

9-24-2014

## 2014 September 24 -- Agenda and attachments

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# UConn

UNIVERSITY OF CONNECTICUT

*Board of*



# TRUSTEES

VOL. 144 SEPTEMBER 24, 2014

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Rome Commons Ballroom  
South Campus Complex  
Storrs, Connecticut

September 24, 2014

**BOARD OF TRUSTEES SCHEDULE OF THE DAY**

8:30 a.m.	Committee on Compensation Special Meeting (Portico)
9:00 a.m.	Academic Affairs Committee Meeting
9:15 a.m.	Financial Affairs Committee Meeting
9:45 a.m.	Board of Trustees Meeting
12:00 p.m.	Student Life Committee Meeting (Ground Level Portico)

**BOARD MEETING AGENDA**

Call to order at **9:45 a.m.**

1. Public Participation
2. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meetings of August 6 and September 12, 2014
  - (c) Consent Agenda Items:
    - (1) Contracts and Agreements for the Storrs-based Programs and UConn Health (Attachment 1)
    - (2) Annual Report of the Endowed Chair Program Entitled "Aetna English Chair in Writing" (Attachment 2)
    - (3) Promotion and Tenure (Attachment 3)
    - (4) Designation of Emeritus Status (Attachment 4)
    - (5) Sabbatical Leave Recommendations (Attachment 5)
    - (6) Appointment of Dr. Ki Chon to the John and Donna Krenicki Professorship in Biomedical Engineering in the School of Engineering (Attachment 6)
    - (7) Reappointment of Professor Robert Garfinkel to the Robert Cizik Professorship in the School of Business (Attachment 7)
    - (8) Reappointment of Dr. Chinmoy Ghosh to the Gladstein Professor of Business and Innovation in the School of Business (Attachment 8)
    - (9) Reappointment of Professor Nicholas H. Lurie to the Voya Financial Professor in the School of Business (Attachment 9)
    - (10) Establishment of the Daniel and Tresa Toscano Chair in Finance (Attachment 10)
    - (11) Naming Recommendation for the Basketball Champions Center (Attachment 11)
    - (12) Naming of Outdoor Programming Space Adjacent to Austin Building, Flagpoles, and Beach Hall (Attachment 12)

- (13) Reports of Endowed Chairs for the Period July 1, 2013 to June 30, 2014 (UConn Health) (Attachment 13)
- 3. President's Report
- 4. Academic Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Informational item:
    - (1) Centers and Institutes (Attachment 14)
- 5. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and Equipment Expenditures (Attachment 15)
    - (2) Project Withdrawals (Attachment 16)
    - Project Budgets (Storrs-based Programs):
      - (3) Project Budget (Revised Planning) for Residential Life Facilities – Honors Residence Hall (Attachment 17)
      - (4) Project Budget (Final) Gampel Pavilion LED Lighting and Controls Upgrade (Attachment 18)
      - (5) Project Budget (Final) for Torrey Renovation Completion and Biology Expansion – Roof Replacement (Attachment 19)
      - (6) Project Budget (Final) for Water Pollution Control Facility – Priority I Repairs (Attachment 20)
      - (7) Project Budget (Revised Final) for Northwest Quad Code Remediation (Attachment 21)
      - (8) Project Budget (Revised Final) for UCONN 2000 Code Remediation: Alumni Quad Residential Complex (Attachment 22)
      - (9) Project Budget (Revised Final) for UCONN 2000 Code Remediation: Starr Hall (Law School) (Attachment 23)
      - (10) Project Budget (Revised Final) for UCONN 2000 Code Remediation: Wilbur Cross Building (Attachment 24)
    - Project Budgets (UConn Health):
      - (11) Project Budget (Design) for UConn Health – Medical School Academic Building Addition and Renovations (Attachment 25)
      - (12) Project Budget (Design) for UConn Health – New Construction and Renovation – Clinic (C) Building Renovations (Attachment 26)
      - (13) Project Budget (Final) for UConn Health – Research Tower – Incubator Lab Addition to the Cell and Genome Sciences Building (Attachment 27)
      - (14) Project Budget (Revised Final) for UConn Health – New Construction and Renovations (Attachment 28)



6. UConn Health Report
  - (a) Report on UConn Health activities
7. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
  - (b) Presentation: NCAA Compliance Update  
Presenters: Paul McCarthy, Deputy Director of Athletics  
Angela Cretors, Senior Associate Director of Athletics/  
NCAA Rules Education and Compliance Services
8. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
9. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
10. Student Life Committee Report
  - (a) Report of Committee activities
11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
  - (b) Informational item:
    - (1) UConn Foundation Report (Attachment 29)
12. Committee on Compensation Report
  - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated.
15. Adjournment

**PLEASE NOTE:** *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

## **ATTACHMENT 1**

CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
September 24, 2014

**PROCUREMENT - AMENDMENTS**

**DORMITORY FURNITURE**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Butler Woodcrafters, Inc.	07PSX0285	\$500,000 [Contract Value Previously \$1,161,573; Total New Contract Value \$1,661,573]	11/01/13-6/30/15	Auxiliary Funds	Michael Gilbert, Ph.D., Vice President of Student Affairs	\$407,206	\$407,206	\$0	Manufacture, delivery, and installation of dormitory furniture. <b>Amend to increase contract value by \$500,000, for a new total contract value of \$1,661,573. Amend to extend term by eight (8) months, through 06/30/15.</b> Four (4) of five and a half (5 1/2) one (1) year extensions remaining.

**EXECUTIVE SEARCH SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Korn/Ferry International	UC-11-KJ060410	\$0 [Contract Value Previously \$2,060,100; Contract Value Remains the Same]	10/01/10-09/30/15	Multiple Sources	Aliza C. Wilder, Director of Human Resources	\$919,903	\$0	\$348,904	Executive search services for all University campuses, including UCH, for executive and senior officers and for professional staff. Contract value remains the same. <b>Amend to extend term by one (1) year, to 09/30/15.</b> Zero (0) of two (2) one (1) year extensions remaining.

**ON-CALL PROFESSIONAL SERVICES - 009.2 GENERAL ARCHITECTURAL SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Newman Architects, P.C.	009.2-7-2M-22916	\$1,402,500 [Contract Value Previously \$2,381,780; Total New Contract Value \$3,784,280]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,022,334	\$2,022,334	\$0	On-Call Contract for architectural services for any University campus, including UCH. <b>Amend to increase contract value by \$1,402,500, for a total new contract value of \$3,784,280.</b> Increase will fund construction administration and other professional services for the STEM Residence Hall. Contract term remains the same. Zero (0) options to extend.

**ON-CALL PROFESSIONAL SERVICES - PROJECT/PROGRAM MANAGEMENT SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Strategic Building Solutions, LLC	PMO-04-11.5/01/31/15	\$885,548 [Contract Value Previously \$1,707,340; Total New Contract Value \$2,592,888]	02/01/12-01/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,510,941	\$164,980	\$1,483,663	On-call project management services for construction projects. Increase will fund services for up to three UConn 2000 projects that either have been, or may in the coming months be, awarded to this vendor. <b>Amend to increase contract value by \$885,548, for a new total contract value of \$2,592,888.</b> Contract term remains the same. Zero (0) options to extend.

CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
September 24, 2014

**ON-CALL TRADE SERVICES - GENERAL CONTRACTOR**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	The Nutmeg Companies, Inc.	OC.GC2014-950-19	\$510,000 [Contract Value Previously \$990,000; Total New Contract Value \$1,500,000]	05/01/14-04/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$366,618	\$366,618	\$0	On-call general contractor services for all University campuses, including UCH. Second Amendment. <b>Amend to increase contract value by \$510,000, for a total new contract value of \$1,500,000.</b> Contract term remains the same. Options to extend for two (2) terms of one (1) year each.

**PROGRAM/PROJECT MANAGEMENT SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 7/31/14	Expenditures FY 14	Expenditures FY 13	Purpose
1	Jacobs Project Management Co.	090711MS	\$1,268,109 [Contract Value Previously \$16,248,961; Total New Contract Value \$17,517,070]	01/03/12-12/18/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$4,024,967	\$2,006,736	\$1,622,205	Program/project management oversight services to support UCH's capital construction programs. <b>Amend to increase contract value by \$1,268,109, for a total new contract value of \$17,517,070.</b> Contract term remains the same. Zero (0) options to extend.

**LEASES**

**UNIVERSITY AS LESSEE**

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Judith Abraham	\$40,000	01/01/15-12/31/15	Operating Fund - General	Marianne Dess-Santoro, VP Ambulatory Care	Approximately 2,000 square feet of medical practice space at <b>141 Dowd Avenue, Canton, CT</b> . This lease will continue on a month to month basis up to one year while the new location is being constructed.
2	Island Hospitality Management d/b/a Homewood Suites Farmington	\$165,000	12/01/14-11/30/16	Operating Fund - General	Linda Manzelli, Director Calhoun Cardiology Center	Lease located at <b>2 Farm Glen Farmington, CT</b> in order to provide space to the UCH Sleep Services Program to conduct sleep disorder studies. This is a two year agreement and includes one, 2 bedroom suite to accommodate one study location and control room for technicians and three, 1 bedroom suites .

**UNIVERSITY AS LESSOR**

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Eight Mile River Wild and Scenic Coordinating Committee c/o Lyme Land Conservation Trust	\$1,891	01/01/15-12/31/15	Operating Fund - General	Gregory J. Weidemann, Dean and Director, College of Agriculture and Natural Resources	Amendment of a lease of office space of approximately 150 square feet of space in the enclosed lower level area of the <b>Middlesex Agricultural Building located at 1066 Saybrook Road, Haddam, Connecticut</b> . Lease will be renewed for one (1) year and rent will be paid in monthly installments of \$157.59.

**OTHER AGREEMENTS FOR APPROVAL**

No.	Provider	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Campus Associates LP d/b/a Nathan Hale Inn & Conference Center	\$1,069,900	08/18/14-05/12/17	Student Fee Revenue	Michael Gilbert, Executive Vice President for Student Affairs	Amendment to previous June 2014 approval to the total potential amount payable, reflecting an increase of \$10,000 to create a fund consisting of \$5,000 for possible overstay and \$5,000 for possible physical damages. <b>Room reservation agreement</b> to accommodate 148 students in 50 rooms at the <b>Nathan Hale Inn</b> for the Fall 2014, 2015 & 2016 semesters and the Spring 2015, 2016 & 2017 semesters. There will be no occupancy during the summer. No interest in real estate is created by this contract. Annual amount payable may increase if University Rate 2 increases.

## **ATTACHMENT 2**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

RE: Annual Report of the Endowed Chair Program Entitled "Aetna Chair of Writing"

RECOMMENDATION:

That the Board of Trustees approve the 2014 report on the Aetna Chair of Writing.

BACKGROUND:


The Aetna Chair of Writing is under the direction of Professor Lynn Bloom and is funded jointly by the UConn Foundation and the Office of Higher Education Investment Fund. It was established during the 1988-89 fiscal year as authorized by C.G.S. Section 10a-20. Subsection (f) of the statute states that "the Board of Trustees shall submit annual reports to the Office of Higher Education concerning the endowed chair expenditures."

The 2014 annual report consists of a financial report and a narrative report by Professor Bloom.

Attachments

DATE: August 28, 2014

TO: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

FROM: Charles H. Eaton  
Controller 

RE: Aetna Chair of Writing

Enclosed is a financial report for the Aetna Chair of Writing program, under the direction of Professor Lynn Bloom, for fiscal year ended June 30, 2014. The report provides the separate financial operations of the program managed by the University and the UConn Foundation, along with the combined total. The expenditures for fiscal year 2014 totaled \$39,081.33.

Professor Bloom has provided a narrative of the writing program's operation for fiscal year 2014, which is also enclosed. Section 10a-20a (f) of the Connecticut General Statutes requires an annual report approved by the University's Board of Trustees to be submitted to the Office of Higher Education (OHE) for the Endowed Chair of Writing.

Additional notes are provided on the financial report disclosing the year-end balances of the Foundation and OHE endowment funds.

Thank you for your assistance in this matter. If you should have any questions or need any additional information, please do not hesitate to contact me.

Enclosures

c: L. Bloom  
M. Maynard  
D. Brockway



University of Connecticut and  
University of Connecticut Foundation  
Aetna Chair of Writing  
Fiscal Year Ending June 30, 2014

	University	Foundation	Combined Total
Beginning Balance as of July 1, 2013	\$65,042.16	\$64,930.69	\$129,972.85
<u>Revenue</u>			
Pooled Endowment Income Allocation	0.00	34,445.76	34,445.76
Transferred From UConn Foundation	21,000.00	(21,000.00)	0.00
TOTAL REVENUE	21,000.00	13,445.76	34,445.76
<u>Expenditures</u>			
Salary & Wages	300.00	0.00	300.00
Printing and Binding	2,510.05	0.00	2,510.05
Conferences and Meetings	2,947.41	0.00	2,947.41
Travel	2,148.76	0.00	2,148.76
Outside Professional Services	6,603.40	0.00	6,603.40
TOTAL Contractual Services	14,209.62	0.00	14,209.62
Office Supplies	350.83	0.00	350.83
Books	343.15	0.00	343.15
TOTAL Commodities	693.98	0.00	693.98
Fringe Benefits	77.73	0.00	77.73
Student Aid Fees	23,800.00	0.00	23,800.00
TOTAL Sundry Charges	23,877.73	0.00	23,877.73
TOTAL EXPENDITURES	39,081.33	0.00	39,081.33
Ending Balance as of June 30, 2014	\$46,960.83	\$78,376.45	\$125,337.28

This endowed chair is not supported by any University funds, but the University maintains accounts which receive revenue from the Foundation and the Office of Higher Education (OHE). These University accounts disburse the funds in support of the program including all funds transferred from the Foundation and the OHE. There were no transfers from the OHE in fiscal year 2014. The first column above summarizes the University's revenue and expenditure activity for this chair. The Foundation also disburses certain expenses directly to third parties on behalf of the University as included above in the second column in fiscal year 2014, although there were no expenses in fiscal year 2014.

The Aetna Chair of Writing has funds held at the Foundation and the OHE. The Foundation endowed fund was established with a gift of \$506,989 (amount permanently restricted) in 1988 and was valued at \$904,480 at June 30, 2014, which included accumulated gains/losses on the original gift. The spendable portion of the Foundation endowed fund was \$78,376 as of June 30, 2014. The OHE endowed fund was established with \$500,000 of state funds and is invested in the Endowed Chair Investment Fund (managed by the State Treasurer). The interest earned on this fund supports the Aetna Chair of Writing. The value of this fund was \$508,173 at June 30, 2014, including principal of \$500,000 and interest of \$8,173.



## Annual Report, Aetna Chair of Writing, 2013-2014

The Aetna Endowment enhances the University's efforts to encourage excellent student writing and excellent teaching of writing, throughout the UConn system, the State of Connecticut, the nation, and internationally. This funding has contributed substantially to the growth, visibility, and contributions of UConn's writing programs to the state and nation over the past twenty-five years. Further information is available at <http://aetnachairofwriting.uconn.edu>

**University: Freshman English.** The Aetna Chair of Writing co-sponsored the ninth annual Conference on the Teaching of Writing, "Collaboration and Conversation" on April 4, 2014. This conference has grown steadily in scope and attendance, with around 100 conferees in 2014. It featured presentations by some fifty graduate students, Writing Center associates, and faculty from UConn (including Storrs and regional campuses); West Point; the universities of Southern California, Denver, Wisconsin-Milwaukee, New Hampshire; CUNY; St. John's U; Sacred Heart U; Fairfield U; Rhode Island College; Delaware Valley College, and more. Keynoter Cheryl Glenn, Pennsylvania State University, addressed pedagogical and human implications of her current research, "Making Words—and Silences—Matter." Program available at <http://fe.uconn.edu/instructors/conference/program.php>.

**Undergraduate writing at UConn regional campuses.** Since 2002 Writing Directors have been appointed at each of the regional campuses: Pamela Bedore (Avery Point), Scott Campbell (Hartford—in Storrs 2012-14), Ellen Carillo (Waterbury), Serkan Gorkemli (Stamford), and Kathy Knapp (Torrington). Aetna funding has enhanced various programs such as Academic Integrity Week and faculty development workshops (Waterbury, Stamford), and graduate and faculty writing bootcamps (Avery Point). In 2013-14 the Litchfield County Writers' Project (LCWP) (Torrington-based), aided by Aetna funding, sponsored a dozen writers, including a Veterans' Day program featuring Roxana Robinson, whose novel, *Sparta*, centers on a soldier returning from Iraq with PTSD. Other events included a charity fundraiser with author Dani Shapiro and her filmmaker husband, Michael Maren, on creative collaboration; and a reading by Rebecca Miller from her novel, *Jacob's Folly*. These events bring statewide visibility to the Bloomsbury-esque LCWP.

**Creative Writing.** The Aetna Endowment supports publication of the award-winning *Long River Review* (student literary magazine); and several Long River awards: Graduate Writing, Kerry Carnahan; Children's Literature, David Smith; Art/Photography, Rebecca Allen. A translation award will be added in 2015. Aetna funded short-term writer-in-residence Andre Dubus III (fiction), and Eduardo Corral (poet); the Graduate Creative Writing Long River Graduate Writing Award went to Kerry Carnahan. Journeys (poetry on campus buses); and a host of speakers and guest teachers. The Aetna Celebration of Creative Nonfiction on March 27 featured Sy Montgomery, author of numerous nonfiction works for adults and children. Montgomery's engaging talk "When the Student is Ready, the Teacher Will Appear: How Animals Can be Wonderful Teachers," was illustrated with slides of herself among her teacher creatures, including a large live octopus. Three graduate students shared the Aetna Creative Nonfiction first prize: Abigail Fagan, Erick Piller, and Kristina Reardon. The two undergraduate winners were Michael Jefferson, first prize, and Danilo Machado, second prize.



Awards as a Catalyst for Professionalization.” Aetna Graduate Essay Awards were presented to: Joseph Darda and Jorge Santos, first place tie; Chad Jewett, third place; and Alaina Kaus, honorable mention. Freshman Writing Prizes: Michael Caruso (UConn Storrs) and Keith Appleton (UConn Avery Point), first place tie; Zachary Stack (UConn Stamford), second place. The McPeck scholarship was awarded to Karen Ren. Connecticut Writing Project Teacher-Consultant Writing Awards were as follows: Poetry: Victoria Nordlund (Rockville HS), first place and honorable mention; David Polochanin (Smith Middle School, Glastonbury) also received an honorable mention. Prose fiction: Kerri Brown (Sandwich MA HS), first place and honorable mention; other honorable mentions went to Denise Abercrombie and Kristina Reardon (UConn). Prose Nonfiction: Bernie Schreiber (Ashford), first place; Kristina Reardon, honorable mention.

**Publications.** Aetna Endowment funds and collaboration among student writers, teachers, editors, designers, Aetna student interns and the Writing Specialist’s manuscript preparation, have made possible the publication of *Essay CONNections*, compilations of UConn Freshman English Prize Essays. The first four volumes are available in hardcopy: 1990-94 (103 pp.); Vol. 2 1995-98 (128 pp.); Vol. 3, 1999-2002 (132 pp.); Volume 4, 2003-06 (176 pp.). In 2010 financial constraints caused us to switch to online publication, beginning with Volume 5, 2007-2010. However, we have had to take down this link because paper mills were stealing and selling the prizewinning work. (We are investigating ways to circumvent this.) Free copies of the hardcopy volumes are distributed at UConn-sponsored writing conferences, and to all teachers of UConn freshman English at all UConn campuses to provide exemplary models for successive freshman classes. Copies may be obtained from [Lori.Corsini-Nelson@UConn.edu](mailto:Lori.Corsini-Nelson@UConn.edu).

**State of Connecticut: Connecticut Writing Project.** UConn’s Connecticut Writing Project (CWP) is the only site in the nation with an endowment, a half million dollars generously provided by the Aetna Foundation (begun in 1985), initially with matching funds from the State Office of Higher Education (though the interest from this account has been negligible for the past six years). For the past twenty-six years, the Aetna Chair of Writing Endowment has provided \$24,000-\$30,000 to fund the Connecticut Writing Project five week Summer Institute for teachers. Thanks to the Endowment, this support--\$28,000 in the summer of 2014--will provide fourteen fellowships for participating teachers, elementary-college, from a wide range of schools (ranging from high need to UConn); and funding for the Teacher-Consultant Writing Awards and related publication. The variety of subsequent awards and appointments for Summer Institute participants attests to their impressive qualities as educators and administrators. These include Connecticut Teacher of the Year awards; graduate fellowships for bilingual education, masters, doctoral, and post-doctoral work; positions as department head, program director, and dean; publications of their own (including a novel), journal editorship (*ALAN Review*, *Massachusetts Review*), awards for Teaching Writing (College Board) and Human and Civil Rights (Connecticut Education Association); and Scholastic Writing Awards for their students.

It is particularly important for the future of the Connecticut Writing Project that Director Jason Courtmanche was reappointed in 2013-14 for a third three year term; he is the 2013 recipient of the Institute for Teaching and Learning Teaching Scholar Award. The CWP also received a 2013 Special Recognition Award from the New England Reading Association for Outstanding Efforts in the Promotion of Literacy. Dr. Courtmanche has sustained successful collaborations with area



**University Writing Center.** In 2011, the University Writing Center was awarded a “Writing Program Certificate of Excellence” by the Conference on College Composition and Communication, an honor of national distinction. Aetna Endowment funding helps the Writing Center to sustain, as the award commended, its “rich, complex, and ambitious program touching multiple aspects of students’ writing lives.” Thus the Aetna Endowment funds workshops by visiting scholars, this year Rita Malenczyk (ECSU professor and president of Writing Program Administrators); and tutor staff training, such as the April 5 “unconference” planned by Assistant Directors Kristina Reardon and Melissa Bugdal for some 30 writing center tutors and directors/administrators). Aetna funds sent eight undergraduates to the Northeast Writing Center Association Annual Conference (March 2014); six presented papers or led roundtables.

The annual Writing in the Disciplines contest, now in its fifth year, is a significant factor in student professional development and recognition. From among 85 entries across the campus the following prizes were awarded: Humanities: Kathleen Lynch (history); Science and Engineering: Alison Bush (animal science), Meredith Milligan (ecology and evolutionary biology); Social Sciences: Gregory Smith (political science).

