

4-13-2011

## Agenda and attachments, April 13, 2011

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University of  
Connecticut

*Board of*



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TRUSTEES

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Rome Commons Ballroom  
South Campus Complex  
Storrs, Connecticut

April 13, 2011

**OPEN SESSION**

Call to order at 11:00 a.m.

**EXECUTIVE SESSION**

1. Executive Session anticipated.
2. Close Executive Session.

**OPEN SESSION**

Reconvene in Open Session at 1:00 p.m.

1. Public Participation
2. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meetings of February 22 and March 23, 2011
  - (c) Recommendations for Designation as Board of Trustees  
Distinguished Professor, Academic Year 2010-2011 (Attachment 1)
  - (d) Consent Agenda Items:
    - (1) Contracts and Agreements for the Storrs-based programs  
and the Health Center (Attachment 2)
    - (2) 2011-2012 Rental Rates for Residential Properties and  
Northwood Apartments (Attachment 3)
    - (3) Promotion and Tenure Lists (Attachment 4)
    - (4) Sabbatical Leave Modifications (Attachment 5)
    - (5) Designation of Emeritus Status (Attachment 6)
    - (6) Graduate Certificate Program in Cognitive Science in the  
College of Liberal Arts and Sciences (Attachment 7)
    - (7) Modify the Current Individual Graduate School Fields of Study  
in French, Italian, German, Spanish, with the Comparative Literary  
and Cultural Studies Field of Study for the M.A. and Ph.D.  
Degrees by merging the above into a Newly-named Field of  
Study entitled Literatures, Cultures and Languages for the M.A.  
and Ph.D. Degrees (Attachment 8)

- (8) Modification of the Master of Science Program,  
School of Engineering (Attachment 9)
  - (9) Appointment of Dr. Baki M. Cetegen to the United  
Technologies Corporation Chair in Thermal Fluids  
Engineering in the School of Engineering (Attachment 10)
  - (10) Establish the United Technologies Corporation  
Professorship in Systems Engineering and Appoint  
Dr. Krishna R. Pattipatti to the United Technologies  
Corporation Professorship in Systems Engineering  
in the School of Engineering (Attachment 11)
  - (11) Appointment to Canvassing Board –  
Election of Alumni Trustee (Attachment 12)
- 3. President's Report
  - (a) Honorary Degrees
- 4. Academic Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Informational items:
    - (1) Five Year Review of Academic Centers and Institutes (Attachment 13)
    - (2) Tenure-Track Reappointments (Attachment 14)
    - (3) Revised Policy on Consulting for Faculty and Members  
of the Faculty Bargaining Unit (Attachment 15)
    - (4) Establishment of the Office of Institutional Effectiveness (Attachment 16)
- 5. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Revised Allocation of Bond Authorizations as set forth in the  
Sixteenth Supplemental Indenture (University of Connecticut  
General Obligation Bonds) (Attachment 17)
    - (2) Amendments to Conveyance and Environmental Agreements  
for Storrs Center (Attachment 18)
    - (3) Project Cancellation – Project Budget (Planning) for Bishop  
Renovation (Attachment 19)
    - (4) Project Budget (Final) for Community Professional Building  
Renovation – Avery Point Campus (Attachment 20)
    - (5) Project Budget (Final) for Hall Dorm Ground Floor Restoration (Attachment 21)
    - (6) Project Budget (Final) for Route 195 Sewer Line Replacement –  
Area I (Attachment 22)
    - (7) Project Budget (Final) for the University of Connecticut  
Health Center Academic Building (Bldg. A) Roof  
Replacement (Attachment 23)



- (8) Project Budget (Final) for the University of Connecticut Health Center Dental School *CONNcept* Clinic Renovation *[formerly GP3 Clinic]* (Attachment 24)
  - (9) Project Budget (Revised Final) for Storrs Hall Addition (Attachment 25)
  - (10) Project Budget (Revised Final) for West Hartford Campus Renovations/Improvements – Replace Boilers, 1800 Asylum Avenue (Attachment 26)
- 6. Health Center Report
  - (a) Report on Health Center activities
- 7. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
- 8. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Easement – Charter Communications (Attachment 27)
    - (2) Easement Related to Storrs Center (Attachment 28)
    - (3) Easement – Traffic Signal Pole, City of Stamford (Attachment 29)
    - (4) Easement Related to UTC Power Corporation’s Installation and Operation of a Fuel Cell on Depot Campus (Attachment 30)
- 9. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
- 10. Student Life Committee Report
  - (a) Report on Committee activities
- 11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
  - (b) Development Progress Executive Summary (Attachment 31)
- 12. Other
- 13. Adjournment

**PLEASE NOTE:** *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.*

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University of Connecticut  
*Office of the President*

Philip E. Austin  
*Interim President and  
University Professor*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Philip E. Austin 

RE: Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2010-2011

RECOMMENDATION:

That the Board of Trustees accept the recommendation of the Distinguished Professor Review Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: Carl M. Maresh (Department of Kinesiology), and John B. Morris (Department of Pharmaceutical Sciences).

BACKGROUND:

At its November 10, 1998 meeting, the Board of Trustees voted to establish the title, Board of Trustees Distinguished Professor. This designation is the University's highest academic honor.

Pursuant to the *By-Laws of the University of Connecticut*, the Board of Trustees Distinguished Professor award is reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut. Faculty chosen must have distinguished themselves in all three of these categories. The designation process occurs annually and includes a comprehensive peer review process.

After careful deliberations, the Review Committee recommended the two individuals named above. I am recommending that the Board of Trustees designate these faculty as its Distinguished Professors.

*An Equal Opportunity Employer*

Gulley Hall  
352 Mansfield Road Unit 2048  
Storrs, Connecticut 06269-2048

Telephone: (860) 486-2337  
Facsimile: (860) 486-2627

## **Carl M. Maresh**

Dr. Maresh is a Professor and the Department Head of Kinesiology at the University of Connecticut, Storrs. He holds joint appointments in the departments of Physiology & Neurobiology and Nutritional Sciences, and the Department of Community Medicine and Health Care at the UCHC. He is a Fellow in the American College of Sports Medicine and the National Academy of Kinesiology. His previous awards include the Outstanding Sport Scientist Award from the National Strength and Conditioning Association (1999), the Honor Award from the New England American College of Sports Medicine (2000), and the Outstanding Research Award from the Neag School of Education (2006). He was a Visiting Scientist in the Department of Pediatric Cardiology at the Baystate Medical Center, Worcester, MA in 1994, and a Visiting Professor in the Clinical Translational Research Center and Pediatric Exercise Research Center at the University of California, Irvine in 2007.

Professor Maresh is widely acknowledged as one of the leading exercise science researchers in the world. His contributions have influenced the way people view the importance of exercise across the lifespan, including the efficacy of structured exercise in clinically compromised cardiac patients. His research on physiological responses to different environmental stressors, and on optimum methods of hydration, has greatly increased our knowledge of how the human body manages such challenges and has wide applications to injury and disease prevention, and performance enhancement in both neutral and extreme environments. He has published over 200 peer-reviewed scientific manuscripts, delivered numerous contributed and invited presentations, and published more than 300 conference abstracts and proceedings. His extramural funding has been secured through grants from corporate, professional, and government agencies. As Director of the Human Performance Laboratory since 1985, and Department Head since 1998, Professor Maresh has been responsible for bringing together a truly renowned group of scientists who have contributed strongly to the department being consecutively ranked (2005 and 2010) as the #1 kinesiology doctoral program in the United States.

Professor Maresh is a motivating teacher and dedicated mentor, in teaching both undergraduate and graduate level courses. He has been a primary advisor to over 300 undergraduate students, major advisor and thesis advisor to over 70 completed master's degree students, and 19 completed Ph.D. students. He has supervised several senior undergraduate research projects and university scholar thesis work. He strongly promotes the research of his graduate students as evident in the 54 collaborative publications with his graduate students as first authors.

Professor Maresh has dedicated himself to comprehensively serving his department, the Neag School of Education, the university community, and his profession, by serving on numerous committees and special initiative projects. He was responsible for spearheading the merge of the Department of Physical Therapy with the Department of Kinesiology in 2008, effectively increasing the size of the department from 12 to 30 faculty and staff. He proudly served the University for 14 years (1989-2003), eight years as Chair (1995-2003), on the Institutional Review Board (IRB) for Studies Involving Human Subjects, and currently serves on the Connecticut Institute for Clinical & Translational Science IRB at the UCHC. He is a member of seven professional organizations, having served in several capacities including membership on a National Board of Trustees, as President, and Associate Editor. He has served as a manuscript reviewer for 22 scientific journals, and on Peer Review Panels for NIH, DOD and NASA. He is also a member of the Board of Advisors to the Korey Stringer Institute.

## **John B. Morris**

Dr. Morris is a Professor of Pharmacology and Toxicology at the University of Connecticut, School of Pharmacy. His previous awards include Paper of the Year and Outstanding Presentation in Risk Assessment from Specialty Sections of the Society of Toxicology, the premier society of toxicologists in the world. He has received the Best Teacher Award from the professional pharmacy class five times and has also received the Volunteer Recognition Award from the American Lung Association of Connecticut for his work on air pollution abatement in the State.

Professor Morris is a nationally-recognized leading authority on the effects of air pollutants on pulmonary health. As a translational basic scientist, his work focuses on developing methods by which the basic science toxicological data collected in experimental studies can most effectively be used to protect the public health. Using an integrative approach, he has relied on advanced mathematical model simulation techniques to define the amount of inspired pollutants that reach critical target cells in the lungs coupled with state-of-the-art measures of lung cellular insult. This provides a comprehensive understanding of the mechanisms through which pollutants injure airway tissues as well as determination of the levels of pollutants that would not be associated with harm. His work has been instrumental in guiding federal regulatory efforts to establish safe exposure levels for multiple air pollutants. He has published nearly one hundred scientific papers and reviews, and delivered numerous presentations to professional and civic groups. His work has been extensively funded by the NIH National Institute of Environmental Health Sciences, and is currently funded by the American Petroleum Institute and the NIH National Heart Lung & Blood Institute.

Professor Morris is an accomplished teacher who regularly teaches at graduate, professional pharmacy and undergraduate level. His undergraduate survey course in environmental health, Toxic Chemicals and Health, was first delivered more than twenty years ago and is a widely popular general education course. He has supervised seven Ph.D. students and two M.S. students. In addition he has mentored Post-Doctoral PhD and MD Fellows. He has also mentored a university scholar and multiple honors students, each of whose research has resulted in coauthored publications in major international toxicology journals.

Professor Morris has a long-standing history of service to the university, state and nation. He served for eight years as the Head of the Department of Pharmaceutical Sciences and is currently the Assistant Dean for Research in the School of Pharmacy. As an expert on the health effects of air pollution he has served on numerous advisory panels including the State of Connecticut Hazardous Air Pollutant Advisory Panel, the Connecticut Academy of Science and Engineering Study Committee on Asbestos in Schools, the State of Vermont Toxicology Advisory Committee, the State of Virginia Inhalation Toxicology Advisory Group, the U.S. E.P.A. External Advisory Panel on Methods to Derive Inhalation Reference Concentrations, and the National Academies of Science Committee on Emergency and Continuous Exposure Guidance Levels for Selected Submarine Contaminants. Dr. Morris has been particularly active in the Society of Toxicology, having served as the President of the Northeast Chapter and President of the Inhalation Specialty Section. He was recently elected by the membership to serve as the Treasurer for the Society.



PROCUREMENT

ENERGY CONTRACTS FOR APPROVAL

No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	UTC Power Corporation	\$4,000,000 <i>[Not to exceed]</i>	05/01/11-04/30/21	Multiple Sources	Matthew Larson, Director of Procurement Services	The School of Engineering spearheaded an effort for the university to lease a fuel cell to provide energy to the Longley Building and the Engineering Building on the Depot Campus. As a part of the School's research and teaching mission funds were secured from the American Recovery and Reinvestment Act (ARRA) and the Connecticut Clean Energy Fund (CCEF) to lease a United Technology Corporation (UTC) fuel cell for a ten year period. Energy savings should occur by purchasing energy from this fuel cell. This contract is for the purchase of energy from UTC for a ten year period. This agreement is the result of a public RFP process.

IT CONTRACTS FOR APPROVAL

No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Dell Marketing	\$3,000,000	07/30/10-07/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	Provide University with personal computers, servers, associated peripherals and support services. The University accesses the Connecticut State University System (CSUS) contract, 72ACX, which supplements UConn contract UC-PG112006.
2	Fiber Technologies Network, LLC	\$3,000,000	05/01/11-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	Provide dark fiber infrastructure via leases for the optical network and network backbones for the Connecticut Education Network and University Information Technology Services under Contract #MA-B-00-021.
3	Oracle	\$10,565,000	05/01/11-04/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	Provide consulting services to assist with various aspects of developing, implementing and supporting the following PeopleSoft System: PeopleSoft Human Resources Management System (HRMS), version 9.1 and ensuing releases. Engagement includes the development of the H.R. Datamart. This is the result of a public RFP process, which was reviewed, analyzed and selected by committee members representing multiple business areas.

TASK LABOR CONTRACT FOR APPROVAL

No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	G. Donovan Associates, Inc.	\$450,000	01/01/11-09/30/11	Multiple Sources	Matthew Larson, Director of Procurement Services	Task Labor contract to provide labor support to Academic Renovations Group on construction projects. Previous contract expired. This is a new contract for the continuation of services to provide skilled trade labor to perform construction and renovation general labor work in painting, masonry, electrical, plumbing, sheetmetal, carpentry and related work on an on-call basis. While this contract is for \$450K, all contracts combined for this vendor under this program total \$2,350,000.

PROCUREMENT - AMENDMENTS

IT CONTRACT AMENDMENTS FOR APPROVAL

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
1	AT&T	\$2,000,000	07/10/09-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	\$0	\$0	\$0	Provide network, security and infrastructure hardware for University Information Technology Services. <b>Amend to extend contract end date by two (2) years.</b>
2	Cambridge Computer Services	\$1,500,000	07/10/09-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	\$0	\$0	\$0	Provide network, security and infrastructure hardware for University Information Technology Services. <b>Amend to extend contract end date by two (2) years.</b>
3	Dyntek Services	\$1,500,000	07/10/09-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	\$0	\$0	\$0	Provide network, security and infrastructure hardware for University Information Technology Services. <b>Amend to extend contract end date by two (2) years.</b>
4	Ergonomic Group	\$2,500,000	07/10/09-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	\$0	\$76,096	\$0	Provide network, security and infrastructure hardware for University Information Technology Services. <b>Amend to extend contract end date by two (2) years.</b>

CONTRACTS AGREEMENTS  
FOR APPROVAL  
APRIL 13, 2011

REVISED

**IT CONTRACT AMENDMENTS FOR APPROVAL (Continued)**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
5	IBM	\$1,500,000	07/10/09-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	\$0	\$0	\$0	Provide network, security and infrastructure hardware for University Information Technology Services. <b>Amend to extend contract end date by two (2) years.</b>
6	IGX Global	\$1,500,000	07/10/09-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	\$0	\$1,803	\$0	Provide network, security and infrastructure hardware for University Information Technology Services. <b>Amend to extend contract end date by two (2) years.</b>
7	Impulse Point	\$1,500,000	07/10/09-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	\$412,491	\$0	\$0	Provide network, security and infrastructure hardware for University Information Technology Services. <b>Amend to extend contract end date by two (2) years.</b>
8	SHI	\$1,500,000	07/10/09-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	\$0	\$0	\$0	Provide network, security and infrastructure hardware for University Information Technology Services. <b>Amend to extend contract end date by two (2) years.</b>
9	Dell Marketing	\$4,000,000 [Total Contract Amount \$14.0M]	01/01/08-12/31/13	Multiple Sources	Matthew Larson, Director of Procurement Services	\$7,439,045	\$2,820,440	\$2,016,434	Provide personal computers, peripherals and support services to University faculty and staff. <b>Amend to exercise the second and final 2 year extension for UC-PG112006 and increase the total by \$4,000,000.</b>
10	Paciolan	\$230,000 [Total Contract Amount \$1,465,000]	12/11/09-12/10/15	Auxiliary Services	Jeffrey Hathaway, Director, Athletics	\$174,792	\$174,792	\$0	Provide software system for ticket sales for the Division of Athletics. The term of this contract is for one (1) five-year term with renewals only upon approval of the BOT and Office of the Attorney General. <b>Amend to increase by \$230,000 for additional services named in the Agreement but not currently used, and extend term one year.</b>
11	Vandis Inc.	\$1,500,000	07/10/09-06/30/13	Multiple Sources	David Gilbertson, Chief Information Officer	\$0	\$0	\$0	Provide network, security and infrastructure hardware for University Information Technology Services. <b>Amend to extend contract end date by two (2) years.</b>

**TRANSPORTATION CONTRACT AMENDMENTS FOR APPROVAL**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
1	Air Planning, LLC	\$500,000 [Total Contract Amount \$2.0M]	02/01/09-06/21/12	Auxiliary Services	Jeffrey Hathaway, Director, Athletics	\$935,812	\$562,775	\$0	Provide air charters for the Division of Athletics (predominantly for football and basketball team charters and recruitment travel). The initial term of this contract is for one (1) year with an option to extend for four (4) additional 1-year periods. <b>Amend to exercise second of four extensions.</b>
2	Constitution Coach	\$90,000 [Total Contract Amount \$1,197,211]	08/01/03-07/30/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,107,211	\$354,339	\$139,879	Provide coach bus service on an "as needed basis" for all University departments. <b>Amend to approve additional expenditure of \$90,000.</b>
3	Peter Pan Bus Lines	\$180,000 [Total Contract Amount \$1,275,812]	08/01/03-07/30/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,076,312	\$177,475	\$198,000	Provide priority coach bus service on an "as needed basis" for all University departments. <b>Amend to approve the expenditure of \$180,000.</b>
4	Peter Pan Bus Lines	\$105,000 [Total Contract Amount \$1,212,624]	08/01/03-07/30/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,002,924	\$316,608	\$139,697	Provide coach bus service on an "as needed basis" for all University departments. <b>Amend to approve additional expenditure of \$105,000.</b>



CONTRACTS AGREEMENTS  
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ON-CALL CONTRACT AMENDMENTS FOR APPROVAL									
No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
1	Carter & Burgess, Inc.	\$500,000 [Total Contract Amount \$5.8M]	08/01/11-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$5,256,826	\$1,961,076	\$1,330,720	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to increase contract value and extend contract for additional six (6) months. (Original contract approved by BOT on 06/24/08. Amendment to increase contract by \$2M approved by BOT 06/23/09. Amendment to increase contract by \$1.8M approved by BOT 8/10/10.)</b>
2	Diversified Project Management	\$1,500,000	08/01/11-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to extend contract for additional six (6) months. (Original contract approved by BOT on 06/24/08.)</b>
3	Keville Enterprises, Inc.	\$1,500,000	08/01/11-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to extend contract for additional six (6) months. (Original contract approved by BOT on 04/20/10.)</b>

CONTRACTS AGREEMENTS  
FOR APPROVAL  
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**ON-CALL CONTRACT AMENDMENTS FOR APPROVAL (Continued)**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
4	Liro Program & Construction Management, P.C.	\$1,500,000	08/01/11-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$152,758	\$152,758	\$0	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to extend contract for additional six (6) months. (Original contract approved by BOT on 06/24/08.)</b>
5	Navigant Consulting, Inc.	\$1,500,000	08/01/11-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to extend contract for additional six (6) months. (Original contract approved by BOT on 04/20/10.)</b>
6	Program Management Group	\$1,500,000	08/01/11-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to extend contract for additional six (6) months. (Original contract approved by BOT on 04/20/10.)</b>
7	STV Construction, Inc.	\$1,500,000	08/01/11-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to extend contract additional six (6) months. (Original contract approved by BOT on 06/24/08.)</b>

CONTRACTS AGREEMENTS  
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**ON-CALL CONTRACT AMENDMENTS FOR APPROVAL (Continued)**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
8	Strategic Building Solutions, LLC	\$800,000 [Total Contract Amount \$3.05M]	08/01/08-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$2,299,743	\$1,128,000	\$469,703	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to increase contract value and extend contract for additional six (6) months. (Original contract approved by BOT on 06/24/08 for \$1.5M. Amendment to increase contract by \$750k approved by BOT 8/10/10)</b>
9	The Louis Berger Group	\$1,500,000	08/01/11-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$0	\$0	\$0	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to extend contract additional six (6) months. (Original contract approved by BOT on 04/20/10.)</b>
10	Urban Engineers, Inc.	\$1,500,000	08/01/11-01/31/12	UCONN 2000	Matthew Larson, Director of Procurement Services	\$28,600	\$0	\$0	Professional Services On-Call Contract for Project Management Oversight (PMO), Construction Inspection, and Owner's Representative Services for use by the University for added outsourced support on a project by project basis for project management, construction inspection, and/or field observation, estimating, and scheduling. Program will be utilized for the ongoing project management and administrative support regarding all outstanding code discrepancy resolution issues. Engagements in the program shall be project/program assignment specific. <b>Amend to extend contract additional six (6) months. (Original contract approved by BOT on 04/20/10.)</b>

**RESEARCH CONTRACT AMENDMENT FOR APPROVAL**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
1	University of Virginia	\$3,402,716	07/1/06-06/30/11	Research Fund	Michael Crouch, Ed.D, Executive Director OSP	\$2,664,564	\$664,535	\$414,990	Amend contract to add an additional \$112,909 to the project for the National Research Center on Gifted and Talented Education allowing the Contractor to carry out a study of specific Schools of Excellence.

CONTRACTS AGREEMENTS  
FOR APPROVAL  
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REVISED

**MISC. CONTRACT AMENDMENTS FOR APPROVAL**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
1	Alstom Power	\$300,000 [Total Contract Amount \$1,150,000]	09/21/09-06/30/12	Auxiliary Services	Matthew Larson, Director of Procurement Services	\$537,000	\$170,000	\$367,000	Provide support services to the Central Utility Plant and Co-Gen Facility. <b>Amend to extend for 12 months and additional expenditure of \$300,000.</b>
2	Chickering Claims Administrators	\$120,000 [Total Contract Amount \$13.3M]	06/01/07-05/31/12	Auxiliary Services	Michael Kurland, Director of Health Services	\$13,300,000	\$3,000,000	\$3,000,000	Provide student health insurance for registered students of the University. Coverage underwritten by Aetna Life Insurance Co. <b>Amend to exercise fourth and final extension, increase by \$120,000 and extend the end date.</b>

**PROPERTY LEASE AGREEMENTS**

**UNIVERSITY AS LESSOR**

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Aquatic Sensor Network Technology, LLC	\$5,800	04/01/11-04/30/12	Operating Fund - General	Alexandria Roe, Director of University Planning	New TIP program lease for the period of 4/1/11-4/30/12. Lease of space not to exceed 290 square feet of office and laboratory space in the building known as the <b>Marine Science Building</b> on the <b>Avery Point Campus</b> , Groton, Connecticut. Rent will be at \$20 per square foot. This lease represents additional space to an existing TIP Program participant and tenant leasing space at Depot Campus. Lessee to continue to participate in the University Technology Incubator Program ( <b>TIP</b> ).
2	Bank of America	\$14,400 [plus percentage of sales]	02/01/10-01/31/12	Multiple Sources	Alexandria Roe, Director of University Planning	Lease of space for three (3) ATM machines which shall be located at the <b>Student Union Building, South Campus Lobby and Hilltop Apartment Complex</b> . After 5/31/2011, the <b>Hilltop Apartment Complex machine shall be relocated to the Wilbur Cross Building</b> and upgraded to a full-service machine. Lease will be for two (2) years at a rental rate of \$400/mo. for each machine (plus percentage of sales for each machine per month, with the exception of the upgraded Wilbur Cross machine after 6/1/2011 to offset tenants cost of machine upgrade) for the period 2/1/10-1/31/12. This resolution corrects and amends a Board of Trustees resolution with regard to Bank of America ATM's dated 2/18/2010 to coincide with RFP end date.
3	Fin's Savories, LLC d/b/a Fin's Café	\$3,600	10/01/10-12/31/11	Operating Fund - General	Alexandria Roe, Director of University Planning	Lease of cafeteria-type space located in the <b>Project Oceanology Building</b> on the grounds of the University of Connecticut - <b>Avery Point Campus</b> , Groton, CT. Lease will be for fourteen (14) months, for a total of \$3,750 for the lease term. The rent for the first three months is \$50/mo., and beginning Jan. 1, 2011 shall be increased to \$300/mo.
4	UTC Power Corporation	\$1	05/01/11-04/30/21	Auxiliary Funds	Matthew Larson, Director of Procurement Services	Lease of approximately 1,518 square feet of space adjacent to the C2E2 Building on the grounds of the UConn Depot Campus. Lease will be for ten (10) years for a total of \$1.00 received annually and \$10.00 for the Lease term. Lessee will install, operate and maintain one natural gas-powered fuel cell and cooling module at the site. University will purchase power from Lessee under a Energy Purchase Agreement for a term of 10 years. In the event the Energy Purchase Agreement terminates for any reason, the Lease will terminate simultaneously.

**UNIVERSITY AS LESSEE**

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	The Metro Realty Group, Ltd.	\$1,024,601	03/24/09-04/30/21	Operating Fund - General	Jeffrey P. Geoghegan, (UCHC) UMG / Dermatology	Currently the Dermatology Department's clinical space is leased at 21 South Road, Farmington, CT. With this Second Amendment, there will be a lease cost increase of \$244,096.21 over the life of the lease due to the addition of a Custodial/Lead Person for the 121 months remaining on the lease. This additional person was needed to meet the JCA requirements. UCHC Board of Directors approval 04/11/11.



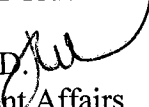


University of Connecticut  
*Division of Student Affairs*

Vice President's Office

John R. Saddlemire, Ed.D.  
*Vice President*

TO: Members of the Board of Trustees

FROM: John R. Saddlemire, Ed.D.   
Vice President for Student Affairs

DATE: April 13, 2011

RE: 2011-2012 Rental Rates for Residential Properties and Northwood Apartments

RECOMMENDATION:

That the Board of Trustees approves a 2.5% increase in Northwood Apartments rental rate and a 2.5% increase in the University's residential rental properties rate for the Fiscal Year 2011-2012.

BACKGROUND:

The residential properties portfolio consists of 14 houses and 4 two-family apartment buildings and Northwood Apartments in the Storrs area. These properties are managed by the Department of Residential Life.

The proposed increase for FY 2011-2012 for the Northwood Apartments rental rate and the residential rental properties is consistent with the Residential Life residence hall increase for FY 2011-2012 approved by the Board of Trustees in March 2011. Tenants in the residential properties are responsible for utility charges and heating costs. Northwood Apartment tenants are responsible for water and sewer only.

The above increases in the rental rates will allow the Department of Residential Life to continue repairs, code upgrades, preventive maintenance and various improvements. The increase, if approved, will go into effect beginning July 1, 2011. The residential properties rates will range from \$806 to \$1,830 per month. The Northwood Apartment rate will be \$1,005 and \$58.10 at this time for water and sewer.

