

6-10-2010

Agenda and attachments, June 10, 2010

Follow this and additional works at: https://opencommons.uconn.edu/bot_agendas

Recommended Citation

"Agenda and attachments, June 10, 2010" (2010). *Agendas and Minutes*. S22.
https://opencommons.uconn.edu/bot_agendas/S22



University of
Connecticut

Board of



TRUSTEES

**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Merlin D. Bishop Center
Storrs, Connecticut

June 10, 2010

OPEN SESSION

Call to order at 11:00 a.m.

EXECUTIVE SESSION

1. Executive Session anticipated.
2. Close Executive Session and recess for lunch.

OPEN SESSION

Reconvene in Open Session at 1:00 p.m.

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Board recognition
 - (c) Minutes of the meetings of April 20 and May 21, 2010
 - (d) 2010-2011 Board meeting schedule (Attachment 1)
 - (e) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based programs (Attachment 2)
 - (2) Fiscal Year 2011 College of Liberal Arts and Sciences On-Line Graduate Courses in Survey Research (Institutional Fee) (Attachment 3)
 - (3) Revised Promotion, Tenure and Reappointment Recommendations (Attachment 4)
3. President's Report
4. Academic Affairs Committee Report
 - (a) Report on Committee activities
5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Spending Plan for Fiscal Year 2011 for the University of Connecticut, Storrs and Regional Campuses (Attachment 5)
 - (2) State Appropriation Current Services Request for the Biennium Fiscal Years 2012 and 2013 for the University of Connecticut, Storrs and Regional Campuses (Attachment 6)

- (3) Sixteenth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds (Attachment 7)
- (4) Project Budget (Planning) for Law School Renovations/Improvements – Knight Hall, Room 215 – Classroom Renovation (Attachment 8)
- (5) Project Budget (Planning) for the University of Connecticut Health Center New Construction and Renovation (Attachment 9)
- (6) Project Budget (Revised Planning) for West Hartford Campus Renovations/Improvements – Student Lounge and Office Relocation (Attachment 10)
- (7) Project Budget (Design) for the Student Union Quadrangle Site Work and Landscape – Phase II (Attachment 11)
- (8) Project Budget (Design) for West Hartford Campus Renovations/Improvements – Chemistry Lab (Attachment 12)
- (9) Project Budget (Revised Design) for Mansfield Training School Improvements (Phase II) – Merritt Building (Attachment 13)
- (10) Project Budget (Final) for Code Remediation and Renovations – Ratcliffe Hicks Arena (Attachment 14)
- (11) Project Budget (Final) for Depot Storehouse – Roof Replacement (Attachment 15)
- (12) Project Budget (Final) for the Security Upgrades – Hilltop Apartments (Attachment 16)
- (13) Project Budget (Final) for West Hartford Campus Renovations/Improvements – Replace Boilers, 1800 Asylum Avenue (Attachment 17)
- (14) Project Budget (Final) for West Hartford Campus Renovations/Improvements – Replace Trecker Library Air Handling Unit (Attachment 18)
- (15) Project Budget (Final) for the University of Connecticut Health Center Administrative Services Building (ASB) Renovations (Attachment 19)
- (16) Project Budget (2nd Revised Final) for the Residential Life Facilities – Holcomb, Whitney, Sprague Masonry Repairs (Attachment 20)
- (17) Project Budget (2nd Revised Final) for West Hartford Campus Renovations/Improvements – Phase I – Limited to 1800 Asylum Avenue (Old Law Library) Roof and Façade Repairs (Attachment 21)
- (c) Informational item:
 - (1) UCONN 2000 Book 30:
<http://www.uc2000.uconn.edu/reports/report30/report30.pdf>

6. Health Center Report

- (a) Report on Health Center activities
- (b) Items requiring Board discussion and approval:
 - (1) Spending Plan for Fiscal Year 2011 for the University of Connecticut Health Center (Attachment 22)

- (2) State Appropriation Current Services Request for the Biennium Fiscal Years 2012 and 2013 for the University of Connecticut Health Center (Attachment 23)
 - (3) Capital Spending Plan for Fiscal Year 2011 for the University of Connecticut Health Center (Attachment 24)
- 7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
- 8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Agreement with B'nai B'rith Hillel Foundation of Connecticut, Inc. ("Hillel") (Attachment 25)
- 9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
- 10. Student Life Committee Report
 - (a) Report on Committee activities
- 11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Development Progress Executive Summary (Attachment 26)
- 12. Other
- 13. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.*

FINAL

**UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES
MEETING SCHEDULE
2010-2011**

Date	Time	Location
Tuesday, September 21, 2010	1:00 p.m.	South Campus Storrs
Tuesday, October 12, 2010 (Reserve date)	1:00 p.m.	South Campus Storrs
Tuesday, November 9, 2010	1:00 p.m.	South Campus Storrs
Tuesday, December 7, 2010 (Reserve date)	1:00 p.m.	South Campus Storrs
Wednesday, January 26, 2011	1:00 p.m.	South Campus Storrs
Tuesday, February 22, 2011	1:00 p.m.	South Campus Storrs
Wednesday, March 23, 2011 (Reserve date)	1:00 p.m.	South Campus Storrs
Wednesday, April 13, 2011	1:00 p.m.	South Campus Storrs
Wednesday, May 18, 2011 (Reserve date)	1:00 p.m.	South Campus Storrs
Thursday, June 23, 2011	9:00 a.m. – 3:00 p.m.	South Campus <i>Annual Budget Workshop</i>
Wednesday, July 20, 2011 (Reserve date)	1:00 p.m.	South Campus Storrs
Wednesday, August 10, 2011	1:00 p.m.	South Campus Storrs
Wednesday, September 21, 2011	1:00 p.m.	South Campus Storrs
Wednesday, October 19, 2011 (Reserve date)	1:00 p.m.	South Campus Storrs
Thursday, November 10, 2011	1:00 p.m.	South Campus Storrs
Wednesday, December 14, 2011 (Reserve date)	1:00 p.m.	South Campus Storrs

**CONTRACTS AGREEMENTS
FOR APPROVAL
JUNE 10, 2010**

PROCUREMENT - NEW						
No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	FW Webb	\$1,000,000	07/01/10-06/30/13	Multiple Sources	Barry Feldman, VP and COO	University contract for the purchase of plumbing equipment and supplies for the maintenance, repair and operation of University facilities. Multiple award contract resulting from RFP process. Initial three (3) year term with one (1) option for an additional three (3) year term.
2	Granite City Electric Supply	\$1,000,000	07/01/10-06/30/13	Multiple Sources	Barry Feldman, VP and COO	University contract for the purchase of electrical equipment and supplies for the maintenance, repair and operation of University facilities. Multiple award contract resulting from RFP process. Initial three (3) year term with one (1) option for an additional three (3) year term.
3	Northeast Electrical Distributors	\$1,000,000	07/01/10-06/30/13	Multiple Sources	Barry Feldman, VP and COO	University contract for the purchase of electrical equipment and supplies for the maintenance, repair and operation of University facilities. Multiple award contract resulting from RFP process. Initial three (3) year term with one (1) option for an additional three (3) year term.
4	Standard Electric	\$1,000,000	07/01/10-06/30/13	Multiple Sources	Barry Feldman, VP and COO	University contract for the purchase of electrical equipment and supplies for the maintenance, repair and operation of University facilities. Multiple award contract resulting from RFP process. Initial three (3) year term with one (1) option for an additional three (3) year term.
5	Sysco Food Service	\$9,000,000	07/01/10-06/30/11	Auxiliary Services	Dennis Pierce, Director of Dining Services	University contract for the purchase of grocery and frozen foods for the Dining Halls, Catering, Grab 'n Go's, etc. Multiple year contract resulting from RFP process. Initial one (1) year term with four (4) one (1) year options.
6	The Granite Group	\$1,000,000	07/01/10-06/30/13	Multiple Sources	Barry Feldman, VP and COO	University contract for the purchase of plumbing equipment and supplies for the maintenance, repair and operation of University facilities. Multiple award contract resulting from RFP process. Initial three (3) year term with one (1) option for an additional three (3) year term.

LEASES						
UNIVERSITY AS LESSOR						
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Arcanatura LLC	\$9,344.00	10/01/10-09/30/11	Auxiliary Services	Alexandria Roe, Director of Planning	Lease of space not to exceed 584 square feet of space at the Coast Guard Research and Development Building located on the grounds of the Avery Point Campus, Groton, CT. Rent will be at \$16.00 per square foot. Lessee will initially occupy Rooms 110, 111 and 114 comprising 584 square feet of space. Lease will be for one year and may be amended without Board approval, as needed, to accommodate change in Lessee's space needs. Lessee to participate in the University Technology Incubation Program (TIP).
2	Optoelectronics Systems Consulting, Inc.	\$1,996.50	07/01/10-06/30/11	Auxiliary Services	Alexandria Roe, Director of Planning	Second Amendment to Lease removing the space known as Room 203G-1 located at the Longley Building, Depot Campus, Storrs, CT. Lessee will now rent only Room 203C-1 at the Longley Building. Lease of Room 203C-1 will be for \$166.37/mo for a total of \$1,996.50 for the remainder of the lease term. Lessee participates in the University Technology Incubation Program (TIP).
3	SystiaMedic, Inc.	\$3,276.00	09/01/10-08/31/11	Auxiliary Services	Alexandria Roe, Director of Planning	Lease of space not to exceed 234 square feet of space at the Coast Guard Research and Development Building located on the grounds of the Avery Point Campus, Groton, CT. Rent will be at \$14.00 per square foot. Lessee will initially occupy Room 109 comprising 234 square feet of space. Lease will be for one year and may be amended without Board approval, as needed, to accommodate change in Lessee's space needs. Lessee to participate in the University Technology Incubation Program (TIP).
UNIVERSITY AS LESSEE						
No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
	NONE					



University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

June 10, 2010

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls
Provost and Executive Vice President for Academic Affairs

Richard D. Gray
Vice President and Chief Financial Officer

RE: Fiscal Year 2011 College of Liberal Arts and Sciences On-Line Graduate Courses
in Survey Research (Institutional Fee)

RECOMMENDATION:

That the Board of Trustees approve a course fee of \$680 per credit hour for the College of Liberal Arts and Sciences on-line graduate courses in Survey Research beginning spring 2011.

BACKGROUND:

Beginning in 2002, the University embraced a new student fee review process. Three categories of fees were established: (1) Institutional Fees, which require central administrative approval, such as Tuition, Room, Board, Infrastructure Maintenance Fee and self-supporting programs; (2) Academic Materials Fees, which are for consumables and instructional materials that are specific to a particular course or major; and (3) Student Fees, which include the General University Fee and student activity and service fees. Senior Management charged permanent committees with promoting a fee structure for the academic and student fees that support excellence, provide comprehensive procedures, and is uniform without sacrificing efficiency and flexibility.

This Institutional Fee is proposed by the University leadership as the budget for these on-line graduate courses in Survey Research are largely driven by costs to run the program. This fee will be assessed to College of Liberal Arts and Sciences students enrolled in these on-line graduate courses in Survey Research. Please note that this is pilot program. See proposal attached.

Attachment

An Equal Opportunity Employer

352 Mansfield Road Unit 2014
Storrs, Connecticut 06269-2014

Telephone: (860) 486-4340
Facsimile: (860) 486-1070

University of Connecticut
College of Liberal Arts and Sciences
On-Line Graduate Courses in Survey Research Fee
Fiscal Year 2011

I. Proposed Action

- A. Description of Fee:** The College of Liberal Arts and Sciences is proposing to charge a per credit fee for On-Line Graduate Courses in Survey Research. Students would not pay University tuition, as they would pay a per-credit course fee for each course taken. The per-credit course fee would include the Infrastructure Maintenance Fee (IMF).
- B. Description of Recommended Revision:** This fee would be effective spring 2011. As this is a pilot program, the rate structure proposed for these On-Line Graduate courses in Survey Research will initially follow a similar methodology that is used to calculate the per credit fee for the School of Business On-line MS in Accounting.
- C. Basis for Requested Increase:** The College of Liberal Arts and Sciences On-Line Graduate Courses in Survey Research will be totally dependent on enrollment-driven revenues, therefore there needs to be an adequate revenue stream to cover costs and re-invest in the program.
- D. Students Affected:** Students enrolled in On-Line Graduate Courses in Survey Research will be affected. It is anticipated that most of these students will be professionals employed full-time. Several survey research employers offer reimbursement for educational costs.
- E. Proposed Date of Revision Implementation:** The proposed date for implementing the \$680 per credit hour fee is the spring 2011.

II. Analysis

- A. Fiscal Need for Fee Revision:** The revenues generated by the College of Liberal Arts and Sciences On-Line Graduate Courses in Survey Research will be essential to maintaining and enhancing the success of the program.
- B. Impact Statement:** The On-Line Graduate Courses in Survey Research are designed to be self-supporting. If the fee is not approved there will be no courses.
- C. Comparative Student Costs:** A market study designed to identify similar programs and evaluate demand for our program revealed that there are only a handful of graduate-level survey research programs nationwide, and only a few online courses. None are comparable to our program. .

**PROMOTION, TENURE AND REAPPOINTMENT RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF BUSINESS
PRESENTED TO THE BOARD OF TRUSTEES – June 10, 2010**

APPOINTMENT AS PROFESSOR WITH TENURE

William T. Ross

Marketing

**PROMOTION, TENURE AND REAPPOINTMENT RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF ENGINEERING
PRESENTED TO THE BOARD OF TRUSTEES – June 10, 2010**

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Lei Wang

Electrical & Computer Engineering

**PROMOTION, TENURE AND REAPPOINTMENT RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF FINE ARTS
PRESENTED TO THE BOARD OF TRUSTEES – June 10, 2010**

APPOINTMENT AS PROFESSOR WITH TENURE

Catherine Jarjisian

Music

**PROMOTION, TENURE & REAPPOINTMENT RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES
PRESENTED TO THE BOARD OF TRUSTEES — June 10, 2010**

TENURE AS ASSOCIATE PROFESSOR

David Richards

Political Science

**PROMOTION, TENURE AND REAPPOINTMENT RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF NURSING
PRESENTED TO THE BOARD OF TRUSTEES – June 10, 2010**

REAPPOINTMENTS – NON-TENURE TRACK

Lynn Allchin	Assistant Clinical Professor
Sandra Bellini	Assistant Clinical Professor
Paula McCauley	Instructor



University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

June 10, 2010

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Vice President and Chief Financial Officer

Paul R. McDowell
Chief Financial Officer

RE: Spending Plan for Fiscal Year 2011 for the University of Connecticut, Storrs & Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the Spending Plan for Fiscal Year 2011 of \$1,032.5 million for the University of Connecticut, Storrs and Regional Campuses.

BACKGROUND:

The Fiscal Year 2011 Spending Plan includes \$1,033.5 million of revenue, including state funding of \$233.0 million (excluding fringe benefits), to cover \$1,047.5 million in expenses and transfers. The net loss of \$14.0 million consists of the \$1.0 million reserve repayment from the November 2001 drawdown of \$11.5 million for the Towers Dining Center and Student Union, and a \$15.0 million transfer from University operating reserves to the State General Fund as required by the 2011 State budget.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070



University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

June 10, 2010

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Vice President and Chief Financial Officer

Paul R. McDowell
Chief Financial Officer

RE: State Appropriation Current Services Request for the Biennium Fiscal Years
2012 and 2013 for the University of Connecticut, Storrs & Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a Current Services State Appropriation Request for the Biennium Fiscal Years 2012 and 2013 as follows: \$254.0 million for Fiscal Year 2012 and \$246.9 million for Fiscal Year 2013 for the Storrs-based program.

BACKGROUND:

The FY 2012 Current Services State Appropriation Request is \$254.0 million. The University is requesting Collective Bargaining funding for settled contracts of \$11.6 million, New Building Openings Operating and Maintenance (O&M) funding of \$0.6 million, and 27th pay period funding of \$8.8 million. Also, the University has budgeted \$107.7 million for Current Services fringe benefits, which are not appropriated directly to the University. The FY 2012 Current Services State Appropriation Request represents an increase over the FY 2011 level of \$21.0 million.

