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University of Connecticut

Board of

TRUSTEES

VOL. 114 FEBRUARY 28, 2012

MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT

AGENDA

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

February 28, 2012

BOARD OF TRUSTEES SCHEDULE OF THE DAY

9:00 a.m.	Academic Affairs Committee Meeting
9:45 a.m.	Financial Affairs Committee Meeting
11:00 a.m.	Call to Order, followed by Executive Session
12:00 p.m.	Board of Trustees Meeting (Public Session)
1:30 p.m.	Student Life Committee Meeting

BOARD MEETING AGENDA

Call to order at **11:00 a.m.**

EXECUTIVE SESSION

1. Executive Session anticipated.
2. Close Executive Session.

OPEN SESSION

Reconvene in Open Session at **12:00 p.m.**

1. Public Participation
2. Chairman's Report
   - (a) Matters outstanding
   - (b) Minutes of the meeting of January 25, 2012
   - (c) Consent Agenda Items:
     - (1) Contracts and Agreements for the Storrs-based programs and the Health Center (Attachment 1)
     - (2) Tenure Relocation (Attachment 2)
     - (3) Sabbatic Leave Recommendations (Attachment 3)
     - (4) Appointment of Dr. Flavio Uribe to the Dr. Charles J. Burstone Professorship in Orthodontics in the School of Dental Medicine (Attachment 4)
     - (5) Establishment of the Anthony J. Smits Professorship for Global Commerce in the School of Law (Attachment 5)
     - (6) Bachelor of Science in Geography in the College of Liberal Arts and Sciences (Attachment 6)
     - (7) Bachelor of Science Degree in Mathematics-Physics in the College of Liberal Arts and Sciences (Attachment 7)

(8) Modification of the Master of Arts Degree in Literatures, Cultures and Languages to include Classics and Ancient Mediterranean Studies as an Area of Concentration in the College of Liberal Arts and Sciences (Attachment 8)

(9) Approval of Proposed Changes to the *By-Laws of the University of Connecticut* (Article V – Committees of the Board) (Attachment 9)

3. President's Report

4. Academic Affairs Committee Report

(a) Report on Committee activities

(b) Informational item:

(1) Five-Year Review of Academic Centers and Institutes (Attachment 10)

5. Financial Affairs Committee Report

(a) Report on Committee activities

(b) Items requiring Board discussion and approval:

(1) Project Budget (Planning) for Arjona and Monteith – Monteith Hall Renovations (Attachment 11)

(2) Project Budget (Planning) for Ecology and Evolutionary Biology (EEB) Greenhouse Renovations (Attachment 12)

(3) Project Budget (Planning) for Memorial Stadium Demolition (Attachment 13)

(4) Project Budget (Planning) for Rodent Barrier Facility (Attachment 14)

(5) Project Budget (Planning) for the UConn Health Center Demolition – Lower Research Campus* (Attachment 15)

(6) Project Budget (Planning) for the UConn Health Center Detention Basin Dredging* (Attachment 16)

(7) Project Budget (Revised Planning) for the UConn Health Center Main Building Renovation, L Building Phase I* (Attachment 17)

(8) Project Budget (Revised Planning) for the UConn Health Center New Construction and Renovations* (Attachment 18)

(9) Project Budget (Design) for the Avery Point Campus Undergraduate and Library Building Phase I (Student Center) (Attachment 19)

(10) Project Budget (Final) for Beach Hall Renovations – Façade and Roof Repair (Attachment 20)

(11) Project Budget (Final) for Pedestrian Safety Improvements – Hillside Road (Attachment 21)

(12) Project Budget (Final) for Law School Renovations/ Improvements – Starr, Chase, Hosmer, and Knight Halls Building Envelope Repair (Attachment 22)

* Related to BioScience CT

(13) Project Budget (Revised Final) for the UConn Health Center Administrative Services Building (ASB) Renovations* (Attachment 23)

(c) Informational items:

(1) Financial Statements for Storrs and the Health Center:

Storrs:
http://accountingoffice.uconn.edu/accounting/PDF/2011FS.pdf

Health Center:
http://controller.uchc.edu/reports/docs/year_end_report_2011.pdf

6. Health Center Report

(a) Report on Health Center activities

7. Joint Audit and Compliance Committee Report

(a) Report on Committee activities

8. Buildings, Grounds and Environment Committee Report

(a) Report on Committee activities

9. Construction Management Oversight Committee Report

(a) Report on Committee activities

10. Student Life Committee Report

(a) Report on Committee activities

11. Institutional Advancement Committee Report

(a) Report on Committee activities

(b) Item requiring Board discussion and approval:

(1) Facility Naming Recommendation:

(a) College of Liberal Arts and Sciences

(1) Elizabeth M. Macfarlane Classroom (Attachment 24)

(c) Development Progress Executive Summary (Attachment 25)

12. Other

13. Adjournment

PLEASE NOTE: ***If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.***

* Related to BioScience CT

ATTACHMENT 1

CONTRACTS AGREEMENTS
FOR APPROVAL
FEBRUARY 28, 2012

*** R E V I S E D ***

PROCUREMENT CONTRACTS - NEW

DORMITORY FURNITURE

No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Adden Furniture, Inc.	$1,235,960	03/01/12	Auxiliary Services	Steven Kremer, Assistant Vice President for Student Affairs	Purchase of dormitory furniture for the following locations: West, Ellsworth, Hale, Mansfield A and Mansfield B. Procured under State of CT Contract# 07PSX0285.

SOFTWARE, HOSTING AND PROFESSIONAL SERVICES

No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	EBSCO Industries Inc.	$2,265,725	07/01/11-06/30/12	Multiple Sources	Brinley Franklin, Vice Provost, Library	Provide annual access to journal packages (including Wiley Interscience and SpringerLink) and provide access to research databases and media. Procured under statutory authority 10a-151a. Contract value varies yearly.
2	Elsevier BV	$2,100,000	07/01/11-06/30/12	Multiple Sources	Brinley Franklin, Vice Provost, Library	Provide Science Direct Journal Package access to over 1,200 Elsevier science journals including prestigious societies and STM publishers. Procured under statutory authority 10A-151A.

ON-CALL CONSULTANT SERVICES - SET-ASIDE PROJECT MANAGEMENT OVERSIGHT - $1.5M AGGREGATE TO BE AWARDED AMONG CONTRACTS

Contractors	Approval Amount	Term	Fund Source	Program Director	Purpose
AI Engineers, Inc. Integrated Design and Construction, LLC J. R. Russo, LLC	Up to $1,500,000 per Contract	03/01/12-02/28/15	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Set-Aside Project Management Oversight (PMO) for design oversight, construction, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, design oversight, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support. Engagements in the program shall be project/program assignment specific.

PROCUREMENT - AMENDMENTS

A/V SERVICES, SUPPLIES AND EQUIPMENT

No.	Contractor	Total Contract Value	Term	Fund Source	Program Director	Contract Value to Date	Contract Value FY11	Contract Value FY10	Purpose
1	HB Communications	$2,300,000 *[Total Contract Amount $14,911,577]*	03/15/07-12/31/15	Multiple Sources	Matt Larson, Director of Procurement Services	$12,611,577	$3,182,807	$2,496,904	Master Agreement for the purchase of all University A/V services, equipment and supplies. Initial term 3/15/07-12/31/07 with eight (8) one (1) year extensions. ***Amend to increase by $2,300,000 for the fifth extension.***

FOOD SERVICE

No.	Contractor	Total Contract Value	Term	Fund Source	Program Director	Contract Value to Date	Contract Value FY11	Contract Value FY10	Purpose
1	Performance Food Group	$750,000 *[Total Contract Amount $1,500,000]*	03/01/11-02/28/13	Auxiliary Services	Steven Kremer, Assistant Vice President for Student Affairs	$750,000	$750,000	N/A	Provide meat, poultry and cheese products for Dining Services (Storrs campus) for the dining halls, catering, etc. University Contract# UC-11-KA091010-8. This is the result of a publicly advertised RFP. The term of the contract is for one year with four (4) one-year extensions. ***Amend to increase by $750,000 for the first extension.***

*** R E V I S E D ***

PROCUREMENT - AMENDMENTS *(Continued)*

SOFTWARE, HOSTING AND PROFESSIONAL SERVICES

No.	Contractor	Total Contract Value	Term	Fund Source	Program Director	Contract Value to Date	Contract Value FY11	Contract Value FY10	Purpose
1	Accruent, LLC	$530,400 *[Total Contract Amount $1,450,000]*	07/01/10-06/30/13	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	$919,600	$499,400	N/A	FAMIS software licenses, maintenance, hosting and professional services utilized by Storrs, Regional and Health Center campuses for facilities operations and space planning purposes. Originally sourced through a competitive bid process, recent upgrades and interfaces required for Banner and Kuali finance systems. ***Amend to increase by $530,400 for FY12 and FY13.***

SOFTWARE, HOSTING AND PROFESSIONAL SERVICES *(Continued)*

No.	Contractor	Total Contract Value	Term	Fund Source	Program Director	Contract Value to Date	Contract Value FY11	Contract Value FY10	Purpose
1	IBI, Inc.	$457,172 *[Total Contract Amount $1,450,000]*	07/01/05-06/30/13	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	$992,828	$180,346	$98,482	WebFocus software and professional services for multiple University departments for data storage, reporting, extraction and analysis from production applications. Originally purchased through State of Connecticut Master Agreement A-82-019. ***Amend to increase by $457,172 for FY12 and FY13.***
2	Perceptive Software	$1,209,538	09/01/11-09/30/15	Operating Fund-General	Wayne Locust, Vice President, Enrollment Planning & Management	$48,772	N/A	N/A	Provide document imaging and workflow system for Undergraduate Admissions and the Office of the Registrar to enable the University to meet record retention requirements and provide secure back-up of documents. This system interfaces with PeopleSoft and is already in use in Financial Aid, the Bursar's Office and the Controller's Office. ***Amend to extend the term thru 09/30/15.***

PROPERTY LEASE AGREEMENTS

UNIVERSITY AS LESSOR

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Aquatic Sensor Network Technology, LLC	$6,380	05/01/12-04/30/13	Operating Fund-General	Alexandria Roe, Director of University Planning	Renewal of TIP program lease. Lease of space not to exceed 290 square feet in the **Marine Science Building** on the grounds of the University of Connecticut - **Avery Point Campus**, Groton, CT. Rent shall be at $22.00 per square foot. *Lessee shall participate in the University Technology Incubator Program (TIP).*
2	Aquatic Sensor Network Technology, LLC	$2,275	05/01/12-04/30/13	Operating Fund-General	Alexandria Roe, Director of University Planning	Renewal of TIP program lease. Lease of space not to exceed 111 square feet in the **Longley Building** on the grounds of the University of Connecticut - **Depot Campus**, Mansfield, CT. Rent shall be at $20.50 per square foot. *Lessee shall participate in the University Technology Incubator Program (TIP).*
3	Emme E2MS, Inc.	$4,980	03/01/12-02/28/15	Operating Fund-General	Alexandria Roe, Director of University Planning	New TIP program lease. Lease of space not to exceed 249 square feet in the **Marine Science Building** on the grounds of the University of Connecticut - **Avery Point Campus**, Groton, CT. Rent shall be at $20.00 per square foott in the first year, with increases of $2.00 per square feet annually after the first year. *Lessee shall participate in the University Technology Incubator Program (TIP).*

*** R E V I S E D ***

PROPERTY LEASE AGREEMENTS *(CONTINUED)*

UNIVERSITY AS LESSOR *(Continued)*

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
4	Nalas Engineering Services, Inc.	$34,160	03/01/12-12/31/12	Operating Fund-General	Alexandria Roe, Director of University Planning	New lease with existing TIP program tenant to add additional square footage. Lease of space not to exceed 2,440 square feet in the **Coast Guard Research & Development Building** on the grounds of the University of Connecticut - **Avery Point Campus**, Groton, CT. Rent shall be at $14.00 per square foot for the term. Lessee must furnish a departure plan to TIP no later than September 15, 2012. If Lessee fails to provide TIP with said departure plan, Lessor shall have the right to impose a penalty upon Lessee for the remainder of the term (Oct.-Dec., 2012). *Lessee shall participate in the University Technology Incubator Program (TIP).*
5	Synbody	$32,934	03/01/12-02/28/15	Operating Fund-General	Rita Zangari, Director Technology Incubation	New University Technology Incubator Program (TIP) lease. Lease of space not to exceed 499 square feet in the 400 Farmington Avenue, Genome Building at the University of Connecticut Health Center in Farmington, CT. Rent shall be at $20.00 per square foot in the first year, with increases of $2.00 per square foot annually for each of the next two years. *Lessee shall participate in the University Technology Incubator Program (TIP).*

UNIVERSITY AS LESSEE

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Metro Realty Group, LTD	$875	04/01/12-07/31/16	Operating Fund-General	Bruce Liang, MD Director Pat and Jim Calhoun Cardiology Center	Amendment to current lease for property located at 11 South Road, Farmington, CT. The current lease was entered on behalf of the John Dempsey Hospital for the Cardiology Program; additional space for Cardiology will not be available on the Farmington campus for an estimated 5 years, new space was approved by the UCHC Senior Team and the Space Committee prior to going out to bid. This amendment will add basement space to be used at the location for information technology equipment. The space will be utilized through the term of the five year lease and consists of 100 square feet at $8.75 per square foot.
2	GMW Medical Services, LLC	$11,400	04/01/12-03/31/13	Operating Fund-General	Jay Lieberman, MD Director New England Musculoskeletal Institute	Lease renewal for 500 square feet of Orthopedic space at 1131 West Street, Southington, CT at $23.00 per square foot. Multiple Orthopedic surgeons and support staff will occupy the space for up to ten sessions per week.

ATTACHMENT 2

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive Vice President for Academic Affairs

February 28, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Approval of Tenure Relocation of Dr. Fred V. Carstensen from the Department of Economics in the College of Liberal Arts and Sciences to the Department of Finance in the School of Business

RECOMMENDATION:

That the Board of Trustees approve the tenure relocation of Dr. Fred V. Carstensen from the Department of Economics in the College of Liberal Arts and Sciences to the Department of Finance in the School of Business.

BACKGROUND:

Dr. Fred V. Carstensen currently holds tenure in the Department of Economics in the College of Liberal Arts and Sciences, and he is the Director of the Connecticut Center for Economic Analysis. Dr. Carstensen's tenure relocation will help foster economic development synergies throughout the State of Connecticut. Dr. Carstensen's tenure relocation is supported by the Dean of the School of Business.

An Equal Opportunity Employer

Gulley Hall
352 Mansfield Road Unit 2086
Storrs, Connecticut 06269-2086

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e-mail: peter.nicholls@uconn.edu
web: http://provost.uconn.edu

ATTACHMENT 3

University of Connecticut Department of Human Resources

Sabbatical Leave Recommendations Requiring Board of Trustees Approval

February 28, 2012 Board of Trustees Meeting

CHANGES TO PREVIOUSLY APPROVED SABBATICAL LEAVES

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Kinsella-Shaw, Jeffrey M.	Associate Professor	Kinesiology	Neag School of Education	Full	Spring 2012
				Full	Spring 2013
Rock, Constance	Associate Professor	Music	School of Fine Arts	Full	Fall 2012
				Full	Fall 2013

SABBATICAL LEAVE REQUEST:

Semester at Full Pay

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Dino, Richard	Associate Professor	Management	School of Business	Full	Fall 2012

ATTACHMENT 4

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive Vice President for Academic Affairs

February 28, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Appointment of Dr. Flavio Uribe to the Dr. Charles J. Burstone Professorship in Orthodontics in the School of Dental Medicine

RECOMMENDATION:

That the Board of Trustees appoint Dr. Flavio Uribe to the Dr. Charles J. Burstone Professorship in Orthodontics in the School of Dental Medicine.

BACKGROUND:

The Charles J. Burstone Professorship provides financial support to a department, section or division of the School of Dental Medicine in the field of Orthodontics. The recipient of the professorship is to be "an individual … actively involved in either basic or translational research and teaching related to orthodontics." The professorship was previous held by Dr. Sunil Whadwa, Assistant Professor.

Dr. Flavio Uribe has been identified and recommended by the Dean of the School of Dental Medicine, Dr. Monty MacNeil, and the Department Head for Craniofacial Sciences, Dr. Ravindra Nanda, to assume this professorship. Dr. Uribe is a tenured Associate Professor in the Division of Orthodontics and conducts basic, clinical and translational research in the areas of osteoblast response to orthodontic force and the use of vibrational microforces and minimally invasive surgery to accelerate the rate of tooth movement. Dr. Uribe is well known in the field of Orthodontics and publishes and presents internationally on his research.

The Dr. Charles J. Burstone Professorship was established on June 20, 2006.

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ATTACHMENT 5

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive
Vice President
for Academic Affairs

February 28, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Naming Recommendation for Anthony J. Smits Professorship for Global Commerce

RECOMMENDATION:

That the Board of Trustees establish the Anthony J. Smits Professorship for Global Commerce in the School of Law.

BACKGROUND:

Anonymous donors, a husband and wife, have made a $400,000 commitment to the School of Law ($25,000 monthly for 16 months) to create a new fund in support of the Anthony J. Smits Fund for Global Commerce. The gift will be applied to the principal account of an endowment fund that will support a professorship in Global Commerce, whose holder will be named the Anthony J. Smits Professor of Global Commerce. The donors have made other gifts that bring their total giving to $800,000.

The proposed Anthony J. Smits Professorship for Global Commerce will allow the University to offer a named professorship in the University's School of Law. Such a professorship will permit the School of Law to attract or retain a nationally or internationally recognized scholar and teacher who has made significant contributions to the field of Global Commerce. The fund's spending allocation amount will be used to support the Professor and his or her related academic activities. The endowment will be held by The University of Connecticut Law School Foundation, Inc.

The donors are both graduates of the University of Connecticut School of Law. The widow of Anthony J. Smits has given written permission to name the professorship in memory of her husband.

The Anthony J. Smits Professorship for Global Commerce was approved by the Institutional Advancement Committee on February 14, 2012.

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ATTACHMENT 6

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive
Vice President
for Academic Affairs

February 28, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Bachelor of Science Degree in Geography in the College of Liberal Arts & Sciences

RECOMMENDATION:

That the Board of Trustees approve the Bachelor of Science Degree in Geography in the College of Liberal Arts & Sciences.

BACKGROUND:

The proposed Bachelor of Science degree focuses on the methods and techniques of geographic information science and technology (GIST). Within GIST, there are main foci of studies beyond the basic principles: (1) proper understanding of the statistical analysis of geographic data; (2) proper methods for the visualization of geographic data; and (3) the proper use of GIST in a normative decision-making environment.

By pursuing this degree, including courses within the spatial focus in other departments as related courses, students can effectively prepare themselves for technical careers in spatial analysis in the federal government (Defense Mapping Agency, National Atmospheric and Oceanic Administration) and private firms. Geographic Information and Science Technology has become integral to studying the environment and accessing the delivery of medical services, which are both important aspects of the current Academic Plan.

It is expected that this degree will generate 10 – 15 new majors per year.

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University of Connecticut
Office of the Provost

Notification of Intent of a New Academic Degree Program

Routing Sheet

Name of proposed academic degree program: **Bachelor of Science Geography**

Name of sponsoring department(s):
Geography

Name of sponsoring School(s) and/or College(s): ____College of Liberal Arts and Sciences

Campuses (Storrs and/or regional(s)) proposed to offer this degree program:
__Storrs

Contact person and contact details:

Dr. Robert Cromley
Robert.cromley@uconn.edu
(860) 486-2059

215 Glenbrook Rd.

Submittal Information:

Dean

School or College

Signature

Date

Recipient Information:

Name of Document Recipient in Provost's Office

Date

Notification of Intent of a New Academic Degree Program

(Please include the Notification of Intent of a New Academic Degree Program Routing Sheet)

1. Academic Objectives

Describe the intellectual and academic merits of the new program and discuss its prospective contributions to UConn's Academic Plan.

The proposed B.S. degree focuses on the methods and techniques of geographic information science and technology (GIST). Within the field of GIST, there are three main foci of study beyond the basic principles 1) proper understanding of the statistical analysis of geographic data, 2) proper methods for the visualization of geographic data, and 3) the proper use of GIST in a normative decision-making environment. By pursuing this degree, including courses with a spatial focus in other departments as related courses, students can effectively prepare themselves for technical careers in spatial analysis in the federal government (e.g., Defense Mapping Agency, National Atmospheric and Oceanic Administration), and private firms. Geographic Information and Science and Technology has become integral to studying the environment and accessing the delivery of medical services both important aspects of the Academic Plan.

2. Course Status

List the required and elective courses necessary to complete this new program. Identify the courses currently offered and list the new courses that must be created at the relevant campus(es).

The proposed B.S. degree requires 31 credits in 2000-level or above geography courses and 12 credits of related course work in other departments. B.S. majors must complete a basic core of 6 courses:

GEOG 2100 Economic Geography
GEOG 2300 Physical Geography
GEOG 3500Q Geographic Data Analysis
GEOG 3510 Cartography
GEOG 4500 Introduction to GIS
GEOG 4510 Application Issues in GIS

One methods course choice of:

GEOG 2510 Visualizing Geographic Data
GEOG 3110 Location Analysis
GEOG 3300 Field Methods in Physical Geography
GEOG 3505 Remote Sensing of Marine Geography
GEOG 4520 Special Topics in GIS

All of these courses are currently listed in the University of Connecticut Course Catalog and taught on a regular basis.

3. Student Demand
Describe the student populations(s) for this degree program, including: the size of the population, expected academic preparation, expected enrollment demand, and other pertinent details.

The B.S. degree prepares students to pursue a technologically oriented career as geographic information systems specialists. Students with an undergraduate degree in geography are also prepared to move on to graduate school to pursue M.A. and Ph.D. degrees which enables them to teach at the college level or to secure higher ranking positions in the public and private sectors. It is expected that this will generate 10-15 new majors per year. This number is sufficiently modest so as not to burden the existing courses.

4. Competing Programs
For each relevant campus (Storrs and regional), identify any universities and colleges within a competitive radius that offer this or a similar degree program.

No competing programs.

5. New Resource Summary
Create a table that summarizes the resources available and the additional resources required to offer this new degree program for a three-year period.

Course	Fall 10	Spring 11	Fall 11	Spring 12	Fall 12	Spring 13
GEOG 2100 Economic Geography	Osleeb	Atkinson	Osleeb	Atkinson	Osleeb	Atkinson
GEOG 2300 Physical Geography	Seth	Ballantine	Seth	APIR	Seth	APIR
GEOG 3500Q Geographic Data Analysis					Hanink	
GEOG 3510 Cartography	Ballantine		APIR		APIR	
GEOG 4500 Introduction to GIS	Mrozinski		Mrozinski		Mrozinski	
GEOG 4510 Application Issues in GIS		Mrozinski		Mrozinski		Mrozinski
GEOG 2510 Visualizing Geographic Data						
GEOG 3110 Location Analysis	Cromley				Cromley	
GEOG 3300 Field Methods in Physical Geo		Ballantine				Ballantine
GEOG 3505 Remote Sensing of Marine Geo	Diersen				Diersen	
GEOG 4520 Special Topics in GIS		Cromley				Cromley

Please identify the source(s) of the new resources and the percentages provided by each source. List any current programs or activities that will end or be curtailed to provide resources for the new degree program.

The only resource that is required is the replacement of the APIR position that expires in Spring 2011.

State of Connecticut
Department of Higher Education

Department of Higher Education

NEW PROGRAM LICENSURE SUMMARY SHEET

Applicant Institution Universiity of Connecticut	**Date Circulated & Submitted to DHE**
Name of Proposed Program BS in Geography	**Proposed Initiation Date** August 29, 2011 Fall Semester 2011

Is the proposed program a degree or certificate program?

☒ **Degree:** Title of degree (and abbreviation): Bachelor of Science BS

☐ **Certificate:** Number of credits: 31

CIP Code No. (optional)	**Title of CIP Code** (Optional)

Unit within the institution where the program will be housed: Department of Geography

Location(s) where the proposed program will be offered (e.g., main campus, branch campus, or off campus)**:** Main Campus

Mode of delivery of the proposed program: ☒ On ground ☐ Online ☐ Both

Institutional Accreditation (if applicable)**:** Date of last action:

Name of accrediting body:

Nature of action:

Program accreditation:
Do you anticipate that the proposed program will be accredited by a professional organization or other outside accreditor? ☐ YES ☒ NO If yes, name of accrediting body:

Will this program provide eligibility for a state approved or other licensed profession?
☐ YES ☒ NO If yes, identify:

If you have answered "YES" to either of the above questions please attach documentation that the proposed program meets the standards of the identified accrediting body or licensing agency.

Institutional Contact Person Jeffrey Osleeb	Title Head	Telephone: 860 486-3656 e-mail: jeffrey.osleeb@uconn.edu
Department of Higher Education Project Manager (To be assigned by DHE)		Date application received by DHE:

Board of Governors' Standards

The purpose of this section is for you to provide us with highlights about the proposed program and, in particular, any ways it may differ from your current programs.

Purpose and Objectives: Provide a brief statement of the specific objective of the proposed program. If the program prepares students for a particular occupation(s), please identify. If the students served by this program will differ from your traditional student population, please indicate how.

The B.S. degree will prepare students to pursue a technologically oriented career as geographic information systems specialists. Students with an undergraduate degree in geography are also prepared to move on to graduate school to pursue M.A. and Ph.D. degrees which enables them to teach at the college level or to secure higher ranking positions in the public and private sectors

Administration: Provide the name and qualifications of the full-time administrator or faculty member who will be responsible for the day-to-day operations of the program. If this individual is to be hired indicate the time frame for hiring and proposed qualifications.

Robert Cromley Ph.D. Expert in Geographic Information Science in residence

Faculty: Will courses in this program be taught by current faculty members? ☒ YES ☐ NO
If yes, how many current faculty members will be teaching in this program? 12
Do you anticipate hiring new faculty in the first 3 years of this program? ☐ YES ☒ NO

If yes, how many and what qualifications will you require?
List all current in the chart on the following page.

Resources: Library, learning resources, facilities or special equipment.
Does the institution anticipate that there will be new resources (other than faculty) required for the proposed program? ☐ YES ☒ NO
Please complete the Resource Summary Sheet at the end of this application.

Curriculum: List the courses and credits that comprise the program.

Course Number and Name	Credit Hours	Course Number and Name	Credit Hours
GEOG 2100 Economic Geography	3		
GEOG 2300 Physical Geography	3		
GEOG 2510 Visualizing Geographical Data	3		
GEOG 3110 Location Analysis	3		
GEOG 3300 Principles and Applications of Physical Geography	3		
GEOG 3320W Environmnetal Evaluation and Assessment	3		
GEOG 3330W Environmmnetal Restoration	3		
GEOG 3500Q Geographic Data Analysis	3		
GEOG 3505 Remote Semsing of Marine Geography	3		
GEOG 3510 Cartographic Techniques	3		
GEOG 4500 Introduction to Geographic Information Systems	3		
GEOG 4510 Applications of Geographic Information Systems	3		
GEOG 4520 Selected Topics in Geographic Information Systems	3		
GEOG 4130 Transportation Geography	3		
GEOG 3400 Climate and Weather	3		
GEOG 3310 Fluvial Geomorphology	3		
GEOG 3410 Human Modifications of Natural Environments	3		
GEOG 4300 Advanced Physical Geography	3		

Briefly outline any program requirements:
The B.S. degree requires degree requires 31 credits in 2000-level or above geography courses and 12 credits of related course work in other departments. B.S. majors must complete a basic core of 6 courses: GEOG 2100, 2300, 3500Q, 3510, 4500, 4510, and one methods course (choice of GEOG 2510, 3110, 3300, 3505, or 4520) and 6 additional credits, including at least one "W" course in geography in consultation with their departmental advisor.

CONNECTICUT BOARD OF GOVERNORS FOR HIGHER EDUCATION

Department of Higher Education

RESOURCE SUMMARY

Institution UNiversity of Connecticut

Program Geography

PROJECTED ENROLLMENT	YEAR 1 FY 2012		YEAR 2 FY 2013		YEAR 3 FY 2014	
	FT	PT	FT	PT	FT	PT
Internal Transfers	5	0	4	0	4	0
New Students	2	0	6	0	10	0
TOTAL ENROLLMENT (est.)	7	0	10	0	14	0

NEW REVENUES	YEAR 1 EST. AMOUNT	YEAR 2 EST. AMOUNT	YEAR 3 EST. AMOUNT
Tuition (1)	56000	80000	112000
Extension Fund Fees (2)			
Other Sources (Please attach a description)			
TOTAL NEW REVENUES	56000	80000	112000

NEW EXPENDITURES	YEAR 1		YEAR 2		YEAR 3	
	Number	Est. Cost	Number	Est. Cost	Number	Est. Cost
Faculty (full-time)	0	0	0	0	0	0
Support Staff (full-time)	0	0	0	0	0	0
Library	0	0	0	0	0	0
Equipment (3)	0	0	0	0	0	0
Other (4)	0	0	0	0	0	0
TOTAL NEW EXPENDITURES	0	0	0	0	0	0

List of Faculty

The following faculty members will be teaching in the proposed program (attach additional pages if needed)

Name and title*	Degree	Full time or Part time or Adjunct	Area of Specialization
Carol Atkinson-Palombo	PhD	Full	Urban Analysis
William Berentsen	PhD	Full	Regioinal Development
Thomas Cooke	PhD	Full	Population
Robert Cromley	PhD	Full	Geographic Information Science
Heidi Dierssen	PhD	Full	Remote Sensing
Dean Hanink	PhD	Full	Economic Geography
Richard Mrozinski	MA	Full	Applications of Geographic Information Systems
William Ouimet	PhD	Full	Geomorphology
Jeffrey Osleeb	PhD	Full	Transportation
Anji Seth	PhD	Full	Climate Change
Alexander Vias	PhD	Full	Globalization
Chuanrong Zhang	PhD	Full	Spatial Modeling

* If you anticipate hiring new faculty members for this program you may list "to be hired" under name and title.

NOTE: Institutions are required to have their current institutional program approval process on file with the Department.

Date institutional program approval process was submitted to DHE _____

Please note that this application has been reformatted in Microsoft Word to enable ease of completion. Some fields are limited in the number of words you may enter. Please use this application form for approval of new programs at your institution. You should submit this application to the Department of Higher Education at the same time you circulate the proposed program to all institutions in Connecticut. Please indicate in your circulation memo that all comments are to be sent to both your institution and the Department. Provide your response on any comments to the Department.

Upon the Department's receipt of the application, a staff member will be assigned to review your application and you will be contacted, if additional information is needed. If no additional information is needed, you will be notified when the program will be on the agendas for the Advisory Committee on Accreditation and the Board of Governors.

For more information, contact:

Patricia A. Santoro, Director, Academic Affairs
CT Department of Higher Education
61 Woodland Street, 3rd Floor
Hartford, CT 06105
(860) 947-1819
Fax: (860) 947-1310
Email: psantoro@ctdhe.org

ATTACHMENT 7

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive
Vice President
for Academic Affairs

February 28, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Bachelor of Science Degree in Mathematics-Physics in the College of Liberal Arts & Sciences

RECOMMENDATION:

That the Board of Trustees approve the Bachelor of Science Degree in Mathematics-Physics in the College of Liberal Arts & Sciences.

BACKGROUND:

Historically, mathematics and physics have always been closely connected. The problems and concepts of physics have inspired much of mathematics, and, in turn, mathematics has provided many methods for rigorously analyzing physical problems. In the modern world, an understanding of key concepts from both fields is in demand for entry into graduate programs in physics and applied mathematics, as well as for scientific analysis positions in high-technology industries and at national laboratories.

The goal of the proposed major is two-fold: to provide physics-focused students with a solid background in the mathematics applicable to their field, and to give mathematics-focused students an appreciation of the motivation and intuition for the many mathematical tools that are applied in physics. The major contains two tracks: one for students leaning towards Physics (Track A), and for those leaning towards Mathematics (Track B). The required courses have sufficient overlap, easing entry into either a physics or mathematics graduate field. The total number of credits for either track (49) of the proposed major is comparable to the number required for the Mathematics-Statistics (42) program, and compares favorably with the number of credits required for a double major in Mathematics and Physics (78). Further, no new courses need to be offered for the proposed major.

Among our peer institutions, the University of Iowa, Iowa State University, and the Ohio State University offer this major.

An Equal Opportunity Employer

Gulley Hall
352 Mansfield Road Unit 2086
Storrs, Connecticut 06269-2086

Telephone: (860) 486-4037
Facsimile: (860) 486-6379
e-mail: peter.nicholls@uconn.edu
web: http://provost.uconn.edu

University of Connecticut
Office of the Provost

Notification of Intent of a New Academic Degree Program

Routing Sheet

Name of proposed academic degree program:

Bachelor of Science in Mathematics-Physics

Name of sponsoring department(s):

Mathematics, Physics.

Name of sponsoring School(s) and/or College(s)

College of Liberal Arts and Sciences.

Campuses (Storrs and/or regional(s)) proposed to offer this degree program:

Storrs.

Contact person and contact details:

Luke Rogers,
Mathematics Department,
rogers@math.uconn.edu
203-500-5019 (cell)

George Rawitscher
Physics Department
george.rawitscher@uconn.edu
860-486-4377 (office)

Submittal Information:

_____
Dean

_____
School or College

_____ _____
Signature Date

Recipient Information:

_____ _____
Name of Document Recipient in Provost's Office Date

Notification of Intent of a New Academic Degree Program

1. Academic Objectives

Motivation for creation of the major:

Historically, mathematics and physics have always been closely connected. The problems and concepts of physics have inspired much of mathematics, and in turn, mathematics has provided many methods for rigorously analyzing physical problems. In the modern world, an understanding of key topics from both mathematics and physics is in demand for entry to graduate programs in physics and in applied mathematics, as well as for scientific analysis positions in industry and at national laboratories. Moreover, the need for this expertise is growing, particularly in high-technology industries. The goal of the proposed major is two-fold: to provide physics-focused students with a solid background in the mathematics applicable to their field, and to give mathematics-focused students an appreciation of the motivation and intuition for the many mathematical tools that are applied in physics. The major contains two tracks: one for students leaning towards Physics (Track A), and one for those leaning towards mathematics (Track B). The courses required for the major have sufficient overlap with the courses required for either the physics or mathematics majors that a switch to graduate studies in either field can be achieved without having to take too many additional preparatory undergraduate courses.

Our work in creating the major was inspired in part by requests from students who were otherwise unable to cover this material without taking on the significant additional load (and time to graduation) of a mathematics-physics double major. A joint major of this type is available at many universities, including at least half of those identified as our peer institutions (University of Missouri, University of Iowa, Iowa State and Ohio State).

Academic and Intellectual Merit:

The required courses in the proposed major provide a thorough grounding in the foundations of both mathematics and physics, with particular emphasis on the areas where the disciplines overlap. On the physics side these core concepts come from the study of mechanics, electromagnetism and quantum mechanics, and address the question of how to describe the behavior and properties of objects, across a range of scales from the macroscopic (eg planets, galaxies) down to elementary particles, and involving all mechanisms of physical interaction. From mathematics the relevant concepts are those from differential and integral calculus that allow the rigorous description of physical principles in a form amenable to calculation, including describing motion using differential equations, using vector and scalar fields to describe force and potential energy and the relationship between them from Stokes theorem, and the linear algebra that is foundational for working with state spaces. Complex numbers are useful throughout, including for solving differential equations involving oscillation and for their efficient description of conservative fields.

The elective component of the proposed major is designed to permit students to expand their studies into a number of non-core areas. These will be of greater or lesser importance for a specific student depending on their interests. For example, the concepts of differential geometry have close ties to general relativity, those of probability have particular connection to statistical mechanics, and Lie algebras are vital in the study of elementary particles.

2. Course Status

All courses listed are currently offered. No new courses need to be created for this program.

In addition to the general educations requirements of the University and College, the courses for this major are as follows, broken into two tracks (physics and math emphasis, respectively).

Required courses for Track A (physics emphasis):
Either: 1) MATH 2110Q (or 2130Q or 2143Q) and 2210Q and 2410Q (or 2420Q)
Or: 2) MATH 2141Q and 2142Q and 2143Q and 2144Q
And all of: MATH 3146, 3410, 3510 and PHYS 2300, 2501W, 3101, 3201, 3202, 3300. 3401

Elective Courses for Track A (physics emphasis):
Any 9 credits of 3000 or 4000 level courses in Physics other than those required above. These can be taken from: 3102, 3103, 3104, 3150, 3989, 4093, 4094, 4095, 4096, 4098, 4099, 3402, 4100, 4130, 4140, 4150, 4210, 4300, 4350,4900

Required courses for Track B (mathematics emphasis):
Either: I) MATH 2110Q (or 2130Q or 2143Q) and 2210Q and 2410Q (or 2420Q) and 2710 (or 2141Q and 2142Q) and 3146
Or: 2) MATH 2141Q and 2142Q and 2143Q and 2144Q and 3146
And all of: PHYS 2300, 2501W, 3101, 3201, 3202, 3401

Elective Courses for Track A (mathematics emphasis):
Any 3 credits of 3000 or 4000 level courses in Physics other than those required above, which can be taken from: 3102, 3103, 3104, 3150, 3300, 3989, 4093, 4094, 4095, 4096, 4098, 4099, 3402, 4100, 4130, 4140, 4150, 4210, 4300, 4350, 4900

Any 4 courses from MATH 3150 (or 4110), 3151, 3160, 3210, 3230 (or 4210), 3330 (or 4310), 3370 and 3410.