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Facsimile: (860) 486-1194  
e-mail: john.saddlemire@uconn.edu  
web: www.studentaffairs.uconn.edu

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**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – COLLEGE OF AGRICULTURE AND NATURAL RESOURCES  
PRESENTED TO THE BOARD OF TRUSTEES – April 13, 2011**

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**PROMOTION TO PROFESSOR AND TENURE**

Joan Smyth	Pathobiology & Veterinary Science
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**PROMOTION TO PROFESSOR**

Kumar Venkitanarayanan	Animal Science
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**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

Richard Mancini	Animal Science
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Richard Bruno	Nutritional Sciences
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Yulia Kuzovkina-Eischen	Plant Science & Landscape Architecture
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**PROMOTION TO ASSOCIATE EDUCATOR-IN-RESIDENCE – NON-TENURE TRACK**

Juliana Barrett	Extension
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**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – SCHOOL OF BUSINESS  
PRESENTED TO THE BOARD OF TRUSTEES – April 13, 2011**

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**PROMOTION TO PROFESSOR**

Sulin Ba	Operations & Information Management
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**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

Assaf Eisdorfer	Finance
Robert Day	Operations & Information Management
Ramesh Sankaranarayanan	Operations & Information Management

**PROMOTION TO ASSISTANT PROFESSOR-IN-RESIDENCE – NON-TENURE TRACK**

Kathleen Bailey	Finance
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**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – NEAG SCHOOL OF EDUCATION  
PRESENTED TO THE BOARD OF TRUSTEES – April 13, 2011**

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**PROMOTION TO PROFESSOR**

Del Siegle	Educational Psychology
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**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

Thomas Levine	Curriculum and Instruction
Mary Truxaw	Curriculum and Instruction
Robin Grenier	Educational Leadership
Brandi Simonsen	Educational Psychology
Jason Stephens	Educational Psychology

**PROMOTION AND TENURE RECOMMENDATIONS**  
**UNIVERSITY OF CONNECTICUT – SCHOOL OF ENGINEERING**  
**PRESENTED TO THE BOARD OF TRUSTEES – April 13, 2011**

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**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

Yu Lei	Chemical, Materials & Biomolecular Engineering
Yong Wang	Chemical, Materials & Biomolecular Engineering
Baikun Li	Civil & Environmental Engineering
Mekonnen Gebremichael	Civil & Environmental Engineering
Bing Wang	Computer Science & Engineering
Tai-Hsi Fan	Mechanical Engineering
Ugur Pasaogullari	Mechanical Engineering

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – SCHOOL OF FINE ARTS  
PRESENTED TO THE BOARD OF TRUSTEES – April 13, 2011**

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**PROMOTION TO PROFESSOR**

Cora Lynn Deibler

Art and Art History

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT - SCHOOL OF LAW  
PRESENTED TO THE BOARD OF TRUSTEES – APRIL 13, 2011**

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**PROMOTION TO PROFESSOR AND TENURE**

Hillary Greene

**PROMOTION AND TENURE RECOMMENDATIONS**  
**UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES**  
**PRESENTED TO THE BOARD OF TRUSTEES — April 13, 2011**

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**PROMOTION TO PROFESSOR**

Kenneth Couch	Economics
Margaret Breen	English
Preston Britner	Human Development and Family Studies
Yael Sharvit	Linguistics
James Cole	Molecular and Cell Biology
David Goldhamer	Molecular and Cell Biology
Rachel O'Neill	Molecular and Cell Biology
Kyungseon Joo	Physics
Jennifer Sterling-Folker	Political Science
Hart Blanton	Psychology
Mark Robbins	Public Policy
Mary Bernstein	Sociology
Zhiyi Chi	Statistics

**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

Jennifer Tufts	Communication Sciences
Andrew Bush	Ecology and Evolutionary Biology
Delia Furtado	Economics
Scott Campbell	English
Anji Seth	Geography
Chuanrong Zhang	Geography
Emma Gilligan	History
Brendan Kane	History
Charles Lansing	History
Jon Gajewski	Linguistics
Heidi Dierssen	Marine Sciences
Kyu-Hwan Lee	Mathematics
Erin Terwilleger-Mullen	Mathematics

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES  
PRESENTED TO THE BOARD OF TRUSTEES — April 13, 2011**

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**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE (CONTINUED)**

Craig Nelson	Molecular and Cell Biology
Gustavo Nanclares	Modern and Classical Languages
Lionel Shapiro	Philosophy
Oksan Bayulgen	Political Science
Shayla Nunnally	Political Science
Inge-Marie Eigsti	Psychology
Amy Gorin	Psychology
Julie Wargo-Aikins	Psychology
Thomas Craemer	Public Policy
Kim Price-Glynn	Sociology

**Effective August 23, 2011:**

**TENURE AS PROFESSOR**

Edith Barrett	Public Policy
Kenneth Pugh	Psychology

**TENURE AS ASSOCIATE PROFESSOR**

Craig Tobias	Marine Sciences
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**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – MISCELLANEOUS PROMOTIONS  
PRESENTED TO THE BOARD OF TRUSTEES – APRIL 13, 2011**

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**MISCELLANEOUS PROMOTIONS:**

**University Libraries:**

Shelley Goldstein	FROM: University Librarian III	TO: University Librarian IV
Shikha Sharma	FROM: University Librarian III	TO: University Librarian IV
Valori Banfi	FROM: University Librarian II	TO: University Librarian III
Susanna Cowan	FROM: University Librarian II	TO: University Librarian III
Michael Howser	FROM: University Librarian II	TO: University Librarian III
Valerie Love	FROM: University Librarian II	TO: University Librarian III
Marisol Ramos	FROM: University Librarian II	TO: University Librarian III
Marsha Lee	FROM: University Librarian I	TO: University Librarian II
Diane Mather	FROM: University Librarian I	TO: University Librarian II



**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – SCHOOL OF NURSING  
PRESENTED TO THE BOARD OF TRUSTEES – April 13, 2011**

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**PROMOTION TO PROFESSOR**

Carol Polifroni

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT - SCHOOL OF PHARMACY  
PRESENTED TO THE BOARD OF TRUSTEES – April 13, 2011**

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**PROMOTION TO PROFESSOR**

Jose Manautou

Pharmaceutical Sciences

**PROMOTION TO ASSOCIATE CLINICAL PROFESSOR**

Philip Hritcko

Pharmacy Practice

**Effective October 18, 2010:**

**TENURE AS PROFESSOR**

Urs Boelsterli

Pharmaceutical Sciences

**PROMOTION AND TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT – SCHOOL OF SOCIAL WORK  
PRESENTED TO THE BOARD OF TRUSTEES – April 13, 2011**

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**PROMOTION TO PROFESSOR**

Louise Simmons

**PROMOTION TO ASSOCIATE PROFESSOR AND TENURE**

Catherine Medina

Assistant Professor



University of Connecticut Department of Human Resources
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
April 13, 2011 Board of Trustees Meeting

<b>SABBATICAL LEAVE MODIFICATIONS</b>				
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<b>CHANGES TO PREVIOUSLY APPROVED SABBATICAL LEAVES</b>			
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**University of Connecticut Department of Human Resources  
Emeritus Retirees  
April 13, 2011 Board of Trustees Meeting**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>RETIREMENT DATE</b>
Bender, Norman K.	Senr Coop Ext Educator	Department of Extension	Agriculture & Natural Resources	4/1/2011







University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Approval of a Graduate Certificate Program in Cognitive Science in the College of Liberal Arts and Sciences

RECOMMENDATION:

That the Board of Trustees approve a Graduate Certificate Program in Cognitive Science in the College of Liberal Arts and Sciences.

BACKGROUND:

The Graduate Certificate Program in Cognitive Science (GCPCS) is an interdisciplinary program designed for graduate students enrolled in PhD programs in Psychology, Philosophy, Linguistics, Communication Disorders, and related fields. The objectives of the GCPCS are (a) to enhance graduate education by providing PhD students with a self-designed and flexible but coherent course of study in the rapidly growing field of Cognitive Science, (b) to improve graduate placement and recruitment in the relevant PhD programs, and (c) to catalyze interdisciplinary research by promoting contact and collaboration between researchers from different academic departments. Students in the GCPCS will study current theories, methods, and research problems in Cognitive Science and be exposed to active research in Cognitive Science at the University.

A minimum of 12 credits are required to attain the Certificate. All courses will be offered at the Storrs campus.

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web: <http://provost.uconn.edu>



State of Connecticut  
Department of Higher Education

Department of Higher Education

**NEW PROGRAM LICENSURE SUMMARY SHEET**

<b>Applicant Institution</b> University of Connecticut		<b>Date Circulated &amp; Submitted to DHE</b>
<b>Name of Proposed Program</b> Cognitive Science Graduate Certificate		<b>Proposed Initiation Date</b>
<b>Is the proposed program a degree or certificate program?</b>  <input type="checkbox"/> <b>Degree:</b> Title of degree (and abbreviation):  <input checked="" type="checkbox"/> <b>Certificate: Graduate Certificate in Cognitive Science</b> Number of credits: 12		
<b>CIP Code No. (optional)</b>	<b>Title of CIP Code (Optional)</b>	
<b>Unit within the institution where the program will be housed:</b> Cognitive Science Program, College of Liberal Arts and Sciences		
<b>Location(s) where the proposed program will be offered (e.g., main campus, branch campus, or off campus):</b> Storrs		
<b>Mode of delivery of the proposed program:</b> <input checked="" type="checkbox"/> On ground <input type="checkbox"/> Online <input type="checkbox"/> Both		
<b>Institutional Accreditation</b> (if applicable): N/A Name of accrediting body:  Nature of action:		Date of last action:
<b>Program accreditation:</b> Do you anticipate that the proposed program will be accredited by a professional organization or other outside accreditor? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO If yes, name of accrediting body:  Will this program provide eligibility for a state approved or other licensed profession? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO If yes, identify:  If you have answered "YES" to either of the above questions please attach documentation that the proposed program meets the standards of the identified accrediting body or licensing agency.		
<b>Institutional Contact Person</b> Thomas Bontly	<b>Title</b> Associate Professor of Philosophy and Director, Cognitive Science Program	<b>Telephone:</b> 860-486-3822  <b>e-mail:</b> thomas.bontly@uconn.edu
<b>Department of Higher Education Project Manager</b> (To be assigned by DHE)		<b>Date application received by DHE:</b>

### Board of Governors' Standards

The purpose of this section is for you to provide us with highlights about the proposed program and, in particular, any ways it may differ from your current programs.

**Purpose and Objectives:** Provide a brief statement of the specific objective of the proposed program. If the program prepares students for a particular occupation(s), please identify. If the students served by this program will differ from your traditional student population, please indicate how.

Because cognitive science is an interdisciplinary field bridging several traditional academic disciplines (including linguistics, psychology, computer science, philosophy, anthropology, and neuroscience), students in these degree programs are likely to have some familiarity with work in cognitive science. The primary objective of the certificate will be to provide these students with an advanced course of study that complements and/or exceeds any introduction to cognitive science they might receive through their respective graduate degree programs. Specifically, the Cognitive Science Certificate will aim to:

- introduce the central theories, methodologies, and controversies in cognitive science today;
- provide a range of coursework in theoretical and experimental areas of cognitive science so that students can individualize their course of study and enter into greater depth on a topic that best complements their respective degree program;
- encourage students to engage in interdisciplinary research and teaching in cognitive science and its affiliated disciplines.

**Administration:** Provide the name and qualifications of the full-time administrator or faculty member who will be responsible for the day-to-day operations of the program. If this individual is to be hired indicate the time frame for hiring and proposed qualifications.

The certificate program will be administered by the director of the Cognitive Science Program (currently Thomas Bontly, Associate Professor of Philosophy). The director is appointed by the dean of the College of Liberal Arts and Sciences and is advised by the Cognitive Science Steering Committee, which consists of faculty members from departments affiliated with Cognitive Science.

**Faculty:** Will courses in this program be taught by current faculty members? ☒ YES ☐ NO  
If yes, how many current faculty members will be teaching in this program? approx. 35  
Do you anticipate hiring new faculty in the first 3 years of this program? ☐ YES ☒ NO

If yes, how many and what qualifications will you require?

**List all current in the chart on the following page.**

**Resources:** Library, learning resources, facilities or special equipment.

Does the institution anticipate that there will be new resources (other than faculty) required for the proposed program? ☐ YES ☒ NO

**Please complete the Resource Summary Sheet at the end of this application.**

**Curriculum:** List the courses and credits that comprise the program.

Course Number and Name	Credit Hours	Course Number and Name	Credit Hours
ANTH 5306. Human Behavior Ecology	3	PSYC 5140. Foundations of Neuropsychology	3
ANTH 5332. Cognitive Anthropology	3	PSYC 5251. Neural Foundations of Learning and Memory	3
ANTH 5335. Psychological Anthropology	3	PSYC 5285. Neurobiology of Aging Changes in Cognitive Processes	3
CDIS 5342. Aphasia	3	PSYC 5302. Adult Psychopathology	3
CDIS 5343. Cognitive-Communicative Disorders	3	PSYC 5303. Child Psychopathology	3
CDIS 5348. Language Disorders I: Birth to 5 Years	3	PSYC 5410. Advanced Developmental Psychology	3
CDIS 5349. Language Disorders II: School Age Population	3	PSYC 5420. Cognitive Development	3
CDIS 5376. Language Impairments and Literacy	3	PSYC 5440. Development of Language	3
CDIS 6370. Seminar in Psychophysics	3	PSYC 5450. Infancy and the Effects of Early Experience	3
COMM 5500. Nonverbal Communication	3	PSYC 5470. Current Topics in Developmental Psychology	3
COMM 5501 Seminar in Nonverbal Communication and Persuasion	3	PSYC 5512. Ecology of Language and Cognition	3
CSE 5705. Advanced Artificial Intelligence	3	PSYC 5513. Memory	3
CSE 5709. Natural Language Processing	3	PSYC 5514. The Mental Lexicon	3
LING 5110. The Acquisition of Syntax	3	PSYC 5515. Connectionist Models	3
LING 5120. Readings and Research in Acquisition	3	PSYC 5541. Reading Acquisition and Disorders	3
LING 5310. Phonology I	3	PSYC 5553. Introduction to Nonlinear Dynamics	3
LING 5320. Phonology II	3	PSYC 5554. Advanced Nonlinear Dynamics for the Behavioral Sciences	3
LING 5410. Semantics I	3	PSYC 5564. Dynamics of Language and Cognition	3
LING 5420. Semantics II	3	PSYC 5567. Cognition	3
LING 5510. Syntax I	3	PSYC 5568. Psychology of Language	3
LING 5520. Syntax II	3	PSYC 5569. The Neuropsychology of Language	3
LING 6210. Morphology	3	PSYC 5570. Current Topics in Cognitive Science	3
PHIL 5317. Seminar in Philosophy of Psychology	3	PSYC 5571. Sensation and Perception I	3
PHIL 5331. Seminar in Philosophy	3	PSYC 5572. Sensation and	3

of Mind		Perception II	
PHIL 5342. Seminar in Philosophy of Language	3	PSYC 5574. Control and Coordination of Action	3
<p>Briefly outline any program requirements:</p> <p>Continued from above:</p> <p>PSYC 5575. Introduction to Cognitive Systems, 3 credits</p> <p>PSYC 5583. Sentence and Discourse Processing, 3 credits</p> <p>PSYC 6733. Social Cognition, 3 credits</p> <p>Requirements:</p> <p>Students earning the certificate would take 12 credits of graduate work in cognitive science, including both:</p> <p>(1) COGS 5001, Cognitive Science Proseminar. COGS 5001 will serve as the core course for the Certificate and will be team-taught by the faculty affiliates of the Cognitive Science Program. Each week will investigate a different core topic in cognitive science and be led by a faculty member who is expert in that area. One faculty member will coordinate the Proseminar, arrange the presenters for each week, facilitate discussion, and determine final grades.</p> <p>(2) Three additional courses from the above list, including courses from at least two different academic departments or divisions.</p> <p>Students interested in obtaining the certificate will design an individualized plan of study in consultation with their major advisors. The plan of study will be submitted to the Cognitive Science Steering Committee, which will advise on the appropriateness of the selected courses for the student's stated goals, the availability of such courses, and the preparation necessary to undertake them. An external advisor will be appointed if appropriate.</p> <p>If a student wishes to count a course not on the list of qualifying cognitive science courses, he or she may petition the committee. As a rule, courses taken at other institutions will not count toward satisfaction of these requirements.</p>			

## List of Faculty

The following faculty members will teach in the proposed program:

Name and title	Degree	Full time or Part time or Adjunct	Area of Specialization
Eva Bar-Shalom, Research Scientist and Adjunct Assistant Professor of Linguistics	PhD	Adjunct	Language acquisition, Slavic linguistics, bilingualism and language attrition
Jonathan Bobaljik, Professor of Linguistics	PhD	Full time	Syntax, morphology, Arctic, Germanic & Slavic languages
Željko Bošković, Professor of Linguistics	PhD	Full time	Linguistics; syntax
Thomas Bontly, Associate Professor of Philosophy	PhD	Full time	Philosophy of mind, language, and science
Heather Bortfeld, Associate Professor of Psychology	PhD	Full time	Language development, developmental neuropsychology
James Boster, Professor of Anthropology	PhD	Full time	Cognitive anthropology
Andrea Calabrese, Professor of Linguistics	PhD	Full time	Phonological theory, historical linguistics, Romance linguistics
Roger Chaffin, Professor of Psychology	PhD	Full time	Music performance, expert memory
James Chrobak, Associate Professor of Psychology	PhD	Full time	Behavioral neuroscience
Austen Clark, Professor of Philosophy	PhD	Full time	Philosophy of psychology, perception
Carl Coelho, Professor of Communication Disorders	PhD	Full time	Neurogenic communication disorders
Marie Coppola, Assistant Professor of Psychology	PhD	Full time	Language development, sign language
Inge-Marie Eigsti, Assistant Professor of Psychology	PhD	Full time	Neuropsychology, language development
Jon Gajewski, Associate Professor of Linguistics	PhD	Full time	Semantics
James Green, Professor of Psychology	PhD	Full time	Developmental psychology
Bernard Grela, Associate Professor of Communication Disorders	PhD	Full time	Child language disorders
F. Elizabeth Hart, Associate Professor of English	PhD	Full time	Cognitive approaches to literature
Patrick Hogan, Professor of English	PhD	Full time	Cognitive literary/critical theory
Diane Lillo-Martin,	PhD	Full time	Language acquisition,

Professor of Linguistics and Board of Trustees Distinguished Professor			sign language
Michael Lynch, Professor of Philosophy	PhD	Full time	Metaphysics, philosophy of language, philosophy of mind
James Magnuson, Associate Professor of Psychology	PhD	Full time	Psycholinguistics
Etan Markus, Professor of Psychology	PhD	Full time	Behavioral neuroscience
Robert McCartney, Associate Professor of Computer Science and Engineering	PhD	Full time	Computer science, artificial intelligence
Emily Myers, Assistant Professor of Communication Disorders	PhD	Full time	Neuropsychology, language disorders
Letitia Naigles, Professor of Psychology	PhD	Full time	Language development
Felicia Pratto, Professor of Psychology	PhD	Full time	Social cognition
Pradeep Ramanathan, Assistant Professor of Communication Disorders	PhD	Full time	Memory and cognitive effects of traumatic brain injury
Jay Rueckl, Associate Professor of Psychology	PhD	Full time	Psycholinguistics
Donald Shankweiler, Professor Emeritus of Psychology	PhD	Retired (emeritus faculty)	Psycholinguistics, neuropsychology
Yael Sharvit, Associate Professor of Linguistics	PhD	Full time	Semantics
William Snyder, Associate Professor of Linguistics	PhD	Full time	Language acquisition, semantics, syntax
Tammie Spaulding, Assistant Professor of Communication Disorders	PhD	Full time	Language disorders, SLI (Specific Language Impairment)
Whitney Tabor, Associate Professor of Psychology	PhD	Full time	Psycholinguistics, self-organization
Eduardo Urios-Aparisi	PhD	Full time	Pragmatics, metaphor







# University of Connecticut

## Office of the Provost

Peter J. Nicholls  
Provost & Executive  
Vice President for  
Academic Affairs

April 13, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Modify the current individual Graduate School Fields of Study in French, Italian, German, Spanish, with the Comparative Literary and Cultural Studies Field of Study for the M.A. and Ph.D. degrees by merging the above into a newly-named Field of Study entitled Literatures, Cultures and Languages for the M.A. and Ph.D. degrees

### RECOMMENDATION:

That the Board of Trustees approve the merger of the currently-existing individual Fields of Study in French, Italian, German, Spanish, with the Comparative Literary and Cultural Studies Field of Study for both the M.A. and Ph.D. degree, under a newly-named Field of Study to be called Literatures, Cultures and Languages. It is further recommended that the existing Fields of Study be approved as Five Areas of Concentration. The areas of concentration will fall under the newly named Field of Study Literatures, Cultures and Languages. The five Areas of Concentration are: French and Francophone Studies, German Studies, Italian Cultural and Literary Studies, Spanish Studies, and Comparative Literary and Cultural Studies. Students will receive either an M.A. or a Ph.D. degree in Literatures, Cultures and Languages by specializing in one of these five areas of concentration.

### BACKGROUND:

The proposed name change from French, Italian, German, Spanish and Comparative Literary and Cultural Studies M.A. and Ph.D. degrees to Literatures, Cultures and Languages M.A. and Ph.D. will serve two academic objectives.

The proposed new name and program modification of Literatures, Cultures and Languages M.A. and Ph.D. will more accurately describe the multi-disciplinary nature of the study of national literatures, cultural studies and applied linguistics, and thus the intellectual and practical skill sets conferred to graduates. The graduates will continue to base their study in one of these five major fields. Students will be able to actively include in their program of study courses that transcend these disciplinary boundaries.

The proposed name change will facilitate and enhance overall student recruitment goals, including numbers of students, as well as their academic preparation and expressed interests in the M.A. and Ph.D. degrees.

Credit hours will be 24 for the M.A. and, for the Ph.D., 27-36 credit hours (beyond the masters' degree, in addition to 15 dissertation credit hours) as determined by the doctoral committee. No special infrastructure support will be requested or required.

*An Equal Opportunity Employer*

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AN APPLICATION FOR  
A PROGRAM MODIFICATION TO A (M.A. Ph.D) DEGREE PROGRAM IN  
(French, German, Italian, Spanish and Comparative Literary and Cultural Studies, currently  
under the Modern and Classical Languages Department

The Graduate School  
The University of Connecticut

Submitted to:

The Board of Governors for Higher Education

State of Connecticut

November 18, 2010

**1. PROVIDE A DESCRIPTION AND RATIONALE FOR THE PROGRAM MODIFICATION.**

**1. PROVIDE A DESCRIPTION AND RATIONALE FOR THE PROGRAM MODIFICATION.**

The department of Modern and Classical Languages plans to reconfigure the existing Fields of Study of Comparative Literary and Cultural Studies, French, German, Italian, and Spanish as follows into a new Field of Study with the name "Literatures, Cultures and Languages at the MA and Ph.D. level. [New Field of Study name: Literatures, Cultures, and Languages . . . M.A., Ph.D]. These two new programs will encompass the current 5 areas of concentration that are listed in the catalog as Comparative Literature, French, German, Italian and Spanish, these areas in turn will have the new names that better reflect the complexity of the fields as: French and Francophone Studies, German Studies, Italian Cultural and Literary Studies, Spanish Studies, and Comparative Literary and Cultural Studies. The degree programs stated above will be in one field of study:

Literatures, Cultures and Languages and the fields will be considered areas of concentration. We currently house all these fields in one department and now we will be considered statistically as one program. Our resources as a department will take advantage of bringing together all these fields, but courses will continued to be taught in the national or fields of study.

The new name and the curricular changes reflect the increasingly interdisciplinary direction our department has taken in the past few years and our current faculty interests, as well as our vision for the future. The new single and integrated Ph.D.—to be named "Literatures, Cultures and Languages" (LCL)—is thus a crucial and accurate indication of the proposed transformation.

The proposal is to integrate our Ph.D. and M.A. programs (French and Francophone Studies, German Studies, Italian Cultural and Literary Studies, Spanish Studies, and Comparative Literary and Cultural Studies.) into a single Field of Study in Literatures, Cultures and Languages, with areas of concentration in a primary field and a secondary field. Two examples:

A. Rather than a PhD "in Spanish," a graduate of the department as now conceived would receive a PhD in "Literatures, Cultures and Languages/with a primary area of concentration in Spanish and a secondary area of concentration in Caribbean Studies."

B. Rather than a PhD "in French," a graduate would receive a PhD Literatures, Cultures and Languages/with a primary area of concentration in French and a secondary area of concentration in Medieval Studies".

Reasons for the proposed changes:

1. To officially codify changes that we have been implementing in the department in the past few years. Indeed, this transformation towards integration and interdisciplinarity has existed for some time now as reflected, for example, by two core courses (Literary Theory, and Language Pedagogy) taken together by all of the department's doctoral students no matter what their particular language field, as well as by the existence of interdisciplinary seminars taught in English (but with the possibility for students to do readings and write papers in the national languages) to students from across the five distinct programs that, for now, compose the department. One of the main aspects of our proposal is to generalize the offering of such interdisciplinary seminars to be team taught by faculty members .
2. To capitalize on resources that already exist in the department as a result of the renewal of the faculty and of the paradigmatic turn towards interdisciplinarity that has been in evidence at least for the past decade in our field.
3. To produce much more competitive PhD students, much better prepared to respond to the rapid transformations in our field by providing them with a flexible and interdisciplinary program that does not sacrifice strength in a specific area. The inter-disciplinary coursework will lead to book projects and articles by our faculty. Teaching the interdisciplinary seminars in turn will increase interdisciplinary research productivity.

To produce much more competitive PhD students, much better prepared to respond to the rapid transformations in our field by providing them with a flexible and interdisciplinary program that does not sacrifice strength in a specific area. The inter-disciplinary coursework will lead to book projects and articles by our faculty, these in turn will increase interdisciplinary research productivity.

In short, the fields have changed to include Philology, Applied Linguistics and Literary Theory, but more commonly Cultural Studies, Film Studies and non-traditional languages in Comparative Literary and Cultural Studies programs. Many traditional departments of languages cannot adequately respond to this transformation in their current structure. Other universities have completed such transformations in their programs most notably Notre Dame University, now offering a Ph.D. in Literatures, Duke University's Romance Language Program, and the University of Massachusetts's Languages Literatures and Cultures programs (encompassing Asian Languages and Literatures, Comparative Literatures, German and Scandinavian Studies, Italian Studies, French and Francophone Studies and Spanish and Portuguese Studies) and also USC Department of Classics are some models we have looked at closely. The Department of Modern and Classical Languages at the University of Connecticut will be among these innovative universities at the forefront of transformations that are affecting the discipline throughout the country.

## 2. Organization

The field of study Literatures, Cultures and Languages will allow students in the M.A. and Ph.D. levels to choose fields of study that will incorporate the methodology and theoretical ideas of Literary Studies, Cultural Studies and Applied Linguistics. Therefore, the main building blocks of this field will be the Literary Theory course (that also incorporated Cultural Theory), the Methodologies of Applied Linguistics should reflect a structure that is defined by the interdisciplinary content of the field and faculty composition instead of the single department which offers the field of study. Students will be able to specialize in the subfield incorporating the National Literature and in the case of Spanish the larger cultural group of many nations with a shared common language. Students coming from a Comparative Cultural or Literary background could bring together two "National" languages, but within a single theoretical perspective.

### **Faculty subdivided in fields**

*Comparative Literature: Professors:* Aschkenazy, Berthelot, Celestin, DalMolin, Gomes, Miller, and von Hammerstein. *Associate Professors:* Bouchard, Caner, Finger, Johnson, Loss, Pardo, Travis, Urios-Aparisi, Wagner and Weidauer. *Assistant Professors:* Balma, Casamayor-Cisneros, Diaz-Marcos, Nanclares, Saugera, Terni, and Wogenstein. *Affiliated faculty in English and Philosophy.*

*French Literary and Cultural Studies:* Berthelot, Celestin, DalMolin, Guénoun, Saugera and Terni.

