

12-10-2014

2014 December 10 -- Agenda and attachments

Follow this and additional works at: https://opencommons.uconn.edu/bot_agendas

Recommended Citation

"2014 December 10 -- Agenda and attachments" (2014). *Agendas and Minutes*. 1279.
https://opencommons.uconn.edu/bot_agendas/1279



Board of



TRUSTEES

VOL. 147 DECEMBER 10, 2014

**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

December 10, 2014

BOARD OF TRUSTEES SCHEDULE OF THE DAY

8:15 a.m.	Committee on Compensation Special Meeting (Portico)
9:00 a.m.	Academic Affairs Committee Meeting
9:15 a.m.	Financial Affairs Committee Meeting
9:45 a.m.	Board of Trustees Meeting
12:00 p.m.	Student Life Committee Meeting (Ground Level Portico)

BOARD MEETING AGENDA

Call to order at **9:45 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Minutes of the meeting of October 29, 2014
 - (c) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based Programs and UConn Health (Attachment 1)
 - (2) Sabbatical Leave Recommendations (Attachment 2)
 - (3) Doctor of Philosophy in Systems Genomics (Attachment 3)
 - (4) LL.M. (Master of Law) in Energy and Environmental Law (Attachment 4)
 - (5) LL.M. (Master of Law) in Human Rights and Social Justice (Attachment 5)
 - (6) Graduate Certificate in Intraoperative Neuromonitoring (Attachment 6)
 - (7) Modifications to the Doctor of Philosophy and Master of Science Programs in Materials Science (Attachment 7)
 - (8) Naming Recommendation for the Dr. Lois Ann Reynolds '74 Conference Room (Attachment 8)
 - (9) Naming Recommendation for The Gerhard and Irmgard Stoll Laboratory (Attachment 9)
 - (10) Naming Recommendations for the Werth Family UConn Basketball Champions Center (Attachment 10)
 - (d) Election of Board Secretary

3. President's Report
4. Academic Affairs Committee Report
 - (a) Report on Committee activities
5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Residential Life Facilities Project Definition (Attachment 11)
 - (2) Acquisition of Nathan Hale Inn (Attachment 12)
 - (3) Proposed School of Medicine and School of Dental Medicine Tuition and Fees Rates for Fiscal Years 2016 and 2017 (Attachment 13)

Project Budgets (Storrs-based):

 - (4) Project Budget (Design) for Putnam Refectory Renovation (Attachment 14)
 - (5) Project Budget (Final) for McMahon Kitchen Alterations (Attachment 15)
 - (6) Project Budget (Final) for Residential Life Facilities – Husky Village Housing Complex Heating Upgrades (Attachment 16)
 - (7) Project Budget (Final) for Residential Life Facilities – Whitney Hall Renovations (Attachment 17)
 - (8) Project Budget (Final) for Technology Quadrant Phase III – Innovation Partnership Building (Attachment 18)
 - (9) Project Budget (Final) for Whetten Renovations and Code Remediation (Attachment 19)

Project Budgets (UConn Health):

 - (10) Project Budget (Planning) for UConn Health New 3T MRI Renovations (Attachment 20)
 - (11) Project Budget (Final) for UConn Health: Demolition of Dowling North and Dowling South Buildings (Attachment 21)

Project Budgets (Information Technology):

 - (12) Fiscal Year 2015, 2016 and 2017 Human Resources/ Payroll Implementation into Core-CT (Attachment 22)
 - (13) Kuali Financial System Upgrade (Attachment 23)
 - (c) Informational item:
 - (1) UCONN 2000 Book 39:
<http://uc2000.uconn.edu/reports/report39/report39.pdf>
6. UConn Health Report
 - (a) Report on UConn Health activities
7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities

8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
10. Student Life Committee Report
 - (a) Report of Committee activities
11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Memorandum of Understanding between the University of Connecticut and the University of Connecticut Alumni Association (Attachment 24)
 - (2) Modifications to the Named Gift Guidelines (Attachment 25)
12. Committee on Compensation Report
 - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated.
15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

CONTRACTS AND AGREEMENTS
FOR APPROVAL
December 10, 2014

PROCUREMENT - NEW

SATELLITE/CABLE TV SERVICES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Charter Communications	UC-15-LP090613	\$1,250,000	01/01/15-06/30/17	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	Cable and satellite TV services to the Storrs campus. This is the result of a publicly advertised RFP. The term of this contract is for an initial term of two and one half (2 1/2) years with two (2) three (3) year extensions.

PROCUREMENT - AMENDMENTS

CATERING FOR ATHLETICS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	KHR (d/b/a A Step Above Catering)	UC-KA050709-8	\$163,491 [Contract Value Previously \$2,244,629; Total New Contract Value \$2,408,120]	08/17/09-06/30/15	Auxiliary Services	Warde Manuel, Director of Athletics	\$2,108,120	\$429,501	\$441,083	Catering services for Division of Athletics in support of varsity sporting events and other special events on Storrs campus. Amend to increase contract value by \$163,491, for a total new contract value of \$2,408,120. Amend to extend term by six (6) months, through 6/30/15. Zero (0) extensions remaining.

FOOD CONTRACTS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Performance Food Group	UC-11-KA091010	\$786,899 [Contract Value Previously \$2,541,396; Total New Contract Value \$3,328,295]	03/01/11-02/28/16	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	\$2,213,743	\$631,048	\$669,896	Meat, poultry and cheese products to Dining Services for the dining halls, catering, and other needs for all University campuses, other than UCH. Amend to increase contract value by \$786,899, for a total new contract value of \$3,328,295. Amend to extend term by one (1) year, through 2/28/16. Zero (0) extensions remaining.

NETWORK AND SECURITY HARDWARE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Mainline Information Systems	UC-11-B001651-G	\$1,750,000 [Contract Value Previously \$1,529,461; Total New Contract Value \$3,279,461]	07/06/10-06/30/15	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$1,410,162	\$148,085	\$50,409	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to increase contract value by \$1,750,000 for a total new contract value of \$3,279,461. Contract term remains the same. Zero (0) options to extend.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
December 10, 2014

ON-CALL CONSULTANT SERVICES - PROGRAM/PROJECT MANAGEMENT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	AI Engineers Inc.	PMSA01/1.5/02/28/15	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$893,307	\$88,000	\$331,067	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for two months, through 4/30/15. Zero (0) options to extend.
2	Integrated Design & Construction, LLC	PMSSA02/1.5/02/28/15	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$167,218	\$0	\$167,218	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for two months, through 4/30/15. Zero (0) options to extend.
3	Jacobs Project Management Co.	PMO-01- /1.5/01/31/15	\$294,062 [Contract Value Previously \$3,986,200; Total New Contract Value \$4,280,262]	02/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,244,262	\$890,234	\$2,354,028	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Amend to increase contract value by \$294,062, for a total new contract value of \$4,280,262. Amend to extend contract term for three months, through 4/30/15. Zero (0) options to extend.
4	Parsons Environment & Infrastructure Group Inc. (f/k/a Parsons Commercial Technology Group Inc.)	PMO-03- /1.5/01/31/15	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	02/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for three months, through 4/30/15. Zero (0) options to extend.
5	Strategic Building Solutions, LLC	PMO-04- /1.5/01/31/15	\$0 [Contract Value Previously \$2,592,888; Contract Value Remains the Same]	02/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,591,827	\$164,980	\$1,017,039	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for three months, through 4/30/15. Zero (0) options to extend.
6	The McKissack Group, Inc. (d/b/a McKissack & McKissack)	PMO-02- /1.5/01/31/15	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	02/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for three months, through 4/30/15. Zero (0) options to extend.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
December 10, 2014

ON-CALL PROFESSIONAL SERVICES - 12.1 CIVIL AND STRUCTURAL ENGINEERING

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Fuss & O'Neill, Inc.	012.1-6-500-22916	\$200,000 [Contract Value Previously \$1,578,300; Total New Contract Value \$1,778,300]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,522,374	\$1,522,374	\$0	On-Call Contract for civil and structural engineering services for any University campus, including UCH. Amend to increase contract value by \$200,000, for a total new contract value of \$1,778,300. Contract term remains the same. Zero (0) options to extend.

RESEARCH SUPPLIES & EQUIPMENT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Fisher Scientific, LLC	UC-09-CGP021309	\$3,659,651 [Contract Value Previously \$14,000,000; Total New Contract Value \$17,659,651]	05/01/09-04/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$13,251,955	\$2,662,599	\$2,410,796	Laboratory supplies, chemicals and equipment for all University campuses, including UCH. Amend to increase contract value by \$3,659,651, for a total new contract value of \$17,659,651. Contract term remains the same. Zero (0) extensions remaining.
2	Thermo Electron North America LLC	10PSX0051	\$163,441 [Contract Value Previously \$991,285; Total New Contract Value \$1,154,726]	07/27/10-05/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$929,294	\$314,306	\$362,008	Spectrophotometers, chromatographs, analyzers and related specialized laboratory equipment/accessories. Amend to increase contract value by \$163,441, for a total new contract value of \$1,154,726. Contract term remains the same. Five (5) one (1) year extensions remaining.

REVENUE - AMENDMENTS

No.	Contractor	Contract No.	Term	Revenue Recipient	Program Director	Total Revenues as of 9/30/14	Revenues FY 14	Revenues FY 13	Purpose
1	Webster Bank, N.A.	UC-13-KJ070612	05/01/13-04/30/18	Auxiliary Funds	Matthew Larson, Director of Procurement Services	\$67,560	\$45,184	\$0	Licensing space on University campuses, including UCH, for automated teller machines. Clarifying amendment. Contract value remains the same. Contract term remains the same.

LEASES

UNIVERSITY AS LESSEE

No.	Lessor	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	2 Simsbury Road LLC	\$81,600	5 Years Plus Two 5-Year Renewal Options	Operating Funds - General	Anne Horbatuck, Chief Operating Officer New England Musculoskeletal Institute	Renewal of agreement to lease 3,400 square feet of space located at 2 Simsbury Road, Avon, CT for the New England Musculoskeletal Institute. This lease includes space for radiology equipment. This lease will be processed through the University of Connecticut Health Center Finance Corporation.
2	Lodewick, Philip H.	\$1	02/01/12-01/31/16	Operating Funds - General	Michael Gilbert, Vice President for Student Affairs	Amendment to a lease of a house located at 88 Gurleyville Road, Mansfield, CT to serve as a temporary residence for the University's official guests, and as an event space for University activities that further the broad educational mission of the institution, including but not limited to fundraising, employee recognition, athletic events and general hospitality. The amendment extends the current Lease Agreement for one year, ending on January 31, 2016.

ATTACHMENT 2

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
December 10, 2014 Board of Trustees Meeting

CHANGES TO PREVIOUSLY APPROVED SABBATICAL LEAVES:

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Berthelot, Anne	Professor	Literatures, Cultures and Languages	Liberal Arts and Sciences	Full Full	Fall 2014 Spring 2016
DiCapua, Ralph	Associate Professor	Art & Art History	Fine Arts	Full Full	Fall 2014 Spring 2016
Nowak, Kristine	Associate Professor	Communication	Liberal Arts and Sciences	Full Full	Spring 2015 Fall 2015
Singer, Matthew M.	Associate Professor	Political Science	Liberal Arts and Sciences	Full Full	Spring 2015 TBD

SABBATICAL LEAVE REQUESTS:

Semester at Full Pay

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Bortfeld, Heather	Associate Professor	Psychology	Liberal Arts and Sciences	Full	Fall 2015
Boskovic, Zeljko	Professor	Linguistics	Liberal Arts and Sciences	Full	Fall 2015
Clapp, John M.	Professor	Finance	Business	Full	Fall 2015
Eyler, Edward E.	Professor	Physics	Liberal Arts and Sciences	Full	Fall 2015
Joo, Kyungseon	Professor	Physics	Liberal Arts and Sciences	Full	Fall 2015
Kaminsky, Peter	Professor	Music	Fine Arts	Full	Fall 2015

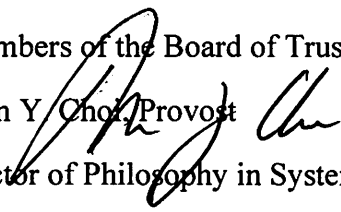
Knoblauch, Vicki	Professor	Economics	Liberal Arts and Sciences	Full	Spring 2015
McKenzie, Matthew	Associate Professor	History	Liberal Arts and Sciences	Full	Fall 2015
McManus, George	Professor	Marine Sciences	Liberal Arts and Sciences	Full	Fall 2015
Pritchard, Janet L.	Associate Professor	Art and Art History	Fine Arts	Full	Fall 2015

Academic Year at Half Pay

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Burke, Mary M.	Associate Professor	English	Liberal Arts and Sciences	Half	AY 2015 - 2016
Jain, Menka	Associate Professor	Physics	Liberal Arts and Sciences	Half	AY 2015 - 2016
Tonry, Kathleen	Assistant Professor	English	Liberal Arts and Sciences	Half	AY 2015 - 2016
Zirakzadeh, Cyrus Ernesto	Professor	Political Science	Liberal Arts and Sciences	Half	AY 2015 - 2016

ATTACHMENT 3

December 10, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost 
RE: Doctor of Philosophy in Systems Genomics

RECOMMENDATION:

That the Board of Trustees approve the Doctor of Philosophy in Systems Genomics.

BACKGROUND:

Through concerted efforts, including targeted faculty recruitment, capital investment and the creation of new institutional partnerships over the last ten years, UConn has laid the foundation for a world-class program in genome science. The culmination of this initiative was the formation of a partnership between UConn and The Jackson Laboratories (JAX) and the founding of the Institute for Systems Genomics (ISG). The ISG membership includes dozens of faculty from the Storrs campus, UConn Health, JAX-Genomic Medicine (in Farmington) and JAX, Bar Harbor, ME. To capitalize on this partnership and to secure UConn's international reputation in this field, the time is ripe for the formation of an innovative graduate program in Systems Genomics. This proposal represents the combined effort begun two years ago by members of the ISG Planning Committee.

The Ph.D. in Systems Genomics will draw on existing strengths in genome sciences, cognitive sciences, computer science & engineering on the Storrs and Farmington campuses, while bringing into the program unparalleled opportunities for training and research collaborations with JAX-GM and JAX-BH. Breaking new ground, this program will also incorporate curricular requirements that cover the ethical, legal and social impacts of genomics, and the integration of genomics into health-care provision. The degree program offers a multi-faceted and evolving curriculum that will create a new model for training scientists in the emerging field of Personalized Genomic Medicine.

Item: **Doctor of Philosophy in Systems Genomics**

Background & Description

The human genome, stripped down to its core of naked DNA, consists of about 3 billion individual bits of code strung together in 46 one-dimensional, linear segments called chromosomes. Advancements in microprocessors, microfluidics, chemiluminescence, and laser scanning optics over the past ten years have made it feasible to gather complete genome sequence from individual patients in a clinical setting. This technology, known as Next Generation Sequencing (NGS), has become the primary driver of discovery and innovation in current genome science, and continues to evolve and improve at a remarkable pace. NGS has also ushered in a new age in medical science: the age of Personalized Genomic Medicine, where each patients' genome sequence data will be integrated into a multifaceted health profile to enable carefully tailored therapeutic strategies provided by healthcare personnel. Many diseases, such as cancer or neurodegenerative diseases, are multifactorial and manifest themselves at the level of molecular networks controlling gene regulation and metabolism, rather than at the gene level. Linking genomics technologies and analysis methods with approaches from systems biology will be foundational to the development and implementation of personalized medicine.

Through concerted efforts, including targeted faculty recruitment, capital investment and the creation of new institutional partnerships over the last ten years, the University has laid the foundation for a world-class program in genome science. The culmination of this initiative was the formation of a partnership between UConn and The Jackson Laboratories (JAX) and the founding of the Institute for Systems Genomics (ISG). The ISG membership includes dozens of faculty from UConn-Storrs, UConn-Health, JAX-Genomic Medicine (in Farmington) and JAX, Bar Harbor (ME). To capitalize on this partnership and to secure UConn's international reputation in this field, the time is ripe for the formation of an innovative graduate program in Systems Genomics. This proposal represents the combined effort begun two years ago by members of the ISG Planning Committee.

We propose the establishment of a new degree, Doctor of Philosophy in Systems Genomics. The Ph.D. in Systems Genomics will draw on existing strengths in genome sciences, cognitive sciences, computer science & engineering on the Storrs and Farmington campuses, while bringing into the program unparalleled opportunities for training and research collaborations with JAX-GM and JAX-BH. Breaking new ground, this program will also incorporate curricular requirements that cover the ethical, legal and social impacts (ELSI) of genomics, and the integration of genomics into health-care provision. We propose a multi-faceted and evolving curriculum that will create a new model for training scientists in the emerging field of Personalized Genomic Medicine.

Reasons for the Proposed Program

Impelling the genomics technological juggernaut is a current of design, innovation and collaboration by scientists, mathematicians, engineers and healthcare professionals in academic, commercial and medical institutions throughout the US. Such a multidisciplinary effort is required because, despite its popular depiction as merely 3 billion bits of linear code, the human genome is structurally very complex and encompasses multiple layers of heritable information independent of the base-pair code. As a consequence of this complexity, the data emerging from NGS platforms constitutes one of the prime examples of "Big Data." For the accurate assembly

of one human genome, a typical state-of-the-art NGS platform generates output files on the order of tens of Terabytes (trillion bytes). The movement, processing and storage of these enormous data files alone constitute a daunting series of challenges for geneticists, computer scientists and engineers. These are not remote problems, but challenges faced now and every day by faculty, research staff and students at UConn-Storrs, UConn-Health and The Jackson Laboratories.

Programmatic collaborations already exist within and across UConn departments, schools and colleges and campuses that have been churning out and wrestling Petabytes of genomic data. Within the last two years these collaborations have incorporated faculty from JAX-GM and JAX-BH as well. In fact, it is through these collaborations that the urgent need for cross-disciplinary training of graduate students was first realized. Life scientists and engineers matriculate through vastly different training regimens and often have difficulty communicating to each other the precise nature of the research challenges they face. The collaborative nature of Personalized Genomic Medicine profoundly complicates the situation, adding to this conversation the considerations of ELSI, and the intricacies and intimacies of patient care. UConn is in an ideal position to provide unparalleled training for a new generation of genome scientists capable of leading these conversations and guiding programmatic development in genomic medicine.

The following departments and programs have indicated their interest in participating in the Ph.D. program by allowing a small number of Systems Genomics Ph.D. students to enroll in existing courses: Cell Biology (Medicine), Genetics & Developmental Biology (Medicine), Molecular & Cellular Biology (CLAS), Mathematics (CLAS), Statistics (CLAS), Psychology (CLAS), Ecology & Evolutionary Biology (CLAS), Physiology & Neurobiology (CLAS) Pharmaceutical Sciences (Pharmacy), Computer Science & Engineering (SOE), Biomedical Engineering (SOE) and the School of Dental Medicine, its three departments and its affiliated Skeletal Craniofacial and Oral Biology Ph.D. program

Curriculum & Program Outline

The Institute for Systems Genomics envisions curriculum that will evolve as new faculty members are hired or join from partner institutions. Since the ISG Ph.D. program hopes to draw from several outstanding courses already offered at UConn, particularly as the program matures, membership in the ISG is not a formal requirement to teach ISG Ph.D. students. However, to act as major advisor for an ISG Ph.D. candidate, faculty from partner institutions and programs must apply for and be approved as graduate faculty in the ISG program and formally recognized by The Graduate School.

Except in special cases, Systems Genomics (SG) Ph.D. candidates will complete required coursework within the first 2 years of enrollment in the program. A critical element of the SG curriculum will be the institution of distance learning capabilities (eg. remote classroom link-ups) since participating students and faculty may be located in Storrs, Farmington or Bar Harbor, ME. The first year of coursework will follow a template of “Foundations of Systems Genomics,” while the second year will follow a template of “Advanced Topics in Systems Genomics.”

First Year —

3 Credits each semester of ISG courses (to be listed as ISG#### in catalog):

- Laboratory Rotations: Students rotate in laboratories of ISG member faculty (number, duration and scheduling to be determined by ISG graduate faculty).

- Introduction to Faculty Research: Seminar course in which ISG member faculty will present overviews of research in their labs.
- Graduate Seminar: Second year students present their work to all SG Ph.D. students and faculty.

3 Credits each semester of ISG Practicum- 7-8 week team-oriented foundational modules designed and taught by ISG member faculty. It is anticipated that the design and implementation of the Practicum modules will occur in the first few years as the ISG grows in faculty membership through recruitment and new faculty hires. In these early years before full development of the Practicum, candidates will be able to choose from existing graduate course offerings that cover the basic SG competencies:

- ***Genome organization and instability***

Basic genome structure, epigenetics, chromosome aberrations, selfish DNA/selfish genes (genomes as ecosystems), constructive neutral evolution, genome instability (programmed and developmentally regulated), genome assembly, genome annotation, alternative splicing, non-coding RNA analysis, Ensembl genome browser, databases and tools for computational epigenomics, ENCODE.

- ***Computational methods handling large genomic data sets***

Includes script-based programming for data gathering and analysis of large data sets related to genomes, microbiomes and metagenomics, computational algorithms in sequence analysis, alignment, principal component analysis, network construction and analysis tools, integrating genomic data with models of metabolism, genomic data storage/transfer and cloud computing.

- ***Regulation of gene and protein expression***

Includes concepts of regulation, non-coding RNA function, human gene regulation diseases (e.g., thalassemias), ribosomeopathies, protein aggregation diseases, regulatory motifs and modules, post-transcriptional regulation, regulatory networks, databases and tools for the study of protein-protein and protein-nucleic acid interactions.

- ***Functional genomic screens in model organisms***

Includes KO, KD, KI, morpholinos, siRNA screens, microarray and sequencing-based transcriptome profiling, novel transcript discovery, TALENs, etc., gene ontology analysis, DAVID for functional annotation, modENCODE.

As stated above, the ISG Ph.D. program hopes to enroll ISG Ph.D. candidates in existing courses that fulfill SG foundational competencies until the Practicum is fully developed. Direct consultation with partnering faculty has identified the courses listed below as being appropriate for these competencies and capable of absorbing ISG Ph.D. candidate enrolment. This list is not exhaustive. Departments and programs with either existing courses or courses under

development that may be relevant can recommend to the Director of ISG that those courses be added.

CSE5800 – Bioinformatics

CSE 581 – Intro to Biomedical Informatics

MEDS 6455/BME 6086-005 – Computational Foundations of Systems Biology

MCB 5217 – Biosynthesis of Nucleic Acids and Proteins

MCB 5427– Laboratory in Functional Genomics

MCB 5445 – Genome Dynamics & Epigenetics

PSYC 5284 – Human Behavior Genetics

PSYC 5570 – Genes, Brain, and Computation

GPAH 5005 – Biostatistics

GPAH 5700 – Ethical Considerations in Genetic Testing and Research

GPAH 5710 – Genetics and Genomics of Health

GPAH 5720 – Chromosome and DNA diagnostic techniques

Second Year—

- ***Computational Foundations of Systems Biology***

Construction and analysis of static network models capturing interactions of genes, proteins, metabolites, and other molecules. Construction and analysis of dynamic models of regulatory, signaling, and metabolic networks. Mathematical foundations of dynamical systems modeling.

- ***Fundamental methods in organizing and managing genomic and clinical data***

Includes database programming, data exchange formats for genomic and clinical databases, NGS data management, ontology & annotation issues, integrating genomic and clinical data, organizing gene regulation networks, pathway databases and system biology databases.

- ***Genome-wide association, quantitative trait loci, complex traits***

Includes advanced concepts of segregation, teasing out candidate regions, computational and statistical tools, Haplotype and recombination analysis, association analysis, gene-gene and gene-environment interaction modeling, genetic risk analysis.

- ***Metabolic diseases as a model for mutational changes at the network level***

Mutational approach to understanding Mendelian inherited metabolic disorders, tools for biochemical network and pathway analysis, e.g., reconstruction of human metabolism, pathway and network analysis tools.

- ***Population structure and evolution***

Includes history of populations, stratification, methods of study, confounding factors, concepts of “evo-devo”, models of evolution, reconstruction of phylogenetic trees and networks, comparative genomics databases, structural genomic variation, signatures of natural selection and computational tools for

population genetics, co-evolution between genes and between organisms, the holobiont and hologenome concepts, disease networks.

• ***Special Topics in Systems Genomics***

Includes advanced topics at the frontiers of systems genomics, representing expertise of teams of ISG faculty. These modules may include: specific and focused discussions on a conceptual field (e.g. recombination, or immunogenetics) or practical and hands-on modules (e.g. sequencing practicum, medical genomics, or metabolomics. Integration of multi-faceted health profile approaches. May be repeated for credit with change of topic.

