,000
PROJECT CONTINGENCY	5,000	50,000	510,000
TOTAL BUDGETED EXPENDITURES	\$ 30,000	\$ 480,000	\$ 3,500,000
<u>SOURCE(S) OF FUNDING</u>			
UConn 2000 GO BONDS	\$ 30,000	\$ 480,000	\$ 3,500,000
TOTAL BUDGETED FUNDING	\$ 30,000	\$ 480,000	\$ 3,500,000

ATTACHMENT 30



June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Fine Arts Phase II: Music & Dramatic Arts Library
Renovations Phases I & II (Design: \$2,100,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget for Fine Arts Phase II: Music & Dramatic Arts Library Renovations Phases I & II for \$2,100,000.

BACKGROUND:

The Music Library, constructed in the early 1990's, houses most of the University Libraries materials relating to the subject of music. Reading and study areas, stacks, archives, listening rooms and classrooms are provided on three levels of a circular "drum" structure.

As library needs have changed and collections have consolidated, the University has reprogrammed the Music Library to accommodate new and expanded functions. Proposed space assignments are Ground Floor Music Resource Center (3,600 gsf); First Floor Quiet Study Lounge (3,800 gsf) and Second Floor Dean of Fine Arts Administration Suite (5,000 gsf).

Phase I reconstructs the second floor of the drum to provide departmental administrative offices, including the Dean's Suite. Access to the Library roof will be provided in this phase of work.

Phase II consolidates library operations and provides badly-needed study space for the School. All library functions, including entrance and security systems, will be relocated to the ground floor. The first floor, which currently serves as the Library entrance, will be renovated to provide quiet study space. The glazing between the Library and the Main Lobby will be modified to provide more transparency. Finishes in all project areas will be upgraded.

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The Fine Arts Phase II: Music & Dramatic Arts Library Renovations Phases I & II is currently in the Design Phase. Planning began in Summer 2015 and design services procured in Fall 2015. Construction is anticipated to begin in October 2016 and to be complete in January 2017.

As an interior reconstruction project, the Music and Dramatic Arts Library project is not subject to Connecticut High Performance Building Code requirements.

The Design Budget is based on a PAES estimate, which prepared preliminary cost projections for Phases I and II. The change in the project budget is due to the addition of Phase II to the scope of work. Subsequently, Phases I and II have been combined into a single project.

The anticipated total project budget will be in the range of \$2,000,000 to \$2,100,000.

The Design Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

**PROJECT NAME: FINE ARTS PHASE II - MUSIC & DRAMATIC ARTS LIBRARY RENOVATIONS
PHASES I & II**


<u>BUDGETED EXPENDITURES</u>	APPROVED PLANNING 9/30/2015	PROPOSED DESIGN 6/29/2016
	PRC	
CONSTRUCTION	\$ -	\$ 1,350,000
DESIGN SERVICES	112,000	170,000
TELECOMMUNICATIONS	-	40,000
FURNITURE, FIXTURES AND EQUIPMENT	-	160,000
CONSTRUCTION ADMINISTRATION	-	75,000
OTHER AE SERVICES (including Project Management)	5,000	73,000
ART	-	-
RELOCATION	-	10,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	8,000
MISCELLANEOUS	-	4,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 117,000	\$ 1,890,000
PROJECT CONTINGENCY	13,000	210,000
TOTAL BUDGETED EXPENDITURES	\$ 130,000	\$ 2,100,000
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 GO Bonds	\$ 130,000	\$ 2,100,000
TOTAL BUDGETED FUNDING	\$ 130,000	\$ 2,100,000

ATTACHMENT 31



June 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Academic and Research Facilities – STEM Research Center
Science 1 (Revised Planning: \$5,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget of \$5,000,000 for Academic and Research Facilities – STEM Research Center Science 1 for Planning and Design.

BACKGROUND:

The Board of Trustees approved the Master Plan on February 25, 2015 that provides a vision and a series of development guidelines for new construction on the Storrs campus and specifically for the advancement of the area known as the Northwest Science Quad District. The District will be the location for the expansion of UConn's research enterprise providing an exciting opportunity to plan and design new buildings and infrastructure in support of new STEM research and teaching facilities in a distinct campus quadrangle. The new quad district will be built in phases on the large area of undeveloped land located within a five minute walk to the core of central campus. The first funded project is Academic and Research Facilities – STEM Research Center Science 1, a 200,000 GSF research facility that will be designed to meet both the current and future programmatic requirements of the University of Connecticut as it seeks to balance the anticipated rise in student enrollment in STEM programs with future research needs.

The Academic and Research Facilities – STEM Research Center Science 1 is currently in the Planning Phase. Planning began in Winter 2015 and construction documents will be bid in Spring 2018. Construction is anticipated to begin in Summer 2018 and to be complete in Summer 2020.

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The Academic and Research Facilities – STEM Research Center Science 1 project will conform to Connecticut High Performance Building Code regulations and will be registered as a LEED project with a target of LEED Gold.

The total cost of the project will be in the range of \$225,000,000 to \$250,000,000.

The Revised Planning Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

**PROJECT NAME: ACADEMIC AND RESEARCH FACILITIES - STEM RESEARCH
CENTER SCIENCE 1**

	APPROVED PLANNING 11/13/2013	PROPOSED REVISED PLANNING 6/29/2016
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ -	\$ 200,000
DESIGN SERVICES	600,000	3,840,000
TELECOMMUNICATIONS	20,000	-
FURNITURE, FIXTURES AND EQUIPMENT	20,000	-
CONSTRUCTION ADMINISTRATION	100,000	300,000
OTHER AE SERVICES (including Project Management)	125,000	150,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	5,000	7,500
MISCELLANEOUS	-	2,500
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 870,000	\$ 4,500,000
PROJECT CONTINGENCY	130,000	500,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,000,000</u>	<u>\$ 5,000,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 GO BONDS	<u>\$ 1,000,000</u>	<u>\$ 5,000,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 1,000,000</u>	<u>\$ 5,000,000</u>

BOT 6.29.16


901802


ATTACHMENT 32

UConn HEALTH

June 29, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs 

Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health H Building Sealant Replacement (Final \$850,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$850,000 for the UConn Health (UCH) H Building Sealant Replacement Project.

BACKGROUND:

The existing Hospital Tower, H Building, is the only portion of the Main Building that has not had the 25 year old exterior sealant replaced. The sealant is located at the joints in the exterior pre-cast concrete panels that are on the façade of the building and is failing in various locations. The work on this section of the Main Building was not done when the bulk of the Main Building was done in 2014 due to the logistics of the construction related to the new University Tower.

UCH plans to begin the design work as soon as possible and complete the project by the end of the calendar year.

The Final Budget is attached for your consideration. The Final Budget is based upon conceptual estimates and may change based upon the actual design work. With your approval the project will move forward with design. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 13, 2016.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN HEALTH - H BUILDING SEALANT REPLACEMENT

	APPROVED PLANNING 3/30/2016	PROPOSED FINAL 6/29/2016
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 733,000	\$ 733,000
DESIGN SERVICES	32,000	32,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	5,000	5,000
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	2,000	2,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 772,000	\$ 772,000
PROJECT CONTINGENCY	78,000	78,000
TOTAL BUDGETED EXPENDITURES	\$ 850,000	\$ 850,000
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 PHASE III	\$ 850,000	\$ 850,000
TOTAL BUDGETED FUNDING	\$ 850,000	\$ 850,000

UCONN HEALTH
IMPROVEMENTS
H BUILDING EXTERIOR SEALANT JOINTS
Project Budget (Final)
June 29, 2016




View of H Building Exterior Sealant Joints


ATTACHMENT 33

UConn HEALTH

June 29, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA 
Executive Vice President for Health Affairs

Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health New Boiler and Deaerator Tank
(DA) Repairs (Revised Final: \$1,860,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$1,860,000 for the New Boiler and Deaerator (DA) Tank Repairs.

BACKGROUND:

The Main Building mechanical system includes a central boiler plant in the basement of the Academic Research Building (ARB). Constructed in 1996, the boiler plant provides hot water for heating and steam generation. The plant serves the entire Main Building including the Hospital, clinical, research, and education space.

As part of the Bioscience Connecticut initiative, Main Building Lab renovations, one backup boiler in a separate location from the main boiler plan will be removed. This project will replace that boiler with a new boiler in the central plant and the repair of the Deaerator tank system.

The Revised Final Budget is attached for your consideration. The revision is based upon the receipt of favorable bids, resulting in a budget reduction of \$500,000. This budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 13, 2016.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: UCH - NEW BOILER AND DEAERATOR TANK REPAIRS

	APPROVED PLANNING 6/24/2015	APPROVED DESIGN 12/16/2015	APPROVED FINAL 3/30/2016	PROPOSED REVISED FINAL 6/29/2016
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ 1,650,000	\$ 1,945,000	\$ 1,945,000	\$ 1,320,000
DESIGN SERVICES	210,000	181,000	181,000	221,000
TELECOMMUNICATIONS	5,000	2,000	2,000	2,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	50,000	-	-	-
OTHER AE SERVICES (including Project Management)	50,000	-	-	-
ART	-	-	-	15,000
RELOCATION	-	2,000	2,000	2,500
ENVIRONMENTAL	-	10,000	10,000	20,000
INSURANCE AND LEGAL	25,000	-	-	-
MISCELLANEOUS	10,000	5,000	5,000	14,000
SUBTOTAL	\$ 2,000,000	\$ 2,145,000	\$ 2,145,000	\$ 1,594,500
PROJECT CONTINGENCY	200,000	215,000	215,000	265,500
TOTAL BUDGETED EXPENDITURES	<u>\$ 2,200,000</u>	<u>\$ 2,360,000</u>	<u>\$ 2,360,000</u>	<u>\$ 1,860,000</u>
<u>SOURCE(S) OF FUNDING</u>				
UCONN 2000 PHASE III	<u>\$ 2,200,000</u>	<u>\$ 2,360,000</u>	<u>\$ 2,360,000</u>	<u>\$ 1,860,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 2,200,000</u>	<u>\$ 2,360,000</u>	<u>\$ 2,360,000</u>	<u>\$ 1,860,000</u>

UCONN HEALTH
NEW BOILER AND
DEAERATOR TANK (DA) REPAIRS
Project Budget (Revised Final)
June 29, 2016