*German Literary and Cultural Studies:* Finger, von Hammerstein, Wagner, Weidauer and Wogenstein

*Italian Literary and Cultural Studies:* Balma, Bouchard, and Masciandaro,

*Spanish Literary and Cultural Studies:* Casamayor-Cisneros, Chinchilla, Diaz-Marcos, Gomes, Irizarry, Nanclares, Pardo, Seda, and Urios-Aparisi,

*Applied Linguistics:* Balma, Saugera, Urios-Aparisi and Wagner

*Classical Ancient and Mediterranean Studies:* Caner, Johnson, Miller, and Travis.

*Medieval Studies:* Berthelot

*Judaic Studies:* Aschkenazy, Johnson, Miller, and Wogenstein

*Latin American Studies:*, Casamayor-Cisneros, Chinchilla, Gomes, Irizarry, Pardo, and Seda.

*Literature and the Arts:* Casamayor-Cisneros, Finger, Terni, Travis and Urios-Aparisi.

*Film and Literary Studies:* Balma, Bouchard, Dalmolin, Irizarry, Loss, Nanclares, Pardo, Seda, Terni, Travis and Urios-Aparisi.

## **WHAT IS THE RELATIONSHIP OF THE MODIFICATION TO THE EXISTING APPROVED PROGRAM?**

The new field of study comprises all the fields of study mentioned, and also allows room for growth in related fields.

### 3. PROVIDE AN HISTORICAL CONTEXT FOR THE PROPOSED CHANGE.

1. To officially codify changes that we have been implementing in the department in the past few years. Indeed, this transformation towards integration and interdisciplinary study has existed for some time now as reflected, for example, by two core courses. Many departments across the nation are moving to consolidating their departments for statistical reasons,[as mentioned above Notre Dame University, University of Massachusetts and University of Toronto, CA.]. To capitalize on resources that already exist in the department as a result of the renewal of the faculty and of the paradigmatic turn towards interdisciplinary study that has been in evidence at least for the past decade in our field.

### 4. ENROLLMENT INFORMATION

#### a. Provide the enrollments in the current program's specialized courses for the past two years

Field 2010-09 <sup>1</sup>	M.A.	Ph.D.
Comparative Literary and Cultural Studies	11	11
French and Francophone Studies	11	15
German Studies	7	6
Italian Literary and Cultural Studies	9	3
Spanish Studies	17	12
Totals	55	47
Field 2010-11	M.A.	Ph.D.
Comparative Literary and Cultural Studies	5	17
French and Francophone Studies	8	13
German Studies	5	4
Italian Literary and Cultural Studies	5	6
Spanish Studies	9	13
Totals	32	53

#### b. Provide estimates of the enrollments in the proposed modified program for the next two years

Field LCL with areas of concentration in	M.A.	Ph.D.
Comparative Literary and Cultural Studies	3	2
French and Francophone Studies	7	8
German Studies	5	4
Italian Literary and Cultural Studies	8	4
Spanish Studies	9	13
Total numbers for LCL	32	31 <sup>2</sup>

<sup>1</sup> Numbers provided by Katrina Higgins, they include students who graduated in the Summer. They also include students who are ABD.

## 5. RESOURCES

- a. **Provide a statement of the costs of the existing program and the projected costs for the modified program, including any new costs.**

The program will take advantage of the existing costs in the Department of Modern and Classical Languages. Costs should remain equivalent. No additional resources required and hence no "cost-coverage plan" will be presented in this proposal.

- b. **Provide a list of all faculty who will be associated with this modified program. Include for each person: (i) degrees held and in what subject(s); (ii) current and prospective teaching assignments; (iii) their full- or part-time status; and (iv) whether they have an exclusive appointment with the off-campus program.**

All of the professors listed below are tenured or tenure-track full time members of the current MCL Faculty. Only Professor Aschkenasy is a Professor in Residence at UCONN-Stamford, but every Fall offers a graduate course in Storrs.

**Department Head:** Associate Professor Rosa Helena Chinchilla

**Professors:** Aschkenasy, Berthelot, Celestin, DalMolin, Guénoun, Gomes, Masciandaro, Miller, and von Hammerstein.

**Associate Professors:** Bouchard, Caner, Finger, Irizarry, Johnson, Loss, Pardo, Seda, Travis, Urios-Aparisi, Wagner and Weidauer

**Assistant Professors:** Balma, Casamayor-Cisneros, Diaz-Marcos, Nanclares, Saugera, Terni, and Wogenstein

**Faculty subdivided in fields**

**Comparative Literature:** *Professors:* Aschkenasy, Berthelot, Celestin, DalMolin, Gomes, Miller, and von Hammerstein. *Associate Professors:* Bouchard, Caner, Finger, Johnson, Loss, Pardo, Travis, Urios-Aparisi, Wagner and Weidauer. *Assistant Professors:* Balma, Casamayor-Cisneros, Diaz-Marcos, Nanclares, Saugera, Terni, and Wogenstein. **Affiliated faculty in English and Philosophy.** *English – Professors* Benson, Breen, Higonnet, Hogan, Peterson; *Associate Professors:* Coundouriotis, , Phillips, Sanchez, Winter *Assitant Professors* Bystrom **Philosophy- Professors** Kupperman

**French Literary and Cultural Studies:** Berthelot, Celestin, DalMolin, Guénoun, Saugera and Terni.

**German Literary and Cultural Studies:** Finger, von Hammerstein, Wagner, Weidauer and Wogenstein

**Italian Literary and Cultural Studies:** Balma, Bouchard, and Masciandaro,

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<sup>2</sup> These numbers are based on current TA funding, expecting those in the program will graduate.

*Spanish Literary and Cultural Studies:* Casamayor-Cisneros, Chinchilla, Diaz-Marcos, Gomes, Irizarry, Nanclares, Pardo, Seda, and Urios-Aparisi,

*Applied Linguistics:* Balma, Saugera, Urios-Aparisi and Wagner

*Classical Ancient and Mediterranean Studies:* Caner, Johnson, Miller, and Travis.

*Medieval Studies:* Berthelot

*Judaic Studies:* Aschkenasy, Johnson, Miller, and Wogenstein

*Latin American Studies:* Casamayor-Cisneros, Chinchilla, Gomes, Irizarry, Pardo, and Seda.

*Literature and the Arts:* Casamayor-Cisneros, Finger, Terni, Travis and Urios-Aparisi.

*Film and Literary Studies:* Balma, Bouchard, Dalmolin, Irizarry, Loss, Nanclares, Pardo, Seda, Terni, Travis and Urios-Aparisi.

Curricula vitae of each of the proposed program faculty are in Appendix A.

**c. Describe the library facilities available to the students enrolled in this modified program.**

(Homer Babbidge Library, with total holdings of over 2,000,000 volumes and subscriptions to nearly 17,000 journals, has a balanced collection for the study of both Spanish and Spanish American literature. There is a good collection of German and Austrian literatures of the eighteenth, nineteenth, twentieth, and twenty-first centuries, and a strong collection of modern drama. In addition, the Babbidge Library contains a representative collection of German motion pictures on DVD and videocassette, in addition of other languages. The library also has a well known Human Rights films collection which complements the archives human rights collections at the Thomas J. Dodd Research Center. The library has facilities for viewing VHS and foreign films DVDs (Multi-Region DVD players). Holdings in Italian literature and in intellectual history are extensive, especially in the modern period. For students with an interest in Judaism, the American Jewish Data Bank is hosted by the Center for Judaic Studies at the Thomas J. Dodd Research Center. Other holdings in modern and classical languages are sufficient for the pursuit of scholarly research in all languages and literature offered at the department.

Archives and Special Collections at the Thomas J. Dodd Research Center is the University's repository of original source materials for research in the humanities and social sciences. The Archives houses internationally-significant collections of rare books, personal papers and periodicals from Latin America, the Caribbean and Spain. Politics, commerce, social life, and other Latin American and Caribbean affairs of the 19th and 20th centuries are covered in over 2000 titles of the Latin American Newspapers Collection. Of special note are the Medina and Puerto Rican Collections, a rich collection of Mexican pamphlets and broadsides, and the Madrid Collection, which offers a wide range of historical materials on all aspects of that city. The approximately 2700 volumes of the Chile Collection reflect the history and politics of

Chile from the 16th century through 1940 with particular strength in the period from 1810 to 1940. In addition, the Spanish Periodical and Newspaper Collection created by the renowned bibliophile Juan Perez de Guzman y Boza, the Duque de T' Serclaes, represents a rare and important source on the complex history of Spain from the 18th to the 20th centuries, with the bulk of the collection dating from the early 1800 to 1840. The Italian Risorgimento collection covers the period of the unification of Italy from 1789 to 1870, and contains extensive primary source materials including books, pamphlets, periodicals and broadsides. The Dodd Center holds a large collection of books and pamphlets in French that cover historical and political events of the Bourbon Restoration, the Napoleonic Wars, and aspects of the French Revolution and French life, government and social conditions. The Human Rights Collections at the Dodd Center include the Human Rights Internet Publications Library, which includes pamphlets and reports from around the world, in French, Spanish, German, and other languages. Of special note is the Thomas J. Dodd Papers, which include significant documentation from the Nuremberg Trial which is also part of the Human Rights Collections.

Library and archival staff are more than happy to provide both research assistance and support to students and faculty. Three liaison librarians (for English/German, Italian/French and Spanish) offer research and instruction assistance, and curators at the Dodd Research Center are available to provide research consultations, instruction, orientation sessions, and tours.

**d. Indicate what provisions have been made for student access to adequate instructional facilities, equipment, academic advising, and other necessary instructional support services.**

These will remain in place as they currently exist. A Ph.D. Committee will be established at the departmental level to coordinate the integrated seminar and fellowships.

**6. PROFESSIONAL ACCREDITATION (IF APPLICABLE)**

[We do not believe that there will be any issues of professional accreditation]



## **Appendix A — Curricula Vitae of Faculty**

### **Appendix B – Approved modifications to the Graduate Catalog**

Copy Submitted and Approved by the Department of Modern and Classical Languages 10/25/10.

Further changes approved 12/3/2010

Contact person: Rosa Helena Chinchilla [rosa.chinchilla@uconn.edu](mailto:rosa.chinchilla@uconn.edu) 486-3313

University of Connecticut  
College of Liberal Arts and Sciences  
Committee on Curricula and Courses  
Proposed Changes to MCL Graduate Catalogue Copy

#### **Department of Literatures, Cultures and Languages (LCL)**

*Department Head:* Associate Professor Rosa Helena Chinchilla

*Professors:* Aschkenazy, Berthelot, Celestin, DalMolin, Guénoun, Gomes, Masciandaro, Miller, and von Hammerstein.

*Associate Professors:* Bouchard, Caner, Finger, Irizarry, Johnson, Loss, Pardo, Seda, Travis, Urios-Aparisi, Wagner and Weidauer

*Assistant Professors:* Balma, Casamayor-Cisneros, Diaz-Marcos, Nanclares, Saugera, Terni, and Wogenstein

The Department of Literatures, Cultures and Languages offers the degrees of Master of Arts and Doctor of Philosophy. The department offers courses of study leading to the Field of Study in Literatures, Cultures and Languages for both the Ph.D. and M.A, with areas of concentration in French and Francophone Studies, German Studies, Italian Literary and Cultural Studies, Spanish Studies, and Comparative Literary and Cultural Studies.

#### **The M.A. program**

M.A. programs typically require two years of full-time study, and are offered in French and Francophone Studies, German Studies, Italian Literary and Cultural Studies, Spanish Studies, Comparative Literary and Cultural Studies, and Classics and Ancient Mediterranean Studies. The Master's degree in any of these fields may serve as the initial, two-year segment of Ph.D. study in the department, or as a terminal degree. The M.A. degree can also provide the academic foundation for teaching at the secondary or primary school levels.

Master's degrees may be earned under either of two plans, as determined by the advisory committee. Either Plan A or Plan B may be used for completing the M.A. to enter the Ph.D. Plan A requires not fewer than fifteen credits of advanced course work and for students entering Fall 1998 or later, not fewer than nine additional credits of Master's Thesis Research (GRAD 5950 or GRAD 5960), and the writing of a thesis. Plan B requires not fewer than twenty-four credits of advanced course work, a final examination, but no thesis. In either case, advisory committees may require more than the minimum number of credits.

Students following either M.A. plan must complete the required number of course credits—including a course in Literary Theory and in Foreign Language Teaching Methodology, as approved by the student's committee. The Film Theory and History (LCL 5XXB) course may substitute for the Introduction to Literary Theory course (CLCS 5302) with the permission of the student's advisory committee. Students who seek state teaching certification should elect the Teaching Methodology course, and consult with the NEAG School of Education concerning other inclusions; further courses in Education are ordinarily required.

**Admission to the M.A. and Ph.D. Programs** Prospective applications for admission to M.A. or Ph.D. study, together with letters of recommendation, a personal statement, and a critical and analytical original paper should reach Storrs by January 15 to be competitive for assistantships and fellowships for the Fall semester. Applications at other times may be considered for funding. There is no separate application for teaching assistantships. Admission is competitive, and qualifying graduate students are financially supported as teaching or research assistants.

### **The Ph.D. in Literatures, Cultures and Languages**

The department offers a program of Ph.D. study that permits concentration in one of five primary fields: French and Francophone Studies, German Studies, Italian Literary and Cultural Studies, Spanish Studies, or Comparative Literary and Cultural Studies. Such Ph.D. study also permits, with approval, the incorporation of one of the following secondary departmental fields of study: Applied Linguistics, Classics and Ancient Mediterranean Studies, Digital Culture and Media Studies, or History and Theory of World Cinema; or a secondary field devised in collaboration with another university department or program such as Medieval Studies, Human Rights, Women's Studies and Judaic Studies.

The department prepares Ph.D. students to engage in the interdisciplinary study of literatures, cultures and languages by integrating various regional cultures, historical periods, and methodologies essential to literary and cultural scholarship. The areas of research of the department's faculty are complementary and interconnected in a broad range of research fields and allow for a design that simultaneously focuses on particular literatures, cultures and languages and interdisciplinary areas of study in the context of emerging global communities.

Formal acceptance into the Ph.D. program ordinarily assumes completion of M.A. requirements in this department or the achievement elsewhere of qualifications judged appropriate by the Ph.D. admissions committee. Students with an earned Master's degree in a relevant field, or making substantial progress toward such a degree, and whose graduate record shows sufficient promise in analytical work, may apply for admission to the doctoral program.

Students in the Ph.D. program must complete, in one of the five primary fields listed below, at least 12 credits of graduate coursework, and at least six credits in a secondary field defined and approved by the candidate's advisory committee. Some requirements may be completed in the M.A. program. The secondary field should be formally identified early in the course of study. It can consist of one of the department's areas of expertise summarized below or another field approved in collaboration with another university department or program. Every plan of study is individually structured and monitored by a committee chosen by the student in consultation with his or her main advisor.

M.A. students anticipating formal acceptance as Ph.D. candidates should complete during the first year of graduate study one 3- credit seminar course in Literary Theory (CLCS 5302), one 3-credit course in Language Teaching Methodology (6XXY), and two one-credit courses in Fields and Research (5xxy). Students who are accepted with an earned M.A. will need advisory committee approval of equivalent

satisfaction, if any, of these requirements. Courses in Film Theory and History (5xxb), and Issues in Applied Linguistics: Methods and Approaches to Second Language Acquisition (5xxx) may be required during the second year, depending upon the nature of the primary field of study to be pursued. All Ph. D. students must take the 3-credit Interdisciplinary Seminar LCL 6xxz, and are strongly encouraged to register for additional interdisciplinary seminars.

Advanced knowledge in one language, culture and literature other than English and reading knowledge of a second are required for the Ph.D. Students anticipating study in the Middle Ages or the Renaissance should demonstrate reading competence in Latin and in one modern language. For Comparative Literary and Cultural Studies special requirements see section below.

Further details on requirements for a specific field of specialization can be found in the Graduate Handbook of the Department of Literatures, Cultures and Languages, which can be obtained from the Graduate Catalog and other publications of the Graduate School. Additional information about the department is available on the departmental website: <http://languages.uconn.edu/>.

**Primary Fields of Departmental Scholarly Expertise:** These permit the development of graduate-level research programs that reflect substantial departmental groupings of both course offerings and faculty expertise and research interest in a variety of constituent areas.

**French and Francophone Studies** reflects the diversity of French literary and cultural production within the contexts of European and world cultures. There is an interdisciplinary focus, involving faculty with specializations in literary theory, psychoanalysis, philosophy, feminism, cultural anthropology, Francophonie and discourse analysis, as well as related fields such as film, media and the visual arts, and gender and cultural studies. The faculty also supports a vigorous language and pedagogy program leading to certification for secondary school teaching.

**German Studies** offers seminars in German literature, culture, and linguistics leading to the Ph.D. degree. Interdisciplinary studies in Comparative Literature, Linguistics, Women's Studies (WS Certificate), and Human Rights (HR Certificate), among others, are available in cooperation with other sections and departments. The graduate program strongly supports an interdisciplinary, intercultural, and transnational approach to German Literary and Cultural Studies, including trans-disciplinary literary and cultural theory, "interkulturelle Germanistik," applied linguistics, literature and other arts, and anthropological, historical and philosophical inquiries into literary studies, beginning with the 18th century. Additional expertise: Black-German Studies, Gender Studies, Film and Media Studies, German-Jewish Studies, Literature/Culture and Philosophy, and Interarts Studies.

**Italian Cultural and Literary Studies** offers graduate courses in all periods from the Middle Ages and Renaissance to the present. Their interdisciplinary, intercultural, and transnational approach encompasses the Italian Diaspora to the Americas, Mediterranean Studies, Ethnic and Gender Studies, and Film and Media Studies. Students are strongly encouraged to draw upon the resources associated with the Emiliana Pasca Noether Chair for Modern Italian History and interdisciplinary programs such as Medieval Studies, Women Studies, and the program in Comparative Literary and Cultural Studies.

**Spanish Studies** reflects the importance of Spanish culture and literature in the world including Latin America, Spain and other Spanish speaking regions of the world. The faculty members' specializations reveal an interdisciplinary and trans-regional approach to all the fields, epochs and genres of the Latin American and Peninsular world. The fields of study comprise Spanish Literary and Cultural Studies, Golden Age, Colonial, 18th-21st -century Peninsular, 19th-21st -century Latin American, and Caribbean Literary and Cultural Studies. The students are encouraged to approach these topics from a diversity of

theoretical fields such as Gender Studies, Film and Media Studies, Theater and Performance Studies and Applied Linguistics.

**Comparative Literary and Cultural Studies,** The graduate program offers students the opportunity to develop an interdisciplinary, transcultural study of literatures and the arts.

Students design their own plan of study in consultation with a group of faculty from the program and/or other academic departments. The flexible curriculum allows students to pursue their interests in areas of traditional comparative study as well as in a wide range of emerging fields of research.

In addition to English, proficiency in at least two languages, with advanced proficiency in one of them, is required for the M.A; advanced proficiency in at least two languages for the Ph.D. Students will be expected to pursue graduate study in at least two different fields in the M.A. program and three fields in the Ph.D. program

Affiliated members:

*English – Professors* Benson, Breen, Higonnet, Hogan, Peterson; *Associate Professors:* Coundouriotis, , Phillips, Sanchez, Winter *Assitant Professors* Bystrom  
*Philosophy- Professors* Kupperman.

### **Secondary Fields:**

**Applied Linguistics.** Areas of pedagogical, interpretive and quantitative approaches to language, literature, media and cultural studies. Among others, the specialty areas are Second Language Acquisition; Applied Cognitive Linguistics; Humor studies; Language Contact; Bilingualism; Language Acquisition; Pragmatics and Semiotics.

**Classics and Ancient Mediterranean Studies.** This specialization provides students with the knowledge and skills necessary to study and teach the languages and cultures of the Ancient Mediterranean. Areas of faculty specialization include the Greek, Hellenistic and Roman worlds, Second Temple and Rabbinic Judaism, and the world of Late Antiquity.

Other secondary areas may include: World Cinema, Digital Culture and Media Studies and others to be designed in consultation with programs outside the department such as, Gender Studies, Human Rights, Judaic Studies, Medieval Studies. Students may design additional secondary fields in consultation with their advisor and Ph.D. committee.

### **New Courses in LCL**

#### **CLCS 5302 Introduction to Literary Theory**

Introduction to the most important issues at the core of modern and contemporary literary theory structured as a historical survey of different theoretical paradigms or schools. Topics may include literary representation, the relationship between literature and society; interpretation and meaning, ideology, etc. Special emphasis on the aims of theory, its object, and its status vis à vis other disciplines of the human sciences. 3 credits. Seminar.

#### **LCL 5xxb Film Theory and History.**

3 credits. Seminar. Interdisciplinary study of world cinema through film history and theory. National cinemas and film genres, including early cinema, Neorealism, Film Noir, the Western, Political Film, Documentary..

**LCL 6 xxy Research in Language, Culture and Literature Studies.**

1 credits. Lecture. Open to graduate students in LCL, others with permission.

Theory and practice of research methods in the fields of literature, language and culture. (May be repeated for credit).

**LCL 6xxx Advanced Theory for the Study of Literatures, Cultures and Languages**

3 credits. Seminar. Open to graduate students in MCL, others with permission.

Historical interdisciplinary and contemporary theoretical parameters and models in literary, visual and cultural studies at the advanced level.

**LCL 6XXX: Methods and Approaches to Second Language Acquisition**

3 credits. Seminar. Open to graduate students in LCL, others with permission.

Approaches to Foreign Language teaching and learning. Focus on the nature of language learning and methods and approaches to teaching.

**LCL 6XYZ Interdisciplinary Topics in Literatures, Cultures and Languages**

Seminar, 3 credits. Prerequisite: CLCS 5302, FREN 5311, GERM 5385, ILCS 5337, or SPAN 5323.

Open only to Ph.D. students, or with permission of instructor. Repeatable with change of topic.

Interdisciplinary LCL seminar team-taught by at least two faculty with different areas of specialization within LCL. Variable topics, depending on faculty. Offered once per year (Fall or Spring). Although the course is taught in English in order to facilitate working across different languages, students are expected to conduct research and/or write seminar papers in their major field language(s), as appropriate. Candidates for the PhD in Literatures, Cultures and Languages are required to complete LCL 6xyz at least once before advancing to candidacy.

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**Approved in CLCS Faculty Meeting. 10/14/10, Endorsed by English Department 10/13/10.**

**Approved by CLCS English Faculty Nov. 17/2010. Endorsed by CLAS CCC Nov 2/2010**  
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University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

April 13, 2011

TO: Members of the Board of Trustees  
FROM: Peter J. Nicholls, Provost   
RE: Modification of the Master of Science Program, School of Engineering

RECOMMENDATION:

That the Board of Trustees approves modification of the Masters of Engineering Program, School of Engineering.

BACKGROUND:

The proposed modification is to accept 12 credits of Master's-level course work completed in an accredited, non-UConn graduate program in Engineering, to be applied towards the MS degree in Engineering at UConn. In accepting 12 transfer credits, we will enable our students to participate in dual MS degree programs with leading international partner institutions. Participating students will benefit from the enhanced opportunities to pursue academic studies and research at international partner institutions through which they will be exposed to different perspectives, topics and techniques. The partner institutions will offer high-quality instruction and training to our students. The proposed modification is aligned closely to the academic goals of our institution to provide opportunities for global studies and engagement for our students.

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AN APPLICATION FOR  
A PROGRAM MODIFICATION TO A MASTER OF SCIENCE DEGREE PROGRAM IN  
ENGINEERING

The Graduate School  
The University of Connecticut

Submitted to:

The Board of Governors for Higher Education  
State of Connecticut

March 9, 2011



## **1. PROVIDE A DESCRIPTION AND RATIONALE FOR THE PROGRAM MODIFICATION.**

Description: The proposed modification is to accept 12 credits of Master's-level course work completed in an accredited, non-UConn graduate program in Engineering, to be applied to the MS degree in Engineering at UConn. In accepting 12 transfer credits, we will enable our students to participate in dual Master's degree programs.

Rationale: Our students need and wish to pursue opportunities for study and research at international partner institutions that offer high-quality instruction and training where they will be exposed to a wider array of perspectives, topics and techniques. They will offer our students possibilities for increasing their competitiveness in the global job market through their greater exposure to engineering problems, systems and solutions.

Given the inherent differences among academic institutions and the unique academic and research resources available at each of them, students will benefit from the added exposure to multiple ways of teaching and expertise made possible by study and research at a different institution, since the particular resources of institutions can result in courses focused on different aspects of complex problems. To this end, participating students will be encouraged to take advantage of the differences rather than the similarities between UConn and its partner institutions.

Studying overseas can enable students to be exposed to different types of engineering research projects, experience alternative ways of solving engineering research problems, become more aware of the globalization of the engineering field as well as learn how to work with people and engineers from other cultures.

The implementation of this program both fulfills and enhances the education missions for the School of Engineering as well as fulfills and enhances the Research, Scholarship, and Creativity Activity, goal 3, of the Strategic Plan.

This program will also help expand UConn's reputation on the international stage as well as to help elevate the School of Engineering to be one of the top engineering schools in the nation.

Creating this type of international dual-degree program is in line with the goal of the Universitas 21 consortium, of which UConn is a member. The success of this program will serve as an important test case and a model for other future similar programs in the School of Engineering as well as other colleges. This particular FIPSE program will also help expand UConn's commitment to the Universitas 21 agreement from undergraduate education to graduate education.

## **2. WHAT IS THE RELATIONSHIP OF THE MODIFICATION TO THE EXISTING APPROVED PROGRAM?**

All requirements for the existing program remain in effect and unchanged. All that will change is the number of transfer credits permitted from high-quality international institutions.

### **3. PROVIDE AN HISTORICAL CONTEXT FOR THE PROPOSED CHANGE.**

The immediate impetus for this program modification request is the need to implement the terms of a US Department of Education grant, through the Fund for the Improvement of Post-Secondary Education (FIPSE), received by the School of Engineering, to develop dual Master's programs with the polytechnic universities of Milan (UM) and Madrid (UPM). UConn students participating in the program will earn a Master of Science degree in Engineering from UConn and a second Master's degree ("Laurea magistrale" in Italy or "Maestria degree" in Spain) from the European institution in which they study for one academic year. The 12 credits transferred to UConn from either UP or UPM will constitute fewer than one-half the total required for granting a UConn degree. For their part, UP and UPM will accept 12 UConn credits to count toward the Master's-level degree they will grant to our students, with the remaining credits to be earned at the European institution. A Memorandum of Understanding laying out the terms of the dual Master's program was negotiated as part of the FIPSE-Atlantis grant application process by the School of Engineering in collaboration with Dr. James Henkel, then associate dean of the Graduate School. A copy of that MOU is attached.

The objective of dual degree program, which has a European counterpart funded through the European Union's Atlantis Programme, is to create graduate engineers with multiple skills, among which are:

- a) The awareness of the global challenge posed by the interdisciplinary nature of energy sustainability as an all pervasive background for the innovative technologies and processes of the industrial world;
- b) The habit of logical thinking that can be developed through analytical training oriented toward applications;
- c) An international awareness that can only be built on a deep and prolonged experience abroad having to fully comply with the rules and behaviours of different institutions embedded in different cultures. This international experience will also enable participants to incorporate collaboration and innovation into their skill set. Language proficiency will be part of it, particularly as far as the art of learning in other languages is concerned.

