

4-29-2015

2015 April 29 -- Agenda and Attachments

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AMENDED



MEETING OF THE BOARD OF TRUSTEES UNIVERSITY OF CONNECTICUT

AGENDA

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

April 29, 2015

BOARD OF TRUSTEES SCHEDULE OF THE DAY

- 9:00 a.m. Academic Affairs Committee Meeting
- 9:15 a.m. Financial Affairs Committee Meeting
- 9:45 a.m. Board of Trustees Meeting
- 12:00 p.m. Student Life Committee Meeting (Lower Level Portico)

BOARD MEETING AGENDA

Call to order at **9:45 a.m.**

- I. Executive Session anticipated
- II. Public Participation
- III. Chairman's Report
 - A. Matters outstanding
 - B. Minutes of the meeting of March 25, 2015
 - C. Consent Agenda Items:
 - 1. Contracts and Agreements for the Storrs-based Programs (Attachment 1)
 - 2. Promotion and Tenure Recommendations (Attachment 2)
 - 3. Sabbatical Leave Recommendations (Attachment 3)
 - 4. Approval to Amend the *By-Laws of the University of Connecticut* Article IX.G.1 – The University Senate (Attachment 4)
 - 5. Appointment to Canvassing Board – Election of Alumni Trustee (Separate Cover)
 - D. Informational Item:
 - 1. UConn HIPPA Privacy and Security Policies and Practices Executive Summary (Attachment 5)
- IV. President's Report
 - A. Item requiring Board discussion and approval:
 - 1. Honorary Degree (Separate Cover)
- V. Academic Affairs Committee Report
 - A. Report on Committee activities
 - B. Informational Items:
 - 1. Tenure-Track Reappointments (Attachment 6)
- VI. Financial Affairs Committee Report

A. Report on Committee activities

B. Items requiring Board discussion and approval:

1. Fiscal Year 2016 Certificate Entry into Nursing Program Fee (Attachment 7)
2. Financing the Acquisition of the Nathan Hale Inn (Attachment 8)
3. Project Budget (Revised Planning) for Gampel Pavilion Dome Ceiling and Roof Repair (Attachment 9)
4. Project Budget (Design) for Engineering Building – Engineering and Science Building (Attachment 10)
5. Project Budget (Design) for Fine Arts Phase II – Renovation and Improvements (Attachment 11)
6. Project Budget (Design) for Hartford Relocation Acquisition/Renovation (Attachment 12)
7. Project Budget (Design) for Main Water Line Replacement Phase II (Attachment 13)
8. Project Budget (Design) for Residential Life Facilities – Honors Residence Hall (Attachment 14)
9. Project Budget (Design) for Waterbury Downtown Campus – Rectory Renovations (Attachment 15)
10. Project Budget (Final) for Avery Point Renovation – Utility Relocation and Buildings 21/23 Demolition (Attachment 16)
11. Project Budget (Final) for Sewer Line Replacement at Storrs Road Pump Station (Attachment 17)
12. Project Budget (Final) for UCFM Code Remediation – Campus Wide Laundry Alterations (Attachment 18)
13. Acquisition of 38 Prospect Street, Hartford, CT (Separate Cover)
14. Recommendation for Approval of Collective Bargaining Agreement (GEU – UAW) (Separate Cover)

VII. UConn Health Report

A. Report on UConn Health activities

VIII. Joint Audit and Compliance Committee Report

A. Report on Committee activities

IX. Buildings, Grounds and Environment Committee Report

A. Report on Committee activities

X. Construction Management Oversight Committee Report

A. Report on Committee activities

XI. Student Life Committee Report

A. Report on Committee activities

XII. Institutional Advancement Committee Report

A. Report on Committee activities

B. Informational Item:

1. Development Progress Executive Summary (Attachment 19)

XIII. Committee on Compensation Report

A. Report on Committee activities

XIV. Other business

XV. Executive Session anticipated

XVI. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

**CONTRACTS AND AGREEMENTS
FOR APPROVAL
April 29, 2015**

PROCUREMENT - NEW

FOOD SERVICE

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Sysco Connecticut, LLC	UC-15-KA111814-8	\$55,205,560	07/01/15-06/30/18	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	Grocery, frozen foods, and food service paper products for student meals, catering, and other needs on all University campuses, other than UCH. Primary user is the Department of Dining Services. This is the result of a publicly advertised RFP. Two extensions of one year each available.

JANITORIAL SERVICES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Suvan LLC	UC-15-LM011014-1B	\$5,551,938	06/01/15-11/30/17	Operating Funds - Auxiliary Services	Michael Jednak, AVP Facilities Operations and Building Services	Janitorial services for all University campuses other than the Storrs, Depot, and UCH campuses. This is the result of a publicly advertised RFP. Three extensions of one year each available.

ON CALL CONSULTING SERVICES - ARCHITECTURE

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Antinozzi Associates PC	009.2-16-2M-043018	\$2,000,000	05/01/15-04/30/18	Multiple	Matthew Larson, Director of Procurement Services	On-call architectural services for all University campuses, including UCH. This is the result of a publicly advertised RFP. Zero options to extend.
2	Christopher Williams Architects LLC	009.2-14-2M-043018	\$2,000,000	05/01/15-04/30/18	Multiple	Matthew Larson, Director of Procurement Services	On-call architectural services for all University campuses, including UCH. This is the result of a publicly advertised RFP. Zero options to extend.
3	Clohessey Harris & Kaiser, LLC	009.2-19-2M-043018	\$2,000,000	05/01/15-04/30/18	Multiple	Matthew Larson, Director of Procurement Services	On-call architectural services for all University campuses, including UCH. This is the result of a publicly advertised RFP. Zero options to extend.
4	DeCarlo & Doll, Inc.	009.2-20-2M-043018	\$2,000,000	05/01/15-04/30/18	Multiple	Matthew Larson, Director of Procurement Services	On-call architectural services for all University campuses, including UCH. This is the result of a publicly advertised RFP. Zero options to extend.
5	EDM CT Inc.	009.2-17-2M-043018	\$2,000,000	05/01/15-04/30/18	Multiple	Matthew Larson, Director of Procurement Services	On-call architectural services for all University campuses, including UCH. This is the result of a publicly advertised RFP. Zero options to extend.
6	OakPark Architects LLC	009.2-21-2M-043018	\$2,000,000	05/01/15-04/30/18	Multiple	Matthew Larson, Director of Procurement Services	On-call architectural services for all University campuses, including UCH. This is the result of a publicly advertised RFP. Zero options to extend.
7	Tecton Architects, PC	009.2-15-2M-043018	\$2,000,000	05/01/15-04/30/18	Multiple	Matthew Larson, Director of Procurement Services	On-call architectural services for all University campuses, including UCH. This is the result of a publicly advertised RFP. Zero options to extend.
8	TLB Architecture, LLC	009.2-18-2M-043018	\$2,000,000	05/01/15-04/30/18	Multiple	Matthew Larson, Director of Procurement Services	On-call architectural services for all University campuses, including UCH. This is the result of a publicly advertised RFP. Zero options to extend.

TRASH AND RECYCLING SERVICES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Willimantic Waste Paper Co. Inc.	UC-15-DS013015-1	\$2,811,000	06/01/15-05/31/18	Operating Funds-General	Michael Jednak, AVP Facilities Operations & Building Services	Trash and recycling services for the University's Storrs, Depot, and Avery Point campuses. This is the result of a publicly advertised RFP. One extension of three years available.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
April 29,2015

PROCUREMENT - AMENDMENTS

CELLULAR NETWORK SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	AT&T Mobility	WSCA-1907	\$371,258 [Contract Value Previously \$767,211; Total New Contract Value \$1,138,469]	03/15/12-06/30/19	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$701,409	\$260,165	\$264,314	Cellular network service and supplies for all University campuses other than UCH. Amend to increase contract value by \$371,258 for a total new contract value of \$1,138,469. Zero extensions remain.

INTERNET SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	CenturyLink Communications, LLC (f/k/a Qwest Communications Company, LLC d/b/a CenturyLink QCC)	UC-09-PG062008	\$0 [Contract Value Previously \$1,684,000; Contract Value Remains the Same]	07/01/09-09/30/15	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$567,341	\$163,079	\$88,177	Dedicated internet access to all University campuses, including UCH, and downstream users of the University's network, including the Connecticut Education Network. Amend to extend term by three months, through 9/30/15. Zero extensions remain.

JANITORIAL SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	GCA Services Group, Inc.	UC-08-KJ120707-1-B	\$600,000 [Contract Value Previously \$3,241,610; Total New Contract Value \$3,841,610]	01/01/15-06/30/15	Operating Fund - General	Michael Jednak, AVP Facilities Operations and Building Services	\$1,245,234	\$0	\$0	Custodial services at the Storrs and Depot campuses. Amend to increase contract value by \$600,000, for a new total contract value of \$3,841,610. Amend to extend term for one month, through 06/30/15. Zero options to extend.

ON CALL CONSULTING SERVICES - ARCHITECTURE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Amenta/Emma Architects, P.C.	009.2-1-2M-22916	\$200,000 [Contract Value Previously \$2,000,000; Total New Contract Value \$2,200,000]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,298,785	\$398,390	\$0	On-call architectural services for all University campuses, including UCH. Amend to increase contract value by \$200,000, for a total new contract value of \$2,200,000. Zero options to extend.

PROFESSIONAL EDUCATION SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Anglo Educational Services	59677	\$237,000 [Contract Value Previously \$850,000; Total New Contract Value \$1,087,000]	08/16/14-08/15/15	Auxiliary Services	Kevin Brennan PhD, Executive Program Director	\$821,291	\$0	\$0	Housing, office space, classrooms, library and computer access, and financial and accounting services to support the University's London Study Abroad Program for the 2014-2015 fall, winter, spring, and summer sessions. The expenses associated with this contract are borne by the participating students, not by the University. Amend to increase contract value by \$237,000 for a total new contract value of \$1,087,000. Zero extensions remain.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
April 29, 2015

PROFESSIONAL EDUCATION SERVICES (Continued):										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
2	Connecticut Urban Legal Initiative, Inc.	UC-12-KJ112610	\$108,422 [Contract Value Previously \$1,197,381; Total New Contract Value \$1,305,803]	08/01/11-07/31/16	Multiple Sources	Timothy S. Fisher, Dean, School of Law	\$1,051,553	\$208,333	\$374,723	Classroom and clinical legal education for two Law School courses during each of the fall and spring semesters. Amend to increase contract value by \$108,422 for a total new contract value of \$1,305,803. Amend to extend term by one year, through 7/31/16. Zero extensions remain.
RESEARCH SUPPLIES & EQUIPMENT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Cargill, Incorporated (d/b/a Cargill Animal Nutrition)	UC-12-CGP052511	\$383,575 [Contract Value Previously \$901,500; Total New Contract Value \$1,285,075]	07/01/11-06/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$895,090	\$301,503	\$275,000	Feed for pig, sheep, cattle, and horse herds on the Storrs campus. Amend to increase contract value by \$383,575 for a total new contract value of \$1,285,075. Amend to extend term by one year, through 6/30/16. Zero extensions remain.
2	Thermo Electron North America LLC	10PSX0051	\$348,274 [Contract Value Previously \$991,285; Total New Contract Value \$1,339,559]	07/27/10-05/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$996,348	\$314,306	\$362,008	Spectrophotometers, chromatographs, analyzers and other specialized laboratory equipment for all University campuses, other than UCH. Amend to increase contract value by \$348,274 for a total new contract value of \$1,339,559. Zero extensions remain.
SOFTWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Paciolian Inc.	1754	\$400,000 [Contract Value Previously \$1,465,000; Total New Contract Value \$1,865,000]	07/01/13-12/10/15	Multiple Sources	Warde Manuel, Director of Athletics	\$1,449,079	\$342,442	\$263,534	Software system for ticket sales for the Division of Athletics. Amend to increase contract value by \$400,000, for a total new contract value of \$1,865,000. Four extensions of one year each remain.
2	SHI International, Corp.	UC-14-PG010813	\$200,000 [Contract Value Previously \$1,800,000; Total New Contract Value \$2,000,000]	07/01/13-06/30/16	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$1,204,440	\$502,839	\$0	Microsoft software for faculty, staff and students on all University campuses, including UCH. Amend to increase contract value by \$200,000, for a total new contract value of \$2,000,000. One extension of three years remaining.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
April 29, 2015

STUDENT INSURANCE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Bailey Agencies, Inc.	UC-13-KJ113012-2	\$750,310 [Contract Value Previously \$1,082,203; Total New Contract Value \$1,832,513]	06/01/13-08/15/16	Auxiliary Funds	Michael Kurland, Director of Student Health Services	\$940,919	\$540,350	\$0	Dental insurance for registered University and UCH students, including University and UCH Graduate Assistants, Graduate Fellows, and other eligible individuals. Coverage underwritten by Morgan and White Group and brokered by Bailey Agencies, Inc. The majority of the expenses for graduate Assistants and Graduate Fellows associated with this contract are borne by the University. The expenses for all other students associated with this contract are borne by the insured students, not by the University. Amend to increase contract value by \$750,310, for a total new contract value of \$1,832,513. Amend to extend term by one year, to 8/15/16. Two extensions of one year each remain.
2	Consolidated Health Plans, Inc.	UC-13-KJ113012-1	\$23,493,049 [Contract Value Previously \$31,512,928; Total New Contract Value \$55,005,977]	06/01/13-08/15/16	Auxiliary Funds	Michael Kurland, Director of Student Health Services	\$29,960,917	\$15,178,822	\$0	Health insurance for registered University and UCH students, including University and UCH Graduate Assistants, Graduate Fellows, and other eligible individuals. Coverage underwritten by Nationwide Life Insurance Company and brokered by Bailey Agencies, Inc. The majority of the expenses for graduate Assistants and Graduate Fellows associated with this contract are borne by the University. The expenses for all other students associated with this contract are borne by the insured students, not by the University. Amend to increase contract value by \$23,493,049 for a total new contract value of \$55,005,977. Amend to extend term by one year, to 8/15/16. Two extensions of one year each remain.
VEHICLE PURCHASE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Gates Commercial & Fleet (comprising Columbia Ford, Inc.; Gates GMC Truck, Inc.; Putnam Chrysler Dodge Jeep, Inc.; and Premier Chevrolet, Inc.)	UC-13-LP042712	\$500,000 [Contract Value Previously \$1,842,983, Total New Contract Value \$2,342,983]	10/1/12 - 9/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$916,215	\$489,866	\$267,296	Purchase of new and/or used vehicles for all University campuses, including UCH. Amend to increase contract value by \$500,000, for a total new contract value of \$2,342,983. Two (2) of five (5) one (1) year extensions remaining.
REVENUE - AMENDMENTS										
CONCESSIONS										
No.	Contractor	Contract No.	Term	Revenue Recipient	Program Director	Total Revenues as of 2/28/15	Revenues FY 14	Revenues FY 13	Purpose	
1	Sodexo Operations LLC	UC-07-KA020806	11/01/06-05/31/17	Various Athletic Programs	Warde Manuel, Director of Athletics	\$991,905	\$125,993	\$99,808	Concession services for all sport venues on the Storrs campus. Amend to extend term by two years, to 5/31/17. Zero extensions remain.	

CONTRACTS AND AGREEMENTS
FOR APPROVAL
April 29, 2015

LEASES

UNIVERSITY AS LESSOR

No.	Lessor	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Town of West Hartford	\$0	07/01/05-06/25/20	Operating Fund - General	Scott Jordan, Vice President for Administration and Chief Financial Officer	Second amendment to an existing ground lease: extends the lease period from 06/30/15 to 06/30/20 and retains the previously approved option until 6/30/2025. This ground lease is for approximately 5.6 acres at the Greater Hartford campus upon which baseball fields have been constructed.
2	Panda Café	\$51,500.00	08/01/13-07/31/17	Operating Fund - General	Michael Gilbert, Vice President for Student Affairs	Renewal of a commercial lease for approximately 785 square feet plus additional space for storage in the the building known as the Student Union Building. Base rent will be \$4,291.67 per month with a percentage of sales due as additional rent. This lease shall be for the operation of a Panda Express in the Food Court, and is an exercise of the second of two extensions contained in their original lease.

UNIVERSITY AS LESSEE

No.	Lessor	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	EDR Storrs, LLC	359,172	08/15/12-07/31/16	Operating Fund - General	Michael Gilbert, Vice President for Student Affairs	Lease Amendment to support Visiting Scholar Program housing needs at The Oaks on the Square in Storrs Center, Storrs, CT. The term of the lease extends from three (3) years to four (4) years with an option to extend for an additional one (1) year. The University's Department of Residential Life will maintain the allotted apartments for the term of the lease and will sub-lease apartments to visiting scholars.

ATTACHMENT 2

PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – COLLEGE OF AGRICULTURE, HEALTH & NATURAL RESOURCES
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2015

PROMOTION TO PROFESSOR

Thomas Meyer

Natural Resources and the Environment

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Kristen Govoni

Animal Science

Lindsay DiStefano

Kinesiology

Jessica Lubell-Brand

Plant Science and Landscape Architecture

PROMOTION TO SENIOR EXTENSION EDUCATOR (NON-TENURE TRACK)

Diane Wright-Hirsch

Extension

PROMOTION TO EXTENSION EDUCATOR (NON-TENURE TRACK)

Candace Bartholomew

Extension

PROMOTION TO ASSOCIATE EXTENSION EDUCATOR (NON-TENURE TRACK)

Cary Chadwick

Extension

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – NEAG SCHOOL OF EDUCATION
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2015**

PROMOTION TO PROFESSOR

Wendy Glenn

Curriculum and Instruction

PROMOTION TO PROFESSOR AND TENURE

Ronald Beghetto

Educational Psychology

PROMOTION TO ASSOCIATE CLINICAL PROFESSOR (NON-TENURE TRACK)

Rebecca Eckert

Curriculum and Instruction

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF ENGINEERING
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2015**

TENURE AS PROFESSOR

Radenka Maric

Chemical & Biomolecular Engineering

PROMOTION TO PROFESSOR

Allison MacKay

Civil & Environmental Engineering

John Chandy

Electrical & Computer Engineering

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Leslie Shor

Chemical & Biomolecular Engineering

Sung Yeul Park

Electrical & Computer Engineering

George Lykotrafitis

Mechanical Engineering

PROMOTION TO ASSOCIATE PROFESSOR IN RESIDENCE (NON-TENURE TRACK)

Diane Van Scoter

Materials Science & Engineering

PROMOTION TO ASSOCIATE RESEARCH PROFESSOR (NON-TENURE TRACK)

Zhaoyan Fan

Mechanical Engineering

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF FINE ARTS
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2015**

PROMOTION TO PROFESSOR

Michael Bradford

Dramatic Arts

TENURE AS ASSOCIATE PROFESSOR

Scott Ripley

Dramatic Arts

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT - SCHOOL OF LAW
PRESENTED TO THE BOARD OF TRUSTEES – APRIL 29, 2015**

PROMOTION TO PROFESSOR AND TENURE
Brendan Maher

PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES
PRESENTED TO THE BOARD OF TRUSTEES — April 29, 2015

TENURE AS PROFESSOR

Emiliano Valdez	Mathematics
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TENURE AS ASSOCIATE PROFESSOR

Matthew Hughey	Sociology
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PROMOTION TO PROFESSOR

Elizabeth Jockusch	Ecology and Evolutionary Biology
Paul Lewis	Ecology and Evolutionary Biology

Thomas Deans	English
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Cornelia Dayton	History
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Susanne Wurmbrand	Linguistics
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Timothy Byrne	Marine Sciences
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Daniel Gage	Molecular and Cell Biology
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Li Wang	Physiology and Neurobiology
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Samuel Best	Political Science
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James Dixon	Psychology
Kerry Marsh	Psychology
Diane Quinn	Psychology

Ofer Harel	Statistics
Jun Yan	Statistics

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Alexia Smith	Anthropology
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Ellen Carillo	English
Dwight Codr	English
Kathleen Tonry	English

Shayne Anderson	Human Development and Family Studies
Annamaria Csizmadia	Human Development and Family Studies

Jennifer Terni	Literatures, Cultures and Languages
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Barbara Mellone	Molecular and Cell Biology
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**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF NURSING
PRESENTED TO THE BOARD OF TRUSTEES — April 29, 2015**

Effective August 23, 2015

TENURE AS PROFESSOR
Angela Starkweather

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF SOCIAL WORK
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2015**

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE
Cristina Mogro-Wilson

ATTACHMENT 3

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
April 29, 2015 Board of Trustees Meeting

SABBATICAL MODIFICATIONS/POSTPONEMENTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Hussein, Mohamed E.	Professor	Accounting	Business	Full	Fall 2015
				Full	Fall 2016

SABBATICAL LEAVE REQUESTS:

Semester at Full Pay

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Alder, Nathan N.	Associate Professor	Molecular and Cell Biology	Liberal Arts and Sciences	Full	Spring 2016
Cosgel, Metin	Professor	Economics	Liberal Arts and Sciences	Full	Spring 2016
Gogarten, Johann Peter	Dist. Professor	Molecular and Cell Biology	Liberal Arts and Sciences	Full	Spring 2016
Kinsella-Shaw, Jeffrey M.	Associate Professor	Kinesiology	Agriculture, Health and Natural Resources	Full	Fall 2015
Mellone, Barbara	Assistant Professor	Molecular and Cell Biology	Liberal Arts and Sciences	Full	Spring 2016
Noll, Kenneth	Professor	Molecular and Cell Biology	Liberal Arts and Sciences	Full	Spring 2016
Raheim, Salome	Dean		Social Work	Full	Fall 2015
Squibbs, Ronald	Associate Professor	Music	Fine Arts	Full	Spring 2016

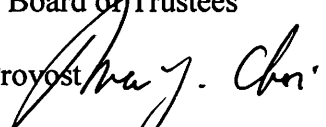
Academic Year at Half Pay

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Turchin, Peter	Professor	Ecology & Evolutionary Biology	Liberal Arts and Sciences	Half	AY 2015 - 2016

ATTACHMENT 4



April 29, 2015

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost 
RE: Approval to Amend the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut*: Article IX.G.1 – The University Senate.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. Such notice was given on March 25, 2015.

The proposed amendment to the University's By-Laws will change the required distribution date for the University Senate meeting agenda. The current language requires that the meeting agenda be distributed a minimum of five days in advance of a Senate meeting. The timeframe was appropriate when the agenda was distributed via campus or postal delivery. The proposed change recognizes that the agenda is now distributed electronically and does not require additional mail processing time.

For the information of the Board, also attached is the original language of Article IX.G.1, with indications of the proposed amendments.

ARTICLE IX – The University Senate

G. Committees

1. The Senate Executive Committee

The Senate Executive Committee (SEC) shall consist of eight faculty members, one professional staff member, and one undergraduate and one graduate student member. The faculty and professional staff members shall be elected by the Senate from among the elected members of the Senate who are not primarily administrators. Department heads and directors are not regarded as primarily administrators. The undergraduate and graduate members shall be selected from among and by all student members of the Senate. The Undergraduate Student Government and Graduate Student Senate Presidents or their student Senate member designee will serve as the interim student representatives to the Senate Executive Committee during the summer months until the permanent members are selected.

Elections shall follow the annual election of such members and shall normally take place in April. For faculty and professional staff members, a term of service on the Executive Committee shall begin on July 1 and shall be for three years. The term of membership in the Senate of a faculty or professional staff representative elected to the Executive Committee shall be automatically extended, if necessary, to be co-terminal. For the student member, the term of service shall be one year, renewable to a maximum of three consecutive years. After the annual election, the Senate shall elect one of the nine faculty and professional staff members to serve as Chair of the SEC for a one-year term, which may be renewed. A vacancy on the SEC shall be filled by election for the duration of the vacancy.

No school/college shall have more than one faculty representative elected in any class, except the College of Liberal Arts and Sciences, which may have as many as two representatives in any class. The College of Liberal Arts and Sciences may have no more than a total of four members on the SEC at any time and no other school/college may have more than two members at any time. No faculty or professional staff senator shall be eligible for immediate re-election to the SEC.

The SEC is responsible for organizing and coordinating the business of the Senate and of Senate committees. To this end, it shall maintain a clerical staff and an office. ~~It shall distribute an agenda for each Senate meeting to the faculty and professional staff at least five days before the meeting. It shall electronically distribute an agenda for each Senate meeting to the faculty and professional staff in a timely manner in advance of the meeting.~~ (Items of an extraordinary nature may be considered at a Senate meeting which have not been included in the agenda for that meeting.) It shall receive the reports of Senate committees before they are forwarded to the Senate. It also shall be available to be consulted as the voice of the Senate, especially in reference to resolutions of the Senate, which are designed to be transmitted ultimately to the Board of Trustees. SEC members shall also constitute the faculty membership of the Trustee-Administration-Faculty-Student Committee (see Section VIII.C.).

ATTACHMENT 5

April 29, 2015

TO: Members of the Board of Trustees

FROM: Rachel Krinsky Rudnick, Assistant Director of Compliance/Privacy Officer
Jason Pufahl, Chief Information Security Officer

RE: UConn HIPAA Privacy & Security Policies and Practices Manual

As the individuals designated as the University's Privacy and Information Security Officer, respectively, we submit this update regarding the status of HIPAA compliance efforts at the University's Storrs and Regional campuses. UConn Health maintains its own HIPAA compliance policies, procedures, practices and forms. However it is important to note that while UConn and UConn Health are considered separate entities for HIPAA purposes, the efforts across the two entities are collaborative and consistent.

In January of 2013, the U.S. Department of Health and Human Services (DHHS) issued its final Health Insurance Portability and Accountability Act (HIPAA) regulations. Otherwise known as the Omnibus Rule, this updated set of regulations finally established a comprehensive set of rules regarding HIPAA privacy, security, breach response and enforcement. During the summer of 2013, the University engaged outside vendor, OpenSky to conduct an assessment of the University's then current structure, policies, procedures and practices under HIPAA. In addition to and in conjunction with the OpenSky review, we conducted our own assessment of best practices, and review of the institution's HIPAA-related policies, procedures, practices, forms and notices. These efforts resulted in an overhaul and reconstruction of the University's HIPAA structure, ending in a decision to redefine the University's status as a hybrid-entity for HIPAA purposes, and requiring all HIPAA-covered components of the University to follow and utilize a single set of privacy, data security and breach response policies, processes, notices and forms. These documents have been condensed to a single policy and procedure manual, entitled the *HIPAA Privacy and Security Practices Manual*. The contents of this *Manual* establish an uniform, comprehensive set of University level HIPAA Privacy, Security and Data Breach Management policies that apply to all units, faculty and staff who deal with HIPAA-covered data either where they provide direct health-related services, or where they function as a Business Associate of another entity. The *Manual* also contains a single Notice of Privacy Practices and other forms for all of our HIPAA-covered components to utilize to ensure consistency across the University.

The *Manual* was reviewed and approved by the President's Cabinet on September 10, 2014 and subsequently by the Joint Audit & Compliance Committee (JACC) at their December 11, 2014 meeting. The HIPAA-covered components of the University have implemented the policies, procedures, practices and training, and have been utilizing the required forms and notification documents from the *Manual* since approval by the President's Cabinet.

The OACE is creating a webpage that will house the contents of the Manual and serve as a HIPAA resource guide for the University community. We welcome you to visit <http://hipaa.uconn.edu> to review the contents of the Manual and other HIPAA-related tools.

