

3-10-2009

## Agenda and attachments, March 10, 2009

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University of  
Connecticut

*Board of*



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TRUSTEES

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Rome Commons Ballroom  
South Campus Complex  
Storrs, Connecticut

March 10, 2009

**OPEN SESSION**

Call to order at 1:00 p.m.

Executive Session anticipated to discuss preliminary notes and drafts, and commercial or financial information given in confidence.

1. Public Participation
2. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meeting of February 10, 2009
  - (c) 2009-2010 Board meeting schedule (Attachment 1)
  - (d) Consent Agenda Item:
    - (1) Contracts and Agreements for the Storrs-based programs and the Health Center (Attachment 2)
  - (e) Personnel matters (Storrs-based programs) (Attachment 3)
    - (1) Sabbatics
    - (2) Informational matters
3. President's Report
4. Academic Affairs Committee Report
  - (a) Report on Committee activities
5. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Fiscal Year 2010 Institutional Fees (Tuition, Room & Board) for the University of Connecticut, Storrs and Regional Campuses (To be distributed)
    - (2) Fiscal Year 2010 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses (To be distributed)
    - (3) Fiscal Year 2010 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses (To be distributed)
    - (4) Revised Allocation of Bond Authorizations as Set Forth in the Fourteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (To be distributed)

- (5) Revised Allocation of Bond Authorizations as Set Forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds) (To be distributed)
  - (6) Project Budget (Revised Planning) for the University of Connecticut Health Center 800 Mhz NMR Purchase and Installation (Attachment 4)
  - (7) Project Budget (Design) for Gentry Completion (Attachment 5)
  - (8) Project Budget (Final) for Recreational Sport Field Repair/Replacement (Depot Campus) (Attachment 6)
  - (9) Project Budget (Final) for the University of Connecticut Health Center John Dempsey Hospital Emergency Department Expansion (Attachment 7)
- 6. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
- 7. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
- 8. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
- 9. Health Center Report
  - (a) Report on Health Center activities
  - (b) Item requiring Board discussion and approval:
    - (1) Proposed Tuition and Fees Rates for Fiscal Year 2010 for the University of Connecticut School of Medicine and School of Dental Medicine (Attachment 8)
- 10. Student Life Committee Report
  - (a) Report on Committee activities
- 11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
  - (b) Development Progress Executive Summary (To be distributed)
- 12. Other
- 13. Adjournment

The next meeting of the Board of Trustees is scheduled for Tuesday, April 21, 2009 at 1:00 p.m. at the Rome Commons Ballroom (South Campus Complex), Storrs, Connecticut.

**PLEASE NOTE:** *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.*



***PROPOSED FINAL***

**UNIVERSITY OF CONNECTICUT  
BOARD OF TRUSTEES  
MEETING SCHEDULE**

**2009-2010**

<b>Date</b>	<b>Time</b>	<b>Location</b> (Subject to possible modifications)
Tuesday, September 22, 2009	1:00 p.m.	South Campus Storrs
Tuesday, November 17, 2009	1:00 p.m.	South Campus Storrs
Tuesday, January 26, 2010	1:00 p.m.	South Campus Storrs
Tuesday, March 23, 2010	1:00 p.m.	South Campus Storrs
Tuesday, April 20, 2010	1:00 p.m.	South Campus Storrs
Tuesday, June 22, 2010	9:00 a.m. – 5:00 p.m.	South Campus <i>Annual Budget Workshop</i>
Tuesday, August 10, 2010	1:00 p.m.	Avery Point Campus Groton

**BOARD MEETING DATES HELD IN RESERVE**

The following meeting dates are being held in reserve in the event there is business to be transacted that requires a regular Board meeting.

<b>Date</b>	<b>Time</b>	<b>Location</b>
Tuesday, October 20, 2009	1:00 p.m.	South Campus Storrs
Tuesday, December 15, 2009	1:00 p.m.	South Campus Storrs
Tuesday, February 23, 2010	1:00 p.m.	South Campus Storrs
Tuesday, May 18, 2010	1:00 p.m.	South Campus Storrs
Tuesday, July 20, 2010	1:00 p.m.	South Campus Storrs

CONTRACTS AGREEMENTS  
FOR APPROVAL  
MARCH 10, 2009

PROCUREMENT						
PROCUREMENT - NEW						
No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Butler Woodcrafters	\$569,873	one time purchase; deliveries to begin 07/27/09-08/07/09	Auxiliary Services	Logan Trimble, Department Head, Residential Life	Purchase of dormitory furniture for the fall 2009 semester for Hilltop, Charter Oak and Northwood.
LEASES						
UNIVERSITY AS LESSEE						
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Hartford Hospital	\$32,626	01/01/09-12/31/12	Research Funds	Robert Murphy, Director, Office of Facility Contracts & Leases	UCHC lease of space for social workers who are involved in Dr. Salazar's pediatric HIV program. The space consists of 200 square feet in three rooms; numbers 23, 24 and 25 located in the Stearns Building on the Institute of Living campus at 200 Retreat Avenue, Hartford, CT.
UNIVERSITY AS LESSOR						
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Synaptic Dynamics, Inc	\$12,160	03/15/09-03/14/12	Auxiliary Funds	Jeffrey Reynolds, Executive Director CPCA	Lease of approximately 608 square feet of laboratory space in room 103 of the building known as the Advanced Technology Laboratory in the BioScience Complex, 1392 Storrs Road, Storrs, CT. Premises to be used for the purpose of a laboratory. Lessee to participate in the University Technology Incubation Program (TIP).

**University of Connecticut Department of Human Resources  
Sabbatical Leave Recommendations Requiring Board of Trustees Approval  
March 10, 2009 Board of Trustees Meeting**

**Changes to Previously Approved Sabbatical Leaves**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Fein, Deborah A	Distinguished Prof	Psychology	Liberal Arts & Sciences	Half	AY 2008-09
Giacotto, Carmelo	Professor	Finance Dept	Business	Full	Spring 2009
Gianutsos, Gerald	Associate Professor	Pharmaceutical Sci	Pharmacy	Full	Spring 2009
Hiskes, Anne L	Director	Philosophy	Liberal Arts & Sciences	Half	Spring 2010
Olgac, Nejat	Professor	Mechanical Engr	Engineering	Half	AY 2008-09
Rubio, Maria E	Associate Professor	Physiology & Neurobiol	Liberal Arts & Sciences	Full	AY 2009-10
				Full	Fall 2009
				Full	Spring 2009
				Full	Spring 2009

**Sabbatical Leave Requests**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Abikoff, William	Professor	Mathematics	Liberal Arts & Sciences	Half	AY 2009-10
Barnes-Farrell, Janet L	Professor	Psychology	Liberal Arts & Sciences	Full	Fall 2009
Biggs, Frederick	Professor	English	Liberal Arts & Sciences	Full	Fall 2009
Brand, Mark H	Professor 11 Mo	Plant Science	CANR	Full	Fall 2009
Breen, Margaret S	Associate Professor	English	Liberal Arts & Sciences	Full	Fall 2009
Chazdon, Robin L	Professor	Ecology & Evol Biology	Liberal Arts & Sciences	Half	AY 2009-10
Choi, Yung S	Professor	Mathematics	Liberal Arts & Sciences	Full	Fall 2009
Colwell, Robert K	Distinguished Prof	Ecology & Evol Biology	Liberal Arts & Sciences	Half	AY 2009-10
Couch, Kenneth A	Director	Economics	Liberal Arts & Sciences	Full	Fall 2009
Dennis, Kelly E	Asst Professor	Art & Art History	Fine Arts	Full	Fall 2009
Freake, Hedley C	Professor	Nutritional Sciences	CANR	Half	AY 2009-10
Glaz, Sarah	Professor	Mathematics	Liberal Arts & Sciences	Half	AY 2009-10
Gogarten, Johann P	Professor	/Hartford Campus		Full	Fall 2009
Gordina, Maria	Associate Professor	Molecular & Cell Biolo	Liberal Arts & Sciences	Half	AY 2009-10
		Mathematics	Liberal Arts & Sciences	Half	AY 2009-10

**University of Connecticut Department of Human Resources**  
**Sabbatical Leave Recommendations Requiring Board of Trustees Approval**  
**March 10, 2009 Board of Trustees Meeting**

**Sabbatical Leave Requests**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Harding, John P	Professor	Finance Dept	Business	Half	AY 2009-10
Kupperman, Joel J	Distinguished Prof	Philosophy	Liberal Arts & Sciences	Full	Fall 2009
Liu, Lanbo	Associate Professor	Civil & Environ Eng	Engineering	Half	AY 2009-10
Luh, Peter B	Department Head	Electrical & Cptr Engr	Engineering	Half	AY 2009-10
Mahoney, Charles W	Associate Professor	English	Liberal Arts & Sciences	Full	Fall 2009
Mandouiu, Ion I	Associate Professor	Computer Science & Eng	Engineering	Half	AY 2009-10
Marsden, James R	Distinguished Prof	Operations & Info Mgmt	Business	Full	Fall 2009
Meyer, Judith P	Associate Professor	History	Liberal Arts & Sciences	Half	AY 2009-10
		/Waterbury Campus			
Michel, Laurent D	Associate Professor	Computer Science & Eng	Engineering	Half	AY 2009-10
Miller, Ross L	Professor	English	Liberal Arts & Sciences	Half	AY 2009-10
Minkler, Alanson	Associate Professor	Economics	Liberal Arts & Sciences	Full	Fall 2009
Murphy, Brenda	Distinguished Prof	English	Liberal Arts & Sciences	Half	AY 2009-10
Nair, Suresh K	Professor	Operations & Info Mgmt	Business	Full	Fall 2009
Olshevsky, Vadim	Professor	Mathematics	Liberal Arts & Sciences	Half	AY 2009-10
Orwicz, Michael R	Associate Professor	Art & Art History	Fine Arts	Full	Fall 2009
Polifroni, Elizabeth C	Associate Dean	Nursing Instr & Res	Nursing	Full	Fall 2009
Pressman, Jeremy	Asst Professor	Political Science	Liberal Arts & Sciences	Half	AY 2009-10
Schwenk, Kurt	Professor	Ecology & Evol Biology	Liberal Arts & Sciences	Full	Fall 2009
Shaw, Brenda R	Associate Professor	Chemistry	Liberal Arts & Sciences	Half	AY 2009-10
Simonsen, William	Director	Public Policy	Liberal Arts & Sciences	Full	Fall 2009
Solomon, David R	Associate Professor	Mathematics	Liberal Arts & Sciences	Half	AY 2009-10
Sonstroem, David A	Professor	English	Liberal Arts & Sciences	Full	Fall 2009
Teplyaev, Alexander	Associate Professor	Mathematics	Liberal Arts & Sciences	Full	Fall 2009
Tian, Xiuchun	Associate Professor	Animal Science	CANR	Full	Fall 2009

**University of Connecticut Department of Human Resources**  
**New Hires Processed from January 3, 2008 to January 31, 2008**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATE
Hanzlik, Louis	Asst Professor	Music	8/23/08
Pant, Rima	Research Assistant 2	Physiology & Neurobiology	1/16/09
Bacher, Kevin	Specialist IA	Athletics Mens Intercol	1/30/09
Moorhead, Joseph M.	Specialist IIA	Athletics Mens Intercol	1/22/09
Berning, Joshua	U Post Doc Fel I	Agri & Resource Economics	1/20/09
Islam, Rafique	U Post Doc Fel I	Allied Health Sciences	1/1/09
Mavridis, Caroline A.	U Post Doc Fel I	Human Dev/Fam Studies	1/29/09
Soh, Heun	U Post Doc Fel I	Physiology & Neurobiology	1/16/09
Decker, Allison	UCP 01 - Asst Teacher - Cdl	Human Dev/Fam Studies	1/5/09
Mccollum, Donna M.	UCP 04 - Admin Serv Spec II	Accounting Ofc	12/29/08
Hyde-Wick, Susan	UCP 06 - Career Consultant	Waterbury Student Serv	1/30/09
Barry, John	UCP 06 - Database Admin 1	Student Aff IT Dept	1/30/09
Curran, Carla K.	UCP 07 - Gov Relations Assoc	Governmental Relations	1/25/08
McKeown, Kimberly	UCP 07 - Program Manager	Post Educ & Disability	2/9/09
Rival, Meg E.	UCP 08 - Asst Finance Dir I	Accounts Payable	1/30/09
Burr, Nicholas J.	UCP 09 - Network Technician 3	Cen-Asc	1/16/09

**University of Connecticut Department of Human Resources**  
**Employee Separations Processed from January 22, 2009 to February 12, 2009**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPT.	SEPARATION REASON	SEPARATION DATE
Van Cott, Donna L.	Associate Professor	Pol Sci	Death (Non-Employment Related)	1/17/09
Cardoza, Tonya M.	Specialist IIA - Assistant Coach	Ath	Resignation (Good Standing)	6/30/08
Gamelin, John K.	Asst Research Prof	ECE	Resignation (Good Standing)	1/30/09
Kaminski, John	Asst Professor	PLSC	Resignation (Good Standing)	12/31/08
Lazure, Jill L.	UC Professional 04 - Admin Serv Asst III	CESE	Resignation (Good Standing)	2/1/09
Mccallum, Brent T.	Instr In Res	Acct	Resignation (Good Standing)	1/1/09
Molter, Trent	Research Scientist	CT Fuel Cell Ctr	Resignation (Good Standing)	1/29/09
Rummel, Jeffrey L.	Associate Professor	Business	Resignation (Good Standing)	1/19/09
Schwartz, Daniel R.	Research Scientist	OARS	Resignation (Good Standing)	9/19/08
Smith, Susan P.	UC Professional 07 - U Library Asst III	Library	Retirement (25+ Yrs Service)	1/31/09
Quintero, Shirley E.	UC Professional 10 - Asst Comp Manager	Library	Retirement (55/W 10-24 Years)	1/31/09

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 02/18/09**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Abboud, Nelly	Associate Professor	Civil & Environ Eng	8/23/08-8/22/09	Medical leave with part pay
Bunnell, Heather	UCP 2 - Prog Asst - Ed Outrch	Community School of the Arts	2/5/09-2/6/09	Parental leave with pay (Revised)
Cahill, Michelle L	UCP 1 - Financial Asst I	Liberal Arts & Sci Dea	2/4/09-2/13/09	Parental leave with pay (Revised)
Gilligan, Patricia J.	UCP 5 - SA Prog Coor II	Student Union	2/6/09	Medical leave with pay
Jackson, Rachel	UCP 4 - Program Assistant II	Human Rights Institute	1/16/09-3/4/09	Maternity leave with pay
Jezek, Marilyn	UCP 5 - Technical Asst II	Pathobiology	1/23/09-2/6/09	Medical leave with pay
Lamb, Margaret	UCP 8 - Program Director	SEP- IMJR Interdisc Stu	1/16/09, 1/23/09	Medical leave with pay
Lipsky, Sue M	U Director	CTIS	1/26/09 & 1/27/09	Medical leave with pay
Pappademos, Melina A	Asst Professor	History	1/29/09-3/25/09	Maternity leave with pay
Pelizzon, Vanessa P	Associate Professor	English	2/23/10-8/22/10	Leave with part-pay
Rutkauskas, Carissa	UCP 3 - Program Asst. I	Curriculum & Instr Dep	1/20/09-3/4/09	Maternity leave with pay
Spalding, Karen	Professor	History	8/23/07-8/22/08	Personal leave with part pay
Spalding, Karen	Professor	History	8/23/08-8/22/09	Personal leave with part pay
Traynor, Jennifer A	U Staff Prof III	Human Resources	1/9/09-1/30/09	Medical leave with pay
Wang, Yazhen	Professor	Statistics	8/31/07-8/30/08	Leave with pay
Wang, Yazhen	Professor	Statistics	8/31/08-8/30/09	Leave with pay
Werkmeister Rozas, Lisa	Asst Professor	Social Work Instr & Re	12/11/08-1/21/09	Maternity leave with pay



University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Attachment 4

March 10, 2009

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Revised Planning) for the UConn Health Center (UCHC)  
800 Mhz NMR Installation

RECOMMENDATION:

That the Board of Trustees approve a Revised Planning Budget in the amount of \$2,990,000 for the purchase of equipment and renovations associated with the installation of a new 800 Mhz Nuclear Magnetic Resonance (NMR) imaging device and authorize the purchase of the NMR in advance of the Final Budget approval.

BACKGROUND:

The UConn Health Center (UCHC) received an NIH grant in the amount of \$2,000,000 to purchase a new 800 Mhz Nuclear Magnetic Resonance (NMR) instrument to be added to the existing Gregory P. Mullen NMR Structural Biology Facility. The installation of the more powerful NMR will lead to the development of a regional facility that will not only enhance the protein structural analysis capabilities at UCHC but also enhance collaborations with researchers at the Storrs campus. The project includes purchase of the equipment and the upgrades to the heating, ventilation and air conditioning systems, and the power systems as required for the operation of the new NMR.