Partial View of the Central Boiler Plant

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
New Hires Processed from April 7, 2016 - June 9, 2016
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
AMMU, PRHASHANNA	U POST DOC FEL 1	MATERIALS SCIENCE INST	5/2/2016
ARIYAVATKUL, NICOLE G.	UC PROFESSIONAL 03 - ASST SCHED OFFICER	HARTFORD STUDENT SERV	4/1/2016
BALDASSARIO, RYAN M.	UC PROFESSIONAL 05 - PROGRAM COORD	BUSINESS MBA PROGRAM	5/27/2016
BARKMAN, WHITNEY	UC PROFESSIONAL 03 - PROG ASSISTANT I	INCHIP	6/1/2016
BLESSO, ANA C.	UC PROFESSIONAL 06 - PROGRAM SPEC I	CAREER DEVELOPMENT	4/29/2016
BODUGOZ-SENTURK, HATICE	RESEARCH SCIENTIST	MATERIALS SCIENCE INST	5/23/2016
BORDEN, RACHEL L.	UC PROFESSIONAL 01 - FINANCIAL ASST I	PSYCHOLOGY	5/27/2016
BUERGER, CATHERINE F.	U POST DOC FEL 1	GLOBAL AFFAIRS	5/27/2016
BURKBUCKLER, GARY F.	RESEARCH ASSISTANT 1	NURSING INSTR & RES	5/13/2016
BUTLER, LISA M.	ASSOC RESEARCH PROF	INCHIP	5/1/2016
CAPLINGER, DILLON M.	UC PROFESSIONAL 09 - SR COMP PROG/ANAL	ADMISSIONS	5/27/2016
CHIAVERINI, LARA K.	UC PROFESSIONAL 05 - ADMIN COORDINATOR	COMPUTER SCIENCE & ENGR	5/27/2016
CHOI, YOON-YOUNG	ACAD ASSISTANT I	INCHIP	5/23/2016
CRIVELLO, FRANCESCA	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	CAREER DEVELOPMENT	4/29/2016
DASH, RADHA C.	U POST DOC FEL 1	PHARMACEUTICAL SCI	4/1/2016
DEMURJIAN, STEVEN A. JR	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	CT TRANSPORTATION INST	5/13/2016
GOEMANS, GEERT	RESEARCH ASSISTANT 1	ECOLOGY & EVOL BIOLOGY	5/2/2016
HAOUCHINE, ZACCARIA	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	LIBERAL ARTS & SCIENCES	5/2/2016
JAGIELO, STEVEN M.	UC PROFESSIONAL 08 - EXECUTIVE ASST I	INCHIP	6/8/2016
KENBEEK, SETH D.	RESEARCH ASSISTANT 2	CT TRANSPORTATION INST	4/29/2016
KERSAINT, GLADIS	DEAN	EDUCATION	6/6/2016
KHANDAY, MUDASIR A.	U POST DOC FEL 1	PHYSIOLOGY & NEUROBIOLOGY	6/1/2016
KLING, NORA G.	U POST DOC FEL 1	PHYSICS	5/9/2016
LI, WEI	U POST DOC FEL 1	PHYSIOLOGY & NEUROBIOLOGY	6/1/2016
LINCOLN, STEPHEN T.	UC PROFESSIONAL 07 - NETWORK TECHNICIAN 2	UNIVERSITY SECURITY	5/13/2016
MORANO, PAUL M.	UC PROFESSIONAL 09 - SR COMP PROG/ANAL	ENTERPRISE ADMIN SYSTEMS	4/29/2016
NAHASS, PAUL R.	RESEARCH SCIENTIST	MATERIALS SCIENCE INST	4/25/2016
NIEWINSKI, MEG	UC PROFESSIONAL 07 - GRANTS/CONTR SPEC II	SPONSORED PROGRAMS	4/29/2016
O'CONNOR, NATALIE M.	UC PROFESSIONAL 03 - PROG ASSISTANT I	SOCIAL WORK	5/13/2016
PAULOSKI, NICOLE R.	RESEARCH ASSOCIATE 1	MOLECULAR & CELL BIOLOGY	5/31/2016

University of Connecticut Department of Human Resources
New Hires Processed from April 7, 2016 - June 9, 2016
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NAME	TITLE	DEPARTMENT	DATE
PURYEAR, JEB	RESEARCH ASSOCIATE 1	EDUCATIONAL PSYCHOLOGY	4/15/2016
REED, MATTHEW D.	U STAFF PROF III	POLICE SERVICES	4/29/2016
RUSSELL, BRANDON J.	U POST DOC FEL 1	MARINE SCIENCES	4/1/2016
RUSO, KATHRYN L.	UC PROFESSIONAL 07 - PROGRAM ASSOCIATE	COMMUNITY STANDARDS	6/3/2016
RYAN, CODY D.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	ISS-1ST YR PROG/LEARNING	4/15/2016
SATTERFIELD, ALESSIA	UC PROFESSIONAL 07 - PROGRAM ASSOCIATE	COMMUNITY STANDARDS	6/3/2016
SHELDON, JASON M.	UC PROFESSIONAL 04 - PUBLICITY/MKTG COORD	AGRI PUBLICATIONS OFC	5/13/2016
SHIMMEL, STEPHANIE C.	UC PROFESSIONAL 04 - ADMIN SERV ASST III	BUSINESS MBA PROGRAM	5/4/2016
SIMLER, TARA R.	UC PROFESSIONAL 05 - FINANCIAL ASST II	CT TRANSPORTATION INST	4/15/2016
THURSTON, BRYAN R.	RESEARCH ASSISTANT 1	NURSING INSTR & RES	5/13/2016
TRAN, MELANIE	U POST DOC FEL 1	PHYSIOLOGY & NEUROBIOLOGY	5/16/2016
VAUDREY, JAMIE	ASST RESEARCH PROF	MARINE SCIENCES	5/4/2016
VAZQUEZ, AMELINDA	UC PROFESSIONAL 06 - PROGRAM SPEC I	CAREER DEVELOPMENT	4/29/2016
ZHAO, YULAN	U POST DOC FEL 1	PHYSIOLOGY & NEUROBIOLOGY	5/16/2016

University of Connecticut Department of Human Resources
Separations Processed from April 7, 2016 - June 9, 2016
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
BENGE, KELLIE L.	UC PROFESSIONAL 06 - DATA BASE PROG/ANLST	RESIGNATION (GOOD STANDING)	RESLIF	4/28/2016
BIRGE, CONSTANCE R.	UC PROFESSIONAL 06 - ADMIN SERV ASST IV	RETIREMENT (55/W 10-24 YEARS)	CHEM	5/31/2016
BIRGE, ROBERT R.	PROFESSOR	RETIREMENT (55/W 10-24 YEARS)	CHEM	5/31/2016
BLUMENTHAL, MICHAEL D.	ASSISTANT PROFESSOR	RETIREMENT (25+ YRS SERVICE)	RG CMP	5/31/2016
BURELLE, SHELLY K.	UC PROFESSIONAL 03 - PROG ASSISTANT I	RETIREMENT (25+ YRS SERVICE)	PHIL	4/30/2016
BURKBUCKLER, GARY F.	RESEARCH ASSISTANT I	RESIGNATION (GOOD STANDING)	NURS	5/18/2016
BZYMEK, ZBIGNIEW M.	ASSOCIATE PROFESSOR	RETIREMENT (25+ YRS SERVICE)	ME	5/31/2016
CAROLLO, RYAN A.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	6/3/2016
CECH, MICHELLE E.	UC PROFESSIONAL 05 - FINANCIAL ASST II	RESIGNATION (GOOD STANDING)	ENGR	6/9/2016
CHARLAND, KATHLEEN H.	UC PROFESSIONAL 03 - PROG ASSISTANT I	RESIGNATION (GOOD STANDING)	STAM	5/2/2016
CHILTON, GALADRIEL	UC PROFESSIONAL 09 - U LIBRARIAN III	RESIGNATION (GOOD STANDING)	LIB	5/31/2016
CSIKI, JOSEPH III	UC PROFESSIONAL 09 - TECH SHOP ENGR/MGR	RETIREMENT (25+ YRS SERVICE)	BIOCTR	4/30/2016
DAVIDSON, KAY	PROFESSOR	RETIREMENT (55/W 10-24 YEARS)	SW	5/31/2016
FORAN, SHEILA N.	UC PROFESSIONAL 07 - U RELATIONS ASSOC	RETIREMENT (55/W 10-24 YEARS)	COMM	5/31/2016
FRYE MITCHELL, DEBORAH L.	UC PROFESSIONAL 03 - TECH RECORDS COORD	RESIGNATION (GOOD STANDING)	SPEECH	5/16/2016
GARCIA, WILLIE A.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	4/29/2016
GORDILLO, MAURICIO A.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	5/1/2016
HENRY, CHARLES S.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	EEB	5/31/2016
HOBBS, KARL B.	SPECIALIST IIA	RESIGNATION (GOOD STANDING)	ATH	4/15/2016
HUNN, JULIANNE E.	RESEARCH ASSISTANT I	RESIGNATION (GOOD STANDING)	PSYC	5/2/2016
JALBERT, PAUL L.	ASSOCIATE PROFESSOR	RETIREMENT (25+ YRS SERVICE)	RG CMP	5/31/2016
JONES, STEPHEN P.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	RG CMP	5/31/2016
JORDAN, ERIC H.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	ME	5/31/2016
KENNEDY-LAMSON, JOCELYN	U STAFF PROF III	RESIGNATION (GOOD STANDING)	LAW	6/1/2016
KOENIG, THOMAS L.	UC PROFESSIONAL 07 - U LIBRARIAN II	RETIREMENT (25+ YRS SERVICE)	LIB	4/30/2016
KURLANTZICK, LEWIS S.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	LAW	5/31/2016
LEE, DEBRA E.	UC PROFESSIONAL 04 - ADMIN SERV ASST III	RESIGNATION (GOOD STANDING)	WELLNE	4/28/2016
LEEK, FIONA	RESEARCH SCIENTIST	RESIGNATION (GOOD STANDING)	IMS	5/3/2016

University of Connecticut Department of Human Resources
Separations Processed from April 7, 2016 - June 9, 2016
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NAME	TITLE	SEPARATION REASON	DEPT	DATE
MAREK, NANCY A.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	EXTENS	6/9/2016
MCDERMOTT, STEVEN E.	UC PROFESSIONAL 07 - EDUC MEDIA ASSOC	RETIREMENT (55/W 10-24 YEARS)	EDTECH	5/31/2016
MUSTAFA, NASREEN C.	UC PROFESSIONAL 05 - PROGRAM COORD	RESIGNATION (GOOD STANDING)	DISABL	5/6/2016
NEGRONI, LIRIO K.	ASSOCIATE PROFESSOR	RETIREMENT (55/W 10-24 YEARS)	SW	5/31/2016
NORRIS, JOEL E.	UC PROFESSIONAL 05 - COMPUTER LAB COORD I	RETIREMENT (55/W 10-24 YEARS)	HTFD	5/31/2016
O'CONNOR, BARBARA	U DIRECTOR - DIR OF PUBLIC SAFETY	RESIGNATION (GOOD STANDING)	POLICE	5/31/2016
OSBORNE, HEATHER	UC PROFESSIONAL 07 - MGR-RESCH LAB SERV	RESIGNATION (GOOD STANDING)	PHYS	6/3/2016
PAK, TIFFANY H.	UC PROFESSIONAL 01 - FINANCIAL ASST I	RESIGNATION (GOOD STANDING)	CLAS	5/20/2016
PALMER, NANETTE S.	UC PROFESSIONAL 10 - SHS APRN	RETIREMENT (55/W 10-24 YEARS)	HEALTH	4/30/2016
PARCIAK, PAUL C.	UC PROFESSIONAL 09 - OPER SYS PROG/ANAL 3	RETIREMENT (55/W 10-24 YEARS)	NED	5/31/2016
PARCIAK, RITA M.	UC PROFESSIONAL 09 - ASST FINANCE DIR II	RESIGNATION (GOOD STANDING)	ACTPAY	6/6/2016
PETERSON, CYNTHIA W.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	PHYS	5/31/2016
RAGLE, ROSEMARY B.	SPECIALIST IA - ASST ATHLETIC TRAINR	RESIGNATION (GOOD STANDING)	ATH	4/8/2016
SANTERRE, REXFORD	PROFESSOR - DIRECTOR	RETIREMENT (55/W 10-24 YEARS)	FNCE	5/31/2016
SHAH, SARJU S.	RESEARCH ASSISTANT 3	RESIGNATION (GOOD STANDING)	NURS	5/26/2016
SPATH, ROBIN	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	SW	5/31/2016
STWALLEY, WILLIAM C.	D PROFESSOR - DISTINGUISHED PROF	RETIREMENT (55/W 10-24 YEARS)	PHYS	5/31/2016
TOLENTINO, EDGAR J.	SPECIALIST IA - ASSISTANT COACH	RESIGNATION (GOOD STANDING)	ATH	5/31/2016
UPADHYAYA, INDU	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ANSC	4/10/2016
WARREN, JOSEPH K.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	MARINE	6/1/2016
WATERMAN, MOLLY K.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	PSYC	6/1/2016
WOOLSEY, NICOLE L.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	NURS	4/29/2016
YU, JINGFANG	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	4/29/2016
ZIMMERMANN, KELLY A.	UC PROFESSIONAL 05 - PROGRAM COORD	RESIGNATION (GOOD STANDING)	OSA	6/9/2016
ZOPFI, JANE A.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RETIREMENT (25+ YRS SERVICE)	REGIS	5/31/2016