The FY 2013 Current Services State Appropriation Request of \$246.9 million is predicated upon the FY 2012 Current Services State Appropriation request of \$254.0 million. In addition, the University is requesting New Building Openings Operating and Maintenance (O&M) funding of \$1.1 million, and Retirement Program Payout funding of \$0.6 million. Also, the University has budgeted \$106.5 million for Current Services fringe benefits, which are not appropriated directly to the University. The FY 2013 Current Services State Appropriation Request represents a decrease over the FY 2012 level of \$7.1 million.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Vice President and Chief Financial Officer

Barry M. Feldman
Vice President and Chief Operating Officer

RE: Sixteenth Supplemental Indenture Authorizing University of Connecticut
General Obligation Bonds

RECOMMENDATION:

That the Board of Trustees approve the Sixteenth Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut General Obligation Bonds secured by the State of Connecticut's (the "State") Debt Service Commitment in an amount not to exceed \$138,800,000.

BACKGROUND:

The University of Connecticut's (the "University") General Obligation Bonds authorized by the UCONN 2000 Act (Sections 10a-109a to 10a-109y, inclusive, of the Connecticut General Statutes, as amended) are secured by a Master Indenture of Trust by and between the University and U.S. Bank National Association, dated as of November 1, 1995, as amended (the "Master Indenture") which provides that each new issue of bonds be issued pursuant to a supplemental indenture. For bonds secured by the State Debt Service Commitment, the law sets maximum annual amounts that the University, through its Board of Trustees, may issue.

The Sixteenth Supplemental Indenture authorizes the issuance of bonds in the maximum amount of \$138,800,000, plus costs of issuance to finance Fiscal Year 2011 Phase III projects, which include projects at Storrs, the regional campuses and the UConn Health Center.

The Sixteenth Supplemental Indenture also authorizes that the exact amount of the bonds be determined at the time of issuance depending on cash expenditure requirements for twelve months or less following issuance. Appendix A of the Sixteenth Supplemental Indenture lists the UCONN 2000 projects that may be financed by the bonds.

An Equal Opportunity Employer

352 Mansfield Road, U-122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

This recommendation, if approved, will serve as the Board of Trustees' resolution for approval of the Sixteenth Supplemental Indenture and for the series of bonds to be issued in accordance therewith. The resolution, with supplemental information, as appropriate, will be sent to the Governor for approval. If the Governor chooses not to exercise her statutory authority to approve or disapprove the resolution within 30 days of its submission, it will be deemed approved in accordance with the Act.

Attachment

UNIVERSITY OF CONNECTICUT

as Issuer

and

U.S. BANK NATIONAL ASSOCIATION

as Trustee

SIXTEENTH SUPPLEMENTAL INDENTURE

AUTHORIZING

THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS

(Secured by the State Debt Service Commitment)

Dated as of _____.

TABLE OF CONTENTS

Page

ARTICLE I

Definitions and Statutory Authority

101.	Sixteenth Supplemental Indenture	1
102.	Definitions.....	1
103.	Authority for the Sixteenth Supplemental Indenture	3

ARTICLE II

Authorization, Terms and Issuance of Bonds

201.	Authorization of Fiscal Year 2008-2009 Bonds, Maximum Amount, Bonds Delegation, Designation and Pledge.....	3
202.	Purposes	4
203.	Interest Payments	4
204.	Form, Denomination, Numbers and Letters	4
205.	Places of Payment and Paying Agent	4
206.	Sale.....	5
207.	Execution	5
208.	Delivery and Application of Bond Proceeds	5

ARTICLE III

Form of the Bonds

301.	Form of the Bonds	5
------	-------------------------	---

ARTICLE IV

Tax Covenant

401.	Tax Exemption.....	5
------	--------------------	---

ARTICLE V

Miscellaneous

501.	No Recourse.....	6
502.	Delegation of Authority to Treasurer and to Authorized Officer for Sale Purposes	6
503.	Declaration of Official Intent.....	7
504.	Amendment to the Master Indenture	8

**SIXTEENTH SUPPLEMENTAL INDENTURE
AUTHORIZING THE ISSUANCE OF
THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS
(SECURED BY THE STATE DEBT SERVICE COMMITMENT)**

ARTICLE I

Definitions and Statutory Authority

101. **Sixteenth Supplemental Indenture.** This Sixteenth Supplemental Indenture authorizing the Bonds is supplemental to, and constitutes a Supplemental Indenture within the meaning of, and is adopted in accordance with Article X of the General Obligation Master Indenture of Trust between the University of Connecticut and the Trustee dated as of November 1, 1995 (the "Indenture") as amended and supplemented to the date hereof, the form of which was approved by the State Bond Commission as required by Section 10a-109g of the General Statutes of Connecticut.

102. **Definitions.** All terms defined, and the rules of construction set forth, in Article I of the Indenture shall have the same meanings in this Sixteenth Supplemental Indenture as such terms are given in such Article I except that, as used in this Sixteenth Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

"Authorized Officer's Certificate" means a copy of a resolution of the Board of Trustees certified by an Authorized Officer.

"Authorized Officer for Sale Purposes" means the Authorized Officer, who shall be an officer, official or trustee serving on the financial affairs committee of the Board of Trustees.

"Bond Insurance Policy" means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on the Bonds and constitutes a Bond Facility under the Indenture.

"Bond Insurer" means a financial guaranty insurance company, if any, or any successor thereto which insures the Bonds as provided in the Certificate of Determination.

"Bonds" means any series of bonds issued pursuant to this Sixteenth Supplemental Indenture.

"Certificate of Determination" means the certificate of determination of the Treasurer required by section 502 hereof and otherwise referenced herein.

"Sixteenth Supplemental Indenture" means this Sixteenth Supplemental Indenture dated as of _____, as amended, authorizing the University of Connecticut Bonds.

“Indenture” means the General Obligation Master Indenture of Trust between the University and the Trustee dated as of November 1, 1995, as from time to time amended or supplemented.

“Insured Bonds” means any series or certain maturities in any series of bonds to be insured by a municipal bond new issue insurance policy to be issued simultaneously with the delivery of Bonds by the Bond Insurer.

“Official Statement” means the official statement of the University relating to the Bonds.

“Preliminary Official Statement” means the preliminary official statement of the University relating to the Bonds.

“Principal” or **“principal”** means the principal amount of each Bond payable at maturity.

“Principal Amount” means the outstanding principal of a Bond.

“Underwriters” means the initial purchasers of the Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

103. **Authority for the Sixteenth Supplemental Indenture.** This Sixteenth Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Indenture.

ARTICLE II

Authorization, Terms and Issuance of Bonds

201. **Authorization of Fiscal Year 2010-2011 Bonds, Maximum Amount, Delegation, Designation and Pledge.** Bonds for Fiscal Year ending June 30, 2011 entitled to the benefit, protection and security of the Act and Indenture, and constituting Bonds to be secured by the State Debt Service Commitment are hereby authorized to be issued under the Indenture and pursuant to the Act in a maximum amount not to exceed \$138,800,000 for the UConn Projects as set forth in Appendix A (attached hereto and hereby made a part hereof) and constituting UConn 2000 Projects (provided nothing herein shall preclude the amendment of Appendix A pursuant to the Act and in accordance with the Indenture and as provided by Appendix A), plus the amount of the Costs of Issuance to be funded from the proceeds of such Bonds.

No amount authorized to be issued under a supplemental indenture approved prior to this Sixteenth Supplemental Indenture is carried forward to any succeeding fiscal year in accordance with the Act. Notwithstanding the above, no amount was authorized to be issued for fiscal year 2010 as provided by Section 10a-109g of the Act. Should the fiscal year 2010 authorization for Bonds secured by the state Debt Service Commitment subsequently be increased, such amount is carried forward to a succeeding fiscal year.

The exact amount of the Bonds is hereby delegated to and is to be determined by a certificate of, the Authorized Officer for Sale Purposes in accordance with Section 7(a)(2) of the Act respecting the anticipated cash expenditure requirements for authorized UConn 2000 Projects within the year following issuance plus not more than twenty (20%) percent in excess thereof, provided that such

amount shall not exceed \$138,800,000 (plus Costs of Issuance and any carry forward amounts). The amount of the balance of Bonds herein authorized for Fiscal Year ending 2011 and not funded by the Bonds shall be issued subsequently pursuant to an additional Certificate of Authorized Officer for Sale Purposes as an additional series of Bonds hereunder or pursuant to a Supplemental Indenture or Supplemental Indentures depending on the remaining cash expenditure requirements respecting each UConn 2000 Project theretofore authorized by a Supplemental Indenture.

The Bonds shall be designated as and shall be distinguished from other Bonds by the additional title “_____ Series ____” or such other designation or designations of “Series ____” inserting the applicable number and letter, respectively, reflecting the year and series issued, as provided in the Certificate of Determination, pursuant to and subject to the terms, conditions and limitations established in the Indenture, this Sixteenth Supplemental Indenture, an Authorized Officer’s Certificate and the Treasurer’s Certificate of Determination. In accordance with the Act, the amount of the State Debt Service Commitment in each fiscal year is hereby pledged for the punctual payment of the Special Debt Service Requirements on the Bonds as the same arise and shall become due and payable.

202. **Purposes.** The Bonds will be issued and used to provide funds for deposit in the following accounts of the Bond Proceeds Fund: (i) Construction Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Trustee, for construction and equipping of certain facilities (or reimbursement to the University for funds expended therefor) that are included and that have been authorized as a UCONN 2000 Project by the Board of Trustees and (ii) Cost of Issuance Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Resolution, shall be held and maintained by the Treasurer, to pay or provide for the Bonds costs of issuance. The Treasurer and University shall cause the proceeds from the sale of the Bonds to be so deposited in the Bond Proceeds Fund. Monies in the Construction Account respecting the proceeds of the Bonds heretofore issued may be disbursed from time to time pursuant to Section 603 of the Master Indenture, particularly paragraph (5) thereof, for any such UConn 2000 Project but not in excess of the aggregate amount authorized for such UConn 2000 Project by the Board of Trustees.

203. **Interest Payments.** The Bonds shall bear interest from their respective dates, payable on the date or dates, and at the rates as shall be determined by the Treasurer in the Certificate of Determination. Except as otherwise may be provided in such Certificates, interest shall be computed on the basis of a 360-day year consisting of 12 months of 30 days each.

204. **Form, Denomination, Numbers and Letters.** The Bonds shall be in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York (“DTC”), which will act as securities depository for the Bonds. The Bonds shall be in denominations to be determined by the Treasurer in the Certificate of Determination. The Bonds shall be lettered “AR-____” or such other letters provided in the Certificate of Determination. Each such letter shall be followed by the number of the Bonds. The Bonds shall be numbered consecutively from one upward in order of issuance.

205. **Places of Payment and Paying Agent.** So long as all of the Bonds are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as securities depository, Principal, Sinking Fund Installments, if any, Redemption Price of and interest on the Bonds shall be payable from the Trustee to DTC or its successor as securities depository for the Bonds, as determined by the Treasurer in the Certificate of Determination. If any of the Bonds

shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on the Bonds shall be payable by check mailed to the registered owners of the Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of the Bonds shall be payable at the principal corporate trust office of the Paying Agent for the Bonds.

206. **Sale.** Pursuant to Sections 7(e) and (f) of the Act, the Treasurer is authorized by the Act to sell the Bonds by negotiation or public competitive sale, in such manner, at such price or prices, at such time or times, in one or more series, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State and University. The terms and particulars of each such sale, the receipt of each proposal and each award of the Bonds and all other action appropriate or necessary in connection therewith shall be set by the Treasurer, including the selection of the Trustee pursuant to Article VIII of the Indenture, in conjunction with the Authorized Officer for Sale Purposes to whom such matters are hereby delegated and shall be recited in the Treasurer's Certificate of Determination, and confirmed by the Authorized Officer for Sale Purposes.

207. **Execution.** The Bonds shall be signed in the name of the University by the manual or facsimile signature of its President and the seal of the University (or a facsimile thereof) shall be affixed, imprinted, engraved or otherwise reproduced thereon and attested by an Authorized Officer. The Bonds shall be authenticated manually by the Trustee in accordance with the provisions of the Indenture.

208. **Delivery and Application of Bond Proceeds.** After their execution as provided herein and in the Indenture, the Bonds shall be delivered to the Trustee for authentication as provided in the Indenture and shall thereupon be delivered to the Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Indenture. The proceeds of the Bonds shall be deposited in the Bond Proceeds Fund in the amounts and for the Construction Account and Costs of Issuance Account, as more particularly set forth in the Certificate of Determination of the Treasurer.

209. **Defeasance.** Pursuant to Section 1001(1)(b) of the Master Indenture, for purposes of the Bonds, Section 1402(c) of the Master Indenture is hereby amended in its entirety as follows.

(c) in the event said Bonds are not by their terms subject to redemption within the next succeeding 60 days, the University shall have given the Trustee in form satisfactory to it irrevocable instructions to mail at least once, or to publish at least twice at an interval of not less than seven days between publications in an Authorized Newspaper, as soon as practicable, a notice to the Holders of such Bonds that the deposit required by (b) above has been made with the Trustee and that said Bonds are deemed to have been paid in accordance with this Section and stating such maturity or redemption date upon which moneys are to be available for the payment of the principal or Redemption Price, if applicable, on said Bonds.

ARTICLE III

Form of the Bonds

301. **Form of the Bonds.** The Bonds shall be substantially in the form set forth in the Indenture with such additions or deletions anticipated by this Sixteenth Supplemental Indenture as are set forth in the Certificate of Determination.

ARTICLE IV

Tax Covenant

401. **Tax Exemption.** In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to the Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause the Bonds to be “arbitrage bonds” within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or on behalf of the University or in any manner with respect to the Bonds regarding all acts necessary to satisfy and fulfill such covenants.

ARTICLE V

Miscellaneous

501. **No Recourse.** No recourse shall be had for the payment of the principal of or interest on the Bonds or for any claim based thereon or on this Sixteenth Supplemental Indenture against any member of the Board of Trustees, nor the State Bond Commission or any officer of the University or the State or any person executing the Bonds and neither the members of the Board of Trustees or the State Bond Commission nor officers of the University or the State nor any person executing the Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of the Bonds shall be liable personally thereon or be subject to any personal liability or accountability by reason of the issuance or execution thereof. Pursuant to Section 19 of the Act, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UCONN 2000 Infrastructure Improvement Program.

502. **Delegation of Authority to Treasurer and to Authorized Officer for Sale Purposes.**

(A) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Indenture, the authority to determine with respect to the Bonds the date or dates and maturities (provided, however, that the Bonds issued to finance equipment and collections shall mature not later than five (5) years from their dated date and the Bonds issued to finance any other purpose shall mature not later than thirty (30) years from their dated date); provisions for either serial or term bonds, sinking fund requirements, if any; due dates of interest;

denominations; the terms, if any, of optional or extraordinary redemption, with or without premium; time or times of sale (subject to the cash flow requirements of the University to cover the cost of the UCONN 2000 Infrastructure Improvement Program) and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Bonds and of the issuance and sale thereof as the Treasurer may determine to be in the best interests of the State and University. The Treasurer shall file a Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of the Bonds setting forth the details and particulars of the Bonds determined by her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of the Bonds.

(B) The Treasurer is also delegated, pursuant to the Act and, in accordance with Section 4(a)(5) of the Act pursuant to certain provisions of Section 3-20 of the General Statutes of the State of Connecticut, as amended, the authority to enter into agreements in consultation with the University (through an Authorized Officer) with respect to the issuance and sale of the Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory agreements, and agreements with respect to security for the Bonds.

(C) The Authorized Officer for Sale Purposes or the Vice-President and Chief Financial Officer is hereby delegated and the Treasurer is further delegated, pursuant to the Act, the authority to approve the final terms of and publication and distribution of the Official Statement in connection with the offering and sale of the Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c-2-12 of the Securities and Exchange Commission ("Rule 15c-2-12"), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c-2-12. The mailing, publication and distribution of the Preliminary Official Statement is hereby approved. The Treasurer, in conjunction with the Authorized Officer for Sale Purposes or the Vice-President and Chief Financial Officer, is further authorized and directed to sign any amendment or supplement or certificate with respect to the Official Statement or the Preliminary Official Statement that may, in the Treasurer's judgment, be necessary or appropriate on or before the date of delivery of the Bonds.

(D) Subsequent to adoption of the resolution of the Board of Trustees authorizing the Sixteenth Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby authorized to make such changes, insertions, deletions or provisions to the Sixteenth Supplemental Indenture, not materially inconsistent with the intent of the provisions of the Sixteenth Supplemental Indenture as so adopted as may be necessary or appropriate to respond to the requirements of the Governor, the Treasurer, the Underwriters of the Bonds, the Bond Insurer, if any, or the rating agencies with respect to the Sixteenth Supplemental Indenture as evidenced by approval of the Certificate of Determination and may rely on a Counsel's Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the Bonds within the scope of such Authorized Officer's duties at the University and under the Act.