The conditions of the grant for the purchase of the NMR require that the funds be encumbered by April 30, 2009. We are requesting approval to award and purchase the NMR at this time so that this activity can be completed within the timeframe requirements of the grant. The design and construction portion of the project will follow the normal "three stage" budget approval process.

*An Equal Opportunity Employer*

352 Mansfield Road Unit 2014  
Storrs, Connecticut 06269-2014

Telephone: (860) 486-4340

Facsimile: (860) 486-1070

web: [www.uconn.edu](http://www.uconn.edu)



A Planning Budget was approved by the Board of Trustees on June 24, 2008 in the amount of \$2,800,000. The attached Revised Planning Budget reflects adjustments based on current information, including an increase in the cost of the NMR up to the full amount of the grant. The construction estimate for the installation of the new device is based on a conceptual planning study done by Svigals + Partners, a consulting architecture and engineering firm. The budget may require adjustment based on completed design work.

The Revised Planning Budget is attached for your consideration and approval. This budget was approved by the UCHC Board of Directors on March 8, 2009.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED PLANNING**

**PROJECT NAME: UCHC 800 NMR INSTALLATION**

	<b>APPROVED PLANNING 6/24/2008</b>	<b>PROPOSED REVISED PLANNING 3/10/2009</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 620,000	\$ 700,000
DESIGN SERVICES	85,000	96,000
TELECOMMUNICATIONS	3,000	14,000
FURNITURE, FIXTURES AND EQUIPMENT	1,755,000	2,000,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES	25,000	10,000
ART	-	-
RELOCATION	5,000	30,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	5,000	5,000
MISCELLANEOUS	2,000	6,000
OTHER SOFT COSTS	-	-
<b>SUBTOTAL</b>	<b>\$ 2,500,000</b>	<b>\$ 2,861,000</b>
PROJECT CONTINGENCY*	300,000	122,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 2,800,000</b>	<b>\$ 2,983,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UCHC CAPITAL FUNDS	\$ 800,000	\$ 990,000
GRANT FUNDS	2,000,000	2,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 2,800,000</b>	<b>\$ 2,990,000</b>

\* The project contingency amount is based on the cost of installing the NMR and excludes the actual cost of the NMR.



University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Attachment 5

March 10, 2009

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Design) for Gentry Completion

RECOMMENDATION:

That the Board of Trustees approve a Design Budget for the renovations and improvements to the Charles B. Gentry Building in the amount of \$10,000,000.

BACKGROUND:

The Neag School of Education is housed primarily in the Charles B. Gentry Building on the Storrs campus. The existing space was completed in two parts: the original 57,995 square foot building constructed in 1960 and a recent 42,000 square foot addition completed in 2004. The addition was completed under UCONN 2000 Phase II and provided much needed additional program space.

The purpose of the current project is to renovate the original building. The scope of work includes an automatic fire suppression system, new windows, and mechanical systems including air conditioning, electrical upgrade and related improvements. There will be some work in the new addition to allow for connections to utilities, code improvements and cosmetic changes. The work will be conducted in one eight month period. This budget is based upon a reconciliation process between the Construction Manager at Risk and the Architect.

This Design Budget is attached for your consideration and approval.

Attachment

*An Equal Opportunity Employer*

352 Mansfield Road Unit 2014  
Storrs, Connecticut 06269-2014

Telephone: (860) 486-4340  
Facsimile: (860) 486-1070  
web: [www.uconn.edu](http://www.uconn.edu)

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

**PROJECT NAME: GENTRY COMPLETION**

	<b>APPROVED PLANNING 9/25/2007</b>	<b>PROPOSED DESIGN 3/10/2009</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 7,800,000	\$ 7,575,000
DESIGN SERVICES	820,000	895,500
TELECOMMUNICATIONS	-	60,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	225,000
ART	-	-
RELOCATION	-	75,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	13,500
MISCELLANEOUS	-	39,000
OTHER SOFT COSTS*	180,000	50,000
<b>SUBTOTAL</b>	<b>\$ 8,800,000</b>	<b>\$ 8,933,000</b>
PROJECT CONTINGENCY	1,200,000	1,067,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 10,000,000</b>	<b>\$ 10,000,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UCONN 2000 PHASE III	\$ 10,000,000	\$ 10,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 10,000,000</b>	<b>\$ 10,000,000</b>

\*Does not include Furniture, Fixtures and Equipment.

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University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Attachment 6

March 10, 2009

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Final) for the Recreational Sport Field Repair/Replacement  
Depot Campus

RECOMMENDATION:

That the Board of Trustees approve the Final Budget for the Recreational Sport Field Repair and replacement at the Depot Campus in the amount of \$867,900.

BACKGROUND:

The University Division of Student Affairs organizes and conducts a very large and well utilized Club Sports program. The program bridges the gap between intramural sports and varsity athletics by providing student athletes the opportunity to practice as a team and compete at various levels. The Club Sports program emphasizes student leadership as the club teams are organized, managed and operated exclusively by UCONN students.

One key resource in support of this program is the athletic playing field located at the Depot Campus. This full size lighted athletic field is used by the Club Sports as their primary field for activities such as men's and women's rugby, field hockey, lacrosse and soccer. One field at the Depot Campus is inadequate to meet the demands of these student athletic activities.

The purpose of this proposed project is to construct a second field adjacent to the first and to rehabilitate the original field.

The proposed Final Budget has been confirmed based on competitive bidding with four bids for the construction portion of the project received February 25, 2009. During the next three weeks the bids will be reviewed, scope of work confirmed and bid alternatives accepted or declined.

This Final Budget is attached for your consideration and approval.

Attachment

*An Equal Opportunity Employer*

352 Mansfield Road Unit 2014  
Storrs, Connecticut 06269-2014

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## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: RECREATIONAL SPORT FIELD REPAIR/REPLACEMENT DEPOT CAMPUS**

	<b>APPROVED DESIGN 11/18/2008</b>	<b>PROPOSED FINAL 3/10/2009</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 720,000	\$ 720,000
DESIGN SERVICES	58,000	58,000
TELECOMMUNICATIONS	-	
FURNITURE, FIXTURES AND EQUIPMENT	-	
CONSTRUCTION ADMINISTRATION	-	
OTHER AE SERVICES (including Project Management)	10,500	10,500
ART		
RELOCATION		
ENVIRONMENTAL		
INSURANCE AND LEGAL	1,000	1,000
MISCELLANEOUS	3,400	3,400
OTHER SOFT COSTS*		
<b>SUBTOTAL</b>	<b>\$ 792,900</b>	<b>\$ 792,900</b>
PROJECT CONTINGENCY	75,000	75,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 867,900</b>	<b>\$ 867,900</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
DEPARTMENTAL FUNDS	\$ 867,900	\$ 867,900
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 867,900</b>	<b>\$ 867,900</b>

\*Does not include Furniture, Fixtures and Equipment.

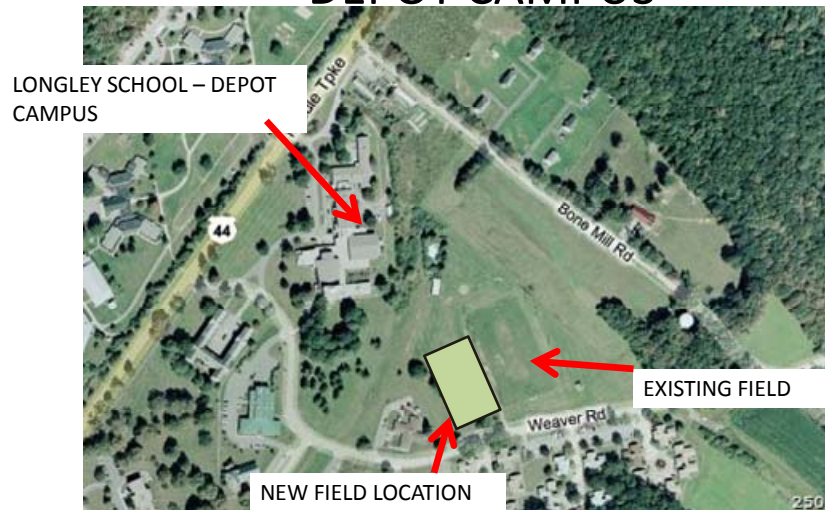
BOT 3/10/2009

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# ATHLETIC FIELDS REPAIR/REPLACEMENT DEPOT CAMPUS

Project Budget (Final)  
03/10/2009

## ATHLETIC FIELD REPAIR/REPLACEMENT DEPOT CAMPUS





University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Attachment 7

March 10, 2009

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer  
Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Final) for the UConn Health Center (UCHC) John Dempsey Hospital Emergency Department Expansion

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$1,375,000 for the John Dempsey Hospital Emergency Department Expansion project.

BACKGROUND:

The Emergency Department serves as a portal to the John Dempsey Hospital for admissions and is critical to the success of JDH. This project will expand the department into adjacent space to provide an additional 7 exam rooms, nurses' station and support space, allowing increased patient volumes.

A Design Budget in the amount of \$1,420,000 was approved by the Board of Trustees on November 24, 2008, allowing UCHC to proceed with bidding the construction work. The construction bids received on January 16, 2009 are favorable to the budget. The Final Budget reflects the low bid amount, resulting in a reduction in the construction line item in the amount of \$200,000. Some of this savings is offset by an increase in the Furniture Fixtures and Equipment line item in the amount of \$130,500. This line item increase reflects the cost to replace the outdated nurse call system in the existing emergency department space, a system that is no longer serviced by the vendor.

Funding in the amount of \$1,375,000 is designated from the FY09 Capital Budget.

The Final Budget is attached for your consideration and approval. This budget was approved by the UCHC Board of Directors on March 8, 2009.

Attachment

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCHC JOHN DEMPSEY HOSPITAL EMERGENCY DEPARTMENT EXPANSION**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>APPROVED PLANNING 8/1/2007</b>	<b>APPROVED DESIGN 1/12/2009</b>	<b>PROPOSED FINAL 3/10/2009</b>
CONSTRUCTION	\$ 685,000	\$ 775,000	\$ 575,000
DESIGN SERVICES	105,000	72,000	75,000
TELECOMMUNICATIONS	25,000	62,400	77,500
FURNITURE, FIXTURES AND EQUIPMENT *	100,000	320,500	451,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-
ART	-	-	-
RELOCATION	5,000	5,000	5,000
ENVIRONMENTAL	80,000	50,000	54,000
INSURANCE AND LEGAL	5,000	5,000	5,000
MISCELLANEOUS	35,000	7,000	7,000
OTHER SOFT COSTS*	-	-	-
<b>SUBTOTAL</b>	<b>\$ 1,040,000</b>	<b>\$ 1,296,900</b>	<b>\$ 1,249,500</b>
PROJECT CONTINGENCY	260,000	123,100	125,500
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,300,000</b>	<b>\$ 1,420,000</b>	<b>\$ 1,375,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UCHC CAPITAL FUNDS	\$ 1,300,000	1,420,000	1,375,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,300,000</b>	<b>\$ 1,420,000</b>	<b>\$ 1,375,000</b>

\*Includes general equipment for the operation of the ED expansion including exam room tables, central monitoring station, nurse call system and replacement of the nurse call system in the existing ED space.



University of Connecticut Health Center  
*Health Center Administration*

Attachment 8

March 10, 2009

TO: Members of the Board of Trustees

FROM: Cato Laurencin, Ph.D, M.D.  
Vice President for Health Affairs  
Dean, School of Medicine

RE: Proposed Tuition and Fees Rates for Fiscal Year 2010, UConn Health Center School of Medicine and School of Dental Medicine

RECOMMENDATION:

That the Board of Directors recommends that the Board of Trustees approves the proposed tuition and fee increases for the School of Medicine and School of Dental Medicine for the 2009/2010 academic years as described in attachment 1.

BACKGROUND:

The tuition and fee rates for the Schools of Medicine and Dental Medicine are set in accordance with the tuition policy of the Board of Governors for Higher Education. This policy requires that the combined tuition and fee rates be set between the 70<sup>th</sup> and 75<sup>th</sup> percentile for public medical and dental schools (attachment 2).

Separate tuition rates are established for residents and non-residents. The vast majority of UCHC students fall in the resident category. The Board of Governors also requires that a regional tuition rate (for matriculants from certain other New England states) be set at 175% of the resident rate. The Board of Governor's policy caps any increase in tuition and fees to a maximum of 15% in any year and requires that a minimum of 15% of the tuition be set aside for need based financial aid.

The data on public schools of medicine come from the Association of American Medical Colleges who conducts an annual survey of all U.S. schools of medicine (attachment 3a). In the past, there was no national database for schools of dental medicine, but for the first time, this is now available from the American Dental Education Association (attachment 3b).

Historically, national tuition and fees rates for public schools have varied widely year to year (attachment 4). UCHC's target has been the 75<sup>th</sup> percentile. In FY 09, the SoM and SoDM resident rates were at the 75<sup>th</sup> and 67<sup>th</sup> percentiles respectively. These were 78<sup>th</sup> and 74<sup>th</sup> percentiles respectively for the non-resident rates. Setting rates for two years in advance can be challenging given the relative unpredictable fluctuations in rates and because the spread between the 70<sup>th</sup> and 75<sup>th</sup> percentiles has narrowed. The resident rate spread was \$242 and \$338 in FY 09 for the SoM and SoDM respectively.

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For FY 10, we continue to target the 75<sup>th</sup> percentile. In order to achieve this, we must first predict the increases in tuition and fees that will be imposed at other public schools. UCHC is mandated to negotiate this 'inflation factor' with the Department of Higher Education and it was set at 5% for FY 10.

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Historically, in times of economic downturns, state legislatures tend to be less generous in supporting public higher education units and in response to this, such units tend to raise tuition dramatically. The amount of increase, however, is difficult to predict. For this reason, DHE was only willing to set an inflation rate for FY 10 and not FY 11. Therefore, consideration of the tuition and fees rate for FY 11 will be deferred until next year.

Based on the agreed upon inflation factor, we calculate the target range of 70<sup>th</sup> and 75<sup>th</sup> percentiles (attachment 5) and set our tuition and fees accordingly. One goal is to keep the Professional School Fee equivalent between categories within each School and therefore adjust the increases in tuition rate to achieve the combined tuition and fees goals (attachment 1).

Comparisons to our competitors- When compared to other public schools in our geographic regions (appendix 6a), both the SoM and SoDM resident rates moved from second highest in FY 07 to 3rd highest in FY 09. Again, for both schools the non-resident rates remained as the highest over this period of time.

Approximately one half of the students accepted by the SoM matriculated at another school. This group of competitor schools has an average tuition and fees in FY 09 that is significantly higher than the UConn resident rate (appendix 6b). The same trend exists for the SoDM.

Student Indebtedness: The Schools monitor the indebtedness of students, especially as this relates to national data (attachment 7a & 7b). SOM students with debt on graduation, have an average total debt (undergraduate and graduate) of approximately \$117 thousand compared to a national average of \$124 thousand. For those SoDM students with debt on graduation, the average debt (undergraduate and graduate) was approximately \$120 thousand.

Financial Aid: Financial aid in the form of scholarships and loans is available to meet student need (attachment 8a & 8b). SoM students received approximately \$3.1 million in scholarships and \$9.3 million in loans (changes from FY 07 were -9% and +10% respectively). SoDM students received approximately \$1.6 million in scholarships and \$5.5 million in loans (up 33% and 6% respectively from FY 07.)

Student response to proposed increases: All students were informed of the proposed increases in tuition and fees via email on 11/3/08. They were also invited to attend a presentation and discussion of the proposal on 11/6/08, either at noon or 5:00 pm. Only limited feedback was received prior to those session and these were either neutral or positive (i.e. no negative opinions were raised.) A total of three students attended the noon session and none attended the 5 pm session. The students appeared to understand the background on the issue as well as the methodology and rationale for developing the proposed FY 10 rates. While students would prefer no increase in tuition and fees, they understood the rationale for the proposed increase.

Approval: Changes in the rate of tuition and fees require the approval of the Board of Directors and the Board of Trustees.