Existing Courses that fulfill SG Advanced competencies:

CSE 5820 – Machine Learning for Biomedical Informatics

CSE 5840 – String Algorithms and Applications in Bioinformatics

CSE 5860 – Computational Problems in Evolutionary Genomics

CSE 6800 – Computational Genomics

MCB 5426 – Genetic Engineering and Functional Genomics

MCB 5472 – Computer Methods in Molecular Evolution

MCB 5429 – Theory and Practice of High Throughput Sequence Analysis

EEB 5348 – Population Genetics

GPAH 6005 – Multilevel Mediation-Moderation Modeling for Health Sciences

GPAH 5715 – Current Topics in Clinical Genetics

Learning Outcomes

As stated above, the goal of the doctoral program in Systems Genomics is to train students to take leadership roles in basic research, clinical research, program management and consultation at the Ph.D. level in the field of Personalized Genomic Medicine.

Systems Genomics Ph.D. students will receive specialized training in:

- Integrated Life Sciences: mechanisms of inheritance; genetics and genomics of human disease; stem cell biology; molecular biology including genomic technology; neurobiology and behavioral genetics and genomics.
- Integrated Mathematics, Statistics and Computer Science: computational methods in systems biology; bioinformatics analysis of high-throughput data; such as NGS or mass spectrometry data; facility with databases relevant to systems genomics and network biology.
- Integrated Personalized Health Care and ELSI: interdisciplinary competency in human genomic diagnostics, laboratory diagnostics, health care ethics, and regulatory issues in the clinical laboratory

Enrollment & Graduation Projections

The Ph.D. program in Systems Genomics proposes an enrollment target of ten students per year in the first three years. As the program increases exposure/reputation, new faculty join the ISG, and remote learning capabilities are solidified on the Storrs, Farmington and Bar Harbor campuses, the Systems Genomics Ph.D. may expand to a class size of 20 candidates per year.

Time to graduation for STEM Ph.D. students in the U.S. typically average 5-6 years. If the first class is admitted for Fall 2015 enrollment, the first graduates are projected to defend by Spring 2020. Provisions will be made for late entry and early exit. Late entry candidates may be important to meet early enrollment targets as the program's reputation grows. These late entry candidates will be recruited from among existing Ph.D. programs in participating ISG departments.

Financial Resources

It is proposed that Systems Genomics Ph.D. candidates will be supported on Graduate Research Assistantships (GRA) during their first 2 years in the program. GRA support in the first 2 years will be financed by the Institute of Systems Genomics. Beyond the first 2 years Ph.D. candidates will be supported by their major advisor. Since skills for teaching and communication are considered foundational to the Ph.D. degree, Systems Genomics Ph.D. candidates will be encouraged to serve as Teaching Assistants for at least one semester during their matriculation. Teaching assistantships may, therefore, be requested by special arrangement within their advisor's home department at the discretion of the department head.

Facilities//Equipment/Library/Special Resources

Systems Genomics Ph.D. candidates' dissertation work will take place primarily in their major advisors laboratories on either the UConn-Storrs, UConn-Health, JAX-GM or JAX-BH campuses. No special facilities will be needed. However, Systems Genomics students will generally need access to the Genomics and Computational resources available in Storrs and Farmington, such as the CAGT, BBC, BECAT, Stem Cell Institute, etc.

Program Administration

The ISG Ph.D. program will be administered through the office of the Director of the Institute for Systems Genomics. Curriculum development, additions and implementation will be overseen by the ISG Courses & Curriculum committee. Upon enrollment in the ISG Ph.D. program data profiles for each entering candidate will be entered into a web-based portal system. Students will be encouraged to update their profiles in the portal system and it will be checked periodically by the Director's office to ensure that candidates are progressing satisfactorily.

Faculty

The Steering Committee of the ISG is currently screening over 60 applications for membership in the Institute for Systems Genomics. It is expected that members of the Institute for Systems Genomics will participate in the development and implementation of the ISG Ph.D. curriculum. However, as stated above, members of the ISG wishing to serve as major advisor to ISG Ph.D. candidates will have to apply to become graduate faculty in the ISG with final approval of the ISG Director and The Graduate School. Faculty members will include faculty from each of the participating departments and schools in Storrs and Farmington as well as ISG members from JAX-GM and JAX-BH. It is anticipated that members of the ISG whose primary affiliation is with The Jackson Laboratories will have appointments as graduate faculty to allow

them to teach in ISG courses and to act as major advisor to Ph.D. candidates in the Systems Genomics doctoral program. A formal institutional affiliation agreement between UConn and The Jackson Laboratories is currently under negotiation.

Similar Programs in Connecticut or Region

No Ph.D. in Systems Genomics exists within U.S. programs supporting Ph.D. degrees in Systems Biology. Some programs, which incorporate genome science, have recently been initiated at Harvard University, Columbia University, the University of Chicago, the University of Colorado-Denver, Stanford University, the University of California-San Diego, and in the U.K. at the University of Oxford, the University of Manchester and the University of Warwick.

ATTACHMENT 4

December 10, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: LL.M. (Master of Law) in Energy and Environmental Law

RECOMMENDATION:

That the Board of Trustees approve the LL.M. (Master of Law) in Energy and Environmental Law.

BACKGROUND:

An LL.M. in Energy & Environmental Law ("E&E LL.M.") would be a logical extension of the successful establishment of the Center for Energy & Environmental Law (CEEL) in 2009 and the development of the J.D. Certificate in Energy & Environmental Law in 2011. Building on existing resources of faculty and course offerings, the E&E LL.M. would position the Law School as a leader in the study and practice of energy and environmental law. In addition, few law schools offer such a degree.

The E&E LL. M. would capitalize on bridges already established by CEEL across UConn, ensuring the success of this combined degree program. Members of the Avery Point campus and Sea Grant have co-sponsored events and co-published articles with CEEL, and Law School faculty members are partnering with UConn's Center for Climate Resiliency, the Fraunhofer Center for Energy Innovation, and the Center for Environmental Sciences and Engineering.

Program charges will be fee-based and identical in cost and structure to the long-established LL.M. in U.S. Legal Studies. Using the fees for the 2014-2015 academic year to illustrate, this would equal \$1190 per credit, with a total fee cost of \$28,560. Fees in 2015 may be higher. Required University fees, such as the Technology fee, would be in addition to the program cost. The Program will request a \$75 application fee. The Law School is not considering an online option for this program, though it will periodically revisit this decision. CEEL will oversee the program. If the program grows, in conjunction with other new graduate programs, there may be the additional personnel cost for a part-time FTE program administrator. However, that is contingent on future events that cannot be reliably predicted at this time.

Item: **LL.M. (Master of Law) in Energy & Environmental Law**

Background & Rationale

An LL.M. in Energy & Environmental Law (“E&E LL.M.”) would be an important step toward realizing the Law School’s ambition to strengthen its reputation as a leader in the study and practice of energy and environmental law. The Law School already has made strides toward this goal— establishing the Center for Energy & Environmental Law (CEEL) in 2009 and developing a J.D. certificate in energy and environmental law in 2011. An E&E LL.M. would be a logical extension of these efforts, building on the existing resources of a strong core faculty and varied course offerings to position the Law School as a leader in post-professional legal education.

While there are about two dozen LL.M. programs in environmental law nationwide, only a handful of law schools offer an LL.M. degree program that also includes energy law. Our Law School is uniquely well positioned to offer such a combined degree program, and to do it well. We are at the vanguard of combining energy law and environmental law through the J.D. certificate program and other programs administered by CEEL. Our location presents real opportunities as well. In recent years, the Connecticut state legislature has taken several actions signaling our state’s commitment to innovative energy policy, including: Adding “Energy” to the name and charge of the Department of Environmental Protection, making the new department— DEEP—one of the few in the country to combine both fields; Creating a state-sponsored “green” venture capital bank to facilitate private business investment in renewable energy and conservation; Expanding the state’s renewable portfolio standards; Renewing the state’s commitment to the Regional Greenhouse Gas Initiative; and other policies aimed toward a low carbon future. The Law School’s Hartford location brings students near state regulators, public utility companies, ISO New England (the grid operator), and some of the leading law firms practicing energy and environmental law in the Northeast. LL.M. students could easily take advantage of the externship programs and professional pathways CEEL has created with DEEP, other state regulators, utility companies, and private law firms.

Through CEEL, the Law School already has built bridges across UConn that would help ensure the success of this combined degree program. The Avery Point campus and Sea Grant have co-sponsored events and co-published articles with CEEL, and Law School faculty members are partnering with UConn’s Center for Climate Resiliency, Franhofer Center for Energy Innovation, and Center for Environmental Sciences and Engineering. In addition to this LL.M. proposal, Law School faculty members have initiated planning for an interdisciplinary Professional Masters in Energy & Environmental Management, in partnership with key leaders of the Natural Sciences and Liberal Arts faculty.

Curriculum & Program Outline

Eligible Students and Degree Requirements

This LL.M. program is designed for candidates holding a law degree from either a law school in the United States or an accredited law program in another country and meet minimum English fluency standards.

The Program's academic requirements will match those in our existing LL.M. programs. LL.M. will be required to complete a minimum of 24 credits within 2-3 semesters (full time) or within 5 years (part time), which must include a research paper, project or thesis, created under the supervision of a faculty member. International LL.M students admitted to this program will be required to take both U.S. Law & Legal Institutions and Legal Research and Writing, along with any mandatory foundation courses.

Advanced Standing

Up to 12 credits of energy or environmental law core courses taken at the Law School as part of the J.D. program may be applied toward the LL.M. degree requirements.

Full-time day division J.D. candidates at the Law School in good standing may apply to the LL.M. program by submitting an abbreviated LL.M. application during their fourth, fifth or sixth semesters. Evening division students may apply once they reach a comparable milestone.

J.D. students at other U.S. law schools may apply to join the J.D./LL.M. program during their fourth semester of law school. Students who are accepted will be provisionally admitted to the LL.M. program and will spend their final J.D. year at the University of Connecticut School of Law. (The J.D. degree will be awarded by the student's home law school, not the University of Connecticut).

An LL.M. in energy and environmental law could draw directly from the faculty and courses below that already enrich the J.D. certificate in energy & environmental law. At registration, each student would formulate a curricular plan of study to be approved by the Director of the LL.M that would ensure balance and intellectual coherence.

Core Courses (required)

- [LAW7600 - Administrative Law](#)
- [LAW7811 - Energy Law](#)
- [LAW7650 - Environmental Law](#)

Advanced Lecture/Seminar Courses

- [LAW7840 - Advanced Energy Writing Seminar](#)
- [LAW7758 - Animal Law](#)
- [LAW7568 - Climate Law](#)
- [LAW7575 - Connecticut's Environmental Laws, An Introduction](#)
- [LAW7812 - Energy Regulation and Policy](#)
- [LAW7648 - Environmental and Toxic Torts](#)
- [LAW7784 - Historic Preservation Law & Public Policy](#)
- [LAW7805 - International Environmental Law](#)
- [LAW7721 - Land Use](#)
- [LAW7656 - Natural Resources Law](#)
- [LAW7806 - Renewable Energy Law](#)

Experiential Courses

- [LAW7844 - Center for Energy and Environmental Law Externship Clinic](#)
- [LAW7961 - Local Land Use Regulation Practicum](#)
- LAW7616 – Clinic: Environmental Law

Student Research/Thesis

All students, including those admitted with advanced standing, will be expected to produce substantial written work of an intensive, analytical character during their LL.M. residency. The written work should ordinarily equal or exceed thirty pages (approximately 15,000 words). This work's subject matter and final product must be deemed acceptable by the LL.M. Director. The project must be supervised by a member of the full-time faculty.

Learning Outcomes

Upon successful completion of the LL.M. in Energy & Environmental Law, students will be able to:

- identify and formulate the substantive law and legal theories central to environmental and energy law.
- apply the relevant domestic law and international environmental law to modern civil and criminal problems as well as to issues of environmental justice both generally and in relation to specific cases.
- demonstrate the professional skills that are generally regarded as necessary to effectively practice as attorneys in domestic and international non-governmental organizations, government agencies, public utilities, business institutions, and law firms.
- demonstrate competency in the type of legal research required by domestic and international institutions specializing in energy and environmental issues, including writing reports, communicating ideas, and orally presenting arguments and findings.

Enrollment & Graduation Projections

We estimate that between 3-6 students will enroll in the Program's first year, increasing to 8-10 students within several years. International students at the University on a visa can complete the Program in 2-3 semesters of full-time study, depending on their academic background and experience in this subject area. Domestic students can enroll either full-time or part-time with the expectation that they will graduate within 5 years.

Financial Resources

Program charges will be fee-based and identical in cost and structure to the long-established LL.M. Program in U.S. Legal Studies. Using the 2014-2015 academic year to illustrate, this would equal \$1190 per credit, with a total fee cost of \$28,560. Fees in 2015 may be higher. Required University fees, such as the Technology fee, would be in addition to the program cost. The Program will request a \$75 application fee.

The Law School is not considering an online option for this program though it will periodically revisit this decision depending on faculty interest and student demand. The program will draw on the Law School's extensive curriculum in this area, and we do not anticipate the need to develop new courses in the first years of the program. All courses would be open to both J.D. and LL.M. students, as is the practice with our existing LL.M. programs.

Establishing this Program will not require hiring additional full-time faculty or creation of a new administrative office. The Center for Energy & Environmental Law will run the Program with the only anticipated additional personnel cost of hiring an eventual part-time FTE program administrator to help support this program in addition to the Law School's other new LL.M. programs. This Program, in conjunction with other proposed LL.M. programs, will bring in revenue that exceeds its incremental costs, shoulder some of the personnel costs now paid solely by our existing LL.M. programs, and contribute financially and academically to the Law School's primary mission of educating J.D. students.

Facilities/Equipment/Library/Special Resources

This Program will not require new classrooms, other space, or any new equipment. The Law Library's collection in this area is already substantial and augmented by the other University Libraries and the Fraunhofer Center. LL.M. students in this Program will use existing facilities. Should this Program later add online or distance learning components, it will contribute to the additional Law School IT resources necessary to implement and maintain these components, as well utilizing University resources in online design and curriculum development. Additional revenue obtained through an online component would easily exceed the costs of any additional IT support.

Program Administration

In the administration of the Program, the LL.M. Director and faculty will coordinate with the Office of International and Graduate Programs, which is currently responsible for the International Exchange Program, the LL.M. in U.S. Legal Studies, the LL.M. in Insurance Law and the S.J.D. (doctoral) program.

As it does for these other programs, the Office of Graduate and International Programs, together with the Admissions Office and the Office of Student Services, will assist in coordinating admissions, student programming and academic counseling for the E&E LL.M. This support will include working with the LLM Director and other faculty as well as the Law School Registrar, Business Office, Student Finance Office, Career Planning, and Associate Dean for Academic Affairs, assisting each of them in administrative issues related to LL.M. students in this Program.

The International and Graduate Programs Office will coordinate international admissions with the University's visa office (ISSS) as it currently does in its other programs.

Faculty

Joseph MacDougald, Professor in Residence, is also the Executive Director of the Center for Energy & Environmental Law as well as the coordinator for Certificate in Energy &

Environmental Law. He will be the Director of the LL.M. program and will be responsible for the program development of the LL.M. and the advising of LL.M. students in conjunction with Professor Sara Bronin. Professor MacDougald's research and articles focus on climate and energy law issues such as conflicts with the Dormant Commerce Clause and regional energy policy, the trend of recent United States Supreme Court cases in environmental law, and the role of insurance industry policy in a changing world. He is a long-time municipal official, having been appointed or elected to many town and state positions.

Professor Sara Bronin, faculty director of the Center for Energy & Environmental Law, will coordinate the curricular development of the LL.M. with Professor MacDougald. Her recent articles explore topics at the intersection of renewable energy and land use law, including microgrids, solar rights, and green building. In addition, she has co-authored two leading books on historic preservation law. She is particularly committed to experiential learning and eager to help law students explore the many opportunities available in the energy and environmental fields. Also an architect, Professor Bronin is currently serving as the chair of Hartford's Planning and Zoning Commission.

Professor Richard Parker serves both as Policy Director of the Center for Energy & Environmental Law and Director of the Semester in DC program. The DC program places selected law students in federal agencies, such as Department of Energy, the Environmental Protection Agency, and the Department of Justice's Environment and Natural Resources Division, as well as national non-profits for a semester of unpaid legal intern work for which they receive academic credit. The Program would welcome the participation of qualified LL.M. students as well, depending on the availability of appropriate placements. Professor Parker teaches and writes in the fields of administrative law and domestic and international environmental law at UConn School of Law. His scholarship focuses on strengthening domestic and trans-national governance in a variety of policy contexts, most recently in the field of regulation of hydraulic fracturing and development of new energy efficiency standards for electrical appliances. Aside from his duties in DC in the fall, he teaches international environmental law and administrative law on campus in the spring.

Similar Programs in Connecticut or Region

There are three law schools in Connecticut. The Yale Law School offers an LL.M. degree, but it is targeted toward students seeking to advance their career in academia or other scholarly legal study and is not competitive with this degree. Quinnipiac University School of Law does not offer the LL.M. degree.

The Westchester, NY, based Pace Law School offers an LL.M. in Environmental Law with specializations in Land Use Law, Climate Change, and Global Environmental Law. They do not currently offer an LL.M. specialization in Energy Law or combining Energy and Environmental Law.

ATTACHMENT 5

December 10, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: LL.M. (Master of Law) in Human Rights and Social Justice

RECOMMENDATION:

That the Board of Trustees approve the LL.M. (Master of Law) in Human Rights and Social Justice.

BACKGROUND:

An LL.M. in Human Rights and Social Justice is a logical extension of the successful Certificate in Human Rights that UConn Law School has offered since 2009. Establishing an LL.M. program in Human Rights and Social Justice will integrate the Law School's international human rights expertise with its longstanding strengths in the theory and practice of domestic civil rights and social justice. The two subjects have been relatively separate and distinct at U.S. law schools for historical reasons. Although they draw on different sources of law, both address social and economic inequalities and the inalienable rights of individuals. The Law School has an opportunity to launch one of the very few graduate programs that integrates the international and domestic dimensions into a cohesive whole.

The successful integration of international human rights with domestic civil rights issues would situate the Law School at the forefront of U.S. law schools in this topical area. The LL.M. in Human Rights and Social Justice will prepare graduates for the global business environment, for social policy work, and to meet the pressing need for access to justice for the poor and middle class in America and worldwide.

Program charges will be fee-based and identical in cost and structure to the long-established LL.M. Program in U.S. Legal Studies. Using the fees of the 2014-2015 academic year to illustrate, this would equal \$1,190 per credit, with a total fee cost of \$28,560. Fees in 2015 may be higher. Required University fees, such as the Technology fee, would be in addition to the program cost. The Program will request a \$75 application fee. Establishing this Program will not require hiring additional full-time faculty or creating a new administrative office. If the program grows, along with other new graduate programs, there may be the possibility of hiring an additional program administrator, but that is contingent on future events that cannot now be reliably predicted. Should this Program later add online or distance learning components, it will contribute to the additional Law School IT resources necessary to implement and maintain these components, as well as utilize University resources in online design and curriculum development. Additional revenue obtained through an online component would easily exceed the costs of any additional IT support.

Item: LL.M. in Human Rights and Social Justice

Background & Rationale

An LL.M. in Human Rights and Social Justice is a logical extension of the successful Certificate in Human Rights that UConn Law School has offered since 2009, graduating 36 students over the past five years. Establishing an LL.M. program in Human Rights and Social Justice will integrate the Law School's international human rights expertise with its longstanding strengths in the theory and practice of domestic civil rights and social justice. The two subjects have been relatively separate and distinct at U.S. law schools for historical reasons, and although they draw on different sources of law, both address social and economic inequalities and the inalienable rights of individuals. UConn School of Law, which enjoys considerable faculty expertise both in human rights and social justice, has an opportunity to launch one of the very few graduate programs that integrates the international and domestic dimensions into a cohesive whole.

A new LL.M. in Human Rights and Social Justice would position UConn Law School to tap into a growing trend in the business, non-profit and public policy world to integrate international and domestic human rights. Advocates in housing, education and health policy are deploying human rights arguments in the domestic context, and professional schools of business, social work and medicine are integrating human rights into their curricula. Moreover, in domestic U.S. courts, there has been an upsurge in plaintiffs introducing international human rights standards in cases advocating for improved public education and health care provision. Connecticut businesses also increasingly need legal guidance on risk management that includes international and domestic human rights issues.

Successfully integrating international human rights with domestic civil rights issues, and combining classic civil-political rights with economic and social rights would situate the Law School at the forefront of U.S. law schools in this topical area. The LL.M. in Human Rights and Social Justice will prepare graduates for the global business environment, for social policy work, and to meet the pressing need for access to justice for the poor and middle class in America and worldwide

Curriculum & Program Outline

Eligible Students and Degree Requirements

This LL.M. program is designed for candidates holding a law degree from either a law school in the United States or an accredited law program in another country. The Law School is not presently considering an online option for this program though it will periodically revisit this decision, depending on faculty interest and student demand. The program will draw on the Law School's extensive curriculum in this area and we do not anticipate the need to develop new courses in the first years of the program. All courses would be open to both J.D. and LL.M. students, as is the practice with our existing LL.M. programs.

Advanced Standing

Up to 12 credits of courses in the areas of human rights and social justice taken at the Law School as part of the J.D. program may be applied toward the LL.M. degree requirements, at the

discretion of the Director of the LL.M. program. Full-time day division J.D. candidates at the Law School in good standing may apply to the LL.M. program by submitting an abbreviated LL.M. application during their fourth, fifth or sixth semesters. Evening division students may apply once they reach a comparable milestone. J.D. students at other U.S. law schools may apply to join the J.D./LL.M. program during their fourth semester of law school. Students who are accepted will be provisionally admitted to the LL.M. program and will spend their final J.D. year at the University of Connecticut School of Law. (The J.D. degree will be awarded by the student's home law school, not the University of Connecticut.) J.D. students pursuing the LL.M. would not be permitted to enroll in courses already taken as part of their J.D. coursework.

List of Law Courses Presently Offered

As indicated below, there are sufficient courses taught at the Law School presently to support this new degree program. At registration, each student would formulate a curricular plan of study to be approved by the Director of the LL.M to ensure balance and coherence.

7838 Advanced Constitutional Law: Individual Rights
7810 American Indian Law
7850 Capital Punishment
7885 Children and the Law
7831 Comparative Constitutional Law
7825 Consumer Protection Law and Debt Collection
7645 Criminal Procedure
7696 Crisis in American Labor Law
7767 Critical Identity Theory
7909 Domestic Violence Law in Practice
7901 Elder Law
7655 Employment Discrimination Law
7587 Ethics of Public Health
7653 European Human Rights
7657 Family Law
7592 Health and Human Rights
7883 Human Rights and Post Conflict Justice
7672 Immigration Law
7878 International Human Rights
7879 International Humanitarian Law
7679 International Law
7766 Labor Law: Organizing and Collective Bargaining
7872 Latin American Law
7893 Law and Global Health
7697 Law and Public Education
7593 Law and Public Health
7927 Law and the Welfare State
7900 Legal Rights of Persons with Disabilities
7763 Mental Health Law
7759 The Nuremburg Trials

- 7814 Refugee Law
- 7925 Sexuality, Gender and the Law
- 7671 Tax Exempt Organizations
- 7820 Tribal Justice Systems
- 7815 Workers' Rights in a Global Economy

Drawing upon the existing strengths across the University in Human Rights, students would also be allowed to take up to 6 credits of graduate courses offered on the Certificate in Human Rights at the Storrs and Greater Hartford campuses, e.g., Economic Rights (ECON 5218), Contemporary Debates in Human Rights (HRTS 5301), International Development (SWEL5345).

Learning Outcomes

On successful completion of the LL.M. in Human Rights and Social Justice, students will be able to:

- identify and formulate the substantive law and legal theories central to civil rights, international human rights and social justice.
- apply the relevant domestic law and international humanitarian law to modern civil rights and human rights problems and specific cases.
- demonstrate the professional skills that are generally regarded as necessary to effectively practice as attorneys in domestic U.S. and international justice institutions that address rights fulfillment and human/civil rights violations.
- demonstrate competency in the type of legal research required by human rights and civil rights agencies and institutions, including writing reports, communicating ideas and orally presenting arguments and findings.

Enrollment & Graduation Projections

We estimate that between 3-6 students will enroll in the Program's first year, increasing to 8-10 students within several years. Assuming several of these students would have enrolled in our U.S. Legal Studies LL.M. program with a Human Rights specialization, this program should attract between 6-8 students a year that otherwise would not matriculate at UConn.

International students at the University on a visa can complete the Program in 2-3 semesters of full-time study, depending on their academic background and experience in this subject area. Domestic students can enroll either full-time or part-time with the expectation that they will graduate within 5 years. Many domestic students will already be working as professionals in related fields and permitting part-time status will benefit both these students and the School, by allowing individuals with substantial legal or other relevant experience to participate in the program.

