

9-30-2015

2015 September 30 -- Agenda and Attachments

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UConn

UNIVERSITY OF CONNECTICUT

Board of



TRUSTEES

VOL. 155 SEPTEMBER 30, 2015

REVISED

MEETING OF THE BOARD OF TRUSTEES UNIVERSITY OF CONNECTICUT

AGENDA

University of Connecticut
Lewis B. Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

September 30, 2015

BOARD OF TRUSTEES SCHEDULE

9:00 a.m.	Academic Affairs Committee Meeting
9:15 a.m.	Financial Affairs Committee Meeting
9:45 a.m.	Board of Trustees Meeting
12:00 p.m.	Student Life Committee Meeting (Lower Level Portico)

BOARD MEETING AGENDA

Call to order at **9:45 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Minutes of the meeting of August 5, 2015
 - (c) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based Programs (Attachment 1)
 - (2) Fiscal Year 2016 – 2017 Implementation of Oracle Unifier Capital Project Management Software System at Storrs and the Regional Campuses (Attachment 2)
 - (3) Annual Report of the Endowed Chair Program Entitled "Aetna English Chair in Writing" (Attachment 3)
 - (4) Designation of Emeritus Status (Attachment 4)
 - (5) Sabbatical Leave Recommendations (Attachment 5)
 - (6) Appointment of Professor Peter Siegelman to the Phillip I. Blumberg Professor of Law Endowed Chair in the School of Law (Attachment 6)
 - (7) Appointment of Professor Alexandra Lahav to the Ellen Ash Peters Professor of Law Endowed Chair in the School of Law (Attachment 7)
 - (8) Intellectual Property and Commercialization Policy (Attachment 8)
 - (9) Reports of Endowed Chairs for the Period July 1, 2014 to June 30, 2015 (UConn Health) (Attachment 9)

3. President's Report
4. Academic Affairs Committee Report
 - (a) Report on Committee activities
5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and Equipment Expenditures (Attachment 10)

Project Budgets (Storrs-based):

 - (2) Project Budget (Revised Planning) for Gant Building Renovations – STEM (Attachment 11)
 - (3) Project Budget (Revised Planning) for Student Recreation Center (formerly named Intramural, Recreational and Intercollegiate Facilities – Recreation Center) (Attachment 12)
 - (4) Project Budget (Final) for UCONN 2000 Code Remediation – Holcomb/Whitney/Sprague Dormitories (Attachment 13)
 - (5) Project Budget (Final) for UCONN 2000 Code Remediation – South Campus Laundry Alterations (Attachment 14)
 - (6) Project Budget (Final) for UCONN 2000 Code Remediation – White Building/Dairy Bar Improvements (Attachment 15)

Project Budgets (UConn Health):

 - (7) Project Budget (Design) for the UConn Health; 3T MRI Renovations (Attachment 16)
 - (8) Project Budget (Final) for the UConn Health Main Building Renovation; Lab ("L") Building Renovations – Project 2 (Attachment 17)
 - (9) Project Budget (Final) for the UConn Health New Construction and Renovation – Clinic Building (C) Renovations (Attachment 18)
6. UConn Health Report
 - (a) Report on UConn Health activities
7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
10. Student Life Committee Report
 - (a) Report of Committee activities
11. Institutional Advancement Committee Report
 - (a) Report on Committee activities

12. Committee on Compensation Report
 - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated
15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

CONTRACTS AND AGREEMENTS
FOR APPROVAL
September 30, 2015

PROCUREMENT - NEW

HEATING OIL

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	East River Energy, Inc.	15PSX0035	\$12,500,000	07/01/15-06/30/20	Operating Funds-General	Michael Jednak, AVP Facilities Operations & Building Services	Heating fuel to operate the Central Utility Plant/CoGeneration facility when gas service is interrupted. Zero options to extend.

JANITORIAL EQUIPMENT AND SUPPLIES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	MD Stetson Company, Inc. of Connecticut	MHEC #MC15-G17	\$1,500,000	07/01/15-10/31/17	Operating Funds-General	Michael Jednak, AVP Facilities Operations & Building Services	Janitorial supplies and equipment for the University's Storrs and Depot campuses. Zero options to extend.

PROFESSIONAL SERVICES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Gilbane Building Company	09221AA2	\$39,000,000	07/01/15-06/30/25	Multiple Funds	Laura Cruickshank, University Master Planner and Chief Architect	Provide Program Management Oversight (PMO) and associated project support services for the Next Generation CT capital program and other capital projects under the direction of the University. PMO will also provide consulting and support services for the implementation for the Integrated Project Management Software Solution (IPMSS), utilizing software licensing purchased separately. This relationship is the result of a publically advertised RFQ/RFP that contained an extensive interview process and may be effectuated by more than one contract.

SOFTWARE

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Immix Technology, Inc. (subsidiary of immixGroup)	GS-35F-0265X	\$1,400,000	10/01/15-09/30/25	Multiple Funds	Laura Cruickshank, University Master Planner and Chief Architect	Oracle Unifier Integrated Project Management Software Solution (IPMSS) software license subscriptions in support of the Program Management Oversight (PMO) engagement and the Next Generation CT capital program.

PROCUREMENT - AMENDMENTS

ELECTRICAL SUPPLIERS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Northeast Electric Distributors	UC-10-PL021710-1	\$383,000 [Contract Value Previously \$1,291,204; Total New Contract Value \$1,674,204]	07/01/10-06/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,173,612	\$396,058	\$250,560	Electrical equipment and supplies for all University campuses, including UCH. Amend to increase contract value by \$383,000, for a total new contract value of \$1,674,204. Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
September 30, 2015

EXECUTIVE SEARCH SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Heidrick & Struggles	UC-11-KJ060410	\$0 [Contract Value Previously \$2,606,100; Contract Value Remains the Same]	10/01/10-01/31/16	Multiple Sources	Aliza C. Wilder, Director of Human Resources	\$579,914	\$206,361	\$301,490	Executive search services for all University campuses, including UCH, for executive and senior officers and for professional staff. Amend to extend term by four months, to 01/31/16. Zero extensions remain.
2	Korn/Ferry International	UC-11-KJ060410	\$0 [Contract Value Previously \$2,606,100; Contract Value Remains the Same]	10/01/10-01/31/16	Multiple Sources	Aliza C. Wilder, Director of Human Resources	\$473,675	\$118,605	\$355,070	Executive search services for all University campuses, including UCH, for executive and senior officers and for professional staff. Amend to extend term by four months, to 01/31/16. Zero extensions remain.

IT SOFTWARE & SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	IBM Corporation	SS070103	\$0 [Contract Value Previously \$7,509,661; Contract Value Remains the Same]	07/01/03-06/30/17	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$6,437,084	\$250,058	\$297,396	Maintenance of operating systems and software used by IBM processors and servers on all University campuses other than UCH. Amend to extend term by two years, to 6/30/17. Zero extensions remain.
2	TouchNet Information Systems	WH110804	\$750,000 [Contract Value Previously \$959,150; Total New Contract Value \$1,709,150]	06/01/05-06/30/21	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$959,150	\$116,553	\$124,501	Credit card processing services and payments integration with PeopleSoft Student Administration system. Amend to increase contract value by \$750,000 for a total new contract value of \$1,709,150. Amend to extend term for six years through 6/30/21. Zero extensions remain.

INTERNET SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	CenturyLink Communications, LLC (f/k/a Qwest Communications Company, LLC d/b/a CenturyLink QCC)	UC-09-PG062008	\$0 [Contract Value Previously \$1,684,000; Contract Value Remains the Same]	07/01/09-12/31/15	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$567,341	\$163,079	\$88,177	Dedicated internet access to all University campuses, including UCH, and downstream users of the University's network, including the Connecticut Education Network. Amend to extend term by three months, through 12/31/15. Zero extensions remain.

LONG TERM DISABILITY INSURANCE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Prudential Insurance Company of America	UC-09-SA110308/SA112108	\$215,535 [Contract Value Previously \$4,544,969; Total New Contract Value \$4,760,504]	07/01/09-12/31/15	Multiple Sources	Aliza Wilder, Director of Human Resources	\$4,380,504	\$926,369	\$823,061	Long Term Disability Insurance for all University employees enrolled in the ARP retirement program, other than UCH employees. Amend to increase contract value by \$215,535 for a total new contract value of \$4,760,504. Amend to extend term by three months, through 12/31/15. Zero extensions remain.

**CONTRACTS AND AGREEMENTS
FOR APPROVAL
September 30, 2015**

NATURAL GAS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Connecticut Natural Gas Corporation	6133-CNG	\$8,746,091 [Contract Value Previously \$42,075,768; Total New Contract Value \$50,821,859]	09/01/12-08/31/18	Operating Funds-General	Michael Jednak, AVP Facilities Operations & Building Services	\$34,961,760	\$12,640,675	\$11,759,495	Natural gas for heating, cooling, and power generation for the Central Utilities and Cogeneration plants on the Storrs campus and for UCH. Amend to increase contract value by \$8,746,091 for a total new contract value of \$50,821,859. Amend to extend term by three years, through 08/31/18. Two extensions of one year each remain.
NETWORK HARDWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Aruba; Atlantic Computing; Atrion Networking Corporation; Bat Blue Corporation; Carousel Industries; CDW; Dyntek; e-Plus Technologies; HB Communications; Impulse Point; Innovative Information Systems; Lighthouse Computer Services, Inc.; MTM Technologies, Inc.; OMNI Data, LLC; REVCO Inc.; The Ergonomic Group; Versatile Communications; VION; Wright Line LLC	UC-11-B001651-G(1)	\$0 [Contract Value Previously \$6,950,000; Contract Value Remains the Same]	07/06/10-06/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$6,175,132	\$986,879	\$1,319,962	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to extend term for six months, through 6/30/16. Zero extensions remain.
2	IGX Acquisitions LLC (d/b/a IGX Global)	UC-11-B001651-G(2)	\$0 [Contract Value Previously \$2,149,646; Contract Value Remains the Same]	07/06/10-06/30/16	Multiple Sources	Michael Mundrane, Ph.D., Vice Provost and Chief Information Officer	\$1,027,946	\$0	\$61,868	Operating system, network and security hardware and services for all University campuses, including UCH. Amend to extend term for six months, through 6/30/16. Zero extensions remain.
3	Integration Partners Corp.	UC-11-B001651-G(5)	\$1,500,000 [Contract Value Previously \$28,724; Total New Contract Value \$1,528,724]	07/06/10-06/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$28,724	\$0	\$23,418	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to increase contract value by \$1,500,000 for a total new contract value of \$1,528,724. Amend to extend term for six months, through 6/30/16. Zero extensions remain.
4	Mainline Information Systems	UC-11-B001651-G(3)	\$460,827 [Contract Value Previously \$3,279,461; Total New Contract Value \$3,740,288]	07/06/10-06/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$2,915,288	\$1,314,071	\$148,085	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to increase contract value by \$460,827 for a total new contract value of \$3,740,288. Amend to extend term for six months, through 6/30/16. Zero extensions remaining.
5	NWN Corporation	UC-11-B001651-G(4)	\$0 [Contract Value Previously \$7,412,348; Contract Value Remains the Same]	07/06/10-06/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$2,042,244	\$1,146,886	\$518,000	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to extend term for six months, through 6/30/16. Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
September 30, 2015

NETWORK SERVICES FOR TELECOMMUNICATIONS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	The Southern New England Telephone Co (d/b/a Frontier Communications, f/k/a AT&T/Custom Business Group, Inc.)	MA B-03-006	\$0 [Contract Value Previously \$6,366,118; Contract Value Remains the Same]	10/01/03-09/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$3,510,298	\$649,943	\$584,983	Network services for landline communication services and teleconferencing services for all University campuses other than UCH. Amend to extend term by one year, to 09/30/16. Zero extensions remain.
ON-CALL CONSULTANT SERVICES - CIVIL AND STRUCTURAL ENGINEERING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	AECOM (d/b/a URS Corporation AES)	012.1-12-500-22916	\$2,000,000 [Contract Value Previously \$500,000; Total New Contract Value \$2,500,000]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$225,667	\$157,854	\$0	On-call civil and structural engineering services for all University campuses, including UCH. Amend to increase contract value by \$2,000,000 for a total new contract value of \$2,500,000. Zero extensions remain.
ON-CALL TRADE SERVICES - GENERAL CONTRACTORS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Richards Corporation	OC.GC2014-950-16	\$1,050,000 [Contract Value Previously \$950,000; Total New Contract Value \$2,000,000]	05/01/14-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$517,298	\$517,298	\$0	On-call general contractor services for all University campuses, including UCH. Amend to increase contract value by \$1,050,000 for a total new contract value of \$2,000,000. Zero extensions remain.
2	Sarazin General Contractors, Inc.	OC.GC2014-950-17	\$1,050,000 [Contract Value Previously \$950,000; Total New Contract Value \$2,000,000]	05/01/14-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$551,506	\$548,848	\$0	On-call general contractor services for all University campuses, including UCH. Amend to increase contract value by \$1,050,000 for a total new contract value of \$2,000,000. Zero extensions remain.
3	Zlotnick Construction, Inc.	OC.GC2014-950-20	\$1,050,000 [Contract Value Previously \$950,000; Total New Contract Value \$2,000,000]	05/01/14-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$306,370	\$214,556	\$0	On-call general contractor services for all University campuses, including UCH. Amend to increase contract value by \$1,050,000 for a total new contract value of \$2,000,000. Zero extensions remain.
PLUMBING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	F.W. Webb Company	UC-10-PL021810	\$0 [Contract Value Previously \$1,300,000; Contract Value Remains the Same]	07/01/10-6/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$898,684	\$213,111	\$140,066	Plumbing equipment and supplies for the maintenance, repair and operation of facilities on all University campuses, including UCH. Amend to extend term by one year, to 6/30/16. Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
September 30, 2015

PRINTER HARDWARE AND MAINTENANCE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	A&A Office Systems	12PSX0026	\$830,000 [Contract Value Previously \$919,336; Total New Contract Value \$1,749,336]	08/01/12-06/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$919,336	\$329,578	\$428,281	Printers and multi-function devices for all University campuses, other than UCH. Amend to increase contract value by \$830,000, for a total new contract value of \$1,749,336. Zero extensions remain.

PURCHASE OF VEHICLES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Gates Commercial & Fleet (comprising Columbia Ford Inc.; Gates GMC Truck, Inc.; Putnam Chrysler Dodge Jeep, Inc.; and Premier Chevrolet, Inc.).	UC-13-LP042712	\$0 [Contract Value Previously \$2,342,983; Contract Value Remains the Same]	10/01/12-09/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,265,985	\$508,822	\$489,866	Purchase of new and/or used vehicles for all University campuses, including UCH. Amend to extend term by one year, to 9/30/16. One extension of one year remains.

SNOW REMOVAL										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Tabacco & Son Builders, Inc.	DS071014-1	\$375,085 [Contract Value Previously \$950,000; Total New Contract Value \$1,325,085]	10/28/14-11/01/16	Operating Fund, General	Michael Jednak, AVP Facilities Operations & Building Services	\$588,930	\$588,930	\$0	Snow and ice control services on the Storrs and Depot campuses. Amend to change specifications and to increase contract value by \$375,085 for a total new contract value of \$1,325,085. Amend to extend term by one year, to 11/01/16. Three extensions of one year each remain.

LEASES										
UNIVERSITY AS LESSOR										
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose				
1	Eight Mile River Wild and Scenic Coordinating Committee c/o Lyme Land Conservation Trust	\$1,891	01/01/16-12/31/16	Operating Fund - General	Gregory J. Weidemann, Dean and Director, College of Agriculture and Natural Resources	Amendment of a lease of office space of approximately 150 square feet of space in the enclosed lower level area of the Middlesex Agricultural Building located at 1066 Saybrook Road, Haddam, Connecticut. Lease will be renewed for one (1) year and rent will be paid in monthly installments of \$157.59.				

UNIVERSITY AS LESSEE										
No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose				
1	Ciardella, Susan	\$37,200	10/01/15-09/30/16	Operating Funds - General	Marianne Dess-Santoro, UCH VP Ambulatory Care	Lease of 1,860 sf for Practice of Dr. Ciardella, located at 360 North Main Street, Suite 14, Southington				
2	Lodewick, Philip H.	\$1	02/12/12-01/31/18	Operating Funds - General	Michael Gilbert, Vice President for Student Affairs	Amendment to a lease of a house located at 88 Gurleyville Road, Mansfield, CT to serve as a temporary residence for the University's official guests, and as an event space for University activities that further the broad educational mission of the institution, including but not limited to fundraising, employee recognition, athletic events and general hospitality. The amendment extends the current Lease Agreement for two years, ending on January 31, 2018.				
3	Seven North Washington Partnership	\$96,960	03/01/16-02/28/19	Operating Funds - General	Marianne Dess-Santoro, UCH VP Ambulatory Care	Lease of 4,848 sf for Practice of Drs. Watsky and Collins, located at 7 North Washington Street, Plainville				


ATTACHMENT 2




September 30, 2015

Revised

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2016-2017 Implementation of Oracle Unifier Capital Project
Management Software System at Storrs and the Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the implementation of Oracle Unifier Capital Project Management software system as the Integrated Project Management Software System (IPMSS) for capital projects in the total amount of \$3,000,000.

BACKGROUND:

In late Spring 2015, the University issued a request for a proposal to solicit a Program Management Oversight (PMO) firm and Capital Project Management software system, necessary to support the Next Generation Connecticut capital construction program and University projects funded by other sources. The University requested the purchase and implementation of an industry-leading, commercially-available Capital Project Management software system to replace the home-grown Project Management system that has been in-place for over ten years.

The increased volume of both large and small capital projects has stretched the existing Capital Project Management software system beyond its functional capacity for efficient use. The existing system has reached its limits in terms of database capacity and cannot provide the features or capabilities of the latest web and cloud-based software technologies. At Storrs and the Regional campuses, currently, there are over \$550,000,000 projects in construction, \$325,000,000 in design, and \$375,000,000 in planning.

After completion of an evaluation of six leading Capital Project Management software systems for compliance with the documented UCONN Planning, Architectural and Engineering Services (PAES) requirements, the committee narrowed the proposed software solutions to two industry leading candidates: Unifier by Oracle, and TRIRIGA by IBM. These two systems meet the requirements specified by PAES and are flexible enough to conform to the business processes,

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Administration and Chief Financial Officer
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rules, and integration requirements. Representatives of both software systems presented their proposals and demonstrated their Capital Project Management systems to the evaluation team, which included members of both PAES and University Information Technology Services (UITs). References for both systems were provided to the University and pricing proposals were analyzed for independent competitiveness; three rounds of pricing clarifications were requested to arrive at a best and final cost. After thorough discussion and consideration of the pros/cons and pricing of each system, UCONN PAES in collaboration with UITs selected Oracle Unifier as the best value solution for the Capital Project Management needs. Gilbane Building Company, as the selected PMO firm for the UCONN Capital program, facilitated the selection and assisted the University with the pricing negotiation process. Based upon the University capital program needs, Gilbane also concluded that the selection of the Oracle Unifier solution would best support the capital program needs managed by PAES and other units within the University.

The Oracle Unifier system will replace the existing PAES Capital Project Management system and will interface with the Kuali Financial and the UCONN Space/Maintenance Management systems. It has the ability to, and may replace, the University need for the Meridian Document Management system. The Oracle Unifier system is a vendor-hosted software system and does not place an additional on-going burden on the UCONN IT department.

The Oracle Unifier software is currently used for Capital Project Management by universities with large capital programs such as Columbia University, University of Texas, University of Washington, University of Minnesota, Michigan State University, University of Utah, Auburn University, and University of California at Berkeley.