ATTACHMENT 1

UNIVERSITY OF CONNECTICUT HEALTH CENTER  
PROPOSED TUITION AND FEE SCHEDULE FOR FY 2010

School of Medicine Tuition	Current		PROPOSED				75th %Tile		
	FY 2009		FY 2009/2010		FY 2010/2011				
	08/09 Base		%	Amount	09/10 Base	%		Amount	10/11 Base
Resident	\$19,833		5.00%	\$991	\$20,824				
Non-Resident	\$42,480		3.27%	\$1,389	\$43,869				
Regional **	\$34,709			\$1,733	\$36,442				
Professional School Fee **									
Resident	\$8,335		5.00%	\$417	\$8,752		Since no inflation factor has been set for FY 11, these rates cannot be set at this time.		
Non-Resident	\$8,335		5.00%	\$417	\$8,752				
Regional **	\$8,335		5.00%	\$417	\$8,752				
TOTAL TUITION & FEES									
Resident	\$28,168		5.00%	\$1,408	\$29,576			\$29,576	
Non-Resident	\$50,815		3.55%	\$1,806	\$52,621			\$52,621	
Regional **	\$43,044		5.00%	\$2,150	\$45,194				

School of Dental Medicine Tuition	Current		PROPOSED				75th %Tile		
	FY 2009		FY 2009/2010		FY 2010/2011				
	08/09 Base		%	Amount	09/10 Base	%		Amount	10/11 Base
Resident	\$17,508		11.90%	\$2,084	\$19,592				
Non-Resident	\$42,545		6.05%	\$2,575	\$45,120				
Regional **	\$30,639		11.90%	\$3,646	\$34,285				
Professional School Fee **									
Resident	\$7,607		5.00%	\$380	\$7,987		Since no inflation factor has been		
Non-Resident	\$7,607		5.00%	\$380	\$7,987		set for FY 11, these rates cannot be		
Regional **	\$7,607		5.00%	\$380	\$7,987		set at this time.		
TOTAL TUITION & FEES									
Resident	\$25,115		9.81%	\$2,464	\$27,579			\$27,579	
Non-Resident	\$50,152		5.89%	\$2,955	\$53,107			\$53,107	
Regional **	\$38,246		10.53%	\$4,027	\$42,273				

Notes:

\* The increase in professional fees is constant for all categories and set to the SoM resident rate for total tuition & fees.

\*\* The regional rate for tuition must be 175% the resident rate.

## **Excerpt from the Connecticut Public Higher Education Tuition and Student Fee Policy**

### **"Health Center Tuition and Fee Policy"**

The setting of tuition and required fee rates at the UConn Health Center medical and dental schools shall be targeted at between the 70<sup>th</sup> and 75<sup>th</sup> percentile of tuition and required fee charges at public medical and public dental schools respectively. Consistent with the annual increase ceiling included in the policy adopted for other units, the UConn Health Center tuition and required fees for undergraduate medical and dental students shall not increase by more than 15 percent from one year to the next.

The data base for determining the percentile factor will come from tuition/fee data reported annually by the American Association of Medical Schools and the American Dental Association. Adjustments to the data base to account for inflation from the point in time the data is reported to the fiscal year in which the new Health Center tuition rates will take effect will be negotiated between DHE staff and Health Center staff. Negotiations will center on the choice of the most appropriate inflation index for costs associated with medical and dental educational programs.

Paralleling the overall tuition policy for the higher education system, 15 percent of tuition revenues shall be earmarked for financial assistance programs to needy students.

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### **Excerpt from CT General Statutes 10a-8**

(This statute concerns the development and submission of a single public higher education budget to the General Assembly based on the individual budgets approved by each higher education unit's board of trustees.

"...if the General Assembly does not appropriate the amount requested by any such board of trustees, such board of trustees may increase tuition and fees by an amount greater than that included in the budget request in response to which the appropriation was made."

**Tuition, Fees, and Health Insurance Cost for First Year Medical Students**  
**Association of American Medical Colleges (AAMC)**  
**Public Medical Schools - 2008/2009**  
**Preliminary Data as of September 23, 2008**

SCHOOL	RESIDENT Tuition, Fees & Health Ins.	RANK	% TILE
Oregon	36,773	1	99%
Penn State	36,194	2	97%
Virginia	34,626	3	96%
Minnesota	34,623	4	95%
Michigan State	31,849	5	93%
Wayne State	31,246	6	92%
Northeastern Ohio	31,209	7	91%
Indiana	30,541	8	89%
Ohio State	30,283	9	88%
Illinois *	29,768	10	87%
Virginia Commonwealth *	29,657	11	85%
Wright State-Boonshoft	29,585	12	84%
Cincinnati	29,289	13	83%
Colorado	29,060	14	81%
Missouri Kansas City	28,810	15	80%
Vermont	28,470	16	79%
UMDNJ RW Johnson	28,419	17	77%
MU Ohio *	28,328	18	76%
<b>Connecticut</b>	<b>28,168</b>	<b>19</b>	<b>75%</b>
Michigan	28,005	20	73%
MU South Carolina	27,966	21	72%
UMDNJ New Jersey	27,934	22	71%
Florida	27,917	23	69%
Iowa-Carver	27,729	24	68%
UC Davis	27,659	25	67%
Eastern Virginia	27,573	26	65%
Kentucky	27,240	27	64%
Missouri Columbia	26,732	28	63%
Louisville	26,653	29	61%
South Carolina	26,566	30	60%
Maryland	26,421	31	59%
UC Irvine	25,795	32	57%
UC San Francisco	25,202	33	56%
Southern Illinois	25,200	34	55%
Kansas	25,197	35	53%
Wisconsin	25,097	36	52%
Nebraska	24,809	37	51%
UC San Diego	24,579	38	49%
UCLA-Geffen	24,173	39	48%

SCHOOL	NON-RESIDENT Tuition, Fees & Health Ins.	RANK	% TILE
MU South Carolina	74,748	1	99%
Southern Illinois	69,620	2	97%
Michigan State	67,063	3	96%
South Carolina	62,248	4	94%
Wayne State	60,147	5	93%
Northeastern Ohio	59,070	6	92%
MU Ohio *	58,731	7	90%
Illinois *	58,664	8	89%
Florida	57,157	9	88%
Missouri Kansas City	56,279	10	86%
Nebraska	56,155	11	85%
Colorado	53,886	12	83%
Florida State	53,769	13	82%
South Florida	53,133	14	81%
Alabama	50,971	15	79%
<b>Connecticut</b>	<b>50,815</b>	<b>16</b>	<b>78%</b>
Missouri Columbia	50,244	17	76%
Kentucky	50,115	18	75%
Oregon	49,425	19	74%
Oklahoma	48,910	20	72%
Vermont	48,490	21	71%
Eastern Virginia	47,921	22	69%
Penn State	47,434	23	68%
Hawaii - Burns	45,930	24	67%
U Washington	45,527	25	65%
Indiana	45,520	26	64%
Ohio State	45,421	27	63%
Maryland	45,291	28	61%
East Tennessee-Quillen	45,185	29	60%
New Mexico	45,135	30	58%
Louisville	44,975	31	57%
West Virginia *	44,745	32	56%
Virginia	44,626	33	54%
Marshall-Edwards	44,298	34	53%
Cincinnati *	44,289	35	51%
Kansas	43,544	36	50%
Iowa-Carver	43,543	37	49%
Michigan	43,485	38	47%
South Dakota-Sanford	43,295	39	46%

ATTACHMENT 3a

SCHOOL	RESIDENT Tuition, Fees & Health Ins.	RANK	% TILE
North Dakota	24,077	40	47%
Oklahoma	23,586	41	45%
East Tennessee-Quillen	23,187	42	44%
Hawaii - Burns	22,938	43	43%
Stony Brook	22,718	44	41%
SUNY Downstate	22,665	45	40%
SUNY Upstate	22,476	46	39%
South Florida	22,456	47	37%
South Dakota-Sanford	21,973	48	36%
Utah	21,934	49	35%
Buffalo *	21,844	50	33%
Tennessee	21,469	51	32%
West Virginia *	20,949	52	31%
South Alabama	20,790	53	29%
Alabama	20,463	54	28%
Arizona	20,320	55	27%
Arkansas	19,222	56	25%
U Washington	19,122	57	24%
Marshall-Edwards	18,708	58	23%
Florida State	18,610	59	21%
New Mexico	17,763	60	20%
Massachusetts	17,450	61	19%
Georgia	16,803	62	17%
Nevada	16,305	63	16%
North Carolina	14,736	64	15%
LSU New Orleans	14,618	65	13%
UT San Antonio	14,179	66	12%
UT Galveston	14,099	67	11%
LSU Shreveport *	13,917	68	9%
Mississippi	13,646	69	8%
Texas Tech	13,636	70	7%
UT Southwestern	13,515	71	5%
UT Houston	12,128	72	4%
Texas A & M	11,564	73	3%
East Carolina-Brody	10,565	74	1%
Puerto Rico *	9,899	75	0%
<b>Average</b>	<b>23,596</b>		

SCHOOL	NON-RESIDENT Tuition, Fees & Health Ins.	RANK	% TILE
Virginia Commonwealth *	43,294	40	44%
North Dakota	43,237	41	43%
UMDNJ RW Johnson	42,662	42	42%
UMDNJ New Jersey	42,177	43	40%
Minnesota	42,156	44	39%
Utah	40,840	45	38%
Wright State-Boonshoft	40,055	46	36%
Tennessee	39,919	47	35%
UC Davis	39,904	48	33%
North Carolina	38,802	49	32%
UC Irvine	38,040	50	31%
UC San Francisco	37,447	51	29%
Stony Brook	37,418	52	28%
SUNY Downstate	37,365	53	26%
SUNY Upstate	37,176	54	25%
UC San Diego	36,824	55	24%
Nevada	36,670	56	22%
Buffalo *	36,540	57	21%
UCLA-Geffen	36,418	58	19%
Wisconsin	36,221	59	18%
South Alabama	35,888	60	17%
Arkansas	35,686	61	15%
Georgia	32,887	62	14%
UT San Antonio	29,366	63	13%
Mississippi	29,139	64	11%
LSU New Orleans	28,766	65	10%
LSU Shreveport *	28,065	66	8%
UT Galveston	27,199	67	7%
Texas Tech	26,736	68	6%
UT Southwestern	26,615	69	4%
UT Houston	25,228	70	3%
Texas A & M	24,664	71	1%
Puerto Rico *	18,958	72	0%
Arizona	NA		
East Carolina-Brody	NA		
Massachusetts	NA		
<b>Average</b>	<b>43,780</b>		

**Notes:**

Source - AAMC Primary Data as of 09/23/09

\* Data from school's website, not AAMC

NA - Do not accept non-resident students



**Public Dental Schools - 2008/2009  
TUITION AND FEES**

School	RESIDENT TUITION & FEES	Rank	% Tile
University of Minnesota *	34,377	1	97%
Ohio State University *	32,208	2	94%
University of Colorado *	29,661	3	92%
University of Illinois *	29,172	4	89%
University of Michigan	28,543	5	86%
University of Maryland	27,052	6	83%
Univ. of Med and Dentistry, New Jersey	26,860	7	81%
University of Missouri, Kansas City	26,847	8	78%
UCLA	26,266	9	75%
University of California, San Francisco	26,001	10	72%
University of Iowa	25,854	11	69%
<b>University of Connecticut</b>	<b>25,115</b>	<b>12</b>	<b>67%</b>
University of North Carolina	24,981	13	64%
Southern Illinois University	24,847	14	61%
University of Florida *	24,524	15	58%
University of South Carolina *	24,334	16	56%
University of Oregon	23,552	17	53%
University of Kentucky	22,901	18	50%
SUNY Stonybrook	22,723	19	47%
University of Nebraska	22,477	20	44%
University of Indiana	21,778	21	42%
Virginia Commonwealth University	21,398	22	39%
Medical College of Georgia *	19,867	23	36%
University of Texas, San Antonio	19,155	24	33%
SUNY Buffalo	19,070	25	31%
University of Louisville	18,955	26	28%
University of Tennessee *	18,566	27	25%
University of Washington	17,900	28	22%
University of Oklahoma	17,239	29	19%
University of Texas, Houston	15,730	30	17%
LSU	15,172	31	14%
University of Alabama	14,448	32	11%
West Virginia University	13,547	33	8%
University of Mississippi	11,530	34	6%
Baylor College of Dentistry	11,086	35	3%
University of Puerto Rico *	9,499	36	0%

<b>Average</b>	<b>22,034</b>		
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School	NON-RESIDENT TUITION & FEES	Rank	% Tile
Southern Illinois University *	64,767	1	97%
Ohio State University	63,888	2	94%
University of Illinois *	56,812	3	91%
University of Nebraska	55,315	4	89%
University of Colorado	52,769	5	86%
University of Minnesota	51,595	6	83%
University of North Carolina	51,488	7	80%
University of Florida	51,004	8	77%
<b>University of Connecticut</b>	<b>50,152</b>	<b>9</b>	<b>74%</b>
University of Indiana	49,830	10	71%
University of Maryland	48,704	11	69%
University of Missouri, Kansas City	47,447	12	66%
University of Kentucky	46,481	13	63%
University of Louisville	45,199	14	60%
University of Tennessee	43,666	15	57%
University of Iowa	43,480	16	54%
University of Michigan	43,461	17	51%
University of South Carolina *	42,627	18	49%
University of Washington	41,904	19	46%
Univ. of Med and Dentistry, New Jersey	39,927	20	43%
SUNY Stonybrook	39,023	21	40%
UCLA	38,511	22	37%
University of California, San Francisco	38,246	23	34%
University of Oklahoma	38,019	24	31%
University of Alabama	37,536	25	29%
University of Oregon	37,532	26	26%
Virginia Commonwealth University	36,960	27	23%
SUNY Buffalo	34,870	28	20%
West Virginia University	33,215	29	17%
University of Texas, San Antonio	29,955	30	14%
LSU	27,546	31	11%
University of Texas, Houston	26,530	32	9%
Baylor College of Dentistry	21,886	33	6%
University of Puerto Rico	19,999	34	3%
University of Mississippi	19,687	35	0%
Medical College of Georgia	NA		

<b>Average</b>	<b>42,001</b>		
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**Notes:**

Source: ADEA 2008 Guide to Dental Schools - for incoming 2009 Students

\* Schools were contacted directly or online due to large variances in book

NA - Do not accept non-resident student



## HISTORICAL School Ranking - Combined Tuition and Fees

DRAFT

**School of Medicine  
Resident**

	FY 05	FY 06	FY 07	FY 08	FY 09
National Average	\$18,410	\$19,981	\$20,980	\$22,303	\$23,596
UConn	\$19,600	\$22,540	\$25,920	\$26,827	\$28,168
National percentage increase	14.0%	8.5%	4.6% *	5.3%	5.8%
UConn percentage increase	15.0%	15.0%	15.0%	3.5%	5.0%
UConn rank (1 - highest)	35	26	17	18	19
# of Schools reporting	74	73	74	75	75
UConn percentile	53%	64%	77%	76%	75%
National 75th percentile	\$21,825	\$24,024	\$25,565	\$26,755	\$28,168
National 70th percentile	\$21,413	\$23,251	\$25,048	\$25,942	\$27,926
<b>Target Range</b>	<b>\$412</b>	<b>\$773</b>	<b>\$517</b>	<b>\$813</b>	<b>\$242</b>

**Non-Resident**

	FY 05	FY 06	FY 07	FY 08	FY 09
National Average	\$37,250	\$38,885	\$39,974	\$41,870	\$43,780
UConn	\$37,200	\$42,780	\$49,195	\$49,463	\$50,815
National percentage increase	10.7%	4.4%	2.7% *	3.9%	4.6%
UConn percentage increase	15.0%	15.0%	15.0%	0.5%	3.3%
UConn rank (1 - highest)	28	19	14	15	16
# of Schools reporting	71	71	72	73	72
UConn percentile	61%	73%	81%	79%	78%
National 75th percentile	\$41,164	\$43,241	\$46,105	\$46,243	\$50,115
National 70th percentile	\$39,432	\$42,159	\$42,374	\$45,215	\$48,206
<b>Target Range</b>	<b>\$1,732</b>	<b>\$1,082</b>	<b>\$3,731</b>	<b>\$1,028</b>	<b>\$1,909</b>

\* Due to a possible error in the FY 06 AAMC database, the national rate of increases may be as high as 5.6% for residents and 4.4% for non-residents.

