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Agenda and Attachments, 2013 April 24

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University of
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T R U S T E E S

VOL. 125 APRIL 24, 2013

**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

April 24, 2013

BOARD OF TRUSTEES SCHEDULE OF THE DAY

9:00 a.m.	Academic Affairs Committee Meeting
9:30 a.m.	Financial Affairs Committee Meeting
10:30 a.m.	Board of Trustees Meeting
1:00 p.m.	Student Life Committee Meeting (Adjacent to Portico)

BOARD MEETING AGENDA

Call to order at **10:30 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Minutes of the meeting of February 27, 2013
 - (c) Recommendation for Designation as Board of Trustees
Distinguished Professors, Academic Year 2012-2013 (Attachment 1)
 - (d) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based programs (Attachment 2)
 - (2) Promotion and Tenure Lists (Attachment 3)
 - (3) Sabbatical Leave Recommendations (Attachment 4)
 - (4) Appointment of Dr. Timothy B. Foltz to the Thomas John
and Bette Wolff Family Chair in Strategic Entrepreneurship
in the School of Business (Attachment 5)
 - (5) Modification of a Program in Sport Management Leading
to the Degrees of Bachelor of Science, Master of Science,
and Doctor of Philosophy in the Neag School of Education (Attachment 6)
 - (6) Master of Arts and Master of Fine Arts Degrees in
Digital Media and Design in the School of Fine Arts (Attachment 7)
 - (7) Renaming of the Latin American Studies Area of Concentration
in the International Studies Field of Study to Latino and Latin
American Studies in the Graduate School (Attachment 8)
 - (8) Division of the Public and Nonprofit Management Graduate
Certificate Program into (1) Nonprofit Management and
(2) Leadership and Public Management in the
College of Liberal Arts and Sciences (Attachment 9)

- (9) Location Modifications to Undergraduate Degrees at the Regional Campuses (Attachment 10)
 - (10) Approval of Proposed Changes to the *By-Laws of the University of Connecticut* (Article XIV – The University Staff, Section C.4 – Academic Appointment and Tenure) (Attachment 11)
 - (11) Policy and Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit (Attachment 12)
 - (12) Policy on Scholarly Integrity in Graduate Education and Research (Attachment 13)
 - (13) Naming Recommendation for the Dr. Robert C. & Veronica Atkins Chair in Personalized Approach to Lifestyle in the Department of Kinesiology in the Neag School of Education (Attachment 14)
 - (14) Appointment to Canvassing Board – Election of Alumni Trustee (Attachment 15)
 - (e) Informational item:
 - (1) Notification of Proposed Changes to the *By-Laws of the University of Connecticut* Regarding the Reporting Structure of the Executive Vice President for Health Affairs (Attachment 16)
- 3. President's Report
 - (a) Faculty and Staff Diversity Update
 - Elizabeth Conklin, Associate Vice President of Diversity & Equity
 - Dr. Jeffrey Ogbar, Vice Provost for Diversity
 - Dana A. Wilder, Assistant Vice Provost for Academic Affairs & Diversity
- 4. Academic Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Informational items:
 - (1) Five Year Review of Academic Centers and Institutes (Attachment 17)
 - (2) Tenure-Track Reappointments (Attachment 18)
- 5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Revised Allocation of Bond Authorizations as set forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 19)
 - (2) Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 20)
 - (3) Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 21)
 - (4) Increase in Board of Trustees Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets (Attachment 22)

- (5) Project Withdrawals (Attachment 23)
 - (6) Project Budget (Final) for Homer Babbidge Library – Replace Floor Coverings (Attachment 24)
 - (7) Project Budget (Final) for Pedestrian Safety Improvements Core Campus – Phase I (Attachment 25)
 - (8) Project Budget (Final) for Residential Life Facilities – Hale and Ellsworth Exterior Masonry Repairs (Attachment 26)
 - (9) Project Budget (Final) for UCONN 2000 Code Remediation: North Campus Dormitories (Attachment 27)
 - (10) Project Budget (Final) for UCONN 2000 Code Remediation: Towers Dormitories (Attachment 28)
 - (11) Project Budget (Final) for UCONN 2000 Code Remediation: Wilbur Cross Building (Attachment 29)
 - (12) Project Budget (Revised Final) for Basketball Development Center (Attachment 30)
 - (13) Project Budget (Revised Final) for Pedestrian Safety Improvements – Phase I (Attachment 31)
 - (14) Project Budget (Revised Final) for Residential Life Facilities – South Campus Envelope Repairs (Attachment 32)
 - (15) Project Budget (Revised Final) for Residential Life Facilities – Window Replacement – Grange Hall, Hicks Hall, Shippee Hall and Dining Facility, and Northwood Complex (Attachment 33)
 - (16) Project Budget (Second Revised Final) for Young Building Renovation/Addition (Attachment 34)
- (c) Informational item:
 - (1) Fraunhofer Center (Attachment 35)
- 6. Health Center Report
 - (a) Report on Health Center activities
- 7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
- 8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
- 9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
- 10. Student Life Committee Report
 - (a) Report of Committee activities
- 11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Development Progress Executive Summary (Attachment 36)

12. Committee on Compensation Report
 - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated.
15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1



University of Connecticut
Office of the President

Susan Herbst
President

April 24, 2013

TO: Members of the Board of Trustees

FROM: Susan Herbst, President

RE: Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2012-2013

RECOMMENDATION:

That the Board of Trustees accept the recommendation of the Distinguished Professor Review Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: Mark A. Boyer (Department of Political Science), and Linda S. Pescatello (Department of Kinesiology).

BACKGROUND:

At its November 10, 1998 meeting, the Board of Trustees voted to establish the title, Board of Trustees Distinguished Professor. This designation is the University's highest academic honor.

Pursuant to the *By-Laws of the University of Connecticut*, the Board of Trustees Distinguished Professor award is reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut. Faculty chosen must have distinguished themselves in all three of these categories. The designation process occurs annually, as a result of a peer review process.

After careful deliberations, the Review Committee recommended the two individuals named above. I am recommending that the Board of Trustees designate these faculty as its Distinguished Professors.

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Storrs, Connecticut 06269-2048

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Mark A. Boyer

Dr. Boyer is currently a Professor of Political Science and Department Head at the University of Connecticut, Storrs. He is also a Scholar-in-Residence at UConn's Center for Environmental Science and Engineering (CESE) and an affiliated faculty member with the School of Engineering's Environmental Engineering program. Throughout his career as a scholar-teacher, he has actively sought the integration of teaching, research and service in all his professional activities. His research agenda has recurrently allowed him to follow his own curiosity about interesting global problems and he takes great joy in his ability as a scholar-teacher to reinvent himself periodically (from alliance relationships to negotiation and bargaining to environmental issues and climate change, most recently). That academic luxury has kept him fresh, energetic and continually learning new things over the years. It has also helped him to continue to engage and challenge students in creative ways.

Public goods theory is fundamental to much of his teaching and research, as he seeks to bridge the theory-practice gap and help students to do so, as well. In addition to an array of journal articles, his books *International Cooperation and Public Goods* (Johns Hopkins University Press, 1993) and *Defensive Internationalism* (University of Michigan Press, 2005; co-authored with Davis B. Bobrow) developed new theoretical models of international cooperation and the provision of public goods in the contemporary world system. A second large, long-term research project is the GlobalEd Project (www.globaled.uconn.edu, co-directed with Scott W. Brown (UConn, Educational Psychology)). GlobalEd exemplifies the possibilities that exist for faculty to integrate research, teaching and service at top-tier universities. Started in 1992, GlobalEd conducts Internet-based international studies simulations for secondary school students and has received over \$2.5 million in peer-reviewed grant support in the last 15 years. His most recent project, *Adapting to Climate Change* is currently under contract with the University of Michigan Press (forthcoming 2014) and has been discussed on WPNR's *Where We Live*.

His previous awards include the International Studies Association's Ladd Hollist Award for Service to the Profession, the UConn Alumni Association's Award for Excellence in Teaching at the Graduate Level, the American Political Science Association's Rowman & Littlefield Award for Teaching Innovation, a Pew Faculty Fellowship in International Affairs, and the UConn Chancellor's Information Technology Award. He has twice been selected to edit high profile quarterly journals in the international studies field: *International Studies Perspectives* (2000-2004) and *International Studies Review* (2008-2012; co-edited with Jennifer Sterling-Folker).

Linda S. Pescatello

Dr. Pescatello is a Professor of Kinesiology at the University of Connecticut. She holds joint appointments in the Departments of Allied Health Sciences, Nutritional Sciences, and Physiology and Neurobiology at the University of Connecticut; and the Department of Community Medicine and Health Care in the School of Medicine at the University of Connecticut Health Center. Dr. Pescatello is a Senior Research Scientist in the Metabolic Services Division at the Hospital for Central Connecticut, and has consultant privileges in the Division of Cardiology at Hartford Hospital. Her previous awards include a Distinguished Leader in Sports Medicine and Exercise Science (2012) and a Citation Award from the American College of Sports Medicine (2011), the Outstanding Sports/Leisure Professional Award from the University of Connecticut School of Education Alumni Society (1999), and an Honor Award from the New England Chapter of the American College of Sports Medicine (1998).

Professor Pescatello is an internationally recognized leading scholar on the blood pressure response to exercise among individuals with hypertension. Her work has established the phenomenon of *post-exercise hypotension* as an expected physiological response to exercise such that blood pressure is lower on the days people exercise than on the days they are sedentary. Although most people lower blood pressure after exercise, there is considerable variability in the individual response to exercise as antihypertensive lifestyle therapy. Her work also seeks to find patient characteristics, features of the exercise prescription, and genetic factors that account for this variability. Dr. Pescatello has published over 125 scientific papers and reviews, three books and numerous book chapters, delivered countless invited presentations before professional groups around the world, and published numerous conference abstracts and proceedings. Her work is supported by grants from the NIH, American Heart Association, American College of Sports Medicine, and Hartford Hospital.

Professor Pescatello is an accomplished teacher who regularly teaches graduate courses in exercise prescription and health promotion for individuals across the lifespan. She intensively mentors and advises numerous graduate and undergraduate honor students that have included 17 Ph.D., 58 M.S., and 12 honor student advisees, and two post-doctoral students. A noteworthy outcome of Dr. Pescatello's mentorship of her students is that the majority of her advisees' theses and dissertations are published in top journals in her field.

Professor Pescatello has a long history of dedicated service to her department, college, and university as well as her professional affiliations with the American College of Sports Medicine and American Heart Association, being appointed a fellow to both organizations. Foremost among her professional service activity is her involvement with the American College of Sports Medicine (ACSM). Dr. Pescatello has led an ACSM position stand on exercise and hypertension and is senior editor of *ACSM's Guidelines for Exercise Testing and Prescription 9th Edition*. *ACSM's Guidelines* are considered the gold-standard in her field, and are the most widely circulated set of guidelines and primary resource for anyone conducting exercise testing and exercise programs in the world.

ATTACHMENT 2

CONTRACTS AND AGREEMENTS
FOR APPROVAL
April 24, 2013

PROCUREMENT - NEW										
INFORMATION TECHNOLOGY										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	SHI International Corp.	UC-14-PG010813	\$1,800,000	07/01/13-06/30/16	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	Microsoft software for faculty, staff and students on the Storrs, regional and professional campuses. This is the result of a publicly advertised RFP. Option to extend for one (1) additional three (3) year term.			
INSURANCE										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Consolidated Health Plans, Inc.	UC-13-KJ113012	\$19,000,000	06/01/13-08/15/14	Auxiliary Funds	Michael Kurland, Director of Student Health Services	Health and dental insurance for registered University and UCHC students, including University and UCHC Graduate Assistants; Graduate Fellows; and other eligible individuals. Coverage underwritten by Nationwide Life Insurance Company and brokered by Bailey Agencies, Inc. This is the result of a publicly advertised RFP. Initial term of coverage is 08/15/13-08/15/14. Options to extend for four (4) additional one (1) year terms. The majority of the expenses for Graduate Assistants and Graduate Fellows associated with this contract are borne by the University. The expenses for all other students associated with this contract are borne by the insured students, not by the University.			
OFFICE SUPPLIES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	OfficeMax, Inc.	UC-13-KJ090712	\$12,000,000	07/01/13-06/30/18	Multiple Sources	Matthew Larson, Director of Procurement Services	Office supplies and delivery for all University campuses, including UCHC. This is the result of a publicly advertised RFP.			
PROCUREMENT - AMENDMENTS										
CATERING SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	KHR (d/b/a A Step Above Catering)	UC-KA050709-8	\$212,000 [Contract Previously Approved at \$1,805,000; Total New Contract Value \$2,017,000]	08/17/09-08/31/14	Auxiliary Services	Warde Manuel, Director of Athletics	\$1,437,000	\$412,000	\$345,000	Catering services for Division of Athletics in support of varsity sporting events and other special events on Storrs campus. Amend to increase contract value by \$212,000, for new total contract value of \$2,017,000. Amend to extend term by one (1) year, to 08/31/14. One (1) of two (2) one (1) year extensions remaining.
CHARTER TRAVEL										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Air Planning, LLC	UC-09-KJ082208	\$339,148 [Contract Previously Approved at \$2,750,000; Total New Contract Value \$3,089,148]	03/01/09-06/21/14	Auxiliary Sources	Warde Manuel, Director of Athletics	\$2,422,481	\$437,000	\$479,643	Air charter for the Division of Athletics, predominately for transporting football and basketball team, coaching staff and equipment. Amend to increase contract value by \$339,148, for new total contract value of \$3,089,148. Amend to extend term by one (1) year, to 6/21/14. Zero (0) of four (4) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
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CHARTER TRAVEL (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
2	New England Flight Watch (d/b/a Charter Search)	UC-09-KJ082208	\$228,923 [Contract Previously Approved at \$3,860,000; Total New Contract Value \$4,088,923]	03/01/09-06/21/14	Auxiliary Sources	Warde Manuel, Director of Athletics	\$2,648,395	\$948,395	\$966,002	Air charter for the Division of Athletics, predominately for transporting football and basketball teams, coaching staff and equipment. Amend to increase contract value by \$288,923, for new total contract value of \$4,088,923. Amend to extend term by one (1) year, to 6/21/14. Zero (0) of four (4) one (1) year extensions remaining.

CHEMICALS FOR SNOW AND ICE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Chemical Solutions, Inc.	UC-10-B995962-1	\$400,000 [Contract Previously Approved at \$1,403,645; Total New Contract Value \$1,803,645]	10/19/09-06/30/14	Operating Fund -General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$1,204,251	\$154,400	\$491,818	Snow and ice control chemicals for all University campuses, including UCHC. Amend to increase contract value by \$400,000, for a new total contract value of \$1,803,645. Amend to extend term by one (1) year, to 06/30/14. One (1) of five (5) one (1) year extensions remaining.

ELECTRICAL SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Granite City Electric	UC-10-PL021710-1	\$0 [Contract Previously Approved at \$1,000,000; Contract Value Remains the Same]	07/01/10-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$185,289	\$45,222	\$93,298	Provide electrical equipment and supplies for maintenance, repair and operation of all University campuses, including UCHC. Contract value unchanged. Amend to extend term by one (1) year, to 6/30/14. Two (2) of three (3) one (1) year extensions remaining.
2	Hampden / Zimmerman (a/k/a Standard Electric)	UC-10-PL021710-1	\$0 [Contract Previously Approved at \$5,000,000; Contract Value Remains the Same]	07/01/10-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,065,905	\$1,210,513	\$895,489	Provide electrical equipment and supplies for maintenance, repair and operation of all University campuses, including UCHC. Contract value unchanged. Amend to extend term by one (1) year, to 6/30/14. Two (2) of three (3) one (1) year extensions remaining.
3	Northeast Electrical Distributors	UC-10-PL021710-1	\$0 [Contract Previously Approved at \$1,000,000; Contract Value Remains the Same]	07/01/10-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$541,417	\$237,195	\$183,664	Electrical equipment and supplies for maintenance, repair and operation of all University campuses, including UCHC. Contract value unchanged. Amend to extend term by one (1) year, to 6/30/14. Two (2) of three (3) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS
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ELEVATOR SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Otis Elevator	UC-10-PL022709-1	\$129,206 [Contract Previously Approved at \$4,000,000; Total New Contract Value \$4,129,206]	07/01/09-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,242,566	\$531,484	\$663,415	Provide service, maintenance and repairs to elevators at all University campuses, including UCHC. Amend to increase contract value by \$129,206, for a new total contract value of \$4,129,206. Amend to extend term by one (1) year, to 6/30/14. One (1) of three (3) one (1) year extensions remaining.
FOOD CONTRACTS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Freshpoint	UC-12-KA040111	\$2,696,401 [Contract Previously Approved at \$3,700,000; Total New Contract Value \$6,396,401]	07/01/11-06/30/14	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	\$3,199,000	\$1,759,000	\$0	Fresh fruit and produce primarily to Department of Dining Services for student meals, dairy bar and other needs. Amend to increase contract value by \$2,696,401, for total new contract value of \$6,396,401. Amend to extend term by one (1) year, to 6/30/14. Two (2) of four (4) one (1) year extensions remaining.
2	Garelick Farms	UC-10-B908521-8	\$661,547 [Contract Previously Approved at \$1,925,000; Total New Contract Value \$2,586,547]	07/01/09-06/30/14	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	\$1,766,000	\$535,000	\$466,000	Milk and dairy products primarily to Department of Dining Services for student meals, dairy bar and other needs. Amend to increase contract value by \$661,547, for new total contract value of \$2,586,547. Amend to extend term by one (1) year, to 6/30/2014. Zero (0) of four (4) one (1) year extensions remaining.
3	Sysco Food Service	UC-KA-032910-8	\$11,334,297 [Contract Previously Approved at \$30,500,000; Total New Contract Value \$41,834,297]	07/01/10-06/30/14	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	\$26,969,000	\$10,606,000	\$8,400,000	Grocery and frozen foods primarily to Department of Dining Services for student meals and other needs. Amend to increase contract value by \$11,334,297, for new total contract value of \$41,834,297. Amend to extend term by one (1) year, to 6/30/14. One (1) of four (4) one (1) year extensions remaining.
INSURANCE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Prudential Insurance Company of America	UC-09-SA110308	\$865,000 [Contract Previously Approved at \$2,815,000; Total New Contract Amount \$3,680,000]	07/01/09-06/30/14	Multiple Sources	Aliza Wilder, Director of Human Resources	\$2,789,104	\$865,000	\$648,361	Long Term Disability Insurance to employees enrolled in the ARP retirement program. Amend to increase contract value by \$865,000, for a new total contract value of \$3,680,000. Amend to extend contract term by one (1) year, to 6/30/14. One (1) of three (3) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS
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JANITORIAL EQUIPMENT AND SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Eastern Bag & Paper Supply	UC-08-KJ020808-1	\$300,000 [Contract Previously Approved at \$1,750,000; Total New Contract Value \$2,050,000]	07/01/08-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,588,816	\$357,230	\$321,615	Plastic liners for janitorial use for all University campuses, including UCHC. Amend to increase contract value by \$300,000, for a new total contract value of \$2,050,000. Amend to extend contract term by one (1) year, to 6/30/14. One (1) of four (4) one (1) year extensions remaining.
2	M.D. Stetson	UC-08-KJ020808-1	\$0 [Contract Previously Approved at \$7,000,000; Contract Value Remains the Same]	07/01/08-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$5,715,610	\$1,172,326	\$1,384,826	Janitorial supplies and equipment, except plastic liners, for all University campuses, including UCHC. Contract value unchanged. Amend to extend contract term by one (1) year, to 6/30/14. One (1) of four (4) one (1) year extensions remaining.
JANITORIAL SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Charter Oak Building	UC-08-KJ120707-1	\$184,500 [Contract Previously Approved at \$1,429,295; Total New Contract Value \$1,613,795]	07/01/08-06/30/14	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$1,255,573	\$244,268	\$251,257	Custodial services at the Waterbury and Torrington campuses. Amend to increase contract value by \$184,500, for a total new contract value of \$1,613,795. Amend to extend contract term by one (1) year, to 6/30/14. Zero (0) of four (4) one (1) year extensions remaining.
2	GCA	UC-08-KJ120707-1	\$6,979,571 [Contract Previously Approved at \$40,634,563; Total New Contract Value \$47,614,134]	07/01/08-06/30/14	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$37,942,676	\$6,253,267	\$7,990,621	Custodial services at the Storrs and Depot campuses. Amend to increase contract value by \$6,979,571, for a total new contract value of \$47,614,134. Amend to extend contract term by one (1) year, to 6/30/14. Zero (0) of four (4) one (1) year extensions remaining.
3	Sun Services LLC	UC-08-KJ120707-1	\$0 [Contract Previously Approved at \$2,312,500; Contract Value Remains the Same]	07/01/08-06/30/14	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$1,590,858	\$357,441	\$400,001	Custodial services at the Avery Point campus. Contract value unchanged. Amend to extend contract term by one (1) year, to 6/30/14. Zero (0) of four (4) one (1) year extensions remaining.
4	Sun Services LLC	UC-08-KJ120707-1	\$88,466 [Contract Previously Approved at \$1,923,729; Total New Contract Value \$2,012,195]	07/01/08-06/30/14	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$1,554,307	\$312,190	\$368,611	Custodial services at the Greater Hartford campus. Amend to increase contract value by \$88,466, for a total new contract value of \$2,012,195. Amend to extend contract term by one (1) year, to 6/30/14. Zero (0) of four (4) one (1) year extensions remaining.
5	Sun Services LLC	UC-08-KJ120707-1	\$0 [Contract Previously Approved at \$1,877,630; Contract Value Remains the Same]	07/01/08-06/30/14	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$1,373,704	\$275,008	\$304,888	Custodial services at the Law School campus. Contract value unchanged. Amend to extend contract term by one (1) year, to 6/30/14. Zero (0) of four (4) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
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JANITORIAL SERVICES (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
6	Sun Services LLC	UC-08-KJ120707-1	\$44,903 [Contract Previously Approved at \$3,065,000; Total New Contract Value \$3,109,903]	07/01/08-06/30/14	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$2,427,375	\$465,357	\$566,271	Custodial services at the Stamford campus. Amend to increase contract value by \$44,903, for a total new contract value of \$3,109,903. Amend to extend contract term by one (1) year, to 6/30/14. Zero (0) of four (4) one (1) year extensions remaining.
MAINTENANCE, REPAIR AND OPERATION SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	MSC Industrial Supply Co., Inc.	UC-07-KJ050407-1	\$0 [Contract Previously Approved at \$1,568,227; Contract Value Remains the Same]	07/01/07-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$856,307	\$758,130	\$244,017	Maintenance, repair and operation supplies for all University campuses, including UCHC. Contract value unchanged. Amend to extend contract term by one (1) year, to 6/30/14. Zero (0) of four (4) one (1) year extensions remaining.
MECHANICAL, ELECTRICAL AND PLUMBING SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	New England Mechanical Services, Inc. (a/k/a EMCOR Group, Inc.)	UC-10-PL020609-1	\$0 [Contract Previously Approved at \$7,578,018; Contract Value Remains the Same]	07/01/09-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$5,325,729	\$958,762	\$1,394,145	Service, maintain and repair mechanical systems for all University campuses, including UCHC. Contract value unchanged. Amend to extend contract term by one (1) year, to 6/30/14. One (1) of four (4) one (1) year extensions remaining.
2	Tradesmen of New England	UC-10-PL020609-1	\$0 [Contract Previously Approved at \$1,480,050; Contract Value Remains the Same]	07/01/09-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$911,781	\$138,908	\$255,123	Service, maintain and repair mechanical systems for all University campuses, including UCHC. Contract value unchanged. Amend to extend contract term by one (1) year, to 6/30/14. One (1) of four (4) one (1) year extensions remaining.
3	Titan Mechanical Contractors Inc.	UC-10-PL020609-1	\$0 [Contract Previously Approved at \$2,219,117; Contract Value Remains the Same]	07/01/09-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,773,773	\$213,141	\$275,185	Service, maintain and repair mechanical systems for all University campuses, including UCHC. Contract value unchanged. Amend to extend contract term by one (1) year, to 6/30/14. One (1) of four (4) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
April 24, 2013

MEDIA SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Makiaris Media	UC-KA050609-8	\$1,561,000 [Contract Previously Approved at \$5,600,000; Total New Contract Value \$7,161,000]	09/02/09-08/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$4,661,000	\$1,978,000	\$764,000	Developing strategic media placement campaigns for the University, including UCHC. Amend to increase contract value by \$1,561,000, for a new total contract value of \$7,161,000. Amend to extend term by one (1) year, to 8/31/2014. Zero (0) of four (4) one (1) year extensions remaining.
OFFICE FURNITURE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	OFI (f/k/a Solidus)	UC-03-B092302-5	\$0 [Contract Previously Approved at \$3,595,000; Contract Value Remains the Same]	05/01/03-04/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,488,150	\$300,000	\$280,000	System office furniture for all University campuses, including UCHC. Contract value unchanged. Amend to extend term by one (1) year, to 04/30/14. One (1) of eight (8) one (1) year extensions remaining.
2	Red Thread (f/k/a BKM Total Office)	UC-03-B092302-5	\$0 [Contract Previously Approved at \$23,580,000; Contract Value Remains the Same]	05/01/03-04/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$20,157,630	\$1,237,000	\$2,300,000	System office furniture for all University campuses, including UCHC. Contract value unchanged. Amend to extend term by one (1) year, to 04/30/14. One (1) of eight (8) one (1) year extensions remaining.
ON-CALL CONSULTANT SERVICES - SITE SAFETY										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Field Safety Corporation	030-1-1.5/04/30/13	\$0 [Contract Previously approved at \$1,500,000; Total Contract Amount \$1,500,000]	05/01/10-11/30/13	UCONN 2000	Matthew Larson, Director of Procurement Services	\$20,736	\$5,184	\$76,436	Construction safety oversight on a project by project basis. Contract value unchanged. Amend to extend contract term by seven (7) months, to 11/30/13.
2	Hettrick, Cyr & Associates, Inc.	030-2-1.5/04/30/13	\$0 [Contract Previously approved at \$1,500,000; Total Contract Amount \$1,500,000]	05/01/10-11/30/13	UCONN 2000	Matthew Larson, Director of Procurement Services	\$11,638	\$8,693	\$44,270	Construction safety oversight on a project by project basis. Contract value unchanged. Amend to extend contract term by seven (7) months, to 11/30/13.
3	Safety Solutions Consultant, Inc.	030-3-1.5/04/30/13	\$0 [Contract Previously approved at \$1,500,000; Total Contract Amount \$1,500,000]	05/01/10-11/30/13	UCONN 2000	Matthew Larson, Director of Procurement Services	\$22,486	\$12,828	\$78,660	Construction safety oversight on a project by project basis. Contract value unchanged. Amend to extend contract term by seven (7) months, to 11/30/13.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
April 24, 2013

PAPER GOODS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Eastern Bag & Paper	UC-KA021109-8	\$1,388,000 [Contract Previously Approved at \$4,092,000; Total New Contract Value \$5,480,000]	07/01/09-06/30/14	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	\$3,635,000	\$1,100,000	\$924,000	Paper goods primarily to Department of Dining Services. Amend to increase contract value by \$1,388,000 for a new, total contract value \$5,480,000. Amend to extend term by one (1) year, to 6/30/14. Zero (0) of four (4) one (1) year extensions remaining.
PLUMBING SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	FW Webb	UC-10-PL021810	\$300,000 [Contract Previously Approved at \$1,000,000; Total New Contract Value \$1,300,000]	07/01/10-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$682,315	\$192,176	\$222,046	Plumbing equipment and supplies for the maintenance, repair and operation of University facilities. Amend to increase contract value by \$300,000, for new total contract value of \$1,300,000. Amend to extend term by one (1) year, to 6/30/14. Two (2) of three (3) one (1) year extensions remaining.
2	The Granite Group	UC-10-PL021810	\$0 [Contract Previously Approved at \$3,500,000; Contract Value Remains the Same]	07/01/10-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,312,346	\$941,244	\$857,108	Plumbing equipment and supplies for the maintenance, repair and operation of all University campuses, including UCHC. Contract value unchanged. Amend to extend contract term by one (1) year, to 6/30/14. Two (2) of three (3) one (1) year extensions remaining.
SNOW AND ICE CONTROL SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	B&W Paving and Landscaping	UC-10-B996239-1	\$0 [Contract Previously Approved at \$2,172,399; Contract Value Remains the Same]	11/13/09-06/30/14	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$1,426,274	\$368,758	\$590,141	Snow and ice control services on the Storrs and Depot campuses. Contract value unchanged. Amend to extend contract term by one (1) year, to 6/30/14. Zero (0) of four (4) one (1) year extensions remaining.
UTILITY PLANT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Alstom Power / APCOM Power Inc.	UC-08-KJ120307-1	\$0 [Contract Previously Approved at \$1,150,000; Contract Value Remains the Same]	07/29/09-06/30/14	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$733,860	\$219,442	\$101,353	Power plant services for the Central Utility Plant/CoGeneration facility. Contract value unchanged. Amend to extend contract term by one (1) year, to 06/30/14. Zero (0) of four (4) one (1) year extensions remaining.

CONTRACTS AND AGREEMENTS
FOR APPROVAL
April 24, 2013

WATER TREATMENT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Nalco Company	UC-09-PL092408	\$0 [Contract Previously Approved at \$2,500,000; Contract Value Remains the Same]	01/01/09-12/31/14	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	\$1,316,995	\$406,852	\$304,557	Water treatment services for all University campuses, including UCHC. Serviced facilities include boiler systems, cooling towers, hot water loops, chilled water loops, waste water systems, and reverse osmosis water treatment equipment. Contract value unchanged. Amend to extend contract term for eighteen (18) months, to 12/31/14. One (1) of three (3) one (1) year extensions remaining.
REVENUE CONTRACTS - NEW										
ATM BANKING SERVICES										
No.	Contractor	Contract No.	Term	Revenue Recipient	Program Director	Purpose				
1	Webster Bank, N.A.	UC-13-KJ070612	05/01/13-04/30/18	Auxiliary Funds	Matthew Larson, Director of Procurement Services	Licensing space on University campuses, including UCHC, for automated teller machines. This is the result of a publicly advertised RFP.				
REVENUE CONTRACTS - AMENDMENTS										
CONCESSIONS										
No.	Contractor	Contract No.	Term	Revenue Recipient	Program Director	Total Revenues as of 03/08/13	Revenues FY 12	Revenues FY 11	Purpose	
1	Sodexo Operations LLC	UC-07-KJ020806	11/01/06-05/31/15	Various Athletic Programs	Warde Manuel, Director of Athletics	\$542,287	\$96,428	\$115,908	Concession services for all sports venues on the Storrs campus. Amend to extend term by two (2) years, to 5/31/15. One (1) of three (3) two (2) year extensions remaining.	
LEASES FOR APPROVAL										
UNIVERSITY AS LESSOR										
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose				
1	Mansfield Training School/District 1199 Day Care Center, Inc., d/b/a Willow House Preschool and Early Learning Center	\$5,100	06/01/13-05/31/14	Operating Fund - General	Alexandria Roe, Director of University Planning	Renewal of a commercial lease for the building known as Willow House Cottage on the grounds of the University of Connecticut, Depot campus. Rent will be \$425 per month, and the renewal is for a one year term. The building is used by the tenant for a childcare and preschool operation which is a resource for the University community and subsidized by the University's Work Life Connections program.				
UNIVERSITY AS LESSEE										
No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose				
1	Fairfield County Agricultural Extension Council, Inc.	\$60,571	07/01/13-06/30/18	Auxiliary Fund	Alexandria Roe, Director of University Planning	Renewal of a lease for approximately 3,200 sq. ft. of space known as the Brick Building and 300 sq. ft. of space in the building known as the White Meeting Building, 67 & 69 Stony Hill Road, Bethel, CT. The premises to be used for the purpose of a county extension center. Lease is for five years and includes annual rental increases of 1% in years 3 and 4, and 2% in year five.				

ATTACHMENT 3

PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – COLLEGE OF AGRICULTURE AND NATURAL RESOURCES
PRESENTED TO THE BOARD OF TRUSTEES – April 24, 2013

PROMOTION TO PROFESSOR

Sheila Andrew

Animal Science

Salvatore Frasca, Jr.

Pathobiology & Veterinary Science

Carol Auer

Plant Science & Landscape Architecture

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Jason Henderson

Plant Science & Landscape Architecture

PROMOTION TO ASSOCIATE PROFESSOR IN RESIDENCE

Syma Ebbin

Agricultural and Resource Economics

PROMOTION TO SENIOR EXTENSION EDUCATOR

Nancy Balcom

Cooperative Extension

PROMOTION TO EXTENSION EDUCATOR IN RESIDENCE

Tessa Getchis

Cooperative Extension

PROMOTION TO ASSOCIATE EXTENSION PROFESSOR

Thomas Worthley

Cooperative Extension

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF BUSINESS
PRESENTED TO THE BOARD OF TRUSTEES – April 24, 2013**

PROMOTION TO PROFESSOR

Zeki Semsik

Management

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Sarah Rice

Accounting

David Weber

Accounting

Qing Cao

Management

Joseph Pancras

Marketing

Xinxin Li

Operations & Information Management

Effective August 23, 2013:

TENURE AS PROFESSOR

Timothy Folta

Management

PROMOTION TO ASSOCIATE PROFESSOR-IN-RESIDENCE – NON-TENURE TRACK

Mary Caravella

Marketing

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – NEAG SCHOOL OF EDUCATION
PRESENTED TO THE BOARD OF TRUSTEES – April 24, 2013**

PROMOTION TO PROFESSOR

Jeffrey Volek

Kinesiology

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Elizabeth Howard

Curriculum and Instruction

Lisa Sanetti

Educational Psychology

Effective April 24, 2013:

TENURE AS PROFESSOR

Jonathan Plucker

Educational Leadership

Effective August 23, 2013:

TENURE AS PROFESSOR

Suzanne Wilson

Curriculum and Instruction

Preston Green

Educational Leadership

James Kaufman

Educational Psychology

PROMOTION TO ASSOCIATE PROFESSOR-IN-RESIDENCE – NON-TENURE TRACK

Eliana Rojas

Curriculum and Instruction

René Roselle

Curriculum and Instruction

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF ENGINEERING
PRESENTED TO THE BOARD OF TRUSTEES – April 24, 2013**

PROMOTION TO PROFESSOR

Ramamurthy Ramprasad	Chemical, Materials & Biomolecular Engineering
Guiling Wang	Civil & Environmental Engineering
Jun-Hong Cui	Computer Science & Engineering
Shengli Zhou	Electrical & Computer Engineering
Jiong Tang	Mechanical Engineering

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

William Mustain	Chemical, Materials & Biomolecular Engineering
Maria Chrysochoou	Civil & Environmental Engineering
Nicholas Lownes	Civil & Environmental Engineering
Yufeng Wu	Computer Science & Engineering
Ali Gokirmak	Electrical & Computer Engineering
Helena Silva	Electrical & Computer Engineering

PROMOTION TO ASSOCIATE PROFESSOR-IN-RESIDENCE – NON-TENURE TRACK

Krystyna Gielo-Perczak	Biomedical Engineering
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PROMOTION TO ASSOCIATE RESEARCH PROFESSOR – NON-TENURE TRACK

Eric Jackson	Civil & Environmental Engineering
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**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF FINE ARTS
PRESENTED TO THE BOARD OF TRUSTEES – April 24, 2013**

PROMOTION TO PROFESSOR

Margo Machida

Art and Art History

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Louis Hanzlik

Music

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT - SCHOOL OF LAW
PRESENTED TO THE BOARD OF TRUSTEES – APRIL 24, 2013**

PROMOTION TO PROFESSOR AND TENURE

Sara Bronin

Sachin Pandya

PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES
PRESENTED TO THE BOARD OF TRUSTEES — April 24, 2013

PROMOTION TO PROFESSOR

Mark Hamilton	Communication
Eric Schultz	Ecology & Evolutionary Biology
Louise Lewis	Ecology & Evolutionary Biology
Fiona Somerset	English
Peter Baldwin	History
Maria Gordina	Mathematics
Peter Burkhard	Molecular and Cell Biology
Paul Bloomfield	Philosophy
Susanne Yelin	Physics
Dean Cruess	Psychology
Holly Fitch	Psychology

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Nathaniel Trumbull	Geography
Mark Healey	History
Matthew McKenzie	History
Edna Brown	Human Development & Family Studies
Odette Casamayor-Cisneros	Literatures, Cultures & Languages
Ana Maria Diaz-Marcos	Literatures, Cultures & Languages
Valerie Saugera	Literatures, Cultures & Languages
Epapante (Penny) Vlahos	Marine Sciences
Dmitriy Leykekhman	Mathematics
Luke Rogers	Mathematics
Ralf Schiffler	Mathematics
Damin Wu	Mathematics
Spencer Nyholm	Molecular and Cell Biology
R. Thane Papke	Molecular and Cell Biology
Claudio Benzecry	Sociology
Daniel Mulkey	Physiology and Neurobiology

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES
PRESENTED TO THE BOARD OF TRUSTEES — April 24, 2013**

Effective July 1, 2013:

TENURE AS PROFESSOR

Jeffrey Seemann

Molecular and Cell Biology

Effective August 23, 2013:

TENURE AS PROFESSOR

Lewis Gordon

Philosophy

TENURE AS ASSOCIATE PROFESSOR

William Jelani Cobb

History

Bradley Simpson

History

Effective January 1, 2014:

TENURE AS ASSOCIATE PROFESSOR

Eric Brunner

Public Policy

PROMOTION TO LECTURER III – NON-TENURE TRACK

Elizabeth Burke

Speech, Language, and Hearing Sciences

Denise van der Voort

Speech, Language, and Hearing Sciences

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF NURSING
PRESENTED TO THE BOARD OF TRUSTEES – April 24, 2013**

TENURE AS ASSOCIATE PROFESSOR

Colleen Delaney

PROMOTION TO ASSOCIATE CLINICAL PROFESSOR – NON-TENURE TRACK

Desiree Diaz

Denise Panosky

PROMOTION TO ASSISTANT CLINICAL PROFESSOR – NON-TENURE TRACK

John McNulty

Pellegrina Stolfi

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT - SCHOOL OF PHARMACY
PRESENTED TO THE BOARD OF TRUSTEES – April 24, 2013**

PROMOTION TO PROFESSOR

Craig Coleman

Pharmacy Practice

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Bodhisattwa Chaudhuri

Pharmaceutical Sciences

PROMOTION TO ASSOCIATE CLINICAL PROFESSOR– NON-TENURE TRACK

Jill Fitzgerald

Pharmacy Practice

Michael Nailor

Pharmacy Practice

Lauren Schlesselman

Pharmacy Practice

Kathryn Wheeler

Pharmacy Practice

Effective August 23, 2013:

TENURE AS ASSOCIATE PROFESSOR

Xiaobo Zhong

Pharmaceutical Sciences

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF SOCIAL WORK
PRESENTED TO THE BOARD OF TRUSTEES – April 24, 2013**

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE
Kathryn Libal

PROMOTION TO ASSOCIATE EXTENSION PROFESSOR—NON-TENURE TRACK
Ellen Smith

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – MISCELLANEOUS PROMOTIONS
PRESENTED TO THE BOARD OF TRUSTEES – APRIL 24, 2013**

MISCELLANEOUS PROMOTIONS

UNIVERSITY LIBRARIES

David Avery	FROM: University Librarian II	TO: University Librarian III
Dawn Cadogan	FROM: University Librarian I	TO: University Librarian II
Anna Kijas-Masterson	FROM: University Librarian I	TO: University Librarian II
Thomas Schmiedel	FROM: University Librarian I	TO: University Librarian II

ATTACHMENT 4

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
April 24, 2013 Board of Trustees Meeting

CHANGES TO PREVIOUSLY APPROVED SABBATICAL LEAVES:

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Dino, Richard N.	Associate Professor	Management	School of Business	Full	Spring 2013 TBD

SABBATICAL LEAVE REQUESTS:

Semester at Full Pay

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Bai, Xue	Associate Professor	Operations and Information Management	School of Business	Full	Fall 2014
Berentsen, William H.	Professor	Geography	College of Liberal Arts & Sciences	Full	Fall 2013
Cruz, Jose M.	Associate Professor	Operations and Information Management	School of Business	Full	Spring 2014

Calendar Year at Half Pay

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Cui, Jun-Hong	Associate Professor	Computer Science & Engineering	School of Engineering	Half	CY 2014

ATTACHMENT 5

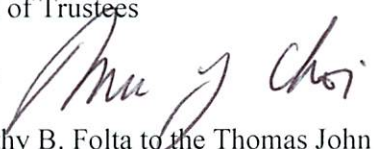


University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
*Provost & Executive Vice
President for Academic Affairs*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Appointment of Timothy B. Folta to the Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship in the School of Business

RECOMMENDATION:

That the Board of Trustees approve the appointment of Timothy B. Folta to the Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship in the School of Business.

BACKGROUND:

Timothy B. Folta has accepted an offer from the Department of Management as a Professor of Management with tenure and as the Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship starting August 23, 2013, pending Board of Trustees approval.

Timothy B. Folta is a tenured Associate Professor and Brock Family Chair of Strategic Management at the Krannert School of Management at Purdue. Dr. Folta researches entrepreneurship, real options and firm performance. He has received a number of awards honoring his research, including 2012 IDEA Foundational Paper Award given by the Entrepreneurship Division of the Academy of Management honoring a paper that has powerfully and positively changed the conversation in the field of entrepreneurship for at least a decade, for 'Survival of the Fittest: Entrepreneurial Human Capital and Persistence of Underperforming Firms,' published in *Administrative Science Quarterly*. He is a strong participant in Krannert's doctoral program and has an international reputation in his field. His top ten most cited articles have been cited over 2,700 times (Google Scholar).

He is the co-founder and Co-Director of BIOMEDSHIP, an interdisciplinary program involving Krannert School of Management and Weldon School of Biomedical Engineering, designed to train graduate students around innovation and entrepreneurship in medical device industry. Further, he has made outstanding contributions to his university and to his profession.

An Equal Opportunity Employer

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ATTACHMENT 6




University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
Provost & Executive Vice
President for Academic Affairs

April 24, 2013

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Modification of a Program in Sport Management Leading to the Degrees of Bachelor of Science, Master of Science, and Doctor of Philosophy in the Neag School of Education

RECOMMENDATION:

That the Board of Trustees approve the modification of a program in Sport Management leading to the degrees of Bachelor of Science, Master of Science, and Doctor of Philosophy in the Neag School of Education.

BACKGROUND:

The Sport Management Program is designed to provide an educational experience that includes an effective blend of classroom, research and field experiences in an effort to provide each student the opportunity to gain disciplinary knowledge and to develop the necessary practical skills to be successful in any area of the sport industry. The faculty members who teach all courses within the Sport Management concentration have moved within the Neag School of Education from the Department of Kinesiology to the Department of Educational Leadership. The move from Kinesiology to Educational Leadership follows the evolution of the Sport Management program as a social science degree with an emphasis on the critical analysis of sport and recognition of sport as a vehicle for positive social change.

The Neag School proposes:

At the Bachelor of Science level—Eliminate the current concentration of Sport Management under the field of study of Social Science of Sport. Create a new field of study in Sport Management.

At the Master of Science level—Eliminate the concentration of Sport Management under the field of study in Kinesiology. Create a new field of study in Sport Management.

At the Doctor of Philosophy level—Eliminate the concentration of Sport Management under the field of study in Kinesiology. Create a new concentration under the existing field of study in Learning, Leadership, and Education Policy.

Current faculty in Sport Management, Drs. Jennifer Bruening and Laura Burton will continue to serve as key faculty members for this program. In addition, Joseph Cooper, who is scheduled to receive his Doctoral degree in Kinesiology with a concentration of Sport Management and Policy in May 2013, has been appointed as the third faculty member for this program.

No new resources are required for this modification.

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CONSENT CALENDAR

Institution: University of Connecticut

Item: Modification of a Program in Sport Management, leading to a Bachelor of Science Degree

Date: April 24, 2013

Background & Description

The Neag School of Education's Sport Management Program is designed to provide an educational experience that includes an effective blend of classroom, research and field experiences in an effort to provide each student the opportunity to gain disciplinary knowledge and to develop the necessary practical skills to be successful in any area of the sport industry. In addition to core courses within UCONN General Education curriculum, students take courses specific to management, marketing, communication, law, social issues, and youth development within the domain of sport.

The Sport Management program enrolled its first students in the fall of 2005. Prior to 2005, the undergraduate program degree program was titled Sport Marketing. The change of program title from Sport Marketing to Sport Management occurred in order to more appropriately describe the content of the curriculum and to keep pace with the field of sport management. Sport Marketing programs were few and far between, but Sport Management programs have grown dramatically in recent years. Sport Marketing, and after the change to the program, Sport Management, were both housed within the Department of Kinesiology in the Neag School of Education. The formal name of the program is Social Science in Sport, concentration in Sport Management. The Social Science of Sport program also included a concentration in Coaching and Administration, which stopped accepting students in 2011.

The Sport Management concentration in the Social Science of Sport is an upper division major that admits students following an application process occurring during the spring semester of the student's sophomore year (4th semester of coursework). The application process is highly selective; of the 70-80 students that apply each year, only 15-18 students are admitted.

Reasons for the Proposed Program/Modification/Discontinuation

The faculty members who teach all courses within the Sport Management concentration have moved within the Neag School of Education from the Department of Kinesiology to the Department of Educational Leadership. As such, there are no core (required) courses within the Sport Management program that are taught by current faculty in Kinesiology. Therefore, the undergraduate concentration in Sport Management in the Social Science of Sport should be moved into the departmental home of the Sport Management faculty, which is now Educational Leadership.

The move from Kinesiology to Educational Leadership follows the evolution of the Sport Management program as a social science degree with an emphasis on the critical analysis of sport and recognition of sport as a vehicle for positive social change. The Sport Management undergraduate program trains

students to become managers and leaders within sport organizations, while emphasizing that, through their management and leadership, they can use sport to promote social justice. Faculty research and undergraduate and graduate coursework reflect this emphasis on social justice in Sport Management. The Educational Leadership faculty members are also social scientists and focus on issues of social justice in educational policy development and implementation. Concurrently, Kinesiology has moved toward a greater emphasis on physiology, nutrition, and genomics research within exercise and sport. Coursework within Kinesiology also reflects a focus in these areas as well. Given the growth of UConn Sport Management to being a well-respected program throughout the country, known for taking a critical approach to sport and its penchant for social justice, and the other programs within Kinesiology moving in the direction of analyzing human performance at a cellular level as well as the closure of the other social science program in the department (Coaching and Administration), the fit of the Sport Management program is no longer strong within the Kinesiology department.

In addition, students currently receive a B.S. degree in the Social Science of Sport, concentration in Sport Management. We would like to remove the course designation of Social Science of Sport. Given that the Coaching and Administration concentration is no longer admitting students, the need to use the Social Science of Sport title to distinguish the concentrations of Sport Management and Coaching and Administration from Exercise Science concentrations is no longer necessary. We propose to change the name of the degree to Sport Management. This change would also bring UCONN in line with the majority of Sport Management programs throughout the U.S. Within the CT/MA/NY region, there are thirty-three programs offering a degree in the field of Sport Management. Within the state of CT, Eastern CT State University, Sacred Heart University, University of New Haven, and Post University currently offer a degree within the field. Of the regional universities and colleges offering a degree within the field of Sport Management, programs are primarily situated within education and business schools/colleges.

The change in degree name would also benefit students as they apply for positions within the sports industry, as the degree name of Sport Management would accurately reflect the students' academic preparation. In addition, the proposed name change would reduce significant confusion (expressed by current students and prospective students and parents) around the name of the degree that students receive when studying Sport Management. The degree of Sport Management is reflective of the course content students receive while studying in the program.

It is also important to note that a move to Educational Leadership, while not common in the region, will not disadvantage UConn students as Sport Management programs are housed in various departments at regional colleges/universities (Appendix A, e.g., Business; Hospitality, Tourism & Sport Management; Management & Sport Management; Health & Human Services). In fact, given recent debates among Sport Management academicians about which school, college, or department is the most appropriate home for Sport Management (Fink & Barr, 2011), we believe that UConn can provide another option that may help other colleges and universities to think more broadly than they have in the past regarding where Sport Management programs can be located.

Students graduating from the Sport Management program over the past 5 years have secured employment within various factors of the sport industry including (this is not an exhaustive list):

Professional/Tour Sports:

Boston Bruins

Boston Celtics

Boston Red Sox

Major League Lacrosse League Offices

Major League Baseball Community Relations

PGA Tour

Metlife Stadium (facility home to NY Giants/NY Jets)
WTA/USTA
New York Yankees
Connecticut Sun
San Antonio Spurs
Lake County Captains (MiLB)

Intercollegiate Sport:
Arizona State University
University of Connecticut
Boston University
St. John's University
NCAA
University of Texas, El Paso
Bucknell University
University of Texas

Media/Marketing:
ESPN
IMG
Team Epic
Fenway Group

Community/Youth Sports/Non-Profits
Up2Us
Skyhawks Sports Camps
YMCA/YWCA
New York Cares

Sporting Events:
The Hole in the Wall Gang
Massachusetts Special Olympics

Curriculum & Program Outline

Appendix A

Learning Outcomes

The learning goals for students in the undergraduate sport management program include the following:

1. Students will acquire knowledge in sport management core content areas, including management and leadership in sport, sport marketing, sport finance, sport communication, sport law, youth development in sport, and social justice in sport.
2. Students will develop an understanding of the professional and ethical obligations required of sport managers. This understanding will include understanding the importance of diversity in sport management, the impact of sport globally, and how to manage sport toward the goal of positive social change.
3. Students will demonstrate critical thinking skills, enabling students to comprehend and effectively analyze issues, make decisions, and form sound and well-based judgments.

4. Students will demonstrate a commitment to continuing professional growth within the field of sport management through activities such as participating in student-led university programs (e.g., UCONN Sport Business Association) joining professional organizations, attending conferences and workshops, engaging in in-service training, subscribing to professional journals, or participating in volunteer work (e.g., volunteering with Husky Sport).
5. Students will demonstrate proficiency in using technology, with the ability to use the computer to analyze numerical information; to organize data; to aid in decision-making; to facilitate research; and to communicate effectively.

Sport Management Program Level Student Learning Outcomes:

1. Students will demonstrate knowledge of the fundamental principles of the sport management field. In particular, students should possess an understanding of the key functions of management, sport marketing, sport finance, sport communication, sport law, youth development in sport, and social issues in sport.
2. Students will understand sport as a major domain in society (locally, nationally, and internationally), and demonstrate an understanding of social justice issues related to sport as a major domain in society
3. Students will understand and demonstrate concepts and procedures related to ethical “good practice” and conduct as required within the field of sport management.
4. Students will appreciate individual differences and recognize all dimensions of diversity including ethnicity, gender, age, physical differences, sexual orientation, race, and religion.
5. Students will develop critical thinking models that include qualitative and quantitative techniques and be able to analyze and solve problems using these models in an ethical context.
6. Students will effectively apply a variety of oral and written business and professional communications styles.
7. Students will effectively apply technology to analyze and interpret data and understand its potential power in a dynamic business and professional world.

Enrollment & Graduation Projections

2012-2013 enrollment 32

2013 graduates – 17

2014 graduates - 15

Financial Resources

There are no changes to financial resources. The Sport Management program has had three faculty members and those three faculty are now part of the Educational Leadership Department.

Facilities//Equipment/Library/Special Resources

No change.

Program Administration

The undergraduate program in Sport Management was directed by Laura J. Burton when the program was a part of the Department of Kinesiology. She will continue to serve as program director when the program is moved to the Department of Educational Leadership.

Faculty

Jennifer E. Bruening, Ph.D.
Associate Professor

Dr. Bruening has been a part of the Sport Management program at the University of Connecticut since January of 2002 after spending eight years as an athletic administrator and volleyball coach at Kenyon College in Ohio, including two years as athletic director. She serves as the Director of the Laboratory for Sport Management.

Dr. Bruening's research line has focused primarily on barriers and supports for women and minorities in sport. She is a research fellow with Northeastern University's Center for the Study of Sport in Society and the North American Society for Sport Management.

Dr. Bruening is also the program founder and director for Husky Sport. Husky Sport has both a program and a research component. The program provides mentors (UConn students) as planners of sessions at community sites in Hartford, CT that emphasize exposure and access to sport and physical activity, and advocate good nutrition and healthy lifestyles. Research has focused specifically on the impact of such a program on pre-adolescent females and the impact of involvement in such a program on the college student mentors. For more see www.huskysport.uconn.edu.

