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UCONN

UNIVERSITY OF CONNECTICUT

Board of

TRUSTEES

VOL. 158 DECEMBER 16, 2015

MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT

AGENDA

University of Connecticut
Lewis B. Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

December 16, 2015

BOARD OF TRUSTEES SCHEDULE OF THE DAY

9:00 a.m.	Academic Affairs Committee Meeting
9:15 a.m.	Financial Affairs Committee Meeting
9:30 a.m.	Board of Trustees Meeting
12:00 p.m.	Student Life Committee Meeting (Lower Level Portico)

BOARD MEETING AGENDA

Call to order at **9:30 a.m.**

1. Public Participation
2. Chairman's Report
   - (a) Matters outstanding
   - (b) Minutes of the meeting of November 18, 2015
   - (c) Consent Agenda Items:

(1)	Contracts and Agreements for the Storrs-based Programs	(Attachment 1)
(2)	Sabbatical Leave Recommendations	(Attachment 2)
(3)	Establishment of the Nicholas E. Madonna Professorship in Cyber-Physical Systems in the School of Engineering	(Attachment 3)
(4)	Professional Science Master's Degree in Energy and Environmental Management in the College of Agriculture, Health and Natural Resources, the College of Liberal Arts and Sciences, and the School of Law	(Attachment 4)
(5)	Master of Fine Arts in Arts Administration in the School of Fine Arts	(Attachment 5)
(6)	LL.M. (Master of Law) in Intellectual Property Law and Information Governance in the School of Law	(Attachment 6)
(7)	Graduate Certificate in Corporate and Regulatory Compliance	(Attachment 7)
(8)	Graduate Certificate in Global Risk Management	(Attachment 8)
(9)	Graduate Certificate in Neurobiology of Language	(Attachment 9)
(10)	Graduate Certificate in Pain Management	(Attachment 10)
(11)	Graduate Certificate in Power Engineering	(Attachment 11)

(12) Modify the M.A. Degree in Psychology to the M.S. Degree in Psychological Sciences in the College of Liberal Arts and Sciences (Attachment 12)

(13) Consolidate the Undergraduate Majors within the Department of Plant Science and Landscape Architecture in the College of Agriculture, Health and Natural Resources (Attachment 13)

(14) Renaming of John Dempsey Hospital (Attachment 14)

(15) Naming of John Dempsey Hospital Facilities (Attachment 15)

(16) Master Agreement with University of Connecticut Law School Foundation (Attachment 16)

(17) University of Connecticut Policy Against Discrimination, Harassment, and Related Interpersonal Violence (Separate Cover)

3. President's Report

4. Academic Affairs Committee Report

(a) Report on Committee activities

(b) Informational Items:

(1) Renaming the Undergraduate Major and M.S. Degree in the Department of Agricultural and Resource Economics in the College of Agriculture, Health and Natural Resources (Attachment 17)

(2) Renaming the "Gifted and Talented Education" Degree Programs to "Giftedness, Creativity, and Talent Development" in the Department of Educational Psychology in the Neag School of Education (Attachment 18)

(3) Centers and Institutes (Attachment 19)

5. Financial Affairs Committee Report

(a) Report on Committee activities

(b) Items requiring Board discussion and approval:

<u>Budget Items (Storrs-based and UConn Health)</u>:

(1) Declaration of Official Intent for Certain UCONN 2000 Projects to be Reimbursed with UCONN 2000 Debt Proceeds at a Future Date (Attachment 20)

(2) Implementation of UConn Health's Electronic Medical Record System (Attachment 21)

(3) Fall 2016 – Spring 2020 Tuition Plan for the University of Connecticut, Storrs and Regional Campuses (Attachment 22)

<u>Project Budgets (Storrs-based)</u>:

(4) Project Budget (Design) for Energy Services Performance Contract – Phase I (Attachment 23)

(5) Project Budget (Final) for North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III (Attachment 24)

Project Budgets (UConn Health):

(6) Project Budget (Planning) for the UConn Health Electronic Medical Records (EMR) Project Team Space Fit-Out – New Hospital Tower 7th Floor (Attachment 25)

(7) Project Budget (Planning) for the UConn Health Munson Road Roof Replacement (Attachment 26)

(8) Project Budget (Planning) for the UConn Health 195 Farmington Avenue: Parking Lot Pavement Removal and Replacement (Attachment 27)

(9) Project Budget (Design) for the UConn Health New Boiler and Deaerator Tank Repairs (Attachment 28)

(10) Project Budget (Final) for the UConn Health 3T MRI Renovations (Attachment 29)

6. UConn Health Report
   (a) Report on UConn Health activities
7. Joint Audit and Compliance Committee Report
   (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
   (a) Report on Committee activities
9. Construction Management Oversight Committee Report
   (a) Report on Committee activities
10. Student Life Committee Report
    (a) Report of Committee activities
11. Institutional Advancement Committee Report
    (a) Report on Committee activities
12. Committee on Compensation Report
    (a) Report on Committee activities
13. Other business
14. Executive Session anticipated.
15. Adjournment

PLEASE NOTE: ***If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.***

ATTACHMENT 1

CONTRACTS AND AGREEMENTS
FOR APPROVAL
December 16, 2015

PROCUREMENT - AMENDMENTS

2-WAY RADIO EQUIPMENT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Motorola Solutions Inc.	99ITZ0001MA (formerly A-99-001 MA)	$648,120 *[Contract Value Previously $1,230,932; Total New Contract Value $1,879,052]*	11/23/91-12/31/16	Operating Fund, General	Barbara O'Connor, Director of Public Safety and Chief of Police	$993,012	$784,939	$111,605	2-Way radio equipment, primarily for the Division of Public Safety. ***Amend to increase contract value by $648,120 for a total new contract value of $1,879,052.*** Four extensions of one year each remain.

AUDIO/VISUAL EQUIPMENT AND INSTALLATION

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	HB Communications, Inc.	UC-07-KJ011106	$1,051,341 *[Contract Value Previously $21,537,343; Total New Contract Value $22,588,684]*	03/15/07-06/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	$18,181,060	$3,544,129	$2,178,734	Audio/visual equipment and installation for all University campuses, including UCH. ***Amend to increase contract value by $1,051,341, for a total new contract value of $22,588,684. Amend to extend term by six months, through 6/30/16.*** Zero extensions remain.

DORMITORY FURNITURE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Butler Woodcrafters, Inc.	15PSX0041	$1,600,000 *[Contract Value Previously $400,000; Total New Contract Value $2,000,000]*	07/01/15-06/30/20	Auxiliary Funds	Michael Gilbert, Ph.D., Vice President of Student Affairs	$126,234	$0	$0	Manufacture, delivery, and installation of dormitory furniture. ***Amend to increase contract value by $1,600,000 for a total new contract value of $2,000,000.*** Zero extensions remain.

EXECUTIVE SEARCH SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Heidrick & Struggles	UC-11-KJ060410	$0 *[Contract Value Previously $2,606,100; Contract Value Remains the Same]*	10/01/10-06/30/16	Multiple Sources	Aliza C. Wilder, Director of Human Resources	$579,914	$206,361	$301,490	Executive search services for all University campuses, including UCH, for executive and senior officers and for professional staff. ***Amend to extend term by six months, through 06/30/16*** . Zero extensions remain.
2	Korn/Ferry International	UC-11-KJ060410	$0 *[Contract Value Previously $2,060,100; Contract Value Remains the Same]*	10/01/10-06/30/16	Multiple Sources	Aliza C. Wilder, Director of Human Resources	$473,675	$118,605	$355,070	Executive search services for all University campuses, including UCH, for executive and senior officers and for professional staff. ***Amend to extend term by six months, through 06/30/16.*** Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
December 16, 2015

INTERNET SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	CenturyLink Communications, LLC (f/k/a Qwest Communications Company, LLC d/b/a CenturyLink QCC)	UC-09-PG062008	$0 *[Contract Value Previously $1,684,000; Contract Value Remains the Same]*	07/01/09-06/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	$869,758	$200,306	$163,079	Dedicated internet access to all University campuses, including UCH, and downstream users of the University's network, including the Connecticut Education Network. ***Amend to extend term by six months, through 6/30/16.*** Zero extensions remain.

MILLWRIGHT SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	IPC Lydon	UC-13-DS101812-1	$600,000 *[Contract Value Previously $800,000; Total New Contract Value $1,400,000]*	01/01/13 -12/31/16	Operating Fund, General	Michael Jednak, AVP Facilities Operations & Building Services	$538,302	$186,776	$208,574	Millwright services for all University campuses, including UCH. Contract used primarily for Central Utility Plant and Co-Generation Facility. ***Amend to increase contract value by $600,000, for a total new contract value of $1,400,000. Amend to extend term by one year, through 12/31/16.*** Two extensions of one year each remain.

ON CALL CONSULTING SERVICES - PROJECT MANAGEMENT OVERSIGHT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Jacobs Project Management	PMO-03/900/ 043018	$2,000,000 *[Contract Value Previously $2,000,000; Total New Contract Value $4,000,000]*	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	$1,308,494	$0	$0	On-call project management services. ***Amend to increase contract value by $2,000,000, for a total new contract value of $4,000,000.***

ON-CALL PROFESSIONAL SERVICES - 12.1 CIVIL AND STRUCTURAL ENGINEERING

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Fuss & O'Neill, Inc.	012.1-6-500-22916	$250,000 *[Contract Value Previously $1,778,300; Total New Contract Value $2,028,300]*	03/01/13-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	$1,527,774	$673,503	$5,400	On-Call Contract for civil and structural engineering services for any University campus, including UCH. ***Amend to increase contract value by $250,000, for a total new contract value of $2,028,300. Amend to extend term for approximately two years, through 4/30/18.***

ON-CALL TRADE SERVICES - HVAC

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Air Temp Mechanical Services, Inc.	OC.HVAC2014-800-1	$700,000 *[Contract Value Previously $800,000; Total New Contract Value $1,500,000]*	05/01/14-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	$677,167	$489,916	$0	On-call HVAC services for all University campuses, including UCH. Maximum of $500,000 per project. ***Amend to increase contract value by $700,000, for a total new contract value of $1,500,000.*** Options to extend for two terms of one year each.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
December 16, 2015

SOFTWARE & SOFTWARE MAINTENANCE AND SUPPORT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Acad-Plus; Atlantic Computing; BMC; CDW-G; Cloudpath; DLT; En Pointe Technologies; Ergonomic Group; Velosecure, LLC (d/b/a Identity Finder, LLC); IGX Global; Lighthouse Computer Services; Mainline Information Systems; MTM; NWN; Rapid 7; Revco; SAI; Software House International; Splunk Velocity Software:	UC-10-B001652-3	$0 *[Contract Value Previously $6,950,000; Contract Value Remains the Same]*	07/06/10-06/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	$2,984,407	$391,617	$325,740	Operating system, network, and security software and services for all University campuses, including UCH. ***Amend to extend term for six months, through 6/30/16*** . Zero extensions remain.
2	InfoEd International, Inc.	43462	$571,000 *[Contract Value Previously $2,649,762; Total New Contract Value $3,220,762]*	07/01/10-06/30/19	Research Foundation Fund	Jeff Seemann, Ph.D., Vice President for Research	$1,135,161	$206,794	$370,748	Maintenance and support for the University's Electronic Research Administration Systems on all University campuses, including UCH. ***Amend to increase contract value by $571,000 for a total new contract value of $3,220,762.*** Zero extensions remain.

SPRINKLER SYSTEM TESTING AND INSPECTION

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	SimplexGrinnell, LP	UC-13-111312LM	$554,000 *[Contract Value Previously $1,303,585; Total New Contract Value $1,857,585]*	03/01/13-02/28/17	Multiple Sources	Matthew Larson, Director of Procurement Services	$970,867	$501,074	$409,658	Testing, inspection, preventative maintenance, and repairs for sprinklers and other fire extinguishing and suppression equipment on all University campuses other than UCH. ***Amend to increase contract value by $554,000, for a total new contract value of $1,857,585. Amend to extend term by one (1) year, through 02/28/17.*** One extension of one year remains.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
December 16, 2015

UTILITY FRAMEWORK CONSULTING AND ENGINEERING SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	BVH Integrated Services, PC	902040	$4,050,000 *[Contract Value Previously $950,000; Total New Contract Value $5,000,000]*	11/06/15-11/05/25	Multiple Sources	Laura Cruickshank, University Master Planner and Chief Architect	$0	$0	$0	Utility framework consulting services and utility engineering services for the Storrs campus. Approximately $450,000 of the contract value will be committed to utility framework consulting. The remainder will be used for utility engineering services on a project-by-project basis. ***Amend to increase contract value by $4,050,000, for a total new contract value of $5,000,000.*** Zero extensions remain.

VEHICLES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Gates Commercial & Fleet (comprising Columbia Ford Inc.; Gates GMC Truck, Inc.; Putnam Chrysler Dodge Jeep, Inc.; and Premier Chevrolet, Inc.).	UC-13-LP042712	$400,000 *[Contract Value Previously $2,342,983; Total New Contract Value $2,742,983]*	10/01/12-09/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	$1,999,575	$508,822	$733,590	Purchase of new and/or used vehicles for all University campuses, including UCH. ***Amend to increase contract value by $400,000, for a total new contract value of $2,742,983.*** One extension of one year remains.

OTHER AGREEMENTS FOR APPROVAL- AMENDMENTS

LIBRARY- SCIENTIFIC JOURNALS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Elsevier BV	UC-14-CON070112	$2,046,000 *[Contract Value Previously $5,674,555; Total New Contract Value $7,720,555]*	01/01/14-12/31/16	Multiple Sources	Martha Bedard, Vice Provost for University Libraries	$3,776,564	$1,965,940	$1,810,624	Science Direct Journal Package access for all University campuses, including UCH. Package contains over 1,400 core science journals considered essential to support research initiatives. ***Amend to increase contract value by $2,046,000 for 2016 annual subscription costs, for a new total contract value of $7,720,555.*** Zero extensions remain.

LEASES

UNIVERSITY AS LESSOR

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Connecticut Resource Conservation and Development Area, Inc.	$4,137	01/01/16-12/31/16	Operating Fund - General	Gregory J. Weidemann, Dean and Director, College of Agriculture and Natural Resources	Renewal of a lease of office space of approximately 320 square feet of space in the enclosed lower level area of the Middlesex Agricultural Building located at 1066 Saybrook Road, Haddam, Connecticut. Lease extension will be for one (1) year and rent will be paid in monthly installments of $344.79.
2	38 LLC	$90,000	06/02/15-05/31/16	Operating Fund - General	Scott Jordan, Executive Vice President for Administration and Chief Financial Officer	A 3-month extension of the lease of a building located at 38 Prospect Street, Hartford, Connecticut. The purpose of the extension is to allow the current commercial sub-tenants additional time to vacate the premises. In exchange, the tenant will pay the University $30,000 per month. The tenant may terminate the lease upon 30 days' prior written notice in the event that the subtenants vacate prior to the end of this extension term.

ADDITIONAL AGREEMENT FOR APPROVAL

No.	Contractor Name	Annual Amount Payable	Term	Fund Source	Program/Project Director	Purpose
1	Storrs Center Alliance LLC	N/A	11/21/06-12/31/16	Operating Fund - General	Scott Jordan, Executive Vice President for Administration and Chief Financial Officer	Amendment to Storrs Center Omnibus Agreement to extend the Outside Date for closing on the remainder of the property by one year, from December 31, 2015 to December 31, 2016. This Amendment also extends the completion date for the Driveway Relocation Work *(defined in Section 12.3(d) of the Storrs Center Commercial Uses Purchase & Sale Agreement)* to December 31, 2018.

ATTACHMENT 2

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
December 16, 2015 Board of Trustees Meeting

SABBATICAL MODIFICATIONS/POSTPONEMENTS

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Hettinger, Virginia	Associate Professor	Political Science	Liberal Arts and Sciences	Full	Spring 2015
				Full	Fall 2016
Singer, Matthew	Associate Professor	Political Science	Liberal Arts and Sciences	Full	Spring 2015
				Full	Spring 2017
VanLear, C. Arthur, Jr.	Associate Professor	Communication	Liberal Arts and Sciences	Full	Spring 2016
				Full	Fall 2016

SABBATICAL LEAVE REQUESTS

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Adamson, Kari	Associate Professor	Human Development and Family Studies	Liberal Arts and Sciences	Full	Fall 2016
Barnes-Farrell, Janet L.	Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Fall 2016
Blanton, Hart	Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Fall 2016
Bobaljik, Jonathan D.	Professor	Linguistics	Liberal Arts and Sciences	Full	Spring 2017
Campbell, Scott	Associate Professor	English	Liberal Arts and Sciences	Full	Fall 2016
Cheng, Simon (Hsu-Chih)	Associate Professor	Sociology	Liberal Arts and Sciences	Full	Spring 2017
Choi, Yung-Sze	Professor	Mathematics	Liberal Arts and Sciences	Half	AY 2016 - 2017
Conover, Joanne	Associate Professor	Physiology and Neurobiology	Liberal Arts and Sciences	Full	Spring 2017
Cygan, Mary E.	Associate Professor	History	Liberal Arts and Sciences	Full	Fall 2016
Deans, Thomas	Professor	English	Liberal Arts and Sciences	Full	Fall 2016

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Dey, Dipak K.	BOT Dist. Prof.	Statistics	Liberal Arts and Sciences	Full	Fall 2016
Dufresne, Marcel	Associate Professor	Journalism	Liberal Arts and Sciences	Full	Fall 2016
Gordina, Maria	Professor	Mathematics	Liberal Arts and Sciences	Full	Fall 2016
Hedge, Shantaram P.	Professor	Finance	Business	Full	Spring 2017
Liu, Hongju	Associate Professor	Marketing	Business	Half	AY 2016 - 2017
Marsh, Kerry L.	Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Fall 2016
Pardo, Osvaldo F.	Associate Professor	Literatures, Cultures and Languages	Liberal Arts and Sciences	Full	Fall 2017
Pressman, Jeremy	Associate Professor	Political Science	Liberal Arts and Sciences	Full	Fall 2016
Purkayastha, Bandana	Professor	Sociology	Liberal Arts and Sciences	Full	Fall 2016
Rozwadowski, Helen M.	Associate Professor	History	Liberal Arts and Sciences	Full	Spring 2016
Schlichting, Carl D.	Professor	Ecology and Evolutionary Biology	Liberal Arts and Sciences	Full	Fall 2016
Schwenk, Kurt	Professor	Ecology and Evolutionary Biology	Liberal Arts and Sciences	Full	Spring 2016
Shapiro, Lionel	Associate Professor	Philosophy	Liberal Arts and Sciences	Full	Fall 2016
Simsek, Zeki	Professor	Management	Business	Full	Fall 2016
Smith, Alexia	Associate Professor	Anthropology	Liberal Arts and Sciences	Half	AY 2016 - 2017
Solomon, David Reed	Associate Professor	Mathematics	Liberal Arts and Sciences	Half	AY 2016 - 2017
Stern, David A.	Professor	Dramatic Arts	Fine Arts	Full	Fall 2016
Teplyaev, Alexander	Professor	Mathematics	Liberal Arts and Sciences	Full	Fall 2016
Terni, Jennifer	Associate Professor	Literatures, Cultures and Languages	Liberal Arts and Sciences	Full	Spring 2017
Trumbull, Nathaniel	Associate Professor	Geography	Liberal Arts and Sciences	Full	Fall 2016

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Vials, Christopher	Associate Professor	English	Liberal Arts and Sciences	Full	Fall 2016
Wurmbrand, Susanne	Professor	Linguistics	Liberal Arts and Sciences	Half	AY 2016 - 2017

ATTACHMENT 3

UCONN
UNIVERSITY OF CONNECTICUT

Office of the President
Susan Herbst
President

December 16, 2015

TO: Members of the Board of Trustees

FROM: Susan Herbst

RE: Establishment of the Nicholas E. Madonna Professorship in Cyber-Physical Systems in the School of Engineering

RECOMMENDATION:

That the Board of Trustees establish the Nicholas E. Madonna Professorship in Cyber-Physical Systems in the School of Engineering.

BACKGROUND:

An endowment fund to support the Nicholas E. Madonna Professorship was created by Robert Madonna, the son of Nicholas E. Madonna, on June 30, 2011, with a gift that surpasses the suggested named gift guidelines.

This endowment fund will enhance faculty members in the School of Engineering's Cyber-Physical Systems program, which will aid the School in its efforts to develop the transdisciplinary field that integrates engineering, computational science and physical systems.

Robert Madonna received his bachelor's degree from the School of Engineering in 1982. He founded Savant Systems, LLC, in 2005. Approximately half of Savant's employees are UConn graduates. Mr. Madonna received the School of Engineering's Distinguished Alumni Award in 2000.

At its December 1, 2015 meeting, the Institutional Advancement Committee recommended approval to the full Board.

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ATTACHMENT 4

UCONN
UNIVERSITY OF CONNECTICUT

Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Professional Science Master's Degree in Energy and Environmental Management

RECOMMENDATION:

That the Board of Trustees approve the Professional Master's Degree in Energy and Environmental Management.

BACKGROUND:

The Environmental Programs Office will administer the Professional Science Master's Degree in Energy and Environmental Management (MEEM). This proposal has resulted from a year of interdisciplinary collaboration to develop the program's goals, curriculum and logistical needs among the following partners: the College of Agriculture, Health and Natural Resources (Natural Resources and the Environment); the Center for Environmental Sciences & Engineering; the College of Liberal Arts and Sciences (Ecology and Evolutionary Biology, Geography); and the School of Law (Center for Energy & Environmental Law).

Bringing together signature energy and environmental programs from three schools and colleges within UConn, the MEEM unifies and enhances a diverse set of academic offerings. At the same time, it offers students access to both leading faculty members and a repertoire of professional skills that will make them leaders in sustainability and resilience in the twenty-first century. The MEEM program will provide the opportunity for talented UConn undergraduates, who currently choose other schools for their graduate work, to remain at UConn.

The learning outcomes of the MEEM include: integrating sustainability from biophysical and social perspectives to inform management, conservation, law, and policy regarding natural and energy resources; training decision-makers from diverse disciplinary backgrounds in leadership, communication, innovation, and critical thinking; and developing a broader and deeper understanding of coupled human and natural systems which can be applied in a management and policy context to provide effective solutions to complex issues related to energy and the environment.

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Item: Professional Sciences Master's Degree in Energy and Environmental Management (MEEM)

Background & Description

We are seeking support for the creation of a new Masters program in Energy and Environmental Management (MEEM). The MEEM is designed as a Professional Science Masters administered by the Environmental Programs Office. This proposal has resulted from a year of interdisciplinary collaboration to develop the program's goals, curriculum and logistical needs among the following partners:

- CAHNR (Natural Resources and the Environment)
- Center for Environmental Sciences & Engineering (CESE)
- CLAS (Ecology and Evolutionary Biology, Geography)
- Law School (Center for Energy & Environmental Law)

The UConn Academic Plan aims "to foster exciting intellectual endeavors and creative problem solving" across seven primary strategic priorities that promote interdisciplinary research and training. The Masters in Energy and Environmental Management (MEEM) is intended to squarely address these aims of the Academic Plan by promoting Excellence in Graduate Education and the Academic Plan's strategic priority of "Sustainability and Resilience: Environment and Energy." The Academic Plan recognizes that this priority "involve[s] complex tradeoffs, … [requiring] a broad systems perspective, and a willingness to consider the needs of other stakeholders while applying an interdisciplinary view of the development of managerial, policy, and technological responses." The MEEM program provides a framework for tackling this important issue in precisely the framework anticipated in the Academic Plan. Bringing together signature energy and environmental programs from three colleges within UConn, the MEEM unifies and enhances a diverse set of academic offerings. At the same time, it offers students access to both leading faculty members and a repertoire of professional skills that will make them leaders in sustainability and resilience in the twenty-first century.

In addition, the MEEM would support Excellence in Undergraduate Education, by providing opportunities for undergraduate students to pursue graduate courses, take advantage of MEEM faculty, and even pursue the MEEM themselves. The MEEM program would provide the opportunity for talented UConn undergraduates, who currently choose other schools for their graduate work, to stay right here.

Reasons for the Proposed Program/Modification/Discontinuation

The proposed program seeks to fill a large curricular gap at UConn and around the region and has several strategic and comparative advantages:

- Is an interdisciplinary, flexible degree program that will attract a diverse range of professionals;
- Unifies several UConn environmental and energy programs at Storrs, Law School, and Avery Point;
- Advances the Academic Plan;
- Utilizes many existing faculty resources and course offerings;
- Combines energy & environment, interrelated issues of global importance—one of the few programs nationwide to do so;
- Is well-suited for experiential and online learning; and
- Complements recent statewide policy priorities related to climate resilience and energy development.

Curriculum & Program Outline

Degree Requirements - Overall: 24 Credit Hours

- Core courses: 9 hours
- Electives toward specializations: 12 hours
- Experiential learning component that could include a supervised internship and/or capstone course: 3 hours
- A professional skills component integrated into at least one of the core or elective courses

Degree Requirements - Core Courses: 9 Hours

- Sustainable Natural Resources Management (NRE 5200)
- Environmental Planning for Sustainable Communities and Regions (NRE 5220)
- Energy Regulation & Policy (LAW 7812-01)
- PLUS: 3 hour capstone course in Year 2

Degree Requirements: Electives: 12 Hours

- Electives could be drawn from many areas in consultation with departments, including:
    - Coastal adaptation & hazard mitigation
    - Ecosystem science & conservation
    - Ecotoxicology & public health
    - Energy & environmental law
    - Institutional management
- Potential electives could be drawn from ARE, Business, ECON, EEB, GEOG, GPAH, GSCI, HDFS, Law, MARN, MAST, NRE, PUBH.

Learning Outcomes

The MEEM seeks:

- To integrate sustainability from biophysical and social perspectives to inform management, conservation, law, and policy regarding natural and energy resources;
- To train decision-makers from diverse disciplinary backgrounds in leadership, communication, innovation, and critical thinking; and
- To develop a broader and deeper understanding of coupled human and natural systems which can be applied in a management and policy context to provide effective solutions to complex issues related to energy and the environment.

Enrollment & Graduation Projections

The primary goals for Year 1 (2016-2017) are:

- Advertising for, Recruiting and Admission of the first cohort of students in 2017-2018;
- Developing the web-site and student materials needed for ease of student advising;
- Hiring a Program Assistant 1 as the main program administrator for the MEEM;
- Hiring an Assistant Professor in Residence to begin instructional development for the program;
- Complete certification process for formal affiliation with Professional Science Masters Programs.

The primary goals for Year 2 (2017-2018) are:

- Matriculation of first cohort of 10 students;
- Advertising for, Recruiting and Admission of the second cohort of students in 2018-2019;
- Building community among the two cohorts;
- Building capacity for internship and other experiential learning opportunities for the MEEM;

The primary goals for Year 3 (2018-2019) are:

- Matriculation of second cohort of 10 students;
- Advertising for, Recruiting and Admission of the third cohort of students in 2019-2020;
- Graduating the first class from the MEEM in May 2019;
- Offering of first set of internship and other experiential learning opportunities for the MEEM;
- Evaluate successes and challenges of first two years of student learning in the MEEM.

Financial Resources

After initial seed money (a Graduate Assistantship promised by the 3 Deans), it is anticipated that the MEEM will be self-sustaining financially because of the funding model used for PSM programs at UConn.

Facilities/Equipment/Library/Special Resources

All of the faculty involved in this proposal have a history of collaborating on academic and research programs related to the environment, with each other and with other UConn partners. This proposal signifies the first major attempt to integrate academic offerings of the three schools/colleges. Specific elements of prior collaboration include:

- Boyer and Volin have been collaborating extensively over the past two years in their respective roles as directors of the undergraduate Environmental Studies and Environmental Science programs. They share supervision of one current UCPEA staff member and have worked to integrate the programs' offerings and build community among these two groups of environmentally-focused students;
- Collaborations on various research grant proposals; and
- Collaborations on publications and academic conferences held under the auspices of the Law School's Center for Energy and Environmental Law, which have involved several other UConn units, including the Center for Land Use Education and Research and the Sea Grant program.

Program Administration

The MEEM will initially be administered through Environmental Programs with a full GA during the first two years. After the first flow-back of funds occurs, a program assistant will be hired and report to the program director.

Primary Faculty

Mark A. Boyer (CLAS/GEOG)
Sara Bronin (LAW)
Ken Foote (CLAS/GEOG)
Joseph MacDougald (LAW)
Nathaniel Trumbull (CLAS/GEOG & Maritime Studies)
John C. Volin (CAHNR/NRE)
Michael Willig (CLAS/EEB & CESE)

Similar Programs in Connecticut or Region

- There are approximately 45 masters programs in environmental sciences and climate.

- Few (if any) programs offer a combined professional masters degree in energy and environmental management.
- There is student interest at the regional and national levels for combined programs.
- Yale University and the University of Vermont are the closest regional competition. Yale offers a Master of Environmental Management (MEM) program based in the School of Forestry and Environmental Studies. The MEM is an interdisciplinary program focused on science, management and policy and does not have the explicit integration of law, energy and the environment as we are proposing. The University of Vermont's program is housed in the Rubenstein School of Environment and Natural Resources and offers a traditional MS program and students can also choose to do a dual degree with Vermont Law School. The dual degree is a Master of Environmental Law and Policy (MELP) with an MS in Natural Resources. This program also does not have a focus on energy as our proposed PSM. Neither Yale nor Vermont's programs offer a PSM degree.
- We believe that the MEEM will fill a valuable niche for mid-career professionals in the region and former UConn undergraduates seeking professional training.

ATTACHMENT 5

UCONN
UNIVERSITY OF CONNECTICUT

Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Master of Fine Arts in Arts Administration in the School of Fine Arts

RECOMMENDATION:

That the Board of Trustees approve the Master of Fine Arts in Arts Administration in the School of Fine Arts.

BACKGROUND:

The MFA in Arts Administration at the University of Connecticut is designed help fill the need for well trained and experienced early career arts administration professionals. In recognition of this important need, UConn began an online graduate certificate program in arts administration to further the careers of those working in the field, or assist those seeking to enter it. The MFA program, however, is far more in-depth and intended to produce graduates capable of leadership in their area of expertise upon graduation.

By offering the Master of Fine Arts in Arts Administration, the University of Connecticut will train a new generation of arts leaders who will provide essential administrative leadership for organizations that are at the core of their communities. Graduates of this program will play key management roles at diverse arts organizations nationwide. These organizations play a central role in defining communities and helping to bring them together. This program will enable the University of Connecticut to have educated and trained arts leaders in communities of all kinds throughout the U.S. This includes Connecticut, which has an abundance of world-class arts institutions including five Tony Award-winning theatres (the most of any state), the oldest art museum, multiple major arts presenting venues and numerous galleries, symphonies, choruses, theatres, artist retreats and more.

The MFA in Arts Administration will focus on creativity, business, and collaboration as its main areas of research and academic investigation.

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Item: Master of Fine Arts in Arts Administration

Background & Description

If an arts organization of any kind is successful, it is because of what occurs on stage when the curtain goes up, or in the gallery when the doors open. Not really such a revelation—except for the fact that the curtain going up is the result of an extraordinary series and sequence of actions, interactions, decisions, inventions, revisions, solutions, discoveries, and resolutions. All of these are shaped and driven by a disparate group of arts professionals with shared vision, passion, commitment, trust, discipline, craft, precision, acute attention to time, money and detail, and a degree and intensity of collaboration that business gurus endlessly talk about, rarely achieve and never fully understand. This amazing amalgam of activity that we broadly refer to as the artistic process is the reason that any artist, or arts organization, or program or body of work, *works*.[1]

The arts and culture industries in the U.S. are underestimated both in terms of their business innovation and cultural contributions, and their impact on the national economy. The quotation above from the Folio Series by Arts Action Research briefly describes how business and organizational planning approaches applied by arts organization are unique among common institutional practices. The information that follows from the U.S. Bureau of Economic Analysis demonstrates that the arts and cultural production sector's contribution to the gross domestic product is significant.

The ACPSA, a partnership between the National Endowment for the Arts (NEA) and the Department of Commerce's Bureau of Economic Analysis, is the first federal effort to provide an in-depth analysis of the arts and cultural sector's contributions to current-dollar gross domestic product (GDP), a measure of the final dollar value of all goods and services produced in the United States. The revised estimates reveal the arts are a bigger driver of GDP and jobs than previously estimated. Among the new estimates are:

- In 2012, arts and cultural production contributed more than $698 billion to the U.S. economy, or 4.32 percent to the U.S. Gross Domestic Product, more than construction ($586.7B) or transportation and warehousing ($464.1B).
- 4.7 million workers were employed in the production of arts and cultural goods, receiving $334.9 billion in compensation.
- Arts and cultural spending has a ripple effect on the overall economy, boosting both commodities and jobs. For example, for every 100 jobs created from new demand for the arts, 62 additional jobs are also created.
- The Bureau of Economic Analysis highlights the Arts and Cultural Production Satellite Account in the January edition of the *Survey of Current Business,* widely read by economists and financial analysts to understand the state of the U.S. economy.[2]

Supporting all this creative and economic activity, are arts administrators. While academic programs in artistic creation are ubiquitous, training programs for arts administrators are relatively rare. Employment prospects for young artists are daunting. However, well educated

[1] Arts Action Research, *Folio Introduction: An Elegant Process*, 2014
[2] *Surprising Findings in Three New NEA Reports on the Arts*, Jan. 12, 2015
http://arts.gov/news/2015/surprising-findings-three-new-nea-reports-arts

and trained early career arts administrators are in huge demand and many of the most successful MFA arts administration programs often see 100 percent of their graduates placed in well paying, stable, personally satisfying jobs with advancement potential upon graduation. Arts administrators work closely with the artistic staff and boards of directors and play key roles in the advancement of their respective organizations. Many arts administrators, especially in executive leadership and fundraising roles, are also vital external voices for their organizations and become community leaders, regularly interfacing with leaders in industry, politics and community service of all kinds.

