

3-26-2012

2012 March 26

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**Recommended Citation**

"2012 March 26" (2012). *Minutes*. 95.

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MINUTES OF THE UNIVERSITY SENATE MEETING  
March 26, 2012

1. The regular meeting of the University Senate for March 26, 2012 was called to order by Moderator Spiggle at 4:03 PM.
2. Approval of Minutes

Senator Spiggle presented the minutes of the regular meeting of February 28, 2012 for review.

**The minutes were approved as posted.**

3. Report of the President

President Herbst noted that Barbara O'Connor has begun work as Director of Public Safety and Chief of Police; she will be formally sworn in later this week. Chief O'Connor joins the University of Connecticut after performing in an outstanding manner in similar posts at the University of Massachusetts and the University of Illinois, Urbana/Champaign. Warde Manuel has also begun work as the new Athletic Director. Frank Torti has begun work as Vice President for Health Affairs and Dean of the Medical School, although his appointment formally begins May 1<sup>st</sup>. John Elliott will begin this summer as Dean of the School of Business. President Herbst noted that the search for a new Dean of the School of Fine Arts is on-going. The Provost search will begin in late summer after the University hires an executive recruitment firm to assist. President Herbst noted that the University will not search for a new Vice President for Research right away. Suman Singha, who serves as Vice President for research has agreed to continue through the next year. This will afford the University the opportunity to stagger this appointment and the new Provost appointment so that the new Provost can take part in the selection process. A new position, University Ombudsman, will be established and a search will begin to fill that position.

President Herbst stated that she is a "big believer" in outside evaluators. The University is concluding such an evaluation of Student Services, with particular attention paid to Career Services. The evaluators' report will be forthcoming. The University's Counseling Program for Intercollegiate athletes (CPIA) which provides academic tutoring and other help for student athletes is presently undergoing review.

President Herbst lauded the Campus Beautification Committee and the work they have done so far. A temporary installation of pavers along Hillside Road will be completed before Commencement. The pavers will be replaced with a more permanent paving over the summer. In addition the two temporary buildings in the historic part of campus will be removed before Commencement.

President Herbst thanked the members of the Senate for their work on the new faculty searches and hiring plans and praised efforts at interdisciplinary hires.

She also announced that the Board of Trustees will vote on a new upper administration organizational plan within two months. The new plan eliminates two Vice President positions, consolidating those and other responsibilities under a single Vice President.

Senator Mannheim asked what happens to the balance of their salaries when people in administration are asked to step down in the middle of a contract. President Herbst responded that these administrators serve at will, as do deans. There are no long terms contracts.

4. Senator Moiseff presented the report of the Senate Executive Committee.  
(Attachment #35)
5. Senator Freake presented the Annual Report on INTD courses.  
(Attachment #36)

Regarding INTD courses, Senator Mannheim asked if the accreditation of the University might be threatened by the number of credits of INTD courses that a student might apply towards a degree. Senator Freake responded that the individual colleges and schools will be in charge of oversight in this area, making the determination of the maximum number of INTD credits that might be applied to each degree.

Senator Salamone expressed concern over the notion that the course rather than the instructor should be “certified” to record grades for students. Senator Freake responded that the departments will define and supervise these courses and insure their rigor. Then, the instructor will be selected and supervised by the individual departments.

Senator Deziel asked if we are increasing the number of courses taught by non-faculty, or are we just renaming existing ones. H. Freake responded that the latter case is true. These are existing courses, re-named.

Senator Recchio pointed out that the present oversight of these courses is already carefully conducted.

6. Senator Recchio presented the Report of the Scholastic Standards Committee.  
(Attachment #37)

The Scholastic Standards Committee moves to recommend that the By-Laws, Rules, and Regulations of the University Senate, Section I.C.2.e. “Curricula and Courses” be amended as follows (new language in **bold**):

*e. Curricula and Courses*

This committee shall prepare legislation within the jurisdiction of the Senate on course requirements for general education of all undergraduate schools and

colleges, **ALL UNIV courses**, and specific courses open to freshmen and sophomores. This committee shall include two undergraduate students.

Senator Salamone questioned details concerning S/U versus ordinary graded status. Senator Recchio responded that these details are still open questions.

**The motion carried.**

7. Senator Hussein presented the Report of the Faculty Standards Committee.  
(Attachment #38)

The Faculty Standards Committee moves to recommend that the By-Laws of the University of Connecticut, Article XIV, D.4. "Procedure for Reviews of Faculty" be amended to include:

- c. Every instructor in every course receiving the SET forms must ensure that the forms are administered those forms and that the completed forms are returned to the designated office.

Senator Mannheim questioned the motivation for inclusion of this language. Senator Hussein responded that this makes it clear that student evaluation of teaching should be applied to more than tenure and promotion decisions. Senator Kaminsky asked when it would go into effect. The answer was that this could only go into effect after approval by the Board of Trustees.

Senator Gramling noted that the acronym "SET" for "Student Evaluation of Teaching" was specified nowhere in the by-laws. He also questioned the usefulness of the language, which specifies "forms," if these evaluations move to an on-line system. He expressed that the proposed language was perhaps too specific.

Senator Hussein stated his willingness to change "SET" to "student evaluation of teaching."

**The motion to amend carried.**

Senator Mannheim moved that "the" before "student evaluation of teaching" be deleted.

**There was no second, and therefore the motion died.**

Moderator Spiggle presented the main motion as amended by Gramling/Hussein:

- c. Every instructor in every course receiving the student evaluations of teaching forms must ensure that the forms are administered those forms and that the completed forms are returned to the designated office.

**The amended motion carried.**

8. Senator Cantino presented the slate of Standing Committee Members from the Nominations Committee.

(Attachment #39)

This was presented for the information of the Senate and will be considered for passage at the next Senate meeting.

9. Senator Singha presented the Annual Report on Research.

(Attachment #40)

Senator Singha's report included the "2011 Report of Sponsored Project Activity," which is available on-line. Vice President Singha pointed out that our External Awards have increased considerably in spite of the ending of stimulus funding. He then explained the various sources of research funds from the Federal Government—80% of our funding comes from the Federal government. Future funding from the Federal Government is in peril, so he pointed out how important funding from business and other non-governmental sources will become. Those sources presently comprise a very small portion of our funding. He described the work of the Office of Research Compliance, the Office of Animal Care, the work of the Office of Internal Programs, and the Research Centers and Institutes. He concluded by describing several initiatives that he sees as "transformational," including Bioscience Connecticut, the partnership with Jackson Lab, and the University of Connecticut's Technology Park.

