

2-27-2013

## Agenda and Attachments, 2013 February 27

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University of  
Connecticut



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T R U S T E E S

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Rome Commons Ballroom  
South Campus Complex  
Storrs, Connecticut

February 27, 2013

**BOARD OF TRUSTEES SCHEDULE OF THE DAY**

8:30 a.m.	Committee on Compensation Meeting (Portico)
9:00 a.m.	Academic Affairs Committee Meeting
9:15 a.m.	Financial Affairs Committee Meeting
10:15 a.m.	Board of Trustees Meeting
12:30 p.m.	Student Life Committee Meeting (Adjacent to Portico)

**BOARD MEETING AGENDA**

Call to order at **10:15 a.m.**

1. Public Participation
2. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meetings of December 12, 2012 and January 30, 2013
  - (c) Consent Agenda Items:
    - (1) Contracts and Agreements for the Storrs-based programs and the Health Center (Attachment 1)
    - (2) Designation of Emeritus Status (Attachment 2)
    - (3) Sabbatical Leave Recommendations (Attachment 3)
    - (4) Reappointment of Dr. William T. Ross, Jr. to the ING Global Chair in the School of Business (Attachment 4)
    - (5) Appointment of Professor Bethany Berger to the Thomas F. Gallivan, Jr. Chair of Real Property Law in the School of Law (Attachment 5)
    - (6) Appointment of Professor Ruth Mason to the Anthony J. Smits Professorship for Global Commerce in the School of Law (Attachment 6)
    - (7) Veterinary Anatomic Pathobiology Area of Concentration within the Pathobiology Field of Study in the College of Agriculture and Natural Resources (Attachment 7)
    - (8) Digital Media and Design Majors in the School of Fine Arts (Attachment 8)
    - (9) Approval of Proposed Changes to the *By-Laws of the University of Connecticut* (footnote to Article XIV – The University Staff, Section C – Academic Appointment and Tenure) (Attachment 9)

- (10) Reports of the Endowed Chairs for the Period July 1, 2011 to June 30, 2012 (Health Center) (Attachment 10)
  - (11) Appointment of Members on the Louise Crombie Beach Memorial Foundation (Attachment 11)
  - (12) Naming Recommendation for the Richard and Jane Lublin Waiting Room in the Ambulatory Care Complex at the University of Connecticut Health Center (Attachment 12)
- (d) Informational item:
  - (1) Notification of Proposed Changes to the *By-Laws of the University of Connecticut* (Article XIV – The University Staff, Section C.4 – Academic Appointment and Tenure) (Attachment 13)
- 3. President’s Report
  - (a) Honorary Degrees
- 4. Academic Affairs Committee Report
  - (a) Report on Committee activities
- 5. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Fiscal Year 2014 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses (Attachment 14)
    - (2) Fiscal Year 2014 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses (Attachment 15)
    - (3) Fiscal Year 2014 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses (Attachment 16)
    - (4) Extending the Veterans Waiver for Certain Degree Programs Offered in the Summer and Intersession for the University of Connecticut, Storrs and Regional Campuses (Attachment 17)
    - (5) Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 18)
    - (6) Increase in Board of Trustee Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets (Attachment 19)
    - (7) Project Budget (Planning) for the University of Connecticut Health Center Dental Clinic Fit-Out, Storrs Center (Attachment 20)
    - (8) Project Budget (Final) for the University of Connecticut Health Center Main Building Exterior Façade Joint Sealant Replacement (Attachment 21)
    - (9) Project Budget (Final) for the University of Connecticut Health Center New Construction and Renovations (Attachment 22)
    - (10) Project Budget (Revised Final) for Young Building Renovation/Addition (Attachment 23)



- (b) Informational items:
  - (1) Financial Statements for Storrs and the Health Center:
    - Storrs:  
<http://accountingoffice.uconn.edu/accounting/PDF/2012FS.pdf>
    - Health Center:  
[http://controller.uchc.edu/reports/docs/year\\_end\\_report\\_2012.pdf](http://controller.uchc.edu/reports/docs/year_end_report_2012.pdf)
- 6. Health Center Report
  - (a) Report on Health Center activities
- 7. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
  - (b) Informational item:
    - (1) UCONN 2000 Audit Report for the Year Ended June 30, 2012 (Attachment 24)
- 8. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
- 9. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
- 10. Student Life Committee Report
  - (a) Report of Committee activities
- 11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
  - (b) Development Progress Executive Summary (Attachment 25)
- 12. Committee on Compensation Report
  - (a) Report on Committee activities
- 13. Other business
- 14. Executive Session anticipated.
- 15. Adjournment

**PLEASE NOTE:** *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.*

## **ATTACHMENT 1**

**CONTRACT AGREEMENTS  
FOR APPROVAL  
February 27, 2013**

**PROCUREMENT - NEW**

**COMPUTER HARDWARE AND PERIPHERALS**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	GovConnection, Inc.	CNR-01141	\$1,200,000	12/01/06-03/31/17	Multiple Sources	Matthew Larson, Director of Procurement Services	Provide personal computers, peripherals and support services to University faculty and staff under a service agreement through an Education & Institutional Cooperative consortium. The University is a participating member of higher education consortia, cooperatives and buying groups. These memberships allow the University to take advantage of aggregate purchasing power, unique technologies and collaborative efforts with peer institutions. Initial term is five (5) years with option to extend for additional two (2) year terms.

**ISP SERVICES**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Northeast Research & Education Network (NEREN)	UC-13-LP070112	\$1,500,000	07/01/12-06/30/17	Multiple Sources	Nancy Bull, Vice Provost, Technology Services	Services provided via a consortium in support of the ONC optical network project. The University is a participating member of higher education consortia, cooperatives and buying groups. These memberships allow the University to take advantage of aggregate purchasing power, unique technologies and collaborative efforts with peer institutions. Paid annually to include a connector fee, monthly cross connect fee and NEREN member service fee. The term of this contract is five (5) years with no extensions.

**ON-CALL IT CONSULTANT SERVICES [Aggregate Authorization for 4 contracts: \$8,000,000]**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Advantage Technical Resourcing, Inc.	UC-12-PG050511-5	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	Consulting Services to assist with various aspects of developing, implementing and/or supporting existing or future Oracle PeopleSoft products. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years with option to extend for two (2) additional one (1) year terms.
2	Dell Marketing, L.P.	UC-12-PG050511-6	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	Consulting Services to assist with various aspects of developing, implementing and/or supporting existing or future Oracle PeopleSoft products. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years with option to extend for two (2) additional one (1) year terms.
3	ERP Analysts, Inc.	UC-12-PG050511-7	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	Consulting Services to assist with various aspects of developing, implementing and/or supporting existing or future Oracle PeopleSoft products. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years with option to extend for two (2) additional one (1) year terms.
4	Synchronous Solutions, Inc.	UC-12-PG050511-8	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	Consulting Services to assist with various aspects of developing, implementing and/or supporting existing or future Oracle PeopleSoft products. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years with option to extend for two (2) additional one (1) year terms.

**CONTRACT AGREEMENTS  
FOR APPROVAL  
February 27, 2013**

<b>ON-CALL PROFESSIONAL SERVICES - 009.2 GENERAL ARCHITECTURAL SERVICES [Aggregate Authorization for 13 contracts: \$26,000,000]</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	Amenta/Emma Architects, P.C.	009.2-1-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
2	BL Companies Connecticut, Inc.	009.2-2-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
3	Fletcher-Thompson, Inc.	009.2-3-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
4	Gregg, Wies & Gardner Architects, LLC	009.2-4-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
5	JCJ Architecture, PC	009.2-5-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
6	Martin A. Benassi, AIA -Architect, LLC	009.2-6-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
7	Newman Architects, P.C.	009.2-7-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
8	Paul B. Bailey Architects, LLC	009.2-8-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
9	Silver Petrucelli & Associates, Inc.	009.2-9-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
10	Simpson Gumpertz & Heger, Inc.	009.2-10-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
11	Svigals & Partners, LLP	009.2-11-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
12	Tai Soo Kim Partners, LLC	009.2-12-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.
13	URS Corporation AES	009.2-13-2M-22916	\$2,000,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided as needed on a project by project basis for various large construction projects at the Storrs & Regional Campuses and UCHC. This is the result of a publicly advertised RFP. Initial term of contract is three (3) years.

CONTRACT AGREEMENTS  
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February 27, 2013

SPRINKLER SYSTEM SERVICES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	SimplexGrinnell LP	UC-13-111312LM	\$1,000,000	03/01/13-02/28/15	Multiple Sources	John Mancini, Chief, UConn Fire Department	Testing, inspection and preventative maintenance services for various sprinklers and fixed extinguishing and hood suppression systems at all UConn locations. This contract is a result of a publicly advertised RFP. Initial term of this contract is for two (2) years with option to extend for three (3) one (1) year terms.			
PROCUREMENT AMENDMENTS										
FURNITURE CONTRACTS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of 01/23/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	OFI [formerly known as Solidus]	UC-03-B092302-5	\$1,000,000 [Contract Previously Approved at \$2,595,000; Total New Contract Amount \$3,595,000]	05/01/03-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,461,150	\$300,000	\$280,000	Provide system office furniture and seating for all University locations. Master contract of convenience for office furniture. This is the result of a publicly advertised RFP. <b>Amend to increase contract value \$1,000,000 for additional expenditures through 04/30/13 for a total new contract value of \$3,595,000.</b>
IT CONSULTING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of 01/23/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	OpenSky Corporation	UC-PG082511-1	\$2,100,000 [Contract Previously Approved at \$900,000; Total New Contract Amount \$3,000,000]	04/23/12-04/22/17	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	\$292,550	\$0	\$0	University contract for consulting services to assist with various aspects of data analysis, risk assessment and penetration testing to achieve the security objectives of the University. This is the result of a publicly advertised RFP. The initial term of this contract is for five (5) years with an option to extend for multiple one-year terms by written amendment to the agreement. <b>Amend to increase by \$2,100,000 for a total new contract value of \$3,000,000.</b>

**CONTRACT AGREEMENTS  
FOR APPROVAL  
February 27, 2013**

<b>IT SOFTWARE</b>										
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>New Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Total Expenditures to Date as of 01/23/13</b>	<b>Expenditures FY 12</b>	<b>Expenditures FY 11</b>	<b>Purpose</b>
1	IBM Corporation	SS070103	\$0 [Contract Previously Approved at \$7,509,661; Contract Value Remains the Same]	07/01/03-06/30/14	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	\$5,648,257	\$330,829	\$328,552	Provide maintenance to the operating system and software support for the IBM z/VM Z890 and Z/OS operating systems for the IBM 9672-T16. This is required to run a large portion of the University's administrative computing workload. <b>Amend to extend end date through 06/30/14. Contract value to remain the same.</b>
2	Oracle, USA	94ITZ0005MA	\$0 [Contract Previously Approved at \$12,255,842; Contract Value Remains the Same]	07/01/08-06/30/14	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	\$9,396,657	\$780,081	\$1,909,525	Provide software licenses, maintenance and support for administration systems. State Master Agreement term expires 12/31/50. <b>Amend to extend end date through 06/30/14. Contract value to remain the same.</b>
<b>OFFICE SUPPLIES</b>										
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>New Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Total Expenditures to Date as of 01/23/13</b>	<b>Expenditures FY 12</b>	<b>Expenditures FY 11</b>	<b>Purpose</b>
1	Staples Advantage (formerly Corporate Express)	UC-08-KJ031607	\$0 [Contract Previously Approved at \$4,312,000; Contract Value Remains the Same]	01/02/08-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,607,000	\$565,000	\$700,000	Exclusive agreement with office supply dealer to supply and deliver general office supplies to the Storrs Campus, Regional Campuses and extension offices located throughout the State of CT. <b>Amend to extend contract for three (3) months through 06/30/13. Contract value remains the same.</b>
<b>ON-CALL CONSULTANT SERVICES - GENERAL ENVIRONMENTAL SERVICES</b>										
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>New Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Total Expenditures to Date as of 01/23/13</b>	<b>Expenditures FY 12</b>	<b>Expenditures FY 11</b>	<b>Purpose</b>
1	Milone & MacBroom, Inc.	005-4-/850 /10/31/14	\$750,000 [Contract Previously Approved at \$850,000; Total New Contract Amount \$1,600,000]	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$144,597	\$0	\$0	First Amendment to increase On-Call Contract for General Environmental Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing general environmental services and engagements in the program shall be project/program assignment specific. <b>Amend to increase contract \$750,000 for total new contract value of \$1,600,000.</b>

CONTRACT AGREEMENTS  
FOR APPROVAL  
February 27, 2013

ON-CALL CONSULTANT SERVICES - PROJECT MANAGEMENT OVERSIGHT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of 01/23/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Jacobs Project Management Co.	PMO-01-/1.5 /01/31/15	\$800,000 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$2,300,000]	02/01/12-01/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$314,000	\$0	\$0	First Amendment to increase On-Call Contract for Project Management Oversight (PMO) for design oversight, construction, and Owner's Representative services for use by the University for added outsourced support on a project by project basis for project management, design oversight, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support. Engagements in the program shall be project/program assignment specific. <b>Amend to increase contract \$800,000 for total new contract value of \$2,300,000. (Original contract approved by BOT - 01/25/12)</b>
SOFTWARE, HOSTING AND PROFESSIONAL SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of 01/23/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Accruent, LLC	UC-5-2390	\$1,270,000 [Contract Previously Approved at \$1,450,000; Total New Contract Amount \$2,720,000]	07/01/10-06/30/15	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	\$1,119,293	\$328,490	\$499,400	FAMIS software licenses, maintenance, hosting and professional services utilized by Storrs, Regional Campuses and UCHC for facilities operations and space planning purposes. This is the result of a publicly advertised RFP. <b>Amend to extend through 06/30/15 and increase contract by \$1,270,000 for a total new contract value of \$2,720,000.</b>

**CONTRACT AGREEMENTS  
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<b>TRAVEL SERVICES</b>										
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>New Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Total Expenditures to Date as of 01/23/13</b>	<b>Expenditures FY 12</b>	<b>Expenditures FY 11</b>	<b>Purpose</b>
1	Orbitz for Business	UC-06-WH021705	\$0 [Contract Previously Approved at \$5,409,908; Contract Value Remains the Same]	02/21/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$4,401,300	\$778,247	\$668,185	Provide online travel services for the University and Health Center faculty, staff and students traveling on official University business. <b>Amend to extend contract for three (3) months through 06/30/13. Contract value remains the same.</b>
2	Worldtek Travel Management	UC-09-KJ071808	\$0 [Contract Previously Approved at \$4,300,000; Contract Value Remains the Same]	05/01/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,706,500	\$827,900	\$933,404	Provide travel services for the University and Health Center faculty, staff and students traveling on official University business. <b>Amend to extend contract for three (3) months through 06/30/13. Contract value remains the same.</b>
<b>VEHICLE RENTALS</b>										
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>New Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Total Expenditures to Date as of 01/23/13</b>	<b>Expenditures FY 12</b>	<b>Expenditures FY 11</b>	<b>Purpose</b>
1	Enterprise Rent-a-Car [Camrac LLC dba]	UC-09-SG71506	\$325,000 [Contract Previously Approved at \$650,000; New Contract Amount \$975,000]	07/01/08-06/30/14	Multiple Sources	William Wendt, Director of Logistics Administration	\$546,304	\$97,717	\$134,776	Provide on-site vehicle rental service to University personnel and sanctioned student activity groups for University business only. Initial term of contract is five (5) years with option to extend for three (3) additional one (1) year terms. <b>Amend to exercise first one (1) year extension to extend through 06/30/14 and increase contract value by \$325,000 for a total new contract value of \$975,000.</b>



**CONTRACT AGREEMENTS  
FOR APPROVAL  
February 27, 2013**

**LEASES FOR APPROVAL**

**UNIVERSITY AS LESSOR**

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Harvey and Lewis Company of Hartford Incorporated	\$10,800	02/01/13-12/31/14	Revenue Generating - No Fund Source	Amanda Hopkins Tirrell Chief Operating Officer (UMG)	This is a Revenue Generating Lease. Harvey and Lewis is an optical shop providing optometry services in this location. The space consists of 432 square feet. The rent to be charged is \$900.00 per month, which is \$10,800.00 per year and \$20,700.00 for the 23 month term. The term is for 23 months because this company is currently located in the Dowling South Building, which is scheduled for demolition as a part of BioScience Connecticut. At the end of the 23 month period, Harvey and Lewis will be moving into the new Ambulatory Care Center (ACC). This Lease will be extended as needed if the ACC is not ready for their relocation to the new space. <i>[Approval of this agreement is contingent upon subsequent approval by the Board of Directors.]</i>
2	Panda Café, Inc.	\$51,500	08/01/13-07/31/15	Operating Fund - General	Alexandria Roe, Director of University Planning	Renewal of a commercial lease for approximately 785 square feet plus additional space for storage in the the building known as the Student Union Building. Base rent will be \$4,291.67 per month with a percentage of sales due as additional rent. This lease shall be for the operation of a Panda Express in the Food Court, and is an exercise of the first of two extensions contained in their original lease.

**UNIVERSITY AS LESSEE**

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Farmington Plaza Associates	\$302,400	03/01/13-02/28/18	Operating Fund - General	Anne Diamond Chief Operating Officer (JDH)	PET CT - mobile unit to be housed at 230 Farmington Avenue (1,400 square feet at \$18.00/sq. ft.) temporarily for 60 months until UCHC can install permanently (potentially at the MARB on UCHC campus). <i>[Approval of this agreement is contingent upon subsequent approval by the Board of Directors.]</i>
2	University of Connecticut Cooperative Corporation	\$108,525	10 years from date of opening for operation	Multiple Sources	Alexandria Roe, Director of University Planning	New sublease of 4,342 square feet of museum, performance and support space located on the first floor of Building TS-2 in the Storrs Center project, Storrs, Connecticut. This space, located within the UCONN Co-Op's leased area, will be used by the Ballard Institute & Museum of Puppetry. The lease contains annual increases to the base rent of 1 1/2% per year during the ten year term. In addition to the base rent, the University will pay its proportionate share of utilities and Common Area Maintenance charges on a monthly basis. The University is also responsible for interior construction costs in an amount not to exceed \$1,700,000.

## **ATTACHMENT 2**

**University of Connecticut Department of Human Resources  
Emeritus Retirees  
February 27, 2013 Board of Trustees Meeting**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>RETIREMENT</b>
Dicks, Barbara A.	Associate Professor	Social Work Instr & Res	School of Social Work	02/01/2013
Elder, Crawford L.	Professor	Philosophy	Liberal Arts & Sciences	01/01/2013
Love, Peter	Associate Professor	Chemistry	Liberal Arts & Sciences	01/01/2013

## **ATTACHMENT 3**

University of Connecticut Office of the Provost  
Sabbatical Leave Recommendations Requiring Board of Trustees Approval  
February 27, 2013 Board of Trustees Meeting

**CHANGES TO PREVIOUSLY APPROVED/ANTICIPATED SABBATICAL LEAVES:**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Benson, David R.	Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences	Full	Fall 2013 TBD
Bhattacharjee, Sudip	Associate Professor	Operations & Information Management	School of Business	Full	Spring 2013 Fall 2013
Coulter, Robin A.	Professor	Marketing	School of Business	Full	Fall 2013 Spring 2015
Donahue, Amy K.	Professor	Public Policy	College of Liberal Arts & Sciences	Full	Fall 2013 TBD
Gilligan, Emma	Associate Professor	History	College of Liberal Arts & Sciences	Full	Spring 2013 Spring 2014
Kinsella-Shaw, Jeffrey	Associate Professor	Kinesiology	Neag School of Education	Full	Spring 2013 TBD
Lynes, Michael A.	Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences	Full	Fall 2012 Fall 2015
Wogenstein, Sebastian	Associate Professor	Literatures, Cultures and Languages	College of Liberal Arts & Sciences	Full	Spring 2013 Spring 2014

**SABBATICAL LEAVE REQUESTS:**

**Semester at Full Pay**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Beall, J C	Professor	Philosophy	College of Liberal Arts & Sciences	Full	Spring 2014
Beasley, Maya	Associate Professor	Sociology	College of Liberal Arts & Sciences	Full	Fall 2013
Berger, Bethany	Professor		School of Law	Full	Spring 2014

Bloomfield, Paul	Associate Professor	Philosophy	College of Liberal Arts & Sciences	Full	Spring 2014
Byrne, Timothy	Associate Professor	Marine Sciences	College of Liberal Arts & Sciences	Full	Fall 2013
Caira, Janine N.	Distinguished Professor	Ecology & Evolutionary Biology	College of Liberal Arts & Sciences	Full	Fall 2013
Cao, Qing	Assistant Professor	Management	School of Business	Full	Spring 2014
Desai, Manisha	Associate Professor	Sociology	College of Liberal Arts & Sciences	Full	Fall 2013
Duane, Anna Mae	Associate Professor	English	College of Liberal Arts & Sciences	Full	Fall 2013
Dudden, Alexis	Professor	History	College of Liberal Arts & Sciences	Full	Fall 2013
Dunne, Gerald	Professor	Physics	College of Liberal Arts & Sciences	Full	Fall 2013
Dussart, Francoise	Professor	Anthropology	College of Liberal Arts & Sciences	Full	Spring 2014
Dyson, Stephen	Associate Professor	Political Science	College of Liberal Arts & Sciences	Full	Fall 2013
Enderle, John	Professor	Electrical & Computer Engineering	School of Engineering	Full	Fall 2013
Fitch, Roslyn Holly	Associate Professor	Psychology	College of Liberal Arts & Sciences	Full	Fall 2013
Furtado, Delia	Associate Professor	Economics	College of Liberal Arts & Sciences	Full	Fall 2013
Gilson, Lucy L.	Associate Professor	Management	School of Business	Full	Fall 2013
Gorin, Amy	Associate Professor	Psychology/Hartford	College of Liberal Arts & Sciences	Full	Fall 2013
Hagen, Charles	Associate Professor	Art and Art History	School of Fine Arts	Full	Spring 2014
Henry, Charles S.	Professor	Ecology & Evolutionary Biology	College of Liberal Arts & Sciences	Full	Fall 2013
Javidi, Bahram	Distinguished Professor	Electrical & Computer Engineering	School of Engineering	Full	Fall 2013
Jones, Cynthia S.	Professor	Ecology & Evolutionary Biology	College of Liberal Arts & Sciences	Full	Fall 2013
Kharchenko, Vasili	Professor	Physics	College of Liberal Arts & Sciences	Full	Fall 2013

Lei, Yu	Associate Professor	Chemical & Biomolecular Engineering*	School of Engineering	Full	Fall 2013
Leu, Donald J.	Professor	Curriculum and Instruction	Neag School of Education	Full	Fall 2013
Li, Cuihong	Associate Professor	Operations & Information Management	School of Business	Full	Fall 2013
Lownes, Nicholas E.	Assistant Professor	Civil and Environmental Engineering	School of Engineering	Full	Spring 2014
MacDonald, Earl	Associate Professor	Music	School of Fine Arts	Full	Fall 2013
MacLeod, Glen	Professor	English/Waterbury	College of Liberal Arts & Sciences	Full	Fall 2013
Mason, Robert P.	Professor	Marine Sciences/Avery Point	College of Liberal Arts & Sciences	Full	Fall 2013
Mason, Ruth	Professor		School of Law	Full	Fall 2013
Pattipati, Krishna R.	Professor	Electrical & Computer Engineering	School of Engineering	Full	Fall 2013
Peterson, Richard S.	Professor	English	College of Liberal Arts & Sciences	Full	Fall 2013
Pikal, Michael J.	Professor	Pharmaceutical Sciences	School of Pharmacy	Full	Fall 2013
Read, Heather	Associate Professor	Psychology	College of Liberal Arts & Sciences	Full	Fall 2013
Reiter, Wolf-Dieter	Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences	Full	Fall 2013
Rice, Eric	Associate Professor	Music	School of Fine Arts	Full	Spring 2014
Rubega, Margaret	Associate Professor	Ecology & Evolutionary Biology	College of Liberal Arts & Sciences	Full	Spring 2014
Sabatelli, Ronald M.	Professor	Human Development & Family Studies	College of Liberal Arts & Sciences	Full	Spring 2014
Schlund-Vials, Cathy J.	Associate Professor	English	College of Liberal Arts & Sciences	Full	Fall 2013
Simonsen-Gaines, Brandi	Associate Professor	Educational Psychology	Neag School of Education	Full	Spring 2014
Srivastava, Ranjan	Associate Professor	Chemical & Biomolecular Engineering*	School of Engineering	Full	Spring 2014
Tehranipoor, Mohammad	Associate Professor	Electrical & Computer Engineering	School of Engineering	Full	Fall 2013
Walsh, Stephen J.	Associate Professor		School of Nursing	Full	Fall 2013

Wang, Bing	Associate Professor	Computer Science & Engineering	School of Engineering	Full	Fall 2013
Wilf, Steven	Professor		School of Law	Full	Fall 2013
Wilson, Richard	Professor	Anthropology	College of Liberal Arts & Sciences School of Law	Full	Fall 2013
Yan, Jun	Associate Professor	Statistics	College of Liberal Arts & Sciences	Full	Fall 2013

**Academic Year at Half Pay**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Adler, Daniel S	Associate Professor	Anthropology	College of Liberal Arts & Sciences	Half	AY 2013-2014
Carter, Clive Barrington	Professor	Materials Science Engineering*	School of Engineering	Half	AY 2013-2014
Gao, Puxian	Associate Professor	Materials Science Engineering*	School of Engineering	Half	AY 2013-2014
Gokhale, Swapna S.	Associate Professor	Computer Science & Engineering	School of Engineering	Half	AY 2013-2014
Greene, Hillary	Professor		School of Law	Half	AY 2013-2014
Howard, Elizabeth	Assistant Professor	Curriculum and Instruction	Neag School of Education	Half	AY 2013-2014
Lee, Juliet	Associate Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences	Half	AY 2013-2014
Medina, Catherine	Associate Professor		School of Social Work	Half	AY 2013-2014
Rogers, Luke	Assistant Professor	Mathematics	College of Liberal Arts & Sciences	Half	AY 2013-2014

**Calendar Year at Half Pay**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Fisher, Robert	Professor		School of Social Work	Half	CY 2014
Zhang, Bi	Professor	Mechanical Engineering	School of Engineering	Half	CY 2014

\*The Chemical, Materials and Biomolecular Engineering Department will divide into the Chemical and Biomolecular Engineering Department and Materials Science Engineering Department on July 1, 2013. This division was approved by the Board of Trustees on November 14, 2012.



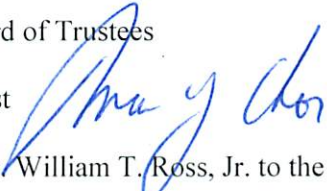
## **ATTACHMENT 4**



University of Connecticut  
*Office of the Provost*

Mun Y. Choi, Ph.D.  
*Provost & Executive Vice  
President for Academic Affairs*

February 27, 2013

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost   
RE: Reappointment of Dr. William T. Ross, Jr. to the ING Global Chair in the School of Business

RECOMMENDATION:

That the Board of Trustees approve the reappointment of Dr. William T. Ross, Jr. to the ING Global Chair in the School of Business.

BACKGROUND:

On August 10, 2010, the Board of Trustees appointed Dr. William T. Ross, Jr. to the ING Global Chair in the School of Business. His appointment extended from August 23, 2010 through August 22, 2013.

William T. Ross, Jr. is a tenured Professor of Marketing in the School of Business. He has served on the faculty at the Pennsylvania State University, Temple University, and University of Pennsylvania. His research focuses on substantive applications of managerial and consumer decision-making, with a special emphasis on the domains of channel management, sales-force management, buyer behavior, and marketing management. Professor Ross was chosen as the ING Chair because of his strong research record, and also because of his interest in and history of working with doctoral students. He has an international reputation and is widely regarded as an interdisciplinary scholar in Marketing.

During his tenure as ING Global Chair, Professor Ross has played an integral role in the Marketing Department and the School of Business. He serves as departmental Ph.D. coordinator and currently chairs two dissertation committees, as well as working with several other doctoral students. He has taught courses in the Ph.D., M.B.A., and undergraduate programs. Professor Ross has chaired the departmental PTR Committee and this year served on the Dean's Advisory Committee, as well as other Department and School of Business service initiatives.

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Gulley Hall  
352 Mansfield Road Unit 1086  
Storrs, Connecticut 06269-1086

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web: www.uconn.edu

## **ATTACHMENT 5**



University of Connecticut  
*Office of the Provost*

Mun Y. Choi, Ph.D.  
*Provost & Executive Vice  
President for Academic Affairs*

February 27, 2013

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost *Mun Y. Choi*  
RE: Appointment of Professor Bethany Berger to the Thomas F. Gallivan, Jr. Chair of Real Property Law in the School of Law

RECOMMENDATION:

That the Board of Trustees approve the appointment of Professor Bethany Berger to the Thomas F. Gallivan, Jr. Chair of Real Property Law in the School of Law.

BACKGROUND:

The original goal of the Thomas F. Gallivan, Jr. Chair was "to strengthen the intellectual and professional resources of the state and thereby benefit all its legal institutions including the School of Law, the organized bar, and the judiciary." Professor Bethany Berger will superbly fulfill those criteria. She will join Harvard's Professor Joseph Singer as co-editor on the sixth edition of one of the leading Property Law casebooks used throughout the country, which alone establishes her as one of the nation's top Property Law scholars. Professor Berger's work on the illusion of fiscal illusion may reverse longstanding assumptions about the need to provide compensation to owners whose land is taken by government, and her insightful study of how Oregon discovered the pitfalls of requiring compensation when government regulations affect land values provides key lessons on the complexity of Property Law.

This endowed chair, a seven-year term, will provide a \$5,000 supplement to any summer stipend Professor Berger receives as part of the annual summer research competition now conducted at the Law School. Funds distributed yearly from the endowment support summer research and travel regarding Property Law.

This endowed chair was approved by the Board of Trustees during its meeting on January 12, 1990. The late Professor Terry Tondro was appointed its first chair on January 12, 1990, and was followed by former Dean and Professor Jeremy Paul's appointment on June 25, 2003.

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## **ATTACHMENT 6**





University of Connecticut  
*Office of the Provost*

Mun Y. Choi, Ph.D.  
*Provost & Executive Vice  
President for Academic Affairs*

February 27, 2013

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost  
RE: Appointment of Professor Ruth Mason to the Anthony J. Smits Professorship for Global Commerce in the School of Law

RECOMMENDATION:

That the Board of Trustees approve the Appointment of Professor Ruth Mason to the Anthony J. Smits Professorship for Global Commerce in the School of Law.

BACKGROUND:

The goal of the Anthony J. Smits Professorship for Global Commerce, established in 2012, focuses "to permit the School of Law to attract or retain a nationally or internationally recognized scholar and teacher who has made significant contributions to the field of Global Commerce." Professor Mason will superbly fulfill that goal. Professor Ruth Mason spent two years as an associate at the New York law firm of Willkie Farr & Gallagher before she entered the legal academy. She began as the Executive Director of the Graduate Tax Program at NYU, and then became the Deputy Director of NYU's International Tax program. Professor Mason joined the University of Connecticut School of Law faculty in 2006. She is a regular contributor to Tax Notes and has presented her work at dozens of academic and professional fora both in the U.S. and around the world. Professor Mason also has an unbroken record of success in our classrooms, teaching Corporate Tax, Federal Income Tax and International Tax.

This professorship, a seven-year term, will provide an annual travel fund of \$5,000 per year and a \$5,000 supplement to any summer stipend Professor Mason is awarded as part of the annual summer research competition now conducted at the Law School.

This endowed professorship was approved by the Board of Trustees on February 28, 2012.

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## **ATTACHMENT 7**



University of Connecticut  
*Office of the Provost*

Mun Y. Choi, Ph.D.  
*Provost & Executive Vice  
President for Academic Affairs*

February 27, 2013

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost

RE: Veterinary Anatomic Pathology Area of Concentration within the Pathobiology Field of Study in the College of Agriculture and Natural Resources

RECOMMENDATION:

That the Board of Trustees approve the Veterinary Anatomic Pathology Area of Concentration within the Pathobiology Field of Study in the College of Agriculture and Natural Resources.

BACKGROUND:

This program proposal (1) recognizes the Veterinary Anatomic Pathology curricular content as distinct from the University's standard two-year Master's degree programs and distinct from the two-year Master's program in Pathobiology, (2) meets a requirement for 36 months instruction to qualify for certification by the American College of Veterinary Pathologists, and (3) satisfies the degree reporting requirements of the Graduate School.

The curriculum of this residency/graduate program has been expanded to include coursework that is specific to the Veterinary Anatomic Pathology area of concentration and is only open to students who have obtained a doctorate in veterinary medicine, or its equivalent, and therefore is particular to the combined program. Students enrolling in this program commit to a 3-year (36-month) course of study concentrating in veterinary anatomic pathology within the Graduate curriculum.

No additional financial resources, faculty, or equipment are required beyond what is already available.

The CANR Curriculum and Courses Committee approved the proposal on September 21, 2012. The Executive Committee of the Graduate Faculty Council approved the proposal on November 28, 2012.

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## **Request for New/Modified UConn Academic Degree Program or Name Change**

### **General Information**

**Name of proposed academic degree program (If solely a Name Change, indicate old and new names):**

It is proposed that a new master's-level Area of Concentration to be called *Veterinary Anatomic Pathology* be approved for offering under the existing graduate Field of Study of *Pathobiology*.

**Name of sponsoring Department(s):**

Pathobiology and Veterinary Science

**Name of sponsoring School(s) and/or College(s):**

College of Agriculture and Natural Resources

**Campuses (Storrs and/or regional[s]) proposed to offer this degree program:**

Storrs

**Contact person and contact details:**

Associate Professor Salvatore Frasca, Jr., V.M.D., Ph.D., Diplomate ACVP  
salvatore.frasca@uconn.edu / (860) 486 - 1138

**Type of Proposal (New/Modified/Name Change/Discontinuation):**

Addition of Area of Concentration to existing graduate Field of Study

**Type of Program (B.A./B.S./M.S./Ph.D./Certificate, ETC):**

M.S.

**Anticipated Initiation Date:** ASAP

**Anticipated Date of First Graduation:** May 2013

**CIP Code:** CIP 51.2505

**DHE Code (if available):**

**Submittal Information**

**Name of Department Head(s):** Steven Geary

**Department(s):** Pathobiology and Veterinary Science

**Signature of Department Head(s):**

**Date:** 11/26/12

**Name of Dean:** Gregory Weidemann

**School/College:** College of Agriculture and Natural Resources

**Signature of Dean:**

**Date:** 11/26/12

**Background & Description**

The combined program of residency training in veterinary anatomic pathology and graduate education offered by Department of Pathobiology and Veterinary Science (PVS) is one of the oldest continuing programs of its kind in the country, established over 40 years ago. Residency training programs in veterinary anatomic pathology are offered by almost all of the veterinary colleges in the United States and Canada. The combined residency/graduate program at UCONN is one of only 6 programs that have been maintained by universities or research programs outside of veterinary schools; the other such programs are offered by The Johns Hopkins University School of Medicine, George Washington University School of Medicine, Harvard Medical School (through the New England Regional Primate Research Center), Wake Forest University School of Medicine, the National Institutes of Health, and the National Institute of Environmental Health Sciences. Enrollees of this program are veterinarians who desire career development and advancement in the specialty of anatomic pathology by achieving a graduate degree (MS or PhD) in the discipline of Pathobiology and certification in the specialty of veterinary anatomic pathology by the American College of Veterinary Pathologists (ACVP). The combined program at UCONN has been designed to meet the Graduate School requirements for the PhD, under the Field of Study: Pathobiology, while at the same time meeting the requirements of the ACVP to qualify to sit the annual certification examination in veterinary anatomic pathology. The proposed program modification provides for a combined residency/non-thesis Masters in veterinary anatomic pathology. This institutionally recognizes the distinct learning focus of the program, its specific curricular requirements, and the student's programmatic development as a substantive and constructive means toward earning the PhD or as a means to its own end when the PhD is not a viable career option, while still satisfying the requirements of the Graduate School and ACVP.

The residency/graduate program at UCONN is one of an elite collection of 40 formal residency programs from the US, Canada, New Zealand (Massey), United Kingdom (Glasgow) that provide the substantive programmatic and experiential activities to satisfy the requirements of the ACVP; other US universities that have residency programs in veterinary anatomic pathology have been held as guideposts for UCONN's own advancement, such as Washington State University, the University of Georgia, University of Wisconsin, Cornell University, University of Pennsylvania, Johns Hopkins University. As such, the combined residency/graduate program contributes to the academic diversity of the University and increases our national and international reputation. The program at UCONN is recognizably above average in its success rate and on par with the most successful residency programs regardless of whether they are or are not maintained at veterinary schools. The combined residency/graduate program at UCONN boasts one of the most diverse caseloads of any residency program, and our residents/graduate students represent a diverse cultural group, with international students over the years from Mexico, India, Spain, France, Chile, Kenya and Poland. Graduates from the UCONN program hold jobs in the pharmaceutical industry, academia, veterinary medical diagnostic laboratories in the US and abroad.

**Reasons for the Proposed Program/Modification/Discontinuation**

The request is to approve the addition of an Area of Concentration (veterinary anatomic pathology) to an existing graduate Field of Study (Pathobiology) and to recognize the residency/non-thesis Masters program as a three-year Masters program, i.e. distinct from the University's standard two-year Masters degree programs, and distinct from the two-year Masters program in Pathobiology offered through PVS, which is the degree program enrolled in by students who do not have a doctorate in veterinary medicine and are not pursuing anatomic pathology training. This is a program modification that formalizes the academic activities of the combined residency/ graduate program in keeping with the program's curricular content, criteria for certification by the American College of Veterinary Pathologists, and the degree reporting requirements of the Graduate School.

The curriculum of the residency/graduate program has been expanded through the inclusion of graduate courses incorporated into the PVS graduate curriculum that recognize academic accomplishment in the study of veterinary anatomic pathology (i.e. the proposed area of concentration) within the study discipline of Pathobiology. It involves some coursework that is only open to students who have obtained a doctorate in veterinary medicine, or its equivalent, and therefore is particular to the combined program. The ACVP requires 36 months of instruction under the supervision of Diplomates of the ACVP, certified in veterinary anatomic pathology, in order to qualify to sit the Board examination. Students that enroll in this program commit therefore to a 3-year (36-month) course of study concentrating in veterinary anatomic pathology within the PVS Graduate Curriculum. The residency/ Masters curriculum meets the requirements for a non-thesis Masters degree, while affording students the opportunity to pursue the Ph.D., if funds, interest and aptitude allow. However, the University's current standard for Masters programs allows only for a 24-month duration, and the existing Field of Study does not include the proposed area of concentration.

### **Curriculum & Program Outline**

#### **CURRICULUM COMBINED RESIDENCY AND GRADUATE PROGRAM IN PATHOBIOLOGY/ CANR CC MOTION 12-13-07 PASSED SEPTEMBER 21, 2012**

#### **MASTERS OF SCIENCE IN PATHOBIOLOGY AREA OF CONCENTRATION: VETERINARY ANATOMIC PATHOLOGY**

##### **YEAR 1:**

##### **FALL SEMESTER**

- Practicum in Veterinary Anatomic Pathology, PVS 5392 3 credits
- Veterinary Pathology Seminar, PVS 5394 2 credits
- Current Veterinary Pathology Literature, PVS 5094 1 credit
- Veterinary Pathology Lecture Series, PVS 5303 3 credits\*

\* Students receiving a Teaching Assistantship assist with PVS 3100 (Histology) and PVS 2100 (Anatomy & Physiology) and may opt out of PVS 5303 or enroll for less than 3 credits; students not receiving a Teaching Assistantship must take PVS 5303 for 3 credits.

**SPRING SEMESTER:**

- Practicum in Veterinary Anatomic Pathology, PVS 5392 3 credits
- Veterinary Pathology Seminar, PVS 5394 2 credits
- Current Veterinary Pathology Literature, PVS 5094 1 credit
- Principles of Pathobiology, PVS 4300 3 credits
- Veterinary Pathology Lecture Series, PVS 5303 3 credits\*

\* Students receiving a Teaching Assistantship assist with PVS 2100 (Health and Disease Management of Animals) and may opt out of PVS 5303 or enroll for less than 3 credits. Students may petition their Graduate Committee to be exempt from PVS 4300, in which case 3 credits of PVS 5303 is required, or 1 credit of PVS 5303 and 2 credits from PVS 5431, Avian Pathology.

**Summer:**

Pathology Service Duty, May through August†

† Opportunities exist for one-month externships. International students must receive authorization by the University to engage in externships with pathology groups off Storrs campus.

**YEAR 2:****FALL SEMESTER**

- Practicum in Veterinary Anatomic Pathology, PVS 5392 3 credits
- Veterinary Pathology Seminar, PVS 5394 2 credits
- Current Veterinary Pathology Literature, PVS 5094 1 credit
- Veterinary Pathology Lecture Series, PVS 5303 3 credits\*

\* Students receiving a Teaching Assistantship assist with PVS 3100 (Histology) and PVS 2100 (Anatomy & Physiology) and may opt out of PVS 5303 or enroll for less than 3 credits; students not receiving a Teaching Assistantship must take PVS 5303 for 3 credits.

**SPRING SEMESTER:**

- Practicum in Veterinary Anatomic Pathology, PVS 5392 3 credits
- Veterinary Pathology Seminar, PVS 5394 2 credits
- Current Veterinary Pathology Literature, PVS 5094 1 credit
- Veterinary Pathology Lecture Series, PVS 5303 3 credits\*

\* Students receiving a Teaching Assistantship assist with PVS 2100 (Health and Disease Management of Animals) or PVS 4300 (Principles of Pathobiology) and may opt out of PVS 5303 or enroll for less than 3 credits; students not receiving a Teaching Assistantship must take PVS 5303 for 3 credits, or alternatively may take 1 credit of PVS 5303 and at least 2 credits of a PVS elective.

