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2012 June 28 -- Agenda and attachments

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University of
Connecticut

Board of



TRUSTEES

**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

June 28, 2012

BOARD OF TRUSTEES SCHEDULE OF THE DAY

9:00 a.m.	Financial Affairs Committee Meeting (Budget Workshop)
11:00 a.m.	Call to Order, followed by Executive Session (Closed Session)
11:30 a.m.	Board of Trustees Meeting (Public Session)

BOARD MEETING AGENDA

Call to order at 11:00 a.m.

EXECUTIVE SESSION

1. Executive Session anticipated.
2. Close Executive Session.

OPEN SESSION

Reconvene in Open Session at 11:30 a.m.

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Board recognition
 - (c) Minutes of the meeting of April 25, 2012
 - (d) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based programs and the Health Center (Attachment 1)
 - (2) Board meeting schedule for 2013 (Attachment 2)
3. President's Report
 - (a) Item requiring Board discussion and approval:
 - (1) Memorandum of Agreement between the University of Connecticut and the University of Connecticut Chapter of the American Association of University Professors (AAUP-Storrs) Regarding Non-Tenure Track Faculty (Attachment 3)

4. Academic Affairs Committee Report

- (a) Report on Committee activities

5. Financial Affairs Committee Report

- (a) Report on Committee activities

- (b) Items requiring Board discussion and approval:

Budget Items:

- | | | |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| (1) | Spending Plan for Fiscal Year 2013 for the University of Connecticut, Storrs and Regional Campuses | (Attachment 4) |
| (2) | State Appropriation Current Services Request for the Biennium Fiscal Years 2014 and 2015 for the University of Connecticut, Storrs and Regional Campuses | (Attachment 5) |
| (3) | UCONN 2000 Fiscal Year 2013 Capital Budget | (Attachment 6) |
| (4) | Fiscal Year 2013 Deferred Maintenance/Code/ADA Renovation Lump Sum Project List | (Attachment 7) |

Bond Allocations:

- | | | |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| (5) | Revised Allocation of Bond Authorizations as set forth in the Sixteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) | (Attachment 8) |
| (6) | Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture (University of Connecticut General Obligation Bonds) | (Attachment 9) |
| (7) | Eighteenth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds | (Attachment 10) |

Project Budgets:

- | | | |
|------|---------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| (8) | Project Cancellation – Project Budget (Planning) for Mansfield Training School – Longley Renovation | (Attachment 11) |
| (9) | Project Budget (Planning) for the University of Connecticut Health Center Anechoic Chamber Relocation | (Attachment 12) |
| (10) | Project Budget (Planning) for the University of Connecticut Health Center UConn Medical Group (UMG) – Clinical Space Fit-Out, Storrs Center | (Attachment 13) |
| (11) | Project Budget (Planning) for the University of Connecticut Health Center New Construction and Renovation – Clinic (C) Building Renovations | (Attachment 14) |
| (12) | Project Budget (Revised Planning) for the University of Connecticut Health Center CT Simulator, High Dose Radiation (HDR) Renovations | (Attachment 15) |
| (13) | Project Budget (Design) for the University of Connecticut Health Center Ambulatory Care Center (ACC) | (Attachment 16) |

- (14) Project Budget (Design) for the University of Connecticut Health Center CLAC Renovation (Attachment 17)
- (15) Project Budget (Design) for the University of Connecticut Health Center Detention Basin Dredging Maintenance (Attachment 18)
- (16) Project Budget (Design) for the University of Connecticut Health Center Main Building Renovation; Lab (“L”) Building Renovations – Project 1 (Attachment 19)
- (17) Project Budget (Final) for Babbidge IT and Phone Functional Areas – Heating, Ventilation and Air-Conditioning and Electrical Repairs (Attachment 20)
- (18) Project Budget (Final) for the University of Connecticut Health Center Fire Alarm System Upgrades – Phase I (Attachment 21)
- (19) Project Budget (Revised Final) for Pedestrian Safety Improvements – Hillside Road (Attachment 22)

Policies:

- (20) Delegation of Authority to Approve Leases for the Technology Incubation Program (TIP) in Specified Circumstances (Attachment 23)
- (21) Tuition Discount Policy (Attachment 24)

6. Health Center Report

- (a) Report on Health Center activities
- (b) Items requiring Board discussion and approval:
 - (1) Spending Plan for Fiscal Year 2013 for the University of Connecticut Health Center (Attachment 25)
 - (2) State Appropriation Current Services Request for the Biennium Fiscal Years 2014 and 2015 for the University of Connecticut Health Center (Attachment 26)
 - (3) Capital Spending Plan for Fiscal Year 2013 for the University of Connecticut Health Center (Attachment 27)
- (c) Informational item:
 - (1) Board of Directors Recognition (Attachment 28)

7. Joint Audit and Compliance Committee Report

- (a) Report on Committee activities

8. Buildings, Grounds and Environment Committee Report

- (a) Report on Committee activities

9. Construction Management Oversight Committee Report

- (a) Report on Committee activities

10. Student Life Committee Report

- (a) Report on Committee activities

11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Naming Recommendation for the Philip E. Austin Building (Attachment 29)
 - (2) Naming Recommendation for the John W. Rowe Center for Undergraduate Education (Attachment 30)
 - (c) Development Progress Executive Summary (Attachment 31)
12. Special Committee on Compensation Report
 - (a) Report on Committee activities
13. Executive Session anticipated.
14. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.*

CONTRACTS AGREEMENTS
FOR APPROVAL
JUNE 28, 2012

PROCUREMENT CONTRACTS - NEW FOR APPROVAL

BEVERAGE POURING RIGHTS AND RELATED ATHLETIC SPONSORSHIP AGREEMENT

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Coca-Cola Refreshments USA, Inc. d/b/a The Coca-Cola Bottling Sponsor of New England	UC-12-KJ091611	\$6,841,070	07/01/12-06/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	Exclusive Beverage Sponsorship/Revenue Agreement to provide Coca-Cola products for sale, distribution and sampling at all campus locations where refreshments are sold. This is the result of a publicly advertised RFP. The term of this contract is for five (5) years with an option to extend for two (2) additional two-year terms. Revenue streams include sponsorship fees, commissions, fountain sales and vending sales.

NATURAL GAS CONTRACT

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Connecticut Natural Gas Corporation	6133-CNG	\$36,000,000	09/01/12-08/31/14	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	Contract for the purchase of natural gas for heating, cooling and power generation for the Central Utilities and Cogeneration plants for the Storrs campus and Health Center. The term of this contract is for two (2) years with an option to extend for four (4) additional one-year terms. Gas will be purchased at market prices pursuant to the University's hedging program. Transportation costs to be negotiated annually based on market conditions. Resubmitted to reflect corrected contract terms. Contract value remains the same.

PROFESSIONAL EDUCATIONAL SERVICES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Academic Centers Abroad, LLC	UC-KA083012	\$1,449,050	08/30/12-06/30/13	Auxiliary Services	Ross Lewin PhD, Executive Program Director	For the University's Florence, Italy study abroad program at the Institute for Fine and Liberal Arts at the Palazzo Rucellai for the 2012 - 2013 Academic Year and 2013 Summer Program. Academic Centers Abroad provides student housing, field trips and will administer payments of tuition at the host institution, used for study and project purposes, for all student participants.

WOMENS BASKETBALL LOCAL TELEVISION BROADCAST RIGHTS AGREEMENT

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Sterling Entertainment Enterprises, LLC	UC-12-KJ100711	\$9,250,000	07/01/12-06/30/16	Multiple Sources	Warde Manuel, Director of Athletics	Revenue Agreement to provide annual production and distribution of women's basketball game broadcasts in exchange for rights fee on SportsNet New York (SNY) network. This is the result of a publicly advertised RFP. The term of this contract is for four (4) years with an option to extend for two (2) additional one-year terms.

PROCUREMENT AMENDMENTS

A/V SERVICES, SUPPLIES AND EQUIPMENT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	HB Communications	UC-07-KJ110106	\$1,500,000 [Contract Previously Approved at \$14,911,577; Total New Contract Amount \$16,411,577]	03/15/07-12/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$14,308,607	\$3,182,807	\$2,496,904	Master Agreement for the purchase of all University A/V services, equipment and supplies. Initial term 3/15/07-12/31/07 with eight (8) one (1) year extensions. Amend to increase by \$1,500,000.00 for additional expenses through 12/31/12.

CONTRACTS AGREEMENTS
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CATERING SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	KHR dba A Step Above Catering	UC-KA050709-8	\$765,000 [Contract Previously Approved at \$1,040,000; Total Contract Amount \$1,805,000]	08/17/09-08/31/14	Auxiliary Services	Warde Manuel, Director of Athletics	\$1,195,000	\$345,000	\$350,000	Provide catering services to the Division of Athletics in support of varsity sporting events and other special events at multiple on-campus athletic locations. University Contract# UC-KA050709-8. This is the result of a publicly advertised RFP. The term of this contract is for one year with four (4) one year extensions. Amend to increase \$765,000 (\$610,000 projected expenditure; \$155,000 corrective reporting adjustment) and exercise third of four extensions.
CHEMICALS CONTRACT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Chemical Solutions, Inc.	UC-10-B995962-1	\$500,000 [Original Contract Amount: \$903,645; Total New Contract Amount \$1,403,645]	10/19/09-06/30/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$903,645	\$491,818	\$257,427	Contract for the purchase of snow and ice control chemicals for the University facilities. Multiple award contract resulting from RFP process. The term of this contract is for one year with five (5) one year extensions. Amend to increase \$500,000 (\$500,000 projected expenditure; \$903,645 corrective reporting adjustment) and exercise third of five extensions.
CONSULTING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Van Scoyoc Associates	UC-08-SA080307	\$100,000 [Contract Previously Approved at \$937,876; Total New Contract Amount \$1,037,876]	01/01/08-12/31/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$804,003	\$258,714	\$180,814	To assist University in increasing its current level of federal funding and grant opportunities for research, academic and institutional activities. Amend contract to increase \$100,000 through the fifth and final extension.
ELECTRICAL SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Standard Electric, Inc.	UC-10-PL021710-1	\$4,000,000 [Contract Previously Approved at \$1,000,000; Total New Contract Amount \$5,000,000]	07/01/10-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,189,380	\$895,489	\$1,147,034	University contract for the purchase of electrical equipment and supplies for the maintenance, repair and operation of University facilities. Multiple award contract resulting from RFP process. Initial three (3) year term with one (1) option for an additional three (3) year term. Amend to increase \$4,000,000 (\$1,810,620 projected expenditure; \$2,189,380 corrective reporting adjustment).

**CONTRACTS AGREEMENTS
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ELEVATOR SERVICE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Otis Elevator Company	UC-10-PL022709	\$2,000,000 [Contract Previously Approved at \$2,000,000; Total New Contract Amount \$4,000,000]	07/01/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,840,637	\$563,813	\$875,464	Provide service, maintenance and repairs to elevators at all University locations. Amend to increase \$2,000,000 (\$1,159,363 projected expenditure; \$840,637 corrective reporting adjustment) and extend for one year.
FOOD CONTRACTS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Consumer Product Distributors Inc. dba J Polep Distribution Services	UC-09-KJ061507-8	\$100,000 [Contract Previously Approved at \$700,000; Total New Contract Amount \$800,000]	03/01/08-02/28/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$585,713	\$165,566	\$143,320	Contractor will supply dining services with convenience retail package food and supply items for the convenience stores on campus. Amend contract to increase \$100,000 through the fourth and final extension.
2	Sysco Food Service	UC-KA-032910-8	\$14,800,000 [Contract Previously Approved at \$15,700,000; Total New Contract Amount \$30,500,000]	07/01/10-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$18,500,000	\$8,400,000	\$0	Provide grocery and frozen foods for the Department of Dining Services for student meals, catering, etc. University Contract# UC-KA032910-8. This is the result of a publicly advertised RFP. The term of this contract is for one year with four (4) one year extensions. Amend to increase \$14,800,000 (\$12,000,000 projected expenditure; \$2,800,000 corrective reporting adjustment) and exercise second of four extensions.
FURNITURE CONTRACTS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	OFI formerly known as Solidus	UC-03-B092302-5	\$350,000 [Contract Previously Approved at \$2,245,000; Total New Contract Amount \$2,595,000]	05/01/03-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,345,000	\$300,000	\$280,000	Exclusive contract for purchase of system office furniture for all University locations. Annual master contract of convenience for office furniture. Contract UC-03-B092302-5. This is the result of a publicly advertised RFP. Amend to increase \$350,000 (\$250,000 projected expenditure; \$100,000 corrective reporting adjustment) and exercise sixth of eight extensions.

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HEALTH INSURANCE, BILLING, AND COLLECTION										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Public Consulting Group, Inc.	UC-09-KJ020209	\$200,000 [Contract Previously Approved at \$539,000; Total New Contract Amount \$739,000]	05/10/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$500,973	\$0	\$0	Contractor will provide a comprehensive, fully supported turnkey system to bill third parties for clinical services provided by Student Health Services. Amend contract to increase \$200,000 through the third of five extensions.
HVAC EQUIPMENT, SUPPLIES & CONTROLS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	FW Webb Company	UC-08-B974159-1	\$700,000 [Original Contract Amount: \$1,847,351; Total New Contract Amount \$2,514,830]	11/08/07-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,847,351	\$309,048	\$255,451	University contract for the purchase of HVAC equipment, supplies and controls for the maintenance, repair and operation of University facilities. Multiple award contract resulting from RFP process. Initial one and one-half (1.5) year term with four (4) options for an additional one(1) year term. Amend to increase by \$700,000 (\$700,000 projected expenditure; \$1,847,351 corrective reporting adjustment) and exercise final extension.
LANDSCAPE SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	The Brickman Group LTD., LLC	UC-07-KJ122606-1	\$1,200,000 [Original Contract Amount: \$1,999,982; Total New Contract Amount \$3,199,982]	04/01/07-12/31/12	Operating Fund-General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$1,999,982	\$716,872	\$775,399	Landscape services for the spring clean-up, lawn maintenance, (including mowing, trimming, etc.), fall clean-up and additional services as needed for the Storrs and Mansfield depot campus locations. Initial one (1) year term with four (4) options for an additional one(1) year term. Amend to increase by \$1,200,000 (\$1,200,000 projected expenditure; \$1,999,982 corrective reporting adjustment).
LONG TERM DISABILITY INSURANCE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Prudential Insurance	UC-09-SA110308	\$865,000 [Contract Previously Approved at \$1,950,000; Total New Contract Amount \$2,815,000]	07/01/09-06/30/13	Multiple Sources	Aliza Wilder, Director of Human Resources	\$1,924,104	\$648,361	\$620,792	Provide Long Term Disability Insurance to employees enrolled in the ARP retirement program. The initial term is for three years with three (3) possible one (1) year extensions. Amend to exercise \$865,000.00 for the first of three extensions.

CONTRACTS AGREEMENTS
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MECHANICAL SYSTEMS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	New England Mechanical Services, Inc.	UC-10-PL020609	\$5,578,018 [Contract Previously Approved at \$2,000,000; Total New Contract Amount \$7,578,018]	07/01/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$4,578,018	\$1,394,145	\$2,234,857	Contract to provide service maintenance and repairs to mechanical systems for all University locations. Initial two (2) year term with four (4) options for an additional one(1) year term. Amend to increase \$4,000,000 (\$3,000,000 projected expenditure; \$2,578,018 corrective reporting adjustment) and exercise second of four extensions.
2	Titan Mechanical Contractors, Inc.	UC-10-PL020609	\$600,000 [Original Contract Amount: \$1,619,117; Total New Contract Amount \$2,219,117]	07/01/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,619,117	\$275,185	\$1,133,463	Contract to provide service maintenance and repairs to mechanical systems for all University locations. Initial two (2) year term with four (4) options for an additional one(1) year term. Amend to increase \$600,000 (\$600,000 projected expenditure; \$1,619,117 corrective reporting adjustment) and exercise second of four extensions.
3	Tradesmen of New England, LLC	UC-10-PL020609	\$700,000 [Original Contract Amount: \$780,050; Total New Contract Amount \$1,480,050]	07/01/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$780,050	\$275,185	\$386,650	Contract to provide service maintenance and repairs to mechanical systems for all University locations. Initial two (2) year term with four (4) options for an additional one(1) year term. Amend to increase \$700,000 (\$700,000 projected expenditure; \$780,050 corrective reporting adjustment) and exercise second of four extensions.
MILLWRIGHT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Lydon Company, LLC	UC-10-B992661-1	\$500,000 [Original Contract Amount: \$624,104; Total New Contract Amount \$1,124,104]	07/01/09-12/31/12	Operating Fund-General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$624,104	\$171,934	\$112,080	Contract to provide Power Plant and Infrastructure millwright services including all equipment, materials and labor for the Central Utility Plant and Co-Gen Facility. Initial two (2) year term with one (1) year extension. Amend to increase \$500,000 (\$500,000 projected expenditure; \$624,104 corrective reporting adjustment) and extend term through 12/31/12.
MRO SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	MSC Industrial Supply Co., Inc.	UC-07-KJ050407-1	\$800,000 [Original Contract Amount: \$768,227; Total New Contract Amount \$1,568,227]	07/01/07-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$768,227	\$244,017	\$166,011	Contract for the purchase of maintenance, repair and operation supplies for the University facilities. Initial two (2) year term with two(2) options for an additional two (2)year extension. Amend to increase \$800,000 (\$800,000 projected expenditure; \$768,227 corrective reporting adjustment) and exercise one (1) year extension.

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ON-CALL ARCHITECT AND ENGINEERING SERVICES - 009.5										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	BL Companies	009.5-1/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$33,100	\$11,100	\$22,000	Professional Services On-Call Contract for Architect and Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	edm CT, Inc.	009.5-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$218,759	\$72,763	\$44,030	Professional Services On-Call Contract for Architect and Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	Fletcher Thompson. Inc.	009.5-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$43,200	\$0	\$0	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	URS Corporation	009.5-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,400	\$0	\$3,400	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL CIVIL ENGINEERING SERVICES - 010										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	BSC Group Connecticut Inc.	010-01/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$211,447	\$34,012	\$92,500	Professional Services On-Call Contract for Civil Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	BVH Integrated Services, Inc.	010-02/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$94,303	\$46,470	\$11,600	Professional Services On-Call Contract for Civil Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

CONTRACTS AGREEMENTS
FOR APPROVAL
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ON-CALL CIVIL ENGINEERING SERVICES - 010 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
3	Milone & MacBroom, Inc.	010-07/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$182,475	\$14,175	\$108,800	Professional Services On-Call Contract for Civil Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	URS Corporation	010-10/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$126,345	\$24,680	\$101,665	Professional Services On-Call Contract for Civil Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL FIRE PROTECTION AND FIRE ALARM SYSTEM SERVICES - 070										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Arup USA, Inc.	070-1/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$13,090	\$0	\$0	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	BVH Integrated Services, Inc.	070-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$5,800	\$5,800	\$0	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	Code Consultants, Inc.	070-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$58,500	\$0	\$58,500	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL FIRE PROTECTION AND FIRE ALARM SYSTEM SERVICES - 070 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
4	Consulting Engineering Services	070-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,000	\$0	\$0	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
5	Hughes Associates, Inc.	070-6/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$36,470	\$3,175	\$33,295	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL FOOD SERVICE FACILITIES DESIGN SERVICES - 080										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Rica Newmark & Associates; Robert Rippe Associates, Inc.	080-3/1.5/06/30/12	\$0 [Contract Previously Approved at \$750,000; Total New Contract Amount \$750,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Professional Services On-Call Contract for Food Service Facilities Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Bruner/Cott & Associates	080-1/1.5/06/30/12	\$0 [Contract Previously Approved at \$750,000; Total New Contract Amount \$750,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$57,000	\$0	\$57,000	Professional Services On-Call Contract for Food Service Facilities Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	Livermoore Edwards & Associates	080-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$750,000; Total New Contract Amount \$750,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$30,580	\$0	\$30,580	Professional Services On-Call Contract for Food Services Facilities Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL INTERIOR DESIGN CONTRACTS - 008										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Cama	008-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$59,750	\$50,000	\$0	Professional Services On-Call Contract for Interior Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Paul B. Bailey Architects, LLC	008-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$31,450	\$0	\$14,450	Professional Services On-Call Contract for Interior Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL INTERIOR RENOVATIONS ARCHITECT SERVICES - 009.3										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Fletcher Thompson, Inc.	009.3-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$108,159	\$18,780	\$79,604	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Newman Architects, Inc.	009.3-3/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$254,723	\$9,504	\$235,745	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	URS Corporation	009.3-9/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$41,128	\$19,928	\$21,200	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL INTERIOR RENOVATIONS ARCHITECT SERVICES - 009.3 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Wank Adams Slavin Associates LLP	009.3-10/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$26,000	\$0	\$0	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL MECHANICAL, ELECTRICAL AND PLUMBING DESIGN SERVICES - 040										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	BVH Integrated Services, Inc.	040-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$547,893	\$335,183	\$193,710	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Diversified Technology Consultants, Inc.	040-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$59,785	\$32,285	\$27,500	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	Fuss & O'Neill, Inc.	040-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$99,198	\$10,506	\$67,300	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	Kohler Ronan, LLC	040-6/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$15,640	\$0	\$15,640	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL MECHANICAL, ELECTRICAL AND PLUMBING DESIGN SERVICES - 040 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
5	Silver/Petrucelli & Associates	040-7/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$29,993	\$6,400	\$0	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
6	URS Corporation	040-8/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$39,100	\$4,000	\$35,100	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
7	van Zelm Haywood & Shadford, Inc.	040-9/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$81,360	\$0	\$34,260	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL STRUCTURAL ENGINEERING SERVICES - 012										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	BVH Integrated Services, Inc.	012-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$8,500	\$0	\$0	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Fuss & O'Neill, Inc.	012-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$18,401	\$10,001	\$8,400	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL STRUCTURAL ENGINEERING SERVICES - 012 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
3	Kaestle Boos Associates, Inc.	012-6/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$76,790	\$0	\$76,790	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	Macchi Engineers, LLC	012-7/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$74,000	\$26,200	\$45,300	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
5	Purcell Associates	012-8/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$42,913	\$41,313	\$1,600	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL WINDOW, ROOF AND MASONRY FAÇADE ARCHITECT SERVICES CONTRACTS - 009.1										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Hoffman Architects, Inc.	009.1-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$43,950	\$25,350	\$18,600	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Kaestle Boos Associates	009.1-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$122,328	\$15,800	\$25,911	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL WINDOW, ROOF AND MASONRY FAÇADE ARCHITECT SERVICES CONTRACTS - 009.1 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
3	Martin A. Benassi, AIA Architects, LLC	009.1-6/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$175,333	\$2,700	\$145,533	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	Simpson Gumpertz & Heger	009.1-7/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$291,550	\$138,500	\$48,450	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
5	Wiss Janney Elstner Associates, Inc.	009.1-8/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$190,930	\$119,030	\$48,700	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
PLUMBING EQUIPMENT AND SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	The Granite Group	UC-10-PL021810	\$2,500,000 [Contract Previously Approved at \$1,000,000; Total New Contract Amount \$3,500,000]	07/01/10-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,871,604	\$857,108	\$0	Contract for the purchase of plumbing equipment and supplies for the maintenance, repair and operation of University facilities. Amend to increase \$2,500,000 (\$1,628,396 projected expenditure; \$871,604 corrective reporting adjustment).
SNOW AND ICE CONTROL SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	B&W Paving and Landscaping, LLC	UC-10-B996241-1	\$750,000 [Original Contract Amount: \$1,422,399; Total New Contract Amount \$2,172,399]	07/01/10-06/30/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$1,422,399	\$590,141	\$463,500	Contractor to provide snow and ice control services on the Storrs and Mansfield Depot campuses. Initial one (1) year term with the option of four (4) additional one year terms. Amend to increase \$750,000 (\$750,000 projected expenditure; \$1,422,399 corrective reporting adjustment) and exercise third of four extensions.

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TRASH SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Willimantic Waste Paper Company Inc.	UC-09-PL061308	\$3,000,000 [Contract Previously Approved at \$3,000,000; Total New Contract Amount \$6,000,000]	12/01/08-11/30/14	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$3,406,589	\$930,897	\$1,001,496	Contract to provide trash and recycling services at the Storrs, Mansfield Depot and Avery Point campuses Initial three (3) year term with the option of one (1) three year term. Amend to increase \$3,000,000 (\$2,593,411 projected expenditure; \$406,589 corrective reporting adjustment) and to exercise final contract term.
UTILITY PLANT SERVICES CONTRACT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	APComPower Inc. and ALSTOM Power Inc.	UC-08-KJ120307-1	\$0 [Contract Previously Approved at \$1,150,000; Total New Contract Amount \$4,450,000]	09/21/09-06/30/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$466,850	\$101,354	\$205,496	Contractor will provide support services relating to power plant operations for the Central Utility Plant and Co-Gen Facility. Third of four amendments to extend the contract for an additional twelve (12) months. Contract value remains the same.
2	Array Systems LLC	UC-08-SA042007-1	\$2,500,000 [Contract Previously Approved at \$1,105,000; Total New Contract Amount \$3,605,000]	08/01/07-10/31/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$2,287,704	\$412,375	\$463,172	Contract to provide infrastructure upgrades for Programming, Maintenance and Installation of electrical instrumentation and distributed control systems for Central Utility Plant and Co-Gen Facility. Amend to increase \$2,500,000 (\$1,317,296 projected expenditure; \$1,182,704 corrective reporting adjustment) and extend contract for an additional three (3) months.
3	New England Water Utility Services	UC-11-PL040210	\$2,400,000 [Contract Previously Approved at \$600,000; Total New Contract Amount \$3,000,000]	07/27/10-08/31/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$1,063,960	\$564,117	\$0	Contract to provide management and operation services of University's water systems. Initial One (1) year term with the option of six (6) additional terms. Amend to increase \$2,400,000 (\$1,936,040 projected expenditure; \$463,960 corrective reporting adjustment) and exercise second of six extensions.
4	Nalco Company	UC-09-PL092408	\$1,100,000 [Contract Previously Approved at \$900,000; Total New Contract Amount \$2,000,000]	01/01/09-12/31/12	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$1,130,864	\$304,557	\$337,647	Contract to provide water treatment services, equipment, supplies and consulting for all campus locations. Initial three(3) year term with the option to extend for an additional three (3) term. additional terms. Amend to increase \$1,100,000 (\$869,136 projected expenditure; \$230,864 corrective reporting adjustment) .

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LEASES

UNIVERSITY AS LESSOR

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Arcanatura, LLC	\$16,200	08/01/12-09/30/14	Auxiliary Services	Alexandria Roe, Director of University Planning	Amendment and renewal of TIP lease. Lease of space not to exceed 310 square feet of space in Building 19, and 500 square feet of space in the Marine Science Building, both located on the grounds of the University of Connecticut - Avery Point Campus, Groton, Connecticut. Rent will be at \$20.00 per square foot, increasing on October 1st each year. Lessee to continue to participate in the University Technology Incubator Program (TIP).
2	FreeThink Technologies, Inc.	\$6,050	07/01/12-6/30/13	Auxiliary Services	Alexandria Roe, Director of University Planning	New TIP lease for current TIP tenant, relocating from the Storrs Campus to the Avery Point Campus. Lease of space not to exceed 275 square feet of space in Building 19 located on the grounds of the University of Connecticut - Avery Point Campus, Groton, Connecticut. Rent will be at \$20.00 per square foot. Lessee to continue to participate in the University Technology Incubator Program (TIP).
3	Metrocrops, LLC	\$5,796	08/01/12-07/31/13	Auxiliary Services	Alexandria Roe, Director of University Planning	Renewal of TIP lease for the period of 8/1/2012 - 7/31/2013. Lease of space not to exceed 414 square feet of office and laboratory space in the Longley Building on the grounds of the University of Connecticut - Depot Campus, Mansfield, Connecticut. Rent will be at \$14.00 per square foot. Lessee to continue to participate in the University Technology Incubator Program (TIP).
4	Optoelectronics Systems Consulting, LLC	\$2,481	07/01/12-06/30/13	Auxiliary Services	Alexandria Roe, Director of University Planning	Renewal of TIP lease for the period of 7/1/12 - 6/30/13. Lease of space not to exceed 121 square feet of space located in the Longley Building on the grounds of the University of Connecticut - Depot Campus, Mansfield, CT. Rent will be at \$20.50 per square foot. Lessee to continue to participate in the University Technology Incubation Program (TIP).
5	TRH Therapeutics, LLC	\$6,600	07/01/12-06/30/13	Auxiliary Services	Alexandria Roe, Director of University Planning	Renewal of TIP lease for the period of 7/1/12 - 6/30/13. Lease of space not to exceed 300 square feet located in the Advanced Technology Laboratory on the grounds of the University of Connecticut - Storrs Campus. Rent will be at \$22.00 per square foot. Lessee to continue to participate in the University Technology Incubation Program (TIP).
6	Tri-Star Blimpie V, LLC	\$46,900	08/01/06-07/31/13	Auxiliary Services	Alexandria Roe, Director of University Planning	First Amendment to lease to adjust the amount of the Common Area Maintenance (CAM) fees due during the months of June, July, August and January, and an increase in the threshold for percentage of sales payments. The annual rent due under the current terms is \$50,400; the proposed adjustment decreases this amount by \$3,500. Tenant occupies space in the building known as the Student Union Building on the Storrs campus, Storrs, Connecticut. Rent will continue at \$2,200 per month.

UNIVERSITY AS LESSEE

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Connecticut Children's Medical Center	\$74,070	07/01/12-07/30/13	Operating General-Fund	Dr. MacNeil, Dean School of Dental Medicine	One year contract renewal for use of facilities and services in support of the pediatric dentistry practices. The total contract includes rent of \$74,070 and medical and office staff time, x-ray processing and pharmacy charges of \$503,192.
2	Leyland Storrs, LLC	\$602,230	07/01/12-06/30/28	Operating General-Fund	Amanda Hopkins Tirrell, COO UMG and Anne Diamond, COO	Lease for property located at Storrs Center Mansfield, CT in order to provide "Medical Services" within the New Storrs Town Center Project. The Medical Services will include an Urgent Care Center Family Medicine, Occupational Medicine and specialty medical components. This is a fifteen year lease with (2) five year options and includes Common Area Maintenance Charges (CAM).
3	Primary Care Physicians of Central Connecticut	\$39,500	07/01/20-07/30/13	Operating General-Fund	Amanda Hopkins Tirrell, COO UMG	Lease for property located at 7 Washington Street, Plainville CT. Exclusive use of this space for 10 four-hour sessions per week for cardiology and echocardiography services. This cost includes the services of both a medical assistant and a receptionist.
4	Tolland County Agricultural Center, Inc.	\$49,994	07/01/12-06/30/17	Operating General-Fund	Bonnie Burr, Assistant Director, Cooperative Extension System	Lease of 2,841 square feet of the John Elliott Building located at 24 Hyde Avenue, Vernon, CT 06066 for use by the College of Agriculture and Natural Resources Cooperative Extension program.

ATTACHMENT 2

UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES
PROPOSED MEETING SCHEDULE
2013

Date	Board Meeting Time *	Location
Wednesday, January 30, 2013 <i>(Reserve date)</i>	11:00 a.m.	South Campus Storrs
Wednesday, February 27, 2013	11:00 a.m.	South Campus Storrs
Thursday, March 28, 2013 <i>(Reserve date)</i>	11:00 a.m.	South Campus Storrs
Wednesday, April 24, 2013	11:00 a.m.	South Campus Storrs
Wednesday, May 29, 2013 <i>(Reserve date)</i>	11:00 a.m.	South Campus Storrs
Wednesday, June 26, 2013	9:00 a.m. – 1:00 p.m.	South Campus <i>Annual Budget Workshop</i>
Wednesday, July 24, 2013 <i>(Reserve date)</i>	11:00 a.m.	South Campus Storrs
Wednesday, August 7, 2013	11:00 a.m.	South Campus Storrs
Wednesday, September 25, 2013	11:00 a.m.	South Campus Storrs
Wednesday, October 23, 2013 <i>(Reserve date)</i>	11:00 a.m.	South Campus Storrs
Wednesday, November 13, 2013	11:00 a.m.	South Campus Storrs
Wednesday, December 11, 2013 <i>(Reserve date)</i>	11:00 a.m.	South Campus Storrs

ATTACHMENT 3



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and
Chief Financial Officer

RE: Memorandum of Agreement between the University of Connecticut and the University of Connecticut Chapter of the American Association of University Professors (AAUP-Storrs) Regarding Non-Tenure Track Faculty

RECOMMENDATION:

That the Board of Trustees approve the Memorandum of Agreement Between the University of Connecticut and the University of Connecticut Chapter of the American Association of University Professors (AAUP-Storrs) Regarding Non-Tenure Track Faculty.

BACKGROUND:

The statewide concession agreement with the State Employees Bargaining Agent Coalition (SEBAC) approved by the General Assembly in August of 2011 extends each state union contract through June 30, 2016 and permits individual bargaining units to negotiate up to eight non-economic issues over the course of the fiscal year ending June 30, 2012. In accordance with this framework, the University and the Storrs Chapter of the AAUP agreed to re-open and negotiate one issue, Article 13 of the AAUP collective bargaining agreement. This article concerns terms and conditions of employment for certain members of the AAUP bargaining unit that are not in the tenure-track.

The proposed agreement expands coverage of Article 13 to faculty members with "In-Residence" titles and Lecturers that are not funded by a grant or contract. In current practice, these titles are often hired with secure funding and an expectation of a continuing or indefinite association with the University. These faculty members provide significant teaching and service to the University but are not expected to perform extensive research or scholarship. The proposed agreement allows covered members to receive multi-year appointments following a lengthy series of successful annual appointments and

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renewals. It also provides certain due process and appeal rights for discipline or dismissal decisions. The disciplinary procedures, however, are considerably less burdensome and time consuming than what had previously existed in the former Article 13.

The full text of the agreement is attached along with a summary of key provisions.