Senator Hubbard asked if all our animal facilities were AAALAC (*Association for Assessment and Accreditation of Laboratory Animal Care, International*) accredited. Vice President Singha responded that indeed they are.

10. Senator Holsinger, Dean of the Graduate School, presented the Annual Report of the Graduate School.

(Attachment #41)

Senator Zirakzadeh asked for clarification regarding the diversity numbers presented. He also requested that these diversity figures be broken down by program in the future.

Senator Frank inquired about the publication of theses and dissertations in the Digital Commons and the requirement from some publications, especially in the humanities, that work not have been published in any way before. Senator Holsinger reminded us that the copyright of items in the Digital Commons remains with the author.

Senator Livingston pointed out that anyone who posts in the Digital Commons has the ability to embargo release of that information for various periods of time. Authors may also restrict the release of the material to the University of Connecticut only rather than world-wide.

Senator von Hammerstein commented on the difficulty of meeting these I-20 requirements even when the graduate assistantship was not a full one. This was not difficult in the past because if we provide a full graduate assistantship the expenses were covered. They now are not, which may harm our competitiveness with other schools who provide the full amount of living and educational expenses. Senator Kendall pointed out the importance to the University of Post-Doctoral Fellows and commented that the post-docs really need advocates and colleagues. There is no real official home for them, although they have been served by the Graduate School in the past. Senator Messier inquired about the existence of along term plan to increase graduate assistant stipends to cover the cost of living increase. Senator Mannheim asked if there was any way to make a valid estimate of the value medical package provided as part of the compensation for graduate assistants. Senator Holsinger responded that the medical coverage is a wash, included both in the expenses and the compensation package equally. Senator von Hammerstein advocated for full coverage for international graduate students.

11. Senator Polifroni presented the report of the President's Athletic Advisory Committee (PAAC).

(Attachment #42)

Senator Polifroni pointed out that the activity of the committee and its interaction with the President's Office has increased greatly this year. The majority of the committee's efforts have been directed at academics. She predicted a cultural change in Athletics with ever more emphasis on academic success for student athletes. The committee is beginning to analyze the data from the exit survey administered to student athletes. The PAAC is also examining athletic schedules and academic schedules with an eye towards reconciling conflicts that may exist there.

Senator Deziel asked if athletic scholarships are depended on academic success. Senator Polifroni responded that the required grade point average is that which is required by the university to demonstrate satisfactory progress.

12. New business – None.

13. There was a motion to adjourn.

The motion was approved by a standing vote of the Senate.

The meeting adjourned at 5:33 PM.

Respectfully submitted,

Robert F. Miller  
Professor of Music  
Secretary of the University Senate

The following members and alternates were absent from the March 26, 2012 meeting:

Accorsi, Michael	Franklin, Brinley	Nadeau, Jenifer
Anderson, Amy	Hanley, Daniel	Nicholls, Peter
Austin, Philip	Hiskes, Anne	O'Neill, Rachel
Barreca, Regina	Hiskes, Richard	Ogbar, Jeffrey
Bradford, Michael	Hunter, Nina	Reis, Sally
Byrne, Timothy	Jain, Faquir	Ricard, Robert
Chinchilla, Rosa	Jockusch, Elizabeth	Roe, Shirley
Choi, Mun	Kazerounian, Kazem	Segerson, Kathleen
D'Angelo, Rebecca	Kay, Richard	Skoog, Annelie
Darre, Michael	Korbel, Donna	Sorrentino, Katherina
DeFranco, Thomas	Letendre, Joan	Stwalley, William
Desai, Manisha	Lillo-Martin, Diane	Teitelbaum, Jeremy
Dunne, Gerald	Locust, Wayne	Teschke, Carolyn
Eby, Clare	LoTurco, Joseph	Tracy, Samuel
English, Gary	Madaus, Joseph	Visscher, Pieter
Fink, Janet	Majumdar, Suman	Williams, Michelle
Feldman, Barry	Martin, Jeanne	Yanez, Robert
Forbes, Robert	Munroe, Donna	

**Report of the Senate Executive Committee**  
to the University Senate  
March 26, 2012

The Senate Executive Committee has met three times since the February 27<sup>th</sup> meeting of the University Senate.

On March 9<sup>th</sup> the Senate Executive Committee met with the Chairs of the standing committees to plan for the agenda of this meeting and to coordinate the activities between the committees. We also met with Brooke Foti, student UCSPAN Client Manager, to further discuss their request to film Senate meetings. The SEC and Chairs of the Standing Committees were asked to review and provide comment on House Bill No. 5030 which is an act concerning the development of a general education core of courses to allow for the seamless transfer from the regional community-technical college system to the Connecticut State University System and the University of Connecticut.

On March 19<sup>th</sup> the Senate Executive Committee and the Chair of the Faculty Standards Committee met with AAUP representatives Lyle Scruggs and Peter Nguyen for a mutual information session.

On March 23<sup>rd</sup> the Senate Executive Committee met privately in separate sessions with Provost Nicholls and President Herbst. Afterwards the SEC met with President Herbst, Senior Vice Provost Singha, and Vice Presidents Gray, Holz-Clause, Locust, Munroe, and Saddlemire. The SEC was told there was a 19% increase in the number of applications for admission over last year and that Enrollment Management is pleased with the diversity of the applicant pool.

Elections are currently underway for the Senate Executive Committee, the Committee of Three, and the Nominating Committee, please vote.

Respectfully submitted,  
Andrew Moiseff  
Chair, Senate Executive Committee  
March 26, 2012



## **Report to Senate: Interdepartmental (INTD) Courses**

Hedley Freake, Chair, University Interdisciplinary Courses Committee

March 26, 2012

The University Interdisciplinary Courses Committee (UICC) was formed in 2009. After a consultation process involving Senate Executive Committee, Senate Nominating Committee, and the Chairs of Senate Scholastic Standards Committee and Curricula & Courses Committee, the Provost appointed the voting members of UICC: Dr. Gerry Gianutsos, School of Pharmacy, was appointed UICC Chair; faculty members (and alternates) from each undergraduate school and college were nominated by their deans; and an additional CLAS representative was nominated by her dean as a representative from a regional campus. In addition, ex-officio members of the UICC (non-voting) were chosen to represent academic and student affairs units with existing INTD courses, as well as other stakeholders. The UICC serves to clarify and advise faculty members and staff who propose interdisciplinary and/or program-based, non-departmental courses on the approvals required. The committee provides oversight of INTD (and, once the new subject designation is introduced, UNIV) courses. UICC reviews course proposals prior to their consideration (as required) for schools, colleges, and Senate. Administrative support for UICC and routine matters related to INTD and UNIV courses are dealt with by IISP (Individualized & Interdisciplinary Studies Program).