Laura J. Burton, Ph.D.
Associate Professor

Dr. Burton has been a part of the Sport Management program at the University of Connecticut since August of 2004. Prior to her arrival at UConn, she was a faculty member in the Sport Management program at North Carolina State University from 2002-2004. She serves as undergraduate program director for Sport Management.

Dr. Burton's interests include leadership in athletic administration, how to increase women's representation in leadership positions in the sports industry, and how the experiences of sport participation influences women's career progression in business management and sport management. She currently serves on the editorial board for the Sport Management Education Journal.

Joseph N. Cooper, Ph.D. candidate
Assistant Professor (beginning August, 2013)

Joseph N. Cooper will join the Sport Management program at the University of Connecticut in August of 2013. Joseph earned his bachelor's degrees in Recreation Administration (BA) and Sociology (BA) from the University of North Carolina at Chapel Hill in 2006. In 2009, he earned his master's degree in Sport Administration (MA) from the University of North Carolina at Chapel Hill. He is currently a Ph.D.

candidate at the University of Georgia in the Department of Kinesiology (Sport Management and Policy program) where he studies under Dr. Billy Hawkins. His research interests include sport, race, and education. His dissertation focuses on examining the impact of the institutional culture at a Historically Black University on the college experiences of Black male student athletes.

Similar Programs in Connecticut or Region

See Appendix B

Reference:

Fink, J. S. & Barr, C. A. (2011). Where is the best "home" for sport management? In Paradigm Shift (A. Gillentine, R. Baker, & J. Cuneen, eds.). Scottsdale, AZ: Holcomb-Hathaway Publishers.

CONSENT CALENDAR

Institution: University of Connecticut

Item: Modification of a Program in Sport Management, leading to a Master of Science Degree

Date: April 24, 2013

Background & Description

The Neag School of Education's master's program in Sport Management is designed for students to develop the knowledge and skills necessary to obtain sport industry positions in college, professional, and community sport as well as sport media, sport marketing, and various managerial aspects of sport. Students in the master's program examine both theoretical and applied scholarship in sport management with an emphasis on issues of social justice in sport. The master's program exposes students to the theory of research and introductory research methods. Student may pursue thesis research or sit for comprehensive exams and proceed to complete an internship in the industry or a project where they plan and implement an event or complete a hands-on project in an area of the sport industry in which they have interest and/or desire to work.

The program has a history of graduating and placing students in a variety of positions in the sport industry. As of 2002 (arrival of Dr. Jennifer Bruening – current faculty member in Sport Management), 85 have graduated from the program. The UCONN master's program in Sport Management is well represented in college sports with alumni at UConn, Louisiana State University, Northern Arizona University, University of Chicago, University of Texas, University of Michigan, Elon College and Fordham University, for example. Alumni employed in professional sports include those with the San Antonio Spurs, New York Knicks, Boston Red Sox, and Major League Baseball Corporate Offices. Community sport is presented by New York Cares and Husky Sport. Alumni are also working with IMG and ESPN. Seven have gone on to pursue their PhDs in sport related fields.

Reasons for the Proposed Program/Modification/Discontinuation

The faculty teaching all courses within the Sport Management concentration have moved from the Kinesiology Department in the Neag School of Education to the Educational Leadership Department in the Neag School of Education. As such, the graduate program in Sport Management should be moved into the departmental home of the Sport Management faculty (Educational Leadership).

The move from Kinesiology to Educational Leadership follows the evolution of the Sport Management program as a social science degree with an emphasis on the critical analysis of sport and recognition of sport as a vehicle for positive social change. The Sport Management Master's program trains students to become managers and leaders within sport organizations, while emphasizing that, through their management and leadership, they can use sport to promote social justice. Faculty research and undergraduate and graduate coursework reflect this emphasis on social justice in Sport Management. The Educational Leadership faculty members are also social scientists and focus on issues of social justice in

educational policy development and implementation. Concurrently, Kinesiology has moved toward a greater emphasis on physiology, nutrition, and genomics research within exercise and sport. Coursework within Kinesiology also reflects a focus in these areas as well. Given the growth of UConn Sport Management to being a well-respected program throughout the country, known for taking a critical approach to sport and its penchant for social justice, and the other programs within Kinesiology moving in the direction of analyzing human performance at a cellular level as well as the closure of the other social science program in the department (Coaching and Administration), the fit of the Sport Management program is no longer strong within the Kinesiology department.

Currently, students receive a MS degree in Kinesiology, with a concentration in Sport Management. We propose the master's program is modified to align with faculty and faculty research interests within the field of Sport Management. Students would receive a MS degree in Sport Management. This modification would align students with faculty and research within the current Sport Management faculty.

It is also important to note that a move to Educational Leadership, while not common in the region, will not disadvantage UConn students as Sport Management programs are housed in various departments at regional colleges/universities (e.g., Business; Hospitality, Tourism & Sport Management; Management & Sport Management; Health & Human Services). In fact, given recent debates among Sport Management academicians about which school, college, or department is the most appropriate home for Sport Management (Fink & Barr, 2011) we believe that UConn can provide another answer that may help other colleges and universities to think more broadly than they have in the past regarding where Sport Management programs can be located.

Curriculum & Program Outline

Attached (Appendix A)

Learning Outcomes

Graduates will be able to:

1. Demonstrate knowledge of scientific principles and data collection in sport management OR graduates will demonstrate and practice sport management principles as applied to organizations in sport industry.
2. Demonstrate use of quantitative statistical procedures to evaluate data in sport management research.
3. Articulate an understanding of management and marketing principles as applied to sport organizations.
4. Articulate an understanding of scientific research methods and demonstrate use of data collection methods in sport management.
5. Demonstrate the ability to critically analyze issues within the domain of sport and through this analysis, demonstrate ways to use managerial skills to address these issues.
6. Demonstrate the skills necessary to engage in professional settings in sport management organizations.

7. Demonstrate the ability to communicate effectively to audiences within sport management.
8. Demonstrate the ability to write effectively using appropriate writing styles that are necessary to address professional audiences in sport management.

Enrollment & Graduation Projections

2012-2013 enrollment - 20

2013 graduates – 9

2014 graduates - 11

Financial Resources

There are no changes to financial resources. The Sport Management program has had two graduate faculty members and those two graduate faculty are now part of the Educational Leadership Department.

Facilities//Equipment/Library/Special Resources

No change.

Program Administration

The graduate program in Sport Management was directed by Jennifer E. Bruening when the program was a part of the Department of Kinesiology. She will continue to serve as program director when the program is moved to the Department of Educational Leadership.

Faculty

Jennifer E. Bruening, Ph.D.
Associate Professor

Dr. Bruening has been a part of the Sport Management program at the University of Connecticut since January of 2002 after spending eight years as an athletic administrator and volleyball coach at Kenyon College in Ohio, including two years as athletic director. She serves as the Director of the Laboratory for Sport Management.

Dr. Bruening's research line has focused primarily on barriers and supports for women and minorities in sport. She is a research fellow with Northeastern University's Center for the Study of Sport in Society and the North American Society for Sport Management.

Dr. Bruening is also the program founder and director for Husky Sport. Husky Sport has both a program and a research component. The program provides mentors (UConn students) as planners of sessions at community sites in Hartford, CT that emphasize exposure and access to sport and physical activity, and advocate good nutrition and healthy lifestyles. Research has focused specifically on the impact of such a

program on pre-adolescent females and the impact of involvement in such a program on the college student mentors. For more see www.huskysport.uconn.edu.

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Associate Professor

Dr. Burton has been a part of the Sport Management program at the University of Connecticut since August of 2004. Prior to her arrival at UCONN, she was a faculty member in the Sport Management program at North Carolina State University from 2002-2004. She serves as undergraduate program director for Sport Management.

Dr. Burton's interests include leadership in athletic administration, how to increase women's representation in leadership positions in the sports industry, and how the experiences of sport participation influences women's career progression in business management and sport management. She currently serves on the editorial board for the Sport Management Education Journal.

Similar Programs in Connecticut or Region

See Appendix B

Reference:

Fink, J. S. & Barr, C. A. (2011). Where is the best "home" for sport management? In Paradigm Shift (A. Gillentine, R. Baker, & J. Cuneen, eds.). Scottsdale, AZ: Holcomb-Hathaway Publishers.

CONSENT CALENDAR

Institution: University of Connecticut

Item: Modification of a Program in Sport Management, leading to Doctor of Philosophy Degree

Date: April 24, 2013

Background & Description

The Neag School of Education's doctoral program in Sport Management is designed for students to develop the knowledge and skills necessary to obtain faculty positions or higher-level administrative positions within sport management. Students in the doctoral program examine scholarship in sport management with an emphasis on issues of social justice in sport. The doctoral program is a research-intensive program that emphasizes development of both quantitative and qualitative research methods and also provides students with extensive opportunities to develop teaching skills in a sport management curriculum. The program emphasizes use of rigorous research methodologies to examine critical questions in the field of sport management. Most importantly, the doctoral program in Sport Management emphasizes application of a lens of social justice within the domain of sport management.

The program has a history of graduating and placing students in college/university faculty positions and in senior administrative positions in sport and physical activity. As of 2002 (arrival of Dr. Jennifer Bruening – current faculty member in Sport Management), 18 have graduated from the program. Fifteen of those graduates are working in faculty teaching positions and two are working for sport/physical activity programs as senior administrators/researchers, and one is working in a senior level administrative position in higher education. The UCONN doctoral program in Sport Management is well represented at academic conferences in sport (e.g., North American Society for Sport Management, North American Society of Sport Sociology, College Sport Research Institute) and graduates are making contributions to the scholarship in sport management as demonstrated by publications in numerous sport related academic journals (e.g., Journal of Sport Management, Sport Management Review, Research Quarterly for Exercise and Sport, Sociology of Sport Journal). In addition, graduates are leading sport management programs (e.g., Southern New Hampshire University) and serving on the boards of professional associations (e.g. North American Society for Sport Management), and editorial boards (e.g., Sport Management Education Journal).

Reasons for the Proposed Program/Modification/Discontinuation

The faculty responsible for teaching all courses within the Sport Management program have moved from the Kinesiology Department in the Neag School of Education to the Educational Leadership Department in the Neag School of Education. As such, the doctoral program in Sport Management should be moved into the departmental home of the Sport Management faculty (Educational Leadership).

Currently, students receive a Ph.D. degree in Kinesiology, with a concentration in Sport Management. We propose the doctoral program is modified to align with faculty and faculty research interests within the Department of Educational Leadership. Students would receive a Ph.D. degree in Learning, Leadership, and Educational Policy (LLEP) with a concentration in Sport Management. This modification would align students with faculty and research within the current department (Educational Leadership) of Sport Management faculty. In addition, the Ph.D. program in Learning, Leadership, and Educational Policy (LLEP) provides an appropriate foundation of coursework, research expectations, and student development that aligns with the overall objectives of the current Ph.D. degree in Kinesiology, with a concentration in Sport Management.

Modifying the program to align with the LLEP program would enhance the current doctoral program in Sport Management, as more courses at the doctoral level would be provided to students. Currently, students take subject matter courses in Sport Management at the seminar level, but must supplement their coursework from other departments on campus depending on a student's area of interest (including Management, Marketing, Communications, Sociology, and Psychology, in addition to Educational Leadership and Educational Psychology). In addition, students will often supplement courses with independent study credits due to the lack of available doctoral level courses offered in the Department of Kinesiology that are appropriate for Sport Management students.

Modifying the doctoral program to a concentration in Sport Management within the LLEP doctoral program would allow students to complete sport management subject matter coursework at the seminar level, but also provide students with doctoral level coursework in a core curriculum relevant to scholarship within the domain of sport management. In particular, the core courses EDLR 5204 Organizational Learning and EDLR 6467 Social Justice, Leadership, and Organizational Change would provide doctoral students with content knowledge that will enhance their doctoral experience and distinguish them from other students with doctoral degrees in the field of Sport Management. There is also precedent for students in Sport Management doctoral programs to receive their degree as a Sport Management concentration in Educational Leadership, as the University of Louisville program in Sport Management is within an Educational Leadership program.

Curriculum & Program Outline

Appendix A

Learning Outcomes

Students obtaining a doctoral degree with a concentration in Sport Management will:

1. Demonstrate an advanced level of understanding of knowledge in the major content areas of sport management (management, marketing, law, sociology).
2. Complete a series of courses in a discipline outside of sport management as a cognate area to broaden their understanding of sport management within the larger academic context.
3. Demonstrate the ability to critically examine important questions in the field of sport management using rigorous research methodologies.
4. Demonstrate the ability to develop theoretical models based on sound research to contribute to the

domain of knowledge in sport management.

5. Demonstrate the ability to apply a social justice lens to scholarship and teaching within the domain of sport management.
6. Actively engage in the research process including presenting at academic conferences, submitting manuscripts for publication, and applying for internal and/or external funding.
7. Demonstrate competence in teaching varied sport management content at the undergraduate and graduate level including the supervision of internships.
8. Demonstrate competence in advising sport management students, particularly at the undergraduate level, including developing an understanding of academic requirements at the university and within the sport management concentration.
9. Engage in service opportunities at the school, university and/or national level

Enrollment & Graduation Projections

2012-2013 enrollment 3

2013 graduates – 0

2014 graduates - 2

Financial Resources

There are no changes to financial resources. The Sport Management program has had two graduate faculty members and those two graduate faculty are now part of the Educational Leadership Department.

Facilities//Equipment/Library/Special Resources

No change.

Program Administration

The graduate program in Sport Management was directed by Jennifer E. Bruening when the program was a part of the Department of Kinesiology. She will continue to serve as program director when the program is moved to the Department of Educational Leadership.

Faculty

Jennifer E. Bruening, Ph.D.
Associate Professor

Dr. Bruening has been a part of the Sport Management program at the University of Connecticut since January of 2002 after spending eight years as an athletic administrator and volleyball coach at Kenyon College in Ohio, including two years as athletic director. She serves as the Director of the Laboratory for Sport Management.

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Laura J. Burton, Ph.D.
Associate Professor

Dr. Burton has been a part of the Sport Management program at the University of Connecticut since August of 2004. Prior to her arrival at UConn, she was a faculty member in the Sport Management program at North Carolina State University from 2002-2004. She serves as undergraduate program director for Sport Management.

Dr. Burton's interests include leadership in athletic administration, how to increase women's representation in leadership positions in the sports industry, and how the experiences of sport participation influences women's career progression in business management and sport management. She currently serves on the editorial board for the Sport Management Education Journal.

Similar Programs in Connecticut or Region

University of Massachusetts – Ph.D. in Management concentration in Sport Management
Temple University – Ph.D. in Business Administration concentration in Tourism and Sport
University of Louisville – Ph.D. in Educational Administration/Educational Leadership concentration in Sport Management

ATTACHMENT 7

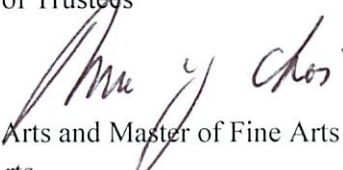


University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
*Provost & Executive Vice
President for Academic Affairs*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Approval of Master of Arts and Master of Fine Arts degrees in Digital Media & Design in the School of Fine Arts

RECOMMENDATION:

That the Board of Trustees approve the Master of Arts and Master of Fine Arts degrees in Digital Media & Design in the School of Fine Arts.

BACKGROUND:

The University of Connecticut seeks to expand its national leadership role in the field of Digital Media & Design through an active agenda of education and research. In furtherance of this goal, at its August 8, 2012, meeting, the Board of Trustees approved the creation of a Digital Media and Design Department, and at their meeting on February 27, 2013, approved two undergraduate degree programs, a Bachelor of Arts and a Bachelor of Fine Arts. The department has now developed two graduate level degrees, a Master of Arts and a Master of Fine Arts, to provide advanced education to students in this growing field.

The advanced studies in Digital Media & Design will emphasize investigation and discovery in four areas of research and academic content; Creative, STEM, Business, and Digital Humanities and Digital Social Sciences. The Master of Arts degree (M.A.) in Digital Media & Design is intended to provide educational training for students interested in a specific area of practice within the Digital Media space. The Master of Fine Arts (M.F.A.) in Digital Media & Design is intended to provide rigorous training and experiential learning opportunities for students interested in a specific area of study within Digital Media. Each program is structured to develop an in-depth understanding of digital media aesthetics, technology, procedures, practice, design, implementation and research techniques, while the MFA will have an emphasis on experiential learning through collaborations with external commercial entities and digital industries and through student participation in funded projects and research grants directed by DMD research faculty.

An Equal Opportunity Employer

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CONSENT CALENDAR

Institution: University of Connecticut

Item: Proposal for M.A. and M.F.A. in Digital Media & Design at the Storrs Campus

Date: April 24, 2013

Background & Description**Introduction**

The Digital Media Alliance of Florida defines digital media as: *"The creative convergence of digital arts, science, technology and business for human expression, communication, social interaction and education."*

The ever-accelerating growth and unique convergence of various digital imaging disciplines has resulted in a major shift in how media is now conceived, produced, delivered, and consumed. The evolution of digital media as a communications and visualization tool in the arts, business, engineering, and the sciences possesses constantly extending borders; it is now distinguishing itself as an important ally in a dynamic and fluid world that is striving to connect with an economically powerful commercial base.

Acknowledging both the tremendous growth in digital imaging as a communications tool and the rapid speed of innovation within digital technology, digital media has emerged as a thriving new sector of creative exploration and employment. A study released to MEDIA WEEK on August 5, 2009 by Arbitron¹ states that about 155 million people in the United States (67% of persons 18 or older) had seen a digital video display in the past month at one or more of 17 types of public venues². Of that total, 76% recalled seeing digital video at multiple venues which include film, television, internet, video games, digital out-of-home advertising, public spaces, and art installations produced by commercial entities whose primary intent was to deliver a message, tell

¹ <http://www.arbitron.com>

² <http://www.highbeam.com/doc/1P1-168721949.html>

a story, promote an idea, trigger a call to action or simply to entertain. Additionally, there are currently:

- 1 billion Facebook users
- 604 million mobile users
- More than 42 million web pages and 9 million apps
- Youtube records 800 million users with 4 billion views per day
- Twitter has 500 million total users
- Google has 400 million registered users with 135 million active daily

“A picture is worth a thousand words” could be the calling card for digital media with animated 2D and 3D media emerging as the preferred presentation/communication/visualization tool for almost every vertical of business today. Through joint involvement with the commercial community, the Digital Media & Design Department at UConn is positioned to build collaborations with public and private end-users throughout the country that will provide opportunities to our university research community, funding sources to support the growth of the Digital Media program, and open the door to important economic development opportunities to the state of Connecticut.

In Connecticut, from August 2010 – July 2012 Career Builder’s Supply & Demand Portal reports 17,166 workers employed in the digital space (creative, business, technology, science) with 736 active candidates seeking positions. At the same time, Career Builder reports 2,501 Job Postings (available positions in Connecticut) being advertised during that same time period. Clearly, there are more openings in the digital space than there are qualified candidates to fill these positions.

In recognition of the emergence of this important new field of endeavor the University of Connecticut Board of Trustees approved the creation of a Digital Media Center in 2010, to facilitate research, continuing education, and outreach to the state and nation. In 2012, The Board of Trustees approved the creation of a new Digital Media & Design (DMD) academic department to educate students in this new technology. This proposal requests that the Board of

Trustees approve two graduate programs, an M.A. and an M.F.A., be offered by the newly-formed DMD Department (21860AA).

Description

By offering these graduate degrees, the University of Connecticut seeks to expand its national leadership role in the field of Digital Media & Design through an active agenda of education and research. The M.F.A and M.A. will be housed in the Digital Media & Design Department, which, along with the University's Digital Media Center, forms a focal point for investigation and discovery in four areas of research and academic content:

- Creative (Ideation, design, development and production)
- STEM (Science, Technology, Engineering, and Mathematics)
- Business (Marketing, Branding, Advertising, ePublishing, and Entrepreneurship)
- Digital Humanities and Digital Social Sciences (Visualization of information and knowledge)

The Master of Arts degree (M.A.) in Digital Media & Design is intended to provide educational training for students interested in a specific area of practice within the Digital Media space. The program is structured to develop a broad understanding of digital media aesthetics, technology, procedures, practice, design, implementation and research techniques employed in the areas of STEM, Business, Digital Humanities/Social Sciences and Entertainment.

The Master of Fine Arts (M.F.A.) in Digital Media & Design is intended to provide rigorous training and experiential learning opportunities for students interested in a specific area of study within Digital Media. The program is structured to develop an in-depth understanding of digital media aesthetics, technology, procedures, practice, design, implementation and research techniques employed in the areas of STEM, Business, Digital Humanities/Social Sciences and Arts/Entertainment. Within the M.F.A. program of study, emphasis is placed on experiential learning through collaborations with external commercial entities and digital industries and through student participation in funded projects and research grants directed by DMD research faculty.

Both the M.A. and M.F.A. graduate degrees will enable students to develop skills and tools they can employ in a variety of research, business, or educational settings facilitating their ability to envision and create high-quality visual communication vehicles, artifacts and methodologies as required by businesses operating in these cutting-edge media spaces.

Reasons for the Proposed Program/Modification/Discontinuation

As explained in the section above, the field of Digital Media is one of the fastest-growing and important areas of human communication and usage in the world today. The areas of science, engineering, medicine, visualization, business, literature, humanities, social sciences, art, entertainment and communications are being transformed by developments in Digital Media at a rapid pace. Because the changes wrought through digital media technology are so new and the demand for digital transformation so acute, there is a huge pent-up need for individuals competently trained to work in the DMD field.

This demand for advanced DMD degree-holders has two aspects: satisfaction of an immense demand from potential employers for appropriately educated individuals, and augmentation, through properly trained practitioners, of the huge on-going technological innovation in this field. Thus, simultaneously with creating a new and exciting area of study for students at the University of Connecticut with attractive employment opportunities upon graduation, the establishment of this DMD degree program will contribute significantly to economic development within the State of Connecticut and the New England/ New York region.

The educational goals of the DMD Masters programs are two-fold:

First, these advanced graduate degrees will provide the business, entertainment, engineering and science business sectors with highly trained leaders in creative communication, visualization, animation, and digital media ideation and production. Students will be trained in skills that qualify them for high and mid-level positions in local, regional, national and international

business, educational institutions, as well as entertainment, engineering, bio-medical, pharmaceutical, advertising, marketing, publishing, communications, and digital production companies. Students will learn from, and collaborate with faculty who are leaders in the industry while participating in experiential learning accelerators serving Connecticut and regionally based companies. Through these experiential learning environments, leaders of Connecticut and regionally based industries will work directly with our students and faculty to identify and solve issues relating to digital media while fulfilling the needs of a commercial and private sector to innovate and evolve new digital media tools, systems and methodologies to be brought to market.

Second, these degrees will offer a rigorous graduate-level setting for students, faculty, and the commercial digital community to explore and deliberate broadly about the effect of digital media on society, culture, politics, and commerce. It will be a place to explore cultural and societal responsibility related to digital media aesthetics and content such that these discussions might influence a greater sense of social responsibility for how digital media is ideated, targeted and disseminated

The cutting-edge Master's programs will meet the needs of students as well as the community of corporations, organizations, and academic institutions who are actively seeking highly accomplished employees and experts in the field.

Curriculum & Program Outline

The M.A. and M.F.A programs both require students to complete a combination of credits across three categories: DMD credits within the department, courses in the students' secondary field taken in a different department, and personalized, independent study courses that allow them to develop high-quality products and projects tailored to their specific interests and professional goals. The credits completed within the department will provide graduate students with an extensive foundation in advanced ideation, design implementation and technical skills. The courses chosen for this foundation will vary according to students' prior experience and knowledge. Their secondary field will be chosen from one of the following areas: Entertainment & Art, Engineering & Science, Business, and the Digital Humanities & Social Sciences. The

students' selection of a secondary field will be determined before they begin the program, and will depend largely upon their previous academic and professional experience as well as the kind of projects, disciplines, and careers they plan on pursuing during and after their studies at UConn.

These two degree programs are different, however, in respect to the distribution of credits across these areas and the amount of credits that must be completed. The program of study for the M.A. requires one year of full-time resident graduate study. A minimum of 30 graduate level credits will be required to graduate, as well as a final project that demonstrates the candidate's personal strengths and vision within his or her specific area of study. M.A. students will complete 12 credits within the department, 9 within their secondary field at a different department, and will devote 9 credits towards independent study and focused research.

The M.F.A in Digital Media & Design will require a two-year commitment of full-time resident graduate study. A minimum of 48 graduate level credits will be required to graduate and the program of study will follow the Graduate School's "Plan B" (no thesis). However, the M.F.A. candidate will work with their main advisor to develop a rigorous "M.F.A. Project" within their specific area of study. Students will be required to complete 12 credits within the department and 9 in their secondary field in a different department. Additionally, they will devote 18 credits towards independent research: 12 will be independent study courses that allow them to develop skills under the guidance of faculty members in their field, and 6 will be dedicated specifically to the completion of their M.F.A. project.

The complete course listings and curriculum associated with each degree program can be found in Appendix I.

Learning Outcomes

Every student who graduates with an M.F.A. or M.A. should be able to demonstrate a proficiency in certain advanced skills or knowledge application within the field. These include:

-Demonstration of an intensive, multidisciplinary approach to the practice of digital media and design, drawing from history and culture of digital media, 2D and 3D animation practice, interactive media design, and digital game theory and implementation.

-Demonstrate a deep intellectual and philosophical commitment to the digital concentration selected by the student including the ability to ideate, conceive, produce, test, critique, and implement digital media programs, artifacts and systems that contribute to a deeper understanding of digital practices within the students specific area of interest;

-A strong understanding of criticism, theory, and history as it relates to digital culture and digital production that informs the student's work as a maker, developer and director of digital disciplines.

-A thorough familiarity with the industry and its best practices today, fostered by experiential and studio learning environments, intensive ideation and production classes, and by collaborating in a research environment alongside faculty members and visiting lecturers, artists, and guests with extensive experience in the digital media field.

Additionally, M.A. students will develop a broad set of skills within a particular field (selected from the categories of Entertainment & Art, Engineering & Science, Business, or the Digital Humanities & Social Sciences) through guided research and independent study under the guidance of a faculty member of DMD or a department related to their area of interest. The MA program of research will serve to better prepare students for the job market connected to the field more broadly, and their area of expertise more specifically.

M.F.A. candidates will also work in a secondary field under the category of Entertainment & Art, Engineering & Science, Business, or the Digital Humanities & Social Sciences. They will undertake a large-scale project that will be the product of working extensively with an advisor either in the DMD department or in the department associated with their secondary field and area of expertise. This project will combine cutting-edge technical skills and digital platforms with a profound familiarity with their secondary field and content drawn from this area of expertise.

M.F.A. students will graduate with a an extensive portfolio, a number of real-world collaborative research projects, and a competitive reel that showcases their area of study. They will be equipped with the intellectual, artistic and business management practices that can give them better access to the digital media job market, as well as to competitive PhD programs or teaching opportunities in the field.

The metrics used to analyze mastery of these expected outcomes within individual courses will be developed by the DMD and allied faculty. The methods of assessment of mastery of these learning outcomes may include achievement of individual project goals, completion of individual or group projects at a level in consonance with the expectations of a faculty member or a non-university entity for which the student(s) has (have) been working, and demonstrated knowledge by ability to create digital artifacts in real-time on tests. Finally, job placement upon graduation will be a relevant metric gauging success of our programs while being useful for program promotion and recruiting.

Enrollment & Graduation Projections

The Digital Media & Design department proposes to initially support six (6) MFA candidates and up to six (6) MA candidates on an annual basis. It would be our hope that as the department grows and expands we could ultimately accommodate approximately eighteen (18) MFA candidates and twenty-four (24) MA candidates on an annual basis.

Financial Resources

The graduate degree programs in Digital Media & Design will be fully supported by the so-called “Ledger 2” funds, consisting of General Fund or Student Tuition Fund monies. While future offerings in Digital Media & Design through Certificate programs may be fee-funded, the graduate degree program entire budget is based on Ledger 2 monies.

The budget for the DMD Degree Program for Storrs only appears in Appendix II.

Faculty	\$1,327,808.00
Graduate assistance	\$ 213,654.00
Equipment	\$ 38,900.00
Contractual	\$ 69,400.00
Commodities	\$ 17,510.00
TOTAL	\$1,667,272.00

Facilities//Equipment/Library/Special Resources**Facilities, technology, and other resources for Digital Media for the Storrs Programs**

The Digital Media Program, as the name implies, is heavily dependent on technology for teaching, research and experiential learning opportunities for students. The Digital Media & Design department is spread through a few spaces across the Storrs campus.

Currently at Storrs the faculty and staff have offices in the School of Business, School of Fine Arts, and Bishop Center. There are 3 computer labs, two in the new Bishop Center space and one in the Art Building room 228. The department is building upon its server cluster for video rendering. Lastly, the students have access to a number of video and audio technologies used in a number of classes for projects and experiential learning.

Computer Labs. It is the eventual goal for the department to require students to come prepared with appropriate laptop technology and be able to access centrally hosted software and virtual services. While the department has grown, however, we have needed to outfit 3 computer labs in Storrs, as well as one in Stamford. The 3 Storrs labs combined have 35 Apple computers and 17 PC computers. All the machines are at an advanced configuration level due the extreme demands that video and animation software place on them. In addition to the lab machines themselves, the classrooms have high performance video projectors and high definition media servers for use in teaching projection design topics. One of the labs is also outfitted with a Smart board and a color laser printer. The Stamford Digital Media Lab has 19 Apple computer stations, advanced configuration levels, large format video monitor, a Smartboard and laser printer.

Innovation Lab. The new Storrs Bishop Center space also has a small lab for students and faculty to work on cutting edge experiential learning projects. This lab is outfitted with multiple computers, projectors, and plasma screens. It is this space that is used to house a number of the video cameras, audio components, and other items used by the agency classes and other digital projects.

Render Farm. 2D and 3D animation and video compositing rely heavily on high performance computers to “render” video. The creation of images from computer models can be extremely demanding on systems. Our current cluster consists of 15 Dell Server nodes, as well as aging Angstrom rendering farms that were donated from a corporate partner. We have recently added a modular expandable GPU based high performance compute system that will greatly enhance our ability to offer rendering for student projects, research, outreach, and experiential learning. In addition, our labs serve as backup nodes that can be used during off hours.

Program Administration

The Digital Media & Design (DMD) M.F.A. and M.A. programs will be administered through the Department of Digital Media & Design, located within the Schools of Fine Arts and Business. The Department Head, Professor Tim Hunter, and the Digital Media Center’s Administrative Coordinator will be responsible for the academic and operational activities of the department and its majors. The DMD labs and equipment utilization will be supervised by Extension Instructor and Associate Department Head Michael Vertefeulle.

The department head of the DMD Department will have responsibility and authority with respect to the following aspects of the program:

- a. All new/modified courses will be approved by the curriculum committee of the DMD department, the Curriculum and Courses Committee of the School of Fine Arts (SFA) and the SFA faculty at large through presentation at the faculty meeting.
- b. Faculty administration and governance including performance evaluation will be under the authority and responsibility of the DMD department head.
- c. All teaching assignments of the program will be the authority and responsibility of the DMD department head.

- d. All academic policies and issues related to the program will be guided by relevant University policies and fully ratified by the DMD department faculty and SFA faculty, where appropriate.

The DMD Department, with assistance and guidance from the Dean of the School of Fine Arts, will be responsible for the following matters relating to the program:

- a. All recruitment initiatives and admission decisions of the program;
- b. Scheduling of all courses and registration of students;
- c. Counseling of students and guiding them with the requirements of the major leading to graduation;
- d. All routine matters relating to student governance (organizing open houses, meeting during open houses, attendance in classes, conduct in the classroom, transfer credits, routine grading issues, payments of fees and any adjustments thereof, work study, etc.)
- e. All internships and placement services;
- f. All promotional and advertising programs and campaigns of the major among business community;
- g. Arrange visits by business professionals to the classes, and visits by students to regional businesses;
- h. Maintain regular contact and liaison with Digital Media businesses and professionals throughout the State of Connecticut and nation, to ensure the relevance of the major to the society, and prepare students for careers in the digital media field.

Faculty

At present, there are four full-time faculty members of the Digital Media & Design Department, which also has three adjunct faculty. The DMD department is in process on four (4) additional searches that are scheduled to join the department August 2013. Since the Digital Media program at the University of Connecticut is truly interdisciplinary program, much of the teaching in the major and research in the field will be accomplished through collaborations with faculty from other departments within the School of Fine Arts, and across the university. At present, we

are proposing to offer thirteen (13) joint faculty appointments between other departments within the university, and the DMD department. Further growth in hiring is likely to occur as enrollments in the DMD majors expand in size at Storrs and extends to Stamford. Appendix III contains a list of all present faculty members, whether full-time within the DMD department, adjunct or potential joint appointments.

Similar Programs in Connecticut or Region

One of the most exciting aspects of the proposed graduate degree programs, and of the DMD department more generally, is that they present the opportunity for a unique and exciting new approach to the field both in the state and in the region. While there are advanced degree programs that contain some of the same goals and content, there is nothing as thorough and dynamic as the programs being proposed here. Below is a list of some of the digital media and arts graduate degrees that can be found in the state and in the region:

- Quinnipiac University (M.S. in Interactive Media, School of Communications)
- Sacred Heart University (M.A. in Communication)
- Rhode Island School of Design (M.F.A. in Digital Arts, Digital Arts Department)
- Rhode Island College (M.A. in Media Studies, Art Department)
- New York University (M.P.S., Interactive Telecommunication Program, Tisch School of the Arts)
- New York University (M.S. in Advanced Digital Applications, School of Continuing and Professional Studies)
- Western CT State University (Digital Media program – proposed 2014)

In the state of Connecticut, there are no departments that focus specifically on digital media and design that include all four areas of study that we do: STEM, Business, Creative, and Digital Humanities & Digital Social Sciences. The graduate degrees shown above and housed in universities in Connecticut are either specifically for the journalism industry (Sacred Heart University) or online programs with a focus on entertainment and business (Quinnipiac

University) and do not provide paths into other fields such as visualization for scientific, arts, and humanities disciplines.

Outside the state of Connecticut and in the immediate region, there are master's degree programs that contain some of the same goals and content, but there is nothing as thorough and dynamic as the one being proposed here. The Rhode Island School of Design (RISD) offers an excellent M.F.A. in Digital Arts, but it is very specific to the art world and to the creation of artistic works on digital platforms. New York University (NYU) offers a few masters programs that are very relevant to the field as well: one is the highly competitive Interactive Telecommunications Program (ITP) housed in the Tisch School of Arts, another is the M.S. in Advanced Digital Applications at the NYU School of Continuing and Professional Studies. Again, these are both quite specific: ITP is geared towards creating artistic telecommunications projects in small collaborative groups, and the M.S. degree provides an array of technical classes, largely in 2D and 3D visual techniques, to enable its graduates to enter the entertainment industry. There are of course commonalities between such programs and the goals of the DMD M.F.A. and M.A. programs, but none of them is as complete as the one proposed here. In other words, while they provide very specific technical skills (usually for entrance into the world of arts and entertainment), we allow students to delve into and choose from a wider range of topics within which to create digital projects (Entertainment & Art, Engineering & Science, Business, and the Digital Humanities and Social Sciences). Our students will be able to collaborate with co-advisors in these fields and explore the vast realm of digital creativity in partnership with the data, research, and ideas that come from other disciplines. We are also committed to giving our students the tools to analyze the effects of digital media in our society and in these various disciplines, giving them a rigorous education that encompasses not only artistic and technical skills, but also complex understandings of the role digital media and design play in their fields.

APPENDIX I A PLANS of STUDY

PLAN OF STUDY: M.F.A. - Digital Media & Design

SCHOOL OF FINE ARTS

2013-2014 Catalog

MFA in DMD

Degree plan must reflect 48 successfully completed credits

(Excluding Pass/Fail courses).

DMD Common Courses, 18 credits from:

DMD 5010 Digital Culture
DMD 5070 Web Design
DMD 5030 Narrative Workshop
DMD 5050 Visible Language
DMD 5090 Student Agency
DMD 5200 Motion Graphics I
DMD 5210 Moving Image & Sequence
DMD 5201 Motion Graphics II
DMD 5205 History of Animation
DMD 5211 Experimental & Alternative Techniques
DMD 5220 Broadcast Graphics & Title Sequence
DMD 5230 Cinematic Storytelling
DMD 5300 3D Animation I

DMD 5310 Modeling I
DMD 5320 Lighting & Rendering 1
DMD 5301 Animation 2
DMD 5305 History of Computer Graphics
DMD 5311 Modeling, Lighting & Rendering 2
DMD 5350 Simulations
DMD 5315 Character Rigging
DMD 5340 Compositing For Visual Effects
DMD 5500 Intro to Digital Game Design
DMD 5530 Virtual World & Simulations
DMD 5522 Stories in Video Games
DMD 5560 Advanced Digital Game Design & Development

Secondary Field Courses (12 credits):

From department of choice within the student's area of expertise (Arts & Entertainment, Engineering & Science, Business, CANR, or Digital Humanities & Digital Social Sciences)

Independent Study for Research (12 credits):

Taken with faculty member of either DMD or the department affiliated with student's secondary field

Final Project Development (6 credits)

In the form of independent study directed by student's advisor, who will be a faculty member either of DMD or the department affiliated with student's secondary field

**APPENDIX I B
PLANS of STUDY**

PLAN OF STUDY: M.A. - Digital Media & Design

SCHOOL OF FINE ARTS

2013-2014 Catalog

MA in DMD

Degree plan must reflect 30 successfully completed credits

(Excluding Pass/Fail courses).

DMD Common Courses, 12 credits from:

DMD 5010 Digital Culture
DMD 5070 Web Design
DMD 5030 Narrative Workshop
DMD 5050 Visible Language
DMD 5090 Student Agency
DMD 5200 Motion Graphics I
DMD 5210 Moving Image & Sequence
DMD 5201 Motion Graphics II
DMD 5205 History of Animation
DMD 5211 Experimental & Alternative Techniques
DMD 5220 Broadcast Graphics & Title Sequence
DMD 5230 Cinematic Storytelling
DMD 5300 3D Animation I
DMD 5310 Modeling I

DMD 5320 Lighting & Rendering I
DMD 5301 Animation 2
DMD 5305 History of Computer Graphics
DMD 5311 Modeling, Lighting & Rendering 2
DMD 5350 Simulations
DMD 5315 Character Rigging
DMD 5340 Compositing For Visual Effects
DMD 5500 Intro to Digital Game Design
DMD 5530 Virtual World & Simulations
DMD 5522 Stories in Video Games
DMD 5560 Advanced Digital Game Design & Development

Secondary Field Courses (9 credits):

From department of choice within the student's area of expertise (Arts & Entertainment, Engineering & Science, Business, CANR, or Digital Humanities & Digital Social Sciences)

Independent Study for Research (9 credits):

Taken with faculty member of either DMD or the department affiliated with student's secondary field

APPENDIX II

Digital Media & Design Department Budget for the Majors

FACULTY LINES (ANNUALLY)

NAME	POSITION	SALARY	FRINGE (41.9%)	TOTAL
Tim Hunter	Faculty salary	\$190,000.00	\$79,610.00	\$269,610.00
Tim Hunter	Dept. Head salary	\$21,000.00	\$8,799.00	\$29,799.00
Perry Harovas	Faculty salary	\$120,000.00	\$50,280.00	\$170,280.00
Samantha Olschan	Faculty salary	\$95,000.00	\$39,805.00	\$134,805.00
Mike Vertefeuille	Ext. Instructor/IT/AssocDH	\$162,735.00	\$68,185.97	\$230,920.97
Stacy Webb	DMC Admin. Coordinator	\$57,000.00	\$23,883.00	\$80,883.00
			Sub-total:	\$916,297.97
New Positions Fall 2013 (ANNUALLY)				
Fall 2013	Interactive Media	\$70,000.00	\$29,330.00	\$99,330.00
Fall 2013	Digital Game Design	\$70,000.00	\$29,330.00	\$99,330.00
Fall 2013	Digital History&Anthropoly	\$70,000.00	\$29,330.00	\$99,330.00
Fall 2013	Intro to DM/Marketing	\$80,000.00	\$33,520.00	\$113,520.00
			Sub-total:	\$411,510.00
Full-Time Graduate Assistantships				
2 GA's – Creative Concentration		\$71,218.00 each		\$142,436.00
1 GA's - STEM Concentration		\$71,218.00 each		\$ 71,218.00
2 GA's - Business Concentration		\$71,218.00 each		\$142,436.00
1 GA's - Digital Humanities and Digital Social Sciences Concentration		\$71,218.00 each		\$ 71,218.00
			Sub-total:	\$427,308.00
Contractuals				
Advertising				\$5150
Printing & Binding				\$3,090
Dues; Ind, Civic				\$2,060
Freight				\$1,000
Phone - Usage				\$424
Phone - basic 20 lines @ \$41.65				\$858
Phone - One time chg				\$412
Gen. Repairs				\$5,150
Sundries				\$1,030
Nathan Hale Inn				\$3,605
Catering				\$2,060
Fees Outside Professionals				\$10,300
Postage				\$2,060
Educational Software Lic				\$8,240
In State Travel				\$5,150
Out State Travel				\$12,360
Subscriptions				\$412
			Sub-total:	\$63,391
Commodities				
Office Supplies				\$3,090
Educational Supplies				\$3,605
Data Proc. Supplies				\$5,150
Promo Supplies				\$2,575
Repair Materials				\$3,090
			Sub-total:	\$17,510
Equipment				
Equipment <\$5000 - NO Computers				\$5,000
Equipment <\$5000 - Computers				\$20,000
Equip<\$1000				\$5,000
Computer Software				\$8,000
			Sub-total:	\$38,000
			Total:	\$1,874,016.97

**APPENDIX II
DMD FACULTY****Departmental Faculty, Faculty Joint-Appointments (proposed), and Staff****Departmental Faculty:**

- Tim Hunter - Department Head & Director, Digital Media Center
- Mike Vertefeuille – Associate Department Head, Extensions Instructor: Technology Systems Integration and Development, Technology & Operations Management
- Samantha Olschan - In-Res appt.: 2D Motion Graphics, Student Agency, History of DM
- Perry Harovas - In-Res appt.: 3D Animation, DM Ideation, DM Production
- Search Underway- Assistant Professor, Game Design & Development
- Search Underway- Assistant Professor, History & Anthropology of Digital Culture
- Search Underway- Assistant Professor, Interaction Design
- Search Underway- Assistant Professor-In-Residence, Digital Graphic Design/Marketing
- Matthew Worwood: Adjunct Faculty, Game Design and Development
- Andrew Bacon: Adjunct Faculty, Web Design
- William Congdon: Adjunct Faculty, Student Agency

Staff Appointments

Stacy Webb – Digital Media Center Administrative Coordinator

Proposed Joint Appointments:

- Michael Anania - SFA, Department of Dramatic Arts: PhotoShop Design
- Roger Travis - CLAS, Department of LCL: Digital Humanities
- Anke Finger - CLAS, Department of LCL: Media Studies
- Kristine Nowack - CLAS, Department of Communication Science (Head): Computer Media and Human Computer Interaction
- James Watt - CLAS, Professor Emeritus, Department of Communication Sciences: Game Design and Construction
- Alex Wang - CLAS (Stamford Campus) - Department of Communication Sciences: Digital Marketing and Perception
- Robin Coulter - Business, Department of Marketing (Head): Marketing
- Susan Spiggle - Business, Department of Marketing: Digital Marketing and Student Agency
- Tom Peters - Engineering, Department of Computer Science: Visualization
- Aggelos Kiayias – Engineering, Department of Computer Science: Visualization
- Horea Ilias - Engineering, Department of Mechanical Engineering: Interactive Technology
- Tim Gifford – CHIP: 3D Visualization & Sensor Programs

ATTACHMENT 8

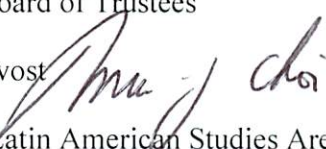


University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
*Provost & Executive Vice
President for Academic Affairs*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Renaming of the Latin American Studies Area of Concentration in the International Studies Field of Study to Latino and Latin American Studies in the Graduate School

RECOMMENDATION:

That the Board of Trustees approve the renaming of the Latin American Studies Area of Concentration in the International Studies Field of Study to Latino and Latin American Studies in the Graduate School.

BACKGROUND:

On July 1, 2012, the University of Connecticut inaugurated El Instituto: Institute of Latina/o, Caribbean and Latin American Studies, a new research institute in the College of Liberal Arts and Sciences. The Institute is a merger and revision of all the constituent elements of the former Center for Latin American and Caribbean Studies (est. 1974) and the former Institute of Puerto Rican and Latino Studies (est. 1994).

UConn's Center for Latin American and Caribbean Studies (CLACS) began offering an M.A. degree in 1981. Since then, hundreds of students have graduated and gone on to work in education, administration, cultural, business, government, and not-for-profit organizations, and many go on to pursue a Ph.D. and other advanced degrees. Over half of CLACS' M.A. students have taken courses and conducted research in both U.S. Latina/o Studies and Comparative Transnational Latin(o) American Studies. The addition of the word "Latino" to the concentration title acknowledges that fact and more accurately reflects the study plan and content of the graduate degree to future applicants and students. The modified name also recognizes the institutional integration of CLACS and IPRLS.

No other substantive changes are being made to the MA program.

An Equal Opportunity Employer

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web: www.uconn.edu

CONSENT CALENDAR

Institution: University of Connecticut

Item: Renaming the Latin American Studies Area of Concentration in the International Studies Field of Study to Latino and Latin American Studies

Date: April 24, 2013

Background & Description

On July 1, 2012 the University of Connecticut inaugurated El Instituto: Institute of Latina/o, Caribbean and Latin American Studies, a new research institute in the College of Liberal Arts and Sciences. The institute is a merger and revision of all the constituent elements of the former Center for Latin American and Caribbean Studies (est. 1974) and the former Institute of Puerto Rican and Latino Studies (est. 1994).

Offering BA and MA degrees grounded in both traditional disciplinary and interdisciplinary methodologies, El Instituto is at the forefront of new ways of thinking about hemispheric Latina/o diasporas, U.S. Latina/os, Latin American and Caribbean societies and U.S./Latin American relations related to coloniality, race, migration, education, media, economics, health, cultural studies and human rights. El Instituto provides a central place for research, scholarship, and academic programs uniting over 70 scholars at the University of Connecticut. It also offers linkages to local, regional, national and hemispheric academic communities and areas of investigation with a historical research focus on the life of Latino and Puerto Rican communities in New England.

Reasons for the Proposed Program/Modification/Discontinuation

UConn's Center for Latin American and Caribbean Studies (CLACS) began offering an MA degree in 1981. Since then hundreds of students have graduated and go on to work in education, administration, cultural, business, government, and not-for-profit organizations, and many go on to pursue a Ph.D. and other advanced degrees. In the past decades over half of CLACS's MA students have taken courses and conducted research in both U.S. Latina/o Studies and Comparative Transnational Latin(o) American Studies. The addition of the word "Latino" to the MA's concentration title acknowledges that fact and moves to accurately reflect the study plan and content of the graduate degree to future applicants and students. The modified name also recognizes the institutional integration of CLACS and IPRLS.

No other substantive changes are being made to the MA program.

Curriculum & Program Outline

Plan B: Course Work, Paper, and Presentation (*recommended plan of study)

In addition to course work and a final presentation, students electing the Plan B, non-thesis option, complete an independent study paper. This paper is completed under the direction of a first reader, a faculty member who may also (but need not be) the student's major advisor; two additional faculty members (one of whom can be non-UConn faculty) will serve as second and third readers of the Plan B paper. Students receive 3 credits for this paper, enrolling in LAMS 5890 with the first reader as the instructor of record. Consistent with the phrasing in the Graduate Catalog concerning Plan B Master's degrees, the paper should demonstrate "comprehensive understanding of a more general character" of the paper topic.

Language Proficiency

Candidates for the MA in Latin American Studies must demonstrate advanced proficiency in Spanish and/or Portuguese to complete the degree requirements.

Learning Outcomes

In the Master's program in Latina/o & Latin American studies at *El Instituto*, students engage in interdisciplinary study and research related to Latina/o, Caribbean, and Latin American worlds. *El Instituto* consists of 10 core faculty and is supported by over 70 affiliated research faculty and scholars across disciplines and departments throughout the university. *El Instituto's* faculty research and courses examine a wide array of local, hemispheric, and global dimensions of the Latina/o, Caribbean, and/or Latin American condition. Our graduates go on to work in education, administration, cultural, business, government, and not-for-profit organizations, and many go on to pursue a Ph.D. and other advanced degrees.

Enrollment & Graduation Projections

-Our MA program typically admits 3-4 students per year and also graduates 3-4 per year.

Financial Resources

-The MA program is supported through CLAS, which provides funding for a number of graduate TA position each year. Additionally, El Instituto has a number of endowed funds that support research, travel, and other related expenses for graduate study (Mead, Whetten, Mahan funds). El Instituto was recipient of a three-year \$30,000 Tinker Field Research Grant. We will reapply for another round of funding when eligible next academic year.

Facilities//Equipment/Library/Special Resources

El Instituto

The MA program is housed at El Instituto (2nd floor, Ray Ryan Bldg) and has office, meeting, and event spaces for our graduate students.

Library & Archives

El Instituto recently signed and MOU with the Library, bringing each unit's resources into closer collaboration.

Homer Babbidge Library, with total holdings of over 2,000,000 volumes and subscriptions to nearly 17,000 journals, has a well-balanced collection for the study of Latin American and Caribbean Studies. The library acquired not only materials written in English but also acquired Spanish language materials from Mexico, Central America, the Caribbean and South America. It also acquired films and documentaries (both in English and Spanish) that document socioeconomic, cultural and political conditions in Latin America and the Caribbean. This collection is complimented by the Human Rights films collection also housed at the library.

Archives and Special Collections at the Thomas J. Dodd Research Center houses internationally-significant collections of rare books, personal papers and periodicals from Latin America, the Caribbean and Spain. Politics, commerce, social life, and other Latin American and Caribbean affairs of the 19th and 20th centuries are covered in over 2000 titles of the Latin American Newspapers Collection. Of special note are the Medina and Puerto Rican Collections, and a rich collection of Mexican pamphlets and broadsides. The approximately 2700 volumes of the Chile Collection reflect the history and politics of Chile from the 16th century through 1940 with particular strength in the period from 1810 to 1940.

El Instituto also benefits from the support of Marisol Ramos, the Library Liaison to Latin American & Caribbean Studies, Puerto Rican & Latino Studies, Spanish, and Anthropology & Curator of the Latin American and Caribbean Collections.

Program Administration

-the MA program is administered by El Instituto's director, Mark Overmyer-Velázquez; Associate Director, Anne Gebelein; and Administrative Assistant, Anne Theriault in addition to a Graduate Committee comprised of members of El Instituto's core faculty.

Faculty**Core Joint Faculty**

Mark Overmyer-Velázquez, Director

Associate Professor, History

Jorge Agero

Assistant Professor, Economics (starts fall 2013)

Marysol Asencio

Professor, Human Development and Family Studies

Odette Casamayor Cisneros

Assistant Professor, Literatures, Cultures and Languages

Anne Gebelein

Associate Director

Samuel Martinez

Associate Professor, Anthropology

Daisy Reyes

Assistant Professor, Sociology (starts fall 2013)

Xae Alicia Reyes

Professor, Neag School of Education

Diana Rios

Associate Professor, Communication Sciences,

Charles Venator Santiago

Assistant Professor, Political Science

*For list of El Instituto's Affiliate Faculty see <http://www.elin.uconn.edu/faculty/affiliate-faculty.php>

Similar Programs in Connecticut or Region

-El Instituto houses the only MA program in Latino and Latin American Studies in Connecticut. There are recently developed MA programs in Latin American Studies at Boston University, New York University and Colombia University.

ATTACHMENT 9

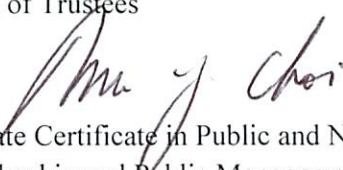


University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
*Provost & Executive Vice
President for Academic Affairs*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Division of the Graduate Certificate in Public and Nonprofit Management into Nonprofit Management and Leadership and Public Management

RECOMMENDATION:

That the Board of Trustees approve the division of the Graduate Certificate in Public and Nonprofit Management into Nonprofit Management and Leadership and Public Management in the College of Liberal Arts and Sciences.