ATTACHMENT 6

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2015**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Mary Anne Amalaradjou	Assistant Professor	Animal Science	College of Agriculture, Health & Natural Resources
Christopher Blesso	Assistant Professor	Nutritional Sciences	College of Agriculture, Health & Natural Resources
Benjamin Campbell	Assistant Professor	Agricultural and Resource Economics	College of Agriculture, Health & Natural Resources
Dennis D'Amico	Assistant Professor	Animal Science	College of Agriculture, Health & Natural Resources
Richard Dunn	Assistant Professor	Agricultural and Resource Economics	College of Agriculture, Health & Natural Resources
Nathan Fiala	Assistant Professor	Agricultural and Resource Economics	College of Agriculture, Health & Natural Resources
Ashley Helton	Assistant Professor	Natural Resources and the Environment	College of Agriculture, Health & Natural Resources
Tania Huedo-Medina	Assistant Professor	Allied Health Sciences	College of Agriculture, Health & Natural Resources
John Inguagiato	Assistant Professor	Plant Science and Landscape Architecture	College of Agriculture, Health & Natural Resources
Alison Kohan	Assistant Professor	Nutritional Sciences	College of Agriculture, Health & Natural Resources
Justin LaFerrier	Assistant Professor	Kinesiology	College of Agriculture, Health & Natural Resources
Tricia Leahey	Associate Professor	Allied Health Sciences	College of Agriculture, Health & Natural Resources
Elaine Choung-Hee Lee	Assistant Professor	Kinesiology	College of Agriculture, Health & Natural Resources
Yizao Liu	Assistant Professor	Agricultural and Resource Economics	College of Agriculture, Health & Natural Resources
Yangchao Luo	Assistant Professor	Nutritional Sciences	College of Agriculture, Health & Natural Resources
Stephanie Mazerolle	Assistant Professor	Kinesiology	College of Agriculture, Health & Natural Resources
Melissa McKinney	Assistant Professor	Natural Resources and the Environment	College of Agriculture, Health & Natural Resources
Amy Mobley	Assistant Professor	Nutritional Sciences	College of Agriculture, Health & Natural Resources
Anita Morzillo	Assistant Professor	Natural Resources and the Environment	College of Agriculture, Health & Natural Resources
Sarah Reed	Assistant Professor	Animal Science	College of Agriculture, Health & Natural Resources
Tracy Rittenhouse	Assistant Professor	Natural Resources and the Environment	College of Agriculture, Health & Natural Resources
Rosa Raudales	Assistant Professor	Plant Science and Landscape Architecture	College of Agriculture, Health & Natural Resources
Steven Szczepanek	Assistant Professor	Pathobiology and Veterinary Science	College of Agriculture, Health & Natural Resources
Young Tang	Assistant Professor	Animal Science	College of Agriculture, Health & Natural Resources
Charles Towe	Assistant Professor	Agricultural and Resource Economics	College of Agriculture, Health & Natural Resources
Huanzhong Wang	Assistant Professor	Plant Science and Landscape Architecture	College of Agriculture, Health & Natural Resources
Xiaohui Zhou	Assistant Professor	Pathobiology and Veterinary Science	College of Agriculture, Health & Natural Resources
Gerlinde Berger-Walliser	Assistant Professor	Marketing	School of Business
David Bergman	Assistant Professor	Operations and Information Management	School of Business
Paul Borochin	Assistant Professor	Finance	School of Business
Resul Cesur	Assistant Professor	Finance	School of Business
Yuntao Dong	Assistant Professor	Management	School of Business
Travis Grosser	Assistant Professor	Management	School of Business
Jane Gu	Assistant Professor	Marketing	School of Business

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2015**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Kunter Gunasti	Assistant Professor	Marketing	School of Business
Caroline Kaeb	Assistant Professor	Marketing	School of Business
Namho Kang	Assistant Professor	Finance	School of Business
Todd Kravet	Assistant Professor	Accounting	School of Business
Xiao (Joyce) Lin	Assistant Professor	Finance	School of Business
Jose Martinez	Assistant Professor	Finance	School of Business
David Norton	Assistant Professor	Marketing	School of Business
Hee Mok Park	Assistant Professor	Marketing	School of Business
Stephen Park	Assistant Professor	Marketing	School of Business
Yanhua Yang	Assistant Professor	Accounting	School of Business
Kexin Zheng	Assistant Professor	Accounting	School of Business
Ying Zhou	Assistant Professor	Accounting	School of Business
Tutita Casa	Assistant Professor	Curriculum and Instruction	Neag School of Education
Milagros Castillo-Montoya	Assistant Professor	Educational Leadership	Neag School of Education
Joseph Cooper	Assistant Professor	Educational Leadership	Neag School of Education
Hannah Dostal	Assistant Professor	Curriculum and Instruction	Neag School of Education
Shaun Dougherty	Assistant Professor	Educational Leadership	Neag School of Education
Erica Fernandez	Assistant Professor	Educational Leadership	Neag School of Education
Jennifer Freeman	Assistant Professor	Educational Psychology	Neag School of Education
Rachael Gabriel	Assistant Professor	Curriculum and Instruction	Neag School of Education
Erik Hines	Assistant Professor	Educational Psychology	Neag School of Education
Devin Kearns	Assistant Professor	Educational Psychology	Neag School of Education
Tamika La Salle	Assistant Professor	Educational Psychology	Neag School of Education
Allison Lombardi	Assistant Professor	Educational Psychology	Neag School of Education
Bianca Montrosse-Moorhead	Assistant Professor	Educational Psychology	Neag School of Education
Christopher Rhoads	Assistant Professor	Educational Psychology	Neag School of Education
Jennie Weiner	Assistant Professor	Educational Leadership	Neag School of Education
Sarah Woulfin	Assistant Professor	Educational Leadership	Neag School of Education
Alexander Agrios	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Marina Astitha	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Mukul Bansal	Assistant Professor	Computer Science & Engineering	School of Engineering
Ali Bazzi	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Wendy Vanden Berg-Foels	Assistant Professor	Biomedical Engineering	School of Engineering

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2015**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Jinbo Bi	Associate Professor	Computer Science & Engineering	School of Engineering
George Bollas	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Kevin Brown	Assistant Professor	Biomedical Engineering	School of Engineering
Kelly Burke	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Yang Cao	Associate Professor	Electrical & Computer Engineering	School of Engineering
Xu Chen	Assistant Professor	Mechanical Engineering	School of Engineering
Yongku Cho	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Ashwin Dani	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Avinash Dongare	Assistant Professor	Materials Science & Engineering	School of Engineering
Domenic Forte	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Shalabh Gupta	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Song Han	Assistant Professor	Computer Science & Engineering	School of Engineering
Kazunori Hoshino	Assistant Professor	Biomedical Engineering	School of Engineering
Mohammad Khan	Assistant Professor	Computer Science & Engineering	School of Engineering
Omer Khan	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Christine Kirchhoff	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Karthik Konduri	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Seok-Woo Lee	Assistant Professor	Materials Science & Engineering	School of Engineering
Anson W.K. Ma	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Serge Nakhmanson	Associate Professor	Materials Science & Engineering	School of Engineering
Mu-Ping Nieh	Associate Professor	Chemical & Biomolecular Engineering	School of Engineering
Julian Norato	Assistant Professor	Mechanical Engineering	School of Engineering
David Pierce	Assistant Professor	Mechanical Engineering	School of Engineering
Michael Pettes	Assistant Professor	Mechanical Engineering	School of Engineering
Sabato Santaniello	Assistant Professor	Biomedical Engineering	School of Engineering
Donald Sheehy	Assistant Professor	Computer Science & Engineering	School of Engineering
Yong-Jun Shin	Assistant Professor	Biomedical Engineering	School of Engineering
Luyi Sun	Associate Professor	Chemical & Biomolecular Engineering	School of Engineering
Savas Tasoglu	Assistant Professor	Mechanical Engineering	School of Engineering
Timothy Vadas	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Ioulia Valla	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Marten van Dijk	Associate Professor	Electrical & Computer Engineering	School of Engineering
Kristina Wagstrom	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Kay Wille	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Arash Esmaili Zaghi	Assistant Professor	Civil & Environmental Engineering	School of Engineering

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2015**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Liang Zhang	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Peng Zhang	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Wei Zhang	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Guoan Zheng	Assistant Professor	Biomedical Engineering	School of Engineering
John Bell	Associate Professor	Dramatic Arts	School of Fine Arts
Margarita Blush	Assistant Professor	Dramatic Arts	School of Fine Arts
Ken Bowen	Assistant Professor	Digital Media & Design	School of Fine Arts
Alexis Boylan	Assistant Professor	Art and Art History	School of Fine Arts
Adrienne Macki Braconi	Assistant Professor	Dramatic Arts	School of Fine Arts
Michael Chybowski	Assistant Professor	Dramatic Arts	School of Fine Arts
Lindsay Cummings	Assistant Professor	Dramatic Arts	School of Fine Arts
Dale Edwards	Assistant Professor	Dramatic Arts	School of Fine Arts
Harvey Felder III	Associate Professor	Music	School of Fine Arts
Yan Geng	Assistant Professor	Art and Art History	School of Fine Arts
Solomiya Ivakhiv	Assistant Professor	Music	School of Fine Arts
Dionne Jackson	Associate Professor	Music	School of Fine Arts
Frank Mack	Associate Professor	Dramatic Arts	School of Fine Arts
Rod Nelman	Assistant Professor	Music	School of Fine Arts
John O'Donnell	Assistant Professor	Art and Art History	School of Fine Arts
Alison Paul	Instructor	Art and Art History	School of Fine Arts
James Spillane	Associate Professor	Music	School of Fine Arts
Gregory Webster	Assistant Professor	Dramatic Arts	School of Fine Arts
Edward Weingart	Assistant Professor	Dramatic Arts	School of Fine Arts
John Cogan	Associate Professor		School of Law
Mathilde Cohen	Associate Professor		School of Law
Miguel de Figueiredo	Associate Professor		School of Law
Dalié Jiménez	Associate Professor		School of Law
James Kwak	Associate Professor		School of Law
Jeremy McClane	Associate Professor		School of Law
Julia Simon-Kerr	Associate Professor		School of Law
Douglas Spencer	Associate Professor		School of Law
César Abadía-Barrero	Assistant Professor	Anthropology	College of Liberal Arts and Sciences

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2015**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Jorge Aguero	Assistant Professor	Economics	College of Liberal Arts and Sciences
Alfredo Angeles-Boza	Assistant Professor	Chemistry	College of Liberal Arts and Sciences
Robert Astur	Associate Professor	Psychology	College of Liberal Arts and Sciences
Matthew Badger	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Michele Baggio	Assistant Professor	Economics	College of Liberal Arts and Sciences
Haim Bar	Assistant Professor	Statistics	College of Liberal Arts and Sciences
Talia Bar	Assistant Professor	Economics	College of Liberal Arts and Sciences
Kroum Batchvarov	Assistant Professor	Anthropology	College of Liberal Arts and Sciences
Hannes Baumann	Assistant Professor	Marine Sciences	College of Liberal Arts and Sciences
Saraswathi Bellur-Thandaveshwara	Assistant Professor	Communication	College of Liberal Arts and Sciences
Fedor Bezrukov	Assistant Professor	Physics	College of Liberal Arts and Sciences
Ruth Braunstein	Assistant Professor	Sociology	College of Liberal Arts and Sciences
Alaina Brenick	Assistant Professor	Human Development and Family Studies	College of Liberal Arts and Sciences
Jeffrey Burke	Associate Professor	Psychology	College of Liberal Arts and Sciences
Meina Cai	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Kenneth Campellone	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences
Eduardo Canedo	Assistant Professor	History	College of Liberal Arts and Sciences
Andrea Celli	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts and Sciences
Alenda Chang	Assistant Professor	English	College of Liberal Arts and Sciences
Jason Chang	Assistant Professor	History	College of Liberal Arts and Sciences
Chi-Ming Chen	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Kun Chen	Assistant Professor	Statistics	College of Liberal Arts and Sciences
John Christensen	Assistant Professor	Communication	College of Liberal Arts and Sciences
Jeffrey Connors	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Marie Coppola	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Leighton Core	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences
Kimberly Cuevas	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Dev Dalal	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Tehran Davis	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Amanda Denes	Assistant Professor	Communication	College of Liberal Arts and Sciences
Elena Dormidontova	Associate Professor	Physics	College of Liberal Arts and Sciences
Damir Dzharov	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Lisa Eaton	Assistant Professor	Human Development and Family Studies	College of Liberal Arts and Sciences
Melanie Fewings	Assistant Professor	Marine Sciences	College of Liberal Arts and Sciences
Lendra Friesen	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts and Sciences

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2015**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Guojun Gan	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Adrian Garcia-Sierra	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts and Sciences
Debarchana Ghosh	Assistant Professor	Geography	College of Liberal Arts and Sciences
Julie Granger	Assistant Professor	Marine Sciences	College of Liberal Arts and Sciences
Linda Halgunseth	Assistant Professor	Human Development and Family Studies	College of Liberal Arts and Sciences
Jason Hancock	Assistant Professor	Physics	College of Liberal Arts and Sciences
Brian Hartman	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Gideon Hartman	Assistant Professor	Anthropology	College of Liberal Arts and Sciences
Thomas Hayes	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Jie He	Assistant Professor	Chemistry	College of Liberal Arts and Sciences
Veronica Herrera	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Daniel Hershenzon	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts and Sciences
Elizabeth Holzer	Assistant Professor	Sociology	College of Liberal Arts and Sciences
Michael Hren	Assistant Professor	Chemistry	College of Liberal Arts and Sciences
Lan-Hsuan Huang	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Ling Huang	Assistant Professor	Economics	College of Liberal Arts and Sciences
Joshua Hyman	Assistant Professor	Public Policy	College of Liberal Arts and Sciences
Yohei Igarashi	Assistant Professor	English	College of Liberal Arts and Sciences
Alexander Jackson	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts and Sciences
Rahul Kanadia	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts and Sciences
Prakash Kashwan	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Johanna Kaufmann	Assistant Professor	Linguistics	College of Liberal Arts and Sciences
Suzanne Killmister	Assistant Professor	Philosophy	College of Liberal Arts and Sciences
Jonathan Klassen	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences
Hassanally Ladha	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts and Sciences
Ariel Lambe	Assistant Professor	History	College of Liberal Arts and Sciences
Nicole Landi	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Frederick Lee	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Zhongyang Li	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Hallie Liberto	Assistant Professor	Philosophy	College of Liberal Arts and Sciences
Kelly Lombardo	Assistant Professor	Marine Sciences	College of Liberal Arts and Sciences
David Lund	Associate Professor	Marine Sciences	College of Liberal Arts and Sciences
John Malone	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences
Laura Mauldin	Assistant Professor	Human Development and Family Studies	College of Liberal Arts and Sciences
Eric May	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2015**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Priscilla McCutcheon	Assistant Professor	Geography	College of Liberal Arts and Sciences
Erin Melton	Assistant Professor	Public Policy	College of Liberal Arts and Sciences
Liansu Meng	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts and Sciences
Vincent Moscardelli	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Ovidiu Munteanu	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Emily Myers	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts and Sciences
Kanda Naknoi	Assistant Professor	Economics	College of Liberal Arts and Sciences
Benjamin Newman	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Anne Oeldorf-Hirsch	Assistant Professor	Communication	College of Liberal Arts and Sciences
William Ouimet	Assistant Professor	Geography	College of Liberal Arts and Sciences
Jeremy Pais	Assistant Professor	Sociology	College of Liberal Arts and Sciences
Gregory Pierrot	Assistant Professor	English	College of Liberal Arts and Sciences
Nishith Prakash	Assistant Professor	Economics	College of Liberal Arts and Sciences
Andrew Puckett	Assistant Professor	Physics	College of Liberal Arts and Sciences
Kerri Raissian	Assistant Professor	Public Policy	College of Liberal Arts and Sciences
Nairan Ramirez-Esparza	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Daisy Reyes	Assistant Professor	Sociology	College of Liberal Arts and Sciences
David Ripley	Assistant Professor	Philosophy	College of Liberal Arts and Sciences
Beth Russell	Assistant Professor	Human Development and Family Studies	College of Liberal Arts and Sciences
Ricardo Salazar-Rey	Assistant Professor	History	College of Liberal Arts and Sciences
Elizabeth Schifano	Assistant Professor	Statistics	College of Liberal Arts and Sciences
Daniel Schwartz	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts and Sciences
Marie Shanahan	Assistant Professor	Journalism	College of Liberal Arts and Sciences
Adam Sheya	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Bhakti Shringarpure	Assistant Professor	English	College of Liberal Arts and Sciences
Daniel Silvermint	Assistant Professor	Philosophy	College of Liberal Arts and Sciences
David Simon	Assistant Professor	Economics	College of Liberal Arts and Sciences
Erika Skoe	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts and Sciences
Rhiannon Smith	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Steven Smith	Associate Professor	Journalism	College of Liberal Arts and Sciences
Victoria Ford Smith	Assistant Professor	English	College of Liberal Arts and Sciences
Michael Stanton	Associate Professor	Journalism	College of Liberal Arts and Sciences
Scott Stephenson	Assistant Professor	Geography	College of Liberal Arts and Sciences
Ian Stevenson	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Ming Hon (Richard) Suen	Assistant Professor	Economics	College of Liberal Arts and Sciences

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2015**

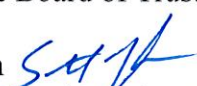
NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Jianjun Sun	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts and Sciences
Rachel Theodore	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts and Sciences
Morgan Tingley	Assistant Professor	Ecology and Evolutionary Biology	College of Liberal Arts and Sciences
Nu-Anh Tran	Assistant Professor	History	College of Liberal Arts and Sciences
Xiaojing Wang	Assistant Professor	Statistics	College of Liberal Arts and Sciences
Sarah Willen	Assistant Professor	Anthropology	College of Liberal Arts and Sciences
Liang Xiao	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Dimitris Xygalatas	Assistant Professor	Anthropology	College of Liberal Arts and Sciences
Chunsheng Yang	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts and Sciences
Eiling Yee	Assistant Professor	Psychology	College of Liberal Arts and Sciences
Yaowu Yuan	Assistant Professor	Ecology and Evolutionary Biology	College of Liberal Arts and Sciences
Victor Zatsepine	Assistant Professor	History	College of Liberal Arts and Sciences
Yuping Zhang	Assistant Professor	Statistics	College of Liberal Arts and Sciences
Jing Zhao	Assistant Professor	Chemistry	College of Liberal Arts and Sciences
Kai Zhao	Assistant Professor	Economics	College of Liberal Arts and Sciences
Kyle Baumbauer	Assistant Professor		School of Nursing
Michelle Judge	Assistant Professor		School of Nursing
Kelley Newlin Lew	Assistant Professor		School of Nursing
Ruth Lucas	Assistant Professor		School of Nursing
Juliette Shellman	Associate Professor		School of Nursing
Erin Young	Assistant Professor		School of Nursing
William Baker	Assistant Professor	Pharmacy Practice	School of Pharmacy
Marcy Balunas	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
M. Kyle Hadden	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Xiuling Lu	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Jayashri Sankaranarayanan	Associate Professor	Pharmacy Practice	School of Pharmacy
Diana Sobieraj	Assistant Professor	Pharmacy Practice	School of Pharmacy
Andrew Wiemer	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
S. Megan Berthold	Assistant Professor		School of Social Work
Ann Marie Garran	Assistant Professor		School of Social Work

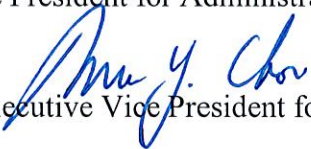
ATTACHMENT 7



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2016 Certificate Entry into Nursing Program Fee (Self-Supporting Program)

RECOMMENDATION:

That the Board of Trustees approve a revised Self-Supporting Program Fee for Fiscal Year 2016 of \$31,410 for the Certificate Entry into Nursing BS Degree (CEIN_BS) Program.

BACKGROUND:

The University has an established student fee review process that categorizes the fees as follows: (1) Institutional Fees, which require central administrative approval, such as Tuition, Room, Board, Infrastructure Maintenance Fee and Self-Supporting Program Fees; (2) Academic Materials Fees, which are for consumables and instructional materials that are specific to a particular course or major; and (3) Student Fees, which include the General University Fee and student activity and service fees. Senior Management charged permanent committees with promoting a fee structure for the academic and student fees that supports excellence, provides comprehensive procedures, and is uniform without sacrificing efficiency and flexibility.

This Self-Supporting Program Fee is proposed by the University leadership. See attached summary proposal.

	<u>FY15 Actual</u>	<u>FY16 Proposed</u>
CEIN-BS Program	\$28,560	\$31,410

University of Connecticut - Storrs and Regional Campuses
School of Nursing
Certificate Entry into Nursing BS Program Fee
Fiscal Year 2016

I. Proposed Action

- A. Program Overview:** The School of Nursing Certificate Entry into Nursing BS Degree (CEIN_BS (formerly MEIN)) Program is a self-supporting program for students who have a bachelor's degree in a field other than nursing. This calendar year program consists of 45 credits of pre-licensure education, which enables graduates of the program to complete the National Council Licensure Examination for Registered Nurses (NCLEX). Upon completion of the 12 month sequence, students earn a certificate in nursing and a Bachelor of Science degree. They may also apply for the MS in nursing or the DNP with a transfer of 6 credits from the first 12 months.
- B. Description of Fee:** The CEIN_BS Program has extensive delivery costs. The comprehensive program fee covers all related program costs including program administration, clinical supervision, classroom instruction and computer-based NCLEX-like testing.
- C. Description of Recommended Revision:** We are requesting approval to charge a CEIN_BS Program Fee of \$31,410 for the January 2016 cohort. This is a 10% increase over the rate approved for the January 2015 cohort. The proposed fee equates to \$698 per credit.
- D. Basis for Requested Fee:** There has been a significant increase in program costs. Most instructors for both the clinical and didactic nursing courses are adjuncts who are accomplished clinicians and teachers. This year, we increased our adjunct faculty rate to \$3,000/credit, to be more competitive with other schools of nursing who employ adjunct faculty. In addition, we hired 2 full-time Assistant Clinical Professors to support program expansion, which has grown from 102 in calendar year 2013 to 128 in 2015.
- E. Students Affected:** The maximum number of students this program can currently handle is 128 and all students would experience the same increase.
- F. Proposed Date of Implementation:** Beginning with the January 2016 cohort.

II. Analysis

- A. Fiscal Need for Fee Revision:** As the School of Nursing CEIN_BS Program operates as a self-funded comprehensive activity, revenues from student fees must be sufficient to cover program, facility, laboratory, and simulation equipment costs. To continue to thrive and grow, the expenses and quality must be balanced. The request is based on the cost of administering and delivering the program. In order to increase the stability of the program, the School has needed to hire two new full-time assistant clinical professors. This not only improves the faculty/student ratio, which is needed for accreditation, but also creates a consistent permanent faculty teaching role. In addition, a large portion of the CEIN_BS teaching is done by adjunct faculty. The state university and community college programs have increased their adjunct faculty hourly rates to greater than \$73.82/hour with additional preparation and grading hours built into each contract. UConn's rate increased in Spring 2015 to \$62.50/hour which means that while we have come closer to meeting the standard rate for clinical adjuncts, we may still be losing qualified faculty to other schools at the same time we have expanded enrollment. Faculty efforts to enhance student preparation and first-time success rates on NCLEX now include sophisticated, computer-based nursing knowledge

and application assessments throughout the program, and a week-long test preparation course. The per-student cost for this additional service is \$600.

B. Effect on Other Institutional or Unit Programs if Fee is Disapproved:

The University of Connecticut fills a critical need by championing, increasing and enhancing the State of Connecticut's nursing workforce to meet the needs of the citizens. We prepare more new nurses each year than any other Connecticut program. With the CEIN_BS Program we are able to double the number of new nurses from those who complete our traditional baccalaureate in nursing program. Not offering this program would result in fewer nurses entering the workforce and fewer applicants to UConn's Nursing graduate programs which produce the clinical nursing leaders that shape health care delivery.

C. Comparative Student Costs: Other programs in the State of Connecticut:

- Southern Connecticut State University's second baccalaureate program (Accelerated Career Entry or ACE Program) is 53 credits and, for 2014-2015, costs \$17,000 (in-state student) or approximately \$321 per credit (with additional \$1,025 fees). For out-of-state students, the costs are \$29,962 (approximately \$565 per credit with additional fees of \$1,025).
- Fairfield University's second baccalaureate program is a minimum of 60 credits over 15 months (four semesters) at \$47,000 for the program (\$783/credit hour for 2014-2015 with additional fees of \$1,660).
- Saint Joseph's second baccalaureate program (Accelerated Second Degree or ASD Program) is 53 credits over 15 months, and for 2014-2015, costs \$39,656 (\$748 per credit with additional fees of \$1,418).
- Yale University offers the Graduate Entry Prespecialty in Nursing (GEPN) a program for non-nursing college graduates, but their program requires the student to be at Yale for three full time academic years. The student earns a certificate in Nursing (eligible for NCLEX and licensure as an RN) and then earns a master's degree. The 2013-2014 cost for the spring and fall semesters is \$16,708 each and the summer session is \$13,285 for a first year total of \$46,701 for the pre-licensure portion (\$1,139 per credit). Additional fees vary but can range from \$1,655 to \$3,565 per semester.
- Quinnipiac University's second baccalaureate program (Accelerated BSN Program) is 61 credits over 12 months, and for 2013-2014, costs approximately \$45,000 (\$738 per credit plus additional fees).

These data indicate that the proposed fee is in alignment with, and in some cases far below, other programs in Connecticut and we will remain competitive.


D. Student Involvement in Fee Revision Proposal: Upcoming information session will inform potential applicants of the fee increase.


ATTACHMENT 8



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Richard F. Orr 
Vice President and General Counsel

RE: Financing the Acquisition of the Nathan Hale Inn

RECOMMENDATION:

That the BOT approve the two attached resolutions (Exhibits **C** and **D**) to authorize the financing of the acquisition of the Nathan Hale Inn ("NHI").

The authorization consists of two pieces: The first resolution will authorize the purchase of the NHI and add the purchase to the capital budget in the amount of \$8,500,000. This sum includes the purchase price and transaction costs.

The second resolution will authorize the University to effectively assume the existing financing on the NHI in the form of a note issued by Webster Bank. That note will fund \$5,500,000 of the purchase price. The remainder of the purchase price will be paid from bond proceeds borrowed to finance Residential Life Facilities. The Webster note has a prepayment penalty. Once that prepayment penalty expires at the end of 2016, the Webster note will be paid off. The refinancing of the note also will be from bond proceeds borrowed to finance Residential Life Facilities.

BACKGROUND:

During its meeting of December 10, 2014, the Board of Trustees authorized the University Administration to enter into a Purchase & Sale Agreement to acquire the NHI (Exhibit **A**). The Purchase & Sale Agreement was executed on March 30, 2015, at a purchase price of \$8,372,333. The Administration expects to close on this transaction by early July, 2015.

During its meeting on December 10, 2014 the Board of Trustees also amended the UCONN 2000 Residential Life Facilities project definition (Exhibit B). This amended definition gives the University the ability to purchase a residential life facility under the authority of UCONN 2000. At the time of the Purchase & Sale Agreement approval in December 2014, the Administration noted that it would seek Board approval for any necessary University expenditures to finance the transaction. The financing plan for this transaction has two components: (1) the purchase of the NHI, in an amount not to exceed \$8,500,000, would be accomplished by drawing down UCONN 2000 Residential Life Facilities funds, but only applying \$3,000,000 at the time of purchase; and (2) the University will assume a promissory note from the owner payable to Webster Bank in an amount not to exceed \$5,500,000.

The existing promissory note is secured by a mortgage. The Administration seeks authorization for the University to assume the debt associated with the note, as amended and restated, without a mortgage. In other words, the University will effectively be borrowing \$5,500,000 of the \$8,500,000 purchase price from Webster Bank. The balance of the purchase price will be paid from UCONN Residential Life Facilities funds. The Webster note has a substantial prepayment penalty if it is paid before December 2016. Once the prepayment penalty date has passed, the University will use the UCONN 2000 Residential Life Facilities funds to satisfy the Webster note. The net effect will be that the majority of the entire purchase price will be paid through UCONN 2000 Residential Life Facilities funds.

Acquisition of NHI through UCONN 2000 Funds (Resolution attached at Exhibit C)

The purpose of this request is to fund the acquisition of the NHI, in accordance with the previous approval of the execution of the Purchase and Sale Agreement.

The Administration seeks authorization from the Board of Trustees to utilize up to \$8,500,000 of UCONN 2000 Residential Life Facilities funds for the purchase price to acquire the NHI. As noted above, approximately \$3,000,000 will be applied to the purchase price now. The remaining \$5,500,000 will be used to refinance the Webster note.

The Webster Note (Resolution attached at Exhibit D)

This resolution is required by the State Treasurer.

The Administration recommends that the Board of Trustees approve an amended and restated promissory note entitled to the benefit, protection and security of the University of Connecticut 2000 Act, Sections 10a-109a to 10a-109y inclusive of the General Statutes of Connecticut, as amended (the "Act") in the maximum principal amount of \$5,500,000 plus any amounts for the costs of issuance (the "Note") made to the order of Webster Bank, National Association (the "Bank") to partially finance certain Residential Life Facilities, a project identified in Section 10a-109e of the Act and approved as a project of UCONN 2000 (the "Project"). The Project will include the purchase of the building and improvements known as the Nathan Hale Inn and

Conference Center, located on the University campus in Storrs, Connecticut from Campus Associates Limited Partnership.

