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2016 March 30 -- Agenda and Attachments

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UConn

UNIVERSITY OF CONNECTICUT

Board of



TRUSTEES

VOL. 161 MARCH 30, 2016

**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Lewis B. Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

March 30, 2016

BOARD OF TRUSTEES SCHEDULE

| | |
|------------|-------------------------------------|
| 9:00 a.m. | Academic Affairs Committee Meeting |
| 9:30 a.m. | Financial Affairs Committee Meeting |
| 10:15 a.m. | Board of Trustees Meeting |

BOARD MEETING AGENDA

Call to order at **10:15 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Minutes of the meeting of February 24, 2016
 - (c) Consent Agenda Items:
 - (1) Recommendation for Designation as Board of Trustees Distinguished Professors, Academic Year 2015 – 2016 (Attachment 1)
 - (2) Contracts and Agreements for the Storrs-based Programs (Attachment 2)
3. President's Report
4. Academic Affairs Committee Report
 - (a) Report on Committee activities
5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:

Bond Allocations:

 - (1) Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 3)
 - (2) Revised Allocation of Bond Authorizations as set forth in the Twentieth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 4)
 - (3) Revised Allocation of Bond Authorizations as set forth in the Twenty-First Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 5)

Agreements (Storrs-based):

- (4) UConn – Hartford Public Library Agreements, Hartford Campus Relocation (Project No. 910775) (Attachment 6)

Project Budgets (Storrs-based):

- (5) Project Budget (Revised Final) for Residential Life Facilities West Campus Shower Renovations (Attachment 7)
- (6) Project Budget (Final) for Fats, Oil and Grease (FOG) Compliance – Phase II (Attachment 8)
- (7) Project Budget (Final) for Stamford Campus Improvements/ Housing – Cooling Tower Replacement (Attachment 9)
- (8) Project Budget (Final) for Student Union Building Envelope Repairs (Attachment 10)
- (9) Project Budget (Final) for Utility Infrastructure GIS Mapping (Attachment 11)
- (10) Project Budget (Design) for Gampel Pavilion Dome Ceiling and Roof Repair (Attachment 12)
- (11) Project Budget (Design) for North and South Parking Garage Safety Screen (Attachment 13)

Project Budgets (UConn Health):

- (12) Project Budget (Planning) for the UConn Health Elevator 24 & 25 Sill Repairs (Attachment 14)
- (13) Project Budget (Planning) for the UConn Health Elevator 27/28 Modernization (Attachment 15)
- (14) Project Budget (Planning) for the UConn Health H Building Sealant Replacement (Attachment 16)
- (15) Project Budget (Final) for the UConn Health 195 Farmington Avenue Parking Lot Pavement Removal and Replacement (Attachment 17)
- (16) Project Budget (Final) for the UConn Health Electronic Medical Records Project Team Space Fit-Out – New Hospital Tower 7th Floor (Attachment 18)
- (17) Project Budget (Final) for the UConn Health Munson Road Roof Replacement (Attachment 19)
- (18) Project Budget (Final) for the UConn Health New Boiler and Deaerator Tank (DA) Repairs (Attachment 20)
- (19) Project Budget (Revised Final) for the UConn Health New Construction and Renovation – Clinic (C) Building Renovations (Attachment 21)

6. UConn Health Report

- (a) Report on UConn Health activities

7. Joint Audit and Compliance Committee Report

- (a) Report on Committee activities

8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
10. Student Life Committee Report
 - (a) Report of Committee activities
11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
12. Committee on Compensation Report
 - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated
15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

March 30, 2016

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2015-2016

RECOMMENDATION:

That the Board of Trustees accept the recommendation of the Distinguished Professor Review Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: Frank Costigliola (Department of History), John Mathieu (Department of Management), Krishna Pattipati (Department of Electrical and Computer Engineering), and Michael Willig (Department of Ecology and Evolutionary Biology).

BACKGROUND:

On November 10, 1998, the Board of Trustees voted to establish the title of Board of Trustees Distinguished Professor. This designation is the University's highest academic honor.

Pursuant to the *By-Laws of the University of Connecticut*, the Board of Trustees Distinguished Professor award is reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut. Faculty chosen must have distinguished themselves in all three of these categories. The designation process occurs annually, as a result of a peer review process.

After careful deliberations, the Review Committee recommended the four individuals named above. I am recommending that the Board of Trustees designate these faculty as its Distinguished Professors.

Frank Costigliola

Dr. Costigliola is professor of history at the University of Connecticut, Storrs. His previous awards include the Chancellor's Excellence in Research Award (2002), the Alumni Association Excellence in Research Award (2002), and two Humanities Institute fellowships (2002-03 and 2014-15). He has also won fellowships from the Institute for Advanced Study at Princeton (2009-10, 2011), the Norwegian Nobel Institute (1999), the Guggenheim Foundation (1995-96), Harvard's Warren Center (1995-96, declined), and the National Endowment for the Humanities (1986-87 and 1977). He was a visiting professor at Cornell University in 1989.

Professor Costigliola has an international reputation as an innovator in the field of U.S. foreign relations history. While he was still in graduate school, he had accepted in the leading journal in American history an article challenging the then prevailing assumption of U.S. isolation in the 1920s. His first monograph broke fresh ground by analyzing how economic and cultural ties affected U.S. political relations with Europe. The book helped usher in the cultural turn in foreign relations history. His second monograph explored how Americans' gendering of France as a "feminine" nation helped shape relations with that country. A third book reinvigorated a seemingly exhausted topic by tracing how the personal interactions among Allied leaders in World War II influenced their foreign policies. Professor Costigliola has published over sixty article and book chapters and has lectured throughout the US and Europe. He has also edited the diaries of the strategist George F. Kennan. He is the lead co-editor of two recent canon-shaping collections of essays, one on the latest historiography and the other on innovative approaches to foreign relations history. In his current work, Professor Costigliola is again charting a new path by examining the role of emotions in shaping the ideas and behavior of foreign policy makers.

Professor Costigliola is an exacting though popular teacher who consistently scores the highest ratings in student evaluations of his courses. He has overseen the senior thesis work of undergraduate students and has supervised many Ph.D. students. A good number of the latter have gone on to get tenure track jobs.

Professor Costigliola has a history of service to his department, his field, and the nation. He served as president of the Society of Historians of American Foreign Relations in 2009. In 2014, he was invited to advise the Policy Planning Staff of the U.S. Department of State. In 2016, he was nominated to serve on a small committee of scholars who advise the Central Intelligence Agency on declassifying secret documents. He has served on grant review panels for the American Council of Learned Societies and for the National Endowment for the Humanities.

John E. Mathieu

Dr. Mathieu is a Professor of Management at the University of Connecticut, Storrs, and holds the Friar Chair in Leadership and Teams. He came to UConn in 1999 after being on the faculty at Pennsylvania State University for 14 years. He is a Fellow of the Academy of Management, the Society for Industrial/Organizational Psychology, and the American Psychological Association. He was recently named the 2015 recipient of the McGrath Award for Lifetime Achievement in the Study of Groups by the Interdisciplinary Network for Group Research.

Prof. Mathieu is recognized as leading scholar in the fields of Management and Industrial / Organizational Psychology, and his work is among the most highest cited 25 scholars worldwide over the past few decades. His primary areas of interest include models of team and multi-team effectiveness, leadership, training effectiveness, and cross-level models of organizational behavior. He has conducted work with several Fortune 500 companies (e.g., ALCOA, Bayer, GE, XEROX), the armed services (i.e., Army, Navy, and Air Force), federal and state agencies (e.g., DOT, FAA, NASA, NRC), and numerous public and private organizations. Dr. Mathieu has over 100 publications, 200 presentations at national and international conferences, and has been a PI or Co-PI on over \$9.7M in grants and contracts.

Dr. Mathieu has taught organizational behavior courses to undergraduates, Full-time MBA, Executive MBA, and PhD students at UConn. He recently was awarded the UConn Marth Mentorship Award for leadership and dedication for his work with graduate students. Mathieu has chaired 19 PhD dissertations, and his student have gone on to faculty positions (e.g., Arizona State, Harvard, Rutgers), university administration (e.g., University of Georgia, University of Buffalo), research institutes (e.g., American Institutes for Research, Army Research Institute), corporate executive positions (e.g., Amazon, Columbia Wealth Management), and corporate and private consulting roles.

Prof. Mathieu has served as the Director of the School of Business PhD program, and as Department Head of Management, as well as on numerous committees at departmental, school, and university levels. He serves on numerous editorial boards and has guest edited special volumes of top-level journals.

Dr. Mathieu holds a MS and a Ph.D. in Industrial/Organizational Psychology from Old Dominion University, and a bachelor's degree in Psychology from UConn.

Krishna Pattipati

Dr. Pattipati is the UTC Professor of Systems Engineering in Electrical and Computer Engineering (ECE) Department at the University of Connecticut, Storrs. His previous awards include the Centennial Key to the Future Award of the IEEE (1984), the AAUP Research Excellence Award (2003), the School of Engineering Outstanding Teaching Award (2005), and two NASA Space Act Awards (2002, 2008). He is an elected Fellow of the IEEE for contributions to *Team Decision-making and Combinatorial Optimization* (1995) and a member of the Connecticut Academy of Science and Engineering (2006).

Prof. Pattipati is widely recognized for his work on applied optimization and systems theory techniques for fault diagnosis and prognosis in complex systems, team decision making, multi-object tracking, multiuser communications, and battery management systems. His algorithms on real-time and sequential fault diagnosis of tens of thousands of faults using thousands of sensor inputs are considered as standard in the testing industry. Dr. Pattipati's team's discovery that an organization's level of "congruence" to its work structure is a useful determinant of its success is at the heart of synthesizing high-performance human-machine collectives. His Lagrangian relaxation algorithms for deep-memory measurement/track association and its variants are at the core of a growing number of fielded radars. His discovery of the Probabilistic Data Association ("PDA") algorithm for demodulation of CDMA for cellular telephony caused great excitement, and led to significant work by other communications researchers. Dr. Pattipati's work on applying advanced estimation and control theory algorithms to infer the state of charge and state of health of Li-ion batteries are used in mobile devices. His research has been funded by federal government agencies and industrial sponsors, including ONR, NRL, NASA, NSF, DARPA, Aptima, Fairchild Semiconductor, Comcast, GM, Toyota and others. Dr. Pattipati has published 22 book chapters, 156 Journal articles and 310 Conference papers. Thirteen of his papers have received "Best Paper" awards.

Prof. Pattipati is an accomplished and innovative teacher, who teaches the core course, Systems Analysis, at the junior undergraduate level, and has introduced 7 advanced graduate level courses to the ECE curriculum, including machine learning and network optimization. He has been instrumental in designing and developing the curriculum for the Systems Engineering Certificate programs of the UTC Institute for the Advanced Systems Engineering. Dr. Pattipati has been the Departmental Honors Student Advisor since the program's inception. He has supervised 25 PhD students, with 8 more in the pipeline, and 37 MS students with a thesis option.

Prof. Pattipati has a long history of dedicated service at the department, college, and university level, including serving as the Founding Director (2013-2015) of the UTC Institute for Advanced Systems Engineering that is providing an ecosystem for growing an academic talent base for State industry. His service to his profession has included serving as the Editor-in-Chief of the IEEE Transactions on Systems, Man, and Cybernetics (SMC) – Part B from 1998 to 2001, Vice-President for Technical Activities of the IEEE SMC Society from 1998 to 1999, and as Vice-President for Conferences and Meetings of the IEEE SMC Society from 2000 to 2001. He was also the General Chair of the International Conference of Complex Systems Engineering held at UConn in 2014 and 2015. He is a cofounder of Qualtech Systems, Inc., a CT firm specializing in advanced integrated diagnostics software tools, and serves on the board of Aptima, Inc., a MA-based human-centered engineering firm.

Michael R. Willig

Dr. Willig is a Professor of Ecology & Evolutionary Biology and Director of the Center for Environmental Sciences & Engineering. His previous awards include Research Excellence Award from the American Association of University Professors, Excellence in Research Award from the College of Liberal Arts and Sciences, the George Miksch Sutton Award in Conservation Research from the Southwest Association of Naturalists, and the Association of Southeastern Biologists Faculty Research Award. He was appointed as a Research Fellow of Oak Ridge Associated Universities and the Department of Energy, and as a Sabbatical Fellow at the NSF-supported National Center for Ecological Analysis and Synthesis. He is also an elected member of the Connecticut Academy of Science and Engineering.

Prof. Willig has an internationally renowned career in environmental sciences, working in Brazil, Paraguay, Peru, Puerto Rico and the US, with an emphasis on application of quantitative and statistical techniques to understand the ecology and conservation of biodiversity. His empirical work has clarified how keystone groups of species (bats, birds, insects, gastropods) respond to natural disturbances (cyclonic storms, droughts, tree fall gaps) as well as to anthropogenic disturbances (land use legacies, low impact logging, agricultural practices). His theoretical work has contributed to the development of a conceptual framework for ecology in general, as well as to theories about gradients in biodiversity, especially those related to area, elevation, latitude and landscape composition and configuration. He has published over 210 scientific articles in peer-reviewed journals or books, and is the author or editor of 8 books or monographs. His recently edited book, *Long-Term Ecological Research: Changing the Nature of Scientists*, explores how long-term, multidisciplinary, collaborative environmental research has altered how researchers ask questions, seek collaboration, use novel tools, develop effective teaching approaches, or engage in effective outreach. He has delivered numerous presentations at universities and scholarly societies throughout the world. During his career, he has enjoyed extramural support for his research from a number of federal agencies, and has been continuously funded by NSF's Long-Term Ecological Research Program since 1987.

Professor Willig has taught a wide variety of ecology and biostatistics courses during his career, including most recently "Imagining a Sustainable World", a seminar course for undergraduates in EcoHouse and a multi-university distributed graduate-seminar on "Dimensions of Biodiversity." He has been the major advisor of 25 M.S. students, 13 Ph.D. students, and 12 post-doctoral fellows. He has recently mentored 2 visiting doctoral students from Brazil and 1 doctoral student from Belgium.

Dr. Willig has a long history of dedicated service at the department, college, and university levels. He has served on many and diverse committees, and has assumed a leadership role as a Center Director at UConn. He has served the broader community of scholars, as a Program Officer in the Ecological Studies Cluster and as a Division Director for Environmental Biology at NSF, and as a member of the International Review Committee for the Millennium Science Initiative in Chile. He has served on the Board of Directors for the American Society of Mammalogists as well as for Conservation International's Tropical Ecology Assessment and Monitoring Program. In addition, he has been an editor for *Frontiers in Ecology and the Environment*, *Conservation Biology*, *Journal of Mammalogy*, and *Mastozoologia Neotropical*, and has convened working groups or workshops on topics ranging from ecological succession to productivity-diversity relationships, to resistance and resilience to high energy storms.

ATTACHMENT 2

CONTRACTS AND AGREEMENTS
FOR APPROVAL
March 30, 2016

PROCUREMENT - AMENDMENTS

COMPUTER HARDWARE, PERIPHERALS & SOFTWARE

| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 1/31/16 | Expenditures FY 15 | Expenditures FY 14 | Purpose |
|-----|---------------------|--------------|--|-------------------|------------------|--|----------------------------------|--------------------|--------------------|--|
| 1 | GovConnection, Inc. | CNR-01141 | \$1,800,000 [Contract Value Previously \$4,340,000; Total New Contract Value \$6,140,000] | 12/01/06-03/31/17 | Multiple Sources | Matthew Larson, Director of Procurement Services | \$4,043,766 | \$1,536,651 | \$1,212,344 | Personal computers, peripherals, software and support services to University faculty and staff on all University campuses, including UCH. Contract is through the Education & Institutional Cooperative consortium. Amend to increase contract value by \$1,800,000, for a total new contract value of \$6,140,000. Zero options to extend. |

IT CONSULTING SERVICES

| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 1/31/16 | Expenditures FY 15 | Expenditures FY 14 | Purpose |
|-----|----------------------------------|------------------|--|-------------------|------------------|--|----------------------------------|--------------------|--------------------|--|
| 1 | Technology Resources, Inc. (TRI) | UC-12-PG050511-4 | \$1,342,300 [Contract Value Previously \$2,000,000; Total New Contract Value \$3,342,300] | 05/01/12-04/30/17 | Multiple Sources | Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology | \$1,411,300 | \$1,411,300 | \$0 | Consulting services for developing, implementing and supporting various Oracle technologies and products. Amend to increase contract value by \$1,342,300 to \$3,342,300. Zero extensions remain. |

MEDIA, MARKETING, AND PUBLIC RELATIONS

| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 1/31/16 | Expenditures FY 15 | Expenditures FY 14 | Purpose |
|-----|------------|----------------|--|-------------------|-----------------|---|----------------------------------|--------------------|--------------------|---|
| 1 | Go Media | UC-13-KJ030813 | \$500,000 [Contract Value Previously \$1,200,000; Total New Contract Value \$1,700,000] | 11/01/13-10/31/17 | Auxiliary funds | Rachel Rubin, Interim Athletic Director | \$839,076 | \$447,242 | \$143,512 | Develop and implement media campaign to increase sales of football and basketball tickets. Contract value includes the cost of media placement (print, broadcast and digital), as well as associated agency service fees and commissions. Amend to increase contract value by \$500,000 to \$1,700,000. Amend to extend term for one year, through 10/31/2017. Zero options to extend. |

OFFICE SUPPLIES

| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 1/31/16 | Expenditures FY 15 | Expenditures FY 14 | Purpose |
|-----|--------------------------------|--------------|--|-------------------|------------------|--|----------------------------------|--------------------|--------------------|---|
| 1 | Office Depot (f/k/a OfficeMax) | UC-KJ090712 | \$0 [Contract Value Previously \$12,000,000; Contract Value Remains the Same] | 07/01/13-06/30/18 | Multiple Sources | Matthew Larson, Director of Procurement Services | \$1,771,846 | \$697,226 | \$676,916 | Office supplies and delivery for all University campuses, including UCH. Amend to add services and features and to effect contractually mandated price adjustments. This amendment may be effectuated by execution of a new superseding agreement. Zero options to extend. |