- PVS Grad Elective, e.g. PVS 5431, Avian Pathology (2cr)#  
or PVS 5202, Viral Pathogenesis (2cr)# 1-3 credits  
#May only be taken once for credit

**Summer:**

Pathology Service Duty, May through August†

† Opportunities exist for one-month externships. International students must receive authorization by the University to engage in externships with pathology groups off Storrs campus

**YEAR 3:****FALL SEMESTER**

- Practicum in Veterinary Anatomic Pathology, PVS 5392 3 credits
- Veterinary Pathology Seminar, PVS 5394 2 credits
- Current Veterinary Pathology Literature, PVS 5094 1 credit
- Veterinary Pathology Lecture Series, PVS 5303 3 credits\*

\* Students receiving a Teaching Assistantship assist with PVS 3100 (Histology) and PVS 2100 (Anatomy & Physiology) and may opt out of PVS 5303 or enroll for less than 3 credits; students not receiving a Teaching Assistantship must take PVS 5303 for 3 credits.

**SPRING SEMESTER:**

- Practicum in Veterinary Anatomic Pathology, PVS 5392 3 credits
- Veterinary Pathology Seminar, PVS 5394 2 credits
- Current Veterinary Pathology Literature, PVS 5094 1 credit
- Veterinary Pathology Lecture Series, PVS 5303 3 credits\*

\* Students receiving a Teaching Assistantship assist with PVS 2100 (Health and Disease Management of Animals) or PVS 4300 (Principles of Pathobiology) and may opt out of PVS 5303 or enroll for less than 3 credits; students not receiving a Teaching Assistantship must take PVS 5303 for 3 credits, or alternatively may take 1 credit of PVS 5303 and at least 2 credits of a PVS elective.

- PVS Grad Elective, e.g. PVS 5431, Avian Pathology (2cr)#  
or PVS 5202, Viral Pathogenesis# 1-3 credits  
#May only be taken once for credit

**Summer:**

- Pathology Service Duty, May through August
- Convene Masters Committee (Faculty Pathologists)
- Take Final Examination before end of August
- Leave program end of August qualified to sit the ACVP Board Examination that September

**MINIMUM GRADUATE CREDITS EARNED**

54 graduate credits if self-funded

39 graduate credits if receiving a Teaching Assistantship

[A minimum of 24 graduate credits is needed for the Plan B (non-thesis) Masters]

**MINIMUM TIME OF SUPERVISED PATHOLOGY TRAINING 36 MONTHS†**

‡Meets the ACVP requirement of at least 36 months of supervised training in pathology necessary to sit ACVP certification examination.

**PhD OPTION**

Students who have identified a research question and worked with faculty to establish external funding may transfer to the PhD program after completing the 3 year residency/Masters.

#### TRANSITION TO FULL-TIME PhD STUDENT

##### YEAR 4:

##### FALL SEMESTER

- Take ACVP Board examination in September
- Full-time PhD student – i.e., off the pathology service
- Enter into the research laboratory of the Major Advisor of the PhD
- PVS 5401, Immunobiology 3 credits
- PVS 5632, Vaccines: Mechanisms of Immune Protection 3 credits
- May include graduate coursework from other Departments and dissertation research to be determined by the PhD committee advisors.
- Submit Plan of Study to the Graduate School.

##### SPRING SEMESTER

- Continue as a full-time PhD student under direction of PI and PhD Committee
- PVS 5503, Molecular Approaches to Disease Diagnosis & Prevention 2 credits
- PVS 5502, Evaluation of Diagnostic Test 2 credits
- May include a graduate coursework from other Departments and dissertation research to be determined by the PhD committee advisors.
- Take the General Examination for the PhD degree.

##### YEAR 5:

##### FALL SEMESTER

- Full-Time Doctoral research under direction of PI and PhD Committee
- Complete Dissertation Proposal presentation and examination.
- GRAD 5930

##### SPRING SEMESTER

- Full-Time Doctoral research under direction of PI and PhD Committee
- GRAD 5930

##### YEAR 6:

##### FALL SEMESTER

- Full-Time Doctoral research under direction of PI and PhD Committee
- GRad 5930

##### SPRING SEMESTER

- Complete PhD thesis and Dissertation Defense presentation and examination.
- GRAD 5930

PHD REQUIREMENTS OF THE GRADUATE SCHOOL FULFILLED.

### **Learning Outcomes**

The learning outcomes of this proposed modification are a combination of experiential and didactic education focused on veterinary anatomic pathology, which have been fundamental to the success of this program over the years. At the completion of the combined residency/Masters program, students will be proficient in animal necropsy (autopsy) technique, gross and microscopic pathology, principles of general pathology, and comparative systemic pathology. The proposed area of concentration and recognized program duration documents the learning accomplishments of students in the combined residency/graduate program toward these outcomes.

### **Enrollment & Graduation Projections**

The combined residency/graduate program maintains 4 student enrollments, which are distributed over 3 years. The residency/Masters graduation requirement is 3 years and is fixed. It is projected that 1 or 2 students will complete the residency and non-thesis Masters each year. Those students who go on to pursue the PhD will graduate upon fulfillment of the requirements of the PhD.

### **Financial Resources**

No additional financial resources are required beyond what is already available. Students are funded through a combination of teaching assistantships, funds generated through revenues from the service activities of the Connecticut Veterinary Diagnostic Laboratory (CVMDL), scholarships (e.g. one of our current residents is a University Multicultural Scholar), and private company sponsorships.

### **Facilities//Equipment/Library/Special Resources**

No additional resources are required beyond those already available. The Department of Pathobiology and Veterinary Science has for years housed the State's veterinary diagnostic laboratory, i.e. the Connecticut Veterinary Diagnostic Laboratory (CVMDL). The facilities of the CVMDL have for years provided the space and equipment for the active learning activities of the residency to take place. The case material submitted to the CVMDL by veterinarians and animal health specialists from the State, the New England region, and the nation comprise the content of active learning experiences for the residency program (similar to residency programs in human medicine).

### **Program Administration**

The program is administered through the Graduate Program of the Department of Pathobiology and Veterinary Science, by the activities of its graduate faculty. Program administration is



accomplished by joint effort of the Coordinator of Residency Program in Veterinary Anatomic Pathology, i.e. Salvatore Frasca Jr., VMD, PhD, Diplomate ACVP, and the Coordinator of the Department's Graduate Program, Guillermo R. Risatti, DVM, PhD.

**Faculty**

The graduate faculty members of the Department of Pathobiology and Veterinary Science contribute to the education of the students in the combined residency/ graduate program, with the most notable efforts being made by faculty who are veterinary anatomic pathologists, e.g. Frasca, Kerr, Smyth, Palanisamy, or poultry pathologists, e.g. Khan.

**Similar Programs in Connecticut or Region**

Residency programs in veterinary anatomic pathology are maintained at the veterinary schools at Cornell University (Ithaca, New York), University of Pennsylvania (Philadelphia, Pennsylvania), and Tufts University Cummings School of Veterinary Medicine (Grafton, Massachusetts), and by Harvard Medical School at the New England Regional Primate Research Center (Southborough, Massachusetts).

## **ATTACHMENT 8**



University of Connecticut  
*Office of the Provost*

Mun Y. Choi, Ph.D.  
*Provost & Executive Vice  
President for Academic Affairs*

February 27, 2013

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost  
RE: Digital Media and Design Majors in the School of Fine Arts

RECOMMENDATION:

That the Board of Trustees approve the Digital Media and Design Bachelors of Arts and Bachelors of Fine Arts in the School of Fine Arts.

BACKGROUND:

The University of Connecticut seeks to expand its national leadership role in the field of Digital Media & Design through an active agenda of education, experiential learning and research. In furtherance of this goal, at its August 8, 2012 meeting, the Board of Trustees approved the creation of a Department of Digital Media and Design within the School of Fine Arts. The new department has developed two degree programs, a Bachelor of Arts, and a Bachelor of Fine Arts, to educate students in this important field.

The Digital Media and Design curriculum will emphasize digital media as a tool of analysis and communication, the innovative technologies that give voice to digital media for personal, creative and commercial communications, and digital design as a multi-dimensional career path in: Entertainment and Art, STEM (Science, Technology, Engineering and Mathematics), Business, and the Digital Humanities/Digital Social Sciences. Undergraduate degrees in Digital Media and Design may take one of two forms: A Bachelor of Arts (BA), or a Bachelor of Fine Arts (BFA). Both of these degrees have the same fundamental goal: to provide a foundational understanding of and facility with the spectrum of Digital Media and Design skills and applications. Their core requirements are virtually identical, the difference between the two degrees being that the BFA contains an additional requirement of familiarity with "making disciplines" across the Fine Arts, notably Art and Drama, that is not required for the BA. The BA curriculum allows for more flexibility for a student to incorporate individual concentration design, including courses offered in the College of Liberal Arts and Sciences, or the Schools of Engineering or Business.

*An Equal Opportunity Employer*

Gulley Hall  
352 Mansfield Road Unit 1086  
Storrs, Connecticut 06269-1086

Telephone: (860) 486-4037  
Facsimile: (860) 486-6379  
e-mail: mun.choi@uconn.edu  
web: www.uconn.edu

# **Request for New/Modified UConn Academic Degree Program or Name Change**

## **General Information**

Name of proposed academic degree program (If solely a Name Change, indicate old and new names):

**Digital Media & Design**

Name of sponsoring Department(s):

**Digital Media & Design Department**

Name of sponsoring School(s) and/or College(s):

**School of Fine Arts, School of Business**

Campuses (Storrs and/or regional[s]) proposed to offer this degree program:

**Storrs & Stamford Campuses**

Contact person and contact details:

**Tim Hunter**

**School of Business, Rm. 354**

**2100 Hillside Road, Unit 1041**

**860-486-4844**

**Tim.hunter@uconn.edu**

Type of Proposal (New/Modified/Name Change/Discontinuation):

**New**

Type of Program (B.A./B.S./M.S./Ph.D./Certificate, ETC):

**BA/BFA**

Anticipated Initiation Date:

**August 2013**

Anticipated Date of First Graduation:

**May 2017**

CIP Code: **50.0102**

DHE Code (if available):

## **Submittal Information**

Name of Department Head(s): **Tim Hunter**

Department(s): **Digital Media & Design**

Signature of Department Head(s):

Date:

Name of Dean: **Brid Grant**

School/College: **Fine Arts**

Signature of Dean:

Date:

Name of Document Recipient in Provost's Office:

Date:

Digital Media & Design Department Meeting Minutes

November 5, 2012

6:30 – 8:45pm

**In attendance:** Mike Vertefeuille, Andrew Bacon, Randall Hoyt, Edwin Yegir, Mark Zurolo, Perry Harovas, Stacy Webb, Tim Hunter. **Via phone:** Samantha Olschan & Matthew Worwood

**Objective:** Full faculty and staff review and approval of Digital Media & Design 1000 – 2000 level courses, Plan of Study and Undergraduate Catalog Copy for submission to School of Fine Arts Courses and Curriculum Committee.

**Opening:** Tim opened the meeting with a discussion on offering both a B.F.A degree in Digital Media & Design and also a B.A degree in Digital Media. Explanation of the difference in each degree program is that the B.F.A degree will be more art focused with high level of concentration in design and process, while the B.A. degree will have a Digital Media foundation - students will be required to take a minimum of 36 DMD credits and then would be able to branch out into other departments of interest, i.e. Marketing, Communications, Engineering, etc. as long as the classes taken are relevant to Digital Media.

**\*\*There was unanimous agreement that both degrees should be offered\*\***

**Undergraduate Catalog Copy:** Faculty and staff reviewed all aspects of the proposed catalog copy. After careful review and small modifications, the copy was well received, approved and we moved on.

**Foundation Courses:** Faculty discussed the need to cross-list Art 1020, Art 1030, and Art 2110 as foundational courses for all DMD majors. Most faculty agreed that these courses should remain as part of the Digital Media foundation and we will work with Art to gain approval to cross-list the courses.

**Plan of Study:** Small revisions were made to the Plan of Study based on comments brought forth during catalog review.

**CAR forms:**

- There was a detailed discussion on cross-listing courses with the Art Department. The argument was made that we should get our courses approved through the system first and offer the opportunity to cross-list at a later time. We do not want the process to delay the mission to get our curriculum approved. Although there was plenty of discussion and some opposition to waiting, a final decision was made to move forward without cross-listing. Many modifications need to be made to wording within the forms to prioritize DMD students.

- Discussion also took place regarding student capacity for 1000 level courses. There is opposition to having 24 students in a foundation course. Tim agreed to lower capacity to 20 for DMD 1000, but we will keep DMD 1030 at 24 students per section. All 2000 and higher level courses will be capped at 16 students per section.

**Motion to Approve:** At 8:40pm motion was brought forth by Mike Vertefeuille to approve the 1000-2000 level Digital Media courses. The motion was seconded by Randall Hoyt and all in attendance approved the motion to submit the courses to the SFA committee.

**Background & Description****Introduction**

The Digital Media Alliance of Florida defines digital media as: *"The creative convergence of digital arts, science, technology and business for human expression, communication, social interaction and education."*

The ever-accelerating growth and unique convergence of various digital imaging disciplines has resulted in a major shift in how media is now conceived, produced, delivered, and consumed. The evolution of digital media as a communications and visualization tool in the arts, business, engineering, and the sciences possesses constantly extending borders; it is now distinguishing itself as an important ally in a dynamic and fluid world that is striving to connect with an economically powerful commercial base.

Acknowledging both the tremendous growth in digital imaging as a communications tool and the rapid speed of innovation within digital technology, digital media has emerged as a thriving new sector of creative exploration and employment. A study released to MEDIA WEEK on August 5, 2009 by Arbitron<sup>1</sup> states that, about 155 million people in the United States, (67% of persons 18 or older) had seen a digital video display in the past month at one or more of 17 types of public venues<sup>2</sup>. Of that total, 76% recalled seeing digital video at multiple venues which include film, television, internet, video games, digital out-of-home advertising, public spaces and art installations produced by commercial entities whose primary intent was to deliver a message, tell a story, promote an idea, trigger a call to action or simply to entertain. Additionally, there are currently:

- 1 billion Facebook users
- 604 million mobile users
- More than 42 million web pages and 9 million apps
- Youtube records 800 million users with 4 billion views per day
- Twitter has 500 million total users
- Google has 400 million registered users with 135 million active daily

"A picture is worth a thousand words" could be the calling card for digital media with animated 2D and 3D media emerging as the preferred presentation/communication/visualization tool for almost every vertical of business today. Through joint involvement with the commercial community, the Digital Media program at UConn is positioned to build collaborations with public and private end-users throughout the country that will provide opportunities to our

---

<sup>1</sup> <http://www.arbitron.com>

<sup>2</sup> <http://www.highbeam.com/doc/1P1-168721949.html>

university research community, funding sources to support the growth of the Digital Media program, and open the door to important economic development opportunities to the state of Connecticut.

In Connecticut, from August 2010 – July 2012 Career Builder's Supply & Demand Portal reports 17,166 workers employed in the digital space (creative, business, technology, science) with 736 active candidates seeking positions. At the same time, Career Builder reports 2,501 Job Postings (available positions in Connecticut) being advertised during that same time period. Clearly, there are more openings in the digital space than there are qualified candidates to fill these positions.

In recognition of the emergence of this important new field of endeavor, in 2010, the University of Connecticut Board of Trustees approved the creation of a Digital Media Center, to facilitate research, continuing education, and outreach to the state and nation. In 2012, The Board of Trustees approved the creation of a new Digital Media & Design (DMD) academic department to educate students in this new technology. This proposal requests that the Board of Trustees approve undergraduate degree program majors to be offered by the newly-formed DMD Department.

The Digital Media Center, and the Digital Media & Design Department, together will be the University of Connecticut's point of convergence for investigation and discovery in four areas of research and academic content:

- Creative (Ideation, design, development and production)
- STEM (Science, Technology, Engineering, and Mathematics)
- Business (Marketing, Branding, Advertising, ePublishing, and Entrepreneurship)
- Digital Humanities and Digital Social Sciences (Visualization of information and knowledge)

serving to establish UConn as a State and national leader in this evolving industry. Students graduating in the DMD majors will help fill a huge national employment demand in the digital media space, which is also an important and growing industry in Connecticut.

### **Description**

With this new major, the University of Connecticut seeks to expand its national leadership role in the field of Digital Media & Design (DMD) through an active agenda of education, experiential learning and research. The DMD curriculum will emphasize digital media as a tool of analysis and communication, the innovative technologies that give voice to digital media for personal, creative & commercial communications, and digital design as a multi-dimensional career path in: Entertainment & Art, STEM (Science, Technology, Engineering and Mathematics), Business, and the Digital Humanities/Digital Social Sciences. By establishing the Department of Digital Media & Design (DMD) at the Storrs and Stamford Campuses, the University of Connecticut has enhanced its ability to accomplish these priorities. The DMD cutting-edge major will meet the

needs of students, as well as the community of regional and national corporations who are actively seeking highly accomplished employees in the digital space.

The DMD Department's Digital Media & Design major, while housed in the School of Fine Arts (SFA), is trans-disciplinary and draws its instructional capacity (and student body) from faculty composed of a core group in the School of Fine Arts faculty who work collaboratively with colleagues from across the university, to provide a broad education in digital media and design. The new Department's curriculum will leverage some preexisting SFA courses in theory, design, and history with newly created digital media courses. For the DMD to fully realize the potential of digital media – which is a convergence of digital art, science, technology, and business – it will be imperative that the new Department craft collaborations through curricular offerings from across the university.

### **Reasons for the Proposed Program/Modification/Discontinuation**

As explained in the section above, the field of Digital Media is one of the fastest-growing and fundamental areas of human communication and usage in the world today. The areas of science, engineering, medicine, visualization, business, literature, humanities, social sciences, art, entertainment and communications are being transformed by developments in Digital Media at a rapid pace. Because the changes wrought through digital media technology is so new and the demand for digital transformation so acute, there is a huge pent-up need for individuals competently trained to work in the DMD field.

This demand for DMD degree-holders has two aspects: satisfaction of an immense demand from potential employers for appropriately educated individuals, and augmentation, through properly trained practitioners, of the huge on-going technological innovation in this field. Thus, simultaneously with creating a new and exciting area of study for students at the University of Connecticut with attractive employment opportunities upon graduation, the establishment of this DMD degree program will contribute significantly to economic development within the State of Connecticut and the New England/ New York region.

The educational goals of the DMD major are two-fold:

First, DMD will provide the business, entertainment, engineering and science business sectors with highly trained leaders in creative communication, visualization, animation, and digital media ideation and production. The educational programs will train students in a wide variety of skills qualifying them for high and mid-level positions in local, regional, national and international business, entertainment, engineering, bio-medical, pharmaceutical, advertizing, marketing, publishing, communications and digital production companies. Students will learn from, and collaborate with faculty who are leaders in the industry while participating in experiential learning accelerators serving Connecticut and regionally based companies. Through these experiential learning environments, leaders of Connecticut and regionally based industries will work directly with our students and faculty to identify and solve issues relating to digital



media while fulfilling the needs of a commercial and private sector to innovate and evolve new digital media tools, systems and methodologies to be brought to market.

Second, the Department will offer a higher education setting for students, faculty, and the commercial digital community to explore and deliberate broadly about the effect of digital media on society, culture, politics, and commerce. It will be a place to explore cultural and societal responsibility related to digital media aesthetics and content such that these discussions might influence a greater sense of social responsibility for how digital media is ideated, targeted and disseminated.

The DMD Department's co-location in Stamford and Storrs will promote strategic adjacencies placing high achieving students and faculty in close proximity to national and international firms whose engagement with digital media is central to their core business operations. These adjacencies will provide students with the opportunity to work with and learn from leaders of industry who in-turn will be positioned to hire our graduates based on their personal interaction with the students.

The Digital Media Center and DMD Department are already deeply involved in a program of significant community and corporate outreach which will further serve to establish the new Department as the epicenter of digital studies on the east coast while challenging well established programs located on the west coast. Given our east coast location, we will have excellent access to highly accomplished international students from Europe and the Middle East who may view the Boston/Stamford/New York employment opportunities to be superior to west coast entertainment companies. The Departments relationship to CLAS, Business, Engineering, UCHC, Tech Park and Jackson Labs will also attract high achieving national, European, and Asian students interested in visualization in Engineering, and the Bio-sciences. The high quality of the faculty, who are leaders in innovation in the evolving DMD technology, and the cutting – edge nature of the curriculum in this proposed major will enhance the University of Connecticut's ability to recruit exceptionally high-achieving and motivated students, nationally and internationally.

### **Curriculum & Program Outline**

Undergraduate degrees in Digital Media & Design may take one of two forms: A Bachelor of Arts (BA), or a Bachelor of Fine Arts (BFA). Both of these degrees have the same fundamental goal: to provide a foundational understanding of and facility with the spectrum of Digital Media & Design skills and applications. Their core requirements are virtually identical, the difference between the two degrees being that the BFA contains an additional requirement of familiarity with “making disciplines” across the Fine Arts, notably Art and Drama, that is not required for the BA. The BA curriculum allows for more flexibility for a student to incorporate individual concentration design, including courses offered in the College of Liberal Arts and Sciences, or the Schools of Engineering or Business.

The common core courses for both the BA and BFA are Digital Foundation, Animation Lab, Digital Anthropology, Visible Language, participation in an experiential learning Student Agency, and a Drama course, The Director in the Theater.

The common choices for Areas of Concentration for both the BA and BFA are Introduction to Web Design, Introduction to Motion Graphics, and 3D Animation 1.

The complete plans of study for both the BA and BFA degrees are included in Appendix II A and B, respectively.

### **Learning Outcomes**

Within the concentrations of 2D Animation, 3D Animation & Visualization, Digital Game Design, and Interactive Media every student graduating with either a BA or BFA in Digital Media and Design should be able to demonstrate a proficiency in certain skills or knowledge application within the field. These include:

1. Foundational understanding of digital and social media principles and concepts within the Creative, Business, Technology and Humanities/Social Sciences digital spaces;
2. Ability to build a basic web-site;
3. Ability to create a basic interactive experience;
4. Ability to create a basic digital game concept;
5. Ability to create complete works in both 2D and 3D animation systems;
6. Ability to develop a marketing and promotions program for digital & social media;
7. Ability to ideate original concepts within your area of concentration, communicate the principles and structures of that concept in prose and visuals through storyboarding/animations/data presentation, execute a development plan, and produce the artifacts necessary to demonstrate the original concept.

The metrics used to analyze mastery of these expected outcomes within individual courses will be developed by the DMD and allied faculty. The methods of assessment of mastery of these learning outcomes may include achievement of individual project goals, completion of individual or group projects at a level in consonance with the expectations of a faculty member or a non-university entity for which the student(s) has (have) been working, and demonstrated knowledge by ability to create digital artifacts in real-time on tests. Finally, job placement upon graduation will be a relevant metric gauging success of our programs while being useful for program promotion and recruiting.

### **Enrollment & Graduation Projections**

It is anticipated that the Digital Media and Design degree program in Storrs will accept up to 60 freshman annually and, owing to supplemental admissions into the program, graduate 60 annually. It is further anticipated that almost all of these students will graduate in four years. The reason for this somewhat rapid graduation rate (when measured against national graduation rate averages, which can be up to six and even seven years for an undergraduate degree) is the intense employment demands, which make rapid graduation very desirable.

As the Stamford-based Digital Media & Design program comes online, a like number of graduates from the Stamford campus would be possible given an additional investment in faculty, facilities and program promotion.

### **Financial Resources**

The undergraduate degree programs in Digital Media & Design will be fully supported by the so-called "Ledger 2" funds, consisting of General Fund or Student Tuition Fund monies. While future offerings in Digital Media & Design through Certificate programs may be fee-funded, the undergraduate degree program entire budget is based on Ledger 2 monies.

The budget for the DMD Degree Program for Storrs only appears in Appendix III.

Faculty	\$1,327,808.00
Graduate assistance	\$ 213,654.00
Equipment	\$ 38,900.00
Contractual	\$ 69,400.00
Commodities	\$ 17,510.00
TOTAL	<b>\$1,667,272.00</b>

**PLEASE NOTE: the presented budget represents costs for the Storrs Campus Digital Media & Design program only. As the Stamford Campus program comes on line, a budget will need to be developed that builds on the previous investment in the Digital Media Center lab currently located in the Stamford Learning Accelerator.**

### **Facilities//Equipment/Library/Special Resources**

Facilities, technology, and other resources for Digital Media for the Storrs and Stamford program. The digital media program, as the name implies, is heavily dependent on technology for teaching, research and experiential learning opportunities for students. The Digital Media & Design department is spread through a few spaces across the Storrs campus.

Currently at Storrs the faculty and staff have offices in the School of Business, School of Fine Arts, and Bishop Center. There are 3 computer labs, two in the new Bishop Center space and one in the Art Building room 228. The department is building upon its server cluster for video rendering. Lastly, the students have access to a number of video and audio technologies used in a number of classes for projects and experiential learning.

A Digital Media lab also exists at the Stamford campus, housed within the School of Business' Stamford Learning Accelerator.

Computer Labs. It is the eventual goal for the department to require students to come prepared with appropriate laptop technology and be able to access centrally hosted software and virtual services. While the department grows though, we have needed to outfit 3 computer labs at Storrs, as well as one in Stamford. The 3 Storrs labs combined have 35 Apple computers and 17 PC computers. All the machines are at an advanced configuration level due the extreme demands that video and animation software place on them. In addition to the lab machines themselves, the classrooms have high performance video projectors and high definition media servers for use in teaching projection design topics. One of the labs is also outfitted with a Smart board and a color laser printer. The Stamford Digital Media Lab has 19 Apple computer stations, advanced configuration levels, large format video monitor, a Smartboard and laser printer.

Innovation Lab. The new Storrs Bishop Center space also has a small lab for students and faculty to work on cutting edge experiential learning projects. This lab is outfitted with multiple computers, projectors, and plasma screens. It is this space that is used to house a number of the video cameras, audio components, and other items used by the agency classes and other digital projects.

Render Farm. 2D and 3D animation and video compositing rely heavily on high performance computers to “render” video. The creation of images from computer models can be extremely demanding on systems. Our current cluster consists of 15 Dell Server nodes, as well as aging Angstrom rendering farms that were donated from a corporate partner. We have recently added a modular expandable GPU based high performance compute system that will greatly enhance our ability to offer rendering for student projects, research, outreach, and experiential learning. In addition, our labs serve as backup nodes that can be used during off hours.

Via fiber connections, the Stamford Digital Media lab can access the Storrs based render facility for remote render needs in Stamford.

### **Program Administration**

The Digital Media & Design (DMD) BA and BFA majors will be administered through the Department of Digital Media & Design, located within the Schools of Fine Arts and Business. The Department Head, Professor Tim Hunter, and the Digital Media Center’s Administrative Coordinator will be responsible for the academic and operational activities of the department and its majors. The DMD labs and equipment utilization will be supervised by Extension Instructor and Associate Department Head, Michael Vertefeuille.

The department head of the DMD Department will have the responsibility and authority with respect to the following aspects of the program:

- a. All new/modified courses will be approved by the curriculum committee of the DMD department, the Curriculum and Courses Committee of the School of Fine Arts (SFA) and the SFA faculty at large through presentation at the faculty meeting.
- b. Faculty administration and governance including performance evaluation will be under the authority and responsibility of the DMD department head.

- c. All teaching assignments of the program will be the authority and responsibility of the DMD department head.
- d. All academic policies and issues related to the program will be guided by relevant University policies and fully ratified by the DMD department faculty and SFA faculty, where appropriate.

The DMD Department – with assistance and guidance of the Dean of the School of Fine Arts- will be responsible for the following matters relating to the program:

- a. All recruitment initiatives and admission decisions of the program;
- b. Scheduling of all courses and registration of students;
- c. Counseling of students and guiding them with the requirements of the major leading to graduation;
- d. All routine matters relating to student governance (organizing open houses, meeting during open houses, attendance in classes, conduct in the classroom, transfer credits, routine grading issues, payments of fees and any adjustments thereof, work study, etc.)
- e. All internships and placement services;
- f. All promotional and advertising programs and campaigns of the major among business community;
- g. Arrange visits by business professionals to the classes, and visits by students to regional businesses;
- h. Maintain regular contact and liaison with Digital Media businesses and professionals throughout the State of Connecticut and nation, to ensure the relevance of the major to the society, and prepare students for careers in the digital media field.

### **Faculty**

At present, there are four full-time faculty in the Digital Media & Design Department, which also has three adjunct faculty. Since the Digital Media program at the University of Connecticut is truly interdisciplinary program, much of the teaching in the major and research in the field will be accomplished through collaborations with faculty from other departments within the School of Fine Arts, and across the university. At present, we are proposing to offer thirteen (13) joint faculty appointments between other departments within the university, and the DMD department. In addition, four searches for full-time Assistant Professors are presently underway. Further growth in hiring is likely to occur as enrollments in the DMD majors expand in size at Storrs and extends to Stamford.

Appendix IV contains a list of all present faculty members, whether full-time within the DMD department, adjunct or potential joint appointments.

### **Similar Programs in Connecticut or Region**

There are other digital media- focused academic programs being offered in the State. These include:

- Quinnipiac University (BA, MS in Interactive Digital Design)
- Yale University (Concentration in Computing and the Arts & the Digital Media Center) Eastern Connecticut State University (Concentration in Digital Art & Design)
- Sacred Heart University (BA, MA in Digital Communications)
- University of Hartford (BFA in Media Arts, MA in Communications)
- Manchester Community College (AA in Multimedia Studies and Game Design)

While these programs exist, and incorporate various components of what is proposed for this DMD major, there are significant differences across the spectrum of institutions. As noted above, the University of Connecticut Digital Media & Design majors are comprised of a unique combination of applied and theoretical substance and pedagogy in the areas of Creative, STEM, Business, Digital Humanities/Digital Social Sciences, strong outreach components, and are based on on-going state-of-the art research. At UConn, this important blend of learning opportunities are combined with the ability of students to achieve their degree at an affordable price, yet receive a comprehensive state-of-the-art, experiential education.

## **APPENDIX I EMPLOYMENT DEMAND STATISTICS**

*(Following data was compiled for analysis on July 31, 2012)*

As a general note – the following data does not capture all positions available in the digital media sector as they are not as such currently tracked by State or Federal government. The following figures do not include Digital Media

positions in the fields of: Architecture, Biosciences, Engineering, Medical, Pharmaceutical, Internet, and Social Media. Based on a review of the CT Dept. of Labor and Federal Bureau of Labor Statistics websites we estimate that the Internet, Social Media sectors represent about 50% of all employment opportunities (not reflected below); Architecture, Biosciences, Engineering, Medical, and Pharmaceutical represent approximately 8% (also not reflected below) with Film, Television, Marketing & Advertising representing the balance of positions available nationally.

**CAREER BUILDER SUPPLY & DEMAND and COMPENSATION PORTAL:**

Talent Supply & Demand Report. Job Title: DIGITAL MEDIA (State of CONNECTICUT)

Timeframe: August 2010 to July 2012

- 736 candidates actively seeking employment in the digital space
- 2,501 active postings of available jobs in the digital space

Talent Compensation Report, Job Title: DIGITAL MEDIA (State of CONNECTICUT)

Timeframe: August 2010 to July 2012

**Total Compensation Range**

- Average: \$93,728.00 -- \$27.27/hour
  - Percentile:
  - 10<sup>th</sup> = \$43,000.00 -- \$10.73/hour
  - 25<sup>th</sup> = \$52,000.00 -- \$13.47/hour
  - 50<sup>th</sup> = \$75,000.00 -- \$19.45/hour
  - 75<sup>th</sup> = \$120,000.00 -- \$32.62/hour
  - 90<sup>th</sup> = \$165,000.00 -- \$58.28/hour

**\*Career Builder report available upon request.**

**FEDERAL BUREAU OF LABOR STATISTICS:**

<http://www.bls.gov/ooh/arts-and-design/home.htm>

Again, we have not captured all positions available in digital media as they are not tracked as such. We have however pulled down national data for the sectors of “multi-media artists & animators” and “digital graphic designers”:

**Multi-media Artists & Animators:**

- 2010 Median Pay: \$58,510.00
- Entry-level Education: Bachelor’s degree
- 2010 Number of Jobs as Multi-media Artists & Animators: 66,500
- Job Outlook, 2010 – 20: 8% annual growth (Below the national average of 14%) projected to add 4,400 jobs in the next 8 years. *(See attached Multi-media Artists\_and\_Animators.PDF)*

§ *Note: The projects 8% rate of growth in Multi-media Artists is equal to Federal Bureau of Labor Statistics projections for Financial Managers. The high-growth sectors are in Healthcare, Education and Manufacturing.*

Digital Graphic Designers:

- o 2010 Median Pay: \$43,500.00
- o Entry-level Education: Bachelor's degree
- o 2010 Number of Jobs as Multi-media Artists & Animators: 279,200
- o Job Outlook, 2010 – 20: 13% annual growth (About as fast as the national average of 14%)  
projected to add 29,840 jobs in the next 8 years. (See attached *Digital\_Graphic\_Designers.PDF*)

**ESPN JOBS – BRISTOL, CT:** (Google Search: “*Bristol jobs currently available at ESPN – Digital Media*”)

As of January 29, 2013 a quick analysis of positions currently advertised on the ESPN BRISTOL, CT ONLY website yields 37 positions currently available at ESPN in Digital Media.

**APPENDIX II A  
PLANS of STUDY**

PLAN OF STUDY: BFA - Digital Media & Design

SCHOOL OF FINE ARTS

2013-2014 Catalog

BFA in DMD

ATTACH YOUR UNOFFICIAL UCONN TRANSCRIPT

Name \_\_\_\_\_

ID #: \_\_\_\_\_ Email \_\_\_\_\_

Address \_\_\_\_\_ Tele: \_\_\_\_\_

Fulfilling Requirements of 2013-2014 Catalog

Expected Comp. Date (Mo) \_\_\_\_\_ (Yr) \_\_\_\_\_



**STAFF REPORT****ADVISORY COMMITTEE IN ACCREDITATION****GENERAL EDUCATION REQUIREMENTS****Second Language Competency**

exempt \_\_\_\_\_ 04 \_\_\_\_\_  
 \_\_\_\_\_ 04 \_\_\_\_\_

**Writing Competency**

English 1010 or 1011 \_\_\_\_\_ 04 \_\_\_\_\_  
 DRAM 4711W \_\_\_\_\_ 03 \_\_\_\_\_  
 W: \_\_\_\_\_ 03 \_\_\_\_\_

**Quantitative Competency**

Q-Math/Stats \_\_\_\_\_ 3/4 \_\_\_\_\_  
 Q \_\_\_\_\_ 03 \_\_\_\_\_

**Content Area 1: Arts & Humanities**

must be from 2 different departments  
 \_\_\_\_\_ 03 \_\_\_\_\_  
 \_\_\_\_\_ 03 \_\_\_\_\_

**Content Area 2: Social Science**

must be from 2 different departments  
 \_\_\_\_\_ 03 \_\_\_\_\_  
 \_\_\_\_\_ 03 \_\_\_\_\_

**Content Area 3: Science & Technology**

must be from 2 different departments  
 \_\_\_\_\_ 03 \_\_\_\_\_  
 \_\_\_\_\_ (Lab) 04 \_\_\_\_\_

**Content Area 4: Diversity & Multiculturalism**

\_\_\_\_\_ (International) 03 \_\_\_\_\_  
 \_\_\_\_\_ 03 \_\_\_\_\_

One Content Area 4 course may also be used to satisfy one Content Area 1, 2 or 3 requirement

Computer Technology & Information Literacy Competencies will be met by programmatic exit requirements

**ELECTIVES**

\_\_\_\_\_

Degree plan must reflect 120 successfully completed credits (excluding Pass/Fail courses).

**DMD BFA REQUIREMENTS: 79 credits**

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**DMD Common Courses (37 credits):**

DMD 1000 (3) – Digital Foundation  
 DMD 1030 (3) – Animation Lab  
 ART 1030 Drawing I  
 DMD 2010 (3) – Digital Anthropology  
 ART 2110 - Design Process  
 DMD 3050 (3) - Visible Language  
 DMD 3090 (6) - Student Agency (two-semester, 3 cr. each)  
 DMD 4015 (2) - Degree Exhibition (two-semester, 1 cr. each)  
 DMD 4025 (2) - Portfolio & Professional Development  
 DMD 4081 (6) – Internship and/or DMD 4075 (6) Senior Project  
 DRAM 4711W (3) – The Director in the Theatre

**Intro to Area of Concentration, 6 credits from:**

DMD 1070 (3) – Intro Web Design  
 DMD 2200 (3) – Intro Motion Graphics  
 DMD 2300 (3) – 3D Animation I  
 DMD 2500 (3) – Intro Digital Game Design  
 DMD 4240 (3) – Compositing for Visual Effects

**3 credits selected from:**

ART 2010 (3) – Life Drawing  
 ART 2210 (3) - Illustration  
 ART 2410 (3) – Photography  
 ART 2510 (3) - Printmaking  
 ART 2610 (3) - Sculpture

**Area of Concentration - 21 credits of 2000-level or higher courses within area of concentration:** 2D, 3D, Interactive Media Design, Digital Game Design:

\_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_  
 \_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_  
 \_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_  
 \_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_

**Related Group: 12 credits 1000/2000/3000-level courses in related group outside DMD, within:**

Art & Art History, CLAS: Communications, Digital Humanities, Digital Social Sciences, Digital English;  
 School of Business: Marketing and/or Accounting; Sciences; Engineering/Computer Science:

\_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_  
 \_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_

Rev. 12/10/2012

## APPENDIX II B

### PLANS of STUDY

PLAN OF STUDY: Bachelor Degree - Digital Media  
 SCHOOL OF FINE ARTS

2013-2014 Catalog

BA in DMD

UNIVERSITY OF CONNECTICUT 2013-2014 Catalog

**ATTACH YOUR UNOFFICIAL UCONN TRANSCRIPT**

Name \_\_\_\_\_

ID #: \_\_\_\_\_ Email \_\_\_\_\_

Address \_\_\_\_\_ Tele: \_\_\_\_\_

Expected Comp. Date (Mo) \_\_\_\_\_ (Yr) \_\_\_\_\_

**GENERAL EDUCATION REQUIREMENTS**

## STAFF REPORT

## ADVISORY COMMITTEE IN ACCREDITATION

### Second Language Competency

exempt \_\_\_\_\_ 04 \_\_\_\_\_  
\_\_\_\_\_ 04 \_\_\_\_\_

### Writing Competency

English 1010 or 1011 \_\_\_\_\_ 04 \_\_\_\_\_  
DRAM 4711W \_\_\_\_\_ 03 \_\_\_\_\_  
W: \_\_\_\_\_ 03 \_\_\_\_\_

### Quantitative Competency

Q-MATH/STATS \_\_\_\_\_ 3/4 \_\_\_\_\_  
Q \_\_\_\_\_ 03 \_\_\_\_\_

### Content Area 1: Arts & Humanities

must be from 2 different departments  
\_\_\_\_\_ 03 \_\_\_\_\_  
\_\_\_\_\_ 03 \_\_\_\_\_

### Content Area 2: Social Science

must be from 2 different departments  
\_\_\_\_\_ 03 \_\_\_\_\_  
\_\_\_\_\_ 03 \_\_\_\_\_

### Content Area 3: Science & Technology

must be from 2 different departments  
\_\_\_\_\_ 03 \_\_\_\_\_  
\_\_\_\_\_ (Lab) 04 \_\_\_\_\_

### Content Area 4: Diversity & Multiculturalism

\_\_\_\_\_ (International) 03 \_\_\_\_\_  
\_\_\_\_\_ 03 \_\_\_\_\_

One Content Area 4 course may also be used to satisfy one Content Area 1,2 or 3 requirement

Computer Technology & Information Literacy Competencies will be met by programmatic exit requirements

### ELECTIVES

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Degree plan must reflect 120 successfully completed credits (Excluding Pass/Fail courses).

Area of Concentration Elective, 18 credits of 3000/4000-level courses including all necessary prerequisites within an area of concentration: 2D, 3D, Interactive Media Design, Digital Game Design.

\_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_  
\_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_  
\_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_

Related Group: 12 credits 3000/4000-level courses in related group outside DMD: These courses should be related to the students major but need not be in a single department or program. These same courses may be used to satisfy other University requirements if appropriate.