In this program, UConn MS students in engineering will study for one academic year in the engineering Master's programs of UM and UPM, earning 12 graduate credits that will be applied to their UConn Master's degrees. Qualified students from UM and UPM will be admitted as degree-seeking students to the UConn Master's

program in Engineering, transferring 12 credits of course work taken at their home institutions to their UConn plans of study. As part of the proposal to the US Department of Education, a list of articulated courses that would count for credit at UConn was drawn up and an mechanism for approving additional equivalent courses in the future developed.

Under the terms of the FIPSE-Atlantis grant, 12 UConn MS students in Engineering will participate in this program (4 per year for the next 3 years), and 7-8 students each from UP and UPM will study for MS degrees at UConn.

Although the impetus for this program modification request is the FIPSE-Atlantis grant, we request that the modification apply to all MS program options in Engineering, to afford our students greater educational opportunities.

#### 4. ENROLLMENT INFORMATION

- a. Provide the enrollments in the current program's specialized courses for the past two years

In 2009 there were 257 Master's Degree students enrolled in the School of Engineering and there were 241 in 2008.

- b. Provide estimates of the enrollments in the proposed modified program for the next two years

In the next two years there will be eight additional students enrolled in the Master's Degree Program in the School of Engineering.

#### 5. RESOURCES

- a. **Provide a statement of the costs of the existing program and the projected costs for the modified program, including any new costs.**

There will be no new costs associated with this program beyond what has already been allocated to the Master's program in the School of Engineering. This program will not incur additional faculty, room or administrative support costs. Since no additional resources are required for the implementation of this program, we are not including a cost-coverage plan.

- b. **Provide a list of all faculty who will be associated with this modified program. Include for each person: (i) degrees held and in what subject(s); (ii) current and prospective teaching assignments; (iii) their full- or part-time status; and (iv) whether they have an exclusive appointment with the off-campus program.**

Mun Choi, PhD Princeton University in Mechanical & Aerospace Engineering

Baki Cetegen, PhD California Institute of Technology in Mechanical Engineering

Wilson Chiu, PhD Rutgers University in Mechanical Engineering

Reda Ammar, PhD University of Connecticut in Computer Science and Engineering

Elizabeth Mahan, PhD University of Texas Austin in Communication

Each Engineering faculty person will nominally teach one or two courses per year in addition to their administrative duties. Professor Mahan's responsibilities are entirely administrative.

All are full-time employees at The University of Connecticut and they do not have an exclusive appointment with the EAGLES program.

Curricula vitae of each of the proposed program faculty are in Appendix A.

**c. Describe the library facilities available to the students enrolled in this modified program.**

The students will have full access to all library facilities at the University of Connecticut.

**d. Indicate what provisions have been made for student access to adequate instructional facilities, equipment, academic advising, and other necessary instructional support services.**

The students will have full access to instructional facilities, equipment, academic advising and other necessary instructional support services.

**6. PROFESSIONAL ACCREDITATION (IF APPLICABLE)**

Not Applicable






University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls 

RE: Appointment of Dr. Baki M. Cetegen to the United Technologies Corporation Chair in Thermal Fluids Engineering in the School of Engineering

RECOMMENDATION:

That the Board of Trustees approve the appointment of Dr. Baki M. Cetegen to the United Technologies Corporation Chair in Thermal Fluids Engineering in the School of Engineering.

BACKGROUND:

The United Technologies Corporation Chair Professor in Thermal Fluids Engineering in the School of Engineering was established through funds made available by the UTC Corporation in an agreement dated March 21, 2000. In December 2010, a committee of peers evaluated three faculty nominees for this position. The committee made a unanimous decision to recommend Dr. Cetegen for the UTC Chair in Thermal Fluids Engineering. They acknowledged his outstanding accomplishments in thermal engineering and combustion research, graduate and undergraduate teaching, service to the technical community, collegiality within the University and with external organizations, and leadership and vision for establishing the Pratt & Whitney Center of Excellence and the UTC Engineering Ambassadors program at UConn.

Professor Cetegen received his Ph.D. in Mechanical Engineering from California Institute of Technology in 1982, after which he conducted innovative research in turbulent combustion modeling at the University of California, Irvine. Since becoming a faculty member of the Department of Mechanical Engineering at the University of Connecticut in 1987, Professor Cetegen has made outstanding contributions to the thermo-fluid branch of mechanical engineering. His research not only advanced the state of knowledge but also provided new perspectives and insights into previously unexplained phenomena in microwave plasmas, hydrodynamics and gas turbine processes. He has published more than 75 articles in archival journals with the highest impact factors and over 70 refereed conference proceedings. He was elected as a Fellow of the American Society of Mechanical Engineers and as a member of the CT Academy of Science and Engineering. He serves as the Chairman of the Executive Committee of the Eastern States Section of the Combustion Institute. Dr. Cetegen is the recipient of more than 25 extramural research awards from federal agencies (National Science Foundation, Department of Defense – Army, Navy and Air Force, Defense Advanced Research Projects Agency) and industry (UTC – Pratt and Whitney, Hamilton Sundstrand and United Technologies Research Center, General Electric and others). His innovative research also resulted in four U.S. patents in the areas of characterization of plasma spray processing and PEM fuel cells.

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As an educator, Professor Cetegen developed new courses in thermo-fluids and combustion at both the undergraduate and graduate level during the past 23 years. He has also helped to establish four new concentration areas in mechanical engineering including energy and power, aerospace, dynamic systems and control, and design and manufacturing. He has advised 25 Ph.D. and M.S. students who hold important positions in academia, industries and federal agencies.

The appointment of Professor Cetegen will provide leadership and vision for continued excellence in research and education, innovation and training of students, and implementation of research findings in developing cutting edge technologies and processes in thermal fluids engineering at UConn.

The gift to support the naming recommendation for United Technologies Corporation Chair in Thermal Fluids Engineering was approved by the Board of Trustees Institutional Advancement Committee on September 23, 2003.







University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Establish the United Technologies Corporation Professorship in Systems Engineering and Appoint Dr. Krishna R. Pattipati to the United Technologies Corporation Professorship in Systems Engineering in the School of Engineering

RECOMMENDATION:

That the Board of Trustees approve the establishment of the United Technologies Corporation Professorship in Systems Engineering and appoint Dr. Krishna R. Pattipati to the United Technologies Corporation Professorship in Systems Engineering in the School of Engineering.

BACKGROUND:

On March 21, 2000, the Foundation received a gift of \$4,000,000 from United Technologies Corporation (UTC) to establish an endowment to support three chairs, one clinic, and one scholarship in the School of Engineering. At the time of the gift, the chairs were named the "United Technologies Corporation Chair in Systems Engineering," the "United Technologies Corporation Chair in Thermal-Fluids Engineering," and the "Pratt & Whitney Chair in Engineering." The clinic was named the "United Technologies Corporation Advanced Technology Clinic," and the scholarship was named the "United Technologies Corporation Scholarships in Engineering."

The School of Engineering requests that the Board of Trustees establish the "United Technologies Corporation Professor in Systems Engineering." This professorship would be funded by re-allocating \$750,000 of the UTC endowment currently held in the account name of "United Technologies Corporation Advance Technology Clinic." This request is aligned with the strategic goals of the School of Engineering to establish leadership in this important area of research, scholarship, and teaching.

In December 2010, a committee of peers evaluated three faculty nominees for this professorship. The committee made a unanimous decision to recommend Dr. Pattipati for the UTC Professorship in Systems Engineering. They acknowledged Dr. Pattipati's strong record in citations, h-index, impact of his research, and his international reputation in the field of systems engineering.

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Since joining the Department of Electrical and Computer Engineering at the University of Connecticut in 1986, Professor Pattipati has made outstanding contributions in the application of systems theory and optimization techniques to solve problems of interest to scholars and practitioners of advanced theories in integrated diagnostics and prognostics, team decision-making for command and control, and multi-target tracking. He has published over 220 articles in archival journals with the highest impact factors, 20 book chapters, as well as 265 articles in refereed conference proceedings. Dr. Pattipati has received ten 'Best Paper' awards as well as the 2002 and the 2008 NASA Space Act Award for his contribution to the field of systems engineering. Dr. Pattipati's research is sponsored by federal funding agencies (such as the Office of Naval Research, Defense Advanced Research Projects Agency, and the Air Force Office of Scientific Research) and industry (such as Aptima, General Motors, Pratt & Whitney, and Sikorsky). Professor Pattipati has served as PI or Co-PI on 20 research grants and contracts exceeding \$15M.

As an educator, Professor Pattipati has graduated an impressive 18 Ph.D. and has been instrumental in the development of four advanced graduate courses, including stochastic model analysis and neural networks. He also received the 2005 School of Engineering Award for Outstanding Teaching. His leadership in establishing education and training programs in Systems Engineering are closely aligned to the goals of the Professorship.

The donor provided support for this request and the resolution to establish this professorship was approved by the Institutional Advancement Committee on March 22, 2011.






University of Connecticut  
*Office of the President*

Philip E. Austin  
*Interim President and  
University Professor*

April 13, 2011

TO: Members of the Board of Trustees  
FROM: Philip E. Austin   
RE: Appointment to Canvassing Board – Election of Alumni Trustee

**RECOMMENDATION:**

That Rachel S. Rubin be designated the Board of Trustees staff representative to the Canvassing Board for the Election of the Alumni Trustee.

**BACKGROUND:**

Under the provisions of the State Statutes (Sec. 10a-103), the Board of Trustees appoints one member of a three-person board which supervises the election of alumni Trustees. It has been the custom of the Board to name a Trustee staff representative to this post.

The following is an excerpt from the State Statute:

Sec. 10a-103 (Formerly Sec. 10-118) Appointment of Trustees.

“Such election shall be conducted by mail prior to September first under the supervision of a canvassing board consisting of three members, one appointed by the board of trustees, one by the board of directors of the alumni association of the university and one by the president of the university.”

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University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Five Year Review of Academic Centers and Institutes

The Laws, By-Laws and Rules of the University (Article XIII) require that "All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University's mission." In 2005, a Committee was charged to develop criteria for the establishment and review of academic centers and institutes. Based on the guidelines developed by this Committee, all academic centers and institutes at the University of Connecticut are reviewed over a period not to exceed five years. As a result of the review of the Centers and Institutes Committee, the following are recommended for renewal:

Renewed for five year term:

Center for Integrative Geosciences

Renewed for three year term:

Center for Population Research

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**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 13, 2011**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Richard Anyah	Assistant Professor	Natural Resources & the Environment	College of Agriculture & Natural Resources
Joshua Berning	Assistant Professor	Agricultural & Resource Economics	College of Agriculture & Natural Resources
Bradley Bolling	Assistant Professor	Nutritional Sciences	College of Agriculture & Natural Resources
Ock Chun	Assistant Professor	Nutritional Sciences	College of Agriculture & Natural Resources
Yih-Woei Fridell	Assistant Professor	Allied Health Sciences	College of Agriculture & Natural Resources
Jason Henderson	Assistant Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources
John Inguagiato	Assistant Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources
Ji-Young Lee	Associate Professor	Nutritional Sciences	College of Agriculture & Natural Resources
Jessica Lubell	Assistant Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources
Paulo Verardi	Assistant Professor	Pathobiology & Veterinary Science	College of Agriculture & Natural Resources
Xue Bai	Assistant Professor	Operations & Information Management	School of Business
Fidan Boylu	Assistant Professor	Operations & Information Management	School of Business
Qing Cao	Assistant Professor	Management	School of Business
Jose Cruz	Assistant Professor	Operations & Information Management	School of Business
Kunter Gunasti	Assistant Professor	Marketing	School of Business
Po-Hsuan (Paul) Hsu	Assistant Professor	Finance	School of Business
Jung-Min Kim	Assistant Professor	Finance	School of Business
Cuihong Li	Assistant Professor	Operations & Information Management	School of Business
Xinxin Li	Assistant Professor	Operations & Information Management	School of Business
Hongju Liu	Assistant Professor	Marketing	School of Business
Joseph Pancras	Assistant Professor	Marketing	School of Business
Gregory Reilly	Assistant Professor	Management	School of Business
Sarah Rice	Assistant Professor	Accounting	School of Business
David Souder	Assistant Professor	Management	School of Business
David Weber	Assistant Professor	Accounting	School of Business
Dmitry Zhdanov	Assistant Professor	Operations & Information Management	School of Business
Anjana Bhat	Assistant Professor	Physical Therapy	Neag School of Education
Lindsay DiStefano	Assistant Professor	Kinesiology	Neag School of Education
Morgaen Donaldson	Assistant Professor	Educational Leadership	Neag School of Education
Elizabeth Howard	Assistant Professor	Curriculum and Instruction	Neag School of Education
Jason Irizarry	Assistant Professor	Curriculum and Instruction	Neag School of Education
Marlon James	Assistant Professor	Educational Leadership	Neag School of Education



**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Michael Faggella-Luby	Assistant Professor	Educational Psychology	Neag School of Education
Anysia Mayer	Assistant Professor	Educational Leadership	Neag School of Education
Natalie Olinghouse	Assistant Professor	Educational Psychology	Neag School of Education
Lisa Sanetti	Assistant Professor	Educational Psychology	Neag School of Education
Megan Staples	Assistant Professor	Curriculum and Instruction	Neag School of Education
Megan Welsh	Assistant Professor	Educational Psychology	Neag School of Education
Alexander Agrios	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Dipanjan Basu	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Jinbo Bi	Associate Professor	Computer Science & Engineering	School of Engineering
George Bollas	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Joseph Bushey	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Chengyu Cao	Assistant Professor	Mechanical Engineering	School of Engineering
Maria Chrysochoou	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Chris Cornelius	Associate Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Puxian Gao	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Ali Gokirmak	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Rainer Hebert	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Shinae Jang	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Nicholas Lownes	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Tianfeng Lu	Assistant Professor	Mechanical Engineering	School of Engineering
George Lykotrafitis	Assistant Professor	Mechanical Engineering	School of Engineering
Radenka Maric	Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Jeffrey McCutcheon	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Ashish Mhadeshwar	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
William Mustain	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Mu-Ping Nieh	Associate Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Sung Yeul Park	Assistant Professor	Electrical & Computer Engineering	School of Engineering
George Rossetti	Associate Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Leslie Shor	Assistant Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Helena Silva	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Prabhakar Singh	Professor	Chemical, Materials & Biomolecular Engr.	School of Engineering
Wei Sun	Assistant Professor	Mechanical Engineering	School of Engineering
Timothy Vadas	Assistant Professor	Civil & Environmental Engineering	School of Engineering

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 13, 2011**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Kay Wille	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Yufeng Wu	Assistant Professor	Computer Science & Engineering	School of Engineering
Peng Zhang	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Adam Zofka	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Adrienne Macki Branconi	Assistant Professor	Dramatic Arts	School of Fine Arts
Louis Hanzlik	Assistant Professor	Music	School of Fine Arts
Jill Anderson	Associate Professor		School of Law
Sara Bronin	Associate Professor		School of Law
Sachin Pandya	Associate Professor		School of Law
Douglas Adamson	Associate Professor	Chemistry	College of Liberal Arts & Sciences
Kari Adamsons	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Daniel Adler	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Nathan Alder	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Shayne Anderson	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Carol Atkinson-Palombo	Assistant Professor	Geography	College of Liberal Arts & Sciences
Uluc Aysun	Assistant Professor	Economics	College of Liberal Arts & Sciences
Amanda Bailey	Assistant Professor	English	College of Liberal Arts & Sciences
Philip Balma	Assistant Professor	Modern and Classical Languages	College of Liberal Arts & Sciences
Arend Bayer	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Maya Beasley	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Pamela Bedore	Assistant Professor	English	College of Liberal Arts & Sciences
Keith Bellizzi	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Iddo Ben-Ari	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Claudio Benzecry	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Edna Brown	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Margaret Bruchac	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Kerry Bystrom	Assistant Professor	English	College of Liberal Arts & Sciences
Fabiana Cardetti	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Ellen Carillo	Assistant Professor	English	College of Liberal Arts & Sciences
Odette Casamayor-Cisneros	Assistant Professor	Modern and Classical Languages	College of Liberal Arts & Sciences
Marie Coppola	Assistant Professor	Psychology	College of Liberal Arts & Sciences

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 13, 2011**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Annamaria Csizmadia	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Andrew Deener	Assistant Professor	Sociology	College of Liberal Arts & Sciences
Ana Maria Diaz-Marcos	Assistant Professor	Modern and Classical Languages	College of Liberal Arts & Sciences
Christophe Dupraz	Assistant Professor	Marine Sciences	College of Liberal Arts & Sciences
Stephen Dyson	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Robert Forbes	Assistant Professor	History	College of Liberal Arts & Sciences
Till Frank	Assistant Professor	Psychology	College of Liberal Arts & Sciences
Jose Gascon	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Serkan Gorkemli	Assistant Professor	English	College of Liberal Arts & Sciences
Deneen Hatmaker	Assistant Professor	Public Policy	College of Liberal Arts & Sciences
Milena Hering	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Menka Jain	Assistant Professor	Physics	College of Liberal Arts & Sciences
Sangwook Kang	Assistant Professor	Statistics	College of Liberal Arts & Sciences
Rajeswari Kasi	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Clare Costley King'oo	Assistant Professor	English	College of Liberal Arts & Sciences
Kathy Knapp	Assistant Professor	English	College of Liberal Arts & Sciences
Dong Jin Lee	Assistant Professor	Economics	College of Liberal Arts & Sciences
Dmitriy Leykekhman	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Yao Lin	Assistant Professor	Chemistry	College of Liberal Arts & Sciences
Ellen Litman	Assistant Professor	English	College of Liberal Arts & Sciences
Alvaro Lozano-Robledo	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Michele McElya	Assistant Professor	History	College of Liberal Arts & Sciences
Serena Parekh McGushin	Assistant Professor	Philosophy	College of Liberal Arts & Sciences
Matthew McKenzie	Assistant Professor	History	College of Liberal Arts & Sciences
Barbara Mellone	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Vincent Moscardelli	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Daniel Mulkey	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts & Sciences
Emily Myers	Assistant Professor	Communication Sciences	College of Liberal Arts & Sciences
Spencer Nyholm	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
R. Thane Papke	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Melina Pappademos	Assistant Professor	History	College of Liberal Arts & Sciences
Andrew Pask	Associate Professor	Molecular and Cell Biology	College of Liberal Arts & Sciences
Pradeep Ramanathan	Assistant Professor	Communication Sciences	College of Liberal Arts & Sciences
Luke Rogers	Assistant Professor	Mathematics	College of Liberal Arts & Sciences

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Marcus Rossberg	Assistant Professor	Philosophy	College of Liberal Arts & Sciences
Shawn Salvant	Assistant Professor	English	College of Liberal Arts & Sciences
Charles Venator Santiago	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Valerie Saugera	Assistant Professor	Modern and Classical Languages	College of Liberal Arts & Sciences
Ralf Schiffler	Assistant Professor	Mathematics	College of Liberal Arts & Sciences
Cathy Schlund-Vials	Assistant Professor	English	College of Liberal Arts & Sciences
Peter Schweitzer	Assistant Professor	Physics	College of Liberal Arts & Sciences
Matthew Singer	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Merrill Singer	Professor	Anthropology	College of Liberal Arts & Sciences
Alexia Smith	Assistant Professor	Anthropology	College of Liberal Arts & Sciences
Tammie Spaulding	Assistant Professor	Communication Sciences	College of Liberal Arts & Sciences
Melissa Tafoya	Assistant Professor	Communication Sciences	College of Liberal Arts & Sciences
Rachel Tambling	Assistant Professor	Human Development and Family Studies	College of Liberal Arts & Sciences
Jennifer Terni	Assistant Professor	Modern and Classical Languages	College of Liberal Arts & Sciences
Kathleen Tonry	Assistant Professor	English	College of Liberal Arts & Sciences
Nathaniel Trumbull	Assistant Professor	Geography	College of Liberal Arts & Sciences
Heather Turcotte	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Anastasios Tzingounis	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts & Sciences
Mark Urban	Assistant Professor	Ecology and Evolutionary Biology	College of Liberal Arts & Sciences
Fiona Vernal	Assistant Professor	History	College of Liberal Arts & Sciences
Christopher Vials	Assistant Professor	English	College of Liberal Arts & Sciences
Alejandro Villagran	Assistant Professor	Statistics	College of Liberal Arts & Sciences
Epapante (Penny) Vlahos	Assistant Professor	Marine Sciences	College of Liberal Arts & Sciences
Maksim Volgushev	Associate Professor	Psychology	College of Liberal Arts & Sciences
Michael Whitney	Assistant Professor	Marine Sciences	College of Liberal Arts & Sciences
Sebastian Wogenstein	Assistant Professor	Modern and Classical Languages	College of Liberal Arts & Sciences
Yu Zheng	Assistant Professor	Political Science	College of Liberal Arts & Sciences
Marcy J. Balunas	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Bodhisattwa Chaudhuri	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
M. Kyle Hadden	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Megan Jo Ehret	Assistant Professor	Pharmacy Practice	School of Pharmacy
Kathryn Libal	Assistant Professor		Social Work

**University of Connecticut Office of the Provost  
Tenure Track Reappointments  
Presented to the Board of Trustees for Information – April 13, 2011**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>
Xiaomei Cong	Assistant Professor		School of Nursing
Thomas J. Van Hoof	Associate Professor		School of Nursing



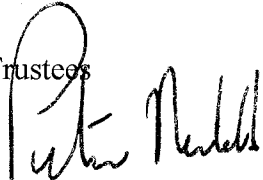


University of Connecticut  
*Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost 

RE: Revised Policy on Consulting for Faculty and Members of the Faculty Bargaining Unit

The proposed revision codifies in writing a long standing University-wide practice to the effect that faculty members' efforts expended to develop a product that would only generate royalties and no other form of compensation are not subject to approval under the Consulting policy. By definition, royalty payments are unpredictable and the actual realization of such payments may come years after the work is begun, assuming such payments ever come to fruition.

State statute 10a-110g recognizes that unless specifically stated otherwise by express or implied contract, any royalties earned for copyrighted or potentially copyrightable products developed by a faculty member belongs to that faculty member and not the University. This statute recognizes and encourages faculty to develop such works. University Policy, as directed by an Office of State Ethics ruling, allows a faculty member to require class use of his/her own copyrighted works only if such an assignment is reviewed and determined appropriate by an independent committee of faculty.

The rules and processes of the faculty consulting program are described in two documents: the Policy on Consulting for Faculty and Members of the Faculty Bargaining Unit and the Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit. The proposed revisions have been reviewed and endorsed by the University's Consulting Management Committee.

Attachment

*An Equal Opportunity Employer*

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Storrs, Connecticut 06269-2086

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Facsimile: (860) 486-6379  
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web: <http://provost.uconn.edu>

# **POLICY ON CONSULTING FOR FACULTY AND MEMBERS OF THE FACULTY BARGAINING UNIT**

**April 13, 2011**

## **1. INTRODUCTION**

Consulting is a time honored and frequent activity of faculty throughout U.S. research universities. The ability to consult is important in promoting recruitment and retention of faculty of the highest quality. Often, such consulting activities provide a range of benefits including fostering economic development, enhancing the reputation of the University, promoting faculty development and enhancing the faculty's ability to bring to the classroom current and relevant "real world" experiences, among others.

Consulting is an activity performed by a faculty member for compensation as a result of his/her expertise or prominence in his/her field while not acting in his/her official capacity as a State employee (i.e. in his/her own time.) The University's Laws and Bylaws prohibit faculty from consulting on "time due to the University".

## **2. PURPOSE**

This Policy describes how members of the faculty and members of the faculty bargaining unit (both hereafter referred to as "faculty member(s)") may participate in consulting activities while complying with the State of Connecticut Code of Ethics, the University of Connecticut Ethics Statement, the University's Code of Conduct and the University's Laws and Bylaws.

## **3. SCOPE**

This policy applies to all faculty members paid by the University of Connecticut and University of Connecticut Health Center.



#### 4. DEFINITIONS

- a) consulting - providing services, advice and similar activities for compensation<sup>1</sup>, based on a faculty member's professional expertise or prominence in his or her field, while not acting as a State employee.
- b) contracting entity - The entity engaging and compensating the faculty member for the consulting activity.
- c) 'time due to the University' - Any time necessary for successfully carrying out the duties assigned to and for which a faculty member was hired. This includes both sufficient time to perform assigned duties as well as sufficient opportunity to meet with other faculty, staff and students.
- d) "normal work time/days"– The usual time (days of the week, hours in the day) committed to assigned duties. For most faculty members this represents Mondays – Fridays, 8:00 am – 5:00 pm and not weeknights, weekends, or holiday and vacation days. For faculty on 9, 10 and 11 month contracts, normal work time pertains to those portions of the year to which they are earning their base pay and to any additional time for which they are assigned duties and receive additional compensation over base pay (e.g. "summer work and summer salary".) For part-time faculty, normal work hours only applies to time contracted for by the University.

#### 5. POLICY

- a) All faculty members, with the exception noted under 'o' below) must receive written permission in advance from their supervisor and the Provost or Vice President for Health Affairs, (whoever has jurisdiction over that member), or their designees in order to engage in consulting activities. Requests for such permission will describe the consulting activity, the contracting entity, the dates (or range of dates) that the activity will occur, and the maximum total effort in terms of the faculty members' normal work days to complete the consulting activity.
- b) Permission to consult may only be granted when:
  - i. The request to perform the consulting activity occurs due to the faculty member's expertise or prominence in his or her field, not the faculty member's official State position.
  - ii. The faculty member is currently, fully performing his/her State duties.
  - iii. The consulting activity will not interfere with a faculty member's future ability to perform his/her duties and the total time spent consulting in any fiscal year, (July 1 – June 30), will not exceed an average of one day per week during normal work time (as defined in 4d above)..
  - iv. The faculty member is not competing with the University for work that may be perceived as being work the University would choose to perform.

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<sup>1</sup> For purposes of this policy, compensation does not include royalties covered under Connecticut statutes 10a-110g.

- v. Those members of a faculty bargaining unit who have specific teaching and/or research responsibilities, the consulting contributes to the continued development of the faculty member's professional expertise or academic reputation.
- c) Approvals must be obtained for each consulting activity. Any on-going consulting activity must be approved on a fiscal year basis (i.e. July 1 – June 30.)
- d) The disclosure of proprietary information (i.e. intellectual property owned in part or in total by the University) is prohibited unless specific permission is granted.
- e) The faculty member must inform the contracting entity that s/he is not acting as a State employee while performing the consulting activity and is not covered by any State liability protection.
- f) The faculty member may not inappropriately use his/her association with the University in connection with the consulting activity. That is, members may identify their employee status, but they shall not speak, act, or make representations on behalf of the University or express institutional endorsement in relation to the outside activity. Further, it must be made clear that permission to use the University name, logos, or other identifiable marks may only be granted by the University.
- g) Permission to use State resources while consulting must be provided in writing, in advance, and use of such resources must be fully reimbursed to the University of Connecticut.
- h) When compensation would be deemed to be a 'significant financial interest' as defined in the Policy on Individual Conflict of Interest in Research (a link to this policy may be found at [consulting.uconn.edu](http://consulting.uconn.edu)), the faculty member must disclose this in financial statements made under that policy.
- i) All faculty members who were engaged in a consulting activity in a given fiscal year must complete a year end reconciliation report describing all consulting activities for which they have received approval. If the estimates regarding anticipated time spent on each activity and the compensation range provided when requesting permission to consult do not reflect what actually occurred, such information should be revised appropriately.
- j) The Provost and the Vice President for Health Affairs will submit annual reports of consulting activities for the faculty members under their respective jurisdictions to the Joint Audit and Compliance Committee of the Board of Trustees.
- k) The University's Office of Audit, Compliance and Ethics shall develop and implement a plan of regularly recurring monitoring and audits to ensure the complete and accurate implementation of this policy.
- l) A report or allegation of a violation or noncompliance with this policy shall be reviewed by the Provost or Provost designee. After due process, the Provost may elect to withdraw the authorization or appropriately modify the conditions upon which the authorization to consult is granted so as to resolve

any conflict. Such actions are subject to reversal through relevant appeal procedures including those described in the University's Bylaws.