The total number of Credits for 2000 level courses or above in the in tracks A or B is 49.
In Track A there are 30 credits in Physics and 19 in Mathematics,
In track B there are 21 credits in Physics and 28 in Mathematics.

This number (49) compares nicely with the credits for

Math. and Phys. double Major of	42 + 36 = 78 credits,
Physics, Applied option:	48 to 51 credits
Mathematics :	48 to 51 credits
Mathematics-Statistics	42 credits
Engineering-Physics Major	76 to 84 credits

3. Student Demand

Describe the student populations(s) for this degree program, including: the size of the population, expected academic preparation, expected enrollment demand, and other pertinent details.

We expect that most of the students who enroll in this major will come from the existing pool of mathematics and physics majors. At present there are approximately 240 (non-actuarial) mathematics majors, and approximately 65 physics majors. We believe that in recent years two or three students per year from each department would have enrolled in this major, had it been available. We therefore predict an initial enrollment of perhaps 4 to 6 students, rising to a stable number of perhaps 20 over time. The academic preparation required of students is substantially similar to that for the existing physics and mathematics majors. Students will need to have completed introductory mathematics and physics courses at the 1000-level (or equivalent high-school advanced placement courses or transfer credits) that fulfill the prerequisites for the 2000-level courses required in the math-physics major.

4. Competing Programs

This program would only be available at the Storrs campus.

At present, the only university in Connecticut that lists a joint Mathematics-Physics major program is Yale. The University of Bridgeport offers a Mathematics major with what it refers to as a "physics concentration".
(We checked the catalog offerings at CSCU, SCSU, WCSU, ECSU, Albertus Magnus, Charter Oak State College, Connecticut College, University of Bridgeport, University of Hartford, University of New Haven, Wesleyan, Quinnipiac College, Sacred Heart, Trinity, Yale.)

The requirements of the program at Yale are not very different from the ones proposed here. The professor in charge (Vincent Moncrief) commented that their program attracts the better students, approximately 2 or 3 per year.

5. New Resource Summary

No new teaching resources would be needed to offer this degree program. It relies entirely upon existing courses, and its core components are also core components for the existing majors in Mathematics and in Physics, so would be taught in any case.

The program would require a new administrative resource, namely a new committee to oversee the progress of this major. The following persons have agreed to serve on this committee: Maria Gordina (Math.), Tom Blum (PHYS.), Gerald Dunne (PHYS.), and The Chairs of the

Mathematics and Physics course and curriculum committees (ex officio). The committee will elect a chair from among themselves.

State of Connecticut
Department of Higher Education

Department of Higher Education

NEW PROGRAM LICENSURE SUMMARY SHEET

Applicant Institution University of Connecticut, Storrs	**Date Circulated & Submitted to DHE**
Name of Proposed Program Mathematics-Physics Major (undergraduate)	**Proposed Initiation Date** Jan 1st 2012

Is the proposed program a degree or certificate program?

☒ **Degree:** Title of degree (and abbreviation): Bachelor of Science in Mathematics-Physics (B.S. MATH-PHYS)

☐ **Certificate:** Number of credits: 49

CIP Code No. (optional)	**Title of CIP Code** (Optional)

Unit within the institution where the program will be housed: College of Liberal Arts and Sciences

Location(s) where the proposed program will be offered (e.g., main campus, branch campus, or off campus): Main Campus (Storrs)

Mode of delivery of the proposed program: ☒ On ground ☐ Online ☒ Both

Institutional Accreditation (if applicable): Date of last action:

Name of accrediting body:

Nature of action:

Program accreditation:
Do you anticipate that the proposed program will be accredited by a professional organization or other outside accreditor? ☐ YES ☒ NO If yes, name of accrediting body:

Will this program provide eligibility for a state approved or other licensed profession?
☐ YES ☐ NO If yes, identify:

If you have answered "YES" to either of the above questions please attach documentation that the proposed program meets the standards of the identified accrediting body or licensing agency.

Institutional Contact Person	Title	Telephone: e-mail:
Department of Higher Education Project Manager (To be assigned by DHE)		Date application received by DHE:

Board of Governors' Standards

The purpose of this section is for you to provide us with highlights about the proposed program and, in particular, any ways it may differ from your current programs.

Purpose and Objectives: Provide a brief statement of the specific objective of the proposed program. If the program prepares students for a particular occupation(s), please identify. If the students served by this program will differ from your traditional student population, please indicate how.

Historically, mathematics and physics have always been closely connected. The problems and concepts of physics have inspired much of mathematics, and in turn, mathematics has provided many methods for rigorously analyzing physical problems. In the modern world, an understanding of key topics from both mathematics and physics is in demand for entry to graduate programs in physics and in applied mathematics, as well as for scientific analysis positions in industry and at national laboratories. Moreover, the need for this expertise is growing, particularly in high-technology industries. The goal of the proposed major is two-fold: to provide physics-focused students with a solid background in the mathematics applicable to their field, and to give mathematics-focused students an appreciation of the motivation and intuition for the many mathematical tools that are applied in physics.

Administration: Provide the name and qualifications of the full-time administrator or faculty member who will be responsible for the day-to-day operations of the program. If this individual is to be hired indicate the time frame for hiring and proposed qualifications.

The program would require a new administrative resource, namely a new committee to oversee the progress of this major. The following persons have agreed to serve on this committee:
Maria Gordina (Math.),
Tom Blum (PHYS.),
Gerald Dunne (PHYS.), and
The Chairs of the Mathematics and Physics course and curriculum committees (ex officio).
The committee will elect a chair from among themselves.

Faculty: Will courses in this program be taught by current faculty members? ☐YES ☐NO
If yes, how many current faculty members will be teaching in this program?
The prgram is a specific arrangement of existing courses from the mathematics and physics departments (see table below). These courses are already taken by students in the existing mathematics and physics majors. As such, no change in the staffing requirements for these courses is anticpated. At present, approximately 52 faculty (29 Math, 23 Physics) are involved in teaching these courses.
Do you anticipate hiring new faculty in the first 3 years of this program? ☐ YES ☒ NO

If yes, how many and what qualifications will you require?
No new courses are envisaged for this program. Hence it is not anticpated that any faculty will be hired specifically for this program.
List all current in the chart on the following page.

Resources: Library, learning resources, facilities or special equipment.
Does the institution anticipate that there will be new resources (other than faculty) required for the proposed program? ☐ YES ☒ NO
Please complete the Resource Summary Sheet at the end of this application.

Curriculum: List the courses and credits that comprise the program.

Course Number and Name	Credit Hours	Course Number and Name	Credit Hours
Math 2110	4	Phys 2300	3
Math 2130	4	Phys 2501W	3
Math 2141	4	Phys 3101	3
Math 2142	4	Phys 3102	3
Math 2143	4	Phys 3103	3
Math 2144	4	Phys 3104	3
Math 2210	3	Phys 3150	3
Math 2410	3	Phys 3201	3
Math 2420	3	Phys 3202	3
Math 2710	3	Phys 3300	3
Math 3146	3	Phys 3401	3
Math 3150	3	Phys 3402	3
Math 3151	3	Phys 3989	3
Math 3160	3	Phys 4093	by arrangement
Math 3210	3	Phys 4094	1
Math 3230	3	Phys 4095	by arrangement
Math 3330	3	Phys 4096W	3
Math 3370	3	Phys 4098	3
Math 3410	3	Phys 4099	by arrangement
Math 3510	3	Phys 4100	3
Math 4110	3	Phys 4130	3
Math 4210	3	Phys 4140	3
Math 4310	3	Phys 4150	4
Phys 4350	3	Phys 4210	3
Phys 4900	3	Phys 4300	3

Briefly outline any program requirements:
NOT ALL OF THE ABOVE COURSES ARE REQUIRED OF ALL STUDENTS. tHE REQUIREMENTS ARE AS FOLLOWS.

The program has two tracks. Track A emphasizes physics. Track B emphasizes mathematics.

In addition to the general educations requirements of the University and College, the courses for this major are as follows, broken down by track.

Required courses for Track A (physics emphasis):
Either: 1) MATH 2110Q (or 2130Q or 2143Q) and 2210Q and 2410Q (or 2420Q)
Or: 2) MATH 2141Q and 2142Q and 2143Q and 2144Q
And all of: MATH 3146, 3410, 3510 and PHYS 2300, 2501W, 3101, 3201, 3202, 3300. 3401

Elective Courses for Track A (physics emphasis):
Any 9 credits of 3000 or 4000 level courses in Physics other than those required above. These can be taken from: 3102, 3103, 3104, 3150, 3402, 3989, 4093, 4094, 4095, 4096, 4098, 4099, 4100, 4130, 4140, 4150, 4210, 4300, 4350,4900

Required courses for Track B (mathematics emphasis):
Either: I) MATH 2110Q (or 2130Q or 2143Q) and 2210Q and 2410Q (or 2420Q) and 2710 (or 2141Q and 2142Q) and 3146
Or: 2) MATH 2141Q and 2142Q and 2143Q and 2144Q and 3146
And all of: PHYS 2300, 2501W, 3101, 3201, 3202, 3401

Elective Courses for Track A (mathematics emphasis):
Any 3 credits of 3000 or 4000 level courses in Physics other than those required above, which can be taken from: 3102, 3103, 3104, 3150, 3300, 3402, 3989, 4093, 4094, 4095, 4096, 4098, 4099, 4100, 4130, 4140, 4150, 4210, 4300, 4350, 4900
Any 4 courses from MATH 3150 (or 4110), 3151, 3160, 3210, 3230 (or 4210), 3330 (or 4310), 3370 and 3410.

The total number of Credits for 2000 level courses or above in the in tracks A or B is 49.
In Track A there are 30 credits in Physics and 19 in Mathematics,
In track B there are 21 credits in Physics and 28 in Mathematics.

CONNECTICUT BOARD OF GOVERNORS FOR HIGHER EDUCATION

Department of Higher Education

RESOURCE SUMMARY

Institution _____

Program _____

PROJECTED ENROLLMENT	YEAR 1 FY 20____		YEAR 2 FY 20____		YEAR 3 FY 20____	
	FT	PT	FT	PT	FT	PT
Internal Transfers	2	0	3	0	3	0
New Students	0	0	2	0	4	0
TOTAL ENROLLMENT (est.)	2	0	5	0	7	0

NEW REVENUES	YEAR 1 EST. AMOUNT	YEAR 2 EST. AMOUNT	YEAR 3 EST. AMOUNT
Tuition (1)	____	____	____
Extension Fund Fees (2)	____	____	____
Other Sources (Please attach a description)	____	____	____
TOTAL NEW REVENUES	____	____	____

NEW EXPENDITURES	YEAR 1		YEAR 2		YEAR 3	
	Number	Est. Cost	Number	Est. Cost	Number	Est. Cost
Faculty (full-time)	0	0	0	0	0	0
Support Staff (full-time)	0	0	0	0	0	0
Library	0	0	0	0	0	0
Equipment (3)	0	0	0	0	0	0
Other (4)	0	0	0	0	0	0
TOTAL NEW EXPENDITURES	0	0	0	0	0	0

List of Faculty

The following faculty members will be teaching in the proposed program (attach additional pages if needed)

Name and title*	Degree	Full time or Part time or Adjunct	Area of Specialization
Abikoff, William, Professor	PhD	Full	Complex analysis, Geometry
Bass, Richard, Professor	PhD	Full	Probability, Analysis
Beyer, Arend, Assistant Professor	PhD	Full	Algebraic Geometry
Ben Ari, Iddo, Assistant Professor	PhD	Full	Probability
Choi, Yung S., Professor	PhD	Full	Differential Equations, Numerical Analysis
Conrad, Keith, Associate Professor	PhD	Full	Algebra, Number Theory
Gine-Masdeu, Evarist, Professor	PhD	Full	Probability
Glaz, Sarah,Professor	PhD	Full	Algebra
Gordina, Maria, Associate Professor	PhD	Full	Analysis, Geometry, Probability
Gross, David, Lecturer	PhD	Full	Algebra
Gui, Changfeng, Professor	PhD	Full	Partial Differential Equations
Haas, Andrew H., Professor	PhD	Full	Complex analysis, Geometry
Hering, Milena, Assistant Professor	PhD	Full	Algebraic Geometry
Lee, Kyu-Hwan, Assistant Professor	PhD	Full	Representation Theory
Leibowitz, Gerald, Associate Professor	PhD	Full	Operator Theory

* If you anticipate hiring new faculty members for this program you may list "to be hired" under name and title.

Math Faculty members that will be teaching in the proposed program (continued)

Name and title	Degree	Full time, Part time or Adjunct	Area of Specialization
Leykekhman, Dmitriy; Assist. Prof.	Ph. D.	Full Time	Numerical Analysis
Lozano-Robledo, Alvaro; Assist. Prof.	Ph. D.	Full Time	Number Theory
Madych, Wolodymyr R.; Prof.	Ph. D.	Full Time	Harmonic Analysis
McKenna, Patrick J.; Prof.	Ph. D.	Full Time	Nonlinear Partial Differential Equations
Mullen, Erin T.; Assist. Prof.	Ph. D.	Full Time	Harmonic Analysis
Neumann, Michael; Prof.	Ph. D.	Full Time	Linear Algebra and Applications
Olshevsky, Vadim; Prof.	Ph. D.	Full Time	Numerical Linear Algebra
Roby, Thomas; Assoc. Prof.	Ph. D.	Full Time	Algebra
Rogers, Luke; Assist. Prof.	Ph. D.	Full Time	Harmonic and Functional Analysis
Schiffler, Ralf; Assist. Prof.	Ph. D.	Full Time	Representation Theory
Solomon, David R.; Assoc. Prof.	Ph. D.	Full Time	Computability theory
Teplyaev, Alexander; Assoc. Prof.	Ph. D.	Full Time	Analysis, Probability, Mathematical physics
Tollefson, Jeffrey L.; Prof.	Ph. D.	Full Time	Topology
Yan, Xiaodong; Assoc. Prof.	Ph. D.	Full Time	Partial Differential Equations

Physics Faculty members that will be teaching in the proposed program

Name and title	Degree	Full time, Part time or Adjunct	Area of Specialization
Blum, Thomas; Assoc. Prof.	Ph. D.	Full Time	Elementary Particle Physics
Cormier, Vernon; Prof.	Ph. D.	Full Time	Geophysics
Cote, Robin; Prof.	Ph. D.	Full Time	Atomic and Molecular Physics
Dobrynin, Andrey; Prof.	Ph. D.	Full Time	Polymer Physics
Dunne, Gerald; Prof.	Ph. D.	Full Time	Elementary Particle Physics
Dutta, Niloy; Prof.	Ph. D.	Full Time	Photonics
Eyler, Edward, E. ; Prof.	Ph. D.	Full Time	Atomic and Molecular Physics
Fernando, Gayanath; Prof.	Ph. D.	Full Time	Condensed Matter Physics
Gibson, George; Prof.	Ph. D.	Full Time	Atomic and Molecular Physics
Gould, Phillip L.; Prof.	Ph. D.	Full Time	Atomic and Molecular Physics
Jain, Menka; Assist. Prof.	Ph. D.	Full Time	Condensed Matter Physics
Javanainen, Juha M.; Prof.	Ph. D.	Full Time	Atomic and Molecular Physics
Jones, Richard; Assoc. Prof.	Ph. D.	Full Time	Nuclear Physics
Joo, Kyungseon; Assoc. Prof.	Ph. D.	Full Time	Nuclear Physics
Kharchenko, Vasili; Prof.	Ph. D.	Full Time	Atomic and Molecular Physics

Physics Faculty members that will be teaching in the proposed program

Name and title	Degree	Full time, Part time or Adjunct	Area of Specialization
Kovner, Alex; Prof.	Ph. D.	Full Time	Elementary Particle Physics
Mallett, Ronald; Prof.	Ph. D.	Full Time	Gravitational Physics
Mannheim, Philip D.; Prof.	Ph. D.	Full Time	Cosmological Physics
Rozman, Michael; Adjunct Prof.	Ph. D.	Part Time	Computational Physics
Schweitzer, Peter J.; Assist. Prof.	Ph. D.	Full Time	Nuclear Physics
Sinkovic, Boris; Assoc. Prof.	Ph. D.	Full Time	Condensed Matter Physics
Wells, Barry; Prof.	Ph. D.	Full Time	Condensed Matter Physics
Yelin, Susanne; Assoc. Prof.	Ph. D.	Part Time	Atomic and Molecular Physics

NOTE: Institutions are required to have their current institutional program approval process on file with the Department.

Date institutional program approval process was submitted to DHE _____

Please note that this application has been reformatted in Microsoft Word to enable ease of completion. Some fields are limited in the number of words you may enter. Please use this application form for approval of new programs at your institution. You should submit this application to the Department of Higher Education at the same time you circulate the proposed program to all institutions in Connecticut. Please indicate in your circulation memo that all comments are to be sent to both your institution and the Department. Provide your response on any comments to the Department.

Upon the Department's receipt of the application, a staff member will be assigned to review your application and you will be contacted, if additional information is needed. If no additional information is needed, you will be notified when the program will be on the agendas for the Advisory Committee on Accreditation and the Board of Governors.

For more information, contact:

Patricia A. Santoro, Director, Academic Affairs
CT Department of Higher Education
61 Woodland Street, 3rd Floor
Hartford, CT 06105
(860) 947-1819
Fax: (860) 947-1310
Email: psantoro@ctdhe.org

ATTACHMENT 8

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive Vice President for Academic Affairs

February 28, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Modification of the Master of Arts Degree in Literatures, Cultures and Languages to include Classics and Ancient Mediterranean Studies as an Area of Concentration in the College of Liberal Arts & Sciences

RECOMMENDATION:

That the Board of Trustees approve the modification of the Master of Arts Degree in Literatures, Cultures and Languages to include Classics and Ancient Mediterranean Studies as an Area of Concentration in the College of Liberal Arts & Sciences.

BACKGROUND:

In April, 2011, the Board of Trustees approved the merger of five Graduate Fields of Study into one, Literatures, Cultures and Languages. The single Field of Study currently offers five Areas of Concentration, and the department wishes to offer a sixth, Classics and Ancient Mediterranean Studies.

This modification will fulfill two needs: (1) provide an academic pipeline for Latin teachers across high schools in the State of Connecticut. Many Latin high school teachers are retiring and high schools are looking to hire qualified teachers. However, no university in Connecticut offers an M.A. program that trains their graduates to teach high school Latin. (2) Address the needs of students who wish to obtain an M.A. degree in Classics, enabling them to pursue career paths as museum curators or publishing editors, as well seeking advanced degrees at the Ph.D. level or Law School.

The program will take advantage of the existing resources in the Department of Literatures, Cultures and Languages. Costs should remain equivalent, and no additional resources are required

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AN APPLICATION FOR
A PROGRAM MODIFICATION TO A (M.A.) DEGREE PROGRAM IN
Literatures, Cultures and Languages
(formerly Modern and Classical Languages)

The Graduate School
The University of Connecticut

Submitted to:

The Board of Governors for Higher Education

State of Connecticut

January 17, 2012

1. PROVIDE A DESCRIPTION AND RATIONALE FOR THE PROGRAM MODIFICATION.

The Department of Literatures, Cultures and Languages will offer only one single Field of Study with the name – "Literatures, Cultures and Languages" (LCL) – at the MA and Ph.D. levels, beginning Fall 2012 and approved at all levels including the Board of Trustees in April 2011, and DEH in August 2011. This single field of study reflects a merger of the current five fields of study – Comparative Literature, French, German, Italian and Spanish – which will be converted to 5 areas of concentration starting Fall 2012. Students will now receive a M.A. or Ph. D with an area of concentration in French and Francophone Studies, Italian Literary and Cultural Studies, German Studies, Spanish Studies and Comparative Literary and Cultural Studies.We request to add the area of concentration to reflect a sixth existing division of the department, Classics and Ancient Mediterranean Studies.

The present proposal is to integrate a concentration in Classics and Ancient Mediterranean Studies (CAMS) into the already approved curriculum of the department. CAMS has long existed as a section within the department, offering an undergraduate major and supporting graduate students in a variety of programs (notably Medieval Studies, Judaic Studies, and Comparative Literary and Cultural Studies). Dean Teitelbaum of CLAS fully recognizes that CAMS has supported financially as Teaching Assistants two full time TA's for CAMS. In addition to providing graduate coursework and teaching opportunities for students with an interest in the ancient Mediterranean world both inside and outside the new LCL MA, there is a pressing need in Connecticut for a program in which public school Latin teachers may do coursework towards a terminal MA in a classical field in order to achieve professional advancement.

As with the currently existing 5 fields of study which are to become 5 areas of concentration (French and Francophone Studies, German Studies, Italian Cultural and Literary Studies, Spanish Studies, and Comparative Literary and Cultural Studies) within the field of study (i.e., Literatures, Cultures and Languages), graduate students in LCL may opt to pursue CAMS as a primary area of concentration. It is anticipated that students would be most likely to pursue CAMS as a primary area of concentration at the MA level, while they might opt to pursue CAMS as a secondary area at the Ph.D. level. This program is entirely self-sufficient because of the large enrollment in three courses.

Reasons for the proposed changes:

1. To officially codify changes that we have been implementing in the department in the past few years. Indeed, this transformation towards integration and interdisciplinarity has existed for some time now. For example, all of the department's doctoral students no matter what their particular language field are required to take two core courses (Literary Theory and Language Pedagogy). There are also interdisciplinary seminars that are taught in English (but with the possibility for students to do readings and write papers in other national languages) to students across the department. One of the main aspects of our proposal is to generalize the offering of such interdisciplinary seminars to be team taught by faculty members.

   In recent years, graduate seminars taught in English but utilizing source texts in ancient

languages have been taught by CAMS faculty and taken by students from a variety of programs, including (but not limited to) CLCS, Medieval Studies, Judaic Studies, English, and French. Graduate students in CLCS, Medieval Studies and Judaic Studies have pursued minor and major fields of concentration in areas supervised and taught by CAMS faculty (e.g. Hellenistic Judaism, Rabbinic Judaism, Medieval Latin). There is a need to recognize and regularize the graduate engagement of CAMS faculty on a programmatic level by establishing CAMS as an area of concentration within the greater confines of LCL.

2. Faculty resources that already exist in the department and the paradigmatic turn towards interdisciplinarity that has been in evidence at least for the past decade in our field. The change will enhance the already strong involvement in graduate education of the four existing faculty members in the section of Classics and Mediterranean Studies, and enable greater support for graduate students with an interest in the ancient Mediterranean world across many programs at the university, while opening up opportunities for those who wish to pursue an ancient program of study exclusively.

3. To provide support, currently sorely lacking, for teachers of Latin within the state of Connecticut. The state of Connecticut currently offers no stand-alone terminal M.A. program in the area of Classics or Latin. The TCPCG program at the University of Connecticut is limited to current UConn undergraduates and provides only limited coursework in Latin, while the Classics program at Yale offers an MA only as a stepping stone to the Ph.D. and does not permit candidates to apply for a two-year MA. Teachers of Latin are frequently required to obtain an MA degree in an area of concentration that does not allow them flexibility to take the needed courses or enroll as non-degree students in MA-level coursework in order to obtain and preserve their certification. The present reorganization of the program in Literatures, Cultures and Languages offers an opportunity to meet that need.

   Enrollment in high school Latin courses across the state of Connecticut has increased significantly in recent years.[1] To compound the need, a significant proportion of Connecticut's current Latin teachers are nearing retirement age and/or the mandatory limit on years of service.[2] Thus the need for graduate-level training in Latin will only increase in the near future.

---

[1]According to the MLA Language Enrollment Database (http://www.mla.org/flsurvey_search), Latin enrollments nationwide increased 9% between 2002 and 2009 (the most recent year surveyed), and the increase in Latin enrollment in Connecticut was even greater, 12%.

[2]While the Connecticut State Department of Education website unfortunately does not provide statistics on current or projected teacher shortages, the Nationwide Listing of Teacher Shortage Areas (http://www2.ed.gov/about/offices/list/ope/pol/tsa.pdf) includes Latin under World Languages, listed as an area of shortage for Connecticut in every year since 2004. An article in the Pittsburgh Tribune-Review illustrates a similar problem from that particular region (http://www.pittsburghlive.com/x/pittsburghtrib/news/education/s_679264.html). Anecdotally, we can attest that a significant percentage, if not the majority, of the Latin teachers who are our colleagues in the Classical Association of Connecticut and the Classical Association of New England

2. ORGANIZATION

Students within the greater LCL program may elect CAMS as a primary area of concentration at the MA or as a secondary area at the PhD level. The requirements will be the same as those stipulated for the LCL program as a whole.

WHAT IS THE RELATIONSHIP OF THE MODIFICATION TO THE EXISTING APPROVED PROGRAM?

The field of study comprises all the fields of study mentioned, and also allows room for growth in related areas, specifically in Classics.

3. PROVIDE AN HISTORICAL CONTEXT FOR THE PROPOSED CHANGE.

1. To officially codify changes that we have been implementing in the department in the past few years. Indeed, this transformation towards integration and interdisciplinary study has existed for some time now as reflected, for example, by two core courses. Many departments across the nation are moving to consolidating their academic departments focusing on languages and cultures for statistical reasons,[as mentioned above Notre Dame University, University of Massachusetts and University of Toronto, CA.]. Hence, our current proposal will capitalize on resources that already exist in the department as a result of the renewal of the faculty and of the paradigmatic turn towards interdisciplinary study that has been in evidence at least for the past decade in our field.

4. ENROLLMENT INFORMATION

a. Provide the enrollments in the current program's specialized courses for the past two years

In this section are included the total number of students from the listed areas who have enrolled in graduate courses relating to the study of the ancient world, taught by CAMS faculty and listed variously under CAMS, JUDS and CLCS. While drawn from a variety of programs, the numbers illustrate the already existing demand for graduate-level coursework in CAMS even in the absence of a formal CAMS MA track.

2009-10	M.A.	Ph.D.
Comparative Literary and Cultural Studies	2	1
Judaic Studies	8	--
Medieval Studies	1	5
Totals	11	6

---

are nearing retirement. Marilyn Archibald, who teaches Latin at E.O. Smith High School, will be retiring next year and has not yet found a replacement.

2010-11	M.A.	Ph.D.
Comparative Literary and Cultural Studies	3	2
Judaic Studies	5	--
Medieval Studies	2	4
Totals	10	6

b. Provide estimates of the enrollments in the proposed modified program for the next two years

These estimates are based on projections of the continuing career of students with a currently active area of study relating to CAMS. The ability to fund additional students admitted into a future CAMS track would be calculated in terms of the total TA resources available within the LCL department, taking account of needs across the department. CAMS courses currently support between four and seven half teaching assistantships each semester.

Field LCL with areas of concentration in	M.A.	Ph.D.
Comparative Literary and Cultural Studies	3	2
Medieval Studies	2	4
Judaic Studies	4	--
Total	9	6

5. RESOURCES

a. Provide a statement of the costs of the existing program and the projected costs for the modified program, including any new costs.

The program will take advantage of the existing resources in the Department of Modern and Classical Languages. Costs should remain equivalent. No additional resources are required and hence no "cost-coverage plan" will be presented in this proposal.

b. Provide a list of all faculty who will be associated with this modified program. Include for each person: (i) degrees held and in what subject(s); (ii) current and prospective teaching assignments; (iii) their full- or part-time status; and (iv) whether they have an exclusive appointment with the off-campus program.

As CAMS will be one track within a unified department, the full roster of MCL faculty is included below. Students with an interest in the ancient Mediterranean world have traditionally worked not only with the four CAMS faculty (listed below in the CAMS section), but with a range of MCL faculty members with research interests in comparative literature, Judaic studies, and medieval studies.

All of the professors listed below are tenured or tenure-track full time members of the current MCL Faculty. Only Professor Aschkenasy is a Professor in Residence at UCONN-Stamford, but every fall offers a graduate course in Storrs and is a member of the graduate faculty.

Department Head: Associate Professor Rosa Helena Chinchilla
Professors: Aschkenasy, Berthelot, Celestin, DalMolin, Guénoun, Gomes, Masciandaro, Miller, and von Hammerstein.
Associate Professors: Bouchard, Caner, Finger, Irizarry, Johnson, Loss, Pardo, Seda, Travis, Urios-Aparisi, Wagner and Weidauer
Assistant Professors: Balma, Casamayor-Cisneros, Diaz-Marcos, Nanclares, Saugera, Terni, and Wogenstein

Faculty subdivided in fields

Comparative Literature:Professors*: Aschkenasy, Berthelot, Celestin, DalMolin, Gomes, Miller, and von Hammerstein.* **Associate Professors***: Bouchard, Caner, Finger, Johnson, Loss, Pardo, Travis, Urios-Aparisi, Wagner and Weidauer.* ***Assistant Professors:*** *Balma, Casamayor-Cisneros, Diaz-Marcos, Nanclares, Saugera, Terni, and Wogenstein.* **Affiliated faculty in English and Philosphy. English – *Professors*** Benson, Breen, Higonnet, Hogan, Peterson***; Associate Professors:*** Coundouriotis, , Phillips, Sanchez, Winter ***Assistant Professors*** Bystrom **Philosophy- Professors** *Kupperman*

French Literary and Cultural Studies: Berthelot, Celestin, DalMolin, Guénoun, Saugera and Terni.

GermanLiterary and Cultural Studies: Finger, von Hammerstein, Wagner,Weidauer and Wogenstein

Italian Literary and Cultural Studies: Balma, Bouchard, and Masciandaro,

Spanish Literary and Cultural Studies: Casamayor-Cisneros, Chinchilla, Diaz-Marcos, Gomes, Irizarry, Nanclares, Pardo, Seda, and Urios-Aparisi,

***Applied Linguistics*:** Balma, Saugera, Urios-Aparisi and Wagner

Classical Ancient and Mediterranean Studies: Caner, Johnson, Miller, and Travis.

***Medieval Studies*:** Berthelot, Johnson

Judaic Studies: Aschkenasy, Johnson, Miller, and Wogenstein

Latin American Studies: Casamayor-Cisneros, Chinchilla, Gomes, Irizarry, Pardo, and Seda.

Literature and the Arts: Casamayor-Cisneros, Finger, Terni, Travis and Urios-Aparisi.

Film and Literary Studies: Balma, Bouchard, Dalmolin, Irizarry, Loss, Nanclares, Pardo, Seda, Terni, Travis and Urios-Aparisi.

c. Describe the library facilities available to the students enrolled in this modified program.

Homer Babbidge Library, with total holdings of over 2,000,000 volumes and subscriptions to nearly 17,000 journals, has a solid collection of resources for the study of the ancient

Mediterranean world. This includes 97 print and electronic journals directly relating to the study of various aspects of the ancient Mediterranean, drawing upon such disciplines as classics, religious studies, philosophy, art history, and archaeology, and several major databases relating particularly to classics and art history, such as the Thesaurus Linguae Graecae and ARTStor. Especially important for the study of the ancient world and Greek and Latin texts is the Cambridge Collection Online, providing support for the study of ancient history and literature. Access to specialized resources (books and journals) not available in the Babbidge collection is readily available through the excellent inter-library loan service.

Library and archival staff provide research assistance and support to students and faculty. Two liaison librarians (for Classics, Judaic Studies and Art History) offer research and instruction assistance, and curators at the Dodd Research Center are available to provide research consultations, instruction, orientation sessions, and tours.

d. Indicate what provisions have been made for student access to adequate instructional facilities, equipment, academic advising, and other necessary instructional support services.

These will remain in place as they currently exist. A Ph.D. Committee will be established at the departmental level to coordinate the integrated seminars and fellowships.

6. PROFESSIONAL ACCREDITATION (IF APPLICABLE)

[We do not believe that there will be any issues of professional accreditation]

ATTACHMENT 9

University of Connecticut
Board of Trustees

February 28, 2012

TO: Members of the Board of Trustees

FROM: Lawrence D. McHugh, Chairman

RE: Approval of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article V – Committees of the Board of Trustees.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. Notice was provided at the January 25 Board of Trustees Meeting.

The purpose of the proposed Amendment is to provide the Board of the Trustees the flexibility to appoint to Board committees individuals who are not themselves Trustees. It also will allow appointment of non-voting members of committees.

The Board of Directors of the Health Center already has this flexibility. The Board of Directors is itself a committee of the Board of Trustees. The By-Laws authorize the Board of Directors to appoint to its subcommittees individuals who are not members of the Board of Directors and to appoint non-voting members. See By Laws Article V. E. 4. a. The proposed amendment would give the Board of Trustees the same flexibility. The amendment would enable individuals who are not Trustees, including members of the Board of Directors, to serve on the committees of the Board of Trustees.

For the information of the Board, attached is the current language of Article V, with indications of the proposed amendments as a new "F." at the end of Article V.

To make clear that these changes do not affect the Board of Directors, which is itself a committee of the Board of Trustees, a small addition has been made to the language presented to Board of Trustees at the January meeting. The added language is part of the caption of then new "F." and is highlighted below.

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Article V – Committees of the Board of Trustees

(New language underlined)

A. The Board shall act as a committee of the whole on all matters requiring action with the exception of those listed in items B, C.1., D, and E below.

B. Executive Committee

1. *Membership*
   The Executive Committee shall consist of the Board Chair and the chairs of all the Board's standing committees. The President shall serve as an *ex officio* nonvoting member. The Chair of the Board shall chair this committee. A simple majority shall constitute a quorum. It shall meet at such times as deemed necessary by the Chair.

2. The Executive Committee shall exercise in emergencies all the authority of the Board of Trustees, consistent with the policies of the Board or with any action taken earlier by the Board. For purposes of executive committee action, a matter shall be deemed an emergency circumstance when delaying action until the full Board's next meeting could result in significant risk, expense, or disruption to the University or its operations.

3. The committee shall not preempt the Board except in those emergency circumstances that do not permit the handling of a matter in the normally prescribed manner by the Board, and shall report to the full Board any action taken at the next regular meeting of the Board.

C. The Board may appoint from time to time such special or standing committees as may be necessary to make studies or preliminary investigations necessary for determining Board action. Special committees are automatically discharged when their reports are acted upon by the Board or when the Board determines no action is warranted.

1. The standing committee charged with review of matters related to buildings, grounds, and environment may take action on behalf of the Board under emergency circumstances when delaying action until the full Board's next meeting could result in significant risk, expense, or disruption to the University or its operations. Such actions shall be reported to the full Board at its next regular meeting.

D. The committee of the Board of Trustees having cognizance over financial matters is authorized to buy and sell securities and the Chair of this committee is authorized to instruct the Vice President and Chief Financial Officer or the University Controller to execute the necessary assignment.

E. A standing committee shall be established with jurisdiction over the University of Connecticut Health Center, the Schools of Medicine and Dental Medicine and the John Dempsey Hospital, and its core administrative units.

[*Remainder of Section E. omitted for brevity*]

F. Membership of Committees other than the Board of Directors of the University of Connecticut Health Center

1. The Board of Trustees may appoint individuals who are not Trustees to be voting or non-voting members of its committees provided that a majority of the voting membership of all committees shall be comprised of Board of Trustees members. The Board may specify a term for any such appointment.

2. As to any Board action which is permitted to be taken by a committee of the Board on behalf of the Board, the presence of a quorum for such matter and the vote on any such matter will be determined on the basis of only the Trustee members of the committee.

ATTACHMENT 10

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive Vice President for Academic Affairs

February 28, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Five Year Review of Academic Centers and Institutes

The Laws, By-Laws and Rules of the University (Article XIII) require that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission." In 2005, a Committee was charged to develop criteria for the establishment and review of academic centers and institutes. Based on the guidelines developed by this Committee, all academic centers and institutes at the University of Connecticut are reviewed over a period not to exceed five years.

BACKGROUND:

Effective July 1, 2012, the College of Liberal Arts & Sciences will merge the Center for Latin American and Caribbean Studies and the Institute of Puerto Rican and Latino Studies into a single institute, El Instituto: Institute of Latina/o, Caribbean, Latin American Studies.

The merger of these units will offer significant opportunities for increased administrative and financial efficiency and growth. As a united entity, these two units are well positioned to engage new academic initiatives that integrate older area and ethnic studies models with a transnational, hemispheric orientation of the Americas.

With its interdisciplinary faculty research initiatives, undergraduate and graduate curriculum, collaborative programming, and highly regarded regional, national, and international reputation, the merged Instituto is a potent academic entity.

The Instituto will be a magnet for external funding, while helping to recruit and develop first-rate graduate students, boosting overall student diversity, attracting underrepresented minority faculty, developing further interdisciplinary research and teaching, and boosting UConn's national and international recognition.

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ATTACHMENT 11

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Arjona and Monteith – Monteith Hall Renovations
(Planning: $22,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of $22,500,000 for the planning, design and construction of renovations to Monteith Hall.