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 6/9/16
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Addesso, Nanette	Univ. Library Assistant II (UCP V)	Library Regional Campuses	5/6/16	5/6/16	Medical Leave	Paid
Alfano, Andrea	OSD Cons. & Proj. Mgr. (UCP IX)	Social Work	4/7/16	4/27/16	Medical Leave	Paid
Archambault, Andre	Network Consultant 2 (UCP VII)	IT User Services	4/14/16	6/9/16	Medical Leave	Paid
Baron, Amanda	Admin. Serv. Specialist II (UCP IV)	Accounts Payable	3/22/16	3/22/16	Medical Leave	Paid
Baron, Amanda	Admin. Serv. Specialist II (UCP IV)	Accounts Payable	4/8/16	4/8/16	Medical Leave	Paid
Baron, Amanda	Admin. Serv. Specialist II (UCP IV)	Accounts Payable	4/14/16	4/14/16	Medical Leave	Paid
Baron, Amanda	Admin. Serv. Specialist II (UCP IV)	Accounts Payable	6/9/16	6/9/16	Medical Leave	Paid
Berkey, Melissa	Program Coordinator (UCP V)	Enrichment Programs	3/18/16	3/18/16	Maternity Leave	Paid
Biron, Alexa	Writer/Editor IV (UCP VIII)	University Communications	3/28/16	3/28/16	Medical Leave	Paid
Biron, Alexa	Writer/Editor IV (UCP VIII)	University Communications	4/1/16	4/1/16	Medical Leave	Paid
Biron, Alexa	Writer/Editor IV (UCP VIII)	University Communications	4/6/16	4/6/16	Medical Leave	Paid
Biron, Alexa	Writer/Editor IV (UCP VIII)	University Communications	4/15/16	4/15/16	Medical Leave	Paid
Biron, Alexa	Writer/Editor IV (UCP VIII)	University Communications	4/25/16	4/25/16	Medical Leave	Paid
Biron, Alexa	Writer/Editor IV (UCP VIII)	University Communications	5/10/16	5/10/16	Medical Leave	Paid
Biron, Alexa	Writer/Editor IV (UCP VIII)	University Communications	5/11/16	5/11/16	Medical Leave	Paid
Blanks, Leonard Jr.	Creative Services Director (UCP X)	University Communications	4/6/16	4/6/16	Medical Leave	Paid
Blanks, Leonard Jr.	Creative Services Director (UCP X)	University Communications	4/8/16	4/8/16	Medical Leave	Paid
Blanks, Leonard Jr.	Creative Services Director (UCP X)	University Communications	4/11/16	4/11/16	Medical Leave	Paid
Blanks, Leonard Jr.	Creative Services Director (UCP X)	University Communications	5/11/16	5/12/16	Medical Leave	Paid
Blanks, Leonard Jr.	Creative Services Director (UCP X)	University Communications	5/20/16	5/20/16	Medical Leave	Paid
Bloom, Charles	Dept. Head Student Affairs	Student Affairs IT Department	5/4/16	5/4/16	Medical Leave	Paid
Bohara, Jamie	Admin. Serv. Specialist II (UCP IV)	Registrar's Office	3/18/16	3/24/16	Medical Leave	Paid
Bolduc, Donald	Facilities Professional II (UCP VII)	Academic Renovation Operations	3/22/16	3/24/16	Medical Leave	Paid
Bolduc, Donald	Facilities Professional II (UCP VII)	Academic Renovation Operations	3/24/16	3/24/16	Medical Leave	Paid
Bolduc, Donald	Facilities Professional II (UCP VII)	Academic Renovation Operations	3/29/16	3/24/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	3/22/16	3/22/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	3/24/16	3/24/16	Medical Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	3/29/16	3/29/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	3/31/16	3/31/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	4/5/16	4/5/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	4/7/16	4/7/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	4/11/16	4/12/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	4/21/16	4/21/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	4/26/16	4/26/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	4/28/16	4/28/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	5/5/16	5/5/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	5/12/16	5/12/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	5/17/16	5/17/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	5/24/16	5/24/16	Medical Leave	Paid
Bone, Donna	Business Manager II (UCP VII)	Curriculum & Instruction Dept.	5/31/16	5/31/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	3/18/16	4/28/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	5/3/16	5/3/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	5/5/16	5/5/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	5/10/16	5/10/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	5/12/16	5/12/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	5/17/16	5/19/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	5/24/16	5/24/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	5/26/16	5/26/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	5/31/16	5/31/16	Medical Leave	Paid
Bosques, Lillian	University Educational Assistant 2	President's Office	6/2/16	6/2/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	3/18/16	3/18/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	3/23/16	3/24/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	3/28/16	3/28/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	4/7/16	4/8/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	4/13/16	4/13/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	4/18/16	4/19/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	4/22/16	4/22/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	4/27/16	4/27/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	5/3/16	5/4/16	Medical Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	5/9/16	5/11/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	5/19/16	5/19/16	Medical Leave	Paid
Bretthauer, David	Univ. Librarian IV (UCP X)	Library Vice Provost's Office	6/9/16	6/9/16	Medical Leave	Paid
Bryan, Marva	Info. Systems Analyst I (UCP VI)	Admissions	6/7/16	6/7/16	Medical Leave	Paid
Buckley, Holly	Admin. Serv. Specialist III (UCP V)	Avery Point Campus	3/29/16	3/29/16	Medical Leave	Paid
Buckley, Holly	Admin. Serv. Specialist III (UCP V)	Avery Point Campus	5/4/16	5/4/16	Medical Leave	Paid
Buckley, Holly	Admin. Serv. Specialist III (UCP V)	Avery Point Campus	6/7/16	6/7/16	Medical Leave	Paid
Buckley, Holly	Admin. Serv. Specialist III (UCP V)	Avery Point Campus	6/9/16	6/9/16	Medical Leave	Paid
Carcano, Raymond	Network Technician 3 (UCP IX)	CEN-ASC Information Tech. Serv.	3/22/16	3/24/16	Medical Leave	Paid
Carcano, Raymond	Network Technician 3 (UCP IX)	CEN-ASC Information Tech. Serv.	3/28/16	4/8/16	Medical Leave	Paid
Carcano, Raymond	Network Technician 3 (UCP IX)	CEN-ASC Information Tech. Serv.	4/19/16	4/19/16	Medical Leave	Paid
Carcano, Raymond	Network Technician 3 (UCP IX)	CEN-ASC Information Tech. Serv.	4/21/16	4/21/16	Medical Leave	Paid
Carcano, Raymond	Network Technician 3 (UCP IX)	CEN-ASC Information Tech. Serv.	4/26/16	4/26/16	Medical Leave	Paid
Carcano, Raymond	Network Technician 3 (UCP IX)	CEN-ASC Information Tech. Serv.	4/28/16	4/28/16	Medical Leave	Paid
Cardone, Marilyn	Program Specialist II (UCP VII)	Social Work	4/7/16	4/8/16	Medical Leave	Paid
Cardone, Marilyn	Program Specialist II (UCP VII)	Social Work	4/13/16	4/13/16	Medical Leave	Paid
Cardone, Marilyn	Program Specialist II (UCP VII)	Social Work	5/13/16	5/13/16	Medical Leave	Paid
Cardone, Marilyn	Program Specialist II (UCP VII)	Social Work	5/27/16	5/27/16	Medical Leave	Paid
Clark, Kelly	Master Teacher , CDL (UCP V)	Human Dev./Family Studies	3/18/16	3/24/16	Medical Leave	Paid
Clark, Kelly	Master Teacher , CDL (UCP V)	Human Dev./Family Studies	3/28/16	5/12/16	Medical Leave	Paid
Clark, Kelly	Master Teacher , CDL (UCP V)	Human Dev./Family Studies	5/16/16	5/20/16	Medical Leave	Paid
Conklin, Elizabeth	Associate Vice President	Institutional Equity	5/9/16	5/26/16	Maternity Leave	Paid
Cote, Leslie	Procurement Serv. Sr. Spec. (UCP IX)	Procurement Services	4/13/16	4/15/16	Medical Leave	Paid
Cote, Leslie	Procurement Serv. Sr. Spec. (UCP IX)	Procurement Services	4/21/16	4/21/16	Medical Leave	Paid
Cote, Leslie	Procurement Serv. Sr. Spec. (UCP IX)	Procurement Services	5/2/16	5/2/16	Medical Leave	Paid
Cote, Leslie	Procurement Serv. Sr. Spec. (UCP IX)	Procurement Services	5/17/16	5/17/16	Medical Leave	Paid
Cote, Leslie	Procurement Serv. Sr. Spec. (UCP IX)	Procurement Services	6/1/16	6/1/16	Medical Leave	Paid
Courchesne, Amy	EH&S Manager (UCP X)	Environmental Health & Safety	3/18/16	3/24/16	Medical Leave	Paid
Courchesne, Amy	EH&S Manager (UCP X)	Environmental Health & Safety	3/28/16	4/1/16	Medical Leave	Paid
Courchesne, Amy	EH&S Manager (UCP X)	Environmental Health & Safety	5/17/16	5/17/16	Medical Leave	Paid
Courchesne, Amy	EH&S Manager (UCP X)	Environmental Health & Safety	6/2/16	6/2/16	Medical Leave	Paid
Courchesne, Amy	EH&S Manager (UCP X)	Environmental Health & Safety	6/6/16	6/6/16	Medical Leave	Paid
Darcy, Lindsay	Academic Counselor/Coord. (UCP VII)	Counseling Intercollegiate Athl.	5/10/16	5/24/16	Maternity Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
De Merrell, Fiona	Administrative Manager I (UCP VI)	Waterbury Instr. & Res.	3/28/16	3/28/16	Medical Leave	Paid
De Merrell, Fiona	Administrative Manager I (UCP VI)	Waterbury Instr. & Res.	5/27/16	5/27/16	Medical Leave	Paid
De Merrell, Fiona	Administrative Manager I (UCP VI)	Waterbury Instr. & Res.	5/31/16	5/31/16	Medical Leave	Paid
Desjardins, Karla	Payroll Supervisor (UCP VII)	Payroll Office	3/29/16	3/29/16	Medical Leave	Paid
Desjardins, Karla	Payroll Supervisor (UCP VII)	Payroll Office	4/1/16	4/1/16	Medical Leave	Paid
Desjardins, Karla	Payroll Supervisor (UCP VII)	Payroll Office	4/11/16	4/12/16	Medical Leave	Paid
Desjardins, Karla	Payroll Supervisor (UCP VII)	Payroll Office	4/22/16	4/26/16	Medical Leave	Paid
Desjardins, Karla	Payroll Supervisor (UCP VII)	Payroll Office	5/10/16	5/10/16	Medical Leave	Paid
Desjardins, Karla	Payroll Supervisor (UCP VII)	Payroll Office	5/20/16	5/20/16	Medical Leave	Paid
Desjardins, Karla	Payroll Supervisor (UCP VII)	Payroll Office	5/24/16	5/24/16	Medical Leave	Paid
Desjardins, Karla	Payroll Supervisor (UCP VII)	Payroll Office	6/3/16	6/7/16	Medical Leave	Paid
Dicapua, Ralph	Associate Professor	Art & Art History	11/10/15	4/18/16	Medical Leave	Paid
Dobbins, Penny	Laboratory Supervisor (UCP VI)	Physiology & Neurobiology	5/16/16	5/16/16	Medical Leave	Paid
Dobbins, Penny	Laboratory Supervisor (UCP VI)	Physiology & Neurobiology	5/19/16	5/20/16	Medical Leave	Paid
Dobbins, Penny	Laboratory Supervisor (UCP VI)	Physiology & Neurobiology	6/3/16	6/9/16	Medical Leave	Paid
Donati, Joanne	Admin. Services Assistant III (UCP IV)	Social Work	3/29/16	3/29/16	Medical Leave	Paid
Dondero, Cheryl	Administrative Coordinator (UCP V)	Business Executive Education	5/3/16	5/3/16	Medical Leave	Paid
Drake, Linda	Program Director (UCP VIII)	Nutritional Sciences	4/18/16	4/18/16	Medical Leave	Paid
Drake, Linda	Program Director (UCP VIII)	Nutritional Sciences	5/3/16	5/3/16	Medical Leave	Paid
Drake, Linda	Program Director (UCP VIII)	Nutritional Sciences	5/5/16	5/5/16	Medical Leave	Paid
Drake, Linda	Program Director (UCP VIII)	Nutritional Sciences	5/18/16	5/18/16	Medical Leave	Paid
Drake, Linda	Program Director (UCP VIII)	Nutritional Sciences	6/2/16	6/2/16	Medical Leave	Paid
Dunnack, Catherine	Admin. Services Assistant III (UCP IV)	Avery Point Campus	5/6/16	5/6/16	Medical Leave	Paid
Enderle, Paula	Univ. Educational Assistant 2	Human Resources	6/6/16	6/7/16	Medical Leave	Paid
Engler, Paula	Info. Systems Analyst I (UCP VI)	Sponsored Programs	4/4/16	4/4/16	Medical Leave	Paid
Engler, Paula	Info. Systems Analyst I (UCP VI)	Sponsored Programs	4/13/16	4/13/16	Medical Leave	Paid
Engler, Paula	Info. Systems Analyst I (UCP VI)	Sponsored Programs	5/5/16	5/5/16	Medical Leave	Paid
Engler, Paula	Info. Systems Analyst I (UCP VI)	Sponsored Programs	5/11/16	5/11/16	Medical Leave	Paid
Engler, Paula	Info. Systems Analyst I (UCP VI)	Sponsored Programs	6/3/16	6/3/16	Medical Leave	Paid
Fidantsef, Ana	Program Specialist I (UCP VI)	Research	4/22/16	4/22/16	Medical Leave	Paid
Fidantsef, Ana	Program Specialist I (UCP VI)	Research	4/27/16	4/28/16	Medical Leave	Paid
Fidantsef, Ana	Program Specialist I (UCP VI)	Research	5/2/16	5/5/16	Medical Leave	Paid
Fidantsef, Ana	Program Specialist I (UCP VI)	Research	5/12/16	5/12/16	Medical Leave	Paid
Fidantsef, Ana	Program Specialist I (UCP VI)	Research	5/23/16	5/23/16	Medical Leave	Paid
Fidantsef, Ana	Program Specialist I (UCP VI)	Research	6/2/16	6/9/16	Medical Leave	Paid
Franklin, Michael Jr.	Purchasing Agent II (UCP VIII)	Procurement Services	5/10/16	5/10/16	Medical Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Franklin, Michael Jr.	Purchasing Agent II (UCP VIII)	Procurement Services	5/25/16	5/25/16	Medical Leave	Paid
Fritsch, Thomas	Program Specialist I (UCP VI)	Registrar's Office	3/28/16	3/28/16	Medical Leave	Paid
Fritsch, Thomas	Program Specialist I (UCP VI)	Registrar's Office	5/19/16	5/19/16	Medical Leave	Paid
Galli, Cheryl	Admin. Serv. Asst. IV (UCP VI)	Provost & EXVP Academic Affairs	5/19/16	5/20/16	Medical Leave	Paid
Goorahoo, Celine	Admin. Serv. Specialist II (UCP IV)	Electrical & Computer Engineering	4/29/16	5/3/16	Medical Leave	Paid
Greika, Beth	Business Manager II (UCP VII)	Global Affairs	6/7/16	6/8/16	Medical Leave	Paid
Grunwald, Jennifer	Career Consultant (UCP VI)	Career Development	3/18/16	3/22/16	Maternity Leave	Paid
Grunwald, Jennifer	Career Consultant (UCP VI)	Career Development	3/24/16	3/29/16	Maternity Leave	Paid
Grunwald, Jennifer	Career Consultant (UCP VI)	Career Development	3/31/16	4/7/16	Maternity Leave	Paid
Hamilton, Doug	Professor	Physics	8/23/16	5/22/17	Other - Pre--retire. reduced workload MOA	Partial - 50%
Hebert, Raymond	Resid. Hall Complex Mgr. (UCP VII)	Building Services General Trades	5/12/16	5/12/16	Medical Leave	Paid