Arts and Cultural Executives and boards will experience a deluge of applications for artistic and creative positions of all kinds from playwrights to painters to photographers, etc. However, when seeking a marketing assistant, fundraising staff at all levels, business managers, general managers, etc., they often receive few applications, and only a small portion of those may be qualified. This is a commonly viewed as a threat by many arts organizations, but is an opportunity for students who graduate from a quality training program that provides advanced education in their field and area of expertise, and that include a professional internship to fulfill a professional experience expectation.

The MFA in Arts Administration at the University of Connecticut is designed help fill the need for well trained and experienced early career arts administration professionals. In recognition of this important need, the University of Connecticut began an online graduate certificate program in arts administration to further the careers of those working in the field, or assist those seeking to enter it. The MFA program, however, is far more in-depth and intended to produce graduates capable of leadership in their area of expertise upon graduation.

Evidence of this need is most apparent by viewing job postings in *ArtSearch*, the main job search publication for all professional non-profit arts organizations. On a typical day, there may be about 12 job listings in the "artistic" category. On the same day, there will typically be about 60 job openings within their "administration" category. Additionally, there will be a deluge of applications for each of the "artistic" job opportunities, while arts organizations will often see very few applications for their "administrative" openings.

By offering the Master of Fine Arts in Arts Administration, the University of Connecticut will train a new generation of arts leaders who will provide essential administrative leadership for organizations that are at the core of their communities. Graduates of this program will play key management roles at diverse arts organizations nationwide. These organizations play a central role in defining communities and helping to bring them together. This program will enable the University of Connecticut to have educated and trained arts leaders in communities of all kinds throughout the U.S. This includes Connecticut, which has an abundance of world-class arts institutions including five Tony Award-winning theatres (the most of any state), the oldest art museum, multiple major arts presenting venues and numerous galleries, symphonies, choruses, theatres, artist retreats and more.

The MFA in Arts Administration will focus on the following main areas of research and academic investigation:

- Creative – Although we are training administrators, we are training them to serve as leaders in a creative field and we use teaching and research techniques common to training in creative fields such as studio and performing arts. It is critical that arts administrators gain proficiency in the artistic process because even though they will not be creating art themselves, they will spend their careers creating the business environment that fosters the creation of art and, therefore, they must fully understand the artistic process and use that process themselves to engage with artists in developing the appropriate business culture within which the creation of art can thrive.
- Business – Arts organizations are businesses. However, unlike commercial businesses, their purpose is not the creation of wealth or shareholder value, but the pursuit of a mission. MFA graduates will receive substantial training in business practices as they are performed in professional arts institutions including marketing & promotion, fundraising and development, general management, labor relations, budgeting and finance, advocacy, strategic partnerships, board service, contracts, licensing and intellectual property law.
- Collaboration – Art is created by people working together. Even oil painters, for example, work with gallery owners and curators to present shows of their work and apply for residencies at artist retreats to find the best environment for creating their work. Performing artists collaborate on virtually all aspects in order to create a performance. MFA students will be taught advanced methods and approaches to collaboration so that they can perform their important role in the same collaborative manner that artists are accustomed to working within. The program itself demonstrates the necessity of collaboration by including coursework for MFA Arts Administration students in the departments of Public Policy and with faculty from the Business School. Students will also be required to take a law class that is offered through the Department of Art & Art History. The program has made preliminary contacts with, and will further collaborate with, the departments of Economics, Communications and other parts of the University based on the individual pursuits of students. Additionally, this program was included in the School of Fine Arts strategic plan, which was reviewed by all faculty members in the School, and included two focus group discussions. The program was also presented by the Dean and discussed at multiple school-wide faculty meetings during its planning phase.

The curriculum is highly individualized and divided into two main parts: the core curriculum, which all MFA students are required to take, elective courses that fulfill an important student-directed learning objective, and advanced research and training in each student's chosen area of expertise within the general field of arts administration. Examples of areas of specialization include:

- Fundraising and Development
- Marketing
- General Management
- Labor Relations
- Executive Leadership
- Strategic Planning
- Strategic Partnerships
- Community Engagement

- Advocacy
- Budgeting and Finance

Faculty in arts administration will advise students on electives that will best support the pursuit of their area of specialization, in addition to providing individualized advanced research within that area. While electives are, by definition, choices that will be made by the student, the faculty will offer guidance on how the electives will feed into their overall learning focused on their area of specialization.

Faculty in the MFA program will seek students interested in a diverse range of arts disciplines. The administrative practices taught in the program transfer across all types of professional arts including dance, music, theatre, opera, and visual arts of all kinds. Given this diversity, an advisory committee of faculty members from multiple departments within the School of Fine Arts will be formed to help recruit and identify the most qualified students for admission. A minimum of two faculty members from other departments in the School will be invited to serve on the recruiting and admissions advisory committee alongside the arts administration faculty, department head and dean. This advisory committee will help ensure optimal practices in identifying and communicating with potential students, and providing expertise in their discipline to ensure that the best possible candidates are selected.

Upon its final approval, the MFA in Arts Administration at UConn will be accredited by the University Resident Theatre Association (URTA), which provides accreditation for all Department of Dramatic Arts graduate degrees. The MFA in Arts Administration will be subject to review by URTA at the next scheduled accreditation review for all Department of Dramatic Arts graduate programs.

Reasons for the Proposed Program

A key justification for the MFA in Arts Administration is the urgent need for trained, educated administrative staff at arts organizations not only nationwide, but globally. For example, there is an effort to connect UConn students with study abroad opportunities emerging in Cuba. Cuba is an example of a culture where there are many arts organizations. Their government for decades has supported them so that they did not rely on ticket revenue for their financial stability. However, that is changing and in the likely event that government subsidies are reduced or eliminated, there will be an urgent need for arts administrators with expertise in audience development, as well as ticketing, revenue forecasting, promotion and product packaging, and audience services.

Throughout the U.S., and beyond, the need for administrators capable of attracting donors, organizing and promoting sales campaigns, and effectively managing expenses is huge. Additionally, these managers must be knowledgeable about the artistic discipline they are working to advance, and the specific organizational culture they will be working within. Individuals with these skill sets and experience are badly needed by thousands of organizations within the U.S. and countries throughout the world. In the U.S. alone, there were more than

113,000 arts organizations in 2011, according to the National Arts Index[3]. There simply are more organizations that need professionals with the combination of skills, knowledge and experience described here than there are people to perform the work. The result is that many arts organizations have inadequately qualified professional administrative staff, but outstanding artists. The reason for this disparity is that there is an abundance of qualified, talented and available artists, but the reverse is true for the administrators that are necessary for the institutions that create artistic work to function at optimal levels.

A key aspect of the Curriculum is the professional internship. Planned for the second semester of the second year, students in the MFA Arts Administration program will be required to spend a semester at a qualified professional arts institution. The internship is intended to provide students with professional experience, which they will need to be able to cite when searching for jobs in arts administration. By inserting the professional internship into the curriculum of the MFA program, we circumvent they typical "Catch-22" where employers only seek candidates with professional experience but in order to get professional experience candidates have to have been employed. By placing the internship in the curriculum, Arts Administration MFA students will graduate with credible professional experience, that also augments, and is interwoven into, their research and coursework.

Another critical aspect of the MFA in Arts Administration is the work of the graduate assistants. The School of Fine Arts will benefit enormously from having arts administration graduate students working part time in all areas of UConn's School of Fine Arts performance and presentation programs and organizations including the Benton Museum, Connecticut Repertory Theatre, The Jorgensen Center, the Contemporary Arts Gallery, The Ballard Museum of Puppetry, The von derMehden concert series, UConn Opera and numerous student art shows and other productions and presentations. Arts Administration graduate students who have graduate assistantships will also work on general marketing support for the School of Fine Arts itself to help bring cohesion and a greater degree of message consistency to all School of Fine Arts performance and presentation programs. The intention is that arts administration graduate students with graduate assistantships will be placed in UConn School of Fine Arts programs where their developing skills and knowledge can be harnessed to attract even larger audiences and more donors through improved administrative systems developed and managed by the students.

Curriculum & Program Outline

The MFA in Arts Administration will require students to complete 60 hours of course credit divided into five main areas: core curriculum, elective courses, professional internship, advanced topic research in their area of specialization, and the MFA Final Project. The core curriculum includes course work in the major subject areas of arts administration including marketing, fundraising and development, budgeting and finance, governance and leadership. The core curriculum includes an introductory course in arts administration that students will take in their first semester, Core Concepts in Arts Administration. Students will also be required to take a

[3] Kushner, Roland J., and Randy Cohen, eds. *The National Arts Index 2012: An Annual Measure on the Vitality of Arts and Culture 1998 – 2010*. Washington, DC: Americans for the Arts, 2012.

Public Policy course, Business Functions for Nonprofits; a law course, Law and the Arts; and three elective fine arts courses selected by the student to advance their knowledge of the artistic process in areas of interest to them. Although some of these courses will be taught online, all MFA Arts Administration students will be required to be present on the Storrs campus throughout their three-year MFA program, except while away on internship. Students will leave the Storrs campus in the second semester of their second year to perform a professional internship at a qualified arts institution agreed to by the student, their graduate advisor and committee, and the institution.

Another major area of learning for the MFA program is advanced topic research. This learning begins in their first semester and continues through every semester (except while away on internship). All Arts Administration graduate students will be required to participate in Arts Administration Studio – I, in their first year; II, in their second year; and III in their third year. Arts Administration Studio will convene weekly for one hour per session. All MFA Arts Administration students will be present, as well all Arts Administration faculty and a diverse group of guest instructors invited to participate in coursework and research on special projects and case studies. Guest instructors will include the artistic and managing directors of CRT, the directors of the Benton Museum, the Jorgensen Center, the Ballard Institute, the Contemporary Art Galleries, faculty from other School of Fine Arts departments and arts executives from organizations statewide. The purpose of the studios is to engage the students in case study research, using real world cases to integrate with the textbook and other conceptual reading and research. We intend to create a dynamic learning environment, with both peer-to-peer engagement and lectures and presentations by arts administration and visiting faculty and professionals from diverse artistic backgrounds, all conducted in a seminar-based approach.

The presence of a collective of educators and professionals to interpret the case studies and provide feedback to students' case study presentations is an invaluable method for modeling collaboration while investigating how research in this area is conducted and interpreted. During the first year, and first semester of the second year, students will be focused on the core curriculum and electives, and will observe and provide feedback to the research presentations of third year students. During the third year, students will assume a leadership role in the peer-to-peer learning by giving presentations of case study research and reporting on their internship in the first semester of their third year.

In the first semester of the third year, students will also take an Advanced Topic Research course. This will be a highly individualized course that will focus on research and training in the area of specialization they have chosen, and it will serve as a precursor for their MFA Final Project, which they will complete, and present in their final semester and present to their graduate committee and all participants in Arts Administration Studio. This way, first and second year students can observe first-hand what will be expected of them in their third year, which is intended to help them prepare for the high expectations they will be required to meet.

Learning Outcomes

Each graduate of the MFA in Arts Administration program will possess proficiency in the skills and knowledge necessary for a successful professional career. Additionally, because of the highly individualized nature of the degree program, each student will have even more advanced skills, knowledge and experience in their particular area of specialization. The MFA Final Project, with its accompanying documentation, will provide students with evidence of proficiency in their area of specialization.

Upon completion of this program, students will be able to:

- Demonstrate an understanding of the structure and nature of professional arts organizations both in the legal, corporate sense and the philosophical, community service sense
- Articulate and put into practice key concepts encompassed in the arts leadership philosophies of "the artistic process," "artist led institutions," "led collaboration," and "process driven organizations"
- Describe and define all basic organizational activities including marketing, fundraising, governance and budgeting/finance
- Practice basic principles of organizational management and human resource management
- Conduct and present research related to arts administration topics
- Communicate effectively in group settings and provide leadership, under the "led collaboration" model, for related arts administration business and research processes
- Create and analyze arts administration related research
- Model leadership for arts organization based on the "led collaboration" approach
- Engage, collaborate and interact effectively with artists, board members, community leaders, professional staff and peers based on the "artist led institutions" and "process driven organizations" approaches
- Analyze organizational budgets, audited financial statements and Form 990s for nonprofit organizations
- Demonstrate advanced expertise in the practices, activities and philosophies of their area of specialization including creating detailed research, as evidenced by the documentation required for their final project and journal from their professional internship

The combination of their general education (core curriculum and electives) and identified area of specialization will make it feasible for the faculty to assist in placing them in jobs during their final semester. Given the demand for trained, educated and experienced arts administration professionals, there is a high degree of feasibility that each student will have multiple early career opportunities upon graduation.

Each graduate of this program will have all the necessary skills, knowledge, training and professional experience required to immediately begin a professional career in arts administration and, based on their individual drive and ambition, possess all the necessary qualities to become a leader within the field through the course of their careers.

Enrollment & Graduation Projections

The optimal enrollment for this program is 12 graduate students, four in each year of the three-year cycle. It is possible to increase that number to 15, five students in each class. The program will graduate four or five students per year. The budget in this proposal includes funding for six (total) part time graduate assistantships. The remaining six to nine graduate students will not be graduate assistants.

Financial Resources

The MFA in Arts Administration program will be supported by "Ledger 2" funds administered through the School of Fine Arts and the Department of Dramatic Arts. The two tenure track faculty positions within the Dept. of Dramatic Arts were approved in 2013. Therefore, these two salaries (and fringes) have already been accounted for in the "Ledger 2" funding for the Department of Dramatic Arts. The adjunct fee for the online course taught by Ricki Livingston, an adjunct professor in the School of Business, will be paid from earnings associated with the Online Graduate Certificate Program in Arts Administration and is therefore not included within this proposal. The budget for the program will be contained within the budget of the Department of Dramatic Arts, which is part of the budget for the School of Fine Arts, and includes:

Faculty (approved and hired in August, 2013):	
Frank Mack	$155,328
Dale Edwards	$127,273
Graduate Assistants (6 part-time)	$76,644
Contractuals (ongoing):	
Advertising	$2,500
Printing	$1,000
Postage	$2,500
Dues/Memberships	$1,250
Supplies	$ 750
Misc.	$ 500
Subtotal	$8,500

Facilities//Equipment/Library/Special Resources

There is no additional need for facilities, equipment, library or special resources. Because the program is built upon existing educational and programmatic resources and activities, there is no current anticipated need for any new resources of this kind.

Program Administration

The program will be administered through the Department of Dramatic Arts, within the School of Fine Arts. The Department Head, Vincent J. Cardinal, will be responsible for overseeing the academic and operational activities of the program. The program director will be Frank Mack,

Associate Professor of Arts Administration. Professor Mack will serve as the main academic advisor, in collaboration with Assistant Professor Dale Edwards. The program budget will be administered by the Department of Dramatic Arts in the same manner as all other degree programs within the department, with the Department Head approving all related expenses and the budget monitored by the Program Director in collaboration with the departmental Business Manager.

Recruitment, scheduling, advising and teaching assignments will be led by the Department Head in coordination with the program director, and the program director will be responsible for day-to-day operations of the programs, reporting to (and meeting weekly with) the Department Head.

The Dean of the School of Fine Arts oversees all departments and agencies within the School and will also receive regular reports on activities within the program from the department head and program director, as requested. In this case, the School of Fine Arts Dean may also play an advisory role in the assignment of graduate assistantships in support of the School's administrative needs in order to build administrative capacity within various arts administration activities throughout the School.

Faculty

Frank Mack, Associate Professor & Director of Arts Administration, & Management Associate for Connecticut Repertory Theatre

Dale Edwards, Assistant Professor of Arts Administration and Marketing Advisor, School of Fine Arts

Ricki Livingston, Adjunct Professor of Accounting

Similar Programs in Connecticut or Region

Boston University	Arts Administration Program
Brooklyn College	Performing Arts Management
Castleton College	Castleton College Online Masters in Arts Administration
New York Institute of Technology	MA in Leadership in the Arts & Entertainment Industries
NYU Steinhardt School of Culture Education and Human Development	Visual Arts Administration MA Program
NYU Steinhardt School of Culture Education and	Performing Arts Administration

Human Development	
Rowan University College of Graduate & Continuing Education	M.A. in Theatre: Arts Administration
Syracuse University	Janklow Arts Leadership Program
University at Buffalo-SUNY	Arts Management Program
Yale University School of Drama	Theater Management Department

Master of Fine Arts in Arts Administration As of Sept. 23, 2015
Curriculum Plan

	FALL	CREDIT	SPRING	CREDIT	TOTAL
Year 1	DRAM 5110, Core Concepts in Arts Adm (core)	3	FINA, XXXX, Elective – see list below (core)	3	
	DRAM 5121, Governance & Leadership (core, blended) (AC)	3	PP 5328, Business Functions for Nonprofits (core, online)	3	
	DRAM 5122, Fundraising & Development (core, blended) (AC)	3	FINA 3XXX, Law and the Arts (core)	3	
	DRAM 5114, Arts Adm Studio I (core) (AC)	1	DRAM 5115, Arts Adm Studio II (core) (AC)	1	
	Subtotal	10	Subtotal	10	20
Year 2	DRAM 5123, Marketing (core, blended) (AC)	3	DRAM 5111, Arts Admin Professional Internship	12	
	DRAM 5124, Finance/Budgeting (core, blended) (AC)	3			
	FINA, XXXX, Elective – see list below (core)	3			
	DRAM 5116, Arts Adm Studio III (core) (AC)	1			
	Subtotal	10	Subtotal	12	22
Year 3	DRAM 5117, Arts Adm Studio IV (AC)	3	DRAM 5118, Arts Adm Studio V (AC)	3	
	DRAM 5112, Advanced Topic Research – see list (AC)	3	DRAM 5113, MFA Project	6	
	FINA, XXXX, Elective – see list below (core) (see list)	3			
	Subtotal	9	Subtotal	9	18
Total					60

SAMPLE ELECTIVE LIST
ARTH 5320, Issues in Art Criticism
ARTH, 5370, Issues in Contemporary Art
ARTH, 5330, Curatorial Practice
ART 3570, History of Digital Arts
ART 3130 Fundamentals of Web Design
ART 4120 Publication Design
MUSIC 3421, Music in World Cultures
MUSIC 3410, Music History & Ideas
MUSIC 3611, History of Jazz
DRAM 3138, Trends in Contemporary Theatre
DRAM 5131, Studies in Theatre History
DRAM 5615, Trends in American Puppetry Aesthetics
NOTE: These sample courses are general in nature, not specific performance or artistic technique

ADVANCED TOPIC RESEARCH LIST (Additional Topics Possible)
Fundraising & Development
Marketing
General Management
Labor Relations
Executive Leadership
Strategic Planning
Strategic Partnerships
Community Engagement
Advocacy
Budgeting and Finance

NOTE: This is a highly individualized curriculum approach and advanced research topics will be based on each student's learning and career objectives

NOTE: Arts Admin Graduate students will be required to attend a minimum number of SFA events on the Storrs campus, and elsewhere, each semester

development courses. It is not a comprehensive list, students can choose from a wide range. The purpose of the electives is student-directed learning to further students' knowledge of the artistic process.

NOTE: (AC) stands for Advance Coursework and equals 24 hours total, as required by the Grad School
NOTE: Advisory Committee will consist of Major Advisor and two additional faculty members chosen by each candidate
NOTE: No more than six hours of coursework at the 3000 or 4000 level is allowed

ATTACHMENT 6

UCONN
UNIVERSITY OF CONNECTICUT

Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: LL.M. (Master of Law) in Intellectual Property Law and Information Governance

RECOMMENDATION:

That the Board of Trustees approve the LL.M. (Master of Law) in Intellectual Property Law and Information Governance.

BACKGROUND:

An LL.M. in Intellectual Property Law and Information Governance is a logical extension of the successful Certificate in Intellectual Property that the University of Connecticut Law School has offered since 2001, graduating 107 students over the past five years. Establishing an LL.M. program in Intellectual Property Law and Information Governance will coordinate the Law School's considerable course offerings in the field of intellectual property law. The Law School's expertise in this important area focuses on both conceptual and practice oriented approaches. While many American law schools recognize the economic significance of intellectual property as a practice area within the United States, the Law School's long-standing strength has been its layered curriculum which begins with a foundational course in general principles and continues through its commitment to examining global intellectual property as a system of transnational information governance.

The identification of the UConn School of Law program as information governance suggests a distinctive focus on training beyond practice skills in protection of intellectual property to preparing students to participate in policy debates over the boundaries between private protection and the public domain, to integrate conceptual and practical dimensions of the field into a coherent whole, and to frame rules governing information in corporations and non-profit settings.

A new LL.M. in Intellectual Property Law and Information Governance would position UConn Law School students to meet the demands of a sophisticated knowledge economy. Its training in this area would facilitate graduates providing legal guidance in the areas of pharmaceuticals, software, and emerging technologies as well as in such creative industries as publishing, marketing, and entertainment. The LL.M. in Intellectual Property Law and Information Governance will prepare graduates for the global business environment, for policy work, and to meet the pressing need for understanding how to balance competing private and public demands for innovation in the arts, markets, and new technologies.

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Item: LL.M. (Master of Law) in Intellectual Property Law and Information Governance

Background and Rationale

An LL.M. in Intellectual Property Law and Information Governance is a logical extension of the successful Certificate in Intellectual Property that the University of Connecticut Law School has offered since 2001, graduating 107 students over the past five years. Establishing an LL.M. program in Intellectual Property Law and Information Governance will coordinate the Law School's considerable course offerings in the field of intellectual property law. The Law School's expertise in this important area focuses on both conceptual and practice oriented approaches. While many American law schools recognize the economic significance of intellectual property as a practice area within the United States, the Law School's long-standing strength has been its layered curriculum which begins with a foundational course in general principles and continues through its commitment to examining global intellectual property as a system of transnational information governance. The identification of the UConn School of Law program as information governance suggests a distinctive focus on training beyond practice skills in protection of intellectual property to preparing students to participate in policy debates over the boundaries between private protection and the public domain, to integrate conceptual and practical dimensions of the field into a coherent whole, and to frame rules governing information in corporations and non-profit settings. The University of Connecticut School of Law has a unique opportunity to launch one of the very few graduate programs in intellectual property law with these ambitions.

A new LL.M. in Intellectual Property Law and Information Governance would position UConn Law School students to meet the demands of a sophisticated knowledge economy. Its training in this area would facilitate graduates providing legal guidance in the areas of pharmaceuticals, software, and emerging technologies as well as in such creative industries as publishing, marketing, and entertainment. Moreover, graduates might be employed in private corporations to draft rules regulating trade secrets, function in government agencies—such as the Copyright Office and the Patent and Trademark Office—to administer intellectual property rights, serve as NGO advocates for the public domain, or evaluate risk management for knowledge assets in the course of global trade. The Law School's curriculum includes training in the economics of information creation and dissemination, the theoretical and historical underpinnings of intellectual property, legal practice training—especially within the framework of the Intellectual Property Law and Entrepreneurship Clinic and the Intellectual Property Practicum, public policy analysis, and comparative and international law. The LL.M. in Intellectual Property Law and Information Governance will prepare graduates for the global business environment, for policy work, and to meet the pressing need for understanding how to balance competing private and public demands for innovation in the arts, markets, and new technologies.

Eligible Students

This LL.M. program is designed for candidates holding a law degree from either a law school in the United States or an accredited law program in another country. The Law School is not presently considering an online option for this program, though it will periodically revisit this

decision, depending on faculty interest and student demand. The program will draw on the Law School's extensive curriculum in this area and we do not anticipate the need to develop new courses in the first years of the program. All courses would be open to both J.D. and LL.M. students, as is the practice with our existing LL.M. programs. UConn J.D. students pursuing the LL.M. would not be permitted to enroll in courses already taken towards the J.D., and up to twelve credits of previous J.D. courses could be counted towards the LL.M., according to the discretion of the Director of the LL.M. program.

List of Law Courses Offered in the Recent Past or Projected for the Near Future

As indicated below, there are sufficient courses taught at the Law School presently to support the proposed new degree program. At registration, each student would formulate a curricular plan of study to be approved by the Director of the LL.M to ensure balance and coherence.

7600 Administrative Law
7735 Advanced Patent Law
7739 Antitrust
7829 Comparative Intellectual Property Law
7834 Copyright
7933 Copyright in the Digital Age
7707 Cybersecurity and Privacy Regulation
7870 Entertainment Law
7653 European Human Rights Law
7869 Global Intellectual Property Policy
7784 Historic Preservation and Public Policy
7558 Human Rights and Intellectual Property
7877 Intellectual Property Clinic
7798 Intellectual Property Clinic: Advanced Fieldwork
7715 Intellectual Property Law
TBA Intellectual Property Practicum
7868 Intellectual Property: Historical Perspectives
7732 Intellectual Property: Theory & Policy
7677 International Business Transactions
7705 Legal & Cultural Issues in Cyberspace
7889 Legal Regulation of Art & Public Culture
7911 Media and the Law
7716 Patent Law
7753 Patent Litigation
7757 Strategic Decisions in Intellectual Property
7693 Taxation of Intellectual Property
7760 Technology, Innovation, & Intellectual Property Workshop
7939 Trademark Law

Learning Outcomes

On successful completion of the LL.M. in Intellectual Property Law and Information Governance, students will be able to:

- Identify and formulate the substantive law and legal theories central to intellectual property law.
- Establish conceptual and strategic links across a wide array of intellectual property regimes.
- Apply the relevant domestic and international intellectual property legal rules to the protection and regulation of knowledge, analyzing problems intersecting a variety of jurisdictions and the introduction of new technologies.
- Demonstrate the professional skills that are generally regarded as necessary to effectively practice as attorneys in domestic US and international institutions that address intellectual property rights.
- Demonstrate competency in the type of legal research required by intellectual property legal firms, agencies, and institutions, including writing reports and communicating ideas through oral presentations.

Enrollment & Graduation Projections

We estimate that between 3-6 students will enroll in the program's first year, increasing to 8-10 students within several years. Assuming several of these students would have enrolled in our U.S. Legal Studies LL.M. program with an Intellectual Property Law and Information Governance specialization, this program should attract between 6-8 students a year that otherwise would not matriculate at the University of Connecticut. International students at the University on a visa can complete the Program in 2-3 semesters of full-time study, depending on their academic background and experience in this subject area. Domestic students can enroll either full-time or part-time with the expectation that they will graduate within 5 years. Many domestic students will already be working as professionals in related fields and permitting part-time status will benefit both these students and the School, by allowing individuals with substantial legal or other relevant experience to participate in the program.

Financial Resources

Program charges will be fee-based and identical in cost and structure to the long-established LL.M. Program in U.S. Legal Studies. Using the 2015-16 academic year to illustrate, this would equal $1155 per credit, with a total fee cost of $27,720. Fees in 2016 may be higher. Required University fees, such as the Technology fee, would be in addition to the program cost. The Program will request a $75 application fee.

Establishing this Program will not require hiring additional full-time faculty or creation of a new administrative office. The International and Graduate Programs Office will run the program with the only anticipated additional personnel cost of hiring a second program administrator to help support all three new LL.M. programs. This program, in conjunction with

other proposed LL.M. programs, will bring in revenue that exceeds its incremental costs, shoulder some of the personnel costs now paid solely by our existing LL.M. programs, and contribute financially and academically to the Law School's primary mission of educating J.D. students.

Facilities/Equipment/Library/Special Resources

This program will not require new classrooms other space, or any new equipment. The Law Library's collection in this area has been strengthened over the years by Faculty assistance in building collections LL.M. students in this Program will use existing facilities and all courses will be open to J.D. and LL.M. students, as is the Law School's current practice. Should this program later add online or distance learning components, it will contribute to the additional Law School IT resources necessary to implement and maintain these components, as well utilizing University resources in online design and curriculum development. Additional revenue obtained through an online component would easily exceed the costs of any additional IT support.

Program Administration

Professor Steven Wilf, presently Anthony J. Smits Professor of Global Commerce at the Law School, will be responsible for the academic components of the LL.M. in Intellectual Property Law and Information Governance. Professor Wilf's scholarship and teaching focuses on the development of intellectual property within its social and economic context. In addition to three books, he has published numerous articles in a broad array of intellectual property fields, including trade secret, trademark, copyright, and patent. Two of his articles were selected for annual reviews featuring the leading articles in the field. Professor Wilf has been a visiting professor at Hebrew University, Jerusalem, and DAAD guest professor at the Freie Universität, Berlin. His research fellowships include a fellowship in Comparative Legal History at the University of Chicago and a Lemelson Fellowship for the Study of Invention and Innovation at the Smithsonian Institution. In 2010-2011, he was the Microsoft Fellow in Law, Property, and the Economic Organization of Society at Princeton University's Program in Law and Public Affairs (LAPA). During the academic year 2013-2014, he was the Elizabeth S. and Richard M. Cashin Fellow at Harvard University's Radcliffe Institute for Advanced Studies. With a co-investigator working under a UK Arts and Humanities Research Council grant, Professor Wilf is editing a volume entitled *Fashioning Global Patent Cultures: Diversity and Harmonization in Historical Perspective*. Professor Wilf is also completing a history of United States intellectual property law for Cambridge University Press.

The program will be administered by the International and Graduate Programs Office (Office), which is currently responsible for the International Exchange Program, the four existing LL.M. programs (U.S. Legal Studies; Insurance Law; Energy & Environmental Law; and Human Rights & Social Justice) as well as the S.J.D. program. As it does for these programs, the Office will, together with the faculty administrators of the program, be responsible for Admissions processing, student programing and academic counseling. The Office will work with the Law School Registrar, Business Office, Student Finance Office, Career Planning, and Associate Dean for Academic Affairs and assist them in administrative issues related to LL.M. students in this

Program. The program's faculty administrators and the Director of Graduate Admissions will make admission decisions.

Office administrators include the Director of Graduate and Exchange Programs, the Director of Graduate Admissions, and the Program Assistant. In addition, the Program Coordinator of the Intellectual Property and Entrepreneurship Law Clinic will be responsible for assisting the administration of the Intellectual Property Law and Information Governance LL.M. program, which includes domestic and international LL.M. students.

The program's academic requirements will match those in our existing LL.M. programs. To summarize: LL.M. students are required to complete a minimum of 24 credits within 2-3 semesters, which must include a research paper or thesis. As part of the credit requirements international students must take both U.S. Law & Legal Institutions and Legal Research and Writing, along with any mandatory foundation courses. Applicants must hold at least an initial law degree or a graduate degree in a related field and meet minimum English fluency standards. The International and Graduate Programs Office will coordinate international admissions with the University's visa office (ISSS) as it currently does with existing programs.

UConn Law Faculty

Jill Anderson
Sara Bronin
Mathilde Cohen
Michael Fischl
Linda Gebauer
Hillary Greene
Peter Kochenburger
Lewis Kurlantzick
Alexandra Lahav
Molly Land
Leslie Levin
Peter Lindseth
Willajeanne McLean
Peter Siegelman
Steven Wilf

Similar Programs in Connecticut or Region

While Boston University offers an LL.M. in Intellectual Property Law, this program would constitute the only dedicated LL.M. in New England that encompasses a special commitment to policy and global intellectual property law. Franklin Pierce Center for IP at the University of New Hampshire offers an LL.M. in Intellectual Property whose particular strength is training in practice-based skills. Harvard Law School and Yale School have a general LL.M. that allows students to pursue advanced training in a broad array of legal fields without specialization.

ATTACHMENT 7

UCONN
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Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Graduate Certificate in Corporate and Regulatory Compliance

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Corporate and Regulatory Compliance.

BACKGROUND:

Compliance is emerging as a hybrid law-management function across a broad range of organizations, and the need for compliance officers is high. Regulatory changes and increasing complexity are driving the demand. This certificate is intended to serve graduate students in law and business as well as post-baccalaureates interested in commencing or augmenting a career in compliance, as well as for compliance managers and leaders who want to improve the effectiveness of their firm's compliance function.

Upon successful completion of the program, students will be able to develop and administer an effective and value-added compliance program within an organization. Successful students will be able to develop core elements of an effective compliance program, know how to develop an organizational culture that encourages ethical conduct and a commitment to compliance with the law, and understand how to implement compliance initiatives that support the goals of the enterprise.

The Graduate Certificate in Corporate and Regulatory Compliance is comprised of four on-campus and tuition-based courses. The program is jointly offered by the School of Business and School of Law.

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Request for New UConn Certificate Program

Program information

Name of certificate program: Corporate and Regulatory Compliance
Name of sponsoring departments: Marketing and Law School (non-departmentalized)
Name of Department Head: Robin Coulter (Marketing) / Timothy Fisher (Law dean, non-departmentalized)
Name of sponsoring Schools: School of Business / School of Law
Director of certificate program: Joint committee oversight: Peter Lindseth (Prof. of Law), Joseph MacDougald (Prof. in Res. of Law), Robert Bird (Assoc. Prof. of Bus. Law), Stephen Park (Asst. Prof. of Bus. Law). Administrative contacts: Yan Hong (Law), Moira Rosek (Business)

Type of certificate: Graduate, tuition-based, on campus.

CIP Code: 22.0205

Anticipated start date:	Spring of 2016
Anticipated date of first graduation:	Spring of 2017
Projected annual enrollments:	60 (4 courses of 15)

Program outline and description of program learning outcomes

Compliance is emerging as a hybrid law-management function across a broad range of organizations, and the need for compliance officers is high. Regulatory changes and increasing complexity are driving the demand. This certificate is intended to serve graduate students in law and business as well as post-baccalaureates interested in commencing or augmenting a career in compliance, as well as for compliance managers and leaders who want to improve the effectiveness of their firm's compliance function.