\_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_  
\_\_\_\_\_ (3) \_\_\_\_\_ (3) \_\_\_\_\_

Rev. 12/10/2012

### DMD Common Curriculum BA REQUIREMENTS: 57 credits

#### DMD Common Courses (21 credits):

DMD 1000 (3) – Digital Foundation  
DMD 1030 (3) – Animation Lab  
DMD 2010 (3) – Digital Anthropology  
DMD 3050 (3) – Visible Language  
DMD 3090 (6) - Student Agency (two-semesters, 3cr. ea.)  
DRAM 4711 W (3) – The Director in the Theatre

#### Intro to Area of Concentration, 6 Credits from:

DMD 1070 (3) – Intro Web Design  
DMD 2200 (3) – Intro Motion Graphics  
DMD 2300 (3) – 3D Animation I  
DMD 2500 (3) – Intro Digital Games

### APPENDIX III

#### Digital Media & Design Department Budget for the Majors

**FACULTY LINES (ANNUALLY)**

NAME	POSITION	SALARY	FRINGE (41.9%)	TOTAL
Tim Hunter	Faculty salary	\$190,000.00	\$79,610.00	\$269,610.00
Tim Hunter	Dept. Head salary	\$21,000.00	\$8,799.00	\$29,799.00
Perry Harovas	Faculty salary	\$120,000.00	\$50,280.00	\$170,280.00
Samantha Olschan	Faculty salary	\$95,000.00	\$39,805.00	\$134,805.00
Mike Vertefeuille	Ext. Instructor/IT/AssocDH	\$162,735.00	\$68,185.97	\$230,920.97
Stacy Webb	DMC Admin. Coordinator	\$57,000.00	\$23,883.00	\$80,883.00
			<b>Sub-total:</b>	<b>\$916,297.97</b>

**New Positions Fall 2013 (ANNUALLY)**

Fall 2013	Interactive Media	\$70,000.00	\$29,330.00	\$99,330.00
Fall 2013	Digital Game Design	\$70,000.00	\$29,330.00	\$99,330.00
Fall 2013	Digital History&Anthropoly	\$70,000.00	\$29,330.00	\$99,330.00
Fall 2013	Intro to DM/Marketing	\$80,000.00	\$33,520.00	\$113,520.00
			<b>Sub-total:</b>	<b>\$411,510.00</b>

**Full-Time Graduate Assistantships \*\*Estimated to include: fall, spring and summer semester employment**

2 GA's - Creative Concentration	\$35,609.00 each	\$71,218.00
1 GA's - STEM Concentration	\$35,609.00 each	\$35,609.00
GA's - Business Concentration	\$35,609.00 each	\$71,218.00
1 GA's - Digital Humanities and Digital Social Sciences Concentration	\$35,609.00 each	\$35,609.00
		<b>Sub-total:</b>
		<b>\$213,654.00</b>

**Contractuals**

Advertising	\$5150
Printing & Binding	\$10,000
Dues; Ind, Civic	\$2,060
Freight	\$1,000
Phone - Usage	\$424
Phone - basic 20 lines @ \$41.65	\$858
Phone - One time chg	\$412
Gen. Repairs	\$5,150
Sundries	\$1,030
Nathan Hale Inn	\$3,605
Catering	\$2,060
Fees Outside Professionals	\$10,300
Postage	\$2,060
Educational Software Lic	\$8,240
In State Travel	\$5,150
Out State Travel	\$12,360
Subscriptions	\$412
	Sub-total:
	\$63,391

**Commodities**

Office Supplies	\$3,090
Educational Supplies	\$3,605
Data Proc. Supplies	\$5,150
Promo Supplies	\$2,575
Repair Materials	\$3,090

**Equipment**

Equipment <\$5000 - NO Computers	\$5,000
Equipment <\$5000 - Computers	\$20,000
Equip<\$1000	\$5,000
Computer Software	\$8,000
	<b>Sub-total:</b>
	<b>\$38,000</b>

**Total: \$1,667,272.97**

**APPENDIX III**  
**Digital Media & Design Department Budget for the Majors**  
**(cont.)**

**PLEASE NOTE: the presented budget represents costs for the Storrs Campus Digital Media & Design program only. As the Stamford Campus program comes on line, a budget will need to be developed that builds on the previous investment in the Digital Media Center lab currently located in the Stamford Learning Accelerator.**

**APPENDIX IV**  
**DMD FACULTY**

**Departmental Faculty, Faculty Joint-Appointments (proposed), and Staff**

**Departmental Faculty:**

- Tim Hunter - Department Head & Director, Digital Media Center
- Mike Vertefeuille – Associate Department Head, Extensions Instructor: Technology Systems Integration and Development, Technology & Operations Management
- Samantha Olschan - In-Res appt.: 2D Motion Graphics, Student Agency, History of DM
- Perry Harovas - In-Res appt.: 3D Animation, DM Ideation, DM Production
- Search Underway- Assistant Professor, Game Design & Development
- Search Underway- Assistant Professor, History & Anthropology of Digital Culture
- Search Underway- Assistant Professor, Interaction Design
- Search Underway- Assistant Professor-In-Residence, Digital Graphic Design/Marketing
- Matthew Worwood: Adjunct Faculty, Game Design and Development
- Andrew Bacon: Adjunct Faculty, Web Design
- William Congdon: Adjunct Faculty, Student Agency

**Staff Appointments**

Stacy Webb – Digital Media Center Administrative Coordinator

**Proposed Joint Appointments:**

- Michael Anania - SFA, Department of Dramatic Arts: PhotoShop Design
- Roger Travis - CLAS, Department of LCL: Digital Humanities
- Anke Finger - CLAS, Department of LCL: Media Studies
- Kristine Nowack - CLAS, Department of Communication Science (Head): Computer Media and Human Computer Interaction
- James Watt - CLAS, Professor Emeritus, Department of Communication Sciences: Game Design and Construction
- Mark Hamilton - CLAS, Department of Communication Sciences: Human Communications
- Alex Wang - CLAS (Stamford Campus) - Department of Communication Sciences: Digital Marketing and Perception
- Robin Coulter - Business, Department of Marketing (Head): Marketing
- Susan Spiggle - Business, Department of Marketing: Digital Marketing and Student Agency
- Tom Peters - Engineering, Department of Computer Science: Visualization
- Aggelos Kiayias – Engineering, Department of Computer Science: Visualization
- Horea Ilias - Engineering, Department of Mechanical Engineering: Interactive Technology
- Tim Gifford – CHIP: 3D Visualization

## **ATTACHMENT 9**



University of Connecticut  
*Office of the Provost*

Mun Y. Choi, Ph.D.  
*Provost & Executive Vice  
President for Academic Affairs*

February 27, 2013

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost   
RE: Approval of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees approve the Proposed Changes to the *By-Laws of the University of Connecticut*: footnote to Article XIV.C – Academic Appointment and Tenure.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. Notice was provided at the December 12, 2012, Board of Trustees meeting.

The proposed revision to the University's By-Laws will allow full-time faculty whose employment is shared by the UConn Health Center (UCHC) and the Veteran's Administration (VA) to be eligible for tenure. Currently, only faculty employed full-time by the University is so eligible. This change is expected to enhance the ability to recruit and retain the best possible faculty.

There has been a long standing relationship between UCHC and the VA that has included the sharing of faculty and clinical, research and teaching sites. Faculty members employed by the VA at least 5/8th time are eligible for special research funding not available to full time employees of UCHC. This change will not require additional funding.

For the information of the Board, also attached is the original language of Article XIV.C, with indications of the proposed amendments.

*An Equal Opportunity Employer*

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Facsimile: (860) 486-6379  
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web: www.uconn.edu

The following is a footnote to Article XIV, Section C of the *Bylaws of the University of Connecticut*.

<sup>2</sup> The regulations concerning academic tenure in this and the following sections apply only to members of the professional staff who hold full-time appointments in certain ranks recognized by the Board of Trustees. These recognized ranks include Instructor, Assistant Professor, Associate Professor and Professor, but do not include the following: Lecturer; Professor in Residence; Associate Professor in Residence; Assistant Professor in Residence; Instructor in Residence; Clinical Professor; Associate Clinical Professor; Assistant Clinical Professor; Clinical Instructor; Research Professor; Associate Research Professor; Assistant Research Professor; Research Instructor; Research Associate I, II, III; Research Assistant I, II, III; Research Specialist; Special Research Technician; Graduate Assistant; Extension Professor; Associate Extension Professor; Assistant Extension Professor; Extension Instructor; Specialist I, II, III, IV; Assistant Instructor; University Educational Director; Department Head, Student Affairs; University Educational Assistant I, II, III; University Associate Librarian; University Assistant Librarian; University Librarian I, II, III; University Library Assistant I, II, III; University Library Specialist; University Staff Professional I, II, III, IV, V, VI; University of Connecticut Professional I, II, III, IV, V, VI, VII, VIII, IX, X, XI, XII; University Technician I, II; University Physician; Resident Educational Counselor; and titles that contain the words “University Hospital” (e.g., University Hospital Nurse I). **The one exception to the standard of “full-time appointment” shall be if University of Connecticut Health Center (UCHC) faculty work full-time in total, but are employed in part by UCHC and in part by the Veterans’ Administration Medical Center (VAMC), then they may be granted a tenure track appointment. Both the tenure track appointment and tenure, if awarded, would be co-terminus with the VAMC employment. If the VAMC employment ends, the UCONN tenure also ends. If the Health Center School of Medicine or School of Dental Medicine wishes to hire the faculty member full time, then tenure may be continued. If not, the faculty member will enter the in-residence track.**



## **ATTACHMENT 10**



# University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.  
Executive Vice President for  
Health Affairs  
Dean, School of Medicine

**TO:** Members of the Board of Trustees

**FROM:** Frank Torti, MD, MPH  
Vice President for Health Affairs  
Dean, School of Medicine

**DATE:** February 27, 2013

**RE:** Reports of Endowed Chairs for the period of July 1, 2011 to June 30, 2012

## RECOMMENDATION:

That the Board of Directors approves the Annual Reports for the following Endowed Chairs:

1. Human Genetics
2. Infectious Disease
3. Transfusion Medicine

## BACKGROUND:

The three endowed chairs referenced above were established during the Fiscal Year 1988 to 1989 as authorized by CGS 10a – 20a. Subsection (f) of the statute states the “Board of Trustees shall submit annual reports to the Board of Governors concerning their expenditures.” The reports presented today are for the period of July 1, 2011 to June 30, 2012.

The Board of Directors approved these items on Monday, December 10, 2012.

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263 Farmington Avenue, Mail Code 1920  
Farmington, Connecticut 06030

Telephone: (860) 679-2594  
email: [ftorti@uchc.edu](mailto:ftorti@uchc.edu)



The University of Connecticut  
**FOUNDATION**  
INCORPORATED

# Endowment Report



## Endowed Chair (Fund) Name

PHS/Human Genetics Chair

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Prepared by:

**David W. Rowe, M.D.**

*Director, Center for Regenerative Medicine and Skeletal Development*

*School of Dental Medicine*

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## Executive Summary:

The activities that are supported from the endowment of the PHS/Human Genetics Chair have been used to enhance a cell and molecular research strategy for treating traumatic and genetic disorders of the skeleton, which is conducted by the chair holder and members of the Center of Regenerative Medicine and Skeletal Development. Dr. Rowe is highly committed to enhancing the multidisciplinary research environment within UCHC and certain research and training programs on the Storrs Campus. He has utilized resources from the School of Dental Medicine to obtain instrumentation and technologies that are no longer supported at the institutional level to enable our faculty to remain competitive on the national level. He wants to play an influential role as an advocate for skeletal research and its medical applications as the institution sets its longterm goals within the new academic environment of generated by Bioscience Connecticut and Jackson Laboratories.

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The PHS/Human Genetics Endowment (FRS 6-31992) has an accumulated value of \$2,002,998 and has an available balance of \$276,000. The reduction in available balance from the previous year (\$300,988) is due to remaining expenditures that were described in last year's report. Since last year, all of the revenue from this account (\$87,000) is being placed in the general funds of the Dental School and 24% of my effort is being charged to the chair account. Due to the tightening federal funding situation, it is anticipated that most of the remaining balance will be consumed to maintain the program for at least another year.

## Professional/Research Activities:

The fundamental theme of my research program is understanding the lineage of cells that form the various tissue types of the musculoskeletal system. This lineage arises from a common progenitor cell and is capable of making an amazing variety of mature cell types that are yet to be fully appreciated and certainly not understood in terms of its impact on diseases affecting the skeleton. I will describe two major objectives of my research efforts at the Health Center, specifically *my personal research program* in skeletal repair and gene therapy of heritable disorders of bone (osteogenesis imperfecta, OI) and *the research environment in the Center for Regenerative Medicine and Skeletal Development* for basic skeletal research that has been heavily supported by the chair account.

A. Personal research activity - My primary source of NIH funded research support over the past 10 or 12 years has been the development and application of GFP reporter mice to identify cells at different levels of development within the musculoskeletal lineage. As it relates to bone, we have used these reporters to identify progenitor cells capable of healing a bone fracture, isolating these progenitor cells for use in transplantation to

heal a bone defect that otherwise would not heal, and to characterize the detailed molecular genetic regulation of the cells as they progressed from one state of development to another. We have been particularly successful in using mouse cells for healing defects in mice models. Currently we are trying to translate this knowledge to stem cells derived from human sources, and in the past year significant progress has been made. We can now say with confidence that it is possible to make human bone from human embryonic stem (hES) cells in bone repair defect models of a mouse. The histological criteria for making this statement required making major advances in genetic engineering of hES cells as well as rigorous microscopic imaging techniques. Both aspects represent new advances to the field and I believe that we will be establishing the national standard for the claim of human bone formation. The genetic engineering technology that was implemented (Zn finger nuclease recombination) will provide a means for correcting the underlying genetic mutation that causes OI, a topic that I have had to keep on hold until we mastered working with hES cells in bone repair models. Thus there is some hope that I will eventually be able to unite lessons learned for bone regenerative medicine, hES cells and genetic engineering to develop a viable gene therapy for OI.

The success of this program is due in part to our ability to make customized transgenic mice, generate tissue-specific progenitor cells derived from these mice under very specialized culture conditions, perform microsurgical techniques that can test the ability of these progenitor cells to heal a repair defect model and develop an entirely new histological approach to image the cellular outcomes of these repair experiments. Based on this capability for progenitor cell growth and isolation, histological imaging and analysis, we have examined the ability of the musculoskeletal lineage to generate fat cells, cells that form the joint surface from the knee and the temporal mandibular joint (TMJ) and most recently cells that form tendons. The TMJ studies are being developed by a graduate student (Dr. Achint Ultreja) and junior faculty member (Dr. Sumit Yadav) from the Department of Orthodontics. The tendon direction is the result of two gifted postdoctoral fellows (Dr. Nat Dymant, PhD in biomechanical engineering and Dr. Yusuke Hagiwara, orthopedic hand surgeon) who have teamed up to develop microsurgical tendon repair models in mice that previously were thought to be too small for tendon based research.

I currently have active research funding from one R01 grant for their bone and bone repair, the DOD award that is focused primarily on human bone cell progenitors and a new small grant from Amgen studying the mechanism of a drug on fracture healing. I participate as co-investigator on a number of tissue engineering grants for which my laboratory provides progenitor cells, repair models and histological evaluation. To date I have not been successful in developing funding for the joint surface cartilage project, and until I am successful in that area, some of the resources of the chair account will be directed to obtain sufficient experimental data for a successful grant application. During the past year this activity resulted in 7 peer publications and 14 meeting abstracts for either posters or oral presentations.

B. The skeletal biology research environment – Until this year, the chair account gave me the freedom to obtain instrumentation that allows us to develop new methods for studying and understanding the musculoskeletal lineage from an entirely new perspective. A follow up on the examples cited last year follows, plus how I have been able to continue to program from fund provided by the School of Dental Medicine.

1. *Low oxygen cell culture* - Increasingly the cell biology field appreciates that the current method of growing cells in ambient air is antithetical to the growth conditions in the intact animal. Whereas oxygen constitutes 20% of the atmospheric air, it is closer to 5% in the intact animal and in some tissues approaches 1-2%. The high oxygen levels induce metabolic stress and cellular adaptation that are not physiological and damages DNA or prevents full cell development. The low oxygen cell culture platform that was assembled in 2010 is used by individuals in our research group for growing human ES and iPS cells, mouse cartilage and tendon cells and bone progenitor cells. The system is available to other investigators at the Health Center (it is the only one at our institution for mammalian cells) and members of Dr. Augustus Mazzocca's laboratory in the Department of Orthopedics are beginning to use it. The culture environment is proving to be essential for studying the cartilage, tendon and bone progenitor cells, and its value for manipulating embryonic stem cells is

now fully appreciated.

2. *Centralized fluorescence microscope imaging* - To interpret any skeletal tissue engineering repair experiment, a new microscope histology had to be developed that preserves the fluorescence signals of the GFP reporter cells in mineralized bone tissue. The Mirax Midi microscope platform, described in the previous reports, has been transformational in how we image and interpreted tissue repair. We were fortunate to work with a consultant from the Zeiss microscope company to build custom computer routines that highly automated the imaging and file handling capabilities. For example, a single imaging routine can result in a 0.5 Gb file composed of multiple smaller image files which without the automation would be unworkable as a routine application. This capability is now being provided to the DOD grant for studying human bone cell progenitors (myself, Dr. Alexander Lichtler, Dr. Cato Laurencin, Dr. David Goldhamer at UConn Storrs), by members of the tissue engineering community (Dr. Lakshmi Nair, DOD; Dr. Jay Lieberman, R01; Dr. Mei Wei at UConn Storrs, NSF and R21) and most recently Dr. Frank Nichols (R01 grant studying inflammatory prostanooids and periodontal disease). We have utilized this platform in collaborative experiments with tissue engineers at the University of Cincinnati (Dr. David Butler) and the New Jersey Institute of Technology (Dr. Treena Azinzeh), Dr. Robert Guldborg (Georgia Tech), Dr. Nichole Ehrhart (Colorado State), Dr. Cheryl Ackert-Bicknell (Jackson Labs) and Dr. David Key (Amgen). As we gain experience providing and interpreting these histological services, we are building a reputation for understanding and assembling the most effective strategies for skeletal repair. A number of outside investigators have send technicians to the laboratory to learn our histological and imaging methodologies.

3. *Computer cluster* - The fluorescent images that we generate are amenable to computer-based image analysis to provide an observer-independent computer-driven mathematical assessment of a visual image. This is been a collaborative project with Dr. Doug-Guk Shin in the Department of Computer Science at Storrs and a junior faculty member, Dr. Seung-Hyun (Sean) Hong, who is skilled in image analysis. This is a computer intensive undertaking and the acquisition of a computer cluster in part through Chair funds has been a major development. The cluster is now in full operation and has been used by others within the Health Center (Dr. Lieberman) for assessing the extent of a tissue repair. The capability demonstrated by this project was the basis of the request for a faculty position in biological image analysis as part of the joint hiring initiative between Storrs and the School of Dental Medicine.

4. *Two photon microscopy* - Another powerful use of fluorescence that is preserved in our histology method, is in the ability to image deep into opaque mineralized tissues using a technique called two-photon microscopy (see previous report). Members of our regeneration group are utilizing the instrument extensively by following skeletal repair in living animals or imaging complex tendon structures within the knee joint. This project has progressed because a mechanical engineering graduate student (Mr. Max Villa) and postdoctoral fellow (Dr. Nat Dymant) have the computer background to operate the instrument and handle the resulting 3D image files. They represent a great example of a multidisciplinary research environment enabling new technologies that otherwise would never have happened.

5. *Gene expression studies of purified cell populations* - The genetic activity of a cell is a window into how the cell functions and what it is trying to accomplish. Key to this type of study is the ability to rigorously define what cell population is being studied, and the GFP reporters that our center develops is essential to this process. Until recently the institution provided the technology for performing a gene expression study on these defined cell populations, but that core service was terminated in part due to the inefficiency of the operation. Because it was clear that this service would not survive, the School of Dental Medicine provided equipment funds to obtain instruments that could fill the gap and provide a bridge to commercial services that would be affordable by Center (and institutional) investigators. These instruments are now in place in the Molecular Core, the institutional facility I manage, and will make available to all users at a very affordable cost and flexible workflow.

Thus the chair account that previously provided a technological basis for expanding the sophistication of research questions within our stem cell and gene therapy programs continues with the help from the School of Dental Medicine. There is no other research institution in the nation that has a better capability for this type of

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Endowed Chair Holder Report: David W. Rowe, M.D.

**Chair (Fund) Name:** PHS/Human Genetics Chair

skeletal imaging, image analysis and cell defined gene expression studies, which will become an essential requirement for the interpretation of any pre-clinical gene therapy or cell therapy protocol. Without some avenue of independent funding for these instruments of opportunity, we will have difficulty in maintaining our degree of national competitiveness.

### **Academic Activities:**

Included in this category are activities that I regard as enhancing the general research environment of the Health Center above those that would affect primarily skeletal biology. These activities include:

A. Molecular Core – This resource, which I have directed for almost 20 years, is designed to provide the research base with common reagents used in molecular and cell biology in a convenient lower costs and knowledgeable manner, and with instrumentation that is widely used in many research teams but cannot be afforded by a single laboratory. The facility will be moved to the second floor this fall as part of the L wing renovation.

1. *Financial management of the Core* – Our battles to justify our existence to purchasing seem to be past us. The database that was rewritten by our staff to integrate with Banner and SciQuest is functioning well and we have met all the compliance requirements of the institution. The program manages a revenue income of \$1.2M with over 50K line items to order, deliver and bill. We estimate that last fiscal year we save our investigators about \$250,000 in shipping costs alone. Our research trained staff know the function of the products they sell, and have close contact with the sales and technical representative of the companies we support to solve problems that arise with our user base.

2. *Common use instrumentation* – The instruments that are provided to UCHC users include a fluorescence/luminescent gel imaging station, high throughput qPCR thermocycler and sample analysis, automated DNA and RNA extraction workstation and micro-spectroscopy for nucleic acids quantitation. The two instrument (Biorad Experion and Caliper XT) obtained from Dental School funds last year to prepare samples for the high throughput genomic sequencers are now operational. This year the Dental School provided funds to purchase a microfluidics instrument for automated DNA library construction and an Ion Torrent DNA sequencer for small sample microarray studies. This instrument combination allow the user to develop gene expression studies themselves using the available instruments, or to contract with the molecular core personnel to have it done for them. Once the libraries are constructed and quality checked, the samples are sent to a commercial vendor for a large scale analysis, or done on the Ion Torrent for a small scale analysis.

In addition a new fluorescence-based computer hemocytometer (Cellometer) was obtained with fund through a HCRAC common instrumentation grant competition with the intent to place it in the Core for general use. During the past year we worked with the company to modify the instrument to make it effective in detecting the fluorescent spectrum of GFP reporters widely used by Center investigators. A publication of this work is being prepared.

B. Videoconferencing - The two-way videoconferencing facility that was established on the 7th floor of my Center continues to be heavily used for our interactions with collaborators at Storrs, outside investigators and the 400 Farmington Avenue building. The expertise in video conferencing that exists at UCHC is quite remarkable compared to other universities and we have tried to exploit that advantage in every way possible. See last year's report for details of our activities. With the Center's move to the second floor of the E wing, as part of the L wing renovation, the video conferencing capability will be moved to E2036.

C. Multi-investigator institutional grant applications - This past year I organized two multidisciplinary grant initiatives, none of which were successful, but illustrate my commitment to the general UCHC research environment. They included:

1. *NIH mouse phenotyping grant* – Although we were not successful in the mouse phenotyping (U24) grant (Jackson was), the process did spawn another NIH R01 application in conjunction with an investigator at Jackson (Dr. Cheryl Ackert-Bicknell), Dr. Dong-Guk Shin (Computer Science, UCONN Storrs) and Dr. Douglas

Adams (Orthopedics, UCHC) for skeletal phenotyping. The application scored just below fundability (14%tile), and we are given reason to be optimistic that a resubmission will be successful.

2. *NIH equipment competition to obtain a fluorescence-based laser dissection microscope* – This instrument is an essential tool to the analysis GFP reporters in histological tissues as well as a host of other basic cell/molecular studies. Establishing an institutional core under the leadership of Dr. Joel Pachter using institutional funding is one pathway to obtaining this goal, while submitting a NIH equipment grant is another. The uncertainty of the current Bioscience and Jackson initiatives have placed plans for either route on hold.

3. *Connecticut stem cell initiative* - Another multi-user grant that involved both UCONN Storrs and Center investigators was submitted in response to a specific objective of the CT stem cell initiative to take an application of hES cells to a clinical trial. The grant did not do well and was not even judged on the stated purpose of the grant RFP objective, an outcome that increasingly reflects the non-scientific criteria that is used by the committee review process.

D. Teaching and Mentoring – I continue my long-standing set of basic science lectures to the dental and medical students on heritable disorders of connective tissue and normal and abnormal sexual development. A new lecture directed at incoming Medical and Dental students places human embryonic stem cell research in the context of other major scientific advancement that impacted the practice of medicine and dentistry. An additional two lecture were developed for a graduate school course on stem cell biology and regenerative medicine. I've also taught a number of small lectures in the mini-medical school and the summer students. I have research training responsibilities for one junior faculty member one graduate student in Orthodontics plus two postdoctoral fellows within the Center. I am participating with my old Pediatric Endocrinology group, now located at CCMC), to bring a basic science perspective to their clinical cases, and to provide a research training experience for the clinical fellows in our Center.

E. National Presentations and Activities - I have given invited lectures to the annual meeting of the Orthopedic Research Society (San Francisco, CA), the American Society for Bone and Mineral Research (San Diego, CA), the annual DOD sponsored Advanced Technology Applications for Combat Casualty Care (ATACCC, St. Petersburg, FL), the European Society for Mineralized Tissue Research (Stockholm, Sweden), the Claire Valley Bone Conference (Adelaide, Australia) and the Materials Research Society (Boston, MA). I gave invited seminars at Yale Medical School (laser capture microscopy conference), Columbia Medical/Dental School, Einstein Montefiore Medical School, Jackson Laboratories in Bar Harbor, UCLA Medical/Dental School (Los Angeles, A), Amgen (Torr Pines, CA) and Smith and Nephew (Durham, NC.) I am a permanent member of the mechanical and tissue engineering (MTE) study section, participate in the skeletal biology review committee for the Shriners Hospitals for Children (Tampa, FL), and an external reviewer to a large tissue engineering grant to Dr. David Butler at the University of Cincinnati.

### **Administrative Activities:**

Our databases that manage the Molecular Core and our Center's research grant programs now are compliant with the institutional programs of SciQuest and Banner. The research grants management program, which was initially developed for the grant administration staff within the Dental School and now is utilized by eight other departments within the Medical School. Additional refinements have been added to include downloads from HR and travel allowing the entire fiscal management of grant to be viewed in one integrated package. I am particularly proud of this product because no institutional tool exists for this purpose. Our weekly database design and implementation meetings continue to be used as a training platform for new administrative hires because they receive no instruction or qualification in grant management through the HR hiring process.

The largest consumption of administrative time this year has been the planned move of the Center and Molecular Core to the 2<sup>nd</sup> floor L and E buildings, and the design meetings for the reconfiguration of the 7<sup>th</sup> floor. Not only are the research laboratories of 5 investigators involved and as well as the Molecular Core staff, but the computer LAN, server infrastructure and video conferencing facilities will have to be dismantled and then reestablished in the new location, only to be returned to the 7<sup>th</sup> floor 18-24 months later. This on again - off

again exercise has been one of frustration for all concerned and one can only hope that the end result will be worth the faculty/staff time and institutional expense that have and will be consumed.

Within the Dental School I participated in senior administration research related decisions, strategic research planning, faculty evaluations, interviewing of potential recruits for academic positions in Medical and Dental school.

### **Plans for the coming year**

The coming year could prove to be a turning point (good or bad) for my personal research program and the long-term health of the Center for Regenerative Medicine. Four topic areas of focus will consume much of my effort in the coming year.

1. Establish a stronger financial base for my personal research program. The large grants that previously underwrote my programs are ending and I have had difficulty transitioning to new topic areas primarily from grantsmanship issues. I need to return to basics and lead with my strengths rather than propose projects that are too visionary. Specific examples include (a) Resubmit the mouse skeletal phenotype project – it got a good score and the fixes are obvious. (b) Submit grants that focus on OI using the new methods for gene correction in human cells and our ability to perform bone cell transplantation. (c) Try a new tack for the chondrocyte directed grants using new transgenic mice that are just now coming on line. (d) Utilize the new 3 account mechanism (see below) to perform collaborative work with tissue engineers here and outside the institutions for providing histological imaging services.

2. Changes within the Center's physical and organizational structure. The pending move to the second floor is a major disruption to everyone in the center, and the planning process for the return leg will be equally time consuming. Because not all members of the center are moving, there is the danger of loss of cohesiveness and common purpose. In addition, the leadership for skeletal biology, regenerative medicine, tissue engineering at the research and teaching level will be in flux. The departure of Dr. Liebermann from the NEMSI, the role of Dr. Laurencin and his Center for Regenerative Engineering, the increasing influence of the UCONN Storrs in Biomedical Engineering, the directions taken by Jackson Laboratories and priorities chosen to be emphasized in Bioscience Connecticut need to be considered as a group, collective or shared goals better defined and the role of skeletal research clarified within each area as well as the collective whole. I will try to be as helpful as possible to convey the important role that skeletal research and its impact on medicine could have within our institution in the coming years.

3. Innovation within the Molecular Store. Beyond the physical moves, it is necessary to continually modify the mission of the Store to stay relevant to users' needs. Now that our informatics issues are behind us, there are two areas I hope to establish. (a) Support for next generation DNA sequencing for the small user as described above. (b) Develop a computer controlled access room for common equipment supported by the core. We have written a program that will provide central password access to each instrument and allow us to follow and bill for instrument use. The room containing these instruments will be restricted to preapproved institutional key cards. The innovative room and instrument control mechanism should overcome the problem of having our heavily used instruments only available to users during store hours.

4. Centralized imaging services. Last year's report mentioned phase 2 SBIR grant applications to provide our fluorescent imaging technologies to an increasing number of research groups. Because that direction proved to be premature, we have developed an institutional fee for service model. This income "3 account" will allow us to bill for the services provided and will provide a limited source of salary support for selected technicians. More importantly it will give us time to more fully develop the service and reputation that will be necessary for the phase 2 application and the launching of a company based on our imaging and image analysis technology. It will also position our Center in an essential leadership position within the institution as the shape of skeletal research is being formulated. A recent inquiry from the Zeiss Microscope Company would like to use our imaging experience to beta test a new high end microscope they plan to introduce in 2013. We will be asked to make modification to the instrument and the software that controls it and demonstrate the capability of this microscope to outside research laboratories. This opportunity could open many new avenues of investigation.



The University of Connecticut  
Health Center  
Endowed Chair in Human  
Genetics  
6-31992 / 300041

	FY08	FY09 *	FY10	FY11	FY12
<b>Beginning Cash Balance</b>	<b>\$404,312.63</b>	<b>\$482,881.39</b>	<b>\$510,940.53</b>	<b>\$449,017.36</b>	<b>\$287,801.36</b>
<b>Receipts :</b>					
Interest Transferred from DHE:	66,947.45	14,275.52	3,246.01	55,224.00	94,267.00
Interest Transferred from UCONN Foundation:	100,126.38	91,600.62	80,541.68	955.00	(3,437.00)
<b>Total Receipts</b>	<b>167,073.83</b>	<b>105,876.14</b>	<b>83,787.69</b>	<b>56,179.00</b>	<b>90,830.00</b>
<b>Expenditures :</b>					
Salaries and Wages	72,991.08	42,165.10	46,210.82	55,655.00	57,974.16
Fringe Benefits	16,444.74	7,627.00	13,428.69	44,852.00	14,526.52
Purchased Services	120.00	4,193.16	3,541.55	40,672.00	26,257.57
Supplies	-	8,143.94	10,852.51	12,173.00	
Equipment	-	17,320.87	73,587.27	62,118.00	
Change in accruals	(1,050.75)	(1,633.07)	(1,909.98)	1,925.00	426.24
<b>Total Expenditures</b>	<b>88,505.07</b>	<b>77,817.00</b>	<b>145,710.86</b>	<b>217,395.00</b>	<b>99,184.49</b>
<b>Ending Cash Balance</b>	<b>\$482,881.39</b>	<b>\$510,940.53</b>	<b>\$449,017.36</b>	<b>\$287,801.36</b>	<b>\$279,446.87</b>

\* FY12 are not final and may  
change due to year end  
adjustments

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**Department of Higher Education Endowed Chair in Infectious Diseases**

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Prepared by:

Kevin Dieckhaus  
Chief, Division of Infectious Diseases  
Associate Professor of Medicine

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**Executive Summary:**

The Endowed Chair in Infectious Diseases has supported multiple activities and programs within the Division of Infectious Diseases. The chair directly and indirectly supports several initiatives related to enhanced patient care, education, and research. The chair also supports the professional development of faculty who care for patients with a wide variety of infectious diseases and provides education for the future physicians who will be working with patients affected by a wide range of infectious diseases, particularly HIV/AIDS.

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**Professional/Research Activities:**

The chair has continued to support infrastructure for ongoing research and professional activities. Specifically, clinical studies of HIV/AIDS have been focused on improving adherence to HIV antiviral medications (CHaMP), impact of low-impact aerobic exercise in HIV+ substance users (HAPI), and barriers to effective HIV clinical care in Connecticut (ENGAGE-CT). Several of these have led to papers and scientific presentations. Other studies and manuscripts are currently in development.

**Academic Activities:**

The Infectious Diseases Division has continued to be very active in education at all levels including medical school, residency, fellowship, and post-graduate, both locally in Connecticut as well as internationally. The chair has supported HIV-related continuing medical education for physicians in the state to satisfy the newly-required state-mandated Continuing Medical Education requirement in HIV/AIDS. Dr. Dieckhaus works through the New England AIDS Education and Training Center to provide and coordinate on-

going HIV-related education to medical providers in the state. The addition of global health education for medical students, residents and Infectious Diseases fellows has allowed for several new and unique opportunities for our trainees to experience aspects of HIV/AIDS and other infectious diseases that are difficult to acquire locally. These include identification and management of AIDS-related opportunistic infections and other infections associated with resource-limited areas. These sites have also provided opportunity for medical trainees to design and participate in a variety of medical and public health research programs related to infectious diseases. The cultural experience associated with the programs have enhanced students' skills related to cultural sensitivity and 'other awareness' - skills that are increasingly important no matter where one ultimately practices medicine, but have become more important locally with the increasingly complex cultural diversity of Connecticut. One new project supported by the endowed chair in 2012 is the promotion of student research in Infectious Diseases with the initiation of the "ID Research Award" to be given at Medical and Dental School Research Day. The award recognizes superior achievement by a medical or dental student research project. This year it was awarded to Amy Dora, MS-2, for work describing risk factors for poor medical outcomes in HIV-infected children.

#### **Administrative Activities:**

Members of the Division have been instrumental in providing administrative assistance to programs both at the University and the community at large. Dr. Dieckhaus has been chair of the AIDS Task Force which formulates HIV-related policy. With administrative support of the chair, Dr. Dieckhaus has been able to secure funding from the Ryan White care system for HIV-related clinical support at the UConn Health Center. In the last grant year, this has totaled over \$240,000 and has been used to support staff who directly care for HIV positive patients, an HIV adherence support program that provides services to the UConn Health Center's HIV clinic as well as 4 other clinical care sites, and clinical case management for HIV positive patients receiving care at the UCHC. Dr. Dieckhaus is involved in regional planning discussions to determine the allocation of resources for HIV care delivery in Connecticut. Dr. Dieckhaus has been instrumental in the school of medicine in developing policies and new programs related to global health education and community health research, much of which relates directly or indirectly to patients affected by infectious diseases, particularly HIV/AIDS.

The University of Connecticut  
Health Center  
Endowed Chair in Infectious  
Diseases/AIDS Research  
6-31129

	FY08	FY09	FY10	FY11	FY12
<b>Beginning Cash Balance</b>	<b>(\$3,041.85)</b>	<b>\$86,102.64</b>	<b>\$105,670.74</b>	<b>\$101,147.82</b>	<b>\$88,861.82</b>
<b>Receipts :</b>					
Interest Transferred from DHE:	91,767.98	19,568.10	4,449.49	3,852.00	2,342.96
<b>Total Receipts</b>	<b>91,767.98</b>	<b>19,568.10</b>	<b>4,449.49</b>	<b>3,852.00</b>	<b>2,342.96</b>
<b>Expenditures :</b>					
Salaries and Wages	(0.02)	-	937.55	-	-
Fringe Benefits	(182.41)	-	266.27	-	-
Purchased Services	-	-	8,055.88	14,005.00	12,841.00
Supplies	-	-	664.00	617.00	629.00
Equipment	-	-	-	-	-
Change in accruals	2,805.92	-	(951.29)	1,516.00	2,092.10
<b>Total Expenditures</b>	<b>2,623.49</b>	<b>-</b>	<b>8,972.41</b>	<b>16,138.00</b>	<b>15,562.10</b>
<b>Ending Cash Balance</b>	<b>\$86,102.64</b>	<b>\$105,670.74</b>	<b>\$101,147.82</b>	<b>\$88,861.82</b>	<b>\$75,642.68</b>

\* FY12 are not final and may  
change due to year end  
adjustments

University of Connecticut Health Center  
School of Medicine  
Transfusion Medicine Chair  
Annual Report  
2011-2012

The recipient of the PHS/ARC Transfusion Medicine endowed chair for the period July 1, 2011-December 31, 2012 was Dr. Robert Bona who was involved in the following activities:

**Division Chief Hematology-Oncology and Director LEA Center for Hematological Disorders;**

- Responsible for the clinical activities of hematology-oncology as they relate to the department of medicine and the cancer center,
- Directs the teaching activities of the division as they relate to resident and student education,
- Helps mentor faculty in the division in their academic career.
- Directs the LEA program in blood disorders.

**Director Anticoagulation Service (until November 1, 2011);**

- Oversees the anticoagulation therapy of patients served by this service,
- Periodically reviews all records of patients cared for by the service,
- Serves as a consultant to referring physicians and provides advice regarding anti-thrombotic therapy.

**Director Hemophilia Treatment Center;**

- Supervises the care of patients with hemophilia, other bleeding disorders, and thrombotic disorders,
- Reviews transfusion history and factor replacement history of patients and recommends appropriate transfusion therapy,
- Serves as Principle Investigator to the CDC and MCHB funded surveillance project in patients with hemophilia and other bleeding disorders.

**Direct Clinical Activities;**

- Four half day hematology-oncology ambulatory clinics per week including one focusing on bleeding/clotting disorders
- Three months attending on consult/in patient service

**Teaching Activities**

- Program Director for hematology-oncology fellows,
- Teaches in the first, second,
- Academy of Distinguished Educators,

**Other Administrative Responsibilities;**

- Chairperson of the John Dempsey Hospital's Transfusion Committee,
  - Audit transfusions
  - Discuss and implement transfusion policy
  - Help implement quality improvement activities as they relate to transfusion medicine
  - Reviews all transfusion related reactions
- Member of the Following Committees,
  - Human Systems Implementation
  - Department of Medicine Promotions
  - Curriculum Undergraduate Medical Education
  - Institutional Review Board
  - Academic Advancement Committee

**Publications.**

1. Lori-Ann Linkins, Antonio Dans, Lisa Moores, Robert Bona, Bruce Davidson, Sam Schulman, Mark Crowther. Treatment and Prevention of Heparin-Induced Thrombocytopenia: American College of Chest Physicians Evidence-Based Clinical Practice Guideline (9<sup>th</sup> Edition) 2012. Chest, in press.

**Research**

1. Heat Shock Proteins and Platelet Aggregation - project ongoing.
2. Dalteparin Injection, Multicenter, Open label, Single arm, Long term Study for Understanding Safety and Efficacy in Subjects with Malignancies and Symptomatic Venous Thromboembolism-closed to new accrual.
3. Transient Bacteremia and Blood Stream Infections After Invasive Dental Procedures in Cancer Patients with Central Venous Catheters.

The University of Connecticut Health  
Center  
Endowed Chair in Transfusion  
Medicine  
6-31686 / 300037

	FY08	FY09	FY10	FY11	FY12
<b>Beginning Cash Balance</b>	<b>\$193,584.16</b>	<b>\$288,379.66</b>	<b>\$344,900.45</b>	<b>\$379,713.59</b>	<b>\$359,599.59</b>
<b>Receipts :</b>					
Interest Transferred from UCONN Foundation:	45,881.97	9,783.65	2,224.63	1,256.00	501.06
Interest Transferred from DHE:	54,496.92	49,856.52	43,837.32	30,057.00	48,309.03
<b>Total Receipts</b>	<b>100,378.89</b>	<b>59,640.17</b>	<b>46,061.95</b>	<b>31,313.00</b>	<b>48,810.09</b>
<b>Expenditures :</b>					
Salaries and Wages	15,351.40	1,080.36	9,630.31	3,562.00	46,876.55
Fringe Benefits	3,654.04	357.26	2,730.30	935.00	12,318.50
Purchased Services	1,623.53	800.00	300.00	1,591.00	3,400.00
Supplies	-	366.07	-	11,605.00	342.52
Equipment	-	-	-	32,045.00	
Change in accruals	4,386.62	515.69	(1,411.80)	1,689.00	
<b>Total Expenditures</b>	<b>25,015.59</b>	<b>3,119.38</b>	<b>11,248.81</b>	<b>51,427.00</b>	<b>62,937.57</b>
<b>Ending Cash Balance</b>	<b>\$288,379.66</b>	<b>\$344,900.45</b>	<b>\$379,713.59</b>	<b>\$359,599.59</b>	<b>\$345,472.11</b>

\* FY12 are not final and may change due to year end adjustments

## **ATTACHMENT 11**

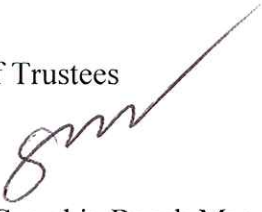




University of Connecticut  
*Office of the President*

Susan Herbst  
*President*

February 27, 2013

TO: Members of the Board of Trustees  
FROM: Susan Herbst, President   
RE: Members on the Louise Crombie Beach Memorial Foundation

RECOMMENDATION:

That the individuals listed below be appointed to serve as members of the Louise Crombie Beach Memorial Foundation. Brid Grant, Dean of the School of Fine Arts, has recommended the appointment of the following for three-year terms effective immediately:

Dr. Alexis L. Boylan, Assistant Professor, Art and Art History

Dr. Anne D'Alleva, Associate Professor and Interim Department Head,  
Art and Art History

Dr. Robinson A. Grover, Associate Professor Emeritus, Philosophy

Dr. Michael S. Young, University Librarian, Art and Design Library

BACKGROUND:

In 1933, a bequest of former University President Charles Lewis Beach created "a permanent, perpetual collection of painting, pictures, engravings, statuary and other works of art, to be known and designated as the Louise Crombie Beach Memorial Collection" at the University of Connecticut.

Members of the Beach Memorial Foundation meet from time to time to approve acquisitions for the Beach Collection from an endowment for this purpose. As member vacancies arise, the University recommends individuals to the Board of Trustees for approval.

*An Equal Opportunity Employer*

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## **ATTACHMENT 12**



University of Connecticut  
*Office of the President*

Susan Herbst  
*President*

February 27, 2013

TO: Members of the Board of Trustees  
FROM: Susan Herbst, President  
RE: Naming Recommendation for the Richard and Jane Lublin Waiting Room

RECOMMENDATION:

That the Board of Trustees approves naming the new Cancer Center Waiting Room in the Ambulatory Care Complex (ACC) at the UConn Health Center in honor of Richard and Jane Lublin.

BACKGROUND:

Richard and Jane Lublin are generous donors to the University of Connecticut Health Center with a passion for ensuring that the UConn Health Center remains at the forefront of cancer treatment and research. The Lublins have pledged a \$1M planned gift to the Neag Cancer Center and have underwritten the White Coat Gala at the level of \$50,000 annually for the last four years. Their most recent pledge of \$250,000 is the first philanthropic gift in support of Bioscience Connecticut initiatives.

