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2014 February 26 -- Agenda and attachments

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UConn

UNIVERSITY OF CONNECTICUT

Board of



TRUSTEES

VOL. 135 FEBRUARY 26, 2014

**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

February 26, 2014

BOARD OF TRUSTEES SCHEDULE OF THE DAY

9:00 a.m.	Academic Affairs Committee Meeting
9:15 a.m.	Financial Affairs Committee Meeting
10:15 a.m.	Board of Trustees Meeting
12:30 p.m.	Student Life Committee Meeting (Ground Level Portico)

BOARD MEETING AGENDA

Call to order at **10:15 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Minutes of the meeting of January 29, 2014
 - (c) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based Programs (Attachment 1)
 - (2) Sabbatical Leave Recommendations (Attachment 2)
 - (3) Systems Engineering Graduate Certificate – Controlled Systems (Attachment 3)
 - (4) Systems Engineering Graduate Certificate – Embedded Systems (Attachment 4)
 - (5) Systems Engineering Graduate Certificate – System Design (Attachment 5)
 - (6) Naming Recommendation for the UConn Health Center
Peggotty Namm Doran Patient Room (Attachment 6)
 - (7) Naming Recommendation for the UConn Health Center
Auxiliary Patient and Family Education Center (Attachment 7)
3. President's Report
 - (a) Item requiring Board discussion and approval:
 - (1) Honorary Degrees (Separate Cover)
4. Academic Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Informational items:
 - (1) Renaming the Undergraduate Major African American Studies
to Africana Studies in the College of Liberal Arts and Sciences (Attachment 8)
 - (2) Centers and Institutes (Attachment 9)

5. Financial Affairs Committee Report

(a) Report on Committee activities

(b) Items requiring Board discussion and approval:

- (1) Fiscal Year 2015 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses (Attachment 10)
- (2) Fiscal Year 2015 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses (Attachment 11)
- (3) Fiscal Year 2015 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses (Attachment 12)
- (4) Revised Allocation of Bond Authorizations as set forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 13)
- (5) Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 14)
- (6) Project Budget (Revised Planning) for Arjona and Monteith – Monteith Renovations (Attachment 15)
- (7) Project Budget (Revised Planning) for Main Water Line Replacement – Phase I (Attachment 16)
- (8) Project Budget (Revised Planning) for Master Plan 2014 Development (Attachment 17)
- (9) Project Budget (Revised Planning) for Gampel Cooling Tower Replacements (Attachment 18)
- (10) Project Budget (Revised Planning) for Residential Life Facilities – Science, Technology, Engineering, and Math (STEM) Residence Hall (Attachment 19)
- (11) Project Budget (Design) for Ecology and Evolutionary Biology Greenhouse Renovations (Attachment 20)
- (12) Project Budget (Design) for Fine Arts Gateway and Pedestrian Access (Attachment 21)
- (13) Project Budget (Final) for North Hillside Road Completion (Attachment 22)
- (14) Project Budget (Revised Final) for UCONN 2000 Code Remediation: Wilbur Cross Building (Attachment 23)

(c) Informational items:

- (1) Financial Statements for Storrs and the Health Center:

Storrs:

<http://accountingoffice.uconn.edu/2013%20Financial%20Statements.pdf>

UHC:

http://controller.uhc.edu/reports/docs/year_end_report_2013.pdf

6. Health Center Report

(a) Report on Health Center activities

7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Environmental Impact Evaluation – Main Accumulation Area Storrs, CT (Attachment 24)
9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
 - (b) Information item:
 - (1) Committee membership (Attachment 25)
10. Student Life Committee Report
 - (a) Report of Committee activities
11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Informational items:
 - (1) Development Progress Executive Summaries (Attachment 26)
12. Committee on Compensation Report
 - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated.
15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

**CONTRACTS AND AGREEMENTS
FOR APPROVAL
February 26, 2014**

PROCUREMENT - NEW										
SCIENTIFIC JOURNALS										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Elsevier BV	UC-14-CON070112	\$5,500,000	01/01/14-12/31/16	Multiple Sources	Martha Bedard, Vice Provost for University Libraries	Science Direct Journal Package access for all University campuses, including UCHC. Package contains over 1,400 core science journals considered essential to support research initiatives. This is a library media acquisition that will be effected under Section 10a-151a of the Connecticut General Statutes. Zero (0) options to extend.			
PROCUREMENT - AMENDMENTS										
FURNITURE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	Office Furniture, Inc. (d/b/a OFI) (f/k/a Solidus)	UC-03-B092302-5	\$374,000 [Contract Value Previously \$3,874,175; Total New Contract Value \$4,248,175]	05/01/03-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,751,727	\$165,000	\$300,000	System office furniture for all University campuses, including UCHC. Amend to increase contract value by \$374,000, for a total new contract value of \$4,248,175. Contract term remains the same. Zero (0) options to extend.
2	Red Thread Spaces, LLC (f/k/a BKM Total Office)	UC-03-B092302-5	\$795,554 [Contract Value Previously \$23,977,000; Total New Contract Value \$24,772,554]	05/01/03-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$23,764,688	\$1,875,680	\$1,237,000	System office furniture for all University campuses, including UCHC. Amend to increase contract value by \$795,554 for a total new contract value of \$24,772,554. Contract term remains the same. Zero (0) options to extend.
ISP SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	Indiana University	SSJ-LP121012	\$1,102,047 [Contract Value Previously \$840,147; Total New Contract Value \$1,942,194]	11/01/13-06/30/18	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$0	\$0	\$0	Monitoring, maintenance, and support of the Nutmeg Network. Amend to increase contract value by \$1,102,047, for a total new contract value of \$1,942,194. Increase will fund services for the Public Safety Data Network (PSDN) portion of the Nutmeg Network. Cost of this contract will be borne by the Department of Administrative Services. Contract term remains the same. Zero (0) options to extend.
ON-CALL PROFESSIONAL SERVICES - 009.2 GENERAL ARCHITECTURAL SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	Newman Architects, P.C.	009.2-7-2M-22916	\$381,780 [Contract Value Previously \$2,000,000; Total New Contract Value \$2,381,780]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$176,780	\$176,780	\$0	On-Call Contract for architectural services for any University campus, including UCHC. Amend to increase contract value by \$381,780, for a total new contract value of \$2,381,780. Increase will fund architectural services for STEM Residence Hall. Contract term remains the same. Zero (0) options to extend.

**CONTRACTS AND AGREEMENTS
FOR APPROVAL
February 26, 2014**

ON-CALL PROFESSIONAL SERVICES - 12.1 CIVIL AND STRUCTURAL ENGINEERING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	Fuss & O'Neill, Inc.	012.1-6-500-22916	\$1,078,300 [Contract Value Previously \$500,000; Total New Contract Value \$1,578,300]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$5,400	\$5,400	\$0	On-Call Contract for civil and structural engineering services for any University campus, including UCHC. Amend to increase contract value by \$1,078,300, for a total new contract value of \$1,578,300. Increase will fund engineering services for North Hillside Road extension project in support of the Tech Park initiative. Contract term remains the same. Zero (0) options to extend.
TELECOMMUNICATIONS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	SBC Global Services, Inc. (d/b/a AT&T Global Services) (f/k/a AT&T Long Distance East	UC-11-KW011210	\$924,266 [Contract Value Previously \$3,250,000; Total New Contract Value \$4,174,266]	03/01/11-02/28/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,948,496	\$940,060	\$1,322,891	PBX switch maintenance support for Lucent 5ESS telephone switch modules located in the Babbidge Library, Kennedy Building and Hilltop and Charter Oak complexes. The switch provides dial tone to approximately 15,000 subscribers. Amend to increase contract value by \$924,266, for a total new contract value of \$4,174,266. Contract term remains the same. Zero (0) options to extend.
PROPERTY LEASE AGREEMENTS										
UNIVERSITY AS LESSOR										
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose				
1	Town of Mansfield	\$1.00	03/01/13-02/28/15	Operating Fund - General	Gregory J. Weidemann, Dean and Director, College of Agriculture and Natural Resources	First Amendment to extend term by one year of previously-approved lease for Tredgold Hall on the grounds of the Depot Campus at 123 Walters Avenue, Mansfield for storage use.				

ATTACHMENT 2

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
February 26, 2014 Board of Trustees Meeting

SABBATICAL LEAVE REQUESTS:

Semester at Full Pay
NAME

TITLE

DEPARTMENT

SCHOOL/COLLEGE

PAY PERIOD

Eisdorfer, Assaf	Associate Professor	Finance	School of Business	Full Spring 2015
Junda, Mary Ellen	Professor	Music	School of Fine Arts	Full Spring 2015
Robbins, Gary A.	Professor	Natural Resources & the Environment	College of Agriculture & Natural Resources	Full Spring 2015
Stephens, Robert W.	Professor	Music	School of Fine Arts	Full Fall 2014

Calendar Year at Half Pay
NAME

TITLE

DEPARTMENT

SCHOOL/COLLEGE

PAY PERIOD

McCutcheon, Jeffrey	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering	Half CY 2015
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ATTACHMENT 3

February 26, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Systems Engineering Graduate Certificate – Controlled Systems

RECOMMENDATION:

That the Board of Trustees approve the Systems Engineering Graduate Certificate – Controlled Systems.

BACKGROUND:

Motivated by the digital revolution and the need to produce high quality products in a globally competitive economy, the UTC-UConn partnership seeks to train engineers in urgently needed areas of analytical systems engineering. They include:

- a) Requirements Analysis;
- b) Architecture Selection and Optimization;
- c) Model-based Design and Development;
- d) System Design Flows.

These areas are pivotal to innovation and product enhancement. The goal is to advance the science base for the design of cyber-physical systems and accelerate its technological translation into sustained industrial growth. In each certificate program in Systems Engineering, we will be following three simple concepts:

- 1) Control Synthesis and Design;
- 2) Modeling;
- 3) Embedded and Software-Intensive Systems.

This 12-credit certificate program will build system engineering competency related to controlled system design and verification of thermal fluid systems. The principles of model-based design and verification will be emphasized. Courses are sequenced to allow students to establish a solid understanding of the pillars for requirements analysis, architecture selection, model-based development methods and tools, and design flows. These are first applied to a course on thermal fluid systems and followed by a course on control systems. The final course builds an understanding of the design flows for model-based design and verification of controlled systems for thermal fluid systems.

If approved, the first course will be offered in May 2014; the first certificate will be issued in December 2014. The cohort of 25 to 30 participants is selected and sponsored by UTC managers.

This program will be generating resources for the School of Engineering and will be following the existing fees (currently at \$898 per credit).

Item: **Systems Engineering Graduate Certificate – Controlled Systems**

Background & Description

Motivated by the digital revolution and the need to produce high quality products in a globally competitive economy, the UTC-UConn partnership seeks to train engineers in urgently needed areas of analytical systems engineering. They include:

- a) Requirements Analysis;
- b) Architecture Selection and Optimization;
- c) Model-based Design and Development;
- d) System Design Flows.

These areas are pivotal to innovation and product enhancement. The goal is to advance the science base for the design of cyber-physical systems and accelerate its technological translation into sustained industrial growth. In each certificate program in Systems Engineering, we will be following three simple concepts:

- 1) Control Synthesis and Design;
- 2) Modeling;
- 3) Embedded and Software-Intensive Systems.

Reasons for the Proposed Program/Modification/Discontinuation

There is an industry need for training and development of workforce in this growing area.

Curriculum & Program Outline

Controlled Systems:

This 12-credit certificate program will build system engineering competency related to controlled system design and verification of thermal fluid systems. The principles of model-based design and verification will be emphasized. Courses are sequenced to allow students to establish a solid understanding of the pillars for requirements analysis, architecture selection, model-based development methods and tools, and design flows. These are first applied to a course on thermal fluid systems and followed by a course on control systems. The final course builds an understanding of the design flows for model-based design and verification of controlled systems for thermal fluid systems. More specifically, they are:

- a) Foundations of Thermal Fluid Systems;
- b) Foundations of Control;
- c) Design Flows for Control and Verification of Thermal Fluid Systems;
- d) Capstone Project.

The capstone project design course will provide an opportunity for the student to implement and reinforce the learning from the courses to a real-world problem.

The course descriptions are:

SE 5101: *Foundations of Thermal Fluid Systems*

Objectives: This course is designed to provide students with the foundations of thermal fluid system modeling and computational methods for performance analysis. The students will develop skills in the *fundamental physical and mathematical representations of fluid dynamics, thermodynamics, heat transfer and combustion*. This course will also introduce concepts on how thermal fluid systems can be architected and designed with the aid of models. Topics include thermal fluid system and component requirements specification, creation of thermal fluid system models with different levels of abstraction and design analysis for thermal fluid systems. The course is addressed to students in engineering who are pursuing either the System Modeling and Robust Design or Controlled Systems curriculum tracks.

Recommended Prep: Undergraduate degree in ME, CHEG and Modelica Software

SE 5202: *Foundations of Control for Thermal Fluid Systems*

Objectives: This course is designed to provide students with a thorough understanding of the fundamental principles of controlling thermal fluid systems (TFS). Topics include a review of physics-based modeling (including sensors and actuators), requirements specification, classical and modern digital control design methods, and the associated computational algorithms for real-time closed-loop control, their implementation, validation, testing, diagnostics and tuning. The course is addressed to students in engineering who had an undergraduate course in systems analysis.

Prerequisite: Undergraduate course in Systems Analysis; SE 5101

SE 5203: *Design Flows for Control and Verification of Thermal Fluid Systems*

Objectives: This course is designed to provide students with the platform-based design flows for control and verification of Thermal Fluid Systems. The students will develop skills in specifying requirements for dynamics & control of thermal fluid systems, control architecture evaluation and selection, model-based control system design, and verification and validation of control systems at various model abstraction levels. Special emphasis will be placed on development processes spanning requirements, dynamics & control, robustness, safety and computational and implementation issues.

Prerequisite: SE 5101; SE 5202

SE 5295: Capstone Projects – Controlled Systems

Objectives: This project course is designed to provide students with a thorough understanding of thermal fluid systems modeling, control, and design through a comprehensive capstone project. These projects will be practical and relevant to industry needs.

Prerequisite: SE 5101; SE 5202; SE 5203

Learning Outcomes

Upon completion of this program, students will be able to develop, design, simulate and verify various systems used in many industries including aerospace, heating and refrigeration, etc.

Enrollment & Graduation Projections

First course to be offered in May 2014 with the first certificate issued in December 2014. UTC managers will identify employees eligible to apply for the certificate program. Applications will be processed through The Graduate School and admissions decisions will be made by faculty involved in the program. Approximately 25 to 30 students will participate in the program.

Financial Resources

This program will be generating resources for the School of Engineering and will be following the existing fees (currently at \$898 per credit).

Facilities//Equipment/Library/Special Resources

This program will initially be offered off-site and thru distance education capabilities.

Program Administration

Continuing & Distance Engineering Education

Faculty

Faculty members from various departments at the School of Engineering will be participating in delivering the above courses.

Similar Programs in Connecticut or Region

The initial target audience will comprise of UTC employees who are interested in advanced educational opportunities in Systems Engineering. We hope to expand this opportunity to other Connecticut employers who wish to encourage their engineers to develop advanced technical skills in addition to exposure to the latest developments in Systems Engineering.

ATTACHMENT 4

February 26, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Systems Engineering Graduate Certificate – Embedded Systems

RECOMMENDATION:

That the Board of Trustees approve the Systems Engineering Graduate Certificate – Embedded Systems.

BACKGROUND:

Motivated by the digital revolution and the need to produce high quality products in a globally competitive economy, the UTC-UConn partnership seeks to train engineers in urgently needed areas of analytical systems engineering. They include:

- a) Requirements Analysis;
- b) Architecture Selection and Optimization;
- c) Model-based Design and Development;
- d) System Design Flows.

These areas are pivotal to innovation and product enhancement. The goal is to advance the science base for the design of cyber-physical systems and accelerate its technological translation into sustained industrial growth. In each certificate program in Systems Engineering, we will be following three simple concepts:

- 1) Control Synthesis and Design;
- 2) Modeling;
- 3) Embedded and Software-Intensive Systems.

This 12-credit certificate program will build system engineering competency related to embedded system design and verification for thermal fluid and electro-mechanical systems. The principles of platform based design and model-based design and verification will be emphasized in this track. Courses are sequenced to allow the student to establish a solid understanding of the pillars for requirements analysis, architecture selection, model-based development methods and tools and design flows. These are first applied through a course on embedded/networked system modeling abstractions and then followed by a course on formal methods. The final course builds an understanding of the design flows for model-based design and verification of embedded systems for thermal fluid and electro-mechanical systems.

If approved, the first course will be offered in fall 2014; the first certificate will be issued in summer 2015. The cohort of 25 to 30 participants is selected and sponsored by UTC managers.

This program will be generating resources for the School of Engineering and will be following the existing fees (currently at \$898 per credit).

Item: **Systems Engineering Graduate Certificate – Embedded Systems**

Background & Description

Motivated by the digital revolution and the need to produce high quality products in a globally competitive economy, the UTC-UConn partnership seeks to train engineers in urgently needed areas of analytical systems engineering. They include:

- a) Requirements Analysis;
- b) Architecture Selection and Optimization;
- c) Model-based Design and Development;
- d) System Design Flows.

These areas are pivotal to innovation and product enhancement. The goal is to advance the science base for the design of cyber-physical systems and accelerate its technological translation into sustained industrial growth. In each certificate program in Systems Engineering, we will be following three simple concepts:

- 1) Control Synthesis and Design;
- 2) Modeling;
- 3) Embedded and Software-Intensive Systems.

Reasons for the Proposed Program/Modification/Discontinuation

There is an industry need for training and development of workforce in this growing area.

Curriculum & Program Outline

Embedded Systems:

This 12-credit certificate program will build system engineering competency related to embedded system design and verification for thermal fluid and electro-mechanical systems. The principles of platform based design and model-based design and verification will be emphasized in this track. Courses are sequenced to allow the student to establish a solid understanding of the pillars for requirements analysis, architecture selection, model-based development methods and tools and design flows. These are first applied through a course on embedded/networked system modeling abstractions and then followed by a course on formal methods. The final course builds an understanding of the design flows for model-based design and verification of embedded systems for thermal fluid and electro-mechanical systems. More specifically, they are:

- a) Embedded/Networked Systems Modeling Abstractions;
- b) Formal Methods;
- c) Embedded/Networked Systems Design & Verification;

d) Capstone Project.

The capstone project design course will provide an opportunity for the student to implement and reinforce the learning from the courses to a real-world problem.

The course descriptions are:

SE 5301: *Embedded/Networked Systems Modeling Abstractions*

Objectives: This course is designed to provide students with a thorough understanding of the abstractions and principles required to model embedded and networked systems. Topics include cyber-physical systems, platform-based design, heterogeneous design, hardware-software co-design, real-time operating systems. Examples are driven by control systems, thermal fluid systems, and electro-mechanical systems.

Prerequisite: Background in hardware and/or software design

SE 5302: *Formal Methods*

Objectives: This course is designed to provide students with an introduction to formal methods as a framework for the specification, design, and verification of software-intensive embedded systems. Topics include automata theory, model checking, theorem proving, and system specification. Examples are driven by thermal fluid systems and electro-mechanical systems.

Prerequisite: SE 5301

SE 5303: *Design Flows for Embedded / Networked Systems*

Objectives: This course is designed to provide students with a thorough understanding of the design, verification, and validation of embedded/network systems and software-intensive systems. The student will develop skills in specifying requirements for embedded software systems, model based architecture and design, and verification and validation of embedded systems. Special emphasis will be placed on distributed embedded systems and real-time systems. Examples are driven by thermal fluid and electro-mechanical systems.

Prerequisite: Background in hardware and/or software design; SE 5301; SE 5302

SE 5395: *Capstone Projects – Embedded Systems*

Objectives: This project course is designed to provide students with a thorough understanding of all embedded system modeling, design, and verification through a comprehensive capstone project. These projects will be practical and be relevant to industry needs.

Prerequisite: SE 5301; SE 5302; SE 5303

Learning Outcomes

Upon completion of this program, students will be able to develop, design, simulate and verify various systems used in many industries including aerospace, heating and refrigeration, etc.

Enrollment & Graduation Projections

The first course will be offered in fall 2014 with the first certificate issued in summer 2015. UTC managers will identify employees eligible to apply for the certificate program. Applications will be processed through The Graduate School and admissions decisions will be made by faculty involved in the program. Approximately 25 to 30 students will participate in the program.

Financial Resources

This program will be generating resources for the School of Engineering and will be following the existing fees (currently at \$898 per credit).

Facilities//Equipment/Library/Special Resources

This program will initially be offered off-site and thru distance education capabilities.

Program Administration

Continuing & Distance Engineering Education

Faculty

Faculty members from various departments at the School of Engineering will be participating in delivering the above courses.

Similar Programs in Connecticut or Region

The initial target audience will comprise of UTC employees who are interested in advanced educational opportunities in Systems Engineering. We hope to expand this opportunity to other Connecticut employers who wish to encourage their engineers to develop advanced technical skills in addition to exposure to the latest developments in Systems Engineering.

ATTACHMENT 5



February 26, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Systems Engineering Graduate Certificate – System Design

RECOMMENDATION:

That the Board of Trustees approve the Systems Engineering Graduate Certificate – System Design.

BACKGROUND:

Motivated by the digital revolution and the need to produce high quality products in a globally competitive economy, the UTC-UConn partnership seeks to train engineers in urgently needed areas of analytical systems engineering. They include:

- a) Requirements Analysis;
- b) Architecture Selection and Optimization;
- c) Model-based Design and Development;
- d) System Design Flows.

These areas are pivotal to innovation and product enhancement. The goal is to advance the science base for the design of cyber-physical systems and accelerate its technological translation into sustained industrial growth. In each certificate program in Systems Engineering, we will be following three simple concepts:

- 1) Control Synthesis and Design;
- 2) Modeling;
- 3) Embedded and Software-Intensive Systems.

This 12-credit certificate program will build system engineering competency related to design of thermal fluid systems. The principles of model-based design and verification will be emphasized in this track. Courses are sequenced to allow the student to establish a solid understanding of the pillars for requirements analysis, architecture selection, model-based development methods and tools, and design flows. These are first applied to a course on thermal fluid systems and then followed by a course on optimization and uncertainty analysis. The final course builds an understanding of the design flows for model-based robust design for thermal fluid systems.

If approved, the first course will be offered in spring 2015; the first certificate will be issued in December 2015. The cohort of 25 to 30 participants is selected and sponsored by UTC managers.

This program will be generating resources for the School of Engineering and will be following the existing fees (currently at \$898 per credit).

Item: **Systems Engineering Graduate Certificate – System Design**

Background & Description

Motivated by the digital revolution and the need to produce high quality products in a globally competitive economy, the UTC-UConn partnership seeks to train engineers in urgently needed areas of analytical systems engineering. They include:

- a) Requirements Analysis;
- b) Architecture Selection and Optimization;
- c) Model-based Design and Development;
- d) System Design Flows.

These areas are pivotal to innovation and product enhancement. The goal is to advance the science base for the design of cyber-physical systems and accelerate its technological translation into sustained industrial growth. In each certificate program in Systems Engineering, we will be following three simple concepts:

- 1) Control Synthesis and Design;
- 2) Modeling;
- 3) Embedded and Software-Intensive Systems.

Reasons for the Proposed Program/Modification/Discontinuation

There is an industry need for training and development of workforce in this growing area.

Curriculum & Program Outline

System Design:

This 12-credit certificate program will build system engineering competency related to design of thermal fluid systems. The principles of model-based design and verification will be emphasized in this track. Courses are sequenced to allow the student to establish a solid understanding of the pillars for requirements analysis, architecture selection, model-based development methods and tools, and design flows. These are first applied to a course on thermal fluid systems and then followed by a course on optimization and uncertainty analysis. The final course builds an understanding of the design flows for model-based robust design for thermal fluid systems. More specifically, they are:

- a) Foundations of Thermal Fluid System;
- b) Optimization & Uncertainty Analysis;
- c) Robust Design for Thermal Fluid Systems;
- d) Capstone Project.

The capstone project design course will provide an opportunity for the student to implement and reinforce the learning from the courses to a real-world problem.

The course descriptions are:

SE 5101: *Foundations of Thermal Fluid Systems*

Objectives: This course is designed to provide students with the foundations of thermal fluid system modeling and computational methods for performance analysis. The student will develop skills in the *fundamental physical and mathematical representations of fluid dynamics, thermodynamics, heat transfer and combustion*. This course will also introduce concepts on how thermal fluid systems can be architected and designed with the aid of models. Topics include thermal fluid system and component requirements specification, creation of thermal fluid system models with different levels of abstraction and design analysis for thermal fluid systems.

Recommended Prep: Undergraduate degree in ME, CHEG and Modelica Software

SE 5102: *Uncertainty Analysis, Robust Design and Optimization of Thermal Fluid Systems*

Objectives: This course is designed to provide students with a thorough understanding of platform-based and model-driven methods for uncertainty analysis and robust design of thermal fluid systems (TFS). Topics include modeling of uncertainties, sensitivity analysis, robust design analysis methodologies (DFSS, IDOV), and critical parameter management (CPM).

Prerequisite: SE 5101

SE 5103: *Design Flows for Robust Design of Thermal Fluid Systems*

Objectives: This course is designed to provide students with the platform-based design flows for robust design of thermal fluid systems. The student will develop skills in *requirements analysis of a thermal fluid systems, architectural selection, model-based system design, and verification and validation at various model abstraction levels*. Special emphasis will be placed on development processes spanning system design and the requirements validation analyses (Sizing & Performance, Robustness, Dynamics & control, and Safety).

Prerequisite: SE 5101; SE 5102

SE 5195: *Capstone Projects – System Design*

Objectives: This project course is designed to provide students with a thorough understanding of thermal fluid systems modeling and design through a comprehensive capstone project. These projects will be practical and relevant to industry needs.

Prerequisite: SE 5101; SE 5102; SE 5103

Learning Outcomes

Upon completion of this program, students will be able to develop, design, simulate and verify various systems used in many industries including aerospace, heating and refrigeration, etc.

Enrollment & Graduation Projections

The first course will be offered in spring 2015 with the first certificate issued in December 2015. UTC managers will identify employees eligible to apply for the certificate program. Applications will be processed through The Graduate School and admissions decisions will be made by faculty involved in the program. Approximately 25 to 30 students will participate in the program.

Financial Resources

This program will be generating resources for the School of Engineering and will be following the existing fees (currently at \$898 per credit).

Facilities//Equipment/Library/Special Resources

This program will initially be offered off-site and thru distance education capabilities.

Program Administration

Continuing & Distance Engineering Education

Faculty

Faculty members from various departments at the School of Engineering will be participating in delivering the above courses.