BACKGROUND:

Henry Ruthven Monteith Hall, constructed in 1959, provides faculty office and classroom space to various academic departments. The four-story 68,600 square foot building will be renovated to provide space to accommodate various academic needs. The majority of the academic units currently housed in the building will be relocated to the Arjona and Monteith replacement buildings in summer 2012 allowing the university to renovate the building.

Work will include installation of a new exterior wall including energy efficient windows, upgraded HVAC systems and interior renovations.

The Planning Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: ARJONA AND MONTEITH - MONTEITH HALL RENOVATIONS

BUDGETED EXPENDITURES		**PROPOSED PLANNING 2/28/2012**
CONSTRUCTION	$	16,000,000
DESIGN SERVICES		1,450,000
TELECOMMUNICATIONS		-
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		-
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		-
MISCELLANEOUS		-
OTHER SOFT COSTS*		3,000,000
SUBTOTAL	$	20,450,000
PROJECT CONTINGENCY		2,050,000
TOTAL BUDGETED EXPENDITURES	$	22,500,000
SOURCE(S) OF FUNDING		
UCONN 2000 PHASE III	$	22,500,000
TOTAL BUDGETED FUNDING	$	22,500,000

*Does not include Furniture, Fixtures and Equipment.

BOT 2.28.12
901719

ARJONA AND MONTEITH –
MONTEITH HALL RENOVATIONS
Project Budget (PLANNING)
2/28/2012

ATTACHMENT 12

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Ecology and Evolutionary Biology (EEB) Greenhouse Renovations (Planning: $1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget of $1,000,000 for the Ecology and Evolutionary Biology (EEB) Greenhouse.

BACKGROUND:

The EEB Greenhouses adjacent to the Torrey Life Sciences Building were constructed in the mid 1960's to provide facilities for research and for growth of plant materials used in biology courses ranging from introductory biology to graduate level. For at least two decades, general maintenance has been deferred and only emergency repairs made in anticipation of a new facility. As a consequence an already antiquated facility has fallen into a serious state of disrepair. New or replacement greenhouses are not planned. The University is proposing a renovation that will provide a safe, compliant working environment for faculty, staff, students and visitors; also, to provide a secure, consistent and optimum growth environment for plants while reducing plant loss.

The highly diverse and unique plant materials housed in the EEB greenhouses constitute a living library of more than 3,000 species. These plants support teaching in more than 30 courses each year, including more than 1,800 students who visit the greenhouses for hands-on experiences of plant diversity. The plant diversity contained in the EEB Greenhouse presents the most diverse library of plant materials associated with a public institution in the Northeast.

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The proposed scope of work is intended to address the following operational and maintenance issues. The planning budget will be adjusted as the project is developed and funds identified.

1) Replacement of glass glazing on the exterior of the glass houses.
2) Shading and fogging
3) Electrical components
4) Backup heating system
5) Upgrade headhouse
6) Replace asbestos benches
7) Install supplemental lighting system

This Planning Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

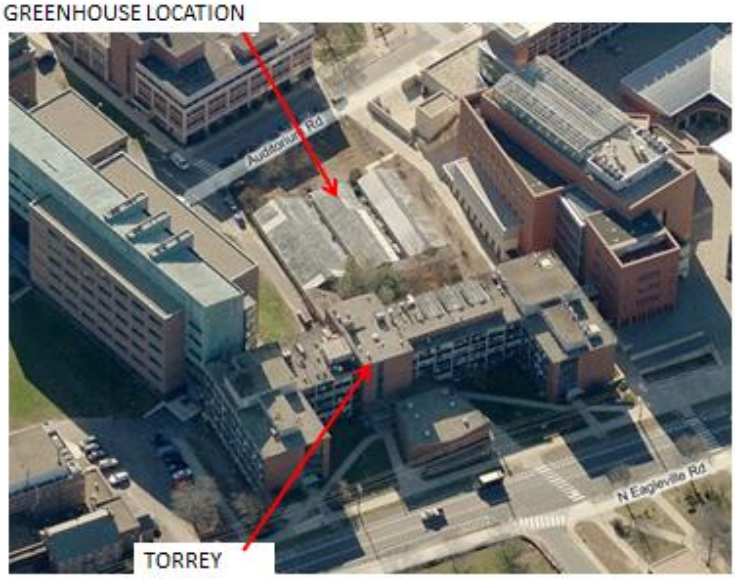
PROJECT NAME: ECOLOGY & EVOLUTIONARY BIOLOGY GREENHOUSE RENOVATIONS

BUDGETED EXPENDITURES		**PROPOSED PLANNING 2/28/2012**
CONSTRUCTION	$	750,000
DESIGN SERVICES		100,000
TELECOMMUNICATIONS		-
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		35,000
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		6,000
MISCELLANEOUS		9,000
OTHER SOFT COSTS		-
SUBTOTAL	$	900,000
PROJECT CONTINGENCY		100,000
TOTAL BUDGETED EXPENDITURES	$	1,000,000
SOURCE(S) OF FUNDING		
DEPARTMENTAL FUNDS	$	1,000,000
TOTAL BUDGETED FUNDING	$	1,000,000

BOT 2.28.12
201583

ECOLOGY AND EVOLUTIONARY BIOLOGY (EEB)
GREENHOUSE RENOVATIONS
Project Budget (PLANNING)
2/28/2012

GREENHOUSE LOCATION



RENOVATIONS

REPLACE GLAZING

MECHANICAL SYSTEMS REPAIR

ATTACHMENT 13

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Memorial Stadium Demolition
(Planning: $800,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of $800,000 for the demolition of Memorial Stadium and stabilization of the site's steep slope.

BACKGROUND:

The Division of Athletics is preparing to develop a new Basketball Development Center on the site of the former Memorial Stadium adjacent to Gampel Pavilion. The proposed facility will provide dedicated space for the Men's and Women's Basketball programs including academic space, strength and training, audio visual and locker rooms.

The architectural team is preparing demolition and site stabilization construction drawings to allow the university to remove the stadium and related stands in anticipation of building construction. Asbestos abatement will take place prior to demolition activities in early spring. Funding for the project continues to be provided from private donations.

The Planning Budget is attached for your consideration and approval.

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Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

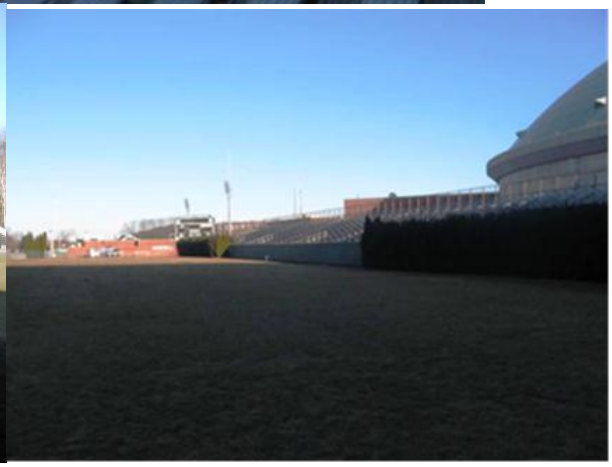
TYPE BUDGET: PLANNING

PROJECT NAME: MEMORIAL STADIUM DEMOLITION

BUDGETED EXPENDITURES	PROPOSED PLANNING 2/28/2012
CONSTRUCTION	$ 450,000
DESIGN SERVICES	-
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	13,000
ART	-
RELOCATION	-
ENVIRONMENTAL	100,000
INSURANCE AND LEGAL	-
MISCELLANEOUS	125,000
OTHER SOFT COSTS	25,000
SUBTOTAL	$ 713,000
PROJECT CONTINGENCY	87,000
TOTAL BUDGETED EXPENDITURES	$ 800,000
SOURCE(S) OF FUNDING	
GIFTS	$ 800,000
TOTAL BUDGETED FUNDING	$ 800,000

BOT 2.28.12
201586

MEMORIAL STADIUM DEMOLITION
Project Budget (PLANNING)
2/28/2012



ATTACHMENT 14

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Rodent Barrier Facility
(Planning: $2,400,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget of $2,400,000 for the Rodent Barrier Facility.

BACKGROUND:

The University's vivarium is located in a recently constructed research laboratory building. Approximately 3,200 square feet of the area dedicated to the vivarium was not fitted out during the construction and is available for immediate renovation. The University proposes to renovate this space for the construction of the rodent barrier facility. The renovated space would directly benefit 17 labs and 4 collaborating labs working on numerous projects involving stem cell, pharmacotoxicity, immunology, behavioral, musculoskeletal and neurobiology research. The University continues to experience an increase in the collaborative research efforts that will be the beneficiary of this facility. These labs had over $27 million in total committed grant amounts in FY 2011.

Key features of the rodent barrier facility will include:

- Shower in access to minimize physical/passive/ transfer of pathogens into the facility
- A facility to re-derive embryos from animals infected with rodent pathogens and thus produce offspring with a high health status devoid of suspect pathogens
- Housing via individually ventilated cages that provides an optimal environment to maintain ultraclean status of critically valuable animals
- Protect a core population of ultraclean animals as a back-up to investigator's active research colonies

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- Allow faster access to desired rodent models infected with rodent pathogens by re-deriving the rodents at UConn rather than at the UCHC or elsewhere
- Allow resident populations of unique rodent models with known murine pathogens to be re-derived in a timely and cost effective manner

The University has identified $2,400,000 in funding for this project. The cost of build out is estimated to be between $3,000,000 to $4,000,000 for the entire 3,200 square feet. If additional funding is not identified, the immediate priority is to fit out the first 2,800 square feet with the remaining held for storage and future renovation. The University is currently asking approval from the Board to expend planning and design funds in order to confirm the project scope and cost. This will be presented at the Design approval stage.

This Planning Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **PLANNING**

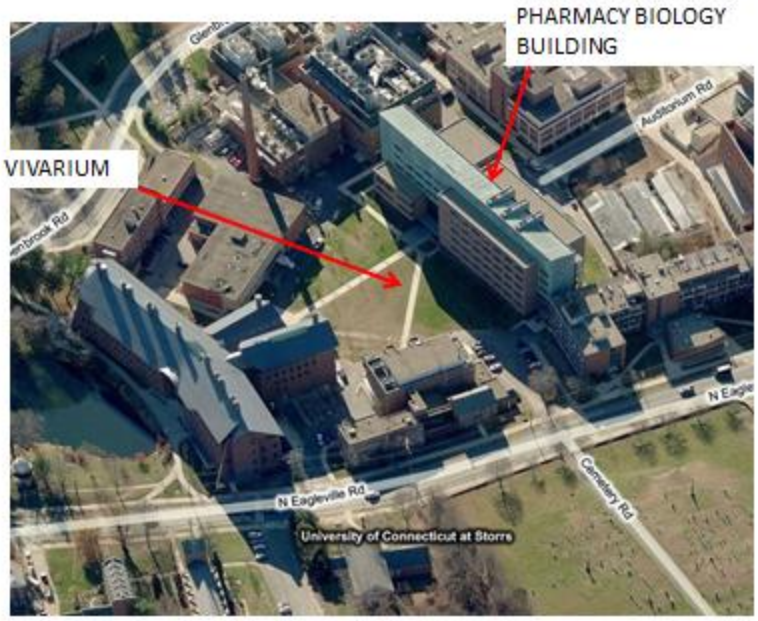
PROJECT NAME: **RODENT BARRIER FACILITY**

BUDGETED EXPENDITURES		**PROPOSED PLANNING 2/28/2012**
CONSTRUCTION	$	1,560,000
DESIGN SERVICES		255,000 *
TELECOMMUNICATIONS		31,125
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		216,000
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		10,000
MISCELLANEOUS		51,324
OTHER SOFT COSTS		-
SUBTOTAL	$	2,123,449
PROJECT CONTINGENCY		276,551
TOTAL BUDGETED EXPENDITURES	$	2,400,000
SOURCE(S) OF FUNDING		
RESEARCH FUNDS		2,400,000
TOTAL BUDGETED FUNDING	$	2,400,000

* All costs are based on available funding and will be adjusted as the project is developed.

BOT 2.28.12
201582

RODENT BARRIER FACILITY
Project Budget (PLANNING)
2/28/2012



UNFINISHED INTERIOR

ATTACHMENT 15

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Demolition – Lower Research Campus (Planning: $850,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of $850,000 for the UCHC Demolition – Lower Research Campus.

BACKGROUND:

As a result of the Bioscience Connecticut initiative, the State of Connecticut and Jackson Laboratory (JAX) have formed a collaboration that includes the development of the Jackson Laboratory for Genomics Medicine on the UCHC Farmington campus. The Jackson Laboratory for Genomic Medicine will position Connecticut as a globally important center for developing new medical treatments tailored to each patient's unique genetic makeup.

The new JAX facility will be constructed on land leased to JAX by UCHC on the Health Center's lower campus. The ground lease agreement, approved in January, calls for the leased property to be cleared and delivered to JAX in two parcels. The first parcel is to be cleared by December 31, 2012. In order to clear the parcel, UCHC will vacate and demolish several aged metal buildings on the site. The current research functions in the metal buildings are being relocated to various other research buildings on campus.

The second parcel is currently occupied by the Dowling Buildings and is required to be cleared by March, 2015. Demolition costs for the second parcel are not included in this estimate and a separate project budget will be submitted to the Boards at a later date for the second parcel demolition work.

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The conceptual estimate for the demolition is based upon SF metrics and is subject to change based upon actual planning work.

The Planning Project Budget is attached for your consideration and approval subject to approval by the UCHC Board of Directors Finance Committee on February 27, 2012 and UCHC Board of Directors at their March 5, 2012 meeting.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

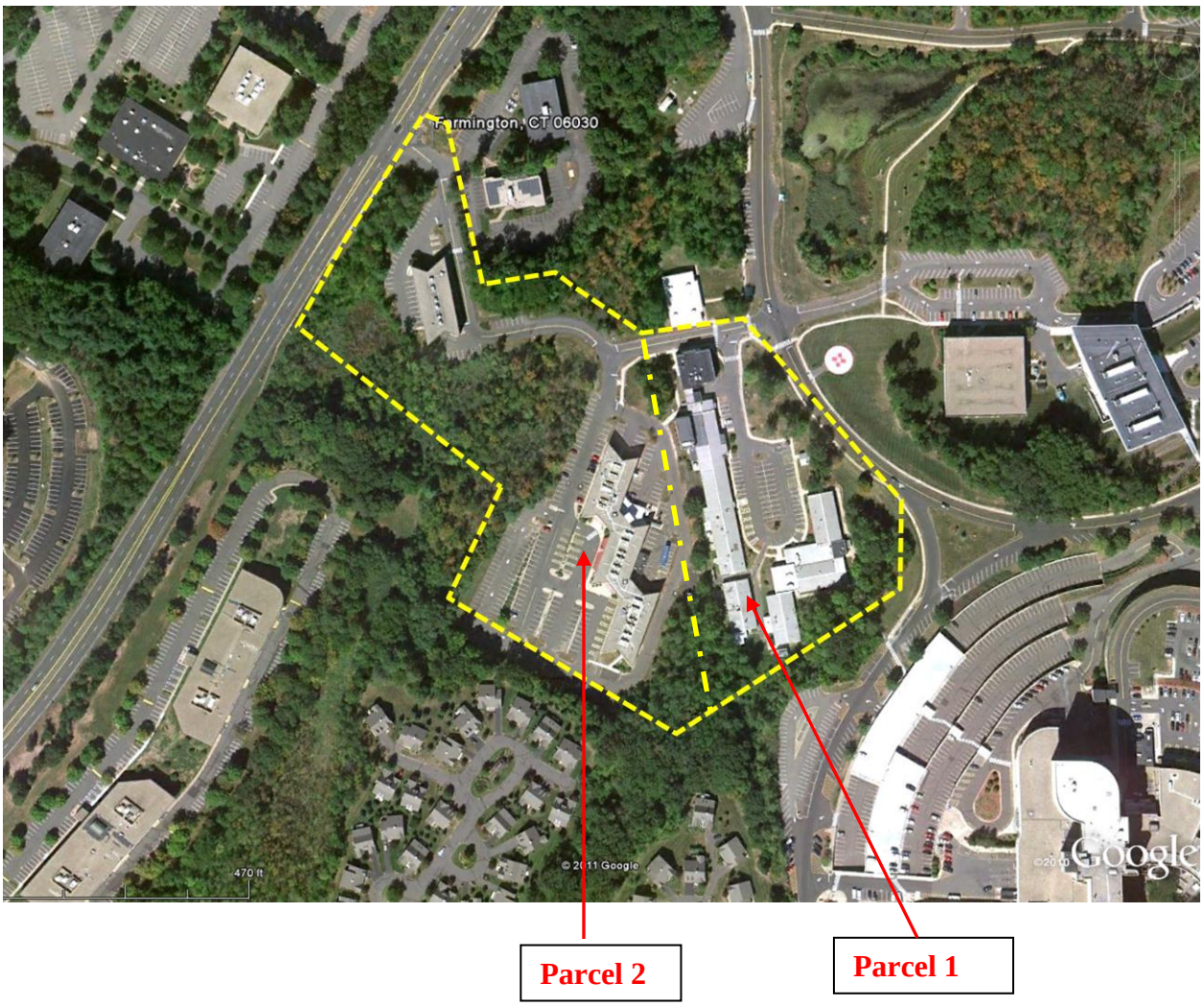
TYPE BUDGET: **PLANNING**

PROJECT NAME: **UCHC - DEMOLISH LOWER RESEARCH CAMPUS**

BUDGETED EXPENDITURES		**PROPOSED PLANNING 2/28/2012**
CONSTRUCTION	$	500,000
DESIGN SERVICES		50,000
TELECOMMUNICATIONS		35,000
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		
ART		-
RELOCATION		50,000
ENVIRONMENTAL		130,000
INSURANCE AND LEGAL		3,000
MISCELLANEOUS		4,000
SUBTOTAL	$	772,000
PROJECT CONTINGENCY		78,000
TOTAL BUDGETED EXPENDITURES	$	850,000
SOURCE(S) OF FUNDING		
UCHC CAPITAL	$	850,000
TOTAL BUDGETED FUNDING	$	850,000

BOT 2.28.12
12-010

UCONN HEALTH CENTER (UCHC)
DEMOLITION – LOWER RESEARCH CAMPUS
Project Budget (PLANNING)
2/28/2012



Parcel 2

Parcel 1

ATTACHMENT 16

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Detention Basin Dredging (Planning: $990,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of $990,000 for the UCHC detention basin dredging maintenance.

BACKGROUND:

The planning for the construction associated with the Bioscience Connecticut initiative requires that UCHC revise the Campus Storm Water Management Plan. A critical element to the overall campus storm water system is the pond detention basin on the lower campus. This detention basin was constructed as part of the original campus construction in the early 1970's. Over the years since its construction, sediment material has accumulated within the detention basin and reduced its capacity to store water. The revised Campus Storm Water Management Plan relies upon the detention basin's original storage capacity being restored by removing the accumulated sediment.

This detention basin dredging maintenance project will include the following activities:

- Restore the functional aspects of the detention basin (water storage and sediment removal) by removing accumulated sediment to the extent practicable.
- Improving UCHC's ability to perform periodic maintenance and sediment removal at the existing drainage structures over the long term.
- Mitigate the area around the detention basin and remove invasive plant species, provide long-term stabilization of the detention basin perimeter, and enhance the visual aspects of the area.

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The Planning Project Budget is attached for your consideration and approval subject to approval by the UCHC Board of Directors Finance Committee on February 27, 2012 and UCHC Board of Directors at their March 5, 2012 meeting.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

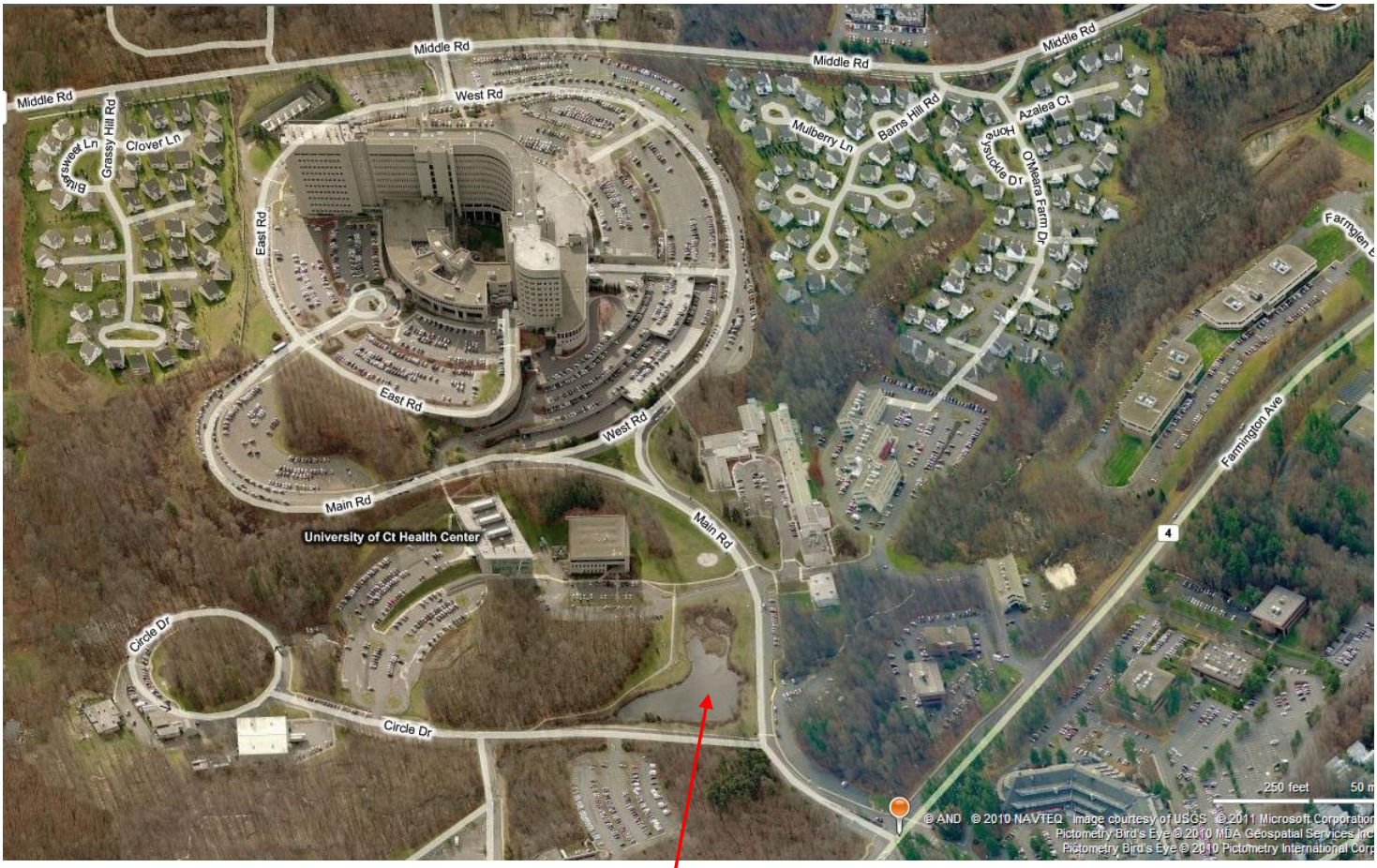
PROJECT NAME: UCHC - DETENTION BASIN DREDGING

BUDGETED EXPENDITURES		PROPOSED PLANNING 2/28/2012
CONSTRUCTION	$	850,000
DESIGN SERVICES		45,000
TELECOMMUNICATIONS		-
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		-
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		5,000
MISCELLANEOUS		-
SUBTOTAL	$	900,000
PROJECT CONTINGENCY		90,000
TOTAL BUDGETED EXPENDITURES	$	990,000
SOURCE(S) OF FUNDING		
UCONN 2000 PHASE III - DM	$	990,000
TOTAL BUDGETED FUNDING	$	990,000

BOT 2.28.12
08-602.01

UCONN HEALTH CENTER (UCHC)
DETENTION BASIN DREDGING
Project Budget (PLANNING)
2/28/2012

UCHC Farmington Campus



Detention Basin

ATTACHMENT 17

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Main Building Renovation, L Building Phase 1 (Revised Planning: $76,127,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of $76,127,000 for the UCHC Main Building Renovation, L Building Phase 1.

BACKGROUND:

The UCHC Main Building includes the Lab (L) building which houses approximately 265,000 gross square feet of research space. The L building has not had any major renovations since its construction in 1972.

This project will renovate approximately half of the L building research space located on floors 1-7. The work will create large flexible open laboratory space similar to the lab space that was created at the Cell and Genome Sciences Building (400 Farmington Ave). The project will also replace the aged mechanical, electrical and plumbing infrastructure with new energy efficient systems. The project is expected to achieve the Leadership in Energy and Environmental (LEED) silver certification.

The Bioscience Connecticut initiative includes funds to renovate the remainder of the research space and add incubator labs to the program. The Phase II renovations will be included in a subsequent and separate project.

The Revised Planning Budget reflects the schematic design estimates prepared by the construction manager and the design team. Further revisions may be required based upon upcoming design development estimates.

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The Revised Planning Budget is attached for your consideration and approval subject to approval by the UCHC Board of Directors Finance Committee on February 27, 2012 and UCHC Board of Directors at their March 5, 2012 meeting.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED PLANNING**

PROJECT NAME: **UCHC MAIN BUILDING RENOVATION (L BUILDING) - Phase I**

BUDGETED EXPENDITURES	APPROVED PLANNING 6/20/2006	PROPOSED REVISED PLANNING 2/28/2012
CONSTRUCTION	$ 50,681,800	$ 57,042,000
DESIGN SERVICES	5,000,000	6,560,000
TELECOMMUNICATIONS		913,000
FURNITURE, FIXTURES AND EQUIPMENT		1,830,000
CONSTRUCTION ADMINISTRATION		1,780,000
OTHER AE SERVICES (including Project Management)		320,000
ART (1% OF NEW CONSTRUCTION)		-
RELOCATION		280,000
ENVIRONMENTAL		350,000
INSURANCE AND LEGAL		80,000
MISCELLANEOUS		51,000
OTHER SOFT COSTS*	12,500,000	-
SUBTOTAL	$ 68,181,800	$ 69,206,000
PROJECT CONTINGENCY	6,818,200	6,921,000
TOTAL BUDGETED EXPENDITURES	$ 75,000,000	$ 76,127,000
SOURCE(S) OF FUNDING		
UCONN 2000 PHASE III	$ 75,000,000	$ 76,127,000
TOTAL BUDGETED FUNDING	$ 75,000,000	$ 76,127,000

*Included Telecommunications, Inspections, Environmental and Legal Expenses

BOT 2.28.12
901134

UCONN HEALTH CENTER (UCHC)
MAIN BUILDING RENOVATION, L BUILDING PHASE 1
Project Budget (REVISED PLANNING)
2/28/2012

IMAGE OF PROPOSED RENOVATED LAB SPACE

ATTACHMENT 18

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) New Construction and Renovations (Revised Planning: $364,386,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of $364,386,000 for the UCHC New Construction and Renovations.

BACKGROUND:

The Bioscience Connecticut initiative calls for the construction of a new patient care tower and renovations of the existing John Dempsey Hospital. It expands the approved program and budget included in the previous 2010 plan.

The plan approved in 2010 included the planning, design, construction of a new bed tower for the John Dempsey Hospital (JDH) and infrastructure renovations to existing JDH. The bed tower included 169 new patient rooms, a new 10 room operating room suite, and a new 36 bay Emergency Department. Renovations to the existing Hospital Building included the replacement of the aged mechanical, electrical and plumbing infrastructure, and the relocation of the pharmacy and lab services programs.

The Bioscience Connecticut initiative provided funding for additional program elements associated with the new tower including a 28,000 sf clinical support floor, one additional operating room, and an additional 400 car parking garage. Bioscience Connecticut also expanded the scope of the renovations to the existing JDH building to include partial renovations to 4 floors, and replacement of the roof, windows and exterior façade sealant. The ongoing design work will continue to inform the final program elements for the project.

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The project is expected to achieve the Leadership in Energy and Environmental (LEED) silver certification.

The Revised Planning Budget reflects the Schematic Design estimate prepared by the construction manager and the design team. Further revisions may be required based upon upcoming Design Development estimates. The Revised Planning Budget is attached for your consideration and approval subject to the approval by the UCHC Board of Directors Finance Committee on February 27, 2012 and UCHC Board of Directors at their March 5, 2012 meeting.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED PLANNING**

PROJECT NAME: **UCHC NEW CONSTRUCTION AND RENOVATION**

BUDGETED EXPENDITURES	APPROVED PLANNING 6/10/2010	PROPOSED REVISED PLANNING 2/28/2012
CONSTRUCTION	$ 224,000,000	$ 249,438,000
DESIGN SERVICES	25,000,000	23,539,000
TELECOMMUNICATIONS	11,000,000	10,830,000
FURNITURE, FIXTURES AND EQUIPMENT	31,000,000	30,994,000
CONSTRUCTION ADMINISTRATION	1,000,000	3,410,000
OTHER AE SERVICES (including Project Management)	5,000,000	8,668,000
ART (1% OF NEW CONSTRUCTION)	1,400,000	1,574,000
RELOCATION	1,500,000	1,397,000
ENVIRONMENTAL	1,000,000	817,140
INSURANCE AND LEGAL	250,000	200,000
MISCELLANEOUS	350,000	391,750
OTHER SOFT COSTS	-	-
SUBTOTAL	$ 301,500,000	$ 331,258,890
PROJECT CONTINGENCY	30,500,000	33,127,110
TOTAL BUDGETED EXPENDITURES	$ 332,000,000	$ 364,386,000
SOURCE(S) OF FUNDING		
UCONN 2000 PHASE III - PLANNING & DESIGN COSTS	$ 25,000,000	$ 25,000,000
UCONN 2000 PHASE III - NEW CONSTRUCTION & RENOVATION	207,000,000	314,386,000
OTHER NON-STATE FUNDS	100,000,000	-
UCHC FUNDS	-	25,000,000
TOTAL BUDGETED FUNDING	$ 332,000,000	$ 364,386,000

BOT 2.28.12
901590

UCONN HEALTH CENTER (UCHC)
NEW CONSTRUCTION AND RENOVATIONS
Project Budget (REVISED PLANNING)
2/28/2012

NEW HOSPTIAL TOWER: EAST ELEVATION, NEW MAIN ENTRANCE

NEW HOSPTIAL TOWER: WEST ELEVATION,
NEW EMERGENCY DEPT AND OR AREA

ATTACHMENT 19

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

SUBJECT: Project Budget for Avery Point Campus Undergraduate & Library Building Phase I (Student Center) (Design: $6,800,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of $6,800,000 for the planning, design and construction of the Student Center at the Avery Point Regional Campus.

BACKGROUND:

The Avery Point Campus, located in Groton, Connecticut, is comprised of 23 buildings encompassing over 500,000 square feet. The campus serves over 900 graduate and undergraduate students. As noted in the Revised Planning Budget this project creates a center for students that will include an accessible entrance, food, and gathering/event space. The design combines new construction with renovation of underutilized space in the Academic Building.

The design team is in the final stage of completing contract documents for the project. A Construction Manager at Risk is working with University staff to prepare the project for bidding.

This Design Budget is attached for your consideration and approval.

Attachment

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CAPITAL PROJECT BUDGET REPORTING FORM

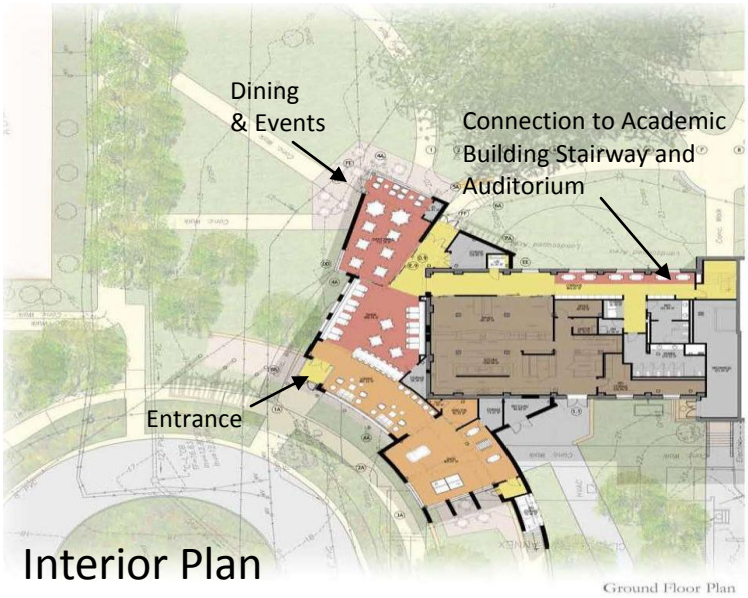
TYPE BUDGET: DESIGN

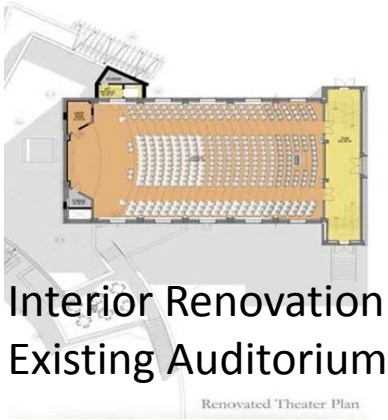
PROJECT NAME: **AVERY POINT CAMPUS UNDERGRADUATE & LIBRARY BUILDING - PHASE I (STUDENT CENTER)**

BUDGETED EXPENDITURES	APPROVED PLANNING 6/24/2008	APPROVED REVISED PLANNING 6/23/2009	PROPOSED DESIGN 2/28/2012
CONSTRUCTION	$ 7,250,000	$ 4,800,000	$ 4,807,577
DESIGN SERVICES	740,000	645,000	920,000
TELECOMMUNICATIONS	-	60,000	50,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	115,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	100,000	338,000	195,350
ART	-	47,000	68,000
RELOCATION	-	100,000	-
ENVIRONMENTAL	200,000	35,000	1,950
INSURANCE AND LEGAL	10,000	50,000	5,500
MISCELLANEOUS	-	45,000	18,123
OTHER SOFT COSTS	150,000	-	-
SUBTOTAL	$ 8,450,000	$ 6,120,000	$ 6,181,500
PROJECT CONTINGENCY	850,000	680,000	618,500
TOTAL BUDGETED EXPENDITURES	$ 9,300,000	$ 6,800,000	$ 6,800,000
SOURCE(S) OF FUNDING			
UCONN 2000 PHASE III	$ 9,300,000	$ 6,800,000	$ 6,800,000
TOTAL BUDGETED FUNDING	$ 9,300,000	$ 6,800,000	$ 6,800,000

BOT 2.28.12
901278

AVERY POINT CAMPUS UNDERGRADUATE & LIBRARY BUILDING PHASE I (STUDENT CENTER)
Project Budget (DESIGN)
2/28/2012





ATTACHMENT 20

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Beach Hall Renovations – Façade and Roof Repair (Final: $2,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of $2,000,000 for the planning, design and construction of exterior repairs to the façade and roof of Beach Hall.

BACKGROUND:

Beach Hall is a 103,000 square foot office, laboratory and classroom building built in 1929. The building is on the National Historic Register. The intent of this project is to repair the façade and roof. New windows were previously installed in the building.

The University commissioned an architect to determine the scope and preliminary estimate for the repair work. The architect identified significant deterioration of the brick and roof. This work will start in the spring of 2012.

This Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: BEACH HALL RENOVATIONS - FAÇADE AND ROOF REPAIR

BUDGETED EXPENDITURES	APPROVED PLANNING 2/22/2011	APPROVED DESIGN 1/25/2012	PROPOSED FINAL 2/28/2012
CONSTRUCTION	$ 1,550,000	$ 1,531,000	$ 1,531,000
DESIGN SERVICES	100,000	110,000	110,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	50,000	40,000	40,000
OTHER AE SERVICES (including Project Management)	68,000	55,000	55,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	21,000	-	-
INSURANCE AND LEGAL	5,500	5,500	5,500
MISCELLANEOUS	5,500	8,500	8,500
OTHER SOFT COSTS	-	-	-
SUBTOTAL	$ 1,800,000	$ 1,750,000	$ 1,750,000
PROJECT CONTINGENCY	200,000	250,000	250,000
TOTAL BUDGETED EXPENDITURES	$ 2,000,000	$ 2,000,000	$ 2,000,000
SOURCE(S) OF FUNDING			
UCONN 2000 PHASE III	$ 2,000,000	$ 2,000,000	$ 2,000,000
TOTAL BUDGETED FUNDING	$ 2,000,000	$ 2,000,000	$ 2,000,000

BOT 2.28.12
901646

BEACH HALL RENOVATIONS – FAÇADE AND ROOF REPAIR

Project Budget (FINAL)

2/28/2012

BEACH HALL

TYPICAL REPAIRS

ATTACHMENT 21

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Pedestrian Safety Improvements – Hillside Road
(Final: $2,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of $2,000,000 for the design and construction of Pedestrian Safety Improvements – Hillside Road.