Financial Resources

Program charges will be fee-based and identical in cost and structure to the long-established LL.M. Program in U.S. Legal Studies. Using the 2014-2015 academic year to illustrate, this

would equal \$1190 per credit, with a total fee cost of \$28,560. Fees in 2015 may be higher. Required University fees, such as the Technology fee, would be in addition to the program cost. The Program will request a \$75 application fee.

Establishing this Program will not require hiring additional full-time faculty or creating a new administrative office. Initially, the International and Graduate Programs Office will run the Program. If the program grows, in conjunction with other new graduate programs, there may be the possibility of hiring an additional program administrator, but that is contingent on future events that cannot be reliably predicted at this time. This Program, in conjunction with other proposed LL.M. programs, will bring in revenue that exceeds its incremental costs, shoulder some of the personnel costs now paid solely by our existing LL.M. programs, and contribute financially and academically to the Law School's primary mission of educating J.D. students.

Facilities/Equipment/Library/Special Resources

This Program will not require new classrooms other space, or any new equipment. The Law Library's collection in this area is already substantial and augmented by the other University Libraries and the Dodd Center. LL.M. students in this Program will use existing facilities and all courses will be open to J.D. and LL.M. students, as is the Law School's current practice.

Should this Program later add online or distance learning components, it will contribute to the additional Law School IT resources necessary to implement and maintain these components, as well as utilizing University resources in online design and curriculum development. Additional revenue obtained through an online component would easily exceed the costs of any additional IT support.

Program Administration

Professor Molly Land, presently Director of the Certificate of Human Rights at the Law School, will be responsible for the academic components of the LL.M. in Human Rights and Social Justice. Drawing on her human rights expertise and background as an intellectual property litigator, Professor Land's scholarship and teaching focuses on the effect of new technologies on human rights fact-finding, advocacy, and enforcement, as well as the role of human rights norms and framing strategies in organizing around human rights issues. Her current work explores the extent to which human rights law can provide a foundation for claims of access to the Internet as well as the opportunities and challenges for using new technologies to achieve human rights objectives. Professor Land's articles have been published in the Yale, Harvard, and Michigan journals of international law, and she lectures widely on the relationship between technology and human rights advocacy. She has also authored several human rights reports, including a report for the World Bank on the role of new technologies in promoting human rights.

The program will be administered by the International and Graduate Programs Office (Office), which is currently responsible for the International Exchange Program, the LL.M. in U.S. Legal Studies, the LL.M. in Insurance Law and the S.J.D. program. As it does for these programs, the Office will be responsible for Admissions processing, student programing and academic counseling. The Office will work with the Law School Registrar, Business Office, Student

Finance Office, Career Planning, and Associate Dean for Academic Affairs and assist them in administrative issues related to LL.M. students in this Program. The Program's faculty administrators and the Deputy Director for Recruitment will make admission decisions.

Office administrators include the Director of International Programs, a Program Assistant, Director of Graduate Programs, Deputy Director of Graduate Programs for Student Affairs, and Deputy Director for Recruitment. In addition, the Director of the Insurance Law Center plays a significant role, as he is responsible for the Insurance Law LL.M. program, which includes domestic and international LL.M. students. The International Programs, Graduate Programs and the Insurance Law Center Directors are faculty members.

The Program's academic requirements will match those in our existing LL.M. programs. These requirements are that LL.M. students are required to complete a minimum of 24 credits within 2-3 semesters, which must include a research paper or thesis. As part of the credit requirements international students must take both U.S. Law & Legal Institutions and Legal Research and Writing, along with any mandatory foundation courses. Applicants must hold at least an initial law degree or a graduate degree in a related field and meet minimum English fluency standards. The International and Graduate Programs Office will coordinate international admissions with the University's visa office (ISSS) as it currently does with existing programs.

Faculty

Jill C. Anderson
Robin Barnes
Jon Bauer
Bethany Berger
Robert L. Birmingham
Deborah Calloway
Marcia Canavan
Paul Chill
Anne C. Dailey
Timothy Everett
Michael Fischl
Mark Weston Janis
Dalié Jiménez
Richard S. Kay
Darcy Kirk
Peter Kochenburger
Molly Land
Peter L. Lindseth
Ángel Oquendo
Martha Stone
Susan Schmeiser
Robert Whitman
Steven Wilf
Richard A. Wilson

Similar Programs in Connecticut or Region

This Program would constitute the only LL.M. in Human Rights and Social Justice in Connecticut. Regionally in New England, only Harvard Law School has a dedicated LL.M. in Human Rights and Northeastern Law School has a general LL.M. which allows students to pursue a human rights specialization.

ATTACHMENT 6

December 10, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Graduate Certificate in Intraoperative Neuromonitoring

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Intraoperative Neuromonitoring.

BACKGROUND:

Intraoperative Neuromonitoring (IONM) in the Department of Physiology and Neurobiology is a six-week, nine-credit summer program that is designed to prepare students for a career in the fast growing field of intraoperative neuromonitoring. Students will obtain the education necessary to be trained in operating rooms and enter as paid employees or interns in the field of intraoperative neuromonitoring, where they will actively participate in surgical cases that employ neuromonitoring. After completion of one hundred and fifty cases, individuals will be eligible for participation in the national exam for certification in Neurophysiologic Intraoperative Monitoring (CNIM Certification) conducted by the American Board of Registration of Electroencephalographic and Evoked Potential Technologists.

Upon successful completion of the IONM program, an individual can join the field of intraoperative neuromonitoring as a neuromonitoring technologist and have satisfying career opportunities that offer many benefits, including interactions with orthopedic and neurosurgeons, and working in the exciting and challenging operating room environment.

The program will follow standard Board approved graduate fees. It is anticipated that the program will have approximately 45 enrollments (15 students per class) in its first year, increasing to 60 enrollments (20 students per class) in year two and beyond. It is expected that the revenue generated by program enrollments will cover expenses incurred. Enrollment will be reserved for students matriculated in the graduate certificate program with seats made available to other matriculated graduate students on the basis of availability.

Request for New UConn Certificate Program

Program Information

Certificate Program: Intraoperative Neuromonitoring (IONM)

Sponsoring Department: Physiology and Neurobiology

Department Head: Dr. Larry Renfro

Type of certificate: Storrs (on campus), fee-based, Graduate Certificate

Anticipated start date: June 1, 2015

Anticipated date of first graduation: Summer 2015

Projected annual enrollments: 45 anticipated enrollments with 15 students per course

Program Outline and Description of Program Learning Outcomes

Intraoperative Neuromonitoring (IONM) in the Department of Physiology and Neurobiology is a six-week¹, nine-credit summer program that is designed to prepare students for a career in the fast growing field of intraoperative neuromonitoring. Students will obtain the education necessary to be trained in operating rooms and enter as paid employees or interns in the field of intraoperative neuromonitoring, where they will actively participate in surgical cases that employ neuromonitoring. After completion of one hundred and fifty cases, individuals will be eligible for participation in the national exam for certification in Neurophysiologic Intraoperative Monitoring (CNIM Certification) conducted by the American Board of Registration of Electroencephalographic and Evoked Potential Technologists.

Upon successful completion of the IONM program an individual can join the field of intraoperative neuromonitoring as a neuromonitoring technologist and have a satisfying career opportunities that offers many benefits, including interactions with orthopedic and neurosurgeons, and working in the exciting and challenging operating room environment.

Learning Outcomes

After completing the certificate program, students will be able:

- To identify the major bones and markings, muscles and attachments, ligaments; and structures in peripheral and central nervous system.
- To know basics underlying recording of signals, main neuromonitoring modalities, and common surgeries employing neuromonitoring electrophysiology.
- To have an experience in working with the intraoperative neuromonitoring recording

¹ Six week face-to-face intensive is preceded by online course pre-work and followed by post program reflective interactive blog.

machines, in writing protocols, and using simulator programs that represent examples of signal acquisition in laboratory setting.

A background in biology is recommended for prospective students, and instructor consent is required for enrollment into all courses.

Similar Programs Nationally, Regionally, or in Connecticut

The University of Michigan's School of Kinesiology offers a similar program, but no similar programs exist in Connecticut or the region.

Curriculum information

Total number of credits required: 9²

Required courses

PNB 5101- Anatomy and Physiology for Intraoperative Neuromonitoring (4 credits)

PNB 5102- Fundamentals of Intraoperative Neuromonitoring (3 credits)

PNB 5103- Applied Intraoperative Neuromonitoring (2 credits)

Detailed course information

PNB 5101- Anatomy and Physiology for Intraoperative Neuromonitoring

Instructor: Dr. Radmila Filipovic: Lecturer, PNB Department, University of Connecticut

Offering: Every Summer

PNB 5102- Fundamentals of Intraoperative Neuromonitoring

Co-Instructors: Dr. Radmila Filipovic: Lecturer, PNB Department, University of Connecticut, and

Dr. Payam Andalib; Industry Consultant

Offering: Every Summer

PNB 5103- Applied Intraoperative Neuromonitoring;

Instructor: Dr. Payam Andalib; Industry Consultant

Offering: Every Summer

² Certificates generally require 12-15 credits of coursework. In a small number of cases where detailed justification has been provided, a certificate program may require only 9 credits. Non-credit = N/A

Program evaluation

Successful completion of the Graduate Certificate Program in Intraoperative Neuromonitoring (IONM) requires students to pass all three courses with an overall grade point average of 3.0 in all three courses. Students will evaluate instructors through Online Student Evaluation of Teaching (SET). An online blog will be available to students and will serve as a feedback tool for continuous improvement.

Resources Available to Support the Program

Financial resources

The program will follow standard BOT approved graduate fees. It is anticipated that the program will have approximately 45 enrollments (15 students per class) in its first year, increasing to 60 enrollments (20 students per class) in year two and beyond. It is expected that the revenue generated by program enrollments will cover expenses incurred. Enrollment will be reserved for students matriculated in the graduate certificate program with seats made available to other matriculated graduate students on the basis of availability.

Facilities/Equipment/Library/Special Resources

Classrooms and laboratories in the Torrey Life Sciences (TLS) building will be utilized. Neuromonitoring machines will be loaned by Safe Passage through partnership with Cadwell Laboratories.

ATTACHMENT 7

December 10, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Modifications to the Doctor of Philosophy and Master of Science Programs in Materials Science

RECOMMENDATION:

That the Board of Trustees approve the Modifications to the Doctor of Philosophy and Master of Science Programs in Materials Science.

BACKGROUND:

The graduate faculty in the Materials Science field of study conducted a review of the requirements for the Ph.D. and M.S. programs.

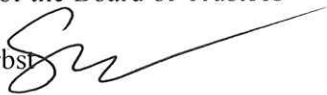
One outcome of this review is a recommendation that the existing seven areas of concentration be eliminated. This proposal was discussed formally at a meeting of the graduate faculty on September 22, 2014, and was then put to a confidential electronic vote in October 2014. All votes cast supported the proposal.

Modern research in Materials Science draws on knowledge from many different subfields. One of the main strengths of UConn's Ph.D. and M.S. programs in Materials Science is the breadth of training and experience that students receive across the entire discipline. Indeed, the interdisciplinary nature of research in Materials Science makes distinctions among its subfields obsolete. More specifically, it is noted that:

1. The areas of concentration are not supported by programmatic differences;
2. The number of students enrolled in the programs (20 students total as of fall 2014) is not sufficient to justify maintaining different areas of concentration;
3. Many of the areas of concentration do not represent the current research strengths of the program; and
4. The majority of existing students do not declare an area of concentration.

ATTACHMENT 8

December 10, 2014

TO: Members of the Board of Trustees
FROM: Susan Herbst 
RE: Naming Recommendation for the Dr. Lois Ann Reynolds '74 Conference Room

RECOMMENDATION:

That the Board of Trustees establish the naming of Conference Room PBB-361 in the Pharmacy/Biology Building on the UConn Storrs campus as the Dr. Lois Ann Reynolds '74 Conference Room.

BACKGROUND:

Conference Room PBB-361 is a small (approx. 170 sq. ft.) conference room on the third floor of the Pharmacy/Biology Building. It is used as an administrative venue for small group meetings and is the primary space for meeting with prospective students. It contains two walls of display cabinets that exhibit a collection of pharmacy memorabilia from the 16th to 19th centuries.

Dr. Lois Ann Reynolds '74 established the Lois A. Reynolds, Pharm.D. Endowed Fund in 2014 to provide programmatic enhancements in the School of Pharmacy, specifically to meet the priority needs of the School's Henry A. Palmer Endowed Professor; support educational enhancements and activities in the area of pharmacy compounding; and/or provide general support for the School's proposed medicinal garden, if established.

The naming of the conference room is proposed in recognition of Dr. Reynold's most recent pledge of \$75,000 to establish the aforementioned fund.

Dr. Reynolds was a significant contributor to the Henry A. Palmer Professorship in Community Pharmacy Practice and has been a consistent donor to the University since 1978. Over the years she has donated to various funds, primarily supporting the School of Pharmacy.

Dr. Reynolds graduated with a B.S. from UConn's School of Pharmacy in 1974. She has worked as a clinical pharmacist and pharmacy educator at Johns Hopkins Hospital for over 30 years. A recognized expert on pharmaceutical compounding, Dr. Reynolds authored a publication titled *Extemporaneous Ophthalmic Preparations*, a resource on the compounding of sterile ophthalmic products for pharmacists.


Dr. Reynolds was a member of the UConn Marching Band, is a lifetime member of the UConn Alumni Association, and is a devoted fan of UConn Basketball.

This naming recommendation was approved by the Institutional Advancement Committee on November 18, 2014.

ATTACHMENT 9

December 10, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for The Gerhard and Irmgard Stoll Laboratory

RECOMMENDATION:

That the Board of Trustees establish the naming of Laboratory PBB-422 in the Pharmacy/Biology Building on the UConn Storrs campus as The Gerhard and Irmgard Stoll Laboratory.

BACKGROUND:

Laboratory PBB-422 is a laboratory (approx. 685 sq. ft.) on the fourth floor of the Pharmacy/Biology Building. It is currently occupied by Dr. Robin Bogner, Associate Professor of Pharmaceutics and her research team. It contains several pieces of analytical instrumentation, including a UV-spectrometer, polarized light microscope, vapor pressure osmometer, differential scanning calorimeter, and a high-performance liquid chromatography system (HPLC).

Roger G. Stoll, Ph.D. '73 and Kathleen Stoll '68 '69 established the Roger and Kathleen Stoll Fund for Graduate Education in Pharmaceutical Sciences in 2014. The fund supports research conducted by faculty and graduate students in the Department of Pharmaceutical Sciences to include, without limitation, purchasing supplies and purchasing and maintaining laboratory equipment in the School or the Biotechnology and Bioservices Center.

The naming of the laboratory is in honor of Dr. Stoll's parents and is proposed in recognition of Roger and Kathleen's recent pledge of \$70,000 to the aforementioned fund.

The Stolls have been consistent donors to the University since 1988. Over the years, they have donated to various funds, primarily supporting the School of Pharmacy.

Dr. Stoll's career spans more than 35 years in leadership positions in biotech, pharmaceutical and medical device companies including Bayer AG, Fresenius Medical Care, the Ohmeda global healthcare business unit of the BOC Group, plc, the Upjohn Company, and American Hospital Supply. He has served on boards of directors of six different pharmaceutical, biotech, and medical device companies and is currently Executive Chairman of Delcath Systems, Inc.

Dr. Stoll received his B.S. in Pharmacy from Ferris State University, a Ph.D. in Biopharmaceutics and Drug Metabolism at the UConn School of Pharmacy, and was a post-doctoral fellow for two years at the University of Michigan. Dr. Stoll is an emeritus member of the UConn School of Pharmacy Advisory Board.


Kathleen Stoll earned her B.A. in Psychology from the College of Liberal Arts & Sciences in 1968 and an M.A. from the Neag School of Education in 1969.

This naming recommendation was approved by the Institutional Advancement Committee on November 18, 2014.

ATTACHMENT 10

December 10, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendations for the Werth Family UConn Basketball Champions Center

RECOMMENDATION:

That the Board of Trustees establish the naming of the listed rooms below in the Werth Family UConn Basketball Champions Center on the UConn Storrs campus. Formal names to be determined directly with each donor:

<u>Named Space</u>	<u>Amount</u>	<u>Donor Recognized</u>
Trainer Office A	\$ 50,000	Eric & Jessica Zachs
Trainer Office B	\$ 50,000	Eric & Jessica Zachs
Women's Basketball Manager Work Room	\$ 50,000	Craig & Sandy Ashmore
Emeritus/Jim Calhoun Coaching Office	\$2,000,000	George "Sonny" Whelen

BACKGROUND:

The Werth Family UConn Basketball Champions Center is a state-of-the-art facility designed to address the on and off court needs of the men's and women's basketball student-athletes at the University of Connecticut. The 78,000 square foot space is located at 500 Jim Calhoun Way on the University of Connecticut Storrs Campus. It houses academic, athletic training and rehabilitation, dining and nutrition, practice and training, and locker facilities that will enhance development as student-athletes.

This naming recommendation was approved by the Institutional Advancement Committee on November 18, 2014.

ATTACHMENT 11



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

RE: Residential Life Facilities Project Definition

RECOMMENDATION:

That the Board of Trustees approve the attached resolution clarifying that the project entitled, Residential Life Facilities, may include a facility or facilities suited to residential life purposes, which facility or facilities may be newly constructed or purchased and renovated to accomplish said purpose, and hereby finds and determines that such project definition and scope is consistent with the intent and purpose of the original project.

BACKGROUND:

As a result of several factors, including the success of the UCONN 2000 Infrastructure Improvement Program and subsequent enrollment growth, the University has experienced an increased demand for additional student housing units. In order to address this immediate need for residential life facilities, several planning options were discussed including the possible purchase of an existing building suited for a residential life facility and the possible use of UCONN 2000 Phase III funding.

Accordingly, we request that the Board approve the resolution clarifying that the Residential Life Facilities project may include a facility or facilities suited to residential life purposes, which facility or facilities may be newly constructed or purchased and renovated to accomplish said purpose and that the Board find and determine that such project definition and scope is consistent with the intent and purpose of the original project.

RESOLUTION REGARDING
THE RESIDENTIAL LIFE FACILITIES PROJECT SCOPE

WHEREAS, Section 10a-109d(a)(10) of the UConn 2000 Act (the "Act") provides, in pertinent part, that the University may borrow money and issue securities to finance projects as long as such project or projects are included in subsection a of Section 10a-109e of the Act; and

WHEREAS, Section 10a-109e(d) of the Act provides, in pertinent part, that wherever appropriate or necessary, the University may revise, delete and add a particular project or projects subject to certain conditions described in such section; and

WHEREAS, the Board of Trustees wants to clarify the scope of the project listed as Residential Life Facilities and included in Section 10a-109e(a).

NOW THEREFORE, BE IT RESOLVED, that

1. The project entitled Residential Life Facilities may include a facility or facilities suited to residential life purposes, which facility or facilities may be newly constructed, renovations to existing dormitories or purchased and renovated to accomplish said purpose.
2. The Board of Trustees hereby finds and determines that such project definition and scope is consistent with the intent and purpose of the original project and that the clarification of such scope is the result of a change in University planning.

ATTACHMENT 12



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

Richard F. Orr, Esq.
General Counsel

RE: Acquisition of Nathan Hale Inn

RECOMMENDATION:

That the Board of Trustees approves the Administration to enter into a Purchase & Sale Agreement to acquire the Nathan Hale Inn.

OVERVIEW:

The University of Connecticut ("UConn") currently owns the land under the Nathan Hale Inn ("NHI"), but not the building or other improvements.

In late September 2014, the owners of the NHI received an offer from a private hotel company to purchase the NHI for \$8,372,333. Included in the lease of the land for the construction of the NHI, UConn has a Right of First Refusal ("ROFR") to purchase the NHI for the same price and on the same material terms as a private buyer. On November 24, 2014, UConn exercised that ROFR and began negotiating a Purchase & Sale Agreement ("P&S") with the seller.

The intent of the purchase is to acquire 98 dorm rooms (to accommodate approximately 280 students); however, in the short term UConn will need to manage a mixed-use hotel of 48 rooms and 50 dorm rooms.

UConn's acquisition will be a cost-effective way of meeting some of UConn's short- and long-term student housing needs. UConn will retain the existing hotel manager to minimize the financial and other risks associated with operating a hotel during the transition period. The intent is to convert the NHI into a full UConn dormitory.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

Nathan Hale Inn
December 10, 2014
Page 1

An Equal Opportunity Employer

The purchase of the NHI allows UConn to control the use of the property in the future without needing to negotiate with a private owner.

Exercising the ROFR did not irrevocably commit UConn to purchasing the NHI. As explained more fully below, exercising the ROFR was a prerequisite to negotiating a P&S with the seller. Entering into the P&S only occurs if the Board accepts this recommendation. UConn will not actually acquire the property pursuant to the P&S until all contingencies have been addressed to UConn's satisfaction.

At the time this memorandum was prepared for the Board's December 10, 2014 meeting, negotiations were still in progress. If needed, additional or updated information will be provided in advance of, or at, that meeting.

BACKGROUND:

Existing Contractual Relationship and the ROFR

Two primary agreements govern the relationship between UConn and Campus Associates Limited Partnership d/b/a/ Nathan Hale Inn and Conference Center (the "**Seller**"). The first is a Ground Lease that terminates in 2050. Under the Ground Lease, UConn has a ROFR when presented with a bona fide purchase offer to buy the NHI. Separately, the Ground Lease provides that UConn is prevented from developing or "promoting" development of a hotel on or within 15 miles of campus. That restriction continues to 2050.

Article 34 of the Ground Lease grants UConn a ROFR, which must be exercised no later than 60 days after the receipt of a "Sale Notice" from the Seller. The Sale Notice was received by UConn on Monday, September 27, 2014. It included a P&S with FM Storrs Hospitality, Inc. (the "**Private Buyer**") containing a purchase price of \$8,372,333. UConn's 60 days to exercise the ROFR expired on Friday, November 28, 2014 (the day after Thanksgiving), so UConn exercised the ROFR on Monday November 24, 2014 by sending the Seller a required "Acceptance Notice."

Under the Ground Lease, UConn must agree that it will "purchase the Leasehold Estate on the terms and conditions set forth in the Offer." Since the Sale Notice included an executed P&S with the Private Buyer, UConn's Acceptance Notice noted that UConn's P&S would have to reflect UConn's status as a State agency, while retaining the price and fundamental terms of the Private Buyer's transaction.

The second agreement is a Joint Use Agreement that governs, among other items, booking of function rooms for UConn events held at the NHI. This agreement also terminates in 2050.

Current Operational Framework

The Seller is a partnership of individual investors. The NHI is operated, for the Seller, by a hotel management company, Interstate Hotels & Resorts, Inc. ("**Interstate**"). UConn – with the

permission of the Seller – has been negotiating an agreement with Interstate to ensure the terms on which Interstate will remain as manager in the short term, until such time as the building is used exclusively as a dormitory. At this time, the Administration does not see any obstacles to concluding an acceptable management agreement.

UConn's Student Housing Need

UConn guarantees on-campus housing to newly admitted freshmen, returning undergraduate residents who meet all deadlines, undergraduates returning from an off-campus program, and students changing campuses. To assist UConn in meeting these housing needs, the Board of Trustees, last year, approved UConn to enter into a Room Reservation Agreement (“**RRA**”) with the Seller under which UConn has reserved 50 rooms in the NHI for the current (2014-2015) academic year with an option for two additional years. Each hotel room houses three students or a resident advisor and a roommate.

UConn has exercised its option for 2015-2016 and expects to do so for 2016-2017; the cost for the 2015-2016 academic year will be \$1,085,250.

UConn currently has no agreement to use the NHI for students after the spring of 2017.

The need for additional student housing is described fully in the material prepared by Dr. Michael Gilbert, Vice President for Student Affairs, attached as Exhibit A. As set forth there, the need to continue to rely on the NHI to house students continues well beyond the 2016-2017 expiration of the RRA.

Demand for on-campus housing has surpassed the designed capacity (11,116 beds) of UConn's residential facilities in each of the past 13 years. The Department of Residential Life has accommodated this strong demand by converting some single and double rooms to house additional students. These efforts have created approximately 900 additional spaces, and increased the total of available on-campus beds for assignment to 12,000. As demand has grown in the past five years, Residential Life has also converted spaces originally designed as floor lounge and study spaces to accommodate an additional 400 – 700 students annually, as reflected in the 12,711 on campus residents in August 2014. Accordingly, as the chart appearing in Exhibit A describes, existing student housing is currently well over 100% of designed capacity.

Further detail on occupancy percentages, projected enrollment growth, and the suitability of the NHI for undergraduate student housing is found in Exhibit A. As shown there, the need for these beds for student housing will continue into the foreseeable future.