One-day Graduate Student Writing Retreats/Dissertation Bootcamps, begun in 2011-12 with an Aetna seed grant held throughout the year, are now held monthly, each drawing fifty people from over twenty different departments. Thanks to the Aetna impetus, the Graduate School is now committing a permanent funding line for a Graduate Assistant to organize these and to teach four five-week seminars in academic writing for graduate students each year. As Tom Deans, Writing Center Director, explains, “going forward we can offer predictable graduate writing support—seminars, tutorials, workshops and retreats that did not exist just a few years ago will now be part of what the Writing Center offers each year as a matter of course. This is an example of how small Aetna seed funding led to much greater matching funds, and ultimately to new, quality writing support programs for graduate students.” Aetna-funded Storrs-based faculty writing retreats will be reinstituted in 2014-15, complementing the faculty writing retreats held at Avery Point.

**Graduate Programs.** The graduate courses in Autobiography (as a literary genre, and as a mode of writing), and in Rhetorical Theory and Composition Research were inaugurated in 1988-89, and have been augmented since 2002 by a variety of rhetoric and composition courses in Classical and Modern Rhetoric such as “Writing Across and Beyond the Curriculum” and the team-taught “Research Methods in Composition Studies,” as well as other graduate creative writing courses in nonfiction and essay writing. Aetna Endowment funds send students to professional conferences; Ruth Book and Melissa Bugdal attended the 2014 Conference on College Composition and Communication in Indianapolis. Such national involvement has helped numerous students who have served as Writing Program administrators to receive tenure track jobs. Aetna also funds the Graduate Teaching Award, which in 2014 went to George Moore.

**Critical and Freshman Essay Prizes.** Now in its twenty-fourth year, the annual Aetna Critical Essay Writing Prize Award evening has evolved into a particularly happy and festive gathering of faculty, students, parents, administrators, and Aetna Fellows. On October 24, 2013 Shareen Hertel, UConn Associate Professor of Political Science and Human Rights (whose students have won numerous Aetna writing prizes in past years) spoke on “Writing for Your Life: The Aetna



schools and with UConn's Writing Center, Freshman English and Creative Writing programs, the Neag School of Education, and Teachers for a New Era.

***New England, Nation, and International Activities:***

**Composition Studies Conferences:** Aetna funds the annual freshman English Conference on the Teaching of Writing, and mini-conferences for writing tutors.

**Inter/National Outreach:** In January 2013, Dr. Bloom was awarded a five-year Fulbright Specialist appointment, allowing her to serve twice as a writing/American literature consultant in any Fulbright-funded program in the world. She was invited by Professor Anne McKim of the English Department at the University of Waikato (Hamilton); Dr. McKim had been a Neag Professor at UConn; so this fortuitous scholarly symbiosis was made possible by another endowment. Sponsored by the University of Waikato, in July-August 2013 Dr. Bloom gave eighteen presentations in twenty-seven days at Waikato, and at the University of Auckland, Massey University-Auckland, and the International Institute of Modern Letters at Victoria University (Wellington). Often in conjunction with New Zealand Poet Laureates and other distinguished creative writers, she spoke on creative nonfiction, food writing, travel writing, and medical writing; a complete listing appears in Dr. Bloom's professorial annual report. Dr. Bloom has been appointed Honorary Professor in the Wilf Malcolm Institute of Educational Research, Faculty of Education, at the University of Waikato, 2013-16; we anticipate further international collaboration.

In May 2014, Dr. Bloom spoke on "Living to Tell the Tale: Why the Worst Trips are the Best," at the International Association for Biography and Autobiography conference at Banff, Alberta, Canada. She serves on advisory boards of *a/b: Auto/Biography Studies*, *JAC (Journal of Advanced Composition)*, and the *Journal of Basic Writing*.

**Research:** Aetna funding has contributed to the research of the twenty one books and one hundred twenty articles that Dr. Bloom has published since arriving at UConn in 1988, including *The Seven Deadly Virtues and Other Lively Essays: Coming of Age as a Writer, Teacher, Risk Taker* (U of South Carolina Press 2008); *Writers Without Borders: Writing and Teaching Writing in Troubled Times* (Parlor Press 2008), and *The Essay Connection* (10<sup>th</sup> ed 2013). Aetna funding has also supported Dr. Bloom's ongoing research. During her 2012-13 sabbatical leave, she wrote *Hot Genres, Alluring Nonfiction*, now under preliminary contract and editorial review with the University of Iowa Press, an ideal venue. This work addresses the nature and genres of creative nonfiction (the art of telling true stories)—the heart of the humanities. It includes chapters on ethical issues, essays, memoirs, food writing, travel writing, medical writing, writing in the public interest, and the robust future of such writing, in the academy and in the world.

**Future directions:** In addition to sustaining the activities identified in this report, the Aetna Chair has future plans to support writing activities in interdisciplinary programs including the School of Fine Arts, Irish Studies (both funded in earlier years) and new venues such as the Human Rights Institute, Asian-American Studies, Nursing, and Judaic Studies (pending). We also anticipate collaboration with newly-hired faculty in Digital Media.

**Conclusion.** Aetna Endowment funds, supplemented by a small amount of interest from the matching state grant, have enabled us to sustain the myriad of activities identified here on the \$52,000 budget for FY 2015. These have been aided by the English Department office staff under the direction of Melanie Hepburn, English Department Administrative Manager. The University of Connecticut can be justifiably proud of the activities of its undergraduate and graduate students and faculty in rhetoric and composition on state, regional, national, and international levels, made possible in part by the Aetna Chair of Writing Endowment.

Respectfully submitted,

Lynn Z. Bloom, Aetna Chair of Writing  
Board of Trustees Distinguished Professor

**Budget for FY 2015**

Connecticut Writing Project	\$28,000
Creative Writing	10,500
Campus Writing Center [prizes, student conference travel]	2,100
First Year Writing [prof. development, conference]	1,500
Writing Internships Program	500
Interdisciplinary Programs [\$500/Human Rights, Irish...]	3,000
Regional Campus Writing Funding: Waterbury [workshops \$525]; Avery Point [workshops \$500] Stamford [pedagogy \$300]; Torrington [writers \$1,000]	2,325
Aetna Prizes - Teaching Awards, Grad Critical Essay, Freshman English [\$1,500 for CNF, translation and children's lit subsumed under CW]	1,500
Student Travel [not to exceed \$500/student]	2,000
Aetna Chair [research, prize night and discretionary expenses]	200
Discretionary	<u>185</u>
<b>Total:</b>	<b>\$52,000</b>

## **ATTACHMENT 3**

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT  
PRESENTED TO THE BOARD OF TRUSTEES – September 24, 2014**

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**Effective September 24, 2014:**

**SCHOOL OF ENGINEERING**

**TENURE AS PROFESSOR:**

Ki Chon

Biomedical Engineering

## **ATTACHMENT 4**



**University of Connecticut Department of Human Resources  
Emeritus Retirees  
September 24, 2014 Board of Trustees Meeting**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>RETIREMENT DATE</b>
Abikoff, William	Professor	Mathematics	Liberal Arts & Sciences	9/1/2014
Bellantoni, Nicholas F.	Academic Assistant V	Museum of Natural History	Liberal Arts & Sciences	9/1/2014
Boelsterli, Urs A.	Professor	Pharmaceutical Sciences	School of Pharmacy	9/1/2014
Chapple, William D.	Professor	Physiology & Neurobiology	Liberal Arts & Sciences	8/1/2014 (Died 7/9/2014)
Heffley, Dennis R.	Professor	Economics	Liberal Arts & Sciences	9/1/2014
Sheehan, Nancy W.	Associate Professor	Human Dev / Family Studies	Liberal Arts & Sciences	6/1/2014

## **ATTACHMENT 5**

University of Connecticut Office of the Provost  
Sabbatical Leave Recommendations Requiring Board of Trustees Approval  
September 24, 2014 Board of Trustees Meeting

**CHANGES TO PREVIOUSLY APPROVED SABBATICAL LEAVES:**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Atkinson-Palombo, Carol	Associate Professor	Geography	College of Liberal Arts and Sciences	Full Full	Spring 2015 Fall 2016
Mellor, Steven	Associate Professor	Psychology	College of Liberal Arts and Sciences	Full Full	Spring 2015 Spring 2017
Rueckl, Jay	Associate Professor	Psychology	College of Liberal Arts and Sciences	Full Full	Fall 2014 Fall 2016
Zheng, Yu	Associate Professor	Political Science	College of Liberal Arts and Sciences	Full Full	Spring 2015 Fall 2014

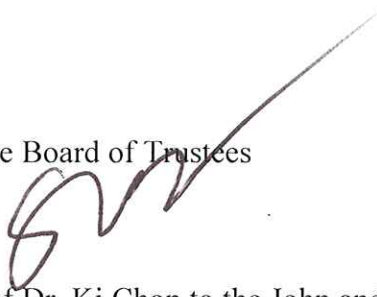
**SABBATICAL LEAVE REQUESTS:**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Kuo, Lynn	Professor	Statistics	College of Liberal Arts and Sciences	Full	Fall 2015
McNeal, Ralph Jr.	Associate Professor	Sociology	College of Liberal Arts and Sciences	Full	Fall 2015
Ogbar, Jeffrey O.G.	Professor	History	College of Liberal Arts and Sciences	Full	Spring 2015

## **ATTACHMENT 6**

September 24, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Appointment of Dr. Ki Chon to the John and Donna Krenicki  
Professorship in Biomedical Engineering in the School of Engineering

RECOMMENDATION:

That the Board of Trustees approve the appointment of Dr. Ki Chon to the John and Donna Krenicki Professorship in Biomedical Engineering in the School of Engineering.

BACKGROUND:

This Professorship was established by an endowment from John Krenicki Jr. and Donna M. Krenicki in August of 2010, and the Board of Trustees established the Professorship in February of 2011. The Professorship supports a nationally or internationally recognized researcher, scholar and teacher, who has made significant contributions to the field of biomedical engineering.

Following the establishment of the Department of Biomedical Engineering in 2012, Dr. Rajeev Bansal served as interim Department Head. Dr. Bansal was instrumental in the department's establishment, and he chaired the search committee for a permanent Department Head and holder of the John and Donna Krenicki Professorship in Biomedical Engineering. The search committee sought a highly qualified, productive, and experienced Department Head.

The appointment of Dr. Ki Chon follows the unanimous recommendation of Dean Kazem Kazerounian, the Dean's Council for Promotion, Tenure and Reappointment, and the Biomedical Engineering Department Head Search Committee.

Dr. Chon received his Ph.D. from the University of Southern California in 1993. He joined the faculty of the Department of Electrical Engineering at the City College of New York in 1998. In 2002, he joined the Department of Biomedical Engineering at SUNY Stony Brook, where he was promoted to Professor in 2007. In 2010, he moved to

Worcester Polytechnic Institute as Professor and Department Head, Department of Biomedical Engineering. He joins UConn as the Storrs-based co-Department Head in the Department of Biomedical Engineering on August 23, 2014.

Professor Chon has made excellent contributions to scholarly research and education in the field of medical instrumentation, signal processing and development of novel algorithms for early diagnosis of disease. He has over 20 patents issued or pending based on his research. He holds an exceptional record of funding, with more than \$6.5 million in current research grants, including a \$3 million IGERT, and over \$3.5 million in completed grants from agencies including NSF, NIH, Office of Naval Research, industry and private foundations. Dr. Chon's publication record includes over 100 archival journal papers in prestigious journals, in addition to numerous book chapters and archival, peer reviewed conference proceedings.

## **ATTACHMENT 7**

September 24, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst

RE: Reappointment of Professor Robert Garfinkel to the Robert Cizik Professorship in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Robert Garfinkel to the Robert Cizik Professorship in the School of Business.

BACKGROUND:

In August 2011, the Board of Trustees appointed Professor Robert Garfinkel to the Robert Cizik Professorship in the School of Business. His contract for the Professorship ran from August 23, 2011 through August 22, 2014, and the School of Business seeks reappointment through August 22, 2015.

Robert Garfinkel (Ph.D., Johns Hopkins University) is a tenured Professor of Operations and Information Management in the School of Business. He has served on the faculty at the University of Rochester and the University of Tennessee. He is a leading researcher in the field of operations research and his work has focused on application domains such as data security, health care management, and online auctions. An important hallmark of his work is that it has helped a number of organizations to make optimal decisions that have had a substantial impact on efficiency and on their bottom-line. Professor Garfinkel was chosen for the Robert Cizik Professorship for his strong research record, as well as for mentoring junior faculty and colleagues, and for fostering an environment of research excellence in the department. He has a strong international reputation as a leading scholar in operations research.


During the past two and one half years as a Robert Cizik Professor, Dr. Garfinkel has played an integral role in the Department and the School of Business. He is currently is chairing dissertation committees as well as working with several other doctoral students. He has taught courses in the Ph.D., M.B.A., and undergraduate programs. Professor Garfinkel has chaired the departmental PTR Committee and the Dean's Advisory Committee.



## **ATTACHMENT 8**

September 24, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Reappointment of Dr. Chinmoy Ghosh to the Gladstein Professor of Business and Innovation in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Dr. Chinmoy Ghosh to the Gladstein Professor of Business and Innovation in the School of Business.

BACKGROUND:

On November 9, 2010, the Board of Trustees appointed Professor Chinmoy Ghosh to the Gladstein Professor of Business and Innovation in the School of Business. His contract for the Professorship ran from January 1, 2011 through August 22, 2014, and the School of Business seeks reappointment through August 22, 2015.

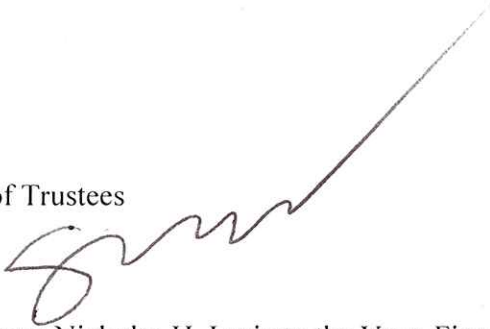
Chinmoy Ghosh (Ph.D., Pennsylvania State University) is a tenured Professor of Finance in the School of Business. He has served on the faculty at the University of Connecticut since 1986. His research focuses on the impact of corporate governance on firm value and the effect of financial innovation on IPO valuation and performance. Professor Ghosh was chosen as the Gladstein Professor because of his strong research record, his interest in and history of working with doctoral students, and his distinguished service to the Department, the School, and the University. His research has appeared in the *Journal of Financial Economics*, *Journal of Banking and Finance*, *Journal of Risk and Insurance*, *Journal of Real Estate Finance and Economics*, and *Journal of Urban Economics*. He has an international reputation and is widely regarded as an interdisciplinary scholar in Finance and Real Estate.

During the past three and one half years as the Gladstein Professor, Professor Ghosh has played a crucial role in the Finance Department and the School of Business. He is the Head of the Department of Finance, Director and Chairman of the Board for the Student Managed Investment Fund at UConn, and the Executive Director of the Financial Accelerator at the Downtown Hartford campus. As the Department Head, he led the successful launch of our Master's program in Financial Risk Management at both the Stamford and Hartford campuses.

## **ATTACHMENT 9**

September 24, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Reappointment of Professor Nicholas H. Lurie to the Voya Financial Professor in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Nicholas H. Lurie to the Voya Financial Professor in the School of Business.

BACKGROUND:

On April 7, 2011, the Board of Trustees appointed Professor Nicholas H. Lurie to the ING Global Professor in the School of Business. His contract for the Chair ran from August 23, 2011 through August 22, 2014, and the School of Business seeks reappointment through August 22, 2015. Due to the name change of the ING Endowment to the Voya Financial Endowment, the name of the Professorship has changed from the ING Global Professor to the Voya Financial Professor.

Nicholas H. Lurie is a tenured Associate Professor of Marketing in the UConn School of Business. He received his Ph.D. in Business and M.S. in Marketing from the Haas School of Business, University of California, Berkeley; his M.B.A. (with majors in Marketing and Finance) from the J.L. Kellogg Graduate School, Northwestern University, and his A.B. from Vassar College. He has served on the faculty at University of North Carolina – Chapel Hill and Georgia Institute of Technology. Professor Lurie is an internationally recognized scholar for his work on consumer information search in information-rich environments. His research has been supported by grants from Google, the WPP group, Marketing Science Institute, and the Wharton Interactive Media Initiative, and his work has appeared in the *Journal of Consumer Research*, *Journal of Marketing*, *Journal of Marketing Research*, and *Organizational Behavior and Human Decision Processes*.

During the past three years as ING Global Professor, Professor Lurie has played an integral role in the Marketing Department and the School of Business. He serves as departmental coordinator for the undergraduate programs, the department Honors Advisor, has been a key player in the Digital Marketing initiatives (minor in Digital Marketing & Analytics and the Digital Marketing major in Stamford). He is currently active in departmental and School of Business research initiatives. Professor Lurie is a critical asset to the Marketing Department, School of Business, and University of Connecticut.

## **ATTACHMENT 10**



September 24, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Establishment of the Daniel and Tresa Toscano Chair in Finance

RECOMMENDATION:

That the Board of Trustees establish the Daniel and Tresa Toscano Chair in Finance in the School of Business.

BACKGROUND:

An endowment fund to support an endowed chair in Finance was created by Daniel and Tresa Toscano with a pledge of \$1,500,000 in June 2014.

The individual appointed to the chair will be a nationally or internationally recognized researcher, scholar, and teacher and will have made significant contributions to the field of finance.

Daniel Toscano is a managing director of Morgan Stanley and the co-head of Global Leveraged and Acquisition Finance. He is responsible for originating and distributing leveraged loan and high yield financings around the world and in all industry sectors. Prior to joining Morgan Stanley, Mr. Toscano was a managing director and group head at Deutsche Bank Securities and its predecessor, Bankers Trust.

Mr. Toscano is a graduate of the University of Connecticut and the Wharton School of the University of Pennsylvania. He serves on the Board of Directors of the UConn Foundation and is co-chairman of its Investment Committee. He is also a member of the Development Committee, and serves the School of Business as a member of the Dean's Leadership Cabinet.

At its September 2, 2014 meeting, the Institutional Advancement Committee recommended approval to the Board of Trustees.

## **ATTACHMENT 11**

September 24, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst



RE: Naming Recommendations for the Basketball Champions Center

RECOMMENDATION:

That the Board of Trustees authorizes the naming of the below listed rooms in the Basketball Champions Center on the UConn Storrs campus. Formal names to be determined at a later date:

<u>Named Space</u>	<u>Named Amount</u>	<u>Donor Recognized</u>
Women's Practice Gym	\$3,000,000.00	Peter & Pamela Werth
Women's Hall of Champions	\$2,000,000.00	Mark Shenkman
Basketball Academic Support	\$1,000,000.00	Michael Melio
Athletic Training/Sports Medicine	\$1,000,000.00	Denis Nayden
Basketball Strength & Conditioning Center	\$1,000,000.00	Karl Krapek
Men's Basketball Locker Room & Lounge	\$1,000,000.00	Robert Skinner, Daniel Toscano, Ronald McIntosh
Men's Basketball Head Coach's Office	\$1,000,000.00	Schilberg Foundation
Men's Basketball Coaches' Suite of Offices	\$1,000,000.00	In Honor of Dee Rowe
Women's Basketball Locker Room & Lounge	\$1,000,000.00	Peter & Pamela Werth
Men's Basketball Video/Teaching Suite	\$500,000.00	Benjamin Renshaw
Men's Basketball Conference Room	\$500,000.00	Webster Bank
Women's Basketball Conference Room	\$500,000.00	Robert Smith
Basketball Hydrotherapy Room	\$500,000.00	Timothy Holt
Basketball Dining & Reception Venue	\$500,000.00	Robert Burrill
Men's Basketball Assistant Coach's Office 1	\$250,000.00	The UConn Club
Men's Basketball Assistant Coach's Office 2	\$250,000.00	Drew Figdor
Men's Basketball Assistant Coach's Office 3	\$250,000.00	Mark & Pamela Beaudoin
Men's Basketball Director of Operations Office	\$250,000.00	John Rafal



**Named Space****Named Amount****Donor Recognized**

Women's Basketball Assistant Coach's Office 1	\$250,000.00	The UConn Club
Women's Basketball Assistant Coach's Office 2	\$250,000.00	Joseph Parsons
Women's Reception Waiting Area	\$150,000.00	Joseph Lubenstein
Coaches Studio	\$150,000.00	The Wolff Family
Pantry	\$100,000.00	Drew Figdor
Strength Office A	\$50,000.00	Stephen Giamalis
Strength Office B	\$50,000.00	Omar Coffee
Exam Room (Medical)	\$50,000.00	Robert Crovo
Grad Assistant Office	\$50,000.00	Raymond Peracchio
Women's Video Coordinator Office	\$50,000.00	David Gelles/NEFCO
Academic Office 1	\$50,000.00	Richard Meduski
Men's Student-Athlete Locker 1	\$25,000.00	Stephen DiCapua
Women's Student-Athlete Locker 1	\$25,000.00	Dennis Rusconi

**BACKGROUND:**

The Basketball Champions Center is a state-of-the-art facility designed to address the on and off court needs of the men's and women's basketball student-athletes at the University of Connecticut. The more than 70,000 square foot space will be located on the footprint of Memorial Stadium on the University of Connecticut Storrs Campus, and will house academic, athletic training and rehabilitation, dining and nutrition, practice and training, and locker facilities that will allow the young men and women who represent the University of Connecticut on the basketball courts to fully develop as student-athletes. Funds raised to support the Basketball Champions Center will be used for construction and upkeep of the facility.

At its September 2, 2014 meeting, the Institutional Advancement Committee recommended approval to the Board of Trustees.

Enclosures

## **ATTACHMENT 12**

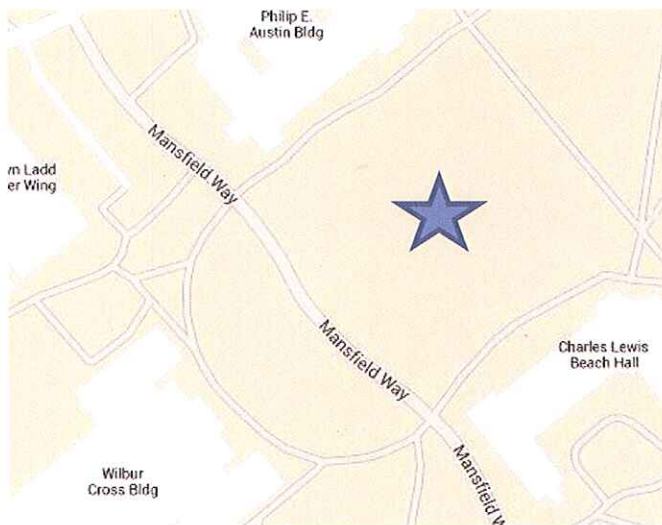
September 24, 2014

TO: Members of the Board of Trustees

FROM: Cara Workman *CW*  
Director, University Events and Conference Services

RE: Naming of outdoor programming space adjacent to Austin Building, flagpoles, and Beach Hall

SPACE:



RECOMMENDATION:

That the Board of Trustees establish the naming of the outdoor programming space adjacent to Austin Building, flagpoles, and Beach Hall the Founders Green.

