1-25-2012

2012 January 25 -- Agenda and attachments

University of Connecticut

Board of

TRUSTEES

VOL. 113 JANUARY 25, 2012

MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT

AGENDA

University of Connecticut — January 25, 2012
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

BOARD OF TRUSTEES SCHEDULE OF THE DAY

9:00 a.m.	Academic Affairs Committee Meeting
9:30 a.m.	Financial Affairs Committee Meeting
10:15 a.m.	Call to Order, followed by Executive Session
11:00 a.m.	Board of Trustees Meeting (Public Session)

BOARD MEETING AGENDA

Call to Order at **10:15 a.m.**

EXECUTIVE SESSION

1. Executive Session anticipated.
2. Close Executive Session.

OPEN SESSION

Reconvene in Open Session at **11:00 a.m.**

1. Public Participation
2. Chairman's Report
   (a) Matters outstanding
   (b) Minutes of the meetings of November 10, 2011, December 19, 2011, and January 5, 2012
   (c) Consent Agenda Items:
      (1) Contracts and Agreements for the Storrs-based programs (Attachment 1)
      (2) Award of Tenure (Attachment 2)
      (3) Tenure Relocation (Attachment 3)
      (4) Sabbatical Leave Requests (Attachment 4)
      (5) Designation of Emeritus Status (Attachment 5)
      (6) Renaming of the Department of Modern and Classical Languages to the Department of Literatures, Cultures and Languages (Attachment 6)
      (7) Modification to the Graduate Fields of Study in the Department of Molecular and Cell Biology in the College of Liberal Arts and Sciences (Attachment 7)

(8) Location Modification to Offer the Teacher Certification Program for College Graduates at the Avery Point Campus (Attachment 8)

(9) Certificate in Energy and Environmental Law at the School of Law (Attachment 9)

(10) Notification of Proposed Changes to the *By-Laws of the University of Connecticut* (Article V – Committees of the Board) (Attachment 10)

(11) Sexual Assault Response Policy (Under separate cover)

3. President's Report

4. Academic Affairs Committee Report

   (a) Report on Committee activities

   (b) Informational items:

   (1) Five Year Review of Academic Centers and Institutes (Attachment 11)

   (2) Faculty Consulting Program Reports (Attachment 12)

5. Financial Affairs Committee Report

   (a) Report on Committee activities

   (b) Items requiring Board discussion and approval:

   (1) Project Budget (Planning) for the UConn Health Center (UCHC) Ambulatory Care Center (ACC) (Attachment 13)

   (2) Project Budget (Design) for Beach Hall Renovations – Façade and Roof Repair (Attachment 14)

   (3) Project Budget (Design) for Psychology Building Renovation/Addition (Attachment 15)

   (4) Project Budget (Design) for Young Building Renovation/ Addition (Attachment 16)

   (5) Project Budget (Revised Final) for McMahon Dining Hall Renovations (Attachment 17)

   (c) Informational item:

   (1) UCONN 2000 Book 33 **http://www.uc2000.uconn.edu/reports/report33/report33.pdf**

6. Health Center Report

   (a) Report on Health Center activities

7. Joint Audit and Compliance Committee Report

   (a) Report on Committee activities

8. Buildings, Grounds and Environment Committee Report

   (a) Report on Committee activities

(b) Items requiring Board discussion and approval:

   (1) Memorandum of Agreement with CIGNA Related to Internship and Use of Space in Information Technology Engineering Building (Attachment 18)

   (2) Memorandum of Understanding with the Connecticut Department of Developmental Services Related to Use of Houses at 1279 and 1340 Stafford Road, Mansfield, Connecticut (Attachment 19)

   (3) Final Environmental Impact Statement (FEIS) North Hillside Road Extension, Storrs, Connecticut (Attachment 20)

(c) Informational item:

   (1) Easement to CL&P Related to Storrs Center - Revised (Attachment 21)

9. Construction Management Oversight Committee Report

   (a) Report on Committee activities

10. Student Life Committee Report

   (a) Report on Committee activities

11. Institutional Advancement Committee Report

   (a) Report on Committee activities

   (b) Development Progress Executive Summary (Attachment 22)

12. Other

13. Adjournment

PLEASE NOTE: ***If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.***

ATTACHMENT 1

CONTRACTS AGREEMENTS
FOR APPROVAL
JANUARY 25, 2012

PROCUREMENT CONTRACTS - NEW

NATURAL GAS UTILITY CONTRACT FOR APPROVAL

No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Connecticut Natural Gas (CNG)	$36,000,000	09/01/13-08/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	Contract for the purchase of natural gas for heating, cooling and power generation for the Central Utilities and Cogeneration plants for the Storrs campus and Health Center. The term of the contract is for two (2) years with an option to extend for four (4) additional one-year terms. Gas will be purchased at market prices pursuant to the University's hedging program. Transportation costs to be negotiated annually based on market conditions.

ON-CALL CONSULTANT SERVICES - PROJECT MANAGEMENT OVERSIGHT

No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Jacobs Project Management Co.	$1,500,000	02/01/12-01/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Project Management Oversight (PMO) for design oversight, construction, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, design oversight, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support. Engagements in the program shall be project/program assignment specific.
2	McKissack & McKissack	$1,500,000	02/01/12-01/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Project Management Oversight (PMO) for design oversight, construction, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, design oversight, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support. Engagements in the program shall be project/program assignment specific.
3	Parsons Commercial Technology Group Inc.	$1,500,000	02/01/12-01/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Project Management Oversight (PMO) for design oversight, construction, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, design oversight, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support. Engagements in the program shall be project/program assignment specific.
4	Strategic Building Solutions, LLC	$1,500,000	02/01/12-01/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Project Management Oversight (PMO) for design oversight, construction, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, design oversight, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support. Engagements in the program shall be project/program assignment specific.

PROCUREMENT - AMENDMENTS

OFFICE SUPPLIES CONTRACT

No.	Contractor	Total Contract Value	Term	Fund Source	Program Director	Contract Value to Date	Contract Value FY11	Contract Value FY10	Purpose
1	Staples Advantage (formerly Corporate Express)	$246,000 *[Total Contract Amount $3,512,000]*	01/02/08-12/31/12	Multiple Sources	Matthew Larson, Director of Procurement	$3,266,000	$700,000	$700,000	Amend Exclusive agreement with office supply dealer to supply and deliver general office supplies to the University, Storrs campus, regional campuses and extension offices located throughout the State of CT. This is the result of a RFP which was publicly advertised. Original term of contract 01/02/08-12/31/08, with four (4) one-year extensions for a total of five years. ***Amend to increase contract by $246,000.***

PROCUREMENT - AMENDMENTS *(Continued)*

STUDENT HEALTH INSURANCE CONTRACT

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY11	Expenditures FY10	Purpose
1	Chickering Claims Administrators	$1,080,000 *[Total Contract Amount $13,300,000]*	06/01/07-05/31/12	Auxiliary Services	Michael Kurland, Director of Health Services	$12,200,000	$4,800,000	$3,000,000	Provide student health insurance for registered students of the University. Coverage underwritten by Aetna Life Insurance Co. ***Amend to increase contract by $1,080,000.***

PROPERTY LEASE AGREEMENTS

UNIVERSITY AS LESSOR

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Amastan, LLC	$11,992	12/04/11-12/03/12	Operating Fund-General	Alexandria Roe, Director of University Planning	Renewal of existing lease for the period of 12/4/2010-12/3/2012. Lease of space not to exceed 533 square feet in the **Longley Building** on the grounds of the University of Connecticut - **Depot Campus**, Mansfield, CT. Rent shall be at $22.50 per square foot. Lessee shall continue to participate in the University Technology Incubator Program (TIP).
2	Bank of America	$7,200	02/01/12-07/31/12	Operating Fund-General	Alexandria Roe, Director of University Planning	Extension of current ATM leases for machines at the Student Union Building, South Campus Lobby and Wilbur Cross Building. Rental will be $400/mo. for each machine, plus a percentage of sales.
3	Dairy Cone, Inc. d/b/a Noujaim's Specialty Food Service	$0	01/27/12-05/31/12	Auxiliary Services	Alexandria Roe, Director of University Planning	Extension of lease for approximately 256.91 square feet of space in the main building located on the Torrington campus, Torrington, CT. Rent will be $0, as the Lessee will be providing food service for the Torrington campus.
4	Tri-County ARC, Inc.	$1	02/01/12-01/31/17	Operating Fund-General	Alexandria Roe, Director of University Planning	Lease of two existing greenhouses on the grounds of the University of Connecticut - Depot Campus, located at the northeast corner of the intersection of Route 44 and Bone Mill Road, Mansfield. Lessee has an arrangement with the Department of Developmental Services to operate a day program for individuals, which is a continuation of the program formerly operated by Hockanum Industries, Inc.

UNIVERSITY AS LESSEE

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	EDR Storrs, LLC	$376,476	08/15/12-08/14/15	Auxiliary Services	John R. Saddlemire, Vice President for Student Affairs	Lease to support Visiting Scholar Program housing needs at The Oaks on the Square in Storrs Center, Storrs, CT. The term of the lease is for three (3) years with options to extend for two (2) additional one (1) year terms. The University's Department of Residential Life will maintain the allotted apartments for the term of the lease and will sub-lease apartments to visiting scholars.
2	Lodewick, Philip H.	$1	02/01/12-01/31/15	Auxiliary Services	John R. Saddlemire, Vice President for Student Affairs	Lease of house located at 88 Gurleyville Road, Mansfield, CT to serve as a temporary residence for the University's official guests, and as an event space for University activities that further the broad educational mission of the institution, including but not limited to fundraising, employee recognition, athletic events and general hospitality.
3	Underwood Towers Limited Partnership, d/b/a Park Place Towers	$5,480	01/26/12-05/26/12	Auxiliary Services	Louise Simmons, Director, Urban Semester Program of the School of Social Work	Lease of apartment 19B at 2 Park Place, Hartford, Connecticut, for use by the Urban Semester Program of the School of Social Work. This is substitute housing for the program for the Spring 2012 semester. Louise Simmons is the Director of the Urban Semester Program.
4	Underwood Towers Limited Partnership, d/b/a Park Place Towers	$5,480	01/26/12-05/26/12	Auxiliary Services	Louise Simmons, Director, Urban Semester Program of the School of Social Work	Lease of apartment 18E at 2 Park Place, Hartford, Connecticut, for use by the Urban Semester Program of the School of Social Work. This is substitute housing for the program for the Spring 2012 semester. Louise Simmons is the Director of the Urban Semester Program.
5	YWCA New Britain	$2,700	01/01/12-12/31/12	Research Fund	Stephanie Milan, Ph.D., Dept. of Psychology	Renewal of lease of rooms for participant interviews for research study. YWCA's reservation rate for non-profit organizations is $15/hr. The University is being offered three-hour blocks per interview, at an estimated 15 interviews per month. This lease reserves the space for the researcher in the YWCA facility.

ATTACHMENT 2

PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT
PRESENTED TO THE BOARD OF TRUSTEES – January 25, 2012

Effective August 23, 2012

COLLEGE OF LIBERAL ARTS & SCIENCES:

TENURE AS PROFESSOR

Mary Rumpho-Kennedy	Molecular and Cell Biology

ATTACHMENT 3

University of Connecticut
Office of the President

Susan Herbst
President

January 25, 2012

TO: Members of the Board of Trustees

FROM: Susan Herbst, President

RE: Approval of Tenure Relocation of Dr. Peter J. Nicholls from the Department of Mathematics in the College of Liberal Arts and Sciences to the Department of Educational Leadership in the Neag School of Education

RECOMMENDATION:

That the Board of Trustees approve the tenure relocation of Dr. Peter J. Nicholls From the Department of Mathematics in the College of Liberal Arts and Sciences to the Department of Educational Leadership in the Neag School of Education.

BACKGROUND:

Dr. Peter J. Nicholls currently holds tenure in the Department of Mathematics in the College of Liberal Arts and Sciences. After serving as the University of Connecticut's Provost and Executive Vice President for Academic Affairs for the past seven years, Dr. Nicholls is returning to a faculty line. In view of Dr. Nicholls' changing teaching and research interests, he requests that his tenure appointment shift from the Department of Mathematics to the Department of Educational Leadership in the Neag School of Education. Dr. Nicholls' tenure relocation is supported by the Dean of the Neag School of Education.

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ATTACHMENT 4

University of Connecticut Department of Human Resources					
Sabbatical Leave Recommendations Requiring Board of Trustees Approval					
January 25, 2012 Board of Trustees Meeting					
CHANGES TO PREVIOUSLY APPROVED SABBATICAL LEAVES					
NAME	**TITLE**	**DEPARTMENT**	**SCHOOL/COLLEGE**	**PAY**	**PERIOD**
Lahav, Alexandra	Professor	School of Law	School of Law	Half	AY 2012-13
				Full	Spring 2013
Li, Yi	Professor	Plant Science	College of Agriculture and Natural Resources	Full	Spring 2011
					Postponed
Morris, Thomas F.	Associate Professor	Plant Science and Landscape Architecture	College of Agriculture and Natural Resources	Half	CY 2011-12
					AY 2012-13
Bayulgen, Oksan	Associate Professor	Political Science	College of Liberal Arts and Sciences	Half	AY 2011-12
				Full	Fall 2011
Coelho, Carl	Professor	Communication Sciences	College of Liberal Arts and Sciences	Full	Fall 2011
					Fall 2012
Dalmolin, Eliane	Professor	Literatures, Cultures and Languages	College of Liberal Arts and Sciences	Full	Spring 2012
					Spring 2014
Franklin, Wayne	Professor	English	College of Liberal Arts and Sciences	Full	Spring 2012
					Fall 2012
Johnson, Blair T.	Professor	Psychology	College of Liberal Arts and Sciences	Full	Spring 2012
					Fall 2012
Wargo Aikens, Julie	Associate Professor	Psychology	College of Liberal Arts and Sciences	Full	Spring 2012
					Spring 2013
Kelly, Kristin	Associate Professor	Political Science	College of Liberal Arts and Sciences	Full	Spring 2012
					Spring 2015
Ladewig, Jeffrey	Associate Professor	Political Science	College of Liberal Arts and Sciences	Half	AY 2011-12
				Full	Fall 2011
Watson, Janet	Associate Professor	History	College of Liberal Arts and Sciences	Full	Spring 2012
					Fall 2012

Eisdorfer, Assaf	Associate Professor	Finance	School of Business	Full	Spring 2012
					Spring 2013
Dailey, Anne C.	Professor	School of Law	School of Law	Full	Spring 2012
					Fall 2012
SABBATICAL LEAVE REQUESTS:					
Semester at Full Pay					
NAME	**TITLE**	**DEPARTMENT**	**SCHOOL/COLLEGE**	**PAY**	**PERIOD**
Guillard, Karl	Professor	Plant Science and Landscape Architecture	Agriculture and Natural Resources	Full	Fall 2012
Linnekin, Jocelyn	Professor	Anthropology	College of Liberal Arts and Sciences	Full	Spring 2013
Atkin, David	Professor	Communication Sciences	College of Liberal Arts and Sciences	Full	Fall 2012
Bush, Andrew M.	Associate Professor	Ecology and Evolutionary Biology	College of Liberal Arts and Sciences	Full	Fall 2012
Lewis, Louise	Associate Professor	Ecology and Evolutionary Biology	College of Liberal Arts and Sciences	Full	Fall 2012
Lewis, Paul	Associate Professor	Ecology and Evolutionary Biology	College of Liberal Arts and Sciences	Full	Fall 2012
Thorson, Robert M.	Professor	Ecology and Evolutionary Biology	College of Liberal Arts and Sciences	Full	Fall 2012
Gouwens, Kenneth	Associate Professor	History	College of Liberal Arts and Sciences	Full	Fall 2012
Shoemaker, Nancy	Professor	History	College of Liberal Arts and Sciences	Full	Fall 2012
Pappademos, Melina	Assistant Professor	History/Inst. Afric. Amer. Studies	College of Liberal Arts and Sciences	Full	Fall 2012
Robinson, JoAnn L.	Professor	Human Development and Family Studies	College of Liberal Arts and Sciences	Full	Fall 2012
Wisensale, Steven K.	Professor	Human Development and Family Studies	College of Liberal Arts and Sciences	Full	Fall 2012
Snyder, William	Associate Professor	Linguistics	College of Liberal Arts and Sciences	Full	Fall 2012
Wogenstein, Sebastian	Assistant Professor	Literatures, Cultures and Languages	College of Liberal Arts and Sciences	Full	Spring 2013
Whitney, Michael	Assistant Professor	Marine Sciences	College of Liberal Arts and Sciences	Full	Fall 2012
Madych, Wolodymyr	Professor	Mathematics	College of Liberal Arts and Sciences	Full	Fall 2012
Benson, David R.	Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences	Full	Fall 2012
Lynes, Michael	Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences	Full	Fall 2012

Hiskes, Anne	Associate Professor	Philosophy	College of Liberal Arts and Sciences	Full	Fall 2012
Kingstone, Peter	Associate Professor	Political Science	College of Liberal Arts and Sciences	Full	Fall 2012
Scruggs, Lyle	Associate Professor	Political Science	College of Liberal Arts and Sciences	Full	Fall 2012
Carello, Claudia	Professor	Psychology	College of Liberal Arts and Sciences	Full	Fall 2012
Fisher, Jeffrey D.	BOT Dist. Professor	Psychology	College of Liberal Arts and Sciences	Full	Fall 2012
Milan, Stephanie	Associate Professor	Psychology	College of Liberal Arts and Sciences	Full	Fall 2012
Purkayastha, Bandana	Professor	Sociology	College of Liberal Arts and Sciences	Full	Fall 2012
Price-Glynn, Kim	Associate Professor	Sociology/Greater Hartford	College of Liberal Arts and Sciences	Full	Fall 2012
Eisenhandler, Susan	Assistant Professor	Sociology/Waterbury	College of Liberal Arts and Sciences	Full	Fall 2012
Irizarry, Jason G.	Assistant Professor	Curriculum and Instruction	Neag School of Education	Full	Fall 2012
Reyes, Xae Alicia	Associate Professor	Curriculum and Instruction	Neag School of Education	Full	Fall 2012
Truxaw, Mary	Associate Professor	Curriculum and Instruction	Neag School of Education	Full	Fall 2012
Bell, Alexandra A.	Associate Professor	Educational Leadership	Neag School of Education	Full	Fall 2012
Swaminathan, Hariharan	Professor	Educational Psychology	Neag School of Education	Full	Fall 2012
Little, Catherine A.	Associate Professor	Educational Psychology	Neag School of Education	Full	Fall 2012
Seow, Gim	Associate Professor	Accounting	School of Business	Full	Fall 2012
Mathieu, John E.	Professor	Management	School of Business	Full	Fall 2012
Carrafiello, Vincent A.	Professor	Marketing	School of Business	Full	Fall 2012
Day, Robert W.	Associate Professor	Operations and Information Mgmt	School of Business	Full	Fall 2012
Christenson, Richard	Associate Professor	Environmental Engineering	School of Engineeing	Full	Fall 2012
Aindow, Mark	Professor	Chemical, Materials and Biomolecular Engineering	School of Engineeing	Full	Fall 2012
Wang, Yong	Associate Professor	Chemical, Materials and Biomolecular Engineering	School of Engineeing	Full	Fall 2012
Russell, Alexander	Professor	Computer Science and Engineering	School of Engineeing	Full	Fall 2012
Ilies, Horea T.	Associate Professor	Mechanical Engineering	School of Engineeing	Full	Spring 2013
Myers, Kathryn	Professor	Art and Art History	School of Fine Arts	Full	Spring 2013

Thorpe, Judith	Professor	Art and Art History	School of Fine Arts	Full	Fall 2012
Nardi, John P.	Associate Professor	Dramatic Arts	School of Fine Arts	Full	Spring 2013
Gustafson, Kaaryn	Professor	School of Law	School of Law	Full	Fall 2012
Janis, Mark Weston	Professor	School of Law	School of Law	Full	Spring 2013
Levin, Leslie C.	Professor	School of Law	School of Law	Full	Spring 2013
Letendre, Joan	Associate Professor	School of Social Work	School of Social Work	Full	Fall 2012
Thomas, Rebecca Leela	Associate Professor	School of Social Work	School of Social Work	Full	Fall 2012
Academic Year at Half Pay					
NAME	**TITLE**	**DEPARTMENT**	**SCHOOL/COLLEGE**	**PAY**	**PERIOD**
Bloom, Lynn Z.	BOT Dist. Professor	English	College of Liberal Arts and Sciences	Half	AY 2012-13
Guenoun, Solange	Professor	Literatures, Cultures and Languages	College of Liberal Arts and Sciences	Half	AY 2012-13
Gui, Changfeng	Professor	Mathematics	College of Liberal Arts and Sciences	Half	AY 2012-13
Quinn, Diane M.	Associate Professor	Psychology	College of Liberal Arts and Sciences	Half	AY 2012-13
Gebremichael, Mekonnen	Associate Professor	Civil and Environmental Engineering	School of Engineering	Half	AY 2012-13
Hebert, Rainer	Assistant Professor	Chemicals, Materials and Biomolecular Engineering	School of Engineering	Half	AY 2012-13
Shi, Zhijie	Associate Professor	Computer Science and Engineering	School of Engineering	Half	AY 2012-13
Shvartsman, Alexander	Professor	Computer Science and Engineering	School of Engineering	Half	AY 2012-13
Wang, Lei	Associate Professor	Electrical & Computer Engineering	School of Engineering	Half	AY 2012-13
Willett, Peter	Professor	Electrical & Computer Engineering	School of Engineering	Half	AY 2012-13

ATTACHMENT 5

University of Connecticut Department of Human Resources
Emeritus Retirees
January 25, 2012 Board of Trustees Meeting

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	RETIREMENT DATE
Auster, Peter J.	Research Professor	National Undersea Res Ctr	Vice President for Research	10/1/2011
Benson, Carl D.	Professor	English	Liberal Arts & Sciences	1/1/2012
Jain, Subash C.	Professor	Marketing	School of Business	1/1/2012
Tuchman, Gaye	Professor	Sociology	Liberal Arts & Sciences	1/1/2012
Shaw, Brenda R.	Associate Professor	Chemistry	Liberal Arts & Sciences	11/1/2011

ATTACHMENT 6

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive
Vice President
for Academic Affairs

January 25, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Approval of the Renaming of the Department of Modern and Classical Languages to the Department of Literatures, Cultures and Languages

RECOMMENDATION:

That the Board of Trustees approve the renaming of the Department of Modern and Classical Languages to the Department of Literatures, Cultures and Languages.

BACKGROUND:

On April 13, 2011, the Board of Trustees approved the modification to merge the Graduate Fields of Study in French, Italian, German, and Spanish with the Comparative Literacy and Cultural Studies Fields of Study for the M.A. and Ph.D. degrees, creating the newly-named Field of Study, Literatures, Cultures and Languages.

This modification was approved by the Board of Governors for Higher Education on June 29, 2011.

In an effort to better reflect the department's academic principles and to align it with similar programs around the United States, the Department of Modern and Classical Languages is being renamed the Department of Literatures, Cultures and Languages.

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ATTACHMENT 7

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive
Vice President
for Academic Affairs

January 25, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Approval of the Modification to the Graduate Fields of Study in the Department of Molecular and Cell Biology in the College of Liberal Arts & Sciences

RECOMMENDATION:

That the Board of Trustees approve the modification of the Graduate Fields of Study in the Department of Molecular and Cell Biology.

BACKGROUND:

Current biological research is more interdisciplinary as techniques, approaches and fundamental concepts have bridged disciplines and broadened the knowledge needed to succeed in the biological sciences disciplines. In essence, approaches, technologies and instructional needs cross the traditional disciplines housed in Molecular and Cell Biology. At the same time, experimental systems, knowledge bases, conceptual differences, scholarly journals, professional organizations and employment opportunities dictate that disciplinary areas be retained as Areas of Concentration.

Consolidation of the Fields of Study will enhance our visibility both within the University, facilitating future collaborations with other academic units, and will broaden our reach within the state and nationally. In a broader sense, molecular and cell biology is moving toward larger aggregates of individuals with overlapping interests, techniques and training needs. While several universities have already taken this approach, funding agencies and prospective graduate students are moving towards this path. This change will enable us to compete more effectively into the future.

Summary:

5 Current Fields of Study	1 New Field of Study, 4 Areas of Concentration
Structural Biology and Biophysics	**Molecular and Cell Biology**
Biochemistry	Structural Biology, Biochemistry and Biophysics
Cell and Developmental Biology	Cell and Developmental Biology
Genetics and Genomics	Genetics and Genomics
Microbiology	Microbiology

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AN APPLICATION FOR
A PROGRAM MODIFICATION TO A PhD and MS DEGREE PROGRAM IN
Molecular and Cell Biology

The Graduate School
The University of Connecticut

Submitted to:

The Board of Governors for Higher Education

State of Connecticut

May 30, 2011

1. PROVIDE A DESCRIPTION AND RATIONALE FOR THE PROGRAM MODIFICATION.

The Department of Molecular and Cell Biology (MCB) hosts five Fields of Study (FOS) that offer MS and PhD degrees: Biochemistry, Cell and Developmental Biology, Genetics, Structural Biology and Biophysics (SBB) and Microbiology. We propose renaming one FOS (SBB) to Molecular and Cell Biology (MCB). New students who apply to the Department and current students wishing to do so, will be admitted into this renamed FOS.

To retain the disciplinary focus of the current FOSs, we propose creating four new Areas of Concentration within the new MCB FOS: Biochemistry, Structural Biology and Biophysics; Cell and Developmental Biology; Genetics and Genomics; Microbiology.

The four remaining FOSs will be delisted from the Graduate School web site and rendered dormant to allow students currently in one of the four FOSs to be grandfathered so that they receive their degrees in their chosen FOS.

Summary:

5 Current FOS	1 New FOS with 4 AOC
Structural Biology and Biophysics	**Molecular and Cell Biology**
Biochemistry	Structural Biology, Biochemistry and Biophysics
Cell and Developmental Biology	Cell and Developmental Biology
Genetics and Genomics	Genetics and Genomics
Microbiology	Microbiology

Departmental authorization to make the FOS change was provided on March 14, 2011 by a vote of the faculty of the Department of Molecular and Cell Biology (attached). That vote approved the following proposal:

1. Rename "Structural Biology and Biophysics" Field of Study to "Molecular and Cell Biology."

2. Create four new Areas of Concentration to retain the disciplinary structure and substance of the existing FOSs. New students will choose an Area of Concentration (AOC) after consulting with their graduate Committee. These AOC are:

   Biochemistry, Structural Biology and Biophysics
   Cell and Developmental Biology
   Genetics and Genomics
   Microbiology

3. Retain the remaining Fields of Study to allow current students the option of graduating in their chosen field of study.

The nomenclatural change provides an umbrella descriptor beneath which Areas of Concentration will be subsumed. The rationales for the renaming include the following:

a. Current biological research is more interdisciplinary than in the past as techniques, approaches and fundamental concepts have bridged disciplines and broadened the knowledge needed to succeed in the biological sciences disciplines. In essence, approaches, technologies and instructional needs cross the traditional disciplines housed in MCB. At the same time, experimental systems, knowledge bases, conceptual differences, scholarly journals, professional organizations and employment opportunities dictate that disciplinary areas be retained as Areas of Concentration which will termed "Graduate Programs" in MCB.

b. To compete in institutional and national rankings, smaller programs bearing traditional disciplinary monikers are at a disadvantage to larger umbrella programs that overarch several traditional disciplines in science. Traditional disciplines in the Department of Molecular and Cell Biology are quite strong but have suffered from the perception of being individually small. By including our traditional programs under a larger umbrella of "Molecular and Cell Biology" our aggregate strengths become synergistic and the existing cohesiveness of our graduate programs is more clearly reflected. The graduate programs already operate as a unit in graduate training, admissions and laboratory rotations so, from a practical perspective, little will change in Department operations. Much will change in how the Department is presented and perceived from outside while still retaining disciplinary-specific training and scholarship.

c. There are a number of elements of the reorganization that are consistent with the current academic plan (http://academicplan.uconn.edu/files/UConnAcademicPlan.pdf). Indeed, MCB embodies all three of the interdisciplinary themes that represent the goals of the university community that are articulated in the "Our World, Our People, Our Future" document. We make substantial contributions to the "Our World" component via our research expertise in environmental contamination, the health effects of this contamination, and in its remediation. The MCB faculty is a significant strength to the "Our People" theme with our contributions to the understanding of the fundamental roles played by genetic mechanisms in both normal and aberrant development, the manipulation of stem cells for therapeutic applications, the development of immune manipulations that benefit both infectious and autoimmune disease, and numerous other biomedical applications. "Our Future" similarly benefits from the activities of MCB faculty. We are engaged with the private sector in a number of entrepreneurial activities that benefit the state of Connecticut, and train a large number of students who become part of the high technology workforce that remains in the state.

d. Consolidation of the FOSs will enhance our visibility both within the University, facilitating future collaborations with other academic units, and will broaden our reach within the state and nationally. In a broader sense, molecular and cell biology *sensu lato* is heading in the direction of larger aggregates of individuals with overlapping interests, techniques and training needs. Several Universities have already taken this approach, for example Harvard (http://www.mcb.harvard.edu), Yale (http://www.yale.edu/mcdb/), Rutgers http://lifesci.rutgers.edu/~molbiosci/), Univ. Michigan (http://www.mcdb.lsa.umich.edu/grad.php) and so forth. Not surprisingly, this direction is also being taken by funding agencies (*e.g.* NSF has a major funding Division called Molecular and Cellular Biosciences - http://www.nsf.gov/div/index.jsp?div=MCB), and by students looking for graduate schools. This change will enable us to compete more effectively into the future.

2. WHAT IS THE RELATIONSHIP OF THE MODIFICATION TO THE EXISTING APPROVED PROGRAM?

The change is primarily nomenclatural reflecting a broadening of the interacting populations subsumed in the new FOS "Molecular and Cell Biology." Creating new AOCs within Molecular and Cell Biology will identify existing disciplines with attendant curricula and research clusters. In essence, the curriculum will not change, students will receive the same training and education in research and teaching; courses will retain their identity (See Appendix 2). MCB is already a leader in keeping up with modern trends in molecular and cell biology. This ongoing ferment will not change. The courses and curriculum will remain aligned with traditional disciplines in the sense that students will receive the same education and research opportunities in the individual programs or Areas of Concentrations, and without interruption. We anticipate no change in research direction or training beyond the normal and ongoing improvements that come with the territory.

3. PROVIDE AN HISTORICAL CONTEXT FOR THE PROPOSED CHANGE.

In 1985, our Fields of Study were associated with separate "Sections" of the old "Biological Sciences

Group." Each Section bore the name of a FOS - Microbiology, Genetics & Cell, Biochemistry & Biophysics, Physiology, and Ecology. The Group disproportionated into MCB, PNB and EEB in about 1985. The idea was for MCB to be united by the then accelerating advances in molecular approaches to Biology.

The restructuring provided a grouping that became increasingly "interdisciplinary" through the years and has led to our currently strong position in research and teaching. The recombination also signaled a slow contraction of tenure-track faculty over ~25 years, from 38 members in 1986 to 24 just four years ago; we now number 28 and are promised to slowly recover to 32.

Part of the reason why a frankly strong department has been allowed to shrink is perception. MCB's graduate structure at five major fields of study is strikingly different when viewed from outside versus inside the department. That is, while the Department can discern the microstructure and needs of our traditional disciplines, outside evaluators who control hiring lack the knowledge needed for similar discernment when budget cycles loom large. Our FOSs currently operate as a single graduate program when it comes to admissions, rotations, graduate student labs, funding and even coursework. The distinctions are mainly in curriculum, research focus and disciplinary identity. It is not unusual for students in the same lab to belong to different FOSs that is governed by the direction their research takes.

The major problem with maintaining several strong but small FOSs is that the University views them separately for internal assessments, and then passes FOS information on to ranking bodies (NRC, USNews, etc) that compare our small FOSs with "programs" throughout the country. FOS has no official "national" designation - it is UConn specific - so the groupings are what we make them. The outcome is that MCB programs are generally fractured rather than viewed as a coherent whole leading to erroneous perceptions.

In addition to the issue of size, current trends, as noted above (1. d.), in Molecular and Cell Biology are to subsume traditional disciplines beneath the moniker of "Molecular and Cell Biology" or something similar. These broader trends have emerged from the interplay of a number of complex factors that include the practical (shared equipment and approaches) to the theoretical as all of biology becomes impacted by the influence of high-throughput DNA, RNA and protein sequencing, the unity of life processes and the universality of technical approaches to disparate experimental systems.

The result is that for both scholarly and practical reasons, the time has come to combine Fields of Study into a single entity and create Areas of Concentration as Graduate Programs so that clarity in presentation and academic outcomes may be achieved. The change will align MCB at UConn with other schools throughout the country and will provide a more identifiable presence within the University at large.

4. ENROLLMENT INFORMATION

a. Provide the enrollments in the current program's specialized courses for the past two years

Total number of graduate students in MCB:
 2009-10 - 176 (92 PhD; 84 MS)
 2010-11 - 198 (104 PhD; 94 MS)
Current breakdown by FOS:

Enrollment 2011 - Current MCB Graduate Fields of Study
#Phd/#MS students in each FOS

Applied Genomics PSM	Microbial Systems PSM	Cell Biology	Genetics	Biochemistry	Microbiology	SB&B
0/31	0/9	21/27	29/20	17/8	17/8	8/1

b. Provide estimates of the enrollments in the proposed modified program for the next two years

We do not anticipate a dramatic change in total graduate enrollment since the programs affected are established. About 90-110 PhD students will be enrolled in the new MCB FOS, plus about 60-70 MS students. These would be in addition to the 40-50 PSM students in Applied Genomics and Microbial Systems Analysis programs that are not included in this FOS change. The anticipated enrollments are:

Enrollment 2012-13 - Proposed Graduate Areas of Concentration
#Phd/#MS students in each AOC

SBB&B	Cell Biology	Genetics	Microbiology
25/9	25/22	30/20	20/8

5. RESOURCES

a. Provide a statement of the costs of the existing program and the projected costs for the modified program, including any new costs.

