

11-10-2011

Agenda and attachments, November 10, 2011

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**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

November 10, 2011

BOARD OF TRUSTEES SCHEDULE OF THE DAY

9:30 a.m.	Academic Affairs Committee Meeting
10:30 a.m.	Financial Affairs Committee Meeting
11:00 a.m.	Call to Order, followed by Executive Session
12:00 noon	Board of Trustees Meeting (Public Session)
1:00 p.m.	Student Life Committee Meeting

BOARD MEETING AGENDA

Call to Order at 11:00 a.m.

EXECUTIVE SESSION

1. Executive Session anticipated.
2. Close Executive Session.

OPEN SESSION

Reconvene in Open Session at **12:00 noon**.

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Minutes of the meeting of September 28, 2011
 - (c) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based programs and the Health Center (Attachment 1)
 - (2) Award of Tenure (Attachment 2)
 - (3) Appointment of Dr. Richard L. Schwab to the Neag Professorship in the Neag School of Education (Attachment 3)
 - (4) Approval of Proposed Changes to the *By-Laws of the University of Connecticut* (Article IX – The University Senate) (Attachment 4)
 - (5) Graduate Certificate Program in Clinical and Translational Research in the School of Medicine at the University of Connecticut Health Center (Attachment 5)
 - (6) Location Modification to Offer the English Major at the Avery Point Campus (Attachment 6)
 - (7) Revisions to the University's *Policy Statement: Affirmative Action and Equal Employment Opportunity* (Attachment 7)

- (8) Revisions to the University's *Policy Statement on Harassment* (Attachment 8)
 - (9) Reports of the Endowed Chairs for the Period July 1, 2010 to June 30, 2011 (Health Center) (Attachment 9)
- 3. President's Report
- 4. Academic Affairs Committee Report
 - (a) Report on Committee activities
- 5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Project Budget (Design) for Law School Renovations/Improvements – Starr, Chase, Hosmer, and Knight Halls Building Envelope Repair (Attachment 10)
 - (2) Project Budget (Final) for Biobehavioral Complex Replacement (Renovation) (Attachment 11)
 - (3) Project Budget (Final) for McMahon Dining Hall Renovations (Attachment 12)
- 6. Health Center Report
 - (a) Report on Health Center activities
- 7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
- 8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
- 9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
- 10. Student Life Committee Report
 - (a) Report on Committee activities
- 11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Facilities Naming Recommendation
 - (a) Shafer Student Center (Health Center) (Attachment 13)
 - (c) Development Progress Executive Summary (Attachment 14)
- 12. Other
- 13. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.*

CONTRACTS AGREEMENTS
FOR APPROVAL
NOVEMBER 10, 2011

REVISED

PROCUREMENT CONTRACTS - NEW

PROFESSIONAL SERVICES CONTRACT FOR APPROVAL

No.	Contractor	Amount	Term	Fund Source	Program Director	Purpose
1	Oracle America, Inc.	\$11,800,000	11/11/11-11/10/14	Multiple Sources	Nancy Bull, Vice Provost, Information Technology	Consulting Services to assist with developing, implementing and supporting Oracle/PeopleSoft products including PeopleSoft Campus Solutions System (CS), PeopleSoft Human Resources Management System (HRMS) and any other Oracle/PeopleSoft Product Modules utilized by the University to include services necessary for the implementation and integration of the State of Connecticut CORE CT Program.

SERVICE AGREEMENT FOR SKILLED TRADE LABOR

No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Sarazin General Contractors, Inc.	\$1,500,000	11/11/11-11/10/14	Multiple Sources	Matthew Larson, Director of Procurement Services	Task labor contract to provide skilled trade labor support to the University's Department of Academic Renovations to meet requirements or perform tasks within a limited window of opportunity in the renovation/refurbishment of laboratories, office and classrooms at the Storrs campus and other University campus locations. The Contractor will provide skilled trades persons able to perform tasks requiring construction and renovation work including, but not limited to: layout and framing of walls, wallboard installation, acoustical ceiling installations, doors and related hardware installations, installation of laboratory casework and work surfaces, masonry, drywall finishing and painting, electrical and plumbing services, sheet metal and HVAC services on an on-call basis. The term of the contract is for 3 years with an option to extend the contract for two 1-year terms.

PROCUREMENT - AMENDMENTS

BEVERAGE CONTRACT AMENDMENT FOR APPROVAL

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY11	Expenditures FY10	Purpose
1	Coca-Cola Refreshments	\$4,777,500	08/17/09-06/30/12	Auxiliary Services	Barry Feldman, VP & COO	\$4,725,000	\$175,000	\$171,793	Exclusive Beverage Sponsorship Agreement to provide Coca-Cola products for sale, distribution and sampling at all campus locations where refreshments are sold. This is the result of a publicly advertised RFP. The term of this contract is for one (1) ten-year term with two (2) six-month extensions. Revenue streams include sponsorship fees, commissions, fountain sales and vending sales. <i>Amend to increase for an additional six (6) month period pending completion of the new RFP process.</i>

COMPUTER CONTRACT AMENDMENT FOR APPROVAL

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY11	Expenditures FY10	Purpose
1	Dell Marketing	\$6,000,000 <i>[Total Contract Amount \$20,000,000]</i>	01/01/08-12/31/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$8,443,274	\$3,127,834	\$2,820,440	Provide personal computers, servers, peripherals, support services and solutions to University and Health Center faculty and staff. <i>Amend contract to increase the approval amount by \$6,000,000 for a total contract amount of \$20,000,000.</i>

CONTRACTS AGREEMENTS
FOR APPROVAL
NOVEMBER 10, 2011

REVISED

PROCUREMENT - AMENDMENTS (Continued)

OFFICE SUPPLIES CONTRACT AMENDMENT FOR APPROVAL

1	Staples Advantage (formerly Corporate Express)	\$500,000 [Total Contract Amount \$3,266,000]	01/02/08-12/31/12	Multiple Sources	Matthew Larson, Director of Procurement	\$2,766,000	\$700,000	\$700,000	Extension of Exclusive agreement with office supply dealer to supply and deliver general office supplies to the University, Storrs campus, regional campuses and extension offices located throughout the State of CT. This is the result of a RFP which was publicly advertised. Original term of contract 01/02/08-12/31/08, with four one year extensions for a total of five years. Amend to exercise the fourth and final of four one year extensions.
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PROPERTY LEASE AGREEMENTS

UNIVERSITY AS LESSOR

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Chondrogenics, Inc.	\$2,400	11/15/11-11/14/12	Operating Fund-General	Rita Zangari, Director Technology Incubation	A new TIP program lease of space not to exceed 120 square feet of laboratory space in the building known as the Main University of Connecticut Health Center Research Building, room L7017 located in Farmington, Connecticut. Rent will be at \$20.00 per square foot. Lessee to participate in the University Technology Incubator Program (TIP).
2	Escientia Life Sciences, LLC	\$17,024	12/04/11-12/03/12	Auxiliary Services	Alexandria Roe, Director of University Planning	Renewal of TIP lease for space, not to exceed 608 square feet of office and laboratory space, in the building known as the Advanced Technology Laboratory (ATL) Building on the Storrs campus, Storrs, Connecticut. The rent for this space will be at \$28 per square foot for the term. Lessee to continue to participate in the University Technology Incubator Program (TIP).
3	Fin's Savories, LLC d/b/a Fin's Café	\$3,600	01/01/12-12/31/12	Auxiliary Services	Alexandria Roe, Director of University Planning	Renewal of a lease of cafeteria-type space located in the Project Oceanology Building on the grounds of the Avery Point Campus, Groton, Connecticut. Lease will be for one year for the total of \$3,600 for the lease term.
4	FreeThink Technologies, Inc.	\$6,000-\$7,200	12/01/11-11/30/14	Auxiliary Services	Alexandria Roe, Director of University Planning	New TIP lease of space, not to exceed 600 square feet of office and laboratory space, in the building known as the Advanced Technology Laboratory (ATL) Building on the Storrs campus, Storrs, Connecticut. The leased premises shall be shared with TRH Therapeutics, LLC (a prior Board-approved TIP tenant). The initial rent shall be \$20 per square foot and shall increase by \$2 per square foot per year, and TRH Therapeutics, LLC and FreeThink Technologies, Inc. shall be jointly and severally liable for the rental of the space. Lessee to participate in the University Technology Incubation Program (TIP).

UNIVERSITY AS LESSEE

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Ash Realty Associates, LLC	\$488,704	01/01/02-06/30/13	Operating Fund-General	Amanda Hopkins Tirrell, Chief Operating Officer, UConn Medical Group at the University of Connecticut Health Center	This is the 3 rd amendment to the Lease. The Tenants are UConn Health Partners and UConn Center for Public Health. The lease for 99 Ash St., E. Hartford, CT, consists of 30,544 square feet of space and expires on 12/31/11. This 3 rd amendment extends the time period of the current lease from 1/1/12 through 6/30/13. The terms and conditions from the current lease will remain in full force and effect. The cost per square foot for this triple net lease is \$16. This space is utilized as clinical/medical space, office space and patient waiting rooms. The value of this 3 rd lease amendment is \$733,056. The total value of this lease, including this 3 rd amendment and the previous amendments, is \$4,780,151.

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT
PRESENTED TO THE BOARD OF TRUSTEES – November 10, 2011**

Effective November 29, 2011

COLLEGE OF AGRICULTURE AND NATURAL RESOURCES

TENURE AS ASSOCIATE PROFESSOR

Mary Holz-Clause

Extension



University of Connecticut
Office of the Provost

Peter J. Nicholls
*Provost & Executive
Vice President
for Academic Affairs*

November 10, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Appointment of Dr. Richard L. Schwab to the "Neag Professorship" in the Neag School of Education

RECOMMENDATION:

That the Board of Trustees approve the appointment of Dr. Richard L. Schwab to the "Neag Professorship" in the Neag School of Education.

BACKGROUND:

Dr. Richard L. Schwab is Director of the Institute of Urban School Improvement at the Neag School of Education and Professor of Educational Leadership. Previously, he has served as Dean of the Neag School of Education, Dean of the School of Education at Drake University, Department Head of Educational Leadership at Drake, and Professor and Director of Field Experiences at the University of New Hampshire. He is the past President of the Council of Academic Deans at Research Education Institutions (CADREI), a national organization for research oriented private and land grant schools/colleges of education. Dr. Schwab has published extensively on issues relating to teacher education, occupational stress and health in educational organizations, and educational technology. During his tenure as Dean, the School of Education received the largest gift ever given to a School of Education nationally from Raymond Neag. When Dean Schwab arrived in 1997 the school was unranked in US News and World Report, and when he returned to the faculty in 2009 the school was ranked 21st overall, 13th in elementary education, 17th in secondary education, 19th in curriculum and instruction, 20th in special education and the Department of Kinesiology was ranked highest in the country.

The Board of Trustees approved the renaming of the Neag Professorship in Adult Learning to the Neag Professorship on September 28, 2011.

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University of Connecticut
Office of the Provost

Peter J. Nicholls
*Provost & Executive
Vice President
for Academic Affairs*

November 10, 2011

TO: Members of the Board of Trustees
FROM: Peter J. Nicholls, Provost
RE: Approval of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article IX – The University Senate.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. Notice was provided at the September 28 Board of Trustees meeting.

The Board is being asked to revise the language of Article IX for several reasons. First, to modify the mission and purpose of the Senate consistent with current practice. Second, to accommodate recent reorganizations in the University administration as reflected in the By-Law changes that the Board of Trustees approved in September 2008. Third, to define the role of the Senate's representatives to the committees of the Board of Trustees. Fourth, to bring some election procedures into alignment with current practice, and set limits on at-large representatives. And fifth, to make provisions for student representation on the Senate Executive Committee (SEC) during the summer months and to add a graduate student representative to the SEC. The revisions were approved by the University Senate at its February 28, 2011 meeting. A notification of proposed changes was originally brought to the Board's attention on August, 3, 2011. On September 28, 2011, a small, yet important, change was made to reflect the University of Connecticut Health Center's role in regards to the University Senate.

For the information of the Board, also attached is the original language of Article IX, with indications of the proposed amendments.

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ARTICLE IX – THE UNIVERSITY SENATE

Current language removed is shown in ~~line out~~.

Language proposed at the August 3, 2011 meeting of the Board of Trustees is shown underlined in blue.

Revision since the August 3, 2011 meeting of the Board of Trustees addressing the UCHC concerns is shown underlined in purple.

There shall be a University Senate that shall be a legislative body for the purpose of establishing minimum rules and general regulations pertaining to ~~all undergraduate schools and colleges and with policy insofar as it pertains in a general way to~~ the educational program and mission of the institution, excluding the University of Connecticut Health Center, and is that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties. The University Senate shall be regularly consulted by the Administration on matters pertaining to the Senate's purview.

A. Membership

The University Senate shall consist of *ex officio* and elected members. The *ex officio* members shall be the President, the Provost, all Vice Presidents, all Vice Provosts, and the ~~Associate Vice President and Chief Information Officer, and the Associate Vice President for Human Resources and Payroll Services.~~ These *ex officio* members shall not vote.

The Senate shall contain ninety-one elected, voting members, as follows:

1. Three ~~administrative officers elected by and from a group composed of the~~ deans of the schools and colleges, which are Senate electoral constituencies (see Section B below). ~~Members of this group and the ex officio members listed above are not eligible to vote in or to be elected from any of the constituencies listed in Section B.2. or B.3. below.~~
2. Seventy-two members of the faculty elected according to one or the other of the two faculty electoral processes described in Section B.2. below.
3. Nine professional staff members elected by and from the constituencies described in Section B.3. below.
4. Five undergraduate students (see Section B.4 below).
5. Two graduate students (see Section B.5. below).

B. Elections

The election of faculty and other staff members to the Senate shall normally be held between October and March and shall be conducted by secret ballot. A minimum of one week, excluding time when the University is in recess, shall be allowed between the distribution and collection of ballots for Senate elections. Those elected shall take office on July 1. All elections shall be in the charge of the Senate Executive Committee and the returns shall be certified by two inspectors of election selected by the Senate.

In any Senate election, persons eligible for election who feel unable to serve shall be given an opportunity to withdraw their names from the list of candidates for nomination. The names of those

persons who have withdrawn, as well as the names of persons who are completing two consecutive terms, shall be indicated on the nominating ballot.

~~The ballot for the second vote (election ballot) in any Senate election must indicate the number of votes each nominee received. In addition, there must be listed the names of those persons who were not nominated, but who received at least 70% of the number of votes received by the nominee with the fewest votes. After the election, the number of votes received by those persons who were elected and the number of votes received by nominees who were not elected must be made public.~~ After the election, the number of votes received by those persons who were elected and the number of votes received by nominees who were not elected must be kept on file in the Senate Office.

The Senate Executive Committee shall retain on file the number of votes cast for each candidate in each constituency for each annual class whose term has not expired, and shall designate the available candidate with the highest vote at the last previous election in the proper constituency as the alternate to replace any senator whose place will be vacant in any semester because of resignation, retirement, or leave of absence from the University. Priority in case of tie votes shall be determined by lot. Such alternates shall be designated for up to one semester-year at a time and at the beginning of the semester unless the vacancy occurs later.

When it is known that there will be a vacancy throughout the last year or last two years of a three-year term in a constituency not otherwise scheduled to participate in a regular annual election, then that constituency will participate in order to elect a replacement to serve for the one- or two-year period remaining in the unexpired term.

The term of office of a senator shall begin on July 1 and shall extend for one year in the case of student members and for three years in the case of other elected members. Senators shall be eligible for re-election, except that a member who has completed two consecutive three-year terms shall be ineligible for re-election to serve during the year which immediately follows the period of consecutive service (which may have been extended by virtue of election to the Senate Executive Committee).

1. ~~For purposes of electing the three administrative officers, the electors described in Section A.1. above, shall determine the procedure that they will follow~~ The Deans shall constitute a constituency from which they will elect one member each year for a three-year term.
2. Two electoral processes for faculty membership in the Senate shall be followed, (a) constituency and (b) at-large. Faculty members shall not be eligible to stand for election or to vote in the first regular election following their initial appointment to the faculty.

a. Faculty constituency elections

The voting members of the faculty (see Article X.A.) of each of the following units shall, for these purposes, be deemed an electoral constituency: College of Agriculture and Natural Resources, School of Business, Neag School of Education, School of Engineering, School of Fine Arts, School of Law, College of Liberal Arts and Sciences, School of Nursing, School of Pharmacy, School of Social Work, and the Avery Point, Hartford, Stamford, Torrington, and Waterbury Regional Campuses. A person who is a voting member of more than one faculty will belong to only one constituency, that of the faculty in which his or her principal appointment is held.

The number of senators to be elected from each constituency will be one for each thirty faculty members or major fraction thereof within that constituency, with the proviso that each school, college, or regional campus will have at least one senator. The faculty of a school, college, or regional campus that is thus allocated more than one senator will have the option of establishing nonoverlapping sub-constituencies. Senators chosen from a constituency will be elected by and from among the members of that constituency. The determination of the proper number of senators to be allocated to each constituency will be reviewed annually by the ~~Provost~~[Senate](#). The annual schedule for electing members from each constituency shall be determined by the ~~Provost~~[Senate](#) in such a way as to provide for the optimum staggering of three-year terms within each constituency and for a reasonable degree of uniformity in the total number of members to be elected each year from all constituencies. To achieve these objectives, when a constituency becomes eligible to elect an additional senator, the initial term may be limited to one or two years in order that succeeding three-year terms may begin in the most appropriate years.

b. At-large elections

The number of faculty elected at-large will be the number remaining after the number of senators to be elected from constituencies is subtracted from seventy-two. Senators chosen at-large will be elected by and from the faculty electoral constituencies.

No school/college shall have more than five faculty representatives elected at-large except the College of Liberal Arts and Sciences, which may have no more than fifteen.

3. The professional staff¹ members shall be elected according to procedures approved by the Provost and Executive Vice President for Academic Affairs from four constituencies as described below. Staff members are ineligible to stand for election or to vote in the first regular election following their initial appointment to the staff.
 - a. Division of Student Affairs ~~and Services~~ and Enrollment Planning ~~and~~[and](#); Management, ~~and Institutional Research~~ (excluding regional campuses), who shall elect two senators.
 - b. University libraries (excluding regional campuses), who shall elect one senator.
 - c. Regional campuses, who shall elect one senator.
 - d. All professional staff shall elect five at-large senators.
4. Undergraduate student members shall be ~~selected by procedures established~~[appointed](#) by the [President of the Undergraduate Student Government](#) ~~with the approval of the Provost~~ [according to procedures established by the Undergraduate Student Government](#).
5. Graduate student members shall be ~~selected by procedures established~~[appointed](#) by the [President of the Graduate Student Senate](#) ~~with the approval of the Provost~~ [according to procedures established by the Graduate Student Senate](#).

C. Functions

The University Senate is a legislative body for the purpose of establishing minimum rules and general regulations pertaining to the educational program and mission of the institution that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties. ~~The University Senate is a legislative body and concerns itself with minimum rules, general regulations, pertaining to all undergraduate schools and colleges and with policy insofar as it pertains in a general way to the educational program of the institution and is not reserved to the Board of Trustees, to the administration, or to the several faculties~~ The University Senate shall appoint ex-officio representatives to the Board of Trustees committees, with full participation in discussion but without vote. These representatives will have the same status at Board of Trustees meetings, with voice but without vote. When executive sessions are called only those persons whose presence is necessary to provide their opinion on the issue at hand will be invited into Executive Session.

The University Senate will make other appointments to University committees and boards as it deems appropriate.

D. Officers

The President of the University shall be Chair of the University Senate. If the President chooses not to preside, the presiding officer shall be the Provost. A moderator shall be elected from the membership for an annual term beginning in September. At a meeting called at the request of at least twenty-five percent of the members, the presiding officer shall be the Chair of the Senate Executive Committee.

A recording secretary of the Senate, elected by the Senate, is responsible for recording and distributing minutes of Senate meetings.

E. Meetings

Meetings of the University Senate shall be held regularly at times to be fixed by ~~the President on the advice of~~ the Senate. The dates and times of meetings shall be published at the start of the academic year.

Special meetings of the University Senate may be called by the President or by the Recording Secretary of the University Senate at the request of at least twenty-five percent of the members. Such request, with signatures, shall be presented in writing to the Recording Secretary of the University Senate. The call for a special meeting shall be issued at least a week in advance of the meeting and shall state the purpose of the meeting.

On the request of any member of the Senate, if supported by four colleagues, a record vote shall be taken. These record votes shall be made a part of the minutes.

F. Minutes and Reports

Minutes of the meetings of the University Senate shall be posted on the University Senate website and sent to the University Archives. The University Archives shall be the official place for filing minutes of the University Senate.

G. Committees

1. The Senate Executive Committee

The Senate Executive Committee (SEC) shall consist of eight faculty members, one professional staff member, and one undergraduate and one graduate student members. The faculty and professional staff members shall be elected by the Senate from among the elected members of the Senate who are not primarily administrators. Department heads and directors are not regarded as primarily administrators. The undergraduate and graduate ~~student~~ members shall be selected from among and by all student members of the Senate. The Undergraduate Student Government and Graduate Student Senate Presidents or their student Senate member designee will serve as the interim student representatives to the Senate Executive Committee during the summer months until the permanent members are selected.

Elections shall follow the annual election of such members and shall normally take place in April. For faculty and professional staff members, a term of service on the Executive Committee shall begin on July 1 and shall be for three years. The term of membership in the Senate of a faculty or professional staff representative elected to the Executive Committee shall be automatically extended, if necessary, to be coterminal. For the student member, the term of service shall be one year, renewable to a maximum of three consecutive years. After the annual election, the Senate shall elect one of the nine faculty and professional staff members to serve as Chair of the SEC for a one-year term, which may be renewed. A vacancy on the SEC shall be filled by election for the duration of the vacancy.

No school/college shall have more than one faculty representative elected in any class, except the College of Liberal Arts and Sciences, which may have as many as two representatives in any class. The College of Liberal Arts and Sciences may have no more than a total of four members on the SEC at any time and no other school/college may have more than two members at any time. No faculty or professional staff senator shall be eligible for immediate re-election to the SEC. The SEC is responsible for organizing and coordinating the business of the Senate and of Senate committees. To this end, it shall maintain a clerical staff and an office. It shall distribute an agenda for each Senate meeting to the faculty and professional staff at least five days before the meeting. (Items of an extraordinary nature may be considered at a Senate meeting which have not been included in the agenda for that meeting.) It shall receive the reports of Senate committees before they are forwarded to the Senate. It also shall be available to be consulted as the voice of the Senate, especially in reference to resolutions of the Senate, which are designed to be transmitted ultimately to the Board of Trustees. SEC members shall also constitute the faculty membership of the Trustee-Administration-Faculty-Student Committee (see Section VIII.C.).