BACKGROUND:

The space, starred above, is a programming space used for University events. The area does not have a name, which presents challenges when trying to direct guests to this area. It has been incorrectly referred to as The Great Lawn which according to University Archivist, Betsy Pittman the "Great Lawn actually refers to the grassy sweep that faces Route 195 not the crest of the hill." It has been called the CLAS Quad due to its proximity to the CLAS Building, but this building has since been renamed the Philip E. Austin Building.

According to Mark J. Roy, author of *University of Connecticut*, this land was part of the original 170 acres of farmland and buildings donated by Charles and Augustus Storrs; accepted by the General Assembly on April 21, 1881. It also became the location of the first administrative building, Old Main, which was built in 1890 and later torn down in 1929.<sup>12</sup>

The Office of Planning Architectural and Engineering Services advised Founders Green is a good choice for this area as it is not a quad or a plaza, and a 'square' is most often associated with a town or city park. They advised that this area will be designated "The Heritage District" in the new master plan for UConn.

At its September 2, 2014 meeting, the Institutional Advancement Committee recommended approval to the Board of Trustees.

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<sup>1</sup> Roy, Mark J. *University of Connecticut* Charleston, SC, 2001, Page 8-10

<sup>2</sup> Roy, Mark J. *University of Connecticut* Charleston, SC, 2001, Page 63

## **ATTACHMENT 13**



September 24, 2014

TO: Members of the Board of Trustees

FROM: Frank Torti, MD, MPH  
Vice President for Health Affairs  
Dean, School of Medicine

A handwritten signature in blue ink, appearing to be "ML", is located to the right of the "FROM:" line.

RE: Reports of Endowed Chairs for the period of July 1, 2013 to June 30, 2014

RECOMMENDATION:

That the University of Connecticut Board of Trustees approves the Annual Reports for the following Endowed Chairs:

1. Human Genetics
2. Infectious Disease
3. Transfusion Medicine

BACKGROUND:

The three endowed chairs referenced above were established during the Fiscal Year 1988 to 1989 as authorized by CGS 10a – 20a. Subsection (f) of the statute states the “Board of Trustees shall submit annual reports to the Board of Governors concerning their expenditures.” The reports presented today are for the period of July 1, 2012 to June 30, 2013.

The University of Connecticut Health Center  
 Endowed Chair in Infectious Diseases/AIDS Research  
 631129-10141-10

	FY10	FY11	FY12	FY13	FY14*
<b>Beginning Cash Balance</b>	<b>\$105,670.74</b>	<b>\$101,147.82</b>	<b>\$88,861.82</b>	<b>\$75,642.68</b>	<b>\$46,720.03</b>
<b>Receipts :</b>					
Interest Transferred from DHE:	4,449.49	3,852.00	2,342.96	-	1,775.83
<b>Total Receipts</b>	<b>4,449.49</b>	<b>3,852.00</b>	<b>2,342.96</b>	<b>-</b>	<b>1,775.83</b>
<b>Expenditures :</b>					
Salaries and Wages	937.55	-	-	8,587.00	3,724.66
Fringe Benefits	266.27	-	-	2,194.00	1,354.51
Purchased Services	8,055.88	14,005.00	12,841.00	14,663.00	7,208.82
Supplies	664.00	617.00	629.00	1,475.00	166.00
Equipment	-	-	-	1,447.00	-
Change in accruals	(951.29)	1,516.00	2,092.10	556.65	(40.91)
<b>Total Expenditures</b>	<b>8,972.41</b>	<b>16,138.00</b>	<b>15,562.10</b>	<b>28,922.65</b>	<b>12,413.08</b>
<b>Ending Cash Spendable Balance</b>	<b>\$101,147.82</b>	<b>\$88,861.82</b>	<b>\$75,642.68</b>	<b>\$46,720.03</b>	<b>\$36,082.78</b>

\* FY14 are not final and may change due to year end adjustments

Fund Source	Principal Market Value (07/31/13)	Spendable Interest Produced (FY13)	Rate of Spendable Return
DHE (174951)	1,142,112	1,776	0.16%



The University of Connecticut Health Center  
 Endowed Chair in Human Genetics  
 300041-10600-10

	FY10	FY11	FY12	FY13	FY14*
<b>Beginning Cash Balance</b>	<b>\$510,940.53</b>	<b>\$449,017.36</b>	<b>\$287,801.36</b>	<b>\$279,446.87</b>	<b>\$242,473.87</b>
<b>Receipts :</b>					
Interest Transferred from DHE:	3,246.01	955.00	(3,437.00)	-	1,295.51
Interest Transferred from UCONN Foundation:	80,541.68	55,224.00	94,267.00	72,893.00	75,392.60
<b>Total Receipts</b>	<b>83,787.69</b>	<b>56,179.00</b>	<b>90,830.00</b>	<b>72,893.00</b>	<b>76,688.11</b>
<b>Expenditures :</b>					
Salaries and Wages	46,210.82	55,655.00	57,974.16	83,165.00	31,326.59
Fringe Benefits	13,428.69	44,852.00	14,526.52	26,408.00	2,576.80
Purchased Services	3,541.55	40,672.00	26,257.57	293.00	34.50
Supplies	10,852.51	12,173.00			
Equipment	73,587.27	62,118.00			
Change in accruals	(1,909.98)	1,925.00	426.24		909.96
<b>Total Expenditures</b>	<b>145,710.86</b>	<b>217,395.00</b>	<b>99,184.49</b>	<b>109,866.00</b>	<b>34,847.85</b>
<b>Ending Cash Spendable Balance</b>	<b>\$449,017.36</b>	<b>\$287,801.36</b>	<b>\$279,446.87</b>	<b>\$242,473.87</b>	<b>\$284,314.13</b>

\* FY14 are not final and may change due to year end adjustments

	Principal Market Value (06/30/14)	Spendable Interest Produced (FY13)	Rate of Spendable Return
Two Fund sources			
DHE (174952)	833,205	1,296	0.16%
Foundation (35044)	1,813,126	72,893	4.16%



The University of Connecticut Health Center  
Endowed Chair in Transfusion Medicine  
300037-10149-10

	FY10	FY11	FY12	FY13*	FY14*
<b>Beginning Cash Balance</b>	<b>\$344,900.45</b>	<b>\$379,713.59</b>	<b>\$359,599.59</b>	<b>\$345,472.11</b>	<b>\$383,392.11</b>
<b>Receipts :</b>					
Interest Transferred from UCONN Foundation:	43,837.32	30,057.00	48,309.03	39,674.00	41,034.77
Interest Transferred from DHE:	2,224.63	1,256.00	501.06	-	887.86
<b>Total Receipts</b>	<b>46,061.95</b>	<b>31,313.00</b>	<b>48,810.09</b>	<b>39,674.00</b>	<b>41,922.63</b>
<b>Expenditures :</b>					
Salaries and Wages	9,630.31	3,562.00	46,876.55		1,092.05
Fringe Benefits	2,730.30	935.00	12,318.50		471.00
Purchased Services	300.00	1,591.00	3,400.00		
Supplies	-	11,605.00	342.52		
Equipment	-	32,045.00			
Change in accruals	(1,411.80)	1,689.00		1,754.00	
<b>Total Expenditures</b>	<b>11,248.81</b>	<b>51,427.00</b>	<b>62,937.57</b>	<b>1,754.00</b>	<b>1,563.05</b>
<b>Ending Cash Spendable Balance</b>	<b>\$379,713.59</b>	<b>\$359,599.59</b>	<b>\$345,472.11</b>	<b>\$383,392.11</b>	<b>\$423,751.69</b>

\* FY13 are not final and may change due to year end adjustments

	Principal Market Value (07/31/13)	Spendable Interest Produced (FY14)	Rate of Spendable Return
Two Fund sources			
DHE (174950)	571,031	888	0.16%
Foundation (35021)	986,851	41,035	4.16%

## **ATTACHMENT 14**

September 24, 2014

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost  
RE: Centers and Institutes

The Laws, By-Laws and Rules of the University (Article XIII) require that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission." In 2005, a Committee was charged to develop criteria for the establishment and review of academic centers and institutes. Based on the guidelines developed by this Committee, all academic centers and institutes at the University of Connecticut are reviewed over a period not to exceed five years.

BACKGROUND:

Following review and deliberation, the Academic Centers and Institutes Review Committee brought forth the following recommendations, which I support:

Renewed for five-year term

Center for Judaic Studies and Contemporary Jewish Life  
Center for the Study of Culture, Health and Human Development (CHHD)  
Connecticut Transportation Institute (CTI)

Discontinued

Institute for Violence Prevention and Reduction

Mission statements for the renewed Centers and Institutes are available on the following page.

## **ATTACHMENT 15**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and  
Equipment Expenditures

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code/ADA Renovation Lump Sum expenditures of \$1,744,636 for Storrs and the Regional Campuses and \$0 for UConn Health for Fiscal Year 2014 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached lists represent actual expenditures for the Deferred Maintenance project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for approval. The attached information includes a listing of actual projects and expenditures compared to the estimated amounts that formed the basis of the original capital budget for FY14 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily reflect the authorization for the specific year.

Also attached is the annual informational report: the summary of all Deferred Maintenance/Code/ADA Renovation Lump Sum and Equipment, Library Collections and Telecommunications expenditures to date under the UCONN 2000 program.

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**University of Connecticut - Storrs and Regional Campuses**

**Fiscal Year 2014 UCONN 2000 Deferred Maintenance / Code / ADA Renovation Lump Sum Project List and Expenditures through 6/30/14**

Project Name	Project #	Original Allocation**	Changes	Revised Budget	Expended	Encumbered	Budget Balance Available
<b>Deferred Maintenance - Buildings:</b>							
Advanced Technology Laboratory/White Building Electrical & Generator Mods	201598*	\$ -	\$ 420,698	\$ 420,698	\$ -	\$ -	420,698
Advanced Technology Laboratory-Primary & Secondary Chilled Water Mods	901789*	-	50,000	50,000	12,698	-	37,302
Alumni Quad-Replace Air Scoops & Expansion Tanks	901790*	-	50,000	50,000	-	-	50,000
Atwater Laboratory Electrical Services	901633*	-	465,000	465,000	294,778	-	170,222
Babbidge-Redesign & Reinstall Coils to Stop Leaks	901791*	-	50,000	50,000	-	-	50,000
Biology/Physics Exterior Masonry Wall, Water Intrusion	901815	-	50,000	50,000	-	40,600	9,400
Business-Condenser Unit Relocation	901792*	-	30,000	30,000	-	-	30,000
Replace Chemistry Air Compressor	901822*	-	450,000	450,000	11,267	-	438,733
Replace Gampel Cooling Towers	901795	-	940,000	940,000	69,699	94,000	776,301
Deferred Maintenance - Buildings - Allocation		1,180,000	(1,180,000)	-	-	-	-
Subtotal		\$ 1,180,000	\$ 1,325,698	\$ 2,505,698	\$ 388,443	\$ 134,600	\$ 1,982,655
<b>Deferred Maintenance - Infrastructure:</b>							
Hillside Road & Gilbert Road Infrastructure	901825*	-	20,000	20,000	268	-	19,732
Main Water Supply Line Repair/Replacement Phase I	901634*	-	5,979,905	5,979,905	-	-	5,979,905
Main Water Supply Line Repair/Replacement Phase II	901819*	-	425,000	425,000	-	-	425,000
North West Quadrant Infrastructure	901826*	-	30,000	30,000	-	-	30,000
Sewer Replacement @ Storrs Road Pump Station-Design	901675*	-	2,750,000	2,750,000	297,449	-	2,452,551
Water Pollution Control Facility Priority 1 Repairs	901810	-	250,000	250,000	-	26,500	223,500
WPCF Main Transfer Switch	901796	-	50,000	50,000	10,938	-	39,062
Deferred Maintenance - Infrastructure - Allocation		4,040,000	(4,040,000)	-	-	-	-
Subtotal		\$ 4,040,000	\$ 5,464,905	\$ 9,504,905	\$ 308,655	\$ 26,500	\$ 9,169,750
<b>Code/ADA:</b>							
Environmental Compliance-Asbestos Abatement, Mold Remediation	901787	-	500,000	500,000	95,293	33,705	371,002
Code/ADA - Allocation		1,500,000	(875,000)	625,000	-	-	625,000
Subtotal		\$ 1,500,000	\$ (375,000)	\$ 1,125,000	\$ 95,293	\$ 33,705	\$ 996,002
<b>Deferred Maintenance-Access, Appearance &amp; Safety:</b>							
Fine Arts Gateway & Pedestrian Access	901812	-	1,500,000	1,500,000	172,986	1,060,300	266,714
King Hill Road Building Demolition	901778*	-	63,550	63,550	46,682	-	16,868
Sherman Field Walkway	901827*	-	30,000	30,000	2,289	-	27,711
Sidewalk Improvements-South Campus	901775*	-	387,376	387,376	134,909	-	252,467
Stadium Road Improvements	901776*	-	200,000	200,000	122,619	-	77,381
Deferred Maintenance-Access, Appearance & Safety - Allocation		9,439,650	(9,439,650)	-	-	-	-
Subtotal		\$ 9,439,650	\$ (7,258,724)	\$ 2,180,926	\$ 479,484	\$ 1,060,300	\$ 641,142
<b>Renovation/Lump Sum:</b>							
AMIC Lab 132 & Room 110 - Longley	901845	-	115,700	115,700	-	-	115,700
Avery Point Academic Building Classroom 211 Upgrades	901838	-	175,000	175,000	-	-	175,000
BECAT-A41	901818	-	13,550	13,550	10,158	-	3,392
CANR Office Renovation - Jones Annex	901843	-	38,000	38,000	-	-	38,000
FMRI(Func Magnetic Resonance Imaging)-Acq & Installation	201630	-	4,700,000	4,700,000	236,464	157,339	4,306,197
Football Annex Demolition	901834	-	200,000	200,000	-	-	200,000
Gant Physics P004, P005, P006 New Faculty Renovation	901835	-	361,100	361,100	-	-	361,100
Hammer/Discus Relocation	901829*	-	39,500	39,500	-	-	39,500
ITE Research Spaces	901817	-	185,000	185,000	136,090	-	48,910
Klinck A/C Hookup	901839	-	37,000	37,000	-	-	37,000
Longley Building Room 202 SOE Renovation	901816	-	100,000	100,000	-	-	100,000
Physics 2nd Floor Classroom Labs Renovation	901823	-	440,000	440,000	-	-	440,000
Pilot Lab - Engineering II - West Wing (Lab 114+)	901831	-	300,000	300,000	-	-	300,000
Putnam Refectory Renovation	901820*	-	300,000	300,000	90,049	-	209,951
Young Building Renovations Room 331 Offices	901832	-	30,000	30,000	-	-	30,000
Renovation/Lump Sum - Allocation		4,700,000	(4,320,350)	379,650	-	-	379,650
Subtotal		\$ 4,700,000	\$ 2,714,500	\$ 7,414,500	\$ 472,761	\$ 157,339	\$ 6,784,400
<b>Reserves/Indenture Changes:</b>							
Emergency/Reserve Fiscal Year FY 2014 & Prior Years		2,056,610	(1,871,379)	185,231	-	-	185,231
Indenture Change		-	(128,000)	(128,000)	-	-	(128,000)
<b>Grand Total FY14</b>		<b>\$ 22,916,260</b>	<b>\$ (128,000)</b>	<b>\$ 22,788,260</b>	<b>\$ 1,744,636</b>	<b>\$ 1,412,443</b>	<b>\$ 19,631,181</b>
Expenditures for Previous Years Authorized Projects					9,475,674		
<b>Total FY14 Expenditures for all Projects</b>					<b>\$ 11,220,309</b>		

\*Projects were allocated funds from prior year reserves.

\*\*Original Allocation includes the FY14 new bond authorization of \$13,422,450 and utilization of Prior Year Reserves of \$9,493,810.

**UConn Health**

**Fiscal Year 2014 UCONN 2000 Deferred Maintenance / Code / ADA Renovation Lump Sum Project List and Expenditures through 6/30/14**

Project Name	Project Number	Original Allocation	Changes	Revised Budget	Expended	Encumbered	Budget Balance Available
<b><u>Deferred Maintenance - Buildings/Infrastructure</u></b>							
<b>Electrical System Upgrades</b>							
Generator Modifications Bldgs 8,D,J & R - R1412	14-601.06	\$ 80,000	\$ 80,000	\$ 160,000	\$ -	\$ -	\$ 160,000
Munson Road Switch Gear - P3044b	14-601.07	200,000	-	200,000	-	-	200,000
Generator and ATS Breakers And GFI's Upgrade And Test - Ab0	14-601.08	75,000	-	75,000	-	-	75,000
Replace Cooling Tower CL&P Switches	14-601.10	440,000	-	440,000	-	12,300	427,700
Main Generator Room Fire Alarm Upgrade - Ab035	14-601.04	23,000	-	23,000	-	20,226	2,774
<b>Cooling System Upgrades</b>							
Replace 190 Ton Cooling Tower - Munson	14-601.09	100,000	-	100,000	-	13,800	86,200
<b>Water System Upgrades</b>							
Replace Boiler Feed Water Pumps - Esb004	14-601.12	50,000	-	50,000	-	-	50,000
<b>Sewage and Sump Pump Replacement</b>							
New Sump Pumps Clinic Bldg - Cb003	14-601.13	16,000	-	16,000	-	-	16,000
Sump Pump Chamber/tank Removal & New Tank Installation	14-601.14	15,000	-	15,000	-	9,998	5,002
Upgrade Of Library Elevator - LSB	14-601.02	63,100	-	63,100	-	57,079	6,021
Sand Filter For Chilled Water System - Bldgs K & B	14-601.11	60,000	-	60,000	-	11,250	48,750
P4016 File Rm Conversion	14-601.16	11,000	-	11,000	-	8,010	2,990
400 Farmington Ave Camera & Talkaphone Repairs	14-601.17	-	83,498	83,498	-	-	83,498
Demolition Of Dowling North & South Buildings - Bldgs G & I	14-604	-	1,070,000	1,070,000	-	78,100	991,900
<i>Deferred Maintenance - Buildings/Infrastructure Allocation</i>		1,716,900	(1,233,498)	483,403	-	-	483,403
<b>Subtotal</b>		\$ 2,850,000	\$ -	\$ 2,850,000	\$ -	\$ 210,763	\$ 2,639,237
<b><u>Code/ADA</u></b>							
Fire Alarm Upgrade (CI Cable) Phase 3	14-601.01	300,000	-	300,000	-	110,992	189,008
<i>Code/ADA Allocation</i>		-	-	-	-	-	-
<b>Subtotal</b>		\$ 300,000	\$ -	\$ 300,000	\$ -	\$ 110,992	\$ 189,008
<b><u>Deferred Maintenance - Access, Appearance &amp; Safety</u></b>							
Miscellaneous Sidewalk Repairs	14-603.01	-	11,000	11,000	-	-	11,000
Roadway Improvements*		4,000,000	568,000	4,568,000	-	-	4,568,000
Indenture Change		-	(4,568,000)	(4,568,000)	-	-	(4,568,000)
<i>Deferred Maintenance - Access, Appearance &amp; Safety Allocation</i>		-	-	-	-	-	-
<b>Subtotal</b>		\$ 4,000,000	\$ (3,989,000)	\$ 11,000	\$ -	\$ -	\$ 11,000
<b><u>Renovation And Lump Sum Projects</u></b>							
195 Farmington Ave.	13-044	500,000	-	500,000	-	-	500,000
<i>Renovation And Lump Sum Projects Allocation</i>		900,000	-	900,000	-	-	900,000
<b>Subtotal</b>		\$ 1,400,000	\$ -	\$ 1,400,000	\$ -	\$ -	\$ 1,400,000
<b><u>Reserves/Indenture Changes</u></b>							
Emergency/Reserve		850,000	(579,000)	271,000	-	-	271,000
<b>Grand Total FY14</b>		\$ 9,400,000	\$ (4,568,000)	\$ 4,832,000	\$ -	\$ 321,755	\$ 4,510,245
Expenditures for Previous Years Authorized Projects				-	3,714,548		
<b>Total FY14 Expenditures for all Projects</b>					<b>\$ 3,714,548</b>		

\*The Roadway Improvements project was moved from Deferred Maintenance to the New Construction and Renovation category via an indenture change approved on 9/25/13 by the Board of Trustees.