**School of Dental Medicine  
Resident**

	FY 05	FY 06 *	FY 07	FY 08 *	FY 09
National Average	\$14,979	\$16,642	\$18,513	\$20,274	\$22,034
UConn	\$16,419	\$18,882	\$21,665	\$23,919	\$25,115
National percentage increase	*	11.1% **	11.1% **	9.5% **	9.5% **
UConn percentage increase	15.0%	15.0%	15.0%	10.4%	5.0%
UConn rank (1 - highest)	15		12		12
# of Schools reporting	36		36		36
UConn percentile	58%		67%		67%
National 75th percentile	\$19,424		\$22,786		\$26,266
National 70th percentile	\$18,829		\$22,401		\$25,928
<b>Target Range</b>	<b>\$595</b>		<b>\$385</b>		<b>\$338</b>

**Non-Resident**

	FY 05	FY 06 *	FY 07	FY 08 *	FY 09
National Average	\$30,858	\$34,314	\$37,687	\$39,844	\$42,001
UConn	\$33,751	\$38,784	\$44,587	\$47,764	\$50,152
National percentage increase	*	11.2% **	11.2% **	5.7% **	5.7% **
UConn percentage increase	15.0%	15.0%	15.0%	7.13%	5.0%
UConn rank (1 - highest)	13		10		9
# of Schools reporting	35		35		35
UConn percentile	63%		71%		74%
National 75th percentile	\$34,786		\$45,504		\$50,578
National 70th percentile	\$34,023		\$44,572		\$49,267
<b>Target Range</b>	<b>\$763</b>	<b>\$0</b>	<b>\$932</b>		<b>\$1,311</b>

**Notes:**

\* No national data were collected

\*\* Annualized based on the known 2 year change from FY 05 to FY 07 and FY 07 to FY 09

ATTACHMENT 5

UNIVERSITY OF CONNECTICUT HEALTH CENTER  
School of Medicine and Dental School of Medicine

70th - 75th Percentile Target Ranges

FY 2009		FY 2010	
	09 Base	Increase	Amount
<b>School of Medicine - Resident</b>			10 Base
UCONN	\$28,168	5.0%	\$1,408
National 75th percentile	\$28,168	5.0%	\$1,408
National 70th percentile	\$27,926	5.0%	\$1,396
<b>School of Medicine - Non-Resident</b>			
UCONN	\$50,815	5.0%	\$2,541
National 75th percentile	\$50,115	5.0%	\$2,506
National 70th percentile	\$48,206	5.0%	\$2,410
<b>School of Dental Medicine - Resident</b>			
UCONN	\$25,115	5.0%	\$1,256
National 75th percentile	\$26,266	5.0%	\$1,313
National 70th percentile	\$25,928	5.0%	\$1,296
<b>School of Dental Medicine - Non-Resident</b>			
UCONN	\$50,152	5.0%	\$2,508
National 75th percentile	\$50,578	5.0%	\$2,529
National 70th percentile	\$49,267	5.0%	\$2,463

Notes:

Assumption: National increases of 5% in FY 10. This is the DHE approved inflation factor.

**UNIVERSITY OF CONNECTICUT HEALTH CENTER**  
**AAMC Database: Public Schools of Medicine 2008/2009**  
**Comparisons to Local, Public Competitor Schools**

**School of Medicine****Resident**

SCHOOL	FY 07	FY 08	FY 09
Univ of Vermont College of Med	\$27,735	\$27,143	\$28,470
UMDNJ-R W Johnson Medical Schl	\$25,323	\$26,117	\$28,419
<b>U of Connecticut School of Med</b>	<b>\$25,920</b>	<b>\$27,827</b>	<b>\$28,168</b>
UMDNJ-New Jersey Medical Schl	\$25,048	\$25,942	\$27,934
Univ of Maryland Schl of Med	\$23,440	\$24,053	\$26,421
Stony Brook University Health	\$22,819	\$22,665	\$22,718
SUNY Downstate Coll of Med	\$22,094	\$22,639	\$22,665
SUNY Upstate Medical Univ.	\$22,236	\$22,266	\$22,476
Univ at Buffalo Sch of Med	\$21,447	\$21,668	\$21,844
Univ of Mass Medical School	\$14,038	\$17,011	\$17,450

**Non-Resident**

SCHOOL	FY 07	FY 08	FY 09
<b>U of Connecticut School of Med</b>	<b>\$49,195</b>	<b>\$49,463</b>	<b>\$50,815</b>
Univ of Vermont College of Med	\$46,105	\$46,243	\$48,490
Univ of Maryland Schl of Med	\$39,783	\$42,288	\$45,291
UMDNJ-R W Johnson Medical Schl	\$37,888	\$39,184	\$42,662
UMDNJ-New Jersey Medical Schl	\$37,613	\$39,009	\$42,177
Stony Brook University Health	\$37,519	\$37,365	\$37,418
SUNY Downstate Coll of Med	\$36,794	\$37,339	\$37,365
SUNY Upstate Medical Univ.	\$36,936	\$36,966	\$37,176
Univ at Buffalo Sch of Med	\$37,253	\$36,368	\$36,540
Univ of Mass Medical School	N/A	N/A	N/A

**School of Dental Medicine****Resident**

SCHOOL	FY 07	FY 08 *	FY 09
Univ of Maryland Schl of Med	\$20,752	*	\$27,052
UMDNJ-New Jersey Medical Schl	\$24,788	*	\$26,860
<b>U of Connecticut School of Dental Med</b>	<b>\$21,665</b>	*	<b>\$25,115</b>
SUNY Stony Brook	\$18,894	*	\$22,723
SUNY Buffalo	\$16,601	*	\$19,070

**Non-Resident**

SCHOOL	FY 07	FY 08 *	FY 09
<b>U of Connecticut School of Dental Med</b>	<b>\$44,587</b>	*	<b>\$50,152</b>
Univ of Maryland Schl of Med	\$40,314	*	\$48,704
UMDNJ-New Jersey Medical Schl	\$37,353	*	\$39,927
SUNY Stony Brook	\$33,694	*	\$39,023
SUNY Buffalo	\$31,401	*	\$34,870

**Notes:**

Data sorted by current year in descending order  
 \* Data not available

**School of Medicine**  
Top 10 Competitor Schools:

Students Accepted at UConn Who Elected  
to Matriculate at Another AAMC School (n=88)  
2008 Entering Class

School	#	%	Resident Tuition & Fees	Non-Resident Tuition & Fees
Columbia	8	9.1%	\$47,092	\$47,092
Yale	8	9.1%	\$44,740	\$44,740
NY University	6	6.8%	\$47,476	\$47,476
U Penn	6	6.8%	\$46,851	\$46,851
Dartmouth	5	5.7%	\$42,960	\$42,960
Harvard	5	5.7%	\$43,223	\$43,223
Mayo	5	5.7%	\$29,800	\$29,800
Cornell	4	4.5%	\$48,418	\$48,418
Georgetown	4	4.5%	\$46,154	\$46,154
Pittsburg	4	4.5%	\$39,486	\$43,506
<b>Subtotal / Average</b>	<b>55</b>	<b>62.5%</b>	<b>\$43,620</b>	<b>\$44,022</b>

**Notes:**

Data source - Tuition and fees from AAMC report as of Nov. 5, 2008.

**School of Dental Medicine**  
Competitor Schools:

There are no data available indicating where students matriculate when they turn down an offer to attend UConn SoDM. Anecdotally, it is unusual for a Connecticut resident to turn down an offer to attend the SoDM and when this occurs, the two most frequent schools they choose to matriculate at are Harvard and UPenn.

School	#	%	Resident Tuition & Fees	Non-Resident Tuition & Fees
Harvard			\$43,223	\$43,223
U Penn			\$61,417	\$61,417
<b>Subtotal / Average</b>			<b>\$52,320</b>	<b>\$52,320</b>

**Notes:**

Data source - school's websites

**ATTACHMENT 7a**

**UNIVERSITY OF CONNECTICUT HEALTH CENTER  
Student Indebtedness  
School of Medicine**

**Percentage of Students with Medical School Debt (amount of debt of indebted students)**

2006:	79.20%	(\$105,920)
2007:	89.47%	(\$121,493)
2008:	70.73%	(\$116,908)
National:	86.80%	(\$123,537)

Data source: AAMC Financial Aid Summary Report 2006-2007 (April 2008)

**UNIVERSITY OF CONNECTICUT HEALTH CENTER**  
**School of Dental Medicine**

**Student Indebtedness**

**University of  
Connecticut  
2008  
Percent**

---

1. Did you receive any scholarships or grants (not loans) for dental school?

Yes	<b>80.95</b>
No	<b>19.50</b>

2. Did you have any outstanding educational loans for your college/premedical education, which you are legally required to repay?

Yes	<b>48.78</b>
No	<b>51.22</b>

**Premedical Debt Categories**

No debt	<b>51.22</b>
\$ 1 to \$ 24,999	<b>41.46</b>
\$ 25,000 to \$ 49,999	<b>4.88</b>
\$ 50,000 to \$ 74,999	<b>2.43</b>
\$ 75,000 to \$ 99,999	<b>0.00</b>
\$ 100,000 to \$ 124,999	<b>0.00</b>
\$ 125,000 to \$ 149,999	<b>0.00</b>
\$ 150,000 to \$ 174,999	<b>0.00</b>
\$ 175,000 to \$ 199,999	<b>0.00</b>
\$ 200,000 or more	<b>0.00</b>

Number of responses	<b>41</b>
Average premedical debt of all students	<b>\$ 9,325</b>
Average premedical debt of all students	<b>\$ 19,117</b>

3. Do you have any outstanding loans for your dental school education, which you are legally required to repay?

Yes	<b>85.37</b>
No	<b>14.63</b>

Number of responses	<b>41</b>
---------------------	-----------

**Dental School Debt Categories**

No debt	<b>14.50</b>
\$ 1 to \$ 24,999	<b>7.20</b>
\$ 25,000 to \$ 49,999	<b>4.70</b>
\$ 50,000 to \$ 74,999	<b>7.20</b>
\$ 75,000 to \$ 99,999	<b>9.60</b>
\$ 100,000 to \$ 124,999	<b>2.30</b>
\$ 125,000 to \$ 149,999	<b>9.50</b>
\$ 150,000 to \$ 174,999	<b>29.00</b>
\$ 175,000 to \$ 199,999	<b>12.00</b>
\$ 200,000 or more	<b>4.00</b>

**University of  
Connecticut  
2008**

**Percent**

Number of responses	41
Average premedical debt of all students	\$ 110,244
Average premedical indebt of all students	\$ 129,144

**Total Educational Debt Categories**

Dental School Debt Categories

No debt	14.60
\$ 1 to \$ 24,999	2.40
\$ 25,000 to \$ 49,999	7.30
\$ 50,000 to \$ 74,999	7.30
\$ 75,000 to \$ 99,999	7.30
\$ 100,000 to \$ 124,999	2.40
\$ 125,000 to \$ 149,999	12.20
\$ 150,000 to \$ 174,999	22.00
\$ 175,000 to \$ 199,999	12.20
\$ 200,000 or more	12.20

Number of responses	41
Average total educational debt of all students	\$ 119,570
Average total educational indebt of all students	\$ 140,068

## UNIVERSITY OF CONNECTICUT HEALTH CENTER

## School of Medicine

## Sources of Aid

	FY 06	FY 07	FY 08	FY 09 (YTD)
<b>Loans:</b>				
Federal Subsidized Stafford	\$2,179,691	\$2,201,643	\$2,205,448	\$2,300,321
Federal Unsubsidized Stafford	\$4,426,804	\$5,173,106	\$5,169,668	\$5,754,990
Alternative (Private Loans)	\$317,669	\$130,844	\$129,763	\$158,560
Fed. Graduate Plus		\$285,460	\$518,541	\$565,996
Fed. P.C. Loans	\$0	\$0	\$0	\$0
<b>Total Loans</b>	<b>\$6,924,164</b>	<b>\$7,791,053</b>	<b>\$8,023,420</b>	<b>\$8,779,867</b>
<b>Loans/Institutional:</b>				
Health Professions Loan (HPL)		\$24,088	\$0	\$0
University Loans (UL)	\$914,284	\$649,996	\$570,911	\$542,880
	\$914,284	\$674,084	\$570,911	\$542,880
<b>TOTAL LOANS</b>	<b>\$7,838,448</b>	<b>\$8,465,137</b>	<b>\$8,594,331</b>	<b>\$9,322,747</b>
<b>Scholarships - Outside:</b>				
Non-need based	\$22,527			
Need/Mert based aid		\$136,465 *	\$122,311 *	\$81,142 *
Medical Scientist Training Program (MSTP)	\$52,544	\$0	\$0	\$0
National Health Services Corps (NHSC) & Exceptional Financial Needs (EFN)	\$45,205	\$54,340	\$26,952	\$28,293
Armed Forces Health Professional (AFHP)	\$173,174	\$179,920	\$212,275	\$113,767
<b>Total - Scholarships Outside</b>	<b>\$293,450</b>	<b>\$370,725</b>	<b>\$361,538</b>	<b>\$223,202</b>
<b>Scholarships - Institutional:</b>				
University Scholarships paid through the Foundation	\$0	\$93,975	\$33,500	\$34,250
Merit Scholarships	\$0	\$137,294	\$63,217	\$86,865
MD/PhD Support **	\$762,803	\$688,556	\$493,812	\$656,116
Need Based Tuition Remission (TR)	\$807,501	\$783,931	\$901,519	\$810,021
Other - Underrepresented Minority (URM) Merit	\$1,443,600	\$1,322,110	\$1,325,932	\$1,295,352
<b>Total - Scholarships Institutional</b>	<b>\$3,013,904</b>	<b>\$3,025,866</b>	<b>\$2,817,980</b>	<b>\$2,882,604</b>
<b>TOTAL SCHOLARSHIPS</b>	<b>\$3,307,354</b>	<b>\$3,396,591</b>	<b>\$3,179,518</b>	<b>\$3,105,806</b>
<b>TOTAL LOANS AND SCHOLARSHIPS</b>	<b>\$11,145,802</b>	<b>\$11,861,728</b>	<b>\$11,773,849</b>	<b>\$12,428,553</b>

**Notes:**

\* Total includes both non-need based and need/Mert based aid

\*\* MD/PhD support - Tuition and Fees

Medical Scientist Training Program (MSTP) - No data were provided in the report



**UNIVERSITY OF CONNECTICUT HEALTH CENTER**  
*School of Medicine*

**Student Financial Assistance 2008/2009**

<b>ENROLLMENT</b>	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>	<u>Year 4</u>	<u>TOTAL</u>
	89	88	97	80	354
Number of Student Requesting Aid From All Sources	74	71	63	65	273
Number of Student Receiving Loans	72	71	63	64	270
Number of Student Receiving Scholarships	45	48	44	45	182
Average Total Expense Per Student	\$57,525	\$51,764	\$57,615	\$52,243	\$219,147
<b>TOTAL AID PROVIDED</b>	<u>FY 06</u>	<u>FY 07</u>	<u>FY 08</u>	<u>FY 09 (YTD)</u>	
Total Assistance Determined Necessary	\$10,291,599	\$10,986,878	\$9,770,056	\$10,414,255	
Total Amount Funded By Loans	\$7,522,314	\$6,862,245	\$8,594,331	\$9,327,747	
Total Amount Funded By Scholarships	\$2,691,405	\$2,203,144	\$2,685,706	\$2,449,690	
Amount of Needed Assistance Not Funded	\$0	\$0	\$0	\$0	
Number of Students Receiving Funds	287	275	291	289	

UNIVERSITY OF CONNECTICUT HEALTH CENTER  
*School of Dental Medicine*  
**Sources of Aid**

<b>Loans:</b>	<b>FY 07</b>	<b>FY 08</b>	<b>FY 09 (YTD)</b>
Federal Subsidized Stafford	\$1,130,500	\$1,120,518	\$1,243,362
Federal Unsubsidized Stafford	\$3,296,639	\$3,189,062	\$3,483,725
Alternative	\$186,749	\$309,300	\$20,000
Federal Graduate PLUS Loan	\$224,890	\$299,188	\$395,444
Fed P.C. Loans (Health Care Professions Loan)			
<b>Total Loans</b>	<b>\$4,838,778</b>	<b>\$4,918,068</b>	<b>\$5,142,531</b>
<b>Loans/Institutional:</b>			
Kellogg Forgivable Loan	\$40,000	\$0	
Robert Wood Loan		\$0	
University Loans	\$267,642	\$266,183	\$289,849
University Loans - Supplemental Loan	\$3,371	\$22,764	\$22,764
<b>Total Loans/Institutional</b>	<b>\$311,013</b>	<b>\$288,947</b>	<b>\$312,613</b>
<b>TOTAL LOANS</b>	<b>\$5,149,791</b>	<b>\$5,207,015</b>	<b>\$5,455,144</b>
<b>Scholarships - Outside:</b>			
Non-need based			
Need based	\$10,150	\$43,800	\$27,400
Military Scholarships	\$22,015	\$81,360	\$25,315
<b>Total - Scholarships Outside</b>	<b>\$32,165</b>	<b>\$125,160</b>	<b>\$52,715</b>
<b>Scholarships - Institutional:</b>			
University Scholarships paid through the Foundation	\$8,000	\$19,000	\$5,000
Merit Scholarships	\$32,500	\$27,500	\$0
DMD/PhD Support	**	\$262,961	\$276,137
Need Based Tuition Remission (TR)	\$408,628	\$494,173	\$453,382
Other - Underrepresented Minority (URM) Merit	\$753,837	\$708,133	\$856,143
<b>Total - Scholarships Institutional</b>	<b>\$1,202,965</b>	<b>\$1,511,767</b>	<b>\$1,590,662</b>
<b>TOTAL SCHOLARSHIPS</b>	<b>\$1,235,130</b>	<b>\$1,636,927</b>	<b>\$1,643,377</b>
<b>TOTAL LOANS AND SCHOLARSHIPS</b>	<b>\$6,384,921</b>	<b>\$6,843,942</b>	<b>\$7,098,521</b>