The Note shall be dated and shall have a term not longer than two years from its dated date, shall carry such interest rate or rates payable at least semiannually as, the Treasurer of the State (the "Treasurer") in conjunction with the President or Executive Vice President for Administration and Chief Financial Officer of the University (the "Authorized Officers") shall determine is in the best interests of the State and University.

The principal, interest and other payment obligations of the University under the Note shall be a general obligation of the University and the full faith and credit of the University will be pledged to such payments.

Next Steps

These approvals will be the last approval sought for the acquisition of the NHI. With the adoption of these resolutions, the Administration will have the authority to close the purchase. In addition, the Administration continues to negotiate a management agreement with Interstate Hotels & Resorts, Inc. (see Exhibit A, pages 2 and 4), and will seek Board approval of that management agreement prior to closing.

Future Funding

At a later date, after acquisition, the University expects to spend UCONN 2000 Residential Life Facilities funding for necessary renovations to the NHI to enable it to remain in its current mixed use configuration.

At the time the Board approved entering into the Purchase & Sale Agreement, the estimated cost of necessary renovations was approximately \$648,000 (Exhibit A, p. 4). That estimate will be further refined during the due diligence period and through design.

A request for approval for that funding will follow the typical project budget process, and is not part of this pre-acquisition request.

Exhibits

- A. December 10, 2014 Board Memorandum in Support of Request to Approve the Acquisition of the Nathan Hale Inn
- B. December 10, 2014 Board Resolution Amending the Definition of Residential Life Facilities
- C. Resolution Related to the Assumption of the Webster Bank Promissory Note Supporting the Acquisition of the Nathan Hale Inn
- D. Resolution authorizing UCONN 2000 funds for Acquisition of the Nathan Hale Inn

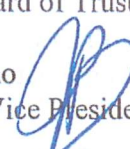
EXHIBIT A


**December 10, 2014 BOT Memorandum in Support of Request to Approve the Acquisition
of the Nathan Hale Inn**



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano 
Interim Executive Vice President for Administration and Chief Financial Officer

Richard F. Orr, Esq. 
General Counsel

RE: Acquisition of Nathan Hale Inn

RECOMMENDATION:

That the Board of Trustees approves the Administration to enter into a Purchase & Sale Agreement to acquire the Nathan Hale Inn.

OVERVIEW:

The University of Connecticut ("UConn") currently owns the land under the Nathan Hale Inn ("NHI"), but not the building or other improvements.

In late September 2014, the owners of the NHI received an offer from a private hotel company to purchase the NHI for \$8,372,333. Included in the lease of the land for the construction of the NHI, UConn has a Right of First Refusal ("ROFR") to purchase the NHI for the same price and on the same material terms as a private buyer. On November 24, 2014, UConn exercised that ROFR and began negotiating a Purchase & Sale Agreement ("P&S") with the seller.

The intent of the purchase is to acquire 98 dorm rooms (to accommodate approximately 280 students); however, in the short term UConn will need to manage a mixed-use hotel of 48 rooms and 50 dorm rooms.

UConn's acquisition will be a cost-effective way of meeting some of UConn's short- and long-term student housing needs. UConn will retain the existing hotel manager to minimize the financial and other risks associated with operating a hotel during the transition period. The intent is to convert the NHI into a full UConn dormitory.

The purchase of the NHI allows UConn to control the use of the property in the future without needing to negotiate with a private owner.

Exercising the ROFR did not irrevocably commit UConn to purchasing the NHI. As explained more fully below, exercising the ROFR was a prerequisite to negotiating a P&S with the seller. Entering into the P&S only occurs if the Board accepts this recommendation. UConn will not actually acquire the property pursuant to the P&S until all contingencies have been addressed to UConn's satisfaction.

At the time this memorandum was prepared for the Board's December 10, 2014 meeting, negotiations were still in progress. If needed, additional or updated information will be provided in advance of, or at, that meeting.

BACKGROUND:

Existing Contractual Relationship and the ROFR

Two primary agreements govern the relationship between UConn and Campus Associates Limited Partnership d/b/a/ Nathan Hale Inn and Conference Center (the "Seller"). The first is a Ground Lease that terminates in 2050. Under the Ground Lease, UConn has a ROFR when presented with a bona fide purchase offer to buy the NHI. Separately, the Ground Lease provides that UConn is prevented from developing or "promoting" development of a hotel on or within 15 miles of campus. That restriction continues to 2050.

Article 34 of the Ground Lease grants UConn a ROFR, which must be exercised no later than 60 days after the receipt of a "Sale Notice" from the Seller. The Sale Notice was received by UConn on Monday, September 27, 2014. It included a P&S with FM Storrs Hospitality, Inc. (the "Private Buyer") containing a purchase price of \$8,372,333. UConn's 60 days to exercise the ROFR expired on Friday, November 28, 2014 (the day after Thanksgiving), so UConn exercised the ROFR on Monday November 24, 2014 by sending the Seller a required "Acceptance Notice."

Under the Ground Lease, UConn must agree that it will "purchase the Leasehold Estate on the terms and conditions set forth in the Offer." Since the Sale Notice included an executed P&S with the Private Buyer, UConn's Acceptance Notice noted that UConn's P&S would have to reflect UConn's status as a State agency, while retaining the price and fundamental terms of the Private Buyer's transaction.

The second agreement is a Joint Use Agreement that governs, among other items, booking of function rooms for UConn events held at the NHI. This agreement also terminates in 2050.

Current Operational Framework

The Seller is a partnership of individual investors. The NHI is operated, for the Seller, by a hotel management company, Interstate Hotels & Resorts, Inc. ("Interstate"). UConn – with the

permission of the Seller – has been negotiating an agreement with Interstate to ensure the terms on which Interstate will remain as manager in the short term, until such time as the building is used exclusively as a dormitory. At this time, the Administration does not see any obstacles to concluding an acceptable management agreement.

UConn's Student Housing Need

UConn guarantees on-campus housing to newly admitted freshmen, returning undergraduate residents who meet all deadlines, undergraduates returning from an off-campus program, and students changing campuses. To assist UConn in meeting these housing needs, the Board of Trustees, last year, approved UConn to enter into a Room Reservation Agreement (“RRA”) with the Seller under which UConn has reserved 50 rooms in the NHI for the current (2014-2015) academic year with an option for two additional years. Each hotel room houses three students or a resident advisor and a roommate.

UConn has exercised its option for 2015-2016 and expects to do so for 2016-2017; the cost for the 2015-2016 academic year will be \$1,085,250.

UConn currently has no agreement to use the NHI for students after the spring of 2017.

The need for additional student housing is described fully in the material prepared by Dr. Michael Gilbert, Vice President for Student Affairs, attached as Exhibit A. As set forth there, the need to continue to rely on the NHI to house students continues well beyond the 2016-2017 expiration of the RRA.

Demand for on-campus housing has surpassed the designed capacity (11,116 beds) of UConn's residential facilities in each of the past 13 years. The Department of Residential Life has accommodated this strong demand by converting some single and double rooms to house additional students. These efforts have created approximately 900 additional spaces, and increased the total of available on-campus beds for assignment to 12,000. As demand has grown in the past five years, Residential Life has also converted spaces originally designed as floor lounge and study spaces to accommodate an additional 400 – 700 students annually, as reflected in the 12,711 on campus residents in August 2014. Accordingly, as the chart appearing in Exhibit A describes, existing student housing is currently well over 100% of designed capacity.

Further detail on occupancy percentages, projected enrollment growth, and the suitability of the NHI for undergraduate student housing is found in Exhibit A. As shown there, the need for these beds for student housing will continue into the foreseeable future.

Operational Considerations

If UConn were to acquire the NHI, the expectation is that UConn would operate it as it is now: a mixed use hotel and dormitory. UConn will be able to adjust the relative proportion as between dorm rooms and hotel rooms to meet UConn's needs without requiring consent of a private

owner. Absent the acquisition, there is no assurance of being able to use the NHI for student housing after spring 2017 or the cost to do so.

A critical aspect of this model is management of the hotel. As explained above, at the time this memorandum was prepared, UConn was in negotiations with the incumbent management company, Interstate, to continue as the management company.

The Administration recognizes that an independent management company must have owner oversight. UConn will identify a senior administrator to be accountable for performance by the management company and for the operation of the hotel.

Financial Viability

Determining the financial viability of acquisition and operation is a multivariate complex task. There are two aspects to this analysis: acquisition costs and operational costs.

Acquisition Cost

Under the ROFR, UConn cannot renegotiate the purchase price established between the Seller and the Private Buyer. That price is \$8,372,333. Based on the information available, there is no indication that the Private Buyer's offer is other than an arms-length transaction. The purchase price and deal structure appear to have been negotiated between a willing buyer and willing seller.

Although the ROFR requires UConn to purchase the property at the same price and on same material terms as the Private Buyer, UConn obtained an appraisal of the value of the leasehold interest with the assumption that the RRA is in place for 10 years. That value is \$8.7 million.

Fletcher Thompson performed a building conditions assessment. The total potential cost for replacement of all identified short- and long-term items was \$3.3 million. The estimated cost of items need at time of turnover-- such as code compliance improvements, deferred maintenance, and furniture and fixtures -- is \$648,000.

Accordingly, in addition to the purchase price of \$8,372,333, there are immediate costs of approximately \$648,000, for a total acquisition cost of approximately \$9.1 million. This cost is very favorable when compared to the cost of new construction. A rough estimate of the cost of dormitory new construction is approximately \$100,000 per bed. This estimate is based on UConn's recent experience in the market including the new STEM dormitory. The NHI has a capacity of approximately 280 beds, so the cost of new construction of equivalent capacity would be roughly \$28,000,000.

Operational Cost

A detailed five-year pro forma income statement for UConn's ownership is presented in Exhibit B. That five-year financial projection indicates both a positive operating profit and positive cash flow.

The NHI has operated at a loss for Calendar Year ("CY") 12 and 13 and is budgeted to lose \$204,000 in CY 14. Upon UConn's acquisition in CY 15, this situation improves to profitability in CY 15 and beyond. There are several reasons for this improvement.

- The full impact of the RRA occurs in CY 15 because that is the first year where there are two semesters of dormitory revenue. That continues in subsequent years.
- Almost \$300,000 in fees paid by the Seller to the general partner and for legal fees do not continue.
- Approximately \$34,000 per year in ground rent paid to UConn does not continue.
- After the existing debt is extinguished in December 2016, the annual interest expense of \$418,000 is no longer required.

The result is a projected profit of \$293,000 in CY 2015, increasing in the out years.

Transactional Issues

UConn would purchase from the Seller the leasehold interest and the building, including its furnishings and equipment, from the Seller.

As noted above, although UConn will negotiate its own P&S, UConn must accept the price and fundamental terms in the P&S between the Private Buyer and the Seller. As presented in that P&S, the transaction contemplates either: the assumption of the existing leasehold mortgage; or prepayment of an existing leasehold mortgage with a prepayment penalty that is currently \$688,000.

UConn has been exploring an alternative financing structure with the existing mortgage holder, Webster Bank, and the Office of the State Treasurer. At the time this memorandum was prepared, the negotiations with Webster and discussions with the Treasurer's office were ongoing but the Administration does not see insurmountable hurdles to being able to finance the entire transaction through Webster or the State, or some combination, on financially reasonable terms. Webster has provided preliminary approval to release the mortgage lien on the NHI. This was a critical issue to the assumption of the existing promissory note by UConn.

More detailed information will be provided before or at the December 10, 2014 Board meeting. The Administration is working to define the precise nature of the financial structure by that meeting to secure BOT approval. In the event the financing is not finalized by December 10, then, at a later time when the financial structure has been finalized, the Administration will seek Board approval for any necessary UConn expenditures to finance the transaction.

Moreover, as noted above, the P&S that the Board is being asked to approve will not irrevocably commit UConn to purchase. UConn can walk away in its discretion if satisfactory financing cannot be obtained or for other reasons.

Other Hotels in Storrs

Under the current Ground Lease, UConn cannot promote a competing hotel on campus or within 15 miles, nor can UConn build a hotel of its own until 2050. If UConn acquires the NHI, those restrictions would be extinguished and UConn could choose to build its own hotel, promote a new hotel through some collaboration with a private party or simply sit back and see what the market produces.

Those possibilities have not been fully explored, nor will they be within the time available. Acquiring the NHI will give UConn control over that facility for use as a hotel or dorm in such proportion that meets UConn's needs.

EXHIBIT A
Housing Needs Analysis

NEED FOR ADDITIONAL ON-CAMPUS HOUSING

A. On-Campus Housing Capacity/Occupancy

The University of Connecticut guarantees on-campus housing to newly admitted freshmen, returning undergraduate residents who meet all deadlines, undergraduates returning from an off-campus program, and campus change students.

Demand for on-campus housing has surpassed the designed capacity (11,116 beds) of the University's residential facilities in each of the past 13 years. The Department of Residential Life has accommodated this strong demand by converting some single and double rooms to house additional students. These efforts have created approximately 900 additional spaces, and increased the total of available on-campus beds for assignment to 12,000. As demand has grown in the past five years, Residential Life has also converted spaces originally designed as floor lounge and study spaces to accommodate an additional 400 – 700 students annually, as reflected in the 12,711 on campus residents in August 2014.

The chart below documents total on-campus housing assignments and occupancy percentages, against system design capacity (11,116), and the modified capacity (12,000) at the start of each academic year since 2010.

Year	August Demand	Percentage Housed to Modified Capacity 12,000	Percentage Housed to Design Capacity 11,116
2014-2015	12,711	106%	114%
2013-2014	12,668	106%	114%
2012-2013	12,469	104%	112%
2011-2012	12,716	106%	114%
2010-2011	12,546	105%	113%

B. Projected Enrollment Growth

In January 2014, the University embarked on a master planning process to define a 20-year vision for the campus and further the goals of Next Generation Connecticut, which includes growing undergraduate student enrollment on the Storrs campus. UConn's Master Plan anticipates incremental enrollment growth in the range of 1,000 – 5,000 students, over the next 10+ years.

Two new residence halls are currently in the planning and design phases for the Storrs campus. The first residence hall will be a new Science, Technology, Engineering and Math (STEM) Living Learning Community and will have approximately 725 beds. The current target completion date for the project is

fall semester 2016. The second facility, an Honors Residence Hall, will provide housing for first-year Honors students and have approximately 650 beds. It is expected that UConn's undergraduate student enrollment will increase incrementally, in 2016 and 2017, and apace with on and off-campus housing growth.

If enrollment growth precedes or surpasses University targets, the current 149 Nathan Hale Inn beds will continue to be critical in meeting student demand. If enrollment growth lags, Residential Life will adjust policy to accommodate more upper-division transfer students and more graduate students – neither of which is currently guaranteed housing - to ensure strong occupancy.

C. Nathan Hale Inn's (NHI) Suitability For Undergraduate Student Housing

The NHI is located in a distinctly residential neighborhood with close proximity to several dining halls, Storrs Center and the academic core of campus. Students assigned to the NHI have expressed satisfaction with their experience. The residential floor design supports social interaction and community development, and students enjoy special amenities including more private bathrooms, a pool and fitness center. One measure of their satisfaction with the NHI is 41 the 48 students assigned to that facility in 2013 – 2014 continue to live on campus, with 20 returning to the NHI this year.

If the University acquires the NHI the residential floors will not require significant structural changes to accommodate students. The public areas on the first floor of the facility will provide ample study, recreation, and social space. In addition, the potential 200+ NHI bed spaces will lessen the total count of new beds that may be required if Next Generation Connecticut enrollment goals are actualized.

EXHIBIT B

December 10, 2014 BOT Resolution Amending the Definition of Residential Life Facilities



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

RE: Residential Life Facilities Project Definition

RECOMMENDATION:

That the Board of Trustees approve the attached resolution clarifying that the project entitled, Residential Life Facilities, may include a facility or facilities suited to residential life purposes, which facility or facilities may be newly constructed or purchased and renovated to accomplish said purpose, and hereby finds and determines that such project definition and scope is consistent with the intent and purpose of the original project.

BACKGROUND:

As a result of several factors, including the success of the UCONN 2000 Infrastructure Improvement Program and subsequent enrollment growth, the University has experienced an increased demand for additional student housing units. In order to address this immediate need for residential life facilities, several planning options were discussed including the possible purchase of an existing building suited for a residential life facility and the possible use of UCONN 2000 Phase III funding.

Accordingly, we request that the Board approve the resolution clarifying that the Residential Life Facilities project may include a facility or facilities suited to residential life purposes, which facility or facilities may be newly constructed or purchased and renovated to accomplish said purpose and that the Board find and determine that such project definition and scope is consistent with the intent and purpose of the original project.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

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RESOLUTION REGARDING
THE RESIDENTIAL LIFE FACILITIES PROJECT SCOPE

WHEREAS, Section 10a-109d(a)(10) of the UConn 2000 Act (the "Act") provides, in pertinent part, that the University may borrow money and issue securities to finance projects as long as such project or projects are included in subsection a of Section 10a-109e of the Act; and

WHEREAS, Section 10a-109e(d) of the Act provides, in pertinent part, that wherever appropriate or necessary, the University may revise, delete and add a particular project or projects subject to certain conditions described in such section; and

WHEREAS, the Board of Trustees wants to clarify the scope of the project listed as Residential Life Facilities and included in Section 10a-109e(a).

NOW THEREFORE, BE IT RESOLVED, that

1. The project entitled Residential Life Facilities may include a facility or facilities suited to residential life purposes, which facility or facilities may be newly constructed, renovations to existing dormitories or purchased and renovated to accomplish said purpose.
2. The Board of Trustees hereby finds and determines that such project definition and scope is consistent with the intent and purpose of the original project and that the clarification of such scope is the result of a change in University planning.

EXHIBIT C

Resolution Authorizing UCONN 2000 funds for the Acquisition of the Nathan Hale Inn

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY AS FOLLOWS:

Section 1. That funds from the Residential Life Facilities line item under the University of Connecticut 2000 Act, Sections 10a-109a to 10a-109y inclusive of the General Statutes of Connecticut, as amended (the "Act"), in an amount not to exceed eight million, five hundred thousand dollars (\$8,500,000.00), shall be used to purchase the Nathan Hale Inn and Conference Center.

EXHIBIT D

Resolution Related to the Assumption of the Webster Bank Promissory Note Supporting the Acquisition of the Nathan Hale Inn

WHEREAS, The University of Connecticut 2000 Act, Sections 10a-109a to 10a-109y inclusive of the General Statutes of Connecticut, as amended (the "Act"), declares the purpose of the Act is to promote the welfare and prosperity of the people of the State and the continuation and improvement of their educational opportunities by approving a special capital improvement program for the University of Connecticut (the "University") and enabling the University to borrow money and enter into financing transactions in its own name, on behalf of the State, to expand the authority of the University to construct projects and to assure State support for the financing of the acquisition, construction, reconstruction, improvement and equipping of facilities, structures and related systems for the benefit of the educational and economic development needs of the State and the University, all to the public benefit and good, and the exercise of the powers, to the extent and in the manner provided in the Act, which the Act declared to be for a public purpose and to be the exercise of an essential governmental function; and

WHEREAS, the University is empowered by Section 10a-109d of the Act pursuant to a resolution adopted by a majority of its Board of Trustees to borrow money and issue securities to finance the acquisition, construction, reconstruction, improvement or equipping of any one project, or more than one, or any combination of projects and to provide for the security and payment of those securities; and

WHEREAS, the term "securities" is defined in the Act to include bonds, notes and other evidences of indebtedness and such securities issued by the University shall be general obligations of the University payable out of any revenues or other funds or moneys of the University; and

WHEREAS, Section 10a-109f of the Act provides that the Board of Trustees of the University shall submit to the Governor each resolution for the issuance of securities approved pursuant to Section 10a-109f of the Act. The Governor may, not later than thirty days after such submission, disapprove such resolution by notifying the Board of Trustees in writing of his disapproval and the reasons for it. If the Governor does not act within such thirty-day period, the resolution is deemed approved; and

WHEREAS, the project identified in Section 10a-109e(a) of the Act as Residential Life Facilities may include a facility or facilities suited to residential life purposes, which facility or facilities may be newly constructed, renovations to existing dormitories or purchased and renovated to accomplish said purpose; and

WHEREAS, the University desires to purchase the Nathan Hale Inn and Conference Center (the "Inn") and to partially finance such purchase, by assuming the existing indebtedness of the seller of the Inn to Webster Bank, National Association (the "Bank"); and

WHEREAS, a portion of the Inn currently houses students of the University and it is the intent of the University to convert the remaining portion of the Inn to serve as a dormitory for students of the University.

NOW THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY AS FOLLOWS:

Section 1. An amended and restated promissory note entitled to the benefit, protection and security of the Act in the maximum principal amount of \$5,500,000 plus any amounts for the costs of issuance made to the order of the Bank (the "Note") is hereby authorized to partially finance certain Residential Life Facilities, a project identified in Section 10a-109e of the Act and approved as a project of UConn 2000 (the "Project"). The Project will include the purchase of the buildings and improvements known as the Nathan Hale Inn and Conference Center, located on the University campus in Storrs, Connecticut from Campus Associates Limited Partnership.

Section 2. The principal, interest and other payment obligations of the University under the Note shall be a general obligation of the University and the full faith and credit of the University is hereby pledged to such payments. The Note shall be payable out of any revenues or other assets, receipts, funds or moneys of the University available therefor and may be additionally secured by a pledge of revenues to be derived from the operation of the Project, subject only to any agreements with the holders of any other securities pledging any particular assets, revenues, receipts, funds or moneys.

Section 3. The Note shall be dated and shall have a term not longer than two years from its dated date, shall carry such interest rate or rates payable at least semiannually as, the Treasurer of the State (the "Treasurer") in conjunction with the President or Executive Vice President for Administration and Chief Financial Officer of the University (the "Authorized Officers") shall determine is in the best interests of the State and University.

Section 4. The determination of the principal amount of the Note, Note term, principal and interest payment dates and amounts, interest rate, terms, form and other details of the Note is hereby delegated to be determined by certificates of the Authorized Officers and the Treasurer in accordance with the Act, such principal amount, Note term, principal and interest payment dates and amounts, interest rate, terms, form and other details to be conclusively evidenced by the execution and delivery of the Note.

Section 5. The terms, time and manner of the sale of the Note including whether such sale is by competitive bid, negotiation or private placement, and any other conditions of the issuance and sale of the Note shall be determined by the Treasurer pursuant to a certificate of determination which shall be filed with the University and the Secretary of the State Bond Commission on or before the date of delivery of the Note or any participations or interests therein setting forth the details and particulars of the Note determined by her in accordance herewith. The Treasurer is further authorized, pursuant to the Act and pursuant to certain provisions of the General Statutes of the State of Connecticut, as amended, the authority to enter

into agreements in consultation with an Authorized Officer with respect to the issuance and sale of the Note, or any participations or any interests therein, including financial advisory agreements, purchase agreements and investment agreements.

Section 6. The Note shall be executed and attested to by the manual signatures of the Authorized Officers and authenticated if necessary, in accordance with the provisions of the Act and the Connecticut General Statutes.

Section 7. The Treasurer and the Authorized Officers of the University and each of them, are hereby authorized to perform all acts which are necessary or appropriate in connection with the execution and delivery of the Note, or any participations or interests therein, provided that the proceeds from the Note are used for the Project.

Section 8. The Treasurer and the Authorized Officers, and each of them, hereby is authorized to provide for the security and payment of the Note and for the rights of the holders of the Note, or any participations or interests therein, to secure such obligations, pledge security and execute such security agreements, reimbursement agreements, instruments, opinions, certificates, affidavits and other documents and to do or cause to be done any and all other acts and things necessary, advisable or proper for carrying out this resolution, the terms, obligations and/or security of the Note and the implementation of the Project. The interest payable on the Note will be includable in the gross income of the owners thereof and it is therefore hereby determined that the Note and the Project that will be financed with the Note are in the public interest.

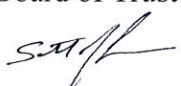
Section 9. An Authorized Officer shall cause this resolution of the Board of Trustees approving the Note to be submitted to the Governor together with a summary report of the estimated total completion costs of the Project for his approval in accordance with Section 10a-109f.

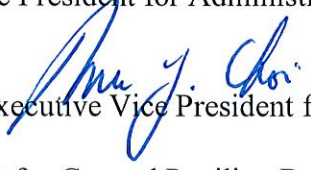
ATTACHMENT 9



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Gampel Pavilion Dome Ceiling and Roof Repair
(Revised Planning: \$10,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$10,000,000 for the planning, design and construction services for the Gampel Pavilion Dome Ceiling & Roof Repair.

BACKGROUND:

The Gampel Pavilion was constructed in 1990. The construction of the roof dome was fairly innovative for its time, and included a triangular network of metal clad panels with a fabric-wrapped insulated cover on the interior. As the building has aged, and most likely due to temperature variations and high-density light exposure, the fabric wrap on the interior of the panels has started to degrade, tear and flake. The silver insulation lining is then exposed to view, and is becoming more prevalent (particularly on the lower third of the dome). In addition, the sealant on the exterior of the dome has likewise started to degrade and water leaks have become more common.

A study of the building was conducted by an independent engineering company to evaluate the panels and propose corrective measures for both the interior and exterior of the panels. The recommendation for the interior is to remove the fabric and insulation, recover the panel, and replace it on a piece by piece basis. The recommendation for the exterior of the building is to install a new code-compliant tie-off and access system on the roof, then reseal the entire exterior.

In order to extend the life of the facility for another 10 to 15 years, the project will also investigate some of the other high priority aging conditions of the building, which includes specifically: addressing non-code compliant electric panels under the seating area, upgrading the satellite hook-up system, and repair or replacement of the retractable sideline seating.

The Revised Planning Budget is based on a Planning phase construction cost placeholder prepared by the consultant. Design will proceed shortly and it is anticipated that construction will begin after the basketball season in spring 2016.