**University of Connecticut**  
**UConn 2000 Phases I-III Deferred Maintenance / Code / ADA Renovation Lump Sum**  
**Expenditures For Fiscal Years 1996 - 2014**

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY2009	FY2010	FY2011	FY2012	FY2013	FY2014	Total
Storrs & Regional Campuses	\$ 45,858,374	\$ 125,333,277	\$ (951,948)	\$ 12,531,250	\$ 19,548,353	\$ 20,869,953	\$ 16,342,058	\$ 22,449,663	\$ 11,809,480	\$ 13,320,722	\$ 11,220,309	\$ 298,331,493
UConn Health	-	11,700	1,396,608	2,567,873	3,178,646	4,286,953	5,156,558	3,010,806	2,786,926	3,784,252	3,714,548	29,894,870
<b>Grand Total</b>	<b>\$ 45,858,374</b>	<b>\$ 125,344,977</b>	<b>\$ 444,660</b>	<b>\$ 15,099,123</b>	<b>\$ 22,726,999</b>	<b>\$ 25,156,906</b>	<b>\$ 21,498,616</b>	<b>\$ 25,460,469</b>	<b>\$ 14,596,406</b>	<b>\$ 17,104,974</b>	<b>\$ 14,934,857</b>	<b>\$ 328,226,363</b>

**UConn 2000 Phases I-III Equipment, Library Collections and Telecommunications**  
**Expenditures For Fiscal Years 1996 - 2014**

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	Total
<b>Storrs &amp; Regional Campuses</b>												
Academic Services <sup>1</sup>	\$ 28,880,247	\$ 61,083,979	\$ 4,093,617	\$ 5,203,765	\$ 14,934,628	\$ 8,577,412	\$ 2,079,299	\$ 3,747,178	\$ 252,889	\$ 100,286	\$ 7,178,597	\$ 136,131,897
Libraries <sup>2</sup>	17,024,852	34,651,315	4,232,986	1,010,344	201,713	110,274	50	-	-	-	-	57,231,533
Student Services <sup>3</sup>	541,364	1,764,989	2,651	43,731	200,860	131,085	39,040	6,630	-	-	136,927	2,867,278
Operational Support & Physical Plant Services <sup>4</sup>	5,835,173	11,048,201	1,020	1,109,297	5,151,818	567,335	347,822	2,962,007	1,870,407	7,392,676	2,045,051	38,330,807
<b>Total - UConn Storrs &amp; Regional Campuses</b>	<b>\$ 52,281,635</b>	<b>\$ 108,548,484</b>	<b>\$ 8,330,274</b>	<b>\$ 7,367,137</b>	<b>\$ 20,489,019</b>	<b>\$ 9,386,105</b>	<b>\$ 2,466,211</b>	<b>\$ 6,715,815</b>	<b>\$ 2,123,296</b>	<b>\$ 7,492,963</b>	<b>\$ 9,360,575</b>	<b>\$ 234,561,514</b>
<b>UConn Health</b>												
Academic Services <sup>1</sup>	\$ -	\$ 3,653	\$ 2,881,808	\$ 2,755,229	\$ 2,815,439	\$ 2,657,351	\$ 2,611,195	\$ 3,976,909	\$ 1,913,969	\$ 730,841	\$ 1,274,389	\$ 21,620,782
Libraries	-	-	1,407,677	1,139,897	566,486	1,161,182	1,244,581	1,609,784	120,783	214,513	3,546	7,468,449
Operational Support & Physical Plant Services <sup>4</sup>	-	3,653	2,881,809	2,755,230	2,815,436	2,657,351	2,611,195	3,976,909	1,913,969	730,841	1,274,388	21,620,780
<b>Total spent by UConn Storrs &amp; Regional Campuses for UConn Health <sup>5</sup></b>	<b>\$ -</b>	<b>\$ 7,306</b>	<b>\$ 7,171,294</b>	<b>\$ 6,650,356</b>	<b>\$ 6,197,361</b>	<b>\$ 6,475,883</b>	<b>\$ 6,466,971</b>	<b>\$ 9,563,602</b>	<b>\$ 3,948,721</b>	<b>\$ 1,676,194</b>	<b>\$ 2,552,323</b>	<b>\$ 50,710,012</b>
<b>Grand Total</b>	<b>\$ 52,281,635</b>	<b>\$ 108,555,790</b>	<b>\$ 15,501,568</b>	<b>\$ 14,017,493</b>	<b>\$ 26,686,380</b>	<b>\$ 15,861,988</b>	<b>\$ 8,933,182</b>	<b>\$ 16,279,417</b>	<b>\$ 6,072,017</b>	<b>\$ 9,169,157</b>	<b>\$ 11,912,898</b>	<b>\$ 285,271,526</b>

**Notes:**

- 1) Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- 2) The funding of library acquisitions is being shifted to operating dollars as part of a phase-in plan which makes UCONN 2000 dollars available for reallocation to other capital expenses. This is important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- 3) Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000.
- 4) Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.
- 5) UConn Health expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.

\*FY05 funds were allocated in Phase II and Phase III. For this summary, all expenditures for FY05 were included in Phase II.



## **ATTACHMENT 16**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Withdrawals

RECOMMENDATION:

That the Board of Trustees approve the withdrawal of the project budgets listed below which were previously approved by the Board of Trustees.

BACKGROUND:

The following project budgets were approved by the Board of Trustees on the dates listed below. At this time, we are withdrawing these projects from the list of approved active projects for one of the following reasons: (1) the reprioritization of or lack of sufficient funding; (2) the key driver for the project has changed; (3) the project requires further scope definition; or (4) the project budget is less than \$500,000. We will resubmit the project budget if it is to move forward at a future date.

Project Name	Phase	Budget	Approved
Advanced Technology Laboratory & White Building Electrical & Generator Modifications	Design	\$605,000	8/7/13
Mansfield Training School Improvements (Phase II) – Merritt Building	Revised Design	\$3,250,000	6/10/10
Torrey Renovation Completion & Biology Expansion	Revised Planning	\$125,000,000	6/24/08

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## **ATTACHMENT 17**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities - Honors Residence Hall  
(Revised Planning: \$3,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$3,500,000 for Bridging Architect services to design a new Honors Residence Hall on the Storrs campus.

BACKGROUND:

The new Honors Residence Hall will provide housing for entering honors students and will include space requirements for the honors program and a dining hall. The facility will be approximately 220,000 gross square feet and will include double bedrooms, single bedrooms, hall director rooms, resident assistant rooms, study rooms, lounges, seminar rooms, education programming rooms, music rooms, honors program offices, and a dining hall. The target is to build between 600-650 beds with a 600-700 seat dining hall, utilizing a Design-Build delivery method. Based on bids received for the STEM residence hall, the anticipated project budget will be in the range of \$105,000,000 to \$110,000,000.

The University has selected a Bridging Architect through a competitive Request for Qualifications and subsequent interview process and has released same through the Schematic Design phase. After more thorough investigation of the project requirements during the Schematic Design phase, we are now in a position to release the architect for full design services. Meetings have been conducted to verify the space program, parameters defined for the South campus site, permitting requirements reviewed, and studies conducted of the project in the context of the campus master plan and pertaining to the existing capacity of site utilities. The completion of the bridging documents for bid is anticipated to take six months, with bidding of the Design-Bid package in spring 2015.

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED PLANNING**

**PROJECT NAME: RESIDENTIAL LIFE FACILITIES - HONORS RESIDENCE HALL**

	<b>APPROVED PLANNING 11/13/2013</b>	<b>APPROVED REVISED PLANNING 8/6/2014</b>	<b>PROPOSED REVISED PLANNING 9/24/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$ 50,000	\$ 100,000	\$ 100,000
DESIGN SERVICES	250,000	1,500,000	2,925,000
TELECOMMUNICATIONS	20,000	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	215,000	60,000	60,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	50,000	25,000	25,000
INSURANCE AND LEGAL	55,000	55,000	55,000
MISCELLANEOUS	10,000	10,000	10,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 650,000</b>	<b>\$ 1,750,000</b>	<b>\$ 3,175,000</b>
PROJECT CONTINGENCY	100,000	250,000	325,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 750,000</b>	<b>\$ 2,000,000</b>	<b>\$ 3,500,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III	\$ 750,000	\$ 2,000,000	\$ 3,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 750,000</b>	<b>\$ 2,000,000</b>	<b>\$ 3,500,000</b>

## **ATTACHMENT 18**





September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Gampel Pavilion LED Lighting and Controls Upgrade  
(Final: \$525,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$525,000 to upgrade the lighting and control system in the Harry A. Gampel Pavilion to NCAA compliant energy efficient Light Emitting Diodes (LED) fixtures.

BACKGROUND:

Technological advances in lighting and lighting control systems have significantly reduced energy consumption and maintenance requirements since the construction of the Harry A. Gampel Pavilion. This project will supply and install high efficiency LED lighting and wireless control systems to reduce lighting energy consumption by ~75% and reduce operating and maintenance costs by ~80% due to long lamp life and a 10 year warranty period. The control system will allow finer-grained fixture control and lighting level adjustment for practice, game, and broadcast needs. This project will include complete procurement, installation, permitting, and other required aspects to deliver a fully functional NCAA compliant HDTV broadcast lighting solution with wireless programmable control. This project does not include life safety or emergency egress lighting.

This project will be funded by the UConn Renewable Energy Credit Fund account and is eligible for rebates and incentives up to 40% of installed costs from Northeast Utilities subsidiary Connecticut Light and Power (CL&P). The project will commence in September 2014 and is expected to be complete in March 2015.

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This project is similar, although larger in scope, to the lighting upgrades conducted as a Continuous Energy Efficiency Improvement Initiative in more than 130 UConn buildings as defined by the 2009 University Costs, Operations, & Revenue Efficiencies (CORE) Task Force. These projects result in significant carbon footprint reductions, increase energy efficiency, decrease overall energy use, and reduce greenhouse gas emissions moving us toward UConn's goal of achieving carbon neutrality by the year 2050, per the American College & University Presidents' Climate Commitment (ACUPCC) Agreement and UConn's Climate Action Plan. The University also requests that the three stage project budget approval process be waived at this time to allow project completion during this CL&P rebate and incentive fiscal cycle. This Final Budget is attached for your consideration and approval.

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: GAMPEL PAVILION LED LIGHTING AND CONTROLS UPGRADE**

		<b>PROPOSED FINAL 9/24/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$	449,000
DESIGN SERVICES		-
TELECOMMUNICATIONS		35,000
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		20,000
OTHER AE SERVICES (including Project Management)		15,750
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		-
MISCELLANEOUS		-
OTHER SOFT COSTS		-
<b>SUBTOTAL</b>	\$	519,750
PROJECT CONTINGENCY		26,250
<b>TOTAL BUDGETED EXPENDITURES</b>	\$	<u>546,000</u>
<b><u>SOURCE(S) OF FUNDING</u></b>		
RENEWABLE ENERGY CREDIT FUNDS	\$	546,000
<b>TOTAL BUDGETED FUNDING</b>	\$	<u>546,000</u>

BOT 9.24.14

201652

## **ATTACHMENT 19**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Torrey Renovation Completion and Biology Expansion –  
Roof Replacement (Final: \$1,012,500)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,012,500 for the construction of the Torrey Roof Replacement Project.

BACKGROUND:

The purpose of this project is to address on-going roof leaks at the Torrey Life Sciences Building. The existing roof is more than 20 years old and testing has proven that the roof has deteriorated beyond economic repair.

The Final Budget reflects a total project cost of \$1,012,500 which is based upon bid results. The project is designed with methods and materials that respect an expected ten (10) year warranty period. Construction will mobilize soon after BOT approval and be completed by the end of the fall 2014 semester.

The Final Budget is attached for your consideration and approval.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: TORREY RENOVATION COMPLETION AND BIOLOGY EXPANSION - ROOF REPLACEMENT**

	<b>APPROVED PLANNING 9/11/2013</b>	<b>APPROVED DESIGN 8/6/2014</b>	<b>PROPOSED FINAL 9/24/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
	EVPACFO		
CONSTRUCTION	\$ -	\$ 650,000	\$ 650,000
DESIGN SERVICES	89,000	81,845	81,845
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	100,000	100,000
OTHER AE SERVICES (including Project Management)	1,750	40,000	40,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	19,000	19,000
INSURANCE AND LEGAL	-	6,000	6,000
MISCELLANEOUS	-	15,655	15,655
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 90,750</b>	<b>\$ 912,500</b>	<b>\$ 912,500</b>
PROJECT CONTINGENCY	9,250	100,000	100,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 100,000</b>	<b>\$ 1,012,500</b>	<b>\$ 1,012,500</b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III	\$ 100,000	\$ 1,012,500	\$ 1,012,500
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 100,000</b>	<b>\$ 1,012,500</b>	<b>\$ 1,012,500</b>

BOT 9.24.14

901806



## **ATTACHMENT 20**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Water Pollution Control Facility (WPCF) – Priority 1 Repairs  
(Final: \$3,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$3,000,000 for the planning, design and construction of essential repairs to the University's Water Pollution Control Facility (WPCF).

BACKGROUND:

The University operates its own sewage treatment plant or Water Pollution Control Facility (WPCF), that treats collected wastewater from the buildings on campus. The plant is located on the northern end of campus. It treats all wastewater from the University main campus, the Depot Campus, and parts of the Town of Mansfield.

The plant is well run and maintained; however, there are periodic updates and repairs that are needed to keep producing a high quality effluent. The purpose of this project is to make critical repairs and upgrades to this facility including to the aerators, carousels, flow meters, screens gas detection and grit diffusers and associated mechanical equipment.

The University commissioned a design team to prepare the plans and specifications for the project which are now complete. The project budget is based on the latest design team estimates and the project will be submitted for competitive bidding during September 2014. The University will notify the Board should competitive bidding result in a greater project cost.

The Final Budget is attached for your consideration and approval.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: WATER POLLUTION CONTROL FACILITY PRIORITY ONE REPAIRS**

	<b>APPROVED PLANNING 11/13/2013</b>	<b>APPROVED DESIGN 8/6/2014</b>	<b>PROPOSED FINAL 9/24/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
	SARCC		
CONSTRUCTION	\$ -	\$ 1,825,000	\$ 1,825,000
DESIGN SERVICES	150,000	300,000	300,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	200,000	200,000
CONSTRUCTION ADMINISTRATION	-	125,000	125,000
OTHER AE SERVICES (including Project Management)	4,375	150,000	150,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	25,000	25,000
INSURANCE AND LEGAL	-	6,000	6,000
MISCELLANEOUS	70,000	19,000	19,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 224,375</b>	<b>\$ 2,650,000</b>	<b>\$ 2,650,000</b>
PROJECT CONTINGENCY	25,625	350,000	350,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 250,000</u></b>	<b><u>\$ 3,000,000</u></b>	<b><u>\$ 3,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III - FY14 DM	\$ 250,000	\$ 250,000	\$ 250,000
UConn 2000 PHASE III - FY15 DM	-	2,750,000	2,750,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 250,000</u></b>	<b><u>\$ 3,000,000</u></b>	<b><u>\$ 3,000,000</u></b>

## **ATTACHMENT 21**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budgets for Northwest Quad Code Remediation  
(Revised Final: \$914,500)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$914,500 for the remediation of code discrepancies at the Northwest Quad Residential Complex.

BACKGROUND:

Over the past several years, the University has continued its efforts to complete the UCONN 2000 Code Remediation Program. The University had estimated design and construction costs and formulated individual project budgets in order to estimate the total costs to remediate all open discrepancies. Estimates of corrective costs were conceptual in nature and were derived primarily from assessing the extent of corrective action based on report narratives issued by the University Office of the Fire Marshal and Building Inspector. While the University would have liked to continue funding these projects with the annual investment income derived from the Short Term Investment Fund (STIF), it is no longer practical to try to fund these projects with a revenue stream that barely exists. The STIF interest rate was only 0.14% in FY14 and had only a 0.5% return over the past five years.

Therefore, based on the authority granted in Public Act 07-3, the balance of code remediation projects will be fully funded with UCONN 2000 Deferred Maintenance/Code/ADA Renovation Lump Sum funds.

The Revised Final Budget reflecting the change in fund source, which accounts for the increase in the project budget, is attached for your consideration and approval.

Office of the Executive Vice President for  
Administration and Chief Financial Officer  
352 MANSFIELD ROAD, UNIT 1122  
GULLEY HALL  
STORRS, CT 06269-1122  
PHONE 860.486.3455  
FAX 860.486.1070

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:**    **REVISED FINAL**

**PROJECT NAME:**    **NORTHWEST QUAD CODE REMEDIATION**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED FINAL 8/21/2008</u>	<u>APPROVED REVISED FINAL 8/10/2010</u>	<u>PROPOSED REVISED FINAL 9/24/2014</u>
CONSTRUCTION	\$ 296,500	\$ 402,000	\$ 402,000
DESIGN SERVICES	199,000	202,000	202,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	171,000	186,000	186,000
OTHER AE SERVICES	3,500	2,500	29,500
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	3,000	50,000	50,000
MISCELLANEOUS	-	5,000	5,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 673,000</b>	<b>\$ 847,500</b>	<b>\$ 874,500</b>
PROJECT CONTINGENCY	33,650	40,000	40,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 706,650</u></b>	<b><u>\$ 887,500</u></b>	<b><u>\$ 914,500</u></b>
<u><b>SOURCE(S) OF FUNDING</b></u>			
UNIVERSITY OPERATING FUNDS	\$ 706,650	\$ 887,500	\$ 806,930
UCONN 2000 PHASE III - DM	-	-	107,570
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 706,650</u></b>	<b><u>\$ 887,500</u></b>	<b><u>\$ 914,500</u></b>



## **ATTACHMENT 22**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN 2000 Code Remediation: Alumni Quad Residential Complex (Revised Final: \$766,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$766,000 for the remediation of code discrepancies at the Alumni Quad Residential Complex.

BACKGROUND:

Over the past several years, the University has continued its efforts to complete the UCONN 2000 Code Remediation Program. The University had estimated design and construction costs and formulated individual project budgets in order to estimate the total costs to remediate all open discrepancies. Estimates of corrective costs were conceptual in nature and were derived primarily from assessing the extent of corrective action based on report narratives issued by the University Office of the Fire Marshal and Building Inspector. While the University would have liked to continue funding these projects with the annual investment income derived from the Short Term Investment Fund (STIF), it is no longer practical to try to fund these projects with a revenue stream that barely exists. The STIF interest rate was only 0.14% in FY14 and had only a 0.5% return over the past five years.

Therefore, based on the authority granted in Public Act 07-3, the balance of code remediation projects will be fully funded with UCONN 2000 Deferred Maintenance/Code/ADA Renovation Lump Sum funds.

The Revised Final Budget reflecting the change in fund source is attached for your consideration and approval.

Office of the Executive Vice President for  
Administration and Chief Financial Officer  
352 MANSFIELD ROAD, UNIT 1122  
GULLEY HALL  
STORRS, CT 06269-1122  
PHONE 860.486.3455  
FAX 860.486.1070

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: UCONN 2000 CODE REMEDIATION: ALUMNI QUAD**

	<b>APPROVED FINAL 6/25/2014</b>	<b>PROPOSED REVISED FINAL 9/24/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 469,000	\$ 469,000
DESIGN SERVICES	10,000	10,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	100	100
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	151,000	151,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	20,000	20,000
MISCELLANEOUS	45,900	45,900
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 696,000</b>	<b>\$ 696,000</b>
PROJECT CONTINGENCY	70,000	70,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 766,000</b>	<b>\$ 766,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UNIVERSITY OPERATING FUNDS	\$ 766,000	\$ 444,747
UCONN 2000 PHASE III - DM	-	321,253
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 766,000</b>	<b>\$ 766,000</b>

## **ATTACHMENT 23**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budgets for UCONN 2000 Code Remediation: Starr Hall  
(Revised Final: \$631,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$631,000 for the remediation of code discrepancies at Starr Hall on the UConn School of Law Campus in Hartford.

BACKGROUND:

Over the past several years, the University has continued its efforts to complete the UCONN 2000 Code Remediation Program. The University had estimated design and construction costs and formulated individual project budgets in order to estimate the total costs to remediate all open discrepancies. Estimates of corrective costs were conceptual in nature and were derived primarily from assessing the extent of corrective action based on report narratives issued by the University Office of the Fire Marshal and Building Inspector. While the University would have liked to continue funding these projects with the annual investment income derived from the Short Term Investment Fund (STIF), it is no longer practical to try to fund these projects with a revenue stream that barely exists. The STIF interest rate was only 0.14% in FY14 and had only a 0.5% return over the past five years.

Therefore, based on the authority granted in Public Act 07-3, the balance of code remediation projects will be fully funded with UCONN 2000 Deferred Maintenance/Code/ADA Renovation Lump Sum funds.

The Revised Final Budget reflecting the change in fund source, which accounts for the increase in the project budget, is attached for your consideration and approval.

Office of the Executive Vice President for  
Administration and Chief Financial Officer  
352 MANSFIELD ROAD, UNIT 1122  
GULLEY HALL  
STORRS, CT 06269-1122  
PHONE 860.486.3455  
FAX 860.486.1070

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: UCONN 2000 CODE REMEDIATION: STARR HALL**

	<b>APPROVED FINAL 6/25/2014</b>	<b>PROPOSED REVISED FINAL 9/24/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 359,000	\$ 359,000
DESIGN SERVICES	38,000	38,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	1,776	1,776
CONSTRUCTION ADMINISTRATION	10,000	10,000
OTHER AE SERVICES (including Project Management)	139,000	158,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	20,000	20,000
INSURANCE AND LEGAL	13,683	13,683
MISCELLANEOUS	541	541
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 582,000</b>	<b>\$ 601,000</b>
PROJECT CONTINGENCY	30,000	30,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 612,000</b>	<b>\$ 631,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UNIVERSITY OPERATING FUNDS	\$ 612,000	\$ 393,282
UCONN 2000 PHASE III - DM	-	237,718
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 612,000</b>	<b>\$ 631,000</b>



## **ATTACHMENT 24**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budgets for UCONN 2000 Code Remediation: Wilbur Cross Building  
(Revised Final: \$1,340,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$1,340,000 for the remediation of code discrepancies at the Wilbur Cross building.

BACKGROUND:

Over the past several years, the University has continued its efforts to complete the UCONN 2000 Code Remediation Program. The University had estimated design and construction costs and formulated individual project budgets in order to estimate the total costs to remediate all open discrepancies. Estimates of corrective costs were conceptual in nature and were derived primarily from assessing the extent of corrective action based on report narratives issued by the University Office of the Fire Marshal and Building Inspector. While the University would have liked to continue funding these projects with the annual investment income derived from the Short Term Investment Fund (STIF), it is no longer practical to try to fund these projects with a revenue stream that barely exists. The STIF interest rate was only 0.14% in FY14 and had only a 0.5% return over the past five years.

Therefore, based on the authority granted in Public Act 07-3, the balance of code remediation projects will be fully funded with UCONN 2000 Deferred Maintenance/Code/ADA Renovation Lump Sum funds.

The Revised Final Budget reflecting the change in fund source, which accounts for the increase in the project budget, is attached for your consideration and approval.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: UCONN 2000 CODE REMEDIATION: WILBUR CROSS BUILDING**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>APPROVED FINAL 4/24/2013</b>	<b>APPROVED REVISED FINAL 2/26/2014</b>	<b>PROPOSED REVISED FINAL 9/24/2014</b>
CONSTRUCTION	\$ 428,700	\$ 829,000	\$ 829,000
DESIGN SERVICES	65,300	96,000	96,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	123,000	158,000	158,000
OTHER AE SERVICES (including Project Management)	25,000	31,000	71,000
ART	-	-	-
RELOCATION	5,000	20,000	20,000
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	45,000	60,000	60,000
MISCELLANEOUS	15,000	26,000	26,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 707,000</b>	<b>\$ 1,220,000</b>	<b>\$ 1,260,000</b>
PROJECT CONTINGENCY	60,000	80,000	80,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 767,000</b>	<b>\$ 1,300,000</b>	<b>\$ 1,340,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UNIVERSITY OPERATING FUNDS	\$ 767,000	\$ 1,300,000	\$ 307,946
UCONN 2000 PHASE III - DM	-	-	1,032,054
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 767,000</b>	<b>\$ 1,300,000</b>	<b>\$ 1,340,000</b>

## **ATTACHMENT 25**

# UConn HEALTH

September 24, 2014

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH  
Executive Vice President for Health Affairs & Dean, School of Medicine

John Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health - Medical School Academic Building Addition and Renovations (Design: \$35,750,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$35,750,000 for the UConn Health Medical School Academic Building Renovation

## BACKGROUND:

As part of the Bioscience Connecticut initiative UConn Health plans to expand the Medical and Dental School class sizes by 30%. In order to allow for the increase in the school's enrollment additional education space is needed.

