

9-28-2011

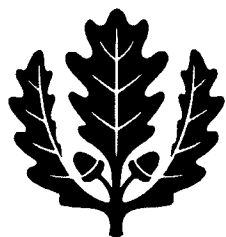
## Agenda and attachments, September 28, 2011

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University of  
Connecticut

*Board of*



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TRUSTEES

VOL. 109 SEPTEMBER 28, 2011

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

University of Connecticut  
Merlin D. Bishop Center  
Storrs, Connecticut

September 28, 2011

**BOARD OF TRUSTEES SCHEDULE OF THE DAY**

9:30 a.m.	Academic Affairs Committee Meeting
10:00 a.m.	Financial Affairs Committee Meeting
11:30 a.m.	Call to Order, followed by Executive Session
1:00 p.m.	Board of Trustees Meeting (Public Session)
1:30 p.m.	Student Life Committee Meeting

**BOARD MEETING AGENDA**

Call to order at 11:30 a.m.

**EXECUTIVE SESSION**

1. Executive Session anticipated.
2. Close Executive Session.

**OPEN SESSION**

Reconvene in Open Session at 1:00 p.m.

1. Public Participation
2. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meeting of August 3, 2011
  - (c) Consent Agenda Items:
    - (1) Contracts and Agreements for the Storrs-based programs and the Health Center (Attachment 1)
    - (2) Annual Report of the Endowed Chair Program Entitled "Aetna English Chair in Writing" (Attachment 2)
    - (3) Sabbatical Leave Modifications (Attachment 3)
    - (4) Appointment of Dr. Sally M. Reis to the Letitia Neag Morgan Chair for Educational Psychology in the Neag School of Education (Attachment 4)
    - (5) Notification of Proposed Changes to the *By-Laws of the University of Connecticut* (Article IX – The University Senate) (Attachment 5)
    - (6) Notification of Proposed Changes to the *By-Laws of the University of Connecticut* (Article XIV – The University Staff, Section K – Retirement) (Attachment 6)

- (7) Approval to Amend the *By-Laws of the University of Connecticut* (Article VII – The Provost and Executive Vice President for Academic Affairs and the Vice Presidents) (Attachment 7)
    - (8) Approval of the Professional Science Master’s (PSM) Degree in Health Care Genetics in Allied Health in the College of Agriculture and Natural Resources (Attachment 8)
    - (9) Modification of the Doctor of Philosophy Degree Program in Learning, Leadership, and Education Policy (LLEP) in the Neag School of Education (Attachment 9)
    - (10) Modifications to the Doctor of Philosophy, M.A., and Sixth-Year Certificate Programs in Educational Psychology in the Neag School of Education (Attachment 10)
    - (11) Addendum to the Concession Agreement between the University of Connecticut and the University of Connecticut Professional Employees Association (UCPEA) (Attachment 11)
  - (d) Election of Board Secretary
- 3. President’s Report
- 4. Academic Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Informational item:
    - (1) Five Year Review of Academic Centers and Institutes (Attachment 12)
- 5. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Spending Plan for Fiscal Year 2012 for the University of Connecticut, Storrs and Regional Campuses (Attachment 13)
    - (2) Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and Equipment Expenditures (Attachment 14)
    - (3) Project Budget (Final) for Castleman Building Drainage Repair (Attachment 15)
    - (4) Project Budget (Final) for Law School Renovations/Improvements – Knight Hall, Room 215 – Classroom Renovation (Attachment 16)
    - (5) Project Budget (Final) for Small Capital Projects Fund (Attachment 17)
    - (6) Project Budget (Final) for the University of Connecticut Health Center Main Building Cooling System, Chillers 1, 2 & 3 Replacements (Attachment 18)
    - (7) Project Budget (Revised Final) for West Hartford Campus Renovations/Improvements – Electrical Switchgear Replacement (Attachment 19)



6. Health Center Report
  - (a) Report on Health Center activities
  - (b) Item requiring Board discussion and approval:
    - (1) Spending Plan for Fiscal Year 2012 for the University of Connecticut Health Center (Attachment 20)
7. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
  - (b) Item requiring Board discussion and approval:
    - (1) Electric Distribution Easement to CL&P – Greater Hartford Campus (Attachment 21)
9. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
10. Student Life Committee Report
  - (a) Report on Committee activities
11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
  - (b) Item requiring Board discussion and approval:
    - (1) Renaming the Neag Professorship in Adult Learning to the Neag Endowed Professorship in the Neag School of Education (Attachment 22)
    - (c) Development Progress Executive Summary (Attachment 23)
12. Other
13. Adjournment

**PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.***

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CONTRACTS AGREEMENTS  
FOR APPROVAL  
SEPTEMBER 28, 2011

**PROCUREMENT CONTRACTS - NEW**

No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
<b>NO NEW CONTRACTS</b>						

**PROCUREMENT - AMENDMENTS**

**CATERING CONTRACT AMENDMENT FOR APPROVAL**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY11	Expenditures FY10	Purpose
1	KHR dba A Step Above Catering	\$350,000 [Total Contract Amount \$1,040,000]	08/17/09-08/31/14	Auxiliary Services	Paul Pendergast, Interim Director, Athletics	\$695,000	\$345,000	\$350,000	Provide catering services to the Division of Athletics for varsity sports teams, media, game operations staff members, and other special events at multiple on-campus athletic locations as well as Rentschler Field and the XL Center. This is the result of an RFP which was publicly advertised. The term of the contract is for one-year with four (4) one-year extensions. <b>Amend to increase \$350,000 for the second of four extensions.</b>

**EVENT SERVICES CONTRACT AMENDMENT FOR APPROVAL**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY11	Expenditures FY10	Purpose
1	Contemporary Services Corp.	\$320,000 [Total Contract Amount \$1,198,000]	09/01/08-08/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$878,000	\$313,000	\$303,000	Provide event staffing services for University events. University contract# UC-KA051908-8. This is the result of an RFP which was publicly advertised. The term of the contract is for one-year with four (4) one-year extensions. <b>Amend to increase \$320,000 for the third of four extensions.</b>

**TRANSPORTATION CONTRACT AMENDMENTS FOR APPROVAL**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY11	Expenditures FY10	Purpose
1	Constitution Coach	\$300,000 [Total Contract Amount \$1,584,811]	08/01/03-07/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,284,881	\$177,670	\$354,339	To provide coach bus service on an as needed basis for all University departments. <b>Amend to approve the expenditure of up to \$300,000 for FY 12.</b>
2	Peter Pan Bus Lines	\$250,000 [Total Contract Amount \$1,536,344]	08/01/03-07/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,286,344	\$210,032	\$177,475	To provide priority coach bus service on an as needed basis for all University departments. <b>Amend to approve the expenditure of up to \$250,000 for FY 12.</b>
3	Peter Pan Bus Lines	\$250,000 [Total Contract Amount \$1,451,001]	08/01/03-07/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,201,001	\$198,077	\$316,608	To provide coach bus service on an as needed basis for all University departments. <b>Amend to approve the expenditure of up to \$250,000 for FY 12.</b>
4	Constitution Coach	\$250,000 [Total Contract Amount \$1,534,811]	08/01/03-07/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,284,881	\$177,670	\$354,339	To provide coach bus service on an as needed basis for all University departments. <b>Amend to approve the expenditure of up to \$250,000 for FY 12.</b>
5	Post Road Stages	\$150,000 [Total Contract Amount \$764,153]	08/01/03-07/30/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$614,153	\$110,633	\$85,660	To provide coach bus service on an as needed basis for all University departments. <b>Amend to approve the expenditure of up to \$150,000 for FY 12.</b>

**CONTRACTS AGREEMENTS  
FOR APPROVAL  
SEPTEMBER 28, 2011**

**PROPERTY LEASE AGREEMENTS**

**UNIVERSITY AS LESSOR**

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Nalas Engineering Services, Inc.	\$22,272	08/01/11-07/31/12	Auxiliary Services	Alexandria Roe, Director of University Planning	Second amendment to TIP program lease: tenant is adding one additional laboratory room, 468 square feet of laboratory space, to lease agreement. Total lease of space not to exceed 1,856 square feet of office and laboratory space in the building known as the Coast Guard Research & Development Building on the Avery Point Campus, Groton, Connecticut. The rent for this additional space will be at \$12.00 per square foot for the initial term. Lessee to continue to participate in the University Technology Incubator Program (TIP).
2	Network Therapeutics Foundation, Inc.	\$2,400-\$2,880	10/01/11-09/30/14	Auxiliary Services	Alexandria Roe, Director of University Planning	A new TIP program lease of space not to exceed 120 square feet of space in the building known as the Marine Science Building on the Avery Point Campus, Groton, Connecticut. Rent will be at \$20.00 per square foot, and will increase by \$2.00 per square foot annually after the first year. Lessee to participate in the University Technology Incubator Program (TIP).
3	Tracy Donuts, Inc.	\$15,000 <i>plus percentage of sales</i>	09/28/11-09/27/16	Auxiliary Services	Alexandria Roe, Director of University Planning	A new commercial lease of space not to exceed 480 square feet, plus additional space for storage, in the building known as the Student Union Building on the Storrs Campus, Mansfield, Connecticut. Base rent will be \$1,250 per month with a percentage of sales due as additional rent. This lease shall be for the operation of a Dunkin Donuts franchise.

**UNIVERSITY AS LESSEE**

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Metro Realty Group	\$108,000	07/01/11-06/30/12	Operating Fund- General	Bruce Liang, Director of the Pat and Jim Calhoun Cardiology Center	New lease is for 3,915 square feet of space at 11 South Road, Farmington, CT. This lease has five (5) one year renewal options. The additional space is needed due to the continued growth of the Health Center's Cardiology Signature Program. Lease was publicly bid.
2	Simsbury Medical Associates	\$100,000	09/01/11-08/31/16	Operating Fund- General	Adam Silverman, Medical Director, UConn Medical Group	This lease renewal is on behalf of the UConn Medical Group, with Simsbury Medical Associates for 3,748 square feet of space at 381 Hopmeadow Street in Simsbury, CT.






University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

Richard D. Gray  
*VP & CFO*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Richard D. Gray   
Vice President and Chief Financial Officer

RE: Annual Report of the Endowed Chair Program Entitled "Aetna English  
Chair in Writing"

RECOMMENDATION:

That the Board of Trustees approve the 2011 report on the Aetna English Chair in Writing.

BACKGROUND:

The English Chair in Writing is under the direction of Professor Lynn Bloom and is funded jointly by the UConn Foundation and the Department of Higher Education Investment Fund. It was established during the 1988-89 fiscal year as authorized by C.G.S. Section 10a-20. Subsection (f) of the statute states that "the Board of Trustees shall submit annual reports to the Board of Governors concerning the endowed chair expenditures."

The 2011 annual report consists of a financial report and a narrative report by Professor Bloom.

Attachments

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352 Mansfield Road Unit 2122  
Storrs, Connecticut 06269-2122


Telephone: (860) 486-3455  
Facsimile: (860) 486-1070



University of Connecticut  
*Office of the Chief Financial Officer*

DATE: August 31, 2011

TO: Richard Gray  
Vice President and Chief Financial Officer

FROM: Paul McDowell  
Chief Financial Officer 

RE: English Chair in Writing

Enclosed is a Financial Report for the English Chair in Writing Program, under the direction of Professor Lynn Bloom, for fiscal year ended June 30, 2011. The report provides the separate financial operations of the program managed by the University and the UConn Foundation, along with the combined total. The combined expenditures for fiscal year 2011 totaled \$44,148.91 as follows:

<u>Expenditures FY June 30, 2011</u>	
University	\$ 42,716.05
Foundation	<u>1,432.86</u>
Total Expenditures	<u>\$ 44,148.91</u>

Professor Bloom has provided a narrative of the writing program's operation for fiscal year 2011, which is also enclosed. Section 10a-20a (f) of the Connecticut General Statutes requires an annual report approved by the University's Board of Trustees to be submitted to the Department of Higher Education Board of Governors for the Endowed Chair in Writing.

Additional notes are provided on the financial report disclosing the year-end balances of the Foundation and DHE endowment funds.

Thank you for your assistance in this matter. If you should have any questions or need any additional information, please do not hesitate to contact me.

CE/lch

Enclosures

c: L. Bloom  
C. Eaton  
D. Vance

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University of Connecticut and  
University of Connecticut Foundation  
Endowed Chair in Writing  
Fiscal Year Ending June 30, 2011

	University FRS	Foundation	Combined Total
Beginning Balance as of July 1, 2010	\$50,454.39	\$65,944.70	\$116,399.09
<u>Revenue</u>			
Dept. of Higher Education	50,000.00	0.00	50,000.00
Pooled Endowment Income Allocation	0.00	33,641.96	33,641.96
Transferred From UConn Foundation	42,223.40	(42,223.40)	0.00
Other Foundation Revenue	0.00	487.38	487.38
<b>TOTAL REVENUE</b>	<b>92,223.40</b>	<b>(8,094.06)</b>	<b>84,129.34</b>
<u>Expenditures</u>			
Salary & Wages	500.00	0.00	500.00
Printing and Binding	3,653.82	0.00	3,653.82
Sundry Operating Services	329.95	25.00	354.95
Conferences and Meetings	5,084.48	292.11	5,376.59
Dues and Subscriptions	0.00	516.00	516.00
Travel	3,939.85	0.00	3,939.85
Outside Professional Services	5,400.00	0.00	5,400.00
<b>TOTAL Contractual Services</b>	<b>18,408.10</b>	<b>833.11</b>	<b>19,241.21</b>
Office Supplies	445.04	106.00	551.04
Books	0.00	493.75	493.75
<b>TOTAL Commodities</b>	<b>445.04</b>	<b>599.75</b>	<b>1,044.79</b>
Fringe Benefits	12.91	0.00	12.91
Student Aid Fees	23,350.00	0.00	23,350.00
<b>TOTAL Sundry Charges</b>	<b>23,362.91</b>	<b>0.00</b>	<b>23,362.91</b>
<b>TOTAL EXPENDITURES</b>	<b>42,716.05</b>	<b>1,432.86</b>	<b>44,148.91</b>
Ending Balance as of June 30, 2011	\$99,961.74	\$56,417.78	\$156,379.52

This endowed chair is not supported by any University funds, but the University maintains accounts which receive revenue from the Foundation and the Department of Higher Education. These University accounts disburse the funds in support of the program including all funds transferred from the Foundation and the Department of Higher Education. There were transfers from the Department of Higher Education totaling \$50,000 in fiscal year 2011. The first column above summarizes the University's revenue and expenditure activity for this chair. The Foundation also disburses certain expenses directly to third parties on behalf of the University as shown above in the second column in fiscal year 2011. The Foundation also supported the Endowed Chair with \$487.38 of funds from other accounts. This amount is included in the second column in Other Foundation Revenue.

The English Chair in Writing has funds held at the UConn Foundation and the Department of Higher Education. The Foundation endowed fund was established with a gift of \$506,989 (amount permanently restricted) in 1988 and was valued at \$852,092 at June 30, 2011, which included accumulated gains/losses on the original gift. The spendable portion of the Foundation endowed fund was \$56,418 as of June 30, 2011. The Department of Higher Education endowed fund was established with \$500,000 of state funds and is invested in the Endowed Chair Investment Fund (managed by the State Treasurer). The interest earned on this fund supports the Aetna English Chair in Writing. The value of this fund was \$505,789 at June 30, 2011, including principal of \$500,000 and interest of \$5,789.



## Annual Report

### Aetna Chair of Writing, 2010-2011

The Aetna Endowment enhances the University's efforts to encourage excellent student writing and excellent teaching of writing, throughout the UConn system, the State of Connecticut, the nation, and internationally. This funding has contributed substantially to the growth, visibility, and contributions of UConn's writing programs to the state and nation over the past twenty-two years.

**University: Freshman English.** The Aetna Chair of Writing co-sponsored the sixth annual Conference on the Teaching of Writing, "Knowledge and Networks" on March 25, 2010. This conference, attended by 87 people, featured presentations by some sixty graduate students, Writing Center associates, writing tutors (graduate and undergraduate), faculty from UConn (including Storrs and regional campuses), Yale, US Military Academy at West Point, UMass Boston, Sacred Heart U, Holy Cross, Emerson College, Rhode Island College, Johnson and Wales University, LaGuardia Community College, and high school faculty from E.O. Smith (Storrs CT), Scituate (MA) and Blackstone Academy Charter School. Keynoter Kurt Spellmeyer spoke on "The Transformation of Knowledge: From Institutions to Networks." Program available at <http://freshmanenglish.uconn.edu/instructors/conference/>.

**Undergraduate writing at UConn regional campuses.** Since 2002 Writing Directors have been appointed at each of the regional campuses: Pamela Bedore (Avery Point), Scott Campbell (Hartford), Ellen Carillo (Waterbury), Serkan Gorkemli (Stamford), and Kathy Knapp (Torrington), and Aetna funding has enhanced various programs such as Academic Integrity Week and faculty development workshops (Waterbury) and the Freshman Book project (Torrington). This year, aided by Aetna funding, the Litchfield County Writers' Project (directed by Davyne Verstandig at Torrington) provided honoraria and hospitality to sustain the course, *Studies in Literature and Culture: Writers of Litchfield County*, devoted to the works of Roxana Robinson, who gave lectures for students and the general public (live and via DVD). Such activities bring statewide and regional visibility to the Bloomsbury-esque LCWP.

**Creative Writing.** The Aetna Endowment supports publication of the award-winning *Long River Review* (student literary magazine); and the Long River art awards for art/photography (Sandy Honig) and graduate writing (Michael Pontacoloni). Aetna funded short-term writer-in-residence for Deb Olin Unferth (fiction), and Connie Voisine (poet); Graduate Creative Writing work-in-progress grant (Jennifer Holley). Poetic Journeys (poetry on campus buses); The Lumberyard radio broadcast; and a host of speakers and guest teachers. The eighth Aetna Celebration of Creative Nonfiction was held on March 31 with readings by nonfiction writer Meredith Hall and student prizewinners—graduates Matt Salyer and Jeanette Zissel, and undergraduates Ryan O'Connell, Kerri Brown, and Dante Gonzales.

**University Writing Center.** On April 8, 2011 the University Writing Center was awarded the “2010-11 Writing Program Certificate of Excellence” by the Conference on College Composition and Communication, an honor of national distinction. The citation commends the Writing Center, directed by Thomas Deans and Associate Director Kathleen Tonry, “as an exemplar for a large public university . . . running a rich, complex, and ambitious program touching multiple aspects of students’ writing lives. This program is embedded in multidisciplinary writing tutoring, Writing Across the Curriculum, First Year Experiences and . . . Composition courses, and local high schools. Writing center tutors are well trained and given multiple opportunities for professional development. The center has forged many partnerships—on campus and off—with sustained evaluation and reflective practice . . . . The Center is very busy, very diverse, very pro-active.”

The Aetna Endowment funds books for the Center's professional development library, visiting scholars presenting workshops, and tutor staff training. From among 88 entries submitted for the second Aetna Writing in the Disciplines contest, sponsored by the Writing Center, prizes were awarded in Humanities to Arman Azimi and Shepherd Stearns (humanities); the Sciences to Elisa Anglin (Animal Science) and John Peters (ecology and evolutionary biology); and the Social Sciences to Kevin McQuade, Blayne Sapelli, and John Dearborn (all in political science); and Christian Hofer (Economics).

For two years the Aetna Endowment provided seed funding for the Writing Center, partnered with the Connecticut Writing Project, to inaugurate peer-tutoring Writing Centers in four Connecticut high schools and to support a related conference of high school writing centers at UConn, Storrs. In 2010, with these programs well underway, Aetna funding of \$300 contributed to the support of the Fall Writing Conference in October. This drew 150 participants: high school student tutors and prospective tutors, their high school and middle school teachers, UConn undergrad and graduate tutor/mentors, and some university faculty. Students from E.O. Smith and Bolton High Schools gave presentations on their now up-and-coming Writing Centers.

**Graduate Programs.** The graduate courses in Autobiography (as a literary genre, and as a mode of writing), and in Rhetorical Theory and Composition Research were inaugurated in 1988-89, and have been augmented since 2002 by courses in Classical and Modern Rhetoric, “Writing Across and Beyond the Curriculum,” the team-taught “Research Methods in Composition Studies,” and graduate creative writing courses in nonfiction and essay writing. The UConn Composition and Rhetoric faculty has been strengthened with the recent appointments of Writing Center directors Deans and Tonry (Storrs), and the regional campus Writing Directors identified above.

In 2011 Amanda Smith received the Aetna Teaching Award. Aetna Endowment funds sent graduate student Mandy Suhr-Sytsma to the national Conference on Composition and Communication in Atlanta and will enroll Mary Isbell in the Connecticut Writing Project Summer Institute. Numerous graduate students who have served as Writing Program administrators have received tenure track jobs nationwide.

**Critical and Freshman Essay Prizes.** Now in its twentieth-first year, the annual Aetna Critical Essay Writing Prize Award evening has evolved into a particularly happy and festive gathering of faculty, students, parents, administrators, and Aetna Fellows. On October 28, 2010 Sarah Winter, Associate Professor of English, spoke on "'On the Side of Humanity': Narrative and Human Rights in the Nineteenth Century." Seven Aetna student prizewinners read from their works: graduate students Pamela Longo, Brandon Hawk, Tara Harney, Jeremy DeAngelo, Amanda Smith, and Christina Henderson; and freshman Christian Gibney. Elizabeth Gottier received the Ratcliffe-Hicks freshman prize and Greta Hirt Rosenberger received the McPeck scholarship. Connecticut Writing Project Teacher writing awards went to Steve Straight, Denise Abercrombie, Dara Bowling, Sheila A. Murphy, Kisha Tracy, Jon Anderson, Stephanie K. McKenna, Erin Haddad-Null, and Karen Romano Young. Emerson Frisbie won the Milton R. Stern American Studies Essay Prize and Anthony Yacavone was awarded the Dave Sheehan '64 Scholarship.

**Publications.** Aetna Endowment funds and collaboration among student writers, teachers, editors, designers, and the Writing Specialist's manuscript preparation, have made possible the publication of *Essay CONNections*, compilations of UConn Freshman English Prize Essays. The first four volumes are available in hardcopy: 1990-94 (103 pp.); Vol. 2 1995-98 (128 pp.); Vol. 3, 1999-2002 (132 pp.); Volume 4, 2003-06 (176 pp.). In 2010 financial constraints caused us to switch to online publication, beginning with Volume 5, 2007-2010. Free copies of the hardcopy volumes are distributed at UConn-sponsored writing conferences, and to all teachers of UConn freshman English at all UConn campuses to provide exemplary models for successive freshman classes. Copies may be obtained from [Lori.Corsini-Nelson@UConn.edu](mailto:Lori.Corsini-Nelson@UConn.edu). Online copies may be downloaded at the Aetna Chair of Writing website ([www.aetnachairofwriting.uconn.edu/](http://www.aetnachairofwriting.uconn.edu/)).

**State of Connecticut: Connecticut Writing Project.** UConn's Connecticut Writing Project is the only site in the nation with an endowment, a half million dollars generously provided by the Aetna Foundation (begun in 1985), with matching funds from the State Department of Higher Education. This support is literally a matter of life or death, for in April 2011 federal support of the National Writing Project's 209 sites, including UConn's site, was eliminated in budget reduction measures effective in Fiscal Year 2012.

For the past twenty four years, the Aetna Chair of Writing Endowment has provided \$24-30,000 to fund the Connecticut Writing Project five week Summer Institute for teachers, and thanks to the Endowment this support will continue. For the summer of 2011, the Aetna Advisory Board increased the summer support to \$28,000 as a partial compensation for the anticipated loss of federal funding. This will provide 16 Fellowships for four graduate students, three community college faculty members, ten public school teachers [two also counted as graduate students] and one Montessori teacher.

It is particularly important for the future of the Connecticut Writing Project that Director Jason Courtmanche was reappointed in 2010 for a second three year term, his work validated

by both internal reviews and CWP external reviewer Nancy McCracken (Kent State U). McCracken noted the CWP's selection of well qualified Summer Institute Fellows, financial stability, and successful collaborations area schools and with UConn's Writing Center, Freshman English and Creative Writing programs, the Neag School of Education, and Teachers for a New Era. This collaboration is evident in the selection of the 2011 Summer Institute Aetna Fellows, two of whom are public school teachers concurrently enrolled in the Neag School's bilingual education program. Another Aetna Fellow is a Teach For America placement—of significance because one of the National Writing Project's aims is to improve the retention rate of these teachers.

***New England, Nation, and International Activities:***

**Composition Studies Conferences:** Aetna funding supported the UConn Conference on the Teaching of Writing in March 2011, and conferences sponsored by the Connecticut Writing Project and the Writing Center (for all, see respective categories above).

**National Outreach:** Dr. Bloom serves on advisory boards of *a/b: Auto/Biography Studies*, *JAC*, the *Journal of Basic Writing*, and on the Board of Directors of the National Archives of Composition and Rhetoric. In 2010-11 she gave talks at national conferences, including: "What's the Difference between "Once More to the Lake" and What I Did on My Summer Vacation"?: Recognizing and Encouraging Originality in Student Writing" at the NonfictionNow conference at the University of Iowa; an invited roundtable presentation on "The Currency of the Category 'Creative Nonfiction'" at the Modern Language Association in Los Angeles; "Body Language: The Rhetoric and Ethics of Teaching Contemporary Disability Autobiography," at the Conference on College Composition and Communication, Atlanta; and "Eat, Pray, Travel: Why Autobiographical Travel Writing is a Hot Hybrid," at the American Literature Association in Boston. Keynote addresses included "Hot Genres: Nonfiction That People Love to Read, Write, and Teach," at the 32<sup>nd</sup> Annual Spring Conference on the Teaching of Writing, Old Dominion University, Norfolk VA; and "Is Freshman Composition Still a Middle-Class Enterprise: A 21<sup>st</sup> Century Update" at the SUNY Council on Writing Conference at SUNY Binghamton.

**Research:** Aetna funding has contributed to the research of the twenty books and one hundred eight articles that Dr. Bloom has published since arriving at UConn in 1988, including *The Seven Deadly Virtues and Other Lively Essays: Coming of Age as a Writer, Teacher, Risk Taker* (U of South Carolina Press 2008); and *Writers Without Borders: Writing and Teaching Writing in Troubled Times* (Parlor Press 2008), and *The Arlington Reader*, 3<sup>rd</sup> ed. (2010). Aetna funding has also supported Dr. Bloom's ongoing research, including current publications "Critical Emoticons" *Symploke*; "Able, Disabled, Enabled: Mainstreaming the Disability Course," *Open Words*"; "Place Settings," *Center: A Journal of the Literary Arts*; and "Surfacing: Secrets of the Women's Locker Room," *Secrets Your English Professor Never Told You* (Heinemann).

**Conclusion.** Endowment funds, supplemented by a small amount of interest from the matching state grant, have enabled us to sustain the myriad of activities identified here on the \$55,000 budget for fy 2011. These have been aided immeasurably by Lori Corsini-Nelson, Administrative Services Specialist for the writing programs, her position funded by the CLAS Dean's office. The University of Connecticut can be justifiably proud of the activities of its undergraduate and graduate students and faculty in rhetoric and composition on state, regional, national, and international levels, made possible in part by the Aetna Chair of Writing Endowment.

Respectfully submitted,

Lynn Z. Bloom  
Aetna Chair of Writing  
Board of Trustees Distinguished Professor  
April 22, 2011



[illegible]





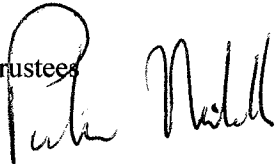


University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost 

RE: Appointment of Sally M. Reis to the Letitia Neag Morgan Chair for Educational Psychology in the Neag School of Education

RECOMMENDATION:

That the Board of Trustees approve the appointment of Sally M. Reis to the Letitia Neag Morgan Chair for Educational Psychology in the Neag School of Education.

BACKGROUND:

Dr. Sally M. Reis is an internationally recognized scholar and a champion of students of special needs, ranging from those with learning disabilities to gifted and talented students. She is the author of over 250 articles, books and book chapters related primarily to research on special populations of gifted and talented students as well as research on improving the academic performance of elementary school children in the area of reading. She is Co-Director of Confratute, a summer institute focusing on gifted and talented education, which attracts over 1000 K-12 teachers from around the world.

Dr. Reis has been the Principal Investigator of grants totaling millions of dollars in support of the National Research Center on the Gifted and Talented and was honored with the Distinguished Scholar of the National Association for Gifted Children and named a fellow of the American Psychological Association, two of the highest awards given in her field. At the University of Connecticut, Dr. Reis was named a University Teaching Fellow, the highest award for teaching given at the university, and recognized as a Board of Trustees Distinguished Professor, the highest honor that the University bestows on faculty who has demonstrate excellence in teaching, research and service, including public engagement.

The Board of Trustees approved the establishment of the Letitia Neag Morgan Chair for Educational Psychology on August 3, 2011.