ON-CALL CONSULTANT SERVICES - CIVIL AND STRUCTURAL ENGINEERING

| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 1/31/16 | Expenditures FY 15 | Expenditures FY 14 | Purpose |
|-----|-----------------------------------|--------------------|---|-------------------|------------------|--|----------------------------------|--------------------|--------------------|--|
| 1 | AECOM (d/b/a URS Corporation AES) | 012.1-12-500-22916 | \$0 [Contract Value Previously \$2,500,000; Contract Value Remains the Same] | 03/01/13-04/30/18 | Multiple Sources | Matthew Larson, Director of Procurement Services | \$693,672 | \$157,854 | \$0 | Professional Services On-Call Contract for civil and structural engineering services to be used at Storrs, Regional Campuses and UCH as needed on a project by project basis. Amend to extend term by two years, through 4/30/18. |

CONTRACTS AND AGREEMENTS
FOR APPROVAL
March 30, 2016

| RESEARCH SUPPLIES & EQUIPMENT | | | | | | | | | | |
|-------------------------------|------------------------|-----------------|--|-------------------|------------------|--|----------------------------------|--------------------|--------------------|--|
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 1/31/16 | Expenditures FY 15 | Expenditures FY 14 | Purpose |
| 1 | Fisher Scientific, LLC | UC-09-CGP021309 | \$1,295,464 [Contract Value Previously \$17,659,651; Total New Contract Value \$18,955,115] | 05/01/09-09/30/16 | Multiple Sources | Matthew Larson, Director of Procurement Services | \$16,950,491 | \$3,351,276 | \$2,662,599 | Laboratory supplies, chemicals and equipment for all University campuses, including UCH. Amend to increase contract value by \$1,295,464, for a total new contract value of \$18,955,115. Amend to extend term by five months, through 9/30/16. Zero (0) extensions remain. |

| SOFTWARE | | | | | | | | | | |
|----------|------------|--------------|--|-------------------|------------------|--|----------------------------------|--------------------|--------------------|---|
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 1/31/16 | Expenditures FY 15 | Expenditures FY 14 | Purpose |
| 1 | SciQuest | 17778 | \$132,000 [Contract Value Previously \$2,889,064; Total New Contract Value \$3,021,064] | 12/31/09-06/30/19 | Multiple Sources | Matthew Larson, Director of Procurement Services | \$2,054,093 | \$270,524 | \$182,377 | Procurement software applications servicing all University campuses, excluding UCH. Amend to increase contract value by \$132,000 , for a total new contract value of \$3,021,064. Zero (0) extensions remain. |


LICENSE AGREEMENT FOR APPROVAL

| UNIVERSITY AS LICENSOR | | | | | | |
|------------------------|------------------------|--------------------------|---|--------------------------|---|---|
| No. | Licensee | Annual Amount Receivable | Term | Fund Source | Program Director | Purpose |
| 1 | T-Mobile Northeast LLC | \$49,275 | 3 Years from Attorney General Signature with Automatic Renewals | Operating Fund - General | Scott Jordan, Vice President for Administration and CFO | This License Agreement replaces an in-force lease agreement with T-Mobile Northeast LLC for its use of facilities on the telecommunications tower located at 82 North Eagleville Road, Storrs, CT. The term of this Agreement is for 3 years from the date of execution and allows for 3 separate automatic renewals of 5 years each, unless notice is given by either party not to renew the term. The annual license fees are as follows: \$49,275.00 for the initial term, \$55,226.28 for the first renewal term, \$62,070.24 for the second renewal term and 69,940.80 for the third renewal term. |

ATTACHMENT 3

March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Nineteenth
Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Nineteenth Supplemental Indenture, as follows:

- Decrease Farm Buildings Repairs/Replacement by \$1,581,945.00 for a total fiscal year 2013-2014 bond authorization for such project of \$1,308,055.00; and
- Decrease Stamford Campus Improvements/Housing by \$392,574.00 for a total fiscal year 2013-2014 bond authorization for such project of \$607,426.00; and
- Decrease Storrs Hall Addition by \$72,646.00 for a total fiscal year 2013-2014 bond authorization for such project of \$302,354.00; and
- Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$2,047,165.00 for a total fiscal year 2013-2014 bond authorization for such project of \$15,133,165.00.

BACKGROUND:

The Board of Trustees approved the Nineteenth Supplemental Indenture on June 26, 2013 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Nineteenth Supplemental Indenture on July 11, 2013.

On July 31, 2013, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2013 Series A in the aggregate principal amount of \$223,910,000, with sale proceeds in the amount of \$189,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture.

On April 22, 2014, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2014 Series A in the aggregate principal amount of \$109,050,000, with sale proceeds in the amount of \$120,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture.

On April 16, 2015, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2015 Series A in the aggregate principal amount of \$220,165,000, with sale proceeds in the amount of \$250,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture.

On September 25, 2013, February 26, 2014 and June 24, 2015 the Board of Trustees amended the Nineteenth Supplemental Indenture to reallocate bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2013-2014, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE NINETEENTH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2013-2014
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 26, 2013, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Nineteenth Supplemental Indenture (the "Nineteenth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on July 31, 2013, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2013 Series A in the aggregate principal amount of \$223,910,000, with sale proceeds in the amount of \$189,000,000 part of which were to be spent on the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture; and on April 22, 2014, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2014 Series A in the aggregate principal amount of \$109,050,000, with sale proceeds in the amount of \$120,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture; and on April 16, 2015, the University, in conjunction with the Treasurer of the State of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2015 Series A in the aggregate principal amount of \$220,165,000, with sale proceeds in the amount of \$250,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture; and

WHEREAS, on September 25, 2013, February 26, 2014 and June 24, 2015 the University reallocated certain of the fiscal year 2013-2014 bond authorizations by amending the Nineteenth Supplemental Indenture; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Nineteenth Supplemental Indenture should be revised to reflect the actual progress made on certain of the projects; and

WHEREAS, the University desires to amend the Nineteenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Nineteenth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Nineteenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2013-2014 bond authorization amongst projects as follows: (a) decrease Farm Buildings Repairs/Replacement by \$1,581,945.00 for a total fiscal year 2013-2014 bond authorization for such project of \$1,308,055.00; and (b) decrease Stamford Campus Improvements/Housing by \$392,574.00 for a total fiscal year 2013-2014 bond authorization for such project of \$607,426.00; and (c) decrease Storrs Hall Addition by \$72,646.00 for a total fiscal year 2013-2014 bond authorization for such project of \$302,354.00; and (d) increase

Deferred Maintenance/Code/ADA Renovation Lump Sum by \$2,047,165.00 for a total fiscal year 2013-2014 bond authorization for such project of \$15,133,165.00; and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A
(as amended)¹

NINETEENTH SUPPLEMENTAL INDENTURE
UConn 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2013-2014
UConn 2000 BOND AUTHORIZATIONS
_____ SERIES _____ BOND PROJECTS

| UConn 2000 Projects* | UConn 2000 Phase III Total Needed -D.S.C. Bonds** | Fiscal Year 2013-2014 Bond Authorization (2) | UConn 2000 Phase III Prior D.S.C. Bond Authorization *** |
|---|--|---|---|
| --<u>Storrs and Regional Campuses</u> | | | |
| Academic and Research Facilities | \$ 500,406,692.00 | \$ 1,000,000.00 | \$ - |
| Avery Point Campus Undergraduate and Library Building | 10,585,532.48 | 505,000.00 | - |
| Deferred Maintenance/Code/ADA Renovation Lump Sum | 648,183,440.30 | 15,133,615.00 | 171,949,680.25 |
| Engineering Building (with Environmental Research Institute) | 111,028,745.00 | 1,500,000.00 | 5,500,000.00 |
| Equipment, Library Collections & Telecommunications | 235,647,641.00 | 11,002,682.41 | 68,138,813.59 |
| Farm Buildings Repairs/Replacement | 5,036,397.09 | 1,308,055.00 | 3,728,342.09 |
| Gant Building Renovations | 160,496,484 | 4,000,000.00 | 11,250,000.00 |
| Hartford Relocation Acquisition/Renovation | 118,285,681.14 | 3,125,317.59 | - |
| Heating Plant Upgrade | 31,846,824.00 | 1,057,000.00 | 1,818,000.00 |
| North Hillside Road Completion | 8,200,000.00 | 2,700,000.00 | 4,000,000.00 |
| Psychology Building Renovation/Addition | 24,658,550.00 | 3,108,550.00 | 21,500,000.00 |
| Residential Life Facilities | 202,343,799.01 | 8,000,000.00 | 10,117,722.01 |
| Stamford Campus Improvements/Housing | 7,907,426.00 | 607,426.00 | 500,000.00 |
| Storrs Hall Addition | 14,742,924.32 | 302,354.00 | 14,440,570.32 |
| Waterbury Downtown Campus | 1,893,022.00 | 50,000.00 | 428,937.00 |
| <u>Subtotal – Storrs and Regional Campuses</u> | | <u>\$53,400,000.00</u> | |
| --<u>Health Center</u> | | | |
| CLAC Renovation Biosafety Level 3 Lab | \$ 16,835,000.00 | \$ 9,710,000.00 | \$ 1,315,000.00 |
| Deferred Maintenance/Code/ADA Renovation Sum — Health Center | 48,552,989.03 | 4,952,003.00 | 35,980,125.03 |
| Equipment, Library Collections & Telecommunications — Health Center | 68,429,390.00 | 2,290,000.00 | 54,139,265.00 |
| Main Building Renovation | 120,105,675.00 | 28,009,500.00 | 48,588,000.00 |
| Medical School Academic Building Renovation | 39,047,488.00 | 25,747,500.00 | 8,700,000.00 |
| Research Tower | 68,580,997.00 | 8,109,997.00 | 60,471,000.00 |
| The University of Connecticut Health Center New Construction and Renovation | 386,457,001.00 | 72,181,000.00 | 99,091,000.00 |
| <u>Subtotal – Health Center</u> | | <u>\$151,000,000.00</u> | |
| Total Fiscal Year 2013-2014 Bond Authorization | | \$204,400,000.00 | |

*Reflects amendments to project names including Public Act No 13-233.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward.

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Nineteenth Supplemental Indenture on June 26, 2013 and amended it on September 25, 2013, February 26, 2014, June 24, 2015 and March 30, 2016.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

ATTACHMENT 4

March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan *51*
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Twentieth Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Twentieth Supplemental Indenture, as follows:

- Decrease Avery Point Renovation by \$311,754.00 for a total fiscal year 2014-2015 bond authorization for such project of \$7,302,782.00; and
- Decrease Beach Hall Renovations by \$738.00 for a total fiscal year 2014-2015 bond authorization for such project of \$654,262.00; and
- Decrease Parking Garage #3 by \$30,335.00 for a total fiscal year 2014-2015 bond authorization for such project of \$75,699.00; and
- Decrease Stamford Campus Improvements/Housing by \$2,370,616.00 for a total fiscal year 2014-2015 bond authorization for such project of \$0.00; and
- Decrease Torrey Renovation Completion and Biology Expansion by \$70,000.00 for a total fiscal year 2014-2015 bond authorization for such project of \$367,868.00; and
- Decrease Waterbury Downtown Campus by \$6,761.00 for a total fiscal year 2014-2015 bond authorization for such project of \$1,414,085.00; and
- Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$2,790,204.00 for a total fiscal year 2014-2015 bond authorization for such project of \$42,458,182.88.

BACKGROUND:

The Board of Trustees approved the Twentieth Supplemental Indenture on June 25, 2014 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Twentieth Supplemental Indenture on July 2, 2014.

On April 16, 2015, the University, in conjunction with the Treasurer of the state of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2015 Series A in the aggregate principal amount of \$220,165,000, with sale proceeds in the amount of \$250,000,000 which financed part of the Fiscal Year 2014-2015 bond authorizations in accordance with the Twentieth Supplemental Indenture.

On June 24, 2015 the Board amended the Twentieth Supplemental Indenture to make certain reallocations of bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2014-2015, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE TWENTIETH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2014-2015
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 25, 2014, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Twentieth Supplemental Indenture (the "Twentieth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on April 16, 2015, the University, in conjunction with the Treasurer of the state of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2015 Series A in the aggregate principal amount of \$220,165,000, with sale proceeds in the amount of \$250,000,000 part of which were to be spent on the Fiscal Year 2015-2016 bond authorizations in accordance with the Twentieth Supplemental Indenture; and

WHEREAS, on June 24, 2015, the University amended the Twentieth Supplemental Indenture to make certain reallocations of bond authorizations for Fiscal Year 2014-2015 as set forth in Appendix A; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Twentieth Supplemental Indenture should be revised to reflect the actual progress made on certain of the projects; and

WHEREAS, the University desires to amend the Twentieth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Twentieth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Twentieth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2014-2015 bond authorization amongst projects as follows: (a) decrease Avery Point Renovation by \$311,754.00 for a total fiscal year 2014-2015 bond authorization for such project of \$7,302,782.00; and (b) decrease Beach Hall Renovations by \$738.00 for a total fiscal year 2014-2015 bond authorization for such project of \$654,262.00; and (c) decrease Parking Garage #3 by \$30,335.00 for a total fiscal year 2014-2015 bond authorization for such project of \$75,699.00; and (d) decrease Stamford Campus Improvements/Housing by \$2,370,616.00 for a total fiscal year 2014-2015 bond authorization for such project of \$0.00; and (e) decrease Torrey Renovation Completion and Biology Expansion by \$70,000.00 for a total fiscal year 2014-2015 bond authorization for such project of \$367,868.00; and (f) decrease Waterbury Downtown Campus by \$6,761.00 for a total fiscal year 2014-2015 bond authorization for such project of \$1,414,085.00; and (g) increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$2,790,204.00 for a total fiscal year 2014-2015 bond authorization for such project of \$42,458,182.88; and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A¹

TWENTIETH SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2014-2015
UCONN 2000 BOND AUTHORIZATIONS
_____ SERIES ____ BOND PROJECTS

| UCONN 2000 Projects* | UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds** | Fiscal Year 2014-2015 Bond Authorization ⁽²⁾ | UCONN 2000 Phase III Prior D.S.C. Bond Authorization *** |
|--|---|--|---|
| --<u>Storrs and Regional Campuses</u> | | | |
| Academic and Research Facilities | \$500,406,692.00 | \$9,024,327.00 | \$1,000,000.00 |
| Arjona and Monteith (new classroom buildings) | 139,760,709.28 | 12,023,606.07 | 107,547,356.93 |
| Avery Point Renovation | 25,021,873.54 | 7,302,782.00 | 1,016,469.54 |
| Beach Hall Renovations | 5,396,957.33 | 654,262.00 | 4,742,695.33 |
| Biobehavioral Complex Replacement | 3,589,141.00 | 551,166.00 | 2,787,975.00 |
| Bishop Renovation | 3,827,302.00 | 1,277,302.00 | 2,550,000.00 |
| Deferred Maintenance/Code/ADA Renovation Lump Sum | 648,183,440.30 | 42,458,182.88 | 187,083,295.25 |
| Engineering Building (with Environmental Research Institute) | 111,028,745.00 | 20,970,913.00 | 7,000,000.00 |
| Equipment, Library Collections & Telecommunications | 235,647,641.00 | 34,500,000.00 | 79,141,496.00 |
| Fine Arts Phase II | 18,885,426.00 | 26,509.00 | 4,575,000.00 |
| Gant Building Renovations | 160,496,484.00 | 1,075,000.00 | 15,250,000.00 |
| Hartford Relocation Acquisition/Renovation | 118,285,681.14 | 13,299,682.00 | 3,125,317.59 |
| Heating Plant Upgrade | 31,846,824.00 | 9,828,824.00 | 2,875,000.00 |
| Jorgensen Renovation | 3,734,474.58 | 150,000.00 | 2,084,474.58 |
| Koons Hall Renovation/Addition | 1,915,057.00 | 35,000.00 | 1,080,057.00 |
| Manchester Hall Renovation | 846,302.00 | 39,038.00 | 807,264.00 |
| North Hillside Road Completion | 8,200,000.00 | 1,500,000.00 | 6,700,000.00 |
| Parking Garage #3 | 69,685,626.00 | 75,699.00 | - |
| Residential Life Facilities | 202,343,799.01 | 43,991,717.00 | 18,117,722.01 |
| Stamford Campus Improvements/Housing | 7,907,426.00 | - | 1,107,426.00 |
| Support Facility (Architectural and Engineering Services) | 16,583.05 | 16,583.05 | - |
| Torrey Renovation Completion and Biology Expansion | 14,162,217.00 | 367,868.00 | 1,500,000.00 |
| Waterbury Downtown Campus | 1,893,022.00 | 1,414,085.00 | 478,937.00 |
| Young Building Renovation/Addition | 23,640,884.00 | 4,417,454.00 | 19,223,430.00 |
| <u>Subtotal – Storrs and Regional Campuses</u> | | <u>\$205,000,000.00</u> | |

| | | | |
|---|-----------------|-------------------------|-----------------|
| --Health Center | | | |
| CLAC Renovation Biosafety Level 3 Lab | \$16,835,000.00 | \$5,810,000.00 | \$11,025,000.00 |
| Deferred Maintenance/Code/ADA Renovation Sum — Health Center | 48,552,989.03 | 741,394.00 | 40,932,128.03 |
| Equipment, Library Collections & Telecommunications — Health Center | 68,429,390.00 | 4,125,000.00 | 56,429,265.00 |
| Main Building Renovation | 120,105,675.00 | 11,679,000.00 | 76,597,500.00 |
| The University of Connecticut Health Center New Construction and Renovation | 386,457,001.00 | 88,144,606.00 | 171,272,000.00 |
| <u>Subtotal – Health Center</u> | | <u>\$110,500,000.00</u> | |
| Total Fiscal Year 2014-2015 Bond Authorization | | \$315,500,000.00 | |

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.


⁽¹⁾ The Board of Trustees approved the Twentieth Supplemental Indenture on June 25, 2014 and amended it on June 24, 2015 and March 30, 2016.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

ATTACHMENT 5

March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Twenty-First
Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Twenty-First Supplemental Indenture, as follows:

- Decrease Deferred Maintenance/Code/ADA Renovation Lump Sum by \$3,208,432.83 for a total fiscal year 2015-2016 bond authorization for such project of \$30,576,524.17; and
- Decrease Equipment, Library Collections & Telecommunications by \$11,693,855.00 for a total fiscal year 2015-2016 bond authorization for such project of \$11,175,000.00; and
- Decrease Fine Arts Phase II by \$7,850,704.00 for a total fiscal year 2015-2016 bond authorization for such project of \$1,981,917.00; and
- Decrease Heating Plant Upgrade by \$207,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$773,176.00; and
- Decrease Law School Renovations/Improvements by \$19,282.00 for a total fiscal year 2015-2016 bond authorization for such project of \$980,718.00; and
- Decrease Mansfield Training School Improvements by \$1,485,220.00 for a total fiscal year 2015-2016 bond authorization for such project of \$14,780.00; and
- Decrease Parking Garage #3 by \$250,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$0.00; and
- Decrease Residential Life Facilities by \$3,546,276.00 for a total fiscal year 2015-2016 bond authorization for such project of \$68,413,127.00; and

- Add Academic and Research Facilities by \$538,463.00 for a total fiscal year 2015-2016 bond authorization for such project of \$538,463.00; and
- Add Arjona and Monteith (new classroom buildings) by \$8,516,930.28 for a total fiscal year 2015-2016 bond authorization for such project of \$8,516,930.28; and
- Add Psychology Building Renovation/Addition by \$50,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$50,000.00; and
- Increase Engineering Building (with Environmental Research Institute) by \$15,869,695.00 for a total fiscal year 2015-2016 bond authorization for such project of \$39,097,924.00; and
- Increase Hartford Relocation Acquisition/Renovation by \$3,285,681.55 for a total fiscal year 2015-2016 bond authorization for such project of \$36,860,681.55.