Attachments

MEMORANDUM OF AGREEMENT BETWEEN

THE UNIVERSITY OF CONNECTICUT CHAPTER OF THE
AMERICAN ASSOCIATION OF UNIVERSITY PROFESSORS
AND
THE UNIVERSITY OF CONNECTICUT

REGARDING NON-TENURE TRACK FACULTY

WHEREAS, the master concession agreement with the State Employees Bargaining Agent Coalition approved by the General Assembly in August of 2011 ("2011 SEBAC Master Agreement") extends each state union contract through June 30, 2016 and permits up to eight non-economic issues to be negotiated over the course of FY '12; and

WHEREAS, the Memorandum of Agreement between the University of Connecticut Chapter of the American Association of University Professors ("AAUP") and the University of Connecticut (collectively the "Parties") regarding salary concessions and job security dated June 3, 2011 ("2011 Concession Agreement") negotiated in accordance with the 2011 SEBAC Master Agreement required the parties to renegotiate Article 13 of the AAUP collective bargaining agreement, Members of the Unit Not In A Tenure Track, to consider including other faculty titles (unless funded by grants or contracts) provided the dismissal for cause and other language in Article 13 could be simplified and streamlined; and

WHEREAS; these negotiations also required modifications to Article 26, Temporary Employees;

NOW THEREFORE, the Parties agree as follows:

1. *Article 13 of Collective Bargaining Agreement between the University of Connecticut and the AAUP now effective through and including June 30, 2016 shall be superseded and replaced in its entirety with the following:*

ARTICLE 13
MEMBERS OF THE UNIT
NOT IN A TENURE TRACK

13.1 This article pertains to employees in the following titles: Academic Assistant, Extension Professor, Associate Extension Professor, Assistant Extension Professor, Extension Instructor, Lecturer, Coach, Trainer, Specialist I, II, III, IV, and all faculty with In-Residence titles.

13.2 Probationary Period for Bargaining Unit Members Not in a Tenure Track

- A. New bargaining unit members not in a tenure track shall serve a one-year probationary period. In the event the probationary employee is dismissed before the end of his/her probationary period, he/she shall receive one month's notice or pay in lieu thereof.

- B. Following the completion of the probationary year, bargaining unit members not in tenure track shall be eligible for one-year appointments up to a maximum of five (5) such one-year appointments. Beginning the seventh year, or prior to the seventh year upon recommendation of the department and approval by the dean, reappointed members of the bargaining unit shall receive multi-year contracts of between three (3) and five (5) years in duration. Commencing with the first multi-year contract: subsequent appointments shall be for three (3) or more years, nonrenewal shall be grievable according to the procedures and standards for dismissal for cause, and notice shall be afforded according to the schedule listed in 13.5 below.
- C. Unless otherwise specified in this paragraph, neither the dismissal of the bargaining unit member during his/her probationary period nor the non-renewal of the bargaining unit member prior to receiving a multi-year appointment shall be grievable under any article of this agreement, or under the University of Connecticut *Laws and By-Laws*, (Revised April 25, 2012) of the University. Dismissal or non-renewal of a bargaining unit member following successful completion of at least three consecutive years of service shall be grievable under Article 5 (Non-Discrimination) only. In such cases, the AAUP shall have the burden to demonstrate that the non-renewal violated Article 5.

13.3 Evaluation System The parties agree that the purpose of an evaluation system is to ensure the quality of job performance and to inform decisions regarding reappointment and promotion in rank.

13.4 Evaluation Procedures

- 1. All formal evaluations shall be conducted in accordance with procedures developed by each school or college. Evaluation procedures shall be in writing and shall not solely rely upon student evaluations. Schools and colleges shall establish and publish such evaluation procedures on or before December 31, 2012. Subsequent changes in such procedures shall also be published.
- 2. Written evaluations shall be shared with the bargaining unit member within fourteen (14) calendar days of the time they are completed. The bargaining unit member shall sign the evaluation solely for the purpose of acknowledging that he/she has read it and shall be given a copy for his/her records.
- 3. An employee shall have the right to append a response to his/her evaluation.

13.5 Notice of Termination In the event of non-continuation of a program or bona fide fiscal constraints, bargaining unit members not in tenure track positions shall be entitled to notice of termination or salary in lieu thereof according to the following schedule:

- A. Probationary employees shall receive one (1) month's notice;
- B. After one (1) year of non-probationary employment: three (3) months' notice;
- C. After continuous non-probationary employment of between two (2) and five (5) years: six (6) months' notice;
- D. After receiving a multi-year contract: ten (10) months' notice.

Dismissal for cause is not subject to the above schedule.

13.6 Head Coaches and Assistant Coaches

The following applies only to sports which hire both head coaches and assistant coaches and substitutes for section 13.2 and 13.5 of Article 13 which would no longer apply to head coaches and assistant coaches:

- A. Head coaches and assistant coaches may be hired and/or renewed for multiple year contracts. However, in no case may an assistant coach be hired or renewed for a term longer than the head coach in his/her sport.
- B. In those instances where a head coach has a five-year appointment, the assistant coaches in that sport may be appointed to varying employment terms which coincide with the employment term of the head coach. When a head coach leaves for any reason before the end of his/her contract, assistant coaches may be terminated. The following notice periods apply: employees with less than one year's service shall receive three months' notice or pay and health care benefits in lieu of notice; for employees with over one year's service, six months' notice or pay and health care benefits in lieu of notice.

13.7 Academic Assistants

Academic Assistants shall be entitled to leaves with pay according to the following schedule:

- A. Annually twenty two (22) days maximum for vacation will be taken at a time mutually agreed to by the supervisor and the employee.
- B. Legal holidays as enumerated below:
New Year's Day, Martin Luther King Day, Lincoln's Birthday, Washington's Birthday, Good Friday, Memorial Day, Fourth of July, Labor Day, Columbus Day, Veterans' Day, Thanksgiving Day, Christmas.
- C. If a holiday falls on a day when a person is expected to be on duty, he/she will earn a compensatory day off to be taken at a time mutually agreed to by the supervisor and the employee.
- D. **Sick Leave** Decisions concerning sick leave for personal illness will be handled by the administration in conformity with the *University Laws and By-Laws*, (Revised April 25, 2012) Section XIV.L.4. Employees may be required to provide an acceptable medical certificate. There will be no accruals of sick leave or vacations beyond the end dates of a grant or contract.
- E. Effective August 23 of each year of the contract, each Academic Assistant shall be credited with one day of personal leave to be taken as needed for the conduct of personal business or religious observance. As much advance notice as possible will be given to the supervisor or manager when personal leave is taken. Leave not taken will be neither accrued nor compensated.

13.8 Dismissal Or Non-Renewal of A Multi-Year Appointment for Just Cause

(This section is applicable to non-probationary employees only): The parties wish to encourage open communication between administrators and faculty and agree that, whenever possible, problems should be resolved informally before these procedures are initiated.

The parties agree that, except for serious misconduct, dismissal of a non-probationary employee or non-renewal of an employee following a multi-year appointment should occur only as the final step in a progressive disciplinary system and each instance of misconduct shall be judged solely on its own factual merits. The level of proof shall be a preponderance of the evidence. The parties acknowledge that the principles of academic freedom as provided in Article 3 apply to tenure-track and non-tenure track faculty members.

- A. Discipline, dismissal, and non-renewal of a multi-year appointment shall be for just cause such as:
 - 1. Neglect of assigned responsibilities;
 - 2. Insubordination or serious noncompliance with the University of Connecticut *Laws and By-Laws*, (Revised April 25, 2012), the Code of Ethics for Public Officials (Chapter 10 of the Connecticut Statutes), or with University, State, or Federal Regulations governing research or NCAA rules and regulations;
 - 3. The use of fraud, collusion, concealment, or misrepresentation of a fact material to obtaining employment with the University and/or obtaining tenure, promotion, salary increase, or other benefit;
 - 4. Sexual harassment, serious misconduct, or other conduct which impairs the rights of students or other employees;
 - 5. Repeated, documented failures to meet generally-accepted satisfactory standards of job performance based on written evaluations conducted in accordance with Article 13.4 above.
- B. Procedures to be followed for written warning, reprimands, dismissal, demotion in rank and/or salary, suspension without pay or non-renewal following a multi-year appointment.
 - 1. The bargaining unit member shall receive in writing a statement of the reasons for the action being recommended.
 - 2. Within seven (7) calendar days of receiving the written statement (B.1), the bargaining unit member may request a hearing before his/her Dean or Director or designee with an AAUP representative present, should the staff member so desire. This hearing shall be held within seven (7) calendar days of the employee's request.
 - 3. Within seven (7) calendar days of receiving the recommendation in B.2 above, the bargaining unit member shall have the right to appeal to the Provost or his/her designee. At such appellate hearing, the staff member shall have the right to be represented by the AAUP.
 - 4. The decision of the Provost or designee to demote, suspend without pay or dismiss may be appealed to arbitration on the merits under Article 10 of this agreement. Warnings, reprimands, and other less severe discipline shall be grievable to through steps B.2 and B.3 above but shall not be grievable to arbitration.
- C. Immediate Suspension and Loss of Salary:
 - 1. If the University judges that the grounds for dismissal or discipline require the immediate suspension of the bargaining unit member, the suspension shall be with pay until the hearings described in B.2 and B.3 above have taken place.
 - 2. In the event the discipline involves the loss of salary and the decision is appealed to arbitration, the salary shall not be withheld until after the arbitration decision or four (4) months from the initiation of the discipline at B.4, whichever is sooner.

- D. The procedures outlined above for discipline or dismissal supersede Sections XIV, G, H, and S of the University of Connecticut *Laws and By-Laws*, (Revised April 25, 2012).
- E. The parties agree that whenever the PTR procedures are used for promotion and/or reappointment for employees not in tenure track (13.4), they should not be used to deal with issues of misconduct which are more appropriately dealt with under the disciplinary procedures. In no case shall the substantive outcome of the PTR process be construed as falling under this Article.

13.9 In cases where the non-probationary bargaining unit member claims that his/her procedural rights under 13.4 of this article have been violated, the final decision may be appealed only on procedural grounds under the terms of Article 10 of this agreement.

2. *The first paragraph of Article 26 of Collective Bargaining Agreement between the University of Connecticut and the AAUP now effective through and including June 30, 2016 shall be superseded and replaced in its entirety with the following:*

ARTICLE 26 TEMPORARY EMPLOYEES

This article refers to the titles listed below:

Research Professor, Associate Research Professor, Assistant Research Professor, Research Instructor, Clinical Professor, Associate Clinical Professor, Assistant Clinical Professor, Clinical Instructor, Visiting Professor, Visiting Associate Professor, Visiting Assistant Professor, Visiting Instructor, Adjunct Faculty, Associate Research Scientist, Associate Research Scholar, Research Scientist, Research Scholar, Senior Research Scientist, Senior Research Scholar.

Note: For the purpose of signifying the temporary nature of any title in the bargaining unit or that an appointment is funded by a grant or contract, the word "visiting" may precede the title. Temporary "visiting" appointments, unless funded by a grant or contract, shall be limited to a maximum duration of three (3) years. If a visiting appointment is converted to a continuing appointment covered by Article 13, up to three (3) years prior service as visiting shall be credited to eligibility for multi-year appointments.

3. *The Parties agree to work in good faith to properly transition titles of affected bargaining unit members between Articles 26 and 13 (and vice versa) of the Collective Bargaining Agreement in a manner which accurately reflects the intent of the language changes agreed to in this Memorandum. The University shall, no later than October 15, 2012, develop and share with the AAUP an "Article 13/26 Transitional Roster" which names, as of the date of this Agreement, all affected bargaining unit members and indicates their relevant years of service, correct title going forward, previous title(s), if different, and proposed reappointment status for 2013-14. This Roster shall inform the actual*

appointment letters, properly reflecting new or continuing titles and employment terms for the covered employees, to be generated in spring of 2013.

4. The Parties agree that the University shall properly credit past service for bargaining unit members covered by Articles 13 and 26 regardless of any transition in title that may occur as a result of this Agreement.

5. Any disputes regarding the implementation of this Agreement shall be resolved according to the grievance process in Article 10 of the Collective Bargaining Agreement.

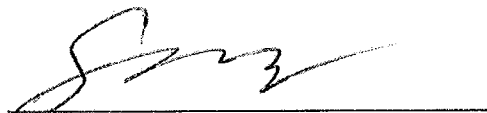
6. This Agreement is subject to approval by the University of Connecticut Board of Trustees and the AAUP Executive Committee.

Agreed this 16th day of May, 2012

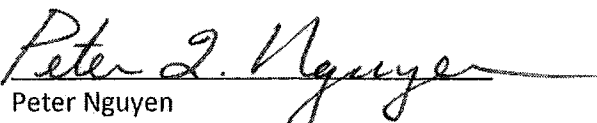
University of Connecticut

AAUP

By:


Susan Herbst
President, University of Connecticut

By:


Peter Nguyen
Director, AAUP





University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray 
Executive Vice President for Administration and Chief Financial Officer

Lysa Teal 
Associate Vice President of Finance and Budget

RE: Spending Plan for Fiscal Year 2013 for the University of Connecticut, Storrs & Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the Spending Plan for Fiscal Year 2013 of \$1,054.9 million for the University of Connecticut, Storrs and Regional Campuses.

BACKGROUND:

The Fiscal Year 2013 Spending Plan includes \$1,053.9 million of revenue, including state support of \$292.5 million, to cover \$1,054.9 million in expenses and transfers, yielding a net loss of \$1.0 million. Over the years, the Research Fund balance for use by principal investigators, deans and department heads has grown. It is expected that \$1.0 million of the prior years' accumulation of funds will be spent down in FY13.

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University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Lysa Teal
Associate Vice President of Finance and Budget

RE: State Appropriation Current Services Request for the Biennium Fiscal Years 2014 and 2015 for the University of Connecticut, Storrs & Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a Current Services State Appropriation Request for the Biennium Fiscal Years 2014 and 2015 as follows: \$214.9 million for Fiscal Year 2014 and \$227.2 million for Fiscal Year 2015 for the Storrs-based program.

BACKGROUND:

The FY 2014 Current Services State Appropriation Request is \$214.9 million. The University is requesting Collective Bargaining funding for settled contracts of \$8.4 million, New Building Openings Operating and Maintenance (O&M) funding of \$0.4 million, and Retirement Program Payout funding of \$0.5 million. Also, the University has budgeted \$91.7 million for Current Services fringe benefits, which are not appropriated directly to the University. The FY 2014 Current Services State Appropriation Request represents an increase over the FY 2013 level of \$9.3 million.

The FY 2015 Current Services State Appropriation Request of \$227.2 million is predicated upon the FY 2014 Current Services State Appropriation request of \$214.9 million. In addition, the University is requesting Collective Bargaining funding for settled contracts of \$11.4 million, and New Building Openings Operating and Maintenance (O&M) funding of \$0.9 million. Also, the University has budgeted \$98.8 million for Current Services fringe benefits, which are not appropriated directly to the University. The FY 2015 Current Services State Appropriation Request represents an increase over the FY 2014 level of \$12.3 million.

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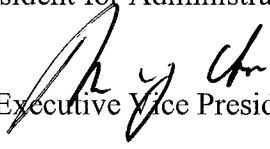
University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray 
Executive Vice President for Administration and Chief Operating Officer

Mun Y. Choi 
Interim Provost & Executive Vice President for Academic Affairs

RE: UCONN 2000 Fiscal Year 2013 Capital Budget

RECOMMENDATION:

That the Board of Trustees approve a capital budget in the amount of \$143,000,000 in UCONN 2000 General Obligation Bonds for Fiscal Year 2013.

BACKGROUND:

The proposed capital budget for UCONN 2000 Phase III (also known as 21st Century UConn) for FY13 reflects the statutory authorization "cap" of \$143,000,000. This amount includes \$54,900,000 for the Storrs-based capital program and \$88,100,000 for the Health Center. To support this capital activity we are also submitting to the Board the Eighteenth Supplemental Indenture authorizing University of Connecticut General Obligation Bonds for the eighteenth issuance under the Master Indenture in an amount not to exceed \$143,000,000 plus cost of issuance and \$96,000,000 carried forward from the Seventeenth Supplemental Indenture. As with previous issuances these funds support cash flows for both current year projects and prior years' projects where bonding has not yet occurred.

The proposed FY13 capital budget is Attachment A. Attachment B displays the funding analysis of proposed projects. Also enclosed for your information are two documents we update for you annually (and sometimes more frequently): the UCONN 2000 Phase III Preliminary Outline, which is our tentative general plan going forward, and the UCONN 2000 Phasing Outline, which shows adjustments to allocations.

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The key elements underlying the revisions to the phasing outline are detailed below. These changes are driven by academic priorities, economic realities and the importance of spending bond proceeds in a timely manner.

1. Program & Planning Adjustments:

Significant changes have been made to the Storrs preliminary phasing schedule due to the University's plan to hire 290 new tenure-track faculty members over the next four years, with particular emphasis in the fields of genomics, education, health insurance and finance. Per President Susan Herbst, "Our goal is to dramatically expand our faculty ranks in strategic and meaningful ways to ensure we are the university we want to be in the future." To accommodate some of these new faculty hires, the timeline for the Monteith Renovation project has moved up considerably. In addition, we are maintaining our commitment to building the new Engineering Building which is central to our growth in biomedical and advanced systems research.

The preliminary phasing schedule has also been impacted by higher project costs. This escalation environment is attributed to not only more detailed plans with better scope definitions, but also to increases in project contingencies to further protect against inflation and market conditions. Per the Market Watch as published May 30, 2012, "increased market confidence and institutional construction demands (government, healthcare, and higher education) across North America are expected to slowly begin driving up costs as contractors attempt to recover losses suffered during the recession." At UConn, we have experienced general contractors who are no longer bidding below cost or no longer cutting costs to the bone; there are fewer general contractors bidding which is increasing costs; there is more competition at bid time which essentially means we are bidding against ourselves; the general contractors are not holding bids for a long period of time which may work against our academic schedule; the gas tax is higher; steel production has been reduced which increases prices; and there are more construction projects available in the immediate area such as at UMass or in Boston which decreases the demand for these projects and drives up the costs. For the future, we have responded to these market conditions by adding significant inflation to current projects in design, we have reduced scope on existing projects, some future projects will be re-evaluated based on our ability to complete them with allocated funding or re-scoped, and we are reviewing our current procedures to ensure that we are not putting an undue burden on small general contractors. To accommodate immediate project needs, we have added additional funding to the Avery Point Campus Student Center project, the Floriculture Greenhouse project, the Psychology Building Renovation & Addition project, and the Young Building Renovation & Addition project. To make these adjustments, the Deferred Maintenance project line at Storrs was reduced. We have re-prioritized our DM needs. Also, there were existing reserves from prior year allocations which will be utilized for high priority projects.

2. Cash flow:

We have made changes to accommodate revised cash flow needs, actual and projected, keeping in mind IRS requirements related to spending. Generally speaking, any delay in a large project means a delay in a significant amount of expenditure. The revised program plan

and timetable was primarily adjusted for the Engineering Building and the Heating Plant Upgrade which are still in design.

Planning and design of a number of smaller projects will move quickly allowing funds to be spent quickly as well. In addition, the phasing plan continues funding for larger projects already underway such as the Avery Point Campus Student Center, Gant Building Renovations design, North Hillside Road Completion, Psychology Building Renovations & Addition, Young Building Renovations, UCHC Main Building Renovations and UCHC New Construction and Renovations. The phasing outline also displays how funding is fluid in terms of meeting Storrs and Health Center needs. As long as we operate within the annual bond caps, and as long as the plan totals \$766.9 million for the Health Center and \$1 billion for Storrs, the authority to make these adjustments is assigned to the Trustees by law. This statutory flexibility is absolutely critical to managing the budgets and financing of the building program.

3. Indenture Amendments:

As you know, the law specifically gives the Board the authority to make revisions to project budgets and related indentures. It would be virtually impossible to manage a twenty-three year capital program without the authority to make such adjustments. These revisions are complex because 1) we have many projects, 2) we must operate within statutory annual bond caps, 3) we must observe tax-related expenditure requirements and 4) the adjustment to the equipment and deferred maintenance lines generally involves projects which span a number of years. While revisions may affect current projects, given the annual bond caps, they also have a rollout effect over the next decade. The Board of Trustees also has the authority to amend past indentures in order to reflect changes as project budgets are finalized, audit adjustments are required, or other events affect the capital budget for a given prior fiscal year.

We are requesting changes to the Sixteenth and Seventeenth Supplemental Indentures. These changes are needed as a result of the higher project costs for the Avery Point Campus Student Center and the Floriculture Greenhouse projects as mentioned above.

Below you will find a brief description of each of the projects in the FY13 capital budget. These are very general summaries; individual project budget descriptions (which are acted upon separately) provide much more detail regarding project scope, timetable, cost and funding sources. As always, the proposed use of capital funds for the Health Center is forwarded to you with the recommendation and endorsement of the Health Center's Board of Directors, who discussed and acted on these items on June 11, 2012.

Thank you. We look forward to discussing these and many other aspects of the capital budget with you at the meeting.

Storrs and the Regional Campuses

Arjona and Monteith (New Classroom Buildings)

These two original classroom buildings are perhaps the most visible on campus and were constructed in 1959 with each having 68,600 square feet of space on four levels. Connected to the Monteith building is the 4,000 square foot Schenker Lecture Hall. These two buildings are the most heavily used classroom facilities on the Storrs campus. They also contain offices for several of the departments within the College of Liberal Arts and Sciences. It was anticipated within the UCONN 2000 program that these buildings would be demolished; however, the University could not take critically important existing classroom space off-line. The current project includes the construction of two new classroom and departmental office buildings.

The West Building, on the site of the former Pharmacy Building, consisting primarily of classrooms and auditoria, was completed in summer 2011 and opened for the fall academic session. The East building (aka Oak Hall), on the site of the former UConn Co-op, will contain departmental offices and classrooms. Construction began in September 2010. With the exterior envelope nearly complete and mechanical, electrical and internal finishes in process, the University expects to occupy the building in fall 2012.

As part of the ongoing need to provide space for various academic departments and additional faculty hires, renovation of Monteith will be undertaken. This renovation includes replacement of the exterior envelope and installation of new mechanical, electrical and plumbing systems. FY13 funds will allow the university to begin design of this project.

Avery Point Campus Undergraduate and Library Building

During Phase I of the UCONN 2000 program, the need for research space was addressed with the new Marine Sciences building, as well as construction of a new facility for Project Oceanology. With the repairs to the Branford House, the needs for administrative space were met when new infrastructure was installed. However, the remaining significant need is for undergraduate program space, currently housed in the WWII era former Coast Guard facilities. This project will support new and renovated undergraduate co-curricular space as well as upgrade an auditorium to correct code violations. Construction drawings and specifications were completed and the project was bid through a Construction Manager at Risk. Construction has recently begun with FY13 funds going towards the completion of this project.

Other projects include replacement of the existing roof and repair of exterior masonry of the library, as well as reconfiguring the interior of both the library and undergraduate buildings. Mechanical system upgrades to piping and equipment and demolition of building 58 is now complete. Renovation of the Community Professional Building to install new laboratories, upgrade the restrooms and improve electrical service is underway to accommodate the Schools of Engineering and Nursing's academic programs as well as Technology Incubator Program tenants.

Deferred Maintenance/Code/ADA Renovation Lump Sum

The 2007 amendments to the law define the “deferred maintenance” portion of the project name as “repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair.” In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades
- General building renovations
- Roads, walks and grounds
- Environmental remediation

The Phase III project budget now stands at \$241.8 million.

Engineering Building

The School of Engineering is located in several buildings, five on the main Storrs campus and four at the Depot campus. The three oldest and least renovated buildings on the main campus were built between 1959 and 1987 and can no longer support emerging interdisciplinary engineering programs such as bioengineering and nanotechnology. A planning study has identified program components for a new building including space for other scientific research programs. Site evaluation and building configuration studies were undertaken and design for the new facility is commencing.

Equipment, Library Collections & Telecommunications

The enhancement of the University’s infrastructure includes its instructional and scientific equipment. The equipment replacement category permits the University to replace outdated items with state of the art laboratory devices and computers. The funding encompasses seven major categories: management information systems, computers, research equipment, instructional equipment, furnishings, operational and public safety support and library materials. Library materials are no longer purchased with UCONN 2000 funds; this expense is part of the operating budget. The total Phase III Equipment, Library Collections & Telecommunications budget is now projected at \$82.6 million.

Fine Arts Phase II

Numerous planning and design efforts since 1991 have evaluated the needs of the School of Fine Arts. In light of the changes in these programs, the Storrs Center initiative, and the dispersal of the School of Fine Arts (SFA) facilities throughout the Storrs and Depot campuses since 1991, a revised Master Plan has assessed the condition of the current facilities, updated the program requirements of the School, and made draft recommendations to guide the use of UCONN 2000 funds for the School. The final Master Plan recommends a multi-phased approach to the expansion and renovation of the entire SFA complex. Recent improvements include continued code compliance and upgrades to the ventilation system in the photography labs. The University

has chosen an architectural team to assist in developing a phased program of renovation activities including mechanical, electrical, and plumbing systems, building envelope repairs, window replacement, acoustical and ADA improvements.

Gant Building Renovations

This complex, which includes the Institute of Materials Science, Physics and Math buildings, was completed in the early 1970's. The complex has a total of 238,000 square feet of space with offices, research labs, classrooms and computer facilities. The original design of the building was so flawed that the past 30 years have been an exercise in addressing those shortcomings. A major renovation of the space is required to address the physical deterioration caused by design flaws, which includes repairing the building envelope (roofs, windows and masonry), improving the fire alarm and fire suppression systems, and upgrading the mechanical, electrical and plumbing systems. An architecture and engineering team has been selected and the pre-planning work is complete. In 2010, a new fire alarm system was installed throughout the complex as well as roof replacement on the Math Science wing.

North Hillside Road Completion

This project provides for the extension of North Hillside Road by 5,300 lineal feet to Route 44. The project also provides for related utilities including gas, electrical, water, sewer and telecommunications to the new extension. The project will enhance access to the Storrs campus directly from Route 44 and provide sorely needed relief from traffic congestion on Route 195. Additionally, both the road and accompanying utilities will permit future development of more than 200 acres. The North Campus is envisioned as the primary area of expansion for the main campus, permitting new research buildings and public/private partnerships such as incubator space including the Innovation Partnership Building, which is the first building of the UConn Technology Park, and other initiatives. The Town of Mansfield is supportive of the road project. UCONN 2000 funds will be augmented by \$6.1 million in Federal funds granted to the University for this project. Recently a National Environmental Assessment was completed that will permit the project to move forward by allowing the University to apply for various regulatory permits from the Departments of Energy and Environmental Protection and Transportation. Design documents are underway.

Old Central Warehouse

The Old Central Warehouse is a three-story, 48,000 square foot facility built in 1957 located in the Technology Quadrant of the University adjacent to Chemistry, Biology/Pharmacy and BioPhysics. Due to a non-flexible structural system that is unable to accommodate our academic needs, we have determined that the best course of action is to demolish it. This will be the future site of the new Engineering Building.

Psychology Building Renovation/Addition

The Weston A. Bousfield Psychology Building contains 87,000 square feet and was constructed in 1974. Its three levels contain offices, classrooms, research laboratories and animal care facilities. Previously in the UCONN 2000 program, the animal care facilities were renovated and roofs and plazas re-built. Psychology Department programs are in increasingly high demand with expanded enrollment and rapidly increasing research activity. An addition and selected renovations to the building are essential. The new addition will provide improved laboratories for

various clinical and research programs. Other improvements include new sprinklers, new roof, and repairing leaking concrete walls as well as electrical and acoustical upgrades. The University began renovations in May 2012.

Residential Life Facilities

This named project represents the overarching authorization to undertake activities to provide housing and dining facilities for the University's students. Although the quantity and the diversity of campus living arrangements were expanded under the first two phases of UCONN 2000, much remains to be done. Some renovations of the older dormitories, with code improvements and sprinkler installations, were accomplished. However, a number of the older facilities still await renovation such as the West Campus Complex, which has remained essentially untouched (except for sprinkler/safety improvements) pending a final residential life facility plan. This project provides funds for renovation and construction activity as deemed appropriate by the Board of Trustees. Multiple projects are underway or completed for the installation of sprinkler systems, replacement of elevators, as well as windows and roofs in various residential facilities.

Torrey Renovation Completion & Biology Expansion

This project was a facility replacement for the programs in the George Safford Torrey Life Sciences Building. It included new research and teaching laboratories, classrooms and offices for various biology programs. Due to a lack of sufficient funding, planning efforts have shifted to analyzing the building's potential for renovations rather than replacement. Renovations to a portion of the first floor of Torrey began in spring 2011 and are now complete. Design for further renovations will move forward.

Young Building Renovation/Addition

This building was constructed in 1953 and has 71,937 square feet of office, classroom and lab spaces. The building is occupied by the College of Agriculture and Natural Resources. Due to the College's laboratory needs and the generally poor condition of all the building systems, this building was slated for a full renovation and an addition, should space needs require. The project scope of the renovation consists of window replacement; masonry repointing; restroom renovations; and mechanical system upgrades (including laboratory ventilation). All the current occupants have been relocated to other facilities across campus and construction has begun.

Health Center

Deferred Maintenance/Code/ADA Renovation Lump Sum

The 2007 amendments to the law define the "deferred maintenance" part of the project name as "repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair." In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades

- General building renovations
- Roads, walks and grounds
- Environmental remediation

The fourteen-year project total is \$45.4 million. UCHC recently completed the major upgrade to the chiller plant. For FY 13, funding will allow additional upgrades to the fire alarm systems and work to begin on the Main Building exterior caulking/sealant replacement.

Equipment, Library Collections & Telecommunications

These funds are allocated to support the Health Center's equipment, needs and telecommunications infrastructure improvements. More specifically, the project line covers computers, management information systems, research equipment, instructional equipment, furnishings, and operational and public safety support. Outmoded items must be replaced with equipment that is necessary to support research and instructional activities, maintain building compliance, conserve energy and provide a safe environment for the students, staff, and those who use Health Center services. The fourteen-year project total is \$63.6 million.

Main Building Renovation

The Health Center's Main building includes access areas used by the general public as well as research, academic and clinical space. The focus of this renovation is the building's research facility, major building systems and public areas. The facility consists of seven floors, which house over 200 research labs and support space, and four floors of mixed use that include classrooms, student support spaces, operations support and mechanical spaces. Over the life of the building, no substantial renovations or upgrades have been undertaken.

The Bioscience Connecticut initiative added \$82.7 M to this "named project" line item, bringing the total funds to \$160.3 million. A portion of these funds (~\$1 million) were expended on an early project, the Clinical Skills Renovation. Completed in December 2007, this project renovated a portion of the Main Building to allow for the relocation and expansion of the Clinical Skills teaching program.

The balance of the funds will support three separate projects. Two of the projects will renovate the Health Center's largest research facility, the "L" (LAB) building. The L building houses over 250,000 square feet of research labs and support space. Each of the two projects will renovate approximately half of the research space in L. The renovations will include the phased replacement of the building heating and air conditioning, electrical, and plumbing systems and renovations to the lab spaces across seven floors of the building.

The third project will add approximately 14,000 square feet of incubator labs as an addition to the Cell and Genome Sciences building. The planning work for this project will begin in the first quarter of 2013.

Design for the L Building Renovation (Project 1) is 65% complete and the FY13 funds will allow construction to begin in the fourth quarter of 2012. The renovations will be completed in three phases over 36 months.

UCHC New Construction and Renovation

Prior to Bioscience Connecticut, this “named project” line item provided funds for planning and design work associated with the construction of a new 169 patient bed tower as an addition to the existing John Dempsey Hospital (JDH). Construction funding was contingent upon UCHC securing \$100 million of non-state funds.

The Bioscience Connecticut initiative removed the requirement for the \$100 million of non-state funds and added \$180.6 million to this line item. The total line item amount of \$412,666,000 (including \$25 million in “Planning and Design” funds) will support three separate Bioscience Connecticut projects. The projects are: 1) the New Hospital Patient tower, 2) Addition and Renovations to the Academic (“A”) Building, and 3) Renovations to the Clinic (“C”) Building.

The New Hospital Patient Tower project includes 169 new patient rooms, two new parking garages, a 13 room surgical suite, and a new 42 bay Emergency Department, followed by renovations to the existing Hospital (“H”) building. Construction will be executed in three phases, starting with the first parking garage and site utility work which began on June 11th. The phase 2 hospital tower and second garage will begin in the second quarter of 2013 and the final phase, renovations to the H building, is scheduled to begin in the first quarter of 2016. The FY13 funds will support the phase 1 and phase 2 construction work.

The FY13 funds will also allow the planning work for the Academic Building Addition and Renovations and for the Clinical Building Renovations which will begin in the fourth quarter of 2012.

UCONN 2000 Year 18

Proposed FY 2013 Authorized Projects

Storrs & Regional Campuses

<u>Project Name</u>	<u>Phase III Funding</u>
Arjona & Monteith (new classroom buildings)	\$ 5,500,000
Avery Point Campus Undergraduate & Library Building	1,172,495
Deferred Maintenance/Code/ADA Renovation Lump Sum	7,307,575
Engineering Building	4,000,000
Equipment, Library Collections & Telecommunications	1,500,000
Fine Arts Phase II	1,550,000
Gant Building Renovations	2,000,000
North Hillside Road Completion	2,500,000
Old Central Warehouse	1,000,000
Psychology Building Renovation/Addition	15,850,000
Residential Life Facilities	2,500,000
Torrey Renovation Completion & Biology Expansion	2,000,000
Young Building Renovation/Addition	8,019,930
Subtotal of Storrs & Regional Campuses	<u>\$ 54,900,000</u>

Health Center

<u>Project Name</u>	<u>Phase III Funding</u>
Deferred Maintenance/Code/ADA Renovation Sum - Health Center	\$ 3,000,000
Equipment, Library Collections & Telecommunications - Health Center	1,600,000
Main Building Renovation	34,109,000
UCHC New Construction and Renovation	49,391,000
Subtotal of Health Center	<u>\$ 88,100,000</u>

Grand Total FY 2013 **\$ 143,000,000**

UCONN 2000 Year 18

Funding Analysis of Proposed Projects

Storrs & Regional Campuses

<u>Project Name</u>	<u>Phase III Previous Authorizations</u>	<u>FY13 Amount</u>	<u>Phase III Remaining Balance</u>
Arjona & Monteith (new classroom buildings)	\$ 96,000,000	\$ 5,500,000	\$ 19,500,000
Avery Point Campus Undergraduate & Library Building	9,452,813	1,172,495	2,477,505
Deferred Maintenance/Code/ADA Renovation Lump Sum	149,902,371	7,307,575	84,574,795
Engineering Building	8,497,000	4,000,000	48,003,000
Equipment, Library Collections & Telecommunications	64,641,496	1,500,000	16,500,000
Fine Arts Phase II	4,750,000	1,550,000	16,700,000
Gant Building Renovations	9,250,000	2,000,000	82,350,000
North Hillside Road Completion	2,000,000	2,500,000	3,700,000
Old Central Warehouse	1,126,000	1,000,000	-
Psychology Building Renovation/Addition	5,650,000	15,850,000	3,670,550
Residential Life Facilities	4,300,000	2,500,000	-
Torrey Renovation Completion & Biology Expansion	1,500,000	2,000,000	81,500,000
Young Building Renovation/Addition	9,500,000	8,019,930	-
Subtotal of Storrs & Regional Campuses		\$ 54,900,000	

Health Center

<u>Project Name</u>	<u>Previous Authorizations</u>	<u>FY13 Amount</u>	<u>Remaining Balance</u>
Deferred Maintenance/Code/ADA Renovation Sum - Health Center	\$ 31,505,125	\$ 3,000,000	\$ 10,929,025
Equipment, Library Collections & Telecommunications - Health Center	52,405,725	1,600,000	9,590,125
Main Building Renovation	25,385,000	34,109,000	100,845,000
UHC New Construction and Renovation	54,700,000	49,391,000	283,575,000
Subtotal of Health Center		\$ 88,100,000	

Grand Total FY 2013

\$ 143,000,000

ATTACHMENT 7



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Interim Provost & Executive Vice President for Academic Affairs

RE: Fiscal Year 2013 Deferred Maintenance/Code/ADA Renovation
Lump Sum Project List

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code/ADA Renovation Lump Sum Project List for FY13 in the total amount of \$7,307,575 for the Storrs-based program and \$3,000,000 for the Health Center.