BACKGROUND:

The Department of Public Policy seeks approval to split their existing Graduate Certificate in Public and Nonprofit Management into its two substantive entrepreneurial components: Graduate Certificate in Nonprofit Management and Graduate Certificate in Leadership and Public Management. Public Policy will convert the Graduate Certificate in Nonprofit Management to on-line course delivery, while the Graduate Certificate in Leadership and Public Management will be face-to-face.

UConn's Nonprofit Leadership Program (NLP) joined the Department of Public Policy in 2012. The NLP is expected to provide a substantial recruiting advantage for the Graduate Certificate in Nonprofit Management. Public Policy is acting in partnership with the State Department of Administrative Services (DAS) to promote the Graduate Certificate in Leadership and Public Management and the existing Master of Public Administration (MPA) program as well.

Both graduate certificates will be converted to the "entrepreneurial" model where revenue is distributed back to the College of Liberal Arts and Sciences. Narrowing our focus to two specific and distinct areas makes the most sense for entrepreneurial versions.

The Board of Trustees approved the original graduate certificate September 8, 2004. The original graduate certificate name was Graduate Certificate in Nonprofit Management. The name was later changed to Graduate Certificate in Public and Nonprofit Management.

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CONSENT CALENDAR

Institution: University of Connecticut

Item: Divide the Public and Nonprofit Management Graduate Certificate Program into (1) Nonprofit Management and (2) Leadership and Public Management

Date: April 24, 2013

Background & Description

We would like to split our existing Graduate Certificate in Public and Nonprofit Management into its two substantive components: Graduate Certificate in Nonprofit Management and Graduate Certificate in Leadership and Public Management. Both of these will now be entrepreneurial certificates.

The Graduate Certificate in Public and Nonprofit Management is located in the Department of Public Policy (DPP) in the College of Liberal Arts and Sciences. The Department of Public Policy will convert the Graduate Certificate in Nonprofit Management to on-line course delivery. The Graduate Certificate in Leadership and Public Management will remain face-to-face delivery.

Reasons for the Proposed Program/Modification/Discontinuation

The Graduate Certificate Program in Public and Nonprofit Management was first offered in the Fall of 2004. The original name was Graduate Certificate was Nonprofit Management. In 2006, we requested the name change to Public and Nonprofit Management. The purpose of that name change was to broaden its appeal. This decision and was based on student interest in both public and nonprofit management.

We now are developing two entrepreneurial programs. One program is designed to serve nonprofit managers with on-line delivery that will be convenient for individuals who are currently employed and allow our reach to extend beyond the local Hartford area. The other program is with the State of Connecticut to provide training and skill development in public management and analysis for state employees. Given that there are now two distinct areas of marketing for the existing certificate, and the entrepreneurial funding, we are requesting to split the current certificate in two distinct certificates.

Curriculum & Program Outline

The Graduate Program in Nonprofit Management is made up of a four course sequence. Students typically take PP 5323 Leadership and Management of Nonprofit Organizations; PP 5318 Financial Management for Public and Nonprofit Organizations; PP 5324 Resource Development for Nonprofit Organizations; and PP 5319 Program Development and Evaluation.

The Graduate Program in Leadership and Public Management will also be a four course sequence. Students will be State employees in diverse areas, so we will provide flexibility to meet their needs. However, the typical course sequence will be: PP 5361 Theory of Public Organizations; PP 5364 Public Finance and Budgeting; PP 5365 Human Resource Management; and an elective course such as PP 5317 Capital Financing and Budgeting, PP 5340 Public Investment Analysis, or PP 5327 Analysis for Management Decision-Making.

Learning Outcomes

The educational objectives of the Graduate Certificate Program in Nonprofit Management are to prepare students by education, outlook, and commitment for leadership positions in nonprofit organizations. Students in the nonprofit management certificate program will undergo a rigorous course of study in a sub-area of public policy and management designed to develop the skills necessary to manage, lead, and conduct effective organizational change.

Nonprofit organizations are private institutions that serve a public purpose. They are self-governing organizations, separate from governments, with their own internal procedures for governance. Unlike private businesses, nonprofit organizations are not dedicated to earning a profit for their owners.

Students completing the course of study should be equipped with the following skills and competencies important for leading nonprofit organizations. These competencies include: the ability to lead an efficient and effective organization; an understanding of financial systems and the ability to acquire revenue; the ability to get the greatest benefit from boards of directors and volunteers; knowledge of how to track and evaluate performance; and the skills to maintain necessary accountability systems.

The learning outcomes for the Graduate Certificate Program in Leadership and Public Management include the ability to diagnose problems, collect and analyze information, plan, choose among alternatives, communicate findings, implement programs, and manage change.

The Graduate Certificate Program in Leadership and Public Management will help students reach their professional goals by preparing them to meet the challenges they will face in an increasingly complex, demanding, interdependent world. Students will emerge from the Graduate Certificate Program in Leadership and Public Management ready and able to employ the theories, methods, and practices of public policy and administration to solve critical problems facing the State.

Enrollment & Graduation Projections

We expect both programs to enroll approximately 25 individuals. We expect students to take one to two classes per semester and therefore graduate in two to four semesters of coursework.

UConn's Nonprofit Leadership Program (NLP) joined the Department of Public Policy last summer. The transition has been seamless and the NLP has become an active and integrated member of DPP. The NLP has several well developed initiatives that provide noncredit training,

conferences, data dissemination, and workforce development for nonprofit organizations. This has led to an extensive network and infrastructure, such as an advisory council, that are currently in place. We expect the NLP will provide a substantial recruiting advantage for the Graduate Certificate Program in Nonprofit Management.

We are acting in partnership with the State Department of Administrative Services (DAS) to promote the Graduate Certificate in Leadership and Public Management and the existing Master of Public Administration (MPA) program as well. The DAS will help identify State departments and agencies to participate in the program.

Financial Resources

Both graduate certificates will be converted to the “entrepreneurial” model where revenue is distributed back to the College of Liberal Arts and Sciences. Narrowing our focus to two specific and distinct areas makes the most sense for entrepreneurial versions. Nonprofit managers are underserved nationwide and we are poised to attract students nationally (and perhaps internationally) by converting to on-line course delivery. We expect our partnership with DAS will allow us to attract State employees that will be funded by their agencies.

Facilities//Equipment/Library/Special Resources

The Graduate Certificate Program in Nonprofit Management will take advantage of the services offered by eCampus to convert classes to on-line delivery.

Program Administration

The Program is located in the Department of Public Policy in the College of Liberal Arts and Sciences. The MPA faculty will provide academic oversight for both certificates as they have for the past eight years for the current Public and Nonprofit Management version. The MPA faculty include Bill Simonsen, Mark Robbins, Amy Donahue, Erin Melton, Beth Neary, Deneen Hatmaker, and David Garvey.

Bill Simonsen, MPA Director, has also served as Director of the Graduate Certificate in Public and Nonprofit Management. He will continue to serve as Director of the Graduate Certificate Program in Leadership and Public Management. David Garvey, Director of the NLP, will serve as Director of the Graduate Certificate Program in Nonprofit Management after the conversion to on-line delivery.

Faculty

The faculty teaching in the both certificate programs will be decided by the Department of Public Policy and will be a mix of tenured and tenure track faculty and adjunct faculty. Regular tenured or tenure-track faculty who will likely teach in the Graduate Certificate Program in Nonprofit Management include Mark Robbins and Erin Melton. David Garvey, Director of the Nonprofit Leadership Program has taught in the existing program. Adjunct faculty who have taught in the existing program include Jane Lennox and Dawn Bryant. Jane Lennox has served the nonprofit

and foundation community as a staff member, consultant, and volunteer for over 35 years and currently serves as Chief Development Officer at Clarke Schools for Hearing and Speech. Dawn Bryant, CPA, specializes in nonprofit organizations and is the Audit Manager for the firm, Viola, Chrabasz, Reynolds & Co., LLP Certified Public Accounts. Faculty who are likely to teach in the Graduate Certificate Program in Leadership and Public Management include Mark Robbins, Erin Melton, Deneen Hatmaker, and Bill Simonsen.

Similar Programs in Connecticut or Region

Department of Higher Education searchable database suggests Post University has a Graduate Certificate in Non-Profit Management (On-line and face –to-face). The University of New Haven has a Graduate Certificate in Public Management. Post University has a Graduate Certificate in Leadership (On-line and face-to-face).
<<http://www.ctohe.org/heweb/ProgramSearch.asp>>

ATTACHMENT 10



University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
*Provost & Executive Vice
President for Academic Affairs*

April 24, 2013

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Location Modifications to Undergraduate Degrees at the Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the Location Modifications to Undergraduate Degrees at the Regional Campuses.

BACKGROUND:

As the University of Connecticut continues to expand its undergraduate academic degree programs and increase student enrollment, a need has emerged to offer some of the degree programs currently available only in Storrs, or at a limited number of regional campuses, at each of our regional campuses and online. Acting on this need now will provide greater flexibility to both our current and future students.

Over the last decade, the majority of our regional campus students have taken classes both at their primary regional campus and at other regional campuses and/or Storrs. These location modifications will give our students more flexibility to stay at their regional campus, transfer to Storrs, and/or return back to regional campuses both for face-to-face classes and online opportunities. This initiative will eliminate paperwork and unnecessary delays for our regional campus students.

All regional campuses have library facilities, and our online library capacity has greatly expanded over time. Moreover, our faculty capacity is expanding in most of these areas.

The following eighteen undergraduate majors have been identified for this process at the locations indicated in parentheses:

Anthropology (All Regional Campuses, Online)

Biological Sciences (All Regional Campuses, Online)

Business Administration (Avery Point, Online)

Business & Technology (Avery Point, Online)

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Chemistry (All Regional Campuses, Online)

Communication (All Regional Campuses, Online)

Economics (Avery Point, Torrington, Waterbury, West Hartford, Online)

Environmental Science (All Regional Campuses, Online)

Environmental Studies (All Regional Campuses, Online)

History (Avery Point, Torrington, Waterbury, West Hartford, Online)

Human Development & Family Studies (Avery Point, Online)

Journalism (All Regional Campuses, Online)

Mathematics (All Regional Campuses, Online)

Political Science (Avery Point, Torrington, Waterbury, West Hartford, Online)

Psychology (Avery Point, Online)

Sociology (All Regional Campuses, Online)

Speech, Language and Hearing Sciences (All Regional Campuses, Online)

Urban & Community Studies (Avery Point, Stamford)

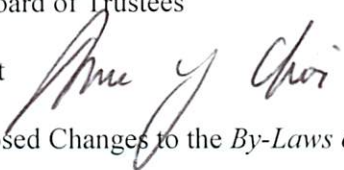
ATTACHMENT 11



University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
*Provost & Executive Vice
President for Academic Affairs*

April 24, 2013

TO: Members of the Board of Trustees
FROM: Mun Choi, Provost 
RE: Approval of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees approve the Proposed Changes to the *By-Laws of the University of Connecticut*: Article XIV.C.4 – Academic Appointment and Tenure.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. Notice was provided at the February 27, 2013, Board of Trustees meeting.

The proposed amendment to the University's By-Laws will align the University By-Laws with the School of Medicine By-Laws. It will allow University faculty of the School of Medicine to request an increase in the probationary period to *both* promotion and tenure, rather than to tenure *only* and thus, ensure the continual synchronization of the promotion and tenure clocks for tenure track faculty.

Following approval by the faculty of the School of Medicine and the Academic Affairs Subcommittee of the Board of Directors of the University of Connecticut Health Center, the Health Center Board of Directors voted on December 10, 2012 to approve an amendment to the School of Medicine By-Laws to allow for this synchronization in the promotion and tenure clocks for tenure track faculty.

For the information of the Board, also attached is the original language of Article XIV.C.4, with indications of the proposed amendments.

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Following is Article XIV.C.4 of the *Bylaws of the University of Connecticut*.

For faculty with primary appointments in the School of Medicine, significant life events may be allowed to increase the probationary period leading to promotion or tenure for a maximum of twenty-four months. An increase in the probationary period leading to promotion and/or tenure is automatically awarded when the faculty member takes leave documented and approved under the Federal Family Medical Leave Act, the State of Connecticut Family Medical Leave Act, or the State of Connecticut Workers' Compensation Act. Alternatively, the faculty member may request an increase in the probationary period to promotion and/or tenure ~~(but not to promotion)~~ even when the above criteria are not met, but when the faculty member's productivity is affected by a significant life event. Such requests must be approved by the Department Chair and the ~~Dean~~ Dean or his/her designee per the School of Medicine Bylaws.

ATTACHMENT 12



University of Connecticut
Office of the Provost

April 24, 2013

TO: Members of the Board of Trustees

FROM: Frank M. Torti, Executive Vice President for Health Affairs
Mun Y. Choi, Provost

RE: Consulting for Faculty and Members of the Faculty Bargaining Unit

RECOMMENDATION:

That the Board of Trustees approve the revisions to the Consulting Policy for Faculty and Members of the AAUP Faculty Bargaining Unit.

BACKGROUND:

Policy: The proposed revisions to the Consulting Policy, paragraphs 4d and 5biii, remove the confusing language accompanying the “normal work time/days” definition and eliminate the formal cap on the average number of consulting days during a week.

The current policy defines a faculty member’s “normal work time/days” as “the usual time (days of the week, hours in the day) committed to assigned duties.” It then goes on and provides parameters that apply to some but not most faculty: “Mondays through Fridays, 8:00 a.m. – 5:00 p.m., and not weeknights, weekends, or holiday and vacation days”. These parameters do not accurately reflect the actual working schedules of most faculty, who often teach, perform research, and provide service to the University during nights, weekends, holidays and vacation days, and therefore the language causes significant confusion. The University will continue to enforce the “normal work time/days” definition and the “time due to the University” provision, which prohibits faculty from performing consulting activities during time that is necessary for successfully carrying out the duties assigned to and for which a faculty member was hired. This includes both sufficient time to perform assigned duties as well as sufficient opportunity to meet with other faculty, staff and students.

The formal cap on the number of consulting days during normal work time has always been an unnecessary addition to the core standard that consulting cannot interfere with a faculty member’s ability to fully perform his/her assigned duties. This cap has been misinterpreted by some as actually providing a guarantee to consult an average of a day a week during normal work time when in fact no such guarantee exists. Once this cap is eliminated, Department Heads, Deans, the Faculty Consulting Offices, the Provost’s Office and the Executive Vice President for Health Affairs’ Office will continue to evaluate a faculty member’s ability to consult based on the faculty member’s completion of their assigned duties. Reviewers will continue to deny consulting requests that will interfere with a faculty member’s current and future ability to perform his or her University duties.

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Revisions to the Consulting Policy require approval by the Board of Trustees. The proposed revisions are supported by the University's Consulting Management Committee.

Consulting Procedures: Revisions to the Consulting Procedures must be presented to the Board of Trustees as an informational item. These revisions remove a restriction on faculty consulting during summer months where a faculty member is employed 100% on a federal grant. Instead, prior to consulting, faculty members must ensure that adequate time has been allocated to fully complete their assigned, contractual, or grant related job responsibilities for which they are paid by the University.

Attached are copies of the original language of the Consulting Policy and Procedures, with indications of the proposed revisions.

POLICY ON CONSULTING FOR FACULTY AND MEMBERS OF THE FACULTY BARGAINING UNIT

~~November 14, 2012~~ April 24, 2013

1. INTRODUCTION

Consulting is a time honored and frequent activity of faculty throughout U.S. research universities. The ability to consult is important in promoting recruitment and retention of faculty of the highest quality. Often, such consulting activities provide a range of benefits including fostering economic development, enhancing the reputation of the University, promoting faculty development and enhancing the faculty's ability to bring to the classroom current and relevant "real world" experiences, among others.

Consulting is an activity performed by a faculty member for compensation as a result of his/her expertise or prominence in his/her field while not acting in his/her official capacity as a State employee (i.e. in his/her own time.) The University's Laws and Bylaws prohibit faculty from consulting on "time due to the University".

2. PURPOSE

This Policy describes how members of the faculty and members of the faculty bargaining unit (both hereafter referred to as "faculty member(s)") may participate in consulting activities while complying with the State of Connecticut Code of Ethics, the University of Connecticut Ethics Statement, the University's Code of Conduct and the University's Laws and Bylaws.

3. SCOPE

This policy applies to all faculty members paid by the University of Connecticut and University of Connecticut Health Center.

4. DEFINITIONS

- a) consulting - providing services, advice and similar activities for compensation¹, based on a faculty member's professional expertise or prominence in his or her field, while not acting as a State employee.

¹ Within this policy, compensation does not include royalties covered under Connecticut statutes 10a-110g.

- b) contracting entity - The entity engaging and compensating the faculty member for the consulting activity.
- c) 'time due to the University' - Any time necessary for successfully carrying out the duties assigned to and for which a faculty member was hired. This includes both sufficient time to perform assigned duties as well as sufficient opportunity to meet with other faculty, staff and students.
- d) "normal work time/days"— The usual time (days of the week, hours in the day) committed to assigned duties. ~~For most faculty members this represents Mondays—Fridays, 8:00 am—5:00 pm and not weeknights, weekends, or holiday and vacation days. For faculty on 9, 10 and 11 month contracts, normal work time pertains to those portions of the year to which they are earning their base pay and to any additional time for which they are assigned duties and receive additional compensation over base pay (e.g. "summer work and summer salary".) For part-time faculty, normal work hours only applies to time contracted for by the University.~~

5. POLICY

- a) All faculty members, with the exception noted under 'o' below must receive written permission in advance from their supervisor and the Provost or Executive Vice President for Health Affairs, (whoever has jurisdiction over that member), or their designees, in order to engage in consulting activities. Requests for such permission will describe the consulting activity, the contracting entity, the dates (or range of dates) that the activity will occur, and the maximum total effort in terms of the faculty members' normal work days to complete the consulting activity.
- b) Permission to consult may only be granted when:
 - i. The request to perform the consulting activity occurs due to the faculty member's expertise or prominence in his or her field, not the faculty member's official State position.
 - ii. The faculty member is currently, fully performing his/her State duties.
 - iii. The consulting activity will not interfere with a faculty member's future ability to perform his/her duties, ~~and the total time spent consulting in any fiscal year, (July 1—June 30), will not exceed an average of one day per week during normal work time (as defined in 4d above).~~
 - iv. The faculty member is not competing with the University for work that may be perceived as being work the University would choose to perform.
 - v. Those members of a faculty bargaining unit who have specific teaching and/or research responsibilities, the consulting contributes to the continued development of the faculty member's professional expertise or academic reputation.
- c) Approvals must be obtained for each consulting activity. Any on-going consulting activity must be approved on a fiscal year basis (i.e. July 1 – June 30.)

- d) The disclosure of proprietary information (i.e. intellectual property owned in part or in total by the University) is prohibited unless specific permission is granted.
- e) The faculty member must inform the contracting entity that s/he is not acting as a State employee while performing the consulting activity and is not covered by any State liability protection.
- f) The faculty member may not inappropriately use his/her association with the University in connection with the consulting activity. That is, members may identify their employee status, but they shall not speak, act, or make representations on behalf of the University or express institutional endorsement in relation to the outside activity. Further, it must be made clear that permission to use the University name, logos, or other identifiable marks may only be granted by the University.
- g) Permission to use State resources while consulting must be provided in writing, in advance, and use of such resources must be fully reimbursed to the University of Connecticut.
- h) When compensation would be deemed to be a 'significant financial interest' as defined in the Policy on Individual Conflict of Interest in Research (a link to this policy may be found at policy.uconn.edu), the faculty member must disclose this in financial statements made under that policy.
- i) It is in the University's best interest to ensure that its faculty does not compete with the University for work it has or is planning to do itself by teaching a course at another institution for compensation. With this understanding, faculty members may request permission to teach elsewhere under the conditions of this policy and as long as the assignment is determined to be beneficial to the interest of the University.
- j) All faculty members who were engaged in a consulting activity in a given fiscal year must complete a year end reconciliation report describing all consulting activities for which they have received approval. If the estimates regarding anticipated time spent on each activity and the compensation range provided when requesting permission to consult do not reflect what actually occurred, such information should be revised appropriately.
- k) The Provost and the Executive Vice President for Health Affairs will submit annual reports of consulting activities for the faculty members under their respective jurisdictions to the Joint Audit and Compliance Committee of the Board of Trustees.
- l) The University's Office of Audit, Compliance and Ethics shall develop and implement a plan of regularly recurring monitoring and audits to ensure the complete and accurate implementation of this policy.
- m) A report or allegation of a violation or noncompliance with this policy shall be reviewed by the Provost or Provost designee. After due process, the Provost may elect to withdraw the authorization or appropriately modify the conditions upon which the authorization to consult is granted so as to resolve any conflict. Such actions are subject to reversal through relevant appeal procedures including those described in the University's Bylaws.

- n) Failure to comply with the provisions of this policy may result in appropriate disciplinary action, including but not limited to, loss of the privilege to engage in consulting activities or termination from service. Such disciplinary action will be issued in accordance with the applicable provisions of the collective bargaining agreement or the employment agreement of the faculty member and subject to any appeal rights that may be available.
- o) Any faculty member who does not receive prior written approval under this policy is subject to the jurisdiction of the Office of State Ethics. In addition, the faculty member may be subject to sanctions issued by the University for violating this policy.
- p) Faculty paid less than 50% time by the University of Connecticut and/or University of Connecticut Health Center do not need approval to consult. The requirements described in 5b. ii – iv, 5d-o still apply.² Provisions 5b i., 5b v., 5c do not apply.
- q) Faculty paid less than 50% time by the University of Connecticut and/or University of Connecticut Health Center may voluntarily elect to request prior approval to consult as described in 5a. In such cases, all the rules under 5a-n are applicable.³ Once such a faculty member has requested approval to consult, all subsequent consulting activities in that fiscal year must also obtain such approval.

6. CONFIDENTIAL INFORMATION

Any financial information provided in the consulting request forms or reconciliation reports will be deemed confidential financial information, in accordance with Section 1-210(b) of the Freedom of Information Act, and will not be disclosed to any third party unless the member agrees or a court of competent jurisdiction so orders, or in order to comply with Federal and/or State laws or regulations related to the handling of Federal research grants.

² Per State statute, final jurisdiction whether such consulting is compliant with the State Code of Ethics resides with the Office of State Ethics for such consulting activities.

³ Per Public Act 07-166 section 12, the University has final jurisdiction to approve such consulting activities.

PROCEDURES ON CONSULTING FOR FACULTY AND MEMBERS OF THE FACULTY BARGAINING UNIT

~~November 14, 2012~~ April 24, 2013

1. PERMISSION

Prior to engaging in consulting activities, faculty employed 50% or more time must complete and submit the "Request for Approval of Consulting Activities" form¹ as approved by the Provost and the Executive Vice President for Health Affairs at UCHC. For the purpose of this policy, faculty on Board approved sabbatical leaves are considered to be full-time faculty. Such request must be submitted sufficiently in advance of the start of the consulting activity to allow for its appropriate review. Further, new requests must be completed and approved prior to making substantial changes to a previously approved activity. Consulting requests must be based on the faculty member's professional expertise or prominence in his/her field.

Faculty paid less than 50% time by the University of Connecticut and/or University of Connecticut Health Center may voluntarily elect to obtain prior approval to consult. Once such a faculty member has requested approval to consult for a single consulting activity, all subsequent consulting activities in that same fiscal year must also obtain such approval.

2. INFORMATION

Information to be provided on this form must include the following: The name and address of the payer (contracting entity); a description of the consulting activity with enough detail so that the approver may determine whether such activities conflict with one's state responsibilities; description of interaction or responsibilities in one's official capacity, if any, with respect to the contracting entity; estimated amount of remuneration in ranges established by the Provost; an indication whether the faculty member holds an equity interest in the contracting entity of 5% or more, the total number of days expected to complete the consulting activity; maximum total number of days during normal work time, and disclosure of material use of state resources. Additional information regarding remuneration or other matters must be provided, if requested.

It is understood at the time a request form is being considered for approval that all the dates and times of the consulting activity might not be known. As these dates and times become known, the faculty member is expected to provide them to his/her department head. In all cases these notifications should be at least one day in advance of any consulting work so that the department head can ensure that the faculty member's assigned job duties are fully addressed. Such notifications must be made in writing to the

¹ Currently this is completed and transmitted using an on-line approval system.

department heads and emails are acceptable. The need for such prior notification of such dates and times only applies if such dates and times are during normal work time.

3. SIGNATORIES

Requests to consult must be approved by the member's Department Head and Dean. For members employed in Storrs and the Regional campuses, the requests must also be reviewed and approved by the Provost or the Provost's designee. For members at the University Health Center, the request must be reviewed and signed by the Executive Vice President for Health Affairs or the Executive Vice President's designee. For members employed by the Division of Athletics, the Athletic Director must approve in place of a Dean and forward the form to the Provost's designee for review. Subsequent to review and pursuant to NCAA regulations, the President will approve as the Provost's designee.

4. ACCELERATED APPROVAL PROCESS

There is a set of purely academic activities that faculty members are normally expected and encouraged to undertake. Such activities would include, but are not limited to, reviewing books, articles and research proposals (i.e. federal grants ~~study sections~~), presenting occasional lectures, speeches, and colloquia to non-profit entities, refereeing of manuscripts, creation of works of art, serving as a member of thesis committee, or case-review (medical-legal review of cases). The nature of such activities will vary from one discipline to another – but the underlying principle is that they are part of faculty member's expected academic professional development. For activities of this type, and for which the compensation does not exceed \$5,000 (per contracting entity per year), the Provost and Executive Vice-President for Health Affairs delegate to the faculty member's department head the sole approving authority. This means that the faculty member should fill out the required on-line request form sufficiently in advance of the activity to allow adequate time for the Department head to review and approve it.

5. SUMMER PRIOR APPROVAL PROCESS

Faculty members may participate in "academic related consulting activities" (as described below) during the summer without advance filing of a consulting request form. Eligible consulting activities are pre-approved.

Eligible faculty are those who hold (9, 10, or 11 month contracts). The consulting activity must occur in the summer and, during this time, the faculty member must ~~have~~ ensure that adequate time has been allocated to fully complete their assigned, contractual or grant related job responsibilities for which they are paid by the University. ~~Due to time and effort reporting, consulting while employed 100% on a federal grant during the summer is not allowed on time due to the University.~~

"Academic related activities" are those normally considered to enhance a faculty member's professional expertise or prominence in their field and include the following:

- Educational and scientific presentations;
- Giving occasional lectures and speeches;
- Participation in colloquia, symposia, site visits, study sections and similar gatherings;
- Ad hoc refereeing of manuscripts (not to include paid editorships);²
- Activities deemed by the Department Head to be similar to the activities described above. The nature of these activities will be reviewed by the Faculty Consulting Offices.

To be eligible for summer prior approval, remuneration for any one academically related activity may not exceed \$1,000 with a total limit over the summer of \$10,000 for such activities.

By September 15 each year, faculty must submit a list and description of the academically related activities they participated in during the previous summer to their department head and to the appropriate Faculty Consulting Office. Such activities will be included in the year end reconciliation report.

The normal approval process that requires approval prior to the start of the consulting activity may also be used during the summer and is not subject to the financial caps on the Summer Prior Approval process.

6. REPORTING

By the end of each fiscal year, members must complete and submit a year-end reconciliation of all consulting activities, due not later than September 15, on a form² developed by the Provost and the Executive Vice President for Health Affairs at the UCHC. Such form must include verification that the University has been appropriately reimbursed for material use of state resources.

7. MANAGEMENT COMMITTEE

A University Consulting Management Committee must be formed. Two members must be designated by the Provost and two must be designated by the Executive Vice President of the UCHC. One member must be designated by the President. The Committee must elect a chair. The University's ethics officer will serve ex-officio as a non-voting member to serve in an advisory capacity only.

Any of the individuals responsible for approving such consulting activities may request advice from Consulting Management Committee. The Committee shall have the ability to recommend that the proposed activity be denied, approved, or to suggest a plan to manage the potential conflict. The Committee will be authorized to request any other information that it deems necessary to assist it in this determination. Some examples of conditions or restrictions that may be recommended include, but are not limited to:

² Currently this is completed and transmitted using an on-line system.

recusal for making decisions in one's state capacity regarding the payer; review of decisions regarding a payer by a superior; change in required work schedules; permission to work on consulting limited to nights and/or weekends. The Committee may develop generic guidelines for approving requests to consult.

8. SANCTIONS

Any member who intentionally provides misleading or false information during the course of the approval process will be subject to disciplinary action in accordance with such member's collective bargaining agreement or employment agreement. Such disciplinary action may include, but not be restricted to: letter of reprimand; loss of the privilege to continue to engage in consulting activities; suspension; or, dismissal. The Provost will develop and publish (consulting.uconn.edu) a sanctions policy relating to non-compliance with this policy on consulting.

9. TRAINING

The Faculty Consulting Offices will offer training sessions on an on-going basis as well as maintain a web site with training materials. Such training will include reminders to the Department Heads and Deans as to their responsibilities related to their review and approval of requests to consult.

10. REVISIONS

These procedures may be revised by mutual consent of the Provost and the Executive Vice President for Health Affairs with notification to the University's Board of Trustees.

11. APPEALS

As articulated in the Policy on Consulting for Faculty and Members of the Faculty Collective Bargaining Unit, the Procedures outlined above are to be implemented in accordance with the applicable provisions of the Collective Bargaining agreement or the employment agreement of the faculty member and are subject to any appeal rights that may be available.

ATTACHMENT 13



University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
*Provost & Executive Vice
President for Academic Affairs*

April 24, 2013

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Policy on Scholarly Integrity in Graduate Education and Research

RECOMMENDATION:

That the Board of Trustees approve the policy on Scholarly Integrity in Graduate Education and Research.

BACKGROUND:

On November 10, 1998 the Board of Trustees adopted the existing policy on Academic Integrity in Graduate Education. It has since become widely recognized that the term “academic integrity” is too narrow and that the term “scholarly integrity” better captures our concern for “research integrity and the ethical understanding and skill required of researchers/scholars in domestic, international, and multicultural contexts” (Council of Graduate Schools, *Research and Scholarly Integrity in Graduate Education: A Comprehensive Approach*, 2012). More importantly, the experience of the Graduate School in implementing the existing policy has identified several changes that will enhance student and faculty understanding of the policy and the procedures used to implement it.

- In cases involving alleged scholarly misconduct by students enrolled in dual degree programs, the appropriate associate dean of the Graduate School (UHC or Storrs and regional campuses) will determine in consultation with the academic leader of the other program whether the case will be considered using these procedures or those of the other program.
- In cases involving alleged scholarly misconduct by students within a particular course, the resolution procedures now follow those used for resolution of academic misconduct by undergraduate students as described in the Student Code.

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- Cases of alleged scholarly misconduct that may involve research misconduct will now be referred to the Vice President for Research, for students enrolled at Storrs or regional campuses, or to the Research Integrity Officer, for students enrolled at the Health Center, for action under the corresponding policies.
- The procedure to be followed in the event a hearing is to be held, the composition of the hearing committee, the right of the student to decline to make statements and to decline to appear at the hearing, and the components of the Hearing Committee's findings are explicitly described.
- The decision of the Hearing Committee may be appealed to the Dean of the Graduate School, and the decision of the Dean of the Graduate School will be final. The existing policy allows an additional appeal to the Provost.
- Explicit deadlines are now specified for each action in the procedure.

In addition to these changes, the language has been extensively edited to clarify its meaning.

The Board of Trustees adopted the policy on Academic Integrity in Graduate Education on November 10, 1998. The Graduate Faculty Council voted to recommend that the Board replace the existing policy with the policy on Scholarly Integrity in Graduate Education and Research on March 27, 2013.

SCHOLARLY INTEGRITY IN GRADUATE EDUCATION AND RESEARCH

Scholarly activity at the graduate level takes many forms, including, but not limited to, classroom activity, laboratory or field experience, writing for publication, presentation, and forms of artistic expression. Integrity in all of these activities is of paramount importance, and the Graduate School of the University of Connecticut requires that the highest ethical standards in teaching, learning, research, and service be maintained.

Scholarly integrity encompasses “both research integrity and the ethical understanding and skill required of researchers/scholars in domestic, international, and multicultural contexts.” It also addresses “ethical aspects of scholarship that influence the next generation of researchers as teachers, mentors, supervisors, and successful stewards of grant funds” (Council of Graduate Schools, *Research and Scholarly Integrity in Graduate Education: A Comprehensive Approach*, 2012).

The Graduate Faculty Council, in accordance with the provisions of its By-Laws, has adopted this policy concerning scholarly integrity in graduate education and research and has approved the procedures set forth herein for addressing alleged violations. The Dean of the Graduate School shall coordinate the reporting, investigation, and determination of alleged breaches of scholarly integrity by graduate students in accordance with this policy. (A graduate student is defined as any individual who holds admission to the Graduate School to pursue either a graduate certificate or graduate degree, as well as any other individual enrolled in a graduate-level course who is not strictly enrolled in an undergraduate degree or an undergraduate certificate program.)

Members of the Graduate Faculty have primary responsibility to foster an environment in which the highest ethical standards prevail. All members of the University community have a responsibility to uphold the highest standards of scholarship, which encompasses activities of teaching, research, and service, and to report any violation of academic integrity of which they have knowledge. Instructors have a responsibility to take reasonable steps to prevent scholarly misconduct in their courses and to inform students of course-specific requirements.

Note: Student misconduct other than scholarly misconduct, as defined herein, is governed by the University's Student Code, which is administered under the direction of the Office of the Provost. Enforcement of its provisions is the responsibility of the Director of Community Standards. At the Health Center, student misconduct other than scholarly misconduct is governed by the Rules of Conduct.

A. DEFINITIONS OF SCHOLARLY MISCONDUCT

Scholarly misconduct is broadly defined as a failure to uphold standards of scholarly integrity in teaching, learning, research, or service.

For the purpose of this Policy, scholarly misconduct shall be deemed to include, but not be limited to, the following types of misconduct. The list is not intended to be exhaustive, but does identify major categories of scholarly misconduct, and provides illustrations where appropriate.

Cheating involves dishonesty during a course, on an examination required for a particular degree, or at other times during graduate study, e.g., copying the work of another student.

Plagiarism involves using another person's language, thoughts, data, ideas, expressions, or other original material without acknowledging the source (adapted from Council of Writing Program Administrators, *Defining and Avoiding Plagiarism: The WPA Statement on Best Practices*, 2003).

Distorted reporting involves "any omission or misrepresentation of the information necessary and sufficient to evaluate the validity and significance of research, at the level appropriate to the context in which the research is communicated" (D. Fanelli, *Nature* 494:149; 2013).

Fabrication or Falsification of Grades involves any form of falsification of coursework or tampering with grades, e.g., a student making unauthorized changes to her/his own grades or an instructor consciously misreporting grades of students.

Misrepresentation involves taking an examination for another student, submitting work done by another individual as one's own, submitting the same work for evaluation in two or more courses without prior approval, unauthorized use of previously completed work or research for a thesis, dissertation, or publication, or making false, inaccurate, or misleading claims or statements when applying for admission to the Graduate School or in any scholarly or research activity, including publication.

Academic or Research Disruption involves unauthorized possession, use, or destruction of examinations, library materials, laboratory or research supplies or equipment, research data, notebooks, or computer files, or it might involve tampering with, sabotage of, or piracy of computer hardware, computer software, or network components.

Fabrication or Falsification in Research involves falsification of, tampering with, or fabricating results or data.

Research Violations include violation of protocols governing the use of human or animal subjects, breaches of confidentiality, obstruction of the research progress of another individual, or disregard for applicable University, local, State, or federal regulations.

Professional Misconduct involves violation of standards governing the professional conduct of students in particular fields (e.g., pharmacy, nursing, education, counseling, therapy).

Deliberate Obstruction involves hindering investigation of any alleged act of scholarly misconduct.

Aiding or Abetting involves actions that assist or encourage another individual to plan or commit any act of scholarly misconduct.

B. ADDRESSING ALLEGATIONS OF SCHOLARLY MISCONDUCT

Allegations of scholarly misconduct will be addressed in accordance with the procedures set forth below. If a graduate student accused of scholarly misconduct is part of a combined degree program, the appropriate Associate Dean of the Graduate School (whether for Storrs and the regional campuses or the Health Center) and the academic leader of the other degree program will determine whether the complaint will be addressed in accordance with these procedures or in accordance with those of the other degree program, using the procedures of the program to which the alleged misconduct is more germane.

1. Misconduct Allegedly Committed Within an Academic Course

When an instructor or relevant dean or department head believes that an act of scholarly misconduct within an academic course has occurred, the procedures set forth below shall be followed:

(a) The instructor, dean or department head who believes that scholarly misconduct has occurred within an academic course (the "Complainant") shall retain all evidence of the alleged misconduct in its original form. Original papers or other materials need not be returned to the accused student. Copies of the accused student's work will be provided to him or her upon request. All instructors within the course shall be notified of the allegation and the proposed academic consequences before the student is notified of the alleged misconduct.

(b) Within thirty (30) business days of becoming aware of alleged misconduct the Complainant shall notify the accused student in writing of the allegation of misconduct and the sanctions to be imposed. The notice shall be sent by the Complainant to the accused student by e-mail, to the student's official University e-mail address, and by first class mail, postage prepaid, to the mailing address on file with the University. The notification shall advise the student that s/he has ten (10) business days from the date the notice is sent via e-mail to contact the Complainant to address the alleged misconduct and/or request a hearing and that if s/he fails to do so, the sanctions described in the notice shall be imposed. The Complainant shall maintain a copy of the written notification sent to the accused student.

(c) The accused student may request a hearing by filing a written request with the appropriate Associate Dean of the Graduate School (whether for Storrs and the regional campuses or the Health Center). The Associate Dean shall notify the Complainant of the receipt of accused student's request for a hearing within five (5) business days. The

Complainant shall within five (5) business days forward to the Associate Dean copies of the written notification sent to the student, copies of the student's work, and information about other evidence supporting the allegation. The Associate Dean will arrange for a hearing to be conducted in accordance with Section C hereof.

(d) A student who has been notified that s/he has been accused of scholarly misconduct may not withdraw from the course in which the alleged misconduct has occurred without the approval of the Dean of the Graduate School. If a semester concludes before a scholarly misconduct matter is resolved, the student shall receive a temporary "I" (Incomplete) grade in the course until the instructor submits the appropriate grade.

2. Misconduct Allegedly Committed Outside of an Academic Course

A substantial portion of a graduate student's course of study takes place in contexts where they are not students within a course (e.g., serving as a teaching or research assistant, working as an intern, conducting thesis or dissertation research, taking a written or oral general exam). Allegations of scholarly misconduct committed outside of an academic course will be addressed according to the procedures described in this section with the following exceptions:

- Cases involving allegations of research misconduct by students enrolled at Storrs or regional campuses will be referred to the Vice President for Research for review under the Policy on Alleged Misconduct in Research. Cases involving allegations of research misconduct by students enrolled at the Health Center will be referred to the Research Integrity Officer for action under the Policy on Review of Alleged Misconduct of Research. In either case, if the allegation is found to have merit, the case will be referred to a Hearing Committee for additional action (section C).
- Cases involving alleged violation of standards governing the professional conduct of students in particular fields (e.g., pharmacy, nursing, education, counseling, therapy) may be subject to additional review by other entities inside or outside the University (e.g., professional organizations, Institutional Animal Care and Use Committee, Human Subjects Institutional Review Board).

When any person (the "Complainant") believes that an act of scholarly misconduct outside of an academic course has occurred, the procedures set forth below shall be followed:

(a) The Complainant shall retain the evidence of the alleged misconduct in its original form.

(b) Within thirty (30) business days of becoming aware of the alleged violation, the Complainant shall notify the appropriate Associate Dean of the Graduate School (whether for Storrs and the regional campuses or the Health Center) of the alleged

misconduct, in writing. The notification shall fully describe the nature of the alleged misconduct and the circumstances involved and shall be accompanied by evidence supporting the allegation. The notification shall bear the signature of the Complainant, and shall be dated. The Complainant shall maintain a copy of the notification sent to the Associate Dean.

(c). The Associate Dean shall notify the accused student in writing of the allegation of misconduct within five (5) business days. The notice shall be sent by the Associate Dean to the student by e-mail, to the student's official University e-mail address, and by first class mail, postage prepaid, to the mailing address on file with the University. The notification sent by the Associate Dean shall advise the student that s/he has ten (10) business days from the date the notice is sent via e-mail to contact the Associate Dean to address the alleged misconduct. The Associate Dean shall maintain a copy of the notification sent to the accused student.

(d) The Associate Dean will review the evidence submitted with the notification and consider any additional information provided by the accused student. Within twenty (20) business days of receiving the notification from the Complainant, the Associate Dean will determine whether the case should be referred to a Hearing Committee (section C) or to a different office within the university.

C. HEARING ON ALLEGATION OF SCHOLARLY MISCONDUCT

Accusations of scholarly misconduct to be subjected to a hearing will be heard by a Hearing Committee. The hearing will be scheduled no fewer than thirty (30) business days after the accused student is initially notified of advised of accusation of misconduct.

For good cause shown, the Associate Dean may reschedule the hearing at the request of either the Complainant or the accused student. If the accused student fails to appear at the hearing, the Hearing Committee will hear evidence from the Complainant and render its finding. If the Complainant fails to appear at the hearing, the complaint will be dismissed. A finding of responsibility for scholarly misconduct or a dismissal of the complaint that arises from a party's failure to appear at the hearing may be appealed in writing to the Dean of the Graduate School, but only on the grounds that extreme circumstances prevented the party's attendance at the hearing. Should the appeal be accepted, the Dean will determine a new period within which a hearing must be held, and no further extensions will be granted.

The Hearing Committee shall be composed of three (3) voting members (two members of the graduate faculty and one graduate student). The appropriate Associate Dean of the Graduate School (whether for Storrs and the regional campuses or the Health Center) shall select members of the Hearing Committee with advice from the Executive Committee of the Graduate Faculty Council. The Associate Dean shall conduct the hearing as a non-voting member. No member of the Hearing Committee may be a member of the program/department of either party to the hearing. Nor may any member of the Hearing Committee have personal/professional associations with the parties. The accused student and the complainant(s) will be notified in writing of the

composition of the Hearing Committee, and may object to the appointment of any committee member on the grounds that the member's participation would jeopardize his or her right to a fair hearing. The Associate Dean conducting the hearing will determine whether any objections have merit and will decide whether a panel member will be seated.

The hearing, although formal, is not a court proceeding. As such, the Hearing Committee will not be bound by the procedures and rules of evidence of a court of law. The Hearing Committee will determine whether the student is responsible for scholarly misconduct as identified within notification of alleged misconduct sent to the accused student and will determine the appropriate sanction(s) if the student is found responsible. The Hearing Committee's decision shall be made by majority vote. A finding of scholarly misconduct shall be based on clear and convincing evidence submitted at the hearing.

The hearing will occur in private, and it will be recorded. The Graduate School will maintain a copy of the recording. The student may invite one person to attend the hearing as a support person. The student may consult with the support person throughout the hearing, but the support person shall not be permitted to participate in the hearing. .

Both the student and the Complainant may submit documentary evidence and invite witnesses to provide testimony. The student and the Complainant shall submit the names of witnesses whose testimony they intend to offer to the Associate Dean conducting the hearing at least five (5) business days in advance of the hearing. The Associate Dean shall provide a list of the witnesses to the Hearing Committee, the student, the Complainant, and all witnesses at least two (2) business days before the scheduled hearing date.

The Associate Dean will conduct the hearing in accordance with the following procedure:

1. The Associate Dean will identify the accused student, the Complainant, the witnesses, the support person accompanying the student, if any, and the members of the Hearing Committee;
2. The Associate Dean will state the allegations of misconduct, as set forth in the notification sent to the accused student;
3. The Complainant and the accused student will be offered the opportunity to make opening statements;
4. The Complainant may present evidence of the alleged misconduct, which may include written statements, personal testimony, oral testimony of witnesses, and physical exhibits;
5. The accused student may present evidence to support his/her position, which may include written statements, personal testimony of the accused student, oral testimony of witnesses, and physical exhibits;
6. The Committee will be offered the opportunity to question the accused student, the accused student's witnesses, the Complainant, and/or the Complainant's witnesses.
7. The Complainant will be offered the opportunity to present a summation; and
8. The accused student will be offered the opportunity to present a summation.

During the hearing the accused student:

1. May decline to make statements. The accused student's refusal to answer questions shall not be interpreted as evidence of guilt; and
2. May decline to appear at the hearing. The refusal of the accused student to appear at the hearing shall not be interpreted as evidence of guilt. The hearing panel will consider the evidence presented in the absence of the accused student.

At the conclusion of the hearing, the Hearing Committee shall deliberate and render a decision. The decision will be sent to the accused student, the Complainant, the Dean of the school or college in which the alleged misconduct occurred, and to the Dean of the Graduate School within ten (10) business days from the date of the hearing. The notice shall be sent to the student by e-mail, to the student's official University e-mail address, and by first class mail, postage prepaid, to the mailing address on file with the University.

The Hearing Committee's decision will specify clearly:

1. Whether the student has been found responsible for scholarly misconduct; and
2. If so, the sanctions to be imposed. The Hearing Committee will consider mitigating circumstances in determining the severity of the sanctions to be imposed.

If the Hearing Committee finds that the student is responsible for scholarly misconduct, the finding will stand and the recommended sanctions will be imposed unless the student files a written appeal with the Dean of the Graduate School within ten (10) business days of his or her receipt of the Hearing Committee's decision. An appeal is not a new hearing. It is a review of the record of the original hearing. In order to prepare an appeal, the accused student and his or her support person (with the written consent of the accused student), shall have the right to review the records of the hearing, including the audio recording.

An appeal may be sought on the following three grounds:

1. On a claim of error in the hearing procedure;
2. On a claim of new evidence or information material to the case that was not available at the time of the hearing.
3. On a claim of substantive error arising from misinterpretation of evidence presented at the hearing.

The Dean of the Graduate School shall have the authority to dismiss an appeal not sought on one or more of these three grounds.

If an appeal is upheld, the Dean of the Graduate School shall refer the matter back to the Hearing Committee with appropriate instructions.

The decision of the Dean of the Graduate School concerning an appeal shall be final.

A version of this policy was first approved and adopted by the Board of Trustees on November 10, 1998. This version was approved and adopted by the Board of Trustees on April 24, 2013.

ATTACHMENT 14

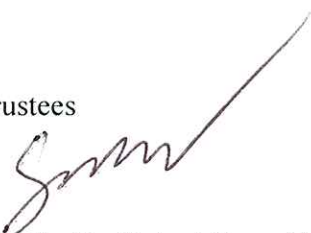


University of Connecticut
Office of the President

Susan Herbst
President

April 24, 2013

TO: Members of the Board of Trustees

FROM: Susan Herbst, President 

RE: Naming Recommendation for the Dr. Robert C. and Veronica Atkins Chair in Personalized Approach to Lifestyle

RECOMMENDATION:

That the Board of Trustees authorizes naming an academic Chair in the Neag School of Education at the University of Connecticut as the Dr. Robert C. and Veronica Atkins Chair in Personalized Approach to Lifestyle for a period of three years beginning in the 2013-2014 academic year.

BACKGROUND:

The Dr. Robert C. and Veronica Atkins Foundation has made a \$180,000 commitment to the Neag School of Education (\$60,000 annually for three years) to support a three-year term chair in the Department of Kinesiology to support research in personalized nutrition and low carbohydrate research.

The proposed Dr. Robert C. and Veronica Atkins Chair in Personalized Approach to Lifestyle will allow the University to offer a named chair for up to a three-year term appointment. Such a chair will permit the Neag School to recognize an eminent scholar in the field of low carbohydrate diet /personalized approach to lifestyle management and provide the appointee with funding for related academic work equivalent to a fully endowed chair. With the approval of the Board of Trustees, the professor will be referred to as the Dr. Robert C. and Veronica Atkins Chair in Personalized Approach to Lifestyle.

The Dr. Robert C. and Veronica Atkins Foundation was established in 1999 and expanded with a \$40 million gift following Dr. Atkins' death in 2003. The Foundation funds independent scientific research examining the role of metabolism and nutrition in obesity, diabetes, cancer, heart disease, Alzheimer's disease, and other serious health concerns confronting our society today.

This naming recommendation was approved by the Institutional Advancement Committee on April 9, 2013.

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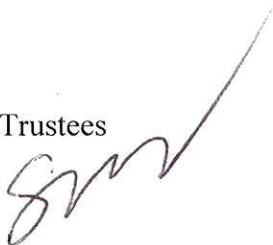
ATTACHMENT 15



University of Connecticut
Office of the President

Susan Herbst
President

April 24, 2013

TO: Members of the Board of Trustees
FROM: Susan Herbst, President 
RE: Appointment to Canvassing Board – Election of Alumni Trustee

RECOMMENDATION:

That Richard F. Orr, Esq., General Counsel, be designated the Board of Trustees staff representative to the Canvassing Board for the Election of the Alumni Trustee.

BACKGROUND:

Under the provisions of the State Statutes (Sec. 10a-103), the Board of Trustees appoints one member of a three-person board which supervises the election of alumni Trustees. It has been the custom of the Board to name a Trustee staff representative to this post.

The following is an excerpt from the State Statute:

Sec. 10a-103 (Formerly Sec. 10-118) Appointment of Trustees.

“Such election shall be conducted by mail prior to September first under the supervision of a canvassing board consisting of three members, one appointed by the board of trustees, one by the board of directors of the alumni association of the university and one by the president of the university.”

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ATTACHMENT 16



University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
Provost & Executive Vice
President for Academic Affairs

April 24, 2013

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

Frank M. Torti, Executive Vice President for Health Affairs

RE: Notification of Proposed Changes to the *By-Laws of the University of Connecticut* regarding the reporting structure of the Executive Vice President for Health Affairs

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* regarding the reporting structure of the Executive Vice President for Health Affairs.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. This document represents such notice and the resolution calling for the described amendments should be acted upon at the next meeting of the Board.

The proposed amendments will align the University By-Laws with the current reporting structure of the Executive Vice President for Health Affairs and Dean of the School of Medicine. Currently, the Executive Vice President for Health Affairs reports to the President and the Dean of the School of Medicine reports to the Provost. The proposed amendments will remove the dual reporting to the President and Provost; instead, the Executive Vice President for Health Affairs and Dean of the School of Medicine will report to the President only. In cases where the Executive Vice President is different from the Dean of the School of Medicine, the Dean would report to the Executive Vice President. The Dean of the School of Dental Medicine will continue to report to the Provost.

In addition to the changes described above, minor amendments are proposed to align titles and practices of the University with the By-Laws.

For the information of the Board, also attached is the original language of the University By-Laws, with indications of the proposed amendments.

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**BY-LAWS
OF THE
UNIVERSITY OF CONNECTICUT**

ARTICLE I – Board of Trustees

The functions of the Board of Trustees are legislative and not executive. The Board appoints the President; determines the general policy of the University, including the establishment of new schools and colleges; makes laws for its government; manages its investments; and directs the expenditure of funds. It shall be the duty of each Trustee to participate in all meetings.

ARTICLE II – Organization of the Board of Trustees

- A.** The corporate authority of the University of Connecticut is vested in a Board of Trustees.
- B.** Election of officers of the Board shall be conducted once a year.
- C.** The yearly schedule of regular meetings of the Board of Trustees shall be filed in advance in the Office of the Secretary of the State, as required by Statute. Prior written notice of any change in the scheduled time or location of a regular meeting shall be published and filed as required by Statute.
- D.** Special meetings of the Board of Trustees shall be called by the Chair upon the request of at least five members.
- E.** A written notice of regular meetings shall be sent to each member at least one week before the date set for the meeting.

Special meetings may be held no less than twenty-four hours after officially requested. Notice of special meetings shall specify the time and place of the meeting and the business to be transacted. Written notice of special meetings must be delivered to each Board member prior to the special meeting.

Emergency meetings may be convened at any time without formal notice requirements.

- F.** A majority of the current membership of the Board shall constitute a quorum for the transaction of all business.
- G.** Ordinarily, the last Board meeting of the spring semester shall be the regular meeting for the recommendations by the Provost and Executive Vice President for Academic Affairs for promotion of faculty members and for the award of academic tenure. Appointments to positions not leading to tenure and reappointments of those in probationary positions do not require prior action by the Board, but will be reported to them.