Hessing, Bruce	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	5/9/16	5/9/16	Medical Leave	Paid
Hessing, Bruce	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	5/16/16	5/16/16	Medical Leave	Paid
Horvith, Phyllis	Program Assistant I (UCP III)	Research	5/2/16	5/4/16	Medical Leave	Paid
Hughes, Kristy	Admin. Serv. Specialist III (UCP V)	Information Technology Services	4/19/16	4/19/16	Medical Leave	Paid
Hughes, Kristy	Admin. Serv. Specialist III (UCP V)	Information Technology Services	4/21/16	4/21/16	Medical Leave	Paid
Hughes, Kristy	Admin. Serv. Specialist III (UCP V)	Information Technology Services	5/18/16	5/18/16	Medical Leave	Paid
Hughes, Kristy	Admin. Serv. Specialist III (UCP V)	Information Technology Services	5/24/16	5/24/16	Medical Leave	Paid
Jackson, Kathleen	Student Health Serv. Nurse (UCP VI)	Student Health Services	5/16/16	5/27/16	Medical Leave	Paid
Jackson, Kathleen	Student Health Serv. Nurse (UCP VI)	Student Health Services	5/31/16	6/9/16	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	3/18/16	3/18/16	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	3/22/16	3/24/16	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	3/28/16	3/31/16	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	4/4/16	4/14/16	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	4/18/16	5/9/16	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	5/11/16	5/11/16	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	5/13/16	5/27/16	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	5/31/16	6/9/16	Medical Leave	Paid
Judd, David	Env. Health & Safety Tech. (UCP IV)	Environmental Health & Safety	5/12/16	5/27/16	Medical Leave	Paid
Kalonia, Catherine	Publicity/Marketing Mgr. (UCP VII)	Jorgensen Performing Arts	4/6/16	4/6/16	Medical Leave	Paid
Kenny, Eileen	SHS Nurse/Coord. (UCP VII)	Student Health Services	3/21/16	3/21/16	Medical Leave	Paid
Kenny, Eileen	SHS Nurse/Coord. (UCP VII)	Student Health Services	4/15/16	4/18/16	Medical Leave	Paid
Kenny, Eileen	SHS Nurse/Coord. (UCP VII)	Student Health Services	5/5/16	5/6/16	Medical Leave	Paid
Kenny, Eileen	SHS Nurse/Coord. (UCP VII)	Student Health Services	5/27/16	5/27/16	Medical Leave	Paid
Kessler, Priscilla	Fiscal Manager (UCP VII)	Student Health Services	4/20/16	4/22/16	Medical Leave	Paid
Kessler, Priscilla	Fiscal Manager (UCP VII)	Student Health Services	4/29/16	4/29/16	Medical Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Kjellquist, Lorraine	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	3/18/16	3/24/16	Medical Leave	Paid
Kjellquist, Lorraine	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	3/28/16	4/14/16	Medical Leave	Paid
Kjellquist, Lorraine	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	4/17/16	4/21/16	Medical Leave	Paid
Kjellquist, Lorraine	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	4/24/16	4/28/16	Medical Leave	Paid
Kjellquist, Lorraine	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	5/1/16	5/5/16	Medical Leave	Paid
Kjellquist, Lorraine	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	5/8/16	5/8/16	Medical Leave	Paid
Kucko, Sheila	Program Administrator (UCP VI)	Asian American Center	4/20/16	4/20/16	Medical Leave	Paid
Kula, Richard	Env. Health & Saf. Spec. II (UCP VII)	Environmental Health & Safety	5/4/16	5/4/16	Medical Leave	Paid
Kula, Richard	Env. Health & Saf. Spec. II (UCP VII)	Environmental Health & Safety	5/9/16	5/12/16	Medical Leave	Paid
Lane, Sandra	Program Director (UCP VIII)	Hartford Campus	4/28/16	4/28/16	Medical Leave	Paid
Lanka, Laurie	Procure. Svcs. Sr. Spec. (UCP IX)	Procurement Services	3/18/16	3/22/16	Medical Leave	Paid
Lanka, Laurie	Procure. Svcs. Sr. Spec. (UCP IX)	Procurement Services	4/7/16	4/7/16	Medical Leave	Paid
Levesque, John	OSD Cons. & Proj. Mgr. (UCP IX)	Social Work Instr. & Res.	4/1/16	4/1/16	Medical Leave	Paid
Levesque, John	OSD Cons. & Proj. Mgr. (UCP IX)	Social Work Instr. & Res.	4/7/16	4/7/16	Medical Leave	Paid
Levesque, John	OSD Cons. & Proj. Mgr. (UCP IX)	Social Work Instr. & Res.	5/12/16	5/12/16	Medical Leave	Paid
Li, Na	Post Doc Fellow	Center For Clean Energy	11/10/15	12/7/15	Medical Leave	Paid
Li, Na	Post Doc Fellow	Center For Clean Energy	12/8/15	12/13/15	Medical Leave	Unpaid
Li, Na	Post Doc Fellow	Center For Clean Energy	1/26/16	5/1/16	Parental Leave	Unpaid
Li, Na	Post Doc Fellow	Center For Clean Energy	12/14/16	1/25/16	Maternity Leave	Unpaid
Long, James	SA Coordinator-RC (UCP V)	Waterbury Campus	4/18/16	4/18/16	Medical Leave	Paid
Long, James	SA Coordinator-RC (UCP V)	Waterbury Campus	4/21/16	4/21/16	Medical Leave	Paid
Long, James	SA Coordinator-RC (UCP V)	Waterbury Campus	4/25/16	4/25/16	Medical Leave	Paid
Long, James	SA Coordinator-RC (UCP V)	Waterbury Campus	5/16/16	5/16/16	Medical Leave	Paid
Long, James	SA Coordinator-RC (UCP V)	Waterbury Campus	5/25/16	5/25/16	Medical Leave	Paid
Long, James	SA Coordinator-RC (UCP V)	Waterbury Campus	6/1/16	6/9/16	Medical Leave	Paid
Long, Michael	Sr. Computer Prog./Analyst (UCP IX)	Enterprise Administrative Systems	4/14/16	4/28/16	Medical Leave	Paid
Long, Michelle	Fiscal Manager (UCP VII)	University Communications	4/26/16	4/26/16	Medical Leave	Paid
Lowrey-Manning, Jessica	Admin. Serv. Spec. III (UCP V)	Payroll Office	3/21/16	3/21/16	Medical Leave	Paid
Lowrey-Manning, Jessica	Admin. Serv. Spec. III (UCP V)	Payroll Office	4/12/16	4/13/16	Medical Leave	Paid
Lowrey-Manning, Jessica	Admin. Serv. Spec. III (UCP V)	Payroll Office	4/19/16	4/21/16	Medical Leave	Paid
Lowrey-Manning, Jessica	Admin. Serv. Spec. III (UCP V)	Payroll Office	4/25/16	4/25/16	Medical Leave	Paid
Lowrey-Manning, Jessica	Admin. Serv. Spec. III (UCP V)	Payroll Office	5/5/16	5/5/16	Medical Leave	Paid
Lowrey-Manning, Jessica	Admin. Serv. Spec. III (UCP V)	Payroll Office	5/9/16	5/9/16	Medical Leave	Paid
Lowrey-Manning, Jessica	Admin. Serv. Spec. III (UCP V)	Payroll Office	5/18/16	5/18/16	Medical Leave	Paid
Makuch, Tracy	Admin. Serv. Spec. III (UCP V)	Payroll Office	4/7/16	4/7/16	Medical Leave	Paid
Makuch, Tracy	Admin. Serv. Spec. III (UCP V)	Payroll Office	5/23/16	5/24/16	Medical Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Marin, Martha	Research Assistant II	Social Work	5/16/16	5/27/16	Maternity Leave	Paid
Marin, Martha	Research Assistant II	Social Work	5/28/16	9/20/16	Parental Leave	Unpaid
Mathieu, Karen	Program Manager (UCP VII)	Business MBA Program	4/4/16	4/7/16	Medical Leave	Paid
Mathieu, Karen	Program Manager (UCP VII)	Business MBA Program	5/4/16	5/4/16	Medical Leave	Paid
Mathieu, Karen	Program Manager (UCP VII)	Business MBA Program	5/12/16	5/12/15	Medical Leave	Paid
Mathieu, Karen	Program Manager (UCP VII)	Business MBA Program	5/18/16	5/18/16	Medical Leave	Paid
Mathieu, Karen	Program Manager (UCP VII)	Business MBA Program	5/24/16	5/24/16	Medical Leave	Paid
Mathieu, Karen	Program Manager (UCP VII)	Business MBA Program	6/2/16	6/2/16	Medical Leave	Paid
McGuire, Colleen	University Director	Business MBA Program	6/9/16	6/9/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	3/22/16	3/22/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	3/30/16	3/31/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	4/11/16	4/11/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	4/18/16	4/18/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	4/20/16	4/21/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	4/26/16	4/26/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	5/2/16	5/4/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	5/10/16	5/10/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	5/20/16	5/20/16	Medical Leave	Paid
Mejias, Maria	Admin. Serv. Spec. II (UCP IV)	Materials Science Institute	6/1/16	6/1/16	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator (UCP V)	CT. Transportation Institute	4/1/16	4/1/16	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator (UCP V)	CT. Transportation Institute	4/8/16	4/12/16	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator (UCP V)	CT. Transportation Institute	4/15/16	4/19/16	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator (UCP V)	CT. Transportation Institute	4/29/16	5/4/16	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator (UCP V)	CT. Transportation Institute	5/6/16	5/10/16	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator (UCP V)	CT. Transportation Institute	5/13/16	5/13/16	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator (UCP V)	CT. Transportation Institute	6/3/16	6/3/16	Medical Leave	Paid
Metcalfe, Michele	Program Director (UCP VIII)	International Business Programs	5/4/16	5/5/16	Medical Leave	Paid
Metcalfe, Michele	Program Director (UCP VIII)	International Business Programs	5/10/16	5/10/16	Medical Leave	Paid
Metcalfe, Michele	Program Director (UCP VIII)	International Business Programs	5/19/16	5/19/16	Medical Leave	Paid
Metcalfe, Michele	Program Director (UCP VIII)	International Business Programs	5/24/16	5/24/16	Medical Leave	Paid
Milardo, Katie	Environ. Comp. Analyst (UCP VIII)	Environmental Policy	3/18/16	3/24/16	Maternity Leave	Paid
Milardo, Katie	Environ. Comp. Analyst (UCP VIII)	Environmental Policy	3/28/16	4/12/16	Maternity Leave	Paid
Miller, June	Comp. Tech. Support Cons. I (UCP IV)	IT User Services	3/18/16	3/18/16	Medical Leave	Paid
Miller, June	Comp. Tech. Support Cons. I (UCP IV)	IT User Services	3/24/16	3/24/16	Medical Leave	Paid
Miller, June	Comp. Tech. Support Cons. I (UCP IV)	IT User Services	3/28/16	3/28/16	Medical Leave	Paid
Mishra, Sandeep	Sr. Computer Prog./Analyst (UCP IX)	Enterprise Administrative Systems	3/28/16	3/28/16	Medical Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Moussette, Elizabeth	Financial Assistant I (UCP I)	Education	5/19/16	5/19/16	Maternity Leave	Paid
Moussette, Elizabeth	Financial Assistant I (UCP I)	Education	5/23/16	5/26/16	Maternity Leave	Paid
Moussette, Elizabeth	Financial Assistant I (UCP I)	Education	5/30/16	6/2/16	Maternity Leave	Paid
Moussette, Elizabeth	Financial Assistant I (UCP I)	Education	6/6/16	6/8/16	Maternity Leave	Paid
Muirhead, Jessica	Administrative Coordinator (UCP V)	History	5/27/16	5/27/16	Maternity Leave	Paid
Muirhead, Jessica	Administrative Coordinator (UCP V)	History	5/31/16	5/31/16	Maternity Leave	Paid
Munyard, Lauren	Academic Advisor I (UCP V)	Liberal Arts & Sci. Dean's Office	3/30/16	3/31/16	Medical Leave	Paid
Munyard, Lauren	Academic Advisor I (UCP V)	Liberal Arts & Sci. Dean's Office	5/3/16	5/3/16	Medical Leave	Paid
Munyard, Lauren	Academic Advisor I (UCP V)	Liberal Arts & Sci. Dean's Office	5/5/16	5/5/16	Medical Leave	Paid
Munyard, Lauren	Academic Advisor I (UCP V)	Liberal Arts & Sci. Dean's Office	5/9/16	5/9/16	Medical Leave	Paid
Munyard, Lauren	Academic Advisor I (UCP V)	Liberal Arts & Sci. Dean's Office	5/19/16	5/26/16	Medical Leave	Paid
Niderno, Linda	Financial Aid Officer (UCP VI)	Financial Aid Office	5/6/16	5/6/16	Medical Leave	Paid
Nielsen, Jennifer	Financial Asst. II (UCP V)	Waterbury Director's Office	3/30/16	3/30/16	Medical Leave	Paid
Nielsen, Jennifer	Financial Asst. II (UCP V)	Waterbury Director's Office	4/8/16	4/8/16	Medical Leave	Paid
Nielsen, Jennifer	Financial Asst. II (UCP V)	Waterbury Director's Office	4/26/16	4/28/16	Medical Leave	Paid
Noren, Pattie	Admin. Serv. Spec. III (UCP V)	Dining Services	5/27/16	5/27/16	Medical Leave	Paid
Painter, Robert	Microcomp. Sup. Con. I (UCP V)	Student Affairs IT Department	5/26/16	5/26/16	Medical Leave	Paid
Parciak, Rita	Asst. Finance Director II (UCP IX)	Accounts Payable	4/1/16	4/1/16	Medical Leave	Paid
Parciak, Rita	Asst. Finance Director II (UCP IX)	Accounts Payable	4/29/16	4/29/16	Medical Leave	Paid
Parciak, Rita	Asst. Finance Director II (UCP IX)	Accounts Payable	5/4/16	5/4/16	Medical Leave	Paid
Parciak, Rita	Asst. Finance Director II (UCP IX)	Accounts Payable	5/16/16	5/16/16	Medical Leave	Paid
Pei, Xiaolin	Univ. Librarian II (UCP VII)	Library Vice Provost's Office	4/5/16	4/8/16	Medical Leave	Paid
Perko, Diane	Program Administrator (UCP VI)	Engineering	5/4/16	5/4/16	Medical Leave	Paid
Powers, JoLynn	Psychologist (UCP X)	Student Health Services	4/7/16	4/7/16	Medical Leave	Paid
Powers, JoLynn	Psychologist (UCP X)	Student Health Services	4/28/16	4/28/16	Medical Leave	Paid
Ragauskas, Dolores	Program Assistant I (UCP III)	Waterbury Director's Office	4/21/16	4/22/16	Medical Leave	Paid
Ragauskas, Dolores	Program Assistant I (UCP III)	Waterbury Director's Office	4/29/16	4/29/16	Medical Leave	Paid
Rego, Wendy	Business Manager I (UCP VI)	CEN-ASC Information Tech. Serv.	3/18/16	3/24/16	Medical Leave	Paid
Rego, Wendy	Business Manager I (UCP VI)	CEN-ASC Information Tech. Serv.	3/28/16	4/1/16	Medical Leave	Paid
Rick, Freddie	Univ. Library Assistant III (UCP VII)	Library Vice Provost's Office	5/12/16	5/12/16	Medical Leave	Paid
Ricketts, Tashesha	Program Assistant II (UCP IV)	ISS-Academic Program Center	4/6/16	4/11/16	Medical Leave	Paid
Ridder, Meagan	Academic Advisor I (UCP V)	Ratcliffe Hicks School	3/18/16	3/24/16	Maternity Leave	Paid
Ridder, Meagan	Academic Advisor I (UCP V)	Ratcliffe Hicks School	3/28/16	4/29/16	Maternity Leave	Paid
Ridder, Meagan	Academic Advisor I (UCP V)	Ratcliffe Hicks School	5/13/16	5/13/16	Maternity Leave	Paid
Ristau, Aristide	University Assistant Director	Building Services	6/1/16	6/3/16	Medical Leave	Paid
Rodino, Lisa	Registrar - Law (UCP VIII)	Law	4/7/16	4/8/16	Medical Leave	Paid