BACKGROUND:

The Board of Trustees approved the Twenty-First Supplemental Indenture on June 24, 2015 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Twenty-First Supplemental Indenture on July 10, 2015.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2015-2016, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE TWENTY-FIRST SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2015-2016
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 24, 2015, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Twenty-First Supplemental Indenture (the "Twenty-First Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Twenty-First Supplemental Indenture should be revised to reflect the actual progress made on certain of the projects; and

WHEREAS, the University desires to amend the Twenty-First Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Twenty-First Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Twenty-First Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2015-2016 bond authorization amongst projects as follows: (a) decrease Deferred Maintenance/Code/ADA Renovation Lump Sum by \$3,208,432.83 for a total fiscal year 2015-2016 bond authorization for such project of \$30,576,524.17; and (b) decrease Equipment, Library Collections & Telecommunications by \$11,693,855.00 for a total fiscal year 2015-2016 bond authorization for such project of \$11,175,000.00; and (c) decrease Fine Arts Phase II by \$7,850,704.00 for a total fiscal year 2015-2016 bond authorization for such project of \$1,981,917.00; and (d) decrease Heating Plant Upgrade by \$207,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$773,176.00; and (e) decrease Law School Renovations/Improvements by \$19,282.00 for a total fiscal year 2015-2016 bond authorization for such project of \$980,718.00; and (f) decrease Mansfield Training School Improvements by \$1,485,220.00 for a total fiscal year 2015-2016 bond authorization for such project of \$14,780.00; and (g) decrease Parking Garage #3 by \$250,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$0.00; and (h) decrease Residential Life Facilities by \$3,546,276.00 for a total fiscal year 2015-2016 bond authorization for such project of \$68,413,127.00; and (i) add Academic and Research Facilities by \$538,463.00 for a total fiscal year 2015-2016 bond authorization for such project of \$538,463.00; and (j) add Arjona and Monteith (new classroom buildings) by \$8,516,930.28 for a total fiscal year 2015-2016 bond authorization for such project of \$8,516,930.28; and (k) add Psychology Building Renovation/Addition by \$50,000.00 for a total fiscal year 2015-2016 bond authorization for such project of \$50,000.00; and (l) increase Engineering Building (with Environmental Research Institute) by \$15,869,695.00 for a total fiscal year 2015-2016 bond authorization for such project of \$39,097,924.00; and (m) increase Hartford Relocation Acquisition/Renovation by \$3,285,681.55 for a total fiscal year 2015-2016 bond authorization for such project of

\$36,860,681.55; and to update the total amounts of debt service commitment (“DSC”) bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A¹

**TWENTY-FIRST SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2015-2016
UCONN 2000 BOND AUTHORIZATIONS
____SERIES ____ BOND PROJECTS**

| UCONN 2000 Projects* | UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds** | Fiscal Year 2015-2016 Bond Authorization ⁽²⁾ | UCONN 2000 Phase III Prior D.S.C. Bond Authorization *** |
|--|---|--|---|
| --Storrs and Regional Campuses | | | |
| Academic and Research Facilities | \$500,406,692.00 | \$538,463.00 | \$10,024,327.00 |
| Arjona and Monteith (new classroom buildings) | 139,760,709.28 | 8,516,930.28 | 119,570,963.00 |
| Biobehavioral Complex Replacement | 3,589,141.00 | 250,000.00 | 3,339,141.00 |
| Deferred Maintenance/Code/ADA Renovation Lump Sum | 648,183,440.30 | 30,576,524.17 | 229,541,478.13 |
| Engineering Building (with Environmental Research Institute) | 111,028,745.00 | 39,097,924.00 | 27,970,913.00 |
| Equipment, Library Collections & Telecommunications | 235,647,641.00 | 11,175,000.00 | 113,641,496.00 |
| Fine Arts Phase II | 18,885,426.00 | 1,981,917.00 | 4,601,509.00 |
| Gant Building Renovations | 160,496,484.00 | 2,870,759.00 | 16,325,000.00 |
| Hartford Relocation Acquisition/Renovation | 118,285,681.14 | 36,860,681.55 | 16,424,999.59 |
| Heating Plant Upgrade | 31,846,824.00 | 773,176.00 | 12,703,824.00 |
| Jorgensen Renovation | 3,734,474.58 | 1,500,000.00 | 2,234,474.58 |
| Koons Hall Renovation/Addition | 1,915,057.00 | 800,000.00 | 1,115,057.00 |
| Law School Renovations/Improvements | 16,766,342.94 | 980,718.00 | 15,785,624.94 |
| Mansfield Training School Improvements | 9,347,313.00 | 14,780.00 | 3,000,000.00 |
| Parking Garage #3 | 69,685,626.00 | - | 75,699.00 |
| Psychology Building Renovation/Addition | 24,658,550.00 | 50,000.00 | 24,608,550.00 |
| Residential Life Facilities | 202,343,799.01 | 68,413,127.00 | 62,109,439.01 |
| Subtotal – Storrs and Regional Campuses | | <u>\$204,400,000.00</u> | |

| | | | |
|---|-----------------|--------------------------------|-----------------|
| --Health Center | | | |
| Deferred Maintenance/Code/ADA Renovation Sum - Health Center | \$48,552,989.03 | \$3,219,345.00 | \$41,673,522.03 |
| Equipment, Library Collections and Telecommunications - Health Center | 68,429,390.00 | 4,150,125.00 | 60,554,265.00 |
| Main Building Renovation | 120,105,675.00 | 9,149,518.00 | 88,276,500.00 |
| The University of Connecticut Health Center New Construction and Renovation | 386,457,001.00 | 91,181,012.00 | 259,416,606.00 |
| Subtotal – Health Center | | <u>\$107,700,000.00</u> | |
| Total Fiscal Year 2015-2016 Bond Authorization | | <u>\$312,100,000.00</u> | |

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.


⁽¹⁾ The Board of Trustees approved the Twenty-first Supplemental Indenture on June 24, 2015 and amended it on March 30, 2016.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

ATTACHMENT 6

March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: UConn – Hartford Public Library Agreements
Hartford Campus Relocation (Project No. 901775)

RECOMMENDATION:

That the Board of Trustees authorizes the Administration to enter into a Construction Agreement and Operating Agreement with the City of Hartford and Hartford Public Library to improve a portion of the Hartford Public Library building and thereafter utilize approximately 12,000 square feet of exclusive space and approximately 4,350 square feet of non-exclusive/shared space in the building.

BACKGROUND:

The University has undertaken the relocation and consolidation of the existing UConn Greater Hartford campus from West Hartford to downtown Hartford. After an extensive, formal, public solicitation and Executive Committee review of potential sites, the University identified a property on the corner of Prospect Street and Arch Street in the Adriaen's Landing District of Hartford, the site of the vacant and neglected former Hartford Times Building, as the preferred location for the new campus center. In order to encourage foot-traffic and to create a dynamic urban learning environment, the University chose to pursue a "neighborhood campus" concept, in which portions of the academic program would be dispersed among several buildings in close proximity. The construction of a new main building, attached to the façade of the iconic Hartford Times Building, will address approximately 70% of the programmatic needs in the campus relocation, and utilization of additional space in the neighborhood of the new building is both necessary and desirable to house the balance of the campus program.

Recognizing the unique opportunity of having a public library diagonally across Prospect Street from the southwest corner of the new main building site, the University entered into negotiations to utilize space within the Hartford Public Library building to serve as the location of the University's Library Services and to house the University's collections. The parties entered into a Space Use Term Sheet on June 16, 2015 that outlined the intent to improve a portion for the existing building and thereafter utilize space for the purposes of operating library services, teaching facilities, tutoring services, resource management, collection management and related University activities.

It was eventually determined that in order to effectuate the intent outlined in the Term Sheet, two separate agreements would be required. The first, a Construction Agreement, covers the terms of the arrangement prior to occupancy and during the renovation and terminates at substantial completion of the renovations. The second agreement, an Operating Agreement, commences thereafter.

CONSTRUCTION AGREEMENT SUMMARY

Under the terms of the Construction Agreement, building improvements are required to be made within 36 months from execution. The University's total costs are capped at \$4.0 Million, and construction costs are not required to exceed \$2.65 Million. Budget prioritization is provided in the agreement whereby University Space (12,000 square feet) is given the first budget priority, Shared Space (4,350 square feet) is given the second budget priority, and improvements to Common Space are provided only to the extent that there are remaining funds.

The University Space improvements include three 48-seat classrooms, a new digital media center, tutoring services area, private study lounges, collections storage area and offices and circulation desks for Library Services. The Shared Space includes one 36-seat classroom, one 40-seat computer lab and four collaboration study rooms. Common Space improvements include some restroom renovations, employee lounge upgrades and flooring improvements in main corridors. Wireless and telecom services to and in the building as a whole will be completed with the renovation so that University students and the general public can access systems (through separate firewalls) from anywhere in the building.

The target completion date for the renovation work is early Summer 2017 so the space will be fully available for the student's arrival in the relocated campus for the Fall 2017 semester.

OPERATING AGREEMENT SUMMARY

The initial term of the Operating Agreement is fifteen (15) years and it provides exclusive use of University Space and non-exclusive use of Shared Space. The agreement can be extended with five (5) five-year options for renewal. The license fee for the space use is \$12 per square foot for University Space and \$6 per square foot for Shared Space for a first year total of \$170,100 and this license fee increases 3% per year. The University has the option to terminate the Operating Agreement with one year's written notice if it determines the space is unsuitable or inadequate to meet the University's needs, all improvements must be left in place.

The University has the option, but not the obligation, to operate its Library Services within the Hartford Public Library during normal operating hours (10am – 8pm Monday through Friday and 9am – 5pm on Saturday). The University may request that the Hartford Public Library open at 9am or close at 9:30pm on any day, but the University would be responsible for the additional security and maintenance costs during the non-standard period. The Hartford Public Library may modify its normal business hours at its discretion, but it must make the University Space and Shared Space fully accessible for no less than 45 hours per week Monday through Friday. The Hartford Public Library is responsible for all building maintenance and management, and to provide all utilities, security services and janitorial services at no additional cost to the University (and to minimum levels described in the agreement). The Hartford Police would be the first respondent to any incident, but the University would intervene if any student, staff or

faculty were involved. The Agreement creates a committee that will meet monthly to review all terms of the agreement and respond to any thematic or recurring concerns regarding the property. Capital repairs will be undertaken and paid for generally by the Hartford Public Library, however the University is responsible for a portion of the costs if the repairs are for the University Space or the Shared Space. The University's portion of the costs is required to be approved by the University prior to the incurring of any expense through the normal annual budget appropriation process (except in emergency situations).

There is an aspirational component to the Operating Agreement whereby the University Library Services and the Hartford Public Library will collaborate to try to create efficiencies and make resources available to both parties' users where possible. Areas of collaboration might include educational training, cross marketing events, tutoring services and resource licensing and database use.

RESOLUTION


BE IT RESOLVED THAT THE BOARD OF TRUSTEES OF THE UNIVERSITY approves the Administration to enter into a Construction Agreement and an Operating Agreement with the City of Hartford and Hartford Public Library to construct and utilize space in a portion of the Hartford Public Library to operate the University Library Services and store the University's collections therein.

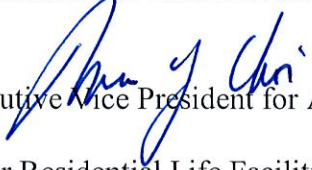
ATTACHMENT 7



March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities West Campus Shower Renovations
(Revised Final: \$2,260,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$2,260,000 for Residential Life Facilities West Campus Shower Renovations project for construction.

BACKGROUND:

The original West Campus (1955) showers and bathroom are inadequate for today's student needs. This renovation will take a student room off line from each floor and create a shower room that will connect to the existing bathroom. The existing bathrooms will be modernized by removing all hazardous materials including existing asbestos floor tiles, new LED lighting fixtures, new plumbing fixtures, new finishes, new toilet stalls, new accessories and a new exhaust system.

The Residential Life Facilities West Campus Shower Renovations project began planning and design in August 2015. Bids were received March 8, 2016. On site construction will be from May 2016 to August 2016.

The Revised Final Budget is based on the low bid price.

The bid came in higher than the professional estimate prepared by the Architect. This Revised Final request incorporates this higher construction number.

The Revised Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: RESIDENTIAL LIFE FACILITIES - WEST CAMPUS SHOWER RENOVATIONS

| | APPROVED PLANNING/DESIGN 9/29/2015 | APPROVED FINAL 1/27/2016 | PROPOSED REVISED FINAL 3/30/2016 |
|--|---|---|---|
| <u>BUDGETED EXPENDITURES</u> | | | |
| | SARCC | | |
| CONSTRUCTION | \$ - | \$ 1,400,000 | \$ 1,840,000 |
| DESIGN SERVICES | 127,900 | 127,900 | 128,000 |
| TELECOMMUNICATIONS | - | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - | - |
| CONSTRUCTION ADMINISTRATION | - | - | - |
| OTHER AE SERVICES (including Project Management) | 8,200 | 60,000 | 70,000 |
| ART | - | - | - |
| RELOCATION | - | - | - |
| ENVIRONMENTAL | - | - | 21,000 |
| INSURANCE AND LEGAL | 2,000 | 141,200 | 2,000 |
| MISCELLANEOUS | 900 | 2,000 | 12,000 |
| OTHER SOFT COSTS | - | 11,900 | - |
| SUBTOTAL | \$ 139,000 | \$ 1,743,000 | \$ 2,073,000 |
| PROJECT CONTINGENCY | 5,000 | 177,000 | 187,000 |
| TOTAL BUDGETED EXPENDITURES | \$ 144,000 | \$ 1,920,000 | \$ 2,260,000 |
| <u>SOURCE(S) OF FUNDING</u> | | | |
| UConn 2000 PHASE II | \$ 144,000 | \$ 144,000 | \$ 144,000 |
| DEPARTMENTAL FUNDS | - | 1,776,000 | 2,116,000 |
| TOTAL BUDGETED FUNDING | \$ 144,000 | \$ 1,920,000 | \$ 2,260,000 |

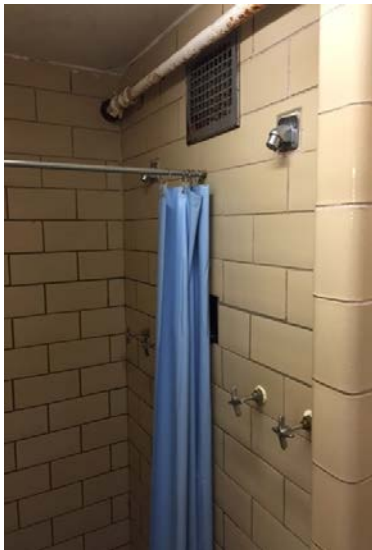
RESIDENTIAL LIFE FACILITIES WEST CAMPUS SHOWER
RENOVATIONS
Project Budget Revised Final
(3/30/2016)



Existing Bathrooms Type A and Type B



Existing Bathroom Type B



Gang Shower

ATTACHMENT 8



March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan *SAJ*
Executive Vice-President for Administration and Chief Financial Officer

Mun Y. Choi *Mun Y Choi*
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Fats, Oil and Grease (FOG) Compliance - Phase II
(Final: \$5,100,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$5,100,000 for Phase II of the FOG Compliance project for construction.

BACKGROUND:

The University food service operations discharge kitchen waters into public owned sewage treatment plants. The State of Connecticut Department of Public Health regulations require that the food service operations facilities pretreat the discharge to reduce or eliminate the amount of fats, oils, and greases that go into the sewage treatment plant. Phase I, done as a separate project, installed pretreatment devices in the Commissary Warehouse, North Dining Hall, Whitney Dining Hall, Dairy Bar and Buckley Dining Hall. The Putnam Refectory will have a pretreatment system installed during its comprehensive renovation which is currently under construction.

The purpose of this project is to install pretreatment devices into six food service operations facilities that do not currently have pretreatment equipment. The food services operations that are included in this project are: Student Union, Northwest Dining Hall, South Campus Dining Hall, Gelfenbien Dining Hall (Towers Complex), Café Co-op (UConn Co-op) and Salty Paws (Avery Point). When Phase II is completed, all food service operations will be in compliance.

FOG Compliance Phase II is currently in the Design Phase. Construction documents will be bid in April 2016 and construction is anticipated to begin in May 2016 and be complete in October 2016.

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The University requests a waiver of the three stage budget approval in order to allow construction to proceed after the bids have been received and evaluated for conformance with the project scope and approval.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL


PROJECT NAME: FATS, OIL AND GREASE (FOG) COMPLIANCE - PHASE II

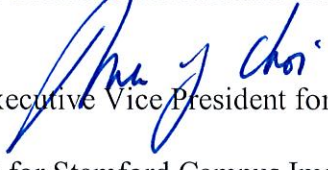
| | APPROVED PLANNING 9/29/2015 | PROPOSED FINAL 3/30/2016 |
|--|--|---|
| <u>BUDGETED EXPENDITURES</u> | <u>SARCC</u> | |
| CONSTRUCTION | \$ - | \$ 4,000,000 |
| DESIGN SERVICES | 304,500 | 300,000 |
| TELECOMMUNICATIONS | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - |
| CONSTRUCTION ADMINISTRATION | - | 72,000 |
| OTHER AE SERVICES (including Project Management) | 10,500 | 155,000 |
| ART | - | - |
| RELOCATION | - | - |
| ENVIRONMENTAL | - | 20,000 |
| INSURANCE AND LEGAL | - | 5,000 |
| MISCELLANEOUS | - | 13,000 |
| OTHER SOFT COSTS | - | - |
| SUBTOTAL | \$ 315,000 | \$ 4,565,000 |
| PROJECT CONTINGENCY | 35,000 | 535,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 350,000</u> | <u>\$ 5,100,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | | |
| UCONN 2000 PHASE III - DM | <u>\$ 350,000</u> | <u>\$ 5,100,000</u> |
| TOTAL BUDGETED FUNDING | <u>\$ 350,000</u> | <u>\$ 5,100,000</u> |

ATTACHMENT 9

March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Stamford Campus Improvements/Housing - Cooling Tower
Replacement (Final: \$700,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$700,000 for Stamford Campus Improvements/Housing - Cooling Tower Replacement for construction.