BACKGROUND:

As part of the University's ongoing efforts to improve pedestrian safety combined with implementation of the Landscape Master Plan and the Access Management Plan, Hillside Road has been identified as an area that needs attention.

Safety improvements around Gampel Pavilion including sidewalk replacement, installation of new lights and road paving took place in the summer of 2011. This work will include similar activities along Hillside Road along both sides. Work includes new pavers to replace grass striping to provide better storm drainage and snow storage and related improvements.

Due to the nature, schedule and completion dates of this proposed project, an exception to the normal three-phase budget process is also requested. The work is scheduled to begin as soon as possible in order to secure the necessary paving and lighting products to complete the project as soon as possible.

This Final Budget is attached for your consideration and approval.

An Equal Opportunity Employer

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Storrs, Connecticut 06269-2122

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

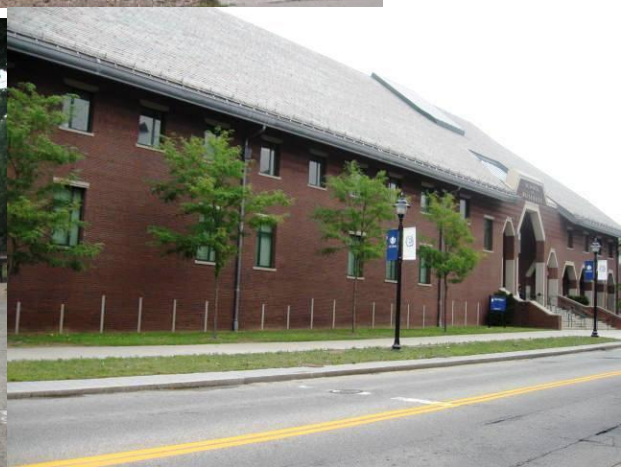
PROJECT NAME: PEDESTRIAN SAFETY IMPROVEMENTS - HILLSIDE ROAD

BUDGETED EXPENDITURES		**PROPOSED FINAL 2/28/2012**
CONSTRUCTION	$	1,500,000
DESIGN SERVICES		105,000
TELECOMMUNICATIONS		-
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		50,000
OTHER AE SERVICES (including Project Management)		55,000
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		25,000
MISCELLANEOUS		65,000
OTHER SOFT COSTS*		-
SUBTOTAL	$	1,800,000
PROJECT CONTINGENCY		200,000
TOTAL BUDGETED EXPENDITURES	$	2,000,000
SOURCE(S) OF FUNDING		
UCONN 2000 PHASE III - FY09 DM	$	2,000,000
TOTAL BUDGETED FUNDING	$	2,000,000

*Does not include Furniture, Fixtures and Equipment.

BOT 2.28.12
901726

PEDESTRIAN SAFETY IMPROVEMENTS – HILLSIDE ROAD
Project Budget (FINAL)
2/28/2012



ATTACHMENT 22

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Law School Renovations/Improvements – Starr, Chase, Hosmer and Knight Halls Building Envelope Repair (Final: $3,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of $3,000,000 for the planning, design and construction of exterior repairs to the façade of the William F. Starr Hall, Cheryl A. Chase Hall, Hosmer Hall and Knight Hall on the School of Law campus in Hartford.

BACKGROUND:

Starr Hall (50,750 square feet), Chase Hall (19,500 square feet), Hosmer Hall (43,072 square feet) and Knight Hall (13,500 square feet) are office and classroom buildings on the Law School campus. The buildings are on the National Historic Register. The intent of this project is to repair façade deterioration at the Law School Campus.

An architect was commissioned to determine the scope and preliminary estimate for the repair work identified significant issues with the facades of all buildings. This project budget was originally developed to address the work on the iconic Starr building tower with the remaining work Chase, Hosmer and Knight Halls left to a future project.

The current competitive market environment led the University to add scope to the project addressing the most critical work at Chase and Hosmer Halls. The project has been competitively bid. The attached budget reflects the results of the competitive bidding and is an increase from the previously approved budget. The increase is a result added scope to perform work on Hosmer and Chase Halls. The University recommends that the Board approve the budget so that work on the three buildings can be completed.

This Final Budget is attached for your consideration and approval.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **FINAL**

PROJECT NAME: **LAW SCHOOL RENOVATIONS/IMPROVEMENTS - STARR, CHASE & KNIGHT HALLS ENVELOPE REPAIR**

BUDGETED EXPENDITURES	APPROVED PLANNING 2/22/2011	APPROVED DESIGN 11/10/2011	PROPOSED FINAL 2/28/2012
CONSTRUCTION	$ 2,035,000	$ 2,035,000	$ 2,319,371
DESIGN SERVICES	130,000	130,000	220,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	40,000	40,000	50,000
OTHER AE SERVICES (including Project Management)	57,250	57,250	87,500
ART	-	-	-
RELOCATION	15,000	15,000	-
ENVIRONMENTAL	6,000	6,000	3,000
INSURANCE AND LEGAL	3,750	3,750	5,500
MISCELLANEOUS	-	-	14,629
OTHER SOFT COSTS	-	-	-
SUBTOTAL	$ 2,287,000	$ 2,287,000	$ 2,700,000
PROJECT CONTINGENCY	230,000	230,000	300,000
TOTAL BUDGETED EXPENDITURES	$ 2,517,000	$ 2,517,000	$ 3,000,000
SOURCE(S) OF FUNDING			
UCONN 2000 PHASE III	$ 2,517,000	$ 2,517,000	$ 3,000,000
TOTAL BUDGETED FUNDING	$ 2,517,000	$ 2,517,000	$ 3,000,000

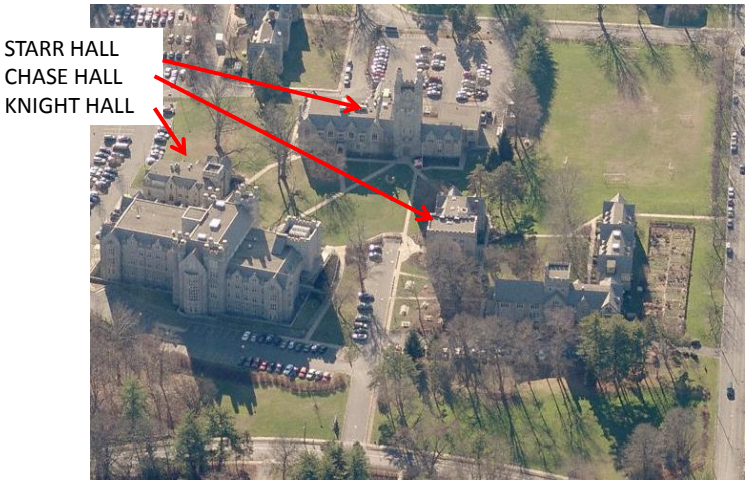
BOT 2.28.12
901188

LAW SCHOOL RENOVATIONS/IMPROVEMENTS – STARR, CHASE, HOSMER AND KNIGHT HALLS, ENVELOPE REPAIR

Project Budget (FINAL)

2/28/2012

LAW SCHOOL CAMPUS



TYPICAL PROBLEMS

ATTACHMENT 23

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Administrative Services Building (ASB) Renovations (Revised Final: $3,265,000)

RECOMMENDATION:

That the Board of Directors approve the Revised Final Budget of $3,265,000 for the UCHC Administrative Services Building (ASB) Renovations.

BACKGROUND:

The previously approved $3.9 million Final Budget for the ASB renovations anticipated renovations to areas on the first, second and third floors to allow the building to be fully utilized by UCHC as a mixed use office building. The work included renovations to space on the first floor to allow for the relocation of the Physical Therapy (PT) component of the Rehabilitation Services program from the Medical Arts and Research Building to the ASB.

The PT program will now be relocated to the new Ambulatory Care Center (ACC) and the space that was to be renovated for PT (approximately 9,400 square feet) in the ASB will be leased to Jackson Lab (JAX). Under the terms of the lease agreement, JAX is responsible for the tenant improvements to the space and UCHC will not incur costs for the renovations. The cost savings is reflected in the recommended Revised Final Budget, changing the budget from $3,900,000 to $3,265,000, a reduction of $635,000.

The Revised Final Budget reflects bids received for the work and is attached for your consideration and approval subject to approval by the UCHC Board of Directors Finance Committee on February 27, 2012 and UCHC Board of Directors at their March 5, 2012 meeting.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED FINAL**

PROJECT NAME: **UCHC ADMINISTRATIVE SERVICES BUILDING (ASB) RENOVATIONS**

BUDGETED EXPENDITURES	APPROVED PLANNING 4/20/2010	APPROVED FINAL 6/10/2010	PROPOSED REVISED FINAL 2/28/2012
CONSTRUCTION	$ 2,750,000	$ 2,750,000	$ 2,206,000
DESIGN SERVICES	300,000	300,000	251,000
TELECOMMUNICATIONS	40,000	40,000	249,000
FURNITURE, FIXTURES AND EQUIPMENT *	275,000	275,000	264,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	30,000	30,000	20,000
ART	-	-	-
RELOCATION	70,000	70,000	44,000
ENVIRONMENTAL	5,000	5,000	-
INSURANCE AND LEGAL	5,000	5,000	-
MISCELLANEOUS	25,000	25,000	22,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	$ 3,500,000	$ 3,500,000	$ 3,056,000
PROJECT CONTINGENCY	400,000	400,000	209,000
TOTAL BUDGETED EXPENDITURES	$ 3,900,000	$ 3,900,000	$ 3,265,000
SOURCE(S) OF FUNDING			
UCHC CAPITAL FUNDS	$ 3,900,000	$ 3,900,000	$ 3,265,000
TOTAL BUDGETED FUNDING	$ 3,900,000	$ 3,900,000	$ 3,265,000

*Includes allowance for systems furniture

BOT 2.28.12
10-054

UCONN HEALTH CENTER (UCHC)
ADMINISTRATIVE SERVICES BUILDING (ASB) RENOVATIONS
Project Budget (REVISED FINAL)
2/28/2012

AERIAL VIEW OF THE ADMINISTRATIVE SERVICES BUILDING

ATTACHMENT 24

University of Connecticut
Office of the President

Susan Herbst
President

February 28, 2012

TO: Members of the Board of Trustees

FROM: Susan Herbst, President

RE: Naming Recommendation for the Elizabeth M. Macfarlane Classroom

RECOMMENDATION:

That the Board of Trustees authorizes the naming of classroom 344 in the College of Liberal Arts and Sciences building after alumna Elizabeth M. Macfarlane.

BACKGROUND:

Ms. Elizabeth Macfarlane established the Elizabeth M. Macfarlane '39 Fund in 2005 to provide annual support to the Statistics Department biostatistics program, with preference to projects related to public health. Ms. Macfarlane passed on April 8, 2011 and a bequest provided an additional $300,000.

The additional funds will establish an endowment in her name to continue supporting the Statistics Department. The classroom to be named is located in the CLAS building where the current Statistic Department is housed. The classroom is 749.98 sq. ft.

Ms. Macfarlane graduated with her BS in Mathematics from the UConn College of Liberal Arts and Sciences in 1939, and was one of only two female mathematics majors in her graduating class. She was a consistent donor to the University since 1979. During her lifetime and through her bequest she gifted a total of $334,060, supporting the Elizabeth M. Macfarlane '39 Fund, The Fund for UConn, The Annual Fund, and the College of Liberal Arts and Sciences Dean's Fund.

An Equal Opportunity Employer

Gulley Hall
352 Mansfield Road Unit 2048
Storrs, Connecticut 06269-2048

Telephone: (860) 486-2337
Facsimile: (860) 486-2627
e-mail: susan.herbst@uconn.edu

ATTACHMENT 25

The University of Connecticut Foundation, Inc.

Development Progress Executive Summary

July 1, 2011 to January 31, 2012

Progress Toward Goals	FYTD11 Results	FY12 Goal	FYTD12 Results	% of Goal
Commitment Results				
Athletics	$ 2.53 M	$ 13.00 M	$ 9.93 M	76%
UConn Health Center	$ 4.06 M	$ 10.75 M	$ 3.36 M	31%
UConn General	$ 14.94 M	$ 33.25 M	$ 16.35 M	49%
Total Commitments	$ 21.53 M	$ 57.00 M	$ 29.64 M	52%
Number of household donors	15,791	30,000	16,095	54%
Cash Results				
Cash basis gift receipts	$ 22.36 M	$ 50.00 M	$ 26.35 M	53%
Annual fund unrestricted receipts	$ 651 K	$ 1.00 M	$ 618 K	62%

Campaign Commitments Progress	
Fiscal Year 2012 Campaign Total (to Date)*	$ 306.09 M
* This total reflects campaign write-offs and pre-campaign total of $10.6 million, as appropriate.	

"Confidential and Proprietary UConn Foundation, Inc. Information Not Subject to Public Disclosure
Pursuant to Conn. Gen. Stat. Section 4-37e et seq."

Informational Items

University of Connecticut Department of Human Resources
New Hires Processed from January 5, 2012 to February 6, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
AN, WOO-SUN	U POST DOC FEL 1	ELECTRICAL & CPTR ENGR	1/10/2012
BAMIS, ATHANASIOS	ASSISTANT PROFESSOR	COMPUTER SCIENCE & ENGR	1/5/2012
BANDAY, ABDUL	U POST DOC FEL 1	PHYSIOLOGY & NEUROBIOLOGY	1/3/2012
BEALING, CLIVE	U POST DOC FEL 1	MATERIALS SCIENCE INST	1/13/2012
BRENICK, ALAINA	ASSISTANT PROFESSOR	REGIONAL CAMPUSES	1/1/2012
BRODERICK, DAVID J.	U POST DOC FEL 1	MECHANICAL ENGR	1/13/2012
BURGER, SUSAN	ASSOC CLIN PROF	NURSING DEAN OFC	1/1/2012
CAMP, TIFFANY L.	UC PROFESSIONAL 01 - U LIB ASST I-LAW	LAW SCH LIBRARY	1/13/2012
CHO, MYUNGJIN	U POST DOC FEL 1	ELECTRICAL & CPTR ENGR	1/13/2012
CONNOLLY, MEGHAN	UC PROFESSIONAL 03 - PROG ASSISTANT I	PSYCHOLOGY	1/13/2012
CUTTER, LAWRENCE	ASSOC CLIN PROF	LAW SCH INSTR & RES	1/1/2012
DAGGETT, DAVID	LECTURER	MOLECULAR & CELL BIOLOGY	1/17/2012
DAUX, VIRGILE	U POST DOC FEL 1	MATERIALS SCIENCE INST	1/13/2012
DAVIS, TEHRAN J.	ASSISTANT PROFESSOR	PSYCHOLOGY	1/1/2012
DAY, SHANE P.	SPECIALIST IIA - ASSISTANT COACH	ATHLETICS MENS INTERCOL	1/24/2012
FROSHAUER, SUSAN	UC PROFESSIONAL 08 - SPEC BUS ECON DEV CO	TECHNOLOGY INCUBATION	1/13/2012
FUCIKOVA, KAROLINA	U POST DOC FEL 1	ECOLOGY & EVOL BIOLOGY	1/2/2012
GIARDINA, BARBARA	UC PROFESSIONAL 01 - ASST TEACHER - CDL	HUMAN DEV/FAM STUDI&R	1/3/2012
GIGLIOTTI, KAREN	U ED ASSISTANT 1	ATHLETICS ADMIN	1/13/2012
HILL, KIMBERLY D.	UC PROFESSIONAL 07 - PROGRAM ASSOCIATE	COMMUNITY STANDARDS	1/20/2012
JANCEWICZ, RUSSELL J.	UC PROFESSIONAL 07 - OPER SYS PROG/ANAL 2	TECHNICAL INFRASTRUCTURE	1/27/2012
JONES, BRIAN D.	ASST PROF IN RES	LIT, CULTURE & LANGUAGES	1/1/2012
JONES, HILARIE	UC PROFESSIONAL 10 - SHS APRN	STUDENT HEALTH SERVICES	1/13/2012
LACHUT, KIMBERLY M.	UC PROFESSIONAL 05 - PROG COORD-MBA	BUSINESS DEAN OFC	1/27/2012
LAGOE, CAROLYN	RESEARCH ASSISTANT 2	CHIP	1/18/2012
LIENIN, PATRICK	U POST DOC FEL 1	PLANT SCI & LANDSCAPE ARC	1/13/2012
LUST, SARAH A.	RESEARCH ASSOCIATE 1	CHIP	12/30/2011

University of Connecticut Department of Human Resources
New Hires Processed from January 5, 2012 to February 6, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
MACDOUGALD, JOSEPH	ASST CLIN PROF	LAW SCH DEAN OFC	1/17/2012
MARTINEZ, JUDYTH A.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	LIBERAL ARTS & SCI DEAN	1/27/2012
MCCARTHY, JEAN M.	SP INTERNAL LECT I	COMMUNICATION SCIENCES	1/13/2012
MCSHERRY, SHAUNA	UC PROFESSIONAL 05 - DNG SERV AR AST MGR	DINING SERVICES	1/27/2012
MEROW, CORY G.	U POST DOC FEL 1	ECOLOGY & EVOL BIOLOGY	1/27/2012
MILLER, ROBIN J.	ASSOC CLIN PROF	NURSING DEAN OFC	1/1/2012
MURDOCK, STACEY H.	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	STUDENT AFF VICE PRES	1/9/2012
NGUYEN, CHI T.	U POST DOC FEL 1	MATERIALS SCIENCE INST	1/13/2012
PEDNEKAR, DEEPA	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	1/18/2012
PRAKASH, NISHITH	ASSISTANT PROFESSOR	ECONOMICS	1/1/2012
PROTOGEROU, CHARIKLEIA M	U POST DOC FEL 1	CHIP	1/3/2012
QI, JING	U POST DOC FEL 1	CTR CLEAN ENERGY ENGINEER	12/15/2011
REYNOLDS, ERIK	UC PROFESSIONAL 07 - OPER SYS PROG/ANAL 2	BUSINESS DEAN OFC	1/13/2012
SANTOFERRARA, LUCIANA	U POST DOC FEL 1	MARINE SCIENCES/MSTC	1/25/2012
SHAMASH, SHERRY	LECTURER	LIT, CULTURE & LANGUAGES	1/1/2012
SHEA, VIRGINIA R.	UC PROFESSIONAL 10 - SHS APRN	STUDENT HEALTH SERVICES	1/13/2012
SILVESTRE, ROSARIO C.	UC PROFESSIONAL 06 - FIN AID OFFICER	SOCIAL WORK DEAN OFC	11/4/2011
SIMBOTIN, IONEL	U POST DOC FEL 1	PHYSICS	1/13/2012
SMITH, ROBERT	ASSOC CLIN PROF	LAW SCH INSTR & RES	1/9/2012
SYLVESTER, CHRISTINE	PROFESSOR	POLITICAL SCIENCE	1/1/2012
WARE, SHARON M.	RESEARCH ASSOCIATE 1	EDUCATIONAL PSYCHOLOGY	1/6/2012
YORIO, NICHOLAS P.	U STAFF PROF II	OFC OF DIVERSITY & EQUITY	1/13/2012
ZAREMBA, KATHRYN D.	U POST DOC FEL 1	LINGUISTICS	12/30/2011
ZEWELDI, DAWIT A.	U POST DOC FEL 1	CIVIL & ENVIRON ENG	1/7/2012

University of Connecticut Department of Human Resources
Separations Processed from January 5, 2012 to February 6, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
ARMSTRONG, THELMA	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	RETIREMENT (55/W 10-24 YEARS)	SW	12/31/2011
BANERJEE, MANJUSHRI	UC PROFESSIONAL 08 - PROGRAM DIRECTOR	RESIGNATION (GOOD STANDING)	DISABL	12/30/2011
BEECHER, ERIN-LEIGH	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	RESIGNATION (GOOD STANDING)	GLOBAL	1/26/2012
BENSON, CARL D.	D PROFESSOR - DISTINGUISHED PROF	RETIREMENT (25+ YRS SERVICE)	ENGL	12/31/2011
BULAJIC, SVETISLAVA	UC PROFESSIONAL 07 - PROGRAM SPEC II	RESIGNATION (GOOD STANDING)	LAW	12/30/2011
COTTO, JOSE E.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	SW	11/25/2011
DELLENBAUGH, GEOFFREY G.	ASST CLIN PROF 11MO	RESIGNATION (GOOD STANDING)	LAW	1/31/2012
DOLL, TAIS D.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	1/5/2012
DONALD, CLEVELAND JR	ASSOCIATE PROFESSOR	DEATH (NON-EMPLOYMENT RELATED)	RG CMP	1/26/2012
DUDA, ERIK	UC PROFESSIONAL 06 - MEDIA PRODUCER I	RESIGNATION (GOOD STANDING)	UCOMM	1/13/2012
DZIEKAN, DOLORES	UC PROFESSIONAL 06 - ADMIN SERV ASST IV	RETIREMENT (25+ YRS SERVICE)	ADMIN	12/31/2011
HWANG, YONG-SHEEN	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ENERGY	12/31/2011
JAIN, SUBHASH	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	MKTG	12/31/2011
KELLER, BRAD A.	SPECIALIST IA	RESIGNATION (GOOD STANDING)	ATH	1/27/2012
LASTERGAGNE, DIANA	UC PROFESSIONAL 07 - SHS NURSE/COORD	RETIREMENT (25+ YRS SERVICE)	HEALTH	12/31/2011
LEWIS, JUDITH O.	ASSISTANT PROFESSOR	RETIREMENT (25+ YRS SERVICE)	RG CMP	12/31/2011
MATTHEWS, EMILY R.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION (GOOD STANDING)	MUSNH	1/11/2012
VERMA, ATUL	ASSOC RESEARCH PROF	RESIGNATION (GOOD STANDING)	ENGR	12/30/2011
VON MUNKWITZ-SMITH, LESA	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	RETIREMENT (25+ YRS SERVICE)	ISS	12/31/2011
ZEWELDI, DAWIT A.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CE	12/31/2011
ZHAO, PING	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	PHSCI	1/1/2012

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 02/07/12
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Aston, Marjorie	UCP 3 - Prog Assistant I	Economics	12/29/11-1/8/12	Medical leave without pay
Aston, Marjorie	UCP 3 - Prog Assistant I	Economics	1/10/12-1/20/12	Medical leave without pay
Benge, Kellie LK	UCP 5 - Admin Serv Spec III	Residential Life Ofc	1/23/12-3/6/12	Maternity leave with pay
Benge, Kellie LK	UCP 5 - Admin Serv Spec III	Residential Life Ofc	3/7/12-4/16/12	Parental leave with pay
Carrafiello, Vincent A	Professor	Business MBA Program	1/4/12-4/18/12	Medical leave with pay
Derring, Mary B	UCP 3 - Tech Records Coord	Accounts Payable	1/3/12-1/20/12	Medical leave with pay
Doherty, Kerry	UCP 7 - U Accountant II	Accounting Office	2/3/12-3/19/12	Maternity leave with pay
Doherty, Kerry	UCP 7 - U Accountant II	Accounting Office	3/20/12-4/23/12	Parental leave with pay
Dudley McDermott, Sarah	UCP 4 - SA Program Coord I	Student Activities	2/1/12-3/15/12	Parental leave with pay
Fischer, Kathleen A	UCP 8 - Womens Ctr Assoc Dir	Womens Center	1/9/12-2/3/12	Medical leave with pay
Gannon, Stefanie A	UCP 4 - Admin Serv Spec II	Administrative Services	1/9/12-2/3/12	Medical leave with pay
Gibbs, Wade A	UCP 4 - Program Assistant II	Ctr Students Disabilities	1/5/12-2/27/12	Medical leave with pay
Guenoun, Solange	Professor	Modern & Class Language	1/1/12-6/30/12	Medical leave with part pay
Mazerolle, Stephanie M	Asst Prof in Res	Kinesiology	12/13/11-1/23/12	Maternity leave with pay
Neff, Lily	Asst Clin Prof 11 Month	Law Sch Instr & Res	2/21/12-8/30/12	Personal leave without pay
Noheimer, Carrie	UCP 5 - Admin Serv Spec III	Bursars Ofc	1/27/12-3/13/12	Maternity leave with pay
Noheimer, Carrie	UCP 5 - Admin Serv Spec III	Bursars Ofc	3/14/12-4/24/12	Parental leave with pay
Perko, Deborah L	UCP 8 - Executive Asst I	Materials Science Inst	1/20/12-2/7/12	Medical leave with pay
Rutkauskas, Carissa	UCP 5 - Program Coord	Curriculum & Instr Dep	1/26/12-3/21/12	Maternity leave with pay
Sundquist, Charles A	UCP 4 - Admin Serv Asst III	Residential Life Ofc	1/6/12-2/7/12	Medical leave with pay
Thorpe, Judith K	Professor	Art & Art History	2/23/13-8/22/13	Administrative leave with pay
Tonucci, Elizabeth F	UCP 5 - Admin Serv Spec III	Library Vice Prov Ofc	1/14/12-2/3/12	Medical leave with pay
Viveiros, Katherine	UCP 10 - Facilities Prof IV	Architect, Engr & Bldg	1/19/12-2/7/12	Medical leave with pay
Worley, Robin	UCP 5 - Admin Coordinator	English	2/3/12-4/11/12	Medical leave with pay
Yelin, Susanne	Associate Professor	Physics	8/23/11-8/22/12	Fellowship leave with partial pay

Committee Agendas

Committee on Institutional Advancement
University of Connecticut – Board of Trustees

Tuesday, February 14, 2012
4:00 p.m.
Teleconference

1. Acceptance of the Minutes of September 27, 2011 ..... Mr. Treibick
   Attachment 1

2. Development Report ..... Mr. Martin
   Attachment 2

3. Naming Recommendations ..... Mr. Treibick
   Attachment 3

   Academic:
   School of Law
   - Anthony J. Smits Professorship for Global Commerce

   Facilities:
   College of Liberal Arts & Sciences
   - Elizabeth M. Macfarlane Classroom #344

4. Alumni Update ..... Ms. Lewis
   Attachment 4

5. Communications Update ..... Mr. Martel
   Attachment 5

6. Legislative Update ..... Ms. Garber
   Ms. Lombardo

7. IA Committee Meeting Schedule ..... Mr. Martel
   Attachment 6

Teleconferencing Instructions

- Dial 1-888-455-9679. The password is **UCONN.** Conference Leader: **Richard Treibick.**
- The operator will ask for your name, announce to other participants that you are joining the conference and you will be connected.

AGENDA

Special Meeting of the
BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE
January 30, 2012 @ 8:30 a.m.
University of Connecticut
Storrs, CT

ACTION ITEMS:

1. Generator for 25 Oak Hill Road, Storrs, CT

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Tuesday, February 28, 2012
Rome Commons Ballroom
Storrs, CT
9:00 a.m. – 9:45 a.m.

	COMMITTEE	ATTACHMENT
1) Minutes of the Academic Affairs Committee Meeting of January 25, 2012, as circulated	A	
ACTION ITEMS:		
2) Tenure Relocation		2
3) Sabbatical Leave Recommendations		3
4) Appointment of Dr. Flavio Uribe to the Dr. Charles J. Burstone Professorship in Orthodontics in the School of Dental Medicine		4
5) Establishment of the Anthony J. Smits Professorship for Global Commerce in the School of Law		5
6) Bachelor of Science Degree in Geography		6
7) Bachelor of Science Degree in Mathematics-Physics		7
8) Modification to the Master of Arts Degree in Literatures, Cultures and Languages to include Classics and Ancient Mediterranean Studies as an Area of Concentration		8
INFORMATIONAL ITEM:		
9) Five Year Review of Academic Centers and Institutes		10
Merger and Establishment (effective July 1, 2012): El Instituto: Institute of Latina/o, Caribbean, Latin American Studies		
PRESENTATION ITEMS:		
10) Connecticut Board of Regents – Postsecondary Accountability System		
11) Technology Park Update, Mun Choi, Dean of Engineering		

ATTACHMENT A

MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
January 25, 2012

Trustees Present: Archambault, Bailey, Buckman, Drotch, Gandara, Jacobs, Kruger, Lobo, McHugh, Reviczky, Shepperd

Staff Present: Biancamano, Bull, Callahan, Donahue, Feldman, Gray, Herbst, Holsinger, Korbel, Locust, Moiseff, Munroe, Orr, Nicholls, Reis, Rubin, Saddlemire, Singha, Urban, Walker, Wetstone

Committee Chairman Jacobs convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Committee Chairman Jacobs, seconded by Trustee Archambault, the minutes of the November 10, 2011 meeting were approved as circulated.

Provost Nicholls introduced ***Action Item #2, Tenure at Hire.*** Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the approval of tenure at hire to the full Board.

Committee Chairman Jacobs introduced ***Action Item #3, Tenure Relocation.*** Moved by Trustee Archambault, seconded my Trustee Buckman, the Committee recommended the approval of tenure relocation to the full Board.

Provost Nicholls introduced ***Action Item #4, Sabbatical Leave Requests.*** Moved by Trustee Buckman, seconded by Trustee Archambault, the Committee recommended approval of all sabbatical leave requests to the full Board.

Provost Nicholls introduced ***Action Item #5, Designation of Emeritus Status.*** Moved by Trustee Shepperd, seconded by Trustee Lobo, the Committee recommended the emeritus designations to the full Board.

Provost Nicholls introduced ***Action Item #6, Renaming the Department of Modern and Classical Languages to the Department of Literatures, Cultures and Languages.*** Provost Nicholls explained that many other research universities have similar department names, and he assured Committee Chairman Jacobs that this renaming does not involve any course changes. Moved by Trustee Shepperd, seconded by Trustee Archambault, the Committee recommended the renaming to the full Board.

Provost Nicholls introduced ***Action Item #7, Modification to the Graduate Fields of Study in the Department of Molecular and Cell Biology.*** Provost Nicholls noted that the department was consolidating its five current Fields of Study into one, Molecular and Cell Biology, with four Areas of Concentration. Provost Nicholls introduced Dr. David Benson, Professor and Department Head, who confirmed that the department's faculty approved this modification, and he explained the separate, yet often overlapping relationship, with the Medical and Dental programs at the Health Center. Moved by Trustee Shepperd, seconded by Trustee Archambault, the Committee recommended the modification to the full Board.

Provost Nicholls introduced ***Action Item #8, Location Modification to offer the Teacher Certificate Program for College Graduates at the Avery Point Campus.*** Provost Nicholls informed the Committee that this program is currently offered at the West Hartford and Waterbury regional campuses, and he introduced Dr. Yuhang Rong, Associate Dean of the Neag School of Education, who confirmed that the

program would be financially self-supporting through tuition costs. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the location modification to the full Board.

Provost Nicholls introduced ***Action Item #9, Certificate in Energy and Environmental Law in the Law School.*** Provost Nicholls introduced Dr. Willajeanne McLean, Associate Dean of the Law School, who confirmed that this is an optional certificate for J.D. students, and she noted that the Law School offers students the opportunity to participate in clinics and externships relating to Environmental Law.

Provost Nicholls introduced ***Informational Item #10, Five-Year Review of Academic Centers and Institutes.*** Provost Nicholls informed the Committee the that the Center for Population Research will be discontinued after review by the Dean of the College of Liberal Arts & Sciences and discussion with the Center's faculty and staff.

Provost Nicholls introduced ***Informational Item #11, Faculty Consulting Program.*** The Provost informed the Committee of two reports, "The University of Connecticut Consulting Program FY 2011 Annual Report" and the "Report on the University of Connecticut's Compliance with Public Act 07-166 (Section 12) Faculty Consulting Program: Report Issued by the Faculty Consulting Oversight Committee." Trustee Archambault thanked the faculty and staff on the Storrs and Health Center campuses who continually work to improve and streamline the Faculty Consulting approval and implementation process.

Committee Chairman Jacobs added that he was very pleased that serious action was taken in a very short period of time to address the student to faculty ratio, which the Provost discussed at the August 3, 2011, Committee meeting, and commended the Provost and President for their plan, as well as acknowledging the support of the governor and the legislators for the tuition increase funding to hire new faculty.

With no further business, Committee Chairman Jacobs adjourned the meeting at 9:20 a.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
February 28, 2012 at 9:45 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

		ATTACHMENT LOCATION	
		COMMITTEE	**FULL BOARD**

ACTION ITEMS:

1)	Approval of the Minutes of the Financial Affairs Committee Meeting January 25, 2012 as circulated	A	
2)	Contracts and Agreements for Approval		1

PROJECT BUDGETS FOR APPROVAL:

		Phase	***Budget***	***Tab***
3)	Arjona and Monteith – Monteith Hall Renovations	Planning	$22,500,000	11
4)	Ecology and Evolutionary Biology (EEB) Greenhouse Renovations	Planning	$1,000,000	12
5)	Memorial Stadium Demolition	Planning	$800,000	13
6)	Rodent Barrier Facility	Planning	$2,400,000	14
7)	UCHC Demolition – Lower Research Campus*	Planning	$850,000	15
8)	UCHC Detention Basin Dredging*	Planning	$990,000	16
9)	UCHC Main Building Renovation, L Building Phase 1*	Revised Planning	$76,127,000	17
10)	UCHC New Construction and Renovations*	Revised Planning	$364,386,000	18
11)	Avery Point Campus Undergraduate & Library Building Phase I (Student Center)	Design	$6,800,000	19
12)	Beach Hall Renovations – Façade and Roof Repair	Final	$2,000,000	20
13)	Pedestrian Safety Improvements – Hillside Road	Final	$2,000,000	21
14)	Law School Renovations/Improvements – Starr, Chase, Hosmer and Knight Halls Building Envelope Repair	Final	$3,000,000	22
15)	UCHC Administrative Services Building (ASB) Renovations*	Revised Final	$3,265,000	23

** Related to BioScience CT*

PRESENTATION ITEMS:

16) UCONN 2000 Program

17) University IT: McKinsey & Beyond

INFORMATION ITEMS:

18) Contracts and Agreements for Information B

19) University-Wide FY11 Closeout and FY12 Six Month Update C

20) Construction Project Status Report (Under Separate Cover)

21) Master Schedule for UCONN 2000 Phases I, II & III (Under Separate Cover)

22) Financial Statement(s) Storrs and Health Center

Storrs:
http://accountingoffice.uconn.edu/accounting/PDF/2011FS.pdf

UCHC:
http://controller.uchc.edu/reports/docs/year_end_report_2011.pdf

EXECUTIVE SESSION:

23) Executive Session anticipated

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
January 25, 2012

TRUSTEES PRESENT:	Archambault, Bailey, Buckman, Cloud, Drotch, Gandara, Jacobs, Kruger, Lobo, McHugh, Reviczky, Ritter, Shepperd and Treibick *(via telephone)*
STAFF PRESENT:	Austin, Biancamano, Bray, Bull, Callahan, Chiaputti, Donahue, Eaton, Feldman, Fearney, Gray, Herbst, Holsinger, Kirk, Locust, Martel, Munroe, Nicholls, Orr, Reis, Roe, Roy, Rubin, Saddlemire, Singha, Teal, Trutter, Urban, Walker, Wetstone and Wrynn
SENATE PRESENT:	Korbel, Moiseff, and Stwalley

Peter Drotch, Committee Chairman, convened the meeting of the Financial Affairs Committee on January 25, 2012 at 9:30 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. He directed the committee to the supplemental documents which included an agenda revised to add a presentation on the UCONN 2000 Program. He asked that the Executive Session listed on the agenda also be stricken. On a motion by Trustee Kruger and seconded by Trustee Shepperd the revised agenda was accepted.

On a motion by Trustee Shepperd and seconded by Trustee Archambault the minutes of the Financial Affairs Committee meetings of November 2, 2011 and November 10, 2011 were approved, as circulated.

Trustee Drotch directed the Committee to agenda ***Item #3, Contracts and Agreements for Approval***. Mr. Drotch explained the function of listing the on-call contracts in their current format and stated that the format for these submissions would change slightly in future presentations. Barry Feldman, Vice President and Chief Operating Officer, provided commentary on the contracts and leases presented for approval. On a motion by Trustee Archambault and seconded by Trustee Shepperd Contracts and Agreements for Approval were recommended to the full Board for approval.

Chairman Drotch introduced the project budgets for approval. The first project budget presented, agenda ***Item #4, UConn Health Center (UCHC) Ambulatory Care Center (ACC) (Planning: $203,000,000)*** was detailed for the Trustees by John Biancamano, UCHC Chief Financial Officer. On a motion by Trustee Shepperd and seconded by Trustee Archambault the item was recommended to the full Board for approval pending Health Center Board of Directors approval. Dr. Feldman provided a brief synopsis of each Storrs based project for the committee. On a motion by Trustee Shepperd and seconded by Trustee Archambault agenda **Item #5, Beach Hall Renovations – Façade and Roof Repair (Design: $2,000,000)** was recommended by the Committee to the full Board for approval. On a motion by Trustee Archambault and

seconded by Trustee Shepperd agenda **Item #6, Psychology Building Renovation/Addition (Design: $22,500,000)** was recommended to the full Board for approval. Agenda ***Item #7, Young Building Renovation/Addition (Design: $15,000,000)*** was recommended to the full Board for approval on a motion by Trustee Archambault and seconded by Trustee Shepperd. And on a motion by Trustee Shepperd and seconded by Trustee Archambault agenda ***Item #8, McMahon Dining Hall Renovations (Final: $9,900,000)*** was recommended to the Board for approval.