BACKGROUND:

The attached FY13 proposed Deferred Maintenance/Code/ADA Renovation Lump Sum Project lists for the Storrs-based program and the Health Center represent the priority projects. The Health Center Board of Directors, at its June 11, 2012 meeting, endorsed and recommended the Health Center project list. All capital projects costing \$500,000 or more are submitted for Board action on a project by project basis.

It is anticipated that during the fiscal year some projects may change in priority, scope and cost. There may also be unexpected additions, since the Deferred Maintenance budget is often the appropriate means to address emergencies. It is for this reason that the Board reviews and acts upon Deferred Maintenance twice every year: a proposed list for the coming fiscal year and a final list for the fiscal year just closed. Board of Trustee policy requires that an annual contingency be reserved for budget over runs which, in some cases, is not utilized if the project is completed at or below budget. Upon project close out these funds are retained in deferred

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maintenance reserve for reallocation at a later date. As part of the analysis that took place prior to seeking Board of Trustee approval for FY13 DM; staff has identified \$8,590,000 in previously approved funds that will be expended during the next fiscal year. This amount has been added to the attached list of projects for which we seek approval at this time.

The proposed lists for FY13 are attached for your consideration and approval.

University of Connecticut
Storrs & Regional Campuses
FY13 Deferred Maintenance/Code/ADA Renovation Lump Sum Projects

Deferred Maintenance - Buildings

Babbidge IT & Phone Functional Areas-Heating, AC & Electrical
Pharmacy Lab Renovations
Horsebarn Hill Arena Snow Damage Repair
Babbidge Library-Replace Floor Covering

Subtotal \$ 3,035,000

Deferred Maintenance - Infrastructure

Annual Allotment-Replace Old Clay Pipe
North Eagleville Road Steam System Replacement
Water Tank #2 Repair
Fenton River Well Field & Road Repair
High Head Water Main Replacement

Subtotal \$ 3,580,000

Code / ADA

Environmental Compliance-Asbestos Abatement, Mold Remediation

Subtotal \$ 500,000

Deferred Maintenance-Access, Appearance & Safety

Mirror Lake Pathway Repair
Mansfield Road Curb & Sidewalk Repair
CLAS/Glenbrook Road Bus Stop Realignment
Art Woods Quad Repair
Fairfield Way Desire Paths Repair
Glenbrook Road & Sidewalk Replacement
Whetten, SBA, ITEB Quad Improvements
Hillside Road Improvements
Stairway Repair behind Garrigus Suites

Subtotal \$ 5,244,120

Renovation and Lump Sum Projects

New Faculty Renovations

Subtotal \$ 2,000,000

Total Project Allocations \$ 14,359,120

Emergency / Reserve 1,538,455

Total \$ 15,897,575

Source of Funds

Prior Year Reserves

\$8,590,000

FY13 Allocation

7,307,575

Funds available in FY13 \$ 15,897,575

University of Connecticut Health Center

FY13 Deferred Maintenance/Code/ADA Renovation Lump Sum Projects

Deferred Maintenance - Buildings

Elevator Fire Alarm Upgrades	\$150,000
Phase II Fire Alarm Upgrade - Simplex	280,000
Upgrade Electrical Service Protectors AB043	<u>150,000</u>
Subtotal	\$580,000

Deferred Maintenance - Infrastructure

Main Building Sealant Replacement	\$1,300,000
Sidewalk Repairs/Replacement	150,000
Parking Lot Pavement Repairs	<u>475,000</u>
Subtotal	\$1,925,000

Code/ADA

Misc. Code ADA Improvements	\$25,000
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Renovation and Lump Sum Projects

Retro Commissioning Munson Rd	\$200,000
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Total Project Allocations	\$2,730,000
Emergency / Reserve	<u>270,000</u>
Total	<u>\$3,000,000</u>

ATTACHMENT 8



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Sixteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approve the reallocation of bond authorizations among capital projects by amending Appendix A of the Sixteenth Supplemental Indenture, as follows:

- Decrease Avery Point Renovation by \$302,813 for a total fiscal year 2010-2011 bond authorization for such project of \$197,187; and
- Increase Avery Point Campus Undergraduate and Library Building by \$302,813 for a total fiscal year 2010-2011 bond authorization for such project of \$1,802,813.

BACKGROUND:

The Board of Trustees approved the Sixteenth Supplemental Indenture on June 10, 2010 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Sixteenth Supplemental Indenture on June 25, 2010. On January 26, 2011 and April 13, 2011 the Board amended the Sixteenth Supplemental Indenture to make certain reallocations of bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2010-2011, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

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**RESOLUTION AMENDING THE SIXTEENTH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2010-2011
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 10, 2010, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Sixteenth Supplemental Indenture (the "Sixteenth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on January 26, 2011 and April 13, 2011 the Board amended the Sixteenth Supplemental Indenture to make certain reallocations of bond authorizations as set forth in Appendix A.

WHEREAS, on December 8, 2011, the University, in conjunction with the Treasurer of the State of Connecticut issued the University's General Obligation Bonds, 2011 Series A in the aggregate principal amount of \$179,730,000 with sale proceeds in the amount of \$200,000,000 to finance the Fiscal Year 2010-2011 and a portion of the Fiscal Year 2011-2012 bond authorizations; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Sixteenth Supplemental Indenture should be revised to reflect the actual progress being made on certain of the projects; and

WHEREAS, the University desires to amend the Sixteenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Sixteenth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Sixteenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2010-2011 bond authorization amongst projects as follows: (a) decrease Avery Point Renovation by \$302,813 for a total Fiscal Year 2010-2011 bond authorization for such project of \$197,187; and (b) increase Avery Point Campus Undergraduate and Library Building by \$302,813 for a total Fiscal Year 2010-2011 bond authorization for such project of \$1,802,813 and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

APPENDIX A
(as amended)¹

SIXTEENTH SUPPLEMENTAL INDENTURE
UConn 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2010-2011
UConn 2000 BOND AUTHORIZATIONS
_____ SERIES _____ BOND PROJECTS

UConn 2000 Projects*	UConn 2000 Phase III Total Needed- D.S.C. Bonds**	Fiscal Year 2010-2011 Bond Authorization ⁽²⁾	UConn 2000 Phase III Prior D.S.C. Bond Authorization***
--<u>Storrs and Regional Campuses</u>			
Arjona and Monteith (new classroom buildings)	\$ 121,000,000	\$ 45,900,000	\$ 49,100,000.00
Avery Point Campus Undergraduate and Library Building	13,102,813	1,802,813	2,050,000.00
Avery Point Renovation	5,520,187	197,187	447,282.54
Biobehavioral Complex Replacement	5,000,000	1,000,000	100,000.00
Bishop Renovation	6,000,000	100,000	
Deferred Maintenance/Code/ADA Renovation Lump Sum	241,784,741	24,225,000	121,391,292.46
Engineering Building (with Environmental Research Institute)	60,500,000	2,500,000	2,500,000.00
Equipment, Library Collections & Telecommunications	82,641,496	2,500,000	48,914,575.00
Fine Arts Phase II	23,000,000	600,000	2,250,000.00
Floriculture Greenhouse	6,750,000	2,400,000	600,000.00
Gant Building Renovations	93,600,000	1,000,000	1,750,000.00
Koons Hall Renovation/Addition	7,000,000	1,000,000	100,000.00
Psychology Building Renovation/Addition	25,170,550	2,000,000	750,000.00
Storrs Hall Addition	15,115,000	4,980,000	1,550,000.00
West Hartford Campus Renovations/Improvements	12,650,000	1,500,000	6,900,000.00
Young Building Renovation/Addition	17,519,930	2,095,000	750,000.00
Subtotal – Storrs and Regional Campuses		<u>\$93,800,000</u>	

--Health Center			
CLAC Renovation Biosafety Level 3 Lab	\$ 15,000,000	35,000	1,280,000.00
Deferred Maintenance/Code/ADA Renovation Sum —			
Health Center	45,434,150	4,000,000	24,505,125.00
Dental School Renovation	5,000,000	975,000	3,525,000.00
Equipment, Library Collections & Telecommunications —			
Health Center	63,595,850	8,750,000	41,155,725.00
Library/Student Computer Center Renovation	5,250,000	250,000	1,150,000.00
Main Building Renovation	160,339,000	5,990,000	5,395,000.00
Planning & Design Costs	25,000,000	25,000,000	
<u>Subtotal – Health Center</u>		<u>\$45,000,000</u>	
Total Fiscal Year 2010-2011 Bond Authorization		\$138,800,000	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Sixteenth Supplemental Indenture on June 10, 2010 and amended it on January 26, 2011, April 13, 2011 and June 28, 2012.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Vice President and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.




University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray 
Executive Vice President for Administration and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approve the reallocation of bond authorizations among capital projects by amending Appendix A of the Seventeenth Supplemental Indenture, as follows:

- Increase Floriculture Greenhouse by \$750,000 for a total fiscal year 2011-2012 bond authorization for such project of \$3,750,000; and
- Decrease Heating Plant Upgrade by \$750,000 for a total fiscal year 2011-2012 bond authorization for such project of \$7,250,000.

BACKGROUND:

The Board of Trustees approved the Seventeenth Supplemental Indenture on June 23, 2011 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Seventeenth Supplemental Indenture on July 27, 2011.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2011-2012, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

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**RESOLUTION AMENDING THE SEVENTEENTH SUPPLEMENTAL INDENTURE
TO REALLOCATE FISCAL YEAR 2011-2012
GENERAL OBLIGATION BOND AUTHORIZATIONS**

WHEREAS, on June 23, 2011, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Seventeenth Supplemental Indenture (the "Seventeenth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank National Association), as trustee (the "Master Indenture"); and

WHEREAS, on December 8, 2011, the University, in conjunction with the Treasurer of the State of Connecticut issued the University's General Obligation Bonds, 2011 Series A in the aggregate principal amount of \$179,730,000 with sale proceeds in the amount of \$200,000,000 to finance the Fiscal Year 2010-2011 and a portion of the Fiscal Year 2011-2012 bond authorizations; and

WHEREAS, it has been determined that the allocations for the proceeds of the bonds authorized by the Seventeenth Supplemental Indenture should be revised to reflect the actual progress being made on certain of the projects; and

WHEREAS, the University desires to amend the Seventeenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Seventeenth Supplemental Indenture.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of the University as follows:

That Appendix A to the Seventeenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2011-2012 bond authorization amongst projects as follows: (a) increase Floriculture Greenhouse by \$750,000 for a total Fiscal Year 2011-2012 bond authorization for such project of \$3,750,000; and (b) decrease Heating Plant Upgrade by \$750,000 for a total Fiscal Year 2011-2012 bond authorization for such project of \$7,250,000 and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.

SEVENTEENTH SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2011-2012
UCONN 2000 BOND AUTHORIZATIONS
_____ SERIES ____ BOND PROJECTS

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$) Needed – D.S.C. Bonds**	Fiscal Year 2011-2012 Bond Authorization ⁽²⁾	UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***
--<u>Storrs and Regional Campuses</u>			
Arjona and Monteith (new classroom buildings)	\$ 121,000,000	\$ 1,000,000	\$ 95,000,000.00
Avery Point Campus Undergraduate and Library Building	13,102,813	5,600,000	3,852,813.00
Beach Hall Renovations	8,000,000	2,000,000	1,500,000.00
Biobehavioral Complex Replacement	5,000,000	1,900,000	1,100,000.00
Bishop Renovation	6,000,000	1,900,000	100,000.00
Deferred Maintenance/Code/ADA Renovation Lump Sum	241,784,741	4,286,079	145,616,292.46
Engineering Building (with Environmental Research Institute)	60,500,000	3,497,000	5,000,000.00
Equipment, Library Collections & Telecommunications	82,641,496	13,226,921	51,414,575.00
Fine Arts Phase II	23,000,000	1,900,000	2,850,000
Floriculture Greenhouse	6,750,000	3,750,000	3,000,000.00
Gant Building Renovations	93,600,000	6,500,000	2,750,000.00
Heating Plant Upgrade	30,000,000	7,250,000	-
Koons Hall Renovation/Addition	7,000,000	200,000	1,100,000.00
Law School Renovations/Improvements	19,500,000	2,350,000	15,150,000.00
Manchester Hall Renovation	6,000,000	2,000,000	-
Mansfield Training School Improvements	8,500,000	500,000	3,000,000.00
North Hillside Road Completion	8,200,000	500,000	1,500,000.00
Old Central Warehouse	2,126,000	1,000,000	126,000.00
Psychology Building Renovation/Addition	25,170,550	2,900,000	2,750,000.00
Residential Life Facilities	6,800,000	2,500,000	1,800,000.00
Storrs Hall Addition	15,115,000	8,585,000	6,530,000.00
Torrey Renovation Completion and Biology Expansion	85,000,000	500,000	1,000,000.00
West Hartford Campus Renovations/Improvements	12,650,000	2,000,000	8,400,000.00
Young Building Renovation/Addition	17,519,930	6,655,000	2,845,000.00
Subtotal – Storrs and Regional Campuses		<u>\$82,500,000</u>	

<u>--Health Center</u>			
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	\$ 45,434,150	\$ 3,000,000	\$28,505,125
Dental School Renovation	5,000,000	500,000	4,500,000
Equipment, Library Collections & Telecommunications — Health Center	63,595,850	2,500,000	49,905,725
Main Building Renovation	160,339,000	14,000,000	11,385,000
The University of Connecticut Health Center New Construction and Renovation	387,666,000	54,700,000	-
<u>Subtotal – Health Center</u>		<u>\$74,700,000</u>	
Total Fiscal Year 2011-2012 Bond Authorization		\$157,200,000	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

(1) The Board of Trustees approved the Seventeenth Supplemental Indenture on June 23, 2011 and amended it on June 28, 2012.

(2) The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Vice President and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: Eighteenth Supplemental Indenture Authorizing University of Connecticut
General Obligation Bonds

RECOMMENDATION:

That the Board of Trustees approve the Eighteenth Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut General Obligation Bonds secured by the State of Connecticut's (the "State") Debt Service Commitment in an amount not to exceed \$143,000,000, plus costs of issuance plus \$96,000,000 carried forward from the Seventeenth Supplemental Indenture.

BACKGROUND:

The University of Connecticut's (the "University") General Obligation Bonds authorized by the UCONN 2000 Act (Sections 10a-109a to 10a-109y, inclusive, of the Connecticut General Statutes, as amended) are secured by a Master Indenture of Trust by and between the University and U.S. Bank National Association, dated as of November 1, 1995, as amended (the "Master Indenture") which provides that each new issue of bonds be issued pursuant to a supplemental indenture. For bonds secured by the State Debt Service Commitment, the law sets maximum annual amounts that the University, through its Board of Trustees, may issue.

The Eighteenth Supplemental Indenture authorizes the appropriations for and issuance of bonds in the maximum amount of \$143,000,000, plus costs of issuance to finance Fiscal Year 2013 Phase III projects, plus \$96,000,000 carried forward from the Seventeenth Supplemental Indenture, Phase III includes projects at Storrs, the Regional Campuses and the UConn Health Center.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070

The Eighteenth Supplemental Indenture also authorizes that the exact amount of the bonds be determined at the time of issuance depending on cash expenditure requirements for twelve months or less following issuance. Appendix A of the Eighteenth Supplemental Indenture lists the UCONN 2000 projects that may be financed by the bonds (excluding the projects financed by the carry forward amounts).

This recommendation will serve as the Board of Trustees' resolution for approval of the Eighteenth Supplemental Indenture and for the series of bonds to be issued in accordance therewith. The resolution, with supplemental information, as appropriate, will be sent to the Governor for approval. If the Governor chooses not to exercise his statutory authority to approve or disapprove the resolution within 30 days of its submission, it will be deemed approved in accordance with the Act.

UNIVERSITY OF CONNECTICUT

as Issuer

and

U.S. BANK NATIONAL ASSOCIATION

as Trustee

EIGHTEENTH SUPPLEMENTAL INDENTURE

AUTHORIZING

THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS

(Secured by the State Debt Service Commitment)

Dated as of _____

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**EIGHTEENTH SUPPLEMENTAL INDENTURE
AUTHORIZING THE ISSUANCE OF
THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS
(SECURED BY THE STATE DEBT SERVICE COMMITMENT)**

ARTICLE I

Definitions and Statutory Authority

101. **Eighteenth Supplemental Indenture.** This Eighteenth Supplemental Indenture authorizing the Bonds is supplemental to, and constitutes a Supplemental Indenture within the meaning of, and is adopted in accordance with Article X of the General Obligation Master Indenture of Trust between the University of Connecticut (the “University”) and the Trustee dated as of November 1, 1995 (the “Indenture”) as amended and supplemented to the date hereof, the form of which was approved by the State Bond Commission as required by Section 10a-109g of the General Statutes of Connecticut.

102. **Definitions.** All terms defined, and the rules of construction set forth, in Article I of the Indenture shall have the same meanings in this Eighteenth Supplemental Indenture as such terms are given in such Article I except that, as used in this Eighteenth Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

“**Authorized Officer’s Certificate**” means a copy of a resolution of the Board of Trustees certified by an Authorized Officer.

“**Authorized Officer for Sale Purposes**” means the Authorized Officer, who shall be an officer, official or trustee serving on the financial affairs committee of the Board of Trustees.

“**Bond Insurance Policy**” means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on the Bonds and constitutes a Bond Facility under the Indenture.

“**Bond Insurer**” means a financial guaranty insurance company, if any, or any successor thereto which insures the Bonds as provided in the Certificate of Determination.

“**Bonds**” means any series of bonds issued pursuant to this Eighteenth Supplemental Indenture.

“**Certificate of Determination**” means the certificate of determination of the Treasurer required by section 502 hereof and otherwise referenced herein.

“**Eighteenth Supplemental Indenture**” means this Eighteenth Supplemental Indenture dated as of _____, as may be amended from time to time, authorizing the Bonds.

“Indenture” means the General Obligation Master Indenture of Trust between the University and the Trustee dated as of November 1, 1995, as from time to time amended or supplemented.

“Insured Bonds” means any series or certain maturities in any series of bonds to be insured by a municipal bond new issue insurance policy to be issued simultaneously with the delivery of Bonds by the Bond Insurer.

“Official Statement” means the official statement of the University relating to the Bonds.

“Preliminary Official Statement” means the preliminary official statement of the University relating to the Bonds.

“Principal” or **“principal”** means the principal amount of each Bond payable at maturity.

“Principal Amount” means the outstanding principal of a Bond.

“Underwriters” means the initial purchasers of the Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

103. **Authority for the Eighteenth Supplemental Indenture.** This Eighteenth Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Indenture.

ARTICLE II

Authorization, Terms and Issuance of Bonds

201. **Authorization of Fiscal Year 2012-2013 Bonds, Maximum Amount, Delegation, Designation and Pledge.** Bonds for Fiscal Year ending June 30, 2013 entitled to the benefit, protection and security of the Act and Indenture, and constituting Bonds to be secured by the State Debt Service Commitment are hereby authorized to be issued under the Indenture and pursuant to the Act in a maximum amount not to exceed \$143,000,000 for the UConn Projects as set forth in Appendix A (attached hereto and hereby made a part hereof) and constituting UConn 2000 Projects (provided nothing herein shall preclude the amendment of Appendix A pursuant to the Act and in accordance with the Indenture and as provided by Appendix A), plus the amount of the Costs of Issuance to be funded from the proceeds of such Bonds.

The amount of \$96,000,000 authorized but unissued under the Seventeenth Supplemental Indenture approved on June 10, 2010, as amended is carried forward to Fiscal Year 2012-2013 in accordance with the Act.

The exact amount of the Bonds to be issued under this Eighteenth Supplemental Indenture is hereby delegated to and is to be determined by a certificate of, the Authorized Officer for Sale Purposes in accordance with Section 7(a)(2) of the Act respecting the anticipated cash expenditure requirements for authorized UConn 2000 Projects within the year following issuance plus not more than twenty (20%) percent in excess thereof, provided that such amount shall not exceed \$143,000,000 (plus Costs of Issuance and any carry forward amounts). The amount of the balance

of Bonds herein authorized for Fiscal Year ending 2013 and not funded by the Bonds shall be issued subsequently pursuant to an additional Certificate of Authorized Officer for Sale Purposes as an additional series of Bonds hereunder or pursuant to a Supplemental Indenture or Supplemental Indentures depending on the remaining cash expenditure requirements respecting each UConn 2000 Project theretofore authorized by a Supplemental Indenture.

The Bonds shall be designated as and shall be distinguished from other Bonds by the additional title “_____ Series ____” or such other designation or designations of “Series ____” inserting the applicable number and letter, respectively, reflecting the year and series issued, as provided in the Certificate of Determination, pursuant to and subject to the terms, conditions and limitations established in the Indenture, this Eighteenth Supplemental Indenture, an Authorized Officer’s Certificate and the Treasurer’s Certificate of Determination. In accordance with the Act, the amount of the State Debt Service Commitment in each fiscal year is hereby pledged for the punctual payment of the Special Debt Service Requirements on the Bonds as the same arise and shall become due and payable.

202. **Purposes.** The Bonds will be issued and used to provide funds for deposit in the following accounts of the Bond Proceeds Fund: (i) Construction Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Trustee, for construction and equipping of certain facilities (or reimbursement to the University for funds expended therefor) that are included and that have been authorized as a UCONN 2000 Project by the Board of Trustees and (ii) Cost of Issuance Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Resolution, shall be held and maintained by the Treasurer, to pay or provide for the Bonds costs of issuance. The Treasurer and University shall cause the proceeds from the sale of the Bonds to be so deposited in the Bond Proceeds Fund. Monies in the Construction Account respecting the proceeds of the Bonds heretofore issued may be disbursed from time to time pursuant to Section 603 of the Master Indenture, particularly paragraph (5) thereof, for any such UConn 2000 Project but not in excess of the aggregate amount authorized for such UConn 2000 Project by the Board of Trustees.

203. **Interest Payments.** The Bonds shall bear interest from their respective dates, payable on the date or dates, and at the rates as shall be determined by the Treasurer in the Certificate of Determination. Except as otherwise may be provided in such Certificates, interest shall be computed on the basis of a 360-day year consisting of 12 months of 30 days each.

204. **Form, Denomination, Numbers and Letters.** The Bonds shall be in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York (“DTC”), which will act as securities depository for the Bonds. The Bonds shall be in denominations to be determined by the Treasurer in the Certificate of Determination. The Bonds shall be lettered “AR-____” or such other letters provided in the Certificate of Determination. Each such letter shall be followed by the number of the Bonds. The Bonds shall be numbered consecutively from one upward in order of issuance.

205. **Places of Payment and Paying Agent.** So long as all of the Bonds are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as securities depository, Principal, Sinking Fund Installments, if any, Redemption Price of and interest on the Bonds shall be payable from the Trustee to DTC or its successor as securities depository for the Bonds, as determined by the Treasurer in the Certificate of Determination. If any of the Bonds

shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on the Bonds shall be payable by check mailed to the registered owners of the Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of the Bonds shall be payable at the principal corporate trust office of the Paying Agent for the Bonds.

206. **Sale.** Pursuant to Sections 7(e) and (f) of the Act, the Treasurer is authorized by the Act to sell the Bonds by negotiation or public competitive sale, in such manner, at such price or prices, at such time or times, in one or more series, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State and University. The terms and particulars of each such sale, the receipt of each proposal and each award of the Bonds and all other action appropriate or necessary in connection therewith shall be set by the Treasurer, including the selection of the Trustee pursuant to Article VIII of the Indenture, in conjunction with the Authorized Officer for Sale Purposes to whom such matters are hereby delegated and shall be recited in the Treasurer's Certificate of Determination, and confirmed by the Authorized Officer for Sale Purposes.

207. **Execution.** The Bonds shall be signed in the name of the University by the manual or facsimile signature of its President and the seal of the University (or a facsimile thereof) shall be affixed, imprinted, engraved or otherwise reproduced thereon and attested by an Authorized Officer. The Bonds shall be authenticated manually by the Trustee in accordance with the provisions of the Indenture.

208. **Delivery and Application of Bond Proceeds.** After their execution as provided herein and in the Indenture, the Bonds shall be delivered to the Trustee for authentication as provided in the Indenture and shall thereupon be delivered to the Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Indenture. The proceeds of the Bonds shall be deposited in the Bond Proceeds Fund in the amounts and for the Construction Account and Costs of Issuance Account, as more particularly set forth in the Certificate of Determination of the Treasurer.

209. **Defeasance.** Pursuant to Section 1001(1)(b) of the Master Indenture, for purposes of the Bonds, Section 1402(c) of the Master Indenture is hereby amended in its entirety as follows.

(c) in the event said Bonds are not by their terms subject to redemption within the next succeeding 60 days, the University shall have given the Trustee in form satisfactory to it irrevocable instructions to mail at least once, or to publish at least twice at an interval of not less than seven days between publications in an Authorized Newspaper, as soon as practicable, a notice to the Holders of such Bonds that the deposit required by (b) above has been made with the Trustee and that said Bonds are deemed to have been paid in accordance with this Section and stating such maturity or redemption date upon which moneys are to be available for the payment of the principal or Redemption Price, if applicable, on said Bonds.

ARTICLE III

Form of the Bonds

301. **Form of the Bonds.** The Bonds shall be substantially in the form set forth in the Indenture with such additions or deletions anticipated by this Eighteenth Supplemental Indenture as are set forth in the Certificate of Determination.

ARTICLE IV

Tax Covenant

401. **Tax Exemption.** In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to the Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause the Bonds to be "arbitrage bonds" within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or on behalf of the University or in any manner with respect to the Bonds regarding all acts necessary to satisfy and fulfill such covenants.

ARTICLE V

Miscellaneous

501. **No Recourse.** No recourse shall be had for the payment of the principal of or interest on the Bonds or for any claim based thereon or on this Eighteenth Supplemental Indenture against any member of the Board of Trustees, nor the State Bond Commission or any officer of the University or the State or any person executing the Bonds and neither the members of the Board of Trustees or the State Bond Commission nor officers of the University or the State nor any person executing the Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of the Bonds shall be liable personally thereon or be subject to any personal liability or accountability by reason of the issuance or execution thereof. Pursuant to Section 19 of the Act, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UCONN 2000 Infrastructure Improvement Program.

502. **Delegation of Authority to Treasurer and to Authorized Officer for Sale Purposes.**

(A) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Indenture, the authority to determine with respect to the Bonds the date or dates and maturities (provided, however, that the Bonds issued to finance equipment and collections shall mature not later than five (5) years from their dated date and the Bonds issued to finance any other purpose shall mature not later than thirty (30) years from their dated date);

provisions for either serial or term bonds, sinking fund requirements, if any; due dates of interest; denominations; the terms, if any, of optional or extraordinary redemption, with or without premium; time or times of sale (subject to the cash flow requirements of the University to cover the cost of the UCONN 2000 Infrastructure Improvement Program) and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Bonds and of the issuance and sale thereof as the Treasurer may determine to be in the best interests of the State and University. The Treasurer shall file a Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of the Bonds setting forth the details and particulars of the Bonds determined by her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of the Bonds.

(B) The Treasurer is also delegated, pursuant to the Act and, in accordance with Section 4(a)(5) of the Act pursuant to certain provisions of Section 3-20 of the General Statutes of the State of Connecticut, as amended, the authority to enter into agreements in consultation with the University (through an Authorized Officer) with respect to the issuance and sale of the Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory agreements, and agreements with respect to security for the Bonds.

(C) The Authorized Officer for Sale Purposes or the Vice-President and Chief Financial Officer is hereby delegated and the Treasurer is further delegated, pursuant to the Act, the authority to approve the final terms of and publication and distribution of the Official Statement in connection with the offering and sale of the Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c-2-12 of the Securities and Exchange Commission ("Rule 15c-2-12"), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c-2-12. The mailing, publication and distribution of the Preliminary Official Statement is hereby approved. The Treasurer, in conjunction with the Authorized Officer for Sale Purposes or the Vice-President and Chief Financial Officer, is further authorized and directed to sign any amendment or supplement or certificate with respect to the Official Statement or the Preliminary Official Statement that may, in the Treasurer's judgment, be necessary or appropriate on or before the date of delivery of the Bonds.

(D) Subsequent to adoption of the resolution of the Board of Trustees authorizing the Eighteenth Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby authorized to make such changes, insertions, deletions or provisions to the Eighteenth Supplemental Indenture, not materially inconsistent with the intent of the provisions of the Eighteenth Supplemental Indenture as so adopted as may be necessary or appropriate to respond to the requirements of the Governor, the Treasurer, the Underwriters of the Bonds, the Bond Insurer, if any, or the rating agencies with respect to the Eighteenth Supplemental Indenture as evidenced by approval of the Certificate of Determination and may rely on a Counsel's Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the Bonds within the scope of such Authorized Officer's duties at the University and under the Act.

503. Declaration of Official Intent. The University reasonably expects to incur expenditures (the "Expenditures") in connection with the Bond projects of which a general functional description is contained in Appendix A attached hereto (collectively, the "Project"). The University reasonably expects to reimburse itself for the cost of Expenditures with respect to the

Project with the proceeds of Bonds, tax-exempt obligations to be issued by the University, not later than eighteen (18) months after the later of the date the original Expenditure is paid or the date the Project is placed in service or abandoned, but in no event more than three (3) years after the original Expenditure is paid. The maximum principal amount of such debt with respect to the Project is not expected to exceed \$143,000,000. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

IN WITNESS WHEREOF, the University of Connecticut has caused this Eighteenth Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Eighteenth Supplemental Indenture to be signed and sealed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: _____
Susan Herbst
Its President

(SEAL)

ATTEST:

By: _____
Richard D. Gray
Its Executive Vice President and Chief Financial Officer

U.S. BANK NATIONAL ASSOCIATION,
as Trustee

Date: _____

By: _____
Name:
Title:

APPENDIX A¹

**EIGHTEENTH SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2012-2013
UCONN 2000 BOND AUTHORIZATIONS
_____ SERIES _____ BOND PROJECTS**

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$) Needed – D.S.C. Bonds**	Fiscal Year 2012-2013 Bond Authorization ⁽²⁾	UCONN 2000 Phase III Prior D.S.C. Bond Authorization ***
--<u>Storrs and Regional Campuses</u>			
Arjona and Monteith (new classroom buildings)	\$ 121,000,000	\$5,500,000	\$ 96,000,000.00
Avery Point Campus Undergraduate and Library Building	13,102,813	1,172,495	9,452,813.00
Deferred Maintenance/Code/ADA Renovation Lump Sum	241,784,741	7,307,575	149,902,371.46
Engineering Building (with Environmental Research Institute)	60,500,000	4,000,000	8,497,000.00
Equipment, Library Collections & Telecommunications	82,641,496	1,500,000	64,641,496.00
Fine Arts Phase II	23,000,000	1,550,000	4,750,000.00
Gant Building Renovations	93,600,000	2,000,000	9,250,000.00
North Hillside Road Completion	8,200,000	2,500,000	2,000,000.00
Old Central Warehouse	2,126,000	1,000,000	1,126,000.00
Psychology Building Renovation/Addition	25,170,550	15,850,000	5,650,000.00
Residential Life Facilities	6,800,000	2,500,000	4,300,000.00
Torrey Renovation Completion and Biology Expansion	85,000,000	2,000,000	1,500,000.00
Young Building Renovation/Addition	17,519,930	8,019,930	9,500,000.00
<u>Subtotal – Storrs and Regional Campuses</u>		<u>\$54,900,000</u>	
--<u>Health Center</u>			
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	45,434,150	\$3,000,000	31,505,125.00
Equipment, Library Collections & Telecommunications — Health Center	63,595,850	1,600,000	52,405,725.00
Main Building Renovation	160,339,000	34,109,000	25,385,000.00
The University of Connecticut Health Center New Construction and Renovation	387,666,000	49,391,000	54,700,000.00
<u>Subtotal – Health Center</u>		<u>\$88,100,000</u>	
Total Fiscal Year 2012-2013 Bond Authorization		\$143,000,000	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Eighteenth Supplemental Indenture on June 28, 2012.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Vice President and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Interim Provost & Executive Vice President for Academic Affairs

RE: Project Cancellation – Project Budget for Mansfield Training School –
Longley Renovation (\$1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the cancellation of the project entitled Mansfield Training School – Longley Renovation (Planning) approved by the Board of Trustees on September 25, 2007.

BACKGROUND:

A Planning Budget was approved for this project by the Board of Trustees in the amount of \$1,000,000 on September 25, 2007. The Longley Building is a 92,636 square foot building erected in 1952 located at the Depot Campus. The original scope of work was to conduct a facility condition assessment, plan for future occupancy, including additional incubator space, and to bring the building up to code compliance. In the ensuing five (5) years, it has become clear that it will be a complete waste of funding to spend a large portion of these funds to design a large scale project for which funding cannot be identified. The University recommends that the project be cancelled and funding used to address smaller scale and easily accomplished needs.

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352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070



University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.
Executive Vice President for
Health Affairs
Dean, School of Medicine

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH
Executive Vice President for Health Affairs
Dean, School of Medicine

Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Anechoic Chamber
Relocation (Planning: \$555,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$555,000 for the UCHC Anechoic Chamber Relocation.

BACKGROUND:

As a result of the Bioscience Connecticut initiative, the State of Connecticut and Jackson Laboratory (JAX) have formed a collaboration that includes the development of the Jackson Laboratory for Genomics Medicine on the UCHC Farmington campus.