- m) Failure to comply with the provisions of this policy may result in appropriate disciplinary action, including but not limited to, loss of the privilege to engage in consulting activities or termination from service. Such disciplinary action will be issued in accordance with the applicable provisions of the collective bargaining agreement or the employment agreement of the faculty member and subject to any appeal rights that may be available.
- n) Any faculty member who does not receive prior written approval under this policy is subject to the jurisdiction of the Office of State Ethics. In addition, the faculty member may be subject to sanctions issued by the University for violating this policy.
- o) Faculty paid less than 50% time by the University of Connecticut and/or University of Connecticut Health Center do not need approval to consult. The requirements described in 5b. ii – iv, 5d-h and 5m still apply.<sup>2</sup> Provisions 5b i., 5b v., 5c, 5i and 5n do not apply.
- p) Faculty paid less than 50% time by the University of Connecticut and/or University of Connecticut Health Center may voluntarily elect to request prior approval to consult as described in 5a. In such cases, all the rules under 5a-n are applicable.<sup>3</sup> Once such a faculty member has requested approval to consult, all subsequent consulting activities in that fiscal year must also obtain such approval.

## **6. CONFIDENTIAL INFORMATION**

Any financial information provided in the consulting request forms or reconciliation reports will be deemed confidential financial information, in accordance with Section 1-210(b) of the Freedom of Information Act, and will not be disclosed to any third party unless the member agrees or a court of competent jurisdiction so orders.

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<sup>2</sup> Per State statute, final jurisdiction whether such consulting is compliant with the State Code of Ethics resides with the Office of State Ethics for such consulting activities.

<sup>3</sup> Per Public Act 07-166 section 12, the University has final jurisdiction to approve such consulting activities.





# University of Connecticut

## *Office of the Provost*

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Establishment of the Office of Institutional Effectiveness

The University of Connecticut for many years has maintained a number of administrative offices and undertaken a number of academic initiatives that contribute to the institution's operational effectiveness or comply with various accreditation standards. These activities have not been coordinated, resulting in duplication of efforts or expensive redundancies in the academic administration system.

After discussion with academic leaders across the institution, the Provost's Office has decided to recommend creation of an Office of Institutional Effectiveness. The purpose of this Office is to develop synergies across units that perform allied functions, and create alignment between accreditation requirements and institutional practices. A particular focus of the Office of Institutional Effectiveness will be responsibility for the oversight of the Office of Institutional Research (OIR), which will report to the Executive Director of the Office of Institutional Effectiveness. Having an effective institutional research function is vital to the appropriate functioning of an institution of higher education and such activities as maintenance of accreditation.

Under the direction of the Provost, the Office Institutional Effectiveness will have responsibility for ensuring compliance with the standards for regional accreditation by the New England Association of Schools and Colleges Commission on Institutions of Higher Education (NEASC CIHE). As required by these NEASC CIHE standards, the Executive Director of the Office of Institutional Effectiveness will have primary oversight to ensure appropriate assessment of student learning outcomes, as well as supervising and coordinating external reviews of academic departments and programs.

The Office will also serve a data repository and information function for specialized disciplinary accreditations of various academic programs, under a sub-unit, the Office of Accreditation. Creation of this sub-unit Office of Accreditation is necessitated by new federal Department of Homeland Security regulations, and the Office of Accreditation will work closely with the Department of International Services and Programs to fulfill university responsibilities in this area.

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web: <http://provost.uconn.edu>





University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Vice President and Chief Financial Officer

Barry M. Feldman  
Vice President and Chief Operating Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Sixteenth  
Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approve the reallocation of bond authorizations among capital projects by amending Appendix A of the Sixteenth Supplemental Indenture, as follows:

- Add Avery Point Renovation for an amount of \$500,000 for a total fiscal year 2010-2011 bond authorization for such project of \$500,000; and
- Add Bishop Renovation for an amount of \$100,000 for a total fiscal year 2010-2011 bond authorization for such project of \$100,000; and
- Add Fine Arts Phase II for an amount of \$600,000 for a total fiscal year 2010-2011 bond authorization for such project of \$600,000; and
- Decrease Deferred Maintenance/Code/ADA Renovation Lump Sum by \$1,200,000 for a total fiscal year 2010-2011 bond authorization for such project of \$24,225,000.

BACKGROUND:

The Board of Trustees approved the Sixteenth Supplemental Indenture on June 10, 2010 supplementing the Master Indenture of Trust dated November 1, 1995. The Governor signed the Sixteenth Supplemental Indenture on June 25, 2010. On January 26, 2011 the Board amended the Sixteenth Supplemental Indenture to make certain reallocations of bond authorizations among capital projects.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2010-2011, including this submission, and updates the total amounts of debt service commitment bonds ("DSC Bonds") needed as well as the prior DSC Bonds authorized for each project.

*An Equal Opportunity Employer*

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Telephone: (860) 486-3455  
Facsimile: (860) 486-1070

**RESOLUTION AMENDING THE SIXTEENTH SUPPLEMENTAL INDENTURE  
TO REALLOCATE FISCAL YEAR 2010-2011  
GENERAL OBLIGATION BOND AUTHORIZATIONS**

**WHEREAS**, on June 10, 2010, the University of Connecticut (the "University"), by vote of its Board of Trustees, approved the Sixteenth Supplemental Indenture (the "Sixteenth Supplemental Indenture") supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to State Street Bank and Trust Company and U.S. Bank National Association), as trustee (the "Master Indenture"); and

**WHEREAS**, on January 26, 2011 the Board amended the Sixteenth Supplemental Indenture to make certain reallocations of bond authorizations as set forth in Appendix A.

**WHEREAS**, it has been determined that the allocations for the proceeds of the bonds authorized by the Sixteenth Supplemental Indenture should be revised to reflect the actual progress being made on certain of the projects; and

**WHEREAS**, the University desires to amend the Sixteenth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Sixteenth Supplemental Indenture.

**NOW THEREFORE, BE IT RESOLVED** by the Board of Trustees of the University as follows:

That Appendix A to the Sixteenth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2010-2011 bond authorization amongst projects as follows: (a) add Avery Point Renovation for an amount of \$500,000 for a total fiscal year 2010-2011 bond authorization for such project of \$500,000; (b) add Bishop Renovation for an amount of \$100,000 for a total fiscal year 2010-2011 bond authorization for such project of \$100,000; (c) add Fine Arts Phase II for an amount of \$600,000 for a total fiscal year 2010-2011 bond authorization for such project of \$600,000; and (d) decrease Deferred Maintenance/Code/ADA Renovation Lump Sum by \$1,200,000 for a total fiscal year 2010-2011 bond authorization for such project of \$24,225,000: and to update the total amounts of debt service commitment ("DSC") bonds needed as well as the prior DSC bonds authorized for each project.

This Resolution shall take effect upon adoption by the Board of Trustees.



**APPENDIX A**  
**(as amended)<sup>1</sup>**

**SIXTEENTH SUPPLEMENTAL INDENTURE**  
**UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM**  
**FISCAL YEAR 2010-2011**  
**UCONN 2000 BOND AUTHORIZATIONS**  
**\_\_\_\_\_ SERIES \_\_\_\_\_ BOND PROJECTS**

<b>UCONN 2000 Projects*</b>	<b>UCONN 2000 Phase III Total Needed-D.S.C. Bonds**</b>	<b>Fiscal Year 2010-2011 Bond Authorization <sup>(2)</sup></b>	<b>UCONN 2000 Phase III Prior D.S.C. Bond Authorization***</b>
<b><u>Storrs and Regional Campuses</u></b>			
Arjona and Monteith (new classroom buildings)	\$ 121,000,000	\$ 45,900,000	\$ 49,100,000.00
Avery Point Campus Undergraduate and Library Building	12,800,000	1,500,000	2,050,000.00
Avery Point Renovation	947,282.54	500,000	447,282.54
Biobehavioral Complex Replacement	5,000,000	1,000,000	100,000.00
Bishop Renovation	6,000,000	100,000	
Deferred Maintenance/Code/ADA Renovation Lump Sum	263,072,142	24,225,000	121,391,292.46
Engineering Building (with Environmental Research Institute)	60,500,000	2,500,000	2,500,000.00
Equipment, Library Collections & Telecommunications	74,409,575	2,500,000	48,914,575.00
Fine Arts Phase II	23,000,000	600,000	2,250,000
Floriculture Greenhouse	5,000,000	2,400,000	600,000.00
Gant Building Renovations	93,600,000	1,000,000	1,750,000.00
Koons Hall Renovation/Addition	7,000,000	1,000,000	100,000.00
Psychology Building Renovation/Addition	22,500,000	2,000,000	750,000.00
Storrs Hall Addition	14,000,000	4,980,000	1,550,000.00
West Hartford Campus Renovations/Improvements	12,650,000	1,500,000	6,900,000.00
Young Building Renovation/Addition	15,000,000	2,095,000	750,000.00
Subtotal – Storrs and Regional Campuses		<b><u>\$93,800,000</u></b>	

<b><u>Health Center</u></b>			
CLAC Renovation Biosafety Level 3 Lab	\$ 15,000,000	35,000	1,280,000.00
Deferred Maintenance/Code/ADA Renovation Sum —			
Health Center	45,900,000	4,000,000	24,505,125.00
Dental School Renovation	5,000,000	975,000	3,525,000.00
Equipment, Library Collections & Telecommunications —			
Health Center	63,595,850	8,750,000	41,155,725.00
Library/Student Computer Center Renovation	5,250,000	250,000	1,150,000.00
Main Building Renovation	77,639,150	5,990,000	5,395,000.00
Planning & Design Costs	25,000,000	25,000,000	
Subtotal – Health Center		<b><u>\$45,000,000</u></b>	
<b>Total Fiscal Year 2010-2011 Bond Authorization</b>		<b>\$138,800,000</b>	

\*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

\*\*Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

\*\*\*Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

<sup>(1)</sup> The Board of Trustees approved the Sixteenth Supplemental Indenture on June 10, 2010 and amended it on January 26, 2011 and April 13, 2011.

<sup>(2)</sup> The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Vice President and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.





University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Barry M. Feldman, Ph.D.  
*Vice President and  
Chief Operating Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

RE: Amendments to Conveyance and Environmental Agreements for Storrs Center

RECOMMENDATION:

That the Board of Trustees approve amendments to the three existing Purchase and Sale Agreements and the existing Environmental Compliance and Indemnification Agreement, all associated with the development of Storrs Center, to allow for the phased conveyance of the previously-approved properties to Storrs Center Alliance by December 2015.

BACKGROUND:

In 2006, the University, upon authorization from the Board of Trustees, entered into a series of agreements with Storrs Center Alliance, LLC (SCA) to convey University-owned land to facilitate the development of a mixed use project known as Storrs Center. In the years since that authorization, the administration, town officials and the development team have worked diligently to realize the development of Storrs Center. As work has progressed and become more detailed, changes in the economy and the introduction of SCA's new business partner, Education Realty Trust, Inc. (EDR), have resulted in proposed changes to the following agreements:

- Purchase & Sale Agreement (Phase 1-A Uses Property);
- Purchase & Sale Agreement (University Uses Property);
- Purchase & Sale Agreement (Commercial Uses Property); and
- Environmental Compliance and Indemnification Agreement.

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352 Mansfield Road Unit 2014  
Storrs, Connecticut 06269-2014

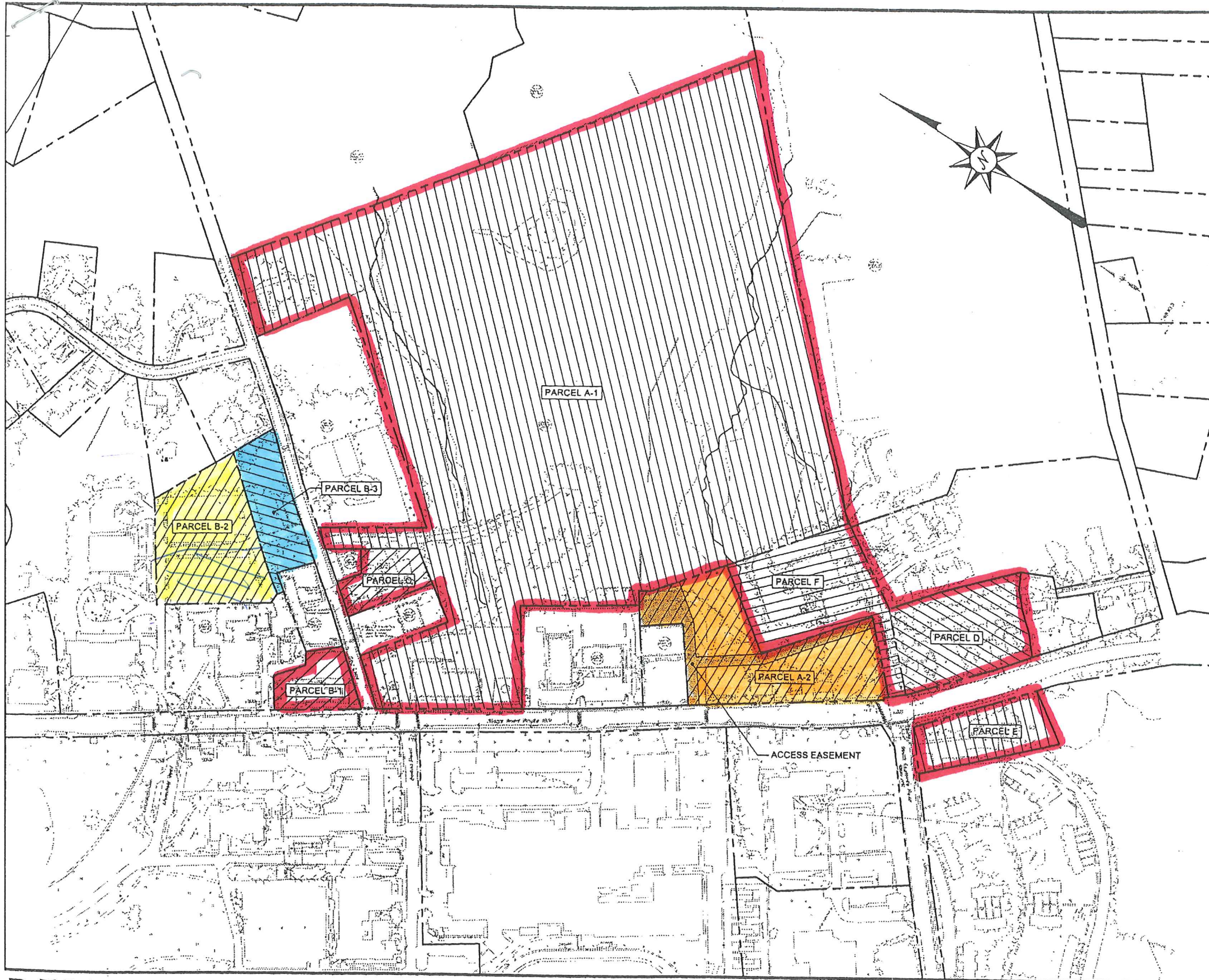
Telephone: (860) 486-4340  
Facsimile: (860) 486-1070  
e-mail: barry.feldman@uconn.edu

The first three of these agreements have been extended several times over the past year. The proposed changes will be contained in one "Omnibus" Agreement, which references the above agreements. Neither the aggregate purchase price for the properties nor the amount of properties involved in the transaction has changed. Rather, the sequence in which the properties will be transferred to SCA has been refined and made more flexible, and the aggregate purchase price will be proportionately allocated to the acreage of each of the parcels as they are transferred to SCA. This parcel "take down" approach is diagrammatically represented on the accompanying color map, entitled "Parcel Take-Down Plan" (dated March 16, 2011). This proposed approach can be compared with the parcel configuration established in 2006, shown on the attached map entitled "Fig. 1A" (dated January 26, 2006).

Other amendments are very specific, addressing: the designation of December 31, 2015 as the new Outside Date for the acquisition of all of the properties by SCA; pre-acquisition access to areas of the properties for limited purposes such as surveying, erosion and storm water controls, remediation activities and construction lay-down areas (some of which may require future easements); deed reservations or easements for shared access from Dog Lane to the Bishop Center area and for shared access and parking in the area behind Storrs Center buildings adjacent to the Daily Campus; and the potential for University contribution at title closing for SCA's prior remediation of areas of the properties.

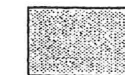
All other terms and provisions of the above-referenced agreements will remain in full force and effect.



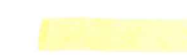


## PROPERTY LEGEND

PARCEL	M/B/L	OWNER	ADDRESS	ACRES
A-1	16.41.13	UConn	1196,1024 STORRS RD. STORRS, CT 06268	38.88
A-2	16.41.13	UConn	1196,1024 STORRS RD. STORRS, CT 06268	3.31
B-1	16.40.10	UConn	28 OAK HILL ROAD STORRS, CT 06268	0.72
B-2	16.40.10	UConn	28 OAK HILL ROAD STORRS, CT 06268	2.37
B-3	16.40.10	UConn	28 OAK HILL ROAD STORRS, CT 06268	1.09
C	16.41.17	UConn	31 LEDOYT ROAD STORRS, CT 06268	0.70
D	16.41.13-1	UConn	STORRS, CT 06268	2.05
E (PART.)		UConn		1.26
F	16.41.13A	STATE OF CONN. LEASED TO USPS	1254 STORRS ROAD STORRS, CT 06268	2.52



ACCESS EASEMENT



GROUND LEASE (1 p.)



COMMERCIAL USES (6 p.)

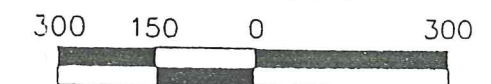


UNIVERSITY USES (1 p.)



PHASE 1-A USES (1 p.)

GRAPHIC SCALE



SCALE IN FEET



ARCHITECTURE  
ENGINEERING  
PLANNING  
LANDSCAPE ARCHITECTURE  
LAND SURVEYING  
ENVIRONMENTAL SCIENCES

355 Research Parkway  
Meriden, CT 06450  
(203) 630-1406  
(203) 630-2615 Fax

## PROPOSED PROPERTY ACQUISITION

STORRS CENTER  
DOWNTOWN MANSFIELD REDEVELOPMENT  
MANSFIELD, CONNECTICUT

Designed  
Drawn  
Checked  
Approved  
Scale  
Project No.  
Date  
Revised  
CAD File

G.P.F.  
P.M.B.  
  
1"=300'  
03C667  
07/06/04  
01/26/06  
PMC66701A

FIG. 1A

XREF(s):











University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Cancellation – Project Budget for Bishop Renovation  
(Planning: \$6,000,000)

RECOMMENDATION:

That the Board of Trustees approve the cancellation of the project entitled Bishop Renovation (Planning) approved by the Board of Trustees on September 23, 2008.

BACKGROUND:

A Planning Budget in the amount of \$6,000,000 was approved by the Board of Trustees on September 23, 2008 for a project to renovate Bishop Center. The Board subsequently approved a second project for the Bishop Renovation – Mechanical System Improvements and Façade Modifications in the amount of \$2,000,000 at the February 22, 2011 meeting.

The funding for the Mechanical Systems project is allocated in FY12. The remaining funds are in proposed in FY18. The University does not intend to work on this project until some future date and therefore recommends that this project be cancelled until a scope and cost is defined.

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University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for Community Professional Building Renovation – Avery  
Point Campus (Final: \$1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,000,000 for the planning, design and construction for the renovations to the Community Professional Building for the Technology Incubation Program (TIP).

BACKGROUND:

The Office of Technology Commercialization operates the Technology Incubation Program (TIP). Their mission is to accelerate the success and viability of start-up companies and use university technologies and facilities to enhance educational and research opportunities for students and faculty; provide revenue for the university; and spur economic development. The TIP program at the Avery Point Campus operates out of a substandard building slated for demolition.

TIP offices and laboratories will relocate to the Community Professional Building. The budget is based on the completed final design.

The University may award this project prior to the June 2011 Board meeting and is requesting a waiver to the three phase process. If competitive bids result in a qualified and accepted bid at or below the amount stated in the budget the project will be awarded.

This Final Budget is attached for your consideration and approval.

*An Equal Opportunity Employer*

352 Mansfield Road Unit 2122  
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Telephone: (860) 486-3455  
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## CAPITAL PROJECT BUDGET REPORTING FORM

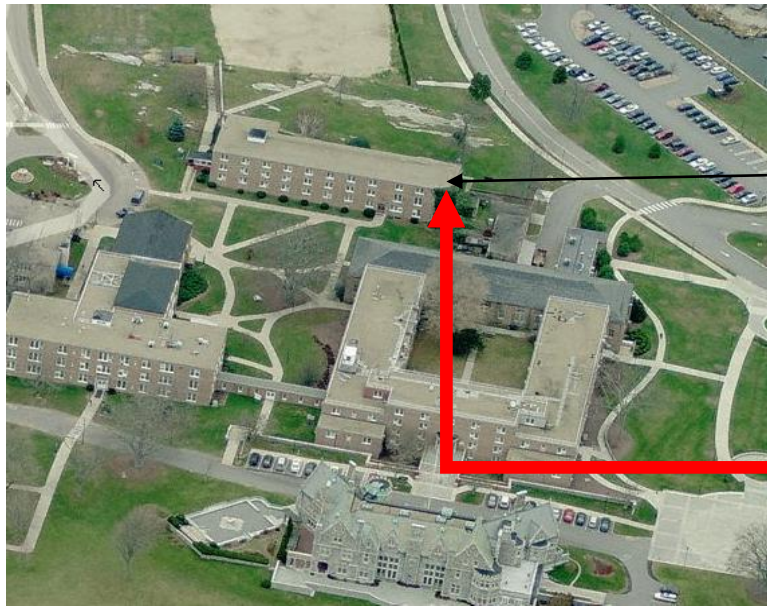
**TYPE BUDGET: FINAL**

**PROJECT NAME: COMMUNITY PROFESSIONAL BUILDING RENOVATION-AVERY POINT CAMPUS**

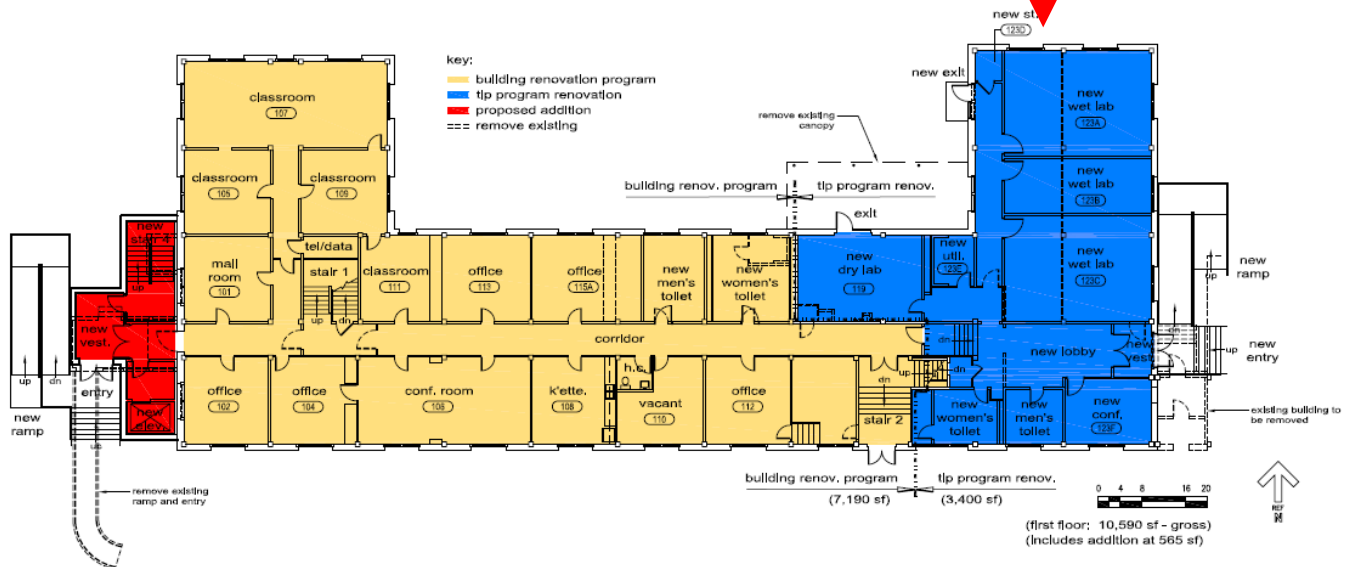
	<b>APPROVED PLANNING 1/26/2011</b>	<b>PROPOSED FINAL 4/13/2011</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 650,000	\$ 660,000
DESIGN SERVICES	65,000	65,000
TELECOMMUNICATIONS	15,000	15,000
FURNITURE, FIXTURES AND EQUIPMENT	50,000	50,000
CONSTRUCTION ADMINISTRATION	25,000	25,000
OTHER AE SERVICES (including Project Management)	45,000	45,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	9,000	9,000
INSURANCE AND LEGAL	6,000	6,000
MISCELLANEOUS	15,000	15,000
OTHER SOFT COSTS*	-	-
<b>SUBTOTAL</b>	<b>\$ 880,000</b>	<b>\$ 890,000</b>
PROJECT CONTINGENCY	120,000	110,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 1,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
GRANT FUNDS	700,000	700,000
RESEARCH FUNDS	300,000	300,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 1,000,000</u></b>	<b><u>\$ 1,000,000</u></b>

\*Does not include Furniture, Fixtures and Equipment.

COMMUNITY PROFESSIONAL BUILDING RENOVATION  
(AVERY POINT)  
Project Budget (FINAL)  
4/13/2011



Project Location  
- Building 19









University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for Route 195 Sewer Line Replacement – Area 1  
(Final: \$550,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$550,000 for the planning, design and construction related to the repair/replacement of approximately 1,800 linear feet of a sanitary sewer main.

BACKGROUND:

The University of Connecticut operates a sewage treatment plant located on the northwest portion of the campus. Sewage is transported through a large underground network to the treatment plant. The system is a combination of lateral connections into specific buildings, to larger gravity collection mains and then force mains until it can flow into the plant. One branch of the system collects sewage from the Route 195 area. The southernmost segment of the line collects sewage from the area of the Mansfield Apartments under the Fine Arts complex. This is an eight (8") diameter line. Recent infiltration and inflow analysis has confirmed that this line has significant structural problems in the form of cracks and misaligned joints. The final design for this project includes a combination of relining the existing sewer pipes and reconstruction of specific areas.

The Town of Mansfield intends to repave the same area of Route 195 during the summer of 2011. The University will coordinate the replacement of the sewer line with the Town project in order to minimize cost.

This Final Budget is attached for your consideration and approval. If competitive bids result in a qualified and accepted bid at or below the amount stated in the budget the project will be awarded.