No additional costs or resources are anticipated to implement the name change.

b. Provide a list of all faculty members who will be associated with this modified program. Include for each person: (i) degrees held and in what subject(s); (ii) current and prospective teaching assignments; (iii) their full- or part-time status; and (iv) whether they have an exclusive appointment with the off-campus program.

Total number of FTE (tenure/tenure track) 28.5
All faculty members are in Storrs

NAME	Degree and Program Affiliation	Teaching	Percent Appt.
ALBERT, ARLENE D	PhD Biochemistry	MCB3011, 3100H, 3895, 5034	100
ALDER, NATHAN	PhD Biochemistry	MCB5896, 5899, 5025	100
ALEXANDRESCU, ANDREI	PhD Biophysics	MCB4009, 5013, 3841W	100
BENSON, DAVID	PhD Microbiology	INTD1784, MCB5679	100
BURKHARD, PETER	PhD Biophysics	MCB3007, 5003, 5015	50% with IMS
CHEN, THOMAS	PhD Cell Biology	MCB3210 5210, 3201	100
COLE, JAMES L	PhD Biophysics	MCB4008, 5008, 5012, 3007, 5003, 5099	100
GAGE, DANIEL J	PhD Microbiology	MCB5621, 3617	100
GIARDINA, CHARLES	PhD Cell Biology	MCB5217,	100
GOGARTEN, J. PETER	PhD Microbiology	MCB3421, 5496, 5471	100
GOLDHAMER, DAVID	PhD Cell Biology	MCB4219	50% with CRB
GRAF, JOERG	PhD Microbiology	MCB3633, 5681, 5896	100
KNECHT, DAVID	PhD Cell Biology	MCB2225, 2210	100

LEE, JULIET	PhD Cell Biology	MCB3841W, 5280, 5299	100
LYNES, MICHAEL A	PhD Cell Biology	MCB4211, 5255, 5299	100
MARCUS, PHILIP	PhD Microbiology	MCB3246, 5240	50% with BBC
MELLONE, BARBARA	PhD Genetics & Cell	MCB5449, 2410, 5499	100
NELSON, CRAIG	PhD Genetics	MCB5499, 5243, 1401	100
NOLL, KENNETH M	PhD Microbiology	MCB5896, 3601W	100
NYHOLM, SPENCER	PhD Microbiology	MCB3841W, 5896, 5699	100
O'NEILL, MICHAEL	PhD Genetics	MCB3412, 5429, 1405, 5427	100
O'NEILL, RACHEL	PhD Genetics	MCB3412, 5429, 1405, 5427	100
PAPKE, ROBERTSON	PhD Microbiology	MCB3841, 5699	100
PASK, ANDREW	PhD Genetics	MCB2410, 5499, 5899	100
REITER, WOLF-DIETER	PhD Biochemistry	MCB3010, 5011, 5896	100
ROBINSON, VICTORIA	PhD Biochemistry	MCB2289, 4009, 5013	100
STRAUSBAUGH, LINDA	PhD Genetics	MCB2400, 5490, 5896, 5900, 5429, 5454	100
TESCHKE, CAROLYN	PhD Biochemistry	MCB4026, 5002, 5099	100
ZHANG, PING	PhD Genetics	MCB2413, 5452, 5896	100
ZWEIFACH, ADAM	PhD Cell Biology	MCB2210, 5896	100

Curricula vitae of each of the proposed program faculty are in Appendix A.

c. Describe the library facilities available to the students enrolled in this modified program.

No additional facilities are required to implement the name change.

d. Indicate what provisions have been made for student access to adequate instructional facilities, equipment, academic advising, and other necessary instructional support services.

No additional resources, facilities equipment or other instructional support services are required to implement the name change.

6. PROFESSIONAL ACCREDITATION (IF APPLICABLE)

NA

Appendix A — Curricula Vitae of Faculty

Appendix B — Graduate Curricula

"Old" Graduate Curricula vs. "New" Curricula

The "old" curricula for each of the existing FOS are shown below. These will now be the "new" curricula for the new AOCs. No changes are anticipated, except those that take place in the normal process of curriculum development. That is, the old FOS curricula will be substantially the same as the new AOC curricula.

Biochemistry curriculum

1. Course work for the Ph.D.:

Ph.D. students with a Masters degree should take 22 - 24 credits total course work including the requirements given below. All other students need a total of 44 - 48 credits of coursework, comprising at least 22 - 24 credits of course work plus at least 15 credits of GRAD 6950. All students should participate in the Departmental Graduate Seminar (MCB 5899) and present their research results once each year while in the program. In addition, graduate students are encouraged to take a rotation of one credit of research (MCB 6897) during each of their first two semesters in the program. No foreign language is required for the Ph.D. in Biochemistry.

2. Background course required:

MCB 3010/5001 (undergraduate or graduate biochemistry) or equivalent. Students with insufficient background in biochemistry are encouraged to take MCB 5001 (or its equivalent without a lab as MCB 5896-040) during their first semester.

Courses required:

MCB 5012- Foundations of Structural Biochemistry
MCB 5013- Structure and Function of Biological Macromolecules

Additional course requirements:

2. Background course required: At least one course from column A and one from column B

A	B
MCB 5008	MCB 5217
Theory of Biophysical Techniques	Biosynthesis of Nucleic Acids and Proteins
MCB 5015	MCB 5621
X-ray Structure Analysis	Molecular Biology and Genetics of Prokaryotes
MCB 5025	MCB 5423
Structure and Function of Biological Membranes	Experiments in Molecular Genetics
MCB 5035	MCB 5426
Protein Folding	Genetic Engineering and Functional Genomics
MCB 5038	MCB 5034
Techniques in Structural Biology	Human Metabolism and Disease
CHEM 5337	MCB 5636
Optical Methods of Analysis	Industrial Microbiology
	MCB 5240
	Virology
	MCB 5472
	Computer Methods in Molecular Evolution
	MCB 5679
	Microbial Physiology
	MCB 5280
	Advanced Cell Biology
	PHAR 5471
	Advanced Pharmacology I

Cell Biology curriculum

REQUIRED COURSES
MCB 5217 Gene Expression
MCB 5280 Advanced Cell Biology
MCB 5896-062 Cell Biology of Membrane Proteins
MCB 5899-002 Introduction to Faculty Research
MCB 5899-001 Graduate Seminar
MCB 6897 Research

OTHER COURSES
Cell and Developmental Biology
MCB5240 Virology
MCB 4219 Developmental Biology
MCB 5255 Cellular Immunology
MCB 5210 Molecular Endocrinology
MCB 5681 Mechanisms of Bacterial Pathogenicity
PNB 5347 Electron Microscopy
PNB 5351 Advanced Electron Microscopy

Biochemistry/Biophysics
MCB 5001 Biochemistry
MCB 5008 Theory of Biophysical Techniques
MCB 5011 Enzyme Structure and Function
MCB 5012 Foundations of Structural Biochemistry
MCB 5013 Structure and Function of Biological Macromolecules
MCB 5002 Biochemistry Laboratory
MCB 5025 Structure and Function of Biological Membranes
MCB 5034 Human Disease and Metabolism
MCB 5038 Techniques in Structural Biology
MCB5896-041 Biochemistry
MCB 5896 Introduction to Mathematical Biophysics
MCB 5896-059 Special Topics : Structure and Dynamics of Cellular Machines

Genetics
MCB 5434 Molecular Aspects of Genetics
MCB 5621 Molecular Biology and Genetics of Procaryotes
MCB 5427 Laboratory Techniques in Functional Genomics (Lab)
MCB 5426 Genetic Engineering & Functional Genomics
MCB 5449 Molecular Genetics
MCB 5452 Problems in the Genetics of Eukaryotes

Seminars
MCB 5299 Current Topics in Cell Biology (Journal Club)
MCB 5893 Special Topics in Cellular and Molecular Biology
MCB 5896-051 Seminar in Genetics
MCB 5896-042 Frontiers in Applied Genomics (Seminar)

Genetics and Genomics curriculum

In addition to a foundation of knowledge in genetics and genomics (Category I), modern genetics research often requires integrative approaches that include biochemistry and structural biology (Category II), and cell and developmental biology (Category III). Ph.D. candidates and Masters by coursework students in Genetics and Genomics must complete a total of 6 courses from the following list of core courses with a grade of B or higher, and at least one course must come from each of the three categories. This is the basic course requirement for the program, and occurs within the total coursework credits required by the Graduate School . Most students will take additional courses beyond the required 6 to complete total credit requirements by the Graduate School (44-48 total credits, including coursework and research credits for Ph.D. and 24 for Masters).

CATEGORY I. GENETICS AND GENOMICS
MCB 4416: Forensic Applications of DNA Science (3)
MCB 5426: Genetic Engineering & Functional Genomics (3)
MCB 5432: Molecular Genetic Approaches to Developing Systems (2 units)
MCB 5449: Molecular Genetics (3 units)
MCB 5452: Problems in the Genetics of Eukaryotes (3)
MCB 5454: Molecular Aspects of Genetics (2)
MCB 5472: Computer Methods in Molecular Evolution (3)
MCB 5499: Genetics, Development and Evolution (2)
MCB 5621: Molecular Biology & Genetics of Prokaryotes (3)
MCB 5681: Mechanisms of Bacterial Pathogenicity (3)
EEB 5348: Population Genetics (3)

CATEGORY II. BIOCHEMISTRY AND STRUCTURAL BIOLOGY
MCB 5012: Structural Biochemistry (3)
MCB 5013: Structure and Function of Biological Macromolecules (3)
MCB 5022: Human Disease (3)
MCB 5035: Protein Folding (3)
*MCB 5217: Biosynthesis of Nucleic Acids and Proteins (3)
MCB 5299: Structure and Function of Biological Membranes (3)

CATEGORY III. CELLULAR AND DEVELOPMENTAL BIOLOGY
*MCB 4219: Developmental Biol. (3) OR
MCB 5240: Virology (3)
MCB 5255: Cellular Immunology (3)
*MCB 5280: Advanced Cell Biology (3)
ADDITIONAL ELECTIVES

GENETICS AND GENOMICS
MCB 5471: Molecular Evolution and Systematics (1)
MCB 5896: Investigation of Special Topics (max 6)

BIOINFORMATICS
MCB 5896 Investigation of Special Topics (max 6)
BME 6086 Special Topics In Biomedical Engineering (max 6)
CSE 5800/ BME 5800: Bioinformatics (3)
CSE 5095 Special Topics in Computer Science and Engineering (3)
STAT 6425: Seminar in Applied Probability (max 6)

Structural Biology and Biophysics curriculum

Masters Degree
Background course: MCB 3010/5001 (undergraduate or graduate biochemistry) or equivalent. Students with insufficient background in biochemistry are encouraged to take MCB 5001 (or its equivalent without a lab as MCB 5896-040) during their first semester.

MCB 5012: Foundations of Structural Biology
MCB 5013: Structure and Function of Biological Macromolecules

Three additional courses from the list below

Plan A - 15 total credits and a thesis
Plan B - 24 total credits of course work and a final examination. A laboratory course or research credit is highly recommended. For the Plan B Masters, the three core courses plus three other courses from the approved list are required. A total of 24 credits is needed. A written exam for exiting Masters students will be administered by a committee of 3 including the major advisor. Questions are sometimes solicited from other faculty who have had direct interaction with the student. The exam is usually a take home exam tailored for the particular student's current or long term interests.

PhD Degree
Course requirements

Courses available for the PhD or Masters in Biophysics
MCB 5002 Advanced Biochemistry Laboratory (Lab)
MCB 5008 Theory of Biophysical Techniques
MCB 5011 Enzyme Structure and Function
MCB 5012 Foundations of Structural Biology
MCB 5013 Structure and Function of Biological Macromolecules
MCB 5015 X-ray Structure Analysis
MCB 5616 Experiments in Bacterial Genetics (Lab)
MCB 5217 Biosynthesis of Nucleic Acids and Proteins
MCB 5019 X-ray Diffraction Laboratory
MCB 5423 Experiments in Molecular Genetics (Lab)
MCB 5025 Structure and function of biological membranes
MCB 5035 Protein Folding
MCB 5038 Techniques in Structural Biology
MCB 5076 Biomolecular NMR Spectroscopy
MCB 5449 Molecular Genetics
MCB 5472 Computer Methods in Molecular Evolution
MCB 5280 Advanced Cell Biology

All graduate students must follow the rules and regulations of the Graduate School as outlined in the Graduate Catalog in the Standards and Degree Requirements section, and are strongly encouraged to form an Advisory Committee as soon as possible. Upon review of the student's background and academic interests, the Advisory Committee may modify the requirements for obtaining a degree in Structural Biology / Biophysics.

Microbiology Curriculum

The requirements for the Ph.D in Microbiology conform to the requirements of the Graduate School as set forth in the Graduate School Catalog. These requirements include approximately 44 to 48 academic credits, a general examination, and a final examination based on the dissertation (dissertation defense). A plan of study listing courses to be completed for the degree must be filed with the Graduate School before twelve credits are completed. These and other requirements of the Doctor of Philosophy degree are described in the Graduate Catalog.

The number of courses and research credits that comprise the required 44-48 credits are determined by the students and their advisors. Typically about one-half of these credits are from coursework. Courses in microbiology, genetics and biochemistry are used to fulfill this requirement. Appropriate courses outside these areas can also be used.

General Examination format

A General Examination must be passed as a requirement for the Ph.D. It consists of two parts in the Microbiology program: an examination of general knowledge of Microbiology (the preliminary examination, given in written and/or oral portions) and an examination based upon thesis-related research (the related examination). Finally, students must defend their dissertation (the oral defense) at the end of their research program.

Plan A and B MS Degree

The requirements for the M.S. degree in Microbiology conform to the requirements of the Graduate School as set forth in the Graduate School Catalog. Master's degrees may be earned under either of two plans as determined by the advisory committee. The first plan emphasizes research, the second requires comprehensive understanding of a more general character.

Plan A requires not fewer than 15 credits of advanced course work and the writing of a thesis. Plan B requires not fewer than 24 credits of advanced course work, a final examination, but no thesis. In either case, advisory committees may require more than the minimum number of credits. Check the Graduate catalogue for details on transferring credits, time limits and other information.

Microbiology-related courses generally taken by all students:

MCB 5895 Section 20 - Independent Studies - Rotation course for Ph.D. students
MCB 5896 Seminar in Microbiology
MCB 5621 - Molecular Biology and Genetics of Prokaryotes
MCB 5681 - Mechanisms of Bacterial Pathogenicity
MCB 5896 section 51 - Introduction to Molecular Evolution and Bioinformatics
MCB 5899 section 002 Introduction to faculty research MCB 5899 section 001
GRAD 5910 Section 002 - Responsible Conduct in Research
MCB 5217 Biosynthesis of Nucleic Acids and Proteins
MCB5896-041 Biochemistry
MCB5679 Microbial Physiology
MCB5235 Applied Microbiology
MCB5240 Virology
MCB5616 Experiments in Bacterial Genetics
MCB 3841 Bacterial Diversity (may be taken for graduate credit)
MCB 5255 Cellular Immunology
EEB 5348: Population Genetics (3)
PNB 5347 Electron Microscopy
PNB 5351 Advanced Electron Microscopy

ATTACHMENT 8

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive Vice President for Academic Affairs

January 25, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost Peter Nicholls

RE: Approval of the Location Modification to offer the Teacher Certification Program for College Graduates at the Avery Point Regional Campus

RECOMMENDATION:

That the Board of Trustees approve the Location Modification to offer the Teacher Certification Program for College Graduates at the Avery Point Regional Campus.

BACKGROUND:

The University currently offers the Teacher Certification Program for College Graduates (TCPCG) on its West Hartford and Waterbury regional campuses and is proposing to offer the same program to the Avery Point regional campus.

Teacher Certification for College Graduates would be available in the following certification areas – agricultural education, biology, chemistry, earth science, English, general science, history/social studies, mathematics, physics, Special Education, and world languages – leading to a Master of Arts degree. As Connecticut is facing shortage of secondary education teachers, this program proposes to target students who are Bachelor degree holders in the above mentioned fields, and who would like to become certified secondary school teachers.

This program has been designed to provide a more flexible pathway to teaching certification for limited numbers of well-qualified individuals who have already completed an undergraduate degree in the proper subject area. The TCPCG program is a four-semester, 45-credit program, which begins with two intensive sessions during the summer then is followed by a full year of additional work, which culminates in a Master of Arts degree and the University's recommendation for certification. The TCPCG program involves fieldwork, and maintains a cohort structure.

By offering the TCPCG program on the Avery Point campus, we expect to have two major outcomes: first, it will help to make the TCPCG program an attractive option for individuals living and working too far to commute to the Storrs campus; second, it will allow the program to increase its focus on the preparation of educators for urban, diverse settings.

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AN APPLICATION FOR
A PROGRAM MODIFICATION TO A MASTER OF ARTS DEGREE PROGRAM IN CURRICULUM AND INSTRUCTION AND IN SPECIAL EDUCATION

The Graduate School
The University of Connecticut

Submitted to:

The Board of Governors for Higher Education

State of Connecticut

December 29, 2011

1. PROVIDE A DESCRIPTION AND RATIONALE FOR THE PROGRAM MODIFICATION.

The Neag School of Education at the University of Connecticut is submitting an application to the Board of Trustees of the University of Connecticut for licensure and accreditation of a program in Teacher Certification for College Graduates in the following certification areas –agricultural education, biology, chemistry, earth science, English, general science, history/social studies, mathematics, physics, Special Education, and world languages – leading to a Master of Arts degree.

As Connecticut is facing shortage of secondary education teachers, this program proposes to target students who are Bachelor degree holders in the above mentioned fields, and who would like to become certified secondary school teachers.

This program has been designed to provide a more flexible pathway to teaching certification for limited numbers of well-qualified individuals who have already completed an undergraduate degree in the proper subject area. The TCPCG program is a four-semester, 45-credit program, which begins with two intensive sessions during the summer then is followed by a full year of additional work, which culminates in a Master of Arts degree and the University's recommendation for certification. Similar to the University's Integrated Bachelor and Master (IB/M) five-year program, the TCPCG program involves fieldwork, and maintains a cohort structure.

2. WHAT IS THE RELATIONSHIP OF THE MODIFICATION TO THE EXISTING APPROVED PROGRAM?

The University currently offers such a program on its West Hartford and Waterbury regional campuses and is proposing to offer the same program to the Avery Point regional campus.

As a way of increasing both the accessibility and potential to prepare additional teachers of the TCPCG program, the University is now proposing to offer this program at the Avery Point regional campus. By offering the TCPCG program on the Avery Point campus, we expect to have two major outcomes: first, it will help to make the TCPCG program an attractive option for individuals living and working too far to commute to the Storrs campus; second, it will allow the program to increase its focus on the preparation of educators for urban, diverse settings. Because the TCPCG program is philosophically aligned with, and modeled on, the IB/M program, the University expects similar retention rates for the TCPCG degree candidates.

3. PROVIDE AN HISTORICAL CONTEXT FOR THE PROPOSED CHANGE.

As a result of the recent accreditation visit jointly conducted by the National Council for Accreditation of Teacher Education (NCATE) and the Connecticut State Department of Education, all of the certification areas offered by the IB/M and TCPCG have been fully recognized by the appropriate national specialty professional associations. It is noted that the program "has made a strong effort to revise the alignment of their assessment system with the... standards and has provided multiple opportunities for teacher candidates to demonstrate mastery and to revise teacher practices in order to meet individual standards."

The joint visiting team further stated that "[t]he courses are linked to the state's teacher education program approval standards in Connecticut, which are aligned with NCATE standards, and the unit's conceptual framework. The candidates' content knowledge, pedagogical content knowledge and skills, pedagogical and professional knowledge and skills, and professional dispositions necessary to help all students learn are supported by data from key assessments showing mastery performance of candidates." And "[f]inally, as evidenced through interviews with IB/M candidates on the main campus and the TCPCG candidates on regional campuses at Waterbury and West Hartford, the candidates articulate their ability to understand the relationship between their respective content, effective teaching, and assessments of student learning."

The strong school-based curriculum and field experience practice in the IB/M program has been nationally recognized, and featured on the NCATE's website as a national model (http://www.ncate.org/Public/Newsroom/Features/StoriesfromtheField/tabid/742/Default.aspx).

4. ENROLLMENT INFORMATION

a. Provide the enrollments in the current program's specialized courses for the past two years

The enrollment numbers at the West Hartford and Waterbury campuses represent the local populations and interests. Therefore, this section is not applicable to the Avery Point program. However, Appendix C has information on future course offerings, program sequences, clinical experiences, and cirriculum.

b. Provide estimates of the enrollments in the proposed modified program for the next two years

Graduate School regulations and policies will govern admission to the program. These regulations require

1. An earned baccalaureate degree.

2. A minimum grade point average of 3.0 in all courses of record. Alternatively, a student may present a grade point average greater than 3.0 for the last two years of undergraduate study coupled with other evidence of competence and accomplishment. Under exceptional circumstances, a student may present an exceptionally strong grade point average for the most recent year's study, along with compelling evidence of potential for success.

3. A personal statement from the applicant addressing his or her reasons for applying and his or her plans for the future.

4. Three letters of reference from faculty or others who can address the candidate's potential for success in the graduate program.

5. If not a native speaker of English, a TOEFL score of 550 or better. If the applicant is to hold a teaching assistantship, he or she must also present a Test of Spoken English (TSE) score of 50 or better.

In addition to Graduate School requirements, the following additional criteria will be used to evaluate students:

6. Verbal, Quantitative, and Analytical GRE Scores.

7. Evidence of demonstrated competence in the discipline, including but not limited to undergraduate research experience or field experience.

8. Personal interview by a potential graduate advisor, whenever possible.

The proposed Avery Point program plans to enroll, on an annual basis, up to 30 program participants eligible for teaching certification in Secondary Education in the state of Connecticut. The first cohort of students is expected to enroll during the summer of 2012. Students are expected to graduate one calendar year following enrollment, at the conclusion of the Spring Semester in the year following first enrollment.

The students in the TCPCG programs will already possess undergraduate degrees in the appropriate certification areas, and will meet all of the University's requirements for admission to the Graduate School. Successful completion of Praxis I or SAT scores above 1100 is a prerequisite for admission into the program. The University has stated that the admissions priority will be given to candidates in the state-identified shortage certification areas . In addition, students will submit applications to the TCPCG program which include all of the elements necessary for admission to a teacher certification program (a personal statement, evidence of experience working with children and young people, letters of reference, a personal interview, etc.).

Students in the TCPCG program will be required to be full-time students. At the completion of the TCPCG program, students will be seeking teaching positions

throughout the state. Since the program emphasis is on critical shortage areas, the University would expect that the program placement rate will be approximately 95%.

5. RESOURCES

a. Provide a statement of the costs of the existing program and the projected costs for the modified program, including any new costs.

The TCPCG program in Avery Point will be financed by student tuition and applicable fees with the support of existing budget from the Neag School of Education. This expansion will not require any additional resources.

See Appendix C for a detailed Resource Summary.

b. Provide a list of all faculty who will be associated with this modified program. Include for each person: (i) degrees held and in what subject(s); (ii) current and prospective teaching assignments; (iii) their full- or part-time status; and (iv) whether they have an exclusive appointment with the off-campus program.

Courses in the program will be taught by full-time non-tenure track clinical faculty members. The University will also hire two to three adjunct faculty members (as needed) to supervise students during their student teaching experience. All adjuncts will hold Connecticut certification in the appropriate grade level or subject area and have earned a terminal degree. In such cases where adjunct faculty members are supervising student teachers, a full-time faculty member from the Storrs campus will serve as instructor of record. Existing office space will be provided by the Avery Point Regional Campus. This expansion will not have any personnel or fiscal impact on other existing programs.

Curricula vitae of each of the proposed program faculty are in Appendix A.

c. Describe the library facilities available to the students enrolled in this modified program.

The University of Connecticut Libraries provides high quality collections and information services in support of the University's research and educational missions. In fulfilling this mission, the Libraries provide access to collections in a broad range of formats; facilitate access to collections owned by other institutions; serve as a gateway and contributor to global networked information resources; provide assistance and education in information retrieval utilizing current technologies; and provide facilities conducive to learning and research. In particular, the Libraries provide a wide array of print and electronic

resources to support the academic and research needs of education faculty and students. While these resources are distributed throughout the University of Connecticut Libraries system, the Homer Babbidge Library is the main service point for education and education related materials. Additionally, library print materials and electronic resources support graduate study in cognate areas such as English and American literature, modern foreign languages, history, life sciences, mathematics, agricultural sciences, and educational psychology.

The University of Connecticut Library System includes the following sites:

- University Libraries at Storrs – Homer Babbidge Library, Cookson Music Library, the Dodd Research Center, and the Pharmacy Library,
- Regional Campus Libraries at Avery Point, Stamford, Torrington, West Hartford, Waterbury,
- Health Center Library for the School of Medicine in Farmington,
- School of Law in Hartford.

In addition to onsite materials held on site, regional campus libraries provide research support to the Neag School of Education students enrolled in programs at those campuses, or living within proximity of those campuses, through system-wide access to books, journals, and electronic database resources.

With 2,500,000 volumes, the University of Connecticut Libraries system holds the largest public collection of research materials in the state. More than two million volumes are shelved in the Babbidge Library, which also houses nearly three million units of microtext, 180,000 maps, 35,000 reference volumes, and subscriptions to about 5000 current journals. The Libraries' World Wide Web site www.lib.uconn.edu provides access to a wealth of information resources in the library, the university, and from around the world. Through the library home page, faculty and students can connect to research resources including over 10,000 full text journals, primary reference sources, hundreds of research databases, online encyclopedias, electronic course reserves, university information resources, and Internet search engines. A complete list of journals related to academic majors and cognate areas can be retrieved via the Libraries' online catalog at: http://homerweb.lib.uconn.edu/ The libraries' current holdings are sufficient resources for the program. The Director will work the appropriate library liaison in an advisory capacity for future acquisitions.

d. Indicate what provisions have been made for student access to adequate instructional facilities, equipment, academic advising, and other necessary instructional support services.

Existing facilities and equipment on the Avery Point campus are sufficient for the operation of the program. No new resources for facilities are required. The

Director will work with appropriate personnel on the branch campus regarding future facility and/or equipment needs.

6. PROFESSIONAL ACCREDITATION (IF APPLICABLE)

This program will be included for review by the NCATE and the Connecticut State Board of Education in 2015. All subject areas will be reviewed by the NCATE required specialty program associations in 2014.

Appendix A — Curricula Vitae of Faculty

APPENDIX A

Program Director

Michael P. Alfano
215 Chimney Hill Road
Wallingford, CT 06492
(W)860.570-9266
(H)203.626.5050
e-mail: michael.alfano@uconn.edu

Education:

Ph.D. Educational Psychology, Special Education- Department of Educational Psychology, University of Connecticut, Storrs, CT- Dissertation Title- *Preservice Teachers' Perceptions Toward the Meaning and Purpose of Democratic Education*, August, 2001.
Master of Education in Reading and Learning Disabilities- DePaul University- Chicago, IL-June, 1998.
Bachelor of Science in History and Social Science-S.C.S.U., New Haven, CT-May, 1995.

Teaching Credentials:

Connecticut Provisional Educator Certificate- Grades 1-12 Comprehensive Special Education, Grades 7-12-World History, U.S. History, Social Science

Professional Experience:

August 2011-present- Executive Director, Teacher Preparation Programs & Associate Professor-in-Residence, University of Connecticut, Neag School of Education. Responsible for all teacher preparation related activities within the Neag School of Education's Integrated Bachelors/Masters and Teacher Certification Program for College Graduates programs.

July 2004-August 2011- Director, Graduate Teacher Preparation Program & Associate Professor-in-Residence, University of Connecticut, Neag School of Education. As Director of the Teacher Certification Program for College Graduates responsible for all aspects of graduate level teacher education program. Related achievements and responsibilities: increased enrollment, including students from under represented groups; developed and launched graduate program at regional campus; aligned program to NCATE standards; re-conceptualized and reintroduced special education certification area; developed and launched Neag School of Education's first electronic portfolio; oversaw the creation and population of program's first comprehensive database; developed program alumni association; developed professional development school relationships with Greater Hartford and Waterbury area school districts; responsible for all program related reporting to University-wide, Unit leadership teams and to external constituencies; managed implementation of several grants; Doctoral level student advisement; Master's level student advisement; graduate level teaching, including courses in

educational psychology & curriculum (member, Graduate Faculty); hired and supervise faculty, support personnel and work study students.

August 2002-2004- Assistant Professor. Department of Special Education and Reading, Southern Connecticut State University. Served as Director of the Reading Center (a quasi-administrative role). Responsibilities included supervising all operational, administrative and diagnostic responsibilities associated with the SCSU Reading Center; taught graduate level courses as part of appointment to the Graduate Reading Program's faculty, graduate student advisement, departmental and University wide service, and conducting research.

August 2001-August 2002- Assistant Professor-in-Residence. Department of Educational Psychology, University of Connecticut, Neag School of Education. Responsibilities included teaching undergraduate and graduate level courses, student teaching supervision, graduate student supervision, providing departmental and University wide service, and conducting research.

September 1999-August 2002- Project Co-Director, GEAR-UP, University of Connecticut, Neag School of Education. Was responsible for all School of Education initiatives in regards to large federal grant implemented in the Hartford Public School system. Responsibilities also included quantifying grant progress to officials in Washington D.C., supervising a staff of undergraduate and graduate students, and maintaining an on-going data-base.

September 1999- May 2001- Graduate Assistant--Leadership Grant, Office of Special Education, University of Connecticut, Neag School of Education. Responsibilities included maintaining and improving the University/School Partnership by facilitating the Professional Development Center model via defining and refining Master level research and internship roles as well as serving as a liaison between University of Connecticut Integrated Bachelor's/Master's students, faculty and administration and participating Professional Development Center faculty and administration.

June 1999- February 2000- Research Associate, University of Connecticut, Neag School of Education. Conducted research study that focused on developing a screening method for identifying learning disabilities in pre-adolescents using psychosocial disability markers. Primary responsibilities included administering and interpreting the results from 50 comprehensive test batteries and assisting in manuscript development.

August 1998-May 1999- Learning Specialist at the University Program for College Students with Learning Disabilities (UPLD) at the University of Connecticut. Responsibilities included creating and implementing goals and objectives and creating and implementing learning strategies for undergraduate and graduate level learning disabled students. Responsibilities also include seeking out appropriate on-campus resources for students in need.

June 1997-June 1998- Special Education Teacher: The Mansion High School (Naperville, IL). Worked with adolescents of average to above average cognitive ability diagnosed with emotional and/or behavior disorders. Responsibilities included creating, maintaining and

implementing curriculum, individualized education programs, as well as supporting the school's therapeutic milieu.

August 1995-June 1997- Special Education Teacher: Krejci Academy (Naperville, IL). In a self-contained special education setting, worked with students identified as having severe emotional /behavioral disorders. Most students were also identified as learning disabled (as a secondary diagnosis). Responsibilities included modifying/adapting curriculum to meet individualized education program requirements, writing individualized education programs, tracking goal progress, attending staffings and creating and implementing behavior management programs. Attended an eight hour training workshop in aggression management and was a member of the school's aggression management team.

Courses Taught:

RDG 517- Content Area Literacy in Middle and Secondary Schools (Graduate Level)- Instructor, fall of 2002 at Southern Connecticut State University.

RDG 565- Content Area Reading and Language Arts in Middle and Secondary Schools (Graduate Level)- Instructor, fall of 2002 at Southern Connecticut State University.

RDG 567-Assessment in Reading and Language Arts (Graduate Level)-Instructor, fall and spring 2003 and 2004 at Southern Connecticut State University.

RDG 568- Analysis and Diagnosis of Difficulties in Reading and Language Arts (Graduate Level)- Instructor, fall of 2002 at Southern Connecticut State University.

RDG 661- Advanced Remediation for Children with Reading and Writing Difficulties (Graduate Level)- Instructor, spring of 2003 at Southern Connecticut State University.

RDG 665-Reading Research (Graduate Level)- Instructor, spring, 2002-4 at Southern Connecticut State University.

EPSY 206- Introduction to Exceptionality- Instructor, fall of 2001 at the University of Connecticut.

EPSY 208- Exceptionality II- Instructor, fall of 2001 at the University of Connecticut.

EPSY 277- Student Teaching- Instructor, springs of 1999- 2002 at the University of Connecticut.

EPSY 333/5123: Instructional Strategies & Adaptations for Students with Special Learning Needs (Graduate Level), Instructor, spring 2005 and summer 2007 at the University of Connecticut.

EPSY 336- Individual Pupil Assessment (Graduate Level)- Instructor, spring and summer (session I) of 2002 at the University of Connecticut.

EPSY 381 (Graduate Level Seminar/Clinic)- Teachers as Professionals- The On-going Role of Inquiry and Reflection- Co-Instructor, fall 2000/spring 2001 at the University of Connecticut.

EGEN 294- Student as Learner (Seminar/Clinic)- Instructor in fall, 1999 at the University of Connecticut.

EDCI 326/5830- Curriculum Laboratory- Instructor, spring 2005 to current

EDCI 388/5050-TCPCG Student Teaching (Graduate Level Seminar)- Instructor fall 2004-current at the University of Connecticut.