The new JAX facility will be constructed on land leased to JAX by UCHC on the Health Center's lower campus. The ground lease agreement, approved in January, calls for the leased property to be cleared and delivered to JAX in two parcels. The first parcel is to be cleared by December 31, 2012. In order to clear the parcel, UCHC will vacate and demolish several aged metal buildings on the site. The current research functions in the metal buildings are being relocated to various other research buildings on campus.

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One of the research programs to be relocated from the metal buildings is the Biodynamics laboratory. The Biodynamics Lab has a special room known as an anechoic chamber. The room is lined with sound-absorbing material and there is no reverberation and thus allows scientists to analyze acoustic noise for research projects.

This project will provide a new location for an anechoic chamber in the main building structure on the UCHC campus. The work will include the mechanical and electrical systems associated with the room.

The Planning Budget is attached for your consideration and approval. The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on June 4 and June 11, 2012.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCHC ANECHOIC CHAMBER RELOCATION

BUDGETED EXPENDITURES

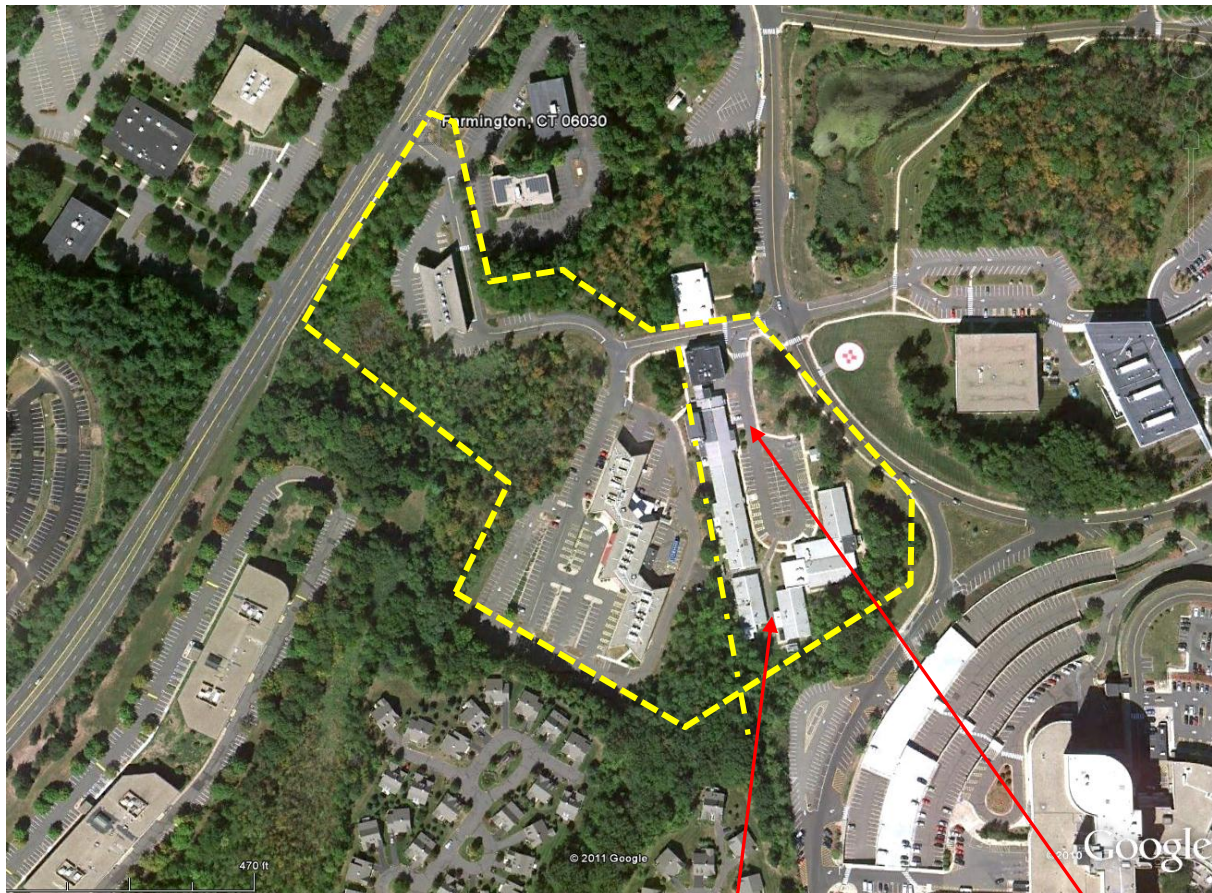
**PROPOSED
PLANNING
6/28/2012**

CONSTRUCTION	\$ 105,000
DESIGN SERVICES	21,000
TELECOMMUNICATIONS	900
FURNITURE, FIXTURES AND EQUIPMENT	350,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	2,600
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	500
SUBTOTAL	\$ 480,000
PROJECT CONTINGENCY	75,000
TOTAL BUDGETED EXPENDITURES	\$ 555,000

SOURCE(S) OF FUNDING

UCHC CAPITAL	\$ 555,000
TOTAL BUDGETED FUNDING	\$ 555,000

UCHC LOWER RESEARCH CAMPUS
Project Budget (Planning)
6/28/2012



JAX Lab: Parcel 1

Anechoic Chamber



University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.
Executive Vice President for
Health Affairs
Dean, School of Medicine

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH
Executive Vice President for Health Affairs
Dean, School of Medicine

Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) UConn Medical Group
(UMG) - Clinical Space Fit-Out, Storrs Center
(Planning: \$4,365,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$4,365,000 for the UCHC UConn Medical Group (UMG)- Clinical Space Fit-Out, Storrs Center.

BACKGROUND:

The University of Connecticut Health Center has an exclusive opportunity to provide "Medical Services" within the New Storrs Town Center Project. The Medical Services will be open to the entire population of the town of Mansfield and the surrounding region as well as to University of Connecticut employees and students.

The Medical services will include an Urgent Care Center, a Family Medicine/ Occupational Medicine/Internal Medicine Component, a specialty medical component including Cardiology, Dermatology, OB/GYN and Psychiatry and a separate Orthopedic Outpatient facility. The Medical Services will also include a Radiology unit and a Specimen Lab.

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The plan calls for the lease of 17,977 gross sq. ft of space. A non-binding letter of intent for the space has been signed providing the Health Center with the exclusive right to provide medical services within the project for 20 years plus two, five year extensions.

A population analysis of the medical needs for the region has been done and a business model supporting the financial viability of the project has been formulated.

The 20 year lease will be executed through the UConn Finance Corporation. The base lease rate is \$25.00 per sq ft. with a 1.5% annual increase. The lease does not include the tenant fit-out of the space. The UCHC proposes to fit the space out as medical space for a total project cost of \$4,365,000. The conceptual estimate for work is based upon SF metrics and is subject to change based upon actual planning work.

The Planning Budget is attached for your consideration and upon approval. The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on June 4 and June 11, 2012.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCHC UCONN MEDICAL GROUP - CLINICAL SPACE FIT OUT, STORRS CENTER

BUDGETED EXPENDITURES

**PROPOSED
PLANNING
6/28/2012**

CONSTRUCTION	\$	1,620,000
DESIGN SERVICES		190,000
TELECOMMUNICATIONS		100,000
FURNITURE, FIXTURES AND EQUIPMENT		2,050,000
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		-
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		5,000
MISCELLANEOUS		-
SUBTOTAL	\$	3,965,000
PROJECT CONTINGENCY		400,000
TOTAL BUDGETED EXPENDITURES	\$	4,365,000
<u>SOURCE(S) OF FUNDING</u>		
UCHC CAPITAL	\$	4,365,000
TOTAL BUDGETED FUNDING	\$	4,365,000

BOT 6.28.12

TBD



University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.

Executive Vice President for
Health Affairs

Dean, School of Medicine

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH
Executive Vice President for Health Affairs & Dean, School of Medicine

Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) New Construction and
Renovation – Clinic (C) Building Renovations (Planning: \$86,715,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$86,715,000 for the UCHC New Construction and Renovations: Clinic (C) Building Renovations.

BACKGROUND:

As part of the Bioscience Connecticut initiative UCHC plans to renovate the existing Clinic building. The C building, comprised of 345,000 gross square feet over 4 floors, is the primary entrance to the UCHC main building structure. It houses the majority of the outpatient ambulatory patient services that are “on the hill” in the main building. Notable programs that are in the C building include the Pat and Jim Calhoun Cardiology Center and the Carole and Ray Neag Comprehensive Cancer Center. In addition, the School of Dental Medicine’s clinical practices for faculty, students and residents are all located within this building.

The C building has not undergone any major renovations since its construction completion in 1975. This project will replace the aged mechanical, electrical and plumbing infrastructure with new energy efficient systems. The project will also renovate approximately 62,000 sf of program space in the building. The project is expected to achieve Leadership in Energy and Environmental (LEED) silver certification.

The Planning Budget is attached for your consideration and approval. The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on June 4 and June 11, 2012.

Attachment

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

**PROJECT NAME: UCHC NEW CONSTRUCTION AND RENOVATION: CLINIC BUILDING ("C")
RENOVATIONS**

<u>BUDGETED EXPENDITURES</u>	PROPOSED PLANNING 6/28/2012
CONSTRUCTION	\$ 60,100,000
DESIGN SERVICES	6,853,000
TELECOMMUNICATIONS	3,720,000
FURNITURE, FIXTURES AND EQUIPMENT	4,082,000
CONSTRUCTION ADMINISTRATION	420,000
OTHER AE SERVICES (including Project Management)	2,122,000
ART	-
RELOCATION	372,000
ENVIRONMENTAL	930,000
INSURANCE AND LEGAL	75,000
MISCELLANEOUS	158,000
SUBTOTAL	\$ 78,832,000
PROJECT CONTINGENCY	7,883,000
TOTAL BUDGETED EXPENDITURES	\$ 86,715,000
<u>SOURCE(S) OF FUNDING</u>	
UConn 2000 PHASE III	\$ 42,716,000
UCHC CAPITAL	43,999,000
TOTAL BUDGETED FUNDING	\$ 86,715,000

**UCHC NEW CONSTRUCTION AND RENOVATION –
CLINIC (C) BUILDING RENOVATIONS
Project Budget (Planning)
6/28/2012**

Existing Clinical Building





University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.
Executive Vice President for
Health Affairs
Dean, School of Medicine

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH
Executive Vice President for Health Affairs
Dean, School of Medicine

Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) CT Simulator, High
Dose Radiation (HDR) Renovations (Revised Planning: \$2,100,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$2,100,000 and authorize the purchase of the CT Simulator equipment for the UCHC CT Simulator, High Dose Radiation (HDR) Renovations.

BACKGROUND:

UCHC proposes to purchase new CT simulator equipment to replace the 20 year old outdated simulator which does not have CT capabilities. To house this equipment, 750 square feet of space in the Radiation Oncology Department (located on the ground floor of the Clinic (C) Building) will be renovated. The renovation includes the installation of lead shielding for the HDR equipment, new medical gases, upgraded HVAC and electrical services and new finishes.

This project will enhance patient care by providing diagnostic imaging and high dose rate radiation treatment within the same treatment room instead of the current practice that requires patients to be moved to 3 different locations within the institution to provide these treatments.

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Procurement activities for the CT Simulator are complete and the revised budget reflects the anticipated award cost for the equipment. In addition to approving the Revised Planning Budget amount, the Board is asked to approve the procurement of the CT simulator equipment. The purchase of the CT simulator equipment is required in advance of construction to allow for planning and fabrication. Authority to review and approve clinical equipment purchases was delegated by the Board of Trustees to the Board of Directors Finance Committee in 2006, provided such actions are reported to the Board of Trustees at the their next scheduled meeting.

The Revised Planning Budget is attached for your consideration and approval. The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on June 4 and June 11, 2012.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCHC CT SIMULATOR-HIGH DOSE RADIATION (HDR) RENOVATIONS

	APPROVED PLANNING 6/23/2011	PROPOSED REVISED PLANNING 6/28/2012
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 800,000	\$ 800,000
DESIGN SERVICES	60,000	60,000
TELECOMMUNICATIONS	5,000	5,000
FURNITURE, FIXTURES AND EQUIPMENT	1,100,000	1,046,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	6,000	10,000
ART	-	-
RELOCATION	2,000	2,000
ENVIRONMENTAL	16,500	16,500
INSURANCE AND LEGAL	5,000	5,000
MISCELLANEOUS	6,000	12,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 2,000,500	\$ 1,956,500
PROJECT CONTINGENCY *	249,500	143,500
TOTAL BUDGETED EXPENDITURES	\$ 2,250,000	\$ 2,100,000
<u>SOURCE(S) OF FUNDING</u>		
UCHC CAPITAL FUNDS	2,250,000	2,100,000
TOTAL BUDGETED FUNDING	\$ 2,250,000	\$ 2,100,000

* Contingency amount reduced based upon pending Equipment procurement. Contingency on costs w/o Equipment = 15.8%.

BOT 6.28.12

10-059



University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.
Executive Vice President for
Health Affairs
Dean, School of Medicine

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH
Executive Vice President for Health Affairs
Dean, School of Medicine

Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Ambulatory Care Center (ACC) (Design: \$203,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$203,000,000 for the UCHC Ambulatory Care Center.

BACKGROUND:

As part of the Bioscience Connecticut initiative UCHC plans to construct an Ambulatory Care Center (ACC) that will be approximately 300,000 gsf and will include a parking structure of approximately 1250 cars. The project will be constructed on the UCHC Farmington Campus, adjacent to the existing Medical Arts and Research Building (MARB) on land currently occupied by surface parking. The ACC project will be the cornerstone of a new Outpatient Clinical zone composed of the MARB and the Administrative Services Building (ASB) located on the Lower Campus of the Health Center.

The new ACC will house existing clinical programs from the Dowling North, Dowling South

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Buildings and other clinical faculty practices from the Main building. Clinical programs that are anticipated to move to the new ACC include:

- Internal Medicine
- Surgery
- Ophthalmology
- Geriatrics
- Center for Advanced Reproductive Services
- Endocrinology
- Infectious Disease
- Gastroenterology
- Clinical Research
- Medical Specialties

The ACC will also house the new Oral Healthcare and Education Center for the School of Dental Medicine or the Cancer Center program. Final evaluation of these options is underway.

To meet the project schedule, the ACC is being delivered via a modified Design/Build methodology through the UCHC Finance Corporation. The schematic design for the building core and shell, the parking garage, and the site work is being developed now with an architectural team. This schematic design package will be issued to pre-qualified design build firms for fixed cost proposals in late July. The tenant fit-out for the medical practices will be a more typical design/bid/build delivery method.

The ACC will incorporate best practices for sustainability and will achieve a minimum of LEED Silver certification

The Design Budget is attached for your consideration and approval. The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on June 4 and June 11, 2012.

With this approval the project will move forward with the procurement of the Design/Builder for the building core/shell, parking garage and site work.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: UCHC AMBULATORY CARE CENTER

	APPROVED PLANNING 1/25/2012	PROPOSED DESIGN 6/28/2012
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 141,117,000	\$ 138,730,000
DESIGN SERVICES	18,536,000	9,250,000
TELECOMMUNICATIONS	5,500,000	6,210,000
FURNITURE, FIXTURES AND EQUIPMENT	11,550,000	11,340,000
CONSTRUCTION ADMINISTRATION	400,000	400,000
OTHER AE SERVICES (including Project Management)	3,450,000	5,750,000
ART	-	290,000
RELOCATION	475,000	470,000
ENVIRONMENTAL	250,000	-
INSURANCE AND LEGAL	1,500,000	3,250,000
MISCELLANEOUS	1,049,000	1,380,000
OTHER SOFT COSTS *		9,930,000
SUBTOTAL	\$ 183,827,000	\$ 187,000,000
PROJECT CONTINGENCY	19,173,000	16,000,000
TOTAL BUDGETED EXPENDITURES	\$ 203,000,000	\$ 203,000,000
<u>SOURCE(S) OF FUNDING</u>		
UCHC SECURED PRIVATE FUNDING	203,000,000	203,000,000
TOTAL BUDGETED FUNDING	\$ 203,000,000	\$ 203,000,000

*OTHER SOFT COSTS = Capitalized Interest on Private Funding

BOT 6.28.12

11-042



University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.
Executive Vice President for
Health Affairs
Dean, School of Medicine

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH
Executive Vice President for Health Affairs
Dean, School of Medicine

Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) CLAC Renovation
(Design: 16,125,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$16,125,000 for the UCHC CLAC Renovation.

BACKGROUND:

The UCHC CLAC, or "B" building, is part of the original UCHC main building structure. The 55,000 Gross SF building houses research support space primarily associated with animal care. The B building has not had any major renovations since its construction completion in 1972.

This project will replace the original aged mechanical, electrical and plumbing infrastructure with new energy efficient systems and renovate program space in the building. The project is expected to achieve the Leadership in Energy and Environmental (LEED) silver certification.

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The projects Planning Budget was approved by the Boards in 2006 however the project was deferred until later in the UC 21st Century schedule due to changes in priorities and funding. . The \$2,125,000 (15%) increase is attributed to cost increases since 2006 Planning Budget was approved and refined scope of work based upon actual design work.

The Design Budget, attached for your consideration and approval, reflects the Construction Document estimates prepared by the construction manager and the design team.

The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on June 4 and June 11, 2012.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

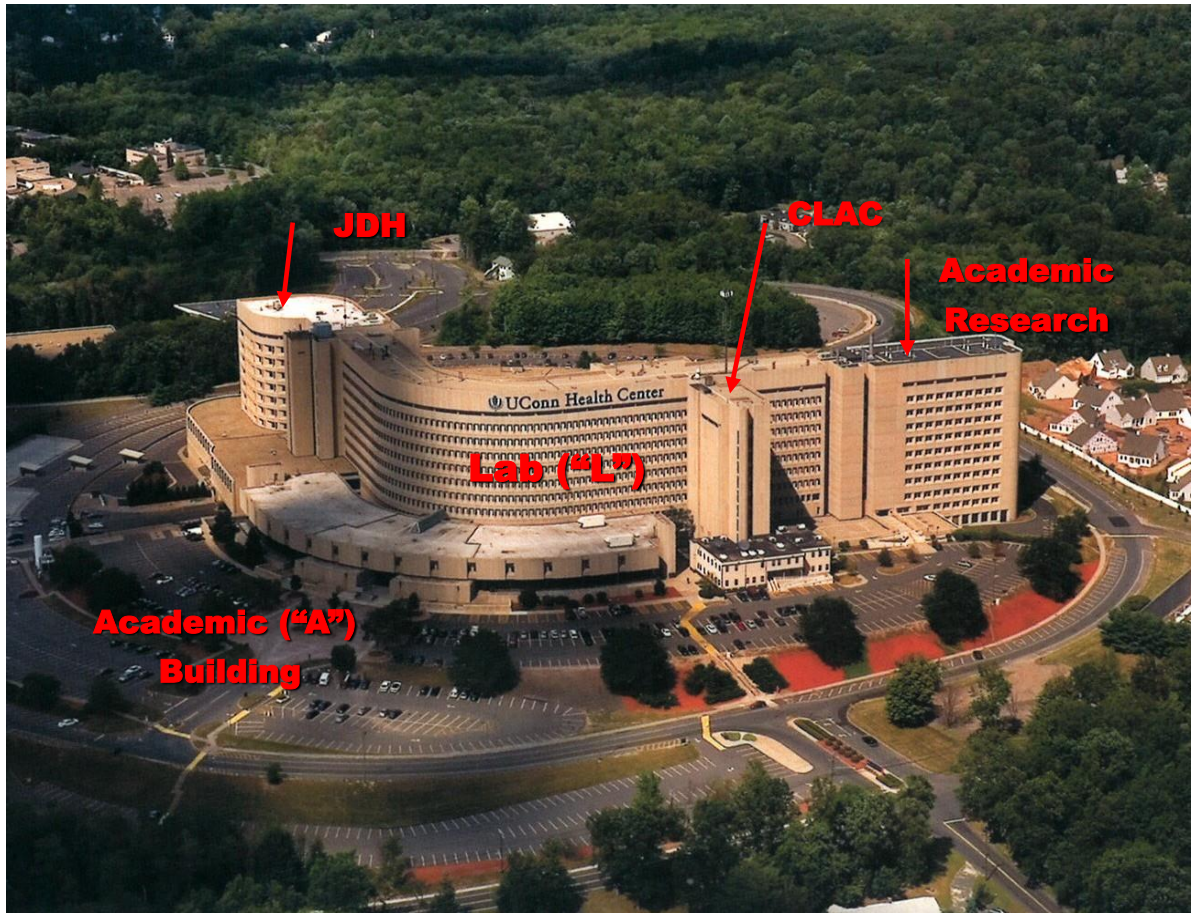
PROJECT NAME: UCHC CLAC RENOVATION

	APPROVED PLANNING 6/20/2006	PROPOSED DESIGN 6/27/2012
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 9,627,000	\$ 12,775,000
DESIGN SERVICES	950,000	1,206,000
TELECOMMUNICATIONS		115,000
FURNITURE, FIXTURES AND EQUIPMENT		348,000
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		90,000
ART (1% OF NEW CONSTRUCTION)		-
RELOCATION		31,000
ENVIRONMENTAL		31,000
INSURANCE AND LEGAL		35,000
MISCELLANEOUS		27,000
OTHER SOFT COSTS*	2,150,000	-
SUBTOTAL	\$ 12,727,000	\$ 14,658,000
PROJECT CONTINGENCY	1,273,000	1,467,000
TOTAL BUDGETED EXPENDITURES	\$ 14,000,000	\$ 16,125,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ 14,000,000	\$ 15,000,000
UCHC CAPITAL		1,125,000
TOTAL BUDGETED FUNDING	\$ 14,000,000	\$ 16,125,000

*Included Telecommunications, Inspections, Environmental and Legal Expenses

UCHC CLAC RENOVATION
Project Budget (Design)
6/28/2012

C Main Building Structure





University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.
Executive Vice President for
Health Affairs
Dean, School of Medicine

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH
Executive Vice President for Health Affairs
Dean, School of Medicine

Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Detention Basin Dredging
Maintenance (Design: \$1,300,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$1,300,000 for the UCHC Detention Basin Dredging Maintenance.

BACKGROUND:

The planning for the construction associated with the Bioscience Connecticut initiative requires that UCHC revise its Campus Storm Water Management Plan. A critical element to the overall campus storm water system is the pond detention basin on the lower campus. This detention basin was constructed as part of the original campus construction in the early 1970's. Over the years since its construction, sediment material has accumulated within the detention basin and reduced its capacity to store water. The revised Campus Storm Water Management Plan relies upon restoring the detention basin's original storage capacity by removing the accumulated sediment.

This detention basin dredging maintenance project will include the following activities:

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- Restore the functional aspects of the detention basin (water storage and sediment removal) by removing accumulated sediment to the extent practicable.
- Improving UCHC's ability to perform periodic maintenance and sediment removal at the existing drainage structures over the long term.
- Mitigate the area around the detention basin and remove invasive plant species, provide long-term stabilization of the detention basin perimeter, and enhance the visual aspects of the area.

As part of the design work, testing of the sediment in the detention basin was undertaken. The results of the tests show that low levels of pollutants are present in the sediment and this will require that the soil be disposed of at an approved landfill. This type of disposal is a more expensive than then normal disposal that was budgeted in the previously approved Planning Budget. The additional costs for the required disposal are reflected in the Design Budget.

The Design Budget is attached for your consideration and approval. The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on June 4 and June 11, 2012.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

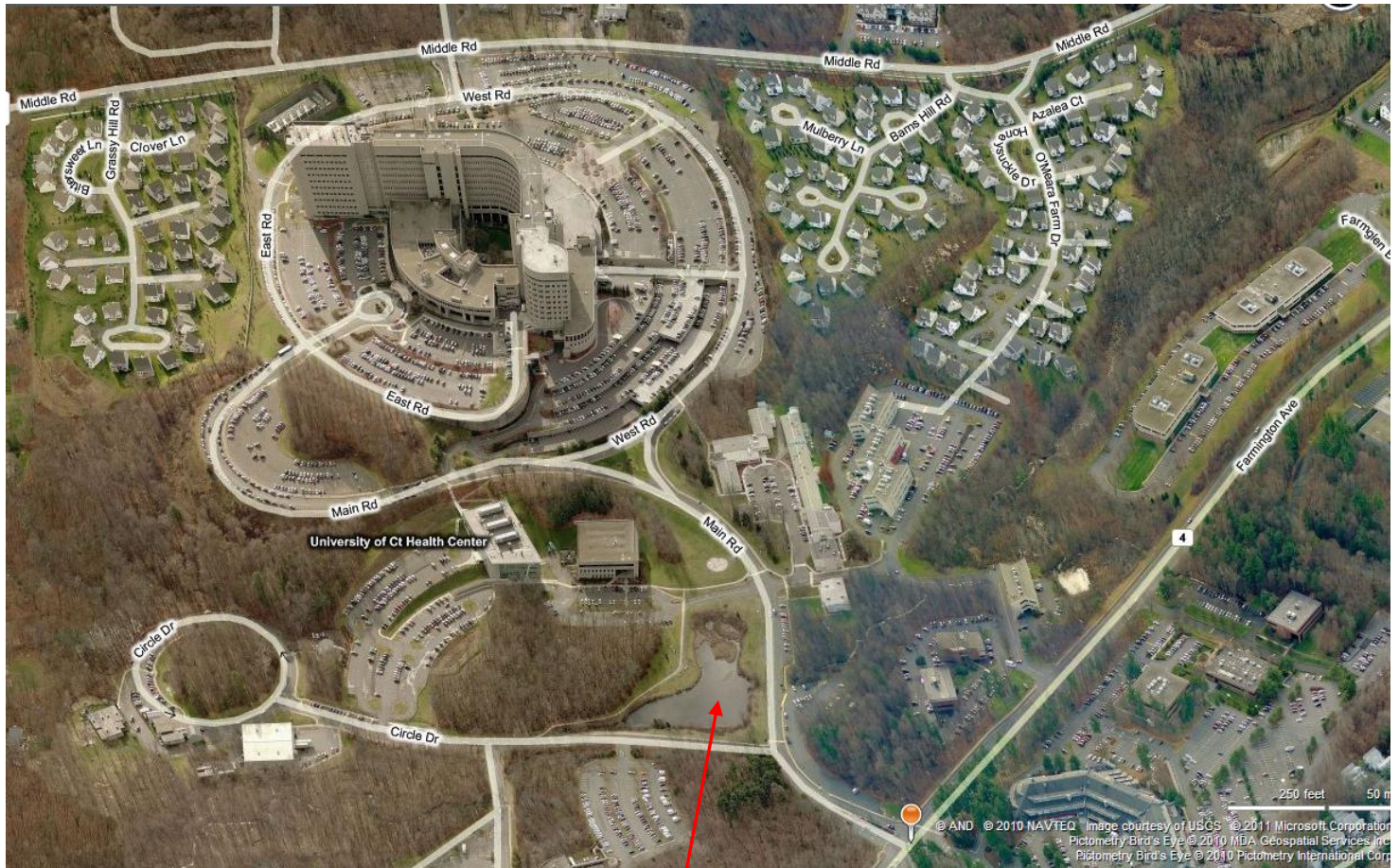
PROJECT NAME: UCHC DETENTION BASIN DREDGING

<u>BUDGETED EXPENDITURES</u>	APPROVED PLANNING 2/28/2012	PROPOSED DESIGN 6/28/2012
CONSTRUCTION	\$ 850,000	\$ 1,060,000
DESIGN SERVICES	45,000	117,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	5,000	5,000
MISCELLANEOUS	-	-
SUBTOTAL	\$ 900,000	\$ 1,182,000
PROJECT CONTINGENCY	90,000	118,000
TOTAL BUDGETED EXPENDITURES	\$ 990,000	\$ 1,300,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III - DM	\$ 990,000	\$ 1,300,000
TOTAL BUDGETED FUNDING	\$ 990,000	\$ 1,300,000

BOT 6.28.12

08-602.01

UCHC Detention Basin Dredging Maintenance Project Budget (Design) 6/28/2012



Detention Basin




University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.
Executive Vice President for
Health Affairs
Dean, School of Medicine

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH
Executive Vice President for Health Affairs 
Dean, School of Medicine

Richard D. Gray 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Main Building
Renovation; Lab ("L") Building Renovations - Project 1: (Design
\$79,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$79,000,000 for the UCHC Main Building Renovation, L Building - Project 1. As part of this approval, the Board is asked to authorize the expenditures so that construction work can begin on the cadaver lab relocation and the Phase 1A interior demolition work

BACKGROUND:

The UCHC Main Building structure includes the Lab (L) building which houses approximately 265,000 gross square feet of research space. The Bioscience Connecticut initiative supplemented the exiting UConn 2000 funds to allow for more complete renovations to the buildings labs and mechanical systems. The first project, Project 1 will renovate approximately half of the L building research space located on floors 1 – 7. A subsequent Project 2 will be submitted to renovate the balance of the lab space and mechanical systems.

The work will create large flexible open laboratory space, similar to the lab space that was created at the Cell and Genome Sciences Building (400 Farmington Ave). The

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project will also replace the aged mechanical, electrical and plumbing infrastructure with new energy efficient systems.

Project 1 will be executed in 3 phases. To allow the first major phase of work to proceed on schedule two enabling components of the project need to proceed. First, starting in July, the relocation of the existing cadaver lab is needed. This lab will be permanently relocated to space in the lower levels of the L building. The estimated cost for this relocation is \$1,465,000. Secondly, demolition in the areas of the first phase renovation is required in the late 2012. The estimated cost for the demolition work is \$2,500,000. Both of the above amounts are included in the Design Budget. With approval, we will proceed with both of these components of the project; however a Final Budget will be submitted reflecting the actual costs prior to proceeding with the major renovation work.

The Design Budget, attached for your consideration and approval, reflects the Design Development estimates prepared by the construction manager and the design team. The \$2,873,000 (3.8%) budget increase is based upon revised estimates for the project. The increased estimates are attributed to more detailed plans with better scope definition, addition mechanical and electrical infrastructure to provide greater flexibility in lab support rooms, additional IT infrastructure switches, and an increase in the project contingency for further protection against inflation and market conditions.

The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on June 4 and June 11, 2012.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: UCHC MAIN BUILDING RENOVATION: LAB ("L") BUILDING RENOVATIONS - PROJECT 1

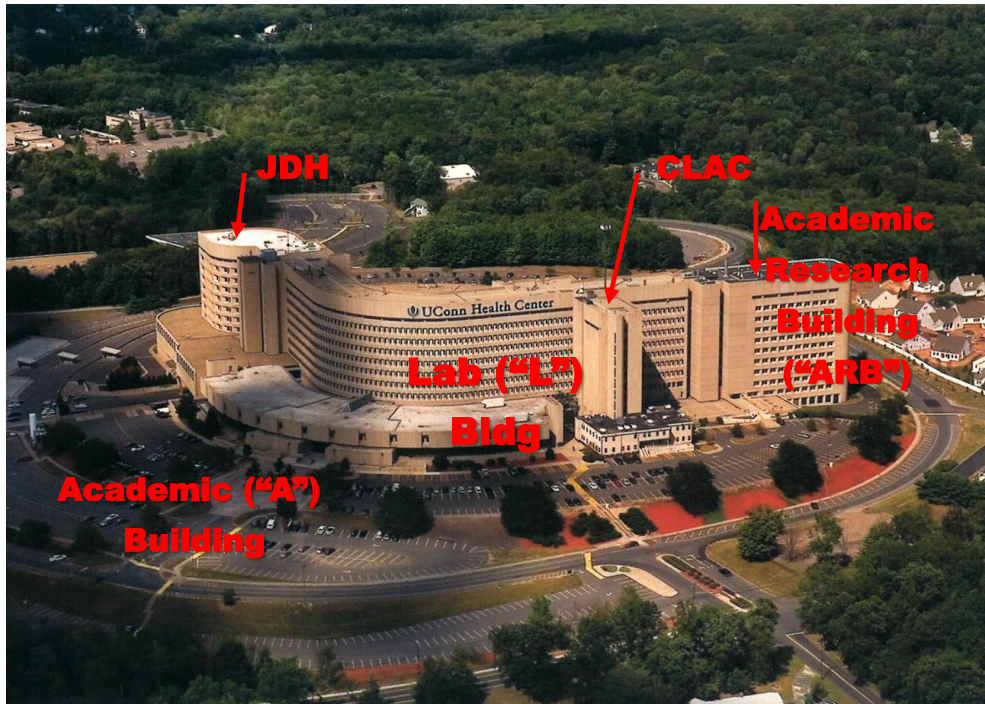
	APPROVED PLANNING 6/20/2006	APPROVED REVISED PLANNING 2/28/2012	PROPOSED DESIGN 6/28/2012
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ 50,681,800	\$ 57,042,000	\$ 58,630,000
DESIGN SERVICES	5,000,000	6,560,000	6,872,000
TELECOMMUNICATIONS		913,000	1,775,000
FURNITURE, FIXTURES AND EQUIPMENT		1,830,000	1,981,000
CONSTRUCTION ADMINISTRATION		1,780,000	1,780,000
OTHER AE SERVICES (including Project Management)		320,000	320,000
ART (1% OF NEW CONSTRUCTION)		-	-
RELOCATION		280,000	280,000
ENVIRONMENTAL		350,000	69,000
INSURANCE AND LEGAL		80,000	75,000
MISCELLANEOUS		51,000	38,000
OTHER SOFT COSTS*	12,500,000	-	-
SUBTOTAL	\$ 68,181,800	\$ 69,206,000	\$ 71,820,000
PROJECT CONTINGENCY	6,818,200	6,921,000	7,180,000
TOTAL BUDGETED EXPENDITURES	\$ 75,000,000	\$ 76,127,000	\$ 79,000,000
<u>SOURCE(S) OF FUNDING</u>			
UCONN 2000 PHASE III	\$ 75,000,000	\$ 76,127,000	\$ 79,000,000
TOTAL BUDGETED FUNDING	\$ 75,000,000	\$ 76,127,000	\$ 79,000,000

*Included Telecommunications, Inspections, Environmental and Legal Expenses

**UCHC MAIN BUILDING RENOVATION;
LAB ("L") BUILDING RENOVATIONS – PROJECT 1
Project Budget (Design)
6/28/2012**



IMAGE OF PROPOSED RENOVATED LAB SPACE






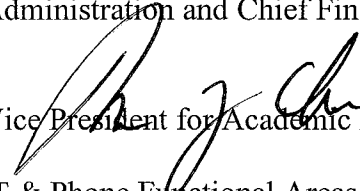
University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray 
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi 
Interim Provost & Executive Vice President for Academic Affairs

RE: Project Budget for Babbidge IT & Phone Functional Areas – Heating
Ventilation and Air-Conditioning and Electrical Repairs
(Final: \$2,200,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$2,200,000 for the planning, design and construction for upgrade, repairs and equipment replacement in the Homer Babbidge Library (HBL) Phone and Information Technology (IT) data center area.