Operational Considerations

If UConn were to acquire the NHI, the expectation is that UConn would operate it as it is now: a mixed use hotel and dormitory. UConn will be able to adjust the relative proportion as between dorm rooms and hotel rooms to meet UConn's needs without requiring consent of a private

owner. Absent the acquisition, there is no assurance of being able to use the NHI for student housing after spring 2017 or the cost to do so.

A critical aspect of this model is management of the hotel. As explained above, at the time this memorandum was prepared, UConn was in negotiations with the incumbent management company, Interstate, to continue as the management company.

The Administration recognizes that an independent management company must have owner oversight. UConn will identify a senior administrator to be accountable for performance by the management company and for the operation of the hotel.

Financial Viability

Determining the financial viability of acquisition and operation is a multivariate complex task. There are two aspects to this analysis: acquisition costs and operational costs.

Acquisition Cost

Under the ROFR, UConn cannot renegotiate the purchase price established between the Seller and the Private Buyer. That price is \$8,372,333. Based on the information available, there is no indication that the Private Buyer's offer is other than an arms-length transaction. The purchase price and deal structure appear to have been negotiated between a willing buyer and willing seller.

Although the ROFR requires UConn to purchase the property at the same price and on same material terms as the Private Buyer, UConn obtained an appraisal of the value of the leasehold interest with the assumption that the RRA is in place for 10 years. That value is \$8.7 million.

Fletcher Thompson performed a building conditions assessment. The total potential cost for replacement of all identified short- and long-term items was \$3.3 million. The estimated cost of items need at time of turnover-- such as code compliance improvements, deferred maintenance, and furniture and fixtures -- is \$648,000.

Accordingly, in addition to the purchase price of \$8,372,333, there are immediate costs of approximately \$648,000, for a total acquisition cost of approximately \$9.1 million. This cost is very favorable when compared to the cost of new construction. A rough estimate of the cost of dormitory new construction is approximately \$100,000 per bed. This estimate is based on UConn's recent experience in the market including the new STEM dormitory. The NHI has a capacity of approximately 280 beds, so the cost of new construction of equivalent capacity would be roughly \$28,000,000.

Operational Cost

A detailed five-year pro forma income statement for UConn's ownership is presented in Exhibit B. That five-year financial projection indicates both a positive operating profit and positive cash flow.

The NHI has operated at a loss for Calendar Year ("CY") 12 and 13 and is budgeted to lose \$204,000 in CY 14. Upon UConn's acquisition in CY 15, this situation improves to profitability in CY 15 and beyond. There are several reasons for this improvement.

- The full impact of the RRA occurs in CY 15 because that is the first year where there are two semesters of dormitory revenue. That continues in subsequent years.
- Almost \$300,000 in fees paid by the Seller to the general partner and for legal fees do not continue.
- Approximately \$34,000 per year in ground rent paid to UConn does not continue.
- After the existing debt is extinguished in December 2016, the annual interest expense of \$418,000 is no longer required.

The result is a projected profit of \$293,000 in CY 2015, increasing in the out years.

Transactional Issues

UConn would purchase from the Seller the leasehold interest and the building, including its furnishings and equipment, from the Seller.

As noted above, although UConn will negotiate its own P&S, UConn must accept the price and fundamental terms in the P&S between the Private Buyer and the Seller. As presented in that P&S, the transaction contemplates either: the assumption of the existing leasehold mortgage; or prepayment of an existing leasehold mortgage with a prepayment penalty that is currently \$688,000.

UConn has been exploring an alternative financing structure with the existing mortgage holder, Webster Bank, and the Office of the State Treasurer. At the time this memorandum was prepared, the negotiations with Webster and discussions with the Treasurer's office were ongoing but the Administration does not see insurmountable hurdles to being able to finance the entire transaction through Webster or the State, or some combination, on financially reasonable terms. Webster has provided preliminary approval to release the mortgage lien on the NHI. This was a critical issue to the assumption of the existing promissory note by UConn.

More detailed information will be provided before or at the December 10, 2014 Board meeting. The Administration is working to define the precise nature of the financial structure by that meeting to secure BOT approval. In the event the financing is not finalized by December 10, then, at a later time when the financial structure has been finalized, the Administration will seek Board approval for any necessary UConn expenditures to finance the transaction.

Moreover, as noted above, the P&S that the Board is being asked to approve will not irrevocably commit UConn to purchase. UConn can walk away in its discretion if satisfactory financing cannot be obtained or for other reasons.

Other Hotels in Storrs

Under the current Ground Lease, UConn cannot promote a competing hotel on campus or within 15 miles, nor can UConn build a hotel of its own until 2050. If UConn acquires the NHI, those restrictions would be extinguished and UConn could choose to build its own hotel, promote a new hotel through some collaboration with a private party or simply sit back and see what the market produces.

Those possibilities have not been fully explored, nor will they be within the time available. Acquiring the NHI will give UConn control over that facility for use as a hotel or dorm in such proportion that meets UConn's needs.

EXHIBIT A
Housing Needs Analysis

NEED FOR ADDITIONAL ON-CAMPUS HOUSING

A. On-Campus Housing Capacity/Occupancy

The University of Connecticut guarantees on-campus housing to newly admitted freshmen, returning undergraduate residents who meet all deadlines, undergraduates returning from an off-campus program, and campus change students.

Demand for on-campus housing has surpassed the designed capacity (11,116 beds) of the University's residential facilities in each of the past 13 years. The Department of Residential Life has accommodated this strong demand by converting some single and double rooms to house additional students. These efforts have created approximately 900 additional spaces, and increased the total of available on-campus beds for assignment to 12,000. As demand has grown in the past five years, Residential Life has also converted spaces originally designed as floor lounge and study spaces to accommodate an additional 400 – 700 students annually, as reflected in the 12,711 on campus residents in August 2014.

The chart below documents total on-campus housing assignments and occupancy percentages, against system design capacity (11,116), and the modified capacity (12,000) at the start of each academic year since 2010.

Year	August Demand	Percentage Housed to Modified Capacity 12,000	Percentage Housed to Design Capacity 11,116
2014-2015	12,711	106%	114%
2013-2014	12,668	106%	114%
2012-2013	12,469	104%	112%
2011-2012	12,716	106%	114%
2010-2011	12,546	105%	113%

B. Projected Enrollment Growth

In January 2014, the University embarked on a master planning process to define a 20-year vision for the campus and further the goals of Next Generation Connecticut, which includes growing undergraduate student enrollment on the Storrs campus. UConn's Master Plan anticipates incremental enrollment growth in the range of 1,000 – 5,000 students, over the next 10+ years.

Two new residence halls are currently in the planning and design phases for the Storrs campus. The first residence hall will be a new Science, Technology, Engineering and Math (STEM) Living Learning Community and will have approximately 725 beds. The current target completion date for the project is

fall semester 2016. The second facility, an Honors Residence Hall, will provide housing for first-year Honors students and have approximately 650 beds. It is expected that UConn's undergraduate student enrollment will increase incrementally, in 2016 and 2017, and apace with on and off-campus housing growth.

If enrollment growth precedes or surpasses University targets, the current 149 Nathan Hale Inn beds will continue to be critical in meeting student demand. If enrollment growth lags, Residential Life will adjust policy to accommodate more upper-division transfer students and more graduate students – neither of which is currently guaranteed housing - to ensure strong occupancy.

C. Nathan Hale Inn's (NHI) Suitability For Undergraduate Student Housing

The NHI is located in a distinctly residential neighborhood with close proximity to several dining halls, Storrs Center and the academic core of campus. Students assigned to the NHI have expressed satisfaction with their experience. The residential floor design supports social interaction and community development, and students enjoy special amenities including more private bathrooms, a pool and fitness center. One measure of their satisfaction with the NHI is 41 the 48 students assigned to that facility in 2013 – 2014 continue to live on campus, with 20 returning to the NHI this year.

If the University acquires the NHI the residential floors will not require significant structural changes to accommodate students. The public areas on the first floor of the facility will provide ample study, recreation, and social space. In addition, the potential 200+ NHI bed spaces will lessen the total count of new beds that may be required if Next Generation Connecticut enrollment goals are actualized.

EXHIBIT B
Five Year Pro Forma Income Statement

University Of Connecticut
Budget Office
Pro Forma Income Statement - Fiscal Year End 12/31- Nathan Hale Inn
November 25, 2014

Calendar Year	FY12	FY13	FY14 - Budget	FY15	FY16	FY17	FY18	FY19
Revenue								
Occupancy Rate	70.50%	70.40%	71.50%	76.50%	76.50%	76.50%	76.50%	76.50%
Market Lodging Revenue	2,810,871	2,854,273	2,008,278	2,300,665	2,381,188	2,464,530	2,550,788	2,640,066
RRA Lodging Revenue	3,984	4,889	996,306	1,059,900	1,096,997	1,135,391	1,175,130	1,216,260
Misc. Lodging Revenue	5,349	2,934	5,708	4,500	4,624	4,751	4,882	5,016
Food Revenue	921,689	890,017	966,608	885,430	909,779	934,798	960,505	986,919
Beverage Revenue	298,272	292,845	303,172	300,000	308,250	316,727	325,437	334,386
Other Income	76,722	74,518	82,774	90,000	92,475	95,018	97,631	100,316
Total Revenue	\$ 4,116,887	\$ 4,119,476	\$ 4,362,846	\$ 4,640,495	\$ 4,793,313	\$ 4,951,215	\$ 5,114,373	\$ 5,282,963
Expense								
Desk & Housekeeping	460,360	456,752	504,309	450,128	464,951	480,268	496,094	512,447
Laundry	63,981	78,742	71,259	74,248	76,693	79,219	81,830	84,527
Room Supplies & Services	89,751	110,836	112,113	106,731	110,246	113,878	117,631	121,508
Movies & Miscellaneous	5,774	5,775	6,293	4,328	4,471	4,618	4,770	4,928
Food & Food Service Costs	896,604	887,333	922,108	886,233	915,418	945,574	976,734	1,008,931
Beverage & Tavern Costs	176,334	176,526	183,389	186,120	192,249	198,582	205,126	211,888
Admin. & General	659,568	594,817	644,240	653,584	675,107	697,347	720,326	744,071
Sales & Marketing	349,816	284,787	253,176	255,227	263,632	272,317	281,291	290,563
Operations & Maintenance	245,618	237,384	244,096	278,430	287,599	297,073	306,862	316,978
Energy & Utilities	205,946	223,920	239,331	278,430	287,599	297,073	306,862	316,978
Telephone (Communications)	19,221	18,867	12,500	23,202	23,967	24,756	25,572	26,415
Management Fee - 3%	104,757	123,584	130,000	139,215	143,799	148,536	153,431	158,489
Other Operating	398,022	394,229	399,640	23,202	23,967	24,756	25,572	26,415
Sub Total Operating Expense	\$ 3,675,752	\$ 3,593,552	\$ 3,722,454	\$ 3,359,079	\$ 3,469,698	\$ 3,583,998	\$ 3,702,101	\$ 3,824,137
Taxes: Personal Property	19,281	22,240	23,204	27,000	27,324	27,652	27,984	28,320
Taxes: Real Estate	61,694	62,124	65,082	105,000	106,260	107,535	108,826	110,131
Insurance	14,252	42,740	50,007	50,000	50,600	51,207	51,822	52,444
Sub Total Fixed Expense	\$ 95,227	\$ 127,104	\$ 138,293	\$ 182,000	\$ 184,184	\$ 186,394	\$ 188,631	\$ 190,895
Replacement of FF&E	-	-	-	72,500	74,888	77,354	79,904	82,537
Capital Reserve & Replacements	-	-	-	35,300	36,462	37,664	38,905	40,187
Interest / Amortization	403,210	397,308	427,190	418,617	418,617	-	-	-
Deprecation	279,187	279,187	279,187	279,078	279,078	279,078	279,078	279,078
Sub Total Reserves, Interest and Depr	\$ 682,397	\$ 676,495	\$ 706,377	\$ 805,494	\$ 809,044	\$ 394,096	\$ 397,886	\$ 401,802
Total Expense	\$ 4,453,376	\$ 4,397,151	\$ 4,567,124	\$ 4,346,573	\$ 4,462,926	\$ 4,164,488	\$ 4,288,618	\$ 4,416,834
Earnings after interest, taxes and Depr	\$ (336,489)	\$ (277,675)	\$ (204,278)	\$ 293,921	\$ 330,386	\$ 786,727	\$ 825,755	\$ 866,129

ATTACHMENT 13



UConn

UNIVERSITY OF CONNECTICUT

Board of Trustees

**Proposed School of Medicine & School of
Dental Medicine Tuition & Fees Rates for
Fiscal Years 2016 & 2017**

[To be distributed under separate cover]

Wednesday, December 10, 2014



UConn HEALTH

December 10, 2014

TO: Members of the Board of Trustees

FROM: Jeffrey Geoghegan
Chief Financial Officer, UConn Health

RE: Proposed School of Medicine and School of Dental Medicine
Tuition and Fees Rates for Fiscal Years 2016 and 2017

RECOMMENDATION:

That the Board of Trustees approves a 4% increase for the next two academic years in the combined rate of tuition and fees for the School of Medicine (SoM) and School of Dental Medicine (SoDM). This increase applies to both the resident (in-state) and non-resident (out-of-state) rates. [Attachment 1].

BACKGROUND:

The tuition and fee rates for the Schools of Medicine and Dental Medicine are now set by the Board of Trustees upon the recommendation of the Board of Directors. Fifteen percent of tuition is set aside for need-based financial aid as scholarship and 15% of fees are set aside for loans.

UConn Health is seeking this increase in tuition for two reasons: the first is the increased cost of educating students in the Schools of Medicine and Dental Medicine, both of which continue to grow under the provisions of Bioscience Connecticut. Increases in the number of students from 90-98 last year to an expected 110 in 2016 in the School of Medicine, plus 52 in the School of Dental Medicine, will require an enhanced and expanded curriculum, additional courses and faculty as well as additional learning tools. The cost of educating a single medical student is over \$100,000 per year.

A secondary but related reason is the ongoing fiscal challenges faced by UConn Health; while tuition and fees account for only 2.5% of our budget, the funds remain critical to operating both schools and providing an education to our students.

Historically, the annual increases in national tuition and fees rates for public schools have varied widely from year to year, but over the last seven years have been more consistent and averaged approximately 6.1% for schools of medicine and over 10% for schools of dental medicine. Based on latest available data, the School of Medicine and the School of Dental Medicine resident rates at UConn are at the 69th and 34th percentiles of public schools, respectively [Attachment 2].

These rates are at the 79th and 51st percentiles, respectively, for non-resident students. However, it is important to note that only a small number of students actually pay the non-resident rate given the ease of converting to resident status after one year of attendance.

It is also important to note that financial aid is utilized by most students, with \$14.1 million in loans and grants made for students in the Medical School in FY 2015 – including \$3.3 million in institutional grants, and \$7.0 million in loans and grants for students in the School of Dental Medicine –including \$1.6 million in institutional grants [Attachment 3]

During the 2014-15 academic year, 293 of 382 students in years one through four of the School of Medicine received aid in some form of federal, institutional or private financial aid. In 2014–15, 74 medical and dental students received scholarships from the Health Careers Opportunities Office.

Comparisons to our competitors: The attachments illustrate that the tuition and fees at UConn are consistent with those at many competing schools in the region and throughout the nation. When compared to the ten public schools in our geographic region [Attachment 4], the SoM resident rate is the third lowest of ten. The SoM non-resident rate had been the highest and now has dropped one place to second. When compared to the five public schools in our geographic area, the SoDM resident rate is the least expensive and the SoDM non-resident rate is the second lowest.

One hundred and seventeen of the students accepted by the SoM subsequently elected to matriculate at another school. This group of competitor schools had an average tuition and fees in FY 15 that was significantly higher than the UConn resident rate [Attachment 5]. Only one school among these competitors offers tuition and fees rates lower than those at UConn. The same trend occurred for the SoDM.

Student response to proposed increases: Drs. Rose and Lepowsky have informed the students of the proposed increases in tuition and fees via email. The students have been invited to provide their feedback. No medical students provided any additional feedback on this information. In a meeting with the elected student leadership, the students had no comments related to the increase but did suggest that student preference would be to have tuition set for four years. No dental students provided any additional feedback on the information.

ATTACHMENT 1 - SOM

**SCHOOL OF MEDICINE
PROPOSED TUITION AND FEE SCHEDULE FOR FY 2016 and 2017**

		Current		PROPOSED				PROPOSED	
School of Medicine		FY 2015		FY 2016				FY 2017	
Tuition		Base	%	Amount Increase	FY 16		%	Amount Increase	FY 17
	Resident	\$27,074	10.85%	\$2,939	\$30,013		8.47%	\$2,541	\$32,554
	Non-Resident	\$55,928	7.32%	\$4,093	\$60,021		6.23%	\$3,741	\$63,762
	Regional **	\$47,380	10.86%	\$5,143	\$52,523		8.47%	\$4,446	\$56,969
Professional School Fee									
	Resident	\$9,959	-14.65%	-\$1,459	\$8,500		-11.76%	-\$1,000	\$7,500
	Non-Resident	\$9,959	-14.65%	-\$1,459	\$8,500		-11.76%	-\$1,000	\$7,500
	Regional	\$9,959	-14.65%	-\$1,459	\$8,500		-11.76%	-\$1,000	\$7,500
TOTAL TUITION & FEES									
	Resident	\$37,032	4.00%	\$1,481	\$38,513		4.00%	\$1,541	\$40,054
	Non-Resident	\$65,886	4.00%	\$2,635	\$68,521		4.00%	\$2,741	\$71,262
	Regional	\$57,339	6.43%	\$3,685	\$61,023		5.65%	\$3,446	\$64,469

Notes:

** The regional rate for tuition must be 175% the resident rate.

ATTACHMENT 1 - Dental

**SCHOOL OF DENTAL MEDICINE
PROPOSED TUITION AND FEE SCHEDULE FOR FY 2016 and 2017**

		Current		PROPOSED				PROPOSED		
School of Dental Medicine		FY 2015		FY 2016				FY 2017		
Tuition		Base		%	Amount	FY 16		%	Amount	FY 17
	Resident	\$25,531		10.57%	\$2,700	\$28,231		8.63%	\$2,437	\$30,667
	Non-Resident	\$57,494		6.92%	\$3,978	\$61,472		6.13%	\$3,766	\$65,239
	Regional **	\$43,930		12.46%	\$5,474	\$49,404		8.63%	\$4,264	\$53,668
Professional School Fee										
	Resident	\$9,000		-14.65%	-\$1,319	\$7,682		-13.02%	-\$1,000	\$6,681
	Non-Resident	\$9,000		-14.65%	-\$1,319	\$7,682		-13.02%	-\$1,000	\$6,681
	Regional	\$9,000		-14.65%	-\$1,319	\$7,682		-13.02%	-\$1,000	\$6,681
TOTAL TUITION & FEES										
	Resident	\$34,531		4.00%	\$1,381	\$35,912		4.00%	\$1,436	\$37,349
	Non-Resident	\$66,494		4.00%	\$2,660	\$69,154		4.00%	\$2,766	\$71,920
	Regional	\$52,930		7.85%	\$4,155	\$57,085		5.72%	\$3,265	\$60,350

Notes:

Professional School Fees from the master schedule from last year includes health insurance

** The regional rate for tuition must be 175% the resident rate.

ATTACHMENT 2 - PEERS - SOM

SCHOOL OF MEDICINE
Tuition, Fees, Health Insurance Cost for First Year Medical Students
Association of American Medical Colleges (AAMC)
Public Schools - 2014/2015

SCHOOL	RESIDENT TUITION & FEES & HLTH. INS.	Rank	% Tile	SCHOOL	NON-RESIDENT TUITION & FEES & HLTH. INS.	Rank	% Tile
Western Michigan	51,428	1	99%	South Carolina Greenville	86,403	1	99%
Virginia	49,563	2	98%	a4	86,382	2	98%
Oakland Beaumont	48,099	3	96%	Illinois	76,488	3	96%
Oregon	44,809	4	95%	Central Michigan	73,789	4	95%
Rutgers-RW Johnson	41,681	5	94%	Nebraska	72,925	5	94%
Rutgers New Jersey	40,855	6	93%	Northeast Ohio	72,637	6	93%
Central Michigan	40,652	7	92%	FIU-Wertheim	71,690	7	91%
UC Davis	40,585	8	91%	Hawaii-Burns	71,666	8	90%
SUNY Upstate	40,356	9	89%	South Dakota-Sanford	70,760	9	89%
Stony Brook	40,286	10	88%	Tennessee	68,609	10	88%
Colorado	40,194	11	87%	Florida Atlantic-Schmidt	68,276	11	86%
FIU-Wertheim	40,190	12	86%	Wayne State	67,749	12	85%
South Carolina Greenville	40,083	13	85%	Utah	66,751	13	84%
South Carolina	40,062	14	84%	Stony Brook	66,326	14	83%
SUNY Downstate	39,913	15	82%	Colorado	66,149	15	81%
Illinois	39,488	16	81%	Toledo	66,095	16	80%
Buffalo	39,180	17	80%	Connecticut	65,887	17	79%
Minnesota	38,993	18	79%	SUNY Upstate	65,516	18	78%
Florida	38,973	19	78%	Maryland	65,176	19	77%
Northeast Ohio	38,947	20	76%	SUNY Downstate	65,073	20	75%
Louisville	38,460	21	75%	Michigan State	64,911	21	74%
Maryland	38,056	22	74%	Buffalo	64,340	22	73%
Hawaii-Burns	37,682	23	73%	Kentucky	63,948	23	72%
MU South Carolina	37,370	24	72%	MU South Carolina	63,834	24	70%
Indiana	37,202	25	71%	East Tennessee-Quillen	63,357	25	69%
Connecticut	37,033	26	69%	Alabama	62,503	26	68%
Vermont	36,811	27	68%	Rutgers-RW Johnson	61,545	27	67%
UC San Diego	36,637	28	67%	Oregon	61,353	28	65%
Cooper Rowan	36,628	29	66%	U Washington	61,260	29	64%
Utah	36,529	30	65%	Florida State	61,010	30	63%
UC Irvine	36,504	31	64%	Nevada	60,901	31	62%
UC San Francisco	36,392	32	62%	Vermont	60,851	32	60%
Tennessee	36,176	33	61%	Rutgers New Jersey	60,719	33	59%
UC Riverside	36,173	34	60%	Missouri Kansas City	60,443	34	58%
Iowa-Carver	36,074	35	59%	Eastern Virginia	60,338	35	57%
Wright State-Boonshoft	35,820	36	58%	Virginia	60,171	36	56%
Virginia Commonwealth	35,608	37	56%	Missouri Columbia	59,920	37	54%
Toledo	35,589	38	55%	GRU MC Georgia	59,852	38	53%
UCLA-Geffen	35,557	39	54%	Kansas	59,827	39	52%
Kentucky	34,888	40	53%	LSU New Orleans	59,383	40	51%
Wayne State	34,829	41	52%	South Alabama	59,140	41	49%
Kansas	34,769	42	51%	Central Florida	58,454	42	48%
Eastern Virginia	34,540	43	49%	Indiana	57,556	43	47%
USF-Morsani	33,726	44	48%	West Virginia	57,176	44	46%
South Dakota-Sanford	33,554	45	47%	Cooper Rowan	57,125	45	44%

ATTACHMENT 2 - PEERS - SOM

SCHOOL OF MEDICINE

Tuition, Fees, Health Insurance Cost for First Year Medical Students

Association of American Medical Colleges (AAMC)