With the approval of the Board, the Oracle Unifier software implementation project is scheduled to begin in October 2015 and conclude in 2017. The implementation project will go through two phases, (1) initial system procurement and implementation, and (2) final system implementation and integration. It is expected that the software will begin production-use during the first year and be implemented fully during the second year. Implementation services for the IPMSS solution will be contracted through the PMO, Gilbane Building Company. The cost of the PMO implementation services, the cost of 75 Oracle Unifier software subscription licenses during implementation, internal project costs and a contingency are included in the \$3,000,000 project cost, which will be funded through the Next Generation Connecticut capital program. The University will secure separately the 75 Oracle Unifier subscription licenses under GSA Schedule 70.

After implementation and deployment of the Oracle Unifier system, on-going subscription for the 75 Oracle Unifier licenses, in the amount of \$138,000 annually, will be funded through the annual operating budget. Additional licenses may be secured in the future if necessary.

On-going support by the PMO Gilbane, post-implementation of the IPMSS software system will be funded through the annual operating budget.

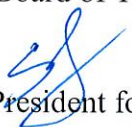
Gilbane, working closely with UCONN PAES and accompanying IT departments, will be responsible for the successful implementation of the new Integrated Project Management Software Solution (IPMSS). Governance will be provided by a Steering Committee comprising the UConn EVP&CFO, Vice Provost and CIO, University Master Planner & Chief Architect, PAES Director of Infrastructure Projects and Program Management, and a UITs Manager; and a UConn Implementation Support Team has been identified.

ATTACHMENT 3



September 30, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Annual Report of the Endowed Chair Program Entitled "Aetna Chair of Writing"

RECOMMENDATION:

That the Board of Trustees approve the 2015 report on the Aetna Chair of Writing.

BACKGROUND:

The Aetna Chair of Writing is under the direction of Professor Lynn Bloom and is funded jointly by the UConn Foundation and the Office of Higher Education Investment Fund. It was established during the 1988-89 fiscal year as authorized by C.G.S. Section 10a-20. Subsection (f) of the statute states that "the Board of Trustees shall submit annual reports to the Office of Higher Education concerning the endowed chair expenditures."

The 2015 annual report consists of a financial report and a narrative report by Professor Bloom.


Attachments

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
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STORRS, CT 06269-1122
PHONE 860.486.3455
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DATE: August 28, 2015

TO: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

FROM: Charles H. Eaton 
Controller

RE: Aetna Chair of Writing

Enclosed is a financial report for the Aetna Chair of Writing program, under the direction of Professor Lynn Bloom, for fiscal year ended June 30, 2015. The report provides the separate financial operations of the program managed by the University and the UConn Foundation, along with the combined total. The expenditures for fiscal year 2015 totaled \$47,380.97.

Professor Bloom has provided a narrative of the writing program's operation for fiscal year 2015, which is also enclosed. Section 10a-20a (f) of the Connecticut General Statutes requires an annual report approved by the University's Board of Trustees to be submitted to the Office of Higher Education (OHE) for the Endowed Chair of Writing.

Additional notes are provided on the financial report disclosing the year-end balances of the Foundation and OHE endowment funds.

Thank you for your assistance in this matter. If you should have any questions or need any additional information, please do not hesitate to contact me.

Enclosures

c: L. Bloom
R. Hasenfratz
M. Maynard
D. Brockway

Office of the Controller
343 MANSFIELD ROAD
STORRS, CT 06269-1074
PHONE 860.486.2937
FAX 860.486.0536
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University of Connecticut and
University of Connecticut Foundation
Aetna Chair of Writing
Fiscal Year Ending June 30, 2015

	University	Foundation	Combined Total
Beginning Balance as of July 1, 2014	\$46,960.83	\$78,376.45	\$125,337.28
<u>Revenue</u>			
Pooled Endowment Income Allocation	0.00	34,697.53	34,697.53
Transferred From UConn Foundation	77,169.88	(77,169.88)	0.00
TOTAL REVENUES (EXPENDITURES)	77,169.88	(42,472.35)	34,697.53
<u>Expenditures</u>			
Salary & Wages	800.00	0.00	800.00
Printing and Binding	2,888.77	0.00	2,888.77
Conferences and Meetings	4,847.57	0.00	4,847.57
Travel	2,671.23	0.00	2,671.23
Outside Professional Services	6,894.00	0.00	6,894.00
TOTAL Contractual Services	17,301.57	0.00	17,301.57
Office Supplies	878.42	0.00	878.42
Books	694.98	0.00	694.98
TOTAL Commodities	1,573.40	0.00	1,573.40
Fringe Benefits	81.00	0.00	81.00
Student Aid Fees	27,625.00	0.00	27,625.00
TOTAL Sundry Charges	27,706.00	0.00	27,706.00
TOTAL EXPENDITURES	47,380.97	0.00	47,380.97
Ending Balance as of June 30, 2015	\$76,749.74	\$35,904.10	\$112,653.84

This endowed chair is not supported by any University funds, but the University maintains accounts which receive revenue from the Foundation and the Office of Higher Education (OHE). These University accounts disburse the funds in support of the program including all funds transferred from the Foundation and the OHE. There were no transfers from the OHE in fiscal year 2015. The first column above summarizes the University's revenue and expenditure activity for this chair. The Foundation also disburses certain expenses directly to third parties on behalf of the University as included above in the second column in fiscal year 2015, although there were no expenses in fiscal year 2015.

The Aetna Chair of Writing has funds held at the Foundation and the OHE. The Foundation endowed fund was established with a gift of \$506,989 (amount permanently restricted) in 1988 and was valued at \$873,025 at June 30, 2015, which included accumulated gains/losses on the original gift. The spendable portion of the Foundation endowed fund was \$35,904 as of June 30, 2015. The OHE endowed fund was established with \$500,000 of state funds and is invested in the Endowed Chair Investment Fund (managed by the State Treasurer). The interest earned on this fund supports the Aetna Chair of Writing. The value of this fund was \$508,952 at June 30, 2015, including principal of \$500,000 and interest of \$8,952.

Annual Report, Aetna Chair of Writing, 2014-2015

This Annual Report is the last to be submitted by Lynn Bloom, Board of Trustees Distinguished Professor and inaugural holder of the Aetna Chair of Writing. She will be retiring in June after twenty-seven years at the University of Connecticut. Much of the excitement and pleasure of this endowed chair has arisen from the opportunities made possible by Aetna Endowment funds to develop, sustain, and enrich writing programs throughout the UConn system. These programs, led by distinguished faculty and school teachers throughout the state, have attained national and international prominence over the years through professional research, scholarship, teaching, and the activities of UConn's student participants, who themselves graduate to become part of this extensive network. This Aetna Chair Report should thus be read with pleasure and pride in the numerous accomplishments of programs involving and affecting many people, a résumé of what can be accomplished with a year's full funding of \$45-\$50,000.

University: First Year Writing. The Aetna Chair of Writing co-sponsored the tenth annual Conference on the Teaching of Writing, "Writing as Translation" on March 27, 2015. This conference has grown steadily in scope (now nationwide) and attendance, with around 120 conferees in 2015, a 20% increase over the preceding year. It featured presentations by 63 graduate students, Writing Center associates, and faculty from 36 schools, including UConn; the U.S. Military Academy, Washington State U, Northeastern U, Boston U, Penn State U, St. John's U, the universities of Maine, New Hampshire, Massachusetts—Amherst, and Lowell, Detroit, Wisconsin-Milwaukee, CUNY Graduate Center and six other CUNY affiliates, Rhode Island College, Keene State College, Emerson College, College of the Holy Cross, Gateway Community College, Ridgefield High School, RHAM High School, and E.O. Smith High School. Keynoters Min-Zhan Lu and Bruce Horner, University of Louisville, addressed the pedagogical and human implications of their current research, "Teaching Writing as Translation: A Translingual Approach." Program available at <http://fe.uconn.edu/instructors/conference/program.php>.

Undergraduate writing at UConn regional campuses. Since 2002 Writing Directors have been appointed at each of the regional campuses: Pamela Bedore (Avery Point), Scott Campbell (Hartford—in Storrs 2012-15), Ellen Carillo (Waterbury), Serkan Gorkemli (Stamford), and Kathy Knapp (Torrington). Aetna funding has enhanced various programs such as Academic Integrity Week and faculty development workshops (Waterbury, Stamford), and graduate and faculty writing bootcamps (Avery Point). In 2014-15 the Litchfield County Writers' Project (Torrington-based), aided by Aetna funding, in September sponsored Writers in Conversation, featuring Ted Thompson, author of *Land of Steady Habits* (2014); and in April held a workshop on how to get published, led by well-published Valerie Peterson. These events bring statewide visibility to the Bloomsbury-esque LCWP.

Creative Writing. The Aetna Endowment supports publication of the award-winning *Long River Review* (student literary magazine); and several Long River awards: Graduate Writing, Kristina Reardon ; Children's Literature, Christiana Salah ; Art/Photography, Anton Nikiforov, (Photography). The Aetna Translation Award, newly established in 2014-15, went to Nathan Herter. Other Aetna awards include the Aetna Creative Works in Progress, to doctoral student Miller Oberman, already distinguished nationally for her work; and two Aetna Creative nonfiction Awards, Graduate, Abby Fagan; Undergraduate Ellie Hudd. Aetna funds also support Poetic Journeys (poetry on campus buses, in Babbidge Library, and the Austin Building); and a host of speakers and guest teachers.

The Aetna funded short-term writer-in-residence program enables students to work with (and be inspired by) widely published, award-winning authors with international reputations, who also give public readings during their residencies. Fiction writer Jo-Ann Mapson served as Aetna Writer-in-Residence in fall 2014 and poet Camille T. Dungy in spring 2015. The Aetna Celebration of Creative Nonfiction on April 16 featured guest speaker Rigoberto González, author of sixteen books and recipient of numerous awards, ranging from the American Book Award (for *Butterfly Boy: Memoirs of a Chicano Mariposa*), the Lambda Literary Award and the Lenore Marshall Poetry Prize (for *Unpeopled Eden*), and fellowships from the Guggenheim Foundation and the National Endowment for the Arts.

University Writing Center. In 2011 the University Writing Center was awarded a "Writing Program Certificate of Excellence" by the Conference on College Composition and Communication, an honor of national distinction. Aetna Endowment funding helps the Writing Center to sustain, as the award commended, its "rich, complex, and ambitious program touching multiple aspects of students' writing lives." This year the Aetna Endowment funded books for the Writing Center library and the production of original training videos to help our tutors better serve both international students and first-year students. It also funded parts of our October 2015 Secondary School Writing Centers Conference (140 participants from 16 different schools) and the whole of our spring 2015 "Unconference," which brought together 25 writing center tutors and administrators from 5 different colleges and universities in Connecticut to collaborate on professional development.

The annual Writing in the Disciplines contest continues to be a significant factor in student professional development and recognition. From among 80 entries across the campus, the following prizes were awarded. Humanities: First Place, Samantha Ruggerio (English; Honorable Mention, Julia Berry (English). Social Sciences: First Place, Patrick Hannon (Political Science), Marissa Piccolo (Educational Psychology); Honorable Mentions: Robyn Caron (Political Science), Michael Cinque (Economics), Svitlana Shevchenko (Anthropology). Science and Engineering: First Place, Mackenzie Poskus (Chemistry); Honorable Mentions: Brenda Daly (Animal Science), Matthew Fox (Molecular and Cell Biology), Katery Hyatt (Physiology and Neurobiology), and Emma LaVigne (Animal Science).

Three Dissertation Bootcamps per year and monthly Graduate Student Writing Retreats are now permanently funded by the Graduate School, but both were created through seed grants from the Aetna Chair of Writing. Smaller allotments of Aetna funding have allowed us to experiment with the formats. This year, for example, we invited a yoga instructor to conduct sessions during the bootcamps. Aetna funding allowed both Graduate Assistant Writing Center Directors Kristina Reardon and Melissa Bugdal to attend the 2015 Conference on College Composition in Tampa. In conjunction with this conference, with Writing Center Director Tom Deans they presented a paper at the 2015 International Writing Centers Association (IWCA) Collaborative @ CCCC on an empirical study of writing center session reports that is now being prepared for publication.

Graduate Programs. The graduate courses in Autobiography (as a literary genre, and as a mode of writing), and in Rhetorical Theory and Composition Research were inaugurated in 1988-89, and have been augmented since 2002 by a variety of rhetoric and composition courses in Classical and Modern Rhetoric such as "Writing Across and Beyond the Curriculum" and the team-taught "Research Methods in Composition Studies," as well as other graduate creative writing courses in nonfiction and essay writing. Aetna funds the Graduate Teaching Award, which in 2015 went to Emily Tucker.

As discussed above, Aetna Endowment funds send students to professional conferences. In addition, Aetna funding will support Melissa Bugdal's attendance at the prestigious Dartmouth Summer Seminar on Writing Research, July 26-August 7, 2015, conducted by a nationally distinguished quantitative research faculty. Its focus on "Data-Driven Inquiry: Process, Methods, Results" (<http://writing-speech.dartmouth.edu/research/summer-seminar-2015-call-applications>) will inform and enhance Ms. Bugdal's dissertation research on how first-year student writers at UConn transfer their rhetorical knowledge to their later writing, particularly in writing-intensive courses. Such national involvement has helped numerous students who have served as Writing Program administrators to receive tenure track jobs.

Critical and First Year Essay Prizes. Now in its twenty-fifth year, the annual Aetna Writing Prize Award evening has evolved into a particularly happy and festive gathering of faculty, students, parents, administrators, and Aetna Fellows. On October 23, 2014 Lynn Bloom, Aetna Chair of Writing and Board of Trustees Distinguished Professor and a dozen of her current Creative Nonfiction Students (English 3703) gave a dramatic choral reading of "Why True Stories Matter," which interwove student and instructor reading and writing—an enactment of Dr. Bloom's philosophy, pedagogy, and storytelling.

The following prizes were awarded, this year all to students on the Storrs campus. Aetna Graduate Essay Awards: First Place, Rachel Silvia; Second Place, Maria Seger; Third Place (tie), Joseph Darda and Alex Gatten; Honorable Mention, Emma Burris-Janssen. First-Year Writing:

Aetna, First Place, John Peters; Aetna Second Place, Marisol Gallo; Aetna Third Place, Dominique Martin. Ratcliffe-Hicks funded the Honorable Mention, awarded to Sarah Coughlin. Connecticut Writing Project Teacher-Consultant Writing Awards were as follows. Poetry: First Place, Amy Nocton, RHAM High School; Honorable Mentions: Kim Kraner, Avon High School; David Polochanin, Gideon Welles Middle School; Steve Straight, Manchester Community College. Fiction: First Place, E. Charles Vousden (Manchester High); Honorable Mention, Kimberly Mach (Memorial Middle School). Creative Nonfiction: First Place, Mary Ellen Ellsworth (John Wallace Middle School); Honorable Mentions: Jane Cook (Stanton Network School, Brooklyn Elementary and Middle Schools); Elizabeth Simison (Bacon Academy), Bernhard Schreiber (Ashford School). The winning entries of the Connecticut Writing Project contest were published in *Teacher-Writer*, <http://cwp.uconn.edu/writings-by-teachers/> with a print run of 100 copies.

Publications. Aetna Endowment funds and collaboration among student writers, teachers, editors, designers, Aetna student interns and the Writing Specialist's manuscript preparation, have made possible the publication of *Essay CONNectiions*, compilations of UCONN Freshman English Prize Essays. The first four volumes are available in hardcopy: 1990-94 (103 pp.); Vol. 2 1995-98 (128 pp.); Vol. 3, 1999-2002 (132 pp.); Volume 4, 2003-06 (176 pp.). In 2010 financial constraints caused us to switch to online publication, beginning with Volume 5, 2007-2010. However, we have had to take down this link because paper mills were stealing and selling the prizewinning work. (We are investigating ways to circumvent this.) Free copies of the hardcopy volumes are distributed at UConn-sponsored writing conferences, and to all teachers of First Year Writing at all UConn campuses to provide exemplary models for successive First Year Writing classes. Copies may be obtained from Lori.Corsini-Nelson@UConn.edu.

State of Connecticut: Connecticut Writing Project. UConn's Connecticut Writing Project is the only site in the nation with an endowment, a half million dollars generously provided by the Aetna Foundation (begun in 1985), initially with matching funds from the State of Connecticut Office of Higher Education (though the interest from this account has been negligible for the past six years). For the past twenty-seven years, the Aetna Chair of Writing Endowment has provided \$24-30,000 to fund invitational fellowships for teachers participating the Connecticut Writing Project five-week Summer Institute. Over the years, Fellows have included teachers from all levels, kindergarten-college, and a wide range of schools, from high need to college. Summer Institute Aetna Fellows in 2014 were Karen Butler (Sports and Medical Sciences Academy, Hartford), Emily DeFord (Glastonbury High School), Jason Efland (Suffield High), Ethan Fortuna (Suffield High), Kim Kraner (Avon High), Ruth Macijauskas (Two Rivers Magnet Middle School), Lauren Midgette (Bulkley High), Amy Nocton (RHAM High), Danielle Pieratti (South Windsor High), Robert Pirrie (E.O. Smith High), Elizabeth Simison (Bacon Academy). The Aetna Endowment will fund seventeen fellowships in the summer of 2015. Teachers of second, fourth, and sixth grades, French, ESOL and Special Education will complement the larger contingent of secondary school English teachers, and (atypical for the Summer Institute) an

English Department head, a State Department of Education Department of Education administrator, and an administrator from a community college. A cumulative total of 506 Fellows has over the past third of a century influenced hundreds of other writing teachers and thousands of student writers.

The variety of subsequent awards and appointments for Summer Institute participants attests to their impressive qualities as educators and administrators. These include Connecticut Teacher of the Year awards; graduate fellowships for bilingual education, masters, doctoral, and post-doctoral work; positions as department head, program director, and dean; publications of their own (including a novel), journal editorship (*ALAN Review*, *Massachusetts Review*), awards for Teaching Writing (College Board) and Human and Civil Rights (Connecticut Education Association); and Scholastic Writing Awards for their students.

One consequence of a robust program is its ability to engender additional funding from other sources. Thus the Connecticut Writing Project's demonstrated success led to an award from its parent umbrella organization, the National Writing Project: a 2014-16 Supporting Effective Educator Development (SEED) Teacher Leadership Development Grant of \$20,000. This will provide funds for established Teacher-Leaders of the CWP to run programs such as *Connecticut Student Writers* magazine. Currently in its 27th year, CSW received 1100 submissions of K-12 student writing from more than 500 teachers, and will honor 250 student writers at a Recognition Night in May, and in a print run of 500 copies <http://cwp.uconn.edu/ct-student-writers-magazine-2/>. This award will also provide research mini-grants to all new teachers participating in the CWP-Storrs Invitational Summer Institutes in 2014, 2015, and 2016.

It is particularly important for the future of the Connecticut Writing Project that Director Jason Courtmanche was reappointed in 2013-14 for a third three year term; he is the 2013 recipient of the Institute for Teaching and Learning Teaching Scholar Award. Dr. Courtmanche has sustained successful collaborations with First Year Writing, Creative Writing, the Early College Experience and First Year Experience Programs; the Neag School of Education, Teachers for a New Era, area schools, and UConn's Writing Center. Collaboration with the latter in 2014-15 involved running a Secondary School Outreach Program with intensive work in one middle school, and a fall tutorial conference. Program participants represented 19 high schools and two middle schools; they included 44 teachers, three UConn faculty, and 14 UConn undergraduate tutors; 105 high school student tutors attended. Of particular significance is Dr. Courtmanche's involvement in the inaugural working group of the Modern Language K-16 Alliances.

New England, Nation, and International Activities:

Composition Studies Conferences: As indicated above, Aetna funds the annual First Year Writing Program Conference on the Teaching of Writing, and mini-conferences for writing tutors.