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University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

September 28, 2011

TO: Members of the Board of Trustees  
FROM: Peter J. Nicholls, Provost  
RE: Notification of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article IX – The University Senate.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. This document represents such notice and the resolution calling for the described amendments should be acted upon at the next meeting of the Board.

The Board is being asked to revise the language of Article IX for several reasons. First, to modify the mission and purpose of the Senate consistent with current practice. Second, to accommodate recent reorganizations in the University administration as reflected in the By-Law changes that the Board of Trustees approved in September 2008. Third, to define the role of the Senate's representatives to the committees of the Board of Trustees. Fourth, to bring some election procedures into alignment with current practice, and set limits on at-large representatives. And fifth, to make provisions for student representation on the Senate Executive Committee (SEC) during the summer months and to add a graduate student representative to the SEC. The revisions were approved by the University Senate at its February 28, 2011 meeting. A notification of proposed changes was brought to the Board's attention on August, 3, 2011. Since then, a small, yet important, change has been made to reflect the University of Connecticut Health Center's role in regards to the University Senate.

For the information of the Board, also attached is the original language of Article IX, with indications of the proposed amendments.

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## ARTICLE IX – THE UNIVERSITY SENATE

Current language removed is shown in ~~line out~~.

Language proposed at the August 3, 2011 meeting of the Board of Trustees is shown underlined in blue.

Revision since the August 3, 2011 meeting of the Board of Trustees addressing the UCHC concerns is shown underlined in purple.

There shall be a University Senate that shall be a legislative body for the purpose of establishing minimum rules and general regulations pertaining to ~~all undergraduate schools and colleges and with policy insofar as it pertains in a general way to~~ the educational program and mission of the institution, excluding the University of Connecticut Health Center, and is that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties. The University Senate shall be regularly consulted by the Administration on matters pertaining to the Senate's purview.

### A. Membership

The University Senate shall consist of *ex officio* and elected members. The *ex officio* members shall be the President, the Provost, all Vice Presidents, all Vice Provosts, and the ~~Associate Vice President and Chief Information Officer, and the Associate Vice President for Human Resources and Payroll Services.~~ These *ex officio* members shall not vote.

The Senate shall contain ninety-one elected, voting members, as follows:

1. Three ~~administrative officers elected by and from a group composed of the~~ deans of the schools and colleges, which are Senate electoral constituencies (see Section B below). ~~Members of this group and the ex officio members listed above are not eligible to vote in or to be elected from any of the constituencies listed in Section B.2. or B.3. below.~~
2. Seventy-two members of the faculty elected according to one or the other of the two faculty electoral processes described in Section B.2. below.
3. Nine professional staff members elected by and from the constituencies described in Section B.3. below.
4. Five undergraduate students (see Section B.4 below).
5. Two graduate students (see Section B.5. below).

### B. Elections

The election of faculty and other staff members to the Senate shall normally be held between October and March and shall be conducted by secret ballot. A minimum of one week, excluding time when the University is in recess, shall be allowed between the distribution and collection of ballots for Senate elections. Those elected shall take office on July 1. All elections shall be in the charge of the Senate Executive Committee and the returns shall be certified by two inspectors of election selected by the Senate.

In any Senate election, persons eligible for election who feel unable to serve shall be given an opportunity to withdraw their names from the list of candidates for nomination. The names of those



persons who have withdrawn, as well as the names of persons who are completing two consecutive terms, shall be indicated on the nominating ballot.

~~The ballot for the second vote (election ballot) in any Senate election must indicate the number of votes each nominee received. In addition, there must be listed the names of those persons who were not nominated, but who received at least 70% of the number of votes received by the nominee with the fewest votes. After the election, the number of votes received by those persons who were elected and the number of votes received by nominees who were not elected must be made public.~~ After the election, the number of votes received by those persons who were elected and the number of votes received by nominees who were not elected must be kept on file in the Senate Office.

The Senate Executive Committee shall retain on file the number of votes cast for each candidate in each constituency for each annual class whose term has not expired, and shall designate the available candidate with the highest vote at the last previous election in the proper constituency as the alternate to replace any senator whose place will be vacant in any semester because of resignation, retirement, or leave of absence from the University. Priority in case of tie votes shall be determined by lot. Such alternates shall be designated for up to one semester-year at a time and at the beginning of the semester unless the vacancy occurs later.

When it is known that there will be a vacancy throughout the last year or last two years of a three-year term in a constituency not otherwise scheduled to participate in a regular annual election, then that constituency will participate in order to elect a replacement to serve for the one- or two-year period remaining in the unexpired term.

The term of office of a senator shall begin on July 1 and shall extend for one year in the case of student members and for three years in the case of other elected members. Senators shall be eligible for re-election, except that a member who has completed two consecutive three-year terms shall be ineligible for re-election to serve during the year which immediately follows the period of consecutive service (which may have been extended by virtue of election to the Senate Executive Committee).

1. ~~For purposes of electing the three administrative officers, the electors described in Section A.1. above, shall determine the procedure that they will follow~~ The Deans shall constitute a constituency from which they will elect one member each year for a three-year term.
2. Two electoral processes for faculty membership in the Senate shall be followed, (a) constituency and (b) at-large. Faculty members shall not be eligible to stand for election or to vote in the first regular election following their initial appointment to the faculty.

*a. Faculty constituency elections*

The voting members of the faculty (see Article X.A.) of each of the following units shall, for these purposes, be deemed an electoral constituency: College of Agriculture and Natural Resources, School of Business, Neag School of Education, School of Engineering, School of Fine Arts, School of Law, College of Liberal Arts and Sciences, School of Nursing, School of Pharmacy, School of Social Work, and the Avery Point, Hartford, Stamford, Torrington, and Waterbury Regional Campuses. A person who is a voting member of more than one faculty will belong to only one constituency, that of the faculty in which his or her principal appointment is held.

The number of senators to be elected from each constituency will be one for each thirty faculty members or major fraction thereof within that constituency, with the proviso that each school, college, or regional campus will have at least one senator. The faculty of a school, college, or regional campus that is thus allocated more than one senator will have the option of establishing nonoverlapping sub-constituencies. Senators chosen from a constituency will be elected by and from among the members of that constituency. The determination of the proper number of senators to be allocated to each constituency will be reviewed annually by the ~~Provost~~Senate. The annual schedule for electing members from each constituency shall be determined by the ~~Provost~~Senate in such a way as to provide for the optimum staggering of three-year terms within each constituency and for a reasonable degree of uniformity in the total number of members to be elected each year from all constituencies. To achieve these objectives, when a constituency becomes eligible to elect an additional senator, the initial term may be limited to one or two years in order that succeeding three-year terms may begin in the most appropriate years.

*b. At-large elections*

The number of faculty elected at-large will be the number remaining after the number of senators to be elected from constituencies is subtracted from seventy-two. Senators chosen at-large will be elected by and from the faculty electoral constituencies.

No school/college shall have more than five faculty representatives elected at-large except the College of Liberal Arts and Sciences, which may have no more than fifteen.

3. The professional staff<sup>1</sup> members shall be elected according to procedures approved by the Provost and Executive Vice President for Academic Affairs from four constituencies as described below. Staff members are ineligible to stand for election or to vote in the first regular election following their initial appointment to the staff.
  - a. Division of Student Affairs ~~and Services~~ and Enrollment Planning ~~and~~and, Management, ~~and Institutional Research~~ (excluding regional campuses), who shall elect two senators.
  - b. University libraries (excluding regional campuses), who shall elect one senator.
  - c. Regional campuses, who shall elect one senator.
  - d. All professional staff shall elect five at-large senators.
4. Undergraduate student members shall be ~~selected by procedures established~~appointed by the President of the Undergraduate Student Government ~~with the approval of the Provost~~ according to procedures established by the Undergraduate Student Government.
5. Graduate student members shall be ~~selected by procedures established~~appointed by the President of the Graduate Student Senate ~~with the approval of the Provost~~ according to procedures established by the Graduate Student Senate.



### C. Functions

The University Senate is a legislative body for the purpose of establishing minimum rules and general regulations pertaining to the educational program and mission of the institution that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties. ~~The University Senate is a legislative body and concerns itself with minimum rules, general regulations, pertaining to all undergraduate schools and colleges and with policy insofar as it pertains in a general way to the educational program of the institution and is not reserved to the Board of Trustees, to the administration, or to the several faculties~~ The University Senate shall appoint ex-officio representatives to the Board of Trustees committees, with full participation in discussion but without vote. These representatives will have the same status at Board of Trustees meetings, with voice but without vote. When executive sessions are called only those persons whose presence is necessary to provide their opinion on the issue at hand will be invited into Executive Session.

The University Senate will make other appointments to University committees and boards as it deems appropriate.

### D. Officers

The President of the University shall be Chair of the University Senate. If the President chooses not to preside, the presiding officer shall be the Provost. A moderator shall be elected from the membership for an annual term beginning in September. At a meeting called at the request of at least twenty-five percent of the members, the presiding officer shall be the Chair of the Senate Executive Committee.

A recording secretary of the Senate, elected by the Senate, is responsible for recording and distributing minutes of Senate meetings.

### E. Meetings

Meetings of the University Senate shall be held regularly at times to be fixed by ~~the President on the advice of~~ the Senate. The dates and times of meetings shall be published at the start of the academic year.

Special meetings of the University Senate may be called by the President or by the Recording Secretary of the University Senate at the request of at least twenty-five percent of the members. Such request, with signatures, shall be presented in writing to the Recording Secretary of the University Senate. The call for a special meeting shall be issued at least a week in advance of the meeting and shall state the purpose of the meeting.

On the request of any member of the Senate, if supported by four colleagues, a record vote shall be taken. These record votes shall be made a part of the minutes.

### F. Minutes and Reports

Minutes of the meetings of the University Senate shall be posted on the University Senate website and sent to the University Archives. The University Archives shall be the official place for filing minutes of the University Senate.



## G. Committees

### 1. The Senate Executive Committee

The Senate Executive Committee (SEC) shall consist of eight faculty members, one professional staff member, and one undergraduate and one graduate student members. The faculty and professional staff members shall be elected by the Senate from among the elected members of the Senate who are not primarily administrators. Department heads and directors are not regarded as primarily administrators. The undergraduate and graduate ~~student~~ members shall be selected from among and by all student members of the Senate. The Undergraduate Student Government and Graduate Student Senate Presidents or their student Senate member designee will serve as the interim student representatives to the Senate Executive Committee during the summer months until the permanent members are selected.

Elections shall follow the annual election of such members and shall normally take place in April. For faculty and professional staff members, a term of service on the Executive Committee shall begin on July 1 and shall be for three years. The term of membership in the Senate of a faculty or professional staff representative elected to the Executive Committee shall be automatically extended, if necessary, to be coterminal. For the student member, the term of service shall be one year, renewable to a maximum of three consecutive years. After the annual election, the Senate shall elect one of the nine faculty and professional staff members to serve as Chair of the SEC for a one-year term, which may be renewed. A vacancy on the SEC shall be filled by election for the duration of the vacancy.

No school/college shall have more than one faculty representative elected in any class, except the College of Liberal Arts and Sciences, which may have as many as two representatives in any class. The College of Liberal Arts and Sciences may have no more than a total of four members on the SEC at any time and no other school/college may have more than two members at any time. No faculty or professional staff senator shall be eligible for immediate re-election to the SEC. The SEC is responsible for organizing and coordinating the business of the Senate and of Senate committees. To this end, it shall maintain a clerical staff and an office. It shall distribute an agenda for each Senate meeting to the faculty and professional staff at least five days before the meeting. (Items of an extraordinary nature may be considered at a Senate meeting which have not been included in the agenda for that meeting.) It shall receive the reports of Senate committees before they are forwarded to the Senate. It also shall be available to be consulted as the voice of the Senate, especially in reference to resolutions of the Senate, which are designed to be transmitted ultimately to the Board of Trustees. SEC members shall also constitute the faculty membership of the Trustee-Administration-Faculty-Student Committee (see Section VIII.C.).

### 2. Committee of Three

The Committee of Three, which functions in faculty dismissal and grievance procedures, is to be constituted as follows: Each ~~spring~~ fall, after the Senate elections for ~~the faculty~~ Faculty Review Board ~~is~~ are completed, the ~~SEC~~ Nominating Committee shall submit to the Senate for the election of one person to the Committee of Three for a three-year term by written ballot, a slate of at least three faculty members who are tenured, full professors and who do not hold administrative office (excluding those who have the administrative titles of department head or higher). The slate of nominees must not represent the same department as any member whose



term continues into the following year. Members of the Faculty Review Board shall not be eligible for nomination. ~~will distribute to Senate members a first ballot with the names of newly elected faculty members (excluding those who have the administrative titles of department head or higher). A second ballot with the names of the two Senators receiving the highest number of votes will then be distributed to the members of the Senate. The Senator receiving a majority of the votes cast will be a member of the Committee of Three until the end of his/her term.~~

When~~ever~~ a vacancy occurs in the membership of the Committee of Three, the Senate shall elect in the same manner from the faculty group in which the vacancy exists, except if the vacancy is for less than one year, then it shall be filled by the available candidate with the highest vote in the last previous election in the appropriate class and constituency.

~~In an emergency, the SEC may authorize the remaining members of the Committee of Three to select a substitute for a member of the Committee of Three to serve while such member is absent from the campus.~~

### 3. Standing/Special Committees of the Senate

The Senate may appoint and define the duties of standing or special committees. The Provost shall be or assign a delegate to be an ~~be~~ *ex officio* ~~a~~ member of all standing committees.

Each standing committee shall keep accurate records of its proceedings and shall provide these to the SEC. Reports shall be presented to the University Senate as often as the amount and nature of business warrants, and at least annually.

Special committees shall be considered as discharged, without formal vote, when their final reports have been received by the Senate.

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<sup>1</sup> For University Senate constituency purposes, professional staff shall be defined as:

I. Full-time (nine month or more) management exempt employees not included in the faculty or administrative constituencies.

II. Full-time (nine month or more, non-student) professional staff represented by the professional employees collective bargaining unit and not included in the faculty constituency.

III. Full-time (nine month or more, non-student) professional staff represented by the faculty collective bargaining unit but not included in the faculty constituency.





University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

September 28, 2011

TO: Members of the Board of Trustees  
FROM: Peter J. Nicholls, Provost  
RE: Notification of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article XIV – The University Staff, Section K, Retirement.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. This document represents such notice and the resolution calling for the described amendments should be acted upon at the next meeting of the Board.

The Board is being asked to revise the language of Article XIV, The University Staff, Section K, Retirement, to align the University's practice of granting emeritus status to retiring members of the University community, most notably, faculty, with the practices of other major public research universities around the country.

For the information of the Board, also attached is the original language of Article XIV, Section K, with indications of the proposed amendments.

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#### Article XIV, Section K. Retirement

1. A faculty member's decision to retire is understood to be an individual one, but in the interests of continuity and proper academic planning, it is expected that the faculty member will give notice of his/her plans at the earliest opportunity.

2. Any member of the faculty, who at the time of retirement is at the University of Connecticut and retires under the provisions of the State Retirement Act or the Alternate Retirement Plan, may by vote of the Board of Trustees or Health Center Board of Directors be awarded emeritus status provided that ~~one~~ both of the following conditions ~~are~~is met:

a. The faculty member has served at least ~~10~~25 years at collegiate institutions including at least five years at the University of Connecticut.

b. The faculty member has attained the status of permanent academic tenure ~~rank of full professor~~ at the University of Connecticut.

3. There shall be a standing University of Connecticut Retirement Committee. Faculty who do not meet both ~~not meeting either~~ conditions in Section 2a ~~and/or~~ Section 2b above may become emeriti by vote of the Board of Trustees following recommendation of the President and the Retirement Committee. Other professional staff are also eligible for this designation following recommendation of the President and the Retirement Committee.

4. It is in the interest of the University that emeriti, as defined in paragraph two, continue their scholarly activities. The University encourages the maintenance of informal and scholarly contacts between retired faculty ~~emeriti~~ and their active colleagues and will endeavor to provide working space, equipment, library facilities, and the like to all who can demonstrate reasonable need. Such support will be contingent upon availability of resources at the time. Deans and department heads, where appropriate, may invite emeriti for professional activities such as lecturing, serving on academic committees, and advising students.





University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

September 28, 2011

TO: Members of the Board of Trustees  
FROM: Peter J. Nicholls, Provost  
RE: Approval of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article VII – The Provost and Executive Vice President for Academic Affairs and the Vice Presidents.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. Notice was provided at the August 3 Board of Trustees meeting.

The Board is being asked to revise the language of Article VII to eliminate the reference to the Provost arranging the schedule of the regular meetings of the University Senate which will bring the By-Laws into alignment with current practice.

For the information of the Board, also attached is the original language of Article VII, with indications of the proposed amendments.

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ARTICLE VII – The Provost and Executive Vice President for Academic Affairs  
and the Vice Presidents

- A. The Provost and Executive Vice President for Academic Affairs and the Vice Presidents report to the President. They shall give direction and assistance to the deans and directors with respect to their administrative duties and shall accept such other responsibilities as may be assigned to them by the President.
- B. Provost and Executive Vice President for Academic Affairs
1. The Provost and Executive Vice President for Academic Affairs (hereafter referred to as ‘the Provost’) is the chief academic officer and in this capacity, is responsible for managing the academic operations of the University. He/she also coordinates the formulation of policies and administration of all schools, colleges, divisions, institutes, and regional campuses of the University.
  2. *The Provost shall:*
    - a. Serve as Acting President in the absence of the President and be a member of the President’s cabinet.
    - b. Attend all meetings of the Board of Trustees, except when the Board requests otherwise. He/she may participate in discussions, but shall not vote.
    - c. Appoint those members of the University faculty and staff who report to him/her.
    - d. Preside at meetings of the Council of Deans.
    - e. Be a member of the University Senate; ~~preside in the absence of the President at its meetings; arrange the schedule of its regular meetings;~~ interpret in collaboration with the President its By-Laws, rules, and regulations; administer its rules and regulations in consultation with the Senate; and the Provost, or his/her designee, be a member of all its standing committees.
    - f. Be a member of the faculties of all Schools and Colleges.
    - g. Be responsible for University catalogs.
    - h. Conduct such studies and promote such activities in the University in those components of the University under his/her supervision, as will result in effective educational and research programs.

- i. Disseminate among members of the University faculty and staff such information as will promote the understanding, integration, and coordination of the education program of the University.
- j. Approve the selection and adjustment in service of all personnel under his/her jurisdiction and make recommendations for promotion and/or tenure to the Board of Trustees or the Board of Directors, as appropriate.
- k. Eliminate so far as possible unnecessary overlapping and duplication in the offerings, functions, and services of the academic parts of the University.
- l. Evaluate the effectiveness of personnel in the teaching, research, and administrative programs.
- m. Assist deans and directors of divisions in preparing budgets and recommend budgets and budget adjustments for the several schools, colleges, and divisions to the President.
- n. Serve as the appellate tribunal for students or staff members in appeals from decisions of deans or directors. The Provost, or his/her designee, shall serve as the appellate tribunal for decisions made within the parameters of the *Student Code of Conduct*, which would result in the suspension or expulsion of a student.
- o. Arrange the schedule for regular meetings of the faculties of schools and colleges and preside at such meetings.
- p. Interpret the by-laws, rules, and regulations of the schools and colleges.
- q. Direct the assignment of all plant facilities, including buildings, offices, classrooms, laboratories, equipment, and land, and establish uniform rules pertaining to their proper use for all areas under his/her jurisdiction.
- r. Direct the negotiation and administration of faculty collective bargaining agreements in collaboration with the Vice President and Chief Operating Officer.







University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Approval of the Professional Science Master's (PSM) Degree in Health Care Genetics  
in Allied Health in the College of Agriculture and Natural Resources

RECOMMENDATION:

That the Board of Trustees approve the Professional Science Master's (PSM) Degree in Health Care Genetics in Allied Health in the College of Agriculture and Natural Resources.

BACKGROUND:

Recent milestones in genetics testing have introduced new paradigms for addressing personalized medicine, pre-implantation genetic diagnosis, and genetic design. The PSM degree program will train students to gain interdisciplinary competency in human genetics, disease identification, laboratory diagnostics, health care ethics, and regulatory issues in the clinical laboratory.

The objectives of this program are to provide students with the didactic and experiential components of genetic principles and genomic technologies to 1) improve the quality of health-care through the diagnosis, screening, intervention or prevention of disease for health maintenance; 2) understand the correlation between health-related genetic information and important social and psychological implications for individuals and families; and 3) identify resources available to assist those patients seeking genetic information or services. This degree will be a University wide initiative that connects multiple departments on the Storrs and Health Center campuses.

The target clientele are baccalaureate students with degrees in the life or clinical sciences who wish to pursue career advancement or new career opportunities in companies, laboratories, or health-centers whose mission is to identify, treat and/or prevent human disease related to genetic factors. The proposed degree is a two-year, 33-credit, Master's program, with options for part-time completion. The program has its foundations in the existing strengths of those faculty members with expertise in genetic testing, research as well as the application of genetics in health-care.

The development of the PSM in Health Care Genetics at the University of Connecticut is appropriate, as it addresses the workforce shortage and the interests of college applicants to embrace the trends of genetic testing and the expanding role of the clinical laboratorian.

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**NEW PROGRAM LICENSURE SUMMARY SHEET**

<b>Applicant Institution</b> University of CT, Storrs		<b>Date Circulated &amp; Submitted to DHE</b>	
<b>Name of Proposed Program</b> Health Care Genetics		<b>Proposed Initiation Date</b> Fall 2012	
<b>Is the proposed program a degree or certificate program?</b>  <input checked="" type="checkbox"/> <b>Degree:</b> Title of degree (and abbreviation): Professional Sciences Masters Degree in Health Care Genetics (PSM HCG)  <input type="checkbox"/> <b>Certificate:</b> Number of credits: 33			
<b>CIP Code No. (optional)</b>		<b>Title of CIP Code (Optional)</b>	
<b>Unit within the institution where the program will be housed:</b> College of Agriculture and Natural Resources, Department of Allied Health Sciences, Graduate Program in Allied Health			
<b>Location(s) where the proposed program will be offered (e.g., main campus, branch campus, or off campus):</b> Main campus			
<b>Mode of delivery of the proposed program:</b> <input type="checkbox"/> On ground <input type="checkbox"/> Online <input checked="" type="checkbox"/> Both			
<b>Institutional Accreditation (if applicable):</b> Name of accrediting body:  Nature of action:		<b>Date of last action:</b>	
<b>Program accreditation:</b> Do you anticipate that the proposed program will be accredited by a professional organization or other outside accreditor? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO If yes, name of accrediting body: Council of Graduate Schools, required to use the name and logo for the Professional Science Master's  Will this program provide eligibility for a state approved or other licensed profession? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO If yes, identify:  If you have answered "YES" to either of the above questions please attach documentation that the proposed program meets the standards of the identified accrediting body or licensing agency.			
<b>Institutional Contact Person</b> Lawrence Silbart, MPH,Phd		<b>Title</b> Department Head, Allied Health Sciences	<b>Telephone:</b> 860-486-0013  <b>e-mail:</b> lawrence.silbart@uconn.edu
<b>Department of Higher Education Project Manager</b> (To be assigned by DHE)			<b>Date application received by DHE:</b>

### Board of Governors' Standards

The purpose of this section is for you to provide us with highlights about the proposed program and, in particular, any ways it may differ from your current programs.

**Purpose and Objectives:** Provide a brief statement of the specific objective of the proposed program. If the program prepares students for a particular occupation(s), please identify. If the students served by this program will differ from your traditional student population, please indicate how.

The Professional Master's Degree in Health Care Genetics Program goal is to train scientists and professionals with interdisciplinary competency in human genetics, disease identification, laboratory diagnostics, health care ethics, and regulatory issues in the clinical laboratory. The objectives of this Program are to provide the didactic, experiential and cohort components such that our graduates can 1) apply knowledge of genetic principles and genomic technologies to improve quality of health-care through the diagnosis, screening, intervention or prevention of disease and the maintenance of health, 2) understand that health-related genetic information can have important social and psychological implications for individuals and families, and 3) identify resources available to assist those seeking genetic information or services. This degree will be a University wide initiative that crosses multiple departments on the Storrs and Health Center campuses.

**Administration:** Provide the name and qualifications of the full-time administrator or faculty member who will be responsible for the day-to-day operations of the program. If this individual is to be hired indicate the time frame for hiring and proposed qualifications.

Judy Brown, PhD, Assistant Professor in Residence, Department of Allied Health Sciences. Dr. Brown is certified as a Clinical Laboratory Specialist in both Cytogenetics and Molecular Biology by the American Society for Clinical Pathology. Fifteen years experience training students in clinical laboratory policies and procedures.

**Faculty:** Will courses in this program be taught by current faculty members? ☒ YES ☐ NO  
If yes, how many current faculty members will be teaching in this program? Five Core Faculty- other affiliated across departments will teach optional/menu-driven courses  
Do you anticipate hiring new faculty in the first 3 years of this program? ☒ YES ☐ NO

If yes, how many and what qualifications will you require? One, half-time, end-dated position, based on availability of funding: MS in health or life sciences, ASCP certification in cytogenetics and molecular biology, minimum 4 years clinical laboratory experience, research experience preferred

**List all current in the chart on the following page.**

**Resources:** Library, learning resources, facilities or special equipment.

Does the institution anticipate that there will be new resources (other than faculty) required for the proposed program? ☐ YES ☒ NO

**Please complete the Resource Summary Sheet at the end of this application.**

**Curriculum:** List the courses and credits that comprise the program.

Course Number and Name	Credit Hours	Course Number and Name	Credit Hours
GPAH 5710 Genetics and Genomics of health	3	PVS 5201 Microbiology of atypical bacteria	2
GPAH 5715 Current advances in clinical genetics	1R	PVS 5502 Evaluation of diagnostic tests	2
GPAH XXXX Clinical case review	3	PVS 5503 Molecular approaches to disease diagnosis and prevention	2
GPAH 5632 Vaccines: Mechanisms of immune protection	3	PVS 5401 Immunobiology	3
GPAH 5366 Environmental health	3	ANSC 5621 Frontiers in Animal Embryo Biotechnology	3
GPAH 5328 Cancer intervention for allied health professionals	3	MEDS 5309 Molecular Basis of Disease	3
GPAH 5302 Health care policy	3	MEDS 5365 Genetics	3
GPAH 5319 Allied health education science	3	MEDS 5369 Advanced genetics and molecular biology	3
GPAH 5099 Independent Study for Allied Health	var	MCB 5240 Virology	3
MCB 5217 Biosynthesis of Nucleic Acids & Proteins	3	MCB 5426 Genetic Engineering and Functional Genomics	3
MCB 5454 Molecular Aspects of Genetics	2	MCB 5896 Concepts of Genetic Analysis	3
PRACTICAL COURSES BELOW		PRACTICAL COURSES BELOW	
GPAH 5720 Chromosome and DNA Diagnostic Techniques	1D	MCB 5427 Laboratory Techniques in Functional Genomics	1D
MCB 5896 Investigation of Special Topics	1R	GRAD 5900 Special Topics in Graduate Education - Communication Skills	1
GRAD 5910 Responsible Conduct of Research in Academia and Industry	1	GPAH 5700 Ethical considerations in genetics research and testing	2
GRAD 5930 Directed Studies / Internship	3		
R = May be repeated for Credit		D = Different sections may be repeated for credit	
<p>Briefly outline any program requirements:  33 credits of course work: 17 credits of conceptual coursework, 8 credits of practical coursework (laboratory or research experience) and 8 credits of professional master's cohort courses (such as communication skills, frontiers seminars, ethics, responsible conduct of research, laboratory administration, internship, etc.). The curriculum is tailored to suit diverse student needs by selecting from a MENU in consultation with the students'</p>			

academic advisor. This plan of study will be designed based on a student's prior experience, career goals and those needed to gain mastery of the body of knowledge of the field. There is no specific required sequence of courses, unless a course has a specific pre-requisite course indicated in the course description. There are no required summer components with the exception of directed study/internship that may be scheduled during the summer months.