Describe the educational prerequisites required of students for admission to this program.

An undergraduate degree from an accredited institution.

Describe similar programs nationally, regionally, or in CT.

Fordham University and New York University have one-week intensive compliance training programs. Widener University has a certificate in global compliance and ethics. New England College of Business has a compliance curriculum. Examples of more developed programs are a graduate law (LLM) corporate program at Fordham University School of Law and a similar LLM degree program in organizational ethics and compliance at the University of St. Thomas (MN). Short-term, topic specific programs (e.g. health care) are available at scattered universities as well.

Describe the program learning outcomes.

Upon successful completion of the program, students will be able to develop and administer an effective and value-added compliance program within an organization. Successful students will be able to develop core elements of an effective compliance program, know how to develop an

organizational culture that encourages ethical conduct and a commitment to compliance with the law, and understand how to implement compliance initiatives that support the goals of the enterprise.

Curriculum information

Total number of credits required: 12

Required courses

For JD (law) students/JD-degree holders

1. LAW7554 Compliance: The Legal Perspective (3 credits)

2. LAW7553 Case Studies in Compliance Systems (3 credits)

3. BLAW5894 Global Compliance and the Organization

4. One course from the suite of electives listed in "Elective Courses" below.

For MBA (business) students/post-baccalaureate students

1. BLAW5175 Business, Law & Ethics in Modern Society (3 credits) **or** BLAW5181 Government and the Legal Environment of Business (1.5 credits) and BLAW5182 Business Responsibility, Accountability, and Ethics (1.5 credits).

2. LAW7554 Compliance: The Legal Perspective (3 credits)

3. LAW7553 Case Studies in Compliance Systems (3 credits)

4. BLAW5894 Global Compliance and the Organization (3 credits)

Elective courses

Individuals who either already possess a JD degree or are enrolled in a JD-degree program will choose from one of the following 3-credit electives:

LAW7724 Securities Regulation
LAW7650 Environmental Law
LAW7677 International Business Transactions
LAW7962 International Trade Compliance
LAW7755 Accountability and Compliance in Criminal and International Law
LAW7737 Banking Law and Regulation
LAW7673 Alternatives to Managing Risk
LAW7675 Principles of Insurance
LAW7717 Insurance Regulation
LAW7773 Employment Law
LAW7844 Externship Clinic.
ACCT5121 Financial Accounting & Reporting
FNCE5151 Introduction to Economic Markets
MGMT5138 Managing Organizations
MGMT5223 Organization Development & Managing Change*
MKTG5115 Marketing Management
OPIM5165 Management Information Systems
OPIM5177 Enterprise Security, Governance And Audit*
OPIM5604 Predictive Modeling**
BLAW5220 Employment Law

BLAW5660 International Business Law
BLAW5680 Securities Law

* By permission of instructor
** By permission of instructor; formal background in statistics required

Detailed course information

BLAW5175 - Robert Bird, Associate Professor of Business Law or David Ware Adjunct Professor of Business Law (every semester, existing course)

BLAW5181/5182 - Robert Bird, Associate Professor of Business Law (once a year, existing course)

LAW7554 - Peter Lindseth, Professor of Law (once a year, new approved course)

LAW7553 - Thalia Townsend, Adjunct Professor of Law (Partner, Wiggin & Dana, New Haven) (once a year, new approved course)

BLAW5894 - Robert Bird, Associate Professor of Business Law (once a year, new course - permitted as 'experimental' course, approval pending)

Suite of electives – all of these three credit courses are currently already being taught by faculty in the JD and MBA programs respectively. Note: The law and business faculties will regularly monitor this list of electives and make additions or deletions necessary to keep pace with the changing nature of the corporate and regulatory compliance landscape.

Program evaluation

The success of the program will be initially based on total enrollments as well as placement of certificate recipients. In addition, the program organizers will be in regular contact with major employers in the state and the region to ensure that the program is meeting their training needs.

ATTACHMENT 8

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Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Graduate Certificate in Global Risk Management

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Global Risk Management.

BACKGROUND:

The global risk management community is growing dramatically, with Risk Management Professionals represented at nearly every major banking institution, government regulator, consulting firm and financial services institution around the world. Therefore, potential applicants to the Graduate Certificate in Global Risk Management include risk management professionals, career changers and recent college graduates. Professionals in early stages of their careers may benefit from the breadth of the Certificate in Global Risk Management (CGRM), while more established practitioners may choose to join CGRM in order to ensure that they are apprised of the latest trends in risk management. Career changers, such as those previously working in non-risk roles, may become candidates for CGRM in order to broaden their opportunities by gaining specialized knowledge in an area of financial services that is continuing to grow rapidly across the globe. Recent graduates with an interest in risk management may elect to join CGRM in order to distinguish themselves for admission degrees programs, such as UConn's MS in Financial Risk Management (MSFRM). The CGRM program will act as a feeder to all potential applicants and offers an attractive bridge into the MSFRM program. With that, the certificate program will be self-sufficient. The program comprises market, credit, operational risk and risk management structures. To make it globally pertinent, various case studies across continents such as North America, Europe, Asia and Africa are included.

Students will acquire an understanding of market, credit and operational risk, as well as risk management frameworks and structures and the ability to apply these principles effectively in decision making; develop the ability to identify, research and analyze risk management issues; learn to use technology and tools appropriate to risk management; and master the knowledge and awareness necessary to successfully pursue a MS in Financial Risk Management.

The Graduate Certificate in Global Risk Management is comprised of four fee-based online courses.

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Request for New UConn Certificate Program

Program information

Name of certificate program: Certificate in Global Risk Management
Sponsoring Department: Finance Department
Department Head: Chinmoy Ghosh
Sponsoring School: School of Business
Type of Certificate: Online, Graduate, Fee-Based
Director of certificate program: Chinmoy Ghosh
CIP Code: 52.130
Anticipated start date: Spring 2016
Anticipated date of first graduation: May 2016
Projected annual enrollments: 100 (4 courses x 25 students per course)

Program outline and description of program learning outcomes

The global risk management community is growing dramatically, with Risk Management Professionals represented at nearly every major banking institution, government regulator, consulting firm and financial services institution around the world. Therefore, the potential applicants are likely to be risk management professionals, career changers and recent graduates. Based on feedback received, organizations want to enroll some of their employees. Professionals in early stages of their careers may benefit from the breadth of the Certificate in Global Risk Management (CGRM), while more established practitioners may choose to join CGRM in order to ensure that they are apprised of the latest trends in risk management. Career changers, such as those previously working in non-risk roles, may become candidates for CGRM in order to broaden their opportunities by gaining specialized knowledge in an area of financial services that is continuing to grow rapidly across the globe. Recent graduates with an interest in risk management may elect to join CGRM in order to distinguish themselves for admission degrees programs, such as UConn's MS in Financial Risk Management (MSFRM). The CGRM will attract potential MSFRM applicants who have no prior exposure to risk management. Potential applicants to the MSFRM program vary based on their prior professional experience level, academic backgrounds, and familiarity with risk management concepts. The CGRM program will act as a feeder to all potential applicants and would offer an attractive bridge into the MSFRM program. With that, the certificate program will be self-sufficient. The program comprises market, credit, operational risk and risk management structures. To make it globally pertinent, various case studies across continents such as North America, Europe, Asia and Africa are included.

Describe the educational or professional prerequisites of students for admission to this program.

The admission requirements that will be applied in the admission to the CGRM include:

1. Completed undergraduate degree

2. Personal interview and/or essays
3. Work experience (preferred)

Describe similar programs nationally, regionally, or in CT.

There are very few similar programs in our region, and none in Connecticut. Having conducted a competitive review, we do find online risk management certificates at Boston University and at NYU; however, these programs focus on specific areas of risk management, namely operational risk management at BU and enterprise risk management at NYU. The department also reviewed online offerings of several non-academic professional organizations like GARP and PRMIA, but their programs lack the academic rigor and do not cover the global course content/topics that our CGRM highlights.

Describe the program learning outcomes.

Graduates of the Certificate will:

1. Acquire an understanding of market, credit and operational risk, as well as risk management frameworks and structures and the ability to apply these principles effectively in decision making.
2. Develop the ability to identify, research and analyze risk management issues.
3. Learn to use technology and tools appropriate to risk management.
4. Master the knowledge and awareness necessary to successfully pursue a MS in Financial Risk Management.

Curriculum information

Total number of credits required: 12 credits (four 3-credit courses)

Required courses

List the course number, title, and number of credits for each required course in the program.

1. **FNCE 5894 Market Risk Analysis**: This course examines the way in which financial institutions and corporations assess, control and mitigate market risk. (3 credits)
2. **FNCE 5894 Risk Management Structures:** This course explains why and how an organization manages its risks on an enterprise-wide basis. (3 credits)
3. **FNCE 5894 Operational Risk Management:** The course examines the operational risk decision making process through a progressive series of modules that comprise operational risk governance, culture and awareness, risk policies and procedures, operational risk appetite, reputational risk, legal risk, governance and compliance. (3 credits)
4. **FNCE 5894 Credit Risk Management:** The course examines the origins of credit risk, its manifestations and its consequences. It discusses tools and techniques to manage and mitigate credit risk. (3 credits)

Detailed course information

FNCE 5894 Market Risk Analysis: Chinmoy Ghosh, Finance Department Head
Frequency of offering: once per academic year
Approval status: approved by School of Business

FNCE 5894 Risk Management Structures: Chinmoy Ghosh, Finance Department Head
Frequency of offering: once per academic year
Approval status: approved by School of Business

FNCE 5894 Operational Risk Management: Michel Rakotomavo, Associate Professor, Finance Department
Frequency of offering: once per academic year
Approval status: approved by School of Business

FNCE 5894 Credit Risk Management: Michel Rakotomavo, Associate Professor, Finance Department
Frequency of offering: once per academic year
Approval status: approved by School of Business

Program evaluation

Program Evaluation will be performed through Student Teaching Evaluations.

Resources available to support the program

Financial resources

The Certificate will be managed in the Finance Department at the School of Business using the current staff. eCampus will provide support for instructional design. The cost of the certificate will be based on the same per credit fee as our MSFRM degree ($1,266 per credit; $3,798 per 3-credit course).

Facilities/Equipment/Library/Special Resources

Students will utilize HuskyCT, and they will have access to library and technical support resources.

ATTACHMENT 9

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Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Graduate Certificate in Neurobiology of Language

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Neurobiology of Language.

BACKGROUND:

In spring 2012, the National Science Foundation awarded an IGERT grant (for Integrative Graduate Education, Research and Traineeship) to UConn. The funded project, "Language plasticity - Genes, Brain, Cognition and Computation," is led by Dr. James Magnuson, together with Drs. Carl Coelho, Holly Fitch, Kenneth Pugh and William Snyder.

With the help of this funding, the faculty created a new, interdisciplinary graduate training program in the neurobiology of language. The program is aimed at students who have already been admitted to one of six UConn Ph.D. programs: Linguistics; SLHS (Speech, Language & Hearing Sciences); or (within the Psychology Department) Behavioral Neuroscience, Clinical Psychology, Developmental Psychology, or Perception-Action-Cognition. With consent of their major advisor, such students may apply to participate in the neurobiology of language program (NBLP) while they are earning a Ph.D. in their home department.

Students in the NBLP complete a set of four specialized courses. The students in the program also receive special opportunities to conduct interdisciplinary research on the neurobiology of language, wherein they work with faculty and graduate students outside their home Ph.D. program. After completing the four courses, a student has a substantial knowledge of state-of-the-art research in this emerging, interdisciplinary field. Documentation of this training with a graduate certificate will make these students more competitive for top tenure-track assistant professorships when students complete the Ph.D.

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Item: Graduate Certificate in Neurobiology of Language

Background & Description

In Spring 2012, the National Science Foundation awarded an IGERT grant (for Integrative Graduate Education, Research and Traineeship) to UConn. The funded project, "Language plasticity - Genes, Brain, Cognition and Computation," is led by (PI) Dr. James Magnuson, together with (Co-PIs) Drs. Carl Coelho, Holly Fitch, Kenneth Pugh and William Snyder.

With the help of this funding, we have created a new, interdisciplinary graduate training program in the neurobiology of language. The program is aimed at students who have already been admitted to one of six UConn Ph.D. programs: Linguistics; SLHS (Speech, Language & Hearing Sciences); or (within the Psychology Department) Behavioral Neuroscience, Clinical Psychology, Developmental Psychology, or Perception-Action-Cognition. With consent of their major advisor, such students may apply to participate in the neurobiology-of-language program (NBLP) while they are earning a Ph.D. in their home department.

Students in the NBLP complete a set of four specialized courses (COGS 5120 'Structure, Acquisition and Processing of Language'; COGS 5130 'Neurodevelopment and Plasticity'; COGS 5140 'Neurobiology of Language: Typical and Atypical Cognition and Language Development'; and COGS 5150 'Cognitive Neuroscience of Language Across the Lifespan'). The students in the program also receive special opportunities to conduct interdisciplinary research on the neurobiology of language, wherein they work with faculty and graduate students outside their home Ph.D. program.

Reasons for the Proposed Program

At present there is no mechanism by which we can formally recognize the students who successfully complete the NBLP. Yet, these students have a substantial, coherent body of training that goes beyond what is offered within any single Ph.D. program at UConn.

We therefore propose that a Graduate Certificate in the Neurobiology of Language should be conferred on any student who passes all four of the specialized graduate courses that are required by the NBLP.

After completing those courses, a student has a substantial knowledge of state-of-the-art research in this emerging, interdisciplinary field. Documentation of this training with a graduate certificate, we believe, will make these students more competitive for top tenure-track assistant professorships when they complete the Ph.D.

Curriculum & Program Outline

As noted above, the four specialized courses that constitute the core of the NBLP are as follows:

COGS 5120 - Structure, Acquisition And Processing Of Language

Selected topics in syntax, semantics, phonology, morphology. Connections to current research in language acquisition, sentence processing, neurogenic disorders.

COGS 5130 - Neurodevelopment And Plasticity

Overview of brain development including: embryonic neurogenetics; evolution and evo-devo; how emergent behavioral capabilities reflect neural growth in neurobehavioral development; and how disruptions of neurodevelopment cause developmental disabilities. Also offered as PSYC 5150. Offered bi-annually in Spring semester.

COGS 5140 - Neurobiology Of Language: Typical And Atypical Cognition And Language Development

Survey of current research on language acquisition in developmentally delayed/pathological populations, including but not restricted to Autism, Williams Syndrome, Down Syndrome, and Specific Language Impairment. Examination of what the language delays and deficits reveal about each disorder, the processes of language acquisition, the representation and organization of language, and the biology/neuropsychology/genetics of language. Also offered as PSYC 5445.

COGS 5150 - Cognitive Neuroscience Of Language Across The Lifespan

The cognitive neuroscience approach to the study of language across the lifespan. Recent advances in neuroimaging techniques such as MRI, ERP, TMS, and fNIRS are combined with classic lesion studies to address the neurobiological bases of typical and atypical language processing.

Each of these courses is worth three credits. Thus, our proposed graduate certificate requires a total of 12 credits of coursework.

Learning Outcomes

After completing all four courses required for the proposed certificate, a student will have engaged with cutting-edge research in all of the following areas:

1. The knowledge, mostly unconscious, by virtue of which a person is a fluent native speaker of a given language;

2. The process by which that knowledge of language is acquired, during typical human development, including consideration of genetic, neurobiological, cognitive, and linguistic dimensions of that process;

3. The factors that are known to disrupt typical language acquisition, and their precise consequences; and

4. The types of neurological events that can disrupt an adult's capacity for language, and the mechanisms by which they do so.

In all four of these areas, students receive instruction from faculty (often a pair of instructors from different Ph.D. programs) who bring relevant expertise from multiple areas, such as theoretical linguistics, computational neuroscience, developmental disorders, functional neuroimaging, experimental psycholinguistics, developmental linguistics, neuroanatomy and studies language from a different perspective. No single research community (whether viewed by department, discipline, or methodology) will be able to provide a comprehensive understanding of language by itself. Individuals with the type of training we provide in the NBLP are therefore essential, if we are to achieve a full understanding neurophysiology, developmental psychology, or aphasiology.

The result of this training is that the student is qualified to serve as a "bridge" between different communities of scientists, each of which of the human capacity for language.

Enrollment & Graduation Projections

Under the auspices of the IGERT training grant, the NBLP is currently admitting 5-10 students per year. Note, however, that students who are not formally affiliated with the IGERT grant can nonetheless earn the graduate certificate, as long as they complete the required coursework. Thus, we expect that eventually, about 10-12 graduate certificates may be awarded each year.

We expect that students who undertake this coursework will be able to complete the requirements of their home Ph.D. program with little if any extra time, especially if the student's major advisor approves the use of these courses to satisfy breadth requirements (or the like) within that Ph.D. program.

Financial Resources

At present, U.S. citizens who are admitted to the NBLP via the IGERT training grant are eligible for two years of financial support from our NSF grant. In the years after this grant support has ended, however (and even now, for students in the NBLP who are not U.S. citizens, or students in the NBLP who are not awarded NSF funding), all support comes from the usual sources available in the home Ph.D. program.

Our plan is to continue staffing the four required courses primarily with faculty who are eligible to teach a graduate subject of their choosing. Two of the courses, COGS 5130 and 5140, are cross-listed in the Psychology Department. We hope this will sometimes make it easier for those courses to count towards a faculty member's regular teaching load.

Facilities/Equipment/Library/Special Resources

We do not foresee any special requirements for facilities, equipment, library use, or other resources.

Program Administration

Administrative responsibility for the proposed certificate program will rest with the Chair of the NBLP's Committee on Academics. At present the Chair is Professor William Snyder, in the Department of Linguistics.

Faculty

Core Faculty of the Neurobiology-of-Language Program:

James Magnuson (PI): *Psychology (Perception-Action-Cognition)*

Carl Coelho (Co-PI)*: Speech, Language & Hearing Sciences*

R. Holly Fitch (Co-PI)*: Psychology (Behavioral Neuroscience); Physiology and Neurobiology*

Ken Pugh (Co-PI): *Psychology (Perception-Action-Cognition)*

William Snyder (Co-PI)*: Linguistics*

Gerry Altmann: *Psychology (Perception-Action-Cognition)*

Andrea Calabrese: *Linguistics*

Marie Coppola: *Psychology (Developmental); Linguistics*

Inge-Marie Eigsti: *Psychology (Clinical)*

Deborah Fein*: Psychology (Clinical)*

Bernard Grela: *Speech, Language & Hearing Sciences*

Nicole Landi: *Psychology* (Developmental)

Diane Lillo-Martin: *Linguistics*

Joseph LoTurco*: Physiology and Neurobiology; Psychology (Behavioral Neuroscience)*

Emily Myers*: SLHS; Psychology (Perception-Action-Cognition)*

Letitia Naigles: *Psychology (Developmental)*

Heather Read*: Psychology (Behavioral Neuroscience)*

Jay Rueckl: *Psychology (Perception-Action-Cognition)*

Erika Skoe: *Speech, Language & Hearing Sciences*

Tammie Spaulding: *Speech, Language & Hearing Sciences*

Jon Sprouse: *Linguistics*

Whitney Tabor: *Psychology (Perception-Action-Cognition)*

Rachel Theodore: *Speech, Language & Hearing Sciences*

Eiling Yee: *Psychology (Perception-Action-Cognition)*

Similar Programs in Connecticut or Region

To the best of our knowledge, there are no other programs of this exact kind in New England or elsewhere. Research on the neurobiology of language is being very actively pursued by faculty at institutions including Harvard University (e.g. Dr. Albert Galaburda), MIT (e.g. Dr. Rebecca Saxe), Brown University (e.g. Dr. Sheila Blumstein), and Yale University (e.g. Dr. Elena Grigorenko). Yet, at none of these institutions is there a program in which students are specifically trained to conduct linguistic research that crosses traditional disciplinary boundaries.

Indeed, Dr. Grigorenko of Yale has joined the NBLP at UConn, as an external faculty affiliate.

Moreover, while our program is still quite new, there is already evidence to suggest that it's helping UConn compete effectively for top Ph.D. students. For example, one current Ph.D. student in Linguistics cited the NBLP as a major reason for choosing UConn over a prestigious program at the University of Pennsylvania.

ATTACHMENT 10

UCONN
UNIVERSITY OF CONNECTICUT

Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Graduate Certificate in Pain Management

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Pain Management.

BACKGROUND:

The Graduate Certificate in Pain Management Certificate in the School of Nursing is comprised of four, online, fee-based courses aimed at educating students in the research methodology and translation of research findings on fundamental mechanisms and clinical management of pain. The Certificate is designed to emphasize the importance of pain to the individual and to society and encourage evidence-based practice in pain management. Students that complete this Certificate will obtain necessary education to better understand and manage pain problems within their area of practice and will be prepared for entry into the field of pain/palliative care. This Certificate will fulfill 75% of the pain education-specific course requirements to be eligible for licensure in pain management through the American Nurses Credentialing Center (AANC).

After completing the certificate program, students will be able to:

- Describe the neuroscientific and biological processes involved in acute and chronic pain;
- Differentiate basic and clinical pain research methods and the caveats associated with translating each into patient care;
- Distinguish clinical issues related to diagnosis of pain in different populations, including vulnerable populations (e.g. neonatal and patients at the end-of-life); and
- Integrate pain research findings into evidence-based practice.

The pain management certificate extends the goals of the Center for Pain Management and the strategic plan within the School of Nursing. It extends the reach of the School to meet critical patient needs and provides important continuing education opportunities for clinical partners.

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Request for New UConn Certificate Program

Program information

Name of certificate program: Pain Management Certificate

Name of sponsoring School: School of Nursing

Director of certificate program: Kyle M. Baumbauer (Assistant Professor)

Type of certificate: Graduate, fee-based, online

CIP Code:	51.3819
Anticipated start date:	Fall 201
Anticipated date of first graduation:	Spring 201
Projected annual enrollments:	45/year

Program outline and description of program learning outcomes

The Pain Management Certificate in the UConn School of Nursing is a series of 4 courses offered to educate students in the research methodology and translation of research findings on fundamental mechanisms and clinical management of pain. It is designed to emphasize the importance of pain to the individual and to society and encourage evidence-based practice in pain management. Students that complete this certificate program will obtain necessary education to better understand and manage pain problems within their area of practice and will be prepared for entry into the field of pain/palliative care. This certificate will fulfill 75% of the pain education-specific course requirements to be eligible for licensure in pain management through the American Nurses Credentialing Center (AANC).

The pain management certificate extends the goals of the Center for Pain Management and the strategic plan within the School of Nursing. It extends the reach of the School to meet critical patient needs and provides important continuing education opportunities for clinical partners.

Describe the educational prerequisites (and professional prerequisites, if appropriate) required of students for admission to this program.

Recommended preparation: background in nursing or allied health training. It is strongly encouraged that students will be licensed/practicing nurses prior to the initiation of the certificate to reflect the AANC requirement of 2 years practice in a pain relevant field in addition to the coursework requirements and licensure exam.

Prerequisite: Instructor permission and courses should be completed in sequence.

Describe similar programs nationally, regionally, or in CT.

While a small number of international programs have established programs for post-graduate training in pain, it is not yet common in the US. Hamline University (St. Paul, MN) in cooperation with the American Associate of Nurse Anesthetists (AANA) offers an Advanced Pain Management Certificate. This program is similar in duration; however, it preferentially admits Nurse Anesthetists rather than encouraging broad student interest in pain management across specialty areas. The University of Central Florida offers a 6-week online program for practicing healthcare

professionals (non-credit). The University of Southern Indiana also offers a 6-week online Pain Management Certificate Program for nurses, pharmacists, physicians and other health professionals.

To our knowledge there are no programs in the region or Connecticut that offer comparable training.

Describe the program learning outcomes.

After completing the certificate program, students will be able to:

- describe the neuroscientific and biological processes involved in acute and chronic pain
- differentiate basic and clinical pain research methods and the caveats associated with translating each into patient care
- distinguish clinical issues related to diagnosis of pain in different populations, including vulnerable populations (e.g. neonatal and patients at the end-of-life)
- integrate pain research findings into evidence-based practice

Curriculum information

Total number of credits required: 12

Required courses

NURS 5101: Fundamental Mechanisms of Acute and Chronic Pain, 3 credits

NURS 5102: Basic and Clinical Pain Research, 3 credits

NURS 5103: Pharmacology of Pain and Analgesia, 3 credits

NURS 5104: Seminar in Evidence Based Practice for Pain Management, 3 credits

Detailed course information

NURS 5101: Fundamental Mechanisms of Acute and Chronic Pain

Instructor: Dr. Kyle M. Baumbauer (Assistant Professor, UConn School of Nursing) and Dr. Erin E. Young (Assistant Professor, UConn School of Nursing)

Offering: every fall

NURS 5102: Basic and Clinical Pain Research

Instructor: Dr. Kyle M. Baumbauer (Assistant Professor, UConn School of Nursing) and Dr. Erin E. Young (Assistant Professor, UConn School of Nursing)

Offering: every fall (new)

NURS 5103: Pharmacology of Pain and Analgesia

Course management will alternate between Drs. Young and Baumbauer

Offering: every spring

NURS 5104: Seminar in Evidence-Based Practice for Pain Management

Course management will alternate between Drs. Young and Baumbauer

Offering: every spring or every third semester

Program evaluation

Successful completion of the Pain Management Certificate requires passing all four courses (for graduate courses this translates to a B or better grade in each course) and an overall grade point average of 3.0 or greater in all four courses. Students will evaluate instructors through Online Student Evaluation of Teaching (SET) and structured exit interviews for those completing the entire sequence.

The School of Nursing has strong connections with practitioners throughout Connecticut and, as is current practice in the School, will continue to assist graduates with job placement.

Resources available to support the program

Financial resources

It is anticipated that the program will have approximately 30 enrollments (10 students per class) in its first year, increasing to 45 students (15 students per class) in year two and beyond. It is expected that the revenue generated by program enrollments will cover expenses incurred. Enrollment will be reserved for students matriculated in the graduate certificate program with seats made available to other matriculated graduate students on the basis of availability. This course sequence will be made available online for practicing nurses and graduate students from other institutions and, given the lack of program options in New England as well as nationally, we anticipate substantial interest once the program is established in this format.

ATTACHMENT 11

UCONN
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Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Graduate Certificate in Power Engineering

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Power Engineering.

BACKGROUND:

With the request from Electric Boat (EB) in Groton, and considering the industry trends and programs across the country, the Electrical and Computer Engineering department at the School of Engineering has developed a certificate program to train the EB engineers in the field of power engineering. There is a cohort of 12 students from EB ready to start the program in spring 2016. The newly developed courses will also be available to UConn graduate students.

The Graduate Certificate in Power Engineering will also be attractive to power generation, power suppliers and distribution companies like Eversource and United Illuminating.

Students will have the necessary knowledge at an advanced level in the area of power engineering to engage in the analysis and design of power systems. For example, they will be able to:

- Model major components used in the generation and distribution of power;
- Analyze the systems and methods used for the monitoring and protection of power systems;
- Use appropriate tools and techniques for the planning and design of power systems; and
- Choose suitable devices for integrating various power sources into a grid.

The Graduate Certificate in Power Engineering consists of four hybrid, fee-based courses.

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Request for New UConn Certificate Program

Program information

Name of certificate program: Graduate, **Power Engineering**

Name of sponsoring department: **Electrical and Computer Engineering**

Name of Department Head: **Rajeev Bansal**

Name of sponsoring School: **School of Engineering**

Director of certificate program: **Afshin Ghiaei (Assistant Professor in Residence)**

Type of certificate: Graduate, fee-based, hybrid.

CIP Code: **14.1001**

Anticipated start date: **Spring 2016**

Anticipated date of first graduation: **May 2017**

Projected annual enrollments: **45**

Program outline and description of program learning outcomes

With the request from Electric Boat (EB) in Groton, and considering the industry trends and programs across the US, the Electrical and Computer Engineering department at the School of Engineering has developed a certificate program to train the EB engineers in the field of power engineering. There is a cohort of 12 students from EB ready to start the program in spring 2016. The newly developed courses will also be available to UConn graduate students.

The Power Engineering certificate program would also be attractive to power generation, power suppliers and distribution companies like Eversource and United Illuminating.

Other universities in our region offer courses and programs in Power Engineering. However, the contents of their graduate certificate courses are comparable to the UConn's junior and senior level courses.

Describe the educational prerequisites (and professional prerequisites, if appropriate) required of students for admission to this program.

All students must have completed the prerequisite courses for each graduate course. The prerequisites are included in the course descriptions.

Describe similar programs nationally, regionally, or in CT.

WPI, Iowa State, Drexel, and Michigan Technological University, and Washington State University all have programs and certificates in Power Engineering.

Describe the program learning outcomes.

Students will have the necessary knowledge at an advanced level in the area of power engineering to engage in the analysis and design of power systems. For example, they will be able to:

- Model major components used in the generation and distribution of power
- Analyze the systems and methods used for the monitoring and protection of power systems
- Use appropriate tools and techniques for the planning and design of power systems
- Choose suitable devices for integrating various power sources into a grid

Curriculum information

Total number of credits required: **12**

Required courses

- **ECE5510: Power System Analysis (3 credits)**
- **ECE5512: Power Distribution (3 credits)**
- **ECE5520: Advanced Power Electronics (3 credits)**
- **ECE5540: Electrical System Protection and Switchgear (3 credits)**

Detailed course information

- **ECE5510: Power System Analysis (3 credits)**
  - *Peng Zhang, Assistant Professor*
  - *Once every 18 months*
  - *New course*
- **ECE5512: Power Distribution (3 credits)**
  - *Peng Zhang, Assistant Professor*
  - *Once every 18 months*
  - *New course*
- **ECE5520: Advanced Power Electronics (3 credits)**
  - *Ali Bazzi, Assistant Professor*
  - *Once every 18 months*
  - *New course*
- **ECE5540: Electrical System Protection and Switchgear (3 credits)**
  - *Ali Bazzi, Assistant Professor*
  - *Once every 18 months*
  - *New course*

Program evaluation

Each course will have a standard course evaluation at the end of the semester. In addition, the Continuing and Distance Engineering Education (CDEE) office conducts surveys throughout the program soliciting feedback from students.

Resources available to support the program

Financial resources

This program will be self-funded and the proceeds from the program will support the program activities. In addition, the program is supported by the Electrical and Computer Engineering department and the Continuing and Distance Engineering Education at the School of Engineering. The program will follow the same fee structure as the Master of Engineering program.

Facilities/Equipment/Library/Special resources

This program will be using the Distance Learning Technology which is already in place.

ATTACHMENT 12

UCONN
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Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Modify the M.A. Degree in Psychology to the M.S. Degree in Psychological Sciences

RECOMMENDATION:

That the Board of Trustees approve the modification of the M.A. Degree in Psychology to the M.S. Degree in Psychological Sciences.

BACKGROUND:

The Department of Psychology awarded its first M.A. degree in 1939, shortly after it broke away from the Division of Teaching Training, which also included Education. The second M.A. was awarded in 1942, when the department had 4 full-time faculty. By 1947, the department had also awarded 5 "plan B" M.A. degrees, which required only coursework and an examination but no research thesis.

Today's Department of Psychological Sciences bears little resemblance to those early years. Instead of 4 faculty, it now has nearly 60 tenure track positions, and instead of a clear affiliation with education, it is now grouped in the Life Sciences division of the College of Liberal Arts and Sciences. The faculty include researchers trained in neuroscience, in cognitive science, in developmental science, in neuropharmacology, as well as in more traditional psychology fields such as social, industrial-organizational, and clinical psychology.

Thus, the current practice of awarding M.A. degrees en route to the Ph.D. is simply the result of a practice that began 75 years ago when the department at UConn was quite different in character.

In March of 2015, the Department of Psychology voted to change its name to the Department of Psychological Sciences, and the Board of Trustees was informed on August 5, 2015. Consistent with this name change, the department's Committee on Curriculum and Courses voted in February to change the M.A. degree offered by the department to the M.S. degree.

The Ph.D. Fields of Study will also change from "Psychology" to "Psychological Sciences."

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Request to Modify an Academic Program:

Modifying the M.A. Degree in Psychology to the M.S. Degree in Psychological Sciences

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Background and Rationale for the Requested Program Modification

The Department of Psychology awarded its first M.A. degree in 1939, shortly after it broke away from the Division of Teaching Training, which also included Education, and about the time that UConn became a university. The second M.A. was awarded in 1942, when the department had 4 full-time faculty. By 1947, the department had also awarded 5 "plan B" M.A. degrees, which required only coursework and an examination but no research thesis.

Today's Department of Psychological Sciences bears little resemblance to those early years. Instead of 4 faculty, we now have nearly 60 tenure track positions, and instead of a clear affiliation with education, we are now grouped in the Life Sciences division of the College of Liberal Arts and Sciences. Our faculty include researchers trained in neuroscience, in cognitive science, in developmental science, in neuropharmacology, as well as in more traditional psychology fields such as social, industrial-organizational, and clinical psychology. Even our most 'applied' areas of concentration (i.e., clinical psychology and industrial-organizational psychology) subscribe to the scientist-practitioner (or Boulder) model of graduate training, which provides students with foundational preparation in research methods and scientific practice.

Thus, our current practice of awarding M.A. degrees en route to the Ph.D. is simply the result of a practice that began 75 years ago when the department at UConn was quite different in character. Indeed, when the department absorbed the graduate program in BioBehavioral Sciences in the late 1980s, several students received M.S. degrees but essentially followed the course of study that all psychology students were following.

In March of 2015, the Department of Psychology voted to change its name to the Department of Psychological Sciences; this change will be become official August 31, 2015, having informed the Board of Trustees on August 5, 2015. Consistent with this name change, the department's Committee on Curriculum and Courses voted in February to change the M.A. degree offered by the department to the M.S. degree.