The Revised Planning Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: GAMPEL PAVILION DOME CEILING AND ROOF REPAIR

	APPROVED PLANNING 9/6/2014	PROPOSED REVISED PLANNING 4/29/2015
<u>BUDGETED EXPENDITURES</u>	<u>SARCC</u>	
CONSTRUCTION	\$ -	\$ 7,500,000
DESIGN SERVICES	90,000	755,000
TELECOMMUNICATIONS	-	300,000
FURNITURE, FIXTURES AND EQUIPMENT	-	5,000
CONSTRUCTION ADMINISTRATION	-	25,000
OTHER AE SERVICES (including Project Management)	-	375,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	20,000
INSURANCE AND LEGAL	-	10,000
MISCELLANEOUS	-	10,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 90,000	\$ 9,000,000
PROJECT CONTINGENCY	10,000	1,000,000
TOTAL BUDGETED EXPENDITURES	\$ 100,000	\$ 10,000,000
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 PHASE III - DM	\$ 100,000	\$ 10,000,000
TOTAL BUDGETED FUNDING	\$ 100,000	\$ 10,000,000

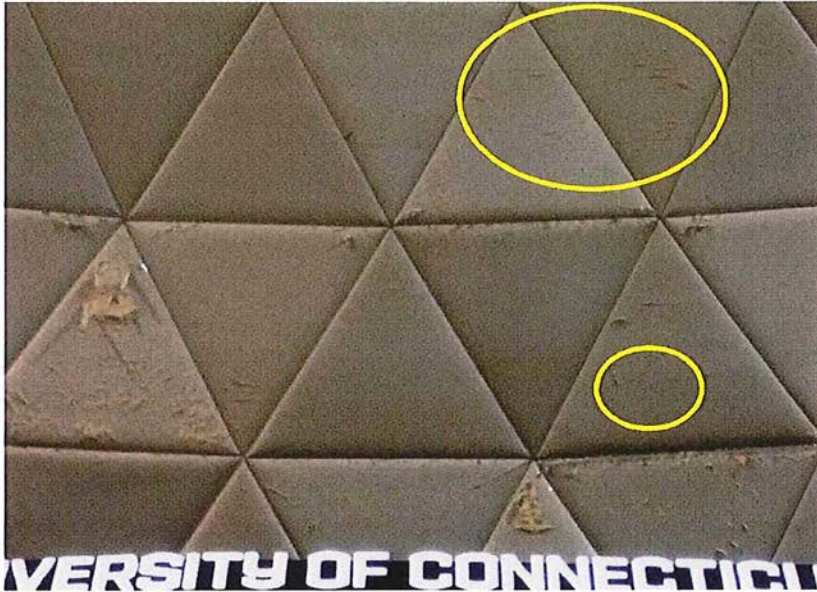
BOT 4.29.15

901888

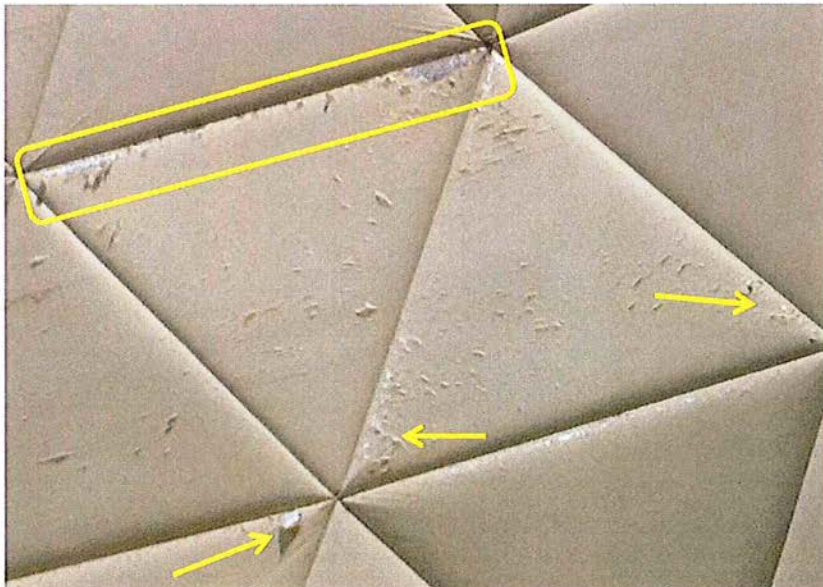
GAMPEL PAVILION DOME CEILING & ROOF REPAIR

Project Budget (REVISED PLANNING)

04/29/15



Same area of ceiling as Photo 6. Photo taken on 2 December 2014. Circled areas indicate potential new areas of peeling.



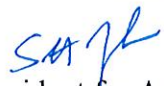
White film peeling most prevalent at panel edges.


ATTACHMENT 10



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Engineering Building – Engineering & Science Building
(Design: \$92,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$92,500,000 for a new Engineering & Science Building and approve the construction of additional enabling work including provision for site access and utility relocations necessary to allow the building construction proper.

BACKGROUND:

The proposed new Engineering & Science Building will be a state-of-the-art laboratory for research in Bio-Nano Engineering, Cyber-Physical Systems Engineering, Chemical Engineering and other sciences that will catalyze research advances in convergence technologies. Planning for this new structure has evolved over time in response to the significant shortage of high-quality laboratory space for trans-disciplinary research.

The planning and design of the building is integrated with the planning for the Next Generation Connecticut initiative. The building size was increased to provide space to accommodate some of the anticipated STEM faculty growth and house the Institute for Systems Genomics.

The building will comply with the High Performance Building Code and is targeting LEED Silver.

Demolition of the Old Central Warehouse and natural gas distribution lines and meter relocations are complete, and the design of the new building is complete and will be bid in April/May 2015.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

Bidding is complete and within estimates for the second phase of the enabling work including construction of a site access road, retaining wall, and the relocation of utilities in preparation for construction of the building proper. The purpose of this resolution is to obtain approval to allow this enabling work (approximately \$1.5 million) to be undertaken in advance of the development of the Guaranteed Maximum Price (GMP) for the entire project. There is no change to the attached project budget.

The Design Budget is attached for your consideration and approval.

Attachment

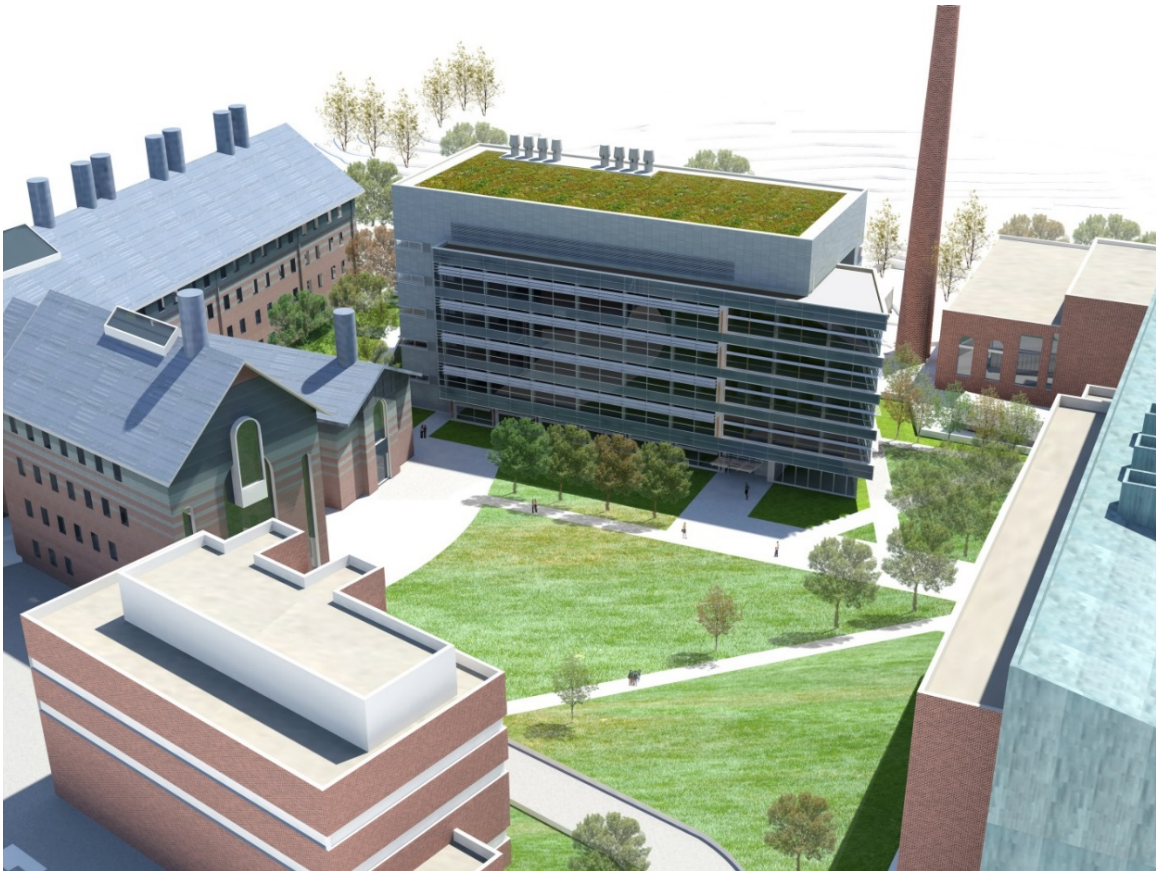
CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: ENGINEERING BUILDING - ENGINEERING & SCIENCE BUILDING

	APPROVED PLANNING 6/24/2008	APPROVED REVISED PLANNING 2/18/2010	APPROVED REVISED PLANNING 9/21/2010	APPROVED REVISED PLANNING 8/3/2011	APPROVED REVISED PLANNING 9/25/2013	APPROVED REVISED PLANNING 3/26/2014	PROPOSED DESIGN 4/29/2015
<u>BUDGETED EXPENDITURES</u>							
CONSTRUCTION	\$ -	\$ -	\$ -	\$ 45,750,000	\$ 68,100,000	\$ 68,100,000	\$ 68,100,000
DESIGN SERVICES	760,000	1,300,000	1,300,000	4,458,147	7,150,000	7,150,000	7,150,000
TELECOMMUNICATIONS	-	-	-	1,025,000	1,500,000	1,100,000	1,100,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	1,100,000	1,610,000	1,610,000
CONSTRUCTION ADMINISTRATION	10,000	10,000	10,000	-	1,812,000	1,812,000	1,812,000
OTHER AE SERVICES (including Project Management)	50,000	50,000	50,000	1,683,750	2,544,205	2,675,000	2,675,000
ART	-	-	-	450,000	681,000	681,000	681,000
RELOCATION	-	-	-	300,000	-	50,000	50,000
ENVIRONMENTAL	45,000	45,000	45,000	45,000	75,000	110,000	110,000
INSURANCE AND LEGAL	5,000	15,000	15,000	35,000	55,000	50,000	50,000
MISCELLANEOUS	-	350,000	350,000	253,103	150,000	50,000	50,000
OTHER SOFT COSTS	30,000	30,000	30,000	-	85,195	-	-
SUBTOTAL	\$ 900,000	\$ 1,800,000	\$ 1,800,000	\$ 54,000,000	\$ 83,252,400	\$ 83,388,000	\$ 83,388,000
PROJECT CONTINGENCY	100,000	200,000	200,000	6,500,000	9,247,600	9,112,000	9,112,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 60,500,000</u>	<u>\$ 92,500,000</u>	<u>\$ 92,500,000</u>	<u>\$ 92,500,000</u>
<u>SOURCE(S) OF FUNDING</u>							
UConn 2000 PHASE III	<u>\$ 1,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 60,500,000</u>	<u>\$ 92,500,000</u>	<u>\$ 92,500,000</u>	<u>\$ 92,500,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 1,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 60,500,000</u>	<u>\$ 92,500,000</u>	<u>\$ 92,500,000</u>	<u>\$ 92,500,000</u>

ENGINEERING BUILDING –
ENGINEERING AND SCIENCE BUILDING
Project Budget (DESIGN)
04/29/15





ATTACHMENT 11



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Fine Arts Phase II – Renovation and Improvements
(Design: \$23,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$23,500,000 for the Fine Arts Phase II Renovation and Improvements, to include an addition to the existing School of Fine Arts for a new Production Facility and replacement of existing building glazing systems.

BACKGROUND:

UCONN 2000 and NextGenCT provided funding for the upgrade and renovation of the School of Fine Arts buildings on the main campus. A detailed review of both the program needs and existing conditions was completed and resulted in a Master Plan for the School of Fine Arts. The plan defines a series of individual projects to support the School and to improve the gateway to the campus at Bolton and Storrs Roads, facing the new Storrs Center. Phase I of the gateway project was approved by the Board of Trustees and completed in 2014.

The current scope of this Fine Arts project includes a 30,000 square foot addition to the existing Drama/Music/Nafe Katter Theater to serve as a centralized shops and theater production facility. The addition will also provide a critical linkage between Fine Arts buildings that currently do not exist, accessible access to the second floor of the Drama/Music Building and a new entry lobby on the north side of the complex. The project also includes removal and replacement of the exterior glazing systems on the Storrs Road and Bolton Road sides of the building. Lastly, the project includes some site improvements and the creation of a plaza on the north side of the addition.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

The new Production Facility will conform to Connecticut High Performance Building regulations and will be registered as a LEED project, with a target goal of LEED Silver. The cost estimating to date has been conducted by an independent third party estimator. The project is currently in the Design Development phase, with bidding anticipated in fall 2015. Construction will begin in winter 2016 and be complete in spring 2017. The project is anticipated to be constructed under a Project Labor Agreement.

The Design Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: FINE ARTS PHASE II - RENOVATION AND IMPROVEMENTS

	APPROVED PLANNING 8/3/2011	PROPOSED DESIGN 4/29/2015
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 16,000,000	\$ 17,225,000
DESIGN SERVICES	1,400,000	1,930,000
TELECOMMUNICATIONS	100,000	40,000
FURNITURE, FIXTURES AND EQUIPMENT	-	125,000
CONSTRUCTION ADMINISTRATION	-	600,000
OTHER AE SERVICES (including Project Management)	458,500	802,000
ART	-	160,000
RELOCATION	200,000	40,000
ENVIRONMENTAL	50,000	360,000
INSURANCE AND LEGAL	25,000	10,000
MISCELLANEOUS	-	33,000
OTHER SOFT COSTS*	1,206,500	-
SUBTOTAL	\$ 19,440,000	\$ 21,325,000
PROJECT CONTINGENCY	2,160,000	2,175,000
TOTAL BUDGETED EXPENDITURES	\$ 21,600,000	\$ 23,500,000
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 PHASE III	\$ 21,600,000	\$ 23,500,000
TOTAL BUDGETED FUNDING	\$ 21,600,000	\$ 23,500,000


*Does not include Furniture, Fixtures and Equipment.

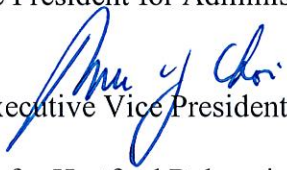
ATTACHMENT 12



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Hartford Relocation Acquisition/Renovation
(Design: \$115,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$115,000,000 to release bidding of the new main building, provide design and construction services for other projects related to the neighborhood campus development, and for the relocation and development of the UConn Hartford Campus in downtown Hartford.

BACKGROUND:

A strategically important University initiative is to relocate and consolidate the various Hartford based academic programs into a central, downtown Hartford location. The activities to be relocated are currently at the West Hartford campus or, in the case of the School of Business, in a leased facility in downtown Hartford.

The University completed a site selection process during the Planning Phase and entered into a development agreement on June 3, 2014 with H.B. Nitkin to undertake design and construction of a new main building for the campus. The site is the former location of the Hartford Times Building located in the Adriaen's Landing District in the Southeast quadrant of the City. As part of the agreement, the University will preserve and restore the historical front of the Hartford Times Building on Prospect Street. The new main building is five stories above grade, encompasses approximately 182,000 square feet and will satisfy approximately 70% of the programmatic need (with the balance being located in various other buildings in the neighborhood). The building will comply with the High Performance Building Code and is targeting LEED Silver.

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Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
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Per the terms of the development agreement, the developer must complete the construction of the project by July 2017. The purpose of this resolution is to obtain approval to allow the developer to proceed with releasing certain enabling packages (approximately \$6.5 million) including demolition, site excavation and some shop drawings preparation, prior to finalizing the Guaranteed Maximum Price (GMP) for the entire project. The developer will be bidding the main building project in summer 2015 and is currently scheduled to submit the GMP in October 2015. The construction of the project will be done under a Project Labor Agreement.

The Design Budget is based on a construction cost estimate prepared by the CM and reconciled to an estimate prepared by an independent professional cost estimator.

The Design Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: HARTFORD RELOCATION ACQUISITION/RENOVATION

	APPROVED PLANNING 8/7/2013	APPROVED REVISED PLANNING 4/23/2014	PROPOSED DESIGN 4/29/2015
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ -	\$ 100,000	\$ 81,420,000
DESIGN SERVICES	100,000	7,800,000	11,151,000
TELECOMMUNICATIONS	-	-	2,452,500
FURNITURE, FIXTURES AND EQUIPMENT	-	-	5,403,000
CONSTRUCTION ADMINISTRATION	200,000	300,000	276,000
OTHER AE SERVICES (including Project Management)	350,000	500,000	1,947,000
ART	-	-	825,000
RELOCATION	-	-	509,000
ENVIRONMENTAL	50,000	50,000	422,000
INSURANCE AND LEGAL	125,000	200,000	845,000
MISCELLANEOUS	75,000	100,000	107,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 900,000	\$ 9,050,000	\$ 105,357,500
PROJECT CONTINGENCY	100,000	950,000	9,642,500
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,000,000</u>	<u>\$ 10,000,000</u>	<u>\$ 115,000,000</u>
<u>SOURCE(S) OF FUNDING</u>			
UConn 2000 PHASE III	\$ 1,000,000	\$ 10,000,000	\$ 115,000,000
TOTAL BUDGETED FUNDING	<u>\$ 1,000,000</u>	<u>\$ 10,000,000</u>	<u>\$ 115,000,000</u>

HARTFORD RELOCATION ACQUISITION/RENOVATION

Project Budget (DESIGN)

04/29/15



Courtyard Looking West



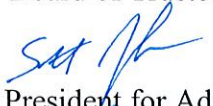
Interior Atrium and Corridor

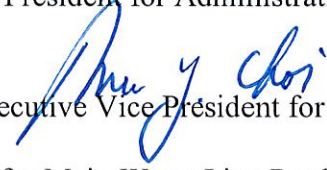
ATTACHMENT 13



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Main Water Line Replacement Phase II
(Design: \$6,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$6,000,000 for the Main Water Line Replacement Phase II.

BACKGROUND:

The University produces, treats and distributes water for the Storrs campus and associated users. The primary source of water is from a well field located adjacent to the Willimantic River, at the Spring Manor Farm. Water is treated and transmitted to the Storrs campus through a single, four mile long, 16 inch diameter cast iron pipe which was installed during the 1970s. As a result of multiple recent pipe failures the University commissioned a study to investigate the cause of failures and predict the vulnerability of this mission critical resource and to develop solutions to ensure that the transmission line would be reliable. The study concluded that the pipe failures were due to corrosive soil conditions along almost the entire route of the pipe and that, unless corrected, the line would continue to fail.

The study recommended replacing the entire line with a new 16 inch ductile iron pipe, properly protected to withstand the corrosive environment. The University commissioned a design firm to prepare project plans and specifications to replace the pipe under a phased construction approach.

The first phase of this project comprises the replacement of approximately 13,000 linear feet of pipe from the Willimantic well field to Hunting Lodge Road. The Phase I final budget was approved by the Board of Trustees in June 2014 and the project is currently in construction and approximately 50% complete.

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Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

Phase II of the project encompasses the following elements:

1. Replacement of the existing 16 inch diameter cast iron water line with a new 16 inch diameter water line connecting the line replaced under phase I with the University's 5.4 million gallon underground water storage reservoir.
2. Replacement of the existing 20 inch diameter cast iron water line connecting the 5.4 million gallon underground water storage reservoir with the two 1 million gallon elevated water towers with a new 20 inch diameter ductile iron pipe.
3. Construction of a new 16 inch diameter water line from the elevated water towers to provide for a future connection to the Shenipsit Reservoir in Tolland which is currently in design and will be constructed by Connecticut Water.
4. Construction of a new 8 inch water spur from the phase water line to the Bone Mill elevated water tank at the Depot campus.

Final construction documents are complete and the Design Budget is based on a design phase construction cost estimate prepared by the engineer. Contractor bidding is in process and construction is anticipated to commence in May 2015 and will be complete in summer 2016.

The Design Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

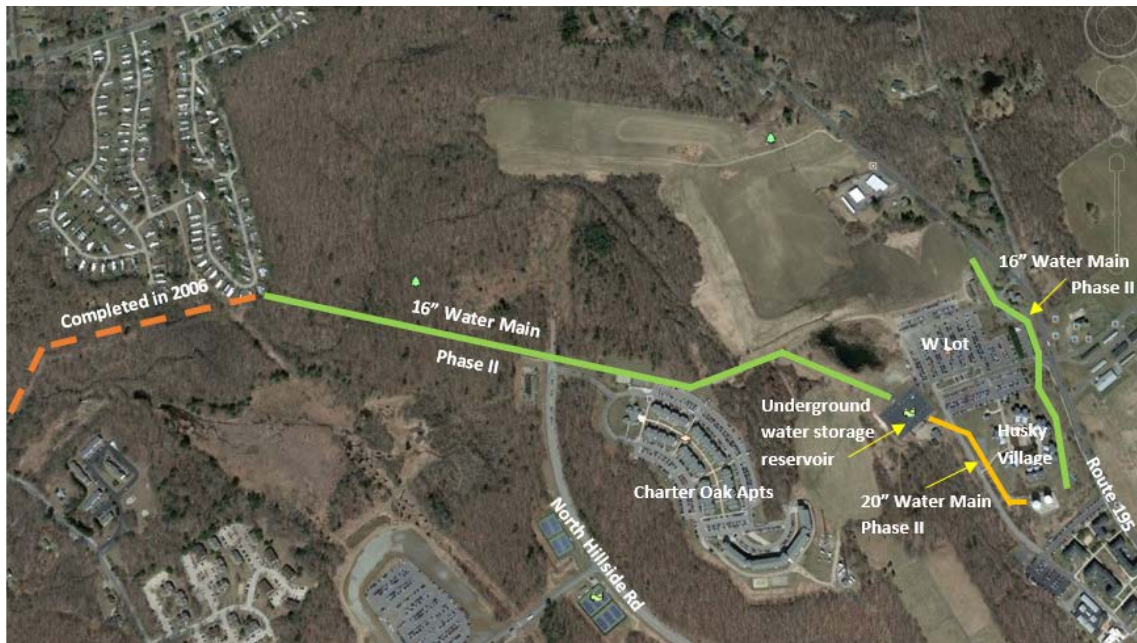
PROJECT NAME: MAIN WATER LINE REPLACEMENT PHASE II

	APPROVED PLANNING 5/20/2014	PROPOSED DESIGN 4/29/2015
<u>BUDGETED EXPENDITURES</u>	<u>SARCC</u>	
CONSTRUCTION	\$ -	\$ 4,000,000
DESIGN SERVICES	375,000	330,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	300,000
OTHER AE SERVICES (including Project Management)	-	330,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	53,000
INSURANCE AND LEGAL	-	5,000
MISCELLANEOUS	-	82,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 375,000	\$ 5,100,000
PROJECT CONTINGENCY	50,000	900,000
TOTAL BUDGETED EXPENDITURES	\$ 425,000	\$ 6,000,000
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 PHASE III - DM	\$ 425,000	\$ 6,000,000
TOTAL BUDGETED FUNDING	\$ 425,000	\$ 6,000,000

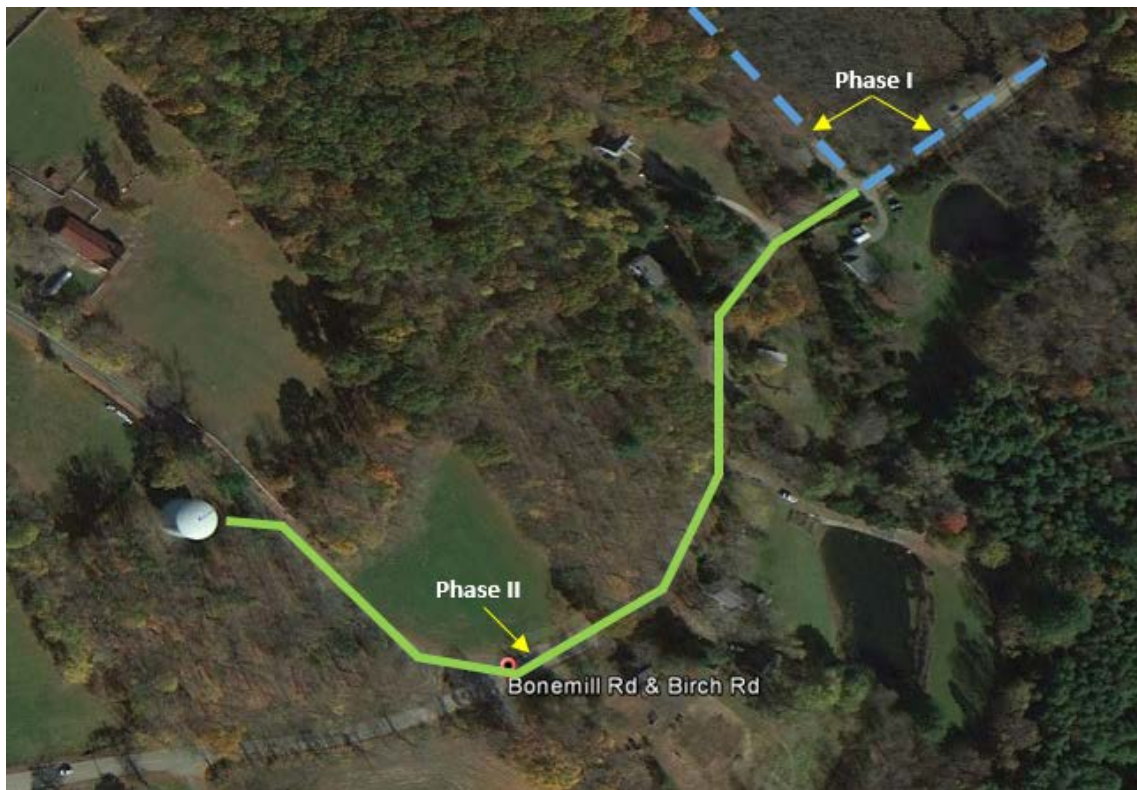
MAIN WATER LINE REPLACEMENT PH II

Project Budget (DESIGN)

04/29/15



Main Water Supply Line and Route 195 Interconnect – Phase II




Bone Mill Spur – Phase II


ATTACHMENT 14



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – Honors Residence Hall
(Design: \$10,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$10,000,000 for design and bidding of a new Honors Residence Hall and related utility infrastructure and landscaping improvements on the Storrs campus.

BACKGROUND:

The new Honors Residence Hall building will be situated on the South Campus and will provide housing for entering honors students, a new home for the honors administration, and a new dining hall. The facility will be approximately 220,000 gross square feet and will include predominately double bedrooms, hall director and graduate assistant apartments, study rooms and lounges, seminar rooms and education programming space, honors program offices, and a dining hall. The new building will have between 625-650 beds with a 600-700 seat dining hall, and will utilize a Design-Bid-Build delivery method (revised from a Design-Build delivery method). In addition to the new building, the project will also provide utility infrastructure upgrades in the area, landscaping and pedestrian path improvements, and close a portion of the existing Gilbert Road.

The project will conform to Connecticut High Performance Building regulations and will be registered as a LEED project, with a target goal of LEED Silver.

The Honors Residence Hall is currently in the Design Phase, anticipated to be complete and construction documents bid in fall 2015, to coincide with the anticipated record of decision for the regional Environmental Impact Evaluation (EIE) and completion of the full assessment of the regional utility infrastructure. Construction is anticipated to begin in early spring 2016, and to be complete by, or before, July 2018 (revised from July 2017). It is anticipated that the project will be constructed under a Project Labor Agreement.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

The Design Budget is based on Design Phase construction cost estimates completed to date by an independent third party cost estimator. The anticipated total project budget will be in the range of \$110,000,000.

The Design Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: RESIDENTIAL LIFE FACILITIES - HONORS RESIDENCE HALL

	APPROVED PLANNING 11/13/2013	APPROVED REVISED PLANNING 8/6/2014	APPROVED REVISED PLANNING 9/24/2014	PROPOSED DESIGN 4/29/2015
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ 50,000	\$ 100,000	\$ 100,000	\$ -
DESIGN SERVICES	250,000	1,500,000	2,925,000	6,400,000
TELECOMMUNICATIONS	20,000	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-
OTHER AE SERVICES (including Project Management)	215,000	60,000	60,000	1,150,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	50,000	25,000	25,000	150,000
INSURANCE AND LEGAL	55,000	55,000	55,000	150,000
MISCELLANEOUS	10,000	10,000	10,000	1,150,000
OTHER SOFT COSTS	-	-	-	-
SUBTOTAL	\$ 650,000	\$ 1,750,000	\$ 3,175,000	\$ 9,000,000
PROJECT CONTINGENCY	100,000	250,000	325,000	1,000,000
TOTAL BUDGETED EXPENDITURES	\$ 750,000	\$ 2,000,000	\$ 3,500,000	\$ 10,000,000
<u>SOURCE(S) OF FUNDING</u>				
UCONN 2000 PHASE III	\$ 750,000	\$ 2,000,000	\$ 3,500,000	\$ 10,000,000
TOTAL BUDGETED FUNDING	\$ 750,000	\$ 2,000,000	\$ 3,500,000	\$ 10,000,000

Residential Life Facilities –
Honors Residence Hall Project Budget (Design)
4/29/15

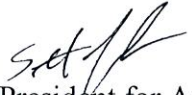


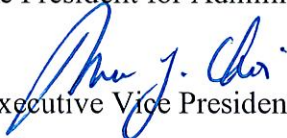
ATTACHMENT 15



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Waterbury Downtown Campus – Rectory Renovations
(Design: \$2,550,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$2,550,000 for planning, design and construction services related to a new coffeehouse, Fixtures, Furniture and Equipment (FF&E) and technology infrastructure at the Waterbury Rectory Building.