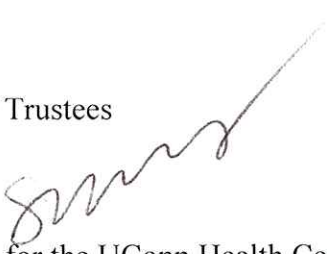
Similar Programs in Connecticut or Region

The initial target audience will comprise of UTC employees who are interested in advanced educational opportunities in Systems Engineering. We hope to expand this opportunity to other Connecticut employers who wish to encourage their engineers to develop advanced technical skills in addition to exposure to the latest developments in Systems Engineering.

ATTACHMENT 6

February 26, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for the UConn Health Center
Peggotty Namm Doran Patient Room

RECOMMENDATION:

That the Institutional Advancement Committee approve naming an inpatient room on the Cancer Floor of the new Patient Tower in memory of Peggotty Namm Doran.

BACKGROUND:

The Dornam Foundation is a generous donor to the University of Connecticut Health Center with a passion for ensuring that our cancer patients have access to the best facilities and care possible. The Dornam Foundation has pledged a \$25,000 gift to name one of the inpatient rooms on the Cancer Floor in the new Patient Tower in honor of Ms. Namm Doran. Each room will be 326 square feet.

Cancer Center inpatient care will be provided in the new LEED Silver designed Patient Tower, a patient-centered design incorporating sustainable and eco-friendly materials and energy conservation. Providing optimal conditions for patient care and healing, "smart" and adaptive technologies will be integrated throughout the facility - empowering patients, their families, and healthcare providers to participate together in the healing process.

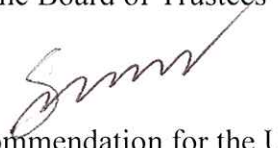
In recognition of the Dornam Foundation's generosity and commitment, we are proposing to name a patient room in memory of James Doran's (the Foundation's principal) late wife, as requested.

This naming recommendation was approved by the Institutional Advancement Committee on February 11, 2014.

ATTACHMENT 7

February 26, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Naming Recommendation for the UConn Health Center Auxiliary Patient and Family Education Center

RECOMMENDATION:

That the Institutional Advancement Committee approve naming the Patient and Family Education Center in the new UConn Health Center's Outpatient Pavilion in honor of the UConn Health Center Auxiliary.

BACKGROUND:

The UConn Health Center Auxiliary has served as a vital support group for the UConn Health Center since its inception. The members are committed to supporting patients, and patients' families, care and well-being and have pledged \$400,000 over ten years to name the Patient and Family Education Center in the new Outpatient Pavilion.

The Patient and Family Education Center will provide an inviting space for patients, family members and loved ones to relax and access information about health issues. Comfortable furniture and abundant natural light from the building's glass façade will welcome visitors looking for an environment where they can read and learn directly in the outpatient facility. The Center will be located on floors 2 (1,427 square feet) and 3 (1,413 square feet) conveniently off the public concourse. The space offers highly visible naming opportunities for donors who wish to support patients and families.

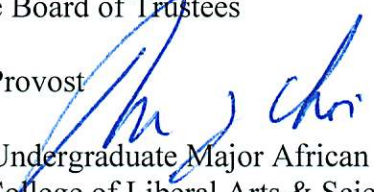
In recognition of the Auxiliary's generosity and commitment, we are proposing to name the Patient and Family Education Center in its honor.

This naming recommendation was approved by the Institutional Advancement Committee on February 11, 2014.

ATTACHMENT 8

February 26, 2014

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Renaming the Undergraduate Major African American Studies to Africana Studies in the College of Liberal Arts & Sciences

BACKGROUND:

Taking as its central mission the study of peoples of African Descent on the continent and in the diaspora, the Africana Studies major seeks a nuanced and interdisciplinary understanding of human experience. The Africana Studies major does so through the humanities, arts, and social sciences, with particular emphasis on continuities and discontinuities across geography and time. Its broad educational objections are to engender among all students an intellectual appreciation of black lives and their saliency for all human experience; to deepen students' critical analytic skills; and to value social equality, democracy, and humanitarianism.

The Africana Studies major strives to provide students with substantive knowledge of the black world and its linkages to national as well as pre-, sub-, supra-, and transitional processes. Students play an active role in the Africana Studies Institute's mission to facilitate respect and positive intersocial relationships within the university community.

Completion of the B.A. in Africana Studies prepares the student for work in government, community agencies, international organizations, business, journalism and communications, or for graduate studies that lead to careers in research and teaching.

The renaming of the major occurred simultaneously with the renaming of the African American Studies Institute to the Africana Studies Institute.

This change has been approved by the College of Liberal Arts & Sciences' Courses & Curriculum Committee, Dean Jeremy Teitelbaum, and it has my full support.

ATTACHMENT 9



February 26, 2014

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Centers and Institutes

The Laws, By-Laws and Rules of the University (Article XIII) require that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission." In 2005, a Committee was charged to develop criteria for the establishment and review of academic centers and institutes. Based on the guidelines developed by this Committee, all academic centers and institutes at the University of Connecticut are reviewed over a period not to exceed five years.

BACKGROUND:

Following review and deliberation, the Academic Centers and Institutes Review Committee brought forth the following recommendations, which I support:

Renewed for five-year term

Bioinformatics & Biocomputing Institute (BIBCI)
Connecticut Institute of Water Resources (CTIWR)

Renewed for three-year term

Center for Land Use Education & Research (CLEAR)

Discontinued

Center for Health Communication & Marketing

In addition, the following Academic Institute has changed its official name, and I provide this update for your information:

Renaming (effective March 1, 2014)

Africana Studies Institute

Mission statements for the renewed and renamed Centers and Institutes are available on the following page.

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ATTACHMENT 10



Board of Trustees

**FISCAL YEAR 2015 INSTITUTIONAL FEES FOR THE
UNIVERSITY OF CONNECTICUT, STORRS AND
REGIONAL CAMPUSES
TO BE DISTRIBUTED UNDER SEPARATE COVER**

Wednesday, February 26, 2014

ATTACHMENT 11



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2015 Academic Materials Fees for the University of Connecticut,
Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a schedule of Academic Materials Fees for Fiscal Year 2015 as follows: creation of new course fees (Marine Sciences, Medical Laboratory Sciences, Molecular and Cell Biology and Nursing) and adjustments to major or course fees (Business, Allied Health Sciences and Marine Sciences).

BACKGROUND:

The University has an established student fee review process that categorizes the fees as follows: (1.) Institutional Fees, which require central administrative approval, such as Tuition, Room, Board, Infrastructure Maintenance Fee and self-supporting programs; (2.) Academic Materials Fees, which are for consumables and instructional materials that are specific to a particular course or major; and (3.) Student Fees, which include the General University Fee and student activity and service fees. Senior Management charged permanent committees with promoting a fee structure for the academic and student fees that supports excellence, provides comprehensive procedures, and is uniform without sacrificing efficiency and flexibility. The committees are comprised of students, faculty, and administrative representatives. These fee proposals were presented to and approved by the Provost and Executive Vice President and the Executive Vice President for Administration and Chief Financial Officer.

See attached schedule for summary of recommended Academic Materials Fees.

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University of Connecticut - Storrs and Regional Campuses
Summary of Recommended Academic Materials Fees
Fiscal Years 2014-2015

Academic Materials Fees are assessed for essential consumables, instructional materials and other direct costs (such as equipment rentals and field experiences) that are specific to particular courses and majors. These fees were recommended by the Academic Materials Fee Committee to the Provost and Executive Vice President and the Executive Vice President for Administration and Chief Financial Officer.

	<div>FY 2014 Current</div>	<div>FY 2015 Proposed</div>	<div>Increase</div>	<div>Notes</div>
	Fee Per Semester			
<u>Major Fees</u>				
Business	\$35	\$10	(\$25)	Decrease
<u>Course Fees</u>^A				
Allied Health Sciences (DIET 4365)	\$50	\$75	\$25	Increase/Level 5
Marine Sciences (MARN 1160 and 4160)	\$0	\$75	\$75	New/Level 5
Marine Sciences (MARN 3014)	\$0	\$10	\$10	New/Level 1
Marine Sciences (MARN 4898)	\$50	\$0	(\$50)	Elimination
Medical Laboratory Sciences (MLSC 3301, 3333, 4301, 4311, 4321, 4341, 4351, 4371)	\$0	\$75	\$75	New/Level 5
Molecular and Cell Biology (MCB 3413)	\$0	\$50	\$50	New/Level 4
Nursing (NURS 5409)	\$0	\$75	\$75	New/Level 5

^A Course Fees are assessed at pre-determined levels unless the fee existed prior to the Academic Materials Fees process. There is flexibility at level 3 and below to charge actual cost upon approval by the committee. Level 1 = \$10, Level 2 = \$20, Level 3 = \$35, Level 4 = \$50, Level 5 = \$75

University of Connecticut - Storrs and Regional Campuses

Academic Materials Fees (AMF)

The Academic Materials Fees Committee has reviewed and, by consensus, endorsed the following recommendations and adjustments for FY 2015. The following recommendations are forwarded by the Provost and Executive Vice President and the Executive Vice President for Administration and Chief Financial Officer for your consideration.

Major Fees

Business

Business

Recommendation: To eliminate the current \$35 Business majors fee for juniors and seniors, and to approve a \$10 per semester major fee for all undergraduate and full-time MBA students.

Purpose: To cover the costs of the Wall Street Journal, Financial Times, Lynda.com and Interview Stream subscriptions and resources.

Justification: The purpose of the current Business majors fee is no longer justified as the University has a central Technology Fee. The proposed \$10 fee will cover subscription costs and interview resources for undergraduate and full-time MBA students.

Course Fees

Agriculture & Natural Resources

Allied Health Sciences

Recommendation: To approve a new course fee of \$50 for DIET 4365.

Purpose: To cover mileage expenses for supervisors of clinical students at practice sites across Connecticut.

Justification: Students complete supervised practice at sites all around Connecticut and they must be visited by UConn faculty and staff on a regular basis. Mileage costs have increased, as well as the number of practice sites.

Medical Laboratory Sciences

Recommendation: To approve a new course fee of \$75 for MLSC 3301, 3333, 4301, 4311, 4321, 4341, 4351, and 4371.

Purpose: To cover consumable laboratory expenses.

Justification: The actual cost of consumables provided is \$1,050 per student.

Liberal Arts & Sciences

Marine Sciences

Recommendation: To approve new course fees of \$75 for MARN 1160 and 4160, and to eliminate the \$50 course fee for MARN 4898.

Purpose: To cover consumable diving supplies expenses.

Justification: The approximate cost per student is \$223 in MARN 1160 and \$398 in MARN 4160. With the fees in MARN 1160 and 4160, the fee in MARN 4898 is no longer necessary.

Marine Sciences

Recommendation: To approve a new course fee of \$10 for MARN 3014.

Purpose: To cover field trip costs.

Justification: This fee was linked previously to the course fee in MARN 1002, which was eliminated prior to FY 2013. The elimination of the course fee for MARN 3014 was in error.

Molecular & Cell Biology

Recommendation: To approve a new course fee of \$50 for MCB 3413.

Purpose: To cover consumable lab supplies expenses.

Justification: The approximate cost per student is \$59.90.

Nursing

Recommendation: To approve a new course fee of \$75 for NURS 5409.

Purpose: To provide access to the Typhon clinical data tracking system which documents student achievement of clinical elements required for national certification.

Justification: Data will be available to students for three years after graduation, and data can be used while seeking employment.

ATTACHMENT 12



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2015 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a schedule of Student Activity and Service Fees for Fiscal Year 2015 comprised of increases in the General University Fee (GUF) and Transit Fee for Storrs students, and the Graduate Student Activity Fee.

BACKGROUND:

The University has an established student fee review process that categorizes the fees as follows: (1.) Institutional Fees, which require central administrative approval, such as Tuition, Room, Board, Infrastructure Maintenance Fee and self-supporting programs; (2.) Academic Materials Fees, which are for consumables and instructional materials that are specific to a particular course or major; and (3.) Student Fees, which include the General University Fee and student activity and service fees. Senior Management charged permanent committees with promoting a fee structure for the academic and student fees that supports excellence, provides comprehensive procedures, and is uniform without sacrificing efficiency and flexibility. The committees are comprised of students, faculty, and administrative representatives. These fee proposals were presented to and approved by the Provost and Executive Vice President and the Executive Vice President for Administration and Chief Financial Officer.

See attached schedule for summary of recommended annual Student Activity and Service Fees.

University of Connecticut - Storrs & Regional Campuses
Summary of Recommended Annual Student Activity and Service Fees
Fiscal Years 2014-2015

Fees designated as "student activity and service fees" include the General University Fee (GUF), the Student Activity Fees and the Service Fees (Parking and Transit). These fees are typically paid by all students thus making it desirable to have students involved in the fee establishment, continuation and review process. The Student Activity and Service Fee Advisory Committee is advisory to the University's leadership.

	<u>FY 2014 Current</u>	<u>FY 2015 Proposed</u>	<u>\$ Increase</u>	<u>% Increase</u>
	Annual Rates			
GUF - Storrs Undergraduates	\$1,848	\$1,914	\$66	3.6%
GUF - Storrs Graduates	\$1,368	\$1,416	\$48	3.5%
Transit Fee - Storrs Campus	\$110	\$120	\$10	9.1%
Graduate Student Activity Fee	\$26	\$32	\$6	23.1%

GUF Funded Programs

The Student Activity and Service Fee Advisory Committee recommends that the GUF rate be increased by 3.6% for Storrs Undergraduates and 3.5% for Storrs Graduates to support the continuation of current programs offered by GUF funded units. GUF rates at regional campuses and the Law School are not increasing. FY15 funding is recommended as follows:

	<u>FY 2014 Current</u>	<u>FY 2015 Proposed</u>	<u>\$ Increase</u>	<u>% Increase</u>
Student Health Services	\$10,004,357	\$10,464,138	\$459,781	4.6%
One Card Office	303,200	307,731	4,531	1.5%
Jorgensen Center for Performing Arts	1,349,660	1,486,421	136,761	10.1%
Division of Athletics	9,929,474	10,202,710	273,236	2.8%
UConn Marching Band	319,306	331,885	12,579	3.9%
Student Union	3,426,662	3,535,162	108,500	3.2%
Student Activities	4,908,489	5,198,699	290,210	5.9%
Fraternity and Sorority Life	476,186	499,995	23,809	5.0%
Career Services	1,824,596	1,922,029	97,433	5.3%
Wellness and Prevention Services	986,789	831,789	(155,000)	-15.7%
Off-Campus Student Services	307,310	322,675	15,365	5.0%
Parking & Transportation	495,618	495,618	0	0.0%
	<u>\$34,331,647</u>	<u>\$35,598,852</u>	<u>\$1,267,205</u>	<u>3.69%</u>

Transit Fee-Storrs Campus

Beginning in the Fall 2014 semester, the Committee recommends the Storrs Transit Fee be raised from \$110/year to \$120/year. The primary rationale for this adjustment is increased fuel prices, labor costs and maintenance of the shuttle buses and accessible vans.

Graduate Student Activity Fee

Beginning in the Fall 2014 semester, the Committee recommends the Graduate Student Activity Fee be raised from \$26/year to \$32/year. The adjustment is requested to provide additional program options for graduate students including the enhancement of professional development opportunities, and continued direct financial support to graduate students through the Graduate Emergency Loan.

University of Connecticut - Storrs and Regional Campuses
General University Fee
Fiscal Years 2014 and 2015

FY 2014 Current						
Classification	Undergraduates - Storrs/Regionals		Graduates/Professional Schools ^(A)			
	# of Credits	Annual Rate	Graduate Assistant # of Credits	Annual Rate	Non-Grad Assistant # of Credits	Annual Rate

Storrs:

Full-Time	(12 or more)	\$1,848	(0 - 9)	\$1,368	(9)	\$1,368
Half-Time	(6 - 11)	\$924			(5 - 8)	\$912
Less Than Half-Time	(1 - 5)	\$462			(0 - 4)	\$456

Regional Campuses:

Torrington	Flat Amount	\$74
Other than Torrington	Flat Amount	\$112

Professional:

School of Law		Flat Amount	\$74
School of Social Work		Flat Amount	\$74

FY 2015 Proposed

Classification	Undergraduates - Storrs/Regionals		Graduates/Professional Schools ^(A)			
	# of Credits	Annual Rate	Graduate Assistant # of Credits	Annual Rate	Non-Grad Assistant # of Credits	Annual Rate

Storrs:

Full-Time	(12 or more)	\$1,914	(0 - 9)	\$1,416	(9)	\$1,416
Half-Time	(6 - 11)	\$958			(5 - 8)	\$944
Less Than Half-Time	(1 - 5)	\$478			(0 - 4)	\$472

Regional Campuses:

Torrington	Flat Amount	\$74
Other than Torrington	Flat Amount	\$112

Professional:

School of Law		Flat Amount	\$74
School of Social Work		Flat Amount	\$74

^(A) Graduate Assistants are classified as full-time students and are charged the full-time rate. Continuous Registration Students who are registered for GRAD 5998, 5999, 6998 and 6999 are less than half-time students and are therefore charged the less than half-time rate.

ATTACHMENT 13



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Revised Allocation of Bond Authorizations as set forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Fifteenth Supplemental Indenture, as follows:

- Decrease the Beach Hall Renovations by \$57,304.67 for a total fiscal year 2008-2009 bond authorization for such project of \$442,695.33; and
- Decrease the Intramural, Recreational and Intercollegiate Facilities by \$490,079.37 for a total fiscal year 2008-2009 bond authorization for such project of \$9,920.63; and
- Decrease the Jorgensen Renovation by \$115,525.42 for a total fiscal year 2008-2009 bond authorization for such project of \$1,984,474.58; and
- Decrease the Torrington Campus Improvements by \$101,717.58 for a total fiscal year 2008-2009 bond authorization for such project of \$369,156.42; and
- Decrease the West Hartford Campus Renovations/Improvements by \$1,232,690.55 for a total fiscal year 2008-2009 bond authorization for such project of \$3,774,305.19; and

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- Increase the Equipment, Library Collections & Telecommunications for an amount of \$1,997,317.59 for a total fiscal year 2008-2009 bond authorization for such project of \$7,002,317.59.

BACKGROUND:

The Board of Trustees approved the Fifteenth Supplemental Indenture on June 24, 2008 supplementing the Master Indenture of Trust dated November 1, 1995. On March 10, 2009, the Board amended the Fifteenth Supplemental Indenture to carry forward the bond authorization of \$115,000,000 previously authorized by, but unissued under the Fourteenth Supplemental Indenture and to reallocate the \$140,000,000 in bond authorizations for Fiscal Year 2008-2009. The Board of Trustees amended the Fifteenth Supplemental Indenture on June 23, 2009. On April 16, 2009, the University, in conjunction with the State Treasurer, issued the University's General Obligation Bonds, 2009 Series A in the aggregate principal amount of \$144,855,000, with sale proceeds in the amount of \$150,000,000 which financed all of the Fiscal Year 2007-2008 bond authorizations, and \$35,000,000 of the Fiscal Year 2008-2009 bond authorizations in accordance with the Fifteenth Supplemental Indenture.

The Board of Trustees amended the Fifteenth Supplemental Indenture on February 18, 2010 to reallocate bond authorizations among capital projects. On May 25, 2010, the University, in conjunction with the State Treasurer, issued the University's General Obligation Bonds, 2010 Series A in the aggregate principal amount of \$97,115,000, with sale proceeds in the amount of \$105,000,000 which financed all of the remaining Fiscal Year 2008-2009 bond authorizations remaining under and in accordance with the Fifteenth Supplemental Indenture. On September 21, 2010, and April 24, 2013 the Board of Trustees again amended the Fifteenth Supplemental Indenture to reallocate bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2008-2009, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE FIFTEENTH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2008-2009
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 24, 2008, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Fifteenth Supplemental Indenture (the "Fifteenth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to State Street Bank and Trust Company and U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on March 10, 2009, the University amended the Fifteenth Supplemental Indenture to carry forward the bond authorizations for Fiscal Year 2007-2008 authorized by the Fourteenth Supplemental Indenture and to reallocate certain of the Fiscal Year 2008-2009 bond authorizations; and

WHEREAS, on April 16, 2009, the University, in conjunction with the Treasurer of the state of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2009 Series A in the aggregate principal amount of \$144,855,000, with sale proceeds in the amount of \$150,000,000 which were to be spent on the Fiscal Year 2007-2008 and Fiscal Year 2008-2009 bond authorizations in accordance with the Fifteenth Supplemental Indenture; and

WHEREAS, on June 23, 2009, and February 18, 2010 the University reallocated certain of the fiscal year 2008-2009 bond authorizations by amending the Fifteenth Supplemental Indenture; and

WHEREAS, on May 25, 2010, the University, in conjunction with the Treasurer of the state of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2010 Series A in the aggregate principal amount of \$97,115,000, with sale proceeds in the amount of \$105,000,000 which were to be spent on the Fiscal Year 2008-2009 bond authorizations in accordance with the Fifteenth Supplemental Indenture; and

WHEREAS, on September 21, 2010, and April 24, 2013 the University reallocated certain of the fiscal year 2008-2009 bond authorizations by amending the Fifteenth Supplemental Indenture; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Fifteenth Supplemental Indenture should be revised to reflect the actual progress made on certain of the projects; and

WHEREAS, the University desires to amend the Fifteenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Fifteenth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Fifteenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2008-2009 bond authorization amongst projects as follows: (a) decrease the Beach Hall Renovations by \$57,304.67 for a total fiscal year 2008-2009 bond authorization for such project of \$442,695.33; and (b) decrease the Intramural, Recreational and Intercollegiate Facilities by \$490,079.37 for a total fiscal year 2008-2009 bond authorization for such project of \$9,920.63; and (c) decrease the Jorgensen Renovation by \$115,525.42 for a total fiscal year 2008-2009 bond authorization for such project of \$1,984,474.58; and (d) decrease the Torrington Campus Improvements by \$101,717.58 for a total fiscal year 2008-2009 bond authorization for such project of \$369,156.42; and (e) decrease the West Hartford Campus Renovations/Improvements by \$1,232,690.55 for a total fiscal year 2008-2009 bond authorization for such project of \$3,774,305.19; and (f) increase the Equipment, Library Collections & Telecommunications for an amount of \$1,997,317.59 for a total fiscal year 2008-2009 bond authorization for such project of \$7,002,317.59 and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A**As Amended⁽¹⁾**

**FIFTEENTH SUPPLEMENTAL INDENTURE
 UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
 FISCAL YEAR 2008-2009
 UCONN 2000 BOND AUTHORIZATIONS**

UCONN 2000 Projects*	UCONN 2000 Phase III Total Needed DSC Bonds**	Fiscal Year 2008-2009 Bond Authorization ⁽²⁾	UCONN 2000 Phase III Prior DSC Bond Authorization***
--<u>Storrs and Regional Campuses</u>			
Arjona and Monteith (new classroom buildings)	\$107,000,000	\$ 11,200,000.00	\$37,900,000
Avery Point Campus Undergraduate and Library Building	10,585,532	1,550,000.00	500,000
Avery Point Renovation	1,016,470	447,282.54	-
Beach Hall Renovations	4,742,695	442,695.33	1,000,000
Benton State Art Museum Addition	2,903,509	2,703,508.88	200,000
Biobehavioral Complex Replacement	2,787,975	100,000.00	-
Deferred Maintenance/Code/ADA Renovation Lump Sum	212,792,375	41,747,717.46	79,643,575
Engineering Building (with Environmental Research Institute)	92,500,000	2,500,000.00	-
Equipment, Library Collections & Telecommunications	88,998,496	7,002,317.59	43,909,575
Family Studies (DRM) Renovation	2,868,306	2,493,306.20	375,000
Farm Buildings Repairs/Replacement	6,728,342	1,128,342.09	2,600,000
Fine Arts Phase II	22,775,000	1,750,000.00	500,000
Floriculture Greenhouse	6,750,000	600,000.00	-
Gant Building Renovations	15,250,000	1,750,000.00	-
Gentry Completion	9,628,209	4,628,208.95	5,000,000
Intramural, Recreational and Intercollegiate Facilities	31,009,921	9,920.63	31,000,000
Jorgensen Renovation	2,084,475	1,984,474.58	100,000
Koons Hall Renovation/Addition	1,080,057	80,057.00	-
Law School Renovation/Improvements	15,768,029	150,000.00	15,000,000
North Hillside Road Completion	8,200,000	250,000.00	1,250,000
Psychology Building Renovation/Addition	25,170,550	750,000.00	-
Residential Life Facilities	19,117,722	2,279,770.14	1,800,000
Storrs Hall Addition	14,987,927	1,000,000.00	550,000
Torrington Campus Improvements	369,156	369,156.42	-
Waterbury Downtown Campus	478,937	128,937.00	300,000
West Hartford Campus Renovations/Improvements	6,774,305	3,774,305.19	1,500,000
Young Building Renovation/Addition	19,223,430	750,000.00	-
<u>Subtotal – Storrs and Regional Campuses</u>		<u>\$91,570,000.00</u>	

--Health Center			
CLAC Renovation Biosafety Level 3 Lab	\$16,835,000	\$750,000.00	\$530,000
Deferred Maintenance/Code/ADA Renovation Sum —			
Health Center	49,466,150	5,360,000.00	19,145,125
Dental School Renovation	5,000,000	450,000.00	3,075,000
Equipment, Library Collections and Telecommunications -			
Health Center	63,595,850	12,590,000.00	28,565,725
Main Building Renovation	139,184,500	3,650,000.00	1,745,000
Research Tower	68,701,000	25,630,000.00	23,935,000
<u>Subtotal – Health Center</u>		<u>\$48,430,000.00</u>	
Total Fiscal Year 2008-2009 Bond Authorization		\$140,000,000.00	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly, and Public Act No. 10-104 of the 2010 Session of the Connecticut General Assembly

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward.