2. Committee of Three

The Committee of Three, which functions in faculty dismissal and grievance procedures, is to be constituted as follows: Each ~~springfall~~, after the Senate elections for the faculty ~~Faculty Review Board is~~ ~~are~~ completed, the ~~SEC~~ Nominating Committee shall submit to the Senate for the election of one person to the Committee of Three for a three-year term by written ballot, a slate of at least three faculty members who are tenured, full professors and who do not hold administrative office (excluding those who have the administrative titles of department head or higher). The slate of nominees must not represent the same department as any member whose

term continues into the following year. Members of the Faculty Review Board shall not be eligible for nomination. ~~will distribute to Senate members a first ballot with the names of newly elected faculty members (excluding those who have the administrative titles of department head or higher). A second ballot with the names of the two Senators receiving the highest number of votes will then be distributed to the members of the Senate. The Senator receiving a majority of the votes cast will be a member of the Committee of Three until the end of his/her term.~~

When~~ever~~ a vacancy occurs in the membership of the Committee of Three, the Senate shall elect in the same manner from the faculty group in which the vacancy exists, except if the vacancy is for less than one year, then it shall be filled by the available candidate with the highest vote in the last previous election in the appropriate class and constituency.

~~In an emergency, the SEC may authorize the remaining members of the Committee of Three to select a substitute for a member of the Committee of Three to serve while such member is absent from the campus.~~

3. Standing/Special Committees of the Senate

The Senate may appoint and define the duties of standing or special committees. The Provost shall be or assign a delegate to be an ~~be~~ *ex officio* ~~a~~ member of all standing committees.

Each standing committee shall keep accurate records of its proceedings and shall provide these to the SEC. Reports shall be presented to the University Senate as often as the amount and nature of business warrants, and at least annually.

Special committees shall be considered as discharged, without formal vote, when their final reports have been received by the Senate.

¹ For University Senate constituency purposes, professional staff shall be defined as:

I. Full-time (nine month or more) management exempt employees not included in the faculty or administrative constituencies.

II. Full-time (nine month or more, non-student) professional staff represented by the professional employees collective bargaining unit and not included in the faculty constituency.

III. Full-time (nine month or more, non-student) professional staff represented by the faculty collective bargaining unit but not included in the faculty constituency.




University of Connecticut
Office of the Provost

Peter J. Nicholls
*Provost & Executive
Vice President
for Academic Affairs*

November 10, 2011

TO: Members of the Board of Trustees

FROM: Peter J Nicholls, Provost 

RE: Approval of the Graduate Certificate Program in Clinical and Translational Research in the School of Medicine at the University of Connecticut Health Center

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate Program in Clinical and Translational Research in the Department of Medicine at the University of Connecticut Health Center.

BACKGROUND:

The Graduate Certificate Program in Clinical and Translational Research (CTR) is designed to broaden the reach of the core curriculum developed for the Master of Science in Clinical and Translational Research (MSCTR). The target audience is clinicians that require the knowledge to collaborate successfully with research colleagues, but may not be in a position to embark on an independent research career. The objective of the Certificate Program in CTR is to provide a core curriculum in clinical research methods and biostatistical analysis. The students will be able to apply their knowledge of clinical research methods to work with content experts to create well-designed clinical and translational research protocols. The certificate program will require students to take credits of core coursework as is offered in the MSCTR. The program will be offered to individuals with advanced clinical degrees (MD, DMD, PharmD, PhD in a clinical field).

The programmatic leadership and infrastructure will be identical to the MSCTR. An existing Advisory Committee will provide oversight and guidance on programmatic issues. The courses will be offered at the University of Connecticut Health Center. The program is not designed to be transferable to the MSCTR program, but will be considered on a case-by-case basis, after review of the request by the MSCTR Advisory Committee.

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State of Connecticut
Department of Higher Education

Department of Higher Education

NEW PROGRAM LICENSURE SUMMARY SHEET

Applicant Institution University of Connecticut Health Center		Date Circulated & Submitted to DHE September 17, 2011	
Name of Proposed Program Graduate Certificate in Clinical and Translational Research		Proposed Initiation Date August 2012	
Is the proposed program a degree or certificate program? <input type="checkbox"/> Degree: Title of degree (and abbreviation): <input checked="" type="checkbox"/> Certificate: Clinical and Translational Research Number of credits: 9			
CIP Code No. (optional)		Title of CIP Code (Optional)	
Unit within the institution where the program will be housed: Department of Medicine			
Location(s) where the proposed program will be offered (e.g., main campus, branch campus, or off campus): University of Connecticut Health Center			
Mode of delivery of the proposed program: <input checked="" type="checkbox"/> On ground <input type="checkbox"/> Online <input type="checkbox"/> Both			
Institutional Accreditation (if applicable): N/A		Date of last action:	
Name of accrediting body:			
Nature of action:			
Program accreditation: Do you anticipate that the proposed program will be accredited by a professional organization or other outside accreditor? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO If yes, name of accrediting body: The Association for Clinical Research Training (ACRT) (http://www.acrtpd.org) has evaluated the need and feasibility of accreditation for training curricula in clinical and translational research. Because they found the curriculum to be highly variable across institutions, with no consensus about core competencies, they did not develop an accreditation process. Consequently, there is currently no uniformly acknowledged accreditation body. Will this program provide eligibility for a state approved or other licensed profession? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO If yes, identify: If you have answered "YES" to either of the above questions please attach documentation that the proposed program meets the standards of the identified accrediting body or licensing agency.			
Institutional Contact Person Anne Kenny, M.D.		Title Professor of Medicine	Telephone: 860 679-4928 e-mail: Kenny@uchc.edu

Department of Higher Education Project Manager (To be assigned by DHE)	Date application received by DHE: September 18, 2011
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Board of Governors' Standards

The purpose of this section is for you to provide us with highlights about the proposed program and, in particular, any ways it may differ from your current programs.

Purpose and Objectives: Provide a brief statement of the specific objective of the proposed program. If the program prepares students for a particular occupation(s), please identify. If the students served by this program will differ from your traditional student population, please indicate how.

We are proposing a certificate program to complement the Master Degree in Clinical and Translational Research. The master program in Clinical and Translational Research is designed to prepare health care professionals with the academic and research skills needed to be competitive as independent researchers. The program focuses on the preparation of individuals with established, terminal degrees in a health related field (MD, PhD, PharmD, DDS or DMD) to conduct independent research in translation of information from the basic sciences to the community as researchers, teachers, public health administrators, clinicians, and industry employees competent to carry out the broad health mission of the State of Connecticut. The certificate program will require students to take the 9 credit core coursework. The program will be offered to a similar student as the MSCTR (those with advanced degrees complementing their clinical training with research methods). The individuals that choose the certificate program over the MSCTR are those less likely to develop independent research but involved in collaborative research. The certificate program will allow them to obtain the core competencies in research methods without hands on experience in mentored research. The MSCTR is not currently operating at full capacity and can accommodate certificate students without increasing resources.

Administration: Provide the name and qualifications of the full-time administrator or faculty member who will be responsible for the day-to-day operations of the program. If this individual is to be hired indicate the time frame for hiring and proposed qualifications.

Anne Kenny, M.D. Director of the Master of Science in Clinical and Translational Research and Associate Program Director of the Clinical Research Center, will provide administrative and programmatic leadership for the Certificate in Clinical Research.

Dr. Kenny received her medical degree from the University of Nebraska Medical Center and completed her internal medicine and geriatric training at the University of Connecticut Health Center.

Faculty: Will courses in this program be taught by current faculty members? ☒ YES ☐ NO

If yes, how many current faculty members will be teaching in this program? up to 41

Do you anticipate hiring new faculty in the first 3 years of this program? ☐ YES ☒ NO

If yes, how many and what qualifications will you require?

List all current in the chart on the following page.

Resources: Library, learning resources, facilities or special equipment.

Does the institution anticipate that there will be new resources (other than faculty) required for the proposed program? ☐ YES ☒ NO

Please complete the Resource Summary Sheet at the end of this application.

Curriculum: List the courses and credits that comprise the program.

[illegible]

CONNECTICUT BOARD OF GOVERNORS FOR HIGHER EDUCATION

Department of Higher Education

RESOURCE SUMMARY

Institution University of Connecticut Health Center

Program Certificate Program in Clinical and Translational Research

PROJECTED ENROLLMENT	YEAR 1 FY 2012		YEAR 2 FY 2013		YEAR 3 FY 2014	
	FT	PT	FT	PT	FT	PT
Internal Transfers	_____	<u>1</u>	_____	<u>0</u>	_____	<u>0</u>
New Students	_____	<u>3</u>	_____	<u>4-6</u>	_____	<u>4-6</u>
TOTAL ENROLLMENT (est.)	_____	<u>4</u>	_____	<u>4-6</u>	_____	<u>4-6</u>

NEW REVENUES	YEAR 1 EST. AMOUNT	YEAR 2 EST. AMOUNT	YEAR 3 EST. AMOUNT
Tuition (1)	<u>23,656</u>	<u>29,570</u>	<u>29,570</u>
Extension Fund Fees (2)	_____	_____	_____
Other Sources (Please attach a description)	_____	_____	_____
TOTAL NEW REVENUES	<u>23,656</u>	<u>29,570</u>	<u>29,570</u>

NEW EXPENDITURES	YEAR 1		YEAR 2		YEAR 3	
	Number	Est. Cost	Number	Est. Cost	Number	Est. Cost
Faculty (full-time)	_____	_____	_____	_____	_____	_____
Support Staff (full-time)	_____	_____	_____	_____	_____	_____
Library	_____	_____	_____	_____	_____	_____
Equipment (3)	_____	_____	_____	_____	_____	_____
Other (4)	_____	_____	_____	_____	_____	_____
TOTAL NEW EXPENDITURES	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

List of Faculty

The following faculty members will be teaching in the proposed program (attach additional pages if needed)

Name and title*	Degree	Full time or Part time or Adjunct	Area of Specialization
See Appendix A			

* If you anticipate hiring new faculty members for this program you may list “to be hired” under name and title.

NOTE: Institutions are required to have their current institutional program approval process on file with the Department.

Date institutional program approval process was submitted to DHE September 18, 2011

Please note that this application has been reformatted in Microsoft Word to enable ease of completion. Some fields are limited in the number of words you may enter. Please use this application form for approval of new programs at your institution. You should submit this application to the Department of Higher Education at the same time you circulate the proposed program to all institutions in Connecticut. Please indicate in your circulation memo that all comments are to be sent to both your institution and the Department. Provide your response on any comments to the Department.

Upon the Department's receipt of the application, a staff member will be assigned to review your application and you will be contacted, if additional information is needed. If no additional information is needed, you will be notified when the program will be on the agendas for the Advisory Committee on Accreditation and the Board of Governors.

For more information, contact:

Patricia A. Santoro, Director, Academic Affairs
CT Department of Higher Education
61 Woodland Street, 3rd Floor
Hartford, CT 06105
(860) 947-1819
Fax: (860) 947-1310
Email: psantoro@ctdhe.org

Appendix A: List of Faculty for the Certificate or Master Program in Clinical and Translational Research

The faculty members listed below represent the faculty who has agreed to be involved in teaching of the core courses, Principles of Clinical and Translational Research 1, 2, and 3, or have agreed to serve as mentors to students in the MS degree program. All of the mentors for the practicum have funded research programs with research objectives that merit student involvement and a strong record of publications in peer-reviewed journals. Over half of the faculty members have successfully mentored doctoral or postdoctoral students.

The following is a list of doctoral trained tenure track faculty members who have committed either to teach in the core curriculum or mentor students:

Robert H. Aseltine, Jr., Ph.D., Associate Professor at the University of Connecticut Health Center, is a medical sociologist whose primary areas of research are adolescent mental health and social development and community-based risk prevention. He has expertise in quantitative research methods and statistics, particularly in survey and evaluation research. Prior to his appointment at UCONN, he was on the faculty at Boston University and a Senior Research Fellow at the Center for Survey Research at the University of Massachusetts-Boston. He has served as PI or Co-PI on a number of studies investigating mental health and substance abuse funded by the National Institute of Mental Health, the National Institute for Alcohol Abuse and Alcoholism, the Center for Substance Use Prevention, the Center for Mental Health Services, and the William T. Grant Foundation. His work has appeared in the top journals in the fields of sociology and psychology, including the *American Journal of Public Health*, the *Journal of Personality and Social Psychology*, the *Journal of Health and Social Behavior*, the *Journal of Research on Adolescence*, and the *American Journal of Community Psychology*. Dr. Aseltine received his Ph.D. from the University of Michigan.

Thomas Babor, Ph.D., MPH, is a Professor and Department Head, and the Physicians Health Services Chair in Community Medicine and Public Health. He received a Ph.D. from the University of Arizona (Social Psychology), and an MPH from the Harvard School of Public Health in 1981. Dr. Babor's research interests include screening, diagnosis, early intervention, and treatment evaluation, as well as cultural factors related to alcohol and drug problems. He is the Regional Editor of the international journal, *Addiction*. Dr. Babor has received external support from numerous organizations, including the Robert Wood Johnson Foundation, Center for Substance Abuse Treatment, and the Donaghue Foundation. He has also mentored graduate students, postdoctoral fellows and junior faculty in the conduct of clinical research.

Laurine M. Bow, Ph.D. received her doctorate in Molecular Genetics and Cell Biology from the University of Connecticut, Storrs in 1991. She is currently the Vice President for Research at Hartford Hospital, and in this capacity is responsible for the administrative oversight of the Research Program, which includes annual funding of \$10 million primarily devoted to clinical research. She is also Director of the Transplant Immunology Laboratory at Hartford Hospital, Director of Transplant Immunology at Montefiore Medical Center, Bronx, NY, as well as Assistant Professor of Surgery at the University of Connecticut and Adjunct Assistant Professor at the Graduate School, Pharmaceutical Sciences, at the University of Connecticut at Storrs. She has authored

or co-authored over 30 publications in the field of transplant immunology and vascular biology. She is currently on the Board of Directors of Life Choice Donor Services in Connecticut as well as the New York Organ Donor Network.

Georgine Burke, Ph.D. holds a PhD in medical anthropology from the University of Connecticut. She is the Director of Research Operations for Connecticut Children's Medical Center, where she established an educational and mentoring program for pediatric fellows and faculty in 1996 that has grown to include a staff of 20 epidemiologists, biostatisticians, clinical research associates and database specialists. An assistant professor of pediatrics and community medicine, Dr. Burke was principal investigator for the Hartford infant mortality review, funded by the federal Bureau of Maternal Child Health and served on review panels for the Bureau in evaluation of national infant mortality reduction initiatives. Following this work, she participated as a Technical Advisor to the National Fetal-Infant Mortality Review Program of the American College of Obstetricians and Gynecologists. Since 1988, Dr. Burke has obtained more than \$2.5 million in funding for research and health programs. In 2006, Dr. Burke is serving as a national Examiner for the Baldrige Quality Awards, sponsored by the U.S. Department of Commerce.

Martin Cherniack, MD, MPH, received his medical degree from Stanford University School of Medicine in 1979 and his MPH from the University of California, Berkeley. He is a former EIS officer with the Centers for Disease Control and Prevention (CDC) and the National Institute for Occupational Safety and Health. Dr. Cherniack is a physician and professor in the UConn School of Medicine whose concentration is in occupational musculoskeletal disease and in environmental health. He directs the Ergonomics Technology Center at UCONN Health Center. He is the author of more than 100 scientific publications.

Michelle M. Cloutier, MD is a Professor of Pediatrics at the UCONN Health Center and Director of the Asthma Center at Connecticut Children's Medical Center. She received her doctorate in medicine from the University of Wisconsin and completed her pediatric and pediatric pulmonary fellowship training at the University of Florida followed by a Critical Care fellowship at the Children's Hospital of Philadelphia. Dr. Cloutier has received 12 medical student teaching awards in the past 10 years, has authored more than 100 journal articles and chapters in the areas of airway epithelial transport, health services research in pediatric asthma and cystic fibrosis and she recently authored a Respiratory Physiology monograph for medical students. She is a member of the NHLBI K23 Patient Oriented Mentored Clinical Research Special Emphasis Panel and an ad hoc member of the K08 Study Section.

Jonathan Covault, M.D., Ph.D. received a PhD in Biochemistry and a MD from University of Iowa College of Medicine. He completed post-doctoral research training with Joshua Sanes, PhD at Washington University, St. Louis and then joined the Department of Physiology and Neurobiology at the University of Connecticut Storrs in 1986. Following promotion to Associate Professor of Neurobiology, he transferred to the UConn Health Center, initially as a resident in Psychiatry in 1993 and upon graduation in 1997, he joined the Department of Psychiatry as Assistant Professor. He was promoted to Associate Professor of Psychiatry in 2005. Since 1997, he has served as the Director of the Core Laboratory of the General Clinical Research Center at the UConn Health Center. He has authored for 36 peer reviewed scientific papers and 4 book chapters. His current research focuses on the role of variation of several genes, including those

involved with serotonin metabolism, subunits of the benzodiazepine receptor, and the mu-opiate receptor as well as the role of endogenous neuroactive steroids in the response of subjects to alcohol and the risk of developing alcohol use disorders. This work receives funding through the Alcohol Research Center at UConn Health Center HC. Dr. Covault has been the PhD thesis supervisor for 3 students and served on the thesis committee for 7 PhD students and committee chairman for 3 masters degree students.

Leslie Curry, PhD, MPH, received her master's in public health in 1993 and PhD in 2000 from the University of Connecticut. She uses qualitative and mixed method research approaches to examine health policy and health services research related to older adults and regularly teaches at the graduate and fellowship levels. She has a particular expertise in enhancing participation of ethnically and racially diverse groups in health research and has conducted national conferences and edited a book on this topic. Dr. Curry has authored/coauthored 68 journal articles, reviews, monographs, and books. She serves on editorial boards of several journals, recognized for her expertise in qualitative methods. She is an affiliated faculty member at the Yale School of Medicine's Robert Wood Johnson Clinical Scholars Program, where she is responsible for teaching and mentoring physician-scholars in qualitative and mixed methods studies.

Valerie Duffy, Ph.D. is Associate Professor in the School of Allied Health offers a wealth of experience in nutrition, health promotion and public health. Dr. Duffy received a Ph.D. in Nutritional Science from the University of Connecticut in 1992. She currently has funding from NIH, USDA and the American Diabetes Association to research variation in oral sensation, food selection and chronic disease risk as well as improving diets of preschoolers and those of economic disadvantage. Dr. Duffy and her students have numerous publications and presentations at national and international meetings. She has received several awards for excellence in teaching, research and service. Graduate students that Dr. Duffy has mentored have pursued or completed doctoral degrees, hold leadership positions in public health, and work in research and dietetics.

Ann Ferris, Ph.D., R.D. is a Professor in the Department of Nutritional Sciences and Co-Director of the Center for Public Health and Health Policy. Dr. Ferris also has joint appointments in the School of Allied Health and the Department of Community Medicine and Health Care in the School of Medicine. She earned a Ph.D. in Food Science and Nutrition from the University of Massachusetts in 1978. Dr. Ferris has been continually funded by NIH or USDA for her 25 years at UCONN. Her research interests include community nutrition (Maternal and Child Health), the nature of iron-deficiency anemia, obesity in children and their caretakers who live in poverty, and community-based participatory care delivery models. She is the author/co-author of numerous peer-reviewed papers in established scientific journals and has presented her work on these topics at scientific conference presentations and abstracts. Dr. Ferris has chaired doctoral level committees for 6 previous and 4 current doctoral students.

Judith Fifield, PhD, is a Professor in Family Medicine at the University of Connecticut School of Medicine and a Clinical Professor of Nursing at Vanderbilt University School of Nursing. She is the Director of the Health Policy and Primary Care Research Center and Director of Research in Family Medicine. Dr. Fifield is a Medical Sociologist whose research is focused on practice and community oriented translational research (T2), and minority and women's health. She is the PI on an Agency for Healthcare Research and Quality (AHRQ)-funded study to improve pediatric asthma outcomes in low resource

environments using web-based decision support. She is also the PI on a study of a faith based weight control program delivered through the Black and African American Church, funded by the Donaghue Medical Research Foundation and the Connecticut Health Foundation. Dr. Fifield is a member of AHRQ's Health Research Information and Dissemination study section and chaired AHRQ's TRIP-II intervention subcommittee. She is a member of the Saint Francis Hospital Institutional Review Board and the numerous School of Medicine committees.

Jeffrey Fisher, Ph.D., received his Ph.D. in Social Psychology from Purdue University in 1975. Dr. Fisher is a Professor of Psychology at the University of Connecticut and the Director of the Center for Health/HIV Intervention & Prevention (CHIP). Most of his work has involved theory and empirical work on social psychological factors that can affect the success of interventions to change human behavior. He has published extensively on factors associated with HIV risk behavior, and has done a great deal of conceptual and empirical work in the area of interventions to increase HIV preventive behavior. His work also focuses on designing theoretically-based interventions to increase adherence to antiretroviral therapy, and on health behavior change in general. He has been awarded five major NIMH grants since 1989 on HIV risk reduction and medical adherence, totaling over \$15 million, and has lectured and consulted internationally in the area of HIV preventive behavior.

Julian Ford, Ph.D. received his doctorate in clinical psychology in 1977 from the State University of New York at Stony Brook. He has served on the faculty of the University of Delaware (1977-1979), California School of Professional Psychology (1980), UCLA (1981-1990), Oregon Health Sciences University (1990-1994), Dartmouth Medical School (1994-1998), and for the past 8 years in the Department of Psychiatry at the University of Connecticut School of Medicine, where he is a tenured Associate Professor. Dr. Ford has developed the TARGET (Trauma Affect Regulation: Guidelines for Education and Therapy; www.ptsdfreedom.org) model for adults receiving services for chronic mental illness and addictions, emergency medical care, domestic violence, and in correctional settings, as well as for youths and families who are involved in the child welfare and juvenile justice systems in Connecticut and Florida. He is Director of Research of the UConn Center for Children Exposed to Violence in the SAMHSA National Child Traumatic Stress Network, and since November 2001 he served as Director of the Center for Trauma Response, Recovery, and Preparedness (www.CTRP.org), which is dedicated to the development of systems of services for communities affected by mass trauma. He has published more than 40 articles in peer-review journals on research and clinical studies on the etiology, course, treatment, and prevention of PTSD, and currently is co-editing two texts and an encyclopedia in those areas. He serves on the editorial boards of journals in the fields of clinical and health psychology and traumatic stress.