There being no additional items for approval, Richard Gray, Vice President and Chief Financial Officer, introduced Charles Eaton, University Controller. As Kuali Executive Director, Mr. Eaton holds a key leadership role in the implementation of Kuali, the new financial reporting system. Mr. Eaton provided the Committee with a PowerPoint presentation on the Kuali program *(a hard copy of the presentation is attached to the file copy of the Committee minutes).* As discussed at previous meetings, updates on the Kuali program will be made periodically to the Trustees.

Mr. Drotch stated that he had requested an update and background of the UCONN 2000 program as information for the newer members of the Board of Trustees. The Trustees had received copies of the planned PowerPoint presentation and he suggested that the members review the material. In addition, he referred the Trustees to the legislatively mandated UCONN 2000 Book 33 progress report which is a good source of information on recent renovations and construction on campus funded through the UCONN 2000 program. Due to the limited time remaining for the committee meeting he recommended that the presentation be tabled until a future meeting of the committee. Trustee Buckman inquired how the State's recent bond rating reduction will effect UConn's construction program. Mr. Gray responded that because it is a split rating it is difficult to determine the; however, it is unlikely to have an effect on the UCONN 2000 bonds as only one of the three rating agencies had downgraded the rating.

Trustee Drotch directed the committee to the remaining information items listed on the agenda. On a motion by Trustee Shepperd and seconded by Trustee Archambault the meeting was adjourned at 10:21 a.m.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone
Secretary to the Committee

ATTACHMENT B

CONTRACTS AGREEMENTS
FOR INFORMATION
FEBRUARY 28, 2012
New Contracts with a value of $500,000 - $999,999 or services previously approved on a Project Budget

PROCUREMENT CONTRACTS - NEW

CONSTRUCTION SERVICES

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Carlin Construction Company, LLC	$1,753,800	01/27/12-05/27/12	UCONN 2000	Matthew Larson, Director of Procurement Services	Contractor to provide renovations and repairs to both the original Bio-Behavioral Building and annex addition located at the Storrs Campus, including roof, windows, interior renovations and mechanical systems. ***(Final Project Budget approved by the BOT on 11/10/11 - $3,000,000 / Project No. 901380)***
2	KBE Building Corporation	$7,172,358	01/26/12-08/01/12	Multiple Sources	Matthew Larson, Director of Procurement Services	Contractor to provide removal of the storefront and new addition for the McMahon Hall Building on Hillside Road, Storrs, CT. ***(Revised Final Project Budget approved by the BOT on 01/25/12 - $9,900,000 / Project No. 201357)***

LIBRARY REFERENCE

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Yale University Library/NERL	$900,967	07/01/11-06/31/12	Multiple Sources	Brinley Franklin, Vice Provost, Library	Provide access to core journal packages to include nature academic journals and Oxford University Press journals; also included are databases, such as Web of Knowledge databases and ebooks. Procured under Statutory Authority 10A-151A.

PROFESSIONAL SERVICES, COMMISSIONING AGENT SERVICES

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Smith Seckman Reid, Inc. *operating through its Division known as SSRCx*	$720,754	01/16/12-12/31/18	UCONN 2000	Matthew Larson, Director of Procurement Services	Commissioning agent will perform enhanced commissioning services, as defined by LEED NC 2009 for the UCHC New Construction and Renovation Projcet located at 263 Farmington Avenue, Farmington, CT. ***(Planning Project Budget approved by BOT on 06/10/10 - $332,000,000 / Project No. 901590)***

PROCUREMENT - AMENDMENTS

CONSULTING SERVICES

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY11	Expenditures FY10	Purpose
1	Van Scoyoc Associates	$180,000 *[Total Contract Amount $937,876]*	01/01/10-12/31/12	Tuition Fund	Gail Garber, Director University Relations	$757,876	$185,000	$185,000	Provide consulting services to assist the University in increasing its current level of federal funding and grant opportunities for research, academic and institutional activities. ***Amend contract to exercise the fifth and final one-year extension.***
2	Public Consulting Group Inc.	$283,000 *[Total Contract Amount $538,000]*	05/10/09-06/30/12	Auxiliary Services	Michael Kurland, Director of Health Services	$255,000	$255,000	N/A	Provide a comprehensive, fully supported, turnkey system to bill third parties for clinical services provided by Student Health Services. ***Amend contract to exercise the second of four possible extensions.***

CONTRACTS AGREEMENTS
FOR INFORMATION
FEBRUARY 28, 2012
New Contracts with a value of $500,000 - $999,999 or services previously approved on a Project Budget

PROCUREMENT - AMENDMENTS *(Continued)*

FOOD SERVICES

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY11	Expenditures FY10	Purpose
1	Consumer Product Distributors Inc. dba J. Polep Distribution Services	Total Contract Amount $700,000 *[Amend for time extension only- no add'l $]*	03/01/08-02/28/13	Auxiliary Services	Steven Kremer, Assistant Vice President for Student Affairs	$547,000	$146,000	$144,000	Supply Dining Services with convenience retail packaged food and supply items for the convenience stores on campus. University Contract# UC-KJ061507-8. This is the result of a publicly advertised RFP. The term of the contract is for one year with four (4) one-year extensions. ***Amend to exercise the fourth and final extension.***

ATTACHMENT C

Financial Report to the Board of Trustees

February 28, 2012

FY11 Closeout and FY12 Six Month Update

University of Connecticut Health Center

FY11 Closeout

University of Connecticut Health Center

FY 2011 Budget Review

The following narrative provides information on the main drivers of the University of Connecticut Health Center financial results for Fiscal 2011.

- **Executive Summary** - Fiscal Year 2011 ended with an excess of revenue over expenses of $3.9 million, compared to a budget of $46,000, for a favorable variance of $3.8 million. The positive results had two main drivers. First was increased State support due to the increase in the in-kind fringe benefits associated with the general fund salaries. In addition, the John Dempsey Hospital had a positive budget variance of $835,000 driven by the favorable variance in Net Patient revenue of $6.7 million due to favorable contract negotiations.

- **Total Revenue** – For the year ended June 30, 2011, total Revenue including total "State Support" was $766.8 million. Total Revenue was below budget projections by $7.9 million or 1.0%. The budget variance was mainly due to the Correctional Managed Health Care program which was under budget by $7.6 million. Details and other variances are:

  - **Net Patient Revenue** – For the year ended June 30, 2011, Net Patient Revenue was below budget by $100,000. The variances by unit are:

    - JDH - $6.7 million above budget. Outpatient equivalents were favorable to budget by 530 cases (6.0%). This was offset by Inpatient discharges that were unfavorable to the budget plan by 440 cases (4.5%) and are below last year by 257 cases (2.7%).
    - UMG - $5.5 million below budget. Both volume and revenue per visit were down in FY 2011. This was mainly due to Physician vacancies spread throughout UMG specialties.
    - Other revenue generating areas were $1.3 million below budget.

  - **Correctional Managed Health Care** – Revenues from the Correctional Managed Health Care program are recorded based on program expenditures. Expenditures for FY 2011 were under budget by $7.6 million and the corresponding revenue is also under budget by the same amount.

  - **Gifts, Grants & Contracts** – The unfavorable variance of $3.6 million was reflective of the increasing competitive environment for federal grant awards. This was offset by total research expenses being under budget by $1.2 million.

  - **Total State Support** –The State appropriation for FY11 totaled $173.2 million and was $4.3 million over budget due to the in-kind fringe benefits reimbursed by the state. The actual fringe benefit cost related to this reimbursement was 3.9% higher than the budget.

- **Total Expenditures** – For the year ended June 30, 2011, total Expenditures were below budgeted amounts by $11.7 million or 1.5%. The following are variances for categories of expense:

  - **Personal Services and Fringe Benefits** – For the year ended June 30, 2011, Personal Services were under budget by $1.1 million. This was offset by Fringe Benefit expenditures which were above budget by $2.4 million or 2.3%. This was due to the increase in the fringe rate which was partially offset by the increase in the in-kind fringe benefit recovery noted above in the revenue section.

  - **Drugs and Medical** – For the year ended June 30, 2011, drugs and medical supplies were below budget by $2.8 million or 4.2%. Drug expenses were favorable by $1.5 million or 8.2%. Expenses were below budget due to the availability of 340b pricing for outpatient drug purchases by the Hospital. Medical supplies were also below budget by $1.3 million or 2.6%. The majority of this occurred at JDH. The reason for the variance was less than budgeted surgical volume for high cost cases and a reduction in cost due to contract negotiations and vendor changes through the review and monitoring of the Value Analysis Committee.

  - **Outside & Other Purchases** – For the year ended June 30, 2011, expenditures were below budget by about $5.4 million or 11.7%. This was mainly due to less spending associated with Grants and Contracts which is offset by the reduction in revenue noted above.

  - **Correctional Managed Health Care** – For the year ended June 30, 2011, the program expenditures were under budget by $7.6 million or 7.7%. This was mainly attributed to a reduction in Personal services due to vacancies and a reduction in overtime hours. Additionally, pharmaceutical costs for the year were significantly below budget due to the availability of 340b pricing.

- **Net Gain (Loss)** – For the year ended June 30, 2011, the Health Center ended with a net gain (an excess of revenue over expenses) of $3.9 million, compared to a budget of $46,000, for a favorable variance of $3.8 million.

University of Connecticut Health Center

Statement of Current Funds Budget Operations and Variance Analysis
FY 2011 Actual

(Dollars in Millions)

Current Funds Revenues:	Actual	Budget	Variance	% Change
State Support	$173.2	$168.9	$4.3	
Tuition	11.8	11.9	(0.1)	
Fees	5.5	5.0	0.5	
Gifts, Grants & Contracts	84.8	88.4	(3.6)	
Investment Income	2.7	3.0	(0.3)	
Interns & Residents	47.6	47.8	(0.2)	
Net Patient Revenue	334.8	334.9	(0.1)	
Correctional Managed Health Care	91.0	98.6	(7.6)	
Auxiliary Enterprise Education	13.6	13.8	(0.2)	
Other Revenue	1.8	2.4	(0.6)	
Total Current Funds Revenues	$766.8	$774.7	($7.9)	-1.0%
Current Funds Expenditures / Transfers:				
Personal Services	$311.5	$312.6	($1.1)	
Fringe Benefits	108.9	106.5	$2.4	
Drugs/Medical Supplies	64.2	67.0	($2.8)	
Medical Contractual Support	16.5	15.0	$1.5	
Medical/Dental House Staff	42.3	42.1	$0.2	
Correctional Managed Health Care	91.6	99.2	($7.6)	
Outside Agency Per Diem	2.2	2.1	$0.1	
Utilities	14.5	14.0	$0.5	
Outside & Other Purchases	40.8	46.2	($5.4)	
Insurance	6.9	6.5	$0.4	
Repairs & Maintenance	10.3	9.6	$0.7	
Other Expenses	23.4	23.8	($0.4)	
Depreciation	29.8	30.0	($0.2)	
Total Current Funds Expenditures / Transfers	$762.9	$774.6	($11.7)	-1.5%
Net Gain (Loss)	$3.9	$0.1	$3.8	

University of Connecticut Health Center

FY12 Six Month Update

University of Connecticut Health Center

Consolidated Financial Reports
Financial Update & Highlights

TO: Members, Finance Subcommittee
FROM: John M. Biancamano, Chief Financial Officer
DATE: February 10, 2012
SUBJECT: **Unaudited FY 2012 Financial Results for the 6 month period ending December 31, 2011**

Introduction:
The following provides highlights for the results of operations for the six months ending December 31, 2011:

The Loss for the Fiscal Year is $3.1 million as compared to a budgeted loss of $2.9 million for an unfavorable variance of $235,000. Key drivers of budget variances are outlined below.

Education, Research & Institutional Support
The result of operations for Education, Research & Institutional Support is favorable to the budget by $4 million year-to-date. The variance is primarily attributed to favorable expense variances in the School of Medicine and Institutional Support.

Year to date significant highlights include:

- The School of Medicine total expenses were favorable to budget by $1.3 million (1.8%). School of Medicine revenues were favorable to budget by $400,000 (1.1%)
- The School of Dental Medicine including University Dentists and the Dental Implant Center results of operations were unfavorable to budget by $808,000 primarily due to lower clinical volume in University Dentists and the Dental Implant Center.
- Research results of operations are on budget. Federal Research revenues are $1.4 million unfavorable and Non Federal research revenues are $2.4 million unfavorable to budget. This is offset by income from endowments favorable to budget by $1.4 and expenses below budget by $1.8 million. Key favorable variances exist in Medical Supplies ($1.2 million), and Outside and Other Purchased services ($881,000).
- Institutional support results of operations were favorable to budget by $3.2 million (9.7%), primarily due to Salaries, Repairs and Maintenance and Utilities.

Clinical
The clinical operations year to date combined loss was $9.6 million compared to a budget loss of $5.2 million. Summary analysis of revenues and expenses are in the following pages.

John Dempsey Hospital

Results of Operations

Year-to-date, the gain is $74,000 compared to a budgeted deficit of $221,000, for a favorable variance of $296,000. The loss for the same period prior year was $1.4 million. The year-to-date favorable variance was driven by revenue being greater than budget resulting from favorable rate increases and better than expected other income. Other significant highlights include:

	Year - to - Date						
Category	Actual	Budget	Variance	Percent	Prior Year	Variance	Percent
John Dempsey Hospital (in thousands)							
JDH Excess of Revenues over Expenses/ (Deficiency)	$74	($221)	$295	133.5%	($1,385)	$1,459	105.3%
JDH Operating Margin	0.1%	-0.2%	0.2%	29.8%	-1.0%	1.1%	-105.1%
Inpatient Discharges	4,330	4,797	(467)	-9.7%	4,709	(379)	-8.0%
Outpatient Equivalents	5,534	5,553	(19)	-0.3%	4,619	915	19.8%
Net Revenue per Adjusted Discharge	$13,606	$12,965	$642	4.9%	$13,990	($384)	-2.7%
Cost per Adjusted Discharge	$14,261	$13,550	$712	5.3%	$14,038	$223	1.6%
Days Revenue in Accounts Receivable	43	42	1	2.4%	45	(2)	-4.4%
Case Mix Index	1.3974	1.4986	(0.1012)	-6.8%	1.4654	(0.0680)	-4.6%

UConn Medical Group

Results of Operations

Year-to-date, the deficit is $9.7 million compared to a budgeted deficit of $5.0 million, for an unfavorable variance of $4.7 million. The deficit for the same period prior year was $5.6 million an unfavorable change of $4.1 million. Other significant highlights include:

	Year - to - Date						
Category	Actual	Budget	Variance	Percent	Prior Year	Variance	Percent
University Medical Group (in thousands)							
Excess of Revenues over Expenses/ (Deficiency)	($9,685)	($5,000)	($4,685)	93.7%	($5,644)	($4,041)	-71.6%
Operating Margin	-24.8%	-11.4%	-13.4%	118.3%	-13.3%	-11.54%	-87.0%
RVU's	400,605	428,308	(27,703)	-6.5%	425,776	(25,171)	-5.9%
Net Revenue Per RVU	$87.52	$93.57	($6.04)	-6.5%	$89.91	($2.39)	-2.7%
Cost per RVU	$121.60	$114.38	$7.22	6.3%	$113.14	$8.46	7.5%
Days Revenue in Accounts Receivable	45	58	(13)	-22.4%	61	(16)	-26.2%

University of Connecticut Health Center

Consolidated Statement of Revenues and Expenses (without Eliminations)

	Consolidated UConn Health Center YTD December 2011				Consolidated UConn Health Center YTD December 2010		
	Actual	Budget	Variance	Percent Variance	Actual	Variance	Percent Variance
Revenues:							
Tuition	$ 8,941,193	$ 9,336,238	$ (395,045)	-4.2%	$ 8,818,759	$ 122,434	1.4%
Federal Research Grants and Contracts	32,298,443	33,750,000	(1,451,557)	-4.3%	33,744,865	(1,446,422)	-4.3%
Non-Federal Research Grants and Contracts	7,280,061	9,662,225	(2,382,163)	-24.7%	7,973,992	(693,931)	-8.7%
Auxiliary Enterprises	7,905,812	8,302,992	(397,180)	-4.8%	6,667,810	1,238,002	18.6%
Internal Income	30,052,739	29,186,289	866,449	3.0%	28,934,555	1,118,184	3.9%
Interns and Residents	26,375,940	26,126,127	249,813	1.0%	23,684,992	2,690,948	11.4%
Net Patient Care	170,528,302	175,234,393	(4,706,090)	-2.7%	171,666,962	(1,138,660)	-0.7%
Correctional Managed Health Care	43,128,752	48,825,413	(5,696,661)	-11.7%	45,121,882	(1,993,130)	-4.4%
Endowment Income	3,638,885	1,809,690	1,829,195	101.1%	1,758,321	1,880,564	107.0%
Investment Income	73,850	123,052	(49,201)	-40.0%	112,550	(38,700)	-34.4%
Other Income	14,791,053	14,863,245	(72,192)	-0.5%	10,656,440	4,134,613	38.8%
Total Revenues	$ 345,015,030	$ 357,219,662	$ (12,204,632)	-3.4%	$ 339,141,128	$ 5,873,902	1.7%
Expenses:							
Personal Services	$ 163,559,926	$ 164,028,249	$ (468,322)	-0.3%	$ 154,551,469	$ 9,008,457	5.8%
Fringe Benefits	59,218,971	58,849,221	369,750	0.6%	59,896,202	(677,231)	-1.1%
JDH Fringe Benefit Allotment	(6,750,000)	(6,750,000)	-	0.0%	(6,750,000)	-	0.0%
Medical Contractual Support	9,174,400	9,145,979	28,421	0.3%	8,685,190	489,210	5.6%
Internal Contractual Support	10,721,893	10,829,982	(108,089)	-1.0%	11,398,528	(676,635)	-5.9%
Medical/Dental House Staff	31,024,044	30,353,618	670,426	2.2%	29,719,953	1,304,091	4.4%
Correctional Managed Healthcare	43,128,752	48,825,413	(5,696,661)	-11.7%	45,121,882	(1,993,130)	-4.4%
Outside Agency Per Diems	1,025,108	752,142	272,966	36.3%	891,549	133,559	15.0%
Drugs	10,493,868	8,174,420	2,319,448	28.4%	8,604,647	1,889,221	22.0%
Medical Supplies	20,443,189	24,734,001	(4,290,812)	-17.3%	23,466,927	(3,023,738)	-12.9%
Utilities	6,727,839	7,536,345	(808,506)	-10.7%	7,186,207	(458,368)	-6.4%
Outside & Other Purchased Services	41,696,438	42,722,985	(1,026,547)	-2.4%	40,878,290	818,148	2.0%
Insurance	3,568,603	3,422,087	146,515	4.3%	4,003,852	(435,249)	-10.9%
Repairs & Maintenance	6,459,466	7,630,314	(1,170,848)	-15.3%	6,481,042	(21,576)	-0.3%
Other Expenses	10,414,409	12,524,425	(2,110,016)	-16.8%	11,918,183	(1,503,774)	-12.6%
Depreciation	14,809,772	14,809,771	1	0.0%	14,137,564	672,208	4.8%
Total Expenses	$ 425,716,678	$ 437,588,951	$ (11,872,274)	-2.7%	$ 420,191,485	$ 5,525,193	1.3%
Excess/(Deficiency) of Revenues over Expenses Prior to State Appropriations	$ (80,701,648)	$ (80,369,289)	$ (332,359)	0.4%	$ (81,050,357)	$ 348,709	-0.4%
State Appropriation-Block Grant	$ 54,834,789	$ 54,834,789	$ -	0.0%	$ 59,473,175	$ (4,638,386)	-7.8%
State Supported Fringe Benefits and Other Adjustments	22,694,738	22,597,604	97,134	0.4%	25,933,108	(3,238,370)	-12.5%
Excess/(Deficiency)	$ (3,172,121)	$ (2,936,896)	$ (235,224)	8.0%	$ 4,355,926	$ (7,528,047)	-172.8%

University of Connecticut

Storrs & Regional Campuses

FY11 Closeout

Quarterly Overview of the Operating and Research Funds
For the Twelve Months Ended June 30, 2011

Results of Annual Operations

The enclosed report comparing the Operating and Research Funds actual results to budget for the twelve month period ended June 30, 2011 reflects unaudited figures and is subject to additional year-end accounting and audit adjustments.

On June 10, 2010, the Board of Trustees approved a Spending Plan for Fiscal Year 2011 which included $1,033.5 million of revenue to cover $1,047.5 million in expenses and transfers. The budgeted net loss of $14.0 million consisted of the $1.0 million reserve repayment from the November 2001 drawdown of $11.5 million for the Towers Dining Center and Student Union, and a $15.0 million transfer from University operating reserves to the State General Fund as required by the 2011 State budget.

The University ended the year with an unrestricted Operating Budget loss of $0.5 million (Operating Fund $1.3 million and Research Fund ($1.8) million) and a restricted gain of $2.2 million (Operating Fund $2.6 million and Research Fund ($0.4) million). The Operating Fund unrestricted net gain of $3.9 million was more than anticipated primarily due to greater tuition and fees, additional federal and state financial aid, and a successful post season for Athletics. An analysis of the results of operations for various categories of accounts is presented below.

	Operating Fund	Research Fund	Total
Unrestricted	$1.3	($1.8)	($0.5)
Restricted	2.6	(0.4)	2.2
Total	$3.9	($2.2)	$1.7

Revenues - Operating Fund

Total **Operating Fund** revenue collections for the Fiscal Year 2011 were $960.9 million which represented 102.4% of the annual budget. Last fiscal year, Operating Fund revenue collections represented 100.1% of the annual budget. A major source of revenue, **State Support,** consisted of a $232.5 million appropriation and a fringe benefit allotment of $96.4 million. The State Support amount is consistent with the University's Biweekly Schedule of State Appropriation Transfers. State Support represented 34.2% of total Operating Fund receipts for the year.

Tuition collections were the second largest source of revenue, totaling $246.1 million, which represented 25.6% of total Operating Fund receipts. Tuition receipts were 102.5% of the annual amount budgeted ($240.1 million). Tuition revenue collections reflected a 5.66% rate increase coupled with a 1.6% increase in the number of undergraduate degree-seeking students who account for approximately 86.3% of tuition revenues. Overall enrollment was 2.0% higher than budgeted. Tuition revenue was greater than budgeted at fiscal year-end.

Fee revenue is comprised of course fees from summer school, part-time, and non-degree students as well as self-supporting programs (off campus MBA, EMBA, etc.). Also included

in this category is the General University Fee, which primarily supports four Auxiliary Enterprise programs and various other fees such as the Infrastructure Maintenance Fee, Application Fees and Late Payment Fees. Due to higher enrollment than projected and better than expected summer session enrollment, Fee revenues were ahead of budget at $92.3 million or 101.6% of the amount budgeted.

Auxiliary Enterprise Revenue for Fiscal Year 2011 was $176.4 million which represented 103.6% of the annual budgeted amount. This is largely due to a successful post season for Athletics. Auxiliary revenue consists primarily of Room and Board fees which reflected rate increases of 7.0% and 6.0% respectively ($134.2 million) and Athletic Department receipts ($38.0 million).

Gifts, Grants and Contracts revenue consists of restricted revenues from a granting agency or private donor and gifts transferred from the UConn Foundation. For Fiscal Year 2011, Gifts, Grants and Contracts revenue of $88.5 million, which included $18.5 million from the UConn Foundation, was 112.2% of the annual budget. This category was greater than budgeted for the year in large part because of additional federal and state financial aid funds.

Investment Income for Fiscal Year 2011 was $1.0 million. Interest rates in the State Treasurer's STIF were 0.21% by the end of the fiscal year. The average interest rate for Fiscal Year 2011 was 0.23% compared to 0.33% for Fiscal Year 2010.

Sales and Services of Educational Activities and Other Sources (primarily parking, transit fee, and rental income) revenue totaled $27.6 million and were slightly ahead of budget primarily due to greater activity in certain Educational Activities (i.e. Study Abroad, Roper Center, and Institute of Materials Science).

Revenues - Research Fund

With respect to the **Research Fund**, the granting agency or donor restricts most of the revenues. Research Fund revenues were $97.3 million and represented 102.6% of the amount budgeted. In Fiscal Year 2010, Research Fund revenues totaled $89.7 million and represented 104.5% of the amount budgeted.

Expenditures - Operating Fund

Total Operating Fund expenditures (excluding transfers) for Fiscal Year 2011 were $894.0 million or 101.7% of the annual budgeted amount. Fiscal Year 2010 reflected expenditures of 98.8% of the annual budget. Individual categories of expenditures as a percentage of the annual budget were as follows:

Personal Services	100.3%
Fringe Benefits	97.9%
Other Expenses	104.8%
Equipment	97.7%
Student Aid	107.5%

Personal Services/Fringe Benefits represented 99.6% of the annual budgeted amount of $563.4 million. The official full-time faculty count (based on IPEDS federal reporting

standards) was 18 more than the prior year. This category was under budget due to less fringe benefit expenditures than budgeted.

Other Expenses (including energy costs) were $196.9 million and represented 104.8% of the amount budgeted. Energy expenditures were below budget for the year due to favorable gas prices. Other Expenses (excluding energy costs) were greater than anticipated primarily due to the encumbrances at the end of fiscal year 2010 that became expenses in the first two quarters of fiscal year 2011 and the additional cost of hiring a consulting firm to examine operations and recommend savings and revenue-enhancements. Also, contributing to this category was higher than expected expenditures in Athletics due to successful post season participation.

Equipment expenditures of $17.4 million were 97.7% of the amount budgeted or $415,000 below budget.

Student Aid expenditures were $118.4 million and represented 107.5% of the amount budgeted. This category was greater than budgeted for the year due primarily to additional federal and state funds. This increase in expenditures was offset by the additional state and federal revenue and $1.6 million of University resources.

Expenditures - Operating Fund Transfers

The **Transfers** line reflects transfers for bond and installment loan payments, payments for the capital lease for the cogeneration plant, and transfers to Plant Funds for construction and information technology projects. Transfers were $63.0 million or 85.7% of the amount budgeted at year-end. Transfers were less than budgeted due to the revised funding plan for the water reclamation project that reallocated some existing plant fund balances as opposed to using current year revenues. In addition, the timing of future Student Affairs projects has been adjusted to accommodate the current construction schedule and to recognize the limited window of opportunity to actually complete many repairs and renovations since some projects cannot be done while students are occupying the buildings.

Expenditures - Research Fund

Finally, **Research Fund** expenditures and transfers totaled $99.5 million and represented 104.9% of the budgeted amount. In Fiscal Year 2010, Research Fund expenditures and transfers totaled $88.1 million and represented 102.7% of the budgeted amount. Research expenditures and transfers exceeded the budget due to equipment expenditures and Research Fund support for the Torrey Life Sciences 1st Floor construction project.

Enrollment

Total University enrollment for fall 2010 (excluding the Health Center) was up 1.7% from fall 2009 and undergraduate enrollment (degree and non-degree) was up 1.8%. The budget was based on lower enrollment levels.

Current Funds Unrestricted Fund Balance

The University had a combined net gain of $1.7 million for the fiscal year ended June 30, 2011, which was comprised of a $0.5 million unrestricted net loss and a $2.2 million restricted net gain. This resulted in a Current Funds Unrestricted Fund Balance of $71.0 million. The unrestricted fund balance represented 7.8% of the Fiscal Year 2011 unrestricted expenditure budget ($910.1 million) or, alternatively stated, 28 days' worth of operations.

The $71.0 million current funds balance represents the funds remaining in the following operating areas: the research fund (designated for research); the auxiliary operations (residential, dining, health, student activities and recreational services); and departmental generated (self-supporting fee-based instructional programs such as Continuing Studies and MBA).

The unrestricted current funds balance is our operating capital and support for programs and activities that generate revenue and are not supported by the State appropriation. We borrow from the fund balance to pay our bills when necessary. Additionally, in 2010 the Governor requested fund balances totaling $8 million from us during the fiscal year. We were able to meet this obligation using existing fund balance. The fund balance allows us to manage these dislocations without disrupting the University's operations.

Fund balances may be held in a departmental account for start-up costs for new faculty conducting research. Additionally, under the provisions of UCONN 2000, the University is required to maintain a renewal and replacement fund to keep projects in sound operating condition; the fund balance serves this purpose under the Master Indenture. These balances also include inventory, prepaid expenses and encumbrances.

Throughout the year, when funds are available, we also set aside dollars in accounts for planned one-time expenditures, mostly capital. These accounts comprise our plant funds. These balances are primarily for Auxiliary Enterprise projects such as Residential Life, Dining Services, Student Health Services, Student Union and Athletics. The University has a policy that a departmentally funded construction project cannot begin unless the funding has been identified and transferred to plant funds. For Residential Life and Dining Services projects, the window of opportunity to actually complete many repairs, renovations and improvements is limited as some projects cannot be done while students are occupying the buildings, so the actual spending of the cash is a timing issue. Also, the plant funds balance includes $27 million set-aside for the water reclamation project. This project started at the beginning of June 2011 with substantial completion expected by fall 2012.

The FY11 year-end unrestricted net assets of $175.4 million (unaudited) consisted of $71.0 million current funds balance, $69.4 million in unexpended plant funds and $35.0 million in funds that are internally restricted for the retirement of indebtedness.

University of Connecticut (Storrs & Regionals)

FY11 Audited Current Funds Statement of Operations

(Dollars in Millions)

Current Funds (Operating & Research) Revenues:	
Operating Fund	
State Support	$329.0
Tuition	246.1
Fees	92.3
Gifts, Grants & Contracts	88.5
Investment Income	1.0
Sales & Service Education	16.1
Auxiliary Enterprise Revenue	176.4
Other Revenue	11.5
Total Operating Fund	960.9
Research Fund	97.3
Total Current Funds (Operating & Research) Revenues	$1,058.2
Current Funds (Operating & Research) Expenditures / Transfers:	
Operating Fund	
Personal Services	$413.0
Fringe Benefits	148.3
Other Expenses	170.4
Energy	26.5
Equipment	17.4
Student Financial Aid[1]	118.4
Net Transfers-Mandatory	19.8
Net Transfers-Auxiliary Non-Mandatory	35.3
Net Transfers-E&G Non-Mandatory	7.9
Total Operating Fund	957.0
Research Fund	99.5
Total Current Funds Expenditures / Transfers	$1,056.5
Current Funds Net Gain[2]	$1.7
Non-Current Funds Items	
Net Transfers from Current Funds	$63.0
Capitalization Adjustment for Items Expensed in Current Funds	(46.1)
Depreciation Expense[3]	(90.3)
Non-Operating Expense Net of Current Funds Items [4]	21.9
Capital Deductions	0.9
Total Increase in Net Assets	**($48.9)**
Net Assets - beginning of year[5]	$1,444.3
Net Assets - end of year	$1,395.4

[1] Includes $2.9 million of student work study expenditures.

[2] Net Gain of $1.7 million: Unrestricted=($0.5) million, Restricted=-$2.2 million.

[3] Not budgeted.

[4] State Support, Gifts and Investment Income are included above in Current Funds; interest expense (net of state debt service commitment for interest) is the primary remaining component of Non-Operating Expense.

[5] Per University Audited Annual Financial Statements.

University of Connecticut

Storrs & Regional Campuses

FY12 Six Month Update

Quarterly Overview of the Operating and Research Funds
For the Six Months Ended December 31, 2011

Summary

On September 28, 2011, the Board of Trustees approved a Spending Plan for Fiscal Year 2012 which includes $1,028.6 million of revenue to cover $1,033.2 million in expenses and transfers. The budgeted net loss of $4.6 million has two components; $2.0 million of the loss is due to the University's plan to partially fund an over commitment in financial aid from prior year fund balances, and $2.6 million is attributable to the Research Fund.

Financial results for the first six months of Fiscal Year 2012 reflected a number of different factors when compared to budget. Actual revenue totaled $511.9 million or 49.8% of the budget. At the close of December, the University expenditures and transfers totaled $505.5 million or 48.9% of the budget.

The net gain for the Operating Fund shown in this six month report is not indicative of expected annual results, as most Operating Fund revenue is typically received in the first and second quarters of the fiscal year while expenditures are more evenly distributed throughout the year. This year, there is a higher level of revenues primarily due to additional tuition revenue and a lower level of expenditures due to less personal services and other expenses. While the approved budget reflected a net loss, the current year-end forecast is a balanced budget.

A more detailed review of the first six months of Fiscal Year 2012 operations is presented below.

Revenues - Operating Fund

Total **Operating Fund** revenue collections for the first six months of Fiscal Year 2012 were $466.7 million which represented 50.2% of the annual budget. At this point last fiscal year, Operating Fund revenue collections represented 50.9% of the annual budget. A major source of revenue, **State Support,** consisted of a $101.0 million appropriation and a fringe benefit allotment of $43.4 million. State Support represented 30.9% of total Operating Fund receipts for the first six months. On January 23, 2012, the Governor issued a directive which reduced the Fiscal Year 2012 State appropriation allotment by $2.1 million or 1%; associated fringe benefit support was also reduced by $0.9 million for a total of $3.0 million. This category will be less than budget at year-end due to this rescission.

Tuition collections were the second largest source of revenue, totaling $132.9 million, which represented 28.5% of total Operating Fund receipts. Tuition receipts were 51.9% of the annual amount budgeted ($256.1 million). Tuition revenue collections reflect a 2.4% rate increase coupled with a 2.9% increase in the number of undergraduate degree-seeking students who account for approximately 86.5% of budgeted tuition revenues. Overall enrollment is 1.2% higher than budgeted. Tuition revenue is projected to be greater than originally budgeted.

Fee revenue is comprised of course fees from summer school, part-time, and non-degree students as well as self-supporting programs (off campus MBA, EMBA, etc.). Also included in this category is the General University Fee, which primarily supports four Auxiliary Enterprise programs and various other fees such as the Infrastructure Maintenance Fee,

Application Fees and Late Payment Fees. The first six months Fee collections were slightly less than anticipated at $47.6 million or 48.7% of the amount budgeted. However, this category is expected to be on budget at year end.

Auxiliary Enterprise Revenue for the first six months of Fiscal Year 2012 was $93.9 million which represented 51.1% of the annual budgeted amount. Auxiliary revenue consisted primarily of Room and Board Fees which reflect rate increases of 2.5% ($70.9 million) and Athletic Department receipts ($17.0 million). At year end, this category is projected to be slightly greater than budget due to a greater amount of students housed and more meal plans than anticipated.

Gifts, Grants and Contracts revenue consists of restricted revenues from a granting agency or private donor and gifts transferred from the UConn Foundation. For the first six months of Fiscal Year 2012, Gifts, Grants and Contracts revenue of $38.0 million, which included $4.6 million from the UConn Foundation, was 44.0% of the annual budget. This category was under budget for the quarter but is expected to be over budget at year end primarily due to additional federal and state funds and more Foundation funds than budgeted.

Investment Income for the first two quarters of Fiscal Year 2012 was $0.5 million. Interest rates continue to be low with the rate for December 2011 at 0.18% compared to 0.24% in December 2010. Investment income for the year is projected to be slightly more than budgeted. Actual results will depend on interest rates and the University's cash balance through the second half of the fiscal year.

Sales and Services of Educational Activities and Other Sources revenue totaled $9.4 million and were less than budget for the quarter but expected to be slightly over budget for the year due to greater activity in educational activities such as Study Abroad.

Revenues - Research Fund

With respect to the **Research Fund**, the granting agency or donor restricts most of the revenues. For the first six months, Research Fund revenues were $45.2 million and represented 46.1% of the amount budgeted. In Fiscal Year 2011, Research Fund revenues reported in the first six months totaled $50.8 million and represented 53.5% of the amount budgeted. This category is projected to be less than budget at year-end due to less research activity.

Expenditures - Operating Fund

Total Operating Fund expenditures (excluding transfers) for the first six months of Fiscal Year 2012 were $449.4 million or 49.7% of the annual budgeted amount. The spending pattern for the first two quarters of Fiscal Year 2011 reflected expenditures of 51.3% of the annual budget. Individual categories of expenditures as a percentage of the annual budget were as follows:

Personal Services	49.8%
Fringe Benefits	47.8%
Other Expenses	51.0%
Equipment	52.2%
Student Aid	49.4%

Personal Services/Fringe Benefits represented 49.2% of the annual budgeted amount of $572.7 million. The personal services expenditures were less than budget for the first two quarters. While the UCPEA and Classified employees received unbudgeted wage increases for the first four pay periods, the total number of staff is less than expected. The official full-time faculty count (based on IPEDS federal reporting standards) is 26 more than the prior year. Due to limited strategic hiring and the amount of time it takes to refill positions, especially faculty, we anticipate personal services and fringe benefits to end the year under budget.

Other Expenses (including energy costs) were $98.3 million for the first six months and represented 51.0% of the amount budgeted. Energy expenditures are projected to be slightly below budget for the year due to favorable gas prices. In addition, Other Expenses (excluding energy costs) are less than anticipated for the first two quarters primarily due to technology and other miscellaneous expenditures. This category is projected to be less than budget at year-end.