The committee has met 7 times in the current academic year and this report summarizes its activities.

### **Definition and division of INTD and UNIV courses**

Building on extensive discussions over the previous years, UICC finalized a set of recommendations for the administration and oversight of interdepartmental courses and those courses that are offered by units located outside of the schools and colleges. The former set of courses (INTD) are more straightforward, since they represent collaborations between academic departments within or across the schools and colleges and are subject to the normal curricular oversight procedures of those units. UICC review simply ensures that the INTD designation is the most appropriate for them. Courses offered by units outside of the schools and colleges, designated as UNIV, require more attention and a specific set of procedures for their oversight. These procedures are based on the principle of faculty oversight of curriculum and attempt to ensure the academic integrity of these offerings. Among the procedures recommended is that each unit offering UNIV courses should have a faculty curricular committee, chaired by a faculty member. This committee should function to approve course proposals and ensure the appropriate qualifications, training and oversight of the instructors of those courses. Course proposals are to be forwarded to UICC after approval by this unit committee where they would undergo careful review similar to that performed by a school or college Curriculum and Courses Committee. Following approval by UICC, the Senate Curriculum and Courses Committee is to then review all UNIV course proposals. This provides an additional level of scrutiny, appropriate for UNIV courses given their non-traditional provenance and their critical position in the curriculum. This last act requires a Senate bylaw change, to be voted on at this March 26 Senate meeting.

### Review of Potential UNIV Courses

The distinction between INTD and UNIV is straightforward, based on who offers the course. UICC decided to take the opportunity to review all potential UNIV courses and the curricular oversight procedures of the units offering them, prior to redesignating them as UNIV. To date it has reviewed INTD 1800 FYE University Learning Skills, INTD 1810 FYE Learning Community Seminar, INTD 1784 Freshman Honors Seminar, INTD 4600W Capstone Course, INTD 4697W Senior Thesis and INTD 4800 Senior Year Experience. In addition it is reviewing an array of special topics and seminar courses. Particular attention is being paid during all these reviews to the issue of whether letter or S/U grading is more appropriate. It is expected that this review process will be completed this semester. A proposal to redesignate a specific set of INTD courses as UNIV will be brought to the Senate Curriculum and Courses Committee in the fall for entry into the Undergraduate Catalog for the 2013/2014 academic year.

### New courses

Two courses, both previously offered under the Special Topics framework, were approved: INTD/UNIV 2230 PA2SS Program, Mentoring African American Students and INTD/UNIV 2300 Tutoring Principles for Quantitative Literacy.

### INTD Course Statistics (2010-2011, with comparison to 2009/2010)

PeopleSoft listings of INTD course sections (based on data supplied by OIR)

	2010-11		2009-10		2008-09	
	Sections	Seats	Sections	Seats	Sections	Seats
First Year Experience Program (INTD 1800, 1810, 1820, 3984 – each 1 cr.)	305	4785	288	4419	268	4297
Honors Program courses (INTD 1784, 3784 –1 cr., and 3 cr. respectively)	28	483	29	484	26	440
Linkage through Language course (INTD 3222 – 1 cr.)	24	139	27	177	30	206
Student Affairs (INTD 1991, 4800 – 1 cr.; 3991 – var. cr.) (preAY11 data only includes 4800)	7	339	2	336	2	356
Departmental- and Program-based courses with individual catalog listings	19	189	22	237	22	221
Other INTD courses (including experimental, special topics, independent study, study abroad courses)	84	941	100	896	80	652
<b>Total</b>	<b>467</b>	<b>6876</b>	468	6549	428	6172

Every one of UConn's six campuses used at least two INTD courses to offer sections to its students.

2010-2011 instructors of INTD course sections were 34% faculty (tenured, untenured, adjunct), 14% graduate students, and 52% other professionals (09/10: 32%, 13%, 55% respectively; 08/09: 30%, 15%, 54% respectively).

**UICC Members 2011-2012**

<b>Faculty (voting members and alternates)</b>		
Chair	CANR/ NUSC	Hedley Freake
Member	CANR/ANSC	Gary Kazmer
Member	CLAS/ SOCI	Richard Rockwell
Member	NEAG/EKIN	Laura Burton
Member	SFA/ DRAM	David Stern
Member	SOB/ACCT	Larry Gramling
Member	SOE/ECE	Eric Donkor
Member	SON	Jennifer Telford
Member	SOP/ PHAR SCI	David Grant
Member	REGIONAL CAMPUS	Edith Barrett
Alternate	CANR/NRE	Tom Meyer
Alternate	CLAS/ HDFS	Shannon Weaver
Alternate	NEAG/EDCI	Jason Irizarry
Alternate	SFA/DRAM	Michael Bradford
Alternate	SOB/OPIM	Ramesh Sankaranarayanan
Alternate	SOE/CSE	Ion Mandoiu
Alternate	SON	tbd
Alternate	SOP	Olga Vinogradova

<b>Ex-Officio (non-voting members and alternates)</b>		
Member	Enrichment Programs	Lynne Goodstein
Member	Inst. for Student Success	David Ouimette
Member	ITL	Keith Barker
Member	Registrar's Office	Jeff von Munkwitz-Smith*
Member	Registrar's Office	Marianne Buck*
Member	Senate C&CC	Eric Schultz**
Member	Senate C&CC	Peter Kaminsky**
Member	Student Affairs	Daniel Doerr
Alternate	Enrichment Programs	Margaret Lamb
Alternate	Inst. for Student Success	Maria D. Martinez
Alternate	ITL	Kim Chambers
Alternate	Registrar's Office	Marianne Buck*
Alternate	Registrar's Office	Lauren DiGrazia*
Alternate	Senate C&CC	Peter Kaminsky**
Alternate	Student Affairs	Sue Sanders

Administrative support is provided by Anabel Perez.

\*Jeff von Munkwitz-Smith left the University in February 2012. Marianne Buck replaced him as member, and Lauren DiGrazia replaced Marianne Buck as alternate member.

\*\*Eric Schultz is on sabbatical Spring 12 and Peter Kaminsky has replaced him as member during that term.