BACKGROUND:

The Waterbury Rectory Building is a facility that is owned by the City of Waterbury and is immediately across the street from the entrance to the UConn Waterbury campus. The City is financing a full renovation of this four-story building, and includes space for a University classroom and a function room. The improvements are scheduled to be complete by fall 2015. The Board previously approved the leasing of the entire building by UConn Waterbury. The University has investigated and quantified the components and requirements to fully occupy the balance of the building after completion of construction, which includes the need for new FF&E, technology and audio-visual systems.

The first floor of the building is designated as retail and UConn Dining Services has proposed to construct a coffeehouse in this location. Schematic design and coordination of the coffeehouse is complete, but the completion of the design and construction of the space is pending the execution of a License Agreement with the franchisor.

The Design Budget is based on construction costs provided by the vendors.

The Design Budget is attached for your consideration and approval.

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Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: WATERBURY DOWNTOWN CAMPUS - RECTORY RENOVATIONS

	APPROVED PLANNING 4/9/2014	APPROVED REVISED PLANNING 8/6/2014	PROPOSED DESIGN 4/29/2015
<u>BUDGETED EXPENDITURES</u>			
	SARCC		
CONSTRUCTION	\$ -	\$ 598,000	\$ 650,000
DESIGN SERVICES	100,000	100,000	97,000
TELECOMMUNICATIONS	25,000	453,000	348,000
FURNITURE, FIXTURES AND EQUIPMENT	25,000	992,000	982,000
CONSTRUCTION ADMINISTRATION	5,000	15,000	15,000
OTHER AE SERVICES (including Project Management)	15,000	92,500	192,500
ART	-	-	-
RELOCATION	-	10,000	10,000
ENVIRONMENTAL	5,000	5,000	3,000
INSURANCE AND LEGAL	2,500	35,000	35,000
MISCELLANEOUS	2,500	17,500	17,500
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 180,000	\$ 2,318,000	\$ 2,350,000
PROJECT CONTINGENCY	20,000	232,000	200,000
TOTAL BUDGETED EXPENDITURES	\$ 200,000	\$ 2,550,000	\$ 2,550,000
<u>SOURCE(S) OF FUNDING</u>			
DEPARTMENTAL FUNDS	\$ 200,000	1,150,000	1,150,000
UConn 2000 PHASE III	-	1,400,000	1,400,000
TOTAL BUDGETED FUNDING	\$ 200,000	\$ 2,550,000	\$ 2,550,000

BOT 4.29.15

901830

WATERBURY DOWNTOWN CAMPUS- RECTORY RENOVATIONS

Project Budget (DESIGN)

04/29/15

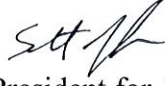



ATTACHMENT 16



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Avery Point Renovation – Utility Relocation and Buildings
21/23 Demolition (Final: \$7,300,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$7,300,000 for Utility Relocation and Demolition of Buildings 21 and 23 at Avery Point.

BACKGROUND:

Many of the Avery Point utilities run through Buildings 21 and 23, which are located at the center of the campus, requiring that the vacant buildings be heated and supplied with services year-round. Even with measures taken to minimize the services, the cost to the University is approximately \$650,000 each year for heat and electricity. The systems in the building are also 30 years old and antiquated, so there is an additional \$350,000 per year to maintain the empty buildings. The University therefore expends approximately \$1.0 million per year to continue to operate the buildings, which will be avoided when this project is complete.

The project includes relocating and upgrading the campus electrical, telecommunications, chilled water and steam services, which will make these utilities more efficient and allow the 460,000 square feet of buildings 21 and 23 to be demolished.

The utility relocation and demolition documents were completed and publicly bid, and the project is ready to be released.

The University requests a waiver of the three stage budget approval process in order to allow construction to proceed.

This Final Budget is attached for your consideration and approval.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: AVERY POINT RENOVATION - UTILITY RELOCATION AND BUILDINGS 21 & 23
DEMOLITION**

	APPROVED PLANNING 3/26/2014	PROPOSED FINAL 4/29/2015
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 7,100,000	\$ 4,920,000
DESIGN SERVICES	700,000	550,000
TELECOMMUNICATIONS	350,000	385,000
FURNITURE, FIXTURES AND EQUIPMENT	-	7,500
CONSTRUCTION ADMINISTRATION	350,000	150,000
OTHER AE SERVICES (including Project Management)	175,000	357,500
ART	-	-
RELOCATION	50,000	50,000
ENVIRONMENTAL	250,000	175,000
INSURANCE AND LEGAL	50,000	5,000
MISCELLANEOUS	50,000	40,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 9,075,000	\$ 6,640,000
PROJECT CONTINGENCY	925,000	660,000
TOTAL BUDGETED EXPENDITURES	\$ 10,000,000	\$ 7,300,000
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 PHASE III	\$ 10,000,000	\$ 7,300,000
TOTAL BUDGETED FUNDING	\$ 10,000,000	\$ 7,300,000

BOT 4.29.15


901824


ATTACHMENT 17



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Sewer Line Replacement at Storrs Road Pump Station
(Final: \$5,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$5,000,000 for the planning, design and construction of a sewer line replacement at the Storrs Road Pump Station.

BACKGROUND:

The University operates a sewage treatment plant that treats sanitary waste from the University and a large part of the surrounding area, including the new Downtown Development. There is an extensive network of sanitary sewers connecting the plant to the numerous buildings throughout the area. The system consists of increasing size pipe connecting the individual buildings to the plant. Located on Storrs Road is one of the largest and most important service areas, which collects and moves waste from the entire southern and eastern parts of the campus and the entire new Downtown Development area and moves it to the sewage treatment plant.

The primary components of this system are a large sewer main running the length of RT. 195 and two pump stations (Gurleyville Road and Horsebarn Hill) that move the waste from the low point of the system to a gravity sewer. The force main that runs from the pump stations to the sewage treatment plant will be replaced as part of the North Eagleville Road infrastructure project, which was approved by the Board in September 2012. Altogether, this area processes over 35% of the daily sewer flow and will increase as Next Generation UCONN projects are completed within this sewer service area.

The University commissioned a firm to investigate the system from the standpoint of capacity and reliability. The firm concluded that repairs were needed to the system to ensure continued functionality to support current and future flows and the design is now complete.

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352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
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The Final Budget is based on a construction cost estimate prepared by the consultant. After bids have been reviewed and evaluated for conformance with the project scope and budget, the University intends to commence construction in May of 2015 and complete in Summer 2016.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

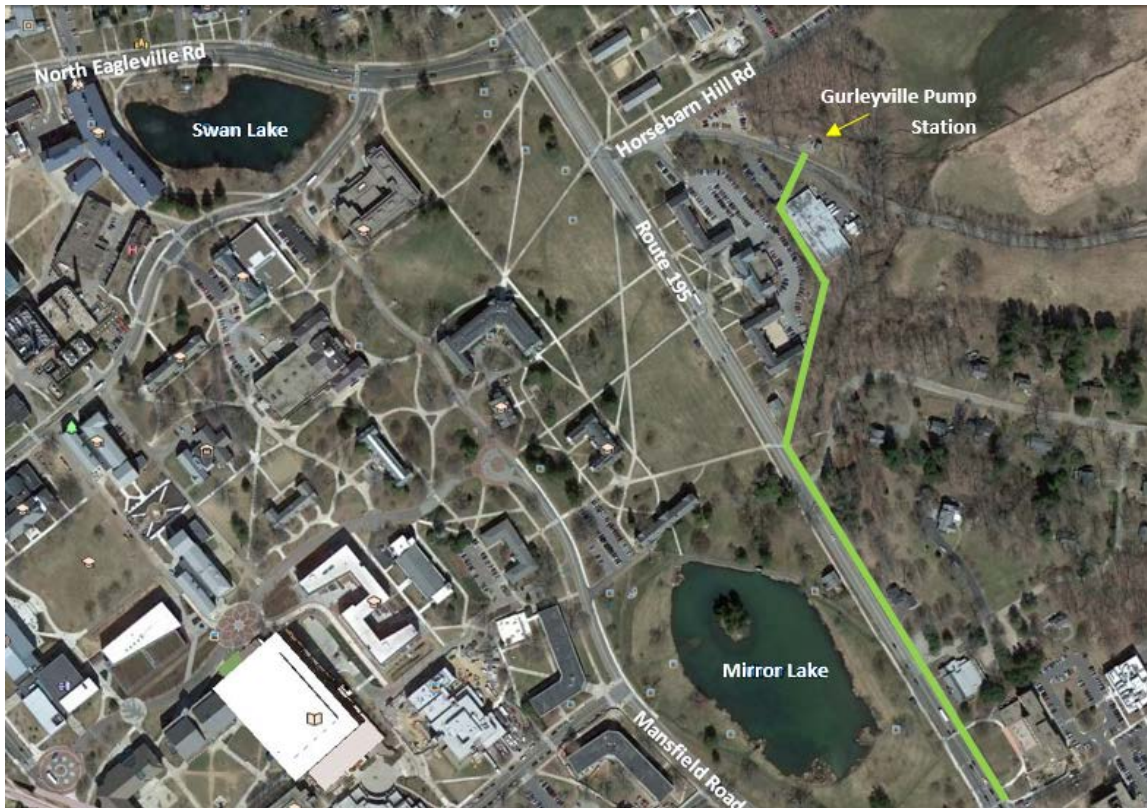
PROJECT NAME: SEWER REPLACEMENT @ STORRS ROAD PUMP STATION

	APPROVED PLANNING 8/7/2013	APPROVED DESIGN 4/23/2014	PROPOSED FINAL 4/29/2015
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ 2,195,000	\$ 2,195,000	\$ 3,537,000
DESIGN SERVICES	350,000	350,000	650,000
TELECOMMUNICATIONS	5,000	15,000	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	115,000	105,000	150,000
OTHER AE SERVICES (including Project Management)	75,000	75,000	200,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	60,000	60,000	10,000
INSURANCE AND LEGAL	15,000	15,000	15,000
MISCELLANEOUS	35,000	35,000	38,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 2,850,000	\$ 2,850,000	\$ 4,600,000
PROJECT CONTINGENCY	300,000	300,000	400,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,150,000</u>	<u>\$ 3,150,000</u>	<u>\$ 5,000,000</u>
<u>SOURCE(S) OF FUNDING</u>			
UConn 2000 PHASE III - DM	<u>\$ 3,150,000</u>	<u>\$ 3,150,000</u>	<u>\$ 5,000,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 3,150,000</u>	<u>\$ 3,150,000</u>	<u>\$ 5,000,000</u>

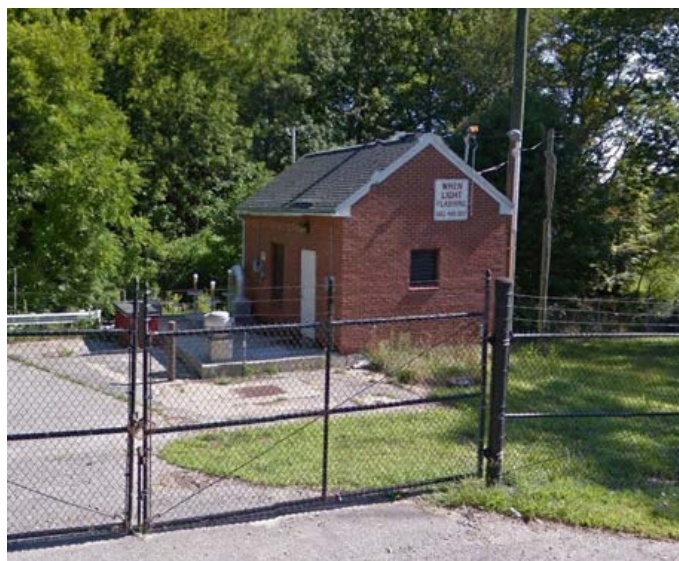
SEWER LINE REPLACEMENT AT STORRS ROAD PUMP STATION

Project Budget (FINAL)

04/29/15



Overview of Project Site




Gurleyville Road Pump Station Exterior


ATTACHMENT 18



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCFM Code Remediation – Campus Wide Laundry Alterations
(Final: \$1,800,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$1,800,000 for the remediation of code discrepancies for the Campus Wide Laundry Alterations project.

BACKGROUND:

In accordance with the CT General Statutes 29-252a, and the directive from the Office of the State Building Inspector to the University Fire Marshal and Building Inspector Office (UCFM), site inspections of laundry room installations in 29 buildings of residential complexes on the Storrs Campus were conducted by UCFM. The residential complexes include Alumni Residence Halls, Brien McMahon, East Campus Residence Halls, CT Commons, Hilltop Residence Halls, John Buckley, Mansfield Apartments, North Campus Residence Halls, Northwood Apartments, Towers, and West Campus Residence Halls. As a result of the inspections, the UCFM cited Building Code violations including non-compliant exhaust, make-up air systems, and non-compliant electrical conditions.

The University retained an architectural firm to assess the conditions and develop solutions for corrective action. The anticipated total project cost is estimated to be \$1,800,000. The Final Budget is based on a conceptual cost estimate prepared by the project architect, based on review and analysis of the discrepancy report and visits to the buildings in question.

The University requests a waiver of the three stage budget approval process in order to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.

The Final Budget is attached for your consideration and approval.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCFM CODE REMEDIATION - CAMPUS WIDE LAUNDRY ALTERATIONS

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 4/29/2015
CONSTRUCTION	\$ 1,200,000
DESIGN SERVICES	175,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	130,000
OTHER AE SERVICES (including Project Management)	75,000
ART	-
RELOCATION	-
ENVIRONMENTAL	20,000
INSURANCE AND LEGAL	10,000
MISCELLANEOUS	10,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 1,620,000
PROJECT CONTINGENCY	180,000
TOTAL BUDGETED EXPENDITURES	\$ 1,800,000
<u>SOURCE(S) OF FUNDING</u>	
UCONN 2000 PHASE III - DM	\$ 1,800,000
TOTAL BUDGETED FUNDING	\$ 1,800,000

ATTACHMENT 19



TO: Members of the Board of Trustees

FROM: Josh Newton, President & CEO, UConn Foundation

DATE: April 29, 2015

RE: UConn Foundation Fundraising Report

As of March 31, 2015, fiscal year 2015 new gifts and commitments totaled \$53,462,298 million (74 percent to goal), toward the FY '15 goal for the year (7/1/14 – 6/30/15) of \$72 million. This represents a 50.6% increase over last year's progress (\$35.5M) through 3/31/15. Total major gift donors (\$25,000 - \$500,000+) through the end of the 3rd quarter FY '15 are at 217, up from 163 donors this time last year. Annual giving donors (\$1 - \$24,999) are at 19,146, down from 20,105 this time last year. We are expecting a donor increase this quarter with two very large events coming up; 1) the UConn Health's White Coat Gala and 2) Jim Calhoun Bike and Walk for Cancer.

There have been 70 gifts at \$100,000 and above, compared to 51 gifts at this level through this time last year. Support for endowment comprises \$10.7 million vs. \$13.1 million last year, while support for current operations totals \$42.6 million vs. \$22.4 million last year. Our cash total, which includes pledge payments and any gifts-in-kind is \$37,856,662 as of 3/31/15, up from \$28,237,190 on 3/31/14.

Our pipeline for the remainder of FY '15 looks solid with 139 proposals rated at a "high" likelihood to close between now and 6/30/15. There are currently 9 proposals at \$500K and above that are scheduled to be closed by June 30, 2015, with a potential gift value of \$9.3M. There are another 130 proposals rated at a "high" likelihood to close by 6/30/15 with a potential gift value of \$10.7 M. With \$18.5 million left to be raised to reach our goal for FY '15, we feel we are right on track to reach our goal by the end of the fiscal year.

Finally, as of 4/1/2015 the UConn Foundation has begun to integrate alumni relations into its work. There is much excitement about aligning these two important programs that advance the University, alumni relations and fundraising, under one efficient business organization. There is still much to be accomplished and we are already beginning to receive very positive feedback on the impact this change had on our program for the Women's Final Four in Tampa Florida this month.

Summary of Commitments by Level
Through 3/31/2015

Printed 04-13-2015

Giving Range	FY 2013		FY 2014		FYTD 2014 through 3/31		FYTD 2015 through 3/31		FYTD 2015 vs. FYTD 2014
	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars
\$500,000 +	\$33,588,569	18	\$48,641,758	31	\$14,960,991	11	\$28,226,237	16	88.7%
\$250,000 - \$499,999	\$4,597,145	14	\$5,409,211	15	\$3,778,295	10	\$4,225,999	13	11.8%
\$100,000 - \$249,999	\$5,752,038	43	\$6,781,235	47	\$4,225,330	30	\$6,452,516	41	52.7%
\$25,000 - \$99,999	\$6,584,372	149	\$7,455,879	177	\$4,822,242	112	\$6,355,344	147	31.8%
\$10,000 - \$24,999	\$3,622,131	262	\$3,679,624	279	\$2,159,014	161	\$2,893,058	206	34.0%
\$1,000 - \$9,999	\$5,934,012	2,440	\$5,860,727	2,387	\$3,540,001	1,502	\$3,286,795	1,387	-7.2%
\$1 - \$999	\$3,480,486	30,480	\$3,050,785	23,666	\$2,224,431	18,442	\$2,021,916	17,553	-9.1%
Totals	\$63,558,753	33,406	\$80,879,219	26,602	\$35,710,303	20,268	\$53,461,865	19,363	49.7%

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
New Hires Processed from March 9, 2015 - April 16, 2015
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
ALLEN, JOHN S.	UC PROFESSIONAL 07 - INFO SYS ANALYST II	FACILITIES MANAGEMENT	3/6/2015
AYERS, ALAN D.	U STAFF PROF I	TECH PARK	4/3/2015
BACCARO, NICOLE V.	UC PROFESSIONAL 01 - FINANCIAL ASST I	ACCOUNTS PAYABLE	3/20/2015
BEIERLE, JOSEPH	UC PROFESSIONAL 07 - FACILITIES PROF II	FACILITIES MANAGEMENT	3/6/2015
CARR, PATRICK L.	U ASST DIRECTOR	LIBRARY RESEARCH SERVICES	3/20/2015
CHEN, HAOYU	U POST DOC FEL 1	MECHANICAL ENGR	3/9/2015
CORBIN, KELLY F.	UC PROFESSIONAL 07 - FISCAL MANAGER	SPONSORED PROGRAMS	3/6/2015
DESALVO, JULIE A.	UC PROFESSIONAL 03 - PROG ASSISTANT I	CHIP	4/3/2015
DIAZ SAN SEGUNDO, FAYNA C	RESEARCH ASSOCIATE 3	ALLIED HEALTH SCIENCES	3/1/2015
GRIMM, MATTHEW G.	UC PROFESSIONAL 07 - INFO SYS ANALYST II	ENTERPRISE ADMIN SYSTEMS	3/6/2015
HUNT, JORDAN M.	UC PROFESSIONAL 04 - ATHLETIC EQUIP MGR	ATHLETICS EQUIPMENT CTR	3/19/2015
KASTELLORIZIOS, MICHAIL	U POST DOC FEL 1	PHARMACY PRACTICE	3/13/2015
KEILTY, ANDREA	U STAFF PROF IV - DIRECTOR	GOVERNMENTAL RELATIONS	3/6/2015
KENNY, EILEEN L.	UC PROFESSIONAL 07 - SHS NURSE/COORD	STUDENT HEALTH SERVICES	3/6/2015
KULIG, NICOLE	U STAFF PROF III	BURSARS OFC	3/24/2015
LUM, GREGORY S.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	STUDENT ACTIVITIES	3/16/2015
RAJOTTE, ANNE M.	UC PROFESSIONAL 07 - U LIBRARIAN II	LAW SCH LIBRARY	3/20/2015
RIBERA, MARK M. JR	UC PROFESSIONAL 07 - FACILITIES PROF II	FIRE MARSHAL OFFICE	4/7/2015
SEZEN, UGUR U.	U POST DOC FEL 1	ECOLOGY & EVOL BIOLOGY	4/1/2015
SHEHAN, CATHERINE V.	RESEARCH ASSISTANT 2	CHIP	3/2/2015
SI, DEBJANI	U POST DOC FEL 1	PHARMACEUTICAL SCI	3/6/2015
SICILIANO, MARIA L.	UC PROFESSIONAL 05 - FINANCIAL ASST II	BIOMEDICAL ENGINEERING	3/20/2015
TUBACH, CHRISTA C.	UC PROFESSIONAL 06 - GRAPHIC DES/ILL III	UNIVERSITY COMMUNICATIONS	3/23/2015
VILLION, ANREW	RESEARCH ASSISTANT 2	MOLECULAR & CELL BIOLOGY	3/6/2015
ZHONG, RUI	U POST DOC FEL 1	CIVIL & ENVIRON ENG	4/1/2015

University of Connecticut Department of Human Resources
Separations Processed from March 9, 2015 - April 16, 2015
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
ACETO, KELLY J.	UC PROFESSIONAL 07 - PROGRAM MANAGER	RESIGNATION (GOOD STANDING)	MKTG	3/31/2015
ANDALIBI, ALI	ASSOC VICE PRESIDENT	RESIGNATION (GOOD STANDING)	VPRES	4/2/2015
BROWN, DONNA L.	UC PROFESSIONAL 06 - ADMIN SERV ASST IV	RESIGNATION (GOOD STANDING)	SBDO	3/31/2015
COLGAN, MITCHEL N.	UC PROFESSIONAL 05 - EH+S SPEC I	RESIGNATION (GOOD STANDING)	EH&S	4/1/2015
CRAWFORD, ANN	U STAFF PROF IV - ASSISTANT DEAN	RESIGNATION (GOOD STANDING)	LAW	3/31/2015
CUEVAS, FAUSTINA J.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	4/3/2015
CULLEN, SARAH	SPECIALIST IA	RESIGNATION (GOOD STANDING)	ATH	3/23/2015
FULLER, DAVID E.	UC PROFESSIONAL 08 - SYSTEM ADMIN II	RETIREMENT (25+ YRS SERVICE)	SAITD	3/31/2015
GANESAN, SELVARANI	ASST RESEARCH PROF	RESIGNATION (GOOD STANDING)	ENERGY	3/31/2015
GINE-MASDEU, EVARIST	PROFESSOR - DEPARTMENT HEAD	DEATH (NON-EMPLOYMENT RELATED)	MATH	3/13/2015
HOFFMAN, JOAN MARIE	U ED ASSISTANT 2	RESIGNATION (GOOD STANDING)	POLICE	4/7/2015
LIU, YING	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CHEG	4/10/2015
LOGAN, DAVID	UC PROFESSIONAL 08 - COMP TECH SUP CONS 3	RESIGNATION (GOOD STANDING)	FA	4/2/2015
MACTAGGART, AMANDA R.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	RESIGNATION (GOOD STANDING)	ISS	4/2/2015
MERCIER, DANIEL	ACAD ASSISTANT IV	RESIGNATION (GOOD STANDING)	TEACH	4/10/2015
NALL, DANITZA Y.	UC PROFESSIONAL 06 - DATABASE ADMIN 1	RETIREMENT (25+ YRS SERVICE)	REGIS	3/31/2015
SIMPSON, CHRISTOPHER P.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	3/15/2015
TARTAGLINO, PATRICIA A.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	RETIREMENT (25+ YRS SERVICE)	SW	3/31/2015
TARTAKOVSKY, ALEXANDER	PROFESSOR	TERMINATION (DISCIPLINARY)	STAT	4/9/2015
YAN, RUQIANG	RESEARCH PROFESSOR	RESIGNATION (GOOD STANDING)	ME	3/15/2015

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 4/13/15
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Bar-on, Dorit	Professor	Philosophy	8/23/15 - 8/22/16	Other - Fellowship Inst Adv St Berlin paid
Cardone, Marilyn	Program Specialist II (UCP VII)	Social Work Instr & Res	12/15/14 - 1/2/15	Medical leave with pay
Castillo-Montoya, Milagros	Assistant Professor	Educational Leadership/NEAG	1/25/15 - 3/22/15	Maternity leave with pay
Gallicchio, Eileen	Admissions Officer (UCP V)	Admissions	12/15/14 - 12/24/14	Medical leave with pay
Gine-Masdeu, Evarist	Professor	Mathematics	1/29/15 - 3/13/15	Medical leave with pay
Glaz, Sarah	Professor	Mathematics	1/20/15 - 3/22/15	Medical leave with pay
Joo, Kyungseon	Professor	Physics	3/4/15 - 8/31/15	Other - IPA agreement with DOE paid
Lynch, Rachael	Associate Professor	English, Regional Campus	1/20/15 - 3/15/15	Medical leave with pay
MacDonald, Gail	Associate Professor in Residence	Journalism	3/27/15 - 4/25/15	Other - Fulbright Award with 81% pay
Reese, Bo	Academic Assistant III	Biotech/Bioservices	3/2/15 - 4/8/15	Maternity leave with pay
Simons, Keith	Professor	Philosophy	2/23/16 - 8/22/16	Other - Visit. Research. Humboldt Univ. paid
Teschke, Carolyn	Professor	Molecular and Cell Biology	8/23/15 - 8/22/16	Other - Jefferson Science Fellowship paid
Velez, Antonio	Benefits Officer (UCP VI)	Human Resources	1/5/15 - 3/13/15	Medical leave with pay
Willenborg, Michael	Professor	Accounting/Business	8/31/15 - 6/30/16	Personal leave - unpaid
Zhao, Jing	Assistant Professor	Chemistry	2/5/15 - 3/18/15	Maternity leave with pay

COMMITTEE AGENDAS

AGENDA

Meeting of the
BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE
April 1, 2015, 10:30 a.m.
UConn Health
Human Resources Training Room, 16 Munson Road
Farmington, Connecticut

ACTION ITEM:

ATTACHMENT

- 1) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meetings of February 20, 2015 and March 5, 2015, as circulated

1

DISCUSSION/INFORMATION ITEMS:

- 2) Update on the Acquisition of Nathan Hale Inn
~ Richard Orr, General Counsel
- 3) Update on Hartford Property Acquisition
~ Scott Jordan, Executive Vice President for Administration and Chief Financial Officer
- 4) Update on the Bergin Prison
~ Scott Jordan, Executive Vice President for Administration and Chief Financial Officer
- 5) UConn/Connecticut Water Company Water Inter Connection Permit Update
~ Thomas Callahan, Associate Vice President for Infrastructure Planning and Strategic Project Management
- 6) UConn/Mansfield Negotiations Re: Sewer Services Agreement
~ Thomas Callahan, Associate Vice President for Infrastructure Planning and Strategic Project Management
- 7) Project Updates:
 - UCH ~ Thomas Trutter, Associate Vice President of Campus Planning, Design and Construction
 - Bio Science Connecticut
 - Owner Controlled Insurance Program (OCIP)
 - BioScience Connecticut Monthly Capital Projects Report

2

Project Updates (Continued):

- Storrs Based Programs ~ Laura Cruickshank, University Master Planner and Chief Architect
 - Next Generation Connecticut

EXECUTIVE SESSION

AGENDA

Meeting of the
CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE (CMOC)
April 1, 2015, 10:30 a.m.
UConn Health Center, Human Resources Training Room 4th floor

PRESENTATIONS AND DISCUSSIONS:

1. Construction Management Oversight Committee Membership

**THE UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE
April 29, 2015**

**Ground Level Portico, Lewis B. Rome Commons Ballroom, Storrs Campus
*12:00 NOON (anticipated), following the meeting of the Board of Trustees***

AGENDA

- | | | |
|----|--|---|
| 1. | Call to order | Trustee Bailey |
| 2. | Review and Approval of minutes of 2/25/15 meeting | Trustee Bailey |
| 3. | Chairwoman's Report | Trustee Bailey |
| 4. | Discussion on Transportation Issues | William Wendt, Director
Logistics Administration |
| 5. | Vice President for Student Affairs Report
Spirit Rock Incident Update
Fall 2015 Enrollment Target – 3800 First Year Students | Michael Gilbert |
| 6. | Student Trustees Report | Trustee Jelliffe
Trustee Daniels |
| 7. | Other Business | All |
| 8. | Adjournment | Trustee Bailey |

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE

Wednesday, April 29, 2015
Rome Commons Ballroom
Storrs, CT
9:00 a.m. – 9:15 a.m.