# CONNECTICUT BOARD OF GOVERNORS FOR HIGHER EDUCATION

Department of Higher Education

## RESOURCE SUMMARY

Institution University of CT

Program Professional Science Masters in Health Care Genetics

PROJECTED ENROLLMENT	YEAR 1 FY 2012		YEAR 2 FY 2013		YEAR 3 FY 2014	
	FT	PT	FT	PT	FT	PT
Internal Transfers	<u>2</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>      </u>	<u>      </u>
New Students	<u>1</u>	<u>1</u>	<u>4</u>	<u>2</u>	<u>4</u>	<u>2</u>
TOTAL ENROLLMENT (est.)	<u>3</u>	<u>3</u>	<u>7</u>	<u>5</u>	<u>11</u>	<u>7</u>

NEW REVENUES	YEAR 1 EST. AMOUNT	YEAR 2 EST. AMOUNT	YEAR 3 EST. AMOUNT
Tuition (1)	<u>35988</u>	<u>77974</u>	<u>119961</u>
Extension Fund Fees (2)	<u>      </u>	<u>      </u>	<u>      </u>
Other Sources (Please attach a description)	<u>      </u>	<u>      </u>	<u>      </u>
TOTAL NEW REVENUES	<u>35988</u>	<u>77974</u>	<u>119961</u>

NEW EXPENDITURES	YEAR 1		YEAR 2		YEAR 3	
	Number	Est. Cost	Number	Est. Cost	Number	Est. Cost
Faculty (full-time)	<u>0.5</u>	<u>5200</u>	<u>0.5</u>	<u>10330</u>	<u>0.5</u>	<u>25000</u>
Support Staff (full-time)	<u>0</u>	<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>
Library	<u>0</u>	<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>
Equipment (3)	<u>0</u>	<u>      </u>	<u>      </u>	<u>5000</u>	<u>      </u>	<u>5000</u>
Other (4)	<u>0</u>	<u>20400</u>	<u>      </u>	<u>52300</u>	<u>      </u>	<u>86300</u>
TOTAL NEW EXPENDITURES	<u>      </u>	<u>25565</u>	<u>      </u>	<u>67630</u>	<u>      </u>	<u>116300</u>

### List of Faculty

The following faculty members will be teaching in the proposed program (attach additional pages if needed)

Name and title*	Degree	Full time or Part time or Adjunct	Area of Specialization
Judith D. Brown, Assistant Professor in Residence, Allied Health Sciences	PhD, CG (ASCP), MB	Full	cytogenetics, molecular, chromosome instability
Lawrence K. Silbart, Professor, Department Head Allied Health Sciences	MPH, PhD	Full	vaccine development, immunology, microbiology
Denise Anamani, Lecturer, Allied Health Sciences	MA, I(ASCP), MB	Full	molecular diagnostic technologies
Rachel J. O'Neill, Professor, Molecular and Cell Biology	PhD	Full	genetics and genomics, chromosome evolution
Robin C. Schwartz, Certified Genetic Counselor Assistant Professor, Genetics and Developmental Biology and Pediatrics, UConn Health Ctr	M.S., C.G.C.	Full	genetic counseling breast cancer research
Stormy J. Chamberlain, Assistant Professor Dept of Genetics and Developmental Biology UConn Health Ctr	PhD	Full	induced pluripotent stem cells, PWS/AS
M. Copenhaver	PhD	Full	HIV prevention
S. Geary	PhD	Full	molecular biology and pathogenic mechanism of mycoplasmas, vaccine development



P. Verardi	PhD	Full	immunobiology
P. Marcus	PhD	Full	Animal virology and the interferon system
Barbara Mellone	PhD	Full	regulation of chromosome segregation
X. Tian	PhD, MD	Full	gene programming by somatic nuclear transfer
P. Zhang	PhD	Full	chromosome structure and gene regulation
H. Ferneaux	PhD	Full	regulation of gene expression at RNA level)
S. Clark	PhD	Full	molecular mechanisms regulating normal development, differentiation, and maintenance of connective tissue

\* If you anticipate hiring new faculty members for this program you may list "to be hired" under name and title.

**NOTE:** Institutions are required to have their current institutional program approval process on file with the Department.

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**Date institutional program approval process was submitted to DHE \_\_\_\_\_**

**Please note that this application has been reformatted in Microsoft Word to enable ease of completion.** Some fields are limited in the number of words you may enter. Please use this application form for approval of new programs at your institution. You should submit this application to the Department of Higher Education at the same time you circulate the proposed program to all institutions in Connecticut. Please indicate in your circulation memo that all comments are to be sent to both your institution and the Department. Provide your response on any comments to the Department.

Upon the Department's receipt of the application, a staff member will be assigned to review your application and you will be contacted, if additional information is needed. If no additional information is needed, you will be notified when the program will be on the agendas for the Advisory Committee on Accreditation and the Board of Governors.

For more information, contact:

Patricia A. Santoro, Director, Academic Affairs  
CT Department of Higher Education  
61 Woodland Street, 3<sup>rd</sup> Floor  
Hartford, CT 06105  
(860) 947-1819  
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University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost 

RE: Modification of the Doctor of Philosophy Degree Program in Learning, Leadership, and Education Policy in the Neag School of Education

RECOMMENDATION:

That the Board of Trustees approve the modification of the Doctor of Philosophy Degree Program in Learning, Leadership, and Education Policy in the Neag School of Education.

BACKGROUND:

The proposal integrates the two existing doctoral Fields of Study in the Department of Educational Leadership – Adult Learning and Educational Administration – into a single doctoral Field of Study: Learning, Leadership, and Education Policy (LLEP). This new Field of Study will have two new Areas of Concentration in (a) Adult Learning and (b) Leadership and Policy. These two Areas of Concentration provide Ph.D. students with the opportunity to link their professional and academic goals to scholarship, faculty resources, and curriculum that are designed to meet their interests in adult learning, educational leadership, education policy, and higher education.

These two distinct but complementary Areas of Concentration offer those students who wish to pursue a Ph.D. degree the opportunity to draw from their current careers, prior experiences, and previous education to achieve their academic objectives and long-term professional goals. With one concentration primarily focusing on learning theory, and the other on theories of policy and leadership, students can maintain distinct academic identities while simultaneously applying lenses of social justice and organizational change to their work in and with complex organizations.

The new Field of Study name, the two new Areas of Concentration, and the curricular changes proposed herein reflect the increasingly interdisciplinary direction of the department and current faculty expertise, as well as the vision the Neag School has for the future of the Department of Educational Leadership.

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**AN APPLICATION FOR  
A PROGRAM MODIFICATION TO A PHD DEGREE PROGRAM IN  
LEARNING, LEADERSHIP AND EDUCATION POLICY**

**The Graduate School  
The University of Connecticut**

**Submitted to:**

**The Board of Governors for Higher Education**

**State of Connecticut**

**April 22, 2011**

## 1. PROVIDE A DESCRIPTION AND RATIONALE FOR THE PROGRAM MODIFICATIONS

The proposal is to integrate our two existing doctoral fields of study in the *Department of Educational Leadership – Adult Learning* and *Educational Administration* into a single doctoral field of study under a new name – Learning, Leadership, and Education Policy (LLEP). This new field of study will have two new areas of concentrations in (a) *Adult Learning* and (b) *Leadership and Policy*. These two concentration areas provide Ph.D. students with the opportunity to link their professional and academic goals to scholarship, faculty resources, and curriculum that are designed to meet their interests in adult learning, educational leadership, education policy, and higher education.

The Concentration in Adult Learning is designed to prepare individuals who choose to focus on the theoretical principles and practice of adult education and learning, and human resource development in a variety of sectors, including education, business, industry, government, non-profit, and health care. The Concentration in Leadership and Policy is designed for individuals who demonstrate a commitment to research and scholarship in the areas of leadership theory, systemic organizational change, and policy analysis in educational contexts.

These two distinct but complementary areas of concentration are necessary because they offer those students who wish to pursue a Ph.D. degree the opportunity to draw from their current careers, prior experiences, and previous education to achieve their academic objectives and long-term professional goals. With one concentration primarily focusing on learning theory, and the other on theories of policy and leadership, students can maintain distinct academic identities while simultaneously applying lenses of social justice and organizational change to their work in and with complex organizations.

The new program name, the two concentrations, and the curricular changes reflect the increasingly interdisciplinary direction of our department and our current faculty expertise, as well as the vision we have for the future of the Department of Educational Leadership. The new single and integrated Ph.D. – “Learning, Leadership, and Education Policy” (LLEP) – is thus a crucial and accurate indication of the proposed modification.

Reasons for the proposed changes:

1. *To capitalize on the existing departmental resources that emphasize the pragmatic turn toward more interdisciplinary needs of students and faculty interests.* Across the two existing doctoral programs, there are similar courses, cross-listed faculty, similar needs for resources, and very similar research and professional placements of graduates. This is evident in the department’s recent doctoral dissertations, conference presentations, grant applications, and published articles.

2. *To increase collaboration among faculty with related interests, and between faculty and students.* This will result in increased research, scholarly productivity, and grant activity within the department.

3. *To maximize the educational experiences of the graduate students in the new program and to prepare students for the current and future positions in educational leadership and policy and adult education.* By providing them with flexible and broadly applicable plans of study, our Ph.D. students will be better prepared to respond to the growth in the field of education. The integrated course work will prepare Ph.D. students for academic and administrative positions in schools of education, and leadership or research positions in schools, universities, government, think tanks, business, and non-profit agency settings.

In summary, as this particular field of study grows and changes, so do the needs and expectations of our students and those who employ them. Maintaining our current department and separate Ph.D. programs does not allow for an adequate response to these demands. Other universities have made similar departmental shifts, including the University of Georgia, University of Maryland, and Vanderbilt University. The Department of Educational Leadership at the University of Connecticut will be among these forward-looking universities as they effect positive change in leading schools, institutions of higher education, nonprofits, and business and industry through innovating their academic programs to optimize student learning outcomes.

## **2. WHAT IS THE RELATIONSHIP OF THE MODIFICATION TO THE EXISTING APPROVED PROGRAM?**

The proposed program, Learning, Leadership, and Education Policy, will replace the two existing Ph.D. programs – *Adult Learning* and *Educational Administration* – in the Department of Educational Leadership. Therefore, two Ph.D.s will be merged into one, with concentrations in (a) Adult Learning and (b) Leadership and Policy to integrate and synergize the courses, professional practice, and research across the department.

To facilitate such a modification the following is proposed.

At a minimum, all students in the LLEP program will complete:

- 9 or more credit hours of research methods courses offered by EDCI, EDLR, and EPSY
- 9 or more credit hours of courses in their area of concentration
- 15 credit hours of GRAD 6950 (Dissertation Research)
- 18 credit hours of core courses

<b>18 Hours of Core Courses</b>
EDLR 6313 Educational Policy and Politics
EDLR 5204 Organizational Learning
EDLR 5201 Influences on Adult Learning

EDLR 6467 Social Justice Leadership, Equity, and School Change
EDLR 6050 Research Designs in Educational Leadership
EDLR 6051 Research Methods in Educational Leadership

### 3. PROVIDE AN HISTORICAL CONTEXT FOR THE PROPOSED CHANGE

Initially the Department of Educational Leadership offered three Ph.D. programs. These included Ph.D.s in Educational Administration, Adult Learning, and Professional Higher Education Administration. Several years ago the Professional Higher Education Administration degree was closed for admissions and eliminated due to a lack of tenure track faculty in this area. That left the Educational Administration (EDAD) and Adult Learning (AL) Ph.D. degrees. The number of EDAD faculty declined significantly between 2000-2007 due to retirements and career moves on the part of senior faculty. Since then the EDAD faculty has been restored to sufficient levels. Moreover, the new EDAD faculty has connected professionally and disciplinarily with the Adult Learning and Higher Education Student Affairs faculty, which has resulted in deliberate attempts to integrate curricular programming, research, and teaching. One key manifestation of that integration is the proposed alignment of Ph.D. programs in educational administration and adult learning. This proposed alignment, or creation of a single Ph.D., also will achieve more efficiency than was otherwise possible through our more compartmentalized and siloed programs.

There appears to be a strong demand for a modification to our existing field of study in that it addresses the needs of a variety of doctoral students, including those interested in educational leadership, education policy, adult learning, and higher education. Annually, education majors constitute one of the largest groups of graduates from Connecticut's universities. This group of individuals, as well as business and allied health graduates is a natural pool for the proposed modified Ph.D. program.

Furthermore, projections by the Connecticut Department of Labor indicate that jobs for which such a program would prepare students are likely to be in high demand in the next decade. The proposed doctoral program would prepare students to enter positions in higher education, industry, or research. These sectors are projected to grow considerably by 2018. The number of education professors is projected to grow by 16.3%. Similarly, the number of survey researchers is projected to grow by 18.9% and the number of administrators at pre-K to 12 schools is expected to grow by 8.0-10.0%. The number of human resource professionals is estimated to increase by 13.7% (<http://www1.ctdol.state.ct.us/lmi/projections2008.asp>). The growth in these positions, all of which require or give preferential treatment to those with a doctorate, suggests that there would be strong demand in the coming years for a program such as the one we propose.

Today, the need for this merged Ph.D. program is demonstrated by the steady stream of inquiries we receive from individuals interested in pursuing a Ph.D. in educational leadership, education policy, and higher education. Each year, we receive 20-25 inquiries. These come not only from



Connecticut but also from Rhode Island and Massachusetts. Given the University's location in Storrs, such a program would be uniquely positioned to draw students from these three states.

Given these figures, along with the demonstrated effectiveness and demand for the Ph.D. in Adult Learning, we estimate at least 15 applications for the Ph.D. in Learning, Leadership, and Education Policy each year.

#### 4. ENROLLMENT INFORMATION

**a. Provide the enrollments in the current program's specialized courses for the past two years**

<b>Program</b>	<b>2009-10 Year 1</b>	<b>2010-11 Year 2</b>
Ph.D. Educational Administration (EDAD)	0 <sup>1</sup>	0 <sup>2</sup>
Ph.D. Adult Learning (AL)	28	33
<i>Total</i>	28	33

**b. Provide estimates of the enrollments in the proposed modified program for the next two years**

<b>Concentration</b>	<b>Year 1</b>	<b>Year 2</b>
Leadership and Policy	8	14
Adult Learning	35	35
<i>Total</i>	43	49

#### 5. RESOURCES

**a. Provide statement of the costs of the existing program and the projected costs for the modified program, including any new costs.**

The program will take advantage of the existing resources in the Department of Educational Leadership. Costs should remain equivalent. No additional resources are required and as such no "cost-coverage" plan is included in this application.

**b. Provide a list of all faculty who will be associated with this modified program. Include for each person: (i) degrees held and in what subject(s);**

---

<sup>1</sup> This number is reflective of the current moratorium on admissions to the EDAD Ph.D., as we continue to make plans for reorganization and alignment.

<sup>2</sup> This number is reflective of the current moratorium on admissions to the EDAD Ph.D., as we continue to make plans for reorganization and alignment.

**(ii) current and prospective teaching assignments; (iii) their full- or part-time status; and (iv) whether they have an exclusive appointment with the off-campus program.**

\*All faculty are located on Storrs Campus and all are full time with one exception.

**Current Adult Learning Faculty**

Alexandra Bell, Associate Professor, Ph.D. in Adult Learning

Robin Grenier, Assistant Professor, Ph.D. in Adult Education

Marijke Kehrhahn, Associate Professor, Ph.D. in Adult Learning

**Current Educational Administration Faculty**

Casey Cobb, Associate Professor, Department Head, Ph.D. in Educational Leadership & Policy Studies

Morgaen Donaldson, Assistant Professor, Ed.D. in Administration, Planning, and Social Policy

Marlon James, Assistant Professor, Ph.D. in Curriculum and Instruction

Tammy Kolbe, Assistant Research Professor, Ed.D. in Educational Leadership and Policy Analysis

Kimberly LeChasseur, Assistant Research Professor, Ph.D. in Urban Education

Anysia Mayer, Assistant Professor, Ph.D. in Education Policy

Richard Schwab, Professor, Ph.D. in Educational Administration

Shuana Tucker, Assistant Professor, Ph.D. in Educational Policy Studies

Anjalé Welton, Assistant Research Professor, Ph.D. in Educational Policy and Planning

**Additional Faculty in the Department of Educational Leadership**

Jennifer Lease Butts, Associate Extension Professor, Ph.D. in Human Development, and Student Affairs Administration

Michele Femc-Bagwell, Assistant Professor in Residence, Ph.D. in Educational Administration

Sue Saunders, Extension Professor, Ph.D. in Counseling and Student Personnel Services

Robert Villanova, Assistant Research Professor, Ph.D. in Educational Administration (part-time status)

**Prospective Teaching Assignments**

Alexandra Bell – Research Methods, Adult Learning Theories

Casey Cobb – Leadership, Policy, Evaluation

Morgaen Donaldson – Policy, Leadership, Human Resource Development, Research Methods

Robin Grenier – Research Methods, Adult Learning Theories, Human Resource Development

Marijke Kehrhahn – Human Resource Development, Adult Learning Theories, Leadership

Jennifer Lease Butts – Research Methods, Leadership, Policy

Sue Saunders – Leadership, Policy, Human Resource Development

Marlon James – Leadership, Policy

Tammy Kolbe – Leadership, Policy

Kimberly LeChasseur – Leadership, Policy

Anysia Mayer – Leadership, Policy, Human Resource Development, Research Methods

Richard Schwab – Leadership, Policy  
Shuana Tucker – Leadership, Policy  
Anjalé Welton – Leadership, Policy

Curricula vitae of each of the proposed program faculty are in Appendix A.

**c. Describe the library facilities available to the students enrolled in this modified program.**

The University of Connecticut Library System holds the largest public collection of research materials in the State of Connecticut. The University Library System is composed of the Homer Babbidge Library, the Music Library, the Pharmacy Library and Learning Center, and the Historical Manuscripts and Archives Division on the Storrs campus, and Regional Campus Libraries in Hartford, Waterbury, Stamford, Torrington and Avery Point. Specialized subject collections in law, medicine and dentistry, social work, business and insurance, and marine sciences are located at various regional campuses. All collections are available to students of the University and together are capable of supporting advanced research in all fields of study offered by the University.

The Homer Babbidge Library at Storrs provides seating for 3,000 readers. This building contains the major portion of the University's book collection with 2 million volumes of the system's total (excluding Health Center and Law Libraries) of over 2.6 million volumes. Current serial subscriptions total approximately 9,700 at the Homer Babbidge Library and 20,700 for the entire University library system.

Reference and Electronic Information: The Library is committed to teaching students to use electronic information resources and to promoting electronic access to information worldwide. Its reference collection contains more than 30,000 print and electronic indexes, bibliographies, dictionaries, encyclopedias, and other sources that enable researchers to locate information. The Reference Department contains more than 200 CD-ROM databases, and current UConn students, faculty and staff may access major fulltext online services such as LEXIS/NEXIS, Dow Jones, and FirstSearch. The Library also provides Internet access and maintains a gopher server at *spirit.lib.uconn.edu* and World Wide Web site at Spirit, the Library's information server. Curators are available for individual consultation and can also arrange presentations for classes and seminars.

The Map and Geographic Information Center (MAGIC) is a library of digital geospatial information. Faculty and students within the Department of Natural Resources Management & Engineering routinely use and depend heavily on MAGIC's services. All of the data available through FTP are in the public domain, licensed data are available only on the campus of the University of Connecticut in Storrs, Connecticut. MAGIC collects data that primarily relate to Connecticut. All of the TIGER derived data for Connecticut are available in TIGER native ASCII (ZIPed), MapInfo for Windows (MIF), ARC/INFO (E00). Data from

the State's Department of Environmental Protection, the U.S. Geological Survey, U.S. Bureau of the Census and other federal agencies are also available.

**d. Indicate what provisions have been made for student access to adequate instructional facilities, equipment, academic advising, and other necessary instructional support services.**

These will remain in place as they currently exist.

**6. PROFESSIONAL ACCREDITATION (IF APPLICABLE)**

N/A






University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost 

RE: Modifications to the Doctor of Philosophy, M.A. and Sixth-Year Certificate Programs in Educational Psychology in the Neag School of Education

RECOMMENDATION:

That the Board of Trustees approve the modifications to the Doctor of Philosophy, M.A. and Sixth-Year Certificate Programs in Educational Psychology in the Neag School of Education

BACKGROUND:

The Department of Educational Psychology in the Neag School of Education conducted a program review in the 2010-2011 academic year. The faculty agreed that the current degree structure has caused various levels of confusion among faculty, students, and the University administration. It is not clearly aligned to form effective pathways for graduate students who may wish to pursue their terminal degrees in a seamless manner, from their master's Field of Study through their doctoral Field of Study.

As instructional technology has become an important and critical literacy skill in education, a closer collaboration between the faculty in cognition and instruction, and those in educational technology will provide the Neag School with a strong edge on the national platform by merging the two fields into a coherent one.

Therefore, the Neag School of Education proposes the following eight modifications:

Page 1 of 2

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# University of Connecticut

## *Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

### Doctoral level:

1. Merge two existing Fields of Study – “Educational Technology” and “Special Education” – into another existing Field of Study, (“Educational Psychology”), under the name of “Educational Psychology.”
2. Convert and merge an existing Field of Study – “Educational Technology” – and an existing Area of Concentration – “Cognition and Instruction” – into a new Area of Concentration, under the name of “Cognition, Instruction, and Learning Technology.”
3. Convert the existing Field of Study – “Special Education” – to an Area of Concentration under the same name.

### M.A. level:

4. Merge two Fields of Study – “Educational Technology” and “Special Education” – with another existing Field of Study (“Educational Psychology”), under the name of “Educational Psychology.”
5. Convert an existing Field of Study – “Educational Technology”-- to an Area of Concentration, under the name of “Educational Technology.”
6. Convert an existing e Field of Study – “Special Education” – into an Area of Concentration, under the name of “Special Education.”
7. Rename an existing Area of Concentration – “Cognition and Instruction” – to “Cognition, Instruction, and Learning Technology.”

### Sixth-Year Level:

8. Merge two existing Areas of Concentration – “Cognition and Instruction” and “Educational Technology” – into one Certificate Program under the name of “Cognition, Instruction, and Learning Technology.” The third existing Area of Concentration – “Special Education” – will remain unchanged.

AN APPLICATION FOR  
A PROGRAM MODIFICATION TO A Master of Arts and Doctor of Philosophy DEGREE  
PROGRAM IN  
Educational Psychology

The Graduate School  
The University of Connecticut

Submitted to:

The Board of Governors for Higher Education  
State of Connecticut

September 19, 2011

## 1. PROVIDE A DESCRIPTION AND RATIONALE FOR THE PROGRAM MODIFICATION.

The Department of Educational Psychology at the Neag School of Education has conducted a program review in the 2010-2011 academic year. The Department of Educational Psychology currently offers, among others, the following graduate level fields of study and concentrations:

Doctor of Philosophy:

Educational Psychology (Field of Study)  
 Educational Technology (Field of Study)  
 Special Education (Field of Study)  
 Cognition and Instruction (Area of Concentration)

Sixth Year Certificate in Professional Education in the following areas of concentration:

Cognition and Instruction  
 Educational Technology  
 Special Education

Master of Arts:

Educational Psychology (Field of Study)  
 Educational Technology (Field of Study)  
 Special Education (Field of Study)  
 Cognition and Instruction (Area of Concentration)

The current structure has caused various levels of confusion among faculty, students, and University administration. It is not clearly aligned to form clear pathways for graduate students who may wish to pursue their terminal degree in a seamless manner, from their master's field of study through their doctor's field of study.

While all of these fields of study are affiliated with the field of Educational Psychology, each of these fields of study at the doctoral has had very small numbers of program graduates in recent years. In the last three academic years (2007-2008, 2008-2009, and 2009-2010), one student graduated in Educational Technology, three graduated in Special Education, and two graduated with a concentration in Cognition and Instruction.

Further, as instructional technology has become an important and critical literacy skill in education, a closer collaboration between the faculty in cognition and instruction, and those in educational technology will provide the Neag School a strong edge on the national platform by merging the two fields into a coherent one.

Therefore, the Neag School of Education proposes the following program merge:

At the Doctoral level, merge two current fields of study -- Educational Technology and Special Education into the current existing field of study of Educational Psychology. Convert and rename Educational Technology (Field of Study), and Cognition and Instruction (Area of Concentration) into one Area of Concentration -- Cognition, Instruction, and Learning Technology. Convert the existing field of Study in Special Education into an Area of Concentration in Special Education.

At the Master's level, merge two existing fields of study -- Educational Technology and Special Education -- into the current existing field of study of Educational Psychology. Convert Educational Technology (Field of Study) and Special Education (Field of Study) into two Areas of Concentration: (1) Educational Technology (this concentration is designed for teachers) and (2) Special Education. Rename the Cognition and Instruction, a current Area of Concentration, under the title of Cognition, Instruction, and Learning Technology.

At the Sixth Year Level (areas of concentration), merge two existing areas of concentration in Cognition and Instruction, and Educational Technology into one Certificate Program and rename it under the title of Cognition, Instruction, and Learning Technology. The third existing area of concentration in Special Education will remain unchanged.

## **2. WHAT IS THE RELATIONSHIP OF THE MODIFICATION TO THE EXISTING APPROVED PROGRAM?**

As mentioned above, this modification reflects a merge of existing fields of study and concentrations into more coherent new concentrations within the existing field of study for Educational Psychology.

## **3. PROVIDE AN HISTORICAL CONTEXT FOR THE PROPOSED CHANGE.**

The current structure has caused various level of confusion among faculty, students, and University administration. It is not clearly aligned to form clear pathways for graduate students who may wish to pursue their terminal degree in a seamless manner, from their master's field of study through their doctor's field of study.

While all of the programs belong to the field of Educational Psychology, the disaggregated fields of study at the doctoral have had very small numbers of program graduates. In the last three academic years (2007-2008, 2008-2009, and

2009-2010), there were only one graduate in Educational Technology, three in Special Education, and two in the concentration of Cognition and Instruction.

Further, as instructional technology has become an important and critical literacy skill in education, a closer collaboration between the faculty in cognition and instruction, and those in educational technology will provide the Neag School a strong edge on the national platform by merging the two fields into a coherent one.

#### **4. ENROLLMENT INFORMATION**

##### **a. Provide the enrollments in the current program's specialized courses for the past two years**

In the Fall of 2010, the enrollment for the current programs were as follows:

Fields of Study:

Educational Technology: 10 (Ph.D)

Special Education: 12 (Ph.D.)

Area of Concentration:

Cognition and Instruction: 17 (Ph.D.)

Sixth-Year Certificate in Professional Education:

Educational Technology: 4 (Sixth-Year)

Cognition and Instruction: 10 (Sixth-Year)

Special Education: 2 (Sixth-Year )

Fields of Study:

Educational Technology: 20 (M.A.)

Special Education: 29 (M.A.)

Area of Concentration:

Cognition and Instruction: 5 (M.A.)

##### **b. Provide estimates of the enrollments in the proposed modified program for the next two years**

Projected Enrollment for the merged concentrations:

Areas of Concentration:

Cognition, Instruction, and Learning Technology: 30 (Ph.D.)  
 Special Education: 15 (Ph.D.)

Areas of Concentration:

Cognition, Instruction, and Learning Technology: 6 (M.A.)  
 Educational Technology: 25 (M.A.)  
 Special Education: 30 (M.A.)

Sixth-Year Certificate in Professional Education:

Cognition, Instruction, and Learning Technology: 15 (Sixth Year)  
 Special Education: 5 (Sixth-Year)

## 5. RESOURCES

- a. Provide a statement of the costs of the existing program and the projected costs for the modified program, including any new costs.**

This modification will not result in any additional costs. All current faculty members in the Department of Educational Psychology will continue to provide course instructions and student advising in all of the concentrations.

- b. Provide a list of all faculty who will be associated with this modified program. Include for each person: (i) degrees held and in what subject(s); (ii) current and prospective teaching assignments; (iii) their full- or part-time status; and (iv) whether they have an exclusive appointment with the off-campus program.**

These concentrations will be principally instructed by all existing full-time tenured/tenure track faculty members at the Neag School,

Doctoral Level:

1. Educational Psychology (Field of Study)
2. Cognition, Instruction, and Learning Technology (Area of Concentration)
3. Special Education (Area of Concentration)



Scott Brown, Professor of Educational Psychology  
 NCAA Faculty Athletics Representative  
 Co-Director, The GlobalEd 2 Project  
 Ph.D. Psychology, Syracuse University  
 M.S. Psychology, Montana State University  
 B.A. Psychology, Boston University

Donald J. Leu  
 John and Maria Neag Endowed Chair in Literacy and Technology  
 Professor of Education  
 Director, New Literacies Research Lab  
 Ph.D. Language and Literacy, University of California, Berkeley  
 Ed.M. Reading and Human Development, Harvard University  
 B.A. Political Science/History/Russian, Michigan State University

Michael F. Young  
 Associate Professor  
 Coordinator, Educational Technology  
 Ph.D. Cognitive and Educational Psychology, Perception and Spatial Cognition, Vanderbilt, 1989  
 MA+30 School Psychology, Catholic University of America, 1980  
 BS Psychology, Penn State, 1978

Michael D. Coyne  
 Associate Professor  
 Department of Educational Psychology  
 Special Education Program  
 Center for Behavioral Education and Research (CBER)  
 Ph.D. Special Education, Literacy, University of Oregon, 2001  
 M.T. Special and Elementary Education, University of Virginia, 1994  
 B.A. Music and Political Science, Williams College, 1989

Joseph W. Madaus  
 Associate Professor, Educational Psychology  
 Director, Center on Postsecondary Education and Disability  
 Ph.D., Special Education, University of Connecticut, 1996  
 M.A., Counseling Psychology, Boston College, 1991  
 B.A., Elementary Education/Moderate Special Education, Boston College, 1988

George Sugai  
 Professor, Special Education  
 Director, Center for Behavioral Education & Research

Co-Director, Center of Positive Behavioral Interventions & Supports  
 Carole J. Neag Endowed Chair  
 Ph.D. Special Education; University of Washington, 1980  
 M.A. Special Education; University of Washington, 1974  
 B.A. Botany; University of California, Santa Barbara, 1973

Michael N. Faggella-Luby  
 Assistant Professor  
 Department of Educational Psychology  
 Special Education Program  
 Center for Behavioral Education and Research (CBER)  
 Center on Postsecondary Education and Disability (CPED)  
 Ph.D. Special Education, University of Kansas, 2006  
 M.Ed. Secondary Education, University of Notre Dame, 2000  
 B.A. English, College of the Holy Cross, 1998

Brandi M Simonsen  
 Assistant Professor, Special Education  
 Research Scientist, Center for Behavioral Education and Research  
 Ph.D. in Special Education: Exceptional Learner, University of Oregon, 2002  
 M.S. in Special Education: Exceptional Learner, University of Oregon, 1999  
 B.A. in Elementary Education and Psychology, William and Mary, 1998

Assistant Professor Natalie Olinghouse  
 Natalie G. Olinghouse  
 Assistant Professor, Department of Educational Psychology  
 Research Scientist, Center for Behavioral Education and Research  
 Ph.D., Special Education, Vanderbilt University, 2006  
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Sixth Year Certificate in Professional Education Level:

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2. Special Education

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#### Master's Level:

1. Educational Psychology (Field of Study)
2. Educational Technology (Area of Concentration)
3. Special Education (Area of Concentration)
4. Cognition, Instruction, and Learning Technology (Area of Concentration)

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 M.A., Curriculum and Instruction, Michigan State University, 1999  
 B. S., Mental Impairment/Elementary Education, Eastern Michigan University, 1990

Curricula vitae of each of the proposed program faculty are in Appendix A.