The Carole and Ray Neag Comprehensive Cancer Center, which currently has services spread out in the main hospital, will be centralized in the new Ambulatory Care Complex (ACC). The Cancer Center will occupy the entire fourth floor and include its own waiting area(s). The waiting area will be approximately 1,500 square feet on the fourth floor of the new ACC and will provide a welcoming environment for patients and their loved ones during their fight against cancer.

In recognition of the Lublins' generosity and commitment, we are proposing to name the new waiting area in honor of Richard and Jane Lublin.

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## **ATTACHMENT 13**



University of Connecticut  
*Office of the Provost*

Mun Y. Choi, Ph.D.  
*Provost & Executive Vice  
President for Academic Affairs*

February 27, 2013

TO: Members of the Board of Trustees  
FROM: Mun Choi, Provost  
RE: Notification of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut*: Article XIV. C.4 – Academic Appointment and Tenure.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. This document represents such notice and the resolution calling for the described amendments should be acted upon at the next meeting of the Board.

The proposed amendment to the University's By-Laws will align the University By-Laws with the School of Medicine By-Laws. It will allow University faculty of the School of Medicine to request an increase in the probationary period to *both* promotion and tenure, rather than to tenure *only* and thus, ensure the continual synchronization of the promotion and tenure clocks for tenure track faculty.

Following approval by the faculty of the School of Medicine and the Academic Affairs Subcommittee of the Board of Directors of the University of Connecticut Health Center, the Health Center Board of Directors voted on December 10, 2012 to approve an amendment to the School of Medicine By-Laws to allow for this synchronization in the promotion and tenure clocks for tenure track faculty.

For the information of the Board, also attached is the original language of Article XIV.C.4, with indications of the proposed amendments.

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Following is Article XIV.C.4 of the *Bylaws of the University of Connecticut*.

For faculty with primary appointments in the School of Medicine, significant life events may be allowed to increase the probationary period leading to promotion or tenure for a maximum of twenty-four months. An increase in the probationary period leading to promotion and/or tenure is automatically awarded when the faculty member takes leave documented and approved under the Federal Family Medical Leave Act, the State of Connecticut Family Medical Leave Act, or the State of Connecticut Workers' Compensation Act. Alternatively, the faculty member may request an increase in the probationary period to promotion and/or tenure ~~(but not to promotion)~~ even when the above criteria are not met, but when the faculty member's productivity is affected by a significant life event. Such requests must be approved by the Department Chair and the ~~dean~~ Dean or his/her designee per the School of Medicine Bylaws.

## **ATTACHMENT 14**



University of Connecticut  
*Office of the Executive Vice President  
for Administration and Chief Financial Officer*

February 27, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2014 Institutional Fees for the University of Connecticut, Storrs  
and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a schedule of Institutional Fees for Fiscal Year 2014 comprised of adjustments to the following fees: Infrastructure Maintenance Fee, Technology Fee, Online Fee, and Program Specific Fees (Law and Nursing).

BACKGROUND:

The University has an established student fee review process that categorizes the fees as follows: (1.) Institutional Fees, which require central administrative approval, such as Tuition, Room, Board, Infrastructure Maintenance Fee and self-supporting programs; (2.) Academic Materials Fees, which are for consumables and instructional materials that are specific to a particular course or major; and (3.) Student Fees, which include the General University Fee and student activity and service fees. Senior Management charged permanent committees with promoting a fee structure for the academic and student fees that supports excellence, provides comprehensive procedures, and is uniform without sacrificing efficiency and flexibility. These Institutional Fees are proposed by the University leadership.

Beginning with the Fall 2013 semester, the Student Union Building Fee will no longer be charged to undergraduate and graduate students on the Storrs Campus. The revenue received from the Student Union Building Fee, since its implementation in Fall 2003, will pay off the debt by June 2013. Therefore, it is not appropriate to continue charging students this fee.

*An Equal Opportunity Employer*

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Facsimile: (860) 486-1070



In addition, with the recent realignment of programs, integration and closing of the Center for Continuing Studies, the titles of fees recorded on student fee bills will need to be changed as they are no longer accurate. These changes in the titles only will affect such fees as CCS Course Fees, CCS University Fees, and CCS Continuous Registration Fees. The fee rates that students are charged will not change. The new titles will be more specific to the program such as Dietetic Internship Course Fee or Ex Leadership Course Fee or CT Writing Proj Program Fee, etc.

See attached schedule for summary of recommended rate adjustments.

# University of Connecticut (Storrs & Regionals)

## Summary of Recommended Institutional Fees

Fiscal Years 2013-2014

Institutional fees require central administrative review and approval. The budgets for the operations are based upon what it takes to run the operation (including payment of debt service). These increases are proposed by the University leadership.

	<b>FY 2013</b>	<b>FY 2014 Proposed</b>	<b>% Increase</b>
<b>Annual Rates</b>			
Infrastructure Maintenance Fee	\$454	\$468	3.1%
<b>Per Semester</b>			
Technology Fee-Undergraduate	\$120	\$150	25.0%
Technology Fee-Graduate	80	150	87.5%
Technology Fee-Summer/Intersession and Non-degree	25	30	20.0%
Student Union Building Fee	13	0	-100.0%
<b>Per Credit</b>			
Law School LLM-Insurance	\$1,113	\$1,135	2.0%
Law School LLM-U.S. Legal Studies	1,003	1,053	5.0%
Online Course Fee-Undergraduate (summer and intersession only) and Graduate/Non-degree all sessions	0	20	100.0%
<b>Per Program</b>			
Certificate Entry Into Nursing BS (CEIN_BS)	\$24,750	\$25,965	4.9%

**University of Connecticut (Storrs and Regional Campuses)**  
**Infrastructure Maintenance Fee**  
**Fiscal Years 2013 and 2014**

**I. Proposed action**

**A. Description of fee:**

The revenue generated by the Infrastructure Maintenance Fee (IMF) is used to fund the debt service associated with South Campus and partially fund Operating and Maintenance Costs (O & M) related to UCONN 2000 projects. The FY 2013 current and FY 2014 proposed rate structure are proposed as follows:

FY 2013 Current						
	Undergraduates - Storrs, Regionals and Law School		Graduates and Social Work <sup>(A)</sup>			
Classification	# of Credits	Annual Rate	Graduate Assistant # of Credits	Annual Rate	Non-Grad Assistant # of Credits	Annual Rate
Full-Time	(12 or more)	\$454	(0 - 9)	\$454	(9)	\$454
Half-Time	(6 - 11)	\$228			(5 - 8)	\$228
Less Than Half-Time	(1 - 5)	\$114			(0 - 4)	\$114

FY 2014 Proposed						
	Undergraduates - Storrs, Regionals and Law School		Graduates and Social Work <sup>(A)</sup>			
Classification	# of Credits	Annual Rate	Graduate Assistant # of Credits	Annual Rate	Non-Grad Assistant # of Credits	Annual Rate
Full-Time	(12 or more)	\$468	(0 - 9)	\$468	(9)	\$468
Half-Time	(6 - 11)	\$234			(5 - 8)	\$234
Less Than Half-Time	(1 - 5)	\$118			(0 - 4)	\$118

<sup>(A)</sup> Graduate Assistants are classified as full-time students and are charged the full-time rate. Continuous Registration Students who are registered for GRAD 5998, 5999, 6998 and 6999 are less than half-time students and are therefore charged the less than half-time rate.

**B. Basis for requested revision:**

The requested fee increases will be used to pay for increasing O & M costs related to UCONN 2000. The revenue generated by the fee also pays the annual debt service costs associated with the South Campus Residence Halls. The projected annual debt service costs for FY 2013 and FY 2014 are as follows:

<u>FY 2013</u>	<u>FY 2014</u>
\$1,923,336	\$1,922,342

**C. Students affected:**

All undergraduate, graduate, regional campus, Law, Social Work, and non-degree students will be affected.

**D. Proposed date of implementation:**

The increase would be effective Fall 2013.

**II. Analysis**

**A. Fiscal need for fee:**

Projected Revenue and Expenditures for the Infrastructure Maintenance Fee for Fiscal Years 2013 and 2014:

	FY 2013 (Current)	FY 2014 (Proposed)
Estimated IMF revenue	\$11,700,000	\$12,100,000
Debt Service Payments	1,923,336	1,922,342
Balance Available for O & M	9,776,664	10,177,658
Estimated O & M	13,168,104	14,026,208
O & M Shortfall <sup>(A)</sup>	(\$3,391,440)	(\$3,848,550)

<sup>(A)</sup> Partially funded from tuition revenue and state appropriation.

**B. Effect on other institutional or unit programs if fee is not approved:**

If funding is not available for O & M costs associated with the new buildings, it may not be possible to maintain these buildings adequately.

**C. Comparative student costs (other institutions):**

1. University of Michigan-Ann Arbor  
Infrastructure Maintenance Fee-\$185 per semester/\$370 annually (FY 2013)  
This fee is charged to all students and the revenue funds infrastructure maintenance and renovations of physical assets.
2. Montana State University  
Building Fees-\$324.00 (in-state) and \$412.80 (out-of-state) annually (FY 2013)  
This fee is charged to all students and the revenue generated funds constructing, remodeling and maintaining university buildings.
3. Oklahoma State University  
Academic Facilities Fee \$11.50 per credit, \$172.50 for 15 credits per semester/\$345 annually (FY 2013)  
This fee funds renovations, maintenance and construction of academic facilities. The fee is charged to all students except veterinary medicine.

**University of Connecticut (Storrs, Regional Campuses, and Law School)**  
**University Information Technology Services (UITS)**  
**Student Technology Fee**  
**Fiscal Year 2014**

**I. Proposed action**

**A. Description of fee:**

In order to provide the level of technology support expected by today's students, the following revisions to the Student Technology Fee are proposed. The revised fee will be assessed to all matriculated and certain non-degree, undergraduate, graduate, and Law School students at all campuses (excluding the Health Center) with some exceptions noted below. The anticipated additional revenue generated by the proposed rate increase will allow the University to continue expanding licensing agreements to students and to strengthen our commitment to improving and maintaining the University's academic technology infrastructure. This revised fee specifically provides funding for:

- Inclusion of the Law School Technology Fee which previously had been grandfathered as part of the Academic Materials Fee process;
- Equipment upgrades, replacement, and repair for high tech classrooms including bringing currently unsupported classrooms on-line; and
- Inclusion of University-wide student software site licenses.

**B. Description of recommended revision:**

The University instituted a Student Technology Fee beginning in fall 2012 that supports student information technology and media needs. This fee currently funds technology used by students in the libraries, virtual desktop technology, wireless networking, and the campus agreement for Microsoft Office Suite with upgrades. Not included in the original proposal were high tech classrooms and software recently requested by students such as Mac operating systems and Adobe.

**C. Basis of requested revision:**

The University Information Technology Services Division is proposing a revision to the current fee beginning in fall 2013. In the past, there has not been an annual operating budget to support high tech classroom equipment maintenance and replacement needs. The dependency on end-of-year (one-time) funds has hindered the University's ability to plan and adequately meet current needs. Additionally, students are requesting more software site licenses.

**D. Students affected:**

This proposal covers the following students at the following rates:

- All matriculated undergraduate students on all campuses at \$75 per (fall/spring) semester, \$150 per year, for an increase of \$15 per semester. All matriculated graduate students on all campuses at \$75 per (fall/spring) semester, \$150 per year, or an increase of \$35 per semester.
- This proposal includes the Law School Technology Fee which had previously been included as part of the Academic Materials Fee process. Law School students previously

paid \$68 per semester and no summer fee. This proposal removes the approval of that fee from the Academic Materials Fee Committee and increases the fee to \$150 per student per year for FY 2014

- Includes all winter, May, and summer session students, including Law School students, regardless of the number of credit hours taken, at the new proposed rate of \$30 per session. This reflects an increase of \$5 (\$30 for Law School students).
- All non-degree seeking students in the fall/spring semesters will be charged a \$30 per semester fee.
- This technology fee may be factored into new programs with comprehensive fees such as graduate certificates.
- This fee does not include students in certain School of Business programs that currently charge students a comprehensive (all inclusive) fee per credit or per program.
- The Provost will determine any exceptions to this procedure.

**E. Proposed date of implementation:**

The proposed date for implementation of the revised fee is the fall 2013 semester.

**II. Analysis**

**A. Fiscal need for fee:**

**Support of High Tech Classrooms-** Beginning in the late 1980's and early 1990's, the University introduced approximately a dozen "High Tech" classrooms for the delivery of coursework to students. Since that time, the number has expanded to more than 350 supported classrooms. All classrooms in newly constructed buildings are required to meet today's published standards for technology in the classrooms. This fee increase will expand the offering of technology-enabled classrooms in underserved or un-served areas of the campuses.

- As of July 2013, all classrooms will be centrally scheduled by the Registrar's Office. This change will move all classrooms using technology under the management of UITS for repairs and upgrades.
- Revenues generated by this fee increase creates a designated revenue stream and allows the University to begin a scheduled replacement program for equipment in the first generation of "High Tech" Classrooms, installed prior to 2004, and will expand to all classrooms.

**Mac License for Student Suite with Upgrades-**With the initial Student Technology Fee, the University extended the existing Microsoft Campus Agreement with a Student Option for licensing compliance. This allowed students to download the latest copies of Microsoft Office & Microsoft Windows at no additional cost. Students have requested that this benefit be extended to Mac users as well. Site Licensing for access to Adobe for all students is also under negotiation.

**B. Impact on students if fee adjustment is not approved:**

The University will have to continue to fund maintaining and improving high tech classrooms from any one-time year-end funds that may become available. Additionally, entering into student requested software/licensing agreements may be put on hold.

**C. Comparative student costs:**

The following chart was compiled from information reported by other research universities to reflect the level of student technology fees assessed to students:

University of Illinois, Urbana Champaign	Library/Tech Fee	\$244/semester
University of Maryland	Technology Fee	\$132/semester
University of Pittsburgh	Technology fee	\$175/semester
University of North Carolina, Chapel Hill	Education and Technology fee	\$435 per year
University of Washington	Technology fee	\$41/credit hour
Pennsylvania State University	Information Technology Fee	\$244/semester
Georgia Institute of Technology	Technology fee	\$107/semester

**University of Connecticut  
School of Law  
LL.M. Fee – Insurance Law  
Fiscal Year 2014**

**I. Proposed action**

**A. Description of fee:**

The history of the LL.M. Insurance Fee:

2009/10	\$1,039/credit	\$24,936 per year
2010/11	\$1,070/credit	\$25,680 per year
2011/12	\$1,091/credit	\$26,184 per year
2012/13	\$1,113/credit	\$26,712 per year

**B. Description of recommended revision:**

For FY 2014, the School of Law proposes to increase the Insurance Law LL.M. Fee from \$1,113 per credit to \$1,135 per credit. This is an increase of approximately 2%. The total for 2 semesters would be \$27,240.

**C. Basis of requested revision:**

Most of the students in this program are lawyers at law firms or insurance companies in the United States. With the approval of our accrediting agency, we can now deliver the program via distance learning. However, the costs of such delivery are great. This fee proposal seeks to charge the delivery fees appropriately to the Insurance LL.M. program.

**D. Students affected:**

The total enrollment in the Insurance Law LL.M. program is approximately 33 students. This includes full and part-time students. All Insurance Law LL.M. students would be affected by this proposal.

**E. Proposed date of implementation:**

The proposed date of implementation would be fall 2013.



## II. Analysis

### A. Fiscal need for fee revision:

	FY 2010	FY 2011	FY 2012	FY 2013 (projected)	FY 2014 (projected)
Income	\$423,623	\$403,608	\$439,162	\$414,316	\$397,250
Expenditures	<u>371,139</u>	<u>339,664</u>	<u>347,308</u>	<u>254,993</u>	<u>340,838</u>
Gain/Loss	\$52,484	\$63,944	\$91,854	\$159,323	\$56,412

### B. Effect on other institutional or unit programs if fee is disapproved:

If the fee is not approved, the School will need to utilize operational funds from the J.D. program or from the U.S. Legal Studies LL.M. program. We are now at a point where the Insurance Law LL.M. should be self-supporting in accordance with the original agreement with the American Bar Association and University of Connecticut Board of Trustees. This plan fits with the directive from the administration and the Board of Trustees to develop entrepreneurial projects.

### C. Comparison schools costs – 12 credits per semester – FY13 costs:

American	\$40,800
Boston University	\$43,278
Case Western	\$44,500
Columbia	\$53,636
Duke	\$50,750
Fordham	\$48,900
Georgia	\$37,196
George Washington	\$40,128
Illinois	\$47,500
Indiana	\$38,232
Iowa	\$45,806
John Marshall	\$40,080
University of Miami	\$42,000
University of Michigan	\$51,010

### D. Student approvals:

Student fee hearings were held in February 2013. Students were invited to participate both in person and via telephone conference.

**University of Connecticut  
School of Law  
LL.M. Fee – U.S. Legal Studies  
Fiscal Year 2014**

**I. Proposed action**

**A. Description of fee:**

The history of the LL.M. – U.S. Legal Studies Fee:

2009/10	\$936/credit	\$22,464 per year
2010/11	\$964/credit	\$23,136 per year
2011/12	\$983/credit	\$23,592 per year
2012/13	\$1,003/credit	\$24,072 per year

**B. Description of recommended revision:**

For FY 2014, the School of Law proposes to increase the LL.M. Fee from \$1,003 per credit to \$1,053 per credit. This is an approximate increase of 5%. The total for 2 semesters would be \$25,272.

**C. Basis of requested revision:**

Over the past year, due to strong recruiting efforts, the enrollment in the U.S. Legal Studies LL.M. program has increased from a historical pattern of approximately 12 new students to approximately 20 students. Staff salaries, fringe benefits, worker compensation, and operational costs to support the program have increased during that time.

**D. Students affected:**

The total enrollment in the U.S. Legal Studies LL.M. program is approximately 32 students. This includes full and part-time students. All U.S. Legal Studies students would be affected by this increase.

**E. Proposed date of implementation:**

The proposed date of implementation would be fall 2013.

## II. Analysis

### A. Fiscal need for fee revision:

	<b>FY 2010</b>	<b>FY 2011</b>	<b>FY 2012</b>	<b>FY 2013 (projected)</b>	<b>FY 2014 (projected)</b>
Income	\$306,813	\$288,983	\$495,898	\$627,872	\$523,235
Expenditures	<u>277,341</u>	<u>408,599</u>	<u>369,194</u>	<u>515,125</u>	<u>484,440</u>
Gain/Loss	\$ 29,472	\$ (119,616)	\$ 126,704	\$ 112,747	\$ 38,795

### B. Effect on other institutional or unit programs if fee is disapproved:

If the fee is not approved, the School will need to utilize operational funds from the J.D. program. This method was approved for utilization during the developmental years of the program. We are now at a point where the U.S. Legal Studies LL.M. should be self-supporting in accordance with the original agreement with the American Bar Association and University of Connecticut Board of Trustees. This plan fits with the directive from the administration and the Board of Trustees to develop entrepreneurial projects.

### C. Comparison schools – 12 credits per semester – FY 2013 costs:

American	\$40,800
Boston University	\$43,278
Case Western	\$44,500
Columbia	\$53,636
Duke	\$50,750
Fordham	\$48,900
Georgia	\$37,196
George Washington	\$40,128
Illinois	\$47,500
Indiana	\$38,232
Iowa (international rate)	\$46,056
John Marshall	\$40,080
University of Miami	\$42,000
University of Michigan	\$51,010

### D. Student approvals:

Student fee hearings were held in February 2013. Students were invited to participate both in person and via telephone conference.

**University of Connecticut (Storrs & Regional Campuses)**  
**Proposed New Online Course Fee**  
**Fiscal Year 2014**

**I. Proposed action**

**A. Description of fee:**

The University has many online courses and is creating more online opportunities for our students. We are proposing an Online Course Fee of \$20 per credit to offset specific mandated costs associated with online offerings.

**B. Description of recommended revision:**

The fee would be assessed on a per credit basis to graduate and non-degree students in fall/spring semesters; excluding undergraduate students in fall/spring semesters; and to all students in intersessions and summer session.

**C. Basis of requested revision:**

Requirements regarding State Authorization, Federal ADA compliance for online course delivery, and proctoring online exams adds new and significant costs to the University. An online course fee to be paid by students who enroll in online courses and directly benefit from this mode of course delivery would offset these costs.

**D. Students affected:**

Graduate and non-degree students taking online courses during fall/spring semesters would be assessed this per credit fee. All students (graduate, undergraduate, and non-degree) taking online courses during the summer or intersessions would be assessed this per credit fee. All students, regardless of major, degree, program, or revenue flow would pay this fee; as these costs are unique attributes to the University of Connecticut eCampus. Students in School of Business programs with comprehensive program fees will be excluded at this time. The School of Business will incorporate this per credit online fee into their next comprehensive program fee proposal to the Board. It is anticipated this will impact School of Business students starting May 2014 to allow for proper program notifications.

**E. Proposed date of implementation:**

Fall semester 2013.

**II. Analysis**

**A. Fiscal need for fee revision:**

The fee is needed to support the University of Connecticut's ability to offer high quality online courses, fund projected State Authorization, Federal ADA compliance and online exam proctoring costs.

**B. Impact on students if fee request is denied:**

If the fee is not approved, the funding to cover these new and unique costs would be required from other central or program funding; or the University's online initiative and current offerings may be greatly reduced in order to meet State and Federal compliance issues. This fee will provide resources to fund these anticipated costs.

**C. Comparative student costs:**

University's that assess an Online Course Fee

Boston University - \$60 per credit

University of Wisconsin - \$20 per credit

Texas A&M - \$50 per credit

UMASS Dartmouth - \$150 per course undergrad and \$200 per course grad

Johns Hopkins - \$150 per class

Western Michigan University - \$60 per credit

**University of Connecticut (Storrs and Regional Campuses)**  
**School of Nursing**  
**Certificate Entry into Nursing BS Program Fee**  
**Fiscal Year 2014**

**I. Proposed action**

**A. Program overview:**

The School of Nursing Certificate Entry into Nursing BS degree (CEIN\_BS formerly MEIN)) program is a self-supporting program for students who have a Bachelor's degree in a field other than Nursing. This calendar year program consists of 45 credits of pre-licensure education, which enables graduates of the program to complete the National Council Licensure Examination for Registered Nurses (NCLEX). Upon completion of the 12 month sequence, students earn a Certificate in Nursing and a Bachelor of Science degree. They can also apply for the MS in Nursing or the DNP, with a transfer of 9 credits from the first 12 months.

**B. Description of fee:**

The CEIN\_BS program has extensive delivery costs. The comprehensive program fee covers all related program costs including program administration, clinical supervision, classroom instruction and computer-based NCLEX-like testing.

**C. Description of recommended revision:**

We are requesting approval to charge a CEIN\_BS Program fee of \$25,965 for the January 2014 cohort. This is a 4.9% increase over the rate approved for the January 2013 cohort. The proposed all inclusive fee approximates \$577 per credit.

**D. Basis for requested fee:**

There has been a significant increase in program costs. Most instructors for both the clinical and didactic Nursing courses are adjuncts who are accomplished clinicians and teachers. Last year, we increased our adjunct faculty rate to \$2,500/credit, to be more competitive with other Schools of Nursing who employ adjunct faculty.

**E. Students affected:**

The maximum number of students in this program is 128 and all students would experience the same increase.

**F. Proposed date of implementation:**

Beginning with the January 2014 cohort.

**II. Analysis**

**A. Fiscal need for fee revision:**

As the School of Nursing CEIN\_BS program operates as a self-funded comprehensive activity, revenues from student fees must be sufficient to cover program costs. To continue to thrive and grow, the expenses and quality must be balanced. The request is based on the cost of administering and delivering the program. The state university and community college programs have increased their adjunct faculty hourly rates to greater than \$65/hour with additional preparation and grading hours built into each contract. UConn's rate is currently \$52/hour which means we are losing qualified faculty to other

schools at the same time we have expanded enrollment. Faculty efforts to enhance student preparation and first time success rates on NCLEX now include sophisticated, computer-based nursing knowledge and application assessments throughout the program and a week-long test preparation course. The per-student cost for this additional service is \$600.

**B. Effect on other institutional or unit programs if fee is disapproved:**

Without approval of the fee, the CEIN\_BS program will require institutional funds to continue. The University of Connecticut fills a critical need by championing, increasing and enhancing the State of Connecticut's nursing workforce to meet the needs of the citizens. We prepare more new Nurses each year than any other Connecticut program. With the CEIN\_BS program we are able to double the number of new Nurses from those who complete our traditional baccalaureate in Nursing program. In addition, not offering this program would result in fewer applicants to UConn's Nursing graduate programs which produce the clinical Nursing leaders that shape health care delivery.

**C. Comparative student costs:**

Other programs in the State of Connecticut:

- Southern Connecticut State University's second baccalaureate program (Accelerated Career Entry or ACE Program) is 53 credits and, for 2012-2013, costs \$15,911 (in-state student) or approximately \$300 per credit (with additional \$1,025 fees). For out-of-state students the costs are \$27,109 or approximately \$512 per credit (with additional fees of \$1,025).
- Fairfield University's second baccalaureate program is a minimum of 60 credits over 15 months (four semesters) at \$42,600 for the program (\$710/credit hour for 2012-2013 with additional fees of \$1,660).
- There is no accelerated second degree program at Saint Joseph College, but they do accept second degree students as transfers into their Nursing program. Undergraduate full time 2012-2013 tuition for junior/senior year is \$31,836 per year with additional fees of \$1,418.
- Yale University offers the Graduate Entry Prespecialty in Nursing (GEPN) a program for non-Nursing college graduates, but their program requires the student to be at Yale for three full time academic years. The student earns a certificate in Nursing (eligible for NCLEX and licensure as an RN) and then earns a master's degree. The cost for the spring and fall semesters is \$16,065 each and the summer session is \$12,775 for a first year total of \$44,905 for the pre-licensure portion. Additional fees vary but can range from \$1,655 to \$3,565 per semester.

These data indicate that the proposed fee is in alignment with other programs in Connecticut and we will remain competitive.

**D. Student involvement in fee revision proposal:**

We have not yet begun recruiting for the 2014 cohort, but in upcoming information sessions we will inform potential applicants of the fee increase.

## **ATTACHMENT 15**





University of Connecticut  
*Office of the Executive Vice President  
for Administration and Chief Financial Officer*

February 27, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2014 Academic Materials Fees for the University of Connecticut,  
Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a schedule of Academic Materials Fees for Fiscal Year 2014 as follows: creation of new major or course fees (Fine Arts, Agriculture & Natural Resources and Liberal Arts & Sciences) and adjustments to course fees (Agriculture & Natural Resources and Law School).

BACKGROUND:

The University has an established student fee review process that categorizes the fees as follows: (1.) Institutional Fees, which require central administrative approval, such as Tuition, Room, Board, Infrastructure Maintenance Fee and self-supporting programs; (2.) Academic Materials Fees, which are for consumables and instructional materials that are specific to a particular course or major; and (3.) Student Fees, which include the General University Fee and student activity and service fees. Senior Management charged permanent committees with promoting a fee structure for the academic and student fees that supports excellence, provides comprehensive procedures, and is uniform without sacrificing efficiency and flexibility. The committees are comprised of students, faculty, and administrative representatives. These fee proposals were presented to and approved by the Provost and Executive Vice President and the Executive Vice President for Administration and Chief Financial Officer.

See attached schedule for summary of recommended Academic Materials Fees.

*An Equal Opportunity Employer*

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**University of Connecticut (Storrs and Regionals)**  
**Summary of Recommended Academic Materials Fees**  
**Fiscal Years 2013-2014**

Academic Materials Fees are assessed for essential consumables, instructional materials and other direct costs (such as equipment rentals and field experiences) that are specific to particular courses and majors. These fees were recommended by the Academic Materials Fee Committee to the Provost and Executive Vice President and the Executive Vice President for Administration and Chief Financial Officer.

	Fee Per Semester		
	FY 2013 Current	FY 2014 Proposed	Notes
<b><u>Major Fees</u></b>			
<b>Fine Arts</b>			
Digital Media and Digital Media & Design	\$0	\$45	New
<b>Law School</b>			
Technology Fee <sup>A</sup>	\$136	\$150	Increase
<b><u>Course Fees</u></b> <sup>B</sup>			
Nutritional Sciences (NUSC 3234 and 3271)	\$25	\$50	Increase/Level 4
Plant Science and Landscape Architecture (PLSC 3210)	\$0	\$50	New/Level 4
Geoscience (GSCI 1050 and 1052)	\$0	\$10	New/Level 1

<sup>A</sup> This and future increases will follow the University-wide Technology Fee and will not be listed as an Academic Material Fee.

<sup>B</sup> Course Fees are assessed at pre-determined levels unless the fee existed prior to the Academic Materials Fees process. There is flexibility at level 3 and below to charge actual cost upon approval by the committee. Level 1 = \$10, Level 2 = \$20, Level 3 = \$35, Level 4 = \$50, Level 5 = \$75

# University of Connecticut (Storrs and Regional Campuses)

## Academic Materials Fees

### Fiscal 2014

The Academic Materials Fees Committee has reviewed and, by consensus, endorsed the following recommendations and adjustments for FY 2014. The following recommendations are forwarded by the Provost and Executive Vice President and the Executive Vice President for Administration and Chief Financial Officer for your consideration.

## **Major Fees**

### **Fine Arts**

#### *Digital Media and Digital Media & Design*

**Recommendation:** To approve new \$45 per semester major fees in Digital Media and Digital Media & Design.

**Purpose:** To fund necessary materials and software within these majors.

**Justification:** The actual cost per student is approximately \$46. Without this fee, the students would have to purchase the materials and software individually. The University is able to take advantage of volume discounts and control the quality of the materials purchased.

### **Law School**

#### *Law School Technology Fee*

**Recommendation:** That the Law School Technology Fee becomes part of the University-wide Student Technology Fee. With all students paying the same technology fee, there is no reason to separate out the Law School's Technology Fee as an Academic Materials Fee.

## **Course Fees**

### **College of Agriculture & Natural Resources**

#### *Nutritional Sciences*

**Recommendation:** To approve an increase from \$25 to \$50 for NUSC 3234 and 3271.

**Purpose:** To cover consumable laboratory supplies.

**Justification:** The cost of required lab supplies has increased to over \$304.76 per student over the last 5 years.

#### *Plant Science and Landscape Architecture*

**Recommendation:** To approve a new course fee of \$50 for PLSC 3210.

**Purpose:** To cover the cost of consumable laboratory supplies.

**Justification:** The cost of consumables provided in the course is \$99.38 per student.

### **College of Liberal Arts and Sciences**

#### *Geoscience*

**Recommendation:** To approve a new fee of \$10 for GSCI 1050 & 1052

**Purpose:** For consumable laboratory supplies.

**Justification:** The approximate cost per student is \$10.15.

## **ATTACHMENT 16**



University of Connecticut  
*Office of the Executive Vice President  
for Administration and Chief Financial Officer*

February 27, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2014 Student Activity and Service Fees for the University of  
Connecticut, Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a schedule of Student Activity and Service Fees for Fiscal Year 2014 comprised of increases in the General University Fee (GUF) and increases in the following fees for Storrs students: Transit Fee, Daily Campus Fee and Undergraduate Student Government Fee.

BACKGROUND:

The University has an established student fee review process that categorizes the fees as follows: (1.) Institutional Fees, which require central administrative approval, such as Tuition, Room, Board, Infrastructure Maintenance Fee and self-supporting programs; (2.) Academic Materials Fees, which are for consumables and instructional materials that are specific to a particular course or major; and (3.) Student Fees, which include the General University Fee and student activity and service fees. Senior Management charged permanent committees with promoting a fee structure for the academic and student fees that support excellence, provide comprehensive procedures, and is uniform without sacrificing efficiency and flexibility. The committees are comprised of students, faculty, and administrative representatives. These fee proposals were presented to and approved by the Provost and Executive Vice President for Academic Affairs and the Executive Vice President for Administration and Chief Financial Officer.

See attached schedule for summary of recommended annual Student Activity and Service Fees.

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**University of Connecticut (Storrs)**  
**Summary of Recommended Annual Student Activity and Service Fees**  
**Fiscal Years 2013-2014**

Fees designated as "student activity and service fees" include the General University Fee (GUF), the Student Activity Fees and the Service Fees (Parking and Transit). These fees are typically paid by all students thus making it desirable to have students involved in the fee establishment, continuation and review process. The Student Activity and Service Fee Advisory Committee is advisory to the University's Leadership.

	<b>Annual Rates</b>		
	<b>FY 2013 Current</b>	<b>FY 2014 Proposed</b>	<b>% Increase</b>
GUF - Storrs Undergraduates	\$1,776	\$1,848	4.1%
GUF - Storrs Graduates	1,314	1,368	4.1%
Transit Fee-Storrs Campus	100	110	10.0%
Daily Campus	14	20	42.9%
Undergraduate Student Government-Storrs	86	96	11.6%

**GUF Funded Programs**

The Student Activity and Service Fee Advisory Committee recommends that the GUF rate be increased by 4.1% for FY 2014 to support the continuation of current programs offered by GUF funded units. GUF rates at the regional campuses and the Law School are not increasing. This funding represents increases of approximately 3% to 8% for most units, with the exception of Career Services which received a 33.5% increase in GUF funding in order to expand outreach to employers and to better prepare students to enter the job market. Additionally, GUF support for Parking and Transportation is being reduced by 36.7% as Parking Services moves towards self-sufficiency. FY2014 funding is recommended as follows:

	<b>FY 2013 Current</b>	<b>FY 2014 Proposed</b>	<b>% Increase</b>
Student Health Services	\$9,564,217	\$10,004,357	4.6%
One Card Office	270,150	303,200	12.2%
Jorgensen Center for the Performing Arts	1,249,660	1,349,660	8.0%
Division of Athletics	9,640,217	9,929,474	3.0%
UConn Marching Band	295,645	319,306	8.0%
Student Union	3,207,010	3,426,662	6.8%
Student Activities	4,512,102	4,908,489	8.8%
Fraternity & Sorority Life	441,186	476,186	7.9%
Career Services	1,366,739	1,824,596	33.5%
Wellness and Prevention Services	986,789	986,789	0.0%
Off-Campus Student Services	298,359	307,310	3.0%
Parking & Transportation	783,370	495,618	-36.7%

***Transit Fee-Storrs Campus***

Beginning in the Fall 2013 semester, the Committee recommends the Storrs Transit Fee be raised from \$100/year to \$110/year. The primary rationale for this increase is for Transportation Services to become self-supporting.

***Daily Campus***

Beginning in the Fall 2013 semester, the Committee recommends the Daily Campus Fee be raised from \$14/year to \$20/year. The increase is recommended in order to cover increased newspaper production costs and to help the Daily Campus cover facility costs for their building.

***Undergraduate Student Government-Storrs***

The Committee recommends that the Storrs Undergraduate Student Government (USG) fee be raised from \$86/year to \$96/year in order to fund the increased demand for support by registered student organizations.

**University of Connecticut (Storrs and Regional Campuses)**  
**General University Fee**  
**Fiscal Years 2013 and 2014**

FY 2013 Current						
Classification	Undergraduates - Storrs/Regionals		Graduates/Professional Schools <sup>(A)</sup>			
	# of Credits	Annual Rate	Graduate Assistant # of Credits	Annual Rate	Non-Grad Assistant # of Credits	Annual Rate
<b>Storrs:</b>						
Full-Time	(12 or more)	\$1,776	(0 - 9)	\$1,314	(9)	\$1,314
Half-Time	(6 - 11)	\$888			(5 - 8)	\$876
Less Than Half-Time	(1 - 5)	\$444			(0 - 4)	\$438
<b>Regional Campuses:</b>						
Torrington	Flat Amount	\$74				
Other than Torrington	Flat Amount	\$112				
<b>Professional:</b>						
School of Law					Flat Amount	\$74
School of Social Work					Flat Amount	\$74

FY 2014 Proposed						
Classification	Undergraduates - Storrs/Regionals		Graduates/Professional Schools <sup>(A)</sup>			
	# of Credits	Annual Rate	Graduate Assistant # of Credits	Annual Rate	Non-Grad Assistant # of Credits	Annual Rate
<b>Storrs:</b>						
Full-Time	(12 or more)	\$1,848	(0 - 9)	\$1,368	(9)	\$1,368
Half-Time	(6 - 11)	\$924			(5 - 8)	\$912
Less Than Half-Time	(1 - 5)	\$462			(0 - 4)	\$456
<b>Regional Campuses:</b>						
Torrington	Flat Amount	\$74				
Other than Torrington	Flat Amount	\$112				
<b>Professional:</b>						
School of Law					Flat Amount	\$74
School of Social Work					Flat Amount	\$74

<sup>(A)</sup> Graduate Assistants are classified as full-time students and are charged the full-time rate. Continuous Registration Students who are registered for GRAD 5998, 5999, 6998 and 6999 are less than half-time students and are therefore charged the less than half-time rate.

## **ATTACHMENT 17**




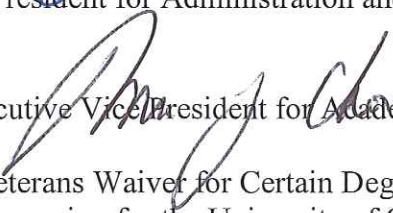


University of Connecticut  
*Office of the Executive Vice President  
for Administration and Chief Financial Officer*

February 27, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray   
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi   
Provost and Executive Vice President for Academic Affairs

RE: Extending the Veterans Waiver for Certain Degree Programs Offered in the  
Summer and Intersession for the University of Connecticut, Storrs and Regional  
Campuses

RECOMMENDATION:

That the Board of Trustees approve extending the veterans waiver benefit to veterans enrolled in degree programs that are offered in a strict sequence, closed-cohort basis in the summer and/or intersession semesters beginning in summer 2013.

BACKGROUND:

In 1994 the Board of Trustees approved a veteran's fee waiver policy providing fee waivers for veterans matriculated in fee-based programs such as the part-time MBA and Bachelor of General Studies in the fall and spring semesters. The amount of the waiver is the equivalent of the in-state per credit tuition rate. At that time, the waiver specifically excluded summer semesters and intersession did not exist. The University now offers degree programs that run in a strict sequence, closed-cohort basis such as the Teachers Certificate Program for College Graduates (TCPCG) and The Certificate Entry into Nursing BS (CEIN\_BS). All students in a given cohort start at the same time and the programs run for more than two semesters. For these degree programs and similar future programs, it is appropriate to extend the veterans waiver in the summer and intersession, as these student veterans need to remain with their cohort and should not be disadvantaged. In order to qualify to receive this waiver, the veteran must be entitled to the waiver in the fall or spring semester per Connecticut State Statute 10a-105.

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## **ATTACHMENT 18**



University of Connecticut  
*Office of the Executive Vice President  
for Administration and Chief Financial Officer*

Richard D. Gray  
*Executive Vice President  
for Administration  
and Chief Financial Officer*

February 27, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Eighteenth Supplemental Indenture, as follows:

- Decrease Deferred Maintenance/Code/ADA Renovation Lump Sum by \$1,703,500 for a total fiscal year 2012-2013 bond authorization for such project of \$5,279,075; and
- Increase Young Building Renovation/Addition by \$1,703,500 for a total fiscal year 2012-2013 bond authorization for such project of \$9,723,430.

BACKGROUND:

The Board of Trustees approved the Eighteenth Supplemental Indenture on June 28, 2012 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Eighteenth Supplemental Indenture on July 28, 2012. The Board of Trustees amended Appendix A to the Eighteenth Supplemental Indenture on September 27, 2012, and December 12, 2012.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2012-2013, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

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**RESOLUTION AMENDING THE EIGHTEENTH SUPPLEMENTAL INDENTURE  
TO REALLOCATE FISCAL YEAR 2012-2013  
GENERAL OBLIGATION BOND AUTHORIZATIONS**

**WHEREAS**, on June 28, 2012, the University of Connecticut (the “University”), by vote of its Board of Trustees, approved the Eighteenth Supplemental Indenture (the “Eighteenth Supplemental Indenture”) supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the “Master Indenture”); and

**WHEREAS**, on September 27, 2012, the Board amended the Eighteenth Supplemental Indenture to make certain reallocations of bond authorizations as set forth in Appendix A; and

**WHEREAS**, on December 12, 2012, the Board amended the Eighteenth Supplemental Indenture to make certain reallocations of bond authorizations as set forth in Appendix A; and

**WHEREAS**, it has been determined that the allocations for the proceeds of the bonds authorized by the Eighteenth Supplemental Indenture should be revised to reflect the actual progress being made on certain of the projects; and

**WHEREAS**, the University desires to amend the Eighteenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Eighteenth Supplemental Indenture.