Public Schools - 2014/2015

Florida Atlantic-Schmidt	33,522	46	46%	Louisville	56,870	46	43%
Michigan	33,506	47	45%	USF-Morsani	54,915	47	42%
Southern Illinois	33,453	48	44%	LSU Shreveport	53,913	48	41%
Michigan State	33,415	49	42%	Oklahoma	53,726	49	40%
East Tennessee-Quillen	33,079	50	41%	Arkansas	52,979	50	38%
Missouri Columbia	32,826	51	40%	Iowa-Carver	52,852	51	37%
Ohio State	32,709	52	39%	UC Davis	52,830	52	36%
Arizona	32,579	53	38%	Wright State-Boonshoft	52,480	53	35%
U Washington	32,220	54	36%	North Dakota	51,812	54	33%
Arizona Phoenix	32,214	55	35%	Arizona	51,772	55	32%
GRU MC Georgia	32,048	56	34%	Arizona Phoenix	51,772	56	31%
Cincinnati	32,039	57	33%	Virginia Commonwealth	51,572	57	30%
Central Florida	31,580	58	32%	New Mexico	51,480	58	28%
South Alabama	31,458	59	31%	Western Michigan	51,428	59	27%
Missouri Kansas City	31,147	60	29%	Ohio State	51,405	60	26%
Nebraska	31,145	61	28%	Florida	51,229	61	25%
LSU New Orleans	30,663	62	27%	Michigan	51,214	62	23%
West Virginia	30,203	63	26%	Cincinnati	50,303	63	22%
Nevada	29,581	64	25%	Marshall-Edwards	49,988	64	21%
Alabama	29,403	65	24%	Minnesota	49,897	65	20%
Arkansas	28,845	66	22%	North Carolina	49,338	66	19%
North Dakota	28,614	67	21%	UC San Diego	48,882	67	17%
Wisconsin	27,569	68	20%	UC Irvine	48,749	68	16%
Massachusetts	27,435	69	19%	UC San Francisco	48,637	69	15%
Mississippi	26,842	70	18%	UC Riverside	48,418	70	14%
Florida State	26,459	71	16%	Oakland Beaumont	48,099	71	12%
Oklahoma	25,644	72	15%	UCLA-Geffen	47,802	72	11%
LSU Shreveport	23,167	73	14%	Wisconsin	37,465	73	10%
North Carolina	22,459	74	13%	UT HSC San Antonio	34,896	74	9%
Marshall-Edwards	22,398	75	12%	UT Southwestern	31,668	75	7%
New Mexico	21,303	76	11%	UT Houston	30,303	76	6%
East Carolina-Brody	20,558	77	9%	Texas Tech-Foster	30,289	77	5%
UT HSC San Antonio	20,489	78	8%	UT Medical Branch	30,151	78	4%
UT Southwestern	18,568	79	7%	Texas Tech	30,145	79	2%
UT Houston	18,203	80	6%	Texas A & M	29,532	80	1%
Texas Tech-Foster	17,189	81	5%	Puerto Rico	22,113	81	0%
UT Medical Branch	17,051	82	4%	East Carolina-Brody	N/A	82	
Texas Tech	17,045	83	2%	Massachusetts	N/A	83	
Texas A & M	16,432	84	1%	Mississippi	N/A	84	
Puerto Rico	12,101	85	0%	Southern Illinois	N/A	85	
Average 33,107				Average 56,731			

NOTES: Source - AAMC data as of 11/13/2014

NA - Do not accept non-resident students

SCHOOL OF DENTAL MEDICINE
Tuition, Fees, Health Insurance Cost for First Year Dental Students
American Dental Education Association (ADEA)
Public Schools - 2013/2014

SCHOOL	RESIDENT TUITION & FEES & HLTH. INS.	Rank	% Tile
University of South Carolina	63,081	1	97%
UNLV	54,780	2	95%
University of California, San Francisco	49,283	3	92%
UCLA	48,491	4	89%
University of Iowa	47,305	5	87%
University of Florida	46,457	6	84%
University of Oregon	42,501	7	82%
University of Illinois	40,800	8	79%
SUNY Stonybrook	40,485	9	76%
University of Washington	39,843	10	74%
University of Maryland	39,739	11	71%
University of Medicine and Dentistry, New Jersey	38,605	12	68%
Virginia Commonwealth University	38,086	13	66%
University of Minnesota	36,644	14	63%
University of Colorado	35,320	15	61%
SUNY Buffalo	34,848	16	58%
Ohio State University	34,675	17	55%
University of Kentucky	34,210	18	53%
University of Indiana	34,118	19	50%
University of North Carolina	34,069	20	47%
University of Tennessee	33,785	21	45%
Georgia Regents University	33,733	22	42%
University of Missouri, Kansas City	33,635	23	39%
Southern Illinois University	33,585	24	37%
University of Connecticut**	32,887	25	34%
University of Michigan	32,258	26	32%
University of Louisville	30,994	27	29%
University of Nebraska	30,600	28	26%
University of East Carolina	30,389	29	24%
LSU	27,626	30	21%
University of Oklahoma	26,550	31	18%
West Virginia University	26,190	32	16%
University of Alabama	26,148	33	13%
University of Mississippi	25,578	34	11%
University of Texas, San Antonio	24,539	35	8%
Baylor College of Dentistry	23,722	36	5%
University of Texas, Houston	22,997	37	3%
University of Puerto Rico	11,876	38	0%
University of Utah	N/A*		

Average	35,274
----------------	---------------

SCHOOL	NON-RESIDENT TUITION & FEES & HLTH. INS.	Rank	% Tile
University of South Carolina	95,895	1	97%
Southern Illinois University	90,689	2	94%
UNLV	90,090	3	91%
SUNY Stonybrook	75,695	4	89%
University of Florida	72,957	5	86%
University of Tennessee	71,933	6	83%
University of Maryland	70,616	7	80%
University of Nebraska	70,261	8	77%
University of Iowa	70,063	9	74%
Ohio State University	69,865	10	71%
University of Indiana	67,929	11	69%
SUNY Buffalo	67,568	12	66%
University of Oregon	67,189	13	63%
University of Illinois	66,691	14	60%
West Virginia University	64,827	15	57%
University of Kentucky	64,724	16	54%
University of Connecticut**	63,328	17	51%
Virginia Commonwealth University	62,705	18	49%
University of North Carolina	62,264	19	46%
University of Minnesota	61,964	20	43%
University of Louisville	61,948	21	40%
University of California, San Francisco	61,528	22	37%
University of Washington	60,660	23	34%
University of Colorado	60,623	24	31%
University of Missouri, Kansas City	60,496	25	29%
University of Medicine and Dentistry, New Jersey	60,261	26	26%
UCLA	57,692	27	23%
University of Alabama	57,186	28	20%
University of Oklahoma	54,458	29	17%
LSU	51,090	30	14%
University of Michigan	48,588	31	11%
University of Texas, San Antonio	35,339	32	9%
University of Texas, Houston	34,589	33	6%
Baylor College of Dentistry	34,522	34	3%
University of Puerto Rico	18,791	35	0%
University of Mississippi	N/A		
University of Utah	61,500		
University of East Carolina	N/A		
Georgia Regents University	N/A		

Average	62,429
----------------	---------------

Source: ADEA 2014 Guide to Dental Schools - for incoming 2015 Fall Students - tuition and fees are for 2013-2014.

Proposed for FY15/16 was \$34,531 and \$66,494

The combined tuition and fees data presented in this report were adjusted to enable fair comparisons between schools that are not using consistent definitions for "fees" versus other expenses included in the costs of instruments, books, supplies and similar items. Such adjustments were made for 15 schools with very low or no fees but much higher than average other expenses.

NA* - New School - not enough data

NA - Do not accept non-resident students

ATTACHMENT 3 - SOM

**SCHOOL OF MEDICINE
FINANCIAL AID FY 15**

ITEM	MEDICINE	INTEREST RATE %
Federal Loans		
Unsubsidized Direct Loans	\$ 8,901,939	6.21%
Grad Plus Direct Loans	\$ 1,337,202	7.21%
sub total	\$ 10,239,141	
Institutional Loans		
University Loan	\$ 411,519	5.00%
Health Professions Loan	\$ 9,740	5.00%
Loans for Disadvantaged Students	\$ 110,761	5.00%
sub total	\$ 532,020	
Institutional Grants		
HCOP	\$ 1,942,596	
Merit	\$ 83,750	
Tuition Remission	\$ 1,358,095	
sub total	\$ 3,384,441	
Grand total	\$ 14,155,602	

Notes:

Health Professions Loan and Loans for Disadvantaged Students have been added

Dollar amounts are as of 11-13-14

ATTACHMENT 3 - Dental

**SCHOOL OF DENTAL MEDICINE
FINANCIAL AID FY 15**

ITEM	DENTAL MEDICINE	INTEREST RATE %
Federal Loans		
Unsubsidized Direct Loans	\$ 4,420,630	6.21%
Grad Plus Direct Loans	\$ 636,407	7.21%
sub total	\$ 5,057,037	
Institutional Loans		
University Loan	\$ 172,786	5.00%
Health Professions Loan	\$ 206,314	5.00%
Loans for Disadvantaged Students		5.00%
sub total	\$ 379,100	
Institutional Grants		
HCOP	\$ 913,761	
Merit	\$ 38,025	
Tuition Remission	\$ 689,645	
sub total	\$ 1,641,431	
Grand total	\$ 7,077,568	

Notes:

Health Professions Loan and Loans for Disadvantaged Students have been added

Dental Merit includes Scholarships awarded through the UConn Foundation

Dollar amounts are as of 11-13-14

ATTACHMENT 4 - SOM

UCONN SCHOOL OF MEDICINE
AAMC Database: Public Schools of Medicine 2014/2015
Comparisons to Local, Public Competitor Schools

Resident

SCHOOL	FY 11	FY 12	FY 14	FY 15
UMDNJ-R W Johnson Medical Schl-Rutgers	\$34,632	\$36,454	\$39,077	\$41,681
UMDNJ-New Jersey Medical Schl-Rutgers	\$34,254	\$36,324	\$38,516	\$40,855
SUNY Upstate Medical Univ.	\$29,428	\$31,795	\$37,383	\$40,356
Stony Brook University Health	\$27,345	\$29,861	\$36,600	\$40,286
SUNY Downstate Coll of Med	\$28,854	\$31,963	\$37,063	\$39,913
Univ at Buffalo Sch of Med	\$26,307	\$26,602	\$35,945	\$39,180
Univ of Maryland Schl of Med	\$30,418	\$32,400	\$35,931	\$38,056
U of Connecticut School of Med	\$31,055	\$32,297	\$35,269	\$37,033
Univ of Vermont College of Med	\$31,971	\$32,856	\$35,110	\$36,811
Univ of Mass Medical School	\$20,144	\$23,164	\$27,014	\$27,435

Non-Resident

SCHOOL	FY 11	FY 12	FY 14	FY 15
Stony Brook University Health	\$51,265	\$56,421	\$61,790	\$66,326
U of Connecticut School of Med	\$55,252	\$57,462	\$62,749	\$65,887
SUNY Upstate Medical Univ.	\$53,348	\$58,355	\$62,573	\$65,516
Univ of Maryland Schl of Med	\$51,665	\$54,710	\$61,810	\$65,176
SUNY Downstate Coll of Med	\$52,774	\$58,523	\$62,253	\$65,073
Univ at Buffalo Sch of Med	\$44,147	\$50,522	\$61,135	\$64,340
UMDNJ-R W Johnson Medical Schl	\$53,341	\$56,285	\$60,733	\$61,545
Univ of Vermont College of Med	\$53,311	\$54,786	\$58,330	\$60,851
UMDNJ-New Jersey Medical Schl	\$52,963	\$56,155	\$60,172	\$60,719
Univ of Mass Medical School	N/A	N/A	N/A	N/A

Notes:

Data sorted by current year in descending order

ATTACHMENT 4 - Dental

UCONN SCHOOL OF DENTAL MEDICINE
ADEA Database: Public Schools of Dental Medicine 2013/2014
Comparisons to Local, Public Competitor Schools

Resident

SCHOOL	FY12	FY 13	FY 14
SUNY Stony Brook	\$31,605	\$35,597	\$40,485
Univ of Maryland Schl of Med	\$36,503	\$37,976	\$39,739
UMDNJ-New Jersey Medical Schl	\$37,488	\$38,548	\$38,605
SUNY Buffalo	\$31,333	\$34,099	\$34,848
U of Connecticut School of Dental Med	\$30,116	\$31,321	\$32,887

UCONN costs are current

Notes:

Data sorted by current year in descending order

Non-Resident

SCHOOL	FY12	FY 13	FY 14
SUNY Stony Brook	\$60,285	\$67,377	\$75,695
Univ of Maryland Schl of Med	\$65,938	\$69,177	\$70,616
SUNY Buffalo	\$60,013	\$65,879	\$67,568
U of Connecticut School of Dental Med	\$57,992	\$57,992	\$60,312
UMDNJ-New Jersey Medical Schl	\$57,319	\$59,371	\$60,261

UCONN costs are current

ATTACHMENT 5 - SOM**Accepted Students Not Matriculating at UConn****SCHOOL OF MEDICINE****Top 18 Competitor Schools:**

**Students Accepted at UConn Who Elected
to Matriculate at Another AAMC School (n=117)**

Class entering in the Fall of 2014

School	#	%	Resident Tuition, Fees & Health Ins.	Non-Resident Tuition, Fees & Health Ins.
Boston Univ	7	6.14%	\$57,420	\$57,420
Yale	7	6.14%	\$56,725	\$56,725
Massachusetts	6	5.26%	\$27,435	N/A
Darthmouth	5	4.39%	\$60,528	\$60,528
Tufts	4	3.51%	\$61,436	\$61,436
Columbia	4	3.51%	\$59,063	\$59,063
Virginia	4	3.51%	\$49,563	\$60,171
Vanderbilt	4	3.51%	\$49,092	\$49,092
U Pennsylvania	3	2.63%	\$57,528	\$57,528
Georgetown	3	2.63%	\$57,516	\$57,516
Cornell	3	2.63%	\$56,690	\$56,690
Brown	3	2.63%	\$55,421	\$55,421
Pittsburgh	3	2.63%	\$53,714	\$54,936
Emory	3	2.63%	\$53,404	\$53,404
Albert Einstein	3	2.63%	\$53,142	\$53,142
U Chicago	3	2.63%	\$52,191	\$52,191
Minnesota	3	2.63%	\$38,993	\$49,897
U Michigan	3	2.63%	\$33,506	\$51,214
Subtotal / Average	71	62.27%	\$51,854	\$55,669
Median			\$54,568	\$56,708

Source: 2014 Joint Acceptance Report from the AAMC

ATTACHMENT 5 - Dental**Accepted Students Not Matriculating at UCHC****SCHOOL OF DENTAL MEDICINE****Class entering in the Fall of 2013**

There are no data available indicating where students matriculate when they turn down an offer to attend UConn SoDM. Anecdotally, it is unusual for a Connecticut resident to turn down an offer to attend the SoDM and when this occurs they most often matriculate at the 4 schools listed below.

School	#	%	Resident Tuition & Fees	Non-Resident Tuition & Fees
Tufts			\$71,473	\$71,473
Columbia			\$70,630	\$70,630
U Penn			\$69,472	\$69,472
Harvard**			\$64,805	\$64,805
Subtotal / Average			\$69,095	\$69,095
Median			\$70,051	\$70,051

**Harvard - avg of 4 yrs of fees.

ATTACHMENT 14



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Putnam Refectory Renovation
(Design: \$23,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$23,000,000 for the design and construction services required of the Putnam Refectory Renovation Project.

BACKGROUND:

The purpose of this project is to renovate the lower and upper floors of the Putnam Refectory in order to accommodate an increased seating capacity of 300 seats for a total of 700 seat dining facility. This is needed because of the construction of the new STEM Residence Hall adjacent to Putnam. This design budget is to allow for design and construction services to be completed and meet LEED Silver certification requirements.

The Design Budget reflects a total project cost of \$23,000,000 based upon recently completed schematic design estimate and minimum program requirements needed to meet future student needs. The project design will be completed by the end of the first quarter 2015. Construction will begin soon after and be completed before the start of fall 2016 semester.

The Design Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: PUTNAM REFECTORY RENOVATION

	APPROVED PLANNING 1/24/2014	APPROVED REVISED PLANNING 8/6/2014	PROPOSED DESIGN 12/10/2014
<u>BUDGETED EXPENDITURES</u>			
	SARCC		
CONSTRUCTION	\$ -	\$ -	\$ 17,400,000
DESIGN SERVICES	260,000	1,600,000	1,700,000
TELECOMMUNICATIONS	-	-	40,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	500,000
CONSTRUCTION ADMINISTRATION	-	-	240,000
OTHER AE SERVICES (including Project Management)	6,000	75,000	772,500
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	25,000
INSURANCE AND LEGAL	-	10,000	10,000
MISCELLANEOUS	-	-	12,500
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 266,000	\$ 1,685,000	\$ 20,700,000
PROJECT CONTINGENCY	34,000	815,000	2,300,000
TOTAL BUDGETED EXPENDITURES	\$ 300,000	\$ 2,500,000	\$ 23,000,000
<u>SOURCE(S) OF FUNDING</u>			
UConn 2000 PHASE III - FY12 DM	\$ 300,000	\$ 300,000	\$ 300,000
UConn 2000 PHASE III - FY15 DM	-	2,106,286	2,106,286
UConn 2000 PHASE III - FY16 & FY17 DM	-	93,714	20,593,714
TOTAL BUDGETED FUNDING	\$ 300,000	\$ 2,500,000	\$ 23,000,000

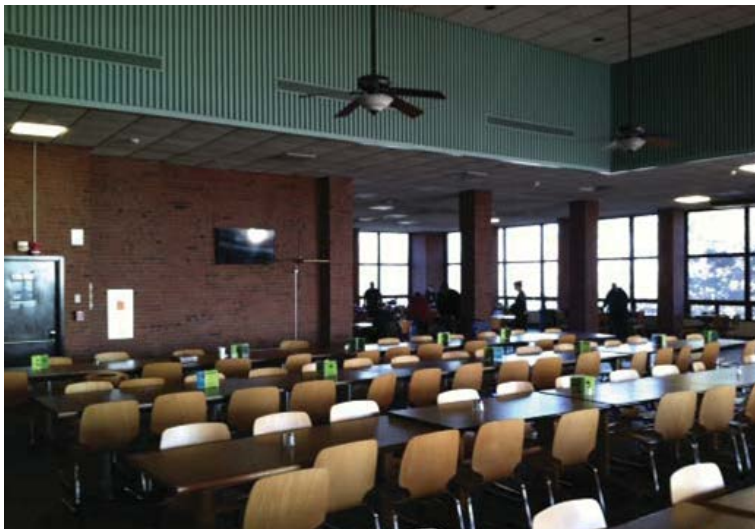
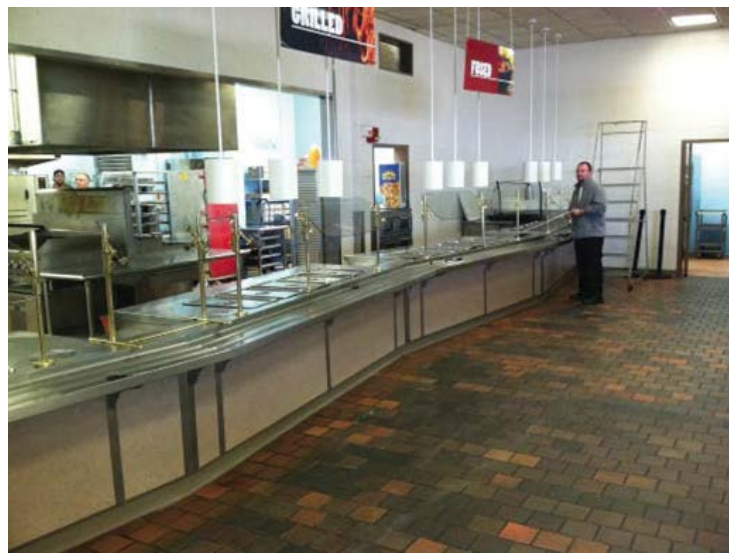
BOT 12.10.14

901820

PUTNAM REFECTORY RENOVATION

Project Budget (DESIGN)

12/10/2014



ATTACHMENT 15



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for McMahon Kitchen Alterations
(Final: \$885,935)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$885,935 for the construction of the McMahon Kitchen Alterations Project.

BACKGROUND:

The purpose of this project is to install a new dishwasher and associated waste disposal equipment in the McMahon Dining Complex. This project will also provide a new conveyor for dirty dishes as well as a pulper/extractor/dehydrator to process food waste. The existing dishwasher was installed in 1962 and has exceeded its life expectancy. The new dishwasher is faster, more efficient and less energy intensive.

The Final Budget reflects a total project cost of \$885,935 based upon contractor bid results of November 6, 2014. Construction will mobilize soon after spring 2015 exams and be completed by mid-summer.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: MCMAHON KITCHEN ALTERATIONS

	APPROVED PLANNING 11/13/2013	APPROVED DESIGN 8/6/2014	PROPOSED FINAL 12/10/2014
<u>BUDGETED EXPENDITURES</u>			
	SARCC		
CONSTRUCTION	\$ 353,000	\$ 660,000	\$ 700,000
DESIGN SERVICES	-	70,000	66,550
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	40,000	-
OTHER AE SERVICES (including Project Management)	7,000	36,250	36,580
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	2,000	5,120
MISCELLANEOUS	-	2,685	2,685
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 360,000	\$ 810,935	\$ 810,935
PROJECT CONTINGENCY	40,000	75,000	75,000
TOTAL BUDGETED EXPENDITURES	\$ 400,000	\$ 885,935	\$ 885,935
<u>SOURCE(S) OF FUNDING</u>			
DEPARTMENTAL FUNDS	\$ 400,000	\$ 885,935	\$ 885,935
TOTAL BUDGETED FUNDING	\$ 400,000	\$ 885,935	\$ 885,935

ATTACHMENT 16



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – Husky Village Housing Complex
Heating Upgrades (Final: \$600,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$600,000 for the design and construction required to upgrade the heating systems at the Husky Village Housing Complex.

BACKGROUND:

Enhanced heating controls are required to provide proper air distribution and better temperature control for the safety, health, and comfort of the occupants and residents of the Husky Village Housing Complex. The existing air distribution systems are simple, constant volume type systems which do not have the ability to provide individual room thermostatic control. As a result, there are heating deficiencies and imbalances in many rooms, and occupant complaints during the winter. The lack of adequate heat distribution during the winter has also led to frozen and broken sprinkler pipes, significant water damage, increased use of electric space-heaters, and inconvenience to students.

The University is requesting a waiver to the three step budget approval process in order to begin construction as soon as possible.

The Final Budget is attached for your consideration and approval.

Attachment

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: RESIDENTIAL LIFE FACILITIES - HUSKY VILLAGE HOUSING COMPLEX
HEATING UPGRADES**

	APPROVED PLANNING 8/18/2014	PROPOSED FINAL 12/10/2014
<u>BUDGETED EXPENDITURES</u>		
	PRC	
CONSTRUCTION	\$ -	\$ 450,000
DESIGN SERVICES	30,000	17,800
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	40,000
OTHER AE SERVICES (including Project Management)	1,000	14,700
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	5,000
MISCELLANEOUS	-	5,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 31,000	\$ 532,500
PROJECT CONTINGENCY	4,000	67,500
TOTAL BUDGETED EXPENDITURES	\$ 35,000	\$ 600,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ 35,000	\$ 600,000
TOTAL BUDGETED FUNDING	\$ 35,000	\$ 600,000

BOT 12.10.14

901871

Residential Life Facilities – Husky Village
Housing Complex Heating Upgrades
Project Budget (FINAL)
12/10/2014




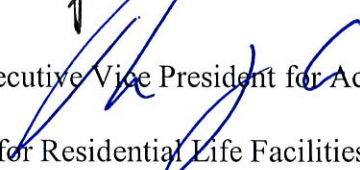
ATTACHMENT 17



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano 
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – Whitney Hall Renovations
(Final: \$685,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$685,000 for the renovation of Whitney Hall.

BACKGROUND:

The purpose of this project is to renovate space in Whitney Hall as part of the consolidation of residential life operations. This space will be used by employees that were formerly housed in Rome Hall and the Putnam Refectory.

The Student Affairs department is located in Whitney Hall in approximately 4,200 SF on the UConn main campus. The project includes removal of existing interior partitions, millwork, mechanical and electrical systems. The new construction includes building 18 offices and 3 open workstations with the existing structural components integrated into the layout where possible.

The Final Budget reflects a total project cost of \$685,000 based upon the architect's estimate. The project design was completed in October 2014. Construction will begin soon after fall exams and completed by the spring semester 2015.

The University is requesting a waiver to the three stage budget approval process in order to begin construction as soon as possible.

The Final Budget is attached for your consideration and approval.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: RESIDENTIAL LIFE FACILITIES - WHITNEY HALL
RENOVATIONS**

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 12/10/2014
CONSTRUCTION	\$ 478,155
DESIGN SERVICES	-
TELECOMMUNICATIONS	18,000
FURNITURE, FIXTURES AND EQUIPMENT	90,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	14,345
ART	-
RELOCATION	17,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
OTHER SOFT COSTS	-
SUBTOTAL	\$ 617,500
PROJECT CONTINGENCY	67,500
TOTAL BUDGETED EXPENDITURES	\$ 685,000
<u>SOURCE(S) OF FUNDING</u>	
UConn 2000 PHASE III	\$ 685,000
TOTAL BUDGETED FUNDING	\$ 685,000

RESIDENTIAL LIFE FACILITIES – WHITNEY HALL RENOVATIONS

Project Budget (FINAL)

12/10/2014



Will become Accessible Entrance

ATTACHMENT 18



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Technology Quadrant Phase III – Innovation Partnership Building (Final: \$162,300,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$162,300,000 for Technology Quadrant Phase III – Innovation Partnership Building.