503. Declaration of Official Intent. The University reasonably expects to incur expenditures (the "Expenditures") in connection with the Bond projects of which a general functional description is contained in Appendix A attached hereto (collectively, the "Project"). The University reasonably expects to reimburse itself for the cost of Expenditures with respect to the Project with the proceeds of Bonds, tax-exempt obligations to be issued by the University, not later than eighteen (18) months after the later of the date the original Expenditure is paid or the date the

Project is placed in service or abandoned, but in no event more than three (3) years after the original Expenditure is paid. The maximum principal amount of such debt with respect to the Project is not expected to exceed \$138,800,000. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

IN WITNESS WHEREOF, the University of Connecticut has caused this Sixteenth Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Sixteenth Supplemental Indenture to be signed and sealed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: _____

President

(SEAL)

ATTEST:

By: _____

Richard D. Gray

Vice President and Chief Financial Officer

U.S. BANK NATIONAL ASSOCIATION,
as Trustee

Dated as of _____.

By: _____

Name:

Title:

APPENDIX A¹

SIXTEENTH SUPPLEMENTAL INDENTURE UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM FISCAL YEAR 2011 UCONN 2000 BOND AUTHORIZATIONS ____ SERIES ____ BOND PROJECTS

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$ Needed - D.S.C. Bonds**	Fiscal Year 2011 Bond Authorization ⁽²⁾	UCONN 2000 Phase III Prior D.S.C. Bond Authorization (\$)**
--Storrs and Regional Campuses			
Arjona and Monteith (new classroom buildings)	95,000,000	\$ 45,900,000	\$ 49,100,000.00
Avery Point Campus Undergraduate and Library Building	12,800,000	1,500,000	2,050,000.00
Biobehavioral Complex Replacement	5,000,000	1,000,000	100,000.00
Deferred Maintenance/Code/ADA Renovation Lump Sum	285,608,252	25,425,000	121,491,292.46
Engineering Building (with Environmental Research Institute)	41,200,000	2,500,000	2,500,000.00
Equipment, Library Collections & Telecommunications	56,414,575	2,500,000	48,914,575.00
Floriculture Greenhouse	5,000,000	4,400,000	600,000.00
Psychology Building Renovation/Addition	20,000,000	2,000,000	750,000.00
Storrs Hall Addition	14,000,000	4,980,000	1,550,000.00
West Hartford Campus Renovations/Improvements	12,650,000	1,500,000	6,900,000.00
Young Building Renovation/Addition	10,000,000	<u>2,095,000</u>	750,000.00
<u>Subtotal – Storrs and Regional Campuses</u>		<u>\$93,800,000</u>	
--Health Center			
CLAC Renovation Biosafety Level 3 Lab	15,000,000	\$ 35,000	1,030,000.00
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	44,900,000	4,000,000	24,505,125.00
Dental School Renovation	5,000,000	975,000	3,525,000.00
Equipment Library Collection & Telecommunications	62,595,850	8,750,000	41,155,725.00
Library/Student Computer Center Renovation	5,250,000	250,000	1,150,000.00
Main Building Renovation	77,639,150	5,990,000	3,645,000.00
Planning & Design Costs	25,000,000	<u>25,000,000</u>	0.00
<u>Subtotal – Health Center</u>		<u>\$45,000,000</u>	
Total Fiscal Year 2011 Bond Authorization		\$138,800,000	

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward

***Does not reflect borrowings under Phase I & II including \$50,000,000 of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Sixteenth Supplemental Indenture on June 10, 2010.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Vice President and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Law School Renovations/Improvements – Knight Hall,
Room 215 – Classroom Renovation (Planning: \$575,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget of \$575,000 for the planning, design and construction related to the interior renovations and technology upgrade to Knight Hall, room 215 at the Law School campus.

BACKGROUND:

The purpose of this project is to provide an upgrade to an important large classroom at the Law School. Knight Hall, room 215 is a 1,115 square foot classroom within the 1924 vintage 13,475 square foot Knight Hall.

The existing classroom is essentially unchanged since the University moved into the campus. The School of Law has defined a plan that, if implemented, would result in a meaningful upgrade to the teaching capabilities of the room as well as the aesthetics. At a minimum this will include mechanical and electrical improvements, finish upgrades, new seating and most importantly advanced audio visual technology capability. This is an important project which is intended to keep the School of Law competitive.

This Planning Budget is attached for your consideration and approval.

Attachment

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

**PROJECT NAME: LAW SCHOOL RENOVATIONS/IMPROVEMENTS - KNIGHT HALL, ROOM 215
CLASSROOM RENOVATION**

BUDGETED EXPENDITURES

**PROPOSED
PLANNING
6/10/2010**

CONSTRUCTION	\$	350,000
DESIGN SERVICES		45,000
TELECOMMUNICATIONS		5,000
FURNITURE, FIXTURES AND EQUIPMENT		25,000
CONSTRUCTION ADMINISTRATION		45,000
OTHER AE SERVICES (including Project Management)		25,000
ART		-
RELOCATION		2,000
ENVIRONMENTAL		3,000
INSURANCE AND LEGAL		5,000
MISCELLANEOUS		15,000
OTHER SOFT COSTS*		-
SUBTOTAL	\$	520,000
PROJECT CONTINGENCY		55,000
TOTAL BUDGETED EXPENDITURES	\$	575,000

SOURCE(S) OF FUNDING

UConn 2000 PHASE III	\$	575,000
TOTAL BUDGETED FUNDING	\$	575,000

*Does not include Furniture, Fixtures and Equipment.



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees
FROM: Barry M. Feynman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UCHC) New Construction and Renovation (Planning: \$332,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$332,000,000 for the UCHC new construction and renovation.

BACKGROUND:

Through action by Governor M. Jodi Rell and the Connecticut General Assembly, the UCONN 2000 legislation is amended to include the University of Connecticut Health Center New Construction and Renovation project. The project includes the planning, design, construction, furnishing, equipping and completion of a new bed tower for the John Dempsey Hospital and renovation to existing space. The bed tower will include 165-169 new patient rooms resulting in an increase in total licensed beds not to exceed two hundred thirty-four (234). Also included in the new construction is a new 10-12 room operating room suite. Renovations are anticipated to include the Emergency Department, Dental Clinics, Cardiology, Psychiatry, and other ancillary programs such as radiology, pharmacy and lab services.

The legislation allows planning and design work to proceed and reallocates \$25,000,000 of existing UCHC UCONN 2000 funds for this purpose. The Board of Directors and the Board of Trustees have previously approved the reallocation of funds for this purpose in anticipation of the legislative action. Funds for construction will be dependent on future UCONN 2000 bond authorizations of \$207,000,000 which is dependent upon securing \$100,000,000 in non-state funding.

An Equal Opportunity Employer

352 Mansfield Road, U-122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

The Planning Budget reflects the conceptual estimate prepared by outside consultants. This Project Budget will be reviewed and is expected to be approved at the UCHC Board of Directors Finance subcommittee on June 7, 2010. Such action will be reported to the UCHC Board of Directors at their meeting on June 14, 2010. In accordance with the three phase budget process, approval of this Planning Budget will allow the University to proceed with planning and design for the UCHC New Construction and Renovation project.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCHC NEW CONSTRUCTION AND RENOVATION

<u>BUDGETED EXPENDITURES</u>	PROPOSED PLANNING 6/10/2010
CONSTRUCTION	\$ 224,000,000
DESIGN SERVICES	25,000,000
TELECOMMUNICATIONS	11,000,000
FURNITURE, FIXTURES AND EQUIPMENT	31,000,000
CONSTRUCTION ADMINISTRATION	1,000,000
OTHER AE SERVICES (including Project Management)	5,000,000
ART (1% OF NEW CONSTRUCTION)	1,400,000
RELOCATION	1,500,000
ENVIRONMENTAL	1,000,000
INSURANCE AND LEGAL	250,000
MISCELLANEOUS	350,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 301,500,000
PROJECT CONTINGENCY	30,500,000
TOTAL BUDGETED EXPENDITURES	\$ 332,000,000
<u>SOURCE(S) OF FUNDING</u>	
UConn 2000 PHASE III - PLANNING & DESIGN COSTS	\$ 25,000,000
UConn 2000 PHASE III - NEW CONSTRUCTION & RENOVATION	207,000,000
OTHER NON-STATE FUNDS	100,000,000
TOTAL BUDGETED FUNDING	\$ 332,000,000

BOT 6.10.10

901590



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Falkman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for West Hartford Campus Renovations/Improvements –
Student Lounge and Office Relocation (Revised Planning: \$750,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget of \$750,000 for the planning, design and construction related to the renovation of the Student Lounge at the West Hartford Campus.

BACKGROUND:

The existing student lounge is located on the third floor of the Undergraduate Building that is the proposed location of the chemistry lab. The selected area for the proposed new student lounge is on the first floor, adjacent to other common areas such as the food service operation and the CoOp. This project will not start until the proposed new chemistry lab project is completed. The proposed new student lounge location is currently occupied by offices. The office area will eventually be relocated to the area vacated by the chemistry lab as a separate project. This version of the budget is a slight decrease from the original Planning Budget approved by the Board on February 18, 2010.

This Revised Planning Budget is attached for your consideration and approval.

Attachment

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

C:\Users\dlc02004\Documents\Word\BOT\Financial Affairs\Project Budgets\WHtfd Renov-StudentLounge RevPlanBudget 06.10.10.doc

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

**PROJECT NAME: WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS -
STUDENT LOUNGE AND OFFICE RELOCATION**

	APPROVED PLANNING 2/18/2010	PROPOSED REVISED PLANNING 6/10/2010
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 460,000	\$ 410,000
DESIGN SERVICES	55,000	49,200
TELECOMMUNICATIONS	8,000	8,000
FURNITURE, FIXTURES AND EQUIPMENT	76,000	76,000
CONSTRUCTION ADMINISTRATION	70,000	65,000
OTHER AE SERVICES (including Project Management)	21,250	16,500
ART	-	-
RELOCATION	20,000	20,000
ENVIRONMENTAL	12,000	12,000
INSURANCE AND LEGAL	2,500	2,500
MISCELLANEOUS	14,500	14,500
OTHER SOFT COSTS*	-	-
SUBTOTAL	\$ 739,250	\$ 673,700
PROJECT CONTINGENCY	85,750	76,300
TOTAL BUDGETED EXPENDITURES	\$ 825,000	\$ 750,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ 825,000	\$ 750,000
TOTAL BUDGETED FUNDING	\$ 825,000	\$ 750,000

*Does not include Furniture, Fixtures and Equipment.



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Student Union Quadrangle Site Work and Landscape –
Phase II (Design: \$1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$1,000,000 for the planning, design and construction of Phase II work at the Student Union Quadrangle.

BACKGROUND:

At the completion of the demolition of the Pharmacy building and the renovation/addition to the Student Union the university implemented Phase I of the landscape and site improvement multi-phase master plan for the Student Union Quadrangle (Quad). Phase I work included landscape planning, infrastructure improvements, grading, top soil and seeding the quad area.

Phase II work includes the construction of a new terrace at the rear of the Student Union building, improvements to the underground drainage and a pedestrian pathway system between the Francis L. Castleman building and the Student Union down to Glenbrook Road. Carol R. Johnson and Associates has been working with the university to complete design drawings for the new terrace.

The Design Budget is attached for your consideration and approval.

Attachment

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: STUDENT UNION QUAD - PHASE II

	APPROVED PLANNING 9/23/2008	PROPOSED DESIGN 6/10/2010
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 810,000	\$ 735,000
DESIGN SERVICES	75,000	135,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	10,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	5,000
MISCELLANEOUS	-	15,000
OTHER SOFT COSTS*	15,000	-
SUBTOTAL	\$ 900,000	\$ 900,000
PROJECT CONTINGENCY	100,000	100,000
TOTAL BUDGETED EXPENDITURES	\$ 1,000,000	\$ 1,000,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III - FY06 DM		\$ 800,000
UConn 2000 PHASE III - FY09 DM	\$ 1,000,000	200,000
TOTAL BUDGETED FUNDING	\$ 1,000,000	\$ 1,000,000

*Does not include Furniture, Fixtures and Equipment.

BOT 6.10.10

901440

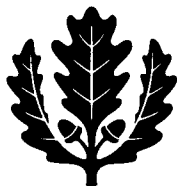
STUDENT UNION QUAD SITE
WORK & LANDSCAPE PHASE II
Project Budget (Design)
06/10/10

PERSPECTIVE VIEW



AERIAL VIEW





University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for West Hartford Campus Renovations/Improvements –
Chemistry Lab (Design: \$1,400,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$1,400,000 for the planning, design and construction related to the replacement of the Chemistry Lab at the West Hartford Campus.

BACKGROUND:

The existing chemistry teaching lab is located on the second floor of the Undergraduate Building. It is the only chemistry teaching lab on the campus and has a very high utilization rate. The lab is outdated, and there are issues related to environmental safety based on current standards; however, repair of the lab requires approximately four to five months construction time and complete shutdown of the teaching program. The academic program cannot operate without the chemistry lab. The University proposes to renovate portions of the third floor into a new chemistry lab. This will allow the program to remain in operation and still accomplish the goal of a state of the art undergraduate teaching lab. The third floor area is currently used as a student lounge. This project will include funding for a temporary location for the Student Lounge. This Design Budget is an increase over the previous Planning Budget and is the result of an increase in laboratory capacity to handle additional students. The project for a permanent location for the student lounge area has been approved by the Board of Trustees as a separate action.

This Design Budget is attached for your consideration and approval.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

**PROJECT NAME: WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS -
CHEMISTRY LAB**

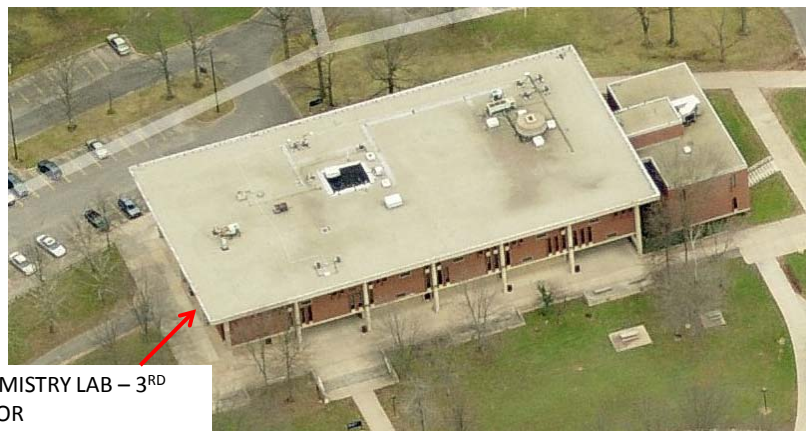
	APPROVED PLANNING 2/18/2010	PROPOSED DESIGN 6/10/2010
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 840,000	\$ 1,008,000
DESIGN SERVICES	100,000	104,200
TELECOMMUNICATIONS	5,000	5,000
FURNITURE, FIXTURES AND EQUIPMENT	1,000	1,000
CONSTRUCTION ADMINISTRATION	108,000	52,000
OTHER AE SERVICES (including Project Management)	36,600	41,500
ART	-	-
RELOCATION	10,000	10,000
ENVIRONMENTAL	15,000	15,000
INSURANCE AND LEGAL	5,500	5,500
MISCELLANEOUS	12,900	12,900
OTHER SOFT COSTS*	-	-
SUBTOTAL	\$ 1,134,000	\$ 1,255,100
PROJECT CONTINGENCY	126,000	144,900
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,260,000</u>	<u>\$ 1,400,000</u>
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	<u>\$ 1,260,000</u>	<u>\$ 1,400,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 1,260,000</u>	<u>\$ 1,400,000</u>

*Does not include Furniture, Fixtures and Equipment.

WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS - CHEMISTRY LAB

Project Budget (Design)
06/10/10

UNDERGRADUATE BUILDING – WEST HARTFORD CAMPUS



CHEMISTRY LAB – 3RD
FLOOR

EXISTING CHEMISTRY LAB





University of Connecticut
*Office of the Vice President and
Chief Operating Officer*

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

SUBJECT: Project Budget for Mansfield Training School Improvements (Phase II) –
Merritt Building (Revised Design: \$3,250,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Design Budget of \$3,250,000 for renovation of the Merritt Building at the former Mansfield Training School, now the Depot Campus.

BACKGROUND:

The Merritt building is located at the Depot Campus on the grounds of the former Mansfield Training School. The building currently houses a “clean room” for research in microelectronics for the School of Engineering and photonics research for the College of Liberal Arts and Sciences.