**Faculty Standards Committee  
Report to the University Senate  
March 26, 2012**

**Proposed Motion  
On Mandatory Student Evaluations of Teaching**

On March 1, 2010, the University Senate endorsed the formative and summative use of Student Evaluations of Teaching (SETs), in recognition that SETs play an important role in improving and evaluating teaching at the University of Connecticut. Subsequently, the Senate Executive Committee asked the Faculty Standards Committee (FSC) to consider the question of whether instructors who receive Student Evaluation of Teaching (SET) forms in a given semester should be required to distribute those forms to their students, i.e., whether distribution of SET forms should be mandatory for all instructors who receive them. This request was prompted by the recognition that some instructors who receive forms choose not to distribute them and hence information about the students' perception of the instructor's teaching performance in that class is not available. In addition, the FSC has received "complaints" from students who have been frustrated when they have not been given the opportunity to fill out an evaluation form for a class in which they were enrolled. Finally, instructors who do not distribute SETs cannot then benefit from the formative role of SETs, which the Senate has explicitly recognized as an important part of improving teaching.

This report summarizes the outcome of the FSC's work and presents a proposed motion for consideration by the University Senate.

**Background Information:**

In preparing its report, the committee considered recent work by the Senate regarding the role of SETs, guidelines for their interpretation, and additional means of evaluating teaching (<http://www.provost.uconn.edu/ptr/index.html>).

In addition, the FSC considered whether there were any provisions in the AAUP contract or the University Bylaws that would bear on this question.

**(1) AAUP Contract:** The subcommittee found no reference to the use of teaching evaluations in the AAUP contract.

**(2) By-laws of the University of Connecticut,** Article XIV, D.4. "Procedure for Reviews of Faculty" (p. 33) states:

*a. The status of every faculty member with regard to salary and/or rank shall be considered at least once a year. The head of the department shall ordinarily be responsible for seeing that this is done.*

*b. It is the duty of each department head to conduct a continuing appraisal of the work and potentialities of the people in the department and by informal consultation, to ascertain the views of the other members of the department. It is his/her responsibility not only to give his/her own appraisal, but also to transmit that of his/her colleagues within the department. In this connection, it should be emphasized that all such evaluations are to be based on the criteria listed above. Recommendations resulting from these reviews, with supporting data, shall be sent to the dean of the school or college, and by the dean, with his//her own recommendations, to the Provost.*

**PROPOSED NEW LANGUAGE:**

*c. Every instructor in every course receiving the SET forms must ensure that the forms are administered and that the completed forms are returned to the designated office.*

Paragraph 5 of this section, "Promotion and tenure procedures" (p. 34), states:

*a. In view of the paramount importance of good teaching and the difficulty of judging the quality of a teacher's performance, student evaluations shall be taken into consideration during promotion and tenure decisions. Student evaluations shall be conducted according to procedures approved by the University Senate. Caution must be observed to discount mass prejudices and to avoid overestimating the impressions of the moment, which may well be different from the considered judgment of later years. Student evaluations shall also be available to deans and heads of departments.*

The FSC believes that the above provisions of the University Bylaws have the following implications regarding summative use of SETs:

1. The University Bylaws clearly state that SETs are to be used in the evaluation of faculty for tenure and/or promotion. Thus, when available, SETs should be mandatory for all faculty who will or could at some point be seeking promotion or tenure (all assistant and associate professors).
2. For all professors, including full professors, the Bylaws require that department heads evaluate faculty performance on an annual basis and provide "supporting data" for their recommendations. This implies that some form of annual teaching evaluation is required for all faculty, including full professors, for whom teaching constitutes a consideration in their merit/salary/reappointment reviews. The Bylaws do not specify that for full professors this evaluation must be done using SETs. If SETs are not used in merit/salary/reappointment recommendations, then some other form of teaching evaluation should be required to generate supporting data for this purpose.

**Conclusions and Recommendations:**

Based on the above information and the committee's deliberations, the FSC offers the following conclusions/recommendations:

**Overarching principle endorsed by the FSC:**

Teaching is an important part of UConn's mission, and SETs can provide valuable information that can be used to improve teaching performance. In addition, teaching performance needs to be subject to some sort of evaluation to determine how well individual instructors are contributing to the University's teaching mission. In other words, since "performance" includes teaching, performance evaluation requires an evaluation of teaching by some means, and the Senate has endorsed the principle that SETs should be used (perhaps in conjunction with other methods) to evaluate teaching. These conclusions support a recommendation that distribution of SETs be mandatory.

**MOTION:**

***Consistent with the By-Laws of the University of Connecticut and previous Senate endorsement of the formative and summative use of student evaluations of teaching (SETs), the system of evaluating teaching using SETs, which is overseen by the University Senate, is a mandatory system, the Senate moves to recommend that the By-Laws of the University of Connecticut, Article XIV, D.4. "Procedure for Reviews of Faculty" be amended to include:***

***c. Every instructor in every course receiving the SET forms must ensure that the forms are administered those forms and that the completed forms are returned to the designated office.***

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**University Senate Nominating Committee**  
**Nominating Slate for 2012-2013 Standing Committee Membership**  
**March 26, 2012**

<b>University Budget</b>	<b>Curricula &amp; Courses</b>	<b>Diversity</b>
* _____, Chair	*Eric Schultz, Chair	*Ann Hiskes, Chair
*Bansal, Rajeev	*Bedore, Pamela	*Bushmich, Sandra
*Becker, Loftus	*Chinchilla, Rosa	*Desai, Manisha
Brightly, Angela	*Darre, Michael	*Fernandez, Maria-Luz
*Caira, Janine	DePalma, Andrew	*Forbes, Robert
Clokey, David	*Finger, Anke	*Machida, Margo
*Fink, Janet	Hanink, Dean	Martinez, Maria
*Hussein, Mohamed	*Hubbard, Andrea	*McDonald, Deborah
Lin, Min	*Kaminsky, Peter	Price, Willena
*Mannheim, Philip	Labadorf, Kathy	Salorio, Eugene
Marsden, James	O' Donoghue, Maria Ana	Schipani, Pamela
*Martin, Jeanne	*Skoog, Annelie	*Sorrentino, Katherina
O'Brien, Corey		Stephens, Robert
*Scruggs, Lyle		
Stolzenberg, Daniel		
*Van Heest, Jaci		
*Zirakzadeh, Cyrus		
<b>Enrollment</b>	<b>Faculty Standards</b>	<b>Growth &amp; Development</b>
* _____, Chair	*Preston Britner, Chair	*Rachel O'Neill, Chair
*Barreca, Regina	*Aindow, Mark	Bird, Robert
*Beer, Diane	*Ammar, Reda	*Bontly, Thomas
*Bradford, Michael	*Armstrong, Lawrence	Borden, Tracie
*Ego, Michael	*Asencio, Marysol	*Faustman, Cameron
Gorbants, Eva	*Boyer, Mark	*Finger, Anke
Ndiaye, Mansour	*Mackay, Allison	Hastillo, Abigail
*Polifroni, Elizabeth	*Naples, Nancy	Hunter, Tim
*Ricard, Robert	*Ogbar, Jeffrey	*Jockusch, Elizabeth
*Rios, Diana	*Parks, Cheryl	*Kazerounian, Kazem
Rong, Yuhang	*Pratto, Felicia	Roe, Alexandria
*Salamone, John	Punj, Girish	*Schwab, Richard
Ulloa, Susana	*Teschke, Carolyn	Visscher, Pieter
Yakimowski, Mary	Williams, Cheryl	
*Yanez, Robert	*Williams, Michelle	
<b>Scholastic Standards</b>		<b>Student Welfare</b>
*Lawrence Gramling, Chair		*Lawrence Goodheart, Chair
Chambers, Kim		Bresciano, Karen
*Chazdon, Robin		Cowan, Susanna
Crivello, Joseph		Chambers, Kim
*Douglas, Gay		*Dominguez, Terry
*Gianutsos, Gerald		*Harris, Sharon
Gogarten, Johann Peter		Kennedy, Kelly
*Hamilton, Douglas		*Madaus, Joseph
*Higgins, Katrina		*McGavran, Dennis
*Hiskes, Richard		Morris, Corina
*Livingston, Jill		*Ogbar, Jeffrey
*Recchio, Thomas		*Sanner, Kathleen