Equipment expenditures of $8.7 million were 52.2 % of the amount budgeted. This category was less than expected for the first six months but expenditures are expected to be on budget at year end.

Student Aid funds are predominantly expended in the first and third quarter of the fiscal year. For the first two quarters, Student Aid expenditures were $60.4 million and represented 49.4% of the amount budgeted. While this category was behind the first six months projection, it is expected to be slightly over budget at year-end due to more funds expended for work-study.

Expenditures - Operating Fund Transfers

Net **Transfers** for the first two quarters were only $7.7 million and consisted of transfers to Plant Funds for construction projects, bond and installment loan payments, and payments for the capital lease for the cogeneration plant. However, Transfers are expected to be greater than budgeted at year-end as transfers-in from other Funds will be less than budgeted.

Expenditures - Research Fund

Finally, **Research Fund** expenditures and transfers totaled $48.4 million and represented 48.1% of the budgeted amount. In Fiscal Year 2011, Research Fund expenditures and transfers reported in the first six months totaled $53.3 million and represented 56.2% of the budgeted amount. Due to the variability of research expenditures, quarterly comparisons are not necessarily indicative of annual results. However, this category is projected to be less than budget at year-end due to less personal services expenses as well as less commodities purchased.

Enrollment

Total University enrollment for fall 2011 (excluding the Health Center) is up 1.7% from fall 2010 and undergraduate enrollment (degree and non-degree) is up 2.7%. The budget was based on lower enrollment levels.

Fund Balance

The University's budget was projected to have a net loss of $4.6 million for the fiscal year ended June 30, 2012, which would have resulted in a Current Funds Unrestricted Fund Balance of $66.3 million (Operating Fund-$49.1 million; Research Fund-$17.2 million). This fund balance represented 7.4% of the current year's unrestricted expenditure budget ($893.3M). At the end of the first six months, we are forecasting a balanced budget at year-end which would result in a Current Funds Unrestricted Fund Balance of 70.9 million or 7.9% of the current year's unrestricted expenditure budget. Also, in accordance with current University procedures, centrally funded unrestricted fund balances are carried forward in departmental accounts and are available for expenditures in the current and future fiscal years.

University of Connecticut (Storrs & Regionals)
Statement of Current Funds Budget Operations[1] and Variance Analysis
FY12 Unaudited

(Dollars in Millions)

	12/31/11	6/30/12			
Current Funds Revenues:					
Operating Fund	**Actual**	**Budget**	**Forecast**	**Variance**	**% Change**
State Support	$144.4	$284.2	$280.8	($3.4)	
Tuition	132.9	256.1	259.4	3.3	
Fees	47.6	97.7	97.7	0.0	
Grants & Contracts	33.5	63.6	64.6	1.0	
Foundation	4.6	22.8	24.8	2.0	
Investment Income	0.5	0.7	0.9	0.2	
Sales & Service Education	7.1	16.1	16.6	0.5	
Auxiliary Enterprise Revenue	93.9	183.6	184.0	0.4	
Other Revenue	2.3	5.8	5.6	(0.2)	
Total Operating Fund	$466.7	$930.5	$934.4	$3.8	0.4%
Research Fund	45.2	98.1	96.6	(1.5)	-1.5%
Total Current Funds Revenues	**$511.9**	**$1028.6**	**$1031.0**	**$2.3**	0.2%
Current Funds Expenditures/Transfers:					
Operating Fund					
Personal Services	$208.8	$419.6	$417.5	($2.1)	
Fringe Benefits	73.2	153.1	150.8	(2.3)	
Other Expenses	88.5	165.8	164.7	(1.1)	
Energy	9.8	26.9	26.2	(0.7)	
Equipment	8.7	16.8	16.8	0.0	
Student Financial Aid	60.4	122.1	122.5	0.4	
Transfers	7.7	28.3	36.4	8.1	
Total Operating Fund	$457.0	$932.5	$934.9	$2.3	0.2%
Research Fund	48.4	100.7	96.1	(4.6)	-4.6%
Total Current Funds Expenditures/Transfers	**$505.5**	**$1033.2**	**$1031.0**	**($2.3)**	-0.2%
Net Gain (Loss)[2]	**$6.5**	**($4.6)**	**($0.0)**	**$4.6**	

[1] The University prepares and presents its Operating Budget requests and annual Spending Plan in a current funds format. The current funds format shows gross student tuition and fees and does not net out scholarship allowances, as required in the financial statements which are prepared in the GASB Nos. 34/35 format. Scholarship allowances are shown as an expense item. In addition, the University's current funds format includes equipment purchases as an expense, does not include depreciation or amortization and does not include the State debt service commitment for principal and interest.

[2] The University had a budgeted net loss of $4.6 million for the fiscal year ended June 30, 2012, which entailed using prior year fund balances to fund an over commitment in financial aid of $2.0 million and Research Fund expenditures of $2.6 million.

University of Connecticut

Storrs & Regional Campuses

Interim Financial Statements for the Six Months Ended December 31, 2011

UNIVERSITY OF CONNECTICUT
INTERIM STATEMENTS OF NET ASSETS
As of December 31, 2011 and 2010 and June 30, 2011
UNAUDITED

($ in thousands)

ASSETS	December 31, 2011	December 31, 2010	June 30, 2011
Current Assets			
Cash and cash equivalents	$ 282,446	$ 264,119	$ 275,129
Accounts receivable, net	23,840	27,622	34,033
Student loans receivable, net	1,864	2,269	1,864
Due from State of Connecticut	74,465	68,954	44,319
State debt service commitment	92,725	90,425	83,409
Inventories	3,857	3,343	3,857
Deposit with bond trustee	214,676	106,286	53,730
Deferred charges	809	764	787
Prepaid expenses	1,272	1,894	3,503
Total Current Assets	695,954	565,676	500,631
Noncurrent Assets			
Cash and cash equivalents	1,356	1,355	1,356
Investments	10,695	9,808	10,686
Student loans receivable, net	11,227	10,703	10,481
State debt service commitment	903,550	804,310	735,015
Property and equipment, net	1,403,811	1,395,242	1,399,263
Deferred charges	8,273	7,816	7,481
Total Noncurrent Assets	2,338,912	2,229,234	2,164,282
Total Assets	$ 3,034,866	$ 2,794,910	$ 2,664,913
LIABILITIES			
Current Liabilities			
Accounts payable	$ 29,324	$ 26,228	$ 27,691
Deferred income	51,286	55,690	24,777
Wages payable	36,631	36,349	55,635
Compensated absences	21,771	20,473	21,771
Due to the State of Connecticut	12,735	27,659	16,984
Due to affiliate	61,879	22,842	6,823
Due to related agencies	10	7	-
Current portion of long-term debt and bonds payable	94,180	84,422	80,589
Other current liabilities	31,190	33,541	31,653
Total Current Liabilities	339,006	307,211	265,923
Noncurrent Liabilities			
Compensated absences	12,696	10,714	12,696
Deposits held for others	7,171	3,800	2,362
Long-term debt and bonds payable	1,161,718	1,052,835	978,061
Refundable for federal loan program	10,516	9,420	10,516
Total Noncurrent Liabilities	1,192,101	1,076,769	1,003,635
Total Liabilities	$ 1,531,107	$ 1,383,980	$ 1,269,558
NET ASSETS			
Invested in capital assets, net of related debt	$ 1,130,124	$ 1,135,478	$ 1,144,923
Restricted nonexpendable	11,901	11,013	11,892
Restricted expendable			
Research, instruction, scholarships and other	14,677	15,819	17,915
Loans	2,932	4,021	2,818
Capital projects	161,180	82,924	35,204
Debt service	8,146	7,827	7,229
Unrestricted (see Note 7)	174,799	153,848	175,374
Total Net Assets	$ 1,503,759	$ 1,410,930	$ 1,395,355

UNIVERSITY OF CONNECTICUT
INTERIM STATEMENTS OF REVENUES, EXPENSES, AND CHANGES IN NET ASSETS
For the Six Months Ended December 31, 2011 and 2010 and the Year Ended June 30, 2011
UNAUDITED

($ in thousands)

	December 31, 2011	December 31, 2010	June 30, 2011
OPERATING REVENUES			
Student tuition and fees (Net of scholarship allowances of $55,167 for December 31, 2011, $55,028 for December 31, 2010 and $109,106 for June 30, 2011)	$ 125,376	$ 116,970	$ 233,881
Federal grants and contracts	61,525	64,463	125,798
State and local grants and contracts	10,322	13,091	27,390
Nongovernmental grants and contracts	5,898	6,565	11,367
Sales and services of educational departments	7,076	6,605	16,161
Sales and services of auxiliary enterprises (Net of scholarship allowances of $1,638 for December 31, 2011, $1,725 for December 31, 2010 and $3,240 for June 30, 2011)	92,236	84,606	173,133
Other sources	2,520	6,081	11,808
Total Operating Revenues	304,953	298,381	599,538
OPERATING EXPENSES			
Educational and general			
Instruction	145,435	145,273	292,203
Research	34,704	37,122	74,481
Public service	19,087	20,200	41,470
Academic support	49,104	50,028	98,393
Student services	19,694	19,988	39,755
Institutional support	43,847	45,667	88,650
Operations and maintenance of plant	32,716	36,195	71,365
Depreciation and amortization	43,417	44,416	90,335
Student aid	2,573	2,314	5,490
Auxiliary enterprises	80,432	76,700	154,516
Other operating expenses	5,535	7,461	19,740
Total Operating Expenses	476,544	485,364	976,398
Operating Loss	(171,591)	(186,983)	(376,860)
NONOPERATING REVENUES (EXPENSES)			
State appropriation	144,437	166,779	328,951
State debt service commitment for interest	18,459	20,958	39,978
Transfer to State General Fund	-	(15,000)	(15,000)
Gifts	5,503	5,810	21,168
Investment income	572	564	1,020
Interest expense	(22,408)	(25,409)	(48,824)
Other nonoperating expenses, net	(553)	(574)	(297)
Net Nonoperating Revenues	146,010	153,128	326,996
Loss Before Other Changes in Net Assets	(25,581)	(33,855)	(49,864)
OTHER CHANGES IN NET ASSETS			
State debt service commitment for principal	115,400	-	-
Capital appropriation	18,000	-	(479)
Capital grants and gifts	671	592	1,989
Disposal of property and equipment, net	(86)	(134)	(618)
Net Other Changes in Net Assets	133,985	458	892
Increase (Decrease) in Net Assets	108,404	(33,397)	(48,972)
NET ASSETS			
Net Assets-beginning of year	1,395,355	1,444,327	1,444,327
Net Assets-end of year	$ 1,503,759	$ 1,410,930	$ 1,395,355

Notes to Interim Financial Statements For the Six Months Ended December 31, 2011 and 2010 and the Year Ended June 30, 2011

1. RELATED ENTITIES

This financial report for the six months ended December 31, 2011 and 2010 and the year ended June 30, 2011 represents the transactions and balances of the University of Connecticut (University), herein defined as all programs except the University of Connecticut Health Center (Health Center). Two related, but independent, corporate entities support the mission of the University: The University of Connecticut Foundation, Inc. (Foundation) and The University of Connecticut Law School Foundation, Inc. (Law School Foundation). The Foundation raises funds to promote, encourage, and assist education and research at both the University and the Health Center, while the Law School Foundation, with similar objectives, supports only the University.. The Law School Foundation, which is organized for the benefit of the University and whose economic resources can only be used by or for the benefit of the University, is included as a component with the University with its annual report. While shown as a component unit in the June 30, 2011 financial report, it is not included with the financial statements for the six months ended December 31, 2011 and 2010. The Foundation materially supports the mission of the University and the Health Center, which are both separately audited, producing their own financial statements. Displaying the Foundation's financial statements as a component unit of either the University or the Health Center would distort its actual contribution or economic benefit to that entity, and therefore, the Foundation is not included as a component unit in the accompanying financial statements.

2. INTERIM FINANCIAL STATEMENT PRESENTATION

The Statements of Net Assets as of December 31, 2011 and 2010 and the Statements of Revenues, Expenses, and Changes in Net Assets for the six months ended December 31, 2011 and 2010 are prepared on the same basis as the June 30, 2011 statements except for the items listed below.

(1) No physical inventory of consumable supplies is completed as of December 31 and therefore the amount on the Statement of Net Assets has not been changed since June 30 of the respective years.

(2) Current student loans receivable, net is adjusted only at June 30.

(3) The fair value adjustments for endowments invested by the Foundation are adjusted only at June 30.

(4) Compensated absences are calculated only at June 30; therefore, the amount on the Statements of Net Assets for compensated absences has not changed since June 30 of the respective years.

(5) The liability, refundable for federal loan program, is adjusted only at June 30.

(6) Waived tuition related to employees and their dependents is included only at June 30 as fringe benefit cost and tuition revenue in the Statement of Revenues, Expenses and Changes in Net Assets only at June 30.

(7) Depreciation and amortization is calculated for most assets and estimated for certain assets at December 31. Since buildings are only capitalized in June of each year no depreciation has been included for any new buildings that may have been completed as of December 31.

3. PROPERTY AND EQUIPMENT

The following table describes the changes in property and equipment for the six months ended December 31, 2011 and 2010 and the year ended June 30, 2011 (amounts in thousands).

Changes in Property and Equipment for the Six Months Ended December 31, 2011:

	Balance July 1, 2011	Additions	Retirements	Transfers and other	Balance December 31, 2011
Property and equipment:					
Land	$ 14,676	$ -	$ (4)	$ -	$ 14,672
Non-structural improvements	205,766	365	-	-	206,131
Buildings	1,643,933	2,673	-	-	1,646,606
Equipment	396,841	11,557	(11,999)	-	396,399
Construction in progress	83,433	33,637	-	-	117,070
Total property and equipment	2,344,649	48,232	(12,003)	-	2,380,878
Less accumulated depreciation and amortization:					
Non-structural improvements	99,318	3,840	-	-	103,158
Buildings	619,902	27,564	-	-	647,466
Equipment	226,166	12,013	(11,736)	-	226,443
Total accumulated depreciation and amortization	945,386	43,417	(11,736)	-	977,067
Property and equipment, net:					
Land	14,676	-	(4)	-	14,672
Non-structural improvements	106,448	(3,475)	-	-	102,973
Buildings	1,024,031	(24,891)	-	-	999,140
Equipment	170,675	(456)	(263)	-	169,956
Construction in progress	83,433	33,637	-	-	117,070
Property and equipment, net:	$ 1,399,263	$ 4,815	$ (267)	$ -	$ 1,403,811

Changes in Property and Equipment for the Six Months Ended December 31, 2010:

	Balance July 1, 2010	Additions	Retirements	Transfers and other	Balance December 31, 2010
Property and equipment:					
Land	$ 14,826	$ -	$ -	$ -	$ 14,826
Non-structural improvements	196,282	993	-	-	197,275
Buildings	1,618,618	5,804	-	-	1,624,422
Equipment	428,736	9,035	(47,678)	-	390,093
Construction in progress	45,330	26,431	-	-	71,761
Total property and equipment	2,303,792	42,263	(47,678)	-	2,298,377
Less accumulated depreciation and amortization:					
Non-structural improvements	91,805	3,826	-	-	95,631
Buildings	565,315	27,713	-	-	593,028
Equipment	249,143	12,877	(47,544)	-	214,476
Total accumulated depreciation and amortization	906,263	44,416	(47,544)	-	903,135
Property and equipment, net:					
Land	14,826	-	-	-	14,826
Non-structural improvements	104,477	(2,833)	-	-	101,644
Buildings	1,053,303	(21,909)	-	-	1,031,394
Equipment	179,593	(3,842)	(134)	-	175,617
Construction in progress	45,330	26,431	-	-	71,761
Property and equipment, net:	$ 1,397,529	$ (2,153)	$ (134)	$ -	$ 1,395,242

Changes in Property and Equipment for the Year Ended June 30, 2011:

	Balance July 1, 2010	Additions	Retirements	Transfers and other	Balance June 30, 2011
Property and equipment:					
Land	$ 14,826	$ -	$ (150)	$ -	$ 14,676
Non-structural improvements	196,282	5,371	(188)	4,301	205,766
Buildings	1,618,618	15,318	(1,507)	11,504	1,643,933
Equipment	428,736	18,485	(50,380)	-	396,841
Construction in progress	45,330	53,908	-	(15,805)	83,433
Total property and equipment	2,303,792	93,082	(52,225)	-	2,344,649
Less accumulated depreciation and amortization:					
Non-structural improvements	91,805	7,701	(188)	-	99,318
Buildings	565,315	55,611	(1,024)	-	619,902
Equipment	249,143	27,023	(50,000)	-	226,166
Total accumulated depreciation and amortization	906,263	90,335	(51,212)	-	945,386
Property and equipment, net:					
Land	14,826	-	(150)	-	14,676
Non-structural improvements	104,477	(2,330)	-	4,301	106,448
Buildings	1,053,303	(40,293)	(483)	11,504	1,024,031
Equipment	179,593	(8,538)	(380)	-	170,675
Construction in progress	45,330	53,908	-	(15,805)	83,433
Property and equipment, net:	$ 1,397,529	$ 2,747	$ (1,013)	$ -	$ 1,399,263

4. LONG-TERM DEBT PAYABLE

Long-term debt activity, including refunding of debt, for the six months ended December 31, 2011 and 2010 and the year ended June 30, 2011 was as follows (amounts in thousands):

Long-term Debt Activity for the Six Months Ended December 31, 2011:

	Balance July 1, 2011	Additions	Retirements	Balance December 31, 2011	Current portion
General obligation bonds	$ 804,310	$ 211,635	$ (33,735)	$ 982,210	$ 78,660
Revenue bonds	159,290	-	(3,125)	156,165	7,250
Self liquidating bonds	2,953	-	-	2,953	781
Installment loans	150	1,840	(44)	1,946	437
Obligation under capital lease for Cogeneration	66,098	-	(1,638)	64,460	3,389
Total long-term debt	1,032,801	213,475	(38,542)	1,207,734	90,517
Premiums/discounts/debt difference due to refunding	25,849	24,663	(2,348)	48,164	3,663
Total long-term debt, net	$ 1,058,650	$ 238,138	$ (40,890)	$ 1,255,898	$ 94,180

Long-term Debt Activity for the Six Months Ended December 31, 2010:

	Balance July 1, 2010	Additions	Retirements	Balance December 31, 2010	Current portion
General obligation bonds	$ 877,492	$ -	$ -	$ 877,492	$ 73,182
Revenue bonds	164,375	-	(3,185)	161,190	5,025
Self liquidating bonds	3,793	-	-	3,793	840
Installment loans	241	-	(47)	194	89
Obligation under capital lease for Cogeneration	69,267	-	(1,567)	67,700	3,241
Campus Associates Limited Partnership loan	12	-	(12)	-	-
Total long-term debt	1,115,180	-	(4,811)	1,110,369	82,377
Premiums/discounts/debt difference due to refunding	27,956	-	(1,068)	26,888	2,045
Total long-term debt, net	$ 1,143,136	$ -	$ (5,879)	$ 1,137,257	$ 84,422

Long-term Debt Activity for the Year Ended June 30, 2011:

	Balance July 1, 2010	Additions	Retirements	Balance June 30, 2011	Current portion
General obligation bonds	$ 877,492	$ -	$ (73,182)	$ 804,310	$ 69,295
Revenue bonds	164,375	-	(5,085)	159,290	5,120
Self liquidating bonds	3,793	-	(840)	2,953	781
Installment loans	241	-	(91)	150	89
Obligation under capital lease for Cogeneration	69,267	-	(3,169)	66,098	3,314
Campus Associates Limited Partnership loan	12	-	(12)	-	-
Total long-term debt	1,115,180	-	(82,379)	1,032,801	78,599
Premiums/discounts/debt difference due to refunding	27,956	-	(2,107)	25,849	1,990
Total long-term debt, net	$ 1,143,136	$ -	$ (84,486)	$ 1,058,650	$ 80,589

5. DEFERRED INCOME

Deferred income is comprised of: tuition, fees and auxiliary enterprises revenues received in advance of services rendered for winter and spring sessions; certain restricted research grants that are not included in revenue until the funds are expended; athletic ticket sales and commitments received in advance of the season; and other revenues received but not earned.

6. CONTINGENCIES

The University is a party to various legal actions arising in the ordinary course of its operations. While it is not feasible to predict the ultimate outcome of these actions, it is the opinion of management that the resolution of the majority of these matters will not have a material effect on the University's financial statements. However, there are four outstanding matters of potential individual significance. With respect to three of these matters, the claimants seek in the aggregate of approximately $30.0 million; the State expects these matters to be resolved for less than that amount. With respect to the remaining matter, the claimant has not articulated a demand.

7. UNRESTRICTED NET ASSETS

The University adopted GASB Statement No. 35, *Basic Financial Statements – and Management's Discussion and Analysis – for Public Colleges and Universities*, for external reporting purposes, which requires net assets to be classified for accounting and reporting purposes into one of three net asset categories. Unrestricted net assets, as defined by the GASB, are not subject to externally imposed stipulations; however, they are subject to internal restrictions. For example, unrestricted net assets may be designated for specific purposes by action of management or the Board of Trustees or may otherwise be limited by contractual agreements with outside parties. Substantially all unrestricted net assets are internally designated for academic and research programs, capital programs, retirement of debt, and auxiliary enterprise activities.

MOA Between UConn and UCPEA
Re: Temporary and End-Date Employees

(Resolution was added to the Consent Agenda)

University of Connecticut
Office of the Vice President for Human Resources and Payroll

Donna B. Munroe
Vice President

February 28, 2012

TO: Members of the Board of Trustees

FROM: Donna B. Munroe
Vice President for Human Resources and Payroll

SUBJECT: Memorandum of Agreement Between the University of Connecticut and the University of Connecticut Professional Employees Association (UCPEA) Regarding Temporary and End-Date Employees

RECOMMENDATION

That the Board of Trustees approve the Memorandum of Agreement between the University of Connecticut and the University of Connecticut Professional Employees Association (UCPEA) Regarding Temporary and End-Date Employees.

BACKGROUND

The master concession agreement with the State Employees Bargaining Agent Coalition approved by the General Assembly in August of 2011 extends each state union contract through June 30, 2016 and permits up to eight non-economic issues to be negotiated over the course of the fiscal year ending June 30, 2012.

The local Concession Agreement between UCPEA and the University approved by the Board of Trustees on June 13, 2011 requires the University to develop procedures for converting certain temporary or "end-dated" employees to permanent status. In developing these procedures the parties also agreed to establish parameters for the future use of temporary appointments.

The attached Agreement provides that end-dated employees hired prior to July 1, 2011 will be converted to permanent status if they have successfully completed a probationary period and are not funded on a grant or contract. The Agreement also modifies Article 44 of the UCPEA collective bargaining agreement (titled "Temporary and End-Date Employees") to clearly define the circumstances where temporary or end-dated appointment should be used and limits the duration of such appointments to a maximum of three years.

Attachment

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MEMORANDUM OF AGREEMENT BETWEEN
THE UNIVERSITY OF CONNECTICUT PROFESSIONAL EMPLOYEES ASSOCIATION
AND
THE UNIVERSITY OF CONNECTICUT
REGARDING TEMPORARY AND END-DATE EMPLOYEES

WHEREAS, the master concession agreement with the State Employees Bargaining Agent Coalition approved by the General Assembly in August of 2011 ("2011 SEBAC Master Agreement") extends each state union contract through June 30, 2016 and permits up to eight non-economic issues to be negotiated over the course of FY '12.

WHEREAS, the Memorandum of Agreement between the UCPEA and the University of Connecticut regarding salary concessions and job security dated May 25, 2011("2011 Concession Agreement") negotiated in accordance with the 2011 SEBAC Master Agreement requires that the University establish a process for converting long-term end-dated employees with secure funding to permanent status.

NOW THEREFORE, the parties agree as follows:

1. All end-date employees covered by the job security provision of the 2011 SEBAC Agreement (those hired prior to July 1, 2011 and not funded by a grant or contract) shall be converted to permanent status on or before April 16, 2012 provided they have successfully completed a one-year probationary period. End-date appointments with split funding (50% or more on funding other than grants or contracts) shall be converted to permanent but only to the extent that the funding source is secure, and only to the same percentage of employment that is supported by the secure funding.

2. Article 44 of Collective Bargaining Agreement between the University of Connecticut and the University of Connecticut Professional Employees Association now effective through and including June 30, 2016 shall be superseded and replaced in its entirety with the following language in italics and bold below:

Article 44

TEMPORARY AND END-DATE EMPLOYEES

44.1 The use of temporary and end-dated appointments shall be limited to the following circumstances:

a. Employees who are funded by grants and contracts
b. Employees who are hired to cover positions undergoing job searches
c. Employees hired to cover for a temporary leave of absence
d. Employees hired for clearly defined and time limited special projects
e. Employees hired to cover for an employee who is serving in a temporary or interim assignment.

f. Employees hired to cover duties during a departmental reorganization or restructuring.

End-dated appointments not funded by a grant or contract shall be limited to a maximum of three years unless the UCPEA agrees in writing to an extension.

All employees hired on end-date employment authorizations who have been on the end-date appointment for a period of more than 3 years, must have their re-appointment processed 3 months prior to the end of his/her appointment.

All end-date employees who have been on end-date appointment for a period of more than 5 consecutive years shall be given a minimum of 3 months notice of non-renewal, in addition to the annual appointment letter. Individuals with five annual appointments shall be eligible for multiple year appointments.

All end-date employees who have been on end-date appointment for a period of more than 4 years and are non-renewed, shall be offered employment in the same or a similar position if such a position becomes available during the sixteen months from the date of non-renewal. Employees on the recall list must notify the University every 6 months of their desire to remain on the recall list. Failure to notify the University of continued interest shall result in the removal of the individual from the recall list. These employees shall receive notice of all UCPEA vacancies, and shall be granted an interview for all positions for which they meet the minimum qualifications. Employees offered reemployment by the University must accept such an offer within 15 days.

44.2 While end-date employees do not have permanent status, they are entitled to just cause protection for discipline after one year of employment.

The revised Article 44 shall apply to all end-date appointments made on or after July 1, 2011.

3. This agreement is subject to approval by the University of Connecticut Board of Trustees.

Agreed this 23RD day of FEBRUARY, 2012

University of Connecticut

By: _____
Susan Herbst
President, University of Connecticut

UCPEA

By: Kathleen Sanner
Kathleen Sanner
President, UCPEA

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
February 28, 2012 at 9:45 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

Revised

		ATTACHMENT LOCATION	
		COMMITTEE	**FULL BOARD**

ACTION ITEMS:

1)	Approval of the Minutes of the Financial Affairs Committee Meeting January 25, 2012 as circulated	A	
2)	Contracts and Agreements for Approval – Revised		1

PROJECT BUDGETS FOR APPROVAL:

		Phase	***Budget***	***Tab***
3)	Arjona and Monteith – Monteith Hall Renovations	Planning	$22,500,000	11
4)	Ecology and Evolutionary Biology (EEB) Greenhouse Renovations	Planning	$1,000,000	12
5)	Memorial Stadium Demolition	Planning	$800,000	13
6)	Rodent Barrier Facility	Planning	$2,400,000	14
7)	UCHC Demolition – Lower Research Campus*	Planning	$850,000	15
8)	UCHC Detention Basin Dredging*	Planning	$990,000	16
9)	UCHC Main Building Renovation, L Building Phase 1*	Revised Planning	$76,127,000	17
10)	UCHC New Construction and Renovations*	Revised Planning	$364,386,000	18
11)	Avery Point Campus Undergraduate & Library Building Phase I (Student Center)	Design	$6,800,000	19
12)	Beach Hall Renovations – Façade and Roof Repair	Final	$2,000,000	20
13)	Pedestrian Safety Improvements – Hillside Road	Final	$2,000,000	21
14)	Law School Renovations/Improvements – Starr, Chase, Hosmer and Knight Halls Building Envelope Repair	Final	$3,000,000	22
15)	UCHC Administrative Services Building (ASB) Renovations*	Revised Final	$3,265,000	23
16)	West Hartford Campus Renovations/Improvements – Electrical Switchgear Replacement	2nd Revised Final	$1,024,700	

** Related to BioScience CT*

PRESENTATION ITEMS:

17) UCONN 2000 Program

18) University IT: McKinsey & Beyond

INFORMATION ITEMS:

19) Contracts and Agreements for Information B

20) University-Wide FY11 Closeout and FY12 Six Month Update C

21) Construction Project Status Report (Under Separate Cover)

22) Master Schedule for UCONN 2000 Phases I, II & III (Under Separate Cover)

23) Financial Statement(s) Storrs and Health Center

Storrs:
http://accountingoffice.uconn.edu/accounting/PDF/2011FS.pdf

UCHC:
http://controller.uchc.edu/reports/docs/year_end_report_2011.pdf

EXECUTIVE SESSION:

24) Executive Session anticipated

PROJECT BUDGET (2nd REVISED FINAL) FOR WEST HARDFORD CAMPUS RENOVATIONS/IMPROVEMENTS – ELECTRICAL SWITCHGEAR REPLACEMENT

(RESOLUTION ADDED TO THE AGENDA UNDER THE FINANCIAL AFFAIRS REPORT)

University of Connecticut
Office of the Vice President and Chief Financial Officer

February 28, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for West Hartford Campus Renovations/Improvements – Electrical Switchgear Replacement (Revised Final - $1,024,700)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget for West Hartford Campus Renovations/Improvements – Electrical Switchgear Replacement in the amount of $1,024,700.

BACKGROUND:

The West Hartford campus receives its electrical power from Connecticut Light and Power (CL&P). The campus has experienced an increasing number of electrical power outages over the past few years and requested CL&P to provide an evaluation. The evaluation, confirmed by UConn personnel, included a recommendation to replace the University-owned electrical switchgear. The purpose of the switchgear is to provide an interface between the high voltage CL&P supply and the University campus distribution system.

The Board of Trustees previously approved a Revised Final Budget for this project based on competitive bidding. The project construction phase has proceeded as planned and has absorbed a number of changes. The remaining work to complete the project requires the replacement of an existing electrical transformer. Connecticut Light and Power (CL&P) is the public utility providing electrical power to the campus and, as such, CL&P provides construction support services relative to the primary service conductors. The previously quoted cost for this work was in excess of the original estimate provided to the University during the planning and design of the project. The additional funding will

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allow completion of the work and includes a nominal amount of contingency should any unforeseen conditions be encountered during installation of the transformer which is planned to occur over two consecutive weekends in March 2012. The attached budget provides funding for this increase and an additional contingency should any unforeseen problems arise during the final phase of this project.

The Revised Final Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **2nd REVISED FINAL**

PROJECT NAME: **WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS - ELECTRICAL SWITCHGEAR REPLACEMENT**

BUDGETED EXPENDITURES	APPROVED PLANNING 9/25/2007	APPROVED REVISED PLANNING 6/23/2009	APPROVED FINAL 1/26/2011	APPROVED REVISED FINAL 9/28/2011	PROPOSED REVISED FINAL 2/28/2012
CONSTRUCTION	$ 420,000	$ 579,000	$ 235,000	$ 655,000	$ 760,000
DESIGN SERVICES	10,000	50,000	135,000	137,500	137,500
TELECOMMUNICATIONS	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	250,000	-	-
CONSTRUCTION ADMINISTRATION	-	10,000	20,000	-	-
OTHER AE SERVICES (including Project Management)	-	20,500	20,000	34,200	34,200
ART	-	-	-	-	-
RELOCATION	-	-	-	-	-
ENVIRONMENTAL	-	-	-	6,000	6,000
INSURANCE AND LEGAL	-	5,500	5,000	2,000	2,000
MISCELLANEOUS	-	15,000	35,000	15,000	15,000
OTHER SOFT COSTS	20,000	20,000	-	-	-
SUBTOTAL	$ 450,000	$ 700,000	$ 700,000	$ 849,700	$ 954,700
PROJECT CONTINGENCY	100,000	100,000	100,000	100,000	70,000
TOTAL BUDGETED EXPENDITURES	$ 550,000	$ 800,000	$ 800,000	$ 949,700	$ 1,024,700
SOURCE(S) OF FUNDING					
UCONN 2000 PHASE III	$ 550,000	$ 800,000	$ 800,000	$ 949,700	$ 1,024,700
TOTAL BUDGETED FUNDING	$ 550,000	$ 800,000	$ 800,000	$ 949,700	$ 1,024,700

BOT 2.28.12
901286

WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS – ELECTRICAL SWITCHGEAR REPLACEMENT

Project Budget (REVISED FINAL)

2/28/2012

EXISTING SWITCHGEAR

ENVIRONMENTAL IMPACT EVALUATION (EIE) NEW CONSTUCTION AND RENOVATION PROJECT, FARMINGTON, CT

(RESOLUTION ADDED TO THE AGENDA UNDER THE BUILDINGS, GROUNDS AND ENVIRONMENT REPORT)

University of Connecticut Health Center
Bioscience Connecticut

Thomas Q. Callahan
Vice President & Strategy Officer

February 28, 2012

TO: Members of the Board of Trustees
Members of the Board of Directors

FROM: Thomas Q. Callahan TQC
Vice President & Strategy Officer, Bioscience Connecticut

SUBJECT: **ENVIRONMENTAL IMPACT EVALUATION (EIE)**
NEW CONSTRUCTION AND RENOVATION PROJECT
FARMINGTON, CT

RECOMMENDATION:

That the Board of Trustees approve the Final Environmental Impact Evaluation (EIE) for the proposed New Construction and Renovation Project at the University of Connecticut Health Center (UCHC) in Farmington. This approval is contingent upon approval of the Record of Decision by the Connecticut Office of Policy and Management (OPM), which will include consideration of substantive agency and public comments received on the Draft EIE (DEIE). OPM approval of the Record of Decision is anticipated in April 2012.

BACKGROUND:

Under the Bioscience Connecticut initiative, the University of Connecticut proposes to undertake several construction and renovation projects at the UConn Heath Center campus in the Town of Farmington, Connecticut. The proposed projects include the construction of a new hospital bed tower, a new ambulatory care complex (ACC), renovations to the original research laboratory facility, three new parking garages, additional surface parking, renovations and infrastructure improvements, and related site work.

In accordance with the requirements of the Connecticut Environmental Policy Act, (CEPA), the Environmental Impact Evaluation examines the impact of the new construction projects. In addition, the EIE examines the impact of the Jackson (JAX) Laboratories new systems genomics personalized medicine facility. As a result of collaboration agreement between the State of Connecticut and Jackson Laboratory, the

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email: tcallahan@uchc.edu

JAX lab building will be constructed on the UCHC campus, thus triggering the CEPA process for this facility.

The purpose of the projects is to meet the guiding principles identified in the 2002 UCHC Master Plan, including protection and improvement of the campus environment; improvement in patient care facilities; provision of quality educational programs and support of their continued evolution; increase in research activity; increase in ambulatory care; and efficient utilization of facilities.

A variety of alternatives were evaluated in the DEIE, including the no action alternative, off-campus development, and numerous on-campus development alternatives. The aggregate preferred alternative consists of construction of new facilities within the existing campus footprint. Figure ES-1 in Attachment A depicts the proposed facility layout.

Direct, indirect, and cumulative environmental impacts associated with the proposed development are summarized in Attachment A. Anticipated environmental impacts will be avoided or minimized through project design, permitting, and specific mitigation measures. Coordination with the regulatory agencies has resulted in agreement on measures to mitigate and minimize adverse impacts to environmental resources.

On December 20, 2011, UCHC released for circulation and review by state and local agencies and other interested parties, the DEIE for the New Construction and Renovation Project. A Notice of Availability of the DEIE was published in the December 20, 2011, January 3, 2012, and January 17, 2012 issues of the Connecticut Environmental Monitor and in the Hartford Courant on January 4, 11, and 18, 2012. A public hearing was held on January 25, 2012 to solicit public and agency comment on the DEIE. Copies of the DEIE were made available at the Farmington Public Library, the Farmington Town Clerk's Office and on the Connecticut Council on Environmental Quality website.

Comments were received during the comment period, and responses are provided in the Record of Decision included herein as Attachment B.

Based on the analysis undertaken in the preparation of the EIE for the New Construction and Renovation Project, as well as consideration of all comments received and supplemental assessment thereof, the proposed action will have no significant impact on the environment.

EXECUTIVE SUMMARY

PROJECT PURPOSE AND NEED

The University of Connecticut Health Center (UCHC) is an integrated academic medical center located in Farmington, Connecticut, approximately 10 miles west of the City of Hartford. The campus is home to the University's School of Medicine, School of Dental Medicine, Graduate School, the John Dempsey Hospital, the UConn Medical Group, UConn Health Partners, University Dentists, and research facilities. The Health Center currently employs approximately 5,000 people and generates nearly $1 billion annually in gross state product. The facility is closely linked with the university's main campus in Storrs through multiple cross-campus academic and administrative programs.

The following construction projects are proposed to be undertaken on the UCHC campus: a new hospital bed tower, a new ambulatory care complex (ACC), a new systems genomics/ personalized medicine facility, renovations to the original research laboratory facility, three parking garages, additional surface parking, renovations and infrastructure improvements, and related site work.