BACKGROUND:

There are currently two existing cooling towers at ground level on the north side of the Stamford campus building. Installed in 1997 when the University fully renovated the building, these towers have surpassed their useful life. After 18 years of service, both tower casings are deteriorated causing numerous water leaks. Other age related issues involve the deterioration of fans and piping connections, valve corrosion, damaged bearings and excessive noise.

This project will replace these towers with new towers.

Stamford Campus Improvements/Housing - Cooling Tower Replacement is currently in the Design Phase. Construction documents will be bid in April 2016 with construction anticipated to begin May 2016 and complete in September 2016.

The Final Budget is based on a construction cost estimate prepared by the consultant who prepared the drawings. The anticipated total budget is \$700,000.

The University requests a waiver of the three step budget approval in order to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and approval.

The Final Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: STAMFORD CAMPUS IMPROVEMENTS/HOUSING - COOLING
TOWER REPLACEMENT**

| | APPROVED PLANNING 10/5/2015 | PROPOSED FINAL 3/30/2016 |
|--|--|---|
| <u>BUDGETED EXPENDITURES</u> | SARCC | |
| CONSTRUCTION | \$ - | \$ 452,000 |
| DESIGN SERVICES | 105,895 | 106,000 |
| TELECOMMUNICATIONS | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - |
| CONSTRUCTION ADMINISTRATION | - | - |
| OTHER AE SERVICES (including Project Management) | 9,100 | 52,000 |
| ART | - | - |
| RELOCATION | - | - |
| ENVIRONMENTAL | 4,000 | 4,000 |
| INSURANCE AND LEGAL | - | 2,000 |
| MISCELLANEOUS | 4,500 | 5,000 |
| OTHER SOFT COSTS | - | - |
| SUBTOTAL | \$ 123,495 | \$ 621,000 |
| PROJECT CONTINGENCY | 12,640 | 79,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 136,135</u> | <u>\$ 700,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | | |
| UCONN 2000 PHASE III | \$ 136,135 | \$ 700,000 |
| TOTAL BUDGETED FUNDING | <u>\$ 136,135</u> | <u>\$ 700,000</u> |

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STAMFORD CAMPUS IMPROVEMENTS/HOUSING –
COOLING TOWER REPLACEMENT
Project Budget (FINAL)
March 30, 2016




Stamford Cooling Towers

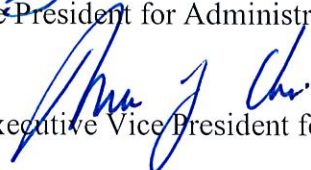
ATTACHMENT 10



March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Student Union Building Envelope Repairs (Final: \$1,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$1,500,000 for the Student Union Building Envelope Repairs project, for construction.

BACKGROUND:

The Student Union has a total of 237,000 square feet of space, constructed in three phases. Over the last several years water infiltration issues have become apparent. A study was performed by Simpson, Gumpertz, and Heger in 2015 to determine which specific areas are causing problems and what corrective measures are necessary to address water leakage at multiple locations. There are ten areas where water infiltration is compromising the structural integrity of the building and action needs to be taken.

Design work is in process to address the compromised areas in the building: water penetration on the east façade, which leaves brick and mortar loose; the deteriorated east side patio areas, which require repairs to address both the exterior surfaces and interior areas damaged by water infiltration; several isolated areas on the building's south side which require remediation; flat roof areas in several locations compromised by rooftop HVAC equipment; the leaking atrium skylights in several areas, which cause visible interior water damage; cracked masonry at the southwest corner which allows water to infiltrate the side wall and cause damage to structural members and interior surfaces.

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The Student Union Building Envelope Repairs project is currently in Design Phase. Construction documents will be bid in April 2016 and construction is anticipated to begin in May 2016 and completed in August 2016.

The Final Budget is based on construction cost estimate prepared by Simpson, Gumpertz, and Heger, who is preparing the design. The anticipated total budget is \$1,500,000.

The University requests a waiver of the three step budget approval in order to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and approval in order to complete the project during the 2016 summer break.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: STUDENT UNION BUILDING ENVELOPE REPAIRS

| | APPROVED PLANNING 11/9/2015 | PROPOSED FINAL 3/30/2016 |
|--|--|---|
| <u>BUDGETED EXPENDITURES</u> | | |
| | PRC | |
| CONSTRUCTION | \$ - | \$ 1,065,000 |
| DESIGN SERVICES | 40,000 | 169,000 |
| TELECOMMUNICATIONS | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - |
| CONSTRUCTION ADMINISTRATION | 13,000 | 60,000 |
| OTHER AE SERVICES (including Project Management) | 2,500 | 56,000 |
| ART | - | - |
| RELOCATION | - | - |
| ENVIRONMENTAL | - | 10,000 |
| INSURANCE AND LEGAL | - | - |
| MISCELLANEOUS | 10,000 | 5,000 |
| OTHER SOFT COSTS | - | - |
| SUBTOTAL | \$ 65,500 | \$ 1,365,000 |
| PROJECT CONTINGENCY | 10,000 | 135,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 75,500</u> | <u>\$ 1,500,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | | |
| UConn 2000 PHASE III - DM | \$ 75,500 | \$ 75,500 |
| DEPARTMENTAL FUNDS | - | 1,424,500 |
| TOTAL BUDGETED FUNDING | <u>\$ 75,500</u> | <u>\$ 1,500,000</u> |

STUDENT UNION BUILDING ENVELOPE REPAIRS

Project Budget (FINAL)

March 30, 2016



East Plaza Above Loading Dock




East Wall Façade Deterioration at Window Sill

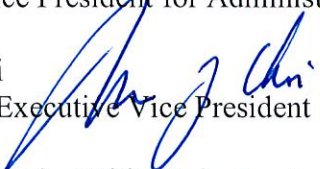
ATTACHMENT 11



March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Utility Infrastructure GIS Mapping
(Final: \$3,236,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$3,236,000 for the Utility Infrastructure GIS Mapping for design and construction.

BACKGROUND:

UConn owns and operates its own central utility (Cogen) plant supplying electricity, steam, and chilled water to numerous buildings on campus through an assortment of above and below ground distribution systems. Other utility distribution systems include domestic water, sanitary sewer, storm drainage, reclaimed water, natural gas, emergency power, telecommunications (telephone/data), security cameras, and the University's blue light system.

UConn's utility distribution systems have evolved over long periods of time via individual building and/or infrastructure construction projects. As a result, many of the record drawings and system maps have not been updated and therefore are not considered comprehensive, as-built, or dig-safe. The purpose of the Utility Infrastructure GIS Mapping is to obtain the services of an architectural/engineering consulting firm to support the creation of "dig-safe" GIS utility maps for the University of Connecticut, Storrs campus. Accurate mapping of utility distribution systems is necessary for safe and efficient excavations while avoiding incidents with unknown or unrecorded previous works.

The project will identify standards, models, symbols, process, procedure, surveying methods, etc., and do the necessary surveying and collection of attribute information to create dig-safe

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geographic information system (GIS) maps for utility distribution systems on the Storrs Campus. Program Elements consist of AE consulting services, development and documentation of standards, process mapping, development of procedures, surveying of above and below ground features, collection of attribute information, and creation of GIS maps using Environmental Systems Research Institute (ESRI) software. This work will inform all future projects and facilitate more agile planning of utility infrastructure for new construction, upgrades, and repairs to these crucial distribution networks.

Work will commence in 2016-Q2 with completion expected in 2016-Q4. The project has five tasks:

- Task 1 Survey of Above Ground Feature:
- Task 2 Survey of Below Ground Feature:
- Task 3 Collection of Attribute Information for Above Ground Features
- Task 4 Collection of Attribute Information for Below Ground Features
- Task 5 Validation and Verification of the Information

The Final Budget is based on proposals received and evaluated for conformance with the project scope.

The University requests a waiver of the three stage budget approval in order to allow the mapping to proceed immediately.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UTILITY INFRASTRUCTURE GIS MAPPING


| | APPROVED PLANNING 7/9/2015 | PROPOSED FINAL 3/30/2016 |
|--|---|---|
| <u>BUDGETED EXPENDITURES</u> | <u>SARCC</u> | |
| CONSTRUCTION | \$ 160,000 | \$ 2,929,206 |
| DESIGN SERVICES | - | - |
| TELECOMMUNICATIONS | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - |
| CONSTRUCTION ADMINISTRATION | - | - |
| OTHER AE SERVICES (including Project Management) | 6,000 | 97,500 |
| ART | - | - |
| RELOCATION | - | - |
| ENVIRONMENTAL | - | 20,000 |
| INSURANCE AND LEGAL | - | 5,000 |
| MISCELLANEOUS | - | 29,268 |
| OTHER SOFT COSTS | - | - |
| SUBTOTAL | \$ 166,000 | \$ 3,080,974 |
| PROJECT CONTINGENCY | 34,000 | 155,026 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 200,000</u> | <u>\$ 3,236,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | | |
| UConn 2000 PHASE III - DM | <u>\$ 200,000</u> | <u>\$ 3,236,000</u> |
| TOTAL BUDGETED FUNDING | <u>\$ 200,000</u> | <u>\$ 3,236,000</u> |


ATTACHMENT 12

March 30, 2016

Office of the Executive Vice President for
Administration and Chief Financial Officer
Scott A. Jordan
Executive Vice President
for Administration
and Chief Financial Officer

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Gampel Pavilion Dome Ceiling and Roof Repair
(Design: \$10,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$10,000,000 for the Gampel Pavilion Dome Ceiling and Roof Repair for design and construction.

BACKGROUND:

The Gampel Pavilion was constructed in 1990. The construction of the roof dome was fairly innovative for its time, and included a triangular network of metal clad panels with a fabric-wrapped insulated cover on the interior. As the building has aged, the fabric wrap on the interior of the panels has started to degrade, tear and flake due to temperature variations in the space and high-density light exposure. As a result of this condition, the silver insulation lining is now exposed to view, and has now become more prevalent (particularly on the lower third of the dome). In addition, the sealant on the exterior of the dome has started to fail in some locations and water leaks have become more common.

A study of the building was conducted by an independent engineering company to evaluate the panels and propose corrective measures for both the interior and exterior of the panels. The recommendation for the interior is to remove the fabric and insulation, recover the panel, and replace it on a section by section basis. The scope of work also includes installing a new code-compliant tie-off and access system on the roof and resealing the entire exterior panel system.

In order to extend the life of the facility for another 10 to 15 years, the project will also investigate repairing other high priority aging conditions of the building, including: upgrading non-code compliant electric panels under the seating area, modernizing the satellite hook-up system, and repairing or replacing the retractable sideline seating.

The Gampel Pavilion Dome Ceiling and Roof Repair project is currently in the Design Phase. Design began in fall of 2015 and construction documents will be bid in April 2016. Construction is anticipated to begin in May 2016 and to be complete in October 2016.

The Design Budget is based on an independent third party cost estimator who prepared a construction budget estimate.

The anticipated total project budget will be in the range of \$10,000,000.

The Design Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: GAMPEL PAVILION DOME CEILING AND ROOF REPAIR

| | APPROVED PLANNING 9/6/2014 | APPROVED REVISED PLANNING 4/29/2015 | PROPOSED DESIGN 3/30/2016 |
|--|---|--|--|
| <u>BUDGETED EXPENDITURES</u> | | | |
| | SARCC | | |
| CONSTRUCTION | \$ - | \$ 7,500,000 | \$ 7,920,000 |
| DESIGN SERVICES | 87,000 | 755,000 | 700,000 |
| TELECOMMUNICATIONS | - | 300,000 | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | 5,000 | - |
| CONSTRUCTION ADMINISTRATION | - | 25,000 | 175,000 |
| OTHER AE SERVICES (including Project Management) | 3,000 | 375,000 | 365,000 |
| ART | - | - | - |
| RELOCATION | - | - | - |
| ENVIRONMENTAL | - | 20,000 | 10,000 |
| INSURANCE AND LEGAL | - | 10,000 | 7,000 |
| MISCELLANEOUS | - | 10,000 | 23,000 |
| OTHER SOFT COSTS | - | - | - |
| SUBTOTAL | \$ 90,000 | \$ 9,000,000 | \$ 9,200,000 |
| PROJECT CONTINGENCY | 10,000 | 1,000,000 | 800,000 |
| TOTAL BUDGETED EXPENDITURES | \$ 100,000 | \$ 10,000,000 | \$ 10,000,000 |
| <u>SOURCE(S) OF FUNDING</u> | | | |
| UCONN 2000 PHASE III - DM | \$ 100,000 | \$ 10,000,000 | \$ 10,000,000 |
| TOTAL BUDGETED FUNDING | \$ 100,000 | \$ 10,000,000 | \$ 10,000,000 |

Gampel Dome Ceiling and Roof Repair Project Budget (DESIGN) March 30, 2016



Gampel Dome




Gampel Ceiling

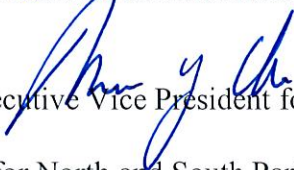
ATTACHMENT 13



March 30, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for North and South Parking Garage Safety Screen
(Design: \$800,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$800,000 for the North and South Parking Garage Safety Screen for design and construction.

BACKGROUND:

The roof parapets of the North and South Parking Garages require security around the entire perimeter of both buildings. In order to provide proper protection, approximately 1,900 linear feet of safety screening will be added to the existing parapet walls around the upper levels of both garages. A portion of the new safety screening at both garages will include a gate for snow removal operations.

The North and South Parking Garage Safety Screen Project is currently in the Design Phase. Planning began in October of 2015 and design documents will be bid in April 2016. Construction is anticipated to begin in May 2016 and be complete in August 2016.

The Design Budget is based on the consultant who prepared an opinion of probable cost estimate.

The Design Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

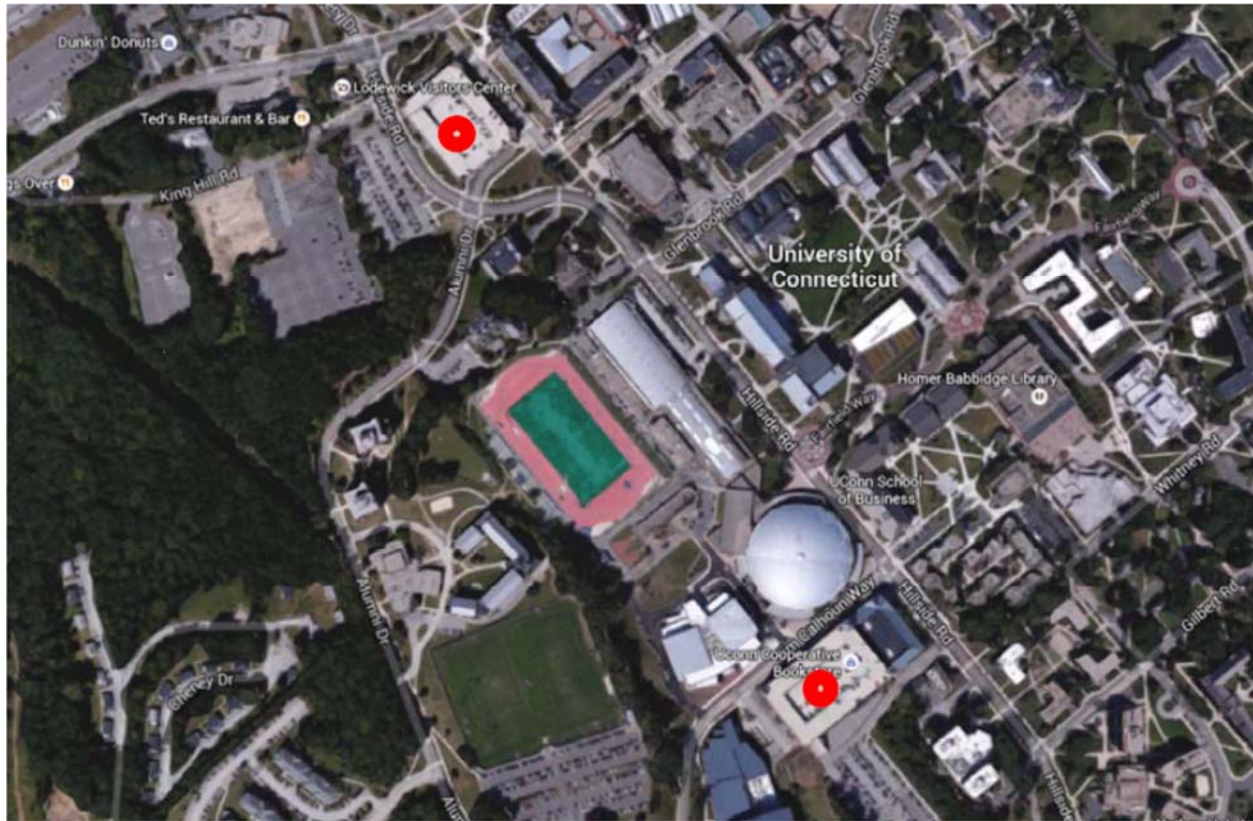
PROJECT NAME: NORTH AND SOUTH PARKING GARAGE - SAFETY SCREEN

| | APPROVED PLANNING 4/6/2015 | PROPOSED DESIGN 3/30/2016 |
|--|---|--|
| <u>BUDGETED EXPENDITURES</u> | | |
| | PRC | |
| CONSTRUCTION | \$ - | \$ 623,000 |
| DESIGN SERVICES | 78,300 | 55,000 |
| TELECOMMUNICATIONS | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - |
| CONSTRUCTION ADMINISTRATION | - | - |
| OTHER AE SERVICES (including Project Management) | 2,700 | 32,500 |
| ART | - | - |
| RELOCATION | - | - |
| ENVIRONMENTAL | - | - |
| INSURANCE AND LEGAL | - | 5,500 |
| MISCELLANEOUS | - | 4,000 |
| OTHER SOFT COSTS | - | - |
| SUBTOTAL | \$ 81,000 | \$ 720,000 |
| PROJECT CONTINGENCY | 9,000 | 80,000 |
| TOTAL BUDGETED EXPENDITURES | \$ 90,000 | \$ 800,000 |
| <u>SOURCE(S) OF FUNDING</u> | | |
| UCONN 2000 PHASE III - DM | 90,000 | 800,000 |
| TOTAL BUDGETED FUNDING | \$ 90,000 | \$ 800,000 |

NORTH AND SOUTH PARKING GARAGE - SAFETY SCREEN

Project Budget – (DESIGN)

MARCH 30, 2016



Location Plan of North and South Garage




Typical Perspective View of Safety Screening on North Garage


ATTACHMENT 14

UConn HEALTH

March 30, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA 
Executive Vice President for Health Affairs

Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Elevator 24 & 25 Sill Repairs (Planning: \$600,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$600,000 for the UConn Health (UCH) Elevator 24 & 25 Sill Repairs.