**c. Describe the library facilities available to the students enrolled in this modified program.**

As a program merge, this proposal has no additional implications to the needs for the current University library learning support.

**d. Indicate what provisions have been made for student access to adequate instructional facilities, equipment, academic advising, and other necessary instructional support services.**

As a program merge, there are no change to the needs of instructional facilities, equipment, academic advising, and other instructional support services

**6. PROFESSIONAL ACCREDITATION (IF APPLICABLE)**

The Special Education (M.A.) level is nationally recognized by the Council of Exceptional Children, accredited by the National Council for Accreditation of Teacher Education, and approved by the Connecticut State Board of Education.








University of Connecticut  
*Office of the Vice President for  
Human Resources and Payroll*

Michael J. Eagen, J.D.  
*Labor & Employment Specialist*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Michael J. Eagen   
Labor and Employment Attorney, Human Resources and Payroll

SUBJECT: Addendum to the Concession Agreement between the University of Connecticut and the University of Connecticut Professional Employees Association (UCPEA)

RECOMMENDATION

That the Board of Trustees approve the addendum to the concession agreement between the University of Connecticut and the University of Connecticut Professional Employees Association (UCPEA).

BACKGROUND

The Concession Agreement between UCPEA and the University approved by the Board of Trustees on June 13, 2011 was not fully ratified until the end of August. Consequently, scheduled salary increases were applied to members of UCPEA on July 1, 2011 as required by the collective bargaining agreement. The addendum provides for these increases to be reversed effective immediately and then recovered by delaying increases due on July 1, 2013.

Attachment

*An Equal Opportunity Employer*

Brown Building  
9 Walters Avenue Unit 5075  
Storrs, Connecticut 06269-5075

Telephone: (860) 486-4038  
Facsimile: (860) 486-0379  
e-mail: michael.eagen@uconn.edu  
web: www.hr.uconn.edu

ADDENDUM TO THE MEMORANDUM OF AGREEMENT  
BETWEEN  
THE UNIVERSITY OF CONNECTICUT PROFESSIONAL EMPLOYEES ASSOCIATION  
AND  
THE UNIVERSITY OF CONNECTICUT

The Memorandum of Agreement between the UCPEA and the University of Connecticut regarding salary concessions and job security dated May 25, 2011 ("2011 Concession Agreement") was not fully ratified prior to the date that salary increases for FY 2011-12 were required to be applied by the University to employees represented by the UCPEA.

Therefore, the parties agree to clarify the 2011 Concession Agreement with the following addendum:

1. Any and all salary increases (satisfactory performance, flat dollar amount and merit) that were applied on July 1, 2011 for the fiscal year ending June 30, 2012, will cease upon full ratification of the 2011 Concession Agreement.
2. The salary increases scheduled to be applied on July 1, 2013 for the fiscal year ending June 30, 2014 will be delayed by the same period of time that employees received increases in FY 2011-2012 prior to ratification of the agreement.
3. This addendum is subject to approval by the University of Connecticut Board of Trustees and ratification of the 2011 Concession Agreement by SEBAC and the General Assembly.

Agreed:

University of Connecticut

By:

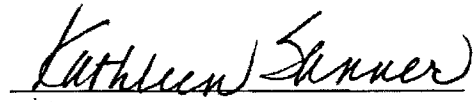


Susan Herbst  
President, University of Connecticut

8/18/11  
Date

UCPEA

By:



Kathleen Sanner  
President, UCPEA

8/18/11  
Date






University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

September 28, 2011

TO: Members of the Board of Trustees  
FROM: Peter J. Nicholls, Provost   
RE: Five Year Review of Academic Centers and Institutes

The Laws, By-Laws and Rules of the University (Article XIII) require that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission". I charged a Committee in 2005 to develop criteria for the establishment and review of academic centers and institutes. Based on the guidelines developed by this Committee, all academic centers and institutes at the University of Connecticut will be reviewed over a period not to exceed five years. As a result of the review of the Centers and Institutes Committee, the following are recommended for reclassification:

Recommended for reclassification:  
Center for Actuarial Sciences

It was decided that the preceding center and institute will be reviewed through the College of Liberal Arts & Sciences Dean's Office, due to more specialized scope.

*An Equal Opportunity Employer*

Gulley Hall  
352 Mansfield Road Unit 2086  
Storrs, Connecticut 06269-2086

Telephone: (860) 486-4037  
Facsimile: (860) 486-6379  
e-mail: [peter.nicholls@uconn.edu](mailto:peter.nicholls@uconn.edu)  
web: <http://provost.uconn.edu>





University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Vice President and Chief Financial Officer

Paul R. McDowell  
Chief Financial Officer

RE: Spending Plan for Fiscal Year 2012 for the University of Connecticut, Storrs  
& Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the Spending Plan for Fiscal Year 2012 of \$1,033.2 million for the University of Connecticut, Storrs and Regional Campuses.

BACKGROUND:

The Fiscal Year 2012 Spending Plan includes \$1,028.6 million of revenue, including state support of \$284.2 million, to cover \$1,033.2 million in expenses and transfers, yielding a net loss of \$4.6 million.

*An Equal Opportunity Employer*

352 Mansfield Road Unit 2122  
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455  
Facsimile: (860) 486-1070



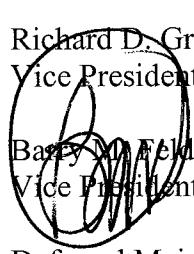


University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Vice President and Chief Financial Officer

  
Barry M. Feldman  
Vice President and Chief Operating Officer

RE: Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and  
Equipment Expenditures

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code/ADA Renovation Lump Sum expenditures of \$5,637,508.82 for Storrs and the Regional Campuses and \$6,657.93 for the Health Center for Fiscal Year 2011 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached lists represent actual expenditures for the Deferred Maintenance project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for approval. The attached information includes a listing of actual projects and expenditures compared to the estimated amounts that formed the basis of the original capital budget for FY11 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily reflect the authorization for the specific year.

Also attached are the annual informational reports: 1) the summary of all Deferred Maintenance/Code/ADA Renovation Lump Sum and Equipment, Library Collections and Telecommunications expenditures to date under the UCONN 2000 program; 2) the Deferred Maintenance/Code/ADA Renovation Lump Sum detailed listing of all expenditures for FY11.

**Attachment**

*An Equal Opportunity Employer*

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Storrs, Connecticut 06269-2122

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Facsimile: (860) 486-1070



**University of Connecticut**  
**UCONN 2000 Phases I-III Deferred Maintenance/Code/ADA Renovation Lump Sum**  
**Expenditures For Fiscal Years 1996 - 2011**

	FY 1996	FY 1997	FY 1998	FY 1999	FY 2000	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008	FY2009	FY2010	FY2011	Total
Storrs & Regional Campuses	\$ 1,420,128	\$ 14,154,228	\$ 12,246,321	\$ 18,037,697	\$ 15,641,050	\$ 16,255,971	\$ 22,577,978	\$ 28,592,478	\$ 16,410,065	\$ 25,855,735	\$ (951,948)	\$ 12,531,250	\$ 19,548,353	\$ 20,869,953	\$ 16,342,058	\$ 22,449,663	\$ 261,980,981
Health Center	-	-	-	-	-	-	-	-	-	11,700	1,398,608	2,567,873	3,178,646	4,286,953	5,156,558	3,010,806	\$ 19,609,145
<b>Grand Total</b>	<b>\$ 1,420,128</b>	<b>\$ 14,154,228</b>	<b>\$ 12,246,321</b>	<b>\$ 18,037,697</b>	<b>\$ 15,641,050</b>	<b>\$ 16,255,971</b>	<b>\$ 22,577,978</b>	<b>\$ 28,592,478</b>	<b>\$ 16,410,065</b>	<b>\$ 25,867,435</b>	<b>\$ 444,660</b>	<b>\$ 15,099,123</b>	<b>\$ 22,726,999</b>	<b>\$ 25,156,906</b>	<b>\$ 21,498,616</b>	<b>\$ 25,460,469</b>	<b>\$ 281,590,126</b>

**UCONN 2000 Phases I-III Equipment, Library Collections and Telecommunications**  
**Expenditures For Fiscal Years 1996 - 2011**

	FY 1996	FY 1997	FY 1998	FY 1999	FY 2000	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	Total
<b>Storrs &amp; Regional Campuses</b>																	
Academic Services <sup>1</sup>	\$ 2,940,377	\$ 8,128,127	\$ 7,574,733	\$ 10,237,010	\$ 9,014,022	\$ 10,805,768	\$ 11,436,705	\$ 13,713,793	\$ 7,738,516	\$ 8,375,174	\$ 4,093,617	\$ 5,203,765	\$ 14,934,628	\$ 8,577,412	\$ 2,079,299	\$ 3,747,178	\$ 128,600,125
Libraries <sup>2</sup>	2,060,636	4,686,769	5,092,746	5,184,700	6,242,439	5,890,528	5,910,946	5,682,963	6,155,468	4,768,972	4,232,986	1,010,344	201,713	110,274	50	-	\$ 67,231,533
Student Services <sup>3</sup>	14,540	59,328	258,219	209,278	242,544	216,111	503,255	101,088	472,100	229,892	2,651	43,731	200,860	131,085	39,040	6,630	2,730,351
Operational Support & Physical Plant Services <sup>4</sup>	208,131	1,508,420	2,286,198	1,832,423	1,159,177	2,549,020	2,247,481	2,963,768	1,009,343	1,119,411	1,020	1,109,297	5,151,818	567,335	347,822	2,962,007	27,022,672
<b>Total - UConn Storrs &amp; Regional Campuses</b>	<b>\$ 5,223,683</b>	<b>\$ 14,382,644</b>	<b>\$ 15,211,897</b>	<b>\$ 17,463,412</b>	<b>\$ 16,658,181</b>	<b>\$ 19,461,427</b>	<b>\$ 20,098,387</b>	<b>\$ 22,461,613</b>	<b>\$ 15,375,426</b>	<b>\$ 14,493,449</b>	<b>\$ 8,330,274</b>	<b>\$ 7,367,137</b>	<b>\$ 20,489,019</b>	<b>\$ 9,386,105</b>	<b>\$ 2,466,211</b>	<b>\$ 6,715,815</b>	<b>\$ 215,584,681</b>
<b>Health Center</b>																	
Academic Services <sup>1</sup>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	3,653	2,881,808	\$ 2,755,229	\$ 2,815,439	\$ 2,657,351	\$ 2,611,195	\$ 3,976,909	\$ 17,701,583
Libraries	-	-	-	-	-	-	-	-	-	-	1,407,677	1,139,897	566,486	1,161,182	1,244,581	1,609,784	7,129,607
Operational Support & Physical Plant Services <sup>4</sup>	-	-	-	-	-	-	-	-	-	3,653	2,881,809	2,755,230	2,815,436	2,657,351	2,611,195	3,976,909	17,701,583
<b>Total spent by UConn Storrs &amp; Regional Campuses for Health Center <sup>5</sup></b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 7,306</b>	<b>\$ 7,171,294</b>	<b>\$ 6,650,356</b>	<b>\$ 6,197,361</b>	<b>\$ 6,475,883</b>	<b>\$ 6,466,971</b>	<b>\$ 9,563,602</b>	<b>\$ 42,532,772</b>
<b>Grand Total</b>	<b>\$ 5,223,683</b>	<b>\$ 14,382,644</b>	<b>\$ 15,211,897</b>	<b>\$ 17,463,412</b>	<b>\$ 16,658,181</b>	<b>\$ 19,461,427</b>	<b>\$ 20,098,387</b>	<b>\$ 22,461,613</b>	<b>\$ 15,375,426</b>	<b>\$ 14,500,755</b>	<b>\$ 15,501,568</b>	<b>\$ 14,017,493</b>	<b>\$ 26,686,380</b>	<b>\$ 15,861,988</b>	<b>\$ 8,933,182</b>	<b>\$ 16,279,417</b>	<b>\$ 258,117,452</b>

**Notes:**

- Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- The funding of library acquisitions is being shifted to operating dollars as part of a phase-in plan which makes UCONN 2000 dollars available for reallocation to other capital expenses. This is important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000.
- Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.
- Health Center expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.

**UNIVERSITY OF CONNECTICUT (Storrs and Regional Campuses)**

**UCONN 2000 DEFERRED MAINTENANCE/ CODE / ADA RENOVATION LUMP SUM PROJECT LIST FOR 2011 AUTHORIZED PROJECTS**

Through Fiscal Year 6/30/11

(REPORT DOES NOT INCLUDE ALL PRIOR YEARS DEFERRED MAINTENANCE ALLOCATED PROJECTS)

PROJECT NAME	Project #	Original	Changes	Revised Budget	Expended	Encumbered	Budget
		Budget					Balance Available
<b><u>Buildings:</u></b>							
Allotment for Regional Campuses <\$500,000 projects	901599	1,000,000	(152,000)	848,000	-	-	848,000
Art Design House-Roofs	901605	50,000	-	50,000	-	-	50,000
Athletics Mechanical Systems - Long-Term Improvements	901613	150,000	-	150,000	-	-	150,000
Atwater Exterior Window Replacement	901397	160,000	-	160,000	-	-	160,000
Bishop Mechanical System - Long-Term Improvements	901611	100,000	(100,000)	-	-	-	-
Budds Building Window Replacement	901377	130,000	-	130,000	-	-	130,000
Budds Mechanical System Analysis and Design	901401	300,000	-	300,000	-	-	300,000
Chemistry Building Skylight	901608	50,000	-	50,000	1,018	-	48,983
Co-op Icicle Issue North Side	901616	50,000	-	50,000	1,018	-	48,983
Drama Music Mechanical System - Long-Term Improvements	901612	120,000	-	120,000	88	-	119,912
Elevator Replacement	901598	500,000	-	500,000	-	-	500,000
Fine Arts Roofs	901604	600,000	(600,000)	-	-	-	-
Hall Dorm Ground Floor Restoration - East	901600	700,000	(700,000)	-	-	-	-
Horse Barn Arena Snow and Ice Guards	901606	25,000	-	25,000	1,018	-	23,983
Insulate Piping Mechanical Rooms	901607	100,000	-	100,000	19,915	22,537	57,548
Jorgensen Mechanical System Improvements	901614	250,000	-	250,000	-	-	250,000
McConaghy Dining Mechanical - Long Term Improvements	901615	75,000	-	75,000	-	-	75,000
Miscellaneous Asbestos/Mold/Lead Abatement Allowance	901597	500,000	-	500,000	187,855	75,798	236,347
Motor Pool Roof	901603	150,000	-	150,000	-	-	150,000
Museum of Natural History Exterior	901610	150,000	-	150,000	-	15,800	134,200
South Campus Entry Area Snow and Ice Protection-Rome	901609	50,000	-	50,000	1,018	-	48,983
Williams Infirmary Roof	901602	300,000	-	300,000	-	-	300,000
Wood Hall Façade	901601	700,000	-	700,000	40,829	52,633	606,539
Subtotal		6,210,000	(1,552,000)	4,658,000	252,757	166,767	4,238,476
<b><u>Infrastructure:</u></b>							
Corrosion Control Tunnel High Pressure Piping	901617	50,000	-	50,000	46,296	-	3,704
Gampel to McMahon Steam Line Replacement	901619	3,000,000	(3,000,000)	-	-	-	-
Phase III Roads, Sidewalks & Miscellaneous Repairs	901618	850,000	(820,000)	30,000	-	-	30,000
Sidewalk Lighting	901621	280,000	-	280,000	177,656	-	102,345
Steam Condensate, Pipe & Pipe Replacement (South Campus Loop)	901620	2,000,000	(2,000,000)	-	-	-	-
Subtotal		6,180,000	(5,820,000)	360,000	223,952	-	136,048
<b><u>Code/ADA:</u></b>							
Alarm Improvements	901625	1,000,000	-	1,000,000	-	-	1,000,000
Code Correction OSFM Reserve	901427	2,000,000	(1,063,000)	937,000	-	-	937,000
Code Correction UCONN 2000 Reserve	901428	2,000,000	(35,000)	1,965,000	176,093	455,744	1,333,163
Miscellaneous ADA Improvements	901622	75,000	-	75,000	-	-	75,000

**UNIVERSITY OF CONNECTICUT (Storrs and Regional Campuses)**

**UConn 2000 DEFERRED MAINTENANCE/ CODE / ADA RENOVATION LUMP SUM PROJECT LIST FOR 2011 AUTHORIZED PROJECTS**

Through Fiscal Year 6/30/11

(REPORT DOES NOT INCLUDE ALL PRIOR YEARS DEFERRED MAINTENANCE ALLOCATED PROJECTS)

PROJECT NAME	Project #	Original		Revised Budget	Expended	Encumbered	Budget	
		Budget	Changes				Balance Available	
OSFM Code Remediation - Knight Hall	901636	-	300,000	300,000	30,659	169,992	99,349	
OSFM Code Remediation - Oceanology	901642	-	35,000	35,000	5,220	17,000	12,780	
OSFM Code Remediation-Gant/IMS Math Fire Alarm Installation	201502		250,000	250,000	-	-	250,000	
OSFM Code Remediation-Sprinkler Head Replacement-Dodd Res Ctr.	901647	-	33,000	33,000	499	16,828	15,673	
OSFM Legacy Code Remediation	901259	-	7,000,000	7,000,000	4,611,789	2,328,257	59,954	
Security Alarms & Monitoring	901623	350,000	-	350,000	-	-	350,000	
UCFM Code Remediation - Bronwell Engineering Lab III Rm. 112	901534	-	40,000	40,000	4,860	1,803	33,337	
UCFM Code Remediation -Carpentry Shop - Depot Campus	901635	-	90,000	90,000	20,367	10,525	59,108	
West Hartford Campus - ADA Improvements	901490	-	45,000	45,000	30,304	-	14,696	
<b>Subtotal</b>		<b>5,425,000</b>	<b>6,695,000</b>	<b>12,120,000</b>	<b>4,879,790</b>	<b>3,000,149</b>	<b>4,240,061</b>	
<b>Renovation/Lump Sum:</b>								
Allotment for New Faculty Support	901426	500,000	-	500,000	-	-	500,000	
Gulley Hall Fire	901643		300,000	300,000	196,971	2,530	100,499	
Hall Dorm Ground Floor Restoration	901560	-	700,000	700,000	504	-	699,496	
Historic House Renovations	901432	1,500,000	(1,400,000)	100,000	-	-	100,000	
Partial Road Resurfacing & Sidewalk Repairs-Glenbrook Road	901659	-	20,000	20,000	-	-	20,000	
Pavement Replacement-UConn Marching Band Pract Area	901660	-	400,000	400,000	-	27,878	372,122	
Pedestrian Safety Improvements - Phase I	901629	1,200,000	675,000	1,875,000	6,410	2,700	1,865,890	
Pedestrian Safety Improvements Phase II (North Eagleville Road)	901628	675,000	(675,000)	-	-	-	-	
Road Resurfacing & Sidewalk Repairs-Hillside Road	901658	-	400,000	400,000	-	4,700	395,300	
Stamford Campus-Replace TecoGen Chiller Exhaust Stack	901631	-	90,000	90,000	77,125	992	11,884	
Stamford Garage Paving Repairs	901641		17,000	17,000	-	7,500	9,500	
Student Union Quadrangle (Phase 3)	901627	1,240,350	-	1,240,350	-	-	1,240,350	
<b>Subtotal</b>		<b>5,115,350</b>	<b>527,000</b>	<b>5,642,350</b>	<b>281,010</b>	<b>46,300</b>	<b>5,315,040</b>	
<b>Emergency Reserve:</b>								
Emergency/Reserve Fiscal Year 2011	901630	2,494,650	(1,050,000)	1,444,650	-	-	1,444,650	
<b>Subtotal</b>		<b>2,494,650</b>	<b>(1,050,000)</b>	<b>1,444,650</b>	<b>-</b>	<b>-</b>	<b>1,444,650</b>	
<b>GRAND TOTAL FY11</b>		<b>\$ 25,425,000</b>	<b>\$ (1,200,000)</b>	<b>\$ 24,225,000</b>	<b>\$ 5,637,509</b>	<b>\$ 3,213,217</b>	<b>\$ 15,374,275</b>	

University of Connecticut Health Center  
**UCONN 2000 Deferred Maintenance/Code/ADA/Renovation Lump Sum Expenditures for Fiscal Year 2011 Authorized Projects**  
 Through Fiscal Year 06/30/11

(Report does not include all prior years Deferred Maintenance allocated projects)

Project Name	Project Number	Budget Allocated FY11	Changes	Revised Budget FY11	Expended FY11	Encumbered	Budget Balance Available
<b><u>Deferred Maintenance - Buildings</u></b>							
Master Landscape Plan Implementation		\$ 50,000	\$ (50,000)	\$ -	\$ -	\$ -	\$ -
Fire House Improvements		275,000	(275,000)	-	-	-	-
Roof Replacement - Building A	07-601.10	1,050,000	(353,650)	696,350	-	580,000	116,350
Re-Caulk Main Building	08-603.01	2,000,000	-	2,000,000	-	-	2,000,000
<b>Subtotal</b>		<b>\$ 3,375,000</b>	<b>\$ (678,650)</b>	<b>\$ 2,696,350</b>	<b>\$ -</b>	<b>\$ 580,000</b>	<b>\$ 2,116,350</b>
<b><u>Deferred Maintenance - Infrastructure</u></b>							
Site Improvements - Sidewalks, Stairs and Parking	11-603	100,000	-	100,000	-	-	100,000
<b>Subtotal</b>		<b>\$ 100,000</b>	<b>\$ -</b>	<b>\$ 100,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 100,000</b>
<b><u>Code/ADA</u></b>							
ADA Improvements	11-602	50,000	-	50,000	-	-	50,000
<b>Subtotal</b>		<b>\$ 50,000</b>	<b>\$ -</b>	<b>\$ 50,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 50,000</b>
<b><u>Renovation And Lump Sum Projects</u></b>							
Signage and Way-finding Improvements	07-608	50,000	-	50,000	-	-	50,000
Daycare Playscape, Ramp and Misc Improvements	08-609	-	6,700	6,700	6,658	-	42
Cooling Tower Replacement	11-011	-	8,332	8,332	-	-	8,332
<b>Subtotal</b>		<b>\$ 50,000</b>	<b>\$ 15,032</b>	<b>\$ 65,032</b>	<b>\$ 6,658</b>	<b>\$ -</b>	<b>\$ 58,374</b>
<b><u>Emergencies</u></b>							
Emergency/Reserve	11-606	425,000	663,618	1,088,618	-	-	1,088,618
<b>Total FY11</b>		<b>\$ 4,000,000</b>	<b>\$ -</b>	<b>\$ 4,000,000</b>	<b>\$ 6,658</b>	<b>\$ 580,000</b>	<b>\$ 3,413,342</b>

**University of Connecticut**  
**UCONN 2000 Deferred Maintenance/Code/ADA Renovation Lump Sum**  
**Fiscal Year 2011 Total Expenditures**

PROJECT DESCRIPTION	FY11 Authorized Projects	Previous Years Authorized Projects	Total FY11 Expenditures
<b><u>STORRS &amp; REGIONAL CAMPUSES</u></b>			
LANDFILL CLOSURE	-	92,114	92,114
MASTER PLAN UPDATE 2003-2007	-	8,985	8,985
WTBRY CAMPUS PROPERTY TRANSF(OLD CAMP)	-	80,629	80,629
MOLD/LEAD/ASBESTOS REMOVALS MISC	-	4,548	4,548
SOUTH GARAGE SLOPE AND RAMP REPAIR	-	278,271	278,271
WHITE BUILDING STAIR REPAIR(PER GK/DR)	-	28,856	28,856
STARR, CHASE, HOSMER CHIMNEY REPAIR	-	312,018	312,018
ROOF REPAIR, HOSMER, CHASE, KNIGHT&STARR	-	(65,804)	(65,804)
MOLD LEAD ASBESTOS (MOLD)	-	3,540	3,540
SCADA(SUPERVIS CONTROL/DATA ANALYSIS)SYS	-	411,106	411,106
CENTRAL UTIL PLANT-EMERG GENERATOR SYST	-	34,524	34,524
MANSFIELD ROAD REALIGNMENT(DESIGN ST)	-	3,286	3,286
OSFM CODE REM-TRECKER LIBR-DESIGN ONLY	-	5,108	5,108
RECLAIMED WATER FACILITY	-	(1,323,732)	(1,323,732)
MISCELLANEOUS ADA CODE COMPLIANCE	-	6,053	6,053
NORLING ROOF & FACADE REPAIR-DEPOT CAMP	-	13,241	13,241
PHYSICS/BIOLOGY COLLECTIONS HUMID CONTRL	-	118,195	118,195
OSFM CODE REM-LAW SCH(STARR/KNIGHT HALL)	-	15,112	15,112
CASTLEMAN ROOF AND PARAPET	-	27,728	27,728
OSFM LEGACY CODE REMEDIATION	4,611,789	1,623,325	6,235,114
COMPOST FACILITY	-	(579,877)	(579,877)
UCFM CODE REM-FIRE ALARM UPGR-GRAD RES	-	139	139
EMERG LIGHTING UPGR-SUMMER 2007 SAFETY	-	1,177	1,177
BEACH EXTERIOR FACADE CLEANING & REPAIR	-	11,666	11,666
CASTLEMAN BUILDING DRAINAGE REPAIR	-	14,703	14,703
CONVERT FARM DEPOT & DMR TO 13,800/METER	-	34,106	34,106
REMOVE OBSOLETE SWITCH GEAR-DEPOT SUB ST	-	3,238	3,238
REPLACEMENT OF TWO WATER TOWERS	-	1,139,899	1,139,899
RE-ROOF 2000 CHILLER PLANT	-	15,706	15,706
RE-ROOF OLD BOILER ROOM	-	10,559	10,559
SECURITY ALARMS & MONITORING	-	6,164	6,164
S CAMP EXPRESS LINE MODIF(WATER DISTRIB)	-	289,365	289,365
ST CONSTRUCTION/SIDEWALK REP & REL IMPR	-	223,743	223,743
TIE IN DRUM HEATER ON BOILERS AT CUP	-	77,445	77,445
REPL CHEMICAL HOUSE(WELL WATER TREAT FAC	-	1,933,215	1,933,215
METER INST-MULTIPLE LOCATIONS PH II	-	16,955	16,955
STRUCT EVAL/DESIGN FOR RELOC EMRG NOT DE	-	930	930
CAMPUS WIDE FIRE ALARM AUDIBILITY UPGR	-	1,700	1,700
OSFM CODE REM-ENG II HIGH SPEED COMB LAB	-	16,549	16,549
CODE ANALYSIS/SURVEY OF TOWERS FIRE PUMP	-	2,020	2,020
WILLIMANTIC RIVER STUDY	-	7,730	7,730
ARJONA ROOF REPAIR-LIMITED WARRANTY	-	9,025	9,025
MISC SLATE ROOF REPAIRS	-	632	632
UCFM CODE REM-CLAS WARING FIRE ALARM REP	-	136	136
UCFM CODE REMEDIATION-WILLOW HOUSE	-	144,623	144,623
UCFM CODE REMED-SHIPPEE DORM DRYER VENT	-	5,454	5,454
INSTALLATION OF FILTER PRESS	-	76,357	76,357
METER INSTALLATION-MULTIPLE LOC PH III	-	14,025	14,025
PUBLIC SAFETY SECUR GARAGE & STOR FACIL	-	(413,307)	(413,307)
UCFM CODE REMED-MORRONE STADIUM	-	94	94