Effect on Graduate Students

Although the department does not admit students who intend only to receive the M.A. degree, not every admitted graduate student completes the Ph.D. (for a variety of reasons). Those very few students who leave with a master's degree would be better served with a M.S. degree; many of these students are interested in behavioral neuroscience and will be better positioned to apply for jobs in businesses related to the pharmaceutical industry.

For other students, the M.S. degree better reflects the graduate training of these students. All of the graduate students in our department take a two-course sequence in research methods and statistics, and most go on to take other such courses. In addition, all graduate students are immediately apprenticed with a graduate student advisor who teaches them research skills

specific to the advisor's laboratory. Nearly all graduate students become co-authors on conference presentations or publications by the end of their first year of study. Thus, the M.S. degree better reflects the nature of their research training in our department. In addition, the CIP code for each area of study in our department are designated as STEM codes and are thus consistent with the M.S. degree.

Relationship of the Modification to the Existing Approved Program

The M.S. program in each of the areas of study will be identical to the M.A. program currently offered. Coursework emphasis research methods, statistics, and empirically-based overviews of various content areas in addition to individualized research courses. Currently, all M.A. students continuing on to the Ph.D. complete the 'thesis, Plan A option' for the M.A, and only students leaving the program complete the 'non-thesis, Plan B option.' However, even those student using the non-thesis option have completed a sequence of courses and an apprenticeship better described by the M.S. degree.

Cost Analysis

No additional faculty or costs are associated with this change in degree designation.

Department Head: James Green

Associate Department Heads: Janet Barnes-Farrell, James Chrobak, Etan Markus

Board of Trustees Distinguished Professors: Fein, Fisher, Johnson, Salamone

Professors: Altmann, Barton, Blanton, Burton, Cruess, Dixon, Fitch, Gibbons, Kalichman, Large, Leach, Magley, Magnuson, Marsh, Miller, Naigles, Park, Pratto, Pugh, Quinn, Sehulster, Swadlow, Volgushev

Associate Professors: Astur, Burke, Eigsti, Frank, Gorin, Henning, Levy, Mellor, Milan, Read, Rueckl, Tabor, Treadwell, Williams

Assistant Professors: Chen, Coppola, Cuevas, Dalal, Davis, Landi, Myers, Ramirez-Esparza, Sheya, Smith, Stevenson, Yee

ATTACHMENT 13

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Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Consolidate the Undergraduate Majors within the Department of Plant Science and Landscape Architecture

RECOMMENDATION:

That the Board of Trustees approve the consolidation of the undergraduate majors in the Department of Plant Science and Landscape Architecture.

BACKGROUND:

Currently, the Department of Plant Science and Landscape Architecture supports three undergraduate majors: (1) *Landscape Architecture*, (2) *Horticulture*, and (3) *Turfgrass and Soil Science*. The *Horticulture* major is divided into two concentrations: Environmental and Landscape Horticulture, and Sustainable Agriculture. The *Turfgrass and Soil Science* major also supports two concentrations: Turfgrass Science, and Soil Science.

The Department wishes to consolidate *Horticulture* and *Turfgrass and Soil Science* into a single academic major: *Sustainable Plant and Soil Systems* with concentrations in Environmental Horticulture, Turfgrass Science, and Sustainable Agriculture. Students following the new combined major must declare one of the three concentrations.

The existing majors and concentrations all share one common overarching focus, sustainability within managed systems, and to a great extent, also share elements of a common curriculum.

The primary focus of all of our plant and soil-based programs is on the science and practices associated with sustainable plant production and/or use within managed systems. The revised major name is intended to more accurately reflect the nature of our plant and soil science program with the emphasis on sustainability within managed systems. Examples include sustainable crop production in controlled environments, greenhouses, nurseries and farms; or sustainable management practices for built landscapes and surfaces used for recreational and sporting activities, and sustainable management of residential, commercial, and public spaces to meet local functional and aesthetic requirements.

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Item: **Consolidate majors within the Department of Plant Science and Landscape Architecture**

Background:

Currently, the Department of Plant Science and Landscape Architecture supports three undergraduate majors: (1) *Landscape Architecture*, (2) *Horticulture*, and (3) *Turfgrass and Soil Science*. The *Horticulture* major is divided into two concentrations: Environmental and Landscape Horticulture, and Sustainable Agriculture. The *Turfgrass and Soil Science* major also supports two concentrations: Turfgrass Science, and Soil Science.

Proposal:

The Department wishes to consolidate *Horticulture* and *Turfgrass and Soil Science* into a single academic major: *Sustainable Plant and Soil Systems* with concentrations in Environmental Horticulture, Turfgrass Science, and Sustainable Agriculture. Students following the new combined major must declare one of the three concentrations.

Rationale:

The existing majors and concentrations all share one common overarching focus, sustainability within managed systems, and to a great extent, also share elements of a common curriculum.

The primary focus of all of our plant and soil-based programs is on the science and practices associated with sustainable plant production and/or use within managed systems. The revised major name is intended to more accurately reflect the nature of our plant and soil science program with the emphasis on sustainability within managed systems. Examples include sustainable crop production in controlled environments, greenhouses, nurseries and farms; or sustainable management practices for built landscapes and surfaces used for recreational and sporting activities, and sustainable management of residential, commercial, and public spaces to meet local functional and aesthetic requirements.

The three concentrations under the *Sustainable Plant and Soil Systems* major reflect the three areas of emphasis where plants and soil systems are managed for the production of ornamental or food crops, or the use of ornamentals plants and turfgrass in managed landscapes and recreational environments.

Common Science Core:

All students in the major will be required to take the same core of courses that includes BIOL 1110 (Introduction to Botany) or 1108, CHEM 1122 (Chemical Principles and Applications; or 1124Q or 1127Q), PLSC 3810 (Fundamentals of Plant Pathology), PLSC 3820 (Ecology and Control of Weeds), PLSC 3830 (Insect Pests of Ornamentals and Turf), PLSC 4210 (Plant Physiology: How Plants Work) and PLSC 4215 (Plant Physiology Lab: Investigations into How Plants Work), HORT 2560W (Written Communications in Horticulture), and SOIL 2120 (Environmental Soil Science) and SOIL 2125 (Environmental Soil Science lab).

These courses represent the common science foundation of the curriculum – botany, chemistry, plant physiology, soil science and nutrition, plus the fundamentals of pest, disease and weed control in sustainably managed systems, and written communication.

National Trends:

Nationally both horticulture and turfgrass science programs at 4-yr and 2-yr institutions have undergone dramatic shifts in undergraduate enrollment, changes in program emphasis to meet changes in student interest, and/or program consolidation (*J.Dole, 2015, Status of Student Numbers and Program Identity at two-year and four-year Horticulture Programs. ASHS Newsletter Vol 31(1): 5-6.*). Over the past 5 years, 42% of 4-yr and 41% of 2-yr programs have experienced declining enrollment of 20% or more. Of 54 four-year programs surveyed only 15 remain as independent Horticulture departments, the rest have all consolidated into combined programs or are in the process of consolidating. The majority of these combined programs include elements of woody ornamentals and floriculture (100%), Landscape design or construction (74%) or Landscape Architecture (15%), Turgrass (69%,) and Fruit, Nuts and/or Vegetables with emphasis on sustainable management practices (89%). The trend toward greater emphasis on sustainable practices within the applied plant disciplines reflects increased student interest in edible horticulture and agricultural sustainability. Four-year programs that lack the faculty resources to address student interest in edible horticulture and agricultural sustainability have experienced the biggest decline in enrollment.

Faculty Resources and Class Size:

Consolidating into a single major creates an opportunity to eliminate low enrollment courses, strategically modify content within existing courses to address issues common to all concentrations, and to introduce new courses to meet changes in student interest. To this last point, the introduction of new courses to meet greater student interest in edible horticulture and sustainable agricultural practices has already begun. Enrollment numbers at initial offering under the PSLA 3995 designation have exceeded the department average for elective courses.

New courses recently introduced to augment the agricultural sustainability curriculum include:

PLSC 3055 ‘Transgenic Crops from Farm to Fork’, 2-credit lecture
PLSC 3094 ‘Seminar in U.S. Food Production Systems, 1-credit seminar
PLSC 3090 ‘Field Study of U.S. Food Production Systems’ 2-credit travel course
PLSC 2500 ‘Principles and Concepts of Agroecology’ 3-credit lecture
PLSC 2100 ‘Environmental Sustainability of Food Production in North America’, 3-credit lecture
UNIV-1820 ‘Sustainability 360’ 1-credit seminar

(Number designation for PLSC 2100, 2500, 3090, 3094 currently pending senate approval)

Learning Outcomes:

Learning Outcome 1. Appreciate and communicate the diverse effects of plants and agroecological systems on people and the environment.

Goal 1. Gain knowledge of how plants affect human health and well-being.
Goal 2. Learn how plants and their management affect environmental sustainability, communities, food production, and restoration ecology.
Goal 3. Understand the importance and value of plants in natural and managed agroecosystems and landscapes.
Goal 4. Describe the social, spiritual, and cultural importance of plants and soils to historical and contemporary communities of people.

Learning Outcome 2. Develop a fundamental knowledge of important concepts in sustainability science including plants, soils, agroecological systems, landscape and community development, and related life and social science disciplines.

Goal 1. Demonstrate knowledge of basic biological, physical, and chemical concepts fundamental to environmental and life sciences.
Goal 2. Demonstrate knowledge about the basic principles of whole- and molecular-plant biology including plant morphology, anatomy, growth & development, physiology, reproduction, genetics & trait inheritance, and plant/crop ecology.
Goal 3. Demonstrate knowledge about the basic principles of soil science including chemical, physical, and biogeochemical processes and cycling related to environmental and agroecological systems.
Goal 4. Demonstrate knowledge about the basic principles of integrated pest management and how they can be utilized for a more sustainably-managed agroecosystem and landscape.
Goal 5. Understand the principles of biotechnology and a general knowledge of how this technology is used in agriculture and other managed economic plant systems, and its role in sustainability.
Goal 6. Understand the concepts of sustainable development and how these can be integrated and applied across the landscape.

Learning Outcome 3. Acquire, integrate, and apply advanced knowledge of plant and soil science, agroecological systems, community development, and related disciplines to solve societal and environmental challenges of sustainability at different scales.

Goal 1. Use various methods to find, analyze and synthesize information from various sources (e.g., scientific literature, technical articles, trade journals, presentations) pertinent to plant science, sustainable agricultural, and landscape systems or related disciplines.
Goal 2. Demonstrate the ability to formulate scientific arguments, apply scientific methods to test hypotheses to arrive at reasoned conclusions, and to effectively communicate conclusions and solutions.
Goal 3. Demonstrate competence related to laboratory, field-based, and studio-based methodologies and technologies.

Goal 4. Communicate effectively with various audiences using oral, written, and visual presentation skills, and contemporary networking technologies.
Goal 5. Demonstrate leadership and the ability to work collaboratively to solve problems.
Goal 6. Develop a plan for life-long learning as it relates to career choice and professionalism.

Learning Outcome 4. Demonstrate interdisciplinary knowledge and critical thinking skills to better understand the role of plants and plant production on the resilience and sustainability of agroecological and landscape systems.

Goal 1. Assess and evaluate the interactions of the abiotic and biotic environment, and site limitations on the response of plants and soils that are used for food, feed, fiber, and ornamental end uses.
Goal 2. Integrate and apply the concepts of soil science and plant biology, systematics, ecology, propagation, and genetics to manage and improve plants and their products, and sustainably-managed agroecological and landscape systems.
Goal 3. Recommend and use appropriate sustainable methods, materials, and diagnostic skills for addressing biotic and abiotic stresses, and site limitations in the management of economic plants and landscapes in an integrated approach.
Goal 4. Acquire a working knowledge of business management and economic principles that promote sustainability for agroecologial and ornamental plant production systems.
Goal 5. Understand how global issues affect sustainability of local and regional landscapes, communities, and agroecological and ornamental plant production systems.

Learning Outcome 5. Develop thoughtful, clear, and meaningful perspectives on ethical and moral issues related to the sustainable management and production of plants, soils, and the overall landscape.

Goal 1. Develop an appreciation and gain respect for cultures, values, and political perspectives that are relevant in a global community.
Goal 2. Plan and engage in actions that demonstrate civic responsibility to community and society.
Goal 3. Demonstrate a high level of personal and social responsibility.
Goal 4. Understand how actions and policies taken locally, regionally, and/or nationally can affect people and cultures in other parts of the world.

Courses for Each Concentration:

The suite of course requirements and options within each area of concentration represent practices and knowledge specific to each area.

Turfgrass Science Concentration

Required courses for all in the Major — Credits

BIOL	1110 OR	Introduction to Botany	4
BIOL	1108	Principles of Biology II	
CHEM	1122 OR	Chemical Principles and Applications	4
CHEM	1124Q OR	Fundamentals of General Chemistry	
CHEM	1127Q	General Chemistry	
PLSC	3810	Fundamentals of Plant Pathology	3
PLSC	3820	Ecology and Control of Weeds	3
PLSC	3830	Insect Pests of Ornamentals and Turf	3
PLSC	4210	Plant Physiology: How Plants Work	3
PLSC	4215	Plant Physiology Lab: Investigations	1
HORT	2560W	Written Communications in Horticulture	1
SOIL	2120	Environmental Soil Science	3
SOIL	2125	Soils Lab	1
Credits			**26**

Required courses for all in the Concentration

SOIL	3620	Soil Fertility	3
TURF	1100	Turfgrass Management	3
TURF	3200	Turfgrass Physiology and Ecology	3
TURF	3800	Turfgrass Pests and Control	3
SOIL	3520	Urban and Sports Turf Soils	3
PLSC	3990	Field Study Internship	3
Credits			**18**

Pick three courses (9-12 credits) from the following:

HORT	3410	Woody Landscape Plants	3
HORT	2430	Herbaceous Ornamental Plants	3
HORT	3560	Interior Plants and Interiorscaping	3
HORT	2750	Landscape Plant Maintenance	3
HORT	3660	Nursery Production	3
HORT	3670	Greenhouse Technology and Operations	4
HORT	3620	Vegetable Production	4
HORT	3640	Plant Propagation	3
HORT	4650	Plant Tissue Culture	3
HORT	3765	Phytotechnology: Use of Plants for Ecosystem Services	3
PLSC	3230	Biotechnology - Science, Application, Impact, Perception	3
PLSC	3210	Molecular Laboratory Technology	3
PLSC	3840	Integrated Pest Management	3
PLSC	3240	Plant Biotechnology	3
PLSC	3250	Plant Gene Transfer Techniques	3
SOIL	3410	Soil Chemistry Components	4
Credits			**9-12**

Pick one course (3-4 credits) from the following:

ARE	1150	Principles of Agricultural and Resource Economics	3
ARE	3210	Essentials of Accounting and Business	3
ECON	1200	Principles of Economics	4
ECON	1201	Principles of Microeconomics	3
HORT	3540	Garden Center Management	3
Credits			**3-4**

Total Credits	**56-60**

Sustainable Agriculture Concentration

Required courses for all in the Major

			Credits
BIOL	1110 OR	Introduction to Botany	4
BIOL	*1108*	*Principles of Biology II*	
CHEM	*1122 OR*	*Chemical Principles and Applications*	*4*
CHEM	*1124Q OR*	*Fundamentals of General Chemistry*	
CHEM	*1127Q*	*General Chemistry*	
PLSC	3810	Fundamentals of Plant Pathology	3
PLSC	3820	Ecology and Control of Weeds	3
PLSC	3830	Insect Pests of Ornamentals and Turf	3
HORT	2560W	Written Communications in Horticulture	1
PLSC	4210	Plant Physiology: How Plants Work	3
PLSC	4215	Plant Physiology: Laboratory	1
SOIL	2120	Environmental Soil Science	3
SOIL	2125	Soils Lab	1
Credits			**26**

Required courses for all in the Concentration

PLSC	(2100)*	Environ. Sustainability of Food Production…	3
PLSC	(2500)*	Principles and Concepts of Agroecology	3
PLSC	3055	Genetically Engineered Crops from Farm to Fork	2
SOIL	3620	Soil Fertility	3
HORT	3620	Vegetable Production	4
PLSC	3840	Integrated Pest Management	3
PLSC	3990	Field Study Internship	1-3
Credits			**19-21**

Production Systems (at least 9 credits)

PLSC	(3094)*	US Agric. Production Systems	1
PLSC	1150	Agriculture, Technology and Society	3
PLSC	1125	Insects, Food and Culture	3
PLSC	3230	Biotechnology - Science, Application, Impact, Perception	3
HORT	3640	Plant Propagation	3
HORT	3670	Greenhouse Technology and Operations	4
HORT	3765	Phytotechnology: Use of Plants for Ecosystem Services	3
HORT	4650	Plant Tissue Culture	3
ANSC	1001	Intro. To Animal Science	3
ANSC	3273	Livestock Management	4
NRE	4475	Forest management	4
Credits			**9**

Environmental Systems (pick one course 3-4 credits)

NRE	1000	Environmental Science	3
NRE	1235	Environmental Conservation	3
NRE	2215	Introduction to Water Resources	3
NRE	2600	Global Sustainable Natural Resources	3
NRE	3125	Watershed Hydrology	3
NRE	3145	Meterology	3
NRE	3146	Climatology	3
EEB	2244	General Ecology	4
Credits			**3-4**

Social Systems & Policies - pick one course (3 credits)

ARE	1110	Population, Food and Environment	3
ARE	3260	Food Policy	3
ARE	3434	Einvironmental Policy	3
ANTH	3523	Origins of Agriculture	3
AGNR	3350	Hispanic culture and communication in agriculture	3
NRE	3245	Environmental Law	3
NRE	3674	China - Intro Environment and Agric	3
SOCI	2705	Sociology of food	3
Credits			**3**

Economic Systems (pick one course 3-4 credits)

ARE	1150	Principles of Agricultural and Resource Economics	3
ARE	3210	Essentials of Accounting and Business	3
ECON	1200	Principles of Economics	4
ECON	1201	Principles of Microeconomics	3
Credits			**3-4**

Total Credits	**63-67**

(*) Senate approval pending

Environmental Horticulture Concentration

Required courses for all in the Major

			Credits
BIOL	1110 *OR*	Introduction to Botany	4
BIOL	1108	Principles of Biology II	
CHEM	*1122 OR*	*Chemical Principles and Applications*	4
CHEM	*1124Q OR*	*Fundamentals of General Chemistry*	
CHEM	*1127Q*	*General Chemistry*	
PLSC	3810	Fundamentals of Plant Pathology	3
PLSC	3820	Ecology and Control of Weeds	3
PLSC	3830	Insect Pests of Ornamentals and Turf	3
HORT	2560W	Written Communications in Horticulture	1
PLSC	4210	Plant Physiology: How Plants Work	3
PLSC	4215	Plant Physiology: Laboratory	1
SOIL	2120	Environmental Soil Science	3
SOIL	2125	Soils Lab	1
Credits			**26**

Required courses for all in the Concentration

HORT	3640	Plant Propagation	3
HORT	2750	Landscape Plant Maintenance	3
Credits			**6**

Pick two courses (7-8 credits) of the following:

HORT	3660	Nursery Production	3
HORT	3670	Greenhouse Technology and Operations	4
HORT	3620	Vegetable Production	4
Credits			**7-8**

Pick two courses (6 credits) of the following:

HORT	3410	Woody Landscape Plants	3
HORT	2430	Herbaceous Ornamental Plants	3
HORT	3560	Interior Plants and Interiorscaping	3
Credits			**6**

Pick four courses (12-13) credits of the following:

SOIL	3410	Soil Chemistry Components	4
HORT	3540	Garden Center Management	3
PLSC	(2500)*	Principles and Concepts of Agroecology	3
TURF	1100	Turfgrass Management	3
PLSC	3840	Integrated Pest Management	3
HORT	3765	Phytotechnology: Use of Plants for Ecosystem Services	3
PLSC	3990	Field Study Internship	3
Credits			**12-13**

Pick one course (2-3) credits of the following:

HORT	4650	Plant Tissue Culture	3
PLSC	3230	Biotechnology - Science, Application, Impact, Perception	3
PLSC	3210	Molecular Laboratory Technology	3
PLSC	3240	Plant Biotechnology	3
PLSC	3250	Plant Gene Transfer Techniques	3
PLSC	3055	Genetically Engineered Crops from Farm to Fork	2
Credits			**2-3**

Pick one course (3-4 credits) of the following:

ARE	1150	Principles of Agricultural and Resource Economics	3
ECON	1200	Principles of Economics	4
ECON	1201	Principles of Microeconomics	3
ARE	3210	Essentials of Accounting and Business	3
Credits			**3-4**

Total Credits **62-66**

(*) Course numbering pending senate approval

ATTACHMENT 14

UCONN
UNIVERSITY OF CONNECTICUT

December 16, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, Executive Vice President for Health Affairs (interim)

Tysen Kendig, Vice President for Communications

RE: Re-naming of John Dempsey Hospital

RECOMMENDATION:

The Administration recommends that the Board of Trustees authorize the renaming of John Dempsey Hospital on the Farmington campus of UConn Health as "UConn John Dempsey Hospital."

OVERVIEW:

UConn Health dates its founding from 1961, when the Connecticut General Assembly passed Special Act 328, authorizing funding for the University of Connecticut to establish a medical and dental school in Farmington, CT. In 1975, the John Dempsey Hospital, UConn Health's medical center named after the 81st governor of Connecticut who signed the initial legislation creating the hospital, admitted its first patient.

A review of peer and aspirant universities that also operated academic medical centers revealed that UConn was the only institution whose hospital did not bear the institution's name, brand moniker, or the word "university" or "college" in its name. Recent market research further illustrated that UConn Health was an anomaly nationally in that it did not leverage its academic affiliation in its hospital name, thus abdicating a market strength that is typically leveraged to drive patient traffic, and risking confusion among prospective patients.

The University and UConn Health seek to address this by simply adding the institution's primary brand identity to the front of the existing hospital name, thus maintaining the health center's heritage while reinforcing its role as the region's only academic medical center and more closely aligning it's identity with the University of Connecticut. This change formalizes what already is informal practice to some degree, and would not require legislative action or affect the hospital's various accreditations.

RESOLUTION:

Resolved, that the Board of Trustees approves the re-naming of its health center as UConn John Dempsey Hospital, and that it be effective immediately.

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ATTACHMENT 15

UCONN
UNIVERSITY OF CONNECTICUT

December 16, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, Executive Vice President for Health Affairs (interim)

Tysen Kendig, Vice President for Communications

RE: Naming of John Dempsey Hospital facilities

RECOMMENDATION:

The Administration recommends that the Board of Trustees authorize the naming of the facilities that comprise John Dempsey Hospital on the Farmington campus of UConn Health. The newly constructed hospital tower is recommended to bear the name "University Tower," and the existing hospital building is recommended to bear the name "Connecticut Tower."

OVERVIEW:

UConn Health dates its founding from 1961, when the Connecticut General Assembly passed Special Act 328, authorizing funding for the University of Connecticut to establish a medical and dental school in Farmington, CT. In 1975, the John Dempsey Hospital, UConn Health's medical center named after the 81st governor of Connecticut who signed the initial legislation creating the hospital, admitted its first patient.

Until 2016, the all-encompassing John Dempsey Hospital name would be deemed adequate for an operation wholly contained within one building. However, with the anticipated opening of a newly constructed hospital tower in 2016, it is necessary for wayfinding needs and a variety of exercises and manuals, among other safety and operational reasons, to attach names to the two structures that will soon comprise the hospital enterprise. If formal names are not adopted in advance of opening, information names that might not be very useful are likely to take hold.

The names "University Tower" and "Connecticut Tower" are recommended by the Administration as further means to reinforce the hospital's affiliation with UConn as the region's only academic medical center.

RESOLUTION:

Resolved, that the Board of Trustees approves the naming of the new hospital tower at UConn Health in Farmington as the University Tower, and the existing hospital facility as the Connecticut Tower, and that it be effective upon completion of the new hospital tower.

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ATTACHMENT 16

UCONN
UNIVERSITY OF CONNECTICUT

December 16, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: UConn Law School Foundation Master Agreement and Statement of Work

RECOMMENDATION:

The University administration recommends that the Board of Trustees approve the resolutions below authorizing the University to enter into a proposed Master Agreement between the University and The University of Connecticut Law School Foundation, Inc. (the "Law School Foundation"). The Master Agreement outlines the relationship between the University and the Law School Foundation and the responsibilities of the Law School Foundation with respect to performing development, investment and other services in support of the University.

In connection with the Master Agreement, the University administration also recommends that the Board of Trustees authorize the University to enter into a statement of work with the Law School Foundation for the remainder of Fiscal Year 2016. The proposed statement of work outlines the specific fundraising, investment management and other related goals and objectives, and the financial support to be provided by the University, which have been agreed upon by the University and the Law School Foundation for the remainder of the fiscal year.

The proposed Master Agreement and statement of work will replace and supersede an existing agreement between the University and the Law School Foundation that was previously authorized by the Board of Trustees.

BACKGROUND:

The Law School Foundation, like the UConn Foundation, is a private organization, exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, that operates exclusively to promote, encourage and assist education and research at the University's School of Law. In July 2014, the Board of Trustees authorized the University to enter into an initial written agreement with the Law School Foundation that satisfied the requirements of Connecticut General Statutes § 4-37f(9).

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In requesting such authorization, the University administration noted how the initial written agreement did not address certain matters addressed in the University's written agreement with the UConn Foundation, such as the fundraising objectives, investment objectives and similar matters, and indicated that the University would develop a more comprehensive agreement with the Law School Foundation.

The University administration, in collaboration with members of the Law School Foundation's staff and board of directors, modeled the proposed Master Agreement and statement of work on the University's similar agreements with the UConn Foundation. The proposed agreements are intended to replace and supersede the initial written agreement between the University and the Law School Foundation. Drafts of the proposed Master Agreement and statement of work are enclosed.

The proposed Master Agreement outlines the relationship between the two entities and the responsibilities of the Law School Foundation with respect to performing development, investment and other services in support of the University. These responsibilities include, among others, the Law School Foundation raising financial support, managing philanthropic assets and stewarding donors in support of the University's School of Law.

The proposed statement of work sets forth the fundraising, investment management, and other related goals and objectives the Law School Foundation has committed to pursue for the remainder of Fiscal Year 2016, including continuing to pursue the following 2020 Fundraising Objectives:

- 20 percent of graduates making gifts to the Law School;
- $750,000 giving to annual appeals (including Annual Fund and currently spendable donations for core school programs);
- $30 million endowment market value (5% annual growth plus $5 million in new gifts);
- $3 million total new gifts and commitments annually;
- 250 gifts at Dean's Council ($1,000) level; and
- 10 new planned gift commitments annually.

In consideration for the Law School Foundation's performance of such services during the remainder of the next fiscal year, the University's School of Law will contribute $175,000 to the financial support the University provides to the UConn Foundation, which support is utilized by the UConn Foundation to support the UConn Foundation's development staff that are assigned to work with the Law School Foundation at the School of Law.

RESOLUTION:

In furtherance of the foregoing, the University recommends the Board of Trustees of the University approve the following resolutions:

RESOLVED, that the University's President and administration are hereby authorized to execute and deliver the Master Agreement and the statement of work for the remainder of Fiscal

Year 2016 on the terms described above and such other terms as may be deemed advisable and in the best interest of the University by the University's President and administration; and

RESOLVED, that the University's President and administration are hereby further authorized to execute, deliver and perform, in the name of and on behalf of the University, such certificates, instruments, documents and agreements as each of them may deem necessary, useful or required in furtherance of the foregoing resolutions.

Encl.

MASTER AGREEMENT
between
THE UNIVERSITY OF CONNECTICUT
and
THE UNIVERSITY OF CONNECTICUT LAW SCHOOL FOUNDATION, INC.

WHEREAS, the UNIVERSITY OF CONNECTICUT (hereinafter the "University" or "UConn"), Connecticut's land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT LAW SCHOOL FOUNDATION, INC. (hereinafter the "Law School Foundation"), a Connecticut non-stock corporation that is exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code"), entered into an agreement effective August 19, 2014 (the "Original Agreement") to outline the relationship between the parties and to assure compliance with the provisions of CONN. GEN. STAT. § 4-37e *et seq.*, as they may be amended, and other applicable laws;

WHEREAS, the Law School Foundation was established for the principal purpose of supporting and improving the University of Connecticut School of Law (the "School of Law");

WHEREAS, the mission of the Law School Foundation is to solicit, receive, invest and administer gifts and financial resources from private sources for the benefit of the School of Law; and the Law School Foundation operates exclusively to promote the educational, cultural and research facilities and activities of the School of Law; and as a fundraising vehicle to solicit and administer private gifts and grants which will enhance the University's mission, the Law School Foundation supports the University's pursuit of excellence in teaching, research and public service;

WHEREAS, Section 9 of the Original Agreement provides that it may be revoked, altered or amended from time to time upon mutual written agreement of the parties and approval of their respective governing boards;

NOW, THEREFORE, the Original Agreement is hereby replaced and superseded in its entirety by the execution of this Master Agreement dated effective [_____] (this "Agreement"), entered into between the University and the Law School Foundation:

1. Relationship of the Parties

1.1 The University and the Law School Foundation acknowledge that the University is a constituent unit of the State of Connecticut's system of public higher education, as defined in CONN. GEN. STAT. §10a-1; and the Law School Foundation is an independent Connecticut non-stock corporation exempt from federal taxation under Section 501(c)(3) of the Code, and a "foundation" as defined under CONN. GEN. STAT§ 4-37e(2); that each entity is governed by separate governing boards; that each entity is permitted only to deposit funds to their respective accounts which are properly designated for that specific entity; and that each entity is subject to separate

accounting, disbursement, and disclosure requirements as a matter of internal governance regulations and applicable state and federal law.

1.2 The Law School Foundation and University are each independent entities and agree that neither shall have any liability for the obligations, acts or omissions of the other party, or the other's trustees, directors, officers, employees and agents.

1.3 The Law School Foundation will be governed, in accordance with its bylaws as amended from time to time, by a volunteer board of elected directors, which includes the following ex-officio non-voting (except as otherwise indicated) directors: the Dean of the School of Law, a student enrolled at the School of Law and elected by enrolled students in the School of Law, and a faculty member of the School of Law and elected by the faculty of the School of Law. The President of the University (or his or her designee) will also be provided advanced written notice of, and be invited to attend in a nonvoting observer capacity, all meetings of the Law School Foundation's board of directors. With respect to the University position serving as an ex-officio member of the Law School Foundation board of directors, the position will be identified consistent with titles in the University's bylaws as amended by the University from time to time. The Law School Foundation reserves all rights and powers granted to it under its charter and bylaws, the Connecticut non-stock corporation law and federal law.

1.4 This Agreement provides the terms and conditions of the relationship between the University and the Law School Foundation. From time to time, but in no event less frequently than every five years, the Law School Foundation and University will enter into statements of work (each, a "SOW") which outline the specific fundraising goals and objectives that the University and Law School Foundation have agreed upon and the annual amount agreed to be paid (if any) to the Law School Foundation for each fiscal year (Fiscal Year: July 1 – June 30) in consideration of the Law School Foundation's fundraising and other services described in this Agreement.

1.5 The University and the Law School Foundation will use their best efforts to ensure that Law School Foundation fundraising activities comply with the Internal Revenue Code, particularly, Section 501(c)(3) and its regulations, and applicable state law, including without limitation CONN. GEN. STAT. § 21a-175 *et seq.*

2.0 Fundraising Services

2.1 The University designates the Law School Foundation to raise private financial support, manage philanthropic assets, and steward donors in support of the School of Law. All University fundraising shall be directed by the Law School Foundation in coordination with The University of Connecticut Foundation, Incorporated, a Connecticut non-stock corporation (the "UConn Foundation"), which has been designated by the University as the primary entity responsible for the University's development efforts and the administration of endowment funds established to benefit all of the University's schools (including, without limitation, the School of Law),

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colleges, athletic programs, and the University Health Center. The Law School Foundation and the University will work closely together, and with the UConn Foundation, to create a culture of philanthropy and provide the transformational support necessary for UConn to achieve its aspirations for the School of Law. The University will engage the Law School Foundation in strategic planning to develop University and unit priorities, long-range goals and associated fundraising needs. The University will advise and include the Law School Foundation in matters related to the University's marketing, branding and other communications strategies to the extent that they are relevant to the Law School Foundation's mission of supporting the School of Law.

2.2 As set forth in a SOW entered into between the parties from time to time, the Law School Foundation will continue its efforts to increase total voluntary support (gifts raised by the University (including non-governmental philanthropic research grants)); the Law School Foundation will strengthen its principal, major, planned, and corporate and foundation giving programs; and the Law School Foundation will also continue its efforts to enhance prospect identification, alumni participation rates, donor retention rates, and volunteer engagement.

2.3 The Law School Foundation in its discretion will assign its employees (or the fundraising employees of the UConn Foundation that may from time to time be designated to work on behalf of the Law School) to work primarily with potential donors and with the administration and faculty of the School of Law for the purpose of raising funds for the benefit of the School of Law. The Law School Foundation agrees that it will collaborate with the Dean of the School of Law in establishing objectives and performance expectations of such employees. The employees will also be permitted to perform general advancement work as assigned by the School of Law and agreed to by the Law School Foundation. As an independent organization, the Law School Foundation has the authority to hire employees and otherwise develop its own human resources infrastructure and compensation policies to accomplish the mission of the Law School Foundation.