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Fifteenth Supplemental Indenture on June 24, 2008 and amended it on March 10, 2009, June 23, 2009, February 18, 2010, September 21, 2010, April 24, 2013 and February 26, 2014.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

UCONN 2000 Preliminary Phasing Outline by Fiscal Year
Draft Phasing Plan for Informational Purposes Only - Revised 2/26/14

PROJECT	Adjusted 4/13 FY09	Adjustments	Adjusted 2/14 FY09	Adjusted 9/13 FY14	Adjustments	Adjusted 2/14 FY14	Adjusted 11/13 Phase I, II, III	Adjusted 2/14 Phase I, II, III	Change
Next Generation Connecticut							1,742,500,000	1,742,500,000	0.00
Academic and Research Facilities				1,000,000.00		1,000,000.00	2,000,000	2,000,000	0.00
Agricultural Biotechnology Facility & Completion							12,400,000	12,400,000	0.00
Alumni Quadrant Renovations							11,183,624	11,183,624	0.00
Arjona and Monteith (new classroom buildings)	11,200,000.00		11,200,000.00				107,000,000	107,000,000	0.00
Avery Point Campus Undergraduate & Library Building	1,550,000.00		1,550,000.00				10,585,532	10,585,532	0.00
Avery Point Marine Science Research Center - Phase I & II							37,254,246	37,254,246	0.00
Avery Point Renovation	447,282.54		447,282.54	1,128,000.00	(1,128,000.00)	0.00	7,020,187	5,892,187	(1,128,000.00)
Beach Hall Renovations	500,000.00	(57,304.67)	442,695.33				4,800,000	4,742,695	(57,304.67)
Benlon State Art Museum Addition	2,703,508.88		2,703,508.88				3,603,509	3,603,509	0.00
Biobehavioral Complex Replacement	100,000.00		100,000.00				2,787,975	2,787,975	0.00
Bishop Renovation							2,550,000	2,550,000	0.00
Business School Renovation - Phase II							7,958,470	7,958,470	0.00
Central Warehouse New							6,933,752	6,933,752	0.00
Chemistry Building							53,062,000	53,062,000	0.00
Commissary Warehouse							0	0	0.00
Deferred Maintenance & Renovation Lump Sum-Phase I, II & III	41,747,717.46		41,747,717.46	13,294,450.00		13,294,450.00	370,976,731	370,976,731	0.00
East Campus North Renovations							7,382,605	7,382,605	0.00
Engineering Building	2,500,000.00		2,500,000.00	1,500,000.00		1,500,000.00	92,500,000	92,500,000	0.00
Equipment, Library Collections & Telecommunications	5,005,000.00	1,997,317.59	7,002,317.59	4,000,000.00	7,002,682.41	11,002,682.41	248,310,496	255,310,496	9,000,000.00
Family Studies (DRM) Renovation	2,493,306.20		2,493,306.20				2,868,306	2,868,306	0.00
Farm Buildings Repairs/Replacement	1,128,342.09		1,128,342.09	3,000,000.00		3,000,000.00	6,728,342	6,728,342	0.00
Fine Arts Phase II	1,750,000.00		1,750,000.00				22,775,000	22,775,000	0.00
Floriculture Greenhouse	600,000.00		600,000.00				6,750,000	6,750,000	0.00
Gant Plaza Deck							7,287,174	7,287,174	0.00
Gant Building Renovations	1,750,000.00		1,750,000.00	4,000,000.00		4,000,000.00	15,250,000	15,250,000	0.00
Gentry Renovation & Completion	4,628,208.95		4,628,208.95				19,292,806	19,292,806	0.00
Grad Dorm Renovations							2,928,228	2,928,228	0.00
Hartford Relocation Acquisition/Renovation				9,000,000.00	(5,874,682.41)	3,125,317.59	10,000,000	4,125,318	(5,874,682.41)
Heating Plant Upgrade				1,057,000.00		1,057,000.00	39,969,000	39,969,000	0.00
Hilltop Dormitory Renovations							8,176,529	8,176,529	0.00
Ice Rink Enclosure							3,280,000	3,280,000	0.00
Incubator Facilities							0	0	0.00
International House Conversion (Natural History Museum)							886,134	886,134	0.00
Intramural, Recreational & Intercollegiate Facilities	500,000.00	(490,079.37)	9,920.63				31,500,000	31,009,921	(490,079.37)
Jorgensen Renovation	2,100,000.00	(115,525.42)	1,984,474.58				2,200,000	2,084,475	(115,525.42)
Koons Hall Renovation/Addition	80,057.00		80,057.00				1,080,057	1,080,057	0.00
Lakeside Renovation							3,800,000	3,800,000	0.00
Law School Renovations/Improvements	150,000.00		150,000.00				15,768,029	15,768,029	0.00
Library Storage Facility							0	0	0.00
Litchfield Agricultural Center - Phase I							1,000,000	1,000,000	0.00
Manchester Hall Renovation							807,264	807,264	0.00
Mansfield Apartments Renovation							2,071,000	2,071,000	0.00
Mansfield Training School Improvements							6,500,000	6,500,000	0.00
Monteith Renovation							444,348	444,348	0.00
Music Drama Addition							7,400,000	7,400,000	0.00
Natural History Museum Completion							500,000	500,000	0.00
North Campus Renovation							10,996,050	10,996,050	0.00
North Hillside Road Completion	250,000.00		250,000.00	2,700,000.00		2,700,000.00	8,200,000	8,200,000	0.00
North Superblock Site & Utilities							7,668,000	7,668,000	0.00
Northwest Quadrant Renovation - Phase I & II							32,001,000	32,001,000	0.00
Observatory							0	0	0.00
Old Central Warehouse Renovation							126,000	126,000	0.00
Parking Garage North							9,658,000	9,658,000	0.00
Parking Garage #3							0	0	0.00
Pedestrian Walkways (Fairfield Road Pedestrian Mall)							6,074,000	6,074,000	0.00
Psychology Building Renovation/Addition	750,000.00		750,000.00	3,670,550.00		3,670,550.00	25,170,550	25,170,550	0.00
Residential Life Facilities	2,279,770.14		2,279,770.14	8,000,000.00		8,000,000.00	19,117,722	19,117,722	0.00
School of Business							25,652,366	25,652,366	0.00
School of Pharmacy/Biology							94,609,000	94,609,000	0.00
Shippee/Buckley Renovations							6,920,000	6,920,000	0.00
South Campus Complex							12,251,000	12,251,000	0.00
Stamford Downtown Relocation - Phase I							55,781,472	55,781,472	0.00
Stamford Campus Improvements/Housing				1,000,000.00		1,000,000.00	1,500,000	1,500,000	0.00
Storrs Hall Addition	1,000,000.00		1,000,000.00				14,987,927	14,987,927	0.00
Student Health Services							0	0	0.00
Student Union Addition							57,622,633	57,622,633	0.00
Support Facility (Architectural & Engineering Services)							0	0	0.00
Technology Quadrant - Phase IA							39,993,000	39,993,000	0.00
Technology Quadrant-Phase II							34,120,000	34,120,000	0.00
Torrey Life Science Renovation & Completion & Biology Expansion							1,751,109	1,751,109	0.00
Torrington Campus Improvements	470,874.00	(101,717.58)	369,156.42				470,874	369,156	(101,717.58)
Towers Renovation							17,950,243	17,950,243	0.00
UCONN Products Store							0	0	0.00
Underground Steam & Water Upgrade - Phase I & II							12,000,000	12,000,000	0.00
Waring Building Conversion							11,452,000	11,452,000	0.00
Waterbury Property Purchase							200,000	200,000	0.00
Waterbury Downtown Campus	128,937.00		128,937.00	50,000.00		50,000.00	478,937	478,937	0.00
West Campus Renovations							519,507	519,507	0.00
West Hartford Campus Renovations/Improvements	5,006,995.74	(1,232,690.55)	3,774,305.19				8,006,996	6,774,305	(1,232,690.55)
White Building Renovation							2,427,269	2,427,269	0.00
Wilbur Cross Building Renovation							19,999,571	19,999,571	0.00
Young Building Renovation/Addition	750,000.00		750,000.00				19,223,430	19,223,430	0.00
SUBTOTAL FOR STORRS & REGIONAL CAMPUS	\$91,570,000.00	\$0.00	\$91,570,000.00	\$53,400,000.00	\$0.00	\$53,400,000.00	\$3,505,000,000	\$3,505,000,000	\$0.00
CLAC Renovation Biosafety Level 3 Lab	750,000.00		750,000.00	9,710,000.00		9,710,000.00	16,835,000	16,835,000	0.00
Deferred Maintenance/Code/ADA Renovation Sum-UCHC	5,360,000.00		5,360,000.00	4,832,000.00		4,832,000.00	49,466,150	49,466,150	0.00
Dental School Renovation	450,000.00		450,000.00				5,000,000	5,000,000	0.00
Equipment, Library Collections & Telecommunications-UCHC	12,590,000.00		12,590,000.00	2,290,000.00		2,290,000.00	63,595,850	63,595,850	0.00
Library/Student Computer Center Renovation							5,250,000	5,250,000	0.00
Main Building Renovation	3,650,000.00		3,650,000.00	28,009,500.00		28,009,500.00	139,184,500	139,184,500	0.00
Medical School Academic Building Renovation				25,747,500.00		25,747,500.00	40,697,500	40,697,500	0.00
Planning & Design Costs							25,000,000	25,000,000	0.00
Research Tower	25,630,000.00		25,630,000.00	8,230,000.00		8,230,000.00	68,701,000	68,701,000	0.00
Support Building Addition/Renovation							100,000	100,000	0.00
UCHC New Construction and Renovation				72,181,000.00		72,181,000.00	364,070,000	364,070,000	0.00
SUBTOTAL FOR HEALTH CENTER	\$48,430,000.00	\$0.00	\$48,430,000.00	\$151,000,000.00	\$0.00	\$151,000,000.00	\$777,900,000	\$777,900,000	\$0.00
GRAND TOTAL	\$140,000,000.00	\$0.00	\$140,000,000.00	\$204,400,000.00	\$0.00	\$204,400,000.00	\$4,282,900,000	\$4,282,900,000	\$0.00

UConn 2000 Phase III (21st Century UConn) Preliminary Outline by Fiscal Year

New Draft Phasing Plan for Informational Purposes Only - Revised 2/26/14

FY 05 Phase II	Project	Est/Budget	FY05	FY06	FY07	FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15	FY16	FY17	FY18	FY19	FY20	FY21	FY22	FY23	FY24	Total Phase III		
	Next Generation Connecticut	\$ 1,742,500,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 128,690,000	\$ 143,494,000	\$ 179,440,150	\$ 251,875,850	\$ 251,000,000	\$ 269,000,000	\$ 191,500,000	\$ 144,000,000	\$ 112,000,000	\$ 73,500,000	\$ 1,742,500,000	
	Academic and Research Facilities	2,000,000	-	-	-	-	-	-	-	-	-	1,000,000	1,000,000	-	-	-	-	-	-	-	-	-	-	2,000,000	
	Avonia and Montell (new classroom buildings)	107,000,000	1,400,000	-	7,700,000	26,800,000	11,200,000	-	45,900,000	500,000	11,500,000	-	-	-	-	-	-	-	-	-	-	-	-	107,000,000	
	Avery Point Campus Undergraduate & Library Building	10,546,332	-	-	-	500,000	1,850,000	-	1,802,813	5,600,000	1,132,719	-	-	-	-	-	-	-	-	-	-	-	-	10,546,332	
\$ 1,875,717	Beach Hall Renovations	1,016,470	-	-	-	-	447,283	-	197,187	-	372,000	-	-	-	-	-	-	-	-	-	-	-	-	1,016,470	
	Benton State Art Museum Addition	4,742,695	-	-	-	1,000,000	442,695	-	-	3,300,000	-	-	-	-	-	-	-	-	-	-	-	-	-	4,742,695	
	Biobehavioral Complex Replacement	2,903,509	-	-	-	200,000	2,703,509	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,903,509	
	Bishop Renovation	2,787,975	-	-	-	-	100,000	1,000,000	1,687,975	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,787,975	
	Commissary Warehouse	2,550,000	-	-	-	-	-	100,000	2,125,000	325,000	-	-	-	-	-	-	-	-	-	-	-	-	-	2,550,000	
3,295,111	Deferred Maintenance/Code/ADA Renovation Lump Sum	212,792,375	17,360,000	10,659,575	24,700,000	26,924,000	41,747,717	24,225,000	18,469,932	9,318,851	13,294,450	628,000	18,406,000	8,059,850	-	-	-	-	-	-	-	-	-	212,792,375	
	Engineering Building	82,500,000	-	-	-	-	2,500,000	-	2,500,000	500,000	-	1,500,000	53,500,000	32,000,000	-	-	-	-	-	-	-	-	-	82,500,000	
6,689,000	Equipment, Library Collections & Telecommunications	88,998,498	-	6,100,000	20,809,575	17,000,000	7,002,318	-	2,500,000	13,228,921	1,500,000	11,022,682	857,000	3,000,000	3,000,000	3,000,000	-	-	-	-	-	-	-	88,998,498	
	Family Studies (DRM) Renovation	2,868,306	-	-	-	375,000	2,493,306	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,868,306	
	Farm Buildings Repairs/Replacement	8,728,342	2,100,000	500,000	-	-	1,128,342	-	-	-	-	3,000,000	-	-	-	-	-	-	-	-	-	-	-	8,728,342	
	Fine Arts Phase II	22,775,000	-	-	-	500,000	1,750,000	-	800,000	1,875,000	50,000	-	11,700,000	8,500,000	-	-	-	-	-	-	-	-	-	22,775,000	
	Floriculture Greenhouse	6,750,000	-	-	-	-	600,000	-	2,400,000	3,750,000	-	-	-	-	-	-	-	-	-	-	-	-	-	6,750,000	
	Gant Building Renovations	15,250,000	-	-	-	-	1,750,000	-	1,000,000	6,500,000	2,000,000	4,000,000	-	-	-	-	-	-	-	-	-	-	-	15,250,000	
	Gentry Completion	9,828,209	-	-	-	5,000,000	4,828,209	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	9,828,209	
	Hartford Relocation Acquisition/Renovation	4,125,318	-	-	-	-	-	-	-	-	-	3,125,318	1,000,000	-	-	-	-	-	-	-	-	-	-	4,125,318	
	Heating Plant Upgrade	30,000,000	-	-	-	-	-	-	-	1,190,000	628,000	1,057,000	7,125,000	-	8,000,000	12,000,000	-	-	-	-	-	-	-	30,000,000	
	Intramural, Recreational & Intercollegiate Facilities	31,009,921	4,700,000	26,300,000	-	-	9,921	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	31,009,921	
	Jorgensen Renovation	2,084,475	-	-	-	100,000	1,984,475	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,084,475	
	Koons Hall Renovation/Addition	1,040,057	-	-	-	-	90,057	-	1,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,040,057	
	Landscape Renovations	3,800,000	3,800,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,800,000	
	Law School Renovations/Improvements	15,768,029	500,000	1,020,000	8,960,000	4,500,000	150,000	-	-	618,029	-	-	-	-	-	-	-	-	-	-	-	-	-	15,768,029	
	Library Storage Facility	807,284	-	-	-	-	-	-	-	807,284	-	-	-	-	-	-	-	-	-	-	-	-	-	-	807,284
	Manchester Hall Renovation	3,000,000	-	-	3,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,000,000	
	Manfield Training School Improvements	500,000	500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	500,000	
	Natural History Museum Completion	8,200,000	1,000,000	-	-	250,000	250,000	-	-	2,500,000	2,700,000	1,500,000	-	-	-	-	-	-	-	-	-	-	-	8,200,000	
	Observatory	126,000	-	-	-	126,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	126,000	
	Old Central Warehouse	5,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	5,000,000	
	Parking Garage #3	25,170,550	-	-	-	-	750,000	-	2,000,000	2,900,000	15,850,000	3,670,350	-	-	-	-	-	-	-	-	-	-	-	25,170,550	
	Psychology Building Renovation/Addition	48,117,722	750,000	-	-	1,090,000	2,279,770	-	6,037,352	-	8,000,000	1,000,000	-	-	-	-	-	-	-	-	-	-	-	48,117,722	
30,820,172	Residential Life Facilities	6,000,000	-	6,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,000,000	
	School of Pharmacy/Biology Completion	1,500,000	250,000	250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,500,000	
	Stanford Campus Improvements/Housing	14,987,827	-	-	-	550,000	1,000,000	4,980,000	8,457,827	-	-	-	-	-	-	-	-	-	-	-	-	-	-	14,987,827	
	Storms Hall Addition	5,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	5,000,000	
7,320,000	Student Health Services	13,000,000	-	13,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	13,000,000	
	Student Union Addition	1,500,000	1,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,500,000	
	Support Facility (Architectural & Engineering Services)	369,156	-	-	-	-	369,156	-	-	500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	369,156	
	Torrey Renovation Completion & Biology Expansion	478,937	-	-	300,000	-	128,937	-	-	-	-	50,000	-	-	-	-	-	-	-	-	-	-	-	478,937	
	Yorrlington Campus Improvements	6,774,305	250,000	250,000	-	1,000,000	3,774,305	1,500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,774,305	
	Young Building Renovation/Addition	18,223,430	-	-	-	-	750,000	2,095,000	6,655,000	9,723,430	-	-	-	-	-	-	-	-	-	-	-	-	-	18,223,430	
\$ 60,000,000	Subtotal Stores & Regional Campuses	\$ 2,683,000,000	\$ 33,810,000	\$ 64,079,876	\$ 65,489,876	\$ 87,875,000	\$ 91,670,000	\$ -	\$ 83,800,000	\$ 82,600,000	\$ 64,900,000	\$ 63,400,000	\$ 205,000,000	\$ 204,400,000	\$ 196,600,000	\$ 268,875,850	\$ 261,000,000	\$ 269,000,000	\$ 191,500,000	\$ 144,000,000	\$ 112,000,000	\$ 73,500,000	\$ -	\$ 2,643,000,000	
	CLAC Renovation Biosafety Level 3 Lab	16,835,000	30,000	-	-	500,000	750,000	-	35,000	-	-	6,710,000	5,810,000	-	-	-	-	-	-	-	-	-	-	16,835,000	
	Deferred Maintenance/Code/ADA Renovation Sum-Health Center	49,488,150	3,110,000	4,285,000	4,392,125	7,358,000	5,360,000	-	4,000,000	3,000,000	3,000,000	4,832,000	5,000,000	3,300,000	1,429,025	500,000	-	-	-	-	-	-	-	49,488,150	
	Dental School Renovation	5,000,000	-	200,000	1,125,000	1,750,000	450,000	-	875,000	500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	5,000,000	
	Equipment, Library Collections & Telecommunications-Health Center	63,595,850	12,665,000	6,135,425	5,148,300	4,617,000	12,580,000	-	8,750,000	2,500,000	1,600,000	2,280,000	2,025,000	1,550,125	2,250,000	1,475,000	-	-	-	-	-	-	-	63,595,850	
	Library/Student Computer Center Renovation	5,250,000	85,000	500,000	565,000	-	250,000	-	250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	5,250,000	
	Main Building Renovation	139,184,500	45,000	-	1,200,000	500,000	3,650,000	5,990,000	14,000,000	23,203,000	28,009,500	31,679,000	30,908,000	-	-	-	-	-	-	-	-	-	-	139,184,500	
	Medical School Academic Building Renovation	40,897,500	140,000	1,000,000	2,250,000	300,000	-	-	-	5,000,000	25,747,500	-	-	-	-	-	-	-	-	-	-	-	-	40,897,500	
	Parking Garage - Health Center	6,400,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,400,000	
	Planning & Design Costs	25,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	25,000,000	
	Research Tower	68,701,000	315,000	2,800,000	8,820,000	12,000,000	25,630,000	-	25,000,000	-	-	10,906,000	6,230,000	-	-	-	-	-	-	-	-	-	-	68,701,000	
	Support Building Addition/Renovation	100,000	-	-	-	-	100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	100,000	
	UConn New Construction and Renovation	364,070,000	-	-	-	-	-	-	-	54,700,000	44,391,000	72,181,000	65,966,000	71,041,875	55,770,125	-	-	-	-	-	-	-	-	364,070,000	
	Subtotal for Health Center	\$ 796,300,000	\$ 16,380,000	\$ 14,920,425	\$ 23,810,425	\$ 27,125,000	\$ 48,430,000	\$ -	\$ 45,000,000	\$ 74,700,000	\$ 88,100,000	\$ 161,000,000	\$ 110,500,000	\$ 107,700,000	\$ 87,900,000	\$ 2,624,150	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 777,800,000	
	Grand Total	\$ 3,469,300,000	\$ 50,000,000	\$ 79,000,000	\$ 89,000,000	\$ 115,000,000	\$ 140,000,000	\$ -	\$ 138,800,000	\$ 167,300,000	\$ 143,000,000	\$ 204,400,000	\$ 316,500,000	\$ 312,100,000	\$ 286,400,000	\$ 269,500,000	\$ 261,000,000	\$ 269,000,000	\$ 191,500,000	\$ 144,000,000	\$ 112,000,000	\$ 73,500,000	\$ -	\$ 3,320,900,000	
	*Projects are partially/fully financed by Revenue Bonds																								

ATTACHMENT 14



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approve the reallocation of bond authorizations among capital projects by amending Appendix A of the Nineteenth Supplemental Indenture, as follows:

- Decrease Avery Point Renovation by \$1,128,000 for a total fiscal year 2013-2014 bond authorization for such project of \$0; and
- Decrease Hartford Relocation Acquisition/Renovation by \$5,874,682.41 for a total fiscal year 2013-2014 bond authorization for such project of \$3,125,317.59; and
- Increase Equipment, Library Collections & Telecommunications by \$7,002,682.41 for a total fiscal year 2013-2014 bond authorization for such project of \$11,002,682.41.

BACKGROUND:

The Board of Trustees approved the Nineteenth Supplemental Indenture on June 26, 2013 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Nineteenth Supplemental Indenture on July 11, 2013.

On July 31, 2013, the University, in conjunction with the Treasurer of the state of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2013 Series A in the aggregate principal amount of \$223,910,000, with sale proceeds in the amount of \$189,000,000 which financed part of the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture. On September 25, 2013 the Board of Trustees amended the Nineteenth Supplemental Indenture to reallocate bond authorizations among capital projects.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
STORRS, CT 06269-1122
PHONE 860.486.3455
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Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2013-2014, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE NINETEENTH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2013-2014
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 26, 2013, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Nineteenth Supplemental Indenture (the "Nineteenth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on July 31, 2013, the University, in conjunction with the Treasurer of the state of Connecticut (the "State Treasurer"), issued the University's General Obligation Bonds, 2013 Series A in the aggregate principal amount of \$223,910,000, with sale proceeds in the amount of \$189,000,000 part of which were to be spent on the Fiscal Year 2013-2014 bond authorizations in accordance with the Nineteenth Supplemental Indenture; and

WHEREAS, on September 25, 2013 the University reallocated certain of the fiscal year 2013-2014 bond authorizations by amending the Nineteenth Supplemental Indenture; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Nineteenth Supplemental Indenture should be revised to reflect the actual progress made on certain of the projects; and

WHEREAS, the University desires to amend the Nineteenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Nineteenth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Nineteenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2013-2014 bond authorization amongst projects as follows: (a) decrease Avery Point Renovation by \$1,128,000 for a total fiscal year 2013-2014 bond authorization for such project of \$0; and (b) decrease Hartford Relocation Acquisition/Renovation by \$5,874,682.41 for a total fiscal year 2013-2014 bond authorization for such project of \$3,125,317.59; and (c) increase Equipment, Library Collections & Telecommunications by \$7,002,682.41 for a total fiscal year 2013-2014 bond authorization for such project of \$11,002,682.41 and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A
(as amended)¹

NINETEENTH SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2013-2014
UCONN 2000 BOND AUTHORIZATIONS
_____ SERIES _____ BOND PROJECTS

UCONN 2000 Projects*	UCONN 2000 Phase III Total Needed – D.S.C. Bonds**	Fiscal Year 2013-2014 Bond Authorization⁽²⁾	UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***
--<u>Storrs and Regional Campuses</u>			
Academic and Research Facilities	\$2,000,000	\$1,000,000.00	-
Deferred Maintenance/Code/ADA Renovation Lump Sum	212,792,375	13,294,450.00	171,404,074.77
Engineering Building (with Environmental Research Institute)	92,500,000	1,500,000.00	5,500,000.00
Equipment, Library Collections & Telecommunications	89,998,496	11,002,682.41	68,138,813.59
Farm Buildings Repairs/Replacement	6,728,342	3,000,000.00	3,728,342.09
Gant Building Renovations	15,250,000	4,000,000.00	11,250,000.00
Hartford Relocation Acquisition/Renovation	4,125,318	3,125,317.59	-
Heating Plant Upgrade	30,000,000	1,057,000.00	1,818,000.00
North Hillside Road Completion	8,200,000	2,700,000.00	4,000,000.00
Psychology Building Renovation/Addition	25,170,550	3,670,550.00	21,500,000.00
Residential Life Facilities	19,117,722	8,000,000.00	10,117,722.01
Stamford Campus Improvements/Housing	1,500,000	1,000,000.00	500,000.00
Waterbury Downtown Campus	478,937	50,000.00	428,937.00
<u>Subtotal – Storrs and Regional Campuses</u>		<u>\$53,400,000.00</u>	
--<u>Health Center</u>			
CLAC Renovation Biosafety Level 3 Lab	\$16,835,000	\$9,710,000.00	\$1,315,000.00
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	49,466,150	4,832,000.00	34,505,125.00
Equipment, Library Collections & Telecommunications — Health Center	63,595,850	2,290,000.00	54,005,725.00
Main Building Renovation	139,184,500	28,009,500.00	48,588,000.00
Medical School Academic Building Renovation	40,697,500	25,747,500.00	8,700,000.00
Research Tower	68,701,000	8,230,000.00	60,471,000.00
The University of Connecticut Health Center New Construction and Renovation	364,070,000	72,181,000.00	99,091,000.00
<u>Subtotal – Health Center</u>		<u>\$151,000,000.00</u>	
Total Fiscal Year 2013-2014 Bond Authorization		\$204,400,000.00	

*Reflects amendments to project names including Public Act No 13-233.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward.

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

(1) The Board of Trustees approved the Nineteenth Supplemental Indenture on June 26, 2013 and amended it on September 25, 2013 and February 26, 2014.