ARTICLE III – Rules of Procedure and Order of Business of the Board of Trustees

- A.** General parliamentary rules, except as modified by the rules and regulations of the Board, shall be observed in conducting the business of the Board in session. Members may participate in Board and Committee meetings by speakerphone.
- B.** So far as practicable, the order of business for regular meetings of the Board shall be set by the Secretary to the Board and shall follow a standard format.
- C.** Recommended adjustments to tuition and fees shall be developed and presented to the Board for action during the academic year. Prior to making such recommendations, the process shall provide an opportunity for campus community comment upon the recommended actions.

D. Public Participation

Under the conditions described below, the Board shall hear brief oral presentations from members of the public who wish to express views on issues pending before the Board or on other issues of concern to the University. The agenda for each regular public meeting of the Board shall allot up to thirty minutes for this purpose:

- 1. Requests to address the Board shall be made to the Chair or the Executive Secretary of the Board prior to the public meeting. In signing up to address the Board, each speaker shall specify the topic on which he/she wishes to remark.
- 2. The Chair of the Board shall recognize each speaker in the order of signing up, shall request proper identification, and shall use discretion in requiring adherence to such individual and total time limits as will permit the orderly progress of the Board through its agenda.
- 3. At a special meeting of the Board, comment by members of the public shall be limited specifically to the subject described in the call of the special meeting.

ARTICLE IV – Officers of the Board of Trustees

- A.** The officers of the Board of Trustees, apart from the Governor, who is President *ex officio*, shall be a Chair, Vice-Chairs, and a Secretary.
- B. The duties of the Chair shall be as follows:**
 - 1. To call special and emergency meetings of the Board when necessary.
 - 2. To preside, in the absence of the Governor, at all meetings.

The Chair is privileged to make or discuss motions and to vote on all questions.

- C. The chairs of all standing committees shall be designated vice-chairs of the Board of Trustees. At the Board's annual organization meeting, the Chair of the Board of Trustees shall designate a vice-chair who shall act for the Chair of the Board of Trustees in case of his/her absence or incapacity.
- D. **The duties of the Secretary shall be as follows:**
1. To notify all members of the Board of Trustees of all regular meetings at least one week in advance.
 2. To call meetings of the Board in the absence of the Chair and vice-chair designated by the Chair to act in his/her absence.
 3. To call meetings of the Board in case the Chair fails to do so, upon receipt of a request of at least five members of the Board.
 4. To keep a record of all meetings and transmit a copy of the minutes of each meeting to every member of the Board at least one week prior to the next meeting.

ARTICLE V – Committees of the Board of Trustees

- A. The Board shall act as a committee of the whole on all matters requiring action with the exception of those listed in items B, C.1., D, and E below.
- B. **Executive Committee**
1. *Membership*
The Executive Committee shall consist of the Board Chair and the chairs of all the Board's standing committees. The President shall serve as an *ex officio* nonvoting member. The Chair of the Board shall chair this committee. A simple majority shall constitute a quorum. It shall meet at such times as deemed necessary by the Chair.
 2. The Executive Committee shall exercise in emergencies all the authority of the Board of Trustees, consistent with the policies of the Board or with any action taken earlier by the Board. For purposes of executive committee action, a matter shall be deemed an emergency circumstance when delaying action until the full Board's next meeting could result in significant risk, expense, or disruption to the University or its operations.
 3. The committee shall not preempt the Board except in those emergency circumstances that do not permit the handling of a matter in the normally prescribed manner by the Board, and shall report to the full Board any action taken at the next regular meeting of the Board.
- C. The Board may appoint from time to time such special or standing committees as may be necessary to make studies or preliminary investigations necessary for determining Board action. Special committees are automatically discharged when their reports are acted upon by the Board or when the Board determines no action is warranted.

1. The standing committee charged with review of matters related to buildings, grounds, and environment may take action on behalf of the Board under emergency circumstances when delaying action until the full Board's next meeting could result in significant risk, expense, or disruption to the University or its operations. Such actions shall be reported to the full Board at its next regular meeting.
- D.** The committee of the Board of Trustees having cognizance over financial matters is authorized to buy and sell securities and the Chair of this committee is authorized to instruct the Executive Vice President for Administration and Chief Financial Officer or the University Controller to execute the necessary assignment.
- E.** A standing committee shall be established with jurisdiction over the University of Connecticut Health Center, the Schools of Medicine and Dental Medicine and the John Dempsey Hospital, and its core administrative units.
1. The name of the committee will be "Board of Directors of the University of Connecticut Health Center."
 2. *Authority*
 - a. The Board of Directors is authorized to approve the following:
 - i. Grants, contracts, and indemnifications.
 - ii. Compensation plans and labor contracts.
 - iii. Faculty promotion, tenure, reappointments, and sabbatical leaves.
 - iv. Medical staff appointments.
 - v. Adjudication of all issues including, but not limited to, faculty grievances related to award of promotion, tenure, reappointments, compensation, and merit or incentive pay.
 - vi. Staffing levels.
 - vii. Business contracts and arrangements.
 - viii. General operating policies and by-laws related to operations, administration, and clinical affairs.
 - ix. Space allocations.
 - x. Strategic planning.
 - xi. New academic programs or the elimination of academic programs.
 - xii. Changes in by-laws of the Schools of Medicine and Dental Medicine.

- b. The Board of Directors will act in an advisory capacity to the Board of Trustees in the following areas:
 - i. Annual operating budget.
 - ii. Annual capital budget.
 - iii. Changes in the fundamental mission of the University of Connecticut Health Center.
 - iv. Development and fundraising program.
 - v. Declaration of fiscal exigency, closure of academic departments, and/or changes in policies related to tenure.
 - vi. Any areas set forth in state statute that specifically require the Board of Trustees to act as a committee of the whole.

3. *Membership*

The Board of Directors of the University of Connecticut Health Center shall have 18 members.

a. *Terms*

With the exception of the President of the University, the Secretary of the Office of Policy and Management, and the Commissioner of the Department of Public Health, members will serve for three year staggered terms. Members may serve a maximum of two full terms as a regular member plus a maximum of two additional terms when serving as an officer. Initial appointment to fill the balance of a vacant term shall not count toward the maximum of two full terms. Officers will include the Chair, vice-chair/secretary, and treasurer.

b. *Appointment process*

- i. One member appointed by the Chair of the Board of the Trustees. This member will chair the Board of Directors.
- ii. Two members from the Board of Trustees appointed by the Chair of the Board of Trustees.
- iii. The President of the University. This appointment runs co-terminus with the position of the President.
- iv. Three members appointed by the Governor.
- v. The Secretary of the Office of Policy and Management or a deputy undersecretary designated by the Secretary. This appointment runs co-terminus with holding the position of Secretary.
- vi. The Commissioner of the Department of Public Health. This appointment runs co-terminus with holding the position of Commissioner.

- vii. Nine additional members to be selected by a nominating committee. The members of the nominating committee will be chosen by the Board of Directors and shall include the Chair of the Board of Trustees and the Executive Vice President for Health Affairs as voting members.

4. *Subcommittees*

The Board of Directors may establish standing subcommittees or ad hoc committees as needed.

a. Membership

- i. The Board of Directors shall appoint the members of its subcommittees.
- ii. With the exception of the Peer Review Committee, a minimum of one-half of the voting membership shall be comprised of Board of Directors members.
- iii. The Board of Directors may appoint non-voting members to its subcommittees.

b. Peer Review Committee

- i. The Peer Review Committee will also be known as the “Joint Conference Committee.”
- ii. The sole topics of discussion shall be those that require confidentiality protection.
- iii. Peer Review Activities
The Board of Directors functions in some of its activities as a Medical Review Committee conducting peer review as defined in Chapter 368a of the Connecticut General Statutes, as amended from time to time. When acting as a Medical Review Committee, the Board reviews and acts on reports or recommendations from peer review committees of the hospital or medical staff and participates in the evaluation of the quality and efficiency of health care services ordered and performed, including, but not limited to, review of the credentials, qualifications and activities of medical staff members, or applicants; evaluating and improving the quality of health care services rendered; analyzing clinical practices within the hospital; reviewing studies of utilization and medical audits; reviewing studies of morbidity and mortality; and reviewing analysis of sentinel events or potential claims. When the Board, or its officers, committees or subcommittees on its behalf, participate in these or similar studies, reviews, discussions, and actions, the Board is a Medical Review Committee conducting peer review. Proceedings of such peer review activities conducted by the Board, including data and information gathering, analyses, and reporting by authorized individuals for the primary purpose of these peer review activities, as well as minutes and other documents from meetings or portions of meetings addressing peer review, shall be kept strictly confidential.
- iv. Reports of the Peer Review Committee shall be presented to the Board of Directors in executive session only.

c. Authority of subcommittees

The subcommittees will report to the Board of Directors. The Board of Directors may delegate selected duties and authority as it deems necessary and appropriate to a subcommittee if such authority is approved at any regular meeting of the Board of Directors by a recorded majority vote.

F. Membership on Committees other than the Board of Directors of the University of Connecticut Health Center

1. The Board of Directors may appoint individuals who are not Trustees to be voting or non-voting members of its committees provided that a majority of the voting membership of all committees shall be comprised of Board of Trustees members. The Board may specify a term for any such appointments.
2. As to any Board action which is permitted to be taken by a committee of the Board on behalf of the Board, the presence of a quorum for such matter and the vote on any such matter will be determined on the basis of only the Trustee members of the committee.

ARTICLE VI – President of the University

A. The President is the chief executive of the University. In this capacity, the President is responsible for carrying out and enforcing all policies and regulations adopted by the Board for the operation of the University and is given authority requisite to that end.

B. The President may participate in all discussions in Board meetings, but shall not vote. The President is an *ex officio* member of all committees of the Board, but shall not vote.

C. The duties of the President shall be as follows:

1. To attend all meetings of the Board except when the Board requests otherwise.
2. To submit to the Board from time to time such recommendations and information concerning any phase of University policy or administration as may seem necessary to the best interests of the University.
3. To appoint, direct, and guide those members of the University staff who report to him/her.
4. To call and preside over meetings of the University Senate.
5. To oversee the development, implementation, and regular updating of the University's Strategic Plan.
6. To appoint such committees as he/she deems necessary and define their duties.
7. To act as the official medium of communication between the Board of Trustees, University officers, and staff members.

8. To sign diplomas of students certified for graduation.
9. To summarize and coordinate the budget estimates submitted by schools, colleges, divisions, and departments, and in consultation with the Provost and Executive Vice President for Academic Affairs and the Vice Presidents, prepare a budget adjusted to the income and the needs of the University.
10. To preserve at the University all papers and records ordered on file by the Board.
11. To present to the Board for prior approval the annual budget for each fiscal year.
12. To be the official representative of the Board of Trustees in all matters affecting the University which come before the General Assembly.
13. To be the official representative of the Board of Trustees in all matters affecting the University, which involve other departments of State Government or relations with the Federal Government.
14. To provide leadership in external relations, development, and fundraising.
15. To prepare such reports as are required by the Board, the State, and the Federal Government.
16. To make such organizational changes in University structure as does not affect degree programs or majors and report these matters to the Board at the next Board meeting. All actions involving the degree programs and the structure of schools and colleges will be brought to the Board for approval.

D. The Division of Athletics is directly responsible to the President

It is the function of the Division of Athletics:

1. To organize and carry out programs of athletic sports activities intended to meet the health and recreational needs of students and staff members.
2. To cooperate with the Department of Student Health Services in determining the students whose athletic and sports activities should be especially restricted.
3. To foster athletic and sports activities in line with good health and citizenship between this institution and competing institutions and in the whole area of public relations.

E. The President shall consult with the senior officers of the University and such other members of the administration as he/she may convene, on matters of University policy and procedure as he/she feels appropriate.

ARTICLE VII – The Provost and Executive Vice President for Academic Affairs, the Executive Vice Presidents, and the Vice Presidents

- A.** The Provost and Executive Vice President for Academic Affairs, the Executive Vice Presidents, and the Vice Presidents report to the President. They shall give direction and assistance to the deans and directors with respect to their administrative duties and shall accept such other responsibilities as may be assigned to them by the President.

B. Provost and Executive Vice President for Academic Affairs

1. The Provost and Executive Vice President for Academic Affairs (hereafter referred to as ‘the Provost’) is the chief academic officer and, in this capacity, is responsible for managing the academic operations of the University, except for matters in the School of Medicine, which are the responsibility of the Executive Vice President for Health Affairs. He/she also coordinates the formulation of policies and administration of all schools, colleges, divisions, institutes, and regional campuses of the University.
2. *The Provost shall:*
 - a. Serve as Acting President in the absence of the President and be a member of the President’s cabinet.
 - b. Attend all meetings of the Board of Trustees, except when the Board requests otherwise. He/she may participate in discussions, but shall not vote.
 - c. Appoint those members of the University faculty and staff who report to him/her.
 - d. Preside at meetings of the Council of Deans.
 - e. Be a member of the University Senate; preside in the absence of the President at its meetings; interpret in collaboration with the President its By-Laws, rules, and regulations; administer its rules and regulations in consultation with the Senate; and the Provost, or his/her designee, be a member of all its standing committees.
 - f. Be a member of the faculties of all Schools and Colleges.
 - g. Be responsible for University catalogs.
 - h. Conduct such studies and promote such activities in the University in those components of the University under his/her supervision, as will result in effective educational and research programs.
 - i. Disseminate among members of the University faculty and staff such information as will promote the understanding, integration, and coordination of the education program of the University.
 - j. Approve the selection and adjustment in service of all personnel under his/her jurisdiction and make recommendations for promotion and/or tenure to the Board of Trustees or the Board of Directors, as appropriate.

- k. Eliminate so far as possible unnecessary overlapping and duplication in the offerings, functions, and services of the academic parts of the University.
- l. Evaluate the effectiveness of personnel in the teaching, research, and administrative programs.
- m. Assist deans and directors of divisions in preparing budgets and recommend budgets and budget adjustments for the several schools, colleges, and divisions to the President.
- n. Serve as the appellate tribunal for students or staff members in appeals from decisions of deans or directors. The Provost, or his/her designee, shall serve as the appellate tribunal for decisions made within the parameters of the *Student Code of Conduct*, which would result in the suspension or expulsion of a student.
- o. Arrange the schedule for regular meetings of the faculties of schools and colleges and preside at such meetings.
- p. Interpret the by-laws, rules, and regulations of the schools and colleges.
- q. Direct the assignment of all plant facilities, including buildings, offices, classrooms, laboratories, equipment, and land, and establish uniform rules pertaining to their proper use for all areas under his/her jurisdiction.
- r. Direct the negotiation and administration of faculty collective bargaining agreements in collaboration with the Executive Vice President for Administration and Chief Financial Officer.
- s. Provide executive leadership for the University's information technology initiatives and organization.

C. Executive Vice President for Health Affairs

The Executive Vice President for Health Affairs may also be the Dean of the School of Medicine. He/she is the executive director of the University's Health Center and chief operating officer, except for those operations that report to the Provost, subject to policies established by the Board of Trustees. At all times, he/she is responsible and accountable to the President for the implementation and execution of administration at the University Health Center.

1. The Executive Vice President for Health Affairs is the coordinator of the University's programs of health care performed by the University Health Center, including the Schools of Medicine and Dental Medicine, and the John Dempsey Hospital. He/she is responsible to the President for the coordination and formulation of policies and administration of administrative, business, budget, finance, and other support departments of the University Health Center. The Executive Vice President for Health Affairs is also responsible for the academic programs related to the School of Medicine.

2. *The Executive Vice President for Health Affairs shall:*

- a. Be a member of the President's cabinet ~~and the University Senate~~.
- b. Attend all meetings of the Board of Trustees, except when the President requests otherwise. He/she may participate in discussions, but shall not vote.
- c. Appoint those members of the University staff who report to him/her.
- d. Be a faculty member in the Schools of Medicine and Dental Medicine.
- e. Approve the selection and adjustment in service of all personnel under his/her jurisdiction.
- f. Assist in preparing budgets and recommend budgets and budget adjustments for those units that report to him/her.
- g. Be responsible for all monies and funds of the University Health Center regardless of their source. He/she shall make provision for the safekeeping of such monies and funds and an accurate accounting of their receipt and expenditure.
- h. Submit regular reports to the President and to the Board of Trustees showing the financial condition of the University Health Center and all of its constituent parts and submit an annual statement showing the assets and liabilities of the various funds of the University Health Center.
- i. Make such reports to the State Comptroller or to other State or Federal officials as may be required on the inventories, property, and financial operations of the University Health Center.
- j. Serve as the appellate tribunal for staff members and students in appeals from decisions of staff supervised by him/her. In instances where the Executive Vice President for Health Affairs is also the Dean of the School of Medicine, appeals in the School of Medicine may brought to the President or his/her designee following the decision of the Executive Vice President for Health Affairs.
- k. In collaboration with the Executive Vice President for Administration and Chief Financial Officer, supervise the negotiation of collective bargaining agreements for the faculty and the professional staff at the University Health Center and coordinate the carrying out of the Board of Trustees' responsibilities under those contracts.
- l. Direct the assignment of all plant facilities, including buildings, offices, classrooms, laboratories, equipment, and land, and establish uniform rules pertaining to their proper use for all areas under his/her jurisdiction.

D. Executive Vice President for Administration and Chief Financial Officer

1. The Executive Vice President for Administration and Chief Financial Officer is the chief administrative and financial officer of the University. This position reports to the President.
 - a. He/she is responsible for overseeing long-range financial planning and management of the operating and capital budgets for all units of the University. Working closely with the Provost, the Executive Vice President for Health Affairs, and the other Vice Presidents, the Executive Vice President for Administration and Chief Financial Officer oversees capital and operating budget development, monitoring, and reporting; coordinates operating and capital budgets in conformity with policies set forth by the Board of Trustees; develops financial policy and plans; serves as point of contact for external agencies and partners on financial matters; acts as the President's representative on financial matters to University units and constituencies; and generally promotes the financial health and stability of the University.
 - b. He/she is responsible for overseeing construction, renovation, operation, logistics, and security of the University's physical plant; human resources, labor relations, and payroll; purchasing; business services; and public and environmental safety. He/she is responsible for the formulation of strategy and policies to ensure effective administration, sound business practices, safety and regulatory compliance within these areas in conformity with policies established by the Board of Trustees; serves as the University's point of contact for external agencies and partners on business and operational matters; acts as the President's representative on business and operational matters to University units and constituencies; and generally promotes the operational excellence of the University.
2. *The Executive Vice President for Administration and Chief Financial Officer shall:*
 - a. Be a member of the President's cabinet and the University Senate.
 - b. Attend all meetings of the Board of Trustees, except when the President requests otherwise. He/she may participate in discussions, but shall not vote.
 - c. Approve the selection and adjustment in service of all personnel under his/her jurisdiction.
 - d. Assist the President in the preparation of the University budget and coordinate the activities of the Provost, the Executive Vice President for Health Affairs, the Vice Presidents, and other University officers in preparation of those components of the budget that are under their jurisdiction.
 - e. Be responsible for all monies and funds of the University, regardless of their source. He/she shall provide for the safekeeping of such monies and funds and keep an accurate accounting of their receipt and expenditure.
 - f. Ensure the timely completion of combined University of Connecticut financial statements. Submit regular reports to the President and, through the President, to the Board of Trustees showing the financial condition of the University and all of

its constituent parts and submit an annual statement showing the assets and liabilities of the various funds of the University.

- g. Make such reports to the State Comptroller or to other State or Federal officials as may be required on the inventories, property, and financial operations of the University.
- h. Manage and coordinate the University's responsibilities pertaining to bond financing of capital projects.
- i. Provide support to the President, the Provost, the other Vice Presidents, and those reporting to the Office of the President by providing fiscal planning, coordination, and financial analysis.
- j. Oversee or monitor the execution of major financing agreements.
- k. Administer such support units of the University as the President may designate.
- l. Assist the President in preparation of strategic and tactical operations, plans and initiatives, and coordinate the activities of the Provost, the Executive Vice President for Health Affairs, and the other Vice Presidents in the preparation of similar plans for operational units that are under their jurisdiction.
- m. Supervise the negotiation of collective bargaining agreements with all professional staff of the University and coordinate the carrying out of the Board of Trustees' responsibilities under those contracts.
- n. Be responsible for the development, renovation, maintenance, and safekeeping of the physical assets of the University, regardless of their source.
- o. Manage and coordinate the University's responsibilities pertaining to construction and renovation of facilities.
- p. Direct the University's purchasing and contracting programs.
- q. Provide support to the President, the Provost, the Executive Vice President for Health Affairs, and the other Vice Presidents in development of operational strategy, planning, coordination, and analysis.

E. Vice President for Student Affairs

1. The Vice President for Student Affairs is the chief student personnel officer of the University, and supervises the work of the Division of Student Affairs and the Division of Health Services, and reports to both the President and the Provost.
2. *The Vice President for Student Affairs shall:*
 - a. Be a member of the President's cabinet and the University Senate.

- b. Attend all meetings of the Board of Trustees, except when the President requests otherwise. He/she may participate in discussions, but shall not vote.
- c. Approve the selection and adjustment in service of all personnel under his/her jurisdiction.
- d. Assist deans, directors, and department heads in preparing budgets and recommend budgets and budget adjustments in his/her area to the Provost.
- e. Serve as an appellate agency for students or staff members in appeals from decisions of deans or department heads supervised by him/her.

F. Vice President for Research

1. The Vice President for Research is the University's chief research officer, reporting jointly to the President and Provost. Working closely with the President and the Provost, as well as other Vice Presidents and deans, the Vice President for Research is responsible for the research enterprise and the coordination of research-related operations across the University of Connecticut (i.e., its regional campuses, School of Law, School of Social Work, Graduate Business Learning Center, and University of Connecticut Health Center, including the School of Medicine and the School of Dental Medicine). The Vice President for Research oversees the Research Foundation, consistent with State Statute. He/she oversees the disbursement of funds acquired by the foundation from any source, for purposes of instruction, research, invention, discovery, development, or engineering for the dissemination of information related to such activities and for other purposes approved by the Board. The responsibilities of the Vice President for Research include compliance functions, sponsored programs, internal funding programs, animal research services, as well as overseeing several university research centers.

2. The Vice President for Research shall:

- a. Be a member of the President's cabinet and the University Senate.
- b. Attend all meetings of the Board of Trustees, except when the President requests otherwise. He/she may participate in discussions, but shall not vote.
- c. In consultation with the President, ~~and~~ Provost, and the Executive Vice President for Health Affairs, approve the selection and adjustment of service of all personnel under his/her jurisdiction.
- d. Provide executive leadership for the University's research ~~and economic development~~ initiatives, including working with the President, Provost, the Executive Vice President for Health Affairs, and other Vice Presidents to create, implement, and regularly assess progress on a University-wide research agenda.
- e. Administer such centers and institutes related to research as the President, ~~and~~ Provost, and the Executive Vice President for Health Affairs may designate.

- f. Act as an advocate for the University's research enterprise and economic development initiatives to constituencies inside and outside the University.
- g. Manage the University's responsibilities pertaining to regulatory compliance in research activities.
- h. Oversee the execution of research grants and contracts.
- i. Oversee policies and administration of the University of Connecticut Research Foundation.

G. Other Vice Presidents

The President may establish other Vice President positions and define the duties of such positions in the best interest of the University. The President shall report the establishment of such positions to the Board.

ARTICLE VIII – The University Administration

The administration of the University is determined in part by legislative enactment, in part by the Laws and By-Laws of the Board of Trustees, and in part by regulations made by the President, the University Senate, and the several faculties.

A. Officers of Administration

1. Central

a. President

b. Provost

~~b.c.~~ Executive Vice Presidents

d. Vice Presidents

2. Schools, Colleges, Divisions, Institutes and Centers, and Departments

Each school, college, division, institute, and center shall have a dean or director. Each department shall have an executive officer (the head or chair).

Each administrative officer shall submit to the President such reports as are requested by the President.

B. The Council of Deans

- 1. The Council of Deans shall consist of the deans of the schools and colleges, and such others as the Provost deems appropriate. The Provost shall convene the Council.
- 2. The Council shall provide a forum in which the members may discuss matters of common interest.

C. The Trustee-Administration-Faculty-Student Committee

1. *Membership*

The Trustee-Administration-Faculty-Student (TAFS) Committee shall consist of the members of the committee of the Board of Trustees having cognizance over academic matters; the President; the Provost; the Vice Presidents; the members of the Senate Executive Committee; the President, Undergraduate Student Government; the President, Student Union Board of Governors; the Chair, Residence Hall Advisory Board; the President, Graduate Student Senate; and such other individuals serving in a non-voting capacity as may be designated.

The Chairperson of the TAFS Committee shall be chosen by the Committee at its first meeting each academic year.

2. *Functions*

The TAFS Committee shall serve as a conference committee to facilitate communication among the groups represented thereon, and shall serve as an advisory body to the Board of Trustees on matters where Board action is requested by faculty or student groups and on any others matters that the Board deems appropriate.

3. The TAFS Committee shall meet at least once a year with the first meeting called by the President. Subsequent meetings shall be convened by the chairperson of the TAFS Committee when he/she deems it necessary or at the request of constituent unit members.

ARTICLE IX – The University Senate

There shall be a University Senate that shall be a legislative body for the purpose of establishing minimum rules and general regulations the educational program and mission of the institution, excluding the University of Connecticut Health Center, that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties. The University Senate shall be regularly consulted by the Administration on matters pertaining to the Senate's purview.

A. Membership

The University Senate shall consist of *ex officio* and elected members. The *ex officio* members shall be the President, the Provost, all Vice Presidents, except the Executive Vice President for Health Affairs, and all Vice Provosts, ~~and the Chief Information Officer.~~ These *ex officio* members shall not vote.

The Senate shall contain ninety-one elected, voting members, as follows:

1. Three deans of the schools and colleges, which are Senate electoral constituencies (see Section B below).
2. Seventy-two members of the faculty elected according to one or the other of the two faculty electoral processes described in Section B.2. below.

3. Nine professional staff members elected by and from the constituencies described in Section B.3. below.
4. Five undergraduate students (see Section B.4 below).
5. Two graduate students (see Section B.5. below).

B. Elections

The election of faculty and other staff members to the Senate shall normally be held between October and March and shall be conducted by secret ballot. A minimum of one week, excluding time when the University is in recess, shall be allowed between the distribution and collection of ballots for Senate elections. Those elected shall take office on July 1. All elections shall be in the charge of the Senate Executive Committee and the returns shall be certified by two inspectors of election selected by the Senate.

In any Senate election, persons eligible for election who feel unable to serve shall be given an opportunity to withdraw their names from the list of candidates for nomination. The names of those persons who have withdrawn, as well as the names of persons who are completing two consecutive terms, shall be indicated on the nominating ballot.

After the election, the number of votes received by those persons who were elected and the number of votes received by nominees who were not elected must be kept on file in the Senate Office.

The Senate Executive Committee shall retain on file the number of votes cast for each candidate in each constituency for each annual class whose term has not expired, and shall designate the available candidate with the highest vote at the last previous election in the proper constituency as the alternate to replace any senator whose place will be vacant in any semester because of resignation, retirement, or leave of absence from the University. Priority in case of tie votes shall be determined by lot. Such alternates shall be designated for up to one year at a time and at the beginning of the semester unless the vacancy occurs later.

When it is known that there will be a vacancy throughout the last year or last two years of a three-year term in a constituency not otherwise scheduled to participate in a regular annual election, then that constituency will participate in order to elect a replacement to serve for the one- or two-year period remaining in the unexpired term.

The term of office of a senator shall begin on July 1 and shall extend for one year in the case of student members and for three years in the case of other elected members. Senators shall be eligible for re-election, except that a member who has completed two consecutive three-year terms shall be ineligible for re-election to serve during the year which immediately follows the period of consecutive service (which may have been extended by virtue of election to the Senate Executive Committee).

1. The Deans shall constitute a constituency from which they will elect one member each year for a three-year term.
2. Two electoral processes for faculty membership in the Senate shall be followed, (a) constituency and (b) at-large. Faculty members shall not be eligible to stand for

election or to vote in the first regular election following their initial appointment to the faculty.

a. *Faculty constituency elections*

The voting members of the faculty (see Article X.A.) of each of the following units shall, for these purposes, be deemed an electoral constituency: College of Agriculture and Natural Resources, School of Business, Neag School of Education, School of Engineering, School of Fine Arts, School of Law, College of Liberal Arts and Sciences, School of Nursing, School of Pharmacy, School of Social Work, and the Avery Point, Hartford, Stamford, Torrington, and Waterbury Regional Campuses. A person who is a voting member of more than one faculty will belong to only one constituency, that of the faculty in which his or her principal appointment is held.

The number of senators to be elected from each constituency will be one for each thirty faculty members or major fraction thereof within that constituency, with the proviso that each school, college, or regional campus will have at least one senator. The faculty of a school, college, or regional campus that is thus allocated more than one senator will have the option of establishing non-overlapping sub-constituencies. Senators chosen from a constituency will be elected by and from among the members of that constituency. The determination of the proper number of senators to be allocated to each constituency will be reviewed annually by the Senate. The annual schedule for electing members from each constituency shall be determined by the Senate in such a way as to provide for the optimum staggering of three-year terms within each constituency and for a reasonable degree of uniformity in the total number of members to be elected each year from all constituencies. To achieve these objectives, when a constituency becomes eligible to elect an additional senator, the initial term may be limited to one or two years in order that succeeding three-year terms may begin in the most appropriate years.

b. *At-large elections*

The number of faculty elected at-large will be the number remaining after the number of senators to be elected from constituencies is subtracted from seventy-two. Senators chosen at-large will be elected by and from the faculty electoral constituencies.

No school/college shall have more than five faculty representatives elected at-large except the College of Liberal Arts and Sciences, which may have no more than fifteen.

3. The professional staff¹ members shall be elected according to procedures approved by the Provost and Executive Vice President for Academic Affairs from four constituencies as described below. Staff members are ineligible to stand for

¹ For University Senate constituency purposes, professional staff shall be defined as:

- I. Full-time (nine month or more) management exempt employees not included in the faculty or administrative constituencies.
- II. Full-time (nine month or more, non-student) professional staff represented by the professional employees collective bargaining unit and not included in the faculty constituency.
- III. Full-time (nine month or more, non-student) professional staff represented by the faculty collective bargaining unit but not included in the faculty constituency.

election or to vote in the first regular election following their initial appointment to the staff.

- a. Division of Student Affairs and Enrollment Planning and Management (excluding regional campuses), who shall elect two senators.
 - b. University libraries (excluding regional campuses), who shall elect one senator.
 - c. Regional campuses, who shall elect one senator.
 - d. All professional staff shall elect five at-large senators.
4. Undergraduate student members shall be appointed by the President of the Undergraduate Student Government according to procedures established by the Undergraduate Student Government.
 5. Graduate student members shall be appointed by the President of the Graduate Student Senate according to procedures established by the Graduate Student Senate.

C. Functions

The University Senate is a legislative body for the purpose of establishing minimum rules and general regulations pertaining to the educational program and mission of the institution that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties.

The University Senate shall appoint ex-officio representatives to the Board of Trustees committees, with full participation in discussion but without vote. These representatives will have the same status at Board of Trustees meetings, with voice but without vote. When executive sessions are called only those persons whose presence is necessary to provide their opinion on the issue at hand will be invited into Executive Session.

The University Senate will make other appointments to University committees and boards as it deems appropriate.

D. Officers

The President of the University shall be Chair of the University Senate. If the President chooses not to preside, the presiding officer shall be the Provost. A moderator shall be elected from the membership for an annual term beginning in September. At a meeting called at the request of at least twenty-five percent of the members, the presiding officer shall be the Chair of the Senate Executive Committee.

A recording secretary of the Senate, elected by the Senate, is responsible for recording and distributing minutes of Senate meetings.

E. Meetings

Meetings of the University Senate shall be held regularly at times to be fixed by the Senate. The dates and times of meetings shall be published at the start of the academic year.

Special meetings of the University Senate may be called by the President or by the Secretary at the request of at least twenty-five percent of the members. Such request, with signatures, shall be presented in writing to the Secretary. The call for a special meeting shall be issued at least a week in advance of the meeting and shall state the purpose of the meeting.

On the request of any member of the Senate, if supported by four colleagues, a record vote shall be taken. These record votes shall be made a part of the minutes.

F. Minutes and Reports

Minutes of the meetings of the University Senate shall be posted on the University Senate website and sent to the University Archives. The University Archives shall be the official place for filing minutes of the University Senate.

G. Committees

1. The Senate Executive Committee

The Senate Executive Committee (SEC) shall consist of eight faculty members, one professional staff member, and one undergraduate and one graduate student member. The faculty and professional staff members shall be elected by the Senate from among the elected members of the Senate who are not primarily administrators. Department heads and directors are not regarded as primarily administrators. The undergraduate and graduate members shall be selected from among and by all student members of the Senate. The Undergraduate Student Government and Graduate Student Senate Presidents or their student Senate member designee will serve as the interim student representatives to the Senate Executive Committee during the summer months until the permanent members are selected.

Elections shall follow the annual election of such members and shall normally take place in April. For faculty and professional staff members, a term of service on the Executive Committee shall begin on July 1 and shall be for three years. The term of membership in the Senate of a faculty or professional staff representative elected to the Executive Committee shall be automatically extended, if necessary, to be co-terminal. For the student member, the term of service shall be one year, renewable to a maximum of three consecutive years. After the annual election, the Senate shall elect one of the nine faculty and professional staff members to serve as Chair of the SEC for a one-year term, which may be renewed. A vacancy on the SEC shall be filled by election for the duration of the vacancy.

No school/college shall have more than one faculty representative elected in any class, except the College of Liberal Arts and Sciences, which may have as many as two representatives in any class. The College of Liberal Arts and Sciences may have no more than a total of four members on the SEC at any time and no other school/college may have more than two members at any time. No faculty or professional staff senator shall be eligible for immediate re-election to the SEC.

The SEC is responsible for organizing and coordinating the business of the Senate and of Senate committees. To this end, it shall maintain a clerical staff and an office. It shall distribute an agenda for each Senate meeting to the faculty and professional staff at least five days before the meeting. (Items of an extraordinary nature may be considered at a Senate meeting which have not been included in the agenda for that meeting.) It shall receive the reports of Senate committees before they are forwarded to the Senate. It also shall be available to be consulted as the voice of the Senate, especially in reference to resolutions of the Senate, which are designed to be transmitted ultimately to the Board of Trustees. SEC members shall also constitute the faculty membership of the Trustee-Administration-Faculty-Student Committee (see Section VIII.C.).

2. Committee of Three

The Committee of Three, which functions in faculty dismissal and grievance procedures, is to be constituted as follows: Each fall, after the Senate election for the Faculty Review Board is completed, the Nominating Committee shall submit to the Senate for the election of one person to the Committee of Three for a three-year term by written ballot, a slate of at least three faculty members who are tenured professors (excluding those who have the administrative titles of department head or higher). The slate of nominees must not represent the same department as any member whose term continues into the following year. Members of the Faculty Review Board shall not be eligible for nomination.

When a vacancy occurs in the membership of the Committee of Three, the Senate shall elect in the same manner from the faculty group in which the vacancy exists, except if the vacancy is for less than one year, then it shall be filled by the available candidate with the highest vote in the last previous election in the appropriate class and constituency.

3. Standing/Special Committees of the Senate

The Senate may appoint and define the duties of standing or special committees. The Provost shall be or assign a delegate to be an *ex officio* member of all standing committees.

Each standing committee shall keep accurate records of its proceedings and shall provide these to the SEC. Reports shall be presented to the University Senate as often as the amount and nature of business warrants, and at least annually.

Special committees shall be considered as discharged, without formal vote, when their final reports have been received by the Senate.

ARTICLE X – The Schools and Colleges

A. Membership

The faculty of each school/college except the Graduate School, the School of Medicine, and the School of Dental Medicine shall consist of the President, the Provost, the dean of the school/college, and all full-time professors, associate professors, assistant professors, and instructors belonging to departments administratively organized, regardless of campus

affiliation, in the school/college and others who are appointed by the Provost either as voting members of the faculty or as associates and consultants without voting rights.

The faculty of the Graduate School shall include the President, the Provost, the Executive Vice President for Health Affairs, the Vice President for Research, and the Vice Provost for Graduate Education and Dean of the Graduate School, and all members of the University staff appointed as graduate advisors by the Provost.

The voting and non-voting faculty of the Schools of Medicine and Dental Medicine shall be defined in the by-laws of the schools and shall include the President, the Provost, the Executive Vice President for Health Affairs and the Dean of the school.

B. Functions

The functions of each school/college are to:

1. Establish and maintain published policies and procedures, approved by the Provost, or, for the School of Medicine, the Executive Vice President for Health Affairs, in consultation with the President, describing the standards by which the school/college conducts its business.
2. Enforce the rules and regulations of the University Senate as they apply.
3. Establish degree programs in particular majors with the approval of the appropriate faculty, the dean of the school/college, the Provost, or the Executive Vice President for Health Affairs, and the Board of Trustees.
4. Set up and administer curriculum and degree requirements appropriate to its mission and responsibility.
 - a. Standards more rigid or more specific than those outlined by the University Senate may be imposed by the school/college voting faculty. Standards less rigid or less specific than those approved by the University Senate may not be established.
 - b. Administer courses established by the Senate that serve to meet the curriculum requirements of the University. Establish and administer other courses in fields appropriate to the school/college provided that all courses open to freshmen and sophomores are approved by the Senate.
 - c. To suggest basic and prerequisite courses for the freshman and sophomore years and graduate programs, as appropriate.
 - d. Establish and administer other courses in fields appropriate to the school/college at the junior and senior years and graduate levels.
5. Set up admission requirements in line with the purpose and responsibility of the school/college and the University.
6. Plan and execute programs of research and service in line with the general policy of the University.

7. Monitor the academic progress of its students and assist them in educational planning and placement.
8. Maintain records and reports on students, staff, programs and services, and budget.
9. Integrate its program with the general program of the University and foster effective coordination of effort.
10. Secure the safety and proper inventory of all University property assigned to it for instructional, research, or service purposes.

In addition to the relevant functions above, the Graduate School facilitates graduate research and education at the University. It administers, promotes, and reviews all University post-baccalaureate educational programs and curricula, with the exception of programs in Law, Medicine, Dental Medicine, and the Sixth-year Professional Diploma in Education. It serves as an advocate for the University's research and scholarly mission.

C. Officers

The Provost shall preside at meetings of the faculties of the several schools and colleges, except that the Executive Vice President for Health Affairs shall preside at meetings of the faculty of the School of Medicine. –In the absence of the Provost, the dean of the school/college concerned shall preside, or if the dean prefers, the faculty may elect a presiding officer.

The dean of each school/college shall be its executive officer. The dean shall be responsible to the Provost for the work and proper administration of the school/college. The dean of the School of Medicine, if different from the Executive Vice President for Health Affairs, shall be responsible to the Executive Vice President for Health Affairs for the work and proper administration of the school.

Each school/college shall elect annually a secretary who will call faculty meetings to order in the absence of the Provost and the dean.

D. Meetings

Meetings of the schools and colleges shall be held regularly. Special meetings of the schools/colleges may be called by the Provost, or, for special meetings of the School of Medicine, by the Executive Vice President for Health Affairs, or by the secretary, at the request of at least twenty-five percent of the voting members. Such request, with signatures, shall be presented in writing to the secretary. The call for a special meeting shall be issued at least a week in advance of the meeting and shall state the purpose of the meeting.

E. Minutes and Reports

Minutes of the meetings of the schools/colleges shall be made available to the Provost, or, for minutes of the meetings of the School of Medicine, to the Executive Vice President for

Health Affairs, and the faculty and sent to the University Archives. The University Archives shall be the official place for filing minutes of the school/colleges.

- F. The Provost, or, regarding the School of Medicine, the Executive Vice President for Health Affairs, shall communicate in writing to the secretaries of the various schools/colleges and of the University Senate, insofar as they are mutually concerned, such actions by these bodies that will, impact the understanding, integration, and coordination of the academic program of the University.

G. Committees

1. *Standing Committees*

The dean of each school/college shall appoint and define the duties of standing committees.

The Provost and the dean of the school/college concerned, or their designees, shall be *ex officio* members of all standing committees.

Each standing committee shall keep a record of actions taken and shall file copies with the President, the Provost, the University Archives, and the dean of the appropriate school/college. Reports shall be presented to the appropriate school/college by the committee chair, or designee, at least annually.

2. *Ad Hoc Committees*

Ad Hoc committees may be set up by the President, the Provost, the Executive Vice President for Health Affairs, the deans of schools/colleges, or the faculties of schools/colleges at any time. The members of ad hoc committees shall be selected by the appropriate dean unless the faculty specifies some other means of selection.

All ad hoc committees shall report to the authority that initiated their appointment and shall be considered as discharged, without formal vote, when their final reports have been made.

ARTICLE XI – The Divisions

The Divisions are administrative units with programs that serve the entire university. Divisions must integrate their programs with the general mission of the University and foster effective coordination of effort. Divisions do not administer academic programs.

Each division shall have an executive officer who reports to the President, Provost, or Vice President. The executive officer is responsible for the administration of the division, including the hiring of personnel. Each executive officer shall keep adequate records of all personnel, programs, and budgets of the division and shall submit such reports as are required including an annual report to the Senate.

Each executive officer may have an advisory committee appointed by the President, the Provost, or appropriate Vice President. These committees are advisory to the executive officers of the divisions, and not to the Senate, nor are they responsible to the Senate.

Standing or ad hoc committees may be established by the President, the Provost, the Vice President or the executive officers as appropriate.

ARTICLE XII – The Institutes and Centers in Academic Affairs

A. Functions

The ability to make significant contributions to the University's teaching, research and outreach mission, and to solve increasingly complex problems, may be facilitated by interdisciplinary approaches that enable and encourage collaborative contributions from different disciplines. A center or institute provides a venue for activities that cannot flourish within existing academic structures. The goals of the center or institute should be congruent with those of the institution and capable of enhancing the achievement of these goals more efficiently than existing departments.

A *Center* is a unit that ordinarily has a focused mission and a clearly defined objective. It may involve a group of faculty from one or more departments from a single school/college or from different schools/colleges.

An *Institute* is a unit that ordinarily is larger, has a broader mission, and a more complex interdisciplinary focus than a center. It is expected that most institutes would involve faculty from multiple departments and schools/colleges. Unlike centers, a primary mission of institutes is an academic one.

B. Membership

Each institute or center shall consist of a director and a minimum of four affiliated faculty. The director will be appointed by the dean or other officer to whom the unit reports, in consultation with the Provost, or, for institutes and centers solely housed in the School of Medicine, the Executive Vice President for Health Affairs. The director shall be responsible for appointing any staff associated with the center or institute. Faculty appointments and consideration for promotion and/or tenure continue to be granted through academic departments of the University.

C. Reports

Each institute or center must have clear lines of responsibility, usually through a dean to the Provost, the Executive Vice President for Health Affairs, or to the Vice President for Research. Centers may be primarily responsible to a department head, if department heads of affiliated faculty and the relevant dean approve. Externally sponsored activities (e.g., research/training grants, donations/gifts, fees for services and contracts and letters of agreement) of institutes and centers must have prior approval from the responsible administrative officers.

All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission, except that centers and institutes in the Schools of Medicine and Dental Medicine will be reviewed at least every seven years. Each center

and institute will submit an Annual Report that documents scholarly accomplishments and productivity, including funding obtained during the year and any changes in the membership of participating faculty. The report is to be submitted to the department head(s) and dean(s) of the participating department(s) and school(s)/college(s) and a copy provided to the Provost. The Provost or the Executive Vice President for Health Affairs will routinely inform the Board of Trustees, and if appropriate, the Health Center Board of Directors, of the establishment and discontinuation of all centers and institutes.

ARTICLE XIII – The Departments

A. Membership

Department members shall consist of all faculty as defined in Article X at all campuses. Each faculty member is assigned to a primary campus and when granted tenure, is tenured throughout the University. Should the primary campus cease to exist or a tenured faculty member's program be terminated at the primary campus, the faculty member would be assigned to another campus with that program.

B. Functions

Departments are organized in terms of subject matter areas. They bear definite cross-relationships, but their organization into distinct administrative units is intended to assure that the attention of small groups of faculty members will be centered on teaching, research, clinical activities, and/or service in these areas.

The functions of each department are to:

1. Develop an educational program designed to meet the needs of the students in the various schools/colleges and of other individuals and groups served by the teaching, research, clinical, and/or service programs.
2. Evaluate and improve its educational programs.
3. Recommend to the faculty of its school/college changes intended to improve the work of the department.
4. Secure the safety and proper inventory of all University property assigned to it.
5. Integrate its program with the general program of the University and to foster effective coordination of effort.

C. Officers

Each department shall have an executive officer (head/chair) who shall represent his/her department and who shall report to the dean of the school/college within which the department is organized. Except at the Health Center, the executive officer shall not serve more than two consecutive terms unless the majority of his/her department recommends otherwise. Health Center executive officers do not have term limits.

D. Meetings

Meetings of the department may be called by the executive officer of the department, the dean of the school/college within which the department is organized, ~~or~~ the Provost, or, in the School of Medicine, the Executive Vice President for Health Affairs. Each department shall hold meetings as needed.

ARTICLE XIV – The University Staff

- A.** The President shall appoint individuals and members of units reporting to him/her. All other members of the faculty and professional staff shall be appointed by the Provost, the Vice Presidents, or their designees. Tenure shall only be granted by the Board of Trustees or as delegated to the Board of Directors.

Termination for cause of a tenured faculty member or the dismissal for cause of a faculty member previous to the expiration of a term appointment shall be made only by the affirmative vote of a majority of members of the Board of Trustees or, for faculty in the Schools of Medicine and Dental Medicine, of the Board of Directors.

- B.** Except for faculty in the School of Medicine, ~~The~~ The Provost shall recommend to the Board of Trustees the categories of the professional staff to which the regulations concerning academic tenure apply. The Provost shall consult with the University Senate before making such recommendation to the Board of Trustees.

Academic freedom applies to all members of the faculty and professional staff of the university.

1. All members of the faculty, whether tenured or not, are entitled to academic freedom set forth in the 1940 Statement of Principles on Academic Freedom and Tenure formulated by the Association of American Colleges and the American Association of University Professors. The faculty member is entitled to full freedom in research and in the publication of the results, subject to the adequate performance of his/her other academic duties, but research for monetary return should adhere to University policies.
2. The faculty member is entitled to freedom in the classroom in treating his/her subject and in conducting a class. The faculty members should not contravene the free speech and academic freedom of other members of the professional staff, nor impede faculty, other members of the professional staff, or students, in their central tasks of teaching, research, and learning.
3. The faculty member is a citizen, a member of a learned profession, and an officer of an educational institution. When the faculty member speaks or writes as a citizen, he/she should be free from institutional censorship or discipline, but the faculty member's special position in the community imposes special obligations. As a person of learning and an educational officer, he/she should remember that the public may judge the faculty member's profession and the University by his/her utterances. Hence, he/she should at all times be accurate, should exercise appropriate restraint, should show

respect for the opinions of others, and should make every effort to indicate that he/she does not speak for the institution.

4. Membership in the academic community imposes on students, faculty members, professional staff, and trustees an obligation to respect the dignity of others, to acknowledge their right to express differing opinions, and to foster and defend intellectual honesty, freedom of inquiry and instruction, and free expression on and off the campus. The expression of dissent and the attempt to produce change, therefore, may not be carried out in ways which injure individuals, damage institutional facilities, or disrupt classes. Speakers on campus must not only be protected from violence, but given an opportunity to be heard. Those who seek to call attention to grievances must not do so in ways that significantly impede the functions of the institution.

Students are entitled to an atmosphere conducive to learning and to even-handed treatment in all aspects of the teacher-student relationship. Faculty members may not refuse to enroll or teach students on the grounds of their beliefs or the possible uses to which they may put the knowledge to be gained in a course. The student should not be forced by the authority inherent in the instructional role to make particular personal choices as to political action or the student's own part in society. Evaluation of students and the award of credit must be based on academic performance professionally judged and not on matters irrelevant to that performance, whether personality, race, religion, degree of political activism, or personal beliefs.

It is a teacher's mastery of his/her subject and scholarship which entitle him/her to the classroom and to freedom in the presentation of his/her own subject. Thus, it is improper for an instructor persistently to introduce material that has no relation to his/her subject or to fail to present the subject matter of the course as announced to the students and as approved by the faculty in their collective responsibility for the curriculum.

Because academic freedom has traditionally included the teacher's full freedom as a citizen, most faculty members face no insoluble conflicts between the claims of politics, social action, and conscience, on the one hand, and the claims and expectations of their students, colleagues, and institutions, on the other. If such conflicts become acute and the teacher's attention to his/her obligations as a citizen and moral agent precludes the fulfillment of substantial academic obligations, the teacher cannot escape the responsibility of that choice, but should either request a leave of absence or resign his/her academic position.

5. The University, in fulfilling an essential function as a forum for the free expression of ideas, shall endeavor to preserve and facilitate the full enjoyment of constitutionally protected civil liberties.

Members of the professional staff shall have equal opportunity in their employment regardless of gender, race, religion, national origin, or disability.

The highest standards shall be sought in the protection of all forms of freedom of thought, expression, association, and peaceful assembly. In the exercise of these civil liberties the members of the professional staff are obliged to protect the same rights of

others and to bear in mind their respective obligations to their profession and to the University.

C. Academic Appointment and Tenure²

The Provost shall recommend to the Board of Trustees the categories of the professional staff to which the regulations concerning academic tenure apply. The Executive Vice President for Health Affairs shall recommend to the Board of Directors the categories of the professional staff to which the regulations concerning academic tenure apply in the School of Medicine. The Provost shall consult with the University Senate before making such recommendation to the Board of Trustees. No professional staff member who has not attained tenure by vote of the Board of Trustees or the Board of Directors, as set forth herein, shall have a claim that he/she has tenure.

1. The terms and conditions of every appointment to the faculty will be confirmed in writing and an appointment letter will be provided to the faculty member. Any subsequent extensions or modifications of an appointment and any special understandings or any notices incumbent upon either party to provide, will be confirmed in writing and a copy will be given to the faculty member.
2. With the exception of special appointments clearly limited to a temporary association with the institution, all full-time appointments to the rank of instructor or higher are of three kinds: (1) probationary appointments in a tenure track position; (2) tenured appointments; and (3) at the Health Center, in-residence appointments.
3. Except for faculty members who have tenure status, every person with a teaching or research appointment of any kind will be informed each year in writing of the terms of his/her appointment.
4. Beginning with appointment to a full-time, tenure track position, the probationary period shall not exceed seven years, except when the original appointment is in January

² The regulations concerning academic tenure in this and the following sections apply only to members of the professional staff who hold full-time appointments in certain ranks recognized by the Board of Trustees. These recognized ranks include Instructor, Assistant Professor, Associate Professor and Professor, but do not include the following: Lecturer; Professor in Residence; Associate Professor in Residence; Assistant Professor in Residence; Instructor in Residence; Clinical Professor; Associate Clinical Professor; Assistant Clinical Professor; Clinical Instructor; Research Professor; Associate Research Professor; Assistant Research Professor; Research Instructor; Research Associate I, II, III; Research Assistant I, II, III; Research Specialist; Special Research Technician; Graduate Assistant; Extension Professor; Associate Extension Professor; Assistant Extension Professor; Extension Instructor; Specialist I, II, III, IV; Assistant Instructor; University Educational Director; Department Head, Student Affairs; University Educational Assistant I, II, III; University Associate Librarian; University Assistant Librarian; University Librarian I, II, III; University Library Assistant I, II, III; University Library Specialist; University Staff Professional I, II, III, IV, V, VI; University of Connecticut Professional I, II, III, IV, V, VI, VII, VIII, IX, X, XI, XII; University Technician I, II; University Physician; Resident Educational Counselor; and titles that contain the words "University Hospital" (e.g., University Hospital Nurse I). The one exception to the standard of "full-time appointment" shall be if University of Connecticut Health Center (UCHC) faculty work full-time in total, but are employed in part by UCHC and in part by the Veterans' Administration Medical Center (VAMC), then they may be granted a tenure track appointment. Both the tenure track appointment and tenure, if awarded, would be co-terminus with the VAMC employment. If the VAMC employment ends, the UConn tenure also ends. If the Health Center School of Medicine or School of Dental Medicine wishes to hire the faculty member full time, then tenure may be continued. If not, the faculty member will enter the in-residence track. Academic tenure does not confer upon any staff member the right to continued assignment to administrative responsibilities.

or as provided elsewhere in the University By-Laws. When the original appointment is in January, the probationary period shall not exceed seven and one-half years.