**University of Connecticut**  
**UConn 2000 Deferred Maintenance/Code/ADA Renovation Lump Sum**  
**Fiscal Year 2011 Total Expenditures**

PROJECT DESCRIPTION	FY11 Authorized Projects	Previous Years Authorized Projects	Total FY11 Expenditures
MIRROR LAKE DREDGING-CONSULTANT STUDY	-	2,234	2,234
PUBLIC ART REPAIR	-	8,732	8,732
BABBIDGE ROOF	-	17,098	17,098
BABBIDGE WINDOW LEAKS	-	204,093	204,093
BUDDS BLDG MECH SYS UPGRADE-DESIGN ONLY	-	1,702	1,702
BURTON/SHENKMAN ICE PROTECTION	-	1,010	1,010
FIELD HOUSE BUILT UP ROOF REPL-REP SEAM	-	46,604	46,604
GAMPEL PAVILLION ROOF REPAIRS	-	21,656	21,656
GULLEY HALL DRAINAGE	-	174,868	174,868
MISC ASBESTOS/MOLD/LEAD ABATE ALLOWANCE	-	95,777	95,777
PARKING GARAGE REPAIR & UPGRADE	-	2,222	2,222
ROOF AND GUTTER REPLACEMENT	-	906	906
WHETTEN BUILT UP ROOF REPLACEMENT	-	72,931	72,931
WHETTEN EXTERIOR SLIDING GLASS UNITS	-	99,160	99,160
WOOD HALL WINDOW REPLACEMENT	-	8,400	8,400
BACKFEED LOOP-N EAGLEVILLE-PRK GAR-STORR	-	95,797	95,797
I & I SEWER STUDY	-	168,296	168,296
I & I STORM STUDY	-	288,108	288,108
INFIRMARY/CUP STEAM & CONDENSATE REPL	-	2,876,077	2,876,077
NEW 16 WATERMAIN/PH I RELOC SP3 CIRCUIT"	-	1,738,527	1,738,527
CODE CORRECTION UCONN 2000 RESERVE FY11	176,093	28,190	204,283
HISTORIC HOUSE RENOVATIONS-PHASE I	-	181,272	181,272
PEDESTRIAN SAFETY IMPROVEMENTS	-	15,766	15,766
REPLACEMENT SIGNS	-	3,230	3,230
STADIUM ROAD STAIR REPLACEMENT	-	86,518	86,518
STUDENT UNION QUAD-PHASE II	-	477,618	477,618
SUSTAINABLE ENERGY MASTER PLANNING	-	11,357	11,357
IMS ROOM 14A RENOVATIONS TL1869	-	8,896	8,896
ACCESS MANAGEMENT STUDY	-	42,010	42,010
OSFM CODE REM-BRIAN MCMAHON DORMITORY	-	27,266	27,266
OSFM CODE REM-CHARTER OAK SUITES	-	455	455
OSFM CODE REM-ITEB LABS RM 411/413/414	-	(148)	(148)
LONGLEY SCH(DEPOT CAMP)-RELOC CTI	-	25,418	25,418
BISHOP CTR FIRE ALARM REPLACEMENT	-	1,147	1,147
UCFM CODE REM-PUTNAM REFECT FIRE AL REPL	-	4,582	4,582
BABBIDGE LIBR PLAZA CONTROL JOINT INVEST	-	181,240	181,240
UCFM CODE REMEDIATION-SHIPPEE HALL	-	91,583	91,583
OSFM CODE REM-HUSKY GREEK VILL BLDGS A-F	-	2,197	2,197
OSFM CODE REM-CH OAK APTS(ME,VT,RI,MA,	-	8,090	8,090
CAMPUS WIDE ASBESTOS SURVEY	-	12,317	12,317
WEST HARTFORD ADA IMPROVEMENTS	30,304	249,907	280,210
HILLSIDE RD STEAM/CONDEN-GAMPEL TO RYAN	-	51,066	51,066
JORGENSEN/CHEMISTRY-EXTERIOR MASONRY REP	-	193,597	193,597
DRAMA MUSIC/S CAMP-EXTERIOR MASONRY REP	-	19,856	19,856
ELLSWORTH/HALE/GREER STEPS-MASONRY REP	-	37,789	37,789
OSFM CODE REMEDIATION-IMS 020	-	69,361	69,361
MECH SYS SURVEY-SFA PHOTOGR/PRINTMAKING	-	23,887	23,887
UCFM CODE REM-BRONWELL ENG III LABRM112	4,860	63,596	68,456
OSFM CODE REM-SCH OF SOCIAL WORK	-	9,759	9,759
OSFM CODE REM-ENG II ROOM 114	-	1,483	1,483
UCFM CODE REM-BUCKLEY HALL	-	53,636	53,636

**University of Connecticut**  
**UConn 2000 Deferred Maintenance/Code/ADA Renovation Lump Sum**  
**Fiscal Year 2011 Total Expenditures**

PROJECT DESCRIPTION	FY11 Authorized Projects	Previous Years Authorized Projects	Total FY11 Expenditures
OSFM CODE REM-OLD CENTRAL WAREHOUSE	-	172,248	172,248
WEST HARTFORD FOOD SERVICES	-	20,401	20,401
RYAN REFECTORY WATER INFILTRATION STUDY	-	34,146	34,146
UCFM CODE REMEDIATION - NORTH CAMPUS	-	1,025	1,025
UCFM CODE REMEDIATION-ALUMNI QUAD	-	12,970	12,970
UCFM CODE REM-FIRE ALARM UPGR-GAMPEL-DES	-	544,530	544,530
JORGENSEN RENOV-AUDITORIUM SEATING REPL	-	4,791	4,791
HILLTOP APTS BLDG 21-STRUC INVEST/DES/RE	-	10,869	10,869
STAMFORD GARAGE STRUCTURAL INVESTIGATION	-	3,030	3,030
WILBUR CROSS CUPOLA RESTORATION	-	153,841	153,841
BRUNDIDGE POOL-SAFETY RELATED REPAIRS	-	25,047	25,047
HALL DORM GROUND FLOOR RESTORATION	504	30,478	30,983
W HTFD UNDERGRAD BUILDING ROOF REPAIR	-	66,622	66,622
TORRINGTON AUDITORIUM SEATING REPL	-	73,439	73,439
GAMPEL PAVILION GUTTER REPAIR	-	306,587	306,587
OSFM CODE REMED-GARRIGUS SUITES PHASE II	-	8,096	8,096
ARJONA-ADA FIRE ALARM IMPROVEMENTS	-	19,448	19,448
UCFM CODE REM-HUMAN DEV-CH LAB FIRE ALAR	-	61,831	61,831
TORREY RENOVATIONS 1ST FLOOR EAST WING	-	135,006	135,006
TORREY RENOV-RMS 181,181A & 183 EEB TCHG	-	21,228	21,228
HILLSIDE/GLENBRK/RT 195/YEL BARN-TREES	-	15,689	15,689
WHETTEN/SBA;GENTRY/CUE;PHARM QUAD-ACCESS	-	41,915	41,915
BABBIDGE IT & PHONE FUNC AREAS-HEATING/	-	25,958	25,958
TORREY-ASBESTOS FLOOR TILE ABATEMENT	-	363,068	363,068
2010 STR & SIDEWALK REPAIRS & IMPROV	-	331,669	331,669
STAMFORD PARK GARAGE REP/MAINT PROGRAM	-	1,344,342	1,344,342
TORRINGTON CLASSRM & LOBBY CEILING REPL	-	31,873	31,873
VETERAN'S HOUSE FOUNDATION REPAIRS	-	17,366	17,366
UCFM CODE REM-MNSFLD COTTAGE FIRE ALARM	-	6,485	6,485
TORREY LIFE SCI-RM 91, 171 CLASS LAB REN	-	58,589	58,589
WOLFF ZACKIN NATATORIUM MECH RM REPAIR	-	19,012	19,012
BEACH HALL-RMS 106,108,110,239 NEW LAB/	-	137,514	137,514
STRUC ENGINEERING SERVICES-WALL DETAILS	-	8,585	8,585
MISC ASBESTOS/MOLD/LEAD ABATE ALLOW FY11	187,855	-	187,855
WOOD HALL FACADE	40,829	-	40,829
HORSE BARN ARENA SNOW AND ICE GUARDS	1,018	-	1,018
INSULATE PIPING MECHANICAL ROOMS	19,915	-	19,915
CHEMISTRY BUILDING SKYLIGHT	1,018	-	1,018
S CAMPUS ENTRY AREA SNOW/ICE PROT-ROME	1,018	-	1,018
DRAMA MUSIC MECH SYS-LONG TERM IMPROV	88	-	88
CO-OP ICICLE ISSUE NORTH SIDE	1,018	-	1,018
CORROSION CONTROL TUNNEL HIGH PRESS PIP	46,296	-	46,296
SIDEWALK LIGHTING	177,656	-	177,656
BEACH HALL-ROOM 101 REPAIR	-	7,854	7,854
PEDESTRIAN SAFETY IMPROV-PHASE I	6,410	-	6,410
STMFD-REPL TECOGEN CHILLER EXHAUST STACK	77,125	-	77,125
ENVIRONMENTAL HEALTH & SAFETY BLDG MODIF	-	4,960	4,960
MAIN WATER SUPPLY LINE REP/REPL STUDY	-	19,817	19,817
UCFM CODE REMED CARPENTRY SHOP-DEPOT CAM	20,367	-	20,367
OSFM CODE REMEDIATION-KNIGHT HALL	30,659	-	30,659
OSFM CODE REPAIR-ATWATER PATHOL BLDG	-	12,600	12,600

**University of Connecticut**  
**UConn 2000 Deferred Maintenance/Code/ADA Renovation Lump Sum**  
**Fiscal Year 2011 Total Expenditures**

PROJECT DESCRIPTION	FY11 Authorized Projects	Previous Years Authorized Projects	Total FY11 Expenditures
OSFM CODE REPAIR-MIDDLESEX CTY AG CTR	-	10,810	10,810
UCFM CODE REMEDIATION - OCEANOLOGY	5,220	-	5,220
GULLEY HALL FIRE	196,971	-	196,971
UCFM CODE REM-SPRINKLER HEAD REPL-DODD C	499	-	499
<b>TOTAL EXPENDITURES - STORRS AND REGIONAL CAMPUSES</b>	<b>\$ 5,637,509</b>	<b>\$ 16,812,155</b>	<b>\$ 22,449,663</b>
<b>HEALTH CENTER</b>			
GREASE TRAP REPLACEMENT	-	128,476	128,476
REPLACE BACKUP CHILL	-	127,567	127,567
VAULT & ELECT EVAL	-	276,182	276,182
FIRE HOUSE IMPROVEMENTS	-	4,586	4,586
BLDG 20 ROOF/RTU REPLACEMENT	-	10,399	10,399
BLDG M ROOF/RTU REPLACEMENT	-	200,554	200,554
EXTERIOR REPAIRS-ACADEMIC ENTRANCE	-	2,160	2,160
DAY CARE PLAYScape/RAMP IMPROVEMENTS	6,658	24,680	31,338
ELEV 24&25 REPAIRS	-	2,500	2,500
MAIN BLDG FASCAD E	-	14,250	14,250
SIGNAGE/WAY FINDINGS	-	2,092	2,092
CAMPUS WIDE SECURITY	-	728,252	728,252
DATA CNTR FIRE ALARM	-	8,000	8,000
BLDG A&K WATER HEATR	-	1,007	1,007
HVAC IMPROVEMENTS/DUCT CLEANING (DM09 09-601.02)	-	7,200	7,200
DATA CENTER INFRASTRUCTURE IMPROVEMENTS (DM UC21 09)	-	1,281,132	1,281,132
CHILLER #2 REPLACE	-	36,950	36,950
MUNSON-VENT ELEVATOR	-	1,004	1,004
ARB-FIRE DAMAGE	-	11,013	11,013
MEMORIAL GARDEN	-	5,000	5,000
MDL FLOOR RECEPTACLE	-	54,605	54,605
STUDENT FITNESS CNTR	-	942	942
DETENTION BASIN	-	11,625	11,625
EMERG PYLON SIGNS	-	9,953	9,953
REPL C-LOT OIL PADS	-	20,853	20,853
BLOWR FREEZE PROTECT	-	19,275	19,275
BLOWER MOD AIRFLOW	-	13,890	13,890
<b>TOTAL EXPENDITURES - PAID TO HEALTH CENTER</b>	<b>6,658</b>	<b>3,004,148</b>	<b>3,010,806</b>
<b>GRAND TOTAL</b>	<b>\$ 5,644,167</b>	<b>\$ 19,816,303</b>	<b>\$ 25,460,469</b>

*Note: Negative amounts are due to the movement of Deferred Maintenance expenditures because of a change in fund source after the project commenced.*







University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for Castleman Building Drainage Repair  
(Final \$550,000)

RECOMMENDATION:

That the Board of Trustees approve a Final Budget for the site and exterior renovations and repairs to resolve drainage and surface water infiltration at the Francis L. Castleman Building in the amount of \$550,000.

BACKGROUND:

The Castleman facility is a 58,991 square foot building occupied by the School of Engineering. The original 48,796 square foot building was constructed in 1941 with a 10,195 square foot addition completed in 1995. The original building was also renovated at that time. The Castleman Building is on the National Historic Register.

This is a three story building, with the south elevation partially below grade. The south elevation of Castleman faces the Student Union Quad. Access to the building is through two grade level entries and a monumental stairway. The monumental staircase is constructed over occupied space. Over the years, an increasing amount of water infiltration has made its way through the stairs and into the occupied space. This has caused damage to the finishes in that area. The purpose of this project is to correct the infiltration problems and repair the occupied space. The recommended solution includes rebuilding the stairs, waterproofing the interface between the stairs and the occupied space below, regrading the site to direct water away from the building and repair to the finishes in the occupied spaces.

The attached budget reflects the results of competitive bidding for the project. The budget is an increase over the previously approved budget. The primary reason for this increase is an

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increase in professional service fees to document corrective repair work within the building and the inclusion of an adequate contingency to provide funding for unknown conditions during the demolition and repair process.

The University requests a waiver to the three phase budget approval process in order to start the project during fall 2011. The Final Budget is attached for your consideration and approval.

Attachment

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: CASTLEMAN BUILDING DRAINAGE REPAIR**

	<b>APPROVED PLANNING 9/25/2007</b>	<b>PROPOSED FINAL 9/28/2011</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 390,000	\$ 390,000
DESIGN SERVICES	50,000	70,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	21,330
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	5,500
MISCELLANEOUS	-	3,170
OTHER SOFT COSTS	14,000	-
<b>SUBTOTAL</b>	<b>\$ 454,000</b>	<b>\$ 490,000</b>
PROJECT CONTINGENCY	46,000	60,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 500,000</b>	<b>\$ 550,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 PHASE III - FY09 DM	\$ 500,000	\$ 550,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 500,000</b>	<b>\$ 550,000</b>

# CASTLEMAN BUILDING DRAINAGE REPAIR

Project Budget (FINAL)

09/28/11

## FRANCIS L. CASTLEMAN BUILDING



DAMAGED ENTRY STAIRS

## STAIRCASE TO BE REBUILT



TYPICAL DAMAGE

INTERIOR DAMAGE  
UNDER STAIRS







University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for Law School Renovations/Improvements – Knight Hall,  
Room 215 – Classroom Renovation (Final: \$575,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$575,000 for the planning, design and construction related to the interior renovations and technology upgrade to Knight Hall, room 215 at the Law School campus.

BACKGROUND:

The purpose of this project is to provide an upgrade to an important large classroom at the Law School. Knight Hall, room 215 is a 1,115 square foot classroom within the 1924 vintage 13,475 square foot Knight Hall.

The existing classroom is essentially unchanged since the University moved into the campus. The School of Law has defined a plan that, if implemented, would result in a meaningful upgrade to the teaching capabilities of the room as well as the aesthetics. At a minimum this will include mechanical and electrical improvements, finish upgrades, new seating and most importantly advanced audio visual technology capability. This is an important project which is intended to keep the School of Law competitive.

The Final Budget is based on the results of competitive public bids for the project and is attached for your consideration and approval.

Attachment

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: LAW SCHOOL RENOVATIONS/IMPROVEMENTS - KNIGHT HALL ROOM 215  
CLASSROOM RENOVATION**

	<b>APPROVED PLANNING 6/10/2010</b>	<b>APPROVED DESIGN 8/3/2011</b>	<b>PROPOSED FINAL 9/28/2011</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$ 350,000	\$ 210,000	\$ 320,000
DESIGN SERVICES	45,000	45,000	31,000
TELECOMMUNICATIONS	5,000	5,000	-
FURNITURE, FIXTURES AND EQUIPMENT	25,000	165,000	120,900
CONSTRUCTION ADMINISTRATION	45,000	45,000	-
OTHER AE SERVICES (including Project Management)	25,000	25,000	15,100
ART	-	-	-
RELOCATION	2,000	2,000	-
ENVIRONMENTAL	3,000	3,000	-
INSURANCE AND LEGAL	5,000	5,000	5,500
MISCELLANEOUS	15,000	15,000	7,500
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 520,000</b>	<b>\$ 520,000</b>	<b>\$ 500,000</b>
PROJECT CONTINGENCY	55,000	55,000	75,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 575,000</u></b>	<b><u>\$ 575,000</u></b>	<b><u>\$ 575,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UCONN 2000 PHASE III	\$ 575,000	\$ 575,000	\$ 575,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 575,000</u></b>	<b><u>\$ 575,000</u></b>	<b><u>\$ 575,000</u></b>



# LAW SCHOOL RENOVATIONS/IMPROVEMENTS – KNIGHT HALL ROOM 215 CLASSROOM RENOVATION

Project Budget (FINAL)

09/28/11



## KNIGHT HALL ROOM 215







University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for Small Capital Projects Fund (Final: \$1,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,500,000 for the planning, design and construction of miscellaneous small capital projects at the University of Connecticut and Regional Campuses. The University requests a waiver to the three phase approval process for this project.

BACKGROUND:

The University of Connecticut engaged the ISES Corporation in 2006 to conduct a Facilities Condition Analysis for its academic and administrative real property assets. This effort has been a strategic capital planning tool that has been utilized by the University administration to guide its capital renewal strategies.

A sub-component deliverable of the condition effort identified that the campuses had a backlog of small (under \$50,000), deferred maintenance projects totaling over \$74,000,000. Over the last 15 years, the University has invested approximately \$45,000,000 toward plant deferred maintenance in this area of need. Typical expenditures for this type of work is replacement of motors, pumps, control system components, heating and air conditioning components, replacement of deteriorated sidewalks and stairs, elevator components, old and failing windows, doors, utilities systems, etc.

Higher priority initiatives with the University, as well as budget reductions, have reduced the amount available for deferred maintenance over the next two fiscal years. By necessity, the University will have to focus the remaining resources on the specific type of project that this fund addresses. These projects can usually be implemented without a

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detailed design and prolonged bidding process. Approval of this fund will allow these needs to be addressed in a rapid and cost effective manner. The University's past experience indicates that there is an annual need of at least \$1,500,000 for this type of work effort. The University is proposing that the Board approve the establishment of this project and will be able to update the Board on expenditures as time progresses.

This Final Budget is attached for your consideration and approval.

Attachment

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET:**     **FINAL**

**PROJECT NAME:**   **FY 2012 SMALL CAPITAL PROJECTS FUND**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>PROPOSED FINAL 9/28/2011</b>
CONSTRUCTION	\$ 1,350,000
DESIGN SERVICES	15,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	45,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	6,000
MISCELLANEOUS	9,000
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 1,425,000</b>
PROJECT CONTINGENCY	75,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,500,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
UCONN 2000 PHASE III - FY08 DM	\$ 1,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,500,000</b>

BOT 9.28.11

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University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Main Building  
Cooling System, Chillers 1, 2, & 3 Replacements (Final: \$4,945,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$4,945,000 for the UCHC Main Building Cooling System, Chillers 1, 2, & 3 Replacements.

BACKGROUND:

The project consists of the replacement of three existing chillers (#1, 2 & 3). Replacing these aged chillers will provide more reliable and energy efficient sources of cooling for the Main Building.

A Design Budget to replace Chillers 1, 2 & 3, of the Main Building cooling system in the amount of \$5,330,000 was approved in June 2011. The bidding for the project proceeded in August 2011. Bids were received on August 18, 2011 and are less than the construction estimate; as such the overall project budget has been reduced to \$4,945,000. The Final Budget reflects the anticipated award of the construction contract to the lowest responsible bidder and is attached for your consideration and approval. This project budget will be reviewed and is expected to be approved at the UCHC Board of Directors Finance subcommittee and the full UCHC Board of Directors at their respective meetings on September 06, 2011 and September 12, 2011.

Attachment

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## CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCHC MAIN BUILDING COOLING SYSTEM-CHILLERS 1, 2 & 3 REPLACEMENT

	APPROVED PLANNING 6/23/2009	APPROVED REVISED PLANNING 1/26/2011	APPROVED DESIGN 6/23/2011	PROPOSED FINAL 9/28/2011
<b><u>BUDGETED EXPENDITURES</u></b>				
CONSTRUCTION	\$1,000,000	\$4,600,000	\$4,600,000	\$4,250,000
DESIGN SERVICES	105,000	177,000	177,000	177,000
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-
OTHER AE SERVICES (including Project Management)	15,000	51,000	51,000	51,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	60,000	-	-	-
INSURANCE AND LEGAL	5,000	5,000	5,000	5,000
MISCELLANEOUS	6,500	12,000	12,000	12,000
OTHER SOFT COSTS	-	-	-	-
<b>SUBTOTAL</b>	<b>\$1,191,500</b>	<b>\$4,845,000</b>	<b>\$4,845,000</b>	<b>\$4,495,000</b>
PROJECT CONTINGENCY	158,500	485,000	485,000	450,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$1,350,000</u></b>	<b><u>\$5,330,000</u></b>	<b><u>\$5,330,000</u></b>	<b><u>\$4,945,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>				
UConn 2000 PHASE III - FY09 DM	\$1,350,000	\$1,350,000	\$1,350,000	\$1,350,000
UCHC FUTURE CAPITAL FUNDS	-	3,980,000	3,980,000	3,595,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$1,350,000</u></b>	<b><u>\$5,330,000</u></b>	<b><u>\$5,330,000</u></b>	<b><u>\$4,945,000</u></b>







University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Felman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for West Hartford Campus Renovations/Improvements –  
Electrical Switchgear Replacement (Revised Final - \$950,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget for West Hartford Campus Renovations/Improvements – Electrical Switchgear Replacement in the amount of \$950,000.

BACKGROUND:

The West Hartford Campus receives its electrical power from Connecticut Light and Power (CL&P). The campus has experienced an increasing number of electrical power outages over the past few years and requested CL&P to provide an evaluation. The preliminary evaluation, confirmed by UConn personnel, includes a recommendation to replace the University-owned electrical switchgear. The purpose of the switchgear is to provide an interface between the high voltage CL&P supply and the University campus distribution system.

The Board of Trustees previously approved a Final Budget for this project based on the design estimates. During the final design process, the University added to the scope of the project by including a new electrical ductbank to the construction project. This will allow the University to remove a non-functioning switch without shutting down power to the entire campus.

The previously approved Project Budget was based on consultant's estimates. Competitive bidding was conducted and three (3) bids were received.

The Revised Final Budget is attached for your consideration and approval.

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:** REVISED FINAL

**PROJECT NAME:** WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS - ELECTRICAL SWITCHGEAR REPLACEMENT

	APPROVED PLANNING 9/25/2007	APPROVED REVISED PLANNING 6/23/2009	APPROVED FINAL 1/26/2011	PROPOSED REVISED FINAL 9/28/2011
<b><u>BUDGETED EXPENDITURES</u></b>				
CONSTRUCTION	\$ 420,000	\$ 579,000	\$ 235,000	\$ 655,000
DESIGN SERVICES	10,000	50,000	135,000	137,500
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	250,000	-
CONSTRUCTION ADMINISTRATION	-	10,000	20,000	-
OTHER AE SERVICES (including Project Management)	-	20,500	20,000	34,200
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	-	-	6,000
INSURANCE AND LEGAL	-	5,500	5,000	2,000
MISCELLANEOUS	-	15,000	35,000	15,000
OTHER SOFT COSTS*	20,000	20,000	-	-
<b>SUBTOTAL</b>	<b>\$ 450,000</b>	<b>\$ 700,000</b>	<b>\$ 700,000</b>	<b>\$ 849,700</b>
PROJECT CONTINGENCY	100,000	100,000	100,000	100,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 550,000</b>	<b>\$ 800,000</b>	<b>\$ 800,000</b>	<b>\$ 949,700</b>
<b><u>SOURCE(S) OF FUNDING</u></b>				
UConn 2000 PHASE III	\$ 550,000	\$ 800,000	\$ 800,000	\$ 949,700
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 550,000</b>	<b>\$ 800,000</b>	<b>\$ 800,000</b>	<b>\$ 949,700</b>

\*Does not include Furniture, Fixtures and Equipment.

# WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS – ELECTRICAL SWITCHGEAR REPLACEMENT

Project Budget (FINAL)

09/28/11

SWITCHGEAR LOCATION



## EXISTING SWITCHGEAR







University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Vice President and Chief Financial Officer

John M. Biancamano  
Chief Financial Officer

RE: Spending Plan for Fiscal Year 2012 for the University of Connecticut Health Center

RECOMMENDATION:

That the Board of Trustees approve the Spending Plan for Fiscal Year 2012 of \$799.3 million for the University of Connecticut Health Center. The spending plan was approved by the UCHC Board of Directors on September 12, 2011.

BACKGROUND:

The Fiscal Year 2012 Spending Plan includes \$795.1 million of revenue, including state funding of \$109.7 million (excluding fringe benefits), to cover \$799.3 million in expenses yielding a net loss of \$4.2 million.

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University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Barry M. Feldman, Ph.D.  
*Vice President and  
Chief Operating Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

RE: Electric Distribution Easement to CL&P – Greater Hartford Campus

RECOMMENDATION:

That the Board of Trustees grant an easement to the Connecticut Light & Power Company (CL&P) for the construction and maintenance of an electrical distribution system on the Greater Hartford Campus.

BACKGROUND:

This easement, the footprint of which is 50 feet square for a total of 2,500 square feet, is associated with CL&P's construction and maintenance of an electrical distribution system near the southwest corner of Lawler Road and Trout Brook Drive in West Hartford. This project involves the upgrade and replacement of existing equipment, and the town land records do not indicate an easement for pre-existing equipment.

As shown in the "Compilation Plan: Map Showing Easement Area to be Granted to the Connecticut Light and Power Company," the new equipment will be located in the northern portion of the easement area, close to the street line. The existing equipment is located in approximately the same location.

The University will seek no consideration for this easement because it is for the benefit of the University, i.e., it is being granted for the purpose of improved campus electrical service.