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

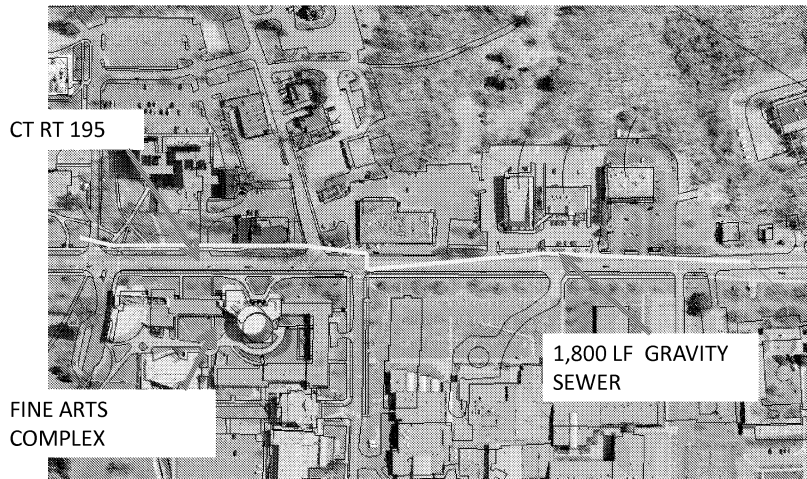
**PROJECT NAME: RT 195 SEWER LINE REPLACEMENT - AREA 1**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>APPROVED PLANNING 11/9/2010</b>	<b>APPROVED DESIGN 1/26/2011</b>	<b>PROPOSED FINAL 4/13/2011</b>
CONSTRUCTION	\$ 350,000	\$ 340,000	\$ 340,000
DESIGN SERVICES	50,000	50,000	50,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	11,375	21,375	21,375
OTHER AE SERVICES (including Project Management)	33,335	33,335	33,335
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	3,000	3,000	3,000
INSURANCE AND LEGAL	5,000	5,000	5,000
MISCELLANEOUS	25,000	25,000	25,000
OTHER SOFT COSTS*	-	-	-
<b>SUBTOTAL</b>	<b>\$ 477,710</b>	<b>\$ 477,710</b>	<b>\$ 477,710</b>
PROJECT CONTINGENCY	72,290	72,290	72,290
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 550,000</b>	<b>\$ 550,000</b>	<b>\$ 550,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UNIVERSITY OPERATING FUNDS	\$ 550,000	\$ 550,000	\$ 550,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 550,000</b>	<b>\$ 550,000</b>	<b>\$ 550,000</b>

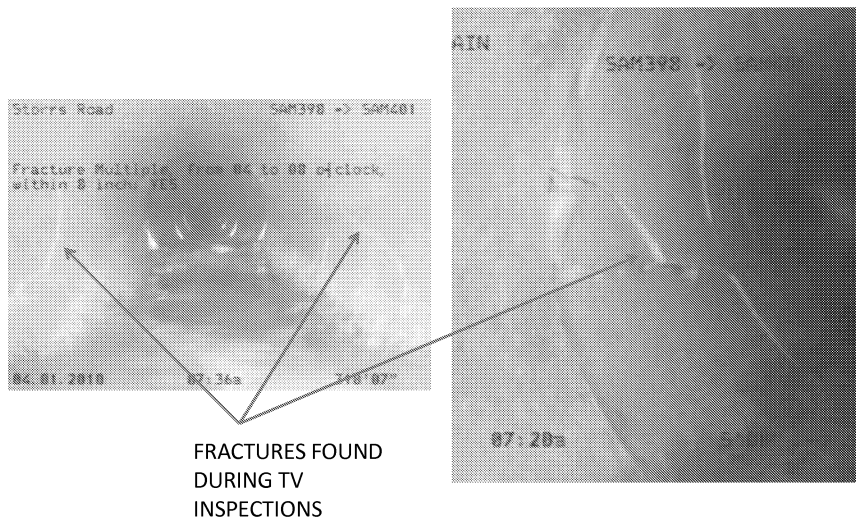
\*Does not include Furniture, Fixtures and Equipment.

ROUTE 195 SEWER LINE REPLACEMENT – AREA 1  
Project Budget (FINAL)  
4/13/2011

AREA ONE



TYPICAL CONDITIONS







University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UHC) Academic Building (Bldg. A)  
Roof Replacement (Final: \$725,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$725,000 for the UHC Academic Building (Bldg. A) Roof Replacement.

BACKGROUND:

The UHC Academic Building provides space for the Medical and Dental School programs including the main teaching areas and student related support spaces. The roof for this building is the original roof and is in need of replacement.

Total funding in the amount of \$725,000 is designated as part of the Deferred Maintenance/ Code/ADA Renovation and Lump Sum project list for FY 11 UCONN 2000, Phase III. Work will include the removal and replacement of the existing roofing system.

The bidding for the project proceeded in March 2011. Bids were received on March 23, 2011 and are less than the construction estimate, as such the overall project budget has been reduced to \$726,000. The Final Budget reflects the anticipated award of the construction contract to the lowest responsible bidder and is attached for your consideration and approval. Approval by the UHC Finance Committee is anticipated at their meeting on April 4, 2011.

Attachment

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## CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCHC ACADEMIC BUILDING ROOF REPLACEMENT

	APPROVED PLANNING 6/24/2008	APPROVED DESIGN 6/23/2009	PROPOSED FINAL 4/13/2011
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$ 925,000	\$ 890,000	\$ 580,000
DESIGN SERVICES	70,000	30,000	34,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	45,000	25,000	40,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	5,000	5,000	6,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 1,045,000</b>	<b>\$ 950,000</b>	<b>\$ 660,000</b>
PROJECT CONTINGENCY	105,000	100,000	65,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 1,150,000</u></b>	<b><u>\$ 1,050,000</u></b>	<b><u>\$ 725,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III - FY09 DM	<u>\$ 1,150,000</u>	<u>\$ 1,050,000</u>	<u>\$ 725,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 1,150,000</u></b>	<b><u>\$ 1,050,000</u></b>	<b><u>\$ 725,000</u></b>





University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UHC) Dental School *CONNcept* Clinic  
Renovation [formerly GP3 Clinic] (Final: \$1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the approve the Final Budget of \$1,000,000 for the UHC  
Dental School *CONNcept* Clinic Renovation

BACKGROUND:

Board approval is sought for renovation 6,300 square feet of space on the 1st Floor of the UConn Health Partners facility at 65 Kane St., West Hartford for use as a School of Dental Medicine-sponsored Dental Clinic. The University of Connecticut Health Center currently holds a 10-year lease on this building. The space under consideration lends itself nicely to conversion to a 12-chair dental clinic, is adjacent to aligned primary care medical services provided by the University Medical Group, and is within a HRSA-designated Dental Health Professions Shortage Area (DHPSA).

The Clinic will be utilized to conduct the first pilot of the School of Dental Medicine's new clinical education model. Formerly termed GP3, and now termed *CONNcept* (Connecticut Comprehensive Education and Practice Team), this model was designed and unanimously approved by School of Dental Medicine faculty in 2007 and then submitted to the Health Center Board of Directors as an innovative and future approach that would both strengthen the clinical education program and improve financial outcomes. The *CONNcept* model adopts several principles from medical education by formation of practice groups including providers with several levels of training or competency, i.e. in this case, senior dental students, general dentistry residents, clinical faculty and allied dental staff. The *CONNcept* plan, including its pedagogical principles and detailed fiscal analyses, was reviewed by the UHC Senior Group and Board of Directors in 2008 with approval to proceed with a pilot of the model before full conversion of the DMD and affected residency

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program. Difficulty was initially encountered in designating space for this pilot until more recent identification of the proposed space at UConn Health Partners.

Renovation of this space will allow a working evaluation of the *CONNcept* model while also providing very much needed additional clinical capacity for the Dental Clinics long-term. The plan is to maintain this location as a *CONNcept* Practice Group site following full conversion of facilities and programs in Farmington. Construction costs are largely related to mechanical retrofit of the facility for dental services.

A Planning Budget was approved by the Board of Trustees on April 20, 2010 in the amount of \$1,400,000 allowing the project to move forward with design. UCHC needs to bid and award the project as soon as possible in order to meet the business plan of opening the clinic in July 2011. If the normal three phase approval process (Planning, Design and Final) is followed, the project would not be completed until late September 2011. Therefore, UCHC requests a waiver to the normal “three phase” budget approval process. UCHC intends to proceed with the project if bids do not exceed the total project budget.

The Final Budget reflects a reduction in the overall construction scope, (finish upgrades initially included in the project have been completed by the landlord as part of the lease agreement). This Final Budget is attached for your consideration and approval.

Attachment



## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCHC DENTAL SCHOOL GP3 CLINIC RENOVATION**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>APPROVED PLANNING 4/20/2010</b>	<b>PROPOSED FINAL 4/13/2011</b>
CONSTRUCTION	\$ 600,000	\$ 455,000
DESIGN SERVICES	75,000	23,000
TELECOMMUNICATIONS	60,000	50,000
FURNITURE, FIXTURES AND EQUIPMENT	425,000	364,500
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	5,000	-
RELOCATION	5,000	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	5,000	-
MISCELLANEOUS	25,000	14,500
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 1,200,000</b>	<b>\$ 907,000</b>
PROJECT CONTINGENCY	200,000	93,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,400,000</b>	<b>\$ 1,000,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UCHC CAPITAL FUNDS	\$ 1,400,000	\$ 1,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,400,000</b>	<b>\$ 1,000,000</b>





University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard M. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for Storrs Hall Addition (Revised Final: \$14,825,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$14,825,000 for the planning, design and construction of an addition to the Storrs Hall Building.

BACKGROUND:

The School of Nursing is housed in the 35,000 square foot Storrs Hall (circa 1908) and in a 4,000 square foot modular building. This project replaces the modular building and fulfills the need for additional space, by providing student-centered space necessary to prepare students for the 21<sup>st</sup> century health care environment.

The new 15,800 square foot addition will allow for the development of student functions such as individual exam rooms, clinical simulation rooms and a case study room. New technology will allow teachers to instruct entire levels of students in one section as they witness and benefit from the practice of others. Broadcast ability among the simulation rooms and case study room allows creative use of technology, and can expand collaborations with scholars and scientists located on other campuses. The budget includes funds to repair flooring and make other minor repairs on the original building.

The University hired a Construction Manager at Risk to manage construction and renovation, which will begin in spring 2011 with completion in summer 2012. Bids were received on March 16, 2011 and costs for the mechanical, electrical and plumbing systems exceeded estimates. Materials costs for metals, concrete and petroleum based products along with fuel surcharges are the reason for increased costs.

This Revised Final Budget is attached for your consideration and approval.

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## CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

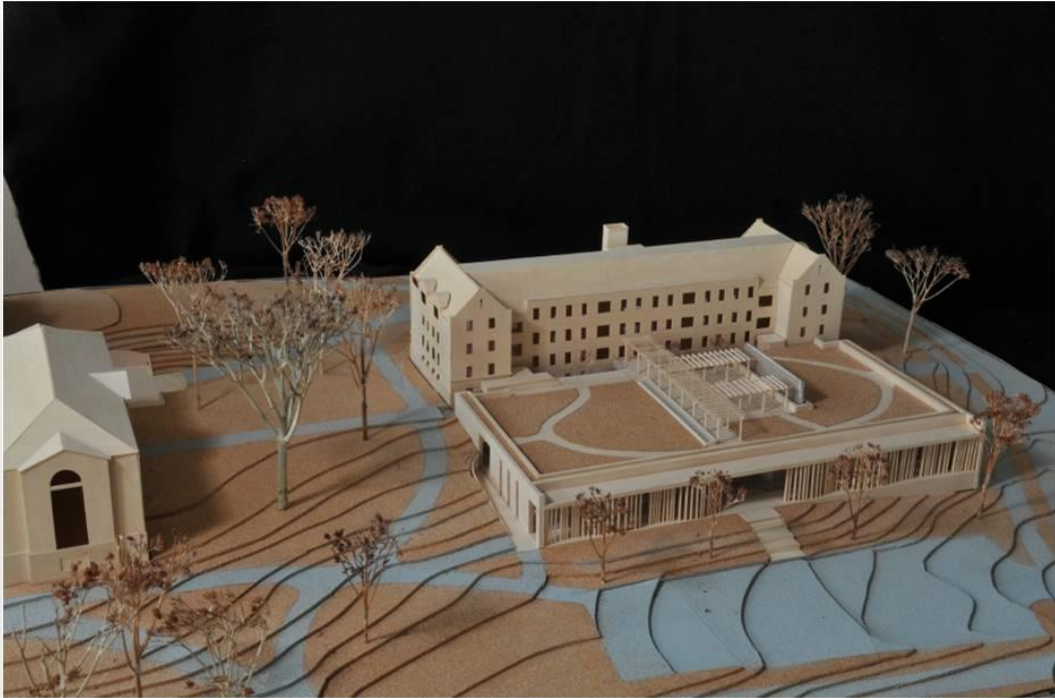
PROJECT NAME: STORRS HALL ADDITION

	APPROVED PLANNING 8/1/2007	APPROVED REVISED PLANNING 6/23/2009	APPROVED DESIGN 9/21/2010	APPROVED FINAL 2/22/2011	PROPOSED REVISED FINAL 4/13/2011
<b><u>BUDGETED EXPENDITURES</u></b>					
CONSTRUCTION	\$ 5,000,000	\$ 9,850,000	\$ 9,540,000	\$ 9,775,000	\$ 11,172,500
DESIGN SERVICES	550,000	1,096,000	1,096,000	1,095,970	1,108,138
TELECOMMUNICATIONS	-	307,000	307,000	307,000	307,000
FURNITURE, FIXTURES AND EQUIPMENT	-	402,000	402,000	540,000	450,000
CONSTRUCTION ADMINISTRATION	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	-	338,000	385,000	395,800	397,800
ART	-	70,000	70,000	96,000	96,000
RELOCATION	-	100,000	100,000	10,000	70,000
ENVIRONMENTAL	-	70,000	70,000	118,000	118,000
INSURANCE AND LEGAL	-	30,000	30,000	15,000	15,500
MISCELLANEOUS	-	25,000	50,000	53,230	30,062
OTHER SOFT COSTS	814,000	312,000	-	-	-
<b>SUBTOTAL</b>	<b>\$ 6,364,000</b>	<b>\$ 12,600,000</b>	<b>\$ 12,050,000</b>	<b>\$ 12,406,000</b>	<b>\$ 13,765,000</b>
PROJECT CONTINGENCY	636,000	1,400,000	1,350,000	994,000	1,060,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 7,000,000</b>	<b>\$ 14,000,000</b>	<b>\$ 13,400,000</b>	<b>\$ 13,400,000</b>	<b>\$ 14,825,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>					
UCONN 2000 PHASE III	\$ 7,000,000	\$ 14,000,000	\$ 13,400,000	\$ 13,400,000	\$ 14,375,000
DEPARTMENTAL FUNDS	-	-	-	-	450,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 7,000,000</b>	<b>\$ 14,000,000</b>	<b>\$ 13,400,000</b>	<b>\$ 13,400,000</b>	<b>\$ 14,825,000</b>

# STORRS HALL ADDITION

Project Budget  
(REVISED FINAL)

04/13/11







University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget for West Hartford Campus Renovations/  
Improvements – Replace Boilers, 1800 Asylum Avenue  
(Revised Final: \$850,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$850,000 for the replacement of boilers at the 1800 Asylum Avenue building on the West Hartford campus.

BACKGROUND:

The two existing boilers are the primary source of heat and hot water for the 1800 Asylum Avenue building. This building houses faculty offices, classrooms and the Trecker Library. The boilers were installed in 1961 when the building was constructed. They are an over fifty (50) years old design, energy inefficient and lack modern controls. They suffer from leaks and are increasing difficult to repair.

This project will install energy efficient boilers equipment, new controls, and associated pumping and makeup units. The Board previously approved a total project budget of \$525,000. This estimate was based on a construction estimate of \$420,000. The project was competitively bid. The low bid result was \$599,000 or \$170,000 over the original design estimate. The median bid price was \$635,000. The project will be put out to bid again to a prequalified list of vendors. As a result, the boiler replacement project may extend into the heating season. The Revised Final Budget reflects the results of the bidding process and the potential need for a temporary heat source for the building. The University intends to proceed with the project if bids do not exceed the total project budget.

This Revised Final Budget is attached for your consideration and approval.

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS - 1800 ASYLUM  
BOILER REPLACEMENT**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>APPROVED PLANNING 7/2/2009 by VP&amp;CFO</b>	<b>APPROVED FINAL 6/10/2010</b>	<b>PROPOSED REVISED FINAL 4/13/2011</b>
CONSTRUCTION	\$ 356,750	\$ 420,000	\$ 735,000
DESIGN SERVICES	-	-	-
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	5,000	28,000	-
OTHER AE SERVICES (including Project Management)	11,250	11,250	21,700
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	7,500	8,000
INSURANCE AND LEGAL	2,000	2,000	5,000
MISCELLANEOUS	5,000	14,250	10,300
OTHER SOFT COSTS*	-	-	-
<b>SUBTOTAL</b>	<b>\$ 380,000</b>	<b>\$ 483,000</b>	<b>\$ 780,000</b>
PROJECT CONTINGENCY	20,000	42,000	70,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 400,000</b>	<b>\$ 525,000</b>	<b>\$ 850,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UCONN 2000 PHASE III	\$ 400,000	\$ 525,000	\$ 850,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 400,000</b>	<b>\$ 525,000</b>	<b>\$ 850,000</b>

\*Does not include Furniture, Fixtures and Equipment.







University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Barry M. Feldman, Ph.D.  
*Vice President and  
Chief Operating Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

RE: Easement – Charter Communications

RECOMMENDATION:

That the Board of Trustees grant an easement to Charter Communications for access to University-owned telecommunications infrastructure to enable the provision of fiber optic cabling related to University services.

BACKGROUND:

In April 2009, the University of Connecticut entered into an agreement to receive video programming from Charter Communications as a component of the University's HuskyVision television services. As a requirement of the agreement, Charter Communications must deliver the television programming through redundant, diverse routes to the University's main television system located in the Homer Babbidge Library. A previously-existing fiber optic cable currently provides the primary source of programming directly to the Homer Babbidge Library.

To achieve a second service feed for programming, Charter Communications will install a second fiber optic cable into campus. This second cable will be placed in University-owned underground telecommunication infrastructure, e.g., duct banks and maintenance holes within the Math Science Building. This second programming feed will be delivered to campus on this new fiber optic cable in the Math Science Building and then will be extended to the Homer Babbidge Library using existing University-owned fiber optic infrastructure. Charter Communications requires an easement to enable the installation of this cabling, including the excavation of a short segment (approximately

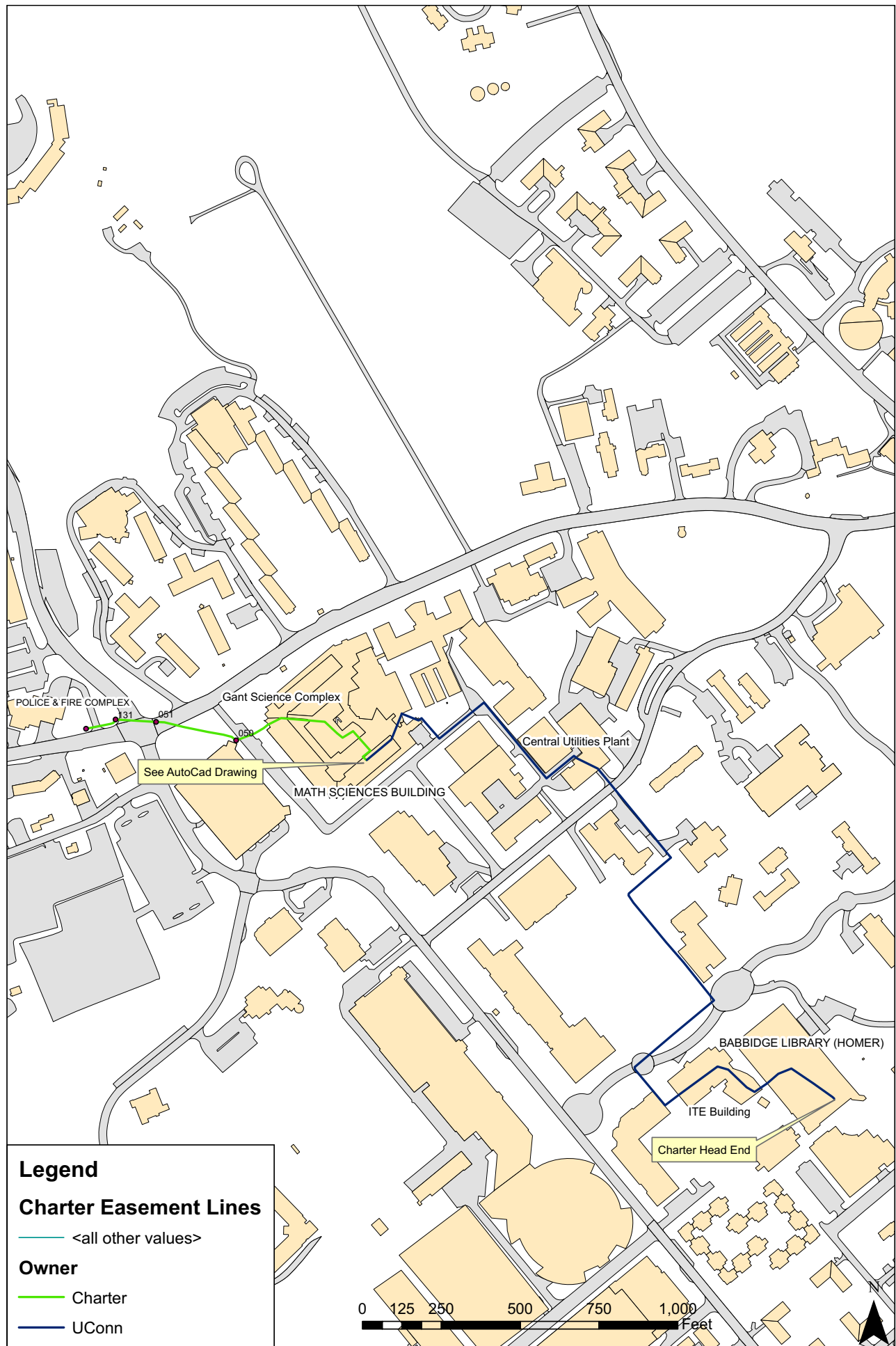
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150 feet) from an existing utility pole to a manhole (MH131) in front of Public Safety, in a cost-effective and efficient manner. The location of the routes described above is illustrated on the attached map and building plan.

The University will seek nominal consideration for this easement because the easement will allow the provision of University communication services.



 <p>University of Connecticut University Information Technology Services</p>	Map Title: Charter Fiber Easement Exhibit	File Name: Charter Easement	Date: 3/23/2011	<p>This Map is intended for planning purposes only. Map and data property of University Of Connecticut Information Technology Services. Copyright 2011</p>
	Project Name: Video Head End Replacement	Project Number: IT0729	Created By: William Donahue	



T:\UTESTCHEN\NETWORK\ENGINEERING\PROJECTS\CONSTRUCTION\PROJECTS\IT\PROJECTS\ITP29 - VIDEO HEAD END REPLACEMENT\1.0\CDP\1.2 DRAWING\CABLE TRAY FOR CHARTER/AMERITEL EXHIBIT IN ASSEMBLING

CABLE ENTRANCE FROM UCONN  
MAINTENANCE HOLE #50.  
DRESS CABLE DOWN TO CABLE  
RACKING BELOW. PROVIDE AMPLE  
SLACK FOR SPLICING. PLACE  
CLOSURES ON CABLE HOOKS.

NOTES:

- CABLING INSTALLED ABOVE CEILINGS SHALL BE PLENUM RATED.
- UTILIZE EXISTING 18"W X 6"H CABLE TRAY, ORGANIZE CABLES IN TRAY TO MATCH EXISTING CABLING INSTALLED PREVIOUSLY. CABLING TO BE INDIVIDUALLY LABELLED EVERY 50FT IN CABLE TRAY, SECURED TO TRAY TO ENSURE NEAT AND ORGANIZED INSTALLATION.


PATCH CABLE BY UCONN. CHARTER SIGNAL EXTENDED TO BABBIDGE LIBRARY  
THROUGH THIS PATCH CABLE CONNECTION TO UCONN FIBER.

EXISTING FIBER OPTIC CABLE BACKBONE TERMINATIONS  
FOR CONNECTION TO BABBIDGE LIBRARY, INSTALLED BY UCONN.

TERMINATION OF CHARTER FIBER OPTIC  
CABLING TO BE LOCATED IN THIS RACK.  
MATCH EXISTING HARDWARE (CORNING).

CHARTER TO TERMINATE FIBER IN EXISTING  
EQUIPMENT RACKS, LOCATED IN  
ROOM M030.  
REFER TO PICTURE IN SIDE NOTE FOR  
LOCATION IN RACK FOR FIBER TERMINATION  
HARDWARE FIELD.

NOT FOR BID OR CONSTRUCTION; FOR INFORMATIONAL PURPOSES ONLY.  
THE INFORMATION DISPLAYED IN THIS DRAWING IS BASED ON THE BEST DATA  
AVAILABLE AT THE TIME. VERIFY EXISTING CONDITIONS BEFORE THE START OF  
ANY WORK.

Architect/Engineer: Physical Infrastructure Planning 196 Auditorium Road, U-3136 Storrs, CT 06269-3136 Telephone: (860) 486-1234			 UNIVERSITY OF CONNECTICUT INFORMATION TECHNOLOGY SERVICES STORRS, CONNECTICUT		Date: 01-DEC-10
REVISIONS			Drawing Title: CABLE TRAY PATH		Scale: 1/16" = 1'-0"
Index No.	Date	Description	Project Name: GANT SCIENCE COMPLEX		Drawn by: WSV
			Project No.: IT0662		Approved by:
			Work Order Number:		Drawing No.: T1.0







University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Barry M. Feldman, Ph.D.  
*Vice President and  
Chief Operating Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

RE: Easement related to Storrs Center

RECOMMENDATION:

That the Board of Trustees grants a non-exclusive easement to Storrs Center Alliance and accepts a non-exclusive easement from Storrs Center Alliance for construction, maintenance, access, utilities, parking and other uses associated with the development of Storrs Center.

BACKGROUND:

In January 2011, the Buildings, Grounds & Environment Committee was presented with a parcel map for Storrs Center. This map included the location of a number of proposed easements. Among these proposed easements were a set of related reciprocal easements between the University and Storrs Center Alliance, LLC, (SCA) which are referenced in Section 2.6(ii) of the Amended and Restated Ground Lease previously approved by the Board of Trustees.

This two-part package of related easements is designed to promote the improvement and shared use of the area north of the corner of Storrs Road and Dog Lane, adjacent to and behind buildings to be constructed in Storrs Center Phase 1A.

The area of the non-exclusive easement to be granted to SCA by the University is shown on the attached "Future Easement in Favor of Storrs Center Alliance, LLC." It is intended for construction, maintenance, ingress, egress, parking, installation and maintenance of SCA-provided utilities, and other uses reasonably requested of the University. These uses may include reasonable placement of facilities for trash and recycling, an emergency generator, transformers and more generally to facilitate SCA's development activities in the vicinity of the easement area.