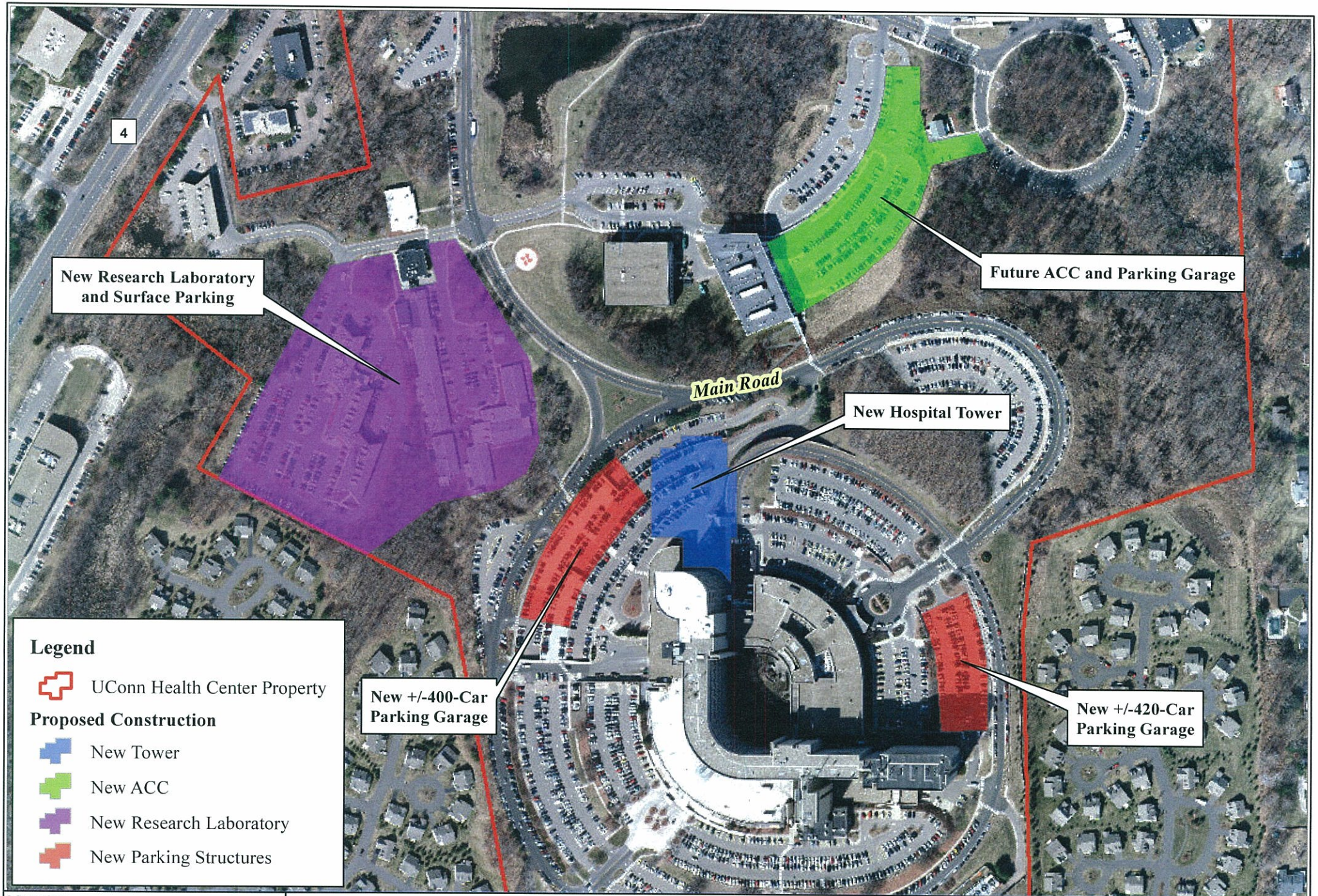
The purpose of the proposed facility expansion and renovation is to meet the following guiding principles identified in the 2002 UCHC Master Plan:

1. Protect and improve the campus environment
2. Provide for improvements in patient care facilities
3. Ensure the quality of educational programs and allow for their evolution
4. Provide for increases for research activity
5. Accommodate increases in ambulatory care
6. Foster efficient utilization of facilities

The format and content of the subject Environmental Impact Evaluation (EIE) are based upon the requirements of the Connecticut Environmental Policy Act (CEPA), Sections 22a-1 through 22a-1h of the Connecticut General Statutes (CGS), and Sections 22a-1 through 22a-1a-12 of the Regulations of Connecticut State Agencies (RCSA). State funds will be used for the design, construction, and renovation of the existing and proposed facilities, thus triggering the CEPA process. The sponsoring agency of this project is the UCHC.

ALTERNATIVES ANALYSIS

In accordance with CEPA requirements, alternatives have been analyzed for the UCHC Farmington campus. Selection of the aggregate project alternative included a several-tiered decision matrix. First, the no action alternative and a general comparison of off-site and on-site building alternatives for each project item were considered. Next, on-site alternatives were considered for the new hospital tower, new upper campus parking garages, new ACC, and new systems genomics/personalized medicine facility. Figure ES-1 presents an overview of the selected aggregate project alternative.



SOURCE:
2009 CRCOG Aerial Photo from CT ECO
University of Connecticut Health Center

N

Figure ES-1: Proposed Construction Areas

University of Connecticut Health Center
Environmental Impact Evaluation

LOCATION:
Farmington, CT

Map By: SJB
MMI#: 1958-58
MXD: P:\FigES-1Proposed.mxd
Date: December 13, 2011
Scale: 1 in = 300 ft

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EXISTING ENVIRONMENT AND ANALYSIS OF IMPACT

An inventory was conducted of the existing environment at the UCHC campus and an analysis was undertaken of potential impacts related to the proposed project. The following elements were considered:

Land Use – The proposed project is consistent with state, regional, and local land use plans and is in keeping with allowable land uses within the Town of Farmington's zoning regulations. No direct adverse impacts are anticipated to occur to land uses in the project vicinity and no inconsistencies with long-range planning strategies at the local, regional, or state levels. The proposed research laboratory, while consistent with surrounding land uses, may require a zone change and/or special permit from the local zoning board.

Socioeconomics – The proposed project is expected to have a positive impact on the local and regional socioeconomic horizon through creation of direct new employment on campus as well as indirect and induced job creation off campus. According to the Connecticut Center for Economic Analysis (CCEA), direct new employment on campus will reach about 680 by 2017. Direct, indirect, and induced employment is expected to reach 2,200 in 2019 and grow to as large as 16,400 by 2037. New job creation during the construction phase of the project is estimated to be 3,000 per year from 2012-2018 (CCEA)), including on-site construction jobs as well as off-campus and indirect jobs such as manufacture and production of building materials, equipment, furnishings, and the like. The Town of Farmington and its neighboring communities are well poised to absorb the expected increase in population and housing demand resulting from the UCHC expansion. The region already supports a population of diverse socioeconomic characteristics through a variety of housing types. Because UCHC is so easily accessible, it is anticipated that spin-off economic development will occur regionally, and thus increased population will disperse regionally.

Community Facilities and Services – The proposed project is intended to increase hospital efficiency as well as provide for an expansion of services. While the hospital will offer exceptional medical care to additional patients, the burden on local emergency services personnel is not expected to increase significantly. Rather, outpatient services, clinical services, and other non-emergency inpatient services are expected to increase.

Aesthetic and Visual Resources – The proposed facilities at UCHC are not expected to substantially change the aesthetics of the area. Viewed from off site, the most prominent feature will be the new tower, which will be similar in height and style to the existing tower, with more glass and architectural treatments. The remaining elements will be visible from points internal to the campus, with limited off-site exposure.

Public Utilities and Services – The project site is currently served by public water, sanitary sewer, storm sewer, electric, gas, telephone, and cable services. These utilities and services are believed to be adequate to serve the proposed project, with no significant adverse impacts. Improvements in stormwater management practices and energy efficiency are expected.

Cultural Resources – The land on which construction activities are proposed has been disturbed by past development, with no known sensitivity to historic or archeological resources.

Traffic, Transportation and Parking – Traffic generation and intersection capacity analysis have been evaluated under existing and future conditions, both with and without the project. The results indicate that seven of the eight study intersections would operate at an unacceptable level of service (LOS) under future peak hour conditions even without the project. The additional traffic that is expected as a result of the proposed project will represent an additional burden on these intersections. As such, mitigation is proposed. Available mitigation alternatives include signalization, lane restriping, signal timing and/or phasing improvements, roadway widening, and provision of designated turning lanes. While a set of mitigation measures have been identified to offset impacts, ultimately, the extent and type of mitigation will be dictated by the Connecticut Department of Transportation through the State Traffic Commission (STC) permitting process. Additional parking is proposed as part of this project through a combination of parking structures and surface parking. The campus-wide increase in spaces is believed to be sufficient to satisfy demand at UCHC for at least the next 10 years.

Water Resources – No direct impacts will occur to surface water or groundwater associated with the implementation of this project. The majority of proposed construction at the UCHC campus will occur in areas that are already nearly 100% impervious. Minimal aggregate increases in impervious surfaces are expected to occur as a result of the facility expansion. Therefore substantial changes in stormwater runoff volumes are not anticipated. The project provides an opportunity to improve stormwater management and water quality controls at the campus.

Flood Hazard Potential – A Federal Emergency Management Agency (FEMA) designated special flood hazard area is located in the eastern portion of the existing parking lot near the Dowling South building on campus. Any work in that area, including incidental grading, will need to comply with National Flood Protection Act requirements.

Biological Environment – The vast majority of proposed development will occur in areas that are currently paved and/or existing buildings that do not support significant biological communities. The design will strive to avoid impacts to nearby wetland and if impacts are unavoidable, they will be minimized and/or mitigated through the design process.

Physical Environment – No significant changes are anticipated to the physical environment as a result of the proposed project. Localized regrading will be necessary; however, significant modifications to area topography are not contemplated. The size and scale of proposed structures is in keeping with the existing development on campus.

Air Quality – The project will not significantly impact air quality in the town or the region. A number of new air emitting sources will be utilized; however, these will be operated in accordance with current regulations and required permit conditions. Numerous controls are proposed for minimizing short-term impacts to air quality from fugitive dust and other pollutant emissions during the construction phase of this project.

Noise Quality – Temporary noise impacts associated with the construction of the new facilities are anticipated during construction. The majority of construction activities will occur in the daylight hours to minimize noise impacts. Following construction, there will be no significant environmental noise impact generated by the proposed project.

Solid Waste and Hazardous Materials – Other than temporary construction and demolition-related impacts, minimal impacts related to solid waste and hazardous materials are expected to be associated with the renovation and expansion at UCHC. Modest increases in solid wastes are expected due to the increased patient capacity, service efficiency, and increased staff at the hospital. Such waste will continue to be removed through a private waste hauler. However, waste generation will not be significant in comparison to existing rates at the campus.

Energy Resources – Hospitals and healthcare facilities require significant amounts of energy. As with any new construction project, energy usage on the site will increase as a result of this project, particularly in regard to electricity and fossil fuel use. No renewable energy sources are currently proposed as part of this project although the use of green building designs involving glass increases the opportunity for direct solar heating. It is expected that by utilizing LEED certification-caliber energy efficiency designs, the new hospital tower will be approximately 10% more energy efficient over baseline conditions, while the new ACC and systems genomics/personalized medicine facilities will be at least 20% more energy efficient. Accordingly, the building design will minimize energy waste in an energy-intensive facility.

Cumulative Impacts – The potential for cumulative impacts was assessed for each aspect of the project environment. Positive cumulative impacts are projected through socioeconomic benefits, stormwater system improvements, and improvements to the quality of health care at the Health Center. Cumulative traffic generation and utility demands have been identified; however, significant impacts are not projected to occur.

Unavoidable Adverse Environmental Impacts – Although a goal of this project has been environmental impact avoidance, minimization, and mitigation, certain adverse impacts will be unavoidable. These are predominantly in the category of short-term construction related impacts. The project will undergo a construction phase wherein additional equipment will be utilized at the site. Mitigation measures have been identified with respect to associated short-term air and noise quality. However, a certain degree of additional truck and equipment use and access will be necessary during this time period, which is unavoidable. Potential soil erosion and sedimentation impacts have also been identified. These will be mitigated through proper construction management techniques.

Irreversible and Irretrievable Commitment of Resources – The construction of the proposed project will utilize non-renewable resources (i.e., construction supplies, fuel, etc). Since these resources can not be reused, they are considered to be irreversibly and irretrievably committed. Similarly, disposal of demolition material at a landfill and/or solid waste disposal facility will take up capacity in such facilities which is irreversible and irretrievable.

PROJECT COSTS AND BENEFITS

State funding for this project is estimated at $600M. The project is expected to secure UCHC as a top tier academic medical center with the ability to draw in top students, educators, and researchers. The expansion will result in state-of-the-art hospital beds and facilities for emergency and inpatient services that are on par with competitive models. The following specific benefits are expected to occur as a result of the construction and operation of the Health Center expansion:

- Creation of a substantial number of construction-related jobs over multiple years as well as long-term permanent jobs
- Increase in access to high quality health care and improved patient care facilities in a multipurpose medical center
- Increase in and centralization of ambulatory care
- Increase in research activity to complement and augment existing academic research
- Retention and graduation of additional physicians and dentists through quality educational programs
- Future growth of UCHC
- Strengthening and stabilization of UCHC's finances
- Protection and improvement of the campus environment
- Utilization of more energy-efficient buildings

CERTIFICATES, PERMITS AND APPROVALS

The following table presents some of the pertinent local, state, and federal regulations and statutes that may affect this project.

List of Potentially Required Construction and Operational Permits

Permit/Approval	Reviewing Authority
STC Permit	State Traffic Commission
Flood Management Certification	Department of Energy & Environmental Protection
Air Quality Permit	Department of Energy & Environmental Protection
Inland Wetlands Permit	Department of Energy & Environmental Protection
Wastewater Discharge Permit – Sanitary	Department of Energy & Environmental Protection
Wastewater Discharge Permit – Process	Department of Energy & Environmental Protection
Stormwater Permit	Department of Energy & Environmental Protection
Construction Dewatering Permit	Department of Energy & Environmental Protection
Wastewater Discharge Approval	Farmington WPCA
Certificate of Occupancy	State Building Inspector
Fire Safety Approval	State Fire Marshal

An electronic (pdf) copy of the Draft EIE was made available through the *Environmental Monitor*. Paper copies of the Draft EIE were available to the public at the Town of Farmington Town Clerk's office and at the Farmington Public Library. Individual copies of the Draft EIE were mailed to the following municipal and state agencies:

- Town of Farmington Town Planner
- Town of Farmington Town Engineer/DPW Director
- Connecticut Commission on Culture and Tourism / DECD
- Connecticut Council on Environmental Quality
- Connecticut Department of Energy and Environmental Protection
- Connecticut Department of Transportation
- Connecticut Department of Public Health
- Connecticut Office of Policy and Management

A public hearing was held on January 25, 2012. As required, the hearing was scheduled no sooner than thirty (30) days following the date of availability of the Draft EIE. Notice of both the document availability and the scheduled public hearing was published in the Hartford Courant on January 4, 11, and 18, 2012.

Documentation of EIE notification, distribution, and public hearing notification is included herein as Appendix A. A copy of the public hearing transcript is included as Appendix B.

Section 22a-1a-9 of the Regulations of Connecticut State Agencies (RCSA) requires that a sponsoring agency (in this case UCHC) review all comments submitted on its EIE and any other pertinent information it obtains following circulation of the EIE, and conduct further environmental study and analysis or amend the evaluation if it determines that such action is appropriate. In all cases, the sponsoring agency must prepare responses to the substantive issues raised in review of the EIE and forward such responses, as well as any supplemental materials or amendments and all comments received on the evaluation, to the Connecticut Office of Policy and Management (OPM). The sponsoring agency must prepare a concise public record of decision, taking into consideration its findings in the EIE and comments received, and forward it to OPM. The subject document comprises the public record of decision for this project.

2.0 RESPONSE TO PUBLIC COMMENT

2.1 SUMMARY OF COMMENTS RECEIVED

Written comments on the EIE for the proposed New Construction and Renovation Project were received by UCHC via email and U.S. Postal Service mail from the following individuals and organizations:

1. Connecticut Department of Energy & Environmental Protection – Memorandum dated February 2, 2012 from David J. Fox, Senior Environmental Analyst

2. Connecticut Department of Public Health – Letter dated February 2, 2012 from Eric McPhee, Supervising Environmental Analyst, Drinking Water Section

3. Town of Farmington – Letter dated February 2, 2012 from Kathleen A. Eagen, Farmington Town Manager; Email dated January 18, 2012 from Russ Arnold, Director/Town Engineer

4. Town of Avon – Letters dated January 25, 2012 and January 30, 2012 from Brandon Robertson, Avon Town Manager

5. Robert Fromer – Letter (with multiple attachments) dated January 27, 2012 and email dated January 30, 2012 from Robert Fromer, Environmental Consultant

6. Thomas Hettinger – Email dated January 30, 3012 from Thomas P. Hettinger, Research Associate, UConn Health Center

Verbal comments were conveyed from the following individuals at the January 25, 2012 Public Hearing, as documented in the hearing transcript:

1. Mr. Brandon Robertson, Town of Avon
2. Mr. Tom Porter, Resident
3. Ms. Judy Porter, Resident
4. Mr. John deRham, Resident

Copies of all written comments (with attachments) are included herein as Appendix C. A summary of both written and verbal comments received and responses follows.

2.2 RESPONSE TO DEPARTMENT OF ENERGY & ENVIRONMENTAL PROTECTION

1. Design and Construction Recommendations – DEEP's comments include relevant background information as well as suggestions for consideration, including the following specific guidance:

   - Consider utilizing low impact development practices.

   - Consider utilizing construction equipment with the best available controls on diesel emissions or use of other controls and equipment to reduce exhaust emissions.

   - Consider posting signs on the construction site indicating that state regulations limit idling of mobile sources to three minutes. DEEP suggests that language

could be written into project specifications directing the contractor to erect such signage.

- Remove PCB-containing caulk during planned renovations, repairs and demolition projects.

The proposed hospital tower and buildings associated with the ambulatory care complex (ACC) and research laboratory will be constructed to LEED Silver or higher standards. Use of low impact development practices and controlled air emissions during construction are consistent with such development. DEEP's comments relative to low impact development and air emissions will be passed on to the design team for consideration and incorporation into the project design. Where potential PCB-containing caulk will be disturbed during renovations, repairs, and/or demolition, testing will be undertaken prior to planned activities and any PCB-containing caulking will be properly managed and disposed of.

2. Permitting Requirements – DEEP provided numerous comments with regard to regulatory permitting.

   UCHC is in agreement with DEEP comments on this topic. The EIE narrative has been modified to provide clarification in a number of instances. Specific edits are enumerated in Section 3.0 of the subject document and individual page revisions are included in Attachment E.

3. Species of Special Concern – There are no extant populations of federally listed endangered or threatened species or species listed by the State as endangered, threatened or special concern at the development sites on campus. DEEP noted that the presence of Jefferson salamander, a species of special concern, would be unlikely to be found south of Circle Road where construction is proposed. DEEP further noted that if any Eastern box turtle, also a species of special concern, are found during construction, they should be removed to a safe location north of the road.

   UCHC is in agreement with DEEP's guidance and recommendations relative to species of special concern. Requirements will be included in project contract specifications in accordance with DEEP comments.

4. Building Demolition – DEEP notes that Building C and Building 27 have not been identified for demolition as part of the proposed project, but if the scope of the project changes, these areas would need to be closed in accordance with applicable RCRA regulations.

   Building C is the Clinic Building, located on the upper campus. While renovations are planned within this existing structure, there are no plans for its demolition. Building 27 is identified in Table 3-16 on page 3-68 of the EIE as a structure that will be

demolished prior to construction of the new system genomics research laboratory. This building, along with Building 10, also located in the lower campus, will be closed in accordance with RCRA regulations for areas that store waste for less than 90 days.

2.3 RESPONSE TO DEPARTMENT OF PUBLIC HEATH

The Department of Public Health Drinking Water Section indicated that since the project is not located in a public water supply source water area, they have no comments at this time.

2.4 RESPONSE TO THE TOWN OF FARMINGTON

The Town of Farmington initially submitted comments via email dated January 18, 2012 regarding drainage, the sanitary sewer system, and transportation. These comments were subsequently formalized in a letter from Farmington's Town Manager to UCHC covering the same topics. Responses follow.

DRAINAGE

1. Stormwater Impacts – The Town would like to insure that any impacts to the stream or stormwater storage in the area to the immediate west of the proposed research laboratory are mitigated such that there are no impacts to the system, both upstream and downstream of the proposed project.

   The area to the west of the proposed research laboratory is located upstream of the UCHC campus and therefore water drains onto the campus from off-site. No site improvements are proposed that would back water up into the storm drainage system to the west. Additionally, no changes in overflow elevation from the primary drainage pond on site are proposed. The project will undergo approval through the Flood Management Certification process administered by DEEP prior to construction. Additionally, a campus-wide Stormwater Management Plan is currently under development, also to be reviewed by DEEP. No significant increases in stormwater runoff volume are anticipated, since the majority of development will largely occur on land areas that are currently developed. As such, no impacts are anticipated in the area to the west of the proposed research laboratory.

SANITARY SEWER SYSTEM

The Town of Farmington provided a number of suggestions and requests relative to wastewater that will be generated at the new facilities, including requests to separate domestic and laboratory drains, pretreat lab discharges, separate solid waste from the sewage discharge stream, investigate and monitor H_2S levels in the sewer system, and evaluate inflow and infiltration into the system. Each of these topics is addressed below.

1. Separation of Research Laboratory Drains from Domestic Waste – It is common to separate out and pretreat process laboratory water from domestic sewage prior to discharge into the sewer collection system. Non-domestic wastewater discharges are regulated by DEEP and will require a permit prior to initiation. Such permit will specify appropriate pretreatment standards.

2. Holding Times and Elevated H_2S Levels – The holding tank at the end of Circle Road currently has extensive holding times, which can cause increased levels of H_2S. The proposed ACC development will increase flows to this portion of the system and decrease holding times, thus alleviating the existing condition.

3. Discharge of Inappropriate Waste – UCHC recognizes the inappropriateness of discharging hazardous, solid, and/or medical waste into the sanitary sewer collection system. UCHC also recognizes that the pump station that serves the campus accepts waste from a variety of off-site residential and medical facilities. The Health Center will continue to exercise preventative protocols to eliminate improper disposal of such wastes and promote policies that educate employees and visitors of the need to properly dispose of these wastes separate from the sanitary waste system.

4. Investigation of H_2S Related Deterioration – UCHC recognizes the Town's concern regarding potential deterioration in the sanitary sewer collection system. Coincident with the design of new facilities, UCHC is committed to conducting television inspection of sanitary sewers in the areas where new construction will occur.

5. Evaluation of Inflow & Infiltration – As indicated in response 4 above, television inspection will be undertaken during the design phase to identify pipe condition as well as the occurrence of significant inflow and infiltration. The results will be shared with the Town of Farmington.

TRANSPORTATION

The Town raised numerous concerns relative to traffic both on-campus and in the surrounding roadway network. Responses are provided below; however, these are offered with the understanding that the Connecticut Department of Transportation (DOT) will have the final ruling relative to the analysis and mitigation for this project via the State Traffic Commission Review (STC) and permitting process. A summary of additional analysis and requested data are included herein as Appendix D.

Scope of Study

1. Consider Evaluating Main Road at Circle Road – This intersection was analyzed as part of the internal site circulation assessment. Based on the evaluation, traffic signalization is proposed.

2. Consider Analysis of Old Mountain/Talcott Notch Road – Analysis conducted for this intersection indicates that it would require signal timing/phasing improvements, modification of the southbound lane to an exclusive right turn lane, and a through-lane and a shared through/left turn lane on Route 4. In order to minimize right-of-way (ROW) impacts, there may be a slight shifting of the two Route 4 southbound through-lanes as they traverse the intersection. The proposed geometric improvements will be subject to review and approval by the Division of Traffic Engineering of DOT.

3. Analyze the Intersection of Birdseye Road at South Road – This intersection has been analyzed and, based on the results, no physical improvements are recommended. There is, however, potential to extend the westbound left turn lane at this intersection, which will be evaluated in consultation with DOT.

4. Analyze the Intersection of South Road at Two Mile Road – This intersection has been analyzed and, based on the results, numerous improvements are proposed, including traffic signalization, exclusive right and left turn lanes on South Road southbound, an exclusive right turn lane northbound, and an exclusive left turn lane on the Colt Highway eastbound. These improvements will result in an improved level of service (LOS); however, the queues in the southbound direction will still exceed the limits of the proposed exclusive right turn. This intersection will be reviewed with DOT during the STC permitting process.

5. Analyze the Route 6/Wolf Pit Road/I-84 Ramps – This intersection has not been analyzed as part of the EIE analysis; however, UCHC recognizes that it has been the subject of local interest. Initial analyses assumed new traffic to the campus would utilize the main arterials in the area. Subsequent re-distribution accounted for local road "shortcuts." Traffic volumes at this location were not available, but the increase in traffic at this location is anticipated to be small relative to I-84 exiting traffic volumes. Consequently, mitigation at this location is not recommended at this time.

Analysis

The Town had several questions relative to the analysis methods utilized in the traffic assessment. Responses are provided below.

1. Report Existing and Proposed Queues – Queues have been generated to compare existing versus future no-build, and future build with mitigation. These are included in Appendix D.

2. Provide Intersection/Roadway Segment Level Crash Analysis – Accident data has been summarized to reflect intersection and roadway segment data. This is included in Appendix D.

3. Results of Farmington Avenue at State Route 508 – The existing conditions analysis reflects significant morning queues for westbound right turns. The PM peak has substantially less traffic, and operations at LOS B are not unreasonable. To accommodate the heavy AM peak movement, roadway widening is recommended from a single exclusive right turn lane to an exclusive double right turn lane. The limits of the double right turn will be based on more detailed engineering with specific attention paid to grading issues and the I-84 off ramp bridge abutment. Preliminary review indicates the available storage for right turners can be doubled at a minimum and can be extended to as much as 800 feet before reaching the bridge over Birdseye Road.

Trip Distribution

The Town offered local knowledge of vehicular movements and had several suggestions relative to trip generation as follows:

1. Consider Using Local Data for Trip Distribution – Employee zip code and patient data were utilized for trip distribution. Motorist interviews were not conducted, as this would involve a substantial effort and the zip code data and journey to work data provide equally dependable results.

2. Account for Vehicles Destined for Route 9 – The trip distribution utilized in the EIE focused on distributing traffic mainly via the major travel routes to the UCHC campus. This approach was conservative and assigned more traffic to the study intersections on Route 4. Based on input provided by the Town, trip distribution was revised to assign trips via some of the minor travel routes to the campus as well. The original regional trip distributions (i.e. trips from the north, south, east and west) did not change based on this latest revision. Therefore, no changes to previously outlined mitigation are proposed and no new mitigation is recommended associated with the short cut routes.

Mitigation

The Town had questions on some of the proposed mitigation measures as follows:

1. Mitigation at Farmington Avenue at State Route 508 – Review of the existing analysis reflects morning queues for westbound right turns of the magnitude indicated by the Town as reflected in their comments. To accommodate the heavy AM peak movement, widening is recommended from a single exclusive right turn lane to an exclusive double right turn lane. The limits of the double right turn will be based on more detailed engineering, with specific attention given to grading issues and the bridge over Birdseye Road. This will be conducted in concert with DOT as part of the STC permitting process. Preliminary review indicates the available storage for right turners can be doubled at a minimum and can be extended to as much as 800 feet before the bridge over Birdseye Road is affected.

2. Potential Impact on Firehouse Emergency Operations Associated with Queue at South Road at Munson – Results from the build and no-build conditions indicate that 95% queues eastbound will in fact extend beyond the firehouse driveway. Inspection of the signal plan indicates that an Opticon pre-emption system is in place at this intersection. This allows the emergency vehicle to activate preferred timing to eliminate the queue between the signal and the driveway. Vehicles beyond the driveway would react to emergency lights and sirens and therefore stop east of the driveway. Consequently, any queue that extends beyond the driveway to the east would have the same affect on emergency operations. In this specific case, there would be no exacerbation under the build conditions compared to no-build conditions. Additional improvements at the existing intersection were evaluated to address both the build and no-build conditions. Right-of-way constraints and the bridge structure over I-84 preclude any meaningful improvement.

3. Does Proposed Mitigation Address Existing Storage Inadequacy for Westbound Route 4 – Farmington Avenue at South Road – Analysis results indicate that the left turn lane does overflow. However, blockage of southbound through-traffic is minimal, since the overflowing left turn traffic backs up in the left approach lane. The through-traffic is in the right approach lane with minimal disruption from the left turners. An extension of the double left turn lane appears feasible based on available mapping. The need for and the limits of this modification will be evaluated in concert with DOT as part of the STC permitting process.

4. Roundabout at South Road at Two Mile Road – Traffic signalization is currently proposed at this location. Signalization of the intersection will significantly improve LOS, but the vehicle queues will still extend beyond the proposed right turn lane. DOT has previously evaluated a roundabout at this intersection. The volume increases associated with the new hospital tower, proposed research laboratory, and the ambulatory care complex trigger the need for a re-evaluation of the effectiveness of a roundabout. Initial analyses indicate that a one-lane roundabout would operate at a poor level of service. Evaluation of a two-lane roundabout or a hybrid one-lane roundabout allowing for free flow southbound rights (the heaviest movement that is significantly over capacity in a conventional roundabout design) will be evaluated in concert with DOT as part of the STC permitting process.

5. Need for Improvements at Main Road at Circle Drive – The intersection of Main Road at Circle Drive was analyzed as part of the internal site circulation analysis. Traffic signalization and additional travel lanes are proposed for this intersection.

6. Required Turn Lanes at New Traffic Signals – Turn lanes and new signals are proposed at Route 4 at Dowling Buildings Road and at South Road at Colt Highway/2 Mile Road.

GENERAL OBSERVATIONS

1. Systematic Approach to Moving Vehicles within the Transportation Network – UCHC will consider a number of alternate approaches to moving vehicles between the Health Center and I-84, Route 6, and Route 9. Use of satellite commuter lots, ride sharing, and alternate transportation will be explored through the design phase and STC permitting through DOT.

2. Town Role in Fire Protection – Fire protection at the UCHC campus, including the proposed research laboratory facility, will be provided by Health Center emergency personnel. No additional burden will fall on the Town of Farmington relative to fire protection of the new facilities.

2.5 RESPONSE TO THE TOWN OF AVON

Mr. Brandon Robertson, Avon Town Manager read his January 25, 2012 letter into the public hearing record. The letter, along with a supplemental letter dated January 30, 2012 was submitted. A synopsis of the comments and responses follows:

1. Project Support – The Town expressed support for the project, with recognition of anticipated benefits to Avon and the State of Connecticut.

2. Pending Transportation Projects – The Town expressed concern relating to the timing of pending transportation projects by the Connecticut Department of Transportation to address current traffic overloading problems and future increases in traffic volume. The Town is concerned about the potential for the proposed expansion at the Health Center to exacerbate traffic conditions and has requested that the Health Center team take an active interest in assisting the Town and DOT to complete traffic improvements to address both current traffic overloading and future anticipated traffic volume increases.

   UCHC support's Avon's interest in completion of the identified roadway improvement projects and is committed to working with the Town to coordinate activities at UCHC to the benefit of commuters and residents in both Avon and Farmington. UCHC must obtain approvals from DOT through the STC permitting process prior to commencement of any construction. As part of the approval process, it is anticipated that traffic mitigation activities will occur near the campus on public roadways. These are believed to be consistent with the referenced pending transportation projects.

3. Expand Analysis to include Intersections of Route 10 (Waterville Road) at Old Farms Road and Route 10 at Talcott Notch Road – These intersections are located two to three miles from the UCHC campus. As such, the amount of traffic from the proposed development will be dissipated by the time it reaches these intersections.

Specifically, less than 100 new trips per hour are projected in all directions combined at either location. A typical rule of thumb for inclusion of an intersection in an analysis network is 100 trips or more per hour.

4. Include Additional Planned Projects – As requested by the Town, EIE Section 1.5 has been expanded to include a discussion of State Projects 04-129, 04-116, and 04-118.

2.6 RESPONSE TO MR. THOMAS HETTINGER

Mr. Hettinger relayed a number of concerns associated with the planned project at UCHC. Substantive comments have been categorized by subject and are addressed below.

1. Construction Related Traffic – The EIE reports new job creation associated with construction at an estimated 3,000 jobs per year from 2012 to 2018. This number refers to jobs that are created both on- and off-campus and includes the manufacture and production of building materials, equipment, furnishings, and the like. The actual construction related traffic to the site will be a small percentage of the total number of projected jobs. Construction traffic will be evaluated during the design phase of the project and routing will be specified such that it most efficiently maintains traffic operations leading to and traveling within the campus.

2. Pedestrian Safety – All intersection modifications will be designed in accordance with the appropriate requirements for pedestrian safety. Adding designated turning lanes and signalizing intersections are not inherently dangerous to pedestrians.

3. Farmington Avenue and Route 10 Intersection – This intersection is located approximately three miles from the UCHC campus. As such, the amount of traffic from the planned development will be dissipated by the time in reaches these intersections. Less than 100 new trips per hour are projected in all directions combined at either location. A typical rule of thumb for inclusion in an analysis network is 100 trips or more.

4. Assessment of Parking Impacts – A campus-wide parking demand analysis was undertaken in the fall of 2011 by Walker Parking Consultants. The results of that study were utilized in the preparation of the EIE. Specifically, this topic is addressed in EIE Sections 3.7.5, 3.7.9. 3.7.10, and 3.7.12.

5. Siting of Jackson Laboratories – A number of off-site locations within a reasonable proximity to the Health Center were evaluated in EIE Section 2.3 for both the project in its entirety and for research laboratory as a stand-alone development. Beyond the physical constraints and lack of suitable land holdings, the proximity of the research laboratory, while not a prerequisite for many Health Center functions, is an important

and complementary function for academic and research collaborations and sharing of knowledge, equipment, and resources. The EIE alternatives analysis considered four on-site locations for the ACC facility. The same four sites were independently evaluated for the research laboratory. The site needs are similar, but not identical for the two facilities and proximity and connectivity of the ACC was a fundamental consideration in siting it. An internal traffic circulation study, conducted independent of the EIE, has evaluated traffic flow within the Health Center campus and accounts for vehicular movements to and among the various campus centers.

2.7 RESPONSE TO MR. ROBERT FROMER

In his written comments, Mr. Fromer globally asserts that the EIE is inadequate relative to analysis of the existing environment and impacts and more specifically associated with storm sewers (including surface water quality) and energy. Mr. Fromer attributes such inadequacies to funding limitations imposed upon the consultant in developing the EIE under contract to UCHC.

It is noted that UCHC retained consultant services through its standard procurement process. No funding limitations were articulated to the consultant team prior to or during contract development.

Mr. Fromer's specific comments relate to stormwater and energy. These are addressed below.

STORMWATER

1. Fromer Comment #1 – Mr. Fromer asserts that pollutant concentrations should be estimated through use of a computer model, such as the one developed by Dr. Robert DeSanto, for which Mr. Fromer submitted supporting documentation from the Connecticut Busway Project. Mr. Fromer contends that modeling results and compiled databases of other projects should be used to determine likely reductions in pollutants as a result of proposed stormwater best management practices.

   Application of the referenced DeSanto model algorithm is not an appropriate tool for this project, nor is it a scientifically proven approach to evaluating stormwater. This issue was taken up in a number of administrative and legal proceedings in Connecticut, including Fort Trumbull Conservancy LLC vs. City of New London, et al. (State of Connecticut Appellate Court) and the very recent DEEP decision by the Office of Adjudications regarding the Connecticut Busway (January 31, 2012).

   In his September 23, 2010 Articulation explaining why the case of Fort Trumbull Conservancy LLC was summarily dismissed, Judge Miller, referring to the testimony and methodology provided by Dr. DeSanto, states *". . . the problems with the*

methodologies they [the plaintiff] employed were so dramatic that it is highly unlikely that their testimony would have been permitted after a Porter hearing."

The January 31, 2012 Busway decision states *"Most of his testimony focused on how vehicles or buses pollute stormwater, however, DeSanto has no expertise in vehicular operation, the alleged source of the pollutants that would appear in stormwater. As to his assessment of pollutants, DeSanto's analytical methodology was based on data developed more than thirty years ago, and, when challenged, was not able to establish its credibility."*

2. Fromer Comment #2 – Mr. Fromer suggests that the stormwater collection and treatment system train be provided in a more detailed fashion.

   The CEPA process is intended to be an early planning mechanism that enables input from regulators, stakeholders and the interested public in the early stages of a project. Development of detailed engineering plans of stormwater collection and treatment systems are appropriate during the design phase of a project and will be required through the Flood Management Certification process administered by DEEP; however, their development at this juncture is premature.

3. Fromer Comments #3, #4, and #6 – Mr. Fromer suggests that additional background should be provided for the DEEP's B/A classification of surface waters. He further asserts that the EIE fails to establish the methodology intended to meet the state's anti-degradation policy and similarly fails to provide a mass analysis of pollutants in the existing surface waters to determine whether they have capacity to tolerate further mass loading from stormwater runoff.