Richard H. Fortinsky, Ph.D., is Professor of Medicine at the Center on Aging, University of Connecticut Health Center (UCHC). Dr. Fortinsky holds the Physicians Health Services Endowed Chair in Gerontology and Geriatrics at the UCHC School of Medicine. He received his bachelor's degree in Sociology from the Pennsylvania State University in 1975 and his master's (1977) and doctoral (1984) degrees in Sociology from Brown University, specializing in medical sociology and gerontology. Dr. Fortinsky has authored or co-authored more than 75 peer-reviewed journal articles, book chapters, and invited publications on a range of health policy and practice-related topics in gerontology, geriatrics, and long-term care. Presently, his major research areas are:

physician and family care for persons with dementia; and evaluation of evidence-based community interventions to help prevent falls in the older population. Dr. Fortinsky served as Chair of the Gerontological Health Section of the American Public Health Association (APHA) from 1999-2001, and is a founding and current Co-Chair of the APHA Task Force on Aging. He is immediate past Chair of the Health Services Organization and Delivery (HSOD) Study Section at NIH, and on the Nursing Science: Adults and Older Adults (NSAA) Study Section.

Martin Freilich, DDS is Professor of Oral Rehabilitation, Biomaterials & Skeletal Development at the School of Dental Medicine at the UConn Health Center. He received his dental degree at Georgetown University in 1981 followed by specialty certificates in Prosthodontics in 1984 and Periodontics the following year. As a clinician and clinical teacher, Dr. Freilich has been actively engaged in clinical research related to prosthesis and biomaterials for over 20 years. He has published many articles and book chapters and is the principal author of a textbook describing the clinical application of fiber biomaterials. In addition to his receipt of a US patent related to fiber biomaterials, he has submitted patent applications related to the application of biomaterials to implant dentistry. He is completing a five year NIDCR K23 Career Development Award, has recently developed two novel animal models for application to bone biology/implant dentistry and is PI on two research grants.

David I. Gregorio, PhD, MS is Director of the University of Connecticut's Graduate Program in Public Health and Associate Head of the Department of Community Medicine and Health Care. He received his doctorate in sociology in 1980 from the University at Buffalo and participated on an NIH Post-doctoral fellowship (1980-83) in Medical Sociology and Epidemiology at the Roswell Park Cancer Institute where he completed a post-graduate degree in epidemiology (MS, 1983). Dr. Gregorio holds a seat on the National Board of Public Health Examiners, Inc. and is the Association of Teachers of Preventive Medicine's representative to the Council on Linkages between Academia and Public Health Practice. Dr. Gregorio's publications focus on surveillance methods in cancer control and the effects of racial, gender and economic disparities on care seeking for cancer-related concerns. He maintains an ambitious teaching load, coordinating and delivering the School of Medicine's clinical epidemiology curriculum for 2nd year medical and dental students, participating in principles of evidence-based medicine for 3rd and 4th year students, directing the practicum project for MPH students and co-directs a continuing medical education series on population health for Connecticut hospitals. He has been a member of the University of Connecticut Faculty since 1986.

Ofer Harel, Ph.D. is an assistant professor in the Department of Statistics and the Institute of Public Health Research (IPHR) at the Center for Public Health and Health Policy (CPHHP) at the University of Connecticut. Dr. Harel received his doctorate in statistics in 2003 from the Pennsylvania State University; where he developed his methodological expertise in the areas of missing data techniques, diagnostic tests, longitudinal studies, bayesian methods, sampling techniques, mixture models, latent class analysis and statistical consulting. Dr. Harel received post-doctoral training at the University of Washington, Department of Biostatistics, where he worked for the HSR&D Center of Excellence VA Puget Sound Healthcare System and the National Alzheimer's Coordinating Center (NACC). Dr. Harel has served as a biostatistical consultant nationally and internationally since 1997. Through his consulting, Dr. Harel has been

involved with a variety of research fields including, but not limited to, Alzheimer's disease, diabetes, and alcohol and drug abuse prevention.

Victor Hesselbrock, Ph.D., holds the Physicians Health Services endowed chair in Addiction Studies and is Professor and Vice Chairman for Research in the Department of Psychiatry, University of Connecticut School of Medicine. Dr. Hesselbrock is principal investigator and scientific director of the department's NIH/NIAAA funded Alcohol Research Center and directed its NRSA T-32 post-doctoral training program in alcohol studies for 20 years. He has chaired the Scientific Advisory Committee of the School of Medicine's General Clinical Research Center (GCRC) since its inception in 1992. Dr. Hesselbrock received his Ph.D. in social work research from Washington University (St. Louis). Dr. Hesselbrock has been a member and chaired study sections for several NIH institutes [NIAAA, NIDA, NIMH] and is currently a member of NIAAA's National Advisory Council. He is an associate editor of two addiction journals [Addiction; Alcoholism: Clinical & Experimental Research], and is on the editorial board of a third [Journal of Studies on Alcohol]. He has been involved in graduate education at many levels, and chaired or been a member of the dissertation [MA,MS,MPH] and dissertation [Ph.D.] committees of students across a variety of departments and several universities.

Seth Kalichman, Ph.D. received his Ph.D. in Clinical-Community Psychology from the University of South Carolina in 1990. He is a Professor in the Psychology Department at the University of Connecticut and has previously been on the faculties of Medical College of Wisconsin, Georgia State University, and Loyola University of Chicago. His research focuses on social and behavioral aspects of AIDS, particularly sexual risk behavior and behavioral intervention research and is funded by the National Institute of Mental Health. He was the 1997 recipient of the Distinguished Scientific Award for Early Career Contribution to Psychology in Health, awarded by the American Psychological Association and the Distinguished Scientist Award from the Society for Behavioral Medicine in 2005. He is the Editor of the journal *AIDS and Behavior* and the author of four books.

J. Robert Kelly, D.D.S., M.S., D.Med.Sc. is Professor, Department of Oral Rehabilitation, Biomaterials and Skeletal Development and Director, Dental Clinical Research Center. Dr. Kelly joined the faculty following a 21 year career in the U.S. Navy including 10 years on the faculty of the Naval Postgraduate Dental School, Bethesda, MD involving a primary appointment as Guest Scientist, Dental & Medical Materials Group, National Institute of Standards and Technology, Gaithersburg, MD. His service culminated with a tour as Commanding Officer, Naval Dental Research Institute, Great Lakes, IL. Dr. Kelly received his dental training at The Ohio State University (1976), his M.S. in Dental Materials Science from Marquette University (1985) and his doctorate from the medical faculty of Harvard University in a joint program (oral biology/ ceramic engineering and glass science) with the Massachusetts Institute of Technology. He received the Clinician/Researcher Award from the American College of Prosthodontists (2003) and the Carl Schlack Award for excellence in research and post-doctoral education by the Association of Military Surgeons of the U.S. (1999). Dr. Kelly has published over 40 papers in dental, engineering and medical literature, lectures widely on materials and evidence-based issues and leads groups responsible for the development of both national and international standards in dental materials.

Anne Kenny, MD, Associate Program Director of the General Clinical Research Center, will provide administrative and programmatic leadership for the Masters in Clinical

Research. Dr Kenny received her medical degree from the University of Nebraska Medical Center and completed her internal medicine and geriatric training at the University of Connecticut Health Center. She has been an active clinical investigator for the last 15 years, investigating the effects of hormones and exercise on bone and frailty in older men and women. She has published over 50 journal articles, chapters or reviews in her research area. Dr. Kenny has served as the Director of Research and Leadership Curriculum for the training of fellows in Geriatric Medicine since 2000. Dr. Kenny is an Professor of Medicine, is Principal Investigator on 3 research grants, including multidisciplinary grants with translational components, and has served as a mentor to fellows or junior faculty members in aging research.

Jane E. Kerstetter, PhD, RD received her doctorate in 1988 from the Department of Nutritional Sciences at the University of Connecticut, Storrs. Her primary academic appointment and teaching responsibilities are at the University of Connecticut, Department of Allied Health, Dietetics Program. She has adjunct appointments at the Department of Nutritional Sciences, University of Connecticut, Storrs, CT and the Department of Community Medicine at the University of Connecticut Health Center, Farmington, CT. Dr. Kerstetter has been leading teams of researchers investigating the impact of dietary protein on calcium homeostasis and bone health and she has garnered significant external funds to support this clinical research.

George Kuchel, MD received his MD degree from McGill University in 1980. He completed his clinical training in internal medicine at McGill and in geriatrics in the Division on Aging at Harvard Medical School. This was followed by post-doctoral training in the Department of Biological Chemistry and Molecular Pharmacology at Harvard and in the Fishberg Research Center in Neurobiology at Mount Sinai. Since 2001, Dr. Kuchel has been the Travelers' Chair in Geriatrics and Gerontology, Director of the UConn Center on Aging and Chief of the Division of Geriatric Medicine at the University of Connecticut Health Center. Dr. Kuchel's research has examined the pathogenesis of complex geriatric conditions such as urinary retention/incontinence and frailty from a translational perspective, emphasizing the role of endocrine and immune factors in cellular plasticity.

Mark Litt, Ph.D. received his doctorate in clinical psychology from Yale University in 1986, where his focus of training was behavioral medicine. He joined the Departments of Psychiatry and Obstetrics and Gynecology at the University of Connecticut School of Medicine in 1986, and the Department of Behavioral Sciences in 1988 (now the Division of Behavioral Sciences). His primary interest is in the cognitive, behavioral and affective processes that lead to changes in behavior in many health-related domains. Accordingly, he has explored these processes in the areas of substance abuse, chronic pain, anxiety control, and exercise behavior. He is the author of many scientific articles in each of these areas, is a reviewer for numerous professional journals, and is a principal investigator or co-investigator on several federal grants. His most recent work is directed toward developing interventions to manipulate key cognitive constructs like self-efficacy and social/environmental support more effectively in order to improve health behavior and decrease pain. These concepts are currently being applied in federal grants on chronic TMD pain and in addictions. Dr. Litt is currently funded by the National Institute on Dental and Craniofacial Research, the National Institute on Alcohol Abuse and Alcoholism, and the National Institute on Drug Abuse. Dr. Litt has presented findings from these projects in national and international forums, and is a frequent guest lecturer for students and graduate students at UCHC.

Joseph Lorenzo, M.D. is Professor of Medicine and Director of Bone Biology Research in the Musculoskeletal Institute of the University of Connecticut Health Center. He received his M.D from the State University of New York, Downstate Medical Center in 1974 and has been on the faculty of the University of Connecticut Health Center since 1979. Dr. Lorenzo is an expert in the mechanisms regulating the development of the osteoporosis and has been a leader in the emerging field of osteoimmunology, which studies the interactions of bone and the immune system. He has authored numerous articles on these topic, was co-editor of the first comprehensive review of Osteoimmunology, which was published in Immunological Reviews in November 2005 and is among the organizers of the First International Conference on Osteoimmunology, which will be held in Crete Greece from May 28th to June 2nd, 2006.

Cheryl A. Oncken, MD, MPH, is an Associate Professor of Medicine at the University of Connecticut School of Medicine/Health Center in Farmington. She also has a secondary appointment in Obstetrics and Gynecology, teaches on the Inpatient Medical Service, and is Clinic Preceptor in the General Medicine Outpatient Clinic at UCHC. After completing her undergraduate studies and receiving her MD at the University of Wisconsin–Madison, Dr. Oncken earned her Masters in Public Health at the University of Minnesota School of Public Health, Division of Epidemiology and completed her residency requirements in Internal Medicine at the Hennepin County Medical Center, Minneapolis, Fellowship in Ambulatory Care at the Veterans Affairs Medical Center. Dr. Oncken is Board Certified in Internal Medicine. Dr. Oncken's Editorial Review activities span numerous medical and public health journals. She has contributed to the Mayo Clinic Proceedings and Project ADEPT (The Addiction Technology Transfer Center of New England) in the curriculum-reviewer section on tobacco and women. Dr. Oncken belongs to the Society for Research on Nicotine and Tobacco (SRNT), Professional Assisted Cessation Therapy (PACT), Connecticut State Medical Society, and the Hartford County Medical Association. She has earned a number of grants as Principal Investigator in her areas of research interest, substance abuse and addiction, from such organizations as the NIDA, NIAA, NCI, University of Connecticut Health Center, Connecticut Department of Public Health, the Donaghue Foundation, the Pepper Center, and Pfizer Laboratories. She is a current appointee to the World Health Organization Safety Subcommittee, to examine the safety of nicotine replacement products for special populations of smokers. Dr. Oncken has contributed to over 30 peer reviewed articles on smoking related research, authored 5 book chapters, contributed to 20 scientific abstracts, and has been an invited speaker at more than 18 Advisory Board Meetings, Symposia, and Conferences.

David Pendrys, D.D.S., Ph.D. is Associate Professor in the Department of Oral Health and Diagnostic Sciences. He holds dual doctorates in epidemiology (University of Minnesota School of Public Health) and dentistry (Case Western Reserve University). He also holds a Certificate in Cariology from the University of Minnesota School of Dentistry. Dr. Pendrys has special interest in the areas of epidemiologic methodology and research design, fluoride, caries, bone health and osteonecrosis. His work in the area of fluoride has contributed to substantial changes in public health & policy recommendations. He has been awarded several NIH grants and is currently PI on an NIDCR funded international epidemiologic collaboration with the University of Bergen, Norway. He currently is actively collaborating in ongoing implant and fiber material clinical research within the Department of Oral Rehabilitation, Biomaterials & Skeletal Development, serving as study epidemiologist on these projects. Dr. Pendrys has

published many peer-reviewed papers and has served on many NIH study sections, is a reviewer for numerous journals, and has served on the editorial board of the *Journal of Public Health Dentistry*. He has served on many national and international scientific & policy panels, including those sponsored by CDC, NIDCR, AHRQ, the American Dental Association, USPHS and the Pan American Health Organization. Dr. Pendrys was Associate Director of the NIH NRSA UCONN Oral Epidemiology Training program, having been integrally involved in the training of doctoral students at Yale and Johns Hopkins University. He also served as faculty in the New England Consortium of Epidemiology (*Harvard, Forsyth Institute, UCONN*), and was a member of the Research Development, Data Management and Analysis Core of the Northeastern Minority Oral Research P20 Award (*UMDNJ, UCONN*). He is a member of both the MPH and the M.Dent.Sc. graduate faculty, and is a member of the UCHC Institutional Review Board. Dr. Pendrys directs two graduate courses in the School of Dental Medicine, *Epidemiology of Oral Diseases: Interpreting the Literature*, and *Biostatistics*. He also directs the *Oral Health Promotion* course given to 3rd year dental students. He has served as Principal Mentor for a faculty K23 Award and has co-taught courses in research design to faculty.

Rafael Pérez-Escamilla, Ph.D. obtained his BS in Chemical Engineering at the Universidad Iberoamericana in Mexico City and his masters in food science and Ph.D. in nutrition from the University of California at Davis. He is an Associate Professor of Nutritional Science and has a joint appointment in the Public Health Program at the University of Connecticut. Dr. Pérez-Escamilla teaches courses in community nutrition, nutritional epidemiology, and nutrition during humanitarian emergencies. His area of research concentration is domestic and international community nutrition research and program development and evaluation. Dr. Pérez-Escamilla has received funding for his research from USDA, NIH, and The Centers for Disease Control and Prevention (CDC). He has been a scientific advisor to nutrition projects funded by USAID, UNICEF, The World Bank, The World Health Organization, USDA, and the governments of the USA, Mexico, Ecuador, Honduras and Brazil among others. He is in the editorial board of the *Journal of Nutrition* and *The Journal of Human Lactation* and is the current chair of the Community and Public Health Nutrition Research Interest Section of the American Society for Nutritional Sciences.

Linda Pescatello, Ph.D., FACSM, is Professor in Health Promotion in the Department of Allied Health Sciences located in the College of Agriculture and Natural Resources. She has joint appointments in the departments of Kinesiology, Nutritional Sciences, and Physiology and Neurobiology. She received a Ph.D. in Exercise Physiology from the University of Connecticut in 1986. She has been funded by the American Heart Association for her work on exercise and hypertension; Pratt & Whitney for research relating to worksite health promotion; and the USDA for providing personal training physical activity interventions to adolescent, overweight, minority girls. As a member of the Exercise Science and Genetics Collaborative Research Group, she had been funded by Donaghue Medical Research Foundation and the National Institutes of Health, Aging and Neurological Disorders and Stroke for her research related to exercise genomics. Dr. Pescatello is the author or co-author of more than 80 peer reviewed manuscripts and/or book chapters in such journals as *American Journal of Health Promotion*, *American Journal of Public Health*, *Circulation*, *Journal of Hypertension*, *American Heart Journal* and *Medicine Science in Sports and Exercise*. She has received awards for her work from the American College of Sports Medicine, the Association for Worksite Health

Promotion, the Centers for Disease Control and Prevention, and the University of Connecticut School of Education Alumni Society and School of Allied Health.

Nancy Petry, Ph.D. received her doctorate in psychology in 1994 from Harvard University. Since 1996, she has been on the faculty at UCONN, where she holds the rank of Professor of Psychiatry. Dr. Petry has published over 100 journal articles, and she conducts research in the areas of behavioral treatments of substance use disorders and pathological gambling. Her work is funded by the National Institute on Drug Abuse, the National Institute of Mental Health, and the National Institute on Alcohol Abuse and Alcoholism. Dr. Petry serves as a consultant and advisor for the National Institute of Health, is on the editorial boards of six academic journals, and is an associate editor for *Psychology of Addictive Behaviors*. She received the American Psychological Association Distinguished Scientific Award for Early Career Contributions to Psychology in 2003.

Carol Pilbeam, Ph.D, M.D. is a Professor of Medicine, a member of both the Endocrinology and Geriatrics faculties in the Department of Medicine and a member of the newly established Musculoskeletal Institute. She received her Ph.D. in geophysics from Yale University in 1971, worked as a Research Staff Geophysicist at Yale, and then changed careers, receiving her M.D. from Yale Medical School in 1982. After a residency in Internal Medicine in Waterbury, CT, and a fellowship in Geriatrics at Harvard, she joined the University of Connecticut Health Center in 1987. Dr. Pilbeam's research focuses on the role of cyclooxygenase-2 and prostaglandins in bone metabolism. She is an ad hoc reviewer for multiple journals and NIH study sections and an associate editor for the journal *Prostaglandins and Other Lipids*. She has authored or co-authored 83 journal articles, chapters, and reviews. She is the principal investigator on two NIH grants, as well as a Donaghue Investigator Award. She is on 5 graduate school faculties, has been the primary mentor for 4 Ph.D. and 7 Masters degree candidates, and has served on the Graduate School admissions committee, curriculum sub-committee and first year student advisory committee. She has also mentored multiple post-doctoral fellows and undergraduate students in research and been a mentor for several junior faculty applying for their first NIH grants.

Susan Reisine, Ph.D. a Professor and Chair of the Division of Behavioral Sciences and Community Health, Department of Oral Health and Diagnostic Sciences and Associate Dean for Research in the School of Dental Medicine at the UConn Health Center. She received her doctorate in Sociology from Yale University in 1976. Dr. Reisine is a medical sociologist who has had broad interdisciplinary research experience in medicine and dentistry. Dr. Reisine has received numerous distinguished awards, particularly for her work on women's health. She is currently PI or Co-PI on four research grants, and is the author or co-author of more than 100 peer reviewed papers and /or book chapters in such journals as *Arthritis and Rheumatism*, *Womens Health*, *Journal of Occupational and Environmental Medicine*, *Pediatric Dentistry*, and *Journal of Aging and Health*.

Nancy Rodriguez, Ph.D., RD, FACSM, is an Associate Professor of Nutritional Sciences with joint appointments in Kinesiology and Allied Health. She is Director of Sports Nutrition in the Department of Sports Medicine and Chairs the UConn-Storrs IRB. Dr. Rodriguez received her B.S. in Human Nutrition from Virginia Tech and earned her MS in nutrition and Ph.D. in biochemistry at West Virginia University. She was an NIH Postdoctoral Research Fellow in Endocrinology and Metabolism at the Mayo Clinic in Rochester, MN. Dr. Rodriguez's research, which focuses on relationships between

exercise, protein intake, and protein utilization in athletes, physically active adults, and healthy obese and non-obese children, has been extramurally funded since 1997. Her work is published in the *Am J Phys*, *Metabolism*, *J Nutr* and *MSSE*. Dr. Rodriguez will have graduated 6 Ph.D. students from UConn as of August 2005.

Juan C. Salazar, MD is Associate Professor in Pediatrics at the University of Connecticut's Department of Pediatrics, Division of Pediatric Infectious Diseases, and Director of the Pediatric and Adolescent HIV Program at the Connecticut Children's Medical Center. He is also a researcher at the Center for Microbial Pathogenesis at the University of Connecticut Health Center. Dr. Salazar graduated from Universidad Javeriana in Bogotá, Colombia in 1986. He completed his Residency in Pediatrics at the University of Connecticut and a Fellowship in Pediatric Infectious Diseases at the University of Minnesota Medical School. He also has a Masters in Public Health/Epidemiology from the University of Minnesota School of Public Health. Dr. Salazar is board certified in Pediatrics and Pediatrics Infectious Diseases. Dr. Salazar joined the full time faculty at the University of Connecticut's Department of Pediatrics in November of 1998. He is a fellow of the American Academy of Pediatrics, member of the Infectious Diseases Society of America, the Pediatric Infectious Disease Society, the HIV Medical Association and the Hartford and Connecticut State Medical Societies. Dr. Salazar is the principal investigator for CCMC's Pediatric AIDS Clinical Trials Group (PACTG) sub-units 7303 and 7304. Dr. Salazar's basic research interest includes the analysis of the immunologic interactions between syphilis and HIV. To complete this project he was awarded a K-23 NIH grant in the summer of 2005. He has been involved as principal investigator with a variety of pharmaceutical industry sponsored clinical trials, including childhood vaccines and antibiotics. He has published and presented extensively in the area of pediatric infectious diseases.

Stephen L. Schensul, Ph.D. is Associate Professor and Director of the Center for International Community Health Studies (CICHS) in the Department of Community Medicine and Health Care, University of Connecticut School of Medicine. Dr. Schensul received his Masters and Ph.D. degrees from the University of Minnesota. Dr. Schensul also co-leads the programs at UCHC to involve medical, dental and MPH students in community-based research in developing countries. Dr. Schensul currently has funding for a NIMH research and intervention grant, a supplementary grant from the NIH Office of AIDS Research, and a CDC-funded behavioral surveillance project grant. Dr. Schensul is best known for his research on sexual risk in the context of HIV and other sexually transmitted diseases, his approach and experience in collaborative community-based research and development; qualitative and quantitative methodology; and sexual risk research. His publications include numerous books, peer-reviewed articles, and book chapters on these topics.