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Rogers, James	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	4/6/16	4/7/16	Medical Leave	Paid
Rogers, James	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	4/10/16	4/11/16	Medical Leave	Paid
Rouse, Mary	University Educational Assistant 1	General Counsel	5/4/16	5/4/16	Medical Leave	Paid
Rouse, Mary	University Educational Assistant 1	General Counsel	5/11/16	5/11/16	Medical Leave	Paid
Rouse, Mary	University Educational Assistant 1	General Counsel	5/19/16	5/19/16	Medical Leave	Paid
Rouse, Mary	University Educational Assistant 1	General Counsel	6/1/16	6/1/16	Medical Leave	Paid
Rouse, Mary	University Educational Assistant 1	General Counsel	6/7/16	6/8/16	Medical Leave	Paid
Roy, Linda	Admin. Serv. Spec. II (UCP IV)	University Planning	5/31/16	5/31/16	Medical Leave	Paid
Roy, Linda	Admin. Serv. Spec. II (UCP IV)	University Planning	6/6/16	6/6/16	Medical Leave	Paid
Ryan, Heather	Info. Systems Analyst I (UCP VI)	Budget and Planning Office	4/11/16	4/13/16	Medical Leave	Paid
Schlehofer, Maryke	Lab. Technician III (UCP V)	Biology Central Service	4/11/16	4/11/16	Medical Leave	Paid
Schlehofer, Maryke	Lab. Technician III (UCP V)	Biology Central Service	5/3/16	5/3/16	Medical Leave	Paid
Schlehofer, Maryke	Lab. Technician III (UCP V)	Biology Central Service	5/6/16	5/6/16	Medical Leave	Paid
Schlehofer, Maryke	Lab. Technician III (UCP V)	Biology Central Service	5/10/16	5/10/16	Medical Leave	Paid
Schlehofer, Maryke	Lab. Technician III (UCP V)	Biology Central Service	5/24/16	5/24/16	Medical Leave	Paid
Schlehofer, Maryke	Lab. Technician III (UCP V)	Biology Central Service	6/7/16	6/7/16	Medical Leave	Paid
Simons, Doreen	Lecturer	Linguistics	2/4/16	3/21/16	Medical Leave	Paid
Starkey, Nicole	Student Union Program Adv. (UCP IV)	Student Union	6/8/16	6/8/16	Medical Leave	Paid
Sullivan, Mary Kate	Procure. Svcs. Sr. Spec. (UCP IX)	Procurement Services	3/18/16	3/18/16	Medical Leave	Paid
Sullivan, Mary Kate	Procure. Svcs. Sr. Spec. (UCP IX)	Procurement Services	3/23/16	3/23/16	Medical Leave	Paid
Sullivan, Mary Kate	Procure. Svcs. Sr. Spec. (UCP IX)	Procurement Services	4/1/16	4/5/16	Medical Leave	Paid
Sullivan, Mary Kate	Procure. Svcs. Sr. Spec. (UCP IX)	Procurement Services	4/15/16	4/15/16	Medical Leave	Paid
Tash, Susan	Admin. Serv. Spec. II (UCP IV)	Procurement Services	4/15/16	4/15/16	Medical Leave	Paid
Trotter, Ashley	Program Coordinator (UCP V)	Off Campus Student Services	5/18/16	5/23/16	Medical Leave	Paid
Upson, Kathryn	Academic Advisor I (UCP V)	Liberal Arts & Sci. Dean's Office	3/18/16	3/24/16	Medical Leave	Paid
Upson, Kathryn	Academic Advisor I (UCP V)	Liberal Arts & Sci. Dean's Office	3/28/16	5/27/16	Medical Leave	Paid
Upson, Kathryn	Academic Advisor I (UCP V)	Liberal Arts & Sci. Dean's Office	5/31/16	6/3/16	Medical Leave	Paid
Upson, Kathryn	Academic Advisor I (UCP V)	Liberal Arts & Sci. Dean's Office	6/6/16	6/9/16	Medical Leave	Paid
Volkert, William	Network Technician 3 (UCP IX)	IT Network Engineering & Design	4/18/16	5/27/16	Medical Leave	Paid
Volkert, William	Network Technician 3 (UCP IX)	IT Network Engineering & Design	5/31/16	6/9/16	Medical Leave	Paid
Walsh, Cynthia	Student Health Serv. APRN (UCP X)	Student Health Services	4/25/16	4/26/16	Medical Leave	Paid
Webber, Donna	Student Health Serv. APRN (UCP X)	Student Health Services	3/22/16	3/22/16	Medical Leave	Paid
West, Gary	Business Manager I (UCP VI)	Education	6/1/16	6/1/16	Medical Leave	Paid
Wilk, Kimberly	Univ. Library Asst. III (UCP VII)	University Libraries	4/11/16	4/12/16	Medical Leave	Paid
Wilk, Kimberly	Univ. Library Asst. III (UCP VII)	University Libraries	4/18/16	4/18/16	Medical Leave	Paid
Wisensale, Steven	Professor	Human Develop. & Family Studies	2/23/17	8/22/17	Other - Fulbright Scholar. Japan	Partial - 50%