For faculty with primary appointments in the Schools of Medicine or Dental Medicine, the probationary period shall not exceed nine years, including within this period full-time service in all institutions of higher education, except when the original appointment is in January. Then the probationary period shall not exceed nine and one-half years.

New appointees to tenure track positions with prior service in a tenure track position at another university may, in consultation with their deans and department heads, be granted up to a full probationary period at the time of hire. The probationary period agreed upon in the candidate's offer letter will be viewed as the tenure track period.

Ordinarily, a leave with or without pay awarded for the purpose of pursuing scholarly research activities shall be included in the probationary period toward tenure.

A leave of at least one semester for personal or other reasons ordinarily will not be included in the probationary period toward tenure. Such leaves must be requested in writing by the faculty member and granted in writing by the department head, dean, and the Provost. The Executive Vice President for Health Affairs may receive requests for such leaves, after granted in writing by the department head and dean, for faculty in the School of Medicine.

Faculty members who provide timely notice to the University that they have experienced a qualifying major life event, as defined under the Federal Family and Medical Leave Act, prior to their mandatory tenure evaluation year will receive an automatic one-year extension of the tenure clock.

For faculty with primary appointments in the School of Medicine, significant life events may be allowed to increase the probationary period leading to promotion or tenure for a maximum of twenty-four months. An increase in the probationary period leading to promotion and/or tenure is automatically awarded when the faculty member takes leave documented and approved under the Federal Family Medical Leave Act, the State of Connecticut Family Medical Leave Act, or the State of Connecticut Workers' Compensation Act. Alternatively, the faculty member may request an increase in the probationary period to tenure (but not to promotion) even when the above criteria are not met, but when the faculty member's productivity is affected by a significant life event. Such requests must be approved by the Department Chair and the dean or his/her designee.

Faculty who wish to be considered for promotion and tenure before the end of the probationary period will be evaluated by the same standards applied to faculty who have taken the full probationary period.

The University ordinarily requires of all new appointees a probationary period of at least one year in this institution, but tenure may be granted at any time thereafter and before the expiration of the maximum probationary period by vote of the Board of Trustees or for the faculty of the Schools of Medicine and Dental Medicine, by vote of the Board of Directors. In appropriate circumstances, tenure may be granted by the Board of Trustees or for the faculty of the Schools of Medicine and Dental Medicine,

by vote of the Board of Directors upon arrival at the University at the rank of Professor, and, in special circumstances, at the rank of Associate Professor.

Following award of tenure, a faculty appointment shall be terminated only for adequate cause or under extraordinary circumstances of financial exigencies.

5. Regardless of the stated term or other provisions of any appointments, written notice that a probationary appointment is not to be renewed will be given to the faculty member in advance of the expiration of his/her appointment as follows: (1) Not later than March 15 of the first academic year of service, if the appointment expires at the end of that year or if a one-year appointment does not coincide with an academic year, at least three months in advance of its termination; (2) not later than December 15 of the second academic year of service, if the appointment expires at the end of that year or if an initial two-year appointment terminates during an academic year, at least six months in advance of its termination; (3) at least twelve months before the expiration of an appointment after two or more years of service at the institution. The institution will normally notify faculty members of their renewals by March 31.

D. Policies and Procedures Relating to Rank, Salary, and Advancement

1. Tenure and promotion in the professorial ranks will be granted only to persons of outstanding achievement consistent with standards expected of a top public research university. Specific evidence of superior performance in scholarship and in teaching is of primary importance. As a minimum standard for tenure and/or promotion, there must be evidence of strong performance in both scholarship and teaching and superior achievement in at least one of these areas. In addition, other contributions to the University will be considered. In individual cases where it is demonstrated that there has been meritorious professional service through which the faculty member has achieved distinction in the profession, such service may also receive significant weight. Ordinarily, those in the rank of assistant professor are not eligible for tenure. Continued reappointment of a person in a tenure track position, after it is clear that he/she will not become eligible for permanent tenure, cannot be justified on grounds of immediate convenience. In his/her own interest and that of the University, he/she should be released after the customary notice.

Policies for promotion should operate to advance the most promising. These policies should give careful consideration both to current performance and to future promise and should convey an assurance of impartiality by recognizing and rewarding accomplishment and by maintaining opportunities for advancement. To do this, the policies must be based on merit, determined on the broadest possible basis.

The value of a faculty member to the University results from the possession of a number of different qualities. In enumerating certain of these, it is not intended to formulate a rigid set of standards, nor to require that all faculty attain a stated minimum in each of the items. The value of each person is rather to be judged by both strengths and weaknesses so as to arrive at an estimate of his/her total contribution. No fixed numerical weighting can be prescribed, though greater emphasis should be placed on scholarship, teaching ability, and activity in research than on other characteristics.

A faculty member's salary is in large part a payment for services rendered; however, it includes an additional element that is an investment by the University in the potential of the individual. Adequate salaries permit the assumption of the expenses of travel and study and other professional and cultural activities and provide an appropriate standard of living. Inadequate salaries are contrary to the interest of the University because they impede faculty development or force faculty to supplement earnings at the expense of the time needed for study, thought, and research – and may, of course, even involve the loss of valuable faculty.

2. *Qualities desired in faculty of all ranks*

All candidates for appointment to the faculty and for promotion are expected to show satisfactory attainment and continuous growth in the following qualities, though in varying degrees and in different proportions. The individual's accomplishment in each should be evaluated on every occasion when advancement in salary or rank is being considered and particular care must be exercised when it is proposed to grant tenure.

- a. Professional competence in the field of his/her specialization, as evidenced initially by the record of his/her training and scholastic achievement, and later by the opinion of professional colleagues here and elsewhere; and in certain fields by the execution of professional commissions, the giving of expert testimony, and the like; or by the holding of a license for professional practice, where licensing has been established.
- b. Teaching ability and performance, beginning with the capacity to excite interest and evoke response in students, to broaden their outlook, to impart knowledge, to see and convey relationships, to encourage the faculty of criticism, and to stimulate a sense of inquiry. The educational role of the faculty member is not confined to the classroom. It should include willing skillful attention to the individual student who can profit from additional help in the mastery of a course to which the faculty member is assigned. Also important is the role of academic advisor, who will encourage students to gain as much as possible from the educational resources of the University and will guide the student to the proper selection of courses consistent with his/her academic and life goals.
- c. Research and such other scholarly activity as gives evidence of the effective utilization and continuing development of his/her natural endowment. This will ordinarily result in publications, lectures, or papers at professional meetings and should in any case be reflected in the vitality of the person's teaching. Such activity will take many forms, some of which are:
 - i. Study, including reading, laboratory work, and museum work;
 - ii. Membership and appropriate activity in one or more professional societies;
 - iii. Writing—creative, critical, analytical, summarizing, or editorial;
 - iv. Creative work in other fields, such as the arts and engineering;

- v. Research, including both the routine application of known techniques to the accumulation and analysis of new data, and the invaluable gift of making fundamental contributions to knowledge;

Creative activity in the arts and the ability to make research contributions of the second or fundamental kind will be especially noted and rewarded.

- d. Although the qualities listed above should receive the greatest consideration, the following items bearing on professional fitness also should be taken into account, particularly when new appointments are being made and at the end of the probationary period.
 - i. Personal attributes; integrity, industry, open-mindedness, objectivity, friendliness, effectiveness in speaking, capacity for leadership and cooperation, breadth of intellectual interests.
 - ii. Concern for the educational, social, and personal welfare of students, such as is demonstrated, for example, by success in mentoring.
 - iii. Willingness and ability to assist in the various types of service which a state University renders, in the answering of inquiries, the giving of advice, the conduct of surveys, and the like.
 - iv. Competence in fulfilling responsibilities toward democratic University government, as in department and faculty meetings, committee work, and administrative duties.
 - v. A sympathetic but discriminating interest in the development of the University, and the assumption of a share of responsibility for the efficient execution of its functions; or in the case of recent appointees, indication that this interest will develop in due time.
- 3. In addition to these general desiderata, the following qualifications will be borne in mind in making appointments or promotions to the several ranks.
 - a. *Qualifications for instructors*
 - i. Training or experience appropriate to the performance of his/her assigned responsibilities. In many cases, this will mean the possession of, or evidence of, substantial progress toward the appropriate terminal degree.
 - ii. A consensus on the part of those qualified to judge that the faculty member's training, experience, and interest in his/her subject are appropriate for the performance of the duties to be assigned.
 - iii. For certain types of teaching, it may be more desirable to appoint persons whose qualifications will not ordinarily entitle them to advance into the upper levels of rank and salary.

Note: Two years is regarded as the maximum length of service in the rank of instructor for those in a tenure track position.

b. *Qualifications for assistant professors*

- i. The possession of the appropriate terminal degree.
- ii. A record of success in his/her work. Information considered may include the judgment of colleagues, information from students, and occasionally the progress and achievement of his/her students.

c. *Qualifications for associate professors*

Continued growth in the qualities desired in all faculty members, especially evidence that he/she is keeping abreast of the times in method and subject matter, and a consensus among colleagues that the faculty member is making a substantial contribution to the advancement of knowledge in his/her field.

d. *Qualifications for professors*

- i. Service here or elsewhere as an associate professor of at least five years except when there is evidence that he/she is of superior ability as compared with other associate professors.
- ii. Evidence that he/she is regarded by colleagues within and outside the University as a capable, mature teacher, and a recognized scholar.

e. *Special Title: Board of Trustees Distinguished Professor*

- i. The title “Board of Trustees Distinguished Professor” should be reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut.
- ii. The Board of Trustees Distinguished Professor title will be conferred as a result of a peer review process. The Review Committee will solicit and evaluate all nominations. The Review Committee will obtain detailed information including materials from external sources and recommend candidates to the Board of Trustees via the Provost.
- iii. The title will normally be awarded to three persons per year. Up to five persons per year may be recognized when the total number of Board of Trustees Distinguished Professors drops below 5% of the full professors on the active faculty.

4. Procedure for Reviews of Faculty

- a. The status of every faculty member with regard to salary and/or rank shall be considered at least once each year. The head of the department shall ordinarily be responsible for seeing that this is done.

- b. It is the duty of each department head to conduct a continuing appraisal of the work and potentialities of the people in the department and by informal consultation, to ascertain the views of the other members of the department. It is his/her responsibility not only to give his/her own appraisal, but also to transmit that of his/her colleagues within the department. In this connection, it should be emphasized that all such evaluations are to be based on the criteria listed above. Recommendations resulting from these reviews, with supporting data, shall be sent to the dean of the school or college, and by the dean, with his/her own recommendations, to the Provost, or, for faculty in the School of Medicine, to the Executive Vice President for Health Affairs. If the Executive Vice President for Health Affairs is also the Dean of the School of Medicine, recommendations of the Executive Vice President for Health Affairs shall be sent to the President or his/her designee.

5. Promotion and tenure procedures

In considering nominations for promotion and tenure research activities of candidates shall be judged by those who are competent in the general field, who have read the publications of the candidate, and who are familiar with the progress of his/her unpublished work. Care must be exercised to determine the actual amount and true nature of the work. Undue emphasis should not be placed upon mere volume of publication.

- a. In view of the paramount importance of good teaching and the difficulty of judging the quality of a teacher's performance, student evaluations shall be taken into consideration during promotion and tenure decisions. Student evaluations shall be conducted according to procedures approved by the University Senate. Caution must be observed to discount mass prejudices and to avoid overestimating the impressions of the moment, which may well be different from the considered judgment of later years. Student evaluations shall also be available to deans and heads of departments.
- b. The Provost or, for candidates in the School of Medicine, the Executive Vice President for Health affairs will accept and may request new information about a candidate's qualifications at any step in the promotion/tenure process.
- c. Final action on promotions and tenure will be based on all available evidence, and will be taken by the Board of Trustees or the Board of Directors for Health Center faculty, upon the recommendation of the Provost or, for candidates in the School of Medicine, the Executive Vice President for Health Affairs, following receipt of recommendations from the dean of the college, the department head, and such other officers as may from time to time be charged with this responsibility.

For procedures on promotion and tenure, go to the Provost's website.

For procedures on promotion and tenure for the Schools of Medicine and Dental Medicine, go to the Health Center Faculty Handbook.

E. Professional Staff Loads

1. While faculty members are employed for a variety of duties, as a general rule the University will expect to assign to each duties that are reasonable and consistent with good and effective practices in teaching, research, clinical care, and outreach. In addition, faculty will be expected to carry a reasonable amount of ordinary departmental duties and routine committee responsibilities and to undertake those activities of self-improvement and professional development which are part of every faculty member's investment in his/her own future.

In determining teaching contact hours and clinical care assignments, consideration will be given to unusually heavy responsibilities for student advising, chairing committees, research projects, unusually large courses, and assigned administrative duties.

Assignment of duties will be made by the appropriate deans and department heads consistent with University policy. Insofar as it is possible, these assignments should take into account the aptitudes and wishes of individual staff members and their opportunities for long-run professional development.

2. Except in the Schools of Medicine and Dental Medicine, the teaching duties of each department shall generally be regarded as a joint responsibility of all its members to be divided as far as possible by mutual agreement, with proper attention to the interests and activities of all. It shall be the responsibility of the department head to make decisions when agreement is lacking and to submit the complete schedule of teaching engagements to the appropriate dean.

The preceding paragraph does not imply that teaching loads and assignments of individual faculty members are to be determined by departmental vote. Departmental action is to be limited to consideration of general policy.

In settling details of teaching schedules within the department or in meeting situations that arise on short notice, the department head has three responsibilities:

- a. The department head must understand and put into effect the general policies and programs of the University.
- b. The department head must act on behalf of and as a representative of the department.
- c. All faculty of the department should participate in the formulation of general departmental policy and assess the department's professional program, both for long-run development and current decisions.
- d. The department head has a responsibility to discuss with individual faculty his/her ambitions and aspirations within the University and the profession. While no one is completely a free agent to lay out a program exactly as one pleases, scholarly activity flourishes best in an environment of self-direction and self-propulsion.

F. Resignation of a Faculty Member

A faculty member planning to resign is expected to give notice in writing at the earliest opportunity.

G. Termination of Appointments by the Institution

1. Dismissal, defined as termination of a tenured appointment, or a special or probationary appointment, or for faculty in the Schools of Medicine or Dental Medicine, an in-residence appointment before the end of the specified term, may be effected by the institution only for adequate cause. Dismissals will be pursuant to the procedure specified in Section H, below.
2. Non-reappointment of a special, probationary, or in-residence appointment at the end of the specified term shall not be defined as dismissal.
3. Where termination of appointment is based upon financial exigency, or bona fide discontinuance of a program or department of instruction, Section H will not apply, but faculty members shall be able to have the issues reviewed under the grievance procedure as provided in Sections S and T.

In every case of financial exigency or discontinuance of a program or department of instruction, an affected tenured faculty member will be given notice as soon as possible and never less than 12 months' notice, or in lieu thereof, he/she will be given severance salary for 12 months. Before terminating a tenured or probationary appointment because of the abandonment of a program or department of instruction, the University will make every effort to place affected faculty members in other suitable positions. If a tenured or probationary appointment is terminated because of financial exigency or because of the discontinuance of a program of instruction, the released faculty member's place will not be filled by a replacement within a period of two years from the date of termination unless the released faculty member has been offered reappointment and a reasonable time within which to accept or decline it.

H. Dismissal Procedures

1.
 - a. Adequate cause for dismissal will be related directly and substantially to the fitness of the faculty member in his/her professional capacity as described in Section D. Dismissal procedures will not be used to restrain faculty members in the exercise of their academic freedom or their rights as citizens.
 - b. For faculty with primary appointments in the School of Medicine or the School of Dental Medicine, adequate cause for dismissal may also include unsatisfactory job performance, as determined in part by the post-tenure review process described in the faculty member's school by-laws.
2.
 - a. If circumstances arise that, on their face, cause the Provost to anticipate the reasonable possibility of dismissal being recommended for a faculty member with tenure, or with a special or probationary or for faculty in the Schools of Medicine or Dental Medicine, in-residence appointment before the end of the specified term, the appropriate administrative officer (usually the Provost or his/her representative) will initiate discussion of the matter with the faculty member looking towards a mutually acceptable settlement.

- b. If such settlement is not reached, the question will be referred to the Committee of Three within 14 days³ of the invitation of the administration to the faculty member to discuss the matter. The Committee of Three will proceed to an informal inquiry, including further attempts at conciliation. The Committee shall report to the Provost within 14 days from the date it enters the case its recommendations based on the results of its inquiry and efforts toward conciliation.
 - c. If continued action seems to the Provost to be warranted, then he/she or his/her representative, taking into account the report of the Committee of Three, shall frame with reasonable particularity a statement of charges. The statement will then be provided to the concerned faculty member within 14 days after the Provost receives the recommendations of the Committee of Three.
3. A dismissal, as defined in Section G.1. must be preceded by the sequence of steps outlined in Section H.2., culminating in the statement of charges provided for in Section H.2.c. Both the individual concerned and the Provost will have the right to have the matter heard by a committee of five. In order to exercise this right, the faculty member, or the Provost, within 7 days of the faculty member's receipt of the statement of charges must request the Committee of Three to form a hearing committee.

The essential functions of the hearing committee are to assemble and review pertinent information and to make appropriate recommendations. In constituting a hearing committee, the Committee of Three shall choose a panel of nine members of the faculty from which either party, within 5 days of notification of the panel, may strike not more than two names. In the event that more than five names remain after the completion of this process, the Committee of Three shall select five to serve as the hearing committee.

The hearing committee shall, within 7 days after its appointment, select its own presiding officer and promptly notify the Provost and the Committee of Three of the name of the presiding officer and the date of selection. If the hearing committee wishes to retain independent counsel, prior approval of the Attorney General of the State of Connecticut is necessary. The University will bear any costs of the hearing procedure, except that the individual concerned will be responsible for any fees he/she incur may incur for counsel, expert witnesses, and other defense expenses, and for the expense of any witness provided for the individual by the University.

Within 7 days of the selection of a presiding officer for the hearing committee, written notice of specific charges will be served. The faculty member may respond to the charges in writing within 7 days.

The date for the hearing shall not be set until the faculty member has responded or the time limit for such response has expired. If the faculty member denies the charge against him/her, asserts the charges do not support a finding of adequate cause, waives his/her right to appear, or refuses to participate in the hearing in person or in writing, the hearing committee will evaluate all available evidence and rest its recommendation upon the evidence in the record.

³ All references to days are to calendar days.

- a. Since the hearing deals with personnel matters, it shall be closed unless the faculty member requires in writing that it be open.
- b. During the hearing the faculty member will be permitted to be represented by or to have with him/her an academic adviser and/or legal counsel of his/her own choosing. The Provost may be accompanied by or represented at the hearing by a delegate and/or legal counsel of his/her own choosing.
- c. At the request of either party or the hearing committee, representatives of professional organizations shall be permitted to attend the hearing as observers.
- d. A verbatim record of the hearing or hearings will be taken and a typewritten copy or legible facsimile thereof will be made available without cost to the faculty member and to the hearing committee. The requirement of a verbatim record may be waived by mutual consent of the hearing committee and both parties.
- e. The burden of proof that adequate cause exists rests with the institution and shall be satisfied only by clear and convincing evidence in the record considered as a whole.
- f. The faculty member will be afforded an opportunity to obtain necessary witnesses and documentary or other evidence, and the administration of the institution will, insofar as it is possible for it to do so, secure the cooperation of such witnesses and make available necessary documents and other evidence within its control.
- g. The hearing committee may grant adjournment to enable either party to investigate evidence as to which a valid claim of surprise is made.
- h. The faculty member and the administration will have the right to confront and cross-examine all witnesses. Where the witness cannot or will not appear, but the committee determines that the interest of justice require admission of his/her statement, the committee will identify the witness, disclose his/her statement, and if possible, provide for written interrogation.
- i. In the hearing of charges of incompetence, the testimony shall include that of qualified faculty members from this or other institutions of higher education.
- j. The hearing committee will not be bound by strict rules of legal evidence and may admit any evidence which is of probative value in determining the issues involved. Every reasonable effort will be made to obtain the most reliable evidence available.
- k. The findings of fact and the decision will be based solely on the hearing record.
- l. Except for such simple announcements as may be required covering the time of hearing and similar matters, public statements and publicity about the case by the hearing committee, the faculty member, and the administrative officers will be avoided until the proceedings have been completed, including consideration by the Board of Trustees. The Provost and the faculty member will be notified of the recommendation in writing and will be given a copy of the record of the hearing.

4. The hearing committee shall report to the President within 30 days after the selection of its presiding officer. The committee may conclude, and shall report to the President, that the conduct with which the faculty member is charged (a) merits dismissal or (b) does not merit dismissal. If the committee concludes that the conduct does merit dismissal, but that there are circumstances that warrant clemency, it will so recommend, with supporting reasons. If the committee concludes that the conduct does not merit dismissal, (a) it may recommend that the conduct does not merit any disciplinary action or (b) it may recommend a penalty short of dismissal.
5. If the President does not accept the recommendation of the hearing committee, he/she will state the reasons therefore to the hearing committee and to the faculty member within 14 days after receiving the report of the hearing committee. The hearing committee shall, within 14 days, prepare a rejoinder or notify the President that it intends no rejoinder. The President shall within 10 days notify the faculty member, the hearing committee, and the Committee of Three of his/her decision in the case, together with reasons therefore if he/she does impose a penalty.

The President shall report to the next meeting of the Board of Trustees any action taken by him/her in response to the report of a hearing committee. If dismissal or other penalty is invoked, the faculty member may within 30 days request the President to notify the Board of Trustees that the faculty member wishes to appeal the decision. The President shall then within 10 days transmit to the Board the record of the case. In such an event, the Board's review will be based on the record of the committee hearing and the correspondence between the hearing committee and the President after the hearing committee makes its recommendation. This review will provide opportunity for argument, oral, written, or both, by the principals at the hearing or by their representatives. Such a review must be scheduled within 21 days of the date on which the Board members are supplied with the record. Since the review deals with personnel matters, it will be closed unless the faculty member requires in writing that it be open. If the Board disagrees with the recommendations of the hearing committee, it will so notify the committee with specific objections. Taking into account the stated objections, and receiving new evidence if necessary, the committee will then reply to the Board within 10 days. The Board will make a final decision only after study of the committee's reply.

6. Expeditionary completion of these procedures is in the best interests of all parties concerned. The time limits specified are maxima. The Committee of Three may, upon request, extend, for cause, any of the foregoing time limits.

I. Suspensions

Until the final decision on dismissal has been reached, the faculty member may be suspended, or assigned to other duties in lieu of suspension, only if immediate harm to him/herself or others is threatened by his/her continuance. Before suspending a faculty member, pending an ultimate determination of his status, the administration will consult the Committee of Three. Suspension is appropriate only pending a hearing; a suspension which is intended to be final is a dismissal, and will be dealt with as such. Salary will continue during the period of suspension.

J. Terminal Salary

Tenured faculty who are dismissed for reasons other than moral turpitude will receive their salaries for at least a year from the date of notification of dismissal, whether or not they are continued in their duties at the University. Faculty members on probationary appointment will receive their salaries to the end of the current year.

K. Retirement

1. A faculty member's decision to retire is understood to be an individual one, but in the interests of continuity and proper academic planning, it is expected that the faculty member will give notice of his/her plans at the earliest opportunity.
2. Any member of the faculty, who at the time of retirement is at the University of Connecticut and retires under the provisions of the State Retirement Act or the Alternate Retirement Plan, may by vote of the Board of Trustees or Health Center Board of Directors be awarded emeritus status provided that one of the following conditions is met:
 - a. The faculty member has served at least 25 years at collegiate institutions including at least five years at the University of Connecticut.
 - b. The faculty member has attained the rank of full professor at the University of Connecticut.
3. There shall be a standing University of Connecticut Retirement Committee. Faculty not meeting either conditions in Section 2a or Section 2b above may become emeriti by vote of the Board of Trustees following recommendation of the President and the Retirement Committee. Other professional staff are also eligible for this designation following recommendation of the President and the Retirement Committee.
4. It is in the interest of the University that emeriti, as defined in paragraph two, continue their scholarly activities. The University encourages the maintenance of informal and scholarly contacts between emeriti and their active colleagues and will endeavor to provide working space, equipment, library facilities, and the like to all who can demonstrate reasonable need. Such support will be contingent upon availability of resources at the time. Deans and department heads, where appropriate, may invite emeriti for professional activities such as lecturing, serving on academic committees, and advising students.

L. Leaves of Absence

1. *Sabbatical Leaves*
 - a. Sabbatical leave is a privilege to be applied for in each case and is in no instance to be considered an earned perquisite. Such leaves may be granted on application for the purpose of the advancement of knowledge or professional improvement of mutual benefit to the University and the individual. Following such leave, individuals are obligated to return to active service at the University for a minimum of one year. The applicant should file a specific written application accompanied

by a statement as to how the leave is to be used. This application should be approved by the department head, the dean or director, and the Provost or the appropriate Vice President, who will in turn submit it to the Board of Trustees with his/her recommendation.

The privilege is open to all full-time teachers who have at least the rank of assistant professor or a corresponding rank and who have been in continuous full-time service at the institution for at least six years. Following a grant of sabbatical leave, the privilege may be renewed after an additional period of continuous full-time service of at least six years. Exceptions in regard to continuous service may be made upon recommendation of the Provost or the appropriate Vice President and the approval of the Board of Trustees or the Board of Directors. The receipt of grant or fellowship funds to cover travel and other expenses incidental to the leave will not ordinarily impact the granting of a request for such leave.

- b. The duration of the leave granted shall be determined by the Provost or the appropriate Vice President. Six years of continuous full-time service is defined as full-time service for at least twelve of the fourteen semesters preceding the leave. Each sabbatical leave eligibility is for a period of one year (two semesters). Leave may be taken for the full period at half pay or for up to half the period at full pay. After each period of eligibility in which a sabbatical leave is taken, whether for all or a portion of the period, there must be at least six years of continuous full-time service before the next eligibility period. Thus, the timing of eligibility periods is not affected by whether leave is taken for a full period at half pay or for up to half the period at full pay. Faculty members in tenure track positions are not eligible for sabbatical leave before the last year of their probationary periods.

Leave for faculty members employed on an eleven months' basis or for faculty in the Schools of Medicine and Dental Medicine may be for a period of up to six months with full pay or for a period up to twelve months with half pay.

- c. Sabbatical leave, whether at full or reduced pay, is considered full-time service, and therefore, persons on sabbatical leave are not permitted to engage in paid employment elsewhere. If a staff member is considering an arrangement in which he/she will receive compensation for services from the University and from outside agencies which will together exceed his/her regular University salary, the staff member is expected to take a leave without pay rather than a sabbatical leave.
- d. Members of the professional staff are in a separate classification. They shall make their application directly to the President if the unit reports to the President or to the Provost or appropriate Vice President, if any.
- e. The recommendation of the head of the department and of the dean in charge of the school/college shall accompany applications for leave. All applications should be made at least one year prior to the date when the leave is to begin. Ordinarily the grantee will receive notification that the leave is granted at least two months before the end of the semester previous to the one in which the leave is to begin.
- f. Arrangements for carrying on the duties of a person on leave shall be made by the appropriate department head and dean in consultation with the faculty member as

appropriate. The department head is expected to certify whether or not the work of the department can be carried on if the leave is granted substantially as it would have been otherwise. If courses would have been withdrawn or other changes made in the department's program even without the granting of the leave, the changes may be made during the leave, but it is not expected that sabbatical leaves will be granted if they must be taken at the expense of the students or of the regular departmental program.

- g. If an approved leave is postponed for a period by the administration, the applicant's next leave may be granted for the year in which it would have fallen if there had been no such postponement.
- h. Sabbatical leaves are granted in the expectation that the recipient will resume his/her previous duties at the end of the leave. Ordinarily the staff member will return at the same rank and salary which he/she had when the leave began. This understanding, however, is subject to the qualification (which applies in the same degree to those in residence) that the Board may sometimes find it necessary to change the compensation or duties of some or all staff members because of changes in enrollment, financial exigencies, or other circumstances beyond its control. The grant of leave does not change the tenure-status of the recipient.
- i. After leave has been taken, a written report of the work done shall be made to the officer who approved the leave through the department head and the dean or director.

2. *Leave Without Pay*

Leave of absence without pay may be granted by the President for those units which report to the President or by the Provost or the Vice Presidents for reasons considered to be in the best interest of the University and in the interests of professional growth and improvement of the staff member concerned. All such cases are treated as special cases and are in the first instance, ordinarily granted for up to a year. Professional staff members desiring such leaves should apply through their department heads and deans and through the appropriate Vice President, if any. A report of all such leaves as are granted shall be made to the Board of Trustees for their information.

3. *Military Leave*

Members of the professional staff are eligible for military leave consistent with applicable state and federal law. Part-time employees will be granted such leave if they have worked the equivalent of six months or more full time. Thus, an employee would become eligible after twelve months of half-time employment, etc.

4. *Sick Leave for Faculty With or Without Pay*

Each case is considered separately and involves careful consideration of length of service, nature of the illness, and anticipated length of disability. Application for sick leave should be made not later than ten days after the staff member's return to work.

5. *Other Regulations Regarding Leave of Absence*

- a. No employee of the University of Connecticut shall receive leave with pay for service in any elective political office.
 - b. No member of the professional staff shall be absent from his/her duties at the University except by permission of the department head. Short leaves to cover emergency situations may be granted by the department head, who will make such arrangements as are feasible to re-assign the absent staff member's work. In each such case, the department head will file a record of the matter with the dean. No such emergency leave shall be granted for a longer period than ten days without previous permission of the President for those units which report to the President or by the Provost or the appropriate Vice President.
 - c. Except in very unusual circumstances, no tenured or tenure track faculty member may receive a leave of absence to accept a tenured or tenure track position with another University. Such exceptions will require advance approval from the Provost.
- M.** No employee in the service of the University shall devote to private purposes any portion of the time due the University without consent as may be provided in established University policy. Faculty members may take on outside consulting and research activities after the specific project has been approved by the Provost. Nothing herein contained shall be construed as authorizing any private practice by any full-time member of the faculty of either the School of Medicine or the School of Dental Medicine.
- N.** To the extent that there are facilities available, University employees are entitled to receive from the University unit responsible for student health services immediate care for injuries incurred in the line of duty. This unit is to offer limited primary medical care services to University employees within available resources on a fee for service basis.
- O.** The University Libraries shall be used by faculty, staff, and students and as appropriate, by the citizens of the State.
- P.** Members of the faculty are permitted to audit courses without payment of fees, subject to consent of instructor, and availability of library, laboratory, and classroom facilities.
- Q.** No full-time member of the professional staff may take for credit any academic work at this institution or elsewhere during that employee's regular working hours, without prior written approval of the President for those units that report to him/her, the Provost, or appropriate Vice President.
- R.** The existence of a family relationship to a staff member does not affect the eligibility of any person for employment by the University. To avoid potential conflict between personal and institutional interests, no staff member may be assigned responsibility for supervising the work of a relative consistent with the University's policy on nepotism.
- S.** **University Faculty Grievance Procedure⁴**

⁴ This procedure applies to individual grievances not covered by Article XIV.F, H, and I.

If a member of the faculty under the jurisdiction of the Provost, excluding the faculty in the Schools of Medicine and Dental Medicine, believes there is a cause for grievance which cannot be resolved by ordinary means, the complainant may request the Committee of Three (Article IX.G.2.) to appoint a hearing committee to evaluate the grievance and to make appropriate recommendations concerning its resolution.

1. Complaints involving promotion, tenure, and reappointment decisions may be brought to the Committee of Three only at the end of a sequence of peer review procedures, including those of the Faculty Review Board (see Article I.X.G).
2. Other complaints may be brought to the Committee of Three only after appropriate administrative remedies have been exhausted.
3. The Committee of Three is empowered to mediate the complaint informally in consultation with the complainant, the person or persons against whom the grievance is lodged, and such other persons as the committee deems appropriate.
4. If the Committee of Three has been unable to mediate the complaint informally or determines mediation is not appropriate under the circumstances and believes that there may be such substance to the grievance as to justify further investigation, it shall appoint an ad hoc hearing committee of three or five faculty members and convey the grievant's written statement of the grievance to the hearing committee with a copy (or copies) to be sent to the person(s) against whom the grievance is lodged.
5. The hearing committee shall be empowered to have access to information it deems pertinent, to hold hearings and interviews, and to seek informal resolution of the issues raised. The hearing committee, after consulting all parties to the dispute, may decide to conduct its proceedings in confidence or with the concurrence of the grievant, to hold public hearings. Parties to the proceedings may, if they choose, be represented. It is incumbent upon all parties to refrain from aggravation of the issues during the course of grievance proceedings.
6. The hearing committee appointed by the Committee of Three shall be instructed by the Committee of Three to determine:
 - a. Whether the relevant procedures established by the University have been followed in the case before them.
 - b. Whether in substance the grievant has just cause for complaint.
 - c. In cases involving promotion, tenure, and reappointment:
 - i. the sufficiency and relevance of the evidence employed in making the decision.
 - ii. whether the decision arrived at was in reasonable conformity with the evidence considered.
 - d. Appropriate remedy, if any.
7. The hearing committee will make a report of its findings and recommendations to the Provost, with copies to the grievant, the person(s) against whom the grievance is

lodged, and the Committee of Three. In those cases involving a grievance against the Provost, the report shall be sent to the President.

8. The collective bargaining agent shall be informed by the hearing committee of the substance of its report to the President or Provost.
9. Within thirty days of receipt of the hearing committee's report, the President or Provost shall inform the hearing committee, in writing, of his/her response to its recommendations, with copies to the grievant, the person(s) against whom the grievance is lodged, and the Committee of Three.
10. The collective bargaining agent shall be informed by the President or Provost of his/her response. The Committee of Three may make the hearing committee's findings and the response of the President or Provost known to persons or groups it deems appropriate.
11. If not satisfied with the outcome of the hearing committee's investigation and the action of the President or Provost in response thereto, the grievant may within thirty days of receiving the response, address a written appeal to the Board of Trustees through the President or Provost. The Board of Trustees will respond to the appeal in writing within a reasonable time.

T. Health Center Faculty Grievance Procedure⁵

Under most circumstances, a faculty member in the School of Medicine or the School of Dental Medicine who has a grievance will seek resolution through the usual channels of administrative authority (e.g., initial referral of the grievance to the Department Chair, to the dean of the appropriate school, and then to the Provost, or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs) or through the appropriate standing faculty appeals committees (e.g. space or compensation appeals committees), if any. If resolution is not possible or if the aggrieved party is dissatisfied with the proposed resolution(s), faculty peer review committees are empowered to hear grievances. Grievances related to promotion, reappointment, and tenure issues shall first be reviewed by the Health Center Faculty Review Board (HCFRB). In the case of a possible dismissal of a tenured faculty, the grievance will be referred to the Committee of Three at Storrs [see By-Laws Article XIV.G]. All other appeals and grievances will be referred directly to the Health Center Appeals Committee (HCAC).

1. Health Center Faculty Review Board (HCFRB)

- a. The Health Center Faculty Review Board (HCFRB), in its advisory role to the Provost or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs, shall be the primary body to hear grievances related to promotion, reappointment, and tenure. It shall consist of a standing committee of seven (7) members, with two (2) representatives from basic science departments, two (2) from clinical dental departments, and three (3) from clinical medical departments. Each member must be a School of Medicine or School of Dental Medicine faculty member of senior rank on the UHC payroll and may not be a Department Chair or Type II Center Director or a dean of any rank, or be a current member of the Committee on Appointments and Promotions of either

⁵ This procedure applies to individual grievances not covered by Article XIV.F, H, and I.

the School of Medicine or the School of Dental Medicine. The term of service is three (3) years and terms shall be staggered. The chair will be selected from the membership by the HCFRB. Each year, a nominating committee consisting of the chairpersons of the School of Medicine Oversight Committee and the School of Dental Medicine Council shall select at least two (2) candidates from the appropriate constituency to fill vacancies. The members of the HCFRB shall be elected by the faculty of the Schools of Medicine and Dental Medicine who are paid by the UCHC. The HCFRB will develop, revise as necessary, and post rules governing its processing of grievances. Such rules must be in compliance with the University's Laws and By-Laws.

- b. Grievances may be brought to the HCFRB by the aggrieved party or referral by the Provost or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs and must be submitted to the Chair of the HCFRB in the form of a cover letter and accompanied by supporting documents. Copies of the cover letter and materials will be sent to the Provost and to the person or persons against whom the grievance is lodged. The process of referral or evaluation of a grievance shall commence within 15 working days after formal filing of the grievance.
- c. The HCFRB may conduct its proceedings in closed session or, with the concurrence of the grievant, hold public hearings. Parties to the proceedings may choose to be represented. The HCFRB shall evaluate the grievance in a prompt and timely manner.
- d. The HCFRB will submit its report and recommendations to the Provost or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs. Copies of its report shall be sent to the grievant and to the person(s) against whom the grievance is lodged. In cases of grievances against the Provost or the Executive Vice President for Health Affairs, a copy of the report shall be sent to the President. Final disposition of grievances shall be effected without unnecessary delay.
- e. If not satisfied with the outcome of the HCFRB investigation and the action of the Provost or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs in response thereto, the grievant or the person or persons against whom the grievance is lodged may, within thirty days of receiving the response, address a written appeal to the HCAC.

2. Health Center Appeals Committee (HCAC)

- a. This committee shall be a standing committee consisting of three (3) permanent members. The permanent members will include one (1) representative each from a basic science department, a clinical dental department, and a clinical medical department. Permanent members shall be School of Medicine or School of Dental Medicine faculty members of professorial rank on the UCHC payroll. They shall be elected by the faculty of the Schools of Medicine and Dental Medicine who are paid by the UCHC from a slate of candidates nominated by the chairs of the School of Dental Medicine Council and the School of Medicine Oversight Committee to serve staggered terms of six (6) years. A permanent

member may not be a current member of the HCFRB or the Committee on Appointments and Promotions of either school and may not be a Department Chair, Type II Center Director, or dean of any rank. The HCAC will develop, revise as necessary, and publish rules governing its processing of grievances. Such rules must be in compliance with the University's Laws and By-Laws.

- b. Grievances may be brought to the HCAC directly by the aggrieved party or referral by the Provost, or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs and must be submitted to the Chair of the HCAC in the form of a cover letter and accompanied by supporting documents. Copies of the cover letter and supporting documents will be sent to the Provost and Executive Vice President for Academic Affairs or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs and to the person or persons against whom the grievance is lodged. The process of referral or evaluation of a grievance shall commence within 15 working days after formal filing of the grievance.
- c. If, after consultation with the complainant, the person or persons against whom the grievance is lodged and such other persons as the committee deems appropriate, the HCAC has been unable to mediate the complaint informally, it then must determine if the complaint has enough substance to warrant a full hearing.
- d. The HCAC may not come to a decision that there is insufficient substance to justify further investigation without first providing the grievant an opportunity to address the HCAC in person. In such a case, the grievant may be represented.
- e. If the HCAC believes that there may be such substance to the grievances as to justify further investigation, it shall appoint a five member ad hoc committee called the Hearing Committee. Members of the HCAC may serve on the Hearing Committee. The ad hoc members must be of senior rank, but not necessarily full professors, and must otherwise meet the same restrictions on eligibility as described for members of the HCFRB and HCAC.
- f. The Hearing Committee shall be empowered to have access to information it deems pertinent, to hold hearings and interviews, and to seek informal resolution of the issues raised. The grievant and the person or person(s) to whom the grievance is lodged will be afforded the opportunity to directly address the Hearing Committee in person. The Hearing Committee, after consulting all parties to the dispute, may decide to conduct its proceedings in private or, with the concurrence of the grievant, to hold public hearings. Parties to the proceedings may, if they choose, be represented. It is incumbent upon all parties to refrain from aggravation of the issues during the course of grievance proceedings.

The Hearing Committees shall determine:

1. Whether the relevant procedures established by the University have been followed in the case before them.
2. Whether the grievant has just cause for complaint.

3. In cases involving promotion, tenure, and reappointment:

- a. Whether the evidence employed in making the decision was sufficient and relevant.
- b. Whether the decision was reasonable considering the evidence presented.

4. An appropriate remedy, if any.

- g. The Hearing Committee will issue its report and recommendations to the Provost or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs. In those cases involving a grievance against the Provost or the Executive Vice President for Health Affairs, the report shall be sent to the President. Within thirty days of receipt of the Hearing Committee's report, the President, ~~or~~ the Provost or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs shall inform the Hearing Committee in writing, of his/her response to its recommendations, with copies to the grievant, the person(s) against whom the grievance is lodged, and the HCAC. Final disposition of grievances by the Health Center Appeals Committee shall be effected without unnecessary delay.
- h. If not satisfied with the outcome of the Health Center Appeals Committees' investigation and the action of the President or Provost or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs in response thereto, the grievant, the person against whom the grievance is lodged, the University President or Provost may, within thirty days of receiving the response, address a written appeal to the University of Connecticut Health Center Board of Directors through the President or Provost or, for grievances in the School of Medicine, the Executive Vice President for Health Affairs.

3. Board of Directors

The Board of Directors or its designee will examine the grievance for the process and will respond to the appeal in writing within a reasonable time. At its discretion, the Board of Directors or its designee may elect to mediate the grievance, conduct further investigation, and/or act on the grievance. If the Board of Directors or its designee elects to hold interviews or hearings, these may be held in public only with the concurrence of the grievant. All parties to interviews and hearings may be represented.

U. Grievance Procedure for Management-Confidential Professional Staff

A member of the management-confidential professional staff who believes he/she has cause for a grievance that cannot be adjusted by informal means may present a formal grievance under the following procedure:

- 1. The employee, with or without a representative, shall first present the grievance to the immediate supervisor. The immediate supervisor shall answer in writing to the employee within seven calendar days from the date the grievance is submitted.

2. When the answer is not satisfactory to the employee, the employee, with or without a representative, shall present the grievance to the appropriate Vice President. The Vice President shall answer in writing to the employee within seven calendar days thereafter.
3. An employee who is still aggrieved may appeal the grievance to an ad hoc Appeal Board appointed by the President. The Appeal Board shall consist of seven members at least four of which shall be members of the management-confidential professional staff. The grievant may be represented in matters before the Appeal Board.
4. The decision of the Appeal Board shall be in writing and shall be in the form of a recommendation to the President. Copies of the Appeal Board's recommendation shall be forwarded to the employee.

ARTICLE XV – General Policies and Practices

- A. No organization or group shall discriminate against or exclude a person because of race, religion, national origin or other protected class recognized by state or federal anti-discrimination laws, on that land owned or operated by the University of Connecticut, and organizations or groups that are found to have violated state or federal anti-discrimination laws shall not have the use of University-owned or operated buildings or property.
- B. All purchasing and contracting for supplies, equipment, and/or services and the management, accounting, and deposit of University funds must conform to applicable state statutes and University policies and procedures.
- C. Any business or communication from members or groups of the University staff that concerns the University or its departments and which requires action by the Board of Trustees shall be presented to the Board by the President of the University. If the President refuses or neglects to place such business or communication or any part thereof before the Trustees within a reasonable time, those concerned may present their petition directly to the Board.
- D. All apparatus, museum, and scientific collections, and other University property shall be in the immediate charge of the department head to which such material has been assigned, subject, however, to the control of the appropriate Vice President. Every person having charge of any apparatus, specimens, books, collections, or other University property shall be held responsible for their safety and shall ensure a record or inventory of all property for which he/she is responsible is maintained in compliance with University policy.
- E. The name or insignia of the University shall not be used by any individual or by any group not duly organized as a part of the University without the approval of the President.

F. Naming of Facilities

The act of naming or renaming a University facility for a person, family or organization confers one of the University's highest and most conspicuous honors.

The President is authorized to establish guidelines and procedures in accordance with this policy for naming University facilities. The naming of any facility must be approved by the Board of Trustees upon recommendation of the President.

G. Intellectual Property

1. Ownership of inventions⁶

The University shall be entitled to own, or to participate in the ownership of, any invention, on the following conditions:

- a. The University shall be entitled to own the entire right, title, and interest in and to any invention:
 - i. conceived by one employee solely or by employees jointly in any instance in which such invention is conceived in the course of performance of customary or assigned duties of the employee inventor or inventors, or
 - ii. in which the invention emerges from any research, development, or other program of the University, or
 - iii. is conceived or developed wholly or partly at the expense of the University, or
 - iv. with the aid of its equipment, facilities, or personnel.
- b. In each such instance, the employee inventor shall be deemed to be obligated, by reason of employment by the University, to (i) disclose the invention fully and promptly to an authorized executive of the University; (ii) to assign to the University the entire right, title, and interest in and to each such invention; (iii) to execute such instruments of assignment to that effect; (iv) to execute such proper patent applications on such invention as may be requested by an authorized executive of the University, and (v) to give all reasonable aid in the prosecution of such patent applications and the procurement of patents.
- c. The University shall have the rights defined in Section G.1.a. with respect to inventions conceived jointly between employees and non-employees to the extent to which an employee has or employees have disposable interests therein and to the same extent the employee or employees shall be obligated as defined in said Section G.1.b.
- d. The University shall have no right to inventions conceived by non-employees, except as may be otherwise provided in contracts, express or implied, between the University and those entitled to the control of inventions.

2. Management of Intellectual Property

The University shall:

⁶ For the purpose of this section, 'invention' shall mean that which is produced for the first time through the use of imagination or ingenious thinking and experiment.

- a. File and prosecute patent applications and obtain patents, relating to inventions or discoveries which the University may be justly entitled to own or control, wholly or partly, and receive and hold in separate custody, assignments, grants, licenses, and other rights in respect to such inventions, discoveries, patent applications, and patents.
- b. Make assignments, grants, licenses, or other disposal, equitably in the public interest, of any rights owned, acquired or controlled by the University, in or to inventions, discoveries, patent applications, and patents, and to charge therefore and collect, and to incorporate such funds in the custody of the University, reasonable compensation in such form and measure as the Board of Trustees authorizes or ratifies.
- c. Execute contracts with employees and others for the purpose of carrying out the appropriate management of the University's intellectual property. The entire beneficial ownership of intellectual property shall vest in the University and the Board shall exercise complete control thereof.

3. *Employees to share in proceeds*

- a. Each employee who conceives any invention and discharges his/her obligations to the University as herein before provided shall be entitled to share in any net proceeds that may be derived from the assignment, grant, license or other disposal of such invention.
- b. Proceeds shall be computed with reasonable promptness after collection and after deducting from gross proceeds the costs and expenses allocated to that invention or discovery.
- c. A minimum of twenty percent of the net proceeds shall be paid to an employee who solely conceived or made the invention, and shall be paid in shares to two or more employees who jointly made the invention. The Board of Trustees may increase the amount by which any employee or employees may participate in such net proceeds.

4. *Dispute Resolution*

Disagreements as to the allocation of any invention to a category, or with respect to the obligations of any employee, or due performance thereof, or with respect to participation of any employee in net proceeds, or with respect to the rights or obligations with reference to inventions in any category, shall be resolved as follows:

- a. By voluntary arbitration of all relevant issues if the disagreeing parties approve and agree to be bound by the decision upon such arbitration.
- b. By compulsory arbitration if that is provided for in any applicable contract between the disagreeing parties.
- c. By recourse to courts of appropriate jurisdiction within the State if arbitration cannot be resorted to under either subsection (a) or (b) of this section.

5. *Regulations for arbitration*

The Board is authorized to establish and regulate equitably in the public interest, such measures as the Board deems necessary for the purposes of such arbitration, and to make contracts for compulsory arbitration, in the name of the University.

6. *Rights as to products of authorship*

The University shall not claim any literary, artistic, musical, or other product of authorship covered by actual or potential copyright laws of the United States, but the University shall be authorized to make and enforce any contract, express or implied, which it may make with respect to any such subject matter.

H. **Establishment of University Regional Campuses**

Before a new campus of the University of Connecticut is opened in any locality, the University's Board of Trustees must be convinced that a significant number of prospective students in that locality are unable to secure education at the college level from resources already available in the community and that there is urgent local demand for the University to establish a campus there.

I. **Student Organizations**

Responsibility for the oversight and, where appropriate, support of student organizations is vested with the Vice President for Student Affairs or his/her designee. Such oversight shall include, but not be limited to, the development of policies and procedures related to student organization registration, recognition, and activities.

1. The University recognizes the right of any group of students to form a voluntary organization for any purpose not prohibited by law. Student organizations wishing to hold meetings and events in University facilities and be eligible for advice, training, and support from the University must have an active membership comprised chiefly of registered students and have met and maintained registration requirements as determined by the Vice President of Student Affairs or his/her designee. Such organizations shall be deemed 'registered student organizations.'
2. The University assumes no responsibility for the actions and activities of registered student organizations or their membership.

Registered student organizations having a formal advisee/advisor relationship with a University department may, in some cases, receive special help and supervision from those departments.

University faculty, staff and graduate assistants serving in an advisory capacity for registered student organizations will not be held responsible for the actions of student organization members provided any advice offered is consistent with applicable University, State, and Federal laws and is in the best interest of the safety of students and all individuals involved.

3. So far as its facilities permit, the University will provide registered student organizations with suitable meeting places without charge and will encourage and protect lawful freedom of expression during meetings of such organizations. The

responsibility for any views expressed in such meetings vests with the individuals concerned. The University's role is not to approve or disapprove such views, whatever their nature, but to be concerned exclusively with the discharge of its educational obligation to facilitate free discussion of all points of view to the extent guaranteed by the Constitution of the United States and of the State of Connecticut. The University does not approve the qualifications of speakers whom registered student organizations invite to address them, nor, except as to availability of space and related issues of public safety, on the number or size of meetings which may be held.

4. The name of the University shall not be used by any group not duly authorized as a part of the University, nor by any individual, without the approval of the President or his/her designee. Registered student organizations are considered not to be "duly organized as a part of the University." In authorizing or denying the use of the name of the University, the President will, in general, be guided by the need of making clear to the public the nature of the relation of the organization in question to the University.
5. The University places no restrictions as to purpose on the solicitation of funds by registered student organizations, within or without the University community unless such activities violate state or federal laws. The time, place, and method of solicitation within the University by registered student organizations shall be governed by regulations established by the Vice President for Student Affairs or designee in the interest of public safety, avoiding over-crowding and unreasonable interference with those using an area for other purposes.

J. Use, possession, sale, distribution, or manufacture of alcohol, controlled substances and/or drugs or drug paraphernalia are prohibited except as expressly permitted by law and University regulations.

K. Regulations Regarding Residence

1. All students, before registering for classes for the first time at the University of Connecticut, must file an affidavit of residence, on forms prescribed by the University. On the basis of this information, each entering student will be initially classified as a Connecticut or an Out-of-State student.
2. The status of each student will be determined by the definitions established in the Connecticut General Statutes.
3. The failure of a student to disclose fully and accurately all facts relating to his/her residence status shall be grounds for suspension or expulsion.

L. Policy Regarding the Expression of Dissent

1. Orderly picketing and other forms of peaceful demonstration are permitted outside of buildings and in other public gathering areas. Significant interference with entry into or exit from such buildings or areas or with the free movement of any person on the University campus is not permissible.
2. Entry into University buildings, meeting halls, classrooms, and other designated areas may properly be restricted to those engaged in the normal or scheduled activities being conducted. The presence of unauthorized persons in such areas leading to substantial

- interference with other University activities, after due notice has been given, orally or in writing, will be construed as interfering with or obstructing a University activity and is not permissible.
3. The creation of loud or excessive noise that substantially disrupts or interferes with classes or other University activities is not permissible.
 4. Direct personal abuse, whether physical or verbal, that significantly infringes upon the rights of others to participate in the academic community or leads to substantial disruption of a University related activity is not permissible.

M. Commencement

Commencement Exercises are authorized at the conclusion of both the Fall and Spring semesters.