**NOW THEREFORE, BE IT RESOLVED** by the Board of Trustees of the University as follows:

That Appendix A to the Eighteenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2012-2013 bond authorization amongst projects as follows: (a) decrease Deferred Maintenance/Code/ADA Renovation Lump Sum by \$1,703,500 for a total fiscal year 2012-2013 bond authorization for such project of \$5,279,075; and (b) Increase Young Building Renovation/Addition by \$1,703,500 for a total fiscal year 2012-2013 bond authorization for such project of \$9,723,430; and to update the total amounts of debt service commitment (“DSC”) bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

**APPENDIX A**  
**(as amended) <sup>1</sup>**

**EIGHTEENTH SUPPLEMENTAL INDENTURE**  
**UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM**  
**FISCAL YEAR 2012-2013**  
**UCONN 2000 BOND AUTHORIZATIONS**  
**\_\_\_\_\_ SERIES \_\_\_\_ BOND PROJECTS**

<b>UCONN 2000 Projects*</b>	<b>UCONN 2000 Phase III Total (\$) Needed - D.S.C. Bonds**</b>	<b>Fiscal Year 2012-2013 Bond Authorization <sup>(2)</sup></b>	<b>UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***</b>
<b><u>--Storrs and Regional Campuses</u></b>			
Arjona and Monteith (new classroom buildings)	\$ 121,000,000	\$15,500,000	\$ 96,000,000.00
Avery Point Campus Undergraduate and Library Building	13,102,813	1,172,495	9,452,813.00
Bishop Renovation	6,000,000	325,000	2,000,000.00
Deferred Maintenance/Code/ADA Renovation Lump Sum	240,081,241	\$5,279,075	149,902,371.46
Equipment, Library Collections & Telecommunications	82,641,496	1,500,000	64,641,496.00
Fine Arts Phase II	23,000,000	50,000	4,750,000.00
Gant Building Renovations	93,600,000	2,000,000	9,250,000.00
North Hillside Road Completion	8,200,000	2,500,000	2,000,000.00
Old Central Warehouse	2,126,000	1,000,000	1,126,000.00
Psychology Building Renovation/Addition	25,170,550	15,850,000	5,650,000.00
Young Building Renovation/Addition	\$19,223,430	\$9,723,430	9,500,000.00
<u>Subtotal – Storrs and Regional Campuses</u>		<b><u>\$54,900,000</u></b>	
<b><u>--Health Center</u></b>			
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	\$45,434,150	\$3,000,000	\$31,505,125.00
Equipment, Library Collections & Telecommunications — Health Center	63,595,850	1,600,000	52,405,725.00
Main Building Renovation	141,203,000	23,203,000	25,385,000.00
Medical School Academic Building Renovation	40,514,000	5,000,000	3,700,000.00
Research Tower	68,701,000	10,906,000	49,565,000.00
The University of Connecticut Health Center New Construction and Renovation	357,102,000	44,391,000	54,700,000.00
<u>Subtotal – Health Center</u>		<b><u>\$88,100,000</u></b>	
<b>Total Fiscal Year 2012-2013 Bond Authorization</b>		<b>\$143,000,000</b>	

\*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

\*\*Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

\*\*\*Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

<sup>(1)</sup> The Board of Trustees approved the Eighteenth Supplemental Indenture on June 28, 2012 and amended it on September 27, 2012, December 12, 2012 and February 27, 2013.

<sup>(2)</sup> The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

**UCONN 2000 Preliminary Phasing Outline by Fiscal Year**  
**Draft Phasing Plan for Informational Purposes Only - Revised 2/27/13**

PROJECT	Adjusted 12/12 FY13	Adjustments	Adjusted 2/13 FY13	Adjusted 12/12 FY14	Adjustments	Adjusted 2/13 FY14	Adjusted 12/12 Phase I, II, III	Adjusted 2/13 Phase I, II, III	Adjustments
Agricultural Biotechnology Facility & Completion							12,400,000.00	12,400,000.00	0.00
Alumni Quadrant Renovations							11,183,623.91	11,183,623.91	0.00
Arjona and Monteith (new classroom buildings)	15,500,000.00		15,500,000.00	5,500,000.00		5,500,000.00	121,000,000.00	121,000,000.00	0.00
Avery Point Campus Undergraduate & Library Building	1,172,495.00		1,172,495.00				13,102,813.00	13,102,813.00	0.00
Avery Point Marine Science Research Center - Phase I & II							37,254,246.03	37,254,246.03	0.00
Avery Point Renovation							5,520,187.00	5,520,187.00	0.00
Beach Hall Renovations							8,000,000.00	8,000,000.00	0.00
Benton State Art Museum Addition							4,200,000.00	4,200,000.00	0.00
Biobehavioral Complex Replacement							5,000,000.00	5,000,000.00	0.00
Bishop Renovation	325,000.00		325,000.00				6,000,000.00	6,000,000.00	0.00
Business School Renovation - Phase II							7,958,470.42	7,958,470.42	0.00
Central Warehouse New							6,933,751.77	6,933,751.77	0.00
Chemistry Building							53,062,000.00	53,062,000.00	0.00
Commissary Warehouse							0.00	0.00	0.00
Deferred Maintenance & Renovation Lump Sum-Phase I, II & III	6,982,575.00	(1,703,500.00)	5,279,075.00	11,579,450.00		11,579,450.00	399,969,097.83	398,265,597.83	(1,703,500.00)
East Campus North Renovations							7,382,604.53	7,382,604.53	0.00
Engineering Building				6,200,000.00		6,200,000.00	60,500,000.00	60,500,000.00	0.00
Equipment, Library Collections & Telecommunications	1,500,000.00		1,500,000.00	4,000,000.00		4,000,000.00	248,953,496.00	248,953,496.00	0.00
Family Studies (DRM) Renovation							6,500,000.00	6,500,000.00	0.00
Farm Buildings Repairs/Replacement							3,745,000.00	3,745,000.00	0.00
Fine Arts Phase II	50,000.00		50,000.00	5,500,000.00		5,500,000.00	23,000,000.00	23,000,000.00	0.00
Floriculture Greenhouse							6,750,000.00	6,750,000.00	0.00
Gant Plaza Deck							7,287,174.10	7,287,174.10	0.00
Gant Building Renovations	2,000,000.00		2,000,000.00	4,000,000.00		4,000,000.00	93,600,000.00	93,600,000.00	0.00
Gentry Renovation & Completion							19,664,596.69	19,664,596.69	0.00
Grad Dorm Renovations							2,928,227.59	2,928,227.59	0.00
Heating Plant Upgrade				2,750,000.00		2,750,000.00	39,969,000.00	39,969,000.00	0.00
Hilltop Dormitory Renovations							8,176,528.89	8,176,528.89	0.00
Ice Rink Enclosure							3,280,000.00	3,280,000.00	0.00
Incubator Facilities							0.00	0.00	0.00
International House Conversion (Natural History Museum)							886,134.00	886,134.00	0.00
Intramural, Recreational & Intercollegiate Facilities							34,000,000.00	34,000,000.00	0.00
Jorgensen Renovation							7,200,000.00	7,200,000.00	0.00
Koons Hall Renovation/Addition							7,000,000.00	7,000,000.00	0.00
Lakeside Renovation							3,800,000.00	3,800,000.00	0.00
Law School Renovations/Improvements							19,500,000.00	19,500,000.00	0.00
Library Storage Facility							0.00	0.00	0.00
Litchfield Agricultural Center - Phase I							1,000,000.00	1,000,000.00	0.00
Manchester Hall Renovation							6,000,000.00	6,000,000.00	0.00
Mansfield Apartments Renovation							2,071,000.00	2,071,000.00	0.00
Mansfield Training School Improvements							12,000,000.00	12,000,000.00	0.00
Monteith Renovation							444,348.00	444,348.00	0.00
Music Drama Addition							7,400,000.00	7,400,000.00	0.00
Natural History Museum Completion							500,000.00	500,000.00	0.00
North Campus Renovation							10,996,050.15	10,996,050.15	0.00
North Hillside Road Completion	2,500,000.00		2,500,000.00	3,700,000.00		3,700,000.00	8,200,000.00	8,200,000.00	0.00
North Superblock Site & Utilities							7,668,000.00	7,668,000.00	0.00
Northwest Quadrant Renovation - Phase I & II							32,001,000.00	32,001,000.00	0.00
Observatory							0.00	0.00	0.00
Old Central Warehouse Renovation	1,000,000.00		1,000,000.00				2,126,000.00	2,126,000.00	0.00
Parking Garage North							9,658,000.00	9,658,000.00	0.00
Parking Garage #3							0.00	0.00	0.00
Pedestrian Walkways (Fairfield Road Pedestrian Mall)							6,074,000.00	6,074,000.00	0.00
Psychology Building Renovation/Addition	15,850,000.00		15,850,000.00	3,670,550.00		3,670,550.00	25,170,550.00	25,170,550.00	0.00
Residential Life Facilities				2,500,000.00		2,500,000.00	6,800,000.00	6,800,000.00	0.00
School of Business							25,652,366.00	25,652,366.00	0.00
School of Pharmacy/Biology							94,609,000.00	94,609,000.00	0.00
Shippee/Buckley Renovations							6,920,000.00	6,920,000.00	0.00
South Campus Complex							12,251,000.00	12,251,000.00	0.00
Stamford Downtown Relocation - Phase I							55,781,471.55	55,781,471.55	0.00
Stamford Campus Improvements							2,300,000.00	2,300,000.00	0.00
Storrs Hall Addition							15,115,000.00	15,115,000.00	0.00
Student Health Services							2,000,000.00	2,000,000.00	0.00
Student Union Addition							57,622,633.00	57,622,633.00	0.00
Support Facility (Architectural & Engineering Services)							500,000.00	500,000.00	0.00
Technology Quadrant - Phase IA							39,993,000.00	39,993,000.00	0.00
Technology Quadrant-Phase II							34,120,000.00	34,120,000.00	0.00
Torrey Life Science Renovation & Completion & Biology Expansion				4,000,000.00		4,000,000.00	85,251,109.43	85,251,109.43	0.00
Torrington Campus Improvements							2,500,000.00	2,500,000.00	0.00
Towers Renovation							17,950,243.11	17,950,243.11	0.00
UCONN Products Store							0.00	0.00	0.00
Underground Steam & Water Upgrade - Phase I & II							12,000,000.00	12,000,000.00	0.00
Waring Building Conversion							11,452,000.00	11,452,000.00	0.00
Waterbury Property Purchase							200,000.00	200,000.00	0.00
Waterbury Downtown Campus							1,850,000.00	1,850,000.00	0.00
West Campus Renovations							519,507.20	519,507.20	0.00
West Hartford Campus Renovations/Improvements							12,650,000.00	12,650,000.00	0.00
White Building Renovation							2,427,268.80	2,427,268.80	0.00
Wilbur Cross Building Renovation							19,999,571.00	19,999,571.00	0.00
Young Building Renovation/Addition	8,019,930.00	1,703,500.00	9,723,430.00				17,519,930.00	19,223,430.00	1,703,500.00
<b>SUBTOTAL FOR STORRS &amp; REGIONAL CAMPUS</b>	<b>\$54,900,000.00</b>	<b>\$0.00</b>	<b>\$54,900,000.00</b>	<b>\$53,400,000.00</b>	<b>\$0.00</b>	<b>\$53,400,000.00</b>	<b>\$1,965,000,000.00</b>	<b>\$1,965,000,000.00</b>	<b>\$0.00</b>
CLAC Renovation Biosafety Level 3 Lab				8,710,000.00		8,710,000.00	15,000,000.00	15,000,000.00	0.00
Deferred Maintenance/Code/ADA Renovation Sum-UCHC	3,000,000.00		3,000,000.00	3,000,000.00		3,000,000.00	45,434,150.00	45,434,150.00	0.00
Dental School Renovation							5,000,000.00	5,000,000.00	0.00
Equipment, Library Collections & Telecommunications-UCHC	1,600,000.00		1,600,000.00	2,290,000.00		2,290,000.00	63,595,850.00	63,595,850.00	0.00
Library/Student Computer Center Renovation							5,250,000.00	5,250,000.00	0.00
Main Building Renovation	23,203,000.00		23,203,000.00	29,193,000.00		29,193,000.00	141,203,000.00	141,203,000.00	0.00
Medical School Academic Building Renovation	5,000,000.00		5,000,000.00	25,747,500.00	(183,500.00)	25,564,000.00	40,697,500.00	40,514,000.00	(183,500.00)
Planning & Design Costs							25,000,000.00	25,000,000.00	0.00
Research Tower	10,906,000.00		10,906,000.00	8,230,000.00		8,230,000.00	68,701,000.00	68,701,000.00	0.00
Support Building Addition/Renovation							100,000.00	100,000.00	0.00
UCHC New Construction and Renovation	44,391,000.00		44,391,000.00	67,429,500.00	183,500.00	67,613,000.00	356,918,500.00	357,102,000.00	183,500.00
<b>SUBTOTAL FOR HEALTH CENTER</b>	<b>\$88,100,000.00</b>	<b>\$0.00</b>	<b>\$88,100,000.00</b>	<b>\$144,600,000.00</b>	<b>\$0.00</b>	<b>\$144,600,000.00</b>	<b>\$766,900,000.00</b>	<b>\$766,900,000.00</b>	<b>\$0.00</b>
<b>GRAND TOTAL</b>	<b>\$143,000,000.00</b>	<b>\$0.00</b>	<b>\$143,000,000.00</b>	<b>\$198,000,000.00</b>	<b>\$0.00</b>	<b>\$198,000,000.00</b>	<b>\$2,731,900,000.00</b>	<b>\$2,731,900,000.00</b>	<b>\$0.00</b>



**New Draft Phasing Plan for Informational Purposes Only - Revised 2/27/1**

\*Projects are partially/totally financed by Revenue Bonds



## **ATTACHMENT 19**



University of Connecticut  
*Office of the Executive Vice President  
for Administration and Chief Financial Officer*

February 27, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Increase in Board of Trustees Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets

RECOMMENDATION:

That the Board of Trustees approve an increase in the required dollar thresholds for high-value contracts and project budgets and reporting of University contracts for information.

To ensure fiscal stability, the University must inform the Board of Trustees after contracting for goods and services and request approval for large scope, high-value contracts. The proposed change will require that all contracts calling for the expenditure or receipt of funds, goods or services ("value") of \$2,000,000 or greater, except contracts for the provision or receipt of academic research services, receive approval of the Board of Trustees prior to execution by the University. All contracts with a value of \$1,000,000 or greater, but less than \$2,000,000, shall be presented to the Board of Trustees as a subsequent information agenda item.

The proposed change will also require that all capital construction projects calling for the expenditure of \$2,000,000 or greater, receive approval of the Board of Trustees prior to execution by the University. For all capital construction projects with a value of less than \$2,000,000, authority to approve budgets is the responsibility of the President or his/her designee. The Executive Vice President for Administration and Chief Financial Officer has the authority to approve UCONN 2000 named projects less than \$2,000,000, and the Buildings & Grounds Committee (UConn) / Space Management Committee (UCHC) has the authority to approve Deferred Maintenance and other non-named projects less than \$2,000,000.

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352 Mansfield Road Unit 2122  
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455  
Facsimile: (860) 486-1070

## BACKGROUND:

The reporting thresholds as designated herein shall pertain to all University contracts and UCONN 2000 and non-named projects.

Except in exigent circumstances presenting extraordinary conditions or contingencies that could not reasonably be foreseen and guarded against as determined by the President or Executive Vice President for Administration and Chief Financial Officer, or Executive Vice President for Health Affairs, when applicable, all contracts or amendments thereof, except as set forth below, calling for the expenditure or receipt of funds, good or services ("value") of \$2,000,000 or greater shall require approval of the Board of Trustees (or the Health Center's Board of Directors for contracts involving the Health Center) prior to execution by the University. The Board of Trustees shall approve all real estate leases, or amendments thereof, whether the University is the lessor or lessee, prior to execution by the University.

All contracts or amendments thereof, with a value of \$1,000,000 or greater, but less than \$2,000,000, shall be presented to the Board of Trustees (or the Board of Directors for contracts involving the Health Center) as a subsequent information agenda item after execution.

All professional design, professional services or pre-construction related contracts, or amendments thereof (including change orders), incorporated into a planning or design capital project budget approved by the Board of Trustees or Board of Directors, and all professional design, professional services, pre-construction or construction-related contracts, or amendments thereof, incorporated into a final capital project budget approved by the Board of Trustees or Board of Directors, may be executed without further authorization from the Board of Trustees or Board of Directors. All such contracts with a value of \$1,000,000 or greater shall be presented to the Board of Trustees or Board of Directors as a subsequent information agenda item after execution.

All contracts or amendments thereof, to retain audit-related professional services in any amount shall require approval of the Joint Audit and Compliance Committee of the Board of Trustees prior to execution.

All contracts, or amendments thereof, for the provision or receipt of academic research or related services with a value of less than \$5,000,000 may be executed without prior approval of the Board of Trustees or Board of Directors, provided all such contracts or amendments with a value of \$1,000,000 or greater are presented to the Board of Trustees or Board of Directors as a subsequent information agenda item after execution.

The President is authorized to sign all University contracts, or amendments thereof, of any value (provided the Board of Trustees or Board of Directors has approved those with a value of \$2,000,000 or more, to the extent such approval is required above, or \$5,000,000 or more if a research related contract). He or she is further authorized to delegate in writing his or her signing authority for contracts, consistent with this resolution, to other University administrators as he or she may from time to time determine appropriate.

## **ATTACHMENT 20**





# University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.  
Executive Vice President for  
Health Affairs  
Dean, School of Medicine

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH  
Executive Vice President for Health Affairs & Dean, School of Medicine

Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Dental Clinic Fit-Out, Storrs Center  
(Planning: \$858,000)

DATE: February 27, 2013

## RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$858,000 for the UCHC Dental Clinic Fit-Out, Storrs Center.

## BACKGROUND:

The UCHC School of Dental Medicine leased 2,644 rentable square feet at the Storrs Center for the purpose of developing a dental practice to serve the University and the community. The lease terms provide that the tenant (UCHC Finance Corporation) shall fit-out the space by making appropriate renovations and equipping the space for its intended use.

The program for the clinic includes 5 dental exam rooms and the appropriate support and administrative spaces required for dental practice. Design for the project is expected to start in March, with a planned occupancy to take place in January 2014.

The Planning Budget is attached for your consideration and approval. The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on March 11<sup>th</sup> and 18<sup>th</sup>, 2013.

Attachment

*An Equal Opportunity Employer*

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Farmington, Connecticut 06030

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email: ftorti@uchc.edu

## **CAPITAL PROJECT BUDGET REPORTING FORM**

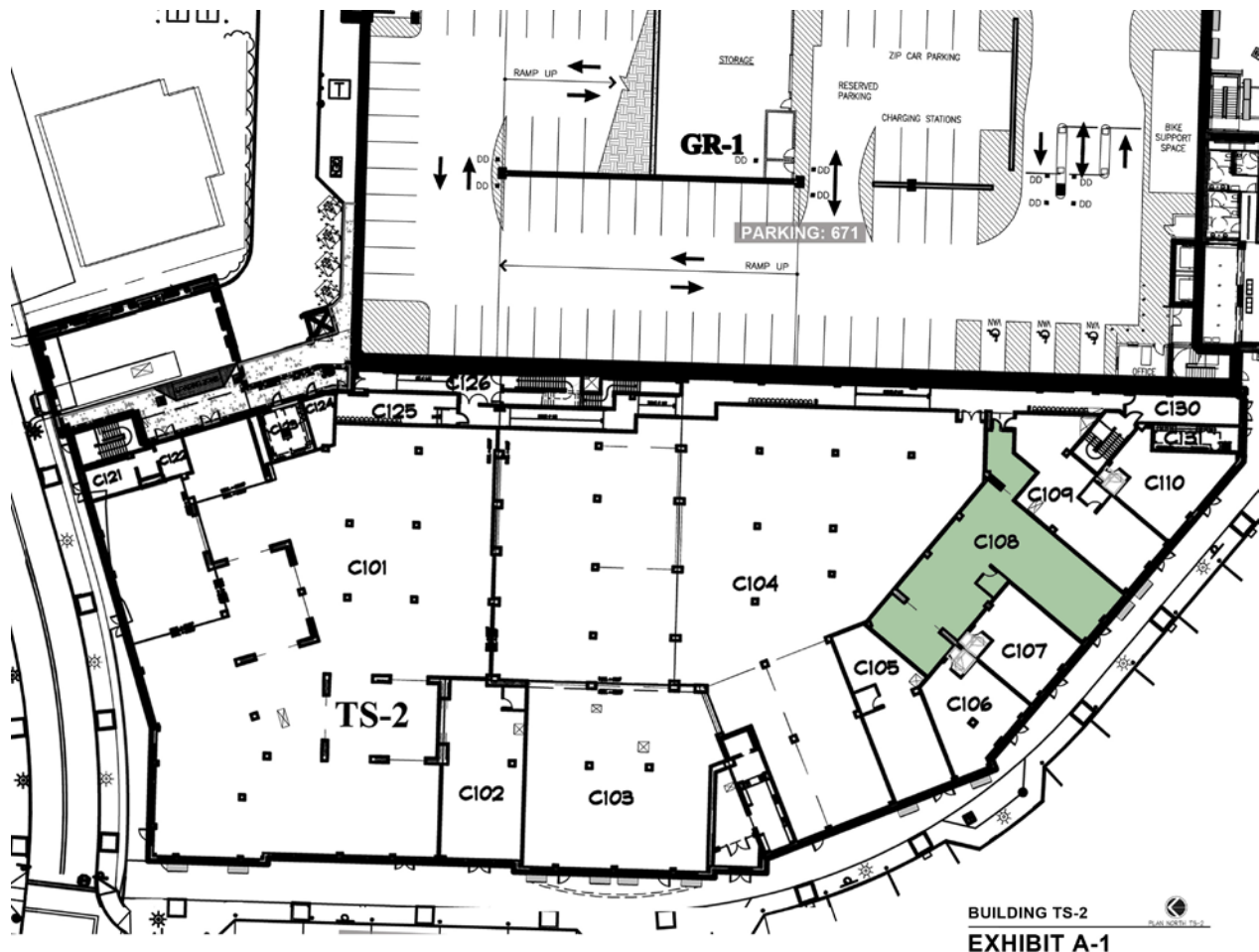
**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCHC - DENTAL CLINIC FIT OUT, STORRS CENTER**

<b><u>BUDGETED EXPENDITURES</u></b>	<b><u>PROPOSED PLANNING 2/27/2013</u></b>
CONSTRUCTION	\$ 530,000
DESIGN SERVICES	53,000
TELECOMMUNICATIONS	15,000
FURNITURE, FIXTURES AND EQUIPMENT	160,000
CONSTRUCTION ADMINISTRATION	5,000
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	5,000
MISCELLANEOUS	10,000
<b>SUBTOTAL</b>	<b>\$ 778,000</b>
PROJECT CONTINGENCY	80,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 858,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
UCHC CAPITAL FUNDS	\$ 858,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 858,000</b>

UCHC DENTAL CLINIC FIT-OUT, STORRS CENTER  
Project Budget (Planning)  
02/27/2013

**FLOOR PLAN OF LEASED AREA**



## **ATTACHMENT 21**





# University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.  
Executive Vice President for  
Health Affairs  
Dean, School of Medicine

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH  
Executive Vice President for Health Affairs & Dean, School of Medicine

Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Main Building Exterior Façade Joint Sealant Replacement (Final: \$3,475,000)

DATE: February 27, 2013

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$3,475,000 for the UCHC Main Building Exterior Façade Joint Sealant Replacement

## BACKGROUND:

The UCHC Main Building exterior façade consists of a pre-cast concrete panel system. The joints between the concrete panels are weatherproofed with a sealant material. Such sealant materials have a finite life span (10 – 20 years) and require replacement as they fail. The existing Main Building sealant was replaced almost 20 years ago and failures are apparent, thus removal and replacement is required.

The work includes a forensic analysis of the panel systems to verify that no structural issues exist, followed by the cutting out and removal of the existing sealant material and the application of a new sealant.

As part of the design work, testing of the existing sealant was undertaken. The results of the tests show that low levels of PCBs exist in some of the sealant material. Sealants that contain PCBs must be removed and disposed of in an approved manner. The estimated cost for the removal and disposal of PCB sealants is reflected in the Final Budget. The project bids are due in March and work is to begin in early spring.

The Final Budget is attached for your consideration and approval. The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on March 11 and 18<sup>th</sup>, 2013.

## Attachment

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## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCHC MAIN BUILDING EXTERIOR FAÇADE JOINT SEALANT REPLACEMENT**

	<b>APPROVED PLANNING 6/24/2008</b>	<b>APPROVED DESIGN 9/27/2012</b>	<b>PROPOSED FINAL 2/27/2013</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$ 2,500,000	\$ 2,800,000	\$ 2,825,000
DESIGN SERVICES	350,000	250,000	250,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	25,000		
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	100,000	75,000
INSURANCE AND LEGAL	5,000	5,000	5,000
MISCELLANEOUS	-	5,000	5,000
<b>SUBTOTAL</b>	<b>\$ 2,880,000</b>	<b>\$ 3,160,000</b>	<b>\$ 3,160,000</b>
PROJECT CONTINGENCY	420,000	315,000	315,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 3,300,000</u></b>	<b><u>\$ 3,475,000</u></b>	<b><u>\$ 3,475,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III - DM	<u>\$ 3,300,000</u>	<u>\$ 3,475,000</u>	<u>\$ 3,475,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 3,300,000</u></b>	<b><u>\$ 3,475,000</u></b>	<b><u>\$ 3,475,000</u></b>

UCHC MAIN BUILDING EXTERIOR FAÇADE  
JOINT SEALANT REPLACEMENT  
Project Budget (Final)  
02/27/2013



## **ATTACHMENT 22**





# University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.  
Executive Vice President for  
Health Affairs  
Dean, School of Medicine

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH  
Executive Vice President for Health Affairs & Dean, School of Medicine

Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) New Construction and Renovations (Final: \$364,386,000)

DATE: February 27, 2012

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$364,386,000 for the UCHC New Construction and Renovations

## BACKGROUND:

The Bioscience Connecticut initiative calls for the construction of a new patient care tower and renovations of the existing John Dempsey Hospital.

The new patient care tower will be constructed as an addition to the existing John Dempsey Hospital. The design includes 169 private patient rooms, a 12 room operating room suite, a 42 bay Emergency Department, and two parking garages with total capacity of 800 cars.

Renovations to the existing Hospital building will include the replacement of the aged roofing system and the building's mechanical, electrical and plumbing infrastructure. Space will be renovated to allow the relocation of the pharmacy and lab services programs.

The logistics associated with the delivery of this project are complex. We have planned a phased delivery to account for issues such as campus parking impacts, site utility conflicts, and renovations to an occupied building.

The planned phases and construction start schedules are as follows:

- Phase 1: Main Parking Garage and site utility work (began June 2012)
- Phase 2: New JDH Tower and Emergency Care Parking garage (April 2013)
- Phase 3: Renovations to the existing JDH Building (Building H) (January 2016)

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February 27, 2013  
Page Two

To allow the project to proceed in phases and on the schedule as outlined above, the Board approved the April 2012 Design Budget including a request authorization for the Phase 1 construction work to proceed. The parking garage included in the Phase 1 work is proceeding on schedule and on budget. The garage is scheduled to open in April 2013.

The Construction Documents are complete for the Phase 2 work and construction is scheduled to begin in April 2013. The estimated cost for this phase is \$201,900,000 (this amount is included in the overall construction line of this Final Budget). Subcontractor bidding is underway and a Phase 2 Guaranteed Maximum Price (GMP) will be established prior to the start of construction in April.

If budget revisions are required based upon the final Phase 2 GMP, a Revised Final Budget will be submitted to the Board for approval.

This Final Budget is anticipated to be approved by the Board of Directors Finance Committee and the UCHC Board of Directors at their respective meetings on March 11 and 18<sup>th</sup>, 2013.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCHC NEW CONSTRUCTION AND RENOVATION**

	APPROVED PLANNING 6/10/2010	APPROVED PLANNING 2/28/2012	APPROVED DESIGN 4/25/2012	PROPOSED FINAL 2/27/2013
<b><u>BUDGETED EXPENDITURES</u></b>				
CONSTRUCTION	\$ 224,000,000	\$ 249,438,000	\$ 251,438,000	\$ 251,289,000
DESIGN SERVICES	25,000,000	23,539,000	23,539,000	23,307,000
TELECOMMUNICATIONS	11,000,000	10,830,000	10,830,000	9,842,000
FURNITURE, FIXTURES AND EQUIPMENT	31,000,000	30,994,000	30,994,000	31,025,000
CONSTRUCTION ADMINISTRATION	1,000,000	3,410,000	3,410,000	3,410,000
OTHER AE SERVICES (including Project Manag	5,000,000	8,668,000	8,668,000	9,173,000
ART (1% OF NEW CONSTRUCTION)	1,400,000	1,574,000	1,574,000	1,574,000
RELOCATION	1,500,000	1,397,000	1,397,000	1,397,000
ENVIRONMENTAL	1,000,000	817,140	817,140	788,000
INSURANCE AND LEGAL	250,000	200,000	200,000	3,811,000
MISCELLANEOUS	350,000	391,750	391,750	360,000
OTHER SOFT COSTS	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 301,500,000</b>	<b>\$ 331,258,890</b>	<b>\$ 333,258,890</b>	<b>\$ 335,976,000</b>
PROJECT CONTINGENCY	30,500,000	33,127,110	31,127,110	28,410,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 332,000,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 364,386,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>				
UConn 2000 PHASE III - PLANNING & DESIGN COSTS	\$ 25,000,000	\$ 25,000,000	\$ 25,000,000	\$ 25,000,000
UConn 2000 PHASE III - NEW CONSTRUCTION & RENOVATION	207,000,000	314,386,000	314,386,000	314,386,000
OTHER NON-STATE FUNDS	100,000,000	-	-	-
UCHC FUNDS	-	25,000,000	25,000,000	25,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 332,000,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 364,386,000</u></b>	<b><u>\$ 364,386,000</u></b>

**UCONN HEALTH CENTER (UHC)**  
**NEW CONSTRUCTION AND RENOVATIONS**  
**Project Budget (FINAL)**  
**2/27/2013**

**NEW HOSPITAL TOWER: EAST ELEVATION, NEW MAIN ENTRANCE**



**NEW HOSPITAL TOWER: WEST ELEVATION,  
NEW EMERGENCY DEPT AND OR AREA**





UCONN HEALTH CENTER (UHC)  
NEW CONSTRUCTION AND RENOVATIONS  
Project Budget (FINAL)  
2/27/2013

**PHASE 1 PARKING GARAGE**




## **ATTACHMENT 23**

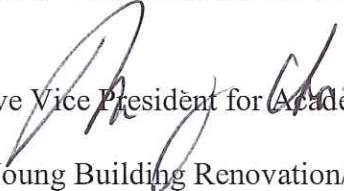


University of Connecticut  
*Office of the Executive Vice President  
for Administration and Chief Financial Officer*

February 27, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray   
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi   
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Young Building Renovation/Addition  
(Revised Final: \$19,473,430)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$19,473,430 for the renovations at the Wilfred B. Young building.

BACKGROUND:

The Young Building is a 71,937 square foot classroom, laboratory and office building located on the Storrs campus. It was built in 1953 and is the home of the College of Agriculture and Natural Resources.

The renovations include complete replacement of mechanical and electrical systems, ADA modifications, window replacement, and façade repair. Additional work includes a fire suppression system and limited interior improvements.

Construction began on June 7, 2012 and is currently 50% complete. During construction, substantial items have been discovered, many of which have been addressed using the initial project contingency. The balance of the additional items will also need to be addressed during the current construction phase. Additional items include:

Partial Roof Replacement	\$900,000
Additional Asbestos Abatement	\$600,000
Additional Infrastructure and Deferred Maintenance Items	\$500,000
Landscaping	\$100,000
Acceleration Allowance to Maintain Initial Date of Full Occupancy	\$400,000
Sub Total of Additional Items	\$2,500,000
Contingency Replenishment	\$500,000
Total Additional Items	<u>\$3,000,000</u>

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Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455  
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The project included an initial project contingency of \$1,296,500 and this request is for additional funding in the amount of \$1,703,500 bringing the total Revised Final Budget to \$19,473,430.

This Revised Final Budget is attached for your consideration and approval.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: YOUNG BUILDING RENOVATION/ADDITION**

	APPROVED PLANNING 6/24/2008	APPROVED REVISED PLANNING 11/9/2010	APPROVED DESIGN 1/25/2012	APPROVED FINAL 4/25/2012	PROPOSED REVISED FINAL 2/27/2013
<b><u>BUDGETED EXPENDITURES</u></b>					
CONSTRUCTION	\$ 7,940,000	\$ 10,900,000	\$ 11,061,500	\$ 14,071,200	\$ 16,500,000
DESIGN SERVICES	750,000	1,415,000	1,378,030	1,378,030	1,378,030
TELECOMMUNICATIONS	-	200,000	200,000	150,000	150,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	270,000	350,000	350,000
CONSTRUCTION ADMINISTRATION	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	65,000	397,500	395,970	371,200	400,000
ART	-	-	-	-	-
RELOCATION	-	50,000	250,000	125,000	125,000
ENVIRONMENTAL	150,000	200,000	33,000	18,000	18,000
INSURANCE AND LEGAL	20,000	10,500	75,000	7,000	7,000
MISCELLANEOUS	-	63,000	40,000	3,000	3,000
OTHER SOFT COSTS*	125,000	400,000	-	-	-
<b>SUBTOTAL</b>	<b>\$ 9,050,000</b>	<b>\$ 13,636,000</b>	<b>\$ 13,703,500</b>	<b>\$ 16,473,430</b>	<b>\$ 18,931,030</b>
PROJECT CONTINGENCY	950,000	1,364,000	1,296,500	1,296,500	542,400
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 10,000,000</u></b>	<b><u>\$ 15,000,000</u></b>	<b><u>\$ 15,000,000</u></b>	<b><u>\$ 17,769,930</u></b>	<b><u>\$ 19,473,430</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>					
UCONN 2000 PHASE III	\$ 10,000,000	\$ 15,000,000	\$ 15,000,000	\$ 17,519,930	\$ 19,223,430
DEPARTMENTAL FUNDS	-	-	-	250,000	250,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 10,000,000</u></b>	<b><u>\$ 15,000,000</u></b>	<b><u>\$ 15,000,000</u></b>	<b><u>\$ 17,769,930</u></b>	<b><u>\$ 19,473,430</u></b>

# YOUNG BUILDING RENOVATION/ADDITION

## Project Budget (Revised Final)

02/27/2013



Exterior W.B. Young Building – Construction staging area North side



Interior – Metal Stud wall installation and plumbing Rough-In



Interior – Electrical Room Conduit Rough-in installation

## **ATTACHMENT 24**

# University of Connecticut

## Report to the Board of Trustees and Joint Audit and Compliance Committee

February 13, 2013





McGladrey LLP

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O 312.634.3400 F 312.634.5529  
www.mcgladrey.com

February 13, 2013

Board of Trustees and Joint Audit and Compliance Committee  
University of Connecticut  
352 Mansfield Road  
Storrs, CT 06269

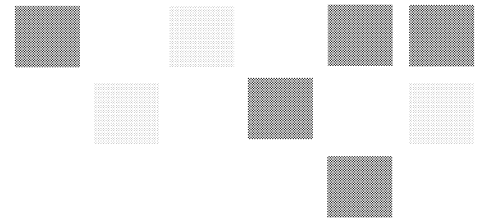
Attention: Board of Trustees and Joint Audit and Compliance Committee

We are pleased to present this report related to our audit of University of Connecticut's construction expenditures for UCONN 2000 projects substantially completed for the year ended June 30, 2012. This report summarizes certain matters required by professional standards to be communicated to you in your oversight responsibility for University of Connecticut's financial reporting process.

This report is intended solely for the information and use of the Board of Trustees and Joint Audit and Compliance Committee and is not intended to be and should not be used by anyone other than these specified parties. It will be our pleasure to respond to any questions you have about this report. We appreciate the opportunity to be of service to University of Connecticut.

*McGladrey LLP*

# Contents



<b>Required Communications .....</b>	<b>1 – 2</b>
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Summary of Accounting Estimates.....	3
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## **Exhibit A – Significant Written Communications Between Management and Our Firm**

Arrangement Letter

Management Representation Letter



# Required Communications

Statement on Auditing Standards No. 114 requires the auditor to communicate certain matters to keep those charged with governance adequately informed about matters related to the financial statement audit that are, in our professional judgment, significant and relevant to the responsibilities of those charged with governance in overseeing the financial reporting process. The following summarizes these communications.

Area	Comments
<b>Auditor's Responsibility Under Professional Standards</b>	Our responsibility under auditing standards generally accepted in the United States of America has been described to you in our arrangement letter dated October 23, 2012.
<b>Accounting Practices</b>	<p><b>Adoption of, or Change in, Accounting Policies</b></p> <p>Management has the ultimate responsibility for the appropriateness of the accounting policies used by the Company. The Company did not adopt any significant new accounting policies nor have there been any changes in existing significant accounting policies during the current period.</p> <p><b>Significant or Unusual Transactions</b></p> <p>We did not identify any significant or unusual transactions or significant accounting policies in controversial or emerging areas for which there is a lack of authoritative guidance or consensus.</p> <p><b>Alternative Treatments Discussed with Management</b></p> <p>We did not discuss with management any alternative treatments within generally accepted accounting principles for accounting policies and practices related to material items during the current audit period.</p>
<b>Management's Judgments and Accounting Estimates</b>	Summary information about the process used by management in formulating particularly sensitive accounting estimates and about our conclusions regarding the reasonableness of those estimates is in the attached "Summary of Accounting Estimates."
<b>Financial Statement Disclosures</b>	There were no significant items as they relate to the neutrality, consistency, and clarity of the disclosures in the financial statements that require discussion.
<b>Audit Adjustments</b>	There were no audit adjustments made to the original trial balance presented to us to begin our audit.
<b>Uncorrected Misstatements</b>	There were no uncorrected misstatements in the financial statements.

Area	Comments
<b>Disagreements with Management</b>	We encountered no disagreements with management over the application of significant accounting principles, the basis for management's judgments on any significant matters, the scope of the audit, or significant disclosures to be included in the financial statements.
<b>Consultations with Other Accountants</b>	We are not aware of any consultations management had with other accountants about accounting or auditing matters.
<b>Significant Issues Discussed with Management</b>	No significant issues arising from the audit were discussed or were the subject of correspondence with management.
<b>Difficulties Encountered in Performing the Audit</b>	We did not encounter any difficulties in dealing with management during the audit.
<b>Certain Written Communications Between Management and Our Firm</b>	Copies of certain written communications between our firm and the management of the University are attached as Exhibit A.

# University of Connecticut

## Summary of Accounting Estimates

Year Ended June 30, 2012

Accounting estimates are an integral part of the preparation of financial statements and are based upon management's current judgment. The process used by management encompasses their knowledge and experience about past and current events and certain assumptions about future events. You may wish to monitor throughout the year the process used to determine and record these accounting estimates. The following describes the significant accounting estimates reflected in the University's June 30, 2012 financial statements.

Area	Accounting Policy	Estimation Process	Comments
<b>Project Budgets/Anticipated Remaining Costs</b>	Project budgets are measured by comparing estimated contract costs required to be incurred for the specific project. The anticipated remaining costs are measured by comparing the total estimated contract costs to completion (project budget) to the estimated contract costs incurred to date.	Management analyzes contract costs on a periodic basis which includes material, engineering services and other indirect costs associated with the project. These costs are extrapolated over the duration of the contract and periodic adjustments are made for changes in estimates.	We tested the underlying information contained in the University's construction expenditures paid and approval of budgets and change orders to the original contract. We obtained and tested management's estimating process as it related to contract costs and estimated costs to complete. In addition, we tested the consistency of management's estimating process and we concluded that management's process is reasonable, consistent and conservative.
<b>Allocation of Payroll Costs</b>	The University allocates to each project the amount of payroll costs from the clearing account equal to 1.75 percent (changed from 1.00 percent on July 1, 2010) of the total costs for that project for each fiscal quarter.	Management analyzes the payrolls costs on a periodic basis in order to determine if the allocation is reasonable.	We tested the consistency of management's estimating process and we conclude that management's process is reasonable, consistent and conservative.

## **Exhibit A – Significant Written Communications Between Management and Our Firm**



McGladrey LLP  
One South Wacker Dr Ste 800  
Chicago, IL 60606-3392  
O 312.384.6000 F 312.634.3410  
www.mcgladrey.com

October 23, 2012

Ms. Susan Herbst  
President  
University of Connecticut  
352 Mansfield Road  
Storrs, CT 06269

Attention: Ms. Herbst

This letter is to explain our understanding of the arrangements for the services we are to perform for University of Connecticut (the University) for the year ended June 30, 2012. We ask that you confirm this understanding.

Our acceptance of this engagement is subject to our satisfactorily completing our normal engagement acceptance procedures. We will notify you promptly if we become aware of anything during our acceptance procedures or the review of audit documentation that results in our not being able to continue this engagement.

#### **Audit Services**

We will perform an audit of UCONN 2000 projects other than deferred maintenance and equipment and UCONN 2000 deferred maintenance projects, substantially completed during the year ended June 30, 2012. We understand that the financial statements will be prepared in accordance with accounting principles generally accepted in the United States of America. The objective of an audit of financial statements is to express an opinion on those statements.

We are responsible for forming and expressing an opinion about whether the financial statements that have been prepared by management with the oversight of the board of trustees are presented fairly, in all material respects, in conformity with accounting principles generally accepted in the United States of America.

We will conduct the audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable, rather than absolute, assurance about whether the financial statements are free of material misstatement whether caused by error or fraud. Accordingly, a material misstatement may remain undetected. Also, an audit is not designed to detect errors or fraud that are immaterial to the financial statements.

An audit of financial statements includes obtaining an understanding of the entity and its environment, including its internal control, sufficient to assess the risks of material misstatement of the financial

statements and to design the nature, timing, and extent of further audit procedures. An audit is not designed to provide assurance on internal control or to identify significant deficiencies or material weaknesses. However, we will communicate to management and the board of trustees any significant deficiencies or material weaknesses that we become aware of during the course of the audit.

We will also communicate to the board of trustees (a) any fraud involving senior management and fraud (whether caused by senior management or other employees) that causes a material misstatement of the financial statements that becomes known to us during the audit, (b) any illegal acts that we become aware of during the audit (unless they are clearly inconsequential), (c) various matters related to the entity's accounting policies and financial statements, and (d) should any arise, disagreements with management and other serious difficulties encountered in performing the audit.

We will maintain our independence in accordance with the standards of the American Institute of Certified Public Accountants (AICPA). If the University of Connecticut should wish to include our reports or otherwise make reference to us in any public securities offering, we would be required to be independent under the rules of the Securities Exchange Commission (SEC) for all of the periods to be presented in the offering document. The rules of the SEC are different from those of the AICPA. Examples of services allowed under the AICPA rules that would impair independence under the SEC rules include preparation of the tax provision, implementing financial information systems, internal audit outsourcing, and performance of tax services for a contingent fee. If our independence were determined to be impaired under SEC rules for any of the periods included in the filing, the University of Connecticut would be required to have the impacted periods re-audited, at the University of Connecticut's expense, by another firm for the filing to be declared effective by the SEC. As a result, it is imperative that if the University of Connecticut is contemplating a public offering in the future, we be promptly notified of those plans.