Dong-Guk Shin, Ph.D., is a Professor in the Department of Computer Science and Engineering. He received his doctorate in Computer Science and Engineering from the University of Michigan, Ann Arbor in 1985. Dr. Shin established the Bioinformatics and Bio-Computing Institute of the University of Connecticut in 2003 and has been serving the institute as the first director. Dr. Shin has been leading numerous research projects related to the bioinformatics field through funding from NIH, NSF and DOE. In 1999, Dr. Shin was the recipient of the University of Connecticut's Chancellor's Information Technology Award. He is the author or co-author of more than 100 peer reviewed papers and /or book chapters. He has also taught bioinformatics courses and has

advised numerous biology students to successfully complete M.S. and Ph.D. degrees in Computer Science.

Leslie Snyder, Ph.D. is Professor of Communication Sciences at UConn, with additional appointments at the Center for Survey Research & Analysis, Academy of Global Economic Advancement, & Center for HIV Intervention & Prevention. She conducts research on media effects, communication and social marketing campaigns, health and risk communication, & international communication. She was PI on an NIAAA-funded study on the effects of advertising exposure on youth alcohol consumption, and is working with researchers at the University of Rhode Island on a social marketing campaign and environmental policy change project funded by NIAAA to reduce college drinking (Dr. Mark Wood, PI). She is collaborating as Investigator on a research dissertation project funded by NIMH with Dr. Johnson (PI) on HIV interventions, and has examined the effectiveness of family planning and reproductive health campaigns in less developed countries, funded by Johns Hopkins University's Center for Communication Programs. Dr. Snyder has served as a consultant on a number of national campaigns, including the National Institute for Drug Abuse (NIDA) media campaign against youth drug abuse, the Centers for Disease Control's (CDC) Verb campaign promoting youth activity, the March of Dimes & CDC's folic acid promotion campaign, and NIDA's fetal alcohol syndrome campaign. She has directed four doctoral dissertations at UConn.

Richard Stevens, PhD received a B.S. in Genetics from the University of California, Berkeley, and a Ph.D. in Epidemiology from the University of Washington in Seattle. One of his major interests has been in the possible role of iron overload in cancer development. Largely on the basis of his work, published in the Journal of National Cancer Institute and the New England Journal of Medicine, the Swedish food industry decided to cease iron fortification of flour in the early 1990s. A perplexing challenge which Stevens began to engage in the late 1970s is the confounding mystery of why breast cancer risk rises so dramatically as societies industrialize. He proposed in 1987 a radical new theory that use of electric lighting, resulting in lighted nights, might produce 'circadian disruption' causing changes in the hormones relevant to breast cancer risk, and thereby play an important role in breast cancer causation worldwide. Accumulating evidence has generally supported the theory. Women who have an occupation requiring work in the evening or night are at higher risk; blind women have been reported to be at reduced risk; and a new study from Finland has found women who sleep longer than average have much lower risk of breast cancer. Dr. Stevens' theory has received wide scientific and public attention.

Francisco Sylvester, MD is an Associate Professor of Pediatrics and a Pediatric Gastroenterologist at Connecticut Children's Medical Center. He trained in Pediatrics at the State University of New York at Downstate, Brooklyn, NY from 1987-1991, and completed his subspecialty training at the Hospital for Sick Children, University of Toronto, Toronto, Canada in 1995. He joined our faculty in 1996. His research interest is in the effects of chronic childhood diseases on bone development, with special emphasis in inflammatory bowel diseases and celiac disease. Dr. Sylvester is an NIH-funded investigator who also holds an Investigator Award from Donaghue Foundation. He has published over 30 peer-reviewed articles, reviews and book chapters.

Howard Tennen, Ph.D. received his doctorate in clinical psychology in 1976 from the University of Massachusetts. He was on the psychology faculty at SUNY-Albany from 1975-1978, and for the past 27 years he has been in the Departments of Psychiatry and

Community Medicine & Health Care at the University of Connecticut School of Medicine, where he is a Board of Trustees Distinguished Professor. Dr. Tennen has received numerous teaching awards and honors, and he was the first director of the School of Medicine's APA-approved clinical psychology internship program. He has authored or co-authored 200 journal articles, chapters, reviews and books in the areas of health psychology, stress and coping, and adaptation to threatening events. In 2001 he received the Clifford M. Clarke Clinical Science Award from the National Arthritis Foundation. Dr. Tennen serves on the editorial boards of journals in personality, social, clinical and health psychology. He is the editor of Journal of Personality and is co-editor of Blackwell Publishers Health Psychology book series.

Julie Wagner, PhD, is Assistant Professor in the Division of Behavioral Sciences and Community Health at the University of Connecticut Health Center. Dr. Wagner received her B.A. from St. Mary's College of Maryland, her masters degree from the University of the Pacific, her Ph.D. from the University of Rhode Island, and was a post-doctoral fellow at the VA Connecticut Healthcare System. She is a licensed clinical health psychologist whose program of research investigates psychosocial contributors to diabetes complications in women and minorities. Her studies incorporate diabetes health behaviors, the relationship between mood disturbance and diabetes complications, and psychophysiological mechanisms of stress in diabetes. Dr. Wagner has served as a grant reviewer for National Institute of Diabetes, Digestive, and Kidney Diseases (NIDDK) and the American Diabetes Association. She received a Young Investigator award from the NIDDK and the National Center for Minority Health and Health Disparities (NCMHD). She also received the NIH Clinical Research Loan Repayment Program, a competitive federal program to promote junior clinical investigators. Dr. Wagner's teaching includes teaching cross-cultural communication to dental students as well as supervision of a health psychology rotation in the APA-approved Greater Hartford Clinical Psychology Internship Consortium.



University of Connecticut
Office of the Provost

Peter J. Nicholls
*Provost & Executive
Vice President
for Academic Affairs*

November 10, 2011

TO: Members of the Board of Trustees
FROM: Peter J. Nicholls, Provost
RE: Approval of the Location Modification to offer the English Major at the Avery Point Campus

RECOMMENDATION:

That the Board of Trustees approve the location modification to offer the English Major at the Avery Point Campus

BACKGROUND:

The English major is currently available at all regional campuses except Avery Point. Offering the major at Avery Point will provide access to one of CLAS's most popular majors for students of Southeastern Connecticut.

Offering the English major at the Avery Point campus supports Goal 1 (Undergraduate Education) of the UConn Academic Plan as this major will increase the diversity of the learning environment at Avery Point. It also directly supports Strategy A (multiple admission pathways) of this goal in that it will allow students who are place-bound in Southeastern Connecticut an opportunity to earn an undergraduate degree in English from UConn. In addition, offering an English major at Avery Point supports Goal 5 (Public Engagement) of the Academic Plan in that it will increase the visibility and accessibility of the faculty expertise already in place at Avery Point to the Southeastern Connecticut community (students and public at large).

No additional resources are needed to offer this new degree program. The prerequisite number of tenured/tenure track faculty required by CLAS to offer a major at a Regional Campus (three) is already in place. As such, this request represents an effective utilization of existing faculty resources.

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CONNECTICUT BOARD OF REGENTS FOR HIGHER EDUCATION

PROGRAM MODIFICATION APPROVAL PROCESS

(for Connecticut Public Higher Education Institutions)

" 'Program modification' means a programmatic change that does not clearly qualify as a new program or a nonsubstantive change, including but not limited to a new program consisting primarily of course work from a previously approved program; an approved program to be offered at an off-campus location; a change in the title of a degree; and a change in the title of a program. " ¹

A Program Modification *is a substantive change* and, as such, must be reviewed and approved by the Board of Regents. However, a Program Modification does not require a review by the Advisory Committee on Accreditation. Institutions under BoR can expect a response from staff within two weeks of electronic receipt of documentation confirming that the change is indeed a Program Modification, and requesting any additional information that may be needed (state regulations provide for a 45 days review period).

In the body of the proposal, please provide *clear and concise information* addressing the following areas:

1. Background, rationale and nature of modification --explanation of the proposed change(s), relationship to originally approved program, intended academic objectives, anticipated benefits to the state, details of the curriculum changes/modes of delivery, implications for professional accreditation if applicable, and any other information that may inform the BoR decision
2. Enrollment/degree award estimates --actual figures for the last two years and projections once modified program is in place.
3. Resources ² --include faculty (state credentials and current teaching assignments), library (holdings in area of specialization and related fields), specialized equipment, etc. Please provide a three year projected cost by the following categories as applicable: a) direct instruction; b) student services; c) academic and institutional support; and d) operations and maintenance.

Please submit an electronic copy of the proposal to:

Dr. Germán Bermúdez, 39 Woodland Street, Hartford, CT 06105 , Email: bermudezg@ct.edu

MSWord or RTF formats are required

¹ <http://www.ctohe.org/Postsecondary/pdfs/RegulationsLicensureAccreditation.pdf>

² Capital outlay costs, and institutional spending for research and service can be excluded

Background, Rationale and Nature of Modification

The University of Connecticut offers an English major at its Storrs Campus and four of its five Regional Campuses. The University of Connecticut Avery Point Campus is the only University of Connecticut Campus not currently offering an English major.

In 2007, the University of Connecticut English department approved a new plan of study for majors that increased electives within the English major; the University of Connecticut Avery Point Campus regularly offered all courses required under the redesigned major. Because Avery Point had not been approved to offer the English major, the English department decided in 2010 to stop offering the required English capstone course at Avery Point in order to prevent the campus's students from completing all major requirements. Since then, several students have completed the redesigned major at Avery Point, taking only one course at another campus.

We propose offering the English major at the University of Connecticut Avery Point Campus.

The University of Connecticut Avery Point Campus is well positioned to offer this major. We already have:

Appropriate faculty. This includes three tenured/tenure-track English faculty with a wide range of expertise (Professors Bedore, Bercaw Edwards, and Jones). In addition, Avery Point has a cadre of long-term adjunct faculty (two with PhDs and three with MA's) who have a proven track record of offering exceptional instruction which will add to the depth and diversity of course offerings within the English major offered at Avery Point. All English faculty would like to see the English major at Avery Point. The Writing Coordinator (Professor Bedore) already advises majors and signs forms for English minors. All three tenured/tenure-track faculty regularly provide mentorship and advising for English majors.

Appropriate courses. The University of Connecticut Avery Point Campus offers all courses required for the English major save the senior English capstone, cancelled in 2010. To that point, two Avery Point faculty (Professors Bedore and Bercaw Edwards) had regularly offered the English capstone, which served English majors as well as other four-year majors on campus. Both would be happy to see this course reinstated into the regularly offered curriculum.

The University of Connecticut Avery Point Campus has a consistent and longstanding history of upper-division English courses in support of the existing Maritime Studies, American Studies, and General Studies four-year programs. As long as the General Studies program has existed at Avery Point, upper-division English courses taught by tenured, tenure-track, or contingent faculty have been available to Avery Point students. With the addition of the Maritime and American Studies, four-year programs including tracks and concentrations that support English, the course range has increased. Additionally, the presence of additional four-year programs on campus has supported the presence of more upper-division students for longer periods of time on campus.

Student interest. The University of Connecticut Avery Point Campus regularly has 20-25 English majors on campus, several of whom would like to complete the requirements at this campus. These enrollment numbers are similar to those of our interdisciplinary majors: American Studies and

Maritime Studies. In 2010, an Avery Point student, Michael Muehe, conducted a survey of students in which he found substantial interest in the major. 170 students responded to his survey (through surveymonkey). In response to the question, "Are you considering an English major?" 40 students (24%) said yes. In response to the question, "If an English major were offered at Avery Point, would you pursue it?" 63 students (37%) said yes. Michael and other student government leaders have frequently asked University of Connecticut senior administrators visiting Avery Point (the President, Provost, Vice Provost, Dean of the College of Liberal Arts and Sciences, etc.) to move this degree forward.

The English major would satisfy student demand and would complement our existing majors. The nature of our interdisciplinary degrees is such that students can double major in English as well as Maritime or American Studies. In fact, a number of our students have chosen to do so; for example, in Fall 2011, Avery Point has 21 English majors, 4 of whom are double majors.

The quality of the University of Connecticut Avery Point Campus English instruction can be seen in its students and faculty alike. Avery Point students have won several all-campus awards for UConn English majors: the Stallman Award was awarded to an Avery Point student in 2009 and 2010, the Jennie Hackman Memorial Award for Short Fiction in 2008, and the Edwin Way Teale Essay Award in 2010. This is an impressive showing for a campus that currently houses 20-25 of the English department's 800 majors. Our faculty has been equally engaged in teaching and has been similarly awarded with one English faculty winning the AAUP Award for Teaching Promise in 2008 and two winning the University of Connecticut's Institute for Teaching and Learning (ITL) Adjunct Faculty Awards in 2010.

Explanation of the Proposed Change

Students would be able to complete the English major at Avery Point. The only curricular change needed would be to reinstate the required English capstone, to the delight of both faculty members who previously offered it.

Relationship to Originally Approved Program

One of the largest undergraduate majors at the University, the English major exists at the Storrs, Stamford, and collectively at the Torrington, Waterbury, and West Hartford campuses. English majors at Avery Point complete all the requirements of an English major at any of the UConn campuses and meet the standards of the University.

Intended Academic Objectives

The English major is NEASC accredited. Students can finish the degree in four years, as is true with Avery Point's other majors. Avery Point offers sufficient literature courses, electives, and related courses. Post-graduate professions include but are not limited to communications, education, administration, law, business, publishing, technical, and creative writing.

Anticipated Benefits to the State

The University of Connecticut Avery Point Campus is the only four-year public university in the southeastern Connecticut region. As such, Avery Point provides access to higher education to students in southeastern Connecticut who are place-bound and are unable to pursue higher education at either Eastern Connecticut State University or the University of Connecticut Storrs Campus. Approving an English major at the University of Connecticut Avery Point Campus provides additional opportunities for these students to pursue their higher education goals.

University of Connecticut students in southeastern Connecticut must currently take at least one course of their English degree at another campus. Approving the English major for Avery Point would provide such students with the option of completing their degree at a campus of their choice in four years. It is a particularly affordable, high-quality alternative to transferring or commuting.

Details of the Curriculum Changes/Modes of Delivery

The required English capstone course would be reinstated. It would be taught every Spring semester, with a likely rotation in which Professor Bercaw Edwards teaches even years and Professor Bedore teaches odd years. All other existing English courses required for an English major would continue to be offered.

Implications for Professional Accreditation

None.

Enrollment/Degree Award Estimates

The number of students enrolled in the English major has been consistent over the past five years:

Fall 2007	19
Fall 2008	24
Fall 2009	22
Fall 2010	18
Fall 2011	20

These enrollment numbers are similar to those of our interdisciplinary majors: American Studies and Maritime Studies. We would expect a modest increase of juniors and seniors in the English major if it were fully offered at Avery Point, with an estimated enrollment of 20-30 students.

Resources

Avery Point has sufficient faculty (three full-time faculty lines and some long-standing and well-qualified adjuncts) and staff resources to teach and administer the program within existing resources. This is possible because of the presence of three other established interdisciplinary majors on campus (Maritime Studies, American Studies, and General Studies) with strong literature and related components.




University of Connecticut
Office of Diversity and Equity

Joseph Sassi, J.D.
Associate Vice President (Interim)

November 10, 2011

TO: Members of the Board of Trustees

FROM: Joseph P. Sassi, Associate Vice President, Diversity and Equity (Interim) 

RE: Revisions to the University's *Policy Statement: Affirmative Action and Equal Employment Opportunity*

RECOMMENDATION:

That the Board of Trustees approve changes to the University's *Policy Statement: Affirmative Action and Equal Employment Opportunity* to: 1) include prohibition of discrimination against persons on the basis of "gender identity or expression," and 2) replace the term "mental retardation" with "intellectual disability."

BACKGROUND:

Effective October 1, 2011, Public Act 11-55, *An Act Concerning Discrimination* (HB 6599), amended the provisions of the Connecticut General Statutes to prohibit discrimination in, *inter alia*, employment, public accommodations, housing, and public education, based on a person's "gender identity or expression," which is defined as "a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth." Inclusion of these newly recognized protected categories within the University's *Policy Statement* is required to ensure that University's policy is consistent with state law.

Public Acts 11-4 (HB 6278) and 11-16 (HB 6279), which were effective upon passage, replaced the term "mental retardation" with "intellectual disability" throughout state statutes. The proposed change to the University's *Policy Statement* is required to ensure that University's policy is consistent with state law.

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POLICY STATEMENT:
AFFIRMATIVE ACTION & EQUAL EMPLOYMENT OPPORTUNITY

In issuing the University of Connecticut's affirmative action policy, I reiterate my personal commitment to and the need for affirmative action and attest to the University's determination to identify strengths and weaknesses in our employment system, resolve problems when they appear, recruit employees vigorously and affirmatively, and retain current employees while also helping them prepare for advancement.

We are committed to reducing and, wherever possible, eliminating actual and apparent under-representation of minorities and women in our workforce. We are equally dedicated to removing procedural and attitudinal barriers to access for persons with disabilities and older persons. Our efforts over the past few years indicate that we are headed in the right direction. We must, however, persevere with a sense of urgency, with keen awareness of our timetables, and with clarity of purpose until minority persons and women are fairly included throughout our work force.

The University of Connecticut is an equal employment opportunity/affirmative action employer. In order to ensure that employees and job applicants are not subjected to unlawful discrimination, it is the University's policy to comply with all laws and regulations that prohibit employment discrimination and mandate specific actions for the purpose of eliminating the present effects of past discrimination. Equal employment opportunity is the purpose and goal of affirmative action. The University has established equal employment opportunity and affirmative action as immediate and necessary objectives because we are committed to its concepts, principles, and goals.

Equal employment opportunity (EEO) means nondiscrimination — that is, hiring and promoting without regard to race, color, ethnicity, religious creed, age, sex, marital status, national origin, ancestry, sexual orientation, genetic information, physical or mental disabilities (including learning disabilities, ~~mental retardation~~ intellectual disabilities, past/present history of a mental disorder), prior conviction of a crime (or similar characteristic), workplace hazards to reproductive systems, gender identity or expression, or other factors which cannot lawfully be the basis for employment actions, unless there is a bona fide occupational qualification. To attain parity demands affirmative action, a program of purposeful activity undertaken with conviction and effort to overcome barriers to equal employment opportunity. Affirmative action plans and programs are designed to achieve the full and fair participation of women, Asians, Blacks, Hispanics, Native Americans, persons with disabilities, and other protected groups found to be underutilized in the workforce or adversely affected by past policies and practices.

Affirmative action is necessary throughout the employment process, from the posting of job notices through hiring to the termination of employment. The University of Connecticut regularly reexamines all policies and procedures to discover and remove barriers to access, and to change practices that may have an illegally discriminatory impact. We also seek to accelerate the entry of members of underrepresented groups into the workforce, and to support their mobility once they are hired. We are equally vigilant in ensuring that all terms, conditions, and privileges, including upward and lateral mobility, are equitably administered.

Hiring is the traditional focus of affirmative action plans. The University of Connecticut's current monitoring and support systems are largely concentrated on hiring. It is now time to direct equal attention and creativity toward retention and career mobility.

We need new mechanisms to increase opportunities for career development. These mechanisms must serve all classes of employees, but they must also allow us to combat cultural forces that lead women and minorities into stereotypical roles.

All services and programs are to be provided in a fair and impartial manner. These activities must reflect the positive, active spirit of this policy. All vice presidents, deans, directors, department heads, managers by all other titles, and faculty are expected to support the University's *Affirmative Action Plan for Employment (Plan)*. All executives and managers must be fully acquainted with the University's *Plan*, assure that their subordinate managers are aware of their obligations, and make their support observable and meaningful. This policy also mandates that employees at every level shall support and respect the advancement of equal employment principles and our workplace community. Affirmative action and equal employment opportunity are University-wide priorities, and will remain so until our goals are met for all categories of employees, regardless of classification.

As a Connecticut state agency, the University of Connecticut prepares its *Affirmative Action Plan* each year. The *Plan* is a detailed, results-oriented set of procedures, which blueprints a strategy to combat discrimination and implement affirmative action. The objectives of the *Plan* are to set both quantitative and non-quantitative goals, which promote affirmative action and/or eliminate any policy or employment practice that adversely affects protected class members.

More detailed information relating to the University's *Affirmative Action Plan* may be found on the Office of Diversity and Equity Web site <http://www.ode.uconn.edu>. The complete version of the current year's *Plan* is on file in the Reserve Room of the Homer Babbidge Library, as well as each regional campus and School of Law libraries. The Department of Human Resources and the Connecticut State Library also retain a copy.

A listing of federal and state constitutional provisions, laws, executive orders, and regulations mandating Equal Employment Opportunity and Affirmative Action is

attached to this Policy Statement. As necessary, revisions will be made to reflect changes in both federal and state laws as well as other changes consistent with the affirmative action regulations.

For more information and advice regarding rights and responsibilities under the *Plan*, consult ~~Ms. Dana McGee~~, Joseph Sassi, ~~Interim~~ Associate Vice President for Diversity and Equity (ODE), Wood Hall, Unit 2175, 241 Glenbrook Road, Storrs, Connecticut 06269-2175; telephone 860-486-2943; e-mail, ~~dana.mcgee~~joseph.sassi@uconn.edu. Your comments are welcomed and should be directed to ~~Ms. McGee~~Mr. Sassi at this address and telephone number.

Employees and others wishing to file complaints of discrimination or of affirmative action policy violations may do so by contacting the ODE. The University of Connecticut's policies against discrimination and harassment appear in the *Plan* along with complaint procedures, all of which may be viewed on the website listed above.

As President of the University, I commit the University of Connecticut and myself to make every effort to achieve the goals set forth in the *Plan* for Employment, within the timetables articulated in the *Plan*.

~~Philip E. Austin~~Susan Herbst
~~Interim~~-President

Date

Attachment: List of Relevant Federal and State Constitutional Provisions:
<http://www.ode.uconn.edu/fasadl.pdf>



University of Connecticut
Office of Diversity and Equity

Joseph Sassi, J.D.
Associate Vice President (Interim)

November 10, 2011

TO: Members of the Board of Trustees

FROM: Joseph P. Sassi, Associate Vice President, Diversity and Equity (Interim)

RE: Revisions to the University's *Policy Statement on Harassment*

RECOMMENDATION:

That the Board of Trustees approve changes to the University's *Policy Statement on Harassment* to:

- 1) include prohibition of harassment against persons on the basis of "gender identity or expression;"
- 2) replace the term "mental retardation" with "intellectual disability;" and, 3) include language specifying the University's responsibilities, under federal law, to prohibit sex discrimination in the provision of education programs and activities.

BACKGROUND:

Effective October 1, 2011, Public Act 11-55, *An Act Concerning Discrimination* (HB 6599), amended the provisions of the Connecticut General Statutes to prohibit discrimination in, *inter alia*, employment, public accommodations, housing, and public education, based on a person's "gender identity or expression", which is defined as "a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth". Inclusion of these protected categories within the University's *Policy Statement on Harassment* is required to ensure that University's policy is consistent with state law.