*An Equal Opportunity Employer*

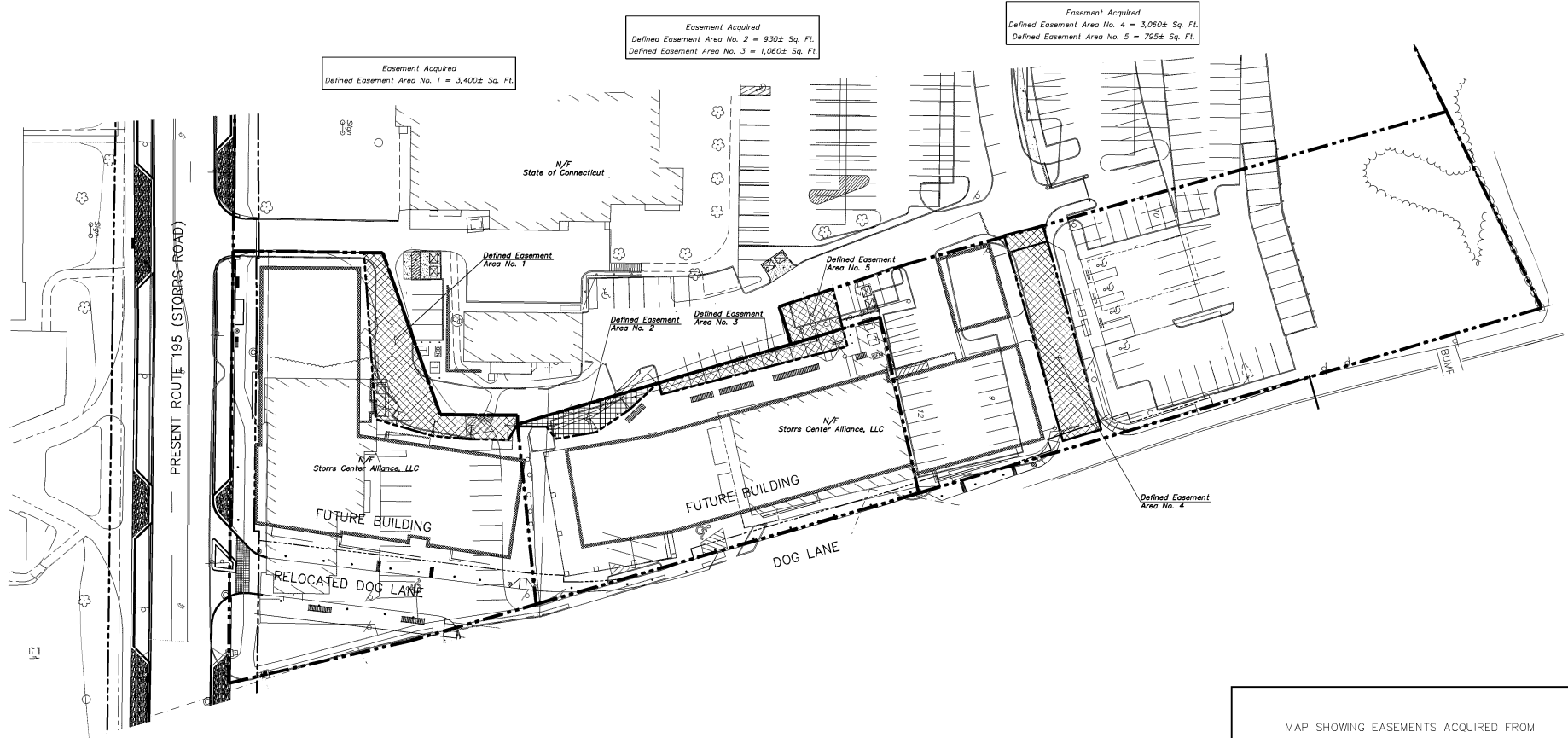
352 Mansfield Road Unit 2014  
Storrs, Connecticut 06269-2014

Telephone: (860) 486-4340  
Facsimile: (860) 486-1070  
e-mail: barry.feldman@uconn.edu

The area of the non-exclusive easement proposed to be accepted by the University from SCA is shown on the attached "Future Easement in Favor of University of Connecticut." It is intended for ingress, egress, maintenance, parking, installation and maintenance of University provided utilities and other uses reasonably requested of SCA. The parking area will include spaces for the Daily Campus. Please note that the rights to the access drive between Dog Lane and the Bishop Center parking area may be accomplished through a reservation at the time the University conveys the underlying property to SCA.



# SCHEDULE D FUTURE EASEMENTS IN FAVOR OF UNIVERSITY OF CONNECTICUT



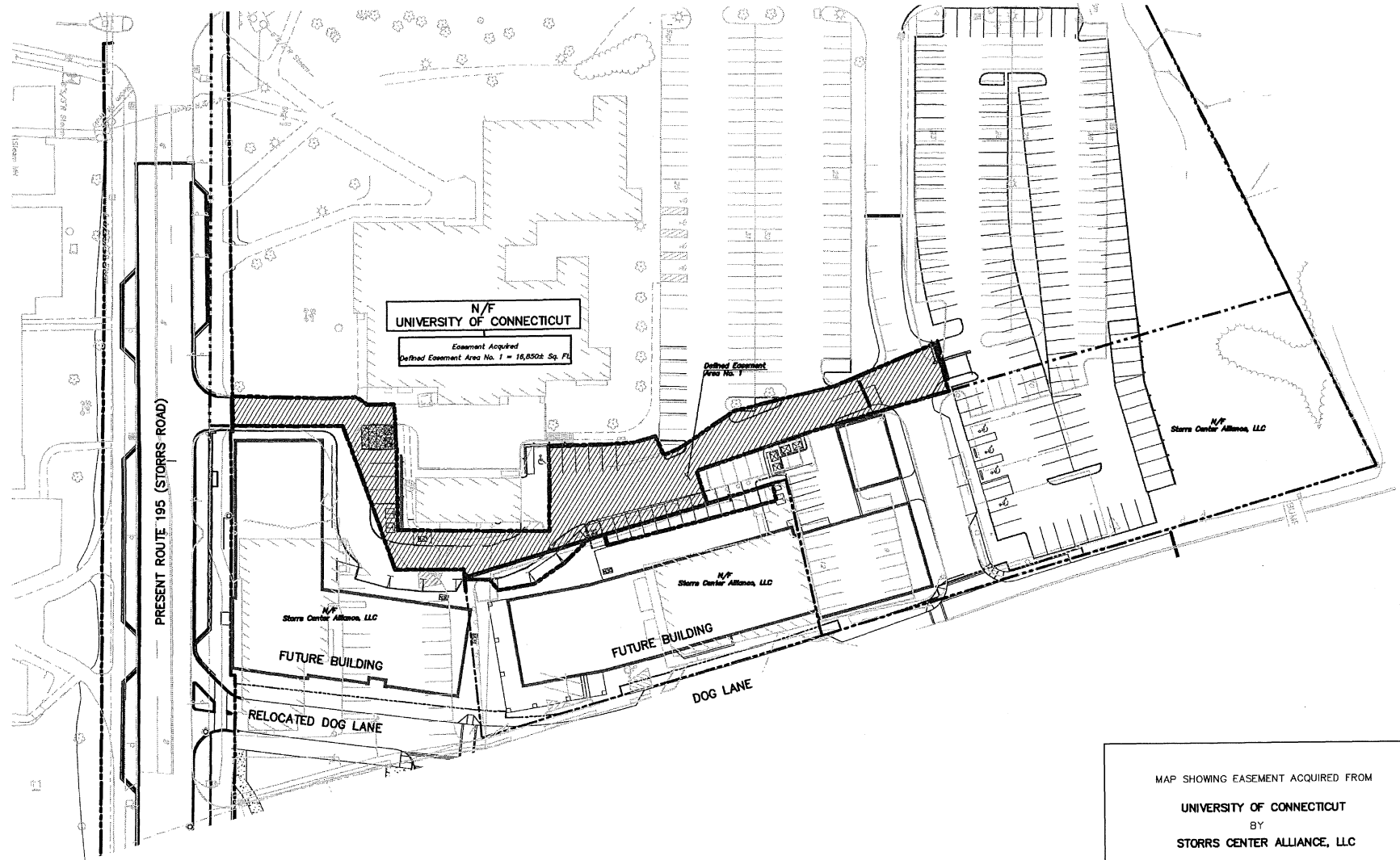
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CHECKED BY: G.F. DATE  
FILE

SCALE: 1 inch = 40 feet  
40 20 0 40  
SCALE IN FEET

MAP SHOWING EASEMENTS ACQUIRED FROM  
STORRS CENTER ALLIANCE, LLC  
BY  
UNIVERSITY OF CONNECTICUT

SCALE 1"=40' DECEMBER 2010

SCHEDULE E  
FUTURE EASEMENT IN FAVOR OF STORRS CENTER ALLIANCE, LLC



MAP SHOWING EASEMENT ACQUIRED FROM  
UNIVERSITY OF CONNECTICUT  
BY  
STORRS CENTER ALLIANCE, LLC

SCALE 1"=40'

DECEMBER 2010

DRAWN BY: BLZ DATE  
CHECKED BY: GJF DATE  
FILE

SCALE: 1 inch = 40 feet  
40 20 0 40  
SCALE IN FEET





University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Barry M. Feldman, Ph.D.  
*Vice President and  
Chief Operating Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

RE: Easement –Traffic Signal Pole, City of Stamford

RECOMMENDATION:

That the Board of Trustees grant an easement to the City of Stamford for the construction and maintenance of a traffic signal pole adjacent to the entrance drive of the Stamford Campus Garage.

BACKGROUND:

This easement, the estimated footprint of which is 2 feet square, is associated with the development of the St. Andrews site adjacent to the Stamford campus. The State DOT and Stamford Town Council required St. Andrews Church to add traffic signal control to their driveway as part of the residential development on their property. This requires installing a signal mast arm to support two signal heads facing their driveway.

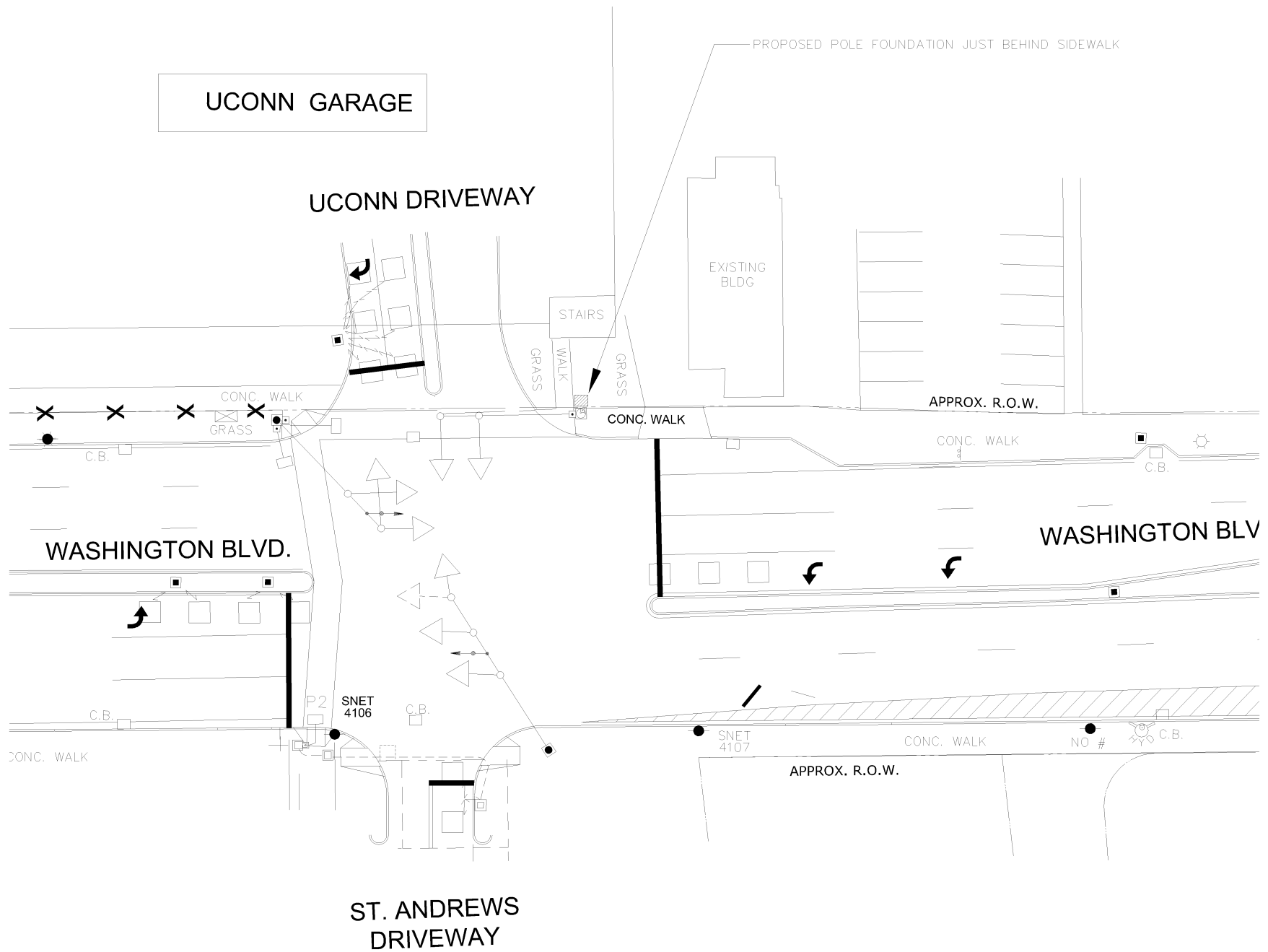
As shown in the attached Traffic Signal Plan, the proposed signal pole foundation would be installed on UCONN property just behind an existing public sidewalk, to avoid disruption to the sidewalk. The finished foundation would occupy an area of approximately 2 feet square in a grassy area. This will be a safe location for the students and general public using this sidewalk in this busy downtown neighborhood with several existing and proposed residential developments.

The University will seek nominal consideration for this easement because it is being sought by a municipal entity and is related to public safety and convenience.

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University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Barry M. Feldman, Ph.D.  
*Vice President and  
Chief Operating Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

RE: Easement related to UTC Power Corporation's Installation and Operation of a Fuel Cell on Depot Campus

RECOMMENDATION:

That the Board of Trustees grants a temporary easement to UTC Power Corporation ("UTC") for the construction, maintenance, access, utilities, and other uses associated with the installation, operation and maintenance of a natural gas-powered fuel cell at the Depot Campus that will generate electricity to the Clean Energy Engineering and Longley buildings.

BACKGROUND:

UConn has selected UTC to provide, install, operate and maintain one natural gas-powered fuel cell, a 30 ton absorption chiller and cooling tower on the Depot Campus which will provide electricity to the Depot Campus. This installation is being financially supported by the Connecticut Clean Energy Fund and funds from the America Recovery and Reinvestment Act. UConn will enter into an energy purchase agreement with UTC.

The temporary easement will be for a ten (10) year term of the energy purchase agreement by and between UTC and the UConn. The temporary easement will contain language indicating that any rights granted shall cease and revert back to UConn upon termination of the temporary easement. In the event the energy purchase agreement is terminated, the temporary easement will terminate simultaneously.

The temporary easement is intended for construction, installation and ongoing maintenance of UTC's fuel cell and other uses reasonably requested of the University.

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# The University of Connecticut Foundation, Inc.

## Development Progress Executive Summary

July 1, 2010 to February 28, 2011

Progress Toward Goals	FYTD10 Results	FY11 Goal	FYTD11 Results	% of Goal
<b><u>Commitment Results</u></b>				
Athletics	\$ 4.22 M	\$ 10.75 M	\$ 3.16 M	29%
UConn Health Center	\$ 4.39 M	\$ 10.75 M	\$ 4.45 M	41%
UConn General	\$ 17.25 M	\$ 32.50 M	\$ 16.38 M	50%
<b>Total Commitments</b>	<b>\$ 25.86 M</b>	<b>\$ 54.00 M</b>	<b>\$ 23.99 M</b>	<b>44%</b>
<b><u>Number of household donors</u></b>				
	16,828	30,000	17,525	58%
<b><u>Cash Results</u></b>				
Cash basis gift receipts	\$ 26.63 M	\$ 50.00 M	\$ 25.12 M	50%
Annual fund unrestricted receipts	\$ 683 K	\$ 1.00 M	\$ 672 K	67%

Campaign Commitments Progress	
<b>Fiscal Year 2010 Campaign Total (to Date)*</b> * This total reflects campaign write-offs and pre-campaign total of \$10.6 million, as appropriate.	\$ 251.24 M



**University of Connecticut Department of Human Resources**  
**New Hires Processed from February 1, 2011 to March 22, 2011**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATE
BELAND, NANCY G.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	TRANS,LOGISTICS & PARK	3/1/2011
BROWN, DON A.	SPECIALIST IIA	ATHLETICS MENS INTERCOL	2/7/2011
BROWN, JAMES P.	U STAFF PROF II	FIRE DEPT	1/28/2011
CHOWDHURY, SAUMITRA K.	ASST PROF IN RES	PHYSICS	1/1/2011
CONCEPCION, ANOUSHKA P.	ASST COOP EXT EDUC	SEA GRANT COLLEGE PROG	2/18/2011
CRUMP, FELICIA	UC PROFESSIONAL 07 - ACAD COUNSELOR/COORD	COUNSELING INTERCOL ATH	12/3/2010
CUTZ, GERMAN R.	ASSOC COOP EXT EDUC	DEPARTMENT OF EXTENSION	12/15/2010
DANN, AMY	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	LIBERAL ARTS & SCI DEAN	2/25/2011
DELEONE, GEORGE	SPECIALIST IIA	ATHLETICS MENS INTERCOL	1/21/2011
DESROSIERS, KRISTINE	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	ACCOUNTS PAYABLE	3/7/2011
DOONAN, BRIAN M.	U STAFF PROF III	CONTROLLER OFC	1/28/2011
DUDA, ERIK	UC PROFESSIONAL 06 - MEDIA PRODUCER I	UNIV COMMUNICATIONS	3/11/2011
GREENWALT, DOUGLAS J.	UC PROFESSIONAL 09 - DATABASE ADMIN 2	ENTERPRISE ADMIN SYSTEMS	1/28/2011
GUERARD, AMANDA	UC PROFESSIONAL 03 - PROG ASSISTANT I	CONFERENCE SERVICES	3/11/2011
JONES, RACHEL E.	UC PROFESSIONAL 05 - ACADEMIC ADVISOR I	ISS-ACD CTR ENTER STUDENT	2/18/2011
KERNOZICKY, STEPHANIE R.	UC PROFESSIONAL 10 - ASST COMP MANAGER	STUDENT AFF IT DEPT	2/11/2011
KNIFFIN, KASEY	UC PROFESSIONAL 03 - PROG ASSISTANT I	ECONOMICS	3/7/2011
LIGHT, CARA	UC PROFESSIONAL 03 - PROG ASSISTANT I	MATHEMATICS	2/25/2011
MAJEWSKI, MARK S.	U POST DOC FEL 1	MECHANICAL ENGR	2/11/2011
MARIN, TERESA	RESEARCH ASSISTANT I	SOCIAL WORK INSTR & RES	1/28/2011
MILLER, NATHANIEL A.	UC PROFESSIONAL 05 - ASST MGR ATHL FIELDS	ATHLETICS SPORTS OPER	3/1/2011
MONAHAN, TERENCE G.	U DIRECTOR	ENVIRON HEALTH & SAFETY	3/11/2011
MORALES, RAFAEL	UC PROFESSIONAL 09 - NETWORK TECHNICIAN 3	CEN-ASC	2/11/2011
NAHORNIAK, ANA-RALUCA	UC PROFESSIONAL 06 - PROGRAM SPEC I	OFFICE OF GLOBAL PROGRAMS	2/11/2011
NIEMI, JENNIFER	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	INTERNTL SERVICES & PROG	1/28/2011
PELOQUIN, GWYNETH L.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	STUDENT UNION	2/11/2011
PRIEST, GREGORY H.	U STAFF PROF II	FIRE DEPT	1/28/2011
RUIZ, DAVID	UC PROFESSIONAL 07 - OPER SYS PROG/ANAL 2	LIBRARY CENTRAL SERVICES	2/14/2011
SANTIAGO-TOSADO, GLADYS M	UC PROFESSIONAL 09 - CENTER DIRECTOR	PUERTO RICAN CULTURAL CTR	1/28/2011

**University of Connecticut Department of Human Resources  
New Hires Processed from February 1, 2011 to March 22, 2011  
Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
WANG, YANG	U POST DOC FEL 1	ELECTRICAL & CPTR ENGR	1/28/2011
WHITE, CLAYTON	SPECIALIST IIA	ATHLETICS MENS INTERCOL	2/14/2011
WORMSLEY, STEVEN	U DIRECTOR	VP INFORMATION SERVICES	1/28/2011
YANAWAY, TERRY O.	SP INTERNAL LECT I	COMMUNICATION SCIENCES	1/28/2011
ZEWELDI, DAWIT A.	U POST DOC FEL 1	CIVIL & ENVIRON ENG	3/1/2011

**University of Connecticut Department of Human Resources**  
**Separations Processed from February 1, 2011 to March 22, 2011**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPT</b>	<b>DATE</b>
ALLISON, PETER	UC PROFESSIONAL 10 - U ASSOC LIBRARIAN	RETIREMENT (25+ YRS SERVICE)	LRS	1/31/2011
BENBOW, EVAN	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	CHEM	3/3/2011
BHOWMICK, SANJIT	ASST RESEARCH PROF	RESIGNATION (GOOD STANDING)	CMBE	3/10/2011
BULLOCK, KAREN	ASSOCIATE PROFESSOR	RESIGNATION (GOOD STANDING)	SW	12/31/2010
CARTWRIGHT, ALLISON	U STAFF PROF II	RESIGNATION (GOOD STANDING)	ODE	1/5/2011
FRANKLIN-WHITE, MICHAEL C	ASSOC PROF IN RES	RESIGNATION (GOOD STANDING)	DRAM	2/22/2011
KIMBLE-WILLCUTTS, DIANE L	UC PROFESSIONAL 03 - PROG ASSISTANT I	RESIGNATION (GOOD STANDING)	CHIP	3/1/2011
MAROFSKY, BRIAN A.	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	RESIGNATION (GOOD STANDING)	CHIP	2/18/2011
MEYER, MICHAEL	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	ENGL	2/28/2011
O'BRIEN, TIMOTHY S.	SPECIALIST IA - ASSISTANT COACH	RESIGNATION (GOOD STANDING)	ATH	2/23/2011
SHARIFI, MAHMOUDREZA	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	ME	3/11/2011
STORHOFF, GARY	ASSOCIATE PROFESSOR	RETIREMENT (25+ YRS SERVICE)	RG CMP	2/1/2011
TORGERSEN, THOMAS L.	PROFESSOR	RETIREMENT (25+ YRS SERVICE)	MS/MST	2/1/2011
WANG, SHIMIN	ASST RESEARCH PROF	RESIGNATION (GOOD STANDING)	ME	3/20/2011

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 3/23/11**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATES</b>	<b>REASON FOR LEAVE</b>
Armstrong, Thelma	UCP 9 - OSD Consl & Proj Mgr	Social Work Instr & Re	2/18/11-3/31/11	Medical leave with pay
Bauman, David A	UCP 9 - Publicity/Mktg Dir	Univ Communications	2/1/11-3/11/11	Medical leave with pay
Bergendahl, Kimberly R	Asst Prof in Res	Political Science	1/18/11-3/3/11	Maternity leave with pay
Braun, Danielle M	UCP 6 - Career Consultant	Law Sch Dean Ofc	3/16/11-3/31/11	Parental leave without pay
DeRicco, Beth S	U Asst Director	Alc & Drug Edu Serv	12/1/10-1/26/11	Medical leave with pay
DeRicco, Beth S	U Asst Director	Alc & Drug Edu Serv	1/27/11-6/23/11	Medical leave without pay
Glaude, Peter A	UCP 4 - Mech Design Tech II	Engineering Dean Ofc	2/23/11-3/9/11	Parental leave with pay
Gore, Brigid	UCP 5 - Dng Serv Ar Ast Mgr	Dining Services	2/9/11-2/17/11	Medical leave with pay
Gore, Brigid	UCP 5 - Dng Serv Ar Ast Mgr	Dining Services	2/18/11-5/1/11	Medical leave without pay
Higonnet, Margaret R	Professor	English	2/23/12-8/22/12	Personal leave without pay
Hoff Fryar, Michele	UCP 7 - Asst Place Dir-Law	Law Sch Dean Ofc	2/16/11-3/30/11	Maternity leave with pay
Kapsch, Michael	UCP 5 - Dng Serv Ar Ast Mgr	Dining Services	2/24/11-5/6/11	Medical leave with pay
Kiayias, Aggelos	Associate Professor	Computer Science & Eng	8/23/11-2/22/12	Personal leave without pay
Manning, John J	Associate Professor	English	1/17/11-4/30/11	Medical leave with pay
McCoy, Patricia A	Professor	Law Sch Instr & Res	8/23/11-2/22/12	Fellowship Leave with Pay
Ryan, Heather J	UCP 5 - Budget Specialist	Budget Ofc	1/31/11-3/15/11	Maternity leave with pay
Sanetti, Lisa M	Assistant Professor	Educational Psychology	12/20/10-1/28/11	Maternity leave with pay
Schmidt, David W	UCP 5 - Admin Coordinator	Dining Services	2/14/11-4/1/11	Medical leave without pay
Wilson, Mark	UCP 3 - Network Technician I	Hartford Director Ofc	2/17/11-3/6/11	Medical leave with pay



## **AGENDA**

### **Meeting of the BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE**

April 7, 2011, 9:30 a.m.  
University of Connecticut  
Merlin D. Bishop Center  
Storrs, CT

#### **ACTION ITEMS:**

- 1) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meetings of January 11, 2011 and February 16, 2011, as circulated
- 2) Easement – Charter Communications
- 3) Easement related to Storrs Center
- 4) Easement – Traffic Signal Pole, City of Stamford
- 5) Easement related to UTC Power Corporation's Installation and Operation of a Fuel Cell on Depot Campus

#### **INFORMATION/DISCUSSION ITEMS:**

- 6) Project Updates
- 7) Contract Amendments to Conveyance and Environmental Agreements for Storrs Center
- 8) Water Well Relocation Update
- 9) Recent Change to the By-Laws of the University of Connecticut (*Article V – Committees of the Board of Trustees*)

#### **EXECUTIVE SESSION** (Anticipated)



**AGENDA**  
**Meeting of the**  
**CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE**  
**April 7, 2011, 9 a.m.**  
**UNIVERSITY OF CONNECTICUT**  
**Bishop Center, Storrs, Connecticut**

**PUBLIC COMMENTS:**

**ACTION ITEMS:**

1. Approval of the Minutes of the Construction Management Oversight Committee Meeting held on January 11, 2011.

**PRESENTATIONS AND DISCUSSIONS:**

2. Quarterly Report on Construction Performance Reported by the Office of Construction Assurance and outstanding issues.
3. General Introduction on Combined Organizations-Former AES and Building Services
4. Status of Code Correction Projects:
  - A. Construction Management Oversight Committee Monthly Code Inspection Status Report – Comments/Questions
  - B. Office of the State Fire Marshall Legacy Status Report
  - C. Progress on Completion of Reports for Category 4 and Presentation of Implementation and Corrections
5. December 31, 2010 Quarterly Construction Status Report – Comments/Questions
6. Project Status Report – Selected Major Projects
7. Building Design Activity: Status Report
8. New Hospital Project

**NEW BUSINESS**

**EXECUTIVE SESSION**

**Committee on Institutional Advancement  
University of Connecticut – Board of Trustees**

Tuesday, March 22, 2011  
4:00 PM  
Teleconference

1. Acceptance of the Minutes of February 15, 2011..... Mr. Treibick  
**Attachment 1**

2. Development Report ..... Mr. Martin  
**Attachment 2**

3. Naming Recommendation..... Mr. Treibick  
**Attachment 3**

**Academic:**

**School of Engineering**

United Technologies Corporation Professor in Systems Engineering

4. Alumni Update ..... Ms. Lewis  
**Attachment 4**

5. Communications Update..... Mr. Walter  
**Attachment 5**

6. Legislative Update..... Ms. Garber  
Ms. Lombardo

Teleconferencing Instructions

- Dial 1-888-730-9134. The password is **UConn**. Conference Leader: **Richard Treibick**.
- The operator will ask for your name, announce to other participants that you are joining the conference and you will be connected.

