

8-5-2015

2015 August 5 -- Agenda and Attachments

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Board of



TRUSTEES

VOL. 154 AUGUST 5, 2015

**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

August 5, 2015

BOARD OF TRUSTEES SCHEDULE

8:30 a.m.	Committee on Compensation Special Meeting (Portico)
9:00 a.m.	Academic Affairs Committee Meeting
9:30 a.m.	Financial Affairs Committee Meeting
9:45 a.m.	Board of Trustees Meeting

BOARD MEETING AGENDA

Call to order at **9:45 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Minutes of the meeting of June 24, 2015
 - (c) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based Programs (Attachment 1)
 - (2) Sabbatical Leave Recommendations (Attachment 3)
 - (3) Reappointment of Dr. Robert Bird to the Eversource Chair in Business Ethics in the School of Business (Attachment 4)
 - (4) Reappointment of Dr. Chinmoy Ghosh as the Gladstein Professor of Business and Innovation in the School of Business (Attachment 5)
 - (5) Reappointment of Dr. Ram Gopal as the GE Capital Endowed Professor of Business in the School of Business (Attachment 6)
 - (6) Reappointment of Professor Nicholas H. Lurie as the Voya Financial Professor in the School of Business (Attachment 7)
 - (7) Reappointment of Professor Michael Willenborg to the Richard F. Kochanek Professorship in Accounting in the School of Business (Attachment 8)
 - (8) Master of Fine Arts in Art Administration in the School of Fine Arts (Attachment 9)
 - (9) Graduate Certificate in Digital Marketing Strategy (Attachment 10)
 - (10) Post-Baccalaureate and Graduate Certificates in Literacy Supports for Student With and At-Risk for Learning Disabilities (Attachment 11)

- (11) Approval to Amend the *By-Laws of the University of Connecticut* Article XV.G – General Policies and Practices (Attachment 12)
 - (12) Intellectual Property and Commercialization Policy (Attachment 13)
 - (13) Enhancement of Veterans Benefits (Attachment 14)
 - (14) Establishment of the Comcast Chair in Cyber Security in the School of Engineering (Attachment 15)
 - (15) Modification of Naming Recognition Provided to Northeast Utilities Corporation, its Subsidiaries, and Foundation to Reflect New Eversource Energy Identity (Attachment 16)
 - (16) Renaming of the Women's Basketball Manager Work Room in the Werth Family UConn Basketball Champions Center in Honor of the Errett-Albanese Family (Attachment 17)
 - (17) Naming Recommendation for The Maryann dePreaux Walmsley Cancer Center Library at UConn Health (Attachment 18)
- (d) Election of Board Secretary
- 3. President's Report
- 4. Academic Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Designation of Emeritus Status (Attachment 2)
 - (c) Informational items:
 - (1) Renaming the Department of Psychology to the Department of Psychological Sciences in the College of Liberal Arts and Sciences (Attachment 19)
 - (2) Renaming the Public Health Certificate in Interdisciplinary Disability Studies to Disability Studies in Public Health (Attachment 20)
 - (3) Centers and Institutes (Attachment 21)
 - (4) Academic Program Inventory (Attachment 22)
- 5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Proposed Acceptance and Lease of Alumni Center (Attachment 23)
 - (2) Project Budget (Revised Planning) for North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III (Attachment 24)
 - (3) Project Budget (Design) for Heating Plant Upgrade – Emergency Power System Upgrade (Attachment 25)
 - (4) Project Budget (Final) for Biobehavioral Complex Replacement – Science 4 Annex Reroofing (Attachment 26)

6. UConn Health Report
 - (a) Report on UConn Health activities
7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
10. Student Life Committee Report
 - (a) Report of Committee activities
11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Informational Item:
 - (1) UConn Foundation Fundraising Report (Attachment 27)
12. Committee on Compensation Report
 - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated
15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

CONTRACTS AND AGREEMENTS
FOR APPROVAL
August 5, 2015

PROCUREMENT - NEW										
EDUCATION ABROAD PROGRAM										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Academic Centers Abroad	KA11151-8A	\$1,746,413	09/01/15 - 08/31/16	Auxiliary Services	Yuhang Rong, Ph.D. Assistant Vice Provost for Global Affairs	Tuition, accommodations, visa and other immigrations documentation, emergency phone line, and other services for (1) up to 92 students participating in the University's study abroad programs at the Institute for Fine and Liberal Arts at the Palazzo Rucellai (Italy) during the 2015-2016 Academic Year and for up to 73 students participating in the 2016 Summer Program and (2) up to 6 students participating in the University's study abroad programs at the Umbra Institute (Italy) during the 2015-2016 Academic Year and for up to 3 students participating in the 2016 Summer Program. Zero options to extend. The expenses associated with this contract are borne by the participating students, not by the University.			
PROCUREMENT - AMENDMENTS										
CELLULAR NETWORK SERVICE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 5/30/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	AT&T Mobility	WSCA #1907	\$1,185,751 [Contract Value Previously \$809,994; Total New Contract Value \$1,995,745]	03/15/12-06/30/19	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$809,994	\$260,519	\$320,248	Cellular network service and supplies for all University campuses other than UCH. Amend to increase contract value by \$1,185,751, for a total new contract value of \$1,995,745. Zero options to extend.
FOOD CONTRACTS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 5/30/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Garelick Farms, LLC	UC-15-KA082014-8	\$790,434.00 [Contract Value Previously \$396,120; Total New Contract Value \$1,186,556]	10/01/14-09/30/16	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	\$396,120	\$0	\$0	Milk and dairy products primarily for the Department of Dining Services use for student meals, dairy bar and other needs. Amend to increase contract value by \$790,434 for a total new contract value to \$1,186,556. Amend to extend term by one year to 9/30/16. Three one year extensions remain.
OTHER AGREEMENTS FOR APPROVAL - NEW										
ATHLETICS - RENTSCHLER FIELD MANAGEMENT										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Global Spectrum, Limited Partnership	KA18122-8A	\$2,800,000	09/01/15-08/31/16	Auxiliary Services	Warde Manual, Athletics Director	Field management services for football season at Rentschler Field. Zero (0) extensions available.			

CONTRACTS AND AGREEMENTS
FOR APPROVAL
August 5, 2015

LEASES						
UNIVERSITY AS LESSOR						
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Eastern Connecticut Conservation District	\$1,250	10/01/14-09/30/16	Operating Fund - General	Gregory J. Weidemann, Dean and Director, College of Agriculture and Natural Resources	Third amendment to a lease of office space comprised of approximately 99 square feet of office space in the Windham County Agricultural Extension Building located at 139 Wolf Den Road, Brooklyn, Connecticut.
UNIVERSITY AS LESSEE						
No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	18 Temple Street LLC	\$17,208	08/29/15-12/19/15	Operating Fund - General	Edith Barrett, Director of Urban and Community Studies	Lease of a 4 bedroom townhouse located at 18 Temple Street, Hartford Connecticut for the sole purpose of housing 4 students who are participating the in Urban Semester Program. The Lease term is for a period of 4 months and the total rent payable is \$15,208.00 plus a \$2,000.00 refundable security deposit.

ATTACHMENT 3

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
August 5, 2015 Board of Trustees Meeting

SABBATICAL MODIFICATIONS/POSTPONEMENTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Tambling, Rachel	Associate Professor	Human Development and Family	Liberal Arts and Sciences	Full	Fall 2015 TBD
Van Hoof, Thomas J.	Associate Professor		Nursing		TBD
				Full	Fall 2016

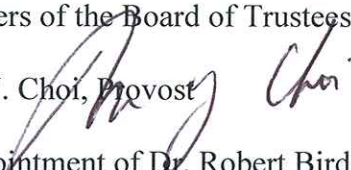
SABBATICAL LEAVE REQUESTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Cazenave, Noel	Professor	Sociology	Liberal Arts and Sciences	Full	Spring 2017

ATTACHMENT 4

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Reappointment of Dr. Robert Bird to the Eversource Chair in Business Ethics in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Dr. Robert Bird to the Eversource Chair in Business Ethics in the School of Business.

BACKGROUND:

On April 25, 2012, Dr. Robert Bird was appointed to the Northeast Utilities Chair in Business Ethics in the School of Business. His original appointment concludes on August 22, 2015. This reappointment to the recently renamed Eversource Chair in Business Ethics extends Dr. Bird's appointment from August 23, 2015 through August 22, 2018.

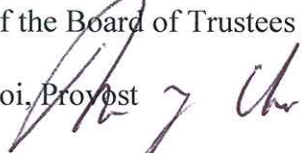
Robert Bird is a tenured Associate Professor of Business Law in the School of Business. He has served on the faculty at University of Connecticut since 2004. Dr. Bird has authored more than 40 articles, and his research interests focus on the cooperative intersection of business and human rights. Specifically, his research examines how multinational corporations cannot only find common ground with civil society groups but also discover ways that corporations can use their respect for human rights as a source of competitive advantage or other value generating opportunity.

During the past three years as the Northeast Utilities Chair in Business Ethics, Professor Bird has played a crucial role in the Business Law group within the Marketing Department and the School of Business. In addition to authoring several papers in prestigious law journals, he has offered Business Ethics Faculty Development in International Business (FDIB) study abroad grants and faculty summer research grants to support deserving scholars in the fields of business ethics, corporate social responsibility, sustainability, and human rights. The Chair also supported student initiatives to work in a values-driven (e.g. sustainability, human rights, corporate social responsibility) internship in a company or a non-governmental organization.

ATTACHMENT 5

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Reappointment of Dr. Chinmoy Ghosh as the Gladstein Professor of Business and Innovation in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Chinmoy Ghosh as the Gladstein Professor of Business and Innovation in the School of Business.

BACKGROUND:

On September 24, 2014, the Board of Trustees reappointed Professor Chinmoy Ghosh as the Gladstein Professor of Business and Innovation in the School of Business. His contract for the Professorship was August 23, 2014 through August 22, 2015. This reappointment extends Dr. Ghosh's appointment from August 23, 2015 through August 22, 2018.

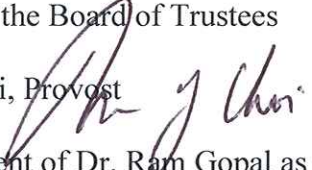
Chinmoy Ghosh is a tenured Professor of Finance in the School of Business. He has served on the faculty at University of Connecticut since 1986. His research focuses on the impact of corporate governance on firm value, effect of change in disclosure regulatory on firm performance, and the effect of financial innovation on IPO valuation and performance. Professor Ghosh was chosen as the Gladstein Professor because of his strong research record, his interest in and history of working with doctoral students, and his distinguished service to the Department, the School, and the University. His research has appeared in *Journal of Financial Economics*, *Journal of Banking and Finance*, *Journal of Risk and Insurance*, *Journal of Real Estate Finance and Economics*, and the *Journal of Urban Economics*. He has an international reputation and is widely regarded as an interdisciplinary scholar in Finance and Real Estate.

Professor Ghosh is the Head of the Department of Finance, Director and Chairman of the Board for the Student Managed Investment Fund at UConn, and the Executive Director of the Financial Accelerator at the Downtown Hartford campus. As the Department Head, he led the successful launch of our Master's program in Financial Risk Management at the Stamford and Hartford campuses.

ATTACHMENT 6

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Reappointment of Dr. Ram Gopal as the GE Capital Endowed Professor of Business in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Ram Gopal as the GE Capital Endowed Professor of Business in the School of Business.

BACKGROUND:

On August 22, 2012, Dr. Ram Gopal was appointed to the GE Capital Endowed Professor of Business in the School of Business. His contract for the Professorship was August 23, 2012 through August 22, 2015. This reappointment extends Dr. Gopal's appointment from August 23, 2015 through August 22, 2018.

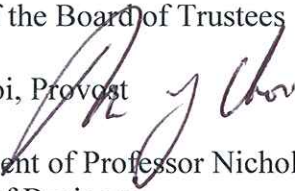
Ram Gopal is a tenured Professor of Operations and Information Management (OPIM) in the School of Business. He has served on the UConn faculty since 1994. His research focuses on the economics of information systems management, database design and security issues, economic and ethical issues relating to software piracy and organization impacts of information technology. Professor Gopal was chosen as the GE Capital Endowed Professor because of his strong research record, his interest in and history of working with doctoral students, and his distinguished service to the Department, the School, and the University. His research has appeared in *Information Systems Research*, *Management Information Systems Quarterly*, *Management Science*, and other prestigious academic journals. He has an international reputation, and he is widely regarded as one of the most prolific researchers in the field of Information Systems.

As the Department Head of OPIM, Dr. Gopal led the successful launch of our Master's program in Business Analytics and Project Management at the Hartford campus.

ATTACHMENT 7

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Reappointment of Professor Nicholas H. Lurie as the Voya Financial Professor in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Nicholas H. Lurie as the Voya Financial Professor in the School of Business.

BACKGROUND:

On September 24, 2014, the Board of Trustees reappointed Professor Nicholas H. Lurie as the VOYA Financial Professor in the School of Business. His contract for the Chair was August 23, 2014 through August 22, 2015. This reappointment extends Dr. Lurie's appointment from August 23, 2015 through August 22, 2018.

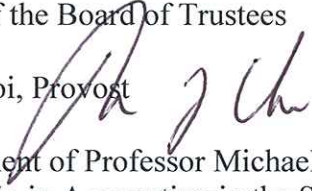
Nicholas H. Lurie is a tenured Associate Professor of Marketing in the School of Business. He has served on the faculties at the University of North Carolina – Chapel Hill and the Georgia Institute of Technology. Dr. Lurie is a recognized scholar for his work on consumer information search in information-rich environments. His research has been supported by grants from Google, the WPP group, Marketing Science Institute, and the Wharton Interactive Media Initiative, and his work has appeared in *Journal of Consumer Research*, *Journal of Marketing*, *Journal of Marketing Research*, and *Organizational Behavior and Human Decision Processes*. Professor Lurie is an internationally recognized scholar.

Dr. Lurie serves as departmental coordinator for the undergraduate programs, the department's Honors Advisor, and has been a key player in the Digital Marketing initiatives. He is currently active in departmental and School of Business research initiatives.

ATTACHMENT 8

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Reappointment of Professor Michael Willenborg to the Richard F. Kochanek Professorship in Accounting in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Michael Willenborg to the Richard F. Kochanek Professorship in Accounting in the School of Business.

BACKGROUND:

On February 18, 2010, the Board of Trustees appointed Professor Michael Willenborg to the Richard F. Kochanek Professorship in Accounting in the School of Business. His contract for the Professorship was August 23, 2010 through August 22, 2015. This reappointment extends Dr. Willenborg's appointment from August 23, 2015 through August 22, 2018.

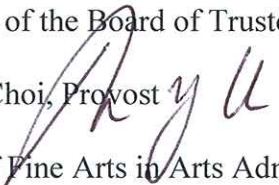
Michael Willenborg is a tenured Professor of Accounting in the School of Business. He has served on the UConn faculty since 1996. His research focuses on empirical, economics-based research in auditing and financial accounting. Professor Willenborg was chosen as the Kochanek Professor because of his strong research record, his interest in and history of working with doctoral students, and his distinguished service to the Department, the School, and the University. His research has appeared in *Contemporary Accounting Research*, *The Accounting Review*, *Journal of Accounting Research*, *Journal of Accounting & Economics*, *Connecticut Law Review*, *The Journal of Risk and Insurance*, *Journal of Financial Economics*, and the *Journal of Insurance Regulation*. He is a highly regarded faculty member in our MBA program, as evidenced by his annual receipt of the MBA Core Teacher of the Year award.

Professor Willenborg served as Chair of the Accounting Department Head Recruiting Committee in 2014, Chair of the Accounting Faculty Recruiting Committee, and he continues to serve on the School of Business's Promotion, Tenure and Reappointment and Masters Program Committees.

ATTACHMENT 9

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Master of Fine Arts in Arts Administration in the School of Fine Arts

RECOMMENDATION:

That the Board of Trustees approve the Master of Fine Arts in Arts Administration in the School of Fine Arts.

BACKGROUND:

The Master of Fine Arts (MFA) in Arts Administration is designed to help fill the need for well trained and experienced early career arts administration professionals. In recognition of this important need, the University of Connecticut began an online graduate certificate program in arts administration to further the careers of those working in the field, or assist those seeking to enter it. The MFA program, however, is far more in-depth and intended to produce graduates capable of leadership in their area of expertise upon graduation.

The curriculum is highly individualized and divided into two main parts: the core curriculum, which all MFA students are required to take, and advanced research and training in each student's chosen area of expertise within the general field of arts administration. Examples of areas of specialization include: Fundraising & Development; Marketing; General Management; Labor Relations; Executive Leadership; Strategic Planning; Strategic Partnerships; Community Engagement; Advocacy; Budgeting and Finance.

Graduates of this program will play key management roles at diverse arts organizations nationwide. These organizations play a central role in defining communities and helping to bring them together. This program will enable UConn to have educated and trained arts leaders in communities of all kinds throughout the U.S. This includes Connecticut, which has an abundance of world-class arts institutions including five Tony Award-winning theatres (the most of any state), the oldest art museum, multiple major arts presenting venues and numerous galleries, symphonies, choruses, theatres, artist retreats and more.

Item: **Master of Fine Arts in Arts Administration**

Background & Description

“If an arts organization of any kind is successful, it is because of what occurs on stage when the curtain goes up, or in the gallery when the doors open. Not really such a revelation—except for the fact that the curtain going up is the result of an extraordinary series and sequence of actions, interactions, decisions, inventions, revisions, solutions, discoveries, and resolutions. All of these are shaped and driven by a disparate group of arts professionals with shared vision, passion, commitment, trust, discipline, craft, precision, acute attention to time, money and detail, and a degree and intensity of collaboration that business gurus endlessly talk about, rarely achieve and never fully understand. This amazing amalgam of activity that we broadly refer to as the artistic process is the reason that any artist, or arts organization, or program or body of work, *works*.”¹

The arts and culture industries in the U.S. are underestimated both in terms of their business innovation and cultural contributions, and their impact on the national economy. The quotation by Arts Action Research briefly describes how business and organizational planning approaches applied by arts organization are unique among common institutional practices. The information that follows from the U.S. Bureau of Economic Analysis demonstrates that the arts and cultural production sector’s contribution to the gross domestic product is significant.

“Report 3: The Arts and Cultural Production Satellite Account (ACPSA)”

The ACPSA, a partnership between the National Endowment for the Arts (NEA) and the Department of Commerce's Bureau of Economic Analysis, is the first federal effort to provide an in-depth analysis of the arts and cultural sector's contributions to current-dollar gross domestic product (GDP), a measure of the final dollar value of all goods and services produced in the United States. The revised estimates reveal the arts are a bigger driver of GDP and jobs than previously estimated. Among the new estimates are:

- In 2012, arts and cultural production contributed more than \$698 billion to the U.S. economy, or 4.32 percent to the U.S. Gross Domestic Product, more than construction (\$586.7B) or transportation and warehousing (\$464.1B).
- 4.7 million workers were employed in the production of arts and cultural goods, receiving \$334.9 billion in compensation.
- Arts and cultural spending has a ripple effect on the overall economy, boosting both commodities and jobs. For example, for every 100 jobs created from new demand for the arts, 62 additional jobs are also created.
- The Bureau of Economic Analysis highlights the Arts and Cultural Production Satellite Account in the January edition of the *Survey of Current Business*, widely read by economists and financial analysts to understand the state of the U.S. economy.”²

Supporting all this creative and economic activity are arts administrators. While academic programs in artistic creation are ubiquitous, training programs for arts administrators are

¹ Arts Action Research, *Folio Introduction: An Elegant Process*, 2014

² *Surprising Findings in Three New NEA Reports on the Arts*, Jan. 12, 2015

relatively rare. Employment prospects for young artists are daunting. However, well educated and trained early career arts administrators are in huge demand and many of the most successful MFA arts administration programs often see 100 percent of their graduates placed in well paying, stable, personally satisfying jobs with advancement potential. Arts administrators work closely with the artistic staff and boards of directors and play key roles in the advancement of their respective organizations. Many arts administrators, especially in executive leadership and fundraising roles, are also vital external voices for their organizations and become community leaders, regularly interfacing with leaders in industry, politics and community service of all kinds.