\*\* Unable to verify DMD/PhD support

ATTACHMENT 8b

UNIVERSITY OF CONNECTICUT HEALTH CENTER  
School of Dental Medicine

Student Financial Assistance 2008/2009

ENROLLMENT	Year 1	Year 2	Year 3	Year 4	TOTAL
	43	45	45	40	173
Number of Student Requesting Aid From All Sources	40	39	42	38	159
Number of Student Receiving Loans	39	36	40	35	150
Number of Student Receiving Scholarships	28	18	28	27	101
Average Total Expense Per Student	\$60,748	\$52,333	\$54,680	\$54,188	\$221,949
<b>TOTAL AID PROVIDED</b>	<b>FY 06/07</b>		<b>FY 07/08</b>		<b>FY 08/09 (YTD)</b>
Total Amount Funded By Loans	\$4,915,252		\$5,207,015		\$5,455,144
Total Amount Funded By Scholarships	\$1,589,835		\$1,373,966		\$1,367,240
Amount of Needed Assistance Not Funded	\$0		\$0		\$0
Number of Students Receiving Funds	143		156		158

## AGENDA

Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
March 10, 2009 at 11:00 a.m.  
University of Connecticut

<b>ATTACHMENT</b>	<b>LOCATION</b>
<b><u>COMMITTEE</u></b>	<b><u>FULL BOARD</u></b>

### **EXECUTIVE SESSION (Anticipated)**

To discuss preliminary notes and drafts

### **ACTION ITEM(S):**

- |  |                          |
|--|--------------------------|
| 1) Approval of the Minutes of the Financial Affairs Committee Meetings of February 10, 2009, as circulated   | A                        |
| 2) Contracts and Agreements for Approval   | 2                        |
| 3) Fiscal Year 2010 Institutional Fees (Tuition, Room & Board) for the University of Connecticut, Storrs and Regional Campuses                             | <i>To be Distributed</i> |
| 4) Fiscal Year 2010 Student Activity & Service Fees for the University of Connecticut, Storrs and Regional Campuses  | <i>To be Distributed</i> |
| 5) Fiscal Year 2010 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses  | <i>To be Distributed</i> |
| 6) Proposed Tuition and Fees Rates for Fiscal Year 2010, for the University of Connecticut Health Center, School of Medicine and School of Dental Medicine | <i>To be Distributed</i> |
| 7) Revised Allocation of Bond Authorizations as Set Forth in the Fourteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)    | <i>To be Distributed</i> |
| 8) Revised Allocation of Bond Authorizations as Set Forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)     | <i>To be Distributed</i> |

**ATTACHMENT  
LOCATION  
COMMITTEE   FULL BOARD**

**Project Budgets for Approval:**

- |  |   |
|--|---|
| 9) Project Budget (Revised Planning) for the UConn Health Center (UHC) 800 Mhz NMR Purchase and Installation | 4 |
| 10) Project Budget (Design) for Gentry Completion  | 5 |
| 11) Project Budget (Final) for the Recreational Sport Field Repair/Replacement Depot Campus                  | 6 |
| 12) Project Budget (Final) for the UConn Health Center (UHC) Emergency Department (ED) Expansion             | 7 |

**DISCUSSION ITEM:**

- |   |                             |
|---|-----------------------------|
| 13) Health Center Financial Update<br>Health Center Fiscal Year 2009, Results of Operations<br>As of January 31, 2009 | <i>Under Separate Cover</i> |
|---|-----------------------------|

**INFORMATION ITEM(S):**

- |   |                             |
|---|-----------------------------|
| 14) Construction Status Report                | <i>Under Separate Cover</i> |
| 15) Contracts and Agreements – Over \$100,000 | B                           |

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**February 10, 2009**

TRUSTEES PRESENT: Bailey, Barry, Braun, Burrow, Colon, Dennis-LaVigne, Drotch, Gatling, Gionfriddo, Jacobs, Martinez, McDonald, Prelli, Ritter, Rowe, Shepperd and Treibick (*via telephone*)

STAFF PRESENT: Biancamano, Bradley, Bull, Callahan, Carone, Chiaputti, Evanovich, Feldman, Grava, Gray, Hogan, Hudd, Laurencin, Locke, Makowsky, McDowell, Munroe, Nicholls, Roy, Saddlemire, Singha, Sullivan, Troyer, Urban, Walker, Wetstone and Wrynn

SENATE PRESENT: Fox, Stwalley and Zirakzadeh

Committee Chairman Peter Drotch convened the meeting at 10:11 a.m. in the South Campus Ballroom of the University of Connecticut in Storrs. He directed the committee to a revised agenda and documents. He asked for a motion to deferred agenda items 4-6, ***Fiscal Year 2010 Institutional Fees (Tuition, Room & Board) for the University of Connecticut, Storrs and Regional Campuses; Fiscal Year 2010 Student Activity & Service Fees for the University of Connecticut, Storrs and Regional Campuses; Fiscal Year 2010 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses; and Proposed Tuition and Fees Rates for Fiscal Year 2010, for the University of Connecticut Health Center, School of Medicine and School of Dental Medicine***, to the March 10, 2009 meeting. On a motion by Trustee Burrow and seconded by Trustee Martinez the items were deferred to the March 2009 meeting of the Financial Affairs Committee.

On a motion by Trustee Martinez and seconded by Trustee Prelli agenda ***Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of January 12, 2009*** were approved, as circulated.

Trustee Drotch directed the committee to agenda ***Item #2, Revised Contracts and Agreements for Approval***. Barry Feldman, Vice President and Chief Operating Officer, provided a brief explanation of the revised and added agreements on the updated list for approval. The revision is for changes to the funding to be paid to third party vendors working on the PeopleSoft project. There are no additional funds to be expended but a reallocation between the vendors. On a motion by Trustee Prelli and seconded by Trustee Martinez the item was recommended to the full Board for approval.

Agenda items 7-8 were presented to the committee for review and approval ***Project Budget (Revised Planning) Steam and Condensate Distribution System Improvements – Phase I and Project Budget (Design) Parking Garage Repairs and Upgrades***. James Bradley, Associate Vice President for Architectural and Engineering Services, provided a brief update on the purpose of each project budget. The project budgets, taken as a whole, were recommended to the full Board of Trustees for approval on a motion by Trustee Martinez and seconded by Trustee Prelli.

Committee Chairman Drotch directed the committee to the agenda information items for review. Trustee Prelli stated that the Governor had said that it did not look like there would be any bonding money for construction in the next year. Trustee Prelli asked what the effect of that would be and what projects would be delayed, contingency plans, etc. Richard Gray, Vice President and Chief Financial Officer, responded that the University is reviewing a subsequent interpretation of the Governor's statement by the Office of Policy and Management regarding the University's bonding. OPM has indicated that the University would be allowed to bond \$150 million this year and defer the balance of \$105 million until next year. The University is currently authorized to bond \$255 million and was in the process of moving forward to issue \$230 million and are currently evaluating the cash flow needs of the projects and prioritizing needs. The Governor's message indicated that she was probably not going to authorize an additional \$140 million for FY10. Mr. Gray reiterated that the University is reviewing all commitments and encumbrances to determine how they fit into the Governor's outlined request. Trustee Drotch requested that Mr. Gray provide an update on this topic at the March Board of Trustees meeting.

There being no additional questions or other action items appearing on the agenda, Trustee Drotch asked for motion to amend the agenda to add an executive session to discuss other financial and contractual matters. On a motion by Trustee Barry and seconded by Trustee Burrow the committee voted to go into Executive Session at 11:16 a.m. The Chairman noted that only staff members whose presence was necessary to provide their opinion would be permitted to attend Executive Session. Trustees present were: Bailey, Barry, Braun, Burrow, Colon, Dennis-LaVigne, Drotch, Gatling, Gionfriddo, Jacobs, Martinez, McDonald, Prelli, Ritter, Rowe, Shepperd and Treibick (*via telephone*). University staff present were: Evanovich, Feldman, Gray, Hassett, Hogan, Laurencin, Main, McDowell, Munroe, Nicholls, Roy, Teal, Troyer, Urban, and Wrynn. Executive Session ended at 12:09 p.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone  
Secretary to the Committee

**CONTRACTS AGREEMENTS  
FOR INFORMATION  
MARCH 10, 2009**

**New Contracts with a value of \$100,000 - \$500,000 or services previously approved on a Project Budget**

<b>PROCUREMENT</b>									
<b>PROCUREMENT - NEW</b>									
<b>No.</b>	<b>Contractor</b>	<b>Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Purpose</b>			
1	Institute for Community Research	\$201,674	02/01/09-04/30/12	Research Funds	Michael Crouch, Ed.D., Executive Director, Office of Sponsored Programs	Collaborate with UCONN's Dr. Marsh of the Center for Health Intervention and Prevention to carry out research protocols based on Latina women and their implicit attitudes towards HIV risk behavior.			
2	University of Massachusetts	\$200,000	03/01/09-02/29/11	Research Funds	Michael Crouch, Ed.D., Executive Director, Office of Sponsored Programs	Collaborate with UCONN's Dr. Sylvain Deguise in the oxygen measurements of Long Island Sound.			
<b>PROCUREMENT - AMENDMENTS</b>									
<b>No.</b>	<b>Contractor</b>	<b>New Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Total Expenditures to Date</b>	<b>Expenditures FY08</b>	<b>Expenditures FY07</b>	<b>Purpose</b>
1	Goody Clancy	\$133,363 [New Total Contract Authorization \$3,779,122]	04/11/07-06/10/10	UCONN 2000	Jeffrey Reynolds, Executive Director, CPCA	\$2,486,523	\$1,020,237	\$139,000	Provide additional architectural services for furniture, fixtures and equipment for the Research Tower, 400 Farmington Avenue, UCHC (Final Project Budget approved by BOT on 01/12/09 \$51,565,000 - Project No. 901132 / Original contract approved on 04/11/07 \$3,625,000)
2	Rolf Jensen & Associates, Inc.	\$48,750 [New Total Contract Authorization \$156,390]	08/15/08-09/30/09	UCONN 2000	Jeffrey Reynolds, Executive Director, CPCA	\$65,090	\$0	\$0	Amendment to provide additional code deficiency corrective design work including interior and exterior stairs in occupied and unoccupied areas of the Northwood Apartment Complex, Storrs, CT (Final Budget approved by the BOT on 06/24/08 \$3M - Project No. 901283 / Original contract approved on 08/15/08 \$107,640)



# CONSTRUCTION PROJECTS STATUS REPORT

## BOARD OF TRUSTEES MEETING - MARCH 10, 2009

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 02/23/09)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Planning Budget Phase</b>								
Atwater Exterior Window Replacement	901397	\$650,000	\$0	P	UCONN 2000 Phase III - DM	09/23/08	2010	0%
Avery Point Campus Undergraduate & Library Bldg - Phase I	901278	\$9,300,000	\$57,905	P	UCONN 2000 Phase III	06/24/08	2012	0%
Backfeed Loop - North Eagleville to Parking Garage to Storrs Road	901416	\$700,000	\$0	P	UCONN 2000 Phase III - DM	09/23/08	2010	0%
Biobehavioral Complex Replacement (Renovation)	901380	\$2,000,000	\$59,700	P	UCONN 2000 Phase III	06/24/08	2010	0%
Bishop Renovation - Phase I	901387	\$6,000,000	\$0	P	UCONN 2000 Phase III	09/23/08	2010	0%
Budds Building Window Replacement	901377	\$650,000	\$0	P	UCONN 2000 Phase III - DM	06/24/08	2010	0%
Castleman Building Drainage Repair	901289	\$500,000	\$0	P	UCONN 2000 Phase III - DM	09/25/07	2010	0%
Compost Facility	901268	\$600,000	\$115,293	P	UCONN 2000 Phase III - DM	08/01/07	2009	0%
Engineering Building - Planning & Design	901376	\$1,000,000	\$2,608	P	UCONN 2000 Phase III	06/24/08	2013	0%
Filter Press, Installation	901368	\$500,000	\$0	P	UCONN 2000 Phase III - DM	06/24/08	2009	0%
Fine Arts Phase II - Master Plan	901369	\$1,500,000	\$339,891	P	UCONN 2000 Phase III	06/24/08	2009	0%
Floriculture Greenhouse	901367	\$3,000,000	\$6,016	P	UCONN 2000 Phase III	06/24/08	2011	0%
Gant Building Renovations - Phase I	901374	\$8,000,000	\$531	P	UCONN 2000 Phase III	06/24/08	2011	0%
Gentry Completion	901280	\$10,000,000	\$947,422	P	UCONN 2000 Phase III	09/25/07	2010	0%
Gray Water Facility - Investigation & Planning	901229	\$1,420,000	\$375,020	P	UCONN 2000 Phase III - DM	06/24/08	2009	0%
Guiley Hall Drainage	901407	\$700,000	\$3,300	P	UCONN 2000 Phase III - DM	09/23/08	2010	0%
Historic House Renovations	901432	\$1,400,000	\$12,350	P	UCONN 2000 Phase III - DM	09/23/08	2011	0%
Intramural, Recreational & Intercollegiate Facilities - Recreation Center	901332	\$3,300,000	\$236,173	P	UCONN 2000 Phase III (\$3M) University Operating Funds (\$300K)	06/24/08	2012	0%
Koons Hall Renovation/Addition - Windows, Façade & Mechanical	901379	\$1,000,000	\$77,500	P	UCONN 2000 Phase III	06/24/08	2010	0%
Mansfield Road Realignment	901206	\$700,000	\$94,370	P	UCONN 2000 Phase III - DM	09/25/07	2009	0%
Mansfield Training School Improvements - Existing Condition Survey & Master Planning (Bldgs & Infrastructure)	901370	\$600,000	\$373,407	P	UCONN 2000 Phase III	06/24/08	2010	0%
Mansfield Training School Improvements (Phase II) - Longley Renovations	901281	\$1,000,000	\$13,931	P	UCONN 2000 Phase III	09/25/07	2010	0%
New 16" Water Main - Towers to Glenbrook and North Eagleville	901422	\$1,060,000	\$0	P	UCONN 2000 Phase III - DM	09/23/08	2010	0%
OSFM Legacy Code Remediation	901259	\$680,000	\$472,209	P	UCONN 2000 Phase III - DM	09/23/08	2010	0%
Psychology Building Renovation / Addition	901388	\$20,000,000	\$531	P	UCONN 2000 Phase III	09/23/08	2013	0%
Recreational Sport Field Repair / Replacement Depot Campus	201439	\$867,900	\$58,298	P	Departmental Funds	11/18/08	2010	0%
Residential Life Facilities - Masonry Repairs Holcomb, Whitney, Sprague	901471	\$675,000	\$19,882	P	UCONN 2000 Phase III	11/18/08	2009	0%
Residential Life Facilities - Window Replacement Project for Holcomb, Whitney, Sprague, Shippee, Grad Dorms & Northwood Apts.	901450	\$3,000,000	\$144,347	P	UCONN 2000 Phase III	09/23/08	2010	0%