#### **University of Connecticut's Responsibilities**

Management is responsible for the financial statements, including the selection and application of accounting policies, adjusting the financial statements to correct material misstatements, and for making all financial records and related information available to us. Management is responsible for providing us with a written management representation letter confirming certain representations made during the course of our audit of the financial statements and affirming to us that it believes the effects of any uncorrected misstatements aggregated by us during the current engagement and pertaining to the latest period presented are immaterial, both individually and in the aggregate, to the financial statements taken as a whole.

Management is responsible for establishing and maintaining effective internal control over financial reporting and for informing us of all significant deficiencies and material weaknesses in the design or operation of such controls of which it has knowledge.

Management is responsible for identifying and ensuring that the entity complies with the laws and regulations applicable to its activities, and for informing us about all known material violations of such laws or regulations. In addition, management is responsible for the design and implementation of programs and controls to prevent and detect fraud, and for informing us about all known or suspected fraud affecting the entity involving management, employees who have significant roles in internal control, and others where the fraud could have a material effect on the financial statements. Management is also responsible for informing us of its knowledge of any allegations of fraud or suspected fraud affecting the entity received in communications from employees, former employees, analysts, regulators, short sellers, or others.



The board of trustees is responsible for informing us of its views about the risks of fraud within the entity, and its knowledge of any fraud or suspected fraud affecting the entity.

The University agrees that it will not include our reports, or otherwise make reference to us, in any public or private securities offering without first obtaining our consent. Any request to consent is also a matter for which separate arrangements will be necessary. After obtaining our consent, the University also agrees to provide us with printer's proofs or masters of such offering documents for our review and approval before printing and with a copy of the final reproduced material for our approval before it is distributed. In the event our auditor/client relationship has been terminated when the University seeks such consent, we will be under no obligation to grant such consent or approval.

The University of Connecticut acknowledges that it shall be solely liable for the acts and omissions of its employees acting within the scope of their employment. This provision shall survive the termination of this arrangement for services.

#### **University's Records and Assistance**

If circumstances arise relating to the condition of the University's records, the availability of appropriate audit evidence, or indications of a significant risk of material misstatement of the financial statements because of error, fraudulent financial reporting, or misappropriation of assets, which in our professional judgment, prevent us from completing the audit or forming an opinion, we retain the unilateral right to take any course of action permitted by professional standards, including declining to express an opinion, issue a report, or withdraw from the engagement.

During the course of our engagement, we may accumulate records containing data that should be reflected in the University's books and records. The University will determine that all such data, if necessary, will be so reflected. Accordingly, the University will not expect us to maintain copies of such records in our possession.

The assistance to be supplied by University personnel, including the preparation of schedules and analyses of accounts, has been discussed and coordinated with Kimberly Rourke, Manager – Project Accounting. The timely and accurate completion of this work is an essential condition to our completion of the audit and issuance of our audit report.

If, in connection with our audit, you request us to perform accounting services necessary for the preparation of the financial statements (such as maintaining depreciation schedules, computing the provision for income taxes, drafting the financial statements, etc.), you agree to designate an appropriate individual to oversee the services, make all management decisions involved in those services, evaluate the adequacy and results of the services, and accept responsibility for the results of the services.

From time to time and depending upon the circumstances, we may use third-party service providers to assist us in providing professional services to you. In such circumstances, it may be necessary for us to disclose confidential client information to them. We enter into confidentiality agreements with all third-party service providers and we are satisfied that they have appropriate procedures in place to prevent the unauthorized release of your confidential information to others. In addition, we may utilize financial information you have provided to us in connection with this engagement for purposes of creating benchmarking data to be used by McGladrey LLP professionals and other clients. This benchmarking data is aggregated with data from a minimum of five other entities so that users of the data are unable to associate the data with any single entity in the database.

### **Fees, Costs, and Access to Workpapers**

Our fees for the audit described above are \$50,000 plus indirect expenses not to exceed \$6,000. Interim billings will be submitted as work progresses and as expenses are incurred. Billings are due upon submission. This fee estimate will be subject to adjustments based on unanticipated changes in the scope of our work and/or the incomplete or untimely receipt by us of the information on the client participation list. All other provisions of this letter will survive any fee adjustment.

Our professional standards require that we perform certain additional procedures, on current and previous years' engagements, whenever a partner or professional employee leaves the firm and is subsequently employed by or associated with a client in a key position. Accordingly, the University agrees it will compensate McGladrey LLP for any additional costs incurred as a result of the University's employment of a partner or professional employee of McGladrey LLP.

In the event we are requested or authorized by the University of Connecticut or are required by government regulation, subpoena, or other legal process to produce our documents or our personnel as witnesses with respect to our engagements for the University of Connecticut, the University of Connecticut will, so long as we are not a party to the proceeding in which the information is sought, reimburse us for our professional time and expenses, as well as the fees and expenses of our counsel, incurred in responding to such requests.

### **Claim Resolution**

The University of Connecticut waives any claim for punitive damages.

This letter, in conjunction with the original RFP and McGladrey's proposal constitute the complete and exclusive statement of agreement between McGladrey LLP and the University of Connecticut, superseding all oral or written, and all other communications, with respect to the terms of the engagement between the parties. In the event that any conflicts arise between any terms or conditions of the RFP, proposal and this arrangement letter, the terms and conditions of this arrangement letter shall prevail.

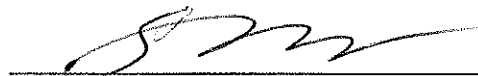
If this letter defines the arrangements as the University understands them, please sign and date the enclosed copy and return it to us.

McGladrey LLP



Mark Bloom, Partner

Confirmed on behalf of the University of Connecticut:



Susan Herbst, President



Date



University of Connecticut  
*Office of the President*

Susan Herbst  
*President*

February 13, 2013

McGladrey LLP  
One South Wacker Drive  
Suite 800  
Chicago, IL 60606

Ladies and Gentlemen:

In connection with your audit of University of Connecticut's construction expenditures for UCONN 2000 projects substantially complete for the year ended June 30, 2012, we confirm that we are responsible for the fair presentation in the financial statements of UCONN 2000 projects substantially completed and reported project values in conformity with accounting principles generally accepted in the United States of America.

We confirm, to the best of our knowledge and belief, as of February 13, 2013, the following representations made to you during your audit:

1. The financial statements referred to above are fairly presented in conformity with accounting principles generally accepted in the United States of America.
2. We have made available to you all:
  - a. Financial records and related data.
  - b. Minutes of the meetings of stockholders, directors, and committees of directors, or summaries of actions of recent meetings for which minutes have not yet been prepared.
3. We have no knowledge of fraud or suspected fraud affecting the entity involving:
  - a. Management.
  - b. Employees who have significant roles in the internal control.
  - c. Others where the fraud could have a material effect on the financial statements.
4. We acknowledge our responsibility for the design and implementation of programs and controls to provide reasonable assurance that fraud is prevented and detected.

*An Equal Opportunity Employer*

Gulley Hall  
352 Mansfield Road Unit 2048  
Storrs, Connecticut 06269-2048

Telephone: (860) 486-2337  
Facsimile: (860) 486-2627

5. We have no knowledge of any allegations of fraud or suspected fraud affecting the Company received in communications from employees, former employees, analysts, regulators, short sellers, or others.
6. We are aware of no significant deficiencies, including material weaknesses, in the design or operation of internal controls that could adversely affect the Company's ability to record, process, summarize, and report financial data.
7. There have been no communications from regulatory agencies concerning noncompliance with, or deficiencies in, financial reporting practices.
8. The following have been properly recorded and/or disclosed in the financial statements:
  - a. Amounts of contractual obligations for plant construction and/or purchase of real property, equipment, other assets, and intangibles.
  - b. All significant estimates and material concentrations known to management that are required to be disclosed in accordance with the Risks and Uncertainties Topic of the FASB Accounting Standards Codification. Significant estimates are estimates at the balance sheet date that could change materially within the next year. Concentrations refer to volumes of business, revenues, available sources of supply, or markets for which events could occur that would significantly disrupt normal finances within the next year.
9. There are no:
  - a. Material transactions that have not been properly recorded in the accounting records underlying the financial statements.
  - b. Violations or possible violations of laws or regulations whose effects should be considered for disclosure in the financial statements or as a basis for recording a loss contingency. In that regard, we specifically represent that we have not been designated as, or alleged to be, a "potentially responsible party" by the Environmental Protection Agency in connection with any environmental contamination.
  - c. Other material liabilities or gain or loss contingencies that are required to be accrued or disclosed by the Contingencies Topic of the FASB Accounting Standards Codification.
  - d. Significant amounts of idle property and equipment or permanent excess plant capacity.
  - e. Plans or intentions to discontinue the operations of any subsidiary or division or to discontinue any significant product lines.
  - f. Debt securities classified as "held to maturity."

- g. Investments and other assets that have permanently declined in value to their realizable values.
  - h. Long-lived assets, including intangibles, that are impaired or to be disposed of have been recorded at the lower of their cost or fair value.
  - i. Related-party relationships, transactions, and related amounts receivable or payable, including sales, purchases, loans, transfers, leasing arrangements, and guarantees, all of which have been recorded in accordance with the economic substance of the transactions.
  - j. Guarantees, whether written or oral, under which the Company is contingently liable.
  - k. Arrangements with financial institutions involving compensating balances or other arrangements involving restrictions on cash balances.
  - l. Lines of credit or similar arrangements.
  - m. Agreements to repurchase assets previously sold.
  - n. Security agreements in effect under the Uniform Commercial Code.
  - o. Liens or encumbrances on assets and all other pledges of assets.
  - p. Investments in debt and equity securities, including their classification as trading, available for sale, and held to maturity.
  - q. Liabilities that are subordinated to any other actual or possible liabilities of the Company.
  - r. Leases and material amounts of rental obligations under long-term leases.
  - s. Derivative financial instruments.
  - t. Assets and liabilities measured at fair value in accordance with the Fair Value Measurements and Disclosures Topic of the FASB Accounting Standards Codification.
  - u. Current and deferred assets and liabilities related to the accounting for income taxes.
10. There are no unasserted claims or assessments that our lawyer has advised us are probable of assertion and must be disclosed in accordance with the Contingencies Topic of the FASB Accounting Standards Codification.
11. The Company has satisfactory title to all owned assets.
12. We have complied with all aspects of contractual agreements that would have a material effect on the financial statements in the event of noncompliance.
13. We are responsible for determining that significant events or transactions that have occurred since the balance sheet date and through February 13, 2013, have been

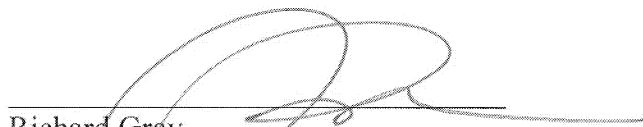
recognized or disclosed in the financial statements. No events or transactions other than those disclosed in the financial statements have occurred subsequent to the balance sheet date and through February 13, 2013 that would require recognition or disclosure in the financial statements. We further represent that as of February 13, 2013, the financial statements were complete in a form and format that complied with accounting principles generally accepted in the United States of America, and all approvals necessary for issuance of the financial statements had been obtained.

14. During the course of your audit, you may have accumulated records containing data that should be reflected in our books and records. All such data have been so reflected. Accordingly, copies of such records in your possession are no longer needed by us.

Sincerely,



Susan Herbst  
President



Richard Gray  
Executive Vice President for Administration  
and Chief Financial Officer

# **University of Connecticut**

Audit of Construction Expenditures of UCONN 2000  
Projects Substantially Completed  
June 30, 2012

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Schedule of UCONN 2000 reported project values	3
Notes to schedule of UCONN 2000 construction projects	4 – 5





## Independent Auditor's Report

To the Board of Trustees and Joint Audit and Compliance Committee  
University of Connecticut  
Storrs, Connecticut

We have audited the accompanying schedules of UCONN 2000 construction projects and reported project values (the Schedules) related to UCONN 2000 projects of the University of Connecticut (the University) specifically identified by management that were substantially completed during the year ended June 30, 2012. These schedules are the responsibility of the University's management. Our responsibility is to express an opinion on these Schedules based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the Schedules are free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the Schedules, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall Schedules presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the Schedules referred to above present fairly, in all material respects, the construction expenditures and reported project values related to UCONN 2000 projects of the University specifically identified by management that were substantially completed during the year ended June 30, 2012, in conformity with accounting principles generally accepted in the United States of America.

This report is intended solely for the information and use of the Board of Trustees, the Joint Audit and Compliance Committee, and management of the University of Connecticut and is not intended to and should not be used by any party other than these specified parties.

*McGladrey LLP*

Chicago, Illinois  
February 13, 2013

University of Connecticut

Schedule of UCONN 2000 Construction Projects

Year Ended June 30, 2012

Project Description	Approved Budget Amount	Original Project Value	Change Orders	Reported Project Value	Amount Under Budget
<b>Named Projects</b>					
Community Professional Building Renovation - Avery Point Campus	\$ 1,358,165	\$ 1,155,152	\$ 193,918	\$ 1,349,070	\$ 9,095
Residential Life Facilities-CT Commons Complex Renovations	6,250,000	4,296,134	713,520	5,009,654	1,240,346
Mansfield Training School Improvements Phase II-Longley Renovations	1,000,000	13,241	-	13,241	986,759 *
West Hartford Campus Renovations/Improvements-Electrical Switchgear Replacement	1,024,700	939,806	25,005	964,811	59,889
Koons Hall Renovation/Addition - Windows, Façade & Mechanical	1,200,000	1,020,933	61,548	1,082,481	117,519
West Hartford Campus Renovations/Improvements - 1800 Asylum Boiler Replacement	850,000	636,090	64,705	700,795	149,205
West Hartford Campus Ren/Imp-Student Lounge & Office Relocation	839,000	786,055	10,601	796,656	42,344
Storrs Hall Addition - Windows and Exterior Repairs	740,000	658,744	(45,817)	612,927	127,073
Law School Renovations/Improvements - Knight Hall, Room 215 Classroom Renovation	575,000	395,801	5,959	401,760	173,240
Avery Point Undergraduate & Library Building - Building 58 Demolition	570,000	225,387	229,039	454,426	115,574
West Hartford Campus Renov-SSW Elevator Hydraulic Cylinder Replacement	100,000	56,070	6,176	62,246	37,754
Support Building Additions/Renovations	7,800,000	85,979	-	85,979	7,714,021 *
Dental School Renovations-Orthodontics Renovation	2,290,000	70,323	-	70,323	2,219,677 *
Library/Student Computer Center-LB053 Library Level B	154,204	144,224	-	144,224	9,980
<b>Stand-Alone UCONN 2000 Deferred Maintenance projects</b>					
Castleman Building Drainage Repair	550,000	461,419	45,632	507,051	42,949
Installation of Filter Press	850,000	745,874	29,463	775,337	74,663
Student Union Quadrangle Site Work and Landscape - Phase II	1,150,000	1,022,865	91,452	1,114,317	35,683
Wood Hall Façade Repairs	1,000,000	784,403	42,583	826,986	173,014
Academic Building (Bldg. A) Roof Replacement	725,000	580,000	14,018	594,018	130,982
Main Building Cooling System - Chillers 1, 2 & 3 Replacement	4,945,000	4,178,256	62,670	4,240,926	704,074
	<u>\$ 33,971,069</u>	<u>\$ 18,256,756</u>	<u>\$ 1,550,472</u>	<u>\$ 19,807,228</u>	<u>\$ 14,163,841</u>

\* Project was canceled in the early stages of the construction life cycle.

The accompanying notes are an integral part of these Schedules.

University of Connecticut

Schedule of UCONN 2000 Reported Project Values  
Year Ended June 30, 2012

Project Description	Construction Expenditures Paid through January 31, 2013	Anticipated Remaining Commitments	Reported Project Value
<b>Named Projects</b>			
Community Professional Building Renovation - Avery Point Campus	\$ 1,294,059	\$ 55,011	\$ 1,349,070
Residential Life Facilities-CT Commons Complex Renovations	4,825,355	184,299	5,009,654
Mansfield Training School Improvements Phase II-Longley Renovations	13,241	-	13,241
West Hartford Campus Renovations/Improvements-Electrical Switchgear Replacement	954,515	10,296	964,811
Koons Hall Renovation/Addition - Windows, Façade & Mechanical	1,079,676	2,805	1,082,481
West Hartford Campus Renovations/Improvements - 1800 Asylum Boiler Replacement	699,080	1,715	700,795
West Hartford Campus Ren/Imp-Student Lounge & Office Relocation	787,037	9,619	796,656
Storrs Hall Addition - Windows and Exterior Repairs	612,927	-	612,927
Law School Renovations/Improvements - Knight Hall, Room 215 Classroom Renovation	401,760	-	401,760
Avery Point Undergraduate & Library Building - Building 58 Demolition	454,426	-	454,426
West Hartford Campus Renov-SSW Elevator Hydraulic Cylinder Replacement	62,246	-	62,246
Support Building Additions/Renovations	85,979	-	85,979
Dental School Renovations-Orthodontics Renovation	70,323	-	70,323
Library/Student Computer Center-LB053 Library Level B	144,224	-	144,224
<b>Stand-Alone UCONN 2000 Deferred Maintenance projects</b>			
Castleman Building Drainage Repair	505,855	1,196	507,051
Installation of Filter Press	769,270	6,067	775,337
Student Union Quadrangle Site Work and Landscape - Phase II	1,111,934	2,383	1,114,317
Wood Hall Façade Repairs	825,086	1,900	826,986
Academic Building (Bldg. A) Roof Replacement	519,854	74,164	594,018
Main Building Cooling System - Chillers 1, 2 & 3 Replacement	3,286,277	954,649	4,240,926
	<u>\$ 18,503,124</u>	<u>\$ 1,304,104</u>	<u>\$ 19,807,228</u>

The accompanying notes are an integral part of these Schedules.

## University of Connecticut

### Notes to Schedule of UCONN 2000 Construction Projects

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#### Note 1. Presentation and Significant Accounting Policies

**Presentation:** The University of Connecticut (the University) is a comprehensive institution of higher education and is a major enterprise fund of the State of Connecticut, which uses the accrual basis of accounting. Under the accrual basis of accounting, project expenditures are recorded when the related liability is incurred.

The schedules of UCONN 2000 construction projects and reported project values (collectively the Schedules) have been prepared by the University's management to comply with certain legislation requiring independent auditors to annually conduct an audit of any project of UCONN 2000, as defined in Connecticut General Statutes Sec. 10a-109z. The purpose of the legislation is to reconcile project costs to project budgets and verify conformity with project budgets, cost allocation agreements, and applicable contracts.

**Use of estimates:** Management uses estimates and assumptions in preparing the Schedules in accordance with accounting principles generally accepted in the United States of America. Those estimates and assumptions affect the reported amounts of projects costs and disclosure of contingent liabilities. Actual results could vary from the estimates that were used.

**Approved budget:** The approved budget amounts are the revised budgeted amounts for the entire contract approved by the Board of Trustees of the University, Executive Vice President for Administration and Chief Financial Officer or Buildings and Grounds Committee after the start of the contract. The breakdown by category is provided by University management and approved by the Board of Trustees. Projects that commenced prior to July 1, 2005 with a total value less than \$500,000 did not require a board approved budget.

**Original project values:** Original project values represent signed contracts entered into by the University for the construction of related projects. Work is performed primarily under design-build and construction manager at-risk contracts. The length of the construction contracts vary, but are typically between one and five years.

An approved change order is a written agreement between the University and the general contractor or construction manager to change the original construction contract. Change orders add to, delete from, or otherwise alter the work set forth in the contract documents at the time that the construction contract is bid.

**Reported project value:** Reported project value includes the original signed contract value plus approved change orders and other adjustments, including changes in specifications, design, method or manner of performance, facilities, equipment, materials, site, and period for completion of work.

**Anticipated remaining commitments:** Anticipated remaining commitments consist of open purchase orders that were unpaid as of January 31, 2013.

**Subsequent events:** The University has evaluated subsequent events for potential recognition and/or disclosure through February 13, 2013, the date the financial statements were available to be issued.

#### Note 2. Construction Expenditures

Construction expenditures include all general contractor and subcontractor costs, and indirect costs related to project performance such as indirect labor, insurance, and professional fees that can be attributed to specific projects that were substantially completed as of June 30, 2012. Indirect costs not specifically allocable to contracts and general and administrative costs are charged to expense as incurred.

**University of Connecticut**

**Notes to Schedule of UCONN 2000 Construction Projects**

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**Note 3. Subsequent Events**

Subsequent to January 31, 2013, the University has anticipated remaining commitments on projects that were substantially completed during the year ended June 30, 2012 of \$1,304,104, which have not been incurred. The Schedules have been updated for subsequent events occurring through January 31, 2013, the date these Schedules were available to be issued.

# **University of Connecticut**

Agreed-Upon Procedures on UCONN 2000  
Construction Expenditures  
June 30, 2012

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Expenditures Selected for Applying Agreed-Upon Procedures	4 – 6



## Independent Accountant's Report

To the Board of Trustees and Joint Audit and Compliance Committee ("JACC")  
University of Connecticut  
Storrs, Connecticut

We have performed the procedures enumerated below, which were agreed to by the University of Connecticut (the University), its Board of Trustees, and the JACC (the specified parties), solely to assist you with meeting the requirements of Connecticut General Statutes Sec. 10a-109z for the fiscal year ended June 30, 2012. Selections of the construction expenditures were made by management, with our assistance. The University's management is responsible for the accounting records related to these construction expenditures. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of those parties specified above in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

### Scope

We were engaged to perform certain procedures for the fiscal year ended June 30, 2012, to assist management of the University in their compliance with Connecticut General Statutes Sec. 10a-109z.

With our assistance, the University's management made selections of construction expenditures during fiscal year 2012. They made their selections from a comprehensive list of 2012 UCONN 2000 construction expenditures for named projects, deferred maintenance and equipment. The comprehensive list of 2012 construction expenditures totaled \$92,852,654. The total includes construction expenditures during 2012 that were components of projects for which we performed other attest engagements. Management selected expenditures totaling \$68,386,005 (the complete list is included in Exhibit 1), equal to 74 percent of the total comprehensive list of 2012 construction expenditures during the fiscal year ended June 30, 2012, in which we were asked to apply the agreed-upon procedures.

The following summarizes our agreed-upon procedures, results, and findings:

### Agreed-Upon Procedures:

1. We obtained a schedule of project expenditures from the University's management to ensure that the expenditures were posted correctly to the University's FRS accounting system under the appropriate project and were properly approved. We also obtained appropriate documentation to support the expenditures selected by management and mathematically recalculated the accuracy of the documentation obtained.



#### Results and Findings:

We considered whether the selected expenditures were appropriately charged to the respective project based on factors such as the description of the project on the invoice, project location, commencement date, the contractor submitting the invoice, and on general inquiries with University personnel. We then agreed the underlying support to the University's FRS system for each related project. The underlying support consisted of: purchase orders, approved changes to contracts, work plans, or purchase order request forms ("green sheet"), approved for payment forms ("goldenrod"), vendor invoices, receiving reports, and equipment rental contracts. During the performance of our procedures in connection with the underlying support, we determined that the following control attributes were performed: (1) the invoice was matched to the original purchase order and a "green sheet" approval form was attached, (2) the "goldenrod" payment approval was attached and signed off by the appropriate level of management, (3) the invoice or supporting documentation was mathematically accurate, and (4) all appropriate sign offs were obtained. We did not find any deficiencies in these controls when applicable. We recomputed the extensions and footings in the supporting documentation without exception.

#### Agreed-Upon Procedures:

2. For labor allocation costs selected by the University, we agreed labor charges on the project cost records to the source documents, such as labor cost allocation worksheets and management approved journal entries. In addition, we also obtained from management the University approved allocation methodology for payroll quantities and recalculated the payroll allocation without exception. In addition, we also obtained from management the University approved allocation methodology for payroll quantities and recalculated the payroll allocation.

#### Results and Findings:

For each labor allocation entry selected, we were provided allocation worksheets isolating the selected payroll allocation recorded for the related project within the University's FRS reporting system. The payroll allocation charge recorded in each project was calculated and applied quarterly to equal 1.75 percent (changed on July 1, 2010 from 1.00 percent) of the project expenditures incurred during that quarter but not to exceed 1.75 percent (or 1 percent prior to July 1, 2010) of the total project budget. We first recalculated these payroll allocation worksheets and determined that they were mathematically accurate without exception. We then ensured that the calculated allocation amount was charged to the proper project by viewing the payroll allocation journal entry, which was approved at the appropriate level in accordance with University procedures, and agreeing the calculated amount to the respective journal entry, and ultimately the FRS report for that project, without exception. Finally, we demonstrated that all allocations recorded to the projects did not exceed 1.75 percent (or 1 percent prior to July 1, 2010) of the respective total project. There were no exceptions noted while performing the above procedures.

#### Agreed-Upon Procedures:

3. For projects selected by the University (all named projects and deferred maintenance contracts over \$500,000), we obtained the approved budgets, change order analysis, original contracts and change orders over \$100,000.

## Results and Findings:

For the projects selected, we verified that the project was presented for approval to the Board of Trustees of the University, Executive Vice President for Administration and Chief Financial Officer and the Provost and Executive Vice President for Academic Affairs (Storrs) or Executive Vice President for Health Affairs & Dean, School of Medicine (UCHC). We then verified the projects were authorized or approved by the Board of Trustees of the University in an amount equal to, or in excess of, total cost expended for the project. For projects under \$500,000, we verified the project was approved by Office of the Executive Vice President for Administration and Chief Financial Officer on the Approval for Budget for Projects Under \$500,000 form. We verified the accuracy of all information on the form. We did not note any exceptions for the budgets.

For the projects selected, we obtained the change order analysis. We agreed the original contract amount to the change order analysis. We selected change orders of \$100,000 and above and agreed them to the change order analysis. We verified that the information listed on the Approval of Change to Original Contract, Workplan or Purchase Order Request ("ACR") agreed to the information listed on the "Approval for Payment" form. We noted that all signatures were on the form and the funding was available for each of these projects. There were no exceptions noted for the projects selected.

## Communication of Procedures

As part of our procedures, we held regular status update meetings with the University's Working Group Team (the Working Group). These meetings were used to communicate the progress of our agreed-upon procedures, including the number of expenditures reviewed; findings identified; follow-up items; responsibility for follow-up; status of documentation needed to complete our engagement; any change to our scope and procedures; our fees to date and status of our billings; and follow-up on previous meetings' open items.

In addition, we met with the University's Senior Management on February 12, 2013. Our discussions were similar to those noted above. At this meeting, we discussed our final report and other communications.

Finally, we made ourselves available to the JACC and attended the February 13, 2013 JACC meeting to present our findings and to finalize our results.

We were not engaged to, and did not conduct an audit, the objective of which would be the expression of an opinion on the UCONN 2000 construction expenditures for the fiscal year ended June 30, 2012.

Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Board of Trustees, the Joint Audit and Compliance Committee, General Assembly of the Connecticut State Legislature and management of the University, and is not intended to be and should not be used by anyone other than these specified parties.

*McGladrey LLP*

Chicago, Illinois  
February 13, 2013

University of Connecticut

Exhibit 1 - Expenditures Selected for Applying Agreed-Upon Procedures  
Year Ended June 30, 2012

Project/FRS Account Number	Project Name	Description/Vendor	Project Expenditures Selected
901126	Arjona and Monteith	SKANSKA USA BUILDING	\$ 2,951,492
901126	Arjona & Monteith	SKANSKA USA BUILDING	2,279,823
901126	Arjona & Monteith	SKANSKA USA BUILDING	2,175,181
901126	Arjona & Monteith	SKANSKA USA BUILDING	2,172,349
901590	UCHC Planning & Design Costs (HC Hospital)	HKS-SBA	2,147,621
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,983,834
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,859,572
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,834,117
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,784,598
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,669,328
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,653,873
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,624,002
901590	UCHC Planning & Design Costs (HC Hospital)	HKS-SBA	1,525,486
901590	UCHC Planning & Design Costs (HC Hospital)	HKS-SBA	1,518,561
901590	UCHC Planning & Design Costs (HC Hospital)	HKS-SBA	1,508,151
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,456,223
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,384,597
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,198,620
901126	Arjona & Monteith	SKANSKA USA BUILDING	1,142,714
901590	UCHC Planning & Design Costs (HC Hospital)	HKS/SBA	993,225
901590	UCHC Planning & Design Costs (HC Hospital)	HKS/SBA	949,875
901590	UCHC Planning & Design Costs (HC Hospital)	HKS-SBA	916,976
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	868,811
901388	Psychology Building Renovation/Addition	DANIEL *O'CONNELL'S	862,647
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	853,363
883316	University of CT Health Center Deferred Maintenance-Phase III	ALL STATE CONSTRUCTION	816,120
901126	Arjona & Monteith	SKANSKA USA BUILDING	796,890
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	779,851
901380	Biobehavioral Complex Replacement	CARLIN CONSTRUCTION	743,020
901126	Arjona & Monteith	HB COMMUNICATIONS IN	741,963
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	729,977
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	652,233
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	651,967
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	610,123
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	598,437
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	593,810
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	592,372
901380	Biobehavioral Complex Replacement	CARLIN CONSTRUCTION	577,560
901388	Psychology Building Renovation/Addition	DANIEL *O'CONNELL'S	552,936
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	487,263
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	479,107
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	467,696
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	464,520
883316	University of CT Health Center Deferred Maintenance-Phase III	ALL STATE CONSTRUCTION	452,246
901134	UCHC Main Building Renovation	BURT HILL INC	417,480
901388	Psychology Building Renovation/Addition	CUH2A ARCHITECTS ENG	407,877
883316	University of CT Health Center Deferred Maintenance-Phase III	GREENWOOD INDUSTRIES, INC.	401,285
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	390,466
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	390,219
901590	UCHC Planning & Design Costs (HC Hospital)	HKS-SBA	380,325
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	380,210
901590	UCHC Planning & Design Costs (HC Hospital)	TURNER CONSTRUCTION	366,170
901265	Storrs Hall Additon	CONSIGLI CONSTRUCTION CO., INC.	349,059
901259	Storrs Deferred Maint & Renov - Phase I, II & III	KBE BUILDING CORP	336,108
901646	Beach Hall Renovations	GL CAPASSO INC	319,304
901590	UCHC Planning & Design Costs (HC Hospital)	HKS-SBA	317,781
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	317,658
880213	Storrs Equip, Library Coll, Telecom - Phase I & II	ORACLE AMERICA INC	310,465

University of Connecticut

Exhibit 1 - Expenditures Selected for Applying Agreed-Upon Procedures  
Year Ended June 30, 2012

Project/FRS Account Number	Project Name	Description/Vendor	Project Expenditures Selected
901126	Arjona & Monteith	SKANSKA USA BUILDING	299,883
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	282,023
901380	Biobehavioral Complex Replacement	CARLIN CONSTRUCTION	269,557
901259	Storrs Deferred Maint & Renov - Phase I, II & III	KBE BUILDING CORP	265,645
901259	Storrs Deferred Maint & Renov - Phase I, II & III	KBE BUILDING CORP	255,166
880213	Storrs Equip, Library Coll, Telecom - Phase I & II	ORACLE AMERICA INC	249,047
901133	UCHC CLAC Renovation Biosafety Level Lab 3	PERKINS + WILL INC	245,719
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	245,130
901126	Arjona & Monteith	SKANSKA USA BUILDING	235,069
901126	Arjona & Monteith	HB COMMUNICATIONS IN	232,982
880213	Storrs Equip, Library Coll, Telecom - Phase I & II	ORACLE AMERICA INC	232,604
901126	Arjona & Monteith	SKANSKA USA BUILDING	225,343
880213	Storrs Equip, Library Coll, Telecom - Phase I & II	ORACLE AMERICA INC	220,105
880213	Equip, Library Coll, Telecom - UCHC	SUNGARD HIGHER EDUCATION INC	216,624
901132	UCHC Research Tower	FIP CONSTRUCTION INC	216,582
880213	Storrs Equip, Library Coll, Telecom - Phase I & II	INTERSTATE FORD TRUC	216,196
901583	Storrs Deferred Maint & Renov - Phase I, II & III	CONSIGLI CONSTRUCTION CO., INC.	211,446
880213	Equip, Library Coll, Telecom - UCHC	SUNGARD HIGHER EDUCATION INC	206,459
901126	Arjona & Monteith	SKANSKA USA BUILDING	202,752
901380	Biobehavioral Complex Replacement	OFC ENVIRN/RED THREA	197,313
901259	Storrs Deferred Maint & Renov - Phase I, II & III	KBE BUILDING CORP	193,734
901259	Storrs Deferred Maint & Renov - Phase I, II & III	CB EXP ALLOC-901259	191,385
901259	Storrs Deferred Maint & Renov - Phase I, II & III	KBE BUILDING CORP	189,653
901590	UCHC Planning & Design Costs (HC Hospital)	TURNER CONSTRUCTION	188,539
901726	Storrs Deferred Maint & Renov - Phase I, II & III	STANDARD ELECTRIC IN	187,400
901590	UCHC Planning & Design Costs (HC Hospital)	HKS/SBA	176,148
901590	UCHC Planning & Design Costs (HC Hospital)	HKS/SBA	170,883
880213	Equip, Library Coll, Telecom - UCHC	INTL INTEGRATED SOLUTIONS LTD	163,379
901380	Biobehavioral Complex Replacement	CARLIN CONSTRUCTION	162,751
880213	Storrs Equip, Library Coll, Telecom - Phase I & II	ORACLE AMERICA INC	149,553
901612	Fine Arts Phase II	ALL STATE CONSTRUCTION	146,305
901373	Young Building Renovation/Addition	DPC ARCHITECTURE INC	137,806
901311	Storrs Deferred Maint & Renov - Phase I, II & III	FISHER TANK COMPANY	137,793
901126	Arjona & Monteith	HB COMMUNICATIONS IN	137,772
901590	UCHC New Construction and Renovation	JACOBS PROJECT MANAG	137,401
901727	Storrs Deferred Maint & Renov - Phase I, II & III	TABACCO & SON BUILDE	136,400
901569	WH Campus Renovations/Improvements	ALL PHASE ENTERPRISE	134,896
901640	Storrs Deferred Maint & Renov - Phase I, II & III	SILKTOWN ROOFING INC	129,899
880213	Equip, Library Coll, Telecom - UCHC	SUNGARD HIGHER EDUCATION INC	129,121
901126	Arjona & Monteith	SKANSKA USA BUILDING	129,063
880213	Equip, Library Coll, Telecom - UCHC	INTL INTEGRATED SOLUTIONS LTD	128,598
901421	Storrs Deferred Maint & Renov - Phase I, II & III	ALL STATE CONSTRUCTION	126,936
901126	Arjona & Monteith	ADMSAL DIST 1STQTR	126,284
901278	Avery Point Undergrad & Library Bldg	SASAKI ASSOCIATES IN	125,980
901278	Avery Point Undergrad & Library Bldg	SASAKI ASSOCIATES IN	125,980
883316	University of CT Health Center Deferred Maintenance-Phase III	ALL STATE CONSTRUCTION	125,407
901421	Storrs Deferred Maint & Renov - Phase I, II & III	ALL STATE CONSTRUCTION	122,842
901636	Storrs Deferred Maint & Renov - Phase I, II & III	BRD BUILDERS LLC	122,562
901373	Young Building Renovation/Addition	DPC ARCHITECTURE INC	119,946
901126	Arjona & Monteith	ADMSAL DIST 4THQTR	119,689
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	117,853
901367	Floriculture Greenhouse	CARLIN CONSTRUCTION	116,725
901132	UCHC Research Tower	FIP CONSTRUCTION INC	116,712
901259	Storrs Deferred Maint & Renov - Phase I, II & III	KBE BUILDING CORP	116,018
901259	Storrs Deferred Maint & Renov - Phase I, II & III	KBE BUILDING CORP	114,192
900965	North Hillside Road Completion	FUSS & ONEILL INC	114,100
901658	Storrs Deferred Maint & Renov - Phase I, II & III	TABACCO & SON BUILDE	112,648
901428	Storrs Deferred Maint & Renov - Phase I, II & III	CARTER BURGESS INC	112,320

University of Connecticut

Exhibit 1 - Expenditures Selected for Applying Agreed-Upon Procedures  
Year Ended June 30, 2012

Project/FRS Account Number	Project Name	Description/Vendor	Project Expenditures Selected
901590	UCHC Planning & Design Costs (HC Hospital)	HKS-SBA	112,066
201543	Avery Point Renovations	SARAZIN GENERAL CONTRACTORS, IN	111,046
901376	Engineering Building	MITCHELL *GIURGOLA A	110,517
901212	UCHC Dental School Renovation	SARAZIN GENERAL CONTRACTORS, IN	109,666
201543	Avery Point Renovations	SARAZIN GENERAL CONTRACTORS, IN	109,545
901126	Arjona & Monteith	ADMSAL DIST 4THQTR	109,523
901126	Arjona & Monteith	SKANSKA USA BUILDING	108,473
901373	Young Building Renovation/Addition	DPC ARCHITECTURE INC	108,014
901320	Storrs Deferred Maint & Renov - Phase I, II & III	ALL STATE CONSTRUCTION	105,579
901428	Storrs Deferred Maint & Renov - Phase I, II & III	CARTER BURGESS INC	103,864
901134	UCHC Main Building Renovation	BURT HILL INC	103,273
201543	Avery Point Renovations	SARAZIN GENERAL CONTRACTORS, IN	102,599
883316	University of CT Health Center Deferred Maintenance-Phase III	MONTAGNO CONSTRUCTION INC.	102,082
880213	Equip, Library Coll, Telecom - UCHC	HARRASSOWITZ	100,000
201543	Avery Point Renovations	SARAZIN GENERAL CONTRACTORS, IN	99,847
880213	Equip, Library Coll, Telecom - UCHC	INTL INTEGRATED SOLUTIONS LTD	95,450
883316	University of CT Health Center Deferred Maintenance-Phase III	GREENWOOD INDUSTRIES, INC.	94,243
201543	Avery Point Renovations	SARAZIN GENERAL CONTRACTORS, IN	90,455
883316	University of CT Health Center Deferred Maintenance-Phase III	COMNET COMMUNICATIONS, LLC	84,002
880213	Equip, Library Coll, Telecom - UCHC	SUNGARD HIGHER EDUCATION INC	83,956
201543	Avery Point Renovations	SARAZIN GENERAL CONTRACTORS, IN	83,177
880213	Equip, Library Coll, Telecom - UCHC	NWN	83,138
901280	Gentry Renovation & Completion	SVIGALS & PARTNERS LLP	63,841
901663	UCHC Library/Student Computer Center Renovation	BKM TOTAL OFFICE	54,788
901366	Farm Buildings Repairs/Replacment	HAZ-PROS INC	54,000
201543	Avery Point Renovations	SARAZIN GENERAL CONTRACTORS, IN	52,678
880213	Equip, Library Coll, Telecom - UCHC	INTL INTEGRATED SOLUTIONS LTD	52,624
883316	University of CT Health Center Deferred Maintenance-Phase III	ALL STATE CONSTRUCTION	43,835
901545	Benton State Art Museum Addition	SIMPLEX GRINNELL LP	34,317
901588	Avery Point Renovations	SARAZIN GENERAL CONTRACTORS, IN	31,874
901663	UCHC Library/Student Computer Center Renovation	BKM TOTAL OFFICE	21,868
901274	Jorgensen Renovation	CB EXP ALLOC-901274	14,074
201543	Avery Point Renovations	SARAZIN GENERAL CONTRACTORS, IN	11,406
901213	Mansfield Training School Improvements	PURCELL ASSOCIATES	1,835
901718	Gant Building Renovations	NER CONSTRUCTION MAN	1,322
901545	Benton State Art Museum Addition	FUSS & ONEILL INC	993
901374	Gant Building Renovations	ADMSAL DIST 4THQTR	618
901274	Jorgensen Renovation	ADMSAL DIST 4THQTR	166
201543	Avery Point Renovations	ADMSAL DIST 3RDQTR	32
901280	Gentry Renovation & Completion	ADMSAL DIST 4THQTR	14
901213	Mansfield Training School Improvements	ADMSAL DIST 2NDQTR	6
901366	Farm Buildings Repairs/Replacment	ADMSAL DIST 4THQTR	2
901126	Arjona & Monteith	ADMSAL DIST 4THQTR	(119,689)
901590	UCHC Planning & Design Costs (HC Hospital)	UCHC MILONE & MACBRO	(161,584)
901259	Storrs Deferred Maint & Renov - Phase I, II & III	CB EXP ALLOC-901259	(191,385)
Total expenditures subjected to the agreed-upon procedures			<u>\$ 68,386,005</u>
Total 2012 construction expenditures			<u>\$ 92,852,654</u>
Percent of expenditures subjected to the agreed-upon procedures to total expenditures			<u>74%</u>

## **ATTACHMENT 25**

# The University of Connecticut Foundation, Inc.

## Development Progress Executive Summary

July 1, 2012 to December 31, 2012

Progress Toward Goals	FYTD12 Results	FY13 Goal	FYTD13 Results	
<b><u>Commitment Results</u></b>				
Athletics	\$ 9.66 M	\$ 17.00 M	\$ 3.40 M	20%
UConn Health Center	\$ 3.17 M	\$ 12.00 M	\$ 3.96 M	33%
UConn General	\$ 12.28 M	\$ 34.00 M	\$ 25.24 M	74%
<b><u>Total Commitments</u></b>	<b><u>\$ 25.11 M</u></b>	<b><u>\$ 63.00 M</u></b>	<b><u>\$ 32.60 M</u></b>	<b>52%</b>
<b><u>Number of donors</u></b>	16,365	30,000	18,073	
<b><u>Cash Results</u></b>				
Cash basis gift receipts	\$ 21.68 M	\$ 50.00 M	\$ 29.53 M	59%
Annual fund unrestricted receipts	\$ 588 K	\$ 1.00 M	\$ 520 K	52%

Campaign Commitments Progress	
<b>Fiscal Year 2013 Campaign Total (to Date)*</b> * This total reflects campaign write-offs and pre-campaign total of \$10.6 million, as appropriate.	\$ 369.20 M