Public Acts 11-4 (HB 6278) and 11-16 (HB 6279), which were effective upon passage, replaced the term "mental retardation" with "intellectual disability" throughout state statutes. The proposed change to the University's *Policy Statement* is required to ensure that University's policy is consistent with state law.

Title IX of the Education Amendments of 1972 prohibits discrimination by the University on the basis of sex in its education programs and activities. The proposed policy modifications adopt regulatory and enforcement guidance from the U.S. Department of Education's Office for Civil Rights and are required to ensure that University policy comports with statutory requirements.

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241 Glenbrook Road
Wood Hall – Unit 2175
Storrs, Connecticut 06269-2175
Telephone: (860) 486-2943
Facsimile: (860) 486-2437
Website: www.ode.uconn.edu

POLICY STATEMENT ON HARASSMENT

The University of Connecticut reaffirms that it does not condone discrimination or harassment directed toward any person or group within its community — students, employees, or visitors. -Further, Title IX of the Education Amendments of 1972 prohibits discrimination by the University on the basis of sex in its education programs and activities. Every member of the University shall refrain from actions that intimidate, humiliate or demean persons or groups, or that undermine their security or self-esteem.

Harassment consists of abusive behavior directed at an individual or group because of race, color, ethnicity, religious creed, age, sex, marital status, national origin, ancestry, sexual orientation, genetic information, physical or mental disabilities (including learning disabilities, intellectual disability~~mental retardation~~, past/present history of a mental disorder), prior conviction of a crime (or similar characteristic), workplace hazards to reproductive systems, gender identity or expression or membership in other protected classes set forth in state or federal law. The University (a) strictly prohibits making submission to harassment either explicitly or implicitly a term or condition of an individual's employment, performance appraisal, or evaluation of academic performance; and (b) forbids harassment that has the effect of interfering with an individual's performance or creating an intimidating, hostile, or offensive environment.

The University deplores behavior that denigrates others. All members of the University community are responsible for the maintenance of a social environment in which people are free to work and learn without fear of discrimination and abuse. The failure of managers at any level to remedy harassment violates this policy as seriously as that of the original discriminatory act.

Sexual harassment is defined as any unsolicited and unwanted sexual advance, or any other conduct of a sexual nature whereby (a) submission to these actions is made either explicitly or implicitly a term or condition of an individual's employment, performance appraisal, or evaluation of academic performance; or (b) these actions have the effect of interfering with an individual's performance or creating an intimidating, hostile, or offensive environment. Federal law protects individuals from harassment or discrimination in connection with all academic, educational, extracurricular, or other athletic programs of a school. This protection extends to conduct that occurs both on and off University property.

Examples of sexual harassment ~~in the work place~~ may include unwelcome sexual advances, requests or all activities that attempts to extort sexual favors, sexual violence, inappropriate touching, suggestive comments, and public display of pornographic or suggestive calendars, posters, or signs. Acts that do not necessarily involve conduct of a sexual nature but are based on sex or sex-stereotyping and which may include physical aggression, intimidation or hostility are considered gender-harassment and are similarly prohibited. All forms of sexual and gender harassment and discrimination are considered serious offenses by the University. Such behavior is particularly offensive when power relationships are involved.

The University strongly discourages romantic and sexual relationships between faculty and students, as well as such relationships between graduate assistants serving as teaching assistants and students, -and or between supervisors and employees, even when such relationships appear, or are believed to be, consensual. The lines of power and authority that exist between the parties may undermine freedom of choice.

~~Graduate students serving as teaching assistants are well advised to exercise special care in their relationships with students whom they instruct and evaluate as a~~

~~power differential clearly exists although teaching assistants do not hold faculty appointments.~~

Any form of retaliation against any individual who has complained of or formally reported discrimination, harassment, or sexual assault, or has participated in an investigation of such a complaint, regardless of whether the complaint relates to the complaining person or someone else, will not be tolerated, and violates this policy as well as University's Non-Retaliation Policy. The University's full **Non-Retaliation Policy** is contained on-line on the University's E-policy page, at: <http://policy.uconn.edu/findPolicy.php?PolicyID=405>

Any person who believes that s/he ~~or he is~~ or has been being harassed or otherwise subjected to discrimination because of membership in a protected class ~~(noted above)~~ is encouraged to contact ~~sult Dana McGee, Associate Vice President for the Office of Diversity and Equity (ODE). ODE The office~~ is located in Wood Hall, Unit 2175, 241 Glenbrook Road, Storrs, Connecticut 06269-2175; ~~telephone,~~ telephone (860) -486-2943; email: ode@uconn.edu.

Complaints against students are typically handled by Community Standards and are governed by the provisions of the Student Conduct Code, rather than this policy. Therefore, except as noted below, Any such complaints should be directed to Community Standards, the Office of the Dean of Students, Wilbur Cross Building, Room ~~301202~~, 233 Glenbrook Road, Unit ~~4119062~~, Storrs, CT 06269-~~4119062~~; ~~telephone~~ telephone (860) 486-84023426; email: community@uconn.edu.

Any person who believes s/he has been sexually harassed or discriminated against on the basis of his or her sex or gender is strongly encouraged to contact the University's Title IX Coordinator, Joseph P. Sassi, or his successor, Wood Hall, Unit 2175, 241 Glenbrook Road, Storrs, Connecticut 06269-2175, Telephone: (860) 486-2943, Email: joseph.sassi@uconn.edu. The Title IX Coordinator will ensure complaints of this nature are addressed by the appropriate University entities and will assist complainants in receiving any medical, mental health or other services that may be warranted. The Title IX Coordinator will also facilitate any interim measures that may be necessary to protect the complainant in the institutional setting.

Any inquiries concerning the application of Title IX may be made to the Title IX Coordinator or the Office for Civil Rights, U.S. Department of Education, 5 Post Office Square, 8th Floor, Boston, MA 02109-3921, (617) 289-0111, Email: OCR.Boston@ed.gov

Any person who believes he or she is a victim of, or witness to, a crime motivated by bigotry or bias should report it to the University of Connecticut Police Department at (860) 486-4800, located at 126 North Eagleville Road, Unit 3070, Storrs, CT 06269-3070.

Deans, directors, department heads, and supervisors receiving complaints must alert ODE as to the nature of the incident and refer the inquirer to the ODE. Other sources of information include the Women's Center, the Office of Community Standards~~the Dean of Students~~, the Office of Student Services and Advocacy, the H. Fred Simons African-American Cultural Center, the Department of International Services and Programs, the Puerto Rican/Latin American Cultural Center, the Center for Students with Disabilities, the Asian-American Cultural Center, and the Rainbow Center.

Each office and person involved in advising complainants of sources of assistance must avoid comments that might dissuade victims from pursuing their rights or constitute threats of reprisal. Such behavior in itself is discriminatory and is a violation of this policy.

Susan Herbst~~Philip E. Austin~~

~~Interim~~ President



University of Connecticut Health Center
Health Center Administration

November 10, 2011

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, M.D.
Interim Dean of the School of Medicine

RE: Reports of Endowed Chairs for the period of July 1, 2010 to June 30, 2011

RECOMMENDATION

That the Board of Trustees approve the Annual Reports for the following Endowed Chairs:

1. Human Genetics
2. Infectious Disease
3. Transfusion Medicine

BACKGROUND

The three endowed chairs referenced above were established during the Fiscal Year 1988 to 1989 as authorized by CGS 10a – 20a. Subsection (f) of the statute states the “Board of Trustees shall submit annual reports to the Board of Governors concerning their expenditures.” The reports presented today are for the period of July 1, 2010 to June 30, 2011.

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Endowment Report

Endowed Chair (Fund) Name PHS/Human Genetics Chair

Prepared by:

David W. Rowe, M.D.

*Director, Center for Regenerative Medicine and Skeletal Development
School of Dental Medicine*

Executive Summary:

The activities that are supported from the endowment of the PHS/Human Genetics Chair have been used to enhance a cell and molecular research strategy for treating traumatic and genetic disorders of the skeleton, which is conducted by the chair holder and members of the Center of Regenerative Medicine and Skeletal Development. Dr. Rowe is highly committed to enhancing the multidisciplinary research environment within UCHC and certain research and training programs on the Storrs Campus. He has heavily invested chair and institutional resources to obtain instrumentation and technologies that will promote this interaction and make research efforts at our institution more competitive on the national level. He is also concerned about the hidden costs associated with research administration and continues to develop strategies to make this necessary component of research more efficient and affordable.

The PHS/Human Genetics Endowment (FRS 6-31992) has an accumulated value of \$1,932,650 and an available balance of \$300,988. The reduction in available balance from the previous year (\$428,566) is due to enhanced expenditures the past two years (\$191,828.13) many of which will be described in this report. I received approximately 30% of my total salary from academic chair fund this past year to offset the revenue sharing portion my 75% effort toward a funded research program. Historically, the chair account generates about \$80,000 per year, although this past year this amount fell to \$50,000.

Professional/Research Activities:

The fundamental theme of my research program is understanding the lineage of cells that form the various tissue types of the musculoskeletal system. This lineage arises from a common progenitor cell and is capable of making an amazing variety of mature cell types

that are yet to be fully appreciated and certainly not understood in terms of its impact on diseases affecting the skeleton. I will describe two major objectives of my research efforts at the Health Center, specifically *my personal research program* in skeletal repair and gene therapy of heritable disorders of bone and *the research environment in the Center for Regenerative Medicine and Skeletal Development* for basic skeletal research that is heavily supported by the chair account.

A. Personal research activity - My primary source of NIH funded research support over the past 10 or 12 years has been the development and application of GFP reporter mice to identify cells at different levels of development within the musculoskeletal lineage. As it relates to bone, we have used these reporters to identify progenitor cells capable of healing a bone fracture, isolating these progenitor cells for use in transplantation to heal a bone defect that otherwise would not heal, and to characterize the detailed molecular genetic regulation of the cells as they progressed from one state of development to another. We have been particularly successful in using mouse cells for healing defects in mice models. Currently we are trying to translate this knowledge to stem cells derived from human sources, but this goal is proving to be far more difficult than we had initially anticipated. The success of this program is due in part to our ability to make customized transgenic mice, generate bone progenitor cells derived from these mice under very specialized culture conditions, perform microsurgical techniques that can test the ability of these progenitor cells to heal a bone defect and develop an entirely new histological approach to image the cellular outcomes of these repair experiments. Based on this capability for progenitor cell growth and isolation, histological imaging and analysis, we have examined the ability of the musculoskeletal lineage to generate fat cells, and more recently cells that form the joint surface from the knee and the temporal mandibular joint. I currently have active research funding from two R01 grants for their bone and bone repair, one R01 on fat cell differentiation, and recently acquired new award from the DOD (\$2.7M) that is focused primarily on human bone cell progenitors. To date I have not been successful in developing funding for the joint surface cartilage project, and until I am successful in that area, some of the resources of the chair account will be directed to obtain sufficient experimental data for a successful grant application. During the past year this activity resulted in seven peer publications and 11 meeting abstracts for either posters or oral presentations.

B. Investments from the chair account into the skeletal biology research environment - The chair account gives me the freedom to obtain instrumentation that allows us to develop new methods for studying and understanding the musculoskeletal lineage from an entirely new perspective. Five examples will be given.

1. *Low oxygen cell culture* - Increasingly the cell biology field appreciates that the current method of growing cells in ambient air is antithetical to the growth conditions in the intact animal. Whereas oxygen constitutes 20% of the atmospheric air, it is closer to 5% and in some tissues approaches 1-2%. The high oxygen levels induce metabolic stress and cellular adaptation that are not physiological and in cell types damages DNA or prevents full cell development. I was successful in obtaining grant money to purchase a

low oxygen cell culture system and have supplemented its capabilities and maintenance with money (\$10K) from the chair account. This cell culture platform is being used by a number of individuals in our research group for growing human ES and iPS cells, mouse cartilage cells and bone progenitor cells, and it is available to other investigators at the Health Center who would like to take advantage of this capability. The culture environment is proving to be essential for studying the cartilage and bone progenitor cells, and its value for manipulating embryonic stem cells is only beginning to be fully appreciated.

2. *Centralized fluorescence microscope imaging* - To interpret a bone progenitor tissue engineering experiment, a new microscope histology had to be developed that preserves the fluorescence signals of the GFP reporter cells in mineralized bone tissue. While we recognized that this new histological approach would be of value to many investigators utilizing a wide variety of skeletal repair models, it had to be performed in a highly automated, low-cost and rapid turnaround manner. We became aware that the Zeiss Microscope Company had developed an automated fluorescence-imaging platform that might meet our research needs. After visiting with their application scientists in Munich, Germany, they committed to provide us with the necessary technical and computer software support to adapt their instrument for his research goal. The Mirax Midi microscope platform, the computer servers and the specialized software are now operational with significant financial support from the academic chair (\$50K) and School of Dental Medicine. As currently configured, we process the skeletal tissues, perform the automated imaging in multiple fluorescent modes, provide the full virtual microscope images to the users through a web browser, and compiled composite images for publication and presentation from our institutional servers. This capability is now being utilized in the DOD grant that we obtained for studying human bone cell progenitors (myself, Dr. Alexander Lichtler, Dr. Cato Laurencin, Dr. David Goldhamer at UConn Storrs), by members of the tissue engineering community (Dr. Lakshmi Nair, DOD; Dr. Jay Lieberman, R01; Dr. Mei Wei at UConn Storrs, NSF and R21) and most recently Dr. Frank Nichols (R01 grant studying inflammatory prostanoids and periodontal disease). We have utilized this platform in collaborative experiments with tissue engineers at the University of Cincinnati (Dr. David Butler) and the New Jersey Institute of Technology (Dr. Treena Azinzeh). As we gain experience providing and interpreting these histological services, we are building a reputation for understanding and assembling the most effective strategies for skeletal repair.

3. *Computer cluster* - The fluorescent images that we generate are amenable to computer-based image analysis to provide an observer-independent computer-driven mathematical assessment of a visual image. This is been a collaborative project with Dr. Doug-Guk Shin in the Department of Computer Science at Storrs and a junior faculty member, Dr. Seung-Hyun (Sean) Hong, who is skilled in image analysis. The primary application has been the development an automated method for performing dynamic and cellular bone histomorphometry at a far greater throughput and lower cost than is traditionally available. As we were developing this analysis platform, it became clear that the computational power of a single workstation was a major bottleneck. A similar

problem was a rising for computation of micro-array data sets, which is our other topic of long-term collaboration (see previous chair reports). The opportunity arose of an infrastructure grant competition on the Storrs campus to obtain a computer cluster that would have a major impact on the ability to process large data sets. This effort proved to be successful in part because I utilized chair funds (\$40K) to demonstrate serious need for this capability from users on the UCHC campus.

4. *Commercialization of automated imaging for skeletal disease* – Dr. Shin and I were successful in obtaining a SBIR grant to develop a path for commercialization of the bone histomorphometry and skeletal repair capability in the form of a contract research organization. A provisional patent was submitted and a tentative agreement with the institutional Translational Incubation Program (TIP) was arranged utilizing some resources from the chair account (\$7K). I regard this investment to be consistent with the goals of the University and the state government to develop new small biotechnology companies emanating from efforts in the basic science laboratories of the University of Connecticut.

5. *Two photon microscopy* - Another powerful use of fluorescence that is preserved in our histology method, is in the ability to image deep into opaque mineralized tissues using a technique called two-photon microscopy. The institution obtained this instrument for Dr. Kamal Khanna in the Department of Immunology and he has given us access to it for constructing 3-D images of our histomorphometry and skeletal repair defects. One NIH grant has been obtained to exploit this platform with Dr. Mei Wei (UConn Storrs) and is the basis of a PhD thesis of one of her bioengineering graduate students. To obtain the full potential of this instrument, it was necessary to purchase a second laser, the cost of which was split between my chair account (\$30K), the Department of Immunology and the institution. I also contributed to the expensive service contract on this valuable instrument (\$4K).

Thus the chair account has provided a technological basis for expanding the sophistication of research questions within our stem cell and gene therapy programs that are supported from federal and state sources. There is no other research institution in the nation that has a better capability for this type of skeletal imaging and image analysis, which will become an essential requirement for the interpretation of any pre-clinical gene therapy or cell therapy protocol.

Academic Activities:

Included in this category are activities that I regard as enhancing the general research environment of the Health Center above those that would affect primarily skeletal biology. These activities include:

A. Molecular Core – This resource, which I have directed for almost 20 years, is designed to provide the research base with common reagents used in molecular and cell biology in a convenient lower costs and knowledgeable manner, and with instrumentation

that is widely used in many research teams but cannot be afforded by a single laboratory.

1. Financial advantages of the Core - During the past year as the institution was implementing SciQuest as the institutional purchasing platform, it was necessary to strongly reinforce the fundamental difference that the Molecular Core provides to our user base to institutional administrators. Specifically it is very different from an anonymous purchasing agent. Not only can we negotiate a better purchase price than the individual investigator can obtain on the SciQuest site, but we can obtain these items without a shipping cost. We estimate that last fiscal year we save our investigators about \$250,000 in shipping costs alone. Our research trained staff know the function of the products they sell, and have close contact with the sales and technical representative of the companies we support to solve problems that arise with our user base.

2. Common use instrumentation – The instruments that are provided to UCHC users include a fluorescence/luminescent gel imaging station, high throughput qPCR thermocycler and sample analysis, automated DNA and RNA extraction workstation and micro-spectroscopy for nucleic acids quantitation. When the institutional Genomics core (RNA microarray and high throughput DNA sequencing) moved from the L Building to 400 Farmington, a dis-connect between L&E building users and the genomics core developed. To promote the interaction of the L&E building investigators with the Genomic core, I used Dental School equipment funds to obtained two essential instrument (Biorad Experion and Caliper XT) to prepare samples for the high throughput genomic sequencers and placed them within the Molecular Core. In addition a new fluorescence-based computer hemocytometer (Cellometer) was obtained with fund through a HCRAC common instrumentation grant competition with the intent to place it in the Core for general use. While the funds for these instruments did not come from the chair account, I cite these examples as an effort to enhance the overall research environment within UCHC.

B. Videoconferencing - The two-way videoconferencing facility that was established on the 7th floor of my Center continues to be heavily used for our interactions with collaborators at Storrs, outside investigators and the 400 Farmington Avenue building. The expertise in video conferencing that exists at UCHC is quite remarkable compared to other universities and we have tried to exploit that advantage in every way possible. For example we have organized multi-institutional conferences that involved Storrs, Yale, Wesleyan, and a number of the small business interests in the area. More recently I catalyzed its use for clinical endocrinology case conferences between UCHC clinicians and similar specialists at CCMC, Hartford, St. Francis and New Britain. The videoconferences allow for interactions that would not be possible if it required driving to a distant site and it is as effective as being there.

C. Multi-investigator institutional grant applications - This past year I organized three multidisciplinary grant initiatives, none of which were successful, but illustrate my commitment to the general UCHC research environment. They included:

1. *NIH mouse phenotyping grant* – We responded to an NIH initiative to participate in the characterization of the physical findings of the thousands of mouse lines that are now being produced with defined inactivating gene mutations within the mouse genome. This exceptionally important initiative will provide the basis for understanding mutations that are found in human subjects by genome sequencing and I wanted UCHC investigators to be involved in this project. The grant had 10 components involving faculty from neuroscience, cardiology, pulmonology, hematology/immunology, genetics, computer science, pediatric dentistry, orthopedics, our transgenic mouse core and members from the Center for Regenerative Medicine and Skeletal Development. The grant actually scored quite well but in the end we did not have the political gravitas to overcome entrenched interests.

2. *NIH equipment competition to obtain a fluorescence-based laser dissection microscope* – This instrument is an essential tool to the analysis of GFP reporters in histological tissues as well as a host of other basic cell/molecular studies. The grant was not submitted in part because the user base needed more guidance in how to take advantage of the instrument and interacting with the genomics core, which would be used to characterize samples derived from the microscope. We will try to submit this grant next year.

3. *Connecticut stem cell initiative* - The third multi-user grant was submitted in response to a specific objective of the CT stem cell initiative to promote the use of human stem cells with a broader base of users, especially small Connecticut companies. Our grant was designed to integrate investigators in our Center, who are currently using mouse and human bone cell progenitors from hES and iPS sources, with Connecticut companies that are developing materials used to heal skeletal defects. The research programs in the Center would provide the cell know-how, the experimental models for performing the surgery and for imaging the resulting outcome, all technologies that are beyond the financial capabilities of the small Connecticut companies. The Center would also assist academic investigators who do not have access to this capability for skeletal research. In this case established investigators from Storrs and Yale participated. The research programs that utilized these resources could jumpstart their scaffold and materials projects ahead of the competition in regard to the use for human bone progenitor cells. The grant was submitted but did not do well and was not even judged on the stated purpose of the grant RFP objectives. We still think this is an academic/industrial interaction that should work and we will pursue it in the future.

D. Teaching and Mentoring – I continue my long-standing set of basic science lectures to the dental and medical students on heritable disorders of connective tissue and normal and abnormal sexual development. I've also taught a number of small lectures in the mini-medical school and the summer students. I have research training responsibilities for one junior faculty member in Orthodontics and one graduate student after his faculty advisor moved to another institution. I am participating with my old Pediatric Endocrinology group, now located at CCMC), to bring a basic science perspective to their clinical cases, and to provide a research training experience for the

clinical fellows in our Center.

E. National Presentations and Activities - I have given invited lectures to the annual meeting of the Orthopedic Research Society (Longbeach, CA), the American Society for Bone and Mineral Research (Toronto, CAN), the annual DOD sponsored Advanced Technology Applications for Combat Casualty Care (ATACCC, St. Petersburg, FL), Bone Repair and Regeneration Product Line Review of programs administered Telemedicine & Advanced Technology Research Center (TATRC, Ft. Detrick, MD) and the Lilly Pharmaceutical Company (Indianapolis, IN). I was an ad hoc member of the mechanical and tissue engineering (MTE) study section and will be joining that NIH study section permanently this fall, the skeletal biology review committee for the Shriners Hospitals for Children (Tampa, FL), and an external reviewer to a large tissue engineering grant to Dr. David Butler at the University of Cincinnati. I was invited to participate in scientific meetings of the 4th New York Skeletal Biology and Medicine Conference (NYC) and the national meeting of the Osteogenesis Imperfecta Foundation (OIF, Chicago, IL).

Administrative Activities:

The most demanding administrative activity in the past year was the adaptation of our databases that manage the Molecular Core and our Center's research grant programs to the institution's implementation of SciQuest and Banner. Innumerable meetings were held with purchasing, IT programmers and representatives of SciQuest to decide the best way to manage the business model of the Molecular Core with the programmatic constraints of SciQuest. Eventually the model that we currently have, i.e., we ordered directly from the companies through standing purchase orders and then submit the bill to ordering PI's research grant in Banner, was established. Our software was completely rewritten from a 1999 version to a 2011 version of FileMaker and it now appears to be working satisfactorily. We are supporting 8 vendors including a new vendor for DNA sequencing and two vendors for oligonucleotide synthesis.