Storrs & Regional Campuses

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 02/23/09)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Planning Budget Phase (Continued)</b>								
South Campus Express Line Modifications (Water Distribution)	901316	\$500,000	\$0	P	UCONN 2000 Phase III	09/23/08	2010	0%
South Garage Slope & Ramp Repair	901178	\$600,000	\$105,372	P	UCONN 2000 Phase III - DM	03/13/07	2009	0%
Storrs Hall Addition	901265	\$7,000,000	\$510,138	P	UCONN 2000 Phase III	08/01/07	2011	0%
Student Union Quadrangle Site Work and Landscape - Phase I	901440	\$1,000,000	\$5,000	P	UCONN 2000 Phase III - DM	09/23/08	2011	0%
Support Facility (Architectural and Engineering Services)	901390	\$500,000	\$0	P	UCONN 2000 Phase III	09/23/08	2010	0%
Torrington Campus Improvements - Phase I	901375	\$500,000	\$11,660	P	UCONN 2000 Phase III - DM	06/24/08	2010	0%
West Hartford Campus Renovations / Improvements - Electrical Switchgear Replacement	901286	\$550,000	\$9,575	P	UCONN 2000 Phase III	09/25/07	2009	0%
Young Building Renovation/Addition	901373	\$10,000,000	\$524	P	UCONN 2000 Phase III	06/24/08	2012	0%
<b>Revised Planning Budget Phase</b>								
Arjona and Monteith (New Classroom Buildings)	901126	\$95,000,000	\$9,313,036 <sup>(A)</sup>	P-\$86.1M R-\$95.0M	UCONN 2000 Phase III	09/26/06 06/24/08	2012	0%
Old Central Warehouse	901266	\$10,000,000	\$125,745	P-\$18M RP-\$10M	UCONN 2000 Phase III	08/01/07 06/24/08	2011	0%
Steam and Condensate Distribution System Improvements - Phase I <sup>E</sup>	901317, 901372, 901421	\$5,525,000	\$212,178	RP	UCONN 2000 Phase III	02/10/09	2010	0%
Torrey Renovation Completion & Biology Expansion	900705	\$125,000,000	\$866,077	P-\$44.3M RP-\$125M	UCONN 2000 Phase III	08/01/07 06/24/08	2016	0%
<b>Design Budget Phase</b>								
Beach Hall Renovations - Phase I	901273	\$3,500,000	\$136,013	P-\$2.5M D-\$3.5M	UCONN 2000 Phase III	09/25/07 06/24/08	2009	0%
Family Studies Renovations - Elevator Replacement	901365	\$787,500	\$91,417	P-\$800K RP-\$1.3M D-\$787.5K	UCONN 2000 Phase III	03/13/07 06/24/08 01/12/09	2009	0%
Family Studies (DRM) Renovation - Window Replacement & Façade Repair	901293	\$2,893,240	\$267,884	P-\$500K RP-\$1.2M D-\$2.9M	UCONN 2000 Phase III	09/25/07 06/24/08 01/12/09	2009	0%
Jorgensen Renovation - Phase I	901274	\$2,200,000	\$75,225	P-\$1.6M D-\$2.2M	UCONN 2000 Phase III	09/25/07 06/24/08	2009	0%
Mansfield Training School Improvements (Phase II) - Merritt Building	901213	\$3,250,000	\$230,816	P-\$3.0M RP-\$2.5M D-\$3.3M	UCONN 2000 Phase III	09/24/06 09/25/07	2010	0%
Meter Installation - Multiple Locations (Phase III)	901371	\$600,000	\$0	P D	UCONN 2000 Phase III - DM	06/24/08 09/23/08	2009	0%
Parking Garage Repair and Upgrade	901410	\$1,305,000	\$37,949	P D	UCONN 2000 Phase III - DM	09/23/08 02/10/09	2009	0%
Replacement of Chemical House (Well Water Treatment Facility)	901320	\$3,500,000	\$212,590	P D	UCONN 2000 Phase III - DM	09/25/07 01/12/09	2009	0%
Replacement of Two Water Towers	901311	\$2,500,000	\$155,660	P-\$2.0M D-\$2.5M	UCONN 2000 Phase III - DM	09/25/07 11/18/08	2010	0%
Residential Life Facilities - Shippee Hall Elevator Replacement	901449	\$600,000	\$413,195	P D	UCONN 2000 Phase III	09/23/08 11/18/08	2009	0%
Residential Life Facilities-Sprinkler Installation & Miscellaneous Repairs at Mansfield Apartment Complex	901284	\$4,000,000	\$39,363	P D	UCONN 2000 Phase III	09/25/07 06/24/08	2009	0%

Storrs & Regional Campuses

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 02/23/09)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Design Budget Phase (Continued)</b>								
Residential Life Facilities-Sprinkler Installation & Miscellaneous Repairs at Northwood Apartment Complex	901283	\$3,000,000	\$125,478	P D	UCONN 2000 Phase III	09/25/07 06/24/08	2009	0%
Tie In Drum Heater on Boilers at CUP (Central Utility Plant)	901319	\$600,000	\$0	P D	UCONN 2000 Phase III - DM	09/25/07 02/27/08	2010	0%
Waterbury Downtown Campus - Courtyard Landscaping and Artwork	901179	\$800,000	\$237,679	D	UCONN 2000 Phase III Gifts	01/12/09	2009	0%
<b>Revised Design Budget Phase</b>								
Benton State Art Museum Addition	901263	\$1,700,000	\$140,922	P D RD	UCONN 2000 Phase III	08/01/07 06/24/08 01/12/09	2009	0%
North Hillside Road Completion	900965	\$14,300,000	\$1,242,849	D-\$11.5M RD-\$11.5M RD-\$14.3M	UCONN 2000 Phase III (\$8.2M) Federal Funds (\$6.1M)	08/02/05 11/15/05 06/24/08	2011	0%
<b>Final Budget Phase</b>								
Aquatics Facility - Renovations to Atwater Pathobiology Building	201441	\$1,200,000	\$760,754	P F	Research Funds	04/15/08 06/24/08	06/2009	25%
Farm Buildings Repairs/Replacement - Roof, Façade & Other Repairs	901366	\$2,000,000	\$147,708	P F	UCONN 2000 Phase III	06/24/08 09/23/08	2009	0%
Hawley Armory Façade and Interior Repairs	901182	\$2,720,000	\$2,139,449	P-\$2.6M D-\$2.7M F-\$2.7M	UCONN 2000 Phase III - DM	06/19/07 08/01/07 04/15/08	2009	70%
Meter Installation - Multiple Locations (Phase II)	901326	\$600,000	\$360,049	P D F	UCONN 2000 Phase III - DM	09/25/07 12/07/07 01/22/08	04/2009	50%
OSFM Fine Arts Complex Code Correction	901175	\$957,738	\$323,648	F	UCONN 2000 Phase III - DM	01/12/09	2009	0%
OSFM Law School Code Remediation - Hosmer (Chase) Hall	901249	\$590,000	\$31,841	F	UCONN 2000 Phase III - DM	01/12/09	2009	0%
Phase I of the Fire Alarm Upgrade Project	901174	\$2,300,000	\$2,014,032	F	UCONN 2000 Phase III - DM (\$1.7M) Departmental Funds (\$ .6M)	06/20/06	2009	90%
Residential Life Window Replacement Project for Alumni Quad & Buckley Hall	201438	\$2,100,000	\$1,989,883	P D F	Departmental Funding	09/25/07 02/27/08 04/15/08	06/2009	99%
SCADA (Supervisory Control and Data Analysis) System	901196	\$1,300,000	\$979,770	P D F	UCONN 2000 Phase III - DM	09/25/07 12/04/07 01/22/08	2009	75%
Waterbury Campus Property Transfer (Old Campus)	901097	\$725,000	\$238,213	F	UCONN 2000 Phase II - DM (\$25K) UCONN 2000 Phase III - DM (\$665K) Departmental Funds (\$35K)	06/24/08	2009	0%
West Hartford Campus Renovations/Improvements - Phase I	901285	\$1,500,000	\$73,311	P F	UCONN 2000 Phase III	06/24/08 11/18/08	2010	0%
Wilbur Cross Façade Repairs	901185	\$1,754,500	\$1,349,156	P D F	UCONN 2000 Phase III - DM	06/19/07 08/01/07 04/15/08	2009	99%

**Storrs & Regional Campuses**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 02/23/09)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Revised Final Budget Phase</b>								
Beach Hall Renovation of Rooms 245 and 246	901254	\$930,000	\$921,674	F-\$755K UCONN 2000 Phase III - DM RF-\$930K University Operating Funds		09/23/08 01/12/09	2009	80%
Central Utility Plant Emergency Generator System	901197	\$2,000,000	\$952,981	P-\$750K UCONN 2000 Phase III - DM D-\$750K RD-\$1.4M F-\$1.4M RF-\$2.0M		09/26/06 12/04/07 01/22/08 06/24/08 01/12/09	12/2009	0%
Nayden Clinic Relocation	901166	\$892,000	\$819,607	P-\$650K UCONN 2000 Phase III - DM D-\$650K F-\$667K RF-\$741K		03/13/07 04/10/07 01/22/08 06/24/08	2009	99%
Law School Renovations / Improvements - Facade Restoration	901169	\$22,130,113	\$20,733,286	D-\$12.5M UCONN 2000 Phase III <sup>(B)</sup> F-\$19.0M RF-\$19.9M RF-\$22.1M		04/11/06 09/25/07 06/24/08 09/23/08	2009	75%
Street Construction/Sidewalk Repairs and Related Improvements - Phase I	901318	\$2,000,000	\$736,513	F-\$1.0M UCONN 2000 Phase III - DM RF-\$2.0M		04/15/05 06/24/08	2009	15%
<b>Recently Completed Projects <sup>(1)</sup></b>								
Agricultural Biotechnology & Advanced Technology Building Code Remediation Projects	201409	\$964,307	\$860,422	D-\$1.6M University Plant Funds F-\$964K		06/19/07 12/04/07	06/2008	100%
Code Safety Inspections-Summer 2007, Corrective Action	901262	\$2,200,000	\$2,122,205	F	UCONN 2000 Phase III - DM	09/25/07	11/2008	100%
East Campus Steam & Condensate Piping Replacement at the Avery Point Campus	901198	\$2,085,000	\$1,947,011	P-\$800K UCONN 2000 Phase III - DM D-\$800K RD-\$1.6M F-\$1.6M RF-\$2.1M		09/26/06 04/10/07 08/01/07 02/27/08 04/15/08	2008	100%
Fire Alarm Upgrade in the Graduate Student Residences	901271	\$754,948	\$752,273	F-\$668K UCONN 2000 Phase III - DM RF-\$755K		08/01/07 12/04/07	05/2008	100%
Fire Alarm Upgrade in the West Campus Residences	901270	\$498,273	\$497,178	F-\$443.9K UCONN 2000 Phase III - DM RF-\$498.2K		8/1/2007 12/04/07	04/2008	100%
Gulley Hall Roof and Structural Repair	901181	\$630,000	\$391,421	P	UCONN 2000 Phase III - DM	08/01/07 12/04/07	07/2008	100%
Hilltop Apartments Corrective Action Plan - Phase III	201405	\$977,252	\$859,179	F	University Operating Funds	12/04/07	2008	100%
Meter Installation - Multiple Locations (Phase I)	901193	\$600,000	\$579,500	F	UCONN 2000 Phase III - DM	09/26/06	06/2008	100%
Repair & Renovation of the Sherman Athletic Complex	901243	\$2,641,824	\$2,617,611	P-\$2.3M UCONN 2000 Phase III - DM (\$1.4M) D-\$2.6M University Operating Funds (\$160K) F-\$2.6M Departmental Funds (\$1.07M)		09/25/07 12/04/07 11/22/08	09/2008	100%
Repair and Renovation of Avery Point Pool and Gym Facility	901173	\$3,145,273	\$3,028,200	P	UConn Operating Funds (\$.9M) D	06/20/06 01/30/07 05/14/07 <sup>c</sup>	07/2008	100%
Ryan Refectory Renovations	901167	\$2,970,000	\$2,685,117	P	UCONN 2000 Phase III - DM D F	04/11/06 09/26/06 11/14/06	02/2008	100%

**Storrs & Regional Campuses**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 02/23/09)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Recently Completed Projects (Continued) <sup>(1)</sup></b>								
School of Pharmacy/Biology Completion	900330	\$94,609,000	\$93,284,356	F	UCONN 2000 Phase I, II & III (\$94.5M) State Bond Funds (\$.1M)	02/03/05 01/31/06	06/2005	100%
Sewage Treatment Plant Clarifier Repairs	901192	\$1,190,000	\$834,159	P	UCONN 2000 Phase III - DM D-\$1.2M	08/01/07 09/25/07	09/2008	100%
South Campus Fire Alarm Upgrade [2nd Revised Final]	901269	\$667,085	\$662,227	F	UCONN 2000 Phase III - DM RF-\$656K RF-\$667K	12/04/07 01/22/08 04/15/08	06/2008	100%
Stamford Campus Carpet Replacement	901334	\$657,504	\$640,888	F	University Operating Funds	12/04/07	06/2008	100%
Stamford Campus Improvements - Parking Garage Repairs	901090	\$435,000	\$298,443	D	UCONN 2000 Phase III F-\$0.2M RF-\$0.4M	09/20/05 11/14/06 06/19/07	06/2008	100%
Student Union Quadrangle Site Work and Landscape - Phase I	901101	\$1,400,000	\$1,326,210	P	UCONN Operating Funds (\$.4M) D-\$1.3M UCONN 2000 Phase III - DM (\$1M) F-\$1.4M	01/30/07 04/10/07 06/19/07	2008	100%
Torrington ADA Improvements	901201	\$650,000	\$612,957	P	UCONN 2000 Phase III - DM D-\$ .65M F-\$ .65M	09/26/06 04/10/07 06/19/07	11/2008	100%

Storrs & Regional Campuses

<b>Health Center</b>								
<b>Planning Budget Phase</b>								
Academic Building (Bldg A) Roof Replacement		\$1,150,000	\$28,650	P	UCONN 2000 Phase III - DM	(06/09/08*) 06/24/08	08/2009	0%
Academic Research Building Heat Pipe Replacement		\$1,250,000	\$23,300	P	UCONN 2000 Phase III - DM	(06/09/08*) 06/24/08	TBD	0%
CLAC Renovation	901133	\$14,000,000	\$1,102,282	P	UCONN 2000 Phase III	(05/17/06*) 06/20/06	TBD	0%
John Dempsey Hospital 2 <sup>nd</sup> Floor - ICU Renovation		\$1,546,000	\$289	P	UCHC Capital Budget	(10/31/05*) 11/15/05	<b>Cancelled</b>	0%
John Dempsey Hospital 4 <sup>th</sup> Floor Renovation		\$800,000	\$57,305	P	UCHC Capital Budget	(10/31/05*) 11/15/05	<b>Cancelled</b>	0%
Main Building Exterior Façade Joint Sealant Replacement		\$3,300,000	\$0	P	UCONN 2000 Phase III - DM	(06/09/08*) 06/24/08	TBD	0%
Main Building Renovation <sup>D</sup>	901134; 901221	\$75,000,000	\$3,391,314	P	UCONN 2000 Phase III	(05/17/06*) 06/20/06	TBD	0%
Support Building Addition/Renovation	901327	\$7,800,000	\$572,120	P	UCONN 2000 Phase III	(07/16/07*) 08/01/07	On Hold	0%
<b>Revised Planning Budget Phase</b>								
800 Mhz NMR Installation		\$2,990,000	\$16,000	P	UCHC Capital Budget (\$ .8M) Grants (\$2M)	(06/09/08*) 06/24/08 03/08/09*)*	TBD	0%
ASB Renovation to Medical Services Building		\$18,000,000	\$117	P	UCHC Capital Budget	(10/31/05*) 11/15/05 (09/17/07*)	On Hold	0%
Dental School Renovation-Preclinical Teaching & Prosthetics Labs	901137; 901212; 901225	\$3,075,000	\$209,635	P	UCONN 2000 Phase III P-\$ .5M P-\$ .5M RP-\$5.0M RP-\$5.0M RP-\$3.1M RP-\$3.1M	(09/08/05*) 09/20/05 (05/17/06*) 06/20/06 (09/17/07*) 09/25/07	07/2009	0%

Health Center

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 02/23/09)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Final Budget Phase</b>								
Cooling Coil Conversion Project		\$1,408,000	\$1,388,648	P-\$0.65M P-\$0.65M D-\$1.7M D-\$1.7M F-\$1.4M F-\$1.4M	UCONN 2000 Phase III - DM	(09/08/05*) 11/15/05 (04/04/07*) 04/10/07 12/04/07 (12/10/07*)	01/2009	99%
John Dempsey Hospital Emergency Department Expansion		\$1,375,000	\$846,450	P-\$1.3M P-\$1.3M D-\$1.4M D-\$1.4M F-\$1.375M F-\$1.375M	UCHC Capital Budget	(07/16/07*) 08/01/07 (12/08/08*) 01/12/09 (03/08/09)* 03/10/09 Pending	12/2009	0%
Library/Student Computer Center Renovation	901222; 901135; 901223	\$1,216,491	\$1,002,194	P-\$1.1M P-\$1.1M D-\$1.2M D-\$1.2M F-\$1.2M F-\$1.0M	UCONN 2000 Phase III (\$1.1M) Gifts (\$0.1M)	(05/17/06*) 06/20/06 12/04/07 (12/10/07*) (04/14/08*) 04/15/08	01/2009	99%
Main Cooling System Back-up Chiller Replacement		\$935,000	\$863,284	P-\$0.8M P-\$0.8M D-\$0.8M D-\$0.8M F-\$0.935M F-\$0.935M	UCONN 2000 Phase III - DM	(07/16/07*) 08/01/07 (04/04/07*) 09/24/07 02/27/08 (03/10/08)*	09/2009	25%
Munson Road Renovation and Expanded Parking		\$4,350,000	\$3,794,159	P-\$3.2M P-\$3.2M D-\$4.4M D-\$4.4M F-\$4.4M F-\$4.4M	UCHC Capital Budget	(05/17/06*) 06/20/06 (07/16/07*) 08/01/07 (09/17/07*) 09/25/07	2009	98%
Negative Pressure Room, Bldg 4 Renovations		\$590,000	\$464,586	D D F F	UCHC Research Use Allowance	(09/15/08*) 09/23/08 (10/27/08*) 11/18/08	08/2009	0%
Research Tower	901132; 901220	\$51,565,000	\$14,860,263	P-\$60.0M P-\$60.0M RP-\$46.8M RP-\$46.8M RP-\$50.9M RP-\$50.9M F-\$51.6M F-\$51.6M	UCONN 2000 Phase III	(09/08/05*) 09/20/05 (09/11/06*) 09/26/06 (03/10/08*) 04/15/08 (12/08/08*) 01/12/09	05/2010	5%