BACKGROUND:

Over the past 15 years the University of Connecticut (UConn) has built strong industry–university relationships by focusing on technology that supports business growth. Additionally, UConn has achieved strong academic success by recruiting outstanding research faculty, doubling research grants, increasing technology transfer activities and increasing the size, strength and diversity of its undergraduate and graduate student body.

The world class Innovation Partnership Building will offer shared laboratories with highly specialized instrumentation and equipment for use by industry scientists and business entrepreneurs as they work side by side with UConn researchers. With state-of-the-art technology, we will be able to transform research advances into commercially applicable products in areas identified in the 2006 Battelle Study. Technology supported in the Innovation Partnership Building will include product development and testing, information systems and biomedical engineering. The building will provide specialty labs which focus on additive manufacturing and advanced characterization as well as provide tenant space for technology focused companies wanting to use the instrumentation and equipment, and/or partner with UConn.

This facility will be a multi-story research building (approximately - 114,000 gross square foot) comprised primarily of the specialty labs containing specialized instrumentation and equipment

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

and large open space for future tenant lab and offices. Funding for the specialized instrumentation and equipment is also included in this project.

Public Act 11-57 authorized the issuance of \$172,500,000 of State General Obligation Bonds for the purpose of the development of a technology park and related buildings at the university, including planning, design, construction and improvements, and purchase of equipment; land acquisition and on-site and off-site utilities and infrastructure improvements. Public Act 14-98 reduced the authorization to \$169,500,000. This budget only reflects the Innovation Partnership Building (\$162,300,000 allocated from Tech Park bonds) and does not include the North Hillside Road Completion project or the Water Supply project (\$7,200,000 allocated from Tech Park bonds).

The design of the building is 100% complete and the project has been publicly bid. Construction is planned to commence in January 2015 contingent upon approval of bond funds from the State Bond Commission. The University has applied to the Bond Commission for release of funds which is anticipated at the upcoming December 12, 2014 Bond Commission meeting

This Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: TECHNOLOGY QUADRANT PHASE III - INNOVATION PARTNERSHIP BUILDING

	APPROVED PLANNING 6/23/2011	APPROVED REVISED PLANNING 3/26/2014	APPROVED DESIGN 8/6/2014	PROPOSED FINAL 12/10/2014
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ 103,300,000	\$ 90,200,000	\$ 90,200,000	\$ 92,200,000
DESIGN SERVICES	10,575,000	9,200,000	9,200,000	9,200,000
TELECOMMUNICATIONS	60,000	800,000	800,000	700,000
FURNITURE, FIXTURES AND EQUIPMENT	1,300,000	1,300,000	1,300,000	1,500,000
LAB EQUIPMENT	38,000,000	40,000,000	40,000,000	40,000,000
CONSTRUCTION ADMINISTRATION	1,000,000	1,300,000	1,300,000	2,300,000
OTHER AE SERVICES (including Project Management)	3,628,750	3,500,000	3,500,000	5,600,000
ART	890,000	900,000	900,000	900,000
RELOCATION	-	-	-	-
ENVIRONMENTAL	416,250	100,000	100,000	100,000
INSURANCE AND LEGAL	55,000	100,000	100,000	100,000
MISCELLANEOUS	25,000	100,000	100,000	100,000
OTHER SOFT COSTS	-	-	-	-
SUBTOTAL	\$ 159,250,000	\$ 147,500,000	\$ 147,500,000	\$ 152,700,000
PROJECT CONTINGENCY	13,250,000	14,800,000	14,800,000	9,600,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 172,500,000</u>	<u>\$ 162,300,000</u>	<u>\$ 162,300,000</u>	<u>\$ 162,300,000</u>
<u>SOURCE(S) OF FUNDING</u>				
STATE GENERAL OBLIGATION BONDS*	<u>\$ 172,500,000</u>	<u>\$ 162,300,000</u>	<u>\$ 162,300,000</u>	<u>\$ 162,300,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 172,500,000</u>	<u>\$ 162,300,000</u>	<u>\$ 162,300,000</u>	<u>\$ 162,300,000</u>

* Note: Public Act 11-57 authorized the issuance of \$172,500,000 of State General Obligation Bonds for the purpose of the development of a technology park and related buildings at the university, including planning, design, construction and improvements, land acquisition, purchase of equipment, on-site and off-site utilities and infrastructure improvements. Public Act 14-98 reduced the authorization to \$169,500,000. This budget only reflects the Innovation Partnership Building and does not include the North Hillside Road Completion project or the Water Supply project .

ATTACHMENT 19



December, 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Whetten Renovations and Code Remediation (Final: \$710,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$710,000 for the construction of the Whetten Renovations and Code Remediation.

BACKGROUND:

The purpose of this project is to address the expansion of personnel for the Graduate School and the Office of the Vice President for Research (OVPR). This project will include renovation and conversion of existing spaces to accommodate new offices and work stations as follows: ten offices; nine workstations; one conference room; improved reception areas; upgraded restrooms. Code remediation work identified on the State Fire and Building Safety Inspector Report will be included in the project.

The Final Budget reflects a total project cost of \$710,000 based upon the architect's estimate. The project design was completed during November 2014. Construction will start in December and will be completed by the end of March 2015.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: WHETTEN RENOVATIONS & CODE REMEDIATION

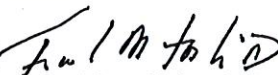
	APPROVED PLANNING 3/31/2014	APPROVED DESIGN 8/6/2014	PROPOSED FINAL 12/10/2014
<u>BUDGETED EXPENDITURES</u>			
	PRC		
CONSTRUCTION	\$ -	\$ 285,200	\$ 349,000
DESIGN SERVICES	27,000	80,000	66,665
TELECOMMUNICATIONS	-	33,500	14,555
FURNITURE, FIXTURES AND EQUIPMENT	-	147,500	180,000
CONSTRUCTION ADMINISTRATION	-	40,000	-
OTHER AE SERVICES (including Project Management)	525	25,000	25,000
ART	-	-	-
RELOCATION	-	4,500	4,500
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	2,500	2,500
MISCELLANEOUS	-	5,800	4,500
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 27,525	\$ 624,000	\$ 646,720
PROJECT CONTINGENCY	3,000	62,000	63,280
TOTAL BUDGETED EXPENDITURES	\$ 30,525	\$ 686,000	\$ 710,000
<u>SOURCE(S) OF FUNDING</u>			
DEPARTMENTAL FUNDS	\$ 30,525	\$ 567,300	\$ 584,000
UConn 2000 PHASE III - FY15 DM	-	118,700	126,000
TOTAL BUDGETED FUNDING	\$ 30,525	\$ 686,000	\$ 710,000

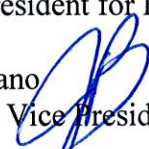
ATTACHMENT 20

UConn HEALTH

December 10, 2014

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH 
Executive Vice President for Health Affairs & Dean, School of Medicine

John M. Biancamano 
Interim Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health New 3T MRI Renovations
(Planning: \$3,400,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$760,000 for the UConn Health 3T MRI Renovations.

BACKGROUND:

An existing MRI unit located in the Medical Arts and Research Building failed in August, 2013. Magnetic Resonance Imaging (MRI) uses a large magnet and radio waves to take pictures of bones and soft tissues. Unlike CT scans, MRI works without radiation. An MRI provides images that assist in diagnosis of torn knee ligaments and cartilage, torn rotator cuffs, herniated disks, hip and pelvic problems, and other conditions. The Department of Diagnostic Imaging and Therapeutics has maintained MRI capability in the Main Building, however returning MRI capability to this location enhances the core clinical and research services.

The new unit planned for this location is a 3 Tesla (T) MRI machine. The 3T machine is a significant improvement in both image quality and speed compared to the failed unit's capabilities.

This project proposes to renovate the existing MRI room to house the replacement machine. The renovations include reconfiguration of spaces to create a larger room and new magnetic shielding for the unit.

The 3T MRI equipment for this location is planned to be leased.

The Planning Budget is attached for your consideration. Please note that the budget is based upon conceptual estimates and may change based upon the actual design work. This Planning Budget is anticipated to be approved by the Board of Directors Finance Committee and the UConn Health Board of Directors at their respective meetings on December 8, 2014.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCONN HEALTH - 3T MRI RENOVATIONS

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 12/10/2014</u>
CONSTRUCTION	\$ 486,000
DESIGN SERVICES	60,000
TELECOMMUNICATIONS	7,000
FURNITURE, FIXTURES AND EQUIPMENT	2,450,000
CONSTRUCTION ADMINISTRATION	17,000
OTHER AE SERVICES (including Project Management)	60,000
ART	-
RELOCATION	2,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	2,000
MISCELLANEOUS	7,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 3,091,000
PROJECT CONTINGENCY	309,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,400,000</u>
<u>SOURCE(S) OF FUNDING</u>	
UCONN HEALTH CAPITAL FUNDS	<u>\$ 3,400,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 3,400,000</u>

BOT 12.10.14



15-003

ATTACHMENT 21

UConn HEALTH

December 10, 2014

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH
Executive Vice President for Health Affairs & Dean, School of Medicine 
John M. Biancamano 
Interim Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health; Demolition of Dowling North and Dowling South Buildings (Final: \$1,295,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,295,000 for the UConn Health; Demolition of Dowling North and Dowling South Buildings.

BACKGROUND:

As a result of the Bioscience Connecticut initiative, the State of Connecticut and Jackson Laboratory (JAX) formed a collaboration that included the development of the Jackson Laboratory for Genomics Medicine on the UConn Health Farmington campus.

The ground lease agreement, approved in January 2012, called for the leased property to be cleared and delivered to JAX in two parcels. The first parcel was cleared in December 2012 to allow the new Center for Genomic Medicine to be constructed. The second parcel is required to be cleared and delivered to JAX by April 30, 2015. This land will allow Jackson lab to develop parking for their new facility and is the long term site for further lab building construction.

This project will clear the second parcel by demolishing the Dowling North and Dowling South Buildings. The budget increase from the previously approved Planning Budget is due to better information and estimates on the actual work and to allow for additional work requested and funded by Jackson Laboratory (loam and seed over the Dowling North area of the site).

The project is out to bid and bids are due on December 4, 2014. Because of the schedule for this project we are requesting a waiver of the 3 stage project budget process. The Planning Budget was approved in March and rather than approval of a Design Budget we are requesting approval of the Final budget.

The Final Budget, attached for your consideration, is based upon final estimates and may change based upon the actual bids. This Final Budget is anticipated to be approved by the Board of Directors Finance Committee and the UConn Health Board of Directors at their respective meetings on December 8, 2014.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

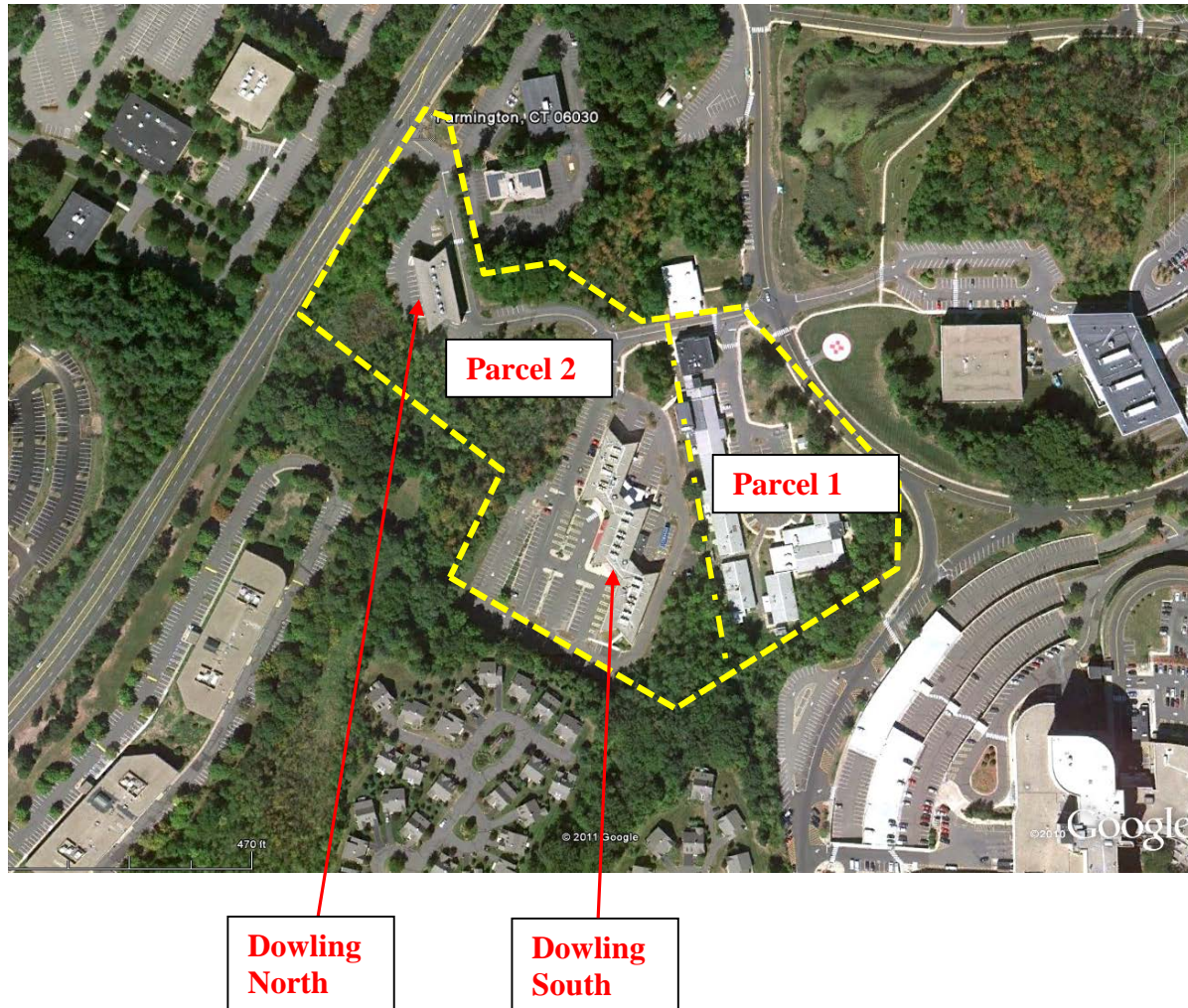
PROJECT NAME: UCONN HEALTH - DEMOLITION OF DOWLING NORTH AND DOWLING SOUTH BUILDINGS

	APPROVED PLANNING 3/26/2014	PROPOSED FINAL 12/10/2014
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 800,000	\$ 1,057,000
DESIGN SERVICES	85,000	79,000
TELECOMMUNICATIONS	42,000	7,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	15,000
ENVIRONMENTAL	30,000	10,000
INSURANCE AND LEGAL	5,000	1,000
MISCELLANEOUS	10,000	8,000
SUBTOTAL	\$ 972,000	\$ 1,177,000
PROJECT CONTINGENCY	98,000	118,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,070,000</u>	<u>\$ 1,295,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 PHASE III - DM	\$ 1,070,000	1,266,000
JACKSON LABORATORIES	-	29,000
TOTAL BUDGETED FUNDING	<u>\$ 1,070,000</u>	<u>\$ 1,295,000</u>

UCHC LOWER CAMPUS – JAX LAB SITE

Project Budget (FINAL)

12/10/2014



ATTACHMENT 22



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2015, 2016 and 2017 HR/Payroll Implementation into Core-CT

RECOMMENDATION:

That the Board of Trustees approve the implementation of UConn Human Resources (HR) and Payroll functions into Core-CT in the total amount of \$13,220,000, including contingency.

BACKGROUND:

In accordance with CGS 10a-9b, UConn has agreed to utilize Core-CT to meet its HR and Payroll business functions. A Memorandum of Agreement was executed between UConn, the Office of the State Comptroller (OSC) and the Office of Policy and Management (OPM) which outlines several key points, including meeting UConn's HR and Payroll business requirements; meeting OPM's budgetary and financial reporting needs; project structure; project budget and funding; procurement of implementation services; and post-production support.

The project will enable UConn to decommission its aging and unsupported HR/Payroll legacy system which operates on the University's mainframe. The proposal is to implement into Core-CT utilizing the most current version of the Oracle HCM software. The estimated kickoff date is April 2015. The project consists of two parallel efforts: 1) implementation of HR/Payroll business functions into Core-CT, and 2) implementation of a data warehouse reporting solution at UConn. The total estimated cost of the project is \$25.72M, including contingency. OPM has agreed to provide funding in the amount of \$12.5M.

The project is sponsored by the Finance and Budget Division and has been fully reviewed by University Information Technology Services. It has also been reviewed by the Information Technology Functional Partners committee, comprised of multi-disciplined University departments. The project budget contemplates anticipated consulting, software and hardware needs, to be procured in accordance with State contracting requirements and University policies and procedures. The budget will be funded from UCONN 2000 Equipment, Library Collections & Telecommunications funds.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

ATTACHMENT 23



December 10, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Kual Financial System Upgrade

RECOMMENDATION:

That the Board of Trustees approve the system upgrade of the Kual Financial System (KFS) and Kual RICE infrastructure in the total amount of \$1,600,000, including contingency.

BACKGROUND:

The Kual Financial System (KFS) is an integrated enterprise system which supports UConn financial organizations including – Accounting, Accounts Payable, Bursar, Purchasing, and Sponsored Programs. KFS is also the system of record for the processing of critical financial transactions and workflows. The University's KFS application, 4.1.1, was implemented in July 2012 and currently lacks enhanced structural and functional capabilities that are available in the most recent version of the software.

The proposed project will enable UConn to upgrade the current application to Kual 5.3 and the supporting Kual RICE infrastructure to 2.3.6. The proposed project kickoff date of December, 2014 supports the full upgrade of the application with consideration of the upcoming CoreCT project, as well as the Human Resources and Payroll Data Warehouse implementation. Deferring the KFS/RICE upgrade most likely would result in a 3-year delay in future application enhancements. A potential delay would also result in an overall increase of complexity and user impact of future KFS versions.

This project is sponsored by the Finance and Budget Division and has been fully reviewed by University Information Technology Services. It has also been reviewed by the Information Technology Functional Partners committee, comprised of multi-disciplined University departments. The project budget contemplates anticipated consulting needs, to be procured in

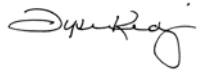
Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070

accordance with State contracting requirements and University policies and procedures. The budget will be funded from UCONN 2000 Equipment, Library Collections & Telecommunications funds.

ATTACHMENT 24

December 10, 2014

TO: Members of the Board of Trustees

FROM: Tysen Kendig, Vice President for Communications 

RE: Memorandum of Understanding between the University of
Connecticut and University of Connecticut Alumni Association

RECOMMENDATION:

That the Board of Trustees approve to extend the Memorandum of Understanding (MOU) between the University of Connecticut Alumni Association and the University of Connecticut, for three additional months, which will cover January 1, 2015 through March 31, 2015.

BACKGROUND:


For several years, the UConn Alumni Association and the University have entered into annual agreements that outline the relationship between the two entities and the responsibilities of the Association with respect to alumni relations on behalf of UConn. The MOU was previously approved for six months on June 24, 2014.

Until last year, these annual agreements have been adjusted by the University administration. Keeping in line with a recommendation made by state auditors that formal approval of agreements of this size and nature be granted by the Board of Trustees, this MOU has been unanimously forwarded by the Institutional Advancement Committee for review and consent by the Board.

This renewed MOU is substantially similar to the previously approved MOU with regard to the nature of the relationship between the Association and the University. The most significant change involves a reduction in the time frame of the MOU from six months to three months. The rationale is that for several years, the Association has discussed moving to an all-inclusive, non-dues based membership model incorporating all living alumni. Extending the MOU for three months allows necessary time for additional exploration of the fiscal considerations and implications of this change.

ATTACHMENT 25

December 10, 2014

TO: Members of the Board of Trustees
FROM: Susan Herbst 
RE: Modifications to the Named Gift Guidelines

RECOMMENDATION:

Effective January 1, 2015, that the Board of Trustees of the University of Connecticut approve modifications to the Named Gift Guidelines, as shown at Attachment A, to increase the recommended gift minimum for establishing a named endowed fund from \$25,000 to \$50,000 (and in the case of funds established by, in honor of, or in memory of faculty or staff from \$10,000 to \$25,000);

That the recommended gift minimum for establishing a named endowed scholarship or graduate fellowship be \$50,000 for any fund with criteria restrictions limited to school, college, department, program, or qualified academic major; and that the recommended gift minimum be \$100,000 for establishing a named endowed scholarship or graduate fellowship fund restricted by any additional selection criteria; and

That any existing proposals that document a discussion of a naming opportunity with a donor be grandfathered at the discussed funding level for a period not to exceed one year from the date of approval of the Named Gift Guidelines by the University's Board of Trustees.

BACKGROUND:

In consultation with the University, the UConn Foundation is proposing to increase the minimum funding levels as set forth. Several important factors have driven this recommendation. As the Foundation has grown, there has been a proliferation of small individual endowment accounts that generate awards in the range of \$500 – \$1,500 annually. The administration of these accounts requires significant University and Foundation staff resources. In addition, these smaller endowments are difficult to steward as they require the accumulation of several years of investment income to make an impactful award to students. A review of peer institutions indicates that our current

endowment minimums are below the majority. The Foundation's objective in increasing the minimums is for endowed funds to have a greater impact at the University and provide a more rewarding experience for our donors.

Minimum endowment levels will be created for endowment as described below.

\$50,000 – Named endowment funds providing programmatic support can be established at this level.

\$50,000 – Scholarship and graduate fellowship endowments can be established at this level for funds with criteria restrictions limited to school, college, department, program, or qualified academic major without additional restrictions.

\$100,000 - Scholarship and graduate fellowship endowments can be established at this level and can include additional selection criteria such as GPA, residency, or extracurricular activities.

Note: Athletic scholarship endowment minimums will remain at \$125,000.

Any existing proposals that document a discussion of a naming opportunity with a donor will be grandfathered at the discussed funding level for a period not to exceed one year from the date of approval of the Guidelines by the University's Board of Trustees.

Modifications to the Named Gift Guidelines were endorsed by The UConn Foundation Board of Directors on November 7, 2014 and the Institutional Advancement Committee on November 18, 2014.

Named Gift Guidelines

To Be Proposed to the University Board of Trustees on
December 10, 2014

Approved by The University of Connecticut Foundation, Inc. Board of Directors on
November 7, 2014

The University of Connecticut, inclusive of the University of Connecticut Health Center, (“University”) and the UConn Foundation, Inc. (“Foundation”) have jointly developed the following revised Named Gift Guidelines concerning University naming opportunities and Foundation funds which replace and supersede the prior guidelines approved by the Trustees on [September 27, 2012](#)~~March 6, 2007~~¹. The University and Foundation will review these guidelines from time to time and may submit recommended changes to the University’s Board of Trustees, through its Institutional Advancement Committee (“IA Committee”), for review and approval.

I. Procedures for Approving Naming Opportunities

1. The following procedures are established for approving naming opportunities in recognition of charitable contributions:
 - a. Deanships, Distinguished Chairs, Chairs, and Professorships. All new deanships, distinguished chairs, chairs and professorships must be established and filled in accordance with University policies. Such positions may be named in recognition of donors with the approval of the Board of Trustees, upon recommendation and following approval by the IA Committee, when sufficient endowment funds have been provided through an outright gift or a written pledge to support a significant share of the position-holder’s salary, and/or research, teaching or related academic expenses. See Recommended Gift Minimums provided below.

Upon recommendation of the Foundation President and the University Provost or the Executive Vice President of Health Affairs for the UConn Health Center, the University President will present to the IA Committee a proposal for naming a deanship, distinguished chair, chair or professorship. The University President’s recommendation to the IA Committee will address: the purpose of the named position in light of the University’s academic mission, source of funding of the contribution, and such other matters as may be relevant to the creation of the named position.

- b. Schools, Institutes, and Centers. All new schools, institutes and centers must be established and approved in accordance with University policies. Schools, Institutes and Centers may be named by approval of the Board of Trustees, upon recommendation and following approval by the IA Committee, when significant funds have been provided through an outright gift or a written pledge to support the operation of the School, Institute or Center. See Recommended Gift Minimums provided below. The Foundation President in conjunction with the University Provost, or the Executive Vice President for Health Affairs at the UConn Health Center, or the

¹ Any existing proposals that document a discussion of a naming opportunity with a donor will be grandfathered at the discussed funding level for a period not to exceed one year from the date of approval of the guidelines by the Board of Trustees.

University Athletic Director, will make a recommendation to the University President. The University President will present to the IA Committee a proposal for establishment of a named school, institute, or center.

Facility². A Facility may be named when funds have been provided through an outright gift or written pledge in an amount that is significant in consideration of the cost, size, utility and visibility of the Facility. See Recommended Gift Minimums below. Any Facility naming must be approved by the Board of Trustees, upon recommendation and following approval by the IA Committee. The Foundation President in conjunction with the University Provost, or the Executive Vice President for Health Affairs at the UConn Health Center, or the University Athletic Director, will make a recommendation to the University President. The University President will present to the IA Committee a proposal for establishment of such a named Facility.