Inter/National Outreach: In January 2013 Dr. Bloom was awarded a five-year Fulbright Specialist appointment, allowing her to serve twice as a writing/American literature consultant in any Fulbright-funded program in the world. She has been selected as a Fulbright proposal reviewer for 2015, thereby extending her Specialist appointment through 2018. She was invited by Professor Anne McKim of English Department at the University of Waikato (Hamilton); Dr. McKim had been a Neag Professor at UConn; so this fortuitous scholarly symbiosis was made possible by another endowment. Sponsored by the University of Waikato, in July-August 2013 Dr. Bloom gave eighteen presentations in twenty-seven days at Waikato, and at the University of Auckland, Massey University-Auckland, and the International Institute of Modern Letters at Victoria University (Wellington). Often in conjunction with New Zealand Poet Laureates and other distinguished creative writers, she spoke on creative nonfiction, food writing, travel writing, medical writing. Dr. Bloom has been appointed Honorary Professor in the Wilf Malcolm Institute of Educational Research, Faculty of Education, at the University of Waikato, 2013-16; further international collaboration is in the planning stages.

Other international activities include: a BBC-TV Witness Program interview with Dr. Bloom on "The Man Who Changed Parenting," March 4, 2015 www.bbc.com/news/magazine-31622146, about her research on the living subject of *Doctor Spock: Biography of a Conservative Radical*. In June, 2015 Dr. Bloom will discuss "Negotiating Collaboration" at the conference of the International Auto/Biography Association-Americas Chapter in Ann Arbor, Michigan.

Research: Aetna funding has contributed to the research of the twenty one books and one hundred thirty articles that Dr. Bloom has published since arriving at UConn in 1988, including *The Seven Deadly Virtues and Other Lively Essays: Coming of Age as a Writer, Teacher, Risk Taker* (U of South Carolina Press 2008); and *Writers Without Borders: Writing and Teaching Writing in Troubled Times* (Parlor Press 2008), and *The Essay Connection* (10th ed 2013). Aetna funding has also supported Dr. Bloom's ongoing research. Her most recent publications are "Why the Worst Trips Make the Best Stories: The Comic Travels of Geoffrey Wolff (On the Beach) and Jonathan Franzen (On the Knife-Edge of Solitude)," in *Assay: A Journal of Nonfiction Studies* 1.2 (Spring 2015); and "Bodies of Knowledge: Ethics and Engagement in an Undergraduate Disability Studies Course." *Pedagogy* 14.2 (Spring 2014):179-98. The latter was derived from teaching English 2274 W, a writing-intensive course that through disability autobiographies, films, theory, public documents, journals, the *New York Times* and TED talks addresses issues of human rights, disability studies, ethics, literature, politics, economics, public policy, bioengineering, and health care delivery. All of these strands are interwoven in her current work on creative nonfiction in the manuscript in progress, *Lives, Liberties, and Pursuits of Happiness*, under preliminary contract with the University of Iowa Press, an ideal venue. Dr. Bloom addressed one aspect of this topic in "Savory, Tart, and Bitter: The Robust Rhetoric of Feminist Food Writers" at the College Conference on Composition and Communication in Tampa in March; and another in "Trail Mix: The Joys of Culinary Travel Writing," at the

American Society of Travel Writers section of the American Literature Association in Boston in May. She serves on advisory boards of *a/b: Auto/Biography Studies*, *JAC (Journal of Advanced Composition)*, and the *Journal of Basic Writing*.

Future directions: In addition to sustaining the activities identified in this report, the Aetna Chair has future plans to support writing activities in interdisciplinary programs including the School of Fine Arts, Irish Studies (both funded in earlier years) and new venues such as the Human Rights Institute, Asian-American Studies, Nursing, and Judaic Studies. We also anticipate collaboration with newly-hired faculty in Digital Media.

Current status: Aetna Endowment funds, supplemented by a small amount of interest from the matching state grant, have enabled us to sustain the myriad of activities identified here on the \$48,000 budget for FY 2016 (appended p 8). These have been aided by the English Department office staff under the direction of Melanie Hepburn, English Department Administrative Manager. The University of Connecticut can be justifiably proud of the activities of its undergraduate and graduate students and faculty in rhetoric and composition on state, regional, national, and international levels, made possible in part by the Aetna Chair of Writing Endowment.

I would like to express appreciation for the pleasure and privilege of being the first holder of the Aetna Chair of Writing at the University of Connecticut, which is also the first endowed chair of writing in the nation. This is a position endowed with possibilities for innovation, creativity, and major contributions to writing (academic and creative), writing research, and the teaching of writing at all levels. The visibility of this position signals the commitment of the University of Connecticut to excellent writing throughout the state, the nation, and the world—a truly incandescent honor to be cherished and sustained.

Respectfully submitted,

Lynn Z. Bloom, Aetna Chair of Writing
Board of Trustees Distinguished Professor
May 9, 2015

Budget for FY 2016

Connecticut Writing Project	\$24,000
Creative Writing	10,000
Campus Writing Center [prizes, bootcamps, celebration, speakers]	2,000
First Year Writing [professional development, conference]	1,500
Writing Internships Program	500
Interdisciplinary programs [unspent in FY 15; delete in FY16]	-
Regional campus writing funding:	
Waterbury [workshops \$450]; Avery Point [workshops \$500];	950
Aetna Prizes - Teaching Awards, Grad Critical Essay, First Year Writing	
[\$1,500 for CNF, translation and children's lit subsumed under CW]	1,500
Student travel [not to exceed \$500/student]	2,000
Aetna Chair [research and discretionary expenses]	200
Discretionary	2,550
Total Budget for FY 2016	\$45,200

ATTACHMENT 4

**University of Connecticut Department of Human Resources
Emeritus Retirees
September 30, 2015 Board of Trustees Meeting**

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>RETIRED</u>	<u>HIRED</u>
Austin, Philip E.	University Professor	Economics	Liberal Arts & Sciences	10/1/2015	10/1/1996
Barker, Keith	Professor	Computer Science & Engr.	School of Engineering	6/1/2015	9/1/1984
Bass, Richard	Board of Trustees Distinguished Prof.	Mathematics	Liberal Arts & Sciences	6/1/2015	8/23/1998
Bloom, Lynn Z.	Board of Trustees Distinguished Prof.	English	Liberal Arts & Sciences	6/1/2015	9/1/1988
Bohannon, Richard W.	Professor	Kinesiology	Ag., Health & Nat. Resources	7/1/2015	9/1/1987
Chen, Thomas T.	Professor	Molecular & Cell Biology	Liberal Arts & Sciences	8/1/2015	7/1/1995
Crow, Laura J.	Professor	Dramatic Arts	School of Fine Arts	6/1/2015	9/1/1994
Goodheart, Lawrence B.	Professor	History	Liberal Arts & Sciences	9/1/2015	9/1/1989
Goodkind, Thomas	Professor	Curriculum & Instruction	School of Education	6/1/2015	9/16/1965
Healy, Lynne M.	Board of Trustees Distinguished Prof.	Social Work	School of Social Work	6/1/2015	9/1/1979
Hoagland, Thomas A.	Professor	Animal Science	Ag., Health & Nat. Resources	6/1/2015	9/15/1980
Morris, John B.	Board of Trustees Distinguished Prof	Pharmaceutical Sciences	School of Pharmacy	6/1/2015	9/1/1981
Peterson, Richard S.	Professor	English	Liberal Arts & Sciences	6/1/2015	9/1/1980
Ryker, Karen S.	Professor	Dramatic Arts	Fine Arts	6/1/2014	9/1/2002
Whitman, Robert	Professor	Law School Instr. & Res.	School of Law	7/1/2015	9/1/1966
Madych, Wolodymyr R.	Professor	Mathematics	Liberal Arts & Sciences	9/1/2015	9/1/1984

ATTACHMENT 5

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
September 30, 2015 Board of Trustees Meeting

SABBATICAL MODIFICATIONS/POSTPONEMENTS

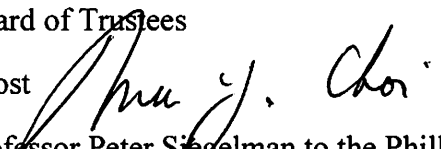
<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Heller, Nina R.	Dean		Social Work	Full	Fall 2015
				Full	TBD

SABBATICAL LEAVE REQUESTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Chinchilla, Rosa H.	Associate Professor	Literatures, Cultures and Languages	Liberal Arts and Sciences	Full	Fall 2017
Chun, Ock K.	Associate Professor	Nutritional Sciences	Agriculture, Health and Natural Resources	Full	Spring 2016
Dennis, Kelly	Associate Professor	Art and Art History	Fine Arts	Full	Fall 2016
Duffy, Valerie B.	Professor	Allied Health Sciences	Agriculture, Health and Natural Resources	Full	Fall 2016
Kneidel, Gregory	Associate Professor	English	Liberal Arts and Sciences	Full	Fall 2015
McCoach, D. Betsy	Professor	Educational Psychology	Education	Full	Fall 2016
Orwicz, Michael R.	Associate Professor	Art and Art History	Fine Arts	Full	Spring 2016
Schwab, Kristin	Associate Professor	Plant Science and Landscape Architecture	Agriculture, Health and Natural Resources	Half	AY 2016-2017
Spaulding, Tammie	Associate Professor	Speech, Language and Hearing Sciences	Liberal Arts and Sciences	Full	Spring 2016

ATTACHMENT 6

September 30, 2015

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost 
RE: Appointment of Professor Peter Siegelman to the Phillip I. Blumberg Professor of Law Endowed Chair in the School of Law

RECOMMENDATION:

That the Board of Trustees approve the appointment of Professor Peter Siegelman to the Phillip I. Blumberg Professor of Law Endowed Chair in the School of Law.

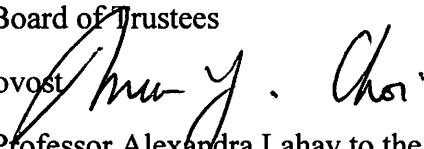
BACKGROUND:

The Phillip I. Blumberg Professor of Law Endowed Chair was established in honor of Dean Emeritus Phillip I. Blumberg with the intent of acknowledging outstanding scholarship. Professor Peter Siegelman, who joined the faculty in 2004, is a leading scholar in the field of law and economics and, more generally, in the design and execution of empirical studies on legal subjects. He holds a Ph.D. in Economics from Yale University. In addition to his Law School appointment, he has a courtesy appointment as Professor in the UConn Department of Economics. The 2011 recipient of the Robert C. Witt Award for the best feature article in the *Journal of Risk and Insurance*, Professor Siegelman is the author or co-author of more than 30 scholarly articles on such topics as adverse selection in insurance markets, contract law, and employment discrimination law. He has also frequently collaborated with other members of the Law School faculty and additional important legal scholars from across the country.

This appointment is for a five-year term.

ATTACHMENT 7

September 30, 2015

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost 
RE: Appointment of Professor Alexandra Lahav to the Ellen Ash Peters Professor of Law Endowed Chair in the School of Law

RECOMMENDATION:

That the Board of Trustees approve the appointment of Professor Alexandra Lahav to the Ellen Ash Peters Professor of Law Endowed Chair in the School of Law.

BACKGROUND:

The Ellen Ash Peters Professor of Law Endowed Chair is given to a Law School faculty member demonstrating exceptional scholarly distinction. Ellen Ash Peters was the first woman appointed to the Connecticut Supreme Court and the first woman to be appointed Chief Justice of that Court. The Law School has long been honored to have Chief Justice Peters as a member of its academic community. Professor Alexandra Lahav is a graduate of Brown University and Harvard Law School and served as a law clerk on the New Jersey Supreme Court. She joined the faculty in 2004 and has emerged as a national authority on questions of civil procedure and complex litigation. Her work has been published in numerous law journals including the Texas and UCLA Law Reviews. She is co-editor a leading casebook on civil procedure and has been a visiting professor at Yale and Columbia Law Schools.

This appointment is for a five-year term.

ATTACHMENT 8



September 30, 2015

TO: Members of the Board of Trustees

FROM: Jeffrey R. Seemann, Vice President for Research
Mun Y. Choi, Provost
Richard F. Orr, General Counsel

RE: Intellectual Property and Commercialization Policy

RECOMMENDATION:

That the Board of Trustees approve the Intellectual Property and Commercialization Policy.

BACKGROUND:

UConn's teaching, research and economic development mission is enhanced by the intellectual property generated by our faculty, staff and students. It is essential that the policies governing intellectual property serve UConn's mission and that such policies are easily accessible to, and are understood by, members of the University community and our external partners.

Beginning several years ago, the Office of the Vice President for Research ("OVPR"), the Provost's Office and the former Office of Economic Development ("OED") determined that these policies would benefit from review, update and clarification. A team consisting of representatives of all three groups, and with the close support of the Office of the General Counsel reviewed all of the applicable UConn policies with the goal of creating a single policy that is comprehensive, consistent and clear.

The attached Intellectual Property and Commercialization Policy ("Policy") is the product of that effort. For the most part, the substantive provisions of the Policy are not new. Rather they are a restatement of existing policies or, in some cases, a codification of existing practices that were not previously memorialized in written policies. In some cases the Policy clarifies matters or fills gaps in existing policies.

The Policy applies to faculty, staff and students at Storrs, and all other campuses including UConn Health.

Also on the Board agenda for action today is a proposed By Law revision that will bring the By Laws into conformance with a recent statutory change. The Policy is consistent with the statute and the revised By Law.

Key Provisions

The Policy includes the following key provisions, all of which were included in the previous policy or were established practices at UConn:

- Although inventions by faculty in connection with their employment are the property of UConn, faculty inventors are entitled to share in any revenues received by UConn upon successful commercialization of intellectual property.
- UConn seeks to work with industry partners to collaboratively create new intellectual property and to license UConn intellectual property to industry partners under commercially reasonable terms.
- UConn supports faculty-founded companies provided the faculty member's work complies with UConn's consulting and conflict of interest rules.
- The OVPR has responsibility for implementing and interpreting this Policy.

Clarifications

The Policy clarifies certain issues related to commercialization of technology and incorporates important policies and procedures for faculty inventors that start companies. The Policy addresses, among other things, rules that apply if the faculty company wishes to use University resources, conflict of interest disclosures, and employment of students to work at a faculty affiliated company.

Development and Review

As the policy was developed, earlier drafts were shared with key constituents. Comments were solicited and incorporated as appropriate.

The Policy was reviewed by the President's Cabinet, the Council of Deans, the University Senate's Faculty Standards Committee, and the Office of Audit, Compliance, and Ethics. The draft policy was also provided and comments were requested from collective bargaining units that represent the faculty and staff. In addition, various faculty inventors were asked to provide comments. The OVPR and former OED held several forums in Storrs, Farmington and Avery Point to explain the draft Policy and answer questions.

Overall, the draft Policy was strengthened and clarified through this process.

RESOLUTION

Resolved, that the Board of Trustees adopts Intellectual Property and Commercialization Policy dated September 30, 2015 and that it be effective immediately. Prior policies and practices regarding the same subject matter are superseded by this Policy.



Intellectual Property and Commercialization Policy

Reason for Policy: The University encourages the development and commercialization of intellectual property invented, created and developed by faculty, students and staff. Intellectual property generally consists of patents, copyrights, trademarks and trade secrets. This policy sets out the University's policies with respect to such intellectual property, including its ownership, protection and commercialization. The policy is organized as follows: (1) Ownership and Protection of Intellectual Property, (2) Commercialization of Intellectual Property, (3) Income Derived from Intellectual Property, (4) Licensing and New Company Formation, (5) Dealing with Outside Parties, (6) Other Considerations, (7) Exceptions, (8) Enforcement, and (9) Related Policies and Procedures. No policy of this nature can cover every possible scenario but it seeks to provide clarity on intellectual property and commercialization issues. The Office of the Vice President for Research ("OVPR") is the entity at the University primarily responsible for implementing and interpreting this Intellectual Property and Commercialization Policy, and is ready to work with faculty, staff and students to explain these policies and make determinations in specific cases.

Applies to: Faculty, staff and students at the Storrs and Regional Campuses and UConn Health

1. Ownership and Protection of Intellectual Property

A. Inventions and Patents. Under Connecticut state law, the University owns all inventions created by employees in the performance of employment with the University or created with University resources or funds administered by the University ("University Inventions"). An issued patent is a limited grant from the federal government or a foreign government giving the owner of the patent the right to exclude others from practicing the inventions claimed in the patent.

B. Copyrights. Copyright protection extends to any original works of authorship fixed in any tangible medium of expression. A copyright owner possesses a series of exclusive rights, including the exclusive right to reproduce the work, prepare derivative works, distribute copies of the work, perform the work (in some cases) and display the work (in some cases). The University does not claim any product of authorship, unless a contract to the contrary modifies this general rule. If a contract grants ownership of the copyrighted work to an industry sponsor or other outside entity, an employee may be required to acknowledge the grant of ownership as a condition of working on such contract. If a contract grants ownership of the copyrighted work to the University, the work will be considered a "University Copyright." In addition, the AAUP

Collective Bargaining Agreement and other relevant collective bargaining agreements may contain provisions that allocate copyright ownership and other rights between faculty, staff and the University.

C. Trademarks. A trademark is a word, name, symbol or design that helps consumers identify and distinguish the source of a product from the products of others. Similarly, a service mark is a word, name, symbol or design used by a person providing services to help the public identify and distinguish the source of the services from the service of others. A trade name is a name used to identify a business. The University owns all rights, title and interest in all trademarks, service marks, trade names and other brand designations that relate to University Intellectual Property (as defined below) or to any University-related program of education, service, public relations, research or training (“University Trademarks”). University Trademarks may be used only with the express written permission of the University. Except as stated in Section 3(C), this Policy does not cover the use or licensing of University Trademarks; a link to the University’s trademark licensing procedures can be found in the “Related Policies” section of this Policy.

D. Trade Secrets. A trade secret is any formula, pattern, device, method, know how or compilation of information that derives independent economic value from not being known by others, and is the subject of efforts by the owner to maintain its secrecy. The University maintains a number of trade secrets, including but not limited to, unpublished grant proposals, invention disclosures and scientific data for which the University has not applied for patent protection (“University Trade Secrets”). The University will also agree on a limited basis to maintain the trade secrets of its industry partners.

E. University Intellectual Property or UIP. For the purposes of this Policy, University Inventions, University Copyrights and University Trade Secrets are referred to as “University Intellectual Property” or “UIP.” As noted above, University Trademarks are covered by a separate policy.

2. Commercialization

A. Industry Sponsored Research. The University is committed to developing industry collaborations and supporting research and development. The University understands that industry partners have diverse and unique needs, and is committed to providing contract terms and IP rights that meet these needs. Faculty members intending to work with industry partners should contact the OVPR so that different options can be discussed. The University offers many innovative intellectual property ownership models, including granting exclusive and non-exclusive licenses, which provide broad benefits to both industry and the University.

B. Government Sponsored Research. UIP arising from research funded by the US government or other funding agency will be controlled by the terms of the grant or contract and applicable laws, including the Bayh-Dole Act. Government-funded UIP will usually be owned by the University, subject to certain rights retained by the government.

C. Student Intellectual Property. Students will own the intellectual property that they invent, create and develop, including work created for a class, unless the student is (1) working on a University research grant or other sponsored research, (2) working for the University as an employee, or (3) working under a contract that stipulates otherwise. In these cases, the University will own the intellectual property.

D. Disclosure of Inventions. All faculty, employees (including students who are working for the University) and students (to the extent the student is working under a research grant, sponsored research or other governing contract) must disclose promptly any potentially patentable invention to the OVPR, and execute documents necessary for invention evaluation, patent prosecution or protection of University Patents. The disclosure should be made as soon as a faculty, employee or student becomes aware that their research or work has resulted in a patentable invention. OVPR will provide a preliminary evaluation of the patentable invention to the inventor within three months of disclosure, and will also provide periodic updates to inventor on the development and commercialization of the invention.

E. Determining Ownership and Protecting Intellectual Property. The OVPR, in consultation with the faculty member, staff, student and industry partner (if applicable), will determine the ownership of all inventions and trade secrets, whether a copyrighted work is a University Copyright, and whether to seek patent or copyright protection for the intellectual property.