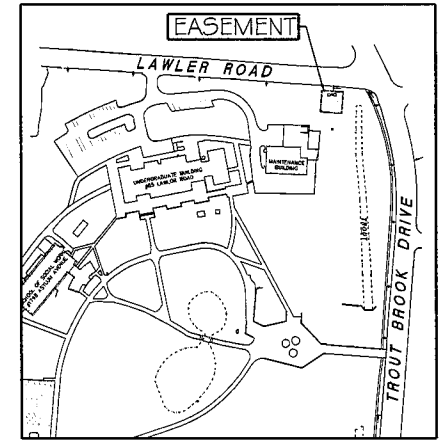
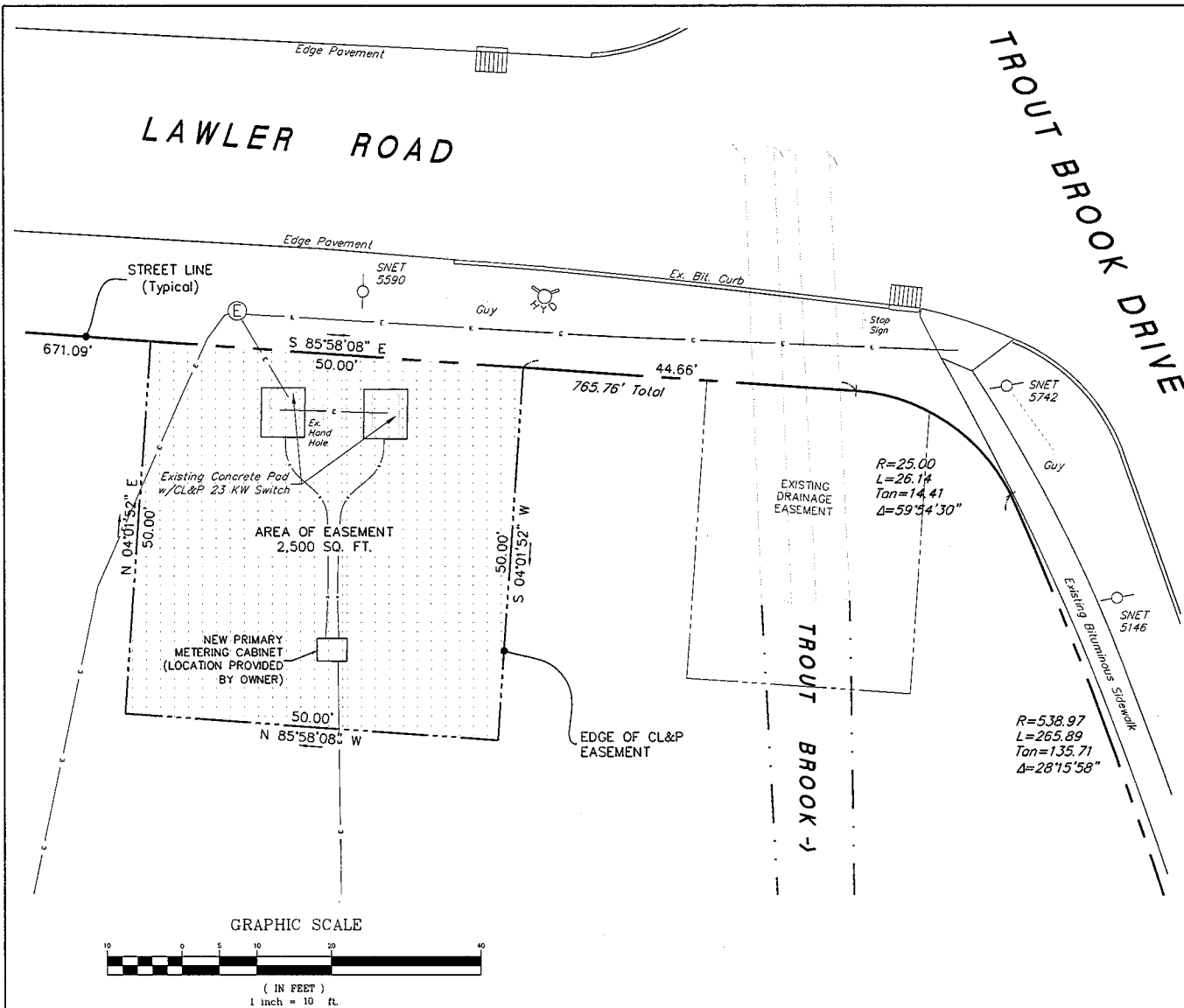
A copy of the form of easement supplied by CL&P is attached for your reference.

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LOCATION MAP  
SCALE 1"=200'

### NOTES

1. THIS MAP HAS BEEN PREPARED IN ACCORDANCE WITH SECTIONS 20-300b-1 THRU 20-300b-20 OF THE REGULATIONS OF CONNECTICUT STATE AGENCIES "MINIMUM STANDARDS FOR SURVEYS AND MAPS IN THE STATE OF CONNECTICUT" AS ENDORSED BY THE CONNECTICUT ASSOCIATION OF LAND SURVEYORS, INC. ON SEPTEMBER 26, 1996.
2. THE TYPE OF SURVEY PERFORMED IS A COMPILATION PLAN. THIS SURVEY CONFORMS TO A CLASS D HORIZONTAL ACCURACY AND IS INTENDED TO ACQUIRE AN EASEMENT.
3. THIS PLAN WAS COMPILED FROM OTHER MAPS, RECORD RESEARCH OR OTHER SOURCES OF INFORMATION AND LIMITED FIELD SURVEY PERFORMED BY LENARD ENGINEERING, INC. ON MARCH 25, 2010.
4. THE REFERENCE MAP IS ENTITLED "IMPROVEMENT LOCATION SURVEY LAND OF UNIVERSITY OF CONNECTICUT HARTFORD CAMPUS #1800 ASYLUM AVENUE, WEST HARTFORD, CONNECTICUT" SCALE: 1"=80' DATE: AUGUST 23, 2011 LRC GROUP, 160 WEST STREET, SUITE E, CROMWELL, CONNECTICUT 06790
5. BEARINGS ARE TAKEN FROM REFERENCE MAP PROVIDED BY THE UNIVERSITY OF CONNECTICUT. (NOTE 4)
6. UNDERGROUND UTILITY, STRUCTURE AND FACILITY LOCATIONS DEPICTED AND NOTED HEREON HAVE BEEN COMPILED, IN PART, FROM RECORD MAPPING, PAROLE TESTIMONY AND PAINT MARKOUT BY THE RESPECTIVE UTILITY COMPANIES. THESE LOCATIONS MUST BE CONSIDERED AS APPROXIMATE IN NATURE. ADDITIONALLY, OTHER SUCH FEATURES MAY EXIST ON THE SITE, THE EXISTENCE OF WHICH ARE UNKNOWN TO LENARD ENGINEERING, INC. THE SIZE, LOCATION AND EXISTENCE OF ALL SUCH FEATURES MUST BE FIELD DETERMINED AND VERIFIED BY THE APPROPRIATE AUTHORITIES PRIOR TO CONSTRUCTION. CALL BEFORE YOU DIG 1-800-922-4452.

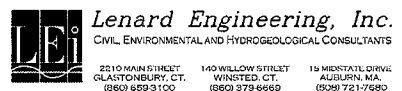
### COMPILATION PLAN

MAP SHOWING EASEMENT AREA TO BE GRANTED TO  
THE CONNECTICUT LIGHT AND POWER COMPANY

ACROSS PROPERTY OF  
THE UNIVERSITY OF CONNECTICUT  
HARTFORD CAMPUS  
ASYLUM AVENUE, WEST HARTFORD, CONNECTICUT

SCALE: 1"=10'  
FILE NO. E0041

DATE: AUGUST 17, 2011  
REV: SEPT. 14, 2011



To the best of my knowledge and belief this map is substantially correct as noted hereon.

KEVIN L. DaROS #13291 DATE  
No certification is expressed or implied unless this map bears the embossed seal of the Land Surveyor whose signature appears hereon.

## ELECTRIC DISTRIBUTION EASEMENT

The State of Connecticut, acting herein by its Treasurer or duly authorized representative, at the request of the Board of Trustees of the University of Connecticut (collectively, hereinafter the "Grantor") acting pursuant to Conn. Gen. Stat. § 4b-21(a), hereby grants to The Connecticut Light and Power Company, a specially chartered Connecticut corporation with offices in Berlin, Connecticut, its successors and assigns, (hereinafter the "Grantee"), the perpetual right to construct, maintain, replace, relocate, remove and rebuild on, across, over and under the land hereinafter described (Easement Area), an electric distribution system consisting of poles, guys, braces, wires, cables, conduits, transformers, transformer pads, pedestals, meters, structures for street lights and traffic signals, fixtures and other appurtenances useful for providing electric, communication, signal and streetlighting service (including wires, cables and conduits running from the poles, transformers and pedestals to any structures erected on the Grantor's lands); the right to provide electric, communication, signal and streetlighting service by means of the same; and the right to enter the Grantor's lands for the purpose of inspecting, maintaining or removing same and the right, after consultation with the Grantor when practicable, to trim and keep trim, cut and remove such trees or shrubbery as in the judgment of the Grantee are necessary to maintain its services.

Said Easement Area is located on the Grantor's lands on the **southerly** side of **Lawler Road** in the Town of **West Hartford**, Connecticut, as more particularly described on a map entitled "**Compilation Plan Map Showing Easement Area to be Granted to The Connecticut Light and Power Company Across the Property of The University of Connecticut Hartford Campus Asylum Avenue, West Hartford, Connecticut Scale: 1"=10' Date: August 17, 2011 File No. E0041**" which map has been on or will be filed in the office of the Town Clerk of said Town of **West Hartford**, Connecticut.

The Grantor agrees, except with the written permission of the Grantee, that: (i) no building, structure, or other improvement or obstruction shall be located upon, there shall be no excavation, filling, flooding or grading of, and there shall be no parking of vehicles or planting of trees or shrubbery upon the Easement Area or outside the Easement Area within five (5) feet from any facilities or appurtenance installed to provide services to any structures erected on the Grantor's premises; and (ii) nothing shall be attached, temporarily or permanently, to any property of the Grantee installed by virtue of this easement. The Grantee may, without liability to the Grantor and at the expense of the Grantor, remove and dispose of any of the aforesaid made or installed in violation of the above and restore said land to its prior condition. In the event of damage to or destruction of any of said facilities of the Grantee by the Grantor or agents or employees thereof, all costs of repair or replacement shall be borne by the Grantor.

The Grantee further agrees, by the acceptance of this deed, that as long as and to the extent that the electric distribution system together with all appurtenances, located on said land pursuant to this easement are used to provide electric, communication, signal or streetlighting service, the Grantee will repair, replace and maintain such facilities at its own expense (except as otherwise provided herein) and in connection with any repair, replacement or maintenance of said system the Grantee shall promptly restore the premises to substantially the same condition as existed prior to such repair, replacement or maintenance, provided, however, that such restoration shall not include any structures, other improvements or plantings made by the Grantor contrary to the provisions of this easement.

If any portion of the above described land upon or under which said facilities or appurtenances thereto shall be located, is now or hereafter becomes a public street or highway or a part thereof, permission, as set forth in Section 16-234 of the General Statutes of Connecticut relating to adjoining landowners, is hereby given to the Grantee and to its successors and assigns, to use that portion of the land for the purposes and in the manner above described.

Any right herein described or granted, or any interest therein or part thereof, may be assigned to any communication or signal company by the Grantee, and the Grantor hereby agrees to and ratifies any such assignment and agrees that the interest so assigned may be used for the purposes described therein for communication or signal purposes.

The words "Grantor" and "Grantee" shall include lessees, heirs, executors, administrators, successors and assigns where the context so requires or permits.

TO HAVE AND TO HOLD the premises unto it, the said Grantee, its successors and assigns, forever.

Please Return to:  
Real Estate Department  
CL&P  
49 Randolph Road  
Middletown, CT 06457

CL&P File E0041

IN WITNESS WHEREOF, the State of Connecticut, acting through its Treasurer or duly authorized designee, has hereunto caused her hand and seal to be affixed this \_\_\_\_ day of \_\_\_\_\_, in the year of two thousand and eleven.

Signed, Sealed and Delivered  
In the presence of:

GRANTOR  
THE STATE OF CONNECTICUT

BY: \_\_\_\_\_  
Its Treasurer  
Or other duly-authorized Designee

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Witness

STATE OF CONNECTICUT

ss:

COUNTY OF HARTFORD

On this \_\_\_\_ day of \_\_\_\_\_, 2011, before me, the undersigned officer, personally appeared \_\_\_\_\_, known to me to be the person whose name is subscribed to the above instrument, who subscribed and swore to the same before me on this date and acknowledged that \_\_\_\_ executed the same for the purposes therein contained.

IN WITNESS WHEREOF, I have hereunto set my hand.

\_\_\_\_\_  
Commissioner of the Superior Court  
Notary Public  
My Commission Expires: \_\_\_\_\_

Signed, Sealed and Delivered  
In the presence of:

GRANTOR  
UNIVERSITY OF CONNECTICUT

BY: \_\_\_\_\_  
Barry M. Feldman, Vice President and  
Chief Operating Officer, duly authorized

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Witness

STATE OF CONNECTICUT

ss:

COUNTY OF HARTFORD

ON this \_\_\_\_ day of \_\_\_\_\_, 2011, before me, the undersigned officer, personally appeared BARRY M. FELDMAN, Vice President and Chief Operating Officer, known to me to be the person whose name is subscribed to the above instrument, who subscribed and swore to the same before me on this date and acknowledged that he executed the same for the purposes therein contained.

IN WITNESS WHEREOF, I have hereunto set my hand.

\_\_\_\_\_  
Commissioner of the Superior Court  
Notary Public  
My Commission Expires: \_\_\_\_\_

APPROVED AS TO FORM:

\_\_\_\_\_  
Associate Attorney General

Date: \_\_\_\_\_






University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President  
for Academic Affairs*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost 

RE: Renaming of the Neag Professorship in Adult Learning to the Neag  
Endowed Professorship in the Neag School of Education

RECOMMENDATION:

That the Board of Trustees approve the renaming of the Neag Professorship of Adult Learning in the Neag School of Education to the Neag Endowed Professorship.

BACKGROUND:

The Neag Professorship of Adult Learning was originally approved for naming by the Board of Trustees on October 1, 2002. This position was held by Professor Barry Sheckley until his retirement in 2009.

The renaming of the professorship will permit the Neag School of Education the flexibility of appointing a faculty member in any priority field and no longer restrict it to Adult Learning. Financial support for this professorship is derived from the Neag School's unrestricted endowment, the Ray Neag School of Education Endowment Fund (#30598).

*An Equal Opportunity Employer*

Gulley Hall  
352 Mansfield Road Unit 2086  
Storrs, Connecticut 06269-2086

Telephone: (860) 486-4037  
Facsimile: (860) 486-6379  
e-mail: [peter.nicholls@uconn.edu](mailto:peter.nicholls@uconn.edu)  
web: <http://provost.uconn.edu>



# The University of Connecticut Foundation, Inc.

## Development Progress Executive Summary

July 1, 2011 to August 31, 2011

Progress Toward Goals	FYTD11 Results	FY12 Goal	FYTD12 Results	% of Goal
<b><u>Commitment Results</u></b>				
Athletics	\$ 973 K	\$ 13.00 M	\$ 1.27 M	10%
UConn Health Center	\$ 360 K	\$ 10.75 M	\$ 775 K	1%
UConn General	\$ 1.77 M	\$ 33.25 M	\$ 1.86 M	6%
<b>Total Commitments</b>	<b>\$ 3.10 M</b>	<b>\$ 57.00 M</b>	<b>\$ 3.91 M</b>	<b>7%</b>
<b><u>Number of household donors</u></b>				
	3,217	30,000	3,778	13%
<b><u>Cash Results</u></b>				
Cash basis gift receipts	\$ 4.53 M	\$ 50.00 M	\$ 4.17 M	8%
Annual fund unrestricted receipts	\$ 58 K	\$ 1.00 M	\$ 76 K	8%

Campaign Commitments Progress	
<b>Fiscal Year 2012 Campaign Total (to Date)*</b> * This total reflects campaign write-offs and pre-campaign total of \$10.6 million, as appropriate.	\$ 281.68 M





**University of Connecticut Department of Human Resources**  
**New Hires Processed from July 8, 2011 to September 6, 2011**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
ABRAMO, JOSEPH M.	ASST CLIN PROF	CURRICULUM & INSTR DEPT	8/23/2011
ALI, ANASTASIA J.	UC PROFESSIONAL 01 - PROGRAM AIDE	ATHLETICS MENS INTERCOL	6/28/2011
ANDERBOIS, SCOTT	ASST PROF IN RES	LINGUISTICS	8/23/2011
APOSTOLIDIS, TSAMPIKA M.	ASST CLIN PROF	NURSING INSTR & RES	8/23/2011
BALL, REGAN Q.	UC PROFESSIONAL 05 - ADMISSIONS OFFICER	ADMISSIONS	7/15/2011
BALLARD, KEVIN D.	U POST DOC FEL 1	NUTRITIONAL SCIENCES	8/23/2011
BANFORD, ALYSSA J.	U POST DOC FEL 1	HUMAN DEV/FAM STUDI&R	8/23/2011
BARNES, GARRY C.	UC PROFESSIONAL 05 - SPEC DESIGN TECH	CTR CLEAN ENERGY ENGINEER	8/8/2011
BARRETT, EDITH	PROFESSOR - DIRECTOR	PUBLIC POLICY	8/23/2011
BAYAR, ONUR	VISITING ASST PROF	FINANCE DEPT	8/23/2011
BECKER, BARBARA F.	UC PROFESSIONAL 01 - FINANCIAL ASST I	STUDENT ACTIVITIES	7/1/2011
BEECHER, ERIN-LEIGH	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	OFFICE OF GLOBAL PROGRAMS	8/12/2011
BENNETT, ANDREW	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/29/2011
BERARD, DAVID A.	SPECIALIST IA - ASSISTANT COACH	ATHLETICS MENS INTERCOL	7/6/2011
BERTHOLD, SARAH M.	ASSISTANT PROFESSOR	SOCIAL WORK INSTR & RES	8/23/2011
BOROCHIN, PAUL A.	ASSISTANT PROFESSOR	FINANCE DEPT	8/23/2011
BOSKER, THIJS	ASSISTANT PROFESSOR	ENVIR SCI & ENGRNG CTR	8/23/2011
BOURASSA, DENISE	ASST CLIN PROF	NURSING INSTR & RES	8/23/2011
BOURGAULT, ANNA	ASST CLIN PROF	NURSING INSTR & RES	8/23/2011
BRELAND, MICHELLE L.	ASSISTANT PROFESSOR	PHARMACY PRACTICE	8/23/2011
CAMPELLONE, KENNETH G.	ASSISTANT PROFESSOR	MOLECULAR & CELL BIOLOGY	8/23/2011
CANEDO, EDUARDO F.	ASSISTANT PROFESSOR	HISTORY	8/23/2011
CATOE, JAMEL	UC PROFESSIONAL 06 - PROGRAM ADMIN	FRAT & SOR LIFE	7/28/2011
CESUR, RESUL	ASSISTANT PROFESSOR	FINANCE DEPT	8/23/2011
CHANG, JASON O.	ASSISTANT PROFESSOR	HISTORY	8/23/2011
CHIU, STEVE	ASSOC PROF IN RES	COMPUTER SCIENCE & ENGR	8/23/2011
CHRISTODOULOPOULOU, KONST	ASST PROF IN RES	MATHEMATICS	8/23/2011

**University of Connecticut Department of Human Resources**  
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NAME	TITLE	DEPARTMENT	DATE
CODR, DWIGHT D.	ASSISTANT PROFESSOR	ENGLISH	8/23/2011
COLATI, GREGORY	UC PROFESSIONAL 11 - AREA HEAD-LIBRARY	LIBRARY DODD CENTER	7/29/2011
CRUMLEY, CHRISTINE A.	UC PROFESSIONAL 06 - ADMIN SERV ASST IV	VP GRAD EDU & DEAN	6/30/2011
DE YOE, KAITLIN A.	RESEARCH ASSISTANT 1	PSYCHOLOGY	7/29/2011
DENNIGAN, DARCIE	ASST PROF IN RES	ENGLISH	8/23/2011
DOLGERT, STEFAN	ASSISTANT PROFESSOR	POLITICAL SCIENCE	8/23/2011
ELLER, ANNE E.	ASSISTANT PROFESSOR	HISTORY	8/23/2011
ESMAILI ZAGHI, ARASH	ASSISTANT PROFESSOR	CIVIL & ENVIRON ENG	8/23/2011
EYLER, RACHEL F.	ASST CLIN PROF 11MO	PHARMACY PRACTICE	8/23/2011
FANGHELLA, JULIA	RESEARCH ASSISTANT 1	LINGUISTICS	7/15/2011
FRANK, DANA M.	LECTURER	MARINE SCIENCES/MSTC	8/23/2011
FRANKLIN, JOHANNA	U POST DOC FEL 1	MATHEMATICS	8/23/2011
FUERST, NATHAN D.	U DIRECTOR	ADMISSIONS	8/12/2011
GABRIEL, RACHAEL	ASSISTANT PROFESSOR	CURRICULUM & INSTR DEPT	8/23/2011
GAGE, NICHOLAS A.	U POST DOC FEL 1	EDUCATIONAL PSYCHOLOGY	8/12/2011
GHOSH, DEBARCHANA	ASSISTANT PROFESSOR	GEOGRAPHY	8/23/2011
GLASCOCK, JOHN L.	PROFESSOR - DIRECTOR	FINANCE DEPT	8/23/2011
GRANGER, JULIE	ASSISTANT PROFESSOR	MARINE SCIENCES/MSTC	8/23/2011
GUPTA, SHALABH	ASSISTANT PROFESSOR	ELECTRICAL & CPTR ENGR	8/23/2011
GURR, BARBARA A.	ASST PROF IN RES	WOMENS STUDIES PROGRAM	8/23/2011
GUSTAFSON, GWEN	LECTURER 11MO	PSYCHOLOGY	7/1/2011
HAN, JUN	ASST RESEARCH PROF	MECHANICAL ENGR	8/23/2011
HARRINGTON, ANNE	SPECIALIST IA - ASSISTANT COACH	ATHLETICS WMNS INTERCOL	7/22/2011
HARVEY, IDETHIA S.	ASSISTANT PROFESSOR	HUMAN DEV/FAM STUDI&R	8/23/2011
HEALEY, MARK	ASSISTANT PROFESSOR	HISTORY	8/23/2011
HENTZ, BRIAN	INSTR IN RES	BUSINESS DEAN OFC	8/23/2011
HITE, ROSEMARY	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	ISS-ACD CTR ENTER STUDENT	6/17/2011

**University of Connecticut Department of Human Resources**  
**New Hires Processed from July 8, 2011 to September 6, 2011**  
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NAME	TITLE	DEPARTMENT	DATE
HOBBS, KARL B.	SPECIALIST IIA	ATHLETICS MENS INTERCOL	7/20/2011
HOURIGAN, JEFFREY C.	SPECIALIST IA - ASSISTANT COACH	ATHLETICS MENS INTERCOL	6/28/2011
JAMES, AMY	ASSOC RESEARCH PROF	SOCIAL WORK INSTR & RES	8/15/2011
JL, CHUNHUA	U POST DOC FEL 1	ENGINEERING DEAN OFC	7/15/2011
KASHWAN, PRAKASH	ASSISTANT PROFESSOR	POLITICAL SCIENCE	8/23/2011
KHAN, MOHAMMAD M.	ASSISTANT PROFESSOR	COMPUTER SCIENCE & ENGR	8/23/2011
KIM, MYOUNGIL	U POST DOC FEL 1	MATHEMATICS	8/23/2011
KING, STEPHEN G.	UC PROFESSIONAL 04 - COMP TECH SUP CONS 1	PSYCHOLOGY	8/12/2011
KRAFFT, ANNE D.	ASST CLIN PROF	NURSING INSTR & RES	8/23/2011
KUKENBERGER, MICHAEL R.	LECTURER	MANAGEMENT DEPT	8/23/2011
KUMAVOR, PATRICK D.	U POST DOC FEL 1	ELECTRICAL & CPTR ENGR	8/15/2011
LAI, LAIJUN	ASSOC RESEARCH PROF	ALLIED HEALTH SCIENCES	8/1/2011
LEE, IKJIN	ASSISTANT PROFESSOR	MECHANICAL ENGR	8/23/2011
LENNON, KAYLA	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/29/2011
LEWIS, RACHEL A.	U POST DOC FEL 1	WOMENS STUDIES PROGRAM	8/23/2011
LIBERTO, HALLIE R.	ASSISTANT PROFESSOR	PHILOSOPHY	8/23/2011
LIU, YIZAO	ASSISTANT PROFESSOR	AGRI & RESOURCE ECONOMICS	8/23/2011
LO, HUNG-CHIEH	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	1/14/2011
LO, SHIH-CHIEH	ASST PROF IN RES	HISTORY	8/23/2011
LOHR, KAREN L.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/15/2011
LU, XIULING	ASSISTANT PROFESSOR	PHARMACEUTICAL SCI	8/23/2011
LURIE, NICHOLAS	ASSOCIATE PROFESSOR	MARKETING DEPT	8/23/2011
MA, ANSON	ASSISTANT PROFESSOR	CHEM MAT & BIOMOL ENGR	8/23/2011
MAH, EUNICE	U POST DOC FEL 1	NUTRITIONAL SCIENCES	8/23/2011
MANNING, COURTNEY L.	RESEARCH ASSISTANT 1	PSYCHOLOGY	7/29/2011
MARTIN, ELAINE	ASST CLIN PROF	NURSING INSTR & RES	8/23/2011
MARUCA, ANNETTE	ASST CLIN PROF	NURSING INSTR & RES	8/23/2011

**University of Connecticut Department of Human Resources  
New Hires Processed from July 8, 2011 to September 6, 2011  
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NAME	TITLE	DEPARTMENT	DATE
MCCUTCHEON, PRISCILLA	ASSISTANT PROFESSOR	GEOGRAPHY	8/23/2011
MCGLOIN, RORY P.	ASST PROF IN RES	COMMUNICATION SCIENCES	8/23/2011
MEHARRY, PAMELA M.	ASST CLIN PROF	NURSING INSTR & RES	8/23/2011
MELTON, ERIN K.	ASSISTANT PROFESSOR	PUBLIC POLICY	8/23/2011
MILLER, FAITH G.	U POST DOC FEL 1	EDUCATIONAL PSYCHOLOGY	8/1/2011
MOBLEY, AMY R.	ASSISTANT PROFESSOR	NUTRITIONAL SCIENCES	8/23/2011
MOBLEY, STACEY R.	LECTURER	NUTRITIONAL SCIENCES	8/23/2011
MOREY-OPPENHEIM, AIMEE M.	ASST PROF IN RES	CHEMISTRY	8/23/2011
MYSHRALL, KRISTEN L.	INSTR IN RES	INTEGRATIVE GEOSCIENCE	8/23/2011
NAPLES, NANCY A.	ASSOCIATE PROFESSOR	SOCIOLOGY	8/23/2001
NAVARRO TORRES, CHRISTIAN	RESEARCH ASSISTANT 1	PSYCHOLOGY	8/1/2011
NEUGEBAUER, SABINA R.	U POST DOC FEL 1	EDUCATIONAL PSYCHOLOGY	7/29/2011
O'DONOHUE, TIMOTHY	SPECIALIST IA	ATHLETICS MENS INTERCOL	8/3/2011
ORR, RICHARD F.	U STAFF PROF VI - EXECUTIVE OFFICER	PRESIDENT OFC	7/5/2011
PAIS, JEREMY	ASSISTANT PROFESSOR	SOCIOLOGY	8/23/2011
PAIS, KATERINE R.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	MOLECULAR & CELL BIOLOGY	8/12/2011
PERRAS, KAREN	UC PROFESSIONAL 01 - FINANCIAL ASST I	PSYCHOLOGY	8/12/2011
PHILLIPS, ANNA J.	U POST DOC FEL 1	ECOLOGY & EVOL BIOLOGY	8/1/2011
POTTER, GAVIN	UC PROFESSIONAL 07 - ACAD COUNSELOR/COORD	COUNSELING INTERCOL ATH	8/12/2011
REED, SARAH A.	ASSISTANT PROFESSOR	ANIMAL SCIENCE	8/23/2011
REN, ZHUYIN	ASSISTANT PROFESSOR	MECHANICAL ENGR	8/23/2011
RITTENHOUSE, TRACY	ASSISTANT PROFESSOR	NATURAL RESOURCE & ENV	8/23/2011
ROHRER, JUDY L.	ASST PROF IN RES	WOMENS STUDIES PROGRAM	8/23/2011
RUSSELL, BETH S.	ASSISTANT PROFESSOR	REGIONAL CAMPUSES	8/23/2011
SHANAHAN, MARIE K.	ASSISTANT PROFESSOR	JOURNALISM	8/23/2011
SHANNON, DERIC M.	ASST PROF IN RES	SOCIOLOGY	8/23/2011
SINCLAIR, JAMES P.	ASSISTANT PROFESSOR	ACCOUNTING DEPT	8/23/2011

**University of Connecticut Department of Human Resources**  
**New Hires Processed from July 8, 2011 to September 6, 2011**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATE
SMITH, RHIANNON L.	ASSISTANT PROFESSOR	PSYCHOLOGY	8/23/2011
STEELE, LOGAN B.	ASSISTANT PROFESSOR	ACCOUNTING DEPT	8/23/2011
SUEN, MING HON	ASSISTANT PROFESSOR	ECONOMICS	8/23/2011
SURESH, ARAVIND	ASST PROF IN RES	CHEM MAT & BIOMOL ENGR	8/23/2011
THEODORE, RACHEL M.	ASSISTANT PROFESSOR	COMMUNICATION SCIENCES	8/23/2011
THOMAS, WILLIAM B.	SPECIALIST IA - ASSISTANT COACH	ATHLETICS MENS INTERCOL	8/1/2011
TOWNSNER, HENRY	ASSISTANT PROFESSOR	MATHEMATICS	8/23/2011
TURNER, JORDAN M.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/29/2011
VASIL-DILAJ, KRISTIN A.	ASST PROF IN RES	COMMUNICATION SCIENCES	8/23/2011
WANG, HUAN-ZHONG	ASSISTANT PROFESSOR	PLANT SCI & LANDSCAPE ARC	8/23/2011
WHITE, HEATHER M.	ASSISTANT PROFESSOR	ANIMAL SCIENCE	8/23/2011
WILKINS, LEONARD D.	U POST DOC FEL 1	MATHEMATICS	8/23/2011
WILLEN, SARAH	ASSISTANT PROFESSOR	ANTHROPOLOGY	8/23/2011
YEE, JOLIANA	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIDENTIAL LIFE OFC	7/29/2011
YU, MIAO	U POST DOC FEL 1	ENVIR SCI & ENGRNG CTR	8/1/2011
ZAKEWICZ, HELEN M.	ASST CLIN PROF	NURSING INSTR & RES	8/23/2011