Arts and Cultural Executives and boards will experience a deluge of applications for artistic and creative positions of all kinds from playwrights to painters to photographers, etc. However, when seeking a marketing assistant, fundraising staff at all levels, business managers, general managers, etc., they often receive few applications, and only a small portion of those may be qualified. This is commonly viewed as a threat by many arts organizations, but it is an opportunity for students who graduate from a quality training program that provides advanced education in their field and area of expertise, including a professional internship to fulfill a professional experience expectation.

The MFA in Arts Administration at the University of Connecticut is designed to help fill the need for well trained and experienced early career arts administration professionals. In recognition of this important need, the University of Connecticut began an online graduate certificate program in arts administration to further the careers of those working in the field, or assist those seeking to enter it. The MFA program, however, is far more in-depth and intended to produce graduates capable of leadership in their area of expertise upon graduation.

Evidence of this need is most apparent by viewing job postings in *ArtSearch*, the main job search publication for all professional non-profit arts organizations. On a typical day, there may be about 12 job listings in the “artistic” category. On the same day, there will typically be about 60 job openings within their “administration” category. Additionally, there will be a deluge of applications for each of the “artistic” job opportunities, while arts organizations will often see very few applications for their “administrative” openings.

Graduates of this program will play key management roles at diverse arts organizations nationwide. These organizations play a central role in defining communities and helping to bring them together. This program will enable the University of Connecticut to have educated and trained arts leaders in communities of all kinds throughout the U.S. This includes Connecticut, which has an abundance of world-class arts institutions including five Tony Award-winning theatres (the most of any state), the oldest art museum, multiple major arts presenting venues and numerous galleries, symphonies, choruses, theatres, artist retreats and more.

The MFA in Arts Administration will focus on the following main areas of research and academic investigation:

- Creative – Although we are training administrators, we are training them to serve as leaders in a creative field and we use teaching and research techniques common to

training in creative fields such as studio and performing arts. It is critical that arts administrators gain proficiency in the artistic process because even though they will not be creating art themselves, they will spend their careers creating the business environment that fosters the creation of art and, therefore, they must fully understand the artistic process and use that process themselves to engage with artists in developing the appropriate business culture within which the creation of art can thrive.

- Business – Arts organizations are businesses. However, unlike commercial businesses, their purpose is not the creation of wealth or shareholder value, but the pursuit of a mission. MFA graduates will receive substantial training in business practices as they are performed in professional arts institutions including marketing & promotion, fundraising & development, general management, labor relations, budgeting and finance, advocacy, strategic partnerships, board service, contracts, licensing and intellectual property law.
- Collaboration – Art is created by people working together. Even oil painters, for example, work with gallery owners and curators to present shows of their work and apply for residencies at artist retreats to find the best environment for creating their work. Performing artists collaborate on virtually all aspects in order to create a performance. MFA students will be taught advanced methods and approaches to collaboration so that they can perform their important role in the same collaborative manner that artists are accustomed to working within. The program itself demonstrates the necessity of collaboration by including coursework for MFA Arts Administration students in the Department of Public Policy and with faculty from the School of Business. Students will also be required to take a law class that is offered through the Department of Art & Art History. The program has made preliminary contacts with, and will further collaborate with, the Departments of Economics, Communication and other parts of the University based on the individual pursuits of students.

The curriculum is highly individualized and divided into two main parts: the core curriculum, which all MFA students are required to take, and advanced research and training in each student's chosen area of expertise within the general field of arts administration. Examples of areas of specialization include:

- Fundraising & Development
- Marketing
- General Management
- Labor Relations
- Executive Leadership
- Strategic Planning
- Strategic Partnerships
- Community Engagement
- Advocacy
- Budgeting and Finance

Upon its creation, the MFA in Arts Administration at UConn will be accredited by the University Resident Theatre Association (URTA), which provides accreditation for all Department of Dramatic Arts graduate degrees. The MFA in Arts Administration will be subject to review by URTA at the next scheduled accreditation review for all Dramatic Arts graduate programs.

Reasons for the Proposed Program

A key justification for the MFA in Arts Administration is the urgent need for trained, educated administrative staff at arts organizations not only nationwide, but globally. For example, there is an effort to connect UConn students with study abroad opportunities emerging in Cuba. Cuba is an example of a culture where there are many arts organizations. Their government for decades has supported them so that they did not rely on ticket revenue for their financial stability. However, that is changing and in the likely event that government subsidies are reduced or eliminated, there will be an urgent need for arts administrators with expertise in audience development, as well as ticketing, revenue forecasting, promotion and product packaging, and audience services.

Throughout the U.S., and beyond, the need for administrators capable of attracting donors, organizing and promoting sales campaigns, and effectively managing expenses is huge. Additionally, these managers must be knowledgeable about the artistic discipline they are working to advance, and the specific organizational culture they will be working within. Individuals with these skill sets and experience are in huge demand, and badly needed by thousands of organizations within the U.S. and countries throughout the world. There simply are more organizations that need professionals with the combination of skills, knowledge and experience described here than there are people to perform the work. The result is that many arts organizations have inadequately qualified professional administrative staff, but outstanding artists. The reason for this disparity is that there is an abundance of qualified, talented and available artists, but the reverse is true for the administrators that are necessary for the institutions that create artistic work to function at optimal levels.

Another critical aspect of the MFA in Arts Administration is their work as graduate assistants during their three-year degree program. The School of Fine Arts will benefit enormously from having arts administration graduate students working part time in all areas of UConn's School of Fine Arts performance and presentation programs and organizations including the Benton Museum, Connecticut Repertory Theatre, The Jorgensen Center, the Contemporary Arts Gallery, The Ballard Museum of Puppetry, The von derMehden concert series, UConn Opera and numerous student art shows and other productions and presentations. Arts Administration graduate students will also work, as part of their part time graduate assistantships, on general marketing support for the School of Fine Arts itself to help bring cohesion and a greater degree of message and consistency to all School of Fine Arts performance and presentation programs, while educating and training students at the same time. The intention is that arts administration graduate students will be placed in UConn School of Fine Arts programs where their developing skills and knowledge can be harnessed to attract even larger audiences and more donors through improved administrative systems developed and managed by the students by integrating their assistantship work with their learning.

Curriculum & Program Outline

The MFA in Arts Administration will require students to complete 60 hours of course credit divided into four main areas: core curriculum, professional internship, advanced topic research in

their area of specialization, and the MFA Final Project. The core curriculum includes course work in the major subject areas of arts administration including marketing, fundraising and development, budgeting and finance, governance and leadership. The core curriculum includes an introductory course in arts administration that students will take in their first semester. Students will also be required to take a Public Policy course, Business Functions for nonprofits; a law course, Law and the Arts; and three elective fine arts courses related to their area of specialization. Although some of these courses will be taught online, all MFA Arts Administration students will be required to be present on the Storrs campus throughout their three-year MFA program, except while away on internship.

Students will leave the Storrs campus in the second semester of their second year to perform a professional internship at a qualified arts institution agreed to by the student, their graduate advisor and committee, and the institution. The internship provides students with professional experience, which they will need to be able to cite when searching for jobs in arts administration. By inserting the professional internship into the curriculum of the MFA program, we circumvent the typical “Catch-22” where employers only seek candidates with professional experience but in order to get professional experience candidates have to have been employed. By placing the internship in the curriculum, Arts Administration MFA students will graduate with credible professional experience, that also augments, and is interwoven into, their research and coursework.

The third area of learning for the MFA program is advanced topic research. This learning begins in their first semester and continues through every semester (except while away on internship). All Arts Administration graduate students will be required to participate in Arts Administration Studio – I, in their first year; II, in their second year; and III in their third year. Arts Administration Studio will convene twice weekly for two hours per session. All MFA Arts Administration students will be present, as well all Arts Administration faculty and a diverse group of guest instructors invited to participate in coursework and research on special projects and case studies. Guest instructors will include the artistic and managing directors of CRT, other CRT staff including the Sales Office Manager, Production Manager and Company Manager, the directors of the Benton Museum, the Jorgensen Center, the Ballard Institute, the Contemporary Art Galleries, arts executives from organizations statewide, CRT guest artists and guest artists from other Fine Arts departments and programs, and other Fine Arts faculty. The purpose of the studios is to engage the students in case study research, using real world cases to integrate with the textbook and other conceptual reading and research. We intend to create a dynamic learning environment, with both peer-to-peer engagement and lectures and presentations by arts administration and guest faculty and professionals, all conducted in a seminar-based approach. The presence of a collective of educators and professionals to interpret the case studies and provide feedback to students’ case study presentations is an invaluable method for modeling collaboration while investigating how research in this area is conducted and interpreted. During the first year, and first semester of the second year, students will be focused on the core curriculum and will observe and provide feedback to the research presentations of third year students. During the third year, students will assume a leadership role in the peer-to-peer learning by giving presentations of case study research and reporting on their internship in the first semester of their third year. In the first semester of the third year, students will also take an Advanced Topic Research course. This will be a highly individualized course that will focus on

research and training in the area of specialization they have chosen, and it will serve as a precursor for their MFA Final Project, which they will complete, and present in their final semester and present to their graduate committee and all participants in Arts Administration Studio. This way, first and second year students can observe first-hand what will be expected of them in their third year, which is intended to help them prepare for the high expectations they will be required to meet.

Learning Outcomes

Each graduate of the MFA in Arts Administration program will possess proficiency in the skills and knowledge necessary for a successful professional career. Additionally, because of the highly individualized nature of the degree program, each student will have even more advanced skills, knowledge and experience in their particular area of specialization, and expert experience in that area of specialization as a result of their professional internship. Their MFA Final Project, with its accompanying documentation, will provide them with evidence of proficiency in their area of specialization.

Upon completion of this program, students will be able to:

- Demonstrate an understanding of the structure and nature of professional arts organizations both in the legal, corporate sense and the philosophical, community service sense
- Articulate and put into practice key concepts encompassed in the arts leadership philosophies of “the artistic process,” “artist led institutions,” “led collaboration,” and “process driven organizations”
- Describe and define all basic organizational activities including marketing, fundraising, governance and budgeting/finance
- Practice basic principles of organizational management and human resource management
- Conduct and present research related to arts administration topics
- Communicate effectively in group settings and provide leadership, under the “led collaboration” model, for related arts administration business and research processes
- Create and analyze arts administration related research
- Model leadership for arts organization based on the “led collaboration” approach
- Engage, collaborate and interact effectively with artists, board members, community leaders, professional staff and peers based on the “artist led institutions” and “process driven organizations” approaches
- Analyze organizational budgets, audited financial statements and Form 990s for nonprofit organizations
- Demonstrate advanced expertise in the practices, activities and philosophies of their area of specialization including creating detailed research, as evidenced by the documentation required for their final project and journal from their professional internship

The combination of their general education (core curriculum) and identified area of specialization will make it feasible for the faculty to assist in placing them in jobs during their final semester. Given the demand for trained, educated and experienced arts administration

professionals, there is a high degree of feasibility that each student will have multiple early career opportunities upon graduation.

Each graduate of this program will have all the necessary skills, knowledge, training and professional experience required to immediately begin a professional career in arts administration and, based on their individual drive and ambition, possess all the necessary qualities to become a leader within the field through the course of their careers.

Enrollment & Graduation Projections

The optimal enrollment for this program is 12 graduate students, four in each year of the three-year cycle. It is possible to increase that number to 15, five students in each class. The program will graduate four or five students per year. The budget in this proposal includes funding for 12 part time graduate assistantships and there is a possibility, once the program is established, that we can attract and teach an additional three students in the program without graduate assistantships.

Financial Resources

The MFA in Arts Administration program will be supported by “Ledger 2” funds administered through the School of Fine Arts and the Department of Dramatic Arts. The two tenure track faculty positions within the Department of Dramatic Arts were approved in 2013. Therefore, these two salaries (and fringes) have already been accounted for in the “Ledger 2” funding for the Department of Dramatic Arts. The adjunct fee for the online course taught by Gerald Murphy, an adjunct professor in the School of Business, will be paid from earnings associated with the Online Graduate Certificate Program in Arts Administration and is, therefore, not included within this proposal. The budget for the program will be contained within the budget of the Department of Dramatic Arts and includes:

Faculty (approved and hired in August, 2013):

Frank Mack	\$146,198
Dale Edwards	\$119,617

Graduate Assistants (12 part-time) \$154,475

Restoration and Outfitting of Seminar Room, 157-A (one time expense, upon approval of funding):

Cleaning and restoration	\$ 400
Painting:	\$1,500
Furniture:	\$2,500
Air Conditioner & Installation	\$ 700
Smart Board & Installation	\$4,800
Subtotal	\$9,900

Contractuals (ongoing):

Advertising	\$3,500
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Printing	\$2,000
Postage	\$3,500
Dues/Memberships	\$1,250
Supplies	\$ 750
Misc.	<u>\$ 500</u>
Subtotal	\$11,500

Facilities//Equipment/Library/Special Resources

There is little additional need for facilities, equipment, library or special resources. Because the program is mainly built upon existing educational and programmatic resources and activities, there is little need for any new resources. There will be modest annual costs.

The only facilities and equipment needs is the outfitting of a seminar room. This room renovation could be completed over the course of the next one to three years. The drama building does not have a conference room. There are classrooms, but the competition for scheduling those classrooms would prevent the regular seminar meetings to occur as needed while accommodating MFA students' graduate assistantship and other class schedules. There is a room attached to the faculty office occupied by Frank Mack, room 157, that could be easily outfitted as the MFA Arts Administration seminar room. It is room 157-A. This room was previously used for storage of certain musical equipment, which required that the windows be covered by boards, and it has been neglected and become quite dirty and dilapidated. All that would be required to convert this space into the seminar room is a thorough cleaning, the removal of the window covers and replacement of the broken air conditioner, painting, the installation of a Smart Board, and some basic furniture including a table and approximately 10 chairs. The room is currently scheduled to have the asbestos floor removed. Once cleaned and outfitted, it would be a space available for all seminar sessions and other related program meetings and small class or advising sessions that require a Smart Board – for preliminary viewing of draft research presentations, for example.

Program Administration

The program will be administered through the Department of Dramatic Arts, within the School of Fine Arts. The Department Head, Vincent J. Cardinal, will be responsible for overseeing the academic and operational activities of the program. The program director will be Frank Mack, Associate Professor of Arts Administration. Professor Mack will serve as the main academic advisor, in collaboration with Assistant Professor Dale Edwards. The program budget will be administered by the Department of Dramatic Arts in the same manner as all other degree programs within the department, with the Department Head approving all related expenses and the budget monitored by the Program Director in collaboration with the department Business Manager, Cecile Stanzione.

Recruitment, scheduling, advising and teaching assignments will be led by the Department Head in coordination with the program director, and the program director will be responsible for day-to-day operations of the programs, reporting to the Department Head and meeting weekly with the Department Head.

The Dean of the School of Fine Arts oversees all departments and agencies within the School and will also receive regular reports on activities within the program from the department head and program director, as requested. In this case, the School of Fine Arts Dean may also play an advisory role in the assignment of graduate assistantships in support of the School's administrative needs in order to build administrative capacity within various arts administration activities throughout the School.

Faculty

Frank Mack, Associate Professor & Director of Arts Administration, & Management Associate for Connecticut Repertory Theatre

Dale Edwards, Assistant Professor of Arts Administration and Marketing Advisor, School of Fine Arts

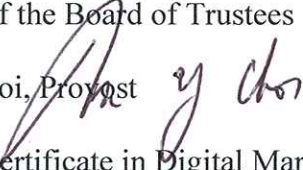
Gerald Murphy, Adjunct Professor of Accounting

Similar Programs in Connecticut or Region

Boston University	<u>Arts Administration Program</u>
Brooklyn College	<u>Performing Arts Management</u>
Castleton College	<u>Castleton College Online Masters in Arts Administration</u>
New York Institute of Technology	<u>MA in Leadership in the Arts & Entertainment Industries</u>
NYU Steinhardt School of Culture Education and Human Development	<u>Visual Arts Administration MA Program</u>
NYU Steinhardt School of Culture Education and Human Development	<u>Performing Arts Administration</u>
Rowan University College of Graduate & Continuing Education	<u>M.A. in Theatre: Arts Administration</u>
Syracuse University	<u>Janklow Arts Leadership Program</u>
University at Buffalo-SUNY	<u>Arts Management Program</u>
Yale University School of Drama	<u>Theater Management Department</u>

ATTACHMENT 10

August 5, 2015

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost 
RE: Graduate Certificate in Digital Marketing Strategy

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Digital Marketing Strategy.

BACKGROUND:

This Advanced Business Certificate (ABC) is required for UConn to remain competitive in the graduate business education market, and – more importantly – to ensure that our MBA students are competitive for the digital marketplace following graduation. This ABC is part of a series of actions across the Marketing Department to align our teaching resources with market needs and our research strengths.

This ABC is a meaningful certificate that reflects the current research and curricular priorities of the Department, and for which there appears to be demand from prospective employers. The Education Advisory Board's "Market Viability" report focuses on "digital" graduate certificate programs, and documents the need for students specializing in business with a focus on digital marketing management. In particular, there is a strong need for graduates with fundamental business training who have solid grounding in marketing and are fluent in the strategic and analytics aspects of digital marketing. This Digital Marketing Strategy ABC will parallel the concentration in Digital Marketing Strategy for full-time MBAs that is currently being implemented as part of the MBA redesign. No additional resources will be required for the proposed ABC in Digital Marketing Strategy since the Certificate only includes courses currently offered by the Marketing Department.

To qualify for admission to the Part-time MBA program, in which the ABC in Digital Marketing Strategy is offered within, an applicant must have a graduate degree (PhD, MD, JD, Master's) from an accredited institution or an undergraduate degree from an accredited institution and be working in the area of Marketing.

Request for New UConn Certificate Program

Program information

Name of certificate program: Digital Marketing Strategy

Sponsoring Department: Marketing

Sponsoring School: School of Business

Type of certificate: On Campus (Hartford, Stamford, Waterbury), fee-based, Graduate Certificate

CIP Code: 52.0201

Anticipated start date: Fall 2015

Anticipated date of first graduation: Spring 2016

Projected annual enrollments: 100 (5 courses with 20 enrollments each)

Program Outline

This Advanced Business Certificate (ABC) is required for UConn to remain competitive in the graduate business education market, and – more importantly – to ensure that our MBA students are competitive for the digital marketplace they face following graduation. It is part of a series of actions across the Marketing Department to align our teaching resources with market needs and our research strengths.