3. Income Derived from Intellectual Property

A. Inventions. Under Connecticut state law and the by-laws of the University, the net revenue derived from licensing or income from the assignment or sale of University Inventions will be shared with employee inventors. Although current state law only requires the University to

distribute 20% of the net revenues to inventors, the Board of Trustees has approved the distribution of net revenue as follows: 33.3% to the inventors on the patent, 33.3% to their department and 33.3% to the University. Net revenue is defined as the gross proceeds derived from assigning or licensing the University Invention, less costs and expenses reasonably allocated to the University Invention. In addition, the department's share is further divided among the dean, the department head and the faculty member. The portion of revenue distributed to the University will be invested in research related activities and patent protection. If a faculty member, student or staff member is an inventor and leaves the University, he or she will remain entitled to the inventor's share of the revenue. In some prior cases, the University has made distributions using different allocations, and may in the future enter into mutually agreed distributions that differ from the above formula.

B. University Copyrights. Net revenue derived from licensing of University Copyrights is property of the University, and the distribution of such revenue will be determined on a case by case basis, but may be distributed in a similar manner to the distribution for inventions as described above.

C. University Trademarks. The University generally retains all income derived from licensing of University Trademarks.

4. Licensing and New Company Formation

A. Licensing of Intellectual Property. The OVPR seeks to identify existing businesses that can further develop and commercialize the University Intellectual Property and bring a reasonable financial return to the University. Income derived from OVPR's licensing activities is governed by Section 3 above.

B. New Company Formation. In cases where the faculty inventor may wish to form a new company based on the University Invention (hereinafter a "Faculty Startup or "Startup"), the University will require that the following conditions be satisfied before granting a license to University Intellectual Property to the Startup: (1) the faculty member may be involved with the Startup as a consultant or scientific advisor, but may not take on an operational role that interferes with her or his duties as a faculty member, (2) the Startup must demonstrate willingness and commitment to identify and engage individuals with reasonable and relevant experience to serve as the operating officer of the Startup, and (3) the Startup should develop a business plan and a fundraising plan. As part of granting the license to the Startup, the Startup will provide consideration to the University, which could include a reasonable equity stake, a reasonable royalty, as well as assuming the costs of intellectual property protection. Income earned by University from a Startup license or equity ownership is governed by Section 3 above.

C. Additional Considerations for Faculty Affiliated Companies. The following additional considerations apply to Startups:

- (1) A faculty lab may collaborate or subcontract with a Startup only if an appropriate agreement is negotiated with the OVPR. The contract must contain a scope of work and clearly define responsibilities between the Startup and the faculty lab. The agreement will be governed by the applicable University policies, including this IP and Commercialization Policy.
- (2) The faculty member may not represent the University in any negotiation or decision involving a Startup.
- (3) The faculty member individually, and the Startup, must maintain practices that ensure that University material, data and intellectual property that are not licensed to the Startup are separated from and not used inappropriately by the Startup.
- (4) The faculty member may not use space in an academic lab, or other University or state resources, including the University's purchasing authority, for the benefit of a Startup unless (a) prior written approval has been granted by the appropriate department head or dean, and (b) a written agreement is in place with the University authorizing such use and agreeing to reimburse the University for such use.
- (5) A faculty member that works on a Startup must fully comply with the policies on "Consulting for Faculty and Members of the Faculty Bargaining Unit" and "Financial Conflict of Interest in Research" and any other similar or successor policies on the same subject. These policies are designed to ensure that a faculty member's relationship with a Startup does not create a real or perceived conflict of interest, and that the faculty member and University have agreed on the scope of permissible Startup activities.
- (6) Startups may not compete with the University for research grants that could appropriately be conducted in the faculty member's lab (i.e., grants for basic research).

D. Employment of Students Working at Startups. Faculty associated with a Startup may not unduly influence a student to accept employment. The employment of students at a Startup is governed by a separate University policy, namely "Use of Students in Outside Employment." Under this policy, the faculty member must obtain written approval from the department head or dean prior to employing a student at a Startup, and the student may seek recourse through the Provost to address any grievances that may arise during the term of employment.

The University requires that each student receive a written offer of employment with a specific scope of work or job description, the rate of compensation and the expected hours of work. In addition, the student should receive a fair market value rate of pay.

E. Licensing Back of University Intellectual Property. If the University does not believe that it can successfully commercialize a University Invention, and if the faculty member is not interested in founding a Startup, the University will offer the inventor a license of the University Invention (such license referred to as a "license back"). In addition, the inventor may request a

license back from OVPR at any time. OVPR is not required to grant a license back but will respond to any request within three months. If the University elects to license back the University Invention to the inventor, the inventor, as licensee, will assume obligations related to patent expenses and commercialization and will agree to pay a portion of the gross revenue that the inventor receives through his or her commercialization efforts. In addition, the inventor will not be entitled to the net revenue payments described in Section 3 of this policy.

5. Dealings with Outside Parties

A. Sponsored Research. All sponsored research agreements are negotiated and managed by the OVPR. Individual faculty members, departments, centers and other units of the University must work through the OVPR on such agreements.

B. Consulting. University faculty are encouraged to consult with industry, but must comply with all procedures set forth in “Consulting for Faculty and Members of the Faculty Bargaining Unit.” These policies apply even if the faculty member is consulting for a Startup, including a Startup with which that individual faculty member is affiliated.

Faculty members are required to ensure that the intellectual property provisions of any consulting agreements with industry do not conflict with the faculty member’s obligations to the University.

C. Use of Non-Disclosure Agreements. Non-public information related to University Intellectual Property should not be disclosed to outside parties unless there is in place a fully executed Non-Disclosure Agreement negotiated by OVPR and approved by University counsel.

D. Tangible Property. Tangible property, including but not limited to software, devices, designs, models, cell lines, plans, seeds, antibodies, compounds and formulations that are University property, may not be transferred outside of the University unless there is in place a fully executed Material Transfer Agreement negotiated by OVPR and approved by University counsel.

6. Other Considerations

A. Publication. Inventors should be aware that publication of research data and findings can jeopardize intellectual property rights for the University and the faculty member. When the University enters into industry sponsored research agreements, it will retain the right to publish all research results generated by faculty and students. The University may agree to delay the publication of research results that arise from industry sponsored research for a reasonable period of time to allow the sponsor to review the publication in order to determine if any confidential information should be removed or if a patent application should be filed.

B. Affiliates and Intellectual Property. In some limited cases, the University has affiliation agreements with other organizations (e.g. hospitals), and these agreements may grant the

University additional intellectual property rights. For example, if a student is receiving a stipend from the University through an affiliate organization, the University will assert ownership of intellectual property created by the student.

C. Open Source Software Distribution. In those instances in which the University has an ownership interest in software, faculty and sponsors of research may request that the University distribute or otherwise make available software pursuant to an open source license. The faculty member should consult with OVPR to determine if such distribution is in the best interests of the University.

D. Signing of Agreements. Sponsored Research Agreements, Intellectual Property Licenses, Confidential Disclosure Agreements, Material Transfer Agreements and other related agreements that obligate the University may only be signed by an authorized University signatory. A full list of authorized signatories is set forth in the President's Resolution Delegating Signing Authority (the website link is provided at end of this Policy).

Deans, Faculty and Department heads are **not** authorized under the President's Resolution to sign agreements covered by this Policy.

E. Disagreements Related to Inventions. The University By-Laws establish procedures to follow in the event of a disagreement related to inventions.

7. Exceptions to This Policy

Exceptions to this Intellectual Property and Commercialization Policy may be approved by the OVPR, in consultation with the applicable University department, and faculty members, in its sole discretion. The Office of Clinical and Translation Research may approve changes to clinical trial agreements.

8. Enforcement

Violations of this policy may result in appropriate disciplinary measures in accordance with University Laws and By-Laws, General Rules of Conduct for All University Employees, applicable collective bargaining agreements, and the University of Connecticut Student Code.

9. Related Policies, Procedures and Board Resolutions

This policy replaces "Policy Regarding Research Collaborations with Industrial Partners and Technology Transfer"

The following are links to related policies, procedures and board resolutions.

"Consulting for Faculty and Members of the Faculty Bargaining Unit"

"Consulting by Faculty" website

“Financial Conflicts of Interest in Research”

“Use of Students for External Employment”

“Board of Trustees Resolution Delegating Signing Authority”

“Inventor’s Guide”

University Trademark website

If any of the above policies are amended or new relevant policies adopted, these amended or new policies will apply as of their effective date.

Adopted by the University of Connecticut Board of Trustees on _____ and effective as of


ATTACHMENT 9

UConn HEALTH

Prepare one for the board of directors and one for board of trustees

September 30, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA 
Executive Vice President for Health Affairs (interim)

RE: Reports of Endowed Chairs for the period of July 1, 2014 to June 30, 2015

RECOMMENDATION:

That the University of Connecticut Board of Trustees approve the Annual Reports for the following Endowed Chairs:

1. Human Genetics
2. Infectious Disease
3. Transfusion Medicine

BACKGROUND:

The three endowed chairs referenced above were established during the Fiscal Year 1988 to 1989 as authorized by CGS 10a – 20a. Subsection (f) of the statute states the “Board of Trustees shall submit annual reports to the Board of Governors concerning their expenditures.” The reports presented today are for the period of July 1, 2014 to June 30, 2015.

The University of Connecticut Health Center
Endowed Chair in Infectious Diseases/AIDS Research
631129-10141-10

	FY11	FY12	FY13	FY14	FY15*
Beginning Cash Balance	\$101,147.82	\$88,861.82	\$75,642.68	\$46,720.03	\$28,606.17
Receipts :					
Interest Transferred from DHE:	3,852.00	2,342.96	-	1,775.83	2,904.75
Total Receipts	3,852.00	2,342.96	-	1,775.83	2,904.75
Expenditures :					
Salaries and Wages	-	-	8,587.00	3,724.66	
Fringe Benefits	-	-	2,194.00	1,354.51	
Purchased Services	14,005.00	12,841.00	14,863.00	14,128.62	(4,740.32)
Supplies	617.00	629.00	1,475.00	166.00	
Equipment	-	-	1,447.00		1,500.00
Change in accruals	1,516.00	2,092.10	556.85	515.90	
Total Expenditures	16,138.00	15,562.10	28,922.65	19,889.69	(3,240.32)
Ending Cash Spendable Balance	\$88,861.82	\$75,642.68	\$46,720.03	\$28,606.17	\$34,751.24

* FY15 are not final and may change due to year end adjustments

The University of Connecticut Health Center
Endowed Chair in Human Genetics
300041-10600-10

	FY11	FY12	FY13	FY14	FY15*
Beginning Cash Balance	\$449,017.36	\$287,801.36	\$279,873.11	\$242,900.11	\$285,540.33
Receipts :					
Interest Transferred from DHE:	955.00	(3,437.00)	-	1,295.51	2,119.10
Interest Transferred from UCONN Foundation:	55,224.00	94,267.00	72,893.00	75,392.60	75,943.68
Total Receipts	56,179.00	90,830.00	72,893.00	76,688.11	78,062.78
Expenditures :					
Salaries and Wages	55,655.00	57,974.16	83,165.00	31,326.59	45,019.06
Fringe Benefits	44,852.00	14,526.52	26,408.00	2,576.80	43,748.74
Purchased Services	40,672.00	26,257.57	293.00	34.50	1,085.00
Supplies	12,173.00				
Equipment	62,118.00				
Change in accruals	1,925.00			110.00	
Total Expenditures	217,395.00	98,758.25	109,866.00	34,047.89	89,852.80
Ending Cash Spendable Balance	\$287,801.36	\$279,873.11	\$242,900.11	\$285,540.33	\$273,750.31

* FY15 are not final and may change due to year end adjustments

The University of Connecticut Health Center
 Endowed Chair in Transfusion Medicine
 300037-10149-10 (and 35021)


	FY11	FY12	FY13*	FY14	FY15*
Beginning Cash Balance	\$379,713.59	\$359,599.59	\$345,472.11	\$383,392.11	\$423,751.69
Receipts :					
Interest Transferred from UCONN Foundation:	30,057.00	48,309.03	39,674.00	41,034.77	-
Interest Transferred from DHE:	1,256.00	501.06	-	887.86	1,452.32
Total Receipts	31,313.00	48,810.09	39,674.00	41,922.63	1,452.32
Expenditures :					
Salaries and Wages	3,582.00	46,876.55		1,092.05	1,092.05
Fringe Benefits	935.00	12,318.50		471.00	471.00
Purchased Services	1,591.00	3,400.00			
Supplies	11,605.00	342.52			
Equipment	32,045.00		1,754.00		
Change in accruals	1,689.00				1,263.37
Total Expenditures	51,427.00	62,937.57	1,754.00	1,563.05	2,826.42
Ending Cash Spendable Balance	\$359,599.59	\$345,472.11	\$383,392.11	\$423,751.69	\$422,377.59

* FY15 are not final and may change due to year end adjustments

ATTACHMENT 10

September 30, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and
Equipment Expenditures

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code/ADA Renovation Lump Sum expenditures of \$8,276,987 (Storrs and the Regional Campuses: \$7,841,901 and UConn Health: \$435,086) for Fiscal Year 2015 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached list represents actual expenditures for the Deferred Maintenance project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for approval. The attached information includes a listing of actual expenditures by type of project (buildings; infrastructure; code/ADA; access, appearance & safety; renovation lump sum) compared to the estimated amounts that formed the basis of the original capital budget for FY15 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily equal the authorization for the specific year.

Also attached is the annual informational report: the summary of all Deferred Maintenance/Code/ADA Renovation Lump Sum and Equipment, Library Collections and Telecommunications expenditures to date under the UCONN 2000 program.

University of Connecticut
Deferred Maintenance/Code/ADA Renovation Lump Sum Budget and Project Expenditures through 6/30/15

	FY15 Original Budget	FY15 Revised Budget	Fiscal Year 2015 Authorized Projects			All Previous Years		Total FY15
			Expended in FY15	Encumbered	Budget Balance Available	Authorized Projects Expended in FY15	Expenditures	
	6/25/14	6/24/15						
Storrs & Regional Campuses								
Buildings	\$ 5,310,000	\$ 5,310,000	\$ 1,531,665	\$ 895,789	\$ 1,903,127	\$ 1,344,156	\$ 2,875,821	
Infrastructure	8,900,095	8,900,095	2,152,400	5,954,754	3,730,469	3,125,215	5,277,615	
Code/ADA	4,750,000	4,750,000	1,726,415	1,102,559	1,866,310	2,763,134	4,489,549	
Access, Appearance & Safety	4,285,000	4,285,000	144,755	-	-	3,845,661	3,990,415	
Renovation Lump Sum	4,450,305	4,450,305	2,286,667	1,899,728	1,574,215	5,626,409	7,913,076	
Reserves	2,987,215	11,972,579	-	-	12,899,127	-	-	
Total	\$ 30,682,615	\$ 39,667,979	\$ 7,841,901	\$ 9,852,830	\$ 21,973,248	\$ 16,704,574	\$ 24,546,476	
UConn Health								
Buildings/Infrastructure	\$ 2,625,000	\$ 741,394	\$ 435,086	\$ 306,308	\$ -	\$ 1,254,125	\$ 1,689,211	
Reserves	275,000	-					-	
Total	\$ 2,900,000	\$ 741,394	\$ 435,086	\$ 306,308	\$ -	\$ 1,254,125	\$ 1,689,211	
Grand Total	\$ 33,582,615	\$ 40,409,373	\$ 8,276,987	\$ 10,159,138	\$ 21,973,248	\$ 17,958,699	\$ 26,235,687	

University of Connecticut
UCONN 2000 Phases I-III Deferred Maintenance / Code / ADA Renovation Lump Sum
Expenditures For Fiscal Years 1996 - 2015

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY2009	FY2010	FY2011	FY2012	FY2013	FY2014	FY2015	Total
Storrs & Regional Campuses	\$ 45,858,374	\$ 125,333,277	\$ (951,948)	\$ 12,531,250	\$ 19,548,353	\$ 20,869,953	\$ 16,342,058	\$ 22,449,663	\$ 11,809,480	\$ 13,320,722	\$ 11,220,309	\$ 24,546,476	\$ 322,877,968
UConn Health	-	11,700	1,396,608	2,567,873	3,178,646	4,286,953	5,156,558	3,010,806	2,786,926	3,784,252	3,714,548	1,689,211	31,584,081
Grand Total	\$ 45,858,374	\$ 125,344,977	\$ 444,660	\$ 15,099,123	\$ 22,726,999	\$ 25,156,906	\$ 21,498,616	\$ 25,460,469	\$ 14,596,406	\$ 17,104,974	\$ 14,934,857	\$ 26,235,687	\$ 354,462,050

UCONN 2000 Phases I-III Equipment, Library Collections and Telecommunications
Expenditures For Fiscal Years 1996 - 2015

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	Total
Storrs & Regional Campuses													
Academic Services ¹	\$ 28,880,247	\$ 61,083,979	\$ 4,093,617	\$ 5,203,765	\$ 14,934,628	\$ 8,577,412	\$ 2,079,299	\$ 3,747,178	\$ 252,889	\$ 100,286	\$ 7,178,597	\$ 12,219,997	\$ 148,351,894
Libraries ²	17,024,852	34,651,315	4,232,986	1,010,344	201,713	110,274	50	-	-	-	-	-	57,231,533
Student Services ³	541,364	1,764,989	2,651	43,731	200,860	131,085	39,040	6,630	-	-	136,927	72,595	2,939,873
Operational Support & Physical Plant Services ⁴	5,835,173	11,048,201	1,020	1,109,297	5,151,818	567,335	347,822	2,962,007	1,870,407	7,392,676	2,045,051	5,957,592	44,288,399
Total - UConn Storrs & Regional Campuses	\$ 52,281,635	\$ 108,548,484	\$ 8,330,274	\$ 7,367,137	\$ 20,489,019	\$ 9,386,105	\$ 2,466,211	\$ 6,715,815	\$ 2,123,296	\$ 7,492,963	\$ 9,360,575	\$ 18,250,184	\$ 252,811,699
UConn Health													
Academic Services ¹	\$ -	\$ 3,653	\$ 2,881,808	\$ 2,755,229	\$ 2,815,439	\$ 2,657,351	\$ 2,611,195	\$ 3,976,909	\$ 1,913,969	\$ 730,841	\$ 1,274,389	\$ 1,237,925	\$ 22,858,706
Libraries	-	-	1,407,677	1,139,897	566,486	1,161,182	1,244,581	1,609,784	120,783	214,513	3,546	(3,546)	7,464,903
Operational Support & Physical Plant Services ⁴	-	3,653	2,881,809	2,755,230	2,815,436	2,657,351	2,611,195	3,976,909	1,913,969	730,841	1,274,388	1,237,925	22,858,705
Total spent by UConn Storrs & Regional Campuses for Uconn Health ⁵	\$ -	\$ 7,306	\$ 7,171,294	\$ 6,650,356	\$ 6,197,361	\$ 6,475,883	\$ 6,466,971	\$ 9,563,602	\$ 3,948,721	\$ 1,676,194	\$ 2,552,323	\$ 2,472,303	\$ 53,182,314
Grand Total	\$ 52,281,635	\$ 108,555,790	\$ 15,501,568	\$ 14,017,493	\$ 26,686,380	\$ 15,861,988	\$ 8,933,182	\$ 16,279,417	\$ 6,072,017	\$ 9,169,157	\$ 11,912,898	\$ 20,722,487	\$ 305,994,011

Notes:

- 1) Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- 2) The funding of library acquisitions was shifted to operating dollars as part of a phase-in plan which made UCONN 2000 dollars available for reallocation to other capital expenses. This was important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- 3) Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000.
- 4) Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.
- 5) UConn Health expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.