2.4 At times the University may request the use of financial services of the Law School Foundation to facilitate special fundraising events or other University projects, in accordance with best practices, that extend beyond the normal fiscal functions of the Law School Foundation to serve as a fundraising entity for the University, to deposit and account for private gifts and to process routine disbursements. In such cases, the University shall request prior approval from the Law School Foundation for the use of these services. The parties agree that the Law School Foundation will be entitled to reasonable and appropriate compensation for such services and to reimbursement of all reasonable costs incurred. The University and Law School Foundation agree to make no commitment to a third party on behalf of the other without expressed permission.

3.0 Acceptance and Stewardship of Gifts

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3.1 The Law School Foundation will in its sole discretion establish, maintain and adhere to gift acceptance policies. The Law School Foundation shall make its best efforts to ensure that any monies received by the Law School Foundation and defined in CONN. GEN. STAT. § 4-37g as "funds for deposit and retention in state accounts" are transferred to the University in a timely manner. The University and Law School Foundation will jointly develop and maintain guidelines for determining the proper deposit of funds.

3.2 The Law School Foundation will provide receipts and acknowledgments to donors, as required by the Code, for all private gifts made for the benefit of the School of Law, including gifts that will be assets of the University.

3.3 The Law School Foundation will be responsible for coordinating University and Law School Foundation activities related to thanking, acknowledging and stewarding donors. The University will assist the Law School Foundation in such activity by, without limitation, providing to the Law School Foundation, upon request, appropriate information on the use of charitable funds by the University.

3.4 Intentionally omitted.

3.5 As appropriate, and in the mutual best interests of the University and the School of Law, the Law School Foundation in its discretion and in consultation with University Communications will be responsible for arranging press conferences, releases, print, web, radio, and television communications to acknowledge significant gifts to the School of Law.

4.0 Investment of Funds

4.1 The Law School Foundation will in its sole discretion maintain and modify investment and spending policies for all Law School Foundation assets (both endowed and non-endowed) that adhere to applicable federal and state laws, including the Uniform Prudent Investor Act (CONN. GEN. STAT§ 45-541 *et seq.*) and the Uniform Prudent Management of Institutional Funds Act (CONN. GEN. STAT. § 45a-535 *et seq.*).

4.2 The Law School Foundation's current endowment investment and spending policies aim to preserve intergenerational equity (purchasing power) and provide a stable spending stream to meet the needs of the School of Law and comply with donor intent. The Law School Foundation will, in its discretion, establish and achieve a reasonable endowment benchmark rate of return based on prudent levels of risk, targeted spending and an inflation factor calculated over a relevant rolling period. Such returns may also be benchmarked, in the Law School Foundation's discretion, for a risk adjusted return defined by strategic asset allocation policy long-term targets using measurable market and manager benchmarks. Specific benchmarks may be identified in a SOW.

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4.3 The Law School Foundation's current non-endowed investment policy aims to provide sufficient liquidity and preserve capital for School of Law needs. Specific benchmarks may be identified in a SOW.

4.4 Intentionally omitted.

4.5 The Law School Foundation will maintain such reasonable operating reserve as it determines appropriate to ensure continuity of its business operations in periods of economic uncertainty.

5.0 Expenditure of Funds

5.1 The University and the School of Law will jointly use their best efforts to ensure that all available Law School Foundation funds are timely utilized in accordance with donor intent. The Law School Foundation will only approve disbursement requests received from the University that are properly authorized and in compliance with Law School Foundation disbursement policies, which may include, without limitation, requirements that the request: complies with all donor imposed restrictions on the fund; supports the University's mission and programs; represents a reasonable, legitimate and arm's length business transaction; is properly authorized in the context of CONN. GEN. STAT. § 4-37e *et seq.*; and is compliant with all state laws applicable to University employees.

5.2 Annually, the President of the University (based on the written recommendation from the Dean of the School of Law) shall certify to the Law School Foundation a list (which shall be updated as changes occur) of University employees who are authorized to request disbursements from the Law School Foundation ("Authorized Officials"). Requests for disbursements by the Law School Foundation from an Authorized Official shall constitute a representation and certification by the Authorized Official that the disbursement is being made in accordance with University policies and procedures.

5.3 Requests for disbursement from the Law School Foundation for the benefit of University employees or officers for any salary, fee, fringe benefit, loan or other compensation item (collectively "Compensation Items") must be approved by the University President or his or her delegate, and paid by the Law School Foundation, in accordance with CONN. GEN. STAT. § 4-37i and the University's Policy Regarding Financial Transactions with the Foundation, adopted by the University Board of Trustees, and as amended from time to time ("University's Policy Regarding Financial Transactions with the Foundation") which defines the proper use of the Law School Foundation's fiscal services. It is agreed that, except for Compensation Items paid to the Dean of the School of Law, the Dean of the School of Law will be the University President's delegate under this subsection. Requests for disbursement from the Law School Foundation for the benefit of the University President for any Compensation Item due him or her must be approved by the Chairman of the University Board of Trustees in accordance with CONN. GEN.

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STAT. § 4-37i and the University's Policy Regarding Financial Transactions with the Foundation.

5.4 The Law School Foundation agrees to provide to the University, on an annual basis, a summary of all fund balances held for the benefit of the University, disbursements provided to the University, and expenditures made on behalf of the University to third parties during the preceding year.

5.5 In order to assist the University in its reporting responsibilities, the Law School Foundation agrees to report annually to the University Controller all fixed asset expenditures made on behalf of the University.

6.0 Compensation for Law School Foundation Services

6.1 In consideration for the Law School Foundation's fundraising and other services described herein the University will provide the following compensation:

(a) Service Fee: The University will provide a payment to support the Law School Foundation's general operations if provided in a SOW.

(b) Endowment Administrative Fee: The Law School Foundation may assess an endowment administrative fee on Law School Foundation endowment and University endowment funds as determined in the Law School Foundation's discretion. The current rate for such fees will be provided in a SOW.

(c) Gift Fees: The Law School Foundation in its discretion may assess gift fees on new gifts received. The current rate for such fees will be provided in a SOW.

(d) Retained Earnings: The Law School Foundation will retain all investment earnings on non-endowed funds.

6.2 The University will also provide the following in-kind support without fee, charge, or reimbursement:

(a) Alumni Lists: The University agrees to request that the UConn Foundation make the alumni database maintained by the UConn Foundation available to the Law School Foundation for use by the Law School Foundation in raising private financial support, managing philanthropic assets, and stewarding donors in support of the School of Law. The Law School Foundation agrees that its right to use the alumni database maintained by the UConn Foundation will be subject to any terms and conditions set forth by the UConn Foundation or the University from time to time.

(b) Computer Network & Telecommunications Infrastructure: The University will provide to the Law School Foundation standard University network and telecommunications infrastructure including, but not limited to, networking,

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internet access (including band width), and server rack space and power in the same manner as are provided to University departments and programs. The Law School Foundation and University acknowledge and agree that the Law School Foundation will be assessed fees or charges by the University for services other than the standard infrastructure described above, but that such fees or charges will not exceed the rates paid for such services, in accordance with University policy, by University departments or programs.

(c) Event Planning: The University will provide standard University Event services to the Law School Foundation to assist in its fundraising and stewardship efforts.

(d) Facilities: Employees of the Law School Foundation will have the same privileges for parking and the use of University facilities as similarly situated employees of the University. Facilities include, but are not limited to, recreational and library facilities. The University will provide office space, furniture, printers, photocopiers, telephone service, storage space, and utilities used by Law School Foundation employees assigned to fundraise for, and physically work in, the School of Law. The Law School Foundation agrees that it will advise Law School Foundation employees against the misuse or abuse of state equipment, including the prohibition against the use of state equipment for personal purposes, and require employees to report any misuse of which they become aware.

Pursuant to a Lease by and between the University and the Law School Foundation, dated July 21, 2008, as amended and in effect, the University leased certain office space located in Rooms 233 and 234 of the building known as Starr Hall, 45 Elizabeth, Street, Hartford, Connecticut to the Law School Foundation, which such lease remains in effect in accordance with its terms.

(e) University Personnel: The University agrees to allow the Law School Foundation to utilize University employees for the Law School Foundation's fundraising activities without additional compensation or reimbursement from the Law School Foundation (except that reasonable out of pocket business expenses incurred thereby may be reimbursed by the Law School Foundation in accordance with its policies) including, without limitation, the President, the Dean of the School of Law, faculty and support staff. The University also agrees that the Law School Foundation is allowed to utilize University employees presently employed in positions that are primarily fundraising positions, for the Law School Foundation's fundraising provided that in the event the incumbents in such primarily fundraising positions are no longer employed in such positions by the University, and the Law School Foundation chooses to hire a new employee to perform the Law School Foundation-related duties of this position, the new hire will be a Law School Foundation employee.

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(f) Mail Services: To the extent that it can do so, consistent with U.S. Postal Service statutes and regulations, the Law School Foundation may use the University's mail system.

(g) Intellectual Property: The University agrees that the Law School Foundation may in connection with its lawful business and activities use the name of the University as well as the University's logo, seal, and other marks consistent with University restrictions applicable to University departments.

6.3 Except as otherwise provided herein or agreed to by the parties, the Law School Foundation will reimburse the University for expenses the University incurs as a result of Law School Foundation operations, if the University would not have otherwise incurred such expenses including, without limitation, expenses related to the maintenance and operation of the Law School Foundation's facilities. The Law School Foundation may in its discretion and subject to its policies make its facilities available to University schools, colleges and units (and organizations which are affiliated with the University and which support the furtherance of the University's purpose) for events and programs primarily related to fundraising and other meetings. The Law School Foundation may charge any fees for such usage directly to the relevant school, college, unit or organization.

7.0 Ownership and Management of Records

7.1 The Law School Foundation will maintain appropriate financial and business records related to fundraising, investment, and other Law School Foundation operations in a prudent manner.

7.2 The University acknowledges and agrees that it does not have any ownership rights with respect to any Law School Foundation information, records, documents or other materials provided to the University, including, but not limited to, donor records, gift records, financial records, or other Law School Foundation business information which may have been derived from or related to information initially provided to the Law School Foundation by the University. Any such Law School Foundation information, records, documents or other materials including, without limitation, those maintained by the University will not be deemed public records and shall not be subject to disclosure pursuant to CONN. GEN. STAT. § 1-210. The Law School Foundation will establish and enforce policies to protect the confidentiality of its records to the fullest extent allowable by law.

7.3 The Law School Foundation may release information to third parties exclusively for the purpose of accomplishing its mission provided that any such release is consistent with Law School Foundation policies and applicable provisions of law, including without limitation, the applicable provisions of Family Educational Rights and Privacy Act of 1974 (20 U.S.C. §1232g; 34 CFR Part 99) ("FERPA") and Health Insurance Portability and Accountability Act ("HIPAA") of 1996.

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7.4 Intentionally omitted.

7.5 The Law School Foundation agrees not to share or disclose information with third parties in a manner inconsistent with this Agreement, unless required to do so by law or other agency regulations.

7.6 The Law School Foundation is aware of and supports the University's Identity Theft Prevention Program as approved by the University's Board of Trustees. The Law School Foundation agrees to report any violations of the University's Identity Theft Prevention Program which it becomes aware of to the University's Office of Audit, Compliance and Ethics ("OACE") as soon as possible.

8.0 Audits and Legal Advice

8.1 The Law School Foundation shall be responsible for retaining and compensating the independent auditing firm required by CONN. GEN. STAT. §4-37f(8). The audit report shall include financial statements, a management letter and an audit opinion which address the conformance of the operating procedures of the Law School Foundation with the provisions of sections 4-37e to 4-37i (including, without limitation, whether funds for deposit and retention in state accounts have been deposited and retained in Law School Foundation accounts in violation of section 4-37g), and recommend any corrective actions needed to ensure such conformance.

8.2 The Law School Foundation shall provide a copy of each audit report completed pursuant to CONN. GEN. STAT. § 4-37f(8) to the Dean of the School of Law and the President of the University.

8.3 The Law School Foundation will permit the University's OACE to conduct, if it so chooses and at the University's expense, an annual examination of Law School Foundation disbursements for compliance with the University's Policy Regarding Financial Transactions with the Foundation. The Law School Foundation will also permit the University's OACE to conduct, if it so chooses and at the University's expense, a post-deposit review of any gift, including reviewing checks, gifts, agreements and other supporting documentation for compliance with CONN. GEN. STAT. § 4-37e *et seq.* and the policy concerning the University Role and Review of Foundation Deposit of Funds, approved by the University and Law School Foundation as of August 2006, as amended from time to time.

8.4 The Law School Foundation shall, if and when necessary, retain its own legal counsel and shall be responsible for all costs for Law School Foundation legal services.

9.0 Non-discrimination and Executive Orders

9.1 References in this section to "Contract" shall mean this "Agreement" and references to "Contractor" shall mean the "Law School Foundation."

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(a) For purposes of this Section, the following terms are defined as follows:

(i) "Commission" means the Commission on Human Rights and Opportunities;
(ii) "Contract" and "contract" include any extension or modification of the Contract or contract;
(iii) "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;
(iv) "gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.
(v) "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
(vi) "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
(vii) "marital status" means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;
(viii) "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;
(ix) "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; and
(x) "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, (2) a quasi-public agency, as defined in Conn. Gen. Stat.

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Section 1-120, (3) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. Section 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), (4) or (5).

(b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56. If the contract is a public works contract, the Contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works projects.

(c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable

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activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

(d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.

(e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes §46a-56; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

(f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

(g) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes § 46a-56.

(h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a

contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

9.2 This Agreement is subject to the provisions of Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services, Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, all of which are incorporated into and are made a part of this Agreement as if they had been fully set forth in it. At the Law School Foundation's request, the University shall provide a copy of these orders to the Law School Foundation.

10.0 General

10.1 The Law School Foundation may in its discretion enter into written agreements, for such purposes as it determines necessary or appropriate, with other University affiliates including, without limitation, the UConn Foundation.

10.2 This Agreement may be amended from time to time at the request of either party. Any such amendment shall be set forth in writing by the parties and shall require the approval of both governing boards and approval as to form by the Office of the Attorney General.

10.3 This Agreement is governed by the laws of the State of Connecticut.

10.4 No right or duty, in whole or in part, of either party to this agreement may be assigned or delegated without the prior written consent of the other party.

10.5 The term of this Agreement shall commence on [_____], subject to approval as to form by the Office of the Attorney General, and shall continue for a period of five years (5) years. The term of this Agreement may be extended for an additional period of time with the mutual written agreement of the parties. This Agreement may be terminated by either party upon one year's prior written notice. Upon any expiration or termination of this Agreement, or if the Law School Foundation ceases to exist, or ceases to be a foundation as defined in CONN. GEN. STAT. § 4-37e(2), then (a) the

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Law School Foundation shall be prohibited from using the name of the University, (b) the records of the Law Foundation, or copies of such records, shall be made available to and may be retained by the University, provided any such records or copies which are retained by the University shall not be deemed to be public records and shall not be subject to disclosure pursuant to the provisions of CONN. GEN. STAT. § 1-210, and (c) the Law School Foundation's Board of Directors will, in consultation with the University, dispose of the Law School Foundation's assets, consistent with the Law School Foundation's certificate of incorporation, its bylaws, state and federal laws, and such restrictions as may have been imposed by donors.

FOR THE UNIVERSITY OF CONNECTICUT

_____ _____

Susan Herbst Date
President, University of Connecticut

_____ _____

Timothy Fisher Date
Dean of the School of Law, University of Connecticut

FOR THE UNIVERSITY OF CONNECTICUT LAW SCHOOL FOUNDATION, INC.

_____ _____

_____ Date
President, The University of Connecticut
Law School Foundation, Inc.

APPROVED AS TO FORM

_____ _____

By: _____ Date
Associate / Assistant Attorney General,
Connecticut State Attorney General's Office

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Statement of Work #1

This Statement of Work #1 (this "SOW #1"), effective the [_____], is made between the UNIVERSITY OF CONNECTICUT (the "University"), Connecticut's land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT LAW SCHOOL FOUNDATION, INC. (the "Law School Foundation"), a Connecticut nonstock corporation that is exempt from taxation under 501(c)(3) of the Internal Revenue Code of 1986, as amended.

The University and the Law School Foundation have entered into that certain Master Agreement, dated [_____] (the "Master Agreement") pursuant to which the University has designated responsibility to the Law School Foundation for certain development efforts in support of the University's School of Law and for the management of certain endowment funds designated to benefit the University's School of Law.

The Master Agreement stipulates that the University and Law School Foundation will from time to time, but in no event less frequently than every five (5) years, enter into statements of work which outline the specific fundraising goals and objectives that the University and Law School Foundation have agreed upon and the consideration to be provided to the Law School Foundation. This SOW #1 is entered into pursuant to the Master Agreement to cover the period of time from [_____] through June 30, 2016 (the "Period").

1. Payments

The University has agreed to provide certain in-kind consideration to the Law School Foundation for its services under the terms of the Master Agreement. In addition to agreeing to provide such in-kind consideration, the University further agrees to provide the following consideration to the Law School Foundation during the Period:

a) Service Fee: The University's School of Law will contribute $175,000 to the financial support the University provides to the UConn Foundation (as defined in the Master Agreement) during the Period, which support is utilized by the UConn Foundation to support development staff of the UConn Foundation that is assigned to the School of Law and works with the Law School Foundation.

b) Other Fees: The University agrees that Law School Foundation operations may also be funded by earnings on non-endowed Law School Foundation assets, an annual endowment administrative fee, and a gift fee on contributions.

   1) The Law School Foundation will retain all investment earnings on non-endowed Law School Foundation assets.

   2) The Law School Foundation will assess and retain an endowment administrative fee, as reasonably determined by the Law School Foundation. The Law School Foundation's endowment administrative fee is calculated annually on June 30th ("Calculation Date") and presently equals one and one-half percent (1.5%) of the rolling prior twelve (12) quarter average unitized market value of the long-term pooled investment portfolio multiplied by the number of units held by each endowed fund. The endowment administrative fee is assessed on all endowment assets of the Law School Foundation that are invested by the Law School Foundation. The endowment administrative fee owing to the Law School Foundation will be transferred to Law School Foundation operating funds in equal installments during each quarter following the Calculation Date.

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3) The Law School Foundation may assess and retain gift fees on gifts deposited in the Law School Foundation, at such times and in such amounts as reasonably determined by the Law School Foundation.

2. Law School Foundation Mission

The Law School Foundation operates to support the University's School of Law and fulfills this mission primarily through fundraising, asset management and related support activities. Among such activities the Law School Foundation cultivates, solicits, acknowledges and receipts gifts; administers, invests and disburses funds; maintains constituent records; and manages and coordinates communications with constituents.

3. Fundraising Goals and Benchmarks

In consideration of the support provided to Law School Foundation by the University under the terms of the Master Agreement and this SOW #1, the Law School Foundation, consistent with its mission, agrees to continue its efforts to satisfy its 2020 Fundraising Objectives, which are as follows:

- 20 percent of graduates making gifts to the Law School
- $750,000 giving to annual appeals (including Annual Fund and currently spendable donations for core school programs)
- $30 million endowment market value (5% annual growth plus $5 million in new gifts)
- $3 million total new gifts and commitments annually
- 250 gifts at Dean's Council ($1,000) level
- 10 new planned gift commitments annually

4. Investment Benchmarks

The Law School Foundation in its discretion will establish appropriate investment benchmarks for assets owned by the Law School Foundation and invested for the benefit of the School of Law. The Law School Foundation will provide to the Dean of the School of Law and the University's President and Chief Financial Officer a summary report of its investment risk and return benchmarks during the Period. The Law School Foundation will use reasonable efforts to maintain the following benchmarks during the Period:

a) for the Law School Foundation "Income Portfolio" – the United States Treasury and Agency 1-3 Year Index known as the "G1A0";
b) for the Law School Foundation "Equity Portfolio," the Standard & Poor's Index known as the "S&P 500"; and
c) the target asset mix of the combined investment accounts is targeted at 70% commitment to equities and a 30% commitment to fixed-income securities.

5. State Contract Requirements

The state contracting requirements set forth in Section 9 of the Master Agreement are incorporated herein by reference, to the extent necessary.

6. Amendment

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This SOW #1 may be modified or amended in whole or in part by mutual written agreement signed by duly authorized representatives of each of the parties and approved as to form by the Office of the Attorney General.

7. Governing Law

This SOW #1 is governed by the laws of the State of Connecticut. If there shall be any inconsistency between the provisions of this SOW #1 and the Master Agreement, the Master Agreement shall control.

[*signature page follows*]

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FOR THE UNIVERSITY OF CONNECTICUT

_____ _____

Susan Herbst Date
President, University of Connecticut

_____ _____

Timothy Fisher Date
Dean of the School of Law, University of Connecticut

FOR THE UNIVERSITY OF CONNECTICUT LAW SCHOOL FOUNDATION, INC.

_____ _____

_____ Date

President, The University of Connecticut
Law School Foundation, Inc.

APPROVED AS TO FORM

_____ _____

Date

Assistant / Associate Attorney General,
Connecticut State Attorney General's Office

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ATTACHMENT 17

UCONN
UNIVERSITY OF CONNECTICUT

Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Renaming the Undergraduate Major and M.S. Degree in the Department of Agricultural and Resource Economics

BACKGROUND:

The Department of Agricultural and Resource Economics offers an undergraduate major in Resource Economics. The major has three concentrations: (1) Marketing and Business Management, (2) Environmental Economics and Policy, and (3) International Development.

The Department also currently offers M.S. and Ph.D. degrees in Agricultural and Resource Economics.

The Department has received appropriate approvals to rename the undergraduate major, Resource Economics, and the M.S. degree, Agricultural and Resource Economics, to Applied and Resource Economics.

The term "applied" is more appropriate to the course content and encompasses the core foci of the programs. Furthermore, the name changes follow a national trend of agricultural economics programs that have adopted the term "applied" in their program name, providing more competitive opportunities for our graduates in the job market.

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ATTACHMENT 18

UCONN
UNIVERSITY OF CONNECTICUT

Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Renaming the "Gifted and Talented Education" Degree Programs to "Giftedness, Creativity, and Talent Development" in the Department of Educational Psychology

BACKGROUND:

The Department of Educational Psychology currently offers an M.A., Sixth-Year, and Ph.D. in Gifted and Talented Education within the Educational Psychology Field of Study.

The Department has received the appropriate approvals to rename the degree programs from Gifted and Talented Education to Giftedness, Creativity, and Talent Development.

The new name better represents the program. UConn led a change within the field to move away from labeling children to identifying their exceptionality. Giftedness is the preferred term, and creativity has always been an important component of gifted education. The Department currently has three new faculty members with expertise in creativity, and adding creativity to the program name recognizes their expertise. In addition, the field of gifted education views itself as a field that develops talent; therefore, talent development better acknowledges this aspect of the program.

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ATTACHMENT 19

UCONN
UNIVERSITY OF CONNECTICUT

Office of the Provost
Mun Y. Choi, Ph.D.
Provost & Executive Vice President
for Academic Affairs

December 16, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Centers and Institutes

The *By-Laws of the University of Connecticut*, Article XII, state that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission," and that the "Provost will routinely inform the Board of Trustees ... of the establishment and discontinuation of all centers and institutes." This document represents such notice.

BACKGROUND:

Established
Center for Advancement in Managing Pain

Renamed
UConn-FEI Center for Advanced Microscopy and Materials Analysis

Mission statements for established and renewed Centers and Institutes are available on the following pages.

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Established

Center for Advancement in Managing Pain
With the overarching goal of advancing and generating new knowledge of pain mechanisms and improving the delivery of safe and effective methods to promote pain relief across populations and settings, the Center for the Advancement of Managing Pain provides a collaborative infrastructure for pain management professionals and academic researchers from across disciplines to establish translational programs of research, implement interdisciplinary pain education, and develop innovative approaches for advancing the practice of precision pain management.

ATTACHMENT 20

UCONN
UNIVERSITY OF CONNECTICUT

December 16, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Declaration of Official Intent For Certain UCONN 2000 Projects to be Reimbursed with UCONN 2000 Debt Proceeds at a Future Date

RECOMMENDATION:

That the Board of Trustees approve the resolution for the Declaration of Official Intent for certain UCONN 2000 projects to be reimbursed with UCONN 2000 debt proceeds at a future date.

BACKGROUND:

The attached resolution is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

The University plans to incur expenditures in connection with the UCONN 2000 projects "Intramural, Recreational and Intercollegiate Facilities" and "Student Health Services" as listed along with the estimated costs in the attached Resolution and Appendix A attached hereto and to reimburse itself later when UCONN 2000 bonds or other tax-exempt obligations are issued. In order to satisfy the Internal Revenue Service Regulations and be able to reimburse expenditures with the proceeds of tax-exempt debt, the issuer of tax-exempt debt must, among other things, declare not later than sixty (60) days after the date of such expenditure, a reasonable official intent to so reimburse.

At this time the University reasonably expects to reimburse itself for the cost of expenditures with respect to the projects with the proceeds of UCONN 2000 Bonds or other UCONN 2000 tax-exempt obligations to be issued by the University, not later than eighteen (18) months after the later of the date the original expenditure is paid or the date the project is placed in service or abandoned, but in no event more than three (3) years after the original expenditure is paid. The maximum principal amount of such debt with respect to the projects is not expected to exceed $130,000,000. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

Office of the Executive Vice President for
Administration and Chief Financial Officer
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UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES

RESOLUTION
TO MAKE DECLARATION OF OFFICIAL INTENT
FOR REIMBURSEMENT BONDS

WHEREAS, the Internal Revenue Service has promulgated regulations (the "Regulations") under the Internal Revenue Code of 1986, as amended (the "Code") that govern the allocation of the proceeds of tax-exempt debt issued to reimburse expenditures paid by a borrower of tax-exempt debt, prior to the issuance of such debt; and

WHEREAS, such Regulations set forth the circumstances under which allocations of proceeds to reimburse such prior expenditures shall be treated as an expenditure of proceeds on the date of such allocations; and

WHEREAS, generally, in order to satisfy the Regulations and be able to reimburse expenditures (except for certain de minimis expenditures and preliminary costs as defined in the Regulations) with the proceeds of tax-exempt debt, the issuer of tax-exempt debt must, among other things, declare not later than sixty (60) days after the date of such expenditure, a reasonable official intent to so reimburse; and

WHEREAS, the purpose of this official intent requirement is to provide objective evidence that on the date of this declaration, the issuer intended to reimburse the expenditure; and

WHEREAS, the University of Connecticut (the "University") intends to issue its bonds to finance the projects as set forth in **Exhibit A** under the UCONN 2000 Act.

NOW THEREFORE, be it resolved that the University declares its official intent as follows:

1. The University reasonably expects to incur expenditures (the "Expenditures") in connection with the projects of which a general functional description is contained in **Exhibit A** attached hereto (the "Projects").

2. The University reasonably expects to reimburse itself for the cost of Expenditures with respect to the Projects with the proceeds of tax-exempt debt to be issued by the University within eighteen (18) months after the date of any Expenditure or the date the Projects were placed in service or abandoned, whichever is later. The maximum principal amount of such debt with respect to the Projects is not expected to exceed the amounts as set forth in **Exhibit A**.

3. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

EXHIBIT A

The University reasonably expects to reimburse itself for the costs of the following projects from the proceeds of tax-exempt borrowings of the University in an amount not to exceed as set forth below.

Project	Cost
Intramural, Recreational and Intercollegiate Facilities	$100,000,000
Student Health Services	$30,000,000

ATTACHMENT 21

UCONN
HEALTH

DATE: December 16, 2015

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan
Chief Financial Officer

Andrew Agwunobi, MD
Executive Vice President for Health Affairs (Interim)

RE: Implementation of UConn Health's Electronic medical Record system

RECOMMENDATION:

That the Board of Trustees approve the implementation of a new Electronic Medical Record in the total amount of $98,000,000, including contingency.

BACKGROUND:

UConn Health will implement an Integrated Electronic Medical Record (EMR) that will be used by John Dempsey Hospital and the University Medical Group for inpatients and outpatients. The Integrated EMR will provide the health information technology (HIT) required for compliance with federal and state regulations, enable the ability to support future CMS reimbursements models, enable interoperability to send and receive patient data across many healthcare organizations and improve efficiencies for all UConn Health entities with access to clinical data updated in real-time in a single patient database.

The Integrated EMR will replace numerous legacy systems, many of which are nearing end-of-life, and position us with the HIT that can meet the evolving and increasing clinical, quality and patient safety requirements of the healthcare arena. Integrated EMRs are used widely in CT and throughout the U.S. The new EMR will enable us to support the continued growth of our medical school, residency programs and research by providing advanced technology that is on par with consortium hospitals. It will provide the features and functionality that our clinicians and patients are expecting in today's competitive healthcare market.

The project budget estimates were developed utilizing vendor responses to a very comprehensive RFP costing model aimed at soliciting input on all potential costs, including licensing, implementation, training and other services, data conversion costs, 3rd party costs, go live support, hosting and equipment costs, business continuity costs, and initial and ongoing maintenance costs. In addition, we asked all vendors to provide specific details on UConn Health resource requirements for each project phase and system module. The budget will be funded from $41 million from the State through the IT Investment committee bonds, $48 million support from the University and $9 million from Operating funds of UConn Health.

ATTACHMENT 22

UCONN
UNIVERSITY OF CONNECTICUT

Office of the Executive Vice President for
Administration and Chief Financial Officer
Scott A. Jordan
Executive Vice President
for Administration
and Chief Financial Officer

December 16, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: Fall 2016 – Spring 2020 Tuition Plan for University of Connecticut, Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the attached four-year tuition plan, for Fall 2016 – Spring 2020, which will apply to all undergraduate and graduate tuition-based programs.

BACKGROUND:

UConn is committed to offering a high quality education at a competitive value for the benefit of our students, their families, and the State of Connecticut. The state has invested considerable resources in the university over the last two decades which have helped make UConn into a world-class institution. UConn's top faculty, high academic quality, and excellent facilities have allowed it to attract many of the very best students from Connecticut, the nation, and the world. A vibrant and academically renowned UConn helps keep great students in Connecticut and draws the best and brightest from elsewhere, many of whom remain in Connecticut after they graduate. UConn, its faculty and these highly-educated graduates are critical to supporting the economic, social and cultural future of the State of Connecticut.

Achieving and maintaining this standard requires financial resources.

In recent years, the state has faced significant financial and budget difficulties, which have affected its ability to fund state agencies, including UConn. State support has not kept pace with the increases in mandatory contractual costs and fringe benefits, both of which are beyond the university's control. There have also been substantial cuts and mid-year rescissions to UConn's amount of state support.

UConn faces a potential deficit of $40.2 million for Fiscal Year 2017.

In order to protect academic quality and partially mitigate this substantial deficit, the university must increase revenue and reduce expenses. Tuition is one aspect of that broader effort, and the university has recommended that the board consider enacting a new four-year tuition plan to help meet that goal.

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Protecting academic quality means the following:

a) Ensuring we have enough faculty to teach all the classes that need to be offered to students on all campuses – helping students graduate on time – and keeping classes small.

b) Ensuring that we have outstanding faculty to conduct research and maintain our standing as a world-class research institution

c) Providing classrooms, laboratories and other facilities with the essential equipment, staffing and technology that faculty and students need to be successful;

d) Offering the level of merit- and need-based financial aid necessary to recruit and retain great students and help keep their education at UConn affordable.

Thanks in large part to aforementioned state investments, UConn has made tremendous academic gains in recent years including a lower student to faculty ratio, shorter average time to degree, more class offerings, and smaller class sizes.

The university must protect the state's investment by ensuring that these results and UConn's overall academic quality do not slip backward or degrade.

The proposed increases in tuition will only cover a portion of the projected operating budget gap. The rest of the operating deficit must be closed by cutting costs and generating revenue elsewhere. Cost-cutting will likely include hiring restrictions, workforce reductions, position eliminations, creating greater efficiencies, and program consolidations or closures.

UConn is committed to affordability, accessibility and remaining attractive to students and their families. UConn is an exceptional value for Connecticut students and will continue to be. Additionally, the operating budget that forms the basis of this plan continues the University's strong commitment to funding financial aid at levels that will allow substantial support for need and merit-based assistance to qualifying students.

It is important to note that both now and at the conclusion of the proposed four-year plan, it will continue to cost a Connecticut student attending UConn a fraction of what it would cost that student to attend any of UConn's competitors.

By setting tuition now for the next four years, the university provides transparency and certainty for students, potential students, and their families. It is the university's intention to manage its budget within the constraints of this plan, even as state funding may increase or decrease somewhat, just as we managed the budget over the course of the last four-year plan. That said, approval of this plan does not preclude the Board of Trustees revisiting the plan in the future if the state appropriation dramatically increases or decreases.

Additional background information is provided in the attached presentation.