The naming of all facilities must be consistent with Article XV, section F of the University of Connecticut bylaws, which provides:

“Naming of Facilities - The act of naming or renaming a University facility for a person, family or organization confers one of the University’s highest and most conspicuous honors. The President is authorized to establish guidelines and procedures in accordance with this policy for naming University facilities. The naming of any facility must be approved by the University of Connecticut Board of Trustees upon recommendation of the University President.”

When a Facility ceases to exist, the University will make reasonable efforts to continue to recognize the donor in an appropriate way; however, the University will not be obligated to transfer recognition to another Facility.

On occasion it may be appropriate for the Foundation to obtain pre-approval of multiple naming opportunities for a Facility construction or renovation project such as a: new building, new building wing, renovated building, renovation of a section of a building, or similar such project. The Foundation, after consultation with the school, college or unit engaged in the Facility project, may present to the IA Committee for approval a list of naming opportunities and associated gift values using a formula that takes into account the cost of a new project or the value of an existing Facility, plus the size, utility and visibility of the space to be named. The Foundation may rely on such pre-approved naming opportunities in soliciting gifts provided, however, it is understood that specific names for each space must still be presented for approval by the appropriate authority on an individual basis as described in these guidelines.

- c. All other naming opportunities The University Provost, the Executive Vice President for Health Affairs at the UConn Health Center, or the University Athletic Director upon recommendation of the head of the relevant college, school or unit. Examples include, but are not limited to, scholarship, program and research funds.

² “Facility” for purposes of these guidelines mean any section of campus, proposed or existing building or section thereof, outdoor space, (such as a garden, courtyard or plaza) and other tangible and relatively permanent feature located on University property.

II. Removal of Naming Opportunities

The University may remove a name from any Deanship, Distinguished Chair, Chair, Professorship, School, Institute, Center, Facility, or other naming opportunity upon the failure of a financial commitment to be satisfied by a donor. The University, at its sole discretion, also reserves the right to withdraw a name on any Deanship, Distinguished Chair, Chair, Professorship, School, Institute, Center, Facility or other naming opportunity under extraordinary circumstances when, based on information unavailable at the time of the naming or that arises thereafter, the continued use of the name would compromise the public trust or reflect adversely upon the University.

III. Documenting Naming Opportunities

The following procedures are established for documenting University approval of the terms of named funds and accounts administered and held by the Foundation. All such funds and accounts must be established subject to the approval of any relevant naming opportunities by either the Board of Trustees or the University's senior leadership, as specifically set forth above. The Foundation's [External ~~Donor~~ Relations](#) Department should be notified upon completion of documenting all naming opportunities.

1. Named Non-endowed Funds

Named non-endowed funds must be documented through a memorandum of understanding or other appropriate document that provides the terms of the fund and is approved by the donor, the Foundation President or his or her designee, and the head of the relevant college, school or unit who will have signatory authority over the fund.

2. Named Endowed Funds

Named endowed funds must be documented in an endowment agreement or other appropriate document that provides the terms of the fund and is approved by the donor; the Foundation President; the University Provost, Executive Vice President for Health Affairs, or the University Athletic Director; and the head of the relevant college, school or unit who will have signatory authority over the fund.

Endowment funds are recommended, but not required, to be fully funded during a period not to exceed five (5) years. However, in certain instances, with the approval of all required signatories on the fund, a named endowment fund will be established without being fully funded during the typical five year period. In such instances, consideration may be given to the immediate activation of the scholarship, program, or faculty position if the following commitments are met:

- i. An expendable multi-year commitment is made by the donor each year through the first year the endowment is fully funded, which is equivalent to the spending allocation amount expected each year from the fully funded endowment; and
- ii. The agreement with the donor is irrevocable in nature (i.e. pledge, charitable remainder trust, charitable gift annuity, or similar arrangement).

IV. Recommended Gift Minimums

The following recommended [endowment](#) gift minimums are provided for naming opportunities. A school, college, or unit may request an exception to these minimums based on the facts and circumstances of a particular gift. All such exceptions must be made in a manner that is consistent with the procedures for approval of naming opportunities set forth above.

School/College

In order to name a school or college, a donor must provide a truly transformative gift in the form of an endowed fund to support that school or college. The income from this endowed fund must provide income equal to an appropriate percentage of the annual operation budget of the school or college.

Faculty Support

Deanship	\$ 3,000,000
Distinguished Chair	\$ 3,000,000
Chair	\$ 1,500,000
Professorship	\$ 750,000
Visiting Professorship	\$ 500,000
Associate Professorship	\$ 300,000
Program Directorship	\$ 250,000
Faculty In-Residence	\$ 150,000
Faculty Fellowship	\$ 100,000

Student Support

Husky Pride Athletic Scholarship	\$ 125,000
----------------------------------	------------

Named Undergraduate Scholarship/Graduate Fellowship with minimal restrictions designating support for school, college, department, program, or qualified academic major only	\$ 25,000/\$10,000 <u>50,000</u> ²
---	--

Named Undergraduate Scholarship/Graduate Fellowship with additional selection criteria (e.g., grade point average, residency, or extracurricular activities)	\$ 100,000
--	------------

Programmatic Support

Lectureship	\$ 250,000
Academic Enhancement Fund	\$ 25,000/\$10,000 <u>50,000</u> ²

Athletic Coaching Support

Athletic Directorship	\$ 2,500,000
-----------------------	--------------

² Graduate Fellowships, Undergraduate Scholarships, Academic Enhancement Funds may be endowed at a minimum level of \$~~10~~25,000 for funds established by, in honor of, or in memory of University faculty or staff.

Head Coach (Football, Basketball)	\$ 2,000,000
Head Coach (all other)	\$ 500,000
Assistant Coach Basketball	\$ 500,000
Assistant Coach (all other)	\$ 250,000

Facilities

The following amounts are minimum recommended contributions to name a Facility. When approving gift minimums and granting exceptions, consideration should be given to, without limitation, the comparative funding requirements of peer institutions; the public visibility of the naming opportunity; the cost, size, prominence, location, usage and aesthetic quality of facilities; and other relevant factors. For additional guidance see Exhibit A.

Buildings	Typically 25-50% of the cost of facility
Large Auditorium	\$ 1,500,000 – 2,000,000
Atrium/Grand Entrance	\$ 1,000,000 – 2,000,000
Courtyard/Mall/Plaza	\$ 1,000,000 – 2,000,000
Research/Laboratory Center	\$ 1,000,000 – 2,000,000
Roads	\$ 500,000 – 1,000,000
Lecture Hall	\$ 500,000 – 1,000,000
Dean's Suite	\$ 250,000 – 500,000
Seminar Room	\$ 250,000 – 500,000
Conference Room (Departmental/Large)	\$ 100,000 – 250,000
Library Reading Room	\$ 100,000 – 250,000
Research Lab	\$ 100,000 – 250,000
Faculty Lounge	\$ 50,000
Conference Room (Small/Multi-use)	\$ 25,000
Patient Room	\$ 25,000
Student Study Lounge	\$ 25,000 – 50,000
Graduate Office	\$ 15,000
Study Carrel	\$ 5,000

Exhibit A

In considering whether the naming of a Facility is appropriate recognition for a contribution the following formula is provided as guidance. Individual circumstances may vary.

Minimum Recommended Gift = Facility Size (sq. ft.) x Percentage of the Cost Per Square Foot x Utility Factor x Location Factor

Square Feet — The physical size of the space (e.g., square footage of the entire building, square footage of the room).

Percentage of the Cost Per Square Foot — The cost of construction of the physical space. (e.g., for a “new” building less than 10 years old cost is the actual construction cost multiplied by 50%, for an “older” building more than 10 years use replacement cost multiplied by 25%).

Utility Factor— Answers the question: how widely useful (or flexible) is a space? Spaces such as entire buildings, auditoriums, and lecture halls should have a utility factor of 1.0. Moderately useful/flexible spaces such as conference rooms and small classrooms should have a utility factor of .75. Less useful/flexible spaces such as faculty offices or staff conference rooms should have a utility factor of .5.

Location Factor—Answers the question: how prominent/visible is a space? Spaces such as high-traffic buildings, classrooms on a ground floor should have a location factor of 1.0. Spaces such as less prominent buildings, classrooms on upper floors should have a location factor of .75. Low-traffic rooms on upper floors should have a location factor of .5.

Two examples of the formula using the West Classroom Building on the Storrs Campus - \$42M Project completed in 2011 (67,000 square foot building):

Lecture Hall #101 (located on ground floor)

<u>Size</u>	x	<u>Cost Per Square Foot x 50%</u>	x	<u>Utility Factor</u>	x	<u>Location Factor</u>	=	<u>Min. Recommended Gift</u>
2,833sq'	x	\$627/sq' x .50	x	1.0	x	1.0	=	\$ 888,145

Classroom #201 (located on 2nd floor)

<u>Size</u>	x	<u>Cost Per Square Foot x 50%</u>	x	<u>Utility Factor</u>	x	<u>Location Factor</u>	=	<u>Min. Recommended Gift</u>
1,339sq'	x	\$627/sq' x .50	x	.75	x	.75	=	\$ 236,124

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
New Hires Processed from October 16, 2014 - November 25, 2014
Presented to the Board of Trustees for Information on Professional Employees

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>DATE</u>
ALI, RASHID	U POST DOC FEL 1	ANIMAL SCIENCE	11/7/2014
BRUNEAU, YOANN	U POST DOC FEL 1	MATERIALS SCIENCE INST	10/30/2014
BURNHAM, KEVIN J.	UC PROFESSIONAL 08 - TECHNICAL ASSOC	CT TRANSPORTATION INST	11/14/2014
CARRERO, YESENIA N.	UC PROFESSIONAL 06 - GRAPHIC DES/ILL III	UNIVERSITY COMMUNICATIONS	10/31/2014
CARTER, SARA A.	RESEARCH ASSISTANT 1	SOCIAL WORK INSTR & RES	10/17/2014
CHENEY, PAMELA S.	UC PROFESSIONAL 06 - SHS NURSE	STUDENT HEALTH SERVICES	9/5/2014
CHIRIBOGA, CHRISTIAN A.	ASST COOP EXT EDUC	PLANT SCI & LANDSCAPE ARC	10/16/2014
CONNER, SANDRA	U ED ASSISTANT 3	PROVOST & EXVP ACAD AFF	10/31/2014
COPELAND, NINA N.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PROCUREMENT SERVICES	10/31/2014
DELMASTRO, CHRISTOPHER J.	UC PROFESSIONAL 05 - DNG SERV AR AST MGR	DINING SERVICES	10/17/2014
DES ARMIER, DAVID R. JR	UC PROFESSIONAL 07 - INSTRUCTIONAL DEV 1	UCONN ECAMPUS	10/31/2014
FIELD, LAURIE A.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PROCUREMENT SERVICES	10/31/2014
GLINES, KRISTEN R.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	ISS-1ST YR PROG/LEARNING	6/13/2014
HEWITT, CHRISTOPHER A.	UC PROFESSIONAL 04 - COMP TECH SUP CONS 1	BUSINESS DEAN OFC	11/14/2014
HIOB, OLIVER M.	ASST PROF IN RES	ENGLISH	8/23/2014
HUSICK, TANYA M.	UC PROFESSIONAL 07 - FACILITIES PROF II	LOGISTICS ADMINISTRATION	10/31/2014
KNUPP, TAWNYA L.	RESEARCH ASSOCIATE 2	EDUCATIONAL PSYCHOLOGY	11/3/2014
KOPAC, SARAH M.	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	10/31/2014
LANDIN, JUDITH S.	VISITING ASST PROF	MOLECULAR & CELL BIOLOGY	8/23/2014
LESSARD, TERRI K.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PROCUREMENT SERVICES	11/14/2014
LI, NA	U POST DOC FEL 1	CTR CLEAN ENERGY ENGINEER	10/1/2014
LIU, BEN	U POST DOC FEL 1	CHEMISTRY	10/20/2014
MALINOSKI, CHRISTOPHER P.	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	4/4/2014
PAL, SOUMITRA	U POST DOC FEL 1	COMPUTER SCIENCE & ENGR	11/7/2014
RUDRAIAH, SWETHA	U POST DOC FEL 1	PHYSIOLOGY & NEUROBIOLOGY	11/14/2014
SUSCA, KATHLEEN M.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PROCUREMENT SERVICES	10/31/2014
WARD, DANIEL P.	U POST DOC FEL 1	MARINE SCIENCES/MSTC	10/31/2014

University of Connecticut Department of Human Resources
Separations Processed from October 16, 2014 - November 25, 2014
Presented to the Board of Trustees for Information on Professional Employees

<u>NAME</u>	<u>TITLE</u>	<u>SEPARATION REASON</u>	<u>DEPT</u>	<u>DATE</u>
AHMED, SHAD U.	U STAFF PROF III	RESIGNATION (GOOD STANDING)	POLICE	10/31/2014
BEGLEY, AMY Y.	SPECIALIST IA	RESIGNATION (GOOD STANDING)	ATH	11/24/2014
BRAHMAD VENKATARAMAN, M.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ENERGY	10/27/2014
BUFFOLINO, JUDY A.	UC PROFESSIONAL 09 - EXECUTIVE ASST II	RETIREMENT (25+ YRS SERVICE)	ECAMPU	10/31/2014
DAVIS, SHARON A.	U STAFF PROF III	RETIREMENT (55/W 10-24 YEARS)	PAES	10/31/2014
DESJARDIN, BETHANY N.	UC PROFESSIONAL 06 - ADMIN MANAGER I	RESIGNATION (GOOD STANDING)	OSA	10/16/2014
DESMARAIS, KATHLEEN A.	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	RETIREMENT (25+ YRS SERVICE)	EAS	10/31/2014
GRAESSER, DONNASUE	LECTURER	RESIGNATION (GOOD STANDING)	PNB	10/30/2014
HAWKINS, DONNA I.	UC PROFESSIONAL 03 - PROG ASSISTANT I	RESIGNATION (GOOD STANDING)	CHIP	11/3/2014
KELLY, THERESA L.	UC PROFESSIONAL 03 - PROG ASSISTANT I	RESIGNATION (GOOD STANDING)	OSA	10/24/2014
KING, DAVID M.	UC PROFESSIONAL 05 - SPEC DESIGN TECH	RETIREMENT (55/W 10-24 YEARS)	BIOCTR	10/31/2014
NUCKOLS, JOY H.	UC PROFESSIONAL 06 - ADMIN SERV ASST IV	DEATH (NON-EMPLOYMENT RELATED)	STAM	10/28/2014
REYNOLDS, MELISSA D.	UC PROFESSIONAL 09 - EXEC PROGRAM DIR	RESIGNATION (GOOD STANDING)	STAM	10/31/2014
ROBINSON, GLORIA J.	UC PROFESSIONAL 08 - ADMISSIONS DIR/RC	RESIGNATION (GOOD STANDING)	SW	11/7/2014
SCARCELLA, JEFFREY A.	U STAFF PROF I	RESIGNATION (GOOD STANDING)	TECHPK	11/1/2014
THIBEAULT, JOSEPH	UC PROFESSIONAL 06 - DATA BASE PROG/ANLST	RESIGNATION (GOOD STANDING)	COMM	11/21/2014
VERONESE, JACQUELINE M.	UC PROFESSIONAL 05 - ADMIN COORDINATOR	RETIREMENT (25+ YRS SERVICE)	ME	10/31/2014
VYAS, VARUN	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	10/2/2014

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 11/26/14
Presented to the Board of Trustees for Information on Professional Employees

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>DATES</u>	<u>REASON FOR LEAVE</u>
Bar-On, Dorit	Professor	Philosophy	8/23/15 - 8/22/16	Other - Fellowship in Berlin with pay
Buckley, Christine	Writer/Editor IV (UCP VIII)	Liberal Arts & Sciences Dean	7/25/14 - 8/7/14	Medical leave with pay
Dennis, Kelly	Associate Professor	Art & Art History	8/22/14 - 11/13/14	Medical leave with pay
Martin, Gerard	Instructor in Residence	Kinesiology	8/23/14 - 1/15/15	Medical leave with pay
Milvae, Robert	Associate Professor	Animal Science	9/14/14 - 12/14/14	Medical leave with pay
Ripley, Scott	Associate Professor	Dramatic Arts	1/20/15 - 11/3/15	Personal - unpaid
Schofield, Carol	Financial Assistant II (UCP V)	Sponsored Programs	9/8/14 - 9/19/14	Medical leave with pay
Singer, Matthew	Associate Professor	Political Science	1/20/15 - 5/7/15	Other - Fellowship at Kellogg Inst with pay
Sottile, Melissa	Extension instructor	Business Dean's Office	7/30/14 - 8/6/14	Medical leave with pay
Steenburg, Nancy	Assistant Director BGS (UCP VII)	Avery Point Assoc. Vice Pres.	10/7/14 - 10/20/14	Medical leave with pay

COMMITTEE AGENDAS

AGENDA

Meeting of the BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE

December 4, 2014, 10:45 a.m.*

University of Connecticut

Rome Commons Ballroom, Storrs, Connecticut

Call In Number: (866) 776-6259 Participant Passcode: 7151229

** Presentations of Project Updates will be made at approximately **10:30 a.m.**
to both the BGE and CMOC Committees.*

DISCUSSION/INFORMATION ITEMS (10:30 a.m.) *:

ATTACHMENT

- 1) Discussion of State Legislation Pertaining to CMOC
- 2) Lowering Retainage Requirement on Construction Projects from 10% to 7.5% ~ Mathew Larson, Director of Procurement 4
- 3) Project Updates:
 - UCH ~ Thomas Trutter, Associate Vice President of Campus Planning, Design and Construction
 - Bio Science Connecticut
 - Owner Controlled Insurance Program (OCIP)
 - BioScience Connecticut Monthly Capital Projects Report (Informational) 5
 - Storrs Based Programs ~ Laura Cruickshank, University Master Planner and Chief Architect
 - Next Generation Connecticut
 - Downtown Hartford Update
 - University Master Plan Update
- 4) 2015 BGE Committee Schedule 7
- 5) Acquisition of Nathan Hale Inn 9

ACTION ITEMS:

- 6) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meetings of September 3, 2014 and October 23, 2014, as circulated 8

EXECUTIVE SESSION

AGENDA
Meeting of the
CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE (CMOC)

December 4, 2014, 10:00 a.m.*

University of Connecticut - Rome Commons Ballroom, Storrs, Connecticut

Call In Number: (866) 776-6259 Participant Passcode: 7151229**Note: Presentation of Project*

Updates will be at approximately 10:30 am. to both the CMOC and BG&E

ATTACHMENT

PUBLIC COMMENTS:

ACTION ITEMS:

- | | |
|---|---|
| 1. Approval of the Minutes of the Construction Management Oversight Committee (CMOC) Meetings held on September 3, 2014 | 1 |
|---|---|

PRESENTATIONS AND DISCUSSIONS:

- | | |
|---|---|
| 2. December 2014 Quarterly Report on Construction Performance Reported by the Office of Construction Assurance | 2 |
| 3. Updates On Operational & Organizational Activities & Improvements: | |
| • Laura A. Cruickshank, University Master Planner and Chief Architect, Office of Planning, Architecture & Engineering Services (PAES) | |
| • Matthew Larson, Director of Procurement Services, Capital Project and Contract Administration (CPCA) | 3 |
| 4. Status of Code Correction Projects: | |
| • Construction Management Oversight Committee Monthly Code Correction Status Report – Comments/Questions | |
| 5. September 30, 2014 Quarterly Construction Status Report – Comments/Questions | |
| 6. State Legislation Pertaining to CMOC | |
| 7. Lowering Retainage Requirement on Construction Projects from 10% to 7.5% ~ Matthew Larson, Director of Procurement | 4 |
| 8. Update of Current Construction Project Progress | |
| • UCHC | |
| ➤ Bio Science Connecticut | |
| ➤ Owner Controlled Insurance Program (OCIP) | |
| ➤ BioScience Connecticut Monthly Capital Projects Report (<i>Informational</i>) | 5 |
| • Storrs | |
| ➤ Next Generation Connecticut | |
| ➤ Downtown Hartford Update | |
| ➤ University Master Plan Update | |
| 9. 2015 CMOC Meeting Schedule | 6 |

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

Lakeside Conference Room

Tuesday, November 18, 2014
1:30 p.m.

1. Acceptance of the Minutes from October 8, 2014... Ms. Gandara
Attachment 1
2. UConn Foundation Report.....Mr. Otis
3. Naming RecommendationsMr. Otis
Attachment 2

Facilities:

- Dr. Lois Ann Reynolds '74 Conference Room
 - The Gerhard and Irmgard Stoll Laboratory
 - Werth Family UConn Basketball Champions Center
Specific named spaces
4. Modifications to the Named Gift Guidelines.....Mr. Otis
 5. Alumni Association ReportMs. Cotton Kelly
 6. Alumni Association Memorandum of UnderstandingMs. Rubin
 7. University Communications ReportMr. Kendig
 8. Legislative Update.....Ms. Garber
Ms. Lombardo

**THE UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE
December 10, 2014**

**Ground Level Portico, Lewis B. Rome Commons Ballroom, Storrs Campus
*12:00 NOON (anticipated), following the meeting of the Board of Trustees***

AGENDA

- | | | |
|-----|---|--|
| 1. | Call to order | Trustee Bailey |
| 2. | Review and Approval of minutes of 9/24/14 meeting | Trustee Bailey |
| 3. | Review and Approval of 2015 Meeting Schedule | Trustee Bailey |
| 4. | Chairwoman's Report | Trustee Bailey |
| 5. | Vice President for Student Affairs Report | Michael Gilbert |
| 6. | Wellness & Prevention Services Presentation | Eileen Stone
Director |
| 7. | Dean of Students Office | Elly Daugherty
Associate Vice President &
Dean of Students |
| 8. | UConn Storrs PIRG Constitution Updates | Christine Wilson
Assistant Vice President &
Director of Student Activities |
| 9. | Adjunct Faculty Reviews | Trustee Jelliffe |
| 10. | Student Trustees Report | Trustee Jelliffe
Trustee Daniels |
| 11. | Other Business | All |
| 12. | Adjournment | Trustee Bailey |

Academic Affairs

AGENDA

Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, December 10, 2014
Rome Commons Ballroom
Storrs, CT
9:00 a.m. – 9:15 a.m.

	<u>COMMITTEE</u>	<u>ATTACHMENT</u>
1) Minutes of the Academic Affairs Committee Meeting of October 29, 2014, as circulated	A	

ACTION ITEMS:

2) Sabbatical Leave Recommendations	2
3) Doctor of Philosophy in Systems Genomics	3
4) LL.M. (Master of Law) in Energy and Environmental Law	4
5) LL.M. (Master of Law) in Human Rights and Social Justice	5
6) Graduate Certificate in Intraoperative Neuromonitoring	6
7) Modifications to the Doctor of Philosophy and Master of Science Programs in Materials Science	7

EXECUTIVE SESSION *(As Needed)*

ATTACHMENT A

MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
October 29, 2014

Trustees: Bailey, Bessette, Cantor, Carbray, Dennis-LaVigne, Gandara, James, Jelliffe, Kruger, Lobo, Marshall, McHugh, Nayden, Ritter

Staff: Bedard, Biancamano, Callahan, Carone, Choi, Cruickshank, Cusson, Donahue, Elliott, Faustman, Fazio, Fearney, Fisher, Galloway, Gelston, Gilbert, Herbst, Holsinger, Jednak, Jockusch, Kazerounian, Kendig, Kirk, Larson, Locke, Mundrane, Nunnally, Orr, Patel, Reis, Reitz, Rubin, Seemann, Silbart, Simsek, Slowik, Stwalley, Torti, Trutter, Weiner, Wetstone, Wohl, Wrynn, Zehner

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Trustee Carbray, seconded by Trustee Lobo, the minutes of the September 24, 2014, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Tenure at Hire***. Moved by Trustee Lobo, seconded by Trustee Carbray, the Committee recommended approval of tenure at hire to the full Board.

Provost Choi introduced ***Action Item #3, Sabbatical Leave Recommendations***. Moved by Trustee Lobo, seconded by Trustee James, the Committee recommended approval of all sabbatical leave recommendations to the full Board.

Provost Choi introduced ***Action Item #4, Mathematics-Actuarial Science-Finance B.A. and B.S. Degrees in the College of Liberal Arts and Sciences***. Provost Choi invited Dean Jeremy Teitelbaum to provide a summary of the proposal to the Committee. Moved by Trustee James, seconded by Trustee Lobo, the Committee recommended approval of the Mathematics-Actuarial Science-Finance B.A. and B.S. degrees to the full Board.