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Yelin, Susanne	Professor	Physics	8/23/16	5/22/17	Other - Research Leave - Fellowship ITAMP	Partial - 50%
Zheng, Kexin	Assistant Professor	Accounting	2/18/16	4/12/16	Maternity Leave	Paid

COMMITTEE AGENDAS

AGENDA

Meeting of the BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE

June 15, 2016, 10:30 a.m.
University of Connecticut Health Center
Human Resources Conference Room
16 Munson Road, Farmington, CT

ACTION ITEMS:

ATTACHMENTS

- | | |
|---|---|
| 1) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of April 15, 2016, as circulated | 1 |
| 2) Sustainable Design & Construction Policy | 2 |
| ▪ Presenter: Rich Miller, Director of Environmental Policy | |

PRESENTATION:

- | | |
|--|---|
| 3) Overview of UConn Health Facilities Management and Operations | 3 |
| ▪ Presenter: Cliff Ashton, Associate Vice President, Facilities Management and Operations (UConn Health) | |

DISCUSSION ITEMS:

- | | |
|---|----|
| 4) June 2016 Quarterly Report on Construction Performance
Reported by the Office of Construction Assurance | 4 |
| 5) Updates on Operational and Organizational Activities, and Improvements | |
| ▪ Planning, Architecture & Engineering Services (PAES) | |
| ▪ Capital Project and Contract Administration (CPCA) | 5 |
| 6) Status of Code Correction Projects | |
| ▪ Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report | 6 |
| ▪ Quarterly Construction Status Report, Period Ending March 31, 2016
http://paes.uconn.edu/UCONN_Quarterly_Construction_Status_Report_03312016.pdf | |
| 7) Project Updates: | |
| ▪ UConn Health – BioScience Update | 7 |
| ➤ BioScience Connecticut Monthly Capital Projects Report | 8 |
| ❖ Presenter: Tom Trutter, Associate Vice President for Campus Planning, Design and Construction (UConn Health) | |
| ➤ UConn Health – Capital Projects Update | 9 |
| ❖ Presenter: George Karsanow, Director, Construction Services (UConn Health) | |
| ▪ Storrs Based Programs | 10 |
| ❖ Presenter: Laura Cruickshank, University Master Planner and Chief Architect | |

The next meeting of the BGE Committee is September 16, 2016 @ Rome Ballroom, Storrs

INFORMATION ITEM:

- 8) Fiscal Year 2017 Deferred Maintenance/Code/ADA Renovation
Lump Sum Project List

11

EXECUTIVE SESSION (*As Needed*)

Revised

AGENDA

Special Meeting of the
FINANCIAL AFFAIRS COMMITTEE
May 25, 2016, 9:00 a.m.
University of Connecticut Law School
Janet M. Blumberg Hall within Hosmer Hall
Hartford, Connecticut

- 1) Approval of the Minutes of the Financial Affairs Committee Meeting in Executive Session of June 24, 2015, as circulated

PRESENTATION ITEMS:

- 2) FY17 Draft Budget Presentation for the University of Connecticut, Storrs and Regional Campuses
- 3) FY17 Draft Budget Presentation for UConn Health

EXECUTIVE SESSION *(As Needed)*

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

Special Meeting

University of Connecticut
Lakeside Building, Room 101
Storrs, Connecticut

Tuesday, June 7, 2016
3:00 p.m.

1. Acceptance of the Minutes from April 12, 2016Ms. Gándara
Attachment 1

2. Proposed Statements of Work for the UConn Foundation
and the UConn Law School Foundation for fiscal year 2017Mr. Nevins
Attachment 2

Executive Session as needed

University of Connecticut & UConn Health Joint Audit & Compliance Committee Meeting

May 17, 2016

10:00 am – 10:45 am - Executive Session

10:45 am – 12:00 pm - Public Session

AGENDA

Topic	Proposed Action	Tab
Executive Session to discuss: <ul style="list-style-type: none"> C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public's interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)] C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Review	None
Opportunity for Public Comments		None
Minutes of the February 3, 2016 JACC Meeting	Approval	1
Storrs & UConn Health Significant Compliance Activities <ul style="list-style-type: none"> Annual Compliance Reports - Storrs / UConn Health Three-Year Work Plan Compliance Counsel Minors Protection Update ICD-10 Drug Free Schools – UConn Health 	Update	2
Storrs & UConn Health Significant Audit Activities <ul style="list-style-type: none"> Status of Audit Assignments Audit Follow-up Activity Hiring Update 	Update	3
Charter Review <ul style="list-style-type: none"> Executive Risk Management Compliance Committee – Storrs 	Update	4
External Engagements <ul style="list-style-type: none"> RSM - UConn 2000 Construction Projects' Expenditures Annual Audit and Agreed Upon Procedures FY15 	Presentation	5
Auditor of Public Accounts <ul style="list-style-type: none"> State-wide Single Audit Report for the Fiscal Year Ended June 30, 2015 <ul style="list-style-type: none"> UConn Federally-Sponsored Research and Development Programs – p.274 UConn Health Federally-Sponsored Research and Development Programs – p. 276 UConn Federal Student Financial Assistance Awards – p. 285-312 https://www.cga.ct.gov/apa/reports/statewide/STATEWIDE_20160330_FY2015.pdf	Presentation	6
Informational/Educational Items <ul style="list-style-type: none"> Compliance Newsletters – Storrs & UConn Health Current Issues in Compliance Newsletters – Storrs & UConn Health 	Information Only	7
Conclusion of Full Meeting		
Information Session with OACE and External Auditors		

*The next meeting of the JACC will be held on Wednesday, September 21, 2016 at 10:00 am
Rome Commons Ballroom, Storrs*

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Friday, June 24, 2016
University of Connecticut
President's Office Conference Room
Albert Gurdon Gulley Hall
Storrs, Connecticut
10:00 a.m.

	<u>COMMITTEE</u>	<u>ATTACHMENT</u>
1) Minutes of the Academic Affairs Committee Meeting of April 27, 2016, as circulated	A	

ACTION ITEMS:

2) Promotion and Tenure	3
3) Tenure Relocation	4
4) Sabbatical and Educational Leave Recommendations	5
5) Appointment of Professor Brenda Brueggemann to the Aetna Chair of Writing in the College of Liberal Arts and Sciences	6
6) Appointment of Professor Stephen L. Ross to the Philip E. Austin Endowed Chair in the College of Liberal Arts and Sciences	7
7) Appointment of Professor Manisha Sinha to the James L. and Shirley A. Draper Chair in American History in the College of Liberal Arts and Sciences	8
8) Certificate in the Social Determinants of Health and Disparities	9

INFORMATIONAL ITEM:

9) Centers and Institutes	10
---------------------------	----

DISCUSSION ITEM:

10) Honorary Degrees

EXECUTIVE SESSION *(As Needed)*

ATTACHMENT A

DRAFT MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
April 27, 2016

Committee Trustees: Carbray, Dennis-LaVigne, Jelliffe, McHugh

Additional Trustees: Bessette, Cantor, Gandara, Kruger, Nayden, Rifkin, Ritter

University Senate: Beck, Jockusch, Nunnally

Staff: Agwunobi, Andrews, Carone, Chiaputti, Choi, Cruickshank, Cusson, Donahue, Eaton, Fazio, Fisher, Fuerst, Geoghegan, Gilbert, Hancock, Heller, Herbst, Holsinger, Jednak, Jordan, Kazerounian, Kendig, Kirk, Larson, Locke, Locust, Lowe, Lucas, Mundrane, Nevins, Orr, Reis, Reitz, Rubin, Seemann, Silbart, Sitkowski, Slowik, Spencer, Strassfeld, Teitelbaum, Trutter, Weiner, Wetstone, Wilder

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:05 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus.

On a motion by Trustee Carbray, seconded by Trustee Jelliffe, the minutes of the March 30, 2016, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Promotion and Tenure***. Moved by Trustee Carbray, seconded by Committee Chairwoman Dennis-LaVigne, the Committee recommended approval of all promotion and tenure cases to the full Board. Trustee Jelliffe abstained.

Provost Choi introduced ***Action Item #3, Sabbatical Leave Recommendations***. Moved by Trustee Jelliffe, seconded by Trustee Carbray, the Committee recommended approval of all sabbatical leaves to the full Board.

Provost Choi introduced ***Action Item #4, Reappointment of Professor Jennifer Sterling-Folker to the Alan R. Bennett Honors Professorship in Political Science in the College of Liberal Arts and Sciences***. Moved by Trustee Carbray, seconded by Trustee Jelliffe, the Committee recommended reappointment to the full Board.

Provost Choi introduced ***Action Item #5, Graduate Certificate in Special Education Transition to Adulthood***. Moved by Trustee Carbray, seconded by Trustee Jelliffe, the Committee recommended approval of the Certificate to the full Board.

Provost Choi introduced ***Action Item #6, US Legal Certificates for Non-Degree Students***. Moved by Trustee Jelliffe, seconded by Trustee Carbray, the Committee recommended approval to the full Board.

Provost Choi introduced ***Informational Item #7, Tenure-track Reappointments***.

Provost Choi informed the Committee of recent faculty fellowships and honors.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:15 a.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

REVISED AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
June 29, 2016 at 9:00 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

ATTACHMENT

PRESENTATION ITEM:

- 1) Budget Presentation

ACTION ITEMS:

- | | |
|---|------------------|
| 2) Approval of the Minutes of the Financial Affairs Committee Meetings of April 27, 2016 and May 25, 2016, as circulated | A |
| 3) Contracts and Agreements for Approval | 1 |
| 4) Sustainable Design & Construction Policy | 2 |
| 5) Sale of the West Hartford Campus | 12 |
| 6) Proposed Statements of Work for the UConn Foundation and the UConn Law School Foundation for Fiscal Year 2017 | 13 |
| 7) Recommendation for Extension of Collective Bargaining Agreement with the University of Connecticut Chapter of the American Association of University Professors (AAUP) | (Separate Cover) |
| 8) Recommendation for Extension of Collective Bargaining Agreement with the University of Connecticut Professional Employees Union (UCPEA) | (Separate Cover) |
| 9) Operating Budget for Fiscal Year 2017 for the University of Connecticut, Storrs & Regional Campuses | 14 |
| 10) State Appropriation Request for the Biennium Fiscal Years 2018 and 2019 for the University of Connecticut, Storrs & Regional Campuses | 15 |
| 11) Spending Plan for Fiscal Year 2017 for UConn Health | 16 |
| 12) State Appropriation Current Services Request for the Biennium Fiscal Years 2018 and 2019 for UConn Health | 17 |
| 13) Fiscal Year 2017 Capital Budget | 18 |

ATTACHMENT

14) Fiscal Year 2017 Deferred Maintenance/Code Compliance/ADA Compliance/ Infrastructure Improvements & Renovation Lump Sum Projects	19
15) Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)	20
16) Revised Allocation of Bond Authorizations as set forth in the Twenty-First Supplemental Indenture (University of Connecticut General Obligation Bonds)	21
17) Twenty-Second Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds	22

PROJECT BUDGETS FOR APPROVAL:

<i>STORRS BASED PROGRAMS</i>	<i><u>Phase</u></i>	<i><u>Budget</u></i>	<i><u>Tab</u></i>
18) Central Campus Infrastructure Upgrades	Final	\$15,000,000	23
19) Residential Life Facilities Northwood Roof and Envelope Repair	Revised Final	\$518,000	24
20) Student Union Steam and Condensate Service Replacement Repairs	Revised Final	\$1,250,000	25
21) UCONN 2000 Code Remediation – Mansfield Apartments	Revised Final Budget	\$3,600,000	26
22) Residential Life Facilities – West Campus Shower Renovations	Revised Final	\$2,360,000	27
23) Academic and Research Facilities – Main Accumulation Area for Regulated Waste	Revised Final	\$5,500,000	28
24) South Campus Commons Landscape and Pedestrian Improvements Plan	Design	\$3,500,000	29
25) Fine Arts Phase II: Music & Dramatic Arts Library Renovations Phases I and II	Design	\$2,100,000	30
26) Academic and Research Facilities – STEM Research Center Science 1	Revised Planning	\$5,000,000	31
<i>UCONN HEALTH</i>			
27) UCH – H Building Sealant Replacement	Final	\$850,000	32
28) UCH – New Boiler and Deaerator Tank Repairs	Revised Final	\$1,860,000	33

