

4-23-2014

## 2014 April 23 -- Agenda and attachments

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# UConn

UNIVERSITY OF CONNECTICUT



*Board of*



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# TRUSTEES

VOL. 138 APRIL 23, 2014

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Rome Commons Ballroom  
South Campus Complex  
Storrs, Connecticut

April 23, 2014

**BOARD OF TRUSTEES SCHEDULE OF THE DAY**

9:00 a.m.	Academic Affairs Committee Meeting
9:15 a.m.	Financial Affairs Committee Meeting
9:45 a.m.	Board of Trustees Meeting
12:30 p.m.	Student Life Committee Meeting (Ground Level Portico)

**BOARD MEETING AGENDA**

Call to order at **9:45 a.m.**

1. Public Participation
2. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meetings of March 26 and April 10, 2014
  - (c) Consent Agenda Items:
    - (1) Contracts and Agreements for the Storrs-based Programs (Attachment 1)
    - (2) Promotion and Tenure Lists (Attachment 2)
    - (3) Appointment of Professor Richard Sosis to the James Barnett Professorship in Humanistic Anthropology in the College of Liberal Arts and Sciences (Attachment 3)
    - (4) Appointment of Dr. Brenton Graveley to the John and Donna Krenicki Endowed Professorship in Genomics and Personalized Health Care in the Institute for Systems Genomics (Attachment 4)
    - (5) Relocate the Department of Kinesiology from the Neag School of Education to the College of Agriculture and Natural Resources (Attachment 5)
    - (6) Rename the College of Agriculture and Natural Resources to the College of Agriculture, Health, and Natural Resources (Attachment 6)
    - (7) Chinese Major in the College of Liberal Arts and Sciences (Attachment 7)
    - (8) Certificate in Foundations of Public Health (Attachment 8)
    - (9) Graduate Certificate in School Law (Attachment 9)
3. President's Report

4. Academic Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Item requiring Board discussion and approval:
    - (1) Creating our Future: UConn's Path to Excellence (Separate Cover)
  - (c) Informational items:
    - (1) Procedures on Consulting for the Faculty and Members of the Faculty Bargaining Unit (Attachment 10)
    - (2) Tenure-Track Reappointments (Attachment 11)
5. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Project Budget (Revised Planning) for Hartford Relocation Acquisition/Renovation (Attachment 12)
    - (2) Project Budget (Design) for Fats, Oils and Grease (FOG) Compliance – Phase I (Attachment 13)
    - (3) Project Budget (Design) for fMRI – Acquisition and Installation (Attachment 14)
    - (4) Project Budget (Design) for Sewer Replacement Storrs Road Pump Station (Attachment 15)
    - (5) Project Budget (Design) for Main Water Line Replacement – Phase I (Attachment 16)
    - (6) Project Budget (Final) for Ecology and Evolutionary Biology Greenhouse Renovations (Attachment 17)
    - (7) Project Budget (Final) for Fine Arts Gateway and Pedestrian Access (Attachment 18)
    - (8) Project Budget (Final) for Gant Building Renovations – Mechanical Repairs (Attachment 19)
    - (9) Project Budget (Final) for Mansfield Apartment Bathrooms – Phase II (Attachment 20)
    - (10) Project Budget (Final) for Young Building Renovation/ Addition – Envelope Repairs (Attachment 21)
6. Health Center Report
  - (a) Report on Health Center activities
7. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities



8. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Inter-Agency Transfer and Traffic Control to the Connecticut Department of Transportation (Attachment 22)
    - (2) Inter-Agency Transfer and Traffic Control Easement for the UConn Health Center Roadway and Intersection Improvements Project (Attachment 23)
9. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
10. Student Life Committee Report
  - (a) Report of Committee activities
11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
  - (b) Informational items:
    - (1) Development Progress Executive Summaries (Attachment 24)
12. Committee on Compensation Report
  - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated.
15. Adjournment

**PLEASE NOTE:** *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

## **ATTACHMENT 1**

CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
April 23, 2014

PROCUREMENT - NEW										
COMPUTER HARDWARE										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Dell Marketing, LP	MF080913	\$6,000,000	07/01/14-06/30/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Personal computers, servers, and other hardware for all University campuses, including UCH. This is the result of a publicly advertised RFP. Options to extend for two (2) additional two (2) year terms.			
PARKING MANAGEMENT										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	LAZ Parking Ltd, LLC	UC-13-DS082813-1	\$1,092,840	05/01/14-04/30/16	Operating Funds- Auxiliary Services	William Wendt, Director Logistics Administration	Parking management and operations services for special events on the Storrs campus and for ordinary needs at the North and South Garages on the Storrs campus. This is the result of a publicly advertised RFP. Options to extend for three (3) additional one (1) year terms.			
PROCUREMENT - AMENDMENTS										
CHARTER TRAVEL										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Air Planning, LLC	UC-09-KJ082208	\$554,890 [Contract Value Previously \$3,089,148; Total New Contract Value \$3,644,038]	03/01/09-06/21/15	Auxiliary Funds	Warde Manuel, Director of Athletics	\$2,905,983	\$604,963	\$437,000	Air charter for the Division of Athletics, predominately for transporting football and basketball teams, coaching staff and equipment. <b>Amend to increase contract value by \$554,890, for a total new contract value of \$3,644,038. Amend to extend term by one (1) year, through 06/21/2015.</b> Zero (0) of four (4) one (1) year terms remaining.
2	New England Flight Watch, LLC (d/b/a Charter Search)	UC-09-KJ082208	\$591,386 [Contract Value Previously \$4,088,923; Total New Contract Value \$4,680,309]	03/01/09-06/21/15	Auxiliary Funds	Warde Manuel, Director of Athletics	\$3,461,698	\$772,004	\$948,395	Air charter for the Division of Athletics, predominately for transporting football and basketball teams, coaching staff and equipment. <b>Amend to increase contract value by \$591,386, for a total new contract value of \$4,680,309. Amend to extend term by one (1) year, through 06/21/2015.</b> Zero (0) of four (4) one (1) year terms remaining.
CHEMICALS - SNOW AND ICE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Chemical Solutions, Inc.	UC-10-B995962-1	\$101,296 [Contract Value Previously \$1,803,645; Total New Contract Value \$1,904,941]	10/01/09-06/30/15	Operating Funds- General	Michael Jednak, AVP Facilities Operations & Building Services	\$1,485,585	\$301,017	\$154,400	Snow and ice control chemicals for all University campuses, including UCH. <b>Amend to increase contract value by \$101,296, for a total new contract value of \$1,904,941. Amend to extend term by one (1) year, through 06/30/2015.</b> Zero (0) of five (5) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
April 23, 2014

CO-GENERATION FACILITY										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Solar Turbines Inc.	28486	\$1,852,225 [Contract Value Previously \$6,500,000; Total New Contract Value \$8,352,225]	01/01/11-12/31/15	Operating Funds-General	Michael Jednak, AVP Facilities Operations & Building Services	\$5,734,917	\$1,193,450	\$1,150,216	Maintenance, remote performance monitoring, repair, and replacement of components of the Co-generation facility on the Storrs campus. <b>Amend to increase contract value by \$1,852,225, for a new total contract value of \$8,352,225.</b> Contract term remains the same. One (1) of one (1) five (5) year extensions remaining.
DORMITORY FURNITURE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Butler Woodcrafters, Inc.	07PSX0285	\$754,200 [Contract Value Previously \$407,373; Total New Contract Value \$1,161,573]	11/01/13-10/31/14	Auxiliary Funds	Michael Gilbert, Ph.D., Vice President of Student Affairs	\$407,206	\$0	\$0	Manufacture, delivery, and installation of dormitory furniture. <b>Amend to increase contract value by \$754,200, for a new total contract value of \$1,161,573.</b> Contract term remains the same. Four (4) of five (5) one (1) year extensions remaining.
ELECTRICAL SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Granite City Electric Supply, Inc.	UC-10-PL021710-1	\$0 [Contract Value Previously \$1,000,000; Contract Value Remains the Same]	07/01/10-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$186,982	\$48,442	\$4,522	Electrical equipment and supplies for maintenance, repair, and operation on all University campuses, including UCH. Contract value remains the same. <b>Amend to extend term by one (1) year, through 06/30/15.</b> One (1) of three (3) one (1) year extensions remaining.
2	Hampden Zimmerman Electric Supply Company (a/k/a Standard Electric)	UC-10-PL021710-1	\$805,038 [Contract Value Previously \$5,000,000; Total New Contract Value \$5,805,038]	07/01/10-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$4,530,175	\$1,039,247	\$1,210,513	Electrical equipment and supplies for maintenance, repair, and operation on all University campuses, including UCH. <b>Amend to increase contract value by \$805,038, for a new total contract value of \$5,805,038.</b> <b>Amend to extend term by one (1) year, through 06/30/15.</b> One (1) of three (3) one (1) year extensions remaining.
3	Northeast Electric Distributors	UC-10-PL021710-1	\$0 [Contract Value Previously \$1,000,000; Contract Value Remains the Same]	07/01/10-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$541,417	\$65,930	\$237,195	Electrical equipment and supplies for maintenance, repair, and operation on all University campuses, including UCHC. Contract value remains the same. <b>Amend to extend term by one (1) year, through 06/30/15.</b> One (1) of three (3) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
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ELEVATOR MAINTENANCE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Otis Elevator Company	UC-10-PL021710-1	\$270,673 [Contract Value Previously \$4,129,206; Total New Contract Value \$4,399,879]	07/01/10-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,607,832	\$586,706	\$531,484	Provide service, maintenance, and repairs to elevators on all University campuses, including UCH. <b>Amend to increase contract value by \$270,673, for a new total contract value of \$4,399,879. Amend to extend term by one (1) year, through 06/30/15.</b> Zero (0) of three (3) one (1) year extensions remaining.
FIRE ALARM SYSTEM TESTING AND INSPECTION										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	SimplexGrinnell, LP	UC-13-051612LM	\$1,019,647 [Contract Value Previously \$1,750,000; Total New Contract Value \$2,769,647]	07/01/12-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,461,378	\$879,500	\$0	Testing, inspection and preventative maintenance services for fire alarm systems and equipment at all University campuses, other than UCH. <b>Amend to increase contract value by \$1,019,647, for a new total contract value of \$2,769,647. Amend to extend term by one (1) year, through 06/30/15.</b> Two (2) of three (3) one (1) year extensions remaining.
FOOD SERVICE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Sysco Food Service	UC-KA-032910-8	\$15,104,473 [Contract Value Previously \$41,834,297; Total New Contract Value \$56,938,770]	07/01/12-06/30/15	Auxiliary Services	Michael Gilbert, Ph.D., Vice President of Student Affairs	\$39,002,489	\$11,252,405	\$10,606,000	Grocery and frozen foods primarily to Department of Dining Services for student meals and other needs. <b>Amend to increase contract value by \$15,104,473, for new total contract value of \$56,938,770. Amend to extend term by one (1) year, to 6/30/15.</b> Zero (0) of four (4) one (1) year extensions remaining.
HARDWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Mansfield Supply Inc.	UC-12-B015362-1	\$391,302 [Contract Value Previously \$990,000; Total New Contract Value \$1,381,302]	07/01/11-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$884,851	\$345,734	\$355,128	Hardware for all University campuses, including UCH. <b>Amend to increase contract value by \$391,302, for a new total contract value of \$1,381,302. Amend to extend term by one (1) year, through 06/30/15.</b> One (1) of four (4) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS  
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ISP SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	State of Connecticut - Bureau of Enterprise Services and Technology (BEST)	MOA082509	\$315,797 [Contract Value Previously \$3,708,660; Total New Contract Value \$4,024,457]	07/01/04-06/30/15	Multiple Sources	Michael Mundrane, Ph.D., Vice Provost and Chief Information Officer	\$3,534,653	\$345,749	\$397,800	Hardware and support for the network operated by the Connecticut Education Network. <b>Amend to increase contract value by \$315,797, for a new total contract value of \$4,024,457. Amend to extend term by one (1) year, through 06/30/15.</b> Zero (0) extensions remaining.
JANITORIAL EQUIPMENT AND SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Eastern Bag & Paper Company, Incorporated	UC-08-KJ020808-1	\$0 [Contract Value Previously \$2,050,000; Contract Value Remains the Same]	07/01/08-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,510,002	\$271,936	\$357,230	Plastic liners for janitorial use for all University campuses, including UCH. Contract value remains the same. <b>Amend to extend term by one (1) year, through 06/30/15.</b> Zero (0) of four (4) one (1) year extensions remaining.
2	MD Stetson Company, Inc. of Connecticut	UC-08-KJ020808-1	\$325,635 [Contract Value Previously \$7,000,000; Total New Contract Value \$7,325,635]	07/01/08-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$5,598,044	\$1,266,636	\$1,172,326	Janitorial supplies and equipment, except plastic liners, for all University campuses, including UCH. <b>Amend to increase contract value by \$325,635, for a new total contract value of \$7,325,635. Amend to extend term by one (1) year, through 06/30/15.</b> Zero (0) of four (4) one (1) year extensions remaining.
NATURAL GAS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Connecticut Natural Gas Corporation	6133-CNG	\$6,075,768 [Contract Value Previously \$36,000,000; Total New Contract Value \$42,075,768]	09/01/12-08/31/15	Operating Funds-General	Michael Jednak, AVP Facilities Operations & Building Services	\$20,586,612	\$11,759,495	\$0	Natural gas for heating, cooling, and power generation for the Central Utilities and Cogeneration plants on the Storrs campus and for UCH. <b>Amend to increase contract value by \$6,075,768, for a new total contract value of \$42,075,768. Amend to extend contract term by one (1) year, through 08/31/15.</b> Three (3) of four (4) one (1) year extensions remaining.
OFFICE FURNITURE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Red Thread Spaces, LLC (f/k/a BKM Total Office)	UC-03-B092302-5	\$2,685,530 [Contract Value Previously \$24,772,554; Total New Contract Value \$27,458,084]	05/01/03-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$23,854,497	\$1,875,680	\$1,237,000	System office furniture for all University campuses, including UCH. <b>Amend to increase contract value by \$2,685,530 for a new total contract value of \$27,458,084. Amend to extend contract term by one (1) year, to 04/30/15.</b> Zero (0) of eight (8) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
April 23, 2014

PLUMBING SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	FW Webb Company	UC-10-PL021810	\$0 [Contract Value Previously \$1,300,000; Contract Value Remains the Same]	07/01/10-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$605,297	\$276,972	\$229,416	Plumbing equipment and supplies for all University campuses, including UCH. Contract value remains the same. <b>Amend to extend contract term by one (1) year, through 06/30/15.</b> One (1) of three (3) one (1) year extensions remaining.
2	The Granite Group Wholesalers LLC (d/b/a Shetucket Plumbing Supply)	UC-10-PL021810	\$397,397 [Contract Value Previously \$3,500,000; Total New Contract Value \$3,897,397]	07/01/10-06/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,754,419	\$672,363	\$941,244	Plumbing equipment and supplies for all University campuses, including UCH. <b>Amend to increase contract value by \$397,397, for a new total contract value of \$3,897,397. Amend to extend contract term by one (1) year, through 06/30/15.</b> One (1) of three (3) one (1) year extensions remaining.
SATELLITE TV PROGRAMMING AND MAINTENANCE SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
2	Lamont Digital Systems (d/b/a/ Campus Televideo)	UC-PG022009	\$0 [Contract Value Previously \$3,000,000; Contract Value Remains the Same]	12/01/09-09/30/14	Multiple Sources	Michael Mundrane, Ph.D., Vice Provost and Chief Information Officer	\$690,294	\$151,348	\$149,350	Maintenance services for cable television system, and provision of satellite television programming, to the University's Storrs campus. Contract value remains the same. <b>Amend to extend term by three (3) months, through 09/30/14.</b> Zero (0) of two (2) one (1) year extensions remaining.
SOFTWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	InfoEd International, Inc.	43462	\$1,426,389 [Contract Value Previously \$923,900; Total New Contract Value \$2,350,289]	07/01/10-06/30/19	Research Foundation Fund	Jeff Seemann, Ph.D., Vice President for Research	\$720,980	\$239,377	\$0	Maintenance of the University's existing Electronic Research Administration Systems; implementation, training, and maintenance for additional modules for the system; and project management and consulting services to assist with merging the Storrs and UCH systems. <b>Amend to increase contract value by \$1,426,389, for a new total contract value of \$2,350,289. Amend to extend contract term by a five (5) year extension, through 6/30/19.</b> Zero (0) extensions remaining.
REVENUE AGREEMENT										
LICENSING										
No.	Contractor	Contract No.	Term	Revenue Recipient	Program Director	Purpose				
1	The Collegiate Licensing Company	UC-14-KJ111513	10/01/14-09/30/24	Various Athletic Programs	Warde Manuel, Director of Athletics	Management, marketing, and licensing of various University trademarks. Anticipated revenue is \$600,000 per year. This is the result of a publicly advertised RFP. Zero (0) options to extend.				

CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
April 23, 2014

PROPERTY LEASE AGREEMENTS						
UNIVERSITY AS LANDLORD						
No.	Tenant	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Mansfield Training School/District 1199 Day Care Center, Inc., d/b/a Willow House Preschool and Early Learning Center	\$5,100	06/01/14-05/31/15	Operating Fund - General	Gregory J. Weidemann, Dean and Director, College of Agriculture and Natural Resources	Renewal of a commercial lease for the building known as Willow House Cottage on the grounds of the University of Connecticut, Depot campus. Rent will be \$425 per month, and the renewal is for a one year term. The building is used by the tenant for a childcare and preschool operation which is a resource for the University community.
UNIVERSITY AS TENANT						
No.	Tenant	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	NERAC, Inc.	\$1,800, plus occasional daily use fee for conference room	05/01/14-04/30/15	Operating Fund - General	John A. Elliott, Dean, School of Business	New lease of an office and use of a conference room at One Technology Drive in Tolland, Connecticut. Rent will be \$150/month for Room #32, and includes the use of a small conference room. A larger conference room will be available on an as-needed basis for \$150/day. This term is for one year, with the potential for a one-year renewal. The space being used by the Connecticut Small Business Development Center.
OTHER AGREEMENTS FOR APPROVAL						
No.	Provider	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Campus Associates LP d/b/a Nathan Hale Inn & Conference Center	\$1,017,504	08/15/14-05/15/17	Student Fee Revenue	Michael Gilbert, Executive Vice President for Student Affairs	Room reservation agreement to accommodate 142 students in 48 rooms at the Nathan Hale Inn for the Fall 2014, 2015 & 2016 semesters and the Spring 2015, 2016 & 2017 semesters. There will be no occupancy during the summer. No interest in real estate is created by this contract. Annual amount payable may increase if University Rate 2 increases.



## **ATTACHMENT 2**

**PROMOTION AND TENURE RECOMMENDATIONS**  
**UNIVERSITY OF CONNECTICUT – COLLEGE OF AGRICULTURE AND NATURAL RESOURCES**  
**PRESENTED TO THE BOARD OF TRUSTEES – April 23, 2014**

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**PROMOTION TO PROFESSOR**

Sylvain De Guise

Pathobiology & Veterinary Science

**PROMOTION TO ASSOCIATE PROFESSOR & TENURE**

Richard Anyah

Natural Resources & the Environment

Ock Chun

Nutritional Sciences

Paulo Verardi

Pathobiology & Veterinary Science

**PROMOTION TO ASSOCIATE PROFESSOR IN RESIDENCE (NON-TENURE TRACK)**

Judith Brown

Allied Health Sciences

**PROMOTION TO ASSOCIATE EXTENSION EDUCATOR (NON-TENURE TRACK)**

David Dickson

Extension

Michael Dietz

Extension

**PROMOTION TO ASSISTANT EXTENSION PROFESSOR (NON-TENURE TRACK)**

Steven Rackliffe

Plant Science & Landscape Architecture

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – SCHOOL OF BUSINESS  
PRESENTED TO THE BOARD OF TRUSTEES – April 23, 2014**

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**PROMOTION TO PROFESSOR**

Lucy Gilson

Management

**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

David Souder

Management

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – NEAG SCHOOL OF EDUCATION  
PRESENTED TO THE BOARD OF TRUSTEES – April 23, 2014**

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**PROMOTION TO PROFESSOR**

Jennifer Bruening	Educational Leadership
Michael Coyne	Educational Psychology
Joseph Madaus	Educational Psychology
D. Betsy McCoach	Educational Psychology

**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

Morgaen Donaldson	Educational Leadership
Natalie Olinghouse	Educational Psychology
Anjana Bhat	Kinesiology

**PROMOTION TO ASSOCIATE CLINICAL PROFESSOR (NON-TENURE TRACK)**

Sandra Billings	Curriculum & Instruction
Susan Payne	Curriculum & Instruction

**PROMOTION TO ASSISTANT CLINICAL PROFESSOR (NON-TENURE TRACK)**

Maryclaire Sullivan-Capetta	Kinesiology
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**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – SCHOOL OF ENGINEERING  
PRESENTED TO THE BOARD OF TRUSTEES – April 23, 2014**

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**TENURE AS PROFESSOR**

Prabhakar Singh

Materials Science & Engineering

**PROMOTION TO PROFESSOR**

Mohammad Tehranipoor

Electrical & Computer Engineering

Michael Renfro

Mechanical Engineering

**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

Jeffrey McCutcheon

Chemical & Biomolecular Engineering

Chengyu Cao

Mechanical Engineering

Tianfeng Lu

Mechanical Engineering

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT - SCHOOL OF LAW  
PRESENTED TO THE BOARD OF TRUSTEES – APRIL 23, 2014**

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**PROMOTION TO PROFESSOR AND TENURE**

Jill Anderson

**PROMOTION AND TENURE RECOMMENDATIONS**  
**UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES**  
**PRESENTED TO THE BOARD OF TRUSTEES — April 23, 2014**

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**TENURE AS PROFESSOR**

Alexander Tartakovsky	Statistics
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**TENURE AS ASSOCIATE PROFESSOR**

Douglas Adamson	Chemistry
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**PROMOTION TO PROFESSOR**

Natalie Munro	Anthropology
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Eleni Coundouriotis	English
Lisa Sanchez	English

Sherri Olson	History
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Norma Bouchard	Literatures, Cultures & Languages
Jacqueline Loss	Literatures, Cultures & Languages

Charles Giardina	Molecular and Cell Biology
Joerg Graf	Molecular and Cell Biology

Thomas Blum	Physics
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James Chrobak	Psychology
Vicki Magley	Psychology
James Magnuson	Psychology

Vladimir Pozdnyakov	Statistics
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**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

Yao Lin	Chemistry
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Mark Urban	Ecology and Evolutionary Biology
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Mikhael Shor	Economics
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Pamela Bedore	English
Serkan Gorkemli	English
Kathy Knapp	English
Ellen Litman	English
Shawn Salvant	English
Christopher Vials	English

Carol Atkinson-Palombo	Geography
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Kari Adamsons	Human Development & Family Studies
Rachel Tambling	Human Development & Family Studies

Philip Balma	Literatures, Cultures & Languages
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**PROMOTION AND TENURE RECOMMENDATIONS**  
**UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES**  
**PRESENTED TO THE BOARD OF TRUSTEES — April 23, 2014**

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**PROMOTION TO ASSOCIATE PROFESSOR & TENURE (cont'd)**

Christophe Dupraz	Marine Sciences
Iddo Ben-Ari	Mathematics
Alvaro Lozano-Robledo	Mathematics
Nathan Alder	Molecular and Cell Biology
Marcus Rossberg	Philosophy
Menka Jain	Physics
Anastasios Tzingounis	Physiology & Neurobiology
Matthew Singer	Political Science
Charles Venator Santiago	Political Science
Yu Zheng	Political Science
Till Frank	Psychology
Deneen Hatmaker	Public Policy
Andrew Deener	Sociology
Tammie Spaulding	Speech, Language & Hearing Sciences

**PROMOTION TO ASSOCIATE PROFESSOR**

Steven Smith	Journalism
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**PROMOTION TO ASSOCIATE PROFESSOR IN-RESIDENCE (NON-TENURE TRACK)**

John-Andrew Jolly-Ballantine	Geography
Gail MacDonald	Journalism

**PROMOTION TO CLINICAL PROFESSOR (NON-TENURE TRACK)**

Marianne Barton	Psychology
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**PROMOTION TO LECTURER II (NON-TENURE TRACK)**

Christine Hare	Speech, Language & Hearing Sciences
Terry Yanaway	Speech, Language & Hearing Sciences



**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – UNIVERSITY LIBRARIES  
PRESENTED TO THE BOARD OF TRUSTEES – APRIL 23, 2014**

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**MISCELLANEOUS PROMOTIONS**

Joel Atkinson	FROM: University Librarian I	TO: University Librarian II
Jennifer Lanzing	FROM: University Librarian I	TO: University Librarian II
Joelle Thomas	FROM: University Librarian I	TO: University Librarian II

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – SCHOOL OF NURSING  
PRESENTED TO THE BOARD OF TRUSTEES – April 23 2014**

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**PROMOTION TO ASSOCIATE PROFESSOR & TENURE**

Xiaomei Cong

**TENURE AS ASSOCIATE PROFESSOR**

Thomas Van Hoof

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT - SCHOOL OF PHARMACY  
PRESENTED TO THE BOARD OF TRUSTEES – April 23, 2014**

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**Effective June 1, 2014:**

**TENURE AS PROFESSOR**

James Halpert

Pharmaceutical Sciences

**Effective August 23, 2014:**

**PROMOTION TO ASSOCIATE CLINICAL PROFESSOR (NON-TENURE TRACK)**

Thomas Buckley

Pharmacy Practice

Kevin Chamberlin

Pharmacy Practice

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – SCHOOL OF SOCIAL WORK  
PRESENTED TO THE BOARD OF TRUSTEES – April 23, 2014**

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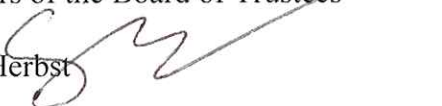
**PROMOTION TO PROFESSOR**

Nina Rovinelli Heller

## **ATTACHMENT 3**

April 23, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Appointment of Dr. Richard Sosis to the James Barnett Professorship in Humanistic Anthropology in the College of Liberal Arts and Sciences

RECOMMENDATION:

That the Board of Trustees approve the appointment of Dr. Richard Sosis to the James Barnett Professorship in Humanistic Anthropology in the College of Liberal Arts and Sciences.