BACKGROUND:

University Information Technology Services (UITs) has significant investment in IT infrastructure located in the sub-basement of the Babbidge Library. The mission critical campus phone switch, data, and TV network hubs are housed here. These areas are not expected to be relocated and have been in continuous service for over seventeen (17) years. They are now operating beyond their intended life. The area has become over crowded with heat-producing technology equipment. The Computer Room Air Conditioning (CRAC) systems are now unreliable, lack capacity and redundancy. Emergency power also lacks sufficient capacity. The wet-pipe sprinkler system for fire protection is outdated and a business liability.

The University has a management plan which will replace the (CRAC) systems and add emergency power. The new CRAC systems utilize chilled water from the central campus system and will also have stand-alone capacity for redundancy. The existing fire

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suppression system will be converted to a dry pipe system. A new gaseous, clean-agent fire suppression system will be installed. The data center will remain in operation throughout the project implementation.

The University requests a waiver to the three phase budget approval process as the planning and design phases are complete. This Final Budget is based on complete contract documents and competitive bidding. The University anticipates entering into a lump sum, general construction contract upon project approval by the Board of Trustees. The anticipated duration of the project is eight (8) months.

The Final Budget is attached for your consideration and approval

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: BABBIDGE IT & PHONE FUNCTIONAL AREAS - HEATING & AIR CONDITIONING AND ELECTRICAL REPAIRS

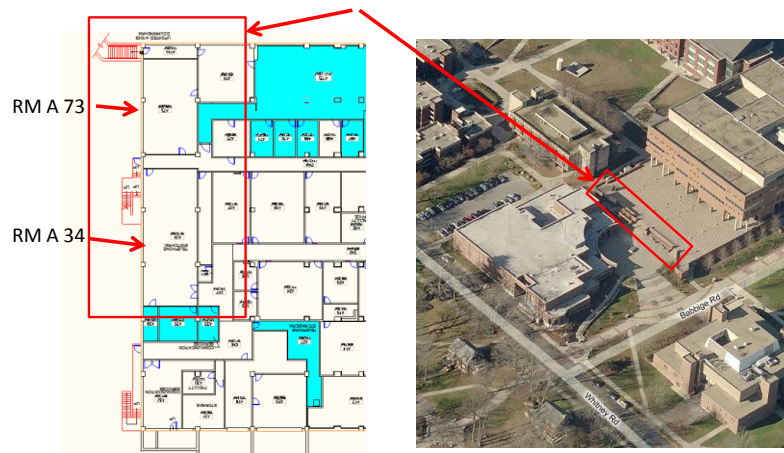
	APPROVED PLANNING 1/26/2011	PROPOSED FINAL 6/28/2012
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 445,000	\$ 1,200,000
DESIGN SERVICES	65,000	150,000
IT & TELECOMMUNICATIONS EQUIPMENT	100,000	600,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	5,000	66,000
OTHER AE SERVICES (including Project Management)	5,000	44,000
ART	-	-
RELOCATION	-	20,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	5,000
MISCELLANEOUS	10,000	15,000
OTHER SOFT COSTS*	-	-
SUBTOTAL	\$ 630,000	\$ 2,100,000
PROJECT CONTINGENCY	70,000	100,000
TOTAL BUDGETED EXPENDITURES	\$ 700,000	\$ 2,200,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III - FY06 DM	\$ 580,000	\$ 580,000
UConn 2000 PHASE III - FY09 DM	120,000	1,020,000
DEPARTMENTAL FUNDS	-	600,000
TOTAL BUDGETED FUNDING	\$ 700,000	\$ 2,200,000

*Does not include Furniture, Fixtures and Equipment.

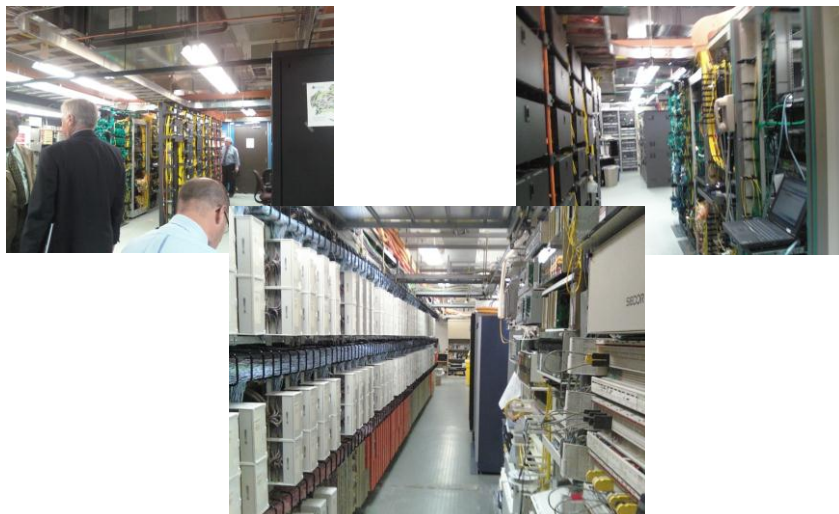
BABBIDGE IT & PHONE FUNCTIONAL AREAS – HEATING AND AIR CONDITIONING AND ELECTRICAL REPAIRS

Project Budget (FINAL)
06/28/2012

PROJECT AREA



INTERIOR VIEWS





University of Connecticut Health Center

Frank M. Torti, M.D., M.P.H.
Executive Vice President for
Health Affairs
Dean, School of Medicine

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M Torti, MD, MPH
Executive Vice President for Health Affairs
Dean, School of Medicine

Richard D. Gray 
Executive Vice President for Administration and Chief Financial Officer

RE: Project Budget (Final Budget: \$706,000) for the UConn Health Center
(UCHC) Fire Alarm System Upgrades- Phase I

RECOMMENDATION:

That the Board of Trustees approves the Final Budget of \$706,000 for the UCHC Fire Alarm System Upgrades-Phase I.

BACKGROUND:

This project provides for upgrade to the Simplex Fire Alarm system in the UCHC Main Building. The upgraded system will provide increased functionality for the system including location specific messaging capability and fire event location information. These features increase the safety of building occupants and fire responders by providing event specific information.

The Final Budget reflects the cost proposal for Phase I prepared by Simplex and is attached for your consideration and approval. The UCHC Board of Directors Finance Committee and the UCHC Board of Directors are anticipated to approve this budget at their respective meetings on June 4 and June 11, 2012.

Attachment

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCHC FIRE ALARM SYSTEM UPGRADES - PHASE I

	APPROVED PLANNING 6/23/2011	PROPOSED FINAL 6/28/2012
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 630,000	\$ 625,000
DESIGN SERVICES	-	-
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	11,000	16,000
SUBTOTAL	\$ 641,000	\$ 641,000
PROJECT CONTINGENCY	65,000	65,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 706,000</u>	<u>\$ 706,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III - FY12 DM	\$ 706,000	\$ 706,000
TOTAL BUDGETED FUNDING	<u>\$ 706,000</u>	<u>\$ 706,000</u>

BOT 6.28.12

10-068



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*
June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Interim Provost & Executive Vice President for Academic Affairs

RE: Project Budget for Pedestrian Safety Improvements – Hillside Road
(Revised Final: \$3,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$3,000,000 for the design and construction of Pedestrian Safety Improvements – Hillside Road.

BACKGROUND:

As part of the University's ongoing efforts to improve pedestrian safety combined with implementation of the Landscape Master Plan and the Access Management Plan, Hillside Road has been identified as an area that needs attention.

The first phase of Pedestrian Safety Improvements around Gampel Pavilion and the Student Union were completed in the summer of 2011. Included in this project effort was sidewalk and curb replacement, installation of improved lighting, improved drainage and road resurfacing.

Hillside Road is the main access point for the UConn campus and, in essence, the "Main Street" and must be the centerpiece of the campus. Therefore, it is important to upgrade the road and to complete the work in the shortest timeframe.

At the February 28, 2012 Board of Trustees meeting, \$2,000,000 was approved to initiate further improvements for Hillside Road. The design team then worked diligently detailing improvements to sidewalks, curbing, bus stops, street lighting, permeable pavers (hardscape at the curb) and improvements to storm water drainage.

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The contract documents were completed and the project was competitively bid by May 2012. The project scope was scaled to fit the available funds. As of this writing, construction work was awarded and is currently underway, with improvements to Hillside Road between Glenbrook and Stadium Roads.

The goal is to complete this portion of the work prior to the start of the fall semester, 2012.

This Revised Final Budget request provides \$1,000,000 of incremental funding to provide a similar level of pedestrian improvements to two additional portions of Hillside Road:

- From Glenbrook Road extending northward to Alumni Drive (ending at “the rock” and;
- From Stadium Road extending southward to Gilbert Road.

Pending approval of this funding, construction improvements will proceed immediately with anticipated completion by the end of September 2012.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: PEDESTRIAN SAFETY IMPROVEMENTS - HILLSIDE ROAD

	APPROVED FINAL 2/28/2012	PROPOSED REVISED FINAL 6/28/2012
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 1,500,000	\$ 2,628,000
DESIGN SERVICES	105,000	20,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	50,000	-
OTHER AE SERVICES (including Project Management)	55,000	60,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	25,000	5,500
MISCELLANEOUS	65,000	36,000
OTHER SOFT COSTS*	-	-
SUBTOTAL	\$ 1,800,000	\$ 2,749,500
PROJECT CONTINGENCY	200,000	250,500
TOTAL BUDGETED EXPENDITURES	\$ 2,000,000	\$ 3,000,000
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 PHASE III - FY09 DM	\$ 2,000,000	\$ 3,000,000
TOTAL BUDGETED FUNDING	\$ 2,000,000	\$ 3,000,000

*Does not include Furniture, Fixtures and Equipment.




University of Connecticut
Office of the President

Susan Herbst
President

June 28, 2012

TO: Members of the Board of Trustees

FROM: Susan Herbst, President 

RE: Delegation of Authority to Approve Leases for the Technology Incubation Program (TIP) in Specified Circumstances

RECOMMENDATION:

That the Board of Trustees delegate to the President of the University the authority to approve lease agreements between the University as landlord and tenant companies entered into pursuant to the Technology Incubation Program (TIP) provided such lease agreements meet the criteria specified in the Resolution.

BACKGROUND:

The Technology Incubation Program (TIP) utilizes University space at the Storrs and Avery Point campuses and at the Health Center in Farmington. The mission of the TIP is to accelerate the successful establishment and development of entrepreneurial companies by providing state-of-the-art wet and dry laboratories. The TIP also provides office, business development and research and development services, as well as access to University resources.

The areas utilized for the TIP program are designated for that purpose. The area leased by an individual company is relatively small, typically 250 to 1000 square feet, and for a limited duration. The rent rates are standardized and currently start at \$20 per square foot and increase by \$2 per square foot annually. Currently a total of approximately 20,000 square feet is devoted to the program and there are currently 24 tenants.

Companies seeking to lease such facilities from the University often require rapid turnaround in order to begin their projects on time. Such projects are typically funded by investors or federal agencies based on milestones that must be met, including securing space.

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Moreover, there is frequent turnover in TIP space as new tenants join the program, some expand and others move out. TIP leases are terminable by either party on sixty (60) days' notice. The frequent need to enter or amend leases often conflicts with the Board of Trustees' meeting schedule resulting in delay.

The issues have become more acute as the University's Bioscience and Technology Park initiatives gain momentum. It is desirable to attract tenants to the existing TIP space during the period those projects are being constructed.

Incubator facilities need to offer flexibility in their leasing processes to attract startup companies and other desirable tenants. Allowing the Administration to execute leases meeting defined criteria without requiring prior approval by the Board will help to ensure that the University maximizes the full potential of the TIP and meets the needs of its customer base.

All leases entered into pursuant to this delegation will be reported to the Board.

DELEGATION RESOLUTION:

Resolved that the Board of Trustees delegates authority to the President of the University as follows:

1. The President is authorized to approve entering into leases between the University as landlord and tenants of the Technology Incubation Program provided that the leases meet the following criteria:
 - a. The premises being leased have been designated for use in the Technology Incubation Program;
 - b. The rent per square foot and the other financial terms of the lease are consistent with the standard terms for the Technology Incubation Program as approved by the Executive Vice President for Administration and Chief Financial Officer;
 - c. The lease is for a term not exceeding four (4) years, including any optional extensions;
 - d. The lease is terminable at the option of the University upon not more than sixty (60) days' notice.
 - e. A standard lease agreement has been approved by the General Counsel of the University and by the Office of the Attorney General; and
 - f. The lease is approved by the Office of the State Treasurer.

2. The President is authorized to approve entering into amendments to leases in the Technology Incubation program, including those entered into prior to the date of this Resolution, provided that such amendments meet the criteria set forth in Section 1 of this Resolution.
3. The President may re-delegate this authority to approve such leases or amendments to the Vice President for Economic Development or another Vice President of the University.
4. The President shall report to the Board of Trustees no less frequently than at each regular meeting of the Board of Trustees all actions taken pursuant to this delegation of authority which have not been previously reported.
5. The University's signatory on such leases or amendments shall be governed by the President's delegation of contact signing authority then in effect with respect to leases and amendments.



University of Connecticut
Office of the Provost

Mun Y. Choi
*Interim Provost &
Executive Vice President
for Academic Affairs*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Mun Y. Choi
Interim Provost & Executive Vice President for Academic Affairs

Mary Holz-Clause
Vice President for Economic Development

RE: Tuition Discount Policy

RECOMMENDATION:

That the Board of Trustees adopt a policy, set forth below, which would enable the University of Connecticut to discount the tuition paid by any business or nonprofit entity for graduate programs if that entity spends one million dollars (\$1,000,000) or more per fiscal year on undergraduate and graduate tuition. Each such organization will be required to enter into a two year agreement with the University. The graduate tuition discount would not exceed five percent (5%).

BACKGROUND:

The University previously had a similar agreement with United Technologies Corporation for the period August 1, 2006 through July 21, 2008. That agreement granted UTC a ten percent (10%) discount off the approved published course fee. The discount applied to all University academic programs and applied to UTC and its subsidiaries, divisions and other business entities worldwide. An audit of School of Law Business Operations (March 17, 2009) noted that that the agreement had not been reviewed by the Attorney General, the Vice President and Chief Financial Officer, or the Board of Trustees prior to execution. The audit noted that the Law School had continued to operate under an expired agreement.

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In response to the Audit, the Office of the Provost evaluated this tuition discount program and concluded the continuation of the discount program at the University was not appropriate. A letter dated March 2, 2009 was sent by the Office of the Provost to United Technologies Corporation informing the company that the contract underlying this agreement would not be renewed.

After further review and evaluation, we now recommend that the Board adopt a policy that would allow the University to enter into such agreements in the future. Such agreements will enable the University to develop significant partnerships with business and non-profit entities.

Specific agreements pursuant to the policy would still require Board approval.

RATIONALE

This policy reinforces relationships with industry partners who have invested heavily in UConn education for their employees by offering a modest tuition discount. This policy change may help to:

- Increase the number of graduate students taking courses from UConn instead of from competing universities;
- Market classes to students who may not be aware of UConn, especially corporate employees overseas and out of the geographic area of UConn. Increase usage of on-line classes—i.e. increase the professional audience of the university;
- Increase brand recognition of UConn within specific industries;
- Produce partnerships and opportunities for future collaboration in other contracts, grants research partnerships and internships;
- Support alignment in our overall strategy to increase the number of graduate online programs and certificates; and
- Provide valuable insights and feedback to program curricula, helping to ensure the programs remain at the forefront of industry trends.

The proposed policy has been reviewed and approved by the Executive Vice President for Administration and Chief Financial Officer.

PROPOSED POLICY:

The University of Connecticut recognizes that establishing partnerships with other entities may be beneficial to the University and enhance the University's ability to fulfill its mission. One form of partnership can be a relationship in which another entity sponsors the education of multiple University students, particularly when that sponsorship is coupled with other relationships between the University and the entity.

Accordingly, it is the policy of the Board of Trustees to permit the University to enter into Tuition Discount Agreements with other entities provided that such Agreements meet the following criteria:

- Parties: The University of Connecticut and any business or nonprofit entity.
- Discount Permitted: Up to five percent (5%). The Agreement may specify a maximum total dollar discount for the life of the Agreement.
- Discount applicable to: Graduate Tuition and Fee Equivalents approved by the Board of Trustees.
- Programs eligible: Regular academic degree programs offered by any school or department of the University but not including undergraduate programs.
- Minimum Expenditure: In order to be eligible, the contracting entity must have spent at least one million dollars in a prior fiscal year in Tuition and Fee Equivalents for graduate and undergraduate education. The Tuition Discount Agreement can then be entered into for the following fiscal year.
- Maximum Duration: Agreements shall be for a maximum term of two (2) years but may be renewed with Board approval. The Agreement may provide for a limit on the total aggregate dollar discount for the life of the Agreement.
- Early termination: Each Agreement may provide that if the amount of Tuition and Fee Equivalents incurred by the contracting entity before discount falls below one million dollars in a particular year or on a rolling average basis, that the University may cancel the Agreement for subsequent years.
- Application of the discount: The discount will be applied at the time of registration. The Agreement shall provide a mechanism for assuring that the tuition has been paid by the contracting entity.
- In determining whether or not to enter into an Agreement, the University will take into account whether the entity has demonstrated a commitment to support the University through past philanthropy, providing student internships, collaboration on research, entering into fee for service arrangements or in other ways.


All such Agreements must be approved by the Board of Trustees before they are effective. Nothing in this policy creates a right in any entity, nor an obligation on the University, to enter into such Agreement. The Board of Trustees retains sole discretion with regard to all proposed Agreements.



University of Connecticut Health Center

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH 
Executive Vice President for Health Affairs
Dean, School of Medicine

John M. Biancamano 
Chief Financial Officer

RE: Spending Plan for Fiscal Year 2013 for the University of Connecticut Health Center

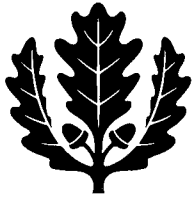
RECOMMENDATION:

That the Board of Trustees approve the Spending Plan for Fiscal Year 2013 of \$811.4 million for the University of Connecticut Health Center.

BACKGROUND:

The Fiscal Year 2013 Spending Plan includes \$811.4 million of revenue, including state funding of \$160.7 million (excluding fringe benefits differential), to cover \$811.4 million in expenses yielding a net gain of \$74,248.

This request was reviewed and endorsed by the UCHC Finance Subcommittee on June 4, 2012 and Board of Directors on June 11, 2012.



University of Connecticut Health Center

June 28, 2012

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH
Executive Vice President for Health Affairs
Dean, School of Medicine

John M. Biancamano
Chief Financial Officer

RE: State Appropriation Current Services Request for the Biennium Fiscal Years 2014 and 2015 for the University of Connecticut Health Center

RECOMMENDATION:

That the Board of Trustees approve a Current Services State Appropriation Request for the Biennium Fiscal Years 2014 and 2015 as follows: \$130.4 million for Fiscal Year 2014 and \$141.8 million for Fiscal Year 2015 for the University of Connecticut Health Center.

BACKGROUND:

The FY 2014 Current Services State Appropriation request is \$130.4 million. The UHC is requesting increased funds for personal services increases of \$4.5 million. In addition, \$13.2 million is being requested for faculty recruitment related to Bioscience CT. Also, the UHC has budgeted \$51.0 million for Current Services fringe benefits and \$13.5 million for JDH fringe benefit differential, which is not appropriated directly to the UHC.


The FY 2015 Current Services State Appropriation request is \$141.8 million. The UHC is requesting increased funds for personal services increases of \$5.8 million. In addition, \$18.8 million is being requested for faculty recruitment related to Bioscience CT an increase of \$5.6 million from FY14. Also, the UHC has budgeted \$53.5 million for Current Services fringe benefits and \$13.5 million for JDH fringe benefit differential, which is not appropriated directly to the UHC.

This request was reviewed and endorsed by the UHC Finance Subcommittee on June 4, 2012 and Board of Directors on June 11, 2012.

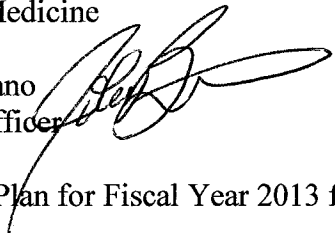


University of Connecticut Health Center

DATE: June 28, 2012

TO: Members of the Board of Trustees 

FROM: Frank M. Torti, MD, MPH
Executive Vice President of Health Affairs
Dean, School of Medicine

John M. Biancamano 
Chief Financial Officer

RE: Capital Spending Plan for Fiscal Year 2013 for the University of Connecticut Health Center

RECOMMENDATION:

That the Board of Trustees approve the Capital Spending Plan for Fiscal Year 2013 of \$36,131,000 for the University of Connecticut Health Center.

BACKGROUND:

The Fiscal Year 2013 Capital Spending Plan for the Health Center incorporates funds which include Research Use Allowance and Grant revenues, Departmental Funds and Cash Available from Operations for a total of \$36,131,000.

This request was reviewed and endorsed by the UCHC Finance Subcommittee on June 4, 2012 and Board of Directors on June 11, 2012.



University of Connecticut Health Center Board of Directors

Lenworth M. Jacobs, M.D.

2002-2012

Whereas, Lenworth M. Jacobs has served the people of the State of Connecticut and the University of Connecticut as a member of the University of Connecticut Health Center Board of Directors for the past ten years, was a founding member of this Board and served on the Health Affairs Subcommittee prior to that; and

Whereas, Dr. Jacobs has brought to his responsibilities as a member of the Board a unique combination of organizational expertise, knowledge of clinical medicine, medical education and public health, ability to define problems and develop solutions, to think both strategically and tactically, and capacity to work effectively with Board colleagues and University administrators toward common goals; and

Whereas, In all aspects of his service on the Board of Directors, Lenworth M. Jacobs has been a forceful advocate for cooperation between the University of Connecticut Board of Trustees and the Board of Directors as well as between the health systems in the Greater Hartford area, and has been an advocate for the underserved and economically disadvantaged, and

Whereas, Dr. Jacobs has been an active and productive member the Board's Finance and Academic Affairs committee, the latter which he has chaired, and

Whereas, Dr. Jacobs leaves the Board of Directors with the gratitude, respect and affection of his colleagues, and their best wishes for the future;

Therefore Be It Resolved That the Board of Directors records its deep appreciation to Lenworth M. Jacobs for exemplary service to the Board and to the University of Connecticut.

Be It Further Resolved That this resolution be spread upon the minutes of the Board of Directors, with copies sent to University of Connecticut Board of Trustees and to Dr. Jacobs.

*Sanford Cloud, Jr., Chairperson
June 11, 2012*




University of Connecticut
Office of the President

Susan Herbst
President

June 28, 2012

TO: Members of the Board of Trustees

FROM: Susan Herbst, President 

RE: Naming Recommendation for the Philip E. Austin Building

RECOMMENDATION:

That the Board of Trustees authorizes renaming the College of Liberal Arts and Sciences building at 215 Glenbrook Road in Storrs, Connecticut, the Philip E. Austin Building.

BACKGROUND:

Guidelines and procedures have been established regarding the naming of University facilities pursuant to the authority vested with the Trustees and President under Article XV, Section F of the *By-Laws of the University of Connecticut*. Pursuant to these guidelines, I appointed a Buildings Names Committee for a recommendation to name a facility in honor of former University President Philip E. Austin.

Dr. Philip E. Austin served as President from October 1996 to September 2007, a period of unprecedented growth at the University. During his tenure, he oversaw unparalleled enrollment growth coupled with an increased reputation for academic excellence. In addition to overseeing the massive \$1 billion UCONN 2000 construction and renovation program – which transformed the physical campus – he also led the drive for phase two of the effort, the \$1.3 billion 21st Century UConn Program.

Dr. Austin took an outdated campus and transformed it into a vibrant contemporary place marked for research excellence, competitive with the better state schools, attracting the best students and attaining national attention in intercollegiate sports. He boosted the morale of the students, faculty and staff through extraordinary improvements of the campus through the UCONN 2000 projects. Dr. Austin also helped to bring in \$110 million increase in research awards and led a successful fundraising campaign of \$300 million.

An Equal Opportunity Employer

Gulley Hall
352 Mansfield Road Unit 2048
Storrs, Connecticut 06269-2048

Telephone: (860) 486-2337
Facsimile: (860) 486-2627
e-mail: susan.herbst@uconn.edu

After stepping down as President, Dr. Austin served as a tenured faculty member at the University teaching courses in higher education leadership. He has also participated in accreditation and other activities of the New England Association of Schools and Colleges and the National Collegiate Athletic Association on behalf of the University.

At the request of the Board of Trustees, Dr. Austin agreed to serve as Interim President from May 2010 through June 2011. As President, Interim President, and in his more recent role as Interim Vice President for Health Affairs at the University of Connecticut Health Center, Dr. Austin exemplifies the personal service and support to the University that deserves true recognition through a naming opportunity.

Pursuant to the facilities naming guidelines, in general, requests to name facilities to recognize administrators for personal service rendered to the University will be deferred until the person to be recognized has been retired from University service for three years (hereinafter referred to as the "three-year rule"). However, there is a provision which allows the President to recommend to the Trustees an exception to these guidelines as particular facts and circumstances warrant.

In consultation with the Chair members of the Board of the Trustees, the President is recommending an exception to the three-year rule in order to recognize former President Philip E. Austin. Although he has not yet officially retired from state service, more than three years has passed since he resigned as President of the University. Since the time of his resignation, Dr. Austin has stepped up and filled on an interim basis critical positions at the request of the Board of Trustees. As you are aware, he has served as Interim President after the resignation of former President Michael Hogan until such time as President Herbst could begin. He then has served as Interim Vice President of Health Affairs upon the resignation of Cato T. Laurencin, M.D. until the start date of Frank M. Torti, M.D. In both cases, the University needed stability of leadership and the Board is grateful to him for his extraordinary service, dedication, and loyalty to this institution; his service is especially laudable since he agreed to do so with no adjustment to salary or other compensation. It is, therefore, fitting that Dr. Austin receive this honor at this time.




University of Connecticut
Office of the President

Susan Herbst
President

June 28, 2012

TO: Members of the Board of Trustees

FROM: Susan Herbst, President 

RE: Naming Recommendation for the John W. Rowe Center for Undergraduate Education

RECOMMENDATION:

That the Board of Trustees authorizes naming the Center for Undergraduate Education building at 368 Fairfield Road, Unit 2218, Storrs, Connecticut, the John W. Rowe Center for Undergraduate Education.

BACKGROUND:

Guidelines and procedures have been established regarding the naming of University facilities pursuant to the authority vested with the Trustees and President under Article XV, Section F of the *By-Laws of the University of Connecticut*. Pursuant to these guidelines, I appointed a Buildings Names Committee for a recommendation to name a facility in honor of former Chairman of the Board of Trustees John W. Rowe, M.D.

John W. Rowe, M.D. served as Chairman of the University of Connecticut's Board of Trustees from July 2003 through 2009 succeeding Roger Gelfenbien. During his tenure as Chairman, he presided over the expansion of the University's physical environment, a period of enrollment growth and increase in the University's national stature.

Dr. Rowe received his medical degree from the University of Rochester in 1970 and was President of the Mount Sinai Hospital and Mount Sinai School of Medicine from 1988 to 1998 and was President and Chief Executive Officer of Mount Sinai NYU Health from 1998 to 2000. In 2000 he assumed the Chairmanship and CEO of Aetna, Inc. He is currently a Professor at Columbia University in the Health Policy and Management Department. Dr. Rowe has received numerous academic awards and honors and has distinguished himself as one of the nation's outstanding medical researchers.

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e-mail: susan.herbst@uconn.edu

Since his departure as Board Chairman, Dr. Rowe continues to show his commitment to the University community and has made a significant contribution to the Honor's Program establishing the John and Valerie Rowe Health Professions Scholars Program in 2010. He believes in giving back "to give disadvantaged students who are academically talented the opportunity to reach their full potential."

The Center for Undergraduate Education (CUE) houses various academic support departments, including the Honor's Program. We are grateful to Dr. Rowe for his commitment to this Program which has done so much to improve our national reputation. In recognition of Dr. Rowe's contributions as Board Chairman and his vision for improving the academic profile of the University, it is requested that CUE be named after Dr. Rowe in honor of his interest and commitment in assisting underrepresented undergraduate students.

The University of Connecticut Foundation, Inc.

Development Progress Executive Summary

July 1, 2011 to May 31, 2012

Progress Toward Goals	FYTD11 Results	FY12 Goal	FYTD12 Results	% of Goal
<u>Commitment Results</u>				
Athletics	\$ 7.85 M	\$ 13.00 M	\$ 18.87 M	145%
UConn Health Center	\$ 6.20 M	\$ 10.75 M	\$ 9.03 M	84%
UConn General	\$ 26.44 M	\$ 33.25 M	\$ 23.00 M	69%
Total Commitments	\$ 40.49 M	\$ 57.00 M	\$ 50.90 M	89%
<u>Number of household donors</u>	25,566	30,000	25,193	84%
<u>Cash Results</u>				
Cash basis gift receipts	\$ 35.19 M	\$ 50.00 M	\$ 39.25 M	79%
Annual fund unrestricted receipts	\$ 789 K	\$ 1.00 M	\$ 768 K	77%

Campaign Commitments Progress Fiscal Year 2012 Campaign Total (to Date)* * This total reflects campaign write-offs and pre-campaign total of \$10.6 million, as appropriate.	\$ 327.72 M
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Informational Items

University of Connecticut Department of Human Resources
New Hires Processed from April 2, 2012 to June 4, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
BELKO, BRIGID N.	UC PROFESSIONAL 05 - PROGRAM COORD	ISS-1ST YR PROG/LEARNING	4/6/2012
BREEN, TOM J.	UC PROFESSIONAL 07 - U RELATIONS ASSOC	UNIV COMMUNICATIONS	5/4/2012
BRIDGEMAN, COLLEEN K.	UC PROFESSIONAL 07 - BUDGET ANALYST II	BUDGET OFC	5/18/2012
BROWN, THERESA R.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	GLOBAL PROGRAMS	5/4/2012
BUTLER, PATRICK H.	UC PROFESSIONAL 07 - U LIBRARIAN II	LAW SCH LIBRARY	5/18/2012
CARROLL, MEGAN H.	RESEARCH ASSISTANT 1	SOCIAL WORK INSTR & RES	4/17/2012
CHEN, MING	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	3/31/2012
CHONG, YEAN FERN C.	U POST DOC FEL 1	ECOLOGY & EVOL BIOLOGY	5/1/2012
DAS, APURBA K.	U POST DOC FEL 1	MECHANICAL ENGR	4/16/2012
DE PERIO WITTMAN, JESSICA	U STAFF PROF III	LAW SCH LIBRARY	5/18/2012
DONOVAN, JANINE L.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	ENTERPRISE ADMIN SYSTEMS	5/18/2012
DUNN, CATHERINE M.	UC PROFESSIONAL 09 - U LIBRARIAN III	LAW SCH LIBRARY	6/1/2012
EWING, MELISSA M.	UC PROFESSIONAL 07 - U ACCOUNTANT II	BURSARS OFC	4/20/2012
FRITSCH, THOMAS	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	REGISTRAR OFC	1/13/2012
GRAY, LILLANYA	RESEARCH ASSISTANT 3	SOCIAL WORK INSTR & RES	5/4/2012
HAMLIN, ANN M.	UC PROFESSIONAL 04 - LAB TECHNICIAN II	PHYSIOLOGY & NEUROBIOLOGY	5/18/2012
KARR, ALEXANDER	UC PROFESSIONAL 05 - FACILITIES PROF I	TRANS & PARK AUX	5/29/2012
KENNEY, KRISTIN R.	SPECIALIST IA	ATHLETICS WMNS INTERCOL	5/1/2012
KESHIPEDDY, SANTOSH K.	U POST DOC FEL 1	PHARMACEUTICAL SCI	4/30/2012
LARSON, JOY G.	RESEARCH ASSISTANT 3	CHIP	5/1/2012
LEE, KANGKWANG	U POST DOC FEL 1	PHARMACEUTICAL SCI	5/1/2012
LIU, HAOFEI	U POST DOC FEL 1	MECHANICAL ENGR	3/23/2012
MACTAGGART, AMANDA R.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	ISS-1ST YR PROG/LEARNING	5/11/2012
MCAVOY, THOMAS N. III	UC PROFESSIONAL 06 - DATA BASE PROG/ANLST	LIBERAL ARTS & SCI DEAN	4/6/2012
MCGUIRE, COLLEEN A.	U DIRECTOR	BUSINESS MBA PROGRAM	4/30/2012
MICHAUD, MATTHEW C.	UC PROFESSIONAL 05 - FINANCIAL ASST II	SPONSORED PROGRAMS	4/9/2012
MILDNER, TAMI-MARIE	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	FINANCIAL AID OFC	5/18/2012