The Board of Trustees approved a Design Budget on June 24, 2008 in the amount of \$3,250,000 for the renovation of this building for the School of Fine Art’s Print Making, Photography and Design Center. Phase II work includes renovation of the facility, including utilities, roof, windows and correction of code discrepancies. The purpose of this revised Design Budget is to reflect a change in occupancy to Office of Compliance and Ethics, with additional office space for future uses. The School of Fine Art’s Print Making will remain on the main Storrs campus.

The project is now being designed with construction expected to start in winter 2010 for a planned occupancy in summer 2011.

The Revised Design Budget is attached for your consideration and approval.

Attachment

An Equal Opportunity Employer

352 Mansfield Road Unit 2014
Storrs, Connecticut 06269-2014

Telephone: (860) 486-4340
Facsimile: (860) 486-1070
web: www.uconn.edu

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED DESIGN

PROJECT NAME: MANSFIELD TRAINING SCHOOL IMPROVEMENTS (PHASE II) - MERRITT BUILDING

	APPROVED PLANNING 9/26/2006	APPROVED REVISED PLANNING 9/25/2007	APPROVED DESIGN 6/24/2008	PROPOSED REVISED DESIGN 6/10/2010
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ 2,175,000	\$ 1,675,000	\$ 2,100,000	\$ 2,106,800
DESIGN SERVICES	250,000	250,000	250,000	409,735
TELECOMMUNICATIONS	-	-	-	25,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	260,000	210,000
CONSTRUCTION ADMINISTRATION	-	-	75,000	52,000
OTHER AE SERVICES (including Project Management)	-	-	35,000	9,750
ART	-	-	-	-
RELOCATION	-	-	-	20,000
ENVIRONMENTAL	-	-	100,000	75,000
INSURANCE AND LEGAL	-	-	20,000	7,000
MISCELLANEOUS	-	-	60,000	9,715
OTHER SOFT COSTS*	125,000	125,000	-	-
SUBTOTAL	\$ 2,550,000	\$ 2,050,000	\$ 2,900,000	\$ 2,925,000
PROJECT CONTINGENCY	450,000	460,000	350,000	325,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,000,000</u>	<u>\$ 2,510,000</u>	<u>\$ 3,250,000</u>	<u>\$ 3,250,000</u>
<u>SOURCE(S) OF FUNDING</u>				
UConn 2000 PHASE III	\$ 3,000,000	\$ 2,510,000	\$ 3,250,000	\$ 3,250,000
TOTAL BUDGETED FUNDING	<u>\$ 3,000,000</u>	<u>\$ 2,510,000</u>	<u>\$ 3,250,000</u>	<u>\$ 3,250,000</u>

*Does not include Furniture, Fixtures and Equipment.



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Code Remediation and Renovations – Ratcliffe Hicks Arena
(Final: \$998,650)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$998,650 for the planning, design, and construction for code remediation and renovations at the Ratcliffe Hicks Arena.

BACKGROUND:

The Office of the State Fire Marshal (OSFM) conducts fire code inspections of University buildings that are under their jurisdiction. A report was produced in May 2008 that identified multiple deficiencies within the building, including program related occupancy.

The University contracted with an architectural firm to review code discrepancy reports, review program, use and occupancy, inspect the facility, develop proposed modifications, design the project and implement the corrective action plan. The latest OSFM report for the building restricts the permitted use as “livestock display occupancy with fewer than 50 people on the floor”. This was not the historical or intended purpose of this venerable arena. The College of Natural Resources has had to restrict their use of the building under the latest interpretation. The proposed solutions identified four levels of renovations that allow for minimum to unrestricted use of the arena.

The proposed scope of work requires additional exits, a concrete floor and drainage to replace the current sawdust floor, enlarged stairs, emergency lighting and automatic fire sprinkler suppression system. This project is currently in design. The University would like to start construction of this project during the summer of 2010.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

The University intends to proceed with the project if competitive bidding does not increase the total project budget.

This Final Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: CODE REMEDIATION AND RENOVATIONS - RATCLIFFE HICKS ARENA

	APPROVED PLANNING 3/23/2010	PROPOSED FINAL 6/10/2010
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 800,000	\$ 800,000
DESIGN SERVICES	83,900	83,900
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	12,500	12,500
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	4,000	4,000
INSURANCE AND LEGAL	2,000	2,000
MISCELLANEOUS	5,250	5,250
OTHER SOFT COSTS*	-	-
SUBTOTAL	\$ 907,650	\$ 907,650
PROJECT CONTINGENCY	91,000	91,000
TOTAL BUDGETED EXPENDITURES	\$ 998,650	\$ 998,650
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III - FY07 DM	\$ 9,999	\$ 9,999
DEPARTMENTAL FUNDS	988,651	988,651
TOTAL BUDGETED FUNDING	\$ 998,650	\$ 998,650

*Does not include Furniture, Fixtures and Equipment.



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for Depot Storehouse – Roof Replacement
(Final: \$637,000)

RECOMMENDATION:

That the Board of Trustees approve a Final Budget in the amount of \$637,000 for the repairs and improvements to the Depot Storehouse roof at Depot campus.

BACKGROUND:

The Depot Storehouse is a 21,135 square foot building built in 1929. The building originally housed the laundry for the Mansfield Training School. The building now houses the University's redistribution and marketing function as well as a Division of Information Technology services.

The roof of this building is in very bad condition and must be replaced. The University commissioned an architect to investigate and design the roof repair. The project is now being designed and will be ready for bidding and construction this summer.

The University intends to proceed with the project if the bids do not exceed the total project budget.

This Final Budget is attached for your consideration and approval.

Attachment

An Equal Opportunity Employer

352 Mansfield Road, U-122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: DEPOT STORE HOUSE - REPLACE ROOF

	APPROVED DESIGN 3/23/2010	PROPOSED FINAL 6/10/2010
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 505,000	\$ 490,000
DESIGN SERVICES	40,000	40,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	10,000	20,000
OTHER AE SERVICES (including Project Management)	9,000	14,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	3,000	3,000
INSURANCE AND LEGAL	5,000	5,000
MISCELLANEOUS	5,000	5,000
OTHER SOFT COSTS*	-	-
SUBTOTAL	\$ 577,000	\$ 577,000
PROJECT CONTINGENCY	60,000	60,000
TOTAL BUDGETED EXPENDITURES	\$ 637,000	\$ 637,000
<u>SOURCE(S) OF FUNDING</u>		
DEPARTMENTAL FUNDS-RESIDENTIAL LIFE	\$ 637,000	\$ 637,000
TOTAL BUDGETED FUNDING	\$ 637,000	\$ 637,000

*Does not include Furniture, Fixtures and Equipment.



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the Security Upgrades – Hilltop Apartments
(Final: \$967,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget for security upgrades in the Hilltop Apartments complex in the amount of \$967,000.

BACKGROUND:

In an on-going effort to improve and upgrade security for residence halls the Division of Student Affairs has established a standard card key controlled access system for building entry. This proposed card access security system will be installed in Hilltop Apartments at all fifty exterior entry doors as well as provide electronics system wiring and new doors and hardware.

The University intends to proceed with the project if bids do not exceed the total project budget.

This Final Budget is attached for your consideration and approval.

Attachment

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: SECURITY UPGRADES - HILLTOP APARTMENTS

<u>BUDGETED EXPENDITURES</u>	APPROVED PLANNING 6/23/2009	APPROVED DESIGN 3/23/2010	PROPOSED FINAL 6/10/2010
CONSTRUCTION	\$ 675,000	\$ 810,000	\$ 810,000
DESIGN SERVICES	25,000	56,000	56,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	5,000	10,000	10,000
OTHER AE SERVICES (including Project Management)	7,500	11,000	11,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	5,000	5,000	5,000
MISCELLANEOUS	-	3,000	3,000
OTHER SOFT COSTS*	10,000	-	-
SUBTOTAL	\$ 727,500	\$ 895,000	\$ 895,000
PROJECT CONTINGENCY	72,500	72,000	72,000
TOTAL BUDGETED EXPENDITURES	\$ 800,000	\$ 967,000	\$ 967,000
<u>SOURCE(S) OF FUNDING</u>			
DEPARTMENTAL FUNDS - RESIDENTIAL LIFE	\$ 800,000	\$ 967,000	\$ 967,000
TOTAL BUDGETED FUNDING	\$ 800,000	\$ 967,000	\$ 967,000

*Does not include Furniture, Fixtures and Equipment.



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Grey
Vice President and Chief Financial Officer

RE: Project Budget for West Hartford Campus
Renovations/Improvements – Replace Boilers, 1800 Asylum
Avenue (Final: \$525,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$525,000 for the replacement of boilers at the 1800 Asylum Avenue building on the West Hartford campus.

BACKGROUND:

The two existing boilers are the primary source of heat and hot water for the 1800 Asylum Avenue building. This building houses faculty offices, classrooms and the Trecker Library. The boilers were installed in 1961 when the building was constructed. They are an over fifty (50) years old design, energy inefficient and lack modern controls. They suffer from leaks and are increasing difficult to repair.

This project will install new controls, energy efficient equipment and associated pumping and makeup units. The project was originally estimated to be less than Board approval levels. As the design progressed it was determined that the cost to repair would exceed \$500,000. The University wishes to award the project as soon as possible. If the normal three phase approval process (Planning, Design and Final) is followed, the project would not be awarded until September 2010. Therefore, the University requests a waiver to the normal “three phase” budget approval process. The University intends to proceed with the project if bids do not exceed the total project budget.

This Final Budget is attached for your consideration and approval.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

C:\Users\dlc02004\Documents\Word\BOT\Financial Affairs\Project Budgets\WHtfd 1800Asylum BoilerReplace FinalBudget 06.10.10.doc

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS - 1800
ASYLUM BOILER REPLACEMENT**

<u>BUDGETED EXPENDITURES</u>	APPROVED PLANNING 7/2/2009 by VP&CFO	PROPOSED FINAL 6/10/2010
CONSTRUCTION	\$ 356,750	\$ 420,000
DESIGN SERVICES	-	-
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	5,000	28,000
OTHER AE SERVICES (including Project Management)	11,250	11,250
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	7,500
INSURANCE AND LEGAL	2,000	2,000
MISCELLANEOUS	5,000	14,250
OTHER SOFT COSTS*	-	-
SUBTOTAL	\$ 380,000	\$ 483,000
PROJECT CONTINGENCY	20,000	42,000
TOTAL BUDGETED EXPENDITURES	\$ 400,000	\$ 525,000
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 PHASE III	\$ 400,000	\$ 525,000
TOTAL BUDGETED FUNDING	\$ 400,000	\$ 525,000

*Does not include Furniture, Fixtures and Equipment.



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feinman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for West Hartford Campus
Renovations/Improvements – Replace Trecker Library Air
Handling Unit (Final: \$525,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$525,000 for the replacement of the air conditioning system for the Trecker Library at the West Hartford campus.

BACKGROUND:

The existing air handling and condenser units that supply air conditioning to the Trecker Library were installed in 1961 when the building was constructed. They are an over fifty (50) year old design, energy inefficient and lack modern controls. They suffer from leaks and are increasing difficult to repair.

This project will install new energy efficient condensers, air handling units, controls and associated air handling units. The project was originally estimated to be less than Board approval levels. As the design progressed it was determined that the cost to repair would exceed \$500,000. The University wishes to award the project as soon as possible. If the normal three phase approval process (Planning, Design and Final) is followed, the project would not be awarded until September 2010. Therefore, the University requests a waiver to the normal “three phase” budget approval process. The University intends to proceed with the project if bids do not exceed the total project budget.

This Final Budget is attached for your consideration and approval.

An Equal Opportunity Employer

352 Mansfield Road, U-122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS - REPLACE
TRECKER LIBRARY AIR HANDLING UNIT**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 7/2/2009 by VP&CFO</u>	<u>PROPOSED FINAL 6/10/2010</u>
CONSTRUCTION	\$ 284,500	\$ 420,000
DESIGN SERVICES	-	-
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	5,000	28,000
OTHER AE SERVICES (including Project Management)	3,000	11,250
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	7,500
INSURANCE AND LEGAL	500	2,000
MISCELLANEOUS	2,000	14,250
OTHER SOFT COSTS*	-	-
SUBTOTAL	\$ 295,000	\$ 483,000
PROJECT CONTINGENCY	5,000	42,000
TOTAL BUDGETED EXPENDITURES	\$ 300,000	\$ 525,000
<u>SOURCE(S) OF FUNDING</u>		
UConn 2000 PHASE III	\$ 3,000	\$ 525,000
TOTAL BUDGETED FUNDING	\$ 3,000	\$ 525,000

*Does not include Furniture, Fixtures and Equipment.



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the UConn Health Center (UHC) Administrative Services Building (ASB) Renovations (Final: \$3,900,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$3,900,000 for the UHC Administrative Services Building (ASB) Renovations.

BACKGROUND:

The ASB renovations will allow this building to be fully utilized as a mixed use office building. Several departments currently in the building will remain including Communications, Poison Control, UConn Link, Center on Aging, Student Fitness Room and the Data Center. In addition, approximately 16,600 square feet of existing vacant space will be fit-out to house two departments currently in leased space at the Exchange building. Another 12,700 square feet will be used to allow for growth in the Rehabilitation Services and Center on Aging programs and provide needed faculty offices. The project also includes work to repair exterior building envelope sealant failures and provides for heating and ventilation modifications.

The leases for the two departments at the Exchange building (Child and Family Studies and Correction Managed Health Care) expire on June 30, 2010. Both leases provide for a month to month extension; however a substantial increase in the monthly lease cost is required. Therefore we are requesting a waiver to the three stage budget process by proceeding directly the Final Budget. This will expedite the project work and allow the departments to move to the ASB as soon as possible.

An Equal Opportunity Employer

352 Mansfield Road, U-122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

This Final Budget reflects the estimate prepared by UCHC Facilities Development & Operations (FD&O) and is attached for your consideration and approval. This project budget will be reviewed and is anticipated to be approved by the UCHC Board of Directors Finance Subcommittee at their meeting on June 7, 2010. Such action will be reported to the UCHC Board of Directors at their meeting on June 14, 2010. With your approval, we will proceed with the project if competitive bidding does not increase the total project budget.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCHC ADMINISTRATIVE SERVICES BUILDING (ASB) RENOVATIONS

<u>BUDGETED EXPENDITURES</u>	APPROVED PLANNING 4/20/2010	PROPOSED FINAL 6/10/2010
CONSTRUCTION	\$ 2,750,000	\$ 2,750,000
DESIGN SERVICES	300,000	300,000
TELECOMMUNICATIONS	40,000	40,000
FURNITURE, FIXTURES AND EQUIPMENT *	275,000	275,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	30,000	30,000
ART	-	-
RELOCATION	70,000	70,000
ENVIRONMENTAL	5,000	5,000
INSURANCE AND LEGAL	5,000	5,000
MISCELLANEOUS	25,000	25,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 3,500,000	\$ 3,500,000
PROJECT CONTINGENCY	400,000	400,000
TOTAL BUDGETED EXPENDITURES	\$ 3,900,000	\$ 3,900,000
<u>SOURCE(S) OF FUNDING</u>		
UCHC CAPITAL FUNDS	\$ 3,900,000	\$ 3,900,000
TOTAL BUDGETED FUNDING	\$ 3,900,000	\$ 3,900,000

*Includes allowance for systems furniture

BOT 6.10.10

10-054

UCONN HEALTH CENTER
ADMINISTRATIVE SERVICES BUILDING (ASB)
Project Budget (Final)
06/10/10



AERIAL VIEW OF THE ADMINISTRATIVE SERVICES BUILDING



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Friedman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Project Budget for the Residential Life Facilities – Holcomb, Whitney, Sprague
Masonry Repairs (2nd Revised Final: \$800,000)

RECOMMENDATION:

That the Board of Trustees approve the 2nd Revised Final Budget for masonry repairs at Marcus Holcomb Residence Hall, Edwina Whitney Residence Hall and M. Estella Sprague Residence Hall in the amount of \$800,000.

BACKGROUND:

Holcomb, Whitney and Sprague Halls are interconnected residential buildings constructed in 1942. They encompass over 125,000 gross square feet of floor area. These buildings form an attractive complex of traditional collegiate architecture located on the east side of Route 195.

Recent inspections of the exterior of the buildings show a degree of masonry deterioration normally identified with buildings over 60 years old. The primary cause of the problems is water intrusion in the façade structure including limestone coping stones. It is important that the masonry elements are repaired before the deterioration accelerates causing masonry elements to fall from the building.