Scholarly Activity:

Zack, J., Mannheim, A., Alfano, M.P. (2010). "I didn't know what to say:" Four archetypical responses to homophobic rhetoric in the classroom. *The High School Journal.*

Yakimowski, M, Coleman, M., Alfano, M.P., Femc-Bagwell, M., Fagella-Luby M. (2009). Proceedings from NERA '09: A case study from the University of Connecticut Neag School of Education: Assessment research working together across departments. Rocky Hill, CT.

Spear Swerling, L., Brucker, P.O, & Alfano, M.P. (2008). Relationships between sixth-graders' reading comprehension and two different measures of print exposure. *Reading and Writing: An Interdisciplinary Journal.*

Shaw, S.F., Brandi Simonsen, B., Faggella-Luby, M., Sugai, G., Coyne, M., Rhein, B., Madaus, J., & Alfano, M.P. (2008). A school-wide model for service delivery: Redefining special educators as interventionists. *Remedial and Special Education.*

Alfano, M.P. (2008). Special education. In N. Salkind's (Ed.) *The Encyclopedia of Educational Psychology*. Thousand Oaks: Sage.

Alfano, M.P. (2006). Connecticut's proposal to reform teacher certification. http://www.smartkidswithld.org/.

Alfano, M.P. (2006). An LD primer. *Smart Kids with Learning Disabilities*, 6, 6.

Spear Swerling, L., Brucker, P.O., & Alfano, M.P. (2005). Teacher's literacy-related knowledge and self-perceptions in relation to preparation and experience. *Annals of Dyslexia,* 55, 266-296.

Austin, S.G., Ford, J.F., Cherkes-Julkowski, M., Alfano, M., Hall, C., & Sheehan, J. (1999). *Screening for learning problems in pre-adolescents using educational and psychosocial indicators.* Paper supported and published by the Donaghue Foundation. The University of Connecticut: Storrs, CT.

International/National Presentations:

Yakimowski, M., Johnson, A., Alfano, M.P., Fagella-Luby, M., Tucker, S. (2010). Extreme assessment: Going beyond the classroom. Presentation made at the New England Educational Assessment Network, Worcester, MA.

Billings, S. & Alfano, M.P. (2010). Action research: A university/school collaboration; How a university helped to increase student learning in a low achieving school. Presentation made at the National Network for Educational Renewal annual meeting, Normal, IL.

Billings, S. & Alfano, M.P. (2009). The CommPACT school model. A novel approach to urban school reform. Presentation made at the National Network for Educational Renewal annual meeting, Bellvue, WA.

Yakimowski, M, Coleman, M., Alfano, M.P., Femc-Bagwell, M., Fagella-Luby M. (2009). A case study from the University of Connecticut Neag School of Education: Assessment research working together across departments. Paper presented at the Northeastern Educational Research Association annual meeting, Rocky Hill, CT.

Alfano, M.P., Zack, J., & Adrian, K. (2009). Conversations on inclusion. Paper presented at the American Educational Research Association annual meeting, San Diego, CA.

Mannheim, A., Zack, J., & Alfano, M.P. (2009). "I didn't know what to say": Homophobia and hate speech in the classroom. Paper presented at the American Educational Research Association annual meeting, San Diego, CA.

Yakimowski, M., Soulsby, E., Alfano, M.P, Femc-Bagwell, M. & Banerjee, M. (2008). How assessment improves teaching and learning. Paper presented at the New England Educational Assessment Network, Worcester, MA.

Spear Swerling, L., Brucker, P.O., Alfano, M.P. (2008). Two measures of text exposure and their relationship to reading abilities in sixth graders. Poster presentation at the Society for the Scientific Study of Reading annual conference, Asheville, NC.

Spear Swerling, L., Brucker, P.O., & Alfano, M.P. (2007). Determinants of individual differences in reading comprehension in young adolescents. Paper presented at the International Dyslexia Association annual conference, Dallas, TX.

Alfano, M.P., Zack, J.J., Sulzen, J., & Young, M.F. (2007). The formative and summative value of electronic portfolio assessment. Paper presented at the American Education Research Association annual conference, Chicago, IL.

Sulzen, J., Alfano, M.P., Zack, J.J., & Young, M.F. (2007). Validation of e-folios for pre-service teacher education. Paper presented at the American Education Research Association annual conference, Chicago, IL.

Zack, J.J. & Alfano M.P. (2007). The evolving views of pre-service teachers on multicultural curriculum and the inclusive classroom. Paper presented at the American Education Research Association annual conference, Chicago, IL.

Zack, J.J. & Alfano, M.P. (2007). "Isn't it Just Good Teaching?" The Evolving Views of Preservice Teachers on Multicultural Curriculum and the Inclusive Classroom. Paper presented at the Holmes Partnership annual conference, San Antonio, TX,

Zack, J.J. & Alfano, M.P. (2006). "Isn't it Just Good Teaching?" The Evolving Views of Preservice Teachers on Multicultural Curriculum and the Inclusive Classroom. Paper presented at the New Educator annual conference, City College of New York, NYC.

Spear-Swerling, L., Brucker, P.O., & Alfano, M.P. (2005). Perceived and actual literacy-related knowledge of teachers with high vs. low background for teaching reading. Paper presented at the Society for the Scientific Study of Reading annual conference, Toronto, Ontario.

Dreyer, L.G. & Alfano, M.P. (2004). Meaningful connections between assessment and instruction. Presentation made at the 49th International Reading Association annual conference, Reno, NV.

Harkness, S., Hughes, M., & Alfano, M. (2002). The GEAR-UP project: Strengthening academic transitions and attainment through relationship building. Paper presented, as part of a symposium titled: After school programs for at-risk youth: Matching interventions to community needs at the Society for Research in Adolescence annual conference, New Orleans, LA.

Campbell, P., Norlander-Case, K., Case, C.W., & Alfano, M. (2001). *Infusing the moral dimensions of teaching into teacher preparation.* Paper presented at the Teacher Education Division, Council for Exceptional Children, Annual Conference. St. Pete Beach, FL

Campbell, P., Kelleher, J., Alfano, M., & Ryan, M. (2000). *Student assessment: Reflected reality or utopian dream?* Paper presented at National Network for Educational Renewal annual meeting, Portland, ME.

Local Conference Presentations:

Alfano, M.P. (2004). Teaching teachers to be strategic in their application of reading strategies. Presentation made at the 12th Annual ATDN/CREC Conference on Serving Youth & Adults with Learning Disabilities, Hartford, CT, May 27, 2004.

Alfano, M.P. (2003). Nonverbal learning disability: An overview and implications for practice. Presentation made at the 11th Annual ATDN/CREC Conference on Serving Youth & Adults with Learning Disabilities, Hartford, CT, May 27, 2004.

Alfano, M.P. (200). Nonverbal learning disabilities: Characteristics and implications on social skill development. Presentation made to Learning Disabilities Association of Connecticut, Inc, March 15, 2003.

Professional Work In Schools:

Comprehensive Academic-Achievement Psycho-educational Evaluations- Various local and regional school districts & private families; on-going.

Reading Program Consultant- Area Cooperative Educational Services (ACES)-2002-2004.

New Haven Public Schools- Assessing minority populations: Interpreting assessment results relative to minority populations- March 24, 2004.

Meriden Public Schools- Differentiated instruction: Team planning an application of strategies for general and special educators- March 19, 2004.

Wilton Public Schools- Improving Reading Instruction For Students With Exceptionalities-February 2004

ACES Mill Road School- Using the Fundamentals of Literacy to Inform The Strategic Teaching of Reading- January 2004.

ACES Mill Road School- Lexia Learning Systems, Presented by Ludden, S., Dorne, J., Crocker, D., & Alfano, M. (consultant)- November 2003.

ACES Whitney North High School- Using the fundamentals of literacy acquisition to inform classroom instruction- August 2003.

Wilton Public School System._Introduction to students with Autism. A presentation made to the para-professionals of the Wilton Public School system, November, 2001.

Invited Presentations:

Alfano M.P. (2009). Satellite teacher preparation program building. Invited presentation, Fordham University.

Alfano, M.P. (2009). Know your child: Assessment I (theories of testing/cognitive measures/comprehensive evaluations) & Assessment II (achievement measures/eligibility/relatedness to the IEP). Invited presentations, Connecticut Association for Children and Adults with Learning Disabilities.

Alfano, M.P. (2005). *LD 101*. Invited presentation, Smart Kids with Learning Disabilities, Fairfield, CT.

Alfano, M.P. (2004). Struggling to learn: What to do when reading and learning break down. Invited presentation, Smart Kids with Learning Disabilities, Wilton, CT.

Invited Reviews:
Journal of the National Association of Professional Development Schools-current

International Dyslexia Association, 58th Annual Conference (2007).

International Dyslexia Association, 57th Annual Conference (2006).

Journal of Advanced Academics- fall, 2006

The Reading Teacher (Ad Hoc); *The Journal of Adolescent and Adult Literacy* (Ad Hoc)-
2002-2004

Grant & Grant Related Activity:
Defranco, T., Vinsonhaler, C., Alfano, M.P, Cardetti, F., Lee, J. (2009). Teachers for tomorrow. National Science Foundation, Robert Noyce Scholarship Program. $900,000.

Kelleher, J. & Alfano, M.P. (2005). Teacher for a New Era: Faculty Grant. $8000.00.

Alfano, M.P. (2004). Teacher Certification Program for College Graduates Pilot Program for Recruiting U.S. Minority Graduate Students. Graduate Recruitment Grant: $2,500.

September 1999-August 2002- Project Co-Director, GEAR-UP, University of Connecticut, Neag School of Education. Was responsible for all School of Education initiatives in regards to large federal grant implemented within the Hartford Public School system. Responsibilities also included quantifying grant progress to officials in Washington D.C., supervising a staff of undergraduate and graduate students, and maintaining an on-going data-base.

Related Training:
Agenda for Education in a Democracy, National Network for Educational Renewal, Institute for Educational Inquiry, Summer Symposium (July 2007).

NCATE Accreditation Training, Neag School of Education, University of Connecticut (June 2007).

The Grantsmanship Center Training Program, Southern Connecticut State University (January 2003).

Academic Honors:
Nominated for UConn Magazine's "40 under 40" award
Doctoral Dissertation Fellowship Award Recipient, University of Connecticut
Lisa Pappanikou Glidden Memorial Scholarship Recipient
University of Connecticut Graduate Student Fellowship.

Phi Kappa Phi National Collegiate Honor Society.
Dean's List.

Military Experience:
United States Marine Corps- DD 214 furnished upon request.

References:
Available upon request.

APPENDIX B

Curriculum, Program Sequences, Clinical Experiences, and Course Offerings

The curriculum of the TCPCG program includes five components: (1) the required core courses that all students, regardless of certification area, must take, (2) subject specific courses (e.g., methods of teaching the content area), (3) two school-based seminars, (4) three field experiences (one of which is full-time student teaching), and (5) an elective, which may include additional course work in education or in the student's certification area. The specific courses required in the TCPCG program are secondary certification areas, which include agricultural education, biology, chemistry, earth science, English, French, general science, German, history/social studies, Italian, Latin, Mathematics, Physics, and Spanish. In addition, Comprehensive Special Education is offered as a certification program, with appropriate modifications to ensure appropriate experiential and content knowledge.

Table 3. TCPCG secondary education curriculum

Course Category	Course Number	Title	Credits
	EDCI 5830	Curriculum Laboratory	3
	EDCI 5875	Multicultural Education	
Core Courses	EDCI 5060	Social & Multicultural Foundations of Education	3
	EDCI 5065	Learning Theories	3
	EDCI 5070	Methods of Instruction & Evaluation	3
	EDCI 5080	Reading & Literacy in the Content Areas	3
	EPSY 5108	Instruction for Students with Special Needs in the Mainstream	3
	EDCI 5825	Enhancing Classroom Curriculum with Computers and Electronic Media	3
		Subtotal	**24**
Subject-Specific Courses	EDCI 5085	Subject Area Methods	3
		Subtotal	**3**
Seminar/Clinic Courses	EDCI 5050	TCPCG Seminar I: Student Teaching Seminar	3
	EDCI 5055	TCPCG Seminar II: Teacher as Professional	3
		Subtotal	**6**
Student Teaching	EDCI 5090	TCPCG Directed Student Teaching	9
		Subtotal	**9**
Elective Course		**Subtotal**	**3**
		Total Credits	**45**

* One elective course is selected by the student working with his/her advisor. The course may be completed through either additional graduate-level work in the certification area or in education. Three hours of advanced undergraduate course work may be used, as per Graduate School regulations.

Table 4. TCPCG program sequence in secondary education

	Summer Session I	**Summer Session II**	**Fall**	**Spring**
Core Courses	EDCI 5060 (3) EDCI 5065 (3) EDCI 5070 (3) EDCI 5825 (3)	EDCI 5080 (3) EPSY 5108 (3)		EDCI 5875 EDCI 5830
Subject Specific Courses		EDCI 5085 (3)		
Clinical Experience		Non-credit bearing pre-student teaching school-based clinical experience	EDCI 5090 (9)	Embedded within EDCI 5830 & EDCI 5055
Seminar			EDCI 5050 (3)	EDCI5055 (3)
Electives				1 Elective Courses (3)
Total Credits	**12**	**9**	**12**	**12**

Table 5. TCPCG-comprehensive special education curriculum

Course Category	**Course Number**	**Title**	**Credits**
Core Courses	EDCI 5060	Social & Multicultural Foundations of Education	3
	EDCI 5065	Learning Theories	3
	EDCI 5830	Curriculum Laboratory	3
	EPSY 5108	Instruction for Students with Special Needs in the Mainstream (pre requisite)	3
		Subtotal	**12**
Subject-Specific Courses	EPSY 5123	Instructional Strategies & Adaptations for Students with Special Learning Needs	3
	EPSY 5116	Individual Pupil Assessment	3
	EPSY 5142	Procedures for the Education of Individuals with Disordered Behaviors	3
	EPSY 5113	Language & Literacy for Students with Cognitive Disabilities	3
	EPSY 5119	Policy, Law & Ethics in Special Education (pre requisite)	3
		Subtotal	**15**
	EPSY 5092	Practicum	3
Seminar/Clinic Courses	EDCI 5050	TCPCG Seminar I: Student Teaching Seminar	3
	EDCI 5055	TCPCG Seminar II: Teacher as Professional	3
		Subtotal	**9**
Student Teaching	EPSY 5396	TCPCG Directed Student Teaching: Special	9

		Education	
		Subtotal	**9**
		Total Credits	**45**

The sequencing of the courses and fieldwork experiences for students seeking Comprehensive Special Education certification have been designed to overlap the sequencing for other TCPCG students. Students begin with two intensive summer sessions, followed by student teaching and culminating in a capstone semester (See Table 6).

Table 6. TCPCG-comprehensive special education sequence*

	Summer Session I	**Summer Session II**	**Fall**	**Spring**
Core Courses	EDCI 5060 (3) EDCI 5065 (3) EDCI 5825 (3) EPSY 5163 (3)	EPSY 5123 (3) EPSY 5116 (3)	EPSY 5121 (3)	
Subject Specific Courses				EPSY5142 (3)
Clinical Experience		EPSY 5092 (3)	EPSY 5396 (9)	EDCI 5830 (3)
Seminar			EDCI 5050 (3)	EDCI 5055 (3)
Electives				
Total Credits	12	9	15	9

**EPSY 5108 and EPSY 5119 are pre requisite requirements for the special education track.*

Clinical Experiences

The student clinical experience will be administered by the Director of the program and articulated through the seminar class, which is the student's point of accountability for the clinic assignment. The field work component (clinic assignment) is included as part of the grade for the seminar. Clinic placements for TCPCG begin with a non credit-bearing placement during summer session II. Special education students will complete a for credit practicum experience during the same time period. In the fall and spring semesters, both groups of students continue gaining additional school-based experiences. In particular, students will participate in a student teaching placement (nine credits) during the fall. In the spring semester, these students will participate in a clinic-based experience, where they will be collaborate with 7-12 teachers to develop an inquiry project that investigates issues or concerns identified by the school.

The Director of the program will ensure that appropriate supervision and evaluation of seminar and clinic experiences is ongoing. The Director will be responsible for developing Professional Development Centers (PDCs). Agreements will be established between the University and the local school personnel, as appropriate.

Course Descriptions

EDCI 5050. *TCPCG Seminar I: Student Teaching Seminar*
Analysis of instructional practice in the clinical setting. Relationship of instruction to theory, and implications for instructional evaluation, are emphasized.
3 credits, Seminar. Department consent required.

EDCI 5055. *TCPCG Seminar II: Teacher as Professional*
Culminating seminar experience in the TCPCG program.
3 credits, Seminar. Department consent required.

EDCI 5060. *Social and Multicultural Foundations of Education*
An introduction to the social and multicultural foundations of contemporary public education in U.S. society. Includes discussion of the nature, organization and purposes of public education in a democratic society, cultural diversity in U.S. schools and society, the role of the classroom teacher, professional ethics, and contemporary issues in U.S. education.
3 credits, Lecture. Department consent required.

EDCI 5065. *Learning Theories*
Introduction to learning theories as they are applied to educational contexts. Topics include instructional objectives, behavioral analysis, social cognitive theory, cognitive psychology, social emotional development, and cognitive development.
3 credits, Lecture. Department consent required.

EDCI 5070. *Methods of Instruction and Evaluation*
Selection and organization of learning experiences, instructional activities and materials, and methods of instruction. Course activities include a combination of lecture and seminar experiences.
3 credits, Lecture. Department consent required.

EDCI 5080. *Reading and Literacy in the Content Areas*
Effective use of reading and writing to help students learning content material. Includes selection of reading materials that are appropriate for individual students with diverse reading abilities, understanding reading diagnosis provided by other professionals, using reading material in ways that facilitate comprehension and learning, and using written assignments to increase understanding and recall.
3 credits, Lecture. Department consent required.

EDCI 5085. *Subject Area Methods*
Selection and organization of learning experiences, instructional activities and materials, and methods of instruction related to the subject area. Course activities include a combination of lecture and seminar experiences, as well as extensive practice teaching.
3 credits, Lecture. Department consent required.

EDCI 5090. *TCPCG Directed Student Teaching*
Supervised student teaching in a subject-specific content area.
9 credits, Clinical. Department consent required.

EDCI 5825. *Enhancing Classroom Curriculum with Computers and Electronic Media*
Effective use of microcomputers and other electronic media to strengthen and enhance classroom instruction in the basic content and skill areas. Emphasis upon specific curriculum applications of technology rather than on its basic operation,
mechanics, and programming.

EDCI 5830. *Curriculum Laboratory*
Open to teachers and administrators seeking practical solutions to curriculum problems in elementary and secondary schools. Reorganization of courses, reorientation of the program of studies, articulation of administrative units, and development of new materials are considered in relation to the local situation. Students make individual studies of their specific problems, and group studies of related problems. 3 credits. Practicum.

EDCI 5875. *Multicultural Education*
Interrelationships between education and various sociocultural aspects of cultural diversity and cultural pluralism, including language acquisition and diversity. 3 credits. Lecture.

EPSY 5092. *Practicum*
Open to master's and Sixth-Year students. The implementation and application of theory in the student's area of specialization. 3 credits.

EPSY 5108. *Instruction for Students with Special Needs in the Mainstream*
Focus on planning for and working with students with special needs in schools.
3 credits, Lecture.

EPSY 5113. *Language and Literacy for Students with Cognitive Disabilities*
Methods and procedures for developing literacy instruction for students with cognitive disabilities including learning disabilities, attention-deficit disorder, and traumatic-brain injury.
Lecture.

EPSY 5116. *Individual Pupil Assessment*
Diagnosis and prescription for children with special learning and behavioral disabilities, including administration, scoring and interpretation of pupil assessment instruments.
3 credits, Lecture.

EPSY 5119. *Policy, Law, and Ethics in Special Education*
Knowledge and skill needed by special educators to be ethical and professional school leaders and advocates for students with disabilities.
3 credits, Lecture.

EPSY 5123. *Instructional Strategies and Adaptations for Students with Special Learning Needs*
Principles and practices for the provision of effective instruction for students with special learning needs.
3 credits, Lecture.

EPSY 5142. *Procedures for the Education of Individuals with Disordered Behavior*
Approaches for adapting programs to the behavioral, social and emotional needs of exceptional learners.
3 credits, Lecture.

EPSY 5396. *Directed Student Teaching for Students in the Teacher Certification Program for College Graduates* Clinical. Open to students in the Teaching Certification Program for College Graduates, others with permission. Supervised student teaching in special education. 9 credits.

APPENDIX C

CONNECTICUT BOARD OF GOVERNORS FOR HIGHER EDUCATION
Department of Higher Education

RESOURCE SUMMARY

Institution ______University of Connecticut—Avery Point______

Program Teachers Certification Program for College Graduates______

Name __Thomas C. DeFranco______ Signature ______ Date ______

PROJECTED ENROLLMENT	YEAR 1 FY 2012/13_ *		YEAR 2 FY 2013/14_		YEAR 3 FY 2014/15__	
	FT	PT	FT	PT	FT	PT
Internal Transfers	_____	_____	_____	_____	_____	_____
New Students	20		20		20	
TOTAL ENROLLMENT (est.)	20		20		20	

NEW REVENUES	YEAR 1 EST. AMOUNT	YEAR 2 EST. AMOUNT	YEAR 3 EST. AMOUNT
Tuition (1) 4 semesters	425,318	442,331	460,024
Extension Fund Fees (2)	______	______	______
Other Sources (Please attach a description)	______	______	______
TOTAL NEW REVENUES	425,318	442,331	460,024

NEW EXPENDITURES	YEAR 1 Number Est. Cost	YEAR 2 Number Est. Cost	YEAR 3 Number Est. Cost

Faculty (full-time) **Sal & FB**	(2) $229,556	(2) $229,556	(2) $238,168
Support Staff (full-time) **Sal & FB**	(1) $68,500	(1) $68,500	(1) $71,370
Library	______ ______	______ ______	______ ______
Equipment **(3) computers**	(3) $3000		
Other (4)	$87,400	$87,400	$90,370
TOTAL NEW EXPENDITURES	$388,456	$385,456	$399,908

PLEASE FILL IN) (1) Calculated for new students only at $20,448 per year for full-time students.
(2) Calculated at _____ per credit hour.
(3) Please attach a list of equipment and estimated costs.
(4) Please attach a description.

The Program will start in May of 2012 which is FY 11/12 but it is only for one month. There will be startup costs in 11/12

Department of Higher Education Budget Detail			
	12/13	13/14	14/15
Equipment			
3 Computers	3,000		
Total Equipment	3,000		
Other			
Office Expenses/Phone/	4,200	4,200	4,200
Marketing/Advertising	11,000	11,000	11,000
Outside Professional	5,500	5,500	5,500
Travel	700	700	700
Adjuncts	66,000	66,000	68,970
Total	87,400	87,400	90,370

ATTACHMENT 9

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive
Vice President
for Academic Affairs

January 25, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost Peter Nicholls

RE: Approval of a Certificate in Energy and Environmental Law at the School of Law

RECOMMENDATION:

That the Board of Trustees approve the Certificate in Energy and Environmental Law at the School of Law.

BACKGROUND:

Growing worldwide demands for energy pose environmental challenges of paramount importance. Climate change and the rapid degradation and depletion of the earth's natural resources cannot be halted or slowed unless policy-makers commit themselves to finding solutions and are well trained for the task. Accordingly, the Law School has taken steps to integrate the study of energy law and environmental law, just as Governor Malloy has recently created the new Department of Energy and Environmental Protection.

A twelve-credit Energy and Environmental Law Certificate will provide law students with structured educational opportunities—both in the classroom and through experiential learning— which will impart substantive knowledge in energy and environmental law, as well as strengthen students' analytical understanding, professional acumen and legal research, and writing skills.

No additional funding is anticipated, since the program will use resources already extant at the School of Law.

An Equal Opportunity Employer

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Storrs, Connecticut 06269-2086

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web: http://provost.uconn.edu

PROPOSAL FOR CERTIFICATE IN ENERGY AND ENVIRONMENTAL LAW

I. Educational Objectives

Growing worldwide demands for energy pose environmental challenges of paramount importance. Climate change and the rapid degradation and depletion of the earth's natural resources cannot be halted or slowed unless policy-makers commit themselves to finding solutions and are well trained for the task. An Energy and Environmental Law Certificate program will provide our students with the educational opportunities—both in the classroom and through experiential learning—necessary to develop substantive knowledge in energy and environmental law, as well as to strengthen their analytical, lawyering, legal research, and writing skills.

Students pursuing a certificate will create an individualized curriculum of energy and environmental course work, as well as one of the following: an advanced writing project, a clinic, or an externship with an appropriate law firm, energy company, utility, regulatory or quasi-public agency, or public interest organization.

II. Course Requirements

The Certificate in Energy and Environmental Law requires completion of at least twelve credits of Certificate-related coursework. The requirements are:

- Administrative Law (3-credits)

- At least one, 3-credit energy law course from the following group:

    - Energy Law
    - Energy and Sustainability
    - Renewable Energy Law in the U.S.

- At least one, 3-credit environmental law course from the following group:

    - Climate Law
    - Environmental Law
    - Land Use
    - Natural Resources Law
    - Environmental and Toxic Torts
    - Globalization and the Environment

- At least one of the following supervised activities (3-credits):

    - A writing project (which meets the Law School's upper-class writing requirement) under the direction of a member of the Energy or Environmental faculty

- Participation in an energy or environmental law clinic
- An externship in energy or environmental law, with a significant writing component, with an appropriate law firm, energy company, utility, regulatory or quasi-public agency, or public interest organization

Energy and environmental law courses may be added to or removed from these groups on a case by case basis with the approval of the Associate Dean for Academic Affairs or her/his designee.

ATTACHMENT 10

University of Connecticut
Board of Trustees

REVISED

January 25, 2012

TO: Members of the Board of Trustees

FROM: Lawrence D. McHugh, Chairman

RE: Notification of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article V – Committees of the Board of Trustees.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. This document represents such notice and the resolution calling for the described amendments should be acted upon at the next meeting of the Board.

The purpose of the proposed Amendment is to provide the Board of the Trustees the flexibility to appoint to Board committees individuals who are not themselves Trustees. It also will allow appointment of non-voting members of committees.

The Board of Directors of the Health Center already has this flexibility. The Board of Directors is itself a committee of the Board of Trustees. The By-Laws authorize the Board of Directors to appoint to its subcommittees individuals who are not members of the Board of Directors and to appoint non-voting members. See By Laws Article V. E. 4. a. The proposed amendment would give the Board of Trustees the same flexibility. The amendment would enable individuals who are not Trustees, including members of the Board of Directors, to serve on the committees of the Board of Trustees.

For the information of the Board, attached is the current language of Article V, with indications of the proposed amendments as a new "F." at the end of Article V.

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Article V – Committees of the Board of Trustees

(New language underlined)

A. The Board shall act as a committee of the whole on all matters requiring action with the exception of those listed in items B, C.1., D, and E below.

B. Executive Committee

1. *Membership*
   The Executive Committee shall consist of the Board Chair and the chairs of all the Board's standing committees. The President shall serve as an *ex officio* nonvoting member. The Chair of the Board shall chair this committee. A simple majority shall constitute a quorum. It shall meet at such times as deemed necessary by the Chair.

2. The Executive Committee shall exercise in emergencies all the authority of the Board of Trustees, consistent with the policies of the Board or with any action taken earlier by the Board. For purposes of executive committee action, a matter shall be deemed an emergency circumstance when delaying action until the full Board's next meeting could result in significant risk, expense, or disruption to the University or its operations.

3. The committee shall not preempt the Board except in those emergency circumstances that do not permit the handling of a matter in the normally prescribed manner by the Board, and shall report to the full Board any action taken at the next regular meeting of the Board.

C. The Board may appoint from time to time such special or standing committees as may be necessary to make studies or preliminary investigations necessary for determining Board action. Special committees are automatically discharged when their reports are acted upon by the Board or when the Board determines no action is warranted.

1. The standing committee charged with review of matters related to buildings, grounds, and environment may take action on behalf of the Board under emergency circumstances when delaying action until the full Board's next meeting could result in significant risk, expense, or disruption to the University or its operations. Such actions shall be reported to the full Board at its next regular meeting.

D. The committee of the Board of Trustees having cognizance over financial matters is authorized to buy and sell securities and the Chair of this committee is authorized to instruct the Vice President and Chief Financial Officer or the University Controller to execute the necessary assignment.

E. A standing committee shall be established with jurisdiction over the University of Connecticut Health Center, the Schools of Medicine and Dental Medicine and the John Dempsey Hospital, and its core administrative units.

[*Remainder of Section E. omitted for brevity*]

F. Membership of Committees other than the Board of Directors of the University of Connecticut Health Center

1. The Board of Trustees may appoint individuals who are not Trustees to be voting or non-voting members of its committees provided that a majority of the voting membership of all committees shall be comprised of Board of Trustees members. The Board may specify a term for any such appointment.

2. As to any Board action which is permitted to be taken by a committee of the Board on behalf of the Board, the presence of a quorum for such matter and the vote on any such matter will be determined on the basis of only the Trustee members of the committee.

ATTACHMENT 11

University of Connecticut
Office of the Provost

Peter J. Nicholls
Provost & Executive
Vice President
for Academic Affairs

January 25, 2012

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Five Year Review of Academic Centers and Institutes

The Laws, By-Laws and Rules of the University (Article XIII) require that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission." In 2005, a Committee was charged to develop criteria for the establishment and review of academic centers and institutes. Based on the guidelines developed by this Committee, all academic centers and institutes at the University of Connecticut are reviewed over a period not to exceed five years.

In April 2011, the Board was informed of the three-year renewal of the Center for Population Research. Since then, the Dean of the College of Liberal Arts & Sciences has reviewed the Centers and Institutes Committee's report and held internal discussions with the Center's faculty and staff. The following action has been recommended:

<u>Discontinued (effective June 30, 2012):</u>
Center for Population Research

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ATTACHMENT 12

THE UNIVERSITY OF CONNECTICUT CONSULTING PROGRAM
FY 2011 ANNUAL REPORT

SUMMARY

FY 11 represented the third full year of operation of the University's new consulting program that was implemented in mid FY '08[1]. At the start of the year, the Director of the Storrs Faculty Consulting Office (FCO), Dr. Ilze Krisst, retired and was replaced by Dr. Nancy Bull.

A significant revision of the University's web site for consulting took place (see consulting.uconn.edu.) The content of the site was expanded, with changes such as including the minutes and rulings of the Consulting Management Committee, as well as providing additional transparency in the operations of the FCOs on both campuses, such as including flow diagrams on how requests are processed and how the Directors of the FCO make their decisions whether to approve a request to consult.

Over the year, the FCOs of both campuses and the Information Technology Department at Storrs expended significant effort in order to develop an on-line faculty consulting approval system (OFCAS) which will be implemented in FY 12.

OFCAS was developed in order to:

- Facilitate the time it takes to obtain approval for consulting activities.
- Enable requests for consulting to be easily tracked through the approval process.
- Reduce the occurrence of data errors.
- Facilitate the annual reconciliation process.
- Provide those approving requests to consult with more organized data in order to support their decision making

In addition to OFCAS, the Faculty Consulting Offices, the Consulting Management Committee, and the Faculty Consulting Oversight Committee reviewed and revised the criteria used for categorizing consulting activities as being eligible for the accelerated approval process. More stringent requirements have been defined regarding the natures of the consulting activity and the contracting entities paying for such consulting[2]. As a result, these activities are viewed as having a low risk of Conflict of Interest. These new criteria were approved by the Board of Trustees in April 2011 and will become operational with the release of OFCAS.[3]

As mandated by legislation, the Faculty Consulting Oversight Committee (including a member of the Citizen's Ethics Advisory Board and members appointed by the Legislature) filed its second annual report to the UConn Board of Trustees and to the Legislature in the spring of

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[1] A full description of the origins of this new system was provided in the FY 08 Annual Report of the University's Consulting Program.

[2] For example, a scholarly presentation is not eligible for the accelerated approval process if the contracting entity is profit making.

[3] A complete description of eligible activities may be found at http://consulting.uconn.edu/policies.html .

2011. This was a positive report. The Oversight Committee continues to monitor all audit reports related to the program and will issue its third annual report early in 2012.

CONSULTING MANAGEMENT COMMITTEE

The Consulting Management Committee (CMC) amended its previous ruling on 'promotional presentations' which now includes the requirement that the faculty member has material control over all educational materials used in presentations. Further, it helped develop the new criteria for activities eligible for the accelerated approval process and made recommendations for formally establishing a Board level policy that handles royalties consistently with other statutes on the development of copyrightable materials. The Board approved this change to the policy in the spring of 2011.

PERFORMANCE NUMBERS-STORRS CAMPUS+

The Faculty Consulting Office (FCO) on the Storrs Campus received 1206 consulting forms from 432 individuals or 36% of those eligible to consult[4] (Table 1). Of these, 1,192 were approved (98.8 % of the total and 14 (1.2 %) were denied. In FY10, the FCO received 1,310 requests from 498 individuals with 97.3% approved, 1.0% withdrawn, and 1.7% denied.

All data were analyzed using the information submitted on the FY11 Annual Reconciliation Report. Reconciliation reports were received from all but 2 individuals who engaged in consulting activities and who remained on the payroll when reconciliation reports were due. 22 individuals left employment with UConn-Storrs+ and did not complete a report.

Of the 1,192 approved activities, 1.102 occurred (92.4%) and 90 (7.6 %) were not performed. There were 432 individual faculty members who performed at least one consulting activity during the fiscal year.

<u>Number of Days During Normal Work Time</u>

For those faculty who consulted during the normal work time, (36 % of the faculty who consulted), the mean amount of time spent consulting during normal work time for this group was 2.1 days (Table 2). Of these, only 4 faculty members reported reaching the Provost's maximum of an average of one day per week during normal work hours (39 days for a nine month appointment).