UNIVERSITY OF CONNECTICUT
Storrs and Regional Campuses
Four-Year Tuition Plan Proposal
FY17-FY20

	FY2016-17			FY 2017-18			FY 2018-19			FY 2019-20		
Undergraduate	**Resident**	**Non-Resident**	**NE Regional**	**Resident**	**Non-Resident**	**NE Regional**	**Resident**	**Non-Resident**	**NE Regional**	**Resident**	**Non-Resident**	**NE Regional**
Annual Increase	$ 700	$ 950	$ 950	$ 775	$ 1,050	$ 1,050	$ 850	$ 1,150	$ 1,150	$ 950	$ 1,250	$ 1,250
Tuition Rate - Full Time	**$11,224**	**$ 33,016**	**$ 19,366**	**$ 11,999**	**$ 34,066**	**$ 20,416**	**$ 12,849**	**$ 35,216**	**$ 21,566**	**$ 13,799**	**$ 36,466**	**$ 22,816**
Per Credit Hour												
1	$ 469	$ 1,377	$ 808	$ 502	$ 1,421	$ 852	$ 538	$ 1,469	$ 900	$ 578	$ 1,522	$ 953
2	$ 938	$ 2,754	$ 1,616	$ 1,004	$ 2,842	$ 1,704	$ 1,076	$ 2,938	$ 1,800	$ 1,156	$ 3,044	$ 1,906
3	$ 1,407	$ 4,131	$ 2,424	$ 1,506	$ 4,263	$ 2,556	$ 1,614	$ 4,407	$ 2,700	$ 1,734	$ 4,566	$ 2,859
4	$ 1,876	$ 5,508	$ 3,232	$ 2,008	$ 5,684	$ 3,408	$ 2,152	$ 5,876	$ 3,600	$ 2,312	$ 6,088	$ 3,812
5	$ 2,345	$ 6,885	$ 4,040	$ 2,510	$ 7,105	$ 4,260	$ 2,690	$ 7,345	$ 4,500	$ 2,890	$ 7,610	$ 4,765
6	$ 2,814	$ 8,262	$ 4,848	$ 3,012	$ 8,526	$ 5,112	$ 3,228	$ 8,814	$ 5,400	$ 3,468	$ 9,132	$ 5,718
7	$ 3,283	$ 9,639	$ 5,656	$ 3,514	$ 9,947	$ 5,964	$ 3,766	$ 10,283	$ 6,300	$ 4,046	$ 10,654	$ 6,671
8	$ 3,752	$ 11,016	$ 6,464	$ 4,016	$ 11,368	$ 6,816	$ 4,304	$ 11,752	$ 7,200	$ 4,624	$ 12,176	$ 7,624
9	$ 4,221	$ 12,393	$ 7,272	$ 4,518	$ 12,789	$ 7,668	$ 4,842	$ 13,221	$ 8,100	$ 5,202	$ 13,698	$ 8,577
10	$ 4,690	$ 13,770	$ 8,080	$ 5,020	$ 14,210	$ 8,520	$ 5,380	$ 14,690	$ 9,000	$ 5,780	$ 15,220	$ 9,530
11	$ 5,159	$ 15,147	$ 8,888	$ 5,522	$ 15,631	$ 9,372	$ 5,918	$ 16,159	$ 9,900	$ 6,358	$ 16,742	$ 10,483
12	$ 5,612	$ 16,508	$ 9,683	$ 6,000	$ 17,033	$ 10,208	$ 6,425	$ 17,608	$ 10,783	$ 6,900	$ 18,233	$ 11,408
annual-full time	$ 11,224	$ 33,016	$ 19,366	$ 11,999	$ 34,066	$ 20,416	$ 12,849	$ 35,216	$ 21,566	$ 13,799	$ 36,466	$ 22,816

Graduate	**Resident**	**Non-Resident**	**NE Regional**	**Resident**	**Non-Resident**	**NE Regional**	**Resident**	**Non-Resident**	**NE Regional**	**Resident**	**Non-Resident**	**NE Regional**
Annual Increase	$ 700	$ 950	$ 950	$ 775	$ 1,050	$ 1,050	$ 850	$ 1,150	$ 1,150	$ 950	$ 1,250	$ 1,250
Tuition Rate - Full Time	**$13,726**	**$ 34,762**	**$ 23,746**	**$ 14,501**	**$ 35,812**	**$ 24,796**	**$ 15,351**	**$ 36,962**	**$ 25,946**	**$ 16,301**	**$ 38,212**	**$ 27,196**
Per Credit Hour												
1	$ 763	$ 1,932	$ 1,320	$ 807	$ 1,991	$ 1,379	$ 855	$ 2,055	$ 1,443	$ 908	$ 2,125	$ 1,513
2	$ 1,526	$ 3,864	$ 2,640	$ 1,614	$ 3,982	$ 2,758	$ 1,710	$ 4,110	$ 2,886	$ 1,816	$ 4,250	$ 3,026
3	$ 2,289	$ 5,796	$ 3,960	$ 2,421	$ 5,973	$ 4,137	$ 2,565	$ 6,165	$ 4,329	$ 2,724	$ 6,375	$ 4,539
4	$ 3,052	$ 7,728	$ 5,280	$ 3,228	$ 7,964	$ 5,516	$ 3,420	$ 8,220	$ 5,772	$ 3,632	$ 8,500	$ 6,052
5	$ 3,815	$ 9,660	$ 6,600	$ 4,035	$ 9,955	$ 6,895	$ 4,275	$ 10,275	$ 7,215	$ 4,540	$ 10,625	$ 7,565
6	$ 4,578	$ 11,592	$ 7,920	$ 4,842	$ 11,946	$ 8,274	$ 5,130	$ 12,330	$ 8,658	$ 5,448	$ 12,750	$ 9,078
7	$ 5,341	$ 13,524	$ 9,240	$ 5,649	$ 13,937	$ 9,653	$ 5,985	$ 14,385	$ 10,101	$ 6,356	$ 14,875	$ 10,591
8	$ 6,104	$ 15,456	$ 10,560	$ 6,456	$ 15,928	$ 11,032	$ 6,840	$ 16,440	$ 11,544	$ 7,264	$ 17,000	$ 12,104
9	$ 6,863	$ 17,381	$ 11,873	$ 7,251	$ 17,906	$ 12,398	$ 7,676	$ 18,481	$ 12,973	$ 8,151	$ 19,106	$ 13,598
annual-full time	$ 13,726	$ 34,762	$ 23,746	$ 14,501	$ 35,812	$ 24,796	$ 15,351	$ 36,962	$ 25,946	$ 16,301	$ 38,212	$ 27,196

Other Tuition Based Programs	**Resident**	**Non-Resident**	**NE Regional**	**Resident**	**Non-Resident**	**NE Regional**	**Resident**	**Non-Resident**	**NE Regional**	**Resident**	**Non-Resident**	**NE Regional**
Pharmacy (Pharm. D.)	$ 24,054	$ 51,352	$ 41,818	$ 24,830	$ 52,402	$ 42,868	$ 25,680	$ 53,552	$ 44,018	$ 26,630	$ 54,802	$ 45,268
Storrs-DPT (PHYSICAL THERAPY)	$ 19,182	$ 40,238	$ 29,192	$ 19,958	$ 41,288	$ 30,242	$ 20,808	$ 42,438	$ 31,392	$ 21,758	$ 43,688	$ 32,642
Law School-Day	$ 27,778	$ 57,946	$ 48,336	$ 28,554	$ 58,996	$ 49,386	$ 29,404	$ 60,146	$ 50,536	$ 30,354	$ 61,396	$ 51,786
Law School-Evening	$ 19,580	$ 40,730	$ 33,990	$ 20,356	$ 41,780	$ 35,040	$ 21,206	$ 42,930	$ 36,190	$ 22,156	$ 44,180	$ 37,440
Law School SJD	$ 7,962	$ 15,452	$ 13,658	$ 8,738	$ 16,502	$ 14,708	$ 9,588	$ 17,652	$ 15,858	$ 10,538	$ 18,902	$ 17,108
Social Work	$ 13,726	$ 34,762	$ 23,746	$ 14,502	$ 35,812	$ 24,796	$ 15,352	$ 36,962	$ 25,946	$ 16,302	$ 38,212	$ 27,196
Stamford Ph. D.	$ 17,224	$ 34,762	$ 29,868	$ 18,000	$ 35,812	$ 30,918	$ 18,850	$ 36,962	$ 32,068	$ 19,800	$ 38,212	$ 33,318

-All above are tuition based programs only. Fee based programs rates are established during the Spring semester.

Board Of Trustees

Tuition Planning Presentation

FY17- FY20

December 16, 2015

UCONN

Why Do We Have To Raise Tuition?

1. To keep UConn great by protecting academic quality and the gains made over the last few years
2. Cuts and Shortfalls in State Funding
3. Increasing Costs – specifically mandated contractual increases and benefits

Outstanding Academic Quality

UConn has improved by leaps and bounds over the last few years, including reduced time to graduation and increased educational quality.

UConn successes:

- Top 25 Public National University (19th) in U.S. News and World report
- Hired 260 new faculty
- Lowered student to faculty ratio from 18.3:1 to 16.8:1
- Decreased average time to degree to 4.2 years
- Increased number of class offerings by 33%
- Reduced class size throughout general education and science courses
- Increased academic quality of students

UConn has made great strides and needs to continue moving forward

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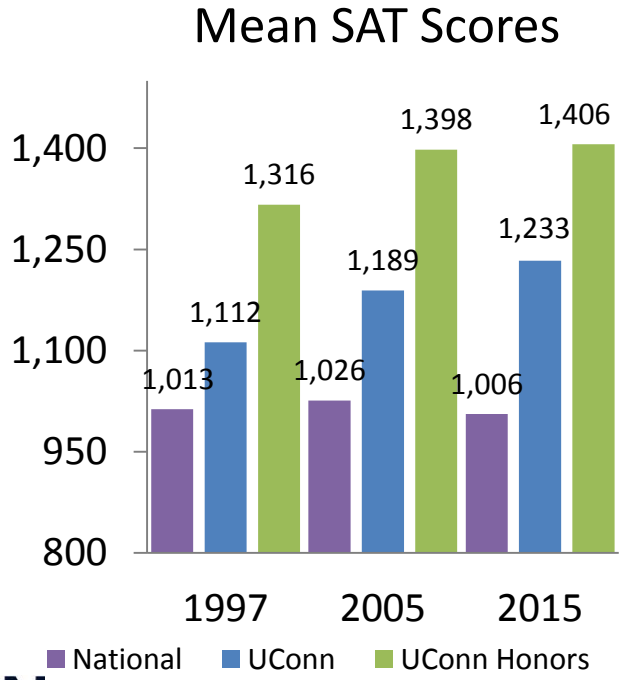
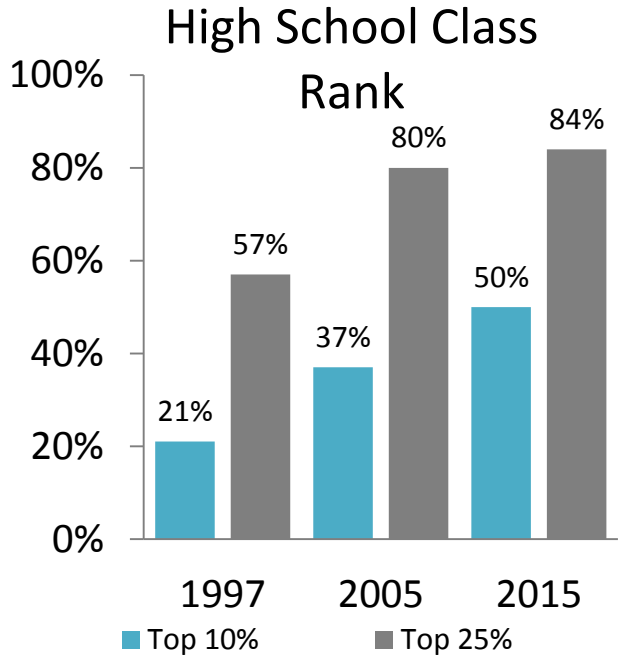
Freshmen Quality

Freshmen quality has improved by all measures, including SAT and High School Rank.

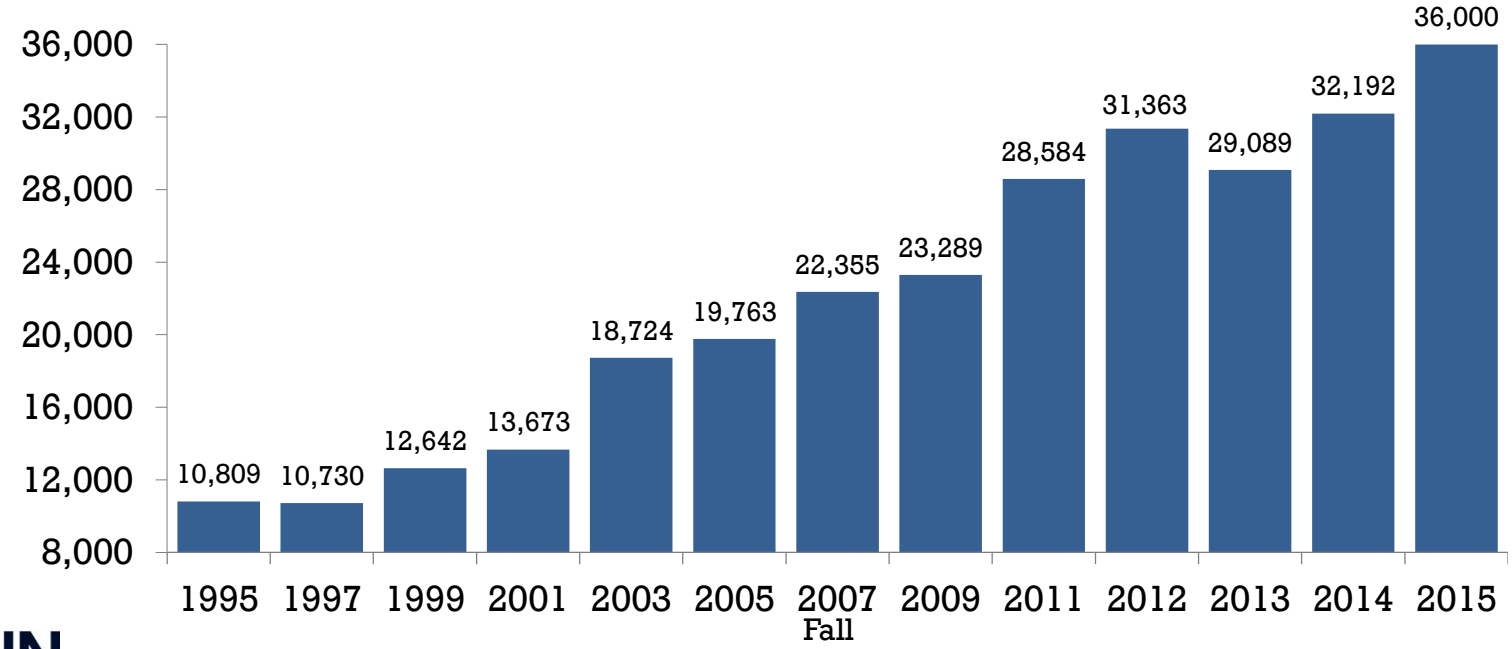




*Note: These graphs represent only Storrs freshmen

Freshman Application Trends

Applications at all campuses have increased 233% from Fall 1995 to Fall 2015



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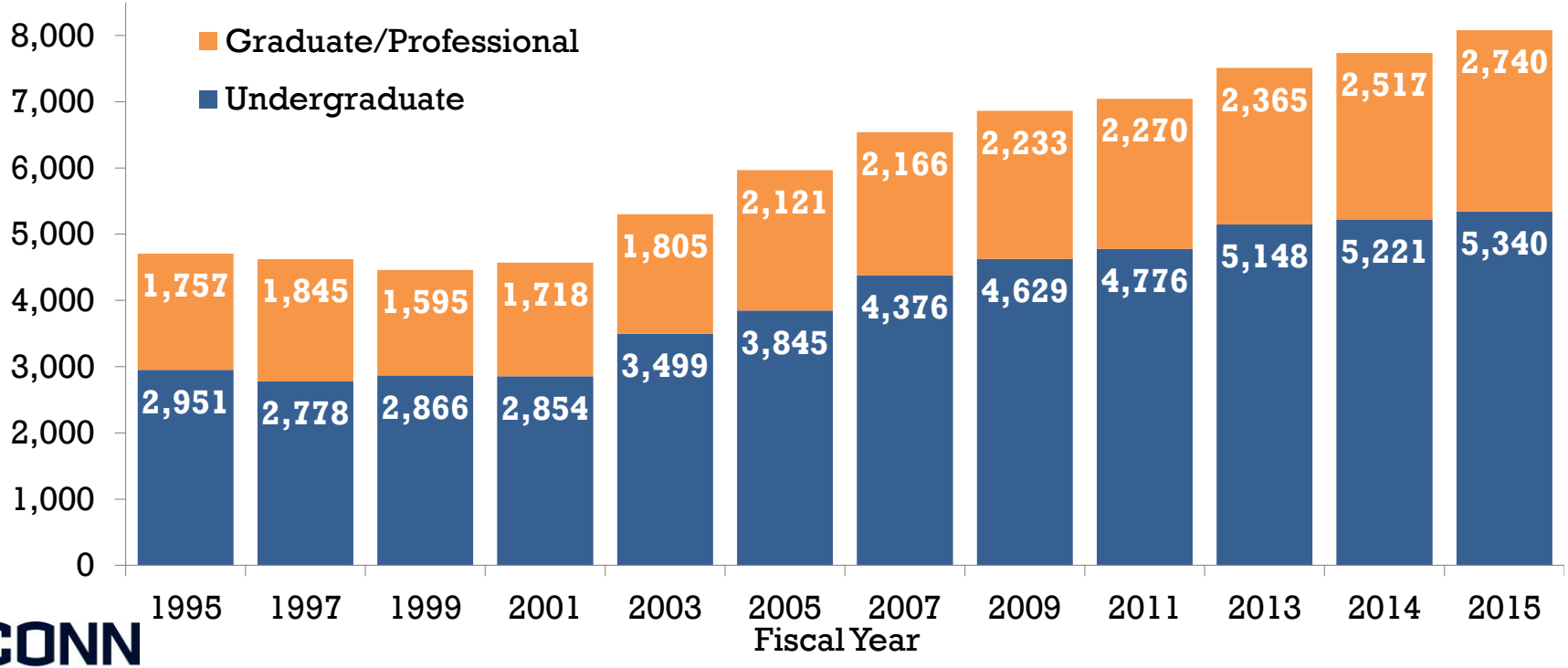
Degrees Awarded

Undergraduate degrees awarded have increased 81% since 1995



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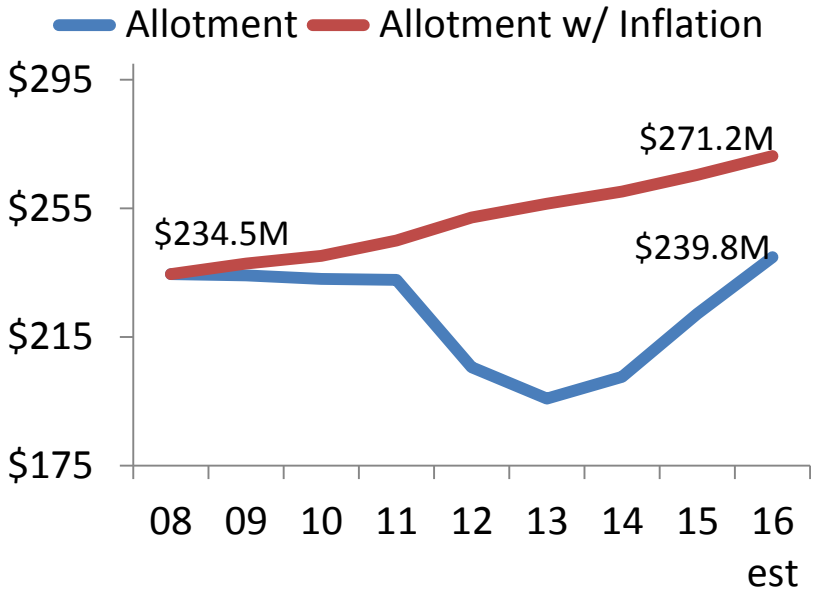
Trends in State Funding

Fiscal Year	Approp ($M)	Actual Allotment ($M)	Reductions ($M)	% Perm Employees Funded by Allotment
'09	$247.9	$234.1	$13.8	70%
'10	235.3	233.0	2.3	73%
'11	233.0	232.6	0.4	68%
'12	207.7	205.6	2.1	61%
'13	206.1	195.8	10.3	57%
'14	203.4	202.6	0.8	53%
'15	229.6	222.2	7.4	56%
'16 est	243.2	239.8	3.4	~58%
Total Reductions		Approximately $40M or $59M w/FB		
		+ $23M of Fund Balance Sweeps		

- More UConn tuition, fees & other revenues are required to provide support for employees & the increase in students since FY08



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Shortfall in State Support

Since FY13, growth in State funding has been $32.4M less than mandatory salary & fringe increases.

$ Millions	FY13	FY14	FY15	FY16	TOTAL
Mandatory Increases					
Contractual Wage Increases	$0.0	$17.0	$19.6	$23.8	**$60.4**
Healthcare and Retirement Increases	$11.2	$21.1	$2.7	$14.1	**$49.1**
Total Mandatory Increases	**$11.2**	**$38.1**	**$22.3**	**$37.9**	**$109.5**
State Support Increase	**$6.1**	**$19.6**	**$20.2**	**$31.2**	**$77.1**
Funding Gap	**($5.1)**	**($18.5)**	**($2.1)**	**($6.7)**	**($32.4)**

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UNIVERSITY OF CONNECTICUT

UConn FY17 Budget Projection

- Current FY17 Projected Budget Gap is $40.2M
- UConn is bracing for additional cuts in FY16 and a lower appropriation for FY17 due to recent State budget news

Tools Used for Balancing the Budget

UConn continuously uses the following tools to balance the budget:

Increased Revenue Options

- Grow philanthropy
- Increase enrollment
- Offer more online and summer programs
- Grow entrepreneurial programs
- Increase Technology Commercialization & Business Incubation

Cost Saving Options

- Restricted hiring through strict scrutiny
- Reduce workforce through position elimination
- Cut academic programs and departments
- Streamline processes for cost reductions
- Promote efficiencies
- Slow down faculty hiring
- Analyze closing regional campuses

Impact of Cuts

UConn has done the following to balance the University budget as a result of State cuts:

- Workforce reductions
- Careful review and strict scrutiny of hiring decisions
- Reduced faculty hiring
- Academic program elimination and reduction
- Consolidation of academic units
- Less maintenance of facilities

Cost of Attendance in FY16

Current 2015-16 rates for in-state and out-of-state students.

	In-State	Out-of-State
Tuition	$10,524	$32,066
Mandatory Fees	2,842	2,842
Subtotal	**$13,366**	**$34,908**
Room & Board	12,174	12,174
Direct Cost of Attendance	**$25,540**	**$47,082**

Does not include costs for books, supplies, transportation, etc.

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Financial Aid to Undergraduate Students

UConn is committed to providing financial aid.

	Amount Awarded	Number of Students	Percent of Students
UConn Grant	$68.8M	10,600	46%
CT Governor's Grant	$6.5M	2,393	10%
Federal SEOG	$0.75M	194	0.8%
Federal Pell Grant	$23.9M	5,684	25%
Federal Perkins and Direct Stafford Loans	$75.7M	11,166	49%
Financial Aid from All Sources	$336M	17,871	78%

46% of students receive University financial aid.

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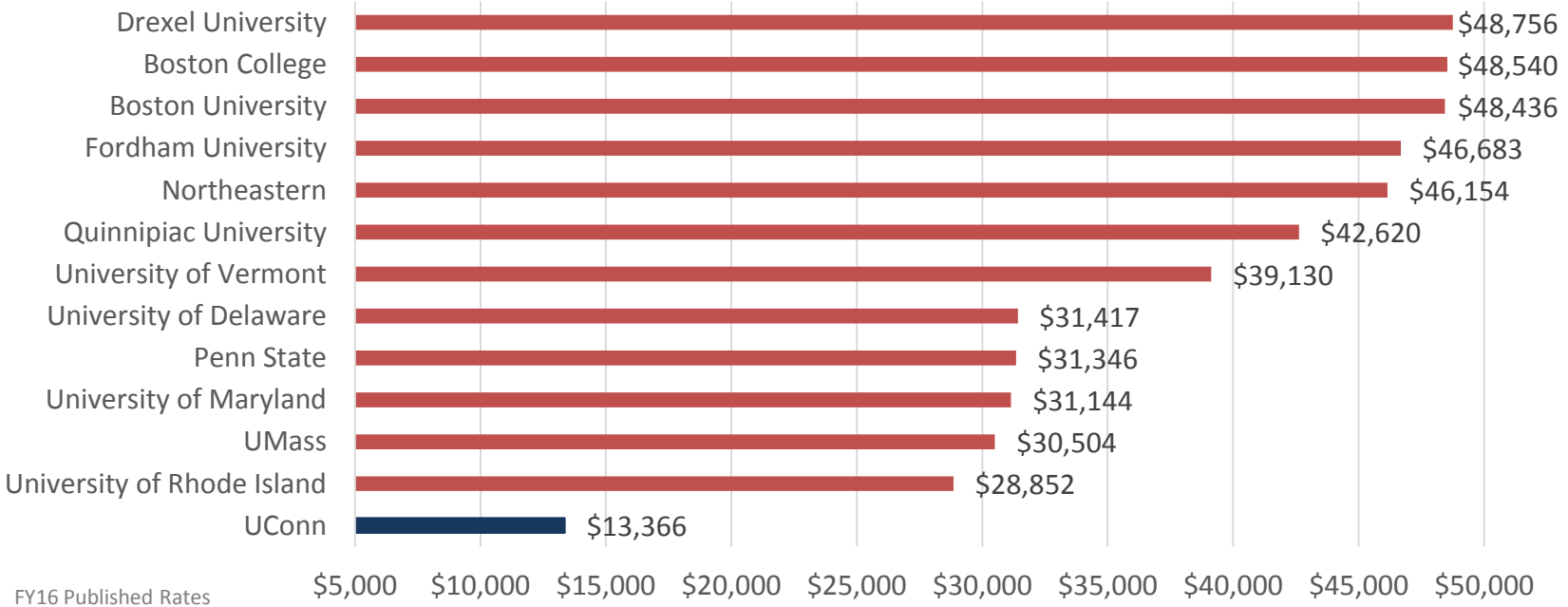
Tuition and Fees for a Connecticut Resident vs. Competitors

For Connecticut residents, UConn offers the best value



FY16 Published Rates

UCONN
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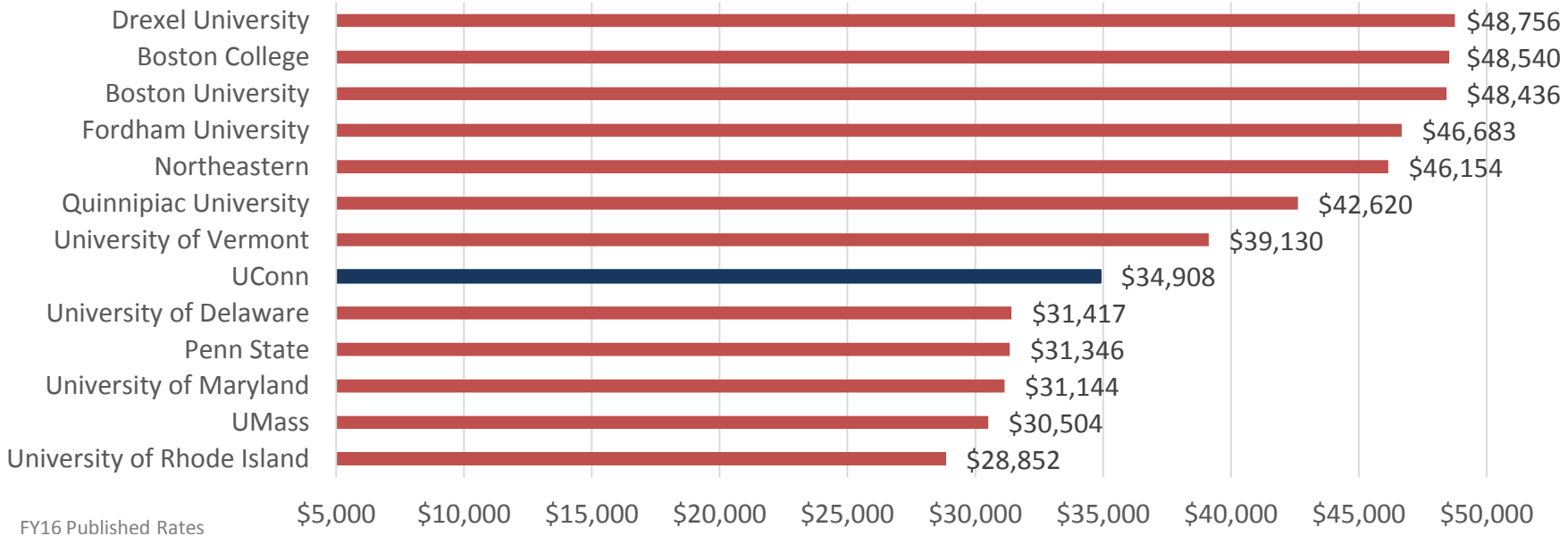
Tuition and Fees for a Non-Connecticut Resident vs. Competitors

For non-Connecticut residents, UConn is the second highest cost among public flagship institutions



FY16 Published Rates

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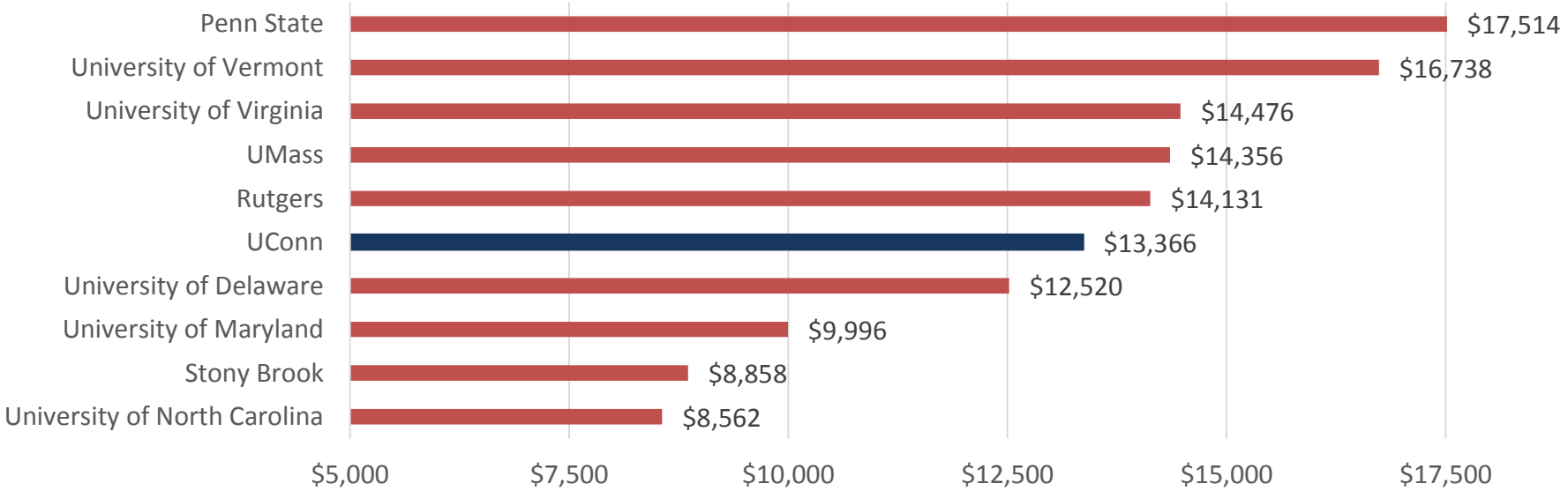
In-State Tuition and Fees Compared to Public Competitors

In-state tuition and fee rates at competitor public flagship institutions



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Four Year Tuition Plan: Administration Proposal

This plan balances the need for increased revenue with accessibility, affordability, and remaining attractive to students and parents.

	2016-17		2017-18		2018-19		2019-2020	
	Resident	Non-Resident	Resident	Non-Resident	Resident	Non-Resident	Resident	Non-Resident
Tuition Amount	11,224	33,016	11,999	34,066	12,849	35,216	13,799	36,466
Dollar Increase	$700	$950	$775	$1,050	$850	$1,150	$950	$1,250
New Revenue	$15.3M		$17.0M		$18.6M		$20.6M	
New Financial Aid	$2.5M		$2.8M		$3.0M		$3.4M	
Net New Revenue	$12.8M		$14.2M		$15.6M		$17.2M	

Current 2015-16 resident tuition is $10,524 and non-resident is $32,066.

UCONN
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FY17 Projected Budget Gap After Tuition Increases

The proposed tuition increases will only contribute $12.8M to the FY17 Budget Gap.