	<u>COMMITTEE</u>	<u>ATTACHMENT</u>
1) Minutes of the Academic Affairs Committee Meeting of March 25, 2015, as circulated	A	

ACTION ITEMS:

2) Promotion and Tenure	2
3) Sabbatical Leave Recommendations	3
4) Approval to Amend the <i>By-Laws of the University of Connecticut</i> : Article IX.G.1 – The University Senate	4

INFORMATIONAL ITEM:

5) Tenure-track Reappointments	6
--------------------------------	---

PRESENTATION ITEM:

6) UConn Early College Experience

EXECUTIVE SESSION (*As Needed*)

ATTACHMENT A

MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
March 25, 2015

Trustees: Bailey, Bessette, Bunnell, Cantor, Carbray, Daniels, Dennis-LaVigne, Gandara, James, Jelliffe, Kruger, Lobo, McHugh, Nayden, Ritter

Staff: Agwunobi, Andrews, Bansal, Baxter, Beall, Beck, Biancamano, Boyer, Callahan, Carone, Chiaputti, Choi, Cruickshank, Cusson, Dey, Donahue, Fazio, Fearney, Fisher, Galloway, Geoghegan, Gilbert, Herbst, Johnson, Jordan, Kendig, Kirk, Larson, Liang, Locke, Locust, Mundrane, Newton, Nunnally, Orr, Patel, Reis, Rong, Rubin, Seemann, Silbart, Simsek, Slowik, Spencer, Strassfeld, Trutter, Weiner, Wetstone, Wohl, Zehner

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:05 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Trustee James, seconded by Trustee Carbray, the minutes of the February 25, 2015, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Recommendation for Designation as Board of Trustees Distinguished Professors, Academic Year 2014-2015.*** Provost Choi announced that Dr. Jc Beall, Professor of Philosophy, and Dr. Blair T. Johnson, Professor of Psychology, are the 2014-2015 recipients of the honor. Moved by Trustee James, seconded by Trustee Jelliffe, the Committee recommended approval of designation as Board of Trustees Distinguished Professors for Dr. Jc Beall and Dr. Blair T. Johnson to the full Board.

Provost Choi introduced ***Action Item #3, Sabbatical Leave Recommendations.*** Moved by Trustee Carbray, seconded by Trustee Jelliffe, the Committee recommended approval of all sabbatical leave recommendations to the full Board.

Provost Choi tabled ***Action Item #4, Doctor of Philosophy in Health Promotion Sciences in the College of Agriculture, Health and Natural Resources.***

Provost Choi introduced ***Action Item #5, Graduate Certificate in Health Professions Education.*** Provost Choi introduced Dr. Thomas Van Hoof, Associate Professor of Nursing, who provided the Committee with a brief summary of the Graduate Certificate. Moved by Trustee Lobo, seconded by Trustee James, the Committee recommended approval of the Graduate Certificate in Health Professions Education to the full Board.

Provost Choi introduced ***Action Item #6, Policy on Consulting for Faculty and Members of the Faculty Bargaining Unit.*** Moved by Trustee James, seconded by Trustee Carbray, the Committee recommended approval of the Policy on Consulting for Faculty and Members of the Faculty Bargaining Unit to the full Board.

Provost Choi introduced ***Informational Item #7, Notification of Proposed Changes to the By-Laws of the University of Connecticut: Article IX.G.1 – The University Senate.***

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:13 a.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

AMENDED AT THE APRIL 29, 2015 FINANCIAL AFFAIRS MEETING

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
April 29, 2015 at 9:15 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

Revised

ATTACHMENT **LOCATION**
COMMITTEE **FULL BOARD**

EXECUTIVE SESSION

- 1) Approval of the Minutes of the Financial Affairs Committee A
Meetings of February 25, 2015 and March 25, 2015, as circulated

ACTION ITEMS:

- 2) Contracts and Agreements for Approval 1
- 3) Fiscal Year 2016 Certificate Entry into Nursing Program Fee
(Self-Supporting Program) 7
- 4) Financing the Acquisition of the Nathan Hale Inn 8
- 5) Acquisition of 38 Prospect Street, Hartford, CT Under Separate Cover
- 6) Recommendation for Approval of Collective Bargaining Agreement
(GEU – UAW) Under Separate Cover

PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
7) Gampel Pavilion Dome Ceiling and Roof Repair	Revised	\$10,000,000	9
8) Engineering Building – Engineering & Science Building	Planning		
	Design	\$92,500,000	10
9) Fine Arts Phase II – Renovation and Improvements	Design	\$23,500,000	11
10) Hartford Relocation Acquisition/Renovation	Design	\$115,000,000	12
11) Main Water Line Replacement Phase II	Design	\$6,000,000	13
12) Residential Life Facilities – Honors Residence Hall	Design	\$10,000,000	14
13) Waterbury Downtown Campus – Rectory Renovations	Design	\$2,550,000	15
14) Avery Point Renovation – Utility Relocation and Buildings 21/23 Demolition	Final	\$7,300,000	16
15) Sewer Line Replacement at Storrs Road Pump Station	Final	\$5,000,000	17
16) UCFM Code Remediation – Campus Wide Laundry Alterations	Final	\$1,800,000	18

INFORMATION ITEMS:

- | | |
|---|------------------------|
| 17) Contracts and Agreements for Information | B |
| 18) Construction Project Status Report | (Under Separate Cover) |
| 19) Master Schedule for UCONN 2000 Phases I, II, & III as of 03/31/15 | |
| 20) Status of Capital Projects > \$500,000 as of 3/31/15 | |



Board of Trustees

**ACQUISITION OF 38 PROSPECT STREET,
HARTFORD, CT**

(DISTRIBUTED UNDER SEPARATE COVER)

Wednesday, April 29, 2015



April 29, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

Richard F. Orr
Vice President and General Counsel

RE: Acquisition of 38 Prospect Street, Hartford, CT

RECOMMENDATION:

That the Board of Trustees approves the Administration to enter into a Purchase & Sale Agreement to acquire 38 Prospect Street ("Building") in Hartford, CT, and to enter into a Master Lease for the same property.

Time is of the essence for this transaction; if approved, the closing is expected to occur by May 31, 2015.

BACKGROUND:

Need for the Building

The University has undertaken the relocation and consolidation of the University's existing Greater Hartford campus from West Hartford to downtown Hartford, Connecticut. Downtown Hartford, with its proximity to State government and industry, its dynamic urban environment and its improved system of public transportation is an ideal location for the University's Hartford campus. The relocation includes all programs (with the exception of the Agricultural Extension) currently in residence in West Hartford. The consolidation also includes the School of Business, which currently leases space in downtown Hartford. After an extensive, formal, public solicitation and Executive Committee review of potential sites, the University identified a property on the corner of Prospect Street and Arch Street in the Adriaen's Landing District – the site of the vacant and neglected Hartford Times Building – as the preferred location for the new campus center.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

In order to encourage foot traffic and create a dynamic urban learning environment, the University chose to pursue a “neighborhood campus” concept, with portions of the academic program dispersed among several buildings in close proximity. The construction of a new main building will address approximately 70% of the programmatic needs in the campus relocation, and acquisition of additional space in the immediate vicinity of the new building is necessary to house the balance of the campus program. Partnerships with existing institutions in the neighborhood will partially satisfy the programmatic needs, but acquisition of additional space for faculty, staff and classrooms in the range of 35,000 GSF is required to wholly satisfy the University’s academic program. After extensive market research and evaluation, and a long period of negotiation, the building at 38 Prospect Street was determined to represent the best alternative to meet the academic program needs of the campus at the least capital cost.

Transaction Description

This “sale-leaseback” transaction has two components: (1) the University will purchase the Building from 38 LLC (“Seller”), for an amount not to exceed \$3,990,000, accomplished by drawing down UCONN 2000 funds; and (2) the University will enter into a short-term Master Lease for the Building, under which the University will be the Master Landlord and the Seller will become the Master Tenant, for a period to extend to no later than February 29, 2016. At the end of that term, the University will be in sole possession and will begin renovations.

Financing the Purchase

The Administration seeks authorization from the Board of Trustees to utilize UCONN 2000 funds to acquire the Building. In addition to the purchase price of \$3,990,000, the authorization includes closing costs such as those related to title insurance, survey, environmental site assessments, building condition assessments, attorney’s fees, and similar customary charges.

The Seller’s existing note is secured by a mortgage, and the University will satisfy that mortgage at closing as part of the purchase price. The note has a prepayment penalty of \$80,000 and the Administration has determined that it is in the University’s best interests to incur this prepayment cost, above the purchase price. All of these costs are included in the \$115 Million project budget, for which approval is being sought separately today (See Attachment 12).

The Master Lease

At closing, the University will execute a lease for the Building in which the Seller will become the Building’s Master Tenant and the Seller’s existing tenants will become subtenants. The term of this lease will end no later than February 29, 2016. The two subtenants will be required to vacate by that date. The University will pay a total of \$175,000 in relocation costs to Seller/Tenant to relocate these subtenants. No rent will be paid by the Seller/Tenant during the 9-month term, but the Seller/Tenant will be responsible for the following operational costs:

- Property insurance (building and liability);
- Personal property insurance;
- Utilities;

- Janitorial services;
- Ongoing repairs and maintenance of existing plumbing, electrical and mechanical systems;
- Exterior landscaping and plowing;
- Elevator maintenance; and
- Repairs, interior and exterior, of items damaged by the Seller/Tenant's use of the grounds and facilities.

As owner of the Building, the University will be responsible for repair/replacement due to the failure of any building systems (e.g., structural, roof, mechanical, electrical) as well as any real estate property taxes that may be due until a tax exemption becomes effective.

Building Renovation Cost

The estimated cost of renovations to convert the building from commercial use to academic use is approximately \$4,800,000, which is included in the current UConn Hartford Relocation project budget of \$115 Million. Approval for that project budget funding is being sought in a separate request (See Attachment 12).

Parking

There are 35 parking spaces assigned to the Building in the adjacent Traveler's garage, which will be available to the University upon closing.

RESOLUTION

BE IT RESOLVED THAT THE BOARD OF TRUSTEES OF THE UNIVERSITY authorizes the Administration to enter into a Purchase & Sale Agreement to acquire 38 Prospect Street in Hartford, Connecticut, and to enter into a Master Lease for the same property, on the terms set forth above.



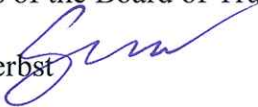
Board of Trustees

**RECOMMENDATION FOR APPROVAL OF
COLLECTIVE BARGAINING AGREEMENT
(GEU-UAW)
(DISTRIBUTED UNDER SEPARATE COVER)**

Wednesday, April 29, 2015

April 29, 2015

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Recommendation for Approval of Collective Bargaining Agreement (GEU-UAW)

RECOMMENDATION

That the Board of Trustees approve and ratify the attached Tentative Agreement for an initial collective bargaining agreement between the University of Connecticut and the United Automobile, Aerospace and Agricultural Implement Workers of America (UAW) and its Local Union, Graduate Employee Union-UAW Local 6950 (together, "GEU-UAW" or "Union") by adopting the resolution at the end of this Memorandum.

BACKGROUND

On April 21, 2015, the bargaining teams for the University and the Union agreed to recommend the attached Tentative Agreement for ratification by the bargaining unit and by the Board of Trustees. The Union has already ratified the document. If this Board also ratifies it, the agreement will be filed by May 1 with the General Assembly, which may then vote it up or down or permit it to take effect without a vote by taking no action between the filing and the end of the legislative session on June 3, 2015. If approved in this fashion, the three-year collective bargaining agreement concerning Graduate Assistants at the University will take effect July 1, 2015 and run through June 30, 2018.

This agreement is the culmination of a process begun more than a year ago, when the University recognized the GEU-UAW as the collective bargaining representative for about 2,200 Graduate Assistants ("GAs"). GAs are graduate students who serve as Teaching Assistants or Research Assistants. About one third of UConn's graduate students are GAs. While playing important roles in classrooms and laboratories, the GAs gain valuable experience as part of their own graduate educations and preparation for academic careers. They receive stipends, free tuition and heavily subsidized health insurance, so such assistantships are sought after. The ability to offer attractive GA positions in the admission process is one factor that enables UConn to recruit some of the best and brightest candidates to our graduate programs.

The University's goals throughout the negotiations have been to ensure that our graduate assistants are treated fairly relative to their counterparts at research universities across the nation with regard to pay, healthcare, workload, and opportunity; to maintain and enhance academic excellence in graduate education, with high standards for student scholarship and teaching performance; and to enable our faculty to teach and mentor all graduate students in ways appropriate to their disciplines in order to prepare them for careers. A crucial factor in achieving these goals was to make certain that the agreement preserves the University's prerogatives on academic matters, including coursework, grading, assignments, teaching, research, and decisions regarding a student's progress to a degree. It was essential that these issues remain in the hands of faculty members, academic departments, and our schools and colleges. We achieved those goals.

The Tentative Agreement preserves this integral component of our mission as an educational institution and ensures that the academic relationship continues to be faculty-and-student, rather than employer-and-employee. The agreement contains perhaps the strongest University Prerogatives clause in the nation, which expressly preserves the full range of academic questions to the University's discretion. The clause also provides that University actions with respect to a management or academic right are not subject to the grievance or arbitration procedure unless they violate a specific, written provision of the contract.

In the area of compensation, the Tentative Agreement calls for stipend increases that are in line with recent state contracts, while also providing that the contract rates are minimums. The University retains discretion to provide an individual GA with a stipend, bonus or monetary award that is higher than the minimums. Thus the contract assures that all GAs will be compensated fairly and reasonably without tying the hands of strong departments that wish to offer higher amounts to compete with other top national programs for the very best candidates.

With respect to fees that are charged GAs as graduate students, we reached a compromise in which GAs obtained some relief from fees, but much less than the complete waiver that the Union had sought in bargaining and through the legislative process.

The Tentative Agreement as a whole achieved the University's objectives and can serve as a workable basis for preserving positive working relationships in the future. If it is approved, successfully implementing it and working with the new bargaining unit will present many challenges requiring careful planning and attention to detail. The Provost's office and UConn Labor Relations plan to hold informational sessions about what the new contract will mean in practice for department heads and faculty, and to provide them with ongoing support and consultation in the months ahead.

Despite the challenges we will face, the Tentative Agreement provides the University and the Union with workable compromises of issues that once divided them. It now gives us a basis for moving forward together.

Summary of Key Contract Terms

Recognition: GAs at UConn Health Center and graduate students performing internships are excluded from the bargaining unit. (Article 1)

University Prerogatives and Academic Rights: Management of the University and academic judgments are vested solely in the University. Management and academic decisions are not subject to the grievance and arbitration process. Subcontracting of GA work is permitted except in limited circumstances specified in the Subcontracting article (see below). (Article 3)

Subcontracting: Faculty members (including adjuncts), visitors, undergraduates, post-doctoral individuals, vendors, laboratory technicians, research assistants, research associates and other employees can continue to perform the same functions as GAs. The only restriction on subcontracting applies to replacing GAs with outside contractors or personnel from outside temporary agencies. (Article 26)

Appointment: Minimum GA appointments are for one semester, whereas the Union originally demanded five years and held out for a minimum term longer than a semester during several months of bargaining. Appointments exceeding one academic year can be made contingent on available funding. (Article 5)

Workload: Affirms the existing standard of the average hours per week expected of GAs at various appointment levels. Also affirms existing practices concerning time off. Disputes go first to supervisor and then to the Dean of the Graduate School for resolution before they can be taken to the grievance/arbitration process. (Article 10)

Tuition/Fees: Provides for 100% waiver of tuition for GAs as required by an existing Connecticut statute. Infrastructure Fee waived for GAs starting in first year of contract. In second year, GAs get credit of \$100 per semester toward General University Fee. In third year, GAs get credit of additional \$100 per semester toward General University Fee. No caps on fees. (Article 20)

Wages/Stipends: Existing stipends for GAs increased 3% in each of the three years of the contract. Stipend rates are minimums and the University has discretion to pay more than the minimum. Current practices concerning work done by GAs in the summer will continue in 2015. After October 1, 2015, either party can reopen bargaining on summer jobs. (Article 21)

Health Insurance: Subject to legislative authorization and consent of the Comptroller and the Office of Policy and Management, commencing with 2015-2016 academic year UConn will offer GAs health insurance under the Connecticut Partnership Plan administered by the Comptroller's office. GAs will pay the same cost-sharing amount for premiums as they do now. First year cost of Partnership Plan will be less than University currently pays. Year to year cost increases limited by statute for five years. (Article 22)

Holidays: GAs not required to work on these holidays when they fall within the term of the GAs appointment: New Year's Day, Martin Luther King, Jr. Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day. (Article 16)

Intellectual Property: GAs have the same intellectual property rights and obligations as other University employees. Union acknowledges University may revise its bylaws and policies respecting intellectual property at any time, and that such revisions shall apply to GAs, as employees, upon implementation, without required bargaining. (Article 11)

Health and Safety: UConn Environmental Health and Safety policy, as effective on October 24, 2014, is incorporated into the Agreement. Any updated health and safety policies are automatically incorporated without required bargaining. (Article 17)

Union Rights: FERPA confidentiality requirements apply to personal information about GAs provided to Union under the agreement. Union can use mail and bulletin board facilities on same basis as other recognized organizations. Collective bargaining agreement will be published electronically by the University on a website. If University conducts orientations for new GAs, it will permit the Union to meet with the GAs afterward. The University will permit two 20-hour GAs and one 10-hour GA (that is, 2.5 FTEs) designated by the Union to perform Union work during such time. (Article 27)

Non-Discrimination/Harassment: Incorporates several University policies and practices into the contract. The Union and University both agree not to discriminate against GAs. The University's Policy Against Discrimination, Harassment and Inappropriate Romantic Relationships, which is revised and updated and may be renamed from time to time, applies to GAs as it does to other members of the University Community. (Article 4)

Discipline/Dismissal: The University will not discipline or discharge a GA during his or her appointment for job-related reasons without just cause, but the contract does not apply to dismissal of a graduate student for academic reasons or for non-job-related disciplinary reasons. Someone who is no longer a graduate student for any reason cannot be a GA. An arbitrator has no power to order reinstatement as a GA of someone who is no longer a graduate student. University can put a GA on paid investigatory leave. If the GA's appointment ends before the matter is resolved, pay also ends at that time. (Article 24)

Grievance/Arbitration: A grievance is defined as a claim that the University violated a specific term of the Agreement (much narrower than the definition originally proposed by the Union). Issues must ordinarily be discussed with supervisor in effort to resolve them informally before the grievance process is invoked. (Article 25)

Union-Management Committee: The Union and University may each name up to four members of this committee, which will meet periodically to discuss matters affecting GAs and other issues that are not the subject of an active grievance. (Article 28)

Duration: Agreement takes effect upon the later of July 1, 2015 or legislative approval and expires on June 30, 2018. (Article 31)

RESOLUTION

Resolved by the Board of Trustees that the Tentative Agreement for a collective bargaining agreement between the University of Connecticut and the United Automobile, Aerospace and Agricultural Implement Workers of America (UAW) and its Local Union, Graduate Employee Union-UAW Local 6950 (together, "GEU-UAW" or "Union") is hereby approved;

That the President of the University is authorized to execute such Agreement on behalf of the University; and

That the President is authorized to do all things necessary to implement the Agreement.

COLLECTIVE BARGAINING AGREEMENT

Between

The University of Connecticut
Board of Trustees

And

Graduate Employee Union Local 6950– International Union, United
Automobile, Aerospace and Agricultural Implement Workers of
America (GEU-UAW)

JULY 1, 2015 – JUNE 30, 2018

TABLE OF CONTENTS

ARTICLE 1.	Recognition.....	3
ARTICLE 2.	Union Security.....	3
ARTICLE 3.	University Prerogatives and Academic Rights.....	4
ARTICLE 4.	Non-Discrimination & Harassment.....	5
ARTICLE 5.	Appointment & Reappointment Notification.....	6
ARTICLE 6.	Appointment Security.....	7
ARTICLE 7.	Employment Files.....	8
ARTICLE 8.	Job Posting.....	9
ARTICLE 9.	Workspace & Materials.....	9
ARTICLE 10.	Workload.....	10
ARTICLE 11.	Intellectual Property.....	11
ARTICLE 12.	Travel.....	12
ARTICLE 13.	Training.....	12
ARTICLE 14.	Employee Assistance Program.....	12
ARTICLE 15.	Leaves of Absence.....	12
ARTICLE 16.	Holidays.....	13
ARTICLE 17.	Health & Safety.....	14
ARTICLE 18.	Parking & Transit.....	14
ARTICLE 19.	Housing.....	15
ARTICLE 20.	Tuition and Fee Waivers.....	15
ARTICLE 21.	Stipends, Wages and Payroll.....	16
ARTICLE 22.	Health Insurance.....	18
ARTICLE 23.	Child Care.....	19

ARTICLE 24.	Discipline and Dismissal.....	19
ARTICLE 25.	Grievance & Arbitration.....	21
ARTICLE 26.	Subcontracting.....	22
ARTICLE 27.	Union Rights.....	22
ARTICLE 28.	Union-Management Committee.....	24
ARTICLE 29.	Continuation of Services.....	24
ARTICLE 30.	Severability.....	24
ARTICLE 31.	Duration.....	25
ARTICLE 32.	Legislative Action.....	25
ARTICLE 33.	Sign-Off.....	26
APPENDIX A.	Description of Duties Form.....	27
	Research Assistant Job Description.....	28
	Teaching Assistant Job Description	29

ARTICLE 1

RECOGNITION

As reflected in the Connecticut State Board of Labor Relations Case #30888, the University recognizes the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America (UAW), and its Local Union, Graduate Employee Union-UAW Local 6950 (GEU-UAW Local 6950), as the exclusive bargaining representative for employees in the bargaining unit. The bargaining unit shall include all University of Connecticut Graduate Assistants (GAs), including Teaching Assistants (TAs), Research Assistants (RAs) and other Graduate Assistants who are not TAs or RAs. The bargaining unit shall also include graduate students whose functional relationship to the university is substantially identical to GAs even if another term is used by the University to describe their position.

GAs with appointments at the University of Connecticut Health Center (Schools of Medicine and Dental Medicine), graduate students performing internships required as an integral component of a graduate educational program (specifically, in the program known during the 2014-2015 academic year as the Provost's Professional Internship Program for Public Outreach, Service and Engagement), confidential employees and managerial employees shall be excluded from the bargaining unit.

ARTICLE 2

UNION SECURITY

Section 1. The University shall deduct membership dues and initiation fees from all GAs who choose to be members of GEU-UAW Local 6950. Pursuant to Section 5-280 of the General Statutes, a member of the bargaining unit who is not a member of the Union shall be required, as a condition of continued employment, to pay to the Union a fair share fee not to exceed the amount of membership dues. The Union will communicate the amount of such dues, fair share fees and initiation fees to the University.

Section 2. Within thirty (30) days of the effective date of the contract, the University shall begin deducting dues or non-member fair share fee bi-weekly from the gross paycheck of each GA.

Section 3. The dues and fees deducted under this article shall be transmitted to the Union within ten (10) working days after each payday for which deductions are made.

Section 4. The University shall deduct amounts bi-weekly from the pay of all dues-paying GAs whose written authorizations have been provided to the University authorizing it to make specified contributions to the UAW Voluntary Community Action Program (VCAP).

Section 5. The Union will submit an electronic list of all changes to membership and VCAP authorization, including the amount and written authorization with respect to any change in the amount of an authorized VCAP deduction, prior to the deadline for the University to make

such deductions, so that the University can make the appropriate deductions.

Section 6. The Union shall receive the same periodic reports with respect to the remittance of such dues deductions as provided by the Comptroller's office to other unions at the University.

Section 7. GEU-UAW shall hold the University harmless from any liability or damages incurred by the University or its agents in complying with this Article and shall reimburse the University for legal expenses incurred in legal defense of any provision of this article or any action taken by the University in complying with it.

ARTICLE 3

UNIVERSITY PREROGATIVES AND ACADEMIC RIGHTS

Section 1. The parties acknowledge that Graduate Assistants ("GAs") have attributes of employees, particularly with regard to economic issues such as stipends and benefits, but that Graduate Assistants are also students with rights and obligations that are predominately academic.

Section 2. Management of the University is vested exclusively in the University. Except as otherwise provided in this Agreement, the Union agrees that the University has the right to establish, plan, direct and control the University's missions, programs, objectives, activities, resources, and priorities; to establish and administer procedures, rules and regulations, and direct and control University operations; to alter, extend or discontinue existing equipment, facilities, and location of operations; to determine or modify the number, qualifications, scheduling, responsibilities and assignment of Graduate Assistants; to evaluate, to determine the content of evaluations, and to determine the processes and criteria by which Graduate Assistants' performance is evaluated; to establish and require Graduate Assistants to observe University rules and regulations; to discipline or dismiss Graduate Assistants; to establish or modify the academic calendars, including holidays and holiday scheduling; to assign work locations; to schedule hours of work; to recruit, hire, or transfer; to determine how and when and by whom instruction is delivered; to determine in its sole discretion all matters relating to faculty hiring and tenure and student admissions; to introduce new methods of instruction; to subcontract all or any portion of any operations except as restricted by Article 26 of this agreement; and to exercise sole authority on all decisions involving academic matters.

Section 3. Except as otherwise provided in this agreement, the University also has the right to establish, maintain, modify and enforce standards of performance, conduct, order and safety by which GAs shall abide. The University shall also have the right to establish or revise disciplinary policies to address violations of these rules. The Union may grieve the reasonableness of such rules and policies.

Section 4. Decisions regarding who is taught, what is taught, how it is taught and who does the teaching involve academic judgment and shall be made at the sole discretion of the University. Other questions of academic judgment that shall remain in the University's discretion are: decisions regarding a GA's academic progress and standing, including the determination of whether or not to continue or renew a GA on academic grounds; decisions regarding research methodology and materials; decisions about academic standards and whether to create, eliminate,

combine, or modify academic, outreach, service and research programs; decisions regarding the selection and assignment of faculty and all positions, including GAs, that support teaching and research; and decisions regarding grants including application, selection, funding, administration, usage, accountability and termination.

Section 5. The above enumeration of management rights is not exhaustive and does not exclude other management rights not specified herein, nor shall the exercise or non-exercise of rights constitute a waiver of any such rights by the University.

Section 6. Except as provided in Section 3 above, no action taken by the University with respect to a management or academic right shall be subject to the grievance or arbitration procedure unless the exercise thereof violates an express written provision of this agreement.

ARTICLE 4

NON-DISCRIMINATION & HARASSMENT

Section 1. Neither the University nor the Union shall discriminate against a GA because of membership or non-membership in any labor union or on the basis of race, color, ethnicity, religious creed, age, sex, marital status, national origin, ancestry, sexual orientation, genetic information, physical or mental disabilities (including learning disabilities, intellectual disabilities, past/present history of a mental disorder), veteran status, prior conviction of a crime, workplace hazards to reproductive systems, gender identity or expression, or membership in other protected classes set forth in state or federal law. Discrimination includes failing to provide reasonable accommodation, consistent with state and federal law, to persons with disabilities.

Section 2. In the event an accommodation proposed to comply with state or federal law conflicts with a provision of this Agreement, the parties, at either party's request, shall meet to discuss the proposed accommodation.

Section 3. The parties agree that an accommodation made by the University or the Union with respect to any term or condition of employment shall apply only to the person accommodated in the particular situation. The fact that such person was accommodated, and the manner and method of such accommodation, shall be without precedent and may not be used or relied upon by any person or entity with regard to any subsequent grievance or arbitration pursuant to this Agreement.

Section 4. The University maintains a policy currently known as the Policy Against Discrimination, Harassment and Inappropriate Romantic Relationships ("Policy Against Discrimination"), which is revised and updated and may be renamed from time to time. This policy applies to GAs as well as the other members of the University Community.

Section 5. The Policy Against Discrimination shall be made available to GAs through posting on a University website.

Section 6. The University maintains Gender Transition Guidelines to assist transgender and gender variant members of the campus community with navigating the policies and practices of the University during a gender transition, as well as to assist University community members in their efforts to support transgender community members. In conjunction with such Guidelines, as they may from time to time be revised and updated, the University shall comply with law including any applicable building code with respect to the provision of all-gender restrooms. The University shall make reasonable efforts to accommodate requests by a GA for an all-gender restroom within a reasonable distance to the GA's workplace.

Section 7. The University shall comply with the law including any applicable building code with respect to the provision of lactation stations.