BACKGROUND:

Elevators 24 and 25 are the service elevators for the Main Building and existing Hospital. Due to the amount of heavy traffic these service elevators receive the sills at each floor opening have become damaged. An initial assessment study determined the existing sills have started to fail structurally and need to be replaced. The sill replacement would require the shutdown of the service elevators. Due to the impact the shutting down of these elevators would have on the Hospital operations this work has been postponed until the new University Tower service elevators are brought on-line.

UCH plans to begin the design work as soon as possible and complete the project in early 2017.

The Planning Budget is attached for your consideration. The Planning Budget is based upon conceptual estimates and may change based upon the actual design work. With your approval the project will move forward with design. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 14, 2016.

Attachment

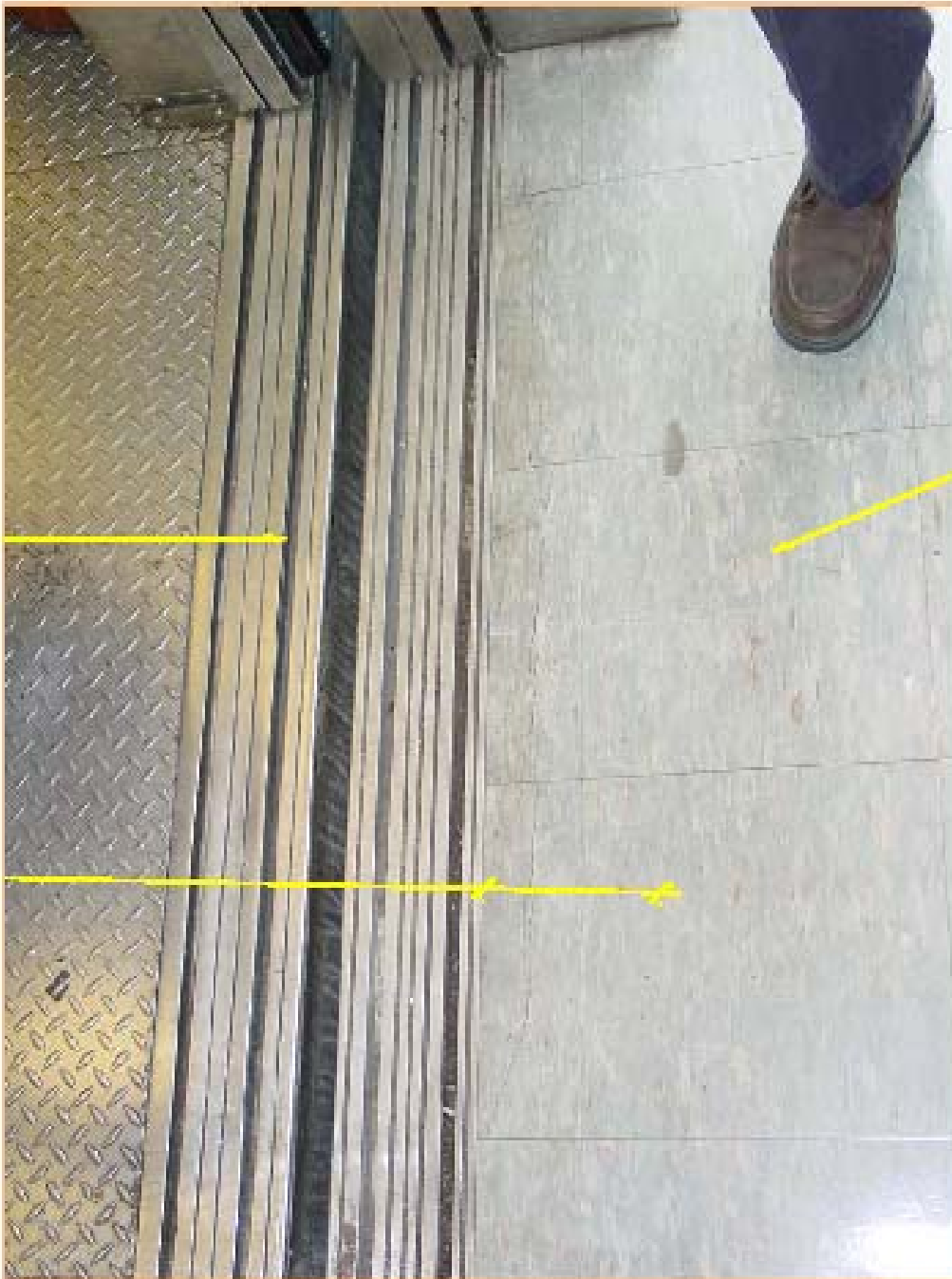
CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCONN HEALTH - ELEVATOR 24 & 25 SILL REPAIRS

| <u>BUDGETED EXPENDITURES</u> | <u>PROPOSED PLANNING 3/30/2016</u> |
|--|---|
| CONSTRUCTION | \$ 489,000 |
| DESIGN SERVICES | 35,000 |
| TELECOMMUNICATIONS | 0 |
| FURNITURE, FIXTURES AND EQUIPMENT | 0 |
| CONSTRUCTION ADMINISTRATION | 0 |
| OTHER AE SERVICES (including Project Management) | 13,000 |
| ART | 0 |
| RELOCATION | 0 |
| ENVIRONMENTAL | 0 |
| INSURANCE AND LEGAL | 5,000 |
| MISCELLANEOUS | 3,000 |
| SUBTOTAL | \$ 545,000 |
| PROJECT CONTINGENCY | 55,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 600,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | |
| UCONN 2000 PHASE III DM | \$ 600,000 |
| TOTAL BUDGETED FUNDING | <u>\$ 600,000</u> |

UCONN HEALTH ELEVATOR 24 & 25
SILL REPAIRS
Project Budget (PLANNING)
March 30, 2016




View of Elevator Sill

ATTACHMENT 15

UConn HEALTH

March 30, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA 
Executive Vice President for Health Affairs

Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Elevator 27/28 Modernization (Planning:
\$655,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$655,000 for the UConn Health (UCH) Elevator 27/28 Modernization.

BACKGROUND:

Elevators 27 and 28 serve the Academic Research Building. A condition assessment report recommends various improvements to modernize the 20 year old elevators including replacing the hoist-way and cab components and motor controls. Modernization makes the elevators safer, more efficient and more attractive.

UCH plans to begin the design work as soon as possible and complete the project in early 2017.

The Planning Budget is attached for your consideration. The Planning Budget is based upon conceptual estimates and may change based upon the actual design work. With your approval the project will move forward with design. This Planning Budget is anticipated to be approved by the UConn Board of Directors at their meeting on March 14, 2016.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

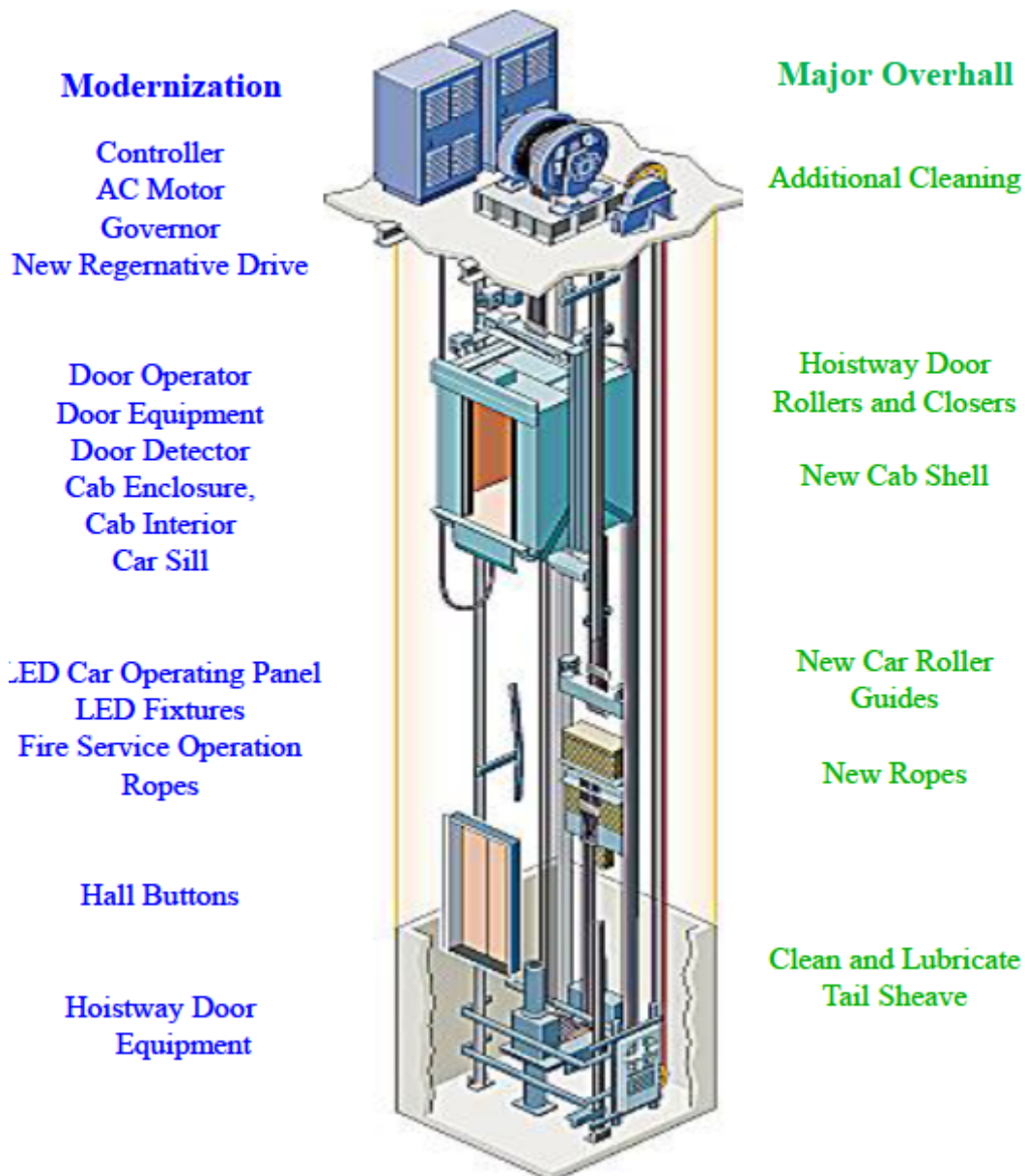
TYPE BUDGET: PLANNING

PROJECT NAME: UCONN HEALTH - ELEVATOR 27/28 MODERNIZATION

| <u>BUDGETED EXPENDITURES</u> | <u>PROPOSED PLANNING 3/30/2016</u> |
|--|---|
| CONSTRUCTION | \$ 525,000 |
| DESIGN SERVICES | 63,000 |
| TELECOMMUNICATIONS | 4,000 |
| FURNITURE, FIXTURES AND EQUIPMENT | - |
| CONSTRUCTION ADMINISTRATION | - |
| OTHER AE SERVICES (including Project Management) | - |
| ART | - |
| RELOCATION | - |
| ENVIRONMENTAL | - |
| INSURANCE AND LEGAL | - |
| MISCELLANEOUS | 3,000 |
| OTHER SOFT COSTS | - |
| SUBTOTAL | \$ 595,000 |
| PROJECT CONTINGENCY | 60,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 655,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | |
| UCONN 2000 PHASE III - DM | <u>\$ 655,000</u> |
| TOTAL BUDGETED FUNDING | <u>\$ 655,000</u> |

UConn HEALTH
ELEVATOR 27 & 28 MODERNIZATION
Project Budget (PLANNING)
March 30, 2016

UConn Health Center
Elevators 27 and 28
OTIS ELEVATOR



ATTACHMENT 16

UConn HEALTH

March 30, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA 
Executive Vice President for Health Affairs

Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health H Building Sealant Replacement (Planning:
\$850,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$850,000 for the UConn Health (UCH) H Building Sealant Replacement Project.

BACKGROUND:

The existing Hospital Tower, H Building, is the only portion of the Main Building that has not had the 25 year old exterior sealant replaced. The sealant is located at the joints in the exterior pre-cast concrete panels that are the façade of the building and is failing in various locations. The work on this section of the Main Building was not done when the bulk of the Main Building was done in 2014 due to the logistics of the construction related to the new University Tower.

UCH plans to begin the design work as soon as possible and complete the project by the end of the calendar year.

The Planning Budget is attached for your consideration. The Planning Budget is based upon conceptual estimates and may change based upon the actual design work. With your approval the project will move forward with design. This Planning budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 14, 2016.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCONN HEALTH - H BUILDING SEALANT REPLACEMENT

| <u>BUDGETED EXPENDITURES</u> | <u>PROPOSED PLANNING 3/30/2016</u> |
|--|---|
| CONSTRUCTION | \$ 733,000 |
| DESIGN SERVICES | 32,000 |
| TELECOMMUNICATIONS | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - |
| CONSTRUCTION ADMINISTRATION | - |
| OTHER AE SERVICES (including Project Management) | - |
| ART | - |
| RELOCATION | - |
| ENVIRONMENTAL | 5,000 |
| INSURANCE AND LEGAL | - |
| MISCELLANEOUS | 2,000 |
| OTHER SOFT COSTS | - |
| SUBTOTAL | \$ 772,000 |
| PROJECT CONTINGENCY | 78,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 850,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | |
| UCONN 2000 PHASE III - DM | <u>\$ 850,000</u> |
| TOTAL BUDGETED FUNDING | <u>\$ 850,000</u> |

UCONN HEALTH
H BUILDING
SEALANT REPLACEMENT
Project Budget (PLANNING)
March 30, 2016



View of H Building Exterior Sealant Joints

ATTACHMENT 17

UConn HEALTH

March 30, 2016

TO: Members of Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs



Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health 195 Farmington Avenue; Parking Lot
Pavement Removal and Replacement (Final: \$763,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$763,000 for the UConn Health (UCH) 195 Farmington Avenue; Parking Lot Pavement Removal and Replacement Project.

BACKGROUND:

UCH purchased a 44,000 square foot office building located at 195 Farmington Avenue in January 2013. As part of the due diligence for the property purchase a Facilities Conditions Assessment (FCA) was completed by consulting engineers. The FCA identified building and site conditions that UCH would need to address including deferred maintenance items related to the parking lot.

UCH is planning on coordinating the repairs and replacement of the parking lot with the installation of water lines under the UCH Water Infrastructure Improvements project that will occur during the Summer of 2016.

UCH plans to bid this work in an expedited manner in order to have the parking lot work started in early summer. Therefore, we are requesting a waiver for the Design Budget phase and requesting approval of the Final Budget to allow bidding and award of the contract to proceed as quickly as possible.

The Final Budget is attached for your consideration and upon approval will allow this project to proceed into construction. This Final budget is anticipated to be approved by the UConn Health Board of Directors at their Board of Directors meeting on March 14, 2016.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: UCH - RENOVATE & REPAVE 195 FARMINGTON AVENUE
PARKING LOT**

| | APPROVED PLANNING 12/16/2015 | PROPOSED FINAL 3/30/2016 |
|--|---|---|
| <u>BUDGETED EXPENDITURES</u> | | |
| CONSTRUCTION | \$ 610,000 | \$ 615,000 |
| DESIGN SERVICES | 68,000 | 60,000 |
| TELECOMMUNICATIONS | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - |
| CONSTRUCTION ADMINISTRATION | - | - |
| OTHER AE SERVICES (including Project Management) | 15,000 | 15,000 |
| ART | - | - |
| RELOCATION | - | - |
| ENVIRONMENTAL | - | - |
| INSURANCE AND LEGAL | - | - |
| MISCELLANEOUS | - | 3,000 |
| OTHER SOFT COSTS | - | - |
| SUBTOTAL | \$ 693,000 | \$ 693,000 |
| PROJECT CONTINGENCY | 70,000 | 70,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 763,000</u> | <u>\$ 763,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | | |
| UCONN 2000 PHASE III - DM | \$ 763,000 | \$ 763,000 |
| TOTAL BUDGETED FUNDING | <u>\$ 763,000</u> | <u>\$ 763,000</u> |

UCONN HEALTH
195 FARMINGTON AVENUE
PARKING LOT PAVEMENT REMOVAL AND REPLACEMENT
Project Budget (Final)




Partial View of 195 Farmington Avenue Parking Lot


ATTACHMENT 18

UConn HEALTH

March 30, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA 
Executive Vice President for Health Affairs

Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Electronic Medical Records Project
Team Space Fit-Out – New Hospital Tower 7th floor (Final: \$1,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,500,000 for the UCH Electronic Medical Records Project Team Space Fit-Out – New Hospital Tower 7th floor.

BACKGROUND:

UConn Health (UCH) is implementing a new Electronic Medical Records (EMR) system. Based on the preliminary work plan the project will take approximately 4 years to complete and require a workforce of 120 people. Approximately 12,000 sf of Office space is required for the EMR Administrative and Training teams. UCH plans on fitting -out 12,000 sf of the shell space on the 7th floor of the new Hospital Tower into temporary office space for the EMR Administrative and Training team. The level of construction finishes is to be minimal and refurbished workstations and furniture will be utilized, all in an effort to reduce costs.

UCH plans to bid this work in an expedited manner in order to have the space ready for the EMR team in July. Therefore, we are requesting a waiver for the Design Budget phase and requesting approval of the Final Budget to allow bidding and award of the contract to proceed as quickly as possible.