Eleven (11) faculty members (3 % of those who consulted) indicated on their reconciliation reports that they used more time during the normal work hours than originally estimated (Table 3). The maximum number of additional days was 6 with a mean of .50 days. Of these, 4 were deemed to be material in the overage and appropriate actions have been taken.

[4] The total number eligible faculty was obtained from the UConn 2010 Fact Sheet.

PERFORMANCE NUMBERS-UCHC CAMPUS

The FCO on the UCHC Campus received 746 Consulting Forms from 170 individuals (Table 4). Of these, 729 were approved (97.7% of the total), 6 (.8%) were withdrawn and 11 (1.5%) were denied. In FY 10 the FCO received 796 requests from 196 individuals with 95.7% approved, 2.5% withdrawn, and 1.8% denied.

Reconciliation reports were received on time from all those who engaged in consulting activities and who remained on the payroll when reconciliation reports were due. Four individuals who left employment with UCHC did not file reconciliation reports.

Of the 729 approved activities, 664 occurred (91.1%), 62 (8.5%) were not performed, and 3 (.4%) were determined to be duplicate requests. There were 162 unique faculty members who performed at least one consulting activity during the fiscal year.

Number of Days During Normal Work Time

For those faculty who consulted during the normal work time, (75.3% of all faculty), the mean amount of time spent consulting during normal work time was 5.4 days with a median of 4.0 days (Table 5). Of these, twenty percent of the faculty who consulted used one or less normal work days. The maximum number of days used during normal work time was 25 days, far below the Provost's maximum of an average of one day per week during normal work hours.

One faculty member (.6% of those who consulted) indicated on their reconciliation reports that they use more time during the normal work hours than originally estimated (Table 6). The maximum number of additional days was 0.5 with a mean of 0.5 days and a median of 0.5 days. Of these, none was deemed to be material in the overage.

AUDIT FINDINGS

By State statute, the consulting program must be audited twice a year by the Office of Audit, Compliance and Ethics. The fourth audit covering the period of time from July 1, 2009 – December 31, 2009 was completed and released in February 2011. As of the writing of this report (October 2011), a draft of the fifth audit covering the time period from July 1, 2009 – June 30, 2010 is awaiting final approval of the Joint Audit and Compliance Committee of the Board of Trustees. The 6th and 7th audits for the period of time of July 1, 2010 – December 31, 2010 and July 1, 2010 – June 30, 2011, respectively, are underway.

The fourth audit dealt with two major issues. The first concerned a variety of recommendations regarding accelerating the approval process, limiting errors when manually entering data into the consulting database, and providing department heads with additional information summarizing the consulting activities of their faculty. All of these will be addressed by the new on-line approval system that will become operational in FY 12.

The report also focused on procedures to ensure that a faculty member's consulting does not conflict with the University for work it would want to perform. The University agreed to develop additional processes to reduce the risk that competition will take place and these should be in place in FY 12.

The fifth audit, which is still not final yet, is focusing on three areas: a) additional care is needed when approving consulting activities that take place in the summer when the faculty may also be receiving extra compensation for summer work, b) additional considerations might be needed to avoid situations in which a faculty member is competing with the University for work, and 3) reconciliation reports should be submitted on time with sanctions issued when they are submitted late or not at all.

ISSUES FOR FY '12

- Implementation of phase 1 of the On-line Faculty Consulting Approval System (OFCAS)
- Assessment of phase 1 of OFCAS and implementation of phase 2.
- Addressing all previously raised and new audit findings

S. Reis
S. Wetstone
November 18, 2011

Table 1 – Storrs Requests to Consult

Requests	Total	ATHL	BUS	CANR	CLAS	ED	ENG	FA	VPR	LAW	NURS	PHARM	SW	Other
#	***1192***	32	91	49	399	220	71	94	7	33	42	121	21	12
%	***100%***	3%	8%	4%	33%	18%	6%	8%	1%	3%	4%	10%	2%	1%

Unique Faculty	Total	ATHL	BUS	CANR	CLAS	ED	ENG	FA	VPR	LAW	NURS	PHARM	SW	Other
#	***432***	13	25	23	172	58	37	25	2	11	14	37	8	7
%	***100%***	3.0%	5.8%	5.3%	39.8%	13.4%	8.6%	5.8%	0.5%	2.5%	3.2%	8.6%	1.9%	1.6%

Requests/faculty	Total	ATHL	BUS	CANR	CLAS	ED	ENG	FA	VPR	LAW	NURS	PHARM	SW	Other
mean	***2.64***	2.46	3.64	2.13	2.32	3.79	1.92	3.76	3.50	3.00	3.00	3.27	2.63	1.71
10 or more requests	***10***	-	2	-	1	4	-	1	-	1	1	0	-	-

DEFINITIONS:

*STORRS CAMPUS: includes Storrs and Regional campuses, School of Social Work and School of Law

SCHOOL/COLLEGE/UNIT

ATHL	Athletics	VPR	Vice President for Research
BUS	Business	LAW	School of Law
CANR	Agriculture and Natural Resources	NURS	Nursing
CLAS	Liberal Arts & Sciences	PHARM	Pharmacy
ED	Education	SW	School of Social Work
ENG	Engineering	OTHER	Center for Continuing Studies; Ctr Under Grd Ed; Library; OMIA; Prov & Exvp Acad Affairs
FA	Fine Arts		

Table 2 – Storrs Consulting Requests for Time During Normal Work Hours

Days/faculty	Total	ATHL	BUS	CANR	CLAS	ED	ENG	FA	VPR	LAW	NURS	PHARM	SW	Other
% using normal work time														
* mean	***2.28***	1.70	1.28	2.90	1.75	2.28	4.63	1.28	2.14	1.32	1.02	2.31	4.29	9.60
10 or more	***48***	1	4	3	14	7	7	2	0	1	0	4	3	2

DEFINITIONS:

* for those faculty who consulted during normal work time.

STORRS CAMPUS: includes Storrs and Regional campuses, School of Social Work and School of Law

SCHOOL/COLLEGE/UNIT

ATHL	Athletics
BUS	Business
CANR	Agriculture and Natural Resources
CLAS	Liberal Arts & Sciences
ED	Education
ENG	Engineering
FA	Fine Arts
GRAD	Vice President Research & Graduate Education
LAW	School of Law
NURS	Nursing
PHARM	Pharmacy
SW	School of Social Work
OTHER	Center for Continuing Studies; Ctr Under Grd Ed; Library; OMIA; Prov & Exvp Acad Affairs

Table 3 – Storrs Reconciliation Report Variances for Time During Normal Work Hours

Effort During Normal Work Days	
# of faculty with extra days % of faculty with extra days	11 (2.0%)
min	0.50
max	6
# with a material impact	4

Table 4 – UCHC Requests to Consult

Requests	Total	SoDM	SoM
#	746	119	627
%		16.0%	84.0%
Individual faculty	Total	SoDM	SoM
#	170	39	131
%		29.8%	70.2%
Requests/faculty	Total	SoDM	SoM
mean	4.4	3.1	4.8
median	2.00	2.00	2.00
10 or more requests	19	2	17

Table 5 – UCHC Consulting Time Performed During Normal Work Hours

Days/faculty	Total	SoDM	SoM
% using normal work time	75.3%	61.1%	79.4%
* Mean	5.4	5.4	5.4
* Median	4.0	4.0	3.8
10 or more	20	4	16

* for those faculty who consulted during normal work time.

Table 6 – UCHC Reconciliation Report Variances for Time During Normal Work Hours

Effort During Normal Work Days	
# with extra days (%)	1 (.6%)
min	0.5
max	0.5
# with a material impact	0

REPORT ON THE UNIVERSITY OF CONNECTICUT'S COMPLIANCE WITH PUBLIC ACT 07-166 (Section 12) FACULTY CONSULTING PROGRAM[1]
January 26, 2012
Report Issued by the Faculty Consulting Oversight Committee

SUMMARY

Pursuant to a change in the Connecticut State Statutes and action by the University of Connecticut Board of Trustees, new policies and procedures for approving consulting activities for the Faculty and members of the AAUP bargaining unit were implemented in December 2007. The latest revisions to these policies were approved by the Board of Trustees in April 2011.

Faculty Consulting Offices (FCOs) were established in Storrs and at the UCHC that have provided training to those who consult and for those who must participate in the consulting approval process, such as department heads and deans. A University-wide Consulting Management Committee (CMC) was convened to provide recommendations regarding the identification and management of potential Conflicts of Interest arising from consulting activities.

As required by PA 07-166, the Faculty Consulting Oversight Committee was convened including members appointed by the Legislature/Executive branch and from the Citizens' Ethics Advisory Board. This Committee has met on a regular basis to review the implementation of the consulting program and to review the twice a year audits of the program conducted by the University's Office of Audit, Compliance and Ethics.

Implementing this program required development of policies, procedures, forms and databases; identification and training of staff; and awareness and training of faculty, department heads, and deans. The Office of Audit, Compliance and Ethics has carried out semiannual audits as required and as expected have identified areas in which clarifications and improvements have been recommended. The University is addressing each of the issues raised in a timely and appropriate manner.

The Faculty Consulting Oversight Committee has determined that the University of Connecticut is complying with PA 07-166. The oversight required by the Act, including the Faculty Consulting Oversight Committee itself and the audits, has and should continue to enable ongoing review and improvement of the program.

To continue the quality improvement, the Oversight Committee has made two recommendations for implementation over the next year. These include encouraging the University to complete its development of the Policy on Interactions with Industry for the medical campus and changing the audit schedule required by PA 07-166 from twice a year to once a year.

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[1] The University has developed a consulting web site (http://consulting.uconn.edu/index.html) that includes the University's policy and procedures, training materials, request form, and minutes/actions of the Consulting Management Committee.

To date, the program, with its policies, procedures, and implementation, have resulted in a system that pro-actively identifies and manages potential conflicts of interest. Any individual who does not participate with the program is subject to sanctions by the University and may also be subject to additional sanctions by the Office of State Ethics.

BACKGROUND

Public Act (PA) 07-166 (Section 12)[2], approved on June 19, 2007, created a carve-out from the portion of the State Ethics Code dealing with consulting. Participating in appropriate consulting activities is viewed as being mutually beneficial for the University and its faculty and the intent of the Act is to enable such activities.

This carve-out applies to faculty and members of the faculty bargaining unit (herein fore referenced as "faculty") of a constituent unit of the State system of higher education. In the context of the Act, "consulting" represents situations in which faculty are compensated for services rendered while not acting as a State employee. The request to consult must be based on the faculty member's expertise in a field or prominence in such field and not due to the State position held. Faculty must receive prior approval before such consulting begins. No other State agency requires prior approval or any such mandated disclosure of outside employment activities.

The Act transfers final authority for approval of such activities to the University and it allows management plans to be implemented for addressing perceived conflicts of interest. Specifically, the legislation allowed these individuals to enter into a consulting agreement with a public or private entity, provided such agreement or project does not conflict with the individual's employment as determined by policies established by the Board of Trustees for such constituent unit.

This carve-out from the State Ethics Code is predicated on a set of requirements being met including significant institutional oversight. The University of Connecticut Board of Trustees (BOT) approved the University's "Policy on Consulting for Faculty and Members of the Faculty Bargaining Unit," and reviewed the operational procedures for implementation, on September 25, 2007. The Policy and Procedures define a consistent set of rules for consulting for all the faculty of the University. These documents have been reviewed and revised by the BoT several times since 2007 in order to make improvements to the program.[3]

The new consulting system became fully operational on December 15, 2007. Since that time, both the Storrs Campus and the Health Center have each established a Faculty Consulting Office (FCO) with reporting lines and staffing. Usage data systems have been developed by each FCO. Further, both campuses have developed on-line and live training programs.

Requests to consult must be reviewed and approved by each faculty member's department head, dean, and the provost's designees (one for each campus). Consulting may not negatively impact the faculty member's ability to fully and satisfactorily address his/her assigned job duties. At the end of the fiscal year, each faculty member must submit a reconciliation report indicating variances from

[2] See http://consulting.uconn.edu/statutes.html .
[3] The policy and procedures governing consulting may be found at: http://consulting.uconn.edu/policies.html .

the requested time spent consulting during the normal work time and confirming any and all appropriate reimbursements for use of University resources, if any.

If a faculty member does not adhere to the provisions described in the Act or the University's Consulting Policy and Procedures, the Office of State Ethics will retain jurisdiction over the activity and have the responsibility for determining whether it complies with the State Code of Ethics and whether sanctions should be imposed. Violations of the University's Consulting Policy and Procedures will in addition be subject to sanctions issued by the University which may result in termination.

CONSULTING MANAGEMENT COMMITTEE (CMC)

As required by the implementation procedures, a University-wide Consulting Management Committee (CMC) was first convened on December 10, 2007. The CMC is authorized to review and recommend disposition of certain consulting situations that do not have obvious resolution. The CMC also offers input on unforeseen situations put before it that may arise as a result of consulting activities. To date, the CMC provided advice to the directors of the Faculty Consulting Offices on an ad hoc basis, and has also reviewed eleven general situations resulting in formal position papers. For example, based on one position paper, participation in promotional presentations with pharmaceutical companies has been banned.[4]

SEMIANNUAL AUDITS

As required in PA 07-166, the University's Office of Audit, Compliance and Ethics has conducted semiannual audits with the data collection. Per standard practice, management has had the opportunity to provide responses to each audit finding and recommendation. These audits are reviewed by the Joint Audit and Compliance Committee of the Board of Trustees as well as by the Faculty Consulting Oversight Committee.[5]

FACULTY CONSULTING OVERSIGHT COMMITTEE

As required in PA 07-166, the Faculty Consulting Oversight committee meets on an on-going basis in order to review the University's compliance with PA 07-166, and to file annual reports regarding such compliance with the University's Board of Trustees and to the Legislature. This document is the third of such reports.[6] The Committee's responsibility is to ensure that the University complies with the provisions of the Act and the University's policies and procedures on consulting. It may also make recommendation for improvements to the consulting program.

The membership of the Faculty Consulting Oversight Committee is:

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[4] These position papers and the minutes of the CMC may be found at http://consulting.uconn.edu/cmc/index.html .
[5] Copies of past audits may be found at: http://consulting.uconn.edu/reports_audits.html .
[6] Past reports may be found at: http://consulting.uconn.edu/reports_audits.html .

Name	Background
Archambault Jr., F.	Member, Board of Trustees
Clemons, T.	Former Legislator
Freedman, J. (chair)	Former Legislator
Lowe, C.	Dean, UConn Graduate School
Nair, S	Professor, School of Business
Ogbar, J	Professor, College of Liberal Arts and Sciences
Pawelkiewicz, W.	Former Legislator
Riley, D.	Member, Citizens' Ethics Advisory Board

The Committee last met on November 30, 2011 and December 20, 2011. It has reviewed the latest final audit report and the 2011 annual report of the Faculty Consulting Offices (attached).

The Committee believes the program was effectively initiated and through on-going revisions has been improved. In fact, in many regards the program is more rigorous than how consulting is handled for other State employees not covered by PA 07-166, especially through the requirement for approval prior to the consulting activities taking place.

The audits have led to improvements to the Consulting Program including revisions to the consulting request form, enhancements to the training program, improving the clarity and predictability of decision making, and assuring sufficient information is available to inform the decision making of the approvers. The University has developed an on-line request form/approval process that is being implemented over the summer and fall of 2011. This system is anticipated to have several benefits which will be described in next year's annual report. All of these actions should ensure complete compliance with PA 07-166.

The initial implementation of the consulting program, both in terms of logistics and compliance, has met initial expectations. There have been areas of different interpretations regarding the requirements of PA 07-166 and the University's Policy and Procedures. The audit processes in place appear to be working to identify such areas and to report them to senior management and to the Faculty Consulting Oversight Committee. Management has demonstrated its willingness to address the audit findings in a timely manner. Management appears to be keenly aware of the need to fully comply with PA 07-166, but that as a new program, this program is a work in progress that requires monitoring and revision as necessary

The Committee takes note that number and severity of audit finding has decreased over time and this demonstrates management's commitment to operate the program optimally and in full compliance with the Legislature's intent for the program.

Recommendations for Improvement:

1) The Committee is aware of the increasing national attention being paid to the relationship between medical vendors (especially pharmaceutical and medical device companies) and health science school faculty. While the consulting program has addressed many potential conflicts of interest in this area, these relationships extend beyond consulting. A draft Policy on Interactions with Industry has been developed by the Health Center and it attempts to present an organized approach for all such possible conflicts of interest, including those posed with consulting. The Committee encourages the University to complete its development of this policy for the medical campus.

2) As recommended last year, the Committee believes the twice a year audit schedule should be reduced to a once a year basis. The Office of State Ethics supported this recommendation last year but efforts to revise PA 07-166[7] were not successful. The Committee again recommends that the chair of the Oversight Committee and/or staff of the UConn Governmental Affairs Office meet with the co-chairs of the Legislature's Higher Education and Government and Administration committees and propose that the semi-annual audits mandated by PA07-166 be reduced to a single annual audit. This reduced audit schedule is viewed to be a more efficient and effective use of audit office resources and should have no less, if not actually more, value than a twice a year schedule.

VOLUME OF CONSULTING ACTIVITIES

In FY '11, the third full year of operations, the Faculty Consulting Office on the Storrs Campus received 1,206 requests to consult from 432 individuals. The Health Center's office received 746 requests from 170 individuals). Both campuses had over a 99% response rate with the required reconciliation reports from those individuals who had filed requests to consult. The FY'11 annual report of the University's Faculty Consulting Program is attached.

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[7] See http://consulting.uconn.edu/statutes.html .

ATTACHMENT 13

University of Connecticut
Office of the Vice President and Chief Operating Officer

Barry M. Feldman, Ph.D.
Vice President and
Chief Operating Officer

January 25, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) Ambulatory Care Center (ACC) (Planning: $203,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of $203,000,000 for the UCHC Ambulatory Care Center.

BACKGROUND:

As part of the Bioscience Connecticut initiative UCHC plans to construct an Ambulatory Care Center (ACC) that will be approximately 300,000 gross square feet and will include a parking structure of approximately 1,250 cars. The project may be one or two buildings constructed on the UCHC Farmington campus, adjacent to the existing Medical Arts and Research Building (MARB) on land currently occupied by surface parking. The ACC project will be the cornerstone of a new Outpatient Clinical zone composed of the MARB and the Administrative Services Building (ASB) located on the Lower Campus of the Health Center.

The new ACC will house existing clinical programs from the Dowling North, Dowling South Buildings and other clinical faculty practices from the Main building. Clinical programs that are anticipated to move to the new ACC include:

- Internal Medicine
- Surgery
- Ophthalmology

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- Geriatrics
- Center for Advanced Reproductive Services
- Endocrinology
- Infectious Disease
- Gastroenterology
- Clinical Research
- Medical Specialties

The ACC will also house the new Oral Healthcare and Education Center. The Center encompasses the existing teaching/care practices of the School of Dental Medicine's (DMD), eight dental student /residency/faculty programs located within the Main Building of UCHC. The primary focus of the Center is a blend between patient care by dental students (approx. 104 chairs) and residents (approx 120 chairs), spanning all disciplines in dentistry, and education/ instruction by supervising faculty. Approximately 10% of total patient care is faculty-practice type where the care is delivered primarily by dental faculty. All other care involves ongoing instruction by faculty or care delivered by residents and dental students.

The ACC will incorporate best practices for sustainability and will achieve a minimum of LEED Silver certification

This project budget will be reviewed and is expected to be approved at the UCHC Board of Directors Finance subcommittee and the full UCHC Board of Directors at their next meeting.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCHC AMBULATORY CARE CENTER

BUDGETED EXPENDITURES		PROPOSED PLANNING 1/25/2012
CONSTRUCTION	$	141,117,000
DESIGN SERVICES		18,536,000
TELECOMMUNICATIONS		5,500,000
FURNITURE, FIXTURES AND EQUIPMENT		11,550,000
CONSTRUCTION ADMINISTRATION		400,000
OTHER AE SERVICES (including Project Management)		3,450,000
ART		-
RELOCATION		475,000
ENVIRONMENTAL		250,000
INSURANCE AND LEGAL		1,500,000
MISCELLANEOUS		1,049,000
OTHER SOFT COSTS		-
SUBTOTAL	$	183,827,000
PROJECT CONTINGENCY		19,173,000
TOTAL BUDGETED EXPENDITURES	$	203,000,000
SOURCE(S) OF FUNDING		
UCHC SECURED PRIVATE FUNDING	$	203,000,000
TOTAL BUDGETED FUNDING	$	203,000,000

BOT 1.25.12
11-042

ATTACHMENT 14

University of Connecticut
Office of the Vice President and Chief Financial Officer

January 25, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard M. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Beach Hall Renovations – Façade and Roof Repair
(Design: $2,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of $2,000,000 for the planning, design and construction of exterior repairs to the façade and roof of the Charles Lewis Beach Hall.

BACKGROUND:

Beach Hall is a 103,000 square foot office, laboratory and classroom building built in 1929. The building is on the National Historic Register. The intent of this project is to repair the façade and roof. New windows were previously installed in the building.

The University commissioned an architect to determine the scope and preliminary estimate for the repair work. The architect identified significant deterioration of the brick and roof. Plans and specifications for this work are in progress. The University intends to seek competitive bids for this project during the winter of 2012 and commence work during the spring/summer 2012.

This Design Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **DESIGN**

PROJECT NAME: **BEACH HALL RENOVATIONS - FAÇADE AND ROOF REPAIR**

BUDGETED EXPENDITURES	APPROVED PLANNING 2/22/2011	PROPOSED DESIGN 1/25/2012
CONSTRUCTION	$ 1,550,000	$ 1,531,000
DESIGN SERVICES	100,000	110,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	50,000	40,000
OTHER AE SERVICES (including Project Management)	68,000	55,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	21,000	-
INSURANCE AND LEGAL	5,500	5,500
MISCELLANEOUS	5,500	8,500
OTHER SOFT COSTS*	-	-
SUBTOTAL	$ 1,800,000	$ 1,750,000
PROJECT CONTINGENCY	200,000	250,000
TOTAL BUDGETED EXPENDITURES	$ 2,000,000	$ 2,000,000
SOURCE(S) OF FUNDING		
UCONN 2000 PHASE III	$ 2,000,000	$ 2,000,000
TOTAL BUDGETED FUNDING	$ 2,000,000	$ 2,000,000

*Does not include Furniture, Fixtures and Equipment.

BOT 1.25.12
901646

BEACH HALL RENOVATIONS – FAÇADE AND ROOF REPAIR

Project Budget (DESIGN)

1/25/2012

BEACH HALL

TYPICAL REPAIRS

ATTACHMENT 15

University of Connecticut
Office of the Vice President and Chief Financial Officer

January 25, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Psychology Building Renovation/Addition
(Design: $22,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of $22,500,000 for the planning, design and renovation/addition to the Weston A. Bousfield Psychology Building.

BACKGROUND:

The 72,300 gross square foot Weston A. Bousfield Building was opened in 1974 to provide departmental space for Psychology. Psychology is one of the largest undergraduate degree programs in the College of Liberal Arts and Sciences and its faculty and staff are actively involved in clinical and animal research projects. A previous UCONN 2000 renovation project upgraded the animal care and research facilities located in the lower level of the building and included repairs to the plaza decks and roof.

Because of increasing enrollments and research activities of the department the building addition and renovation of the existing building is required. The design team is completing contract documents. A Construction Manager at Risk is working with University staff to prepare the project for bidding.

This Design Budget is attached for your consideration and approval.

Attachment

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: PSYCHOLOGY BUILDING RENOVATION / ADDITION

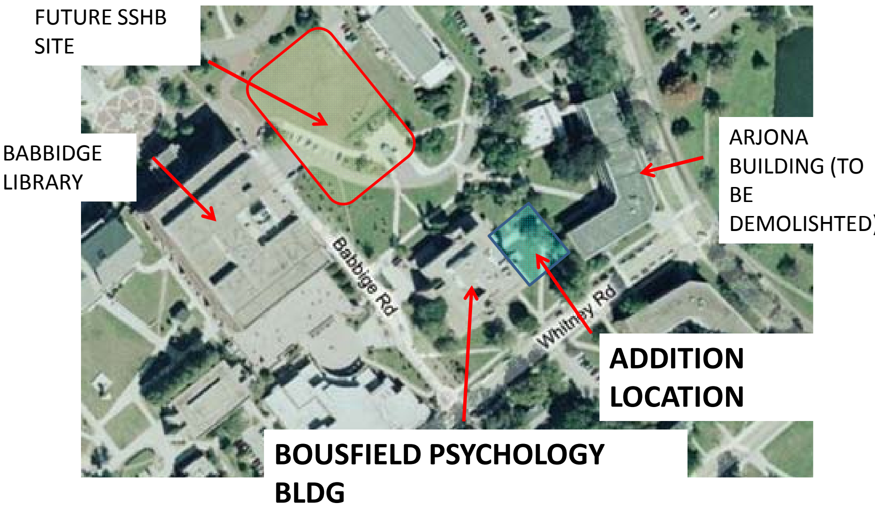
BUDGETED EXPENDITURES	APPROVED PLANNING 9/23/2008	APPROVED REVISED PLANNING 1/26/2011	PROPOSED DESIGN 1/25/2012
CONSTRUCTION	$ 15,000,000	$ 16,600,000	$ 16,987,500
DESIGN SERVICES	1,650,000	1,815,600	1,900,000
TELECOMMUNICATIONS	300,000	500,000	500,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	250,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	571,400	535,500
ART	150,000	150,000	165,000
RELOCATION	-	50,000	25,000
ENVIRONMENTAL	-	170,000	45,000
INSURANCE AND LEGAL	25,000	15,000	18,000
MISCELLANEOUS	75,000	43,000	28,000
OTHER SOFT COSTS*	800,000	335,000	-
SUBTOTAL	$ 18,000,000	$ 20,250,000	$ 20,454,000
PROJECT CONTINGENCY	2,000,000	2,250,000	2,046,000
TOTAL BUDGETED EXPENDITURES	$ 20,000,000	$ 22,500,000	$ 22,500,000
SOURCE(S) OF FUNDING			
UCONN 2000 PHASE III	$ 20,000,000	$ 22,500,000	$ 22,500,000
TOTAL BUDGETED FUNDING	$ 20,000,000	$ 22,500,000	$ 22,500,000

*Does not include Furniture, Fixtures and Equipment.

BOT 1.25.12
901388

PSYCHOLOGY BUILDING
RENOVATION AND ADDITION

LOCATION PLAN



PERSPECTIVE VIEW

ATTACHMENT 16

University of Connecticut
Office of the Vice President and Chief Financial Officer

January 25, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Young Building Renovation/Addition
(Design: $15,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of $15,000,000 for renovations at the Wilfred B. Young building.

BACKGROUND:

The Young building is a 71,937 square foot classroom, laboratory and office building located on the Storrs campus. It was built in 1953 and is the home of the College of Agriculture and Natural Resources.

The renovations include complete replacement of mechanical and electrical systems, ADA modifications, window replacement, and façade repair. Additional work includes a fire suppression system and limited interior improvements. A Construction Manager at Risk has been retained to prepare the project for bidding and to manage the construction.

The design team is preparing the construction documents.

This Design Budget is attached for your consideration and approval.

Attachment

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: YOUNG BUILDING RENOVATION/ADDITION

BUDGETED EXPENDITURES	**APPROVED PLANNING 6/24/2008**	**APPROVED REVISED PLANNING 11/9/2010**	**PROPOSED DESIGN 1/25/2012**
CONSTRUCTION	$ 7,940,000	$ 10,900,000	$ 11,061,500
DESIGN SERVICES	750,000	1,415,000	1,378,030
TELECOMMUNICATIONS	-	200,000	200,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	270,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	65,000	397,500	395,970
ART	-	-	-
RELOCATION	-	50,000	250,000
ENVIRONMENTAL	150,000	200,000	33,000
INSURANCE AND LEGAL	20,000	10,500	75,000
MISCELLANEOUS	-	63,000	40,000
OTHER SOFT COSTS*	125,000	400,000	-
SUBTOTAL	$ 9,050,000	$ 13,636,000	$ 13,703,500
PROJECT CONTINGENCY	950,000	1,364,000	1,296,500
TOTAL BUDGETED EXPENDITURES	$ 10,000,000	$ 15,000,000	$ 15,000,000
SOURCE(S) OF FUNDING			
UCONN 2000 PHASE III	$ 10,000,000	$ 15,000,000	$ 15,000,000
TOTAL BUDGETED FUNDING	$ 10,000,000	$ 15,000,000	$ 15,000,000

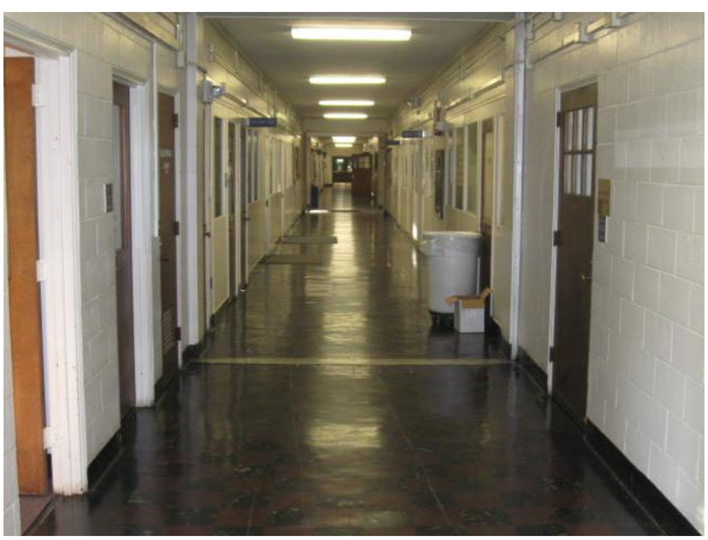
*Does not include Furniture, Fixtures and Equipment.

BOT 1.25.12
901373

YOUNG BUILDING RENOVATION/ADDITION

Project Budget (Design)

01/25/12



ATTACHMENT 17

University of Connecticut
Office of the Vice President and
Chief Financial Officer

January 25, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for McMahon Dining Hall Renovations
(Revised Final: $9,900,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of $9,900,000 for the planning, design and construction of the renovations and addition to McMahon Dining Hall.

BACKGROUND:

The McMahon Dining Hall is a 300 seat dining hall housed within a 194,000 square foot McMahon dormitory complex built in 1964.

These renovations will provide an extensive upgrade to a market place theme bringing display cooking and finishing of food items to the seating area. The seating area will be increased to 500 from the current 300 seats. Mechanical, electrical and fire protection systems will be replaced and updated. All finishes will be improved to provide a modern and attractive appearance.

The current budget is an increase to the Design Budget approved on August 3, 2011. In completing the due diligence the design team identified additional critical deferred maintenance items that must be addressed in order to meet the project goals of a modern, sustainable dining facility. Some of these items include repairs/replacement to University utilities serving the building; roof replacement; replacement/upgrade of building infrastructure such as chillers and accessibility issues. It is estimated that these items add $800,000 to the project costs. These costs are now incorporated into the funding sources.

The Revised Final Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: MCMAHON DINING HALL RENOVATIONS

BUDGETED EXPENDITURES	APPROVED PLANNING 8/10/2010	APPROVED REVISED PLANNING 6/23/2011	APPROVED DESIGN 8/3/2011	APPROVED FINAL 11/10/2011	PROPOSED REVISED FINAL 1/25/2012
CONSTRUCTION	$ 5,644,540	$ 5,644,540	$ 6,051,919	$ 6,427,000	$ 7,580,000
DESIGN SERVICES	692,350	692,350	711,889	775,000	792,000
TELECOMMUNICATIONS	15,000	15,000	15,000	15,000	30,000
FURNITURE, FIXTURES AND EQUIPMENT	305,000	305,000	305,000	305,000	226,000
CONSTRUCTION ADMINISTRATION	90,000	90,000	10,000	-	30,000
OTHER AE SERVICES (including Project Management)	168,100	168,100	217,480	217,000	253,250
ART	-	-	-	-	-
RELOCATION	20,000	20,000	20,000	20,000	5,000
ENVIRONMENTAL	62,000	62,000	62,000	62,000	17,000
INSURANCE AND LEGAL	4,000	4,000	4,000	7,000	5,500
MISCELLANEOUS	27,500	27,500	27,562	30,000	17,500
OTHER SOFT COSTS	-	-	-	-	-
SUBTOTAL	$ 7,028,490	$ 7,028,490	$ 7,424,850	$ 7,858,000	$ 8,956,250
PROJECT CONTINGENCY	781,510	781,510	718,854	750,000	943,750
TOTAL BUDGETED EXPENDITURES	$ 7,810,000	$ 7,810,000	$ 8,143,704	$ 8,608,000	$ 9,900,000
SOURCE(S) OF FUNDING					
UCONN 2000 PHASE III					$ 800,000
DEPARTMENTAL FUNDS	$ 7,810,000	$ 7,810,000	$ 8,143,704	$ 8,608,000	9,100,000
TOTAL BUDGETED FUNDING	$ 7,810,000	$ 7,810,000	$ 8,143,704	$ 8,608,000	$ 9,900,000

BOT 1.25.12
201357

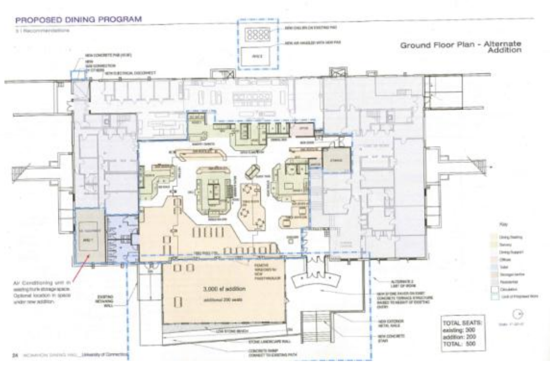
MCMAHON DINING HALL RENOVATIONS
Project Budget (REVISED FINAL)
01/25/12

McMahon Dining Hall Renovations

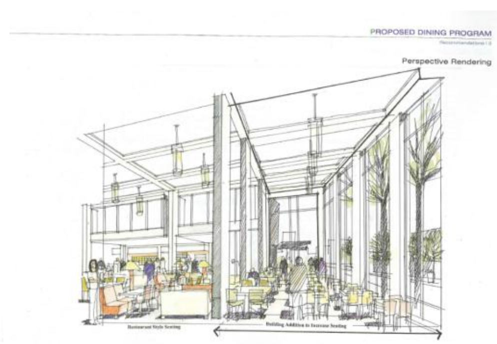
RESIDENTIAL TOWERS

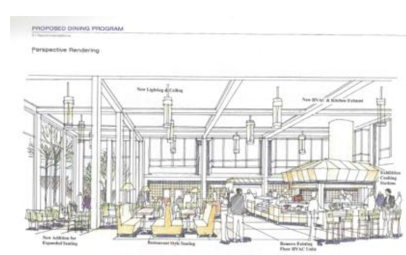
DINING HALL

McMahon Dining Hall Renovations



INTERIOR LAYOUT





ATTACHMENT 18

University of Connecticut
Office of the Vice President and Chief Operating Officer

Barry M. Feldman, Ph.D.
Vice President and
Chief Operating Officer

January 25, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

RE: Memorandum of Agreement with CIGNA related to Internship and Use of Space in Information Technology Engineering Building

RECOMMENDATION:

That the Board of Trustees approve a Memorandum of Agreement with CIGNA related to its Internship Program and the Use of Space in the Information Technology Engineering Building on the Storrs campus.