# Annual Research Report

Suman Singha

Vice President for Research

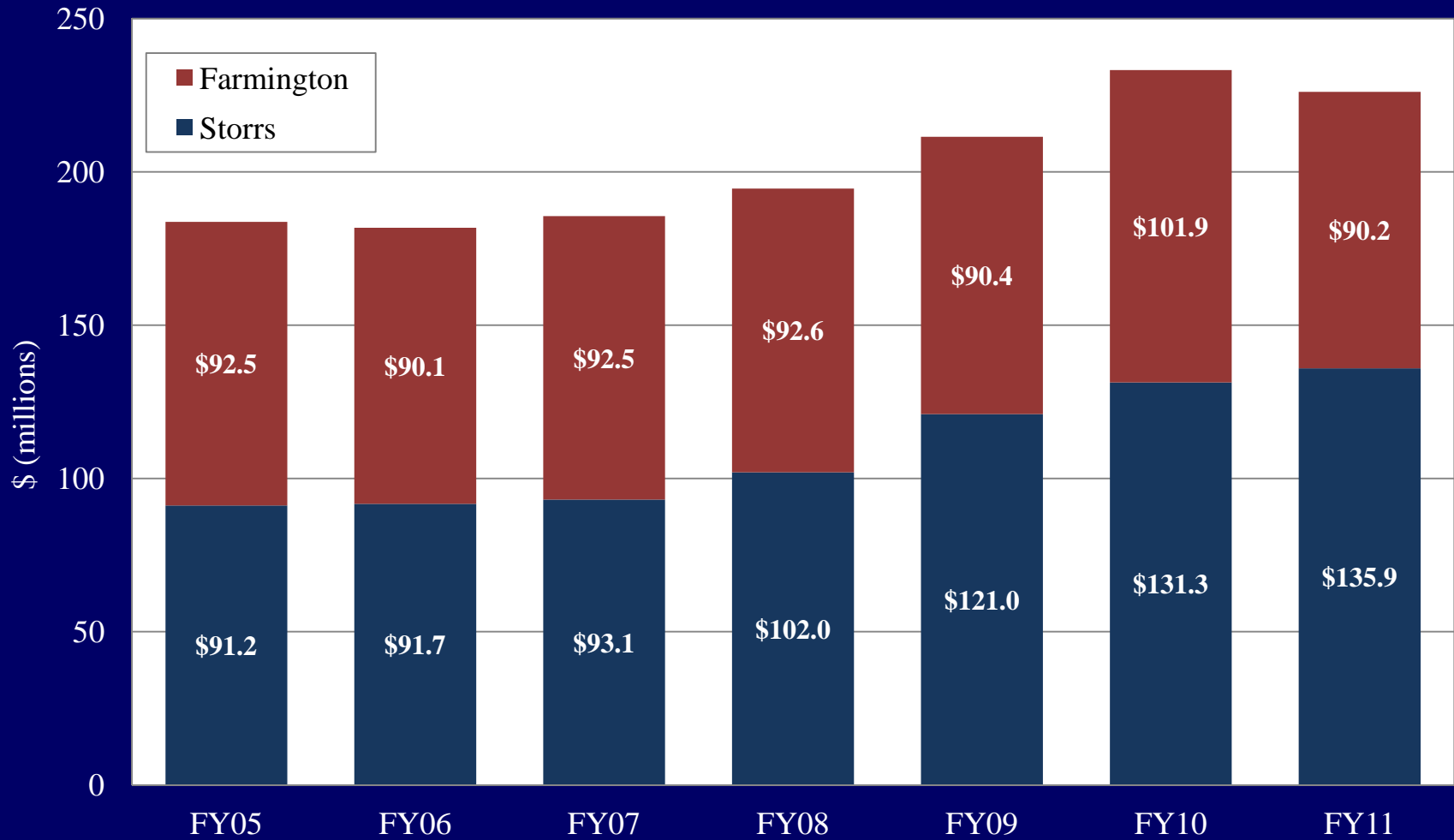
March 26, 2012



# Organizational Units

- Office for Sponsored Programs
- Office of Research Compliance
- Office of Animal Care
- Office of Internal Programs
- University Research Centers
- Biotechnology-Bioservices Center

# External Awards FY05 – FY11





University of  
Connecticut

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Vice President for Research  
*Office for Sponsored Programs*