University of Connecticut Department of Human Resources
New Hires Processed from April 2, 2012 to June 4, 2012
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NAME	TITLE	DEPARTMENT	DATE
PACHECO, CARLOS N.	ACAD ASSISTANT III	CHEMISTRY	5/4/2012
PARENT, DENISE A.	CLIN PROF 11MO	HUMAN DEV/FAM STUDI&R	5/18/2012
PERRY, EDWARD	LECTURER	MATHEMATICS	4/9/2012
PETSA, MEGAN S.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	STATISTICS	6/1/2012
PILANIA, GHANSHYAM	U POST DOC FEL 1	MATERIALS SCIENCE INST	5/22/2012
RAINES, DAVID C.	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	ENTERPRISE ADMIN SYSTEMS	5/18/2012
RANDAZZO, REBECCA M.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	COMPUTER SCIENCE & ENGR	5/24/2012
RICK, ROSS A.	UC PROFESSIONAL 07 - EQUIP FAC MGR ATHLET	ATHLETICS FACILITIES/OPER	6/1/2012
RIMOCZY, STEPHANIE	UC PROFESSIONAL 07 - U ACCOUNTANT II	ACCOUNTING OFC	4/16/2012
ROBINSON, GLORIA J.	UC PROFESSIONAL 08 - ADMISSIONS DIR/RC	SOCIAL WORK INSTR & RES	3/23/2012
SCHEUING, JEFFREY R.	UC PROFESSIONAL 07 - BUDGET ANALYST II	BUDGET OFC	5/4/2012
SEYMOUR, COREEN A.	UC PROFESSIONAL 09 - DATABASE ADMIN 2	ENTERPRISE ADMIN SYSTEMS	4/6/2012
SIBILIO, BRIAN S.	RESEARCH ASSISTANT 3	CHIP	5/10/2012
SIROIS, DIANNE C.	UC PROFESSIONAL 08 - PURCHASING AGENT II	PROCUREMENT SERVICES	3/30/2012
STONGER, JESSICA	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	SPONSORED PROGRAMS	5/14/2012
SWEET, JOSEPH M.	UC PROFESSIONAL 08 - PURCHASING AGENT II	PROCUREMENT SERVICES	3/23/2012
TULIN, ADAM B.	UC PROFESSIONAL 01 - FINANCIAL ASST I	PSYCHOLOGY	4/6/2012
VALENTE, DIEGO C.	U POST DOC FEL 1	PHYSICS	4/6/2012
VOLLE, ROBERT L. JR	UC PROFESSIONAL 07 - PROGRAM MANAGER	BUSINESS DEAN OFC	5/21/2012
WELLES, BRANDI L.	RESEARCH ASSISTANT 1	PSYCHOLOGY	5/18/2012
WILSON, LAUREN E.	LECTURER	ALLIED HEALTH SCIENCES	4/20/2012
ZACCHEA, MICHAEL J.	UC PROFESSIONAL 07 - PROGRAM MANAGER	BUSINESS MBA PROGRAM	5/18/2012
ZARLENGA, ALESSANDRA L.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PHYSICS	4/6/2012

University of Connecticut Department of Human Resources
Separations Processed from April 2, 2012 to June 4, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
ALLARD, DEVAN M.	UC PROFESSIONAL 04 - LAB TECHNICIAN II	RESIGNATION (GOOD STANDING)	PNB	5/11/2012
ALLISON, PETER F.	U STAFF PROF I	RESIGNATION (GOOD STANDING)	OACE	3/5/2012
ANDERSON, ELIZABETH	ASSOCIATE PROFESSOR	RETIREMENT (55/W 10-24 YEARS)	NURS	5/22/2012
BONESIO BESSETTE, KATHLEE	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	DEATH (NON-EMPLOYMENT RELATED)	PSYC	5/27/2012
CERULLO, MICHAEL L.	UC PROFESSIONAL 01 - PROGRAM AIDE	RESIGNATION (GOOD STANDING)	ATH	4/17/2012
CROUCH, MICHAEL M.	ASST VICE PRESIDENT	RESIGNATION (GOOD STANDING)	OSP	5/3/2012
DASHEFSKY, ARNOLD M.	PROFESSOR - DIRECTOR	RETIREMENT (25+ YRS SERVICE)	SOCI	6/1/2012
DEVEREUX, GAIL M.	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	RETIREMENT (25+ YRS SERVICE)	ACTPAY	5/31/2012
D'INNOCENZO, ANDREA	SPECIALIST IA - ASSISTANT COACH	RESIGNATION (GOOD STANDING)	ATH	5/31/2012
FOSTER-CHALECKI, SHARON	UC PROFESSIONAL 05 - FINANCIAL ASST II	RESIGNATION (GOOD STANDING)	TELCOM	4/5/2012
FRANZ, ADAM R.	UC PROFESSIONAL 09 - SR COMP PROG/ANAL	RESIGNATION (GOOD STANDING)	CONTRL	4/24/2012
GRAHAM, MARTIN C.	UC PROFESSIONAL 10 - EH&S MANAGER	RETIREMENT (55/W 10-24 YEARS)	EH&S	3/31/2012
GRANOFF, ERICA M.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	5/16/2012
HARRINGTON, ANNE	SPECIALIST IA - ASSISTANT COACH	RESIGNATION (GOOD STANDING)	ATH	5/31/2012
HINCKLEY, LYNN	ACAD ASSISTANT III	RETIREMENT (25+ YRS SERVICE)	PATH	4/30/2012
HUDD, ROBERT S.	ASSOC VICE PRESIDENT	RETIREMENT (HAZARDOUS DUTY)	POLICE	3/31/2012
HURLEY-DIZIGAN, KATHERINE	UC PROFESSIONAL 05 - PROGRAM COORD	RESIGNATION (GOOD STANDING)	WOMEN	4/5/2012
JOHNSON, HARRIETTE C.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	SW	5/31/2012
KAMAY, KENNETH J.	UC PROFESSIONAL 08 - MEDIA TECHNICIAN III	RETIREMENT (55/W 10-24 YEARS)	ITL	5/31/2012
LAPLANTE, DORIS I.	CLIN INSTR IIMO	RETIREMENT (55/W 10-24 YEARS)	HDFS	5/31/2012
MAZZOCCA, AUGUSTUS	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	ART	5/31/2012
MCDOWELL, PAUL R.	CHIEF FINANCIAL OFF	RETIREMENT (55/W 10-24 YEARS)	CFO	5/31/2012
MCSHERRY, SHAUNA	UC PROFESSIONAL 05 - DNG SERV AR AST MGR	RESIGNATION (GOOD STANDING)	FOOD	5/7/2012
MUNROE, DONNA B.	VICE PRESIDENT	RETIREMENT (25+ YRS SERVICE)	HRPS	5/31/2012
ORCUTT, MARCIA G.	UC PROFESSIONAL 07 - PROGRAM SPEC II	RETIREMENT (55/W 10-24 YEARS)	ISS	5/31/2012
PERRY, EDWARD	LECTURER	RESIGNATION (GOOD STANDING)	MATH	5/8/2012
RAHMLow, DAVID	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHYS	5/25/2012

University of Connecticut Department of Human Resources
New Hires Processed from April 2, 2012 to June 4, 2012
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NAME	TITLE	DEPARTMENT	DATE
PACHECO, CARLOS N.	ACAD ASSISTANT III	CHEMISTRY	5/4/2012
PARENT, DENISE A.	CLIN PROF 11MO	HUMAN DEV/FAM STUDI&R	5/18/2012
PERRY, EDWARD	LECTURER	MATHEMATICS	4/9/2012
PETSA, MEGAN S.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	STATISTICS	6/1/2012
PILANIA, GHANSHYAM	U POST DOC FEL 1	MATERIALS SCIENCE INST	5/22/2012
RAINES, DAVID C.	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	ENTERPRISE ADMIN SYSTEMS	5/18/2012
RANDAZZO, REBECCA M.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	COMPUTER SCIENCE & ENGR	5/24/2012
RICK, ROSS A.	UC PROFESSIONAL 07 - EQUIP FAC MGR ATHLET	ATHLETICS FACILITIES/OPER	6/1/2012
RIMOCZY, STEPHANIE	UC PROFESSIONAL 07 - U ACCOUNTANT II	ACCOUNTING OFC	4/16/2012
ROBINSON, GLORIA J.	UC PROFESSIONAL 08 - ADMISSIONS DIR/RC	SOCIAL WORK INSTR & RES	3/23/2012
SCHEUING, JEFFREY R.	UC PROFESSIONAL 07 - BUDGET ANALYST II	BUDGET OFC	5/4/2012
SEYMOUR, COREEN A.	UC PROFESSIONAL 09 - DATABASE ADMIN 2	ENTERPRISE ADMIN SYSTEMS	4/6/2012
SIBILIO, BRIAN S.	RESEARCH ASSISTANT 3	CHIP	5/10/2012
SIROIS, DIANNE C.	UC PROFESSIONAL 08 - PURCHASING AGENT II	PROCUREMENT SERVICES	3/30/2012
STONGER, JESSICA	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	SPONSORED PROGRAMS	5/14/2012
SWEET, JOSEPH M.	UC PROFESSIONAL 08 - PURCHASING AGENT II	PROCUREMENT SERVICES	3/23/2012
TULIN, ADAM B.	UC PROFESSIONAL 01 - FINANCIAL ASST I	PSYCHOLOGY	4/6/2012
VALENTE, DIEGO C.	U POST DOC FEL 1	PHYSICS	4/6/2012
VOLLE, ROBERT L. JR	UC PROFESSIONAL 07 - PROGRAM MANAGER	BUSINESS DEAN OFC	5/21/2012
WELLES, BRANDI L.	RESEARCH ASSISTANT 1	PSYCHOLOGY	5/18/2012
WILSON, LAUREN E.	LECTURER	ALLIED HEALTH SCIENCES	4/20/2012
ZACCHEA, MICHAEL J.	UC PROFESSIONAL 07 - PROGRAM MANAGER	BUSINESS MBA PROGRAM	5/18/2012
ZARLENGA, ALESSANDRA L.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	PHYSICS	4/6/2012

University of Connecticut Department of Human Resources
Separations Processed from April 2, 2012 to June 4, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
RUIZ, KATHERINE	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION (GOOD STANDING)	SBDO	5/31/2012
SMEY, JOSEPH W.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	KINESI	6/1/2012
TAN, XIAOHONG	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	5/31/2012
VEILLEUX, RICHARD A.	UC PROFESSIONAL 08 - WRITER/EDITOR IV	RETIREMENT (25+ YRS SERVICE)	UCOMM	4/30/2012
VIZOYAN, BARBARA	UC PROFESSIONAL 09 - U LIBRARIAN III	RETIREMENT (55/W 10-24 YEARS)	LIB	4/30/2012
WILLIAMS, DAVID W.	U DIRECTOR	RETIREMENT (55/W 10-24 YEARS)	HTFD	5/31/2012
WOWOR, ANDY J.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	5/31/2012
ZEWELDI, DAWIT A.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CE	3/27/2012

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 06/06/12
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Bayer, Arend	Assistant Professor	Mathematics	8/23/12-8/22/13	Personal leave without pay
Benson, David R	Professor	Molecular & Cell Biology	8/23/12-8/22/13	Fellowship Leave with Pay
Bonesio Besette, Kathleen F	UCP 5 - Academic Advisor I	Psychology	4/16/12-5/25/12	Medical leave with pay
Canniff, Janice E	U Ed Assistant 3	Student Aff Vice Pres	6/6/12-6/29/12	Medical leave with pay
Deojay, Jessica S	UCP 3 - Prog Assistant I	Political Science	4/23/12-6/4/12	Maternity leave with pay
Deojay, Jessica S	UCP 3 - Prog Assistant I	Political Science	6/5/12-7/17/12	Parental leave with pay
Doherty, Kerry	UCP 7 - U Accountant II	Accounting Office	4/24/12-4/30/12	Parental leave without pay
Gryn, Marzena	Lecturer	Economics, Waterbury	3/12/12-4/20/12	Maternity leave with pay
Gui, Changfeng	Professor	Mathematics	8/23/12-2/22/13	Educational leave with pay
Harkness, Sara	Professor	Human Dev/Family Studi&R	8/23/12-8/22/13	Fellowship leave with pay
Hering, Milena S	Assistant Professor	Mathematics	8/23/12-8/22/13	Personal leave without pay
Higonnet, Margaret	Professor	English	2/23/13-8/22/12	Personal leave without pay
Jaczynski, Annadell J	UCP 4 - Admin Serv Asst III	Student Health Service	4/5/12-4/23/12	Medical leave with pay
Jaczynski, Annadell J	UCP 4 - Admin Serv Asst III	Student Health Service	5/4/12-6/29/12	Medical leave without pay
Kessler, Priscilla C	UCP 7 - Fiscal Manager	Student Health Service	3/8/12-4/20/12	Medical leave with pay
Kiayias, Aggelos	Associate Professor	Computer Science & Eng	8/23/12-8/22/13	Leave with partial pay (50%)
Kocsondy, Ryan	UCP 11 - Computer Manager	Telecommunications	4/30/12-5/11/12	Parental leave with pay
Koo, Sung I	Department Head	Nutritional Sciences	10/1/12-11/30/12	Research leave with pay
Light, Kara	UCP 3 - Prog Assistant I	Mathematics	4/10/12-5/21/12	Maternity leave with pay
Light, Kara	UCP 3 - Prog Assistant I	Mathematics	5/22/12-6/14/12	Parental leave with pay
Light, Kara	UCP 3 - Prog Assistant I	Mathematics	6/15/12-7/11/12	Parental leave without pay
Lohr, Karen L	UCP 5 - Res Hall Director	Residential Life Ofc	3/16/12-5/13/12	Medical leave without pay
Loux, Jonathan H	UCP 10 - Sr Database Admin	Enterprise Admin System	4/20/12-6/29/12	Medical leave with pay
Lowe, Charles A	Vice Provost & Dean	VP Grad Ed & Dean	4/5/12-6/6/12	Medical leave with pay
Lunt, Winnie	UCP 4 - Admin Serv Spec II	Property Management	4/30/12-6/11/12	Maternity leave with pay
Lunt, Winnie	UCP 4 - Admin Serv Spec II	Property Management	6/12/12-6/25/12	Parental leave with pay
Lunt, Winnie	UCP 4 - Admin Serv Spec II	Property Management	6/26/12-7/2/12	Parental leave without pay
Myrick, Karen M	Asst Clin Prof 11 Month	Nursing Instr & Res	5/14/12-6/28/12	Medical leave with pay
Nicholls, Peter J	Provost & Exec VP	Provost & ExVP Acad Af	4/23/12-5/11/12	Medical leave with pay (full time and reduced schedule)
Parker, Mary D	UCP 5 - Admin Serv Spec III	Payroll Office	5/16/12-5/25/12	Medical leave with pay
Pelizzon, Vanessa P	Associate Professor	English	8/23/12-12/30/12	Personal leave without pay - cancelled
Pelizzon, Vanessa P	Associate Professor	English	8/23/12-8/22/13	Leave with pay
Puhlick, Peter A	UCP 9 - Facilities Prof III	Facilities Management	4/17/12-6/15/12	Medical leave with pay
Rola, M Angela	UCP 9 - Center Director	Asian American Cntr	4/12/12-4/20/12	Medical leave with pay

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 06/06/12
Presented to the Board of Trustees for Information on Professional Employees

Rosman, Andrew J	Professor	Accounting	8/23/12-8/22/13	Personal leave without pay
Rouelle, Dennis	UCP 4 - Career Counselor	Career Services	6/4/12-6/30/12	Medical leave without pay
Schlink, Heather L	UCP 4 - Admin Serv Spec II	University Planning	5/10/12-7/6/12	Maternity leave with pay
Schlink, Heather L	UCP 4 - Admin Serv Spec II	University Planning	7/7/12-7/25/12	Parental leave with pay
Simon, Richard J	UCP 9 - Oper Sys Prog/Anal 3	Technical Infrastructure	3/29/12-3/30/12	Medical leave with pay
Wagstrom, Kristina	Assistant Professor	Chem, Materials & Bio Eng	9/1/12-8/31/13	Fellowship leave without pay
Ward, Leah M	UCP 6 - Program Admin	Kinesiology	5/17/12-7/26/12	Maternity leave with pay
Ward, Leah M	UCP 6 - Program Admin	Kinesiology	7/27/12-8/16/12	Parental leave with pay
Whitlatch, Robert B	Professor	Marine Sciences/MSTC	5/3/12-7/29/12	Medical leave with pay
Williamson, Jessica	UCP 3 - Prog Assistant I	Molecular & Cell Biology	5/23/12-8/1/12	Maternity leave with pay
Williamson, Jessica	UCP 3 - Prog Assistant I	Molecular & Cell Biology	8/2/12-9/24/12	Parental leave with pay
Williamson, Jessica	UCP 3 - Prog Assistant I	Molecular & Cell Biology	9/26/12-9/27/12	Parental leave without pay
Worley, Robin	UCP 5 - Admin Coordinator	English	4/12/12-6/29/12	Medical leave with pay
Yelin, Susanne	Associate Professor	Physics	8/23/12-8/22/13	Fellowship leave with partial pay (50%)

University of Connecticut Department of Human Resources
Separations Processed from April 2, 2012 to June 4, 2012
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NAME	TITLE	SEPARATION REASON	DEPT	DATE
RUIZ, KATHERINE	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION (GOOD STANDING)	SBDO	5/31/2012
SMEY, JOSEPH W.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	KINESI	6/1/2012
TAN, XIAOHONG	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	IMS	5/31/2012
VEILLEUX, RICHARD A.	UC PROFESSIONAL 08 - WRITER/EDITOR IV	RETIREMENT (25+ YRS SERVICE)	UCOMM	4/30/2012
VIZOYAN, BARBARA	UC PROFESSIONAL 09 - U LIBRARIAN III	RETIREMENT (55/W 10-24 YEARS)	LIB	4/30/2012
WILLIAMS, DAVID W.	U DIRECTOR	RETIREMENT (55/W 10-24 YEARS)	HTFD	5/31/2012
WOWOR, ANDY J.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	5/31/2012
ZEWELDI, DAWIT A.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CE	3/27/2012

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 06/06/12
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Bayer, Arend	Assistant Professor	Mathematics	8/23/12-8/22/13	Personal leave without pay
Benson, David R	Professor	Molecular & Cell Biology	8/23/12-8/22/13	Fellowship Leave with Pay
Bonesio Besette, Kathleen F	UCP 5 - Academic Advisor I	Psychology	4/16/12-5/25/12	Medical leave with pay
Canniff, Janice E	U Ed Assistant 3	Student Aff Vice Pres	6/6/12-6/29/12	Medical leave with pay
Deojay, Jessica S	UCP 3 - Prog Assistant I	Political Science	4/23/12-6/4/12	Maternity leave with pay
Deojay, Jessica S	UCP 3 - Prog Assistant I	Political Science	6/5/12-7/17/12	Parental leave with pay
Doherty, Kerry	UCP 7 - U Accountant II	Accounting Office	4/24/12-4/30/12	Parental leave without pay
Gryn, Marzena	Lecturer	Economics, Waterbury	3/12/12-4/20/12	Maternity leave with pay
Gui, Changfeng	Professor	Mathematics	8/23/12-2/22/13	Educational leave with pay
Harkness, Sara	Professor	Human Dev/Family Studi&R	8/23/12-8/22/13	Fellowship leave with pay
Hering, Milena S	Assistant Professor	Mathematics	8/23/12-8/22/13	Personal leave without pay
Higonnet, Margaret	Professor	English	2/23/13-8/22/12	Personal leave without pay
Jaczynski, Annadell J	UCP 4 - Admin Serv Asst III	Student Health Service	4/5/12-4/23/12	Medical leave with pay
Jaczynski, Annadell J	UCP 4 - Admin Serv Asst III	Student Health Service	5/4/12-6/29/12	Medical leave without pay
Kessler, Priscilla C	UCP 7 - Fiscal Manager	Student Health Service	3/8/12-4/20/12	Medical leave with pay
Kiayias, Aggelos	Associate Professor	Computer Science & Eng	8/23/12-8/22/13	Leave with partial pay (50%)
Kocsondy, Ryan	UCP 11 - Computer Manager	Telecommunications	4/30/12-5/11/12	Parental leave with pay
Koo, Sung I	Department Head	Nutritional Sciences	10/1/12-11/30/12	Research leave with pay
Light, Kara	UCP 3 - Prog Assistant I	Mathematics	4/10/12-5/21/12	Maternity leave with pay
Light, Kara	UCP 3 - Prog Assistant I	Mathematics	5/22/12-6/14/12	Parental leave with pay
Light, Kara	UCP 3 - Prog Assistant I	Mathematics	6/15/12-7/11/12	Parental leave without pay
Lohr, Karen L	UCP 5 - Res Hall Director	Residential Life Ofc	3/16/12-5/13/12	Medical leave without pay
Loux, Jonathan H	UCP 10 - Sr Database Admin	Enterprise Admin System	4/20/12-6/29/12	Medical leave with pay
Lowe, Charles A	Vice Provost & Dean	VP Grad Ed & Dean	4/5/12-6/6/12	Medical leave with pay
Lunt, Winnie	UCP 4 - Admin Serv Spec II	Property Management	4/30/12-6/11/12	Maternity leave with pay
Lunt, Winnie	UCP 4 - Admin Serv Spec II	Property Management	6/12/12-6/25/12	Parental leave with pay
Lunt, Winnie	UCP 4 - Admin Serv Spec II	Property Management	6/26/12-7/2/12	Parental leave without pay
Myrick, Karen M	Asst Clin Prof 11 Month	Nursing Instr & Res	5/14/12-6/28/12	Medical leave with pay
Nicholls, Peter J	Provost & Exec VP	Provost & ExVP Acad Af	4/23/12-5/11/12	Medical leave with pay (full time and reduced schedule)
Parker, Mary D	UCP 5 - Admin Serv Spec III	Payroll Office	5/16/12-5/25/12	Medical leave with pay
Pelizzon, Vanessa P	Associate Professor	English	8/23/12-12/30/12	Personal leave without pay - cancelled
Pelizzon, Vanessa P	Associate Professor	English	8/23/12-8/22/13	Leave with pay
Puhlick, Peter A	UCP 9 - Facilities Prof III	Facilities Management	4/17/12-6/15/12	Medical leave with pay
Rola, M Angela	UCP 9 - Center Director	Asian American Cntr	4/12/12-4/20/12	Medical leave with pay

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 06/06/12
Presented to the Board of Trustees for Information on Professional Employees

Rosman, Andrew J	Professor	Accounting	8/23/12-8/22/13	Personal leave without pay
Rouelle, Dennis	UCP 4 - Career Counselor	Career Services	6/4/12-6/30/12	Medical leave without pay
Schlink, Heather L	UCP 4 - Admin Serv Spec II	University Planning	5/10/12-7/6/12	Maternity leave with pay
Schlink, Heather L	UCP 4 - Admin Serv Spec II	University Planning	7/7/12-7/25/12	Parental leave with pay
Simon, Richard J	UCP 9 - Oper Sys Prog/Anal 3	Technical Infrastructure	3/29/12-3/30/12	Medical leave with pay
Wagstrom, Kristina	Assistant Professor	Chem, Materials & Bio Eng	9/1/12-8/31/13	Fellowship leave without pay
Ward, Leah M	UCP 6 - Program Admin	Kinesiology	5/17/12-7/26/12	Maternity leave with pay
Ward, Leah M	UCP 6 - Program Admin	Kinesiology	7/27/12-8/16/12	Parental leave with pay
Whitlatch, Robert B	Professor	Marine Sciences/MSTC	5/3/12-7/29/12	Medical leave with pay
Williamson, Jessica	UCP 3 - Prog Assistant I	Molecular & Cell Biology	5/23/12-8/1/12	Maternity leave with pay
Williamson, Jessica	UCP 3 - Prog Assistant I	Molecular & Cell Biology	8/2/12-9/24/12	Parental leave with pay
Williamson, Jessica	UCP 3 - Prog Assistant I	Molecular & Cell Biology	9/26/12-9/27/12	Parental leave without pay
Worley, Robin	UCP 5 - Admin Coordinator	English	4/12/12-6/29/12	Medical leave with pay
Yelin, Susanne	Associate Professor	Physics	8/23/12-8/22/13	Fellowship leave with partial pay (50%)

Committee Agendas

AGENDA

Special Meeting of the BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE

June 21, 2012, 11:00 a.m.*

University of Connecticut Health Center
Onyiuke Conference Room, Farmington, CT

** Presentations of Project Updates will be made at approximately **10:30 a.m.**
to both the BGE and CMOC Committees.*

***INFORMATION/DISCUSSION ITEMS (10:30 a.m.):**

- 1) Bioscience Connecticut – Construction and Renovation Projects
- 2) Project Updates
 - UCHC
 - Storrs
- 3) OCIP Update
- 4) Fiscal Year 2013 Deferred Maintenance/Code/ADA Renovation Lump Sum Project List
- 5) Policy on Delegation of Authority for Options to Purchase Residential Properties with Deed Restrictions
- 6) Change in Sewer Fees – Rules & Regulations
- 7) Environmental Update

ACTION ITEMS:

- 8) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of April 16, 2012, as circulated

EXECUTIVE SESSION (As Needed)

*Note: Project Update Presentations will be made at approximately **10:30 a.m.**

AGENDA
Special Meeting of the
CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE
UNIVERSITY OF CONNECTICUT
June 21, 2012, 10:00 a.m.
University of Connecticut Health Center (UCHC)
Onyiuke Conference Room, Farmington CT

PUBLIC COMMENTS:

ACTION ITEMS:

1. Approval of the Minutes of the Construction Management Oversight Committee Meeting held on April 16, 2012
2. Capital Project & contract Administration (CPCA) Policy and Procedure Change to Define Bid Bonds, Payment & Performance Bonds, Labor and Material Bonds

PRESENTATIONS AND DISCUSSIONS:

3. June 2012 Quarterly Report on Construction Performance Reported by the Office of Construction Assurance
4. Update by:
 - Ken Egeberg, Associate Vice President of Architectural, Engineering & Building Services (AEBS);
 - Mathew Larson, Director of Procurement Services, Capital Project and Contract Administration (CPCA); and
 - Thomas Trutter, Associate Vice President for Facilities Development and Operations, UCHCOn department related topics
5. Status of Code Correction Projects:
 - A. Construction Management Oversight Committee Monthly Code Inspection Status Report – Comments/Questions
 - B. Progress on Completion of Reports for Category 4 and Presentation of Implementation and Corrections
5. March 31, 2012 Quarterly Construction Status Report – Comments/Questions
- *6. Bioscience Connecticut – Construction and Renovation Projects
- *7. Project Updates
 - UCHC
 - Storrs
- * 8. OCIP Update

NEW BUSINESS

***Note:** Presentation of these Agenda items will be made jointly to BG&E and CMOC committee members following the CMOC meeting.

**Committee on Institutional Advancement
University of Connecticut – Board of Trustees**

Tuesday, June 12, 2012

4:00 p.m.

Teleconference

1. IA Committee Membership..... Mr. Treibick
Attachment 1
2. Acceptance of the Minutes of April 10, 2012 and April 24, 2012..... Mr. Treibick
Attachment 2
3. IA Committee Meeting Schedule – Revised Mr. Martel
Attachment 3
4. Alumni Update/Membership Models Presentation Ms. Lewis
Attachment 4
5. Development Report Mr. Martin
Attachment 5
6. Named Gift Guidelines Mr. Martin
Attachment 6
7. Naming Recommendations Mr. Martel
Attachment 7

Facilities:

College of Liberal Arts and Sciences

- Philip E. Austin Building

Center for Undergraduate Education

- John W. Rowe Center for Undergraduate Education

8. Communications Update..... Mr. Martel
Attachment 8
9. Legislative Update..... Ms. Garber
Ms. Lombardo

Teleconferencing Instructions

- Dial 1-888-787-0207. The password is **UConn**. Conference Leader: **Richard Treibick**.
- The operator will ask for your name, announce to other participants that you are joining the conference and you will be connected.

Joint Audit & Compliance Committee

Agenda

1:00pm – 1:30 pm – Executive Session

1:30pm – 3:30 pm - Public Meeting

June 7, 2012

Issue	Proposed Action	Tab
Executive Session to discuss:		None
<ul style="list-style-type: none"> C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined the public's interest in withholding outweighs the public's interest in disclosure. [1-210(b)(1)] C.G.S. 1-200(6)[E] A discussion of any matter which would result in the disclosure of public records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)] C.G.S. 1-200(6)[C] - Matters concerning standards, processes and codes not available to the public the disclosure of which would compromise the security or integrity of information technology systems 		
Opportunity for Public Comment		None
Minutes of the JACC		
<ul style="list-style-type: none"> March 12, 2012 April 12, 2012 	Approval Approval	1
Storrs & UCHC Significant Compliance Activities		
<ul style="list-style-type: none"> Athletics Data Security and Records Management Program 	Presentation Presentation	2
Significant Audit Activities		
<ul style="list-style-type: none"> Status of Audit Assignments (Storrs & UCHC) Audit Follow-up Activity 	Update Update	3
Joint Audit and Compliance Committee		
<ul style="list-style-type: none"> Annual Charter Review/Update 	Approval	4

Individual Responsibility, Institutional Success

Joint Audit & Compliance Committee

Agenda

1:00pm – 1:30 pm – Executive Session

1:30pm – 3:30 pm - Public Meeting

Issue	Proposed Action	Tab
External Engagements Update	Update	5
Informational/Educational Items		
<ul style="list-style-type: none">Quarterly Newsletter for Spring 2012<ul style="list-style-type: none">StorrsUCHC	Information Only	6
<ul style="list-style-type: none">Newsletter, “Tone at the Top” – Issue 55 / April 2012 (Copyright 2012 The Institute of Internal Auditors)		
<ul style="list-style-type: none">Article “Information on Implementation of the Physician Payments Sunshine Act” (Centers for Medicare & Medicaid Services)		
<ul style="list-style-type: none">Article “ New Health Law will Require Industry To Disclose Payments To Physicians” (Kaiser Health News)		
<ul style="list-style-type: none">Agenda Forecast		
Conclusion of Full Meeting		
Information Session with OACE’s Chief Audit & Compliance Auditor and Direct Reports		

The next meeting of the JACC will be held on August 9, 2012 at 1:00 pm

Individual Responsibility, Institutional Success

June 7, 2012

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
June 28, 2012 at 9:00 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

ATTACHMENT	LOCATION
<u>COMMITTEE</u>	<u>FULL BOARD</u>

PRESENTATION ITEM(S):

- 1) FY13 Budget Presentation

ACTION ITEM(S):

- | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---|----|
| 2) Approval of the Minutes of the Financial Affairs Committee Meeting of April 25, 2012, as circulated | A | |
| 3) Contracts and Agreements for Approval | | 1 |
| 4) Spending Plan for Fiscal Year 2013 for the University of Connecticut, Storrs and Regional Campuses | | 4 |
| 5) Spending Plan for Fiscal Year 2013 for the University of Connecticut Health Center | | 25 |
| 6) State Appropriation Current Services Request for the Biennium Fiscal Years 2014 and 2015 for the University of Connecticut, Storrs & Regional Campuses | | 5 |
| 7) State Appropriation Current Services Request for the Biennium Fiscal Years 2014 and 2015 for the University of Connecticut Health Center | | 26 |
| 8) UCONN 2000 Fiscal Year 2013 Capital Budget | | 6 |
| 9) Fiscal Year 2013 Deferred Maintenance/Code/ADA Renovation Lump Sum Project List | | 7 |
| 10) Capital Spending Plan for Fiscal Year 2013 for the University of Connecticut Health Center | | 27 |
| 11) Revised Allocation of Bond Authorizations as set forth in the Sixteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) | | 8 |

**ATTACHMENT
LOCATION
COMMITTEE FULL BOARD**

12) Revised Allocation of Bond Authorizations as set forth in the Seventeenth Supplemental Indenture (University of Connecticut General Obligation Bonds)	9
13) Eighteenth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds	10
14) Delegation of Authority to Approve Leases for the Technology Incubation Program (TIP) in Specified Circumstances	23
15) Tuition Discount Policy	24

Project Budgets for Approval:

<i>Project</i>	<i>Phase</i>	<i>Budget</i>	<i>Tab</i>
16) Project Cancellation – Mansfield Training School – Longley Renovation	Cancel - Planning	\$1,000,000	11
17) UCHC Anechoic Chamber Relocation	Planning	\$555,000	12
18) UCHC UConn Medical Group (UMG) – Clinical Space Fit-Out, Storrs Center	Planning	\$4,365,000	13
19) UCHC New Construction and Renovation – Clinic (C) Building Renovations	Planning	\$86,715,000	14
20) UCHC CT Simulator, High Dose Radiation Renovations	Revised Planning	\$2,100,000	15
21) UCHC Ambulatory Care Center (ACC)	Design	\$203,000,000	16
22) UCHC CLAC Renovation	Design	\$16,125,000	17
23) UCHC Detention Basin Dredging Maintenance	Design	\$1,300,000	18
24) UCHC Main Building Renovation; Lab (“L”) Building Renovations – Project 1	Design	\$79,000,000	19
25) Babbidge IT & Phone Functional Areas – Heating Ventilation and Air-Conditioning and Electrical Repairs	Final	\$2,200,000	20
26) UCHC Fire Alarm System Upgrades – Phase I	Final	\$706,000	21
27) Pedestrian Safety Improvements – Hillside Road	Revised Final	\$3,000,000	22

INFORMATION ITEM:

28) Construction Status Report	(Under Separate Cover)
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MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
April 25, 2012

TRUSTEES PRESENT: Archambault, Bailey, Buckman, Carbray, Cloud (*via telephone*), Drotch (*via telephone*), Gandara (*via telephone*), Handley, Kruger, Lobo (*via telephone*), McHugh, Nayden, Ritter, Shepperd (*via telephone*), Smith (*via telephone*) and Treibick

STAFF PRESENT: Andrews, Biancamano, Bray, Bull, Callahan, Carone, Chiaputti, Choi, Donahue, Egeberg, Fazio, Fearney, Fox, Gore, Gray, Herbst, Holsinger, Kirk, Larson, Locke, Martel, McDowell, Nicholls, O'Keefe, Orr, Patel, Reis, Roe, Roy, Rubin, Saddlemire, Singha, Sullivan, Teal, Trutter, Urban, Walker, Wetstone and Wrynn

SENATE PRESENT: Bansal, Hiskes, Korbel, Moiseff and Stwalley

Committee Chairman Peter Drotch convened the meeting of the Financial Affairs Committee at 9:30 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. He directed the committee to the revised agenda and materials. The agenda was revised due to a Revised Contracts and Agreements for Approval document; a Revised Rental Rates memorandum; as well as three additional project budgets. On a motion by Trustee Drotch and seconded by Trustee Archambault the ***Revised Agenda*** was approved as distributed. On a motion by Trustee Drotch and seconded by Trustee Archambault ***Item #1, Approval of the Minutes of the Financial Affairs Committee Meetings of February 28, 2012 and March 28, 2012*** was approved as circulated.

Mr. Drotch directed the committee to agenda ***Item #2, Contracts and Agreements for Approval – REVISED***. After a discussion of the contracts presented, on a motion by Trustee Drotch and seconded by Chairman McHugh, the item was recommended to the full Board for approval.

The Committee was directed to agenda ***Item #3, 2012-2013 Rental Rates for Residential Properties and Northwood Apartments – REVISED***. On a motion by Trustee Drotch and seconded by Trustee Archambault the item was recommended to the full Board for approval.

On a motion by Trustee Drotch and seconded by Trustee Archambault agenda ***Item #4, Fiscal Year 2013 New Institutional Fee for the University of Connecticut, Storrs and Regional Campuses (Technology Fee)***, was recommended to the full Board for approval as presented.