ARTICLE XVI – Advisory Search Committee for President

- A. The purpose of the committee is to assist the Board of Trustees in its statutory responsibility of selecting the President of the University of Connecticut. To this end, the committee will need to conduct a broad search, developing a diverse candidate pool, screen qualified candidates, and make appropriate recommendations to the Board.
- B. The committee will be appointed by the Board of Trustees from recommendations submitted from various constituencies, including trustees, professional staff, faculty, students, and alumni. The committee should be large enough to represent each of the constituencies adequately. The Chair of the Board of Trustees shall serve *ex officio* as the Chair of the Search Committee. The faculty members of the search committee will include the faculty members of the Senate Executive Committee.
- C. The Board of Trustees should designate a small steering committee of the Advisory Search committee composed of committee members who can devote long hours to the work. The steering committee will be used to expedite the search procedures in ways agreed upon by the full committee. It will keep the full committee informed of the progress of the search by means of periodic meetings and, when appropriate, by written report.
- D. In order to insure equity and an objective evaluation of all candidates, it is essential that information on all candidates be available to each member of the committee and that no candidate be allowed to by-pass consideration by the committee.
- E. The search will normally proceed along the following lines:
 1. Clearly defining the needs of the University and the characteristics desired in the person to be chosen.
 2. Developing a list of possible candidates and eliminating those unsuitable or not interested.

3. Developing a profile of the University for distribution to candidates being seriously considered.
4. Selecting and interviewing the most highly qualified candidates.
5. Ranking the leading candidates by vote and transmitting name(s) of finalist(s) to the Board of Trustees, with whatever qualifications the committee wishes to include.

During all of these stages, the steering committee will recommend steps to be taken to the Advisory Search committee, which will be responsible for all decisions.

- F. Every possible method should be used in developing the initial list of candidates, including advertising and the solicitation of names from the various University constituencies, as well as from other sources, such as college presidents, foundation officials, officers of learned societies, business and labor leaders, and other prominent citizens.
- G. Complete confidentiality of all proceedings must be maintained throughout the search; it becomes especially crucial during the later stages. Grave injustice to the candidates and serious harm to the University's reputation result from any breach of confidentiality. The damage to the University may not be immediately discernible, but will become evident in the willingness of outstanding individuals to be considered as candidates for high positions at the University of Connecticut.

ARTICLE XVII – Honorary Degrees

On recommendation of the Honors and Awards Committee and the President, the Board of Trustees may vote to confer honorary degrees on distinguished individuals. The Board should award an honorary degree only in recognition of extraordinary and lasting distinction. The award should represent the highest intellectual and moral values; it should reflect the very character and quality of the University itself.

- A. There shall be an Honors and Awards Committee, consisting of the President, the Provost, the Executive Vice President for Health Affairs (~~both-all~~ *ex-officio*), three faculty members nominated by the University Senate Executive Committee and appointed by the President, four members of the Board of Trustees appointed by the Chair of the Board, and two students appointed by the President. The President will chair the Committee. The faculty appointed by the President will serve for staggered terms of five years each and ordinarily may not succeed themselves. Students will serve one-year terms. The Board-appointed members will serve at the pleasure of the Chair.
- B. The Honors and Awards Committee will prepare for approval of the Board of Trustees written criteria and procedures for the selection of candidates for honorary degrees.
- C. Honorary degrees may be conferred at Commencement or at special convocations. Only under extraordinary circumstances will honorary degrees be conferred in absentia.

ARTICLE XVIII – Repeal and Amendment

- A. All rules, orders, and resolutions of the Board heretofore enacted and in conflict with these By-Laws are hereby repealed.
- B. These By-Laws may be amended at any regular meeting of the Board by a recorded majority vote of all members of the Board, provided notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting.

ARTICLE XIX – Contravention with Law

Should it be determined that any provision of these by-laws contravene any applicable federal or state law or regulation, such provision shall be invalid only to the extent of such actual contravention.

Board of Trustees approved February 27, 2013

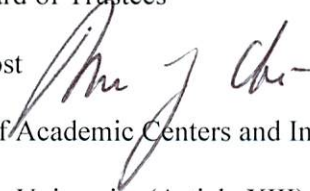
ATTACHMENT 17



University of Connecticut
Office of the Provost

Mun Y. Choi, Ph.D.
*Provost & Executive Vice
President for Academic Affairs*

April 24, 2013

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost 
RE: Five Year Review of Academic Centers and Institutes

The Laws, By-Laws and Rules of the University (Article XIII) require that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission." In 2005, a Committee was charged to develop criteria for the establishment and review of academic centers and institutes. Based on the guidelines developed by this Committee, all academic centers and institutes at the University of Connecticut are reviewed over a period not to exceed five years.

BACKGROUND:

Following review and deliberation, the Academic Centers and Institutes Review Committee brought forth the following recommendations, which I support:

Renewed for five-year term

Center for International Business Education and Research
Center for Real Estate and Urban Economic Analysis
Connecticut Center for Economic Analysis
Connecticut Center for Entrepreneurship and Innovation

Mission statements for the renewed Centers are available on the following page.

An Equal Opportunity Employer

Gulley Hall
352 Mansfield Road Unit 1086
Storrs, Connecticut 06269-1086

Telephone: (860) 486-4037
Facsimile: (860) 486-6379
e-mail: mun.choi@uconn.edu
web: www.uconn.edu

Center for International Business Education and Research (CIBER) (Five-Year Renewal)

The vision of the UConn CIBER is to emerge as a recognized leader in global business education, and become a significant national resource for international business teaching, research, and outreach with a focus on the theme of innovation, which is highly important for enhancing U.S. competitiveness in global markets. The UConn CIBER programs are concentrated in three main areas: Academic Programs, Business and Academic Outreach, and Research Programs. These areas filter down into five principal objectives:

- Provide international business programs with an emphasis on innovation for students at the undergraduate, MBA and Ph.D. levels;
- Provide funds and facilities for faculty to internationalize the business curriculum and to conduct research in the area of innovative international business practices and strategies;
- Provide businesses in the region with international business management education and instruction in foreign languages (especially in less commonly taught languages) and cultures, which will enable them to enhance their leadership positions in today's global economy;
- Develop and spearhead the capabilities of the nation's colleges and universities in international business education, especially with an emphasis on innovation;
- Emerge as a national resource for innovative management education on U.S. global Competitiveness.

Center for Real Estate and Urban Economic Analysis (Five-Year Renewal)

The Center was established in 1965 by the Connecticut General Assembly. The mission of the Center involves research, teaching, and service. Specifically these are:

Research: Conduct and publish academic and professional real estate research.

Teaching: Support the School of Business' real estate academic programs at the undergraduate, masters and doctoral levels.

Service: Serve as a resource to the Connecticut Real Estate Commission and the Connecticut real estate professional community.

Connecticut Center for Economic Analysis (Five-Year Renewal)

To serve the people of Connecticut by improving their understanding of the state's economy past, present, and future. The center focuses on three principal areas:

- Compiles data required to monitor and forecast economic developments in Connecticut;
- Maintains models of the State's economy, which the Center uses to conduct empirical analyses for State, municipal, and private groups, through its *Policy and Analysis Group*; and
- Develops policy analysis and studies to promote Connecticut economic development and formulation of good public policy.

Connecticut Center for Entrepreneurship and Innovation (Five-Year Renewal)

The mission is three-fold and spans the areas of teaching, research, and service/outreach. The center seeks to provide academic and experiential learning, harness the expertise of UConn's students and faculty to propel Connecticut's entrepreneurial businesses, and counsel Connecticut's entrepreneurs so that they can address the many challenges presented by the industry, market, and product and macroeconomics dynamics.

ATTACHMENT 18

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 24, 2013**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Richard Anyah	Assistant Professor	Natural Resources Management & Environment	College of Agriculture & Natural Resources
Joshua Berning	Assistant Professor	Agricultural & Resource Economics	College of Agriculture & Natural Resources
Bradley Bolling	Assistant Professor	Nutritional Sciences	College of Agriculture & Natural Resources
Thijs Bosker	Assistant Professor	Natural Resources Management & Environment	College of Agriculture & Natural Resources
Benjamin Campbell	Assistant Professor	Agricultural & Resource Economics	College of Agriculture & Natural Resources
Ock Chun	Assistant Professor	Nutritional Sciences	College of Agriculture & Natural Resources
Kristen Govoni	Assistant Professor	Animal Science	College of Agriculture & Natural Resources
Tania Huedo-Medina	Assistant Professor	Allied Health Sciences	College of Agriculture & Natural Resources
John Inguagiato	Assistant Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources
Yizao Liu	Assistant Professor	Agricultural & Resource Economics	College of Agriculture & Natural Resources
Jessica Lubell	Assistant Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources
Amy Mobley	Assistant Professor	Nutritional Sciences	College of Agriculture & Natural Resources
Sarah Reed	Assistant Professor	Animal Science	College of Agriculture & Natural Resources
Tracy Rittenhouse	Assistant Professor	Natural Resources Management & Environment	College of Agriculture & Natural Resources
Paulo Verardi	Assistant Professor	Pathobiology & Veterinary Science	College of Agriculture & Natural Resources
Huan-zhong Wang	Assistant Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources
Gerlinde Berger-Walliser	Assistant Professor	Marketing	School of Business
Paul Borochin	Assistant Professor	Finance	School of Business
Fidan Boylu	Assistant Professor	Operations & Information Management	School of Business
Resul Cesur	Assistant Professor	Finance	School of Business
Kunter Gunasti	Assistant Professor	Marketing	School of Business
Chanatip Kitwiwattanachai	Assistant Professor	Finance	School of Business
Hongju Liu	Assistant Professor	Marketing	School of Business
Efdal Misirli	Assistant Professor	Finance	School of Business
Stephen Park	Assistant Professor	Marketing	School of Business
Gregory Reilly	Assistant Professor	Management	School of Business
James Sinclair	Assistant Professor	Accounting	School of Business
David Souder	Assistant Professor	Management	School of Business
Logan Steele	Assistant Professor	Accounting	School of Business
Yanhua Yang	Assistant Professor	Accounting	School of Business
Dmitry Zhdanov	Assistant Professor	Operations & Information Management	School of Business

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 24, 2013**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Anjana Bhat	Assistant Professor	Physical Therapy	Neag School of Education
Tutita Casa	Assistant Professor	Educational Psychology	Neag School of Education
Lindsay DiStefano	Assistant Professor	Kinesiology	Neag School of Education
Morgaen Donaldson	Assistant Professor	Educational Leadership	Neag School of Education
Rachael Gabriel	Assistant Professor	Curriculum and Instruction	Neag School of Education
Richard Gonzales	Instructor	Educational Leadership	Neag School of Education
Elaine Choung Hee Lee	Assistant Professor	Kinesiology	Neag School of Education
Allison Lombardi	Assistant Professor	Educational Psychology	Neag School of Education
Anysia Mayer	Assistant Professor	Educational Leadership	Neag School of Education
Natalie Olinghouse	Assistant Professor	Educational Psychology	Neag School of Education
Christopher Rhoads	Assistant Professor	Educational Psychology	Neag School of Education
Megan Welsh	Assistant Professor	Educational Psychology	Neag School of Education
Sarah Woulfin	Assistant Professor	Educational Leadership	Neag School of Education
Alexander Agrios	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Athanasios Bamis	Assistant Professor	Computer Science & Engineering	School of Engineering
Ali Bazzi	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Jinbo Bi	Associate Professor	Computer Science & Engineering	School of Engineering
George Bollas	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Chengyu Cao	Assistant Professor	Mechanical Engineering	School of Engineering
Chris Cornelius	Associate Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Avinash Dongare	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Shalabh Gupta	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Shinae Jang	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Mohammad Khan	Assistant Professor	Computer Science & Engineering	School of Engineering
Omer Khan	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Karthik Konduri	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Ikjin Lee	Assistant Professor	Mechanical Engineering	School of Engineering
Tianfeng Lu	Assistant Professor	Mechanical Engineering	School of Engineering
George Lykotrafitis	Assistant Professor	Mechanical Engineering	School of Engineering
Anson Ma	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Radenka Maric	Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Jeffrey McCutcheon	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Mu-Ping Nieh	Associate Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 24, 2013**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Sung Yeul Park	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Zhuyin Ren	Assistant Professor	Mechanical Engineering	School of Engineering
Leslie Shor	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Prabhakar Singh	Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Timothy Vadas	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Kay Wille	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Arash Esmaili Zaghi	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Peng Zhang	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Pinar Zorlutuna	Assistant Professor	Mechanical Engineering	School of Engineering
Alexis Boylan	Assistant Professor	Art and Art History	School of Fine Arts
Adrienne Macki Braconi	Assistant Professor	Dramatic Arts	School of Fine Arts
Lindsay Cummings	Assistant Professor	Dramatic Arts	School of Fine Arts
Harvey Felder III	Associate Professor	Music	School of Fine Arts
Alison Paul	Instructor	Art and Art History	School of Fine Arts
Scott Ripley	Associate Professor	Dramatic Arts	School of Fine Arts
James Spillane	Associate Professor	Music	School of Fine Arts
Gregory Webster	Assistant Professor	Dramatic Arts	School of Fine Arts
Jill Anderson	Associate Professor		School of Law
Mathilde Cohen	Associate Professor		School of Law
Dalié Jiménez	Associate Professor		School of Law
James Kwak	Associate Professor		School of Law
Julia Simon-Kerr	Associate Professor		School of Law
Douglas Adamson	Associate Professor	Chemistry	College of Liberal Arts & Sciences
Kari Adamsons	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Nathan Alder	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Yonghong An	Assistant Professor	Economics	College of Liberal Arts & Sciences
Shayne Anderson	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Alfredo Angeles-Boza	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Robert Astur	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Carol Atkinson-Palombo	Assistant Professor	Geography	College of Liberal Arts & Sciences
Philip Balma	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts & Sciences

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 24, 2013**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Kroum Batchvarov	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Arend Bayer	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Pamela Bedore	Assistant Professor	English	College of Liberal Arts & Sciences
Saraswathi Bellur-Thandaveshwar	Assistant Professor	Communication	College of Liberal Arts & Sciences
Iddo Ben-Ari	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Fedor Bezrukov	Assistant Professor	Physics	College of Liberal Arts & Sciences
Alaina Brenick	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Kerry Bystrom	Assistant Professor	English	College of Liberal Arts & Sciences
Kenneth Campellone	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Eduardo Canedo	Assistant Professor	History	College of Liberal Arts & Sciences
Ellen Carillo	Assistant Professor	English	College of Liberal Arts & Sciences
Jason Chang	Assistant Professor	History	College of Liberal Arts & Sciences
Chi-Ming Chen	Assistant Professor	Psychology	College of Liberal Arts & Sciences
John Christensen	Assistant Professor	Communication	College of Liberal Arts & Sciences
Dwight Codr	Assistant Professor	English	College of Liberal Arts & Sciences
Marie Coppola	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Annamaria Csizmadia	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Kimberly Cuevas	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Dev Dalal	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Tehran Davis	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Andrew Deener	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Amanda Denes	Assistant Professor	Communication	College of Liberal Arts & Sciences
Christophe Dupraz	Assistant Professor	Marine Sciences	College of Liberal Arts & Sciences
Lisa Eaton	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Anne Eller	Assistant Professor	History	College of Liberal Arts & Sciences
Melanie Fewings	Assistant Professor	Marine Sciences	College of Liberal Arts & Sciences
Debarchana Ghosh	Assistant Professor	Geography	College of Liberal Arts & Sciences
Serkan Gorkemli	Assistant Professor	English	College of Liberal Arts & Sciences
Julie Granger	Assistant Professor	Marine Sciences	College of Liberal Arts & Sciences
Linda Halgunseth	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Jason Hancock	Assistant Professor	Physics	College of Liberal Arts & Sciences
Brian Hartman	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Gideon Hartman	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Idethia Harvey	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 24, 2013**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Deneen Hatmaker	Assistant Professor	Public Policy	College of Liberal Arts & Sciences
Milena Hering	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Veronica Herrera	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Daniel Hershenzon	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts & Sciences
Elizabeth Holzer	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Michael Hren	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Lan-Hsuan Huang	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Ling Huang	Assistant Professor	Economics	College of Liberal Arts & Sciences
Menka Jain	Assistant Professor	Physics	College of Liberal Arts & Sciences
Rahul Kanadia	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts & Sciences
Sangwook Kang	Assistant Professor	Statistics	College of Liberal Arts & Sciences
Prakash Kashwan	Assistant Professor	Political Science	College of Liberal Arts & Sciences
J. Magdalena Kaufmann	Assistant Professor	Linguistics	College of Liberal Arts & Sciences
Kathy Knapp	Assistant Professor	English	College of Liberal Arts & Sciences
Hassanally Ladha	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts & Sciences
Dong Jin Lee	Assistant Professor	Economics	College of Liberal Arts & Sciences
Hallie Liberto	Assistant Professor	Philosophy	College of Liberal Arts & Sciences
Yao Lin	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Ellen Litman	Assistant Professor	English	College of Liberal Arts & Sciences
Alvaro Lozano-Robledo	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Eric May	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Priscilla McCutcheon	Assistant Professor	Geography	College of Liberal Arts & Sciences
Barbara Mellone	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Erin Melton	Assistant Professor	Public Policy	College of Liberal Arts & Sciences
Vincent Moscardelli	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Ovidiu Munteanu	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Emily Myers	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts & Sciences
Kanda Naknoi	Assistant Professor	Economics	College of Liberal Arts & Sciences
Beth Neary	Assistant Professor	Public Policy	College of Liberal Arts & Sciences
Benjamin Newman	Assistant Professor	Political Science	College of Liberal Arts & Sciences
William Ouimet	Assistant Professor	Geography	College of Liberal Arts & Sciences
Jeremy Pais	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Andrew Pask	Associate Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Nishith Prakash	Assistant Professor	Economics	College of Liberal Arts & Sciences

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 24, 2013**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Pradeep Ramanathan	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts & Sciences
Nairan Ramirez-Esparza	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Marcus Rossberg	Assistant Professor	Philosophy	College of Liberal Arts & Sciences
Beth Russell	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Shawn Salvant	Assistant Professor	English	College of Liberal Arts & Sciences
Charles Venator Santiago	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Elizabeth Schifano	Assistant Professor	Statistics	College of Liberal Arts & Sciences
Daniel Schwartz	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts & Sciences
Peter Schweitzer	Assistant Professor	Physics	College of Liberal Arts & Sciences
Marie Shanahan	Assistant Professor	Journalism	College of Liberal Arts & Sciences
Mikhael Shor	Assistant Professor	Economics	College of Liberal Arts & Sciences
Matthew Singer	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Alexia Smith	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Rhiannon Smith	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Victoria Smith	Assistant Professor	English	College of Liberal Arts & Sciences
Tammie Spaulding	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts & Sciences
Ming Hon (Richard) Suen	Assistant Professor	Economics	College of Liberal Arts & Sciences
Rachel Tambling	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Jennifer Terni	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts & Sciences
Rachel Theodore	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts & Sciences
Kathleen Tonry	Assistant Professor	English	College of Liberal Arts & Sciences
Heather Turcotte	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Anastasios Tzingounis	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts & Sciences
Mark Urban	Assistant Professor	Ecology and Evolutionary Biology	College of Liberal Arts & Sciences
Christopher Vials	Assistant Professor	English	College of Liberal Arts & Sciences
Xiaojing Wang	Assistant Professor	Statistics	College of Liberal Arts & Sciences
Sarah Willen	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Jing Zhao	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Yu Zheng	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Xiaomei Cong	Assistant Professor		School of Nursing
Michelle Judge	Assistant Professor		School of Nursing
Kelley Newlin Lew	Assistant Professor		School of Nursing
Juliette Shellman	Associate Professor		School of Nursing

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 24, 2013**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Jennifer Telford	Assistant Professor		School of Nursing
Thomas Van Hoof	Associate Professor		School of Nursing
Marcy Balunas	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
M. Kyle Hadden	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
S. Megan Berthold	Assistant Professor		School of Social Work
Ann Marie Garran	Assistant Professor		School of Social Work
Kimberly Hardy	Assistant Professor		School of Social Work
Cristina Wilson	Assistant Professor		School of Social Work

ATTACHMENT 19



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Revised Allocation of Bond Authorizations as set forth in the Fifteenth
Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Fifteenth Supplemental Indenture, as follows:

- Decrease the Benton State Art Museum Addition by \$596,491.12 for a total fiscal year 2008-2009 bond authorization for such project of \$2,703,508.88; and
- Decrease the Family Studies (DRM) Renovation by \$831,693.80 for a total fiscal year 2008-2009 bond authorization for such project of \$2,493,306.20; and
- Decrease the Farm Buildings Repairs/Replacement by \$16,657.91 for a total fiscal year 2008-2009 bond authorization for such project of \$1,128,342.09; and
- Decrease the Gentry Completion by \$371,791.05 for a total fiscal year 2008-2009 bond authorization for such project of \$4,628,208.95; and
- Decrease the Koons Hall Renovation/Addition by \$19,943 for a total fiscal year 2008-2009 bond authorization for such project of \$80,057; and
- Decrease the Torrington Campus Improvements by \$29,126 for a total fiscal year 2008-2009 bond authorization for such project of \$470,874; and

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- Decrease the Waterbury Downtown Campus by \$21,063 for a total fiscal year 2008-2009 bond authorization for such project of \$128,937; and
- Decrease the West Hartford Campus Renovations/ Improvements by \$393,004.26 for a total fiscal year 2008-2009 bond authorization for such project of \$5,006,995.74; and
- Add the Residential Life Facilities for an amount of \$2,279,770.14 for a total fiscal year 2008-2009 bond authorization for such project of \$2,279,770.14.

BACKGROUND:

The Board of Trustees approved the Fifteenth Supplemental Indenture on June 24, 2008 supplementing the Master Indenture of Trust dated November 1, 1995. On March 10, 2009, the Board amended the Fifteenth Supplemental Indenture to carry forward the bond authorization of \$115,000,000 previously authorized by, but unissued under the Fourteenth Supplemental Indenture and to reallocate the \$140,000,000 in bond authorizations for Fiscal Year 2008-2009. The Board of Trustees amended the Fifteenth Supplemental Indenture on June 23, 2009. On April 16, 2009, the University, in conjunction with the State Treasurer, issued the University's General Obligation Bonds, 2009 Series A in the aggregate principal amount of \$144,855,000, with sale proceeds in the amount of \$150,000,000 which financed all of the Fiscal Year 2007-2008 bond authorizations, and \$35,000,000 of the Fiscal Year 2008-2009 bond authorizations in accordance with the Fifteenth Supplemental Indenture.

The Board of Trustees amended the Fifteenth Supplemental Indenture on February 18, 2010 to reallocate bond authorizations among capital projects. On May 25, 2010, the University, in conjunction with the State Treasurer, issued the University's General Obligation Bonds, 2010 Series A in the aggregate principal amount of \$97,115,000, with sale proceeds in the amount of \$105,000,000 which financed all of the remaining Fiscal Year 2008-2009 bond authorizations remaining under and in accordance with the Fifteenth Supplemental Indenture. On September 21, 2010, the Board of Trustees again amended the Fifteenth Supplemental Indenture to reallocate bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2008-2009, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE FIFTEENTH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2008-2009
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 24, 2008, the University of Connecticut (the “University”), by vote of its Board of Trustees, approved the Fifteenth Supplemental Indenture (the “Fifteenth Supplemental Indenture”) supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to State Street Bank and Trust Company and U.S. Bank National Association), as trustee (the “Master Indenture”); and

WHEREAS, on March 10, 2009, the University amended the Fifteenth Supplemental Indenture to carry forward the bond authorizations for Fiscal Year 2007-2008 authorized by the Fourteenth Supplemental Indenture and to reallocate certain of the Fiscal Year 2008-2009 bond authorizations; and

WHEREAS, on April 16, 2009, the University, in conjunction with the Treasurer of the state of Connecticut (the “State Treasurer”), issued the University’s General Obligation Bonds, 2009 Series A in the aggregate principal amount of \$144,855,000, with sale proceeds in the amount of \$150,000,000 which were to be spent on the Fiscal Year 2007-2008 and Fiscal Year 2008-2009 bond authorizations in accordance with the Fifteenth Supplemental Indenture; and

WHEREAS, on June 23, 2009, and February 18, 2010 the University reallocated certain of the fiscal year 2008-2009 bond authorizations by amending the Fifteenth Supplemental Indenture; and

WHEREAS, on May 25, 2010, the University, in conjunction with the Treasurer of the state of Connecticut (the “State Treasurer”), issued the University’s General Obligation Bonds, 2010 Series A in the aggregate principal amount of \$97,115,000, with sale proceeds in the amount of \$105,000,000 which were to be spent on the Fiscal Year 2008-2009 bond authorizations in accordance with the Fifteenth Supplemental Indenture; and

WHEREAS, on September 21, 2010, the University reallocated certain of the fiscal year 2008–2009 bond authorizations by amending the Fifteenth Supplemental Indenture; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Fifteenth Supplemental Indenture should be revised to reflect the actual progress made on certain of the projects; and

WHEREAS, the University desires to amend the Fifteenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Fifteenth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Fifteenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2008-2009 bond authorization amongst projects as follows: (a) decrease the Benton State Art Museum Addition by \$596,491.12 for a total fiscal year 2008-2009 bond authorization for such project of \$2,703,508.88; and (b) decrease the Family Studies (DRM) Renovation by \$831,693.80 for a total fiscal year 2008-2009 bond authorization for such project of \$2,493,306.20; and (c) decrease the Farm Buildings Repairs/Replacement by \$16,657.91 for a total fiscal year 2008-2009 bond authorization for such project of \$1,128,342.09; and (d) decrease the Gentry Completion by \$371,791.05 for a total fiscal year 2008-2009 bond authorization for such project of \$4,628,208.95; and (e) decrease the Koons Hall Renovation/Addition by \$19,943 for a total fiscal year 2008-2009 bond authorization for such project of \$80,057; and (f) decrease the Torrington Campus Improvements by \$29,126 for a total fiscal year 2008-2009 bond authorization for such project of \$470,874; and (g) decrease the Waterbury Downtown Campus by \$21,063 for a total fiscal year 2008-2009 bond authorization for such project of \$128,937; and (h) decrease the West Hartford Campus Renovations/ Improvements by \$393,004.26 for a total fiscal year 2008-2009 bond authorization for such project of \$5,006,995.74; and (i) add the Residential Life Facilities for an amount of \$2,279,770.14 for a total fiscal year 2008-2009 bond authorization for such project of \$2,279,770.14; and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A**As Amended⁽¹⁾**

**FIFTEENTH SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2008-2009
UCONN 2000 BOND AUTHORIZATIONS**

UCONN 2000 Projects*	UCONN 2000 Phase III Total Needed DSC Bonds**	Fiscal Year 2008-2009 Bond Authorization ⁽²⁾	UCONN 2000 Phase III Prior DSC Bond Authorization***
--<u>Storrs and Regional Campuses</u>			
Arjona and Monteith (new classroom buildings)	\$111,500,000	\$ 11,200,000.00	\$37,900,000
Avery Point Campus Undergraduate and Library Building	13,063,037.48	1,550,000.00	500,000
Avery Point Renovation	5,520,187	447,282.54	-
Beach Hall Renovations	4,800,000	500,000.00	1,000,000
Benton State Art Museum Addition	3,603,508.88	2,703,508.88	200,000
Biobehavioral Complex Replacement	4,787,975	100,000.00	-
Deferred Maintenance/Code/ADA Renovation Lump Sum	251,306,869.77	41,747,717.46	79,643,575
Engineering Building (with Environmental Research Institute)	60,500,000	2,500,000.00	-
Equipment, Library Collections & Telecommunications	82,641,496	5,005,000.00	43,909,575
Family Studies (DRM) Renovation	5,668,306.20	2,493,306.20	375,000
Farm Buildings Repairs/Replacement	3,728,342.09	1,128,342.09	2,600,000
Fine Arts Phase II	22,775,000	1,750,000.00	500,000
Floriculture Greenhouse	6,750,000	600,000.00	-
Gant Building Renovations	93,600,000	1,750,000.00	-
Gentry Completion	19,292,805.64	4,628,208.95	5,000,000
Intramural, Recreational and Intercollegiate Facilities	34,000,000	500,000.00	31,000,000
Jorgensen Renovation	7,200,000	2,100,000.00	100,000
Koons Hall Renovation/Addition	6,780,057	80,057.00	-
Law School Renovation/Improvements	17,768,029.09	150,000.00	15,000,000
North Hillside Road Completion	8,200,000	250,000.00	1,250,000
Psychology Building Renovation/Addition	25,170,550	750,000.00	-
Residential Lift Facilities	22,117,722.01	2,279,770.14	1,800,000
Storrs Hall Addition	14,987,927.25	1,000,000.00	550,000
Torrington Campus Improvements	2,470,874	470,874.00	-
Waterbury Downtown Campus	1,828,937	128,937.00	-
West Hartford Campus Renovations/Improvements	10,256,995.74	5,006,995.74	1,500,000
Young Building Renovation/Addition	19,223,430	<u>750,000.00</u>	-
<u>Subtotal – Storrs and Regional Campuses</u>		<u>\$91,570,000.00</u>	

--Health Center			
CLAC Renovation Biosafety Level 3 Lab	\$15,000,000	\$750,000	\$530,000
Deferred Maintenance/Code/ADA Renovation Sum —			
Health Center	45,434,150	5,360,000	19,145,125
Dental School Renovation	5,000,000	450,000	3,075,000
Equipment, Library Collections and Telecommunications -			
Health Center	63,595,850	12,590,000	28,565,725
Main Building Renovation	141,203,000	3,650,000	1,745,000
Research Tower	68,701,000	<u>25,630,000</u>	23,935,000
<u>Subtotal – Health Center</u>		<u>\$48,430,000</u>	
Total Fiscal Year 2008-2009 Bond Authorization		\$140,000,000	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly, and Public Act No. 10-104 of the 2010 Session of the Connecticut General Assembly

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward.

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Fifteenth Supplemental Indenture on June 24, 2008 and amended it on March 10, 2009, June 23, 2009, February 18, 2010, September 21, 2010 and April 24, 2013.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

New Draft Phasing Plan for Informational Purposes Only - Revised 4/24/13

FY 05 Phase II	Project	Est./Budget	FY05	FY06	FY07	FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15	FY16	FY17	FY18	Total Phase III
	Arjona and Monteilh (new classroom buildings)	\$ 111,500,000	\$ 1,400,000	\$ -	\$ 7,700,000	\$ 28,800,000	\$ 11,200,000	\$ -	\$ 45,900,000	\$ 1,000,000	\$ 11,500,000	\$ -	\$ -	\$ -	\$ 4,000,000	\$ -	\$ 111,500,000
\$ 1,875,717	Avery Point Campus Undergraduate & Library Building	13,063,037	-	-	-	500,000	1,550,000	-	1,802,813	5,600,000	1,132,719	-	-	-	127,505	2,350,000	13,063,037
	Avery Point Renovation	644,470	-	-	-	-	447,283	-	197,187	-	-	-	-	-	-	-	644,470
	Beach Hall Renovations	4,800,000	-	-	-	1,000,000	500,000	-	-	3,300,000	-	-	-	-	-	-	4,800,000
	Benton State Art Museum Addition	2,903,509	-	-	-	200,000	2,703,509	-	-	-	-	-	-	-	-	-	2,903,509
	Biobehavioral Complex Replacement	4,787,975	-	-	-	-	100,000	-	1,000,000	1,687,975	-	-	-	-	2,000,000	-	4,787,975
	Bishop Renovation	6,225,000	-	-	-	-	-	-	100,000	2,125,000	325,000	-	-	-	3,675,000	-	6,225,000
	Commissary Warehouse	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3,295,111	Deferred Maintenance/Code/ADA Renovation Lump Sum	251,306,870	17,360,000	10,659,575	24,700,000	26,924,000	41,747,717	-	24,225,000	11,471,832	9,318,851	11,579,450	16,747,000	19,406,000	18,897,495	18,269,850	251,306,869.77
6,689,000	Engineering Building	60,500,000	-	-	-	-	2,500,000	-	2,500,000	3,497,000	-	2,700,000	49,303,000	-	-	-	60,500,000
	Equipment, Library Collections & Telecommunications	82,641,496	-	6,100,000	20,809,575	17,000,000	5,005,000	-	2,500,000	13,226,921	1,500,000	4,000,000	3,500,000	3,000,000	3,000,000	3,000,000	82,641,496
	Family Studies (DRM) Renovation	5,668,306	-	-	-	375,000	2,493,306	-	-	-	-	-	-	-	2,800,000	-	5,668,306
	Farm Buildings Repairs/Replacement	3,728,342	2,100,000	500,000	-	-	1,128,342	-	-	-	-	-	-	-	-	-	3,728,342
	Fine Arts Phase II	22,775,000	-	-	-	500,000	1,750,000	-	600,000	1,675,000	50,000	5,500,000	6,200,000	6,500,000	-	-	22,775,000
	Floriculture Greenhouse	6,750,000	-	-	-	-	600,000	-	2,400,000	3,750,000	-	-	-	-	-	-	6,750,000
	Gant Building Renovations	93,600,000	-	-	-	-	1,750,000	-	1,000,000	6,500,000	2,000,000	4,000,000	7,250,000	28,094,000	22,500,000	20,506,000	93,600,000
	Gentry Completion	9,628,209	-	-	-	5,000,000	4,628,209	-	-	-	-	-	-	-	-	-	9,628,209
	Heating Plant Upgrade	30,000,000	-	-	-	-	-	-	-	690,000	-	7,250,000	2,060,000	-	8,000,000	12,000,000	30,000,000
	Incubator Facilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Intramural, Recreational & Intercollegiate Facilities	34,000,000	4,700,000	26,300,000	-	-	500,000	-	-	-	-	-	-	-	-	2,500,000	34,000,000
	Jorgensen Renovation	7,200,000	-	-	-	100,000	2,100,000	-	-	-	-	-	-	5,000,000	-	-	7,200,000
	Koons Hall Renovation/Addition	6,780,057	-	-	-	-	80,057	-	1,000,000	-	-	-	-	-	3,700,000	2,000,000	6,780,057
	Lakeside Renovation	3,800,000	3,800,000	-	-	-	-	-	-	-	-	-	-	-	-	-	3,800,000
	Law School Renovations/Improvements	17,768,029	500,000	1,020,000	8,980,000	4,500,000	150,000	-	-	618,029	-	-	-	-	-	2,000,000	17,768,029
	Library Storage Facility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Manchester Hall Renovation	4,807,264	-	-	-	-	-	-	-	807,264	-	-	-	-	-	4,000,000	4,807,264
	Mansfield Training School Improvements	8,500,000	-	-	3,000,000	-	-	-	-	500,000	-	-	-	-	2,500,000	2,500,000	8,500,000
	Natural History Museum Completion	500,000	500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	500,000
	North Hillside Road Completion	8,200,000	1,000,000	-	-	250,000	250,000	-	-	500,000	2,500,000	3,700,000	-	-	-	-	8,200,000
	Observatory	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Old Central Warehouse	2,126,000	-	-	-	126,000	-	-	-	1,000,000	1,000,000	-	-	-	-	-	2,126,000
	Parking Garage #3	5,000,000 *	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Psychology Building Renovation/Addition	25,170,550	-	-	-	-	750,000	-	2,000,000	2,900,000	15,850,000	3,670,550	-	-	-	-	25,170,550
30,820,172	Residential Life Facilities	51,117,722 *	750,000	-	-	1,050,000	2,279,770	-	-	6,037,952	-	11,000,000	-	-	-	-	21,117,722
	School of Pharmacy/Biology Completion	6,000,000	-	6,000,000	-	-	-	-	-	-	-	-	-	-	-	-	6,000,000
	Stamford Campus Improvements	2,300,000	250,000	250,000	-	-	-	-	-	-	-	-	-	-	1,800,000	-	2,300,000
	Storrs Hall Addition	14,987,927	-	-	-	550,000	1,000,000	-	4,980,000	8,457,927	-	-	-	-	-	-	14,987,927
7,320,000	Student Health Services	7,000,000 *	-	-	-	-	-	-	-	-	-	-	-	-	-	2,000,000	2,000,000
	Student Union Addition	13,000,000	-	13,000,000	-	-	-	-	-	-	-	-	-	-	-	-	13,000,000
	Support Facility (Architectural & Engineering Services)	500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	500,000	500,000
	Torrey Renovation Completion & Biology Expansion	79,940,000	1,000,000	-	-	-	-	-	-	500,000	-	-	14,940,000	30,000,000	17,000,000	16,500,000	79,940,000
	Torington Campus Improvements	2,470,874	-	-	-	-	470,874	-	-	-	-	-	-	-	2,000,000	-	2,470,874
	UConn Products Store	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Waterbury Downtown Campus	1,828,937	-	-	300,000	-	128,937	-	-	-	-	-	-	1,400,000	-	-	1,828,937
	West Hartford Campus Renovations/Improvements	10,256,996	250,000	250,000	-	1,000,000	5,006,996	-	1,500,000	-	-	-	-	1,000,000	1,000,000	250,000	10,256,996
	Young Building Renovation/Addition	19,223,430	-	-	-	-	750,000	-	2,095,000	6,655,000	9,723,430	-	-	-	-	-	19,223,430
\$ 50,000,000	Subtotal Storrs & Regional Campuses	\$1,043,000,000	\$33,610,000	\$64,079,575	\$65,489,575	\$ 87,875,000	\$ 91,570,000	\$ -	\$ 93,800,000	\$ 82,500,000	\$ 54,900,000	\$ 53,400,000	\$100,000,000	\$ 94,400,000	\$ 93,000,000	\$88,375,850	\$ 1,003,000,000
	CLAC Renovation Biosafety Level 3 Lab	15,000,000	30,000	-	-	500,000	750,000	-	35,000	-	-	8,710,000	4,975,000	-	-	-	15,000,000
	Deferred Maintenance/Code/ADA Renovation Sum-Health Center	45,434,150	3,110,000	4,285,000	4,392,125	7,358,000	5,369,000	-	4,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	1,429,025	500,000	45,434,150
	Dental School Renovation	5,000,000	-	200,000	1,125,000	1,750,000	450,000	-	975,000	500,000	-	-	-	-	-	-	5,000,000
	Equipment, Library Collections & Telecommunications-Health Center	63,595,850	12,665,000	6,135,425	5,148,300	4,617,000	12,590,000	-	8,750,000	2,500,000	1,600,000	2,290,000	2,025,000	1,550,125	2,250,000	1,475,000	63,595,850
	Library/Student Computer Center Renovation	5,250,000	85,000	500,000	565,000	-	-	-	250,000	-	-	-	-	1,000,000	2,850,000	-	5,250,000
	Main Building Renovation	141,203,000	45,000	-	1,200,000	500,000	3,650,000	-	5,990,000	14,000,000	23,203,000	29,193,000	32,514,000	30,908,000	-	-	141,203,000
	Medical School Academic Building Renovation	40,514,000	140,000	1,000,000	2,260,000	300,000	-	-	-	-	5,000,000	25,564,000	-	-	5,600,850	649,150	40,514,000
	Parking Garage - Health Center	8,400,000 *	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Planning & Design Costs	25,000,000	-	-	-	-	-	-	25,000,000	-	-	-	-	-	-	-	25,000,000
	Research Tower	68,701,000	315,000	2,800,000	8,820,000	12,000,000	25,630,000	-	-	-	10,906,000	8,230,000	-	-	-	-	68,701,000
	Support Building Addition/Renovation	100,000	-	-	-	100,000	-	-	-	-	-	-	-	-	-	-	100,000
	UHC New Construction and Renovation	357,102,000	-	-	-	-	-	-	-	54,700,000	44,391,000	67,613,000	65,986,000	68,641,875	55,770,125	-	357,102,000
	Subtotal for Health Center	\$ 775,300,000	\$16,390,000	\$14,920,425	\$23,510,425	\$ 27,125,000	\$ 48,430,000	\$ -	\$ 45,000,000	\$ 74,700,000	\$ 88,100,000	\$ 144,600,000	\$108,500,000	\$105,100,000	\$ 67,900,000	\$ 2,624,150	\$ 766,900,000
	Grand Total	\$1,818,300,000	\$50,000,000	\$79,000,000	\$89,000,000	\$115,000,000	\$140,000,000	\$ -	\$138,800,000	\$157,200,000	\$143,000,000	\$ 198,000,000	\$208,500,000	\$199,500,000	\$160,900,000	\$91,000,000	\$ 1,769,900,000
	*Projects are partially/totally financed by Revenue Bonds																

ATTACHMENT 20



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Seventeenth Supplemental Indenture, as follows:

- Decrease Biobehavioral Complex Replacement by \$212,025 for a total fiscal year 2011-2012 bond authorization for such project of \$1,687,975; and
- Decrease Fine Arts Phase II by \$225,000 for a total fiscal year 2011-2012 bond authorization for such project of \$1,675,000; and
- Decrease Heating Plant Upgrade by \$6,560,000 for a total fiscal year 2011-2012 bond authorization for such project of \$690,000; and
- Decrease Koons Hall Renovation/Addition by \$200,000 for a total fiscal year 2011-2012 bond authorization for such project of \$0; and
- Decrease Law School Renovations/Improvements by \$1,731,970.91 for a total fiscal year 2011-2012 bond authorization for such project of \$618,029.09; and
- Decrease Manchester Hall Renovation by \$1,192,736 for a total fiscal year 2011-2012 bond authorization for such project of \$807,264; and
- Decrease Storrs Hall Addition by \$127,072.75 for a total fiscal year 2011-2012 bond authorization for such project of \$8,457,927.25; and

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- Decrease West Hartford Campus Renovations/Improvements by \$2,000,000 for a total fiscal year 2011-2012 bond authorization for such project of \$0; and
- Increase Beach Hall Renovations by \$1,300,000 for a total fiscal year 2011-2012 bond authorization for such project of \$3,300,000; and
- Increase Bishop Renovation by \$225,000 for a total fiscal year 2011-2012 bond authorization for such project of \$2,125,000; and
- Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$7,185,852.79 for a total fiscal year 2011-2012 bond authorization for such project of \$11,471,931.79.
- Increase Residential Life Facilities by \$3,537,951.87 for a total fiscal year 2011-2012 bond authorization for such project of \$6,037,951.87; and

BACKGROUND:

The Board of Trustees approved the Seventeenth Supplemental Indenture on June 23, 2011 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Seventeenth Supplemental Indenture on July 27, 2011.

On December 8, 2011, the University, in conjunction with the State Treasurer, issued the University's General Obligation Bonds, 2011 Series A in the aggregate principal amount of \$179,730,000, with sale proceeds in the amount of \$200,000,000 which financed \$61,200,000 of the Fiscal Year 2011-2012 bond authorizations, and \$138,800,000 of the Fiscal Year 2010-2011 bond authorizations in accordance with the Sixteenth Supplemental Indenture.

On June 28, 2012, the Board amended the Seventeenth Supplemental Indenture to make certain reallocations of bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2011-2012, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

**RESOLUTION AMENDING THE SEVENTEENTH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2011-2012
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 23, 2011, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Seventeenth Supplemental Indenture (the "Seventeenth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on December 8, 2011, the University, in conjunction with the Treasurer of the State of Connecticut issued the University's General Obligation Bonds, 2011 Series A in the aggregate principal amount of \$179,730,000 with sale proceeds in the amount of \$200,000,000 to finance the Fiscal Year 2010-2011 and a portion of the Fiscal Year 2011-2012 bond authorizations; and

WHEREAS, on June 28, 2012, the University amended the Seventeenth Supplemental Indenture to make certain reallocations of bond authorizations for Fiscal Year 2011-2012 as set forth in Appendix A.

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Seventeenth Supplemental Indenture should be revised to reflect the actual progress being made on certain of the projects; and

WHEREAS, the University desires to amend the Seventeenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Seventeenth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Seventeenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2011-2012 bond authorization amongst projects as follows: (a) decrease Biobehavioral Complex Replacement by \$212,025 for a total fiscal year 2011-2012 bond authorization for such project of \$1,687,975; and (b) decrease Fine Arts Phase II by \$225,000 for a total fiscal year 2011-2012 bond authorization for such project of \$1,675,000; and (c) decrease Heating Plant Upgrade by \$6,560,000 for a total fiscal year 2011-2012 bond authorization for such project of \$690,000; and (d) decrease Koons Hall Renovation/Addition by \$200,000 for a total fiscal year 2011-2012 bond authorization for such project of \$0; and (e) decrease Law School Renovations/Improvements by \$1,731,970.91 for a total fiscal year 2011-2012 bond authorization for such project of \$618,029.09; and (f) decrease Manchester Hall Renovation by \$1,192,736 for a total fiscal year 2011-2012 bond authorization for such project of \$807,264; and (g) decrease Storrs Hall Addition by \$127,072.75 for a total fiscal year 2011-2012 bond authorization for such project of \$8,457,927.25; and (h) decrease West Hartford Campus Renovations/Improvements by \$2,000,000 for a total fiscal year 2011-2012 bond

authorization for such project of \$0.00; and (i) increase Beach Hall Renovations by \$1,300,000 for a total fiscal year 2011-2012 bond authorization for such project of \$3,300,000; and (j) increase Bishop Renovation by \$225,000 for a total fiscal year 2011-2012 bond authorization for such project of \$2,125,000; and (k) increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$7,185,852.79 for a total fiscal year 2011-2012 bond authorization for such project of \$11,471,931.79; and (l) Increase Residential Life Facilities by \$3,537,951.87 for a total fiscal year 2011-2012 bond authorization for such project of \$6,037,951.87; and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

SEVENTEENTH SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2011-2012
UCONN 2000 BOND AUTHORIZATIONS
_____ SERIES _____ BOND PROJECTS

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds**	Fiscal Year 2011-2012 Bond Authorization ⁽²⁾	UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***
--<u>Storrs and Regional Campuses</u>			
Arjona and Monteith (new classroom buildings)	\$ 111,500,000	\$ 1,000,000	\$ 95,000,000.00
Avery Point Campus Undergraduate and Library Building	13,063,037.48	5,600,000	3,852,813.00
Beach Hall Renovations	4,800,000	3,300,000	1,500,000.00
Biobehavioral Complex Replacement	4,787,975	1,687,975	1,100,000.00
Bishop Renovation	6,225,000	2,125,000	100,000.00
Deferred Maintenance/Code/ADA Renovation Lump Sum	251,306,869.77	11,471,931.79	145,616,292.46
Engineering Building (with Environmental Research Institute)	60,500,000	3,497,000	5,000,000.00
Equipment, Library Collections & Telecommunications	82,641,496	13,226,921	51,414,575.00
Fine Arts Phase II	22,775,000	1,675,000	2,850,000.00
Floriculture Greenhouse	6,750,000	3,750,000	3,000,000.00
Gant Building Renovations	93,600,000	6,500,000	2,750,000.00
Heating Plant Upgrade	39,969,000	690,000	-
Law School Renovations/Improvements	17,768,029.09	618,029.09	15,150,000.00
Manchester Hall Renovation	4,807,264	807,264	-
Mansfield Training School Improvements	12,000,000	500,000	3,000,000.00
North Hillside Road Completion	8,200,000	500,000	1,500,000.00
Old Central Warehouse	2,126,000	1,000,000	126,000.00
Psychology Building Renovation/Addition	25,170,550	2,900,000	2,750,000.00
Residential Life Facilities	21,117,722.01	6,037,951.87	4,079,770.14
Storrs Hall Addition	14,987,927.25	8,457,927.25	6,530,000.00
Torrey Renovation Completion and Biology Expansion	80,191,109.43	500,000	1,000,000.00
Young Building Renovation/Addition	19,223,430	6,655,000	2,845,000.00
<u>Subtotal – Storrs and Regional Campuses</u>		<u>\$82,500,000</u>	

<u>--Health Center</u>			
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	\$ 45,434,150	\$ 3,000,000	\$28,505,125
Dental School Renovation	5,000,000	500,000	4,500,000
Equipment, Library Collections & Telecommunications — Health Center	63,595,850	2,500,000	49,905,725
Main Building Renovation	141,203,000	14,000,000	11,385,000
The University of Connecticut Health Center New Construction and Renovation	357,102,000	54,700,000	-
<u>Subtotal – Health Center</u>		<u>\$74,700,000</u>	
Total Fiscal Year 2011-2012 Bond Authorization		\$157,200,000	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Seventeenth Supplemental Indenture on June 23, 2011 and amended it on June 28, 2012 and April 24, 2013

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

New Draft Phasing Plan for Informational Purposes Only - Revised 4/24/13

FY 05 Phase I	Project	Est./Budget	FY05	FY06	FY07	FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15	FY16	FY17	FY18	Total Phase III	
	Ajona and Montelth (new classroom buildings)	\$ 111,500,000	\$ 1,400,000	\$ -	\$ 7,700,000	\$ 28,800,000	\$ 11,200,000	\$ -	\$ 45,900,000	\$ 1,000,000	\$ 11,500,000	\$ -	\$ -	\$ -	\$ 4,000,000	\$ -	\$ 111,500,000	
\$ 1,875,717	Avery Point Campus Undergraduate & Library Building	13,063,037	-	-	-	500,000	1,550,000	-	1,802,813	5,600,000	1,132,719	-	-	-	127,505	2,350,000	13,063,037	
	Avery Point Renovation	644,470	-	-	-	-	447,283	-	197,187	-	-	-	-	-	-	-	644,470	
	Beach Hall Renovations	4,800,000	-	-	-	1,000,000	500,000	-	-	3,300,000	-	-	-	-	-	-	4,800,000	
	Benton State Art Museum Addition	2,903,509	-	-	-	200,000	2,703,509	-	-	-	-	-	-	-	-	-	2,903,509	
	Biobehavioral Complex Replacement	4,787,975	-	-	-	-	100,000	-	1,000,000	1,687,975	-	-	-	-	2,000,000	-	4,787,975	
	Bishop Renovation	6,225,000	-	-	-	-	-	-	100,000	2,125,000	325,000	-	-	-	3,675,000	-	6,225,000	
	Commissary Warehouse	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
3,295,111	Deferred Maintenance/Code/ADA Renovation Lump Sum	251,306,870	17,360,000	10,659,575	24,700,000	26,924,000	41,747,717	-	24,225,000	11,471,932	9,318,851	11,579,450	16,747,000	19,406,000	18,897,495	18,269,850	251,306,869.77	
6,689,000	Engineering Building	60,500,000	-	-	-	-	2,500,000	-	2,500,000	3,497,000	-	2,700,000	49,303,000	-	-	-	60,500,000	
	Equipment, Library Collections & Telecommunications	82,641,496	-	6,100,000	20,809,575	17,000,000	5,005,000	-	2,500,000	13,226,921	1,500,000	4,000,000	3,500,000	3,000,000	3,000,000	3,000,000	82,641,496	
	Family Studies (DRM) Renovation	5,668,306	-	-	-	375,000	5,668,306	-	-	-	-	-	-	-	2,800,000	-	5,668,306	
	Farm Buildings Repairs/Replacement	3,728,342	2,100,000	500,000	-	-	1,128,342	-	-	-	-	-	-	-	-	-	3,728,342	
	Fine Arts Phase II	22,775,000	-	-	-	500,000	1,750,000	-	600,000	1,675,000	50,000	5,500,000	6,200,000	6,500,000	-	-	22,775,000	
	Floriculture Greenhouse	6,750,000	-	-	-	-	600,000	-	2,400,000	3,750,000	-	-	-	-	-	-	6,750,000	
	Gant Building Renovations	93,600,000	-	-	-	-	1,750,000	-	1,000,000	6,500,000	2,000,000	4,000,000	7,250,000	28,094,000	22,500,000	20,506,000	93,600,000	
	Gentry Completion	9,628,209	-	-	-	5,000,000	4,628,209	-	-	-	-	-	-	-	-	-	9,628,209	
	Heating Plant Upgrade	30,000,000	-	-	-	-	-	-	-	690,000	-	7,250,000	2,060,000	-	8,000,000	12,000,000	30,000,000	
	Incubator Facilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Intramural, Recreational & Intercollegiate Facilities	34,000,000	4,700,000	26,300,000	-	-	500,000	-	-	-	-	-	-	-	-	2,500,000	34,000,000	
	Jorgensen Renovation	7,200,000	-	-	-	100,000	2,100,000	-	-	-	-	-	-	5,000,000	-	-	7,200,000	
	Koons Hall Renovation/Addition	6,780,057	-	-	-	-	80,057	-	1,000,000	-	-	-	-	-	3,700,000	2,000,000	6,780,057	
	Lakeside Renovation	3,800,000	3,800,000	-	-	-	-	-	-	-	-	-	-	-	-	-	3,800,000	
	Law School Renovations/Improvements	17,768,029	500,000	1,020,000	8,980,000	4,500,000	150,000	-	-	618,029	-	-	-	-	-	2,000,000	17,768,029	
	Library Storage Facility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Manchester Hall Renovation	4,807,264	-	-	-	-	-	-	-	-	807,264	-	-	-	-	-	4,000,000	4,807,264
	Mansfield Training School Improvements	8,500,000	-	-	3,000,000	-	-	-	-	-	500,000	-	-	-	-	2,500,000	2,500,000	8,500,000
	Natural History Museum Completion	500,000	500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	500,000
	North Hillside Road Completion	8,200,000	1,000,000	-	-	-	250,000	250,000	-	-	500,000	2,500,000	3,700,000	-	-	-	-	8,200,000
Observatory	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Old Central Warehouse	2,126,000	-	-	-	-	126,000	-	-	-	1,000,000	1,000,000	-	-	-	-	-	2,126,000	
Parking Garage #3	5,000,000	*	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Psychology Building Renovation/Addition	25,170,550	-	-	-	-	-	750,000	-	2,000,000	2,900,000	15,850,000	3,670,550	-	-	-	-	25,170,550	
Residential Life Facilities	51,117,722	*	750,000	-	-	1,050,000	2,279,770	-	-	6,037,952	-	11,000,000	-	-	-	-	21,117,722	
30,820,172	School of Pharmacy/Biology Completion	6,000,000	-	6,000,000	-	-	-	-	-	-	-	-	-	-	-	-	6,000,000	
	Stamford Campus Improvements	2,300,000	250,000	250,000	-	-	-	-	-	-	-	-	-	-	1,800,000	-	2,300,000	
	Storrs Hall Addition	14,987,927	-	-	-	550,000	1,000,000	-	4,980,000	8,457,927	-	-	-	-	-	-	14,987,927	
7,320,000	Student Health Services	7,000,000	*	-	-	-	-	-	-	-	-	-	-	-	-	2,000,000	2,000,000	
	Student Union Addition	13,000,000	-	13,000,000	-	-	-	-	-	-	-	-	-	-	-	-	13,000,000	
	Support Facility (Architectural & Engineering Services)	500,000	-	-	-	-	-	-	-	-	-	-	-	-	-	500,000	500,000	
	Torrey Renovation Completion & Biology Expansion	79,940,000	1,000,000	-	-	-	-	-	-	500,000	-	-	14,940,000	30,000,000	17,000,000	16,500,000	79,940,000	
	Torrington Campus Improvements	2,470,874	-	-	-	-	470,874	-	-	-	-	-	-	-	2,000,000	-	2,470,874	
	UConn Products Store	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Waterbury Downtown Campus	1,828,937	-	-	300,000	-	128,937	-	-	-	-	-	-	1,400,000	-	-	1,828,937	
	West Hartford Campus Renovations/Improvements	10,256,996	250,000	250,000	-	1,000,000	5,006,996	-	1,500,000	-	-	-	-	1,000,000	1,000,000	250,000	10,256,996	
	Young Building Renovation/Addition	19,223,430	-	-	-	-	750,000	-	2,095,000	6,655,000	9,723,430	-	-	-	-	-	19,223,430	
\$ 50,000,000	Subtotal Storrs & Regional Campuses	\$1,043,000,000	\$33,610,000	\$64,079,575	\$65,489,575	\$ 87,875,000	\$ 91,570,000	\$ -	\$ 93,800,000	\$ 82,500,000	\$ 54,900,000	\$ 53,400,000	\$100,000,000	\$ 94,400,000	\$ 93,000,000	\$88,375,850	\$ 1,003,000,000	
	CLAC Renovation Biosafety Level 3 Lab	15,000,000	30,000	-	-	500,000	750,000	-	35,000	-	-	8,710,000	4,975,000	-	-	-	15,000,000	
	Deferred Maintenance/Code/ADA Renovation Sum-Health Center	45,434,150	3,110,000	4,285,000	4,392,125	7,358,000	5,360,000	-	4,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	1,429,025	500,000	45,434,150	
	Dental School Renovation	5,000,000	-	200,000	1,125,000	1,750,000	450,000	-	975,000	500,000	-	-	-	-	-	-	5,000,000	
	Equipment, Library Collections & Telecommunications-Health Center	63,595,850	12,665,000	6,135,425	5,148,300	4,617,000	12,590,000	-	8,750,000	2,500,000	1,600,000	2,290,000	2,025,000	1,550,125	2,250,000	1,475,000	63,595,850	
	Library/Student Computer Center Renovation	5,250,000	85,000	500,000	565,000	-	-	-	250,000	-	-	-	-	1,000,000	2,850,000	-	5,250,000	
	Main Building Renovation	141,203,000	45,000	-	1,200,000	500,000	3,650,000	-	5,990,000	14,000,000	23,203,000	29,193,000	32,514,000	30,908,000	-	-	141,203,000	
	Medical School Academic Building Renovation	40,514,000	140,000	1,000,000	2,260,000	300,000	-	-	-	-	5,000,000	25,564,000	-	-	5,600,850	649,150	40,514,000	
	Parking Garage - Health Center	8,400,000	*	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Planning & Design Costs	25,000,000	-	-	-	-	-	-	25,000,000	-	-	-	-	-	-	-	25,000,000	
	Research Tower	68,701,000	315,000	2,800,000	8,820,000	12,000,000	25,630,000	-	-	-	10,906,000	8,230,000	-	-	-	-	68,701,000	
	Support Building Addition/Renovation	100,000	-	-	-	100,000	-	-	-	-	-	-	-	-	-	-	100,000	
	UCHC New Construction and Renovation	357,102,000	-	-	-	-	-	-	-	54,700,000	44,391,000	67,613,000	65,986,000	68,641,875	55,770,125	-	357,102,000	
	Subtotal for Health Center	\$ 775,300,000	\$18,390,000	\$14,920,425	\$23,510,425	\$ 27,125,000	\$ 48,430,000	\$ -	\$ 45,000,000	\$ 74,700,000	\$ 88,100,000	\$ 144,600,000	\$108,500,000	\$105,100,000	\$ 67,900,000	\$ 2,824,150	\$ 766,800,000	
	Grand Total	\$1,818,300,000	\$50,000,000	\$79,000,000	\$89,000,000	\$115,000,000	\$140,000,000	\$ -	\$138,800,000	\$157,200,000	\$143,000,000	\$ 198,000,000	\$208,500,000	\$199,500,000	\$160,900,000	\$91,000,000	\$ 1,769,900,000	
	*Projects are partially/totally financed by Revenue Bonds																	

ATTACHMENT 21



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Eighteenth Supplemental Indenture, as follows:

- Decrease Arjona and Monteith (new classroom buildings) by \$4,000,000 for a total fiscal year 2012-2013 bond authorization for such project of \$11,500,000; and
- Decrease Avery Point Campus Undergraduate and Library Building by \$39,775.52 for a total fiscal year 2012-2013 bond authorization for such project of \$1,132,719.48; and
- Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$4,039,775.52 for a total fiscal year 2012-2013 bond authorization for such project of \$9,318,850.52.