2011 REPORT  
*of*  
SPONSORED PROJECT ACTIVITY

# External Awards FY05 – FY11

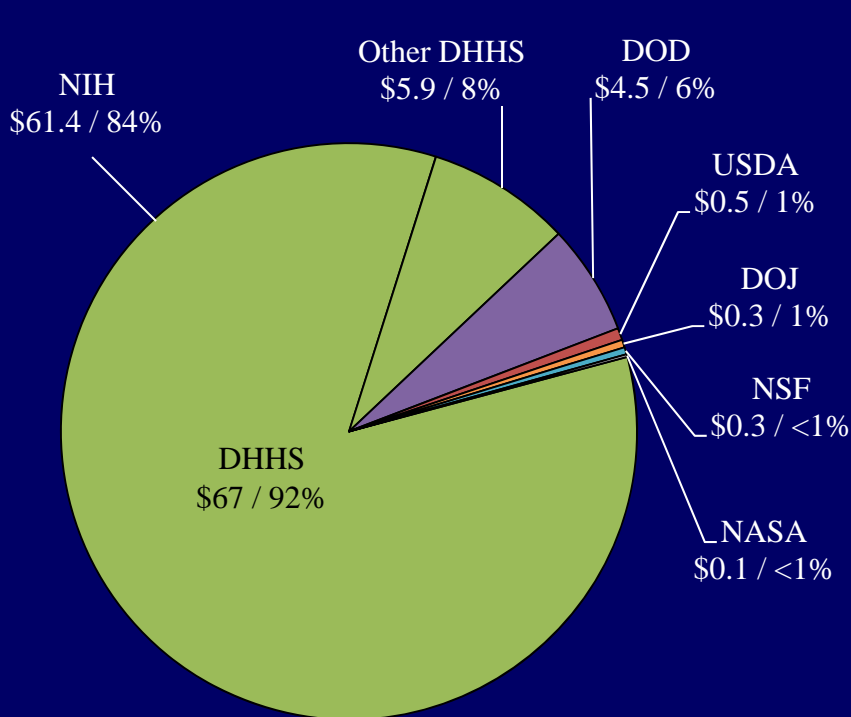
## UConn Storrs



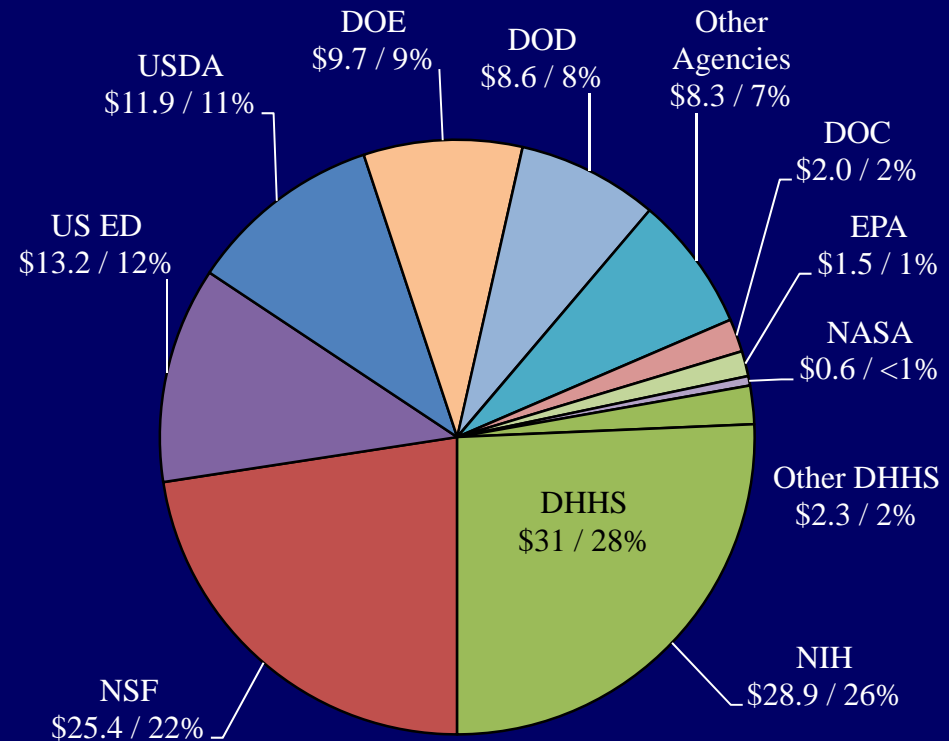
# Federal Awards by Agency FY11

(Total dollars in millions and percent)

## Farmington



## Storrs



\* USDA awards include formula funds (e.g. Smith-Lever and Hatch Act), which are distributed as individual awards to multiple PIs.



# Office of Research Compliance

## protocols reviewed FY11

IRB	1210
IACUC	263
SCRO	103
IBC	31

# Office of Animal Care

Provides for the care, health and welfare of over 8,000 animals housed in 40,556 square feet of animal facilities.

# Office of Internal Programs

- Faculty large grant competition
- Faculty small grants
- Interdisciplinary colloquia/seminar program
- Short-term guest professorships
- Faculty and graduate student travel
- UCHC/Storrs and Regional Campus Incentive Grants (UCIG)
- Limited submission opportunities

# Internal Program Support

## FY11

11/12 - A - 251

Program	Number of Awards	Award Amount
Large Grant	72	\$1.3M
Small Grant	49	\$62K
Interdisciplinary/Colloquia	23	\$32K
Guest Professorship	4	\$35K
Faculty Travel - UCRF	870	\$480K
Graduate Travel	267	\$184K
Extraordinary Expenses	29	\$14K
Doctoral Dissertation Fellowships	114	\$228K

# Research Centers and Institutes

- Center for Health, Intervention, and Prevention (CHIP)
- Center for Environmental Science and Engineering (CESE)
- Center for Regenerative Biology (CRB)
- Roper Center
- Connecticut Sea Grant

# Individualized Health Care

11/12 - A - 253

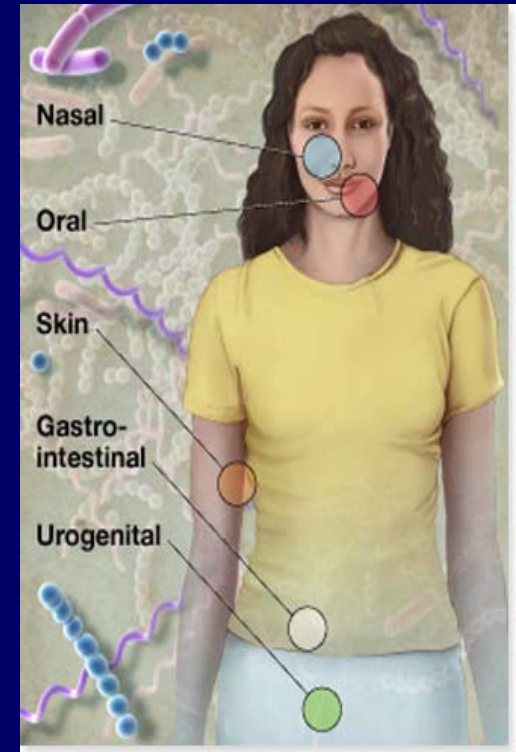
Linda D. Strausbaugh, PhD

Director, Center for Applied Genetics & Technology

Using the remarkable sequencing capabilities of the CAGT, it is possible to capture the DNA sequences of all bacteria and fungi present in the human body, including those that cannot be cultured and are unknown.