Alexandria Roe, Director of Planning, provided detailed descriptions of the project budgets. She also indicated that there have been issues of project bids received being higher than anticipated. This is due to a number of factors including increased petroleum costs and higher demand and is impacting the budget for the overall construction program. Trustee Drotch requested that a discussion of this situation and its impact on project cost and scope, as well as long term effects to

the UCONN 2000 program, be discussed at a subsequent meeting of the Committee. Trustee Nayden asked for a variance analysis between original and final price increases and scope change.

Thomas Trutter, Health Center Associate Vice President for Facilities Development & Operations, provided detailed descriptions of the Health Center project budgets. The items were recommended to the full Board for approval on a motion by Trustee Drotch and seconded by Trustee Archambault. The projects recommended included: ***Avery Point Campus Undergraduate & Library Building Phase I (Student Center) (Final: \$9,315,150); Bishop Renovation – Various Improvements for Academic and Student Services Activities (Final: \$2,000,000); Memorial Stadium Demolition (Final: \$800,000); Psychology Building Renovation/Addition (Final: \$25,170,550); Young Building Renovation/Addition (Final: \$17,769,930); Floriculture Greenhouse (Revised Final: \$6,820,000); UCHC New Construction and Renovation – Academic Building Addition and Renovations (Planning: \$30,750,000); UCHC New Construction and Renovations (Design: \$364,386,000); and UCHC Cage Processing Facility Renovations (Final: \$9,895,000).***

Trustee Drotch directed the committee to the remaining information items listed on the agenda.

On a motion by Trustee Archambault and seconded by Chairman McHugh the committee voted to go into Executive Session at 10:21 a.m. to discuss preliminary drafts and notes that the University had determined that the public interest in withholding such documents clearly outweighed the public interest in disclosure. The Chairman noted that on the advice of counsel only staff members whose presence was necessary to provide their opinion would be permitted to attend Executive Session.

Trustees present included: Mr. Archambault, Ms. Bailey, Mr. Buckman, Mr. Carbray, Mr. Cloud (*via telephone*), Mr. Drotch (*via telephone*), Ms. Gandara (*via telephone*), Ms. Handley, Mr. Kruger, Ms. Lobo (*via telephone*), Mr. McHugh, Mr. Nayden, Mr. Ritter, Mr. Shepperd (*via telephone*), Ms. Smith (*via telephone*) and Mr. Treibick. University staff present included: Dr. Herbst, Ms. Rubin, Mr. Orr, Mr. Gray, Mr. Walker, Ms. Chiaputti, Ms. Carone and Mr. Larson. Assistant Attorneys General Urban and Bray were also present.

Executive Session ended at 10:41 a.m. on a motion by Trustee Drotch and seconded by Trustee Archambault and the meeting was adjourned.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
June 28, 2012 at 9:00 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

REVISED

**ATTACHMENT
LOCATION
COMMITTEE FULL BOARD**

PRESENTATION ITEM:

- 1) FY13 Budget Presentation

ACTION ITEMS:

- 2) Approval of the Minutes of the Financial Affairs Committee Meeting of April 25, 2012, as circulated A

EXECUTIVE SESSION

ACTION ITEMS (Continued):

- | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| 3) Contracts and Agreements for Approval – Revised | 1 |
| 4) Spending Plan for Fiscal Year 2013 for the University of Connecticut, Storrs and Regional Campuses | 4 |
| 5) Spending Plan for Fiscal Year 2013 for the University of Connecticut Health Center | 25 |
| 6) State Appropriation Current Services Request for the Biennium Fiscal Years 2014 and 2015 for the University of Connecticut, Storrs & Regional Campuses | 5 |
| 7) State Appropriation Current Services Request for the Biennium Fiscal Years 2014 and 2015 for the University of Connecticut Health Center | 26 |
| 8) UCONN 2000 Fiscal Year 2013 Capital Budget | 6 |
| 9) Fiscal Year 2013 Deferred Maintenance/Code/ADA Renovation Lump Sum Project List | 7 |
| 10) Capital Spending Plan for Fiscal Year 2013 for the University of Connecticut Health Center | 27 |

	ATTACHMENT LOCATION	FULL BOARD
	<u>COMMITTEE</u>	<u>BOARD</u>
11) Revised Allocation of Bond Authorizations as set forth in the Sixteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)		8
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14) Delegation of Authority to Approve Leases for the Technology Incubation Program (TIP) in Specified Circumstances		23
15) Tuition Discount Policy		24

Project Budgets for Approval:

<i>Project</i>	<i>Phase</i>	<i>Budget</i>	<i>Tab</i>
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19) UCHC New Construction and Renovation – Clinic (C) Building Renovations	Planning	\$86,715,000	14
20) UCHC CT Simulator, High Dose Radiation Renovations	Revised Planning	\$2,100,000	15
21) UCHC Ambulatory Care Center (ACC)	Design	\$203,000,000	16
22) UCHC CLAC Renovation	Design	\$16,125,000	17
23) UCHC Detention Basin Dredging Maintenance	Design	\$1,300,000	18
24) UCHC Main Building Renovation; Lab (“L”) Building Renovations – Project 1	Design	\$79,000,000	19
25) Babbidge IT & Phone Functional Areas – Heating Ventilation and Air-Conditioning and Electrical Repairs	Final	\$2,200,000	20
26) Renovations of Longley Building for Additive Manufacturing Research	Final	\$800,000	
27) Renovations for New Faculty – Pharmacy/Biology Building	Final	\$1,300,000	
28) UCHC Fire Alarm System Upgrades – Phase I	Final	\$706,000	21
29) Pedestrian Safety Improvements – Hillside Road	Revised Final	\$3,000,000	22

INFORMATION ITEM:

30) Construction Status Report

(Under Separate Cover)

EXECUTIVE SESSION (As Needed)

PROCUREMENT CONTRACTS - NEW FOR APPROVAL							
BEVERAGE POURING RIGHTS AND RELATED ATHLETIC SPONSORSHIP AGREEMENT							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Coca-Cola Refreshments USA, Inc. d/b/a The Coca-Cola Bottling Sponsor of New England	UC-12-KJ091611	\$6,841,070	07/01/12-06/30/17	Multiple Sources	Matthew Larson, Director of Procurement Services	Exclusive Beverage Sponsorship/Revenue Agreement to provide Coca-Cola products for sale, distribution and sampling at all campus locations where refreshments are sold. This is the result of a publicly advertised RFP. The term of this contract is for five (5) years with an option to extend for two (2) additional two-year terms. Revenue streams include sponsorship fees, commissions, fountain sales and vending sales.
FIRE ALARM TESTING AND INSPECTION SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	SimplexGrinnell	UC-13-051612LM	\$1,750,000	07/01/12-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	To provide testing, inspection and preventative maintenance services for various fire alarm systems and equipment at all UConn locations. This contract is the result of a publicly advertised RFP. Initial term of this contract is for two (2) years with three (3) possible one (1) year extensions.
NATURAL GAS CONTRACT							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Connecticut Natural Gas Corporation	6133-CNG	\$36,000,000	09/01/12-08/31/14	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	Contract for the purchase of natural gas for heating, cooling and power generation for the Central Utilities and Cogeneration plants for the Storrs campus and Health Center. The term of this contract is for two (2) years with an option to extend for four (4) additional one-year terms. Gas will be purchased at market prices pursuant to the University's hedging program. Transportation costs to be negotiated annually based on market conditions. Resubmitted to reflect corrected contract terms. Contract value remains the same.
PROFESSIONAL EDUCATIONAL SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Academic Centers Abroad, LLC	UC-KA083012	\$1,449,050	08/30/12-06/30/13	Auxiliary Services	Ross Lewin PhD, Executive Program Director	For the University's Florence, Italy study abroad program at the Institute for Fine and Liberal Arts at the Palazzo Rucellai for the 2012 - 2013 Academic Year and 2013 Summer Program. Academic Centers Abroad provides student housing, field trips and will administer payments of tuition at the host institution, used for study and project purposes, for all student participants.
WOMENS BASKETBALL LOCAL TELEVISION BROADCAST RIGHTS AGREEMENT							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Sterling Entertainment Enterprises, LLC	UC-12-KJ100711	\$9,250,000	07/01/12-06/30/16	Multiple Sources	Warde Manuel, Director of Athletics	Revenue Agreement to provide annual production and distribution of women's basketball game broadcasts in exchange for rights fee on SportsNet New York (SNY) network. This is the result of a publicly advertised RFP. The term of this contract is for four (4) years with an option to extend for two (2) additional one-year terms.

PROCUREMENT AMENDMENTS

A/V SERVICES, SUPPLIES AND EQUIPMENT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	HB Communications	UC-07-KJ110106	\$1,500,000 [Contract Previously Approved at \$14,911,577; Total New Contract Amount \$16,411,577]	03/15/07-12/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$14,308,607	\$3,182,807	\$2,496,904	Master Agreement for the purchase of all University A/V services, equipment and supplies. Initial term 3/15/07-12/31/07 with eight (8) one (1) year extensions. Amend to increase by \$1,500,000.00 for additional expenses through 12/31/12.

BEVERAGE POURING RIGHTS AND RELATED ATHLETIC SPONSORSHIP AGREEMENT

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Coca-Cola Refreshments USA, Inc. d/b/a The Coca-Cola Bottling Sponsor of New England	UC-01-012501SA	\$300,000 [Contract Previously Approved at \$4,777,500; Total New Contract Amount \$5,077,500]	07/01/01-08/31/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$4,832,500	\$170,000	\$171,793	Exclusive Beverage Sponsorship Agreement to provide Coca-Cola products for sale, distribution and sampling at all campus locations where refreshments are sold. This is the result of a publicly advertised RFP. The term of this contract is for one (1) ten-year term with two (2) six-month extensions. Revenue streams include sponsorship fees, commissions, fountain sales and vending sales. Amend to increase by \$300,000 (to cover additional projected expenditures and corrective reporting adjustment on expenditures to date) and extend term for an additional two (2) month period through 08/31/12 pending completion of a new contract (UC-12-KJ091611).

CATERING SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	KHR dba A Step Above Catering	UC-KA050709-8	\$765,000 [Contract Previously Approved at \$1,040,000; Total Contract Amount \$1,805,000]	08/17/09-08/31/14	Auxiliary Services	Warde Manuel, Director of Athletics	\$1,195,000	\$345,000	\$350,000	Provide catering services to the Division of Athletics in support of varsity sporting events and other special events at multiple on-campus athletic locations. University Contract# UC-KA050709-8. The term of this contract is for one (1) year with four (4) one year extensions. Amend to increase \$765,000 (\$610,000 projected expenditure; \$155,000 corrective reporting adjustment) and exercise third of four extensions.

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CHEMICALS CONTRACT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Chemical Solutions, Inc.	UC-10-B995962-1	\$500,000 [Original Contract Amount: \$903,645; Total New Contract Amount \$1,403,645]	10/19/09-06/30/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$903,645	\$491,818	\$257,427	Contract for the purchase of snow and ice control chemicals for University facilities. Multiple award contract resulting from RFP process. The term of this contract is for one year with five (5) one year extensions. Amend to increase \$500,000 (\$500,000 projected expenditure; \$903,645 corrective reporting adjustment) and exercise third of five extensions.
CONSULTING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Van Scoyoc Associates	UC-08-SA080307	\$100,000 [Contract Previously Approved at \$937,876; Total New Contract Amount \$1,037,876]	01/01/08-12/31/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$804,003	\$258,714	\$180,814	To assist University in increasing its current level of federal funding and grant opportunities for research, academic and institutional activities. Amend contract to increase \$100,000 through the fifth and final extension.
ELECTRICAL SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Standard Electric, Inc.	UC-10-PL021710-1	\$4,000,000 [Contract Previously Approved at \$1,000,000; Total New Contract Amount \$5,000,000]	07/01/10-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,189,380	\$895,489	\$1,147,034	University contract for the purchase of electrical equipment and supplies for the maintenance, repair and operation of University facilities. Multiple award contract resulting from RFP process. Initial three (3) year term with one (1) option for an additional three (3) year term. Amend to increase \$4,000,000 (\$1,810,620 projected expenditure; \$2,189,380 corrective reporting adjustment).
ELEVATOR SERVICE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Otis Elevator Company	UC-10-PL022709	\$2,000,000 [Contract Previously Approved at \$2,000,000; Total New Contract Amount \$4,000,000]	07/01/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,840,637	\$563,813	\$875,464	Provide service, maintenance and repairs to elevators at all University locations. Amend to increase \$2,000,000 (\$1,159,363 projected expenditure; \$840,637 corrective reporting adjustment) and extend for one year.

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FOOD CONTRACTS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Consumer Product Distributors Inc. dba J Polep Distribution Services	UC-09-KJ061507-8	\$100,000 [Contract Previously Approved at \$700,000; Total New Contract Amount \$800,000]	03/01/08-02/28/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$585,713	\$165,566	\$143,320	Contractor will supply dining services with convenience retail package food and supply items for the convenience stores on campus. Amend contract to increase \$100,000 through the fourth and final extension.
2	Sysco Food Service	UC-KA-032910-8	\$14,800,000 [Contract Previously Approved at \$15,700,000; Total New Contract Amount \$30,500,000]	07/01/10-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$18,500,000	\$8,400,000	\$0	Provide grocery and frozen foods for the Department of Dining Services for student meals, catering, etc. This is the result of a publicly advertised RFP. The term of this contract is for one (1) year with four (4) one year extensions. Amend to increase \$14,800,000 (\$12,000,000 projected expenditure; \$2,800,000 corrective reporting adjustment) and exercise second of four extensions.
FURNITURE CONTRACTS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	OFI formerly known as Solidus	UC-03-B092302-5	\$350,000 [Contract Previously Approved at \$2,245,000; Total New Contract Amount \$2,595,000]	05/01/03-04/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,345,000	\$300,000	\$280,000	Exclusive contract for purchase of system office furniture for all University locations. Annual master contract of convenience for office furniture. This is the result of a publicly advertised RFP. Amend to increase \$350,000 (\$250,000 projected expenditure; \$100,000 corrective reporting adjustment) and exercise sixth of eight extensions.
HEALTH INSURANCE, BILLING, AND COLLECTION										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Public Consulting Group, Inc.	UC-09-KJ020209	\$200,000 [Contract Previously Approved at \$539,000; Total New Contract Amount \$739,000]	05/10/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$500,973	\$0	\$0	Contractor will provide a comprehensive, fully supported turnkey system to bill third parties for clinical services provided by Student Health Services. Amend contract to increase \$200,000 through the third of five extensions.

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HVAC EQUIPMENT, SUPPLIES & CONTROLS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	FW Webb Company	UC-08-B974159-1	\$700,000 [Original Contract Amount: \$1,847,351; Total New Contract Amount \$2,514,830]	11/08/07-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,847,351	\$309,048	\$255,451	University contract for the purchase of HVAC equipment, supplies and controls for the maintenance, repair and operation of University facilities. Multiple award contract resulting from RFP process. Initial one and one-half (1.5) year term with four (4) options for an additional one (1) year term. Amend to increase by \$700,000 (\$700,000 projected expenditure; \$1,847,351 corrective reporting adjustment) and exercise final extension.
LANDSCAPE SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	The Brickman Group LTD., LLC	UC-07-KJ122606-1	\$1,200,000 [Original Contract Amount: \$1,999,982; Total New Contract Amount \$3,199,982]	04/01/07-12/31/12	Operating Fund-General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$1,999,982	\$716,872	\$775,399	Landscape services for the spring clean-up, lawn maintenance, (including mowing, trimming, etc.), fall clean-up and additional services as needed for the Storrs and Mansfield depot campus locations. Initial one (1) year term with four (4) options for an additional one(1) year term. Amend to increase by \$1,200,000 (\$1,200,000 projected expenditure; \$1,999,982 corrective reporting adjustment).
LONG TERM DISABILITY INSURANCE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Prudential Insurance	UC-09-SA110308	\$865,000 [Contract Previously Approved at \$1,950,000; Total New Contract Amount \$2,815,000]	07/01/09-06/30/13	Multiple Sources	Aliza Wilder, Director of Human Resources	\$1,924,104	\$648,361	\$620,792	Provide Long Term Disability Insurance to employees enrolled in the ARP retirement program. The initial term is for three years with three (3) possible one (1) year extensions. Amend to exercise \$865,000.00 for the first of three extensions.
MECHANICAL SYSTEMS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	New England Mechanical Services, Inc.	UC-10-PL020609	\$5,578,018 [Contract Previously Approved at \$2,000,000; Total New Contract Amount \$7,578,018]	07/01/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$4,578,018	\$1,394,145	\$2,234,857	Contract to provide service maintenance and repairs to mechanical systems for all University locations. Initial two (2) year term with four (4) options for an additional one(1) year term. Amend to increase \$5,578,018 (\$3,000,000 projected expenditure; \$2,578,018 corrective reporting adjustment) and exercise second of four extensions.

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MECHANICAL SYSTEMS (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
2	Titan Mechanical Contractors, Inc.	UC-10-PL020609	\$600,000 [Original Contract Amount: \$1,619,117; Total New Contract Amount \$2,219,117]	07/01/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,619,117	\$275,185	\$1,133,463	Contract to provide service maintenance and repairs to mechanical systems for all University locations. Initial two (2) year term with four (4) options for an additional one(1) year term. Amend to increase \$600,000 (\$600,000 projected expenditure; \$1,619,117 corrective reporting adjustment) and exercise second of four extensions.
3	Tradesmen of New England, LLC	UC-10-PL020609	\$700,000 [Original Contract Amount: \$780,050; Total New Contract Amount \$1,480,050]	07/01/09-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$780,050	\$275,185	\$386,650	Contract to provide service maintenance and repairs to mechanical systems for all University locations. Initial two (2) year term with four (4) options for an additional one(1) year term. Amend to increase \$700,000 (\$700,000 projected expenditure; \$780,050 corrective reporting adjustment) and exercise second of four extensions.
MILLWRIGHT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Lydon Company, LLC	UC-10-B992661-1	\$500,000 [Original Contract Amount: \$624,104; Total New Contract Amount \$1,124,104]	07/01/09-12/31/12	Operating Fund-General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$624,104	\$171,934	\$112,080	Contract to provide Power Plant and Infrastructure millwright services including all equipment, materials and labor for the Central Utility Plant and Co-Gen Facility. Initial two (2) year term with one (1) year extension. Amend to increase \$500,000 (\$500,000 projected expenditure; \$624,104 corrective reporting adjustment) and extend term through 12/31/12.
MRO SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	MSC Industrial Supply Co., Inc.	UC-07-KJ050407-1	\$800,000 [Original Contract Amount: \$768,227; Total New Contract Amount \$1,568,227]	07/01/07-06/30/14	Multiple Sources	Matthew Larson, Director of Procurement Services	\$768,227	\$244,017	\$166,011	Contract for the purchase of maintenance, repair and operation supplies for the University facilities. Initial two (2) year term with two(2) options for an additional two (2)year extension. Amend to increase \$800,000 (\$800,000 projected expenditure; \$768,227 corrective reporting adjustment) and exercise one (1) year extension.

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ON-CALL ARCHITECT AND ENGINEERING SERVICES - 009.5										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	BL Companies	009.5-1/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$33,100	\$11,100	\$22,000	Professional Services On-Call Contract for Architect and Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	edm CT, Inc.	009.5-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$218,759	\$72,763	\$44,030	Professional Services On-Call Contract for Architect and Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	Fletcher Thompson. Inc.	009.5-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$43,200	\$0	\$0	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	URS Corporation	009.5-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,400	\$0	\$3,400	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL CIVIL ENGINEERING SERVICES - 010										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	BSC Group Connecticut Inc.	010-01/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$211,447	\$34,012	\$92,500	Professional Services On-Call Contract for Civil Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	BVH Integrated Services, Inc.	010-02/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$94,303	\$46,470	\$11,600	Professional Services On-Call Contract for Civil Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL CIVIL ENGINEERING SERVICES - 010 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
3	Milone & MacBroom, Inc.	010-07/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$182,475	\$14,175	\$108,800	Professional Services On-Call Contract for Civil Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	URS Corporation	010-10/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$126,345	\$24,680	\$101,665	Professional Services On-Call Contract for Civil Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL FIRE PROTECTION AND FIRE ALARM SYSTEM SERVICES - 070										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Arup USA, Inc.	070-1/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$13,090	\$0	\$0	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	BVH Integrated Services, Inc.	070-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$5,800	\$5,800	\$0	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	Code Consultants, Inc.	070-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$58,500	\$0	\$58,500	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL FIRE PROTECTION AND FIRE ALARM SYSTEM SERVICES - 070 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
4	Consulting Engineering Services	070-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,000	\$0	\$0	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
5	Hughes Associates, Inc.	070-6/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$36,470	\$3,175	\$33,295	Professional Services On-Call Contract for Fire Protection and Fire Alarm System services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL FOOD SERVICE FACILITIES DESIGN SERVICES - 080										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Rica Newmark & Associates; Robert Rippe Associates, Inc.	080-3/1.5/06/30/12	\$0 [Contract Previously Approved at \$750,000; Total New Contract Amount \$750,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Professional Services On-Call Contract for Food Service Facilities Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Bruner/Cott & Associates	080-1/1.5/06/30/12	\$0 [Contract Previously Approved at \$750,000; Total New Contract Amount \$750,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$57,000	\$0	\$57,000	Professional Services On-Call Contract for Food Services Facilities Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	Livermoore Edwards & Associates	080-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$750,000; Total New Contract Amount \$750,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$30,580	\$0	\$30,580	Professional Services On-Call Contract for Food Services Facilities Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL INTERIOR DESIGN CONTRACTS - 008										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Cama	008-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$59,750	\$50,000	\$0	Professional Services On-Call Contract for Interior Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Paul B. Bailey Architects, LLC	008-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$31,450	\$0	\$14,450	Professional Services On-Call Contract for Interior Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL INTERIOR RENOVATIONS ARCHITECT SERVICES - 009.3										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Fletcher Thompson, Inc.	009.3-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$108,159	\$18,780	\$79,604	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Newman Architects, Inc.	009.3-3/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$254,723	\$9,504	\$235,745	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	URS Corporation	009.3-9/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$41,128	\$19,928	\$21,200	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

ON-CALL INTERIOR RENOVATIONS ARCHITECT SERVICES - 009.3 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Wank Adams Slavin Associates LLP	009.3-10/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$26,000	\$0	\$0	Professional Services On-Call Contract for Interior Renovations Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL MECHANICAL, ELECTRICAL AND PLUMBING DESIGN SERVICES - 040										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	BVH Integrated Services, Inc.	040-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$547,893	\$335,183	\$193,710	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Diversified Technology Consultants, Inc.	040-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$59,785	\$32,285	\$27,500	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
3	Fuss & O'Neill, Inc.	040-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$99,198	\$10,506	\$67,300	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	Kohler Ronan, LLC	040-6/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$15,640	\$0	\$15,640	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL MECHANICAL, ELECTRICAL AND PLUMBING DESIGN SERVICES - 040 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
5	Silver/Petrucelli & Associates	040-7/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$29,993	\$6,400	\$0	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
6	URS Corporation	040-8/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$39,100	\$4,000	\$35,100	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
7	van Zelm Haywood & Shadford, Inc.	040-9/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$81,360	\$0	\$34,260	Professional Services On-Call Contract for Mechanical, Electrical and Plumbing Design services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL STRUCTURAL ENGINEERING SERVICES - 012										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	BVH Integrated Services, Inc.	012-2/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$8,500	\$0	\$0	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Fuss & O'Neill, Inc.	012-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$18,401	\$10,001	\$8,400	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL STRUCTURAL ENGINEERING SERVICES - 012 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
3	Kaestle Boos Associates, Inc.	012-6/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$76,790	\$0	\$76,790	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	Macchi Engineers, LLC	012-7/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$74,000	\$26,200	\$45,300	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
5	Purcell Associates	012-8/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$42,913	\$41,313	\$1,600	Professional Services On-Call Contract for Structural Engineering services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
ON-CALL WINDOW, ROOF AND MASONRY FAÇADE ARCHITECT SERVICES CONTRACTS - 009.1										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Hoffman Architects, Inc.	009.1-4/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$43,950	\$25,350	\$18,600	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
2	Kaestle Boos Associates	009.1-5/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$122,328	\$15,800	\$25,911	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.

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ON-CALL WINDOW, ROOF AND MASONRY FAÇADE ARCHITECT SERVICES CONTRACTS - 009.1 (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
3	Martin A. Benassi, AIA Architects, LLC	009.1-6/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$175,333	\$2,700	\$145,533	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
4	Simpson Gumpertz & Heger	009.1-7/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$291,550	\$138,500	\$48,450	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
5	Wiss Janney Elstner Associates, Inc.	009.1-8/1.5/06/30/12	\$0 [Contract Previously Approved at \$1,500,000; Total New Contract Amount \$1,500,000]	07/01/09-03/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$190,930	\$119,030	\$48,700	Professional Services On-Call Contract for Window, Roof and Masonry Facade Architect services for various projects to be used at the University, regional campuses and UCHC as added outsourced support on a project by project basis. First Amendment to extend the term of the contract for additional nine (9) months. Contract value remains the same.
PLUMBING EQUIPMENT AND SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	The Granite Group	UC-10-PL021810	\$2,500,000 [Contract Previously Approved at \$1,000,000; Total New Contract Amount \$3,500,000]	07/01/10-06/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,871,604	\$857,108	\$0	Contract for the purchase of plumbing equipment and supplies for the maintenance, repair and operation of University facilities. Amend to increase \$2,500,000 (\$1,628,396 projected expenditure; \$871,604 corrective reporting adjustment).
SNOW AND ICE CONTROL SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	B&W Paving and Landscaping, LLC	UC-10-B996241-1	\$750,000 [Original Contract Amount: \$1,422,399; Total New Contract Amount \$2,172,399]	07/01/10-06/30/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$1,422,399	\$590,141	\$463,500	Contractor to provide snow and ice control services on the Storrs and Mansfield Depot campuses. Initial one (1) year term with the option of four (4) additional one year terms. Amend to increase \$750,000 (\$750,000 projected expenditure; \$1,422,399 corrective reporting adjustment) and exercise third of four extensions.

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TELECOM SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	AT&T Long Distance Custom Business Group	MA-B-03-006	\$1,050,000 [Contract Previously Approved at \$4,822,972; Total New Contract Amount \$5,872,972]	10/1/03-09/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$4,641,381	\$588,680	\$556,458	State Master Agreement to provide Network Services for Telecommunications. Amend to increase \$1,050,000 and extend end date through 9/30/13.
TRASH SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Willimantic Waste Paper Company Inc.	UC-09-PL061308	\$3,000,000 [Contract Previously Approved at \$3,000,000; Total New Contract Amount \$6,000,000]	12/01/08-11/30/14	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$3,406,589	\$930,897	\$1,001,496	Contract to provide trash and recycling services at the Storrs, Mansfield Depot and Avery Point campuses Initial three (3) year term with the option of one (1) three year term. Amend to increase \$3,000,000 (\$2,593,411 projected expenditure; \$406,589 corrective reporting adjustment) and to exercise final contract term.
TRAVEL SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	Orbitz for Business	UC-06-WH021705	\$1,268,908 [Contract Previously Approved at \$2,941,000; Total New Contract Amount \$4,209,908]	02/21/09-12/31/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,809,908	\$647,305	\$653,937	Exclusive contract for on-line travel services for all University locations. Master contract of convenience for on-line travel services. Amend to increase \$1,268,908 (\$400,000 projected expenditure; \$868,908 corrective reporting adjustment) and extend term through 12/31/12.
2	Worldtek Travel Management	UC-09-KJ071808	\$500,000 [Contract Previously Approved at \$3,000,000; Total New Contract Amount \$3,500,000]	05/01/09-12/31/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$2,623,538	\$933,404	\$661,938	Provide travel services for the University and Health Center faculty, staff and students traveling on official University business. Amend to correct contract start date to 05/01/09, increase by \$500,000 and extend term through 12/31/12.

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UTILITY PLANT SERVICES CONTRACT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date as of May 2012	Expenditures FY11	Expenditures FY10	Purpose
1	APComPower Inc. and ALSTOM Power Inc.	UC-08-KJ120307-1	\$0 [Contract Previously Approved at \$1,150,000; Total New Contract Amount \$1,150,000]	09/21/09-06/30/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$466,850	\$101,354	\$205,496	Contractor will provide support services relating to power plant operations for the Central Utility Plant and Co-Gen Facility. Amend to extend for an additional twelve (12) months and exercise the third of four possible amendments. Contract value remains the same.
2	Array Systems LLC	UC-08-SA042007-1	\$2,500,000 [Contract Previously Approved at \$1,105,000; Total New Contract Amount \$3,605,000]	08/01/07-10/31/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$2,287,704	\$412,375	\$463,172	Contract to provide infrastructure upgrades for Programming, Maintenance and Installation of electrical instrumentation and distributed control systems for Central Utility Plant and Co-Gen Facility. Amend to increase \$2,500,000 (\$1,317,296 projected expenditure; \$1,182,704 corrective reporting adjustment) and extend contract for an additional three (3) months.
3	New England Water Utility Services	UC-11-PL040210	\$2,400,000 [Contract Previously Approved at \$600,000; Total New Contract Amount \$3,000,000]	07/27/10-08/31/13	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$1,063,960	\$564,117	\$0	Contract to provide management and operation services of University's water systems. Initial One (1) year term with the option of six (6) additional terms. Amend to increase \$2,400,000 (\$1,936,040 projected expenditure; \$463,960 corrective reporting adjustment) and exercise second of six extensions.
4	Nalco Company	UC-09-PL092408	\$1,100,000 [Contract Previously Approved at \$900,000; Total New Contract Amount \$2,000,000]	01/01/09-12/31/12	Operating Fund - General	Kenneth Egeberg, AVP Architectural, Engineering and Building Services	\$1,130,864	\$304,557	\$337,647	Contract to provide water treatment services, equipment, supplies and consulting for all campus locations. Initial three(3) year term with the option to extend for an additional three (3) term. additional terms. Amend to increase \$1,100,000 (\$869,136 projected expenditure; \$230,864 corrective reporting adjustment).

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LEASES						
UNIVERSITY AS LESSOR						
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Arcanatura, LLC	\$16,200	08/01/12-09/30/14	Auxiliary Services	Alexandria Roe, Director of University Planning	Amendment and renewal of TIP lease. Lease of space not to exceed 310 square feet of space in Building 19, and 500 square feet of space in the Marine Science Building, both located on the grounds of the University of Connecticut - Avery Point Campus, Groton, Connecticut. Rent will be at \$20.00 per square foot, increasing on October 1st each year. Lessee to continue to participate in the University Technology Incubator Program (TIP).
2	FreeThink Technologies, Inc.	\$6,050	07/01/12-6/30/13	Auxiliary Services	Alexandria Roe, Director of University Planning	New TIP lease for current TIP tenant, relocating from the Storrs Campus to the Avery Point Campus. Lease of space not to exceed 275 square feet of space in Building 19 located on the grounds of the University of Connecticut - Avery Point Campus, Groton, Connecticut. Rent will be at \$20.00 per square foot. Lessee to continue to participate in the University Technology Incubator Program (TIP).
3	Metrocrops, LLC	\$5,796	08/01/12-07/31/13	Auxiliary Services	Alexandria Roe, Director of University Planning	Renewal of TIP lease for the period of 8/1/2012 - 7/31/2013. Lease of space not to exceed 414 square feet of office and laboratory space in the Longley Building on the grounds of the University of Connecticut - Depot Campus, Mansfield, Connecticut. Rent will be at \$14.00 per square foot. Lessee to continue to participate in the University Technology Incubator Program (TIP).
4	Optoelectronics Systems Consulting, LLC	\$2,481	07/01/12-06/30/13	Auxiliary Services	Alexandria Roe, Director of University Planning	Renewal of TIP lease for the period of 7/1/12 - 6/30/13. Lease of space not to exceed 121 square feet of space located in the Longley Building on the grounds of the University of Connecticut - Depot Campus, Mansfield, CT. Rent will be at \$20.50 per square foot. Lessee to continue to participate in the University Technology Incubation Program (TIP).
5	TRH Therapeutics, LLC	\$6,600	07/01/12-06/30/13	Auxiliary Services	Alexandria Roe, Director of University Planning	Renewal of TIP lease for the period of 7/1/12 - 6/30/13. Lease of space not to exceed 300 square feet located in the Advanced Technology Laboratory on the grounds of the University of Connecticut - Storrs Campus. Rent will be at \$22.00 per square foot. Lessee to continue to participate in the University Technology Incubation Program (TIP).
6	Tri-Star Blimpie V, LLC [AMENDMENT CANCELLED AT THIS TIME]	\$46,900	08/01/06-07/31/13	Auxiliary Services	Alexandria Roe, Director of University Planning	First Amendment to lease to adjust the amount of the Common Area Maintenance (CAM) fees due during the months of June, July, August and January, and an increase in the threshold for percentage of sales payments. The annual rent due under the current terms is \$50,400; the proposed adjustment decreases this amount by \$3,500. Tenant occupies space in the building known as the Student Union Building on the Storrs campus, Storrs, Connecticut. Rent will continue at \$2,200 per month.
UNIVERSITY AS LESSEE						
No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Connecticut Children's Medical Center	\$74,070	07/01/12-07/30/13	Operating General-Fund	Dr. MacNeil, Dean School of Dental Medicine	One year contract renewal for use of facilities and services in support of the pediatric dentistry practices. The total contract includes rent of \$74,070 and medical and office staff time, x-ray processing and pharmacy charges of \$503,192.
2	Leyland Storrs, LLC	\$602,230	07/01/12-06/30/28	Operating General-Fund	Amanda Hopkins Tirrell, COO UMG and Anne Diamond, COO	Lease for property located at Storrs Center Mansfield, CT in order to provide "Medical Services" within the New Storrs Town Center Project. The Medical Services will include an Urgent Care Center Family Medicine, Occupational Medicine and specialty medical components. This is a fifteen year lease with (2) five year options and includes Common Area Maintenance Charges (CAM).
3	Primary Care Physicians of Central Connecticut	\$39,500	07/01/20-07/30/13	Operating General-Fund	Amanda Hopkins Tirrell, COO UMG	Lease for property located at 7 Washington Street, Plainville CT. Exclusive use of this space for 10 four-hour sessions per week for cardiology and echocardiography services. This cost includes the services of both a medical assistant and a receptionist.
4	Tolland County Agricultural Center, Inc.	\$49,994	07/01/12-06/30/17	Operating General-Fund	Bonnie Burr, Assistant Director, Cooperative Extension System	Lease of 2,841 square feet of the John Elliott Building located at 24 Hyde Avenue, Vernon, CT 06066 for use by the College of Agriculture and Natural Resources Cooperative Extension program.