This ABC is a meaningful certificate that reflects the current research and curricular priorities of the department, and for which there appears to be demand from prospective employers. The Education Advisory Board's "Market Viability" report focuses on "digital" graduate certificate programs, and documents the need for students specializing in business with a focus on digital marketing management. In particular, there is a strong need for graduates with fundamental business training who have solid grounding in marketing and are fluent in the strategic and analytics aspects of digital marketing. This Digital Marketing Strategy ABC will parallel the concentration in Digital Marketing Strategy for full-time MBAs that is being currently implemented as part of the MBA redesign. No additional resources will be required for the proposed ABC in Digital Marketing Strategy since the Certificate only includes courses currently offered by the Marketing Department.

To qualify for admission to the Part-time MBA program, in which the ABC in Digital Marketing Strategy is offered within, an applicant must have a graduate degree (PhD, MD, JD, Master's) from an accredited institution OR an undergraduate degree from an accredited institution and be working in the area of Marketing.

Similar Programs Nationally, Regionally, or in Connecticut

Regionally, to date, only Sacred Heart University offers a certificate and master's degree digital marketing.

Curriculum information

Total number of credits required: 15 credits

Required courses

List the course number, title, and number of credits for each required course in the program.

1. Marketing Management (MKTG 5115)
2. Digital Marketing (MKTG 5665)
3. Marketing and Digital Analytics (MKTG 5251)
4. Integrated Marketing Communications in the Digital Age (MKTG 5640)

Elective course

Any Marketing 5000-level elective or any one of the following OPIM electives

- Predictive Modeling (OPIM 5604)
- Data Mining and Business Intelligence (OPIM 5671)
- Data Analytics With R
- Big Data Analytics with Hadoop

Resources available to support the program

Financial resources

This program will require no new incremental resources or courses; instead, it makes more efficient use of resources currently in place to serve students in our part time MBA programs.

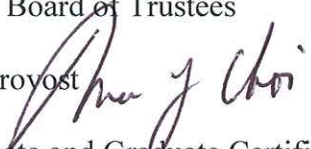
Facilities/Equipment/Library/Special resources

No new incremental resources required.

ATTACHMENT 11

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Post-Baccalaureate and Graduate Certificates in Literacy Supports for Students
With and At-Risk for Learning Disabilities

RECOMMENDATION:

That the Board of Trustees approve the Post-Baccalaureate and Graduate Certificates in Literacy Supports for Students With and At-Risk for Learning Disabilities.

BACKGROUND:

Literacy is essential to success in our society. The ability to read and write proficiently is necessary not only for academic achievement across subject areas, but is also related to long term social, economic, and personal outcomes. High levels of literacy for all students are more important now than ever before because of our increasingly technological and connected world.

Unfortunately, the most recent results of the National Assessment of Educational Progress (NAEP), often referred to as the Nation's Report Card, indicate that almost 30% of students across the country are reading and writing below basic levels – which means they cannot comprehend or write text at levels that support higher level thinking, reasoning, and communicating. In Connecticut, the achievement gap between successful readers and struggling readers is one of the largest in the country. The dire consequences of this gap for students in Connecticut were recently highlighted in the 2014 report of the CT Achievement Gap Taskforce to the CT General Assembly.

The goal of the proposed Certificate program is to provide current and future special education teachers and other educational professionals with the knowledge and skills to be leaders in the effort to close the literacy achievement gap in Connecticut and ensure that students with intensive learning needs—i.e., students with or at risk for reading and writing disabilities—have the opportunity to become successful readers and writers.

The graduate certificate program will be open to all qualified applicants. The post-baccalaureate certificate program will be open only to students in the Integrated Bachelor's-Master's program who seek additional concentration on the teaching of reading and writing in elementary schools.

Request for New UConn Certificate Program

Program information

Name of certificate program: Literacy Supports for Students with and At-Risk for Learning Disabilities Certificate

Sponsoring Department: Educational Psychology

Department Head: Del Siegle

Sponsoring School: Neag School of Education

Type of Certificate(s): (1) On Campus Post-Baccalaureate Certificate; (2) On Campus Graduate Certificate

Director of certificate program: Natalie Olinghouse (Associate Professor)

CIP Code: 13.1017, 13.1019

Anticipated start date: August 2015

Anticipated date of first graduation: May 2016

Projected annual enrollments: 60 (4 courses x 15 students per course)

Program outline and description of program learning outcomes

Literacy is essential to success in our society. The ability to read and write proficiently is necessary not only for academic achievement across subject areas, but is also related to long term social, economic, and personal outcomes. High levels of literacy for all students are more important now than ever before because of our increasingly technological and connected world.

Unfortunately, the most recent results of the National Assessment of Educational Progress (NAEP), often referred to as the Nation's Report Card, indicate that almost 30% of students across the country are reading and writing below basic levels – which means they cannot comprehend or write text at levels that support higher level thinking, reasoning, and communicating. In Connecticut, the achievement gap between successful readers and struggling readers is one of the largest in the country. The dire consequences of this gap for students in Connecticut were recently highlighted in the 2014 report of the CT Achievement Gap Taskforce to the CT General Assembly.

In addition, it is clear that a certain subset of students do not respond to schools' initial attempts to provide support for children who are struggling to acquire literacy skills. Al Otaiba and Fuchs (2002) estimated that between 8% and 80% of children who receive initial intervention (i.e., Tier 2 supports) fail to respond. Students like these have serious, intractable learning difficulties that require specialized instruction (i.e., special education and/or Tier 3). The Connecticut Longitudinal Study (Shaywitz et al., 1999) estimated that about 15% of Connecticut children have diagnosable reading or writing disabilities. To meet the complex needs of students in Connecticut with identified reading and writing disabilities, including dyslexia and dysgraphia, and students in need of intensive literacy interventions and supports, teachers and other education professionals need advanced training in effective and efficient methods for instruction and intervention. These skills are important for special educators, related service providers (e.g., school psychologists, speech language pathologists), and classroom teachers because many children fail to achieve

without intensive supports. This is especially current as the state of Connecticut places greater emphasis on meeting instructional needs rather than on disability identification in terms of creating an instructional program. In other words, both Tier 2 (secondary prevention) and Tier 3 (tertiary prevention) will include students with and without disabilities and will be taught by general and special education teachers (see the 2010 state guidelines for identifying children with learning disabilities). This reflects a greater national emphasis on this same approach (cf. Fuchs, Fuchs, & Compton, 2010; Fuchs, Fuchs, & Vaughn, 2013). To wit, the federal government has funded the National Center on Intensive Intervention with precisely this purpose in mind, to assure that students with serious and intractable learning difficulties receive the intensive interventions they will require to reach their academic potential.

The scientific knowledge base in effective literacy instruction and intervention for intensive learning needs is substantial and also rapidly changing and expanding. Therefore, many teachers' initial training and coursework will not have provided them with the most current knowledge and skills for supporting students with or at risk for reading and writing disabilities. The goal of the proposed Certificate program is to provide current and future special education teachers and other educational professionals with the knowledge and skills to be leaders in the effort to close the literacy achievement gap in Connecticut and ensure that students with intensive learning needs—i.e., students with or at risk for reading and writing disabilities—have the opportunity to become successful readers and writers.

Describe the educational prerequisites (and professional prerequisites, if appropriate) required of students for admission to this program.

Educational prerequisites:

- All Students:
 - Bachelor's/Master's degree in education or related area OR current enrollment in Master's/Ph.D. program in education or related area
 - minimum of a 3.0 grade point average or a GPA greater than 3.0 for the last two years of study
- International students:
 - Test of English as a Foreign Language (TOEFL)
 - minimum overall score of 550 for the paper-based test
 - or
 - minimum overall score of 79 for the internet-based test

Professional prerequisites:

- Experience in one or more educational settings, including but not limited to
 - K-12 Classroom
 - Clinic for Academic Support
 - Community-based youth services

Describe similar programs nationally, regionally, or in CT.

Currently, in Connecticut, no university offers a short, focused 12 credit certificate program in literacy instruction for students with or at-risk for learning disabilities in literacy. There are more specialized and extensive programs for literacy specialists (the state list enumerates them: http://www.sde.ct.gov/sde/lib/sde/PDF/Cert/guides/ap_ed_prep_prgms.pdf). These programs—in Connecticut, at UConn, the CSUs, and other private universities—lead to certification as a reading specialist and focus on developing broad expertise. The program at UConn, for example, is a 6th Year program in Reading Education with an overall emphasis that is necessarily, appropriately, and intentionally more general. Of the 30 credits, two courses focus on struggling readers (one in

assessment and one in instruction for students with reading difficulties). Our program, unlike the UConn 6th year certificate and other reading specialist programs, has an exclusive focus on students with the most serious and intractable learning difficulties caused by a psychological disorder in language processing (as students with learning disabilities affecting reading and writing have).

In addition, the reading specialist programs are degree programs designed specifically for literacy specialists. By contrast, the proposed certificate offers the opportunity to develop expertise in meeting the literacy needs of students with or at-risk for disabilities, without requiring the amount of time that degree programs require. This is consistent with our emphasis on attracting inservice special education teachers and related service providers interested in continuing education and current students interested in developing expertise in helping children with and at-risk for learning disabilities in reading and writing. In general, we are targeting this program toward individuals who are not seeking to change jobs but rather enhance their skills in providing literacy instruction to students with the most intensive needs, students with reading and writing disabilities and students at risk for them.

We note, in addition, that our proposed 12-credit certificate program does not lead to any SDE certification or licensure and that those who are interested in the Remedial Reading and Language Arts Teacher or Reading/Language Arts Consultant certificate would apply to the EDCI 30-credit 6th year program. Moreover, we see this certificate program as a potential gateway to more extensive reading specialist programs.

It is important to note that our certificate also includes an emphasis on students *at risk* for reading and writing disabilities, in addition those already identified with them. This is consistent with the emphasis on multitier systems of support for students in many schools, where many children are not identified with disabilities but still have very intensive needs. This reflects that there is considerable district- and school-level variation in disability identification, such that students may or may not be identified based on idiosyncratic application of the legal standard. In other words, disability criteria and intensive needs are separable, and the focus of our certificate is on the latter.

The only similar program in Connecticut is the new Anne E. Fowler Literacy Fellowship, offered at Fairfield University. Fairfield's program is distinct from the current proposal, as that program is designed to offer intensive literacy training that is much more similar to what is provided in literacy specialist training than what we are proposing. In addition, the Fellowship involves a scholarship and stipend, neither of which are part of the financial model for the proposed certificate. Rather, the certificate program should attract new enrollment and will not directly involve scholarships, although students would of course be eligible for university scholarships and other financial assistance if also enrolled in a degree program. The Fairfield program suggests, however, that there is a great need for programs that improve literacy instruction for students with and at-risk for disabilities. It is a good idea for UConn to lead this effort, as we expect this will become increasingly popular as the national emphasis on providing intensive intervention to very needy students grows.

In New York, several institutions of higher education offer programs that include emphasis specific to special education and to literacy. These include Hofstra University, Manhattanville College, Mount Saint Mary College, and Sage College. These programs offer certification in special education and literacy, something that is unique to New York State. This certificate would be unique in Connecticut and may make us competitive against similar—although not identical—programs in New York. Students interested in the New York programs might be able to use their literacy specialization from UConn to receive credit toward their New York certification. In theory, it may

even be helpful for students to have a certificate in a program focused exclusive on children with the most intensive learning needs if they wish to apply credits from UConn toward such a degree.

In Massachusetts, Simmons College in Massachusetts offers a program in special education and literacy, but it is designed to certify teachers in special education and provide them with a reading specialist credential. The proposed certificate does not include both certifications, as already described. In Rhode Island, no similar program exists. As a result, there is great potential for us to draw applicants from all of the surrounding states, in addition to preservice and inservice teachers in Connecticut.

The graduate certificate program will be open to all qualified applicants. The post-baccalaureate certificate program will be open only to students in the Integrated Bachelor's-Master's program who seek additional concentration on the teaching of reading and writing in elementary schools.

Describe the program learning outcomes (upon successful completion of the program, students will be able to...)

- Describe typical and atypical literacy development
- Identify and describe characteristics of students with, and at risk for, learning disabilities
- Integrate knowledge of typical/atypical reading and writing development, current state/national standards, and characteristics of students with disabilities and those with the most serious and intractable reading and writing difficulties
- Identify the principles of evidence-based, comprehensive reading and writing instructional curricula, particularly intensive interventions, that meets the needs of students with, and at risk for, learning disabilities
- Identify the principles of instructional pedagogy for students with, and at risk for, learning disabilities
- Integrate knowledge of principles of evidence-based literacy instruction curriculum and instructional pedagogy for students with, and at risk for, learning disabilities
- Use reliable and valid literacy assessments for purposes of screening, progress monitoring, and instructional decision making within the context of a multi-tiered system of support.
- Identify, select, modify and develop evidence-based reading and/or writing interventions targeted toward individual student needs within the context of a multi-tiered system of support.
- Research and design an evidence-based intensive literacy intervention unit targeting a specific group of students identified as struggling literacy learners
- Prepare unit and lesson plans that support targeted students in meeting unit/lesson learning objectives
- Deliver evidence-based instruction designed to meet the literacy needs of the targeted students

Curriculum information

Total number of credits required: 12

Note: All courses are existing courses that have supported good enrollment over the past several years. Students have been completing coursework consistent with the proposed Literacy Supports Certificate. Over the last four years, 35 students have completed a similar 12 credit sequence with an additional 10 students expected to complete the sequence this academic year. We expect enrollment to increase with an official certificate program.

Required courses for graduate and post-baccalaureate certificates

EPSY 5092 Literacy Supports Practicum

Additional required course for post-baccalaureate certificates

EDCI 4110W An Introduction to the Teaching of Reading and Writing in the Elementary School

Elective courses

Students enrolled in the graduate certificate program must select three courses from the offerings below. Students enrolled in the post-baccalaureate certificate program must select two courses from the offerings below.

EPSY 5113 Beginning Reading Supports for Students with Learning Difficulties

EPSY 5114 Adolescent Reading Supports for Students with Learning Difficulties

EPSY 5115 Writing Supports for Students Learning Difficulties

EPSY 5123 Instructional Strategies and Adaptations for Students with Special Learning Needs

Detailed course information

For each of the required and elective courses identified above (1) identify the instructor and her/his appointment status, (2) specify the expected frequency of offering (e.g., every semester, once a year), and (3) approval status.

EPSY 5092

1. Natalie Olinghouse (Associate Professor)
2. Once a year
3. Existing course

EPSY 5113

1. Mike Coyne (Professor)
2. Once a year
3. Existing course

EPSY 5114

1. Devin Kearns (Assistant Professor)
2. Once a year
3. Existing course

EPSY 5115

1. Natalie Olinghouse (Associate Professor)
2. Once a year
3. Existing course

EPSY 5123

1. Devin Kearns (Assistant Professor)
2. Once a year
3. Existing course

EDCI 4110W

1. Mike Coyne (Professor)
2. Once a year
3. Existing course

Program evaluation

- Course and certificate enrollment
- Student evaluations of teaching

- Percentage of certificate students who obtain or maintain positions requiring knowledge and skills targeted by Literacy Supports Certificate

Enrollment. The literacy specialization will be made available to current students who are interested in informing employers of their specialization in literacy, to make clear that they would be a good fit for positions focused on providing special education services to children with reading and writing disabilities. Given that about 35% of Connecticut children with disabilities have learning disabilities and that nationally reading and writing disabilities comprise about $\frac{3}{4}$ of the population with students with learning disabilities, a certificate with a literacy focus from a special education program may make teachers especially competitive for jobs focused on serving students with mild and moderate disabilities. Given this, there is a market for teachers with knowledge of interventions to support the students with the most intensive learning needs. We believe that this course will be of interest to teachers interested in increasing their marketability for these positions. Notably, our emphasis is not necessarily on encouraging teachers to change jobs (e.g., a school psychologist who enrolls would likely stay a school psychologist), but we imagine candidates wishing to change districts would be earnest to illustrate their knowledge of literacy supports for students with and at risk for learning disabilities in reading and writing.

In addition, beginning in 2015, Connecticut law requires that all teachers receive instruction on dyslexia. We expect that when teachers encounter this topic in their introductory special education courses, it may pique their curiosity about teaching students with this disability. Our program will include coursework that addresses the etiology of dyslexia and interventions designed to remediate it, so it may be of interest to teachers who learn about dyslexia in their coursework. We anticipate that this may lead to enrollment in our certificate program.

The literacy specialization will also be marketed to inservice teachers who are interested in further developing their ability to provide literacy instruction to students with intractable, serious learning disabilities and difficulties in their classrooms. We expect former students of our program as well as other teachers who have interest in literacy and reading intervention for students with and at risk for learning disabilities to enroll. Our data suggest that former students have had positive experiences in our program and found the learning beneficial, so we expect enrollments from this group. In addition, we have informal reports of interest from inservice teachers who work with children in need of literacy supports. Thus, we expect additional credit generation, both from current students who would not select a specialization or who would take fewer classes before graduation and from inservice teachers who will take the specialization to build their skills (and receive service credit) but will not need to enroll in the special education program per se.

Student evaluations of teaching. A critical metric will be students' perceptions of the value of the sequence. We expect evaluations to be high, given the track record at UConn of Coyne and Olinghouse. Kearns has not taught at UConn before, but his track record from previous employment suggests a high likelihood of good evaluations. Moreover, students are given multiple options for completing the specialization, allowing them to focus on the

aspects of instruction that are most interesting to them. In addition, the course sequence involves separate but complementary sets of information and provides instruction on the features of instruction critical to the success of students with and at risk for disabilities. Finally, the practicum gives students the opportunity to apply their learning directly. We expect students to rate the practicum highly, given that their learning in other courses will directly support their practicum experience. This combination of factors should lead to students having high satisfaction with the certificate program

Obtaining and maintaining positions that require knowledge provided by the certificate. The most important metric from many students' point of view is whether this certificate helps them obtain or maintain jobs that allow them to exercise the skills obtained through the certificate program. Our expectation is that schools and districts will view teachers who obtain the certificate as more knowledgeable about literacy than other teachers of students with and at-risk for disabilities who do not have the certificate, as we previously stated. Many special education and complementary placements require extensive knowledge of the fundamental aspects of literacy that lead to positive school and life outcomes, and many postings for special positions require training and experience in literacy for students with serious and intractable difficulties in reading and writing. As a result, we expect students to be successful in obtaining positions that emphasize these skills, in transitioning into literacy-related positions from other positions that do not require the same extensive knowledge of literacy, and in maintaining employment in their current positions that involve the need for literacy training. The last of these is included because we expect some students to enroll both because they wish to hone their skills in providing literacy supports to students with and at risk for reading and writing disabilities and because they are interested in salary-scale advancement. , Again, we emphasize that we intend this program to focus on special education teachers and related service providers who do not intend to change jobs but maintain their positions. As a result, we expect people not to change jobs but maintain the ones they have. Maintaining positions would be viewed as evidence of a positive outcome.

Resources available to support the program

Financial resources

We have the capacity in existing courses to run the program. The program requires no additional courses, faculty, staff, or other resources. No budget is attached.

Facilities/Equipment/Library/Special resources

No additional or unique resources are necessary for program success.

ATTACHMENT 12



August 5, 2015

TO: Members of the Board of Trustees

FROM: Jeffrey R. Seemann, Vice President for Research

RE: Approval to Amend the *By-Laws of the University of Connecticut*

A handwritten signature in blue ink, likely belonging to Jeffrey R. Seemann, is positioned to the right of the "FROM:" line. The signature is stylized and fluid.

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article XV.G – General Policies and Practices.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. Such notice was given on June 24, 2015.