BACKGROUND:

The James Barnett Professorship in Humanistic Anthropology was endowed in 2006 by Professor Dennison Nash for the purpose of supporting “a nationally recognized researcher, scholar and teacher” who “will have made significant contributions to the field of anthropology.” The position is stipulated as a joint appointment between the Department of Anthropology in CLAS and the University of Connecticut Humanities Institute. It is a five-year appointment with the potential for subsequent renewal.

Professor Richard Sosis is an internationally known anthropologist who was recruited to UConn in 1998 from the University of New Mexico. He is a cultural anthropologist who has published over 50 papers in cultural anthropology and religion, and he has supervised six Ph.D. students and served on dissertation committees of more than 30 additional graduate students. He is the co-founder and co-editor of *Religion and Brain Behavior* (a peer-reviewed scholarly journal), and the editor of the religion section of the online magazine *Evolution: This View of Life*. His research has received nearly \$1.5 million in funding by the National Science Foundation, John Templeton Foundation, U.S.-Israel Binational Foundation, Russell Sage Foundation, Canadian Social Science Research Council, and European Social Science Research Council, among others. He has also held the Center of Theological Inquiry Research Fellowship at Princeton.

Professor Sosis will be the first holder of this named professorship.

The Board of Trustees established the James Barnett Professorship on March 26, 2014.

## **ATTACHMENT 4**

April 23, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst

RE: Appointment of Dr. Brenton Graveley to the John and Donna Krenicki Endowed Professorship in Genomics and Personalized Healthcare in the Institute for Systems Genomics

RECOMMENDATION:

That the Board of Trustees approve the appointment of Dr. Brenton Graveley to the John and Donna Krenicki Endowed Professorship in Genomics and Personalized Healthcare in the Institute for Systems Genomics.

BACKGROUND:

An endowment fund to support the John and Donna Krenicki Endowed Professorship in Genomics and Personalized Healthcare was created by John and Donna Krenicki with an outright gift of \$750,000 to the UConn Foundation.

Dr. Brenton Graveley has established himself as a preeminent leader in the field of genomics. He has played a major role in modENCODE, a project that significantly enhanced our understanding of the Drosophila genome through the identification thousands of new genes, non-coding RNAs, alternative splicing events, and RNA editing sites. Dr. Graveley has recently built on the cutting-edge expertise developed in model organisms and successfully competed for a \$9.3M NIH human ENCODE genomics award. As a result, he is currently one of seven leaders of the ENCODE project focused on identifying all of the functional elements in the human genome, with a specific focus on RNA elements. He is a unique investigator in his utilization of both experimental and computational approaches to provide insight into a wide variety of biological processes. Dr. Graveley is a superb scientist; extremely productive and international renown. These qualities place him at the very top of the field of Genomics, and position him to be a pioneering leader in the emerging field of Personalized Medicine.

John Krenicki is a 1984 UConn Engineering alumnus, and has also received an honorary Doctor of Science degree from UConn in 2007. He is currently Senior Operating Partner at Clayton, Dubilier & Rice, LLC. Donna Krenicki is a 1984 Fine Arts alumna and serves on the UConn Foundation Board of Directors. In 2007, the Krenickis established the Donna Samson Krenicki Fund for Fine Arts, which provides financial support for the programmatic enhancements within the graphic design studio programs and for activities with the Department of Art and Art History at UConn's School of Fine Arts. In 2011, they also created the John and Donna Krenicki Professorship in Biomedical Engineering which provides support for a named Professorship's research and academic activities within the School of Engineering.

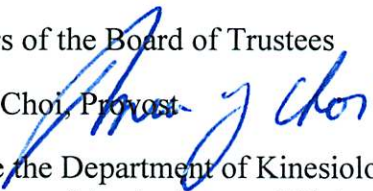
The Board of Trustees established the John and Donna Krenicki Endowed Professorship in Genomics and Personalized Healthcare on November 13, 2013.



## **ATTACHMENT 5**

April 23, 2014

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Relocate the Department of Kinesiology from the Neag School of Education to the College of Agriculture and Natural Resources

RECOMMENDATION:

That the Board of Trustees approve the relocation of the Department of Kinesiology from the Neag School of Education to the College of Agriculture and Natural Resources.

BACKGROUND:

The College of Agriculture and Natural Resources' (CANR) goal is to connect food, people, and health in a manner that is economically viable and environmentally sustainable. The teaching, research and outreach programs of the Department of Kinesiology align more closely to those in CANR than to those in the Neag School of Education. Four academic and clinical domains exist in Kinesiology: Athletic Training, Doctor of Physical Therapy (DPT), Exercise Science undergraduate and graduate programs, and the Nayden Clinic. These programs will strengthen existing human health and athletic nutrition programs (e.g., Allied Health Science; Nutritional Sciences) and complement agriculture, food and environmental sciences efforts focused on achieving this goal.

The Department of Kinesiology is expected to continue operating as it has in the past. All undergraduate, graduate and professional programming is anticipated to remain unchanged. Pre-kinesiology majors are expected to continue entering through the Academic Center for Exploratory Students major (ACES).

Funds budgeted to support the Department of Kinesiology will be transferred from the Neag School of Education to the College of Agriculture and Natural Resources. Any remaining budgetary needs will be addressed through the normal annual budget development process by the Provost's Office.

In 2006, the School of Allied Health was disbanded. Members of the Physical Therapy program were transferred to the Department of Kinesiology in the Neag School of Education while faculty members in allied health formed a new department in the College of Agriculture and Natural Resources.

## **ATTACHMENT 6**

April 23, 2014

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost  
RE: Rename the College of Agriculture and Natural Resources to the College of Agriculture, Health and Natural Resources

RECOMMENDATION:

That the Board of Trustees approve the renaming of the College of Agriculture and Natural Resources to the College of Agriculture, Health and Natural Resources.

BACKGROUND:

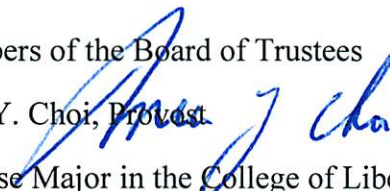
Faculty members of the College of Agriculture and Natural Resources (CANR) recognize that teaching, research and outreach programs in health have evolved along with those in sustainable agriculture systems and environmental sustainability to form the College's disciplinary foci. As such, they have approved the proposal to rename the College to the College of Agriculture, Health and Natural Resources (CAHNR).

Any funding necessary for this change (e.g., printing of materials with new name) is considered minimal and will be handled within the College's normal budget.

The College last changed its name in 1969 from the College of Agriculture to the College of Agriculture and Natural Resources.

## **ATTACHMENT 7**

April 23, 2014

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost   
RE: Chinese Major in the College of Liberal Arts and Sciences

RECOMMENDATION:

That the Board of Trustees approve the Chinese Major in the College of Liberal Arts and Sciences.

BACKGROUND:

With China's increasing role in global economy, politics and culture, Chinese Studies has received great interest among both scholars and second language learners. The discipline has been breaking away from the traditional Area Studies framework born out of the Cold War, evolving into a vibrant interdisciplinary field that studies Chinese language, literature, linguistics, and history.

The Bachelor's of Arts degree in Chinese will allow students to gain an understanding of the extraordinary complexity of what we call "Chinese," sharpening their awareness of the diversity of human and cultural experience and develop a global mentality. The Chinese major will help students grow into sophisticated and compassionate global citizens and position them to pursue a broad range of academic and professional careers in an increasingly diverse and globalized environment.

Additional resources are not anticipated to deliver this academic program.



Item: **Chinese, leading to the Bachelor of Arts (B.A.) Degree**

### **Background & Description**

The proposed bachelor's degree program in Chinese will allow students to gain an understanding of the extraordinary complexity of what we call “Chinese,” sharpen their awareness of the diversity of human and cultural experience and develop a global mentality. Comparative and interdisciplinary approaches to teaching and research have been gaining increasing importance in the field. Diversity, complexity, interculturalism, social justice, historicity and globalization are some of the core concepts integral to the Chinese program. Core questions include but are not limited to: What does “Chinese” mean? Was and is there only one Chinese language, literature, history, identity, ethnicity and culture? How did Chinese culture come about, through isolated linear development, or complicated global inter-pollination of diverse cultures? What is the relation of China with the world, including other Chinese-speaking countries, regions and communities? What are the critical issues China has been facing with in its century-long project of modernization and what are the main issues now? Through explorations of the above questions and more, the Chinese major helps students to grow into sophisticated and compassionate global citizens and positions them to pursue a broad range of academic and professional careers in an increasingly diverse and globalized environment.

### **Reasons for the Proposed Program/Modification/Discontinuation**

With China’s increasing role in global economy, politics and culture, Chinese Studies has received great interest among both scholars and second language learners. The discipline has been breaking away from the traditional Area Studies framework born out of the Cold War era and evolved into a vibrant interdisciplinary field that studies Chinese language, literature, linguistics, sociology, history, politics, economy and other aspects of Chinese culture in not only the local and national, but also the global context.

### **Curriculum & Program Outline**

To complete the B.A. in Chinese, students must meet the minimum graduation requirements for UConn, including completion of 120 credits, 36 of which must be numbers 2000 or above, including 24 credits in Chinese and 12 credits of related courses from programs other than Chinese; completion of the CLAS general education and concentration requirements; a minimum of 12 major credits must consist consists of Chinese courses taken in residence; completion of major requirements; and earn a cumulative grade point average of at least 2.0 and 2.0 in the courses presented in satisfaction of the major requirements. Only 6 may be transfer credits. AP credits may not be used toward the major.

#### *Requirements for the Major in Chinese*

Requirements	Credits
Required courses	24
Related courses	12
Total	36

Chinese majors much complete a minimum of twelve courses:

A: Four language courses from the following:

- CHIN 3210: Chinese Composition and Conversation I
- CHIN 3211: Chinese Composition and Conversation II
- CHIN 3220: Business Chinese
- CHIN 3240: Contemporary Chinese Film
- CHIN 3250W: Advanced Chinese
- CHIN 3260: Contemporary Chinese Culture

B. Four content courses from the following:

- CHIN 3220: Business Chinese
- CHIN 3230: Language & Identity in Greater China
- CHIN 3250W: Advanced Chinese
- CHIN 3260: Contemporary Chinese Culture
- CHIN 3270: Chinese Film
- CHIN 3271: Topics in Chinese Literature
- CHIN 3282: Women in Chinese Literature and Film

C. Four related courses from the following:

- HIST 3822: Modern China
- HIST 3832: Modern Japan
- HIST 3863: War and Diplomacy in East Asia
- HIST/AASI 3808: East Asia to the Mid-Nineteenth Century
- HIST/AASI 3809: East Asia since the Mid-Nineteenth Century
- POLS 3245: Chinese Government and Politics
- PHIL 3264: Classical Chinese philosophy and Culture
- AASI 3201: Introduction to Asian American Studies
- AASI 3220: Asian American Art and Visual Culture
- AASI 3221: Sociological Perspectives on Asian American Women
- AASI/ENGL 3212: Asian American Literature
- SOCI 2827: Revolutionary Social Movements around the World
- DRAM 2131: History of Drama II

Or any other related courses from programs other than Chinese, with the advisor's consent.

Enrollment in a study abroad program in a Chinese-speaking country is required for all Chinese majors. With advisor's consent, any of the above courses may be replaced by an appropriate study abroad course. Up to 12 credits taken in study abroad programs may count toward the major. Students can enroll in either UConn-sponsored or non-UConn-sponsored programs. In either case, students must consult with the advisor to determine which courses will receive credits.

To satisfy the Information Literacy Competency and Writing in the major requirements, all students must take CHIN 3250W.

### **Anticipated Completions**

Currently, 5 students are pursuing individualized major programs of Chinese that closely mirror the curriculum for the B.A. in Chinese. 8-10 other students have been taking courses according to the curriculum as well for the past few years.



**Learning Outcomes**

The anticipated Student Learning Outcomes in the Chinese Program will enable students to:

- a. Develop communicative competence in Chinese language, prepare them for effective intercultural communication and train them academically and professionally by integrating diverse aspects of Chinese culture (such as language, literature, film, politics, economy, history and society) into language learning;
- b. Understand major issues in Chinese Studies from a variety of perspectives (such as gender, class, identity, ethnicity, environment, history, politics, globalization and cultural diversity) and disciplines (such as literature, linguistics, history, women's and gender studies, film studies and cultural studies), sharpen critical thinking and analytical skills, develop interdisciplinary and comparative approaches in study and research, cultivate an awareness of diversity in human experience and culture, develop intercultural competence and expand their global perspectives;
- c. Further expand students' interdisciplinary and comparative perspectives into Chinese language, history and culture, and better prepare them for further academic and professional careers in an increasingly diverse and globalized environment.
- d. Achieve success in an increasingly diverse and global society.

**Enrollment & Graduation Projections**

The University expects 10 students to enter into the major each year, with 10 students in the first year, 20 students (total) enrolled in the second year, and 30 students (total) enrolled in the third.

**Financial Resources**

The University does not anticipate additional financial resources will be required to deliver this academic program.

**Facilities//Equipment/Library/Special Resources***Library and Learning Resources*

The University does not anticipate that additional library resources will be required to deliver this academic program.

*Facilities and Equipment*

Most courses that comprise the program are already offered. The University does not anticipate that additional facilities and equipment will be required to deliver this academic program.

**Program Administration**

Professor Liansu Meng and Professor Chunsheng Yang are the program advisors for the proposed program.

**Faculty**

Faculty members teaching in the program are mainly from the Department of Literatures, Cultures and Languages. Some selective courses are offered by faculty in other departments. All faculty hold terminal degrees.

Name and title	Degree	Full time or Part time	<i>Area of Specialization</i>
Assistant Professor Liansu Meng	Ph.D.	Full Time	<i>Chinese</i>
Assistant Professor Chunsheng Yang	Ph.D.	Full Time	<i>Chinese</i>
Visiting Assistant Professor Hsu-Te Cheng	Ph.D.	Full Time	<i>Chinese</i>
Associate Professor Cathy Schlund-Vias	Ph.D.	Full Time	<i>English/Asian American Studies</i>
Professor Peter Zarrow	Ph.D.	Full Time	<i>History</i>
Assistant Professor Victor zatsepine	Ph.D.	Full Time	<i>History</i>
Assistant Professor Yan Geng	Ph.D.	Full Time	<i>Art History</i>
Assistant Professor Meina Cai	Ph.D.	Full Time	<i>Political Sciences</i>

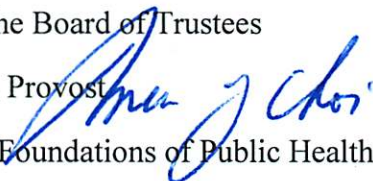
**Similar Programs in Connecticut or Region**

The following colleges/universities in Connecticut offer a Chinese major or East Asian Studies major with China Concentration:

- a. Yale: Chinese major
- b. Connecticut College: East Asian studies major with China concentration
- c. Trinity College: Chinese major
- d. Wesleyan University: East Asian studies major

## **ATTACHMENT 8**

April 23, 2014

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost   
RE: Certificate in Foundations of Public Health

RECOMMENDATION:

That the Board of Trustees approve the Certificate in Foundations of Public Health.

BACKGROUND:

The Certificate in Foundations of Public Health (CFPH) conforms to a national priority to train the public health workforce and encourage education of persons from under-represented communities.

The certificate will offer opportunities for individuals to get training in public health who are unable to commit to the 48-credit Master's in Public Health (MPH) program. No certificate program in public health exists at UConn or within the State of Connecticut. Public health certificate programs focused on non-degree students are available nationwide at many schools of public health.

Successful completion of the CFPH as a professional credential will signify the relevance of public health sciences within an individual's academic or professional career portfolio. Those completing the certificate requirements will be eligible to apply to the MPH or other graduate degree programs.

The CFPH is comprised of four required courses (12 credits). Enrolled students can attend on a part-time or full-time basis. The exceptional student will be able to complete all requirements for the certificate in one year; the typical student will complete requirements within two years. Students will have a maximum of 3 years to complete the certificate program.

Students enrolling in this program will pay all customary graduate school tuition and fees. A projected admission of 8-11 enrollments per year, and sustained enrollment of 16-22 students per year is expected.

Item: **Certificate in Foundations of Public Health (CFPH)**

### **Background & Description**

A competent and comprehensive public health workforce is a priority for an effective national health care delivery system.<sup>i</sup> Our need for trained public health workers will increase over the next decade to address shortfalls arising from an aging workforce (100,000 or 25% of current workers are already eligible to retire) and expectations of the Affordable Care Act to strengthen partnerships among health care delivery systems and health/social service agencies.<sup>ii</sup> This national shortage of public health practitioners (the IOM estimates schools and programs of public health need to double their numbers of graduates) is dwarfed by the critical need for trained and available workers worldwide.

UConn has offered a 48-credit accredited (Council on Education for Public Health) MPH degree since 1984, during which time it has awarded 769 degrees. Our mission is to prepare individuals who are/will engage in public health practice to achieve the recognized standard for leadership in the field through an exemplary academic experience that integrates theory with practice. Our students, alumni faculty and field preceptors have and do perform significant work within our governmental and private health care delivery system.

The UConn Certificate in Foundations of Public Health (CFPH) will address workforce development and complement our MPH degree program through a 12-credit option that introduces students to the core disciplines of public health. Students will be recruited from three domains. First, we seek individuals already working in local and regional public health services who lack formal training in the discipline. The CDC has estimated that 4 of 5 state and local workers have no formal public health training.<sup>iii</sup> In cooperation with the Connecticut Department of Public Health, our program has encouraged DPH employees to earn graduate public health credits. To date, 23 individuals have completed 12 or more graduate credits apart from formal enrollment in a graduate degree program. Of those 23 individuals, 11 persons continued in the program and received their MPH.

Second, we seek interdisciplinary learners who have previously completed, or are in the process of earning, graduate degrees in medicine, dental medicine, law, nursing, social work, pharmacy or business. Such “dual discipline” candidates frequently pursue public health coursework and/or degrees. The opportunity to earn a public health certificate would be attractive to individuals unable to complete all requirements for an MPH degree. Since 1995, our program has offered dual degree options for the MD/MPH (44 graduates and 19 active in program), DMD/MPH (2 graduates and 1 active in program), JD/MPH (5 graduates), MSN/MPH (6 graduates), MSW/MPH (11 graduates and 4 active in program), and PharmD/MPH (2 active students). We anticipate similar, or greater, interest among students from these disciplines for the certificate option.

Third, we seek individuals who might consider matriculating toward the MPH degree after completing a number of core courses as a certificate student. Persons unfamiliar with the field of study or without sufficient background to be admitted to the Graduate Program, as well as those

uncertain about their ability to complete program requirements would have opportunity to consider the appropriateness/challenges of a degree while completing certificate requirements. We expect that establishing this certificate program will enhance recruiting for both the MPH and PhD programs in Public Health at the University.

Successful completion of the CFPH as a professional credential will signify the relevance of public health sciences within an individual's academic or professional career portfolio. Those completing the certificate requirements will be eligible to apply to the MPH or other graduate degree programs and may be able to apply that experience toward credit reductions/waiver of program requirements.

### **Reasons for the Proposed Program/Modification/Discontinuation**

The CFPH conforms to a national priority to train the public health workforce and encourage education of persons from under-represented communities. The CFPH will help to address this need and fill the gap in educational programs available to minorities.

The certificate will offer opportunities for individuals to get training in public health who are unable to commit to the 48-credit MPH program. No certificate program in public health exists at UConn or within the State of Connecticut. Public health certificate programs focused on non-degree students are available nationwide at many schools of public health (e.g., Johns Hopkins, Georgia State, Arizona, Loma Linda, etc.).

### **Curriculum & Program Outline**

The CFPH will require satisfactory completion of two required 3-credit courses:

PUBH 5408 Epidemiology and Biostatistics I (3 credits) This is the first of a two-course sequence introducing students to concepts and methods of epidemiology, biostatistics and public health research. Topics include nature of variation, common probability distributions, causal reasoning, control of bias and confounding, descriptive and analytic design of observational and experimental studies, principles of disease screening and clinical efficacy.

PUBH 5409 Epidemiology and Biostatistics II (3 credits) This continuation of a two-course sequence on basic epidemiology, biostatistics and public health research addresses hypothesis generation, data collection methods, point and confidence interval estimation, inference testing, correlation/regression analysis, multivariable interaction, effect modification, power and meta-analysis. Evaluation of study design, research methods and statistical procedures in clinical and public health literature will be stressed.