BACKGROUND:

CIGNA will hire up to fifteen (15) University of Connecticut students as paid interns to perform Internet-related information technology tasks and activities for CIGNA's software engineering and innovation group. Each student will be hired by CIGNA for a term of at least one (1) semester in length. Each student participating in the Program shall be a CIGNA employee for purposes of this arrangement.

The students will perform their work under the Program at the UCONN facilities located at: Room 306 of the Information Technology Engineering Building on the Storrs campus, which is a space of approximately 655 square feet. The use of this space for the Program will be governed by license provisions included within the Memorandum of Agreement.

The term of the agreement shall be from January 26, 2012 through May 9, 2014. CIGNA shall pay $1,000.00 per month for use of the premises for the term of the agreement.

An Equal Opportunity Employer

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ATTACHMENT 19

University of Connecticut
Office of the Vice President and Chief Operating Officer

Barry M. Feldman, Ph.D.
Vice President and Chief Operating Officer

January 25, 2012

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

RE: Memorandum of Understanding with Connecticut Department of Developmental Services Related to Use of Houses at 1279 and 1340 Stafford Road, Mansfield, Connecticut

RECOMMENDATION:

That the Board of Trustees approve a Memorandum of Understanding with the Connecticut Department of Developmental Services related to the use of houses at 1279 and 1340 Stafford Road in Mansfield, Connecticut.

BACKGROUND:

This MOU will memorialize the use and occupancy of two University-owned houses by the Department of Developmental Services (hereafter "DDS").

Presently, and for many years prior, DDS has occupied the properties and has operated one as a group home for developmentally challenged individuals, and one property is operated as a respite home for caregivers themselves. This MOU outlines the rights and responsibilities of the parties, and a draft of the document is attached hereto.

The University will seek no consideration for this MOU because the DDS has been and will continue to be responsible for all of the utilities, maintenance and ongoing operating costs related to the properties.

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ATTACHMENT 20

University of Connecticut
Office of the Vice President and Chief Operating Officer

Barry M. Feldman, Ph.D.
Vice President and Chief Operating Officer

January 25, 2012

TO: Members of the Board of Trustees

FROM: Barry Feldman
Vice President and Chief Operating Officer

RE: Final Environmental Impact Statement (FEIS)
North Hillside Road Extension, Storrs, Connecticut

RECOMMENDATION:

That the Board of Trustees approve the Final Environmental Impact Statement (FEIS) for the proposed construction of the road extension from the current North Hillside Road terminus to Route 44. This approval is contingent upon approval of the Record of Decision by the Federal Highway Administration (FHWA), which will include consideration of substantive agency and public comments received on the FEIS. FHWA approval of the Record of Decision is anticipated in February 2012.

BACKGROUND:

The University of Connecticut (UConn) proposes to extend North Hillside Road on the UConn Storrs campus from its current terminus northward to U.S. Route 44 in the town of Mansfield, Connecticut (Figure 1-1 in Attachment A). The proposed project will construct an approximately 3,400-foot, 2-lane, 32-foot wide road through a portion of land adjacent to the Storrs core academic campus known as the "North Campus." The project will provide an alternative entrance to the University, relieve traffic on surrounding roads, and facilitate the development of the North Campus. The Connecticut Department of Transportation (CTDOT) is administering the federal funds that were appropriated for the construction of the North Hillside Road Extension.

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The purpose of the project is to construct a new road, by extending the existing North Hillside Road, to provide an alternate entrance to the University and to facilitate the development of a Technology Park. The need for the North Hillside Road Extension results from the existing and anticipated traffic in the vicinity of the Storrs Campus and the associated effects on roadway capacity and level of service in the area surrounding the campus, especially U.S. Route 44, state Route 195, and Hunting Lodge Road. The new road is also intended to facilitate the development of University-related academic and research buildings and student facilities, consistent with the University's master plan for development of the North Campus.

On December 29, 2008, the FHWA, in cooperation with UConn and CTDOT, released for circulation and review by federal, state, and local agencies and other interested parties, a Draft Environmental Impact Statement (DEIS) for the extension of North Hillside Road. A Notice of Availability of the DEIS was published in the Federal Register on December 29, 2008. The DEIS was prepared and circulated pursuant to the National Environmental Policy Act (NEPA). A joint environmental and design public hearing was held on January 29, 2009 to solicit public and agency comment on the DEIS. Copies of the DEIS were also made available at the Mansfield Public Library and on the UConn Office of Environmental Policy website.

The FEIS was prepared as a revision of the DEIS, to address substantive comments on the DEIS and to identify the preferred alternative. Additionally, a series of meetings were held with the Connecticut Department of Energy and Environmental Protection and the U.S. Army Corps of Engineers between May 2009 and February 2010 to further evaluate roadway alignment alternatives and wetland crossing designs that would minimize impacts to aquatic resources and maintain vernal pool habitat connectivity. The additional agency coordination and expanded alternatives evaluation resulted in the selection of a preferred alternative as identified in the FEIS.

The FEIS Preferred Alternative consists of the Option A roadway alignment and the North Campus development Alternative 2C (Figure 3-6 in Attachment A), including modified wetland crossings, and an additional 76-acre conservation easement on the northwest portion of the North Campus (including portions of Parcels A, C, E, J and the wetland mitigation area). This alternative was selected as the preferred alternative because it reflects the overall roadway and parcel development scenario that best addresses the University's goals for development of the North Campus while minimizing impacts to the on-site wetlands and maintaining habitat connectivity.

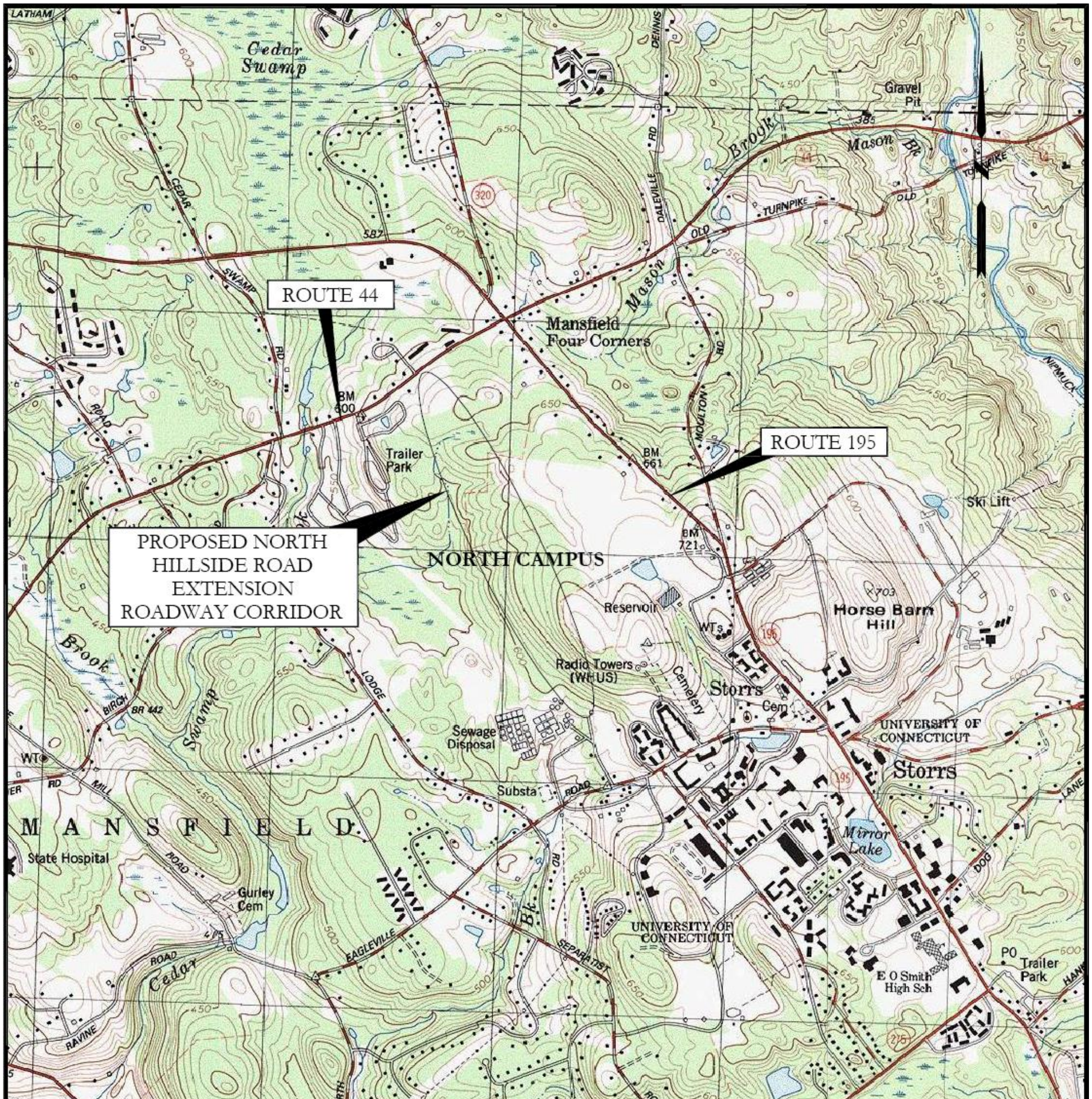
Direct, indirect, and cumulative environmental impacts associated with the North Hillside Road extension and corresponding North Campus development are summarized in Attachment B. Anticipated environmental impacts will be avoided or minimized through project design, permitting, and specific mitigation measures. Coordination with the regulatory agencies throughout the project development process has resulted in agreement on measures to mitigate and minimize adverse impacts to environmental resources. These measures are also summarized in Attachment B.

The preliminary FEIS was reviewed by FHWA legal counsel. On October 21, 2011, FHWA legal counsel found the preliminary FEIS for the North Hillside Road Extension to be legally sufficient. The FEIS was signed in December 2011. Copies of the FEIS were mailed to those agencies and individuals who had received a copy of the DEIS and those who had submitted substantive comments on the DEIS. On December 23, 2011, the Environmental Protection Agency published a Notice of Availability of the FEIS in the Federal Register. The comment period for the FEIS ended January 23, 2012. Comments received during the comment period, and responses to those comments, will be provided in the Record of Decision.

<u>ATTACHMENT A</u>

Site Location and Development Concept Figures

Site Location



MAP REFERENCE:
THIS MAP WAS PREPARED FROM THE FOLLOWING 7.5 MINUTE SERIES TOPOGRAPHIC MAP: COVENTRY, CONN. 1974, PHOTOREVISED 1983

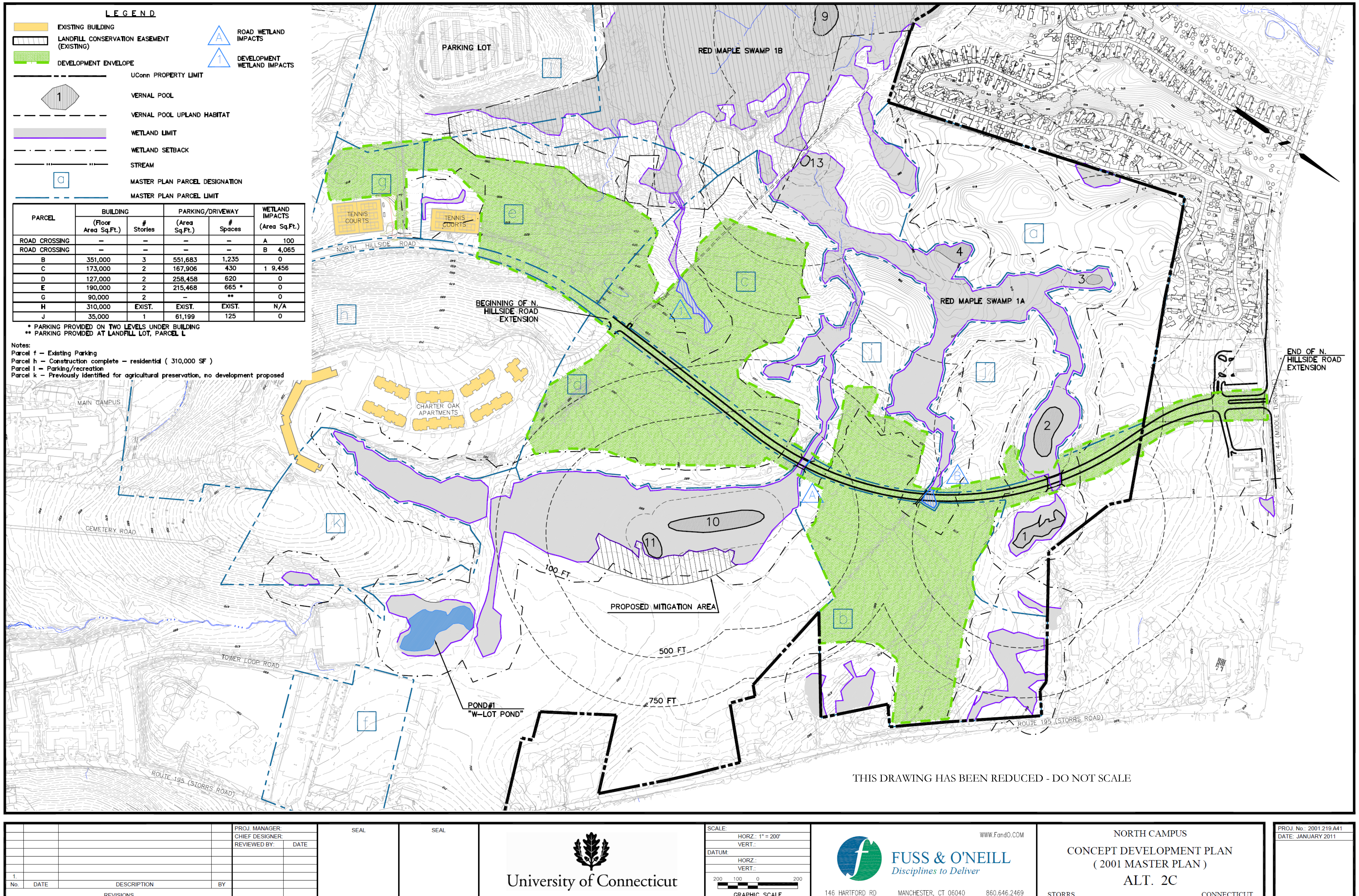
IDENTIFYING LOCATION
THE CENTER OF THE SITE SHOWN ABOVE IS APPROXIMATELY 1.25 MILES FROM THE INTERSECTION OF CT ROUTE 195 AND EAGLEVILLE ROAD.

THIS DRAWING HAS BEEN REDUCED - DO NOT SCALE

SCALE: HORZ.: 1"=2000' VERT.: DATUM: HORZ.: VERT.: 0 1000 2000 GRAPHIC SCALE	WWW.FandO.COM FUSS & O'NEILL *Disciplines to Deliver* 146 HARTFORD RD MANCHESTER, CT 06040 860.646.2469	THE UNIVERSITY OF CONNECTICUT LOCUS MAP NORTH HILLSIDE ROAD EXTENSION STORRS CONNECTICUT	PROJ. No.: 20050147.A20 DATE: NOVEMBER 2007

(Fuss & O'Neill, 2011)

Development Concept (Alternative 2C)



PARCEL	BUILDING (Floor Area Sq.Ft.)	BUILDING # Stories	PARKING/DRIVEWAY (Area Sq.Ft.)	PARKING/DRIVEWAY # Spaces	WETLAND IMPACTS (Area Sq.Ft.)
ROAD CROSSING	–	–	–	–	A 100
ROAD CROSSING	–	–	–	–	B 4,065
B	351,000	3	551,683	1,235	0
C	173,000	2	167,906	430	1 9,456
D	127,000	2	258,458	620	0
E	190,000	2	215,468	665 *	0
G	90,000	2	–	**	0
H	310,000	EXIST.	EXIST.	EXIST.	N/A
J	35,000	1	61,199	125	0

* PARKING PROVIDED ON TWO LEVELS UNDER BUILDING
** PARKING PROVIDED AT LANDFILL LOT, PARCEL L

Notes:
Parcel f – Existing Parking
Parcel h – Construction complete – residential (310,000 SF)
Parcel l – Parking/recreation
Parcel k – Previously identified for agricultural preservation, no development proposed

(Fuss and O'Neill, 2011)

<u>ATTACHMENT B</u>

Impact and Mitigation Summary Tables

Summary of Impacts and Proposed Mitigation Measures

Environmental Sector	Proposed Mitigation
Farmland Impacts	• Preservation of 41.5 acres of prime farmland for cultivation by the College of Agricultural and Natural Resources on University-owned property located on or adjacent to the North Campus, all of which is currently in agricultural use. • Conversion of 34.1 acres of University-owned land to Prime and Statewide Important Farmland located near the UConn Depot Campus and Spring Manor Farm to achieve the acre-for-acre farmland mitigation identified in previous CEPA documents. • A certified soil scientist will perform a field reconnaissance of the proposed farmland mitigation sites at the Depot Campus prior to finalizing the farmland conversion plans to assess the presence of wetlands and watercourses. The CT DEEP Natural Diversity Database will also be consulted regarding listed species for these areas prior to finalizing the farmland conversion plans.
Relocation Impacts and Rights-of-Way Acquisition	• The need for mitigation associated with ROW acquisition will be determined at a later point in the roadway design process. Existing land use and underlying zoning will be taken into account in the ROW acquisition process to avoid or minimize affects on parking and zoning. • Development of a Memorandum of Understanding with CTDOT to formalize the ROW acquisition agreement.
Traffic	• Optimization of signal timing at signalized intersections in the study area. • Geometric improvements at selected intersections to maintain acceptable levels of service at all of the signalized intersections within the study area. • Conduct a warrant analysis at the unsignalized intersection of North Eagleville Road at Hunting Lodge Road to determine if a roundabout or a traffic signal is necessary.
Air Quality	• See construction impacts • Design elements that will reduce potential increases in GHG emissions associated with the roadway extension and the North Campus facilities, including LEED Silver performance standards for building design and operation, sustainable site design measures and LID stormwater management, and alternative transportation measures such as accommodations for pedestrians and bicycles (bike lanes in both directions) as well as use of the existing campus shuttle system • UConn will also consider other measures for the design, construction, and operation of the North Campus facilities to further reduce energy consumption and GHG emissions including additional Transportation Demand Management measures and small-scale on-site renewable energy generation
Noise	• See construction impacts
Surface Water and Groundwater Resources	• Follow the Fenton River wellfield withdrawal protocol recommendations outlined in the Fenton River instream flow study and the 2007 Water and Wastewater Master Plan, as dictated by stream flow conditions. • Follow the demand-based water conservation recommendations outlined in the Willimantic River study, which are based on Willimantic River streamflow values that trigger voluntary or mandatory water conservation actions. • Follow the comprehensive wellfield management plan protocols as part of the revised UConn water supply plan (May 2011). • The use of non-potable reclaimed water to address the utility plant and irrigation water demands is expected to off-set the amount of potable water that would have otherwise been used in those applications. • Future developments on the North Campus will employ water conservation measures consistent with the University's targeted conservation initiatives that are described in the 2007 Water and Wastewater Master Plan and UConn's Sustainable Design Guidelines and Sustainable Design & Construction Policy.

(Fuss & O'Neill, 2011)

Environmental Sector	Proposed Mitigation
	• Incorporate project design elements that limit or reduce potential aquatic impacts of stormwater runoff from impervious cover. • Implement construction-phase best management practices (see construction impacts) to reduce the potential for impacts on nearby public drinking water supply wells and surface water supplies.
Stormwater Management	• Design measures to reduce or limit impervious cover (reduced parking ratio, use of structured and shared parking, reduced sidewalk width) • A combination of centralized and LID stormwater management measures for the roadway extension and North Campus development consistent with the CT DEEP *Connecticut Stormwater Quality Manual.* o Pervious pavement for parking areas, water quality swales/basins, rain gardens/bioretention, infiltration of roof runoff, stormwater ponds with sediment forebays, underground detention systems, swirl concentrator units, and level spreaders. • Non-structural source controls and pollution prevention measures (street and parking lot sweeping, catch basin cleaning, drainage system and stormwater treatment system operation and maintenance, etc.). • Stormwater management O&M Plan • Construction-phase best management practices (see construction impacts)
Wetland Impacts	• Wetland creation area adjacent to the farm field and forested wetland • Roadway wetland crossing designs (clear span bridge, 3-sided rigid frame, and embedded box culvert) will minimize wetland impacts and maintain habitat connectivity • Roadway design to include vertical barriers to direct amphibians through the wetland crossings, and sloped curbing to reduce the potential for retention of amphibians on the road. • Grading at wetland crossings will be 2:1 or steeper to minimize wetlands disturbances. • Stormwater management measures • Avoiding construction within the vernal pools and within the 100-foot envelope of the vernal pools, preservation of 85% or more of the upland habitat within the 500-foot review area, and minimizing development within the 750-foot critical upland area to less than 25%, which is consistent with the guidance provided in Calhoun and Klemens (2002). • Maintain an undeveloped forested habitat around the vernal pools, including the canopy and understory. • Preserving an undisturbed wetland and amphibian migration corridor through a conservation easement, thereby protecting the vernal pools with the highest rating and ecological value, with an emphasis on maintaining wetland connectivity following the recommendations of Calhoun (2008). • Creation of an area of reduced salt application in the vicinity of the wetland crossings, where feasible based on safety considerations. • Placement of catch basins up-gradient of the wetland crossings to collect runoff containing de-icing and anti-icing materials. • Improving the efficiency of de-icing and anti-icing practices to minimize application, which is part of the University's on-going planning for more efficient winter roadway maintenance • Compliance with the relevant state laws and the campus Sustainable Design Guidelines regarding lighting, strategic placement of lighting fixtures and control of lighting directionality to minimize light at the wetland crossings to the extent practicable while still maintaining public safety and complying with the requirements for full cutoff lighting. • Implement invasive species monitoring and control program. • Stormwater basins located within 750 feet of a vernal pool will be designed with a

(Fuss & O'Neill, 2011)

Environmental Sector	Proposed Mitigation
	smaller permanent pool (e.g., micropool extended detention) or as dry basins combined with other controls targeted at pollutant removal (bioretention or water quality swales) to reduce the potential for the stormwater basins to function as "decoy wetlands" and disrupt amphibian migration patterns.
Water Body Modification and Wildlife Impacts	• Avoidance and minimization of impacts to wetland areas, mitigation for wetlands to be lost, preservation of wetland buffers on the project site, a proposed conservation easement, mitigation of losses to field habitat through agricultural preservation and replication of converted farmland, wetland crossing designs that maintain habitat connectivity and are consistent with CT DEEP and ACOE stream continuity and crossing guidelines, and locating development to reduce woodland impacts where practicable. • Site clearing or grading within 750 feet of a vernal pool will be performed outside of the spring amphibian migration period (mid-March to the end of May), to the extent practicable. Construction should be staggered and silt fence should be minimized within 750 feet of the vernal pools. Silt fence should be used to exclude amphibians from active construction areas. • Preserve large-diameter trees to the extent practicable. • Prior to development activity on existing agricultural fields on the North Campus between late April and July, UConn will perform a field survey of these fields to verify a lack of nesting state-listed grassland birds.
Threatened or Endangered Species	• Farmland mitigation measures, which will provide staging and migratory habitat for the state-listed grassland bird species similar to that which currently exists, and in similar quantities. • Use of low-relief buildings to limit impacts to migrant birds. • Site clearing or grading within 750 feet of a vernal pool will be performed outside of the spring amphibian migration period (mid-March to the end of May), to the extent practicable. Construction should be staggered and silt fence should be minimized within 750 feet of the vernal pools. Silt fence should be used to exclude amphibians from active construction areas. • Proposed mitigation measures to offset potential impacts to the Northern Spring Salamander include a construction time window (November to March) to cross the intermittent stream to the extent practicable, maintaining significant forest canopy around the intermittent stream, and reducing and managing road runoff to the intermittent stream during and after construction.
Historic and Archaeological Preservation and Section 4(f) Resources	• Additional cultural resource investigation and coordination with the SHPO and THPOs prior to development of the North Campus parcels.
Visual and Aesthetic Resources	• Roadside plantings along roadside cut slopes. • Vegetated buffers between proposed development areas and adjacent property lines (30-foot width minimum). Buffer widths in excess of 30 feet will be determined on a case-by-case basis. • Design criteria for exterior lighting to include minimizing unnecessary light spillage. • Farmland preservation, limiting development on steep slopes, and providing pedestrian and bicycle facilities. New buildings will be between one and three stories, with at-grade or below-grade structured parking to reduce building footprints and associated environmental and aesthetic impacts.
Energy	• Use of environmentally-friendly technologies for energy efficiency for development on the North Campus consistent with the UConn Sustainable Design & Construction Policy, which has provisions requiring any new building construction or renovation project entering the pre-design planning phase to establish the Leadership in Energy & Environmental Design (LEED) Silver rating as a minimum performance requirement.
Construction Impacts	• Appropriate construction signage, uniformed officers, and prohibition of

(Fuss & O'Neill, 2011)

Environmental Sector	Proposed Mitigation
	construction traffic on designated local roads. The preferred construction access will be from Route 44 to avoid use of campus roadways. Construction access to and from the project site will be incorporated into the final project plans and specifications. • Existing traffic patterns will be maintained to the extent feasible during peak traffic hours. • Good "housekeeping" practices such as watering exposed earth areas, covering dust-producing materials during transport, limiting dust-producing construction activities during high wind conditions, and providing street sweeping or tire washes for trucks leaving the site. • Prohibition of excessive construction equipment idling and the use of air pollution control devices (e.g., oxidation catalysts and particulate filters) and clean fuels for the project construction where appropriate. • Conformance with Connecticut noise regulations • In project specifications, require contractors to limit construction noise • Limiting construction to daytime hours • Use and regular maintenance of mufflers on construction equipment • Use of appropriate erosion and sediment controls during construction • Provisions for emergency spill response during construction, hazardous material storage and disposal to prevent vandalism and undetected releases, construction vehicle fueling and maintenance procedures, notification of affected public water systems and CT DPH of the construction start date, and procedures for notification of CT DPH and CT DEEP in the event of a chemical/fuel spill at the construction site. • Construction in the vicinity of the vernal pools will take place outside amphibian movement periods in early spring and fall. Construction should be staggered and silt fence should be minimized within 750 feet of the vernal pools. Silt fencing should be used to exclude amphibians from active construction areas.

(Fuss & O'Neill, 2011)

ATTACHMENT 21

University of Connecticut
Office of the Vice President and Chief Operating Officer

Barry M. Feldman, Ph.D.
Vice President and Chief Operating Officer

January 11, 2012

Revised

TO: Members of the Buildings, Grounds and Environment Committee

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Barry M. Feldman

RE: Easement to CL&P related to Storrs Center

RECOMMENDATION:

That the Buildings, Grounds & Environment Committee, acting under By-Laws of the University of Connecticut, Article V, Section C(1), grant an easement to CL&P for electrical services associated with the development of Storrs Center.

BACKGROUND:

This request for approval of an easement by the Buildings, Grounds & Environment Committee is made under By-Laws of the University of Connecticut, Article V, Section C(1). Delaying a decision to the Board of Trustees' January 25, 2012 meeting could result in significant risk, expense, or disruption to the University or its operations because the request involves the provision of permanent electrical power to the newly-constructed Storrs Center buildings in as rapid a manner as possible.

In April 2011, the Buildings, Grounds & Environment Committee was presented with a a two-part package of reciprocal easements between the University and Storrs Center Alliance LLC ("SCA") designed to promote the improvement and shared use of the area north of the corner of Storrs Road and Dog Lane, adjacent to and behind to-be-constructed buildings. Among the uses allowed in this reciprocal easement, approved by the Board of Trustees on April 13, 2011, was the installation and maintenance of SCA-provided utilities.

Connecticut Light & Power ("CL&P") will be providing electrical utilities for the project. CL&P requires access to University-owned land (that is also subject to the existing reciprocal easement) so that it can construct and maintain an electrical distribution system in that area. The

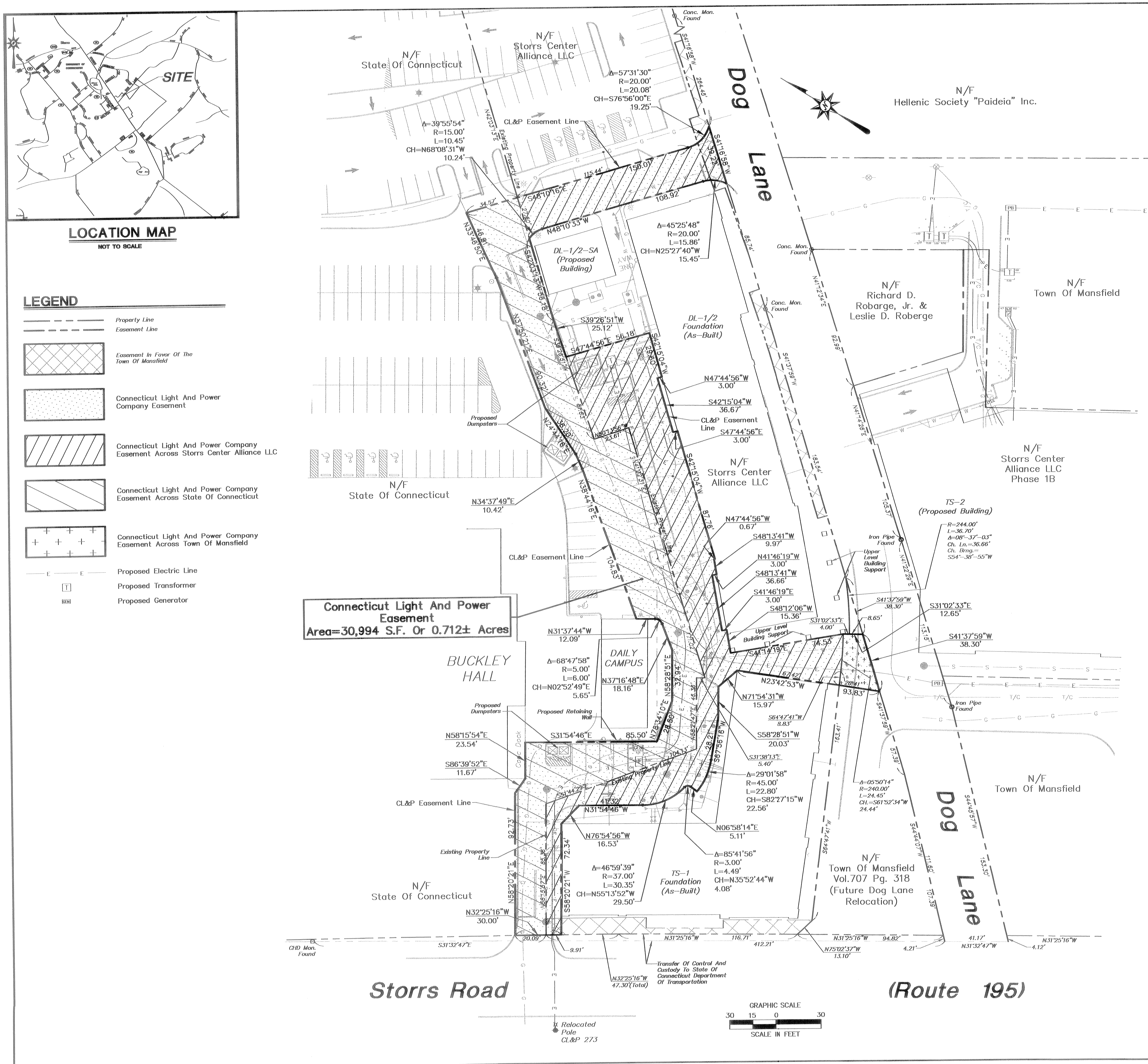
An Equal Opportunity Employer

352 Mansfield Road Unit 2014
Storrs, Connecticut 06269-2014

Telephone: (860) 486-4340
Facsimile: (860) 486-1070
e-mail: barry.feldman@uconn.edu

area of the easement that is proposed to be granted to CL&P by the University is shown on the attached Sheet EA-1, "Easement Plan, CL&P, File No. E1090."