(2) The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

UCONN 2000 Preliminary Phasing Outline by Fiscal Year
Draft Phasing Plan for Informational Purposes Only - Revised 2/26/14

PROJECT	Adjusted 4/13 FY09	Adjustments	Adjusted 2/14 FY09	Adjusted 9/13 FY14	Adjustments	Adjusted 2/14 FY14	Adjusted 11/13 Phase I, II, III	Adjusted 2/14 Phase I, II, III	Change
Next Generation Connecticut							1,742,500,000	1,742,500,000	0.00
Academic and Research Facilities				1,000,000.00		1,000,000.00	2,000,000	2,000,000	0.00
Agricultural Biotechnology Facility & Completion							12,400,000	12,400,000	0.00
Alumni Quadrant Renovations							11,183,624	11,183,624	0.00
Arjona and Monteith (new classroom buildings)	11,200,000.00		11,200,000.00				107,000,000	107,000,000	0.00
Avery Point Campus Undergraduate & Library Building	1,550,000.00		1,550,000.00				10,585,532	10,585,532	0.00
Avery Point Marine Science Research Center - Phase I & II							37,254,246	37,254,246	0.00
Avery Point Renovation	447,282.54		447,282.54	1,128,000.00	(1,128,000.00)	0.00	7,020,187	5,892,187	(1,128,000.00)
Beach Hall Renovations	500,000.00	(57,304.67)	442,695.33				4,800,000	4,742,695	(57,304.67)
Benton State Art Museum Addition	2,703,508.88		2,703,508.88				3,603,509	3,603,509	0.00
Biobehavioral Complex Replacement	100,000.00		100,000.00				2,787,975	2,787,975	0.00
Bishop Renovation							2,550,000	2,550,000	0.00
Business School Renovation - Phase II							7,958,470	7,958,470	0.00
Central Warehouse New							6,933,752	6,933,752	0.00
Chemistry Building							53,062,000	53,062,000	0.00
Commissary Warehouse							0	0	0.00
Deferred Maintenance & Renovation Lump Sum-Phase I, II & III	41,747,717.46		41,747,717.46	13,294,450.00		13,294,450.00	370,976,731	370,976,731	0.00
East Campus North Renovations							7,382,605	7,382,605	0.00
Engineering Building	2,500,000.00		2,500,000.00	1,500,000.00		1,500,000.00	92,500,000	92,500,000	0.00
Equipment, Library Collections & Telecommunications	5,005,000.00	1,997,317.59	7,002,317.59	4,000,000.00	7,002,682.41	11,002,682.41	246,310,496	255,310,496	9,000,000.00
Family Studies (DRM) Renovation	2,493,306.20		2,493,306.20				2,868,306	2,868,306	0.00
Farm Buildings Repairs/Replacement	1,128,342.09		1,128,342.09	3,000,000.00		3,000,000.00	6,728,342	6,728,342	0.00
Fine Arts Phase II	1,750,000.00		1,750,000.00				22,775,000	22,775,000	0.00
Floriculture Greenhouse	600,000.00		600,000.00				6,750,000	6,750,000	0.00
Gant Plaza Deck							7,287,174	7,287,174	0.00
Gant Building Renovations	1,750,000.00		1,750,000.00	4,000,000.00		4,000,000.00	15,250,000	15,250,000	0.00
Gentry Renovation & Completion	4,628,208.95		4,628,208.95				19,292,806	19,292,806	0.00
Grad Dorm Renovations							2,928,228	2,928,228	0.00
Hartford Relocation Acquisition/Renovation				9,000,000.00	(5,874,682.41)	3,125,317.59	10,000,000	4,125,318	(5,874,682.41)
Heating Plant Upgrade				1,057,000.00		1,057,000.00	39,969,000	39,969,000	0.00
Hilltop Dormitory Renovations							8,176,529	8,176,529	0.00
Ice Rink Enclosure							3,280,000	3,280,000	0.00
Incubator Facilities							0	0	0.00
International House Conversion (Natural History Museum)							886,134	886,134	0.00
Intramural, Recreational & Intercollegiate Facilities	500,000.00	(490,079.37)	9,920.63				31,500,000	31,009,921	(490,079.37)
Jorgensen Renovation	2,100,000.00	(115,525.42)	1,984,474.58				2,200,000	2,084,475	(115,525.42)
Koons Hall Renovation/Addition	80,057.00		80,057.00				1,080,057	1,080,057	0.00
Lakeside Renovation							3,800,000	3,800,000	0.00
Law School Renovations/Improvements	150,000.00		150,000.00				15,768,029	15,768,029	0.00
Library Storage Facility							0	0	0.00
Litchfield Agricultural Center - Phase I							1,000,000	1,000,000	0.00
Manchester Hall Renovation							807,264	807,264	0.00
Mansfield Apartments Renovation							2,071,000	2,071,000	0.00
Mansfield Training School Improvements							6,500,000	6,500,000	0.00
Monteith Renovation							444,348	444,348	0.00
Music Drama Addition							7,400,000	7,400,000	0.00
Natural History Museum Completion							500,000	500,000	0.00
North Campus Renovation							10,996,050	10,996,050	0.00
North Hillside Road Completion	250,000.00		250,000.00	2,700,000.00		2,700,000.00	8,200,000	8,200,000	0.00
North Superblock Site & Utilities							7,668,000	7,668,000	0.00
Northwest Quadrant Renovation - Phase I & II							32,001,000	32,001,000	0.00
Observatory							0	0	0.00
Old Central Warehouse Renovation							126,000	126,000	0.00
Parking Garage North							9,658,000	9,658,000	0.00
Parking Garage #3							0	0	0.00
Pedestrian Walkways (Fairfield Road Pedestrian Mall)							6,074,000	6,074,000	0.00
Psychology Building Renovation/Addition	750,000.00		750,000.00	3,670,550.00		3,670,550.00	25,170,550	25,170,550	0.00
Residential Life Facilities	2,279,770.14		2,279,770.14	8,000,000.00		8,000,000.00	19,117,722	19,117,722	0.00
School of Business							25,652,366	25,652,366	0.00
School of Pharmacy/Biology							94,609,000	94,609,000	0.00
Shippee/Buckley Renovations							6,920,000	6,920,000	0.00
South Campus Complex							12,251,000	12,251,000	0.00
Stamford Downtown Relocation - Phase I							55,781,472	55,781,472	0.00
Stamford Campus Improvements/Housing				1,000,000.00		1,000,000.00	1,500,000	1,500,000	0.00
Storrs Hall Addition	1,000,000.00		1,000,000.00				14,987,927	14,987,927	0.00
Student Health Services							0	0	0.00
Student Union Addition							57,622,633	57,622,633	0.00
Support Facility (Architectural & Engineering Services)							0	0	0.00
Technology Quadrant - Phase IA							39,993,000	39,993,000	0.00
Technology Quadrant-Phase II							34,120,000	34,120,000	0.00
Torrey Life Science Renovation & Completion & Biology Expansion							1,751,109	1,751,109	0.00
Torrington Campus Improvements	470,874.00	(101,717.58)	369,156.42				470,874	369,156	(101,717.58)
Towers Renovation							17,950,243	17,950,243	0.00
UCONN Products Store							0	0	0.00
Underground Steam & Water Upgrade - Phase I & II							12,000,000	12,000,000	0.00
Waring Building Conversion							11,452,000	11,452,000	0.00
Waterbury Property Purchase							200,000	200,000	0.00
Waterbury Downtown Campus	128,937.00		128,937.00	50,000.00		50,000.00	478,937	478,937	0.00
West Campus Renovations							519,507	519,507	0.00
West Hartford Campus Renovations/Improvements	5,006,995.74	(1,232,690.55)	3,774,305.19				8,006,996	6,774,305	(1,232,690.55)
White Building Renovation							2,427,269	2,427,269	0.00
Wilbur Cross Building Renovation							19,999,571	19,999,571	0.00
Young Building Renovation/Addition	750,000.00		750,000.00				19,223,430	19,223,430	0.00
SUBTOTAL FOR STORRS & REGIONAL CAMPUS	\$91,570,000.00	\$0.00	\$91,570,000.00	\$53,400,000.00	\$0.00	\$53,400,000.00	\$3,505,000,000	\$3,505,000,000	\$0.00
CLAC Renovation Biosafety Level 3 Lab	750,000.00		750,000.00	9,710,000.00		9,710,000.00	16,835,000	16,835,000	0.00
Deferred Maintenance/Code/ADA Renovation Sum-UCHC	5,360,000.00		5,360,000.00	4,832,000.00		4,832,000.00	49,466,150	49,466,150	0.00
Dental School Renovation	450,000.00		450,000.00				5,000,000	5,000,000	0.00
Equipment, Library Collections & Telecommunications-UCHC	12,590,000.00		12,590,000.00	2,290,000.00		2,290,000.00	63,595,850	63,595,850	0.00
Library/Student Computer Center Renovation							5,250,000	5,250,000	0.00
Main Building Renovation	3,650,000.00		3,650,000.00	28,009,500.00		28,009,500.00	139,184,500	139,184,500	0.00
Medical School Academic Building Renovation				25,747,500.00		25,747,500.00	40,697,500	40,697,500	0.00
Planning & Design Costs							25,000,000	25,000,000	0.00
Research Tower	25,630,000.00		25,630,000.00	8,230,000.00		8,230,000.00	68,701,000	68,701,000	0.00
Support Building Addition/Renovation							100,000	100,000	0.00
UCHC New Construction and Renovation				72,181,000.00		72,181,000.00	364,070,000	364,070,000	0.00
SUBTOTAL FOR HEALTH CENTER	\$48,430,000.00	\$0.00	\$48,430,000.00	\$151,000,000.00	\$0.00	\$151,000,000.00	\$777,900,000	\$777,900,000	\$0.00
GRAND TOTAL	\$140,000,000.00	\$0.00	\$140,000,000.00	\$204,400,000.00	\$0.00	\$204,400,000.00	\$4,282,900,000	\$4,282,900,000	\$0.00

UCONN 2000 Phase III (21st Century UConn) Preliminary Outline by Fiscal Year

New Draft Phasing Plan for Informational Purposes Only - Revised 2/26/14

FY 05 Phase II	Project	Est/Budget	FY05	FY06	FY07	FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15	FY16	FY17	FY18	FY19	FY20	FY21	FY22	FY23	FY24	Total Phase III
	Next Generation Connecticut	\$ 1,742,500,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 126,690,000	\$ 143,484,000	\$ 179,440,150	\$ 251,875,850	\$ 251,000,000	\$ 268,000,000	\$ 191,900,000	\$ 144,000,000	\$ 112,000,000	\$ 73,500,000	\$ 1,742,500,000
	Academic and Research Facilities	2,000,000	-	-	-	-	-	-	-	-	-	-	1,000,000	1,000,000	-	-	-	-	-	-	-	-	2,000,000
	Arizona and Monteth (new classroom buildings)	107,000,000	1,400,000	-	-	7,700,000	28,800,000	11,200,000	-	45,900,000	500,000	11,500,000	-	-	-	-	-	-	-	-	-	-	107,000,000
	Avery Point Campus Undergraduate & Library Building	10,585,532	-	-	-	-	500,000	1,550,000	-	1,802,813	5,600,000	1,132,719	-	-	-	-	-	-	-	-	-	-	10,585,532
\$ 1,675,717	Avery Point Renovation	1,016,470	-	-	-	-	-	447,283	-	197,187	-	372,000	-	-	-	-	-	-	-	-	-	-	1,016,470
	Benton State Art Museum Addition	4,742,695	-	-	-	-	1,000,000	442,695	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4,742,695
	Beach Hall Renovations	2,903,509	-	-	-	-	200,000	2,703,509	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,903,509
	Biobehavioral Complex Replacement	2,787,975	-	-	-	-	-	100,000	-	1,687,975	-	-	-	-	-	-	-	-	-	-	-	-	2,787,975
	Bishop Renovation	2,550,000	-	-	-	-	-	-	-	2,125,000	325,000	-	-	-	-	-	-	-	-	-	-	-	2,550,000
	Commissary Warehouse	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3,295,111	Deferred Maintenance/Code/ADA Renovation Lump Sum	212,792,375	17,360,000	10,659,575	24,700,000	26,924,000	41,747,717	-	24,225,000	16,468,932	9,316,851	13,294,450	628,000	19,406,000	8,059,850	-	-	-	-	-	-	-	212,792,375
	Engineering Building	92,500,000	-	-	-	-	-	2,500,000	-	500,000	-	1,500,000	53,500,000	32,000,000	-	-	-	-	-	-	-	-	92,500,000
6,689,000	Equipment, Library Collections & Telecommunications	88,998,496	-	6,100,000	20,809,575	17,000,000	7,002,318	-	2,500,000	13,226,921	1,500,000	11,002,682	857,000	3,000,000	3,000,000	3,000,000	-	-	-	-	-	-	88,998,496
	Family Studies (DRM) Renovation	2,868,306	-	-	-	-	375,000	2,493,306	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,868,306
	Farm Buildings Repairs/Replacement	6,728,342	2,100,000	500,000	-	-	-	1,128,342	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,728,342
	Fire Arts Phase II	22,775,000	-	-	-	-	500,000	1,750,000	-	800,000	1,675,000	50,000	-	11,700,000	6,500,000	-	-	-	-	-	-	-	22,775,000
	Floriculture Greenhouse	6,750,000	-	-	-	-	-	800,000	-	2,400,000	3,750,000	-	-	-	-	-	-	-	-	-	-	-	6,750,000
	Gant Building Renovations	15,250,000	-	-	-	-	-	1,750,000	-	1,000,000	6,500,000	2,000,000	4,000,000	-	-	-	-	-	-	-	-	-	15,250,000
	Gentry Completion	9,628,209	-	-	-	-	5,000,000	4,628,209	-	-	-	-	-	-	-	-	-	-	-	-	-	-	9,628,209
	Hartford Relocation Acquisition/Renovation	4,125,318	-	-	-	-	-	-	-	-	-	-	3,125,318	1,000,000	-	-	-	-	-	-	-	-	4,125,318
	Heating Plant Upgrade	30,000,000	-	-	-	-	-	-	-	1,190,000	628,000	-	1,057,000	7,125,000	-	8,000,000	12,000,000	-	-	-	-	-	30,000,000
	Incubator Facilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Intramural, Recreational & Intercollegiate Facilities	31,009,921	4,700,000	26,300,000	-	-	-	9,801	-	-	-	-	-	-	-	-	-	-	-	-	-	-	31,009,921
	Jorgensen Renovation	3,084,475	-	-	-	-	100,000	1,984,475	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,084,475
	Koons Hall Renovation/Addition	1,080,057	-	-	-	-	-	80,057	-	1,000,000	-	-	-	-	-	-	-	-	-	-	-	-	1,080,057
	Lakeside Renovation	3,800,000	3,800,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,800,000
	Law School Renovations/Improvements	15,788,029	500,000	1,020,000	6,980,000	4,500,000	150,000	-	-	-	618,029	-	-	-	-	-	-	-	-	-	-	-	15,788,029
	Library Storage Facility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Manchester Hall Renovation	807,264	-	-	-	-	-	-	-	-	807,264	-	-	-	-	-	-	-	-	-	-	-	807,264
	Manafield Training School Improvements	3,000,000	-	-	-	3,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,000,000
	Natural History Museum Completion	500,000	500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	500,000
	North Hillside Road Completion	8,200,000	1,000,000	-	-	-	250,000	250,000	-	-	-	2,500,000	2,700,000	1,500,000	-	-	-	-	-	-	-	-	8,200,000
	Observatory	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Old Central Warehouse	126,000	-	-	-	-	126,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	126,000
	Parking Garage #3	5,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Psychology Building Renovation/Addition	25,170,550	-	-	-	-	-	750,000	-	2,000,000	2,900,000	15,850,000	3,670,550	-	-	-	-	-	-	-	-	-	25,170,550
	Residential Life Facilities	49,117,722	750,000	-	-	-	1,050,000	2,279,770	-	-	6,037,952	-	8,000,000	1,000,000	-	-	-	-	-	-	-	-	49,117,722
30,820,172	School of Pharmacy/Biology Completion	6,000,000	-	6,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,000,000
	Stamford Campus Improvements/Housing	1,500,000	250,000	250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,500,000
	Storrs Hall Addition	14,987,927	-	-	-	-	550,000	1,000,000	-	4,980,000	8,457,927	-	-	-	-	-	-	-	-	-	-	-	14,987,927
7,320,000	Student Health Services	5,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	5,000,000
	Student Union Addition	13,000,000	-	-	13,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	13,000,000
	Support Facility (Architectural & Engineering Services)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Torrey Renovation Completion & Biology Expansion	1,500,000	1,000,000	-	-	-	-	-	-	-	500,000	-	-	-	-	-	-	-	-	-	-	-	1,500,000
	Torrington Campus Improvements	369,156	-	-	-	-	-	369,156	-	-	-	-	-	-	-	-	-	-	-	-	-	-	369,156
	UConn Products Store	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Waterbury Downtown Campus	478,937	-	-	300,000	-	-	128,937	-	-	-	-	-	50,000	-	-	-	-	-	-	-	-	478,937
	West Hartford Campus Renovations/Improvements	6,774,305	250,000	250,000	-	1,000,000	3,774,305	1,500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,774,305
	Young Building Renovation/Addition	19,223,430	-	-	-	-	-	750,000	-	2,095,000	6,655,000	9,723,430	-	-	-	-	-	-	-	-	-	-	19,223,430
\$ 50,000,000	Subtotal Storms & Regional Campuses	\$ 2,693,000,000	\$ 33,610,000	\$ 64,079,676	\$ 66,489,676	\$ 87,876,000	\$ 91,670,000	\$ -	\$ 93,800,000	\$ 82,600,000	\$ 64,900,000	\$ 63,400,000	\$ 208,000,000	\$ 204,400,000	\$ 198,500,000	\$ 286,875,950	\$ 261,000,000	\$ 269,000,000	\$ 191,900,000	\$ 144,000,000	\$ 112,000,000	\$ 73,500,000	\$ 2,643,000,000
	CLAC Renovation Biosafety Level 3 Lab	16,835,000	-	-	-	-	500,000	750,000	-	35,000	-	-	-	-	-	-	-	-	-	-	-	-	16,835,000
	Deferred Maintenance/Code/ADA Renovation Sum-Health Center	49,486,150	3,110,000	4,285,000	4,382,125	7,396,000	5,360,000	-	4,000,000	3,000,000	3,000,000	4,832,000	5,000,000	3,200,000	1,429,025	500,000	-	-	-	-	-	-	49,486,150
	Dental School Renovation	5,000,000	-	200,000	1,125,000	1,750,000	450,000	-	975,000	500,000	-	-	-	-	-	-	-	-	-	-	-	-	5,000,000
	Equipment, Library Collections & Telecommunications-Health Center	63,595,850	12,065,000	6,135,425	5,146,300	4,617,000	12,590,000	-	8,750,000	2,500,000	1,600,000	2,290,000	2,025,000	1,560,125	2,250,000	1,475,000	-	-	-	-	-	-	63,595,850
	Library/Student Computer Center Renovation	5,250,000	65,000	500,000	565,000	-	-	-	250,000	-	-	-	-	-	1,000,000	2,850,000	-	-	-	-	-	-	5,250,000
	Main Building Renovation	139,184,500	-	-	-	1,200,000	500,000	3,650,000	-	5,990,000	14,000,000	23,203,000	28,009,500	31,679,000	30,908,000	-	-	-	-	-	-	-	139,184,500
	Medical School Academic Building Renovation	40,697,500	140,000	1,000,000	2,280,000	300,000	-	-	-	-	-	-	5,000,000	25,747,500	5,600,850	649,150	-	-	-	-	-	-	40,697,500
	Parking Garage - Health Center	8,400,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	8,400,000
	Planning & Design Costs	25,000,000	-	-	-	-	-	-	25,000,000	-	-	-	-	-	-	-	-	-	-	-	-	-	25,000,000
	Research Tower	68,701,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	68,701,000
	Support Building Addition/Renovation	100,000	315,000	2,800,000	8,820,000	12,000,000	25,630,000	-	-	10,906,000	8,230,000	-	-	-	-	-	-	-	-	-	-	-	100,000
	UConn New Construction and Renovation	364,070,000	-	-	-	-	-	-	-	54,700,000	44,391,000	72,181,000	65,966,000	71,041,875	55,770,125	-	-	-	-	-	-	-	364,070,000
	Subtotal for Health Center	\$ 786,300,000	\$ 16,389,000	\$ 14,920,425	\$ 23,510,425	\$ 27,125,000	\$ 48,430,000	\$ -	\$ 45,000,000	\$ 74,700,000	\$ 88,100,000	\$ 161,000,000	\$ 110,500,000	\$ 107,700,000	\$ 67,900,000	\$ 2,824,160	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 777,900,000
	Grand Total	\$ 3,369,300,000	\$ 50,000,000	\$ 79,000,000	\$ 89,000,000	\$ 115,000,000																	

ATTACHMENT 15



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Arjona and Monteith – Monteith Renovations
(Revised Planning: \$25,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$25,000,000 for the planning, design and construction of renovations to Monteith Building.

BACKGROUND:

The Henry Ruthven Monteith Building (circa 1959) has provided faculty office and classroom space to various academic departments. The recent completion of Oak and Laurel Halls allowed the university to relocate the majority of the academic units from Monteith into new or renovated space. The building is currently vacant.

As a result of the planning for the implementation of the Next Generation Connecticut program, the University has realized the need for attractive and functional office and classroom space to utilize as swing space during the renovations of currently occupied buildings and for new faculty hires. A judicious investment in Monteith with a project occupancy time frame of ten to fifteen years will provide the University with over sixty eight thousand square feet of much needed academic space.

Monteith lacks modern building mechanical systems – including electrical distribution and air conditioning for offices and classroom – and requires repair of the well-worn finishes. The scope includes the installation of new central air conditioning systems, improved electrical systems to support the air conditioning and other programmatic needs, installation of new floor coverings, lighting and ceilings and upgraded tel/data systems. The entire building interior will

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be painted to improve the aesthetics. Exterior windows will be caulked and weather stripped. ADA access, code deficiencies and an out of date fire detection system will also be addressed.

The University has commissioned an architect to execute the design. The University's goal is to complete the construction project and have the building ready for occupancy during summer 2016.

The Revised Planning Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: ARJONA AND MONTEITH - MONTEITH RENOVATIONS

	APPROVED PLANNING 12/7/2012	PROPOSED REVISED PLANNING 2/26/2014
<u>BUDGETED EXPENDITURES</u>	<u>BY EVPACFO</u>	
CONSTRUCTION	\$ -	\$ 16,600,000
DESIGN SERVICES	329,000	2,000,000
TELECOMMUNICATIONS	-	500,000
FURNITURE, FIXTURES AND EQUIPMENT	-	2,000,000
CONSTRUCTION ADMINISTRATION	-	500,000
OTHER AE SERVICES (including Project Management)	16,000	600,000
ART	-	-
RELOCATION	-	50,000
ENVIRONMENTAL	50,000	120,000
INSURANCE AND LEGAL	10,000	15,000
MISCELLANEOUS	-	115,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 405,000	\$ 22,500,000
PROJECT CONTINGENCY	45,000	2,500,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 450,000</u>	<u>\$ 25,000,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	<u>\$ 450,000</u>	<u>\$ 25,000,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 450,000</u>	<u>\$ 25,000,000</u>

ATTACHMENT 16



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Main Water Line Replacement – Phase I
(Revised Planning: \$7,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$7,000,000 for the planning, design and construction of Phase I of a Main Water Line replacement.

BACKGROUND:

The University produces, treats and distributes water for the Storrs campus and associated users. The primary source of water is a well field located adjacent to the Willimantic River at the Spring Manor Farm. This water is transmitted to the Storrs campus through a single four (4) mile - 16" cast iron water line installed during the 1970's. There have been at least three (3) instances of line failure during the past four (4) years, and the University commissioned a firm to investigate the cause of failures and predict the vulnerability of this critical mission resource. The firm concluded that the poor condition is a result of corrosive soil conditions along almost the entire four (4) mile route that the pipe takes to get from the Willimantic River to the campus and that, unless corrected, the line would continue to fail.

The University proposes to replace this line in a two phase project. The first phase is the replacement of approximately 13,300 linear feet of the line from the treatment plant at the well field to Hunting Lodge Road.

The University consultants recommended replacing the line with a new sixteen (16) inch cast iron line, properly protected to withstand the corrosive environment. The University has commissioned a design firm to prepare project plans and specifications for this project.

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The Revised Planning Budget reflects a total project cost of \$7,000,000 based upon engineer's estimates. The project design will be completed by February 2014. Implementation schedule will be dependent upon environmental permitting.

The Revised Planning Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: MAIN WATER SUPPLY LINE REPLACEMENT - PHASE I

	APPROVED PLANNING 6/23/2011	PROPOSED REVISED PLANNING 2/26/2014
<u>BUDGETED EXPENDITURES</u>	<u>BOT DM LIST</u>	
CONSTRUCTION	\$ -	\$ 4,860,000
DESIGN SERVICES	395,000	440,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	5,000	215,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	80,000
INSURANCE AND LEGAL	5,000	15,000
MISCELLANEOUS	-	115,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 405,000	\$ 5,725,000
PROJECT CONTINGENCY	45,000	1,275,000
TOTAL BUDGETED EXPENDITURES	\$ 450,000	\$ 7,000,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III - FY05 DM	\$ 50,000	\$ 69,857
UConn 2000 PHASE III - FY12 DM	400,000	6,930,143
TOTAL BUDGETED FUNDING	\$ 450,000	\$ 7,000,000

ATTACHMENT 17



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Master Plan 2014 Development
(Revised Planning: \$3,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$3,000,000 for Master Plan 2014 Development

BACKGROUND:

The purpose of this project is to prepare a Comprehensive Master Plan (PLAN) for the University of Connecticut that will support the University mission and academic plan and guide the investment of capital and operating funds over the next twenty years, and to specifically guide capital investments for the period 2014 to 2025. The "Plan" will become an essential component of the COMPREHENSIVE UNIVERSITY PLAN to implement *Public Act 13-233 AN ACT CONCERNING NEXT GENERATION CONNECTICUT*.

This statute reinvigorates the university capital program by authorizing over \$1.5 billion additional funds for programs through 2024, and necessitates a reevaluation of the current Master Plan (completed in 2006).

The end result of this effort will be a comprehensive framework that will assist the University in fulfilling its academic and residential plans by providing an outline and definition of excellence in physical facilities, infrastructure, systems and surroundings. It will include the University campuses in Storrs and Mansfield (Depot) and incorporate or update existing master plans or area plans for Landscape, Depot Campus, Technology Park, Athletics, and Residential Life. It will reference the campuses in Greater Hartford, Stamford, Waterbury, Torrington, and Avery Point (for joint programs with the Storrs campus only).

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Since the previous Board Approval, the University has selected a firm to accomplish the Master Plan and identified supporting studies necessary for implementation of the Master Plan. The Revised Planning Budget is based on the pricing based for selected tasks to complete this one (1) year assignment.

This Revised Planning Budget is attached for your consideration and approval.

The Key Components of the Master Plan development will be:

Overview – review and update the goals, objectives and elements of the Current University Master Plan

Building Uses/Space Needs – Identify space needs for all university divisions, with a special interest in STEM (Science, Technology, Engineering and Mathematics) needs and requirements from current to anticipated needs.

Classroom utilization – analyze existing classroom resources to determine future needs and requirements.

Transportation – Analyze current data and anticipated needs for parking, signing, transit, access and movement within the Campus environs. Evaluate roads and walkway systems, traffic impacts on Town of Mansfield and State of Connecticut roads and streets, and option for improvements and ways to minimize traffic impacts for planned growth. Identify transportation facilities and infrastructure improvements and develop systemic strategies for implementation.

Development Opportunities – Identify net useable land areas, appropriate densities, highest and best use for the Main University campus at Storrs and Depot Campuses.

Landscape Master Plan – Develop a landscape plan for the main campus lands that considers the natural ecosystems, as well as the University campus character. The plan will be specific enough to guide planting choices for specific projects and locations of public art.

Utility Master Plan – The University owns and operates an extensive network of essential utilities which provide key utilities such as heat, chilled water, emergency and standby power, electrical generation, sewage, water, telecommunication and networks. The “plan” will evaluate needs for the current utilities to support the requirements of the COMPREHENSIVE UNIVERSITY PLAN and the business needs of the University.

Planning Considerations – Include commentary on historical perspective, environmental aspects, cross impact with the Town of Mansfield

Campus Framework – Incorporation of individual elements into a comprehensive and easily understood framework for development.