[Note: The requirement for PUBH 5408 and 5409 will be waived, with replacement, for individuals with appropriate prior coursework on these topics (e.g., medical school students/graduates, non-degree students with prior graduate course credit, etc.). With permission of the program director, intermediate epidemiology and biostatistics electives may be substituted (e.g., PUBH 5434-Topics in Intermediate Biostatistics, PUBH 5435-Statistical Methods in

Epidemiology, PUBH 5436-Intermediate Epidemiology, PUBH5438-Investigation of Disease Outbreaks, PUBH 5439-Cancer Epidemiology).

In addition, the CFPH will require satisfactory completion of two selective courses, as determined by student interest, from the following options:

PUBH 5403 Health Administration (3 credits) Examination of past, present and proposed approaches to the organization and management of health care services. Emphasis is on the role and functioning of the manager and the evolution of health care policy and trends as they affect managerial roles.

PUBH 5404 Environmental Health (3 credits) Explores the policy, political and public health implications of such issues as air pollution, drinking water, exposure to hazardous chemicals, indoor air pollution, food protection, lead poisoning, housing, international issues, etc. Provides the student with some basic technical information and familiarity with terms for a better understanding of policy and political decisions and health effects of environmental exposures.

PUBH 5405 Social and Behavioral Foundations of Public Health (3 credits) An introductory survey emphasizing basic social science concepts in the analysis of public health including orientations toward health, disease and health care, the origins and distribution of health care resources and the role of social movements and research in improving public health.

PUBH 5406 Law and Public Health (3 credits) An introduction to the American legal system as it relates to public health. Sessions define the unique characteristics of public health law, explore federal and state powers within public health, and examine the limits on governmental power posed by the Constitution, various laws, and public health ethics. Exercises and assignments focus on issues of population health faced by the federal government, states and municipalities every day.

### **Certificate Program Assessment**

Students admitted to the CFPH program will be asked to evaluate courses online in a manner similar to that used by our matriculating graduate students. The public health program administers anonymous online questionnaires for all courses at the end of each academic semester. The questionnaire consists of detailed Likert-scale items, combined with open-ended questions, and is sent via email using an online software program, Survey Gizmo. The questionnaire includes an evaluation of the instructor, course contents and learning environment. At the end of each semester, before the questionnaire is sent, it is reviewed for appropriateness by program administration and the curriculum committee to determine if changes in format and/or substance are necessary. At the beginning of each course evaluation, the student will be asked to self-identify as an MPH, PhD, dual-degree, certificate program or other.

Course evaluation results are submitted to the curriculum committee for review. If the curriculum committee feels that the evaluation warrants further review, program administration is provided a report with findings and suggestions for improvement.

**Eligibility to Enter Matriculating Status**

Individuals interested in the MPH or PhD in public health following completion of the certificate, upon application and admission to the degree program, will be able to apply earned course credits with grades of B and better to these graduate degrees.

**Admission and Advising**

Students must apply to the proposed certificate program using the University's online application. Applications may be submitted at any time during the year and will be reviewed by the Program's Coordinators Committee at quarterly intervals.

With approval of this proposal, we will establish a CFPH committee that will be responsible for monitoring overall direction and impact on individuals, the program and our department. The initial members of the committee will be David Gregorio (Program Director), Jane Ungemack (Dual-Degree Coordinator), Tom Babor (Department Chair) and Morgan Spencer (Program Coordinator).

Applicants for the certificate will be evaluated regarding their academic ability to complete course requirements (e.g., prior GPA), commitment to the health of the community (e.g., prior work and volunteer experiences) and goals (e.g. anticipated benefit of certificate on career). The certificate application includes official transcripts from all post-secondary schools, a CV or resume, a personal letter to include experience and goals, and one letter of recommendation. Applicants are required to have a baccalaureate degree (or international equivalent), with a minimum 2.6 cumulative undergraduate GPA, from an accredited college or university. Individuals who fail to meet this GPA threshold will be advised on ways to meet minimum requirements before enrolling in the certificate program. Students admitted to the certificate program must begin the program within one year of admission unless granted a deferral by the Program Director.

International applicants are required to submit TOEFL or IELTS scores (within the past five years).

Per Graduate School policy, applicants do not need a 3.0 cumulative GPA for admission, but must maintain a 3.0 GPA in certificate courses to earn the certificate.

**Learning Outcomes**

At the completion of the CFPH, students will be able to:

- Use applicable statistical methods for critical reading of public health literature and reports
- Apply the basic concepts of epidemiology to the study of disease patterns and injury applied to public health
- Understand the role of environmental factors affecting the health of a population
- Identify the social, behavioral, and cultural factors related to population health



At the completion of PUBH 5408 & 5409 – Epidemiology & Biostatistics I and II, students will be able to:

1. Understand basic concepts of descriptive and analytic epidemiology
2. Recognize a public health approach to disease investigations
3. Ability to critically read and interpret public health literature
4. Understand descriptive and inferential statistics and the differences between them
5. Critically read the public health literature to assess study strengths and weaknesses
6. Analyze and interpret data using Excel worksheets and the Statistical Package for the Social Sciences

At the completion of the optional course in Health Administration (PUBH 5403), students will be able to:

1. Identify vital statistics and other key data sources for describing socioeconomic conditions and health status of communities
2. Identify the main components and issues of an organization's financing and delivery of public health services in CT and the US
3. Explain methods of ensuring community health, safety and preparedness
4. Demonstrate leadership and coalition building strengths in developing and advocating for effective policy and public health
5. Apply evidence-based principals and scientific knowledge base to critical evaluation and decision making in public health
6. Use appropriate modalities, channels and technology effectively to communicate public health information to lay and professional audiences

At the completion of the optional course in Environmental Health (PUBH 5404), students will be able to:

1. Understand and assess environmental exposures, including those from water, food and air
2. Understand and assess risks from environmental agents, including those in water, food and air
3. Demonstrate knowledge of interactions between environmental agents and human metabolism
4. Understand ethical perspectives and practice in all topic areas of environmental health

At the completion of the optional course in Social and Behavioral Foundations of Public Health (PUBH 5405), students will be able to:

1. Understand social and behavioral determinants of health, illness, and health care utilization
2. Understand and apply theories of health behavior change to promote health
3. Understand the interrelationships between theory, research, and practice in public health

At the completion of the optional course in Law and Public Health (PUBH 5406), students will be able to:

1. Understand the structure and function of the US legal system in general and of state and federal legal systems as they relate to public health
2. Understand the sources and scope of state and federal public health power and the limits imposed by the legal system

3. Understand and describe the role of law in population health and apply legal rules to public health scenarios
4. Discuss common ethical dilemmas faced by public health officials using ethical language and reasoning

**Enrollment & Graduation Projections***Enrollment Projections*

Group 1: Individuals working in local and regional public health services who lack formal training (3-5 per academic year)

Group 2: Interdisciplinary degree students (5-6 per academic year)

Overall: 8-11 enrollments per year

Enrolled students can attend on a part-time or full-time basis. The exceptional student will be able to complete all requirements for the certificate in one year; the typical student will complete requirements within two years. Students will have a maximum of 3 years to complete the certificate program.

**Financial Resources**

Students enrolling in this program will pay all customary graduate school tuition and fees. A projected admission of 8-11 enrollments per year, and sustained enrollment of 16-22 students per year would yield tuition (based on the 2013-14 Tuition rate of \$1908 per 3-credit course) of approximately \$30,508 – \$41,976 in the first year and \$61,056 - \$83,952 in subsequent years. By prior agreement with The Graduate School, all tuition from registration in PUBH-designated courses is returned to the UCHC to underwrite the cost of delivering the public health academic program.

It is expected that the revenue generated by the program enrollments will exceed program-related expenses (i.e. marketing, enrollment management, adjunct faculty compensation, graduate assistants etc.). With the expectation that 8-11 students will be admitted to the proposed certificate per academic year, the need to provide teaching assistants to support program faculty facing increased enrollment will be addressed. Doctoral students serving as teaching assistants will be provided 3-credit tuition waivers as compensation.

**Facilities//Equipment/Library/Special Resources**

Students in the certificate program will have access to UConn library resources and other resources available to all other matriculated students (Blackboard, PeopleSoft, student work/computer stations in the Department of Community Medicine, etc.)

**Program Administration**

The CFPH will be administered using existing Public Health Program staff, under the supervision of the UConn Director of Public Health Programs.

**Faculty**

The certificate program will draw on the faculty resources of the Graduate Public Health Programs, which includes 11 primary and 3 adjunct faculty members.

The course instructors/faculty for the certificate program are:

- PUBH 5408 Epidemiology & Biostatistics I – Scott Wetstone, MD, Associate Professor UConn
- PUBH 5409 Epidemiology & Biostatistics II – Scott Wetstone, MD, Associate Professor UConn
- PUBH 5403 Health Administration- Pamela Kilbey-Fox, MPH, Adjunct Professor
- PUBH 5404 Environmental Health- Paul Schur, MPH, Adjunct Professor
- PUBH 5405 Social and Behavioral Foundations of Public Health- Susan Kiene, PhD, Assistant Professor, UConn
- PUBH 5406 Law and Public Health- Zita Lazzarini, JD, MPH, Associate Professor, UConn

**Similar Programs in Connecticut or Region**

There are no certificate programs focused on the Foundations of Public Health within Connecticut. Columbia University offers certificates, but only for public health degree candidates.

**Summary**

The CFPH will meet an important and substantial need in Connecticut and region for formally trained public health practitioners. Individuals pursuing careers with a focus on public health will be afforded opportunity to complete significant coursework and experiential learning on the topic through this certificate option.

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<sup>i</sup> See: <http://www.healthypeople.gov/2020/topicsobjectives2020/overview.aspx?topicId=35>.

<sup>ii</sup> *The Affordable Care Act's Public Health Workforce Provisions: Opportunities and Challenges*, American Public Health Association Center for Public Health Policy Issue Brief (June 2011). At: [http://www.apha.org/NR/rdonlyres/461D56BE-4A46-4C9F-9BA49535FE370DB7/0/APHAWorkforce2011\\_updated.pdf](http://www.apha.org/NR/rdonlyres/461D56BE-4A46-4C9F-9BA49535FE370DB7/0/APHAWorkforce2011_updated.pdf)

<sup>iii</sup> *Fact Sheet: Public Health Infrastructure* (March 2001). Centers for Disease Control and Prevention, Atlanta GA,

## **ATTACHMENT 9**

April 23, 2014

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost   
RE: Graduate Certificate in School Law

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in School Law.

BACKGROUND:

The proposed Graduate Certificate in School Law will provide administrators, educators, and parents with a user-friendly means to understand the legal dimensions of K-12 education. School administrators will be attracted to the certificate because much of their time is spent on legal issues, such as disciplining students, hiring and terminating teachers, engaging in collective bargaining, and maintaining school safety.

Teachers will also benefit from the Graduate Certificate in School Law because they presently receive very little exposure through their pre-service programs or through professional development. As a consequence, it is very likely that teachers will make “wrong” educational decisions in the mistaken belief that they are acting in educationally sound fashion. By educating teachers about how legal concepts apply to the school setting, the Graduate Certificate is designed to help teachers make legal *and* educationally sound decisions in the school setting.

The Graduate Certificate in School Law will also be attractive to parents and educational advocates, because it will enable them to more effectively fight for student rights. These groups spend a great deal of time on legal matters such as special education and student discipline.

The online Graduate Certificate in School Law is comprised of four required courses (12 credits), and it is anticipated that the program will have approximately 40 enrollments per year. This figure is based on approximately 10 students in each of the four courses offered per year. It is expected that the revenue generated by program enrollments will cover expenses incurred. Enrollment will be reserved for students matriculated in the graduate certificate program with seats made available to other matriculated graduate students on the basis of availability. The typical student will be able to complete all requirements for the certificate in one year.

The \$750/credit hour comprehensive fee applies to enrollments in this certificate program.

Item: **Graduate Certificate in School Law**

**Background & Description**

This certificate program is for educators, policy makers, and parents interested in developing expertise in issues of school law. The course work in this part-time program would be offered completely online. The courses would be designed to provide educators, policy makers, and parents with the skills necessary to insure that educational practices in the classroom, school, or district comply with state and federal statutes and regulations and case law.

Topics include:

- Legal issues pertaining to educational technology (e.g., copyright, acceptable use policies, social media, “sexting,” cyberbullying, and virtual education);
- Legal issues pertaining to parent and student rights (e.g., search and seizure, student discipline, speech and religion, and homeless children);
- Legal issues pertaining to special education (e.g., individual educational plans, free and appropriate public education, least restrictive environment, and student discipline); and
- Legal issues pertaining to employment (e.g., teacher termination, collective bargaining, discrimination, speech protection, drug testing)

**Reasons for the Proposed Program/Modification/Discontinuation**

The proposed Graduate Certificate in School Law will provide administrators, educators, and parents with a user-friendly means to understand the legal dimensions of K-12 education. School administrators will be attracted to the certificate because much of their time is spent on legal issues, such as disciplining students, hiring and terminating teachers, engaging in collective bargaining, and maintaining school safety. Teachers will also benefit from the Graduate Certificate in School Law because they presently receive very little exposure through their pre-service programs or through professional development. Noted educational law professor David Schimmel claims that more than 85% of teachers have received no educational law training in their certification programs. As a consequence, it is very likely that teachers will make “wrong” educational decisions in the mistaken belief that they are acting in educationally sound fashion. By educating teachers about how legal concepts apply to the school setting, the Graduate Certificate is designed to help teachers make legal *and* educationally sound decisions in the school setting.

The Graduate Certificate in School Law will also be attractive to parents and educational advocates because it will enable them to more effectively fight for student rights. These groups spend a great deal of time on legal matters such as special education and student discipline. The courses offered in the certificate program will help these groups become more effective advocates for children.

**Curriculum & Program Outline**

The Graduate Certificate in School Law is comprised of four required courses (12 credits):

EDLR 6001: Legal Issues in Student and Parent Rights  
EDLR 6002: Legal Issues in School Employment  
EPSY 5119: Policy, Law and Ethics in Special Education  
EDLR 6004: Legal Issues in Educational Technology

**Learning Outcomes**

At the completion of the program, students will be able to:

- Apply their knowledge of school law to resolve school conflicts.
- Identify ways in which their schools are out of legal compliance, and take steps to bring their schools into compliance.
- Make educational decisions that are both legally and educationally sound.

**Enrollment & Graduation Projections**

The \$750/credit hour comprehensive fee applies to enrollments in this certificate program.

It is anticipated that the program will have approximately 40 enrollments per year. This figure is based on approximately 10 students in each of the four courses offered per year. It is expected that the revenue generated by program enrollments will cover expenses incurred. Enrollment will be reserved for students matriculated in the graduate certificate program with seats made available to other matriculated graduate students on the basis of availability.

The typical student will be able to complete all requirements for the certificate in one year.

**Financial Resources**

The Graduate Certificate in School Law will be coordinated by the Educational Leadership Department within the Neag School of Education.

eCampus will provide instructional design support and stipends to course developers. A portion of the revenue generated by student fees will be returned to the School, allowing the program to be self-sustaining.

**Facilities//Equipment/Library/Special Resources**

Students in the program will have access to UConn library resources and other resources available to all other matriculated students (HuskyCT, technical support, etc.). As an online certificate program, no facilities or resources are required, other than those provided by UConn eCampus.

**Program Administration**

Professor Preston Green will provide lead program administration from the Department of Educational Leadership within the Neag School of Education.

**Faculty**

The course instructors are:

- Preston Green, Professor of Educational Leadership
- Joseph Oluwole, Associate Professor of Educational Administration, Montclair State University
- Lance Potter, Instructor, Penn State University

**Similar Programs in Connecticut or Region**

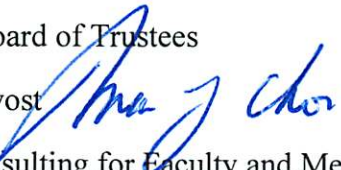
While a numbers of universities offer individual courses in the area of School Law, few offer graduate certificate programs focused on the discipline. Those we identified are:

- Plymouth State (K-12 Administrator Certification)
- Lesley University (Online Advanced Professional Certificate in Special Education)
- Bloomsburg University of Pennsylvania (Special Education Supervisory Certification Program)



## **ATTACHMENT 10**

April 23, 2014

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost   
RE: Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit

BACKGROUND:

Revisions to the Consulting Procedures must be presented to the Board of Trustees as an informational item. These revisions outline practices of the Faculty Consulting Offices regarding consulting during normal work days and consulting while employed 100% on federal grants during the summer.

Regarding consulting during normal work days, the new procedures read:

“The Storrs and Regional Faculty Consulting Office adheres to the practice that consulting should not exceed an average of one normal work day per week during periods of appointment. The University Health Center Faculty Consulting Office utilizes the annual evaluation for assessing the negative impact of consulting on a faculty member’s duties. Further, the department chair must give approval in advance for each day of consulting during normal work time, and in making this decision, the chair must consider the impact of such consulting on the faculty member’s duties (such as teaching, office hours, attending clinics, attending important meetings, etc.).”

The second procedural revision reinforces faculty compliance with all federal guidelines while employed 100% on a federal grant during the summer. Moreover, the procedures state “faculty members should avoid being 100% on federal grants during the summer, if they anticipate consulting.”

Attached are copies of the original language of the Consulting Policy and Procedures, with indications of the proposed revisions.

# **PROCEDURES ON CONSULTING FOR FACULTY AND MEMBERS OF THE FACULTY BARGAINING UNIT**

~~April 24, 2013~~ March 19, 2014

## **1. PERMISSION**

Prior to engaging in consulting activities, faculty employed 50% or more time must complete and submit the “Request for Approval of Consulting Activities” form<sup>1</sup> as approved by the Provost and the Executive Vice President for Health Affairs at UCHC. For the purpose of this policy, faculty on Board approved sabbatical leaves are considered to be full-time faculty. Such request must be submitted sufficiently in advance of the start of the consulting activity to allow for its appropriate review. Further, new requests must be completed and approved prior to making substantial changes to a previously approved activity. Consulting requests must be based on the faculty member’s professional expertise or prominence in his/her field.

Faculty paid less than 50% time by the University of Connecticut and/or University of Connecticut Health Center may voluntarily elect to obtain prior approval to consult. Once such a faculty member has requested approval to consult for a single consulting activity, all subsequent consulting activities in that same fiscal year must also obtain such approval.

## **2. INFORMATION**

Information to be provided on this form must include the following: The name and address of the payer (contracting entity); a description of the consulting activity with enough detail so that the approver may determine whether such activities conflict with one’s state responsibilities; description of interaction or responsibilities in one’s official capacity, if any, with respect to the contracting entity; estimated amount of remuneration in ranges established by the Provost; an indication whether the faculty member holds an equity interest in the contracting entity of 5% or more, the total number of days expected to complete the consulting activity; maximum total number of days during normal work time, and disclosure of material use of state resources. Additional information regarding remuneration or other matters must be provided, if requested.

It is understood at the time a request form is being considered for approval that all the dates and times of the consulting activity might not be known. As these dates and times become known, the faculty member is expected to provide them to his/her department head. In all cases these notifications should be at least one day in advance of any consulting work so that the department head can ensure that the faculty member’s assigned job duties are fully addressed. Such notifications must be made in writing to the

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<sup>1</sup> Currently this is completed and transmitted using an on-line approval system.

department heads and emails are acceptable. The need for such prior notification of such dates and times only applies if such dates and times are during normal work time.

### 3. SIGNATORIES

Requests to consult must be approved by the member's Department Head and Dean. For members employed in Storrs and the Regional campuses, the requests must also be reviewed and approved by the Provost or the Provost's designee. For members at the University Health Center, the request must be reviewed and signed by the Executive Vice President for Health Affairs or the Executive Vice President's designee. For members employed by the Division of Athletics, the Athletic Director must approve in place of a Dean and forward the form to the Provost's designee for review. Subsequent to review and pursuant to NCAA regulations, the President will approve as the Provost's designee.

The Storrs and Regional Faculty Consulting Office adheres to the practice that consulting should not exceed an average of one normal work day per week during periods of appointment. The University Health Center Faculty Consulting Office utilizes the annual evaluation for assessing the negative impact of consulting on a faculty member's duties. Further, the department chair must give approval in advance for each day of consulting during normal work time, and in making this decision, the chair must consider the impact of such consulting on the faculty member's duties (such as teaching, office hours, attending clinics, attending important meetings, etc.).

### 4. ACCELERATED APPROVAL PROCESS

There is a set of purely academic activities that faculty members are normally expected and encouraged to undertake. Such activities would include, but are not limited to, reviewing books, articles and research proposals (i.e. federal grants), presenting occasional lectures, speeches, and colloquia to non-profit entities, refereeing of manuscripts, creation of works of art, serving as a member of thesis committee, or case-review (medical-legal review of cases). The nature of such activities will vary from one discipline to another – but the underlying principle is that they are part of faculty member's expected academic professional development. For activities of this type, and for which the compensation does not exceed \$5,000 (per contracting entity per year), the Provost and Executive Vice-President for Health Affairs delegate to the faculty member's department head the sole approving authority. This means that the faculty member should fill out the required on-line request form sufficiently in advance of the activity to allow adequate time for the Department head to review and approve it.

### 5. SUMMER PRIOR APPROVAL PROCESS

Faculty members may participate in "academic related consulting activities" (as described below) during the summer without advance filing of a consulting request form. Eligible consulting activities are pre-approved.

Eligible faculty are those who hold (9, 10, or 11 month contracts). The consulting activity must occur in the summer and, during this time, the faculty member must ensure that adequate time has been allocated to fully complete their assigned, contractual or grant related job responsibilities for which they are paid by the University. [While employed 100% on a federal grant during the summer, faculty members should comply with all federally related guidelines. Due to time and effort reporting, faculty members should avoid being employed 100% on federal grants during the summer, if they anticipate consulting.](#)

“Academic related activities” are those normally considered to enhance a faculty member’s professional expertise or prominence in their field and include the following:

- Educational and scientific presentations;
- Giving occasional lectures and speeches;
- Participation in colloquia, symposia, site visits, study sections and similar gatherings;
- Ad hoc refereeing of manuscripts (not to include paid editorships);
- Activities deemed by the Department Head to be similar to the activities described above. The nature of these activities will be reviewed by the Faculty Consulting Offices.

To be eligible for summer prior approval, remuneration for any one academically related activity may not exceed \$1,000 with a total limit over the summer of \$10,000 for such activities.

By September 15 each year, faculty must submit a list and description of the academically related activities they participated in during the previous summer to their department head and to the appropriate Faculty Consulting Office. Such activities will be included in the year end reconciliation report.

The normal approval process that requires approval prior to the start of the consulting activity may also be used during the summer and is not subject to the financial caps on the Summer Prior Approval process.

## 6. REPORTING

By the end of each fiscal year, members must complete and submit a year-end reconciliation of all consulting activities, due not later than September 15, on a form<sup>2</sup> developed by the Provost and the Executive Vice President for Health Affairs at the UCHC. Such form must include verification that the University has been appropriately reimbursed for material use of state resources.

## 7. MANAGEMENT COMMITTEE

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<sup>2</sup> Currently this is completed and transmitted using an on-line system.