**University of Connecticut Department of Human Resources**  
**Separations Processed from July 8, 2011 to September 6, 2011**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	SEPARATION REASON	DEPT	DATE
AGUIRRE GASSMANN, ANDRES	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ECE	7/1/2011
ALLCHIN, LYNN M.	ASST CLIN PROF	RESIGNATION (GOOD STANDING)	NURS	8/22/2011
BARONE, RYAN	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	7/13/2011
BASU, DIPANJAN	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	CE	8/22/2011
BLASIAK, MARY M.	PROFESSOR	DEATH (NON-EMPLOYMENT RELATED)	PLSC	8/11/2011
BOOKER, ANNE B.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PNB	8/12/2011
BOWLING, EMILY E.	UC PROFESSIONAL 05 - PROGRAM COORD	RESIGNATION (GOOD STANDING)	ISS	7/15/2011
BRADNAN, DANIELLE	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	MCB	7/29/2011
BREITKREUZ, KAREN	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	NURS	8/22/2011
BROADHEAD, ROBERT S.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	SOCI	8/31/2011
BUCHBINDER, ADAM	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	RESIGNATION (GOOD STANDING)	ISI	7/29/2011
BURDETTE, SHAWN C.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	CHEM	8/22/2011
CECIL, MARY C.	UC PROFESSIONAL 06 - SHS NURSE	RESIGNATION (GOOD STANDING)	HEALTH	8/15/2011
CORTINES, JULIANA R.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	MCB	8/25/2011
CUNNINGHAM, KENNETH M.	RESEARCH ASSISTANT 3	RESIGNATION (GOOD STANDING)	SW	8/11/2011
DECHANT, KATHLEEN	PROFESSOR IN RES	RETIREMENT (25+ YRS SERVICE)	SBMBA	7/31/2011
DEGUIRE, MATTHEW	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	8/25/2011
DITTRICH, CHRISTINE	UC PROFESSIONAL 08 - DATA BASE MANAGER	RESIGNATION (GOOD STANDING)	OIR	7/22/2011
ENGWALL, ALISON	UC PROFESSIONAL 05 - LAB TECHNICIAN III	RESIGNATION (GOOD STANDING)	CMBE	7/28/2011
FEI, YUNSI	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	ECE	8/22/2011
FORREST, LAURA L.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	EEB	8/12/2011
FRISMAN, LINDA K.	RESEARCH PROFESSOR	RETIREMENT (25+ YRS SERVICE)	SW	7/31/2011
FURLONG, SUSAN E.	UC PROFESSIONAL 07 - ADMIN MANAGER II	RETIREMENT (55/W 10-24 YEARS)	NURS	8/31/2011
GAINES, PAUL	ASSOC DEAN-STUDENTS	RESIGNATION (GOOD STANDING)	STDSUP	8/22/2011
GEDAFA, DABA	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	CE	8/22/2011
GERBER, BRUCE N.	UC PROFESSIONAL 08 - SYSTEM ADMIN II	RETIREMENT (25+ YRS SERVICE)	CONTRL	7/31/2011
HANSON, JOHN	UC PROFESSIONAL 08 - SPEC BUS ECON DEV CO	RESIGNATION (GOOD STANDING)	TIP	7/14/2011

**University of Connecticut Department of Human Resources**  
**Separations Processed from July 8, 2011 to September 6, 2011**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPT</b>	<b>DATE</b>
HARDY, SARAH E.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	PSYC	8/11/2011
HUNDE, EPHREM	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	CMBE	8/22/2011
JAMES, MARLON C.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	EDLR	7/28/2011
JENNINGS, KASHEMA	UC PROFESSIONAL 03 - PROG ASSISTANT I	RESIGNATION (GOOD STANDING)	HTFD	8/25/2011
JIN, LEI	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CHEM	8/2/2011
JOHNSON, DONTA	UC PROFESSIONAL 07 - PROGRAM ASSOCIATE	RESIGNATION (GOOD STANDING)	CMTYSD	8/19/2011
KIM, SUNG JIG	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CE	8/8/2011
LEE, KANGHO	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	MUSI	8/22/2011
LEE, MINYOUNG	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	MUSI	8/22/2011
LENZ, ERIN	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	CHIP	7/22/2011
LEWIS, CAROL W.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	POLS	8/31/2011
LO, SHIH-CHIEH	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	HIST	7/29/2011
LUBATKIN, MICHAEL H.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	MGMT	8/31/2011
LYON, ELEANOR	ASSOC PROF IN RES	RETIREMENT (25+ YRS SERVICE)	SW	8/31/2011
MAGGIN, DANIEL	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	EPSY	8/15/2011
MARTIN, NANCY A.	UC PROFESSIONAL 07 - U LIBRARY ASST III	RETIREMENT (25+ YRS SERVICE)	LIB	7/31/2011
MATTERN, KATRINA	UC PROFESSIONAL 01 - LAB ASST	RESIGNATION (GOOD STANDING)	BIOL	8/12/2011
MICHAUD, MATTHEW C.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION (GOOD STANDING)	BURSAR	8/18/2011
MILLER, TYSON	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	CHEM	8/22/2011
MULLEN, ERIN T.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	MATH	8/22/2011
NEAL, SALLY J.	UC PROFESSIONAL 06 - ACADEMIC ADVISOR II	RESIGNATION (GOOD STANDING)	CLAS	7/31/2011
NIXON, ANGELIQUE V.	ASST PROF IN RES	RESIGNATION (GOOD STANDING)	WS	8/22/2011
OBAE, SAMUEL G.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PLSC	6/30/2011
O'KEEFFE, BRED A.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	EPSY	6/30/2011
PAREKH MCGUSHIN, SERENA M	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	PHIL	8/22/2011
RODGERS, LARISA K.	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	RESIGNATION (GOOD STANDING)	REGIS	8/11/2011
ROSE, EBEN	INSTR IN RES	RESIGNATION (GOOD STANDING)	CIG	8/22/2011

**University of Connecticut Department of Human Resources**  
**Separations Processed from July 8, 2011 to September 6, 2011**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPT</b>	<b>DATE</b>
RUBIN, DEVIN N.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	PSYC	7/31/2011
RYAN, MAEVE M.	UC PROFESSIONAL 07 - INSTRUCTIONAL DEV 1	RESIGNATION (GOOD STANDING)	JOUR	8/11/2011
SANDERS, CLINTON R.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	RG CMP	8/31/2011
SANFORD, RHEA C.	ASSOC CLIN PROF	RETIREMENT (55/W 10-24 YEARS)	NURS	7/31/2011
SANTODONATO, MARCUS	UC PROFESSIONAL 07 - INFO SYS ANALYST II	RESIGNATION (GOOD STANDING)	OSP	8/19/2011
SENER, SERKAN	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	LING	7/29/2011
SHARVIT, Yael	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	LING	8/22/2011
SHELTON, DEBORAH A.	PROFESSOR	RESIGNATION (GOOD STANDING)	NURS	8/22/2011
SILEO, KATELYN M.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	CHIP	8/22/2011
SIMAO, FRANK A.	UC PROFESSIONAL 10 - FACILITIES PROF IV	RESIGNATION (GOOD STANDING)	FACIL	8/5/2011
STIGGLE-SALINA, ANN M.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	RESIGNATION (GOOD STANDING)	NURS	8/8/2011
TOMARAS, JILL M.	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	MS/MST	8/19/2011
TRIPATHI, GAUTAM	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	ECON	8/22/2011
TUCKER, SHUANA K.	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	EDLR	8/11/2011
ULRICH, HENRY T.	ASST PROF IN RES	RETIREMENT (55/W 10-24 YEARS)	SBDO	8/31/2011
WARREN, MICHELLE R.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	CHIP	8/5/2011
WELTON, ANJALE	INSTR IN RES	RESIGNATION (GOOD STANDING)	EDLR	7/28/2011
WILSON, MARK	UC PROFESSIONAL 03 - NETWORK TECHNICIAN 1	TERMINATION (LEAVE EXPIRED)	HTFD	8/18/2011
ZHANG, FENG	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	RESIGNATION (GOOD STANDING)	BURSAR	7/15/2011



**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 9/7/11**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Anderson, Tracy	UCP 6 - Publicity/Mktg Admin	Career Services-AUX	7/21/11-8/31/11	Maternity leave with pay
Anderson, Tracy	UCP 6 - Publicity/Mktg Admin	Career Services-AUX	9/1/11-10/3/11	Parental leave with pay
Andrew, Kathryn A.	UCP 7 - Acad Counselor/Coor	Human Dev/Fam Studi&R	8/1/11-9/12/11	Maternity leave with pay
Andrew, Kathryn A.	UCP 7 - Acad Counselor/Coor	Human Dev/Fam Studi&R	9/13/11-9/26/11	Parental leave with pay
Andrew, Kathryn A.	UCP 7 - Acad Counselor/Coor	Human Dev/Fam Studi&R	9/27/11-11/18/11	Parental leave without pay
Boltseridge, Rachel G	UCP 4 - Teacher CDL	Human Dev/Fam Studi&R	08/22/11-08/26/11	Parental leave with pay
Boltseridge, Rachel G	UCP 4 - Teacher CDL	Human Dev/Fam Studi&R	8/29/11-9/30/11	Parental leave without pay
Bruchac, Margaret M	Assistant Professor	Anthropology-Avery Point	8/23/11-8/22/12	Fellowship leave with pay
Caner, Daniel F	Associate Professor	Languages	8/23/11-8/22/12	Research leave with pay
Copolla, Marie	Assistant Professor	Psychology	7/21/11-9/4/11	Maternity leave with pay
Dolat, Melanie	UCP 6 - Program Spec I	VP Grad Ed & Dean	7/11/11-9/5/11	Maternity leave with pay
Dolat, Melanie	UCP 6 - Program Spec I	VP Grad Ed & Dean	9/6/11-10/18/11	Parental leave with pay
Doonan, Brian M	U Staff Prof III	Controller Ofc	8/26/11-9/16/11	Medical leave with pay
Guenoun, Solange	Professor	Modern & Class Language	5/12/11-12/31/11	Medical leave with pay
Hastillo, Abigail W	UCP 4 - Program Assistant II	Integrative Geoscience	7/18/11-9/12/11	Maternity leave with pay
Hastillo, Abigail W	UCP 4 - Program Assistant II	Integrative Geoscience	9/13/2011-10/26/11	Parental leave with pay
Hastillo, Abigail W	UCP 4 - Program Assistant II	Integrative Geoscience	10/27/11-12/1/11	Parental leave without pay
Jackson, Rachel L	UCP 4 - Program Assistant II	Human Rights Institute	7/1/11-8/12/11	Maternity leave with pay
Joo, Kyungseon	Associate Professor	Physics	8/2/11-8/1/12	Leave with pay
Kucko, Sheila	UCP 6 - Program Admin	Asian American Cntr	8/16/11-8/19/11	Medical leave with pay
Lubell-Brand, Jessica D	Assistant Professor	Plant Sci & Landscape	7/28/11-9/7/11	Maternity leave with pay
Lubell-Brand, Jessica D	Assistant Professor	Plant Sci & Landscape	9/8/11-10/5/11	Parental leave without pay
Musgrave Blasiak, Mary	Professor	Plant Sci & Landscape	7/11/11-8/11/11	Medical leave with pay
Pappademos, Melina A	Assistant Professor	History	8/23/11-8/22/12	Fellowship leave with pay
Riley, Lisa	UCP 8 - Executive Asst I	Capital Projs/Contracts	8/31/11-9/12/11	Medical leave without pay
Trumbull, Nathaniel S	Assistant Professor	Geography	9/30/11-12/31/11	Fellowship leave with partial pay - Revised dates
Wielandt, Melissa A	UCP 6 - Program Admin	Athletics Ticket Ofc	7/20/11-9/14/11	Maternity leave with pay
Wielandt, Melissa A	UCP 6 - Program Admin	Athletics Ticket Ofc	9/15/11-10/27/11	Parental leave with pay
Young, Paul A	UCP 5 - Program Coord	Business Dean Ofc	7/19/11-8/19/11	Paternity leave with pay



## **AGENDA**

### **Meeting of the BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE**

September 20, 2011, 11:00 a.m.

University of Connecticut

Merlin D. Bishop Center

Storrs, CT

*[/\*Note: Project Update Presentations will be made at approximately 10:30 a.m.  
for both the BGE and CMOC Committees.]*

#### **\*INFORMATION/DISCUSSION ITEMS:**

- 1) Bioscience Connecticut – Construction and Renovation Projects
- 2) Tech Park Update
- 3) Project Updates

#### **ACTION ITEMS:**

- 4) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of July 20, 2011, as circulated
- 5) Electric Distribution Easement to CL&P – Greater Hartford Campus

#### **EXECUTIVE SESSION** (Anticipated)

*\*Note: Project Update Presentations will be made at approximately 10:30 a.m.*

**AGENDA**  
**Meeting of the**  
**CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE**  
**September 20, 2011, 10:00 a.m.**  
**UNIVERSITY OF CONNECTICUT**  
**Bishop Center, Room 7ab, Storrs, Connecticut**

**EXECUTIVE SESSION:** *(Anticipated)*

**PUBLIC COMMENTS:**

**ACTION ITEMS:**

1. Approval of the Minutes of the Construction Management Oversight Committee Meeting held on July 20, 2011.

**PRESENTATIONS AND DISCUSSIONS:**

2. Quarterly Report on Construction Performance Reported by the Office of Construction Assurance
3. Update by Ken Egeberg, Associate Vice President of Architectural, Engineering & Building Services (AEBS) and Mathew Larson, Director of Procurement Services, on Capital Project and Contract Administration (CPCA) on department related topics.
4. Status of Code Correction Projects:
  - A. Construction Management Oversight Committee Monthly Code Inspection Status Report – Comments/Questions
  - B. Office of the State Fire Marshall Legacy Status Report
  - C. Progress on Completion of Reports for Category 4 and Presentation of Implementation And Corrections
5. Presentation by Audit, Compliance & Ethics – 2010 report as prepared by Marcum & Company
- \*6. July 2011 Quarterly Construction Status Report – Comments/Questions
- \*7. Project & Building Design Status Report - Selected Major Projects
- \*8. Bioscience Connecticut-Construction and Renovation Projects
- \*9. Tech Park

**NEW BUSINESS**

**\*Note:** Presentation of these Agenda items will be made jointly to BG&E and CMOC committee members following CMOC meeting.

**Committee on Institutional Advancement  
University of Connecticut – Board of Trustees**

*Tuesday, September 27, 2011*  
4:00 PM  
Teleconference

1. IA Committee Membership.....Mr. Treibick  
**Attachment 1**
2. Acceptance of the Minutes of May 31, 2011..... Mr. Treibick  
**Attachment 2**
3. Acceptance of the Minutes of August 2, 2011.....Mr. Treibick  
**Attachment 3**
4. Development Report ..... Mr. Martin  
**Attachment 4**
5. Named Gift Opportunities..... Mr. Martin  
**Attachment 5**
6. Review of Named Gift Guidelines..... Mr. Martin  
**Attachment 6**
7. Naming Recommendation..... Mr. Treibick  
**Attachment 7**
- Academic:**  
**Neag School of Education**  
Neag Endowed Professorship
8. Alumni Update ..... Ms. Lewis  
**Attachment 8**
9. Communications Update ..... Mr. Walter  
**Attachment 9**
10. IA Committee Meeting Schedule ..... Mr. Walter  
**Attachment 10**
11. Legislative Update..... Ms. Garber  
Ms. Lombardo

Teleconferencing Instructions

- Dial 877-917-5780. The password is **ADVANCE**. Conference Leader: **Richard Treibick**.
- The operator will ask for your name, announce to other participants that you are joining the conference and you will be connected.

**UNIVERSITY OF CONNECTICUT  
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE  
September 28, 2011**

**Bishop Center, Room 19, Storrs Campus  
1:30 PM**

**AGENDA**

- |    |   |                                    |
|----|---|------------------------------------|
| 1. | Call to order                                     | Trustee Bailey                     |
| 2. | Review and Approval of minutes of 4/13/11 meeting | Trustee Bailey                     |
| 3. | Review and Approval of 2012 Meeting Schedule      | Trustee Bailey                     |
| 4. | Chairwoman's Report                               | Trustee Bailey                     |
| 5. | Graduate Student Senate Constitution Changes      | Christine Wilson                   |
| 6. | Vice President for Student Affairs Report         | John Saddlemire                    |
| 7. | Student Trustees Report                           | Trustee Scianna<br>Trustee Buckman |
| 8. | Other Business                                    | All                                |
| 9. | Adjournment                                       | Trustee Bailey                     |



**AGENDA**  
Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**

Wednesday, September 28, 2011

Bishop Center, Room 7

Storrs, CT

9:30 a.m. – 10:00 a.m.

	<b><u>COMMITTEE</u></b>	<b><u>ATTACHMENT</u></b>
1) Approval of the Minutes of the Academic Affairs Committee Meeting of August 3, 2011 as circulated	A	

**ACTION ITEMS:**

2) Approval of Sabbatical Leave Modifications	3
3) Appointment of Dr. Sally M. Reis to the Letitia Neag Morgan Chair for Educational Psychology in the Neag School of Education	4
4) Renaming of the Neag Professorship in Adult Learning to the Neag Endowed Professorship in the Neag School of Education	22
5) Notification of Proposed Changes to the <i>By-Laws of the University of Connecticut</i> (Article IX-The University Senate)	5
6) Notification of Proposed Changes to the <i>By-Laws of the University of Connecticut</i> (Article XIV-The University Staff, Section K - Retirement)	6
7) Approval to Amend the <i>By-Laws of the University of Connecticut</i> (Article VII-The Provost and Executive Vice President for Academic Affairs and the Vice Presidents)	7
8) Approval of the Professional Science Master's (PSM) Degree in Health Care Genetics in Allied Health in the College of Agriculture and Natural Resources	8
9) Modification to the Doctor of Philosophy Degree Program in Learning, Leadership, and Education Policy (LLEP) in the Neag School of Education	9
10) Modifications to the Doctor of Philosophy, M.A. and Sixth-Year Certificate Programs in Educational Psychology in the Neag School of Education	10

**INFORMATIONAL ITEM:**

11) Five-Year Review of Academic Centers and Institutes <u>Recommended for reclassification:</u> Center for Actuarial Sciences	12
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**PRESENTATION ITEM:**

- |   |  |
|---|--|
| 12) Laramie Smith, Doctoral Student, Social Psychology, National Research Service Pre-Doctoral Fellowship Award Recipient |  |
|---|--|





**MINUTES**  
**MEETING OF THE ACADEMIC AFFAIRS COMMITTEE**  
**August 3, 2011**

Trustees Present: Archambault, Bailey, Burrow, Dennis-LaVigne, Drotch, Jacobs, McHugh, Schmitt, Scianna, Shepperd

Staff Present: Bansal, Biancamano, Callahan, Cooper, Feldman, Gray, Hiskes, Lowe, McDowell, Melvin, Moiseff, Nicholls, Rubin, Saddlemire, Singha, Stwalley, Walker, Zirakza

Committee Chairman Jacobs convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Ballroom, Storrs Campus. On a motion by Committee Chairman Jacobs, seconded by Trustee Archambault, the minutes of the April 13, 2011 meeting were approved as circulated.

Provost Nicholls introduced ***Action Item #2, Approval of Tenure at Hire***. Moved by Trustee Archambault, seconded by Trustee Scianna, the Committee recommended the approval of the tenure at hire requests to the full Board.

Provost Nicholls introduced ***Action Item #3, Approval of Sabbatical Leave Requests***. Moved by Trustee Scianna, seconded by Trustee Shepperd, the Committee recommended the approval of the sabbatical leave requests to the full Board.

Provost Nicholls introduced ***Action Item #4, Designation of Emeritus Status***. Moved by Trustee Archambault, seconded by Trustee Scianna, the Committee recommended the approval of the emeritus designations to the full Board.

Provost Nicholls introduced ***Action Item #5, Reappointment of Dr. Kathleen Segerson to the Philip E. Austin Endowed Chair in the College of Liberal Arts & Sciences***. Provost Nicholls remarked that this endowment was originally established following the retirement of President Austin, and Dr. Segerson – a renowned international scholar on environmental economics – was the initial appointee. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the reappointment to the full Board.

Provost Nicholls introduced ***Action Item #6, Appointment of Dr. Nicholas H. Lurie to the ING Global Professorship in the School of Business***. Provost Nicholls informed the Committee that Dr. Lurie is an Associate Professor. Committee Chairman Jacobs noted the important contributions of Dr. Lurie. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the appointment to the full Board.

Provost Nicholls introduced ***Action Item #7, Establishment of the Letitia Neag Morgan Chair for Educational Psychology in the Neag School of Education***. Provost Nicholls noted that the chair was named in honor of Ray Neag's sister, Letitia Neag Morgan. The Institutional Advancement Committee approved the chair on May 31, 2011. Moved by Trustee Shepperd, seconded by Trustee Archambault, the Committee recommended approval to the full Board.

Provost Nicholls introduced ***Action Item #8, Establishment of the John and Carla Klein Professorship for Urban Education in the Neag School of Education***. Provost Nicholls noted that the endowment was established by the Klein Family Foundation. The Institutional Advancement Committee approved

the professorship on May 31, 2011. Moved by Trustee Scianna, seconded by Trustee Shepperd, the Committee recommended approval to the full Board.

Provost Nicholls introduced ***Action Item #9, Establishment of four Connecticut Clean Energy Fund (CCEF) Professorships in the School of Engineering.*** Provost Nicholls informed the Committee that when the Connecticut Legislature established the Eminent Faculty Program in Sustainable Energy, there was a requirement for the University to raise a matching two-million dollars. The Connecticut Clean Energy Fund has been a strong supporter of that program, and there is 2.8 million dollars in the account. The Institutional Advancement Committee approved the professorships on May 31, 2011. Committee Chairman Jacobs noted the importance of all the endowments to the University's academic mission. Moved by Trustee Shepperd, seconded by Trustee Archambault, the Committee recommended approval to the full Board.

Provost Nicholls introduced ***Action Item #10, Notification of Proposed Changes to the By-Laws of the University of Connecticut (Article IX-The University Senate).*** Provost Nicholls noted that the proposed changes will align current University Senate practices with the *By-Laws*. The proposed changes were approved by the University Senate on February 28, 2011. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the approval of the notification to the full Board.

Provost Nicholls introduced ***Action Item #11, Notification of Proposed Changes to the By-Laws of the University of Connecticut (Article VII-The Provost and Executive Vice President for Academic Affairs and the Vice Presidents).*** Provost Nicholls remarked that the proposed changes to Article VII addressed the Provost's role scheduling University Senate meetings. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the approval of the notification to the full Board.

Provost Nicholls introduced ***Action Item #12, Approval of the Doctor of the Science of Law (S.J.D.) within the School of Law.*** Provost Nicholls informed the Committee that the proposed program was approved by the faculty of the Law School and the Council of Deans. The Provost anticipates that the program will be relatively small, and its goal is to attract international law students to the Law School. Provost Nicholls introduced Jeremy Paul, Dean of the Law School, who informed the Committee that the S.J.D. is an equivalent of a Ph.D. in law. It is not an imperative degree in the United States, but applicable to international students and law. Following the completion of an LL.M degree from the Law School, the S.J.D. would be obtained – within twelve to eighteen months – with the successful completion of a dissertation. No additional courses, faculty, or funds are required to establish the program. Moved by Trustee Shepperd, seconded by Trustee Scianna, the Committee recommended approval to the full Board.

Provost Nicholls introduced ***Action Item #13, Approval of the Human Rights Major in the College of Liberal Arts and Sciences.*** Provost Nicholls noted the importance of Human Rights study at the University, the number of elite faculty and their research at UConn, and the strong academic Human Rights minor. Approval of a major in Human Rights – an area highlighted in the Academic Plan – improves the quality and depth of the University's commitment to Human Rights studies, research and awareness. The funding requirements have been accounted for in the proposal; one or two new joint-appointment faculty lines are needed to advance and strengthen the program. Provost Nicholls introduced Dr. Richard A. Wilson, Gladstein Chair of Human Rights and Director of the Human Rights Institute, who informed the Committee of the stability of the Human Rights minor, which consistently has 85 students enrolled each year. Support for the program comes from students, the administration and faculty who sponsor and supervise student internships locally and in areas like India and South Africa.

Committee Chairman Jacobs thanked Dr. Wilson for his leadership and noted the importance of Human Rights at the University. Trustee Archambault commented on Dr. Wilson's strong leadership and the leadership of Dr. Richard Hiskes, Director of the Human Rights minor and Director of the proposed Human Rights major. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended approval to the full Board.

Provost Nicholls introduced ***Action Item #14, Modification to change the M.A. degree in Kinesiology to a M.S. degree in the Neag School of Education.*** Provost Nicholls informed the Committee that this change would align the current B.S. degree in Kinesiology with a M.S. degree, removing the current B.S. and M.A. inconsistency, and strengthen recruitment, and bring the University into line with current practices of other major universities. Moved by Trustee Scianna, seconded by Trustee Shepperd, the Committee recommended approval of the modification to the full Board.

Provost Nicholls introduced ***Action Item #15, Modification to the Geographic Information System Graduate Certificate Program in the College of Liberal Arts & Sciences.*** Provost Nicholls informed the Committee that this modification would add an on-line venue, generating more revenue and meeting increased demand for the certificate program. Trustee Archambault asked the Provost about the number of on-line programs at the University. Provost Nicholls responded it is a growing number and a revenue generator. Trustee Drotch asked if on-line programs are initiated centrally or departmentally. Provost Nicholls noted that on-line programs are used for undergraduates during summer sessions, working professionals for Master's programs, and for the last two to three years, the schools and colleges have initiated and directed on-line programs. However, initiatives will begin to move centrally as the academic and financial benefits are more widely recognized. Committee Chairman Jacobs commented that he was impressed by the vast infrastructure, ease and real-time accessibility of on-line programs at a recent visit to another university. Moved by Trustee Scianna, seconded by Trustee Shepperd, the Committee recommended approval of the modification to the full Board.

Provost Nicholls introduced ***Action Item #16, Renaming the Women's Studies Certificate to Feminist Studies Graduate Certificate in the College of Liberal Arts & Sciences.*** Provost Nicholls noted that the name change would accurately describe the curriculum, and align the program with national trends and with peer institutions. Moved by Trustee Archambault, seconded by Trustee Dennis-LaVigne, the Committee recommended approval of the renaming to the full Board.

Provost Nicholls introduced ***Action Item #17, Renaming the Agronomy Graduate Concentration in the Plant Science Field of Study to Agronomy and Turfgrass Science in the College of Agriculture and Natural Resources.*** Provost Nicholls remarked that the name change reflects the current specialized focus on Turfgrass in the College. Committee Chairman Jacobs asked if this name change was too specific. Provost Nicholls asserted that the growing specialization would attract more eminent faculty in the field, further strengthening the program. Trustee Nayden asked how many students study in the Agronomy and Turfgrass Science program. The Provost introduced Dr. Karl Guillard, Coordinator of the Turfgrass Science program in Plant Science and Landscape Architecture, who noted that the program now has twenty-five undergraduates and five to six graduate students enrolled. Furthermore, Dr. Guillard remarked that the name change would allow the program to align the names of its undergraduate and graduate programs, and attract and retain faculty pursuing research in Turfgrass. Dr. Anne Hiskes, University Senate Representative, asked how Turfgrass Science interfaces with environmental initiatives, as environmentalism is a feature of the current Academic Plan. Dr. Guillard responded that environmental efforts are significant to the program, including landscape management, nutrient and pesticide inputs, water usage and sustainable energy research. Committee Chairman Jacobs asked if Turfgrass Science – beyond a natural phenomenon – deals with synthetics. Dr. Guillard

remarked that artificial surface experts have been recent hires in the program, and they have been asked for their input regarding installing artificial surfaces. Moved by Trustee Archambault, seconded by Trustee Dennis-LaVigne, the Committee recommended approval of the renaming to the full Board.

Provost Nicholls introduced ***Informational Item #18, Nonprofit Leadership Concentration and Employment and Labor Studies Concentration within the Bachelor of Professional Studies Program in the Center for Continuing Studies.*** Provost Nicholls noted that the programs were developed in response to increased demand.

Provost Nicholls introduced ***Informational Item #19, Approval of two Non-Degree Certificates, (1) Occupational Safety and Health and (2) Web Technology, in the Center for Continuing Studies.*** Provost Nicholls informed the Committee that the certificates were developed in response to increased demand. Committee Chairman Jacobs asked for clarification on the difference between degree certificates and non-degree certificates. Provost Nicholls responded that degree certificates were a part of a degree program, where a student would receive a Bachelor's degree from the University. Non-degree certificate recipients do not receive a degree, but there is a professional advantage to receive the certificate. Trustee Shepperd asked if the non-degree certificate programs are offered at the regional campuses. Provost Nicholls introduced Dr. Douglas Cooper, Vice Provost for Undergraduate Education, who remarked that the non-degree certificate programs are largely taught on-line, and, therefore, available to all University students. A few non-degree certificate programs are only taught face-to-face on the Storrs campus.