   The watershed that drains to the subject watercourse is densely developed and includes a substantial land area that is outside of the UCHC campus. The EIE provides background information relative to the unnamed watercourse, its water quality classification, and potential historic and present day sources of degradation. However, the EIE focuses on the UCHC campus relative to the proposed action and its potential to cause environmental impact. It does not, nor is it required to include extensive analysis of the history of water quality within the watercourse.

   The intent and purpose of the EIE is to identify, assess, and mitigate potential significant impacts that may result from the proposed action. The land areas upon which the proposed activities will occur were originally developed in the 1960s and 1970s, absent of modern day stormwater quality controls. The proposed hospital tower development will reduce the impervious area as compared to existing conditions. The proposed ACC and genomics laboratory facilities will employ state-of-the-art stormwater management controls, which represent an improvement over existing conditions. Finally, numerous multi-level parking structures are proposed, which will expose fewer vehicles to the elements, thus reducing the interaction of

stormwater runoff with parking surfaces. Given this combination of factors, UCHC contends that this project will meet the state's anti-degradation policy and thus no further analysis of pollutant loading in the receiving watercourse is warranted.

4. Fromer Comment #5 – Mr. Fromer asserts that the detailed data and monitoring results that form the basis of referenced published documentation were omitted from the EIE and therefore, challenges the EIE's credibility. Specific reference is made to the publication entitled *Environmental Resource Inventory and Plan*, published by the Town of Farmington.

   The EIE relies on many existing data resources, including mapping, regulations, guidance documents, reports, and published scientific data. Independent verification, analysis, and inclusion of these resources and the databases upon which they are based is not warranted, is not the standard required by CEPA, and is not in keeping with the intent of the Act to convey information in a clear and concise manner.

5. Fromer Comment #7 – Mr. Fromer correctly notes that water use is reported as a percentage of the Metropolitan District Commission's (MDC's) average daily water demand and not during drought conditions.

   Safe yield of water supplies in Connecticut is evaluated for the 100-year drought condition. The MDC's safe yield is greater than its current average daily demand and therefore the projected water demand of the proposed facilities at UCHC as a percentage of current average day demand is actually greater than when evaluated against safe yield. In either case, the water demand from the proposed project is a very small percentage (less than one half of one percent) of the MDC's total supply.

6. Fromer Comment #8 – Mr. Fromer asserts that incorporating appropriate stormwater management technologies to minimize adverse impacts of runoff on surface or ground waters requires analysis of pollutant loads from origin to discharge based on removal efficiencies of best management practices technologies and a maintenance plan with dedicated funding sources.

   Incorporating appropriate stormwater management technologies does not, in fact, rely upon an analysis of pollutant loads from origin to discharge, nor is this type of analysis required by DEEP regulations, guidance documents, or permitting processes. Stormwater management technologies to be employed at UCHC will be appropriately developed during the design process and will be vetted through and comply with applicable regulatory permitting processes.

   This issue was raised in the aforementioned Connecticut Busway project for which Mr. Fromer provided documentation. The DEEP adjudicated decision states the following:

"The DOT did not prepare a pollution loading analysis for potential pollutants in stormwater runoff. Consistent with industry standards and the requirements for its application, the DOT designed stormwater treatment practices and systems where needed for the proposed Busway project in accordance with the DEEP 2004 Stormwater Quality Manual (SWQM). The SWQM, which describes various drainage systems, is the most comprehensive document that guides highway design engineers and regulatory agencies on various methods that are useful to protect the waters of the State and was used by the DOT to employ best management practices to protect wetlands and watercourses in the design of the Busway. The goal of removal of eighty percent of total suspended solids (TSS) outlined in the SWQM is the standard in the industry. No evidence was presented that challenged the reliability, basis or science underlying the SWQM."

The Decision goes on to state: *"Mr. Fromer claims that in order to protect wetlands and watercourses, the Stormwater Quality Manual (SWQM) requires the DOT to perform a "pollution load analysis" for each potential pollutant in stormwater runoff. Without such analysis, he contends that the Busway's impact upon the environment is undetermined and the application remains incomplete. Neither General Statutes §22a-41 nor its implementing regulations require such an analysis. The application was not incomplete without it."*

7. Fromer Comment #9 – Mr. Fromer suggests that the updated Stormwater Management Plan for UCHC should be included in the EIE.

   The Stormwater Management Plan, when completed, will be a public document that will support Flood Management Certification at UCHC. This document assesses stormwater quantity and flow rate and evaluates the capacity of the existing storm sewer system through complex modeling computations. Inclusion of such data and analysis in an EIE does not serve to assess the potential of the project to cause significant environmental impact.

8. Fromer Comment #10 – Mr. Fromer states that there is no 80% rule for total suspended solids removal in any statute, regulation, or guidance document.

   The reference to the 80% removal rate is consistent with a long-standing goal of DEEP. In their scoping comments for this project, DEEP states the following: *"A goal of 80 percent removal of total suspended solids from the stormwater discharge shall be used in designing and installing post-construction stormwater management measures."* This is consistent with the Busway decision quoted in response 6 above.

9. Fromer Comment #11 – Mr. Fromer asserts that DEEP requires use of the Storm Water Quality Manual (SWQM), which has been ignored in the EIE, as have inclusion of specifications for treatment measures.

The DEEP's SWQM was considered in the development of the EIE and is reflected in the narrative. The SWQM is included as one of the references in Section 7 of the EIE. Application of the SWQM and development of specifications are appropriate measures to be taken in the detailed design phase of the project. They are premature in a planning document such as an EIE.

10. Fromer Comment #12 – Mr. Fromer notes that a decrease in impervious area does not necessarily translate to a decrease in pollution and suggests again that a detailed design and analysis should be included in the EIE.

    The anticipated decrease in impervious area, combined with a decrease in exposed parking surface, is desirable with regard to stormwater quality. The type of design and analysis that Mr. Fromer suggests is part of any sound engineering design process, a process that will be undertaken for this project following completion of the EIE process and prior to construction.

11. Request for Rebuttal – In an email dated January 30, 2012, Mr. Fromer requested the opportunity for rebuttal to the responses to his comments. Mr. Fromer was notified of the date for the University committee consideration of the draft record of decision, including responses to his comments, and was provided an opportunity to address the committee. No extension to the public comment period was made.

ENERGY

12. Fromer Comments #13 & #14 – Similar to his comments relating to stormwater, Mr. Fromer suggests that an analysis be undertaken of the life cycle of energy to supply the proposed facilities. By way of example, he describes the complex process of producing a single pencil.

    The type of analysis suggested by Mr. Fromer would not provide useful information regarding the future consumption of energy by the proposed development or the potential of the project to cause significant environmental impact. Further, while quantification of energy saved by increasing efficiencies may be of interest, it is outside of the charge of the CEPA process.

2.8 RESPONSE TO MR. THOMAS PORTER

Mr. Porter identified himself as a potential resident of the O'Meara Ridge development, located adjacent to the UCHC campus. He conveyed his support for the project, indicating that the planned expansion at the Health Center will be a positive project for Connecticut; however, he had a number of specific questions regarding the development. These are summarized and responded to below.

1. Involvement of Nearby Residents – Have the Homeowner's Associations in the area been involved in the planning of this project?

   No representatives of the nearby residential developments attended the September 22, 2011 scoping meeting and no written scoping comments were received from them. A representative of UCHC did meet with residents of O'Meara Farm at their October 19, 2012 annual association meeting to explain the project.

2. Visibility of the New Parking Structure – A parking structure is proposed on the eastern portion of the campus. Will this structure be very visible? Has there been any consideration to pushing the structure further into the campus interior such that it will be less visible from the nearby O'Meara Ridge? Which garage will be constructed in the summer of 2012?

   Construction of the eastern (visitor's) garage is planned to begin in mid-2012. This garage will be three stories high, located adjacent to and east of the existing 12-story John Dempsey Hospital. A number of different locations were considered within the campus as part of the alternatives analysis. The proposed location was selected in large part due to the desired proximity of visitor parking to the existing and planned hospital towers. Visibility will depend upon the specific location within the O'Meara Ridge development. However, the size and scale of the garage will be in keeping with the existing buildings at the Health Center.

3. Blasting and Pile Driving – Is blasting or pile driving planned?

   Specific construction methods will be determined during the detailed design phase of the project; however neither extensive blasting nor pile driving are anticipated at this time.

4. Cooling Towers – The existing cooling towers are nicely screened. Will the project result in additional cooling towers? If so, will they make noise?

   No ground-level cooling towers are planned as part of the proposed construction. The cooling unit for the new hospital tower will be located on the roof.

2.9 RESPONSE TO MS. JUDY PORTER

Ms. Porter also identified herself as a potential resident of the O'Meara Ridge development. She had a number of additional questions about the project, which are summarized and responded to below.

1. Project-Generated Construction Traffic – Referring to the referenced 3,000 construction related jobs, does that mean an additional 3,000 cars will be coming to and leaving the site each day? Will that generate a significant amount of air pollution? Which intersections will the construction traffic use?

   The 3,000 construction jobs refers to jobs that are created both on- and off-campus and includes the manufacture and production of building materials, equipment, furnishings, and the like. The actual construction related traffic to the site will be a small percentage of that number. Construction traffic will be evaluated during the design phase of the project and routing will be specified such that it most efficiently maintains traffic operations leading to and traveling within the campus.

2. Cooling Towers – What is the expected noise of the cooling towers?

   As indicated above, no new ground level cooling towers are proposed and no changes are proposed to the existing towers. These operate during the summer months only.

3. Project Supervision – Will there be a daily supervisor in charge of the project who can be called if issues arise?

   Yes. The University will have a designated project manager during the construction phase.

2.10 RESPONSE TO MR. JOHN DERHAM

Mr. deRham identified himself as a long-time resident of Farmington and expressed support for the project and the facility. He indicated that the Route 4 intersections have been a long-term problem and requested that traffic at the Route 6 intersection be addressed near South Road.

As indicated above in response to comments from the Towns of Avon and Farmington, UCHC fully supports the towns and DOT in the completion of a number of roadway improvement projects and will work with these stakeholders to coordinate activities at UCHC to the benefit of commuters and residents in Avon and Farmington.

3.0 SUMMARY OF EIE REVISIONS

Numerous edits have been made to the December 2011 Draft EIE. Some of these edits are administrative in nature and serve to document the public outreach process that took place following publication of the Draft EIE. Other changes have been made in response to the written and verbal comments received. A summary of edits follows.

CONSTRUCTION STATUS REPORT

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING - FEBRUARY 28, 2012

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 02/07/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses	***Planning Budget Phase***								
	Arjona and Monteith - Monteith Hall Renovations	901719	$22,500,000	$0	P	UCONN 2000 Phase III	*02/28/12 Pending*	2017	0%
	Babbidge IT & Phone Functional Areas - Heating and Air Conditioning and Electrical Repairs	901580	$700,000	$80,369	P	UCONN 2000 Phase III - DM	01/26/11	01/31/13	0%
	Bishop Renovation - Mechanical System Improvements and Façade Modifications	901645	$2,000,000	$24,115	P	UCONN 2000 Phase III	02/22/11	11/01/12	0%
	Ecology and Evolutionary Biology (EEB) Greenhouse Renovations	201583	$1,000,000	$0	P	Departmental Funds	*02/28/12 Pending*	09/01/12	0%
	Fine Arts Building Envelope Repair	901604	$600,000	$45,730	P	UCONN 2000 Phase III - DM	01/26/11	2012	0%
	Fine Arts Phase II - Renovation and Improvements	901667	$21,600,000	$370	P	UCONN 2000 Phase III	08/03/11	2016	0%
	Historic House Renovations	901432 901668	$1,400,000	$261,010	P	UCONN 2000 Phase III - DM	09/23/08	On Hold	0%
	Intramural, Recreational & Intercollegiate Facilities - Recreation Center	901332	$3,300,000	$239,143	P	UCONN 2000 Phase III ($3.0M) University Operating Funds ($300K)	06/24/08	On Hold	0%
	Manchester Hall Renovation - Building Envelope Repair	901644	$2,000,000	$20,621	P	UCONN 2000 Phase III	02/22/11	2013	0%
	Mansfield Training School Improvements (Phase II) - Longley Renovations	901281	$1,000,000	$13,931	P	UCONN 2000 Phase III	09/25/07	2013	0%
	Memorial Stadium Demolition	201586	$800,000	$0	P	Gifts	*02/28/12 Pending*	2012	0%
	Pedestrian Safety Improvements - CUE/Gentry Corridor	901627	$1,240,350	$150,000	P	UCONN 2000 Phase III - DM	08/03/11	2013	0%
	Pedestrian Safety Improvements - Phase I	901629	$1,890,000	$159,768	P	UCONN 2000 Phase III - DM	02/22/11	2012	0%
	Practice Basketball Facility - Design	201434	$3,000,000	$1,634,206	P	Gifts Departmental Funds	09/21/10	2014	0%
	Public Safety Complex Improvements	201574	$3,000,000	$0	P	Plant Funds	08/03/11	2014	0%
	Rodent Barrier Facility	201582	$2,400,000	$0	P	Research Funds	*02/28/12 Pending*	09/01/12	0%
	Support Facility (Architectural and Engineering Services)	901390	$500,000	$0	P	UCONN 2000 Phase III	09/23/08	2017	0%
	Technology Quadrant Phase III	901661, 901662	$172,500,000	$204,466	P	State General Obligation Bonds	06/23/11	2015	0%
	Revised Planning Budget Phase								
	Atwater Façade and Window Replacement	901397	$1,900,000	$34,413	P-$650K RP-$1.9M	UCONN 2000 Phase III - DM	09/23/08 09/21/10	2013	0%
	Backfeed Loop - North Eagleville to Parking Garage to Storrs Road	901416	$300,000	$269,891	P-$700K RP-$300K	UCONN 2000 Phase III - DM	09/23/08 04/20/10	2014	0%
	Budds Building Window Replacement	901377	$1,315,000	$23,812	P-$650K RP-$1.315M	UCONN 2000 Phase III - DM	06/24/08 09/21/10	2013	0%
	Engineering Building - Planning & Design	901376	$60,500,000	$429,460	P-$1.0M RP-$2.0M RP-$2.0M RP-$60.5M	UCONN 2000 Phase III	06/24/08 02/18/10 09/21/10 08/03/11	2014	0%
	Gant Building Renovations - Phase I	901374	$2,310,000	$299,176	P-$440K RP-$1.31M RP-$2.31M	UCONN 2000 Phase III	11/23/09 02/18/10 02/22/11	2016	0%

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 02/07/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses								
Revised Planning Budget Phase (Continued)								
Old Central Warehouse	901266	$2,126,000	$125,745	P-$18.0M RP-$10.0M RP-$2.126M	UCONN 2000 Phase III	08/01/07 06/24/08 08/03/11	2013	0%
Torrey Renovation Completion & Biology Expansion	900705	$125,000,000	$866,077	P-$44.3M RP-$125.0M	UCONN 2000 Phase III	08/01/07 06/24/08	2016	0%
Design Budget Phase								
Avery Point Campus Undergraduate & Library Bldg - Phase I (Student Center)	901278	$6,800,000	$967,290	P-$9.3M RP-$6.8M D-$6.8M	UCONN 2000 Phase III	06/24/08 06/23/09 *02/28/12 Pending*	2013	0%
Mirror Lake Dredging and Related Improvements	901392	$3,400,000	$217,529	P-$3.5M D-$3.4M	UCONN 2000 Phase III - DM ($210K) University Operating Funds ($3.19M)	02/18/10 04/20/10	2012	0%
Psychology Building Renovation / Addition	901388	$22,500,000	$1,611,968	P-$20.0M RP-$22.5M D-$22.5M	UCONN 2000 Phase III	09/23/08 01/26/11 01/25/12	2014	0%
Shippee Dining Hall Renovations	201545	$3,000,000	$328,435	P D	Departmental Funds	03/23/10 01/26/11	2012	0%
Young Building Renovation/Addition	901373	$15,000,000	$1,565,338	P-$10.0M RP-$15.0M D-$15.0M	UCONN 2000 Phase III	06/24/08 11/09/10 01/25/12	2013	0%
Revised Design Budget Phase								
Mansfield Training School Improvements (Phase II) - Merritt Building	901213	$3,250,000	$370,247	P-$3.0M RP-$2.5M D-$3.3M RD-$3.3M	UCONN 2000 Phase III	09/24/06 09/25/07 06/24/08 06/10/10	2012	0%
North Hillside Road Completion	900965	$14,300,000	$1,678,328	D-$11.5M RD-$11.5M RD-$14.3M	UCONN 2000 Phase III ($8.2M) Federal Funds ($6.1M)	08/02/05 11/15/05 06/24/08	2014	0%
Final Budget Phase								
Arjona and Monteith (New Classroom Buildings)	901126	$95,000,000	$85,509,418 (A)	P-$86.1M R-$95.0M D-$95.0M F-$95.0M	UCONN 2000 Phase III	09/26/06 06/24/08 08/04/09 09/22/09	2011-West 2012-East	100% 78%
Beach Hall Renovations - Façade and Roof Repair	901646	$2,000,000	$113,790	P D F	UCONN 2000 Phase III	02/22/11 01/25/12 *02/28/12 Pending*	2012	0%
Biobehavioral Complex Replacement (Renovation)	901380	$3,000,000	$1,888,017	P-$2.0 M RP-$2.0M RP-$3.0M F-$3.0M	UCONN 2000 Phase III	06/24/08 01/26/11 08/03/11 11/10/11	2012	10%
Castleman Building Drainage Repair	901289	$550,000	$455,261	P F	UCONN 2000 Phase III - DM	09/25/07 09/28/11	2012	95%
Fine Arts Phase II - Photo Lab Ventilation Improvements	901544	$700,000	$539,886	P D F	UCONN 2000 Phase III	08/04/09 09/22/09 11/05/09	2012	99%
Law School Renovatons/Improvements - Starr, Chase, Hosmer and Knight Halls Building Envelope Repair	901188	$3,000,000	$195,315	P-$2.517M D-$2.517M F-$3.0M	UCONN 2000 Phase III	02/22/11 11/10/11 *02/28/12 Pending*	2012	0%

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 02/07/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Final Budget Phase (Continued)								
Meter Installation - Multiple Locations (Phase II)	901326	$600,000	$503,084	P D F	UCONN 2000 Phase III - DM	09/25/07 12/07/07 01/22/08	2012	96%
Meter Installation - Multiple Locations (Phase III)	901371	$600,000	$326,800	P D F	UCONN 2000 Phase III - DM	06/24/08 09/23/08 06/23/09	2012	25%
Pedestrian Safety Improvements - Hillside Road	901726	$2,000,000	$0	F	UCONN 2000 Phase III - DM	*02/28/12 Pending*	2012	0%
Replacement of Chemical House (Well Water Treatment Facility)	901320	$3,500,000	$2,915,899	P D F	UCONN 2000 Phase III - DM	09/25/07 01/12/09 11/05/09	2012	95%
Residential Life Facilities - Window Replacement - Grange Hall, Hicks Hall, Shippee Hall and Dining Facility and Northwood Complex	201555	$3,800,000	$176,048	P-$4.2M F-$3.8M	Departmental Funds	09/21/10 01/26/11	2012	0%
Small Capital Projects Fund	901693	$1,500,000	$817,544	F-$1.5M	UCONN 2000 Phase III - DM	09/28/11	2012	75%
Tie In Drum Heater on Boilers at CUP (Central Utility Plant)	901319	$104,500	$78,059	P-$600K D-$600K F-$104.5K	UCONN 2000 Phase III - DM	09/25/07 02/27/08 08/03/11	2012	0%
Torrey 1st Floor East Renovations	901572	$3,500,000	$2,860,381	P D F	UCONN 2000 Phase III - DM ($150.0K) Research Funds ($1.175M) Departmental Funds ($2.175M)	08/10/10 09/21/10 11/09/10	2012	99%
UCONN 2000 Code Remediation Towers Dormitories	201472	$620,000	$565,011	F	University Operating Funds	04/20/10	2012	99%
West Hartford Campus Renovations/ Improvements - Replace Trecker Library Air Handling Unit	901539	$525,000	$26,019	F	UCONN 2000 Phase III	06/10/10	2013	0%
Revised Final Budget Phase								
Community Professional Building Renovation - Avery Point	201543	$1,358,165	$1,099,139	P-$1.0M F-$1.0M RF-$1.35M	Research Funds - $300K Grant Funds - $700K UCONN 2000 Phase III/Grant/Research	01/26/11 04/13/11 08/03/11	2012	50%
Floriculture Greenhouse	901367	$6,070,000	$5,712,987	P-$3.0M RP-$5.0M D-$5.0M F-$5.0M RF-$6.07M	UCONN 2000 Phase III	06/24/08 09/22/09 09/21/10 02/22/11 04/13/11	2012	80%
Gant IMS Fire Alarm Replacement	201502	$1,372,000	$1,217,325	F-$1.122M RF-$1.372M	University Plant Funds UCONN 2000 Phase III - DM/Univ. Plant	06/23/09 02/22/11	2012	99%
Koons Hall Renovation/Addition - Windows, Façade & Mechanical System Analysis	901379	$1,200,000	$1,045,791	P-$1.0M D-$1.0M F-$1.0M RF-$1.2M	UCONN 2000 Phase III	06/24/08 08/10/10 09/21/10 06/23/11	2012	95%
McMahon Dining Hall Renovations	201357	$9,900,000	$8,238,019	P-$7.81M RP-$7.81M D-$8.1M F-8.6M RF-$9.9M	Departmental Funds UCONN 2000 Phase III	08/10/10 06/23/11 08/03/11 11/10/11 01/25/12	2012	0%
Northwest Quad Code Remediation	201413	$887,500	$479,308	F-$706.6K RF-$887.5K	University Operating Funds	08/21/08 08/10/10	2012	75%

Storrs and Regional Campuses

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 02/07/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses	***Revised Final Budget Phase (Continued)***								
	Storrs Hall Addition	901265	$14,825,000	$13,022,706	P-$7M RP-$14M D-$13.4M F-$13.4M RF-$14.825M	UCONN 2000 Phase III	08/01/07 06/23/09 09/21/10 02/22/11 04/13/11	2012	50%
	Water Reclamation Facility (formerly Gray Water Facility)	901229	$28,951,000	$22,057,684	P-$1.4M RP-$28.6M D-$28.9M F-$28.9M RF-$28.9M	UCONN 2000 Phase III - DM Plant Funds	06/24/08 08/04/09 02/18/10 04/20/10 01/26/11	2012	34%
	West Hartford Campus Renovations/ Improvements - Electrical Switchgear Replacement	901286	$949,700	$776,132	P -$550K RP-$800K F-$800K RF-$950K	UCONN 2000 Phase III	09/25/07 06/23/09 01/26/11 09/28/11	2012	75%
	Recently Completed Projects [1]								
	Benton State Art Museum Addition - Mechanical System Improvements	901545	$2,150,000	$1,645,444	P-$1.7M F-$1.7M RF-$2.150M	UCONN 2000 Phase III	08/04/09 04/20/10 09/21/10	2011	100%
	Bishop Renovation - Phase I	901387	$6,000,000	$0	P Cancelled	UCONN 2000 Phase III	09/23/08 04/13/11	2012	Cancelled
	Code Remediation and Renovation - Ratcliffe Hicks Arena	901352	$998,650	$851,822	P-$999.7M F-$998.6K	UCONN 2000 Phase III - DM ($9K) Departmental Funds ($988.7K)	03/23/10 06/10/10	2011	100%
	Depot Storehouse - Roof Replacement	201514	$637,000	$558,522	D F	Departmental Funds	03/23/10 06/10/10	2011	100%
	Filter Press, Installation	901368	$850,000	$764,967	P-$500K D-$850K F-$850K	UCONN 2000 Phase III - DM	06/24/08 04/21/09 06/23/09	2011	100%
	Fine Arts Phase II - Core Building	901567	$1,200,000	$534	P Cancelled	UCONN 2000 Phase III	02/18/10 08/03/11	2013	Cancelled
	Hall Dorm Ground Floor Restoration	901560	$770,000	$70,492	P	UCONN 2000 Phase III - DM	01/26/11	2012	Cancelled
	Jorgensen Renovation - Auditorium Seating Replacement	901553	$2,162,000	$2,161,118	P-$2.1M F-$2.1M RF-$2.162M	UCONN 2000 Phase III - DM ($100K) University Operating Funds ($2M) Gifts ($62K)	02/18/10 03/23/10 11/09/10	2011	100%
	Jorgensen Renovation - Phase I	901274	$1,375,000	$1,207,249	P-$1.6M D-$2.2M F-$1.375M	UCONN 2000 Phase III	09/25/07 06/24/08 04/21/09	2011	100%
	Law School Renovatons/Improvements - Knight Hall, Rm 215 - Classroom Renovation	901589	$575,000	$390,815	P D F	UCONN 2000 Phase III	06/10/10 08/03/11 09/28/11	2011	100%
	New 16" Water Main - Towers to Glenbrook and North Eagleville and Ph I Relocation of SP3 Circuit	901422	$2,300,000	$1,882,199	P-$1.06M D-$1.06M F-$2.30M	UCONN 2000 Phase III - DM	09/23/08 08/04/09 04/20/10	2011	100%
	OSFM Legacy Code Remediation	901259	$9,000,000	$8,899,102	P-$680K D-$1.190M F-$8.550M RF-$9.0M RF-$9.0M	UCONN 2000 Phase III - DM University Plant Funds University Plant Funds University Plant Funds UCONN 2000 Phase III - DM	09/23/08 04/21/09 06/23/09 04/20/10 01/26/11	2011	100%

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 02/07/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses	***Recently Completed Projects (Continued)*** [(1)]								
	Replacement of Two Water Towers	901311	$2,500,000	$2,116,979	P-$2.0M D-$2.5M F-$2.5M	UCONN 2000 Phase III - DM	09/25/07 11/18/08 04/21/09	2011	100%
	Residential Life Facilities - Connecticut Commons Complex Renovations	201554	$6,250,000	$4,523,136	P-$5.2M F-$5.0M RF-$6.25M	Departmental Funds UCONN 2000 Phase III/Dept Funds	09/21/10 01/26/11 08/03/11	2011	100%
	Residential Life Facilities - Hale and Ellsworth Elevator Replacement	201511	$2,140,000	$1,239,947	P-$1.6M D-$2.14M F-$2.14M	Departmental Funds	11/05/09 02/18/10 04/20/10	2011	100%
	Residential Life Facilities - McMahon Elevator Replacement	201512	$2,202,000	$1,221,349	P D F	Departmental Funds	03/23/10 09/21/10 11/09/10	2011	100%
	Roads, Sidewalks and Miscellaneous Repairs - Phase III	901618 901658 901659 901660	$850,000	$394,713	F	UCONN 2000 Phase III - DM	01/26/11	2011	100%
	Route 195 Sewer Line Replacement - Area 1	201558	$550,000	$317,266	P D F	University Operating Funds	11/09/10 01/26/11 04/13/11	2011	100%
	Security Upgrades Hilltop Apartments	201505	$967,000	$623,767	P-$800K D-$967K F-$967K	Departmental Funds	06/23/09 03/23/10 06/10/10	2011	100%
	Stamford Campus Garage Repair and Maintenance Program	901583	$1,962,434	$1,419,892	F-$1.96M	UCONN 2000 Phase III - DM	04/20/10	2011	100%
	Steam and Condensate Distribution System Improvements - Phase I [B]	901317 901372 901421	$4,014,537	$3,947,187	RP-$5.525M D-$5.525M F-$5.525M RF-$3.755M RF-$4.014M	UCONN 2000 Phase III - DM	02/10/09 04/21/09 03/23/10 04/20/10 09/21/10	2011	100%
	Storrs Hall Addition - Windows and Exterior Repairs	901575	$740,000	$632,031	P-$93,324 RP-$600K D-$600K F-$740K	UCONN 2000 Phase III	03/20/10 09/21/10 11/09/10 01/26/11	2011	Roof-100% Windows-100%
	Student Union Quadrangle Site Work and Landscape - Phase II	901440	$1,150,000	$1,070,429	P-$1.0M D-$1.0M F-$1.0M RF-$1.150 M	UCONN 2000 Phase III - DM	09/23/08 06/10/10 09/21/10 11/09/10	2011	100%
	University of Connecticut Fire Marshal (UCFM) Code Remediation Charter Oak Apts	201542	$1,387,000	$900,347	F	Departmental Funds-Residential Life	04/20/10	2011	100%
	University of Connecticut Fire Marshal (UCFM) Code Remediation Charter Oak Apts	901476	$537,000	$392,833	F	UCONN 2000 Phase III - DM Departmental Funds-Residential Life	04/20/10	2011	100%
	University of Connecticut Fire Marshal (UCFM) Code Remediation Gampel Pavilion Fire Alarm Replacement	901552	$2,200,000	$701,836	F	UCONN 2000 Phase III - DM	04/20/10	2011	100%
	West Hartford Campus Renovations/ Improvements - Phase I	901285	$1,400,000	$1,289,776	P-$1.5M F-$1.5M RF-$1.5M RF-$1.4M	UCONN 2000 Phase III	06/24/08 11/18/08 04/21/09 06/10/10	2011	100%
	West Hartford Campus Renovations/ Improvements - Chemistry Lab	901569	$1,469,000	$1,461,394	P-$1.3M D-$1.4M F-$1.4M RF-$1.469M	UCONN 2000 Phase III	02/18/10 06/10/10 08/10/10 09/21/10	2011	100%

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 02/07/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses	***Recently Completed Projects (Continued)*** [1]								
	West Hartford Campus Renovations/ Improvements - Replace Boilers, 1800 Asylum Avenue	901538	$850,000	$701,661	F-$525K RF-$850K	UCONN 2000 Phase III	06/10/10 04/13/11	2011	100%
	West Hartford Campus Renovations/ Improvement - Student Lounge and Office Relocation	901568	$839,000	$742,876	P-$825K RP-$750K F-$750K RF-$839K	UCONN 2000 Phase III	02/18/10 06/10/10 01/26/11 02/22/11	2011	100%
	Wood Hall Façade Repairs	901601	$1,000,000	$819,637	P-$1.7M D-$1.7M F-$1.0M	UCONN 2000 Phase III - DM	09/21/10 01/26/11 06/23/11	2012	100%
Health Center	***Planning Budget Phase***								
	Ambulatory Care Center (ACC)	11-042	$203,000,000	$0	P P	UCHC SECURED PRIVATE FUNDING	TBD 01/25/12	2015	0%
	CLAC Renovation	901133	$14,000,000	$1,249,247	P P	UCONN 2000 Phase III	*(05/17/06)** 06/20/06	TBD	0%
	CT Simulator, High Dose Radiation (HDR) Renovations	10-059	$2,250,000	$49,000	P P	UCHC Capital Budget	(06/13/11)* 06/23/11	TBD	0%
	Demolition - Lower Research Campus	12-010	$850,000	$0	P P	UCHC Capital Budget	*(03/05/12 Pending)** *02/28/12 Pending*	2013	0%
	Dental School Renovation; Grasso Phase III - 24/7 Student Lab	10-053	$485,000	$110	P P	UCHC Capital Budget	(06/13/11)* 06/23/11	TBD	0%
	Detention Basin Dredging	08-602.01	$990,000	$36,585	P P		*(03/05/12 Pending)** *02/28/12 Pending*	TBD	0%
	Fire Alarm System Upgrades	10-068	$706,000	$624,923	P P	UCONN 2000 Phase III - DM	(06/13/11)* 06/23/11	TBD	0%
	Main Building Exterior Façade Joint Sealant Replacement	08-603.01	$3,300,000	$123,742	P P	UCONN 2000 Phase III - DM	*(06/09/08)** 06/24/08	TBD	0%
	Support Building Addition/Renovation	901327	$7,800,000	$85,979	P P	UCONN 2000 Phase III	*(07/16/07)** 08/01/07	On Hold	0%
	Revised Planning Budget Phase								
	Main Building Renovation L Building, Phase 1	901134	$76,127,000	$6,772,862	P-$75.0M P-$75.0M RP-$76.127M RP-$76.127M	UCONN 2000 Phase III	*(05/17/06)** 06/20/06 *(03/05/12 Pending)** *02/28/12 Pending*	2015	0%
	New Construction & Renovations	901590	$364,386,000	$19,966,023	P-$332.0M P-$332.0M RP-$364.386M RP-$364.386M	UCONN 2000 Phase III Other Non-State Funds UCHC Capital Funds	*(06/07/10)** 06/10/10 *(03/05/12 Pending)** *02/28/12 Pending*	2017	0%
	Design Budget Phase								
	Cage Processing Facility Renovations	10-050	$9,340,000	$2,140,786	P P D D	NIH Grant Funds UCHC Capital Budget	*(04/12/10)** 04/20/10 *(06/13/11)** 06/23/11	06/2013	0%

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 02/07/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Health Center	***Final Budget Phase (Continued)***								
	Dowling South Chiller Replacement	09-019	$690,000	$32,928	F F	UCHC Capital Budget	*(01/07/10)** 02/10/10	On Hold	0%
	Main Building Cooling System, Chiller #1, #2 *& #3 Replacement*	09-603.02	$4,945,000	$4,401,712	P-$1.350M P-$1.350M RP-$5.330M RP-$5.330M D-$5.330M D-$5.330M F-$4.945M F-$4.945M	UCONN 2000 Phase III - DM	*(06/08/09)** 06/23/09 *(02/14/11)** *01/26/11* *(06/13/11)** 06/23/11 *(09/12/11)** 09/28/11	04/2012	60%
	Munson Road Renovation and Expanded Parking	05006770	$4,350,000	$4,239,390	P-$3.2M P-$3.2M D-$4.4M D-$4.4M F-$4.4M F-$4.4M	UCHC Capital Budget	*(05/17/06)** 06/20/06 *(07/16/07)** 08/01/07 *(09/17/07)** 09/25/07	2012	99%
	Revised Final Budget Phase								
	Administrative Services Building (ASB) Renovations	10-054	$3,265,000	$2,898,708	P-$3.9M P-$3.9M F-$3.9M F-$3.9M RF-$3.265M RF-$3.265M	UCHC Capital Budget	*(04/12/10)** 04/20/10 *(06/07/10)** *06/10/10* *(03/05/12 Pending)** *02/28/12 Pending*	05/2012	50%
	Recently Completed Projects [(1)]								
	Academic Building (Bldg A) Roof Replacement	07-601.10	$1,050,000	$680,744	P -$1.15M P -$1.15M D-$1.050M D-$1.050M F-$725K F-$725K	UCONN 2000 Phase III - DM	*(06/09/08)** 06/24/08 (06/08/09)* 06/23/09 *(04/04/11)** 04/13/11	07/30/11	100%
	Catheterization Lab Equipment Replacement & Renovations	08-031	$2,530,000	$2,170,800	P P D D F F	UCHC Capital Budget Departmental Funds	*(04/12/10)** 04/20/10 *(09/13/10)** 09/21/10 *(11/08/10)** 11/09/10	04/2011	100%
	Datacenter Infrastructure Improvements, Phase 1	09-603.01	$2,055,000	$1,937,970	P-$1.8M P-$1.8M D-$2.055M D-$2.055M F-$2.055M F-$2.055M	UCONN 2000 Phase III - DM	*(06/08/09)** 06/23/09 *(01/07/10)** 02/18/10 *(04/12/10)** 04/20/10	04/2011	100%

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 02/07/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Health Center	***Recently Completed Projects (Continued)*** [(1)]								
	Dental School CONNcept Clinic Renovation *[formerly GP3 Clinic]*	10-070	$1,000,000	$785,383	P-$1.40M P-$1.40M F-$1.0M F-$1.0M	UCHC Capital Budget	*(04/12/10)** 04/20/10 *(04/03/11)** 04/13/11	07/30/11	100%
	Dental School Renovation (Orthodontics Renovation)	901526	$2,290,000	$78,468	P P	UCONN 2000 Phase III - $1.8M Departmental Funds- $454K	*(01/07/10)** 02/18/10		Withdrawn

CM@R = Construction Manager at Risk
DB = Design Build
DBB = Design - Bid - Build
TBD = To be determined
P = Planning Budget
RP = Revised Planning Budget
D = Design Budget

RD = Revised Design Budget
F = Final Budget
RF = Revised Final Budget
EI = Environmental Impact
C = Complete
SC = Substantial Completion

* *University of Connecticut Health Center Board of Directors Reviewed and Recommended for Board of Trustees Approval*

[(1)] Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments.

[A] Expenditures occurred before July 1, 2005. For all capital projects for which planning commences after July 1, 2005, and for which expenditures are projected to equal or exceed $500,000, the "three-stage" budgets (planning, design and final) will be presented to the Board of Trustees for approval.

[B] The project budget incorporates three projects 1) Infirmary/CUP Steam & Condensate Replacement (Glenbrook Rd to CUP); 2) South Campus Steam and Condensate Loop; and 3) Steam Pit Replacements - combined into project budget: Steam and Condensate Distribution System Improvements-Phase I *(BOT 02.10.09)* .