As part of Bioscience Connecticut this project will construct an addition and renovate portions of the existing Academic (A) Building. The proposed addition and renovations will provide approximately 17,650 sf of new space in adjacent to the existing academic programs.

The new addition will include a 216 seat flat floor learning lab and 8 additional classrooms. Renovations will include increasing the seating capacity of the existing Massey lecture auditoriums, renovations to the Patterson auditorium for the Dental School classes, additional learning labs of varied size, and modifications to the Human Anatomy Labs.

The Design Development phase for the project is now complete. The Mechanical Simulation Lab was part of the program for this project, however co-locating this program adjacent to the existing Clinical Skills teaching area in the C building creates programmatic and operational efficiencies. Therefore the scope of work and the budget for the Simulation Lab (\$1,600,000) is proposed to move from the Academic Building project to the Clinical (C) Building Renovation project.

The Academic building has not undergone any major renovations since its construction completion in 1975. Replacement of the aged mechanical, electrical and plumbing infrastructure

in this building was anticipated as part of the UConn 2000 Phase III funding. The work for these infrastructure upgrades will be more efficient and cost effective if they are done concurrently with the other work under this project. Therefor additional funds (\$6,600,000) intended for this work is proposed to be added to this project.

The Addition and Renovation will incorporate best practices for sustainability and will achieve a minimum of LEED Silver certification

The Design Budget is attached for your consideration and approval. The UCHC Board of Directors is anticipated to approve this budget at their meeting on September 26, 2014.

Attachment



## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCH MEDICAL SCHOOL ACADEMIC BUILDING RENOVATION**

	<b>APPROVED PLANNING 4/25/2012</b>	<b>PROPOSED DESIGN 9/24/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 20,125,000	\$ 23,900,000
DESIGN SERVICES	2,585,000	3,150,000
TELECOMMUNICATIONS	1,550,000	1,365,000
FURNITURE, FIXTURES AND EQUIPMENT	1,800,000	2,105,000
CONSTRUCTION ADMINISTRATION	300,000	200,000
OTHER AE SERVICES (including Project Management)	1,400,000	1,145,000
ART	-	-
RELOCATION	45,000	145,000
ENVIRONMENTAL	25,000	150,000
INSURANCE AND LEGAL	25,000	350,000
MISCELLANEOUS	30,000	30,000
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 27,885,000</b>	<b>\$ 32,540,000</b>
PROJECT CONTINGENCY	2,865,000	3,210,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 30,750,000</b>	<b>\$ 35,750,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 PHASE III	\$ 30,750,000	\$ 35,750,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 30,750,000</b>	<b>\$ 35,750,000</b>

BOT 9/24/14

901729

UCONN HEALTH (UCH)  
MEDICAL SCHOOL ACADEMIC BUILDING ADDITION  
AND RENOVATION  
Project Budget (DESIGN)  
9/24/2014

**PROPOSED ADDITION TO THE ACADEMIC BUILDING**





## **ATTACHMENT 26**

# UConn HEALTH

September 24, 2014

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH   
Executive Vice President for Health Affairs & Dean, School of Medicine

John Biancamano   
Interim Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health New Construction and Renovation – Clinic (C)  
Building Renovations (Design: \$96,326,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$96,326,000 for the UConn Health New Construction and Renovations: Clinic (C) Building Renovations

## BACKGROUND:

As part of the Bioscience Connecticut initiative UConn Health plans to renovate portions of the existing Clinic building. The C building, comprised of 345,000 gross square feet over 4 floors, is the primary entrance to the UCHC main building structure. It houses the majority of the outpatient services that are in the main building. Notable programs that are currently in the C building include the Pat and Jim Calhoun Cardiology Center and the Carole and Ray Neag Comprehensive Cancer Center and the School of Dental Medicine's clinical practices for faculty, students and residents. The Cancer Center will be moving to the new Outpatient Pavilion. The renovations under this project will focus on the Dental teaching clinics and the Cardiology Center and replacement of building systems.

The Schematic Design phase for the project is now complete. Based on the planning and design work the scope and budgets for this and two other Bioscience Connecticut projects require revisions. Two program elements, the Mechanical Simulation Lab and the hospital Clinical Pharmacy, will be located in the C building.

The Mechanical Simulation Lab was part of the Academic Building Renovations project, however co-locating this program adjacent to the existing Clinical Skills teaching area in the C building creates programmatic and operational efficiencies. Therefore the scope of work and the budget for the Simulation Lab (\$1,600,000) is proposed to move from the Academic Building project to this project.



The hospital Clinical Pharmacy was planned to be located in the basement of the existing Hospital building where the current clinical equipment sterilization takes place. The clinical equipment sterilization program was to be relocated. This work was part of the UCHC New Construction and Renovation (New Hospital Tower) Project. An alternate location for the Pharmacy in the C building was identified. This allows the existing clinical equipment sterilization function to remain in place resulting in significant savings for work associated with the relocation. The scope of work and the budget for the Pharmacy renovations (\$5,000,000) is proposed to move from the New Hospital Tower Project to this project.

The C building has not undergone any major renovations since its construction completion in 1975. This project will replace the aged mechanical, electrical and plumbing infrastructure with new energy efficient systems. Additional funds (\$3,010,000) are being allocated from the UConn Health projects under UConn 2000 Phase III to allow for infrastructure work that is required to support the programmatic renovations.

The project is planned to achieve Leadership in Energy and Environmental (LEED) silver certification.

Please note there is a change to the funding sources for this project. The UCHC Capital/Other funds have been reduced from \$44,000,000 to \$24,000,000 and the UConn 2000 Phase III funds have been increased to offset this reduction. There is a reciprocal change in funding for the UCH New Construction and Renovation Project.

The Design Budget is attached for your consideration and approval. The UCHC Board of Directors is anticipated to approve this budget at their meeting on September 26, 2014.

Attachment

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCH NEW CONSTRUCTION AND RENOVATION: CLINIC BUILDING  
("C") RENOVATIONS**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>APPROVED PLANNING 6/28/2012</b>	<b>PROPOSED DESIGN 9/24/2014</b>
CONSTRUCTION	\$ 60,100,000	\$ 70,721,000
DESIGN SERVICES	6,853,000	6,444,000
TELECOMMUNICATIONS	3,720,000	990,000
FURNITURE, FIXTURES AND EQUIPMENT	4,082,000	3,462,000
CONSTRUCTION ADMINISTRATION	420,000	420,000
OTHER AE SERVICES (including Project Management)	2,122,000	2,970,000
ART	-	-
RELOCATION	372,000	176,000
ENVIRONMENTAL	930,000	1,418,000
INSURANCE AND LEGAL	75,000	945,000
MISCELLANEOUS	158,000	22,000
<b>SUBTOTAL</b>	<b>\$ 78,832,000</b>	<b>\$ 87,568,000</b>
PROJECT CONTINGENCY	7,883,000	8,758,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 86,715,000</b>	<b>\$ 96,326,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UCONN 2000 PHASE III	\$ 42,716,000	\$ 72,326,000
UCH CAPITAL OR OTHER	43,999,000	24,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 86,715,000</b>	<b>\$ 96,326,000</b>



**UCONN HEALTH (UCH)  
NEW CONSTRUCTION AND RENOVATION –  
CLINIC (C) BUILDING RENOVATIONS  
Project Budget (DESIGN)  
09/24/2014**

**Existing Clinical Building**




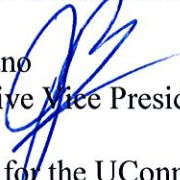
## **ATTACHMENT 27**

# UConn HEALTH

September 24, 2014

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH   
Executive Vice President for Health Affairs & Dean, School of Medicine

John Biancamano   
Interim Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Research Tower – Incubator Lab  
Addition to the Cell and Genome Sciences Building (CGSB) (Final: \$19,400,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$19,400,000 for the UConn Health Addition to the Cell and Genome Sciences Building (CGSB).

## BACKGROUND:

As part of the Bioscience Connecticut initiative UConn Health will build 28,000 gross square feet (gsf) of research incubator lab space as an addition to the CGSB, (400 Farmington Avenue).

The addition to the CGSB will be constructed in the northwest corner of the existing structure adjacent to the building's existing incubator lab space. The labs will be similar to the existing CGSB incubator labs, providing a variety of sizes for startup companies to lease.

The design includes provisions for a Data Center disaster recovery (DR) room to be built in the penthouse space of the existing building. Funding for the construction of the DR room is not included in the proposed budget. The work will be bid as an "alternate" with separate pricing for information purposes.

The addition will incorporate best practices for sustainability and will achieve a minimum of LEED Silver certification.

The Final Budget is attached for your consideration. The bids for this project are due on 8/26/2014 and this budget assumes bids that are on budget. The UCHC Board of Directors is anticipated to approve this budget at their meeting on September 26, 2014.

Attachment

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCH RESEARCH TOWER: INCUBATOR LAB ADDITION TO THE CELL & GENOME SCIENCES BUILDING**

	<b>APPROVED PLANNING 9/27/2012</b>	<b>APPROVED REVISED PLANNING 12/12/2012</b>	<b>APPROVED DESIGN 6/25/2014</b>	<b>PROPOSED FINAL 9/24/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>				
CONSTRUCTION	\$ 7,863,000	\$ 14,020,000	\$ 14,598,000	\$ 15,000,000
DESIGN SERVICES	1,043,000	1,380,000	1,490,000	1,501,000
TELECOMMUNICATIONS	286,000	780,000	252,000	252,000
FURNITURE, FIXTURES AND EQUIPMENT	400,000	800,000	225,000	222,000
CONSTRUCTION ADMINISTRATION	-	-	250,000	250,000
OTHER AE SERVICES (including Project Management)	483,000	575,000	475,000	489,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	-	20,000	20,000
INSURANCE AND LEGAL	50,000	50,000	240,000	238,000
MISCELLANEOUS	30,000	30,000	85,000	83,000
<b>SUBTOTAL</b>	<b>\$ 10,155,000</b>	<b>\$ 17,635,000</b>	<b>\$ 17,635,000</b>	<b>\$ 18,055,000</b>
PROJECT CONTINGENCY	1,015,000	1,765,000	1,765,000	1,345,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 11,170,000</u></b>	<b><u>\$ 19,400,000</u></b>	<b><u>\$ 19,400,000</u></b>	<b><u>\$ 19,400,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>				
UCONN 2000 PHASE III	<u>\$ 11,170,000</u>	<u>\$ 19,400,000</u>	<u>\$ 19,400,000</u>	<u>\$ 19,400,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 11,170,000</u></b>	<b><u>\$ 19,400,000</u></b>	<b><u>\$ 19,400,000</u></b>	<b><u>\$ 19,400,000</u></b>



UCH RESEARCH TOWER – INCUBATION LAB ADDITION  
TO THE CELL AND GENOME SCIENCES BUILDING (CGSB)  
Project Budget (FINAL)  
09/24/2014



Site Plan – Area of Addition



View of New Entry to Addition

## **ATTACHMENT 28**



# UConn HEALTH

September 24, 2014

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH  
Executive Vice President for Health Affairs & Dean, School of Medicine

John Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) New Construction and Renovations (Revised Final: \$359,366,000)

## RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$359,366,000 for the UCHC New Construction and Renovations

## BACKGROUND:

The Bioscience Connecticut initiative calls for the construction of a new patient care tower and renovations of the existing John Dempsey Hospital.

The new patient care tower will be constructed as an addition to the existing John Dempsey Hospital. The design includes 169 private patient rooms, a 12 room operating room suite, and a 42 bay Emergency Department, and two parking garages with total capacity of 800 cars.

The planning for the renovations to the existing Hospital building included the replacement of the aged roofing system and the building's mechanical, electrical and plumbing infrastructure. Space was to be renovated to allow the relocation of the pharmacy and lab services programs.

The extent of the renovations to the existing H building renovations is proposed to be revised. The scope related to the Clinical Pharmacy is proposed to be shifted from this project to the Clinical Building Renovation Project.

The hospital Clinical Pharmacy was planned to be located in the basement of the existing Hospital building where the current clinical equipment sterilization takes place. The clinical equipment sterilization program was to be relocated. An alternate location for the Pharmacy in the C building was identified. This allows the existing clinical equipment sterilization function to remain in place resulting in significant savings for work associated with the relocation. The scope of work and the budget for the Pharmacy renovations (\$5,000,000) is proposed to move from this project to the Clinical "C" Building project.

This project is being implemented in three phases. The status of the phases is as follows:

- Phase 1: Main Parking Garage and site utility work (Completed April 2013)
- Phase 2: New JDH Tower and Emergency Care Parking garage (Construction 30 % complete)
- Phase 3: Renovations to the existing JDH Building (Building H) (Begin in April 2016)

Please note there is a change to the funding sources for this project. The UCHC Capital/Other funds have been increased from \$25,000,000 to \$45,000,000 and the UConn 2000 Phase III funds have been decreased to offset this allocation change. There is a reciprocal change in funding for the UCH Clinical "C" Building Renovation Project.

The Revised Final Budget is attached for your consideration and approval. The UCHC Board of Directors is anticipated to approve this budget at their meeting on September 26, 2014

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: UCH NEW CONSTRUCTION AND RENOVATION**

	APPROVED PLANNING 6/10/2010	APPROVED REVISED PLANNING 2/28/2012	APPROVED DESIGN 4/25/2012	APPROVED FINAL 2/27/2013	PROPOSED REVISED FINAL 9/24/2014
<b><u>BUDGETED EXPENDITURES</u></b>					
CONSTRUCTION	\$ 224,000,000	\$ 249,438,000	\$ 251,438,000	\$ 251,289,000	\$ 255,485,000
DESIGN SERVICES	25,000,000	23,539,000	23,539,000	23,307,000	23,750,000
TELECOMMUNICATIONS	11,000,000	10,830,000	10,830,000	9,842,000	9,725,000
FURNITURE, FIXTURES AND EQUIPMENT	31,000,000	30,994,000	30,994,000	31,025,000	30,035,000
CONSTRUCTION ADMINISTRATION	1,000,000	3,410,000	3,410,000	3,410,000	3,575,000
OTHER AE SERVICES (including Project Mgmt)	5,000,000	8,668,000	8,668,000	9,173,000	7,785,000
ART (1% OF NEW CONSTRUCTION)	1,400,000	1,574,000	1,574,000	1,574,000	1,574,000
RELOCATION	1,500,000	1,397,000	1,397,000	1,397,000	1,260,000
ENVIRONMENTAL	1,000,000	817,140	817,140	788,000	895,000
INSURANCE AND LEGAL	250,000	200,000	200,000	3,811,000	4,320,000
MISCELLANEOUS	350,000	391,750	391,750	360,000	1,879,000
OTHER SOFT COSTS	-	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 301,500,000</b>	<b>\$ 331,258,890</b>	<b>\$ 333,258,890</b>	<b>\$ 335,976,000</b>	<b>\$ 340,283,000</b>
PROJECT CONTINGENCY	30,500,000	33,127,110	31,127,110	28,410,000	19,083,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 332,000,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 359,366,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>					
UCONN 2000 PHASE III - PLANNING & DESIGN COSTS	\$ 25,000,000	\$ 25,000,000	\$ 25,000,000	\$ 25,000,000	\$ 25,000,000
UCONN 2000 PHASE III - NEW CONSTRUCTION & RENOVATION	207,000,000	314,386,000	314,386,000	314,386,000	289,366,000
OTHER NON-STATE FUNDS	100,000,000	-	-	-	-
UCH CAPITAL OR OTHER	-	25,000,000	25,000,000	25,000,000	45,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 332,000,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 359,366,000</u></b>

BOT 9.24.14

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**UCONN HEALTH (UCH)**  
**NEW CONSTRUCTION AND RENOVATIONS**  
**Project Budget (REVISED FINAL)**  
**09/24/2014**

**NEW HOSPITAL TOWER: EAST ELEVATION, NEW MAIN ENTRANCE**



**NEW HOSPITAL TOWER: WEST ELEVATION,  
NEW EMERGENCY DEPT AND OR AREA**





UCONN HEALTH (UCH)  
NEW CONSTRUCTION AND RENOVATIONS  
Project Budget (REVISED FINAL)  
09/24/2014

**PHASE 1 PARKING GARAGE**



## **ATTACHMENT 29**





TO: Members of the Board of Trustees

FROM: Josh Newton, President & CEO, UConn Foundation

DATE: September 24, 2014

RE: UConn Foundation Report

We are immensely grateful to our donors for making fiscal year 2014 the best year in the UConn Foundation's fifty-year history. Thanks to the extraordinary generosity of friends and alumni, the Foundation received new gifts and commitments totaling a record-breaking \$81.1 million.

We are especially proud of the \$21.6M for student support, as scholarships are a critical priority for the University! In addition, we raised \$43.9M in program support, \$7.1M for research, \$4.6M for faculty support, and \$3.9M for capital improvements. Of the funds raised this year, \$48.8M was in support of current operations with an additional \$32.3M in gifts to endowment.

Our excitement about this remarkable year goes hand in hand with our optimism for the future. Initially, we implemented an operational reorganization of the Foundation so as to meet UConn's ever-growing need for additional private support. The restructuring took shape around four pillars: increasing donor engagement, aligning with UConn's priorities, increasing operational efficiencies, and enhancing external relations and communications.

Although the Foundation has made considerable strides in all of these areas, we are especially proud of the work being done to increase donor engagement. The Foundation is reaching out to donors in many ways—most notably through personal interactions, as well as receptions and events—building a community united by its desire to make UConn a premier research university. Greater numbers of alumni, friends, grateful patients, corporations, and foundations are connecting with UConn and our emphasis on increased engagement and involvement is starting to bear fruit.

We are very pleased with the Foundation's progress in aligning with UConn's priorities. UConn's new ten-year academic plan has set the stage for us to design and launch initiatives to help achieve the plan's ambitious goals. As these initiatives unfold over the next several years, we will keep you informed as to what the Foundation is doing to advance UConn's key priorities and the many ways you can support these efforts.

Fiscal Year '15 looks to be just as exciting. We have set an ambitious fundraising goal for the fiscal year at \$72M in new gifts and commitments, and have implemented a goal-setting process across the board for individual development officers, for schools/colleges/units, and for the

overall fundraising goal. We have further divided our prospects by capacity and degree of likelihood and will be actively monitoring this pipeline throughout the year.

We are engaging our Board of Directors and Foundation team in support of several strategic fundraising initiatives that you will be hearing more about in the coming months. A continued emphasis on strengthening our prospect pipeline across the University's programs and units will continue, and I am pleased that our outreach, events and activities continue to align with this important objective. The UConn Foundation will be holding a Board of Directors retreat in New York City on November 6-7<sup>th</sup>. In addition to rallying their support around several important fundraising objectives, we will be continuing to discuss ways to strengthen their personal engagement with the University through their roles as board members.

We have added additional fundraisers to our Athletic and Regional fundraising teams and have plans for additional hires across several program areas, if we can secure the necessary funding. The hiring of a new AVP for External Relations was successfully completed and Derek Slap will be joining the UConn Foundation on September 2<sup>nd</sup>. We have just begun the search to fill another critical senior management position, our Chief Financial Officer.

We continue to review our policies and, with the support of the Board of Trustees, we will be looking to increase our scholarship endowment minimums from \$25K to \$50K. We have benchmarked against our peers and have determined an adjustment is in order.

I'm excited by the progress we have made over the past year, and confident that we are moving in the right direction. While there is still much more to be done, I'm looking forward to continuing to work with each of you to take the Foundation to the next level, providing the greatest possible return for the University!