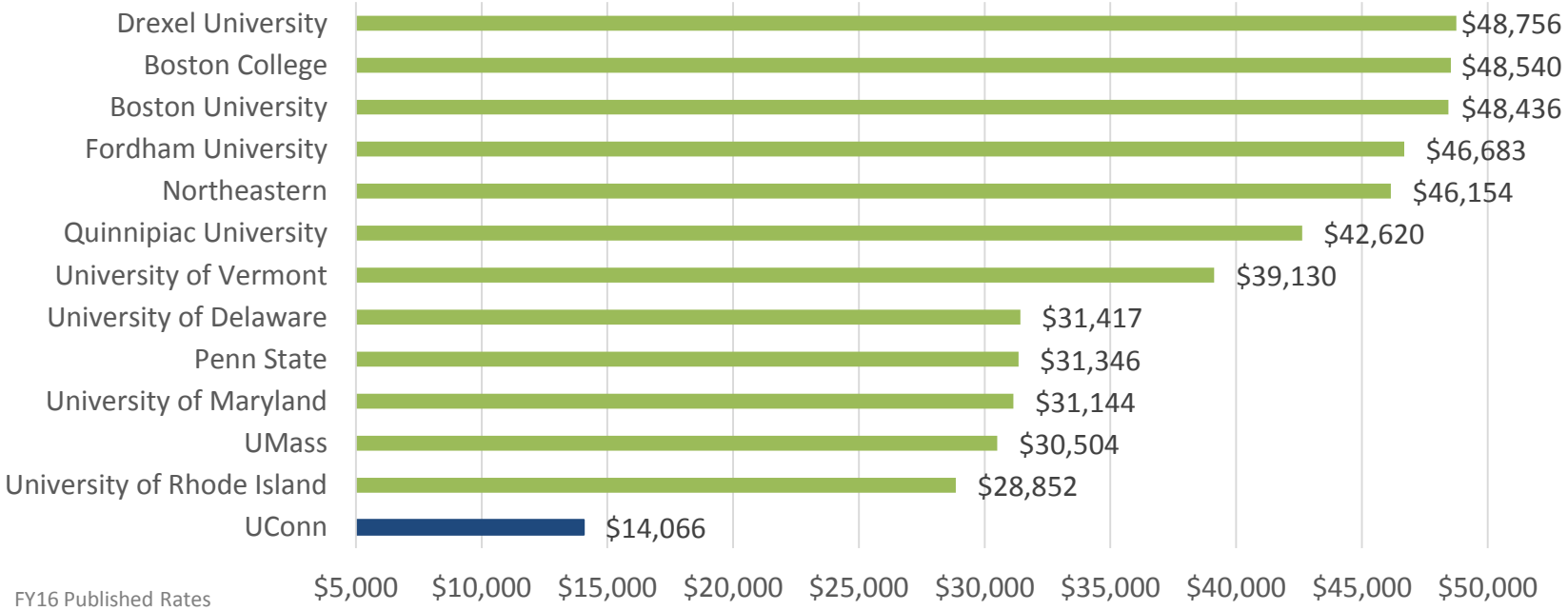
	FY17	
Projected Budget Gap	$40.2M	
Proposed Tuition Increase (New Revenue)	$12.8M	32%
FY17 Remaining Budget Gap	**$27.4M**	**68%**

Other mitigation strategies – including cuts, judicious hiring, and operational efficiencies – will need to be utilized to fill the remainder of the gap.

UCONN
UNIVERSITY OF CONNECTICUT

With 2016-17 Tuition Increase, UConn is still the best value

Comparing UConn's 2016-17 proposed tuition rates to Competitors 2015-16 rates still shows UConn offers the best value for Connecticut Residents.

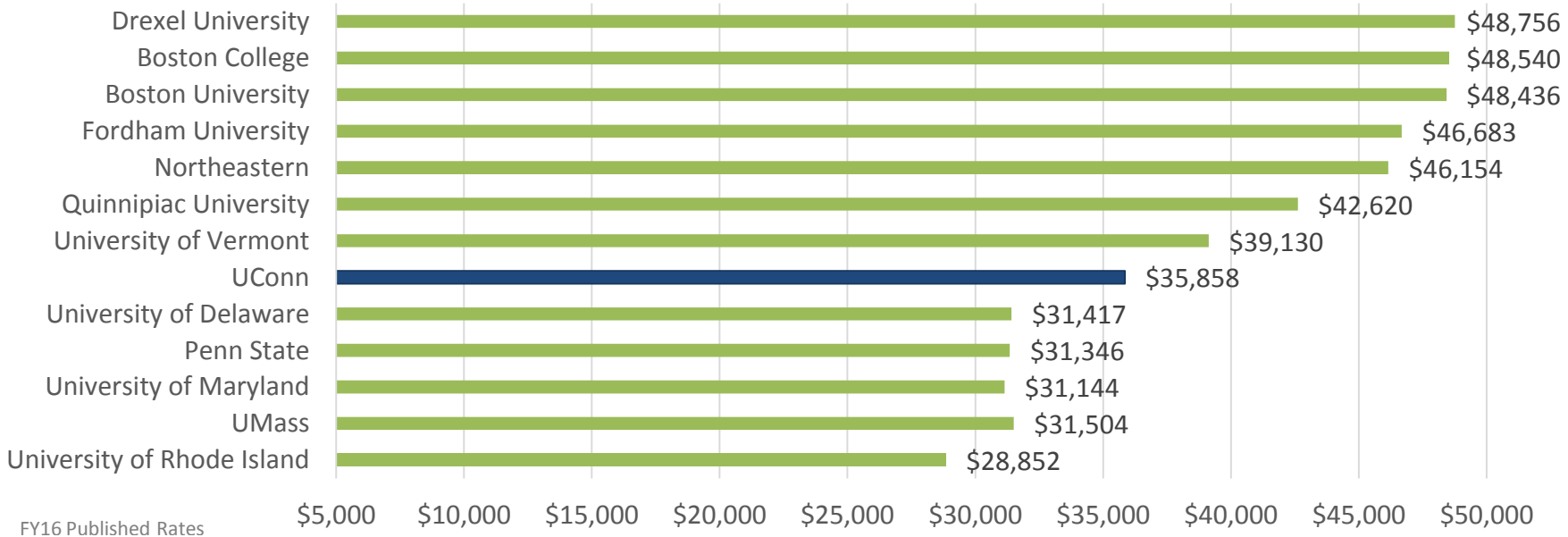


FY16 Published Rates

UCONN
UNIVERSITY OF CONNECTICUT

UConn's 2016-17 Proposed Tuition and Fees for a Non-Connecticut Resident vs. 2015-16 Competitors rates

With 2016-17 tuition increase, for non-Connecticut residents, UConn remains the second highest among public flagship institutions

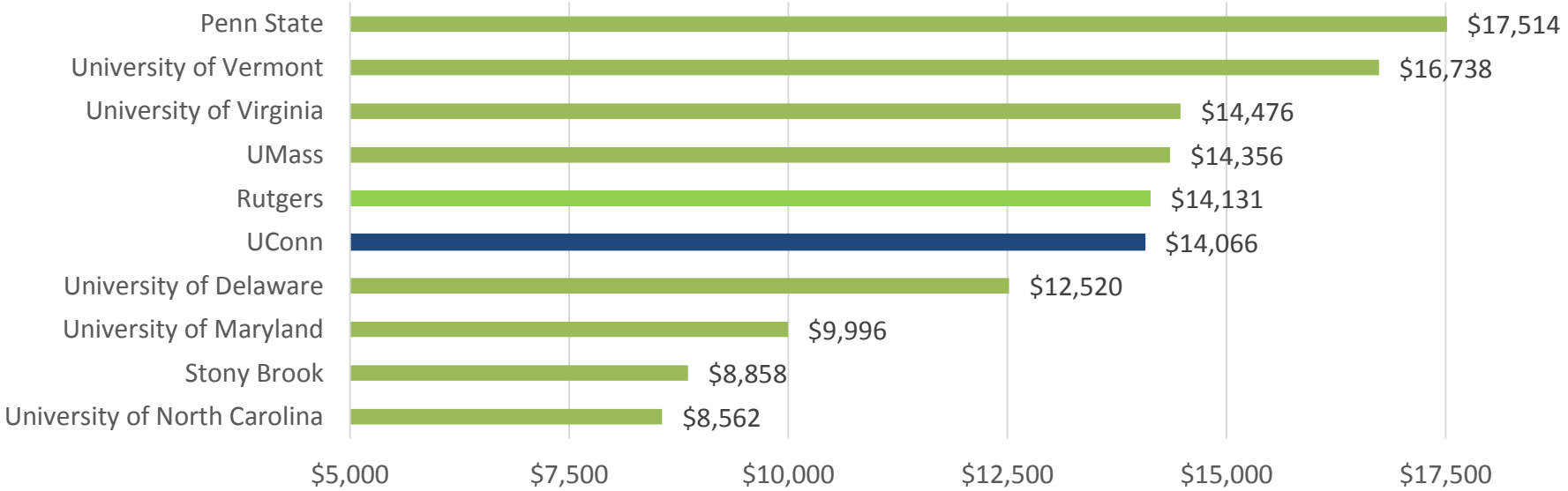


FY16 Published Rates

UCONN
UNIVERSITY OF CONNECTICUT

UConn's proposed 2016-17 In-State Tuition and Fees Compared to 2015-16 Public Competitors' rates

When comparing UConn's 2016-17 in-state tuition and fee rates to 2015-16 competitor public flagship institutions, UConn remains competitive



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Summary

- UConn has increased academic quality over the last few years, including reduced time to graduation and smaller class sizes. We need to protect these investments and continue moving forward.
- UConn budget pressures are increasing due to increased costs and decline in State funding.
- As part of the tuition planning process our goal has been to maintain academic excellence and financial affordability.
- This 4 year tuition plan provides certainty for students and parents.
- Much work will still need to be done on the cost cutting side in the coming months to close the budget gap.
- UConn is a great university and a great deal for students.

ATTACHMENT 23

UCONN
UNIVERSITY OF CONNECTICUT

December 16, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Energy Services Performance Contract – Phase I
(Design: $28,102,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of $28,102,000 for the Department of Energy and Environmental Protection (DEEP) Lead By Example Energy Services Performance Contract – Phase I project and approve the contractor purchase of steam piping, condensate piping, vaults and ancillaries in advance of a Final Project Budget.

BACKGROUND:

The DEEP Lead By Example Energy Services Performance Contract (ESPC) – Phase I project is intended to replace aging infrastructure along a portion of the North Hillside Road corridor from the Hillside Road and North Eagleville Road intersection to the Hillside Road and Jim Calhoun Way intersection. The project additionally implements building retro-commissioning improvements to reduce energy and related costs in seven (7) University of Connecticut STEM buildings consisting of Agriculture Bio-Technology (ABL) Building, Agriculture Technology Laboratory (ATL) Building, Bio-behavioral 4 Complex Building, Biology-Physics Building, Chemistry Building, Pharmacy Building, and Psychology Building.

The objective of this design-build project is to upgrade UConn facilities and infrastructure through a "Guaranteed Energy Savings Contract", sometimes also referred to as "Performance Contracting" as established by the Department of Energy and Environmental Protection (DEEP) Lead By Example initiative for all Connecticut State Agencies and Municipalities. These energy-related capital improvements will generate an operating and maintenance budget savings of approximately $1.5 million a year guaranteed by the selected Energy Performance Contractor ConEdison Solutions.

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Schematic Design for the project is currently complete to 30% as a component of the Investment Grade Energy Audit (IGEA) solicited by UConn. The project will implement opportunities for landscape improvements within the project locus as defined by the Master Plan.

The Energy Services Performance Contract initiative will be implemented in two phases of construction over the course of two years with Phase I starting summer of 2016. This will allow further conceptualization of the roadway improvements and focus on known deferred maintenance issues within the buildings and bounds of the project locus. Due to the long lead time for steam piping, condensate piping, vaults and ancillaries, these components will be contractor procured in advance of a Final Project Budget, and in accordance with standard DEEP procurement policies and procedures established specifically for the ESPC Program at an estimated cost of $1,600,000. The project will focus on heavy utility excavation from May to August 2016 to limit impact to students, staff, and the public. It is anticipated that landscaping will be completed in spring 2017.

The ESPC – Phase I began in June 2015 and design documents are anticipated to be complete in January 2016. Construction is anticipated to begin in May 2016 and be complete in April 2017.

The Design Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: ENERGY SERVICES PERFORMANCE CONTRACT - PHASE 1

BUDGETED EXPENDITURES	**PROPOSED PLANNING 6/8/2015**	**PROPOSED DESIGN 12/16/2015**
	EVPACFO	
CONSTRUCTION	$ 250,000	$ 21,053,612
DESIGN SERVICES	-	1,635,271
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	667,796
OTHER AE SERVICES (including Project Management)	10,000	919,108
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	135,000
INSURANCE AND LEGAL	-	100,000
MISCELLANEOUS	2,000	134,634
OTHER SOFT COSTS	-	-
SUBTOTAL	$ 262,000	$ 24,645,421
PROJECT CONTINGENCY	38,000	3,456,579
TOTAL BUDGETED EXPENDITURES	$ 300,000	$ 28,102,000
SOURCE(S) OF FUNDING		
RENEWABLE ENERGY FUND	$ 300,000	$ -
UCONN 2000 PHASE III - DM		28,102,000
TOTAL BUDGETED FUNDING	$ 300,000	$ 28,102,000

Due to anticipated long lead times, the steam piping, condensate piping, vaults and ancilliaries will be procured by the contractor in accordance with standard DEEP procurement policies and procedures established specifically for the ESPC Program at an estimated cost of $1,600,000.

BOT 12.16.15

ATTACHMENT 24

UCONN
UNIVERSITY OF CONNECTICUT

December 16, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III (Final: $20,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of $20,000,000 for Phase III of the North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades project for construction.

BACKGROUND:

The North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades project is intended to replace aging infrastructure along a portion of the North Eagleville Road corridor from the W.B. Young Building westerly to the Northwest Residences. The project is being implemented in three phases of construction. Phase I, which replaced a failed steam line was completed in 2014. Phase II, which is replacing and upgrading utilities in the W.B. Young Quad, is currently in construction and is anticipated to be complete in December 2015.

Phase III, which is the subject of this resolution, will replace and upgrade utilities in the North Eagleville Road Corridor from Storrs Road, westerly along North Eagleville Road to the western extremity of the project at the Northwest Residence Halls; northerly to the Towers Residence Complex and easterly to the College of Agriculture, Health and Natural Resources (CAHNR). Utilities included in the project scope include: steam and condensate return, electrical, water, storm and sanitary gravity sewer, a sanitary force main, and telecommunications. The project will include opportunities for landscape improvements and reconfiguration of North Eagleville Road within the project locus as defined by the University Master Plan approved in February 2015.

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Phase III is planned to be implemented in two phases of construction over a period of two summers/falls in 2016 and 2017. The University has retained a Construction Manager at Risk to implement the project under a Guaranteed Maximum Price (GMP) contract.

Phase IIIA will focus on the area of Towers Residence Halls, the CAHNR campus east of Storrs Road and a portion of North Eagleville Road from Storrs Road west to the Lakeside Building and Northerly to the Towers Residential Complex. This phase will replace steam distribution and condensate return piping, electrical power distribution, telecommunications distribution, and a sanitary sewer force main. Areas disturbed by utility work will be improved within the project locus in accordance with the Master Plan.

Design of Phase IIIA began in August 2015 and Design Documents are anticipated to be complete in December 2015. Construction is planned to start in May 2016 and be substantially completed in the fall of 2016. Due to the long lead times involved in the procurement of steam and condensate piping, the University is seeking approval to allow the competitive pricing of and development of a GMP for the steam and condensate return system of Phase IIIA in advance of development of the full GMP for Phase IIIA.

Phase IIIB will focus on North Eagleville Road from the Lakeside Building west to Hillside Road. This phase will replace steam distribution and condensate return piping, electrical power distribution, telecommunication distribution, and sanitary force main. Surface and roadway improvements to North Eagleville Road are early in conceptual design and will be included in this phase of construction. Phase IIIB will implement these improvements to provide for better pedestrian safety and better traffic coordination with the North Hillside Road Extension. Phase IIIB will begin construction in May 2017 and be substantially completed in the fall of 2017.

Design of Phase IIIB is planned to begin in November 2015 and Design Documents are anticipated to be complete in August 2016. Phase IIIB construction is anticipated to begin in May 2017 and be substantially completed in the fall of 2017.

The University is seeking approval for construction of Phase IIIA because it is anticipated that the Phase IIIA GMP will be complete prior to the February Board meeting. The anticipated total project budget for both phases will be in the range of $35,000,000 – $40,000,000. The budget was established using construction cost estimates prepared by the Engineer of record for the project.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: NORTH EAGLEVILLE ROAD AREA INFRASTRUCTURE REPAIR/REPLACEMENT AND UPGRADES - PHASE III

BUDGETED EXPENDITURES	APPROVED PLANNING 4/28/2015 SARCC	APPROVED REVISED PLANNING 8/5/2015	APPROVED DESIGN 10/28/2015	PROPOSED FINAL 12/16/2015
CONSTRUCTION	$ 250,000	$ 200,000	$ 300,000	$ 13,000,000
DESIGN SERVICES	-	1,000,000	2,000,000	2,000,000
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	750,000	750,000
OTHER AE SERVICES (including Project Management)	10,000	90,000	150,000	1,200,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	30,000	30,000	210,000
INSURANCE AND LEGAL	-	10,000	170,000	200,000
MISCELLANEOUS	2,000	-	-	140,000
OTHER SOFT COSTS	-	-	-	-
SUBTOTAL	$ 262,000	$ 1,330,000	$ 3,400,000	$ 17,500,000
PROJECT CONTINGENCY	38,000	170,000	600,000	2,500,000
TOTAL BUDGETED EXPENDITURES	$ 300,000	$ 1,500,000	$ 4,000,000	$ 20,000,000
SOURCE(S) OF FUNDING				
UCONN 2000 PHASE III - DM	$ 300,000	$ 1,500,000	$ 4,000,000	$ 20,000,000
TOTAL BUDGETED FUNDING	$ 300,000	$ 1,500,000	$ 4,000,000	$ 20,000,000

ATTACHMENT 25

UCONN
HEALTH

December 16, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs (interim)

Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health (UCH) Electronic Medical Records (EMR) Project Team Space Fit-Out – New Hospital Tower 7th floor (Planning: $1,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of $1,500,000 for the UConn Health Electronic Medical Records (EMR) Project Team Space Fit-Out – New Hospital Tower 7th floor.

BACKGROUND:

UConn Health is implementing a new Electronic Medical Records (EMR) system. Based on the preliminary work plan, the project will take approximately 4 years to complete and require a workforce of 135 people. Approximately 12,000 sf of office space is required for the EMR Administrative and Training teams. UCH plans on fitting-out 12,000 square feet of the shell space on the 7th floor of the new Hospital Tower into temporary office space for the EMR Administrative and Training team. The level of construction finishes is to be minimal and refurbished workstations and furniture will be utilized, all in an effort to reduce costs.

The Planning Budget is attached for your consideration. The Planning Budget is based upon conceptual estimates and may change based upon the actual design work. With your approval the project will move forward with design. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on December 14, 2015.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

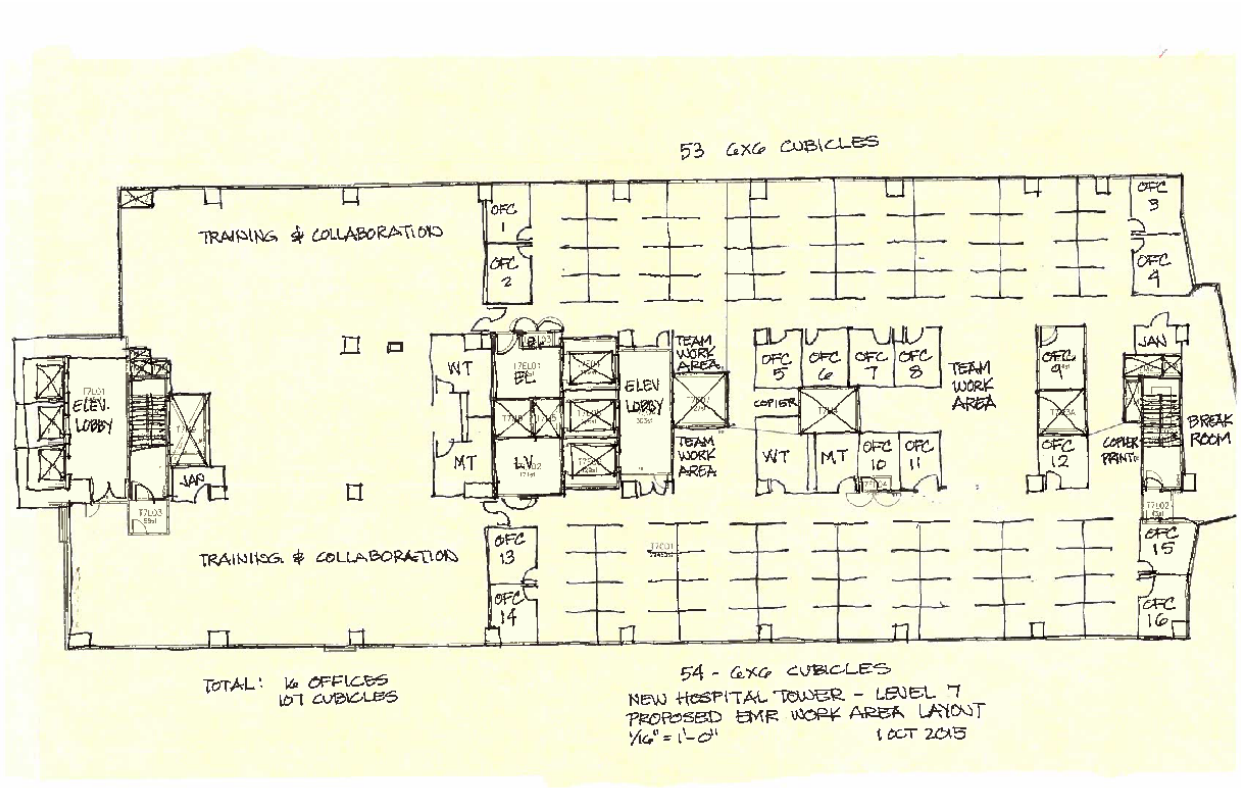
TYPE BUDGET: PLANNING

PROJECT NAME: UCH - EMR PROJECT TEAM SPACE (NEW HOSPITAL TOWER 7TH FLOOR)

BUDGETED EXPENDITURES		**PROPOSED PLANNING 12/16/2015**
CONSTRUCTION	$	984,000
DESIGN SERVICES		109,000
TELECOMMUNICATIONS		191,000
FURNITURE, FIXTURES AND EQUIPMENT		66,000
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		-
ART		-
RELOCATION		-
ENVIRONMENTAL		2,000
INSURANCE AND LEGAL		5,000
MISCELLANEOUS		6,000
OTHER SOFT COSTS		-
SUBTOTAL	$	1,363,000
PROJECT CONTINGENCY		137,000
TOTAL BUDGETED EXPENDITURES	$	1,500,000
SOURCE(S) OF FUNDING		
UCONN HEALTH CAPITAL FUNDS	$	1,500,000
TOTAL BUDGETED FUNDING	$	1,500,000

BOT 12.16.15
16-016

UCONN HEALTH (UCH)
ELECTRONIC MEDICAL RECORDS (EMR)
PROJECT TEAM SPACE FIT-OUT –
NEW HOSPITAL TOWER 7TH FLOOR
Project Budget (PLANNING)
December 16, 2015



CONCEPTUAL FIT-OUT PLAN

ATTACHMENT 26

UCONN
HEALTH

December 16, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs (interim)

Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health (UCH) Munson Road Roof Replacement (Planning: $5,050,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of $5,050,000 for the UConn Health Munson Road Roof Replacement.

BACKGROUND:

The Munson Road building is a precast concrete structure that was constructed in 1971 and purchased by UConn Health around 2004. The building has 5 levels that step down a sloped site with multiple roofs and plazas containing planters and reflection pools. The condition of the existing original plaza waterproofing and roofing has deteriorated beyond the scope of normal maintenance repairs and requires a full replacement.

The Planning Budget is attached for your consideration. The Planning Budget is based upon conceptual estimates and may change based upon the actual design work. With your approval the project will move forward with design. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on December 14, 2015.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCONN HEALTH - MUNSON ROAD ROOF AND PLAZA REPLACEMENT

BUDGETED EXPENDITURES		**PROPOSED PLANNING 12/16/2015**
CONSTRUCTION	$	4,275,000
DESIGN SERVICES		277,000
TELECOMMUNICATIONS		-
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		-
ART		-
RELOCATION		-
ENVIRONMENTAL		30,000
INSURANCE AND LEGAL		5,000
MISCELLANEOUS		4,000
OTHER SOFT COSTS		-
SUBTOTAL	$	4,591,000
PROJECT CONTINGENCY		459,000
TOTAL BUDGETED EXPENDITURES	$	5,050,000
SOURCE(S) OF FUNDING		
UCONN 2000 PHASE III - DM	$	5,050,000
TOTAL BUDGETED FUNDING	$	5,050,000

BOT 12.16.15
11-043

UCONN HEALTH (UCH)
MUNSON ROAD ROOF REPLACEMENT
Project Budget (PLANNING)
December 16, 2015

VIEW OF MUNSON ROAD PLAZAS

ATTACHMENT 27

UCONN
HEALTH

December 16, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs (interim)

Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health (UCH) 195 Farmington Avenue; Parking Lot Pavement Removal and Replacement (Planning: $763,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of $763,000 for the UConn Health 195 Farmington Avenue; Parking Lot Pavement Removal and Replacement.

BACKGROUND:

UCH purchased a 44,000 square foot office building located at 195 Farmington Avenue in January 2013. As part of the due diligence for the property purchase a Facilities Conditions Assessment (FCA) was completed by consulting engineers. The FCA identified building and site conditions that UCH would need to address including deferred maintenance items related to the parking lot.

UCH is planning on coordinating the repairs and replacement of the parking lot with the installation of water lines under the UCH Water Infrastructure Improvements project that will occur during the Summer of 2016.

The Planning Budget is attached for your consideration. The Planning Budget is based upon conceptual estimates and may change based upon the actual design work. With your approval the project will move forward with design. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on December 14, 2015.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCH - RENOVATE & REPAVE 195 FARMINGTON AVENUE PARKING LOT

BUDGETED EXPENDITURES		**PROPOSED PLANNING 12/16/2015**
CONSTRUCTION	$	610,000
DESIGN SERVICES		68,000
TELECOMMUNICATIONS		-
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		15,000
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		-
MISCELLANEOUS		-
OTHER SOFT COSTS		-
SUBTOTAL	$	693,000
PROJECT CONTINGENCY		70,000
TOTAL BUDGETED EXPENDITURES	$	763,000
SOURCE(S) OF FUNDING		
UCONN 2000 PHASE III - DM	$	763,000
TOTAL BUDGETED FUNDING	$	763,000

BOT 12.16.15
16-603.01

UCONN HEALTH (UCH)
195 FARMINGTON AVENUE;
PARKING LOT PAVEMENT REMOVAL AND REPLACEMENT
Project Budget (PLANNING)
December 16, 2015

PARTIAL VIEW OF 195 FARMINGTON AVE PARKING LOT

ATTACHMENT 28

UCONN
HEALTH

December 16, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs (interim)

Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health (UCH) New Boiler and Deaerator Tank (DA) Repairs (Design: $2,360,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of $2,360,000 for the UConn Health New Boiler and Deaerator Tank (DA) Repairs.

BACKGROUND:

The Main Building mechanical system includes a central boiler plant in the basement of the Academic Research Building (ARB). Constructed in 1996, the boiler plant provides hot water for heating and steam generation. The plant serves the entire Main Building including the Hospital, clinical, research, and education space.

As part of the Bioscience Connecticut initiative, Main Building Lab renovations, one backup boiler, in a separate location from the main boiler plant, will be removed. This project will replace that boiler with a new boiler in the central plant.

Also included in this project is the repair of the deaerator tank system that serves the entire boiler plant. Components of the deaerator tank system such as aerators, pumps, water polishers, valves and filters, have corroded and are past their useful life. It is critical to replace and repair these components to insure the overall condition of the boiler plant is maintained.

The Design Budget is attached for your consideration. The Planning Budget was based upon conceptual estimates and the change in the budget is based upon the actual design estimates. With your approval the project will move forward with bidding. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on December 14, 2015.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: UCH - NEW BOILER AND DEAERATOR TANK REPAIRS

BUDGETED EXPENDITURES	**APPROVED PLANNING 6/24/2015**	**PROPOSED DESIGN 12/16/2015**
CONSTRUCTION	$ 1,650,000	$ 1,945,000
DESIGN SERVICES	210,000	181,000
TELECOMMUNICATIONS	5,000	2,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	50,000	-
OTHER AE SERVICES (including Project Management)	50,000	-
ART	-	-
RELOCATION	-	2,000
ENVIRONMENTAL	-	10,000
INSURANCE AND LEGAL	25,000	-
MISCELLANEOUS	10,000	5,000
SUBTOTAL	$ 2,000,000	$ 2,145,000
PROJECT CONTINGENCY	200,000	215,000
TOTAL BUDGETED EXPENDITURES	$ 2,200,000	$ 2,360,000
SOURCE(S) OF FUNDING		
UCONN 2000 PHASE III - DM	$ 2,200,000	$ 2,360,000
TOTAL BUDGETED FUNDING	$ 2,200,000	$ 2,360,000

BOT 12.16.15
14-601.12

UCONN HEALTH (UCH)
NEW BOILER AND DEAERATOR TANK (DA) REPAIRS
Project Budget (DESIGN)
December 16, 2015

PARTIAL VIEW OF THE CENTRAL BOILER PLANT

ATTACHMENT 29

UCONN HEALTH

December 16, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Executive Vice President for Health Affairs (interim)

Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health (UCH) 3T MRI Renovations (Final: $3,825,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of $3,825,000 for the UConn Health; 3T MRI Renovations.

BACKGROUND:

An existing MRI unit located in the Medical Arts and Research Building failed in August, 2013. Magnetic Resonance Imaging (MRI) uses a large magnet and radio waves to take pictures of bones and soft tissues. Unlike CT scans, MRI works without radiation. An MRI provides images that assist in diagnosis of torn knee ligaments and cartilage, torn rotator cuffs, herniated disks, hip and pelvic problems, and other conditions. The Department of Diagnostic Imaging and Therapeutics has maintained MRI capability in the Main Building; however, returning MRI capability to this location enhances the core clinical and research services.

The new unit proposed for this location is a 3 Tesla (T) MRI machine. The 3T machine is a significant improvement in both image quality and speed compared to the failed unit's capabilities. This project proposes to renovate the existing MRI room and adjacent areas to house the replacement machine. The renovations include reconfiguration of spaces to create a larger room, new magnetic shielding for the unit, and reconfiguration of adjacent space to improve patient flow.

The 3T MRI equipment for this location is planned to be procured via a capital lease.

The Final Budget is attached for your consideration and upon approval will allow this project to proceed into construction. The budget is based upon estimates and may be subject to change when bids are received in January 2016. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on December 14, 2015.