The Final Budget is attached for your consideration and upon approval will allow this project to proceed into construction. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their Board of Directors meeting on March 14, 2016.

Attachment

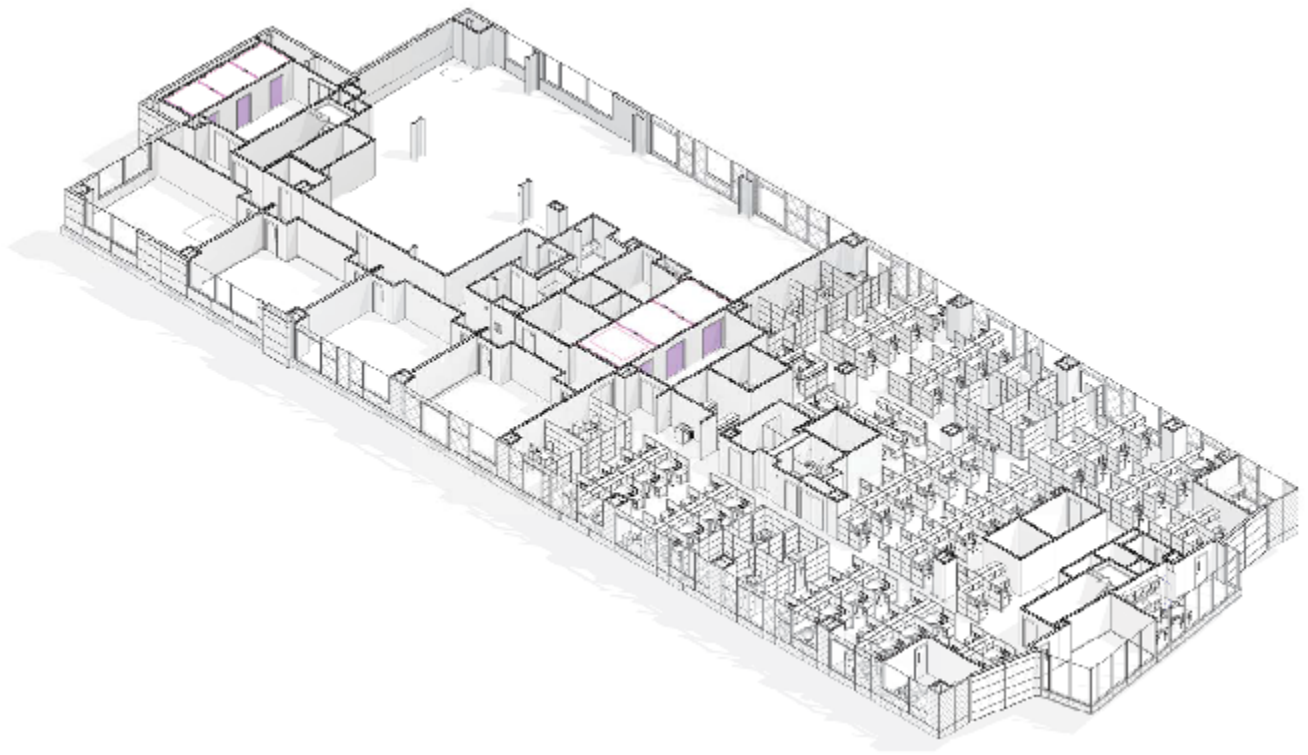
CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: UCH - EMR PROJECT TEAM SPACE (NEW HOSPITAL TOWER
7TH FLOOR)**

| | APPROVED PLANNING 12/16/2015 | PROPOSED FINAL 3/30/2016 |
|--|---|---|
| <u>BUDGETED EXPENDITURES</u> | | |
| CONSTRUCTION | \$ 984,000 | \$ 1,000,000 |
| DESIGN SERVICES | 109,000 | 98,000 |
| TELECOMMUNICATIONS | 191,000 | 24,000 |
| FURNITURE, FIXTURES AND EQUIPMENT | 66,000 | 226,000 |
| CONSTRUCTION ADMINISTRATION | - | - |
| OTHER AE SERVICES (including Project Management) | - | - |
| ART | - | - |
| RELOCATION | - | 2,000 |
| ENVIRONMENTAL | 2,000 | 2,000 |
| INSURANCE AND LEGAL | 5,000 | 5,000 |
| MISCELLANEOUS | 6,000 | 6,000 |
| OTHER SOFT COSTS | - | - |
| SUBTOTAL | \$ 1,363,000 | \$ 1,363,000 |
| PROJECT CONTINGENCY | 137,000 | 137,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 1,500,000</u> | <u>\$ 1,500,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | | |
| UCONN HEALTH CAPITAL FUNDS | <u>\$ 1,500,000</u> | <u>\$ 1,500,000</u> |
| TOTAL BUDGETED FUNDING | <u>\$ 1,500,000</u> | <u>\$ 1,500,000</u> |

UCONN HEALTH
ELECTRONIC MEDICAL RECORDS
PROJECT TEAM SPACE FIT-OUT
NEW HOSPITAL TOWER 7TH FLOOR
Project Budget (FINAL)
March 30, 2016



7TH FLOOR FIT-OUT AXONOMETRIC VIEW

ATTACHMENT 19

UConn HEALTH

March 30, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs



Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Munson Road Roof Replacement (Final:
\$5,050,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$ 5,050,000 for the UConn Health (UCH) 16 Munson Road Roof Replacement.

BACKGROUND:

The Munson Road building is a precast concrete structure that was constructed in 1971 and purchased by UConn Health around 2004. The building has 5 levels that step down a sloped site with multiple roofs and plazas containing planters and reflection pools. The condition of the existing original plaza waterproofing and roofing has deteriorated beyond the scope of normal maintenance repairs and requires a full replacement.

UCH plans to bid this work in order to have the roof replacement start in the summer of 2016. Therefore, we are requesting a waiver for the Design Budget phase and requesting approval of the Final Budget to allow bidding and award of the contract to proceed as quickly as possible.

The Final Budget is attached for your consideration and upon approval will allow this project to proceed into construction. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their Board of Directors meeting on March 14, 2016.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN HEALTH - MUNSON ROAD ROOF REPLACEMENT

| | APPROVED PLANNING 12/16/2015 | PROPOSED FINAL 3/30/2016 |
|--|---|---|
| <u>BUDGETED EXPENDITURES</u> | | |
| CONSTRUCTION | \$ 4,275,000 | \$ 4,275,000 |
| DESIGN SERVICES | 277,000 | 277,000 |
| TELECOMMUNICATIONS | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - |
| CONSTRUCTION ADMINISTRATION | - | - |
| OTHER AE SERVICES (including Project Management) | - | - |
| ART | - | - |
| RELOCATION | - | - |
| ENVIRONMENTAL | 30,000 | 30,000 |
| INSURANCE AND LEGAL | 5,000 | 5,000 |
| MISCELLANEOUS | 4,000 | 4,000 |
| OTHER SOFT COSTS | - | - |
| SUBTOTAL | \$ 4,591,000 | \$ 4,591,000 |
| PROJECT CONTINGENCY | 459,000 | 459,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 5,050,000</u> | <u>\$ 5,050,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | | |
| UCONN 2000 PHASE III - DM | \$ 5,050,000 | \$ 5,050,000 |
| TOTAL BUDGETED FUNDING | <u>\$ 5,050,000</u> | <u>\$ 5,050,000</u> |

UCONN HEALTH
MUNSON ROAD ROOF REPLACEMENT
Project Budget (FINAL)
March 30, 2016



View of Munson Road Plazas

ATTACHMENT 20

UConn HEALTH

March 30, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs



Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health New Boiler and Deaerator Tank
(DA) Repairs (Final: \$2,360,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$2,360,000 for the New Boiler and Deaerator (DA) Tank Repairs.

BACKGROUND:

The Main Building mechanical system includes a central boiler plant in the basement of the Academic Research Building (ARB). Constructed in 1996, the boiler plant provides hot water for heating and steam generation. The plant serves the entire Main Building including the Hospital, clinical, research, and education space.

As part of the Bioscience Connecticut initiative, Main Building Lab renovations, one backup boiler in a separate location from the main boiler plan will be removed. This project will replace that boiler with a new boiler in the central plant.

Also included in this project is the repair of the Deaerator tank system that serves the entire boiler plant. Components of the Deaerator tank system such as aerators, pumps, water polishers, valves and filters, have corroded and are past their useful life. It is critical to replace and repair these components to insure the overall condition of the boiler plant is maintained.

The Final Budget is attached for your consideration and upon approval will allow this project to proceed into construction. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their Board of Directors meeting on March 14, 2016.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCH - NEW BOILER AND DEAERATOR TANK REPAIRS

| | APPROVED PLANNING 6/24/2015 | APPROVED DESIGN 12/16/2015 | PROPOSED FINAL 3/30/2016 |
|--|--|---|---|
| <u>BUDGETED EXPENDITURES</u> | | | |
| CONSTRUCTION | \$ 1,650,000 | \$ 1,945,000 | \$ 1,945,000 |
| DESIGN SERVICES | 210,000 | 181,000 | 181,000 |
| TELECOMMUNICATIONS | 5,000 | 2,000 | 2,000 |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - | - |
| CONSTRUCTION ADMINISTRATION | 50,000 | - | - |
| OTHER AE SERVICES (including Project Management) | 50,000 | - | - |
| ART | - | - | - |
| RELOCATION | - | 2,000 | 2,000 |
| ENVIRONMENTAL | - | 10,000 | 10,000 |
| INSURANCE AND LEGAL | 25,000 | - | - |
| MISCELLANEOUS | 10,000 | 5,000 | 5,000 |
| SUBTOTAL | \$ 2,000,000 | \$ 2,145,000 | \$ 2,145,000 |
| PROJECT CONTINGENCY | 200,000 | 215,000 | 215,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 2,200,000</u> | <u>\$ 2,360,000</u> | <u>\$ 2,360,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | | | |
| UCONN 2000 PHASE III - DM | \$ 2,200,000 | \$ 2,360,000 | \$ 2,360,000 |
| TOTAL BUDGETED FUNDING | <u>\$ 2,200,000</u> | <u>\$ 2,360,000</u> | <u>\$ 2,360,000</u> |

UCONN HEALTH
NEW BOILER AND
DEAERATOR TANK (DA) REPAIRS
Project Budget (FINAL)
March 30, 2016



Partial View of the Central Boiler Plant

ATTACHMENT 21

UConn HEALTH

March 30, 2016

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs



Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health New Construction and Renovation – Clinic
(C) Building Renovations (Revised Final: \$92,314,604)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$92,314,604 for the UConn Health New Construction and Renovations: Clinic (C) Building Renovations.

BACKGROUND:

As part of the Bioscience Connecticut initiative UConn Health plans to renovate portions of the existing Clinic building. The C building, comprised of 345,000 gross square feet over 4 floors, is the primary entrance to the Main Building. It houses the majority of the outpatient services that are in the Main Building. The renovations under this project will focus on the Dental Teaching Clinics, the Pat and Jim Calhoun Cardiology Center, Clinical Research, and clinical space for a multi-specialty practice. In addition, various mechanical, electrical and plumbing infrastructure systems will be replaced as part of the renovations. Because this project is a partial renovation, it is not able to achieve Leadership in Energy and Environmental (LEED) silver certification and an exemption has been approved by the Building, Grounds, and Environment Sub-Committee. This project is being executed under a Project Labor Agreement.

The Draft Guaranteed Maximum Price (GMP) proposal has been received and is being processed for signature. Included in the GMP is an “alternate” in the amount of \$785,598 to replace an existing air handling unit (AHU) that serves a significant part of the building. The AHU replacement work was bid as an “alternate” and its inclusion will require additional funding. The AHU is an original unit and is passed its useful life. From a logistics perspective, doing the work as part of this project is the most expedient and cost effective approach. Therefore we are requesting a one million dollar increase to the budget to allow this work to proceed. The funds are proposed to be added to the project from Deferred Maintenance for the AHU alternate work and other miscellaneous costs associated with the project.

The Revised Final Budget is attached for your consideration and upon approval will allow this project to proceed into construction. This Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their Board of Directors meeting on March 14, 2016.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: UCH NEW CONSTRUCTION AND RENOVATION: CLINIC BUILDING ("C") RENOVATIONS

| | APPROVED PLANNING 6/28/2012 | APPROVED DESIGN 9/24/2014 | APPROVED REVISED DESIGN 6/24/2015 | APPROVED FINAL 9/30/2015 | PROPOSED REVISED FINAL 3/30/2016 |
|--|--|--|--|---|---|
| <u>BUDGETED EXPENDITURES</u> | | | | | |
| CONSTRUCTION | \$ 60,100,000 | \$ 70,721,000 | \$ 63,800,000 | \$ 64,800,000 | \$ 68,654,604 |
| DESIGN SERVICES | 6,853,000 | 6,444,000 | 7,050,000 | 7,050,000 | 7,296,000 |
| TELECOMMUNICATIONS | 3,720,000 | 990,000 | 990,000 | 990,000 | 990,000 |
| FURNITURE, FIXTURES AND EQUIPMENT | 4,082,000 | 3,462,000 | 5,298,604 | 5,298,604 | 5,288,000 |
| CONSTRUCTION ADMINISTRATION | 420,000 | 420,000 | 420,000 | 420,000 | 420,000 |
| OTHER AE SERVICES (including Project Management) | 2,122,000 | 2,970,000 | 3,190,000 | 3,190,000 | 3,115,000 |
| ART | - | - | - | - | - |
| RELOCATION | 372,000 | 176,000 | 176,000 | 176,000 | 306,000 |
| ENVIRONMENTAL | 930,000 | 1,418,000 | 1,418,000 | 1,418,000 | 120,000 |
| INSURANCE AND LEGAL | 75,000 | 945,000 | 1,695,000 | 1,695,000 | 1,705,000 |
| MISCELLANEOUS | 158,000 | 22,000 | 22,000 | 22,000 | 36,000 |
| SUBTOTAL | \$ 78,832,000 | \$ 87,568,000 | \$ 84,059,604 | \$ 85,059,604 | \$ 87,930,604 |
| PROJECT CONTINGENCY | 7,883,000 | 8,758,000 | 7,255,000 | 6,255,000 | 4,384,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 86,715,000</u> | <u>\$ 96,326,000</u> | <u>\$ 91,314,604</u> | <u>\$ 91,314,604</u> | <u>\$ 92,314,604</u> |
| <u>SOURCE(S) OF FUNDING</u> | | | | | |
| UConn 2000 PHASE III | \$ 42,716,000 | \$ 72,326,000 | \$ 86,644,604 | \$ 86,644,604 | \$ 87,644,604 |
| UConn HEALTH CAPITAL/OTHER | 43,999,000 | 24,000,000 | 4,670,000 | 4,670,000 | 4,670,000 |
| TOTAL BUDGETED FUNDING | <u>\$ 86,715,000</u> | <u>\$ 96,326,000</u> | <u>\$ 91,314,604</u> | <u>\$ 91,314,604</u> | <u>\$ 92,314,604</u> |

UCONN HEALTH
NEW CONSTRUCTION AND RENOVATION
CLINIC “C” BUILDING RENOVATION
Project Budget (REVISED FINAL)
March 30, 2016



Proposed Lobby Renovations

INFORMATIONAL ITEMS

**University of Connecticut Department of Human Resources
New Hires Processed from February 4, 2016 - March 14, 2016
Presented to the Board of Trustees for Information on Professional Employees**

| <u>NAME</u> | <u>TITLE</u> | <u>DEPARTMENT</u> | <u>DATE</u> |
|---------------------------|---|---------------------------|--------------------|
| AMAMRA, ABDELFATTAH | ASST PROF IN RES | COMPUTER SCIENCE & ENGR | 2/3/2016 |
| BANKS, MELANIE D. | U ED ASSISTANT 3 | HUMAN RESOURCES | 2/24/2016 |
| CAHILL, MICHAEL V. | UC PROFESSIONAL 07 - OPER SYS PROG/ANAL 2 | STUDENT AFF IT DEPT | 2/5/2016 |
| CAMPBELL, JOHN E. | SPECIALIST IIA - ASSISTANT COACH | ATHLETICS MENS INTERCOL | 2/8/2016 |
| CHEN, JIA JIA | UC PROFESSIONAL 05 - ADMIN SERV SPEC III | BUSINESS DEAN OFC | 2/19/2016 |
| GIMBEL, RYAN A. | UC PROFESSIONAL 04 - PROGRAM ASSISTANT II | EDUCATION DEAN OFC | 2/5/2016 |
| GRIFFIN, MONIQUE S. | UC PROFESSIONAL 04 - ADMIN SERV ASST III | BUSINESS DEAN OFC | 2/11/2016 |
| HADDAD, SCOTT E. | UC PROFESSIONAL 05 - ADMIN SERV SPEC III | POLICE SERVICES | 2/12/2016 |
| KOLLING, FREDERICK W. IV | U POST DOC FEL 1 | PHYSIOLOGY & NEUROBIOLOGY | 1/29/2016 |
| MARSHALL, AARON J. | UC PROFESSIONAL 05 - PROGRAM COORD | ISS-ACADEMIC PROG CTR | 2/19/2016 |
| MENGERS, ANNE E. | RESEARCH ASSISTANT 1 | NURSING INSTR & RES | 3/4/2016 |
| PERRY, EDWARD | VISITING INSTRUCTOR | MATHEMATICS | 1/1/2016 |
| PIERCE, DANIKA A. | UC PROFESSIONAL 03 - ADMISSIONS COUNSELOR | ADMISSIONS | 2/5/2016 |
| RENN-HAERYFAR, SARAH J. | UC PROFESSIONAL 05 - PROGRAM COORD | ISS-1ST YR PROG/LEARNING | 2/5/2016 |
| RODRIGUEZ, MICHAEL A. | UC PROFESSIONAL 05 - U LIBRARIAN I | LIBRARY VICE PROV OFC | 2/19/2016 |
| SAHOO, SANJUBALA | U POST DOC FEL 1 | MATERIALS SCIENCE INST | 3/2/2016 |
| SALEHIZADEH, SEYED MOHAMA | U POST DOC FEL 1 | BIOMEDICAL ENGINEERING | 1/22/2016 |
| SALMON, JODI | UC PROFESSIONAL 06 - BUSINESS MANAGER I | NURSING INSTR & RES | 3/4/2016 |
| SETZLER, CASSANDRA | UC PROFESSIONAL 01 - PROGRAM AIDE | WELLNESS & PREVENTION | 3/4/2016 |