The research grants management program, which was initially developed for the grant administration staff within the Dental School and now is utilized by a number of other departments within the Medical School, is also being transitioned to Banner, Human Resources and SyQuest. That transition also required many meetings with IT programmers and research finance, and the final product is still evolving. The framework for our implementing the revised grants management program will fit the specific needs of the administrative staff by combining all the research/academic activities of the faculty in a single integrated site. I am particularly proud of this product because no tool exists for this purpose and we have been able to fulfill a need that central administration has been unwilling to acknowledge. Our weekly database design and implementation meetings between our programmer and grant administrators has been temporarily used by research finance to help departmental staff members across UCHC in the transition to Banner, Sciquest, Human Resources, Travel and other essential administrative duties for grants management. Our grant management program integrates these financial activities

together, develops spending plans throughout the life of the grant, distribute personnel activity and funding across multiple grants and tracks travel related activities, all of which are currently housed in the separate institutional programs designed for each area of responsibility within central administration.

Within the Dental School I participated in senior administration research related decisions, strategic research planning, faculty evaluations, interviewing of potential recruits for academic positions in Medical and Dental school.

Plans for the coming year

My primary focus will still be on developing my research program with a particular emphasis on the articular chondrocyte lineages and on human bone progenitor cells. The other big effort will be to implement the technical steps necessary to use the next-generation sequencing technology now available in the Genomics core. I want to develop our central imaging concepts with an eye towards increasing our commercial collaborations and establishing a small company capable of focusing on this objective. Finally, I want to implement a multiuse instrument management computer program that will allow the Molecular Core to provide our user base unattended access to certain types of instruments that the Core provides.

In summary, as grant funding becomes more and more difficult to obtain, the chair account has allowed me to maintain a progressive and innovative research program with the development of tools and resources that will be of value to the skeletal biology and general UHC research community. I'm committed to cross campus multidisciplinary research and I would like to expand that activity to collaborating universities and local and international companies. This is the time to diversify our efforts for obtaining research support and I think we are in a position to be attractive to other sources during this time when federal support for research is becoming severely restricted.

The University of Connecticut Health Center
Endowed Chair in Human Genetics
6-31982

	FY07	FY08	FY09 *	FY10	FY11
Beginning Cash Balance	\$344,105.44	\$404,312.63	\$482,881.39	\$510,940.53	\$449,017.36
Receipts :					
Interest Transferred from DHE:	9,317.65	66,947.45	14,275.52	3,246.01	55,224.00
Interest Transferred from UCONN Foundation:	93,435.00	100,126.38	91,600.62	80,541.68	955.00
Total Receipts	102,752.65	167,073.83	105,876.14	83,787.69	56,179.00
Expenditures :					
Salaries and Wages	32,034.25	72,991.08	42,165.10	46,210.82	55,655.00
Fringe Benefits	7,896.27	16,444.74	7,627.00	13,428.69	44,852.00
Purchased Services	1,414.62	120.00	4,193.16	3,541.55	40,672.00
Supplies	-	-	8,143.94	10,852.51	12,173.00
Equipment	-	-	17,320.87	73,587.27	62,118.00
Change in accruals	1,200.32	(1,050.75)	(1,633.07)	(1,909.98)	1,925.00
Total Expenditures	42,545.46	88,505.07	77,817.00	145,710.86	217,395.00
Ending Cash Balance	\$404,312.63	\$482,881.39	\$510,940.53	\$449,017.36	\$287,801.36

* FY11 are not final and may change due to year end adjustments



The University of Connecticut
FOUNDATION
INCORPORATED

Endowment Report

Department of Higher Education Endowed Chair in Infectious Diseases

Prepared by:

Kevin Dieckhaus
Chief, Division of Infectious Diseases
Associate Professor of Medicine

Executive Summary:

The Endowed Chair in Infectious Diseases has supported multiple activities and programs of the Division of Infectious Diseases. The chair directly and indirectly supports several initiatives related to enhanced patient care, education, and research. The chair also supports the professional development of faculty who care for patient with a wide variety of infectious diseases and provide education for the the future physicians who will be doing so as well.

Professional/Research Activities:

The chair has continued to support infrastructure for ongoing research and professional activities. Specifically, clinical studies of HIV/AIDS have been focused on improving adherence to HIV antiviral medications (CHaMP and ENGAGE programs), the impact of medication adherence on development of antiviral resistance using ultradeep sequencing methodology, impact of low-impact aerobic exercise in HIV+ substance users (HAPI), and barriers to effective HIV clinical care in Connecticut (ENGAGE). Several of these have led to papers and scientific presentations. Other studies are being discussed actively.

Academic Activities:

The Infectious Diseases Division has continued to be very active in education at all levels including medical school, residency and fellowship curricula, and continuing medical education both locally in Connecticut as well as internationally. The chair has supported HIV-related continuing medical education for physicians in the state to satisfy the newly-required state-mandated Continuing Medical Education requirement in HIV/AIDS. Dr. Dieckhaus is working through the New England AIDS Education and Training Center to provide and coordinate ongoing HIV-related education to medical providers in the state. The addition of global health education for medical students, residents and Infectious Diseases fellows has allowed for several

new and unique opportunities for our trainees to experience aspects of HIV/AIDS and other infectious diseases that are difficult to acquire locally. These include identification and management of AIDS-related opportunistic infections and other infections associated with resource-limited areas. These sites have also provided opportunity for medical students to design and participate in a variety of medical and public health research programs related to infectious diseases such as HIV/AIDS, malaria, syphilis, brucellosis and non-infectious diseases such as nutritional deficiencies and perinatal morbidity. The cultural experience associated with the programs have enhanced students' skills related to cultural sensitivity and 'other awareness' - skills that are increasingly important no matter where one ultimately practices medicine, but have become more important locally with the increasingly complex cultural diversity of Connecticut.

Administrative Activities:

Members of the Division have been instrumental in providing administrative assistance to programs both at the University and the community at large. Dr. Dieckhaus has been chair of the AIDS Task Force which formulates HIV-related policy. With administrative support of the chair, Dr. Dieckhaus has been able to secure funding from the Ryan White care system for HIV-related clinical support at the UConn Health Center. In the last grant year, this has totaled over \$160,000 and has been used to support staff who directly care for HIV positive patients, an HIV adherence support program that provides services to the UConn Health Center's HIV clinic as well as 4 other clinical care sites, and clinical case management for HIV positive patients receiving care at the UCHC. Dr. Dieckhaus is involved in discussions of allocation of resources within the HIV care delivery landscape in Connecticut. Additionally, Dr. Dieckhaus has been instrumental in the school of medicine in developing policies and new programs related to global health education and community health research.

The University of Connecticut Health Center
Endowed Chair in Infectious Diseases/AIDS Research
6-31129

	FY07	FY08	FY09	FY10	FY11
Beginning Cash Balance	\$34,848.42	(\$3,041.85)	\$86,102.64	\$105,670.74	\$101,147.82
Receipts :					
Interest Transferred from DHE:	12,772.18	91,767.98	19,568.10	4,449.49	3,852.00
Total Receipts	12,772.18	91,767.98	19,568.10	4,449.49	3,852.00
Expenditures :					
Salaries and Wages	42,361.42	(0.02)	-	937.55	-
Fringe Benefits	10,424.51	(182.41)	-	266.27	-
Purchased Services	-	-	-	8,055.88	14,005.00
Supplies	-	-	-	664.00	617.00
Equipment	-	-	-	-	-
Change in accruals	(2,123.48)	2,805.92	-	(951.29)	1,516.00
Total Expenditures	50,662.45	2,623.49	-	8,972.41	16,138.00
Ending Cash Balance	(\$3,041.85)	\$86,102.64	\$105,670.74	\$101,147.82	\$88,861.82

* FY11 are not final and may change due to year end adjustments

University of Connecticut Health Center
School of Medicine
Transfusion Medicine Chair
Annual Report
2010-2011

During the academic year 2010-11, the holder of the PHS/ARC Chair in Transfusion Medicine (Robert Bona) was involved in the following activities:

Division Chief Hematology-Oncology and Director LEA Center for Hematological Disorders;

- Responsible for the clinical activities of hematology-oncology as they relate to the department of medicine and the cancer center,
- Directs the teaching activities of the division as they relate to resident and student education,
- Helps mentor faculty in the division in their academic career.
- Directs the LEA program in blood disorders.

Director Anticoagulation Service;

- Oversees the anticoagulation therapy of patients served by this service,
- Periodically reviews all records of patients cared for by the service,
- Serves as a consultant to referring physicians and provides advice regarding anti-thrombotic therapy.

Director Hemophilia Treatment Center;

- Supervises the care of patients with hemophilia, other bleeding disorders, and thrombotic disorders,
- Reviews transfusion history and factor replacement history of patients and recommends appropriate transfusion therapy,
- Serves as Principle Investigator to the CDC and MCHB funded surveillance project in patients with hemophilia and other bleeding disorders.

Direct Clinical Activities;

- Four half day hematology-oncology ambulatory clinics per week including one focusing on bleeding/clotting disorders
- Three months attending on consult/in patient service

Teaching Activities;

- Directs the Homeostasis portion (7 week basic science course) of the Mechanism of Disease Course for second year medical students,
- Program Director for hematology-oncology fellows and medical residents on clinical elective,
- Directs Homework curriculum for the third year medical students,
- Teaches in the first, second, third, and fourth year curriculum
- Academy of Distinguished Educators,

Other Administrative Responsibilities;

- Chairperson of the John Dempsey Hospital's Transfusion Committee,
 - Audit transfusions
 - Discuss and implement transfusion policy
 - Help implement quality improvement activities as they relate to transfusion medicine
 - Reviews all transfusion related reactions
- Member of the Following Committees,
 - Human Systems Implementation
 - Department of Medicine Promotions
 - Curriculum Undergraduate Medical Education
 - Institutional Review Board
 - Cancer Center Executive Committee
 - Northeast Regional Hemophilia Executive Committee
 - Oversight Committee
 - Academic Advancement Committee

Awards and Grants;

- CAMEL Award for Homeostasis section, Accepted on behalf of Homeostasis Faculty, Presented by the Class of 2013
- Loeser Award for Teaching Basic Medical Sciences- Presented by Medical/Dental Class 2013
- CDC/MCHC – Hemophilia Treatment Center – Care and Prevention of Complication in Patients with Hemophilia and Other Bleeding Disorders,

Publications

1. Bei Liu, Yi Yang, Zhijuan Qiu, Matthew Staron, Feng Hong, Yi Li, Shuang Wu, Yunfeng Li, Bing Hao, Robert Bona, David Han and Zihai Li. Folding of Toll-like receptors by the HSP90 paralogue gp96 requires a substrate-specific cochaperone. *Nature Communications* 1:79 | DOI: 10.1038/ncomms1070 (Sept 2010)
2. Saad Z Usmani, Robert Bona, Gabriela Chiosis and Zihai Li. The anti-myeloma activity of a novel purine scaffold HSP90 inhibitor PU-H71 is via inhibition of both HSP90A and HSP90B1, *Journal of Hematology-Oncology*, 2010, 3:40.
3. Stephen Bendel, Robert Bona, William Baker. Dabigatran: An Oral Direct Thrombin Inhibitor for Use in Atrial Fibrillation, *Adv Ther* (2011) DOI 10.1007/s12325-011-0025-1, 2011;28(6).
4. Matthew Staron, Shuang Wu, Feng Hong, Aleksandra Stojanovic, Xiaoping Du, Robert Bona, Bei Liu, and Zihai Li. Heat shock protein gp96/grp94 is an essential chaperone for platelet glycoprotein Ib-IX-V complex. *Blood* in press.

5. Lori-Ann Linkins, Antonio Dans, Lisa Moores, Robert Bona, Bruce Davidson, Sam Schulman, Mark Crowther. Treatment and Prevention of Heparin-Induced Thrombocytopenia: American College of Chest Physicians Evidence-Based Clinical Practice Guideline (9th Edition) 2012. Chest, in press.

Research

1. Heat Shock Proteins and Platelet Aggregation - project ongoing.
2. Dalteparin Injection, Multicenter, Open label, Single arm, Long term Study for Understanding Safety and Efficacy in Subjects with Malignancies and Symptomatic Venous Thromboembolism-opened and accruing.

The University of Connecticut Health Center
Endowed Chair in Transfusion Medicine
6-31686

	FY07	FY08	FY09	FY10	FY11
Beginning Cash Balance	\$187,614.66	\$193,584.16	\$268,947.46	\$325,468.25	\$360,281.39
Receipts :					
Interest Transferred from DHE:	6,385.80	45,881.97	9,783.65	2,224.63	1,256.00
Interest Transferred from UCONN Foundation:	50,854.93	54,496.92	49,856.52	43,837.32	30,057.00
Total Receipts	57,240.73	100,378.89	59,640.17	46,061.95	31,313.00
Expenditures :					
Salaries and Wages	29,036.33	15,351.40	1,080.36	9,630.31	3,562.00
Fringe Benefits	16,729.83	3,654.04	357.26	2,730.30	935.00
Purchased Services	3,065.82	1,623.53	800.00	300.00	1,591.00
Supplies	5,717.67	-	366.07	-	11,805.00
Equipment	-	-	-	-	32,045.00
Change in accruals	(3,278.52)	4,386.62	515.69	(1,411.80)	1,689.00
Total Expenditures	51,271.23	25,015.59	3,119.38	11,248.81	51,427.00
Ending Cash Balance	\$193,584.16	\$268,947.46	\$325,468.25	\$360,281.39	\$340,167.39

* FY11 are not final and may change due to year end adjustments



University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

November 10, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Law School Renovations/Improvements – Starr, Chase,
Hosmer and Knight Halls Building Envelope Repair (Design: \$2,517,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$2,517,000 for the planning, design and construction of exterior repairs to the façade of the William F. Starr Hall, Cheryl A. Chase Hall, Hosmer Hall and Knight Hall on the School of Law in Hartford.

BACKGROUND:

Starr Hall (50,750 square feet), Chase Hall (19,500 square feet), Hosmer Hall (43,072 square feet) and Knight Hall (13,500 square feet) are office and classroom buildings on the Law School campus. The buildings are on the National Historic Register. The intent of this project is to repair façade deterioration at the Starr Tower and parapet repairs at the Chase and Hosmer buildings.

An architect commissioned to determine the scope and preliminary estimate for the repair work identified significant issues with the facades of all buildings. The most pressing issues have been corrected. This project will address the remaining problems on the Starr Building tower and will begin in spring 2012. The repairs on the other buildings will be completed as funding is made available.

This Design Budget is attached for your consideration and approval.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

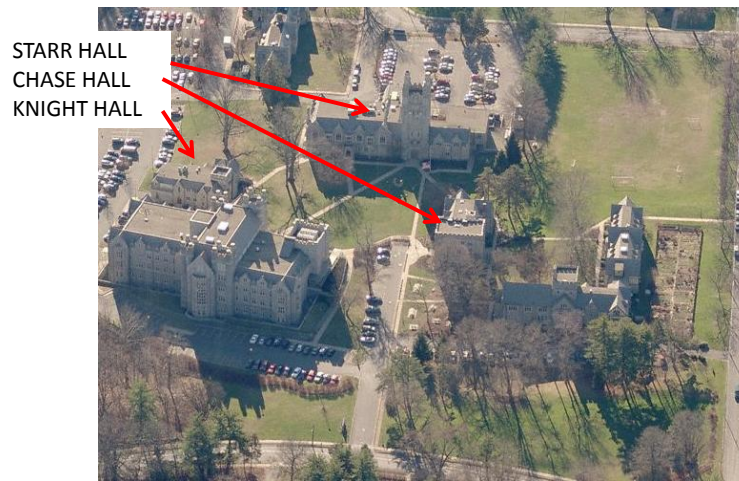
TYPE BUDGET: DESIGN

PROJECT NAME: LAW SCHOOL RENOVATIONS/IMPROVEMENTS - STARR, CHASE & KNIGHT
HALLS ENVELOPE REPAIR

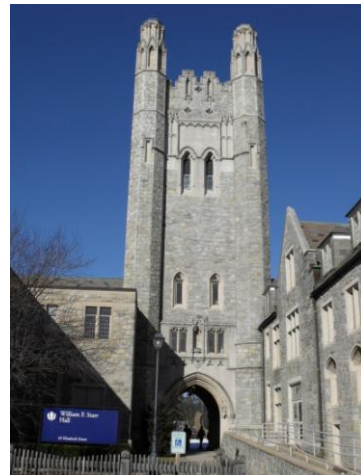
<u>BUDGETED EXPENDITURES</u>	APPROVED PLANNING 2/22/2011	PROPOSED DESIGN 11/10/2011
CONSTRUCTION	\$ 2,035,000	\$ 2,035,000
DESIGN SERVICES	130,000	130,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	40,000	40,000
OTHER AE SERVICES (including Project Management)	57,250	57,250
ART	-	-
RELOCATION	15,000	15,000
ENVIRONMENTAL	6,000	6,000
INSURANCE AND LEGAL	3,750	3,750
MISCELLANEOUS	-	-
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 2,287,000	\$ 2,287,000
PROJECT CONTINGENCY	230,000	230,000
TOTAL BUDGETED EXPENDITURES	\$ 2,517,000	\$ 2,517,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ 2,517,000	\$ 2,517,000
TOTAL BUDGETED FUNDING	\$ 2,517,000	\$ 2,517,000

LAW SCHOOL RENOVATIONS/IMPROVEMENTS – STARR, CHASE,
KNIGHT HALLS, ENVELOPE REPAIR
Project Budget (DESIGN)
11/10/11

LAW SCHOOL CAMPUS



TYPICAL PROBLEMS





University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

November 10, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Helfman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Biobehavioral Complex Replacement (Renovation)
(Final: \$3,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$3,000,000 for the Biobehavioral Complex replacement (renovation).

BACKGROUND:

The Biobehavioral Complex contains two adjoined buildings with a total of 45,976 square feet containing research laboratories and office space. The original building was constructed in 1972 while the addition was occupied in 1994. Collectively, these buildings are referred to as HBH (Horsebarn Hill) 4.

The primary scope of work provides for renovations and repairs to both the original building and annex addition, including roof, windows, interior renovations and mechanical systems.

The original building is currently unoccupied and will be used for swing space for faculty and staff which will be temporarily displaced during the Young building renovation project as well as the permanent location of the Center of Environmental Sciences and Engineering (CESE).

A waiver to the three phase approval process is requested for the project to be substantially complete by August 2012 for the faculty and staff to use this building. The project budget is based on design team estimates. If competitive bids result in a bid from a qualified contractor at or below the amount stated in the budget the University plans to proceed with the project.

This Final Budget is attached for your consideration and approval.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: BIOBEHAVIORAL COMPLEX REPLACEMENT

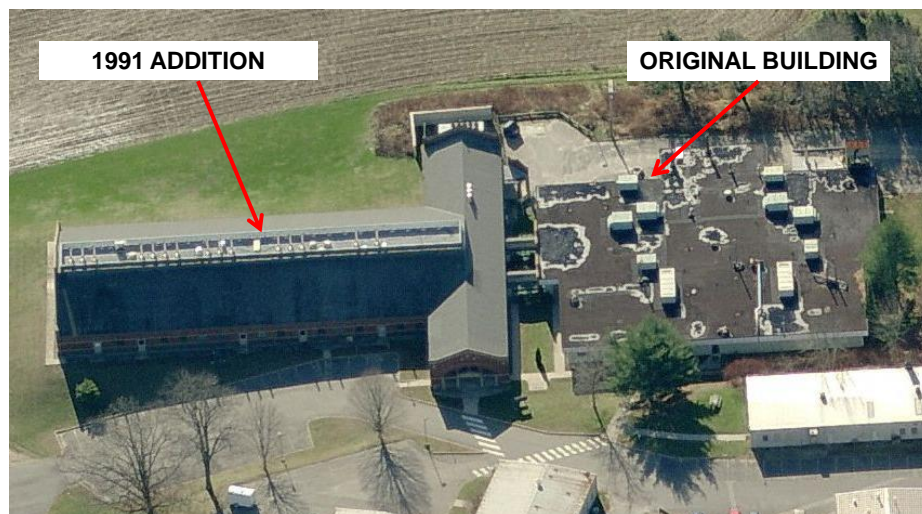
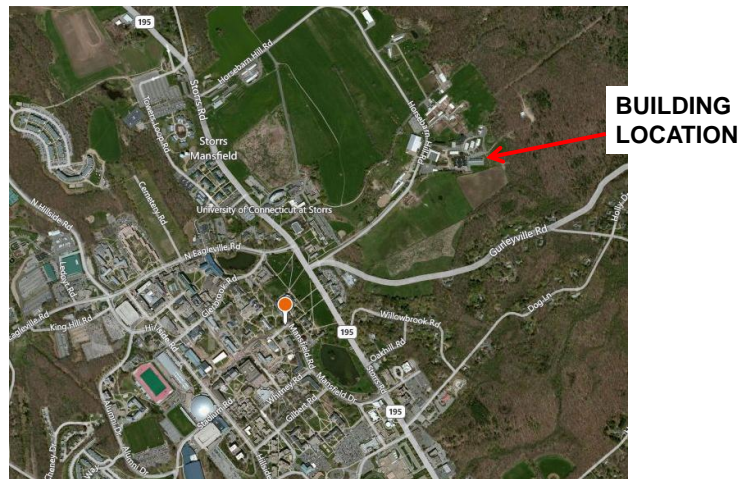
	APPROVED PLANNING 6/24/2008	APPROVED REVISED PLANNING 1/26/2011	APPROVED REVISED PLANNING 8/3/2001	PROPOSED FINAL 11/10/2011
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ 1,590,000	\$ 1,536,000	\$ 2,250,000	\$ 2,250,000
DESIGN SERVICES	148,000	148,000	220,000	220,000
TELECOMMUNICATIONS	-	-	25,000	25,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	50,000	75,000	75,000
OTHER AE SERVICES (including Project Management)	-	4,000	15,000	15,000
ART	-	-	-	-
RELOCATION	-	-	20,000	20,000
ENVIRONMENTAL	-	-	-	-
INSURANCE AND LEGAL	-	-	5,000	5,000
MISCELLANEOUS	-	-	-	80,000
OTHER SOFT COSTS	80,000	80,000	80,000	-
SUBTOTAL	\$ 1,818,000	\$ 1,818,000	\$ 2,690,000	\$ 2,690,000
PROJECT CONTINGENCY	182,000	182,000	310,000	310,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 2,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 3,000,000</u>	<u>\$ 3,000,000</u>
<u>SOURCE(S) OF FUNDING</u>				
UConn 2000 PHASE III	\$ 2,000,000	\$ 2,000,000	\$ 3,000,000	\$ 3,000,000
TOTAL BUDGETED FUNDING	<u>\$ 2,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 3,000,000</u>	<u>\$ 3,000,000</u>

BOT 11.10.11

901380

BIOBEHAVIORAL COMPLEX REPLACEMENT
Project Budget (FINAL)
11/10/2011

LOCATION PLAN





University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

November 10, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Hoffman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for McMahon Dining Hall Renovations
(Final: \$8,608,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$8,608,000 for the planning, design and construction of the renovations and addition to McMahon Dining Hall.