BACKGROUND:

The Board of Trustees approved the Eighteenth Supplemental Indenture on June 28, 2012 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Eighteenth Supplemental Indenture on July 28, 2012. The Board of Trustees amended Appendix A to the Eighteenth Supplemental Indenture on September 27, 2012, December 12, 2012, and February 27, 2013.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2012-2013, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

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**RESOLUTION AMENDING THE EIGHTEENTH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2012-2013
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 28, 2012, the University of Connecticut (the "University"), by vote of its Board of Trustees approved the Eighteenth Supplemental Indenture (the "Eighteenth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on September 27, 2012, the University amended the Eighteenth Supplemental Indenture to make certain reallocations of bond authorizations as set forth in Appendix A; and

WHEREAS, on December 12, 2012, the University amended the Eighteenth Supplemental Indenture to make certain reallocations of bond authorizations as set forth in Appendix A; and

WHEREAS, on February 27, 2013, the University amended the Eighteenth Supplemental Indenture to make certain reallocations of bond authorizations as set forth in Appendix A; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Eighteenth Supplemental Indenture should be revised to reflect the actual progress being made on certain of the projects; and

WHEREAS, the University desires to amend the Eighteenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Eighteenth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Eighteenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2012-2013 bond authorization amongst projects as follows: (a) Decrease Arjona and Monteith (new classroom buildings) by \$4,000,000 for a total fiscal year 2012-2013 bond authorization for such project of \$11,500,000; and (b) Decrease Avery Point Campus Undergraduate and Library Building by \$39,775.52 for a total fiscal year 2012-2013 bond authorization for such project of \$1,132,719.48; and (c) Increase Deferred Maintenance/Code/ADA Renovation Lump Sum by \$4,039,775.52 for a total fiscal year 2012-2013 bond authorization for such project of \$9,318,850.52 and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A
(as amended)¹

EIGHTEENTH SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2012-2013
UCONN 2000 BOND AUTHORIZATIONS
_____ SERIES ____ BOND PROJECTS

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds**	Fiscal Year 2012-2013 Bond Authorization⁽²⁾	UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***
--<u>Storrs and Regional Campuses</u>			
Arjona and Monteith (new classroom buildings)	\$ 111,500,000	\$11,500,000	\$ 96,000,000
Avery Point Campus Undergraduate and Library Building	13,063,037.48	1,132,719.48	9,452,813
Bishop Renovation	6,225,000	325,000	2,225,000
Deferred Maintenance/Code/ADA Renovation Lump Sum	\$251,306,869.77	\$9,318,850.52	157,088,224.25
Equipment, Library Collections & Telecommunications	82,641,496	1,500,000	64,641,496
Fine Arts Phase II	22,775,000	50,000	4,525,000
Gant Building Renovations	93,600,000	2,000,000	9,250,000
North Hillside Road Completion	8,200,000	2,500,000	2,000,000
Old Central Warehouse	2,126,000	1,000,000	1,126,000
Psychology Building Renovation/Addition	25,170,550	15,850,000	5,650,000
Young Building Renovation/Addition	\$19,223,430	\$9,723,430	\$9,500,000
<u>Subtotal – Storrs and Regional Campuses</u>		<u>\$54,900,000</u>	
--<u>Health Center</u>			
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	\$45,434,150	\$3,000,000	\$31,505,125
Equipment, Library Collections & Telecommunications — Health Center	63,595,850	1,600,000	52,405,725
Main Building Renovation	141,203,000	23,203,000	25,385,000
Medical School Academic Building Renovation	40,514,000	5,000,000	3,700,000
Research Tower	68,701,000	10,906,000	49,565,000
The University of Connecticut Health Center New Construction and Renovation	357,102,000	44,391,000	10,000,000
<u>Subtotal – Health Center</u>		<u>\$88,100,000</u>	
Total Fiscal Year 2012-2013 Bond Authorization		\$143,000,000	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Eighteenth Supplemental Indenture on June 28, 2012 and amended it on September 27, 2012, December 12, 2012, February 27, 2013, and April 24, 2013.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

New Draft Phasing Plan for Informational Purposes Only - Revised 4/24/13

*Projects are partially/totally financed by Revenue Bonds

ATTACHMENT 22



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Increase in Board of Trustees Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets

RECOMMENDATION:

That the Board of Trustees approve an increase in the required dollar thresholds for high-value contracts and project budgets and reporting of University contracts for information.

To ensure fiscal stability, the University must inform the Board of Trustees after contracting for goods and services and request approval for large scope, high-value contracts. The proposed change will require that all contracts calling for the expenditure or receipt of funds, goods or services ("value") of \$2,000,000 or greater, except contracts for the provision or receipt of academic research services, receive approval of the Board of Trustees prior to execution by the University. All contracts with a value of \$1,000,000 or greater, but less than \$2,000,000, shall be presented to the Board of Trustees as a subsequent information agenda item.

The proposed change will also require that all capital construction projects calling for the expenditure of \$2,000,000 or greater, receive approval of the Board of Trustees prior to execution by the University. For all capital construction projects with a value of less than \$2,000,000, authority to approve budgets is the responsibility of the President or his/her designee subject to email approval, within 48 hours of the request, by the Buildings, Grounds and Environment Committee of the Board of Trustees. Approval is defined as an affirmative response or no response. The Executive Vice President for Administration and Chief Financial Officer has the authority to approve UCONN 2000 named projects less than \$2,000,000, and the Buildings & Grounds Committee (UConn)/Space Management Committee (UCHC) has the authority to approve Deferred Maintenance and other non-named projects less than \$2,000,000.

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BACKGROUND:

The reporting thresholds as designated herein shall pertain to all University contracts and UCONN 2000 and non-named projects.

Except in exigent circumstances presenting extraordinary conditions or contingencies that could not reasonably be foreseen and guarded against as determined by the President or Executive Vice President for Administration and Chief Financial Officer, or Executive Vice President for Health Affairs, when applicable, all contracts or amendments thereof, except as set forth below, calling for the expenditure or receipt of funds, goods or services ("value") of \$2,000,000 or greater shall require approval of the Board of Trustees (or the Health Center's Board of Directors for contracts involving the Health Center) prior to execution by the University. The Board of Trustees shall approve all real estate leases, or amendments thereof, whether the University is the lessor or lessee, prior to execution by the University.

All contracts or amendments thereof, with a value of \$1,000,000 or greater, but less than \$2,000,000, shall be presented to the Board of Trustees (or the Board of Directors for contracts involving the Health Center) as a subsequent information agenda item after execution.

All professional design, professional services or pre-construction related contracts, or amendments thereof (including change orders), incorporated into a planning or design capital project budget approved by the Board of Trustees or Board of Directors, and all professional design, professional services, pre-construction or construction-related contracts, or amendments thereof, incorporated into a final capital project budget approved by the Board of Trustees or Board of Directors, may be executed without further authorization from the Board of Trustees or Board of Directors. All such contracts with a value of \$1,000,000 or greater shall be presented to the Board of Trustees or Board of Directors as a subsequent information agenda item after execution.

All contracts or amendments thereof, to retain audit-related professional services in any amount shall require approval of the Joint Audit and Compliance Committee of the Board of Trustees prior to execution.

All contracts, or amendments thereof, for the provision or receipt of academic research or related services with a value of less than \$5,000,000 may be executed without prior approval of the Board of Trustees or Board of Directors, provided all such contracts or amendments with a value of \$1,000,000 or greater are presented to the Board of Trustees or Board of Directors as a subsequent information agenda item after execution.

The President is authorized to sign all University contracts, or amendments thereof, of any value (provided the Board of Trustees or Board of Directors has approved those with a value of \$2,000,000 or more, to the extent such approval is required above, or \$5,000,000 or more if a research related contract). He or she is further authorized to delegate in writing his or her signing authority for contracts, consistent with this resolution, to other University administrators as he or she may from time to time determine appropriate.

ATTACHMENT 23



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Withdrawals

RECOMMENDATION:

That the Board of Trustees approve the withdrawal of the Project Budgets listed below which were previously approved by the Board of Trustees.

BACKGROUND:

The following Project Budgets were approved by the Board of Trustees on the dates listed below. At this time, these projects are being withdrawing from the list of approved active projects for one of the following reasons: (1) the reprioritization of or lack of sufficient funding; (2) the key driver for the project has changed; or (3) the project requires further scope definition. A Project Budget will be resubmitted if it is to move forward at a future date.

Project Name	Phase	Budget	Approved
Atwater Exterior Window Replacement	Revised Planning	\$1,900,000	09/21/10
Rodent Barrier Facility	Planning	\$2,400,000	02/28/12

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ATTACHMENT 24



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Homer Babbidge Library – Replace Floor Coverings
(Final: \$1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,000,000 for the planning, design and construction of floor covering replacements in the Homer Babbidge Library.

BACKGROUND:

The Homer Babbidge Library is the iconic 422,000 Square Foot library building on the Storrs campus. As the academic hub of the campus, the Babbidge Library experiences an significant foot traffic from students, faculty and staff. As such, the floor coverings experience heavy foot traffic and as a result, significant wear and tear. The purpose of this project is to repair or replace the badly deteriorated floor coverings in the highest traffic areas.

The University has hired an architect design team to prepare contract documents for the project. The entire building has been surveyed and prioritized under the direction of the building staff. The scope of this project will focus on the following high density usage areas: all elevator lobbies, all bathroom floors, plaza level entrance mats, main central stairs, elevator cab floors, levels 1, 2 and 3 public areas.

The University schedule is for this work to be completed during the summer of 2013.

The project budget includes a “construction” line item that was provided by the design architect. This is based on initial programmatic design, not fully developed construction documents and competitive bidding, and represents the best efforts of the design team to establish a contract value for the project. The University will report the results of the competitive bidding at the April Board meeting.

The Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: HOMER BABBIDGE LIBRARY - REPLACE FLOOR COVERINGS

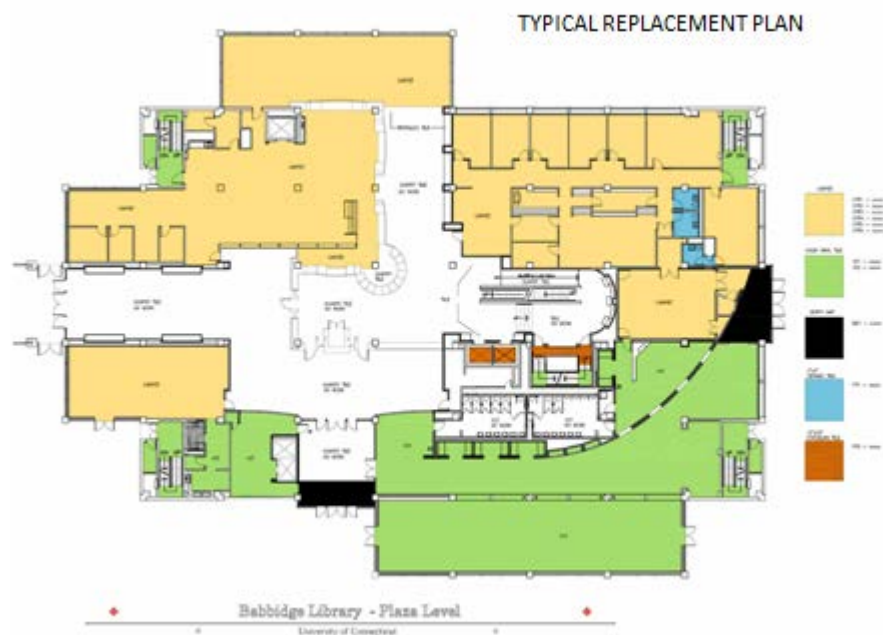
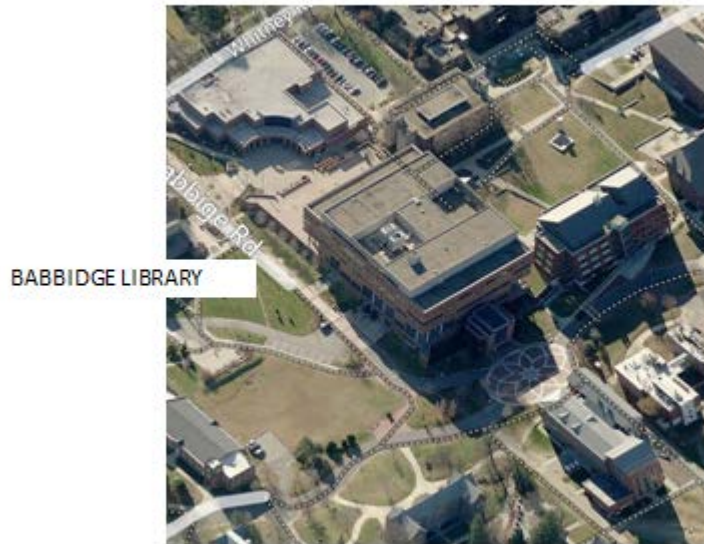
<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 4/24/2013
CONSTRUCTION	\$ 695,000
DESIGN SERVICES	75,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT*	-
CONSTRUCTION ADMINISTRATION	50,000
OTHER AE SERVICES (including Project Management)	25,000
ART	-
RELOCATION	30,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	10,000
MISCELLANEOUS	-
OTHER SOFT COSTS*	15,000
SUBTOTAL	\$ 900,000
PROJECT CONTINGENCY	100,000
TOTAL BUDGETED EXPENDITURES	\$ 1,000,000
<u>SOURCE(S) OF FUNDING</u>	
UCONN 2000 PHASE III - FY07 DM	\$ 60,000
UCONN 2000 PHASE III - FY13 DM	940,000
TOTAL BUDGETED FUNDING	\$ 1,000,000

*Does not include Furniture, Fixtures and Equipment.

HOMER BABBIDGE LIBRARY – REPLACE FLOOR COVERINGS

Project Budget (FINAL)

04/24/2013



ATTACHMENT 25



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Pedestrian Safety Improvements Core Campus – Phase I
(Final: \$6,591,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$6,591,000 for the design and construction of Pedestrian Safety Improvements Core Campus– Phase I.

BACKGROUND:

The University is in the process of implementing the recommendations of the Access Management and Landscape Master Plans. Design work has been proceeding to incorporate the various recommendations which include improving pedestrian safety, providing clear service access to buildings to accommodate loading and trash removal, promoting storm water solutions to accommodate run-off from storm events and improving turf and tree welfare throughout the main campus.

A series of projects to improve the central core campus have been identified for implementation by the two planning studies. They include upgrades to the Art Woods Quadrangle located between the Benton Museum, Wood and Storrs Halls which will remove deteriorated sidewalks and install new, improve grass turf to permit water infiltration, thin the tree canopy to permit sunlight into the area and reorient the existing art sculpture. Recently, the university closed the former Busway to bus traffic to improve pedestrian safety and provide more effective bus routes. Relocation of the Bus Stop adjacent to the Philip Austin Building to Glenbrook and various other hardscape and turf restoration activities are needed in this heavily trafficked part of campus. Other projects include the completion of Academic Way between Gentry and the Student Union Quadrangle by installing new concrete walks with brick edging and the relocation of an above grade transformer and installation of screening along Glenbrook Road complete this first phase of improvements.

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Due to the need to investigate and develop extensive engineering documents to replace a broken steam line within the roadway alongside the Gentry and Rowe buildings, upgrade of the access way will be deferred until next year. Upon review of various project timelines and the proximity of the work areas, it became evident that bundling these projects into one construction package will allow the university to reduce the cost of construction and manage the challenging logistical issues surrounding core campus sites through one contract.

Project design is complete and the budget is based upon preconstruction estimates. The project duration is estimated to be 6 months. The University requests a waiver to the three step budget approval process to enable construction to start as soon as possible.

This Final Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: PEDESTRIAN SAFETY IMPROVEMENTS - CORE CAMPUS PHASE I

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 4/24/2013
CONSTRUCTION	\$ 4,500,000
DESIGN SERVICES	455,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	400,000
OTHER AE SERVICES (including Project Management)	220,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	10,000
MISCELLANEOUS	186,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 5,771,000
PROJECT CONTINGENCY	820,000
TOTAL BUDGETED EXPENDITURES	\$ 6,591,000
<u>SOURCE(S) OF FUNDING</u>	
UConn 2000 PHASE III - FY06 DM	\$ 322,882
UConn 2000 PHASE III - FY07 DM	132,730
UConn 2000 PHASE III - FY08 DM	519,109
UConn 2000 PHASE III - FY09 DM	2,556,659
UConn 2000 PHASE III - FY11 DM	637,649
UConn 2000 PHASE III - FY12 DM	2,421,971
TOTAL BUDGETED FUNDING	\$ 6,591,000

ATTACHMENT 26



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – Hale and Ellsworth Exterior
Masonry Repairs (Final: \$2,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$2,000,000 for the planning, design and construction of exterior masonry repairs of Hale and Ellsworth Halls.

BACKGROUND:

Hale and Ellsworth are twin nine (9) story residential life buildings. Together they have over 125,000 GSF under cover. These buildings have been in continual service since 1971.

The purpose of this project is to correct water infiltration in the buildings. The project scope includes, replacing of failing steel lintels angles over the egress doors, adding helical anchors, filling cracks in the exterior brick and coating the building with waterproofing material.

The University's schedule is for this project to be accomplished during the summer of 2013.

The project budget includes a "construction" line item that was provided by the design architect. This is based on fully developed construction documents and not competitive bidding, and represents the best efforts of the design team to establish a contract value for the project. The University will report the results of the competitive bidding at the April Board meeting.

The Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: RESIDENTIAL LIFE FACILITIES - HALE AND ELLSWORTH EXTERIOR MASONRY REPAIR

	APPROVED PLANNING 10/28/2011	APPROVED REVISED PLANNING 12/12/2012	PROPOSED FINAL 4/24/2013
<u>BUDGETED EXPENDITURES</u>			
	BY EVPA/CFO		
CONSTRUCTION	\$ -	\$ 1,400,000	\$ 1,400,000
DESIGN SERVICES	65,000	155,000	155,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	1,350	70,000	70,000
OTHER AE SERVICES (including Project Management)	-	50,000	50,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	1,500	8,000	8,000
MISCELLANEOUS	150	-	-
OTHER SOFT COSTS*	-	67,000	67,000
	<hr/>	<hr/>	<hr/>
SUBTOTAL	\$ 68,000	\$ 1,750,000	\$ 1,750,000
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PROJECT CONTINGENCY	7,000	250,000	250,000
	<hr/>	<hr/>	<hr/>
TOTAL BUDGETED EXPENDITURES	\$ 75,000	\$ 2,000,000	\$ 2,000,000
	<hr/>	<hr/>	<hr/>
<u>SOURCE(S) OF FUNDING</u>			
UCONN 2000 PHASE III	\$ 75,000	\$ 75,000	\$ 2,000,000
DEPARTMENTAL FUNDS	-	1,925,000	-
	<hr/>	<hr/>	<hr/>
TOTAL BUDGETED FUNDING	\$ 75,000	\$ 2,000,000	\$ 2,000,000
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RESIDENTIAL LIFE FACILITIES – HALE AND ELLSWORTH

EXTERIOR MASONRY REPAIRS

Project Budget (FINAL)

04/24/2013



TYPICAL EXTERIOR
CONDITIONS



INTERIOR LEAKS

ATTACHMENT 27



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN 2000 Code Remediation: North Campus
Dormitories (Final: \$1,085,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,085,000 for the remediation of code discrepancies at the North Campus Dormitories Complex.

BACKGROUND:

The University Office of Fire Marshal and Building Inspector cited code discrepancies after completing a required plan review and field inspection of the UCONN 2000 North Campus Residence Hall Interior Finishes Project.

Corrective work resulting from contractor errors during the original project was completed by the original contractor at the contractor's expense. Construction documents have been prepared for the balance of the corrective work, a building permit was issued and the project has been advertised for bid.

At the completion of construction, all cited code discrepancies under the UCONN 2000 Code Remediation Program for the North Campus Dormitories will be remediated. The University requests a waiver to the three step budget approval process to enable construction to start as soon as possible.

This Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN 2000 CODE REMEDIATION: NORTH CAMPUS DORMITORIES

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 4/24/2013
CONSTRUCTION	\$ 684,000
DESIGN SERVICES	49,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT*	-
CONSTRUCTION ADMINISTRATION	160,000
OTHER AE SERVICES (including Project Management)	15,000
ART	-
RELOCATION	-
ENVIRONMENTAL	80,000
INSURANCE AND LEGAL	12,000
MISCELLANEOUS	5,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 1,005,000
PROJECT CONTINGENCY	80,000
TOTAL BUDGETED EXPENDITURES	\$ 1,085,000
<u>SOURCE(S) OF FUNDING</u>	
UNIVERSITY OPERATING FUNDS	\$ 1,085,000
TOTAL BUDGETED FUNDING	\$ 1,085,000

ATTACHMENT 28



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN 2000 Code Remediation: Towers Dormitories
(Revised Final: \$732,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$732,000 for the remediation of code discrepancies at the Towers Dormitory Complex.

BACKGROUND:

The University Office of Fire Marshal and Building Inspector cited code discrepancies after completing a required plan review and field inspection of UCONN 2000 Towers Residence Halls Renovations Project.

Two separate original UCONN 2000 projects related to the Towers Dormitories Complex were reviewed by the code officials. The projects were designed by two separate architectural firms and the work was completed by one general contractor. Corrective work resulting from errors attributable to the original contractor was completed by the original contractor at the contractor's expense. The balance of the work required is to correct code discrepancies resulting from errors and/or omissions in the original design. The original project architect provided remediation designs, at their expense, for the correction of the remaining code discrepancies. Upon completion of construction, all cited code discrepancies under the UCONN 2000 Code Remediation Program for the Towers Dormitories will be remediated.

This Revised Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: UCONN 2000 CODE REMEDIATION: TOWERS DORMITORIES

	APPROVED FINAL 4/20/2010	PROPOSED REVISED FINAL 4/24/2013
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 431,000	\$ 477,400
DESIGN SERVICES	-	10,800
TELECOMMUNICATIONS	188	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	60,000	125,000
OTHER AE SERVICES (including Project Management)	10,000	15,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	7,000	17,000
INSURANCE AND LEGAL	30,000	30,000
MISCELLANEOUS	11,812	6,800
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 550,000	\$ 682,000
PROJECT CONTINGENCY	70,000	50,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 620,000</u>	<u>\$ 732,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UNIVERSITY OPERATING FUNDS	<u>\$ 620,000</u>	<u>\$ 732,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 620,000</u>	<u>\$ 732,000</u>

ATTACHMENT 29



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UCONN 2000 Code Remediation: Wilbur Cross
Building (Final: \$767,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$767,000 for the remediation of code discrepancies at the Wilbur Cross building.

BACKGROUND:

The University Office of Fire Marshal and Building Inspector cited code discrepancies after completing a required plan review and field inspection of the UCONN 2000 Wilbur Cross Building Renovations Project.

Remediation design discrepancies attributable to the original architect were provided by the original architectural firm at its expense. Remediation design for work identified due to errors by the original contractor was completed by the University. The original project contractor is no longer in business. A building permit was issued for the work and the construction documents have been issued for bid.

Upon completion of construction, all cited code discrepancies under the UCONN 2000 Code Remediation Program for the Wilbur Cross building will be remediated. The University intends to proceed with this project if competitive bidding does not result in an increase in the total project budget. The University requests a waiver to the three step budget approval process to enable construction to start as soon as possible.

The Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN 2000 CODE REMEDIATION: WILBUR CROSS BUILDING

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 4/24/2013
CONSTRUCTION	\$ 428,700
DESIGN SERVICES	65,300
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT*	-
CONSTRUCTION ADMINISTRATION	123,000
OTHER AE SERVICES (including Project Management)	25,000
ART	-
RELOCATION	5,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	45,000
MISCELLANEOUS	15,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 707,000
PROJECT CONTINGENCY	60,000
TOTAL BUDGETED EXPENDITURES	\$ 767,000
<u>SOURCE(S) OF FUNDING</u>	
UNIVERSITY OPERATING FUNDS	\$ 767,000
TOTAL BUDGETED FUNDING	\$ 767,000

ATTACHMENT 30



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Basketball Development Facility
(Revised Final: \$33,018,500)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$33,018,500 for the Basketball Development Center.

BACKGROUND:

On February 1, 2008, the Board's Buildings, Grounds and Environment Committee approved the development of a programming and planning study for the proposed Basketball Development Center and related soccer facility relocation. Funding for the study was provided by private donations. The programming study identified dedicated space for athletic practice and administrative offices, strength and training, audio visual and academic support for the Men's and Women's basketball programs.

The project is currently in the early stage of construction and the University has determined that it would be advantageous to provide a larger water service line than currently planned to service the building. The location of the proposed water service line is between Gampel Pavilion and the project building and is planned to be installed in the near future. The increased pipe size will allow for additional future expansion of Athletic Facilities to the North of the Basketball Development Center project site. The additional funding request represents the incremental cost of increasing the pipe size from six inch diameter to eight inch diameter.

The University requests that the existing Final Budget be increased in the amount of \$18,500 bringing the total Revised Final Budget to \$33,018,500.

The Revised Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: BASKETBALL DEVELOPMENT FACILITY

	APPROVED PLANNING 9/21/2010	APPROVED FINAL 11/14/2012	PROPOSED REVISED FINAL 4/24/2013
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ -	\$ 27,806,450	\$ 27,824,950
DESIGN SERVICES	1,900,000	2,462,971	2,462,971
TELECOMMUNICATIONS	-	261,500	261,500
FURNITURE, FIXTURES AND EQUIPMENT*	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	200,000	853,000	853,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	8,000	7,500	7,500
INSURANCE AND LEGAL	2,000	2,000	2,000
MISCELLANEOUS	-	19,200	19,200
OTHER SOFT COSTS*	590,000	-	-
SUBTOTAL	\$ 2,700,000	\$ 31,412,621	\$ 31,431,121
PROJECT CONTINGENCY	300,000	1,587,379	1,587,379
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,000,000</u>	<u>\$ 33,000,000</u>	<u>\$ 33,018,500</u>
<u>SOURCE(S) OF FUNDING</u>			
GIFTS	\$ 2,998,755	\$ 32,998,755	\$ 32,998,755
DEPARTMENTAL FUNDS - ATHLETICS	1,245	1,245	1,245
UNIVERSITY OPERATING FUNDS	-	-	18,500
TOTAL BUDGETED FUNDING	<u>\$ 3,000,000</u>	<u>\$ 33,000,000</u>	<u>\$ 33,018,500</u>

*Does not include Furniture, Fixtures and Equipment.

BASKETBALL DEVELOPMENT FACILITY

Project Budget (REVISED FINAL)

04/24/2013



ATTACHMENT 31



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Operating Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Pedestrian Safety Improvements – Phase I
(Revised Final: \$4,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$4,000,000 for the design and construction of Pedestrian Safety Improvements – Phase I.

BACKGROUND:

The University's Landscape Master Plan recommends various improvements to enhance the campus by promoting the installation of standardized lighting and seating, reengineering storm water and other surface drainage systems to decrease site deterioration and installing native planting. The Access Management Plan provides a template for pedestrian safety, service, loading, trash removal and other related access issues for individual buildings. The plans have identified areas on campus that have pedestrian and vehicular conflicts that require reconfiguration in order to provide clear pathways for pedestrians while accommodating the service needs of buildings. One of the first areas designated is a quadrangle between the School of Business, Information Technologies Engineering Building (ITEB), Connecticut Commons and the Whetton Building off of Hillside Road.

A design was completed for the quadrangle between the buildings including a rework of the Dodd Lot. Although the project was intended to start in October 2012, realignment of the entrance to the quadrangle from Hillside Road required rework to accommodate the future development of Stadium Road. The university intends to redevelop the pedestrian and vehicular components of Stadium Road to correlate with improvements along Hillside Road and the new Basketball Development Center at some future date. Early planning studies for Stadium Road were developed in the fall which allowed the design team to revise the project to accommodate future improvements.

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The project has been released for bid and updated cost estimates received from the project team indicate that costs for the work have increased. This project continues to be an important initiative for the university to improve the desirability, serviceability, and stormwater systems in a heavily pedestrian part of the campus. The project duration is estimated to be 6 months.

The Revised Final Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: PEDESTRIAN SAFETY IMPROVEMENTS - PHASE I

<u>BUDGETED EXPENDITURES</u>	APPROVED PLANNING 2/22/2011	APPROVED FINAL 9/27/2012	PROPOSED REVISED FINAL 4/24/2013
CONSTRUCTION	\$ 1,523,000	\$ 2,490,000	\$ 3,270,000
DESIGN SERVICES	135,000	158,200	220,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	90,000
OTHER AE SERVICES (including Project Management)	-	62,100	99,600
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	1,000	-
INSURANCE AND LEGAL	-	4,000	5,000
MISCELLANEOUS	-	9,700	4,200
OTHER SOFT COSTS	45,000	-	-
SUBTOTAL	\$ 1,703,000	\$ 2,725,000	\$ 3,688,800
PROJECT CONTINGENCY	187,000	260,000	311,200
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,890,000</u>	<u>\$ 2,985,000</u>	<u>\$ 4,000,000</u>
<u>SOURCE(S) OF FUNDING</u>			
UCONN 2000 PHASE III - FY07 DM	\$ 15,000	\$ -	\$ -
UCONN 2000 PHASE III - FY11 DM	1,875,000	2,985,000	4,000,000
TOTAL BUDGETED FUNDING	<u>\$ 1,890,000</u>	<u>\$ 2,985,000</u>	<u>\$ 4,000,000</u>

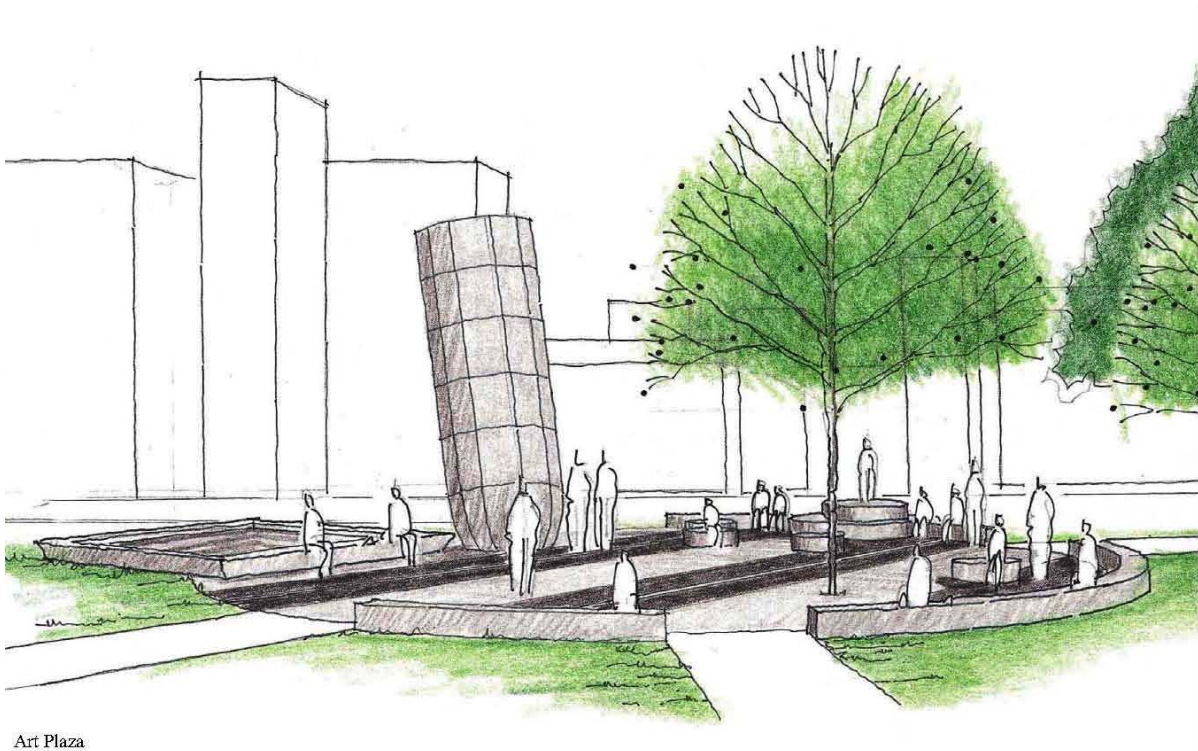
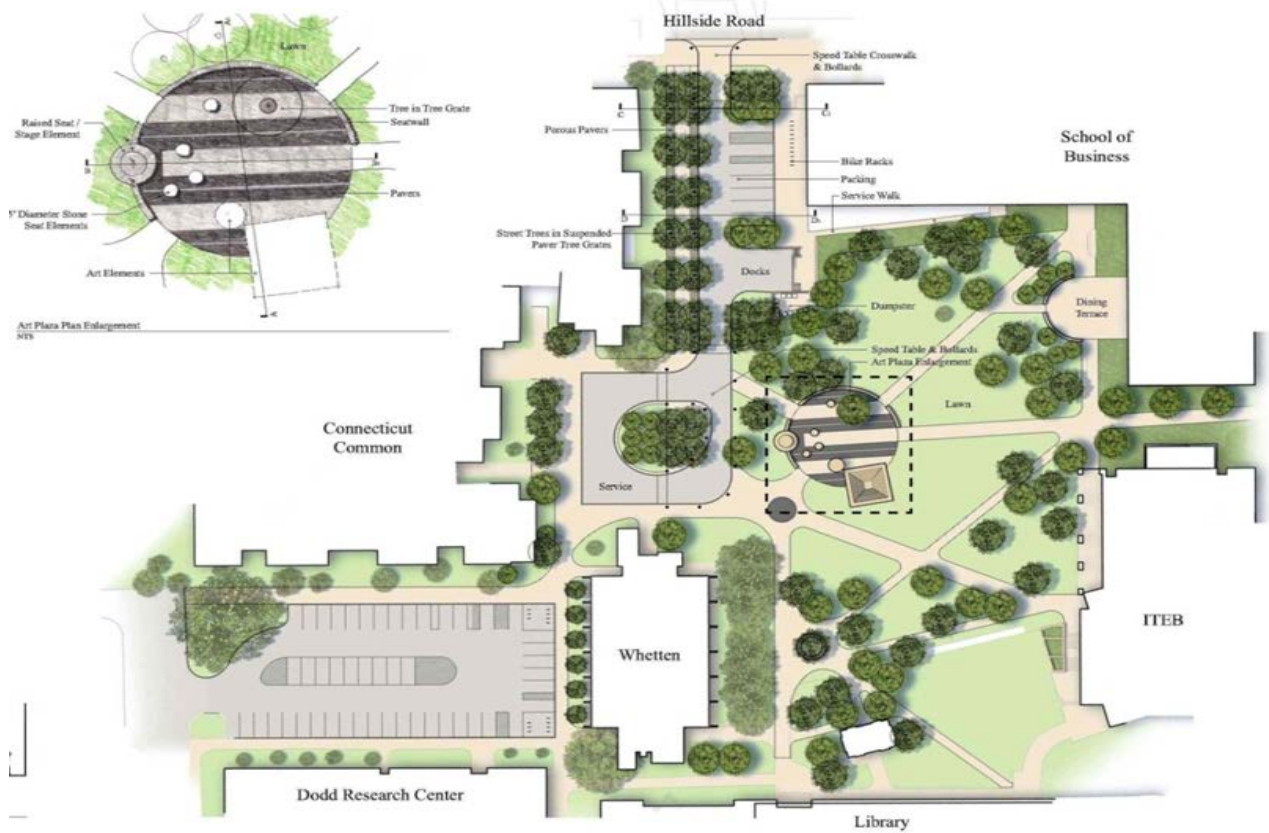
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PEDESTRIAN SAFETY IMPROVEMENTS – PHASE I

Project Budget (REVISED FINAL)

04/24/2013




ATTACHMENT 32

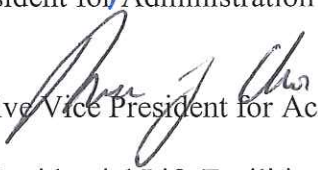


University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – South Campus
Envelope Repairs (Revised Final: \$1,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$1,500,000 for construction work required to ensure public safety, investigation, restorative condition assessment and design work, as well as initial legal efforts related to the South Campus Building Complex.

BACKGROUND:

The South Campus complex comprises four buildings; Wilson, Rosebrooks and Snow Halls are residential occupancies and Rome Hall contains a cafeteria, function rooms and offices. The buildings were constructed in approximately 1998. The buildings have water infiltration problems. There is also evidence of significant masonry and exterior envelope deterioration.

The architectural firm of Wiss, Janney Elstner (WJE) was retained to investigate and report on the cause of the leaks and to make recommendations for corrective action. WJE has informed the University by reports dated July 30, 2012 and November 27, 2012 that the leaks are due to numerous conditions including improper flashing, deteriorated and cracked masonry, and deteriorated roofing and caulking. WJE also observed that pre-cast concrete capstones located on the gables and intermediate walls throughout the complex were improperly secured at the time of the original construction and that this condition represents a potential and significant safety hazard which requires prompt corrective action.

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In response, the University has developed a management plan as follows:

Phase I:

- Retain a General Contractor on an exigent basis with masonry expertise to perform emergency work to immediately respond to the potential safety issues identified and remediate.
- Retain WJE on an exigent basis to document conditions, coordinate with outside legal counsel and complete the design of permanent remediation contract documents.
- Retain an outsourced project manager on an exigent basis to assist the University in managing the project.
- Retain outside legal counsel to prepare necessary documentation to position the University to recover damages from the original architect of record and/or contractor, to the extent their work was defective.

Phase II:

- Complete the final remediation and restoration work in accordance with the remediation contract documents completed under Phase I.

The University was able to negotiate a lump sum fee for Phase I work significantly less than originally estimated. Construction operations began on February 18, 2013 and were completed on March 26, 2013.

The current budget request is for Phase I only. It is anticipated that the complete scope and additional costs for the Phase II remedial work will be presented for approval at a future Board meeting. The timing for developing a budget for Phase II will depend upon the results of the investigation.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

**PROJECT NAME: RESIDENTIAL LIFE FACILITIES - SOUTH CAMPUS ENVELOPE
REPAIRS**

<u>BUDGETED EXPENDITURES</u>	APPROVED FINAL 1/30/2013	PROPOSED REVISED FINAL 4/24/2013
CONSTRUCTION	\$ 2,000,000	\$ 900,000
DESIGN SERVICES	230,000	110,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	260,000	260,000
OTHER AE SERVICES (including Project Management)	60,000	30,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	100,000	-
MISCELLANEOUS	50,000	-
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 2,700,000	\$ 1,300,000
PROJECT CONTINGENCY	400,000	200,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,100,000</u>	<u>\$ 1,500,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ -	\$ 1,500,000
DEPARTMENTAL FUNDS	3,100,000	-
TOTAL BUDGETED FUNDING	<u>\$ 3,100,000</u>	<u>\$ 1,500,000</u>

BOT 4.24.13

201614

RESIDENTIAL LIFE FACILITIES - SOUTH CAMPUS ENVELOPE REPAIRS

Project Budget (REVISED FINAL)

04/24/2013

SELECTED PHOTOGRAPHS FROM WJE REPORT OF NOVEMBER 27, 2012



Photo 1 - Snow Hall - Location of Exploratory Opening #1



Photo 2 - Wilson Hall - Location of Exploratory Openings #2 & #3



ATTACHMENT 33



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for the Residential Life Facilities – Window Replacement
– Grange Hall, Hicks Hall, Shippee Hall and Dining Facility and
Northwood Complex (Revised Final: \$3,990,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$3,990,000 for the planning, design and construction for the replacement of windows in Grange Hall, Hicks Hall, Shippee Hall and Dining Facility and Northwood Complexes.

BACKGROUND:

The purpose of this project is to replace the windows in the fifteen residential buildings built between 1950 and 1962. The windows in these buildings date to the original construction. The new windows are intended to replace these windows with energy efficient maintenance free windows.

The construction of this project was evolved in two phases.

The University discovered trace amounts of PCB's in the window caulking for Shippee, Hicks and Grange while performing due diligence during the design process. The Northwood complex did not show this.

As a result, the project was divided into two phases. The first phase, the twelve (12) building Northwood Complex, was completed in the summer of 2012. The remaining three buildings' design was revised to include the remediation of the minor quantities of PCB's. The bid process for the revised design was recently completed. The University is now ready to complete the project. The attached budget reflects the total project cost of

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C:\Users\dle02004\Documents\Word\BOT\Financial Affairs\Project Budgets\ResLife Window\Replace HicksGrangeShippeeNW\Revised FinalBudget4.24.13.3.26\Revised.doc

Phase I and the current phase, including the remediation. The budget increase can be attributed to the PCB remediation.

This Revised Final Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: RESIDENTIAL LIFE FACILITIES - WINDOW REPLACEMENT PROJECT FOR GRANGE HALL, HICKS HALL, SHIPPEE HALL & DINING FACILITY AND NORTHWOOD COMPLEX

	APPROVED PLANNING 9/21/2010	APPROVED FINAL 1/26/2011	PROPOSED REVISED FINAL 4/24/2013
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ 3,300,000	\$ 3,000,000	\$ 3,474,390
DESIGN SERVICES	345,000	345,000	92,115
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	30,000	50,000	135,000
OTHER AE SERVICES (including Project Management)	85,000	80,000	80,875
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	30,000	25,000	53,000
INSURANCE AND LEGAL	10,000	11,000	5,500
MISCELLANEOUS	10,000	11,000	1,291
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 3,810,000	\$ 3,522,000	\$ 3,842,171
PROJECT CONTINGENCY	390,000	278,000	147,829
TOTAL BUDGETED EXPENDITURES	\$ 4,200,000	\$ 3,800,000	\$ 3,990,000
<u>SOURCE(S) OF FUNDING</u>			
UConn 2000 PHASE III	\$ -	\$ -	\$ 2,105,000
DEPARTMENTAL FUNDS-RESIDENTIAL LIFE	4,200,000	3,800,000	1,885,000
TOTAL BUDGETED FUNDING	\$ 4,200,000	\$ 3,800,000	\$ 3,990,000

ATTACHMENT 34



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Young Building Renovation/Addition
(Second Revised Final: \$19,773,430)

RECOMMENDATION:

That the Board of Trustees approve the Second Revised Final Budget in the amount of \$19,773,430 for the renovations at the Wilfred B. Young building.

BACKGROUND:

The Young Building is a 71,937 square foot classroom, laboratory and office building located on the Storrs campus. It was built in 1953 and is the home of the College of Agriculture and Natural Resources.

The renovations include complete replacement of mechanical and electrical systems, ADA modifications, window replacement, and façade repair. Additional work includes a fire suppression system and limited interior improvements.

Construction began on June 7, 2012 and is currently 60% complete.

The College of Agriculture and Natural Resources has determined that it would be beneficial to replace more of the existing furniture than originally planned. This request is for additional funding in the amount of \$300,000 to replace additional existing furniture bringing the total 2nd Revised Final Budget to \$19,773,430.

This 2nd Revised Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: 2nd REVISED FINAL

PROJECT NAME: YOUNG BUILDING RENOVATION/ADDITION

	APPROVED PLANNING	APPROVED REVISED PLANNING	APPROVED DESIGN	APPROVED FINAL	APPROVED REVISED FINAL	PROPOSED 2nd REVISED FINAL
	6/24/2008	11/9/2010	1/25/2012	4/25/2012	2/27/2013	4/24/2013
<u>BUDGETED EXPENDITURES</u>						
CONSTRUCTION	\$ 7,940,000	\$ 10,900,000	\$ 11,061,500	\$ 14,071,200	\$ 16,500,000	\$ 16,500,000
DESIGN SERVICES	750,000	1,415,000	1,378,030	1,378,030	1,378,030	1,378,030
TELECOMMUNICATIONS	-	200,000	200,000	150,000	150,000	150,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	270,000	350,000	350,000	650,000
CONSTRUCTION ADMINISTRATION	-	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	65,000	397,500	395,970	371,200	400,000	400,000
ART	-	-	-	-	-	-
RELOCATION	-	50,000	250,000	125,000	125,000	125,000
ENVIRONMENTAL	150,000	200,000	33,000	18,000	18,000	18,000
INSURANCE AND LEGAL	20,000	10,500	75,000	7,000	7,000	7,000
MISCELLANEOUS	-	63,000	40,000	3,000	3,000	3,000
OTHER SOFT COSTS*	125,000	400,000	-	-	-	-
SUBTOTAL	\$ 9,050,000	\$ 13,636,000	\$ 13,703,500	\$ 16,473,430	\$ 18,931,030	\$ 19,231,030
PROJECT CONTINGENCY	950,000	1,364,000	1,296,500	1,296,500	542,400	542,400
TOTAL BUDGETED EXPENDITURES	<u>\$ 10,000,000</u>	<u>\$ 15,000,000</u>	<u>\$ 15,000,000</u>	<u>\$ 17,769,930</u>	<u>\$ 19,473,430</u>	<u>\$ 19,773,430</u>
<u>SOURCE(S) OF FUNDING</u>						
UCONN 2000 PHASE III	\$ 10,000,000	\$ 15,000,000	\$ 15,000,000	\$ 17,519,930	\$ 19,223,430	\$ 19,223,430
DEPARTMENTAL FUNDS	-	-	-	250,000	250,000	350,000
GIFTS	-	-	-	-	-	200,000
TOTAL BUDGETED FUNDING	<u>\$ 10,000,000</u>	<u>\$ 15,000,000</u>	<u>\$ 15,000,000</u>	<u>\$ 17,769,930</u>	<u>\$ 19,473,430</u>	<u>\$ 19,773,430</u>

YOUNG BUILDING RENOVATION/ADDITION

Project Budget (2nd Revised Final)

04/24/2013



Exterior W.B. Young Building – Construction staging area North side



Interior – Metal Stud wall installation and plumbing Rough-In



Interior – Electrical Room Conduit Rough-in installation

ATTACHMENT 35



University of Connecticut
Office of Economic Development

April 24, 2013

TO: Members of the Board of Trustees
FROM: Mary Holz-Clause, Vice President for Economic Development
RE: Fraunhofer Center at UConn

Fraunhofer USA, Inc. ("Fraunhofer") is a subsidiary of Fraunhofer-Gesellschaft zur Förderung der angewandten Forschung e.V., Germany ("Fraunhofer Germany"), which operates a network of Fraunhofer Institutes in Europe that support manufacturing by bridging the gap between university R&D and its application within companies. Fraunhofer USA closely cooperates with Fraunhofer Germany and in particular with the Fraunhofer Institut für Keramische Technologien und Systeme, IKTS, Dresden ("Fraunhofer IKTS"). Fraunhofer USA operates eight Fraunhofer Centers at many leading institutions following a specific model devised to support collaboration while remaining true to the original Fraunhofer goals.