University of Connecticut Department of Human Resources
Separations Processed from February 4, 2016 - March 14, 2016
Presented to the Board of Trustees for Information on Professional Employees

| <u>NAME</u> | <u>TITLE</u> | <u>SEPARATION REASON</u> | <u>DEPT</u> | <u>DATE</u> |
|--------------------------|---|---------------------------------|-------------|-------------|
| ABRAHAMSSON, BENJAMIN K. | RESEARCH ASSISTANT 1 | RESIGNATION (GOOD STANDING) | NURS | 3/15/2016 |
| BEZRUKOV, FEDOR | ASSISTANT PROFESSOR | RESIGNATION (GOOD STANDING) | PHYS | 2/22/2016 |
| BISHOP, MICHAEL M. | U POST DOC FEL 1 | RESIGNATION (GOOD STANDING) | PHYS | 2/19/2016 |
| BRITTON, KIRSTEN D. | UC PROFESSIONAL 07 - BUSINESS MANAGER II | RESIGNATION (GOOD STANDING) | ATH | 2/5/2016 |
| CHISTYAKOVA, MARINA | RESEARCH ASSOCIATE 1 | RESIGNATION (GOOD STANDING) | PSYC | 3/3/2016 |
| COUTURE, CHARLES E. | UC PROFESSIONAL 08 - ASST DIR-DINING SVCS | RESIGNATION (GOOD STANDING) | FOOD | 2/26/2016 |
| DEFRANCO, FRANCINE M. | U STAFF PROF III | RETIREMENT (25+ YRS SERVICE) | LIB | 2/29/2016 |
| DOBB, MOLLY K. | RESEARCH ASSISTANT 2 | RESIGNATION (GOOD STANDING) | PATH | 2/18/2016 |
| EASTON, JACQUELINE E. | U POST DOC FEL 1 | RESIGNATION (GOOD STANDING) | IMS | 2/5/2016 |
| FARRELL, ANNE F. | ASSOCIATE PROFESSOR - DIRECTOR | RESIGNATION (GOOD STANDING) | HDFS | 2/22/2016 |
| FEHR, ELIZABETH S. | UC PROFESSIONAL 05 - ACADEMIC ADVISOR I | RESIGNATION (GOOD STANDING) | POLS | 2/18/2016 |
| GARFINKEL, ROBERT S. | PROFESSOR | RETIREMENT (25+ YRS SERVICE) | OPIM | 2/29/2016 |
| GNODTKE, DOUGLAS R. | U STAFF PROF IV | RESIGNATION (GOOD STANDING) | ATH | 3/11/2016 |
| HEFFERNAN, ROBERT | VISITING ASST PROF | RESIGNATION (GOOD STANDING) | MATH | 2/22/2016 |
| LAETSCH, THOMAS | ASST PROF IN RES | RESIGNATION (GOOD STANDING) | MATH | 2/22/2016 |
| LOTRECK, DAVE | UC PROFESSIONAL 10 - FACILITIES PROF IV | RETIREMENT (55/W 10-24 YEARS) | FACIL | 2/29/2016 |
| MACKENZIE, MICHAEL C. | VISITING ASST PROF | RESIGNATION (GOOD STANDING) | MATH | 2/22/2016 |
| MANUEL, WARDE J. | U DIRECTOR | RESIGNATION (GOOD STANDING) | ATH | 3/11/2016 |
| MIELCZAREK, DEBRA J. | UC PROFESSIONAL 06 - BUSINESS MANAGER I | DEATH (NON-EMPLOYMENT RELATED) | CSE | 1/25/2016 |
| MOLAPO-QUARSHIE, THAKANE | UC PROFESSIONAL 06 - GRANTS/CONTR SPEC I | RETIREMENT (55/W 10-24 YEARS) | PSYC | 2/29/2016 |
| NEWMAN, BENJAMIN J. | ASSISTANT PROFESSOR | RESIGNATION (GOOD STANDING) | RG CMP | 2/22/2016 |
| NOH, KYUNG-IM | ACAD ASSISTANT III | RESIGNATION (GOOD STANDING) | OIRE | 2/12/2016 |
| O'CONNELL, MEGHAN L. | RESEARCH ASSISTANT 3 | RESIGNATION (GOOD STANDING) | CHIP | 2/5/2016 |
| RAGHURAMAN, THULASI | ASST VICE PRESIDENT - ASST VICE PROVOST | RESIGNATION (GOOD STANDING) | OIRE | 2/12/2016 |
| RITTENHOUSE, CHADWICK D. | VISITING ASST PROF | RESIGNATION (GOOD STANDING) | NR&E | 2/22/2016 |
| SHERMAN, SANDRA J. | UC PROFESSIONAL 07 - ADMIN MANAGER II | RETIREMENT (DISABILITY: NON-SER | CLAS | 2/29/2016 |
| SHIPLEY, BRENDA L. | RESEARCH ASSISTANT 3 | RESIGNATION (GOOD STANDING) | NURS | 3/11/2016 |
| STELLER, KENNETH | UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR | RETIREMENT (55/W 10-24 YEARS) | SW | 2/29/2016 |
| TROMBLEY, JANET Y. | UC PROFESSIONAL 05 - ANIMAL CARE SPEC | RESIGNATION (GOOD STANDING) | OAC | 2/26/2016 |
| WALLACH, NANCY | ASST VICE PRESIDENT | RETIREMENT (55/W 10-24 YEARS) | VPRES | 2/29/2016 |

**University of Connecticut Department of Human Resources
 Separations Processed from February 4, 2016 - March 14, 2016
 Presented to the Board of Trustees for Information on Professional Employees**

| <u>NAME</u> | <u>TITLE</u> | <u>SEPARATION REASON</u> | <u>DEPT</u> | <u>DATE</u> |
|--------------------|------------------------------------|---------------------------------|--------------------|--------------------|
| WANG, ZHAOYUAN | U POST DOC FEL 1 | RESIGNATION (GOOD STANDING) | PNB | 2/29/2016 |
| WHITEHEAD, AMANDA | UC PROFESSIONAL 05 - PROGRAM COORD | RESIGNATION (GOOD STANDING) | EVENTS | 2/28/2016 |
| ZHANG, XI | U POST DOC FEL 1 | RESIGNATION (GOOD STANDING) | PNB | 2/12/2016 |

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 3/10/16
Presented to the Board of Trustees for Information on Professional Employees

| NAME | TITLE | DEPARTMENT | DATES | | REASON FOR LEAVE | PAY STATUS |
|-------------------|------------------------------------|----------------------------------|---------|---------|------------------|------------|
| | | | START | END | | |
| Addesso, Nanette | Univ. Library Assistant II (UCP V) | Library Regional Campuses | 1/22/16 | 1/29/16 | Medical Leave | Paid |
| Addesso, Nanette | Univ. Library Assistant II (UCP V) | Library Regional Campuses | 2/2/16 | 2/4/16 | Medical Leave | Paid |
| Addesso, Nanette | Univ. Library Assistant II (UCP V) | Library Regional Campuses | 2/9/16 | 2/11/16 | Medical Leave | Paid |
| Addesso, Nanette | Univ. Library Assistant II (UCP V) | Library Regional Campuses | 2/16/16 | 2/18/16 | Medical Leave | Paid |
| Alexander, Rae | Program Director (UCP VIII) | Intl. Student & Scholar Services | 1/26/16 | 2/18/16 | Maternity Leave | Paid |
| Biron, Alexa | Writer/Editor (UCP IV) | University Communications | 1/28/16 | 1/28/16 | Medical Leave | Paid |
| Bloom, Charles | Dept. Head Student Affairs | Student Affairs IT | 1/22/16 | 1/22/16 | Medical Leave | Paid |
| Bolduc, Donald | Facilities Prof. II (UCP VII) | Academic Renovations Oper. | 1/22/16 | 1/26/16 | Medical Leave | Paid |
| Bolduc, Donald | Facilities Prof. II (UCP VII) | Academic Renovations Oper. | 1/28/16 | 1/28/16 | Medical Leave | Paid |
| Bolduc, Donald | Facilities Prof. II (UCP VII) | Academic Renovations Oper. | 2/2/16 | 2/2/16 | Medical Leave | Paid |
| Bolduc, Donald | Facilities Prof. II (UCP VII) | Academic Renovations Oper. | 2/4/16 | 2/4/16 | Medical Leave | Paid |
| Bolduc, Donald | Facilities Prof. II (UCP VII) | Academic Renovations Oper. | 2/16/16 | 2/16/16 | Medical Leave | Paid |
| Bolduc, Donald | Facilities Prof. II (UCP VII) | Academic Renovations Oper. | 2/18/16 | 2/18/16 | Medical Leave | Paid |
| Bone, Donna | Business Manager II (UCP VII) | Curriculum & Instruction Dept. | 1/27/16 | 1/27/16 | Medical Leave | Paid |
| Bone, Donna | Business Manager II (UCP VII) | Curriculum & Instruction Dept. | 2/2/16 | 2/2/16 | Medical Leave | Paid |
| Bretthauer, David | Univ. Librarian IV (UCP X) | Library Vice Provost's Office | 2/3/16 | 2/3/16 | Medical Leave | Paid |
| Bretthauer, David | Univ. Librarian IV (UCP X) | Library Vice Provost's Office | 2/16/16 | 2/16/16 | Medical Leave | Paid |
| Cao, Qing | Associate Professor | Management | 8/23/15 | 2/22/16 | Medical Leave | Paid |
| Cao, Qing | Associate Professor | Management | 2/23/16 | 4/30/16 | ADA Leave | Unpaid |
| Chenette, Melanie | Program Specialist I (UCP VI) | VP Grad. Educ. & Dean | 1/22/16 | 1/29/16 | Medical Leave | Paid |

| NAME | TITLE | DEPARTMENT | DATES | | REASON FOR LEAVE | PAY STATUS |
|-------------------|---------------------------------------|-------------------------------|---------|---------|------------------|------------|
| | | | START | END | | |
| Chudnow, David | Sr. Comp. Prog./Analyst (UCP IX) | Enterprise Admin. System | 1/22/16 | 2/11/16 | Medical Leave | Paid |
| Chudnow, David | Sr. Comp. Prog./Analyst (UCP IX) | Enterprise Admin. System | 2/16/16 | 2/18/16 | Medical Leave | Paid |
| Courchesne, Amy | EH&S Manager (UCP X) | Environmental Health & Safety | 1/26/16 | 1/26/16 | Medical Leave | Paid |
| Courchesne, Amy | EH&S Manager (UCP X) | Environmental Health & Safety | 1/28/16 | 2/1/16 | Medical Leave | Paid |
| Courchesne, Amy | EH&S Manager (UCP X) | Environmental Health & Safety | 2/4/16 | 2/4/16 | Medical Leave | Paid |
| Courchesne, Amy | EH&S Manager (UCP X) | Environmental Health & Safety | 2/16/16 | 2/16/16 | Medical Leave | Paid |
| Courchesne, Amy | EH&S Manager (UCP X) | Environmental Health & Safety | 2/18/16 | 2/18/16 | Medical Leave | Paid |
| Desjardins, Karla | Payroll Supervisor (UCP VII) | Payroll Office | 2/2/16 | 2/2/16 | Medical Leave | Paid |
| Desjardins, Karla | Payroll Supervisor (UCP VII) | Payroll Office | 2/11/16 | 2/11/16 | Medical Leave | Paid |
| Desjardins, Karla | Payroll Supervisor (UCP VII) | Payroll Office | 2/16/16 | 2/16/16 | Medical Leave | Paid |
| Dominguez, Teresa | Director (USP III) | Environmental Health & Safety | 1/22/16 | 2/11/16 | Medical Leave | Paid |
| Dominguez, Teresa | Director (USP III) | Environmental Health & Safety | 2/16/16 | 2/18/16 | Medical Leave | Paid |
| Donati, Joanne | Admin. Services Asst. III (UCP IV) | Social Work Dean's Office | 1/28/16 | 2/4/16 | Medical Leave | Paid |
| Enderle, Laurie | Admin. Manager II (UCP VII) | Administration | 2/18/16 | 2/18/16 | Medical Leave | Paid |
| Enderle, Paula | Univ. Ed. Assistant 2 | Human Resources | 1/26/16 | 1/26/16 | Medical Leave | Paid |
| Filek, Lois | OSD Cons. & Proj. Mgr. (UCP IX) | Social Work Instr. & Res. | 2/8/16 | 2/10/16 | Medical Leave | Paid |
| Fuller, Charlene | Supply Manager (UCP VII) | Chemistry | 1/22/16 | 1/22/16 | Medical Leave | Paid |
| Gannon, Stefanie | Program Admin. (UCP VI) | Administrative Services | 2/18/16 | 2/18/16 | Medical Leave | Paid |
| Jordan, Ann | Asst. Finance Director I (UCP VIII) | Bursar's Office | 1/22/16 | 1/25/16 | Medical Leave | Paid |
| Jordan, Ann | Asst. Finance Director I (UCP VIII) | Bursar's Office | 1/27/16 | 2/11/16 | Medical Leave | Paid |
| Jordan, Ann | Asst. Finance Director I (UCP VIII) | Bursar's Office | 2/16/16 | 2/18/16 | Medical Leave | Paid |
| Kraus, Melanie | APRN (UCP X) | Student Health Services | 2/18/16 | 2/18/16 | Medical Leave | Paid |
| Kula, Richard | Env. Health & Saf. Spec. II (UCP VII) | Environmental Health & Safety | 1/22/16 | 1/29/16 | Medical Leave | Paid |

| NAME | TITLE | DEPARTMENT | DATES | | REASON FOR LEAVE | PAY STATUS |
|-------------------------|------------------------------------|-----------------------------------|---------|---------|------------------------|------------|
| | | | START | END | | |
| Kumar, Challa Vijaya | Professor | Chemistry | 2/23/16 | 8/22/16 | Other - Research Leave | 92% Paid |
| Lanka, Laurie | Procure. Svcs. Sr. Spec. (UCP IX) | Procurement Services | 1/22/16 | 2/2/16 | Medical Leave | Paid |
| Lanka, Laurie | Procure. Svcs. Sr. Spec. (UCP IX) | Procurement Services | 2/8/16 | 2/9/16 | Medical Leave | Paid |
| Lanka, Laurie | Procure. Svcs. Sr. Spec. (UCP IX) | Procurement Services | 2/18/16 | 2/18/16 | Medical Leave | Paid |
| Levesque, John | OSD Cons. & Proj. Mgr. (UCP IX) | Social Work Instr. & Res. | 2/10/16 | 2/11/16 | Medical Leave | Paid |
| Loftus, Mary | Admin. Services Spec. II (UCP IV) | Accounts Payable | 1/28/16 | 1/28/16 | Medical Leave | Paid |
| Loftus, Mary | Admin. Services Spec. II (UCP IV) | Accounts Payable | 2/2/16 | 2/2/16 | Medical Leave | Paid |
| Lowrey-Manning, Jessica | Admin. Serv. Spec. III (UCP V) | Payroll Office | 1/25/16 | 1/26/16 | Medical Leave | Paid |
| Lowrey-Manning, Jessica | Admin. Serv. Spec. III (UCP V) | Payroll Office | 1/28/16 | 1/28/16 | Medical Leave | Paid |
| Lowrey-Manning, Jessica | Admin. Serv. Spec. III (UCP V) | Payroll Office | 2/9/16 | 2/9/16 | Medical Leave | Paid |
| Lowrey-Manning, Jessica | Admin. Serv. Spec. III (UCP V) | Payroll Office | 2/17/16 | 2/17/16 | Medical Leave | Paid |
| Mejas, Maria | Admin. Serv. Spec. II (UCP IV) | Materials Science Institute | 1/28/16 | 2/1/16 | Medical Leave | Paid |
| Mejas, Maria | Admin. Serv. Spec. II (UCP IV) | Materials Science Institute | 2/4/16 | 2/4/16 | Medical Leave | Paid |
| Mejas, Maria | Admin. Serv. Spec. II (UCP IV) | Materials Science Institute | 2/11/16 | 2/11/16 | Medical Leave | Paid |
| Mejas, Maria | Admin. Serv. Spec. II (UCP IV) | Materials Science Institute | 2/17/16 | 2/17/16 | Medical Leave | Paid |
| Merrall, Stephanie | Program Coordinator (UCP V) | CT. Transportation Institute | 1/22/16 | 1/22/16 | Medical Leave | Paid |
| Merrall, Stephanie | Program Coordinator (UCP V) | CT. Transportation Institute | 1/29/16 | 1/29/16 | Medical Leave | Paid |
| Munyard, Lauren | Academic Advisor I (UCP V) | Liberal Arts & Sci. Dean's Office | 1/27/16 | 1/27/16 | Medical Leave | Paid |
| Munyard, Lauren | Academic Advisor I (UCP V) | Liberal Arts & Sci. Dean's Office | 2/3/16 | 2/3/16 | Medical Leave | Paid |
| Munyard, Lauren | Academic Advisor I (UCP V) | Liberal Arts & Sci. Dean's Office | 2/10/16 | 2/10/16 | Medical Leave | Paid |
| Munyard, Lauren | Academic Advisor I (UCP V) | Liberal Arts & Sci. Dean's Office | 2/17/16 | 2/17/16 | Medical Leave | Paid |
| Owens, Leshonda | Admin. Services Spec. II (UCP IV) | Accounts Payable | 1/22/16 | 2/9/16 | Maternity Leave | Paid |
| Parciak, Rita | Asst. Finance Director II (UCP IX) | Accounts Payable | 1/22/16 | 1/26/16 | Medical Leave | Paid |

| NAME | TITLE | DEPARTMENT | DATES | | REASON FOR LEAVE | PAY STATUS |
|-------------------------|---------------------------------------|----------------------------------|---------|---------|------------------|------------|
| | | | START | END | | |
| Parciak, Rita | Asst. Finance Director II (UCP IX) | Accounts Payable | 2/16/16 | 2/16/16 | Medical Leave | Paid |
| Powers, Jolynn | Psychologist (UCP X) | Student Health Services | 1/22/16 | 1/22/16 | Medical Leave | Paid |
| Raissian, Kerri | Assistant Professor | Public Policy | 12/4/15 | 1/14/16 | Maternity Leave | Paid |
| Rick, Freddie | Univ. Library Assistant III (UCP VII) | Library Vice Provost's Office | 1/25/16 | 1/26/16 | Medical Leave | Paid |
| Rick, Freddie | Univ. Library Assistant III (UCP VII) | Library Vice Provost's Office | 1/29/16 | 1/29/16 | Medical Leave | Paid |
| Roberts, Lisa | Animal Care Spec. (UCP V) | Animal Care | 2/3/16 | 2/4/16 | Medical Leave | Paid |
| Rodino, Lisa | Registrar - Law (UCP VIII) | Law School Dean's Office | 2/1/16 | 2/1/16 | Medical Leave | Paid |
| Roy, Linda | Admin. Serv. Spec. II (UCP IV) | University Planning | 2/2/16 | 2/2/16 | Medical Leave | Paid |
| Roy, Linda | Admin. Serv. Spec. II (UCP IV) | University Planning | 2/4/16 | 2/4/16 | Medical Leave | Paid |
| Schlehofer, Maryke | Lab. Technician III (UCP V) | Biology Central Service | 1/22/16 | 1/22/16 | Medical Leave | Paid |
| Schlehofer, Maryke | Lab. Technician III (UCP V) | Biology Central Service | 2/9/16 | 2/9/16 | Medical Leave | Paid |
| Schlehofer, Maryke | Lab. Technician III (UCP V) | Biology Central Service | 2/11/16 | 2/11/16 | Medical Leave | Paid |
| Sherman, Sandra | Admin. Manager II (UCP VII) | Liberal Ars & Sci. Dean's Office | 1/22/16 | 2/4/16 | Medical Leave | Paid |
| Smith-Michnowicz, Tracy | Master Teacher - CDL (UCP V) | Human Dev./Fam. Studies & Rel. | 1/22/16 | 2/4/16 | Maternity Leave | Paid |
| Stanley, Glenn | Professor | Music | 1/19/16 | 2/21/16 | Medical Leave | Paid |
| Stathers, Linda | Admin. Serv. Spec. II (UCP IV) | Registrar's Office | 1/29/16 | 2/11/16 | Medical Leave | Paid |
| Stathers, Linda | Admin. Serv. Spec. II (UCP IV) | Registrar's Office | 2/16/16 | 2/18/16 | Medical Leave | Paid |
| Thierfeld-Brown, Jane | Program Manager (UCP VII) | Law School Dean's Office | 1/22/16 | 1/22/16 | Medical Leave | Paid |
| Thierfeld-Brown, Jane | Program Manager (UCP VII) | Law School Dean's Office | 1/26/16 | 1/26/16 | Medical Leave | Paid |
| Thierfeld-Brown, Jane | Program Manager (UCP VII) | Law School Dean's Office | 1/29/16 | 1/29/16 | Medical Leave | Paid |
| Ward, Leah | Program Admin. (UCP VI) | Educational Leadership | 1/22/16 | 1/27/16 | Maternity Leave | Paid |
| Watson, Sharon | Admin. Serv. Spec. II (UCP IV) | Payroll Office | 2/11/16 | 2/11/16 | Medical Leave | Paid |