The state of Connecticut modified the statute governing ownership of inventions. The prior statute stated: "The University shall be entitled to own the entire right, title and interest in and to any invention." The pertinent section of the statute now reads: "The university shall own, or participate in the ownership of . . . any invention." This change was made in light of the Supreme Court ruling in *Stanford v. Roche* to clarify the legislative intent that ownership in inventions vests immediately in the university, and is not dependent on further action or agreement with the inventor.

For the information of the Board, the original language of the University By-Laws, with indications of the proposed amendments, is attached.

ARTICLE XV – General Policies and Practices

G. Intellectual Property

1. *Ownership of inventions*¹

~~The University shall be entitled to own, or to participate in the ownership of, any invention, on the following conditions:~~

- a. The University shall ~~be entitled to~~ own, or participate in the ownership of, the entire right, title, and interest in and to any invention:
 - i. conceived by one employee solely or by employees jointly in any instance in which such invention is conceived in the course of performance of customary or assigned duties of the employee inventor or inventors, or
 - ii. in which the invention emerges from any research, development, or other program of the University, or
 - iii. is conceived or developed wholly or partly at the expense of the University, or
 - iv. with the aid of its equipment, facilities, or personnel.
- b. In each such instance, the employee inventor shall be deemed to be obligated, by reason of employment by the University, to (i) disclose the invention fully and promptly to an authorized executive of the University; (ii) to assign to the University the entire right, title, and interest in and to each such invention; (iii) to execute such instruments of assignment to that effect; (iv) to execute such proper patent applications on such invention as may be requested by an authorized executive of the University, and (v) to give all reasonable aid in the prosecution of such patent applications and the procurement of patents.
- c. The University shall have the rights defined in Section G.1.a. with respect to inventions conceived jointly between employees and non-employees to the extent to which an employee has or employees have disposable interests therein and to the same extent the employee or employees shall be obligated as defined in said Section G.1.b.
- d. The University shall have no right to inventions conceived by non-employees, except as may be otherwise provided in contracts, express or implied, between the University and those entitled to the control of inventions.

¹ For the purpose of this section, ‘invention’ shall mean that which is produced for the first time through the use of imagination or ingenious thinking and experiment.

ATTACHMENT 13



August 5, 2015

TO: Members of the Board of Trustees

FROM: Jeffrey R. Seemann, Vice President for Research
Mun Y. Choi, Provost
Richard F. Orr, General Counsel

RE: Intellectual Property and Commercialization Policy

RECOMMENDATION:

That the Board of Trustees approve the Intellectual Property and Commercialization Policy.

BACKGROUND:

UConn's teaching, research and economic development mission is enhanced by the intellectual property generated by our faculty, staff and students. It is essential that the policies governing intellectual property serve UConn's mission and that such policies are easily accessible to, and are understood by, members of the University community and our external partners.

Beginning several years ago, the Office of the Vice President for Research ("OVPR"), the Provost's Office and the former Office of Economic Development ("OED") determined that these policies would benefit from review, update and clarification. A team consisting of representatives of all three groups, and with the close support of the Office of the General Counsel reviewed all of the applicable UConn policies with the goal of creating a single policy that is comprehensive, consistent and clear.

The attached Intellectual Property and Commercialization Policy ("Policy") is the product of that effort. For the most part, the substantive provisions of the Policy are not new. Rather they are a restatement of existing policies or, in some cases, a codification of existing practices that were not previously memorialized in written policies. In some cases the Policy clarifies matters or fills gaps in existing policies.

The Policy applies to faculty, staff and students at Storrs, and all other campuses including UConn Health.

Also on the Board agenda for action today is a proposed By Law revision that will bring the By Laws into conformance with a recent statutory change. The Policy is consistent with the statute and the revised By Law.

Key Provisions

The Policy includes the following key provisions, all of which were included in the previous policy or were established practices at UConn:

- Although inventions by faculty in connection with their employment are the property of UConn, faculty inventors are entitled to share in any revenues received by UConn upon successful commercialization of intellectual property.
- UConn seeks to work with industry partners to collaboratively create new intellectual property and to license UConn intellectual property to industry partners under commercially reasonable terms.
- UConn supports faculty-founded companies provided the faculty member's work complies with UConn's consulting and conflict of interest rules.
- The OVPR has responsibility for implementing and interpreting this Policy.

Clarifications

The Policy clarifies certain issues related to commercialization of technology and incorporates important policies and procedures for faculty inventors that start companies. The Policy addresses, among other things, rules that apply if the faculty company wishes to use University resources, conflict of interest disclosures, and employment of students to work at a faculty affiliated company.

Development and Review

As the policy was developed, earlier drafts were shared with key constituents. Comments were solicited and incorporated as appropriate.

The Policy was reviewed by the President's Cabinet, the Council of Deans, the University Senate's Faculty Standards Committee, and the Office of Audit, Compliance, and Ethics. The draft policy was also provided and comments were requested from collective bargaining units that represent the faculty and staff. In addition, various faculty inventors were asked to provide comments. The OVPR and former OED held several forums in Storrs, Farmington and Avery Point to explain the draft Policy and answer questions.

Overall, the draft Policy was strengthened and clarified through this process.

RESOLUTION

Resolved, that the Board of Trustees adopts Intellectual Property and Commercialization Policy dated August 5, 2015 and that it be effective immediately. Prior policies and practices regarding the same subject matter are superseded by this Policy.



Intellectual Property and Commercialization Policy

Reason for Policy: The University encourages the development and commercialization of intellectual property invented, created and developed by faculty, students and staff. Intellectual property generally consists of patents, copyrights, trademarks and trade secrets. This policy sets out the University's policies with respect to such intellectual property, including its ownership, protection and commercialization. The policy is organized as follows: (1) Ownership and Protection of Intellectual Property, (2) Commercialization of Intellectual Property, (3) Income Derived from Intellectual Property, (4) Licensing and New Company Formation, (5) Dealing with Outside Parties, (6) Other Considerations, (7) Exceptions, (8) Enforcement, and (9) Related Policies and Procedures. No policy of this nature can cover every possible scenario but it seeks to provide clarity on intellectual property and commercialization issues. The Office of the Vice President for Research ("OVPR") is the entity at the University primarily responsible for implementing and interpreting this Intellectual Property and Commercialization Policy, and is ready to work with faculty, staff and students to explain these policies and make determinations in specific cases.

Applies to: Faculty, staff and students at the Storrs and Regional Campuses and UConn Health

1. Ownership and Protection of Intellectual Property

A. Inventions and Patents. Under Connecticut state law, the University owns all inventions created by employees in the performance of employment with the University or created with University resources or funds administered by the University ("University Inventions"). An issued patent is a limited grant from the federal government or a foreign government giving the owner of the patent the right to exclude others from practicing the inventions claimed in the patent.

B. Copyrights. Copyright protection extends to any original works of authorship fixed in any tangible medium of expression. A copyright owner possesses a series of exclusive rights, including the exclusive right to reproduce the work, prepare derivative works, distribute copies of the work, perform the work (in some cases) and display the work (in some cases). The University does not claim any product of authorship, unless a contract to the contrary modifies this general rule. If a contract grants ownership of the copyrighted work to an industry sponsor or other outside entity, an employee may be required to acknowledge the grant of ownership as a condition of working on such contract. If a contract grants ownership of the copyrighted work to the University, the work will be considered a "University Copyright." In addition, the AAUP

Collective Bargaining Agreement and other relevant collective bargaining agreements may contain provisions that allocate copyright ownership and other rights between faculty, staff and the University.

C. Trademarks. A trademark is a word, name, symbol or design that helps consumers identify and distinguish the source of a product from the products of others. Similarly, a service mark is a word, name, symbol or design used by a person providing services to help the public identify and distinguish the source of the services from the service of others. A trade name is a name used to identify a business. The University owns all rights, title and interest in all trademarks, service marks, trade names and other brand designations that relate to University Intellectual Property (as defined below) or to any University-related program of education, service, public relations, research or training (“University Trademarks”). University Trademarks may be used only with the express written permission of the University. Except as stated in Section 3(C), this Policy does not cover the use or licensing of University Trademarks; a link to the University’s trademark licensing procedures can be found in the “Related Policies” section of this Policy.

D. Trade Secrets. A trade secret is any formula, pattern, device, method, know how or compilation of information that derives independent economic value from not being known by others, and is the subject of efforts by the owner to maintain its secrecy. The University maintains a number of trade secrets, including but not limited to, unpublished grant proposals, invention disclosures and scientific data for which the University has not applied for patent protection (“University Trade Secrets”). The University will also agree on a limited basis to maintain the trade secrets of its industry partners.

E. University Intellectual Property or UIP. For the purposes of this Policy, University Inventions, University Copyrights and University Trade Secrets are referred to as “University Intellectual Property” or “UIP.” As noted above, University Trademarks are covered by a separate policy.

2. Commercialization

A. Industry Sponsored Research. The University is committed to developing industry collaborations and supporting research and development. The University understands that industry partners have diverse and unique needs, and is committed to providing contract terms and IP rights that meet these needs. Faculty members intending to work with industry partners should contact the OVPR so that different options can be discussed. The University offers many innovative intellectual property ownership models, including granting exclusive and non-exclusive licenses, which provide broad benefits to both industry and the University.

B. Government Sponsored Research. UIP arising from research funded by the US government or other funding agency will be controlled by the terms of the grant or contract and applicable laws, including the Bayh-Dole Act. Government-funded UIP will usually be owned by the University, subject to certain rights retained by the government.

C. Student Intellectual Property. Students will own the intellectual property that they invent, create and develop, including work created for a class, unless the student is (1) working on a University research grant or other sponsored research, (2) working for the University as an employee, or (3) working under a contract that stipulates otherwise. In these cases, the University will own the intellectual property.

D. Disclosure of Inventions. All faculty, employees (including students who are working for the University) and students (to the extent the student is working under a research grant, sponsored research or other governing contract) must disclose promptly any potentially patentable invention to the OVPR, and execute documents necessary for invention evaluation, patent prosecution or protection of University Patents. The disclosure should be made as soon as a faculty, employee or student becomes aware that their research or work has resulted in a patentable invention. OVPR will provide a preliminary evaluation of the patentable invention to the inventor within three months of disclosure, and will also provide periodic updates to inventor on the development and commercialization of the invention.

E. Determining Ownership and Protecting Intellectual Property. The OVPR, in consultation with the faculty member, staff, student and industry partner (if applicable), will determine the ownership of all inventions and trade secrets, whether a copyrighted work is a University Copyright, and whether to seek patent or copyright protection for the intellectual property.

3. Income Derived from Intellectual Property

A. Inventions. Under Connecticut state law and the by-laws of the University, the net revenue derived from licensing or income from the assignment or sale of University Inventions will be shared with employee inventors. Although current state law only requires the University to

distribute 20% of the net revenues to inventors, the Board of Trustees has approved the distribution of net revenue as follows: 33.3% to the inventors on the patent, 33.3% to their department and 33.3% to the University. Net revenue is defined as the gross proceeds derived from assigning or licensing the University Invention, less costs and expenses reasonably allocated to the University Invention. In addition, the department's share is further divided among the dean, the department head and the faculty member. The portion of revenue distributed to the University will be invested in research related activities and patent protection. If a faculty member, student or staff member is an inventor and leaves the University, he or she will remain entitled to the inventor's share of the revenue. In some prior cases, the University has made distributions using different allocations, and may in the future enter into mutually agreed distributions that differ from the above formula.

B. University Copyrights. Net revenue derived from licensing of University Copyrights is property of the University, and the distribution of such revenue will be determined on a case by case basis, but may be distributed in a similar manner to the distribution for inventions as described above.

C. University Trademarks. The University generally retains all income derived from licensing of University Trademarks.

4. Licensing and New Company Formation

A. Licensing of Intellectual Property. The OVPR seeks to identify existing businesses that can further develop and commercialize the University Intellectual Property and bring a reasonable financial return to the University. Income derived from OVPR's licensing activities is governed by Section 3 above.

B. New Company Formation. In cases where the faculty inventor may wish to form a new company based on the University Invention (hereinafter a "Faculty Startup" or "Startup"), the University will require that the following conditions be satisfied before granting a license to University Intellectual Property to the Startup: (1) the faculty member may be involved with the Startup as a consultant or scientific advisor, but may not take on an operational role that interferes with her or his duties as a faculty member, (2) the Startup must demonstrate willingness and commitment to identify and engage individuals with reasonable and relevant experience to serve as the operating officer of the Startup, and (3) the Startup should develop a business plan and a fundraising plan. As part of granting the license to the Startup, the Startup will provide consideration to the University, which could include a reasonable equity stake, a reasonable royalty, as well as assuming the costs of intellectual property protection. Income earned by University from a Startup license or equity ownership is governed by Section 3 above.

C. Additional Considerations for Faculty Affiliated Companies. The following additional considerations apply to Startups:

- (1) A faculty lab may collaborate or subcontract with a Startup only if an appropriate agreement is negotiated with the OVPR. The contract must contain a scope of work and clearly define responsibilities between the Startup and the faculty lab. The agreement will be governed by the applicable University policies, including this IP and Commercialization Policy.
- (2) The faculty member may not represent the University in any negotiation or decision involving a Startup.
- (3) The faculty member individually, and the Startup, must maintain practices that ensure that University material, data and intellectual property that are not licensed to the Startup are separated from and not used inappropriately by the Startup.
- (4) The faculty member may not use space in an academic lab, or other University or state resources, including the University's purchasing authority, for the benefit of a Startup unless (a) prior written approval has been granted by the appropriate department head or dean, and (b) a written agreement is in place with the University authorizing such use and agreeing to reimburse the University for such use.
- (5) A faculty member that works on a Startup must fully comply with the policies on "Consulting for Faculty and Members of the Faculty Bargaining Unit" and "Financial Conflict of Interest in Research" and any other similar or successor policies on the same subject. These policies are designed to ensure that a faculty member's relationship with a Startup does not create a real or perceived conflict of interest, and that the faculty member and University have agreed on the scope of permissible Startup activities.
- (6) Startups may not compete with the University for research grants that could appropriately be conducted in the faculty member's lab (i.e., grants for basic research).

D. Employment of Students Working at Startups. Faculty associated with a Startup may not unduly influence a student to accept employment. The employment of students at a Startup is governed by a separate University policy, namely "Use of Students in Outside Employment." Under this policy, the faculty member must obtain written approval from the department head or dean prior to employing a student at a Startup, and the student may seek recourse through the Provost to address any grievances that may arise during the term of employment.

The University requires that each student receive a written offer of employment with a specific scope of work or job description, the rate of compensation and the expected hours of work. In addition, the student should receive a fair market value rate of pay.

E. Licensing Back of University Intellectual Property. If the University does not believe that it can successfully commercialize a University Invention, and if the faculty member is not interested in founding a Startup, the University will offer the inventor a license of the University Invention (such license referred to as a "license back"). In addition, the inventor may request a

license back from OVPR at any time. OVPR is not required to grant a license back but will respond to any request within three months. If the University elects to license back the University Invention to the inventor, the inventor, as licensee, will assume obligations related to patent expenses and commercialization and will agree to pay a portion of the gross revenue that the inventor receives through his or her commercialization efforts. In addition, the inventor will not be entitled to the net revenue payments described in Section 3 of this policy.

5. Dealings with Outside Parties

A. Sponsored Research. All sponsored research agreements are negotiated and managed by the OVPR. Individual faculty members, departments, centers and other units of the University must work through the OVPR on such agreements.

B. Consulting. University faculty are encouraged to consult with industry, but must comply with all procedures set forth in “Consulting for Faculty and Members of the Faculty Bargaining Unit.” These policies apply even if the faculty member is consulting for a Startup, including a Startup with which that individual faculty member is affiliated.

Faculty members are required to ensure that the intellectual property provisions of any consulting agreements with industry do not conflict with the faculty member’s obligations to the University.

C. Use of Non-Disclosure Agreements. Non-public information related to University Intellectual Property should not be disclosed to outside parties unless there is in place a fully executed Non-Disclosure Agreement negotiated by OVPR and approved by University counsel.

D. Tangible Property. Tangible property, including but not limited to software, devices, designs, models, cell lines, plans, seeds, antibodies, compounds and formulations that are University property, may not be transferred outside of the University unless there is in place a fully executed Material Transfer Agreement negotiated by OVPR and approved by University counsel.

6. Other Considerations

A. Publication. Inventors should be aware that publication of research data and findings can jeopardize intellectual property rights for the University and the faculty member. When the University enters into industry sponsored research agreements, it will retain the right to publish all research results generated by faculty and students. The University may agree to delay the publication of research results that arise from industry sponsored research for a reasonable period of time to allow the sponsor to review the publication in order to determine if any confidential information should be removed or if a patent application should be filed.

B. Affiliates and Intellectual Property. In some limited cases, the University has affiliation agreements with other organizations (e.g. hospitals), and these agreements may grant the

University additional intellectual property rights. For example, if a student is receiving a stipend from the University through an affiliate organization, the University will assert ownership of intellectual property created by the student.

C. Open Source Software Distribution. In those instances in which the University has an ownership interest in software, faculty and sponsors of research may request that the University distribute or otherwise make available software pursuant to an open source license. The faculty member should consult with OVPR to determine if such distribution is in the best interests of the University.

D. Signing of Agreements. Sponsored Research Agreements, Intellectual Property Licenses, Confidential Disclosure Agreements, Material Transfer Agreements and other related agreements that obligate the University may only be signed by an authorized University signatory. A full list of authorized signatories is set forth in the President's Resolution Delegating Signing Authority (the website link is provided at end of this Policy).

Deans, Faculty and Department heads are **not** authorized under the President's Resolution to sign agreements covered by this Policy.

E. Disagreements Related to Inventions. The University By-Laws establish procedures to follow in the event of a disagreement related to inventions.

7. Exceptions to This Policy

Exceptions to this Intellectual Property and Commercialization Policy may be approved by the OVPR, in consultation with the applicable University department, and faculty members, in its sole discretion. The Office of Clinical and Translation Research may approve changes to clinical trial agreements.

8. Enforcement

Violations of this policy may result in appropriate disciplinary measures in accordance with University Laws and By-Laws, General Rules of Conduct for All University Employees, applicable collective bargaining agreements, and the University of Connecticut Student Code.

9. Related Policies, Procedures and Board Resolutions

This policy replaces "Policy Regarding Research Collaborations with Industrial Partners and Technology Transfer"

The following are links to related policies, procedures and board resolutions.

"Consulting for Faculty and Members of the Faculty Bargaining Unit"

"Consulting by Faculty" website

“Financial Conflicts of Interest in Research”

“Use of Students for External Employment”

“Board of Trustees Resolution Delegating Signing Authority”

“Inventor’s Guide”

University Trademark website

If any of the above policies are amended or new relevant policies adopted, these amended or new policies will apply as of their effective date.

Adopted by the University of Connecticut Board of Trustees on _____ and effective as of

ATTACHMENT 14

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Enhancement of Veterans Benefits

RECOMMENDATION:

That the Board of Trustees approve the Enhancement of Veterans Benefits in accordance with the Veterans Access, Choice and Accountability Act of 2014 ("Choice Act"), and Section 10a-105 of the Connecticut State Statutes, effective in the fall of 2015.

BACKGROUND:

The proposed enhancement is based upon the Veterans Choice Act, section 702, which mandates that a public institution of higher education must charge qualifying veterans (those using VA benefits to pay for tuition) the resident student rate for the institution to receive VA tuition payments for that student. UConn's Office of Veterans Affairs and Military Programs proposes a more expansive benefit than then Veterans Choice Act by eliminating the three-year limitation from the date of discharge to the date of enrollment.