*FY05 funds were allocated in Phase II and Phase III. For this summary, all expenditures for FY05 were included in Phase II.

ATTACHMENT 11



September 30, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Gant Building Renovations – STEM
(Revised Planning: \$5,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget of \$5,000,000 for the Gant Building Renovations – STEM for planning and schematic design.

BACKGROUND:

The 285,000 gross square foot (gsf) Gant Science Complex will be renovated to accommodate current and future University needs in response to growing student enrollment in STEM programs. These improvements will include classrooms, lecture halls, teaching and research laboratories, faculty offices and support spaces.

Exterior site and plaza areas will be improved to make them more inviting and accessible to the campus community. The building envelope (facades and roofs) will be reconstructed to provide a more attractive waterproof skin with better energy performance.

The renovations will be phased to provide sequential relocation of building occupants as reconstruction progresses. The University Data Center will remain in operation throughout the course of construction.

The Gant Building Renovations – STEM is currently in the Planning Phase and starting Design. Planning began in Spring of 2015 and design services have been procured and started in Summer 2015. Phased construction is anticipated to begin in Spring 2017 and be complete in Summer 2021. It is anticipated that the project will not be constructed under a Project Labor Agreement.

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The Gant Building Renovations – STEM project will conform to Connecticut High Performance Building Code regulations and will be registered as a LEED project with a target of LEED Gold.

The change in the project budget is due to procurement of design services and the implementation of pre-design and schematic design service phases.

The anticipated total project budget will be in the range of \$140,000,000 – \$170,000,000.

The Revised Planning Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: GANT BUILDING RENOVATIONS - STEM

	APPROVED PLANNING 11/13/2013	PROPOSED REVISED PLANNING 9/30/2015
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ -	\$ -
DESIGN SERVICES	500,000	4,065,000
TELECOMMUNICATIONS	100,000	100,000
FURNITURE, FIXTURES AND EQUIPMENT	20,000	20,000
CONSTRUCTION ADMINISTRATION	100,000	100,000
OTHER AE SERVICES (including Project Management)	180,000	120,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	60,000
INSURANCE AND LEGAL	5,000	10,000
MISCELLANEOUS	-	25,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 905,000	\$ 4,500,000
PROJECT CONTINGENCY	95,000	500,000
TOTAL BUDGETED EXPENDITURES	\$ 1,000,000	\$ 5,000,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ 1,000,000	\$ 5,000,000
TOTAL BUDGETED FUNDING	\$ 1,000,000	\$ 5,000,000

GANT BUILDING RENOVATIONS – STEM
Project Budget (REVISED PLANNING)
September 30, 2015





ATTACHMENT 12



September 30, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Student Recreation Center (formerly named Intramural,
Recreational and Intercollegiate Facilities – Recreation Center)
(Revised Planning: \$2,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget of \$2,500,000 for the Student Recreation Center for planning and schematic design.

BACKGROUND:

The Board of Trustees approved a Revised Planning Budget of \$100,000,000 in November 2013 for the planning, design and construction of the Student Recreation Center. The Board of Trustees approved an expenditure amount of \$500,000 in December 2013 for the planning and feasibility analysis of the Student Recreation Center.

The planned facility will include: activity spaces for cardiovascular and strength training facilities, squash and racquetball courts, basketball courts, a running track, multipurpose sports area, an aquatics center, a wellness center, indoor and outdoor spaces for club sports, and flexible space for events and activities for use by the students and the UConn community.

The Student Recreation Center is currently in the Planning Phase and starting Design. Following the acceptance of the Master Plan by the Board of Trustees on February 25, 2015, planning began again in May, followed by a site analysis and site selection that concluded in early September. Programming and design will start in October 2015 with bidding anticipated in January 2017. Construction will start in May 2017 and occupancy in July 2019. It is anticipated that the project will not be constructed under a Project Labor Agreement.

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The Student Recreation Center project will conform to Connecticut High Performance Building Code regulations and will be registered as a LEED project with a target of LEED Gold.

The Revised Planning Budget is based on an independent third party cost estimator who prepared a conceptual estimate of probable cost.

The anticipated total project budget will be in the range of \$100,000,000. The project will be financed through revenue bonds, backed by the commitment of student fees.

The Revised Planning Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED PLANNING**

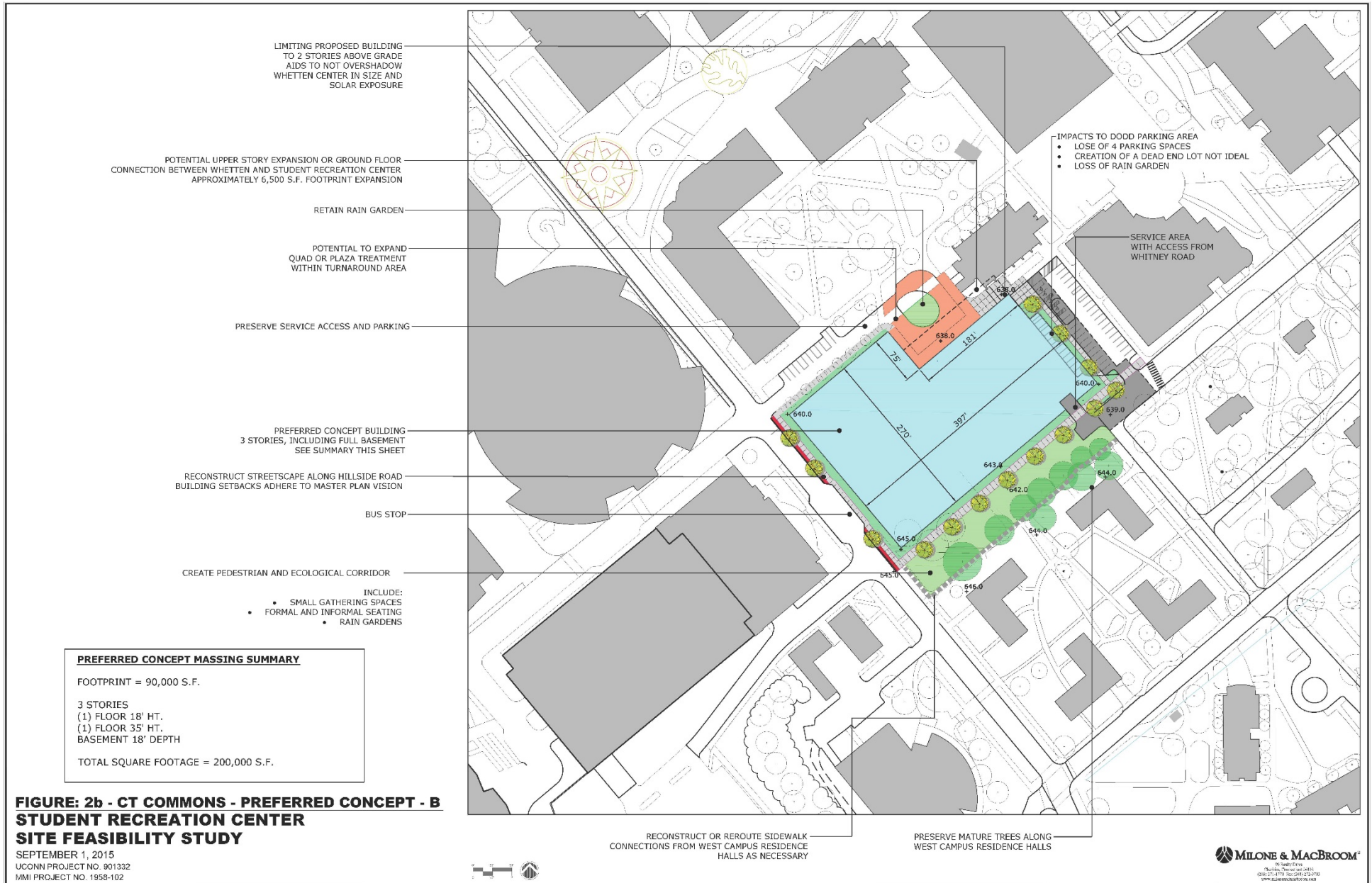
PROJECT NAME: **INTRAMURAL, RECREATIONAL & INTERCOLLEGIATE FACILITIES - RECREATION CENTER**

	APPROVED PLANNING 6/24/2008	APPROVED REVISED PLANNING 11/13/2013	APPROVED REVISED PLANNING 12/11/2013	PROPOSED REVISED PLANNING 9/30/2015
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ 300,000	\$ 75,000,000		
DESIGN SERVICES	2,475,000	8,445,000	400,000	2,000,000
TELECOMMUNICATIONS	-	800,000		
FURNITURE, FIXTURES AND EQUIPMENT	-	2,525,000		
CONSTRUCTION ADMINISTRATION	10,000	1,600,000	5,000	100,000
OTHER AE SERVICES (including Project Management)	50,000	1,483,000	43,000	75,000
ART	-	-		
RELOCATION	-	-		
ENVIRONMENTAL	85,000	85,000		50,000
INSURANCE AND LEGAL	20,000	25,000	2,000	10,000
MISCELLANEOUS	-	37,000		15,000
OTHER SOFT COSTS	30,000	-	-	-
SUBTOTAL	\$ 2,970,000	\$ 90,000,000	\$ 450,000	\$ 2,250,000
PROJECT CONTINGENCY	330,000	10,000,000	50,000	250,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,300,000</u>	<u>\$ 100,000,000</u>	<u>\$ 500,000</u>	<u>\$ 2,500,000</u>
<u>SOURCE(S) OF FUNDING</u>				
UNIVERSITY PLANT FUNDS	\$ 300,000	\$ 500,000	\$ 500,000	\$ 2,500,000
UCONN 2000 PHASE III	3,000,000	-	-	-
REVENUE BONDS	-	99,500,000	-	-
TOTAL BUDGETED FUNDING	<u>\$ 3,300,000</u>	<u>\$ 100,000,000</u>	<u>\$ 500,000</u>	<u>\$ 2,500,000</u>

STUDENT RECREATION CENTER

Project Budget (REVISED PLANNING)

September 30, 2015





ATTACHMENT 13



September 30, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN Code Remediation – Holcomb/Whitney/Sprague
Dormitories (Final: \$575,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$575,000 for the UCONN 2000 Code Remediation – Holcomb/Whitney/Sprague Dormitories for construction.

BACKGROUND:

After completing a required plan review and field inspection of the UCONN 2000 Code Remediation – Holcomb/ Whitney/Sprague Dormitories project, the Office of the Fire Marshal and Building Inspector cited fifteen code discrepancies.

Remediation work at the Holcomb/Whitney/Sprague Dormitories will include the installation of fire rated shaft wall assemblies, replacement of non-fire rated doors, modification to ductwork and installation of new make-up-air systems for laundry facilities.

The University has successfully negotiated in-kind services for the remediation of code discrepancies attributable to the contractor. The original architect is no longer in business and the University has completed designs for those discrepancies attributable to the original architect. It is anticipated that construction will be complete in Spring 2016. The Final Budget is based on competitive bids.

The University requests a waiver of the three stage budget approval process in order to allow construction to proceed.

The Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: UCONN 2000 CODE REMEDIATION -
HOLCOMB/WHITNEY/SPRAGUE DORMITORIES**

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 9/30/2015
CONSTRUCTION	\$ 300,000
DESIGN SERVICES	25,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	155,000
OTHER AE SERVICES (including Project Management)	35,000
ART	-
RELOCATION	-
ENVIRONMENTAL	10,000
INSURANCE AND LEGAL	8,000
MISCELLANEOUS	7,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 540,000
PROJECT CONTINGENCY	35,000
TOTAL BUDGETED EXPENDITURES	\$ 575,000
<u>SOURCE(S) OF FUNDING</u>	
UCONN 2000 PHASE III - DM	\$ 488,720
UNIVERSITY PLANT FUNDS	86,280
TOTAL BUDGETED FUNDING	\$ 575,000

BOT 9.30.15


201464

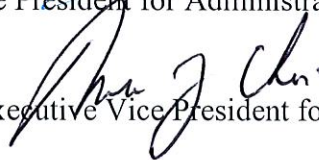
ATTACHMENT 14



September 30, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN 2000 Code Remediation – South Campus Laundry Alterations (Final: \$625,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$625,000 for the UCONN 2000 Code Remediation – South Campus Laundry Alterations for construction.

BACKGROUND:

After completing a required plan review and field inspection of the UCONN 2000 Code Remediation – South Campus Laundry Alterations project, the Office of the Fire Marshal and Building Inspector cited eight code discrepancies.

Remediation work at the South Campus Laundry project will include the modifications to existing exhaust ducts, miscellaneous electrical work and installation of new make-up-air systems for four laundry facilities within the complex.

The University has completed design to correct the discrepancies. It is anticipated that construction will be complete in Spring 2016. The Final Budget is based on competitive bids.

The University requests a waiver of the three stage budget approval process in order to allow construction to proceed.

The Final Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN 2000 CODE REMEDIATION - SOUTH CAMPUS LAUNDRY ALTERATIONS

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 9/30/2015
CONSTRUCTION	\$ 400,000
DESIGN SERVICES	20,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	120,000
OTHER AE SERVICES (including Project Management)	30,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	10,000
MISCELLANEOUS	10,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 590,000
PROJECT CONTINGENCY	35,000
TOTAL BUDGETED EXPENDITURES	\$ 625,000
<u>SOURCE(S) OF FUNDING</u>	
UCONN 2000 PHASE III - DM	\$ 581,000
UNIVERSITY PLANT FUNDS	44,000
TOTAL BUDGETED FUNDING	\$ 625,000

BOT 9.30.15


201569

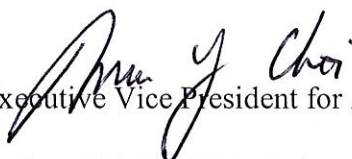
ATTACHMENT 15



September 30, 2015

TO: Members of the Board of Trustees

FROM: Scott Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN 2000 Code Remediation – White Building/Dairy Bar Improvements (Final: \$550,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$550,000 for UCONN 2000 Code Remediation – White Building/Dairy Bar Improvements for construction.

BACKGROUND:

After completing a required plan review and field inspection of the UCONN 2000 Code Remediation – White Building/Dairy Bar project, the Office of the Fire Marshal and Building Inspector cited forty four code discrepancies related to the original project.

Remediation work at the White Building/Dairy Bar will include the installation of fire rated shaft wall assemblies, replacement of non-fire rated doors and added emergency lighting.

The University has successfully negotiated in-kind services for the remediation of code discrepancies attributable to the contractor. The original architect is no longer in business and the University has completed designs for those discrepancies attributable to the original architect. It is anticipated that construction will be complete in Fall 2015. The Final Budget is based on competitive bids.

The University requests a waiver of the three stage budget approval process in order to allow construction to proceed.

The Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN 2000 CODE REMEDIATION - WHITE BUILDING/DAIRY BAR IMPROVEMENTS

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 9/30/2015
CONSTRUCTION	\$ 296,000
DESIGN SERVICES	26,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	138,000
OTHER AE SERVICES (including Project Management)	22,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	30,000
MISCELLANEOUS	8,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 520,000
PROJECT CONTINGENCY	30,000
TOTAL BUDGETED EXPENDITURES	\$ 550,000
<u>SOURCE(S) OF FUNDING</u>	
UCONN 2000 PHASE III - DM	\$ 127,156
UNIVERSITY PLANT FUNDS	422,844
TOTAL BUDGETED FUNDING	\$ 550,000

BOT 9.30.15

201568

ATTACHMENT 16

UConn HEALTH

September 30, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA *AA*
Executive Vice President for Health Affairs (interim)

Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health; 3T MRI Renovations (Design: \$3,825,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$3,825,000 for the UConn Health; 3T MRI Renovations.

BACKGROUND:

An existing MRI unit located in the Medical Arts and Research Building failed in August, 2013. Magnetic Resonance Imaging (MRI) uses a large magnet and radio waves to take pictures of bones and soft tissues. Unlike CT scans, MRI works without radiation. An MRI provides images that assist in diagnosis of torn knee ligaments and cartilage, torn rotator cuffs, herniated disks, hip and pelvic problems, and other conditions. The Department of Diagnostic Imaging and Therapeutics has maintained MRI capability in the Main Building, however returning MRI capability to this location enhances the core clinical and research services.

The new unit proposed for this location is a 3 Tesla (T) MRI machine. The 3T machine is a significant improvement in both image quality and speed compared to the failed unit's capabilities. This project proposes to renovate the existing MRI room and adjacent areas to house the replacement machine. The renovations include reconfiguration of spaces to create a larger room, new magnetic shielding for the unit, and reconfiguration of adjacent space to improve patient flow.

The 3T MRI equipment for this location is planned to be procured via a capital lease.

The Design Budget is attached for your consideration. Please note that the Planning Budget was based upon conceptual estimates and the change in the budget is based upon the actual schematic design work. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meetings on September 21, 2015.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: UCONN HEALTH - 3T MRI RENOVATIONS

	APPROVED PLANNING 12/10/2014	PROPOSED DESIGN 9/30/2015
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 486,000	\$ 890,000
DESIGN SERVICES	60,000	90,000
TELECOMMUNICATIONS	7,000	7,000
FURNITURE, FIXTURES AND EQUIPMENT	2,450,000	2,450,000
CONSTRUCTION ADMINISTRATION	17,000	17,000
OTHER AE SERVICES (including Project Management)	60,000	60,000
ART	-	-
RELOCATION	2,000	2,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	2,000	2,000
MISCELLANEOUS	7,000	7,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 3,091,000	\$ 3,525,000
PROJECT CONTINGENCY	309,000	300,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,400,000</u>	<u>\$ 3,825,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UCONN HEALTH CAPITAL FUNDS	<u>\$ 3,400,000</u>	<u>\$ 3,825,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 3,400,000</u>	<u>\$ 3,825,000</u>

ATTACHMENT 17

UConn HEALTH

September 30, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA *AA*
Executive Vice President for Health Affairs (interim)

Scott A. Jordan *SJ*
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Main Building Renovation; Lab ("L")
Building Renovations - Project 2: (Final: \$41,389,671)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$41,389,671 for the UConn Health Main Building Renovation, L Building - Project 2.

BACKGROUND:

The UConn Health Main Building includes the Lab (L) building which houses approximately 280,000 gross square feet of research space. The Bioscience Connecticut initiative supplemented the exiting UConn 2000 funds to allow for more complete renovations to the buildings labs and mechanical systems. The work is being accomplished thorough two projects.

Project 1 is nearly complete and it renovates approximately half of the L building research space. This project (Project 2) continues the renovations of the research space located on floors 4 – 7 and will create flexible laboratory space, nearly identical to the labs built under Project 1. In addition, aged mechanical, electrical and plumbing infrastructure will be replaced with new energy efficient systems. At the conclusion of Project 1 and Project 2, approximately 202,000 SF of the 280,000 SF of Lab space in L bldg. will be renovated. The project is designed to achieve the Leadership in Energy and Environmental (LEED) silver certification.

In order to meet the project schedule we are requesting a waiver of the 3 stage budget approval process. The Final budget is being presented to you based upon estimates from the construction manager and the design team rather than actual bids. We plan to bid the work in phases beginning in October and concluding in December.