ARTICLE 5

APPOINTMENT & REAPPOINTMENT NOTIFICATION

Section 1. Each offer of appointment or reappointment to a Graduate Assistant position shall be made by the University in writing and shall state: (1) whether the position is a Teaching Assistantship, Research Assistantship or a combination of research support and teaching; and (2) the term of the appointment or reappointment. Offers of appointment or reappointment to a GA position shall be for a minimum term of one semester.

Section 2. The parties recognize appointments for an academic year or longer are generally in the mutual interest of the University and the GA. Nothing in Section 1 shall prevent or discourage departments from making appointments or reappointments that exceed one semester. Appointments exceeding one academic year may be made contingent on available funding.

Section 3. The University shall provide a written letter offering an individual an appointment or reappointment.

(a) The University will make reasonable efforts to notify incoming students of their appointments by April 1.

(b) The University will make reasonable efforts to notify continuing students of Fall Semester appointments by June 15.

(c) For students whose appointments begin in any other semester, the University will make reasonable efforts to notify students of their appointments at least 60 days prior to the beginning of the work assignment.

Section 4. The letter of appointment shall include the following information:

- a. Appointment title
- b. Appointment percentage of Full Time Equivalent

- c. Effective dates or duration of the appointment
- d. Hiring unit
- e. Hiring unit contact
- f. A brief summary of the general nature of required duties
- g. Stipend
- h. Reference to source of information on health and other applicable benefits
- i. Tuition and fee waiver or remission information
- j. Response requirements, if any
- k. A statement that the position is covered by this collective bargaining agreement
- l. A web address provided by the Union for GEU-UAW contact information, informational materials, and membership card.

Section 5. Supplemental Description of Duties: At least thirty (30) days prior to the commencement of each academic semester, the University shall make reasonable efforts to provide GAs with applicable documentation detailing the specific duties of the appointment for that semester, which may include:

- a. assigned course, lab, research project, or position;
- b. the faculty member or supervisor to whom the GA will report;
- c. the duties that the GA will be required to perform;
- d. course meeting times and location (if applicable);
- e. the maximum number of students for which the GA will be responsible per class, section, lab, etc.;
- f. work location.

Departments may use the sample Description of Duties forms attached hereto to as Appendix or may create their own forms.

ARTICLE 6

APPOINTMENT SECURITY

Section 1. If the University makes an offer for appointment to a Graduate Assistant position and the individual to whom the offer was made accepts it in a timely manner, the terms of the offer including level of compensation, benefits, and other terms and conditions of employment shall be honored by the University during the term specified in the offer of appointment. This provision does not and shall not be interpreted to excuse any GA from fulfilling the proper and complete performance of the functions of the GA position subject to Article 24 (Discipline and Dismissal) or applicable academic standards.

Section 2. By providing written notice and supporting documentation at least 20 days in advance of the start of the appointment, a GA may turn down one or more semesters of an appointment to accept an extramural or other fellowship or for another reason granted by the University. If a GA turns down one or more semesters, as set forth in this Article, the time off shall be unpaid and the GA shall be responsible for tuition and fees, if applicable, and the duration of the original appointment shall not be extended.

ARTICLE 7

EMPLOYMENT FILES

Section 1. "Employment file" shall be defined as documents maintained by the University reflecting an individual's appointment as a GA, revision or termination of such appointment, job-related evaluations and discipline of the GA, and pay and benefits related to such appointment. For the purposes of this article, employment files of GAs shall be considered education records pursuant to the Family Educational Rights and Privacy Act (FERPA), as amended, as they are records relating to individuals in attendance at the University who are employed as a result of their status as students. The University shall not make personally identifiable material in an employment file public without the GA's consent except as otherwise provided by applicable law.

Section 2. Materials related to a GA's course of study, grades, academic progress and aspects of graduate study other than service as a GA, while also subject to FERPA, shall not be considered part of the employment file. Grievances filed by a GA and records concerning the processing and resolution of the grievance, including any arbitration concerning it, shall also not be considered part of the employment file.

Section 3. The University shall, within ten (10) working days after receipt of a written request from a GA, permit such GA, who may be accompanied by a Union representative if the written authorization by the GA so provides, to inspect the GA's employment file. Such inspection shall take place during regular business hours at a location designated by the University. The GA may not remove the employment file from the designated premises. The University may require that inspection take place in the presence of a designated individual.

Section 4. If upon inspection of the employment file a GA disagrees with any of the information contained in such file, removal or correction of such information may be agreed upon by such GA and the University. If such GA and the University cannot agree upon such removal or correction, then such GA may submit a written statement explaining the GA's position. Such statement shall be maintained as part of the employment file and shall accompany any transmittal or disclosure of such file to a third party.

Section 5. Within a reasonable time after receipt of a written request from a GA, the University will provide such GA, or the Union if the GA has authorized the Union to receive it, with a copy of all or part of the GA's employment file, provided such request reasonably identifies the materials to be copied. The University may charge a fee for such copying reasonably related to the cost of supplying the requested documents.

Section 6. The employee shall be notified of the placement of any negative evaluative material in his/her employment file within ten (10) working days.

ARTICLE 8

JOB POSTING

Section 1. The parties recognize that the University has discretion over who is hired as a GA, the qualifications for GA positions and the methods used to make such hiring decisions.

Section 2. The parties also recognize that GA appointments are usually made without posting, including appointments at the time of admission, through departmental assignment, in connection with advising relationships with faculty members, and through arrangements made between departments.

Section 3. On occasions when a University unit decides to open a Graduate Assistants opportunity to more widespread or University-wide application, these procedures shall be followed:

A. The University shall create and maintain a website for posting of information regarding such GA opportunities as a University unit decides to advertise. The University shall notify the Union of the address of the website within 30 days of ratification of this agreement.

B. The University will post information on the website concerning such GA openings as soon as reasonably practicable.

C. The website shall contain the following information with respect to each position posted there:

1. A description of the position and the qualifications required to apply for it.
2. An employment non-discrimination statement.
3. The procedure required to apply for the job, including the name and location of the office where inquiries and applications may be made.

ARTICLE 9

WORKSPACE & MATERIALS

Section 1. The University will provide office space, desk space, laboratory and other facilities, equipment and materials necessary for the performance of the duties assigned to a GA.

Section 2. If the University requires a GA to purchase job-related materials or equipment, the GA shall be reimbursed for those costs. GAs shall be reimbursed for the cost of equipment or materials purchased by the GA to perform the GA assignment if the GA had prior written approval for such purchase from the GA's department head and followed all relevant University and State of Connecticut procurement and purchasing requirements. Absent such written approval, a GA shall not be required to purchase such equipment or materials to perform the GA's assignment.

Section 3. Personal computers, home printers and printing supplies, calculators, reference materials, electronic media, home internet access and the like, which are provided and

used by graduate students in the course of their graduate studies, are not considered reimbursable for purposes of this article, unless the GA has been required to purchase and use the item for GA work pursuant to Section 2.

ARTICLE 10

WORKLOAD

Section 1. The workload for a full-time graduate assistant shall not exceed an average of twenty (20) hours per week during the term of the GA's appointment. Alternate percentage appointments will be directly proportional to the full-time appointment. Given the professional nature of GA assignments, the specific hours in any week may vary from the average according to the needs of the employing unit, but will not unreasonably exceed twenty (20) hours, or the pro-rata equivalent, in any given week.

Section 2. While the parties recognize that the content of work assigned to a GA is a University prerogative, supervisors and departments shall not assign job duties that the GA cannot reasonably perform within the allotted workload hours taking into account the GA's academic commitments as a graduate student.

Section 3. A GA may request to take time off during academic break periods when classes are not in session. RAs, with the consent of their supervisor, may schedule such time off when classes are in session, subject to the work needs determined by their supervisor. Such requests that are consistent with the deadlines and responsibilities of the GA's work shall not be unreasonably denied. A GA aggrieved by such a denial may appeal to the Dean of the Graduate School, who shall respond with a decision within five (5) business days. This section is not intended and shall not be interpreted to reduce the time off practices that existed prior to the effective date of this Agreement.

Section 4. Any work assignment, including but not limited to, preparation work, training, orientation, required meetings, required conferences and required office hours, shall be included in the total workload for the period of the appointment, including duties that occur outside the academic semester. This shall not include obligations that are required of an individual graduate student as part of his or her academic program of study.

Section 5. In the case of change of GA job assignment, any work completed in the original assignment will count toward the hour limit for the semester.

Section 6. For GAs whose assignment exceeds the number of assigned hours, pursuant to Section 1 above, the University will (1) pay for additional hours already worked on a pro rata basis and reduce the GA's assignment so as not to exceed an average of 20 hours per week, or its pro-rata equivalent, for the remainder of the term of the GA's appointment; or (2) provide for assistance for the completion of ongoing additional work; or (3) with the consent of the GA, increase the workload specified in the appointment letter for a fractional appointment to include the additional hours of work and increase the GA's stipend commensurately; or implement another solution agreed upon by the University and the GA and Union.

Section 7. If a GA contends that the GA's workload exceeds the maximum required by the GA's assignment, the GA shall first discuss this with the GA's faculty supervisor (or department head, as appropriate) in an effort to resolve the matter. A Union representative may participate in this discussion if the GA desires.

Section 8. If the GA's concern is not resolved by the faculty supervisor or department head, the GA may submit a written Workload Review Request to the Dean of the Graduate School, specifying the reasons supporting the GA's claim. Within ten (10) days, the Dean of the Graduate School will respond in writing.

Section 9. If the GA does not accept the decision of the Dean of the Graduate School, the Union may appeal it in the form of a grievance submitted directly to the arbitration step of the grievance and arbitration procedures of this Agreement.

ARTICLE 11

INTELLECTUAL PROPERTY

Section 1. As employees of the University, GAs shall have the same intellectual property rights and obligations as other University employees under applicable statutes and University By Laws and policies with respect to inventions, copyrightable works and other intellectual property created while working as a GA.

Section 2. The Union acknowledges that the University may revise its bylaws and policies respecting intellectual property from time to time. Such revisions shall apply to GAs, as employees, upon implementation by the University.

Section 3. A GA who serves as the instructor of record for a course shall have the same rights of ownership as a member of the faculty would have with respect to course materials that the GA develops for the course while working as a GA. If the GA develops course materials pursuant to a separate written contract with the University, the rights of ownership in such materials shall be determined by the terms of such separate contract.

Section 4. GAs shall be subject to and have rights under the Policy on Alleged Misconduct in Research in the same manner as other University employees.

Section 5. The University shall post its current intellectual property policies on its web site.

ARTICLE 12

TRAVEL

A GA who is required by the GA's supervisor to travel as part of the GA's duties will be reimbursed in accordance with the applicable University travel policy, as such policy may be changed from time to time.

ARTICLE 13

TRAINING

Section 1. The University will provide each individual appointed to a GA position with training that is needed to fulfill the GA's assignment if such training is not otherwise required of graduate students in the individual's department or program.

Section 2. All training pursuant to Section 1 shall be considered part of the GA's required workload.

Section 3. While the content and delivery of training is reserved to the University, the Union Management Committee may make recommendations to the University to address insufficient or overlapping training concerns brought to its attention by GAs.

ARTICLE 14

EMPLOYEE ASSISTANCE PROGRAM

The University will make an Employee Assistance Program available to GAs and eligible family member on the same basis that it is made available to faculty and other employees of the University.

ARTICLE 15

LEAVES OF ABSENCE

Section 1. GAs may apply for leaves of absence pursuant to this article. Each GA shall be entitled to have up to three (3) days of such leave or leaves paid per semester. The remaining portion of leaves taken pursuant to this article shall be unpaid. For GAs on a 20 hour per week appointment, each day of paid leave shall be considered equivalent to four hours work. For GAs on appointments less than 20 hours per week, the daily hour's equivalent for purposes of this article shall be pro-rated.

Section 2. A GA may request in writing a personal leave of absence from his or her GA appointment for reasons including, but not limited to, illness or injury, immigration hearings, pregnancy, to care for a newborn or adopted child, or to care for an immediate family member (spouse/registered domestic partner, son, daughter or parent of the GA or parent of the GA's spouse/registered domestic partner) with a serious health condition or for bereavement with respect to such immediate family members. It is the responsibility of the GA to contact the appropriate faculty member or other supervisor in advance of the leave unless circumstances make this impossible. Up to three days of such leave per semester may be taken by the GA as a matter of right. The University may approve additional paid or unpaid leave for such reasons in its sole discretion. If the leave is for twenty-one (21) or fewer consecutive calendar days, the GA will retain health insurance and tuition remission benefits.

Section 3. Upon written application to the University, a GA may request an extended leave of absence from his or her GA appointment in excess of twenty-one (21) consecutive

calendar days, but in no event longer than the remainder of the current semester. The University may approve such leave in its sole discretion. If it grants such a leave, the University will determine whether the GA's stipend, health insurance and tuition remission will be extended for the portion of the approved leave exceeding twenty-one (21) calendar days.

Section 4. Notwithstanding any contrary provision of this article, a graduate assistant who gives birth will be granted a leave period of six (6) weeks following natural childbirth and eight (8) weeks following childbirth by caesarian section. The GA's stipend, health insurance support and tuition waiver will be maintained during such leave (but not beyond the end of the GA's appointment) and during medically necessary leave prior to delivery that is supported by medical certification. A GA who is the non-birth parent of a newborn or adopted child will be granted a leave of twenty-one (21) consecutive calendar days to care for the child. The GA's stipend, health insurance support and tuition waiver will be maintained during such leave (but not beyond the end of the GA's appointment).

Section 5. A GA will be granted a military leave of absence and jury duty leave in accordance with applicable laws and University policy.

Section 6. No leave of absence will be granted for a GA to engage in other employment.

Section 7. While nothing in the sections above concerning leaves from a GA appointment affects a graduate student's right, if any, to take leave from an academic program, the parties agree that taking leave from an academic program automatically constitutes relinquishment of any GA appointment held by the individual taking such a leave.

ARTICLE 16

HOLIDAYS

Section 1. GAs shall not be required to work on the following holidays when the holidays occur during the term of their appointment, except as provided in Section 3 of this Article.

New Year's Day
Martin Luther King, Jr. Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Section 2. The University recognizes that there are religious holidays that are not currently University holidays. The University shall make every good faith effort to accommodate a GA who wishes to observe recognized religious holidays.

Section 3. Any GA required by the University to work on a holiday may arrange an

alternative day off with their supervisor for a mutually agreeable alternative within the same semester.

ARTICLE 17

HEALTH & SAFETY

Section 1. UConn shall continue to make reasonable provisions for the safety and health of bargaining unit members in pursuit of their work as GAs. Such reasonable provisions shall include providing, maintaining and training in the safe and proper use of equipment and workspace necessary for the work. Appropriate safety equipment shall be furnished to employees as required.

Section 2. The UConn Environmental Health and Safety policy, as effective on October 24, 2014, is incorporated into this Agreement, and updated health and safety policies shall automatically be incorporated herein as replacements for the preceding document.

Section 3. The University agrees to appoint to the Environmental Health and Safety Committee a member of the bargaining unit who shall be designated by the Union. The Union Management Committee established in Article ____, or a subcommittee thereof if the Committee chooses to create one for such purpose, shall include health, safety and security issues in its agenda at the request of either party to the Agreement.

ARTICLE 18

PARKING & TRANSIT

Section 1. The parties recognize that while limited parking resources on campus must be shared by varied constituencies including students, staff and faculty, the University has traditionally provided GAs with more extensive parking privileges than provided to other students as well as access to some employee lots. The University will continue to provide such enhanced parking to GAs, but the specific access arrangements and areas covered by GA parking permits may change as factors such as construction and program changes affect the overall UConn parking plan.

Section 2. The University retains the right to establish and change parking rates, open and close lots, and modify parking conditions and regulations. The University shall provide 30 days advance written notice to the Union of any proposed change in parking rates or regulations affecting GAs.

Section 3. The parking rate charged GAs shall be equivalent to Fifty Per Cent (50%) of the parking rate charged commuter students for a commuter parking permit.

Section 4. The Parking Advisory Committee may meet to discuss and receive comments regarding any proposed changes in parking rates. The University agrees to appoint to the Parking Advisory Committee a member of the bargaining unit who shall be designated by the Union.

Section 5. The Union-Management Committee may consider and make recommendations to the University concerning how parking and transit services can be improved for the benefit of GAs.

ARTICLE 19

HOUSING

Section 1. The Union and the University share the concern that adequate housing be available to graduate students, including GAs.

Section 2. The parties agree that the Union-Management Committee may consider housing issues and make recommendations to the University to address the housing needs of graduate students and GAs. The Committee will meet for this purpose up to twice per semester at the request of either party.

Section 3. The University will provide the committee with available information reasonably necessary for it to carry out its functions with respect to housing, including an annual update concerning the number of spaces of campus housing for which GAs shall be eligible to apply.

ARTICLE 20

TUITION AND FEE WAIVERS

The University shall grant remission or waiver of tuition for Graduate Assistants covered by this Agreement. With respect to fees, the parties agree:

(a) Effective at the start of the 2015-2016 academic year, the University shall waive the Infrastructure Fee for a Graduate Assistant during each semester of such GA's appointment.

(b) Effective at the start of the 2016-2017 academic year, the University shall provide each Graduate Assistant with a credit of One Hundred Dollars (\$100.00) toward the General University Fee during each semester of such GA's appointment.

(c) Effective at the start of the 2017-2018 academic year, the University shall provide each Graduate Assistant with an additional credit of One Hundred Dollars (\$100.00) toward the General University Fee during each semester of such GA's appointment, for a total credit of Two Hundred Dollars (\$200.00) per semester.

ARTICLE 21

STIPENDS, WAGES AND PAYROLL

Section 1. The parties recognize that during the 2014-2015 academic year, stipends were paid to GAs at the Beginner, Masters and PhD Candidate levels at the rates set forth below:

2014-2015 Academic Year			
LEVEL	PERCENT EMPLOYED	BIWEEKLY STIPEND	STIPEND FOR FULL (TWO SEMESTER) ACADEMIC YEAR
Beginners (Pre-Masters)	100.00%	\$ 1,075.16	\$ 20,965.62
	75.00%	\$ 806.37	\$ 15,724.22
	50.00%	\$ 537.58	\$ 10,482.81
Masters or Equivalent	100.00%	\$ 1,131.31	\$ 22,060.55
	75.00%	\$ 848.49	\$ 16,545.56
	50.00%	\$ 565.66	\$ 11,030.37
PhD Candidate	100.00%	\$ 1,257.77	\$ 24,526.52
	75.00%	\$ 943.33	\$ 18,394.94
	50.00%	\$ 628.89	\$ 12,263.36

Section 2. During the 2015-2016 academic year, minimum stipends for GAs at the Beginner, Masters and PhD Candidate levels shall be increased by Three Per Cent (3.0%).

2015-2016 Academic Year			
LEVEL	PERCENT EMPLOYED	BIWEEKLY STIPEND	STIPEND FOR FULL (TWO SEMESTER) ACADEMIC YEAR
Beginners (Pre-Masters)	100.00%	\$ 1,107.41	\$ 21,594.59
	75.00%	\$ 830.56	\$ 16,195.95
	50.00%	\$ 553.71	\$ 10,797.29
Masters or Equivalent	100.00%	\$ 1,165.25	\$ 22,722.37
	75.00%	\$ 873.94	\$ 17,041.93
	50.00%	\$ 582.63	\$ 11,361.28
PhD Candidate	100.00%	\$ 1,295.50	\$ 25,262.32
	75.00%	\$ 971.63	\$ 18,946.79
	50.00%	\$ 647.76	\$ 12,631.26

Section 3. During the 2016-2017 academic year, minimum stipends for GAs at the Beginner, Masters and PhD Candidate levels shall be increased by Three Percent (3.0%).

2016-2017 Academic Year			
LEVEL	PERCENT EMPLOYED	BIWEEKLY STIPEND	STIPEND FOR FULL (TWO SEMESTER) ACADEMIC YEAR
Beginners (Pre-Masters)	100.00%	\$ 1,140.64	\$ 22,242.43
	75.00%	\$ 855.48	\$ 16,681.82
	50.00%	\$ 570.32	\$ 11,121.21
Masters or Equivalent	100.00%	\$ 1,200.21	\$ 23,404.04
	75.00%	\$ 900.16	\$ 17,553.18
	50.00%	\$ 600.11	\$ 11,702.12
PhD Candidate	100.00%	\$ 1,334.37	\$ 26,020.19
	75.00%	\$ 1,000.78	\$ 19,515.19
	50.00%	\$ 667.19	\$ 13,010.20

Section 4. During the 2017-2018 academic year, minimum stipends for GAs at the Beginner, Masters and PhD Candidate levels shall be increased by Three Percent (3.0%).

2017-2018 Academic Year			
LEVEL	PERCENT EMPLOYED	BIWEEKLY STIPEND	STIPEND FOR FULL (TWO SEMESTER) ACADEMIC YEAR
Beginners (Pre-Masters)	100.00%	\$ 1,174.86	\$ 22,909.70
	75.00%	\$ 881.14	\$ 17,182.28
	50.00%	\$ 587.43	\$ 11,454.85
Masters or Equivalent	100.00%	\$ 1,236.21	\$ 24,106.16
	75.00%	\$ 927.17	\$ 18,079.78
	50.00%	\$ 618.11	\$ 12,053.18
PhD Candidate	100.00%	\$ 1,374.40	\$ 26,800.79
	75.00%	\$ 1,030.80	\$ 20,100.65
	50.00%	\$ 687.21	\$ 13,400.50

Section 5. A GA shall be appointed to the highest stipend level for which he or she is eligible based on degree standing at the start of the individual's appointment as a GA. GAs may be appointed to a higher level at the discretion of the University. If a GA becomes eligible for a higher level during an appointment, the higher stipend level shall become effective no later than the start of the next semester. The University may appoint a GA to a combined teaching and research position.

Section 6. Stipend rates established by this Agreement are minimums and do not restrict the University's right to provide a higher stipend, bonus or monetary award to a GA at the University's discretion.

Section 7. The University's current practices with respect to payment of GAs for summer work will be maintained during the summer of 2015, but either party may reopen the issue of summer pay for further bargaining by notifying the other of such reopening in writing on or after October 1, 2015.

ARTICLE 22

HEALTH INSURANCE

Section 1. The parties recognize that during the 2014-2015 academic year, GAs in the bargaining unit, together with substantially all other graduate students at the University, were required to have health insurance and were offered the opportunity to participate in a student health insurance plan provided by the University. The University subsidized the cost of such health insurance for GAs by paying a portion of the full cost of such health insurance so that the resulting cost borne by the GA for such insurance coverage was:

(a) for an individual GA, \$200 annually, \$75 for fall semester only, or \$125 for spring semester only;

(b) for one dependent of the GA, in addition to the charge for the GA, \$1,240 annually, \$465 for fall semester only, or \$775 for spring semester only;

(c) for family (two or more dependents) of the GA, in addition to the charge for the GA, \$1,622 annually, \$608 for fall semester only, or \$1,014 for spring semester only.

Section 2. Contingent upon legislative authorization and the agreement of the Comptroller and the Office of Policy and Management (OPM), commencing with the 2015-2016 academic year the University shall provide GAs with health insurance under the Connecticut Partnership Plan without the Health Enhancement Program (HEP).

For such coverage for an individual GA, the GA will pay \$200; for such coverage for the GA and one dependent, the GA will pay \$1,440; and for such coverage for the GA and family, the GA will pay \$1,822.

Section 3. In the event the Comptroller or OPM does not authorize the University to provide health insurance to GAs under the Connecticut Partnership Plan, commencing with the 2015-2016 academic year the University will offer GAs the opportunity to participate in a student health insurance plan provided by the University through a third-party insurer or through self-insurance with coverage and benefits reasonably equivalent to those of the Connecticut Partnership Plan without HEP.

For such coverage for an individual GA, the GA will pay \$200 and the University will pay the remainder of the cost of coverage; for such coverage for the GA and one dependent,

the GA will pay \$1,440 and the University will pay the remainder of the cost of coverage; and for such coverage for the GA and family, the GA will pay \$1,822 and the University will pay the remainder of the cost of coverage.

Section 4. The Union-Management Committee may consider issues concerning health insurance and its administration and make such recommendations as the Committee determines to be appropriate.

Section 5. The parties agree: (1) that the provision of health insurance to GAs by means of the Partnership Plan pursuant to this article does not constitute providing GAs with state employee pension or healthcare benefits within the meaning of paragraph 3(c) of the neutrality agreement executed by the UAW and UConn on April 9, 2014 and April 11, 2014 respectively; and (2) that nothing in this Agreement makes the bargaining unit of GAs part of the SEBAC Coalition nor does it entitle them to state employee pension or healthcare benefits.

ARTICLE 23

CHILD CARE

During each fiscal year the University shall make a fund available to reimburse bargaining unit members for child care expenses at licensed child care facilities or bona fide institutional afterschool programs.

For 2015-2016, the fund will be \$40,000.

For 2016-2017, the fund will be \$65,000.

For subsequent years, the fund will be at least \$80,000.

Reimbursement shall be made in accordance with procedures, policies and requirements, as established by the Union, which shall conform to any relevant law.

ARTICLE 24

DISCIPLINE AND DISMISSAL

Section 1. The University will not discipline a GA during the term of the GA's current appointment without just cause. As used in this article "discipline" means a written warning, a suspension without pay or a dismissal from a GA appointment based upon job-related misconduct or job performance of an individual who remains enrolled as a graduate student.

Section 2. This article does not apply to determinations by the University to dismiss a graduate student from the University for academic reasons or for non-job-related disciplinary reasons. The Union acknowledges that an individual who ceases to be a graduate student for any reason cannot continue to serve as a GA. An arbitrator shall therefore have no power to order

reinstatement to a GA position of an individual who is no longer a graduate student at UConn.

Section 3. Notice of Discipline: The University will promptly notify the GA and the Union in writing of the issuance of discipline, which then may be challenged through the grievance and arbitration procedures of Article 25.

Section 4. Dismissals and Unpaid Suspensions:

A. Prior to the dismissal or unpaid suspension of a GA, the GA shall be provided with a written statement of the reasons for which dismissal or unpaid suspension is being considered. The notice shall include the nature of the alleged violation, the level of discipline contemplated, the right to a hearing and the right to Union representation. Upon request, the GA and Union shall be entitled to a copy of any investigatory report that has been prepared, although confidential information and witness statements may be redacted or withheld.

B. Within seven (7) calendar days of receiving the written statement, the GA may request a hearing before the GA's department head (or dean for non-departmentalized schools), which a Union representative may attend if the GA so desires. This hearing shall be held within seven (7) calendar days of the GA's request. The GA and the Union representative shall be provided with an opportunity to respond to the reasons for dismissal or unpaid suspension.

C. Within seven (7) calendar days of the hearing, the department head shall decide whether to dismiss or suspend the GA or not and notify the GA and the Union accordingly. If the decision is to dismiss, the dismissal will take effect immediately. If dismissal is not ordered, the department head may impose a lesser form of discipline or impose no discipline.

D. A decision of the department head to dismiss a GA may within fifteen (15) calendar days be grieved directly to Step 2 of the grievance and arbitration process under Article 25 of this agreement. If the visa status of an international student is threatened by the dismissal of that student as a GA, the University and the Union shall cooperate to expedite the grievance and arbitration process so that, to the extent practicable, the grievance and arbitration process will be completed before the GA loses his or her visa status.

Section 5. The University may place a GA on paid investigatory leave without prior notice in order to investigate allegations of misconduct or dereliction of duty that, in the judgment of the University, warrant relieving the GA from work duties or removing the GA from the premises. The Union will be promptly notified of any such investigatory leave. At the conclusion of the investigation, the University shall notify the GA and the Union of the results of the investigation and either initiate the discipline process or put the GA back to work if the term of the GA's appointment has not ended. If the term of the GA's appointment ends during a paid investigatory leave, the paid leave will terminate as of the end of that term.

ARTICLE 25

GRIEVANCE AND ARBITRATION

Section 1. A grievance is a claim by an individual GA, a group of GAs or the Union that the University has violated a specific term of this Agreement. Grievances shall be processed according to this Article.

Section 2. The parties support the resolution of problems at the lowest possible level and, therefore, encourage informal discussions to resolve problems without the grievance procedure. The GA, and a Union representative if the GA so desires, shall discuss the grievance with the GA's immediate supervisor at the time of the occurrence or at the time the employee learns of the occurrence in an effort to resolve the grievance. The University and the Union may agree to bypass this step or to have the informal discussion with another supervisor. Requests to waive the informal step shall not be unreasonably denied. Resolutions from pre-grievance discussions, although final, shall not be precedential.