A University Consulting Management Committee must be formed. Two members must be designated by the Provost and two must be designated by the Executive Vice President of the UCHC. One member must be designated by the President. The Committee must elect a chair. The University's ethics officer will serve ex-officio as a non-voting member to serve in an advisory capacity only.

Any of the individuals responsible for approving such consulting activities may request advice from Consulting Management Committee. The Committee shall have the ability to recommend that the proposed activity be denied, approved, or to suggest a plan to manage the potential conflict. The Committee will be authorized to request any other information that it deems necessary to assist it in this determination. Some examples of conditions or restrictions that may be recommended include, but are not limited to: recusal for making decisions in one's state capacity regarding the payer; review of decisions regarding a payer by a superior; change in required work schedules; permission to work on consulting limited to nights and/or weekends. The Committee may develop generic guidelines for approving requests to consult.

## 8. SANCTIONS

Any member who intentionally provides misleading or false information during the course of the approval process will be subject to disciplinary action in accordance with such member's collective bargaining agreement or employment agreement. Such disciplinary action may include, but not be restricted to: letter of reprimand; loss of the privilege to continue to engage in consulting activities; suspension; or, dismissal. The Provost will develop and publish ([consulting.uconn.edu](http://consulting.uconn.edu)) a sanctions policy relating to non-compliance with this policy on consulting.

## 9. TRAINING

The Faculty Consulting Offices will offer training sessions on an on-going basis as well as maintain a web site with training materials. Such training will include reminders to the Department Heads and Deans as to their responsibilities related to their review and approval of requests to consult.

## 10. REVISIONS

These procedures may be revised by mutual consent of the Provost and the Executive Vice President for Health Affairs with notification to the University's Board of Trustees.

## 11. APPEALS

As articulated in the Policy on Consulting for Faculty and Members of the Faculty Collective Bargaining Unit, the Procedures outlined above are to be implemented in accordance with the applicable provisions of the Collective Bargaining agreement or the employment agreement of the faculty member and are subject to any appeal rights that may be available.

## **ATTACHMENT 11**

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 23, 2014**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Mary Anne Amalaradjou	Assistant Professor	Animal Science	College of Agriculture & Natural Resources
Christopher Blesso	Assistant Professor	Nutritional Sciences	College of Agriculture & Natural Resources
Bradley Bolling	Assistant Professor	Nutritional Sciences	College of Agriculture & Natural Resources
Benjamin Campbell	Assistant Professor	Agricultural & Resource Economics	College of Agriculture & Natural Resources
Dennis D'Amico	Assistant Professor	Animal Science	College of Agriculture & Natural Resources
Kristen Govoni	Assistant Professor	Animal Science	College of Agriculture & Natural Resources
Ashley Helton	Assistant Professor	Natural Resources & the Environment	College of Agriculture & Natural Resources
Tania Huedo-Medina	Assistant Professor	Allied Health Sciences	College of Agriculture & Natural Resources
John Inguagiato	Assistant Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources
Yizao Liu	Assistant Professor	Agricultural & Resource Economics	College of Agriculture & Natural Resources
Jessica Lubell-Brand	Assistant Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources
Amy Mobley	Assistant Professor	Nutritional Sciences	College of Agriculture & Natural Resources
Sarah Reed	Assistant Professor	Animal Science	College of Agriculture & Natural Resources
Tracy Rittenhouse	Assistant Professor	Natural Resources & the Environment	College of Agriculture & Natural Resources
Huan-zhong Wang	Assistant Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources
Xiaohui Zhou	Assistant Professor	Pathobiology & Veterinary Sciences	College of Agriculture & Natural Resources
Gerlinde Berger-Walliser	Assistant Professor	Marketing	School of Business
Paul Borochin	Assistant Professor	Finance	School of Business
Resul Cesur	Assistant Professor	Finance	School of Business
Yuntao Dong	Assistant Professor	Management	School of Business
Travis Grosser	Assistant Professor	Management	School of Business
Zheyin Gu	Assistant Professor	Marketing	School of Business
Kunter Gunasti	Assistant Professor	Marketing	School of Business
Namho Kang	Assistant Professor	Finance	School of Business
Chanatip Kitwiwattanachai	Assistant Professor	Finance	School of Business
Hongju Liu	Assistant Professor	Marketing	School of Business
Efdal Misirli	Assistant Professor	Finance	School of Business
David Norton	Assistant Professor	Marketing	School of Business
Hee Mok Park	Assistant Professor	Marketing	School of Business
Stephen Park	Assistant Professor	Marketing	School of Business
Gregory Reilly	Assistant Professor	Management	School of Business
James Sinclair	Assistant Professor	Accounting	School of Business
Logan Steele	Assistant Professor	Accounting	School of Business



**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 23, 2014**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Yanhua Yang	Assistant Professor	Accounting	School of Business
Dmitry Zhdanov	Assistant Professor	Operations & Information Management	School of Business
Tutita Casa	Assistant Professor	Curriculum and Instruction	Neag School of Education
Joseph Cooper	Assistant Professor	Educational Leadership	Neag School of Education
Lindsay DiStefano	Assistant Professor	Kinesiology	Neag School of Education
Hannah Dostal	Assistant Professor	Curriculum and Instruction	Neag School of Education
Shaun Dougherty	Assistant Professor	Educational Leadership	Neag School of Education
Jennifer Freeman	Assistant Professor	Educational Psychology	Neag School of Education
Rachael Gabriel	Assistant Professor	Curriculum and Instruction	Neag School of Education
Richard Gonzales	Assistant Professor	Educational Leadership	Neag School of Education
Justin LaFerrier	Assistant Professor	Kinesiology	Neag School of Education
Tamika La Salle	Assistant Professor	Educational Psychology	Neag School of Education
Elaine Choung Hee Lee	Assistant Professor	Kinesiology	Neag School of Education
Allison Lombardi	Assistant Professor	Educational Psychology	Neag School of Education
Stephanie Mazerolle	Assistant Professor	Kinesiology	Neag School of Education
Bianca Montrosse-Moorhead	Assistant Professor	Educational Psychology	Neag School of Education
Christopher Rhoads	Assistant Professor	Educational Psychology	Neag School of Education
Jennie Weiner	Assistant Professor	Educational Leadership	Neag School of Education
Megan Welsh	Assistant Professor	Educational Psychology	Neag School of Education
Sarah Woulfin	Assistant Professor	Educational Leadership	Neag School of Education
Alexander Agrios	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Marina Astitha	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Mukul Bansal	Assistant Professor	Computer Science & Engineering	School of Engineering
Ali Bazzi	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Jinbo Bi	Associate Professor	Computer Science & Engineering	School of Engineering
George Bolas	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Kevin Brown	Assistant Professor	Biomedical Engineering	School of Engineering
Yang Cao	Associate Professor	Electrical & Computer Engineering	School of Engineering
Ashwin Dani	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Avinash Dongare	Assistant Professor	Materials Science & Engineering	School of Engineering
Domenic Forte	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Shalabh Gupta	Assistant Professor	Electrical & Computer Engineering	School of Engineering

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 23, 2014**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Song Han	Assistant Professor	Computer Science & Engineering	School of Engineering
Mohammad Khan	Assistant Professor	Computer Science & Engineering	School of Engineering
Omer Khan	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Christine Kirchhoff	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Karthik Konduri	Assistant Professor	Civil & Environmental Engineering	School of Engineering
George Lykotrafitis	Assistant Professor	Mechanical Engineering	School of Engineering
Anson Ma	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Radenka Maric	Professor	Chemical & Biomolecular Engineering	School of Engineering
Serge Nakhmanson	Associate Professor	Materials Science & Engineering	School of Engineering
Mu-Ping Nieh	Associate Professor	Chemical & Biomolecular Engineering	School of Engineering
Sung Yeul Park	Assistant Professor	Electrical & Computer Engineering	School of Engineering
David Pierce	Assistant Professor	Mechanical Engineering	School of Engineering
Michael Pettes	Assistant Professor	Mechanical Engineering	School of Engineering
Donald Sheehy	Assistant Professor	Computer Science & Engineering	School of Engineering
Yong-Jun Shin	Assistant Professor	Biomedical Engineering	School of Engineering
Leslie Shor	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Luyi Sun	Associate Professor	Chemical & Biomolecular Engineering	School of Engineering
Timothy Vadas	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Ioulia Valla	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Marten van Dijk	Associate Professor	Electrical & Computer Engineering	School of Engineering
Kristina Wagstrom	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Kay Wille	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Arash Esmaili Zaghi	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Liang Zhang	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Peng Zhang	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Wei Zhang	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Guoan Zheng	Assistant Professor	Biomedical Engineering	School of Engineering
Pinar Zorlutuna	Assistant Professor	Mechanical Engineering	School of Engineering
John Bell	Associate Professor	Dramatic Arts	School of Fine Arts
Margarita Blush	Assistant Professor	Dramatic Arts	School of Fine Arts
Ken Bowen	Assistant Professor	Digital Media and Design	School of Fine Arts
Alexis Boylan	Assistant Professor	Art and Art History	School of Fine Arts
Adrienne Macki Braconi	Assistant Professor	Dramatic Arts	School of Fine Arts

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 23, 2014**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Michael Chybowski	Associate Professor	Dramatic Arts	School of Fine Arts
Lindsay Cummings	Assistant Professor	Dramatic Arts	School of Fine Arts
Dale Edwards	Assistant Professor	Dramatic Arts	School of Fine Arts
Harvey Felder III	Associate Professor	Music	School of Fine Arts
Yan Geng	Assistant Professor	Art and Art History	School of Fine Arts
Frank Mack	Associate Professor	Dramatic Arts	School of Fine Arts
Alison Paul	Instructor	Art and Art History	School of Fine Arts
Scott Ripley	Associate Professor	Dramatic Arts	School of Fine Arts
James Spillane	Associate Professor	Music	School of Fine Arts
Gregory Webster	Assistant Professor	Dramatic Arts	School of Fine Arts
John Cogan	Associate Professor		School of Law
Mathilde Cohen	Associate Professor		School of Law
Dalié Jiménez	Associate Professor		School of Law
James Kwak	Associate Professor		School of Law
Brendan Maher	Associate Professor		School of Law
Julia Simon-Kerr	Associate Professor		School of Law
Douglas Spencer	Associate Professor		School of Law
Jorge Aguero	Assistant Professor	Economics	College of Liberal Arts & Sciences
Yonghong An	Assistant Professor	Economics	College of Liberal Arts & Sciences
Shayne Anderson	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Alfredo Angeles-Boza	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Robert Astur	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Michele Baggio	Assistant Professor	Economics	College of Liberal Arts & Sciences
Haim Bar	Assistant Professor	Statistics	College of Liberal Arts & Sciences
Talia Bar	Assistant Professor	Economics	College of Liberal Arts & Sciences
Kroum Batchvarov	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Saraswathi Bellur-Thandaveshwar	Assistant Professor	Communication	College of Liberal Arts & Sciences
Fedor Bezrukov	Assistant Professor	Physics	College of Liberal Arts & Sciences
Ruth Braunstein	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Alaina Brenick	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Meina Cai	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Kenneth Campellone	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 23, 2014**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Eduardo Canedo	Assistant Professor	History	College of Liberal Arts & Sciences
Ellen Carillo	Assistant Professor	English	College of Liberal Arts & Sciences
Alenda Chang	Assistant Professor	English	College of Liberal Arts & Sciences
Jason Chang	Assistant Professor	History	College of Liberal Arts & Sciences
Chi-Ming Chen	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Kun Chen	Assistant Professor	Statistics	College of Liberal Arts & Sciences
John Christensen	Assistant Professor	Communication	College of Liberal Arts & Sciences
Dwight Codr	Assistant Professor	English	College of Liberal Arts & Sciences
Jeffrey Connors	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Marie Coppola	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Annamaria Csizmadia	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Kimberly Cuevas	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Dev Dalal	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Tehran Davis	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Amanda Denes	Assistant Professor	Communication	College of Liberal Arts & Sciences
Elena Dormidontova	Associate Professor	Physics	College of Liberal Arts & Sciences
Damir Dzhamalov	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Lisa Eaton	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Melanie Fewings	Assistant Professor	Marine Sciences	College of Liberal Arts & Sciences
Debarhana Ghosh	Assistant Professor	Geography	College of Liberal Arts & Sciences
Julie Granger	Assistant Professor	Marine Sciences	College of Liberal Arts & Sciences
Linda Halgunseth	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Jason Hancock	Assistant Professor	Physics	College of Liberal Arts & Sciences
Brian Hartman	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Gideon Hartman	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Idethia Harvey	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Thomas Hayes	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Veronica Herrera	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Daniel Hershenzon	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts & Sciences
Elizabeth Holzer	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Michael Hren	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Lan-Hsuan Huang	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Ling Huang	Assistant Professor	Economics	College of Liberal Arts & Sciences
Matthew Hughey	Assistant Professor	Sociology	College of Liberal Arts & Sciences

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 23, 2014**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Yohei Igarashi	Assistant Professor	English	College of Liberal Arts & Sciences
Alexander Jackson	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts & Sciences
Rahul Kanadia	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts & Sciences
Sangwook Kang	Assistant Professor	Statistics	College of Liberal Arts & Sciences
Prakash Kashwan	Assistant Professor	Political Science	College of Liberal Arts & Sciences
J. Magdalena Kaufmann	Assistant Professor	Linguistics	College of Liberal Arts & Sciences
Suzanne Killmister	Assistant Professor	Philosophy	College of Liberal Arts & Sciences
Jonathan Klassen	Assistant Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences
Hassanally Ladha	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts & Sciences
Nicole Landi	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Dong Jin Lee	Assistant Professor	Economics	College of Liberal Arts & Sciences
Frederick Lee	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Hallie Liberto	Assistant Professor	Philosophy	College of Liberal Arts & Sciences
Kelly Lombardo	Assistant Professor	Marine Sciences	College of Liberal Arts & Sciences
David Lund	Associate Professor	Marine Sciences	College of Liberal Arts & Sciences
John Malone	Assistant Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences
Laura Mauldin	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Eric May	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Priscilla McCutcheon	Assistant Professor	Geography	College of Liberal Arts & Sciences
Barbara Mellone	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Erin Melton	Assistant Professor	Public Policy	College of Liberal Arts & Sciences
Liansu Meng	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts & Sciences
Vincent Moscardelli	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Ovidiu Munteanu	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Emily Myers	Assistant Professor	Speech, Language, & Hearing Sciences	College of Liberal Arts & Sciences
Kanda Naknoi	Assistant Professor	Economics	College of Liberal Arts & Sciences
Beth Neary	Assistant Professor	Public Policy	College of Liberal Arts & Sciences
Benjamin Newman	Assistant Professor	Political Science	College of Liberal Arts & Sciences
William Ouimet	Assistant Professor	Geography	College of Liberal Arts & Sciences
Jeremy Pais	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Gregory Pierrot	Assistant Professor	English	College of Liberal Arts & Sciences
Nishith Prakash	Assistant Professor	Economics	College of Liberal Arts & Sciences
Andrew Puckett	Assistant Professor	Physics	College of Liberal Arts & Sciences
Kerri Raissian	Assistant Professor	Public Policy	College of Liberal Arts & Sciences

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 23, 2014**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Nairan Ramirez-Esparza	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Daisy Reyes	Assistant Professor	Sociology	College of Liberal Arts & Sciences
David Ripley	Assistant Professor	Philosophy	College of Liberal Arts & Sciences
Beth Russell	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Ricardo Salazar-Rey	Assistant Professor	History	College of Liberal Arts & Sciences
Elizabeth Schifano	Assistant Professor	Statistics	College of Liberal Arts & Sciences
Daniel Schwartz	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts & Sciences
Marie Shanahan	Assistant Professor	Journalism	College of Liberal Arts & Sciences
Adam Sheya	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Bhakti Shringarpure	Assistant Professor	English	College of Liberal Arts & Sciences
Daniel Silvermint	Assistant Professor	Philosophy	College of Liberal Arts & Sciences
David Simon	Assistant Professor	Economics	College of Liberal Arts & Sciences
Erika Skoe	Assistant Professor	Speech, Language, & Hearing Sciences	College of Liberal Arts & Sciences
Alexia Smith	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Rhiannon Smith	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Victoria Smith	Assistant Professor	English	College of Liberal Arts & Sciences
Michael Stanton	Assistant Professor	Journalism	College of Liberal Arts & Sciences
Ian Stevenson	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Ming Hon (Richard) Suen	Assistant Professor	Economics	College of Liberal Arts & Sciences
Jianjun Sun	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts & Sciences
Jennifer Terni	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts & Sciences
Rachel Theodore	Assistant Professor	Speech, Language, & Hearing Sciences	College of Liberal Arts & Sciences
Kathleen Tonry	Assistant Professor	English	College of Liberal Arts & Sciences
Luis van Isschot	Assistant Professor	History	College of Liberal Arts & Sciences
Xiaojing Wang	Assistant Professor	Statistics	College of Liberal Arts & Sciences
Sarah Willen	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Daniel Winchester	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Chunsheng Yang	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts & Sciences
Yaowu Yuan	Assistant Professor	Ecology and Evolutionary Biology	College of Liberal Arts & Sciences
Victor Zatsepine	Assistant Professor	History	College of Liberal Arts & Sciences
Jing Zhao	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Kai Zhao	Assistant Professor	Economics	College of Liberal Arts & Sciences

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 23, 2014**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Michelle Judge	Assistant Professor		School of Nursing
Kelley Newlin Lew	Assistant Professor		School of Nursing
Juliette Shellman	Associate Professor		School of Nursing
Jennifer Telford	Assistant Professor		School of Nursing
William Baker	Assistant Professor	Pharmacy Practice	School of Pharmacy
Marcy Balunas	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Michelle Cannon-Breland	Assistant Professor	Pharmacy Practice	School of Pharmacy
Thomas Bunz	Assistant Professor	Pharmacy Practice	School of Pharmacy
M. Kyle Hadden	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Xiuling Lu	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Jayashri Sankaranarayanan	Associate Professor	Pharmacy Practice	School of Pharmacy
Diana Sobieraj	Assistant Professor	Pharmacy Practice	School of Pharmacy
Andrew Wiemer	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
S. Megan Berthold	Assistant Professor		School of Social Work
Ann Marie Garran	Assistant Professor		School of Social Work
Cristina Wilson	Assistant Professor		School of Social Work

## **ATTACHMENT 12**





April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Hartford Relocation Acquisition/Renovation  
(Revised Planning: \$10,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$10,000,000 to release design of the new main building, and provide support design and due diligence services for other projects related to the neighborhood campus development, related to the relocation and development of the UConn Hartford Campus in downtown Hartford.

BACKGROUND:

A strategically important University initiative is to relocate and consolidate the various Hartford based academic programs into a central, downtown Hartford location. The University wishes to invest in and maintain a vibrant presence in the Hartford capital region. The current activities to be relocated are at the West Hartford campus or, in the case of the School of Business, in a leased facility in downtown Hartford.

The existing four building West Hartford Campus served the University needs well. However, a significant investment would be required in all of the facilities to prolong their use and the facilities would still be inadequate for the type of pedagogical activities envisioned in the future. It was decided to relocate the various academic components into a new downtown development, which will include construction of a new purpose-built facility, plus use of under-utilized resources in the surrounding area.

The University completed a site selection process during the Planning Phase and entered into a predevelopment agreement to undertake due diligence and site planning activities

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for the main building. The site selected is the former location of the Hartford Times Building located in the Adriaen's Landing District in the Southeast quadrant of the City. As part of the initial agreement for the site, the University will preserve and restore the historical front of the Hartford Times Building on Prospect Street. An initial concept plan was developed for the site and the new main building and an investigation of resources already existing in the neighborhood was undertaken and opportunities for partnering identified.

In the Design Phase, the initial concept design for the new main building will be developed and detailed further and arrangements with certain strategic neighboring properties will be pursued.

The Revised Planning Budget reflects an additional estimate of \$9,000,000 (bringing the total to \$10,000,000 for the project) required to initiate this work. Future project budget resolutions will request approval for the construction and identify total project cost.

The Design Budget is attached for your consideration and approval.

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: REVISED PLANNING**

**PROJECT NAME: HARTFORD RELOCATION ACQUISITION/RENOVATION**

	<b>APPROVED PLANNING 8/7/2013</b>	<b>PROPOSED REVISED PLANNING 4/23/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ -	\$ 100,000
DESIGN SERVICES	100,000	7,800,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	200,000	300,000
OTHER AE SERVICES (including Project Management)	350,000	500,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	50,000	50,000
INSURANCE AND LEGAL	125,000	200,000
MISCELLANEOUS	75,000	100,000
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 900,000</b>	<b>\$ 9,050,000</b>
PROJECT CONTINGENCY	100,000	950,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,000,000</b>	<b>\$ 10,000,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 PHASE III	\$ 1,000,000	\$ 10,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,000,000</b>	<b>\$ 10,000,000</b>

## **ATTACHMENT 13**



April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President of Administration and Chief Operating Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Fats, Oils and Grease (FOG) Compliance – Phase I  
(Design: \$2,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$2,000,000 for the planning, design and construction for Fats, Oils and Grease (FOG) Compliance.

BACKGROUND:

The University food service operations discharge kitchen waters into publicly owned sewage treatment facilities. The State of Connecticut Department of Public Health regulations require these facilities to pretreat the discharge prior to reduce or eliminate the amount of Fats, Oils and Greases that go into the Sewage Treatment Plant. The purpose of this project is to install pretreatment devices into five of the food service operations that do not currently have pretreatment equipment. This project will bring the five (5) into compliance. The food service operations that are included in this project are: Commissary Warehouse, North Campus Dining Hall, Whitney Dining Hall, Buckley Dining Hall and the Dairy Bar.

There are seven remaining campus food service operations not in this project. Six (6) of these have pretreatment installed, that require design improvements. The improvement to these will be accomplished in a follow up project. The remaining non-treated unit (Putnam Refectory) will be completed as part of an on-going renovation project.

The University commissioned a design firm to prepare plans and specifications for this project. The Design Budget reflects a total project cost of \$2,000,000 and is based upon engineer's estimates. The project design was completed in March 2014. Implementation schedule will be

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dependent upon procurement and developing a schedule that will not interfere with day-to-day operations.

The Design Budget is attached for your consideration and approval.



## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET:    DESIGN**

**PROJECT NAME:        FATS, OIL AND GREASE (FOG) COMPLIANCE - PHASE I**

	<b>APPROVED PLANNING 9/26/2013</b>	<b>PROPOSED DESIGN 4/23/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>	<b><u>SARCC</u></b>	
CONSTRUCTION	\$ -	\$ 1,260,000
DESIGN SERVICES	395,000	243,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	5,000	50,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	10,000
INSURANCE AND LEGAL	5,000	15,000
MISCELLANEOUS	-	75,000
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 405,000</b>	<b>\$ 1,653,000</b>
PROJECT CONTINGENCY	35,000	347,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 440,000</u></b>	<b><u>\$ 2,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
DEPARTMENTAL FUNDS-DINING SERVICES	\$ 440,000	\$ 2,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 440,000</u></b>	<b><u>\$ 2,000,000</u></b>

## **ATTACHMENT 14**





April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for fMRI – Acquisition and Installation  
(Design Budget: \$8,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$8,000,000 for the acquisition of the fMRI (Functional Magnetic Resonance Imaging) equipment; as well as the installation to include planning, design, and construction of space to house the equipment at the University of Connecticut.