Health Center

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 02/23/09)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Recently Completed Projects <sup>(1)</sup></b>								
Dental Implant Center Renovation		\$2,555,000	\$2,541,626	P-\$1.7M UCHC Capital Budget P-\$1.7M D-\$2.8M D-\$2.8M F-\$2.6M F-\$2.6M		(05/17/06*) 06/20/06 (03/05/07*) 03/13/07 (09/17/07*) 09/25/07	07/2008	100%
Electric Heat Conversion Project		\$1,620,000	\$1,476,664	P-\$1.25M UCONN 2000 Phase III - DM P-\$1.25M D-\$2.74M D-\$2.74M F-\$2.74M F-\$2.74M RF-\$1.62M RF-\$1.62M		(05/17/06*) 06/20/06 (04/04/07*) 04/10/07 (09/17/07*) 09/25/07 (03/10/08)* 02/27/08	11/2008	100%
John Dempsey Hospital Electro Physiology Lab & Catheterization Lab Renovation, Phase I & II		\$5,205,000	\$5,146,458	P-\$3.5M UCHC Capital Budget P-\$3.5M F-\$5.2M F-\$5.2M		(09/08/05*) 09/20/05 (03/06/06*) 04/11/06	06/2008	100%
Medical School Academic Building Renovation-Patterson & Massey Auditoria	901136; 901224	\$4,350,000	\$3,717,241	P-\$3.4M UCONN 2000 Phase III P-\$3.4M D-\$3.6M D-\$3.6M F-\$4.4M F-\$4.4M F-\$4.4M		(09/08/05*) 09/20/05 (04/24/06*) 06/20/06 09/26/06 (09/11/06*) 09/26/06	10/2008	100%
CM@R = Construction Manager at Risk DB = Design Build DBB = Design - Bid - Build TBD = To be determined P = Planning Budget RP = Revised Planning Budget D = Design Budget				RD = Revised Design Budget F = Final Budget RF = Revised Final Budget EI = Environmental Impact C = Complete SC = Substantial Completion				

\* University of Connecticut Health Center Board of Directors Reviewed and Recommended for Board of Trustees Approval

<sup>(1)</sup> Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout

<sup>A</sup> Expenditures occurred before July 1, 2005. For all capital projects for which planning commences after July 1, 2005, and for which expenditures are projected to equal or exceed \$500,000, the "three-stage" budgets (planning, design and final) will be presented to the Board of Trustees for approval.

<sup>B</sup> Special Act 04-2, Section 2(i) contains an authorization for \$8 million in State General Obligation Bonds for this project. The University has requested that this authorization be put on the Bond Commission agenda for allotment, which would release the funds for use. Funding has been approved by the Bond Commission, UCONN 2000 funding will be reduced.

<sup>C</sup> Board of Trustees, Buildings, Grounds and Environment Committee (BGE) approved project on 5/14/07 and confirmed on 5/17/07 per special authorization from the Board of Trustees given

<sup>D</sup> The Main Building Renovation Phase III total funding was decreased from \$75 million to \$73.91 million on August 1, 2007. The Clinical Skills Renovation portion of this total is \$1.2 million. Therefore, this planning budget will be revised to \$72.71 million in the future.

<sup>E</sup> The project budget incorporates three projects 1) Infirmary/CUP Steam & Condensate Replacement (Glenbrook Rd to CUP); 2) South Campus Steam and Condensate Loop; and 3) Steam Pit Replacements - combined into project budget: Steam and Condensate Distribution System Improvements-Phase I (BOT 02.10.09).

**Fiscal Year 2009**

**Results of Operations**

**As of January 31, 2009**



## Consolidated Financial Reports Financial Update & Highlights

**TO:** Members, Finance Subcommittee  
**FROM:** John M. Biancamano, Chief Financial Officer  
**DATE:** March 2, 2009  
**SUBJECT:** **Unaudited FY 2009 Financial Results for the 7 month period ending January 31, 2009.**

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### Introduction:

The following provides highlights for the results of operations for the seven month period ending January 31, 2009:

The actual deficiency for the Fiscal Year to Date is \$12.8 million as compared to a budgeted deficiency of \$9.3 million, for an unfavorable variance of \$3.5 million. For the month of January the actual deficiency is \$2.6 million as compared to a budgeted deficiency of \$807,000, for an unfavorable variance of \$1.8 million. The Health Center has incurred consulting costs of \$2.4 million related to organizational improvement, affiliation discussions and regulatory matters. The unbudgeted portion of these costs is \$2.2 million and is included in John Dempsey Hospital and the School of Medicine. Key drivers of budget variances are outlined in this package.

### Education, Research & Institutional Support

The result of operations before State Appropriations is unfavorable to the budget by \$4.5 million year to date and \$1.8 million for the month of January. The primary reason for the unfavorable budget variance year to date is that the School of Medicine revenue from billings to participating hospitals for providing residents and interns are below the budget. The impact to the results of operations is a net decrease in revenue of \$4.1 million year to date.

Year to date significant highlights include:

- The School of Medicine total expenses were favorable to budget by \$1.8 million (2.4%)
- The School of Dental Medicine total expenses were favorable to budget by \$1.1 million (7.0%) and total revenues \$1.2 million below budget. This reflects lower than anticipated Dental Implant revenue and expense.
- Research results of operations were unfavorable to budget by \$569,000 or (10.2%)
- Institutional support results of operations were favorable to budget by \$2.9 million or (7.6%), primarily due to personal services being below budget.

### Clinical

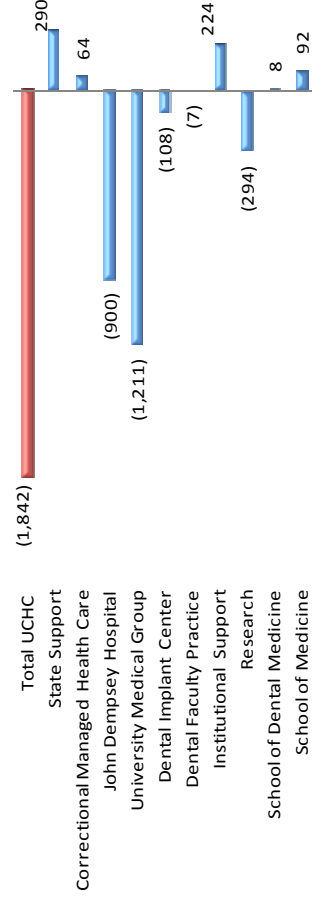
The clinical operations (JDH and UMG) had a loss for the month of (\$2,923) compared to a budget loss of (\$666), an unfavorable variance of (\$2,111). For the year to date 7 months, the combined loss was (\$16,094) compared to a budget loss of (\$12,515) an unfavorable (\$3,579). The January 2008 monthly loss for the clinical operation was (\$1,826) and a year to date loss of (\$17,114). Detail analysis of revenues and expenses are in the following pages.

## Key Financial Results

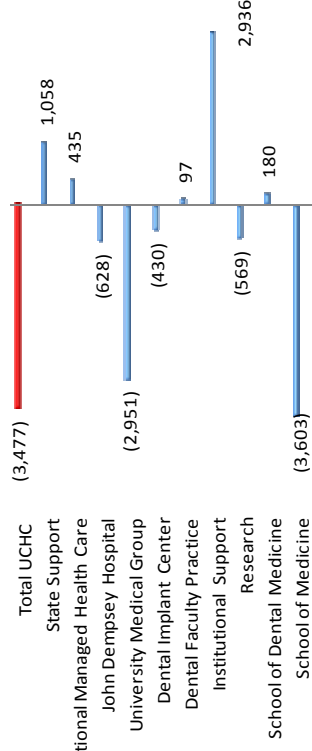
For the period ending January 31, 2009 (in thousands)

Line #	Category	Current Month			Year - to - Date		
		Actual	Budget	Variance	Percent	Variance	Variance
1	Total UCHC Excess/ Deficiency	(\$2,649)	(\$807)	(\$1,842)	-228.3%	(\$12,823)	(\$753)
2	Education, Research & Institutional Support-Excess/(Deficiency)	(\$12,174)	(\$12,090)	(\$84)	-0.7%	(\$82,015)	(\$9,601)
3	John Dempsey Hospital - Excess/(Deficiency)	(\$1,046)	(\$146)	(\$900)	-616.4%	(\$8,833)	\$4,620
4	UMG - Excess/(Deficiency)	(\$1,877)	(\$666)	(\$1,211)	-181.8%	(\$7,261)	(\$3,661)
5	CMHC - Excess/(Deficiency)	\$64	\$0	\$64	(\$89)	\$431	\$968
6	State Appropriation-Block Grant	\$8,919	\$8,822	\$97	1.1%	\$62,480	\$5,575
7	Fringe Benefits & Other Adjustments	\$3,466	\$3,273	\$193	5.9%	\$22,375	\$1,285
8	Total State Support	\$12,385	\$12,095	\$290	2.4%	\$84,855	\$6,860
9	Total Revenues (000's)	\$49,368	\$51,311	(\$1,943)	-3.8%	\$338,892	\$17,715
10	Total Expenses (000's)	\$64,401	\$64,213	\$188	0.3%	\$436,569	\$25,327
11	Research Awards	\$5,920	\$7,580	(\$1,660)	-21.9%	\$49,149	(\$3,663)
12	Research Revenue Recognition in Financial Statements	\$7,291	\$7,426	(\$135)	-1.8%	\$50,736	\$1,475

## Budget Variance by Program - Month



## Budget Variance by Program - Year to Date





# University of Connecticut Health Center

## University of Connecticut Health Center

### Consolidated Statement of Revenues and Expenses (with Eliminations)

	Consolidated UConn Health Center				Consolidated UConn Health Center			
	YTD January 2009		YTD January 2008		YTD January 2009		YTD January 2008	
	Actual	Budget	Variance	Percent Variance	Actual	Variance	Variance	Percent Variance
<b>Revenues:</b>								
Tuition	\$ 5,865,060	\$ 5,967,209	\$ (102,149)	-1.7%	\$ 5,548,112	\$ 316,948	\$ 316,948	5.7%
Fees	3,339,402	3,239,299	100,103	3.1%	3,225,802	113,600	113,600	3.5%
Federal Research Grants and Contracts	39,842,428	41,598,382	(1,755,954)	-4.2%	40,257,638	(415,210)	(415,210)	-1.0%
Non-Federal Research Grants and Contracts	10,844,387	10,137,254	707,133	7.0%	8,455,598	2,388,789	2,388,789	28.3%
Auxiliary Enterprises	8,461,857	7,808,794	653,063	8.4%	7,413,561	1,048,296	1,048,296	14.1%
Interns and Residents	19,978,884	24,736,393	(4,757,509)	-19.2%	19,938,523	40,361	40,361	0.2%
Net Patient Care	185,154,776	186,517,754	(1,362,978)	-0.7%	170,896,593	14,258,183	14,258,183	8.3%
Correctional Managed Health Care	59,174,711	61,374,711	(2,200,000)	-3.6%	58,358,392	816,319	816,319	1.4%
Endowment/Foundation Income	2,316,504	2,276,384	40,120	1.8%	2,220,871	95,633	95,633	4.3%
Investment Income	731,053	1,192,824	(461,771)	-38.7%	1,906,024	(1,174,971)	(1,174,971)	-61.6%
Other Income	3,182,512	2,932,230	250,282	8.5%	2,955,695	226,817	226,817	7.7%
<b>Total Revenues</b>	<b>\$ 338,891,575</b>	<b>\$ 347,781,234</b>	<b>\$ (8,889,659)</b>	<b>-2.6%</b>	<b>\$ 321,176,809</b>	<b>\$ 17,714,766</b>	<b>\$ 17,714,766</b>	<b>5.5%</b>
<b>Expenses:</b>								
Personal Services	\$ 218,163,859	\$ 219,965,546	\$ (1,801,687)	-0.8%	\$ 204,386,048	\$ 13,777,811	\$ 13,777,811	6.7%
State Supported Fringe Benefits	22,224,965	21,995,481	229,484	1.0%	21,090,231	1,134,734	1,134,734	5.4%
Fringe Benefits	40,480,799	39,621,478	859,321	2.2%	36,472,776	4,008,023	4,008,023	11.0%
JDH Fringe Benefit Allotment	(2,113,568)	(2,161,000)	47,432	2.2%	0	(2,113,568)	(2,113,568)	-19.8%
Medical Contractual Support	9,215,997	8,939,768	276,229	3.1%	11,488,951	(2,272,954)	(2,272,954)	20.0%
Medical/Dental House Staff	21,650,626	21,904,980	(254,354)	-1.2%	18,043,702	3,606,924	3,606,924	16.7%
Outside Agency Per Diems	1,020,415	1,134,904	(114,489)	-10.1%	1,224,559	(204,144)	(204,144)	1.1%
Drugs	20,440,292	19,708,962	731,330	3.7%	20,211,806	228,486	228,486	8.4%
Medical Supplies	25,446,662	26,882,078	(1,435,416)	-5.3%	23,474,659	1,972,003	1,972,003	5.3%
Utilities	10,148,587	10,676,644	(528,057)	-4.9%	9,633,223	515,364	515,364	6.5%
Outside & Other Purchased Services	31,285,906	32,407,407	(1,121,501)	-3.5%	29,362,755	1,923,151	1,923,151	11.2%
Insurance	3,573,177	3,491,713	81,464	2.3%	3,212,836	360,341	360,341	11.8%
Other Expenses	12,863,214	13,091,929	(228,715)	-1.7%	11,506,875	1,356,339	1,356,339	2.8%
Depreciation	16,195,285	16,904,260	(708,975)	-4.2%	15,748,265	447,020	447,020	6.2%
<b>Total Expenses</b>	<b>\$ 436,569,180</b>	<b>\$ 440,924,558</b>	<b>\$ (4,355,378)</b>	<b>-1.0%</b>	<b>\$ 411,241,974</b>	<b>\$ 25,327,206</b>	<b>\$ 25,327,206</b>	<b>6.2%</b>
<b>Excess/(Deficiency) of Revenues over Expense Prior to State Appropriations</b>	<b>\$ (97,677,605)</b>	<b>\$ (93,143,324)</b>	<b>\$ (4,534,281)</b>	<b>-4.9%</b>	<b>\$ (90,065,165)</b>	<b>\$ (7,612,440)</b>	<b>\$ (7,612,440)</b>	<b>-8.5%</b>
State Appropriation-Block Grant	\$ 62,479,772	\$ 61,803,416	\$ 676,356	1.1%	\$ 56,904,980	\$ 5,574,792	\$ 5,574,792	9.8%
State Supported Fringe Benefits and Other Adjustments	22,374,965	21,993,542	381,423	1.7%	21,090,231	1,284,734	1,284,734	6.1%
<b>Excess/(Deficiency)</b>	<b>\$ (12,822,868)</b>	<b>\$ (9,346,366)</b>	<b>\$ (3,476,502)</b>	<b>-37.2%</b>	<b>\$ (12,069,954)</b>	<b>\$ (752,914)</b>	<b>\$ (752,914)</b>	<b>-6.2%</b>
Total State Support	84,854,737	83,796,938	1,057,799	1.3%	77,995,211	6,859,526	6,859,526	8.8%
Percent of Total Revenues	20.02%	19.42%	0.01	3.1%	19.54%	0	0	2.5%
Total State Support without Fringe Benefits	62,479,772	61,803,416	676,356	1.1%	56,904,980	5,574,792	5,574,792	9.8%

5

## John Dempsey Hospital

### Results of Operations:

Year-to-date the deficit is \$8.8 million compared to a budgeted deficit of \$8.2 million, for an unfavorable variance of \$627,000. The deficit for the same period last year was \$13.5 million. The Month of January shows a deficit of \$1 million compared to a budgeted deficit of \$146,000, for an unfavorable variance of \$900,000. The deficit for the same period last year was also \$1 million. Other significant highlights include:

	Current Month				Year - to - Date			
	Actual	Budget	Variance	Percent	Prior Year	Actual	Budget	Variance
<b>John Dempsey Hospital (in thousands)</b>								
JDH Excess of Revenues over Expenses/ (Deficiency)	(\$1,046)	(\$146)	(\$900)	-616.4%	(\$1,015)	(\$8,833)	(\$8,205)	(\$628)
JDH Operating Margin	-4.9%	-0.7%	-4.2%	-614.3%	-4.9%	-6.1%	-5.8%	-0.4%
Inpatient Discharges	763	917	(154)	16.8%	844	5,669	5,830	(161)
Outpatient Visits	31,310	34,616	(3,306)	-9.6%	32,816	222,590	229,326	(6,736)
Total Revenue per Adjusted Discharge	\$13,024	\$11,257	\$1,767	15.7%	\$12,662	\$11,948	\$11,851	\$97
Cost per Adjusted Discharge	\$13,670	\$11,336	\$2,334	20.6%	\$13,284	\$12,685	\$12,539	\$146
Days Revenue in Accounts Receivable	49	53	(4)	-7.5%	59	49	53	(4)
Case Mix Index	1.5104	1.4421	0.0683	4.7%	1.4468	1.4972	1.4421	0.0551
FTE's per Adjusted Occupied Bed	4.40	4.42	(0.02)	-0.5%	4.66	4.55	4.51	0.04

### Volume: Year to Date

Inpatient discharges are unfavorable to the budget plan by 161 cases (2.8%) and are below last year by 31 cases (.5%). This variance was driven by the current month.