## **INFORMATIONAL ITEMS**



**University of Connecticut Department of Human Resources**  
**New Hires Processed from November 28, 2012 to February 6, 2013**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATE
ADAMS, ALANA M.	UC PROFESSIONAL 06 - ACADEMIC ADVISOR II	BUSINESS DEAN OFC	12/21/2012
ADAMS, BENJAMIN W.	UC PROFESSIONAL 04 - TECHNICAL ASST I	MARINE SCIENCES/MSTC	12/14/2012
ANIELLO, CHRISTINE L.	UC PROFESSIONAL 04 - ADMIN SERV ASST III	LIBRARY VICE PROV OFC	12/28/2012
APONTE, BLAINE A.	UC PROFESSIONAL 06 - ADMIN SERV ASST IV	FINANCE DEPT	11/30/2012
ATTANAYAKE, JANUKA	U POST DOC FEL 1	PHYSICS	12/31/2012
BABCOCK, DONALD W.	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	LAW SCH LIBRARY	1/11/2013
BARBER-TOURNAUD, TERESA L	UC PROFESSIONAL 08 - PROGRAM DIRECTOR	CTR CLEAN ENERGY ENGINEER	12/14/2012
BAU, JOHN A. JR	UC PROFESSIONAL 04 - CAREER COUNSELOR	CAREER SERVICES-AUX	1/25/2013
BEDARD, NATHAN	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	RESIDENTIAL LIFE OFC	12/14/2012
BRICKLEY, ANN M.	INSTR IN RES	ENGINEERING DEAN OFC	11/16/2012
BUGGS, KERMIT E.	SPECIALIST IIA	ATHLETICS MENS INTERCOL	1/22/2013
CERNY, JENNIFER M.	UC PROFESSIONAL 05 - SA PROG COORD II	LAW SCH DEAN OFC	2/1/2013
CLIFFORD, ERIKA N.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	BUSINESS DEAN OFC	12/17/2012
COLLETTI, MEGAN R.	U POST DOC FEL 1	NUTRITIONAL SCIENCES	1/23/2013
DAVITT, PATRICK M.	U POST DOC FEL 1	KINESIOLOGY	1/11/2013
DENNIGAN, DARCIE	ASST PROF IN RES	ENGLISH	1/1/2013
DEWITT, JEFFREY R.	U STAFF PROF II	STAMFORD ASSOC VICE PROV	1/11/2013
DORMIDONTOVA, ELENA E.	ASSOCIATE PROFESSOR	MATERIALS SCIENCE INST	1/1/2013
DUMAS, ELAINE	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PROCUREMENT SERVICES	12/10/2012
EDWARDS, MICHAEL A.	UC PROFESSIONAL 09 - U LIBRARIAN III	LIBRARY VICE PROV OFC	12/28/2012
FATU, VLAD C.	UC PROFESSIONAL 11 - NETWORK TECHNICIAN 4	NETWORK ENG & DESIGN	12/28/2012
FERNANDEZ SAINZ, IGNACIO	RESEARCH ASSOCIATE 3	PATHOBIOLOGY	1/1/2013
FU, QIANG	U POST DOC FEL 1	PHARMACEUTICAL SCI	1/7/2013
GEISSERT, DIANNA L.	UC PROFESSIONAL 04 - ADMIN SERV ASST III	EDUCATION DEAN OFC	1/11/2013
GEORGESCU, RAMONA A.	U POST DOC FEL 1	ELECTRICAL & CPTR ENGR	1/11/2013
GOLDSNIDER, ALLISON	UC PROFESSIONAL 07 - GRANTS/CONTR SPEC II	LIBERAL ARTS & SCI DEAN	12/28/2012
HORWITZ, RACHEL	U POST DOC FEL 1	MARINE SCIENCES/MSTC	11/16/2012

**University of Connecticut Department of Human Resources  
New Hires Processed from November 28, 2012 to February 6, 2013  
Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATE
JASTI, VIJAY P.	U POST DOC FEL 1	CHEMISTRY	1/1/2013
KENDIG, PATRICK T.	VICE PRESIDENT	UNIVERSITY COMMUNICATIONS	1/11/2013
KING, DEBORAH D.	UC PROFESSIONAL 05 - ALUMNI REL COORD	LAW SCH DEAN OFC	6/29/2012
KOUNDINYA, VIKRAM	U POST DOC FEL 1	ECONOMIC DEVELOPMENT	1/25/2013
KUBAROVSKIY, ALEXEY	U POST DOC FEL 1	PHYSICS	1/15/2013
LESSEUR, SHAWNA M.	UC PROFESSIONAL 07 - PROGRAM MANAGER	ISS-1ST YR PROG/LEARNING	1/16/2013
LI, JIN	UC PROFESSIONAL 03 - LAB TECHNICIAN I	PHARMACEUTICAL SCI	12/14/2012
LOPEZ, JILLIAN	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	SPONSORED PROGRAMS	10/15/2010
LYNCH, JULIE M.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	ISS-ACD CTR ENTER STUDENT	1/18/2013
MAYO, ELLEN D.	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	SEP-HONORS PROGRAM	1/1/2013
MCCARDELL, GRANT	U POST DOC FEL 1	MARINE SCIENCES/MSTC	11/30/2012
MCNICKLE, KAYLA	UC PROFESSIONAL 03 - PROG ASSISTANT I	STUDY ABROAD	12/14/2012
MICHAUD, LUCY J.	ASST EXT PROF	REAL EST & URBAN ECON CTR	1/22/2013
MILLETT, ABRAHAM A.	UC PROFESSIONAL 04 - COMP TECH SUP CONS 1	ITL-INSTR & CLASS DESIGN	12/14/2012
NAKHMANSO, SERGE M.	ASSOCIATE PROFESSOR	MATERIALS SCIENCE INST	1/1/2013
NEGRON, MONIQUE S.	UC PROFESSIONAL 07 - PROGRAM SPEC II	ISS-1ST YR PROG/LEARNING	2/1/2013
NGUNJIRI, JOHN M.	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	1/1/2013
NGUYEN, CHI T.	U POST DOC FEL 1	MATERIALS SCIENCE INST	1/13/2012
O'CONNOR, KIMBERLY J.	UC PROFESSIONAL 06 - GRANTS/CONTR SPEC I	SPONSORED PROGRAMS	1/18/2013
ORTIZ, GLENN R.	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	BUSINESS DEAN OFC	12/28/2012
PANDE, PARITOSH	U POST DOC FEL 1	CHEMISTRY	1/1/2013
PEDERSEN, ASHLEY M.	UC PROFESSIONAL 06 - PROGRAM SPEC I	CAREER SERVICES-AUX	1/11/2013
PIANTEK, KAREN C.	UC PROFESSIONAL 03 - PROG ASSISTANT I	SEP-IMJR/INTERDISC STUDIE	1/11/2013
PICHETTE, MEGAN C.	UC PROFESSIONAL 03 - PROG ASSISTANT I	EDUCATION DEAN OFC	12/14/2012
PIERCE, JOSHUA C.	RESEARCH ASSISTANT I	SOCIAL WORK INSTR & RES	1/7/2013
PIOREK, CORINNE	UC PROFESSIONAL 03 - PROG ASSISTANT I	KINESIOLOGY	1/11/2013
PLUCKER, JONATHAN	PROFESSOR - DIRECTOR	EDUCATIONAL LEADERSHIP	11/1/2012

**University of Connecticut Department of Human Resources  
New Hires Processed from November 28, 2012 to February 6, 2013  
Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
QUINLAN, GLENN P.	UC PROFESSIONAL 01 - FINANCIAL ASST I	LAW SCH LIBRARY	12/28/2012
RINDELL, SAMUEL C.	UC PROFESSIONAL 07 - PROGRAM MANAGER	BUSINESS EXEC EDUC	12/31/2012
ROZENDAAL, DANA E	U POST DOC FEL 1	ECOLOGY & EVOL BIOLOGY	12/3/2012
RUIZ, KATHERINE	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	BUSINESS DEAN OFC	1/11/2013
SAMANAMU, CHRISTIAN R.	U POST DOC FEL 1	CHEMISTRY	1/10/2013
SAVINO, LAURIE D.	UC PROFESSIONAL 06 - SHS NURSE	STUDENT HEALTH SERVICES	10/1/2012
SCOPPETTA, CHRISTOPHER S.	UC PROFESSIONAL 05 - DNG SERV AR AST MGR	ANIMAL SCIENCE	1/3/2013
SHARP, EILEEN H.	ASST CLIN PROF 11MO	NURSING INSTR & RES	1/1/2013
SHILING, BENJAMIN W.	UC PROFESSIONAL 03 - NETWORK TECHNICIAN 1	NETWORK ENG & DESIGN	1/25/2013
SLONSKI, SHAWN	UC PROFESSIONAL 03 - NETWORK TECHNICIAN 1	NETWORK ENG & DESIGN	1/25/2013
SMITH, RACHEL L.	UC PROFESSIONAL 03 - PROG ASSISTANT I	HARTFORD DIRECTOR OFC	11/30/2012
SONG, YINHONG	U POST DOC FEL 1	ALLIED HEALTH SCIENCES	12/20/2012
STEVENSON, GORDON P.	LECTURER	PHILOSOPHY	1/11/2013
STRASSFELD, ELIEZER F.	U STAFF PROF IV	PROCUREMENT SERVICES	1/11/2013
VILCHINSKAS, SUZANNE M.	U ED ASSISTANT 3	HUMAN RESOURCES	12/14/2012
WEIST, TERRY J.	SPECIALIST IIA	ATHLETICS MENS INTERCOL	1/22/2013

**University of Connecticut Department of Human Resources**  
**Separations Processed from November 28, 2012 to February 6, 2012**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	SEPARATION REASON	DEPT	DATE
ADOMEIT, KRISTIN A.	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	SW	12/13/2012
ALI, ANASTASIA J.	UC PROFESSIONAL 01 - PROGRAM AIDE	RESIGNATION (GOOD STANDING)	ATH	1/11/2013
BABIJ, LANA	UC PROFESSIONAL 07 - U LIBRARIAN II	RETIREMENT (55/W 10-24 YEARS)	LIB	12/31/2012
BALLESTER, ESTRELLITA	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	CHIP	8/14/2012
BROWN, DON A.	SPECIALIST IIA	RESIGNATION (GOOD STANDING)	ATH	12/18/2012
BROWN, THERESA R.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION (GOOD STANDING)	ABROAD	1/25/2013
CRUMP, FELICIA	UC PROFESSIONAL 07 - ACAD COUNSELOR/COORD	RESIGNATION (GOOD STANDING)	CPIA	1/10/2013
DELPIN, LESLIE	UC PROFESSIONAL 10 - EH&S MANAGER	RETIREMENT (55/W 10-24 YEARS)	EH&S	12/31/2012
ELDER, CRAWFORD L.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	PHIL	12/31/2012
FRY, VICKI	U STAFF PROF I	RESIGNATION (GOOD STANDING)	HR	1/31/2013
GAGLIARDI, MARIO N.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	PLSC	12/7/2012
GAVIN, MARY K.	ASSOC PROF IN RES	RETIREMENT (55/W 10-24 YEARS)	EPSY	12/31/2012
HANDFIELD, DIANE M.	UC PROFESSIONAL 05 - PROGRAM COORD	RETIREMENT (25+ YRS SERVICE)	AP&SP	12/31/2012
HARRIS, AIMEE R.	UC PROFESSIONAL 01 - FINANCIAL ASST I	RESIGNATION (GOOD STANDING)	BIOL	11/30/2012
HECKMAN, JAN E.	UC PROFESSIONAL 09 - U LIBRARIAN III	RETIREMENT (55/W 10-24 YEARS)	LIB	1/31/2013
HILLS, MORGAN A. II	U STAFF PROF III	RESIGNATION (GOOD STANDING)	PT	11/14/2012
KINGSTONE, PETER R.	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	POLS	8/22/2012
LANDRY, WAYNE	UC PROFESSIONAL 07 - SUPPLY MANAGER	RETIREMENT (25+ YRS SERVICE)	STORES	11/30/2012
LENNON, KAYLA	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	12/18/2012
LOVE, PETER	ASSOCIATE PROFESSOR	RETIREMENT (25+ YRS SERVICE)	RG CMP	12/31/2012
LOVELACE, MAURICE N.	UC PROFESSIONAL 11 - COMPUTER TECHNICIAN	RETIREMENT (25+ YRS SERVICE)	INFOSV	12/31/2012
MAH, EUNICE	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	NUSC	1/1/2013
MALIK, CHANCHAL K.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CHEM	1/31/2013
MANNING, MARY J.	UC PROFESSIONAL 04 - LAB TECHNICIAN II	RETIREMENT (55/W 10-24 YEARS)	BIOL	1/31/2013
MEHARRY, PAMELA M.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	NURS	12/5/2012
MILDNER, TAMI-MARIE	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	RESIGNATION (GOOD STANDING)	FINAID	12/21/2012
O'BRIEN, SEAN	UC PROFESSIONAL 06 - COMP PROG/ANLYST 1	RESIGNATION (GOOD STANDING)	FINAID	1/11/2013

**University of Connecticut Department of Human Resources  
 Separations Processed from November 28, 2012 to February 6, 2012  
 Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPT</b>	<b>DATE</b>
PAGE, KIMBERLY D.	UC PROFESSIONAL 09 - ASSOCIATE REGISTRAR	RETIREMENT (25+ YRS SERVICE)	REGIS	11/30/2012
PEARSON, GWEN A.	UC PROFESSIONAL 05 - PROGRAM COORD	RESIGNATION (GOOD STANDING)	SEP	12/31/2012
RONDEAU, REBECCA A.	UC PROFESSIONAL 05 - PROGRAM COORD	RESIGNATION (GOOD STANDING)	CS	6/26/2012
ROSEN, HADLEY	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	RESIGNATION (GOOD STANDING)	AERS	12/21/2012
SALAS, MARILYN	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	PATH	12/24/2012
SMITH, MATTHEW J.	UC PROFESSIONAL 09 - OPER SYS PROG/ANAL 3	RESIGNATION (GOOD STANDING)	INFRA	1/24/2013
STANDISH, MAUREEN R.	UC PROFESSIONAL 04 - SHS X-RAY SUPV	RETIREMENT (55/W 10-24 YEARS)	HEALTH	11/30/2012
STEVENS, PETER	UC PROFESSIONAL 07 - ASST TO DIRECTOR	RETIREMENT (55/W 10-24 YEARS)	REGIS	12/31/2012
SULLIVAN, KEVIN M.	UC PROFESSIONAL 08 - PROGRAM DIRECTOR	RETIREMENT (55/W 10-24 YEARS)	ISS	1/31/2013
WANG, YONG	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	CMBE	12/31/2012
WHITE, CLAYTON	SPECIALIST IIA	RESIGNATION (GOOD STANDING)	ATH	12/20/2012
WILLIS, JASMINE C.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	1/7/2013

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 2/6/2013**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Ayers, John	Associate Professor	Electrical & Cptr Engr	12/17/12-5/11/13	Medical leave with pay
Berger-Walliser, Gerlinde	Assistant Professor	Marketing Dept	12/22/12-3/22/13	Medical leave with pay
Bosker, Thijs	Assistant Professor	Envir Sci & Engrng Ctr	8/23/12-1/13/13	Personal leave without pay (revised end date)
Bosker, Thijs	Assistant Professor	Envir Sci & Engrng Ctr	1/14/13-8/22/13	Other leave with partial pay (21%)
Chadwick, Cary	Asst Coop Ext Educ Res	Department of Extension	12/19/12-1/29/13	Maternity leave with pay
Cobb, William J	Associate Professor	History	8/23/12-12/31/12	Personal leave without pay (revised end date)
Comprone, Dennis P	UCP 9 - Bldg Renov Proj Dir	Residential Life Ofc	11/26/12-1/24/13	Medical leave with pay
Demurjian, Steven A	Professor	Computer Science & Eng	12/6/12-1/22/13	Medical leave with pay
Dobrynin, Andrey V	Professor	Physics	1/14/13-1/13/14	Research leave with pay
Drake, Linda T	UCP 8 - Program Director	Nutritional Sciences	11/15/12-1/24/13	Medical leave with pay
Dunlop, Julia	UCP 8 - Dev/Alumni Dir	Law School Dean Ofc	1/15/13-1/28/13	Medical leave with pay
Ellis, Howard W	UCP 6 - Comp Lab Coord II	Computer Science & Eng	12/14/13-1/4/13	Medical leave with pay
Fenteany, Gabriel	Associate Professor	Chemistry	11/5/12-1/11/13	Medical leave with pay
Fenteany, Gabriel	Associate Professor	Chemistry	1/14/13-4/30/13	Red Sch Medical leave with pay
Forbes, Robert	Assistant Professor	History	4/23/13-8/22/13	Fellowship leave with pay
Fox, Jennifer M	UCP 10 - SHS Psychologist	Student Health Services	12/5/12 - 12/12/12	Medical leave with pay
George, Rickie D	UCP 9 - Mgr Tch Projects	Materials Science Inst	12/14/12-1/27/13	Medical leave with pay
Guenette, Linda A	Sp Internal Lect III	Communication	1/1/13-6/30/13	Medical leave with pay
Heckman, Jan E	UCP 9 - U Librarian III	Library Reg Campuses	12/19/2012-1/31/13	Medical leave with pay
Kuo, Lynn	Professor	Statistics	11/10/12-1/21/13	Medical leave with pay
Malcolm, Barris	Associate Professor	Social Work	1/1/13-2/28/13	Medical leave with pay
Norsworthy, Claudia E	UCP 6 - Program Spec I	Law School Dean Ofc	12/19/12-1/4/13	Medical leave with pay
Oguibe, Oluchukwu O	Professor	Art & Art History	1/4/13-1/13/13	Medical leave with pay
Oguibe, Oluchukwu O	Professor	Art & Art History	1/14/13-8/22/13	Red Schedule Medical leave with pay
Paguni, Anthony J	UCP 5 - Dng Serv Ar Ast Mgr	Dining Services	12/7/12-12/13/12	Medical leave with pay
Palmieri, Katherine E	UCP 7 - Asst to Director	Torrington Director Ofc	1/2/13-1/24/13	Medical leave with pay
Peracchio, Heather L	Asst CoopExtEduc Res	Department of Extension	11/20/12-12/31/12	Maternity leave with pay
Pollard, Katherine	UCP 4 - Admin Serv Spec II	Avery PT Assoc Vice PR	1/22/13-1/25/13	Medical leave with pay
Russo, Gisele C	UCP 6 - Grants/Contr Spec I	Psychology	12/12/12-1/24/13	Medical leave with pay
Slater, Karen A	UCP 5 - Admin Coordinator	Booth Research Center	12/11/12-1/24/12	Medical leave with pay
Sobieraj, Diana	Assistant Professor	Pharmacy Practice	1/26/13-2/2/13	Parental leave without pay
Stephens, Jason M	Associate Professor	Educational Psychology	8/23/13-8/22/14	Personal leave without pay
Whitlatch, Robert B	Professor	Marine Sciences/MSTC	10/4/12-1/6/13	Medical leave with pay

## **COMMITTEE AGENDAS**

**Committee on Institutional Advancement  
University of Connecticut – Board of Trustees**

*Tuesday, February 12, 2013*

4:00 p.m.

Teleconference

1. Welcome/IA Committee Membership .....Mr. Treibick  
**Attachment 1**
  
2. Acceptance of the Minutes of September 11, 2012.....Mr. Treibick  
**Attachment 2**
  
3. Development Report/Alumni Commitment by County.....Mr. Martin  
**Attachment 3**
  
4. Naming Recommendation .....Mr. Treibick  
**Attachment 4**
  
- Facility:**  
**UConn Health Center**  
- Richard and Jane Lublin Waiting Room
  
5. Alumni Update/Alumni Attitude Survey .....Ms. Lewis  
**Attachment 5**
  
6. Communications Update .....Mr. Kendig/Mr. Martel
  
7. Legislative Update.....Ms. Garber  
Ms. Lombardo

Teleconferencing Instructions

- Dial **1-800-857-7413**. The password is **UCONN**. Conference Leader: **Richard Treibick**.
- The operator will ask for your name, announce to other participants that you are joining the conference and you will be connected.



# Joint Audit & Compliance Committee

## Agenda

10:00 am – 10:45 am – Executive Session

10:45 am – 12:00 pm - Public Meeting

February 13, 2013

Issue	Proposed Action	Tab
<p>Executive Session to discuss:</p> <ul style="list-style-type: none"> <li>C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined the public's interest in withholding outweighs the public's interest in disclosure.</li> <li>C.G.S. 1-200(6)[E] A discussion of any matter which would result in the disclosure of public records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)]</li> <li>C.G.S. 1-200(6)[C] – Matters concerning standards, processes and codes not available to the public the disclosure of which would compromise the security or integrity of information technology systems.</li> </ul>		None
Opportunity for Public Comment		None
Minutes of the December 11, 2012 JACC Meeting	Approval	1
<p>Storrs &amp; UCHC Significant Compliance Activities</p> <ul style="list-style-type: none"> <li>Immigration Services - Storrs</li> </ul>	<p>Update</p> <p>Presentation</p>	2
UCHC Executive Compliance Committee Charter Revision	Approval	3
<p>Significant Audit Activities</p> <ul style="list-style-type: none"> <li>Status of Audit Assignments (Storrs &amp; UCHC)</li> <li>Audit Follow-up Activity</li> <li>Revised Audit Plans (Storrs &amp; UCHC)</li> </ul>	<p>Update</p> <p>Update</p> <p>Approval</p>	4

# Joint Audit & Compliance Committee Agenda

10:00 am – 10:45 am – Executive Session

10:45 am – 12:00 pm - Public Meeting

Issue	Proposed Action	Tab
<p>External Engagements</p> <ul style="list-style-type: none"> <li>Marcum, LLP Financial Statements – Fiscal Year 2012 <ul style="list-style-type: none"> <li>University Medical Group (UMG)</li> <li>John Dempsey Hospital (JDH)</li> <li>Finance Corporation</li> </ul> </li> <li>McGladrey &amp; Pullen, LLP – Audit and agreed upon procedures of UConn 2000 project expenditures for Fiscal Year 2012</li> </ul>	<p>Update</p> <p>Presentation</p> <p>Approval (To be sent separately)</p>	5
Auditors of Public Accounts – University of Connecticut and University of Connecticut Health Center – Financial Statements as of and for the Year Ended June 30, 2012	Presentation (To be sent separately)	6
Revised – 2013 JACC Meeting Dates	Updated	7
<p>Informational/Educational Items</p> <ul style="list-style-type: none"> <li>Quarterly Newsletter for Fall 2012 - UCHC</li> <li>Article “Eight Priorities for 2013” (Tone at the Top, Issue 59, Dec. 2012 / Jan. 2013)</li> <li>Data Privacy Day Flyer</li> <li>JACC Agenda Forecast</li> </ul>	Information Only	8
Conclusion of Full Meeting		
Information Session with OACE's Chief Audit & Compliance Officer and Direct Reports		

The next meeting of the JACC will be held on Wednesday, May 8, 2013 at 10:00 am  
Rome Commons Ballroom, Storrs

*Individual Responsibility, Institutional Success*

**THE UNIVERSITY OF CONNECTICUT  
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE  
February 27, 2013**

**Rome Ballroom, Storrs Campus  
*12:30 PM (anticipated), following the meeting of the Board of Trustees***

**AGENDA**

- |    |   |                                   |
|----|---|-----------------------------------|
| 1. | Call to order                                     | Trustee Bailey                    |
| 2. | Review and Approval of minutes of 9/27/12 meeting | Trustee Bailey                    |
| 3. | Chairwoman's Report                               | Trustee Bailey                    |
| 4. | Constitution Changes (GSS and WHUS) Update        | Christine Wilson                  |
| 5. | Vice President for Student Affairs Report         | John Saddlemire                   |
| 6. | Student Trustees Report                           | Trustee Buckman<br>Trustee Barham |
| 7. | Other Business                                    | All                               |
| 8. | Adjournment                                       | Trustee Bailey                    |

## **Academic Affairs**

**AGENDA**  
Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**  
Wednesday, February 27, 2013  
Rome Commons Ballroom  
Storrs, CT  
9:00 a.m. – 9:15 a.m.

	<b><u>COMMITTEE</u></b>	<b><u>ATTACHMENT</u></b>
1) Minutes of the Academic Affairs Committee Meeting of December 12, 2012, as circulated	A	

**ACTION ITEMS:**

2) Designation of Emeritus Status	2
3) Sabbatical Leave Recommendations	3
4) Reappointment of Dr. William T. Ross, Jr. to the ING Global Chair in the School of Business	4
5) Appointment of Professor Bethany Berger to the Thomas F. Gallivan, Jr. Chair of Real Property Law in the School of Law	5
6) Appointment of Professor Ruth Mason to the Anthony J. Smits Professorship for Global Commerce in the School of Law	6
7) Veterinary Anatomic Pathology Area of Concentration within the Pathobiology Field of Study in the College of Agriculture and Natural Resources	7
8) Digital Media and Design Majors in the School of Fine Arts	8
9) Approval of Proposed Changes to the <i>By-Laws of the University of Connecticut</i> (Article XIV.C – Academic Appointment and Tenure)	9

**INFORMATIONAL ITEM:**

10) Notification of Proposed Changes to the <i>By-Laws of the University of Connecticut</i> (Article XIV.C.4 – Academic Appointment and Tenure)	13
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## **ATTACHMENT A**

**MINUTES**  
**MEETING OF THE ACADEMIC AFFAIRS COMMITTEE**  
**December 12, 2012**

Trustees Present: Archambault, Bailey, Barham, Buckman, Carbray, Drotch, Gandara, Jacobs, Kruger, Lobo, McHugh, Nayden, Ritter, Shepperd

Staff Present: Andrews, Bansal, Biancamano, Bray, Bull, Callahan, Carone, Chiaputti, Choi, Conklin, Cusson, Donahue, Fazio, Fox, Gray, Herbst, Holsinger, Holz-Clause, Kirk, Klein, Korbel, Larson, Locke, Locust, Martel, Moiseff, Ogbar, Orr, Reis, Rubin, Saddlemire, Singha, Slowik, Teal, Teitelbaum, Trutter, Urban, Walker, Wetstone, A. Wilder, D. Wilder, Wrynn

Committee Chairman Jacobs convened the meeting at 9:04 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Trustee Barham, seconded by Trustee Archambault, the minutes of the November 14, 2012, meeting were approved as circulated.

Provost Choi introduced *Action Item #2, Sabbatical Leave Recommendations*. Moved by Trustee Archambault, seconded by Trustee Lobo, the Committee recommended approval of sabbatical leave recommendations to the full Board.

Provost Choi introduced *Action Item #3, Notification of Proposed Changes to the By-Laws of the University of Connecticut (Article XIV – The University Staff)*. Provost Choi informed the Committee that the proposed revision to the University's *By-Laws* will allow full-time faculty whose employment is shared by the UConn Health Center and the Veteran's Administration to be eligible for tenure. Currently, only faculty employed full-time by the University is eligible. This change is expected to enhance the ability to recruit and retain outstanding faculty. There has been a long standing relationship between UCHC and the VA that has included the sharing of faculty and sites for clinical, research and teaching activities. Trustee Archambault added that this notification was reviewed at the December 10, 2012, UCHC Board of Directors meeting. By consensus, the Committee reviewed the *By-Law* Notification. No objection was raised to recommend approval to the full Board.

Provost Choi introduced *Presentation Item #4, New Faculty Hires in the College of Liberal Arts & Sciences, Dr. Jeremy Teitelbaum, Dean*. Dean Teitelbaum focused his presentation on new faculty in Communication and African American Studies. In the Department of Communication, he introduced Dr. Saraswathi Bellur, whose expertise is in interactive media and human-computer interactions and their psychological effects; Dr. John Christensen, whose expertise is in risk-taking behavior and stress in LGBT youth and virtual-reality assessments; and Dr. Amanda Dense, whose expertise is in interpersonal communication and its relationship to psychology and physiological health. Dean Teitelbaum introduced three new nationally and internationally prominent scholars who will strengthen the growing programs in African American Studies: Dr. Jane Gordon, Political Science; Dr. Lewis Gordon, Philosophy; and Dr. Jelani Cobb, History.

Committee Chairman Jacobs asked Provost Choi for a brief update on the student to faculty ratio. Provost Choi noted that the current ratio is 18:1, but with the incoming new hires, the ratio should drop to 17:1. With an additional 120 new faculty being hired over the next year, we will continue to make progress in reducing the student to faculty ratio and to improve learning outcomes.

Committee Chairman Jacobs asked Provost Choi about the impacts of higher SAT scores for incoming freshman at the University. Provost Choi responded that increased SAT scores show the continual improvement of student quality at the university and enhances our national and international rankings. President Herbst emphasized that excellent student quality is a hallmark of Research-I universities and is a critical element for the community of faculty and student scholars. Dr. Jacobs asked if there was a correlation between SAT scores and academic success and job placement. Vice Provost Sally Reis confirmed that SAT scores correlate well with a student's first and second semester grades and the likelihood of pursuing graduate studies.

Committee Chairman Jacobs adjourned the meeting at 9:27 a.m.

Respectfully submitted,

Brandon L. Murray  
Committee Secretary

## Financial Affairs



**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**February 27, 2013 at 9:15 a.m.**  
University of Connecticut  
Rome Commons Ballroom  
Storrs, Connecticut

	<u><b>ATTACHMENT COMMITTEE</b></u>	<u><b>LOCATION FULL BOARD</b></u>
1) Approval of the Minutes of the Financial Affairs Committee Meetings of November 14, 2012 and December 12, 2012, as circulated	A	
 <b><u>ACTION ITEMS:</u></b>		
2) Contracts and Agreements for Approval		1
3) Fiscal Year 2014 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses		14
4) Fiscal Year 2014 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses		15
5) Fiscal Year 2014 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses		16
6) Extending the Veterans Waiver for Certain Degree Programs Offered in the Summer and Intersession for the University of Connecticut, Storrs and Regional Campuses		17
7) Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)		18
8) Increase in Board of Trustees Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets		19

**PROJECT BUDGETS FOR APPROVAL:**

	<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
9) UConn Health Center (UCHC) Dental Clinic Fit-Out, Storrs Center	Planning	\$858,000	20
10) UConn Health Center (UCHC) Main Building Exterior Façade Joint Sealant Replacement	Final	\$3,475,000	21
11) UConn Health Center (UCHC) New Construction and Renovations	Final	\$364,386,000	22
12) Young Building Renovation/Addition	Revised Final	\$19,473,430	23

**INFORMATION ITEMS:**

- |   |                        |
|---|------------------------|
| 13) Contracts and Agreements for Information  | B                      |
| 14) FY 2013-2014 Schedule of Costs for an Undergraduate Student University of Connecticut (Storrs Campus) | C                      |
| 15) Construction Project Status Report  | (Under Separate Cover) |
| 16) University-Wide FY12 Closeout and FY13 Six Month Update   | (Under Separate Cover) |
| 17) Master Schedule for UCONN 2000 Phases I, II & III   | (Under Separate Cover) |
| 18) Financial Statement(s) Storrs and Health Center   |                        |

Storrs:

<http://accountingoffice.uconn.edu/accounting/PDF/2012FS.pdf>

UCHC:

[http://controller.uchc.edu/reports/docs/year\\_end\\_report\\_2012.pdf](http://controller.uchc.edu/reports/docs/year_end_report_2012.pdf)

**EXECUTIVE SESSION (As Needed)**

## **ATTACHMENT A**

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**November 14, 2012**

TRUSTEES PRESENT: Archambault, Bailey, Barham, Buckman, Carbray, Drotch (via Telephone), Gandara, Handley, Jacobs, Jones (via Telephone), Kruger, McHugh, Ritter, Shepperd and Treibick

STAFF PRESENT: Andrews, Biancamano, Bray, Bull, Callahan, Carone, Chiaputti, Choi, Donahue, Egeberg, Fazio, Fearney, Fox, Gray, Herbst, Holz-Clause, Holsinger, Kirk, Larson, Locke, Locust, Manuel, Martel, Ogbar, Orr, Reis, Roe, Rubin, Kirk, Locust, Martel, Orr, Person, Reis, Roe, Roy, Rubin, Singha Sullivan, Teal, Urban, Walker, Wetstone and Wrynn

SENATE PRESENT: Hiskes, Moiseff and Stwalley

Committee Chairman Peter Drotch convened the meeting of the Financial Affairs Committee at 9:45 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

On a motion by Trustee Shepperd and seconded by Trustee Kruger agenda *Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of September 27, 2012* was approved, as circulated.

Mr. Drotch directed the committee to agenda *Item #1, Contracts and Agreements for Approval – REVISED*. The item was revised to add an exigent contract for repairs of an immediate nature to the Gant Building. Richard Gray, Executive Vice President for Administration and Chief Financial Officer, provided information on the agreements presented for approval. Mr. Drotch requested that at a later meeting information be provided on the policies and procedures that govern exigent contract determination. Mr. Gray noted that in the Leases for Approval, the lease with EH 800 Connecticut Blvd is withdrawn; the lease with UHC Finance Corporation should list the University of Connecticut as Lessor. All Health Center leases on the list are being requested for approval contingent upon subsequent Health Center Board of Directors approval. The furniture contracts listed are in line with the McKinsey recommendations. On a motion by Trustee Archambault and seconded by Trustee Shepperd, the item was recommended to the full Board for approval. Trustee Drotch abstained from voting on contract #6 under Student Loan Providers, “Union Federal” as it is subsidiary to an entity to which he holds a seat on the Board of Directors.

The Committee was directed to agenda *Item #2, Ground Lease between the University of Connecticut and the University of Connecticut Finance Corporation*. On a motion by Trustee Archambault and seconded by Trustee Shepperd the item was recommended to the full Board for approval.

Mr. Gray detailed agenda **Item #3, Gant Building Renovations – Data Center Stabilization (Planning: \$4,000,000)**. On a motion by Trustee Drotch and seconded by Trustee Archambault the project budget was recommended to the full Board for approval:

The project budget for a Basketball Development Center was presented to the Committee by Executive Vice President Gray and Athletic Director, Warde Manual. Similarities were noted between this project and the building of the Lodewick Visitor Center. A thorough discussion of the financing by the UConn Foundation and University's financial responsibilities for this project was undertaken. The University will be responsible for any project cost overages above the project budget of \$33 million. The overrun does not include furniture, fixtures, equipment or any item associated with the Hall of Fame memorabilia. The project will be managed by the University's Architectural, Engineering and Building Services Department. Chairman McHugh and Trustee Drotch stated their endorsement of the project. On a motion by Trustee Drotch and seconded by Trustee Archambault agenda **Item #5, Basketball Development Center (Final: \$33,000,000)** was recommended by the Financial Affairs Committee by a unanimous vote to the full Board of Trustees for approval.

President Herbst added that the Basketball Practice Facility is intended for use by the men's and women's D1 basketball teams; however the project is not entirely about D1 athletics. Gampel Pavilion is currently utilized for basketball practice and as one of the largest venues on campus it is in high demand by other campus organizations. Building of the new facility will enable Gampel to be fully utilized as has not been possible in the past.

Trustee Drotch directed the committee to the remaining information items listed on the agenda. On a motion by Trustee Drotch and seconded by Trustee Archambault the committee was adjourned at 10:36 a.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**December 12, 2012**

TRUSTEES PRESENT: Archambault, Bailey, Barham, Buckman (via Telephone), Carbray, Drotch (via Telephone), Gandara, Jacobs, Kruger, Lobo, McHugh, Nayden, Ritter, and Shepperd

STAFF PRESENT: Andrews, Biancamano, Bray, Bull, Callahan, Carone, Choi, Conklin, Donahue, Egeberg, Fazio, Fox, Gafford, Gore, Gray, Herbst, Holz-Clause, Holsinger, Larson, Locke, Locust, Martel, Orr, Reis, Rubin, Saddlemire, Singha, Sullivan, Teal, Torti, Trutter, Urban, Walker and Wrynn

SENATE PRESENT: Bansal, Klein, Korbel and Moiseff

Trustee Francis Archambault convened the meeting of the Financial Affairs Committee at 9:30 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

Mr. Archambault directed the committee to a discussion item, agenda ***Item #1, Exigent Contracting Process***. He added that at the request of the committee Chairman, the item was being presented to inform the committee of the process for exigent agreements. Executive Vice President for Administration and Chief Financial Officer, Richard Gray introduced Matthew Larson, Director of Procurement to provide a PowerPoint presentation of the University's Exigent Contracting Process. Trustee Drotch thanked Mr. Larson for his presentation and stated that the information was very helpful. He added that his concern was that the exigent process would get to a level of a substantial number and or dollar value that skipped the normal procurement process. He suggested that tracking this data in the future would be helpful. Mr. Larson stated that since 2007 there have been 16 projects that have utilized the exigent procurement policy. The division is now looking at ways to receive better information sooner through the planning process to inform the procurement process.

Mr. Archambault directed the committee to agenda ***Item #2, Contracts and Agreements for Approval***. Mr. Gray provided a brief summary of the contracts presented. On a motion by Trustee Shepperd and seconded by Trustee Drotch, the item was recommended to the full Board for approval.

The Committee was directed to agenda ***Item #3, Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture***. Mr. Gray stated that the request was initiated by the Arjona Renovation to increase that line item while decreasing some other line items. On a motion by Trustee Shepperd and seconded by Trustee Drotch, the item was recommended to the full Board for approval.

Agenda **Item #4, Purchase of Property – 195 Farmington Avenue, Farmington, Connecticut** was presented to the Committee. The item had been previously approved by the Health Center Board of Directors. Mr. Gray stated that the resolution is for a piece of property currently in foreclosure and located contiguous to the Health Center. The property is needed for space as the Dowling buildings are demolished; provides much needed parking as well as providing some rental income. Trustee Ritter stated that the BGE Committee of the Board of Trustees had reviewed the transaction and supported the approval of the item. On a motion by Trustee Cloud and seconded by Trustee Shepperd the item was recommended to the full Board for approval.

Agenda **Item #4, Project Withdrawals** was introduced by Mr. Gray. He explained that through the University's normal review and prioritization process as required by policy, the projects listed were determined to warrant being withdrawn at this time. Many of those listed are prompted by the BioScience Connecticut project. Trustee Drotch asked that going forward, a review of timetables for older projects such as those approved by the Board up to two years prior be initiated and a determination of whether the project is still viable. This should be undertaken two or four times a year to clean out projects with minimal urgency. Mr. Gray stated that a review and discussion of all the line items is underway for the remaining UCONN 2000 projects and funds as well as how best to utilize those funds. Mr. Drotch requested that a draft policy on the review of older projects including a semiannual presentation to the Board, be presented to the committee at a future meeting for approval. On a motion by Trustee Shepperd and seconded by Trustee Drotch the item was recommended to the full Board for approval.

Mr. Gray provided the committee with detailed information on the Storrs based Project Budgets; while Mr. Trutter provided detailed information on the Health Center Project Budgets. Mr. Gray and Trustee Archambault commended Mr. Trutter for the outstanding work being done on the Health Center construction projects.

On a motion by Trustee Shepperd and seconded by Trustee Kruger the Project Budget for **Hale and Ellsworth – Exterior Masonry Repairs (Planning: \$2,000,000)** was recommended to the full Board for approval. On a motion by Trustee Shepperd and seconded by Trustee Archambault the Project Budget for **UConn Health Center (UCHC) Research Tower – Incubator Lab Addition to the Cell and Genome Sciences Building (CGSB) (Revised Planning: \$19,400,000)** was recommended to the full Board for approval. On a motion by Trustee Shepperd and seconded by Trustee Drotch the Project Budget for **UConn Health Center (UCHC) UConn Medical Group (UMG) - Clinical Space Fit-Out, Storrs Center (Design: \$4,365,000)** was recommended to the full Board for approval. On a motion by Trustee Shepperd and seconded by Trustee Drotch the Project Budget for **Arjona & Monteith – Arjona Renovations (REVISED) (Final: \$15,400,000)**; which was revised to add furniture purchase to the cost, was recommended to the full Board for approval. On a motion by Trustee Shepperd and seconded by Trustee Drotch the Project Budget for **UConn Health Center (UCHC) CT Simulator, High Dose Radiation (HDR) Renovations (Final: \$1,715,000)** was recommended to the full Board for approval. On a motion by Trustee Shepperd and seconded by Trustee Archambault the Project Budget for **UConn Health Center (UCHC) Main Building Renovation; Lab ("L") Building Renovations - Project 1 (Final: \$79,000,000)** was recommended to the full Board for approval.

Trustee Archambault directed the committee to the remaining information items listed on the agenda. On a motion by Trustee Archambault and seconded by Trustee Shepperd the committee was adjourned at 10:01 a.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee



## **ATTACHMENT B**

**CONTRACT AGREEMENTS  
FOR INFORMATION  
February 27, 2013**

**PROCUREMENT - NEW**

**CONSTRUCTION SERVICES**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Carlin Construction Company, LLC	201545	\$2,642,850	10/24/12-04/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	Contractor shall furnish and install all materials, labor and equipment required to provide renovations to the existing kitchen and dining area for a new catering kitchen and staff offices in Lester E. Shippee Residence Hall at the Storrs Campus. <b>(Final Project Budget approved by the BOT on 09/27/12 - \$4,000,000).</b>
2	G. Donovan Associates, Inc.	901652	\$1,098,000	11/14/12-05/13/13	UCONN 2000	Matthew Larson, Director of Procurement Services	Contractor shall furnish and install all materials, labor and equipment required to provide repairs to an existing one-million gallon steel water storage tank near Tower Loop Road, Storrs Campus. <b>(Final Project Budget approved by the BOT on 09/27/12 - \$1,700,000).</b>

**ISP SERVICES**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Northeast Research & Education Network (NEREN)	SS070108	\$675,498	07/01/08-06/30/13	Multiple Sources	Nancy Bull, Vice Provost, Technology Services	Participation in the Northern Crossroad New England Gigapop via a consortium for use of Internet 2 which is hosted by MIT and administered by NEREN. The University is a participating member of higher education consortia, cooperatives and buying groups. These memberships allow the University to take advantage of aggregate purchasing power, unique technologies and collaborative efforts with peer institutions. The term of this Sole Source is one (1) year.

**MARKETING/PROMOTIONAL SERVICES**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Signature Authority	UCHC-2-2435-SA	\$550,000	01/09/12-01/08/15	Multiple Sources	Matthew Larson, Director of Procurement Services	Provide marketing and promotional services, including telemedia, as required to enhance the University's position as a top public university in New England. This is the result of a publicly advertised RFP. Initial term is for three (3) years with two (2) one (1) year extensions.