INFORMATION ITEM:

29) Project Budget Map	B
30) Contracts and Agreements for Information	C

31) Construction Project Status Report

(Under Separate Cover)

EXECUTIVE SESSION (*As Needed*)

ATTACHMENT A

MINUTES
SPECIAL MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
May 25, 2016

TRUSTEES PRESENT: Andy Bessette (*via telephone*), Chuck Bunnell, Shari Cantor, Richard Carbray, Thomas Kruger, Larry McHugh, Denis Nayden (*via telephone*), and Thomas Ritter

STAFF PRESENT: Andy Agwunobi, John Biancamano, Alan Calandro, Jeremy Campbell, Debbie Carone, Mun Choi, Lisa Danville, Michael Eagen, Gail Garber, Jeffrey Geoghegan, Susan Herbst, Scott Jordan, Andrea Keilty, Michael Kirk, Joann Lombardo, Wayne Locust, Stephanie Reitz, Rachel Rubin, Leslie Salafia, Katrina Spencer, Thomas Trutter, Kelly Wihbey, Michelle Williams and Reka Wrynn

Committee Chairman Thomas Kruger convened the special meeting of the Financial Affairs Committee at 9:00 a.m. in the Janet M. Blumberg Hall on the University of Connecticut Law School campus in Hartford, Connecticut. On a motion by Trustee Cantor and seconded by Trustee Carbray the committee accepted a revised agenda to add the *Minutes of the Financial Affairs Committee meeting in Executive Session of June 24, 2015*. These are the minutes created after the fact at the request of the Freedom of Information Committee. On a motion by Trustee Cantor and seconded by Trustee Carbray the *Minutes of the Financial Affairs Committee meeting in Executive Session of June 24, 2015*, were approved as circulated.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, presented agenda ***Item #2, FY17 Draft Budget Presentation for the University of Connecticut, Storrs and Regional Campuses***. Mr. Jordan directed the committee to a PowerPoint presentation detailing the FY17 operating budget proposal for Storrs based programs. Key to the budget is to protect academic excellence; provide strong student support; and to support the research mission of the University while keeping the commitment to accessibility through increased student financial aid in the face of fiscal constraints. UConn's FY17 budget is a balanced budget through careful management of expenses, operational efficiency gains, tuition increases and enhanced external revenues to mitigate against cuts in State funding. Despite \$17.2 million of State cuts the Storrs based budget will end FY16 with a small net gain of \$1.1 million. The FY17 budget gap will be managed through a number of adjustments including lower fringe costs than previously budgeted; undergraduate and graduate tuition increases; no union/staff increases; a strategic 3% cut from University units, as well as strategic cuts to almost every unit. Total revenue in FY17 is anticipated to increase \$24 million or 1.8% over FY16.

UConn was able to balance the FY17 budget despite a reduction of \$29.2 million from the original FY17 adopted budget. This was achieved through strategic expenditure reductions, judicious hiring, careful spending and additional tuition revenue. Due to Governor and legislative authority to make mid-year reductions, UConn is at risk for up to a maximum of \$30.4 million in additional cuts in FY17. UConn has sustained \$75.3 million in state rescissions, lost fringe and fund

sweeps since 2010. UConn tuition, fees, other revenues, expense reductions and operational efficiencies must make up for cuts to protect academic excellence and provide strong student support.

Given the State's fiscal challenges, NextGenCT operating funds were significantly less than the original plan. This reduction in funding has impacted the University's ability to hire new faculty. In FY17, freshmen enrollment will hold steady enrolling 3,800 new Storrs freshmen. NextGenCT capital funding is more critical than ever to ensure faculty have labs/equipment needed to compete for grants; STEM students and faculty have great facilities to support research and education; and STEM scholarships and financial aid are funded. Due to mid-year rescissions in FY15 and FY16, UConn has utilized one-time funds to fulfill the financial commitments of the NextGenCT Initiative in those years. Since FY13, total undergraduate enrollment has increased by 1,106 students or 5%, and is above the NextGenCT planned increase, despite NextGenCT operating fund shortages.

Gross tuition revenue is projected to grow 5.6% or \$19.7 million over FY16. Undergraduate tuition revenue is expected to grow by \$17.0 million driven by both rate increases and enrollment factors. 17.5% of tuition is devoted to need based financial aid; well above the required 15%. Graduate tuition revenue is projected to grow by almost \$3.0 million in FY17, reflecting the tuition rate increase. Mandatory fees will not increase in FY17. Overall fee revenue will increase by \$1.0 million, driven by enrollment growth within fee based programs. Grants and contract revenues have grown \$13.7 million or 21% since FY13. Foundation and endowment revenue is expected to remain steady in FY17 at \$20.0 million. FY17 Sales and service of educational activities is budgeted at \$19.8 million. These are revenues from the sales of goods or services that are incidental to the conduct of instruction, research or public service and include areas such as the UConn Dairy Bar ice cream sales, Global Affairs incidental fees to travel abroad programs as well as the UConn Blooms Plant and Flowers Shop within the College of Agriculture. Another source of revenue is the auxiliary enterprise revenue, of which housing and dining rates make up the largest component at approximately \$90.9 million. These rates will not increase in FY17. Other Revenues consist of a wide variety of sources and are budgeted at \$18.3 million.

Mr. Jordan summarized that total revenues are up 1.8% over FY16. Tuition, course fees, and other smaller revenue sources have partially offset the cuts in State appropriation funding. Mandatory fees, as well as room and board rates, were not increased from the 2015-16 academic year. The University will continue to look for additional revenue, other than tuition and fees, to offset reductions in State support and to fund strategic initiatives. University expenses are made up largely of personal services and fringes at more than 57% of the total expenditure budget. Despite increased enrollment, overall employee count dropped by 32 FTEs from FY15 to FY16 due to necessary departmental rescissions. Fringe benefit costs have grown 58.6% since FY13. Financial aid is growing over FY16 by \$8.3 million or 5.4% due to additional University funds to support our needy and meritorious students. The FY17 operating expenses are budgeted to increase \$50.3 million over FY16. There are risks to the budget, the biggest of which is State support and the costs the State charges for state employee fringe benefits; and collective bargaining has a strong risk to impact the budget.

Mr. Jordan informed the Trustees of a recent development where the salary threshold was raised under the Fair Labor Standards Act by which an employee would be eligible for overtime. This will impact the University's research enterprise as there are a number of post-doctoral researchers that are just below the threshold. The University has until the end of the year to determine how to proceed.

Trustee Ritter requested a list, provided prior to the Budget Workshop, of all things that UConn does that if UConn (all campuses and UConn Health) did not do them the Town they are located in would have to do them; also provide a dollar amount for these things towns would have to provide; provide a list of things UConn does for towns that are not required; provide a list for last 10 years of all the Pilot Payments using the Mohegan Sun formula that the towns have received; and provide an analysis of what towns spend to support the University versus what Stamford, Middletown and Hartford spend to support their tax exempt properties. Mr. Jordan agreed to provide the data.

Jeffrey Geoghegan, Chief Financial Officer for UConn Health, provided a PowerPoint presentation on the UConn Health FY17 operating budget. He explained that the Health Center faces many of the same budgetary challenges, including collective bargaining and fringe benefits, that the Storrs based programs do. UConn Health is a complex, centralized institution supporting the integrated tripartite mission of research, education and patient care working with a \$1.08 billion operating budget.

The approved FY16 budget held a \$15.9 million loss, with a forecast of \$3.1 million improvement resulting in a \$12.8M loss. Patient revenue is projected to be on budget; John Dempsey Hospital (JDH) is over budget by \$4.2million; the Dental Clinics are over budget by \$1.2 million; UConn Medical Group (UMG) is under budget by \$6.1 million; position/hiring management oversight saved \$2.8 million in salary and fringes; and late opening of the University Tower resulting in a savings in facility costs. UConn Health will end FY16 ahead of budget by \$3.1 million. Total revenue is expected to increase \$21 million, or 2.1 % over FY16.

UConn Health was able to balance the FY17 budget despite a reduction of \$16.8 million from the FY17 adopted budget (equates to a 7.2% drop in appropriation). This was accomplished by reviewing all positions prior to hiring, careful spending, additional patient revenue and a reduction in capital spending. There are a limited number of strategic workforce reductions, departmental consolidations and other cost savings moves anticipated in FY17. In addition, there is a plan to expand revenues through extended hours in specific revenue producing departments.

Due to the Governor and legislative authority over mid-year rescissions/lapses, UConn Health is at risk for up to \$17.4 million in additional reductions in FY17. UConn Health has sustained \$58.8 million in state appropriations rescissions, lost fringe and fund sweeps since FY10. Net Patient Revenue is projected to grow 5.4%, or \$24 million over FY16.

Mr. Geoghegan summarized UConn Health FY17 revenues including: tuition and fees increase of \$1.4 million for the School of Medicine (SOM) and School of Dental Medicine (SODM) (assumes approved rate increase); Federal and nonfederal grants revenue 4.5% increase (offset by expense increase); interns and residents revenue will decrease by 2.5% due to a one time additional revenue in FY16; net patient revenue has an overall increase of \$24.5 million – UMG \$1.2 million or 1.4% and John Dempsey Hospital \$23.3 million or 6.8%; Correctional Managed Health Care decrease of 1.7% (offset by expense decrease); gift and endowment income decrease of \$1.3 million due to an decrease in Foundation dollars for capital projects; and other income increase of 4.4% due to full year of 340b contracts. Operating expenses are budgeted to increase \$24.8 million, or 2.5% over FY16.

Personnel costs, including salaries and fringe benefits, account for over 59.1% of UConn Health's total operating budget. The FY17 operating budget risks for UConn Health include: mid-year appropriation lapses, rescissions, lost fringe, and fund sweeps; unsettled contracts with all unions; Workers' Compensation expenses; payor mix and volume; provider based reimbursement; Medicaid reimbursement; New University Tower—minimal historical data; retirement rate not final –to be received third week of June 2016; and the Hybrid Plan employee conversion – SAG award – IRS determination letter. President Herbst noted that a new marketing plan for the Health Center is anticipated to bring more patients to the center. In addition, there is a wayfinding project underway as patients have noted that they do not go to the Health Center because it is perceived to be confusing and they do not know where to go or where to park. These issues are being addressed and as the campus is transformed it is expected that patient numbers will increase.

Mr. Jordan presented the Capital Budget Program for both the Storrs based programs and UConn Health. He stated that thanks to the strong support from the State via UCONN 2000 – NextGenCT and Bioscience CT, UConn continues the transformation of modernizing, rehabilitating and expanding the physical plant of the University. In an effort to manage all University fund sources in a more strategic manner, an all funds capital budget was presented. The first three major projects of the NextGenCT capital program will be completed this summer to include the Next Generation Connecticut Hall – a 725 bed, \$105 million, 212,000 sq. ft. facility; Monteith building renovation – a \$25 million, 73,000 sq. ft. new home for the Math Department; and Putnam Refectory renovation – a \$23 million, 42,000 sq. ft. dining hall slated to service the new Next Generation Connecticut Residence Hall. Capital program risks for FY17: the State has deferred \$26 million of funding to FY18, reducing the FY17 amount from \$266.4 million to \$240.4 million; the program depends upon the economic health of the State and additional deferrals are a possibility in the future; delaying a project today could equal higher costs in the future; starting/stopping projects would affect UConn's reputation and bid responses/competitive pricing; Conn bridging funding for Capital Projects; UConn funding was used to pay ~\$70 million of construction bills, which was reimbursed with the April 2016 bond issuance; projecting use of ~\$125 million of UConn funds prior to spring 2017 bond issuance; many needs have been identified which are not fully funded or included in the current capital plan (i.e. deferred maintenance, athletic facilities), funding strategies will need to be identified; and of specific note, capital funding for UCH ends in FY18.