**THE UNIVERSITY OF CONNECTICUT  
BOARD OF TRUSTEES**

**MEETING OF THE STUDENT LIFE COMMITTEE  
April 13, 2011**

**Rome Ballroom Portico, Storrs Campus  
1:30 PM**

**AGENDA**

- |    |  |                                    |
|----|--|------------------------------------|
| 1. | Call to order  | Trustee Bailey                     |
| 2. | Review and Approval of minutes of 2/22/11 meeting    | Trustee Bailey                     |
| 3. | Chairwoman's Report                                  | Trustee Bailey                     |
| 4. | Review of Updated Constitutions for Trustee Accounts | Christine Wilson                   |
| 5. | Vice President for Student Affairs Report            | John Saddlemire                    |
| 6. | Student Trustees Report                              | Trustee Schmitt<br>Trustee Scianna |
| 7. | Other Business                                       | All                                |
| 8. | Adjournment  | Trustee Bailey                     |



## **AGENDA**

Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**

Wednesday, April 13, 2011  
Rome Commons Ballroom  
Storrs, CT

9:30 a.m. – 10:00 a.m.

	<b><u>COMMITTEE</u></b>	<b><u>ATTACHMENT</u></b>
1) Approval of the Minutes of the Academic Affairs Committee Meeting of February 22, 2011 as circulated	A	

### **ACTION ITEMS:**

2) Recommendation for Designation as <i>Board of Trustees Distinguished Professor</i> , Academic Year 2010-2011	1
3) Approval of Promotion and Tenure	4
4) Sabbatical Leave Modifications	5
5) Designation of Emeritus Status	6
6) Approval of a Graduate Certificate Program in Cognitive Science in the College of Liberal Arts and Sciences	7
7) Modify the current individual Graduate School Fields of Study in French, Italian, German, Spanish, with the Comparative Literary and Cultural Studies Field of Study for the M.A. and Ph.D. degrees by merging the above into a newly-named Field of Study entitled Literatures, Cultures and Languages for the M.A. and Ph.D. degrees	8
8) Modification of the M.S. Program in the School of Engineering	9
9) Appointment of Dr. Baki M. Cetegen to the United Technologies Corporation Chair in Thermal Fluids Engineering in the School of Engineering	10
10) Establish the United Technologies Corporation Professorship in Systems Engineering and Appoint Dr. Krishna R. Pattipati to the United Technologies Corporation Professorship in Systems Engineering in the School of Engineering	11

## **INFORMATIONAL ITEMS:**

### 11) Five Year Review of Academic Centers and Institutes

13

- Center for Integrative Geosciences. This Center offers transdisciplinary programs of instruction and research that advance understanding of the interaction of biological, chemical, geological, and physical processes, including feedback mechanisms, at all spatial and temporal scales that have shaped Earth through geologic time, continue to shape the environment today, and which provide the basis for understanding the present and future impact of human activity on the planet. Based upon a recommendation from the Academic Centers and Institutes Review Committee, the Provost is renewing this Center for five years.
- Center for Population Research. Create an institutional environment to foster interactions among population studies scholars. The Center was created to secure NIH funding (through both its Short-term Support for Rising Programs and the Population Research Infrastructure Program) to provide long-term stability and visibility to the Center. Based upon a recommendation from the Academic Centers and Institutes Review Committee, the Provost is renewing this Center for three years.
- Center for Digital Media. Mission statement: Establish, advance and promote an internationally recognized program of transdisciplinary research in digital media ideation, development, production, dissemination and technology. The Center will provide critical coordination, support, promotional, outreach and fund-raising structure to enhance research and creativity in digital visualization, and serve as a catalyst for economic development. It will serve to catalyze interactions among faculty, students, digital industry leaders and nationally based corporate end-users. Based upon a recommendation from the Academic Centers and Institutes Review Committee, the Provost has agreed to establish this Center for a five year initial period.

### 12) Tenure-track Reappointments

14

### 13) Revised Policy on Consulting for Faculty and Members of the Faculty Bargaining Unit

15

### 14) Establishment of the Office of Institutional Effectiveness

16

## **PRESENTATION ITEM:**

### 15) Office of Institutional Effectiveness, Karla H. Fox, Professor and Executive Director



**MINUTES**  
**MEETING OF THE ACADEMIC AFFAIRS COMMITTEE**  
**February 22, 2011**

Trustees Present: Archambault, Bailey, Burrow, Dennis-LaVigne, Drotch, Jacobs, Lobo, McHugh, Schmitt, Scianna, Shepperd

Staff Present: Aggison, Bansal, Bull, Feldman, Gray, Laurencin, McDowell, Melvin, Munroe, Nicholls, Rubin, Saddlemire, Singha, Stwalley

Committee Chairman Jacobs convened the meeting at 9:30 a.m. at the University of Connecticut, Rome Ballroom, Storrs Campus. On a motion by Committee Chairman Jacobs, seconded by Trustee Archambault, the minutes of the January 26, 2011 meeting were approved as circulated.

Provost Nicholls introduced **Action Item #2, Designation of Emeritus Status**. Moved by Trustee Archambault, seconded by Trustee Schmidt, the Committee recommended the approval of the emeritus designations to the full Board.

Provost Nicholls introduced **Action Item #3, Approval of Sabbatical Leave Requests**. Moved by Trustee Archambault, seconded by Trustee Shepperd, the Committee recommended the approval of the sabbatical leave requests to the full Board.

Provost Nicholls introduced **Informational Item #4, Five Year Review of Centers and Institutes**. Provost Nicholls remarked a number of Centers and /or Institutes were reviewed this past academic semester by the Academic Centers/Institutes Review Committee. This is a process that requires Center/Institute Directors to submit a self-study document detailing accomplishments that were conducted in the Center/Institute over the past five years.

Committee Chairman Jacobs asked for a brief update regarding the Center for the Ecological Study of Perception and Action. Provost Nicholls asked Professor Jose Manautou, Associate Professor, Pharmaceutical Sciences, and Chair, Academic Centers/Institutes Review Committee, to respond. Professor Manautou replied that the Center for the Ecological Study of Perception and Action is a multidisciplinary Center with strong scholarly productivity.

Provost Nicholls introduced **Informational Item #5, Faculty Consulting Program**. Provost Nicholls informed the Board of revised procedures on consulting for Faculty and Members of the Faculty Bargaining Unit regarding what consulting activities are eligible for the accelerated approval process.

The past accelerated approval process was intended to provide efficiency in the review and approval of requests to consult. It applied to standard academic activities as defined by the department head, with a level of compensation to \$1,000 per activity. This threshold will be increased to \$5,000 per year from each contracting entity, effective April 1, 2011. This increase recognizes the Federal government's increasing use of a \$5,000 threshold when considering individual conflicts of interest in research. This will allow the University to Fast-Track more consulting activities.

Trustee Shepperd asked Provost Nicholls if the University had frequently gone past the \$5,000 mark for individuals. Dr. Nancy Bull, Vice Provost for Academic Administration replied that she would gather this information and report it back to the Board at a future meeting. Dr. Bull remarked that the



total number of days that faculty request to consult is relatively low over the course of the year. The accelerated approval at the \$5,000 level includes compensation of the cost of their travel and hotel. This process will be in line with the Federal Conflict of Interest Guidelines.

Committee Chairman Jacobs asked Provost Nicholls to explain the process of the consulting accelerated approval process. Provost Nicholls informed the Board that the approval process has been designated to Dr. Bull, of the Provost Office. Department Heads would approve faculty requests that are considered as a part of the accelerated process. Any other type of consulting must be approved prior to the event by the Department Head, Dean and the Provost Office.

Committee Chairman Jacobs thanked the members of the Faculty Consulting Oversight Committee for their hard work. Trustee Archambault thanked the Office of Audit, Compliance and Ethics for their work on consulting issues at the University.

Respectfully submitted,

A handwritten signature in black ink, reading "Catherine Dunnack". The signature is written in a cursive, flowing style.

Catherine Dunnack  
Committee Secretary



**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
April 13, 2011 at 10:00 a.m.  
University of Connecticut  
Lewis B. Rome Commons Ballroom

Revised

**ATTACHMENT LOCATION**  
**COMMITTEE      FULL BOARD**

**EXECUTIVE SESSION**

**ACTION ITEM(S):**

- |  |   |    |
|--|---|----|
| 1) Approval of the Minutes of the Financial Affairs Committee Meetings of January 26, 2011 and February 22, 2011, as circulated                        | A |    |
| 2) Contracts and Agreements for Approval – Revised   |   | 2  |
| 3) 2011-2012 Rental Rates for Residential Properties and Northwood Apartments  |   | 3  |
| 4) Revised Allocation of Bond Authorizations as set forth In the Sixteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) |   | 17 |
| 5) Amendments to Conveyance and Environmental Agreements for Storrs Center   |   | 18 |

**Project Budgets for Approval:**

	<i>Project</i>	<i>Phase</i>	<i>Budget</i>	<i>Tab</i>
6)	Project Cancellation – Bishop Renovation	Planning	\$6,000,000	19
7)	Community Professional Building Renovation – Avery Point Campus	Final*	\$1,000,000	20
8)	Hall Dorm Ground Floor Restoration	Final*	\$770,000	21
9)	Route 195 Sewer Line Replacement – Area 1	Final	\$550,000	22
10)	UCHC Academic Building (Bldg. A) Roof Replacement	Final	\$725,000	23
11)	UCHC Dental School CONNcept Clinic Renovation [formerly GP3 Clinic]	Final*	\$1,000,000	24

**Project Budgets for Approval (Continued):**

	<i>Project</i>	<i>Phase</i>	<i>Budget</i>	<i>Tab</i>
12)	Floriculture Greenhouse	Revised Final	\$6,070,000	Handout
13)	Storrs Hall Addition	Revised Final	\$14,825,000	25
14)	West Hartford Campus Renovations/ Improvements – Replace Boilers, 1800 Asylum Avenue	Revised Final	\$850,000	26

*\* Includes a request for a waiver of the 3 phase project budget process.*

**DISCUSSION ITEMS:**

15) Financial Updates – Storrs based programs and the Health Center

**INFORMATION ITEMS:**

- 16) Contracts and Agreements B  
(New contracts with a value of \$500,000 - \$999,999, or  
services previously approved on a Project Budget)
- 17) Master Schedule for UCONN 2000 Phases I, II & III (Separate Cover)
- 18) Construction Project Status Report (Separate Cover)
- 19) UCONN 2000 5 Year Progress Report

[http://www.uc2000.uconn.edu/reports/five\\_year\\_2011/UC2000\\_5\\_year\\_2011.pdf](http://www.uc2000.uconn.edu/reports/five_year_2011/UC2000_5_year_2011.pdf)



**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**January 26, 2011**

TRUSTEES PRESENT: Archambault, Bailey, Burrow (*via Telephone*), Dennis-LaVigne, Drotch (*via Telephone*), Jacobs, Lobo, Martinez, McHugh, Reviczky, Ritter, Schmitt, Scianna (*via Telephone*) and Shepperd (*via Telephone*)

STAFF PRESENT: Aggison, Austin, Biancamano, Bull, Callahan, Carone, Cooper, Eaton, Egeberg, Feldman, Fox, Gore, Gray, Hathaway, Kirk, Laurencin, Locke, McDowell, McGee, Melvin (*via Telephone*), Munroe, Nicholls, Roe, Roelfs, Roy, Rubin, Saddlemire, Schurin, Singha, Sitkowski, Sullivan, Teal, Trutter, Urban, Walker, Walter, Wetstone, and Wynn

SENATE PRESENT: Bansal, Hiskes, Moiseff, Stwalley and Zirakzadeh

GUESTS: Nancy Brady, Department of Higher Education

Committee Chairman Peter Drotch convened the meeting via telephone at 10:00 a.m. in the South Campus Ballroom of the University of Connecticut in Storrs. He directed the committee to ***Item #1, Approval of the Minutes of the Financial Affairs Committee Meetings of October 5, 2010, November 9, 2010 and January 11, 2011.*** On a motion by Trustee Archambault and seconded by Trustee Martinez the minutes were approved, as circulated. Mr. Drotch added that there were some follow up items noted in the minutes which would be addressed at this and future meetings.

On a motion by Trustee Archambault and seconded by Trustee Martinez agenda ***Item #2, Revised Allocation of Bond Authorizations as set forth in the Sixteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)*** was recommended to the full Board for approval.

Trustee Drotch directed the committee to agenda ***Item #3, Contracts and Agreements for Approval-Revised.*** Dr. Feldman instructed the committee that there was one additional lease for the Health Center added to the document. On a motion by Trustee Martinez and seconded by Trustee Archambault the item was recommended to the full Board for approval.

Steven Reviczky, new Commissioner of Agriculture, was introduced as a new trustee and Mr. Drotch asked that Dr. Feldman provide an explanation of the project budget process, the three phase process, the project budget phase waiver as well as how the roles of the Financial Affairs Committee and that of the Buildings, Grounds and Environment Committee differ. The committee was then directed to the project budgets presented for approval. Dr. Feldman provided brief explanations of each project. Trustee Jacobs inquired about furniture, fixtures and equipment and how it is paid for as it is often listed on the project budget as not being an included item. Dr. Feldman responded that the operating department is often asked to provide that funding. Chairman

McHugh added that it was important that the Trustees know if the cost of furniture and fixtures expense will bring the cost over \$500,000. Dr. Feldman stated that the administration is mindful of that concern. On a motion by Trustee Martinez and seconded by Trustee Archambault agenda *Items #4-21* were recommended to the full Board for approval. The projects included, *Babbidge IT & Phone Functional Areas – Heating Ventilation and Air Conditioning and Electrical Repairs (Planning: \$700,000); Community Professional Building Renovation – Avery Point Campus (Planning: \$1,000,000); Fine Arts Building Envelope Repair (Planning: \$600,000); Hall Dorm Ground Floor Restoration (Planning: \$770,000); Biobehavioral Complex Replacement (Renovation) (Revised Planning: \$2,000,000); Psychology Building Renovation/Addition (Revised Planning: \$22,500,000); UCHC Main Building Cooling System, Chillers #1, 2 & 3 Replacement (Revised Planning: \$5,330,000); Route 195 Sewer Line Replacement – Area 1 (Design: \$550,000); Shippee Dining Hall Renovations (Design: \$3,000,000); Wood Hall Façade Repairs (Design: \$1,700,000); Residential Life Facilities – Connecticut Commons Complex Renovations (Final - \$5,000,000); Residential Life Facilities – Window Replacement – Grange Hall, Hicks Hall, Shippee Hall and Dining Facility and Northwood Complex (Final: \$3,800,000); Roads, Sidewalks and Miscellaneous Repairs – Phase III (Final: \$850,000); Storrs Hall Addition – Windows and Exterior Repairs (Final: \$740,000); West Hartford Campus Renovations/Improvements – Electrical Switchgear Replacement (Final: \$800,000); West Hartford Campus Renovations/Improvements – Student Lounge and Office Relocation (Final: \$750,000); OSFM Legacy Code Remediation (Revised Final: \$9,000,000); and Water Reclamation Facility (formerly Grey Water Facility) (Revised Final: \$28,951,000).*

John Biancamano, Health Center Chief Financial Officer, provided a Health Center financial update. Richard Gray, Vice President and Chief Financial Officer, provided a financial update including a detailed description of the State's fund sweeps of Storrs based program accounts.

Trustee Drotch directed the committee to the remaining information items listed on the agenda. On a motion by Trustee Drotch and seconded by Trustee Archambault the meeting was adjourned at 11:03 a.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone  
Secretary to the Committee

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**February 22, 2011**

TRUSTEES PRESENT: Archambault, Burrow, Dennis-LaVigne, Drotch, Jacobs, Martinez  
(via Telephone), McHugh, Nayden (via Telephone), Schmitt, Scianna,  
Shepperd and Wilson

STAFF PRESENT: Aggison, Andrews, Austin, Biancamano, Bull, Callahan, Carone,  
Cooper, Egeberg, Feldman, Fox, Gore, Gray, Kirk, Laurencin, Locke,  
McDowell, Melvin, Munroe, Nicholls, Roe, Roelfs, Roy, Rubin,  
Saddlemire, Schurin, Singha, Sullivan, Summerer, Urban, Walker,  
Wetstone, and Wynn

SENATE PRESENT: Bansal and Moiseff

GUESTS: Nancy Brady, Department of Higher Education

Committee Chairman Peter Drotch convened the meeting at 10:00 a.m. in the Rome Commons Ballroom of the University of Connecticut in Storrs. He directed the committee to ***Item #1, Approval of the Minutes of the Financial Affairs Committee Meetings of January 26, 2011.*** He informed the committee that the item would be tabled until the next meeting as the minutes were not available at the time of printing. On a motion by Trustee Archambault and seconded by Trustee Burrow the item was tabled until the next meeting.

Trustee Drotch directed the committee to agenda ***Item #2, Contracts and Agreements for Approval.*** Dr. Feldman instructed the committee that the contracts presented were for on-call services. On a motion by Trustee Archambault and seconded by Trustee Burrow the item was recommended to the full Board for approval.

The Governor's proposed budget is being analyzed to determine its potential impact on the University's budget; therefore, ***Items 3-6, Fiscal Year 2012 Institutional Fees (Tuition, Room & Board) for the University of Connecticut, Storrs and Regional Campuses; Fiscal Year 2012 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses; Fiscal Year 2012 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses; Tuition and Fee Rates for Fiscal Year 2012 for the University of Connecticut School of Medicine and School of Dental Medicine*** were tabled until the next meeting, on a motion by Trustee Archambault and seconded by Trustee Martinez.

Dr. Feldman provided brief summaries of construction projects presented for committee approval. Trustee Nayden suggested a review of annual building maintenance procedures and recommended that a policy be brought back to the Board. On a motion by Trustee Archambault and seconded by Trustee Martinez agenda ***Items #7-16*** were recommended to the full Board for approval. The projects included ***Beach Hall Renovations – Façade and Roof Repair (Planning: \$2,000,000); Bishop Renovations – Mechanical System Improvements and Façade Modifications (Planning: \$2,000,000); Law School Renovations/Improvements – Starr, Chase and Knight Halls***



***Building Envelope Repair (Planning: \$2,517,000); Manchester Hall Renovations – Building Envelope Repair (Planning: \$2,000,000); Pedestrian Safety Improvements – Phase I (Planning: \$1,890,000); Gant Building Renovations – Phase I (Revised Planning: \$2,310,000); Floriculture Greenhouse (Final: \$5,000,000); Storrs Hall Addition (School of Nursing) (Final: \$13,400,000); Gant IMS Fire Alarm Replacement (Revised Final: \$1,372,000); and West Hartford Campus Renovations/ Improvements – Student Lounge and Office Relocation (Revised Final: \$839,000).***

Trustee Drotch stated that the audited Financial Statements for both Storrs and the Health Center were included in the materials. He recommended that the Trustees read the documents; in particular the management discussion and analysis. He requested that in the future the documents be distributed as soon as they are available and not wait for the Board meeting in order to give them the attention they warrant. The next few meetings of the Financial Affairs Committee will address significant financial challenges and the Financial Reports will provide a sound base for reacting to the upcoming difficult decisions.

Mr. Gray was asked by Trustee Drotch to provide a financial update for the University. Mr. Gray stated that a six month review was provided at the previous meeting. Since that time the finances continue to be unremarkable for FY11 for both Storrs and the Health Center.

Trustee Jacobs recommended the Board look at student debt load and recommended looking into infusing altruism into the graduates by working in underserved areas with possible forgiveness of loans for this. He wasn't sure if this would be a state, federal or university issue. He added that current economics warrant giving this consideration.

Trustee Drotch directed the committee to the remaining information items listed on the agenda. On a motion by Trustee Drotch and seconded by Trustee Archambault the meeting was adjourned at 10:15 a.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone  
Secretary to the Committee



**CONTRACTS AGREEMENTS**

**FOR INFORMATION**

**APRIL 13, 2011**

**New Contracts with a value of \$500,000 - \$999,999 or services previously approved on a Project Budget**

**PROCUREMENT**

**PROCUREMENT - NEW**

No.	Contractor	Amount	Term	Fund Source	Program Director	Purpose
1	Bruker Biospin Corp.	\$535,420	03/08/11-12/31/11	Research Foundation	Suman Singha, Vice President for Research	Purchase of a 400 MHz Solid-State NMR Wide Bore Spectrometer - This instrument will be primarily used for both spectroscopy and diffusion measurements within a wide range of temperatures, including state-of-the-art homo and heteronuclear one and two-dimensional NMR studies of the structural elucidation and dynamics of organic and inorganic molecules, small biomolecular systems, and systems in materials sciences.
2	O&G Industries	\$16,774,000	04/01/11-08/01/12	Operating Fund-General	Matthew Larson, Director of Procurement Services	Contractor will furnish and install all materials, labor and equipment required for the Water Reclamation Facility and distribution system. <b>(Final Project Budget approved by BOT on 01/26/11 - \$28,951,000 Project No. 901229)</b>

**PROCUREMENT - AMENDMENTS**

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY10	Expenditures FY09	Purpose
1	DPC Architecture, Inc.	\$901,740 [Total Contract Amount \$1,328,030]	01/11/10-06/30/15	UCONN 2000	Matthew Larson, Director of Procurement Services	\$134,284	\$77,100	\$0	Architect to provide additional professional services for the Young Building for Schematic Design Phase; Design Development Phase; Construction Documents Phase; Bidding/ Proposal or Negotiation Phase; and Construction and Close-out Phase. <b>(Revised Planning Budget approved by BOT on 11/09/10, \$15M-Project No. 901373)</b>
2	Enterprise Rent-A-Car	\$130,000 [Total Contract Amount \$568,690]	07/01/08-06/30/13	Multiple Sources	William Wendt, Director, Transportation & Parking Services	\$438,690	\$167,000	\$139,690	On-site firm to provide vehicle rentals to University personnel and sanctioned student activity groups for University business only. <b>Amend to increase by \$130,000 for approval of additional projected expenditures through June 30, 2012.</b>
3	Marcum LLP (formerly known as UHY LLP)	\$127,000 [Total Contract Amount \$806,962]	01/12/08-01/02/12	Multiple Sources	Michael Walker, Chief Audit & Compliance Officer	\$679,962	\$118,000	\$69,990	Auditing Services and agreed upon procedures for UCONN 2000 expenditures. <b>Amend for approval of additional expenditure \$127,000 for FY11. JACC approval 02/17/11.</b>
23	Post Road Stages	\$55,000 [Total Contract Amount \$558,520]	08/01/03-07/30/12	Multiple Sources	Matthew Larson, Director of Procurement Services	\$503,520	\$85,660	\$166,031	Provide coach bus service on an "as needed basis" for all University departments. <b>Amend to approve additional expenditure of \$55,000.</b>
31	Van Scoyoc Associates	\$185,000 [Total Contract Amount \$757,876]	01/01/10-12/31/11	Tuition Fund	Gail Garber, Director University Relations	\$572,876	\$185,000	\$185,000	To assist the University in increasing its current level of federal funding and grant opportunities for research, academic, and institutional activities. <b>Amend to exercise the fourth of five (5) one year extensions.</b>



University of Connecticut  
*Office of the Vice President and  
Chief Financial Officer*

April 13, 2011

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard Gray  
Vice President and Chief Financial Officer

RE: Project Budget for Floriculture Greenhouse (Revised Final: \$6,070,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$6,070,000 for the Floriculture greenhouse project.

BACKGROUND:

The Floriculture greenhouses were constructed in 1953 and encompass 23,750 square feet of greenhouse, office and small classroom space. The greenhouses are a vital portion of the College of Agriculture and Natural Resource's Plant Science Program.

The purpose of this project is to renovate or partially replace the greenhouses and upgrade the head house to meet life safety codes and programmatic needs developed by the Plant Science Department. The project will provide for systems replacement of the headhouse, improved academic spaces, and a new research greenhouse. The University has prequalified General Contractors and released the project for bidding as a general contractor type of construction contract.

Bids were opened on February 22, 2011 and the 10 prequalified general contractors who bid on the work were all significantly over the budget target, especially in the area of mechanical systems. The design team and the Plant Science Department revised the scope of work to reduce costs, but additional University funds will be required. Bids received on April 11, 2011 confirm that additional funds will be required due to the increase in material costs.

This Revised Final Budget is attached for your consideration and approval.

*An Equal Opportunity Employer*

352 Mansfield Road Unit 2122  
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455  
Facsimile: (860) 486-1070

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## CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: FLORICULTURE GREENHOUSE

	APPROVED PLANNING 6/24/2008	APPROVED REVISED PLANNING 9/22/2009	APPROVED DESIGN 9/21/2010	APPROVED FINAL 2/22/2011	PROPOSED REVISED FINAL 4/13/2011
<b><u>BUDGETED EXPENDITURES</u></b>					
CONSTRUCTION	\$ 2,215,000	\$ 3,690,000	\$ 3,700,000	\$ 3,810,000	\$ 4,800,000
DESIGN SERVICES	250,000	550,000	475,000	450,000	400,000
TELECOMMUNICATIONS	-	-	75,000	50,000	50,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	106,500	70,000
CONSTRUCTION ADMINISTRATION	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	25,000	75,000	85,000	127,045	189,745
ART	-	-	-	-	-
RELOCATION	-	-	-	-	-
ENVIRONMENTAL	50,000	50,000	50,000	60,000	70,000
INSURANCE AND LEGAL	10,000	10,000	10,000	5,500	5,500
MISCELLANEOUS	-	-	105,000	22,955	12,555
OTHER SOFT COSTS	150,000	125,000	-	-	-
<b>SUBTOTAL</b>	<b>\$ 2,700,000</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>	<b>\$ 4,632,000</b>	<b>\$ 5,597,800</b>
PROJECT CONTINGENCY	300,000	500,000	500,000	368,000	472,200
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 3,000,000</u></b>	<b><u>\$ 5,000,000</u></b>	<b><u>\$ 5,000,000</u></b>	<b><u>\$ 5,000,000</u></b>	<b><u>\$ 6,070,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>					
UConn 2000 PHASE III	\$ 3,000,000	\$ 5,000,000	\$ 5,000,000	\$ 5,000,000	\$ 6,000,000
DEPARTMENTAL FUNDS	-	-	-	-	70,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 3,000,000</u></b>	<b><u>\$ 5,000,000</u></b>	<b><u>\$ 5,000,000</u></b>	<b><u>\$ 5,000,000</u></b>	<b><u>\$ 6,070,000</u></b>



# FLORICULTURE GREENHOUSE

## Project Budget (Revised Final)

04/13/11



Chamney Architects LLC  
1000 New Britain Road  
New Britain, CT 06053  
Tel: 860.339.1234



University of Connecticut  
*Floriculture Building*  
1395 Storrs Road  
Storrs, Connecticut

Street View Looking West  
(03/10)



Chamney Architects LLC  
1000 New Britain Road  
New Britain, CT 06053  
Tel: 860.339.1234



University of Connecticut  
*Floriculture Building*  
1395 Storrs Road  
Storrs, Connecticut

Street View Looking Southwest  
(03/10)