The University will seek nominal consideration for this easement because it is in furtherance of the reciprocal, shared use of space by the University and SCA to provide utilities to the project.



GENERAL NOTES

1. A) THIS MAP HAS BEEN PREPARED IN ACCORDANCE WITH THE REGULATIONS OF CONNECTICUT STATE AGENCIES, SECTIONS 20-300b-1 THROUGH 20-300b-20 AND THE "STANDARDS FOR SURVEYS AND MAPS IN THE STATE OF CONNECTICUT" AS ADOPTED BY THE CONNECTICUT ASSOCIATION OF LAND SURVEYORS, INC. ON SEPTEMBER 26, 1996.

   B) THIS PLAN CONFORMS TO HORIZONTAL ACCURACY CLASS A-2.

   C) BOUNDARY DETERMINATION OF THE EXISTING PROPERTY LINES IS BASED UPON A RESURVEY (REFER TO MAP REFERENCE A AND B). PROPOSED CONNECTICUT LIGHT AND POWER COMPANY EASEMENT LINES ARE BASED ON AN ORIGINAL SURVEY.

   D) THE TYPE OF SURVEY PERFORMED IS AN EASEMENT MAP AND IS INTENDED TO DEPICT THE UTILITY EASEMENT TO BE GRANTED TO THE CONNECTICUT LIGHT AND POWER COMPANY.

2. NORTH ARROW AND BEARINGS REFER TO NAD 83.

MAP REFERENCE

A. "PROPERTY SURVEY MAP OF PHASE 1A AREA STORRS CENTER STORRS ROAD AND DOG LANE MANSFIELD, CONNECTICUT MAP OF PHASE 1A AREA" SCALE 1"=50' DATE 5/16/11 SHEET NO. BS-1 PREPARED BY BL COMPANIES, MERIDEN, CONNECTICUT.

B. "PROPERTY SURVEY MAP OF PHASE 1B AREA STORRS CENTER STORRS ROAD AND DOG LANE MANSFIELD, CONNECTICUT MAP OF PHASE 1B AREA" SCALE 1"=50' DATE 5/16/11 SHEET NO. BS-2 PREPARED BY BL COMPANIES, MERIDEN, CONNECTICUT.

TO MY KNOWLEDGE AND BELIEF THIS MAP IS SUBSTANTIALLY CORRECT AS NOTED HEREON.

JENNIFER MARKS L.S. #17939

NO CERTIFICATION IS EXPRESSED OR IMPLIED UNLESS THIS MAP BEARS THE ORIGINAL SIGNATURE AND EMBOSSED SEAL OF THE ABOVE NAMED LAND SURVEYOR.

BL Companies
ARCHITECTURE
ENGINEERING
PLANNING
LANDSCAPE ARCHITECTURE
LAND SURVEYING
ENVIRONMENTAL SCIENCES

355 Research Parkway
Meriden, CT 06450
(203) 630-1406
(203) 630-2615 Fax

MAP SHOWING EASEMENT AREA TO BE GRANTED TO THE CONNECTICUT LIGHT AND POWER COMPANY ACROSS THE PROPERTY OF STATE OF CONNECTICUT, STORRS CENTER ALLIANCE LLC AND TOWN OF MANSFIELD

STORRS ROAD AND DOG LANE MANSFIELD, CONNECTICUT

REVISIONS

No.	Date	Desc.
1.	11-17-2011	Extend CL&P Easement To Dog Lane.
2.	11-23-2011	Add Relocated Pole CL&P 273.

Surveyed	STAFF
Drawn	JS.
Checked	J.M.
Approved	J.M.
Scale	1"=30'
Project No.	03C667
Date	10/10/2011

CAD File:EA03C667Q06-CL&P

Title

EASEMENT PLAN
CL&P
FILE No. E1090

Sheet No.

EA-1

Xref (s):

ATTACHMENT 22

The University of Connecticut Foundation, Inc.

Development Progress Executive Summary

July 1, 2011 to December 31, 2011

Progress Toward Goals	FYTD11 Results	FY12 Goal	FYTD12 Results	% of Goal
Commitment Results				
Athletics	$ 2.39 M	$ 13.00 M	$ 9.66 M	74%
UConn Health Center	$ 3.95 M	$ 10.75 M	$ 3.17 M	29%
UConn General	$ 14.43 M	$ 33.25 M	$ 12.28 M	37%
Total Commitments	$ 20.77 M	$ 57.00 M	$ 25.11 M	44%
Number of household donors	15,051	30,000	15,178	51%
Cash Results				
Cash basis gift receipts	$ 21.47 M	$ 50.00 M	$ 21.68 M	43%
Annual fund unrestricted receipts	$ 649 K	$ 1.00 M	$ 588 K	59%

Campaign Commitments Progress	
Fiscal Year 2012 Campaign Total (to Date)*	$ 302.43 M
* This total reflects campaign write-offs and pre-campaign total of $10.6 million, as appropriate.	

ATTACHMENT 23

University of Connecticut Department of Human Resources
New Hires Processed from October 18, 2011 to January 4, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
AKERLEY, AMY E.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	DINING SERVICES	12/30/2011
AUSTIN, TODD	UC PROFESSIONAL 03 - NETWORK TECHNICIAN 1	TELECOMMUNICATIONS	12/2/2011
BAKER, FRANK	RESEARCH PROFESSOR	SOCIAL WORK INSTR & RES	11/15/2011
BHATTACHARYA, SHAMIK	U POST DOC FEL 1	MECHANICAL ENGR	12/2/2011
BISHOP, GREGORY W.	U POST DOC FEL 1	CHEMISTRY	12/2/2011
BRIERLEY, DANIEL E.	UC PROFESSIONAL 05 - DNG SERV AR AST MGR	DINING SERVICES	12/30/2011
BUCH, HARINI	RESEARCH ASSISTANT 1	SOCIAL WORK INSTR & RES	12/2/2011
BUDKE, JESSICA M.	U POST DOC FEL 1	ECOLOGY & EVOL BIOLOGY	12/2/2011
BUTLER, ASHLEY	UC PROFESSIONAL 03 - PROG ASSISTANT I	CHEMISTRY	10/21/2011
CHARDIS, PHILIP H.	UC PROFESSIONAL 08 - WRITER/EDITOR IV	ATHLETICS SPORTS INFO	12/30/2011
DAVIES, DONALD T.	UC PROFESSIONAL 07 - ADMIN MANAGER II	STUDENT HEALTH SERVICES	12/2/2011
DUPONT, CHRISTOPHER A.	UC PROFESSIONAL 09 - OPER SYS PROG/ANAL 3	TECHNICAL INFRASTRUCTURE	10/7/2011
DWIRE, PHILIP C.	UC PROFESSIONAL 06 - PUBLICITY/MKTG ADMIN	ATHLETICS MARKETING	12/16/2011
FLORIAN, KATHERINE L.	UC PROFESSIONAL 05 - ADMIN COORDINATOR	ACCOUNTING DEPT	9/12/2011
FOURNIER, SARAH M.	RESEARCH ASSISTANT 1	DEPARTMENT OF EXTENSION	10/10/2011
FREEMAN, KEVIN M. SR	SPECIALIST IA	ATHLETICS MENS INTERCOL	11/4/2011
GARCIA, MICHAEL M.	U ASST DIRECTOR	ENTERPRISE ADMIN SYSTEMS	10/21/2011
HAMAL, DAMBAR	U POST DOC FEL 1	CHEMISTRY	11/28/2011
HARRIS, STEPHANIE	RESEARCH ASSISTANT 1	SOCIAL WORK INSTR & RES	12/2/2011
HAWKINS, DONNA I.	UC PROFESSIONAL 03 - PROG ASSISTANT I	CHIP	11/30/2011
HERSTOFF, EMILY	RESEARCH ASSISTANT 1	ECOLOGY & EVOL BIOLOGY	11/4/2011
HOLZ-CLAUSE, MARY	VICE PRESIDENT	PRESIDENT OFC	11/29/2011
HOYT, GINGER A.	RESEARCH ASSISTANT 1	PSYCHOLOGY	12/16/2011
KOLLANOOR JOHNY, ANUP	U POST DOC FEL 1	ANIMAL SCIENCE	10/7/2011
KUMAR, VEERENDRA	U POST DOC FEL 1	MATERIALS SCIENCE INST	12/14/2011
LOCUST, WAYNE A.	VICE PRESIDENT	ENROLLMENT PLAN & MGMT	11/18/2011
LYZUN, PAUL	UC PROFESSIONAL 07 - INSTRUCTIONAL DEV 1	JOURNALISM	12/19/2011

University of Connecticut Department of Human Resources
New Hires Processed from October 18, 2011 to January 4, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
MASON, ERIN R.	UC PROFESSIONAL 08 - ASSISTANT REGISTRAR	REGISTRAR OFC	12/16/2011
MCNERNEY, MEGAN	RESEARCH ASSISTANT 1	CHIP	11/25/2011
MUDHIVARTHI, VAMSI K.	U POST DOC FEL 1	PHARMACEUTICAL SCI	11/28/2011
NAKAJO, ARATA	U POST DOC FEL 1	CTR CLEAN ENERGY ENGINEER	11/14/2011
NORTON, LYDIA A.	RESEARCH ASSISTANT 1	MARINE SCIENCES/MSTC	11/1/2011
PETRELLA, ROCCO	UC PROFESSIONAL 06 - ADMIN MANAGER I	DINING SERVICES	12/30/2011
PIERSON, TIMOTHY A.	U DIRECTOR	TECHNICAL INFRASTRUCTURE	1/2/2012
QUATTRO COOK, TERESE	UC PROFESSIONAL 09 - SHS PSYCH SOC WORK	STUDENT HEALTH SERVICES	11/18/2011
SALORIO, SAMUEL	UC PROFESSIONAL 04 - COMP TECH SUP CONS 1	CHIP	11/4/2011
SAUNDERS, ANSONIA	UC PROFESSIONAL 01 - FINANCIAL ASST I	LAW SCH LIBRARY	12/2/2011
SHAPIRO, JEFFREY N.	UC PROFESSIONAL 04 - COMP TECH SUP CONS 1	STAMFORD ASSOC VICE PROV	11/28/2011
SILVESTRE, ROSARIO C.	UC PROFESSIONAL 06 - FIN AID OFFICER	SOCIAL WORK DEAN OFC	11/4/2011
STONE, NANCY L.	UC PROFESSIONAL 09 - DATABASE ADMIN 2	ENTERPRISE ADMIN SYSTEMS	11/4/2011
SULMAN, GREGORY D.	UC PROFESSIONAL 03 - NETWORK TECHNICIAN 1	TELECOMMUNICATIONS	11/4/2011
TAN, ANMIN	U POST DOC FEL 1	MATERIALS SCIENCE INST	12/30/2011
TAN, XIAOHONG	U POST DOC FEL 1	MATERIALS SCIENCE INST	12/2/2011
TEAL, RICHARD C.	UC PROFESSIONAL 11 - COMPUTER MANAGER	TECHNICAL INFRASTRUCTURE	12/2/2011
TRAN, THI THANH H.	U POST DOC FEL 1	PHARMACEUTICAL SCI	12/15/2011
VYAS, VARUN	U POST DOC FEL 1	MATERIALS SCIENCE INST	11/18/2011
WARREN, MARGARET	UC PROFESSIONAL 07 - PROGRAM MANAGER	BUSINESS DEAN OFC	10/7/2011
WELLS, CARLEEN G.	UC PROFESSIONAL 07 - U ACCOUNTANT II	BURSARS OFC	11/4/2011
WOSCZYNA, MICHAEL N.	U POST DOC FEL 1	MOLECULAR & CELL BIOLOGY	12/2/2011
ZHANG, MINGFENG	U POST DOC FEL 1	ALLIED HEALTH SCIENCES	11/21/2011

University of Connecticut Department of Human Resources
Separations Processed from October 18, 2011 to January 4, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
BONHOMME, BEVAN P.	RESEARCH ASSISTANT 1	RESIGNATION (GOOD STANDING)	PNB	11/30/2011
CHAU, JESSICA M.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CMBE	12/16/2011
CLEMMENS, DANUTA A.	ASSOC CLIN PROF	RESIGNATION (GOOD STANDING)	NURS	12/12/2011
DOONAN, BRIAN M.	U STAFF PROF III	RESIGNATION (GOOD STANDING)	CONTRL	11/17/2011
EARLEY, P C.	DEAN	RESIGNATION (GOOD STANDING)	SBDO	10/31/2011
FASIHUDDIN, ABU	ASST PROF IN RES	DEATH (NON-EMPLOYMENT RELATED)	RG CMP	11/19/2011
GENDREAU, PAULA M.	UC PROFESSIONAL 01 - FINANCIAL ASST I	RESIGNATION (GOOD STANDING)	PSYC	11/4/2011
GILBERTSON, DAVID G.	ASSOC VICE PRESIDENT - CHIEF INFO OFFICER	RESIGNATION (GOOD STANDING)	INFOSV	11/15/2011
HALLEE, GREGORY	UC PROFESSIONAL 10 - FACILITIES PROF IV	RETIREMENT (DISABILITY: NON-SERV	ARCH	10/31/2011
HARRISON, GLENDA M.	UC PROFESSIONAL 07 - INSTRUCTIONAL DEV 1	RESIGNATION (GOOD STANDING)	ITL	12/27/2011
HEBERT, RAYMOND J.	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	12/28/2011
HSIAO, CHIN-JU	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	11/30/2011
HUGUET, MARIE-PIERRE	UC PROFESSIONAL 08 - INSTRUCTIONAL DEV 2	RESIGNATION (GOOD STANDING)	CLAS	10/31/2011
ISENBERG, JASON E.	UC PROFESSIONAL 05 - EDUC MEDIA ASST	RESIGNATION (GOOD STANDING)	ATH	10/21/2011
LARSON, RACHEL E.	UC PROFESSIONAL 06 - CAREER CONSULTANT	RESIGNATION (GOOD STANDING)	CS-AUX	10/14/2011
LEE, THOMAS E.	UC PROFESSIONAL 06 - FIN AID OFFICER	RESIGNATION (GOOD STANDING)	SW	11/7/2011
LIZEE, SANDRA L.	UC PROFESSIONAL 05 - ADMIN COORDINATOR	RETIREMENT (55/W 10-24 YEARS)	BOOTH	10/31/2011
MCLAUGHLIN, ANN	UC PROFESSIONAL 07 - BUSINESS MANAGER II	RETIREMENT (DISABILITY: NON-SERV	OAC	7/31/2011
MOORHEAD, JOSEPH M.	SPECIALIST IIA	RESIGNATION (GOOD STANDING)	ATH	12/19/2011
MORENO CARMONA, ANGELA M.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ENERGY	12/28/2011
MORTON, MARTHA D.	ACAD ASSISTANT II	RESIGNATION (GOOD STANDING)	CHEM	12/9/2011
MUNROE, SUSAN B.	SP INTERNAL LECT III	RETIREMENT (25+ YRS SERVICE)	COMS	9/30/2011
PANILA, ALLISON	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	10/21/2011
PERRAS, KAREN	UC PROFESSIONAL 01 - FINANCIAL ASST I	RESIGNATION (GOOD STANDING)	PSYC	11/9/2011
REDDING, CAROLINE G.	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	CHIP	12/1/2011
RILEY, LISA	UC PROFESSIONAL 08 - EXECUTIVE ASST I	RESIGNATION (GOOD STANDING)	CPCA	12/16/2011
ROBERTS, ANDREW	UC PROFESSIONAL 05 - RES HALL DIRECTOR	RESIGNATION (GOOD STANDING)	RESLIF	10/12/2011

University of Connecticut Department of Human Resources
Separations Processed from October 18, 2011 to January 4, 2012
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
SASSI, JOSEPH P.	ASSOC VICE PRESIDENT	RESIGNATION (GOOD STANDING)	ODE	12/9/2011
SELLECK, MARGARET	U STAFF PROF III	RESIGNATION (GOOD STANDING)	CONTRL	12/9/2011
SHARMA, PUNEET	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	11/14/2011
SHAW, BRENDA R.	ASSOCIATE PROFESSOR	RETIREMENT (25+ YRS SERVICE)	CHEM	10/31/2011
WEINSTEIN, PETER A.	UC PROFESSIONAL 11 - COMPUTER MANAGER	RESIGNATION (GOOD STANDING)	INFRA	12/9/2011

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 01/06/12
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Anderson, Patricia A	UCP 5 - Business Serv Sup	Ecology & Evol Biology	11/28/11-12/15/11	Medical leave with pay
Aston, Marjorie	UCP 3 - Prog Assistant I	Economics	10/21/11-11/3/11	Medical leave without pay
Bachand, Jane	UCP 11 - Computer Technician	Customer Support & Rel	12/27/11-1/12/12	Medical leave with pay
Cao, Qing	Assistant Professor	Management Dept	10/25/11-12/5/11	Maternity leave with pay
Carroll, Sheila R	UCP 8 - Asst Finance Dir I	Agri & Nat Res Dean Ofc	11/21/11-12/13/11	Medical leave with pay
Christie-Francis, LaShawn	UCP 2 - Prog Asst-Ed Outrch	Department of Extension	11/29/11-1/26/12	Maternity leave with pay
Christie-Francis, LaShawn	UCP 2 - Prog Asst-Ed Outrch	Department of Extension	1/27/12-3/12/12	Parental leave with pay
Dolat, Melanie	UCP 6 - Program Spec I	VP Grad Ed & Dean	(Revised)	Maternity leave with pay
Dolat, Melanie	UCP 6 - Program Spec I	VP Grad Ed & Dean	(Revised)	Parental leave with pay
Dolgert, Stefan	Assistant Professor	Political Science	8/23/11-12/29/11	Personal leave without pay
Dudley McDermott, Sarah	UCP 4 - SA Program Coord I	Student Activities	12/16/11-1/31/12	Maternity leave with pay
Frank, Daniel Till	Assistant Professor	Psychology	2/23/12-8/22/12	Personal leave without pay
Garcia, Lisa	UCP 10 - Comp Tech Sup Cons	Enterprise Admin System	12/1/11-12/13/11	Medical leave with pay
Hollenberg, Donna C	Professor	English	8/23/11-8/22/12	Leave with partial pay (50%)
Isley, April	UCP 8 - Program Director	Student Union	10/31/11-11/25/11	Parental leave with pay
Lambert-Hustus, Angela J	UCP 7 - Admin Manager II	Business Dean Ofc	11/16/11-1/13/12	Maternity leave with pay
Lambert-Hustus, Angela J	UCP 7 - Admin Manager II	Business Dean Ofc	1/17/12-2/29/12	Parental leave with pay
Lambert-Hustus, Angela J	UCP 7 - Admin Manager II	Business Dean Ofc	3/1/12-3/5/12	Parental leave without pay
Lamont, Roselyn	Dining Svcs Area Mgr	Dining Services	10/29/11-11/10/11	Red. schedule medical leave with pay
Lavigne, Jeanine M	UCP 4 - Admin Serv Spec II	Bursars Ofc	11/30/11-1/12/12	Maternity leave with pay
Lavigne, Jeanine M	UCP 4 - Admin Serv Spec II	Bursars Ofc	1/13/12-2/28/12	Parental leave with pay
Lowrey-Manning, Jessica	UCP 5 - Admin Serv Spec III	Payroll Office	11/16/11-12/29/11	Maternity leave with pay
Lowrey-Manning, Jessica	UCP 5 - Admin Serv Spec III	Payroll Office	12/30/11-2/14/12	Parental leave with pay
Marcy, Maxine A	UCP 6 - Graphic Des/Ill III	CCS Market Res & Plan	11/11/11-11/30/11	Medical leave with pay
Murphy, Francoise	UCP 7 - Instructional Dev 1	Human Resources	11/9/11-12/9/11	Parental leave with pay
McCoy, Patricia A	Professor	Law Sch Instr & Res	8/23/11-12/30/11	Fellowship Leave with Pay (revised dates)
Moriarty, Patricia	UCP 10 - SHS APRN	Student Health Service	11/21/11-12/30/11	Medical leave with pay
Morrell, Brandi S	UCP 7 - Training/Dev Coord	Human Resources	12/13/11-12/24/11	Medical leave with pay
Morrell, Brandi S	UCP 7 - Training/Dev Coord	Human Resources	12/26/11-2/24/12	Maternity leave with pay
Parker, Mary D	UCP 5 - Admin Serv Spec III	Payroll Office	12/20/11-1/6/12	Medical leave with pay
Pelizzon, Vanessa P	Associate Professor	English	8/23/12-12/30/12	Personal leave without pay
Pickering, Samuel F	Professor	English	8/23/12-8/22/13	Leave with partial pay (50%)
Puhlick, Peter A	UCP 9 - Facilities Prof III	Facilities Management	11/14/11-12/26/11	Medical leave with pay

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 01/06/12
Presented to the Board of Trustees for Information on Professional Employees

Riley, Lisa	UCP 8 - Executive Asst I	Capital Projs/Contracts	11/8/11-12/2/11	Medical leave without pay
Rivers, Mackenzie	UCP 4 - Athletic Equip Mgr	Athletics	12/12/11-1/25/12	Maternity leave with pay
Rivers, Mackenzie	UCP 4 - Athletic Equip Mgr	Athletics	1/26/12-3/9/12	Parental leave with pay
Rogers, James	UCP 5 - Dng Serv Ar Ast Mgr	Dining Services	11/30/11-1/27/12	Medical leave with pay
Sanku, Sirisha	UCP 9 - Sr Comp Prog/Anal	Enterprise Admin System	12/12/11-1/25/12	Maternity leave with pay
Schultz, Eric T	Associate Professor	Ecology & Evol Biology	2/23/12-8/22/12	Leave with partial pay (74%)
Stephens, Jason M	Associate Professor	Educational Psychology	6/1/12-5/31/13	Personal leave without pay
Smith, Sharlene R	UCP 3 - Prog Assistant I	English	11/3/11-11/15/11	Medical leave with pay
Smith, Sharlene R	UCP 3 - Prog Assistant I	English	11/16/11-12/2/11	Medical leave without pay
Tonucci, Elizabeth F	UCP 5 - Admin Serv Spec III	Library Vice Prov Ofc	11/30/11-1/13/12	Medical leave with pay
Vizoyan, Barbara	UCP 9 - U Librarian III	Library Reg Campuses	11/25/11-1/31/12	Medical leave with pay
Zhao, Ping	Research Assistant I	Pharmaceutical Science	10/17/11-10/28/11	Medical leave with pay
Zhao, Ping	Research Assistant I	Pharmaceutical Science	10/29/11-11/11/11	Medical leave without pay

COMMITTEE AGENDAS

AGENDA

Special Meeting of the
BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE
January 11, 2012, 11:00 a.m.*
University of Connecticut
Janet M. Blumberg Hall, School of Law
Hartford, CT
** Presentations of Project Updates will be made at approximately **10:30 a.m.***
to both the BGE and CMOC Committees.

	ATTACHMENT
***INFORMATION/DISCUSSION ITEMS (10:30 a.m.):**	
1) Bioscience Connecticut – Construction and Renovation Projects	
2) EIE for University of Connecticut Health Center New Construction and Renovation Project	
3) Tech Park Update	
4) Project Updates	
5) Discussion of "Small Spend" Deferred Maintenance Projects	
6) Discussion of Proposed Procedure for Additional Architectural Services	
7) License with Cellco Partnership (d/b/a Verizon Wireless) related to the Use of University Telecommunications, Infrastructure and Fiber	A
8) Buildings, Grounds and Environment Committee Membership Memo	B
ACTION ITEMS:	
9) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meetings of September 20, 2011 and December 14, 2011, as circulated	C
10) Easement to CL&P Related to Storrs Center - Revised	D

*Note: Project Update Presentations will be made at approximately 10:30 a.m.

	ATTACHMENT
11) Final Environmental Impact Statement (FEIS) North Hillside Road Extension, Storrs, Connecticut	E
12) Memorandum of Understanding with Connecticut Department of Developmental Services Related to Use of Houses at 1279 and 1340 Stafford Road, Mansfield, Connecticut	F
13) Memorandum of Agreement with CIGNA related to Internship and Use of Space in Information Technology Engineering Building	G

EXECUTIVE SESSION (Anticipated)

*Note: Project Update Presentations will be made at approximately 10:30 a.m.

AGENDA
Special Meeting of the
CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE
UNIVERSITY OF CONNECTICUT
January 11, 2012, 10:00 a.m.
Janet M. Blumberg Hall, UConn School of Law
Hartford, Connecticut

PUBLIC COMMENTS:

ACTION ITEMS:

1. Approval of the Minutes of the Construction Management Oversight Committee Meeting held on September 20, 2011
2. Capital Project & Contract Administration (CPCA) Policy and Procedure Change to Define Additional Services – Notice to Proceed (AS-NTP)

PRESENTATIONS AND DISCUSSIONS:

3. January 2012 Quarterly Report on Construction Performance Reported by the Office of Construction Assurance
4. Update by Ken Egeberg, Associate Vice President of Architectural, Engineering & Building Services (AEBS) and Mathew Larson, Director of Procurement Services, Capital Project and Contract Administration (CPCA), on department related topics
5. Status of Code Correction Projects:
   A. Construction Management Oversight Committee Monthly Code Inspection Status Report – Comments/Questions
   B. Progress on Completion of Reports for Category 4 and Presentation of Implementation and Corrections

***6.** September 30, 2011 Quarterly Construction Status Report – Comments/Questions
***7.** Bioscience Connecticut – Construction and Renovation Projects
***8.** Tech Park
***9.** Project & Building Design Status Report Selected – Major Projects

NEW BUSINESS

***Note:** Presentation of these Agenda items will be made jointly to BG&E and CMOC committee members following the CMOC meeting.

Joint Audit & Compliance Committee

Revised Agenda

9:00am – 9:30am – Executive Session
9:30am – 10:30am - Public Meeting
10:30am – 11:30am - Executive Session

December 15, 2011

Issue	Proposed Action	Tab
The Office of Audit, Compliance & Ethics • 3-year Plan (FY 12-14) - draft • Staffing Update	Information Only	5
External Engagements Update		
• Annual Audit of Uconn 2000 Construction Projects – McGladrey & Pullen, LLP	Update	6

• Financial Statements – Fiscal Year Ended 2010 – KPMG (anticipated)
  • University Medical Group (UMG)
  • John Dempsey Hospital (JDH)
  • Financial Corporation
	Presentation	

• NCAA agreed upon procedures on behalf of the University's Athletics Program for Fiscal Year Ended 2011 – BKD	Presentation	
Auditors of Public Accounts		
• State of Connecticut Auditors' Reports - The University of Connecticut Health Center For the Fiscal Years Ended June 30, 2009 and 2010	Presentation	7
Informational/Educational Items		

• Quarterly Newsletters
  • University of Connecticut Health Center
  • University of Connecticut
	Information Only	8

Executive Session [CGS Sections 1-200(6)] to discuss		
• C.G.S. 1-200(6)(E) – Preliminary drafts or notes that the public agency has determined the public's interest in withholding outweighs the public's interest in disclosure. [1-210(b)(1)]		
Conclusion of Full Meeting		
Information Session with OACE's Chief Audit & Compliance Auditor and Direct Reports		

Joint Audit & Compliance Committee

Revised Agenda

9:00am – 9:30am – Executive Session
9:30am – 10:30am - Public Meeting
10:30am – 11:30am - Executive Session

Issue	Proposed Action	Tab
Executive Session [CGS Sections 1-200(6)] to discuss:		None
• C.G.S. 1-200(6)(E) – Preliminary drafts or notes that the public agency has determined the public's interest in withholding outweighs the public's interest in disclosure. [1-210(b)(1)]		
• C.G.S. 1-200(6)(E) A discussion of any matter which would result in the disclosure of public records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)]		
• C.G.S. 1-200(6)(E) – A discussion of a matters which would result in the disclosure of public records or the information contained therein pertaining to communications privileged by the attorney-client relationship regarding advice provided by outside counsel. [1-210(b)(10)]		
Opportunity for Public Comment		None
Minutes of Prior Meetings		
• 09/07/11 - JACC Meeting	Approval	1
• 10/05/11 - Special Meeting of the JACC	Approval	
Significant Compliance Activities		
• University Process for Developing, Approving, Issuing or Revising Policy	Update	2
• Storrs Compliance Area - Athletics	Presentation	
• UCHC Compliance Area – Medicare Coverage Determinations	Presentation	
Significant Audit Activities		
• Status of Audit Assignments (Storrs & UCHC)	Update	3
• Audit Follow-up Process and Sample Charts	Update	
Joint Audit and Compliance Committee		
• 2011 Self Assessment – Executive Summary	Information Only	4
• 2011 JACC Self Assessment – Detailed Analysis		

December 15, 2011

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, January 25, 2012
Rome Commons Ballroom
Storrs, CT
9:00 a.m. – 9:30 a.m.

	COMMITTEE	ATTACHMENT
1) Minutes of the Academic Affairs Committee Meeting of November 10, 2011, as circulated	A	
ACTION ITEMS:		
2) Tenure at Hire		2
3) Tenure Relocation		3
4) Sabbatical Leave Requests		4
5) Designation of Emeritus Status		5
6) Renaming of the Department of Modern and Classical Languages to the Department of Literatures, Cultures and Languages		6
7) Modification to the Graduate Fields of Study in the Department of Molecular and Cell Biology in the College of Liberal Arts and Sciences		7
8) Location Modification to offer the Teacher Certification Program for College Graduates at the Avery Point Campus		8
9) Certificate in Energy and Environmental Law in the Law School		9
INFORMATIONAL ITEMS:		
10) Five-Year Review of Academic Centers and Institutes		11
Discontinued (effective June 30, 2012): Center for Population Research		
11) Faculty Consulting Program		12

- The University of Connecticut Consulting Program FY 2011 Annual Report
- Report on the University of Connecticut's compliance with Public Act 07-166 (Section 12) Faculty Consulting Program: Report Issued by the Faculty Consulting Oversight Committee

ATTACHMENT A

MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
November 10, 2011

Trustees Present: Archambault, Buckman, Gandara, Jacobs, Kruger, Lobo, McHugh, Reviczky, Ritter, Shepperd, Treibick

Staff Present: Austin, Bansal, Biancamano, Bull, Callahan, Feldman, Gray, Herbst, McDowell, Moiseff, von Munkwitz-Smith, Munroe, Orr, Nicholls, Reis, Rubin, Saddlemire, Singha, Stwalley, Sullivan, Urban, Walker, Wetstone

Committee Chairman Jacobs convened the meeting at 9:30 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Committee Chairman Jacobs, seconded by Trustee Archambault, the minutes of the September 28, 2011 meeting were approved as circulated.

Provost Nicholls introduced ***Action Item #2, Approval of Tenure at Hire.*** Moved by Trustee Shepperd, seconded by Trustee Buckman, the Committee recommended the approval of tenure at hire to the full Board.

Provost Nicholls introduced ***Action Item #3, Appointment of Dr. Richard L. Schwab to the Neag Professorship in the Neag School of Education.*** Provost Nicholls noted that Dr. Schwab is Director of the Institute of Urban School Improvement at the Neag School of Education and Professor of Educational Leadership. Previously, he served as Dean of the Neag School of Education, Dean of the School of Education at Drake University, Department Head of Educational Leadership at Drake, and Professor and Director of Field Experiences at the University of New Hampshire. Provost Nicholls introduced Dr. Thomas DeFranco, Dean of the Neag School of Education, who thanked the Neag family for their continued support of UConn and the School of Education. Dean DeFranco reiterated the Provost's support for Dr. Schwab's appointment. Trustee Archambault praised Dr. Schwab for his service to UConn and his scholarly success. Moved by Trustee Archambault, seconded my Trustee Buckman, the Committee recommended the appointment to the full Board.

Provost Nicholls introduced ***Action Item #4, Approval to Amend the By-Laws of the University of Connecticut (Article IX-The University Senate).*** Provost Nicholls noted that the proposed changes will align current University Senate practices with the *By-Laws*. The University Senate approved the proposed changes on February 28, 2011. A notification of proposed changes was brought to the Board's attention on August, 3, 2011. Since then, a small, yet important, change has been made to reflect the University of Connecticut Health Center's role in regards to the University Senate, which was brought to the Board's attention on September 28, 2011. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the approval to the full Board.

Provost Nicholls introduced ***Action Item #5, Approval of the Graduate Certificate Program in Clinical and Translational Research (CTR) in the School of Medicine at the University of Connecticut Health Center.*** Provost Nicholls introduced Dr. Carolyn Lin, Associate Dean of the Graduate School, who commented that the CTR program is designed to broaden the reach of the core curriculum developed for the Master of Science in Clinical and Translational Research (MSCTR). The target audience is clinicians that require the knowledge to collaborate successfully with research colleagues, but may not be in a position to embark on an independent research career. The objective of the Certificate Program in CTR is to provide a core curriculum in clinical research methods and biostatistical analysis. The students will be able to apply their knowledge of clinical research methods to work with content experts to create

well-designed clinical and translational research protocols. The certificate program will require students to take credits of core coursework as is offered in the MSCTR. The program will be offered to individuals with advanced clinical degrees (MD, DMD, PharmD, PhD in a clinical field). Committee Chairman Jacobs emphasized the importance of translational research in modern medical research. Trustee Buckman inquired into the usual number of credits for a graduate certificate and the number required for the CTR. Dr. Lin confirmed that the CTR's credit requirements – nine – fall within the usual twelve-credit or less threshold. Moved by Trustee Shepperd, seconded by Trustee Lobo, the Committee recommended the program approval to the full Board.