**ON-CALL PROFESSIONAL SERVICES - 007.1 TELECOM - DATA AND COMMUNICATIONS[Aggregate Authorization for 5 contracts: \$2,500,000]**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	AKF Engineers, LLP	007.1-1-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for data and communications services to be provided for various projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
2	BVH Integrated Services, P.C.	007.1-2-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for data and communications services to be provided for various projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
3	R. G. Vanderwell Engineers, Inc.	007.1-3-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for data and communications services to be provided for various projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
4	Richard D. Kimball, Co. Inc. d/b/a/ RDK Engineers	007.1-4-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for data and communications services to be provided for various projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
5	Wintonbury Consultants, LLC	007.1-5-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for data and communications services to be provided for various projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.

**CONTRACT AGREEMENTS  
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<b>ON-CALL PROFESSIONAL SERVICES - 009.1 ARCHITECTURAL SERVICES, SMALL PROJECTS [Aggregate Authorization for 13 contracts: \$11,700,000]</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	Amenta/Emma Architects, P.C.	009.1-1-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
2	DeCarlo & Doll, Inc.	009.1-2-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
3	Friar Associates, Inc	009.1-3-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
4	Gregg, Wies & Gardner Architects, LLC	009.1-4-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
5	id3A, LLC	009.1-5-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
6	Kaestle Boos Associates, Inc.	009.1-6-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
7	Maier Design Group, LLC	009.1-7-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
8	Mitchell Architectural Group, P.C.	009.1-8-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
9	OakPark Architects, LLC	009.1-9-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
10	Paul B. Bailey Architects, LLC	009.1-10-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
11	Silver Petrucelli & Associates, Inc.	009.1-11-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
12	Tecton Architects, Inc.	009.1-12-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
13	TLB Architecture, LLC	009.1-13-900-22916	\$900,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for architectural services to be provided for various small projects at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.

**CONTRACT AGREEMENTS  
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<b>ON-CALL PROFESSIONAL SERVICES - 009.3 ARCHITECTURAL SERVICES, HEALTH CARE [Aggregate Authorization for 5 contracts: \$2,500,000]</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	BL Companies Connecticut, Inc.	009.3-1-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for health care architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
2	Fletcher-Thompson, Inc.	009.3-2-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for health care architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
3	Perkins Eastman Architects, PC	009.3-3-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for health care architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
4	Silver Petrucelli & Associates, Inc.	009.3-4-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for health care architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
5	Steffian Bradley Architects, Inc.	009.3-5-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for health care architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.

**ON-CALL PROFESSIONAL SERVICES - 10 LANDSCAPE ARCHITECTURE [Aggregate Authorization for 7 contracts: \$3,500,000]**

<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	Beta Group, Inc.	010.1-1-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for landscape architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
2	The BSC Group - Connecticut, Inc.	010.1-2-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for landscape architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
3	Copley Wolff Design Group, Inc.	010.1-3-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for landscape architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
4	Langan Engineering and Environmental Services, Inc.	010.1-4-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for landscape architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
5	Milone & MacBroom, Inc.	010.1-5-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for landscape architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
6	RBA Group of Connecticut, LLC	010.1-6-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for landscape architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
7	Stantec Consulting Services, Inc.	010.1-7-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for landscape architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.

**CONTRACT AGREEMENTS  
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<b>ON-CALL PROFESSIONAL SERVICES - 12.1 CIVIL AND STRUCTURAL ENGINEERING [Aggregate Authorization for 12 contracts: \$6,000,000]</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	BL Companies Connecticut, Inc.	012.1-1-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
2	BVH Integrated Services, P.C.	012.1-2-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
3	CDM Smith, Inc.	012.1-3-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
4	DiBlasi Associates, P.C.	012.1-4-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
5	Diversified Technology Consultants, Inc.	012.1-5-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
6	Fuss & O'Neill, Inc.	012.1-6-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
7	Macchi Engineers, LLC	012.1-7-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
8	Simpson Gumpertz & Heger Inc.	012.1-8-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
9	Stantec Consulting Services, Inc.	012.1-9-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
10	The BSC Group - Connecticut, Inc.	012.1-10-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
11	Tighe & Bond Inc.	012.1-11-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
12	URS Corporation AES	012.1-12-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for civil and structural architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.

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<b>ON-CALL PROFESSIONAL SERVICES - 12.2 MECHANICAL, ELECTRICAL, PLUMBING/LIFE SAFETY &amp; FIRE PROTECTION ENGINEERING [Aggregate Authorization for 10 contracts: \$5,000,000]</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	BL Companies Connecticut, Inc.	012.2-1-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
2	BVH Integrated Services, P.C.	012.2-2-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
<b>ON-CALL PROFESSIONAL SERVICES - 12.2 MECHANICAL, ELECTRICAL, PLUMBING/LIFE SAFETY &amp; FIRE PROTECTION ENGINEERING (Continued)</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
3	CDM Smith, Inc.	012.2-3-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
4	Diversified Technology Consultants, Inc.	012.2-4-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
5	Fletcher-Thompson, Inc.	012.2-5-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
6	Fuss & O'Neill, Inc.	012.2-6-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
7	Kohler Ronan, LLC	012.2-7-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
8	Silver Petrucelli & Associates, Inc.	012.2-8-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
9	URS Corporation AES	012.2-9-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
10	Van Zelm Heywood & Shadford, Inc.	012.2-10-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for MEP/Life safety and fire protection architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.

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<b>ON-CALL PROFESSIONAL SERVICES - 12.3 COMMISSIONING AGENT SERVICES [Aggregate Authorization for 5 contracts: \$2,500,000]</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	AKF Engineers, LLP	012.3-1-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for commissioning architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
2	BVH Integrated Services, P.C.	012.3-2-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for commissioning architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
3	Hallam Associates	012.3-3-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for commissioning architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
4	Strategic Building Solutions, LLC	012.3-4-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for commissioning architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
5	Van Zelm Heywood & Shadford, Inc.	012.3-5-500-22916	\$500,000	03/01/13-02/29/16	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for commissioning architectural services to be used at Storrs, Regional Campuses and UCHC as needed on a project by project basis. This is the result of a publicly advertised RFP. Term of this contract is three (3) years.
<b>RESEARCH EQUIPMENT</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	Bruker AXS	PO-17536	\$650,000	12/21/12 - 04/30/13	Research Funds	Mun Choi, Provost and Executive Vice President	Purchase of a Nanostar U System for the non-destructive investigation of 3-dimensional structures and particles. This instrument will be utilized by eighteen faculty members in five major research fields throughout the School of Engineering and College of Liberal Arts and Sciences, including polymer research, biological research, nanomaterial and complex fluid research and energy material research. Awarded as a result of a sole source justification based on an extensive investigation by the research team and a comprehensive price analysis.
<b>STANDARD FIXED-FEE ARCHITECT'S CONTRACT</b>							
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	Centerbrook Architects and Planners, LLP	901729	\$2,334,000	01/24/13-01/23/17	UCONN 2000	Matthew Larson, Director of Procurement Services	Architect to provide design and construction administration services for the Academic Building Addition and Renovation at UCHC in Farmington. ( <b>Planning Project Budget approved by the BOT on 04/25/12 - \$30,750,000 - Project No. 901729</b> )

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EXIGENT PROCUREMENT CONTRACTS/AMENDMENTS										
<b>PROJECT #901753 - GANT SCIENCE COMPLEX</b>										
<b>PRE-DESIGN, DESIGN AND PRECONSTRUCTION SERVICES</b>										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of 01/23/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Simpson Gumpertz & Heger Inc.	901753 (formerly 901374)	\$28,500 [Contract Previously Approved at \$65,000; Total New Contract Amount \$93,500]	06/25/12-06/24/13	UCONN 2000	Matthew Larson, Director of Procurement Services	\$92,052	\$0	\$0	First Amendment to provide <b>exigent</b> Pre-Design Study Phase/Schematic Design Phase; Design and Pre-Construction services in connection with emergency stabilization repairs to the façade, parapet and failed roof of the <u>Edward V. Gant Science Complex</u> at Storrs Campus. <b>Amend to increase contract \$28,500 for total new contract value of \$93,500. (Final Project Budget approved by BOT 09/27/12 - \$8,570,000 - Project No. 901753)</b>
<b>DESIGN SERVICES, CONSTRUCTION ADMINISTRATION AND CLOSE-OUT</b>										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of 01/23/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Simpson Gumpertz & Heger Inc.	901753 (formerly 901374)	\$361,880 [Contract Previously Approved at \$93,500; Total New Contract Amount \$455,380]	06/25/12-06/24/13	UCONN 2000	Matthew Larson, Director of Procurement Services	\$92,052	\$0	\$0	Second Amendment to provide additional <b>exigent</b> design services for complete code analysis and Construction Administration and Close-Out Phase services in connection with emergency stabilization repairs to the façade, parapet and failed roof of the <u>Edward V. Gant Science Complex</u> at Storrs Campus. <b>Amend to increase contract \$361,880 for total new contract value of \$455,380. (Final Project Budget approved by BOT 09/27/12 - \$8,570,000 - Project No. 901753)</b>
<b>PROJECT #901719 - ARJONA</b>										
<b>STANDARD FIXED FEE PROGRAM MANAGEMENT OVERSIGHT</b>										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of 01/23/13	Expenditures FY12	Expenditures FY11	Purpose
1	Strategic Building Solutions, llc	PMO-04- /1.5/01/31/15	\$207,340 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,707,340]	02/01/12-01/13/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$4,091	\$0	\$0	Task Letter No. 1 to provide <b>exigent</b> project management oversight services for the renovations required to accommodate the needs of the University for necessary improvements to the building systems of the <u>Jaime Homero Arjona Building</u> located at the Storrs campus. This request meets the exigent requirement to ensure renovations are completed timely for new faculty and staff members. <b>Amend to increase contract \$207,340 for total new contract value of \$1,707,340. (Final Project Budget approved by the BOT 12/12/12 - \$15,400,000 - Project No. 901719).</b>



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**PROCUREMENT - AMENDMENTS**

**CONSTRUCTION MANAGEMENT AT RISK SERVICES**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/23/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Daniel O'Connell's Sons, Inc.	201434	\$27,671,838 [Contract Previously Approved at \$102,450; Total New Contract Amount \$27,774,288]	07/16/12-06/18/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$102,446	\$0	\$0	Guaranteed Maximum Price Amendment for Construction Management at Risk Services for the Basketball Development Center at the Storrs Campus. <b>Amend to increase contract \$27,671,838 for total new contract value of \$27,774,288. (Final Project Budget approved by the BOT on 11/14/12 - \$33,000,000).</b>

**CONSTRUCTION SERVICES**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/23/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Turner Construction Company	901134	\$3,043,000 [Contract Previously Approved at \$190,000; Total New Contract Amount \$3,233,000]	11/02/10-01/03/14	UCONN 2000	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Guaranteed Maximum Price Amendment for early demolition package Phase 1A Floors 4-7 for UCHC Main Building Renovation at UCHC, Farmington. <b>Amend to increase contract \$3,043,000 for total new contract value of \$3,233,000. (Final Project Budget approved by the BOT on 12/12/12 - \$79,000,000).</b>

**PROGRAM/PROJECT MANAGEMENT SERVICES**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/23/13	Expenditures FY 12	Expenditures FY 11	Purpose
1	Jacobs Project Management Co.	090711MS	\$2,086,336 [Contract Previously Approved at \$13,731,600; Total New Contract Amount \$15,817,936]	01/03/12-12/18/17	UCONN 2000	Matthew Larson, Director of Procurement Services	\$1,230,817	\$279,223	\$0	First Amendment to provide Program/Project Management Oversight (PMO) services for use by UCHC to support its Capital Construction programs on its Farmington Campus, including, but not limited to: (1) Bioscience Connecticut Initiative; (2) other UCHC projects; and (3) UCONN 2000; and as designated. <b>Amend to increase contract \$2,086,336 for total new contract value of \$15,817,936. (Approved by the BOT BGE Committee by Special Meeting on 12/14/11).</b>

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<b>STANDARD FIXED FEE ARCHITECTURAL SERVICES</b>										
<b>No.</b>	<b>Contractor</b>	<b>Contract No.</b>	<b>Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Total Expenditures as of 01/23/13</b>	<b>Expenditures FY12</b>	<b>Expenditures FY11</b>	<b>Purpose</b>
1	Hazen and Sawyer, P.C.	901229A	\$23,940 [Contract Previously Approved at \$600,000; Total New Contract Amount \$623,940]	07/31/10-03/15/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,362,198	\$1,370,037	\$454,983	First Amendment to provide all services in accordance and consistent with the program, design concept, scope and criteria set forth in the Central Utility Plant-Basis of Design Report for Reclaimed Water Facility Project No. 901229, Phase 2 dated October 2010 for the Central Utility Plant located at the Storrs Campus. <b>Amend to extend term to 03/15/14 and increase contract \$23,940 for total new contract value of \$623,940. (Revised Final Project Budget approved by the BOT 01/26/11 - \$28,951,000).</b>
2	Steffian Bradley Architects, Inc. and HKS, Inc.	901590	\$171,500 [Contract Previously Approved at \$22,719,135; Total New Contract Amount \$22,890,635]	09/09/10-10/21/16	UCONN 2000	Matthew Larson, Director of Procurement Services	\$17,319,483	\$10,792,930	\$1,454,598	Seventh Amendment to provide BMT Enabling Scope of Work, Air Quality Permit Environmental Engineer; CSP and West Loading Dock Redesign; and removal of PET CT Design from Loading Dock Area for the UCHC New Construction and Renovations Project at the UCHC, Farmington. <b>Amend to increase contract \$171,500 for total new contract value of \$22,890,635. (Design Budget approved by the BOT on 04/25/12 - \$364,386,000).</b>

<b>LICENSES FOR INFORMATION</b>						
<b>UNIVERSITY AS LICENSOR</b>						
<b>No.</b>	<b>Licensee</b>	<b>Annual Amount Receivable</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>
1	AT&T	\$1,041	11/15/12-11/14/17	Operating Fund - General	Alexandria Roe, Director of University Planning	Fiber license agreement for fibers on a path from the Homer Babbidge Library to the Nathan Hale Inn.
2	Nicholas and Georgia Haidous	\$4,652	06/01/12-10/31/12	Operating Fund - General	Alexandria Roe, Director of University Planning	License for non-exclusive use of parking area adjacent to University Plaza
3	Storrs Center Alliance, LLC	\$0	Starting on 05/21/12 (24-48 mo. duration)	N/A	Alexandria Roe, Director of University Planning	Site access Agreement for the installation and management of environmental monitoring wells at 1266 Storrs Road in compliance with the Purchase and Sales and Omnibus Agreements in connection with the Storrs Downtown Development. The wells are necessary to monitor the potential releases from underground storage tanks near the former Publications Building site.
4	Verizon Wireless	\$16,000	06/01/13-05/31/29	Operating Fund - General	Alexandria Roe, Director of University Planning	Fiber license agreement for fibers on a path from the Math Science Core Site to the Nathan Hale Inn.

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**LEASES FOR INFORMATION**

**UNIVERSITY AS LESSOR: Technology Incubator Program Leases authorized pursuant to Delegation of Authority to Approve Leases for the Technology Incubator Program dated November 1, 2012**

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Alphachromics	\$11,640	11/01/12-10/31/15	Operating Fund - General	Alexandria Roe, Director of University Planning	New TIP lease. Lease of space not to exceed 582 s.f. of space in 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center campus in Farmington, Connecticut. Rent will be \$11,640 for the first year, paid in monthly installments of \$970, increasing on November 1st each year. Lessee to continue to participate in the University Technology Incubator Program.
2	Arcanatura	\$6,200	10/01/12-09/30/14	Operating Fund - General	Alexandria Roe, Director of University Planning	Renewal TIP lease. Lease of space not to exceed 310 s.f. of space in Building 19, located on the grounds of the University of Connecticut Avery Point campus in Groton, Connecticut. Rent will be \$6,200 for the year, paid in monthly installments of \$516.66, increasing on October 1st of each year. Lessee to continue to participate in the University Technology Incubator Program.
3	Bioarray	\$2,300	11/01/12-10/31/15	Operating Fund - General	Alexandria Roe, Director of University Planning	New TIP lease. Lease of space not to exceed 115 s.f. of space in 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center campus in Farmington, Connecticut. Rent will be \$2300 for the first year, paid in monthly installments of \$191.67, increasing on November 1st each year. Lessee to continue to participate in the University Technology Incubator Program.
4	Imstem	\$6,970	11/01/12-10/31/15	Operating Fund - General	Alexandria Roe, Director of University Planning	New TIP lease. Lease of space not to exceed 348.5 s.f. of space in 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center campus in Farmington, Connecticut. Rent will be \$6,970 for the first year, paid in monthly installments of \$580.83, increasing on November 1st each year. Lessee to continue to participate in the University Technology Incubator Program.
5	Synbody	\$1,405	07/12/12-03/14/15	Operating Fund - General	Alexandria Roe, Director of University Planning	Renewal TIP lease. Lease of space not to exceed 834 s.f. of space in 400 Farmington Avenue, located on the grounds of the University of Connecticut Health Center campus located in Farmington, Connecticut. Rent will be \$11,945 for the first year, paid in monthly installments of \$1,405, increasing on March 15th each year. Lessee to continue to participate in the University Technology Incubator Program.
6	Systamedic	\$4,680	09/21/12-08/31/13	Operating Fund - General	Alexandria Roe, Director of University Planning	Renewal TIP lease. Lease of space not to exceed 260 s.f. of space in Building 19, located on the grounds of the University of Connecticut Avery Point campus in Groton, Connecticut. Rent will be \$4,680 for the year, paid in monthly installments of \$390. Lessee to continue to participate in the University Technology Incubator Program.

## **ATTACHMENT C**

# University of Connecticut (Storrs Campus)

## Schedule of Costs for an Undergraduate Student

Fiscal Years 2013 - 2014

	In-State Undergrad				Out-of-State Undergrad			
	FY 2013 (Annual)		FY 2014 (Annual)		FY 2013 (Annual)		FY 2014 (Annual)	
	Approved		Proposed <sup>B</sup>		Approved		Proposed <sup>B</sup>	
	Amount	%	Amount	%	Amount	%	Amount	%
Tuition, Full-time	\$ 8,712	5.52%	\$ 9,256	6.24%	\$ 26,544	5.53%	\$ 28,204	6.25%
General University Fee	1,776	4.2%	1,848	4.1%	1,776	4.2%	1,848	4.1%
Other Fees:								
Student Newspaper	14		20	42.9%	14		20	42.9%
Student Government (USG)	86		96	11.6%	86		96	11.6%
WHUS	18		18		18		18	
Student Union (SUBOG)	46		46		46		46	
UCONN TV	10		10		10		10	
Transit Fee	100	42.9%	110	10.0%	100	42.9%	110	10.0%
Student Union Building Fee	26		0	-100.0%	26		0	-100.0%
Technology Fee	120	100.0%	150	25.0%	120	100.0%	150	25.0%
Infrastructure Maintenance	454	3.2%	468	3.1%	454	3.2%	468	3.1%
Subtotal Other Fees	874		918		874		918	
<b>Total Tuition &amp; Mandatory Fees</b>	<b>\$ 11,362</b>	<b>6.5%</b>	<b>\$ 12,022</b>	<b>5.8%</b>	<b>\$ 29,194</b>	<b>5.9%</b>	<b>\$ 30,970</b>	<b>6.1%</b>
Room Fee	6,096	3.0%	6,278	3.0%	6,096	3.0%	6,278	3.0%
Board Fee - Ultimate Meal Plan <sup>A</sup>	5,284	3.0%	5,444	3.0%	5,284	3.0%	5,444	3.0%
Grand Total	<u>\$ 22,742</u>	<u>4.7%</u>	<u>\$ 23,744</u>	<u>4.4%</u>	<u>\$ 40,574</u>	<u>5.1%</u>	<u>\$ 42,692</u>	<u>5.2%</u>
Board Fee - Value Meal Plan	5,044	3.0%	5,196	3.0%	5,044	3.0%	5,196	3.0%
<b>Grand Total</b>	<b>\$ 22,502</b>	<b>4.7%</b>	<b>\$ 23,496</b>	<b>4.4%</b>	<b>\$ 40,334</b>	<b>5.1%</b>	<b>\$ 42,444</b>	<b>5.2%</b>

<sup>A</sup> The Ultimate Meal Plan represents the most popular meal plan available (Per semester: unlimited meals, 500 points, 35 flex passes). Lower cost meal plan options are available.

<sup>B</sup> Tuition, Room and Board percentage increases were approved by the Board of Trustees on 12/19/11.

**(AMENDED AT THE 2/27/13 ACADEMIC AFFAIRS COMMITTEE MEETING)**

**AGENDA**  
Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**  
Wednesday, February 27, 2013  
Rome Commons Ballroom  
Storrs, CT  
9:00 a.m. – 9:15 a.m.

	<b><u>COMMITTEE</u></b>	<b><u>ATTACHMENT</u></b>
1) Minutes of the Academic Affairs Committee Meeting of December 12, 2012, as circulated	A	

**ACTION ITEMS:**

2) Tenure at Hire	Handout
3) Designation of Emeritus Status	2
4) Sabbatical Leave Recommendations	3
5) Reappointment of Dr. William T. Ross, Jr. to the ING Global Chair in the School of Business	4
6) Appointment of Professor Bethany Berger to the Thomas F. Gallivan, Jr. Chair of Real Property Law in the School of Law	5
7) Appointment of Professor Ruth Mason to the Anthony J. Smits Professorship for Global Commerce in the School of Law	6
8) Veterinary Anatomic Pathology Area of Concentration within the Pathobiology Field of Study in the College of Agriculture and Natural Resources	7
9) Digital Media and Design Majors in the School of Fine Arts	8
10) Approval of Proposed Changes to the <i>By-Laws of the University of Connecticut</i> (Article XIV.C – Academic Appointment and Tenure)	9

**INFORMATIONAL ITEM:**

11) Notification of Proposed Changes to the <i>By-Laws of the University of Connecticut</i> (Article XIV.C.4 – Academic Appointment and Tenure)	13
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## **TENURE AT HIRE**

**(RESOLUTION ADDED TO THE AGENDA UNDER  
THE CONSENT AGENDA AT THE FEBRUARY 27,  
2013 BOARD MEETING)**

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT  
PRESENTED TO THE BOARD OF TRUSTEES – February 27, 2013**

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**Effective August 23, 2013:**

**COLLEGE OF LIBERAL ARTS AND SCIENCES**

**TENURE AS PROFESSOR:**

Mitchell S. Green

Philosophy



**PROPOSED HONORARY DEGREE  
RECOMMENDATIONS**

**(RECOMMENDATIONS PROVIDED TO THE  
BOARD AT THE FEBRUARY 27, 2013 BOARD  
MEETING UNDER THE PRESIDENT'S REPORT.)**



University of Connecticut

## **Board of Trustees**

**RECOMMENDED HONORARY DEGREE RECIPIENTS  
FOR MAY 2013 COMMENCEMENT CEREMONIES**

**Wednesday, February 27, 2013**

## May 2013 Commencement

### Proposed Honorary Degree Recipients

Name	Degree	Ceremony
Jerry Adler	Doctor of Fine Arts	School of Fine Arts Saturday, May 11, 2013
Gary Bailey	Doctor of Humane Letters	School of Social Work
Lyudmila Harutyunyan	Doctor of Humane Letters	Saturday, May 11, 2013
Jeffrey Immelt	Doctor of Humane Letters	Graduate School Ceremony Saturday, May 11, 2013
Wally Lamb	Doctor of Humane Letters	Neag School of Education Sunday, May 12, 2013
Ferid Murid, M.D.	Doctor of Science	Health Center Ceremony Monday May 13, 2013
Elizabeth Shanahan	Doctor of Science	School of Engineering Saturday, May 11, 2013
Charles Zwick	Doctor of Humane Letters	College of Agriculture & Natural Resources Saturday, May 11, 2013

### **Jerry Adler (Fine Arts Ceremony)**

Jerry Adler is a highly acclaimed American director, production supervisor and a television and film actor who has appeared in numerous films, television series, programs and on stage. He was a Drama Desk Award nominee for "Outstanding Director of a Musical" in 1976 for the Toni Award winning musical *My Fair Lady*.

Beginning his career as an assistant stage manager on Broadway in 1950, Jerry Adler grew up with and has spent well over 60 years in show business. He has worked with such greats as Zero Mostel, Kathryn Hepburn, Carol Channing, Sir Laurence Olivier and Angela Lansbury. More recently he has worked with Meryl Streep, Uma Thurman and Shirley McLain. But the acting role of Herman "Hesh" Rabkin, Jewish advisor to Tony Soprano on *The Sopranos*, created by David Chase, was the role that defined his careers.

Jerry Adler has brought this wealth of knowledge and experience to the students and faculty in the School of Fine Arts. Despite his busy filming schedule and work on the hit television series *The Good Wife*, he comes to Storrs to the University of Connecticut each Monday to teach dramatic arts students. His experience and dedication is an invaluable resource for faculty and students alike.

He has performed with the University of Connecticut's Repertory Theatre for several years including the starring role of "Gramps" in the world premiere of Connecticut Native son Mike Reiss's *I'm Connecticut* in the summer of 2011.

### **Gary Bailey (School of Social Work)**

Professor Bailey is currently a Professor of Practice at Simmons College Graduate School of Social Work and at the Simmons School of Nursing and Health Sciences. He is an internationally recognized leader, scholar and educator in social work, international social work, social development, diversity, social justice and human rights. Professor Bailey's teaching, scholarship and service exemplifies sustained leadership, innovation, excellence and demonstrated impact on social development at national and international levels.

Professor Bailey served as past vice-president of the North America region of the IFSW. He was appointed as the inaugural Chair of the Policy, Advocacy and Representation Commission (the PARC) in August 2006, where his responsibilities included the review of existing and the development of new policies. He oversaw the IFSW representatives at the United Nations in Nairobi, Geneva, New York City and Vienna.

He has held numerous professional service positions at the international, national and state levels. Professor Bailey holds the rare distinction of having served as President of the two pre-eminent professional social work organizations: the National Association of Social Workers (NASW) and the International Federation of Social Workers (IFSW). In 2010, Professor Bailey was elected for a four year term as President of IFSW located in Berne, Switzerland. He is the first African-American and the first American in 20 years to serve as president of the IFSW. IFSW is a global organization striving for social justice, human rights and social development through the promotion of social work, best practice models and the facilitation of international cooperation. It is a federation of national member organizations in 90 countries, representing more than 750,000 social workers globally. IFSW also has Special Consultative Status with the Economic and Social Council (ECOSOC) of the United Nations and the United Nations Children's Fund (UNICEF). In addition, IFSW is working with the World Health Organization (WHO), the Office of the United Nations High Commissioner for Refugees (UNHCR), and the Office of the United Nations High Commissioner for Human Rights (OHCHR).

Professor Bailey is highly respected in the profession of social work and in the international social work education community, demonstrating excellence in teaching, scholarship and public engagement. He is truly an outstanding scholar and a passionate social activist whose contributions will have significant influence on future generations of social workers and citizens in different parts of the world. Professor Gary Bailey's life and achievements exemplify the University's vision, values and aspirations for an inclusive community that celebrates diversity, promotes civility, advances global awareness, and cultivates leadership, integrity, and engaged citizenship (University of Connecticut Academic Plan).

### **Lyudmila Harutyunyan (School of Social Work)**

In 1963, Dr. Lyudmila Harutyunyan received a Master of Science in Economics degree from Yerevan State University and then went on to earn a doctorate degree in Economics from Yerevan State University in 1971. Continuing her studies, she completed a Fellowship in Social Demography from the Sorbonne in Paris and a Post-Doctoral Fellowship and Doctor of Science in Sociology degree from the Institute of Sociology in Moscow.

Over a long and impressive career, Dr. Harutyunyan has had many notable accomplishments. Among them is service as an elected member of the Congress of the People's Deputies in the Soviet Union. Dr. Harutyunyan founded and continues to lead two non-governmental organizations that have contributed to the positive development of a democratic and free market in Armenia and has served as a member of the European Committee of Social Rights within the Council of Europe.

Collaboration with the University of Connecticut School of Social Work and Yerevan State University led to the founding of the profession of Social Work and Social Work education in Armenia. After more than twenty years, the collaboration continues to this day and as a direct result of your initiative, Social Work is firmly rooted in Armenian country and culture. In recognition of this, the Armenian government has declared November 4<sup>th</sup> National Social Work Day in Armenia. Dr. Harutyunyan has become and will in the future be known as the "Mother of Armenian Social Work".

Throughout all these endeavors Dr. Harutyunyan has been an active scholar and social scientist. She has played a key role in the peaceful transition of the Soviet Union into separate and independent countries, including the founding of the Republic of Armenia in 1990. While elected to represent the interests of women Dr. Harutyunyan became an important voice for the needs of the territories and ethnic groups which became the separate republics after the breakup of the Soviet Union.

Dr. Harutyunyan is a courageous scholar. She has regularly written about topics that were not favored or in some cases permitted by official policy. She wrote about unemployment and underemployment throughout the Soviet Union despite the fact that such conditions were denied by the government. Post-independence, she applied her expertise and interest in conflict resolution to promote reconciliation of Armenia and neighboring countries. She has spoken about rapprochement between Armenia and Turkey in both countries. Dr. Harutyunyan has been a leader of several regional efforts to create scholarly exchanges and cooperative efforts among social scientists between Armenia, Azerbaijan and Georgia, countries with old and complicated hostilities and tensions.

### **Jeffrey Immelt (Graduate School)**

Mr. Immelt, Chairman and Chief Executive Officer of General Electric Corporation, leads one of America's most admired companies and is one of *Time* magazine's 100 most influential people in the world. He is the Chairman of President Obama's council on Jobs and Competitiveness. His business and civic leadership serves as an example of the highest ideals of American industry.

He was born in Cincinnati, Ohio, the son of Donna, a school teacher, and Joseph, who managed the General Electric Aircraft Engines Division. He holds an AB in applied Mathematics from Dartmouth College, where he currently serves on the Board of Trustees, and was President of his fraternity, Phi Delta Alpha, and an MBA from Harvard Business School. He then held a series of leadership positions with GE Plastics in sales, marketing and global product development.

He became a Vice President of GE in 1989, responsible for consumer services for GE Appliances. He subsequently became Vice President of Worldwide Marketing Product Management for GE Appliances in 1991, Vice President and General Manager of GE Plastics Americas Commercial Division in 1992, and Vice President and General Manager of GE Plastics Americas in 1993. He became Senior Vice President of GE and President and Chief Executive Officer of GE Medical Systems in 1996. Immelt became GE's President and Chairman-elect in 2000, and Chairman and Chief Executive Officer in 2001. He also serves as Director of the Federal Reserve Bank of New York and a Trustee of Dartmouth College. As the Chair of the Advisory Board to the President's council on Jobs and Competitiveness, Immelt oversees the collaborative efforts between UConn and GE in connection with the development of UConn's Tech Park.

**Wally Lamb (Neag School of Education)**

Wally Lamb is a Mansfield Center, Connecticut native who holds Bachelors and Masters Degrees in teaching from the University of Connecticut and a Master of Fine Arts in Writing from Vermont College. For 25 years, Wally Lamb taught English and writing at his alma mater, the Norwich Free Academy. Lamb designed and implemented the school's Writing Center, where he instructed students in writing across the disciplines. As a result of his work for this program, he was chosen the Norwich Free Academy's first Teacher of the Year and later was named a finalist for the honor of Connecticut Teacher of the Year (1989). From 1997 to 1999, Lamb was an Associate Professor in the English Department at the University of Connecticut. As the school's Director of Creative Writing, he originated a student-staffed literary and arts magazine, *The Long River Review*.

Lamb's writing awards include grants from the National Endowment for the Arts and the Connecticut Commission on the Arts, the Connecticut Center for the Book's Lifetime Achievement Award, selections by Oprah's Book Club and Germany's Bertelsmann Book Club, the Pushcart Prize, the New England Book Award for Fiction, and New York Times Notable Books of the Year listings. *She's Come Undone* was a finalist for the Los Angeles Times' Best First Novel Award and one of People magazine's Top Ten Books of the Year. *I Know This Much Is True* won the Friends of the Library USA Readers' Choice Award for best novel of 1998 and the National Alliance for the Mentally Ill's Kenneth Johnson Award for its anti-stigmatizing of mental illness.

Teaching awards for Lamb include a national Apple Computers "Thanks to Teachers" Excellence Award and the Barnes and Noble "Writers Helping Writers" Award for his work with incarcerated women. Lamb has received Honorary Doctoral Degrees from several colleges and universities and was awarded Distinguished Alumni awards from Vermont College of Fine Arts and the University of Connecticut.

**Ferid Murid, M.D. (Health Center Ceremony)**

Dr. Ferid Murad teacher, clinician and researcher won the Nobel Prize in Physiology or Medicine in 1998 and the Albert Lasker Award for Basic Medical Research in 1996. Dr. Murad's key research demonstrated that nitroglycerin and related drugs worked by releasing nitric oxide into the body, which acted as a signaling molecule in the cardiovascular system, making blood vessels dilate. He received his Medical Degree and pharmacology Ph.D. degrees from Case Western Reserve University in 1965.

In addition to Dr. Murad's being a Nobel laureate, he is the recipient of many academic titles and honors. He has been on faculty at the University of Texas, Stanford University and the University of Virginia. He particularly enjoys a multi-dimensional educational setting, like the University of Connecticut, and seeks to energize his undergraduates in their research. Dr. Murad's work in cardiology complements the work done at the Pat and Jim Cardiology Center and, with his work on stem cells, he is aligned with the mission of the Cell and Genome Sciences Center at the UConn Health Center. Considering the current emphasis on the importance of Bioscience Connecticut to the health of the state of Connecticut, it seems appropriate for the state's public institution to honor such an esteemed biochemist as Dr. Ferid Murad.

**Elizabeth Shanahan (School of Engineering)**

Dr. Betty Shanahan is the executive director and CEO for the Society of Women Engineers (SWE), a position she has held since 2002. She previously spent 24 years in development, engineering management, and marketing for the electronics and software industries. Most recently, she was vice president of product management and marketing for the Software Components Division of Stellant Inc., a global provider of enterprise content management (ECM) software solutions acquired recently by Oracle.

She began her career at Data General, where she was the single female engineer on the Eagle minicomputer design project captured in Tracy Kidder's Pulitzer Prize winning book, *The Soul of a New Machine*. Dr. Shanahan continued her career at Alliant Computer Systems as a member of the team tasked with the design of the first parallel processing minicomputer and manager of hardware design for subsequent systems.

Dr. Shanahan earned a B.S. in electrical engineering from Michigan State University, a Master of Software Engineering from the Wang Institute of Graduate Studies, and an MBA from the University Of Chicago Graduate School Of Business.

Throughout her professional career as an engineer and the director of one of the nation's most influential STEM organizations, Dr. Shanahan has demonstrated remarkable vision, leadership, and an undaunted commitment to tearing down gender-based and racial barriers in education that hinder technological progress. In so doing, she has nurtured the aspirations of young women and other underrepresented populations in engineering and the STEM disciplines to embark on educational and career trajectories that were simply unavailable to previous generations.

As executive director and CEO of the Society of Women Engineers, she has championed programs that encourage K-12 girls to study science, technology, engineering and mathematics (STEM); developed an infrastructure to support and retain young women in their STEM academic pursuits; brought visibility to the achievements of women in the STEM disciplines; and helped to build a STEM ecosystem that recognizes, encourages and rewards a more diverse community as a means to invigorating and perpetuating the nation's economic progress.

Dr. Shanahan is an inspiring, accomplished and influential leader whose career and core values align perfectly with those of the University of Connecticut.

**Charles Zwick (College of Agriculture & Natural Resources)**

As a scholar, business leader, philanthropist, and public servant, Dr. Zwick has embodied the University's values of leadership, integrity and outreach, and has contributed greatly to society. Through his generosity to UConn to expand the Zwick Center for Food and Resource Policy and his work with Florida's Collins Center for Public Policy, he has helped to advance research in economics, natural resources, and public issues that face policymakers and the public.

Born in Plantsville, Connecticut in 1926, he enrolled at the University of Connecticut only after his mother's insistence that he attend college. It was at UConn that he discovered a strong aptitude for mathematics and economics. After fulfilling his service obligation to the US Army during which he toured post-World-War-II Japan in 1946 and 1947, he returned to Storrs and earned a bachelor's and a master's degree in agricultural economics, *Phi Beta Kappa*

After completing a Ph.D. at Harvard in economics, he stayed on to teach for two years in Cambridge before joining the RAND Corporation. His initial efforts there focused on logistics and, later, on research, especially on economic assistance and military programs in Southeast Asia. Crucial Washington, DC ties forged at RAND propelled him from think-tank scientist to the powerful war-time Director of the Office of Management and Budget (OMB) from 1968-1969 under Lyndon Johnson. He held the distinction of being the only OMB Director to balance the federal budget until it was balanced again under the Clinton administration in 1997 – a feat that hasn't been accomplished again to date.

He has been called upon extensively for his economic expertise and consistently worked towards solutions, even when the answers were unpopular. President Jimmy Carter asked him to chair the President's Commission on Military Compensation in 1977 to review pay, retirement, and military benefits.

His philanthropic impact has been tremendous and shows his consistent commitment to use economics to solve problems. His gift to UConn's formerly-named Food Marketing Policy Center – now renamed the Zwick Center for Food and Resource Policy – has reinvigorated the internationally known center and allowed it to expand its research with an ambitious agenda that includes studies on obesity and food policy, resource economics and land use, and food and agricultural markets.

In education, public service, business, and later, in philanthropy, Dr. Zwick has excelled in using economics to solve pressing problems, be they of the federal budget, a proposed bank merger, sustainable growth in a quickly expanding state, or the rising obesity epidemic. He has lived an extraordinary American life that is exemplary for University of Connecticut students.



**(AMENDED AT THE 2/27/13 FINANCIAL AFFAIRS COMMITTEE MEETING)**

**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**February 27, 2013 at 9:15 a.m.**  
University of Connecticut  
Rome Commons Ballroom  
Storrs, Connecticut

	<b><u>ATTACHMENT COMMITTEE</u></b>	<b><u>LOCATION FULL BOARD</u></b>
1) Approval of the Minutes of the Financial Affairs Committee Meetings of November 14, 2012 and December 12, 2012, as circulated	A	
<b><u>ACTION ITEMS:</u></b>		
2) Contracts and Agreements for Approval		1
3) Fiscal Year 2014 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses		14
4) Fiscal Year 2014 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses		15
5) Fiscal Year 2014 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses		16
6) Extending the Veterans Waiver for Certain Degree Programs Offered in the Summer and Intersession for the University of Connecticut, Storrs and Regional Campuses		17
7) Revised Allocation of Bond Authorizations as set forth in the Eighteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)		18
8) Increase in Board of Trustees Reporting Thresholds for Both Information and Approval of Contracts and Project Budgets		19

**PROJECT BUDGETS FOR APPROVAL:**

	<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
9) UConn Health Center (UCHC) Dental Clinic Fit-Out, Storrs Center	Planning	\$858,000	20
10) UConn Health Center (UCHC) Main Building Exterior Façade Joint Sealant Replacement	Final	\$3,475,000	21
11) UConn Health Center (UCHC) New Construction and Renovations	Final	\$364,386,000	22
12) Young Building Renovation/Addition	Revised Final	\$19,473,430	23

**INFORMATION ITEMS:**

- |   |                        |
|---|------------------------|
| 13) Contracts and Agreements for Information  | B                      |
| 14) FY 2013-2014 Schedule of Costs for an Undergraduate Student University of Connecticut (Storrs Campus) | C                      |
| 15) Construction Project Status Report  | (Under Separate Cover) |
| 16) University-Wide FY12 Closeout and FY13 Six Month Update   | (Under Separate Cover) |
| 17) Master Schedule for UCONN 2000 Phases I, II & III   | (Under Separate Cover) |
| 18) Financial Statement(s) Storrs and Health Center   |                        |

Storrs:

<http://accountingoffice.uconn.edu/accounting/PDF/2012FS.pdf>

UCHC:

[http://controller.uchc.edu/reports/docs/year\\_end\\_report\\_2012.pdf](http://controller.uchc.edu/reports/docs/year_end_report_2012.pdf)

**EXECUTIVE SESSION (As Needed)**

**DEVELOPMENT PROGRESS  
EXECUTIVE SUMMARY (DPES)  
(ATTACHMENT 25)**

**[Updated DPES (for the period ended January 31, 2013) was provided as an informational Handout at the February 27, 2013 Board Meeting under the Institutional Advancement Report. The DPES Report provided in the agenda under Attachment 25 and is for the period ended December 31, 2012.]**

# The University of Connecticut Foundation, Inc.

## Development Progress Executive Summary

July 1, 2012 to January 31, 2013

Progress Toward Goals	FYTD12 Results	FY13 Goal	FYTD13 Results	
<b><u>Commitment Results</u></b>				
Athletics	\$ 9.93 M	\$ 17.00 M	\$ 5.46 M	32%
UConn Health Center	\$ 3.36 M	\$ 12.00 M	\$ 4.07 M	34%
UConn General	\$ 16.35 M	\$ 34.00 M	\$ 26.45 M	78%
<b><u>Total Commitments</u></b>	<b><u>\$ 29.64 M</u></b>	<b><u>\$ 63.00 M</u></b>	<b><u>\$ 35.98 M</u></b>	<b>57%</b>
<b><u>Number of donors</u></b>				
<i>* Substantial donor increase due to significant number of donors to Sandy Hook Memorial Fund</i>	17,332	30,000	19,838 *	
<b><u>Cash Results</u></b>				
Cash basis gift receipts	\$ 26.34 M	\$ 50.00 M	\$ 30.74 M	61%
Annual fund unrestricted receipts	\$ 618 K	\$ 1.00 M	\$ 562 K	56%

Campaign Commitments Progress	
<b>Fiscal Year 2013 Campaign Total (to Date)*</b>	\$ 372.62 M
* This total reflects campaign write-offs and pre-campaign total of \$10.6 million, as appropriate.	