BACKGROUND:

UConn has a growing faculty base that uses Functional Magnetic Resonance Imaging (fMRI) equipment in research. The UConn faculty do not have access to a Storrs-based unit and expend grant funding to rent time at fMRI centers at other institutions. The purpose of this project is to develop fMRI capability for human subjects at the University of Connecticut.

New collaborations and new external funding opportunities may be available if an fMRI Center is located on the UConn campus, convenient to the UConn faculty. In addition, such a center will enhance recruitment of faculty and graduate students. The continuing evolution of fMRI technology and methods creates research opportunities for physicists, engineers, computer scientists, and statisticians in other departments and from other institutions.

The University has commissioned a design team to prepare plans and specifications for a new fMRI suite in the Philips Communication Sciences Building. The project is on schedule and budget.

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Purchase of the fMRI equipment requires a long lead time. The University requests permission to purchase this equipment prior to having Final budget approval for the entire project, noting that this commits the University to the project. The projected cost of the fMRI equipment based on recent bids is \$2.6 and \$3.0 million dollars depending on options are chosen, which are currently under faculty and staff review. Both options are within the project budget.

The Design Budget is attached for your consideration and approval.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:    DESIGN**

**PROJECT NAME:        FMRI-ACQUISITION AND INSTALLATION**

	<b>APPROVED PLANNING 10/23/2013</b>	<b>PROPOSED DESIGN 4/23/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 3,500,000	\$ 3,564,000
DESIGN SERVICES	350,000	370,000
TELECOMMUNICATIONS	35,000	40,000
FURNITURE, FIXTURES AND EQUIPMENT*	3,225,000	3,161,000
CONSTRUCTION ADMINISTRATION	90,000	90,000
OTHER AE SERVICES (including Project Management)	150,000	170,000
ART	-	-
RELOCATION	10,000	5,000
ENVIRONMENTAL	60,000	15,000
INSURANCE AND LEGAL	10,000	10,000
MISCELLANEOUS	20,000	25,000
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 7,450,000</b>	<b>\$ 7,450,000</b>
PROJECT CONTINGENCY	550,000	550,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 8,000,000</b>	<b>\$ 8,000,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 PHASE III - FY14 DM	\$ 4,700,000	\$ 4,700,000
UConn 2000 EQUIPMENT*	3,225,000	3,225,000
UNIVERSITY PLANT FUNDS	75,000	75,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 8,000,000</b>	<b>\$ 8,000,000</b>

\* The Equipment will be purchased outside of the project budget process and in accordance with standard UConn procurement policies and procedures

## **ATTACHMENT 15**



April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Sewer Replacement Storrs Road Pump Station  
(Design: \$3,150,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$3,150,000 for the planning, design and construction of a sewer replacement at the Storrs Road Pump Station.

BACKGROUND:

The University operates a sewage treatment plant that treats sanitary waste from the University and a large part of the surrounding area, including the new Downtown Development. There is an extensive network of sanitary sewers connecting the plant to the numerous buildings throughout the area. The system consists of increasing size pipes connecting the individual buildings to the plant. Located on Storrs Road is one of the largest and most important service areas, which collects and moves waste from the entire southern and eastern parts of the campus and the entire new Downtown Development area and moves it to the sewage treatment plant.

The primary components of this system are a large sewer main running the length of Rte. 195 and two pump stations (Gurleyville Road and Horsebarn Hill) that move the waste from the low point of the system to a gravity sewer. The force main that runs from the pump stations to the sewage treatment plant will be replaced as part of the North Eagleville Road infrastructure project, which was approved by the Board for Planning in September 2012. Altogether, this area processes over 35% of the daily sewer flow and will increase as Next Generation UCONN projects are completed within this sewer service area.

The University commissioned a firm to investigate the system from the standpoint of capacity and reliability. The firm concluded that repairs were needed to the system to

insure continued functionality to support current and future flows and the design is now complete.

The Design Budget reflects a total project cost of \$3,150,000 based upon the engineer's estimates. Implementation schedule will be dependent upon environmental permitting and funding.

The Design Budget is attached for your consideration and approval.

Attachment

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET:    DESIGN**

**PROJECT NAME:        SEWER REPLACEMENT @ STORRS ROAD PUMP STATION**

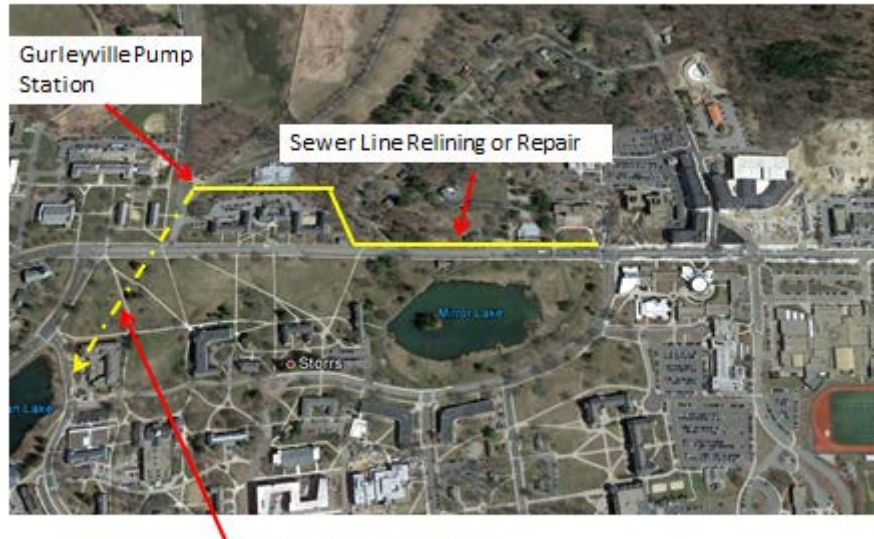
	<b>APPROVED PLANNING 8/7/2013</b>	<b>PROPOSED DESIGN 4/23/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 2,195,000	\$ 2,195,000
DESIGN SERVICES	350,000	350,000
TELECOMMUNICATIONS	5,000	15,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	115,000	105,000
OTHER AE SERVICES (including Project Management)	75,000	75,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	60,000	60,000
INSURANCE AND LEGAL	15,000	15,000
MISCELLANEOUS	35,000	35,000
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 2,850,000</b>	<b>\$ 2,850,000</b>
PROJECT CONTINGENCY	300,000	300,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 3,150,000</b>	<b>\$ 3,150,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 PHASE III - FY12 DM	\$ 3,150,000	\$ 3,150,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 3,150,000</b>	<b>\$ 3,150,000</b>



# SEWER LINE REPLACEMENT STORRS ROAD PUMP STATION

Project Budget (Design)

04/23/2014



FORCE MAIN – ROUTING TO BE DETERMINED  
TO MINIMIZE DISRUPTION



Gurleyville Road Pump Station exterior



Horsebarn Hill Road Pump Station  
exterior



## **ATTACHMENT 16**



April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Main Water Line Replacement – Phase I  
(Design: \$7,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$7,000,000 for the planning, design and construction of a replacement Main Water Line.

BACKGROUND:

The University produces, treats and distributes water for the Storrs campus and associated users. The primary source of water is a well field located adjacent to the Willimantic River at the Spring Manor Farm. This water is transmitted to the Storrs campus through a single four (4) mile - 16" cast iron water line installed during the 1970's. There have been at least three (3) instances of line failure during the past four (4) years, and the University commissioned a firm to investigate the cause of failures and predict the vulnerability of this critical mission resource. The firm concluded that the poor condition is a result of corrosive soil conditions along almost the entire four (4) mile route that the pipe takes to get from the Willimantic River to the campus and that unless corrected, the line will continue to fail.

The University proposes to replace this line in a two phase project. The first phase is the replacement of approximately 13,300 linear feet of the line from the treatment plant at the well field to Hunting Lodge Road.

The University consultants recommended replacing the line with a new sixteen (16) inch cast iron line, properly protected to withstand the corrosive environment. The University commissioned a design firm to prepare project plans and specifications for this project.

The Design Budget reflects a total project cost of \$7,000,000 based upon engineer's estimates. The project design is complete. Implementation schedule will be dependent upon environmental permitting which is in process.

The Design Budget is attached for your consideration and approval.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:    DESIGN**

**PROJECT NAME:        MAIN WATER SUPPLY LINE REPLACEMENT - PHASE I**

	<b>APPROVED PLANNING 6/23/2011</b>	<b>APPROVED REVISED PLANNING 2/26/2014</b>	<b>PROPOSED DESIGN 4/23/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>	<b><u>BOT DM LIST</u></b>		
CONSTRUCTION	\$       -	\$ 4,860,000	\$ 5,200,000
DESIGN SERVICES	395,000	440,000	440,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	5,000	215,000	215,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	80,000	80,000
INSURANCE AND LEGAL	5,000	15,000	15,000
MISCELLANEOUS	-	115,000	50,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$    405,000</b>	<b>\$ 5,725,000</b>	<b>\$ 6,000,000</b>
PROJECT CONTINGENCY	45,000	1,275,000	1,000,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$    450,000</b>	<b>\$ 7,000,000</b>	<b>\$ 7,000,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III - FY05 DM	\$    50,000	\$    69,857	\$    69,857
UConn 2000 PHASE III - FY12 DM	400,000	6,360,048	6,360,048
UConn 2000 PHASE III - FY15 DM		570,095	570,095
<b>TOTAL BUDGETED FUNDING</b>	<b>\$    450,000</b>	<b>\$ 7,000,000</b>	<b>\$ 7,000,000</b>

## **ATTACHMENT 17**



April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Ecology and Evolutionary Biology (EEB) Greenhouse  
Renovations (Final: \$1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$1,000,000 for the Ecology and Evolutionary Biology (EEB) Greenhouse.

BACKGROUND:

The EEB Greenhouses adjacent to the Torrey Life Sciences Building were constructed in the mid 1960's to provide facilities for research and for growth of plant materials used in biology courses ranging from introductory biology to graduate level. For at least two decades, general maintenance has been deferred and only emergency repairs made in anticipation of a new facility. As a consequence, an already antiquated facility has fallen into a serious state of disrepair. New or replacement greenhouses are not in near term programs. The University is proposing a partial renovation to provide a safe, compliant working environment for faculty, staff, students and visitors and will provide a secure, consistent and more optimum growth environment for plants that will reduce annual plant loss.

The highly diverse and unique plant materials housed in the EEB greenhouses constitute a living library of plant diversity spanning more than 3,000 species. These plants support teaching in more than 30 courses each year, including more than 1,800 students who visit the greenhouses for hands-on experiences of plant diversity in introductory biology courses; 3,000 plants are supplied yearly from the greenhouses to laboratories associated with these introductory courses. The plant diversity contained in the EEB Greenhouse presents the most diverse library of plant materials associated with a public institution in the Northeast.

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The scope of work is intended to address the following operational and maintenance issues in glass house #1 only. The budget has been adjusted for available funding and estimates by the University design team.

- 1) Replacement of glass glazing on the exterior of the glass house #1
- 2) Shading and vents
- 3) Remove asbestos benches and replace with stainless steel benches
- 4) Install structural upgrades as required for renovation

This Final Budget is based on the project estimates and attached for your consideration and approval. The project will be submitted for competitive bidding in May, 2014 with construction starting immediately after that process is complete. The University will notify the Board should competitive bidding result in a greater project cost.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: ECOLOGY & EVOLUTIONARY BIOLOGY GREENHOUSE RENOVATIONS**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>APPROVED PLANNING 2/28/2012</b>	<b>APPROVED DESIGN 2/26/2014</b>	<b>PROPOSED FINAL 4/23/2014</b>
CONSTRUCTION	\$ 750,000	\$ 550,000	\$ 550,000
DESIGN SERVICES	100,000	320,000	320,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	35,000	24,500	24,500
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	6,000	6,000
INSURANCE AND LEGAL	6,000	5,500	5,500
MISCELLANEOUS	9,000	14,000	14,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 900,000</b>	<b>\$ 920,000</b>	<b>\$ 920,000</b>
PROJECT CONTINGENCY	100,000	80,000	80,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 1,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
DEPARTMENTAL FUNDS	<u>\$ 1,000,000</u>	<u>\$ 1,000,000</u>	<u>\$ 1,000,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 1,000,000</u></b>



## **ATTACHMENT 18**



April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Fine Arts Gateway and Pedestrian Access  
(Final: \$1,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,500,000 for the construction of the Fine Arts Gateway and Pedestrian Access Project.

BACKGROUND:

The proposed work is located at the intersection of Route 195 and Bolton Road. Site improvements include: Pedestrian connections to the Music Theater and Storrs Center, a standard gateway UConn sign, a way-finding plaza with a campus map, streetscape, stone walls, stairs and hand railings. The project will also include fixed displays for advertising events at Fine Arts, demolition of existing structures, utility relocation, and work within a state right of way, which will be closely coordinated with the CT DOT and the Town of Mansfield.

The Final Budget reflects a total project cost of \$1,500,000 based upon the architect's estimate. The project design will be completed by mid-April, 2014 and submitted for competitive bidding in May 2014. Construction will mobilize soon after commencement and be completed by the end of the fall 2014 semester. The University requests a waiver to the three phase approval process in order to meet the project schedule. The University will notify the Board should competitive bidding result in a greater project cost.

The Final Budget is attached for your consideration and approval.

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: FINE ARTS GATEWAY AND PEDESTRIAN ACCESS**

	<b>APPROVED PLANNING 11/13/2013</b>	<b>APPROVED DESIGN 2/26/2014</b>	<b>PROPOSED FINAL 4/23/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
	<b>SARCC</b>		
CONSTRUCTION	\$ -	\$ 900,000	\$ 907,500
DESIGN SERVICES	176,500	121,000	200,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	5,000
CONSTRUCTION ADMINISTRATION	-	120,000	120,000
OTHER AE SERVICES (including Project Management)	3,500	50,000	90,500
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	7,000
INSURANCE AND LEGAL	-	6,000	6,000
MISCELLANEOUS	-	3,000	14,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 180,000</b>	<b>\$ 1,200,000</b>	<b>\$ 1,350,000</b>
PROJECT CONTINGENCY	20,000	300,000	150,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 200,000</b>	<b>\$ 1,500,000</b>	<b>\$ 1,500,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III - FY14 DM	\$ 200,000	\$ 1,500,000	\$ 1,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 200,000</b>	<b>\$ 1,500,000</b>	<b>\$ 1,500,000</b>

# FINE ARTS GATEWAY AND PEDESTRIAN ACCESS Project Budget (Final)

04/23/2014



PROJECT LOCATION

PROJECT  
AREA



PROPOSED STREETSCAPE

## **ATTACHMENT 19**





April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Gant Building Renovations – Mechanical Repairs  
(Final: \$600,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$600,000 for Gant Building Renovations - Mechanical Repairs to include replacement of failed unit ventilators in the Gant Science Complex.

BACKGROUND:

The Gant Science Complex is over 280,000 square feet in four interconnected wings. The major occupants of the building are the Physics and Mathematics Departments, the Institute of Materials Sciences (IMS), and the University Computer Center. Except for the 26,000 square foot addition built in 2002, the bulk of the building was constructed between 1970 and 1974.

In 2013, the University commissioned an engineering firm to perform a full condition assessment of over 500 unit ventilators and fan coil units serving the perimeter of the IMS, Physics and Math Buildings in the Gant Science Complex. The consultant reported that the units are beyond normal life expectancy and cannot be economically repaired if they fail. Facilities Management subsequently identified the units with the highest number of service requests and highest probability of failure. The purpose of this project is to replace the worst units until the long term plan for the future of the building is finalized. Additional funds may be necessary to stabilize the facility during this interim period.

The University also requests that the three phase budget approval process be waived at this time in order to address these mechanical issues prior to the fall 2014 heating season.

This Final Budget is attached for your consideration and approval.

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## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: GANT BUILDING RENOVATIONS - MECHANICAL REPAIRS**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>PROPOSED FINAL 4/23/2014</b>
CONSTRUCTION	\$ 430,000
DESIGN SERVICES	-
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	10,500
ART	-
RELOCATION	-
ENVIRONMENTAL	75,000
INSURANCE AND LEGAL	-
MISCELLANEOUS	50,000
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 565,500</b>
PROJECT CONTINGENCY	34,500
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 600,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
UConn 2000 PHASE III	\$ 600,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 600,000</b>

# GANT BUILDING RENOVATIONS – MECHANICAL REPAIRS

## Project Budget (Final)

4/23/2014

### PROJECT LOCATION



*Typical Unit Ventilators at IMS*





## **ATTACHMENT 20**



April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Mansfield Apartment Bathrooms – Phase II  
(Final: \$500,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$500,000 for the renovation of the Mansfield Apartments Bathrooms – Phase II.

BACKGROUND:

Phase II is the second phase of the bathroom renovation and code remediation project. The first phase was completed in the summer of 2011. This project will include the full renovation of 31 bathrooms and electrical code discrepancy corrections in 11 bathrooms. The 31 full renovations include replacement of the plumbing fixtures, tub and shower surround, sink, cabinets, flooring and lighting.

The Final Budget reflects a total project cost of \$500,000 based upon a professional estimator's cost estimate. The project design will be completed by mid-April 2014, with project construction starting in May with completion in mid-August. The University will notify the Board should competitive bidding result in a greater project cost.

The Final Budget is attached for your consideration and approval.

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: MANSFIELD APARTMENT BATHROOMS - PHASE II**

	<b>APPROVED PLANNING 2/25/2014</b>	<b>PROPOSED FINAL 4/23/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>	<b>PRC</b>	
CONSTRUCTION	\$ -	\$ 338,000
DESIGN SERVICES	18,000	25,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	40,000
OTHER AE SERVICES (including Project Management)	-	23,400
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	18,000
INSURANCE AND LEGAL	-	3,500
MISCELLANEOUS	-	2,100
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 18,000</b>	<b>\$ 450,000</b>
PROJECT CONTINGENCY	2,000	50,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 20,000</u></b>	<b><u>\$ 500,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
DEPARTMENTAL FUNDS-RESIDENTIAL LIFE	<u>\$ 20,000</u>	<u>\$ 500,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 20,000</u></b>	<b><u>\$ 500,000</u></b>

MANSFIELD APARTMENT BATHROOMS – PHASE II  
Project Budget (Final)  
4/23/2014

*PROJECT LOCATION*



## **ATTACHMENT 21**





April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Young Building Renovation/Addition – Envelope  
Repairs (Final: \$5,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$5,000,000 for repair of the exterior masonry envelope and roof and landscape restoration and improvements at the Wilfred B. Young Building.

BACKGROUND:

The Young Building is a 71,937 square foot classroom, laboratory and office building located on the Storrs campus. It was built in 1953 and is the home of the College of Agriculture and Natural Resources.

The exterior envelope of the building is aged and has significantly deteriorated to the point that it is allowing water to infiltrate to the interior of the building during rainstorms. The University has completed an investigation of the building envelope to assess the cause of the infiltration and is finalizing construction documents which define the extent of necessary repairs to the building envelope.

The scope of work determined necessary is to repair the roof and masonry façade to include selective removal and repair of damaged slate roof shingles and roof deck; removal and repair of the existing low slope membrane roof; repair and re-pointing of stone coping joints, removal and replacement or repair of any damaged stone coping; repair and/or replacement of existing flashing; removal and repair of deteriorated brick masonry in selected areas of the façade; re-pointing of masonry mortar joints; removal and replacement and or repair limestone banding and coping and; repair of window lintels and stone sills.

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At the conclusion of the envelope repair, areas of the site disturbed or damaged by the work will be restored and landscape improvements not included in the previous renovation project will be completed as part of this project. These include modifications to the exterior stairs and railings on the south side of the Young building, installation of new bicycle racks, restoration of disturbed lawn areas, and installation of new plantings at the south entry and the addition of new trees and landscaping in the islands of the recently reconstructed and enlarged parking lot.

The Final Budget reflects a total project cost of \$5,000,000 based upon the engineer's estimate. The project design will be completed by mid-April 2014 and submitted for competitive bidding in May 2014. Construction will mobilize soon after commencement and be completed by the end of the fall 2014 semester. The University requests a waiver to the three phase approval process in order to meet the project schedule. The University will notify the Board should competitive bidding result in a greater project cost.

Attachment

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: YOUNG BUILDING RENOVATION/ADDITION - ENVELOPE  
REPAIRS**


	<b>APPROVED PLANNING 11/26/2013</b>	<b>PROPOSED FINAL 4/23/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>	<b><u>EXVPACFO</u></b>	
CONSTRUCTION	\$ -	\$ 3,865,000
DESIGN SERVICES	265,000	280,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	6,000	170,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	30,000
INSURANCE AND LEGAL	-	5,000
MISCELLANEOUS	-	10,000
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 271,000</b>	<b>\$ 4,360,000</b>
PROJECT CONTINGENCY	29,000	640,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 300,000</u></b>	<b><u>\$ 5,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UCONN 2000 PHASE III	\$ 300,000	\$ 5,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 300,000</u></b>	<b><u>\$ 5,000,000</u></b>



## **ATTACHMENT 22**

April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray   
Executive Vice President for Administration and Chief Financial Officer

RE: Inter-Agency Transfer of Control to the Connecticut Department of  
Transportation (DOT)

RECOMMENDATION:

That the Board of Trustees grant a temporary inter-agency transfer of control to the Connecticut Department of Transportation for work related with the reconstruction of a culvert adjacent to North Eagleville Road.