Provost Nicholls introduced ***Action Item #6, Approval of the Location Modification to offer the English Major at the Avery Point Campus.*** Provost Nicholls explained that the English major is currently available at all regional campuses except Avery Point. Offering the major at Avery Point will provide access to one of CLAS's most popular majors for students of Southeastern Connecticut. Avery Point will need to add a senior capstone course to their course catalog to offer the major to enrolled students. Moved by Trustee Shepperd, seconded by Trustee Archambault, the Committee recommended the approval to the full Board.

Provost Nicholls introduced ***Presentation Item #7, Ethan Butler, Undergraduate Student, Chemical Engineering, Udall Scholar and NCHC Portz Fellowship Recipient.*** Provost Nicholls noted that Mr. Butler currently serves as President of the University of Connecticut's Chapter of Engineers Without Borders and is actively involved with the environmental community at UConn. Ethan presented information about his work in Engineers Without Borders, and explained that in the future, he hopes to found a company that is dedicated to developing appropriate technological solutions to the water and energy crises.

Provost Nicholls introduced ***Presentation Item #8, Digital Media Center, Timothy Hunter, Professor and Center Director.*** Professor Hunter joined the faculty at the University of Connecticut in the fall of 2007 having spent the previous twenty-five years based in New York City and designing internationally. Professor Hunter presented on his areas of expertise, digital animation design & production, lighting design, scenic design and video projection design. He explained the ways that the Center and affiliated programs have expanded at UConn and the ways in which multiple departments and schools are involved. The Digital Media Center was established by the Board of Trustees on April 13, 2011.

Provost Nicholls introduced ***Presentation Item #9, Research at UConn, Suman Singha, Senior Vice Provost and Vice President for Research.*** Dr. Singha reported on the status of research funds, trends, and provided the Committee with brief synopses of research areas in Genetics, Pharmaceutical Sciences, Animal Science, Plant Science, and Chemical, Material, and Bimolecular Engineering.

With no further business, Committee Chairman Jacobs adjourned the meeting at 10:30 a.m.

Respectfully submitted,

Brandon Murray

Brandon Murray
Committee Secretary

Financial Affairs

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
January 25, 2012 at 9:30 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

Revised

	ATTACHMENT LOCATION	
	COMMITTEE	**FULL BOARD**
EXECUTIVE SESSION:		
1) Executive Session anticipated		
ACTION ITEMS:		
2) Approval of the Minutes of the Financial Affairs Committee Meetings of November 2, 2011 and November 10, 2011, as circulated	A	
3) Contracts and Agreements for Approval		1

Project Budgets for Approval:

	Project	*Phase*	*Budget*	*Tab*
4)	UConn Health Center (UCHC) Ambulatory Care Center (ACC)	Planning	$203,000,000	13
5)	Beach Hall Renovations – Façade and Roof Repair	Design	$2,000,000	14
6)	Psychology Building Renovation/Addition	Design	$22,500,000	15
7)	Young Building Renovation/Addition	Design	$15,000,000	16
8)	McMahon Dining Hall Renovations	Revised Final	$9,900,000	17

PRESENTATION ITEMS:

9) Financial Reporting System IT Update

10) UCONN 2000 Program

INFORMATION ITEMS:

	COMMITTEE	FULL BOARD
11) Contracts and Agreements for Information	B	
12) Construction Project Status Report	(Under Separate Cover)	

13) UCONN 2000 Book 33
http://www.uc2000.uconn.edu/reports/report33/report33.pdf

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
November 10, 2011

TRUSTEES PRESENT:	Archambault, Buckman, Carbray, Gandara, Jacobs, Kruger, Lobo *(via telephone)*, McHugh, Reviczky, Ritter, Shepperd and Treibick *(via telephone)*
STAFF PRESENT:	Austin, Biancamano, Bull, Callahan, Feldman, Fox, Gray, Herbst, Kirk, Kleinman, Martel, McDowell, Munroe, Nicholls, Orr, Reis, Roe, Roy, Rubin, Saddlemire, Singha, Smith, Sullivan, Teal, Urban, Walker, Wetstone, Woods and Wrynn
SENATE PRESENT:	Bansal, Korbel, Moiseff, and Stwalley

Trustee Francis Archambault informed the Trustees that Trustee Drotch was unable to participate in the meeting. Dr. Archambault then convened the meeting of the Financial Affairs Committee on November 10, 2011 at 10:30 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut. He directed the committee to a revised agenda which was revised for changes to the Contracts and Agreements document. On a motion by Trustee Shepperd and seconded by Trustee Kruger the revised agenda was accepted by the Committee.

On a motion by Trustee Shepperd and seconded by Trustee Kruger the committee voted unanimously to go into Executive Session at 10:01 a.m. to discuss financial information given in confidence, and preliminary drafts and notes that the University has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure. Mr. Archambault requested that only Trustees and specific staff members attend Executive Session.

Trustees present for Executive Session were: Trustees Archambault, Buckman, Carbray, Gandara, Jacobs, Kruger, Lobo *(via telephone)*, McHugh, Reviczky, Ritter, Shepperd and Treibick *(via telephone)*.

University staff present for Executive Session were: President Herbst, Rachel Rubin, Richard Orr, Richard Gray, Barry Feldman, Peter Nicholls, Ralph Urban, Michael Sullivan, Debbie Carone, Nancy Bull, Donna Munroe, John Saddlemire and Suman Singha.

Executive Session ended at 10:50 a.m.

Trustee Archambault reconvened the meeting of the Financial Affairs Committee at 10:52 a.m. On a motion by Trustee Kruger and seconded by Trustee Shepperd the minutes of the Financial Affairs Committee meeting of September 28, 2011 were approved, as circulated.

On a motion by Trustee Shepperd and seconded by Chairman McHugh the ***Revised Contracts and Agreements*** document was added to the agenda. Barry Feldman, Vice President and Chief Operating Officer, provided details on the three revised contracts listed on the document. On a motion by Trustee Shepperd and seconded by Trustee Kruger the Revised Contracts and Agreements were recommended by the Committee to the full Board for approval.

Dr. Archambault introduced the project budgets for approval and on a motion by Trustee Shepperd and seconded by Chairman McHugh revised agenda Item #s 4-6 were recommended to the full Board for approval. The approved Project Budgets included: ***Law School Renovations/ Improvements – Starr, Chase, Hosmer and Knight Halls Building Envelope Repair (Design: $2,517,000); Biobehavioral Complex Replacement (Renovation) (Final: $3,000,000); and McMahon Dining Hall Renovations (Final: $8,608,000).***

On a motion by Trustee Kruger and seconded by Trustee Shepperd the ***Strategic Design Initiative Report***, submitted to the University by McKinsey and Company, was added to the Committee agenda. Dr. Feldman stated that the review process of the University, for the report was a large undertaking that began last February. The process included a review of how the University provides nonacademic services to the University as well as a review of how to generate additional revenues. The process was open, transparent and collaborative. There were twenty individuals from various sectors of the University included on the Steering Committee. Some of the recommendations in the report have already been initiated by the University. Dr. Nicholls stated that the nonacademic areas that are under his purview were also initiating the report recommendations, particularly in the area of IT.

Chairman McHugh added that he was pleased with the results of the report and thanked the administration and Trustees involved in the project, for all of the time and energy devoted to its success. *(Richard Treibick joined the meeting via telephone at this time.)* Substantial savings are anticipated as a result of the report recommendations. Current economic problems facing the university will be offset by implementation of many of the recommendations within the report.

On a motion by Trustee Kruger and seconded by Trustee Shepperd the ***Strategic Design Initiative Report,*** submitted to the University by McKinsey and Company, was recommended by the Committee to the full Board of Trustees for approval.

Trustee Archambault directed the committee to the remaining information items listed on the agenda. On a motion by Trustee Kruger and seconded by Trustee Shepperd the meeting was adjourned at 11:02 a.m.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone
Secretary to the Committee

MINUTES
SPECIAL MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
November 2, 2011

<u>TRUSTEES PRESENT</u>:
Francis Archambault, Brien Buckman; Peter Drotch, Marilda Gandara *(Telephone),* Lenworth Jacobs *(Telephone),* Thomas Kruger *(Telephone),* Lawrence McHugh, Denis Nayden *(Telephone),* Thomas Ritter *(Telephone)* and Alvin Wilson

<u>STAFF PRESENT</u>:
Nancy Bull, Debbie Carone, Barry Feldman, Richard Gray, Susan Herbst, Donna Munroe, Peter Nicholls, Richard Orr, Rachel Rubin, John Saddlemire and Suman Singha

<u>ASSISTANT ATTORNEYS GENERAL PRESENT</u>:
Michael Sullivan and Ralph Urban

<u>GUESTS</u>:
Jack Welsh, McKinsey & Company, Inc.
Andrew Lesueur, McKinsey & Company, Inc.

Peter Drotch, Committee Chair, convened the meeting at 10:30 a.m. in room 146 of the Merlin D. Bishop building on the University of Connecticut campus in Storrs, Connecticut. On a motion by Trustee Drotch and seconded by Trustee Archambault the committee voted unanimously to go into Executive Session at 10:31 a.m. to discuss preliminary drafts and notes that the University has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure. Mr. Drotch requested that only Trustees and specific staff members attend Executive Session.

Trustees present for Executive Session were: Francis Archambault, Brien Buckman; Peter Drotch, Marilda Gandara *(Telephone),* Lenworth Jacobs *(Telephone),* Thomas Kruger *(Telephone),* Lawrence McHugh, Denis Nayden *(Telephone),* Thomas Ritter *(Telephone)* and Alvin Wilson

University staff present for Executive Session were: Nancy Bull, Debbie Carone, Barry Feldman, Richard Gray, Susan Herbst, Donna Munroe, Peter Nicholls, Richard Orr, Rachel Rubin, John Saddlemire and Suman Singha. Assistant Attorneys General Ralph Urban and Michael Sullivan were also present.

Executive Session ended at 11:44 a.m. and on a motion the meeting was adjourned.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone
Secretary to the Committee

ATTACHMENT B

CONTRACTS AGREEMENTS
FOR INFORMATION
JANUARY 25, 2012
New Contracts with a value of $500,000 - $999,999 or services previously approved on a Project Budget

PROCUREMENT CONTRACTS - NEW

CONSTRUCTION CONTRACTS

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Connecticut Boiler Repair and Manufacturing Company, Inc.	$622,900	05/30/11-09/30/11	UCONN 2000	Matthew Larson, Director of Procurement Services	Contractor to provide replacement of existing boilers, domestic water heater, pumps and associated piping, demolition of existing mechanical equipment, including boilers at Trecker Library, West Hartford campus. ***(Revised Final Project Budget approved by the BOT on 04/13/11 - $850,000 - Project No. 901538)***
2	O & G Industries, Inc.	$16,774,000	06/09/11-12/08/12	UCONN 2000	Matthew Larson, Director of Procurement Services	Contractor to provide distribution piping and the construction of the Reclaimed Water Facility at 48 LeDoyt Road, Storrs campus. ***(Revised Final Project Budget approved by the BOT on 01/26/11 - $28,951,000 - Project No. 901229)***
3	Sarazin General Contractors, Inc.	$971,785	10/10/11-04/09/12	Multiple Sources	Matthew Larson, Director of Procurement Services	Contractor to provide interior and exterior renovations to the Community Professional building (known as Building 19) on the Avery Point campus to accommodate the program requirements of the Technology Incubation Program (TIP). ***(Revised Final Project Budget approved by the BOT on 08/03/11 - $1,358,165 - Project No. 201543)***

ON-CALL CONSULTANT SERVICES - CONNECTICUT ENVIRONMENTAL POLICY ACT (CEPA) AND NATIONAL ENVIRONMENTAL POLICY ACT (NEPA) IMPACT EVALUATIONS

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Fuss & O'Neill, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Connecticut Environmental Policy Act (CEPA) and National Environmental Policy Act (NEPA) Impact Evaluations for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing environmental impact evaluations and engagements in the program shall be project/program assignment specific.
2	GZA GeoEnvironmental, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Connecticut Environmental Policy Act (CEPA) and National Environmental Policy Act (NEPA) Impact Evaluations for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing environmental impact evaluations and engagements in the program shall be project/program assignment specific.
3	Milone & MacBroom, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Connecticut Environmental Policy Act (CEPA) and National Environmental Policy Act (NEPA) Impact Evaluations for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing environmental impact evaluations and engagements in the program shall be project/program assignment specific.

ON-CALL CONSULTANT SERVICES - GENERAL ENVIRONMENTAL SERVICES

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Camp Dresser & McKee, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for General Environmental Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing general environmental services and engagements in the program shall be project/program assignment specific.
2	Fuss & O'Neill, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for General Environmental Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing general environmental services and engagements in the program shall be project/program assignment specific.

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PROCUREMENT CONTRACTS - NEW *(Continued)*

ON-CALL CONSULTANT SERVICES - GENERAL ENVIRONMENTAL SERVICES *(Continued)*

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
3	GZA GeoEnvironmental, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for General Environmental Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing general environmental services and engagements in the program shall be project/program assignment specific.
4	Milone & MacBroom, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for General Environmental Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing general environmental services and engagements in the program shall be project/program assignment specific.
5	Woodard & Curran, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for General Environmental Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing general environmental services and engagements in the program shall be project/program assignment specific.

ON-CALL CONSULTANT SERVICES - SURVEYING

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	BL Companies Connecticut, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Surveying Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing surveying services and engagements in the program shall be project/program assignment specific.
2	James P. Purcell Associates, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Surveying Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing surveying services and engagements in the program shall be project/program assignment specific.
3	Vanesse Hangen Brustlin, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Surveying Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing surveying services and engagements in the program shall be project/program assignment specific.

ON-CALL CONSULTANT SERVICES - GEOTECHNICAL ENGINEERING SERVICES

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	ATL Engineering, P.C.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Geotechnical engineering Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing geotechnical engineering services and engagements in the program shall be project/program assignment specific.
2	GEI Consultants, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Geotechnical engineering Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing geotechnical engineering services and engagements in the program shall be project/program assignment specific.
3	GZA GeoEnvironmental, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Geotechnical engineering Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing geotechnical engineering services and engagements in the program shall be project/program assignment specific.

PROCUREMENT CONTRACTS - NEW *(Continued)*

ON-CALL CONSULTANT SERVICES - GEOTECHNICAL ENGINEERING SERVICES *(Continued)*

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
4	Haley & Aldrich, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Geotechnical engineering Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing geotechnical engineering services and engagements in the program shall be project/program assignment specific.
5	Terracon Consultants, Inc.	$850,000	11/01/11-10/31/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Geotechnical engineering Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing geotechnical engineering services and engagements in the program shall be project/program assignment specific.

ON-CALL CONSULTANT SERVICES - POWER PLANT ENGINEERING DESIGN SERVICES

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	BVH Integrated Services, Inc.	$850,000	10/10/11-10/09/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Power Plant Engineering Design Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing power plant engineering design services and engagements in the program shall be project/program assignment specific.
2	Thielsch Engineering, Inc.	$850,000	10/10/11-10/09/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Power Plant Engineering Design Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing power plant engineering design services and engagements in the program shall be project/program assignment specific.
3	TRC Engineers LLC	$850,000	10/10/11-10/09/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Power Plant Engineering Design Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing power plant engineering design services and engagements in the program shall be project/program assignment specific.
4	van Zelm Heywood & Shadford, Inc.	$850,000	10/10/11-10/09/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Professional Services On-Call Contract for Power Plant Engineering Design Services for use by the University as added outsourced support on a project by project basis. Program will be utilized for ongoing power plant engineering design services and engagements in the program shall be project/program assignment specific.

PROJECT MANAGEMENT OVERSIGHT SERVICES

No.	Contractor	Amount	Term	Fund Source	Program Director	Purpose
1	Jacobs Project Management Co.	$13,731,600	12/19/11-12/18/17	Multiple Sources	Matthew Larson, Director of Procurement Services	Consultant to provide Program/Project Management Oversight (PMO) services for use by UCHC to support its Capital Construction programs on its Farmington, CT campus, including, but not limited to, (1) Bioscience Connecticut Initiative; (2) UCONN 2000; and (3) other UCHC projects, as designated. ***[Approved by the BOT BGE Committee by Special Meeting on 12/14/11]***

RESEARCH CONTRACT

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Alpha-O Peptides	$1,777,362	09/30/11-09/29/16	Research Fund	Michael Crouch, Ed.D, Executive Director Office of Sponsored Programs	Collaborate with Dr. Burkhard from the Insitute of Material Sciences on Project for the creation and assesment of a peptide nanoparticle nicotine vaccine.

CONTRACTS AGREEMENTS
FOR INFORMATION
JANUARY 25, 2012
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PROCUREMENT CONTRACTS - NEW *(Continued)*

STANDARD FIXED-FEE ARCHITECT'S CONTRACT

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Hazen and Sawyer, P.C.	$1,406,778	07/31/10-03/15/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Architect to provide completion of Bidding/Negotiation Phase and Construction Administration and Close-out Phase services for the Water Demand and Re-Use Project at the Storrs Campus. ***(Revised Final Project Budget approved by the BOT on 01/26/11 - $28,951,000 - Project No. 901229)***
2	Hazen and Sawyer, P.C.	$600,000	07/31/10-03/15/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Architect to provide all services in accordance and consistent with the program, design concept, scope and criteria set forth in the Central Utility Plant - Basis of Design Report for Reclaimed Water Facility Project No. 901229, Phase 2 dated October 2010 for the Central Utility Plant located at Storrs campus. ***(Revised Final Project Budget approved by the BOT on 01/26/11 - $28,951,000 - Project No. 901229)***

PROCUREMENT CONTRACTS - AMENDMENTS

STANDARD FIXED-FEE ARCHITECT'S CONTRACT

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
1	Burt Hill Kosar Rittelmann Associates of Connecticut, Inc.	$74,000 *[Total Contract Amount $6,155,080]*	12/10/08-10/31/14	UCONN 2000	Matthew Larson, Director of Procurement Services	$2,363,896	$1,219,014	$309,360	Main building renovation for space to provide a state of the art clinical skills simulation lab for teaching and training at UCHC. Fourth amendment to provide a study to incorporate incubator labs into the program for the project. ***(Planning Project Budget approved by the BOT on 06/20/06 - $75,000 - Project No. 901134)***
2	Burt Hill, Inc. f/k/a Burt Hill Kosar Rittelmann Associates of Connecticut, Inc.	$103,273 *[Total Contract Amount $6,258,353]*	12/10/08-10/31/14	UCONN 2000	Matthew Larson, Director of Procurement Services	$2,363,896	$1,219,014	$309,360	Main building renovation for space to provide a state of the art clinical skills simulation lab for teaching and training at UCHC. Fifth amendment to provide analysis of incubator lab air requirements, an understanding of the types of dry labs anticipated, and determination of HVAC and electrical requirements for the flexible administrative spaces for the project. ***(Planning Project Budget approved by the BOT on 6/20/06 - $75,000 - Project No. 901134)***
3	Hazen and Sawyer, P.C.	$15,956 *[Total Contract Amount $1,422,734]*	07/31/10-03/15/14	Multiple Sources	Matthew Larson, Director of Procurement Services	$459,515	$0	$0	First amendment to include added scope of work for site survey, design, construction documents, construction administration and close-out services for the Water Demand and Re-Use Project at the Storrs campus. ***(Revised Final Project Budget approved by the BOT on 01/26/11 - $28,951,000 - Project No. 901229)***

PROCUREMENT CONTRACTS - AMENDMENTS *(Continued)*

STANDARD FIXED-FEE ARCHITECT'S CONTRACT *(Continued)*

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
4	Leers Weinzapfel Associates Architects Inc.	$447,706 *[Total Contract Amount $9,181,935]*	02/28/08-12/31/14	UCONN 2000	Matthew Larson, Director of Procurement Services	$8,712,669	$3,469,914	$2,886,232	Third amendment to provide added scope of work for LEED related services; revisions to Academic Way; construction administration services; preparation of bid documents for the West Building; drawings and specifications for the East Building for the Arjona Monteith - New Classroom Building project at Storrs campus. ***(Final Project Budget approved by BOT on 09/22/09 - $95,000,000 - Project No. 901126)***
5	Prellwitz Chilinski Associates, Inc.	$74,249 *[Total Contract Amount $776,558]*	12/01/10-11/30/12	Multiple Sources	Matthew Larson, Director of Procurement Services	$532,568	$0	$0	Second Amendment to address tree remediation issues and additional design services to correct existing deficiencies in the kitchen plumbing at McMahon Hall at the Storrs campus. ***(Design Project Budget approved by the BOT on 08/03/11 - $8,143,704 - Project No. 201357)***
6	Sasaki Associates, Inc.	$563,100 *[Total Contract Amount $911,650]*	07/15/10-2/15/13	UCONN 2000	Matthew Larson, Director of Procurement Services	$348,550	$0	$0	First Amendment to include added scope of work for Construction Document, Bid and Negotiation and Construction Administration Phases for a Student Center at the Avery Point campus. ***(Revised Planning Project Budget approved by BOT on 06/23/09 - $6,800,000 - Project No. 901278)***
7	Steffian Bradley Architects, Inc. and HKS, Inc.	$57,775 *[Total Contract Amount $19,759,250]*	09/09/10-10/21/16	Multiple Sources	Matthew Larson, Director of Procurement Services	$5,709,036	$0	$0	Third amendment to provide presentation services to illustrate new construction and renovation; and provide a preliminary financial analysis for campus wide parking/supply demand at UCHC. ***(Planning Project Budget approved by BOT on 06/10/10 - $332,000,000 - Project No. 901590)***
8	Steffian Bradley Architects, Inc. and HKS, Inc.	$2,735,645 *[Total Contract Amount $22,494,895]*	09/0910-10/21/16	Multiple Sources	Matthew Larson, Director of Procurement Services	$5,709,036	$0	$0	Fourth amendment to provide programing of additional scope, additional design and construction administration services; and Medical Education Program Strategic Planning Services at UCHC. ***(Planning Project Budget approved by BOT on 06/10/10 - $332,000,000 - Project No. 901590)***
9	Tai Soo Kim Partners, LLC	$73,400 *[Total Contract Amount $1,117,020]*	10/20/08-08/19/13	UCONN 2000	Matthew Larson, Director of Procurement Services	$944,462	$289,089	$9,136	Fourth amendment to provide additional design services in support of increased funding for the Storrs Hall Addition on the Storrs campus. ***(Revised Final Project Budget approved by BOT on 04/13/11 - $14,825,000 - Project No. 901265)***

CONSTRUCTION PROJECTS STATUS REPORT

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING - JANUARY 25, 2012

Storrs and Regional Campuses

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 01/06/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Planning Budget Phase								
Babbidge IT & Phone Functional Areas - Heating and Air Conditioning and Electrical Repairs	901580	$700,000	$79,785	P	UCONN 2000 Phase III - DM	01/26/11	01/31/13	0%
Bishop Renovation - Mechanical System Improvements and Façade Modifications	901645	$2,000,000	$24,115	P	UCONN 2000 Phase III	02/22/11	10/01/12	0%
Fine Arts Building Envelope Repair	901604	$600,000	$45,730	P	UCONN 2000 Phase III - DM	01/26/11	2012	0%
Fine Arts Phase II - Renovation and Improvements	901667	$21,600,000	$370	P	UCONN 2000 Phase III	08/03/11	2016	0%
Historic House Renovations	901432 901668	$1,400,000	$261,010	P	UCONN 2000 Phase III - DM	09/23/08	On Hold	0%
Intramural, Recreational & Intercollegiate Facilities - Recreation Center	901332	$3,300,000	$239,143	P	UCONN 2000 Phase III ($3.0M) University Operating Funds ($300K)	06/24/08	On Hold	0%
Manchester Hall Renovation - Building Envelope Repair	901644	$2,000,000	$18,990	P	UCONN 2000 Phase III	02/22/11	2013	0%
Mansfield Training School Improvements (Phase II) - Longley Renovations	901281	$1,000,000	$13,931	P	UCONN 2000 Phase III	09/25/07	2013	0%
Pedestrian Safety Improvements - CUE/Gentry Corridor	901627	$1,240,350	$0	P	UCONN 2000 Phase III - DM	08/03/11	2013	0%
Pedestrian Safety Improvements - Phase I	901629	$1,890,000	$159,768	P	UCONN 2000 Phase III - DM	02/22/11	2012	0%
Practice Basketball Facility - Design	201434	$3,000,000	$1,622,756	P	Gifts Departmental Funds	09/21/10	2014	0%
Public Safety Complex Improvements	201574	$3,000,000	$0	P	Plant Funds	08/03/11	2014	0%
Support Facility (Architectural and Engineering Services)	901390	$500,000	$0	P	UCONN 2000 Phase III	09/23/08	2017	0%
Technology Quadrant Phase III	901661, 901662	$172,500,000	$198,666	P	State General Obligation Bonds	06/23/11	2015	0%
Revised Planning Budget Phase								
Atwater Façade and Window Replacement	901397	$1,900,000	$34,413	P-$650K RP-$1.9M	UCONN 2000 Phase III - DM	09/23/08 09/21/10	2013	0%
Avery Point Campus Undergraduate & Library Bldg - Phase I	901278	$6,800,000	$967,290	P-$9.3M RP-$6.8M	UCONN 2000 Phase III	06/24/08 06/23/09	2013	0%
Backfeed Loop - North Eagleville to Parking Garage to Storrs Road	901416	$300,000	$269,891	P-$700K RP-$300K	UCONN 2000 Phase III - DM	09/23/08 04/20/10	2014	0%
Budds Building Window Replacement	901377	$1,315,000	$23,812	P-$650K RP-$1.315M	UCONN 2000 Phase III - DM	06/24/08 09/21/10	2013	0%
Engineering Building - Planning & Design	901376	$60,500,000	$429,460	P-$1.0M RP-$2.0M RP-$2.0M RP-$60.5M	UCONN 2000 Phase III	06/24/08 02/18/10 09/21/10 08/03/11	2014	0%
Gant Building Renovations - Phase I	901374	$2,310,000	$299,176	P-$440K RP-$1.31M RP-$2.31M	UCONN 2000 Phase III	11/23/09 02/18/10 02/22/11	2016	0%

Storrs and Regional Campuses

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 01/06/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Revised Planning Budget Phase (Continued)								
Old Central Warehouse	901266	$2,126,000	$125,745	P-$18.0M RP-$10.0M RP-$2.126M	UCONN 2000 Phase III	08/01/07 06/24/08 08/03/11	2013	0%
Torrey Renovation Completion & Biology Expansion	900705	$125,000,000	$866,077	P-$44.3M RP-$125.0M	UCONN 2000 Phase III	08/01/07 06/24/08	2016	0%
Design Budget Phase								
Beach Hall Renovations - Façade and Roof Repair	901646	$2,000,000	$113,790	P D	UCONN 2000 Phase III	02/22/11 *01/25/12 Pending*	2012	0%
Law School Renovatons/Improvements - Starr, Chase and Knight Halls Building Envelope Repair	901188	$2,517,000	$195,315	P D	UCONN 2000 Phase III	02/22/11 11/10/11	2012	0%
Mirror Lake Dredging and Related Improvements	901392	$3,400,000	$217,529	P-$3.5M D-$3.4M	UCONN 2000 Phase III - DM ($210K) University Operating Funds ($3.19M)	02/18/10 04/20/10	2012	0%
Psychology Building Renovation / Addition	901388	$22,500,000	$1,611,968	P-$20.0M RP-$22.5M D-$22.5M	UCONN 2000 Phase III	09/23/08 01/26/11 *01/25/12 Pending*	2014	0%
Shippee Dining Hall Renovations	201545	$3,000,000	$328,435	P D	Departmental Funds	03/23/10 01/26/11	2012	0%
Young Building Renovation/Addition	901373	$15,000,000	$1,551,645	P-$10.0M RP-$15.0M D-$15.0M	UCONN 2000 Phase III	06/24/08 11/09/10 *01/25/12 Pending*	2013	0%
Revised Design Budget Phase								
Mansfield Training School Improvements (Phase II) - Merritt Building	901213	$3,250,000	$370,247	P-$3.0M RP-$2.5M D-$3.3M RD-$3.3M	UCONN 2000 Phase III	09/24/06 09/25/07 06/24/08 06/10/10	2012	0%
North Hillside Road Completion	900965	$14,300,000	$1,678,328	D-$11.5M RD-$11.5M RD-$14.3M	UCONN 2000 Phase III ($8.2M) Federal Funds ($6.1M)	08/02/05 11/15/05 06/24/08	2014	0%
Final Budget Phase								
Arjona and Monteith (New Classroom Buildings)	901126	$95,000,000	$85,265,575 (A)	P-$86.1M R-$95.0M D-$95.0M F-$95.0M	UCONN 2000 Phase III	09/26/06 06/24/08 08/04/09 09/22/09	2011-West 2012-East	100% 58%
Biobehavioral Complex Replacement (Renovation)	901380	$3,000,000	$120,281	P-$2.0 M RP-$2.0M RP-$3.0M F-$3.0M	UCONN 2000 Phase III	06/24/08 01/26/11 08/03/11 11/10/11	2012	0%
Castleman Building Drainage Repair	901289	$550,000	$454,789	P F	UCONN 2000 Phase III - DM	09/25/07 09/28/11	2012	75%
Fine Arts Phase II - Photo Lab Ventilation Improvements	901544	$700,000	$539,886	P D F	UCONN 2000 Phase III	08/04/09 09/22/09 11/05/09	2012	99%

Storrs and Regional Campuses

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 01/06/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Final Budget Phase (Continued)								
Meter Installation - Multiple Locations (Phase II)	901326	$600,000	$503,084	P D F	UCONN 2000 Phase III - DM	09/25/07 12/07/07 01/22/08	2012	96%
Meter Installation - Multiple Locations (Phase III)	901371	$600,000	$228,590	P D F	UCONN 2000 Phase III - DM	06/24/08 09/23/08 06/23/09	2012	25%
Replacement of Chemical House (Well Water Treatment Facility)	901320	$3,500,000	$2,912,589	P D F	UCONN 2000 Phase III - DM	09/25/07 01/12/09 11/05/09	2012	99%
Residential Life Facilities - Window Replacement - Grange Hall, Hicks Hall, Shippee Hall and Dining Facility and Northwood Complex	201555	$3,800,000	$163,698	P-$4.2M F-$3.8M	Departmental Funds	09/21/10 01/26/11	2012	0%
Small Capital Projects Fund	901693	$1,500,000	$650,316	F-$1.5M	UCONN 2000 Phase III - DM	09/28/11	2012	75%
Tie In Drum Heater on Boilers at CUP (Central Utility Plant)	901319	$104,500	$78,059	P-$600K D-$600K F-$104.5K	UCONN 2000 Phase III - DM	09/25/07 02/27/08 08/03/11	2012	0%
Torrey 1st Floor East Renovations	901572	$3,500,000	$2,860,381	P D F	UCONN 2000 Phase III - DM ($150.0K) Research Funds ($1.175M) Departmental Funds ($2.175M)	08/10/10 09/21/10 11/09/10	2012	99%
UCONN 2000 Code Remediation Towers Dormitories	201472	$620,000	$565,011	F	University Operating Funds	04/20/10	2012	99%
West Hartford Campus Renovations/ Improvements - Replace Trecker Library Air Handling Unit	901539	$525,000	$26,019	F	UCONN 2000 Phase III	06/10/10	2013	0%
Revised Final Budget Phase								
Community Professional Building Renovation - Avery Point	201543	$1,358,165	$1,093,434	P-$1.0M F-$1.0M RF-$1.35M	Research Funds - $300K Grant Funds - $700K UCONN 2000 Phase III/Grant/Research	01/26/11 04/13/11 08/03/11	2012	25%
Floriculture Greenhouse	901367	$6,070,000	$5,705,737	P-$3.0M RP-$5.0M D-$5.0M F-$5.0M RF-$6.07M	UCONN 2000 Phase III	06/24/08 09/22/09 09/21/10 02/22/11 04/13/11	2012	60%
Gant IMS Fire Alarm Replacement	201502	$1,372,000	$1,217,325	F-$1.122M RF-$1.372M	University Plant Funds UCONN 2000 Phase III - DM/Univ. Plant	06/23/09 02/22/11	2012	98%
Koons Hall Renovation/Addition - Windows, Façade & Mechanical System Analysis	901379	$1,200,000	$979,586	P-$1.0M D-$1.0M F-$1.0M RF-$1.2M	UCONN 2000 Phase III	06/24/08 08/10/10 09/21/10 06/23/11	2012	60%
McMahon Dining Hall Renovations	201357	$9,900,000	$1,062,171	P-$7.81M RP-$7.81M D-$8.1M F-8.6M RF-$9.9M	Departmental Funds UCONN 2000 Phase III	08/10/10 06/23/11 08/03/11 11/10/11 *01/25/12 Pending*	2012	0%
Northwest Quad Code Remediation	201413	$887,500	$479,308	F-$706.6K RF-$887.5K	University Operating Funds	08/21/08 08/10/10	2012	75%