BASIC COMPLIANCE WITH THE LAW

The following University of Connecticut students will be charged tuition at the in-state rate in accordance with the Veterans Access, Choice and Accountability Act of 2014, effective July 1, 2015.

- A veteran using educational assistance under either Chapter 30 or Chapter 33 of Title 38, United States Code, who lives in Connecticut while attending the University of Connecticut (regardless of his/her formal state of residence) and enrolls in the school within three years of discharge or release from a period of active duty service of 90 days or more.
- Anyone using transferred Chapter 33 benefits who lives in Connecticut while attending the University of Connecticut (regardless of his/her formal state of residence) and enrolls in the school within three years of the transferor's discharge or release from a period of active duty service of 90 days or more.
- Anyone using benefits under the Marine Gunnery Sergeant John David Fry Scholarship who lives in Connecticut while attending the University of Connecticut (regardless of his/her formal state of residence) and enrolls in the school within three years of the service member's death in the line of duty following a period of active duty service of 90 days or more.

- Anyone described above while he or she remains continuously enrolled (other than during regularly scheduled breaks between courses, semesters, or terms) at the same school. The person so described must have enrolled in the school prior to the expiration of the three year period following discharge, release, or death described above and must be using educational benefits under Chapter 30, Chapter 33, or Fry Scholarship, of Title 38, United States Code.

OFFICE OF VETERANS AFFAIRS AND MILITARY PROGRAM PROPOSAL

The following University of Connecticut students will be charged tuition at the in-state rate:

- A veteran using educational assistance under either Chapter 30 or Chapter 33 of Title 38, United States Code, who lives in Connecticut while attending the University of Connecticut (regardless of his/her formal state of residence) and enrolls in the school after release from a period of active duty service of 90 days or more.
- Anyone using transferred Chapter 33 benefits who lives in Connecticut while attending the University of Connecticut (regardless of his/her formal state of residence).
- Anyone using benefits under the Marine Gunnery Sergeant John David Fry Scholarship who lives in Connecticut while attending the University of Connecticut (regardless of his/her formal state of residence) and enrolls in the school after the service member's death in the line of duty following a period of active duty service of 90 days or more.
- Anyone described above while he or she remains continuously enrolled (other than during regularly scheduled breaks between courses, semesters, or terms) at the same school. The person so described must be using educational benefits under Chapter 30, Chapter 33, or Fry Scholarship, of Title 38, United States Code

RATIONALE

The Veterans Affairs and Military Programs proposal removes the law's three-year post-service enrollment requirement. This represents a more liberal application of the law, and is consistent with nearly twenty other states that implemented this change prior to passage of the Choice Act. This is only applicable for GI Bill beneficiaries who do not have Connecticut residency. Any eligible beneficiary will be charged tuition at the in-state rate, regardless of residency. The University will receive tuition from the VA on behalf of the beneficiary, as the University has a "payer of first resort" agreement with the VA, ensuring that UConn will still receive tuition payments from the VA, even if the veteran is eligible for the tuition waiver.

Connecticut State Statute 10a-105 describes qualifications for a veteran's tuition waiver. In 1994, the Board approved a veteran's fee waiver policy providing fee waivers for veterans matriculated in fee-based programs in the fall and spring semesters. The amount of the waiver is equivalent of the in-state per credit tuition rate. In 2013, the Board extended the veterans waiver benefit to veterans enrolled in degree programs that are offered in a strict sequence, closed-cohort basis in the summer and/or intersession semesters.

ATTACHMENT 15

August 5, 2015

TO: Members of the Board of Trustees
FROM: Susan Herbst 
RE: Establishment of the Comcast Chair in Cyber Security

RECOMMENDATION:

That the Board of Trustees establish the Comcast Chair in Cyber Security in the School of Engineering.

BACKGROUND:

An endowment fund to support an endowed chair in Cyber Security was created by Comcast with a pledge in May 2015.

The individual appointed to the chair will be a nationally or internationally recognized researcher, scholar, and teacher and will have made significant contributions to the field of cyber security.

Additionally Comcast's commitment provides for:

- Graduate Student Diversity Fellowships – to actively encourage and expand diversity and women engineers in the field of cyber security.
- CyberSEED – an annual academic and industry conference to advance research in the field of cyber security.
- Comcast Distinguished Speaker Series – nationally and internationally renowned speakers to give talks on emerging cyber security problems, and is webcast internationally.
- Research in the Public Good – for research that is published to enrich the field of cyber security.

Comcast – the nation's largest cable provider – teamed up with UConn's Center for Hardware Assurance, Security and Engineering (CHASE) in 2011, ensuring its devices and customer premise equipment (CPEs) are among the most secure systems in the world. In April 2014, Comcast created the "Comcast Center of Excellence for Security Innovation (CSI)" at UConn to expand its research and workforce development activities with the University. Comcast CSI has also committed to being an anchor tenant in the UConn Technology Park.

In addition to its cable services, Comcast's residential & commercial broadband Internet, telephone and home security systems rely on the integrity of the company's hardware systems.

This recommendation was approved by the Institutional Advancement Committee on June 9, 2015.

ATTACHMENT 16

August 5, 2015

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Modification of Naming Recognition Provided to Northeast Utilities Corporation, its Subsidiaries, and Foundation to Reflect New Eversource Energy Identity

RECOMMENDATION:

That the Board of Trustees approve modifying all naming recognition associated with contributions made to the UConn Foundation by Northeast Utilities, its subsidiaries and foundation to recognize Eversource Energy, the successor corporation and foundation to Northeast Utilities' prior entities.

BACKGROUND:

In January 2015, Northeast Utilities announced plans to rebrand their Connecticut and Massachusetts companies by changing its identity to Eversource Energy. Eversource Energy Servicing Corporation and Eversource Energy Foundation subsequently requested that the Eversource Energy name be applied to all accounts established at the UConn Foundation and all naming recognition associated with such accounts.

There are nine funds that would be affected by this change, including three academic chairs for which University Board of Trustees' approval is required under the *Named Gift Guidelines* in order to modify the name:

- 22559 Northeast Utilities Tech Knowledge Portal Fund
- 30113 Northeast Utilities Fund for Enterprise Development
- 30369 Northeast Utilities Engineering Scholarship Endowment Fund
- 30574 Northeast Utilities Foundation Chair of Environmental Engineering
- 30621 Northeast Utilities Chair in Business Ethics
- 30622 Northeast Utilities Environmental Engineering Clinic
- 30802 Northeast Utilities Marine Science Award Fund
- 30803 Northeast Utilities Marine Science Program Fund
- 35030 Northeast Utilities Chair in Experimental Oncology

The new names will be:

- 22559 Eversource Energy Tech Knowledge Portal Fund
- 30113 Eversource Energy Fund for Enterprise Development
- 30369 Eversource Energy Engineering Scholarship Endowment Fund
- 30574 Eversource Energy Foundation Chair of Environmental Engineering
- 30621 Eversource Energy Chair in Business Ethics
- 30622 Eversource Energy Environmental Engineering Clinic
- 30802 Eversource Energy Marine Science Award Fund
- 30803 Eversource Energy Marine Science Program Fund
- 35030 Eversource Energy Chair in Experimental Oncology


Northeast Utilities, now Eversource Energy, its subsidiaries and foundation, have been generous donors to the University of Connecticut since 1982. The largest donation was a gift of \$1 million to the School of Business to establish an endowed Chair of Business Ethics.

This naming recommendation was approved by the Institutional Advancement Committee on June 9, 2015.

ATTACHMENT 17

August 5, 2015

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Renaming of the Women's Basketball Manager Work Room in the Werth Family Basketball Champions Center

RECOMMENDATION:

That the Board of Trustees authorize the renaming of the Women's Basketball Manager Work Room in the Werth Family Basketball Champions Center on the UConn Storrs campus in honor of Amy Errett and Clare Albanese. The plaque wording will read Errett-Albanese Family.

BACKGROUND:

Amy Errett and Clare Albanese have pledged an amount exceeding the recommended named gift guidelines to name the Women's Basketball Manager Work Room.

Ms. Errett is a Partner at Maveron LLC, a Seattle-based venture capital firm. She has more than 25 years of business and operating expertise as a leading entrepreneur, senior executive and social-mission visionary. She earned her undergraduate degree in Urban and Community Studies from UConn in 1979. Ms. Errett began her giving history in 2010, and has consistently donated to the business school and athletics. In 2011 she established the Errett Family Scholarship.

The Board of Trustees previously named the Women's Basketball Manager Work Room in recognition of contributions made by Craig and Sandy Ashmore. This recognition was approved December 10, 2014. These donors subsequently increased their donation to the Werth Family Basketball Champions Center and will receive naming recognition in an alternate space in the facility that is commensurate with such increased support.

The Werth Family Basketball Champions Center is a state-of-the-art facility designed to address the on and off court needs of the men's and women's basketball student-athletes at the University of Connecticut. The 78,000 square foot space is located at 500 Jim Calhoun Way on the University of Connecticut Storrs Campus. It houses academic, athletic training and rehabilitation, dining and nutrition, practice and training, and locker facilities that will enhance development as student-athletes.

This naming recommendation was approved by the Institutional Advancement Committee on June 9, 2015

ATTACHMENT 18

August 5, 2015

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for The Maryann dePreaux Walmsley Cancer Center Library

RECOMMENDATION:

That the Board of Trustees authorize the naming of the cancer center patient infusion library in the Outpatient Pavilion at UConn Health in memory of Maryann dePreaux Walmsley.

BACKGROUND:

The Carole and Ray Neag Comprehensive Cancer Center, which currently offers services throughout the main hospital, is expected to be centralized in a new outpatient pavilion. The Cancer Center is expected to occupy the entire fourth floor and include the planned 527 square foot patient infusion library.

Maryann dePreaux Walmsley passed away on April 29, 2014 after a battle with ovarian cancer. A patient of UConn Health and Dr. Molly Brewer, she was an advocate for ovarian cancer research serving as a team organizer for the Annual Run/Walk to Break the Silence on ovarian cancer, and a committee member of the UConn Cancer Research Golf Tournament. In memory of Mrs. Walmsley, her husband, Thomas Walmsley, has pledged an amount exceeding the amount recommended under the University's *Named Gift Guidelines* to name the cancer center patient infusion library in her honor.

Maryann and Thomas Walmsley have been generous donors UConn Health, passionately supporting cancer research and treatment. They have made an outright gift to the Women's Cancer Prevention and Treatment Program, supporting Dr. Brewer's research, and they have also contributed to the UConn Cancer Golf Fund.

Mrs. Walmsley received her executive MBA from the University of Connecticut. Until her death, she was employed as an Investment Managing Director at Cigna, where she worked for 24 years. Thomas earned his undergraduate degree from the University of Connecticut and currently works as Second Vice President of Bond and Financial Products at Travelers.

This naming recommendation was approved by the Institutional Advancement Committee on June 9, 2015.

ATTACHMENT 2

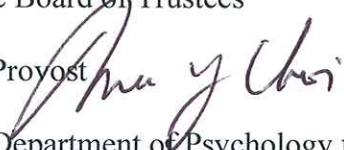
**University of Connecticut Department of Human Resources
Emeritus Retirees
August 5, 2015 Board of Trustees Meeting**

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>RETIRED</u>	<u>HIRED</u>
Barker, Keith	Professor	Computer Science & Engr.	School of Engineering	6/1/2015	9/1/1984
Bass, Richard	Board of Trustees Distinguished Prof.	Mathematics	Liberal Arts & Sciences	6/1/2015	8/23/1998
Bloom, Lynn Z.	Board of Trustees Distinguished Prof.	English	Liberal Arts & Sciences	6/1/2015	9/1/1988
Bohannon, Richard W.	Professor	Kinesiology	Ag., Health & Nat. Resources	7/1/2015	9/1/1987
Chen, Thomas T.	Professor	Molecular & Cell Biology	Liberal Arts & Sciences	8/1/2015	7/1/1995
Crow, Laura J.	Professor	Dramatic Arts	School of Fine Arts	6/1/2015	9/1/1994
Goodkind, Thomas	Professor	Curriculum & Instruction	School of Education	6/1/2015	9/16/1965
Healy, Lynne M.	Board of Trustees Distinguished Prof.	Social Work	School of Social Work	6/1/2015	9/1/1979
Hoagland, Thomas A.	Professor	Animal Science	Ag., Health & Nat. Resources	6/1/2015	9/15/1980
Morris, John B.	Board of Trustees Distinguished Prof.	Pharmaceutical Sciences	School of Pharmacy	6/1/2015	9/1/1981
Peterson, Richard S.	Professor	English	Liberal Arts & Sciences	6/1/2015	9/1/1980
Whitman, Robert	Professor	Law School Instr. & Res.	School of Law	7/1/2015	9/1/1966
Woods, David G.	Professor	Music	School of Fine Arts	6/1/2015	8/1/2000

ATTACHMENT 19

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Renaming the Department of Psychology to the Department of Psychological Sciences in the College of Liberal Arts and Sciences

BACKGROUND:

The Department of Psychology is the largest department in the College of Liberal Arts and Sciences, with 55 tenured or tenure track faculty at 4 campuses, approximately 1100 undergraduate majors, and 120 Ph.D. students in 7 different graduate fields of study.

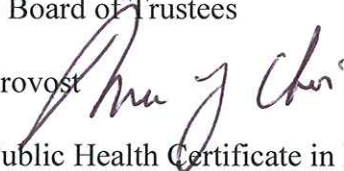
Faculty in the department are heavily involved in the Cognitive Science program of CLAS, in the Center for Health, Intervention and Prevention, and in several interdisciplinary certification programs. Recent faculty hiring initiatives have significantly expanded the backgrounds of faculty who comprise the department. Faculty now include psychologists, cognitive scientists, neuroscientists, developmental scientists, social scientists, and several other related specialties.

In order to better capture the inclusive nature of the faculty and to emphasize science as a means by which we seek to understand human behavior, the faculty have voted to rename the Department of Psychology to the Department of Psychological Sciences.

ATTACHMENT 20

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Renaming the Public Health Certificate in Interdisciplinary Disability Studies to Disability Studies in Public Health

BACKGROUND:

The Public Health Certificate in Interdisciplinary Disability Studies targets individuals pursuing graduate work and who are in the professional and paraprofessional workforces of medicine, law, social work, education, psychology, political science, nursing, and other public health related fields.

The program offers four online asynchronous courses (3-credits/course) that allow students from these various disciplines and professional backgrounds the opportunity to acquire specialized knowledge in disability theory, policy, and practices, including a focus on disability rights and interventions from a public health perspective.

As a disability studies program, this certificate is unique because it examines the multiple aspects of public health, health care, society, culture, politics, economics, history, legislation, education, and social attitudes that impact people living with disabilities. Although the current name of the program refers to public health, it does not adequately reflect this focus of the program. This is accomplished, however, by changing the name of the certificate program to “Disability Studies in Public Health.”

ATTACHMENT 21

August 5, 2015

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Centers and Institutes

The *By-Laws of the University of Connecticut*, Article XII, state that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission," and that the "Provost will routinely inform the Board of Trustees ... of the establishment and discontinuation of all centers and institutes." This document represents such notice.

BACKGROUND:

Following review and deliberation, the Academic Centers and Institutes Review Committee brought forth the following recommendations, which I support:

Established

The Connecticut Institute for Resilience and Climate Adaptation

Renewed for five-year term

Center for Clean Energy Engineering

Center for Education Policy Analysis

Center for Health, Intervention, and Prevention

Charles J. Zwick Center for Food and Resource Policy

Institute of Materials Science

Neag Center for Gifted Education and Talent Development

Renewed for three-year term

Korey Stringer Institute

National Undersea Research Technology and Education Center

Renewed for one-year term

Center for Conservation and Biodiversity

Marine Sciences and Technology Center

Granted one-year extension

Center for Integrative Geosciences

Discontinued

Center for Research in Mathematics Education

Mission statements for established and renewed Centers and Institutes are available on the following pages.

Established

Connecticut Institute for Resilience and Climate Adaptation

The mission of the Connecticut Institute for Resilience and Climate Adaptation (CIRCA) is to increase the resilience and sustainability of vulnerable communities along Connecticut's coast and inland waterways to the growing impacts of climate change on the natural, built, and human environment.

Five-Year Renewal

Center for Clean Energy Engineering

To provide an umbrella and platform for developing and validating advanced energy systems concepts and cost effective engineering and demonstration of the long term operation and reliability. The Center for Clean Energy Engineering further serves as a nexus for the development to deployment activities involving fundamental and applied research in clean and efficient energy systems (from energy conversion and distributed generation technologies to utilization of fossil and non-fossil fuels to power distribution and management) utilizing foundational knowledge in the field of materials science, systems engineering, computational analysis, large scale demonstration and testing as well as training of 21st century scientists and engineers.

Center for Education Policy Analysis

To inform educational leaders and policymakers on issues related to the development, implementation and consequences of education policies. The Center for Education Policy Analysis examines policies through multiple perspectives, paying particular attention to the impact of policies on social justice and educational equity across P-20 educational settings.

Center for Health, Intervention and Prevention

To create new scientific knowledge and theoretical frameworks in the areas of health behavior, health behavior change, health intervention, and prevention at multiple levels of analysis (e.g., individual, family, community, societal).

Charles J. Zwick Center for Food and Resource Policy

The Charles J. Zwick Center for Food and Resource Policy is driven by excellence in quantitative and policy oriented economic research on problems confronting food and energy markets, the use of natural resources, and the environment. The intent is to provide practical recommendations to improve the functioning of markets and related government policies and to advance and disseminate knowledge that impacts public policies to improve society's welfare.

Institute of Materials Science

The Institute of Materials Science was established in 1965 by the Connecticut General Assembly to maintain an advanced materials research center, provide superior graduate research education in the interdisciplinary fields of materials science and engineering, and provide materials-related technical outreach to Connecticut's industries.

Neag Center for Gifted Education and Talent Development

The Neag Center for Gifted Education and Talent Development is one of the leading national and international centers in the area of gifted education and talent development. For over four decades, the faculty at the Center has conducted research and been involved in training and professional development that has influenced policy and practice on creativity, talent development, and gifted education across the country and the world.

Three-Year Renewal

National Undersea Research Technology and Education Center

NURTEC currently serves as the hub for three major, ongoing initiatives: 1) technological development and services to support ocean research & exploration, 2) the Long Island Sound Mapping and Research Collaborative and 3) the Center for Ocean Sciences Education Excellence – Technology and Education for Knowledge.

Korey Stringer Institute

To provide first-rate information, resources, assistance, and advocacy for the promotion of prevention of sudden death in sport and physical activity via health and safety initiatives. The Korey Stringer Institute also strives to promote strategies that safely maximize performance in sport and physical activity.

One-Year Renewal

Center for Conservation and Biodiversity

To promote research and training in the conservation of biodiversity on the local, national and international scene. Basic scientific research is fostered along with applications to solving sustainable conservation issues.

Marine Sciences and Technology Center

To support and facilitate the discovery and understanding of the coastal marine and oceanic environment and the development of new tools and technologies.

ATTACHMENT 22

August 5, 2015

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Academic Program Inventory

BACKGROUND:

The Office of Higher Education maintains an inventory of approved academic programs offered by public and independent colleges and universities in Connecticut. Those listings are available to the general public through the Office's web site and provide the most accurate up-to-date information about programs of study in our state.

The information on the Inventory of Approved Academic programs is an important resource and is used to convey educational information to a broad range of constituencies, both in Connecticut and across the country. Additionally, in order for veterans to receive their earned educational benefits, they must be enrolled in a program that is accredited.