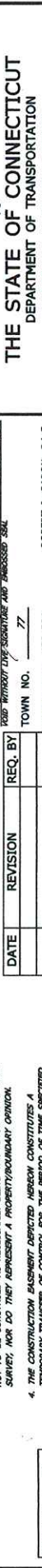
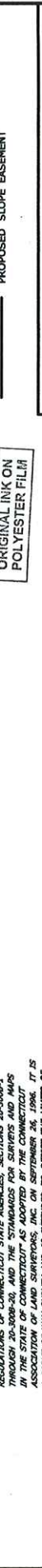
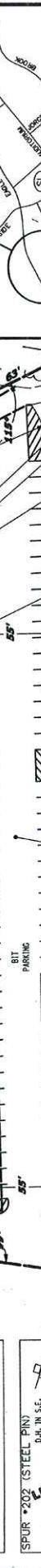
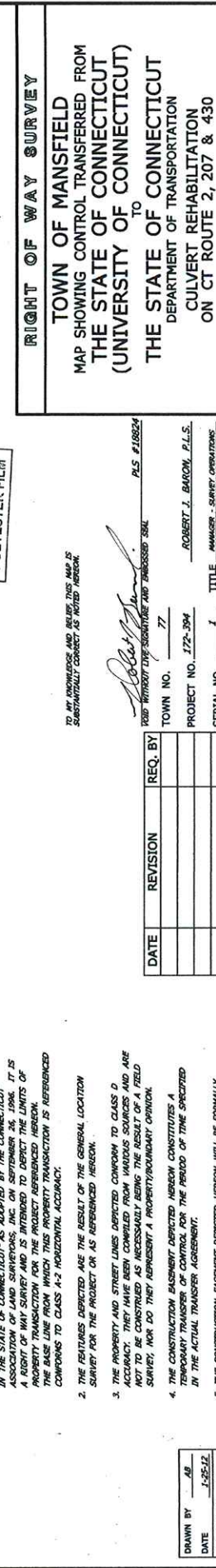
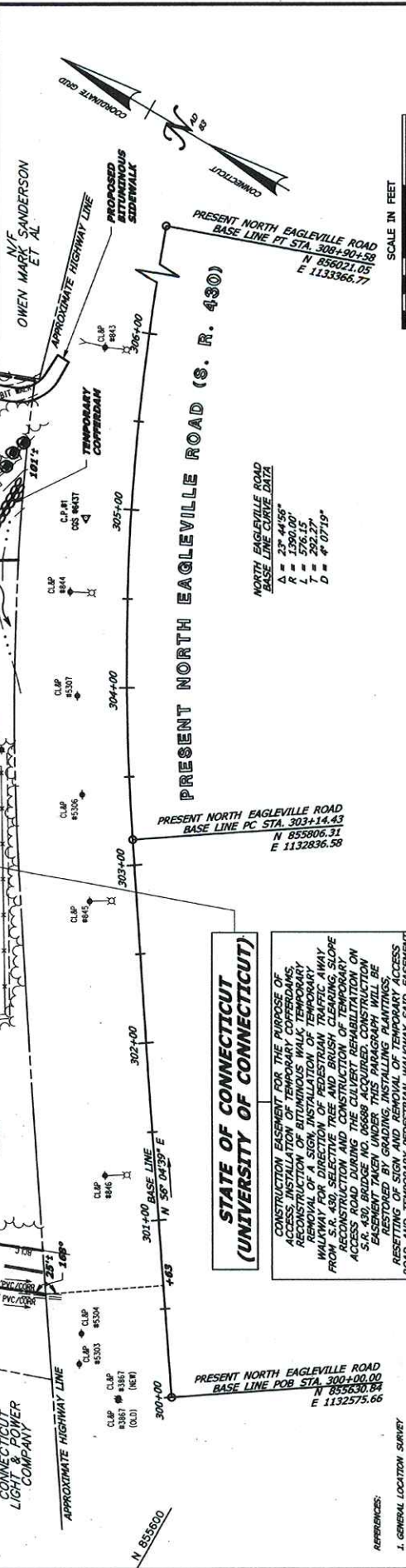
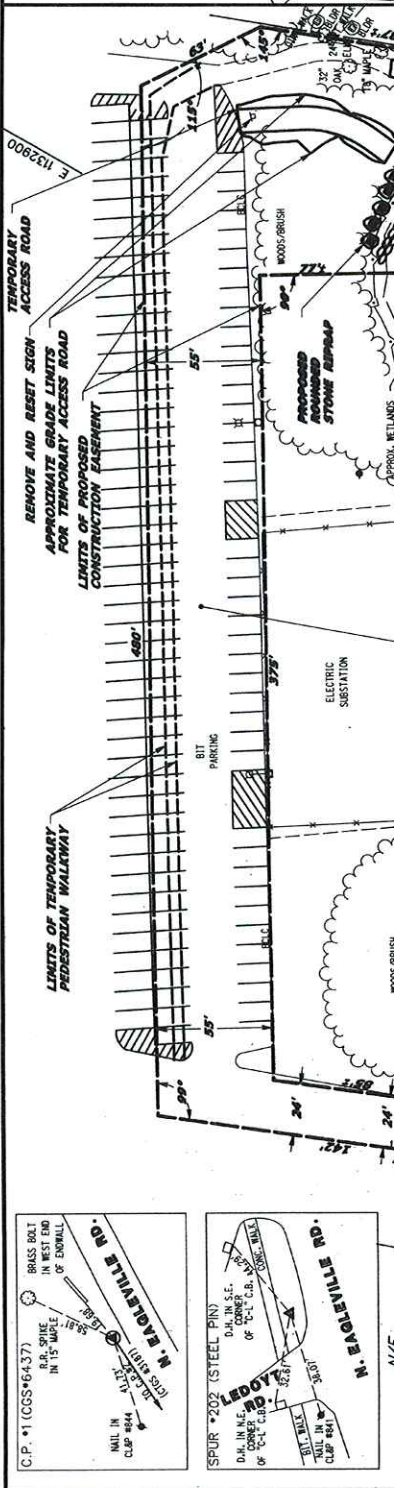
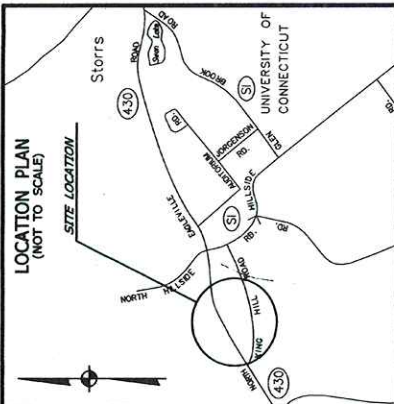
BACKGROUND:

The Connecticut Department of Transportation (DOT) is seeking from the University a temporary transfer of control, i.e. a temporary construction easement, to accomplish the DOT's work associated with the reconstruction of an existing culvert on the north side of North Eagleville Road. The affected land is adjacent to Eagleville Brook and Lower F Lot.

The transfer consists of a construction easement for the purpose of access, installation of temporary cofferdams, reconstruction of a bituminous walk, temporary removal of a sign, installation of a temporary walkway, selective tree and brush clearing, slope reconstruction, and construction of a temporary access road during the culvert rehabilitation.

The area to which the transfer would apply is shown on the attached drawing, entitled "Right of Way Survey," dated April 2013, and prepared by Thomas A. Harley, P.E. on behalf of the DOT.

This transfer is not permanent; it is intended to terminate no later than the completion of work. The University will seek no consideration for this easement because it is temporary and has been cooperatively sought by another state entity.



## **ATTACHMENT 23**



# UConn

## HEALTH CENTER

April 23, 2014

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH  
Executive Vice President for Health Affairs & Dean, School of Medicine

Richard D. Gray  
Executive Vice President for Administration & Chief Financial Officer

RE: **Inter-Agency Transfer and Traffic Control Easement for the UCHC  
Roadway and Intersection Improvements project**

### RECOMMENDATION:

That the Board of Trustees grant an Inter-Agency Transfer and a separate Traffic Control Easement for custody and control for certain parcels of land owned by the University of Connecticut Health Center.

The proposed Inter-Agency Transfer is between the Department of Transportation (DOT) and the Health Center.

The proposed Traffic Control Easement is between the Town of Farmington and the Health Center.

### BACKGROUND:

The planning for the construction associated with the Bioscience Connecticut initiative required that UCHC complete an Environmental Impact Evaluation (EIE) and an application to the Connecticut Department of Transportation's Office of State Traffic Administration (OSTA). As part of the EIE and OSTA certification process, certain on-site as well as off-site roadway and traffic control improvements are required to mitigate increased traffic volumes that are projected as a result of the construction of the New Hospital Building, Ambulatory Care Center and the Jackson Labs facilities.

The Board of Trustees approved the Final Budget in the amount of \$5,710,000 for the UCHC Roadway & Intersection Improvements at the January 13, 2014 meeting and construction is scheduled to start in April 2014.

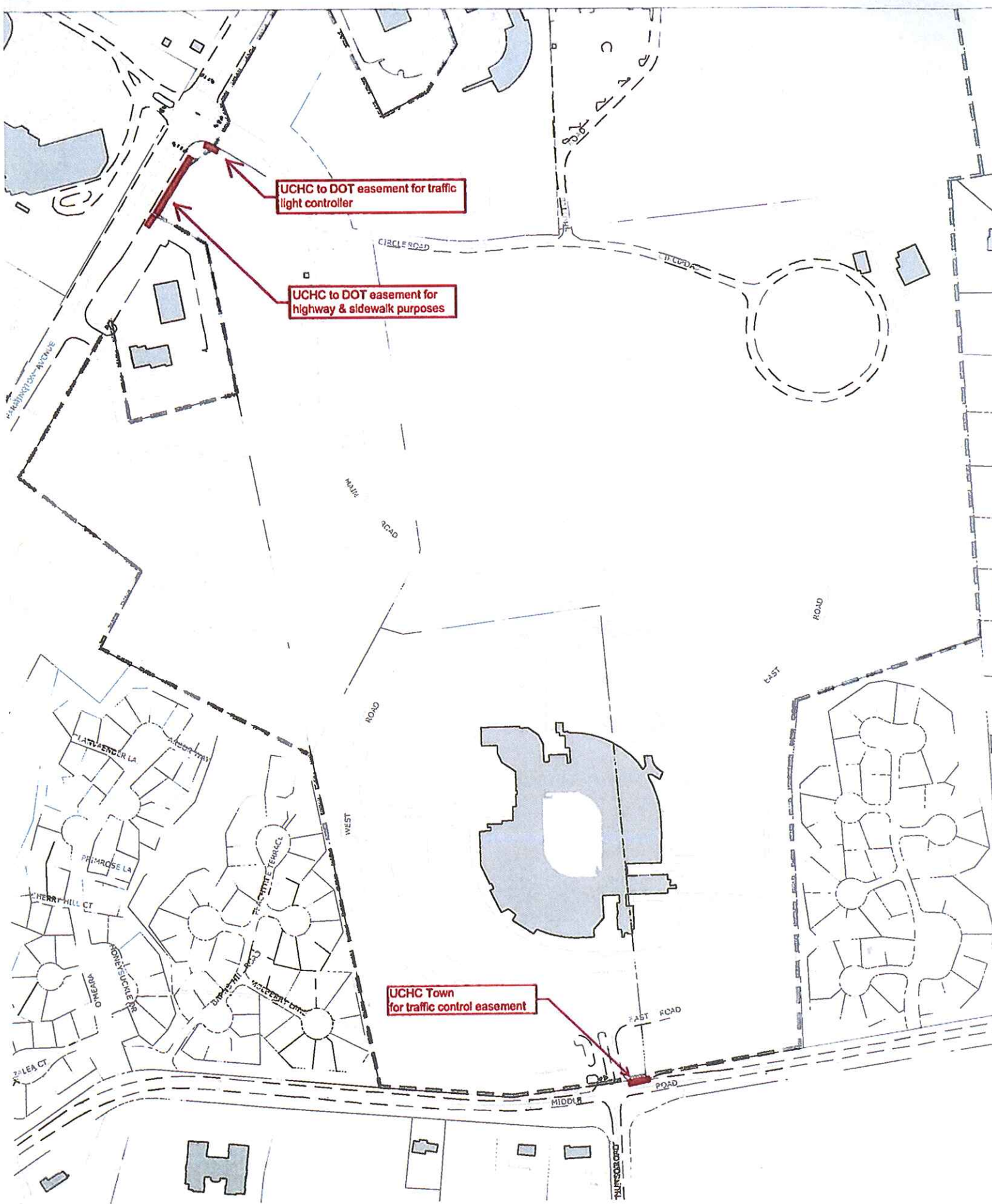
In order for UCHC to implement the roadway work for the approved project, certain small parcels of land are required to expand the road right of way for DOT and the Town of Farmington. The areas to which the transfer custody and control would apply are described in the attached Inter-Agency Transfer and Traffic Control Easement documents and are shown on the attached drawing. These transfers and easements are required before work can occur in these areas. There will be no monetary compensation associated with these transfers or easements

Attachment

UCHC to DOT easement for traffic light controller

UCHC to DOT easement for highway & sidewalk purposes

UCHC Town for traffic control easement



## **ATTACHMENT 24**





TO: Members of the Board of Trustees

FROM: Josh Newton, President & CEO, UConn Foundation

DATE: April 23, 2014

RE: UConn Foundation Fundraising Report

As of Monday, April 14, 2014, fiscal year 2014 new gifts and commitments totaled \$34,026,126 million, toward the goal for the year of \$65 million (52 percent to goal). There have been 154 gifts at the major and principal gift level, including 46 pledges and outright gifts at \$100,000 and above. Support for endowment comprises \$13.7 million of the total new gifts and commitments raised (39 percent), while support for current operations totals \$20.3 million (61 percent). Overall, fundraising is down 17.2 percent or \$7.0 million from last year. However, our \$1 million+ opportunity pipeline has increased since our last report. In addition to the \$7.5 million we have already received in verbal commitments to date, staff is adding another \$7.7 million in pending verbal commitments at \$1 million+. This increases the total of pending verbal commitments at \$1 million+ to \$15.2 million, to be closed by June 30, 2014.

The Development Committee of the UConn Foundation Board of Directors met on Thursday, March 6<sup>th</sup> to review current fiscal year fundraising progress and begin discussions on FY '15 fundraising goals. Josh Newton, Brian Otis, and Frank Gifford also met with Provost Mun Choi to review the FY '15 goal setting process. Josh, Brian, and Frank are scheduled to discuss FY '15 fundraising goals at the Provost's April 1<sup>st</sup> Council of Deans meeting and with their input, Foundation staff will develop a draft outline of goals that Josh Newton will review with President Herbst and Provost Choi to finalize.

**Summary of Commitments by Level**  
Through 3/14/2014

Printed 03-14-2014

Giving Range	FY 2012		FY 2013		FYTD 2013 through 3/14		FYTD 2014 through 3/14		FYTD 2014 vs. FYTD 2013
	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars
\$500,000 +	\$28,791,757	23	\$33,692,276	18	\$21,758,747	11	\$14,358,741	10	-34.0%
\$250,000 - \$499,999	\$5,338,403	15	\$5,597,145	17	\$3,954,806	12	\$3,000,380	8	-24.1%
\$100,000 - \$249,999	\$5,944,349	39	\$5,377,038	40	\$3,262,407	23	\$3,724,965	26	14.2%
\$25,000 - \$99,999	\$7,058,839	167	\$6,706,972	152	\$4,629,946	104	\$4,674,953	105	1.0%
\$10,000 - \$24,999	\$3,593,433	258	\$3,601,141	260	\$2,031,184	148	\$2,185,462	161	7.6%
\$1,000 - \$9,999	\$5,908,914	2,404	\$5,942,001	2,444	\$3,008,354	1,327	\$3,334,831	1,405	10.9%
\$1 - \$999	\$3,086,499	25,222	\$3,481,079	30,487	\$2,211,354	20,452	\$2,041,404	17,021	-7.7%
<b>Totals</b>	<b>\$59,722,194</b>	<b>28,128</b>	<b>\$64,397,652</b>	<b>33,418</b>	<b>\$40,856,798</b>	<b>22,077</b>	<b>\$33,320,737</b>	<b>18,736</b>	<b>-18.4%</b>

## Summary of Commitments by Unit

Through 3/14/2014

Printed 03-14-2014

Executive Level Unit	FY 2012	FY 2013	FYTD 2013 through 3/14	FYTD 2014 through 3/14	FYTD 2014 vs. FYTD 2013
<b>University</b>	<b>\$26,487,741</b>	<b>\$22,227,465</b>	<b>\$11,978,796</b>	<b>\$5,793,431</b>	<b>-51.6%</b>
Athletics	\$21,738,520	\$14,275,525	\$7,681,735	\$3,843,615	-50.0%
Diversity & Equity	\$0	\$100,000	\$0	\$0	0.0%
Economic Development	\$0	\$27,000	\$5,000	\$3,025	-39.5%
Enrollment Planning And Management	\$3,106,409	\$6,916,561	\$3,615,962	\$1,361,676	-62.3%
University	\$1,412,168	\$780,475	\$618,799	\$504,836	-18.4%
<b>Department</b>					
General - Includes Fund for UConn	\$1,412,168	\$780,475	\$618,799	\$504,836	-18.4%
University Communications	\$230,644	\$122,213	\$57,276	\$80,240	40.1%
Vice President For Research	\$0	\$5,692	\$25	\$39	56.8%
<b>Executive Vice President For Administration &amp; Chief Financial Officer</b>	<b>\$220</b>	<b>\$1,200</b>	<b>\$1,100</b>	<b>\$150</b>	<b>-86.4%</b>
Facilities Operation	\$120	\$1,000	\$1,000	\$0	-100.0%
Public Safety	\$100	\$200	\$100	\$150	50.0%
<b>Provost &amp; Executive Vice President For Academic Affairs</b>	<b>\$1,642,184</b>	<b>\$2,200,192</b>	<b>\$1,530,750</b>	<b>\$778,705</b>	<b>-49.1%</b>
Center For Continuing Studies	\$10,262	\$7,077	\$6,316	\$7,320	15.9%
Provost & Executive Vice President For Academic Affairs	\$1,132,335	\$1,355,244	\$936,329	\$234,632	-74.9%
Undergraduate Education	\$188,550	\$235,876	\$53,913	\$52,700	-2.3%
University Information Technology Services	\$6,691	\$2,545	\$1,460	\$860	-41.1%
University Libraries	\$299,200	\$590,833	\$529,379	\$100,861	-80.9%
Vice Provost For Global Affairs	\$5,147	\$8,617	\$3,353	\$382,332	11303.3%
<b>Schools &amp; Colleges</b>	<b>\$21,560,341</b>	<b>\$31,831,555</b>	<b>\$23,464,953</b>	<b>\$20,269,037</b>	<b>-13.6%</b>
Agriculture And Natural Resources	\$1,329,075	\$787,517	\$556,692	\$685,183	23.1%

**Summary of Commitments by Unit**  
 Through 3/14/2014

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Business	\$5,428,735	\$4,369,576	\$1,769,818	\$1,084,857	-38.7%
Education	\$1,903,366	\$1,829,737	\$1,083,940	\$1,045,519	-3.5%
Engineering	\$2,055,855	\$8,915,416	\$6,679,912	\$9,927,749	48.6%
Fine Arts	\$4,789,527	\$3,785,719	\$3,278,573	\$885,277	-73.0%
Law	\$1,723,705	\$798,035	\$640,964	\$981,047	53.1%
Liberal Arts And Sciences	\$3,309,482	\$9,759,180	\$8,673,192	\$4,074,183	-53.0%
Nursing	\$408,126	\$762,692	\$182,456	\$201,868	10.6%
School Of Pharmacy	\$518,831	\$508,557	\$323,512	\$1,061,657	228.2%
Social Work	\$83,690	\$314,076	\$274,842	\$320,647	16.7%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$9,950	\$1,050	\$1,050	\$1,050	0.0%
<b>Regional Campuses</b>	<b>\$284,286</b>	<b>\$210,167</b>	<b>\$72,842</b>	<b>\$91,836</b>	<b>26.1%</b>
Avery Point Regional Campus	\$74,209	\$82,038	\$34,076	\$32,821	-3.7%
Hartford Regional Campus	\$4,590	\$5,298	\$4,904	\$5,051	3.0%
Stamford Regional Campus	\$175,359	\$100,957	\$25,193	\$44,734	77.6%
Torrington Regional Campus	\$6,269	\$7,236	\$4,886	\$5,103	4.4%
Waterbury Regional Campus	\$23,858	\$14,637	\$3,782	\$4,127	9.1%
<b>Office Of Vice President For Student Affairs</b>	<b>\$250,532</b>	<b>\$227,833</b>	<b>\$113,656</b>	<b>\$114,281</b>	<b>0.5%</b>
Health Services	\$0	\$0	\$0	\$10,000	100.0%
Student Activities	\$50,437	\$112,932	\$73,799	\$61,202	-17.1%
Student Union	\$4,785	\$6,480	\$5,930	\$5,162	-13.0%
Vice President Of Student Affairs	\$195,310	\$108,421	\$33,927	\$37,917	11.8%
<b>Health Affairs</b>	<b>\$8,488,525</b>	<b>\$5,986,906</b>	<b>\$3,479,761</b>	<b>\$5,659,933</b>	<b>62.7%</b>
Dental Medicine	\$566,996	\$371,207	\$322,112	\$415,873	29.1%
Executive Vice President Of Health Affairs	\$1,808,977	\$1,241,768	\$1,168,012	\$609,910	-47.8%
Foundation Fundraising Health Center	\$1,277,398	\$1,648,208	\$649,003	\$595,920	-8.2%
Medicine	\$4,835,154	\$2,725,723	\$1,340,634	\$4,038,230	201.2%

**Summary of Commitments by Unit**  
 Through 3/14/2014

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<b>Foundation</b>	<b>\$838,156</b>	<b>\$1,652,901</b>	<b>\$160,694</b>	<b>\$375,065</b>	<b>133.4%</b>
Foundation Operations	\$838,156	\$1,652,901	\$160,694	\$375,065	133.4%
<b>Other Entities</b>	<b>\$170,209</b>	<b>\$59,434</b>	<b>\$54,246</b>	<b>\$238,299</b>	<b>339.3%</b>
Hillel	\$170,209	\$59,434	\$54,246	\$238,299	339.3%
<b>Totals</b>	<b>\$59,722,194</b>	<b>\$64,397,652</b>	<b>\$40,856,798</b>	<b>\$33,320,737</b>	<b>-18.4%</b>
<b>Endowment and Similar</b>	<b>\$12,710,373</b>	<b>\$26,202,099</b>	<b>\$19,601,938</b>	<b>\$12,953,329</b>	<b>-33.9%</b>
<b>Other Current Operations</b>	<b>\$47,011,821</b>	<b>\$38,195,553</b>	<b>\$21,254,860</b>	<b>\$20,367,408</b>	<b>-4.2%</b>

## Summary of Commitments by Purpose

FYTD 2014 Through 3/14/2014

Printed 03-14-2014

Development Area Unit / Group	Capital Improvements	Faculty Support	Research	Program Support	Student Support	TOTAL	FYTD 2014 vs. FYTD 2013
<b>University</b>	<b>\$1,649,494</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,423,430</b>	<b>\$1,720,507</b>	<b>\$5,793,431</b>	<b>-51.6%</b>
Athletics	\$1,641,678	\$0	\$0	\$1,880,415	\$321,522	\$3,843,615	-50.0%
Economic Development	\$0	\$0	\$0	\$3,025	\$0	\$3,025	-39.5%
Enrollment Planning And Management	\$0	\$0	\$0	\$888	\$1,360,788	\$1,361,676	-62.3%
University	\$6,977	\$0	\$0	\$497,859	\$0	\$504,836	-18.4%
<b>Department</b>							
General - Includes Fund for UCONN	\$6,977	\$0	\$0	\$497,859	\$0	\$504,836	-18.4%
University Communications	\$800	\$0	\$0	\$41,243	\$38,197	\$80,240	40.1%
Vice President For Research	\$39	\$0	\$0	\$0	\$0	\$39	56.8%
<b>Executive Vice President For Administration &amp; Chief Financial Officer</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$150</b>	<b>\$0</b>	<b>\$150</b>	<b>-86.4%</b>
Facilities Operation	\$0	\$0	\$0	\$0	\$0	\$0	-100.0%
Public Safety	\$0	\$0	\$0	\$150	\$0	\$150	50.0%
<b>Provost &amp; Executive Vice President For Academic Affairs</b>	<b>\$192,730</b>	<b>\$21,003</b>	<b>\$0</b>	<b>\$454,698</b>	<b>\$110,274</b>	<b>\$778,705</b>	<b>-49.1%</b>
Center For Continuing Studies	\$0	\$0	\$0	\$3,348	\$3,972	\$7,320	15.9%
Provost & Executive Vice President For Academic Affairs	\$192,730	\$20,000	\$0	\$17,270	\$4,632	\$234,632	-74.9%
Undergraduate Education	\$0	\$70	\$0	\$49,988	\$2,642	\$52,700	-2.3%
University Information Technology Services	\$0	\$0	\$0	\$860	\$0	\$860	-41.1%
University Libraries	\$0	\$933	\$0	\$99,928	\$0	\$100,861	-80.9%
Vice Provost For Global Affairs	\$0	\$0	\$0	\$283,304	\$99,028	\$382,332	11303.3%
<b>Schools &amp; Colleges</b>	<b>\$55,039</b>	<b>\$2,652,737</b>	<b>\$1,794,632</b>	<b>\$8,345,582</b>	<b>\$7,421,047</b>	<b>\$20,269,037</b>	<b>-13.6%</b>