The purpose of this proposed project is to identify, design and repair deteriorated exterior masonry for each building. The project is currently in the procurement process with an estimated construction start in summer 2009. The funds source for this project is changing from UCONN 2000 to operating funds.

The project is currently in construction. During the construction, the project management team discovered additional work that needs to be done to the chimney. The previous revised budget was based on estimated cost to perform the chimney repairs. The final cost of this repair was higher by \$25,000. The University authorized the work since this was less than 5% of the previous budget and is notifying the Board.

This 2nd Revised Final Budget is attached for your consideration and approval.

An Equal Opportunity Employer

352 Mansfield Road, U-122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: RESIDENTIAL LIFE FACILITIES - HOLCOMB, WHITNEY, SPRAGUE MASONRY REPAIRS

	APPROVED PLANNING 11/18/2008	APPROVED DESIGN 4/21/2009	APPROVED FINAL 6/23/2009	APPROVED REVISED FINAL 11/5/2009	PROPOSED REVISED FINAL 6/10/2010
<u>BUDGETED EXPENDITURES</u>					
CONSTRUCTION	\$ 450,000	\$ 485,000	\$ 485,000	\$ 575,000	\$ 600,000
DESIGN SERVICES	77,500	77,500	77,500	90,000	90,000
TELECOMMUNICATIONS	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	30,000	35,000	35,000	35,000	35,000
OTHER AE SERVICES (including Project Management)	7,500	7,500	7,500	7,500	7,500
ART	-	-	-	-	-
RELOCATION	-	-	-	-	-
ENVIRONMENTAL	-	5,000	5,000	5,000	5,000
INSURANCE AND LEGAL	5,000	5,000	5,000	5,000	5,000
MISCELLANEOUS	-	10,000	10,000	10,000	10,000
OTHER SOFT COSTS*	25,000	-	-	-	-
SUBTOTAL	\$ 595,000	\$ 625,000	\$ 625,000	\$ 727,500	\$ 752,500
PROJECT CONTINGENCY	80,000	50,000	50,000	47,500	47,500
TOTAL BUDGETED EXPENDITURES	\$ 675,000	\$ 675,000	\$ 675,000	\$ 775,000	\$ 800,000
<u>SOURCE(S) OF FUNDING</u>					
UConn 2000 PHASE III	\$ 675,000	\$ 675,000	\$ -	\$ -	\$ -
DEPARTMENTAL FUNDS-RESIDENTIAL LIFE	-	-	675,000	775,000	800,000
TOTAL BUDGETED FUNDING	\$ 675,000	\$ 675,000	\$ 675,000	\$ 775,000	\$ 800,000

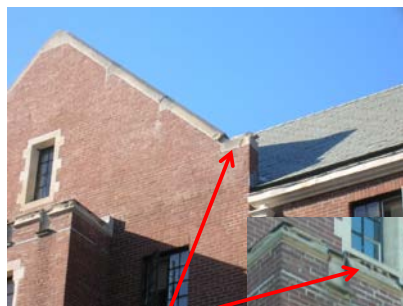
*Does not include Furniture, Fixtures and Equipment.

RESIDENTIAL LIFE FACILITIES
HOLCOMB, WHITNEY, SPRAGUE
MASONRY REPAIR
Project Budget (2nd Revised Final)
06/10/10

RESIDENTIAL LIFE FACILITIES
HOLCOMB, WHITNEY, SPRAGUE MASONRY
REPAIRS



TYPICAL PROBLEMS



DETERIORATED
CAPSTONES



WATER
INFILTRATION



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

SUBJECT: Project Budget for West Hartford Campus Renovations/Improvements – Phase I
– Limited to 1800 Asylum Avenue (Old Law Library) Roof and Façade Repairs
(2nd Revised Final: \$1,400,000)

RECOMMENDATION:

That the Board of Trustees approve a revised scope of work and 2nd Revised Final Budget for West Hartford Campus Renovations/Improvements Phase I – limited to 1800 Asylum Avenue roof and façade repairs in the amount of \$1,400,000.

BACKGROUND:

The West Hartford Campus encompasses five buildings consisting of the “old” Law School Library (1800 Asylum Avenue), the School of Social Work, the Undergraduate Building, the Computer Center and the Maintenance Building.

The Board of Trustees approved a Final Project Budget of \$1,500,000 on November 18, 2008 for the purpose of funding improvements at the campus, including a new roof and façade repair on 1800 Asylum Avenue (“old” Law School library); façade repair for the School of Social Work; and miscellaneous mechanical and plumbing systems upgrades.

This 2nd Revised Final Budget is a reduction of \$100,000 from the Revised Final Project Budget approved by the Board on April 21, 2009. This reduction is due to favorable bids received for the roof and façade repair on the 1800 Asylum Avenue project.

This 2nd Revised Final Budget is attached for your consideration and approval.

An Equal Opportunity Employer

352 Mansfield Road, U-122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

C:\Users\dlc02004\Documents\Word\BOT\Financial Affairs\Project Budgets\WHTfd Renovations-Improvement-Roof RevFinalBudget 06.10.10.doc

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED FINAL**

PROJECT NAME: **WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS - PHASE I**

	APPROVED PLANNING 6/24/2008	APPROVED FINAL 11/18/2008	APPROVED REVISED FINAL 4/21/2009	PROPOSED REVISED FINAL 6/10/2010
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ 1,080,000	\$ 1,080,000	\$ 920,000	\$ 870,000
DESIGN SERVICES	120,000	120,000	303,625	303,625
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	50,000	50,000	75,000	75,000
OTHER AE SERVICES (including Project Management)	20,000	20,000	25,375	25,375
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	50,000	50,000	25,000	25,000
INSURANCE AND LEGAL	10,000	10,000	5,500	5,500
MISCELLANEOUS	-	20,000	5,500	5,500
OTHER SOFT COSTS*	20,000	-	-	-
SUBTOTAL	\$ 1,350,000	\$ 1,350,000	\$ 1,360,000	\$ 1,310,000
PROJECT CONTINGENCY	150,000	150,000	140,000	90,000
TOTAL BUDGETED EXPENDITURES	\$ 1,500,000	\$ 1,500,000	\$ 1,500,000	\$ 1,400,000
<u>SOURCE(S) OF FUNDING</u>				
UCONN 2000 PHASE III	\$ 1,500,000	\$ 1,500,000	\$ 1,500,000	\$ 1,400,000
TOTAL BUDGETED FUNDING	\$ 1,500,000	\$ 1,500,000	\$ 1,500,000	\$ 1,400,000

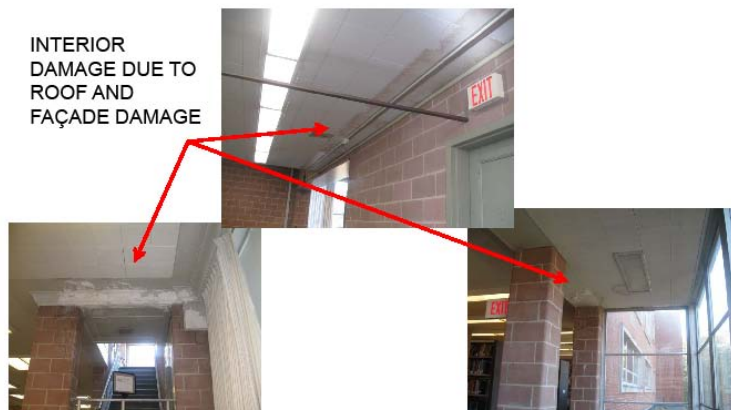
*Does not include Furniture, Fixtures and Equipment.

WEST HARTFORD CAMPUS
RENOVATIONS/IMPROVEMENTS – PHASE I
Project Budget (2nd REVISED FINAL)
06/10/10

1800 ASYLUM (OLD LAW LIBRARY)



TYPICAL INTERIOR DAMAGE





University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

June 10, 2010

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Vice President and Chief Financial Officer

John M. Biancamano
Chief Financial Officer

RE: Spending Plan for Fiscal Year 2011 for the University of Connecticut
Health Center

RECOMMENDATION:

That the Board of Trustees approve the Spending Plan for Fiscal Year 2011 of \$787.3 million for the University of Connecticut Health Center. The approval is contingent on UCHC Board of Directors approval on June 14, 2010.

BACKGROUND:

The Fiscal Year 2011 Spending Plan includes \$787.3 million of revenue, including state funding of \$119.3 million (excluding fringe benefits), to cover \$787.3 million in expenses yielding a net gain of \$42,293. This budget includes planned revenue enhancements and expenditure reductions.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070



University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

June 10, 2010

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Vice President and Chief Financial Officer

John M. Biancamano
Chief Financial Officer

RE: State Appropriation Current Services Request for the Biennium Fiscal Years
2012 and 2013 for the University of Connecticut Health Center

RECOMMENDATION:

That the Board of Trustees approve for the Health Center a Current Services State Appropriation Request for the Biennium Fiscal Years 2012 and 2013 as follows: \$133.1 million for Fiscal Year 2012 and \$137.4 million for Fiscal Year 2013. The approval is contingent on UCHC Board of Directors approval on June 14, 2010.

BACKGROUND:

The FY 2012 Current Services State Appropriation Request is \$133.1 million. Also, the Health Center has budgeted \$50.9 million for Current Services fringe benefits and \$13.9 million for the John Dempsey Hospital fringe benefit differential, which are not appropriated directly to the Health Center. The FY 2012 Current Services State Appropriation Request represents an increase over the FY 2011 level of \$8.8 million.

The FY 2013 Current Services State Appropriation Request is \$137.4 million. The Health Center is requesting increased funding for personal services increases of \$9.3 million. Also, the Health Center has budgeted \$54.6 million for Current Services fringe benefits and \$14.3 million for the John Dempsey Hospital fringe benefit differential, which are not appropriated directly to the Health Center.

This request was reviewed and endorsed by the UCHC Finance Subcommittee on June 7, 2010.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070



University of Connecticut
Financial Planning and Management

June 10, 2010

TO: Members of the Board of Trustees

FROM: Barry M. Feldman
Vice President and Chief Operating Officer

Richard D. Gray
Vice President and Chief Financial Officer

RE: Capital Spending Plan for Fiscal Year 2011 for the University of Connecticut
Health Center

RECOMMENDATION:

That the Board of Trustees approve the Capital Spending Plan totaling \$28,324,000 for Fiscal Year 2011 for the Health Center. The approval is contingent on UCHC Board of Directors approval on June 14, 2010.

BACKGROUND:

The capital plan is developed through the annual capital budget process with prioritization of projects vetted with the research, education, clinical and institutional support domains. Prioritization of funding sources emphasizes reliance on the use of operating funds support for capital activities in addition to UCONN 2000 funded projects. Only those activities unrelated to the future location of the John Dempsey Hospital are included. Exceptions are projects deemed essential to meeting the acute care service needs of our patients in the near term.

The Capital Spending Plan for FY 2011 for the Health Center incorporates funds which include Research Use Allowance and grant revenues, John Dempsey Hospital and UConn Medical Group funds, DCAA and Department Funds, for a total of \$28,324,000. The UCONN 2000 Spending Plan of \$20,000,000 plus the JDH design/planning budget of \$25,000,000 was approved at the April 20, 2010 Board of Trustees Meeting.

The proposed list for FY 2011 is attached for your consideration and approval.

Attachment

An Equal Opportunity Employer

352 Mansfield Road, U-122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-5115
Facsimile: (860) 486-1070

University of Connecticut Health Center
FY 2011 Capital Spending Plan
Highlights of Specific Items

Use Allowance

CTSA-Equipment	\$250,000
Research IT Systems	400,000
School of Dental Medicine-Strategic Plan Commitments for Start-up	515,000
Confocal Microscope	550,000
400 Farmington Avenue-Equipment	750,000
SOM Research Equipment and Renovations	1,383,000
School of Medicine-Strategic Plan Commitments for Start-up	<u>3,652,000</u>
	\$7,500,000

Other (Grants, DCAA, Auxiliary Accounts, Departmental Funds)

DCAA & Departmental Funds	\$866,000
Grant Specific Equipment	<u>953,000</u>
	\$1,819,000

School of Medicine, School of Dental Medicine, Facilities and Other

University Dentists	\$25,000
School of Dental Medicine	139,000
Institutional Support-Equipment	311,000
Financial/Human Resource System (Banner) Year 3	521,000
School of Medicine	786,000
Facilities Management	<u>1,278,000</u>
	\$3,060,000

JDH Capital

Dental Clinics	\$689,000
Ultrasound-OR Equipment	100,000
Ventilators-Respiratory Equipment	104,000
Cardio/Vascular Sonography System	288,000
Lab Space Renovation and Consolidation	350,000
Financial/Human Resource System (Banner) Year 3	369,000
Integrated Monitors-Cardiac Unit Equipment	375,000
Farmington Surgery Center Equipment	450,000
Facilities Management-Building Services	649,000
Replacement of Anesthesia Machines	805,000
Radiology Equipment (Digital X-Ray and Fluoro Room)	1,075,000
CT Simulator and Renovations	1,300,000
Minor Renovations and Equipment	1,380,000
Hospital Capital Budget Contingency	1,500,000
Information Technology Applications & Equipment	<u>1,639,000</u>
	\$11,073,000

UMG Capital

Minor Renovations and Equipment	\$249,000
Financial/Human Resource System (Banner) Year 3	79,000
Facilities Management-Building Services	60,000
Southington Expansion	779,000
Information Technology Applications & Equipment	1,675,000
UMG Contingency	<u>200,000</u>
	\$3,042,000

Contingency

1,830,000

Total Proposed Capital Budget

\$28,324,000



University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

June 10, 2010

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Vice President and Chief Financial Officer

Barry M. Feldman
Vice President and Chief Operating Officer

RE: Agreement with B'nai B'rith Hillel Foundation of Connecticut, Inc. ("Hillel")

RECOMMENDATION:

That the Board of Trustees approve the following agreements with B'nai B'rith Hillel Foundation of Connecticut, Inc. ("Hillel").

1. An agreement with B'nai B'rith Hillel Foundation of Connecticut, Inc. ("Hillel") clarifying an ambiguity in the original quitclaim deed from UConn to Hillel to establish that the reverter clause in the original deed would not be triggered by activities on the parcel that are not strictly religious in nature and that such activities may involve participation by persons not of the Jewish faith, so long as the activities are sponsored or endorsed by Hillel and are not commercial activities but rather related to Hillel's mission;
2. A quitclaim deed of an additional 1.62 acres from UConn to Hillel adjacent to the north end of Hillel's current property, for possible future expansion of Hillel's activities, including a potential student residence facility (see survey map);
3. An access easement from UConn in favor of Hillel and Saint Thomas Aquinas Chapel for vehicular and pedestrian access and egress, codifying current practice (see survey map);
4. A sidewalk and drainage pipe easement from Hillel to UConn to allow maintenance of a pedestrian walkway and drainage piping on Hillel's land adjacent to the roadway (see survey map); and
5. A boundary line agreement between UConn and Hillel to resolve a discrepancy between the description in the original deeds and a survey that the University completed in 2000 (see survey map).

Attachment

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070

The University of Connecticut Foundation, Inc.
Development Progress Executive Summary
 July 1, 2009 to April 30, 2010

Progress Toward Goals	FYTD09 Results	FY10 Goal	FYTD10 Results	% of Goal
<u>Commitment Results</u>				
Athletics	\$ 7.21 M	\$ 12.00 M	\$ 6.70 M	56%
UConn Health Center	\$ 5.09 M	\$ 12.00 M	\$ 5.58 M	47%
UConn General	\$ 23.51 M	\$ 28.00 M	\$ 23.08 M	82%
Total Commitments	\$ 35.81 M	\$ 52.00 M	\$ 35.36 M	68%
<u>Number of household donors</u>	23,706	30,000	22,293	74%
<u>Cash Results</u>				
Cash basis gift receipts	\$ 30.89 M	\$ 50.00 M	\$ 32.74 M	65%
Annual fund unrestricted receipts	\$ 715 K	\$ 1.00 M	\$ 702 K	70%

Campaign Commitments Progress	
Fiscal Year 2010 Campaign Total (to Date)*	\$ 216.64 M
* This total reflects campaign write-offs and pre-campaign total of \$10.6 million, as appropriate.	