The Office of Higher Education periodically provides UConn – and other Connecticut colleges and universities – an opportunity to review and reconcile the inventory with its own records. The Office of the Provost, the Schools and Colleges, the Regional Campuses, and the Center for Excellence in Teaching and Learning (CETL) reviewed the inventory, and the Office of the Provost submitted updates to the Office of Higher Education on May 28, 2015. Most updates addressed program names, modalities, campus locations, and the addition of programs previously approved or modified by the Board of Trustees but not identified – or not identified accurately – on the inventory.

Schools, Colleges, the Regional Campuses, and CETL identified the following programs for discontinuation from the inventory.

Discontinued or Phasing Out

Allied Health, AS-BS Articulation Program (BS)

Professional Studies, Online (BPS)

Social Science of Sport (BS)

Health Profession Education, Hybrid (Grad. Cert.)

Art History (MA)

Occupational Safety and Health Management, Online (MPS)

Chemistry (MS) – Avery Point Campus

Education with Teaching Certificate (MA) – Stamford Campus

ATTACHMENT 23

August 5, 2015

TO: Members of the Board of Trustees

FROM: Tysen Kendig, Vice President for Communications

RE: Proposed Acceptance and Lease of Alumni Center



RECOMMENDATION:

The University administration recommends that the Board of Trustees approve the resolutions below authorizing the University to accept the conveyance of one or more parcels of land and the building known as the Alumni Center, located at 2384 Alumni Drive, Storrs, Connecticut (collectively, the "Alumni Center"), from University of Connecticut Alumni Association, Inc. ("UCAA").

The University administration also recommends that the Board of Trustees authorize the University to lease the Alumni Center to The University of Connecticut Foundation, Incorporated (the "UConn Foundation") so the Alumni Center may be used by the UConn Foundation for hosting alumni programs and other purposes.

BACKGROUND:

The University has been collaborating with UCAA and the UConn Foundation during the last several months to develop an alumni engagement model at the UConn Foundation that is inclusive of all University alumni, without any requirement for paying dues. During this time, the UConn Foundation has assumed responsibility for performing alumni relations activities in support of the University.

UCAA's Board of Directors previously voted to discontinue UCAA's corporate existence, which was recently approved by UCAA's members by more than a three-to-one margin. UCAA's remaining assets are in the process of being distributed to the University and the UConn Foundation in the manner approved by UCAA's Board of Directors and members.

UCAA has proposed to convey the Alumni Center to the University pursuant to the terms of an agreement that acknowledges the importance of the Alumni Center in hosting alumni programs and the University's good faith assurances that the Alumni Center will continue to be used for such purposes. The proposed agreement also acknowledges the importance of continuing to have and maintain a center for alumni on the University's Storrs campus in the event the University determines it is no longer in the best interest of the University and its alumni to continue to use the current Alumni Center to host alumni programs. The conveyance of the Alumni Center from UCAA to the University will be at no cost to the University.

After the Alumni Center is transferred to the University, the University proposes to lease the Alumni Center to the UConn Foundation to allow the UConn Foundation to use the Alumni Center for hosting alumni programs and other purposes on economic terms to be agreed upon by University administration and the UConn Foundation.

RESOLUTION:

In furtherance of the foregoing, the University recommends the Board of Trustees of the University approve the following resolutions:

RESOLVED, that the University's President and administration are hereby authorized to execute and deliver an agreement with UCAA that accepts a conveyance of the Alumni Center pursuant to the terms described above and such other terms as may be deemed advisable and in the best interest of the University by the University's President and administration; and

RESOLVED, that the University's President and administration are hereby authorized to execute and deliver an agreement with the UConn Foundation that leases the Alumni Center to the UConn Foundation pursuant to the terms described above and such other terms as may be deemed advisable and in the best interest of the University by the University's President and administration; and


RESOLVED, that the University's President and administration are hereby further authorized to execute, deliver and perform, in the name of and on behalf of the University, such certificates, instruments, documents and agreements as each of them may deem necessary, useful or required in furtherance of the foregoing resolution.

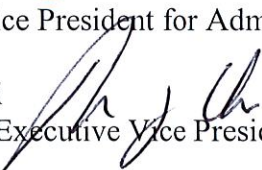
ATTACHMENT 24



August 5, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III (Revised Planning: \$1,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget of \$1,500,000 for Phase III of the North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades project for planning and design.

BACKGROUND:

The North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades project is intended to replace aging infrastructure along a portion of the North Eagleville Road corridor from the WB Young Building to a point approximately adjacent to the North Campus Residences. The project is being implemented in three phases of construction. Phase I, which replaced a failed steam line was completed in 2014. Phase II, which is replacing the utilities in the W.B. Young Quad is currently in construction, and is anticipated to be complete in December 2015.

Phase III, which is the subject of this resolution, will evaluate and repair/replace utilities to complete the North Eagleville Road Corridor from Storrs Road, westerly along North Eagleville Road to the western extremity of the project at the North Campus Residence Halls. Utilities included in the project scope include: steam and condensate, electrical, water, storm and sanitary gravity sewer, a sanitary force main, and telecommunications. The project will also consider opportunities for landscape improvements within the project locus as defined by the Master Plan approved in February 2015. The anticipated project budget is in the range of \$20,000,000 to \$25,000,000.

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STORRS, CT 06269-1122
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Schematic Design of the entire project area is nearing completion and will identify costs to implement replacement of all aged utilities within the utility corridor described above. The portions of work near North Eagleville Road will be selected to move into design following approval of this resolution, with a planned construction start of May 2016. This phase will complete the necessary upgrades to the sewer system to enable the Honors Residence Hall to come on-line, as well as enable other South Campus and Downtown Mansfield development. This phase will be coordinated with the planned Gant Renovations and current New Engineering and Science Building construction to avoid project boundary and site access conflicts.

The Revised Planning Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

**PROJECT NAME: NORTH EAGLEVILLE ROAD AREA INFRASTRUCTURE
REPAIR/REPLACEMENT AND UPGRADES-PHASE III**

	APPROVED PLANNING 4/28/2015	PROPOSED REVISED PLANNING 8/5/2015
<u>BUDGETED EXPENDITURES</u>	<u>SARCC</u>	
CONSTRUCTION	\$ 250,000	\$ 200,000
DESIGN SERVICES	-	1,000,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	10,000	90,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	30,000
INSURANCE AND LEGAL	-	10,000
MISCELLANEOUS	2,000	-
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 262,000	\$ 1,330,000
PROJECT CONTINGENCY	38,000	170,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 300,000</u>	<u>\$ 1,500,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 PHASE III - DM	\$ 300,000	\$ 1,500,000
TOTAL BUDGETED FUNDING	<u>\$ 300,000</u>	<u>\$ 1,500,000</u>

BOT 8.5.15


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ATTACHMENT 25



August 5, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Heating Plant Upgrade – Emergency Power System Upgrade
(Design: \$ 2,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$2,500,000 for the Heating Plant Upgrade – Emergency Power System Upgrade project for design and construction.

BACKGROUND:

The UCONN 2000 program enabled the introduction of a common centralized emergency power distribution system for major buildings on the main campus, and included the installation of two large emergency generators. This system allowed the replacement of an older network of individual emergency generators throughout the campus, and benefited the University through reduced environmental impact as well as reduced maintenance costs and higher operational reliability. Following its inception, a third generator originally installed for solely black-start capabilities, was added to this central system without loss of black-start capability.

The CUP emergency power system was constructed with the intent to supply emergency and standby power to core campus facilities. The CUP's current generation capacity is four (4) megawatts (MW) using three individual generators, and has provisions in place for a fourth generator. The purpose of this project is to design, install, and commission the forth +/- 1.5 megawatt unit and modify the controls to allow for synchronous operation of all four units. This additional unit will be necessary to supply required emergency standby power for the new Engineering and Science building.

The Emergency Power System Upgrade project is currently in the Planning Phase. Planning began in Summer of 2014 and construction documents are nearing completion. It is anticipated that bid solicitation of the construction documents will follow this resolution, with a tentative start of construction in October 2015. A Final Budget Resolution will be provided to the Board of Trustees following solicitation of bids from pre-qualified contractors.

The Design Budget is based on the consultant who prepared a construction cost estimate of the construction documents.

The Design Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: HEATING PLANT UPGRADE - EMERGENCY POWER SYSTEM UPGRADE

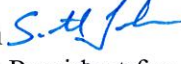
	APPROVED PLANNING 9/2/2011	APPROVED REVISED PLANNING 6/25/2014	PROPOSED DESIGN 8/5/2015
<u>BUDGETED EXPENDITURES</u>	BY EVPACFO		
CONSTRUCTION	\$ -	\$ 1,500,000	\$ 1,625,000
DESIGN SERVICES	55,000	125,000	200,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	125,000	150,000
OTHER AE SERVICES (including Project Management)	5,000	75,000	235,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	20,000	-
INSURANCE AND LEGAL	-	10,000	5,000
MISCELLANEOUS	-	20,000	30,000
OTHER SOFT COSTS	5,000	20,000	-
SUBTOTAL	\$ 65,000	\$ 1,895,000	\$ 2,245,000
PROJECT CONTINGENCY	70,000	605,000	255,000
TOTAL BUDGETED EXPENDITURES	\$ 135,000	\$ 2,500,000	\$ 2,500,000
<u>SOURCE(S) OF FUNDING</u>			
UConn 2000 PHASE III	\$ 135,000	\$ 2,500,000	\$ 2,500,000
TOTAL BUDGETED FUNDING	\$ 135,000	\$ 2,500,000	\$ 2,500,000

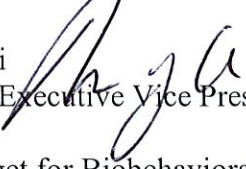
ATTACHMENT 26



August 5, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Biobehavioral Complex Replacement – Science 4 Annex
Reroofing (Final: \$1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$1,000,000 for the Biobehavioral Complex Replacement – Science 4 Annex Reroofing project for design and construction.

BACKGROUND:

The Biobehavioral Complex Science 4 Annex (circa 1991) houses labs, specialty equipment, offices and support spaces for the College of Agriculture, Health and Natural Resources. Over the last two years Facilities Operations has been addressing on-going leaks in the building. This project will address the corrective measures to repair the sources of these leaks.

The Biobehavioral Complex Science 4 Annex Reroofing project includes the roof replacement, miscellaneous flashing, and stone repair to provide a durable and more watertight building. The existing EPDM and asphalt shingle roofs have reached the end of useful service and temporary repairs have been ongoing with limited effectiveness.

The scope of work, identified in a study of existing conditions completed by Martin A. Benassi Architects, recommends the removal of existing roofing systems, removal/replacement of existing wood roof decking, review of existing structural wood framing for water damage and repair/replacement as necessary and installation of new roofing systems. The study also recommends repair/replacement of damaged cast stone copings and copper flashings as necessary and the removal, repair and reinstallation of rooftop fume hood exhaust fans as required to accommodate the installation of new roofing systems and the replacement of existing fiberglass reinforced gypsum column covers with new cast stone to match existing.

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The Biobehavioral Complex Science 4 Annex reroofing is currently in the Design Phase. Design began in June of 2015 and construction documents will be ready for bidding in August 2015. Construction is anticipated to begin in September 2015 and be completed in November 2015.

The Final Budget is based on the consultant's construction cost estimate based on current industry unit prices.

The anticipated total project budget will be in the range of \$1,000,000.

The University requests a waiver of the three stage budget approval process in order to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: BIOBEHAVIORAL COMPLEX REPLACEMENT - SCIENCE 4 ANNEX REROOFING

	APPROVED PLANNING 6/22/2015	PROPOSED FINAL 8/5/2015
<u>BUDGETED EXPENDITURES</u>	<u>PRC</u>	
CONSTRUCTION	\$ -	\$ 715,000
DESIGN SERVICES	65,000	80,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	62,500
OTHER AE SERVICES (including Project Management)	2,500	35,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	5,000
MISCELLANEOUS	-	2,500
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 67,500	\$ 900,000
PROJECT CONTINGENCY	7,500	100,000
TOTAL BUDGETED EXPENDITURES	\$ 75,000	\$ 1,000,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ 75,000	\$ 1,000,000
TOTAL BUDGETED FUNDING	\$ 75,000	\$ 1,000,000

BIOBEHAVIORAL COMPLEX REPLACEMENT – SCIENCE 4 ANNEX
REROOFING
Project Budget (FINAL)
8/5/2015



ATTACHMENT 27



TO: Members of the Board of Trustees

FROM: Josh Newton, President & CEO, UConn Foundation

DATE: August 5, 2015

RE: UConn Foundation Fundraising Report

I am pleased to share that the UConn Foundation's incredible momentum is continuing, as evidenced by another remarkable fundraising year. While the independent audit of our FY 2015 numbers won't be completed until early October, we are confident reporting that the Foundation has reached a closing total of \$77,993,764 in contributions and pledged commitments – nearly 10% above the established fundraising goal for FY 2015.

This amount is the second-highest ever for the UConn Foundation, surpassed only by the \$81 million raised in FY 2014. When combined, the Foundation's two-year fundraising performance is more than 40% higher than any other two-year period in its history.

As you are aware, the Foundation publicly launched its *Transform Lives* scholarship initiative in January, with a goal of raising \$150 million over five years – effectively doubling the amount available for scholarships and student support. We are pleased with the initial progress – in fact the number of people giving to support scholarships increased by 500 compared to the previous fiscal year.

Of the total funds raised last fiscal year, \$20.6 million was in support of capital improvements at UConn, \$16.3 million for scholarship and student support, \$26.3 for program support, \$5.2 million for faculty support and \$9.6 million for research. All told, \$58 million was designated for current operations and \$20 million earmarked for the endowment – which now stands at nearly \$390 million.

Within these totals are some exciting gifts worth highlighting. They include:

- \$5 million for the new soccer stadium project
- \$3.2 million for programmatic support in the School of Engineering
- \$2.4 million for the Werth Family UConn Basketball Champions Center
- \$2 million for scholarships & student support in the School of Pharmacy
- \$1.6 million for programmatic support in the School of Engineering
- \$1.4 million for the Center for Real Estate in the School of Business
- \$1.2 million for the School of Law and UConn's Global Affairs Program

It is also important to note that the Foundation is seeing dramatic increases in the overall number of donors in several critical categories, including: those who contribute at least \$25,000. Comparing FY 2015 with FY 2014, the Foundation saw this set of donors swell by approximately 23%. The corresponding donations accounted for \$66 million of the \$78 million raised in FY 2015 and serve as strong evidence that mid to high-level donors are confident with the direction UConn is headed and with the integrity of the Foundation itself.

I appreciate the continued support from the University and the Board of Trustees and look forward to working together, now with our integrated alumni engagement efforts, to create an even stronger UConn Nation.

Summary of Commitment by Level Through 6/30/2015

Printed 07-21-2015

Giving Range	FY 2013		FY 2014		FYTD 2014 through 6/30		FYTD 2015 through 6/30		FYTD 2015 vs. FYTD 2014	
	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars %	Donors
\$500,000 +	\$33,914,697	19	\$49,354,724	34	\$49,354,724	34	\$42,206,537	29	-14.5%	-5
\$250,000 - \$499,999	\$5,243,445	17	\$4,923,205	15	\$4,923,205	15	\$6,266,138	19	27.3%	4
\$100,000 - \$249,999	\$5,001,685	41	\$6,842,682	50	\$6,842,682	50	\$8,865,984	63	29.6%	13
\$25,000 - \$99,999	\$6,914,410	168	\$7,431,710	188	\$7,431,710	188	\$9,078,324	242	22.2%	54
\$10,000 - \$24,999	\$3,282,397	263	\$3,588,491	303	\$3,588,491	303	\$3,632,806	288	1.2%	-15
\$1,000 - \$9,999	\$5,832,820	2,664	\$5,743,464	2,603	\$5,743,464	2,603	\$5,121,079	2,389	-10.8%	-214
\$.01 - \$999	\$3,400,332	31,271	\$2,978,865	24,389	\$2,978,865	24,389	\$2,822,495	23,932	-5.2%	-457
Totals	\$63,589,786	34,443	\$80,863,142	27,582	\$80,863,142	27,582	\$77,993,364	26,962	-3.5%	-620

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
New Hires Processed from June 11, 2015 - July 22, 2015
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
ABBOTT, KELSEY S.	UC PROFESSIONAL 05 - ASST ORIENT COORD	ORIENTATION SERVICES	4/17/2015
ALI, RASHID	U POST DOC FEL 1	ANIMAL SCIENCE	6/24/2015
BALLARD, MELISSA A.	UC PROFESSIONAL 03 - PROG ASSISTANT I	VP GLOBAL AFFAIRS	7/10/2015
BARRY, JOHN J.	U POST DOC FEL 1	GLOBAL TRAINING INST	7/3/2015
BERNOBICH, ELIZABETH	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	LIBERAL ARTS & SCI DEAN	6/26/2015
BONILLA, SANTOS	RESEARCH ASSISTANT 2	NURSING INSTR & RES	7/10/2015
BOUDISSA, NADINE	UC PROFESSIONAL 06 - PROGRAM SPEC I	INTL STUDENT & SCHOLAR	6/29/2015
CAIN, ASHLEY	U STAFF PROF I	DIVERSITY & EQUITY	7/2/2015
CASTRONOVA, ROBERT R.	UC PROFESSIONAL 08 - EXECUTIVE ASST I	FACILITIES MANAGEMENT	6/26/2015
CHHOEUN, CHRISTOPHER	UC PROFESSIONAL 01 - FINANCIAL ASST I	ACCOUNTS PAYABLE	6/26/2015
D'ANTONIO, FRANCESCA V.	UC PROFESSIONAL 01 - FINANCIAL ASST I	LIBERAL ARTS & SCI DEAN	5/29/2015
DASSNER, AIMEE M.	U POST DOC FEL 1	PHARMACY PRACTICE	7/1/2015
DRASDIS, STEVEN M.	UC PROFESSIONAL 06 - PROGRAM SPEC I	STUDENT ACTIVITIES	6/15/2015
FAUSETT, ELIZABETH L.	UC PROFESSIONAL 06 - PROGRAM SPEC I	VP GLOBAL AFFAIRS	7/1/2015
FISLER, EMILY E.	UC PROFESSIONAL 05 - LAB TECHNICIAN III	CHEMICAL & BIOMOLECULAR	7/13/2015
FLOWERS, ROBERT A.	U STAFF PROF III - ASSOCIATE DIRECTOR	FACILITIES MANAGEMENT	7/10/2015
FRATI, LOUIS R. III	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	LOGISTICS ADMINISTRATION	6/26/2015
GANESAN, SELVARANI	ASST RESEARCH PROF	CTR CLEAN ENERGY ENGINEER	6/22/2015
GULLICKSON, CARISSA B.	UC PROFESSIONAL 03 - PROG ASSISTANT I	FINE ARTS DEAN OFC	6/26/2015
HANNON, ADAM M.	UC PROFESSIONAL 01 - PROGRAM AIDE	ATHLETICS MENS INTERCOL	6/15/2015
HARAGHEY, KAREN S.	RESEARCH ASSISTANT 3	CHIP	7/6/2015
HAWTHORNE, TRISHA-ANN P.	UC PROFESSIONAL 05 - PROGRAM COORD	COUNSELING INTERCOL ATH	6/26/2015
JOHNSON, NATHAN P.	UC PROFESSIONAL 07 - RES HALL CPLEX COORD	RESIDENTIAL LIFE OFC	7/13/2015
KANTOR, BENJAMIN A.	UC PROFESSIONAL 05 - EDUC MEDIA ASST	ATHLETICS EQUIPMENT CTR	6/19/2015
KILLIAN, STEPHANIE L.	UC PROFESSIONAL 05 - PROGRAM COORD	STUDENT UNION	6/12/2015
KITTIPHANE, NANA M.	RESEARCH ASSISTANT 2	NURSING INSTR & RES	7/10/2015
KLINGBEIL, BRIAN T.	U POST DOC FEL 1	ECOLOGY & EVOL BIOLOGY	6/8/2015