Storrs and Regional Campuses

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 01/06/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Revised Final Budget Phase (Continued)								
Storrs Hall Addition	901265	$14,825,000	$13,022,429	P-$7M RP-$14M D-$13.4M F-$13.4M RF-$14.825M	UCONN 2000 Phase III	08/01/07 06/23/09 09/21/10 02/22/11 04/13/11	2012	50%
Water Reclamation Facility (formerly Gray Water Facility)	901229	$28,951,000	$22,053,581	P-$1.4M RP-$28.6M D-$28.9M F-$28.9M RF-$28.9M	UCONN 2000 Phase III - DM Plant Funds	06/24/08 08/04/09 02/18/10 04/20/10 01/26/11	2012	29%
West Hartford Campus Renovations/ Improvements - Electrical Switchgear Replacement	901286	$949,700	$774,872	P -$550K RP-$800K F-$800K RF-$950K	UCONN 2000 Phase III	09/25/07 06/23/09 01/26/11 09/28/11	2012	10%
Recently Completed Projects [(1)]								
Benton State Art Museum Addition - Mechanical System Improvements	901545	$2,150,000	$1,635,255	P-$1.7M F-$1.7M RF-$2.150M	UCONN 2000 Phase III	08/04/09 04/20/10 09/21/10	2011	100%
Bishop Renovation - Phase I	901387	$6,000,000	$0	P Cancelled	UCONN 2000 Phase III	09/23/08 04/13/11	2012	Cancelled
Code Remediation and Renovation - Ratcliffe Hicks Arena	901352	$998,650	$851,822	P-$999.7M F-$998.6K	UCONN 2000 Phase III - DM ($9K) Departmental Funds ($988.7K)	03/23/10 06/10/10	2011	100%
Depot Storehouse - Roof Replacement	201514	$637,000	$558,522	D F	Departmental Funds	03/23/10 06/10/10	2011	100%
Filter Press, Installation	901368	$850,000	$764,967	P-$500K D-$850K F-$850K	UCONN 2000 Phase III - DM	06/24/08 04/21/09 06/23/09	2011	100%
Fine Arts Phase II - Core Building	901567	$1,200,000	$534	P Cancelled	UCONN 2000 Phase III	02/18/10 08/03/11	2013	Cancelled
Hall Dorm Ground Floor Restoration	901560	$770,000	$70,492	P	UCONN 2000 Phase III - DM	01/26/11	2012	Cancelled
Jorgensen Renovation - Auditorium Seating Replacement	901553	$2,162,000	$2,161,118	P-$2.1M F-$2.1M RF-$2.162M	UCONN 2000 Phase III - DM ($100K) University Operating Funds ($2M) Gifts ($62K)	02/18/10 03/23/10 11/09/10	2011	100%
Jorgensen Renovation - Phase I	901274	$1,375,000	$1,207,249	P-$1.6M D-$2.2M F-$1.375M	UCONN 2000 Phase III	09/25/07 06/24/08 04/21/09	2011	100%
Law School Renovatons/Improvements - Knight Hall, Rm 215 - Classroom Renovation	901589	$575,000	$390,815	P D F	UCONN 2000 Phase III	06/10/10 08/03/11 09/28/11	2011	100%
New 16" Water Main - Towers to Glenbrook and North Eagleville and Ph I Relocation of SP3 Circuit	901422	$2,300,000	$1,882,199	P-$1.06M D-$1.06M F-$2.30M	UCONN 2000 Phase III - DM	09/23/08 08/04/09 04/20/10	2011	100%
OSFM Legacy Code Remediation	901259	$9,000,000	$8,899,102	P-$680K D-$1.190M F-$8.550M RF-$9.0M RF-$9.0M	UCONN 2000 Phase III - DM University Plant Funds University Plant Funds University Plant Funds UCONN 2000 Phase III - DM	09/23/08 04/21/09 06/23/09 04/20/10 01/26/11	2011	100%

Storrs and Regional Campuses

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 01/06/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Recently Completed Projects (Continued) [(1)]								
Replacement of Two Water Towers	901311	$2,500,000	$2,116,979	P-$2.0M D-$2.5M F-$2.5M	UCONN 2000 Phase III - DM	09/25/07 11/18/08 04/21/09	2011	100%
Residential Life Facilities - Connecticut Commons Complex Renovations	201554	$6,250,000	$4,373,696	P-$5.2M F-$5.0M RF-$6.25M	Departmental Funds UCONN 2000 Phase III/Dept Funds	09/21/10 01/26/11 08/03/11	2011	100%
Residential Life Facilities - Hale and Ellsworth Elevator Replacement	201511	$2,140,000	$1,239,947	P-$1.6M D-$2.14M F-$2.14M	Departmental Funds	11/05/09 02/18/10 04/20/10	2011	100%
Residential Life Facilities - McMahon Elevator Replacement	201512	$2,202,000	$1,221,349	P D F	Departmental Funds	03/23/10 09/21/10 11/09/10	2011	100%
Roads, Sidewalks and Miscellaneous Repairs - Phase III	901618 901658 901659 901660	$850,000	$375,680	F	UCONN 2000 Phase III - DM	01/26/11	2011	100%
Route 195 Sewer Line Replacement - Area 1	201558	$550,000	$317,266	P D F	University Operating Funds	11/09/10 01/26/11 04/13/11	2011	100%
Security Upgrades Hilltop Apartments	201505	$967,000	$623,767	P-$800K D-$967K F-$967K	Departmental Funds	06/23/09 03/23/10 06/10/10	2011	100%
Stamford Campus Garage Repair and Maintenance Program	901583	$1,962,434	$1,419,892	F-$1.96M	UCONN 2000 Phase III - DM	04/20/10	2011	100%
Steam and Condensate Distribution System Improvements - Phase I [D]	901317 901372 901421	$4,014,537	$3,947,187	RP-$5.525M D-$5.525M F-$5.525M RF-$3.755M RF-$4.014M	UCONN 2000 Phase III - DM	02/10/09 04/21/09 03/23/10 04/20/10 09/21/10	2011	100%
Storrs Hall Addition - Windows and Exterior Repairs	901575	$740,000	$632,031	P-$93,324 RP-$600K D-$600K F-$740K	UCONN 2000 Phase III	03/20/10 09/21/10 11/09/10 01/26/11	2011	Roof-100% Windows-100%
Student Union Quadrangle Site Work and Landscape - Phase II	901440	$1,150,000	$1,070,429	P-$1.0M D-$1.0M F-$1.0M RF-$1.150 M	UCONN 2000 Phase III - DM	09/23/08 06/10/10 09/21/10 11/09/10	2011	100%
University of Connecticut Fire Marshal (UCFM) Code Remediation Charter Oak Apts	201542	$1,387,000	$900,347	F	Departmental Funds-Residential Life	04/20/10	2011	100%
University of Connecticut Fire Marshal (UCFM) Code Remediation Charter Oak Apts	901476	$537,000	$392,833	F	UCONN 2000 Phase III - DM Departmental Funds-Residential Life	04/20/10	2011	100%
University of Connecticut Fire Marshal (UCFM) Code Remediation Gampel Pavilion Fire Alarm Replacement	901552	$2,200,000	$701,836	F	UCONN 2000 Phase III - DM	04/20/10	2011	100%
West Hartford Campus Renovations/ Improvements - Phase I	901285	$1,400,000	$1,289,776	P-$1.5M F-$1.5M RF-$1.5M RF-$1.4M	UCONN 2000 Phase III	06/24/08 11/18/08 04/21/09 06/10/10	2011	100%
West Hartford Campus Renovations/ Improvements - Chemistry Lab	901569	$1,469,000	$1,461,394	P-$1.3M D-$1.4M F-$1.4M RF-$1.469M	UCONN 2000 Phase III	02/18/10 06/10/10 08/10/10 09/21/10	2011	100%

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 01/06/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses								
Recently Completed Projects (Continued) [1]								
West Hartford Campus Renovations/ Improvements - Replace Boilers, 1800 Asylum Avenue	901538	$850,000	$690,163	F-$525K RF-$850K	UCONN 2000 Phase III	06/10/10 04/13/11	2011	100%
West Hartford Campus Renovations/ Improvement - Student Lounge and Office Relocation	901568	$839,000	$742,876	P-$825K RP-$750K F-$750K RF-$839K	UCONN 2000 Phase III	02/18/10 06/10/10 01/26/11 02/22/11	2011	100%
Wood Hall Façade Repairs	901601	$1,000,000	$819,637	P-$1.7M D-$1.7M F-$1.0M	UCONN 2000 Phase III - DM	09/21/10 01/26/11 06/23/11	2012	100%
Health Center								
Planning Budget Phase								
Ambulatory Care Center (ACC)	11-042	$203,000,000	$0	P P	UCHC SECURED PRIVATE FUNDING	TBD *01/25/12 Pending*	2013	0%
CLAC Renovation	901133	$14,000,000	$1,249,247	P P	UCONN 2000 Phase III	*(05/17/06)** 06/20/06	TBD	0%
CT Simulator, High Dose Radiation (HDR) Renovations	10-059	$2,250,000	$49,000	P P	UCHC Capital Budget	(06/13/11)* 06/23/11	TBD	0%
Dental School Renovation; Grasso Phase III - 24/7 Student Lab	10-053	$485,000	$110	P P	UCHC Capital Budget	(06/13/11)* 06/23/11	TBD	0%
Fire Alarm System Upgrades	10-068	$706,000	$624,923	P P	UCONN 2000 Phase III - DM	(06/13/11)* 06/23/11	TBD	0%
Main Building Exterior Façade Joint Sealant Replacement	08-603.01	$3,300,000	$109,267	P P	UCONN 2000 Phase III - DM	*(06/09/08)** 06/24/08	TBD	0%
Main Building Renovation[C]	901134; 901221	$75,000,000	$6,499,362	P P	UCONN 2000 Phase III	*(05/17/06)** 06/20/06	TBD	0%
New Construction & Renovation	901590	$332,000,000	$19,225,165	P P	UCONN 2000 Phase III	*(06/07/10)** 06/10/10	TBD	0%
Support Building Addition/Renovation	901327	$7,800,000	$85,979	P P	UCONN 2000 Phase III	*(07/16/07)** 08/01/07	On Hold	0%
Design Budget Phase								
Cage Processing Facility Renovations	10-050	$9,340,000	$2,140,786	P P D D	NIH Grant Funds UCHC Capital Budget	*(04/12/10)** 04/20/10 *(06/13/11)** 06/23/11	06/2013	0%
Final Budget Phase								
Administrative Services Building (ASB) Renovations	10-054	$3,900,000	$1,590,440	P P F F	UCHC Capital Budget	*(04/12/10)** 04/20/10 *(06/07/10)** 06/10/10	05/2012	50%
Dowling South Chiller Replacement	09-019	$690,000	$32,928	F F	UCHC Capital Budget	*(01/07/10)** 02/10/10	On Hold	0%

Health Center

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 01/06/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Final Budget Phase (Continued)								
Main Building Cooling System, Chiller #1, #2 *& #3 Replacement*	09-603.02	$4,945,000	$4,398,128	P-$1.350M P-$1.350M RP-$5.330M RP-$5.330M D-$5.330M D-$5.330M F-$4.945M F-$4.945M	UCONN 2000 Phase III - DM	*(06/08/09)** 06/23/09 *(02/14/11)** *01/26/11* *(06/13/11)** 06/23/11 *(09/12/11)** 9/28/11	04/2012	30%
Munson Road Renovation and Expanded Parking	05006770	$4,350,000	$4,239,390	P-$3.2M P-$3.2M D-$4.4M D-$4.4M F-$4.4M F-$4.4M	UCHC Capital Budget	*(05/17/06)** 06/20/06 *(07/16/07)** 08/01/07 *(09/17/07)** 09/25/07	2012	99%
Recently Completed Projects [(1)]								
Academic Building (Bldg A) Roof Replacement	07-601.10	$1,050,000	$680,744	P -$1.15M P -$1.15M D-$1.050M D-$1.050M F-$725K F-$725K	UCONN 2000 Phase III - DM	*(06/09/08)** 06/24/08 (06/08/09)* 06/23/09 *(04/04/11)** 04/13/11	07/30/11	100%
Catheterization Lab Equipment Replacement & Renovations	08-031	$2,530,000	$2,170,674	P P D D F F	UCHC Capital Budget Departmental Funds	*(04/12/10)** 04/20/10 *(09/13/10)** 09/21/10 *(11/08/10)** 11/09/10	04/2011	100%
Datacenter Infrastructure Improvements, Phase 1	09-603.01	$2,055,000	$1,836,597	P-$1.8M P-$1.8M D-$2.055M D-$2.055M F-$2.055M F-$2.055M	UCONN 2000 Phase III - DM	*(06/08/09)** 06/23/09 *(01/07/10)** 02/18/10 *(04/12/10)** 04/20/10	04/2011	100%
Dental School CONNcept Clinic Renovation *[formerly GP3 Clinic]*	10-070	$1,000,000	$785,383	P-$1.40M P-$1.40M F-$1.0M F-$1.0M	UCHC Capital Budget	*(04/12/10)** 04/20/10 *(04/03/11)** 04/13/11	07/30/11	100%
Dental School Renovation (Orthodontics Renovation)	901526	$2,290,000	$78,468	P P	UCONN 2000 Phase III - $1.8M Departmental Funds- $454K	*(01/07/10)** 02/18/10		Withdrawn

CM@R = Construction Manager at Risk
DB = Design Build
DBB = Design - Bid - Build
TBD = To be determined
P = Planning Budget
RP = Revised Planning Budget
D = Design Budget
RD = Revised Design Budget
F = Final Budget
RF = Revised Final Budget
EI = Environmental Impact
C = Complete
SC = Substantial Completion

** University of Connecticut Health Center Board of Directors Reviewed and Recommended for Board of Trustees Approval*

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances *(As of 01/06/12)*	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete

[(1)] Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments.

[A] Expenditures occurred before July 1, 2005. For all capital projects for which planning commences after July 1, 2005, and for which expenditures are projected to equal or exceed $500,000, the "three-stage" budgets (planning, design and final) will be presented to the Board of Trustees for approval.

[C] The Main Building Renovation Phase III total funding was decreased from $75 million to $73.91 million on August 1, 2007. The Clinical Skills Renovation portion of this total is $1.2 million. Therefore, this planning budget will be revised to $72.71 million in the future.

[D] The project budget incorporates three projects 1) Infirmary/CUP Steam & Condensate Replacement (Glenbrook Rd to CUP); 2) South Campus Steam and Condensate Loop; and 3) Steam Pit Replacements - combined into project budget: Steam and Condensate Distribution System Improvements-Phase I *(BOT 02.10.09)* .

Construction Status Report for 1/12/09
Total Obligations Check

Storrs/Regional	CSR (Formula)	Per Backup	Variance	Notes
Planning Phase	#REF!	4,170,734.09	#REF!	
Revised Planning	#REF!	9,998,776.72	#REF!	Family Studies Elevator Replacement - Proj Num 901227
Design	#REF!	858,822.27	#REF!	
Revised Design	#REF!	1,342,767.24	#REF!	
Final	#REF!	11,048,093.75	#REF!	
Revised Final	#REF!	21,710,941.30	#REF!	
Recently Complete	#REF!	356,060,996.02	#REF!	

PROPOSED UNIVERSITY POLICY: *UCONN SEXUAL ASSAULT RESPONSE POLICY*

(LISTED AS ITEM 11 ON THE CONSENT AGENDA. RESOLUTION PROVIDED TO THE BOARD UNDER SEPARATE COVER AT ITS MEETING OF 1/25/12.)

University of Connecticut
Office of Diversity and Equity

January 25, 2012

TO: Members of the Board of Trustees

FROM: Elizabeth A. Conklin, Associate Vice President, Diversity and Equity (Interim)

RE: Proposed University Policy: *Sexual Assault Response Policy*

RECOMMENDATION:

That the Board of Trustees approves the draft *Sexual Assault Response Policy.*

BACKGROUND:

The proposed policy is designed to assist University employees in responding to reports of sexual assault. It seeks to promote a timely and comprehensive response to known sexual assaults, including providing information to victims about medical treatment and support services. The policy also seeks to promote a safe campus environment and, where, appropriate, to facilitate disciplinary processes and foster involvement of law enforcement officials in conformity with applicable laws and regulations.

Approval of the proposed policy is sought to ensure that the University's response to reported sexual assaults is consistent with state and federal law, particularly Title IX of the Education Amendments of 1972 (Title IX). On April 4, 2011, the United States Department of Education's Office of Civil Rights issued a "Dear Colleague Letter" to the education community as a "significant guidance document" for campus response to sexual assault under Title IX. The April 4th letter established and clarified schools' obligations to respond appropriately to reports of sexual harassment and sexual violence. The *Sexual Assault Response Policy* is directly responsive to the guidance provided by the Department of Education, and will assist in ensuring that the University's procedures in responding to reports of sexual assault are consistent with the applicable legal requirements.

An Equal Opportunity Employer

Wood Hall
241 Glenbrook Road Unit 2175
Storrs, Connecticut 06269-2175

Telephone: (860) 486-2943
TDD: (860) 486-6435
Facsimile: (860) 486-2437
web: www.odc.uconn.edu

Sexual Assault Response Policy

The safety of all members of the University Community is of the highest importance to all of us. Sexual assault[1] contravenes the mission and values of our academic community, and it is a violation of the law and of the University's Codes of Conduct. The University is committed to offering services to support and assist victims of sexual assault. Perpetrators may be subject to campus and/or employment discipline, up to and including dismissal, as well as law enforcement action. For more information related to this policy, contact the University's Title IX Coordinator, Elizabeth Conklin, at (860) 486-2943.

Statement of Purpose

This policy is designed to assist University employees in responding to reports of sexual assault. It seeks to promote a timely and comprehensive response to known sexual assaults, including providing information to victims about medical treatment and support services. The policy also seeks to promote a safe campus environment and, where appropriate, to facilitate disciplinary processes and foster involvement of law enforcement officials in conformity with applicable laws and regulations.

Reporting Requirements for All Employees[2]

***Any* employee, except those who are empowered by law to maintain confidentiality as set forth below (see "Confidential Reporting Options" below), who witnesses or receives a report of sexual assault, must report the incident** (including the date, time, and location of the incident, the date the incident was reported to you, and the identities of the victim and, if disclosed, the alleged perpetrator) as soon as possible to at least one of the following offices: the Title IX Coordinator (860) 486-2943; the Office of Community Standards (860) 486-8402; or the Office of Diversity and Equity (860) 486-2943 (Storrs), (860) 679-3563 (UConn Health Center (UCHC)).[3]

While it is your responsibility to report the information you receive, it is not your responsibility to investigate what is reported to you. University officials within the appropriate offices will determine the appropriate next steps, including ensuring that

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[1] For purposes of this policy, "sexual assault," in addition to rape, includes unwanted physical contact with the intimate parts of a person's body for purposes of sexual gratification, humiliation or degradation. The genders of the alleged victim and alleged perpetrator are irrelevant. (See Chapter 952, Connecticut General Statutes). This policy supplements, but does not replace, University policies on sexual harassment and state law governing mandated reporters of child abuse as codified in Connecticut General Statutes §17a-101, et seq.

[2] This requirement does not apply to students who are employed on the Student Payroll.

[3] Nothing in this policy prevents an employee from also reporting this information to the UConn Police: (860) 486-4800 (Storrs), (860) 679-2121 (UCHC).

victims have been made aware of available on and off campus resources. While efforts will be made to protect the privacy of the victim, the University retains the discretion to disclose a victim's identity to the appropriate officials if it is determined that such disclosure is prudent to protect the safety of the University community. If the alleged perpetrator is an employee, the Department of Human Resources will be notified. As a result, you may inform the victim that your conversation is private, *but not confidential.*

Confidential Reporting Options

A victim who wishes to discuss the assault confidentially may contact a designated Sexual Assault Counselor, including, for Storrs campus students, the Sexual Assault Crisis Center of Eastern Connecticut (24-hour hotline: 860-456-2789), and the Hartford Region Sexual Assault Crisis Program (24 hour Hotline: (860) 522-6666) (statewide list included in Attachment B).

Confidential reporting is also available within Student Health Services (including Counseling & Mental Health Services).

The law extends to a limited number of University employees the privilege to offer confidentiality to the victim and not to disclose communications with the victim. Typically, these are clinical employees who work within the Office of Counseling and Mental Health Services within the Division of Student Affairs or the UConn Health Center and include: (1) licensed marital and family therapists; (2) licensed social workers; (3) licensed professional counselors; (4) licensed psychologists; (5) psychiatrists licensed as physicians and substantially acting as psychiatrists; and (6) physicians and other medical professionals acting within a medical professional/patient relationship, including those recognized by the Privacy Rule of the Health Insurance Portability and Accountability Act (HIPAA).[4]

Additional Guidance

Many services, both on and off campus, are available to victims of sexual assault. One goal of this policy is to ensure that victims who report sexual assaults to any University employee are made aware of and receive necessary or desired services. If a sexual assault is reported to you in your role as a University employee, while you may advise

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[4] Further, licensed medical professionals including nurses working in the Student Health Center who learn of a sexual assault in connection with the treatment of a victim are not required to identify the victim to the Title IX Coordinator, Office of Community Standards or the Office of Diversity and Equity if the victim wishes to remain anonymous, but are required to report their knowledge of the date, time and location of the sexual assault, if known. In addition, members of the UConn Police Department are not required to identify the victim if doing so would violate Connecticut General Statutes, section 54-86e. However, the professionals listed herein should remain cognizant of their legal and ethical responsibility to share information when necessary to prevent harm to the patient, client, or others within the University community.

the victim that any conversation you have will be private (will not be shared unnecessarily with others), **in no event should the victim be told that the conversation will be confidential** (will not be shared without the express consent of the parties to the conversation).[5]

Assisting Victims

For further guidance, Attachment A provides a non-exhaustive list of suggested assistance actions you may consider taking if a sexual assault is reported to you. In addition, Attachment B provides a list of resources for victims. You are encouraged to provide Attachment B to any victim who reports to you, as these resources include personnel who have been specifically trained to respond to victims of sexual assault.

Self- Reporting by the Victim

In addition to the resources available both on and off campus (listed in Attachment B), victims are encouraged to report sexual assaults to the UConn Police (860) 486-4800 (Storrs), (860) 679-2121 (UCHC); the Title IX Coordinator (860) 486-2943; the Office of Community Standards (860) 486-8402; or the Office of Diversity and Equity (860) 486-2943 (Storrs), (860) 679-3563 (UCHC). Victims can choose whether to identify themselves in making such reports. A victim who directly reports a sexual assault has the opportunity to be in control of the situation and may feel a greater sense of empowerment. Direct reporting also can be important for the safety of the entire University community. Victims have the right to report assaults to campus authorities without further participation in the process. A victim can choose to initiate action through law enforcement and/or the University disciplinary process. Victims should understand, however, that by choosing to not participate in the University disciplinary process, the response of the University may be limited.

[5]As noted in this policy, a limited exception to this rule exists for certain categories of professionals who, by virtue of state law, are cloaked with the ability to promise confidentiality.

Attachment A

Non-Exhaustive List of Suggested Assistance Actions

- Assess the victim's need for immediate medical attention. Offer to accompany the victim to Student Health Services or to UConn Police, either of which can arrange transport to the Emergency Room if needed or desired.

- If immediate medical attention is not required or desired, provide the victim with a comfortable environment in which to discuss the situation (considering the victim's needs for safety and privacy).

- Explain as early as possible within your conversation that while your conversation will be private (will not be shared unnecessarily with others), it will not be confidential (will not be shared without the express consent of the parties to the conversation). Explain that *confidential* counseling is available through Student Health Services on campus and the Sexual Assault Crisis Center of Eastern Connecticut or other local sexual assault crisis centers off campus (Contact information attached on the following pages – Attachment B).

- Share the attached list of resources (Attachment B) with the victim and, if you are able, offer to accompany the victim to whatever resources the victim chooses to utilize.

- Do not suggest that the matter could be mediated between the victim and the alleged perpetrator.

- Offer to assist the victim in finding someone to accompany them to whatever resources the victim chooses to utilize.

- Offer to assist the victim in reporting the sexual assault to appropriate authorities on campus and in the community. The victim may report the sexual assault to the UConn Police (860) 486-4800 (Storrs), (860) 679-2121 (UCHC); the Title IX Coordinator (860) 486-2943; the Office of Community Standards (860) 486-8402; or the Office of Diversity and Equity (860) 486-2943(Storrs), (860) 679-3563 (UCHC).

Attachment B –Resources for Victims

I. Campus-Based Resources

University of Connecticut Police
126 North Eagleville Road, Storrs, CT 06268
Phone: 860-486-4800
Website: www.police.uconn.edu/
The UConn Police Department is responsible for all criminal investigations and apprehensions. In cases of sexual assault, an officer is assigned to be the primary contact with the victim throughout the investigation and is available 24 hours a day. Regardless of whether charges are filed, the police are available to answer questions about the legal process and legal options regarding an incident.

Student Health Services
234 Glenbrook Rd, Unit 2011, Storrs, CT 06269
Phone: 860-486-4837 (Appointment Desk) / 860-486-3427 (24-Hour Advice Nurse)
Website: www.shs.uconn.edu/womens_clinic.html
Student Health Services provides crisis and follow-up care for victims of sexual assault. Offers free medical examinations, medications, STD testing and crisis counseling and will assist in arranging referral services for evidence collection.

Emergency Department at the UConn Health Center
263 Farmington Avenue, Farmington, CT 06030
Phone: 860-679-2588
Website: http://health.uchc.edu/clinicalservices/emergency/index.htm
24/7 full-service Emergency Department.

Student Health Services - Counseling & Mental Health Services
234 Glenbrook Rd, Unit 2011, Storrs, CT, 06269
Phone: 860-486-4705
Website: www.counseling.uconn.edu
Counseling and Mental Health Services provides both immediate crisis intervention and therapy to recent or past victims of sexual violence. Therapists can be accessed by appointment, walk-in, or after-hours emergency.

Office of Community Standards
Wilbur Cross Building, Room 301
233 Glenbrook Rd, Unit 4119, Storrs, CT 06269
Phone: 860-486-8402
Website: www.community.uconn.edu
The Office of Community Standards handles campus student conduct cases when violations of the Student Code are reported.

Office of Diversity and Equity (including the Title IX Coordinator)
Wood Hall, Room 137
241 Glenbrook Road, Unit 2175, Storrs, CT 06269
Phone: 860-486-2943
Website: www.ode.uconn.edu/
ODE is the University's neutral investigatory unit charged with investigating and resolving internal complaints of discrimination and discriminatory harassment (including sexual harassment) by individuals who are injured by the discriminatory behavior of a University employee or University vendor.

Complaints may be filed by reporting the incident to ODE at (860)486-2943 or filed in writing by completing and submitting the [University's Complaint In-Take Form](#).

UConn Women's Center
Student Union, 4th Floor
2110 Hillside Rd., Unit 3118, Storrs, CT 06269
Phone: 860-486-4738
Website: www.womenscenter.uconn.edu/
The Women's Center provides advocacy, support services, information and referral services to individuals who have, or think they might have, experienced sexual assault, dating/domestic violence, and stalking.

Office of Student Services and Advocacy
Wilbur Cross Building, 2nd Floor
233 Glenbrook Rd, Unit 4062, Storrs, CT 06269
Phone: 860-486-3426
Website: www.ossa.uconn.edu
The Office of Student Services and Advocacy assists victims with academic and personal concerns that arise after an assault.

Department of Residential Life
Wilbur Cross Building, Room 204, Storrs, CT 06269
Phone: 860-486-3430
Website: www.reslife.uconn.edu/index.html
Department of Residential Life staff are knowledgeable about campus services and can help victims receive assistance, including expediting the campus judicial process or changes in housing.

Community Response Team
Website: http://www.community.uconn.edu/community_response_team.html
The Community Response Team (CRT) provides assistance to victims of sexual assault, stalking, sexual harassment and dating or domestic violence. Assistance may include counseling, advocacy, medical care, academic interventions as well as referrals to the University's Police and campus student conduct system. Members of the CRT include (but are not limited to) UConn Police, Community Standards, Counseling and Mental Health Services, Residential Life, Women's Center, Student Services and Advocacy, and the Sexual Assault Crisis Center of Eastern Connecticut.

II. Confidential Off-Campus Resources

Connecticut Sexual Assault Crisis Services, Inc. - All services are FREE and CONFIDENTIAL

Each Center Provides:

- Hotline Services 24 hours/day 7 days/week
- 24 hour crisis counseling
- Information & referral
- Advocacy for children and non-abusing parent
- Short-term counseling for victims and their family and/or friends
- Support groups and more
- Community education programs dealing with sexual assault issues
- Community prevention programs dealing with safety concerns, etc.

Sexual Assault Crisis Center of Eastern Connecticut

Willimantic
90 South Park St.
Office: 860-456-3595 or 423-7673
Hotline: 860-456-2789
Email: weconnect@snet.net

New London
165 State Street, Suite 405
Office 860-442-0604
Hotline: 860-437-7766

Safe Haven of Greater Waterbury
29 Central Avenue
P.O. Box 1503
Waterbury, CT 06721
Office: 203-753-3613
Hotline: 203-753-3613

Hartford Region Sexual Assault Crisis Program 24 hour Hotline: (860) 522-6666

Center for Women and Families of Eastern Fairfield County, Inc. Rape Crisis Services
753 Fairfield Avenue
Bridgeport, CT 06604
Office: 203-334-6154
Hotline: 203-333-2233
Email: cwfservices@cwfefc.org

Women's Center of Greater Danbury Sexual Assault Crisis Services
2 West Street
Danbury, CT 06810
Office: 203-731-5200
Hotline: 203-731-5204
Email: womens.cntr@snet.net

Women and Families Center:

Meriden
169 Colony Street
Meriden, CT 06451
Office: 203-235-9297
Hotline: 860-235-4444

Middletown
29 Crescent Street
Middletown, CT 06457
Office: 860-344-1474
Hotline: 860-635-4424

New Haven
357 Whitney Avenue
New Haven, CT 06511
Office: 203-624-4576

YWCA of New Britain Sexual Assault Crisis Services

New Britain
22 Glen Street
P.O. Box 2545
New Britain, CT 06050
Office: 860-225-4681
Hotline: 860-223-1787
Email: nbsacs@snet.net

Hartford
163 Murphy Road
Hartford, CT 06114
Office: 860-241-9217
Hotline: 860-547-1022

Rape Crisis Center of Milford
70 West River Street

Milford, CT 06460
Office: 203-874-8712
Hotline: 203-878-1212

Sexual Assault Crisis and Education Center
One Dock Street
Suite 320
Stamford, CT 06902
Office: 203-348-9346
Hotline: 203-329-2929
Email: info@sacec-ct.org

Susan B. Anthony Project
P.O. Box 846
Torrington, CT 06790
Office: 860-489-3798
Hotline: 860-482-7133

III. Office of Victim Services

The Office of Victim Services (OVS), Connecticut Judicial Branch, is the state's lead agency established to provide services to victims of violent crime. OVS contracts with non-profit and public organizations to provide services to crime victims. These services include, but are not limited to, information and referral, criminal justice support/advocacy, therapy, safety planning, group treatment/support, personal advocacy and assistance in filing applications for victim compensation.

Website: http://www.jud.ct.gov/crimevictim/
225 Spring St., Fourth Floor, Wethersfield, Connecticut
(800) 822-8428

PROPOSED UNIVERSITY POLICY: *UCONN CHILD ABUSE AND NEGLECT REPORTING POLICY*

(RESOLUTION ADDED TO THE AGENDA UNDER THE CONSENT AGENDA)

University of Connecticut
Office of Diversity and Equity

January 25, 2012

TO: Members of the Board of Trustees

FROM: Elizabeth A. Conklin, Associate Vice President, Diversity and Equity (Interim)

RE: Proposed University Policy: *UConn Child Abuse and Neglect Reporting Policy*

RECOMMENDATION:

That the Board of Trustees approves the draft *Child Abuse and Neglect Reporting Policy.*

BACKGROUND:

The proposed policy is designed to assist University employees who are mandated reporters of child abuse or neglect, as defined by Connecticut Statutes, to comply with Connecticut's mandated reporting laws. The proposed policy provides the statutory definitions of "child abuse" and "child neglect," and includes links to online resources within the Department of Children and Families (DCF) regarding mandated reporting of child abuse and child neglect. The DCF 24-hour hotline information is also provided within the proposed policy. The proposed policy additionally delineates the requirements that University employees report sexual assault pursuant to the University's Sexual Assault Response Policy, regardless of the age of the victim.

Wood Hall
241 Glenbrook Road Unit 2175
Storrs, Connecticut 06269-2175

Telephone: (860) 486-2943
TDD: (860) 486-6435
Facsimile: (860) 486-2437
web: www.ode.uconn.edu

UConn Child Abuse and Neglect Reporting Policy

Any employee who has reasonable cause to believe a sexual assault has occurred must comply with the University's Sexual Assault Response Policy, regardless of the age of the victim. See, http://www.policy.uconn.edu/ and http://www.policies.uchc.edu/.

In addition, those University employees who are **mandated reporters** of child abuse or neglect as defined by Connecticut General Statutes Section 17a-101(b) must comply with Connecticut's mandated reporting laws, Connecticut General Statutes Sections 17a-101a to 17a-101d. Connecticut law defines child abuse and neglect as follows:

> Child abuse occurs where a child has had physical injury inflicted upon him or her other than by accidental means, has injuries at variance with history given of them, or is in a condition resulting in maltreatment, such as, but not limited to, malnutrition, sexual molestation or exploitation, deprivation of necessities, emotional maltreatment or cruel punishment. (Connecticut General Statutes §46b-120)
>
> Child neglect occurs where a child has been abandoned, is being denied proper care and attention physically, emotionally, or morally, or is being permitted to live under conditions, circumstances or associations injurious to his well-being. (Connecticut General Statutes §46b-120)

For further guidance see http://www.ct.gov/dcf/cwp/view.asp?a=2556&Q=314384

The Department of Children and Families 24 hour hotline for reporting suspected child abuse or neglect is 1-800-842-2288.

All other University employees are encouraged to report suspected child abuse or neglect to the DCF hotline listed above, and are protected under Connecticut law for good faith reporting of such suspected child abuse or neglect, even if later investigation fails to substantiate abuse or neglect.