## **INFORMATIONAL ITEMS**

**University of Connecticut Department of Human Resources**  
**New Hires Processed from July 22, 2014 to September 8, 2014**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
ALBUQUERQUE, JAMES V.	UC PROFESSIONAL 07 - RES HALL CPLX MGR	RESIDENTIAL SERVICES	6/27/2014
ABADIA-BARRERO, CESAR	ASSISTANT PROFESSOR	ANTHROPOLOGY	8/23/2014
AKANNO, MUSEVENI	SPECIALIST IA - ASSISTANT COACH	ATHLETICS MENS INTERCOL	7/21/2014
ALTMANN, GERALD	PROFESSOR	PSYCHOLOGY	8/23/2014
AULT, JOCELYN	UC PROFESSIONAL 06 - PROGRAM SPEC I	EDUCATION DEAN OFC	8/22/2014
BADGER, MATTHEW	ASSISTANT PROFESSOR	MATHEMATICS	8/23/2014
BAR-ON, DORIT	PROFESSOR	PHILOSOPHY	8/23/2014
BARRON, MADDIE E.	UC PROFESSIONAL 10 - SHS PSYCHOLOGIST	STUDENT HEALTH SERVICES	8/8/2014
BAUMBAUER, KYLE M.	ASSISTANT PROFESSOR	NURSING INSTR & RES	8/23/2014
BELLAMY, PATRICIA S.	UC PROFESSIONAL 06 - PROGRAM SPEC I	EDUCATIONAL LEADERSHIP	8/14/2014
BERNARD, CARA	VISITING INSTRUCTOR	CURRICULUM & INSTR DEPT	8/23/2014
BETTRAY, UTE	VISITING ASST PROF	LIT, CULTURE & LANGUAGES	8/23/2014
BLAKE, MICHAEL A.	ASST CLIN PROF	LAW SCH INSTR & RES	8/8/2014
BLODGETT, VICTORIA A.	U STAFF PROF III - ASSISTANT DEAN	VP GRAD EDU & DEAN	8/11/2014
BOHANNON, STEPHANIE J.	UC PROFESSIONAL 05 - PROGRAM COORD	COUNSELING INTERCOL ATH	8/20/2014
BOUCHARD, AMANDA C.	UC PROFESSIONAL 01 - FINANCIAL ASST I	DINING SERVICES	8/8/2014
BOURGAULT, ANNA	ASST CLIN PROF	NURSING INSTR & RES	8/23/2014
BOUSH, LISA E.	PROFESSOR - DIRECTOR	GEOGRAPHY	8/23/2014
BOYD, JENNIFER J.	RESEARCH ASSISTANT 3	CHIP	7/21/2014
BRUMELL, LUIS A.	UC PROFESSIONAL 04 - SA PROGRAM COORD I	STUDENT ACTIVITIES	8/12/2014
BURKE, JEFFREY D.	PROFESSOR	PSYCHOLOGY	8/23/2014
BURKE, KELLY A.	ASSISTANT PROFESSOR	MATERIALS SCIENCE INST	8/23/2014
CARD, NOEL A.	ASSOCIATE PROFESSOR	EDUCATIONAL PSYCHOLOGY	8/23/2014
CELIKBAS, ELA	VISITING ASST PROF	MATHEMATICS	8/23/2014
CELIKBAS, OLGUR	U POST DOC FEL 1	MATHEMATICS	8/23/2014
CELLI, ANDREA	ASSISTANT PROFESSOR	LIT, CULTURE & LANGUAGES	8/23/2014
CHEN, KO SHIN	U POST DOC FEL 1	MATHEMATICS	8/23/2014

**University of Connecticut Department of Human Resources**  
**New Hires Processed from July 22, 2014 to September 8, 2014**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
CHEN, XU	ASSISTANT PROFESSOR	MECHANICAL ENGR	8/23/2014
CHIPMAN, SARAH M.	U STAFF PROF II	DIVERSITY & EQUITY	7/25/2014
CHON, KI	PROFESSOR - DEPARTMENT HEAD	BIOMEDICAL ENGINEERING	8/23/2014
CLARK, ADRIENNE	SPECIALIST IA	ATHLETICS WMNS INTERCOL	8/18/2014
CLARKE, SHANA P.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	LIBERAL ARTS & SCI DEAN	8/8/2014
COBB, AMANDA M.	UC PROFESSIONAL 01 - FINANCIAL ASST I	LIBERAL ARTS & SCI DEAN	8/8/2014
COHEN, JEFFREY	ASSOCIATE PROFESSOR	FINANCE DEPT	8/23/2014
COIA, JULIE J.	U ED ASSISTANT 3	PRESIDENT OFC	8/8/2014
CORE, LEIGHTON J.	ASSISTANT PROFESSOR	MOLECULAR & CELL BIOLOGY	8/23/2014
COX, ERIN D.	UC PROFESSIONAL 10 - SHS PSYCHOLOGIST	STUDENT HEALTH SERVICES	8/7/2014
CREIGHTON, ANDRU G.	UC PROFESSIONAL 01 - PROGRAM AIDE	ATHLETICS MENS INTERCOL	8/1/2014
DAUGHERTY, ELEANOR J.	ASSOC VICE PRESIDENT	DEAN OF STUDENTS	8/1/2014
DEMILLE, KEVIN C.	SPECIALIST IA	ATHLETICS WMNS INTERCOL	7/11/2014
DEPALO, CRISTINE	UC PROFESSIONAL 07 - ACAD COUNSELOR/COORD	ATHLETICS ADMIN	8/1/2014
DESMARAIS, MATTHEW J.	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	COMPUTER SCIENCE & ENGR	8/22/2014
DILEONE, CHRISTINE	ASST CLIN PROF	NURSING INSTR & RES	8/23/2014
DODGE, MEREDITH A.	ASST CLIN PROF	NURSING INSTR & RES	8/23/2014
DUNN, RICHARD A.	ASSISTANT PROFESSOR	AGRI & RESOURCE ECONOMICS	8/23/2014
FENDRICH, MICHAEL	PROFESSOR - ASSOCIATE DEAN	SOCIAL WORK DEAN OFC	8/23/2014
FERNANDEZ, ERICA	ASSISTANT PROFESSOR	EDUCATIONAL LEADERSHIP	8/23/2014
FIALA, NATHAN	ASSISTANT PROFESSOR	AGRI & RESOURCE ECONOMICS	8/23/2014
FISHER, LINDA	ASST PROF IN RES	ECONOMICS	8/23/2014
FORSYTHE-PRIBANIC, CASSAN	VISITING ASST PROF	KINESIOLOGY	8/23/2014
FRENCH, REBECCA A.	UC PROFESSIONAL 08 - PROGRAM DIRECTOR	VICE PRES FOR RESEARCH	8/22/2014
FRIESEN, LENDRA	ASSISTANT PROFESSOR	SPEECH, LANG & HEARING	8/23/2014
GAN, GUOJUN	ASSISTANT PROFESSOR	MATHEMATICS	8/23/2014
GANS-DELUCA, KIM	PROFESSOR	HUMAN DEV/FAM STUDI&R	8/23/2014

**University of Connecticut Department of Human Resources**  
**New Hires Processed from July 22, 2014 to September 8, 2014**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
GEER, STACY M.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	ATHLETICS STUDENT REC	8/26/2014
GONSOULIN-GETTY, PATRICK	VISITING ASST PROF	INTEGRATIVE GEOSCIENCE	8/23/2014
HAMEROFF, LEE J.	UC PROFESSIONAL 07 - PROGRAM SPEC II	CAREER DEVELOPMENT	8/9/2014
HANNA, JOAN K.	LECTURER	LINGUISTICS	8/23/2014
HARPER, STEVEN	ASST PROF IN RES	DIGITAL MEDIA	8/23/2014
HIKEL, GERALD	VISITING ASST PROF	SOCIOLOGY	8/23/2014
HINES, ERIK M.	ASSISTANT PROFESSOR	EDUCATIONAL PSYCHOLOGY	8/23/2014
HIOB, OLIVER M.	ASST PROF IN RES	ENGLISH	8/23/2014
HORN, JACOB J.	ASST PROF IN RES	REGIONAL CAMPUSES	8/23/2014
HUE, EMILY	U POST DOC FEL 1 - PRE-DOC INTERN	PROVOST & EXVP ACAD AFF	8/15/2014
HYMAN, JOSHUA M.	ASSISTANT PROFESSOR	PUBLIC POLICY	8/23/2014
IVAKHIV, SOLOMIYA	ASSISTANT PROFESSOR	MUSIC	8/23/2014
JACKSON, DIONNE M.	ASSOCIATE PROFESSOR	MUSIC	8/23/2014
JACKSON, NICOLE C.	ASST PROF IN RES	MANAGEMENT DEPT	8/23/2014
JANCO, ANDREW P.	U POST DOC FEL 1	HUMAN RIGHTS INSTITUTE	8/23/2014
JANNETTY, NICHOLAS	RESEARCH ASSISTANT 2	MOLECULAR & CELL BIOLOGY	7/1/2014
JARAMILLO, ANDREW	VISITING ASST PROF	MATHEMATICS	8/23/2014
JARAMILLO, MAREE	VISITING ASST PROF	MATHEMATICS	8/23/2014
JONES, BRIAN D.	ASST EXT PROF	ANTHROPOLOGY	7/18/2014
KAEB, CAROLINE	ASSISTANT PROFESSOR	MARKETING DEPT	8/23/2014
KALIN, LAURA M.	U POST DOC FEL 1	LINGUISTICS	8/22/2014
KAPETANOVIC, THERESA A.	U POST DOC FEL 1	NURSING INSTR & RES	8/29/2014
KATUNA, BARRET M.	VISITING ASST PROF	SOCIOLOGY	8/23/2014
KEARNS, DEVIN M.	ASSISTANT PROFESSOR	EDUCATIONAL PSYCHOLOGY	8/23/2014
KLEINMAN, JAMIE M.	ASST PROF IN RES	PSYCHOLOGY	8/23/2014
KOHAN, ALISON	ASSISTANT PROFESSOR	NUTRITIONAL SCIENCES	8/23/2014
KOHAN, MARK	ASST CLIN PROF	CURRICULUM & INSTR DEPT	8/23/2014



**University of Connecticut Department of Human Resources**  
**New Hires Processed from July 22, 2014 to September 8, 2014**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
KRAVET, TODD D.	ASSISTANT PROFESSOR	ACCOUNTING DEPT	8/23/2014
KURKJIAN, SAMANTHA C.	U POST DOC FEL 1	STUDENT HEALTH SERVICES	8/4/2014
LANDIN, JUDITH S.	VISITING ASST PROF	MOLECULAR & CELL BIOLOGY	8/23/2014
LEAHEY, TRICIA M.	ASSOCIATE PROFESSOR	ALLIED HEALTH SCIENCES	8/23/2014
LEE, SEOK-WOO	ASSISTANT PROFESSOR	MATERIALS SCI & ENGINEER	8/23/2014
LI, ZHONGYANG	ASSISTANT PROFESSOR	MATHEMATICS	8/23/2014
LIN, XIAO	ASSISTANT PROFESSOR	HEALTH SYSTEMS MANAGEMENT	8/23/2014
LUO, YANGCHAO	ASSISTANT PROFESSOR	NUTRITIONAL SCIENCES	8/23/2014
MACLEAN, KATHERINE G.	U POST DOC FEL 1	STUDENT HEALTH SERVICES	8/4/2014
MAGEE, DAVID A.	ASSISTANT PROFESSOR	ANIMAL SCIENCE	8/23/2014
MARTINEZ, JOSE V.	ASSISTANT PROFESSOR	FINANCE DEPT	8/23/2014
MATHIEU, JENNIFER E.	UC PROFESSIONAL 05 - PROGRAM COORD	ISS-1ST YR PROG/LEARNING	7/25/2014
MAVLANOVA, TAMILLA	ASST PROF IN RES	OPERATIONS & INFO MGMT	8/23/2014
MCCARTHY, KATHERINE A.	UC PROFESSIONAL 03 - ADMISSIONS COUNSELOR	ADMISSIONS	7/25/2014
MCCLANE, JEREMY	ASSOCIATE PROFESSOR	LAW SCH INSTR & RES	8/23/2014
MORZILLO, ANITA	ASSISTANT PROFESSOR	NATURAL RESOURCE & ENV	8/23/2014
MUNOZ, COLLEEN D.	VISITING ASST PROF	KINESIOLOGY	8/23/2014
MYERS, MARGARET J.	UC PROFESSIONAL 03 - PROG ASSISTANT I	ATHLETICS WMNS INTERCOL	8/1/2014
NELMAN, ROD	ASSISTANT PROFESSOR	MUSIC	8/23/2014
NEVINS, PATRICK	U STAFF PROF III	GENERAL COUNSEL	8/21/2014
NOH, YEON S.	U POST DOC FEL 1	BIOMEDICAL ENGINEERING	9/1/2014
NORATO ESCOBAR, JULIAN A.	ASSISTANT PROFESSOR	MECHANICAL ENGR	8/23/2014
NORDEEN, CRAIG A.	U POST DOC FEL 1	MECHANICAL ENGR	8/8/2014
O'DONNELL, JOHN H.	ASSISTANT PROFESSOR	ART & ART HISTORY	8/23/2014
OUIMETTE, MATTHEW D.	UC PROFESSIONAL 03 - ADMISSIONS COUNSELOR	ADMISSIONS	7/25/2014
OZABACI, DENIZ	VISITING ASST PROF	ECONOMICS	8/23/2014
PAQUETTE, CHARLES	U POST DOC FEL 1	MATHEMATICS	8/23/2014

**University of Connecticut Department of Human Resources**  
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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
PINKHASSIK, EVGUENI	ASSOCIATE PROFESSOR	CHEMISTRY	8/23/2014
PURI, ANSHUL	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	INST RESEARCH & EFFECTIVE	8/8/2014
RABINOWITZ, ADAM N.	ASST RESEARCH PROF	AGRI & RESOURCE ECONOMICS	8/23/2014
RANEY, JILL J.	ASST PROF IN RES	SPEECH, LANG & HEARING	8/23/2014
RETTBURG, KAYLA J.	UC PROFESSIONAL 01 - FINANCIAL ASST I	CEN-ASC	8/8/2014
RIGDON, WILLIAM A.	U POST DOC FEL 1	CHEMICAL & BIOMOLECULAR	9/2/2014
RINCON, BLANCA E.	U POST DOC FEL 1 - PRE-DOC INTERN	LATINO CARIB LATAM STUDIE	8/15/2014
RIVERA, KRISTINA M.	UC PROFESSIONAL 03 - ADMISSIONS COUNSELOR	ADMISSIONS	7/25/2014
ROJAS, REINALDO	VISITING INSTRUCTOR	URBAN STUDIES PROGRAM	8/23/2014
ROSSI, PATRICIA	ASST PROF IN RES	MOLECULAR & CELL BIOLOGY	8/23/2014
SANTANIELLO, SABATO	ASSISTANT PROFESSOR	BIOMEDICAL ENGINEERING	8/23/2014
SAXENA, MAMTA	VISITING ASST PROF	HUMAN DEV/FAM STUDI&R	8/23/2014
SCHOONMAKER, CHRISTINA R.	SPECIALIST IA	ATHLETICS WMNS INTERCOL	8/18/2014
SERKOSKY, JULIE	ASST PROF IN RES	JOURNALISM	8/23/2014
SEVUSH, DAVID	SPECIALIST IA	ATHLETICS MENS INTERCOL	8/20/2014
SHEA, DONALD E.	UC PROFESSIONAL 09 - DATABASE ADMIN 2	ENTERPRISE ADMIN SYSTEMS	8/22/2014
SHMAKOV, SERGEY	U POST DOC FEL 1	CHEMISTRY	8/4/2014
SIMMONS, KEITH	PROFESSOR	PHILOSOPHY	8/23/2014
SIMONS, DEBRA A.	ASSOC CLIN PROF	NURSING DEAN OFC	7/18/2014
SPEER, ANNIKA C.	U POST DOC FEL 1	COMMUNICATION	8/23/2014
STEPHENSON, SCOTT	ASSISTANT PROFESSOR	GEOGRAPHY	8/23/2014
STEVENSON, GORDON P.	VISITING ASST PROF	PHILOSOPHY	8/23/2014
STEWART, KATHRYN D.	UC PROFESSIONAL 05 - PROGRAM COORD	PUBLIC ENGAGEMENT	8/22/2014
STUBBS, TIMOTHY J.	UC PROFESSIONAL 07 - INSTRUCTIONAL DEV 1	UCONN ECAMPUS	8/22/2014
SULLIVAN, MICHAEL J.	U STAFF PROF III	GENERAL COUNSEL	7/11/2014
SWARNAKAR, NITIN K.	U POST DOC FEL 1	PHARMACEUTICAL SCI	8/12/2014
SZCZEPANEK, STEVEN	ASSISTANT PROFESSOR	PATHOBIOLOGY	8/23/2014

**University of Connecticut Department of Human Resources**  
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TASOGLU, SAVAS	ASSISTANT PROFESSOR	MECHANICAL ENGR	8/23/2014
THIBODEAU, CAROLINE A.	RESEARCH ASSISTANT 2	PSYCHOLOGY	7/25/2014
THOMPSON, KEVIN S.	ASST PROF IN RES	MANAGEMENT DEPT	8/23/2014
TINGLEY, MORGAN W.	ASSISTANT PROFESSOR	ECOLOGY & EVOL BIOLOGY	8/23/2014
TOWE, CHARLES	ASSISTANT PROFESSOR	AGRI & RESOURCE ECONOMICS	8/23/2014
TURCIOS-COTTO, VIANA Y.	U POST DOC FEL 1	STUDENT HEALTH SERVICES	8/4/2014
UPHAM, DANIELLE E.	UC PROFESSIONAL 05 - PROGRAM COORD	ATHLETICS FACILITIES/OPER	8/8/2014
VAIDYANATHAN, KRISHNA	VISITING ASST PROF	FINANCE DEPT	7/7/2014
VAN NESS, KRISTIN	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	HUMAN DEV/FAM STUDI&R	8/12/2014
VANDEN BERG-FOELS, WENDY	ASSISTANT PROFESSOR	BIOMEDICAL ENGINEERING	8/23/2014
VERNOLA, COLLEEN E.	UC PROFESSIONAL 06 - PROGRAM SPEC I	WELLNESS & PREVENTION	7/25/2014
VRABELY, ASHLEY M.	UC PROFESSIONAL 07 - PROGRAM ASSOCIATE	COMMUNITY STANDARDS	7/25/2014
WANG, JENNIFER S.	RESEARCH ASSISTANT 3	CHIP	8/8/2014
WANG, LI	ASSOCIATE PROFESSOR	PHYSIOLOGY & NEUROBIOLOGY	8/23/2014
WARD, KATHERINE A.	UC PROFESSIONAL 06 - PROGRAM SPEC I	WELLNESS & PREVENTION	8/1/2014
WEBER, BRYAN W.	VISITING ASST PROF	MECHANICAL ENGR	8/23/2014
WEINGART, EDWARD	ASSISTANT PROFESSOR	DRAMATIC ARTS	8/23/2014
WESTRICK, LINDA B.	U POST DOC FEL 1	MATHEMATICS	8/23/2014
WHEELER, JAMES R.	UC PROFESSIONAL 05 - EDUC MEDIA ASST	STUDENT UNION	8/22/2014
WILLIAMS, STEVEN	VISITING INSTRUCTOR	ACCOUNTING DEPT	8/23/2014
WINSTON, HOWARD A.	ASST PROF IN RES	PHYSICS	8/23/2014
XIAO, LIANG	ASSISTANT PROFESSOR	MATHEMATICS	8/23/2014
XIONG, HUI	U POST DOC FEL 1	PHYSICS	7/21/2014
YOUNG, ERIN E.	ASSISTANT PROFESSOR	NURSING INSTR & RES	8/23/2014
ZHANG, JIE	UC PROFESSIONAL 07 - INST RESEARCH ANLYST	INST RESEARCH & EFFECTIVE	8/8/2014
ZHANG, XI	RESEARCH ASSOCIATE 1	PHYSIOLOGY & NEUROBIOLOGY	7/1/2014
ZHANG, YUPING	ASSISTANT PROFESSOR	STATISTICS	8/23/2014

**University of Connecticut Department of Human Resources**  
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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
ZHENG, KEXIN	ASSISTANT PROFESSOR	ACCOUNTING DEPT	8/23/2014
ZHOU, YING	ASSISTANT PROFESSOR	ACCOUNTING DEPT	8/23/2014

**University of Connecticut Department of Human Resources**  
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NAME	TITLE	SEPARATION REASON	DEPT	DATE
ABIKOFF, WILLIAM	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	MATH	8/31/2014
ADAM, DEBRA A.	UC PROFESSIONAL 04 - COMP TECH SUP CONS 1	RETIREMENT (55/W 10-24 YEARS)	CSR	7/31/2014
ADAMS, BENJAMIN W.	UC PROFESSIONAL 04 - TECHNICAL ASST I	RESIGNATION (GOOD STANDING)	MS/MST	8/6/2014
AMICO, KATHY R.	RESEARCH SCIENTIST	RESIGNATION (GOOD STANDING)	CHIP	7/31/2014
AN, YONGHONG	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	ECON	8/22/2014
ANDERSEN, CATHERINE J.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	NUSC	7/31/2014
ANDREWS, TERESE P.	UC PROFESSIONAL 05 - PROGRAM COORD	RETIREMENT (DISABILITY: NON-SERV	ANTH	7/31/2014
ANTONYAN, TIGRAN	RESEARCH ASSISTANT 3	RESIGNATION (GOOD STANDING)	CSE	8/22/2014
ATKINSON-WIGNALL, JANELLE	SPECIALIST IA - ASSISTANT COACH	RESIGNATION (GOOD STANDING)	ATH	7/31/2014
BAKER, LINDA A.	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	RETIREMENT (25+ YRS SERVICE)	CSR	7/31/2014
BAVIER, ANNE R.	PROFESSOR	RESIGNATION (GOOD STANDING)	NURS	8/22/2014
BAZZANI, JANICE C.	UC PROFESSIONAL 07 - TRAINING/DEV COORD	RETIREMENT (25+ YRS SERVICE)	EAS	7/31/2014
BELLANTONI, NICHOLAS F.	ACAD ASSISTANT V	RETIREMENT (25+ YRS SERVICE)	MUSNH	8/31/2014
BERHE, SOLOMON	ASST RESEARCH PROF	RESIGNATION (GOOD STANDING)	CSE	8/22/2014
BHAT, ANJANA	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	KINESI	8/31/2014
BLANK, THOMAS O.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	HDFS	7/31/2014
BOELSTERLI, URS A.	PROFESSOR	RETIREMENT (55/W 10-24 YEARS)	PHSCI	8/31/2014
BOLLING, BRADLEY	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	NUSC	8/22/2014
CAN, ENVER B.	UC PROFESSIONAL 07 - PROGRAM SPEC II	RESIGNATION (GOOD STANDING)	LAW	8/15/2014
CANNON-BRELAND, MICHELLE	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	PHPRC	8/22/2014
CARROLL, LEO A.	UC PROFESSIONAL 07 - OPER SYS PROG/ANAL 2	RESIGNATION (GOOD STANDING)	SAITD	6/24/2014
CHAPPLE, WILLIAM D.	PROFESSOR	DEATH (NON-EMPLOYMENT RELATED)	PNB	7/9/2014
CHEN, MARIA J.	RESEARCH ASSISTANT 3	RETIREMENT (55/W 10-24 YEARS)	MCB	7/31/2014
COLON SEMENZA, CRISTINA	CLIN INSTR 11MO	RESIGNATION (GOOD STANDING)	KINESI	8/8/2014
COTTI, CHAD	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	AERS	8/22/2014
COVEY, IRENE M.	ASSOCIATE PROFESSOR	RETIREMENT (25+ YRS SERVICE)	RG CMP	8/31/2014
DEAN, MARY F.	U ED ASSISTANT 3	RETIREMENT (TIER2 62/W5-25 YRS OR	PRES	7/31/2014