Project Priorities – Identify each capital project, funding source, occupant(s), and the desired sequence. Delineate the relationship between projects and evaluate funding streams and the ability to support the projects and/or the need to supplement with additional funding. Renovations, demolitions, new structures, utilities and site improvements will be included. The project priorities will include academic, administrative, athletic, student life and utility projects, in other words all projects needed to fulfill the University COMPREHENSIVE UNIVERSITY PLAN.

Environmental Considerations – The University is signatory to the American College & University Presidents Climate Committee, pledging to reduce the University’s carbon footprint to zero by 2050.

http://ecohusky.uconn.edu/docs/climate/cap/4_Section1_UConnCAPProcess_Final.pdf

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: MASTER PLAN 2014 DEVELOPMENT

	APPROVED PLANNING 12/11/2013	PROPOSED REVISED PLANNING 2/26/2014
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ -	\$ -
DESIGN SERVICES	1,425,000	1,500,000
TELECOMMUNICATIONS	5,000	4,800
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	85,000	822,220
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	50,000	400,000
INSURANCE AND LEGAL	5,000	-
MISCELLANEOUS	70,000	-
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 1,640,000	\$ 2,727,020
PROJECT CONTINGENCY	360,000	272,980
TOTAL BUDGETED EXPENDITURES	<u>\$ 2,000,000</u>	<u>\$ 3,000,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ 1,650,850	\$ 2,650,850
UNIVERSITY PLANT FUNDS	349,150	349,150
TOTAL BUDGETED FUNDING	<u>\$ 2,000,000</u>	<u>\$ 3,000,000</u>

ATTACHMENT 18



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost & Executive Vice President for Academic Affairs

RE: Project Budget for Replace Gampel Cooling Towers
(Revised Planning: \$2,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$2,500,000 for the planning, design and construction of cooling tower replacements at Gampel Pavilion.

BACKGROUND:

Gampel Pavilion cooling is accomplished by the use of internal electric chillers and exterior cooling towers used to eject the heat to the outside air. The exterior cooling towers are approaching twenty five (25) years of age, and exceed the normal life span of this type of system equipment. The normal age-related problems include leaks, the deterioration of the sump basins, internal fill materials, structural members, gearboxes, fans, valves, and connecting piping.

The reliability of the cooling system is absolutely essential to the building operation, in all seasons and it has deteriorated to the degree that it is questionable whether it can continue to be maintained. The University has determined that the best single course of action is to replace them as soon as possible to prevent possible building closure.

The University commissioned a design team to prepare the plans and specifications for the project. The attached project budget is based on our consultants' scope of work and construction estimate. Construction will be scheduled for a time period that will have the least amount of impact on the building's schedule and currently is anticipated for Fall 2014.

This Revised Planning Budget is attached for your consideration and approval.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
GULLEY HALL
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PHONE 860.486.3455
FAX 860.486.1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: REPLACE GAMPEL COOLING TOWERS

	APPROVED PLANNING 7/31/2013	PROPOSED REVISED PLANNING 2/26/2014
<u>BUDGETED EXPENDITURES</u>	SARCC	
CONSTRUCTION	\$ -	\$ 1,900,000
DESIGN SERVICES	44,500	200,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	150,000
OTHER AE SERVICES (including Project Management)	875	5,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	2,000
MISCELLANEOUS	-	10,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 45,375	\$ 2,267,000
PROJECT CONTINGENCY	4,625	233,000
TOTAL BUDGETED EXPENDITURES	\$ 50,000	\$ 2,500,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III - FY14 DM	\$ 50,000	\$ 940,000
UConn 2000 PHASE III - FY15 DM	-	1,560,000
TOTAL BUDGETED FUNDING	\$ 50,000	\$ 2,500,000

ATTACHMENT 19



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – Science Technology Engineering and Math (STEM) Residence Hall (Revised Planning: \$5,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$5,000,000 for the due diligence, planning and design of a Science Technology Engineering and Math (STEM) Living and Learning Residence Hall.

BACKGROUND:

Next Generation Connecticut is designed to greatly expand educational opportunities, research, and innovation in the science, technology, engineering, and math (STEM) disciplines at UCONN over the next decade.

The shared goal of this proposal is to leverage the strength and resources of this University to build Connecticut's future workforce, create jobs, and bring new life to the State's economy. The cornerstone of this effort is major growth in undergraduate enrollment and expansion of the faculty, which requires new and updated facilities to accommodate enhanced STEM research and teaching and the growing population.

It is the University's intension to support enrollment growth with an expansion of the University housing stock. The purpose of this project is to construct a housing complex with between six hundred fifty and seven hundred fifty (650 - 750) beds and in the range of 200,000 to 230,000 square feet, to support undergraduate students enrolled in the STEM Living and Learning programs. The goal is to open this complex in conjunction with an anticipated student population increase in 2016 dependent upon duration of environmental investigation and permitting.

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The University has accomplished due diligence, initial site analysis and project budget benchmarking for this project and would like to proceed at this time into the release of design (on an expected design-build basis to expedite the schedule). The originally contemplated budget for the project was in the range of \$45,000,000 to \$80,000,000, but the currently contemplated project budget is in the range of \$90,000,000 and \$95,000,000. The primary reasons for the increase in the expected project budget from the initial planning budget is the increase in bed count from the original six hundred (600) bed complex to the currently contemplated 650 to 750 bed complex, and the addition of space for the Living and Learning Program.

This Revised Planning Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: RESIDENTIAL LIFE FACILITIES - STEM RESIDENCE HALL

	APPROVED PLANNING 11/13/2013	PROPOSED REVISED PLANNING 2/26/2014
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 50,000	\$ 100,000
DESIGN SERVICES	250,000	3,906,000
TELECOMMUNICATIONS	20,000	41,500
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	215,000	237,500
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	50,000	125,000
INSURANCE AND LEGAL	55,000	75,000
MISCELLANEOUS	10,000	15,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 650,000	\$ 4,500,000
PROJECT CONTINGENCY	100,000	500,000
TOTAL BUDGETED EXPENDITURES	\$ 750,000	\$ 5,000,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ 750,000	\$ 5,000,000
TOTAL BUDGETED FUNDING	\$ 750,000	\$ 5,000,000

ATTACHMENT 20



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Ecology and Evolutionary Biology Greenhouse Renovations
(Design: \$1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$1,000,000 for the Ecology and Evolutionary Biology (EEB) Greenhouse renovations.

BACKGROUND:

The EEB Greenhouses, adjacent to the Torrey Life Sciences building, were constructed in the mid 1960's to provide facilities for research and for growth of plant materials used in biology courses ranging from introductory biology to graduate level. For at least two decades, general maintenance has been deferred and only emergency repairs made in anticipation of a new facility. As a consequence, an already antiquated facility has fallen into a serious state of disrepair. New or replacement greenhouses are not in near term programs. The University is proposing a limited renovation to provide a safe, compliant working environment for faculty, staff, students and visitors and will provide a secure, consistent and more optimum growth environment for plants that will reduce annual plant loss.

The highly diverse and unique plant materials housed in the EEB greenhouses constitute a living library of plant diversity spanning more than 3,000 species. These plants support teaching in more than 30 courses each year, including more than 1,800 students who visit the greenhouses for hands-on experiences of plant diversity in introductory biology courses; 3,000 plants are supplied yearly from the greenhouses to laboratories associated with these introductory courses. The plant diversity contained in the EEB Greenhouse presents the most diverse library of plant materials associated with a public institution in the Northeast.

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The scope of work is intended to address the following operational and maintenance issues in glass house #1 only. The budget has been adjusted for available funding and estimates by the University design team.

- 1) Replacement of glass glazing on the exterior of glass house #1.
- 2) Shading and vents.
- 3) Replace asbestos benches.
- 4) Install structural upgrades as required for renovation.

This Design Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: ECOLOGY & EVOLUTIONARY BIOLOGY GREENHOUSE RENOVATIONS

	APPROVED PLANNING 2/28/2012	PROPOSED DESIGN 2/26/2014
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 750,000	\$ 550,000
DESIGN SERVICES	100,000	320,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	35,000	24,500
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	6,000
INSURANCE AND LEGAL	6,000	5,500
MISCELLANEOUS	9,000	14,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 900,000	\$ 920,000
PROJECT CONTINGENCY	100,000	80,000
TOTAL BUDGETED EXPENDITURES	\$ 1,000,000	\$ 1,000,000
<u>SOURCE(S) OF FUNDING</u>		
DEPARTMENTAL FUNDS	\$ 1,000,000	\$ 1,000,000
TOTAL BUDGETED FUNDING	\$ 1,000,000	\$ 1,000,000

ATTACHMENT 21



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Fine Arts Gateway and Pedestrian Access
(Design: \$1,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$1,500,000 for the design of the Fine Arts Gateway Project.

BACKGROUND:

The proposed work is located at the intersection of Route 195 and Bolton Road. Site repairs and improvements will include: A gateway sign with connections to Storrs Center as well as a standard UConn gateway sign to replace the existing temporary sign, a way finding plaza for pedestrian access with a campus map, replaced or new concrete sidewalks, stone walls, granite stairs and hand railings. The scope will also include event information and promotional kiosks. To complete this project, work in this area will consist of demolition of existing structures, utility relocation, and work within a State right of way. The work will be closely coordinated with the CT DOT and the Town of Mansfield.

The Design Budget reflects a total project cost of \$1,500,000 based upon the architect's estimate. The project design will be completed by the end of March 2014. Construction will begin after commencement and be completed by the end of the fall semester.

The Design Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: FINE ARTS GATEWAY AND PEDESTRIAN ACCESS

	APPROVED PLANNING 11/13/2013	PROPOSED DESIGN 2/26/2014
<u>BUDGETED EXPENDITURES</u>	<u>SARCC</u>	
CONSTRUCTION	\$ -	\$ 900,000
DESIGN SERVICES	176,500	121,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	120,000
OTHER AE SERVICES (including Project Management)	3,500	50,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	6,000
MISCELLANEOUS	-	3,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 180,000	\$ 1,200,000
PROJECT CONTINGENCY	20,000	300,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 200,000</u>	<u>\$ 1,500,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III - FY14 DM	\$ 200,000	\$ 1,500,000
TOTAL BUDGETED FUNDING	<u>\$ 200,000</u>	<u>\$ 1,500,000</u>

ATTACHMENT 22



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for North Hillside Road Completion (Final: \$21,200,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$21,200,000 for North Hillside Road Completion.

BACKGROUND:

This project provides for the extension of North Hillside Road by approximately 3,400 linear feet to Route 44 and the related utilities including gas, electrical, water, sewer and ductbank for telecommunications which will serve the development of the Tech Park/North Campus. The completion of this roadway is also important to the future growth of the Storrs campus as it provides alternate access to Route 44 from the campus, thereby alleviating some of the traffic congestion on Route 195.

The primary drivers for the increase in cost is escalation (approximately 6 yrs.), Army Corp and DEEP Permit requirements (which included changing three culvert wetland crossings to full bridge crossings) and the land acquisition costs for access to Route 44.

This is a Final Budget and is based on estimated quantities from the final design. UConn is also requesting permission to proceed directly into construction upon receipt of bids, provided the bids are within the overall budget proposed herein.

Funding sources for this project have been identified as: UCONN 2000 Phase III (\$8.2M), Federal funds authorized in the amount of \$5.8M with the balance of funds provided by through the State General Obligation Bonds for the Technology Park.

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It should also be noted that the CT DOT expenses associated with the project (included in the overall budget) are paid directly by the DOT and will be in accordance with CT DOT policies and procedures.

This Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: NORTH HILLSIDE ROAD COMPLETION

	APPROVED DESIGN 8/2/2005	APPROVED REVISED DESIGN 11/15/2005	APPROVED 2 ND REVISED DESIGN 6/24/2008	PROPOSED FINAL 2/26/2014
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ 8,480,000	\$ 8,480,000	\$ 10,482,300	\$ 12,375,000
DESIGN SERVICES	650,000	650,000	1,200,000	3,300,000
TELECOMMUNICATIONS	300,000	300,000	300,000	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	70,000	70,000	150,000	802,000
OTHER AE SERVICES (including Project Management)	85,000	85,000	145,000	431,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	200,000	200,000	300,000	950,000
INSURANCE AND LEGAL	17,300	17,300	25,000	1,512,000
MISCELLANEOUS	374,700	374,700	374,700	30,000
OTHER SOFT COSTS	-	-	-	-
SUBTOTAL	\$ 10,177,000	\$ 10,177,000	\$ 12,977,000	\$ 19,400,000
PROJECT CONTINGENCY	1,323,000	1,323,000	1,323,000	1,800,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 11,500,000</u>	<u>\$ 11,500,000</u>	<u>\$ 14,300,000</u>	<u>\$ 21,200,000</u>
<u>SOURCE(S) OF FUNDING</u>				
UCONN 2000 PHASE III	\$ 7,500,000	\$ 5,400,000	\$ 8,200,000	\$ 8,200,000
FEDERAL FUNDS*	4,000,000	6,100,000	6,100,000	5,825,713
STATE GO BONDS-TECH PARK	-	-	-	7,174,287
TOTAL BUDGETED FUNDING	<u>\$ 11,500,000</u>	<u>\$ 11,500,000</u>	<u>\$ 14,300,000</u>	<u>\$ 21,200,000</u>

* CT DOT expenses associated with this project are paid directly by the DOT from these Federal funds and will be in accordance with CT DOT policies & procedures.

ATTACHMENT 23



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Operating Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN 2000 Code Remediation: Wilbur Cross Building
(Revised Final: \$1,300,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$1,300,000 for the remediation of code discrepancies at the Wilbur Cross building.

BACKGROUND:

The University Office of Fire Marshal and Building Inspector, after completing a required plan review and field inspection of the UCONN 2000 Wilbur Cross Building Renovations Project, issued a series of code discrepancies in September 2009.

Remediation designs for the correction of code discrepancies determined by the University to be attributable to the original architect have been provided by the original architectural firm at its expense. Remediation of code discrepancies attributable to errors by the original contractor will be corrected as designed and specified in the original project construction documents. Because the original project contractor is no longer in business, the University is completing the corrective work for construction related code discrepancies at its expense and will pursue cost recovery from the original contractor's bonding company. A building permit has been issued for the work and the construction documents are being issued for bid.

The Revised Final Budget is attached for your consideration and approval. The University intends to proceed with this project if competitive bidding does not result in an increase in the total project budget.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: UCONN 2000 CODE REMEDIATION: WILBUR CROSS BUILDING

<u>BUDGETED EXPENDITURES</u>	APPROVED FINAL 4/24/2013	PROPOSED REVISED FINAL 2/26/2014
CONSTRUCTION	\$ 428,700	\$ 829,000
DESIGN SERVICES	65,300	96,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	123,000	158,000
OTHER AE SERVICES (including Project Management)	25,000	31,000
ART	-	-
RELOCATION	5,000	20,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	45,000	60,000
MISCELLANEOUS	15,000	26,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 707,000	\$ 1,220,000
PROJECT CONTINGENCY	60,000	80,000
TOTAL BUDGETED EXPENDITURES	\$ 767,000	\$ 1,300,000
<u>SOURCE(S) OF FUNDING</u>		
UNIVERSITY OPERATING FUNDS	\$ 767,000	\$ 1,300,000
TOTAL BUDGETED FUNDING	\$ 767,000	\$ 1,300,000

ATTACHMENT 24



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Thomas Callahan
Associate Vice President for Infrastructure Planning
& Strategic Project Management

RE: Environmental Impact Evaluation (EIE) – Main Accumulation Area (MAA)
Storrs, CT

RECOMMENDATION:

That the Board of Trustees endorse the draft Record of Decision and associated Environmental Impact Evaluation (EIE) for the proposed construction of a new Main Accumulation Area.

BACKGROUND:

The proposed project will provide a new centralized facility for the temporary storage of chemical, biological, and low-level radioactive wastes from the University's academic research and teaching laboratories and facility operations on the Storrs campus. The University's existing waste storage facility, known as the Main Accumulation Area (MAA), is currently located on Horsebarn Hill Road at the eastern limit of the campus. The proposed location for a new, upgraded MAA is on the North Campus "Parcel G" site between the existing North Hillside Road and C Lot on the University of Connecticut Storrs Campus (see the Site Location Map figure in Attachment A).

The project consists of constructing a new MAA facility on the North Campus Parcel G site and decommissioning of the existing MAA. The proposed facility consists of a waste storage building with an approximately 5,800 square foot footprint and an approximately 0.75-acre development footprint for sufficient vehicle circulation and parking (see the Site Layout figure in Attachment A).

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The purpose of the Proposed Action is to address three identified needs: (1) public concern about the location of the existing facility within a public drinking water supply watershed, (2) more efficient use of space compared to that of the existing MAA facility, and (3) future waste generation and storage on the Storrs campus.

The University convened a stakeholder Advisory Committee and conducted a siting study in 2012 to identify and evaluate potential sites on the Storrs campus for an upgraded MAA. In addition to the "No Action" alternative which assumed the continued use of the existing MAA in its current location, the Siting Advisory Committee identified and evaluated several alternative sites with respect to physical site constraints (e.g., slopes, wetlands, and soils), public health issues, public safety, and University planning initiatives. The North Campus Parcel G site was the highest-rated site overall by the Siting Committee. Based on the committee's strong consensus and the corresponding analysis of the anticipated environmental impacts of the alternatives considered, a new MAA facility constructed on North Campus Parcel G is the preferred alternative evaluated in the subject EIE.

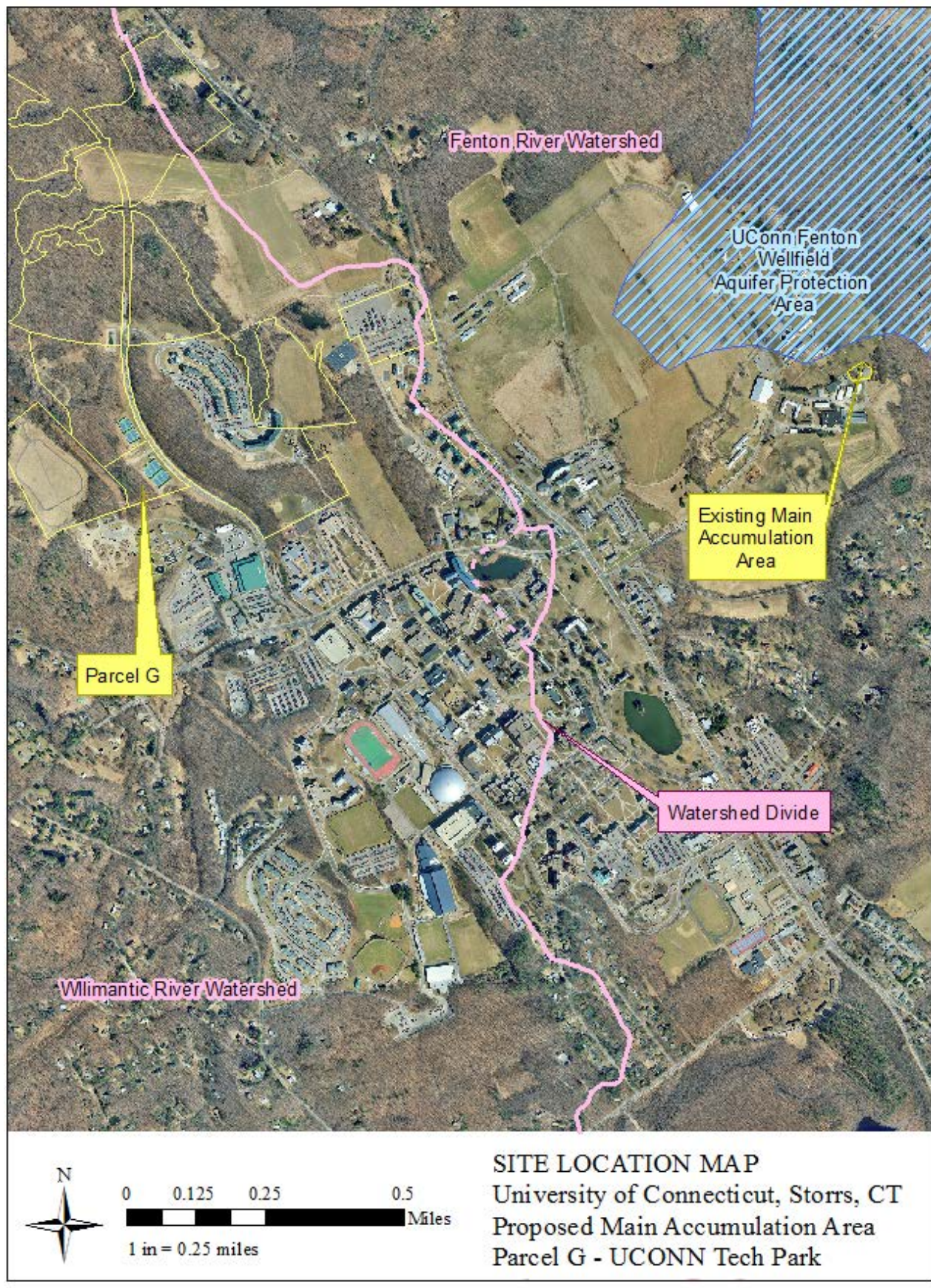
The Proposed Action would result in minor impacts to vehicle and pedestrian traffic on campus, loss of fragmented upland forest habitat, and minor increases in energy and utility usage. Potential construction related impacts include temporary impacts to vehicle and pedestrian traffic, air quality, noise, hazardous materials and solid waste, and stormwater.

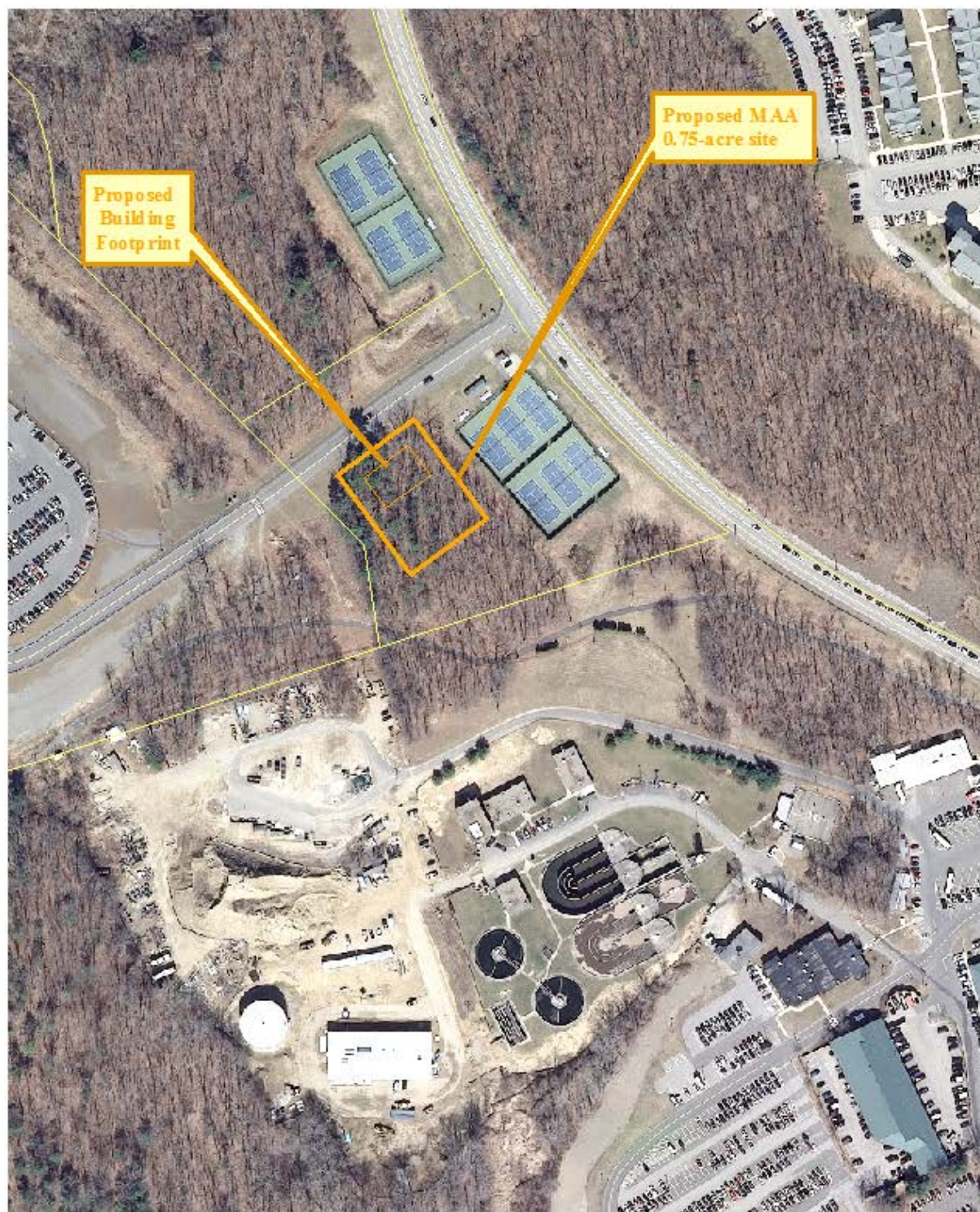
The EIE was published for public comment from November 19, 2013 through January 19, 2014. The EIE's Record of Decision addresses those comments received and includes the following Statement of Environmental Impact:

No significant impacts to the environment are anticipated as a result of the proposed action. All practicable means to avoid or minimize any associated environmental impacts that are identified in the EIE will be adopted. The mitigation measures identified in the EIE, and in the responses to comments on the EIE, have been adopted and will be implemented as part of the proposed action.

ATTACHMENT A

SITE LOCATION FIGURES





0 125 250 500
Feet
1 in = 250 feet

SITE LAYOUT

University of Connecticut, Storrs, CT
Proposed Main Accumulation Area
Parcel G - UCONN Tech Park



1. Old Supply Storage Trailers
2. Old Chemical Waste Shed
3. New Bulk Chemical Storage Shed
4. Main Building (Chemical Laboratory and Low-Level Radioactive Waste Processing)
5. Biological Waste Storage
6. Low Level Radioactive Waste Storage Trailers

ATTACHMENT 25



UNIVERSITY OF CONNECTICUT

February 17, 2014

Board of Trustees

The Honorable Dannel Malloy
State Capitol
210 Capitol Avenue
Hartford, Connecticut 06106

RE: Sec. 10a-109bb Construction Management Oversight Committee

Dear Governor Malloy and Legislative Leadership:

I am enclosing a copy of a letter I sent on April 4, 2013 relating to the legislatively created Construction Management Oversight Committee. While I am always pleased to discuss the tremendous progress we have made at UConn, the purpose of this letter is to once again remind the seven appointing authorities that your four joint appointees are long time "hold over" appointees. While I know some would like to be replaced, my purpose in writing would be if you could have a point person for me to work with to assure that you would like this committee to still exist (we could fold it into our Building & Grounds Committee), and, if so, to assure that your hold over appointees still want to serve and that your appointees have a sense that the current leadership is supportive of them serving if that is still the case.