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, March 30, 2016
Rome Commons Ballroom
Storrs, Connecticut
9:00 a.m.

| | <u>COMMITTEE</u> | <u>ATTACHMENT</u> |
|---|-------------------------|--------------------------|
| 1) Minutes of the Academic Affairs Committee Meeting of February 24, 2016, as circulated | A | |

ACTION ITEM:

| | |
|---|---|
| 2) Recommendation for Designation as Board of Trustees Distinguished Professors, Academic Year 2015 – 2016 | 1 |
|---|---|

DISCUSSION ITEM:

- 3) UConn Torrington Campus

EXECUTIVE SESSION (*As Needed*)

ATTACHMENT A

**DRAFT MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
February 24, 2016**

Committee Trustees: Carbray, Dennis-LaVigne, Jelliffe, Lobo, McHugh

Additional Trustees: Bessette, Bunnell, Cantor, Gandara, Kruger, Nayden, Rifkin, Ritter

University Senate: Beck, Jockusch, Nunnally, Rola, Simsek

Staff: Agwunobi, Andrews, Bedard, Carone, Chiaputti, Choi, Cobb, Conklin, Cruickshank, D'Alleva, Daugherty, Diplock, Donahue, Eagen, Fazio, Fearney, Galloway, Geoghegan, Gilbert, Herbst, Holsinger, Jednak, Jordan, Kazerounian, Kendig, Kirk, Larson, Lease Butts, Locke, Locust, Lombardo, Lucas, McGuire, Nanclares, Orr, Pescatello, Reis, Reitz, Robbins, Rubin, Seemann, Silbart, Slowik, Spencer, Strassfeld, Weiner, Wetstone, Wilder, Wood, Wrynn

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus.

On a motion by Trustee Jelliffe, seconded by Trustee Carbray, the minutes of the December 16, 2015, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Tenure at Hire***. Moved by Trustee Carbray, seconded by Trustee Jelliffe, the Committee recommended approval of all tenure at hire cases to the full Board.

Provost Choi introduced ***Action Item #3, Designation of Emeritus Status***. Moved by Trustee Jelliffe, seconded by Trustee Carbray, the Committee recommended designation of emeritus status to the full Board.

Provost Choi introduced ***Action Item #4, Sabbatical Leave Recommendations***. Moved by Trustee Carbray, seconded by Trustee Jelliffe, the Committee recommended approval of all sabbatical leaves to the full Board.

Provost Choi introduced ***Action Item #5, Appointment of Dr. Casey Cobb to the Neag Endowed Professorship in Educational Policy in the Neag School of Education***. Moved by Trustee Jelliffe, seconded by Trustee Carbray, the Committee recommended appointment to the full Board.

Provost Choi introduced ***Action Item #6, Establishment of the Donna Krenicki Professorship in Design and Digital Media in the School of Fine Arts, and Action Item #7, Establishment of the Paul Krenicki Professorship of Chemistry in the College of Liberal Arts and Sciences***. Moved by Trustee Carbray, seconded by Trustee Jelliffe, the Committee recommended establishment to the full Board. Committee Chairwoman Dennis-LaVigne thanked the Krenicki family for their continued support of the University.

Provost Choi introduced ***Action Item #8, Master of Public Policy in the College of Liberal Arts and Sciences***. Moved by Trustee Jelliffe, seconded by Trustee Carbray, the Committee recommended approval of the Master of Public Policy to the full Board.

Provost Choi introduced ***Action Item #9, Graduate Certificate in Exercise Prescription***. Moved by Trustee Carbray, seconded by Trustee Jelliffe, the Committee recommended approval of the Graduate Certificate in Exercise Prescription to the full Board.

Provost Choi introduced ***Action Item #10, Bachelor of Arts in Judaic Studies in the College of Liberal Arts and Sciences.*** Moved by Trustee Jelliffe, seconded by Trustee Carbray, the Committee recommended approval of the Bachelor of Arts in Judaic Studies to the full Board.

Provost Choi introduced ***Action Item #11, Comprehensive Plan for Next Generation Connecticut: Investment Principles FY 2015 – FY 2024.*** Moved by Trustee Carbray, seconded by Trustee Jelliffe, the Committee recommended approval to the full Board.

Provost Choi introduced ***Informational Item #12, (1) Faculty Consulting Report: The University of Connecticut Consulting Program FY 15 Annual Report and (2) Report on the University of Connecticut's compliance with CGS 1-84(r) Faculty Consulting Program: Report Issued by the Faculty Consulting Oversight Committee.***

Provost Choi introduced ***Informational Item #13, Rename the Undergraduate Major in Psychology to Psychological Sciences.***

Vice Provost Sally Reis introduced Dr. Caroline McGuire, Director of the Office of Undergraduate Research, who explained the UConn IDEA Grants program to the Committee and introduced three undergraduate students, Joshua Park, Nathan Wojtyna, and Saher Kazi, who gave brief overviews of their IDEA Grant projects to the Committee.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:20 a.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
March 30, 2016 at 9:30 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

| | <u>ATTACHMENT COMMITTEE</u> | <u>LOCATION FULL BOARD</u> |
|---|--|---------------------------------------|
| 1) Approval of the Minutes of the Financial Affairs Committee Meeting of February 24, 2016, as circulated | A | |

ACTION ITEMS:

| | |
|---|---|
| 2) Contracts and Agreements for Approval | 2 |
| 3) Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) | 3 |
| 4) Revised Allocation of Bond Authorizations as set forth in the Twentieth Supplemental Indenture (University of Connecticut General Obligation Bonds) | 4 |
| 5) Revised Allocation of Bond Authorizations as set forth in the Twenty First Supplemental Indenture (University of Connecticut General Obligation Bonds) | 5 |
| 6) UConn – Hartford Public Library Agreements, Hartford Campus Relocation (Project No. 901775) | 6 |

PROJECT BUDGETS FOR APPROVAL:

| <u>STORRS BASED PROGRAMS</u> | <u>Phase</u> | <u>Budget</u> | <u>Tab</u> |
|---|---------------------|----------------------|-------------------|
| 7) Residential Life Facilities West Campus Shower Renovations | Revised Final | \$2,260,000 | 7 |
| 8) Fats, Oil and Grease (FOG) Compliance – Phase II | Final | \$5,100,000 | 8 |
| 9) Stamford Campus Improvements/Housing – Cooling Tower Replacement | Final | \$700,000 | 9 |
| 10) Student Union Building Envelope Repairs | Final | \$1,500,000 | 10 |
| 11) Utility Infrastructure GIS Mapping | Final | \$3,236,000 | 11 |
| 12) Gampel Pavilion Dome Ceiling and Roof Repair | Design | \$10,000,000 | 12 |
| 13) North and South Parking Garage Safety Screen | Design | \$800,000 | 13 |

PROJECT BUDGETS FOR APPROVAL:

| | | | |
|--|---------------|--------------|----|
| <u>UConn HEALTH</u> | | | |
| 14) UConn Health Elevator 24 & 25 Sill Repairs | Planning | \$600,000 | 14 |
| 15) UConn Health Elevator 27/28 Modernization | Planning | \$655,000 | 15 |
| 16) UConn Health H Building Sealant Replacement | Planning | \$850,000 | 16 |
| 17) UConn Health 195 Farmington Avenue Parking Lot Pavement Removal and Replacement | Final | \$763,000 | 17 |
| 18) UConn Health Electronic Medical Records Project Team Space Fit-Out – New Hospital Tower 7 th Floor | Final | \$1,500,000 | 18 |
| 19) UConn Health Munson Road Roof Replacement | Final | \$5,050,000 | 19 |
| 20) UConn Health New Boiler and Deaerator Tank (DA) Repairs | Final | \$2,360,000 | 20 |
| 21) UConn Health New Construction and Renovation – Clinic (C) Building Renovations | Revised Final | \$92,314,604 | 21 |

INFORMATION ITEMS:

| | |
|--|------------------------|
| 22) Project Budget Map | B |
| 23) Contracts and Agreements for Information | C |
| 24) Construction Project Status Report | (Under Separate Cover) |

EXECUTIVE SESSION (As Needed)

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
February 24, 2016

TRUSTEES PRESENT: Andy Bessette, Charles Bunnell, Shari Cantor, Richard Carbray, Sanford Cloud (*via telephone*), Marilda Gandara (*via telephone*), Jeremy Jelliffe, Thomas Kruger, Andrea Dennis-LaVigne, Rebecca Lobo (*via telephone*), Donny Marshall (*via telephone*), Larry McHugh, Denis Nayden (*via telephone*), David Rifkin and Thomas Ritter

STAFF PRESENT: Andy Agwanabi, Carolle Andrews, Cheryl Chiaputti, Mun Choi, Laura Cruickshank, Amy Donahue, Charles Eaton, David Galloway, Jeffrey Geoghegan, Michael Gilbert, Susan Herbst, Scott Jordan, Tysen Kendig, Michael Kirk, Matt Larson, Wayne Locust, Richard Orr, Sally Reis, Rachel Rubin, Jeffrey Seeman, Katrina Spencer and Dan Weiner

UNIVERSITY SENATE MEMBERS PRESENT:
Cheryl Beck, Elizabeth Jockusch, Shayla Nunnally, Angela Rola and Zeki Simsek

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:20 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. On a motion by Trustee Cantor and seconded by Trustee Bessette the committee accepted a revised agenda to add a presentation on the “Fiscal Year 2015 Financial Report and GASB 68 Impact” as well as the “Six Month Financial Update” provided as an informational item.

On a motion by Trustee Bessette and seconded by Trustee Cantor the minutes of the meeting of January 27, 2016 were approved as circulated.

Matthew Larson, Director of Procurement, presented highlights of agenda ***Item #1, Contracts and Agreements for Approval***. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, asked that the committee remove agenda ***Item #5, North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III) (Revised Final: \$24,000,000)*** as bids had been received and did not indicate a need for additional project funds. Utilizing a map of the projects to be done on campus, Ms. Cruickshank also detailed the project budgets for Storrs based programs presented for approval, agenda Items 3-4 and 6-14, which included: ***UCFM Code Remediation – Avery Point Branford House Phase II (Final: \$625,000); UCFM Code Remediation – Campus Wide Laundry Alterations (Revised Final: \$2,100,000); Residential Life Facilities – Wilson Hall/Reheat Coils (Final: \$560,000); Residential Life Facilities – Hilltop Apartment Complex Roof Repairs – Phase II (Final: \$2,551,000); Phillips Communication Building Air Distribution and Lighting System Upgrades (Final: \$1,100,000);***

Jorgensen Renovation HVAC Renewal (Final: \$1,600,000); Heating Plant Upgrades – Chiller and Boiler House Roofs (Final: \$5,100,000); Energy Services Performance Contract – Phase I (Final: \$28,102,000); Utility Framework – Utility System Modeling (Revised Planning: \$850,000); Central Campus Infrastructure Upgrades (Planning: \$ 15,000,000); and Student Recreation Center (formerly named Intramural, Recreational and Intercollegiate Facilities – Recreation Center) (Revised Planning: \$11,000,000). On a motion by Trustee Bessette and seconded by Trustee Cantor the committee recommended the Storrs based project budgets to the full Board for approval and the withdrawal of the project budget on agenda item #5.

Charles Eaton, University Controller, provided a PowerPoint presentation on the “Fiscal Year 2015 Financial Report and GASB 68 Impact”.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, provided a brief background for the informational item, “Six Month Financial Update” that was distributed to the Trustees.

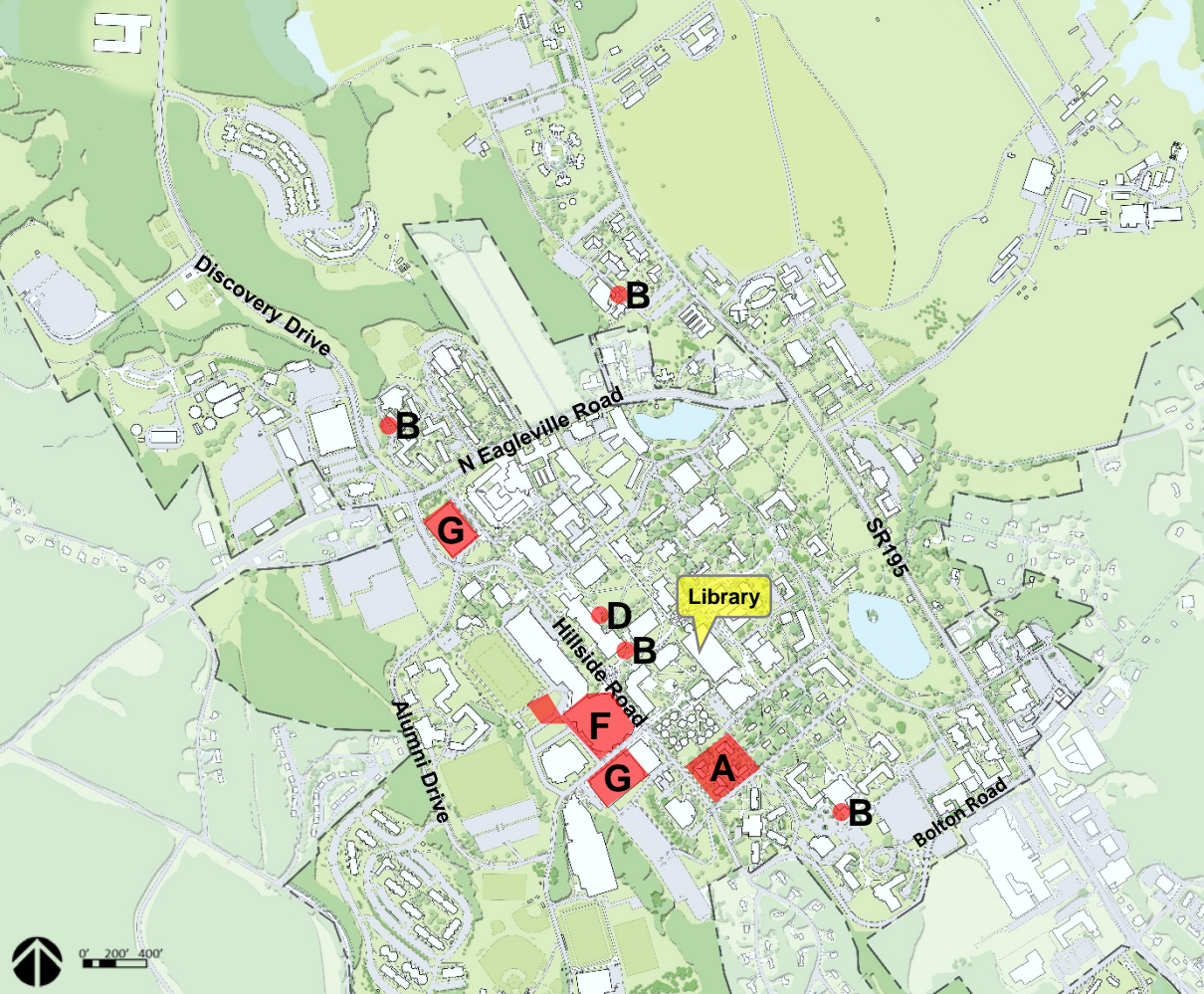
There being no additional agenda items the meeting was adjourned at 10:04 a.m. on a motion by Trustee Bessette and seconded by Trustee Cantor.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B



LEGEND

Final / Revised Final

- A** Residential Life Facilities West Campus Shower Renovations
- B** Fats, Oil and Grease (FOG) Compliance – Phase II
- C *** Stamford Campus Improvements/Housing – Cooling Tower Replacement
- D** Student Union Envelope Repairs
- E *** Utility Infrastructure GIS Mapping

Design / Revised Design

- F** Gampel Pavilion Dome, Ceiling and Roof Repair
- G** North and South Parking Garage Safety Screen

Planning / Revised Planning

None

*** NOTE:** Campus-Wide or Regional Campus project. Location not shown on map.