Trustee Kruger thanked Scott Jordan and Katrina Spencer for all of their hard work to assemble a balanced budget for the Committee's review and providing is a better understanding of what went into developing and achieving a balanced budget. He thanked Dr. Agwunobi and Jeff Geoghegan for their constant efforts to cut costs and enhance revenues at UConn Health.

Chairman McHugh congratulated President Herbst, Dr. Agwunobi, Jeff Geoghegan, and Scott Jordan, whom he stated had been working on the budget for the last year and have been very proactive. While other agencies within the state are unable to reach a balanced budget the University is going into the next fiscal year with a balanced budget. He also thanked Committee Chairman Kruger for his leadership and proactive actions with the budget.

On a motion by Trustee Cantor and seconded by Trustee Carbray the committee voted to go into Executive Session to discuss records, reports and statements of strategy or negotiations with respect to collective bargaining. Trustee Kruger asked the following individuals to join the Trustees for the session: President Herbst, Rachel Rubin, Mun Choi, Scott Jordan, Andy Agwunobi, Mike Kirk, Mike Eagen, Katrina Spencer, Jeff Geoghegan and Debbie Carone.

On a motion by Trustee Cantor and seconded by Trustee Carbray the Executive Session ended and there being no additional agenda items the meeting was adjourned at 11:20 a.m.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
April 27, 2016

TRUSTEES PRESENT: Andy Bessette, Shari Cantor, Richard Carbray, Sanford Cloud, Jeremy Jelliffe, Thomas Kruger, Andrea Dennis-LaVigne, Larry McHugh, Denis Nayden (*via telephone*), David Rifkin and Thomas Ritter

STAFF PRESENT: Andy Agwunobi, Carolle Andrews, David Benedict, Mun Choi, Laura Cruickshank, Amy Donahue, David Galloway, Jeffrey Geoghegan, Michael Gilbert, Susan Herbst, Scott Jordan, Tysen Kendig, Michael Kirk, Matt Larson, Wayne Locust, Michael Mundrane, Richard Orr, Sally Reis, Rachel Rubin, Jeffrey Seeman, Katrina Spencer, Tom Trutter and Dan Weiner

UNIVERSITY SENATE MEMBERS PRESENT:
Cheryl Beck, Amy Howell, Elizabeth Jockusch, and Shayla Nunnally

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:15 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. On a motion by Trustee Bessette and seconded by Trustee Cantor the committee accepted a revised agenda to note the title change of the Bookstore Resolution to “*Proposed Transition of Bookstore Management*”; to add a resolution regarding the “*Sale of West Hartford Campus*”; to remove of the “*Gampel Pavilion Dome Ceiling and Roof Repair*” Project Budget; and to add an information item “*Bond Sale Update*”.

On a motion by Trustee Cantor and seconded by Trustee Bessette the minutes of the meeting of March 30, 2016 were approved as circulated.

Matthew Larson, Director of Procurement, presented highlights of agenda ***Item #2, Contracts and Agreements for Approval***. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Trustee Kruger directed the Committee to agenda ***Item #3, Proposed Transition of Bookstore Management***. He stated that he would like the item deferred until the full Board as there is a great deal of interest in the item.

Scott Jordan, Vice President for Administration and Chief Financial Officer, presented ***agenda Item #4, Proposal to Stabilize Natural Gas Transportation Costs and Reduce Oil Consumption at the University of Connecticut, Storrs, CT***. Trustee Kruger added that both he and Trustee Nayden had been briefed on the proposal. Mr. Nayden affirmed his agreement with the resolution. On a motion by Trustee Cantor and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Mr. Jordan detailed agenda ***Item #5, Fiscal Year 2017 Program and Course Fees for the University of Connecticut, Storrs and Regional Campuses.*** He stated that there are no increases in required fees for the next fiscal year only changes to fees on the listed specific programs and courses. Provost Mun Choi explained that the proposed fee increases were for specific programs for course materials, etc., and he noted that there were also some fee reductions. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Richard Orr, Vice President and General Counsel, introduced agenda ***Item #6, Sale of the West Hartford Campus.*** The West Hartford Campus will be sold to either the Weiming Educational Group or the Town of West Hartford. The Town of West Hartford has the right to match Weiming's offer; that right expires May 14. The resolution requests that the Board approve the sale to the Town of West Hartford on the same terms as Weiming if they choose to exercise that right; if the Town does not exercise that right, the Board authorizes the University to sell to Weiming on the terms set forth in the agreement; and if the Town comes back with a different proposal the University has the authority to negotiate with them. This resolution will be final approval for the sale to Weiming according to the terms in the memorandum. A letter of intent has been signed with Weiming; and exchanged drafts of a purchase and sale agreement following the March Board meeting. If there is a need for a sale to the Town of West Hartford the Board will need to approve that sale at a subsequent meeting. On a motion by Trustee Bessette and seconded by Trustee Carbray, the item was recommended to the full Board for approval. Trustee Cantor abstained from the vote.

Laura Cruickshank, University Master Planner and Chief Architect, introduced Michael Jednak, Associate Vice President for Facilities Operations and Building Services. Mr. Jednak detailed agenda ***Item #7, North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase II (Revised Final: \$5,500,000).*** Ms. Cruickshank presented information on project management as well as the remaining project budgets for Storrs based programs for approval agenda ***Items 8, 9, 11,*** which included: ***Sewer Line Replacement at Storrs Road Pump Station (Revised Final: \$5,250,000); Young Envelope Renovation/Addition – Envelope Repairs (Revised Final: \$5,600,000; and Jorgensen Renovation HVAC Renewal (Revised Final: \$1,850,000).***

Agenda Item #10, Gampel Pavilion Dome Ceiling and Roof Repair (Final: \$10,000,000) was requested to be removed from the agenda subject to all Board members being in agreement. Trustee Kruger asked Ms. Cruickshank about safety issues related to the deferral of the Gampel Pavilion roof project. Ms. Cruickshank explained that the University had been requested to defer some of its projects due to the difficulties the state is experiencing with its budget. The optimal projects for deferral were those that were either in design or before the start of construction. The Gampel roof project fell within that category for deferral. She then read an excerpt of a letter regarding safety concerns related to deferring the project. The letter, dated April 25, 2016, from Simpson Humpertz and Hagar, regarding the project stated: "It is our professional opinion that the safety of occupants and workers at the Gampel Pavilion will not be affected if the above-named project is deferred until 2017." Copies of the letter were distributed to the Trustees. Trustee Ritter confirmed that BGE Committee had also had safety concerns with deferring the project but that the committee were now in agreement with the project deferral for

one year. The project budgets were recommended to the full Board for approval on a motion by Trustee Bessette and seconded by Trustee Cantor.

Mr. Jordan provided an update on the University's recent bond sale. A handout was provided in the supplemental documents.

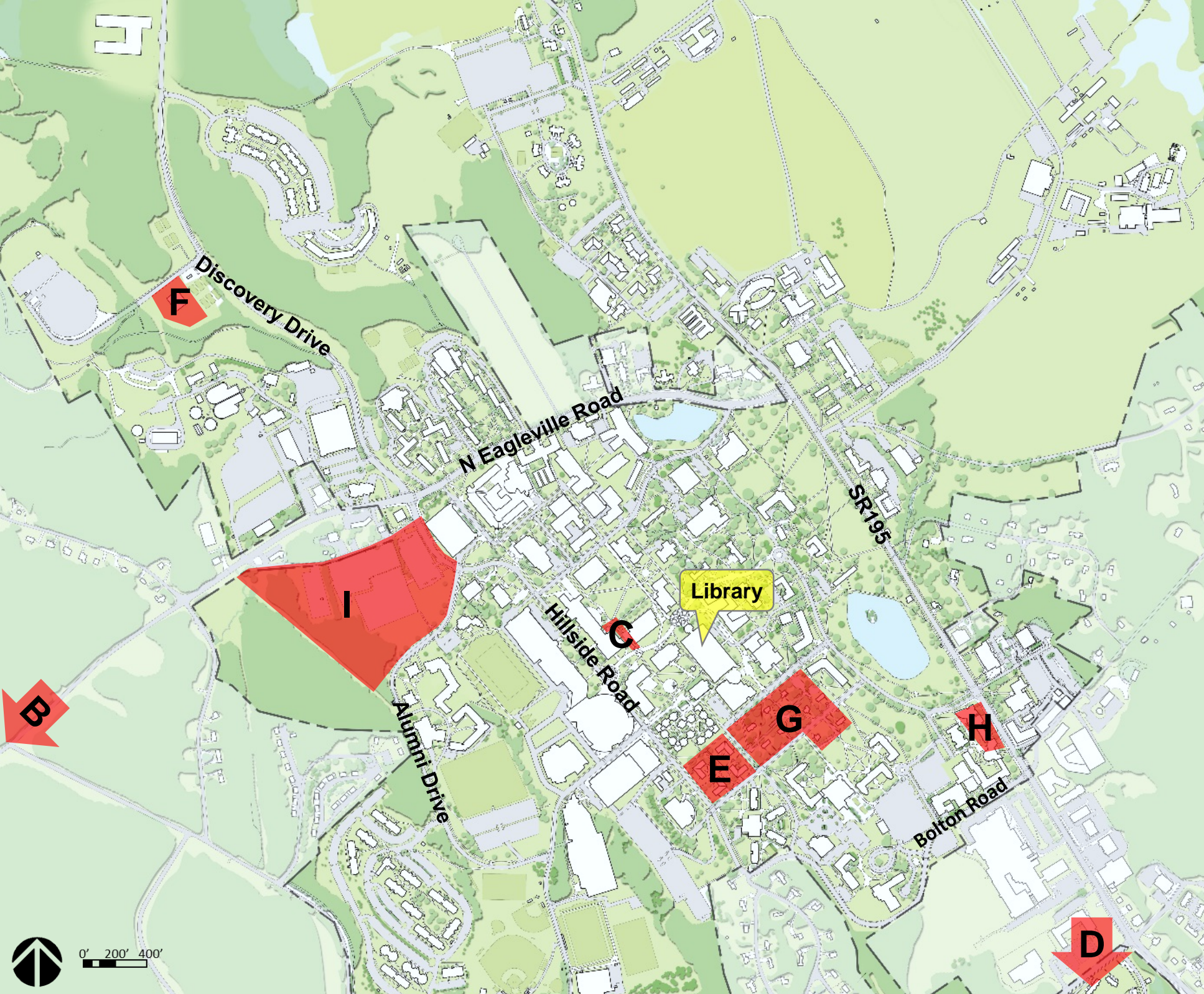
Trustee Kruger directed the committee to the information items for their review. There being no additional agenda items the meeting was adjourned at 9:52 a.m. on a motion by Trustee Bessette and seconded by Trustee Cantor.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B



LEGEND

Final / Revised Final

- A*** Central Campus Infrastructure Upgrades
- B** Residential Life Facilities Northwood Roof and Envelope Repair
- C** Student Union Steam and Condensate Service Replacement Repairs
- D** UConn 2000 Code Remediation – Mansfield Apartments
- E** Residential Life Facilities West Campus Shower Renovations
- F** Academic and Research Facilities – Main Accumulation Area for Regulated Wastes

Design / Revised Design

- G** South Campus Commons Landscape and Pedestrian Improvements Plan
- H** Fine Arts Phase II – Music & Dramatic Arts Library Renovations Phases I & II

Planning / Revised Planning

- I** Academic and Research Facilities – STEM Research Center Science 1

*** NOTE:** Campus-Wide or Regional Campus project. Location not shown on map.

CONTRACTS AND AGREEMENTS
FOR INFORMATION
June 29, 2016

LEASES FOR INFORMATION						
UNIVERSITY AS LANDLORD: <i>Technology Incubator Program Leases authorized pursuant to "Delegation of Authority to Approve Leases for the Technology Incubation Program" dated June 28, 2012</i>						
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Diameter Health, Inc.	\$20,614	04/01/16-06/30/18	Operating Fund - General	Jeff Seeman, Vice President for Research	Lease of space located on the grounds of the University of Connecticut Health Center Campus in Farmington, CT. This Lease replaces and supercedes the terms of an existing lease agreement. During the Term the University will provide approximately 937 s.f. of space consisting of rooms R2832, R2834, R2836, R2841 and R2862 in the Cell and Genome Sciences Building. The total payment for the term is \$46,225.30.