BACKGROUND:

The McMahon Dining Hall is a 300 seat dining hall housed within a 194,000 square foot McMahon dormitory complex built in 1964.

These renovations will provide an extensive upgrade to a market place theme bringing display cooking and finishing of food items to the seating area. The seating area will be increased to 500 from the current 300 seats. Mechanical, electrical and fire protection systems will be replaced and updated. All finishes will be improved to provide a modern and attractive appearance.

The current budget is an increase to the Design Budget approved on August 3, 2011. In the process of completing due diligence, the design team identified multiple critical deferred maintenance items that must be addressed in order to meet the project goals of a modern, sustainable dining facility. Some of these items include repairs/replacement to utilities serving the building, roof replacement, replacement/upgrade of building infrastructure such as chillers, pumps, life safety and accessibility issues. These costs are now incorporated into the updated estimates.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070

The Department of Dining Services has a business requirement to operate the McMahon Dining Hall during the spring and fall semesters to provide adequate food service capacity. The University must accomplish the renovation with an aggressive construction schedule in order to meet the goals. Work on the dining room will proceed immediately after the spring semester is complete and must be ready for occupancy and use by August 15, 2012.

In order to meet the tight timeframes, the University is requesting Board approval of the budget as presented based on current design team estimates. If competitive bids result in a bid from a qualified contractor at or below the amount stated in the budget the University plans to proceed with the project.

The Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: MCMAHON DINING HALL RENOVATIONS

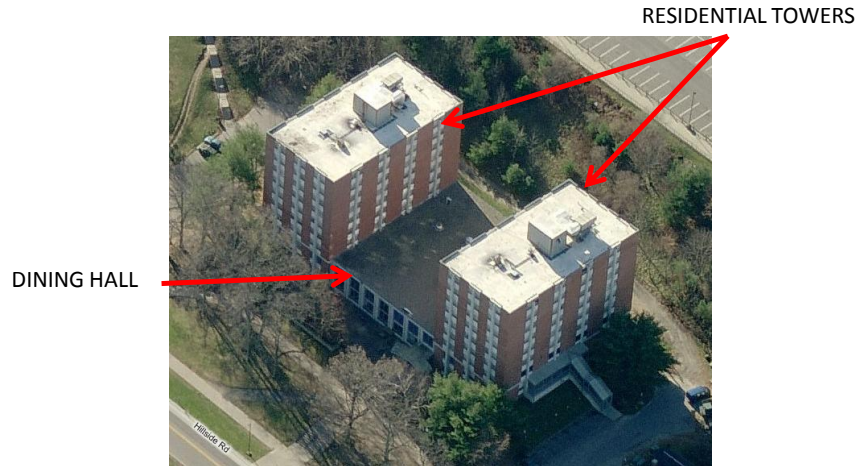
	APPROVED PLANNING 8/10/2010	APPROVED REVISED PLANNING 6/23/2011	APPROVED DESIGN 8/3/2011	PROPOSED FINAL 11/10/2011
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$5,644,540	\$5,644,540	\$6,051,919	\$6,427,000
DESIGN SERVICES	692,350	692,350	711,889	775,000
TELECOMMUNICATIONS	15,000	15,000	15,000	15,000
FURNITURE, FIXTURES AND EQUIPMENT	305,000	305,000	305,000	305,000
CONSTRUCTION ADMINISTRATION	90,000	90,000	10,000	-
OTHER AE SERVICES (including Project Management)	168,100	168,100	217,480	217,000
ART	-	-	-	-
RELOCATION	20,000	20,000	20,000	20,000
ENVIRONMENTAL	62,000	62,000	62,000	62,000
INSURANCE AND LEGAL	4,000	4,000	4,000	7,000
MISCELLANEOUS	27,500	27,500	27,562	30,000
OTHER SOFT COSTS	-	-	-	-
SUBTOTAL	\$7,028,490	\$7,028,490	\$7,424,850	\$7,858,000
PROJECT CONTINGENCY	781,510	781,510	718,854	750,000
TOTAL BUDGETED EXPENDITURES	<u>\$7,810,000</u>	<u>\$7,810,000</u>	<u>\$8,143,704</u>	<u>\$8,608,000</u>
<u>SOURCE(S) OF FUNDING</u>				
DEPARTMENTAL FUNDS	\$7,810,000	\$7,810,000	\$8,143,704	\$8,608,000
TOTAL BUDGETED FUNDING	<u>\$7,810,000</u>	<u>\$7,810,000</u>	<u>\$8,143,704</u>	<u>\$8,608,000</u>

MCMAHON DINING HALL RENOVATIONS

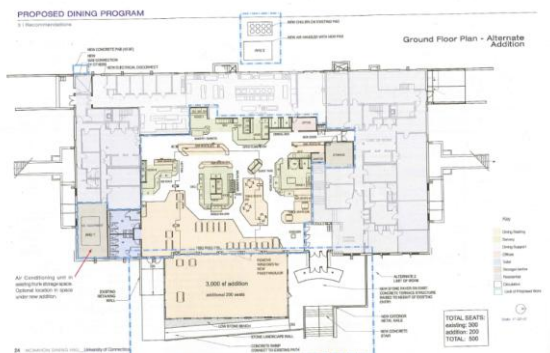
Project Budget (FINAL)

11/10/11

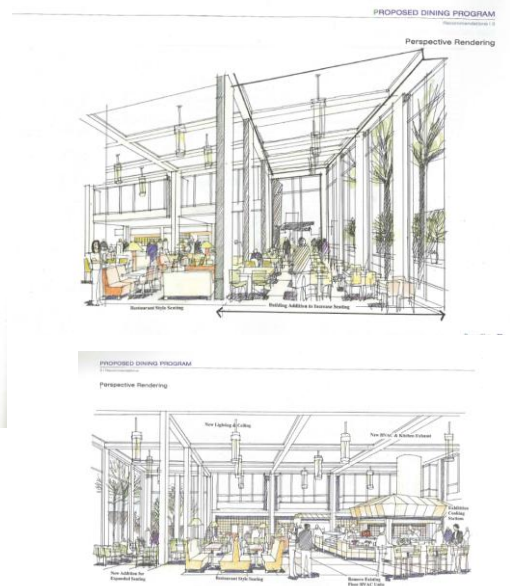
McMahon Dining Hall Renovations



McMahon Dining Hall Renovations



INTERIOR LAYOUT





University of Connecticut

Philip E. Austin
University Professor
President Emeritus

November 10, 2011

TO: Members of the Board of Trustees

FROM: Philip Austin, Interim Vice President for Health Affairs

RE: Naming Recommendation for the Shafer Student Center

RECOMMENDATION:

That the Board of Trustees authorizes naming the new student center (also known as the student lounge) at the UConn Health Center the Shafer Student Center.

BACKGROUND:

Mrs. Anne T. Shafer has made a \$250,000 gift commitment to support the newly created student center at the UConn Health Center. Her gift pays for the entire cost of construction and furnishings, equipment, programming, maintenance, and renovations to the student center as necessary.

Mrs. Shafer is a generous donor to the University of Connecticut Health Center and has supported our students through multiple gifts to the Mr. and Mrs. Guy C. Shafer Twenty Four/Seven Study Rooms at our Lyman Maynard Stowe Library; allowing us to update our library facility with state-of-the-art study rooms and new furnishings for the main library. Mrs. Shafer is a firm believer in making learning opportunities available for our students. She has also made a generous gift to our Department of Dermatology and supports various other programs at the Health Center.

An Equal Opportunity Employer

263 Farmington Avenue
Farmington, Connecticut 06030-3804

Telephone: (860) 679-3072
Facsimile: (860) 679-1135
e-mail: philip.austin@uconn.edu

The University of Connecticut Foundation, Inc.

Development Progress Executive Summary

July 1, 2011 to September 30, 2011

	FYTD11	FY12	FYTD12	% of Goal
Progress Toward Goals	Results	Goal	Results	
<u>Commitment Results</u>				
Athletics	\$ 1.55 M	\$ 13.00 M	\$ 2.41 M	19%
UConn Health Center	\$ 1.37 M	\$ 10.75 M	\$ 1.61 M	15%
UConn General	\$ 3.06 M	\$ 33.25 M	\$ 2.69 M	8%
Total Commitments	\$ 5.98 M	\$ 57.00 M	\$ 6.71 M	12%
<u>Number of household donors</u>	6,029	30,000	6,254	21%
<u>Cash Results</u>				
Cash basis gift receipts	\$ 7.47 M	\$ 50.00 M	\$ 6.71 M	13%
Annual fund unrestricted receipts	\$ 162 K	\$ 1.00 M	\$ 166 K	17%

Campaign Commitments Progress	
Fiscal Year 2012 Campaign Total (to Date)*	\$ 284.54 M
* This total reflects campaign write-offs and pre-campaign total of \$10.6 million, as appropriate.	

University of Connecticut Department of Human Resources
Separations Processed from September 7, 2011 - October 17, 2011
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
AYERS, SUSAN M.	UC PROFESSIONAL 06 - BUSINESS MANAGER I	RETIREMENT (55/W 10-24 YEARS)	CRB	9/30/2011
BRESNAHAN, PATRICIA A.	ASST RESEARCH PROF	RETIREMENT (55/W 10-24 YEARS)	NR&E	9/30/2011
BURR, NICHOLAS J.	UC PROFESSIONAL 09 - NETWORK TECHNICIAN 3	RESIGNATION (GOOD STANDING)	CENASC	9/15/2011
CONYERS, TILITHA I.	UC PROFESSIONAL 09 - EXEC PROGRAM DIR	RETIREMENT (25+ YRS SERVICE)	SW	9/30/2011
DAVIDOFF, STEVEN M.	PROFESSOR	RESIGNATION (GOOD STANDING)	LAW	8/22/2011
GORE, BRIGID	UC PROFESSIONAL 05 - DNG SERV AR AST MGR	RESIGNATION (GOOD STANDING)	FOOD	9/24/2011
HATHAWAY, JEFFREY	U DIRECTOR	RESIGNATION (GOOD STANDING)	ATH	9/15/2011
JOHNSON, KATHRYN L.	U ASST DIRECTOR	RESIGNATION (GOOD STANDING)	HEALTH	9/30/2011
KELLY, KIMBERLY	UC PROFESSIONAL 02 - PROG ASST-ED OUTRCH	RESIGNATION (GOOD STANDING)	EXTENS	9/30/2011
KNIGHT, RANDALL M.	UC PROFESSIONAL 07 - FARM MANAGER	DEATH (NON-EMPLOYMENT RELATED)	ANSC	9/16/2011
LANG, PHILIP O.	UC PROFESSIONAL 08 - PURCHASING AGENT II	RETIREMENT (25+ YRS SERVICE)	PROCUR	9/30/2011
LISKOM, MARIE	U ED ASSISTANT 2	RETIREMENT (55/W 10-24 YEARS)	OACE	9/30/2011
MCEVITT, CAROLYN B.	UC PROFESSIONAL 07 - SYSTEM ADMIN I	RETIREMENT (55/W 10-24 YEARS)	CONTRL	9/30/2011
MCGOVERN, MICHAEL T.	U STAFF PROF II	RETIREMENT (HAZARDOUS DUTY)	FMBIO	9/30/2011
MELVIN, LEE H.	VICE PRESIDENT	RESIGNATION (GOOD STANDING)	EPM	9/14/2011
MEYER, CHARLENE S.	UC PROFESSIONAL 09 - SR COMP PROG/ANAL	RETIREMENT (25+ YRS SERVICE)	EAS	9/30/2011
RADDATZ, JONATHAN G.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	RETIREMENT (25+ YRS SERVICE)	SW	9/30/2011
SEYMOUR, DENNIS R.	UC PROFESSIONAL 05 - ADMISSIONS OFFICER	RETIREMENT (55/W 10-24 YEARS)	HTFD	9/30/2011
STEINBERG, DEENA J.	UC PROFESSIONAL 09 - OSD CONSL & PROJ MGR	RETIREMENT (25+ YRS SERVICE)	SW	9/30/2011
SURPRENANT, BETSY J.	UC PROFESSIONAL 06 - GRAPHIC DES/ILL III	RETIREMENT (25+ YRS SERVICE)	UCOMM	9/30/2011
SYNNOTT, REGIS D.	UC PROFESSIONAL 09 - EXECUTIVE ASST II	RETIREMENT (25+ YRS SERVICE)	FOOD	9/30/2011
TAYLOR, KAREN C.	UC PROFESSIONAL 06 - LABORATORY SUPV	RESIGNATION (GOOD STANDING)	NUSC	8/31/2011
UNGARO, MAURIZIO	RESEARCH ASSOCIATE I	RESIGNATION (GOOD STANDING)	PHYS	9/30/2011
VERDIER, CLAIRE E.	UC PROFESSIONAL 10 - SHS APRN	RETIREMENT (55/W 10-24 YEARS)	HEALTH	9/30/2011

University of Connecticut Department of Human Resources
New Hires Processed from September 7, 2011 to October 17, 2011
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
AL SEESI, SAHAR A.	U POST DOC FEL I	COMPUTER SCIENCE & ENGR	9/1/2011
AN, YONGHONG	ASSISTANT PROFESSOR	ECONOMICS	8/23/2011
BAILEY, BENJAMIN	U POST DOC FEL I	MATHEMATICS	8/23/2011
BALLESTER, ESTRELLITA	RESEARCH ASSISTANT I	CHIP	8/15/2011
BRADY, BRIAN G.	VISITING INSTRUCTOR	CCEI	9/9/2011
CHYBOWSKI, MICHAEL R.	ASST PROF IN RES	DRAMATIC ARTS	10/3/2011
DEY, RAJA	U POST DOC FEL I	MATERIALS SCIENCE INST	8/26/2011
DRAGON, PATRICK	ASST PROF IN RES	MATHEMATICS	8/23/2011
DRIFFIN, DANNIELLE	RESEARCH ASSISTANT I	CHIP	10/1/2011
ENGLISH, KEVIN D.	RESEARCH ASSISTANT I	CHIP	10/1/2011
ESMAILI ZAGHI, ARASH	ASSISTANT PROFESSOR	CIVIL & ENVIRON ENG	9/1/2011
FLORIAN, KATHERINE L.	UC PROFESSIONAL 05 - ADMIN COORDINATOR	ACCOUNTING DEPT	9/12/2011
FRANK, DANA M.	LECTURER	MARINE SCIENCES/MSTC	8/23/2011
GROBELNY, PAWEL	U POST DOC FEL I	PHARMACEUTICAL SCI	10/3/2011
HARTMAN, BRIAN	ASSISTANT PROFESSOR	MATHEMATICS	8/23/2011
HUANG, LING	ASSISTANT PROFESSOR	ECONOMICS	8/23/2011
HURME, KRISTINA J.	U POST DOC FEL I	ECOLOGY & EVOL BIOLOGY	9/30/2011
JIMENEZ, DALIE	ASSOCIATE PROFESSOR	LAW SCH INSTR & RES	8/23/2011
JUNG, EUNJU	ASST RESEARCH PROF	HUMAN DEV/FAM STUDI&R	8/23/2011
KALNOVA, SVETLANA	ASST PROF IN RES	COMMUNICATION SCIENCES	8/23/2011
KORTE, JOHN A.	UC PROFESSIONAL 03 - LAB TECHNICIAN I	BIOLOGY CENTRAL SERVICES	8/26/2011
KUCHTA, STEPHEN A.	INSTR IN RES	ECONOMICS	8/23/2011
KWAK, JAMES	ASSOCIATE PROFESSOR	LAW SCH INSTR & RES	8/23/2011
LASEK-NESSELQUIST, ERICA	U POST DOC FEL I	MOLECULAR & CELL BIOLOGY	10/3/2011
MENIKARACHCHILAGE, LOCHAN	U POST DOC FEL I	PHARMACEUTICAL SCI	9/19/2011
MOTAREF, SARIRA	U POST DOC FEL I	CIVIL & ENVIRON ENG	9/2/2011
NIU, XIAOBIN	U POST DOC FEL I	CTR CLEAN ENERGY ENGINEER	9/1/2011

University of Connecticut Department of Human Resources
New Hires Processed from September 7, 2011 to October 17, 2011
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
NOREN, PATTIE D.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	DINING SERVICES	9/30/2011
OBAE, SAMUEL G.	U POST DOC FEL 1	PLANT SCI & LANDSCAPE ARC	8/15/2011
PALLADINO, MICHAEL G.	RESEARCH ASSISTANT I	DEPARTMENT OF EXTENSION	10/7/2011
PAVLIK, CHRISTOPHER M.	U POST DOC FEL 1	PHARMACEUTICAL SCI	10/3/2011
PENDERGAST, PAUL F.	U DIRECTOR	ATHLETICS ADMIN	10/1/2011
PINATTI, LAURA	RESEARCH ASSISTANT 2	MATERIALS SCIENCE INST	7/26/2001
RAND, CHARLOTTE C.	ASST COOPEXTEDUC RES	NATURAL RESOURCE & ENV	10/7/2011
RHOADS, CHRISTOPHER H.	ASSISTANT PROFESSOR	EDUCATIONAL PSYCHOLOGY	8/23/2011
ROCK, CONSTANCE J.	ASSISTANT PROFESSOR	MUSIC	8/23/2001
RYAN, WILLIAM M.	INSTR IN RES	MARKETING DEPT	8/23/2011
SALMANI, HASSAN	U POST DOC FEL 1	ELECTRICAL & CPTR ENGR	9/20/2011
SHAHBAZI, ZAHRA	ASST PROF IN RES	ENGINEERING DEAN OFC	8/23/2011
SHARMA, VINIT	U POST DOC FEL 1	MATERIALS SCIENCE INST	10/14/2011
SHOR, MIKHAEL	ASSISTANT PROFESSOR	ECONOMICS	8/23/2011
SMITH, RICHARD W.	U POST DOC FEL 1	MARINE SCIENCES/MSTC	9/16/2011
TURCO, VALERIE M.	UC PROFESSIONAL 04 - SA PROGRAM COORD I	STUDENT ACTIVITIES	9/23/2011
UNAL YIGITERHAN, EBRU	U POST DOC FEL 1	MARINE SCIENCES/MSTC	9/15/2011
VALLECILLO, IRMA	ASST PROF IN RES	MUSIC	8/23/2011
WEISER, DANIELA	ASST PROF IN RES	DRAMATIC ARTS	8/30/2011
WILSON, RODERICK	RESEARCH ASSISTANT 2	PHARMACEUTICAL SCI	10/5/2001
ZHANG, YICHAO	U POST DOC FEL 1	MATHEMATICS	8/23/2011

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 10/19/11
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Abbound, Nelly	Associate Professor	Civil & Environ Eng	8/23/11-8/22/12	Medical leave with part pay
Aggison, Lee, Jr.	Associate Dean	VP Grad Edu & Dean	10/7/11-10/14/11	Medical leave with pay
Bauman, David A	UCP 9 - Publicity/Mktg Dir	Univ Communications	10/12/11-10/28/11	Medical leave with pay
Cannada, Amanda B	UCP 4 - Program Assistant II	African Am Studies Ins	9/2/11-10/20/11	Maternity leave with pay
Cannada, Amanda B	UCP 4 - Program Assistant II	African Am Studies Ins	10/21/11-12/5/11	Parental leave with pay
Cardinal, Brian E	UCP 6 - Bldg Services Mgr	Chemistry	7/25/11-9/9/11	Medical leave with pay
Cornell, Debra	UCP 5 - Dng Serv Ar Ast Mgr	Dining Services	7/26/11-8/19/11	Medical leave with pay
Cortina, Debra M	UCP 6 - Program Spec I	Stamford Student Serv	8/12/11-9/20/11	Medical leave without pay
Cortina, Debra M	UCP 6 - Program Spec I	Stamford Student Serv	9/21/11-11/15/11	Maternity leave with pay
Deener, Andrew S	Assistant Professor	Sociology	8/23/11-8/22/12	Fellowship leave without pay
DeFotis, Constance	Assistant Professor	Music	8/23/11-8/22/12	Medical leave with part pay
Dicks, Barbara	Associate Professor	Social Work Instr & Re	8/23/11-8/22/12	Medical leave with part pay
Dominguez, Teresa M	UCP 10 - EH&S Manager	Environ Health & Safety	9/11/11-10/7/11	Medical leave with pay
Eigsti, Inge-Marie	Associate Professor	Psychology	8/23/11-2/22/12	Fellowship leave with partial pay
Gore, Brigid	UCP 5 - Dng Serv Ar Ast Mgr	Dining Services	7/25/11-9/24/11	Medical leave without pay
Hernandez, Gaston E	Associate Professor	Mathematics	8/23/11-8/22/12	Medical leave with part pay
Khairallah, John A	UCP 9 - Network Technician 3	Telecommunications	9/15/11-10/7/11	Medical leave with pay
Lamont, Roselyn	Dining Svcs Area Mgr	Dining Services	9/12/11-9/16/11	Medical leave with pay
Lamont, Roselyn	Dining Svcs Area Mgr	Dining Services	9/19/11-10/28/11	Red. Sch. medical leave with pay
McDermott, Dana S	Associate Professor	Dramatic Arts	8/23/11-8/22/12	Medical leave with part pay
McDowell, Paul R	Chief Financial Officer	Ofc of CFO	10/5/11-10/14/11	Medical leave with pay
McDowell, Paul R	Chief Financial Officer	Ofc of CFO	10/17/11-10/28/11	Red. Sch. medical leave with pay
McLaughlin, Ann	UCP 7 - Business Manager II	Animal Care	7/25/11-7/31/11	Medical leave without pay
Meng, Liansu	Instr in Res	Modern & Class Language	9/8/11-10/19/11	Maternity leave with pay
Murphy, Francoise	UCP 7 - Instructional Dev I	Human Resources	9/27/11-11/8/11	Maternity leave with pay
Reed, Sarah A	Assistant Professor	Animal Science	9/26/11-11/4/11	Maternity leave without pay
Riley, Lisa	UCP 8 - Executive Asst I	Capital Projs/Contracts	9/13/11-11/7/11	Medical leave without pay
Rittenhouse, Tracy	Assistant Professor	Natural Resource & Env	8/29/11-10/21/11	Maternity leave without pay
Schmidt, David W	UCP 5 - Admin Coordinator	Dining Services	7/25/11-8/12/11	Medical leave without pay
Smith, Matthew J	UCP 9 - Oper Sys Prog/Anal 3	Technical Infrastructure	10/4/11-10/21/11	Paternity leave with pay
Wang, Guanhua	Associate Professor	History	8/23/11-8/22/12	Medical leave with part pay

Joint Audit & Compliance Committee

Agenda

10:00am – 10:15am Public Telephone Meeting

Telephone: 866-729-0262

Passcode: 4757240

Issue	Proposed Action	Tab
Approval to hire McGladrey & Pullen, LLP to perform an audit of UCONN 2000 named projects substantially completed during FY 2011, deferred maintenance with designated projects' budgets substantially completed in FY 2011 as well as agreed upon procedures performed on total UCONN 2000 expenditures (named projects, deferred maintenance and equipment) for FY 2011.	Approval	None

October 5, 2011

**THE UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE
November 10, 2011**

**Rome Ballroom, Storrs Campus
1:00 PM**

AGENDA

- | | | |
|----|---|------------------------------------|
| 1. | Call to order | Trustee Bailey |
| 2. | Review and Approval of minutes of 9/28/11 meeting | Trustee Bailey |
| 3. | Chairwoman's Report | Trustee Bailey |
| 5. | Vice President for Student Affairs Report | John Saddlemire |
| 6. | Student Trustees Report | Trustee Buckman
Trustee Scianna |
| 7. | Other Business | All |
| 8. | Adjournment | Trustee Bailey |

AGENDA

Board of Trustees
ACADEMIC AFFAIRS COMMITTEE

Thursday, November 10, 2011

Rome Commons Ballroom

Storrs, CT

9:30 a.m. – 10:30 a.m.