Sincerely,

A handwritten signature in black ink that reads "Thomas D. Ritter".

Thomas D. Ritter

Construction Management Oversight Committee Chair

cc: Chair Lawrence McHugh
President Susan Herbst
Chief of Staff Rachel Rubin
General Counsel Richard Orr
Ms. Gail Garber
Director - Office of Construction Assurance Bhupen Patel
Committee Member Marilda Gandara
Committee Member John Barrasso, hold over appointee
Committee Member Pamela Palmer Delphenich, hold over appointee
Committee Member Richard Schoenhardt, hold over appointee
Committee Member Charles Urso, hold over appointee
Committee Member Charles Bunnell
Higher Education Co-Chair Senator Steve Cassano
Higher Education Co-Chair Representative Roberta Willis
Higher Education Vice-Chair Representative Gregory Haddad
Higher Education Ranking Member Senator Toni Boucher
Higher Education Ranking Member Representative Tim



University of Connecticut
Board of Trustees
Construction Management Oversight Committee

April 4, 2013

RE: Sec. 10a-109bb Construction Management Oversight Committee

Dear Governor Malloy and Legislative Leadership:

As you may recall, the above-mentioned committee was established in 2006 in response to failures in the UConn construction program. We are very proud of the work we have done since then and have recently received an outstanding (enclosed) audit commending UConn for the work it has done to assure quality construction consistent with our building statutes. The audit can be summarized as follows:

1. McGladrey (the outside auditor) reported no deficiencies in their audit of UConn 2000 expenditures for FY 2012.
2. They also reported that the University has strong controls to ensure transparent and accurate accountability of UConn 2000 funds.
3. The audit represents seven years of continuous improvements in governance, reporting and overall accountability for UConn 2000 funds.
4. The University has the platforms in place to provide the same level of accountability for additional funding such as Next Generation CT.
5. The overall system: Board Governance, our CMOC Committee, the Finance Committee, the JACC, internal audits, external audits and the established controls by the administration provide a high level of assurance of appropriate stewardship and integrity in reporting.

By statute, all four of your appointments (John Barrasso, Pamela Palmer Delphenich, Richard Schoenhardt and Charles Urso) to this committee are established by joint appointments by the seven of you. All four are currently holdover appointments and have been for some time. Many believe that there is no need for this committee to exist anymore and that it could be folded back into UConn's Building & Grounds Committee. I am sure that any member of our committee would be pleased to discuss this with you further.

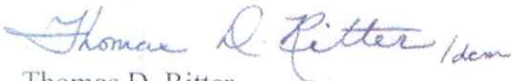
An Equal Opportunity Employer

Whitney Graduate Center
478 Whitney Road Ext., Unit 1050
Storrs, CT 06268
Telephone: (860) 486-3109
Facsimile: (860) 486-3356

There is one matter which I hope you can address sooner rather than later. Committee member Richard Schoenhardt, who has been an exceptional member of our committee, has expressed his desire to resign from the committee. I have asked him to stay until he is replaced and he has graciously accepted.

I look forward to hearing from you.

Sincerely,

Handwritten signature of Thomas D. Ritter in blue ink, with a small "dem" written to the right of the signature.

Thomas D. Ritter
Construction Management Oversight Committee Chair

cc: Chair Lawrence McHugh
President Susan Herbst
Chief of Staff Rachel Rubin
General Counsel Richard Orr
Director - Office of Construction Assurance Bhupen Patel
Committee Member Marilda Gandara
Committee Member Wayne Shepperd
Committee Member John Barrasso
Committee Member Pamela Palmer Delphenich
Committee Member Richard Schoenhardt
Committee Member Charles Urso
Higher Education Co-Chair Senator Beth Bye
Higher Education Co-Chair Representative Roberta Willis
Higher Education Vice-Chair Senator Steve Cassano
Higher Education Vice-Chair Representative Gregory Haddad
Higher Education Ranking Member Senator Toni Boucher
Higher Education Ranking Member Representative Timothy LeGeyt

ATTACHMENT 26



TO: Marilda Gandara, Chair, IA Committee

FROM: Josh Newton, President & CEO, UConn Foundation

DATE: February 11, 2014

RE: UConn Foundation fundraising report

As of February 3, 2014, fiscal year 2014 new gifts and commitments totaled \$29.7 million, toward the goal for the year of \$65 million (46 percent to goal). There have been 127 gifts at the major and principal gift level, including 39 pledges and outright gifts at \$100,000 and above. Support for endowment comprises \$12.8 million of the total new gifts and commitments raised (43.1 percent), while support for current operations totals \$16.9 million (56.9 percent).

Since the report dated February 3rd, the Foundation received a \$500K gift at the January 18th joint UCAA and Foundation reception prior to the Louisville game, which will go toward the Dee Rowe Challenge, in support of the Basketball Practice Facility.

Gifts totaling \$185K have been raised from former basketball players - \$100K from the men, and \$85K from the women - toward the Denis and Britta Nayden Challenge, also for the Basketball Practice Facility.

Senior Management met with the Council of Deans on Tuesday, February 4th to review a report that Marts & Lundy, a fundraising consulting firm, recently completed. It was a full financial data analysis of our prospect and donors, assessing our ability and readiness to raise \$100M annually within the next five years.

Foundation Staff has initiated preparation for a Prospect Screening exercise, using Marts & Lundy data, by the UConn Foundation Board of Directors at the upcoming March meeting. Individual board members will be asked to become more actively involved in the donor engagement process.

Development Officer caseload reviews and adjustments are underway, focusing on increasing strategic donor engagement with top prospects.

Summary of Commitments by Level
Through 2/3/2014

Printed 02-03-2014

Giving Range	FY 2012		FY 2013		FYTD 2013 through 2/3		FYTD 2014 through 2/3		FYTD 2014 vs. FYTD 2013
	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars
\$500,000 +	\$28,791,757	23	\$33,692,276	18	\$21,172,632	10	\$13,066,701	8	-38.3%
\$250,000 - \$499,999	\$5,338,403	15	\$5,597,145	17	\$3,954,806	12	\$3,000,380	8	-24.1%
\$100,000 - \$249,999	\$5,944,349	39	\$5,377,038	40	\$3,029,637	22	\$3,198,090	23	5.6%
\$25,000 - \$99,999	\$7,058,839	167	\$6,706,972	152	\$3,870,619	90	\$3,973,098	88	2.6%
\$10,000 - \$24,999	\$3,604,616	259	\$3,616,141	261	\$1,794,745	130	\$1,966,412	147	9.6%
\$1,000 - \$9,999	\$5,903,698	2,403	\$5,938,001	2,444	\$2,566,908	1,103	\$2,806,639	1,183	9.3%
\$1 - \$999	\$3,086,499	25,222	\$3,480,919	30,487	\$1,828,286	17,412	\$1,667,642	14,214	-8.8%
Totals	\$59,728,162	28,128	\$64,408,492	33,419	\$38,217,632	18,779	\$29,678,963	15,671	-22.3%

Summary of Commitments by Unit

Through 2/3/2014

Printed 02-03-2014

Executive Level Unit	FY 2012	FY 2013	FYTD 2013 through 2/3	FYTD 2014 through 2/3	FYTD 2014 vs. FYTD 2013
University	\$26,487,741	\$22,228,465	\$10,982,548	\$4,617,219	-58.0%
Athletics	\$21,738,520	\$14,276,525	\$7,246,536	\$2,806,068	-61.3%
Diversity & Equity	\$0	\$100,000	\$0	\$0	0.0%
Economic Development	\$0	\$27,000	\$5,000	\$3,025	-39.5%
Enrollment Planning And Management	\$3,106,409	\$6,916,561	\$3,121,566	\$1,336,016	-57.2%
University	\$1,412,168	\$780,475	\$554,669	\$395,884	-28.6%
Department					
General - Includes Fund for UCONN	\$1,412,168	\$780,475	\$554,669	\$395,884	-28.6%
University Communications	\$230,644	\$122,213	\$54,751	\$76,186	39.1%
Vice President For Research	\$0	\$5,692	\$25	\$39	56.8%
Executive Vice President For Administration & Chief Financial Officer	\$220	\$1,200	\$1,100	\$150	-86.4%
Facilities Operation	\$120	\$1,000	\$1,000	\$0	-100.0%
Public Safety	\$100	\$200	\$100	\$150	50.0%
Provost & Executive Vice President For Academic Affairs	\$1,643,669	\$2,201,492	\$1,418,444	\$566,078	-60.1%
Center For Continuing Studies	\$10,262	\$7,077	\$6,295	\$6,337	0.7%
Provost & Executive Vice President For Academic Affairs	\$1,132,335	\$1,355,244	\$834,062	\$34,590	-95.9%
Undergraduate Education	\$190,035	\$237,176	\$47,218	\$43,294	-8.3%
University Information Technology Services	\$6,691	\$2,545	\$1,200	\$780	-35.0%
University Libraries	\$299,200	\$590,833	\$526,439	\$99,046	-81.2%
Vice Provost For Global Affairs	\$5,147	\$8,617	\$3,230	\$382,030	11727.1%
Schools & Colleges	\$21,564,823	\$31,840,255	\$22,364,567	\$19,370,770	-13.4%
Agriculture And Natural Resources	\$1,329,075	\$787,517	\$534,363	\$594,371	11.2%

Summary of Commitments by Unit
 Through 2/3/2014

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Business	\$5,427,250	\$4,368,276	\$1,132,048	\$941,394	-16.8%
Education	\$1,903,366	\$1,839,737	\$1,027,075	\$956,100	-6.9%
Engineering	\$2,058,838	\$8,915,416	\$6,559,998	\$9,643,562	47.0%
Fine Arts	\$4,792,510	\$3,785,719	\$3,255,197	\$849,426	-73.9%
Law	\$1,723,705	\$798,035	\$549,774	\$898,398	63.4%
Liberal Arts And Sciences	\$3,309,482	\$9,759,180	\$8,572,322	\$3,944,390	-54.0%
Nursing	\$408,126	\$762,692	\$165,898	\$194,563	17.3%
School Of Pharmacy	\$518,831	\$508,557	\$295,524	\$1,032,163	249.3%
Social Work	\$83,690	\$314,076	\$271,319	\$315,394	16.2%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$9,950	\$1,050	\$1,050	\$1,010	-3.8%
Regional Campuses	\$284,286	\$210,167	\$70,367	\$68,165	-3.1%
Avery Point Regional Campus	\$74,209	\$82,038	\$32,898	\$30,223	-8.1%
Hartford Regional Campus	\$4,590	\$5,298	\$4,770	\$4,914	3.0%
Stamford Regional Campus	\$175,359	\$100,957	\$24,788	\$24,343	-1.8%
Torrington Regional Campus	\$6,269	\$7,236	\$4,463	\$4,898	9.7%
Waterbury Regional Campus	\$23,858	\$14,637	\$3,449	\$3,787	9.8%
Office Of Vice President For Student Affairs	\$250,532	\$227,833	\$99,264	\$80,027	-19.4%
Health Services	\$0	\$0	\$0	\$10,000	100.0%
Student Activities	\$50,437	\$112,932	\$63,835	\$38,086	-40.3%
Student Union	\$4,785	\$6,480	\$5,705	\$4,480	-21.5%
Vice President Of Student Affairs	\$195,310	\$108,421	\$29,724	\$27,460	-7.6%
Health Affairs	\$8,488,525	\$5,986,746	\$3,080,933	\$4,373,349	41.9%
Dental Medicine	\$566,996	\$371,207	\$260,083	\$194,535	-25.2%
Executive Vice President Of Health Affairs	\$1,808,977	\$1,241,768	\$1,148,811	\$604,490	-47.4%
Foundation Fundraising Health Center	\$1,277,398	\$1,648,048	\$499,114	\$439,348	-12.0%
Medicine	\$4,835,154	\$2,725,723	\$1,172,925	\$3,134,975	167.3%

Summary of Commitments by Unit
Through 2/3/2014

Printed 02-03-2014

Foundation	\$838,156	\$1,652,901	\$146,259	\$354,982	142.7%
Foundation Operations	\$838,156	\$1,652,901	\$146,259	\$354,982	142.7%
Other Entities	\$170,209	\$59,434	\$54,151	\$248,224	358.4%
Hillel	\$170,209	\$59,434	\$54,151	\$248,224	358.4%
Totals	\$59,728,162	\$64,408,492	\$38,217,632	\$29,678,963	-22.3%
Endowment and Similar	\$12,716,340	\$26,198,174	\$19,429,841	\$12,745,780	-34.4%
Other Current Operations	\$47,011,821	\$38,210,318	\$18,787,792	\$16,933,183	-9.9%

Summary of Commitments by Purpose
 FYTD 2014 Through 2/3/2014

Printed 02-03-2014

Development Area Unit / Group	Capital Improvements	Faculty Support	Research	Program Support	Student Support	TOTAL	FYTD 2014 vs. FYTD 2013
University	\$1,062,216	\$0	\$0	\$1,883,061	\$1,671,941	\$4,617,219	-58.0%
Athletics	\$1,054,806	\$0	\$0	\$1,453,228	\$298,034	\$2,806,068	-61.3%
Economic Development	\$0	\$0	\$0	\$3,025	\$0	\$3,025	-39.5%
Enrollment Planning And Management	\$0	\$0	\$0	\$0	\$1,336,016	\$1,336,016	-57.2%
University	\$6,571	\$0	\$0	\$389,313	\$0	\$395,884	-28.6%
Department							
General - Includes Fund for UCONN	\$6,571	\$0	\$0	\$389,313	\$0	\$395,884	-28.6%
University Communications	\$800	\$0	\$0	\$37,495	\$37,891	\$76,186	39.1%
Vice President For Research	\$39	\$0	\$0	\$0	\$0	\$39	56.8%
Executive Vice President For Administration & Chief Financial Officer	\$0	\$0	\$0	\$150	\$0	\$150	-86.4%
Facilities Operation	\$0	\$0	\$0	\$0	\$0	\$0	-100.0%
Public Safety	\$0	\$0	\$0	\$150	\$0	\$150	50.0%
Provost & Executive Vice President For Academic Affairs	\$10,395	\$10,979	\$0	\$437,221	\$107,482	\$566,078	-60.1%
Center For Continuing Studies	\$0	\$0	\$0	\$3,215	\$3,122	\$6,337	0.7%
Provost & Executive Vice President For Academic Affairs	\$10,395	\$10,000	\$0	\$11,195	\$3,000	\$34,590	-95.9%
Undergraduate Education	\$0	\$70	\$0	\$40,682	\$2,542	\$43,294	-8.3%
University Information Technology Services	\$0	\$0	\$0	\$780	\$0	\$780	-35.0%
University Libraries	\$0	\$909	\$0	\$98,137	\$0	\$99,046	-81.2%
Vice Provost For Global Affairs	\$0	\$0	\$0	\$283,212	\$98,818	\$382,030	11727.1%
Schools & Colleges	\$54,885	\$2,595,832	\$1,529,112	\$7,933,992	\$7,256,949	\$19,370,770	-13.4%

Summary of Commitments by Purpose
FYTD 2014 Through 2/3/2014

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Agriculture And Natural Resources	\$45,959	\$150	\$65,688	\$395,136	\$87,438	\$594,371	11.2%
Business	\$0	\$11,180	\$0	\$641,112	\$289,102	\$941,394	-16.8%
Education	\$0	\$0	\$302,280	\$458,968	\$194,852	\$956,100	-6.9%
Engineering	\$1,950	\$2,292,000	\$0	\$3,352,865	\$3,996,747	\$9,643,562	47.0%
Fine Arts	\$1,400	\$0	\$0	\$794,683	\$53,343	\$849,426	-73.9%
Law	\$0	\$0	\$0	\$846,342	\$52,055	\$898,398	63.4%
Liberal Arts And Sciences	\$0	\$256,175	\$398,644	\$1,138,914	\$2,150,656	\$3,944,390	-54.0%
Nursing	\$5,576	\$0	\$15,000	\$70,046	\$103,941	\$194,563	17.3%
School Of Pharmacy	\$0	\$36,327	\$747,500	\$208,252	\$40,085	\$1,032,163	249.3%
Social Work	\$0	\$0	\$0	\$27,664	\$287,730	\$315,394	16.2%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$0	\$0	\$0	\$10	\$1,000	\$1,010	-3.8%
Regional Campuses	\$0	\$0	\$0	\$50,022	\$18,143	\$68,165	-3.1%
Avery Point Regional Campus	\$0	\$0	\$0	\$19,515	\$10,708	\$30,223	-8.1%
Hartford Regional Campus	\$0	\$0	\$0	\$914	\$4,000	\$4,914	3.0%
Stamford Regional Campus	\$0	\$0	\$0	\$22,723	\$1,620	\$24,343	-1.8%
Torrington Regional Campus	\$0	\$0	\$0	\$3,082	\$1,815	\$4,898	9.7%
Waterbury Regional Campus	\$0	\$0	\$0	\$3,787	\$0	\$3,787	9.8%
Office Of Vice President For Student Affairs	\$0	\$0	\$10,000	\$41,100	\$28,927	\$80,027	-19.4%
Health Services	\$0	\$0	\$10,000	\$0	\$0	\$10,000	100.0%
Student Activities	\$0	\$0	\$0	\$25,958	\$12,128	\$38,086	-40.3%
Student Union	\$0	\$0	\$0	\$4,480	\$0	\$4,480	-21.5%
Vice President Of Student Affairs	\$0	\$0	\$0	\$10,662	\$16,799	\$27,460	-7.6%
Health Affairs	\$17,335	\$10,874	\$1,931,076	\$2,300,927	\$113,138	\$4,373,349	41.9%
Dental Medicine	\$3,000	\$100	\$40,000	\$127,375	\$24,060	\$194,535	-25.2%

Summary of Commitments by Purpose
 FYTD 2014 Through 2/3/2014

Printed 02-03-2014

Executive Vice President Of Health Affairs	\$350	\$200	\$0	\$592,744	\$11,197	\$604,490	-47.4%
Foundation Fundraising Health Center	\$0	\$0	\$0	\$439,348	\$0	\$439,348	-12.0%
Medicine	\$13,985	\$10,574	\$1,891,076	\$1,141,460	\$77,881	\$3,134,975	167.3%
Foundation	\$75,000	\$26,826	\$0	\$253,126	\$30	\$354,982	142.7%
Foundation Operations	\$75,000	\$26,826	\$0	\$253,126	\$30	\$354,982	142.7%
Other Entities	\$224,574	\$0	\$0	\$23,650	\$0	\$248,224	358.4%
Hillel	\$224,574	\$0	\$0	\$23,650	\$0	\$248,224	358.4%
Totals	\$1,444,406	\$2,644,510	\$3,470,188	\$12,923,249	\$9,196,610	\$29,678,963	-22.3%
Endowment and Similar	\$800	\$2,550,404	\$0	\$3,008,033	\$7,186,543	\$12,745,780	-34.4%
Other Current Operations	\$1,443,606	\$94,106	\$3,470,188	\$9,915,217	\$2,010,067	\$16,933,183	-9.9%

Summary of Commitments by Source

Through 2/3/2014

Printed 02-03-2014

Executive Level Unit	Individuals			Organizations			TOTAL	FYTD 2014 vs. FYTD 2013
	Alumni / Students	Parents	Other Individuals	Corporations	Foundations	Other Organizations		
University	\$1,614,107	\$154,850	\$2,027,619	\$522,279	\$229,994	\$58,255	\$4,617,219	-58.0%
Athletics	\$1,173,585	\$65,382	\$951,449	\$472,594	\$91,994	\$44,490	\$2,806,068	-61.3%
Economic Development	\$25	\$0	\$3,000	\$0	\$0	\$0	\$3,025	-39.5%
Enrollment Planning And Management	\$221,627	\$9,644	\$965,394	\$12,104	\$116,492	\$8,748	\$1,336,016	-57.2%
University	\$165,270	\$78,504	\$103,043	\$34,700	\$10,644	\$2,242	\$395,884	-28.6%
Department								
General - Includes Fund for UCONN	\$165,270	\$78,504	\$103,043	\$34,700	\$10,644	\$2,242	\$395,884	-28.6%
University Communications	\$53,600	\$1,320	\$4,695	\$2,882	\$10,864	\$2,775	\$76,186	39.1%
Vice President For Research	\$0	\$0	\$39	\$0	\$0	\$0	\$39	56.8%
Executive Vice President For Administration & Chief Financial Officer	\$0	\$150	\$0	\$0	\$0	\$0	\$150	-86.4%
Facilities Operation	\$0	\$0	\$0	\$0	\$0	\$0	\$0	-100.0%
Public Safety	\$0	\$150	\$0	\$0	\$0	\$0	\$150	50.0%
Provost & Executive Vice President For Academic Affairs	\$93,568	\$11,873	\$62,360	\$27,173	\$286,950	\$76,180	\$566,078	-60.1%
Center For Continuing Studies	\$4,177	\$25	\$2,135	\$0	\$0	\$0	\$6,337	0.7%
Provost & Executive Vice President For Academic Affairs	\$12,050	\$0	\$11,520	\$11,000	\$0	\$0	\$34,590	-95.9%
Undergraduate Education	\$28,818	\$3,871	\$720	\$7,835	\$1,850	\$0	\$43,294	-8.3%
University Information Technology Services	\$28	\$196	\$400	\$100	\$0	\$0	\$780	-35.0%
University Libraries	\$19,794	\$2,251	\$18,444	\$6,313	\$9,000	\$42,647	\$99,046	-81.2%
Vice Provost For Global Affairs	\$28,701	\$5,530	\$29,141	\$1,925	\$276,100	\$33,533	\$382,030	11727.1%
Schools & Colleges	\$3,525,480	\$78,645	\$3,757,874	\$8,994,366	\$927,941	\$1,988,798	\$19,370,770	-13.4%
Agriculture And Natural Resources	\$258,377	\$8,435	\$179,169	\$19,223	\$106,438	\$10,777	\$594,371	11.2%
Business	\$356,353	\$9,327	\$37,248	\$301,310	\$201,425	\$28,188	\$941,394	-16.8%

Summary of Commitments by Source
 Through 2/3/2014

Printed 02-03-2014

Education	\$131,951	\$7,264	\$163,430	\$322,664	\$185,170	\$144,650	\$956,100	-6.9%
Engineering	\$347,962	\$4,526	\$1,338,683	\$7,911,244	\$17,370	\$23,417	\$9,643,562	47.0%
Fine Arts	\$67,449	\$30,704	\$569,820	\$49,871	\$64,408	\$30,118	\$849,426	-73.9%
Law	\$373,741	\$1,100	\$433,929	\$35,283	\$22,000	\$31,545	\$898,398	63.4%
Liberal Arts And Sciences	\$1,737,719	\$11,148	\$706,217	\$202,788	\$260,650	\$995,903	\$3,944,390	-54.0%
Nursing	\$57,176	\$1,885	\$84,696	\$2,925	\$36,850	\$7,800	\$194,563	17.3%
School Of Pharmacy	\$127,365	\$2,456	\$8,585	\$145,008	\$31,520	\$716,275	\$1,032,163	249.3%
Social Work	\$67,388	\$1,790	\$235,097	\$4,050	\$2,110	\$125	\$315,394	16.2%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$0	\$10	\$1,000	\$0	\$0	\$0	\$1,010	-3.8%
Regional Campuses	\$14,138	\$2,509	\$14,750	\$14,190	\$15,500	\$295	\$68,165	-3.1%
Avery Point Regional Campus	\$5,133	\$685	\$10,890	\$1,065	\$11,000	\$190	\$30,223	-8.1%
Hartford Regional Campus	\$409	\$125	\$0	\$0	\$0	\$0	\$4,914	3.0%
Stamford Regional Campus	\$6,080	\$179	\$530	\$13,000	\$4,500	\$0	\$24,343	-1.8%
Torrington Regional Campus	\$1,497	\$1,350	\$1,820	\$75	\$0	\$75	\$4,898	9.7%
Waterbury Regional Campus	\$1,019	\$170	\$1,510	\$50	\$0	\$30	\$3,787	9.8%
Office Of Vice President For Student Affairs	\$30,186	\$9,026	\$13,012	\$8,595	\$15,500	\$1,530	\$80,027	-19.4%
Health Services	\$0	\$0	\$0	\$0	\$10,000	\$0	\$10,000	100.0%
Student Activities	\$18,914	\$5,680	\$9,270	\$820	\$500	\$1,430	\$38,086	-40.3%
Student Union	\$3,930	\$0	\$500	\$0	\$0	\$0	\$4,480	-21.5%
Vice President Of Student Affairs	\$7,341	\$3,346	\$3,242	\$7,775	\$5,000	\$100	\$27,460	-7.6%
Health Affairs	\$228,179	\$22,895	\$1,651,745	\$583,754	\$380,269	\$1,440,083	\$4,373,349	41.9%
Dental Medicine	\$60,889	\$250	\$14,375	\$25,020	\$0	\$41,375	\$194,535	-25.2%
Executive Vice President Of Health Affairs	\$13,531	\$5,229	\$490,915	\$35,629	\$42,164	\$11,379	\$604,490	-47.4%
Foundation Fundraising Health Center	\$22,401	\$3,037	\$78,009	\$290,767	\$36,470	\$6,757	\$439,348	-12.0%
Medicine	\$131,358	\$14,380	\$1,068,446	\$232,339	\$301,635	\$1,380,572	\$3,134,975	167.3%

Summary of Commitments by Source
Through 2/3/2014

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Foundation	\$206,375	\$2,086	\$13,197	\$27,320	\$15,600	\$88,815	\$354,982	142.7%
Foundation Operations	\$206,375	\$2,086	\$13,197	\$27,320	\$15,600	\$88,815	\$354,982	142.7%
Other Entities	\$26,025	\$11,723	\$36,918	\$1,618	\$169,500	\$1,580	\$248,224	358.4%
Hillel	\$26,025	\$11,723	\$36,918	\$1,618	\$169,500	\$1,580	\$248,224	358.4%
Totals	\$5,738,057	\$293,756	\$7,577,474	\$10,179,295	\$2,041,255	\$3,655,536	\$29,678,963	-22.3%
Endowment and Similar	\$2,522,966	\$44,501	\$3,568,755	\$5,371,817	\$150,625	\$1,052,155	\$12,745,780	-34.4%
Other Current Operations	\$3,215,090	\$249,255	\$4,008,719	\$4,807,479	\$1,890,630	\$2,603,381	\$16,933,183	-9.9%