**PROJECT BUDGET (FINAL) FOR RENOVATION
OF LONGLEY BUILDING FOR ADDITIVE
MANUFACTURING RESEARCH**

**(RESOLUTION ADDED TO THE AGENDA UNDER
THE FINANCIAL AFFAIRS REPORT)**



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Interim Provost & Executive Vice President for Academic Affairs

RE: Project Budget for Renovations of Longley Building for Additive
Manufacturing Research (Final: \$800,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$800,000 for the planning, design and construction for renovations to the Longley Building to accommodate the collaborative research with Pratt & Whitney.

BACKGROUND:

The Longley Building is a mixed use facility located on the Depot Campus. It is currently occupied by units of the School of Engineering and Technology Incubator Program.

The spaces listed are to be renovated to support collaborative research with Pratt & Whitney. As part of the CT Tech Park initiative, Pratt & Whitney will provide \$3 million in new equipment and \$4.5 million in staff and ancillary support for five years for the additive manufacturing collaboration. The spaces and the research equipment will be used by Pratt & Whitney and UConn faculty and students to develop advanced techniques for production parts fabrication. Additive manufacturing capability will also enable UConn and Pratt & Whitney to seek multi-disciplinary grant projects from NSF, Dept of Defense, Dept of Energy, NIH and NASA. The first major pieces of equipment from Pratt & Whitney associated with the ARCAM Electron Beam Melting Machine and the EOS Laser Sintering Machine have been delivered to the Longley Building, therefore

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compressing the timeline for completion of the renovation work. The equipment is being stored in the large pavement lab (CTI space) until the new lab space is ready.

The scope of the project includes demolition of interior finishes of multiple rooms within Longley building and retrofitting of the rooms to support the mechanical, electrical and architectural requirements to support the research program.

The design for the project has been completed by a commissioned design firm and the University recently received competitive bids for the projects. The estimated duration of the project is approximately three (3) months. The University requests a waiver to the three phase budget approval process to enable construction as soon as possible.

This Final Project Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: RENOVATIONS OF LONGLEY BUILDING FOR ADDITIVE MANUFACTURING RESEARCH

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 6/28/2012
CONSTRUCTION	\$ 650,000
DESIGN SERVICES	50,950
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	25,000
OTHER AE SERVICES (including Project Management)	13,775
ART	-
RELOCATION	-
ENVIRONMENTAL	3,830
INSURANCE AND LEGAL	2,500
MISCELLANEOUS	3,945
OTHER SOFT COSTS	-
SUBTOTAL	\$ 750,000
PROJECT CONTINGENCY	50,000
TOTAL BUDGETED EXPENDITURES	\$ 800,000
<u>SOURCE(S) OF FUNDING</u>	
UConn 2000 PHASE III - FY07 DM	\$ 295,000
DEPARTMENTAL FUNDS	505,000
TOTAL BUDGETED FUNDING	\$ 800,000

**PROJECT BUDGET (FINAL) FOR RENOVATIONS
FOR NEW FACULTY – PHARMACY/BIOLOGY
BUILDING**

**(RESOLUTION ADDED TO THE AGENDA UNDER
THE FINANCIAL AFFAIRS REPORT)**



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

Richard D. Gray
*Executive Vice President
for Administration
and Chief Financial Officer*

June 28, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Interim Provost & Executive Vice President for Academic Affairs

RE: Project Budget for Renovations for New Faculty – Pharmacy/Biology
Building (Final: \$1,300,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,300,000 for the planning, design and construction for renovations to the Pharmacy Biology Building to accommodate the hiring of new research faculty and the relocation of current occupants and programs.

BACKGROUND:

Two new research faculty members will be starting in August 2012 and each will require research laboratory and office space. The School of Pharmacy has identified several rooms within the Pharmacy/Biology Building that can be converted into laboratory or office space.

Project design is complete and the budget is based on preconstruction estimates. The project duration is estimated to be approximately three (3) months. The University requests a waiver to the three phase budget approval process to enable construction as soon as possible.

This Final Budget is attached for your consideration and approval.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: RENOVATIONS FOR NEW FACULTY-PHARMACY BIOLOGY BUILDING

<u>BUDGETED EXPENDITURES</u>	PROPOSED FINAL 6/28/2012
CONSTRUCTION	\$ 945,000
DESIGN SERVICES	105,000
TELECOMMUNICATIONS	20,000
FURNITURE, FIXTURES AND EQUIPMENT	17,000
CONSTRUCTION ADMINISTRATION	40,000
OTHER AE SERVICES (including Project Management)	37,000
ART	-
RELOCATION	5,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	5,000
MISCELLANEOUS	6,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 1,180,000
PROJECT CONTINGENCY	120,000
TOTAL BUDGETED EXPENDITURES	\$ 1,300,000
<u>SOURCE(S) OF FUNDING</u>	
UCONN 2000 PHASE III - FY09 DM	\$ 85,000
UCONN 2000 PHASE III - FY11 DM	790,000
DEPARTMENTAL FUNDS	425,000
TOTAL BUDGETED FUNDING	\$ 1,300,000

CONSTRUCTION PROJECTS STATUS REPORT

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING - JUNE 28, 2012

Project Name by Construction Phase		Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 06/06/12)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Planning Budget Phase									
Arjona and Monteith - Monteith Hall Renovations	901719		\$22,500,000	\$0	P	UCONN 2000 Phase III	02/28/12	2017	0%
Ecology and Evolutionary Biology (EEB) Greenhouse Renovations	201583		\$1,000,000	\$9,962	P	Departmental Funds	02/28/12	09/01/12	0%
Fine Arts Building Envelope Repair	901604		\$600,000	\$45,730	P	UCONN 2000 Phase III - DM	01/26/11	2012	0%
Fine Arts Phase II - Renovation and Improvements	901667		\$21,600,000	\$370	P	UCONN 2000 Phase III	08/03/11	2016	0%
Historic House Renovations	901432 901668		\$1,400,000	\$262,360	P	UCONN 2000 Phase III - DM	09/23/08	On Hold	0%
Intramural, Recreational & Intercollegiate Facilities - Recreation Center	901332		\$3,300,000	\$232,553	P	UCONN 2000 Phase III (\$3.0M) University Operating Funds (\$300K)	06/24/08	On Hold	0%
Mansfield Training School Improvements (Phase II) - Longley Renovations	901281		\$1,000,000	\$13,241	P Cancelled	UCONN 2000 Phase III	09/25/07 06/28/12 Pending		Cancelled
Pedestrian Safety Improvements - CUE/Gentry Corridor	901627		\$1,240,350	\$163,500	P	UCONN 2000 Phase III - DM	08/03/11	2013	0%
Pedestrian Safety Improvements - Phase I	901629		\$1,890,000	\$160,025	P	UCONN 2000 Phase III - DM	02/22/11	2012	0%
Practice Basketball Facility - Design	201434		\$3,000,000	\$1,667,039	P	Gifts Departmental Funds	09/21/10	2014	0%
Public Safety Complex Improvements	201574		\$3,000,000	\$0	P	Plant Funds	08/03/11	2014	0%
Rodent Barrier Facility	201582		\$2,400,000	\$145,000	P	Research Funds	02/28/12	09/01/12	0%
Support Facility (Architectural and Engineering Services)	901390		\$500,000	\$0	P	UCONN 2000 Phase III	09/23/08	On Hold	0%
Technology Quadrant Phase III	901661, 901662		\$172,500,000	\$2,233,304	P	State General Obligation Bonds	06/23/11	2015	0%
Revised Planning Budget Phase									
Atwater Façade and Window Replacement	901397		\$1,900,000	\$34,413	P-\$650K RP-\$1.9M	UCONN 2000 Phase III - DM	09/23/08 09/21/10	2013	0%
Backfeed Loop - North Eagleville to Parking Garage to Storrs Road	901416		\$300,000	\$221,322	P-\$700K RP-\$300K	UCONN 2000 Phase III - DM	09/23/08 04/20/10	2014	0%
Budds Building Window Replacement	901377		\$1,315,000	\$23,230	P-\$650K RP-\$1.315M	UCONN 2000 Phase III - DM	06/24/08 09/21/10	2013	0%
Engineering Building - Planning & Design	901376		\$60,500,000	\$429,460	P-\$1.0M RP-\$2.0M RP-\$2.0M RP-\$60.5M	UCONN 2000 Phase III	06/24/08 02/18/10 09/21/10 08/03/11	2015	0%
Gant Building Renovations - Phase I	901374		\$2,310,000	\$299,806	P-\$440K RP-\$1.31M RP-\$2.31M	UCONN 2000 Phase III	11/23/09 02/18/10 02/22/11	2016	0%
Old Central Warehouse	901266		\$2,126,000	\$125,745	P-\$18.0M RP-\$10.0M RP-\$2.126M	UCONN 2000 Phase III	08/01/07 06/24/08 08/03/11	2013	0%
Torrey Renovation Completion & Biology Expansion	900705		\$125,000,000	\$864,370	P-\$44.3M RP-\$125.0M	UCONN 2000 Phase III	08/01/07 06/24/08	2016	0%

Storrs and Regional Campuses

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING - JUNE 28, 2012

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 06/06/12)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses	Design Budget Phase								
	Mirror Lake Dredging and Related Improvements	901392	\$3,400,000	\$217,529	P-\$3.5M D-\$3.4M	UCONN 2000 Phase III - DM (\$210K) University Operating Funds (\$3.19M)	02/18/10 04/20/10	On Hold	0%
	Shippee Dining Hall Renovations	201545	\$3,000,000	\$335,395	P D	Departmental Funds	03/23/10 01/26/11	2012	0%
	Revised Design Budget Phase								
	Mansfield Training School Improvements (Phase II) - Merritt Building	901213	\$3,250,000	\$399,110	P-\$3.0M RP-\$2.5M D-\$3.3M RD-\$3.3M	UCONN 2000 Phase III	09/24/06 09/25/07 06/24/08 06/10/10	2013	0%
	North Hillside Road Completion	900965	\$14,300,000	\$1,672,451	D-\$11.5M RD-\$11.5M RD-\$14.3M	UCONN 2000 Phase III (\$8.2M) Federal Funds (\$6.1M)	08/02/05 11/15/05 06/24/08	2014	0%
	Final Budget Phase								
	Arjona and Monteith (New Classroom Buildings)	901126	\$95,000,000	\$86,838,591 ^(A)	P-\$86.1M R-\$95.0M D-\$95.0M F-\$95.0M	UCONN 2000 Phase III	09/26/06 06/24/08 08/04/09 09/22/09	2011-West 2012-East	100% 90%
	Avery Point Campus Undergraduate & Library Bldg - Phase I (Student Center)	901278	\$9,315,150	\$1,035,939	P-\$9.3M RP-\$6.8M D-\$6.8M F-\$9.3M	UCONN 2000 Phase III	06/24/08 06/23/09 02/28/12 04/25/12	2013	0%
	Babbidge IT & Phone Functional Areas - Heating and Air Conditioning and Electrical Repairs	901580	\$2,200,000	\$82,196	P-\$700K F-\$2.2M	UCONN 2000 Phase III - DM	01/26/11 06/28/12 Pending	01/31/13	0%
	Beach Hall Renovations - Façade and Roof Repair	901646	\$2,000,000	\$1,671,178	P D F	UCONN 2000 Phase III	02/22/11 01/25/12 02/28/12	2012	25%
	Biobehavioral Complex Replacement (Renovation)	901380	\$3,000,000	\$2,243,849	P-\$2.0 M RP-\$2.0M RP-\$3.0M F-\$3.0M	UCONN 2000 Phase III	06/24/08 01/26/11 08/03/11 11/10/11	2012	99%
	Bishop Renovation - Various Improvements for Academic and Student Services Activities	901645	\$2,000,000	\$57,151	P F	UCONN 2000 Phase III	02/21/11 04/25/12	2012	0%
	Law School Renovatons/Improvements - Starr, Chase, Hosmer and Knight Halls Building Envelope Repair	901188	\$3,000,000	\$2,115,384	P-\$2.517M D-\$2.517M F-\$3.0M	UCONN 2000 Phase III	02/22/11 11/10/11 02/28/12	2012	100%
	Manchester Hall Renovation - Building Envelope Repair	901644	\$2,000,000	\$570,003	P-\$2.0M F-\$850K	UCONN 2000 Phase III	02/22/11 03/28/12	2013	0%
	Memorial Stadium Demolition	201586	\$800,000	\$392,503	P F	Gifts	02/28/12 04/25/12	2012	99%
	Meter Installation - Multiple Locations (Phase II)	901326	\$600,000	\$551,426	P D F	UCONN 2000 Phase III - DM	09/25/07 12/07/07 01/22/08	2012	96%
	Meter Installation - Multiple Locations (Phase III)	901371	\$600,000	\$366,647	P D F	UCONN 2000 Phase III - DM	06/24/08 09/23/08 06/23/09	2012	25%

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Storrs and Regional Campuses	Final Budget Phase (Continued)								
	Psychology Building Renovation / Addition	901388	\$25,170,550	\$4,079,696	P-\$20.0M RP-\$22.5M D-\$22.5M F-\$25.2M	UCONN 2000 Phase III	09/23/08 01/26/11 01/25/12 04/25/12	2014	5%
	Replacement of Chemical House (Well Water Treatment Facility)	901320	\$3,500,000	\$2,944,662	P D F	UCONN 2000 Phase III - DM	09/25/07 01/12/09 11/05/09	2012	95%
	Residential Life Facilities - Window Replacement - Grange Hall, Hicks Hall, Shippee Hall and Dining Facility and Northwood Complex	201555	\$3,800,000	\$1,859,646	P-\$4.2M F-\$3.8M	Departmental Funds	09/21/10 01/26/11	2012	10%
	Small Capital Projects Fund	901693	\$1,500,000	\$1,180,754	F-\$1.5M	UCONN 2000 Phase III - DM	09/28/11	2012	75%
	Tie In Drum Heater on Boilers at CUP (Central Utility Plant)	901319	\$104,500	\$78,059	P-\$600K D-\$600K F-\$104.5K	UCONN 2000 Phase III - DM	09/25/07 02/27/08 08/03/11	2012	0%
	Torrey 1 st Floor East Renovations	901572	\$3,500,000	\$2,878,524	P D F	UCONN 2000 Phase III - DM (\$150.0K) Research Funds (\$1.175M) Departmental Funds (\$2.175M)	08/10/10 09/21/10 11/09/10	2012	99%
	UCONN 2000 Code Remediation Towers Dormitories	201472	\$620,000	\$540,943	F	University Operating Funds	04/20/10	2012	99%
	West Hartford Campus Renovations/ Improvements - Replace Trecker Library Air Handling Unit	901539	\$525,000	\$26,134	F	UCONN 2000 Phase III	06/10/10	2013	0%
	Revised Final Budget Phase								
	Community Professional Building Renovation - Avery Point	201543	\$1,358,165	\$1,239,278	P-\$1.0M F-\$1.0M RF-\$1.35M	Research Funds - \$300K Grant Funds - \$700K UCONN 2000 Phase III/Grant/Research	01/26/11 04/13/11 08/03/11	2012	100%
	Floriculture Greenhouse	901367	\$6,820,000	\$5,871,354	P-\$3.0M RP-\$5.0M D-\$5.0M F-\$5.0M RF-\$6.07M RF-\$6.82M	UCONN 2000 Phase III	06/24/08 09/22/09 09/21/10 02/22/11 04/13/11 04/25/12	2012	90%
	Gant IMS Fire Alarm Replacement	201502	\$1,372,000	\$1,233,857	F-\$1.122M RF-\$1.372M	University Plant Funds UCONN 2000 Phase III - DM/Univ. Plant	06/23/09 02/22/11	2012	99%
	McMahon Dining Hall Renovations	201357	\$9,900,000	\$8,615,109	P-\$7.81M RP-\$7.81M D-\$8.1M F-8.6M RF-\$9.9M	Departmental Funds UCONN 2000 Phase III	08/10/10 06/23/11 08/03/11 11/10/11 01/25/12	2012	60%
	Northwest Quad Code Remediation	201413	\$887,500	\$486,839	F-\$706.6K RF-\$887.5K	University Operating Funds	08/21/08 08/10/10	2012	75%
	Pedestrian Safety Improvements - Hillside Road	901726	\$3,000,000	\$408,333	F-\$2.0M RF-\$3.0M	UCONN 2000 Phase III - DM	02/28/12 06/28/12 Pending	2012	10%
	Storrs Hall Addition	901265	\$14,825,000	\$13,412,856	P-\$7M RP-\$14M D-\$13.4M F-\$13.4M RF-\$14.825M	UCONN 2000 Phase III	08/01/07 06/23/09 09/21/10 02/22/11 04/13/11	2012	80%

CONSTRUCTION PROJECTS STATUS REPORT
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	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 06/06/12)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses	Revised Final Budget Phase (Continued)								
	Water Reclamation Facility (formerly Gray Water Facility)	901229	\$28,951,000	\$26,130,849	P-\$1.4M RP-\$28.6M D-\$28.9M F-\$28.9M RF-\$28.9M	UCONN 2000 Phase III - DM Plant Funds	06/24/08 08/04/09 02/18/10 04/20/10 01/26/11	2012	55%
	West Hartford Campus Renovations/ Improvements - Electrical Switchgear Replacement	901286	\$1,024,700	\$946,217	P-\$550K RP-\$800K F-\$800K RF-\$950K RF-\$1.0M	UCONN 2000 Phase III	09/25/07 06/23/09 01/26/11 09/28/11 02/28/12	2012	100%
	Young Building Renovation/Addition	901373	\$17,769,930	\$6,651,501	P-\$10.0M RP-\$15.0M D-\$15.0M F-\$17.8M	UCONN 2000 Phase III	06/24/08 11/09/10 01/25/12 04/25/12	2013	5%
	Recently Completed Projects ⁽¹⁾								
	Benton State Art Museum Addition - Mechanical System Improvements	901545	\$2,150,000	\$1,643,528	P-\$1.7M F-\$1.7M RF-\$2.150M	UCONN 2000 Phase III	08/04/09 04/20/10 09/21/10	2011	100%
	Bishop Renovation - Phase I	901387	\$6,000,000	\$0	P Cancelled	UCONN 2000 Phase III	09/23/08 04/13/11	2012	Cancelled
	Castleman Building Drainage Repair	901289	\$550,000	\$503,990	P F	UCONN 2000 Phase III - DM	09/25/07 09/28/11	2012	100%
	Code Remediation and Renovation - Ratcliffe Hicks Arena	901352	\$998,650	\$943,668	P-\$999.7M F-\$998.6K	UCONN 2000 Phase III - DM (\$9K) Departmental Funds (\$988.7K)	03/23/10 06/10/10	2011	100%
	Depot Storehouse - Roof Replacement	201514	\$637,000	\$553,085	D F	Departmental Funds	03/23/10 06/10/10	2011	100%
	Filter Press, Installation	901368	\$850,000	\$778,773	P-\$500K D-\$850K F-\$850K	UCONN 2000 Phase III - DM	06/24/08 04/21/09 06/23/09	2011	100%
	Fine Arts Phase II - Core Building	901567	\$1,200,000	\$534	P Cancelled	UCONN 2000 Phase III	02/18/10 08/03/11	2013	Cancelled
	Fine Arts Phase II - Photo Lab Ventilation Improvements	901544	\$700,000	\$547,932	P D F	UCONN 2000 Phase III	08/04/09 09/22/09 11/05/09	2012	100%
	Hall Dorm Ground Floor Restoration	901560	\$770,000	\$46,587	P	UCONN 2000 Phase III - DM	01/26/11	2012	Cancelled
	Jorgensen Renovation - Auditorium Seating Replacement	901553	\$2,162,000	\$2,161,118	P-\$2.1M F-\$2.1M RF-\$2.162M	UCONN 2000 Phase III - DM (\$100K) University Operating Funds (\$2M) Gifts (\$62K)	02/18/10 03/23/10 11/09/10	2011	100%
	Jorgensen Renovation - Phase I	901274	\$1,375,000	\$1,210,569	P-\$1.6M D-\$2.2M F-\$1.375M	UCONN 2000 Phase III	09/25/07 06/24/08 04/21/09	2011	100%
	Koons Hall Renovation/Addition - Windows, Façade & Mechanical System Analysis	901379	\$1,200,000	\$1,055,747	P-\$1.0M D-\$1.0M F-\$1.0M RF-\$1.2M	UCONN 2000 Phase III	06/24/08 08/10/10 09/21/10 06/23/11	2012	100%
	Law School Renovatons/Improvements - Knight Hall, Rm 215 - Classroom Renovation	901589	\$575,000	\$400,826	P D F	UCONN 2000 Phase III	06/10/10 08/03/11 09/28/11	2011	100%

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Recently Completed Projects (Continued) ⁽¹⁾									
Storrs and Regional Campuses	New 16" Water Main - Towers to Glenbrook and North Eagleville and Ph I Relocation of SP3 Circuit	901422	\$2,300,000	\$1,881,796	P-\$1.06M D-\$1.06M F-\$2.30M	UCONN 2000 Phase III - DM	09/23/08 08/04/09 04/20/10	2011	100%
	OSFM Legacy Code Remediation	901259	\$9,000,000	\$8,664,584	P-\$680K D-\$1.190M F-\$8.550M RF-\$9.0M RF-\$9.0M	UCONN 2000 Phase III - DM University Plant Funds University Plant Funds University Plant Funds UCONN 2000 Phase III - DM	09/23/08 04/21/09 06/23/09 04/20/10 01/26/11	2011	100%
	Replacement of Two Water Towers	901311	\$2,500,000	\$2,114,507	P-\$2.0M D-\$2.5M F-\$2.5M D-\$2.5M F-\$2.5M	UCONN 2000 Phase III - DM	09/25/07 11/18/08 04/21/09 11/18/08 04/21/09	2011	100%
	Residential Life Facilities - Connecticut Commons Complex Renovations	201554	\$6,250,000	\$4,948,081	P-\$5.2M F-\$5.0M RF-\$6.25M	Departmental Funds UCONN 2000 Phase III/Dept Funds	09/21/10 01/26/11 08/03/11	2011	100%
	Residential Life Facilities - Hale and Ellsworth Elevator Replacement	201511	\$2,140,000	\$1,239,951	P-\$1.6M D-\$2.14M F-\$2.14M	Departmental Funds	11/05/09 02/18/10 04/20/10	2011	100%
	Residential Life Facilities - McMahon Elevator Replacement	201512	\$2,202,000	\$1,220,779	P D F	Departmental Funds	03/23/10 09/21/10 11/09/10	2011	100%
	Roads, Sidewalks and Miscellaneous Repairs - Phase III	901618 901658 901659 901660	\$850,000	\$422,909	F	UCONN 2000 Phase III - DM	01/26/11	2011	100%
	Route 195 Sewer Line Replacement - Area 1	201558	\$550,000	\$300,791	P D F	University Operating Funds	11/09/10 01/26/11 04/13/11	2011	100%
	Security Upgrades Hilltop Apartments	201505	\$967,000	\$623,920	P-\$800K D-\$967K F-\$967K	Departmental Funds	06/23/09 03/23/10 06/10/10	2011	100%
	Stamford Campus Garage Repair and Maintenance Program	901583	\$1,962,434	\$1,567,101	F-\$1.96M	UCONN 2000 Phase III - DM	04/20/10	2011	100%
	Steam and Condensate Distribution System Improvements - Phase I ^B	901317 901372 901421	\$4,014,537	\$3,935,821	RP-\$5.525M D-\$5.525M F-\$5.525M RF-\$3.755M RF-\$4.014M	UCONN 2000 Phase III - DM	02/10/09 04/21/09 03/23/10 04/20/10 09/21/10	2011	100%
	Storrs Hall Addition - Windows and Exterior Repairs	901575	\$740,000	\$612,927	P-\$93,324 RP-\$600K D-\$600K F-\$740K	UCONN 2000 Phase III	03/20/10 09/21/10 11/09/10 01/26/11	2011	Roof-100% Windows-100%
	Student Union Quadrangle Site Work and Landscape - Phase II	901440	\$1,150,000	\$1,072,750	P-\$1.0M D-\$1.0M F-\$1.0M RF-\$1.150 M	UCONN 2000 Phase III - DM	09/23/08 06/10/10 09/21/10 11/09/10	2011	100%
	University of Connecticut Fire Marshal (UCFM) Code Remediation Charter Oak Apts	201542	\$1,387,000	\$900,347	F	Departmental Funds-Residential Life	04/20/10	2011	100%

CONSTRUCTION PROJECTS STATUS REPORT
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Storrs and Regional Campuses	Recently Completed Projects (Continued) ⁽¹⁾								
	University of Connecticut Fire Marshal (UCFM) Code Remediation Charter Oak Apts	901476	\$537,000	\$399,212	F	UCONN 2000 Phase III - DM Departmental Funds-Residential Life	04/20/10	2011	100%
	University of Connecticut Fire Marshal (UCFM) Code Remediation Gampel Pavilion Fire Alarm Replacement	901552	\$2,200,000	\$735,574	F	UCONN 2000 Phase III - DM	04/20/10	2011	100%
	West Hartford Campus Renovations/ Improvements - Phase I	901285	\$1,400,000	\$1,280,707	P-\$1.5M F-\$1.5M RF-\$1.5M RF-\$1.4M	UCONN 2000 Phase III	06/24/08 11/18/08 04/21/09 06/10/10	2011	100%
	West Hartford Campus Renovations/ Improvements - Chemistry Lab	901569	\$1,469,000	\$1,463,617	P-\$1.3M D-\$1.4M F-\$1.4M RF-\$1.469M	UCONN 2000 Phase III	02/18/10 06/10/10 08/10/10 09/21/10	2011	100%
	West Hartford Campus Renovations/ Improvements - Replace Boilers, 1800 Asylum Avenue	901538	\$850,000	\$702,399	F-\$525K RF-\$850K	UCONN 2000 Phase III	06/10/10 04/13/11	2011	100%
	West Hartford Campus Renovations/ Improvement - Student Lounge and Office Relocation	901568	\$839,000	\$744,299	P-\$825K RP-\$750K F-\$750K RF-\$839K	UCONN 2000 Phase III	02/18/10 06/10/10 01/26/11 02/22/11	2011	100%
	Wood Hall Façade Repairs	901601	\$1,000,000	\$816,033	P-\$1.7M D-\$1.7M F-\$1.0M	UCONN 2000 Phase III - DM	09/21/10 01/26/11 06/23/11	2012	100%
Health Center	Planning Budget Phase								
	Anechoic Chamber Relocation	12-012	\$555,000	\$0	P P	UCHC Capital Budget	(06/11/12)* 06/28/12 Pending	TBD	0%
	Clinical Space Fit-Out Storrs Ctr (UMG)	TBD	\$4,365,000	\$0	P P	UCHC Capital Budget	(06/11/12)* 06/28/12 Pending	05/01/13	0%
	Demolition - Lower Research Campus	12-010	\$850,000	\$0	P P	UCHC Capital Budget	(03/05/12)* 02/28/12	2013	0%
	Dental School Renovation; Grasso Phase III - 24/7 Student Lab	10-053	\$485,000	\$110	P P	UCHC Capital Budget	(06/13/11)* 06/23/11	TBD	0%
	Main Building Exterior Façade Joint Sealant	08-603.01	\$3,300,000	\$298,242	P	UCONN 2000 Phase III - DM	(06/09/08)*	TBD	0%
	New Construction and Renovation - Academic Building Addition & Renovations	901729	\$30,750,000	\$0	P P	UCONN 2000 Phase III	(04/09/12)* 04/25/12	TBD	0%
	New Construction and Renovation - Clinic (C) Building Renovations	901737	\$86,715,000	\$0	P P	UCONN 2000 Phase III UCHC Capital Budget	(06/11/12)* 06/28/12 Pending	TBD	0%
	Support Building Addition/Renovation	901327	\$7,800,000	\$85,979	P	UCONN 2000 Phase III	(07/16/07)*	On Hold	0%
	Revised Planning Budget Phase								
	CLAC Renovation	901133	\$16,125,000	\$1,249,247	P-\$14.0M P-\$14.0M D-\$16.125M D-\$16.125M	UCONN 2000 Phase III	(05/17/06)* 06/20/06 (06/11/12)* 06/28/12 Pending	TBD	0%
	CT Simulator, High Dose Radiation (HDR) Renovations	10-059	\$2,100,000	\$49,000	P-\$2.25M P-\$2.25M RP-\$2.1M RP-\$2.1M	UCHC Capital Budget	(06/13/11)* 06/23/11 (06/11/12)* 06/28/12 Pending	TBD	0%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING - JUNE 28, 2012

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 06/06/12)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Health Center	Revised Planning Budget Phase (Continued)								
	Detention Basin Dredging	08-602.01	\$1,300,000	\$36,585	P-\$990K P-\$990K D-\$1.3M D-\$1.3M	UCONN 2000 Phase III - DM	(03/05/12)* 02/28/12 (06/11/12)* 06/28/12 Pending	11/01/12	0%
	Design Budget Phase								
	Ambulatory Care Center (ACC)	11-042	\$203,000,000	\$4,160,597	P P D D	UCHC Secured Private Funding	TBD 01/25/12 (06/11/12)* 06/28/12 Pending	2015	0%
	New Construction & Renovations	901590	\$364,386,000	\$45,391,121	P-\$332.0M P-\$332.0M RP-\$364.4M RP-\$364.4M D-\$364.4M D-\$364.4M	UCONN 2000 Phase III Other Non-State Funds UCHC Capital Funds	(06/07/10)* 06/10/10 (03/05/12)* 02/28/12 (04/09/12)* 04/25/12	2017	0%
	Main Building Renovation Lab ("L") Building Renovations - Project 1	901134	\$79,000,000	\$8,492,421	P-\$75.0M P-\$75.0M RP-\$76.127M RP-\$76.127M D-\$79.0M D-\$79.0M	UCONN 2000 Phase III	(05/17/06)* 06/20/06 (03/05/12)* 02/28/12 (06/11/12)* 06/28/12 Pending	2015	0%
	Final Budget Phase								
	Cage Processing Facility Renovations	10-050	\$9,895,000	\$2,724,324	P-\$9.3M P-\$9.3M D-\$9.3M D-\$9.3M F-\$9.9M F-\$9.9M	NIH Grant Funds UCHC Capital Budget	(04/12/10)* 04/20/10 (06/13/11)* 06/23/11 (04/09/12)* 04/25/12	08/2013	0%
	Dowling South Chiller Replacement	09-019	\$690,000	\$23,028	F F	UCHC Capital Budget	(01/07/10)* 02/10/10	On Hold	0%
	Fire Alarm System Upgrades	10-068	\$706,000	\$624,923	P P F F	UCONN 2000 Phase III - DM	(06/13/11)* 06/23/11 (06/11/12)* 06/28/12 Pending	TBD	0%
	Main Building Cooling System, Chiller #1, #2 & #3 Replacement	09-603.02	\$4,945,000	\$4,409,253	P-\$1.350M P-\$1.350M RP-\$5.330M RP-\$5.330M D-\$5.330M D-\$5.330M F-\$4.945M F-\$4.945M	UCONN 2000 Phase III - DM	(06/08/09)* 06/23/09 (02/14/11)* 01/26/11 (06/13/11)* 06/23/11 (09/12/11)* 09/28/11	04/2012	99%
	Munson Road Renovation and Expanded Parking	05006770	\$4,350,000	\$4,239,390	P-\$3.2M P-\$3.2M D-\$4.4M D-\$4.4M F-\$4.4M F-\$4.4M	UCHC Capital Budget	(05/17/06)* 06/20/06 (07/16/07)* 08/01/07 (09/17/07)* 09/25/07	2012	99%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING - JUNE 28, 2012

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 06/06/12)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Health Center	Revised Final Budget Phase								
	Administrative Services Building (ASB) Renovations	10-054	\$3,265,000	\$2,959,242	P-\$3.9M P-\$3.9M F-\$3.9M F-\$3.9M RF-\$3.265M RF-\$3.265M	UCHC Capital Budget	(04/12/10)* 04/20/10 (06/07/10)* 06/10/10 (03/05/12)* 02/28/12	05/2012	99%
	Recently Completed Projects ⁽¹⁾								
	Academic Building (Bldg A) Roof Replacement	07-601.10	\$1,050,000	\$608,744	P -\$1.15M P -\$1.15M D-\$1.050M D-\$1.050M F-\$725K F-\$725K	UCONN 2000 Phase III - DM	(06/09/08)* 06/24/08 (06/08/09)* 06/23/09 (04/04/11)* 04/13/11	07/30/11	100%
	Catheterization Lab Equipment Replacement & Renovations	08-031	\$2,530,000	\$2,189,997	P P D D F F	UCHC Capital Budget Departmental Funds	(04/12/10)* 04/20/10 (09/13/10)* 09/21/10 (11/08/10)* 11/09/10	04/2011	100%
	Datacenter Infrastructure Improvements, Phase 1	09-603.01	\$2,055,000	\$1,954,833	P-\$1.8M P-\$1.8M D-\$2.055M D-\$2.055M F-\$2.055M F-\$2.055M	UCONN 2000 Phase III - DM	(06/08/09)* 06/23/09 (01/07/10)* 02/18/10 (04/12/10)* 04/20/10	04/2011	100%
	Dental School CONNcept Clinic Renovation [formerly GP3 Clinic]	10-070	\$1,000,000	\$613,991	P-\$1.40M P-\$1.40M F-\$1.0M F-\$1.0M	UCHC Capital Budget	(04/12/10)* 04/20/10 (04/03/11)* 04/13/11	07/30/11	100%
	Dental School Renovation (Orthodontics Renovation)	901526	\$2,290,000	\$78,468	P P	UCONN 2000 Phase III - \$1.8M Departmental Funds- \$454K	(01/07/10)* 02/18/10		Withdrawn

CM@R = Construction Manager at Risk

DB = Design Build

DBB = Design - Bid - Build

TBD = To be determined

P = Planning Budget

RP = Revised Planning Budget

D = Design Budget

RD = Revised Design Budget

F = Final Budget

RF = Revised Final Budget

EI = Environmental Impact

C = Complete

SC = Substantial Completion

* University of Connecticut Health Center Board of Directors Reviewed and Recommended for Board of Trustees Approval

⁽¹⁾ Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments.

^A Expenditures occurred before July 1, 2005. For all capital projects for which planning commences after July 1, 2005, and for which expenditures are projected to equal or exceed \$500,000, the "three-stage" budgets (planning,

^B The project budget incorporates three projects 1) Infirmary/CUP Steam & Condensate Replacement (Glenbrook Rd to CUP); 2) South Campus Steam and Condensate Loop; and 3) Steam Pit Replacements - combined into