The Final Budget is attached for your consideration. The UConn Health Board of Directors is anticipated to approve this budget at their September 21, 2015 meeting.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCH MAIN BUILDING RENOVATION - LAB ("L") BUILDING RENOVATIONS PROJECT 2

	APPROVED PLANNING 6/26/2013	APPROVED DESIGN 6/24/2015	PROPOSED FINAL 9/30/2015
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ 40,810,000	\$ 30,135,000	\$ 31,135,000
DESIGN SERVICES	4,900,000	3,070,000	3,070,000
TELECOMMUNICATIONS	1,445,000	329,000	329,000
FURNITURE, FIXTURES AND EQUIPMENT	1,710,000	415,000	415,000
CONSTRUCTION ADMINISTRATION	200,000	152,000	152,000
OTHER AE SERVICES (including Project Management)	586,000	1,293,000	1,293,000
ART	-	-	-
RELOCATION	345,000	275,000	275,000
ENVIRONMENTAL	615,000	280,000	280,000
INSURANCE AND LEGAL	50,000	1,048,000	1,048,000
MISCELLANEOUS	248,000	283,000	283,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 50,909,000	\$ 37,280,000	\$ 38,280,000
PROJECT CONTINGENCY	5,091,000	4,109,671	3,109,671
TOTAL BUDGETED EXPENDITURES	<u>\$ 56,000,000</u>	<u>\$ 41,389,671</u>	<u>\$ 41,389,671</u>
<u>SOURCE(S) OF FUNDING</u>			
UConn 2000 PHASE III	\$ 56,000,000	\$ 40,887,671	\$ 40,887,671
UConn HEALTH CAPITAL/OTHER	-	502,000	502,000
TOTAL BUDGETED FUNDING	<u>\$ 56,000,000</u>	<u>\$ 41,389,671</u>	<u>\$ 41,389,671</u>

**UCH MAIN BUILDING RENOVATION:
LAB (“L”) BUILDING RENOVATIONS PROJECT 2
Project Budget (FINAL)
09/30/2015**

IMAGE OF PROPOSED RENOVATED LAB SPACE



ATTACHMENT 18

UConn HEALTH

September 30, 2015

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA *AA*
Executive Vice President for Health Affairs (interim)

Scott A. Jordan *SAJ*
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health New Construction and Renovation – Clinic (C)
Building Renovations (Final: \$91,314,604)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$91,314,604 for the UConn Health New Construction and Renovations: Clinic (C) Building Renovations

BACKGROUND:

As part of the Bioscience Connecticut initiative UConn Health plans to renovate portions of the existing Clinic building. The C building, comprised of 345,000 gross square feet over 4 floors, is the primary entrance to the Main Building. It houses the majority of the outpatient services that are in the Main Building. The renovations under this project will focus on the Dental Teaching Clinics, the Pat and Jim Calhoun Cardiology Center, Clinical Research, and clinical space for a multi-specialty practice. In addition, various mechanical, electrical and plumbing infrastructure systems will be replaced as part of the renovations. The project is designed to achieve Leadership in Energy and Environmental (LEED) silver certification.

The Design Development phase for the project is complete and the Construction Documents will be complete in October. In order to meet the project schedule we are requesting a waiver of the 3 stage budget approval process. The Final budget is being presented to you based upon estimates from the construction manager and the design team rather than actual bids. We plan to bid the work in phases beginning in October and concluding in December. The construction of this project is being considered to be executed under a Project Labor Agreement.

The Final Budget is attached for your consideration and approval. The UConn Health Board of Directors is anticipated to approve this budget at their September 21, 2015 meeting.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

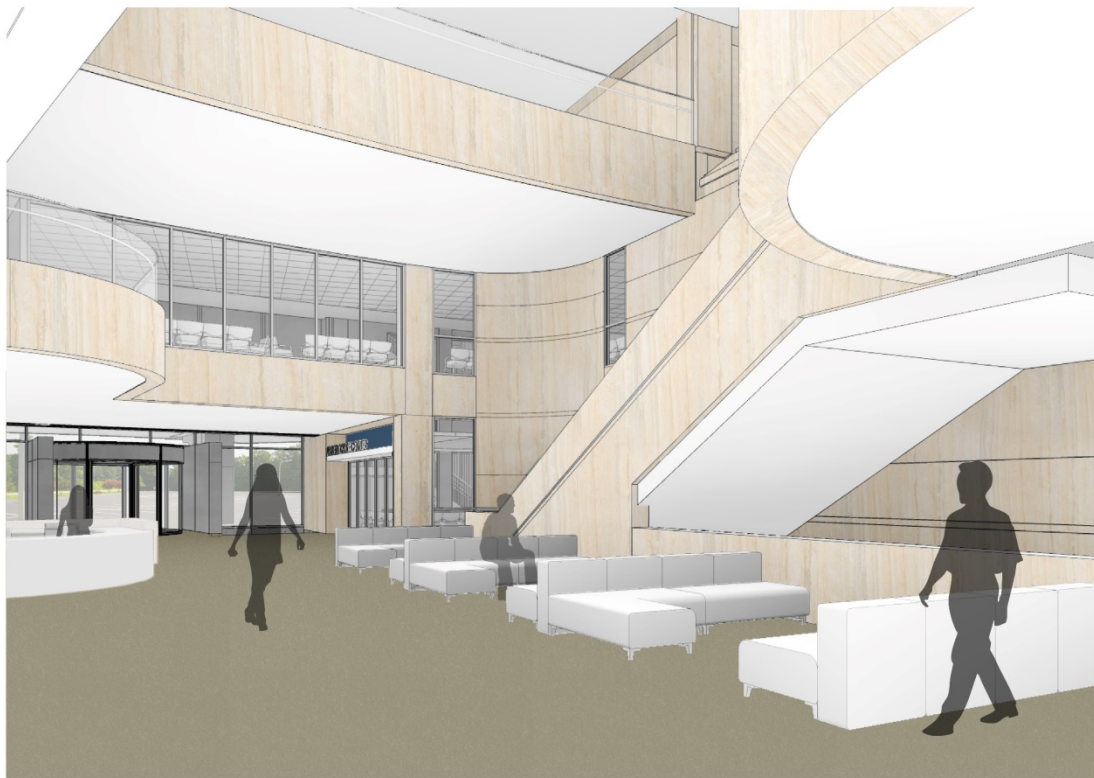
TYPE BUDGET: FINAL

PROJECT NAME: UCH NEW CONSTRUCTION AND RENOVATION: CLINIC BUILDING ("C") RENOVATIONS

	APPROVED PLANNING 6/28/2012	APPROVED DESIGN 9/24/2014	APPROVED REVISED DESIGN 6/24/2015	PROPOSED FINAL 9/30/2015
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ 60,100,000	\$ 70,721,000	\$ 63,800,000	\$ 64,800,000
DESIGN SERVICES	6,853,000	6,444,000	7,050,000	7,050,000
TELECOMMUNICATIONS	3,720,000	990,000	990,000	990,000
FURNITURE, FIXTURES AND EQUIPMENT	4,082,000	3,462,000	5,298,604	5,298,604
CONSTRUCTION ADMINISTRATION	420,000	420,000	420,000	420,000
OTHER AE SERVICES (including Project Management)	2,122,000	2,970,000	3,190,000	3,190,000
ART	-	-	-	-
RELOCATION	372,000	176,000	176,000	176,000
ENVIRONMENTAL	930,000	1,418,000	1,418,000	1,418,000
INSURANCE AND LEGAL	75,000	945,000	1,695,000	1,695,000
MISCELLANEOUS	158,000	22,000	22,000	22,000
SUBTOTAL	\$ 78,832,000	\$ 87,568,000	\$ 84,059,604	\$ 85,059,604
PROJECT CONTINGENCY	7,883,000	8,758,000	7,255,000	6,255,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 86,715,000</u>	<u>\$ 96,326,000</u>	<u>\$ 91,314,604</u>	<u>\$ 91,314,604</u>
<u>SOURCE(S) OF FUNDING</u>				
UConn 2000 PHASE III	\$ 42,716,000	\$ 72,326,000	\$ 86,644,604	\$ 86,644,604
UConn HEALTH CAPITAL/OTHER	43,999,000	24,000,000	4,670,000	4,670,000
TOTAL BUDGETED FUNDING	<u>\$ 86,715,000</u>	<u>\$ 96,326,000</u>	<u>\$ 91,314,604</u>	<u>\$ 91,314,604</u>

**UCH NEW CONSTRUCTION AND RENOVATION:
CLINIC BUILDING (“C”) RENOVATIONS
Project Budget (FINAL)
09/30/2015**

PROPOSED MAIN LOBBY RENOVATIONS



INFORMATIONAL ITEMS

**University of Connecticut Department of Human Resources
New Hires Processed from July 23, 2015 - September 15, 2015
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NAME	TITLE	DEPARTMENT	DATE
ABRIL, MARITZA	ACAD ASSISTANT II	PHYSIOLOGY & NEUROBIOLOGY	9/1/2015
ANTOINE, RUSSELL	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/24/2015
ASTOR, ERIC	U POST DOC FEL 1	MATHEMATICS	8/23/2015
AWINO, JOSEPH K.	U POST DOC FEL 1	CHEMISTRY	8/17/2015
AWINO, VALERIE A.	UC PROFESSIONAL 01 - LAB ASST	BIOLOGY CENTRAL SERVICES	8/26/2015
BACK, MICHELE P.	ASSISTANT PROFESSOR	CURRICULUM & INSTR DEPT	8/23/2015
BEATTY, ERIN L.	U STAFF PROF II	GENERAL COUNSEL	7/24/2015
BESCH-HERTZBERG, JENNIFE	U POST DOC FEL 1	MARINE SCIENCES/MSTC	8/31/2015
BELLARA, AARTI P.	ASSISTANT PROFESSOR	EDUCATIONAL PSYCHOLOGY	8/23/2015
BENNER, ASHLEY M.	UC PROFESSIONAL 06 - FIN AID OFFICER	FINANCIAL AID OFC	8/7/2015
BENOIT, KIMBERLY A.	U ED ASSISTANT 2	POLICE SERVICES	9/11/2015
BISHOP, MICHAEL M.	U POST DOC FEL 1	PHYSICS	8/24/2015
BLACKETT, REBECCA A.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/24/2015
BOJINOVA, EMMA	LECTURER	AGRI & RESOURCE ECONOMICS	8/23/2015
BRIDWELL, BRENNIA	VISITING ASST PROF	POLITICAL SCIENCE	8/23/2015
BRODERICK, NICHOLE A.	ASSISTANT PROFESSOR	MOLECULAR & CELL BIOLOGY	8/23/2015
BULLARD, CHRISTINA	ASSISTANT PROFESSOR	DRAMATIC ARTS	8/23/2015
CANSLER, SUZANNE E.	INSTR IN RES	ACCOUNTING DEPT	8/23/2015
CASIERO, DEENA C.	UC PROFESSIONAL 12 - SHS PHYSICIAN II	STUDENT HEALTH SERVICES	9/16/2015
CAVALLARO, DAVID M.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/24/2015
CHO, CHEOL K.	VISITING ASST PROF	ECONOMICS	8/23/2015
CHOUSIONIS, VASILEIOS	ASSISTANT PROFESSOR	MATHEMATICS	8/23/2015
CHUNG, RACHEL U.	RESEARCH ASSOCIATE 1	EDUCATIONAL PSYCHOLOGY	8/23/2015
CIHAN, MEHMET F.	VISITING ASST PROF	FINANCE DEPT	8/23/2015
CIRALDO, BRANDY L.	RESEARCH ASSISTANT 1	PSYCHOLOGY	7/24/2015
COOPER, SARAH B.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	REGISTRAR OFC	8/31/2015
DEPALO, CRISTINE	UC PROFESSIONAL 07 - ACAD COUNSELOR/COORD	ATHLETICS ADMIN	8/1/2014
DONOVAN, MATTHEW P.	SPECIALIST IA	ATHLETICS MENS INTERCOL	9/4/2015
DOUGLAS, LEAH C.	UC PROFESSIONAL 03 - LAB TECHNICIAN I	CHEMISTRY	8/21/2015

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NAME	TITLE	DEPARTMENT	DATE
DRAGO, ROBERT D.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/24/2015
DUDA, RACHEL E.	U POST DOC FEL 1 - PRE-DOC INTERN	STUDENT HEALTH SERVICES	8/3/2015
DUGGIRALA, PARASARA S.	ASSISTANT PROFESSOR	COMPUTER SCIENCE & ENGR	8/23/2015
EDGINGTON, PADRAIC D.	ASST PROF IN RES	COMPUTER SCIENCE & ENGR	8/23/2015
ELSAESSER, CAITLIN M.	ASSISTANT PROFESSOR	SOCIAL WORK DEAN OFC	8/23/2015
EYADAT, ZAID	PROFESSOR IN RES	VP GLOBAL AFFAIRS	8/23/2015
FENG, BIN	ASSISTANT PROFESSOR	BIOMEDICAL ENGINEERING	8/23/2015
FERNANDES, ANJALI M.	VISITING ASST PROF	INTEGRATIVE GEOSCIENCE	8/23/2015
FORGHANI, BEHRANG	U POST DOC FEL 1	MATHEMATICS	8/23/2015
GEBAUER, LINDA J.	ASST CLIN PROF	LAW SCH INSTR & RES	8/3/2015
GELINAS, MARY E.	UC PROFESSIONAL 06 - SHS NURSE	STUDENT HEALTH SERVICES	8/17/2015
GHASSEMI, MONA	U POST DOC FEL 1	MATERIALS SCIENCE INST	9/1/2015
GIAMBRONE, ADAM	VISITING ASST PROF	MATHEMATICS	8/23/2015
GIOVE, ANGELA M.	UC PROFESSIONAL 03 - ASST SCHED OFFICER	REGISTRAR OFC	8/21/2015
HACKETT, REGINA J.	UC PROFESSIONAL 03 - PROG ASSISTANT I	CT TRANSPORTATION INST	7/24/2015
HONER, BEN	UC PROFESSIONAL 05 - DNG SERV AR AST MGR	ANIMAL SCIENCE	8/21/2015
HOPKINS, ALLISON	UC PROFESSIONAL 05 - PROGRAM COORD	ENRICHMENT PROGRAMS	8/17/2015
HUNN, JULIANNE E.	RESEARCH ASSISTANT 1	PSYCHOLOGY	8/7/2015
JOHNSON, CASEY R.	U POST DOC FEL 1	HUMANITIES INSTITUTE	8/1/2015
JONES, CARLTON C.	UC PROFESSIONAL 06 - ACADEMIC ADVISOR II	BUSINESS DEAN OFC	8/7/2015
KALNOVA, SVETLANA	ASST RESEARCH PROF	CHIP	8/23/2015
KIM, BUMSIK	U POST DOC FEL 1	MATHEMATICS	8/23/2015
KIVENZOR, GREGORY	ASST PROF IN RES	MARKETING DEPT	8/23/2015
KLECHA, PETER	VISITING ASST PROF	LINGUISTICS	8/23/2015
KOPELIOVICH, YAACOV	ASST PROF IN RES	FINANCE DEPT	8/23/2015
KOVEL, JOAN	UC PROFESSIONAL 09 - ASSOC DIR-FIN AID	FINANCIAL AID OFC	8/21/2015
KRUCINSKA, JOLANTA	RESEARCH ASSISTANT 3	PHARMACEUTICAL SCI	8/7/2015
LAVALLEE, NATHAN P.	U STAFF PROF II	GENERAL COUNSEL	7/31/2015
LEE, KWANG HEE	U POST DOC FEL 1	PLANT SCI & LANDSCAPE ARC	8/7/2015

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NAME	TITLE	DEPARTMENT	DATE
LEPLEY, LINDSEY K.	ASSISTANT PROFESSOR	KINESIOLOGY	8/23/2015
LESNIAK, LYNN	U ASST DIRECTOR	PROCUREMENT SERVICES	8/14/2015
LU, KOHYA	DEPT HD STU AFFAIRS	RESIDENTIAL LIFE OFC	8/3/2015
MACDONALD, SCOTT L.	UC PROFESSIONAL 07 - SYSTEM ADMIN I	FINANCIAL AID OFC	8/26/2015
MALINOSKI, CHRISTOPHER P.	UC PROFESSIONAL 06 - LABORATORY SUPV	BIOLOGY CENTRAL SERVICES	8/21/2015
MANZO, ELIZABETH B.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	VICE PRES FOR RESEARCH	8/7/2015
MARSHALL, INA F.	UC PROFESSIONAL 05 - PROGRAM COORD	VP GLOBAL AFFAIRS	7/24/2015
MASHMASARMI, ERAJ	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/24/2015
MCGARY, NIKKI K.	VISITING INSTRUCTOR	WOMENS,GENDER & SEXUALITY	8/23/2015
MENUZ, KAREN	ASSISTANT PROFESSOR	PHYSIOLOGY & NEUROBIOLOGY	8/23/2015
MISIPEKA, LISA V.	SPECIALIST IA - ASSISTANT COACH	ATHLETICS WMNS INTERCOL	7/21/2015
MOSTOVYI, OLEKSII	ASSISTANT PROFESSOR	MATHEMATICS	8/23/2015
NAPIORSKI, JENNIFER A.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/24/2015
NEBRES, JOSE R.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	LIBERAL ARTS & SCI DEAN	8/14/2015
O'CONNELL, CHRISTOPHER B.	ACAD ASSISTANT III	PHYSIOLOGY & NEUROBIOLOGY	8/7/2015
O'MALLEY, ALANA C.	U POST DOC FEL 1 - PRE-DOC INTERN	STUDENT HEALTH SERVICES	8/3/2015
PAGET, AARON C.	U POST DOC FEL 1	MARINE SCIENCES/MSTC	8/21/2015
PERRINO, PETER	RESEARCH ASSISTANT I	PSYCHOLOGY	8/21/2015
PITTS, AMANDA J.	U ED ASSISTANT 3	PROVOST & EXVP ACAD AFF	8/27/2015
POIRIER, JESSICA L.	UC PROFESSIONAL 06 - ADMIN SERV ASST IV	BUSINESS DEAN OFC	7/24/2015
RECCHIA, DENNIS	ASST PROF IN RES	DIGITAL MEDIA	8/23/2015
RICHARD, ALAIN D.	UC PROFESSIONAL 07 - FACILITIES PROF II	ACADEMIC RENOVATIONS OPS	8/7/2015
RINCON, BLANCA E.	ASSISTANT PROFESSOR	EDUCATIONAL LEADERSHIP	8/23/2015
RIZZIE, ANTHONY	VISITING ASST PROF	MATHEMATICS	8/23/2015
RIZZIE, ERIN	VISITING ASST PROF	MATHEMATICS	8/23/2015
ROOT, MELISSA M.	ASST PROF IN RES	EDUCATIONAL PSYCHOLOGY	8/31/2015
RUIZ MARTINEZ, MARIE C.	ASST PROF IN RES	REGIONAL CAMPUSES	8/23/2015
SOCHNIKOV, ILYA	ASSISTANT PROFESSOR	PHYSICS	8/23/2015
SUN, YU	U POST DOC FEL 1	MATERIALS SCIENCE INST	9/4/2015

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NAME	TITLE	DEPARTMENT	DATE
TEREPKA, ANGELA	U POST DOC FEL 1 - PRE-DOC INTERN	STUDENT HEALTH SERVICES	8/3/2015
UTKE, STEVEN	ASSISTANT PROFESSOR	ACCOUNTING DEPT	8/23/2015
WALKER, DEVON M.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/24/2015
WANIK, DAVID W.	U POST DOC FEL 1	CIVIL & ENVIRON ENG	9/1/2015
WARE, CHRISTINE M.	UC PROFESSIONAL 05 - FINANCIAL ASST II	SPONSORED PROGRAMS	8/7/2015
WATERS, AMANDA J.	UC PROFESSIONAL 10 - SHS PSYCHOLOGIST	STUDENT HEALTH SERVICES	8/24/2015
WOOD, WILLIAM M.	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	8/24/2015
ZHANG, LI	U POST DOC FEL 1	PHYSIOLOGY & NEUROBIOLOGY	8/31/2015
ZHAO, BIN	U POST DOC FEL 1	MATHEMATICS	8/23/2015