Provost Nicholls introduced ***Informational Item #20, Tenure-track reappointments.***

Provost Nicholls introduced ***Informational Item #21, Five Year Review of Academic Centers and Institutes.*** Provost Nicholls provided the Committee with updates and information on the following seven Centers and Institutes: Asian American Studies Institute, Center for Biochemical Toxicology, Human Rights Institute, Humanities Institute, Institute for African American Studies, Center for Pharmaceutical Processing Research, and the Institute of Puerto Rican and Latino Studies.

Provost Nicholls introduced ***Presentation Item #22, NEASC Update, Karla H. Fox, Professor and Executive Director, Office of Institutional Effectiveness.*** Dr. Fox described the findings, appraisals and projections of the 2011 interim fifth-year NEASC CIHE report. Dr. Fox explained varying types of accreditation and the need for NEASC accreditation. Moreover, Dr. Fox noted the increasing student to faculty ratio of 18:1. Committee Chairman Jacobs noted that a plan is needed to reduce that number to 15:1, the goal set in the Academic Plan. Dr. William Stwalley, University Senate Representative, noted that the faculty number given included temporary as well as permanent faculty.

With no further business, Committee Chairman Jacobs adjourned the meeting at 10:00 a.m.

Respectfully submitted,

Brandon Murray  
Committee Secretary



**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
September 28, 2011 at 10:00 a.m  
University of Connecticut  
Merlin D. Bishop Center, Room 7  
Storrs, Connecticut

Revised

**ATTACHMENT LOCATION**  
**COMMITTEE**    **FULL BOARD**

**EXECUTIVE SESSION:**

- 1) Executive Session anticipated

**PRESENTATION ITEM:**

- 2) FY 2012 Budget Presentation

**ACTION ITEMS:**

- |   |   |    |
|---|---|----|
| 3) Approval of the Minutes of the Financial Affairs Committee Meetings of June 23, 2011 and August 3, 2011, as circulated | A |    |
| 4) Contracts and Agreements for Approval  |   | 1  |
| 5) Annual Report of the Endowed Chair Program Entitled "Aetna English Chair in Writing"                                   |   | 2  |
| 6) Spending Plan for Fiscal Year 2012 for the University of Connecticut, Storrs and Regional Campuses                     |   | 13 |
| 7) Spending Plan for Fiscal Year 2012 for the University of Connecticut Health Center                                     |   | 20 |
| 8) Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and Equipment Expenditures                              |   | 14 |

**Project Budgets for Approval:**

	<i>Project</i>	<i>Phase</i>	<i>Budget</i>	<i>Tab</i>
9)	Avery Point Building 58 Demolition	Final	\$570,000	
10)	Castleman Building Drainage Repair	Final	\$550,000	15
11)	Law School Renovations/Improvements – Knight Hall, Room 215 – Classroom Renovation	Final	\$575,000	16
12)	Small Capital Projects Fund	Final	\$1,500,000	17
13)	UCHC Main Building Cooling System, Chiller Replacement	Final	\$4,945,000	18
14)	West Hartford Campus Renovations/ Improvements – Electrical Switchgear Replacement	Revised Final	\$950,000	19

**ATTACHMENT  
LOCATION  
COMMITTEE    FULL BOARD**

**DISCUSSION ITEM:**

15) Report of McKinsey & Company, Inc.	(To be Distributed)
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**INFORMATION ITEMS:**

16) Contracts and Agreements for Information	B
17) Master Schedule for UCONN 2000 Phases I, II & III	(Under Separate Cover)
18) Construction Project Status Report	(Under Separate Cover)





**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**August 3, 2011**

TRUSTEES PRESENT: Archambault, Bailey, Buckman, Dennis-LaVigne, Drotch, Gandara, Jacobs, Kruger, McHugh, Nayden, Reviczky, Ritter, Scianna, Shepperd and Wilson

STAFF PRESENT: Austin, Biancamano, Callahan, Carone, Choi, Cooper, Eaton, Feldman, Fox, Gilbertson, Gray, Herbst, Kirk, Locke, Lowe, McDowell, Melvin, Nicholls, Orr, Roe, Roelfs, Roy, Rubin, Saddlemire, Singha, Sullivan, Summerer, Teal, Walter, Walker, Wetstone, and Wrynn

SENATE PRESENT: Bansal, Hiskes, Moiseff, Stwalley, Zirakzadeh

Peter Drotch, Committee Chairman, convened the meeting of the Financial Affairs Committee on August 3, 2011 at 10:00 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. He directed the committee to the supplemental documents which included a revised agenda. The agenda was revised to add two presentation items. On a motion by Trustee Drotch and seconded by Trustee Archambault the revised agenda was accepted as presented.

On a motion by Trustee Drotch and seconded by Trustee Archambault the committee voted unanimously to go into Executive Session at 9:03 a.m. to discuss financial information given in confidence and preliminary drafts and notes that the University has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure. Mr. Drotch requested that only Trustees and specific staff members attend Executive Session.

Trustees present for Executive Session were: Archambault, Bailey, Dennis-LaVigne, Drotch, Gandara, Jacobs, Kruger, McHugh, Nayden, Reviczky, Ritter, Scianna, Shepperd and Wilson

University staff present for Executive Session were: President Herbst, Rachel Rubin, Richard Orr, Philip Austin, Richard Gray, Barry Feldman, Peter Nicholls, John Saddlemire, Thomas Callahan, John Biancamano, Lisa Danville, Paul McDowell, Lysa Teal, Roxanne Roy, Reka Wrynn, Debbie Carone, Michael Walker and Cheryl Chiaputti. Assistant Attorney General Michael Sullivan was also present.

Executive Session ended at 10:18 a.m. Mr. Drotch reconvened the meeting of the Financial Affairs Committee at 10:18 a.m.

Trustee Drotch directed the committee to agenda *Item #3, Contracts and Agreements for Approval*. On a motion by Trustee Drotch and seconded by Trustee Archambault the item was recommended to the full Board for approval.

Agenda Item #4, *Fiscal Year 2012 College of Liberal Arts and Sciences On-Line Graduate Courses in Geographic Information Systems (Institutional Fee)* was introduced. Provost Nicholls informed the committee that this fee is associated with an on-line course approved earlier in the day by the Academic Affairs Committee. On a motion by Trustee Drotch and seconded by Trustee Archambault the item was recommended to the full Board for approval.

Mr. Drotch introduced the project budgets for approval. Alexandria Roe, Director of Planning, provided a PowerPoint presentation describing each of the projects presented for approval. On a motion by Trustee Drotch and seconded by Trustee Archambault revised agenda Item #s 5-16 as listed were recommended to the full Board for approval. Projects approved included: ***Project Cancellation – Project Budget for Fine Arts Phase II – Core Building (Planning-Cancellation: \$1,200,000); Fine Arts Phase II – Renovation and Improvements (Planning: \$21,600,000); Pedestrian Safety Improvements – CUE/Gentry Corridor (Planning: \$1,240,350); Public Safety Complex Improvements (Planning: \$3,000,000); Biobehavioral Complex Replacement (Renovation) (Revised Planning: \$3,000,000); Engineering Building (Revised Planning: \$60,500,000); Old Central Warehouse (Revised Planning: \$2,126,000); Law School Renovations/Improvements – Knight Hall, Room 215 – Classroom Renovation (Design: \$575,000); McMahon Dining Hall Renovations (Design: \$8,143,704); Tie in Drum Heater on Boilers at CUP (Central Utility Plant) (Final: \$104,500); Community Professional Building Renovation – Avery Point Campus (Revised Final: \$1,358,165) and Residential Life Facilities – Connecticut Commons Complex Renovations (Revised Final: \$6,250,000).***

Provost Nicholls provided an update to the committee on the Strategic Higher Education Redesign and Transformation Initiative currently underway by McKinsey & Company. McKinsey has been on campus since February and will continue for a few more weeks. A steering committee consisting of many university constituencies has been working with McKinsey. They have been provided a great deal of data on the institution. Several McKinsey subject matter specialists as well as two partners and many staff members have been on campus. They have met with Dr. Feldman, Mr. Gray and Dr. Nicholls on a weekly basis and have been providing data and preliminary recommendations. Main areas of review include areas of cost reduction and revenue enhancements. They are not looking at the academic side of the university or the Health Center. The main areas where improvements will be recommended include purchasing, information technology and facilities management. There are other areas that will have recommendations for improvements. McKinsey have suggested looking at summer month utilization including proposal of a different calendar model. Improvements will occur with time, leadership, management and consultation with various constituencies. Mr. Drotch asked if the changes suggested would also require some significant investment. Dr. Nichols responded that several of the potential savings could only be realized with initial investment. Mr. Drotch added that these types of savings are often seen in a reduction of workforce and he asked how the SEBAC agreement would affect those savings. The SEBAC agreement calls for no layoffs for four years. Dr. Nichols stated that the agreement would definitely affect the timeline for potential savings.

Trustee Ritter asked if the University utilizes any DAS purchasing agreements or the University System in order to save money. Dr. Feldman stated that the University does utilize some of the DAS purchasing agreements. Enhanced purchasing collaboration between Storrs and the Health Center is being implemented. The university also participates in various consortiums that allow for better pricing.

Trustee Nayden recommended that the consultants provide a final update to the committee before the final report is published, and any finding involving investment needs to provide a payback analysis.

Mr. Gray introduced Charlie Eaton, Controller, who provided a PowerPoint presentation on the University's Financial Reporting System IT Update. The Kuali Financial System program has been through an evaluation process with the Office of Policy and Management and the program is proceeding. Mr. Eaton stated that it is anticipated that Kuali will have an end result of finding more efficient processing of all financial transactions at the university. Kuali is a growing community of universities, colleges, businesses, and other organizations that have partnered to build and sustain open-source software for higher education, by higher education. Kuali software is designed to meet the needs of all sizes of institutions, from land-grant research universities to community colleges. The members of the Kuali Community share a common vision of open, modular, and distributed systems for their software requirements. The university's 20 year old Legacy Finance System (FRS) no longer supported and must be replaced. Kuali Financial System (KFS) is based on industry leading technologies, which reduces technology risk associated with the application. The KFS technologies and architecture provide for ease of maintenance and customization. A highly collaborative community of higher education institutions provides an ongoing support base. The anticipated initial and ongoing investment costs for KFS are lower compared with commercial off-the-shelf software for higher education administrative solutions. The Kuali software is free.

When reviewing financial software packages the University looked at Banner; which is the system utilized by the Health Center. Core-CT, the State's financial system, was also reviewed but it was determined that it did not meet the needs of the University as closely as the Kuali system did. A 2005 synergy study was performed and it was determined that there would be no requirement to have same financial system as the Health Center. A number of workflows have been developed for the university in Kuali. The most important evaluation was done by over 135 university employees from many areas of the university. The evaluation allowed members of the university to sample the system utilizing UConn data. The consensus of the test group was to move forward with the program. Staff visited Colorado State who is utilizing the program. It was determined that the program is functional, fits the needs of UConn and is a viable system. After this was determined a presentation was made to President Austin's senior administrative team, received permission to move forward and an RFP was issued.

The university is a partner in the Kuali Financial System (KFS) community with voting membership on the KFS Board and the KFS Functional Council; as well as a participant on KFS Technical Committee. Other KFS partners include Colorado State University, Cornell University, Indiana University, Michigan State University, The University of Arizona, University of California-Davis, University of Connecticut, University of Hawaii, University of Maryland, University of Southern California and rSmart. UConn has established a Kuali Oversight Committee which encompasses all levels of oversight including the Vice President and Chief Financial Officer.

The project scope includes three components: Kuali Financial System, Financial Data Mart/Reporting, and Kuali Rice. The total cost for all three projects is \$16.4M (including contingency). The contract was approved by the Board of Trustees in November 2010. The project began February 1,

2011 and the go-live target is July 1, 2012. The budget includes the rSmart contract (a fixed price contract awarded pursuant to an RFP process), new staff (both permanent and temporary), hardware, software, UConn travel, KFS Partnership and Kualu Foundation Membership. The contract is currently on budget for project period costs with no change orders contemplated to date.

Trustee Drotch directed the committee to the remaining information items listed on the agenda. On a motion by Trustee Drotch and seconded by Trustee Archambault the meeting was adjourned at 11:10 a.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone  
Secretary to the Committee

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**June 23, 2011**

TRUSTEES PRESENT: Archambault (*via telephone*), Bailey, Burrow, Dennis-LaVigne, Drotch, Jacobs, Martinez, McHugh, Nayden, Ritter, and Shepperd

TRUSTEES ELECT: Buckman, Gandara, Kruger

STAFF PRESENT: Aggison, Andrews, Bavier, Biancamano, Bull, Callahan, Carone, Chiaputti, Choi, Cooper, Egeberg, Feldman, Fox, Gray, Hathaway, Herbst, Kirk, Laurencin, Locke, McDowell, McGee, Melvin, Munroe, Nicholls, Roy, Rubin, Saddlemire, Schurin, Singha, Sitkowski, Sullivan, Summerer, Teal, Urban, Walter, Wetstone, and Wrynn

SENATE PRESENT: Bansal, Hiskes, Moiseff, Stwalley, Zirakzadeh

Peter Drotch, Committee Chairman, convened the meeting of the Financial Affairs Committee on June 23, 2011 at 9:02 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. On a motion by Trustee Burrow and seconded by Trustee Archambault the committee voted unanimously to go into Executive Session at 9:03 a.m. to discuss financial information given in confidence and preliminary drafts and notes that the University has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure. Mr. Drotch requested that only Trustees, Trustees-elect and specific staff members attend Executive Session.

Trustees present for Executive Session were: Archambault (*via telephone*), Bailey, Burrow, Dennis-LaVigne, Drotch, Jacobs, Martinez, McHugh, Nayden, Ritter, and Shepperd

Trustees-elect present for Executive Session were: Buckman, Gandara, Kruger

University staff present for Executive Session were: President Herbst, Richard Gray, Barry Feldman, Peter Nicholls, Rachel Rubin, Donna Munroe, Ron Schurin, Nancy Bull, Suman Singha, John Saddlemire, Jim Walter, Cato Laurencin, Tom Callahan, Paul McDowell, Lysa Teal, Roxanne Roy, Reka Wrynn, John Biancamano, Debbie Carone, Lee Melvin, Jean Main, and Cheryl Chiaputti. Assistant Attorneys General Ralph Urban and Michael Sullivan were also present.

Executive Session ended at 10:03 a.m.

Committee Chairman Peter Drotch reconvened the meeting of the Financial Affairs Committee at 10:04 a.m. He directed the committee to a revised agenda and asked for a motion and a second to accept the removal of revised agenda Item #5, *Spending Plan for Fiscal Year*

**2011 for the University of Connecticut, Storrs and Regional Campuses** and revised agenda Item #6, **Spending Plan for Fiscal Year 2012 for the University of Connecticut Health Center** from the agenda. On a motion by Trustee Martinez and seconded by Trustee Burrow the items were removed. Mr. Drotch then called for a motion and a second to add the Temporary Expense Spending Plans for the Storrs based programs and the Health Center to the agenda; **Temporary Expense Spending Plan for Fiscal Year 2012 for the University of Connecticut, Storrs & Regional Campuses and Temporary Expense Spending Plan for Fiscal Year 2012 for the University of Connecticut Health Center**. On a motion by Trustee Burrow and seconded by Trustee Martinez the items were added to the agenda.

Barry Feldman, Vice President and Chief Operating Officer, stated that there is considerable continued support from the State of Connecticut to make major capital investments in the University. The FY12 UCONN 2000 Capital Budget totals \$157.2 million. Some of which includes the Health Center's new Bioscience Connecticut project. One of the projects included in the budget is \$95 million for the construction of two new classroom buildings on the Storrs campus. One of the two classroom buildings (West Building) is due to open later in the summer. The building contains approximately 70,000 square feet of classroom space. The second classroom building (East Building) will contain 130,000 square feet of classroom, auditoria and office space. It is expected to be open in August 2012. There is also a new tech park (Innovation Partnership Building) to be built on the Hillside Road extension. The Hillside Road extension is anticipated to be built and completed in 2013. The University is in the process of managing the planning for the tech park, which will include infrastructure of technology buildings anticipated in the same area.

There are a number of planning and construction efforts ongoing including planning of an addition to the Psychology building as well as an addition to the Floriculture Greenhouse on Rte 195. In the last 12-18 months there has been in excess of \$200 million in projects planned or open built at the University. There has been some concern regarding whether UConn is ready to deal with the significant investments the State is making in UCONN 2000 as well as the new Tech Park and the Bioscience Connecticut construction project. Dr. Feldman stated his reassurance adding that in 2004-05 the University undertook a review of the procurement and construction policies and practices and capable people have been hired. The infrastructure and ability to deliver these projects is available at the university. The University is able and capable to deliver and execute the construction of these projects. There may be a need for additional help and the university is in the process of identifying what types of additional resources may be necessary. Trustee Nayden asked who has accountability for the new tech building and once constructed who will occupy the building. Dr. Feldman stated that the building of the project is the responsibility of the University. Provost Nicholls added that the general aim for the facilities will be collaboration between UConn scientists and engineers and the scientific community in the State. Discussions are underway to determine the exact scope.

Mr. Drotch asked if the University has been receiving interest in the tech park from industry. Provost Nicholls responded that there has been much interest. Mr. Nayden stated that this is an opportunity to create a big benefit for the University, the State and the business community. Therefore, forming a group that can be thoughtful about the occupancy mix early

on is important. Assembling the right mix of talent with a strong leader is important. President Herbst added that strong leadership in economic development is key and will be discussed.

Thomas Callahan, Health Center Interim Chief of Staff, provided a presentation on the Bioscience Connecticut Initiative. He stated that the initiative was possible due to the Governor's leadership and support as well as that of Presidents Herbst and Austin along with many others. The goal was to help jumpstart Connecticut's economy by creating jobs and by generating long-term economic growth. It is intended to provide new strategic investment and recast existing commitments by renovating existing UConn Health Center facilities to increase research capacity and productivity, increasing the number of basic and clinical/translational scientists, and expanding incubator facilities to foster new business start-ups. The project will meet the needs of Connecticut's future by increasing UConn's medical and dental school enrollment by 30 percent and establishing a loan forgiveness program to attract more graduates to practice primary care medicine and dentistry in Connecticut. The project will improve access to state of the art care by constructing a new hospital tower and ambulatory care facility, renovating the John Dempsey Hospital and implementing community-based programs within the UConn Health Network.

The plan incorporates last year's plan (Public Act 10-104) to construct a new patient tower with the same number of licensed beds (234); transfer of JDH NICU to CT Children's Hospital; and establish the initiatives of the UConn Health Network. It allows for renovation of 238,000 square feet of existing UCHC research facilities that have not been renovated in 35 years. It increases bioscience research capacity and productivity from \$100 million to \$200 million annually; renovates 28,000 square feet of existing UCHC research facilities to create new incubator space to foster new business start-ups; constructs a new, privately financed ambulatory care facility (estimated value at \$203 million and 300,000 square feet) for outpatient services; and increases the number of basic and clinical/translational scientists (by 50). The plan will increase UCHC's medical and dental school enrollments by 30 percent (150 students); and establish a loan forgiveness program to attract more graduates to practice primary care medicine and dentistry in Connecticut.

The timetable to get the project complete is six years which will begin with the new ambulatory care center. There will be an intense period of construction with four major construction projects taking place over the next six years at the Health Center. The University's Center for Economic Analysis provided an economic impact statement for the project and it is anticipated that the economic benefit would include approximately 3,000 construction jobs over the course of the six years and a total number of jobs created of 2,037 which will increase the State income. Dr. Burrow asked that the presentation materials be sent to each Trustee and Mr. Callahan agreed to do so.

Trustee Drotch directed the committee to agenda ***Item #4, Contracts and Agreements for Approval*** and stated that the reformatting of the report has been very helpful. On a motion by Trustee Martinez and seconded by Trustee Burrow the item was recommended to the full Board for approval.



Mr. Drotch stated that the University's spending plans for the Storrs based programs and the Health Center have been the subject of much discussion and due to some significant uncertainties the plans have been tabled until the August 3 Board meeting, assuming that the State budget has been settled. The uncertainty surrounds the spending support to be provided to the University by the State. On a motion by Trustee Martinez and seconded by Trustee Burrow, a ***Temporary Expense Spending Plan for Fiscal Year 2012 for the University of Connecticut, Storrs and Regional Campuses***, amended to change the last line of the resolution to list the new date of the next Board meeting as August 3, 2011, the item was recommended to the full Board of Trustees for approval. On a motion by Trustee Burrow and seconded by Trustee Martinez the ***Temporary Expense Spending Plan for Fiscal Year 2012 for the University of Connecticut Health Center*** amended to change the last line of the resolution to list the new date of the next Board meeting as August 3, 2011, the item was recommended to the full Board of Trustees for approval.

On a motion by Trustee Martinez and seconded by Trustee Burrow the ***UCONN 2000 Fiscal Year 2012 Capital Budget*** was recommended to the full Board of Trustees for approval.

Revised agenda Item #10, ***Fiscal Year 2012 Deferred Maintenance/Code/ADA Renovation Lump Sum Project List*** was introduced by Trustee Drotch, who stated that Health Center's list had previously been approved by the Health Center Board of Directors. On a motion by Trustee Martinez and seconded by Trustee Burrow the item was recommended to the full Board of Trustees for approval.

Revised agenda Item #11, ***Capital Spending Plan for Fiscal Year 2012 for the University of Connecticut Health Center***, was previously approved by the Health Center Board of Directors and on a motion by Trustee Martinez and seconded by Trustee Burrow the item was recommended to the full Board of Trustees for approval.

Trustee Drotch provided an explanation of the process requiring indenture approvals by the Board of Trustees. On a motion by Trustee Martinez and seconded by Trustee Burrow, revised agenda #12, ***Seventeenth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds***, was recommended to the full Board of Trustees for approval.

On a motion by Trustee Martinez and seconded by Trustee Burrow, revised agenda Item #13, ***Fiscal Year 2012 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses*** was recommended to the full Board of Trustees for approval.

On a motion by Trustee Martinez and seconded by Trustee Burrow, revised agenda Item #14, ***Fiscal Year 2012 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses*** was recommended to the full Board of Trustees for approval.

Mr. Drotch introduced the project budgets for approval and Dr. Feldman stated that the Technology project will be paid for with State General Obligation bonds but it would be managed as a UCONN 2000 project. The project will follow the three phase approval process. On a motion by Trustee Martinez and seconded by Trustee Burrow revised agenda Item #s 15-23

as listed were recommended to the full Board for approval. Projects approved included: ***Technology Quadrant Phase III (Planning: \$172,500,000); UCHC CT Simulator, High Dose Radiation Renovations (Planning: \$2,250,000); UCHC Dental School Renovations; Grasso Phase III - 24/7 Student Lab (Planning: \$485,000); UCHC Fire Alarm System Upgrades (Planning: \$706,000); McMahon Dining Hall Renovations (Revised Planning: \$7,810,000); UCHC Cage Processing Facility Renovations (Design: \$9,340,000); UCHC Main Building Cooling System, Chillers, 1,2 & 3 Replacement (Design: \$5,330,000); Wood Hall Facade Repairs (Final: \$1,000,000); and Koons Hall Renovation/Addition - Windows, Facade and Mechanical System Analysis (Revised Final: \$1,200,000).***

Trustee Drotch directed the committee to the remaining information items listed on the agenda. On a motion by Trustee Drotch and seconded by Trustee Burrow the meeting was adjourned at 10:49 a.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone  
Secretary to the Committee



**CONTRACTS AGREEMENTS  
FOR INFORMATION  
SEPTEMBER 28, 2011**

New Contracts with a value of \$500,000 - \$999,999 or services previously approved on a Project Budget

**PROCUREMENT CONTRACTS FOR INFORMATION - NEW**

**ORGANIZATIONAL STRATEGY & DEVELOPMENT CONTRACTS**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Hewitt Associates LLC	\$600,000	12/29/10-12/28/13	Operating Fund-General	Donna Munroe, VP of HR and Payroll	Contractor to provide Organizational Strategy & Development, Organizational Alignment and Talent Management services on an <i>on call and per project</i> basis.
2	MGT of America, Inc.	\$600,000	05/14/10-05/13/13	Operating Fund-General	Donna Munroe, VP of HR and Payroll	Contractor to provide Organizational Strategy & Development, Organizational Alignment and Talent Management services on an <i>on call and per project</i> basis.
3	Pappas Consulting Group, Inc.	\$600,000	05/21/10-05/20/13	Operating Fund-General	Donna Munroe, VP of HR and Payroll	Contractor to provide Organizational Strategy & Development, Organizational Alignment and Talent Management services on an <i>on call and per project</i> basis.
4	Right Management, Inc.	\$600,000	07/15/10-07/14/13	Operating Fund-General	Donna Munroe, VP of HR and Payroll	Contractor to provide Organizational Strategy & Development, Organizational Alignment and Talent Management services on an <i>on call and per project</i> basis.
5	Sibson Consulting	\$600,000	07/15/10-07/14/13	Operating Fund-General	Donna Munroe, VP of HR and Payroll	Contractor to provide Organizational Strategy & Development, Organizational Alignment and Talent Management services on an <i>on call and per project</i> basis.

**CONSTRUCTION CONTRACTS**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	NER Construction Management, Inc.	\$665,178	07/05/11-11/05/11	UCONN 2000	Matthew Larson, Director of Procurement Services	Contractor will furnish and install all materials, labor and equipment required to provide exterior repairs to the Wood Hall Building located at 241 Glenbrook Road, Storrs Campus. <b>(Final Budget approved by BOT on 06/23/11 - \$1,000,000 Project No. 901601)</b>

**PROCUREMENT CONTRACTS FOR INFORMATION - AMENDMENTS**

**PROFESSIONAL CONTRACT AMENDMENT**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY11	Expenditures FY10	Purpose
1	Goody Clancy & Associates, Inc.	\$42,000 [Total Contract Amount \$3,924,727]	04/12/07 until completion of project	UCONN 2000	Matthew Larson, Director of Procurement Services	\$3,856,981	\$172,067	\$642,484	Architect will provide added scope of work for two additional months of construction administration services for the Research Tower located at the University of Connecticut Health Center, Farmington <b>(Revised Final Budget approved by BOT on 09/21/10 - \$49,565,000 Project No. 901132)</b>
2	Prellwitz Chilinski Associates, Inc.	\$59,309 [Total Contract Amount \$702,309]	12/01/10-10/31/11	Multiple Sources	Matthew Larson, Director of Procurement Services	\$435,335	\$435,335	\$0	Architect will provide added scope of work for additional site plans for pedestrian and wheelchair access on the site to improve tree viability at McMahon Hall Servery at the Storrs Campus. <b>(Revised Planning Budget approved by BOT on 06/23/11 - \$7,810,000 Project No. 201357)</b>

**PROJECT BUDGET (FINAL) FOR AVERY POINT  
BUILDING 58 DEMOLITION**

**(RESOLUTION ADDED TO THE AGENDA)**



University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

September 28, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for Avery Point Building 58 Demolition (Final: \$570,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$570,000 for the demolition of Building 58 on the Avery Point campus. This will allow for completion of an addition and renovation to the existing Student Union/Academic building. An exception to the Board of Trustees three-phase budget approval process is requested due to the nature and timetable of this activity.

BACKGROUND:

The University contracted with a demolition contractor to demolish Building 58, so that the addition and renovation to the existing Student Union/Academic Building could go forward next year. The original budget was estimated at \$400,000 and therefore, did not require Board of Trustee approval. As the demolition contract was nearing completion, asbestos containing material was discovered inside the cavity of the masonry wall. In order to remediate the asbestos, the masonry walls are required to be shored and the entire building will also be encapsulated with wood sheathing. All abatement will occur within the confines of the structure as not to expose the public and employees. Within the structure much of the work will be done by hand rather than by machine. These additional measures and procedures have caused an increase of the total project cost from \$400,000 to \$570,000; therefore, requiring Board of Trustee approval.

The Final Budget is attached for your consideration and approval.

*An Equal Opportunity Employer*

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: AVERY POINT CAMPUS UNDERGRADUATE & LIBRARY BUILDING - BUILDING 58 DEMOLITION**

	<b>APPROVED PLANNING 3/2/2011 by VP&amp;CFO</b>	<b>PROPOSED FINAL 9/28/2011</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 35,500	\$ -
DESIGN SERVICES	15,000	12,000
TELECOMMUNICATIONS	-	3,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	22,000	9,200
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	270,000	501,000
INSURANCE AND LEGAL	2,500	2,500
MISCELLANEOUS	15,000	2,300
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 360,000</b>	<b>\$ 530,000</b>
PROJECT CONTINGENCY	40,000	40,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 400,000</b>	<b>\$ 570,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 PHASE III	\$ 400,000	\$ 570,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 400,000</b>	<b>\$ 570,000</b>

BOT 9.28.11  
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