**Summary of Commitments by Purpose**  
FYTD 2014 Through 3/14/2014

Printed 03-14-2014

Agriculture And Natural Resources	\$45,977	\$150	\$121,208	\$430,110	\$87,738	\$685,183	23.1%
Business	\$0	\$20,200	\$0	\$720,427	\$344,230	\$1,084,857	-38.7%
Education	\$0	\$0	\$302,280	\$546,757	\$196,481	\$1,045,519	-3.5%
Engineering	\$1,950	\$2,314,500	\$200,000	\$3,375,935	\$4,035,364	\$9,927,749	48.6%
Fine Arts	\$1,400	\$0	\$0	\$830,156	\$53,721	\$885,277	-73.0%
Law	\$0	\$0	\$0	\$902,581	\$78,466	\$981,047	53.1%
Liberal Arts And Sciences	\$0	\$281,560	\$398,644	\$1,211,017	\$2,182,962	\$4,074,183	-53.0%
Nursing	\$5,712	\$0	\$15,000	\$75,745	\$105,411	\$201,868	10.6%
School Of Pharmacy	\$0	\$36,327	\$757,500	\$222,420	\$45,410	\$1,061,657	228.2%
Social Work	\$0	\$0	\$0	\$30,384	\$290,263	\$320,647	16.7%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$0	\$0	\$0	\$50	\$1,000	\$1,050	0.0%
<b>Regional Campuses</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$53,127</b>	<b>\$38,709</b>	<b>\$91,836</b>	<b>26.1%</b>
Avery Point Regional Campus	\$0	\$0	\$0	\$22,033	\$10,789	\$32,821	-3.7%
Hartford Regional Campus	\$0	\$0	\$0	\$1,051	\$4,000	\$5,051	3.0%
Stamford Regional Campus	\$0	\$0	\$0	\$22,814	\$21,920	\$44,734	77.6%
Torrington Regional Campus	\$0	\$0	\$0	\$3,127	\$1,975	\$5,103	4.4%
Waterbury Regional Campus	\$0	\$0	\$0	\$4,102	\$25	\$4,127	9.1%
<b>Office Of Vice President For Student Affairs</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,000</b>	<b>\$73,004</b>	<b>\$31,277</b>	<b>\$114,281</b>	<b>0.5%</b>
Health Services	\$0	\$0	\$10,000	\$0	\$0	\$10,000	100.0%
Student Activities	\$0	\$0	\$0	\$48,554	\$12,648	\$61,202	-17.1%
Student Union	\$0	\$0	\$0	\$5,162	\$0	\$5,162	-13.0%
Vice President Of Student Affairs	\$0	\$0	\$0	\$19,289	\$18,629	\$37,917	11.8%
<b>Health Affairs</b>	<b>\$17,410</b>	<b>\$11,099</b>	<b>\$2,966,116</b>	<b>\$2,544,954</b>	<b>\$120,355</b>	<b>\$5,659,933</b>	<b>62.7%</b>
Dental Medicine	\$3,000	\$100	\$218,775	\$168,938	\$25,060	\$415,873	29.1%

## Summary of Commitments by Purpose

FYTD 2014 Through 3/14/2014

Printed 03-14-2014

Executive Vice President Of Health Affairs	\$425	\$200	\$0	\$597,265	\$12,021	\$609,910	-47.8%
Foundation Fundraising Health Center	\$0	\$0	\$0	\$595,920	\$0	\$595,920	-8.2%
Medicine	\$13,985	\$10,799	\$2,747,341	\$1,182,832	\$83,274	\$4,038,230	201.2%
<b>Foundation</b>	<b>\$75,000</b>	<b>\$38,213</b>	<b>\$0</b>	<b>\$261,821</b>	<b>\$30</b>	<b>\$375,065</b>	<b>133.4%</b>
Foundation Operations	\$75,000	\$38,213	\$0	\$261,821	\$30	\$375,065	133.4%
<b>Other Entities</b>	<b>\$174,574</b>	<b>\$0</b>	<b>\$0</b>	<b>\$63,725</b>	<b>\$0</b>	<b>\$238,299</b>	<b>339.3%</b>
Hillel	\$174,574	\$0	\$0	\$63,725	\$0	\$238,299	339.3%
<b>Totals</b>	<b>\$2,164,248</b>	<b>\$2,723,052</b>	<b>\$4,770,748</b>	<b>\$14,220,491</b>	<b>\$9,442,198</b>	<b>\$33,320,737</b>	<b>-18.4%</b>
<b>Endowment and Similar</b>	<b>\$800</b>	<b>\$2,583,028</b>	<b>\$0</b>	<b>\$3,038,560</b>	<b>\$7,330,941</b>	<b>\$12,953,329</b>	<b>-33.9%</b>
<b>Other Current Operations</b>	<b>\$2,163,448</b>	<b>\$140,023</b>	<b>\$4,770,748</b>	<b>\$11,181,931</b>	<b>\$2,111,258</b>	<b>\$20,367,408</b>	<b>-4.2%</b>



## Summary of Commitments by Source

Through 3/14/2014

Printed 03-14-2014

Executive Level Unit	Individuals			Organizations			TOTAL	FYTD 2014 vs. FYTD 2013
	Alumni / Students	Parents	Other Individuals	Corporations	Foundations	Other Organizations		
<b>University</b>	<b>\$1,927,677</b>	<b>\$201,808</b>	<b>\$2,219,438</b>	<b>\$1,120,809</b>	<b>\$238,104</b>	<b>\$70,547</b>	<b>\$5,793,431</b>	<b>-51.6%</b>
Athletics	\$1,421,650	\$89,959	\$1,133,650	\$1,049,779	\$91,994	\$45,390	\$3,843,615	-50.0%
Economic Development	\$25	\$0	\$3,000	\$0	\$0	\$0	\$3,025	-39.5%
Enrollment Planning And Management	\$234,857	\$10,935	\$957,358	\$26,187	\$119,992	\$10,248	\$1,361,676	-62.3%
University	\$216,246	\$99,594	\$120,455	\$41,911	\$14,253	\$10,671	\$504,836	-18.4%
<b>Department</b>								
General - Includes Fund for UCONN	\$216,246	\$99,594	\$120,455	\$41,911	\$14,253	\$10,671	\$504,836	-18.4%
University Communications	\$54,900	\$1,320	\$4,936	\$2,932	\$11,864	\$4,238	\$80,240	40.1%
Vice President For Research	\$0	\$0	\$39	\$0	\$0	\$0	\$39	56.8%
<b>Executive Vice President For Administration &amp; Chief Financial Officer</b>	<b>\$0</b>	<b>\$150</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$150</b>	<b>-86.4%</b>
Facilities Operation	\$0	\$0	\$0	\$0	\$0	\$0	\$0	-100.0%
Public Safety	\$0	\$150	\$0	\$0	\$0	\$0	\$150	50.0%
<b>Provost &amp; Executive Vice President For Academic Affairs</b>	<b>\$109,387</b>	<b>\$14,596</b>	<b>\$246,357</b>	<b>\$32,503</b>	<b>\$291,180</b>	<b>\$76,461</b>	<b>\$778,705</b>	<b>-49.1%</b>
Center For Continuing Studies	\$5,160	\$25	\$2,135	\$0	\$0	\$0	\$7,320	15.9%
Provost & Executive Vice President For Academic Affairs	\$23,785	\$137	\$195,130	\$15,500	\$30	\$0	\$234,632	-74.9%
Undergraduate Education	\$30,703	\$6,167	\$800	\$8,465	\$6,050	\$250	\$52,700	-2.3%
University Information Technology Services	\$36	\$252	\$400	\$100	\$0	\$0	\$860	-41.1%
University Libraries	\$20,855	\$2,350	\$18,731	\$6,513	\$9,000	\$42,678	\$100,861	-80.9%
Vice Provost For Global Affairs	\$28,848	\$5,665	\$29,161	\$1,925	\$276,100	\$33,533	\$382,332	11303.3%
<b>Schools &amp; Colleges</b>	<b>\$3,727,852</b>	<b>\$85,143</b>	<b>\$3,520,828</b>	<b>\$9,325,339</b>	<b>\$978,216</b>	<b>\$2,526,765</b>	<b>\$20,269,037</b>	<b>-13.6%</b>
Agriculture And Natural Resources	\$263,017	\$9,241	\$81,585	\$76,628	\$131,438	\$111,127	\$685,183	23.1%
Business	\$429,042	\$9,613	\$46,954	\$359,913	\$199,025	\$32,473	\$1,084,857	-38.7%

**Summary of Commitments by Source**  
 Through 3/14/2014

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Education	\$139,727	\$8,145	\$165,090	\$397,689	\$188,670	\$144,837	\$1,045,519	-3.5%
Engineering	\$358,149	\$5,176	\$1,544,123	\$7,976,864	\$18,520	\$23,517	\$9,927,749	48.6%
Fine Arts	\$74,656	\$32,801	\$582,318	\$55,356	\$69,678	\$31,613	\$885,277	-73.0%
Law	\$422,854	\$1,100	\$35,089	\$62,544	\$27,000	\$431,545	\$981,047	53.1%
Liberal Arts And Sciences	\$1,775,284	\$12,518	\$738,219	\$220,612	\$273,405	\$1,021,953	\$4,074,183	-53.0%
Nursing	\$60,988	\$2,115	\$86,838	\$3,675	\$36,850	\$7,800	\$201,868	10.6%
School Of Pharmacy	\$133,545	\$2,555	\$3,115	\$168,008	\$31,520	\$721,775	\$1,061,657	228.2%
Social Work	\$70,592	\$1,830	\$236,497	\$4,050	\$2,110	\$125	\$320,647	16.7%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$0	\$50	\$1,000	\$0	\$0	\$0	\$1,050	0.0%
<b>Regional Campuses</b>	<b>\$14,907</b>	<b>\$2,669</b>	<b>\$18,358</b>	<b>\$14,390</b>	<b>\$34,000</b>	<b>\$330</b>	<b>\$91,836</b>	<b>26.1%</b>
Avery Point Regional Campus	\$5,624	\$770	\$11,668	\$1,240	\$12,000	\$225	\$32,821	-3.7%
Hartford Regional Campus	\$526	\$125	\$0	\$0	\$0	\$0	\$5,051	3.0%
Stamford Regional Campus	\$6,080	\$254	\$3,330	\$13,000	\$22,000	\$0	\$44,734	77.6%
Torrington Regional Campus	\$1,634	\$1,350	\$1,840	\$100	\$0	\$75	\$5,103	4.4%
Waterbury Regional Campus	\$1,043	\$170	\$1,520	\$50	\$0	\$30	\$4,127	9.1%
<b>Office Of Vice President For Student Affairs</b>	<b>\$39,438</b>	<b>\$16,444</b>	<b>\$18,180</b>	<b>\$20,312</b>	<b>\$15,500</b>	<b>\$1,530</b>	<b>\$114,281</b>	<b>0.5%</b>
Health Services	\$0	\$0	\$0	\$0	\$10,000	\$0	\$10,000	100.0%
Student Activities	\$23,980	\$8,360	\$13,834	\$11,064	\$500	\$1,430	\$61,202	-17.1%
Student Union	\$4,112	\$500	\$500	\$0	\$0	\$0	\$5,162	-13.0%
Vice President Of Student Affairs	\$11,346	\$7,584	\$3,846	\$9,248	\$5,000	\$100	\$37,917	11.8%
<b>Health Affairs</b>	<b>\$252,128</b>	<b>\$24,352</b>	<b>\$1,674,270</b>	<b>\$755,157</b>	<b>\$1,358,317</b>	<b>\$1,524,659</b>	<b>\$5,659,933</b>	<b>62.7%</b>
Dental Medicine	\$63,899	\$250	\$64,955	\$28,595	\$157,775	\$46,375	\$415,873	29.1%
Executive Vice President Of Health Affairs	\$14,849	\$5,264	\$492,503	\$35,729	\$42,384	\$12,454	\$609,910	-47.8%
Foundation Fundraising Health Center	\$35,506	\$3,608	\$92,006	\$395,270	\$59,408	\$6,757	\$595,920	-8.2%
Medicine	\$137,874	\$15,230	\$1,024,806	\$295,564	\$1,098,750	\$1,459,072	\$4,038,230	201.2%

**Summary of Commitments by Source**  
Through 3/14/2014

Printed 03-14-2014

<b>Foundation</b>	<b>\$217,547</b>	<b>\$1,910</b>	<b>\$12,783</b>	<b>\$27,820</b>	<b>\$25,600</b>	<b>\$88,815</b>	<b>\$375,065</b>	<b>133.4%</b>
Foundation Operations	\$217,547	\$1,910	\$12,783	\$27,820	\$25,600	\$88,815	\$375,065	133.4%
<b>Other Entities</b>	<b>\$66,025</b>	<b>\$11,798</b>	<b>\$36,918</b>	<b>\$1,618</b>	<b>\$119,500</b>	<b>\$1,580</b>	<b>\$238,299</b>	<b>339.3%</b>
Hillel	\$66,025	\$11,798	\$36,918	\$1,618	\$119,500	\$1,580	\$238,299	339.3%
<b>Totals</b>	<b>\$6,354,961</b>	<b>\$358,870</b>	<b>\$7,747,132</b>	<b>\$11,297,948</b>	<b>\$3,060,418</b>	<b>\$4,290,687</b>	<b>\$33,320,737</b>	<b>-18.4%</b>
<b>Endowment and Similar</b>	<b>\$2,611,059</b>	<b>\$45,102</b>	<b>\$3,589,707</b>	<b>\$5,461,083</b>	<b>\$151,125</b>	<b>\$1,057,961</b>	<b>\$12,953,329</b>	<b>-33.9%</b>
<b>Other Current Operations</b>	<b>\$3,743,902</b>	<b>\$313,768</b>	<b>\$4,157,425</b>	<b>\$5,836,865</b>	<b>\$2,909,293</b>	<b>\$3,232,726</b>	<b>\$20,367,408</b>	<b>-4.2%</b>

## **INFORMATIONAL ITEMS**

**University of Connecticut Department of Human Resources**  
**New Hires Processed from March 8, 2014 to April 4, 2014**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
ARMINGTON, SHOSHANA F.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	COMPUTER SCIENCE & ENGR	3/7/2014
CECH, MICHELLE E.	UC PROFESSIONAL 05 - FINANCIAL ASST II	ENGINEERING DEAN OFC	3/7/2014
FOREST, CLAIRE	ASST RESEARCH PROF	ALLIED HEALTH SCIENCES	3/17/2014
GLASGOW, MICHAEL G. JR	ASSOC VICE PRESIDENT	SPONSORED PROGRAMS	3/31/2014
KAUR, SANGMEET	UC PROFESSIONAL 05 - ADMIN COORDINATOR	CT INFO TECH INST	3/21/2014
KIM, JUNG NYO	U POST DOC FEL 1	PSYCHOLOGY	3/21/2014
KLEBART, GREG E.	UC PROFESSIONAL 08 - INFO SYS ANALYST III	CT TRANSPORTATION INST	3/14/2014
LOKESH KUMAR, FNU	U POST DOC FEL 1	PHARMACEUTICAL SCI	3/5/2014
LORENZETTI, ANTHONY A.	UC PROFESSIONAL 08 - TECHNICAL ASSOC	CT TRANSPORTATION INST	3/21/2014
LOSAPPIO, WHITNEY L.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	CIVIL & ENVIRON ENG	3/7/2014
MCGUIRE, LISA M.	UC PROFESSIONAL 07 - PROGRAM SPEC II	CAREER DEVELOPMENT	3/7/2014
MROTEK, RYSZARD D.	UC PROFESSIONAL 06 - PROGRAM SPEC I	ISS-ACADEMIC PROG CTR	3/7/2014
NOTAR-FRANCESCO, MARIEL A	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	CHEMICAL & BIOMOLECULAR	3/7/2014
O'CONNELL, KEVIN P.	UC PROFESSIONAL 07 - PROGRAM SPEC II	COMMUNITY STANDARDS	3/7/2014
PHILLIPS, RAELENE M.	UC PROFESSIONAL 05 - FINANCIAL ASST II	CTR CLEAN ENERGY ENGINEER	3/7/2014
SALE, JENNIFER A.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PATHOBIOLOGY	3/7/2014
SHULL, EMILY J.	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	ENGINEERING DEAN OFC	3/3/2014
SOKOL, DANIEL	UC PROFESSIONAL 09 - DATABASE ADMIN 2	INST RESEARCH & EFFECTIVE	3/28/2014
UPADHYAY, ABHINAV	U POST DOC FEL 1	ANIMAL SCIENCE	2/25/2014
WRIGHT, ALEXANDER T.	UC PROFESSIONAL 03 - PROG ASSISTANT I	LIBERAL ARTS & SCI DEAN	3/14/2014

**University of Connecticut Department of Human Resources**  
**Separations Processed from March 8, 2014 to April 4, 2014**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPT</b>	<b>DATE</b>
BREEN, CORNELIUS A.	UC PROFESSIONAL 09 - ASST FINANCE DIR II	RESIGNATION (GOOD STANDING)	VPRES	3/17/2014
BURL, DENIELLE M.	U STAFF PROF III	RESIGNATION (GOOD STANDING)	GC	3/21/2014
CLIFFORD, JOHN G.	PROFESSOR	DEATH (NON-EMPLOYMENT RELATED)	POLS	3/26/2014
CRUZ, LUIS A.	UC PROFESSIONAL 07 - AUDIO VISUAL TECH 2	RESIGNATION (GOOD STANDING)	WTBY	3/20/2014
GRAY, KRISTA D.	UC PROFESSIONAL 07 - PROGRAM SPEC II	RESIGNATION (GOOD STANDING)	DISABL	3/14/2014
HOLMQUIST, NORMA	UC PROFESSIONAL 10 - U LIBRARIAN IV	RETIREMENT (55/W 10-24 YEARS)	LIB	3/31/2014
MILLER, ROBERT F.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	MUSI	3/31/2014
OBENG-ASIEDU, KOFI	UC PROFESSIONAL 07 - PROGRAM ASSOCIATE	RESIGNATION (GOOD STANDING)	GLOBAL	3/31/2014
POLLACK, JEREMY	UC PROFESSIONAL 11 - COMPUTER TECHNICIAN	RESIGNATION (GOOD STANDING)	SBDO	3/21/2014
ROVINSKI, SUSAN	UC PROFESSIONAL 05 - DATA BASE PROG	TERMINATION (LEAVE EXPIRED)	ADMISS	3/18/2014
SALCEDO, NORMA R.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	3/25/2014
STAHL, PAULA	ASST COOP EXT EDUC	RETIREMENT (55/W 10-24 YEARS)	PLSC	3/31/2014
STEFANIAK, LAUREN M.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	MS/MST	3/27/2014
WATKINS, BRUCE	PROFESSOR	RESIGNATION (GOOD STANDING)	NUSC	3/20/2014
XU, YAN	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ECE	4/3/2014

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 4/10/14**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Bock, Monica	Associate Professor	Art & Art History	4/10/14 - 5/10/14	Medical leave with pay
Bryan, Marva	Info Systems Analyst 1 (UCP VI)	Admissions	2/11/14 - 4/3/14	Medical leave with pay
Eckert, Rebecca	Assistant Clinical Professor	Curriculum & Instr., Education	12/12/13 - 5/9/14	Medical leave with pay
Fikiet, John	Mech/Elec Inst Engineer (UCP X)	Engineering Deans Office	1/17/14 - 4/2/14	Medical leave with pay
Fisher, Robert	Professor	School of Social Work	2/23/15 - 8/22/15	Fulbright with pay (reimburse living exp)
Frick, Roberta	Financial Aid Director (UCP VIII)	Law School Deans Office	1/27/14 - 3/20/14	Medical leave with pay
Jang, Shinae	Assistant Professor	Civil & Environmental Engineering	9/30/13 - 11/8/13	Maternity leave with pay
Martin, Elaine	Financial Assistant II (UCP V)	Accounting Office	3/5/14 - 3/20/14	Medical leave with pay
McCutcheon, Priscilla	Professor	Geography & Africana Studies Inst	8/23/14 - 8/22/15	Postdoctoral Fellowship with 24% pay
Papacostas Ginolfi, Lynn	Coordinator Counselor (UCP VII)	ISS - Academic Programs Center	1/21/14 - 1-23-14	Medical leave with pay
Renfro, Sonya	Program Coordinator (UCP V)	Engineering Diversity Program	3/10/14 - 4/3/14	Medical leave with pay
Rumpho-Kennedy, Mary	Professor	Molecular & Cell Biology	8/23/14 - 8/22/15	Personal leave without pay
Saba, G. M.	Oper Sys Prog/Analyst III (UCP IX)	Technical Infrastructure	2/27/14 - 3/13/14	Medical leave with pay
Seda Ramirez, Laurietz	Associate Professor	Literature, Culture & Language	8/25/14 - 12/14/14	Medical leave with pay
Soucy, Kevin	Oper Sys Prog/Analyst II (UCP VII)	Technical Infrastructure	2/21/14 - 2/25/14	Medical leave with pay
Valone, Carol	Administrative Manager I (UCP VI)	Psychology	3/6/14 - 4/1/14	Medical leave with pay
Woulfin, Sarah	Assistant Professor	Educational Leadership	9/23/13 - 11/18/13	Maternity leave with pay

## **COMMITTEE AGENDAS**



## **AGENDA**

Meeting of the  
**BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE**  
April 10, 2014, 10:45 a.m.\*  
University of Connecticut School of Social Work  
Zachs Community Room  
West Hartford, Connecticut

Call In Number: (866) 776-6259 Participant Passcode: 7151229

*\* Presentations of Project Updates will be made at approximately **10:30 a.m.**  
to both the BGE and CMOC Committees.*

### **DISCUSSION/INFORMATION ITEMS (10:30 a.m.) \*:**

### **ATTACHMENT**

- 1) Project Updates
  - UCHC
    - Bio Science Connecticut
    - Owner Controlled Insurance Program (OCIP)
    - BioScience Connecticut Monthly Capital Projects Report (*Informational*) 4
  - Storrs
    - Next Generation Connecticut
- 2) Revised 2014 BGE Meeting Schedule 5
- 3) NextGen Permitting
- 4) Anticipated Request for Waiver of LEEDS and High Performance Building Requirements for Arjona (retroactive) and Monteith Renovations

### **ACTION ITEMS:**

- 5) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meetings of February 20, 2014, as circulated 6
- 6) Inter-Agency Transfer of Control to the Connecticut Department of Transportation (DOT) 7
- 7) Inter-Agency Transfer and Traffic Control Easement for the UCHC Roadway and Intersection Improvements Project 8

### **EXECUTIVE SESSION**

*\*Note: Project Update Presentations will be made at approximately **10:30 a.m.***

**AGENDA**  
**Meeting of the**  
**CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE (CMOC)**  
**University of Connecticut School of Social Work**  
**Zach's Community Room, West Hartford, Connecticut**  
**April 10, 2014, 10:00 a.m.\***

Call In Number: (866) 776-6259 Participant Passcode: 7151229

*\*Note: Presentation of this agenda item will be at approximately 10:30 am. to both the CMOC and BG&E*

**ATTACHMENT**

**PUBLIC COMMENTS:**

**ACTION ITEMS:**

- |  |   |
|--|---|
| 1. Approval of the Minutes of the Construction Management Oversight Committee (CMOC) Meeting held on February 20, 2014 | 1 |
|--|---|

**PRESENTATIONS AND DISCUSSIONS:**

- |  |   |
|--|---|
| 2. April 2014 Quarterly Reports on Construction Performance Reported by the Office of Construction Assurance | 2 |
|--|---|

- |  |  |
|--|--|
| 3. Updates On Operational & Organizational Activities & Improvements: <ul style="list-style-type: none"><li>• Laura A. Cruickshank, University Master Planner and Chief Architect, Office of Planning, Architecture &amp; Engineering Services (PAES)</li><li>• Matthew Larson, Director of Procurement Services, Capital Project and Contract Administration (CPCA)</li></ul> |  |
| 4. Status of Code Correction Projects: <ul style="list-style-type: none"><li>• Construction Management Oversight Committee Monthly Code Correction Status Report – Comments/Questions</li></ul>  |  |
| 5. December 31, 2013 Quarterly Construction Status Report – Comments/Questions   |  |

- |                                       |   |
|---------------------------------------|---|
| 6. Revised 2014 CMOC Meeting Schedule | 3 |
|---------------------------------------|---|

- |   |   |
|---|---|
| 7. Update of Current Construction Project Progress <ul style="list-style-type: none"><li>• UCHC<ul style="list-style-type: none"><li>➤ Bio Science Connecticut</li><li>➤ Owner Controlled Insurance Program (OCIP)</li><li>➤ BioScience Connecticut Monthly Capital Projects Report (Informational)</li></ul></li><li>• Storrs<ul style="list-style-type: none"><li>➤ Next Generation Connecticut</li></ul></li></ul> | 4 |
|---|---|

**THE UNIVERSITY OF CONNECTICUT  
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE  
April 23, 2014**

**Ground Level Portico, Lewis B. Rome Commons Ballroom, Storrs Campus  
*12:30 PM (anticipated), following the meeting of the Board of Trustees***

**AGENDA**

- |    |   |                                   |
|----|---|-----------------------------------|
| 1. | Call to order                                     | Trustee Bailey                    |
| 2. | Review and Approval of minutes of 2/26/14 meeting | Trustee Bailey                    |
| 3. | Chairwoman's Report                               | Trustee Bailey                    |
| 4. | USG Constitution Revision                         | Christine Wilson                  |
| 5. | Vice President for Student Affairs Report         | Michael Gilbert                   |
| 6. | Student Trustees Report                           | Trustee Barham<br>Trustee Daniels |
| 7. | Other Business                                    | All                               |
| 8. | Adjournment                                       | Trustee Bailey                    |

## **Academic Affairs**

**AGENDA**  
Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**

Wednesday, April 23, 2014  
Rome Commons Ballroom  
Storrs, CT  
9:00 a.m. – 9:15 a.m.