Projects include:

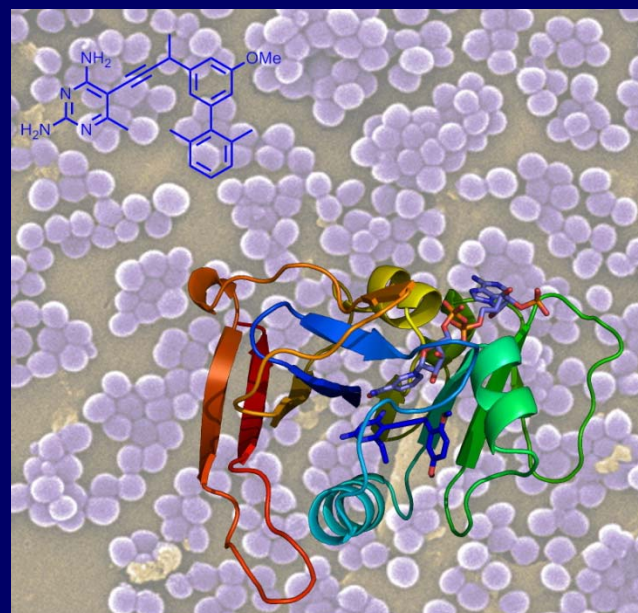
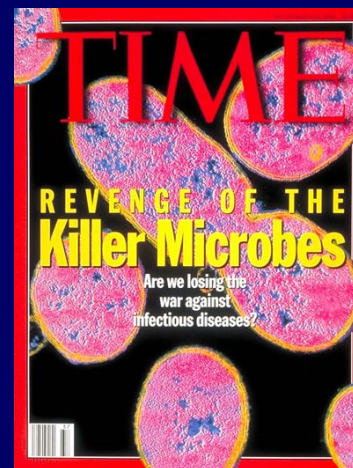
- Chemotherapy and the prevention of oral lesions  
*(with P. Diaz, School of Dental Medicine)*
- Personalized Approaches to Lifestyle: Genomics, Diet & Exercise  
*(with J. Volek, Neag School)*
- Personalized Molecular Medicine: Metabolic Syndrome  
*(with J. Volek and Hartford Hospital)*



# Overcoming Drug Resistance

Amy C. Anderson, PhD  
Pharmaceutical Sciences

- Drug resistance is a pressing world-wide health concern
- Using structures of proteins, it is possible to develop new therapeutics that overcome drug resistance
- Part of an NIH research portfolio to address problems in drug resistance



# Plant Molecular Mutation Breeding

11/12 - A - 255

Yi Li, PhD Plant Science



Development of sterile, non-invasive cultivars of burning bush. The plant has an annual sale of \$40-70M in the US, but is highly invasive.



Development of perennial ryegrass cultivars that require less mowing, fewer pesticides, and are drought- and shade-tolerant.



# Ethiopia-UConn Partnership for Sustainable Water Resources

Mekonnen Gebremichael, PhD  
Civil & Environmental Engineering

- One of only 11 partnerships selected by USAID for funding
- Focus on capacity building in African universities in critical development areas
- Currently have 42 graduate students in the new water resources engineering program at Addis Ababa University (AAU)
- Established the Ethiopian Institute of Water Resources at AAU - the first water research center in the country



# Transformational Initiatives

- Bioscience Connecticut
- Jackson Laboratory
- UConn Technology Park

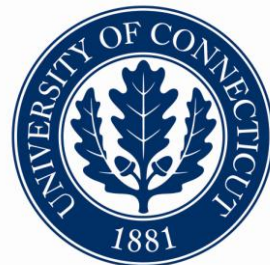


# University of Connecticut

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## Graduate School

Annual report to the University Senate  
26 March 2012



# Areas of emphasis

- Student success
- Research and economic development
- Philanthropy and fundraising
- Branding – Academic excellence



# Areas of emphasis

- Student success
- Research and economic development
- Philanthropy and fundraising
- Branding – Academic excellence