Progress	CYTD09 Results	CY10 Limit	CYTD10 Results	% Received
<u>State Match – Calendar Year</u>	\$ 4.13 M	\$ 30.00 M	\$ 7.63 M	25%
Anticipated subscription of endowment state matching program (Current year receipts plus anticipated pledge payments)				

University of Connecticut Department of Human Resources
New Hires Processed from March 29, 2010 to May 20, 2010
Presented to the Board of Trustees for Information on Professional Employees

Name	Title	Department	Date
ADAMS, HEATHER E.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	SPONSORED PROGRAMS	5/7/2010
BHATTARAI, BHARAT	U POST DOC FEL 1	CHEMISTRY	4/1/2010
BORDICK, LARA J.	UC PROFESSIONAL 08 - COMP PROG/ANAL 2	ENTERPRISE ADMIN SYSTEMS	3/26/2010
BOVA, DANIELLE	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	BUDGET OFC	4/23/2010
CARUSO, JAMIE E.	UC PROFESSIONAL 06 - CONT ED COUNSELOR II	CONTINUING STUDIES BGS	3/26/2010
CHEN, LIHUA	U POST DOC FEL 1	MARINE SCIENCES/MSTC	4/15/2010
COHN, SUSAN	UC PROFESSIONAL 05 - FINANCIAL ASST II	ALLIED HEALTH SCIENCES	3/26/2010
CONKLIN, ELIZABETH A.	U STAFF PROF II	OFC OF DIVERSITY & EQUITY	4/9/2010
COUTURE, CHARLES E.	UC PROFESSIONAL 07 - DINING SVCS AREA MGR	DINING SERVICES	3/26/2010
DALEB, DANIEL A.	UC PROFESSIONAL 05 - SPEC DESIGN TECH	CHEMISTRY	3/26/2010
DEAN, PHILIP B.	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	CUSTOMER SUPPORT & REL	5/7/2010
ECKHARDT, BRET	UC PROFESSIONAL 08 - MEDIA PRODUCER II	UNIV COMMUNICATIONS	5/7/2010
GIALLUCA, JOHN	UC PROFESSIONAL 09 - OPER SYS PROG/ANAL 3	LIBRARY CENTRAL SERVICES	4/9/2010
GUARCO, PETER A.	UC PROFESSIONAL 07 - COMP TECH SUP CONS 2	BUSINESS DEAN OFC	3/26/2010
GUREVICH, IGOR	U POST DOC FEL 1	PHARMACEUTICAL SCI	3/29/2010
HANYCKYJ, ELUAH	UC PROFESSIONAL 03 - AUDIO VISUAL TECH 1	ITL-INSTR & CLASS DESIGN	3/26/2010
HYLAND, DIANNA S.	UC PROFESSIONAL 04 - ADMIN SERV ASST III	ENGINEERING DEAN OFC	5/7/2010
ILIN, VLADIMIR	RESEARCH ASSISTANT 1	PSYCHOLOGY	3/26/2010
KIMBLE-WILLCUTTS, DIANE L.	UC PROFESSIONAL 03 - PROG ASSISTANT I	CHIP	4/8/2010
LIBBY, JAMES H.	UC PROFESSIONAL 10 - LIC ARCHT/PROF ENGR	ADMINISTRATIVE SERVICES	3/26/2010
LIZANA, ARNOLD J.	U STAFF PROF II	OFC OF DIVERSITY & EQUITY	3/26/2010
MARESCA, STEVEN A.	UC PROFESSIONAL 07 - NETWORK TECHNICIAN 2	NETWORK ENG & DESIGN	4/30/2010
MARICLE, KATHLEEN K.	UC PROFESSIONAL 04 - PROGRAM ASSISTANT II	CTR PUB HLTH & HLT POL	3/26/2010
MOSELEY, MARISA	SPECIALIST IA	ATHLETICS WMNS INTERCOL	5/29/2009
NEWELL, SONYA R.	RESEARCH ASSISTANT 1	MARINE SCIENCES/MSTC	4/1/2010
OZIMEK, DANA	UC PROFESSIONAL 01 - RESEARCH LAB AIDE	ECOLOGY & EVOL BIOLOGY	4/9/2010
PEREIRA, RUI P.	U POST DOC FEL 1	MARINE SCIENCES/MSTC	3/31/2010
SHEFTS, ROBIN	UC PROFESSIONAL 07 - COUNSELOR	HARTFORD STUDENT SERV	3/26/2010
SITKOWSKI, ROBERT J.	U STAFF PROF III	RENTAL PROP-NONAUX ENT	4/2/2010

WOODAMAN, BRIEN
ZHANG, CHU
ZHANG, YU

UC PROFESSIONAL 03 - AUDIO VISUAL TECH 1
RESEARCH ASSOCIATE 2
U POST DOC FEL 1

ITL-INSTR & CLASS DESIGN
MOLECULAR & CELL BIOLOGY
MECHANICAL ENGR

3/26/2010
5/3/2010
4/19/2010

University of Connecticut Department of Human Resources
 Separations Processed from March 29, 2010 to May 20, 2010
 Presented to the Board of Trustees for Information on Professional Employees

Name	Title	Department	Separation Reason	Date
CLAY, TIFFANY	SPECIALIST IA	ATH	RESIGNATION (GOOD STANDING)	4/15/2010
GONUGUNTILA, SRINIVASA R.	UC PROFESSIONAL 10 - COMP TECH SUP CONS 4	EAS	RESIGNATION (GOOD STANDING)	4/2/2010
JANOWYCZ, TATIANA	UC PROFESSIONAL 05 - ADMIN SERV SPEC III	ECE	RETIREMENT (55/W 10-24 YEARS)	4/30/2010
JARVI, STEVEN W.	ASST VICE PRESIDENT - ASST VICE PROVOST	ISS	RESIGNATION (GOOD STANDING)	5/20/2010
JONES, JULIE M.	U STAFF PROF III	LAW	RESIGNATION (GOOD STANDING)	5/20/2010
PERRY, DORIS A.	EXT INSTRUCTOR	EPSY	RESIGNATION (GOOD STANDING)	3/25/2010
REYNOLDS, JEFFREY T.	ASSOC VICE PRESIDENT	AVPAO	RESIGNATION (GOOD STANDING)	4/30/2010
TURNER, LISA	UC PROFESSIONAL 04 - PUBLICITY/MKTG COORD	ATH	RESIGNATION (GOOD STANDING)	4/16/2010
WEISS, LUCINDA	UC PROFESSIONAL 09 - PUBLICITY/MKTG DIR	CLAS	RESIGNATION (GOOD STANDING)	4/15/2010
YANG, QINMIN	U POST DOC FEL 1	ME	RESIGNATION (GOOD STANDING)	5/5/2010

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 05/24/10
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Ayala, Nicole	UCP 6 - Career Consultant	Law School	4/14/10-5/18/10	Medical leave with pay
Ayala, Nicole	UCP 6 - Career Consultant	Law School	5/19/10-11/19/10	Reduced schedule parental leave
Banning, Nancy	UCP 12 - SHS Physician II	Student Health Service	1/15/10-5/31/10	Medical leave without pay
Bronin, Sara	Associate Professor	Law Sch Instr & Res	8/23/10-8/22/11	Personal leave without pay
Brown, Pamela A	Associate Professor	English	8/23/10-8/22/11	Leave with pay (Fellowship)
Cartwright, Allison	U Staff Prof II	Off of Diversity & Equity	4/7/10-5/26/10	Medical leave without pay (Extension)
Costanzo, Lorraine F	UCP 5 - Admin Serv Spec III	Security Policy and QA	4/26/10-5/12/10	Medical leave with pay
Deener, Andrew S	Assistant Professor	Sociology	8/23/10-8/22/11	Leave without pay (Fellowship)
Govoni, Kristen E	Assistant Professor	Animal Science	4/26/10-6/7/10	Maternity leave with pay
Huang, Rui	Assistant Professor	Agri & Resource Economics	3/8/10-4/16/10	Maternity leave with pay
Krechko, Nena A	UCP 5 - Admin Serv Spec III	Dining Services	4/1/10-4/30/10	Medical leave with pay (extension)
Larson, Rachel E	UCP 6 - Career Consultant	Career Services	4/27/10-6/8/10	Maternity leave with pay
Larson, Rachel E	UCP 6 - Career Consultant	Career Services	6/9/10-7/22/10	Parental leave with pay
Lee, Mihwa	UCP 7 - International Advisor	International Services & Prog	4/14/10-6/1/10	Medical leave with pay
McElya, Michele P	Assistant Professor	History	8/23/10-5/22/11	Leave with pay (Fellowship)
Musgrave Blasiak, Mary	Department Head	Plant Sci & Landscape	3/10/10-6/15/10	Medical leave with pay
Overmyer-Velazquez, Mark	Associate Professor	History	8/23/10-2/22/11	Leave with pay (Fellowship)
Pelizzon, Penelope	Associate Professor	English	8/23/10-8/22/11	Leave with partial pay (75% Research)
Ruddy, Nikole M	UCP 4 - Admin Serv Spec II	Athletics Admin	4/5/10-5/28/10	Maternity leave with pay
Ruddy, Nikole M	UCP 4 - Admin Serv Spec II	Athletics Admin	5/31/10-11/12/10	Parental leave without pay
Telford, Jennifer C	Assistant Professor	Nursing	3/9/10-4/19/10	Maternity leave with pay
Wang, Guanhua	Associate Professor	History	5/15/10-8/22/10	Medical leave with partial pay
Wilhelm, Ann	UCP 8 - Database Manager	Vice Pres for Research	3/5/10-4/30/10	Medical leave with pay (sporadic)

AGENDA
Meeting of the
FINANCIAL AFFAIRS COMMITTEE
June 10, 2010 at 9:00 a.m.
University of Connecticut
Merlin D. Bishop Center

**ATTACHMENT
LOCATION
COMMITTEE FULL BOARD**

PRESENTATION ITEM(S):

- 1) FY11 Budget Presentation

ACTION ITEM(S):

- | | |
|---|----|
| 2) Approval of the Minutes of the Financial Affairs
Committee Meeting of March 23, 2010, as circulated | A |
| 3) Contracts and Agreements for Approval | 2 |
| 4) Fiscal Year 2011 College of Liberal Arts and Sciences
On-Line Graduate Courses in Survey Research (Institutional Fee) | 3 |
| 5) Spending Plan for Fiscal Year 2011 for the University of
Connecticut, Storrs and Regional Campuses | 5 |
| 6) Spending Plan for Fiscal Year 2011 for the University of
Connecticut Health Center | 22 |
| 7) State Appropriation Current Services Request for the
Biennium Fiscal Years 2012 and 2013 for the University of
Connecticut, Storrs and Regional Campuses | 6 |
| 8) State Appropriation Current Services Request for the
Biennium Fiscal Years 2012 and 2013 for the University of
Connecticut, Health Center | 23 |
| 9) Sixteenth Supplemental Indenture Authorizing University of Connecticut
General Obligation Bonds | 7 |
| 10) Capital Spending Plan for Fiscal Year 2011 for the University of
Connecticut Health Center | 24 |

Project Budgets for Approval:

	<i>Project</i>	<i>Phase</i>	<i>Budget</i>	<i>Tab</i>
11)	Law School Renovations/Improvements – Knight Hall, Rm 215 – Classroom Renovation	Planning	\$575,000	8
12)	UCHC New Construction and Renovation	Planning	\$332,000,000	9
13)	West Hartford Campus Renovations/Improvements – Student Lounge and Office Relocation	Revised Planning	\$750,000	10
14)	Student Union Quadrangle Site Work and Landscape – Phase II	Design	\$1,000,000	11
15)	West Hartford Campus Renovations/Improvements – Chemistry Lab	Design	\$1,400,000	12
16)	Mansfield Training School Improvements (Phase II) – Merritt Building	Revised Design	\$3,250,000	13
17)	Code Remediation and Renovations – Ratcliffe Hicks Arena	Final	\$998,650	14
18)	Depot Storehouse – Roof Replacement	Final	\$637,000	15
19)	Security Upgrades – Hilltop Apartments	Final	\$967,000	16
20)	West Hartford Campus Renovations/Improvements – Replace Boilers, 1800 Asylum Avenue	Final	\$525,000	17
21)	West Hartford Campus Renovations/Improvements – Replace Trecker Library Air Handling Unit	Final	\$525,000	18
22)	UCHC Administrative Services (ASB) Renovations	Final	\$3,900,000	19
23)	Residential Life Facilities – Holcomb, Whitney, Sprague Masonry Repairs	2 nd Revised Final	\$800,000	20
24)	West Hartford Campus Renovations/Improvements – Phase I – Limited to 1800 Asylum Avenue (Old Law Library) Roof and Façade Repairs	2 nd Revised Final	\$1,400,000	21

DISCUSSION/INFORMATION ITEMS:

25) Construction Status Report (Under Separate Cover)

26) UCONN 2000 Book 30 (Under Separate Cover)

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
March 23, 2010

TRUSTEES PRESENT: Archambault, Bailey, Bozzuto, Burrow, Colon, Dennis-LaVigne, Drotch, Jacobs, Martinez, McDonald, McHugh, Ritter, Schmitt and Shepperd

STAFF PRESENT: Biancamano, Bradley, Callahan, Carone, Chiaputti, Cooper, Feldman, Gray, Hogan, Kirk, Laurencin, Locke, McDowell, McGee, Melvin, Munroe, Nicholls, Rubin, Saddlemire, Singha, Sullivan, Summerer, Troyer, Urban, Walker, Wetstone and Wrynn

SENATE PRESENT: Bansal, English, Fox, Stwalley and Zirakzadeh

GUESTS: Nancy Brady, Department of Higher Education

Committee Chairman Peter Drotch convened the meeting at 10:32 a.m. in the South Campus Ballroom of the University of Connecticut in Storrs. He directed the committee to a revised agenda and additional project budget. On a motion by Trustee Drotch and seconded by Trustee Bozzuto the Revised Final Project Budget for the Waterbury Downtown Campus – Courtyard Landscaping and Artwork was added to the agenda.

Trustee Drotch directed the committee to revised agenda *Item #1, Contracts and Agreements for Approval*. On a motion by Trustee Burrow and seconded by Trustee Martinez the item was recommended to the full Board for approval.

The committee was directed to revised agenda *Item #2, Designation of Authorized Officer for Sale Purposes for UCONN 2000 General Obligation and Special Obligation Bonds*. Mr. Drotch informed the committee that Dr. Archambault is now serving as a member of the Financial Affairs Committee and has agreed to serve as Authorized Officer for the UCONN 2000 bonds. On a motion by Trustee Martinez and seconded by Trustee Burrow the item was recommended to the full Board for approval.

On a motion by Trustee Martinez and seconded by Trustee Bozzuto revised agenda Items #3-11 were recommended to the full Board for approval. The projects included, *Code Remediation and Renovations – Ratcliffe Hicks Arena (Planning: \$998,650); Residential Life Facilities – McMahon Elevator Replacement (Planning: \$2,202,000); Shippee Dining Hall Renovations (Planning: \$3,000,000); Depot Storehouse – Roof Replacement (Design: \$637,000); Security Upgrades Hilltop Apartments (Design: \$967,000); Jorgensen Renovation - Auditorium Seating Replacement (Final: \$2,100,000); Steam Condensate Distribution System Improvements – Phase I (Final: \$5,525,000); Law School Renovations/Improvements – Façade Restoration (3rd Revised Final: \$20,330,113); and Waterbury Downtown Campuses – Courtyard Landscaping and Artwork (Revised Final: \$934,100).*

Barry Feldman, Vice President and Chief Operating Officer, stated that at Chairman Drotch's suggestion, he would be sharing some of the University's cost saving initiatives. There are many every day actions taking place on campus to reduce costs. The effort is being led by the President and recognition of employees that saving money is the new normal. Savings occur in more areas than energy management. He cited an example at the Waterbury campus where a change in lighting fixtures will result in an estimated utility savings of \$77,000. On the Storrs campus lighting fixture upgrades costing \$780,000 were subsidized \$500,000 by the utility company in grants and rebates.

As was discussed at the last Board meeting the University was pursuing an RFP to secure outside consulting services to help the University redesign itself. The University is seeking, through the help of a consultant, to improve performance, efficiency, and seek new revenue sources. Trustee Martinez asked if the consulting RFP utilized by the University of California at Berkley had been used as a reference in developing the UConn RFP. Dr. Feldman stated that the UC Berkley RFP had not been referenced. Dr. Feldman stated that the RFP would be advertised after internal and AG review. The committee designated by Chairman McHugh will continue to be actively involved in this RFP process.

Dr. Cato Laurencin, Vice President for Health Affairs and Dean, School of Medicine, provided a Health Center financial update. He stated that the Health Center has a positive margin of \$4.6 million ahead of a breakeven budget.