	<b><u>COMMITTEE</u></b>	<b><u>ATTACHMENT</u></b>
1) Minutes of the Academic Affairs Committee Meeting of March 26, 2014, as circulated	A	
<b><u>ACTION ITEMS:</u></b>		
2) Promotion and Tenure		2
3) Appointment of Dr. Richard Sosis to the James Barnett Professorship in Humanistic Anthropology in the College of Liberal Arts and Sciences		3
4) Appointment of Dr. Brenton Graveley to the John and Donna Krenicki Endowed Professorship in Genomics and Personalized Healthcare in the Institute for Systems Genomics		4
5) Relocate the Department of Kinesiology from the Neag School of Education to the College of Agricultural and Natural Resources		5
6) Rename the College of Agriculture and Natural Resources to the College of Agriculture, Health and Natural Resources		6
7) Chinese Major in the College of Liberal Arts and Sciences		7
8) Certificate in Foundations of Public Health		8
9) Graduate Certificate in School Law		9
10) Creating Our Future: UConn's Path To Excellence		Separate Cover

**INFORMATIONAL ITEMS:**

11) Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit	10
13) Tenure-track Reappointments	11

**EXECUTIVE SESSION** (*As Needed*)

## **ATTACHMENT A**

**MINUTES**  
**MEETING OF THE ACADEMIC AFFAIRS COMMITTEE**  
**March 26, 2014**

Trustees: Bailey, Barham, Bessette, Cantor, Carbray, Daniels, Dennis-LaVigne, Gandara, James, Kruger, McHugh, Nayden

Staff: Andrews, Bedard, Biancamano, Burton, Callahan, Carone, Chi, Chiaputti, Choi, Cruickshank, Diplock, Donahue, J. Fazio, P. Fazio, Fearney, Gafford, Geoghegan, Gilbert, Gopal, Gray, Herbst, Holsinger, Jednak, Kazerounian, Kendig, Kirk, Korbel, Larson, Locke, Locust, Moiseff, Mundrane, Orr, Patel, Reis, Rubin, Seemann, Silbart, Simsek, Teal, Torti, Trutter, Weiner, Wetstone, Wohl

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:05 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Trustee Carbray, seconded by Trustee Barham, the minutes of the February 26, 2014, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Recommendation for Designation as Board of Trustees Distinguished Professors, Academic Year 2013-2014.*** Provost Choi announced that Dr. Scott W. Brown, Professor of Educational Psychology, Dr. Nancy A. Naples, Professor of Sociology, and Dr. Kathleen Segerson, Professor of Economics, are the 2013-2014 recipients of the honor. Moved by Trustee James, seconded by Trustee Barham, the Committee recommended approval of designation as Board of Trustees Distinguished Professors for Dr. Scott W. Brown, Dr. Nancy A. Naples, and Dr. Kathleen Segerson to the full Board.

Provost Choi introduced ***Action Item #3, Tenure at Hire.*** Moved by Trustee Barham, seconded by Trustee Bailey, the Committee recommended approval of one tenure at hire case to the full Board.

Provost Choi introduced ***Action Item #4, Sabbatical Leave Recommendations.*** Moved by Trustee Carbray, seconded by Trustee Bailey, the Committee recommended approval of all sabbatical leave recommendations to the full Board.

Provost Choi introduced ***Action Item #5, Establishment of the James Barnett Professorship in Humanistic Anthropology in the College of Liberal Arts & Sciences.*** Provost Choi acknowledged the estate of Dennison J. Nash, which funded this Professorship in honor of the late Dr. James Barnett, a faculty colleague of Dr. Nash, who specialized in the history of social thought and the sociology of art and literature. Moved by Trustee Barham, seconded by Trustee Carbray, the Committee recommended establishment of the James Barnett Professorship in Humanistic Anthropology to the full Board.

Provost Choi introduced ***Action Item #6, Establishment of the United Technologies Corporation Chair for Advanced Systems, Action Item #7, Establishment of the United Technologies Corporation Professorship for Advanced Systems Engineering, and Action Item #8, Establishment of the United Technologies Corporation Institute for Advanced Systems Engineering.*** Provost Choi acknowledged the generous support of the United Technologies Corporation towards the School of Engineering and the field of Advanced Systems. Moved by Trustee James, seconded by Trustee Bailey, the Committee recommended establishment of the United Technologies Chair, Professorship, and named Institute for Advanced Systems Engineering to the full Board.

Provost Choi introduced ***Action Item #9, Professional Master's Degree in Biostatistics in the College of Liberal Arts and Sciences.*** Provost Choi introduced Dr. Zhiyi Chi, Professor and Associate Department Head and Director of Graduate Programs, who gave a brief outline of the new program to the Committee. Moved by Trustee James, seconded by Trustee Bailey, the Committee recommended approval of the Professional Master's Degree in Biostatistics to the full Board.

Provost Choi introduced ***Action Item #10, Graduate Certificate in Leadership and Diversity Management in Sport.*** Provost Choi introduced Dr. Laura Burton, Associate Professor of Educational Leadership, who gave a

brief outline of the new online program to the Committee. Moved by Trustee Carbray, seconded by Trustee James, the Committee recommended approval of the Graduate Certificate in Leadership and Diversity Management in Sport to the full Board.

Provost Choi introduced ***Action Item #11, Policy on Scholarly Integrity in Graduate Education and Research.*** Provost Choi introduced Dr. Kent Holsinger, Vice Provost for Graduate Education and Dean of The Graduate School, who informed the Committee that that majority of changes to the policy reflected the removal of the procedures from the policy document. The updated policy applies to both graduate students and postdoctoral scholars. Moved by Trustee Carbray, seconded by Trustee Barham, the Committee recommended approval of the Policy on Scholarly Integrity in Graduate Education and Research to the full Board.

Provost Choi introduced ***Informational Item #12, Renaming the Undergraduate Major Business and Technology to Business Data Analytics in the School of Business.*** Provost Choi introduced Dr. Ram Gopal, Professor and Department Head of Operations & Information Management, who gave a brief outline to the Committee on the renaming to Business Data Analytics, which is supported by students, faculty, and employers.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:17 a.m.

Respectfully submitted,

Brandon L. Murray  
Committee Secretary



## Financial Affairs

**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**April 23, 2014 at 9:15 a.m.**  
University of Connecticut  
Rome Commons Ballroom  
Storrs, Connecticut

**ATTACHMENT**      **LOCATION**  
**COMMITTEE**      **FULL BOARD**

- 1) Approval of the Minutes of the Financial Affairs Committee      A  
Meeting of March 26, 2014, as circulated

**ACTION ITEMS:**

- 2) Contracts and Agreements for Approval      1

**PROJECT BUDGETS FOR APPROVAL:**

	<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
3) Hartford Relocation Acquisition/Renovation	Revised Planning	\$10,000,000	12
4) Fats, Oils and Grease (FOG) Compliance – Phase I	Design	\$2,000,000	13
5) fMRI – Acquisition and Installation	Design	\$8,000,000	14
6) Sewer Replacement Storrs Road Pump Station	Design	\$3,150,000	15
7) Main Water Line Replacement – Phase I	Design	\$7,000,000	16
8) Ecology and Evolutionary Biology (EEB) Greenhouse Renovations	Final	\$1,000,000	17
9) Fine Arts Gateway and Pedestrian Access	Final	\$1,500,000	18
10) Gant Building Renovations – Mechanical Repairs	Final	\$600,000	19
11) Mansfield Apartment Bathrooms – Phase II	Final	\$500,000	20
12) Young Building Renovation/Addition – Envelope Repairs	Final	\$5,000,000	21

**INFORMATION ITEMS:**

- 13) Update on Standard Lease for Technology Incubation Program (TIP)      B
- 14) Contracts and Agreements for Information      C
- 15) Construction Project Status Report      (Under Separate Cover)
- 16) Master Schedule for UCONN 2000 Phases I, II & III  
(as of 3/31/14)      (Under Separate Cover)
- 17) Status of Capital Projects > \$500,000      (Under Separate Cover)

**EXECUTIVE SESSION** *(As Needed)*

## **ATTACHMENT A**

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**March 26, 2014**

TRUSTEES PRESENT: Louise Bailey, Rose Barham, Andy Bessette, Shari Cantor, Richard Carbray, Michael Daniels, Andrea Dennis-LaVigne, Marilda Gandara (*via Telephone*), Thomas Kruger, Rebecca Lobo, Larry McHugh, Denis Nayden and Thomas Ritter

STAFF PRESENT: Carolle Andrews, John Biancamano, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Mun Choi, Robert Corbett, Laura Cruickshank, Amy Donahue, Michael Eagen, Joanne Fazio, Kim Fearney, Nathan Fuerst, Kristi Gafford, Nicole Gelston, Michael Gilbert, Brian Gore, Richard Gray, Susan Herbst, Kent Holsinger, Michael Jednak, Tysen Kendig, Michael Kirk, Matthew Larson, Susan Locke, Wayne Locust, Michael Mundrane, Brandon Murray, Richard Orr, Bhupen Patel, Sally Reis, Stephanie Reitz, Rachel Rubin, Jeffrey Seeman, Lawrence Silbart, Eli Strassfeld, Jack Sullivan, Lysa Teal, Frank Torti, Thomas Trutter and Reka Wrynn

UNIVERSITY SENATE MEMBERS PRESENT:  
Donna Korbel and Zeki Simsek

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:17 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger directed the committee to agenda *Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of February 26, 2014*. On a motion by Trustee Cantor and seconded by Trustee Bessette the minutes were approved as circulated.

Matthew Larson, Director of Procurement, provided additional information on the contracts presented for approval in agenda *Item #2, Contracts and Agreements for Approval*. On a motion by Trustee Cantor and seconded by Trustee Bessette the item was recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, provided information on the project budgets for Storrs based programs presented for approval which included: *Avery Point – Building 21/23 Demolition (Planning: \$10 million); Engineering Building – Engineering & Science Building (Revised Planning: \$92.5 million); Technology Quadrant Phase III – Innovation Partnership Building (Revised Planning: \$162.3 million); and Residential Life Facilities – South Campus Envelope – Gable Repairs (Revised Final: \$3 million)*.

Thomas Trutter, Health Center Associate Vice President for Facilities Development and Operations, provided information on the project budgets for the Health Center presented for approval which included: *UCHC Demolition – Dowling North and Dowling South (Planning: \$1.07 million); and UCHC Anechoic Chamber Relocation (Final: \$598K)*. On a motion by

Trustee Cantor and seconded by Trustee Bessette the items were recommended to the full Board for approval.

Mr. Gray directed the committee to the agenda items presented for their information:  
***Construction Project Status Report.***

Trustee Kruger adjourned the meeting at 9:27 a.m. on a motion by Trustee Cantor and seconded by Trustee Bessette.

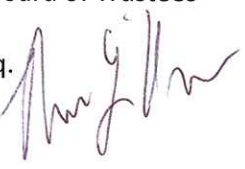
Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee

## **ATTACHMENT B**

TO: Members of the Board of Trustees

FROM: Richard F. Orr, Esq.   
General Counsel

DATE: April 23, 2014

RE: Update on Standard Lease for Technology Incubation Program (TIP)

BACKGROUND:

On June 28, 2012, the Board of Trustees delegated authority to the President of the University to enter into leases between the University as landlord and tenants of the Technology Incubation Program (TIP), provided that the leases meet defined criteria. Criterion 1(e) requires that "[a] standard lease agreement has been approved by the General Counsel of the University and the Office of the Attorney General."

At its December 3, 2013 meeting, the Joint Audit & Compliance Committee accepted a management recommendation that the standard TIP lease in effect at that time be reviewed and strengthened as appropriate to ensure that University interests are protected. The primary areas of this review were to include tenant responsibility for compliance with export control, indemnification provisions and insurance requirements.

UPDATE:

This review is complete, resulting in a set of strengthening and clarifying revisions that address the above and other concerns. Highlights include:

- New sections were added to address compliance with export control regulations and the ownership of intellectual property;
- The indemnification provision was revised consistent with the current State of Connecticut standard provision; and
- The insurance provisions remained unchanged after verification of their appropriateness with the State's Director of Insurance and Risk Management.

The reviewers also made a series of minor changes to improve internal consistency and operational implementation, including the management of hazardous waste.

No action is required by the Board of Trustees. This memorandum is informational only.

## **ATTACHMENT C**



CONTRACTS AND AGREEMENTS  
FOR INFORMATION  
April 23, 2014

PROCUREMENT - NEW							
SOFTWARE							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Academic Analytics	40025	\$500,000	10/07/13-06/17/17	Operating Funds - General	Dr. Thulasi Kumar, Assistant Vice Provost for Institutional Research and Effectiveness	License for use of web-based portal providing access to, and analysis of, data regarding scholarly productivity. Zero (0) options to extend.
TECH PARK LAB EQUIPMENT							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Carl Zeiss X-Ray Microscopy, Inc.	PO 43939	\$680,000	12/31/13-03/31/14	Tech Park State General Obligation Bonds	Matthew Larson, Director of Procurement Services	One time purchase of a High Resolution 3D X-Ray Tomography Microscope System for the CHASE Center as part of the Tech Park IPB. These funds were included in the Project Budget for Technology Quadrant Phase III approved by the BOT on March 26, 2014.



## **Board of Trustees**

### **ADDITIONAL MATERIALS**

**The following documents were not noticed with the original Agenda. These include items that were presented or amended during the meeting of**

**Wednesday, April 23, 2014**

**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**April 23, 2014 at 9:15 a.m.**  
University of Connecticut  
Rome Commons Ballroom  
Storrs, Connecticut

**Revised**

**ATTACHMENT**      **LOCATION**  
**COMMITTEE**      **FULL BOARD**

- 1) Approval of the Minutes of the Financial Affairs Committee      A  
Meeting of March 26, 2014, as circulated

**ACTION ITEMS:**

- 2) Contracts and Agreements for Approval      1

**PROJECT BUDGETS FOR APPROVAL:**

	<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
3) Hartford Relocation Acquisition/Renovation	Revised Planning	\$10,000,000	12
4) Fats, Oils and Grease (FOG) Compliance – Phase I	Design	\$2,000,000	13
5) fMRI – Acquisition and Installation	Design	\$8,000,000	14
6) Sewer Replacement Storrs Road Pump Station	Design	\$3,150,000	15
7) Main Water Line Replacement – Phase I	Design	\$7,000,000	16
8) Ecology and Evolutionary Biology (EEB) Greenhouse Renovations	Final	\$1,000,000	17
9) Fine Arts Gateway and Pedestrian Access	Final	\$1,500,000	18
10) Gant Building Renovations – Mechanical Repairs	Final	\$600,000	19
11) Mansfield Apartment Bathrooms – Phase II	Final	\$500,000	20
12) Young Building Renovation/Addition – Envelope Repairs	Final	\$5,000,000	21
13) Residential Life Facilities – South Campus Envelope: Precast Stone Repair	Final	\$4,500,000	

**INFORMATION ITEMS:**

- 14) Update on Standard Lease for Technology Incubation Program (TIP)      B
- 15) Contracts and Agreements for Information      C
- 16) Construction Project Status Report      (Under Separate Cover)
- 17) Master Schedule for UCONN 2000 Phases I, II & III (as of 3/31/14)      (Under Separate Cover)
- 18) Status of Capital Projects > \$500,000      (Under Separate Cover)

**EXECUTIVE SESSION** (As Needed)



April 23, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – South Campus Envelope:  
Architectural Precast Stone Repairs (Final: \$4,500,000)

RECOMMENDATION:

That the Board of Trustees approve an exigent Final Budget of \$4,500,000 for repair of the architectural precast stone elements of the façade of the South Campus Building Complex.

BACKGROUND:

The South Campus Complex comprises four buildings; Wilson, Rosebrooks and Snow Halls which are occupied for residential housing; and Rome Hall which contains a cafeteria, function rooms and offices. The buildings were constructed in approximately 1998-1999 and are experiencing water infiltration problems as well as exhibiting significant exterior envelope and roof deterioration.

In 2012, the University engaged the firm of Wiss, Janney Elstner (WJE) to investigate and report on the cause of the water infiltration and the deterioration of the exterior envelope and roofs and to make recommendations for corrective action.

WJE concluded that significant repairs are required to be implemented throughout all buildings within the complex in order to correct both the infiltration and deteriorating exterior envelope. These include replacement and repair of: flashing; deteriorated and cracked masonry; deteriorated and cracked precast architectural façade elements; removal and replacement of slate roofing; repair of curtain wall systems and; removal and



replacement of caulking. During the course of its investigation, WJE noted that pre-cast concrete capstones located on the gables and intermediate walls throughout the complex were improperly secured to the buildings and this condition represented a potential and significant safety hazard requiring prompt corrective action. The University initiated a project to replace the capstones and this work was completed in March 2013, under an exigent construction contract approved by the Board of Trustees.

WJE also observed and documented defects relating to the masonry gable walls wherein they were determined to be structurally deficient in terms of their ability to resist building code mandated wind loads. WJE advised the University that these walls should be repaired expeditiously. The University began the necessary repairs in March 2014 and work is scheduled to be completed in the summer of 2014 under an exigent construction contract approved by the Board of Trustees at its March 2014 meeting.

#### Architectural Pre-Cast Concrete Failure on February 25, 2014.

On February 25, 2014 a large piece of architectural precast stone façade at the fourth floor of the south elevation of Rosebrooks Hall failed and fell from the building. In order to protect the public safety, the University erected temporary entrance and façade protection and barriers throughout the complex in areas with similar precast architectural features and in areas where WJE advised that protection was warranted.

This work was undertaken on an exigent basis and was authorized by the Board of Trustees at its March 2014 meeting. At the same meeting the Board authorized the University to retain WJE to undertake an inspection of the architectural precast façade elements within all buildings in the complex and to develop the construction documents necessary to remediate all areas of the building which have been provided with temporary protective measures. These documents were completed on April 15, 2014.

The University has determined that a permanent solution to the public safety hazard associated with the failed or deteriorated architectural precast stone elements precast stone should be implemented as soon as possible. In order to achieve this goal the University seeks approval of the award of an exigent construction contract such that the work can begin as soon as possible. The University anticipates construction can begin soon after the complex is vacated at the end of the academic year in May 2014 and that this work can be completed by the end of the calendar year 2014. PAES is coordinating work with the Department of Student affairs to minimize disruption to programs and residents of the complex.

The subject funding request is based on a third party estimate of construction costs based on the construction documents received on April 15, 2014. The University is proceeding to solicit a bid for the work with a selected contractor. Upon receipt, the cost proposal will be reviewed and compared against the third party estimate for verification that the proposal is fair and reasonable and is within market expectations for the scope, complexity and schedule for the work. Once the price proposal has been verified to be fair and reasonable and that the work can be completed within the project budget, the University intends to proceed with construction.

The University has selected NER Construction Project Management (NER) as the contractor for the work. This selection was based on the successful past performance of NER on similar masonry projects completed at the Law School Library (as a subcontractor) and more recently as the General Contractor on the Gant Façade emergency repairs and the South Campus Capstone repair project, in addition to other recent masonry restoration projects completed at the Storrs Campus.

In order to execute the construction and appropriately manage the work, the University also seeks approval of the award of the following exigent contracts:

WJE for the construction administration, photographic documentation and closeout services and;

Jacobs Engineering for preconstruction and construction project management services from preconstruction through construction and closeout.

The estimated cost to fully repair the complex is of the order of \$23 million. The current budget request is for work which is part of this estimated cost.

Due to unforeseeable constraints placed on project timing, and the public safety nature of the work, the University also requests that the three step budget approval be waived at this time.

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: RESIDENTIAL LIFE FACILITIES - SOUTH CAMPUS ENVELOPE:  
ARCHITECTURAL PRECAST STONE REPAIRS**

	<b>PROPOSED FINAL 4/23/2014</b>
<b><u>BUDGETED EXPENDITURES</u></b>	
CONSTRUCTION	\$ 3,200,000
DESIGN SERVICES	-
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	150,000
OTHER AE SERVICES (including Project Management)	360,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	5,000
MISCELLANEOUS	35,000
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 3,750,000</b>
PROJECT CONTINGENCY	750,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 4,500,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
UConn 2000 PHASE III	\$ 4,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 4,500,000</b>



# RESIDENTIAL LIFE FACILITIES – SOUTH CAMPUS ENVELOPE – ARCHITECTURAL PRECAST STONE REPAIRS

Project Budget (Final)

4/23/2014



Location of cast stone failure at Rosebrooks Hall on 2-25-14



Piece of cast stone that fell from  
Snow Hall Rosebrooks Hall – 2-25-14

Lift line separation in cast  
stone at Snow Hall