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
New Hires Processed from January 10, 2014 to February 6, 2014
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
ANDERSEN, CATHERINE J.	U POST DOC FEL 1	NUTRITIONAL SCIENCES	1/31/2014
BALIS, MATTHEW D.	SPECIALIST IIA	ATHLETICS STRENGTH CTR	1/13/2014
BIHUNIAK, JESSICA D.	LECTURER	ALLIED HEALTH SCIENCES	1/10/2014
CASARELLA, ANNIE E.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	BUSINESS DEAN OFC	1/27/2014
CHEN, SHUTANG	U POST DOC FEL 1	CHEMISTRY	1/2/2014
CUNNINGHAM, MICHAEL D.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	SEP-IMJR/INTERDISC STUDIE	1/17/2014
DIAZ, WILLIAM A.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	1/24/2014
D'INNOCENZO, LAUREN E.	VISITING INSTRUCTOR	MANAGEMENT DEPT	1/8/2014
EDDY, NICHOLAS A.	VISITING ASST PROF	CHEMISTRY	1/1/2014
GOKGOZ, KADIR	U POST DOC FEL 1	LINGUISTICS	1/10/2014
HEREDIA, ANNA-MARIA	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	EDUCATION DEAN OFC	1/10/2014
HESTER, TEKECHIA R.	UC PROFESSIONAL 06 - PROGRAM SPEC I	VICE PRES FOR RESEARCH	1/10/2014
HOSHINO, KAZUNORI	ASSISTANT PROFESSOR	BIOMEDICAL ENGINEERING	1/1/2014
JAMISON, SEASON M.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	1/24/2014
LAWLESS, SARAH E.	SPECIALIST IIA	ATHLETICS MENS INTERCOL	1/13/2014
LEVESQUE, JOHN P.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	1/24/2014
MCDONALD, THOMAS S.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	1/24/2014
MCGUIRE, CAROLINE	UC PROFESSIONAL 08 - PROGRAM DIRECTOR	ENRICHMENT PROGRAMS	1/24/2014
MULLER, LEAH J.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	1/24/2014
PAINTER, MICHAEL J.	SPECIALIST IA	ATHLETICS MENS INTERCOL	1/9/2014
PEDERSEN, ASHLEY M.	UC PROFESSIONAL 06 - PROGRAM SPEC I	CAREER DEVELOPMENT	1/11/2014
SANDERS, ELIZABETH L.	UC PROFESSIONAL 04 - PUBLICITY/MKTG COORD	JORGENSEN PERFORM ARTS	1/10/2014
SCHEIDEL, SARAH A.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	PSYCHOLOGY	1/10/2014
SI, DEBJANI	U POST DOC FEL 1	CHEMISTRY	1/1/2014
SU, MIN	RESEARCH ASSISTANT I	ALLIED HEALTH SCIENCES	1/6/2014
VASINGTON, SEAN M.	U STAFF PROF III - ASSOCIATE DIRECTOR	UNIVERSITY PLANNING	1/28/2014
WHITE, STEPHEN J.	UC PROFESSIONAL 06 - COMP LAB COORD II	MECHANICAL ENGR	1/10/2014
WOMACK, LAVONNE C.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	1/24/2014

University of Connecticut Department of Human Resources
Separations Processed from January 10, 2014 to February 6, 2014
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
BANDAY, ABDUL R.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PNB	1/31/2014
COPLEY, GEORGIANNE	UC PROFESSIONAL 11 - COMPUTER MANAGER	RETIREMENT (55/W 10-24 YEARS)	EAS	1/31/2014
DELUDE, KATHARINA R.	UC PROFESSIONAL 05 - FINANCIAL ASST II	RETIREMENT (25+ YRS SERVICE)	ISS	1/31/2014
DEPALMA, ANDREW F.	ASST EXT PROF	RESIGNATION (GOOD STANDING)	ITQM	1/10/2014
DESMARAIS, MATTHEW	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	RESIGNATION (GOOD STANDING)	SAITD	1/31/2014
EDWARDS, MICHAEL A.	UC PROFESSIONAL 09 - U LIBRARIAN III	RESIGNATION (GOOD STANDING)	LIB	1/23/2014
FLAHERTY, JEFFREY M.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	RESIGNATION (GOOD STANDING)	COMM	1/31/2014
GARCIA, MICHAEL M.	U ASST DIRECTOR	RESIGNATION (GOOD STANDING)	ITQM	1/12/2014
HUGHES, HENRY	SPECIALIST IIA - ASSISTANT COACH	RETIREMENT (55/W 10-24 YEARS)	ATH	1/31/2014
KORT, MICHELLE L.	UC PROFESSIONAL 05 - ADMISSIONS OFFICER	RESIGNATION (GOOD STANDING)	ADMISS	1/17/2014
MAHAN, M E.	EXECUTIVE DIRECTOR	RESIGNATION (GOOD STANDING)	GLOBAL	12/31/2013
MAS, AMANDA	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	1/17/2014
NEWALL, MARK	UC PROFESSIONAL 04 - COMP TECH SUP CONS 1	RETIREMENT (55/W 10-24 YEARS)	AVPT	1/31/2014
O'FIELD, ALLISON N.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	RESIGNATION (GOOD STANDING)	ISS	1/9/2014

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 2/10/14
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Bachand, Jane	Computer Technician (UCP XI)	UITs Customer Support & Rel.	11/26/13 - 1/28/14	Medical leave with pay
Bezrukov, Fedor	Assistant Professor	Physics	1/1/14 - 12/31/14	Personal leave without pay
Bezrukov, Fedor	Assistant Professor	Physics	3/1/14 - 12/31/14	Fellowship at CERN without pay
Brody, Helen	Distinguished Professor	Materials Science and Engineering	2/23/15 - 5/22/15	Special Administrative Leave with pay
Gleason, Roger	Facilities Professional II (UCP VII)	Design and Engineering Services	11/19/13 - 1/9/14	Medical leave with pay
Hayden, Kathryn	Inst. Research Analyst II (UCP VI)	Inst. Research & Effectiveness	12/5/13 - 1/8/14	Medical leave with pay
Jang, Shinae	Assistant Professor	Civil & Environmental Engineering	1/20/14 - 5/22/14	Personal leave without pay
Kwak, James	Associate Professor	School of Law	8/23/14 - 2/22/15	Personal leave without pay
Page, Linda	Nurse (UCP VI)	Student Health Services	11/2/13 - 11/7/13	Medical leave with pay
Schneider, Susan	Associate Professor	College of Liberal Arts & Sciences	8/23/13 - 8/22/14	ACLS with partial pay (58%)
Sobieraj, Diana	Assistant Professor	School of Pharmacy	3/6/14 - 4/17/14	Maternity leave with pay
Watrous, Inda	Academic Advisor I (UCP V)	English	12/27/13 - 1/8/14	Medical leave with pay
Severo, Susan	Executive Assistant I (UC PVIII)	School of Law	7/23/13 - 8/8/13	Medical leave with pay

COMMITTEE AGENDAS

AGENDA

Meeting of the
BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE
February 20, 2014, 10:45 a.m.*
University of Connecticut Health Center
Human Resources Training Room, 16 Munson Road
Farmington, Connecticut

** Presentations of Project Updates will be made at approximately **10:30 a.m.**
to both the BGE and CMOC Committees.*

DISCUSSION ITEMS (10:30 a.m.) *:

ATTACHMENT

- | | |
|--|---|
| 1) Project Updates | |
| • UCHC | 1 |
| ➤ Bio Science Connecticut | |
| ➤ Owner Controlled Insurance Program (OCIP) | |
| ➤ BioScience Connecticut Monthly Capital Projects
Report (<i>Informational</i>) | 2 |
| • Storrs | |
| ➤ Next Generation Connecticut | 3 |

ACTION ITEMS:

- | | |
|---|---|
| 2) Approval of the Minutes of the Buildings, Grounds and Environment
Committee Meetings of November 6, 2013, as circulated | 4 |
| 3) Environmental Impact Evaluation (EIE) – Main Accumulation Area Facility | 5 |

EXECUTIVE SESSION

Campus Bus Tour to immediately follow end of meeting (Duration: approximately 20-30 minutes).

*Note: Project Update Presentations will be made at approximately **10:30 a.m.**

AGENDA
CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE (CMOC)
University of Connecticut Health Center
Human Resources Training Room, 16 Munson Road, Farmington, CT
February 20, 2014 - 10:00 a.m.*

PUBLIC COMMENTS:

ACTION ITEMS:

ATTACHMENT

- | | |
|---|---|
| 1. Approval of the Minutes of the Construction Management Oversight Committee (CMOC) Meeting held on November 6, 2013 | 1 |
| 2. Revised Policy Items (<i>list to be determined</i>) | |

PRESENTATIONS AND DISCUSSIONS:

- | | |
|--|---|
| 3. February 2014 Quarterly Reports on Construction Performance Reported by the Office of Construction Assurance | 2 |
| 4. Updates On Operational & Organizational Activities & Improvements: <ul style="list-style-type: none">• Laura A. Cruickshank, University Master Planner and Chief Architect, Office of Planning, Architecture & Engineering Services (PAES)• Matthew Larson, Director of Procurement Services, Capital Project and Contract Administration (CPCA) | |
| 5. Status of Code Correction Projects: <ul style="list-style-type: none">• Construction Management Oversight Committee Monthly Code Correction Status Report – Comments/Questions | |
| 6. December 31, 2013 Quarterly Construction Status Report – Comments/Questions | |
| 7. Design/Build Process-Policy Development (<i>action may be required</i>) | 3 |
| 8. New CT Construction Worker Reporting Policy | |
| 9. Status of CMOC Appointments | |

ATTACHMENT

10. Update of Current Construction Project Progress

- UCHC
 - Bio Science Connecticut 4
 - Owner Controlled Insurance Program (OCIP)
 - BioScience Connecticut Monthly Capital Projects Report (*Informational*) 5
- Storrs 6
 - Next Generation Connecticut

***Note:** *Presentation of this agenda item will be made jointly to CMOC and BG&E Committee members at approximately 10:30 a.m.*

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

*Tuesday, February 11, 2014
4:00 p.m.*

1. IA Committee Membership Ms. Gandara
Attachment 1
2. Acceptance of the Minutes from October 30, 2013..... Ms. Gandara
Attachment 2
3. Foundation Staff Restructure.....Mr. Newton
4. Development ReportMr. Newton
Attachment 3
5. Naming RecommendationsMr. Newton
Attachment 4

Facilities:

UConn Health Center

- Peggotty Namm Doran Patient Room

UConn Health Center

- Auxiliary Patient and Family Education Center

6. University Communications Update.....Mr. Kendig
7. Alumni Association Executive Director Search Update..... Mr. Brohinsky
8. Legislative Update.....Ms. Garber
Ms. Lombardo

**THE UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE
February 26, 2014**

**Ground Level Portico, Lewis B. Rome Commons Ballroom, Storrs Campus
*12:30 PM (anticipated), following the meeting of the Board of Trustees***

AGENDA

- | | | |
|-----|--|-----------------------------------|
| 1. | Call to order | Trustee Bailey |
| 2. | Review and Approval of minutes of 9/25/13 meeting | Trustee Bailey |
| 3. | Presentation: UConn Master Planning and Proposed Residence Halls | Laura Cruickshank |
| 4. | Chairwoman's Report | Trustee Bailey |
| 5. | Review of Greater Hartford ASG Constitution Bylaws | Christine Wilson |
| 6. | Division of Student Affairs Searches | Michael Gilbert |
| 7. | Vice President for Student Affairs Report | Michael Gilbert |
| 8. | Student Trustees Report | Trustee Barham
Trustee Daniels |
| 9. | Other Business | All |
| 10. | Adjournment | Trustee Bailey |

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, February 26, 2014
Rome Commons Ballroom
Storrs, CT
9:00 a.m. – 9:15 a.m.

	<u>COMMITTEE</u>	<u>ATTACHMENT</u>
1) Minutes of the Academic Affairs Committee Meeting of January 29, 2014, as circulated	A	

ACTION ITEMS:

2) Sabbatical Leave Recommendations	2
3) Systems Engineering Graduate Certificate – Controlled Systems	3
4) Systems Engineering Graduate Certificate – Embedded Systems	4
5) Systems Engineering Graduate Certificate – System Design	5

INFORMATIONAL ITEMS:

6) Renaming the Undergraduate Major African American Studies to Africana Studies in the College of Liberal Arts & Sciences	8
7) Centers and Institutes	9

EXECUTIVE SESSION (*As Needed*)

ATTACHMENT A

MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
January 29, 2014

Trustees: Barham, Bessette, Bunell, Cantor, Carbray, Daniels, Dennis-LaVigne, Gandara, Handley, Kruger, Lobo, Marshall, McHugh, Nayden, Ritter

Staff: Andrews, Bansal, Bray, Callahan, Carone, Choi, Cruickshank, Dineen, Diplock, Donahue, Fazio, Fearney, Fuerst, Gafford, Gelston, Gilbert, Gray, Healy, Herbst, Holsinger, Jednak, Kazerounian, Kendig, Kirk, Korbel, Larson, Locke, Locust, MacNeil, Mundrane, Ogbar, Orr, Patel, Reis, Rubin, Seemann, Silbart, Slowik, Stwalley, Teal, Torti, Trutter, Weiner, Wetstone, Wilder, Wohl, Wynn

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Trustee Carbray, seconded by Trustee Barham, the minutes of the December 11, 2013, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Designation of Emeritus Status***. Moved by Trustee Barham, seconded by Trustee Carbray, the Committee recommended designation of emeritus status to the full Board.

Provost Choi introduced ***Action Item #3, Sabbatical Leave Recommendations***. Moved by Trustee Carbray, seconded by Trustee Lobo, the Committee recommended approval of all sabbatical leave recommendations to the full Board.

Provost Choi introduced ***Information Item #, Faculty Consulting Program – (1) The University of Connecticut Consulting Program FY 2013 Annual Report and (2) Report on the University of Connecticut's Compliance with Public Act 07-166 (Section 12) Faculty Consulting Program: Report Issued by the Faculty Consulting Oversight Committee***. Committee Chairwoman Dennis-LaVigne complimented staff at both the Storrs and Farmington campuses for their focus on compliance with consulting regulations while simultaneously promoting efforts to streamline and improve the process.

Provost Choi introduced Vice Provost Sally Reis, Assistant Vice Provost Peter Diplock, and Jennifer Dineen – Graduate Program Director of Survey Research in the Department of Public Policy – who presented on the progress of UConn's online initiative over the last year. The University now supports more than 200 online courses and more than 20 online graduate programs. UConn's online initiative is unique, as it focuses on niche areas of faculty expertise, and courses are developed and taught by full-time faculty. Dr. Dineen shared insights from the Department of Public Policy's online Survey Research program, where students connect, share, and collaborate immediately from their varied locations and occupations.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:18 a.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
February 26, 2014 at 9:15 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

	<u>ATTACHMENT COMMITTEE</u>	<u>LOCATION FULL BOARD</u>
1) Approval of the Minutes of the Financial Affairs Committee Meeting of January 29, 2014, as circulated	A	

ACTION ITEMS:

2) Contracts and Agreements for Approval	1
3) Fiscal Year 2015 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses	10
4) Fiscal Year 2015 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses	11
5) Fiscal Year 2015 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses	12
6) Revised Allocation of Bond Authorizations as set forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)	13
7) Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)	14

PROJECT BUDGETS FOR APPROVAL:

	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
8) Arjona and Monteith – Monteith Renovations	Revised Planning	\$25,000,000	15
9) Main Water Line Replacement – Phase I	Revised Planning	\$7,000,000	16
10) Master Plan 2014 Development	Revised Planning	\$3,000,000	17
11) Replace Gampel Cooling Towers	Revised Planning	\$2,500,000	18
12) Residential Life Facilities – Science, Technology, Engineering and Math (STEM) Residence Hall	Revised Planning	\$5,000,000	19
13) Ecology and Evolutionary Biology Greenhouse Renovations	Design	\$1,000,000	20

PROJECT BUDGETS FOR APPROVAL (Continued):

	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
14) Fine Arts Gateway and Pedestrian Access	Design	\$1,500,000	21
15) North Hillside Road Completion	Final	\$21,200,000	22
16) UCONN 2000 Code Remediation: Wilbur Cross Building	Revised Final	\$1,300,000	23

INFORMATION ITEMS:

- | | |
|---|------------------------|
| 17) Contracts and Agreements for Information | B |
| 18) FY 2014-2015 Schedule of Costs for an Undergraduate Student University of Connecticut (Storrs Campus) | C |
| 19) Construction Project Status Report | (Under Separate Cover) |
| 20) Financial Statement(s) Storrs and Health Center | |

Storrs:

<http://accountingoffice.uconn.edu/accounting/PDF/2013FS.pdf>

UHC:

http://controller.uchc.edu/reports/docs/year_end_report_2013.pdf

EXECUTIVE SESSION (As Needed)

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
January 29, 2014

TRUSTEES PRESENT: Rose Barham, Charles Bunnell, Andy Bessette, Shari Cantor, Richard Carbray, Michael Daniels, Andrea Dennis-LaVigne, Marilda Gandara, Mary Ann Handley, Thomas Kruger, Rebecca Lobo (*via Telephone*), Donny Marshall, Larry McHugh and Thomas Ritter

STAFF PRESENT: Carolle Andrews, Holly Bray, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Mun Choi, Laura Cruickshank, Amy Donahue, Michael Eagen, Kim Fearney, Kristi Gafford, Nicole Gelston, Michael Gilbert, Brian Gore, Richard Gray, Susan Herbst, Kent Holsinger, Michael Jednak, Tysen Kendig, Michael Kirk, Matthew Larson, Wayne Locust, Michael Mundrane, Brandon Murray, Jeffrey Ogbar, Richard Orr, Bhupen Patel, Sally Reis, Stephanie Reitz, Rachel Rubin, Jeffrey Seeman, Lawrence Silbart, Katrina Spencer, Eli Strassfeld, Jack Sullivan, Lysa Teal, Frank Torti, Thomas Trutter, Scott Wetstone, Dana Wilder and Reka Wrynn

UNIVERSITY SENATE MEMBERS PRESENT:
Rajeev Bansal, Lynne Healey, Donna Korbel and William Stwalley

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:18 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger directed the committee to a revised agenda; which was revised to add a Project Budget for Residential Life Facilities – South Campus Envelope – Gable Repairs. Richard Gray, Executive Vice President for Administration and Chief Financial Officer, explained that the project had been approved by the Board in December but it had been determined that a technical correction should be provided to the Trustees in order to go forward with the exigent project at the same dollar amount and level as previously approved. On a motion by Trustee Bessette and seconded by Trustee Cantor the revised agenda was accepted as circulated.

Mr. Kruger directed the committee to agenda *Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of December 11, 2013*. On a motion by Trustee Cantor and seconded by Trustee Bessette the minutes were approved as circulated.

Dr. Frank Torti, Executive Vice President for Health Affairs, introduced Dr. Scott Wetstone, Director of Health Affairs Policy Planning, who provided a PowerPoint presentation regarding agenda *Item #2, Proposed School of Medicine and School of Dental Medicine Tuition and Fees Rates for FY 15*. On a motion by Trustee Bessette and seconded by Trustee Carbray the item was recommended to the full Board for approval.

Mr. Gray explained agenda Item #3, Designation of Authorized Officer for Sale Purposes for UCONN 2000 General Obligation and Special Obligation Bonds. He explained that a member of the Financial Affairs Committee is usually the Designated Authorized Officer who signs UCONN 2000 bond documents. Trustee Cantor has accepted the invitation to serve as the Designated Officer and the Board Chairman will serve as alternate. Mr. Kruger thanked Ms. Cantor for her service to the Board in this capacity. On a motion by Trustee Bessette and seconded by Trustee Carbray the item was recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, provided information on the project budgets for Storrs based programs presented for approval which included: ***Residential Life Facilities – South Campus Envelope – Gable Repairs (Final: \$3,000,000) and Basketball Development Facility (Revised Final: \$33,538,300).*** On a motion by Trustee Cantor and seconded by Trustee Bessette the items were recommended to the full Board for approval.

Thomas Trutter, Health Center Associate Vice President for Facilities Development and Operations, provided information on the project budgets for the Health Center presented for approval which included: ***UCHC Roadway and Intersection Improvements (Final: \$5,710,000); UCHC Dental Clinic Fit-Out, Storrs Center (Revised Final: \$925,000); and UCHC Main Building Renovation: Lab (“L”) Building Renovations – Project 1 (Revised Final: \$79,215,503).*** On a motion by Trustee Cantor and seconded by Trustee Carbray the Health Center project budgets were recommended to the full Board for approval.

Mr. Gray directed the committee to the agenda items presented for their information: ***Construction Project Status Report; Master Schedule for UCONN 2000 Phases I, II, III (as of 12/31/13); and University-Wide FY13 Closeout and FY14 Six Month Update.***

On a motion by Trustee Cantor and seconded by Trustee Carbray the committee was adjourned at 9:45 a.m.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B

**CONTRACTS AND AGREEMENTS
FOR INFORMATION
February 26, 2014**

PROPERTY LEASE AGREEMENTS

UNIVERSITY AS LESSOR: Technology Incubator Program Leases authorized pursuant to Delegation of Authority to Approve Leases for the Technology Incubation Program dated September 2013.

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Alphachromics, Inc.	\$1,150	11/01/12-10/31/15	Operating Fund - General	Rita Zangari Director of Industry Partnerships	Amendment to TIP lease. Lease of space reduced from 582 s.f. of space to 58 s.f. of space at 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center campus in Farmington, Connecticut. Rent will be paid in monthly installments of \$95.83, increasing on November 1st each year. Lessee to continue to participate in the University Technology Incubator Program.
2	Arcantura, LLC	\$6,200	10/01/12-09/30/14	Operating Fund - General	Rita Zangari Director of Industry Partnerships	Renewal of TIP lease. Lease of space not to exceed 310 s.f. of space in Building 19, located on the grounds of the University of Connecticut Avery Point campus in Groton, Connecticut. Rent will be \$6,200 for the year, paid in monthly installments of \$516.66, increasing on October 1 of each year. Lessee to continue to participate in the University Technology Incubator Program.
3	Connecticut Public Broadcasting, Inc.	\$10,500	09/01/12-08/31/27 <i>UPDATED TO 05/03/13-05/02/28</i>	Operating Fund - General	William J. Pizzuto, Ph.D. Torrington Campus Director	Adjustment to start of term of previously-approved ground lease for existing telecommunications tower and adjacent land located on the University of Connecticut Torrington campus.
4	Epitogenesis	\$12,030	07/01/13-06/30/15	Operating Fund - General	Rita Zangari Director of Industry Partnerships	New TIP lease. Lease of space initially 582 s.f. of space in 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center campus in Farmington, Connecticut, then in four months, Tenant shall vacate the Farmington space and shall occupy 608 s.f. of space in the Advanced Technology Laboratory Building, located on the grounds of the University of Connecticut Storrs campus. Rent shall increase by \$2.00 per s.f. on July 1st of each year, for each subsequent year of occupancy. Lessee to continue to participate in the University Technology Incubator Program.
5	FreeThink Technologies, Inc.	\$7,838	12/01/11-11/30/15	Operating Fund - General	Rita Zangari Director of Industry Partnerships	Amendment to TIP lease to increase leased premises from 275 s.f. to 475 s.f. of space, for the addition of Room 129 in Building 19 located on the grounds of the University of Connecticut Avery Point campus. The total revenue for the lease is increased by \$, bringing the total lease value to \$160,882. Lessee to continue to participate in the University Technology Incubator Program.
6	Lobstagen	\$9,880	07/01/13-06/30/15	Operating Fund - General	Rita Zangari Director of Industry Partnerships	New TIP lease. Lease of space not to exceed 494 s.f. of space in Building 19 and the Marine Sciences Building, both located on the grounds of the University of Connecticut Avery Point campus in Groton, Connecticut. Rent shall increase by \$2.00 per s.f. on July 1st of each year, for each subsequent year of occupancy. Lessee to continue to participate in the University Technology Incubator Program.
7	Metrocrops, LLC	\$6,624	08/01/11-07/31/14	Operating Fund - General	Rita Zangari Director of Industry Partnerships	Amendment to TIP lease to extend the term to 7/31/2014. Lease of space not to exceed 414 square feet of office and laboratory space in the Longley Building on the grounds of the University of Connecticut - Depot Campus, Mansfield, Connecticut. Rent will be at \$16.00 per square foot for the 2013-2014 year. Lessee to continue to participate in the University Technology Incubator Program.
8	Subway	\$36,000	07/01/13-06/30/18 <i>UPDATED TO 08/08/13-08/20/18</i>	Operating Fund - General	Dr. Michael Gilbert Vice President for Student Affairs	Adjustment to start of term of previously-approved lease of retail space not to exceed 551 square feet, plus additional 78 square feet of storage space in the building known as the Student Union Building on the Storrs Campus, Storrs, Connecticut. Rent will be 10% of the gross sales, with a minimum amount receivable of \$36,000 per year. This lease shall be for the operation of a Subway franchise in the food court.
9	SystaMedic, Inc.	\$4,680	09/01/13-08/31/14	Operating Fund - General	Rita Zangari Director of Industry Partnerships	Amendment to TIP lease to extend the term to 8/31/14 for lease of space approximately 260 s.f. of office space of Room 313 in Building 19 located on the grounds of the University of Connecticut Avery Point Campus. Rent will increase by a rate of \$2 per square foot making monthly installments of \$433.33. Lessee to continue to participate in the University Technology Incubator Program.

OTHER AGREEMENTS FOR INFORMATION

1	Nathan Hale Inn (Temporary Student Housing)	\$323,000	08/13/13-05/12/14	Operating Fund - General	Richard Gray, Executive Vice President for Administration & CFO	Room reservation agreement to accommodate University students in 17 rooms at the Nathan Hale Inn for the Fall 2013 semester and the Spring 2014 semester. No interest in real estate is created by this contract.
2	Town of Mansfield Town Square	\$250,000	10 years from completion of work	Operating Fund - General	Richard Gray, Executive Vice President for Administration & CFO	Memorandum of Agreement in which the University is granted a License to use the completed Town Square in Storrs Center twenty-four (24) times annually. No interest in real estate is created by this contract.



Board of Trustees

ADDITIONAL MATERIALS

The following documents were not noticed with the original Agenda. These include items that were presented or amended during the meeting of

Wednesday, February 26, 2014



Board of Trustees

**PROPOSED HONORARY DEGREE RECIPIENTS
FOR
MAY 2014 COMMENCEMENT
TO BE DISTRIBUTED UNDER SEPARATE COVER**

Wednesday, February 26, 2014

May 2014 Commencement

Proposed Honorary Degree Recipients

Name	Honorary Degree	Ceremony
Richard Besser, M.D.	Doctor of Science	UConn Health Center Ceremony Monday, May 12, 2014
Liza Donnelly	Doctor of Fine Arts	Graduate School Ceremony Saturday, May 10, 2014
Peter R. Farina, Ph.D.	Doctor of Science	School of Pharmacy (Doctorate) Saturday, May 10, 2014
Philip H. Lodewick	Doctor of Humane Letters	School of Business Sunday, May 11, 2014
Richard Mastracchio	Doctor of Science	School of Engineering Saturday, May 10, 2014
Martin McNamara, Ed.D.	Doctor of Science	School of Nursing Sunday, May 11, 2014
James M. Nederlander	Doctor of Fine Arts	UConn Health Center Ceremony Monday, May 12, 2014
Philip Uri Treisman, Ph.D.	Doctor of Humane Letters	College of Liberal Arts & Sciences Sunday, May 11, 2014
George M. Woodwell, Ph.D.	Doctor of Science	College of Agriculture & Natural Resources Saturday, May 10, 2014

Dr. Richard Besser (UConn Health Center Ceremony)

Dr. Richard Besser is a physician who is ABC News's Chief Health and Medical editor. He spent nearly two decades with the Centers for Disease Control and Prevention, including serving as acting director during the H1N1 pandemic as well as the director of the Coordinating Office for Terrorism Preparedness and Emergency Response. Dr. Besser has authored and co-authored more than 100 presentations, abstracts, chapters, book, editorials, and publications and has received many awards for his work in public health and his volunteer service including the Surgeon General's Medallion for his leadership during the H1N1 response. Dr. Besser received his Bachelor of Arts degree in economics from Williams College in Williamstown, Massachusetts, and his medical degree from the University of Pennsylvania. He completed a residency and a chief residency in pediatrics at Johns Hopkins University Hospital in Baltimore, Maryland.