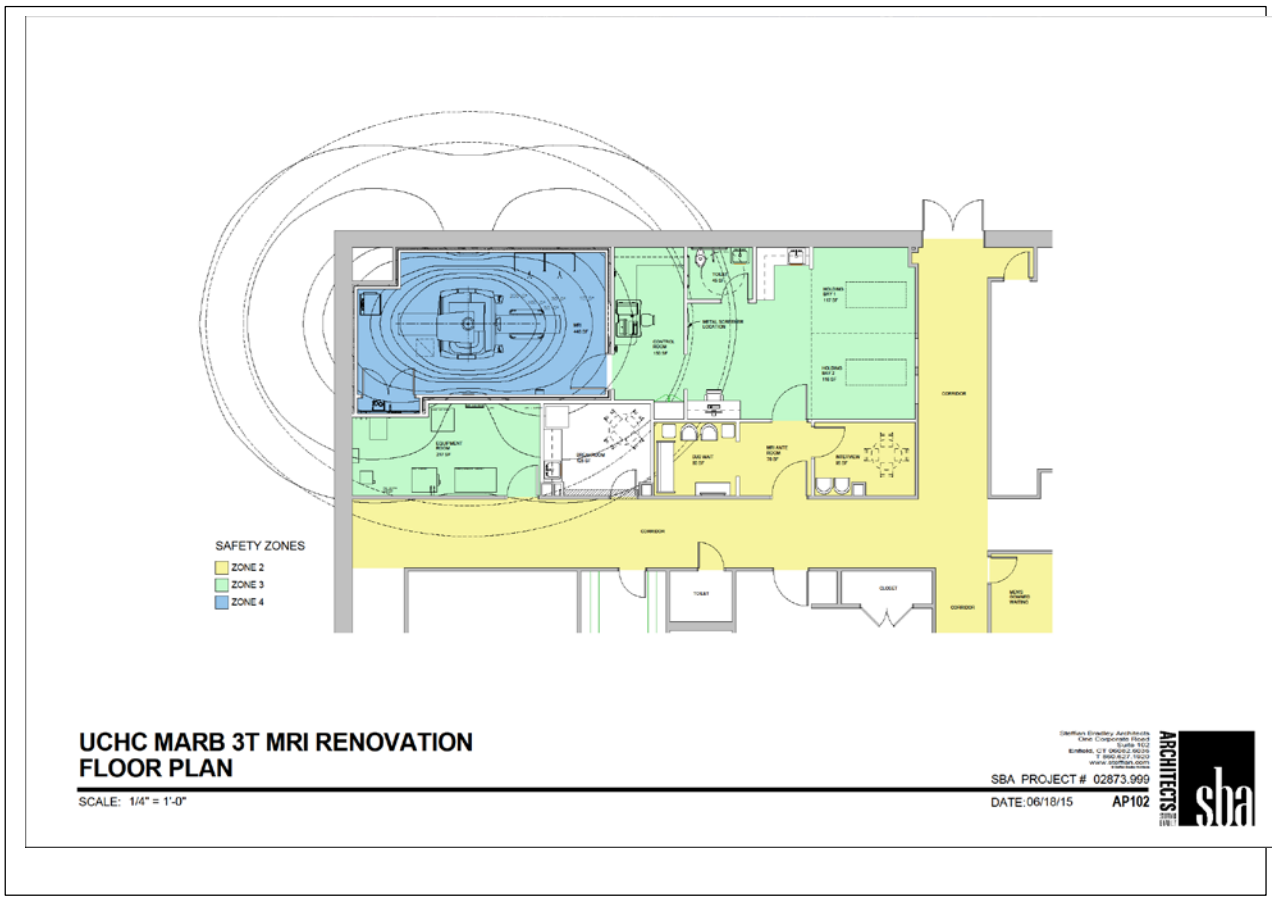
CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCH - 3T MRI RENOVATIONS

BUDGETED EXPENDITURES	APPROVED PLANNING 12/10/2014	APPROVED DESIGN 9/30/2015	PROPOSED FINAL 12/16/2015
CONSTRUCTION	$ 486,000	$ 890,000	$ 936,000
DESIGN SERVICES	60,000	90,000	114,000
TELECOMMUNICATIONS	7,000	7,000	9,000
FURNITURE, FIXTURES AND EQUIPMENT	2,450,000	2,450,000	2,423,000
CONSTRUCTION ADMINISTRATION	17,000	17,000	-
OTHER AE SERVICES (including Project Management)	60,000	60,000	31,000
ART	-	-	-
RELOCATION	2,000	2,000	2,000
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	2,000	2,000	-
MISCELLANEOUS	7,000	7,000	5,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	$ 3,091,000	$ 3,525,000	$ 3,520,000
PROJECT CONTINGENCY	309,000	300,000	305,000
TOTAL BUDGETED EXPENDITURES	$ 3,400,000	$ 3,825,000	$ 3,825,000
SOURCE(S) OF FUNDING			
UCONN HEALTH CAPITAL FUNDS	$ 3,400,000	$ 3,825,000	$ 3,825,000
TOTAL BUDGETED FUNDING	$ 3,400,000	$ 3,825,000	$ 3,825,000

UConn Health (UCH)
3T MRI RENOVATIONS
Project Budget (FINAL)
December 16, 2015



3T MRI RENOVATION FLOOR

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
New Hires Processed from October 09, 2015 - November 30, 2015
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
ABRAHAMSSON, BENJAMIN K.	RESEARCH ASSISTANT 1	NURSING INSTR & RES	10/16/2015
BOCCETTI, AMBER B.	UC PROFESSIONAL 06 - TRAINING/DEV SPEC	CONTROLLER OFC	10/16/2015
BOWMAN, DAYCIA	UC PROFESSIONAL 06 - COMP PROG/ANLYST 1	UNIVERSITY COMMUNICATIONS	10/2/2015
CLARKSON, WILLIAM	VISITING PROFESSOR	CIVIL & ENVIRON ENG	8/23/2015
CYR, KATHY L.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PROCUREMENT SERVICES	10/16/2015
DELAGE, DANIELLE T.	UC PROFESSIONAL 06 - PROGRAM SPEC I	VICE PRES FOR RESEARCH	10/7/2015
FERNANDES, CARRIE E.	UC PROFESSIONAL 09 - ASSOC DIR-FIN AID	FINANCIAL AID OFC	10/30/2015
FRAZIER, WILLIE C. III	RESEARCH ASSISTANT 2	CHIP	11/2/2015
GALLAGHER, NICOLE W.	SP INTERNAL LECT I	SPEECH, LANG & HEARING	10/2/2015
GOODWIN, REED A.	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	11/1/2015
GORDILLO, MAURICIO A.	U POST DOC FEL 1	MATERIALS SCIENCE INST	10/12/2015
HAMILTON, RASHEA D.	RESEARCH ASSOCIATE 1	EDUCATIONAL PSYCHOLOGY	11/9/2015
HISTEN, ADAM L.	UC PROFESSIONAL 01 - RESEARCH LAB AIDE	ECOLOGY & EVOL BIOLOGY	10/2/2015
HOLLAND, AMY	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	ENGINEERING DIVERSTY PROG	10/16/2015
HUDD, KATIE B.	UC PROFESSIONAL 06 - PROGRAM SPEC I	CTR STUDENTS DISABILITIES	11/13/2015
JAHANGIR, EBAD	VISITING PROFESSOR	ELECTRICAL & CPTR ENGR	10/30/2015
KAMPHAUSEN, ARMINDA	UC PROFESSIONAL 06 - PROGRAM ADMIN	BUSINESS DEAN OFC	11/13/2015
LABELLE, LISA M.	RESEARCH ASSISTANT 2	MOLECULAR & CELL BIOLOGY	11/16/2015
LACEY, KYLE J.	UC PROFESSIONAL 01 - FINANCIAL ASST I	LIBERAL ARTS & SCI DEAN	11/13/2015
LI, YING	ASSISTANT PROFESSOR	MECHANICAL ENGR	8/23/2015
LOPEZ, JURYBELL	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	CONTROLLER OFC	10/19/2015
MACDONALD, HAYLEY V.	U POST DOC FEL 1	KINESIOLOGY	9/28/2015
MARINACCIO, ALYSSA L.	UC PROFESSIONAL 05 - TECHNICAL ASST II	CTR STUDENTS DISABILITIES	11/13/2015
MURDUKHAYEVA, ANGELICA	RESEARCH ASSISTANT 2	COOPERATIVE EXT SYS	10/30/2015
MURPHY, BENJAMIN M.	UC PROFESSIONAL 05 - DATA BASE PROG	REGISTRAR OFC	11/13/2015
PERRAS, KYLENE A.	UC PROFESSIONAL 08 - PROGRAM DIRECTOR	ENGINEERING DEAN OFC	10/30/2015
PETRELLO, JILL	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PROCUREMENT SERVICES	10/23/2015
RAREY, JONATHAN P.	UC PROFESSIONAL 05 - LAB TECHNICIAN III	ELECTRICAL & CPTR ENGR	10/2/2015
SMITH, JESSICA A.	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	11/2/2015

University of Connecticut Department of Human Resources
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NAME	TITLE	DEPARTMENT	DATE
TAYLOR, BETH	ASSOCIATE PROFESSOR	KINESIOLOGY	8/23/2015
TESKE, KELLY A.	U POST DOC FEL 1	PHARMACEUTICAL SCI	11/2/2015
VALDEZ, EMILIANO	PROFESSOR	MATHEMATICS	8/23/2015
WANG, ZHAOYUAN	U POST DOC FEL 1	PHYSIOLOGY & NEUROBIOLOGY	11/2/2015
WINOT, KRISTIN A.	UC PROFESSIONAL 07 - INFO SYS ANALYST II	VICE PRES FOR RESEARCH	10/16/2015
WIRTANEN, KRISTIN M.	UC PROFESSIONAL 09 - ASST FINANCE DIR II	PLAN,ARCH,ENG SVCS	10/16/2015
XIE, XIN	U POST DOC FEL 1	SPEECH, LANG & HEARING	10/1/2015
YU, JINGFANG	U POST DOC FEL 1	MATERIALS SCIENCE INST	10/16/2015
ZILINSKI, RACHEL	UC PROFESSIONAL 05 - ART MUSEUM REGISTRAR	BENTON MUSEUM OF ART	10/30/2015

University of Connecticut Department of Human Resources
Separations Processed from October 09, 2015 - November 30, 2015
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
CASE, WILLIAM S.	UC PROFESSIONAL 09 - PROD TECH ANAL IV	RETIREMENT	NED	10/31/2015
CHIOU, TZU-TING	U POST DOC FEL 1	RESIGNATION	PNB	10/2/2015
CINAMI, MARINA C.	UC PROFESSIONAL 03 - PROG ASSISTANT I	RESIGNATION	AERS	10/30/2015
CORRIGAN, LAURA A.	UC PROFESSIONAL 06 - GRANTS/CONTR SPEC I	RESIGNATION	CHEM	10/31/2015
DIFFENDERFER, JOSHUA M.	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	RESIGNATION	FINAID	10/15/2015
EMMONS, TERRY A.	UC PROFESSIONAL 05 - FINANCIAL ASST II	RETIREMENT	ISI	10/31/2015
HART, DARLENE M.	UC PROFESSIONAL 06 - PROGRAM SPEC I	RETIREMENT	ISI	10/31/2015
HILL, GAIL S.	UC PROFESSIONAL 07 - U LIBRARY ASST III	RETIREMENT	LIB	10/31/2015
KNUPP, TAWNYA L.	RESEARCH ASSOCIATE 2	RESIGNATION	EPSY	11/2/2015
KURZ, EDWARD A.	RESEARCH SCIENTIST	RESIGNATION	IMS	10/2/2015
LUM, GREGORY S.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION	OSA	3/18/2015
MALLOY, CHRISTINE A.	UC PROFESSIONAL 07 - PROGRAM SPEC II	RETIREMENT	VPRES	10/31/2015
MARTIN, ELAINE D.	UC PROFESSIONAL 05 - FINANCIAL ASST II	RETIREMENT	ACTOFC	10/31/2015
OLNEY, JAMES N.	UC PROFESSIONAL 04 - MECH DESIGN TECH II	RESIGNATION	ENGR	10/23/2015
OTERO, RINEICHA	UC PROFESSIONAL 06 - PROGRAM ADMIN	RESIGNATION	EXTENS	11/3/2015
PELZAR, KENNETH G.	UC PROFESSIONAL 07 - FACILITIES PROF II	RETIREMENT	ENERGY	10/31/2015
PICHETTE, VALERIE J.	UC PROFESSIONAL 09 - EXECUTIVE ASST II	DEATH (NON-EMPLOYMENT RELATED)	EDUC	11/15/2015
PUDLO, CINDY L.	UC PROFESSIONAL 06 - BUSINESS MANAGER I	RETIREMENT	FA	10/31/2015
TIMMSFERRARA, LOIS E.	UC PROFESSIONAL 11 - ASSOC DIR ISI	RETIREMENT	ISI	10/31/2015
TOMPKINS, LAURIE A.	UC PROFESSIONAL 03 - PROG ASSISTANT I	RETIREMENT	IS&S	10/31/2015
ZHANG, CHU	ASST RESEARCH PROF	RESIGNATION	MCB	9/17/2015

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 12/1/15
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Alexander, Leslie	Program Administrator (UCP VI)	Department of Extension	11/6/15	11/25/15	Medical Leave	Paid
Banfi, Valori	Univ. Librarian III (UCP IX)	Library Vice Provost's Office	10/9/15	10/9/15	Medical Leave	Paid
Bloom, Charles	Dept. Head Student Affairs	Student Affairs	10/2/15	11/25/15	Medical Leave	Paid
Cahill, Michelle	Asst. Finance Director I (UCP VIII)	Liberal Arts & Sci. Dean's Office	11/16/15	11/26/15	Medical Leave	Paid
Courchesne, Amy	Env. Health & Serv. Mgr. (UCP X)	Environmental Health & Safety	11/19/15	11/19/15	Medical Leave	Paid
Courchesne, Amy	Env. Health & Serv. Mgr. (UCP X)	Environmental Health & Safety	11/24/15	11/24/15	Medical Leave	Paid
Dennerley, Laura	Business Service Supv. (UCP V)	Ctr. for Excel. Teaching & Learn.	10/29/15	10/29/15	Medical Leave	Paid
Dennerley, Laura	Business Service Supv. (UCP V)	Ctr. for Excel. Teaching & Learn.	11/19/15	11/19/15	Medical Leave	Paid
Derosa, Danielle	Program Specialist I (UCP VI)	Global Training Institute	10/5/15	10/22/15	Medical Leave	Paid
Donati, Joanne	Admin. Services Asst. III (UCP IV)	Social Work Dean's Office	10/22/15	10/22/15	Medical Leave	Paid
Donati, Joanne	Admin. Services Asst. III (UCP IV)	Social Work Dean's Office	10/28/15	10/28/15	Medical Leave	Paid
Donati, Joanne	Admin. Services Asst. III (UCP IV)	Social Work Dean's Office	11/4/15	11/4/15	Medical Leave	Paid
Donati, Joanne	Admin. Services Asst. III (UCP IV)	Social Work Dean's Office	11/10/15	11/10/15	Medical Leave	Paid
Donati, Joanne	Admin. Services Asst. III (UCP IV)	Social Work Dean's Office	11/13/15	11/13/15	Medical Leave	Paid
Donati, Joanne	Admin. Services Asst. III (UCP IV)	Social Work Dean's Office	11/17/15	11/17/15	Medical Leave	Paid
Donati, Joanne	Admin. Services Asst. III (UCP IV)	Social Work Dean's Office	11/23/15	11/23/15	Medical Leave	Paid
Donati, Joanne	Admin. Services Asst. III (UCP IV)	Social Work Dean's Office	11/25/15	11/25/15	Medical Leave	Paid
Enderle, Paula	University Educ. Assistant 2	Human Resources	10/30/15	10/30/15	Medical Leave	Paid
Enderle, Paula	University Educ. Assistant 2	Human Resources	11/17/15	11/17/15	Medical Leave	Paid
Galli, Cheryl	Admin. Service Asst. IV (UCP VI)	Provost & ExVP Academic Affairs	10/9/15	10/20/15	Medical Leave	Paid
Gibson, Andrew	Dining Serv. Area Asst. Mgr. (UCP V)	Dining Services	10/4/15	10/7/15	Medical Leave	Paid
Griffin, Monique	Admin. Services Asst. III (UCP IV)	Law School Dean's Office	10/22/15	10/22/15	Medical Leave	Paid

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 12/1/15
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NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Griffin, Monique	Admin. Services Asst. III (UCP IV)	Law School Dean's Office	10/27/15	10/27/15	Medical Leave	Paid
Griffin, Monique	Admin. Services Asst. III (UCP IV)	Law School Dean's Office	10/29/15	10/29/15	Medical Leave	Paid
Hast, Dorothea	Program Specialist II (UCP VII)	Education Abroad	11/25/15	11/25/15	Medical Leave	Paid
Hessing, Bruce	Dining Serv. Asst. Mgr. (UCP V)	Dining Services	10/4/15	11/25/15	Medical Leave	Paid
Huntington, Kathryn	Administrative Manager I (UCP VI)	Digital Media	11/13/15	11/25/15	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	11/6/15	11/6/15	Medical Leave	Paid
Jordan, Ann	Asst. Finance Director I (UCP VIII)	Bursar's Office	11/19/15	11/25/15	Medical Leave	Paid
Kaeb, Caroline	Assistant Professor	Marketing	9/12/15	11/20/15	Other - Research at Stuttgart, Germany	Paid
Kaeb, Caroline	Assistant Professor	Marketing	11/21/15	1/18/16	Other - Research at University of Chicago	Paid
Kempter, Lisa	Mgr. Video Design Serv. (UCP XI)	Technology Services	11/19/15	11/20/15	Medical Leave	Paid
Kucko, Sheila	Program Administrator (UCP VI)	Asian American Center	10/28/15	10/28/15	Medical Leave	Paid
Lee, Juliet	Associate Professor	Molecular & Cell Biology	9/7/15	10/8/15	Medical Leave - Family illness	Unpaid
Levesque, Todd	Media Producer III (UCP IX)	Technology Services	11/2/15	11/2/15	Medical Leave	Paid
Lowrey-Manning, Jess	Admin. Serv. Specialist III (UCP V)	Payroll Office	10/16/15	11/17/15	Medical Leave	Paid
Mather, Lori	Grants/Contract Special. II (UCP VII)	Sponsored Programs	10/2/15	10/8/15	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator	CT. Transportation Inst.	10/7/15	10/7/15	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator	CT. Transportation Inst.	10/21/15	10/21/15	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator	CT. Transportation Inst.	11/2/15	11/2/15	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator	CT. Transportation Inst.	11/6/15	11/6/15	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator	CT. Transportation Inst.	11/12/15	11/12/15	Medical Leave	Paid
Merrall, Stephanie	Program Coordinator	CT. Transportation Inst.	11/16/15	11/17/15	Medical Leave	Paid
Morway, Thomas	Tech. Records Coord. (UCP III)	Social Work Instr. & Res.	10/5/15	10/5/15	Medical Leave	Paid

University of Connecticut Department of Human Resources
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NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Morway, Thomas	Tech. Records Coord. (UCP III)	Social Work Instr. & Res.	10/13/15	10/13/15	Medical Leave	Paid
Morway, Thomas	Tech. Records Coord. (UCP III)	Social Work Instr. & Res.	10/16/15	10/16/15	Medical Leave	Paid
Morway, Thomas	Tech. Records Coord. (UCP III)	Social Work Instr. & Res.	10/20/15	10/20/15	Medical Leave	Paid
Morway, Thomas	Tech. Records Coord. (UCP III)	Social Work Instr. & Res.	11/4/15	11/4/15	Medical Leave	Paid
O'Keefe, Susan	Dining Serv. Area Mgr. (UCP VII)	Dining Services	11/24/15	11/25/15	Medical Leave	Paid
Pichette, Valerie	Executive Assistant II (UCP IX)	Education Dean's Office	10/2/15	10/16/15	Medical Leave	Paid
Pichette, Valerie	Executive Assistant II (UCP IX)	Education Dean's Office	10/21/15	11/13/15	Medical Leave	Paid
Qian, Jun	Univ. Librarian I (UCP V)	Library Vice Provost's Office	10/28/15	10/29/15	Medical Leave	Paid
Qian, Jun	Univ. Librarian I (UCP V)	Library Vice Provost's Office	11/9/15	11/9/15	Medical Leave	Paid
Qian, Jun	Univ. Librarian I (UCP V)	Library Vice Provost's Office	11/16/15	11/17/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	10/2/15	10/5/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	10/9/15	10/9/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	10/14/15	10/14/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	10/21/15	10/21/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	10/23/15	10/26/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	10/30/15	11/2/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	11/4/15	11/4/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	11/6/15	11/9/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	11/12/15	11/13/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	11/18/15	11/18/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	11/20/15	11/20/15	Medical Leave	Paid
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	11/23/15	11/23/15	Medical Leave	Paid

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 12/1/15
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NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Rick, Freddie	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	11/25/15	11/25/15	Medical Leave	Paid
Robinson, JoAnn	Professor	Human Dev./Family Studies	8/23/15	8/22/16	Other - Preretire. Workload Reduction	60% Pay
Rodino, Lisa Darr	Registrar-Law (UCP VIII)	Law School Dean's Office	11/2/15	11/2/15	Medical Leave	Paid
Spath, Robin	Associate Professor	Social Work Instr. & Res.	8/23/15	8/22/16	Personal Leave	Unpaid
Volpe, Laura	Academic Advisor I (UCP V)	Engineering Diversity Program	10/2/15	10/28/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	10/2/15	10/6/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	10/13/15	10/13/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	10/16/15	10/20/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	10/27/15	10/27/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	10/30/15	10/30/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	11/3/15	11/3/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	11/6/15	11/6/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	11/10/15	11/10/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	11/13/15	11/13/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	11/17/15	11/17/15	Medical Leave	Paid
Walsh, Cynthia	SHS APRN (UCP X)	Student Health Services	11/20/15	11/23/15	Medical Leave	Paid
Wilk, Kimberly	Univ. Library Asst. III (UCP VII)	Library Vice Provost's Office	10/16/15	11/18/15	Medical Leave	Paid

COMMITTEE AGENDAS

AGENDA

Meeting of the
BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE
December 2, 2015, 10:30 a.m.
University of Connecticut
Lewis B. Rome Ballroom – Storrs, CT

ACTION ITEMS:

1) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of September 18, 2015, as circulated — 1

2) Waiver for LEED requirement for the UCHC New Construction and Renovation – Clinic "C" Building Renovation — 2

PRESENTATION/DISCUSSION ITEMS:

3) University Master Plan – *The 1st 10 Years* — 3
   - Presenter: Laura Cruickshank, University Master Planner & Chief Architect

4) December 2015 Quarterly Report on Construction Performance Reported by the Office of Construction Assurance — 4

5) Updates on Operational and Organizational Activities, and Improvements
   - Planning, Architecture & Engineering Services (PAES) — 5
   - Capital Project and Contract Administration (CPCA) — 6

6) Status of Code Correction Projects
   - Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report — 7
   - Quarterly Construction Status Report, Period Ending September 30, 2015 http://paes.uconn.edu/UCONN_Quarterly_Construction_Status_Report_09302015.pdf

7) Project Updates:
   - Storrs Based Programs — 8
   - UConn Health
     - BioScience Connecticut Monthly Capital Projects Report — 9

INFORMATION ITEM:

8) 2016 Committee Meeting Schedule – Revised — 10

EXECUTIVE SESSION ***(As Needed)***

The next meeting of the BGE Committee is February 18, 2016 @ School of Law, Hartford

University of Connecticut Board of Trustees
Institutional Advancement Committee

Lakeside Conference Room

Tuesday, December 1, 2015
1:30 p.m.

1. Welcome David Rifkin ....Ms. Gándara
   Attachment 1

2. Acceptance of the Minutes from September 8, 2015 …….……..Ms. Gándara
   Attachment 2

3. Naming Recommendations
   Attachment 3

   <u>Academic</u>:

   - Establishment of the Nichols E. Madonna Professorships
     In Cyber-Physical Systems in the School of Engineering.....…..Dean Kazerounian

   <u>Facilities</u>:

   - Named Gift Opportunities for the Baseball Stadium .....……..Mr. Newton

   - Named Gift Opportunities for the Rizza Family Soccer Complex.……...Mr. Newton

   - Re-naming of John Dempsey Hospital.……Mr. Kendig

   - Naming of John Dempsey Hospital facilities ……Mr. Kendig

4. UConn Foundation Report.……...Mr. Newton

5. Master Agreement with University of Connecticut Law School Foundation.……Mr. Nevins
   Attachment 4

6. Alumni Relations Report.....….Ms. Cotton Kelly

7. University Communications Report .....……Mr. Kendig

8. Legislative Update.....…..Ms. Lombardo

University of Connecticut & UConn Health

Joint Audit & Compliance Committee Meeting

December 1, 2015

10:00 am – 10:45 am - Executive Session
10:45 am – 12:00 pm - Public Session

AGENDA

Topic	Proposed Action	Tab
Executive Session to discuss: • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public's interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] • C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)] • C.G.S 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]	Approval	None
Opportunity for Public Comments		None
Minutes of the September 17, 2015, JACC Meeting	Approval	1
Storrs & UConn Health Significant Compliance Activities • Emergency Preparedness Plan – Storrs and Regional Campuses • ICD-10 – Storrs and UConn Health	Update	2
Storrs & UConn Health Significant Audit Activities • Status of Audit Assignments • Audit Follow-up Activity	Update	3
Charter Review • Joint Audit and Compliance Committee • Office of Audit, Compliance and Ethics • UConn Health Executive Risk Management Compliance Committee	Approval	4
External Engagements • Annual Agreed-Upon Procedures performed on the Statements of Revenues & Expenses of the UConn's Athletics Program – BKD	Presentation Sent under separate cover	5
2016 JACC Schedule	Update	6
Informational/Educational Items • Compliance Newsletters – Storrs & UConn Health • Current Issues in Compliance Newsletters – Storrs • Current Issues in Compliance Newsletters – UConn Health • Six Lessons Learned in Managing the Risk of Minors on Campus – 2014 URIMA Journal	Information Only	7
Conclusion of Full Meeting		
Information Session with OACE and External Auditors		

The next meeting of the JACC will be held on Wednesday, February 3, 2016 at 10:00 am
Rome Commons Ballroom, Storrs

THE UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES

MEETING OF THE STUDENT LIFE COMMITTEE
December 16, 2015

Lower Level Portico, Lewis B. Rome Commons, Storrs Campus
12:00 noon (anticipated), following the meeting of the Board of Trustees

AGENDA

1.	Call to order	Vice-Chair Cantor
2.	Review and Approval of minutes of 9/30/15 meeting	Vice-Chair Cantor
3.	Chair Report	Vice-Chair Cantor
4.	Student Trustees Report	Trustee Jelliffe Trustee Rifkin
5.	Center for Students with Disabilities	Donna Korbel Kim Mckeown
6.	Diversity Task Force Report	Dana Wilder
7.	Student Trustee Election Campaign	Christine Wilson
8.	Vice President for Student Affairs Report	Michael Gilbert
9.	Other Business	All
10.	Adjournment	Vice-Chair Cantor

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, December 16, 2015
Rome Commons Ballroom
Storrs, Connecticut
9:00 a.m.

	COMMITTEE	ATTACHMENT
1) Minutes of the Academic Affairs Committee Meeting of October 28, 2015, as circulated	A	

ACTION ITEMS:

2) Sabbatical Leave Recommendations	2
3) Establishment of the Nicholas E. Madonna Professorship in Cyber-Physical Systems in the School of Engineering	3
4) Professional Science Master's Degree in Energy and Environmental Management in the College of Agriculture, Health and Natural Resources, the College of Liberal Arts and Sciences, and the School of Law	4
5) Master of Fine Arts in Arts Administration in the School of Fine Arts	5
6) LL.M. (Master of Law) in Intellectual Property Law and Information Governance	6
7) Graduate Certificate in Corporate and Regulatory Compliance	7
8) Graduate Certificate in Global Risk Management	8
9) Graduate Certificate in Neurobiology of Language	9
10) Graduate Certificate in Pain Management	10
11) Graduate Certificate in Power Engineering	11
12) Modify the M.A. Degree in Psychology to the M.S. Degree in Psychological Sciences in the College of Liberal Arts and Sciences	12
13) Consolidate the Undergraduate Majors within the Department of Plant Science and Landscape Architecture in the College of Agriculture, Health and Natural Resources	13

INFORMATIONAL ITEMS:

14) Renaming the Undergraduate Major and M.S. Degree in the Department of Agricultural and Resource Economics	17

15) Renaming the "Gifted and Talented Education" Degree Programs to "Giftedness, Creativity, and Talent Development" in the Department of Educational Psychology 18

16) Centers and Institutes 19

PRESENTATION ITEM

17) Dr. Gerry Altman, Professor, Psychological Sciences

EXECUTIVE SESSION ***(As Needed)***

ATTACHMENT A

DRAFT MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
October 28, 2015

Committee Trustees: Carbray, Dennis-LaVigne, Jelliffe, Lobo, McHugh

Additional Trustees: Cantor, Cloud, Gandara, Kruger, Rifkin, Ritter

University Senate: Hamilton, Howell, Jockusch, Kendall, Nunnally, Rola, Simsek

Staff: Aindow, Agwunobi, Anagnostou, Calandro, Callahan, Carone, Chen, Choi, Cruickshank, Cusson, D'Alleva, Donahue, Eaton, Fazio, Filipovic, Fisher, Fuerst, Galloway, Geoghegan, Gilbert, Herbst, Holsinger, Jednak, Jordan, Kazerounian, Kendig, Kirk, Larson, Liang, Locke, Locust, Lombardo, Lucas, Mundrane, Newton, Orr, Reis, Reitz, Rubin, Seemann, Slowik, Spencer, Strassfeld, Trutter, Volin, Weiner, Wetstone, Wilder, Wrynn

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus.

On a motion by Trustee Carbray, seconded by Trustee Lobo, the minutes of the September 30, 2015, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Naming of Eversource Energy Center.*** Moved by Trustee Jelliffe, seconded by Trustee Lobo, the Committee recommended the naming of the Eversource Energy Center to the full Board.

Provost Choi introduced ***Action Item #3, Naming of the UConn-FEI Center for Advance Microscopy and Materials Analysis.*** Moved by Trustee Lobo, seconded by Trustee Jelliffe, the Committee recommended the naming of the UConn-FEI Center for Advance Microscopy and Materials Analysis to the full Board.

Provost Choi introduced ***Informational Item #4, Centers and Institutes.***

Provost Choi introduced Dr. Xinnian Chen, Associate Professor In Residence, Physiology and Neurobiology, who gave a presentation on the implementation of 3D virtual dissection into the human anatomy and physiology curriculum. Dr. Chen introduced two undergraduate students, Agata Harabasz and Michael Schneider, who demonstrated the capabilities of the dissection table to the Committee. Dr. Chen also introduced Dr. Radmila Filipovic, Assistant Professor In Residence, Physiology and Neurobiology, who discussed the planned usages for the dissection tables, including collaborations with other University departments.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:30 a.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
December 16, 2015 at 9:15 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

	ATTACHMENT COMMITTEE	LOCATION FULL BOARD
1) Approval of the Minutes of the Financial Affairs Committee Meeting of November 18, 2015, as circulated	A	

ACTION ITEMS:

2) Contracts and Agreements for Approval		1
3) Declaration of Official Intent For Certain UCONN 2000 Projects to be Reimbursed with UCONN 2000 Debt Proceeds at a Future Date		20
4) Implementation of UConn Health's Electronic Medical Record System		21
5) Fall 2016 – Spring 2020 Tuition Plan for University of Connecticut, Storrs and Regional Campuses		22

PROJECT BUDGETS FOR APPROVAL:

	STORRS BASED PROGRAMS	***Phase***	***Budget***	***Tab***
6)	Energy Services Performance Contract – Phase I	Design	$28,102,000	23
7)	North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III	Final	$20,000,000	24
	UCONN HEALTH			
8)	UCH Electronic Medical Records (EMR) Project Team Space Fit-Out – New Hospital Tower 7th Floor	Planning	$1,500,000	25
9)	UCH Munson Road Roof Replacement	Planning	$5,050,000	26
10)	UCH 195 Farmington Avenue: Parking Lot Pavement Removal and Replacement	Planning	$763,000	27
11)	UCH New Boiler and Deaerator Tank Repairs	Design	$2,360,000	28
12)	UCH 3T MRI Renovations	Final	$3,825,000	29

INFORMATION ITEMS:

13) Contracts and Agreements for Information	B
14) Construction Project Status Report	(Under Separate Cover)
15) Master Schedule for UCONN 2000 Phases I, II & III (as of 09/30/15)	(Under Separate Cover)

EXECUTIVE SESSION ***(As Needed)***

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
November 18, 2015

TRUSTEES PRESENT: Charles Bunnell, Shari Cantor, Richard Carbray, Andrea Dennis-LaVigne, Marilda Gandara, Jeremy Jelliffe, Thomas Kruger, Rebecca Lobo *(via telephone)*, Larry McHugh, Denis Nayden *(via telephone)*, Steven Reviczky, David Rifkin and Thomas Ritter

STAFF PRESENT: Andrew Agwanobi, Mun Choi, Laura Cruickshank, Amy Donahue, David Galloway, Michael Gilbert, Susan Herbst, Scott Jordan, Tysen Kendig, Michael Kirk, Wayne Locust, Warde Manuel, Michael Mundrane, Richard Orr, Sally Reis, Rachel Rubin, Jeffrey Seeman, and Katrina Spencer

UNIVERSITY SENATE MEMBERS PRESENT:
Douglas Hamilton, Amy Howell, Debra Kendall, Elizabeth Jockusch, Shayla Nunnally, and Angela Rola

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:30 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. On a motion by Trustee Cantor and seconded by Trustee Carbray the minutes of the meeting of October 28, 2015 were approved as circulated.

Laura Cruickshank, University Master Planner and Chief Architect, introduced agenda ***Item #2, Project Budget for Hartford Relocation Acquisition/Renovation (Final: $140,000,000)***. Ms. Cruickshank provided a PowerPoint presentation entitled, "UConn Hartford Campus Update". The Project Budget increased by $25 million reflecting additional costs to structurally stabilize the original Hartford Times building including repair and replacement of the building exterior envelope and the iconic entrance; the extent of the work was not known until work had begun. The Final Budget is based on a draft GMP prepared by Whiting Turner Construction submitted by the developer to the University on November 16, 2015. The construction of the project will be done with a Project Labor Agreement (PLA) which was negotiated and included in the bids.

The Project Budget includes the construction and renovation of the main Hartford Times building; the purchase and renovation of 38 Prospect Street; the renovation of 15,000 square feet of the Hartford Public Library and the renovation of 2,400 square feet of the Wadsworth Athenaeum for classroom space. Formal construction and space use agreements for the Hartford Public Library as well as the lease with the Wadsworth Athenaeum will be brought before the Board for review and approval at a future meeting. Ms. Cruickshank confirmed that the $140 million budget included all costs for the project and confirmed her confidence that the budget will not increase again. On a motion by Trustee Cantor and seconded by Trustee Carbray the Project Budget was recommended to the full Board for approval.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, introduced agenda ***Item #3, Sale of the West Hartford Campus to the Town of West Hartford.*** The State of Connecticut process for the disposal of property begins with an offer to sell the property to the Town in which the property is located. This is a part of the process of vacating the West Hartford campus and moving of its occupants to the new downtown Hartford campus. Richard Orr, Vice President and General Counsel, clarified that the Board is not being asked to authorize the sale of the campus but to authorize the university to meet the statutory requirement to notify the Town of West Hartford of the University's intent to sell. If the Town indicates that they would like the property; the resolution authorizes the administration to negotiate a sales agreement. If the Town is not interested in the property the resolution authorizes the administration to negotiate an agreement with another buyer. Whether an agreement is negotiated with the Town or another entity the administration will come back to the Board for approval before a sale becomes effective. Trustee Cantor recused herself from the vote. On a motion by Trustee Kruger and seconded by Trustee Carbray the item was recommended to the full Board for approval.

There being no additional agenda items the meeting was adjourned at 9:51 a.m. on a motion by Trustee Cantor and seconded by Trustee Carbray.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B

CONTRACTS AND AGREEMENTS
FOR INFORMATION
December 16, 2015

PROCUREMENT - NEW

IDENTITY THEFT PROTECTION

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	AllClear ID Inc.	S1711096	$858,000	10/29/15-10/28/16	Operating Funds	Mun Y. Choi, Ph.D., Provost and Executive Vice President for Academic Affairs	Identity theft protection services. Zero options to extend.

PROCUREMENT - AMENDMENTS

ARCHITECTURAL SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Sasaki Associates, Inc.	901804	$3,688,640 *[Contract Value Previously $911,700; Total New Contract Value $4,600,340]*	09/10/14-12/31/16	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	$4,230,280	$2,081,708	$0	Design of a new Honors Residence Hall on the Storrs campus. Second and Third Amendments. Amend to complete Construction Documents. ***Amend to increase contract value by $3,688,640, for a total new contract value of $4,600,340. Amend to reduce contract term by approximately two years, through 12/31/16. (Design Budget approved by the BOT on 04/29/15 - $10,000,000)***

RECLAIMED WATER FACILITY

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 10/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Woodard & Curran, Inc.	UC-13-PR082812	$0 *[Contract Value Previously $750,000; Contract Value Remains the Same]*	12/01/12-02/03/18	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	$468,300	$141,609	$191,567	Engineering services for the Reclaim Water Facility. ***Amend to extend term by approximately two years, through 2/3/18.***