	<u>COMMITTEE</u>	<u>ATTACHMENT</u>
1) Approval of the Minutes of the Academic Affairs Committee Meeting of September 28, 2011 as circulated	A	
<u>ACTION ITEMS:</u>		
2) Approval of Tenure at Hire		2
3) Appointment of Dr. Richard L. Schwab to the Neag Professorship in the Neag School of Education		3
4) Approval to Amend the <i>By-Laws of the University of Connecticut</i> (Article IX-The University Senate)		4
5) Approval of the Graduate Certificate Program in Clinical and Translational Research in the School of Medicine at the University of Connecticut Health Center		5
6) Approval of the Location Modification to offer the English Major at the Avery Point Campus		6

PRESENTATION ITEMS:

- 7) Ethan Butler, Undergraduate Student, Chemical Engineering, UDALL Scholar and NCHC PORTZ Fellowship Recipient
- 8) Digital Media Center, Timothy Hunter, Professor and Center Director
- 9) Research at UConn, Suman Singha, Senior Vice Provost and Vice President for Research

MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
September 28, 2011

Trustees Present: Archambault, Bailey, Buckman, Drotch, Jacobs, McHugh, Shepperd

Staff Present: Biancamano, Bull, Callahan, Cooper, Feldman, Gray, Herbst, Lowe, McDowell, von Munkwitz-Smith, Munroe, Orr, Nicholls, Reis, Rubin, Saddlemire, Singha, Urban, Zirakzadeh

Committee Chairman Jacobs convened the meeting at 9:00 a.m. at the University of Connecticut, Merlin D. Bishop Center, Storrs Campus. On a motion by Committee Chairman Jacobs, seconded by Trustee Shepperd, the minutes of the August 3, 2011 meeting were approved as circulated.

Provost Nicholls introduced ***Action Item #2, Approval of Sabbatical Leave Modifications***. Moved by Trustee Shepperd, seconded by Trustee Archambault, the Committee recommended the approval of the sabbatical leave modifications to the full Board.

Provost Nicholls introduced ***Action Item #3, Appointment of Dr. Sally M. Reis to the Letitia Neag Morgan Chair for Educational Psychology in the Neag School of Education***. Provost Nicholls noted that the chair was named in honor of Ray Neag's sister, Letitia Neag Morgan. Dr. Sally M. Reis is an internationally recognized scholar and a champion of students of special needs, ranging from those with learning disabilities to gifted and talented students. She is the author of over 250 articles, books and book chapters related primarily to research on special populations of gifted and talented students as well as research on improving the academic performance of elementary school children in the area of reading. The Board of Trustees established the chair on August 3, 2011. Moved by Trustee Shepperd, seconded by Trustee Buckman, the Committee recommended approval to the full Board.

Provost Nicholls introduced ***Action Item #4, Renaming of the Neag Professorship in Adult Learning to the Neag Endowed Professorship in the Neag School of Education***. Provost Nicholls commented that on October 1, 2002 the Board of Trustees approved the appointment of Professor Barry Sheckley to the Neag Professorship in Adult Learning. Dr. Sheckley retired in 2009 and the professorship is currently vacant. Renaming the Neag Professorship will provide flexibility to the school. A qualified faculty member in any priority field – not limited to Adult Learning – may benefit from this professorship. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the renaming to the full Board.

Provost Nicholls introduced ***Action Item #5, Notification of Proposed Changes to the By-Laws of the University of Connecticut (Article IX-The University Senate)***. Provost Nicholls noted that the proposed changes will align current University Senate practices with the *By-Laws*. The proposed changes were approved by the University Senate on February 28, 2011. A notification of proposed changes was brought to the Board's attention on August, 3, 2011. Since then, a small, yet important, change has been made to reflect the University of Connecticut Health Center's role in regards to the University Senate. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the approval of the notification to the full Board.

Provost Nicholls introduced ***Action Item #6, Notification of Proposed Changes to the By-Laws of the University of Connecticut (Article XIV – The University Staff, Section K – Retirement)***. Provost Nicholls remarked that the revisions would align the University's practice of granting emeritus status to

retiring members of the University community, most notably, faculty, with the practices of other major public research universities around the country. Committee Chairman Jacobs asked about further justification for the change from twenty-five to ten years for achieving emeritus status. Provost Nicholls introduced Dr. Charles A. “Skip” Lowe, Interim Vice Provost for Graduate Education, Dean of the Graduate School, who chaired the applicable Senate Committee. Dr. Lowe commented that the Senate Committee had two objectives: examine and adjust the number of required years of service to allow professors who join the University of Connecticut late in their careers to retire with the emeritus title, and to allow long-serving professors who never reached the rank of full professor to retire as emeritus associate professors. The Senate Committee researched the policies of seven peer institutions. Committee Chairman Jacobs asked if the striking and replacement “emeriti” with “retired faculty” was technical or an important detail. Provost Nicholls responded that the University promotes a lifelong relationship with all retired faculty, not only emeriti. Moved by Trustee Shepperd, seconded by Trustee Archambault, the Committee recommended the approval of the notification to the full Board.

Provost Nicholls introduced ***Action Item #7, Approval to Amend the By-Laws of the University of Connecticut (Article VII-The Provost and Executive Vice President for Academic Affairs and the Vice Presidents)***. Provost Nicholls remarked that the proposed changes to Article VII addressed the Provost’s role scheduling University Senate meetings. A notification of proposed changes was brought to the Board’s attention on August 3, 2011. Moved by Trustee Shepperd, seconded by Trustee Buckman, the Committee recommended the approval to the full Board.

Provost Nicholls introduced ***Action Item #8, Approval of the Professional Science Master’s (PSM) Degree in Health Care Genetics in Allied Health in the College of Agriculture and Natural Resources***. Provost Nicholls noted that the objectives of this program are to provide students with the components of genetic principles and genomic technologies to improve the quality of health-care through the diagnosis, screening, intervention or prevention of disease for health maintenance; understand the correlation between health-related genetic information and important social and psychological implications for individuals and families; and identify resources available to assist those patients seeking genetic information or services. This degree will be a University wide initiative that connects multiple departments on the Storrs and Health Center campuses. Moved by Trustee Shepperd, seconded by Trustee Archambault, the Committee recommended approval to the full Board.

Provost Nicholls introduced ***Action Item #9, Modification to the Doctor of Philosophy Degree Program in Learning, Leadership, and Education Policy (LLEP) in the Neag School of Education***. Provost Nicholls introduced Dr. Thomas DeFranco, Dean of the Neag School of Education, who thanked Ray Neag for his continued support and reiterated the earlier sentiments of Provost Nicholls regarding the appointment of Dr. Sally M. Reis to the Letitia Neag Morgan Chair for Educational Psychology. Dean DeFranco then introduced Dr. Yuhong Rong, Assistant Dean in the Neag School of Education, who remarked that the Department of Educational Leadership currently has doctoral degrees in Adult Learning and Educational Administration. Since the creation of these two degrees, the fields of study have merged nationally. The Department aims to merge the current fields of study into Learning, Leadership, and Education Policy with two concentrations in (1) Adult Learning and (2) Leadership and Policy. Moved by Trustee Buckman, seconded by Trustee Shepperd, the Committee recommended approval of the modification to the full Board.

Provost Nicholls introduced ***Action Item #10, Modifications to the Doctor of Philosophy, M.A. and Sixth-Year Certificate Programs in Educational Psychology in the Neag School of Education***. Dr. Rong noted that the Special Education, Educational Technology, and Cognition and Construction fields of study in Educational Psychology have been merging nationally. Therefore, the Department aims to

merge and convert fields of study and areas of concentration within the doctoral, master's, and sixth-year level programs in Educational Psychology. A question was raised related to whether classes will be eliminated and they will not. Moved by Trustee Buckman, seconded by Trustee Archambault, the Committee recommended approval of the modifications to the full Board.

Provost Nicholls introduced ***Informational Item #11, Five Year Review of Academic Centers and Institutes***. Provost Nicholls provided the Committee with an update on the following Center: Center for Actuarial Sciences.

Provost Nicholls introduced ***Presentation Item #12, Laramie Smith, Doctoral Student, Social Psychology, National Research Service Pre-Doctoral Fellowship Award Recipient***. Ms. Smith, a CHIP-affiliated doctoral student in social psychology, recently won a prestigious National Research Service Pre-Doctoral Fellowship Award from the National Institute of Mental Health. The awards help train researchers in the fields of behavioral science and health science. They are highly selective and a very prestigious source of funding for doctoral and postdoctoral students. Ms. Smith will use the award, which is a training grant, to develop and test a theory-based intervention program to support retention in HIV medical care for people living with HIV who are tenuously engaged in care. Ms. Smith is the 10th psychology graduate student in the College of Liberal Arts & Sciences working with a CHIP mentor to receive a National Research Service Pre-Doctoral Fellowship Award in as many years. Dr. Jeffrey Fisher, the director of CHIP, is her doctoral advisor. Following her presentation, Committee Chair Jacobs remarked how Ms. Smith's presentation and achievements exemplified the purpose of the research and teaching at the University of Connecticut.

With no further business, Committee Chairman Jacobs adjourned the meeting at 10:00 a.m.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "B. Murray", with a stylized flourish extending from the end.

Brandon Murray
Committee Secretary

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
November 10, 2011 at 10:30 a.m.
University of Connecticut
Rome Commons Ballroom
Storrs, Connecticut

ATTACHMENT LOCATION
COMMITTEE FULL BOARD

EXECUTIVE SESSION:

- 1) Executive Session anticipated

ACTION ITEMS:

- 2) Approval of the Minutes of the Financial Affairs Committee Meeting of September 28, 2011, as circulated A
- 3) Contracts and Agreements for Approval 1

Project Budgets for Approval:

	<i>Project</i>	<i>Phase</i>	<i>Budget</i>	<i>Tab</i>
4)	Law School Renovations/Improvements – Starr, Chase, Hosmer and Knight Halls Building Envelope Repair	Design	\$2,517,000	10
5)	Biobehavioral Complex Replacement (Renovation)	Final	\$3,000,000	11
6)	McMahon Dining Hall Renovations	Final	\$8,608,000	12

INFORMATION ITEMS:

- 7) Contracts and Agreements for Information B
- 8) Master Schedule for UCONN 2000 Phases I, II & III (Under Separate Cover)
- 9) Construction Project Status Report (Under Separate Cover)

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
September 28, 2011

TRUSTEES PRESENT: Archambault, Bailey, Buckman, Carbray, Drotch, Gandara, Jacobs, Kruger, McHugh, Nayden, Reviczky, Ritter, Shepperd and Treibick (via telephone)

STAFF PRESENT: Austin, Biancamano, Bull, Callahan, Carone, Chiaputti, Egeberg, Feldman, Gray, Herbst, Kirk, Locke, Lowe, Munroe, Nicholls, Orr, Roe, Roelfs, Roy, Rubin, Saddlemire, Singha, von Munkwitz-Smith, Sullivan, Summerer, Teal, Trutter, Urban, Walter, Walker, Wetstone, and Wynn

SENATE PRESENT: Korbel, Moiseff, and Zirakzadeh

Peter Drotch, Committee Chairman, convened the meeting of the Financial Affairs Committee on September 28, 2011 at 10:00 a.m. in room 7 of the Merlin D. Bishop Center on the University of Connecticut campus in Storrs, Connecticut. He directed the committee to the supplemental documents which included a revised agenda. The agenda was revised to add a project budget and to move the executive session until later in the meeting. On a motion by Trustee Shepperd and seconded by Trustee Archambault the revised agenda was accepted as presented.

Mr. Drotch directed the committee to revised agenda *Item #2, Approval of the Minutes of the Financial Affairs Committee Meetings of June 23, 2011 and August 3, 2011*. On a motion by Trustee Shepperd and seconded by Trustee Archambault the minutes were approved, as circulated.

Trustee Drotch directed the committee to revised agenda *Item #4, Contracts and Agreements for Approval*. On a motion by Trustee Shepperd and seconded by Trustee Archambault the item was recommended to the full Board for approval.

Agenda *Item #5, Annual Report of the Endowed Chair Program Entitled "Aetna English Chair in Writing"* was introduced and on a motion by Trustee Archambault and seconded by Trustee Shepperd the item was recommended to the full Board for approval.

Mr. Drotch stated that the academic year began with the University operating under a continuing budget resolution. The State's budget allocation to the University is confirmed and therefore, a budget for the year has been prepared for the Board's approval. Peter Nicholls, Provost and Executive Vice President for Academic Affairs, provided a presentation on academic priorities, faculty statistics; undergraduate and graduate education, research, public engagement, student life and economic development. Philip Austin, Interim Vice President for Health Affairs, provided a

presentation on the Health Center's goals; financial stability; long term future; and the clinical, research and educational enterprises.

Richard Gray, Vice President and Chief Financial Officer, provided a budget presentation. He stated that some faculty hiring had taken place, as well as academic enhancement in the living and learning communities and plant renewal in Storrs. Loss of \$14M had been projected due to the State fund sweep. However, due to increased enrollment, additional gifts and grants, better than anticipated summer school revenue and a successful athletic season revenues increased and expenses increased by an equal to or higher amount enabling a \$1.7 million surplus. The University does not carry a fund balance of more than approximately 29 days. The Health Center had a very good year regarding budget versus actual. There were some net revenue increases; some volume in collection; rates were higher; state support increased; and personal service costs decreased.

The FY12 budget presented a challenge as a year where the ARRA protection effort ceased; a 27 week payroll occurs; financial aid increased; the State was in a deficit budget position requiring a reduction in the University's state support; and a determination was made to absorb cuts to the budget with minimal impact on the student population. State support was reduced.

The FY11 budget expenses were \$1.56 billion and the budget was \$1.33 billion incurring a deficit of \$4.6 million for the Storrs based programs. This is an accounting issue because on a cash basis the University is expected to breakeven. This is due to planned transfers out of research funds and enrollment management funds to close the deficit.

Currently approximately 15,000 students receive some form of financial aid. There was an unplanned over commitment of \$6.8 million in need based financial aid. A fund balance of \$2 million from Enrollment Management is being utilized to offset the over commitment and the department is undergoing a policy and procedure review.

Pervasive action was necessary to close the deficit caused by the reduction in State support and the planned deficit. Some of these actions involved fund balance transfers, shifting of partially self-supporting areas off of University supported funds emphasizing self-sufficiency; removal of the inflationary increase for library acquisitions; removal of funding for Kuali Financial System from University supported budget (this funding will be provided via the UCONN 2000 equipment line reducing the availability of funds for other equipment purchases); increase of the vacancy management tax; stringent oversight of position refills; less support for non-academic areas; an increased emphasis on private fundraising as a primary source of support for the Athletics Division; and central recovery of research indirect costs which will impact the amount of funds available for investment in research initiatives. Reducing academic support was a last resort, however, it was unavoidable and students will be impacted. This reduction may result in fewer faculty, fewer graduate assistants, larger classes, and a higher student faculty ratio. Academic support was allocated a cut in the amount of \$18.9M: \$7.4M of this cut was realized in FY12 and the balance will be fully implemented in FY13.

Reducing the student to faculty ratio is a priority. The deficit reduction plan includes a 2.5% increase in tuition and mandatory fee rates starting in March in conjunction with an increased commitment to financial aid. Cost of attendance at UConn is considered affordable when compared

to peer institutions. President Herbst added that in the future the schools that UConn compares itself to will be updated to our aspirant peers.

The Health Center's FY12 budget plan includes a decrease in State support; 5% increase in tuition and fees; higher clinical revenues due to volume and rate; more research activity; two months of bargaining unit increases due to SEBAC; an increase in medical supply costs related to DaVinci instrumentation; nursing education and magnet status expenses; and clinical faculty recruitment in Urology, Musculoskeletal, Dermatology and Cancer Center.

Mr. Gray stated that the budget has been extremely challenging and people have recognized this and been enormously supportive and productive in their thinking. He thanked his staff for all their assistance in assembling the budget.

Trustee Drotch stated that in previous financial discussions of FY12 the question of a midyear tuition increase was left open. Mr. Gray stated that he had been informed that there was some concern by Board members regarding that option so it was dropped. The financial challenges faced by the University may make it necessary to bring various tuition scenarios to the Trustees for review at an upcoming meeting. One of the most significant challenges facing the University is in FY14 when collective bargaining increases are re-implemented for approximately \$20 million. Unless enrollment is to change drastically, it is important to think about a tuition increase in FY13 with an eye toward FY14 being a problem to minimize the effect over time.

Trustee Nayden asked when student loans are to be repaid; what is the outstanding balance for those loans that are supposed to be paid; and what is the delinquency and write off of those loans. Trustee Drotch asked whether student loans that are granted are held by the University or just facilitated with other financial institutions. Jeffrey von Munkowitz Smith, Registrar, responded that repayment of student loans begins six months after leaving the University. Average indebtedness of students who take loans while at the University is approximately \$23,000. The University does not hold loans for the majority of students. The University participates in the Perkins Loan program and has an obligation for collection of those loans. The majority of students borrow directly from the Federal government or various financial institutions and the payment is applied to their tuition and fees; upon graduation the student then repays that loan. Jean Main, Director of Financial Aid, offered to provide trend data related to cohort default rate.

Chairman McHugh thanked Trustee Drotch, Mr. Gray and the Financial Affairs Committee for their diligence during a difficult economic time and the presentation of a fair budget.

On a motion by Trustee Shepperd and seconded by Trustee Kruger revised agenda ***Items #6 and 7, Spending Plan for Fiscal Year 2012 for the University of Connecticut, Storrs and Regional Campuses; and Spending Plan for Fiscal Year 2012 for the University of Connecticut Health Center*** were recommended to the full Board for approval.

On a motion by Trustee Archambault and seconded by Trustee Shepperd, revised agenda ***Item #7, Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and Equipment Expenditures*** was recommended to the full Board for approval.

Mr. Drotch introduced the project budgets for approval. On a motion by Trustee Kruger and seconded by Trustee Shepperd revised agenda ***Item #s 8-13*** as listed were recommended to the full Board for approval. Project Budgets approved included: ***Avery Point Building 58 Demolition (Final: \$570,000); Castleman Building Drainage Repair (Final: \$550,000); Law School Renovations/Improvements – Knight Hall, Room 215 – Classroom Renovation (Final: \$575,000); Small Capital Projects Fund (Final: \$1,500,000); UHC Main Building Cooling System, Chiller Replacement (Final: \$4,945,000); and West Hartford Campus Renovations/Improvements – Electrical Switchgear Replacement (Revised Final: \$950,000).***

On a motion by Trustee Shepperd and seconded by Trustee Archambault the committee voted unanimously to go into Executive Session at 11:03 a.m. to discuss preliminary drafts and notes that the University has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure. Mr. Drotch requested that only Trustees and specific staff members attend Executive Session.

Trustees present for Executive Session were: Archambault, Bailey, Buckman, Carbray, Cloud, Drotch, Gandara, Jacobs, Kruger, McHugh, Nayden, Ritter, Shepperd, Smith (*via telephone*) and Treibick (*via telephone*).

University staff present for Executive Session were: President Herbst, Rachel Rubin, Richard Orr, Richard Gray, Barry Feldman, Peter Nicholls, Debbie Carone, Nancy Bull, Donna Munroe, John Saddlemire, Suman Singha, Jack Welsh and Andrew LeSueur. Assistant Attorneys General Michael Sullivan and Ralph Urban were also present.

Executive Session ended at 11:57 p.m. and the meeting was adjourned.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone
Secretary to the Committee

**CONTRACTS AGREEMENTS
FOR INFORMATION
NOVEMBER 10, 2011**

New Contracts with a value of \$500,000 - \$999,999 or services previously approved on a Project Budget

PROCUREMENT CONTRACTS FOR INFORMATION - NEW

CONSTRUCTION CONTRACTS

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Carlin Construction Company, LLC	\$4,905,250	06/06/11-06/01/12	UCONN 2000	Matthew Larson, Director of Procurement Services	Contractor will furnish and install all materials, labor and equipment required to provide addition to and renovation of the Floriculture Greenhouse Complex located at 1395 Storrs Road, Storrs Campus (Revised Final Budget approved by BOT on 04/13/11 - \$6,070,000 Project No. 901367)
2	Orlando Annulli and Sons, Inc.	\$873,765	05/31/11-11/15/11	UCONN 2000	Matthew Larson, Director of Procurement Services	Contractor will furnish and install all materials, labor and equipment required to provide window replacement and masonry restoration, to include facade cleaning and repair, and mechanical systems repair to Koon's Hall, Storrs Campus (Revised Final Budget approved by BOT on 06/23/11 - \$1,200,000)

PROCUREMENT CONTRACTS FOR INFORMATION - AMENDMENTS

PROFESSIONAL CONTRACT AMENDMENT

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
1	CUH2A, Architects Engineers Planners, P.C.	\$347,690 [Total Contract Amount \$1,865,531]	11/15/09-08/14/13	UCONN 2000	Matthew Larson, Director of Procurement Services	\$1,252,960	\$284,738	\$0	Architect will provide added scope of work for design and engineering services in connection with the Bousfield Building Renovations and Addition project located at the University of Connecticut, Storrs campus (Revised Planning Budget approved by BOT on 01/26/11 - \$22,500,000 Project No. 901388)