**University of Connecticut Department of Human Resources**  
**Separations Processed from July 22, 2014 to September 8, 2014**  
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NAME	TITLE	SEPARATION REASON	DEPT	DATE
DEVINE, SUSAN P.	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	MCB	8/8/2014
DOLAN, EMILY G.	VISITING ASST PROF	RESIGNATION (GOOD STANDING)	ENGL	8/22/2014
EVANS, HEATHER L.	ASST CLIN PROF	RESIGNATION (GOOD STANDING)	NURS	8/22/2014
FLOWERS, STACY	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	8/22/2014
GEBRIAN, BETTE J.	ASST CLIN PROF	RETIREMENT (25+ YRS SERVICE)	NURS	8/1/2014
GESSNER, SARAH A.	UC PROFESSIONAL 07 - PROGRAM SPEC II	RESIGNATION (GOOD STANDING)	LAW	6/3/2014
GIFFORD, TAMMY L.	UC PROFESSIONAL 06 - ADMIN SERV ASST IV	RESIGNATION (GOOD STANDING)	PROVOS	8/15/2014
GUSTAFSON, KAARYN	PROFESSOR	RESIGNATION (GOOD STANDING)	LAW	8/22/2014
HARDY, KIMBERLY M.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	SW	8/22/2014
HARVEY, IDETHIA S.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	HDFS	8/22/2014
HEFFLEY, DENNIS R.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	ECON	8/31/2014
HOLZ-CLAUDE, MARY	U STAFF PROF III - ASSISTANT DEAN	RESIGNATION (GOOD STANDING)	CANR	8/4/2014
HORVAT, JESSEY K.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION (GOOD STANDING)	PROCUR	7/25/2014
HOUGHTON, AIMEE L.	UC PROFESSIONAL 08 - PLACEMENT DIR-LAW	RESIGNATION (GOOD STANDING)	LAW	9/4/2014
HUCK, JOHN	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	RESIGNATION (GOOD STANDING)	ATH	8/5/2014
INVERNALE, MICHAEL A.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CHEM	7/25/2014
JONES, DAVID M.	VISITING ASST PROF	RESIGNATION (GOOD STANDING)	ENGL	8/22/2014
KAVANAGH, KEVIN T.	UC PROFESSIONAL 07 - ANIMAL CARE MANAGER	RETIREMENT (25+ YRS SERVICE)	OAC	7/31/2014
KIAYIAS, AGGELOS	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	CSE	8/22/2014
KOUNDINYA, VIKRAM	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	TECHPK	8/15/2014
LENTZ, MAXINE E.	UC PROFESSIONAL 09 - EXEC PROGRAM DIR	RESIGNATION (GOOD STANDING)	ISS	7/31/2014
MANNING, COURTNEY L.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	PSYC	7/31/2014
MSADDI, GEORGE	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	RESIGNATION (GOOD STANDING)	CSR	8/31/2014
NEARY, BETH M.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	PUBPOL	8/22/2014
NEMEROFF, ADAM B.	UC PROFESSIONAL 07 - INSTRUCTIONAL DEV 1	RESIGNATION (GOOD STANDING)	ECAMPU	8/29/2014
PATEL, KOMAL R.	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	PNB	8/1/2014
PELLETIER, DANIEL R.	UC PROFESSIONAL 09 - OPER SYS PROG/ANAL 3	RETIREMENT (55/W 10-24 YEARS)	INFRA	8/31/2014



**University of Connecticut Department of Human Resources**  
**Separations Processed from July 22, 2014 to September 8, 2014**  
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NAME	TITLE	SEPARATION REASON	DEPT	DATE
PELLETREAU, KAREN N.	VISITING ASST PROF	RESIGNATION (GOOD STANDING)	MCB	8/1/2014
POSAGE, DOREEN	UC PROFESSIONAL 06 - DATA BASE PROG/ANLST	RETIREMENT (55/W 10-24 YEARS)	VPRES	7/31/2014
RAND, CHARLOTTE C.	ASST COOP EXT EDUC	RESIGNATION (GOOD STANDING)	NR&E	8/1/2014
RICHARDSON, JONATHAN L.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	EEB	8/15/2014
ROSA, BRIAN	LECTURER	RESIGNATION (GOOD STANDING)	URBN	8/22/2014
SENACK, LOGAN	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	PLSC	8/13/2014
SEOW, GIM-SEONG	ASSOCIATE PROFESSOR	RETIREMENT (55/W 10-24 YEARS)	ACCT	8/31/2014
SFIRIDIS, JAMES M.	ASSOC PROF IN RES	RETIREMENT (55/W 10-24 YEARS)	FNCE	8/1/2014
SHARMIN, EFFAT	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	AHS	7/31/2014
STAGON, STEPHEN	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	ME	8/22/2014
STEELE, LOGAN B.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	ACCT	8/22/2014
STRAUSBAUGH, LINDA D.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	MCB	7/31/2014
SU, MIN	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	AHS	8/1/2014
TEAL, LYSA	ASSOC VICE PRESIDENT	RESIGNATION (GOOD STANDING)	BUDGET	8/1/2014
TOMECKO, CONNIE L.	UC PROFESSIONAL 11 - COMPUTER MANAGER	RETIREMENT (25+ YRS SERVICE)	ITQM	7/31/2014
TURNER, MOLLY	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	ENGL	8/22/2014
VAN ISSCHOT, LUIS	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	HIST	6/30/2014
VOLEK, JEFF S.	PROFESSOR	RESIGNATION (GOOD STANDING)	KINESI	8/22/2014
WARHOLA, MEGHAN	UC PROFESSIONAL 06 - PROGRAM SPEC I	RESIGNATION (GOOD STANDING)	ABROAD	8/31/2014
WEINGART, RICHARD G.	UC PROFESSIONAL 09 - DATABASE ADMIN 2	RETIREMENT (25+ YRS SERVICE)	EAS	8/31/2014
WELSH, MEGAN E.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	EPSY	8/22/2014
WINCHESTER, DANIEL A.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	SOCI	8/22/2014
YAKIMOWSKI, MARY	ASSOC PROF IN RES - DIRECTOR	RESIGNATION (GOOD STANDING)	EDUC	8/22/2014
ZORLUTUNA, PINAR	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	ME	8/22/2014

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 9/15/14**  
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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATES</b>	<b>REASON FOR LEAVE</b>
Aguero, Jorge	Assistant Professor	Economics and El Instituto	8/23/14 - 8/22/15	Other - Visiting Scholar with 10% pay
Atkins, Jennie	Financial Assistant II (UCP V)	Athletics Administration	6/11/14 - 6/30/14	Medical leave with pay
Atkins, Jennie	Financial Assistant II (UCP V)	Athletics Administration	7/23/14 - 8/1/14	Medical leave with pay
Badger, Matthew	Assistant Professor	Mathematics	8/23/14 - 8/22/15	Other - NSF Fellowship with 75% pay
Barnes, Robin	Professor	Law School	8/23/14 - 2/22/15	Personal - unpaid
Boucher, Thomas	Cooperative Extension Educator	Department of Extension	4/7/14 - 6/27/14	Medical leave with pay
Decandia, Julia	System Administrator II (UCP VIII)	Admissions	4/23/14 - 5/9/14	Medical leave with pay
Ferriss, Veronica	Info System Analyst III (UCP VIII)	Enterprise Administrative System	3/27/14 - 4/25/14	Medical leave with pay
Flynn, Sean	Senior Art Designer (UCP VIII)	University Communication	5/6/14 - 6/12/14	Medical leave with pay
Geng, Yan	Assistant Professor	Art and Art History	8/23/14 - 8/22/15	Other -Fellowship with 48.7% pay
Hamilton, Wanda	Program Assistant I (UCP III)	Department of Extension	3/17/14 -5/23/14	Medical leave with pay
Liberto, Hallie	Assistant Professor	Philosophy	8/23/14 - 8/22/14	Other - Rockefeller Fellow. with 47% pay
Marsh, Kerry	Associate Professor	Psychology	8/23/14 - 8/22/15	Other - Visiting Scientist without pay
McGrath, Patrick	Program Aide (UCP I)	Athletics Men's Intercollegiate	4/8/14 - 4/24/14	Medical leave with pay
Osuba, Tanya	Info Systems Analyst II (UCP VII)	Controller's Office	5/19/14 - 5/30/14	Medical leave with pay
Ramanathan, Pradeep	Assistant Professor	Speech, Language & Hearing	2/23/14 - 8/22/14	Family medical leave without pay
Reagan, Louise	Assistant Clinical Professor	School of Nursing	8/23/14 - 8/22/15	Personal - unpaid
Reiman, James	Building Services Manager (UCP VI)	Biology Central Service	2/19/14 - 2/28/14	Medical leave with pay
Spurling, Colleen	Assistant Professor in Residence	Molecular & Cell Biology	8/25/14 - 12/5/14	Family medical leave without pay
Wallenius, Lea	Univ. Library Asst. V- Law (UCP VII)	Law School Library	3/26/14 - 6/11/14	Medical leave with pay
Xygalatas, Dimitris	Assistant Professor	Anthropology	8/23/14 - 8/22/15	Other - Aarhus Fellowship with 75% pay
Yelin, Susanne	Assistant Professor	Physics	8/23/14 - 8/22/15	Other - Fellowship at ITAMP with 50% pay

## **COMMITTEE AGENDAS**

## AGENDA

Revised

Meeting of the  
**BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE**

September 3, 2014, 10:45 a.m.\*

University of Connecticut

Rome Commons Ballroom

Storrs, Connecticut

Call In Number: (866) 776-6259 Participant Passcode: 7151229

*\* Presentations of Project Updates will be made at approximately **10:30 a.m.**  
to both the BGE and CMOC Committees.*

**DISCUSSION/INFORMATION ITEMS (10:30 a.m.)** \*:

**ATTACHMENT**

1) Project Updates

- UCHC
  - Bio Science Connecticut
  - Owner Controlled Insurance Program (OCIP)
  - BioScience Connecticut Monthly Capital Projects Report (*Informational*)
- Storrs
  - Next Generation Connecticut

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2) Athletic Update

3) Update Storrs Master Plan/NextGenCT Environmental Review Process

**ACTION ITEMS:**

- 4) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meetings of May 28, 2014, June 2, 2014, and June 25, 2014, as circulated

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**EXECUTIVE SESSION**

*\*Note: Project Update Presentations will be made at approximately **10:30 a.m.***

**AGENDA**  
**Meeting of the**  
**CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE (CMOC)**  
**University of Connecticut, Rome Commons Ballroom**  
**Storrs, Connecticut**  
**September 3, 2014, 10:00 a.m.\***

*\*Note: Presentation of this agenda item will be at approximately 10:30 am. to both the CMOC and BG&E*

**ATTACHMENT**

**PUBLIC COMMENT:**

**ACTION ITEM:**

- |   |   |
|---|---|
| 1. Approval of the Minutes of the Construction Management Oversight Committee (CMOC) Meetings held on April 10, 2014 and June 2, 2014 | 1 |
|---|---|

**PRESENTATIONS AND DISCUSSIONS:**

- |  |   |
|--|---|
| 2. September 2014 Quarterly Report on Construction Performance Reported by the Office of Construction Assurance  | 2 |
| 3. External Auditors Report  | 3 |
| <ul style="list-style-type: none"><li>• Cheryl Chiaputti, Interim Chief Audit and Compliance Officer</li><li>• Mark Bloom, McGladrey LLP (via telephone)</li></ul>   |   |
| 4. Updates On Operational & Organizational Activities & Improvements:  |   |
| <ul style="list-style-type: none"><li>• Laura A. Cruickshank, University Master Planner and Chief Architect, Office of Planning, Architecture &amp; Engineering Services (PAES)</li><li>• Matthew Larson, Director of Procurement Services, Capital Project and Contract Administration (CPCA)</li></ul>   |   |
| 5. Status of Code Correction Projects:   |   |
| <ul style="list-style-type: none"><li>• Construction Management Oversight Committee Monthly Code Correction Status Report – Comments/Questions</li></ul>   |   |
| 6. June 30, 2014 Quarterly Construction Status Report – Comments/Questions   |   |
| 7. Update of Current Construction Project Progress   |   |
| <ul style="list-style-type: none"><li>• UCHC<ul style="list-style-type: none"><li>➤ Bio Science Connecticut</li><li>➤ Owner Controlled Insurance Program (OCIP)</li><li>➤ BioScience Connecticut Monthly Capital Projects Report (Informational)</li></ul></li><li>• Storrs<ul style="list-style-type: none"><li>➤ Next Generation Connecticut</li></ul></li></ul> |   |

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**University of Connecticut Board of Trustees  
Institutional Advancement Committee**

Tuesday, September 2, 2014  
1:30 p.m.

School of Law  
Hosmer Hall Conference Room  
55 Elizabeth Street  
Hartford, CT

1. Acceptance of the Minutes from June 10, 2014 and June 18, 2014.....Ms. Gandara  
**Attachment 1**
2. Proposed 2015 Institutional Advancement Committee Schedule.....Ms. Gandara  
**Attachment 2**
3. UConn Foundation Report.....Mr. Newton  
**Attachment 3**
4. Naming Recommendations .....Mr. Newton and Ms. Workman  
**Attachment 4**

**Academic:**

- Daniel and Tresa Toscano Chair in Finance in the School of Business
- John and Donna Krenicki Professorship in Biomedical Engineering in the School of Engineering

**Facilities:**

- Naming Recommendations for the Basketball Champions Center  
*Background information included (previously approved)*

**Outdoor Space:**

- Founders Green

5. Alumni Association Report .....Ms. Cotton-Kelly
6. University Communications Report .....Mr. Kendig
7. Legislative Update.....Ms. Lombardo



# Joint Audit & Compliance Committee Agenda

10:00 am – 10:45 am – Executive Session

10:45 am – 12:00 pm - Public Meeting

September 12, 2014

Issue	Proposed Action	Tab
Executive Session to discuss: <ul style="list-style-type: none"> <li>C.G.S. 1-200(6)(E) – A discussion of any matter which would result in the disclosure of public records or the information contained therein pertaining to preliminary drafts or notes that the public agency has determined the public's interest in withholding outweighs the public's interest in disclosure. [1-210(b)(1)]</li> <li>C.G.S. 1-200(6)(E) - A discussion of any matter which would result in the disclosure of public records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits. [1-210(b)(4)]</li> <li>C.G.S. 1-200(6)(E) - A discussion of any matter which would result in the disclosure of public records or the information contained therein pertaining to or communications privileged by the attorney-client relationship. [1-210(b)(10)]</li> <li>C.G.S. 1-200(6)(c) – Matters concerning standards, processes and codes not available to the public the disclosure of which would compromise the security of integrity of information technology systems.</li> </ul>	Approval	None
Opportunity for Public Comment		None
Minutes of the May 20, 2014 JACC Meeting	Approval	1
Storrs & UConn Health Significant Compliance Activities	Update	2
Athletics	Presentation	
Significant Audit Activities <ul style="list-style-type: none"> <li>Status of Audit Assignments (Storrs &amp; UConn Health)</li> <li>Audit Follow-up Activity</li> </ul>	Update Update	3
FY 15 Audit Plans (Storrs & UConn Health)	Approval	4
FY 15 Compliance Plans (Storrs & UConn Health)	Approval	5

*Individual Responsibility, Institutional Success*

# Joint Audit & Compliance Committee Agenda

10:00 am – 10:45 am – Executive Session

10:45 am – 12:00 pm - Public Meeting

Issue	Proposed Action	Tab
Auditors of Public Accounts – Auditors' Report University of Connecticut for the Fiscal Years Ended June 30, 2010 and 2011	Presentation	6
External Engagements	Update	7
<ul style="list-style-type: none"> <li>Annual Agreed Upon Procedures – Statement of Revenues and Expenses of the University of Connecticut's Athletic Program – Request to Hire BKD</li> <li>Annual Audit and Agreed Upon Procedures – UConn 2000 Construction Projects and Expenditures – Request to Hire McGladrey <i>Materials sent under separate cover</i></li> </ul>	Approval  Approval	
Informational/Educational Items	Information Only	8
<ul style="list-style-type: none"> <li>Article – “Internal Control – Integrated Framework” published by the Committee of Sponsoring Organizations of the Treadway Commission, May 2013</li> <li>Compliance Newsletter – UConn Health and Storrs</li> <li>JACC Agenda Forecast</li> </ul>		
Conclusion of Full Meeting		
Information Session with OACE's Interim Chief Audit & Compliance Officer and Direct Reports		

The next meeting of the JACC will be held on Tuesday, December 2, 2014 at 10:00 am  
Rome Commons Ballroom, Storrs

*Individual Responsibility, Institutional Success*

**THE UNIVERSITY OF CONNECTICUT  
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE  
September 24, 2014**

**Ground Level Portico, Lewis B. Rome Commons Ballroom, Storrs Campus  
*12:00 NOON (anticipated), following the meeting of the Board of Trustees***

**AGENDA**

- |     |  |  |
|-----|--|--|
| 1.  | Call to order  | Trustee Bailey   |
| 2.  | Review and Approval of minutes of 4/23/14 meeting                  | Trustee Bailey   |
| 3.  | Review and Approval of 2015 Meeting Schedule                       | Trustee Bailey   |
| 4.  | Chairwoman's Report  | Trustee Bailey   |
| 5.  | Dean of Students Office Presentation                               | Elly Daugherty<br>Dean of Students   |
| 6.  | Stamford ASG and School of Social Work ASG<br>Constitution Updates | Christine Wilson<br>Assistant Vice President &<br>Director of Student Activities |
| 7.  | Vice President for Student Affairs Report                          | Michael Gilbert  |
| 8.  | Student Trustees Report  | Trustee Jelliffe<br>Trustee Daniels  |
| 9.  | Other Business   | All  |
| 10. | Adjournment  | Trustee Bailey   |

## **Academic Affairs**

**AGENDA**  
Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**  
Wednesday, September 24, 2014  
Rome Commons Ballroom  
Storrs, CT  
9:00 a.m. – 9:15 a.m.

	<b><u>COMMITTEE</u></b>	<b><u>ATTACHMENT</u></b>
1) Minutes of the Academic Affairs Committee Meeting of August 6, 2014, as circulated	A	

**ACTION ITEMS:**

2) Promotion and Tenure	3
3) Designation of Emeritus Status	4
4) Sabbatical Leave Recommendations	5
5) Appointment of Dr. Ki Chon to the John and Donna Krenicki Professorship in Biomedical Engineering in the School of Engineering	6
6) Reappointment of Professor Robert Garfinkel to the Robert Cizik Professorship in the School of Business	7
7) Reappointment of Dr. Chinmoy Ghosh to the Gladstein Professor of Business and Innovation in the School of Business	8
8) Reappointment of Professor Nicholas H. Lurie to the Voya Financial Professor in the School of Business	9
9) Establishment of the Daniel and Tresa Toscano Chair in Finance in the School of Business	10

**INFORMATIONAL ITEM**

10) Centers and Institutes	14
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**EXECUTIVE SESSION** (*As Needed*)

## **ATTACHMENT A**



**MINUTES**  
**MEETING OF THE ACADEMIC AFFAIRS COMMITTEE**  
**August 6, 2014**

Trustees: Bailey, Bessette, Cantor, Carbray, Daniels, Dennis-LaVigne, Gandara, James, Jelliffe, Kruger, Lobo, McHugh, Nayden, Ritter

Staff: Biancamano, Callahan, Carone, Chiaputti, Choi, Cruickshank, Donahue, Fazio, Fearney, Fisher, Fuerst, Gafford, Gelston, Geoghegan, Gilbert, Grant, Herbst, Jednak, Kazerounian, Larson, Locke, Locust, Lucas, Mundrane, Nunnally, Orr, Patel, Reis, Reitz, Rubin, Seemann, Silbart, Simsek, Slowik, Spencer, Strassfeld, Torti, Weidemann, Weiner, Wetstone, Wood, Wrynn, Zinn

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Trustee Carbray, seconded by Trustee James, the minutes of the June 25, 2014, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Promotion and Tenure***. Moved by Trustee James, seconded by Trustee Carbray, the Committee recommended approval of all promotion and tenure cases to the full Board.

Provost Choi introduced ***Action Item #3, Designation of Emeritus Status***. Moved by Trustee James, seconded by Trustee Lobo, the Committee recommended designation of emeritus status to the full Board.

Provost Choi introduced ***Action Item #4, Sabbatical Leave Recommendations***. Moved by Trustee Carbray, seconded by Trustee James, the Committee recommended approval of all sabbatical leave recommendations to the full Board.

Committee Chairwoman Dennis-LaVigne introduced Dr. Steven Zinn, Department Head and Professor of Animal Science, who recognized Animal Science faculty members and students who were honored at the 2014 American Society of Animal Science / American Dairy Science Association meeting. Dr. Zinn introduced Dr. Sarah Reed, Assistant Professor; Dr. Kristen Giovoni, Assistant Professor; Davi Jaganathan, Ph.D. student; Bethany Sullivan, undergraduate student; and Katelyn McFadden, undergraduate student. Dr. Zinn also introduced Bill Sciturro, Plant Manager of the UConn Creamery. Mr. Sciturro developed the ice cream flavor Centennial Caramel Crunch, in honor of the 100<sup>th</sup> anniversary of the Extension System. Centennial Caramel Crunch was voted best ice cream and the “Big Scoop” trophy was awarded to Dr. Zinn at the 2014 American Society of Animal Science Awards ceremony. Trustee James joined Committee Chairwoman Dennis-LaVigne in congratulating the faculty, students, and Mr. Sciturro on their successes.

Provost Choi provided the Committee with an update on faculty hiring and key research grants. A discussion followed among Trustees Nayden and Jelliffe, Provost Choi, and Vice President Seemann regarding the tracking of research grants and their importance to UConn faculty and students.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:24 a.m.