University of Connecticut Department of Human Resources
Separations Processed from July 23, 2015 - September 15, 2015
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NAME	TITLE	SEPARATION REASON	DEPT	DATE
ALLISON, EDITH E.	UC PROFESSIONAL 10 - OPER SYS PROG/ANAL 4	RESIGNATION (GOOD STANDING)	SECURE	7/31/2015
ANDERSON, SHAYNE R.	ASSISTANT PROFESSOR - ACTING DIRECTOR	RESIGNATION (GOOD STANDING)	HDFS	7/20/2015
ARISTIZABAL VARGAS, MARIA	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	MS/MST	8/14/2015
BALLARD, SHELBY L.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	RESIGNATION (GOOD STANDING)	COMM	7/23/2015
BATAILLE, AMY M.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	NUSC	8/18/2015
BENZECRY, CLAUDIO	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	SOCI	8/22/2015
BIHUNIAK, JESSICA D.	LECTURER	RESIGNATION (GOOD STANDING)	AHS	7/31/2015
BORTFELD, HEATHER	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	PSYC	8/22/2015
BOTHELL, JOAN	UC PROFESSIONAL 07 - TRAINING/DEV COORD	RETIREMENT (55/W 10-24 YEARS)	EXTENS	7/31/2015
BURKHARD, PETER	PROFESSOR	RESIGNATION (GOOD STANDING)	IMS	8/22/2015
CAMP, TIFFANY L.	UC PROFESSIONAL 04 - U LIB ASST II-LAW	RESIGNATION (GOOD STANDING)	LAW	9/10/2015
CHEN, THOMAS T.	PROFESSOR	RETIREMENT (55/W 10-24 YEARS)	MCB	7/31/2015
DEBERARDINIS, ALBERT	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	7/31/2015
DELAIRE, VICTOR	UC PROFESSIONAL 06 - TECHNICAL ASST III	RESIGNATION (GOOD STANDING)	ANSC	7/31/2015
DESLOGE, CINDY L.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION (GOOD STANDING)	ADMIN	7/31/2015
DEXTER, MARIE	UC PROFESSIONAL 10 - ASST COMP MANAGER	RESIGNATION (GOOD STANDING)	EAS	7/31/2015
DIAZ, DESIREE A.	ASSOC CLIN PROF	RESIGNATION (GOOD STANDING)	NURS	8/22/2015
DIAZ, MARIO	SPECIALIST IA	RESIGNATION (GOOD STANDING)	ATH	8/7/2015
DOBRYNIN, ANDREY V.	PROFESSOR	RESIGNATION (GOOD STANDING)	IMS	8/22/2015
EHRET, MEGAN	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	PHPRC	7/31/2015
ERICKSON, JOY P.	ACAD ASSISTANT II	RETIREMENT (25+ YRS SERVICE)	ENGR	7/31/2015
FEATHERS, MARY V.	UC PROFESSIONAL 06 - PROGRAM ADMIN	RETIREMENT (55/W 10-24 YEARS)	ENGAGE	7/31/2015
FERRETTI, MATTHEW R.	UC PROFESSIONAL 06 - PROD TECH ANAL III	RESIGNATION (GOOD STANDING)	NED	7/31/2015
FISCHL, PAMELA L.	UC PROFESSIONAL 06 - PROGRAM SPEC I	RESIGNATION (GOOD STANDING)	REGIS	8/14/2015
FISHER, LINDA	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	ECON	8/22/2015
FORTE, DOMENIC	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	ECE	8/22/2015
GAULT, MEG E.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION (GOOD STANDING)	ACTPAY	8/24/2015

University of Connecticut Department of Human Resources
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NAME	TITLE	SEPARATION REASON	DEPT	DATE
GERRARD, OMEGA	RESEARCH PROFESSOR	RESIGNATION (GOOD STANDING)	PSYC	8/22/2015
GOODHEART, LAWRENCE B.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	RG CMP	8/31/2015
GOODSTEIN, DOUGLAS	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	8/31/2015
GORDON, KENNETH	ASSOC PROF IN RES	RESIGNATION (GOOD STANDING)	ME	8/22/2015
GRANT, BRID	DEAN	RESIGNATION (GOOD STANDING)	FA	7/24/2015
GROSS, RENEE M.	UC PROFESSIONAL 07 - PROGRAM SPEC II	RESIGNATION (GOOD STANDING)	CHIP	7/15/2015
GUO, YANBING	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	8/31/2015
HARLAND, LAWRENCE E.	UC PROFESSIONAL 09 - OPER SYS PROG/ANAL 3	RETIREMENT (25+ YRS SERVICE)	SECURE	7/31/2015
HAZEN, CAROL	UC PROFESSIONAL 07 - PROGRAM MANAGER	RESIGNATION (GOOD STANDING)	CHIP	8/31/2015
HESTER, TEKECHIA R.	UC PROFESSIONAL 06 - PROGRAM SPEC I	RESIGNATION (GOOD STANDING)	VPRES	7/31/2015
HONEYCUTT, CHRISTINA J.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	RESIGNATION (GOOD STANDING)	OSA	8/19/2015
HUO, LU	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	9/4/2015
HYARY, MAIA	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	CHIP	8/6/2015
ILIN, VLADIMIR	RESEARCH ASSOCIATE 1	RESIGNATION (GOOD STANDING)	PSYC	9/4/2015
JUE, NATHANIEL K.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	MCB	8/6/2015
KEUL, ADAM	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	RG CMP	8/22/2015
KIM, JANG KYUN	ASST RESEARCH PROF	RESIGNATION (GOOD STANDING)	MS/MST	8/31/2015
KUMAR, KAMAL	ASSOC PROF IN RES	RESIGNATION (GOOD STANDING)	ME	8/22/2015
LAMONT, ROSELYN	UC PROFESSIONAL 07 - DINING SVCS AREA MGR	RETIREMENT (55/W 10-24 YEARS)	FOOD	8/31/2015
LEE, MIHWA	UC PROFESSIONAL 09 - EXEC PROGRAM DIR	RESIGNATION (GOOD STANDING)	IS&S	8/6/2015
LEYDEN, DIANA	CLIN PROF 11MO	RESIGNATION (GOOD STANDING)	LAW	7/24/2015
LI, JIN	UC PROFESSIONAL 03 - LAB TECHNICIAN I	RESIGNATION (GOOD STANDING)	PHSCI	8/27/2015
LOMBARD, KAREN B.	UC PROFESSIONAL 07 - MANAGER-LAB SERV	RETIREMENT (25+ YRS SERVICE)	BIOL	7/31/2015
MACKAY, ALLISON A.	ASSOCIATE PROFESSOR - DIRECTOR	RESIGNATION (GOOD STANDING)	CESE	8/22/2015
MADYCH, WOLODYMYR R.	PROFESSOR	RETIREMENT (55/W 10-24 YEARS)	MATH	8/31/2015
MAHAPATRA, MANOJ K.	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	MS&E	7/31/2015
MANDEVILLE, JAMES T.	UC PROFESSIONAL 10 - ASST COMP MANAGER	RETIREMENT (55/W 10-24 YEARS)	SECURE	7/31/2015

University of Connecticut Department of Human Resources
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NAME	TITLE	SEPARATION REASON	DEPT	DATE
MARTIN, GERARD	INSTR IN RES	RETIREMENT (DISABILITY: NON-SERV	KINESI	8/31/2015
MAYNARD, MARC G.	ACAD ASSISTANT II	RETIREMENT (25+ YRS SERVICE)	ISI	8/31/2015
MCCUTCHEON, PRISCILLA	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	GEOG	8/22/2015
MURPHY, SUSAN C.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	DEATH (NON-EMPLOYMENT RELATED)	AGPUB	8/19/2015
NYE, LANCE R.	UC PROFESSIONAL 10 - MGR AUDIO VISUAL TEC	RESIGNATION (GOOD STANDING)	NED	8/31/2015
O'CONNOR, RYAN	UC PROFESSIONAL 08 - COMP TECH SUP CONS 3	RESIGNATION (GOOD STANDING)	SECURE	7/24/2015
O'DONNELL, DIANA M.	UC PROFESSIONAL 07 - ADMIN MANAGER II	RETIREMENT (55/W 10-24 YEARS)	EAS	7/31/2015
OLDHAM, ASHLEY C.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	EPSY	8/14/2015
OUIMETTE, MATTHEW D.	UC PROFESSIONAL 03 - ADMISSIONS COUNSELOR	RESIGNATION (GOOD STANDING)	ADMISS	8/6/2015
POCCHIARI, SUSAN K.	ASST CLIN PROF 11MO	RESIGNATION (GOOD STANDING)	LAW	8/31/2015
PURI, ANSHUL	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	RESIGNATION (GOOD STANDING)	OIRE	8/28/2015
RENFRO, MICHAEL W.	PROFESSOR	RESIGNATION (GOOD STANDING)	ME	8/22/2015
RUDRAIAH, SWETHA	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PNB	9/11/2015
SEBRANEK, ERIC	UC PROFESSIONAL 03 - LAB TECHNICIAN I	RESIGNATION (GOOD STANDING)	CHEM	7/17/2015
SEVILLA, ELENA H.	UC PROFESSIONAL 09 - OPER SYS PROG/ANAL 3	RETIREMENT (55/W 10-24 YEARS)	SECURE	8/31/2015
SHARMA, SHIKHA	UC PROFESSIONAL 10 - U LIBRARIAN IV	RESIGNATION (GOOD STANDING)	LIB	8/6/2015
SIMONS, DEBRA A.	ASSOC CLIN PROF	RETIREMENT (55/W 10-24 YEARS)	NURS	8/31/2015
SINCLAIR, JAMES P.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	ACCT	8/22/2015
SRIKANTH, RADHA S.	UC PROFESSIONAL 05 - U LIBRARY ASST II	RETIREMENT (55/W 10-24 YEARS)	LIB	8/31/2015
SUEN, MING HON	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	ECON	8/22/2015
SURESH, ARAVIND	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	CHEG	8/22/2015
TAO, HAIYING	ASSOC RES SCIENTIST	RESIGNATION (GOOD STANDING)	PLSC	8/23/2015
TAYLOR, PATRICK L.	UC PROFESSIONAL 08 - COMP TECH SUP CONS 3	RESIGNATION (GOOD STANDING)	IT SVC	7/31/2015
TEHRANIPOOR, MOHAMMAD	PROFESSOR	RESIGNATION (GOOD STANDING)	ECE	8/22/2015
TOWLES, LATASHA R.	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	RESIGNATION (GOOD STANDING)	OSP	8/20/2015
TRIBBE, MATTHEW D.	VISITING ASST PROF	RESIGNATION (GOOD STANDING)	HIST	8/22/2015
TSE, SUK Y.	UC PROFESSIONAL 09 - DATABASE ADMIN 2	RESIGNATION (GOOD STANDING)	CONTRL	9/11/2015

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NAME	TITLE	SEPARATION REASON	DEPT	DATE
WANG, SHIH-LUN A.	PROFESSOR	RESIGNATION (GOOD STANDING)	RG CMP	8/22/2015
WARD, DENISE M.	LECTURER 11MO	RETIREMENT (55/W 10-24 YEARS)	KINESI	8/31/2015
WARD, KATHERINE A.	UC PROFESSIONAL 06 - PROGRAM SPEC I	RESIGNATION (GOOD STANDING)	WELLNE	7/31/2015
WARDEN-RODGERS, LAUREN T.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	RESIGNATION (GOOD STANDING)	ENGR	7/31/2015
WARREN, JOSEPH K.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	MS/MST	8/22/2015
WAYNE, JULIANNE	ASSOCIATE PROFESSOR - DIRECTOR	RETIREMENT (55/W 10-24 YEARS)	SW	7/31/2015
XU, CHEN	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ECE	7/16/2015
YANEZ, ROBERT S.	UC PROFESSIONAL 09 - EXEC PROGRAM DIR	RESIGNATION (GOOD STANDING)	RESLIF	9/15/2015
ZABEL, CHELSEA L.	UC PROFESSIONAL 03 - PROG ASSISTANT I	RESIGNATION (GOOD STANDING)	UCAELI	8/10/2015
ZHANG, ZHONGJU	PROFESSOR	RESIGNATION (GOOD STANDING)	CITI	8/22/2015

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 9/16/15
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NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Cui, Jun-Hong	Professor	Computer Sci. & Engineering	8/23/15 -8/22/16	Personal leave - unpaid
Dann, Amy	Computer Tech Consultant (UCP VII)	CLAS Dean's Office	3/19/15 - 4/19/15	Medical leave with pay
Eyler, Rachel	Assistant Clinical Professor	Pharmacy Practice	7/16/15 - 8/26/15	Maternity leave with pay
Eyler, Rachel	Assistant Clinical Professor	Pharmacy Practice	8/27/15 - 9/9/15	Parental leave - unpaid
Gui, Changfeng	Professor	Mathematics	8/23/15 - 12/31/15	Personal leave - unpaid
Hamilton, Doug	Professor	Physics	8/23/15 - 8/22/16	Other - Pre-retire. work reduction 50% pay
Ripley, Scott	Associate Professor	Dramatic Arts	11/4/15 - 8/22/16	Other - with 91% pay
Stwalley, William	Board of Trustees Distinguished Professor	Physics	8/23/15 -8/22/16	Other-Per MOU partial pay 75% for 1.5 yrs.
Wang, Jia	Postdoctoral Fellow 1	Physics	6/15/15 - 8/28/15	Parental leave - unpaid
Zhang, Bi	Professor	Mechanical Engineering	8/23/15 -8/22/17	Other - Research Dalian Univ. - unpaid
Zhang, Yashan	Assistant Professor in Residence	Chemistry	8/23/15 -12/31/15	Personal leave - unpaid

COMMITTEE AGENDAS

AGENDA

Meeting of the BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE

September 18, 2015, 10:30 a.m.

University of Connecticut
Rome Ballroom – Storrs, CT

ACTION ITEMS:

- 1) Discussion of Assuming Construction Management Oversight (CMOC) Responsibilities in Absence of Committee Appointments.
- 2) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of June 11, 2015, as circulated 1
- 3) Project Budget for Student Recreation Center (formerly named Intramural, Recreational and Intercollegiate Facilities – Recreation Center) (Revised Planning: \$2,500,000) 2

PRESENTATION/DISCUSSION ITEMS:

- 4) September 2015 Quarterly Report on Construction Performance Reported by the Office of Construction Assurance 3
- 5) Updates:
 - Athletic Facilities Update 4
 - Recreation Center Update 5
 - Hotel Development Opportunities 6
 - PowerPoint Presentation 7
- 6) Updates on Operational and Organizational Activities, and Improvements
 - Planning, Architecture & Engineering Services (PAES) 8
 - Capital Project and Contract Administration (CPCA) 9
- 7) Status of Code Correction Projects
 - Construction Management Oversight Committee Monthly Code Correction Status Report – Code Exception Report 10
 - Quarterly Construction Status Report, Period Ending June 30, 2015
http://paes.uconn.edu/UCONN_Quarterly_Construction_Status_Report_06302015.pdf
- 8) Project Updates:
 - Storrs Based Programs 11
 - UConn Health 12
 - BioScience Connecticut Monthly Capital Projects Report 13
- 9) 2016 Committee Meeting Schedule 14

EXECUTIVE SESSION (As Needed)

The next meeting of the BGE Committee is December 2, 2015

AGENDA
Meeting of the
CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE
September 18, 2015, 10:30 a.m.

PRESENTATIONS AND DISCUSSIONS:

1. Construction Management Oversight Committee Membership

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

UCONN School of Law
Law Library Conference Room 316

Tuesday, September 8, 2015
1:30 p.m.

1. Acceptance of the Minutes from June 9, 2015.....Ms. Gándara
Attachment 1
2. Institutional Advancement Committee member list.....Ms. Gándara
Attachment 2
3. 2016 IA Meeting dates.....Ms. Gándara
Attachment 3
4. Naming of Centers.Mr. Silbart
Attachment 4
5. Update on Master Agreement Law School Foundation.....Mr. Nevins
6. UConn Foundation Report.....Mr. Newton
7. Alumni Association ReportMs. Cotton Kelly
8. University Communications ReportMr. Kendig

Executive session anticipated

Joint Audit & Compliance Committee Agenda

10:00 am – 10:45 am – Executive Session
10:45 am – 12:00 pm - Public Meeting

REVISED

Issue	Proposed Action	Tab
<p>Executive Session to discuss:</p> <ul style="list-style-type: none"> C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public's interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)] C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Approval	None
Opportunity for Public Comment		None
Minutes of the May 7, 2015, JACC Meeting	Approval	1
<p>Storrs & UConn Health Significant Compliance Activities</p> <ul style="list-style-type: none"> Data Exposure in School of Engineering Athletics ICD-10 - Storrs and UConn Health 	Update	2
Annual Audit & Compliance Plans – Storrs and UConn Health	Approval	

Joint Audit & Compliance Committee Agenda

10:00 am – 10:45 am – Executive Session

10:45 am – 12:00 pm - Public Meeting

REVISED

Issue	Proposed Action	Tab
Significant Audit Activities (Storrs & UConn Health) <ul style="list-style-type: none"> Status of Audit Assignments Audit Follow-up Activity 	Update	3
Auditor of Public Accounts <ul style="list-style-type: none"> Auditors' Report – University of Connecticut for the Fiscal Years Ended June 30, 2012 and 2013 - Storrs 	Presentation	4
External Engagements <ul style="list-style-type: none"> Approval to Hire – BKD - Annual Agreed-Upon Procedures to the Statements of Revenues & Expenses of the UConn's Athletics Program Approval to Hire – McGladrey - Annual Audit and Agreed Upon Procedures - UCONN 2000 Construction Projects Expenditures 	Approval	5
Informational/Educational Items <ul style="list-style-type: none"> Compliance Newsletters – Storrs & UConn Health Current Issues in Compliance Newsletters Practical Guidance for Health Care Governing Boards on Compliance Oversight, April 20, 2015 JACC Agenda Forecast 	Information Only	6
Conclusion of Full Meeting		
Information Session with OACE's Chief Audit & Compliance Officer and Direct Reports		

*The next meeting of the JACC will be held on Tuesday, December 1, 2015 at 10:00 am
Rome Commons Ballroom, Storrs*

Individual Responsibility, Institutional Success

**THE UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE
September 30, 2015**

**Ground Level Portico, Lewis B. Rome Commons, Storrs Campus
*12:00 noon (anticipated), following the meeting of the Board of Trustees***

AGENDA

- | | | |
|-----|---|------------------------------------|
| 1. | Call to order | Trustee Cantor |
| 2. | Review and Approval of minutes of 4/29/15 meeting | Trustee Cantor |
| 3. | Chair Report | Trustee Cantor |
| 4. | SUBOG, USG, Nutmeg Yearbook and Daily Campus Constitution Updates | Christine Wilson |
| 5. | Division of Student Affairs Strategic Plan | Michael Gilbert
Dan Doerr |
| 6. | Recreation Center Update | Cyndi Costanzo |
| 7. | Vice President for Student Affairs Report | Michael Gilbert |
| 8. | Student Trustees Report | Trustee Jelliffe
Trustee Rifkin |
| 9. | Proposed 2016 Meeting Schedule | All |
| 10. | Other Business | All |
| 11. | Adjournment | Trustee Cantor |

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, September 30, 2015
Rome Commons Ballroom
Storrs, Connecticut
9:00 a.m.

	<u>COMMITTEE</u>	<u>ATTACHMENT</u>
1) Minutes of the Academic Affairs Committee Meeting of August 5, 2015, as circulated	A	
<u>ACTION ITEMS:</u>		
2) Designation of Emeritus Status		4
3) Sabbatical Leave Recommendations		5
4) Appointment of Professor Peter Siegelman to the Phillip I. Blumberg Professor of Law Endowed Chair in the School of Law		6
5) Appointment of Professor Alexandra Lahav to the Ellen Ash Peters Professor of Law Endowed Chair in the School of Law		7
6) Intellectual Property and Commercialization Policy		8

PRESENTATION ITEM:

7) Michael Lynch, Professor of Philosophy and Director of the Humanities Institute

EXECUTIVE SESSION *(As Needed)*

ATTACHMENT A

DRAFT MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
August 5, 2015

Committee Trustees: Carbray, Dennis-LaVigne, McHugh

Additional Trustees: Bessette, Bunnell, Gandara, Kruger, Nayden

University Senate: Beck, Kendall, Simsek

Staff: Agwunobi, Andrews, Bedard, Biancamano, Callahan, Carone, Chiaputti, Cruickshank, D'Alleva, Donahue, Egan, Elliott, Fazio, Fearney, Fisher, Galloway, Gelston, Geoghegan, Gilbert, Glasgow, Heller, Herbst, Holsinger, Hood, Jordan, Kazerounian, Larson, Liang, Locke, Locust, Lucas, Mundrane, Nair, Olinghouse, Patel, Reis, Reitz, Rola, Rubin, Seemann, Silbart, Slowik, Strassfeld, Wetstone, Wilder, Zehner

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus.