Dr. Besser's career has focused on promoting health and preventing disease, a philosophy consistent with the mission and vision of the UConn Schools of Dental Medicine and Medicine. During the H1N1 pandemic, he not only demonstrated outstanding leadership to direct the CDC's response to the public health emergency, but he also effectively engendered the public's trust and understanding of their role in containing the outbreak. His recent years at ABC News have focused on covering topics impact national and global health.

Liza Donnelly (Graduate School Ceremony)

Liza Donnelly, a contract cartoonist with The New Yorker Magazine, is a celebrated and highly sought after writer, speaker and artist who has been drawing cartoons about culture and politics for over thirty years. Donnelly is the author/editor of fifteen books, and is a Cultural Envoy for the US State Department, traveling around the world speaking about freedom of speech, cartoons and women's rights as well as the author

She is a charter member of an international project, *Cartooning for Peace*, helping to promote understanding around the world through humor; and is one of the founding members of USA FECO, the US chapter of the international cartoonists' organization FECO. She conceived of and is editor for *World Ink*, a site of international cartoons from contributors around the globe (WorldInk.com) and has curated several exhibits of international cartoonists, here and abroad.

Ms. Donnelly taught at Vassar College and the School of Visual Arts and is a member of PEN, Authors Guild and the National Cartoonist Society. She is the recipient of the Salon St. Just International Prize, Woman of Distinction Prize from the American Association of University Women; and was a member the jury of the World Press Cartoon Prize in Lisbon and the Cartooning for Peace Prize in Geneva in 2012.

Peter R. Farina, Ph.D. (School of Pharmacy)

Dr. Peter R. Farina has dedicated more than 40 years to enhancing the quality of life through improved pharmaceutical products. He is currently a managing partner of Salient Science and Technology, was the founder and CEO of Developing World Cures, and had a long and distinguished career at Boehringer-Ingelheim Pharmaceuticals, Inc.

Dr. Farina's career has been marked by a series of successes and outreach efforts both locally and also globally. Dr. Farina received his Ph.D. degree in organic chemistry from the State University of New York at Buffalo and completed a postdoctoral fellowship at Pennsylvania State University. He then began a long and distinguished career in the pharmaceutical industry, spending 28 years at Boehringer-Ingelheim Pharmaceuticals where his career progressed from director of inflammatory diseases, to vice president of research, to senior vice president for development in North America. He retired from Boehringer-Ingelheim in 2008.

While at Boehringer-Ingelheim, Dr. Farina served the scientific and general community in many ways. He was, and continues to be, a board member and co-chairman of the Connecticut United for Research Excellence (CURE), served on the Connecticut Governor's Council on Economic Competitiveness and Technology Advisory Board, and on the New York Academy of Sciences President's Council. He continues to serve the School of Pharmacy as an emeritus member of the Advisory Board and regularly attends meetings even though his term officially ended in 2009. After retiring he was founder and CEO of Developing World Cures, a non-profit company focused on discovery and development of therapeutics for neglected diseases of developing countries. Dr. Farina is a respected leader in the field and continues to have a longstanding commitment to enhancing the quality of life of underserved populations.

Philip H. Lodewick (School of Business)

Philip H. Lodewick is Owner and President of the Tradewell Corporation, a high-technology equipment leasing company that provides and finances computers, communication networks, telephone systems, and scientific instrumentation to companies across North America.

After receiving a BS in Marketing and an MBA in Industrial Administration from UConn's School of Business in 1966 and 1967, respectively, his ties to the university continued long after graduation. Mr. Lodewick is as an Emeritus Member and former Chair of the UConn Foundation Board of Directors, serving from 1995 to 2001. He is also a former Chair of the School of Business Board of Advisors and was inducted into the School of Business Hall of Fame in 1995. He is a Life Member of the Alumni Association and served on the Campaign UCONN Steering Committee (1999-2004). In 2000, Mr. Lodewick received the University Service Award with his wife, Christine. Mr. and Mrs. Lodewick are 1881 Circle members of The Founders Society, support many University programs and initiatives and have established numerous endowed funds. Because of their advocacy and support, The Lodewick Visitors Center at UConn was named in their honor.

In addition to his longstanding friendship with the university, Mr. Lodewick has been at the forefront of the effort to foster continued positive relations between UConn and the Town of Mansfield. He has served as President of the Mansfield Downtown Partnership since its inception more than a decade ago. In this role, he has been instrumental in the development of the Storrs Center project in downtown Mansfield, creating a true downtown for the town and the university.

Richard Mastracchio (School of Engineering)

Astronaut, engineer, UConn alumnus and Waterbury, CT, native Richard A. Mastracchio is currently orbiting Earth aboard the International Space Station (ISS), where he will remain until May 2014. Since arriving at the ISS in November, as mission flight engineer Rick has helped to guide the Soyuz spacecraft into space, assisted with a variety of research projects and made several risky extravehicular space walks culminating in the repair of a vital cooling system. In the 17 years since being selected for the astronaut corps, Rick has flown three space missions aboard the space shuttles Atlantis, Endeavour and Discovery and made four trips aboard the ISS. After earning his B.S. degree in Electrical Engineering/Computer Engineering at UConn in 1982, he began his career with Hamilton Standard (now UTC Aerospace Systems) before transferring to Houston in 1987, where he applied his engineering knowhow within the Flight Crew Operations Directorate, ascent/entry Guidance and Procedures Office in Mission Control, Space Station Operations, and EVA branch. He supported 17 missions as a flight controller. He was accepted into the astronaut corps in 1996.

Though he is unquestionably a heroic symbol of the nation's highest ideals, Rick Mastracchio is also a Connecticut native who has remained deeply loyal to his alma mater and to his home state, and an individual who serves as a role model for countless dreamers who believe in the promise of space exploration. Undaunted by eight rejections in response to his bid to join the astronaut crew, Rick remained unwavering in his determination to pursue his goals and, on his ninth try, he finally captured the prize he sought: the opportunity to apply his expertise as an engineer serving as one of the world's most elite travelers – a space-bound explorer.

Rick has never forgotten his roots. He has made numerous trips to Connecticut to speak to school children and other groups. In 2010, he participated as a panelist with a number of aeronautical engineers during an Alumni Weekend presentation at UConn, delighting an audience that spanned all ages, disciplines and nationalities. On a shuttle mission, Rick brought a UConn banner with him and selected the UConn Husky fight song, "Hail, Hail, UConn" as one of his "wake-up" tunes played by mission control. The much-traveled banner now holds a place of honor in the Lodewick Visitors Center. Rick Mastracchio represents the very best ideals of the UConn community: loyalty, bravery, an undaunted spirit and the courage to not merely envision the big dreams, but to make them happen.

Martin McNamara, Ed.D. (School of Nursing)

Dr. McNamara is an accomplished nurse, teacher, administrator, author, leader, board member, collaborator, and researcher. He is currently the Dean of Nursing and Head of the University College of Dublin (UCD) School of Nursing, Midwifery and Health Systems. He is a registered mental health and general nurse and specialized in emergency and trauma nursing. Dr. McNamara holds a bachelor of science degree, master's degrees in arts (MA SocSc), education (MEd) and nursing (MScNurs) and a Doctorate in Education (EdD). His research interests are in clinical leadership and clinical-academic partnerships in nursing. He is a writer and commentator on professional and academic nursing, with a particular interest in academic identity, disciplinary development and the history of the profession. He is a co-founder of the UCD Irish Centre for Nursing and Midwifery History and has published several scholarly papers on aspects of nursing history and professional identity.

Prior to his role as Dean, Dr. McNamara was Head of Teaching and Learning in UCD with responsibility for developing and managing the school's extensive portfolio of graduate programs. He was appointed a UCD Fellow in Teaching and Academic Development; is a Registered Mental Health Nurse, a Registered General Nurse, a Registered Health Tutor, and a strong advocate for end of life care in Ireland. Dr. McNamara is a leader in various international organizations, including the Universitas 21 Millennium Development Goals Steering Group and the Global Nursing Leadership Institute, which is run by the International Council of Nurses Institute in Geneva. In 2013, Dr. McNamara participated in the Health Systems Re-engineering Institute at Purdue University, Indiana, and he delivered the keynote address at UConn for the School of Nursing's Athena Research Conference.

Dr. McNamara's work ties in well with issues that currently concern the informed UConn community and state of Connecticut citizenry, e.g., the University's STEM initiative; the state's Next Generation Connecticut initiative; and the nation's Affordable Care Act initiative. His work on palliative care, his discourse on its impact on the Irish population and culture, and the practical application of improving end of life speaks of the discipline of palliative care, national Irish values, standards of care, translation of knowledge, bereavement, end of life options, ethics, and honoring life. As a close partner within Universitas 21, UCD and UConn Schools of Nursing are forging strong alliances in nursing education and scholarship with our students spending a summer semester engaged in research with UCD faculty while completing a required course in nursing research. Further exchanges of faculty and students are in the planning stage, as well as support from Dr. McNamara in relation to our School of Nursing's new Center for the Advancement of Managing Pain (CAMP).

James M. Nederlander (UConn Health Center Ceremony)

James M. Nederlander built one of the largest private live entertainment companies in the world that encompasses venue ownership, management, theatrical production and concert presentation. He is the Chairman of the Nederlander Producing Company of America Inc.

During a career that spans 70 years, Chairman Nederlander has produced over one hundred of the most acclaimed Broadway musicals and is linked to some of the world's most distinguished performing companies whose work he produced and presented on Broadway including the Royal Shakespeare Company's acclaimed productions of *The Life and Adventures of Nicholas Nickleby*, *Les Liaisons Dangereuses*, *Cyrano* and *Sherlock Holmes*; Rudolf Nureyev and Friends, The Bolshoi Ballet, and the Virsky's Ukrainian State Dance Company. In addition, he is credited with developing the outdoor amphitheatre concept and developed several premier venues. In 1972, he founded the Theatre Hall of Fame, which is still housed in the lobby of the Gershwin Theatre today. In 1973, he partnered with George Steinbrenner to purchase the New Yankee Baseball Club. Generous, "loyal" and "trusted" are just a few of the accolades Chairman Nederlander's numerous friends use to describe him—many of whom have enjoyed a life-long personal and business relationship.

Beloved by industry professionals, Chairman Nederlander is the recipient of many distinguished honors including the United Nations Foundation Champion Award (2012), The Broadway League's Schoenfeld Vision for Arts Education Award (2011), the New York Pop's Man of the Year (2008), the Tony Award® to name just a few. In 2009, The National High School Musical Theater Award was established and named The Jimmy® to celebrate his career-long dedication to supporting young talent.

Philip Uri Treisman, Ph.D. (College of Liberal Arts and Sciences)

Philip "Uri" Treisman is professor of mathematics and public affairs at The University of Texas at Austin. He directs the university's Charles A. Dana Center, which focuses on improving mathematics and science education to ensure that every student leaves school prepared for success in postsecondary education and the contemporary workplace.

For 25 years, Professor Treisman has been a leading figure in mathematics education in the US. His pioneering work at the University of California, Berkeley in the 1980's set a standard for effective intervention that promotes the success of traditionally under-represented groups in mathematics and other STEM fields. Professor Treisman's groundbreaking research discovered despite similar backgrounds and socioeconomic status, Asian students developed a social network that helped them to achieve as a group, while African American students frequently studied on their own and subsequently underachieved. Through various interventions, such as workshops promoting social learning, the underachieving students' performance quickly improved. This approach has been replicated successfully at universities across the country. Professor Treisman's current work through the Dana Center aims to improve the performance of African American and Hispanic K-12 students in Texas and across the US.

Dr. Treisman was named a 1992 MacArthur Fellow, and in 1999, the magazine Black Issues in Higher Education named him one of the outstanding leaders in higher education in the 20th century. He was named the 2006 Scientist of the Year by the Harvard Foundation of Harvard University, and is currently Senior Partner in the Carnegie Foundation for the Advancement of Teaching. Dr. Treisman received his B.S. in mathematics from the University of California at Los Angeles and his Ph.D. from the University of California at Berkeley.

George M. Woodwell, Ph.D. (College of Agriculture & Natural Resources)

Ecologist George M. Woodwell founded the internationally-known Woods Hole Research Center in 1985 in Woods Hole, MA, as an institute for global environmental research. He is Director Emeritus and is currently running major research programs to study the ecological effects of ionizing radiation on vegetation, and the role that biotic systems, especially forests, play in global warming.

Dr. Woodwell's research has been on the structure and function of natural communities and their role as segments of the biosphere. He has worked extensively in forests and estuaries in North America and has made well-known studies of the ecological effects of ionizing radiation and the circulation and effects of pesticides and other toxins. For many years he has studied the biotic interactions associated with the warming of the earth.

He has published more than 300 papers in ecology and has contributed articles to Science, Scientific American, BioScience, Ecology and the Journal of Ecology, among many. He has written and edited books on the effects of nuclear war, the global carbon cycle, biotic impoverishment, and satellite imagery used in measuring the area of forests globally. In 1997 he was awarded the 3rd Annual Heinz Award in the Environment and in 2001 he was awarded the Volvo Environment Prize.

George Woodwell is a scholar who has made significant and lasting contributions to environmental science, education, and policy. The study and practice of environmental sustainability to understand and address climate change is a high academic and institutional priority for the University of Connecticut.

AMENDED AT THE FEBRUARY 26, 2014 FINANCIAL AFFAIRS MEETING

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
February 26, 2014 at 9:15 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

Revised

	<u>ATTACHMENT COMMITTEE</u>	<u>LOCATION FULL BOARD</u>
1) Approval of the Minutes of the Financial Affairs Committee Meeting of January 29, 2014, as circulated	A	

ACTION ITEMS:

2) Contracts and Agreements for Approval – Revised	1
3) Fiscal Year 2015 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses	10 <i>Handout</i>
4) Fiscal Year 2015 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses	11
5) Fiscal Year 2015 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses	12
6) Revised Allocation of Bond Authorizations as set forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)	13
7) Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)	14

PROJECT BUDGETS FOR APPROVAL:

	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
8) Arjona and Monteith – Monteith Renovations	Revised Planning	\$25,000,000	15
9) Main Water Line Replacement – Phase I	Revised Planning	\$7,000,000	16
10) Master Plan 2014 Development	Revised Planning	\$3,000,000	17
11) Replace Gampel Cooling Towers	Revised Planning	\$2,500,000	18
12) Residential Life Facilities – Science, Technology, Engineering and Math (STEM) Residence Hall	Revised Planning	\$5,000,000	19
13) Ecology and Evolutionary Biology Greenhouse Renovations	Design	\$1,000,000	20

PROJECT BUDGETS FOR APPROVAL (Continued):

	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
14) Fine Arts Gateway and Pedestrian Access	Design	\$1,500,000	21
15) North Hillside Road Completion	Final	\$21,200,000	22
16) UCONN 2000 Code Remediation: Wilbur Cross Building	Revised Final	\$1,300,000	23

INFORMATION ITEMS:

- 17) Contracts and Agreements for Information B
- 18) ~~FY 2014-2015 Schedule of Costs for an Undergraduate Student~~ C
~~University of Connecticut (Storrs Campus)~~
- 19) Construction Project Status Report (Under Separate Cover)
- 20) Financial Statement(s) Storrs and Health Center

Storrs:

<http://accountingoffice.uconn.edu/accounting/PDF/2013FS.pdf>

UHC:

http://controller.uchc.edu/reports/docs/year_end_report_2013.pdf

EXECUTIVE SESSION (As Needed)

**CONTRACTS AND AGREEMENTS
FOR APPROVAL
February 26, 2014**

**** REVISED ****

PROCUREMENT - NEW										
HEATING FUEL										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	East River Energy, Inc.	12PSX0028	\$2,157,230	02/26/14-04/30/15	Operating Funds-General	Michael Jednak, AVP Facilities Operations & Building Services	Heating fuel for the Central Utility Plant/CoGeneration facility. Zero (0) options to extend.			
SCIENTIFIC JOURNALS										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Elsevier BV	UC-14-CON070112	\$5,500,000	01/01/14-12/31/16	Multiple Sources	Martha Bedard, Vice Provost for University Libraries	Science Direct Journal Package access for all University campuses, including UCHC. Package contains over 1,400 core science journals considered essential to support research initiatives. This is a library media acquisition that will be effected under Section 10a-151a of the Connecticut General Statutes. Zero (0) options to extend.			
PROCUREMENT - AMENDMENTS										
FURNITURE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	Office Furniture, Inc. (d/b/a OFI) (f/k/a Solidus)	UC-03-B092302-5	\$374,000 [Contract Value Previously \$3,874,175; Total New Contract Value \$4,248,175]	05/01/03-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,751,727	\$165,000	\$300,000	System office furniture for all University campuses, including UCHC. Amend to increase contract value by \$374,000, for a total new contract value of \$4,248,175. Contract term remains the same. Zero (0) options to extend.
2	Red Thread Spaces, LLC (f/k/a BKM Total Office)	UC-03-B092302-5	\$795,554 [Contract Value Previously \$23,977,000; Total New Contract Value \$24,772,554]	05/01/03-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$23,764,688	\$1,875,680	\$1,237,000	System office furniture for all University campuses, including UCHC. Amend to increase contract value by \$795,554 for a total new contract value of \$24,772,554. Contract term remains the same. Zero (0) options to extend.
ISP SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
4	Indiana University	SSJ-LP121012	\$1,102,047 [Contract Value Previously \$840,147; Total New Contract Value \$1,942,194]	11/01/13-06/30/18	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$0	\$0	\$0	Monitoring, maintenance, and support of the Nutmeg Network. Amend to increase contract value by \$1,102,047, for a total new contract value of \$1,942,194. Increase will fund services for the Public Safety Data Network (PSDN) portion of the Nutmeg Network. Cost of this contract will be borne by the Department of Administrative Services. Contract term remains the same. Zero (0) options to extend.

**CONTRACTS AND AGREEMENTS
FOR APPROVAL
February 26, 2014**

**** REVISED ****

PROCUREMENT - AMENDMENTS (Continued)

ON-CALL PROFESSIONAL SERVICES - 009.2 GENERAL ARCHITECTURAL SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	Newman Architects, P.C.	009.2-7-2M-22916	\$381,780 [Contract Value Previously \$2,000,000; Total New Contract Value \$2,381,780]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$176,780	\$176,780	\$0	On-Call Contract for architectural services for any University campus, including UCHC. Amend to increase contract value by \$381,780, for a total new contract value of \$2,381,780. Increase will fund architectural services for STEM Residence Hall. Contract term remains the same. Zero (0) options to extend.

ON-CALL PROFESSIONAL SERVICES - 12.1 CIVIL AND STRUCTURAL ENGINEERING

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	Fuss & O'Neill, Inc.	012.1-6-500-22916	\$1,078,300 [Contract Value Previously \$500,000; Total New Contract Value \$1,578,300]	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	\$5,400	\$5,400	\$0	On-Call Contract for civil and structural engineering services for any University campus, including UCHC. Amend to increase contract value by \$1,078,300, for a total new contract value of \$1,578,300. Increase will fund engineering services for North Hillside Road extension project in support of the Tech Park initiative. Contract term remains the same. Zero (0) options to extend.

TELECOMMUNICATIONS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	SBC Global Services, Inc. (d/b/a AT&T Global Services) (f/k/a AT&T Long Distance East	UC-11-KW011210	\$924,266 [Contract Value Previously \$3,250,000; Total New Contract Value \$4,174,266]	03/01/11-02/28/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,948,496	\$940,060	\$1,322,891	PBX switch maintenance support for Lucent 5ESS telephone switch modules located in the Babbidge Library, Kennedy Building and Hilltop and Charter Oak complexes. The switch provides dial tone to approximately 15,000 subscribers. Amend to increase contract value by \$924,266, for a total new contract value of \$4,174,266. Contract term remains the same. Zero (0) options to extend.

PROPERTY LEASE AGREEMENTS

UNIVERSITY AS LESSOR

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Town of Mansfield	\$1.00	03/01/13-02/28/15	Operating Fund - General	Gregory J. Weidemann, Dean and Director, College of Agriculture and Natural Resources	First Amendment to extend term by one year of previously-approved lease for Tredgold Hall on the grounds of the Depot Campus at 123 Walters Avenue, Mansfield for storage use.



Board of Trustees

**FISCAL YEAR 2015 INSTITUTIONAL FEES FOR THE
UNIVERSITY OF CONNECTICUT, STORRS AND
REGIONAL CAMPUSES
TO BE DISTRIBUTED UNDER SEPARATE COVER**

Wednesday, February 26, 2014



February 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President

RE: Fiscal Year 2015 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a schedule of Institutional Fees for Fiscal Year 2015 comprised of adjustments to the following fees: MBA Field Experience/Laboratory Fee, Post-Baccalaureate Certificate in Pre-Medicine/Pre-Dentistry, Business Self-Supporting Programs, Law School LL.M.-Insurance and U.S. Legal Studies, Social Work Non-Degree Credits, Certificate Entry into Nursing BS, and Business Executive MBA.

BACKGROUND:

The University has an established student fee review process that categorizes the fees as follows: (1.) Institutional Fees, which require central administrative approval, such as Tuition, Room, Board, Infrastructure Maintenance Fee and self-supporting programs; (2.) Academic Materials Fees, which are for consumables and instructional materials that are specific to a particular course or major; and (3.) Student Fees, which include the General University Fee and student activity and service fees. Senior Management charged permanent committees with promoting a fee structure for the academic and student fees that supports excellence, provides comprehensive procedures, and is uniform without sacrificing efficiency and flexibility. These Institutional Fees are proposed by the University leadership.

See attached schedule for summary of recommended rate adjustments.

University of Connecticut - Storrs & Regional Campuses
Summary of Recommended Institutional Fees
Fiscal Years 2014-2015

Institutional fees require central administrative review and approval. The budgets for the operations are based upon what it takes to run the operation (including payment of debt service). These increases are proposed by the University's leadership.

	FY 2014 Current	FY 2015 Proposed	\$ Increase	% Increase
Per Session & Non-degree				
MBA Field Experience / Laboratory Fee	\$3,500	\$4,500	\$1,000	28.6%
Post-Baccalaureate Certificate in Pre-Medicine / Pre-Dentistry ^A	\$0	\$1,500	\$1,500	100.0%
Per Credit				
School of Business Self-Supporting Programs (excluding EMBA and MSFRM)	\$680	\$750	\$70	10.3%
Law School LL.M.-Insurance	\$1,135	\$1,190	\$55	4.8%
Law School LL.M.-U.S. Legal Studies	\$1,053	\$1,121	\$68	6.5%
School of Social Work Non-Degree Credits ^B	\$320	\$636	\$316	98.8%
Per Program				
Certificate Entry Into Nursing BS (CEIN_BS)	\$25,965	\$28,560	\$2,595	10.0%
School of Business Executive MBA ^C	\$58,000	\$65,000	\$7,000	12.1%

^A The Board of Trustees approved this program on September 25, 2013. The Program Fee will be assessed in addition to standard University per-credit costs and University fees.

^B Future increases will follow the University-wide Non-Degree Fee and will not be listed as a Per Credit Institutional Fee.

^C The School of Business plans an increase to \$72,000 (10.8% increase) for Fall 2015, contingent on approval of Fall 2014 rates.

FY15 Proposed Undergraduate Fee Increases

Undergraduate	FY14 Approved	FY15 Proposed
Other Fees - GUF	\$1,848	\$1,914 3.6%
Other Fees - Transit	110	120 9.1%
Total Change from FY14		\$76

FY15 Proposed Graduate Fee Increases

Graduate	FY14 Approved	FY15 Proposed
Other Fees - GUF	\$1,368	\$1,416 3.5%
Other Fees - Transit	110	120 9.1%
Other Fees – Student Activity	26	32 23.1%
Total Change from FY14		\$64



Board of Trustees

**AUTHORIZATION FOR DESIGN-BUILD PROJECT
DELIVERY METHOD**

(DISTRIBUTED UNDER SEPARATE COVER)

Wednesday, February 26, 2014



February 20, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Authorization for Design-Build Project Delivery Method

RECOMMENDATION:

That the Board of Trustees approve the use of the design-build project delivery method for the new STEM Residence Hall and the Honors Residence Hall. Both facilities will support the increased undergraduate enrollment envisaged by Next Generation Connecticut. The residence hall projects meet criteria for use of the design-build delivery method including 1) the acceleration of construction in support of the University desire to construct new Next Generation CT student housing as quickly as possible, and 2) the simple design requirements and programmatic needs of a residence hall.

Each project will engage a bridging architect and a cost estimator to prepare documents for solicitation of design-builders. The bridging architect for the STEM Residence Hall has been engaged and the intent is to solicit for a design-builder in Spring 2014, commence construction by late Fall 2014 and occupy the residence hall in Summer 2016. A bridging architect will be selected for the Honors Residence Hall to assist with verification of program and site selection and if the findings are not consistent with the criteria for the design-build delivery method, the project will revert to the traditional design-bid-build.

BACKGROUND:

On June 25, 2013 Governor Malloy signed Public Act 13-177, authorizing the University to use the design-build method to deliver construction projects. Under the design-build project delivery method, a single entity is responsible for both the project's design and its construction.

Under appropriate circumstances, the design-build method has the potential to accelerate construction and reduce costs compared to the more traditional design-bid-build method of

project delivery. The method is most effective when the owner (here, the University) requires limited control over the design. As such, the design-build method is most suitable for projects with simple design requirements and programmatic needs, such as residence halls and parking garages. Therefore, when deciding whether to use the design-build method for a particular project, key considerations should be the impact on schedule and cost, as well as the project's complexity.

Planning, Architectural and Engineering Services (PAES) is in the process of formulating policies and procedures, in coordination with Capital Projects and Contract Administration (CPCA), to support the design-build delivery method. The policies and procedures will be brought to CMOC for consideration and comments, with a subsequent submission for approval. If and when approved, the policies and procedures would be incorporated into the PAES Policies and Procedures Manual.