University of Connecticut Department of Human Resources
New Hires Processed from June 11, 2015 - July 22, 2015
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NAME	TITLE	DEPARTMENT	DATE
LEWIS, ALEXANDRA S.	UC PROFESSIONAL 03 - PROG ASSISTANT I	INTL STUDIES ASSOC	7/13/2015
MACRI, GIUSEPPE	RESEARCH ASSISTANT 1	NURSING INSTR & RES	7/10/2015
MCBRIDE, JESSICA	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	VICE PRES FOR RESEARCH	7/10/2015
MEDEIROS, ELISA	UC PROFESSIONAL 06 - TECHNICAL ASST III	LIBERAL ARTS & SCI DEAN	5/29/2015
MODAK, ARNAB	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	6/1/2015
PERCIVAL, WILLIAM	UC PROFESSIONAL 04 - OPER-SYS PROG/ANAL I	VP GLOBAL AFFAIRS	7/6/2015
PERKINS, LISA E.	ASST CLIN PROF	LAW SCH INSTR & RES	7/17/2015
PETERS, WALKER A.	UC PROFESSIONAL 07 - BUSINESS CONSULTANT	CT SMALL BUSINESS DEV CTR	6/8/2015
QUARESIMA, ANGELO	U STAFF PROF I	AUDIT, COMPL. & ETHICS	7/17/2015
RAMESH, DIVYA	RESEARCH ASSOCIATE 1	NURSING INSTR & RES	7/1/2015
RIDNOUR, ALAN L.	U STAFF PROF IV - ASSISTANT DEAN	LAW SCH DEAN OFC	7/6/2015
ROBINSON, GABRIELLE	UC PROFESSIONAL 01 - FINANCIAL ASST I	LIBERAL ARTS & SCI DEAN	6/12/2015
ROZANSKI, HOLLY	UC PROFESSIONAL 04 - ADMIN SERV ASST III	CTR STUDENTS DISABILITIES	7/9/2015
RUSNAK, RACHEL S.	RESEARCH ASSISTANT 3	NURSING INSTR & RES	7/10/2015
SHAH, SARJU S.	RESEARCH ASSISTANT 3	NURSING INSTR & RES	7/10/2015
SHIN, DONG-JU	U POST DOC FEL 1	PHYSIOLOGY & NEUROBIOLOGY	7/1/2015
SHIPLEY, BRENDA L.	RESEARCH ASSISTANT 3	NURSING INSTR & RES	7/10/2015
SLATER, KEVIN R.	RESEARCH ASSISTANT 3	CT TRANSPORTATION INST	7/10/2015
SUN, JIANGWEN	U POST DOC FEL 1	COMPUTER SCIENCE & ENGR	6/1/2015
TIKOO, MINAKSHI	PROF IN RES 11MO	NURSING INSTR & RES	5/29/2015
TIKY, LEMBE N.	RESEARCH ASSOCIATE 2	VP GLOBAL AFFAIRS	7/1/2015
TORRES, CELINA M.	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	BUSINESS MBA PROGRAM	6/26/2015
WAICUNAS, NICOLE S.	UC PROFESSIONAL 07 - PROG SPEC-ED OUTRCH	EDUCATIONAL PSYCHOLOGY	7/10/2015
WEEDA, ERIN	U POST DOC FEL 1	PHARMACY PRACTICE	7/1/2015
WOOLSEY, NICOLE L.	RESEARCH ASSISTANT 1	NURSING INSTR & RES	7/10/2015

University of Connecticut Department of Human Resources
Separations Processed from June 11, 2015 - July 22, 2015
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
AHN, KWANG HYUN	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	6/26/2015
ASADI ZANJANI, NAVID	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ECE	7/6/2015
AVERION, AILEEN C.	UC PROFESSIONAL 07 - RES HALL CPLEX COORD	RESIGNATION (GOOD STANDING)	RESLIF	6/25/2015
BAIRDBURNETT, JILLIAN B.	UC PROFESSIONAL 07 - SR ADMISSIONS OFF	RESIGNATION (GOOD STANDING)	ADMISS	6/16/2015
BISHOP, GREGORY W.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CHEM	7/10/2015
BOHANNON, RICHARD W.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	KINESI	6/30/2015
BOUCHARD, AMANDA C.	UC PROFESSIONAL 01 - FINANCIAL ASST I	RESIGNATION (GOOD STANDING)	FOOD	6/12/2015
BOUCHARD, NORMA	PROFESSOR	RESIGNATION (GOOD STANDING)	LCL	5/31/2015
BRENNAN, KEVIN	UC PROFESSIONAL 09 - EXEC PROGRAM DIR	RESIGNATION (GOOD STANDING)	ABROAD	6/19/2015
CHANG, ALENDY Y.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	ENGL	6/30/2015
CHUDY, ROBERT J.	UC PROFESSIONAL 08 - PROGRAM DIRECTOR	RETIREMENT (25+ YRS SERVICE)	IS&S	6/30/2015
COLDWELL, ELEANOR	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	RETIREMENT (55/W 10-24 YEARS)	CLAS	6/30/2015
CREATURO, NICOLE	UC PROFESSIONAL 03 - PROG ASSISTANT I	RESIGNATION (GOOD STANDING)	TI	7/16/2015
ELLIOTT, GEORGE	ASSOCIATE PROFESSOR	RETIREMENT (25+ YRS SERVICE)	PLSC	6/30/2015
FORAN, JUNE C.	UC PROFESSIONAL 04 - ADMIN SERV ASST III	RETIREMENT (55/W 10-24 YEARS)	SBDO	6/30/2015
GAGNON, IAN	UC PROFESSIONAL 04 - THEATER PROD TECH	RESIGNATION (GOOD STANDING)	JORG	6/11/2015
GOLDICH, TERRI J.	UC PROFESSIONAL 09 - U LIBRARIAN III	RETIREMENT (25+ YRS SERVICE)	LIB	6/30/2015
GROMEK, JACK M.	RESEARCH ASSOCIATE 2	RETIREMENT (25+ YRS SERVICE)	IMS	6/30/2015
HALL, JAMES R. JR	U STAFF PROF IV - ASSOCIATE DIRECTOR	RETIREMENT (25+ YRS SERVICE)	CONTRL	6/30/2015
HARNISCH, ANTJE	UC PROFESSIONAL 09 - ASST FINANCE DIR II	RESIGNATION (GOOD STANDING)	VPRES	5/31/2015
HARTMAN, BRIAN	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	MATH	6/29/2015
HASBUN, MIRIAM E.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	7/15/2015
HERNANDEZ, GASTON E.	ASSOCIATE PROFESSOR	DEATH (NON-EMPLOYMENT RELATED)	MATH	6/13/2015
JACOBSEN, ARLENE	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	RETIREMENT (25+ YRS SERVICE)	VPRES	6/30/2015
JOY, KATHLEEN L.	UC PROFESSIONAL 10 - PROCUR CONTRACTS MGR	RETIREMENT (25+ YRS SERVICE)	PROCUR	6/30/2015
KASTELLORIZIOS, MICHAEL	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHPRC	6/26/2015
KURLAND, MICHAEL	U DIRECTOR	RETIREMENT (25+ YRS SERVICE)	HEALTH	6/30/2015

University of Connecticut Department of Human Resources
Separations Processed from June 11, 2015 - July 22, 2015
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
LEVY, MARGARET B.	UC PROFESSIONAL 05 - COMPUTER LAB COORD I	RETIREMENT (25+ YRS SERVICE)	STAM	6/30/2015
MELADY, BARBARA P.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RETIREMENT (25+ YRS SERVICE)	HEALTH	6/30/2015
MORRIS, JOHN B.	D PROFESSOR - DISTINGUISHED PROF	RETIREMENT (25+ YRS SERVICE)	PHARM	5/31/2015
NATAL, THOMAS A.	SPECIALIST IA	RESIGNATION (GOOD STANDING)	ATH	6/29/2015
NGUYEN, CHI T.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	6/22/2015
OLZINSKI, MICHAEL A.	UC PROFESSIONAL 08 - UNIV PHARMACIST 2	RESIGNATION (GOOD STANDING)	HEALTH	6/30/2015
RABSCHUTZ, LAUREL	UC PROFESSIONAL 07 - PROGRAM MANAGER	RETIREMENT (25+ YRS SERVICE)	REGIS	6/30/2015
ROELFS, PAMELA J.	U DIRECTOR	RETIREMENT (25+ YRS SERVICE)	OIRE	6/30/2015
SCOPPETTA, CHRISTOPHER S.	UC PROFESSIONAL 05 - DNG SERV AR AST MGR	RESIGNATION (GOOD STANDING)	ANSC	7/10/2015
SCOTT, JOSEPH W.	UC PROFESSIONAL 10 - U LIBRARIAN IV	RETIREMENT (25+ YRS SERVICE)	LIB	6/30/2015
SHARMA, VINIT	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	6/30/2015
SOUZA, MICHAEL J.	SPECIALIST IA	RESIGNATION (GOOD STANDING)	ATH	6/12/2015
SWARNAKAR, NITIN K.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	7/24/2015
TURNER, JORDAN M.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	6/30/2015
TUSSING, TIMOTHY L.	UC PROFESSIONAL 10 - FACILITIES PROF IV	RETIREMENT (55/W 10-24 YEARS)	ENERGY	6/30/2015
VILLION, ANREW	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	MCB	6/30/2015
WANG, XIANMING	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ME	5/31/2015
WEIR, WILLIAM L.	UC PROFESSIONAL 08 - WRITER/EDITOR IV	RESIGNATION (GOOD STANDING)	ENGR	6/24/2015
WHITMAN, ROBERT	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	LAW	6/30/2015

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 7/23/15
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Betts, Paul	Assistant Dean	Biology Central Services	4/10/15 - 4/20/15	Medical leave with pay
Betts, Paul	Assistant Dean	Biology Central Services	5/14/15 - 5/29/15	Medical leave with pay
Brennan, Nadine	Associate Campus Director (USP III)	Hartford Director's Office	11/14/14 - 12/11/14	Medical leave with pay
Fairfield, Alice	Univ. Librarian II (UCP VII)	Library Vice Provost's Office	3/9/15 - 3/16/15	Medical leave with pay
Gable, Kathe	Program Specialist I (UCP VI)	Nursing Dean's Office	1/22/15 - 3/30/15	Medical leave with pay
Goza, Lori	Facilities Professional I (UCP V)	Plan, Arch, & Engineering Svcs.	10/29/14 - 11/17/14	Medical leave with pay
Jacobsen, Arlene	Admin. Svcs. Specialist III (UCP V)	Vice President for Research	10/16/14 - 10/17/14	Medical leave with pay
Rutt, Ellen	Associate Dean	Law School Dean's Office	6/23/15 - 6/26/15	Medical leave with pay
Schneider, Susan	Associate Professor	Philosophy	8/23/15 - 5/22/16	Other - Sr. Fellow Theo. Princeton with pay
Shelby, Deborah	Assistant Dean	CLAS Dean's Office	2/6/15 - 2/24/15	Medical leave with pay
Sochnikov, Ilya	Assistant Professor	Physics	8/23/15 - 12/24/15	Personal leave - unpaid
Wang, Li	Associate Professor	Physiology & Neurobiology	8/23/15 - 8/22/16	Other - Res. Veteran's Admin. with 50 % pay

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, August 5, 2015
Rome Commons Ballroom
Storrs, Connecticut
9:00 a.m.

	<u>COMMITTEE</u>	<u>ATTACHMENT</u>
1) Minutes of the Academic Affairs Committee Meeting of June 8, 2015, as circulated	A	
<u>ACTION ITEMS:</u>		
2) Designation of Emeritus Status		2
3) Sabbatical Leave Recommendations		3
4) Reappointment of Dr. Robert Bird to the Eversource Chair in Business Ethics in the School of Business		4
5) Reappointment of Dr. Chinmoy Ghosh as the Gladstein Professor of Business and Innovation in the School of Business		5
6) Reappointment of Dr. Ram Gopal as the GE Capital Endowed Professor of Business in the School of Business		6
7) Reappointment of Professor Nicholas H. Lurie as the Voya Financial Professor in the School of Business		7
8) Reappointment of Professor Michael Willenborg to the Richard F. Kochanek Professorship in Accounting in the School of Business		8
9) Master of Fine Arts in Arts Administration in the School of Fine Arts		9
10) Graduate Certificate in Digital Marketing Strategy		10
11) Post-Baccalaureate and Graduate Certificates in Literacy Supports for Students With and At-Risk for Learning Disabilities		11
12) Approval to Amend the <i>By-Laws of the University of Connecticut</i> : Article XV.G – General Policies and Practices		12
13) Intellectual Property and Commercialization Policy		13
14) Enhancement of Veterans Benefits		14

15) Establishment of the Comcast Chair in Cyber Security in the School of Engineering	15
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INFORMATIONAL ITEMS:

16) Renaming the Department of Psychology to the Department of Psychological Sciences in the College of Liberal Arts and Sciences	19
17) Renaming the Public Health Certificate in Interdisciplinary Disability Studies to Disability Studies in Public Health	20
18) Centers and Institutes	21
19) Academic Program Inventory	22

EXECUTIVE SESSION *(As Needed)*

ATTACHMENT A

**DRAFT MINUTES
SPECIAL MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
June 8, 2015**

Trustees: Carbray, Dennis-LaVigne, James, Jelliffe, Lobo

Staff: Choi, Murray, Reis, Rubin

Committee Chairwoman Dennis-LaVigne convened the meeting at 12:05 p.m. at the University of Connecticut, Law School Library – Room 316, Law School Campus. On a motion by Trustee Lobo, seconded by Trustee Jelliffe, the minutes of the April 29, 2015, meeting were approved as circulated.

Provost Choi introduced **Action Item #2, Promotion and Tenure**. Moved by Trustee James, seconded by Trustee Lobo, the Committee recommended approval of all promotion and tenure cases to the full Board.

Provost Choi introduced **Action Item #3, Sabbatical Leave Recommendations**. Moved by Trustee Lobo, seconded by Trustee Carbray, the Committee recommended approval of all sabbatical leave recommendations to the full Board.

Provost Choi introduced **Action Item #4, Doctor of Philosophy in Health Promotion Sciences in the College of Agriculture, Health and Natural Resources**. Moved by Trustee Carbray, seconded by Trustee Lobo, the Committee recommended approval of the Doctor of Philosophy in Health Promotion Sciences to the full Board.

Provost Choi introduced **Informational Item #5, Notification of Proposed Changes to the By-Laws of the University of Connecticut: Article XV.G – General Policies and Practices**.

On a motion by Trustee Lobo, seconded by Trustee Jelliffe, the Board voted unanimously to go into Executive Session at 12:30 p.m. to discuss:

1. Preliminary drafts and notes that the University has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure; and
2. Discussion concerning the appointment, employment, performance, evaluation, health or dismissal of a public officer or employee, provided that such individual may require that discussion be held at an open meeting.

Committee Chairwoman Dennis-LaVigne noted that on the advice of counsel only staff members whose presence was necessary to provide their opinion would be permitted to attend the Executive Session.

Trustees Carbray, Dennis-LaVigne, Jelliffe, and Lobo were in attendance. Trustee James participated by telephone.

The following University staff were present for the entire Executive Session: Provost Choi, Vice Provost Reis, Executive Secretary to the Board Rubin, and Mr. Murray.

The Executive Session ended at 2:10 p.m. The Committee returned to Open Session at 2:10 p.m.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 2:10 p.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
August 5, 2015 at 9:30 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

ATTACHMENT **LOCATION**
COMMITTEE **FULL BOARD**

EXECUTIVE SESSION

- | | |
|--|---|
| 1) Approval of the Minutes of the Financial Affairs Committee Meetings of June 24, 2015, as circulated | A |
|--|---|

ACTION ITEMS:

- | | |
|---|----|
| 2) Contracts and Agreements for Approval | 1 |
| 3) Proposed Acceptance and Lease of Alumni Center | 23 |

PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>		<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
4)	North Eagleville Road Area Infrastructure Repair/Replacement and Upgrades – Phase III	Revised Planning	\$1,500,000	24
5)	Heating Plant Upgrade – Emergency Power System Upgrade	Design	\$2,500,000	25
6)	Biobehavioral Complex Replacement – Science 4 Annex Reroofing	Final	\$1,000,000	26

INFORMATION ITEMS:

- | | |
|---|------------------------|
| 7) Contracts and Agreements for Information | B |
| 8) Construction Project Status Report | (Under Separate Cover) |

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
June 24, 2015

TRUSTEES PRESENT: Louise Bailey, Charles Bunnell, Shari Cantor, Richard Carbray, Michael Daniels, Andrea Dennis-LaVigne, Juanita James, Jeremy Jelliffe, Thomas Kruger, Rebecca Lobo, Donny Marshall (*via Telephone*), Larry McHugh, Denis Nayden (*via Telephone*) and Thomas Ritter

STUDENT TRUSTEE ELECT: David Rifkin

STAFF PRESENT: Andrew Agwanobi, Thomas Callahan, Debbie Carone, Mun Choi, Lisa Danville, Amy Donahue, Michael Eagen, Charles Eaton, Joanne Fazio, David Galloway, Gail Garber, Jeff Geoghegan, Michael Gilbert, Douglas Gnodtke, Brian Gore, Matthew Hancock, Susan Herbst, Kent Holsinger, Michael Jednak, Scott Jordan, Andrea Kelty, Tysen Kendig, Michael Kirk, Matthew Larson, Susan Locke, Wayne Locust, Joanne Lombardo, Warde Manuel, Michael Mundrane, Brandon Murray, Richard Orr, Stephanie Reitz, Rachel Rubin, Jeff Seeman, Katrina Spencer, Eli Strassfeld, Jack Sullivan, Bill Wendt, Dana Wilder, Beverly Wood and Reka Wrynn

UNIVERSITY SENATE MEMBERS PRESENT: Rajeev Bansal, Cheryl Beck, Larry Gramling, Elizabeth Jockusch, Shayla Nunnally and Angela Rola

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:04 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. On a motion by Trustee Cantor and seconded by Trustee Carbray the committee voted to go into Executive Session at 9:05 a.m. to discuss commercial or financial information given in confidence and preliminary drafts and notes that the University has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure.

Trustees participating included: Louise Bailey, Charles Bunnell, Shari Cantor, Richard Carbray, Michael Daniels, Andrea Dennis-LaVigne, Jeremy Jelliffe, Thomas Kruger, Rebecca Lobo, Donny Marshall (*via Telephone*), Larry McHugh, Denis Nayden (*via Telephone*) and Thomas Ritter.

University staff members who participated: President Herbst, Rachel Rubin, Mun Choi, Scott Jordan, Andy Agwanobi, Rich Orr, Tysen Kendig, Michael Kirk, Katrina Spencer, Jeffrey Geoghegan, Lisa Danville, Warde Manuel, Douglas Gnodtke, Gail Garber, Joanne Lombardo, Andrea Kelty, Reka Wrynn, Debbie Carone and David Rifkin.

On a motion by Trustee Cantor and seconded by Trustee Carbray the Executive Session ended at 10:31 a.m. and the committee moved into open session.