Fraunhofer and UConn will create a Fraunhofer Center at UConn aimed at energy and sustainability with a focus on materials. This research area aligns with UConn's vision for the Technology Park and the interests of the state of Connecticut's Department of Energy and Environmental Protection ("DEEP"). The Center will utilize the core competencies of both institutions to accelerate the development of energy systems.

The Fraunhofer centers are the model for new federal manufacturing innovation centers proposed by President Obama and the Fraunhofer USA centers are a frequent recipient of federal grants as well as private industry support. We expect that this collaboration will increase the University's research base and build new industry relationships for the University while also providing expanded educational and employment opportunities for students.

Location of Center. The Center will initially operate on the UConn campus in the Longley Building and Fraunhofer intends to move the Center to the Technology Park upon its opening.

Funding for the Center. Fraunhofer and UConn will each contribute \$600,000 in annual financial support to the Institute. The State of Connecticut, through DEEP, will also annually contribute an additional \$600,000 for four years. UConn's financial support will be made through in-kind contributions to be more fully determined but likely to include laboratory and office space, a

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University of Connecticut
Office of Economic Development

portion of the director's salary and benefits, and equipment and housing for interns. Fraunhofer will pay for the annual losses of the Center, if any. If DEEP no longer funds the Center, UConn may provide such funding or seek support from other sources. If UConn cannot find alternative funding, Fraunhofer may discontinue its funding of the Center.

Equipment Needs. Specific equipment is needed for the operation of a collaborative center with Fraunhofer USA, Inc. ("Fraunhofer") a subsidiary of Fraunhofer-Gesellschaft zur Förderung der angewandten Forschung e.V., Germany ("Fraunhofer Germany"), which operates a network of Fraunhofer Institutes in Europe that support manufacturing by bridging the gap between university R&D and its application within companies. The University of Connecticut Innovation Partnership Building will become the home of Fraunhofer's ninth center at a U.S. University. Fraunhofer Centers at the University of Michigan, Michigan State, Boston University, the University of Delaware and the University Maryland among others, are examples of how leading institutions and Fraunhofer collaborate to attract new research funding and provide critical technology capacity for industry growth. The Fraunhofer Center for Energy Innovation at the University of Connecticut will operate as a collaboration of Fraunhofer, DEEP and UConn and is funded at \$1.8 million annually by the three parties.

The center will operate on an interim basis at the UConn Depot Campus, Longley Building and will move upon completion of the UConn Technology Park's first building. The list of equipment below is a preliminary representative list:

Equipment	Qty	Est. Cost	Total Costs
Potentiostat	2	\$ 25,000	\$ 50,000
Atmosphere Control	1	\$ 25,000	\$ 25,000
High Temp Furnace	1	\$ 40,000	\$ 40,000
Bomb Calorimeter	1	\$ 20,000	\$ 20,000
Confocal Laser Microscope	1	\$ 100,000	\$ 100,000
Dilatometer	1	\$ 25,000	\$ 25,000
UV/Vis Spectrometer	1	\$ 25,000	\$ 25,000
ICP-MS	1	\$ 145,000	\$ 145,000

Structure and Advisory Board. The Center will be a division of Fraunhofer USA and be subject to the direction of the Fraunhofer USA Board of Directors. It will operate based on a business plan developed by UConn, the State of Connecticut's Department of Energy and Environmental Protection ("DEEP") and Fraunhofer USA. The Center will have an Advisory Board Chaired by the UConn Provost or his designee, which will recommend business and scientific strategies for

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University of Connecticut
Office of Economic Development

the Center on an ongoing basis. The Advisory Board shall include representatives of UConn, DEEP, Fraunhofer USA, and Fraunhofer IKTS.

Management. As at each Fraunhofer USA Center, the UConn Center will be managed by an Executive Director who will be nominated by UConn from its faculty and approved by Fraunhofer. The initial Executive Director is Professor Prabhakar Singh. The Executive Director will follow Fraunhofer policies and procedures and will implement the plans and strategies of a 5 year business-research plan developed jointly by Fraunhofer USA, UConn and DEEP. The Executive Director will maintain his reporting relationship to the University and provide periodic reports to Fraunhofer and UConn.

Name of Center. The parties will jointly determine a name for the Center, and Fraunhofer will name UConn as the location of the Center in publications and other materials developed for public relations purposes.

Faculty and Staff Exchanges. The Center will welcome visiting scientists and other experts to expand the knowledge of the center and to cooperate in research and development programs. UConn professors will participate in the scientific work of the center and scientifically qualified staff of Fraunhofer will lecture at UConn. Fraunhofer and UConn are exploring the option of having bachelor's, master's, postgraduate and Ph.D. theses supervised and conducted at the Center.

Intellectual Property Rights, Commercialization and Revenue Sharing. All intellectual property (IP) created through the work of the Center will be jointly owned by Fraunhofer and UConn and each may use the joint IP for research and education purposes. Fraunhofer will manage the protection and commercialization of all joint IP. Consistent with UConn policy, inventors will be paid 30% of revenue net of commercialization expenses. The remaining revenues will be allocated between the Fraunhofer and UConn in proportion to the cumulative financial support they have provided to the Center.

ATTACHMENT 36

The University of Connecticut Foundation, Inc.

Development Progress Executive Summary

July 1, 2012 to March 31, 2013

Progress Toward Goals	FYTD12 Results	FY13 Goal	FYTD13 Results	
<u>Commitment Results</u>				
Athletics	\$ 13.09 M	\$ 17.00 M	\$ 6.32 M	37%
UConn Health Center	\$ 4.05 M	\$ 12.00 M	\$ 4.74 M	40%
UConn General	\$ 19.19 M	\$ 34.00 M	\$ 29.76 M	88%
<u>Total Commitments</u>	<u>\$ 36.33 M</u>	<u>\$ 63.00 M</u>	<u>\$ 40.82 M</u>	65%
<u>Number of donors</u>				
<i>* Substantial donor increase due to significant number of donors to Sandy Hook Memorial Fund</i>	21,708	30,000	25,216	
<u>Cash Results</u>				
Cash basis gift receipts	\$ 32.30 M	\$ 50.00 M	\$ 35.14 M	70%
Annual fund unrestricted receipts	\$ 707 K	\$ 1.00 M	\$ 656 K	66%

Campaign Commitments Progress	
Fiscal Year 2013 Campaign Total (to Date)*	\$ 377.42 M
* This total reflects campaign write-offs and pre-campaign total of \$10.6 million, as appropriate.	

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
New Hires Processed from February 7, 2013 to April 1, 2013
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
AKHIGBE, JOSHUA O.	U POST DOC FEL 1	CHEMISTRY	2/22/2013
BERHE, SOLOMON	ASST RESEARCH PROF	COMPUTER SCIENCE & ENGR	2/11/2013
BILLION, JOYANNE	UC PROFESSIONAL 03 - PROG ASSISTANT I	COMPUTER SCIENCE & ENGR	2/22/2013
BRENNAN, KEVIN	UC PROFESSIONAL 09 - EXEC PROGRAM DIR	STUDY ABROAD	3/4/2013
BROCHU, MARK S.	UC PROFESSIONAL 07 - NETWORK TECHNICIAN 2	CEN-ASC	3/8/2013
CARLOZZI, FRANCESCO	UC PROFESSIONAL 09 - DATABASE ADMIN 2	ENTERPRISE ADMIN SYSTEMS	2/8/2013
CHAMBERS, SCOTT M.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	3/8/2013
COLACURCIO, FLORENCE R.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	3/8/2013
CORTEZ, HERNAN Z.	UC PROFESSIONAL 07 - ADMIN MANAGER II	FINANCIAL AID OFC	2/22/2013
CRUICKSHANK, LAURA	U STAFF PROF V	EXVP ADMIN & CFO	2/15/2013
DETORO, SHAWN A.	UC PROFESSIONAL 01 - FINANCIAL ASST I	SPONSORED PROGRAMS	3/22/2013
FU, CONGSHENG	U POST DOC FEL 1	CIVIL & ENVIRON ENG	2/11/2013
GAGNON, PAUL	UC PROFESSIONAL 06 - CAREER CONSULTANT	CAREER SERVICES-AUX	2/22/2013
GOTTIER, MARJORIE	UC PROFESSIONAL 05 - FINANCIAL ASST II	BUSINESS MBA PROGRAM	1/28/2013
HAYNES, PETER K. JR	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	DINING SERVICES	3/8/2013
HENDERSON, MARCUS A.	RESEARCH ASSISTANT 1	PSYCHOLOGY	2/14/2013
HENRY, EDWARD	UC PROFESSIONAL 11 - NETWORK TECHNICIAN 4	CEN-ASC	2/22/2013
HOLIDAY, PATRICE L.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	3/8/2013
HORVAT, JESSEY K.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PROCUREMENT SERVICES	2/15/2013
LEWIS, KYLE R.	UC PROFESSIONAL 01 - FINANCIAL ASST I	BIOLOGY CENTRAL SERVICES	3/8/2013
LOWTHER, BRENDA L.	UC PROFESSIONAL 06 - GRANTS/CONTR SPEC I	SPONSORED PROGRAMS	2/22/2013
LUKASZEWICZ, MICHAEL C.	UC PROFESSIONAL 01 - FINANCIAL ASST I	BIOLOGY CENTRAL SERVICES	3/8/2013
MCCALL, CAROLINE A.	UC PROFESSIONAL 05 - ADMISSIONS OFFICER	HARTFORD STUDENT SERV	3/8/2013
MINER, JOCELYN J.	U ED ASSISTANT 3	LABOR RELATIONS	1/30/2013
NEWELL, IAN L.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	SOCIAL WORK INSTR & RES	3/8/2013
OTERO, RINEICHA	UC PROFESSIONAL 06 - PROGRAM ADMIN	DEPARTMENT OF EXTENSION	2/8/2013
PIVONKA, BRIAN T.	UC PROFESSIONAL 08 - PURCHASING AGENT II	PROCUREMENT SERVICES	2/15/2013

University of Connecticut Department of Human Resources
New Hires Processed from February 7, 2013 to April 1, 2013
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
REUTENAUER, JUSTIN W.	U POST DOC FEL 1	CHEMISTRY	3/20/2013
SALVATORE, ANTHONY C.	SPECIALIST IA - ASST ATHLETIC TRAINR	ATHLETICS TRAINING ROOM	3/11/2013
SCARCELLA, JEFFREY A.	U STAFF PROF I	ECONOMIC DEVELOPMENT	3/22/2013
SIERPINSKI, AMANDA L.	UC PROFESSIONAL 01 - FINANCIAL ASST I	SPONSORED PROGRAMS	3/22/2013
SLOTA, BIANCA	UC PROFESSIONAL 05 - WRITER/EDITOR III	LAW SCH DEAN OFC	2/22/2013
SULLIVAN, BRIANNA	UC PROFESSIONAL 07 - EH&S SPECIALIST II	ENVIRON HEALTH & SAFETY	2/22/2013
TIEN, YUN-CHEN	U POST DOC FEL 1	PHARMACEUTICAL SCI	3/6/2013
WOHL, JAMES S.	U STAFF PROF I	OMBUDSMAN	3/22/2013
WU, DANGXIN	U POST DOC FEL 1	MECHANICAL ENGR	1/24/2013
YAO, FULAI	U POST DOC FEL 1	MECHANICAL ENGR	4/1/2013
ZENG, WEI	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	CT TRANSPORTATION INST	2/22/2013

University of Connecticut Department of Human Resources
Separations Processed from February 7, 2013 to April 1, 2013
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
BENNETT, ANDREW	RESIGNATION (GOOD STANDING)	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESLIF	2/21/2013
BOYLU, FIDAN	RESIGNATION (GOOD STANDING)	ASSISTANT PROFESSOR	SBDO	2/22/2013
BRUNO, RICHARD S.	RESIGNATION (GOOD STANDING)	ASSOCIATE PROFESSOR	NUSC	8/22/2012
CHIU, STEVE	RESIGNATION (GOOD STANDING)	ASSOC PROF IN RES	CSE	2/22/2013
DICKS, BARBARA	RETIREMENT (55/W 10-24 YEARS)	ASSOCIATE PROFESSOR	SW	1/31/2013
ELLIS, CAROLINE	RESIGNATION (GOOD STANDING)	UC PROFESSIONAL 01 - FINANCIAL ASST I	CLAS	3/7/2013
LAPIERRE, PASCAL	RESIGNATION (GOOD STANDING)	ACAD ASSISTANT III	BIOCTR	2/13/2013
PRICE, BENJAMIN W.	RESIGNATION (GOOD STANDING)	U POST DOC FEL 1	EEB	2/28/2013
ROSS, LUKE M.	RESIGNATION (GOOD STANDING)	SPECIALIST IA	ATH	2/19/2013
THAKUR, MEENA S.	RESIGNATION (GOOD STANDING)	U POST DOC FEL 1	PHSCI	2/21/2013
WATSON, JUDY	RESIGNATION (GOOD STANDING)	U STAFF PROF II	FOOD	2/28/2013
WHITE, HEATHER M.	RESIGNATION (GOOD STANDING)	ASSISTANT PROFESSOR	ANSC	3/22/2013

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 4/8/2013
Presented to the Board of Trustees for Information on Professional Employees

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>DATES</u>	<u>REASON FOR LEAVE</u>
Ballestrini, Christine	UCP 6 - Graphic Des/III III	Univ. Communications	2/11-4/10/2013	Maternity leave with pay
Ballestrini, Christine	UCP 6 - Graphic Des/III III	Univ. Communications	4/11-5/2/2013	Family leave with pay
Ballestrini, Christine	UCP 6 - Graphic Des/III III	Univ. Communications	5/3-6/20/2013	Family leave without pay
Bazzani, Janice	UCP 7 - Training Coord	Information Services	3/1/2013-4/15/2013	Medical leave with pay
Berger-Walliser, G.	Assistant Professor	Marketing Dept	3/23/13-4/1/2013	Medical leave with pay
Blanton, Hart	Professor	Psychology	2/7-3/7/2013	Medical leave with pay
Chrysochoou, Maria	Assistant Professor	Civil & Environmental Eng.	8/23/2013-8/22/2014	Educational Leave without pay
Comprone, Dennis P	UCP 9-BldgRenovProj Dir	Residential Life Ofc	1/25/13-2/27/2013	Medical leave with pay
Cook, Sara	UCP 4 - AdminSvcsSpec II	Student Activities	2/27/2013-4/10/2013	Maternity leave with pay
Dunlop, Julia	UCP 8 - Dev/Alumni Dir	Law School Dean Ofc	1/15/13-2/1/2013	Medical leave with pay
Epstein, Howard	Professor	Civil & Environmental Eng.	2/18-3/26/2013	Medical leave with pay
Fox, Jennifer M	UCP 10 - SHS Psychologist	Student Health Services	12/13/12-12/27/2012	Medical leave with pay
Guerin, Pamela	UCP 10 - ProcurCntrctsMgr	Procurement Services	2/6/2013-2/22/2013	Medical leave with pay
Hedman, Bruce	Associate Professor	Regional Campus-Mathmatics	12/13/2012-3/13/2013	Medical leave with pay
Hernandez, Kathryn	UCP 10-CompTechCons4	Enterprise Admin System	2/21/2013-4/4/2013	Medical leave with pay
Hoyt, Randall	Associate Professor	Art and Art History	2/20/2013-3/31/2013	Medical leave with pay
Jain, Menka	Assistant Professor	Institute of Materials Science	2/28/2013 to 3/14/2013	Medical leave with pay
Joo, Kyungseon	Professor	Physics	3/4/2013-3/3/2014	DOE Research/IPA Agreement
McLean, Willajeanne	Dean	School of Law	7/1/13-8/22/2014	Educational/Post-Deanship with pay
Milot, Anna	UCP 6-Pub/Mktg Admin	Student Activities	3/15/2013-5/10/2013	Maternity leave with pay
Mobley, Amy	Assistant Professor	Nutritional Sciences	2/17/2013-3/29/2013	Maternity leave with pay
Orr, Richard	USP-VI	General Counsel	3/23-4/23/2013	Medical leave with pay
Orwicz, Michael	Associate Professor	Art and Art History	10/9/2012-4/7/2013	Medical leave with pay
Palmieri, Katherine E	UCP 7 - Asst to Director	Torrington Director Ofc	1/25/13-4/6/2013	Medical leave with pay
Paulson, Brett	USP-III	Office of the Controller	3/1-3/15/2013	Family leave with pay
Rasmussen, Theodore	Associate Professor	Pharmaceutical Sciences	3/18-5/22/2013	Medical leave with pay
Russo, Gisele C	UCP 6-Grants/Contr Spec I	Psychology	1/25/12-2/8/13 (reduced sch)	Medical leave with pay
Yuk, Jongseol	Research Associate	Molecular & Cell Biology	2/4/13-4/26/2013	Medical leave with partial pay

COMMITTEE AGENDAS

AGENDA

Special Meeting of the BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE

March 20, 2013, 11:00 a.m.*

University of Connecticut

Rome Commons Ballroom

Storrs, Connecticut

** Presentations of Project Updates will be made at approximately **10:30 a.m.**
to both the BGE and CMOC Committees.*

DISCUSSION ITEMS (10:30 a.m.) *:

- 1) UCONN 2000 Audit Report for the Year Ended June 30, 2012
- 2) Project Updates
 - UCHC
 - Including BioSci CT and OCIP
 - Storrs
 - Including Downtown Hartford Campus Update
- 3) Increase in Board of Trustees Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets

PRESENTATION/INFORMATION ITEMS:

- 4) UCONN 2000 Code Remediation Program – Revised Management Plan
- 5) Main Accumulation Area (MAA) - Hazardous Waste Facility Committee and Environmental Impact Evaluation
- 6) Student Rec Center
- 7) Water Update

ACTION ITEMS:

- 8) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of December 4, 2012, as circulated

EXECUTIVE SESSION (As needed)

*Note: Project Update Presentations will be made at approximately **10:30 a.m.**

AGENDA
Special Quarterly Meeting of the
CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE
University of Connecticut, Rome Ballroom, Storrs, Ct
March 20, 2013, 10:00 a.m.

PUBLIC COMMENTS:

ACTION ITEMS:

1. Approval of the Minutes of the Construction Management Oversight Committee Meeting held on December 4, 2012.

PRESENTATIONS AND DISCUSSIONS:

2. March 2013 Quarterly Reports on Construction Performance Reported by the Office of Construction Assurance
3. Updates On Operational & Organizational Activities & Improvements:
 - Laura A. Cruickshank, University Master Planner and Chief Architect, Office of Planning, Architecture & Engineering Services (PAES)
 - Mathew Larson, Director of Procurement Services, Capital Project and Contract Administration (CPCA)
 1. On Call Professional Services (*Information Only*)
 - *Preliminary Results*
 - *Forthcoming policy and procedures changes*
4. Status of Code Correction Projects:
 - Construction Management Oversight Committee Monthly Code Inspection Status Report – Comments/Questions
 - Progress on Completion of Reports for Category 4 and Presentation of Strategy for Implementation and Correction
5. December 31, 2012 Quarterly Construction Status Report – Comments/Questions
6. UCONN 2000 Audit Report for the Year Ended June 30, 2012
- *7. Update of Project Progress
 - UCHC Projects Including Bioscience CT – Thomas Trutter, AVP for Facilities Development & Operations, UCHC
 - OCIP Update – Messrs Larson and Trutter
 - Storrs Based Projects – Laura Cruickshank
 - Downtown Hartford Campus Update – Richard Gray, Executive Vice President for Administration & Chief Operating Office
- *8. Increase in Board of Trustees Reporting Thresholds for both Information & Approval of Contracts and Project Budgets

****Note: Presentation of these agenda items will be made jointly to BG&E and CMOC members following the CMOC meeting.***

**THE UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE
April 24, 2013**

**Rome Ballroom, Storrs Campus
*1:00 PM (anticipated), following the meeting of the Board of Trustees***

AGENDA

- | | | |
|----|---|-----------------------------------|
| 1. | Call to order | Trustee Bailey |
| 2. | Review and Approval of minutes of 2/27/13 meeting | Trustee Bailey |
| 3. | Chairwoman's Report | Trustee Bailey |
| 4. | Vice President for Student Affairs Report | John Saddlemire |
| 5. | Student Trustees Report | Trustee Buckman
Trustee Barham |
| 6. | Other Business | All |
| 7. | Adjournment | Trustee Bailey |

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, April 24, 2013
Rome Commons Ballroom
Storrs, CT
9:00 a.m. – 9:30 a.m.

	<u>COMMITTEE</u>	<u>ATTACHMENT</u>
1) Minutes of the Academic Affairs Committee Meeting of February 27, 2013, as circulated	A	

ACTION ITEMS:

2) Recommendation for Designation as <i>Board of Trustees Distinguished Professor</i> , Academic Year 2012-2013		1
3) Promotion and Tenure		3
4) Sabbatical Leave Recommendations		4
5) Appointment of Dr. Timothy Folta to the Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship in the School of Business		5
6) Modification of a Program in Sport Management Leading to the Degrees of Bachelor of Science, Master of Science, and Doctor of Philosophy in the Neag School of Education		6
7) Graduate Digital Media and Design Programs in the School of Fine Arts		7
8) Renaming of the Latin American Studies Area of Concentration in the International Studies Field of Study to Latino and Latin American Studies in the Graduate School		8
9) Division of the Public and Nonprofit Management Graduate Certificate Program into (1) Nonprofit Management and (2) Leadership and Public Management in the College of Liberal Arts and Sciences		9
10) Location Modifications to Undergraduate Degrees at the Regional Campuses		10
11) Approval of Proposed Changes to the <i>By-Laws of the University of Connecticut</i> (Article XIV.C.4 – Academic Appointment and Tenure)		11
12) Policies and Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit		12
13) Policy on Scholarly Integrity in Graduate Education and Research		13

INFORMATIONAL ITEMS:

14) Notification of Proposed Changes to the <i>By-Laws of the University of Connecticut</i> Regarding the Reporting Structure of the Executive Vice President for Health Affairs		16
15) Five-Year Review of Academic Centers & Institutes		17
16) Tenure-track Reappointments		18

ATTACHMENT A

MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
February 27, 2013

Trustees Present: Archambault, Bailey, Barham, Buckman, Carbray, Gandara, Jacobs, James, Kruger, Lobo, McHugh, Nayden, Shepperd, Smith

Staff Present: Bansal, Biancamano, Bray, Bull, Callahan, Carone, Chiaputti, Choi, Cruickshank, Cusson, Diplock, Donahue, Egeberg, Fazio, Fearnley, Fox, Gafford, Grant, Gray, Healy, Herbst, Holsinger, Hunter, Kendig, Kirk, Klein, Larson, Locke, Locust, Orr, Reitz, Roe, Roy, Rubin, Saddlemire, Silbart, Singha, Teal, Trutter, Urban, Walker, Wetstone, Wrynn

Committee Chairman Jacobs convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Trustee Buckman, seconded by Trustee Barham, the minutes of the December 12, 2012, meeting were approved as circulated.

On a motion by Trustee Barham, seconded by Trustee Buckman, the Committee added Action Item #2, Tenure at Hire, to the agenda.

Provost Choi introduced *Action Item #2, Tenure at Hire*. Moved by Trustee Archambault, seconded by Trustee Barham, the Committee recommended approval of the tenure at hire case to the full Board.

Provost Choi introduced *Action Item #3, Designation of Emeritus Status*. Moved by Trustee Barham, seconded by Trustee Archambault, the Committee recommended the designations of emeritus status to the full Board.

Provost Choi introduced *Action Item #4, Sabbatical Leave Recommendations*. Moved by Trustee Archambault, seconded by Trustee Barham, the Committee recommended approval of sabbatical leave recommendations to the full Board.

Provost Choi introduced *Action Item #5, Reappointment of Dr. William T. Ross, Jr. to the ING Global Chair in the School of Business*. Provost Choi informed the Committee that Dr. Ross' research focuses on substantive applications of managerial and consumer decision-making, with a special emphasis on the domains channel management, sales-force management, buyer behavior, and marketing management. Moved by Trustee Lobo, seconded by Trustee Buckman, the Committee recommended the reappointment of Dr. William T. Ross, Jr. to the ING Global Chair to the full Board.

Provost Choi introduced *Action Item #6, Appointment of Professor Bethany Berger to the Thomas F. Gallivan, Jr. Chair of Real Property Law in the School of Law*. Provost Choi noted that Professor Berger's work on the illusion of fiscal illusion may reverse longstanding assumptions about the need to provide compensation to owners whose land is taken by government. Moved by Trustee Barham, seconded by Trustee Lobo, the Committee recommended the appointment of Professor Bethany Berger to the Thomas F. Gallivan, Jr. Chair of Real Property Law to the full Board.

Provost Choi introduced *Action Item #7, Appointment of Professor Ruth Mason to the Anthony J. Smits Professorship for Global Commerce in the School of Law*. Provost Choi informed the Committee that Professor Mason is a regular contributor to Tax Notes and has presented her work at dozens of academic and professional fora both in the U.S. and around the world. Professor Mason has an unbroken record of success in UConn classrooms, teaching Corporate Tax, Federal Income Tax and International Tax. Moved by Trustee Barham, seconded by Trustee Lobo, the Committee recommended the appointment of Professor Ruth Mason to the Anthony J. Smits Professorship for Global Commerce to the full Board.

Provost Choi introduced *Action Item #8, Veterinary Anatomic Pathology Area of Concentration within the Pathobiology Field of Study in the College of Agriculture and Natural Resources*. Provost Choi noted that this program proposal (1) recognizes the Veterinary Anatomic Pathology curricular content as distinct from the

University's standard two-year Master's degree programs and distinct from the two-year Master's program in Pathobiology, (2) meets a requirement for 36 months instruction to qualify for certification by the American College of Veterinary Pathologists, and (3) satisfies the degree reporting requirements of the Graduate School. Provost Choi introduced Dr. Salvatore Frasca, Jr., Associate Professor of Pathobiology, who informed the Committee that this residency program trains graduates after they have received their Doctorate in Veterinary Medicine, and Dr. Frasca confirmed for Committee Chairman Jacobs that the program focuses on animals of all sizes and environments. Moved by Trustee Archambault, seconded by Trustee Lobo, the Committee recommended approval of the Veterinary Anatomic Pathology Area of Concentration with the Pathobiology Field of Study to the full Board.

Provost Choi introduced *Action Item #9, Digital Media and Design Majors in the School of Fine Arts*. Provost Choi commented that UConn seeks to expand its national leadership role in the field of Digital Media & Design through an active agenda of education, experiential learning and research. The new Digital Media and Design Department has developed two degree programs, a **Bachelor of Arts**, and a **Bachelor of Fine Arts**, to educate students in this important field. Trustee Nayden inquired into the anticipated future of the Digital Media and Design discipline. Provost Choi introduced Dr. Timothy Hunter, Professor and Department Head, who replied that the Digital Media and Design curriculum will emphasize digital media as a tool of analysis and communication, the innovative technologies that give voice to digital media for personal, and creative and commercial communications, which will lead the discipline toward multi-dimensional fields and career paths in: Entertainment and Art, STEM (Science, Technology, Engineering and Mathematics), Business, and the Digital Humanities/Digital Social Sciences. Moved by Trustee Archambault, seconded by Trustee Buckman, the Committee recommended approval of the Digital Media and Design Majors to the full Board.

Provost Choi introduced *Action Item #10, Approval of Proposed Changes to the By-Laws of the University of Connecticut (Article XIV.C – Academic Appointment and Tenure)*. The proposed revision to the University's By-Laws will allow full-time faculty whose employment is shared by the UConn Health Center (UCHC) and the Veteran's Administration (VA) to be eligible for tenure. Currently, only faculty employed full time by the University is so eligible. This change is expected to enhance the ability to recruit and retain the best possible faculty. The Committee and full Board were notified of these proposed changes at their December 12, 2012 meeting. Moved by Trustee Archambault, seconded by Trustee Barham, the Committee recommended approval of the proposed changes to the full Board.

Interim Provost Choi introduced *Informational Item #11, Notification of Proposed Changes to the By-Laws of the University of Connecticut (Article XIV.C.4 – Academic Appointment and Tenure)*. The proposed amendment will align the University's By-Laws with the School of Medicine's By-Laws. It will allow University faculty of the School of Medicine to request an increase in the probationary period to both promotion and tenure, rather than to tenure only and, thus, ensure the continual synchronization of the promotion and tenure clocks for tenure track faculty.

Committee Chairman Jacobs adjourned the meeting at 9:17 a.m.

Respectfully submitted,



Brandon L. Murray
Committee Secretary

Financial Affairs

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
April 24, 2013 at 9:30 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

	<u>ATTACHMENT COMMITTEE</u>	<u>LOCATION FULL BOARD</u>
1) Approval of the Minutes of the Financial Affairs Committee Meeting of February 27, 2013 as circulated	A	

ACTION ITEMS:

2) Contracts and Agreements for Approval	2
3) Revised Allocation of Bond Authorizations as set forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)	19
4) Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture (University of Connecticut General Obligation Bonds)	20
5) Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)	21
6) Increase in Board of Trustees Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets	22
7) Project Withdrawals	23

PROJECT BUDGETS FOR APPROVAL:

	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
8) Homer Babbidge Library – Replace Floor Coverings	Final	\$1,000,000	24
9) Pedestrian Safety Improvements Core Campus– Phase I	Final	\$6,591,000	25
10) Residential Life Facilities – Hale and Ellsworth Exterior Masonry Repair	Final	\$2,000,000	26
11) UCONN 2000 Code Remediation: North Campus Dormitories	Final	\$1,085,000	27

PROJECT BUDGETS FOR APPROVAL:

	<u><i>Phase</i></u>	<u><i>Budget</i></u>	<u><i>Tab</i></u>
12) UCONN 2000 Code Remediation: Towers Dormitories	Final	\$732,000	28
13) UCONN 2000 Code Remediation: Wilbur Cross Building	Final	\$767,000	29
14) Basketball Development Center	Revised Final	\$33,018,500	30
15) Pedestrian Safety Improvements – Phase I	Revised Final	\$4,000,000	31
16) Residential Life Facilities – South Campus Envelope Repairs	Revised Final	\$1,500,000	32
17) Residential Life Facilities – Window Replacement Project for Grange Hall, Hicks Hall, Shippee Hall & Dining Facility and Northwood Complex	Revised Final	\$3,990,000	33
18) Young Building Renovation/Addition	2 nd Revised Final	\$19,773,430	34

INFORMATION ITEMS:

19) Fraunhofer Center at UConn	35
20) Contracts and Agreements for Information	B
21) Construction Project Status Report	(Under Separate Cover)
22) Master Schedule for UCONN 2000 Phases I, II & III	(Under Separate Cover)
23) Status of Capital Projects > \$500,000	(Under Separate Cover)

EXECUTIVE SESSION (As Needed)

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
February 27, 2013

TRUSTEES PRESENT: Archambault, Bailey, Barham, Buckman, Cloud, Gandara, Handley, Kruger, McHugh, Nayden, Ritter, Smith (*via telephone*), Shepperd (*via telephone*), and Treibick (*via telephone*),

STAFF PRESENT: Andrews, Biancamano, Bull, Callahan, Carone, Chiaputti, Choi, Cruickshank, Donahue, Egeberg, Fazio, Fearney, Gore, Gray, Herbst, Holsinger, Kendig, Kirk, Larson, Locke, Locust, Orr, Patel, Reis, Roe, Roy, Rubin, Saddlemire, Singha, Sullivan, Teal, Trutter, Urban, Walker, Wetstone and Wrynn

SENATE PRESENT: Bansal, Healy, Klein and Stwalley

Trustee Francis Archambault convened the meeting of the Financial Affairs Committee at 9:18 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. Mr. Archambault reminded the Trustees that the only members who could vote during the committee meeting were members of the Financial Affairs Committee and encouraged all Trustees present to participate in the discussions.

Mr. Archambault directed the committee to the agenda that was revised to remove attachment #19, *“Increase in Board of Trustees Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets”*. The item will be presented to the Buildings, Grounds and Environment committee at their next meeting for review prior to approval by the Financial Affairs Committee. On a motion by Trustee Kruger and seconded by Trustee Shepperd the revised agenda was accepted.

On a motion by Trustee Shepperd and seconded by Trustee Kruger agenda ***Item #1, Approval of the Minutes of the Financial Affairs Committee Meetings of November 14, 2012 and December 12, 2012***, were approved as circulated.

Mr. Archambault directed the committee to agenda ***Item #2, Contracts and Agreements for Approval*** suggesting that the committee review the contracts carefully. The contracts were reviewed section by section and Mr. Archambault requested a vote on each section and did not take the action item as a whole. Matthew Larson, Director of Procurement, provided information on the contracts presented for approval. On a motion by Trustee Kruger and seconded by Trustee Shepperd the contract under the heading of ***“COMPUTER HARDWARE AND PERIPHERALS”*** was recommended to the full Board for approval. On a motion by Trustee Kruger and seconded by Trustee Shepperd the contract under the heading of ***“ISP SERVICES”*** was recommended to the full Board for approval. On a motion by Trustee Kruger and seconded by Trustee Shepperd the contracts under the headings of ***“ON-CALL IT CONSULTANT SERVICES”*** and ***“ON-CALL PROFESSIONAL SERVICES - 009.2 GENERAL ARCHITECTURAL SERVICES”*** were recommended to the full Board for approval. Trustee Nayden recommended a reporting of

expenditures and customer satisfaction of the on-call contracts to the Trustees twice a year. On a motion by Trustee Kruger and seconded by Trustee Shepperd the contracts under the headings of “*SPRINKLER SYSTEM SERVICES*”, “*FURNITURE CONTRACTS*”, and “*IT CONSULTING*” were recommended to the full Board for approval. On a motion by Trustee Kruger and seconded by Trustee Shepperd the contracts under the headings of “*IT SOFTWARE*”, “*OFFICE SUPPLIES*”, “*ON-CALL CONSULTANT SERVICES - GENERAL ENVIRONMENTAL SERVICES*” “*ON-CALL CONSULTANT SERVICES - PROJECT MANAGEMENT OVERSIGHT SERVICES*” and “*SOFTWARE, HOSTING AND PROFESSIONAL SERVICES*” were recommended to the full Board for approval. On a motion by Trustee Shepperd and seconded by Trustee Kruger the contracts under the headings of “*TRAVEL SERVICES*” and “*VEHICLE RENTALS*” were recommended to the full Board for approval. Alexandria Roe, Director of Planning, provided background on the leases for Storrs based programs presented for Board approval. On a motion by Trustee Archambault and seconded by Trustee Shepperd the “*LEASES for APPROVAL*” section of the Contracts and Agreements for Approval agenda item, were recommended to the full Board for approval.

Richard Gray, Executive Vice President for Administration and Chief Financial Officer, provided information on the fee proposals and their approval process. Trustee Nayden inquired how the technology fee is determined. Nancy Bull, Vice Provost and Chief Information Officer, stated that a review of peer institutions and student needs as well as current costs and responsiveness to student demand were determining factors in the IT fee, which is approximately \$75/semester. Trustee Archambault directed the committee to Attachment C, a breakout of fees and tuition. Trustee Buchman expressed concern over the categorization of fees utilized for high tech classroom and inquired about the defeated referendum regarding the Daily Campus fee. Vice Provost Saddleire stated that the Student Government, and subsequently the Student Fee Advisory Committee, took that information into consideration when they voted to approve the fee. On a motion by Trustee Archambault and seconded by Trustee Shepperd agenda ***Item #3, Fiscal Year 2014 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses (Tab 14)***; agenda ***Item #4, Fiscal Year 2014 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses (Tab 15)***; and agenda ***Item #5, Fiscal Year 2014 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses (Tab 16)*** were recommended to the full Board for approval. President Herbst stated that having tuition included in Attachment C in order to explain student costs was confusing for Trustees as tuition had previously been approved and only fee increases are being considered. Chairman McHugh agreed with the clarification and Mr. Gray confirmed that the presentation could be altered in the future.

Provost Choi introduced agenda ***Item #6, Extending the Veterans Waiver for Certain Degree Programs Offered in the Summer and Intersession for the University of Connecticut, Storrs and Regional Campuses (Tab 16)***. The item was recommended to the full Board for approval upon a motion by Trustee Archambault and seconded by Trustee Kruger.

On a motion by Trustee Shepperd and seconded by Trustee Kruger, agenda ***Item #7, Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Tab 18)*** was recommended to the full Board for approval.

Thomas Trutter, Health Center Associate Vice President for Facilities Development & Operations, provided an overview of the three Health Center project budgets presented for approval. On a motion by Trustee Archambault and seconded by Trustee Shepperd the project budget for agenda **Item #9, UConn Health Center (UCHC) Dental Clinic Fit-Out, Storrs Center (Planning: \$858,000)** was recommended to the full Board for approval. On a motion by Trustee Shepperd and seconded by Trustee Kruger, agenda **Item #10, UConn Health Center (UCHC) Main Building Exterior Façade Joint Sealant Replacement (Final: \$3,475,000)** was recommended to the Board for approval. On a motion by Trustee Kruger and seconded by Trustee Shepperd, agenda **Item #11, UConn Health Center (UCHC) New Construction and Renovations (Final: \$364,386,000)** was recommended to the full Board for approval. Mr. Archambault requested that the minutes reflect that the project budget approvals for the Health Center were recommended to the full Board for approval contingent upon subsequent approval by the Board of Directors. On a motion by Trustee Archambault and seconded by Trustee Shepperd agenda **Item #12, Young Building Renovation/Addition** was recommended to the full Board for approval.

Trustee Archambault directed the committee to the remaining information items listed on the agenda. On a motion by Trustee Archambault and seconded by Trustee Shepperd the committee was adjourned at 10:16 a.m.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B

CONTRACTS AND AGREEMENTS
FOR INFORMATION
April 24, 2013

PROCUREMENT - NEW										
IT CONSULTING										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Mainline Information Systems, Inc.	UC-13-PG082511-7	\$900,000	09/15/12-09/14/17	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	Information technology security consulting, including conducting data analysis, risk assessment and penetration testing. This is the result of a publicly advertised RFP.			
2	Ward Consulting Group, LLC	UC-13-PG082511-6	\$900,000	09/15/12-09/14/17	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	Information technology security consulting, including conducting data analysis, risk assessment and penetration testing. This is the result of a publicly advertised RFP.			
SERVICE AGREEMENTS										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	The Claremont Sales Corporation	UC-13-DS100512-1	\$500,000	12/28/12-12/18/14	Multiple Sources	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	Repair and replace insulation of piping and equipment associated with the University's distribution systems for steam, hot water, chilled water, potable water, feed water, heated and cooled air at all University campuses, including UCHC. This is the result of a publicly advertised RFP. Option to extend for three (3) additional one year periods.			
SYSTEMS INTEGRATOR SERVICES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Array Systems LLC	UC-LM111212-1	\$750,000	02/04/13-12/31/15	Operating Fund - General	Richard Vollaro, Interim Executive Director of Facilities Operations and Building Services	Design, install, program and maintain instrumentation and distribution control systems for the Storrs campus. This is the result of a publicly advertised RFP. Option to extend for three (3) additional one year periods.			
EXIGENT PROCUREMENT - NEW CONTRACTS										
GENERAL CONTRACTOR SERVICES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	All-Phase Enterprises, Inc.	901259	\$147,223	03/15/13-05/15/13	UCONN 2000	Matthew Larson, Director of Procurement Services	New contract for exigent general construction services to address fire code discrepancies and other safety concerns in Guley Hall.			
2	NER Construction Management Inc.	201614	\$739,800	02/18/13-03/31/13	Operating – Residential Life	Matthew Larson, Director of Procurement Services	New contract for exigent general construction services to address masonry deficiencies and other safety concerns on the Wilson, Rosebrooks, Snow and Rome buildings located at the Storrs South campus. (Final Project Budget approved by BOT 01/30/13 - \$3,100,000 - Project No. 201614)			
PROCUREMENT - AMENDMENTS										
CONSTRUCTION										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Turner Construction Company	901134	\$55,681,923 [Contract Previously approved at \$3,233,000; Total New Contract Value \$58,914,923]	03/03/10-07/13/15	UCONN 2000	Matthew Larson, Director of Procurement Services	\$563,512	\$0	\$0	Amendment to Guaranteed Maximum Price Agreement to establish an updated Guaranteed Maximum Price for the entire UCHC Main Building Renovation Project at UCHC. Amend to increase contract value by \$55,681,923, for new total contract value of \$58,914,923. (Final Project Budget approved by the BOT 12/12/12 - \$79,000,000 - Project No. 901134)

CONTRACTS AND AGREEMENTS
FOR INFORMATION
April 24, 2013

FOOD CONTRACTS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Garelick Farms	UC-KA030409-8	\$896,754 [Contract previously below threshold for submission to BOT; Total New Contract Value \$896,754]	06/01/09-05/31/14	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	\$629,000	\$179,000	\$170,000	Provide finished ice cream products for the Department of Dining Services. Amend to extend term by one (1) year to 05/31/14. Zero (0) of four (4) one (1) year extensions remaining.
STANDARD FIXED-FEE ARCHITECT'S CONTRACT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 03/08/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Skidmore Owings & Merrill LLP	901661	\$136,708 [Contract Previously Approved at \$2,007,635; Total New Contract Amount \$2,144,343]	04/18/12-11/21/16	UCONN 2000	Matthew Larson, Director of Procurement Services	\$1,408,897	\$0	\$0	First Amendment. Testing and budgeting services for the Innovation Technology Building Technology Quadrant Phase III Project located at the Storrs campus. (Planning Project Budget approved by the BOT on 06/23/11 - \$172,500,000 - Project No. 901661 and 901662)
2	Stantec Connecticut Inc. (f/k/a Burt Hill, Inc., f/k/a Burt Hill Kosar Rittelmann Associates of Connecticut, Inc.)	901134	\$150,755 [Contract Previously Approved at \$6,482,368; Total New Contract Amount \$6,633,123]	12/10/08-10/31/14	UCONN 2000	Matthew Larson, Director of Procurement Services	\$2,950,035	\$36,200	\$0	Eighth Amendment. Design services for cell room and platform coordination, early demolition package, furniture selection and procurement for UCHC "L Building" as part of Main Building Renovations. (Final Project Budget approved by the BOT 12/12/12 - \$79,000,000 - Project No. 901134)

**PROMOTION AND TENURE LIST FOR THE
SCHOOL OF BUSINESS
(ATTACHMENT 3)**

**(AMENDED LIST PROVIDED AS A HANDOUT
UNDER THE CONSENT AGENDA AT THE APRIL
24, 2013 BOARD MEETING)**

(Amended at the 4/24/13 Academic Affairs Committee Meeting)

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF BUSINESS
PRESENTED TO THE BOARD OF TRUSTEES – April 24, 2013**

PROMOTION TO PROFESSOR

Zeki Simsek	Management
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PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Sarah Rice	Accounting
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David Weber	Accounting
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Qing Cao	Management
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Joseph Pancras	Marketing
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Xinxin Li	Operations & Information Management
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Effective August 23, 2013:

TENURE AS PROFESSOR

Timothy Folta	Management
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PROMOTION TO ASSOCIATE PROFESSOR-IN-RESIDENCE – NON-TENURE TRACK

Mary Caravella	Marketing
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**INCREASE IN BOARD THRESHOLDS FOR BOTH
INFORMATION AND APPROVAL OF CONTRACTS
AND PROJECT BUDGETS
(ATTACHMENT 22)**

**(RESOLUTION REMOVED FROM THE AGENDA/
UNDER THE FINANCIAL AFFAIRS REPORT)**

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
April 24, 2013 at 9:30 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

	<u>ATTACHMENT COMMITTEE</u>	<u>LOCATION FULL BOARD</u>
1) Approval of the Minutes of the Financial Affairs Committee Meeting of February 27, 2013 as circulated	A	

ACTION ITEMS:

2) Contracts and Agreements for Approval		2
3) Revised Allocation of Bond Authorizations as set forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)		19
4) Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture (University of Connecticut General Obligation Bonds)		20
5) Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)		21
6) Increase in Board of Trustees Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets		22
7) University of Connecticut Public Interest Research Group (UConnPIRG) New Fee for the Storrs and Hartford Campuses Beginning Fiscal Year 2014		
8) Project Withdrawals		23

PROJECT BUDGETS FOR APPROVAL:

	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
9) Homer Babbidge Library – Replace Floor Coverings	Final	\$1,000,000	24
10) Pedestrian Safety Improvements Core Campus – Phase I	Final	\$6,591,000	25

(Amended at the 4/24/13 Financial Affairs Committee Meeting)

PROJECT BUDGETS FOR APPROVAL:

	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
11) Residential Life Facilities – Hale and Ellsworth Exterior Masonry Repair	Final	\$2,000,000	26
12) UCONN 2000 Code Remediation: North Campus Dormitories	Final	\$1,085,000	27
13) UCONN 2000 Code Remediation: Towers Dormitories	Final	\$732,000	28
14) UCONN 2000 Code Remediation: Wilbur Cross Building	Final	\$767,000	29
15) Basketball Development Center	Revised Final	\$33,231,300	30
16) Pedestrian Safety Improvements – Phase I	Revised Final	\$4,000,000	31
17) Residential Life Facilities – South Campus Envelope Repairs	Revised Final	\$1,500,000	32
18) Residential Life Facilities – Window Replacement Project for Grange Hall, Hicks Hall, Shippee Hall & Dining Facility and Northwood Complex	Revised Final	\$3,990,000	33
19) Young Building Renovation/Addition	2 nd Revised Final	\$19,773,430	34

INFORMATION ITEMS:

20) Fraunhofer Center at UConn	35
21) Contracts and Agreements for Information	B
22) Construction Project Status Report	(Under Separate Cover)
23) Master Schedule for UCONN 2000 Phases I, II & III	(Under Separate Cover)
24) Status of Capital Projects > \$500,000	(Under Separate Cover)
25) UCONN 2000 – Book 36 http://uc2000.uconn.edu/reports/report36/report36.pdf	

EXECUTIVE SESSION (As Needed)

**UNIVERSITY OF CONNECTICUT PUBLIC
INTEREST RESEARCH GROUP (UConnPIRG) NEW
FEE FOR THE STORRS AND HARTFORD
CAMPUSES BEGINNING FISCAL YEAR 2014**

**(RESOLUTION ADDED TO THE AGENDA UNDER
THE FINANCIAL AFFAIRS REPORT AT THE
APRIL 24, 2013 BOARD MEETING)**



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: University of Connecticut Public Interest Research Group (UConnPIRG)
New Fee for the Storrs and Hartford Campuses Beginning Fiscal Year 2014

RECOMMENDATION:

That the Board of Trustees approve a \$5 per semester/\$10 per year waivable fee for UConnPIRG for the Storrs and Hartford campuses beginning fall 2013.

BACKGROUND:

The UConnPIRG fee at the Storrs campus was first approved by the Board of Trustees in October 1973 at \$2 per semester/\$4 per year, payable by a separate check. In July 1976 it was approved as a negative check-off on student fee bills by the Board of Trustees. In August 1988 UConnPIRG Hartford campus was approved at \$4 per semester/\$8 per year. Subsequently, the fee rates were increased to \$5 per semester/\$10 per year on both campuses.

For approximately 40 years UConnPIRG has been providing students with the opportunity to voice their concerns in state and federal government as well as in their local communities. By partnering with the state and national PIRGs, both non-profit, non-partisan organizations, students involved with UConnPIRG are able to address issues that are important to them such as the environment, hunger, homelessness, voter registration, and textbook affordability.

In June 2011 an audit of the Storrs and Hartford PIRG organizations was completed by the Office of Audit, Compliance and Ethics. One of the audit recommendations was that the Division of Student Affairs should determine if the financial structure fit University and State of Connecticut statute guidelines as a Tier III student funded organization. It was determined that the financial structure did not conform and in FY2013 the fee was removed from undergraduate student fee bills. Subsequently, UConnPIRG student leadership and staff members from the Division of Student Affairs worked together to develop a new financial structure that provides local management and control of the student fees.

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Both the Storrs and Hartford campus UConnPIRGs submitted their new financial structures to the Student Activity and Service Fee Advisory Committee (SASFAC) earlier this semester. Referenda were also held at each campus to canvas undergraduate students, and in both circumstances students supported the creation of the new fee. After separate hearings with the UConnPIRGs, review of the documentation presented, and consideration of the referenda results, the SASFAC recommended the fee for the Storrs and Hartford campus UConnPIRG student organizations. The fee is being recommended as a new fee because it is based on this new financial structure. The SASFAC and the Division of Student Affairs will be closely monitoring this new format to ensure that it provides local management and control of the student fees as intended.

**PROJECT BUDGET (REVISED FINAL)
FOR BASKETBALL DEVELOPMENT CENTER**

**(RESOLUTION ADDED TO THE AGENDA UNDER
THE FINANCIAL AFFAIRS REPORT AT THE
APRIL 24, 2013 BOARD MEETING)**



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

April 24, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Basketball Development Facility
(Revised Final: \$33,231,300)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$33,231,300 for the Basketball Development Center.

BACKGROUND:

On February 1, 2008, the Board's Buildings, Grounds and Environment Committee approved the development of a programming and planning study for the proposed Basketball Development Center and related soccer facility relocation. Funding for the study was provided by private donations. The programming study identified dedicated space for athletic practice and administrative offices, strength and training, audio visual and academic support for the Men's and Women's basketball programs.

The project is currently in the early stage of construction and the University has determined that it would be advantageous to provide a larger water service line than currently planned to service the building. The location of the proposed water service line is between Gampel Pavilion and the project building and is planned to be installed in the near future. The increased pipe size will allow for additional future expansion of Athletic Facilities to the North of the Basketball Development Center project site. The additional funding request represents the incremental cost of increasing the pipe size from six inch diameter to eight inch diameter as well as the costs associated with hiring a dedicated Project Engineer for the construction of the facility.

The University requests that the existing Final Budget be increased in the amount of \$231,300 bringing the total Revised Final Budget to \$33,231,300.

The Revised Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: BASKETBALL DEVELOPMENT FACILITY

	APPROVED PLANNING 9/21/2010	APPROVED FINAL 11/14/2012	PROPOSED REVISED FINAL 4/24/2013
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ -	\$ 27,806,450	\$ 27,824,950
DESIGN SERVICES	1,900,000	2,462,971	2,462,971
TELECOMMUNICATIONS	-	261,500	261,500
FURNITURE, FIXTURES AND EQUIPMENT*	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	212,800
OTHER AE SERVICES (including Project Management)	200,000	853,000	853,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	8,000	7,500	7,500
INSURANCE AND LEGAL	2,000	2,000	2,000
MISCELLANEOUS	-	19,200	19,200
OTHER SOFT COSTS*	590,000	-	-
SUBTOTAL	\$ 2,700,000	\$ 31,412,621	\$ 31,643,921
PROJECT CONTINGENCY	300,000	1,587,379	1,587,379
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,000,000</u>	<u>\$ 33,000,000</u>	<u>\$ 33,231,300</u>
<u>SOURCE(S) OF FUNDING</u>			
GIFTS	\$ 2,998,755	\$ 32,998,755	\$ 32,998,755
DEPARTMENTAL FUNDS - ATHLETICS	1,245	1,245	1,245
UNIVERSITY OPERATING FUNDS	-	-	231,300
TOTAL BUDGETED FUNDING	<u>\$ 3,000,000</u>	<u>\$ 33,000,000</u>	<u>\$ 33,231,300</u>

*Does not include Furniture, Fixtures and Equipment.

BASKETBALL DEVELOPMENT FACILITY

Project Budget (REVISED FINAL)

04/24/2013