On a motion by Trustee Carbray, seconded by Chairwoman Dennis-LaVigne, the minutes of the June 8, 2015, meeting were approved as circulated.

Moved by Chairwoman Dennis-LaVigne, seconded by Trustee Carbray, the Committee recommended tabling *Action Item #8, Master of Fine Arts in Arts Administration in the School of Fine Arts*, and *Action Item #12, Intellectual Property and Commercialization Policy* to the full Board.

Provost Choi introduced *Action Item #2, Sabbatical Leave Recommendations*. Moved by Chairwoman Dennis-LaVigne, seconded by Trustee Carbray, the Committee recommended approval of all sabbatical leave recommendations to the full Board.

Provost Choi introduced *Action Item #3, Reappointment of Dr. Robert Bird to the Eversource Chair in Business Ethics in the School of Business; Action Item #4, Reappointment of Dr. Chinmoy Ghosh as the Gladstein Professor of Business and Innovation in the School of Business; Action Item #5, Reappointment of Dr. Ram Gopal as the GE Capital Endowed Professor of Business in the School of Business; Action Item #6, Reappointment of Professor Nicholas Lurie as the Voya Financial Professor in the School of Business; and Action Item #7, Reappointment of Michael Willenborg to the Richard F. Kochnek Professorship in Accounting in the School of Business*. Moved by Chairwoman Dennis-LaVigne, seconded by Trustee Carbray, the Committee recommended approval of the five reappointments to endowed professorships or chairs to the full Board.

Provost Choi introduced *Action Item #9, Graduate Certificate in Digital Marketing Strategy*. Provost Choi introduced Dr. Suresh Nair, Professor and Associate Dean, School of Business, who provided the Committee with a brief summary of the Graduate Certificate. Moved by Chairwoman Dennis-LaVigne, seconded by Trustee Carbray, the Committee recommended approval of the Graduate Certificate in Digital Marketing Strategy to the full Board.

Provost Choi introduced *Action Item #10, Post-Baccalaureate and Graduate Certificates in Literacy Supports for Students With and At-Risk for Learning Disabilities*. Provost Choi introduced Dr. Natalie Olinghouse, Associate Professor of Educational Psychology, who provided the Committee with a brief

summary of the Post-Baccalaureate and Graduate Certificates. Moved by Chairwoman Dennis-LaVigne, seconded by Trustee Carbray, the Committee recommended approval of the Certificates to the full Board.

Provost Choi introduced ***Action Item #11, Approval to Amend the By-Laws of the University of Connecticut: Article XV.G – General Policies and Practices.*** Moved by Chairwoman Dennis-LaVigne, seconded by Trustee Carbray, the Committee recommended approval to amend the *By-Laws of the University of Connecticut* to the full Board.

Provost Choi introduced ***Action Item #13, Enhancement of Veterans Benefits.*** Moved by Trustee Carbray, seconded by Chairwoman Dennis-LaVigne, the Committee recommended approval of Enhancement of Veterans Benefits to the full Board.

Provost Choi introduced ***Action Item #14, Establishment of the Comcast Chair in Cyber Security in the School of Engineering.*** Moved by Trustee Carbray, seconded by Chairwoman Dennis-LaVigne, the Committee recommended establishment of the Comcast Chair to the full Board.

Provost Choi introduced ***Informational Item #15, Renaming the Department of Psychology to the Department of Psychological Sciences in the College of Liberal Arts and Sciences.***

Provost Choi introduced ***Informational Item #16, Renaming the Public Health Certificate in Interdisciplinary Disability Studies to Disability Studies in Public Health.***

Provost Choi introduced ***Informational Item #17, Centers and Institutes.***

Provost Choi introduced ***Informational Item #18, Academic Program Inventory.***

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:17 a.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
September 30, 2015 at 9:15 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

	<u>ATTACHMENT COMMITTEE</u>	<u>LOCATION FULL BOARD</u>
1) Approval of the Minutes of the Financial Affairs Committee Meeting of August 5, 2015, as circulated	A	

PRESENTATION ITEM:

- 2) Supplier Diversity Program Overview

ACTION ITEMS:

- | | |
|--|----|
| 3) Contracts and Agreements for Approval | 1 |
| 4) Fiscal Year 2016 – 2025 Implementation of Oracle Unifier Capital Project Management Software System at Storrs and the Regional Campuses | 2 |
| 5) Annual Report of the Endowed Chair Program Entitled “Aetna English Chair in Writing” | 3 |
| 6) Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and Equipment Expenditures | 10 |

PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>		<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
7) Gant Building Renovations – STEM		Revised Planning	\$5,000,000	11
8) Student Recreation Center (formerly named Intramural, Recreational and Intercollegiate Facilities – Recreation Center)		Revised Planning	\$2,500,000	12
9) UCONN 2000 Code Remediation – Holcomb/Whitney/ Sprague Dormitories		Final	\$575,000	13
10) UCONN 2000 Code Remediation – South Campus Laundry Alterations		Final	\$625,000	14
11) UCONN 2000 Code Remediation – White Building/Dairy Bar		Final	\$550,000	15

PROJECT BUDGETS FOR APPROVAL (Continued):

<u>UCONN HEALTH</u>	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
UCH 3T MRI Renovations	Design	\$3,825,000	16
12) UCH Main Building Renovation – Lab (“L”) Building Renovations Project 2	Final	\$41,389,671	17
13) UCH New Construction and Renovation: Clinic Building (“C”) Renovations	Final	\$91,314,604	18

INFORMATION ITEMS:

14) Contracts and Agreements for Information	B
15) Construction Project Status Report	(Under Separate Cover)
16) Master Schedule for UCONN 2000 Phases I, II & III (as of 8/31/14)	(Under Separate Cover)
17) Status of Capital Projects > \$500,000	(Under Separate Cover)

EXECUTIVE SESSION (As Needed)

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
August 5, 2015

TRUSTEES PRESENT: Andy Bessette, Charles Bunnell, Richard Carbray, Andrea Dennis-LaVigne, Marilda Gandara, Thomas Kruger, Larry McHugh, Denis Nayden (*via Telephone*), and David Rifkin

STAFF PRESENT: Andrew Agwanobi, Carolle Andrews, John Biancamano, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Mun Choi, Robert Corbett, Laura Cruickshank, Amy Donahue, Michael Eagen, Joanne Fazio, Kim Fearney, David Galloway, Nicole Gelston, Jeff Geoghegan, Michael Gilbert, Brian Gore, Susan Herbst, Kent Holsinger, Scott Jordan, Matthew Larson, Bruce Liang, Susan Locke, Warde Manuel, Michael Mundrane, Brandon Murray, Suresh Nair, Bhupen Patel, Sally Reis, Stephanie Reitz, Rachel Rubin, Jeffrey Seeman, Larry Silbart, Eli Strassfeld, Jack Sullivan, Scott Wetstone, Dana Wilder, Reka Wrynn and Andrew Zehner

UNIVERSITY SENATE MEMBERS PRESENT:
Cheryl Beck, Douglas Hamilton, Debra Kendall and Angela Rola

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:30 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. On a motion by Trustee Bessette and seconded by Trustee Carbray the committee accepted a revised agenda to add an amended contract to agenda Item #2, Contracts and Agreements for Approval, for the purchase of a rear-mounted aerial platform truck for the Department of Public Safety.

On a motion by Trustee Bessette and seconded by Trustee Carbray the minutes of the meetings of June 24, 2015 were approved as circulated.

Matthew Larson, Director of Procurement, presented an overview of agenda ***Item #2, Contracts and Agreements for Approval-Revised*** including the additional amended agreement for the Public Safety vehicle purchase. On a motion by Trustee Carbray and seconded by Trustee Bessette the item was recommended to the full Board for approval.

Nicole Gelston, Associate General Counsel, provided background information on agenda ***Item #3, Proposed Acceptance and Lease of Alumni Center***. On a motion by Trustee Cantor and seconded by Trustee Bessette the item was recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, explained the project budgets for Storrs based programs presented for approval which included: ***North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III (Revised Planning: \$1,500,000); Heating Plant Upgrade – Emergency Power System Upgrade (Design:***

\$2,500,000); and *Biobehavioral Complex Replacement – Science 4 Annex Reroofing (Final: \$1,000,000)*. On a motion by Trustee Bessette and seconded by Trustee Carbray the committee recommended the Project Budgets to the full Board for approval.

Mr. Kruger directed the committee to the informational agenda items. There being no additional agenda items the meeting was adjourned at 9:41 a.m. on a motion by Trustee Carbray and seconded by Trustee Bessette.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B

CONTRACTS AND AGREEMENTS
FOR INFORMATION
September 30, 2015

PROCUREMENT - NEW										
CONSTRUCTION SERVICES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	All-Phase Enterprises, Inc.	201471	\$931,679	6/26/15-10/25/15	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Code upgrades to the Mansfield Apartments in Storrs. <i>(Revised Final Project Budget approved by the BOT on 03/25/15 - \$2,276,000)</i>			
2	Giordano Construction Co., Inc.	901954	\$4,294,000	06/16/15-01/15/16	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Replacement of utilities within the Young Quad on the Storrs Campus. <i>(Final Budget approved by the BOT on 03/25/15 - \$5,250,000)</i>			
3	Greenwood Industries, Inc.	201629	\$1,860,000	05/19/15-08/01/15	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Roof replacement and building envelope repairs for Hilltop Apartments on the Storrs Campus. <i>(Final Budget approved by the BOT on 03/25/15 - \$2,378,000)</i>			
4	Milton C. Beebe & Sons, Inc.	901675	\$3,521,379	06/15/15-06-14-16	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Rebuilding and upsizing Gurleyville Sewage Pump Station and replacement of 1,000 linear feet of sewer line and related repairs on the Storrs Campus. <i>(Final Budget approved by the BOT on 04/29/15- \$5,000,000)</i>			
5	Universal Preservation Group, Inc.	201614	\$7,999,164	07/07/15-11/28/16	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Envelope repairs to Wilson, Rosebrooks, Snow and Rome Halls on the South Campus of the Storrs Campus. <i>(Revised Final Budget approved by the BOT on 03/25/15 - \$18,500,000)</i>			
PROCUREMENT - AMENDMENTS										
ARCHITECTURAL SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	H3 Hardy Collaboration Architecture, LLC	901667	\$1,346,950 <i>[Contract Value Previously \$379,336; Total New Contract Value \$1,726,286]</i>	12/16/13-12/31/17	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	\$688,146	\$354,818	\$219,311	Architectural services for Fine Arts Phase II - Renovation and Improvements on the Storrs Campus. Fourth Amendment. Amend to increase contract value by \$1,346,950 for a total new contract value of \$1,726,286. (Design Budget approved by the BOT on 04/29/15 - \$23,500,000)
2	Hazen and Sawyer, P.C.	901229	\$62,852 <i>[Contract Value Previously \$1,499,129; Total New Contract Value \$1,561,981]</i>	08/01/08-12/31/14	Multiple	Laura Cruickshank, University Master Planner and Chief Architect	\$925,490	\$50,317	\$138,217	Architectural services for the Water Demand and Re-Use Project on the Storrs Campus. Fourth Amendment. Amend to increase contract value by \$62,852 for a total new contract value of \$1,561,981. (Revised Final Budget approved by the BOT on 01/26/11 - \$28,951,000)
3	Mitchell/Giurgola Architects, LLP	901376	\$474,886 <i>[Contract Value Previously \$6,437,144; Total New Contract Value \$6,912,030]</i>	12/01/09-01/09/17	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	\$5,285,098	\$2,599,170	\$2,435,044	Architectural services for the School of Engineering Complex. Fourth and Fifth Amendments. Amend to increase contract value by \$474,886 for a total new contract value of \$6,912,030. (Final Project Budget approved by the BOT on 06/24/15 - \$95,000,000)
4	Perkins Eastman Architects, P.C., P.P.C. (formerly known as Perkins Eastman Architects, P.C.)	901756	\$13,024 <i>[Contract Value Previously \$1,924,880; Total New Contract Amount \$1,937,904]</i>	02/07/14-06/30/17	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	\$1,445,301	\$1,197,977	\$223,093	Architectural services for Monteith Building Assessment and Renovations on the Storrs campus. First Amendment to second contract. Amend to increase contract value by \$13,024 for a total new contract value of \$1,937,904. (Final Project Budget approved by the BOT on 06/24/15 - \$25,000,000)

CONTRACTS AND AGREEMENTS
FOR INFORMATION
September 30, 2015

CONSTRUCTION MANAGEMENT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Fusco Corporation	901376	\$72,787,587 [Contract Value Previously \$237,071; Total New Contract Value \$73,024,658]	12/23/13-04/19/17	Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	\$1,314,757	\$1,314,757	\$0	Construction of Engineering & Science Building on the Storrs campus. Amend to provide guaranteed maximum price of \$72,787,587 for the project and to effect correlating increase to the contract value. (Final Budget approved by the BOT on 06/24/14 - \$95,000,000)
IT SOFTWARE & SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Connecticut Distance Learning Consortium	88464	\$269,738 [Contract Value Previously \$475,278; Total New Contract Value \$745,016]	07/01/12-06/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$745,016	\$253,309	\$277,709	Access to Blackboard Mobile Learn platform, which gives students and faculty access to courses and content on a variety of devices. Amend to increase contract value by \$269,738 for a total new contract value of \$745,016. Zero extensions remain.
MASTER PLANNING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Skidmore, Owings & Merrill, LLP	201631	\$26,400 [Contract Value Previously \$1,504,000; Total New Contract Value \$1,565,735]	02/24/14-03/30/15	Multiple Sources	Laura Cruickshank, University Master Planner and Chief Architect	\$1,542,728	\$1,077,818	\$384,750	Master Planning services for the Storrs campus. Amend to increase contract value by \$26,400 for a total new contract value of \$1,565,735. (Revised Planning Budget approved by the BOT on 02/26/2014 - \$3,000,000)
ON-CALL CONSULTANT SERVICES - CIVIL AND STRUCTURAL ENGINEERING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	CDM Smith, Inc.	012.1-3-500-22916	\$400,000 [Contract Value Previously \$500,000; Total New Contract Value \$900,000]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$142,283	\$31,750	\$110,532.50	On-call civil and structural engineering services for all University campuses, including UCH. Amend to increase contract value by \$400,000 for a total new contract value of \$900,000. Zero extensions remain.
ON-CALL CONSULTANT SERVICES - MEP/LIFE SAFETY & FIRE PROTECTION ENGINEERING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	CDM Smith, Inc.	012.2-3-500-22916	\$400,000 [Contract Value Previously \$500,000; Total New Contract Value \$900,000]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$61,743	\$45,975	\$0	On-call MEP/Life Safety & Fire Protection Engineering for all University campuses, including UCH. Amend to increase contract value by \$400,000 for a total new contract value of \$900,000. Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR INFORMATION
September 30, 2015

STUDENT LOANS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Citibank	UC-13-CGP041017	NA	08/10/12-12/31/15	NA	Wayne Locust, Vice President for Enrollment Planning and Management	NA	NA	NA	Lender services available to students enrolled at any University campus, including UCH. Previously reported to the BOT for approval but will no longer be reported because all costs are paid directly by borrowing students. Amend to extend term by eight months, to 12/31/15. Zero extensions remain.
2	Citizen Bank	UC-13-CGP041012	NA	08/10/12-12/31/15	NA	Wayne Locust, Vice President for Enrollment Planning and Management	NA	NA	NA	Lender services available to students enrolled at any University campus, including UCH. Previously reported to the BOT for approval but will no longer be reported because all costs are paid directly by borrowing students. Amend to extend term by eight months, to 12/31/15. Zero extensions remain.
3	Discover	UC-13-CGP041016	NA	08/10/12-12/31/15	NA	Wayne Locust, Vice President for Enrollment Planning and Management	NA	NA	NA	Lender services available to students enrolled at any University campus, including UCH. Previously reported to the BOT for approval but will no longer be reported because all costs are paid directly by borrowing students. Amend to extend term by eight months, to 12/31/15. Zero extensions remain.
4	PNC Bank	UC-13-CGP041018	NA	08/10/12-12/31/15	NA	Wayne Locust, Vice President for Enrollment Planning and Management	NA	NA	NA	Lender services available to students enrolled at any University campus, including UCH. Previously reported to the BOT for approval but will no longer be reported because all costs are paid directly by borrowing students. Amend to extend term by eight months, to 12/31/15. Zero extensions remain.
5	Sallie Mae	UC-13-CGP041013	NA	08/10/12-12/31/15	NA	Wayne Locust, Vice President for Enrollment Planning and Management	NA	NA	NA	Lender services available to students enrolled at any University campus, including UCH. Previously reported to the BOT for approval but will no longer be reported because all costs are paid directly by borrowing students. Amend to extend term by eight months, to 12/31/15. Zero extensions remain.
6	Union Federal	UC-13-CGP041014	NA	08/10/12-12/31/15	NA	Wayne Locust, Vice President for Enrollment Planning and Management	NA	NA	NA	Lender services available to students enrolled at any University campus, including UCH. Previously reported to the BOT for approval but will no longer be reported because all costs are paid directly by borrowing students. Amend to extend term by eight months, to 12/31/15. Zero extensions remain.
7	Wells Fargo	UC-13-CGP041015	NA	08/10/12-12/31/15	NA	Wayne Locust, Vice President for Enrollment Planning and Management	NA	NA	NA	Lender services available to students enrolled at any University campus, including UCH. Previously reported to the BOT for approval but will no longer be reported because all costs are paid directly by borrowing students. Amend to extend term by eight months, to 12/31/15. Zero extensions remain.
TELEPHONE SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/15	Expenditures FY 15	Expenditures FY 14	Purpose
1	Windstream	CSUS-0359	\$120,000 [Contract Value Previously \$471,493; Total New Contract Value \$591,493]	7/01/10-6/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer, Information Technology	\$591,493	\$97,083	\$125,785	For intrastate, interstate and international telephone line usage charges to the Storrs Campus. Amend to increase contract value by \$120,000 for a total new contract value of \$591,493. Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR INFORMATION
September 30, 2015

LEASES FOR INFORMATION						
UNIVERSITY AS LANDLORD: <i>Technology Incubator Program Leases authorized pursuant to "Delegation of Authority to Approve Leases for the Technology Incubation Program" dated June 28, 2012</i>						
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Allomek Therapeutics, LLC	\$1,650	09/01/14-08/31/16	Operating Fund - General	Jeff Seeman, Vice President for Research	First Extension of Lease of space in the Cell & Genome Sciences Building, 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center Campus in Farmington CT. For the term the University will provide approximately 28.75 s.f. of space within room R1702. The total payment for this term will be \$1,650.00. There is one more option to extend the term. The last option n would extend the lease term to 8/31/2017.
2	LambdaVision Incorporated	\$1,650	07/01/14-06/30/16	Operating Fund - General	Jeff Seeman, Vice President for Research	First Extension of Lease of space in the Cell & Genome Sciences Building, 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center Campus in Farmington CT. For the term the University will provide approximately 28.75 s.f. of space within room R1702. The total payment for this term will be \$1,650.00. There is one more option to extend the term. The last option n would extend the lease term to 6/30/2017.