Provost Choi introduced ***Action Item #5, Deactivate the Cytotechnology Program in the College of Agriculture, Health and Natural Resources***. Provost Choi invited Associate Dean Cameron Faustman to provide a summary of the deactivation to the Committee. Moved by Trustee Bailey, seconded by Trustee James, the Committee recommended deactivation of the Cytotechnology program to the full Board.

Provost Choi introduced ***Action Item #6, Naming Recommendation for the Senator Joseph I. Lieberman Conference and Lecture Series in Human Rights at the Stamford Campus***. Provost Choi invited Vice Provost for Global Affairs Daniel Weiner to provide a summary of the naming recommendation to the Committee. Moved by Trustee James, seconded by Trustee Carbray, the Committee recommended the naming of the Senator Joseph I. Lieberman Conference and Lecture Series in Human Rights to the full Board.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:11 a.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
December 10, 2014 at 9:15 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

**ATTACHMENT
LOCATION
COMMITTEE FULL BOARD**

- | | |
|--|---|
| 1) Approval of the Minutes of the Financial Affairs Committee Meeting of October 29, 2014, as circulated | A |
|--|---|

ACTION ITEMS:

- | | |
|--|----|
| 2) Contracts and Agreements for Approval | 1 |
| 3) Residential Life Facilities Project Definition | 11 |
| 4) Acquisition of Nathan Hale Inn | 12 |
| 5) Proposed School of Medicine and School of Dental Medicine Tuition and Fees Rates for Fiscal Years 2016 and 2017 | 13 |

PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>		<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
6) Putnam Refectory Renovation		Design	\$23,000,000	14
7) McMahon Kitchen Alterations		Final	\$885,935	15
8) Residential Life Facilities – Husky Village Housing Complex Heating Upgrades		Final	\$600,000	16
9) Residential Life Facilities – Whitney Hall Renovations		Final	\$685,000	17
10) Technology Quadrant Phase III – Innovation Partnership Building		Final	\$162,300,000	18
11) Whetten Renovations and Code Remediation		Final	\$710,000	19
<u>UConn HEALTH</u>				
12) UConn Health – New 3T MRI Renovations		Planning	\$3,400,000	20
13) UConn Health – Demolition of Dowling North and Dowling South Buildings		Final	\$1,295,000	21

IT PROJECT BUDGETS FOR APPROVAL:

- | | |
|--|----|
| 14) Fiscal Year 2015, 2016 and 2017 HR/Payroll Implementation Into Core-CT | 22 |
| 15) Kuali Financial System Upgrade | 23 |

**ATTACHMENT
LOCATION
COMMITTEE FULL BOARD**

INFORMATION ITEMS:

- | | |
|--|------------------------|
| 16) Contracts and Agreements for Information | B |
| 17) Construction Project Status Report | (Under Separate Cover) |
| 18) UConn and UConn Health Budget Update | (Under Separate Cover) |
| 19) UCONN 2000 – Book 39 http://uc2000.uconn.edu/reports/report39/report39.pdf | |

EXECUTIVE SESSION (*As Needed*)

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
October 29, 2014

TRUSTEES PRESENT: Louise Bailey, Andy Bessette, Shari Cantor, Richard Carbray, Michael Daniels, Andrea Dennis-LaVigne, Marilda Gandara, Juanita James (*via Telephone*), Jeremy L. Jelliffe, Thomas Kruger, Rebecca Lobo, Donny Marshall, Larry McHugh, Denis Nayden (*via Telephone*), and Thomas Ritter

STAFF PRESENT: John Biancamano, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Mun Choi, Robert Corbett, Laura Cruickshank, Amy Donahue, Joanne Fazio, Kim Fearney, David Galloway, Nicole Gelston, Jeff Geoghegan, Michael Gilbert, Brian Gore, Susan Herbst, Kent Holsinger, Michael Jednak, Tysen Kendig, Mike Kirk, Matthew Larson, Gary Lewicki, Susan Locke, Michael Mundrane, Brandon Murray, Richard Orr, Bhupen Patel, Sally Reis, Stephanie Reitz, Rachel Rubin, Jeff Seeman, Larry Silbart, Eli Strassfeld, Frank Torti, Tom Trutter, Scott Wetstone and Reka Wrynn

UNIVERSITY SENATE MEMBERS PRESENT:
Cheryl Beck, Elizabeth Jockusch, Angela Rola, William Stwalley, and Zeki Simsek

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:16 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger directed the committee to agenda *Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of September 24, 2014*. On a motion by Trustee Cantor and seconded by Trustee Bessette the minutes were approved as circulated.

Matthew Larson, Director of Procurement, presented a thorough overview of agenda *Item #2, Contracts and Agreements for Approval*. On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, detailed a project budget for the Storrs campus *for Facilities Operations Code Remediation, Infrastructure Upgrades and Reorganization Alterations (Final: \$990,000)*. On a motion by Trustee Bessette and seconded by Trustee Cantor the project budget was recommended to the full Board for approval.

Trustee Kruger directed the committee to the information items on the agenda. There being no additional agenda items the meeting was adjourned at 9:22 a.m. on a motion by Trustee Bessette and seconded by Trustee Cantor.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B

**CONTRACTS AND AGREEMENTS
FOR INFORMATION
December 10, 2014**

PROCUREMENT - NEW

CONSTRUCTION SERVICES

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Carlin Construction Company, LLC	201630	\$2,783,500	09/08/14-03/07/15	UCONN 2000	Laura Cruickshank, University Master Planner and Chief Architect	Construction of a new functional Magnetic Resonance Imaging (fMRI) facility to be located at the Storrs Campus <i>(Final Project Budget approved by the BOT on 06/25/14 - \$7,893,000)</i>
2	Enfield Builders, Inc.	201623	\$826,000	10/20/14-01/16/14	UCONN 2000	Laura Cruickshank, University Master Planner and Chief Architect	Installation of FOG (fats, oils and grease) devices in the North Campus Residence Hall, the Diary Bar, EDNA Whitney Residence Hall, and the Commissary Warehouse located at Storrs Campus. <i>(Final Project Budget approved by the BOT on 06/25/14 - \$2,000,000)</i>
3	O & G Industries, Inc.	901696	\$4,328,000	09/21/14-03/20/16	UCONN 2000	Laura Cruickshank, University Master Planner and Chief Architect	Installation of new steam-turbine driven chiller, new cooling tower, new chilled water pump, and new condenser water pump the Central Utility Plant located at the Storrs Campus. <i>(Final Project Budget approved by the BOT on 08/06/14 - \$6,250,000)</i>
4	Standard Builders Inc.	901750	\$14,999,379	10/06/14-10/01/15	UCONN 2000	Laura Cruickshank, University Master Planner and Chief Architect	Construction of a two story addition to the existing Cell and Genome Sciences Building located at UCH. <i>(Final Project Budget approved by the BOT on 09/24/14 - \$19,400,000)</i>
5	VMS Construction Company	901634	\$2,658,427	09/01/14-05/31/15	UCONN 2000	Laura Cruickshank, University Master Planner and Chief Architect	Installation of a wrapped cast iron water line in the existing right of way from the Willimantic Well Field to a point on Hunting Lodge Road <i>(Final Project Budget approved by the BOT on 06/25/14 - \$7,000,000)</i>

CONSULTANT FOR STUDY

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Skidmore, Owings & Merrill, LLP	201631	\$1,504,000	02/24/14-12/31/14	Multiple Sources	Laura Cruickshank, University Master Planner and Chief Architect	Master Planning services for the Storrs campus. Contract the result of a publicly advertised RFP. <i>(Revised Planning Budget approved by the BOT on 02/26/14 - \$3,000,000)</i>

FOOD SERVICES

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Bimbo Foods Inc.	10PSX0283	\$585,399	07/01/11-06/30/15	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	Provide bakery products for Dining Services for the dinning halls, catering and other venues on the Storrs campus. Zero (0) options to extend.

IT SOFTWARE IMPLEMENTATION

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	ExLibris (USA), Inc.	MF093013	\$999,999	06/20/14-06/19/17	Operating Funds-General	Martha Bedard, Vice Provost for University Libraries	Web-scale discovery services/library management system (WDS/LMS) to be utilized by all University libraries, including UCH. The cost associated with this system are shared between each of the libraries. Contract the result of a publicly advertised RFP.
2	Campus Management Corp.	MF022412	\$593,075	11/01/12-11/01/15	Operating Funds-General	Nathan Fuerst, Director of Undergraduate Admissions, Division of Enrollment Planning and Management	Implementation of integrated constituent relationship management software system, for Storrs campus. Contract the result of a publicly advertised RFP. Two (2) extensions of one (1) year each available.
3	Facility Engineering Associates, P.C.	MF120413	\$800,000	06/01/14-05/30/16	Operating Funds-General	Michael Jednak, Associate Vice President Facilities Operations and Building Services	Implementation of computerized maintenance management system for the Storrs campus. Services include data collection, data entry, and the development of preventative maintenance (PM) programs. Contract the result of a publicly advertised RFP. Two (2) extensions of two (2) years each available.

**CONTRACTS AND AGREEMENTS
FOR INFORMATION
December 10, 2014**

SERVICE AGREEMENT							
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Action Air Systems, Inc.	LM040114-1-1	\$900,000	10/09/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.
2	Air Temp Mechanical Services, Inc.	LM040114-1-2	\$900,000	10/09/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.
3	All State Construction, Inc.	LM040114-1-3	\$900,000	10/17/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.
4	Electrical Contractors, Inc.	LM040114-1-4	\$900,000	10/17/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.
5	New England Mechanical Services, Inc.	LM040114-1-5	\$900,000	10/09/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.
6	Environmental Systems Corporation	LM040114-1-6	\$900,000	10/09/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.
7	Prime Electric, LLC	LM040114-1-7	\$900,000	10/09/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.
8	Semac Electric Co., Inc.	LM040114-1-8	\$900,000	10/09/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.
9	Titan Mechanical Contractors, Inc.	LM040114-1-9	\$900,000	10/09/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.

CONTRACTS AND AGREEMENTS
FOR INFORMATION
December 10, 2014

SERVICE AGREEMENT (Continued)							
No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
10	Tradesmen of New England, LLC	LM040114-1-10	\$900,000	10/09/14-06/30/16	UCONN 2000	Michael Jednak, Associate Vice President Facilities Operations and Building Services	HVAC maintenance services for all University campuses, other than UCH. Contract the result of a publicly advertised RFP. Three (3) extensions of one (1) year each available.

STANDARD FIXED-FEE ARCHITECT'S CONTRACT

No.	Contractor	Contract No.	Amount	Term	Fund Source	Program Director	Purpose
1	Sasaki Associates, Inc.	901804	\$861,200	09/10/14-11/10/18	UCONN 2000	Laura Cruickshank, University Master Planner and Chief Architect	Bridging Architect services for building and site design of a new Honors Residence Hall to be located at the Storrs Campus. <i>(Revised Planning Project Budget approved by the BOT on 09/24/14 - \$3,500,000)</i>

PROCUREMENT - AMENDMENTS

ON-CALL TRADE CONTRACTOR SERVICES FOR GENERAL CONTRACTOR

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	The Nutmeg Companies, Inc.	OC.GC2014-950-19	\$40,000 <i>[Contract Value Previously \$950,000; Total New Contract Value \$990,000]</i>	05/01/14-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$265,500	\$0	\$0	On-call general contractor services for all University campuses, including UCH. <i>Amend to increase contract value by \$40,000, for a total new contract value of \$990,000.</i> Contract term remains the same. Two (2) of two (2) options to extend remaining for two (2) terms of one (1) year each.

STANDARD FIXED-FEE ARCHITECT'S CONTRACT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	DPC Architecture, Inc.	901373	\$5,395 <i>[Contract Value Previously \$1,447,699; Total New Contract Value \$1,453,094]</i>	01/11/10-06/30/15	UCONN 2000	Laura Cruickshank, University Master Planner and Chief Architect	\$1,436,539	\$129,010	\$285,594	Architectural services for Young Building renovation project on the Storrs campus. Sixth Amendment. <i>Amend to increase contract value by \$5,395, for a total new contract value of \$1,453,094. (Second Revised Final Project Budget approved by the BOT on 04/24/13 - \$19,773,430)</i>
2	Skidmore Owings & Merrill LLP	901661	\$268,451 <i>[Contract Value Previously \$8,597,123; Total New Contract Value \$8,865,574]</i>	04/18/12-11/21/16	UCONN 2000	Laura Cruickshank, University Master Planner and Chief Architect	\$6,528,277	\$3,434,686	\$2,085,399	Architectural services for Technology Quadrant Phase III on the Storrs campus. Fifth Amendment. <i>Amend to increase contract value by \$268,451, for a total new contract value of \$8,865,574. (Design Project Budget approved by the BOT on 08/6/14 - \$162,300,000)</i>

CONTRACTS AND AGREEMENTS
FOR INFORMATION
December 10, 2014

LEASES FOR INFORMATION

UNIVERSITY AS LANDLORD: *Technology Incubator Program Leases authorized pursuant to "Delegation of Authority to Approve Leases for the Technology Incubation Program" dated June 28, 2012*

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	AlloMek Therapeutics, LLC	\$1,500	09/01/14-8/31/15	Operating Fund - General	Jeff Seeman, Vice President for Research	New TIP Lease. Lease of space on the grounds of the University of Connecticut Health Center campus located in Farmington, CT. For the term the University will provide approximately 28.75 sq. ft. of space comprising Room R1702 of the Cell & Genome Sciences Building Building. The total payment for the lease term is \$1,500. The company has one option to extend the lease term for an additional year.
2	ImStem Biotechnology, Inc.	\$16,848	11/01/14-10/31/15	Operating Fund - General	Jeff Seeman, Vice President for Research	2nd Amendment to TIP Lease. Lease of space in the Cell & Genome Sciences Building, 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center Campus in Farmington CT. For the term the University will provide approximately 702 s.f. of space within rooms R1704, R1706 and R1709. The total payment for this term will be \$16,848.

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
December 10, 2014 at 9:15 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

Revised

**ATTACHMENT
LOCATION
COMMITTEE FULL BOARD**

- 1) Approval of the Minutes of the Financial Affairs Committee Meeting of October 29, 2014, as circulated A

ACTION ITEMS:

- | | |
|--|----|
| 2) Contracts and Agreements for Approval – Revised | 1 |
| 3) Residential Life Facilities Project Definition | 11 |
| 4) Acquisition of Nathan Hale Inn | 12 |
| 5) Proposed School of Medicine and School of Dental Medicine Tuition and Fees Rates for Fiscal Years 2016 and 2017 | 13 |

PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>		<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
6) Putnam Refectory Renovation		Design	\$23,000,000	14
7) McMahon Kitchen Alterations		Final	\$885,935	15
8) Residential Life Facilities – Husky Village Housing Complex Heating Upgrades		Final	\$600,000	16
9) Residential Life Facilities – Whitney Hall Renovations		Final	\$685,000	17
10) Technology Quadrant Phase III – Innovation Partnership Building		Final	\$162,300,000	18
11) Whetten Renovations and Code Remediation		Final	\$710,000	19
<u>UConn HEALTH</u>				
12) UConn Health – New 3T MRI Renovations		Planning	\$3,400,000	20
13) UConn Health – Demolition of Dowling North and Dowling South Buildings		Final	\$1,295,000	21

IT PROJECT BUDGETS:

- | | |
|--|----|
| 14) Fiscal Year 2015, 2016 and 2017 HR/Payroll Implementation Into Core-CT | 22 |
| 15) Kuali Financial System Upgrade | 23 |

**ATTACHMENT
LOCATION
COMMITTEE FULL BOARD**

INFORMATION ITEMS:

- | | |
|--|---|
| 16) Contracts and Agreements for Information | B |
| 17) Construction Project Status Report | (Under Separate Cover) |
| 18) UConn and UConn Health Budget Update | (Under Separate Cover) |
| 19) UCONN 2000 – Book 39 | http://uc2000.uconn.edu/reports/report39/report39.pdf |

EXECUTIVE SESSION (*As Needed*)

CONTRACTS AND AGREEMENTS

**** REVISED ****

FOR APPROVAL

December 10, 2014

PROCUREMENT - NEW

JANITORIAL							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	DTZ, Inc.	UC-15-LM011014-1A	\$35,795,850	01/01/15-06/30/20	Operating Funds - Auxiliary Services	Michael Jednak, AVP Facilities Operations and Building Services	Custodial services for the Storrs and Depot Campuses. This is the result of a publicly advertised RFP. Initial term is for thirty (30) months with an option to extend, at University's sole discretion, for three (3) additional one (1) year periods or parts thereof.
2	KeeClean, Inc.	UC-15-LM011014-1B	\$10,686,352	01/01/15-06/30/20	Operating Funds - Auxiliary Services	Michael Jednak, AVP Facilities Operations and Building Services	Custodial Services for the Regional Campuses, not including UCH. This is the result of a publicly advertised RFP. Initial term is for thirty (30) months with an option to extend, at University's sole discretion, for three (3) additional one (1) year periods or parts thereof.

SATELLITE/CABLE TV SERVICES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Charter Communications	UC-15-LP090613	\$1,250,000	01/01/15-06/30/17	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	Cable and satellite TV services to the Storrs campus. This is the result of a publicly advertised RFP. The term of this contract is for an initial term of two and one half (2 1/2) years with two (2) three (3) year extensions.

PROCUREMENT - AMENDMENTS

ON-CALL GENERAL CONTRACTORS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Sarazin General Contractors, Inc.	OC-GC2014-950-17	\$1,750,000 [Contract Value Previously \$950,000; Total New Contract Value \$2,700,000]	05/01/14-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$262,216	\$0	\$0	On-Call General Contractor Services for all University Campuses, including UCH. Maximum of \$500,000 per project. Amend to increase contract value by \$1,750,000, for a total new contract value of \$2,700,000. Two (2) one (1) year extensions remaining.
2	The Nutmeg Companies, Inc.	OC-GC2014-950-19	\$1,750,000 [Contract Value Previously \$950,000; Total New Contract Value \$2,700,000]	05/01/14-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$451,165	\$0	\$0	On-Call General Contractor Services for all University Campuses, including UCH. Maximum of \$500,000 per project. Amend to increase contract value by \$1,750,000, for a total new contract value of \$2,700,000. Two (2) one (1) year extensions remaining.

CATERING FOR ATHLETICS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	KHR (d/b/a A Step Above Catering)	UC-KA050709-8	\$163,491 [Contract Value Previously \$2,244,629; Total New Contract Value \$2,408,120]	08/17/09-06/30/15	Auxiliary Services	Warde Manuel, Director of Athletics	\$2,108,120	\$429,501	\$441,083	Catering services for Division of Athletics in support of varsity sporting events and other special events on Storrs campus. Amend to increase contract value by \$163,491, for a total new contract value of \$2,408,120. Amend to extend term by six (6) months, through 6/30/15. Zero (0) extensions remaining.

CONTRACTS AND AGREEMENTS

**** REVISED ****

FOR APPROVAL

December 10, 2014

FOOD CONTRACTS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Performance Food Group	UC-11-KA091010	\$786,899 [Contract Value Previously \$2,541,396; Total New Contract Value \$3,328,295]	03/01/11-02/28/16	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	\$2,213,743	\$631,048	\$669,896	Meat, poultry and cheese products to Dining Services for the dining halls, catering, and other needs for all University campuses, other than UCH. Amend to increase contract value by \$786,899, for a total new contract value of \$3,328,295. Amend to extend term by one (1) year, through 2/28/16. Zero (0) extensions remaining.
NETWORK AND SECURITY HARDWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Mainline Information Systems	UC-11-B001651-G	\$1,750,000 [Contract Value Previously \$1,529,461; Total New Contract Value \$3,279,461]	07/06/10-06/30/15	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$1,410,162	\$148,085	\$50,409	Operating system, network, and security hardware and services for all University campuses, including UCH. Amend to increase contract value by \$1,750,000 for a total new contract value of \$3,279,461. Contract term remains the same. Zero (0) options to extend.
ON-CALL CONSULTANT SERVICES - PROGRAM/PROJECT MANAGEMENT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	AI Engineers Inc.	PMSA01/1.5/02/28/15	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$893,307	\$88,000	\$331,067	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for two months, through 4/30/15. Zero (0) options to extend.
2	Integrated Design & Construction, LLC	PMSSA02/1.5/02/28/15	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$167,218	\$0	\$167,218	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for two months, through 4/30/15. Zero (0) options to extend.
3	Jacobs Project Management Co.	PMO-01-/1.5/01/31/15	\$294,062 [Contract Value Previously \$3,986,200; Total New Contract Value \$4,280,262]	02/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,244,262	\$890,234	\$2,354,028	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Amend to increase contract value by \$294,062, for a total new contract value of \$4,280,262. Amend to extend contract term for three months, through 4/30/15. Zero (0) options to extend.
4	Parsons Environment & Infrastructure Group Inc. (f/k/a Parsons Commercial Technology Group Inc.)	PMO-03-/1.5/01/31/15	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	02/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for three months, through 4/30/15. Zero (0) options to extend.

CONTRACTS AND AGREEMENTS

**** REVISED ****

FOR APPROVAL

December 10, 2014

ON-CALL CONSULTANT SERVICES - PROGRAM/PROJECT MANAGEMENT SERVICES (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
5	Strategic Building Solutions, LLC	PMO-04- /1.5/01/31/15	\$0 [Contract Value Previously \$2,592,888; Contract Value Remains the Same]	02/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,591,827	\$164,980	\$1,017,039	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for three months, through 4/30/15. Zero (0) options to extend.
6	The McKissack Group, Inc. (d/b/a McKissack & McKissack)	PMO-02- /1.5/01/31/15	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	02/01/12-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Program/project management oversight services to support capital construction programs on all University campuses other than UCH. Contract value remains the same. Amend to extend contract term for three months, through 4/30/15. Zero (0) options to extend.
ON-CALL PROFESSIONAL SERVICES - 12.1 CIVIL AND STRUCTURAL ENGINEERING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Fuss & O'Neill, Inc.	012.1-6-500-22916	\$200,000 [Contract Value Previously \$1,578,300; Total New Contract Value \$1,778,300]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,522,374	\$1,522,374	\$0	On-Call Contract for civil and structural engineering services for any University campus, including UCH. Amend to increase contract value by \$200,000, for a total new contract value of \$1,778,300. Contract term remains the same. Zero (0) options to extend.
RESEARCH SUPPLIES & EQUIPMENT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 9/30/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Fisher Scientific, LLC	UC-09-CGP021309	\$3,659,651 [Contract Value Previously \$14,000,000; Total New Contract Value \$17,659,651]	05/01/09-04/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$13,251,955	\$2,662,599	\$2,410,796	Laboratory supplies, chemicals and equipment for all University campuses, including UCH. Amend to increase contract value by \$3,659,651, for a total new contract value of \$17,659,651. Contract term remains the same. Zero (0) extensions remaining.
2	Thermo Electron North America LLC	10PSX0051	\$163,441 [Contract Value Previously \$991,285; Total New Contract Value \$1,154,726]	07/27/10-05/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$929,294	\$314,306	\$362,008	Spectrophotometers, chromatographs, analyzers and related specialized laboratory equipment/accessories. Amend to increase contract value by \$163,441, for a total new contract value of \$1,154,726. Contract term remains the same. Five (5) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS

**** REVISED ****

FOR APPROVAL

December 10, 2014

REVENUE - AMENDMENTS

No.	Contractor	Contract No.	Term	Revenue Recipient	Program Director	Total Revenues as of 9/30/14	Revenues FY 14	Revenues 13	FY	Purpose
1	Webster Bank, N.A.	UC-13-KJ070612	05/01/13-04/30/18	Auxiliary Funds	Matthew Larson, Director of Procurement Services	\$67,560	\$45,184		\$0	Licensing space on University campuses, including UCH, for automated teller machines. Clarifying amendment. Contract value remains the same. Contract term remains the same.

LEASES

UNIVERSITY AS LESSEE										
No.	Lessor	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose				
1	2 Simsbury Road LLC	\$81,600	5 Years Plus Two 5-Year Renewal Options	Operating Funds - General	Anne Horbatuck, Chief Operating Officer New England Musculoskeletal Institute	Renewal of agreement to lease 3,400 square feet of space located at 2 Simsbury Road, Avon, CT for the New England Musculoskeletal Institute. This lease includes space for radiology equipment. This lease will be processed through the University of Connecticut Health Center Finance Corporation.				
2	Lodewick, Philip H.	\$1	02/01/12-01/31/16	Operating Funds - General	Michael Gilbert, Vice President for Student Affairs	Amendment to a lease of a house located at 88 Gurleyville Road, Mansfield, CT to serve as a temporary residence for the University's official guests, and as an event space for University activities that further the broad educational mission of the institution, including but not limited to fundraising, employee recognition, athletic events and general hospitality. The amendment extends the current Lease Agreement for one year, ending on January 31, 2016.				