Trustee Drotch directed the committee to the remaining information items listed on the agenda. The meeting was adjourned at 11:00 a.m.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone
Secretary to the Committee

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING - JUNE 10, 2010

Project Name by Construction Phase		Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 05/14/10)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Planning Budget Phase									
Atwater Exterior Window Replacement		901397	\$650,000	\$13,413	P	UConn 2000 Phase III - DM	09/23/08	2011	0%
Biobehavioral Complex Replacement (Renovation)		901380	\$2,000,000	\$59,797	P	UConn 2000 Phase III	06/24/08	2011	0%
Bishop Renovation - Phase I		901387	\$6,000,000	\$0	P	UConn 2000 Phase III	09/23/08	2011	0%
Budds Building Window Replacement		901377	\$650,000	\$11,871	P	UConn 2000 Phase III - DM	06/24/08	2011	0%
Castleman Building Drainage Repair		901289	\$500,000	\$50,500	P	UConn 2000 Phase III - DM	09/25/07	2011	0%
Law School Renovations/Improvements - Knight Hall, Rm 215 - Classroom Renovation		901589	\$575,000	\$0	P	UConn 2000 Phase III	06/10/10 Pending	2011	0%
Fine Arts Phase II - Core Building		901567	\$1,200,000	\$531	P	UConn 2000 Phase III	02/18/10	2013	0%
Historic House Renovations		901432	\$1,400,000	\$12,474	P	UConn 2000 Phase III - DM	09/23/08	2012	0%
Intramural, Recreational & Intercollegiate Facilities - Recreation Center		901332	\$3,300,000	\$236,904	P	UConn 2000 Phase III (\$3M) University Operating Funds (\$300K)	06/24/08	2012	0%
Koons Hall Renovation/Addition - Windows, Façade & Mechanical		901379	\$1,000,000	\$77,670	P	UConn 2000 Phase III	06/24/08	2010	0%
Mansfield Training School Improvements (Phase II) - Longley Renovations		901281	\$1,000,000	\$13,931	P	UConn 2000 Phase III	09/25/07	2013	0%
Psychology Building Renovation / Addition		901388	\$20,000,000	\$493,562	P	UConn 2000 Phase III	09/23/08	2013	0%
Residential Life Facilities - McMahon Elevator Replacement		201512	\$2,202,000	\$103,553	P	Departmental Funds	03/23/10	2011	0%
Shippee Dining Hall Renovations		201545	\$3,000,000	\$0	P	Departmental Funds	03/23/10	2011	0%
Support Facility (Architectural and Engineering Services)		901390	\$500,000	\$0	P	UConn 2000 Phase III	09/23/08	2012	0%
Torrington Campus Improvements - Phase I		901375	\$500,000	\$88,200	P	UConn 2000 Phase III	06/24/08	2010	0%
Young Building Renovation/Addition		901373	\$10,000,000	\$432,323	P	UConn 2000 Phase III	06/24/08	2013	0%
Revised Planning Budget Phase									
Avery Point Campus Undergraduate & Library Bldg - Phase I		901278	\$6,800,000	\$836	P-\$9.3M RP-\$6.8M	UConn 2000 Phase III	06/24/08 06/23/09	2013	0%
Backfeed Loop - North Eagleville to Parking Garage to Storrs Road		901416	\$300,000	\$265,256	P-\$700K RP-\$300K	UConn 2000 Phase III - DM	09/23/08 04/20/10	2011	0%
Engineering Building - Planning & Design		901376	\$2,000,000	\$388,923	P-\$1M RP-\$2.0M	UConn 2000 Phase III	06/24/08 02/18/10	2013	0%
Floriculture Greenhouse		901367	\$5,000,000	\$412,544	P-\$3.0M RP-\$5.0M	UConn 2000 Phase III	06/24/08 09/22/09	2012	0%
Gant Building Renovations - Phase I (Assessment & Design)		901374	\$1,310,000	\$252,510	P-\$440K RP-\$1.31M	UConn 2000 Phase III	11/23/09 02/18/10	2012	0%
Old Central Warehouse		901266	\$10,000,000	\$125,745	P-\$18M RP-\$10M	UConn 2000 Phase III	08/01/07 06/24/08	2013	0%
Storrs Hall Addition		901265	\$14,000,000	\$1,130,933	P-\$7M RP-\$14M	UConn 2000 Phase III	08/01/07 06/23/09	2012	0%
Torrey Renovation Completion & Biology Expansion		900705	\$125,000,000	\$866,077	P-\$44.3M RP-\$125M	UConn 2000 Phase III	08/01/07 06/24/08	2016	0%
West Hartford Campus Renovations/ Improvements - Electrical Switchgear Replacement		901286	\$800,000	\$259,895	P-\$550K RP-\$800K	UConn 2000 Phase III	09/25/07 06/23/09	2010	0%
West Hartford Campus Renovations/ Improvement - Student Lounge and Office Relocation		901568	\$750,000	\$0	P-\$825K RP-\$750K	UConn 2000 Phase III	02/18/10 06/10/10 Pending	2011	0%

Storrs and Regional Campuses

Project Name by Construction Phase		Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 05/14/10)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Design Budget Phase									
Mirror Lake Dredging and Related Improvements	901392	\$3,400,000	\$193,685	P-\$3.5M UCONN 2000 Phase III - DM (\$210K) D-\$3.4M University Operating Funds (\$3.19M)			02/18/10 04/20/10	2010	0%
Student Union Quadrangle Site Work and Landscape - Phase II	901440	\$1,000,000	\$133,694	P UCONN 2000 Phase III - DM D			09/23/08 06/10/10 Pending	2011	0%
South Campus Express Line Modifications (Water and Sewer Distribution)	901316	\$360,000	\$62,038	P-\$500K UCONN 2000 Phase III - DM RP-\$500K D-\$360K			09/23/08 09/22/09 04/20/10	2010	0%
Tie In Drum Heater on Boilers at CUP (Central Utility Plant)	901319	\$600,000	\$104,506	P UCONN 2000 Phase III - DM D			09/25/07 02/27/08	2010	0%
West Hartford Campus Renovations/Improvements - Chemistry Lab	901569	\$1,400,000	\$0	P-\$1.3 M UCONN 2000 Phase III D-\$1.4M			02/18/10 06/10/10 Pending	2010	0%
Revised Design Budget Phase									
Mansfield Training School Improvements (Phase II) - Merritt Building	901213	\$3,250,000	\$230,956	P-\$3.0M UCONN 2000 Phase III RP-\$2.5M D-\$3.3M RD-\$3.3M			09/24/06 09/25/07 06/24/08 06/10/10 Pending	2011	0%
North Hillside Road Completion	900965	\$14,300,000	\$1,291,593	D-\$11.5M UCONN 2000 Phase III (\$8.2M) RD-\$11.5M Federal Funds (\$6.1M) RD-\$14.3M			08/02/05 11/15/05 06/24/08	2012	0%
Final Budget Phase									
Arjona and Monteith (New Classroom Buildings)	901126	\$95,000,000	\$38,313,584 ^(A)	P-\$86.1M UCONN 2000 Phase III R-\$95.0M D-\$95.0M F-\$95.0M			09/26/06 06/24/08 08/04/09 09/22/09	2011-West 2012-East	5% 0%
Beach Hall Renovations - Phase I	901273	\$1,500,000	\$721,576	P-\$2.5M UCONN 2000 Phase III D-\$3.5M F-\$1.5M			09/25/07 06/24/08 04/21/09	2010	99%
Benton State Art Museum Addition	901263	\$1,700,000	\$1,168,262	P UCONN 2000 Phase III D RD F			08/01/07 06/24/08 01/12/09 04/21/09	2010	90%
Benton State Art Museum Addition - Mechanical System Improvements	901545	\$1,700,000	\$52,295	P UCONN 2000 PHASE III F			08/04/09 04/20/10	2010	0%
Code Remediation and Renovation - Ratcliffe Hicks Arena	901352	\$998,650	\$9,999	P-\$999.7M UCONN 2000 Phase III - DM (\$9K) D-\$998.6K Departmental Funds (\$988.7K)			03/23/10 06/10/10 Pending	2010	0%
Compost Facility	901268	\$670,000	\$635,958	P-\$600K UCONN 2000 Phase III - DM D-\$670K F-\$670K			08/01/07 04/21/09 06/23/09	2010	95%
Depot Storehouse - Roof Replacement	201514	\$637,000	\$35,245	D Departmental Funds F			03/23/10 06/10/10 Pending	2010	0%
Filter Press, Installation	901368	\$850,000	\$68,421	P-\$500K UCONN 2000 Phase III - DM D-\$850K F-\$850K			06/24/08 04/21/09 06/23/09	2010	5%
Fine Arts Phase II - Photo Lab Ventilation Improvements	901544	\$700,000	\$54,384	P UCONN 2000 Phase III D F			08/04/09 09/22/09 11/05/09	2010	0%
Gant IMS Fire Alarm Replacement	201502	\$1,122,000	\$858,536	F University Plant Funds			06/23/09	2010	75%
Jorgensen Fire Alarm Replacement	901506	\$825,000	\$557,556	F UCONN 2000 Phase III			04/21/09	2010	95%
Jorgensen Renovation - Auditorium Seating	901553	\$2,100,000	\$634,416	P UCONN 2000 Phase III - DM (\$100K) F University Operating Funds (\$2M)			02/18/10 03/23/10	2010	0%

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 05/14/10)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses	Final Budget Phase (Continued)								
	Jorgensen Renovation - Phase I	901274	\$1,375,000	\$1,013,183	P-\$1.6M D-\$2.2M F-\$1.375M	UConn 2000 Phase III	09/25/07 06/24/08 04/21/09	2010	95%
	Mansfield Road Realignment	901206	\$2,100,000	\$165,213	P-\$700K D-\$2.0M F-\$2.1M	Operating Funds (\$2.0M) UConn 2000 Phase III - DM (\$98.4K)	09/25/07 11/05/09 04/20/10	2010	0%
	Meter Installation - Multiple Locations (Phase II)	901326	\$600,000	\$510,749	P D F	UConn 2000 Phase III - DM	09/25/07 12/07/07 01/22/08	2010	85%
	Meter Installation - Multiple Locations (Phase III)	901371	\$600,000	\$28,302	P D F	UConn 2000 Phase III - DM	06/24/08 09/23/08 06/23/09	2011	1%
	New 16" Water Main - Towers to Glenbrook and North Eagleville and Ph I Relocation of SP3 Circuit	901422	\$2,300,000	\$122,794	P-\$1.06M D-\$1.06M F-\$2.30M	UConn 2000 Phase III - DM	09/23/08 08/04/09 04/20/10	2010	0%
	Phase I of the Fire Alarm Upgrade Project	901174	\$2,300,000	\$2,013,540	F	UConn 2000 Phase III - DM (\$1.7M) Departmental Funds (\$.6M)	06/20/06	2010	99%
	Psychology Fire Alarm Replacement	201495	\$506,000	\$433,058	F	University Plant Funds	06/23/09	2010	60%
	Replacement of Chemical House (Well Water Treatment Facility)	901320	\$3,500,000	\$2,798,077	P D F	UConn 2000 Phase III - DM	09/25/07 01/12/09 11/05/09	2010	0%
	Replacement of Two Water Towers	901311	\$2,500,000	\$1,955,445	P-\$2.0M D-\$2.5M F-\$2.5M	UConn 2000 Phase III - DM	09/25/07 11/18/08 04/21/09	2011	1%
	Residential Life Facilities - Hale and Ellsworth Elevator Replacement	201511	\$2,140,000	\$133,824	P-\$1.6M D-\$2.14M F-\$2.14M	Departmental Funds	11/05/09 02/18/10 04/20/10	2010	0%
	Residential Life Facilities - Window Replacement Graduate Residence Halls	201524	\$2,300,000	\$1,278,198	P D F	Department Funds	09/22/09 11/05/09 02/18/10	2010	0%
	Residential Life Facilities - Window Replacement Project for Holcomb, Whitney and Sprague	901450	\$2,000,000	\$1,532,065	P-\$3.0M F-\$2.0M	UConn 2000 Phase III Departmental Funds	09/23/08 06/23/09	2010	30%
	Security Upgrades Hilltop Apartments	201505	\$967,000	\$290,841	P-\$800K D-\$967K F-\$967K	Departmental Funds	06/23/09 03/23/10 06/10/10 Pending	2010	0%
	South Garage Slope & Ramp Repair	901178	\$607,200	\$106,734	P-\$600.0K D-\$607.2K F-\$307.2K	UConn 2000 Phase III - DM	03/13/07 04/21/09 04/20/10	2010	0%
	Stamford Campus Garage Repair and Maintenance Program	901583	\$1,962,434	\$0	F-\$.9M	UConn 2000 Phase III - DM	04/20/10	2010	0%
	UConn 2000 Code Remediation Towers Dormitories	201472	\$620,000	\$67,459	F	University Operating Funds	04/20/10	2010	70%
	University of Connecticut Fire Marshal (UCFM) Code Remediation Charter Oak Apts	901476	\$537,000	\$14,857	F	UConn 2000 Phase III - DM Departmental Funds-Residential Life	04/20/10	2010	0%
	University of Connecticut Fire Marshal (UCFM) Code Remediation Charter Oak Apts	201542	\$1,387,000	\$29,870	F	Departmental Funds-Residential Life	04/20/10	2010	0%
	University of Connecticut Fire Marshal (UCFM) Code Remediation Gampel Pavilion Fire Alarm Replacement	901552	\$2,200,000	\$60,185	F	UConn 2000 Phase III - DM	04/20/10	2010	0%
	Water Reclamation Facility (formerly Gray Water Facility)	901229	\$28,951,000	\$1,926,081	P-\$1.4M RP-\$28.6M D-\$28.9M F-\$28.9M	UConn 2000 Phase III - DM Plant Funds	06/24/08 08/04/09 02/18/10 04/20/10	2012	0%

Project Name by Construction Phase		Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 05/14/10)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Final Budget Phase (Continued)									
West Hartford Campus Renovations/ Improvements-Replace Trecker Library Air Handling Unit		901539	\$525,000	\$0	F	UConn 2000 Phase III	06/10/10 Pending	2010	0%
West Hartford Campus Renovations/ Improvements -School of Social Work Building Envelope Repair		901524	\$1,000,000	\$782,917	P D F	UConn 2000 Phase III	06/23/09 08/04/09 09/22/09	2010	10%
West Hartford Campus Renovations/ Improvements - Replace Boilers, 1800 Asylum Avenue		901538	\$525,000	\$840	F	UConn 2000 Phase III	06/10/10 Pending	2010	0%
Revised Final Budget Phase									
OSFM Legacy Code Remediation		901259	\$9,000,000	\$1,233,856	P-\$680K D-\$1.190M F-\$8.550M RF-\$9.0M	UConn 2000 Phase III - DM University Plant Funds	09/23/08 04/21/09 06/23/09 04/20/10	2011	0%
Recreational Sport Field Repair / Replacement Depot Campus		201439	\$1,167,900	\$847,946	D-\$867.9K F-\$867.9K RF-\$1.17M	Departmental Funds	11/18/08 03/10/09 04/20/10	2010	99%
Residential Life Facilities - Masonry Repairs Holcomb, Whitney, Sprague		901471	\$800,000	\$580,858	P-\$675K D-\$675K F-\$675K RF-\$775K RF-\$800K	UConn 2000 Phase III Departmental Funds	11/18/08 04/21/09 06/23/09 11/05/09 06/10/10 Pending	2010	95%
School of Engineering Renovations		901508	\$1,411,000	\$1,034,948	F RF	UConn 2000 Phase III - DM (\$17.5K) Departmental Funds (\$17.5K) Eminent Faculty Funds (\$1.376M)	09/22/09 02/18/10	2010	85%
Steam and Condensate Distribution System Improvements - Phase I ^E		901317 901372 901421	\$3,755,085	\$700,467	RP D F RF	UConn 2000 Phase III - DM	02/10/09 04/21/09 03/23/10 04/20/10	2010	0%
Street Construction/Sidewalk Repairs and Related Improvements - Phase I		901318	\$2,600,000	\$2,252,146	F-\$1.0M RF-\$2.0M RF-\$2.6M	UConn 2000 Phase III - DM	04/15/05 06/24/08 06/23/09	2010	85%
Waterbury Campus Property Transfer (Old Campus)		901097	\$1,180,000	\$822,305	F-\$725K RF-\$1.18M	UConn 2000 Phase II - DM UConn 2000 Phase III - DM	06/24/08 09/22/09	2010	95%
Waterbury Downtown Campus - Courtyard Landscaping and Artwork		901179	\$934,100	\$801,003	D-\$800K F-\$800K RF-\$934.1K	UConn 2000 Phase III Gifts	01/12/09 04/21/09 03/23/10	2010	5%
West Hartford Campus Renovations/ Improvements - Phase I		901285	\$1,400,000	\$1,026,238	P-\$1.5M F-\$1.5M RF-\$1.5M RF-\$1.4M	UConn 2000 Phase III	06/24/08 11/18/08 04/21/09 06/10/10 Pending	2010	75%
Recently Completed Projects ⁽¹⁾									
Aquatics Facility - Renovations to Atwater Pathobiology Building		201441	\$1,200,000	\$1,030,934	P F	Research Funds	04/15/08 06/24/08	2010	100%
Atwater Pathobiology Fire Alarm Replacement		901514	\$510,000	\$305,068	F RF	UConn 2000 Phase III University Plant Funds	04/21/09 06/23/09	2009	100%
Avery Point Campus Undergraduate & Library Bldg - Roof and Exterior Building Envelope and Masonry Repairs		901525	\$573,000	\$488,879	P F	UConn 2000 Phase III	06/23/09 08/04/09	2010	100%
Beach Hall Renovation of Rooms 245 and 246		901254	\$970,000	\$969,507	F-\$755K RF-\$930K RF-\$970K	UConn 2000 Phase III - DM (\$533K) University Operating Funds (\$440K)	09/23/08 01/12/09 09/22/09	2009	100%