Section 3. **Step 1.** If the grievance is not resolved through such discussion, it must be reduced to writing, dated, and presented to the department head (or dean for non-departmentalized schools) within thirty (30) calendar days after the event or after the grievant becomes aware of the event giving rise to the grievance. The written grievance must describe the claimed contract violation and identify the provision of the Agreement allegedly violated. If requested, the department head will meet with the grievant and the union representative. The department head shall provide a written response to the grievance within fifteen (15) calendar days following receipt of the grievance.

Section 4. **Step 2.** If the grievance is not resolved at Step 1, the grievant may within ten (10) days appeal to the Dean of the Graduate School. The grievant, representatives of the Union and the Dean of the Graduate School or designee and University's representatives will meet within ten (10) calendar days of receipt of such appeal in an attempt to resolve the grievance. The department head may attend the meeting. If the matter is not resolved, the Dean of the Graduate School or designee will provide a written decision on the grievance within fifteen (15) calendar days of the meeting.

Section 5. **Step 3. Arbitration:**

1. If the grievance is not resolved at Step 2, the Union may, within twenty-one (21) calendar days from receipt of the written step 2 decision, appeal the decision to arbitration. The request for arbitration shall be filed simultaneously with the University and the American Arbitration Association.

2. The labor arbitration rules of the American Arbitration Association (AAA) shall apply to the arbitration. The parties may select an arbitrator by mutual agreement or pursuant to the AAA rules. Subsequent to the effective date of the contract, the parties will endeavor to mutually agree on a panel of arbitrators. The arbitrator shall issue a decision within thirty (30) days of the hearing. The parties agree that any decision issued within sixty (60) days of the hearing shall be valid. By mutual written agreement, the parties may extend this time limit.

3. The expense of such arbitration (cost of meeting room, if any, arbitrator's fee and expenses, and transcript cost, if any) shall be split equally between the parties.

4. The parties shall make reasonable efforts to schedule arbitration hearings promptly and, where feasible, within thirty (30) calendar days of the appeal to arbitration.

Section 6. In rendering a decision, the arbitrator shall be governed and limited by the provisions of this Agreement. The arbitrator shall have no authority to add to, subtract from, or modify this Agreement, or to decide matters outside the issue submitted to arbitration. In disciplinary cases, the remedy available to the arbitrator shall not exceed making the GA whole for the remainder of the GA's appointment period. The decision of the arbitrator shall be final and binding subject to statutory provisions.

Section 7. Failure at any step of this grievance procedure to appeal a decision within the specified time limits shall be considered acceptance by the GA and/or Union of the decision rendered and such decision shall be binding upon the GA and/or Union. Failure of the University to respond to any grievance during the time limits specified at any steps shall allow the member and/or Union to proceed to the next step. By mutual written agreement, the parties may extend the time limits in this Article.

Section 8. Only the Union may appeal denial of a grievance to arbitration.

Section 9. While final with respect to the matter itself, resolutions pursuant to Step 1 or Step 2 shall not constitute a precedent for any purpose.

ARTICLE 26

SUBCONTRACTING

The parties recognize that teaching, research and other activities performed by GAs have also been performed and will continue to be performed by others within the University, including faculty members (including adjuncts), visitors, undergraduates, post-doctoral individuals, vendors, laboratory technicians, research assistants, research associates and other employees. The University shall not, however, replace GAs with outside contractors or personnel from outside temporary agencies without bargaining with the Union over the decision to do so and any effects of such replacement.

ARTICLE 27

UNION RIGHTS

Section 1. To the extent permitted by the Family Educational Rights and Privacy Act (FERPA), the University shall provide the Union electronically with data about the bargaining unit as provided in this article. At the beginning of each semester, the University shall provide the Union electronically with the roster of the bargaining unit, including for each member: full name, employee identification number, appointment start date, appointment end date, job title,

appointment type (teaching, research or teaching/research combination), percent appointment level, pay step (beginner, masters candidate, PhD candidate), bi-weekly stipend, work department or hiring unit, work location and department head. The University shall also update the roster at least monthly.

Section 2. The University will include in its template for GA offer letters a provision by which the GA consents to the disclosure of such information to the Union. Before implementing such language the University will provide it to the Union for review and comment. The Union agrees that it will not re-disclose in violation of FERPA any personally identifiable information from education records that it receives pursuant to this provision.

Section 3. The Union may use the University mail service under the current policy for registered organizations. Pursuant to the policy, the Union understands that it shall pay the regular rate, that its materials may not interfere with other official University obligations, and that such materials shall be accompanied by a statement that they are not official publications of the University.

Section 4. Following ratification and approval by the parties, the University shall publish the collective bargaining agreement on a designated website.

Section 5. The Union may arrange for the use of University conference rooms and meeting space on the same basis as other unions recognized by the University.

Section 6. The University shall notify newly appointed GAs of their membership in the bargaining unit and shall supply them with the link for the Union's website where they may access membership information and forms.

Section 7. The Union shall furnish the University with a written list of the Union's officers and other authorized representatives and shall update the list when changes occur. The University shall deal with such individuals as representatives of the Union for purposes of investigating, presenting and settling grievances in accordance with the provisions of the collective bargaining agreement. Upon securing permission (such permission shall not be unreasonably delayed or denied) from a supervisor, the representative shall be permitted reasonable time to investigate, present and process grievances on University property during regular working hours. Such activities are not to disrupt University operations.

Section 8. A reasonable number of Union representatives shall be permitted access to areas of the campus that are open to the general public for the purpose of communicating and meeting with GAs, provided that the Union does not disrupt the operations of the University.

Section 9. The Union shall have the same right of access to post information on departmental bulletin boards as other external groups and individuals.

Section 10. If the University conducts an orientation of GAs at the University, School, College or department level, it shall inform the Union of the schedule for the orientation and permit

the Union to use the meeting space for thirty minutes after the conclusion of such orientation to meet with GAs who wish to do so.

Section 11. The University shall allow three (3) GAs to serve as Release-Time Union Representatives. Two of the Release-Time Union Representatives shall receive stipends at the 20-hour per week level and one shall receive a 10-hour stipend (or 10-hour addition to the individual's regular GA appointment level up to a 20-hour per week maximum) to devote such time to Union work. GAs in their first year of graduate study shall not be eligible for designation as a Release-Time Union Representative. The Union will designate the individuals selected at least sixty (60) days in advance of the first day of instruction during each semester. The release time and support for a Release-Time Union Representative will end at any time that the individual's appointment as a GA ceases. In the event a vacancy in a Release-Time Union Representative position occurs during a semester, the University and Union will consult concerning arrangements for succession.

ARTICLE 28

UNION-MANAGEMENT COMMITTEE

The parties agree to establish a joint Union-Management Committee composed of up to eight (8) members. Up to four (4) members shall be designated by the University and up to four (4) members designated by the Union. The Committee shall meet at least once per academic term (Fall and Spring) to discuss matters affecting GAs covered by this Agreement and other related issues that are not the subject of an active grievance. Agendas shall be mutually agreed to at least seven (7) business days prior to the meeting. The Committee may also convene at other times upon mutual agreement. No matter shall be submitted to the Committee once it has been made subject to the grievance or arbitration provision of this Agreement.

ARTICLE 29

CONTINUATION OF SERVICES

The Union and the University agree that any differences between the parties on matters relating to the Agreement shall be settled by the means provided in the Agreement. To that end, the University agrees that it will not lock out GAs and the Union agrees that it will neither call nor condone any strike, work stoppage or slowdown during the term of this agreement. The Union agrees that it will act immediately to disavow, prevent and bring about an immediate end to any activity in violation of this Article.

ARTICLE 30

SEVERABILITY

If any provision of this Agreement is found to be contrary to law by a court of competent jurisdiction, such provision shall be of no force or effect; but the remainder of this Agreement shall continue in full force and effect. The parties shall bargain in good faith with respect to any provision found to be in contravention of the law.

ARTICLE 31

DURATION

This Agreement shall take effect upon the later of July 1, 2015 or legislative approval, and shall expire on June 30, 2018.

Negotiations for the successor to this Agreement shall commence according to the timetable established by Section 5-276a(a) of the General Statutes. The request to commence negotiations shall be in writing, sent by the requesting party to the other party via certified mail.

ARTICLE 32

LEGISLATIVE ACTION

This Agreement shall require legislative approval in accordance with Section 5-278 of the General Statutes in order to become effective. For future successor agreements, the cost items contained in such agreements and any provisions that are in conflict with any statute or any regulation of any state agency shall become effective in accordance with the procedures in Section 5-278. If the Legislature rejects this Agreement, or any such successor agreement, the parties shall return to the bargaining table.

ARTICLE 33

SIGN-OFF

For the Graduate Employee Union

For the Board of Trustees of
The University of Connecticut

Julie Kushner
Region 9a Director, UAW

Susan Herbst
President

Ken Lang, International Representative,
UAW

Josh Gilbert, International Representative,
UAW

GEU NEGOTIATING TEAM

Ameya Akkalkotkar

Cera Fisher

Casey Green

Ian Gutierrez

Ben Lai

Gayatri Phadke

UCONN NEGOTIATING TEAM

Dr. Pamir Alpay
Dr. Sandra Chafouleas
Dr. Amy Donahue
Michael J. Eagen
Jocelyn Miner
Dr. John Morris
George O'Brien
Dr. Jeffrey Seemann
Jason Stanevich

APPENDIX A

DESCRIPTION OF DUTIES FORM

DESCRIPTION OF DUTIES

Term: _____

Supervisor: _____

Course #: _____

Location: _____

GA: _____

Course Title: _____

Day/Time: _____

The job duties designated below are required of the Graduate Assistant.

Please check the appropriate items and describe, as applicable.

_____ Attend lectures

_____ Present _____ lectures

_____ Instruction of _____ sections/labs per week

_____ Preparation

_____ Hold _____ office hours per week

_____ Supervision/GA(s) meeting _____ hours per week

_____ Read and evaluation _____ papers per student

_____ Proctor _____ examinations

_____ Prepare drafts of narrative of evaluations and make grad recommendations as appropriate for students in TA section/lab

_____ Perform individual and/or group tutoring

_____ Class/faculty visits

_____ Maintain/submit student records (e.g. grades)

_____ Perform other tasks as assigned. Please list _____

Research Assistant Job Description

RA Name:	
Appointment Period:	
Grant Name & Number:	
Principal Investigator/Supervisor:	

Check all duties that apply to this individual:

- | | |
|---|---|
| <input type="checkbox"/> Prepare materials for Human Subjects Review Board
<input type="checkbox"/> Recruit subjects
<input type="checkbox"/> Interview subjects

<input type="checkbox"/> Prepare materials for Animal Care Review Committee
<input type="checkbox"/> Feed and care for subject animals
<input type="checkbox"/> Clean animal cages
<input type="checkbox"/> Monitor subject animals
<input type="checkbox"/> Administer drugs, hormones or other chemicals
<input type="checkbox"/> Perform surgical procedures

<input type="checkbox"/> Prepare materials for lab sessions
<input type="checkbox"/> Run experiments
<input type="checkbox"/> Prepare chemical or other solutions
<input type="checkbox"/> Request or acquire necessary equipment
<input type="checkbox"/> Develop and construct lab equipment
<input type="checkbox"/> Clean laboratory and equipment
<input type="checkbox"/> Wash glassware
<input type="checkbox"/> Order equipment
<input type="checkbox"/> Oversee budget | <input type="checkbox"/> Analyze data
<input type="checkbox"/> Write articles, reports and/or presentations

<input type="checkbox"/> Review literature
<input type="checkbox"/> Prepare grants materials for submission
<input type="checkbox"/> Prepare materials for experimental use
<input type="checkbox"/> Keep accurate records of experimental data
<input type="checkbox"/> Provide ready access to all experimental data for P.I. and/or supervisor
<input type="checkbox"/> Manage and respond to experiment-related e-mail
<input type="checkbox"/> Prepare website materials
<input type="checkbox"/> Maintain (update) webpage materials

<input type="checkbox"/> Supervise undergraduate students
<input type="checkbox"/> Maintain records on individual students' assignment completion
<input type="checkbox"/> Maintain student attendance records
<input type="checkbox"/> Act as liaison/mediator between undergraduate student and professor

<input type="checkbox"/> Attend lab meetings
<input type="checkbox"/> Attend area seminars
<input type="checkbox"/> Attend other courses as required |
|---|---|

Other specific duties:

RA Signature: _____ Date: _____

Supervisor Signature: _____ Date: _____

Teaching Assistant Job Description

TA Name:	
Appointment Period:	
Course Name:	
Faculty Supervisor:	

Check all duties that apply to this individual:

- | | |
|---|---|
| <input type="checkbox"/> Attend lectures [all__, most__]
<input type="checkbox"/> Conduct quiz section meetings
<input type="checkbox"/> Facilitate discussions
<input type="checkbox"/> Prepare lectures for quiz sections
<input type="checkbox"/> Prepare review materials for quiz sections
<input type="checkbox"/> Hold extra review sessions for exams
<input type="checkbox"/> Obtain room for review sessions
<input type="checkbox"/> Request or acquire necessary equipment
<input type="checkbox"/> Hold regular office hours _____ hrs/wk
<input type="checkbox"/> Tutor students
<input type="checkbox"/> Manage and respond to course-related e-mail
<input type="checkbox"/> Prepare webpage for course materials
<input type="checkbox"/> Maintain (update) webpage for course materials
<input type="checkbox"/> Develop and maintain electronic bulletin boards, discussion sites, etc.

<input type="checkbox"/> Prepare test questions
<input type="checkbox"/> Proctor exams
<input type="checkbox"/> Score exams
<input type="checkbox"/> Maintain grading records
<input type="checkbox"/> Prepare course attendance records | <input type="checkbox"/> Maintain course attendance records
<input type="checkbox"/> Maintain records on individual students' assignment completion
<input type="checkbox"/> Calculate quarter grades
<input type="checkbox"/> Request student assessments for course
<input type="checkbox"/> Coordinate with OEA for course evaluations

<input type="checkbox"/> Prepare lecture materials
<input type="checkbox"/> Present lectures
<input type="checkbox"/> Prepare overheads
<input type="checkbox"/> Prepare handout materials
<input type="checkbox"/> Review literature
<input type="checkbox"/> Place course materials on library reserve

<input type="checkbox"/> Attend instructor/TA meetings
<input type="checkbox"/> Act as liaison/mediator between student and professor

<input type="checkbox"/> Review textbooks for use
<input type="checkbox"/> Order textbooks |
|---|---|

Other specific duties:

TA Signature: _____ Date: _____
 Supervisor Signature: _____ Date: _____

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
February 25, 2015

TRUSTEES PRESENT: Louise Bailey, Andy Bessette, Charles Bunnell (*via Telephone*), Shari Cantor, Richard Carbray, Sandy Cloud (*via Telephone*), Michael Daniels, Andrea Dennis-LaVigne, Marilda Gandara, Mary-Ann Handley, Juanita James, Jeremy Jelliffe, Thomas Kruger, Rebecca Lobo, Larry McHugh, Denis Nayden (*via Telephone*), and Thomas Ritter

STAFF PRESENT: Andrew Agwanobi, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Robert Corbett, Amy Donahue, Neil Eskin, Joanne Fazio, Nathan Fuerst, Jeff Geoghegan, Michael Gilbert, Brian Gore, Susan Herbst, Kent Holsinger, Michael Jednak, Scott Jordan, Tysen Kendig, Mike Kirk, Matthew Larson, Susan Locke, Wayne Locust, Brandon Murray, Richard Orr, Bhupen Patel, Sally Reis, Stephanie Reitz, Rachel Rubin, Jeff Seeman, Larry Silbart, Eli Strassfeld, Jack Sullivan, Michael Sullivan, Tom Trutter, William Wendt, Beverly Wood and Reka Wrynn

UNIVERSITY SENATE MEMBERS PRESENT:
Rajeev Bansal, Cheryl Beck, Elizabeth Jockusch, Shayla Nunnally Angela Rola, and Zeki Simsek

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:15 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger directed the committee to a revised agenda which was revised to add a license agreement with Global Spectrum for the use of the XL Center for basketball and hockey games. And to remove the project budget for the Avery Point Renovation – buildings 21 and 23 demolition. On a motion by Trustee Cantor and seconded by Trustee Bessette the revised agenda was accepted as circulated.

Mr. Kruger directed the committee to agenda ***Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of January 20, 2015.*** On a motion by Trustee Bessette and seconded by Trustee Cantor the minutes were approved as circulated.

Matthew Larson, Director of Procurement, presented a thorough overview of agenda ***Item #2, Contracts and Agreements for Approval – Revised.*** On a motion by Trustee Carbray and seconded by Trustee Cantor the item was recommended to the full Board for approval. Trustee Bessette abstained from the vote.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, introduced and reviewed agenda ***Item #3, Fiscal Year 2016 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses.*** Mr. Jordan specified that the fee recommendation effects only self-supporting programs at the Business School, Law School and Early College Credit Program. The intent is not to increase tuition and fees for undergraduate or graduate students other than these self-supporting programs, given that the University is still following the 2011 approved tuition and room and board plan that is still being followed. Deans and Department Heads from each of the three areas affected by the fee increase provided the Board with detailed descriptions of how the increases will assist the programs.

Robert Corbett, Director of Regional Projects and Development, provided a brief explanation of agenda ***Item # 4, Project Budget for Academic and Research Facilities - Main Accumulation Area for Regulated Wastes (Design: \$5,255,580).*** Brian Gore, Director of Project and Program Management, gave an update on agenda ***Item #6, Project Budget for the Werth Family UConn Basketball Champions Center (aka Basketball Development Facility) (Revised Final: \$35,550,000).***

Thomas Trutter, UConn Health Associate Vice President of Campus Planning, Design and Construction, provided the committee with information on agenda ***Item #7, Project Budget for UCH Medical School Academic Building Addition and Renovations (Final: \$35,550,000).***

On a motion by Trustee Bessette and seconded by Trustee Cantor the committee recommended agenda Items #3, #4, #6 and #7 to the full Board for approval.

Mr. Kruger directed the committee to the information items on the agenda. There being no additional agenda items the meeting was adjourned at 9:41 a.m. on a motion by Trustee Bessette and seconded by Trustee Cantor.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
March 25, 2015

TRUSTEES PRESENT: Louise Bailey, Andy Bessette, Charles Bunnell, Shari Cantor, Richard Carbray, Michael Daniels, Andrea Dennis-LaVigne, Marilda Gandara, Juanita James, Jeremy Jelliffe, Thomas Kruger, Larry McHugh, Denis Nayden (*via Telephone*), and Thomas Ritter

STAFF PRESENT: Andrew Agwanobi, Carolle Andrew, John Biancamano, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Robert Corbett, Laura Cruickshank, Amy Donahue, Joanne Fazio, Kim Fearney, David Galloway, Jeff Geoghegan, Michael Gilbert, Brian Gore, Susan Herbst, Michael Jednak, Scott Jordan, Tysen Kendig, Mike Kirk, Matthew Larson, Susan Locke, Wayne Locust, Michael Mundrane, Brandon Murray, Richard Orr, Bhupen Patel, Sally Reis, Stephanie Reitz, Rachel Rubin, Jeff Seeman, Larry Silbart, Eli Strassfeld, Jack Sullivan, Michael Sullivan, Tom Trutter, Scott Wetstone, Beverly Wood and Reka Wrynn

UNIVERSITY SENATE MEMBERS PRESENT:
Rajeev Bansal, Cheryl Beck, Larry Gramling, Shayla Nunnally and Zeki Simsek

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:15 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

Matthew Larson, Director of Procurement, presented a thorough overview of agenda ***Item #2, Contracts and Agreements for Approval***. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, explained the project budgets for Storrs based programs presented for approval which included: ***Arjona and Monteith – Monteith Renovations (Design: \$25,000,000); North Eagleville Road Area Infrastructure Repair/Replacement and Upgrade – Phase II (Final: \$5,250,000); Putnam Refectory Renovation (Final: \$232,000,000); Residential Life Facilities – Hilltop Apartment Complex Roof Repairs – Phase I (Final: \$2,378,000); Residential Life Facilities – Brock, Russell and Batterson Halls Shower Improvements (Final: \$630,000); UCONN 2000 Code Remediation – New Central Warehouse (Final: \$650,000); Residential Life Facilities – South Campus Envelope Repairs (Revised***

Final: \$18,500,000); and ***UCONN 2000 Code Remediation – Mansfield Apartments (Revised Final: \$2,276,000)***. On a motion by Trustee Cantor and seconded by Trustee Bessette the committee recommended the Project Budgets to the full Board for approval.

Mr. Kruger directed the committee to the information items on the agenda. There being no additional agenda items the meeting was adjourned at 9:25 a.m. on a motion by Trustee Bessette and seconded by Trustee Cantor.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B

CONTRACTS AND AGREEMENTS
FOR INFORMATION
April 29, 2015

PROCUREMENT - NEW										
JANITORIAL SERVICES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Sun Services LLC	LM011014-2	\$885,300	01/01/15-5/31/15	Operating Funds - Auxiliary Services	Michael Jednak, AVP Facilities Operations and Building Services	Janitorial services for all University campuses other than the Storrs, Depot, and UCH campuses. Zero options to extend.			
RESEARCH EQUIPMENT										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Siemens Medical Solutions USA, Inc.	UC-14-CGP021214	\$2,399,230	06/26/14-04/09/16	Bond Funds	Matthew Larson, Director of Procurement Services	Functional Magnetic Resonance Imaging for new Brain Imaging Center on the University's Storrs Campus. This is the result of a publicly advertised RFP. Term may extend beyond specified date if warranty period is extended as a result of equipment failure to perform as required. Zero options to extend. (Final Project Budget approved by BOT on 6/25/14 - \$7,893,000)			
RESEARCH EQUIPMENT MAINTENANCE										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Siemens Medical Solutions USA, Inc.	UC-15-CGP021214	\$782,635	04/10/16-04/09/21	Operating Funds	Matthew Larson, Director of Procurement Services	Maintenance of Functional Magnetic Resonance Imaging for new Brain Imaging Center on the University's Storrs Campus. Term may fluctuate depending on the date of acceptance of the equipment and the expiration of the warranty period included in the separate equipment purchase contract. This is the result of a publicly advertised RFP. Zero options to extend.			
PROCUREMENT - AMENDMENTS										
CONSTRUCTION SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/15	Expenditures FY 14	Expenditures FY 13	Purpose
1	Skanska USA Building Inc.	901729	\$25,574,605 [Contract Value Previously \$138,730; Total New Contract Value \$25,713,335]	04/03/14-02/28/17	UCONN 2000	Thomas P. Trutter, AVP of Campus Planning, Design and Construction, UCH	\$43,160	\$43,160	\$0	Construction of Medical School Academic Building Renovation project at UCH. Amend to provide guaranteed maximum price of approximately \$25,574,605 for the construction phase of the project and to effect correlating increase to the contract value. (Final Project Budget approved by BOT on 2/25/15 - \$35,550,000)
LEASES FOR INFORMATION										
UNIVERSITY AS LANDLORD: <i>Technology Incubator Program Leases authorized pursuant to "Delegation of Authority to Approve Leases for the Technology Incubation Program" dated June 28, 2012</i>										
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose				
1	ABB, Inc.	\$20,230	02/01/15-01/31/16	Operating Fund - General	Jeff Seeman, Vice President for Research	New TIP Lease. Lease of space in the Cell & Genome Sciences Building, 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center Campus in Farmington CT. For the one (1) year term the University will provide approximately 595 sq. ft. of space within rooms R1705 and R1712. The total rental amount for the entire lease term is \$20,229.96				



Board of Trustees

APPOINTMENT TO CANVASSING BOARD

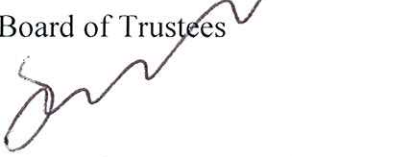
for the

ELECTION OF ALUMNI TRUSTEE

TO BE DISTRIBUTED UNDER SEPARATE COVER

Wednesday, April 29, 2015

April 29, 2015

TO: Members of the Board of Trustees
FROM: Susan Herbst 
RE: Appointment to Canvassing Board – Election of Alumni Trustee

RECOMMENDATION:

That Rachel S. Rubin, Executive Secretary to the Board of Trustees, be designated the Board of Trustees staff representative to the Canvassing Board for the Election of the Alumni Trustee.

BACKGROUND:

Under the provisions of the State Statutes (Sec. 10a-103), the Board of Trustees appoints one member of a three-person board which supervises the election of alumni Trustees. It has been the custom of the Board to name a Trustee staff representative to this post.

The following is an excerpt from the State Statute:

Sec. 10a-103 (Formerly Sec. 10-118) Appointment of Trustees.

“Such election shall be conducted by mail prior to September first under the supervision of a canvassing board consisting of three members, one appointed by the board of trustees, one by the board of directors of the alumni association of the university and one by the president of the university.”



Board of Trustees

**PROPOSED HONORARY DEGREE RECIPIENT
FOR
MAY 2015 COMMENCEMENT
TO BE DISTRIBUTED UNDER SEPARATE COVER**

Wednesday, April 29, 2015

May 2015 Commencement

Proposed Honorary Degree Recipient

Name	Honorary Degree	Ceremony
Kerry Kennedy	Doctor of Humane Letters, Humanities	Graduate School Ceremony Saturday, May 9, 2015

Kerry Kennedy is the president of Robert F. Kennedy Human Rights. For more than thirty years, Ms. Kennedy has devoted herself to the pursuit of equal justice, the promotion and protection of basic rights, and the preservation of the rule of law. She has worked on a range of issues, including children's rights, child labor, disappearances, indigenous land rights, judicial independence, freedom of expression, ethnic violence, impunity, and the environment. She has concentrated specifically on women's rights, exposing injustices and educating audiences about women's issues, particularly honor killings, sexual slavery, domestic violence, workplace discrimination, sexual assault, abuse of prisoners, and more. She has worked in over 60 countries and led hundreds of human rights delegations. At a time of diminished idealism and growing cynicism about public service, her life and lectures are testaments to the commitment to the basic values of human rights.

Under Ms. Kennedy's leadership, RFK Human Rights has grown from a memorial organization dedicated to Robert F. Kennedy into a diverse human rights organization that seeks to actively continue his unfinished work. Today, Robert F. Kennedy Human Rights partners with the bravest people on Earth to create lasting change. RFK Human Rights supports defenders in the field with advocacy, litigation, and training; brings human rights to students in the classroom with its innovative Speak Truth To Power curriculum; and engages the financial community about sustainable investing and the intersection between business and human rights.

Ms. Kennedy is Chair of the Amnesty International USA Leadership Council. Nominated by President Bush and confirmed by the Senate, she serves on the board of directors of the United States Institute of Peace, Human Rights First, HealthEVIllages and Inter- Press Service (Rome, Italy). She is a patron of the Bloody Sunday Trust (Northern Ireland) and serves on the Editorial Board of Advisors of the Buffalo Human Rights Law Review. She is on the Advisory Committee for the association of American Indian Affairs; International Campaign for Tibet, the Global Youth Action Network, and several other organizations. She also serves on the Advisory Board of the Albert Schweitzer Institute.

Ms. Kennedy received high honors from President Lech Walesa of Poland for aiding the Solidarity movement. She has received awards from the Southern Christian Leadership Conference, the American Jewish Congress of the Metropolitan Region, the Emerald Isle Immigration Society, and the New York City Council. She was named Woman of the Year 2001 by Save the Children, received the Crossing Borders Award from the Feminist press in 2003, Humanitarian of the Year Award from the South Asian Media Awards Foundation, the Prima Donna Award from Montalcino Vineyards, and other awards and honors in 2008 she received the Eleanor Roosevelt Medal of Honor and the Thomas More Award from Boston College Law School. World Vision and International AIDS Trust gave her the 2009 Human Rights Award. She has also received awards from NYSUT, the American Federation of Teachers, the National Teachers Association. In 2013 she received the Eleanor Roosevelt Human Rights Award from the Unitarian Universalists.

Ms. Kennedy has served in numerous political campaigns, and she is a member of the Massachusetts and District of Columbia bars. She is a graduate of Brown University and Boston College Law School, and she holds honorary doctorates of law from Le Moyne College and University of San Francisco Law School, and honorary doctorates of Human Letters from Bay Path College and the Albany College of Pharmacy.