Mr. Kruger introduced Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, to provide the Budget Presentation. Mr. Jordan explained that a balanced budget had been achieved despite uncertainty and challenges associated with development of the FY16 budget. He emphasized appreciation for support of the University by the Governor and legislature during an extremely difficult budget cycle. Mun Choi, Provost and Executive Vice President for Academic Affairs, detailed the effects of the budget challenges on the academic mission and strategies to be implemented in order to meet those challenges. Jeffrey Geoghegan, UConn Health Chief Financial Officer, explained the UConn Health budget to the Trustees. The UConn Health Board of Directors had previously received a presentation of the budget and recommended it to the Trustees for approval on June 15, 2015. The UConn Health budget challenges and savings initiatives were reviewed along with capital improvements underway at UConn Health. The Budget PowerPoint Presentation is available on the web at: <http://finance.uconn.edu/wp-content/uploads/sites/1118/2015/06/BudgetWorkshopPresentation6-24-15.pdf>).

On a motion by Trustee Cantor and seconded by Trustee Carbray the agenda ***Item #2, the Minutes of the Financial Affairs Committee Meetings of April 29, 2015 and May 20, 2015*** were approved as circulated.

Matthew Larson, Director of Procurement, provided a thorough overview of agenda ***Item #3, Contracts and Agreements for Approval***. On a motion by Trustee Cantor and seconded by Trustee Carbray the item was recommended to the full Board for approval.

On a motion by Trustee Cantor and seconded by Trustee Carbray, agenda ***Item #4, Extension and Restructuring of an Existing Long-Term Ground Lease with Celeron Square Associates, LLC (CSA)***; agenda ***Item #5, Travel and Entertainment Policies and Procedures – Revised***; agenda ***Item #6, Master Agreement and Statement of Work between the University of Connecticut Foundation and the University of Connecticut for Fiscal Year 2016***; and agenda ***Item #7, Management Agreement for the Nathan Hale Inn*** were taken together and recommended to the full Board for approval.

On a motion by Trustee Cantor and seconded by Trustee Carbray, agenda ***Item #8, Spending Plan for Fiscal Year 2016 for the University of Connecticut, Storrs and Regional Campuses***; and agenda ***Item #9, Spending Plan for Fiscal Year 2016 for UConn Health*** were taken together and recommended to the full Board for approval.

On a motion by Trustee Cantor and seconded by Trustee Carbray, agenda ***Item #10, UCONN 2000 Fiscal Year 2016 Capital Budget*** and agenda ***Item #11, Fiscal Year 2016 Deferred Maintenance/Code/ADA Renovation Lump Sum Project List*** were recommended to the full Board for approval.

Mr. Jordan detailed the indentures presented for approval. On a motion by Trustee Cantor and seconded by Trustee Carbray, agenda ***Items 11-15, Twenty First Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds; Revised Allocation of Bond Authorizations as set forth in the Twentieth Supplemental Indenture; Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture; Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture; and the Revised Allocation of Bond Authorizations as set forth in the Sixteenth Supplemental Indenture*** were recommended to the full Board for approval.

Brian Gore, Director of Project and Program Management, gave a brief explanation of the project budgets for the Storrs campus presented for approval. The Storrs based project budgets presented for approval included: ***Academic and Research Facilities – Main Accumulation Area for Regulated Waste (Final: \$5,255,580); Arjona and Monteith – Monteith Renovations (Final: \$25M); Engineering Building – Engineering & Science Building (Final: \$95M); Replace Gampel Chillers (Final: \$1,446,000); South Campus Stair Repair (Final: \$615K); and Replace Gampel Cooling Tower (Revised Final: \$3M).*** On a motion by Trustee Cantor and seconded by Trustee Carbray the committee recommended these Project Budgets to the full Board for approval.

George Karsanow, Director of Construction Services at UConn Health, provided a brief explanation of the project budgets for the UConn Health campus presented for approval. These project budgets presented for approval included: ***UCH Main Building Boiler and Deaerator Tank Replacement (Planning: \$2.2M); UCH Main Building Renovation – Lab (“L”) Building Renovations – Project 2 (Design: \$41,389,671); UCH New Construction and Renovation: Clinic Building (“C”) Renovations (Revised Design: \$91,314,604); UCH Main Building Renovation: Lab (“L”) Building Renovations – Project 1 (Revised Final: \$78,415,503); UCH Medical School Academic Building Addition and Renovations (Revised Final: \$36,050,000); UCH New Construction and Renovations: New Hospital Tower (Revised Final: \$325,866,000); UCH New Construction and Renovation – Roadway & Intersection Improvements (Revised Final: \$5,786,250); and UCH Research Tower: Incubator Lab Addition to the Cell & Gnome Sciences Building.*** On a motion by Trustee Cantor and seconded by Trustee Carbray the committee recommended the UConn Health Project Budgets to the full Board for approval.

Mr. Kruger directed the committee to the information items on the agenda. There being no additional agenda items the meeting was adjourned at 11:40 a.m. on a motion by Trustee Cantor and seconded by Trustee Carbray.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

**MINUTES
SPECIAL MEETING
OF THE
FINANCIAL AFFAIRS COMMITTEE
June 24, 2015**

University of Connecticut
Rome Ballroom – Lower Level Portico
Storrs, Connecticut

Trustees Present: Shari Cantor, Richard Carbray, and Thomas Kruger

University Staff Present: John Biancamano, Debbie Carone, Gail Garber, Douglas Gnodtke, Scott Jordan, Michael Kirk, Joann Lombardo, Rachel Rubin, Katrina Spencer, Kelly Wihbey

The meeting was called to order at 1:47 p.m. by Committee Chairman Thomas Kruger. On a motion by Trustee Cantor and seconded by Trustee Carbray the committee voted to go into Executive Session at 1:47 p.m. to discuss preliminary drafts and notes; and commercial or financial information given in confidence that the University has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure.

Trustees present included: Shari Cantor, Richard Carbray, and Thomas Kruger.

University staff members who participated in the meeting: J John Biancamano, Debbie Carone, Gail Garber, Douglas Gnodtke, Scott Jordan, Michael Kirk, Joann Lombardo, Rachel Rubin, Katrina Spencer, Kelly Wihbey.

On a motion by Trustee Carbray and seconded by Trustee Kruger the Executive Session ended at 2:51 p.m. and the meeting was adjourned.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone
Secretary to the Committee

ATTACHMENT B

CONTRACTS AND AGREEMENTS
FOR INFORMATION
August 5, 2015

PROCUREMENT - NEW							
CONSTRUCTION SERVICES							
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	All -Phase Enterprises, Inc.	901824	\$3,268,749	05/11/15-06/10/16	UConn 2000 Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Demolition of Buildings 21 and 23, and relocation of utilities, on the Avery Point Campus. <i>(Final Budget approved by the BOT on 04/29/15 - \$7,300,000)</i>
2	All State Construction, Inc.	901795	\$2,036,000	03/02/15-10/30/15	UConn 2000 Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Replacement of the Gampel Cooling Tower on the Storrs Campus. <i>(Final Budget approved by the BOT on 01/28/15 - \$2,550,000)</i>
3	Beaulieu Company, LLC	901806	\$651,000	05/11/15-08/28/15	UConn 2000 Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Roof replacement for the Torrey Life Science Building on the Storrs Campus. <i>(Final Budget approved by the BOT on 09/24/14 - \$1,012,500)</i>
4	G. Donovan Associates, Inc.	901908	\$520,300	03/23/15-05/29/15	UConn 2000 Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Renovation of the Whitney Hall Residential Life Offices on the Storrs Campus. <i>(Final Budget approved by the BOT on 12/10/14 - \$685,000)</i>
5	Kronenberger & Sons Restoration, Inc.	901811	\$3,851,000	12/16/14-11/30/15	UConn 2000 Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Phase II Envelope Repairs for the W.B. Young Building on the Storrs Campus. <i>(Final Budget approved by the BOT on 04/23/14 - \$5,000,000)</i>
6	R. H. White Construction Co., Inc.	901810	\$1,779,850	02/23/15-12/04/15	UConn 2000 Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Priority 1 Repairs of the Water Pollution Control Facility on the Storrs Campus. <i>(Final Budget approved by the BOT on 09/24/14 - \$3,000,00)</i>
7	Standard Demolition Services, Inc.	901824	\$789,375	10/30/14-04/15/15	UConn 2000 Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	Abatement of Buildings 19, 21, and 23 at Avery Point Campus and limited demolition. <i>(Final Budget approved by the BOT on 04/29/15 - \$7,300,000)</i>
8	Zlotnick Construction, Inc.	201634	\$548,349	12/24/14-06/30/15	Multiple	Laura Cruickshank, University Master Planner and Chief Architect	Installation of new dishwasher and dish input area at McMahon Hall on the Storrs Campus. <i>(Final Budget approved by the BOT on 12/10/14 - \$885,935)</i>
JANITORIAL SERVICES							
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Building Maintenance Enterprises, LLC (f/k/a Suvan LLC)	UC-15-LM011014-1B	\$3,000,000	07/01/15-06/30/16	Operating Funds-General	Michael Jednak, AVP Facilities Operations & Building Services	Janitorial services for all University campuses other than the Storrs, Depot, and UCH campuses. This entry modifies the entry approved by the Board of Trustees on 04/19/2015 by incorporating reductions to the contract value and term negotiated prior to execution of the contract. This is the result of a publicly advertised RFP. Four extensions of one year each available.
ON CALL CONSULTING SERVICES - ARCHITECTURAL SERVICES, SMALL PROJECTS							
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Antinozzi Associates P.C.	009.1-17-900-043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call architectural services for small projects. This is the result of a publicly advertised RFP. Zero (0) options to extend.
2	BL Companies Connecticut, Inc.	009.1-15-900-043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call architectural services for small projects. This is the result of a publicly advertised RFP. Zero (0) options to extend.
3	Cheryl Newton Architect, LLC	009.1-19-900-043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call architectural services for small projects. This is the result of a publicly advertised RFP. Zero (0) options to extend.
4	Christopher Williams Architects, LLC	009.1-14-900-043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call architectural services for small projects. This is the result of a publicly advertised RFP. Zero (0) options to extend.
5	Clohessey, Harris & Kaiser, LLC	009.1-18-900-043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call architectural services for small projects. This is the result of a publicly advertised RFP. Zero (0) options to extend.
6	EDM CT, Inc.	009.1-16-900-043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call architectural services for small projects. This is the result of a publicly advertised RFP. Zero (0) options to extend.

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ON CALL CONSULTING SERVICES - CIVIL AND STRUCTURAL ENGINEERING							
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Al Engineers, Inc.	012.1-16-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call civil and structural engineering services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
2	Cardinal Engineering Associates, Inc.	012.1-21-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call civil and structural engineering services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
3	Freeman Companies, LLC	012.1-13-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call civil and structural engineering services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
4	GM2 Associates, Inc.	012.1-15-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call civil and structural engineering services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
5	GNCB Consulting Engineers, P.C.	012.1-20-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call civil and structural engineering services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
6	Lenard Engineering, Inc.	012.1-14-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call civil and structural engineering services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
7	Martinez Couch & Associates, LLC	012.1-18-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call civil and structural engineering services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
8	Woodard & Curran, Inc.	012.1-17-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call civil and structural engineering services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
9	Zuvic, Carr and Associates, Inc.	012.1-19-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call civil and structural engineering services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
ON CALL CONSULTING SERVICES - LANDSCAPE ARCHITECTURE							
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	BL Companies Connecticut, Inc.	010.1-11-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscape architecture services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
2	BLM Enterprises, LLC	010.1-14-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscape architecture services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
3	Landscape Architectural Design Associates, P.C. (d/b/a LADA, P.C. Land Planners)	010.1-12-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscape architecture services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
4	LRC Engineering & Surveying, LLC	010.1-13-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscape architecture services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
5	Richter & Cegan, Inc.	010.1-09-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscape architecture services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
6	Symmes Maini & McKee Associates Architecture and Engineering of CT, Inc.	010.1-10-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscape architecture services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
7	Towers Golde, LLC	010.1-08-500-043018	\$500,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscape architecture services. This is the result of a publicly advertised RFP. Zero (0) options to extend.

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ON CALL CONSULTING SERVICES - PROJECT MANAGEMENT OVERSIGHT

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	AI Engineers, Inc.	PMO-01/900/043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call project management services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
2	Hill International, Inc.	PMO-02/900/043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call project management services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
3	Jacobs Project Management Co.	PMO-03/900/043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call project management services. This is the result of a publicly advertised RFP. Zero (0) options to extend.
4	Strategic Building Solutions, LLC	PMO-04/900/043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call project management services. This is the result of a publicly advertised RFP. Zero (0) options to extend.

ON CALL CONSULTING SERVICES - PROJECT MANAGEMENT OVERSIGHT (SET-ASIDE)

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	AI Engineers, Inc.	PMO-SA-01/900/043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call project management services set-aside for certified CT small, minority businesses. This is the result of a publicly advertised RFP. Zero (0) options to extend.
2	Diversified Technology Consultants, Inc.	PMO-SA-02/900/043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call project management services set-aside for certified CT small, minority businesses. This is the result of a publicly advertised RFP. Zero (0) options to extend.
3	The McCloud Group, LLC	PMO-SA-03/900/043018	\$900,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call project management services set-aside for certified CT small, minority businesses. This is the result of a publicly advertised RFP. Zero (0) options to extend.

ON CALL TRADE SERVICES - GENERAL CONTRACTOR

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Able Builders Corporation	OC.GC2014-950-23	\$950,000	01/01/15-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call general contractor services. Maximum of \$500,000 per project. This is the result of a publicly advertised RFP. Options to extend for two (2) terms of one (1) year each.

ON CALL TRADE SERVICES - LANDSCAPING

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	BCI, Inc. (d/b/a Butler Company)	OC.LAND2015-950-1	\$950,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscaping services. Maximum of \$500,000 per project. This is the result of a publicly advertised RFP. Options to extend for two (2) terms of one (1) year each.
2	E. A. Quinn Landscape Contracting, Inc.	OC.LAND2015-950-2	\$950,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscaping services. Maximum of \$500,000 per project. This is the result of a publicly advertised RFP. Options to extend for two (2) terms of one (1) year each.
3	Four Seasons Landscaping, Inc.	OC.LAND2015-950-3	\$950,000	05/01/15-04/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	On-call landscaping services. Maximum of \$500,000 per project. This is the result of a publicly advertised RFP. Options to extend for two (2) terms of one (1) year each.

RESEARCH EQUIPMENT

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	EDAX Inc.	UC-15-CGP052215	\$616,690	06/24/15-6/30/30	UConn 2000 Bond Funds	Dr. Lawrence K. Silbart, Vice Provost for Strategic Initiatives	Spectrometers and diffraction cameras compatible with state-of-the-art electron microscopes operating in the UConn-FEI Center for Advanced Microscopy and Materials Analysis. Includes five year warranty. Under a separate agreement, the vendor will collaborate with UConn on research endeavors. Zero extensions.

STANDARD ARCHITECTURAL SERVICES

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Architectural Resources Cambridge, P.C.	901737	\$5,888,250	03/07/13-07/05/18	UConn 2000 Bond Funds	Matthew Larson, Director of Procurement Services	Architectural services for the Clinic Building Renovation on the UCH campus. (Planning Project Budget approved by the BOT on 06/28/12 - \$86,715,000)
2	Perkins Eastman Architects, P.C.	901756	\$1,701,787	02/07/14-06/30/17	UConn 2000 Bond Funds	Matthew Larson, Director of Procurement Services	Architectural services for Monteith Building Assessment and Renovations on the Storrs campus. (Revised Planning Project Budget approved by the BOT on 06/25/14 - \$25,000,000)

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UNIFORMS										
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose			
1	Swiss Laundry of Rockville, Inc.	UC-12-KA021012-8	\$841,125	05/01/12-04/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Provide uniform purchases, rental and laundering services to departments such as Facilities Operations, Fire and Police Departments, Animal Science, Dramatic Arts, Motor Pool, Central Stores, etc. This contract provides Facilities Operations with the garments to comply with federal guidelines such as NFPA 70E. One extensions of one year available.			
WATER SYSTEM MANAGEMENT										
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose			
1	New England Water Utility Services, Inc.	UC-11-PL040210-1	\$950,000	12/18/14-11/30/15	Operating Funds - General	Michael Jednak, AVP Facilities Operations and Building Services	Water systems management, operation, maintenance, development and regulatory compliance services at the Storrs, Depot, and Agronomy Farm campuses. Four extensions of one year each available.			
WATER TREATMENT										
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose			
1	ChemTreat, Inc.	DS091714-1-1	\$900,000	02/11/15-12/31/17	Operating Funds - General	Michael Jednak, AVP Facilities Operations and Building Services	Water treatment services and maintenance of water treatment facilities on all University campuses, including UCH. Serviced facilities include boiler systems, cooling towers, hot water loops, chilled water loops, waste water systems, and reverse osmosis water treatment equipment. This is the result of a publicly advertised RFP. Three extensions of one year each available.			
2	Nalco Company	DS091714-1-2	\$900,000	04/07/15-12/31/17	Operating Funds - General	Michael Jednak, AVP Facilities Operations and Building Services	Water treatment services and maintenance of water treatment facilities on all University campuses, including UCH. Serviced facilities include boiler systems, cooling towers, hot water loops, chilled water loops, waste water systems, and reverse osmosis water treatment equipment. This is the result of a publicly advertised RFP. Three extensions of one year each available.			
PROCUREMENT - AMENDMENTS										
CONSTRUCTION SERVICES										
No.	Contractor	Contract No.	New Amount	Term	Fund Source	Program Director	Total Expenditures as of 5/30/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Skanska USA Building Inc.	901661	\$91,148,687 [Contract Value Previously \$540,316; Total New Contract Value \$91,689,003]	02/26/13-05/26/17	UCONN 2000 Bond Funds	Laura Cruickshank, University Master Planner and Chief Architect	\$540,316	\$130,354	\$105,244	Construction of Innovation Partnership Building on the Storrs campus. Amend to provide guaranteed maximum price of \$91,148,687 for the project and to effect correlating increase to the contract value. (Final Budget approved by BOT on 12/10/14 - \$162,300,000)
RESEARCH EQUIPMENT										
No.	Contractor	Contract No.	New Amount	Term	Fund Source	Program Director	Total Expenditures as of 5/30/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Charles River Laboratories, Inc.	UC-12-016931-4	\$159,367 [Contract Value Previously \$576,574; Total New Contract Value \$735,941]	07/01/11-06/30/16	Multiple Sources	Jeffrey Seeman, Vice President for Research	\$576,574	\$150,930	\$143,291	Live laboratory animals, other than primates, for all University campuses, including UCH. Amend to increase contract value by \$159,367, for a total new contract value of \$735,941. Zero extensions remain.

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OTHER AGREEMENTS FOR INFORMATION						
REVENUE						
No.	Contractor	Contract No.	Term	Revenue Recipient	Program Director	Purpose
1	The Connecticut Department of Children and Families	NA	07/01/15-06/30/17	Center for Academic Programs	Maria D. Martinez, Ph.D. Assistant Vice Provost Institute for Student Success Director, Center for Academic Programs	The UConn First Star Academy at UConn serves at risk youth age 13 to 18 in an intensive multi-week summer program along with subsequent Saturday Academic Day sessions designed to prepare them academically and socially for successful higher education experiences. This is the third year for the UConn First Star Academy. DCF provides funds to UConn for the Academy for up to 50 students each Fiscal Year at \$5,000 per student.