Project Name by Construction Phase		Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 05/14/10)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Recently Completed Projects (Continued) ⁽¹⁾									
Storrs and Regional Campuses	Central Utility Plant Emergency Generator System	901197	\$2,000,000	\$1,571,986	P-\$750K D-\$750K RD-\$1.4M F-\$1.4M RF-\$2.0M	UCONN 2000 Phase III - DM	09/26/06 12/04/07 01/22/08 06/24/08 01/12/09	2010	100%
	Corrugated Stainless Steel Tubing (CSST) Gas Piping Replacement	901515	\$550,000	\$178,871	F RF	University Operating Funds Departmental Funds	04/21/09 06/26/09	2010	100%
	Family Studies Renovations - Elevator Replacement	901365	\$787,500	\$654,796	P-\$800K RP-\$1.3M D-\$787.5K F-\$787.5K	UCONN 2000 Phase III	03/13/07 06/24/08 01/12/09 03/10/09	2010	100%
	Family Studies (DRM) Renovation - Window Replacement & Façade Repair	901293	\$2,193,240	\$1,923,037	P-\$500K RP-\$1.2M D-\$2.9M F-\$2.9M RF-\$2.2M	UCONN 2000 Phase III	09/25/07 06/24/08 01/12/09 04/21/09 06/23/09	2009	100%
	Farm Buildings Repairs/Replacement - Roof, Façade & Other Repairs	901366	\$2,000,000	\$1,054,184	P F	UCONN 2000 Phase III	06/24/08 09/23/08	2010	100%
	Fine Arts Phase II - Master Plan	901369	\$1,050,000	\$342,243	P-\$1.5M RP-\$1.05M	UCONN 2000 Phase III	06/24/08 08/04/09	2009	100%
	Gant Building Renovations - Phase I (Roof)	901562	\$560,000	\$384,254	P-\$8.0M D-\$1.0M F-\$560M	UCONN 2000 Phase III	06/24/08 04/21/09 11/05/09	2010	100%
	Gentry Completion	901280	\$10,000,000	\$9,568,028	P F	UCONN 2000 Phase III	09/25/07 04/21/09	2010	100%
	Hawley Armory Façade and Interior Repairs	901182	\$2,720,000	\$2,277,162	P-\$2.6M D-\$2.7M F-\$2.7M	UCONN 2000 Phase III - DM	06/19/07 08/01/07 04/15/08	2010	100%
	Human Development Building Parking Lot Improvements	901378 901418	\$505,000	\$344,497	F	UCONN 2000 Phase III - DM	06/23/09	2009	100%
	Landfill Closure	900748	\$28,123,049	\$28,039,630	D - \$25.3M F - \$27.9M RF-\$28.1M	UCONN 2000 Phase I - DM UCONN 2000 Phase II - DM UCONN 2000 Phase III - DM	09/20/05 04/11/06 06/23/09	2009	100%
	Law School Renovations/Improvements - Facade Restoration	901169	\$20,330,113	\$19,928,928	D-\$12.5M F-\$19.0M RF-\$19.9M RF-\$22.1M RF-\$20.3M	UCONN 2000 Phase III ^(B)	04/11/06 09/25/07 06/24/08 09/23/08 03/23/10	2009	100%
	Lightning and Grounding Protection for Hilltop Apartments and Charter Oak Suites	901350	\$715,000	\$373,949	F RF	UCONN 2000 Phase III Departmental Funds	04/21/09 06/23/09	2009	100%
	Mansfield Training School Improvements - Existing Condition Survey & Master Planning (Bldgs & Infrastructure)	901370	\$600,000	\$376,796	P	UCONN 2000 Phase III	06/24/08	2010	100%
	Nayden Clinic Relocation	901166	\$892,000	\$843,669	P-\$650K D-\$650K F-\$667K RF-\$741K RF-\$892K	UCONN 2000 Phase III - DM	03/13/07 04/10/07 01/22/08 06/24/08 08/21/08	2009	100%

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 05/14/10)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Storrs and Regional Campuses	Recently Completed Projects (Continued) ⁽¹⁾								
	OSFM Fine Arts Complex Code Correction	901175	\$957,738	\$830,719	F RF	UConn 2000 Phase III - DM University Plant Funds	01/12/09 06/23/09	2009	100%
	OSFM Law School Code Remediation - Hosmer (Chase) Hall	901249	\$590,000	\$538,607	F	UConn 2000 Phase III - DM	01/12/09	2009	100%
	Parking Garage Repair and Upgrade	901410	\$1,305,000	\$1,109,105	P D F	UConn 2000 Phase III - DM	09/23/08 02/10/09 04/21/09	2009	100%
	Public Safety Security Garage and Storage Facility	901384	\$568,311	\$486,367	F	UConn 2000 Phase III - DM	06/23/09	2010	100%
	Residential Life Facilities - Shippee Hall Elevator Replacement	901449	\$600,000	\$552,375	P F	UConn 2000 Phase III	09/23/08 11/18/08	2009	100%
	Residential Life Facilities-Sprinkler Installation & Miscellaneous Repairs at Mansfield Apartment Complex	901284	\$4,000,000	\$1,870,845	P D F RF	UConn 2000 Phase III Departmental Funds	09/25/07 06/24/08 04/21/09 06/23/09	2009	100%
	Residential Life Facilities-Sprinkler Installation & Miscellaneous Repairs at Northwood Apartment Complex	901283	\$3,000,000	\$1,905,268	P D F RF	UConn 2000 Phase III Departmental Funds	09/25/07 06/24/08 04/21/09 06/23/09	2010	100%
	SCADA (Supervisory Control and Data Analysis) System	901196	\$1,300,000	\$1,074,883	P D F	UConn 2000 Phase III - DM	09/25/07 12/04/07 01/22/08	2009	100%
	Torrey Life Sciences Fire Alarm Replacement	201503	\$547,000	\$437,772	F	University Plant Funds	06/23/09	2010	100%
	Wilbur Cross Facade Repairs	901185	\$1,754,500	\$1,377,992	P D F	UConn 2000 Phase III - DM	06/19/07 08/01/07 04/15/08	2009	100%

Health Center	Planning Budget Phase								
	Cage Processing Facility Renovations	10-050	\$9,340,000	\$0	P P	NIH Grant Funds UCHC Capital Budget	(04/12/10)* 04/20/10	04/2012	0%
	Catheterization Lab Equipment Replacement & Renovations	08-031	\$2,530,000	\$0	P P	UCHC Capital Budget Departmental Funds	(04/12/10)* 04/20/10	04/2011	0%
	Chiller #2 Replacement	09-603.02	\$1,350,000	\$92,500	P P	UConn 2000 Phase III - DM	(06/08/09)* 06/23/09	TBD	0%
	CLAC Renovation	901133	\$14,000,000	\$964,713	P P	UConn 2000 Phase III	(05/17/06)* 06/20/06	TBD	0%
	Dental School GP3 Clinic Renovation	10-070	\$1,400,000	\$0	P P	UCHC Capital Budget	(04/12/10)* 4/20/10	TBD	0%
	Dental School Renovation (Orthodontics Renovation)	901526	\$2,290,000	\$65,000	P P	UConn 2000 Phase III - \$1.8M Departmental Funds- \$454K	(01/07/10)* 02/18/10	10/2011	0%
	Main Building Exterior Façade Joint Sealant Replacement	08-603.01	\$3,300,000	\$62,366	P P	UConn 2000 Phase III - DM	(06/09/08)* 06/24/08	TBD	0%
	Main Building Renovation ^D	901134; 901221	\$75,000,000	\$2,481,839	P P	UConn 2000 Phase III	(05/17/06)* 06/20/06	TBD	0%
	New Construction & Renovation	901590	\$332,000,000	\$0	P	UConn 2000 Phase III	(06/07/10)* 06/10/10 Pending	TBD	0%
	Support Building Addition/Renovation	901327	\$7,800,000	\$85,979	P P	UConn 2000 Phase III	(07/16/07)* 08/01/07	On Hold	0%
	Design Budget Phase								
	Academic Building (Bldg A) Roof Replacement	07-601.10	\$1,050,000	\$28,650	P -\$1.15M P -\$1.15M D-\$1.050M D-\$1.050M	UConn 2000 Phase III - DM	(06/09/08)* 06/24/08 (06/08/09)* 06/23/09	TBD	0%

Project Name by Construction Phase		Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 05/14/10)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Health Center	Final Budget Phase								
	800 Mhz NMR Installation	08-014	\$2,990,000	\$2,730,938	P P RP-\$2.99M RP-\$2.99M D-\$2.99M D-\$2.99M F-\$2.90M F-\$2.90M	UCHC Capital Budget (\$.8M) Grants (\$2M)	(06/09/08)* 06/24/08 (03/08/09)* 03/10/09 (09/14/09)* 09/22/09 (11/02/09)* 11/05/09	08/2010	85%
	Administrative Services Building (ASB) Renovations	10-054	\$3,900,000	\$0	P P F F	UCHC Capital Budget	(04/12/10)* 04/20/10 (06/07/10)* 06/10/10 Pending	TBD	0%
	Datacenter Infrastructure Improvements, Phase 1	09-603.01	\$2,055,000	\$251,403	P-\$1.8M P-\$1.8M D-\$2.055M D-\$2.055M F-\$2.055M F-\$2.055M	UCONN 2000 Phase III - DM	(06/08/09)* 06/23/09 (01/07/10)* 02/18/10 (04/12/10)* 04/20/10	02/2011	0%
	Dowling South Chiller Replacement	09-019	\$690,000	\$32,916	F	UCHC Capital Budget	(01/07/10)* 02/10/10	On Hold	0%
	Munson Road Renovation and Expanded Parking	05006770	\$4,350,000	\$4,164,897	P-\$3.2M P-\$3.2M D-\$4.4M D-\$4.4M F-\$4.4M F-\$4.4M	UCHC Capital Budget	(05/17/06)* 06/20/06 (07/16/07)* 08/01/07 (09/17/07)* 09/25/07	05/2010	99%
	Research Tower	901132; 901220	\$51,565,000	\$47,123,127	P-\$60.0M P-\$60.0M RP-\$46.8M RP-\$46.8M RP-\$50.9M RP-\$50.9M F-\$51.6M F-\$51.6M	UCONN 2000 Phase III	(09/08/05)* 09/20/05 (09/11/06)* 09/26/06 (03/10/08)* 04/15/08 (12/08/08)* 01/12/09	05/2010	96%
	Revised Final Budget Phase								
	Dental School Renovation-Preclinical Teaching & Prosthetics Labs	901137 901212 901225	\$2,420,000	\$1,344,036	P-\$5M P-\$5M RP-\$5.0M RP-\$5.0M RP-\$3.1M RP-\$3.1M D-\$3.1M D-\$3.1M F-\$2.4M F-\$2.4M	UCONN 2000 Phase III	(09/08/05)* 09/20/05 (05/17/06)* 06/20/06 (09/17/07)* 09/25/07 (09/14/09)* 09/22/09 (01/07/10)* 02/18/10	10/2010	40%

	Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 05/14/10)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Health Center	Recently Completed Projects ⁽¹⁾								
	Cooling Coil Conversion Project	06-704	\$1,408,000	\$1,406,703	P-\$0.65M P-\$0.65M D-\$1.7M D-\$1.7M F-\$1.4M F-\$1.4M	UCONN 2000 Phase III - DM	(09/08/05)* 11/15/05 (04/04/07)* 04/10/07 12/04/07 (12/10/07)*	05/2009	100%
	John Dempsey Hospital Emergency Department Expansion	07-038	\$1,280,000	\$1,036,663	P-\$1.3M P-\$1.3M D-\$1.4M D-\$1.4M F-\$1.280M F-\$1.280M	UCHC Capital Budget	(07/16/07)* 08/01/07 (12/08/08)* 01/12/09 (03/08/09)* 03/10/09	12/2009	100%
	Library/Student Computer Center Renovation	901222; 901135; 901223	\$1,216,491	\$1,066,544	P-\$1.1M P-\$1.1M D-\$1.2M D-\$1.2M F-\$1.2M F-\$1.0M	UCONN 2000 Phase III (\$1.1M) Gifts (\$0.1M)	(05/17/06)* 06/20/06 12/04/07 (12/10/07)* (04/14/08)* 04/15/08	04/2009	100%
	Main Cooling System Back-up Chiller Replacement	06006894	\$935,000	\$879,440	P-\$0.8M P-\$0.8M D-\$0.8M D-\$0.8M F-\$0.935M F-\$0.935M	UCONN 2000 Phase III - DM	(07/16/07)* 08/01/07 (04/04/07)* 09/24/07 02/27/08 (03/10/08)*	10/2009	100%
	Negative Pressure Room, Bldg 4 Renovations	07-082	\$590,000	\$489,485	D D F F	UCHC Research Use Allowance	(09/15/08)* 09/23/08 (10/27/08)* 11/18/08	09/2009	100%

CM@R = Construction Manager at Risk

DB = Design Build

DBB = Design - Bid - Build

TBD = To be determined

P = Planning Budget

RP = Revised Planning Budget

D = Design Budget

RD = Revised Design Budget

F = Final Budget

RF = Revised Final Budget

EI = Environmental Impact

C = Complete

SC = Substantial Completion

* University of Connecticut Health Center Board of Directors Reviewed and Recommended for Board of Trustees Approval

⁽¹⁾ Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments.

^A Expenditures occurred before July 1, 2005. For all capital projects for which planning commences after July 1, 2005, and for which expenditures are projected to equal or exceed \$500,000, the "three-stage" budgets (planning, design and final) will be presented to the Board of Trustees for approval.

^B Special Act 04-2, Section 2(i) contains an authorization for \$8 million in State General Obligation Bonds for this project. The University has requested that this authorization be put on the Bond Commission agenda for allotment, which would release the funds for use. Funding has been approved by the Bond Commission, UCONN 2000 funding will be reduced.

^C Board of Trustees, Buildings, Grounds and Environment Committee (BGE) approved project on 5/14/07 and confirmed on 5/17/07 per special authorization from the Board of Trustees given 04/10/07.

^D The Main Building Renovation Phase III total funding was decreased from \$75 million to \$73.91 million on August 1, 2007. The Clinical Skills Renovation portion of this total is \$1.2 million. Therefore, this planning budget will be revised to \$72.71 million in the future.

^E The project budget incorporates three projects 1) Infirmary/CUP Steam & Condensate Replacement (Glenbrook Rd to CUP); 2) South Campus Steam and Condensate Loop; and 3) Steam Pit Replacements - combined into project budget: Steam and Condensate Distribution System Improvements-Phase I (BOT 02.10.09) .



University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

June 10, 2010

TO: Members of the Board of Trustees
FROM: Members of the Buildings, Grounds and Environment Committee
RE: Project Increase Notification

The Board of Trustees, Buildings, Grounds and Environment Committee must immediately be notified when any project cost goes over \$500,000 from all sources of funds.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070



University of Connecticut
*Office of the Vice President and
Chief Financial Officer*

June 10, 2010

TO: Members of the Board of Trustees
FROM: Members of the Buildings, Grounds and Environment Committee
RE: Approval Process for Projects Benefiting University President

Any project initiated by the President and for the benefit of the President is to be approved by the Board of Trustees Buildings, Grounds and Environment Committee rather than the University's internal Building and Ground Committee.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070