Outpatient visits are unfavorable to the budget plan by 6,736 cases (2.9%) and are above last year by 6,328 cases (2.9%).

The key drivers for inpatient volume year-to-date are:

- Oncology is below budget 96 cases and below last year 84 cases
- Psychiatry is below budget 109 cases and below last year 85 cases
- Overall Inpatient Surgery cases which are included in multiple service lines are above budget 87 cases and above last year by 179 cases

The key drivers for outpatient volume year-to-date are:

- Compared to Budget
  - Areas that are below budget are Outpatient surgery (5.3%), Emergency Department (6%); Radiation Oncology (25%)
  - Areas that are above budget are Cardiology related tests (EKG's – 15%; EP Lab – 38%); Physical Therapy 12%

## Volume: Month

January inpatient discharges were unfavorable to the budget plan by 154 cases (16.8%) and below last January by 81 cases (1.1%). January outpatient visits were unfavorable to the budget plan by 3,306 visits (9.6%) and below last January by 1,506 visits (4.6%).

The key driver for inpatient volume for the month is that cardiac unit discharges are below budget 41 (45.6%) and below prior year 48 (49.5%). This was due to a number of complex cases. The unit operated at 90% capacity for the month due an increased average length of stay from 4 to 8 days. In addition the complex cases drove the increase in the case mix index for the month.

## Net Revenue: Year to Date

Year-to-date, total net patient revenue is favorable to the budget plan by \$1.5 million (1.1%) and is above last year by \$12.8 million (10.0%).

The key drivers for net revenue are:

- Compared to Budget
  - Net Revenue per adjusted discharge is above the budgeted plan by \$84 (0.7%) and adjusted discharges are above budget by 42 discharges (0.4%).
  - Case mix index is 1.4972 compared to a budget of 1.4421.
  - Net Revenue per adjusted discharge is above last year by \$743 (6.8%).
  - Net Revenue increase reflects the efforts made by the revenue enhancement teams.

Significant variances for inpatient payer mix:

- | <u>Above Plan</u>         | <u>Below Plan</u>                                 |
|---------------------------|---|
| • Medicare 4 cases (0.8%) | • Medicaid 7 cases (0.2%)                         |
|                           | • Managed Care 153 cases (7.1%)                   |
|                           | • Correctional Managed Health Care 5 cases (2.4%) |



## Net Revenue: Month

January's net revenue is unfavorable to the budget plan by (\$80,000) (0.4%) and is above last year by \$169,000 (0.8%).

The key drivers for net revenue are:

- Compared to Budget
  - Net Revenue per adjusted discharge is above the budgeted plan by \$1,637 (14.8%)
  - Net Revenue per adjusted discharge is above last year by \$206 (1.7%).
  - This increase is the result of significant efforts in revenue cycle improvements.

Significant monthly variances for inpatient payer mix:

- Compared to Budget

### Above Plan

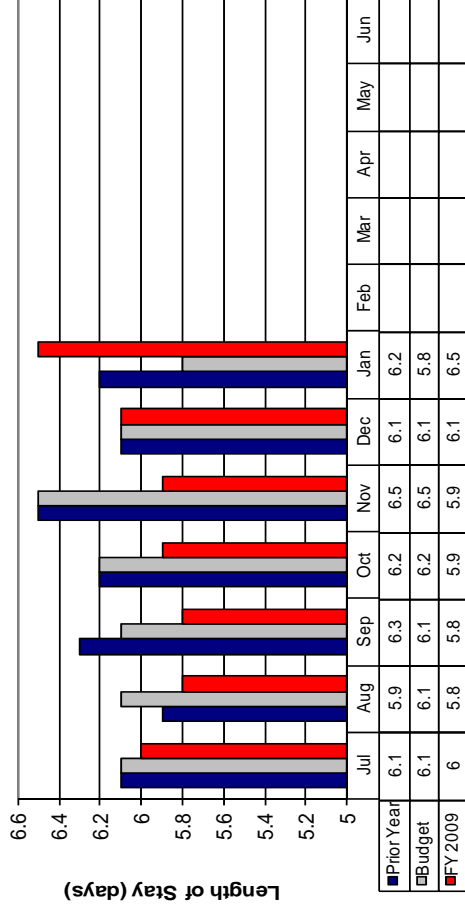
### Below Plan

- Medicare discharges 43 cases (12.4%)
- Medicaid discharges 39 cases (26.9%)
- Managed Care discharges 70 cases (20%)
- Correctional Managed Health Care 1 case (3.5%)

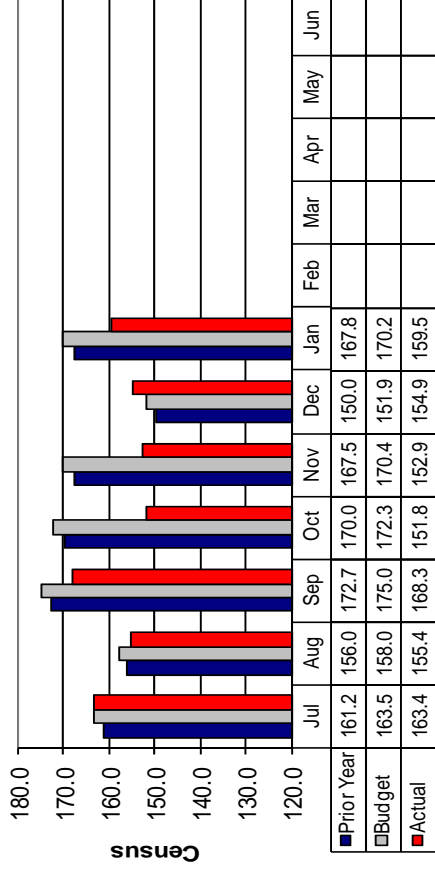
## Expenses

- Expenses are unfavorable to the budget by \$2.3 million (1.5%) on a year to date basis and \$8.5 million (or 5.9%) unfavorable to prior year.
- Drugs are over budget year to date \$1.2 million of which \$268,000 relates to the current month. JDH has seen an increase in patients requiring special anticoagulant medication which has driven drug costs beyond their budgeted levels.
- Consulting related to operational consultation, the affiliation and other are over budget by \$2 million year-to-date and \$600k for the month.
- Medical supplies are over budget due to the shift to more surgical cases than medicine patients.

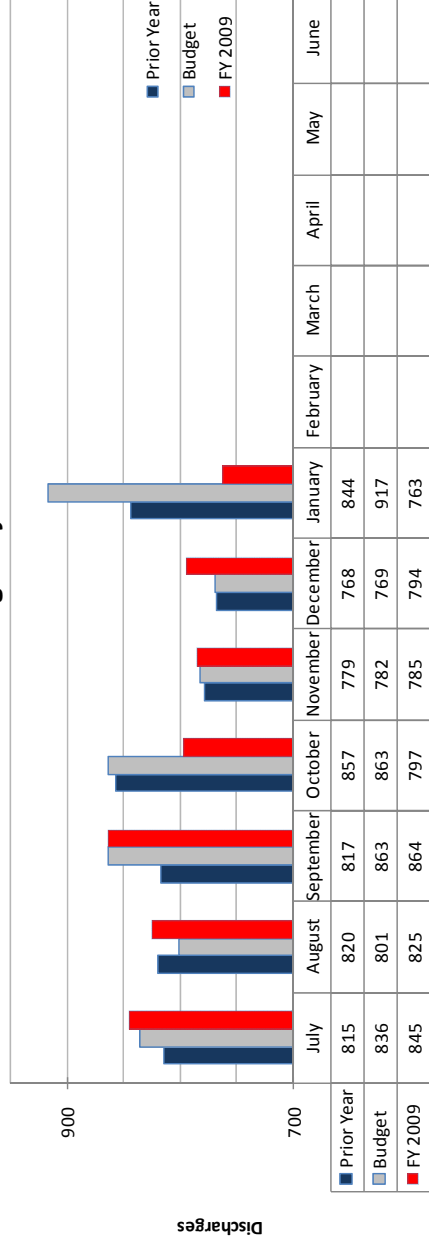
### JDH Average Length of Stay by Month



### JDH Average Daily Census FY 09 by Month



### JDH Discharges by Month





UCONN MEDICAL GROUP  
OPERATING STATEMENT  
JANUARY 2009

	JANUARY ACTUAL	JANUARY BUDGET	VARIANCE	JANUARY PERCENT	JANUARY 2008	JANYTD ACTUAL	JANYTD BUDGET	VARIANCE	YTD PERCENT	JANYTD FY 2008
<b>REVENUES:</b>										
Gross Charges-Direct Patient Care	17,088,768	16,524,346	564,422	3.42%	15,275,608	111,562,986	107,934,363	3,628,623	3.36%	99,161,446
Gross Charges-Contract Patient Care	462,799	484,383	(21,584)	-4.46%	515,404	3,231,081	3,390,712	(159,631)	-4.71%	3,466,775
Total Patient Care	17,551,567	17,008,729	542,838	3.19%	15,791,012	114,794,067	111,325,075	3,468,992	3.12%	102,628,221
Less: Contractual Allowances	10,021,289	8,897,946	1,123,343	12.62%	8,819,507	64,067,727	59,251,946	4,815,781	8.13%	54,367,610
Provision for Bad Debts	112,628	115,923	(3,295)	-2.84%	(75,574)	837,469	752,686	84,783	11.26%	460,328
Total Allowances & Bad Debts	10,133,917	9,013,869	1,120,048	12.43%	8,743,933	64,905,196	60,004,632	4,900,564	8.17%	54,827,938
Net Patient Revenue	7,417,650	7,994,860	(577,210)	-7.22%	7,047,079	49,888,871	51,320,443	(1,431,572)	-2.79%	47,800,283
Other Revenues	70,043	98,649	(28,606)	-29.00%	80,000	498,773	690,538	(191,765)	-27.77%	646,106
Total Revenue	7,487,693	8,093,509	(605,816)	-7.49%	7,127,079	50,387,644	52,010,981	(1,623,337)	-3.12%	48,446,389
<b>EXPENSES:</b>										
Personal Services	5,353,851	5,087,636	266,215	5.23%	4,321,983	28,111,086	27,832,150	278,936	1.00%	24,985,791
State Supported Fringe Benefits	682,685	632,721	49,964	7.90%	749,319	4,565,285	4,380,151	185,134	4.23%	4,844,060
Fringe Benefits	1,226,497	982,662	243,835	24.81%	783,227	5,516,782	5,284,767	232,015	4.39%	3,964,616
Medical Contractual Support	691,938	644,759	47,179	7.32%	692,660	4,496,230	4,186,412	309,818	7.40%	4,248,056
Outside Agency Per Diems	8,589	5,085	3,504	-100.00%	3,937	68,581	33,023	35,558	107.68%	43,971
Drugs	158,110	174,398	(16,288)	-9.34%	132,836	1,174,226	1,132,316	41,910	3.70%	1,143,430
Medical Supplies	160,875	134,732	26,143	19.40%	133,361	885,715	875,297	10,418	1.19%	768,765
Utilities	20,142	27,379	(7,237)	-26.43%	15,815	118,121	131,837	(13,716)	-10.40%	100,952
Outside & Other Purchased Services	863,969	807,555	56,414	6.99%	877,592	5,591,532	5,620,565	(29,033)	-0.52%	5,822,385
Insurance	49,071	57,844	(8,773)	-15.17%	55,305	335,095	404,924	(69,829)	-17.24%	396,432
Repairs & Maintenance	48,207	47,384	823	1.74%	55,002	376,559	338,004	38,555	11.41%	334,231
Other Expenses	29,289	61,262	(31,973)	-52.19%	37,749	5,909,124	5,567,920	341,204	6.13%	4,891,093
Depreciation	71,605	95,737	(24,132)	-25.21%	78,772	500,244	533,268	(33,024)	-6.19%	563,263
Total Expenses	9,364,828	8,759,154	605,674	6.91%	7,937,558	57,648,580	56,320,634	1,327,946	2.36%	52,107,045
Excess/Deficiency of Revenues over Expenses	(1,877,135)	(665,645)	(1,211,490)	182.00%	(810,479)	(7,260,936)	(4,309,653)	(2,951,283)	68.48%	(3,660,656)

# UConn Medical Group

## Results of Operations

Year-to-date the deficit is \$7.3 million compared to a budgeted deficit of \$4.3 million, for an unfavorable variance of \$3 million. The deficit for the same period last year was \$3.7 million an unfavorable change of \$3.6 million. The Month of January shows a deficit of \$1.9 million compared to a budgeted deficit of \$666,000 million for an unfavorable variance of \$1.2 million. The drivers for the year-to-date deficit are:

- Anesthesiology is unfavorable to the budget plan by \$710,000; \$534,000 unfavorable in revenue and \$175,000 unfavorable in expenses.
- The results with Anesthesiology removed show an unfavorable variance of \$2.2 million.
- Total revenue is unfavorable to the budget by \$1.6 million.
- Total expenses are unfavorable to the budget by \$1.3.

Other significant highlights include:

[illegible]

## Net Revenue: Year to Date

Net patient revenue is unfavorable to the budget plan by \$1.4 (2.8%) and is above last year by \$2.1 million (4.4%).

The key drivers for net revenue are:

- Visits being under budget 4,663 visits (1.4%) – Volume variance of \$720,000
- Compared to Budget – Price Variance is unfavorable to the budget by \$711,000. The net revenue per unit was \$2.88 lower than what was budgeted.
- Compared to Last Year – Price Variance is unfavorable by \$895,000 and the Volume Variance is favorable by \$ 2.3 million.
- The price variance for both the month and year to date is driven by the increase in Medicaid and Medicare visits. Compared to the prior year Medicaid has increased 3,455 and Medicare has increased 4,973. The average collection rate for Medicaid = 27% and Medicare = 36%, which are both lower than the average rate for UMG of 40%.

## **Net Revenue: Month**

The Month of January shows net revenue unfavorable to the budget plan by \$577,000 and is above the same month last year by \$371,000 (5.3%).

The key drivers for net revenue are:

- Anesthesiology below the budgeted plan by \$9,000 (1.6%).
- Visits being unfavorable to the budget by 2,254 visits (4.4%) – Valued at \$ 357,000.
- Compared to Budget – Price Variance is unfavorable to the budget by \$225,000. The net revenue per unit was \$5.10 lower than what was budgeted.
- Compared to Last Year – Price Variance is unfavorable by \$168,000 and the Volume Variance is favorable \$203,000.

## **Expenses**

- Personal services and medical contractual support are over budget by \$266,000. During the current month incentive payments were made to current staff that had been covering additional hours for the NICU service. The new contract with CCMC for service coverage was implemented in January.
- Fringe benefits reflect higher FICA payments than the previous six months. The monthly budget was set higher to reflect that annual occurrence; however it was not high enough.
- In addition other expenses are over budget \$341,000 (6.1%) mainly due to general office supplies and advertising expenses.

Comparison of Actual, Budgeted, and Prior Year Unique Visits Against Monthly Total Revenues