Respectfully submitted,

Brandon L. Murray  
Committee Secretary

## **Financial Affairs**

**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**September 24, 2014 at 9:15 a.m.**  
University of Connecticut  
Rome Commons Ballroom  
Storrs, Connecticut

**ATTACHMENT  
LOCATION  
COMMITTEE    FULL BOARD**

- 1) Approval of the Minutes of the Financial Affairs Committee  
Meetings of June 25, 2014 and August 6, 2014, as circulated

A

**ACTION ITEMS:**

- |   |    |
|---|----|
| 2) Contracts and Agreements for Approval  | 1  |
| 3) Annual Report of the Endowed Chair Program Entitled<br>“Aetna English Chair in Writing”      | 2  |
| 4) Deferred Maintenance/Code/ADA Renovation Lump Sum<br>Expenditures and Equipment Expenditures | 15 |
| 5) Project Withdrawals  | 16 |

**PROJECT BUDGETS FOR APPROVAL:**

<b><u>STORRS BASED PROGRAMS</u></b>		<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
6)	Residential Life Facilities - Honors Residence Hall	Revised Planning	\$3,500,000	17
7)	Gampel Pavilion LED Lighting and Controls Upgrade	Final	\$525,000	18
	Torrey Renovation Completion and Biology Expansion – Roof Replacement	Final	\$1,012,500	19
8)	Water Pollution Control Facility (WPCF) – Priority 1 Repairs	Final	\$3,000,000	20
9)	Northwest Quad Code Remediation	Revised Final	\$914,500	21
10)	UConn 2000 Code Remediation: Alumni Quad Residential Complex	Revised Final	\$766,000	22
11)	UConn 2000 Code Remediation: Starr Hall	Revised Final	\$631,000	23
12)	UConn 2000 Code Remediation: Wilbur Cross Building	Revised Final	\$1,340,000	24
 <b><u>UConn HEALTH</u></b>				
13)	UConn Health – Medical School Academic Building Addition and Renovations	Design	\$35,750,000	25
14)	UConn Health – New Construction and Renovation – Clinic (C) Building Renovations	Design	\$96,326,000	26

**PROJECT BUDGETS FOR APPROVAL (Continued):**

<b><u>UConn HEALTH</u></b>	<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
15) UConn Health – Research Tower – Incubator Lab Addition to the Cell and Genome Sciences Building (CGSB)	Final	\$19,400,000	27
16) UConn Health – New Construction and Renovations	Revised Final	\$359,366,000	28

**INFORMATION ITEMS:**

17) Contracts and Agreements for Information	B
18) Construction Project Status Report	(Under Separate Cover)
19) Master Schedule for UCONN 2000 Phases I, II & III (as of 8/31/14)	(Under Separate Cover)
20) Status of Capital Projects > \$500,000	(Under Separate Cover)

**EXECUTIVE SESSION (As Needed)**

## **ATTACHMENT A**

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**BUDGET WORKSHOP**  
**June 25, 2014**

TRUSTEES PRESENT: Louise Bailey, Rose Barham, Andrew Bessette, Charles Bunnell (*via telephone*), Shari Cantor, Richard Carbray, Sandy Cloud, Michael Daniels, Andrea Dennis-Lavigne, Mary Ann Handley, Thomas Kruger, Larry McHugh, Denis Nayden (*via telephone*), Stefan Pryor and Thomas Ritter

STAFF PRESENT: Carolle Andrews, John Biancamano, Elizabeth Bolt, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Mun Choi, Robert Corbett, Laura Cruickshank, Joanne Fazio, Nathan Fuerst, Kristi Gafford, Nicole Gelston, Brian Gore, Susan Herbst, Kent Holsinger, Michael Jednak, Tysen Kendig, Michael Kirk, Matthew Larson, Susan Locke, Wayne Locust, Warde Manuel, Michael Mundrane, Jeffrey Ogbar, Richard Orr, Bhupen Patel, Sally Reis, Rachel Rubin, Jeff Seeman, Larry Silbart, Katrina Spencer, Eli Strassfeld, Jack Sullivan, Lysa Teal, Frank Torti, Thomas Trutter, Kelly Wihbey, Dana Wilder and Reka Wrynn

SENATE PRESENT: Rajeev Bansal, Lynne Healey and Donna Korbel

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:30 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

On a motion by Trustee Nayden and seconded by Trustee Cantor the committee voted to go into Executive Session at 9:31 a.m. to discuss preliminary drafts and notes; and commercial or financial information given in confidence that the University has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure.

Trustees present included: Louise Bailey, Rose Barham, Andrew Bessette, Charles Bunnell (*via telephone*), Shari Cantor, Richard Carbray, Sandy Cloud, Michael Daniels, Andrea Dennis-Lavigne, Mary Ann Handley, Thomas Kruger, Larry McHugh, Denis Nayden (*via telephone*), Stefan Pryor and Thomas Ritter.

University staff members who participated in the meeting: President Herbst, Rachel Rubin, Mun Choi, John Biancamano, Michael Kirk, Lysa Teal, Matthew Larson, Michael Jednak, Richard Orr, Tysen Kendig, Michael Eagen and Debbie Carone.

At 10:17 a.m. Executive Session ended and the meeting resumed in open session.

Mr. Kruger introduced Lysa Teal, Associate Vice President for Finance and Budget, to provide a Budget Presentation. He stated that the committee will be asked to review and recommend approval to the full Board the FY15 spending and capital budgets for the University and the UConn Health. He emphasized that there is no intention by the committee or the full Board to



revisit the tuition plan that has been in place since 2011. He thanked John Biancamano, Interim Executive Vice President for Administration and Chief Financial Officer, and Ms. Teal for the work that went into preparing a balanced budget and for meeting with the Committee for many hours prior to the workshop to explain the detail that went into developing the University's budget. Therefore, the committee feels well-schooled on the policy and resource allocation decisions that went into making a balanced budget.

Ms. Teal explained that policy decisions and a resource allocation process was utilized in determining the annual budget. She emphasized that during a period of constrained resources, hard choices had to be made. Key financial priorities included commitments to students, faculty and research; financial aid; academic programs and the Academic Plan; as well as the priority to the Next Generation Connecticut commitment to the State. A number of issues have impacted the budget including State support, enrollment, personnel costs, financial commitments, and contractual constraints. The University continues to be committed to providing financial aid for needy students by increasing aid from \$42.6M in FY08 to \$91.9M in FY15. Financial aid represents 11.7% of the University budget in FY15.

Overall State appropriation has been decreasing. Despite financial difficulties within the State the University will begin to receive States appropriations for the Next Generation Connecticut program in FY15. The University is expecting to receive \$1.5B in additional capital funds over 10 years and has requested \$137M of State funding for operating costs. There is a plan to add 61 faculty in FY15. Administrative staff was added for the program in FY14 in anticipation of program financial support.

Overall enrollment for FY15 is projected at 30,266. Enrollment is a factor in budget decisions as are personnel costs. Salaries and fringe benefits are expected to account for almost 66% of the operating budget expenditures in FY15. The blended fringe benefit rate for permanent and continuing employees is expected to be 54.2% for FY15 or a potential increase of 24% from the FY11 rate of 43.6%.

The FY15 Budget Plan incorporates many components including State appropriation for Next Generation Connecticut of \$15.0M; other State support (salary increases/fringe benefits) of \$20.3M; increased tuition of 6.5%; undergraduate enrollment growth of 1.7%; wage increases and higher fringe benefit rates of \$24.0M; faculty and staff hiring at \$20.6M; and increased University funded financial aid and new STEM merit scholarships of \$6.3M.

The UCONN 2000 FY15 Capital Budget Plan includes a \$315.5M request which encompasses \$205.0M to support the Next Generation Connecticut initiative and \$110.5M to support the Bioscience Connecticut initiative.

Future year financial challenges the University will face include a continuation of university-wide savings efforts; new position planning; implementation of the Academic Plan; negotiation of new collective bargaining agreements; and the impact of Connecticut's economy on University finances. The University Master Plan is underway and will support the University's mission and the Academic Plan and will also impact the budget.

Mr. Kruger thanked Ms. Teal for her thorough review of the Storrs based budget materials.

Mr. Jeffrey Geoghegan, UConn Health Interim Chief Financial Officer, provided an overview of the budget for UConn Health. He stated that the budget had the endorsement of the UConn Health Finance Subcommittee as well as the Board of Directors. UConn Health receives 24% of its total revenues from State support through a block grant and the in kind fringe benefit compared to 42% of revenue generated through patient care activities either through the John Dempsey Hospital or Physician Practice for UMG. These are followed by the Correctional Managed Health Care program, research activities and the interns and residents program leaving a smaller amount of income related to contracts and tuition and fees for programs based at UConn Health.

Expenditures for the program are similar to FY14 with salaries and fringe benefits combined to make up 59% of the FY15 budget. This is the main driver of expenses in all of the UConn Health Programs including clinical, research and all other activities. Of note, the drop in drug and medical supply costs from FY14 to FY15 is due to the expense reduction initiative due to the BRG Financial Improvement Project. The BRG project initial phase is complete and recommended implementation has begun. Despite revenue enhancements and expense reductions made through the BRG Project the UCH FY15 operating budget has a budgeted loss of \$12.5M which is a \$3.2M improvement over the FY14 forecast. UConn Health has a balanced FY15 budget of \$947.1M.

Mr. Kruger directed the committee to agenda ***Item #2, Approval of the Minutes of the Financial Affairs Committee Meeting of April 23, 2014.*** On a motion by Trustee Cantor and seconded by Trustee Carbray the minutes were approved as circulated.

Matthew Larson, Director of Procurement, reviewed and provided highlights of the contracts presented for approval on agenda ***Item #3, Contracts and Agreements for Approval.*** On a motion by Trustee Cantor and seconded by Trustee Carbray the item was recommended to the full Board for approval.

John Biancamano, Interim Executive Vice President for Administration and Chief Financial Officer, and Josh Newton, President of the UConn Foundation, provided information on agenda ***Item #4, Memorandum of Understanding between the University of Connecticut Law School Foundation and the University of Connecticut for Fiscal Year 2015; and Item #5, Master Agreement and Statement of Work between the University of Connecticut Foundation and the University of Connecticut for Fiscal Year 2015.*** Chairman McHugh requested that all Foundation agreements be consolidated under one University Foundation in the future. Mr. Newton stated that an effort to consolidate and collaborate is underway between the Law School Foundation and the UConn Foundation.

Mr. Biancamano highlighted agenda ***Item #6, Revised Spending Plan for Fiscal Year 2015 for the University of Connecticut, Storrs and Regional Campuses; Item #7, Spending Plan for Fiscal Year 2015 for UConn Health; Item #8, State Appropriation Request for the Biennium Fiscal Years 2016 and 2017 for the University of Connecticut, Storrs & Regional Campuses; Item #9, State Appropriation Request for the Biennium Fiscal Years 2016 and 2017 for UConn Health; Item #10, UCONN 2000 Fiscal Year 2015 Capital Budget; Item #11, Fiscal Year 2015 Deferred Maintenance/Code/ADA Renovation Lump Sum Project List and agenda Item #12, Twentieth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds.*** On a motion by Trustee Bessette and seconded by Trustee Cantor action items #2-12 were recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, provided information on the project budgets for Storrs based programs presented for approval as agenda items 13-22; which included: ***Academic and Research Facilities – Main Accumulation Area for Regulated Wastes (Revised Planning: \$5,255,580); Arjona and Monteith – Monteith Renovations (Revised Planning: \$25,000,000); Heating Plant Upgrade – Power System (Revised Planning: \$2,500,000); Heating Plant Upgrade – Upgrade Chilled Water System (Design: \$7,000,000); Fats, Oils and Grease (FOG) Compliance – Phase I (Final: \$2,000,000); fMRI – Acquisition and Installation (Final: \$7,893,000); Main Water Line Replacement – Phase I (Final: \$7,000,000); OSFM Code Remediation: Babbidge Library Emergency Lights (Final: \$4,000,000); UCONN 2000 Code Remediation: Alumni Quad (Final: \$766,000); and UCONN 2000 Code Remediation: Starr Hall (Final: \$612,000).*** On a motion by Trustee Cantor and seconded by Trustee Bessette these projects were recommended to the full Board for approval.

Thomas Trutter, UConn Health Associate Vice President for Facilities Development and Operations, provided information on the project budgets for UConn Health presented for approval as agenda items 23-24; which included: ***UCH Research Tower: Incubator Lab Addition to the Cell & Genome Sciences Building (Design: \$19,400,000) and UCH 195 Farmington Avenue Renovation (Final: \$3,600,000).*** On a motion by Trustee Carbray and seconded by Trustee Cantor the items were recommended to the full Board for approval.

The committee was directed to review the agenda items presented for information. Trustee Kruger adjourned the meeting at 11:15 a.m. on a motion by Trustee Cantor and seconded by Trustee Bessette.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**August 6, 2014**

TRUSTEES PRESENT: Louise Bailey, Andy Bessette, Charles Bunnell, Shari Cantor, Richard Carbray, Sanford Cloud, Michael Daniels, Andrea Dennis-LaVigne, Marilda Gandara, Juanita James, Thomas Kruger, Rebecca Lobo (*via Telephone*), Donny Marshall, Larry McHugh, Denis Nayden, Stefan Pryor and Thomas Ritter

STAFF PRESENT: John Biancamano, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Mun Choi, Elizabeth Conklin, Robert Corbett, Laura Cruickshank, Amy Donahue, Joanne Fazio, Kim Fearney, Kristi Gafford, Gail Garber, Nicole Gelston, Jeff Geoghegan, Michael Gilbert, Brian Gore, Susan Herbst, Kent Holsinger, Michael Jednak, Matthew Larson, Susan Locke, Wayne Locust, Warde Manuel, Paul McCarthy, Michael Mundrane, Brandon Murray, Stanley Nolan, Richard Orr, Sally Reis, Stephanie Reitz, Rachel Rubin, Jeff Seeman, Larry Silbart, Katrina Spencer, Eli Strassfeld, Jack Sullivan, Michael Sullivan, Frank Torti, Scott Wetstone, Beverly Wood and Reka Wrynn

UNIVERSITY SENATE MEMBERS PRESENT:  
Cheryl Beck, Shayla Nunnally, Angela Rola, and Zeki Simsek a

GUEST: Senator Steve Cassano

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:24 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger directed the committee to a revised agenda; which was revised to add a fuel contract to Contracts and Agreements for Approval. On a motion by Trustee Carbray and seconded by Trustee Bessette the revised agenda was accepted as circulated.

Mr. Kruger directed the committee to agenda *Item #1, Approval of the Minutes of the Financial Affairs Committee Meetings of April 23, 2014 and May 28, 2014*. On a motion by Trustee Bessette and seconded by Trustee Cantor the minutes were approved as circulated.

Matthew Larson, Director of Procurement, presented a thorough overview of the contracts presented for approval on agenda *Item #2, Contracts and Agreements for Approval*. On a motion by Trustee Cantor and seconded by Trustee Bessette the item was recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, detailed the project budgets for Storrs based programs presented for approval which included: *Technology Quadrant Phase III – Water Supply (Planning: \$808,000); Putnam Refectory Renovation (Revised Planning: \$2,500,000); Residential Life Facilities – Honors Residence Hall (Revised Planning:*

***\$2,000,000); Waterbury Downtown Campus – Rectory Renovations (Revised Planning: \$2,550,000); McMahon Dishwasher Replacement (Design: \$885,935); Technology Quadrant Phase III – Innovation Partnership Building (Design: \$162,300,000); Torrey Renovation Completion and Biology Expansion – Roof Replacement (Design: \$1,012,500); Water Pollution Control Facility (WPCF) – Priority 1 Repairs (Design: \$3,000,000); Whetten Office Renovation and Code Remediation (Design: \$686,000); Heating Plant Upgrade – Upgrade Chilled Water System (Final: \$6,250,000); Residential Life Facilities – STEM Residence Hall (Final: \$105,000,000); UCONN 2000 Code Remediation Mansfield Apartments (Final: \$730,000); Basketball Development Facility (Revised Final: \$35,338,300); and North Hillside Road Completion (Revised Final: \$20,365,000).*** Ms. Cruickshank and Warde Manual, Athletic Director, addressed Trustee concerns regarding the absence of FFE costs in the previously approved project budgets for the Basketball Practice Facility. In addition, Ms. Cruickshank provided a brief update on the status of the University Master Plan. On a motion by Trustee Bessette and seconded by Trustee Carbray the project budgets were recommended to the full Board for approval.

Thomas Callahan, Associate Vice President for Infrastructure Planning and Strategic Project Management, utilized a PowerPoint presentation to update the committee on the University gas hedging program. He introduced two integral members of the gas procurement process, Michael Jednak, Associate Vice President of Facilities Operations and Building Services, Stanley Nolan, Utilities Director, and Gil Myette, Consultant with Energy New England.

Trustee Kruger directed the committee to the information items on the agenda. There being no additional agenda items the meeting was adjourned at 10:26 a.m. on a motion by Trustee Cantor and seconded by Trustee Bessette.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee

## **ATTACHMENT B**

CONTRACTS AND AGREEMENTS  
FOR INFORMATION  
September 24, 2014

PROCUREMENT - NEW							
MEDIA SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Communication Corporation of Connecticut (d/b/a Makiaris Media)	UC-15-JL060414	\$900,000	09/01/14-08/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	Strategic media placement campaigns for the University, including UCH. This is the result of a publicly advertised RFP. Four (4) options to extend for terms of one (1) year each.
PROFESSIONAL EDUCATION SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Anglo Educational Services	59677	\$850,000	08/16/14-08/15/15	Auxiliary Services	Kevin Brennan PhD, Executive Program Director	Housing, office space, classrooms, library and computer access, and financial and accounting services to support the University's London Study Abroad Program for the 2014-2015 fall, winter, spring, and summer sessions. Zero (0) options to extend. The expenses associated with this contract are borne by the participating students, not by the University.
LEASES FOR INFORMATION							
UNIVERSITY AS LANDLORD: <i>Technology Incubator Program Leases authorized pursuant to "Delegation of Authority to Approve Leases for the Technology Incubation Program" dated June 28, 2012</i>							
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose	
1	Bioarray Therapeutics, Inc.	\$14,157	12/01/13-10/31/14	Operating Fund - General	Jeff Seeman, Vice President for Research	First Amendment to <b>TIP Lease</b> . Lease of space in the <b>Cell &amp; Genome Sciences Building, 400 Farmington Avenue</b> , located on the grounds of the University of Connecticut Health Center Campus in Farmington CT. For the term the University will provide approximately 702 s.f. of space consisting of Rooms R1701, R1703 and R1714). The total payment for this term will be \$14,157. There is an option to extend the term from 11/1/2014 to 10/31/2015 and the payment for that period would be \$16,848.	



**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**September 24, 2014 at 9:15 a.m.**  
University of Connecticut  
Rome Commons Ballroom  
Storrs, Connecticut

**Revised**

**ATTACHMENT  
LOCATION  
COMMITTEE    FULL BOARD**

- |   |   |
|---|---|
| 1) Approval of the Minutes of the Financial Affairs Committee Meetings of June 25, 2014 and August 6, 2014, as circulated | A |
|---|---|

**ACTION ITEMS:**

- |  |    |
|--|----|
| 2) Contracts and Agreements for Approval   | 1  |
| 3) Annual Report of the Endowed Chair Program Entitled “Aetna English Chair in Writing”      | 2  |
| 4) Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and Equipment Expenditures | 15 |
| 5) Project Withdrawals   | 16 |

**PROJECT BUDGETS FOR APPROVAL:**

<b><u>STORRS BASED PROGRAMS</u></b>		<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
6)	Residential Life Facilities - Honors Residence Hall	Revised Planning	\$3,500,000	17
7)	Gampel Pavilion LED Lighting and Controls Upgrade	Final	\$546,000	18
8)	Torrey Renovation Completion and Biology Expansion – Roof Replacement	Final	\$1,012,500	19
9)	Water Pollution Control Facility (WPCF) – Priority 1 Repairs	Final	\$3,000,000	20
10)	Northwest Quad Code Remediation	Revised Final	\$914,500	21
11)	UConn 2000 Code Remediation: Alumni Quad Residential Complex	Revised Final	\$766,000	22
12)	UConn 2000 Code Remediation: Starr Hall	Revised Final	\$631,000	23
13)	UConn 2000 Code Remediation: Wilbur Cross Building	Revised Final	\$1,340,000	24
 <b><u>UConn HEALTH</u></b>				
14)	UConn Health – Medical School Academic Building Addition and Renovations	Design	\$35,750,000	25
15)	UConn Health – New Construction and Renovation – Clinic (C) Building Renovations	Design	\$96,326,000	26

**PROJECT BUDGETS FOR APPROVAL (Continued):**

<b><u>UCONN HEALTH</u></b>	<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
16) UConn Health – Research Tower – Incubator Lab Addition to the Cell and Genome Sciences Building (CGSB)	Final	\$19,400,000	27
17) UConn Health – New Construction and Renovations	Revised Final	\$359,366,000	28

**INFORMATION ITEMS:**

18) Contracts and Agreements for Information	B
19) Construction Project Status Report	(Under Separate Cover)
20) Master Schedule for UCONN 2000 Phases I, II & III (as of 8/31/14)	(Under Separate Cover)
21) Status of Capital Projects > \$500,000	(Under Separate Cover)

**EXECUTIVE SESSION (As Needed)**



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano  
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Gampel Pavilion LED Lighting and Controls Upgrade  
(Final: \$546,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$546,000 to upgrade the lighting and control system in the Harry A. Gampel Pavilion to NCAA compliant energy efficient Light Emitting Diodes (LED) fixtures.

BACKGROUND:

Technological advances in lighting and lighting control systems have significantly reduced energy consumption and maintenance requirements since the construction of the Harry A. Gampel Pavilion. This project will supply and install high efficiency LED lighting and wireless control systems to reduce lighting energy consumption by ~75% and reduce operating and maintenance costs by ~80% due to long lamp life and a 10 year warranty period. The control system will allow finer-grained fixture control and lighting level adjustment for practice, game, and broadcast needs. This project will include complete procurement, installation, permitting, and other required aspects to deliver a fully functional NCAA compliant HDTV broadcast lighting solution with wireless programmable control. This project does not include life safety or emergency egress lighting.

This project will be funded by the UConn Renewable Energy Credit Fund account and is eligible for rebates and incentives up to 40% of installed costs from Northeast Utilities subsidiary Connecticut Light and Power (CL&P). The project will commence in September 2014 and is expected to be complete in March 2015.

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This project is similar, although larger in scope, to the lighting upgrades conducted as a Continuous Energy Efficiency Improvement Initiative in more than 130 UConn buildings as defined by the 2009 University Costs, Operations, & Revenue Efficiencies (CORE) Task Force. These projects result in significant carbon footprint reductions, increase energy efficiency, decrease overall energy use, and reduce greenhouse gas emissions moving us toward UConn's goal of achieving carbon neutrality by the year 2050, per the American College & University Presidents' Climate Commitment (ACUPCC) Agreement and UConn's Climate Action Plan. The University also requests that the three stage project budget approval process be waived at this time to allow project completion during this CL&P rebate and incentive fiscal cycle. This Final Budget is attached for your consideration and approval.

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: GAMPEL PAVILION LED LIGHTING AND CONTROLS UPGRADE**

<b><u>BUDGETED EXPENDITURES</u></b>		<b>PROPOSED FINAL 9/24/2014</b>
CONSTRUCTION	\$	449,000
DESIGN SERVICES		-
TELECOMMUNICATIONS		35,000
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		20,000
OTHER AE SERVICES (including Project Management)		15,750
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		-
MISCELLANEOUS		-
OTHER SOFT COSTS		-
<b>SUBTOTAL</b>	<b>\$</b>	<b>519,750</b>
PROJECT CONTINGENCY		26,250
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$</b>	<b>546,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
RENEWABLE ENERGY CREDIT FUNDS	\$	546,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$</b>	<b>546,000</b>

BOT 9.24.14

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