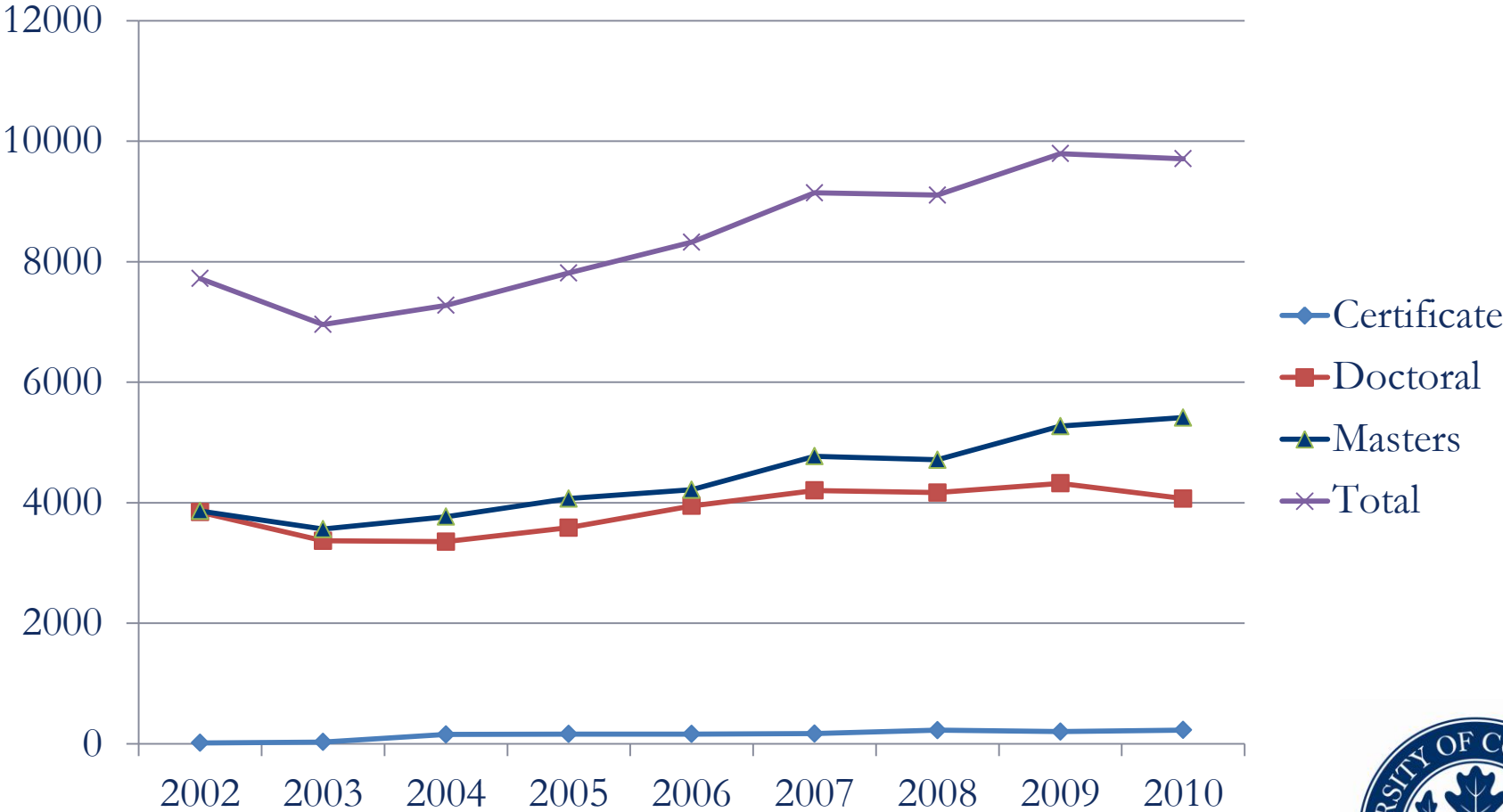


# Student success

- 100 subject areas
- 17 graduate degrees
  - 4 research doctorates
  - 2 clinical doctorates
  - 11 Masters



# Applications





# University of Connecticut

## Graduate School

Welcome to the University of Connecticut Graduate School's Online Application!

Please begin by clicking the Create Account button to receive a Username and Password so that you can begin your application. Please save this information so you can return to work on your application over several sessions. Your information is transmitted through a secured server and is kept confidential. Only after you submit your application will it be available for review by our admissions staff.

When you have completed your application and are ready to submit it, you can pay your application fees via credit card online or use PayPal. This information is located at the end of the application through the "payment" console. No application will be processed until the application fee is paid.

Please carefully read the instructions that appear throughout the application pages. You can only submit your application one time. If you have updates to any information you have submitted, please notify the admissions office at once.

To get started on your application, create an account to the right.

We look forward to reviewing your application.

### User Login

Please input your login information below to open your application.

User Name:   
Password:

**login**

[Forgot your User Name or Password?](#)

### Create Account

If you are new to our application and do not already have a User Name, please click on the button below to register for a new account.





# Electronic admissions

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www.glasbergen.com

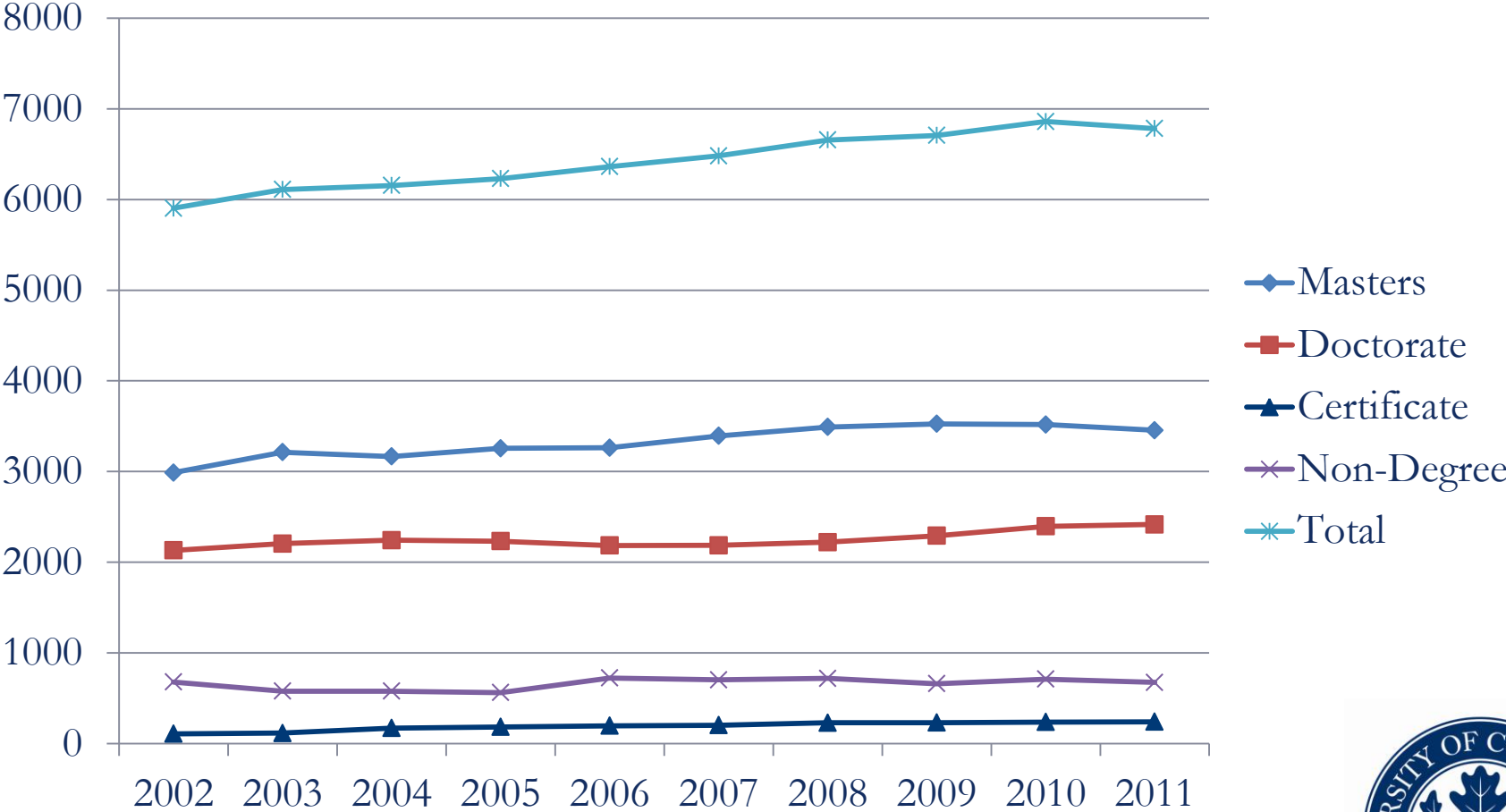


**“Look at the bright side...we’re still on the cutting edge of yesterday’s technology!”**

- Implemented Fall 2011
- 932 users reviewing applications
- Focus groups this summer



# Enrollment



# Programs

- New graduate certificate in Clinical and Translational Research at UCHC
- Memorandum of Understanding with Xi'an Jiaotong University for graduate programs in Engineering

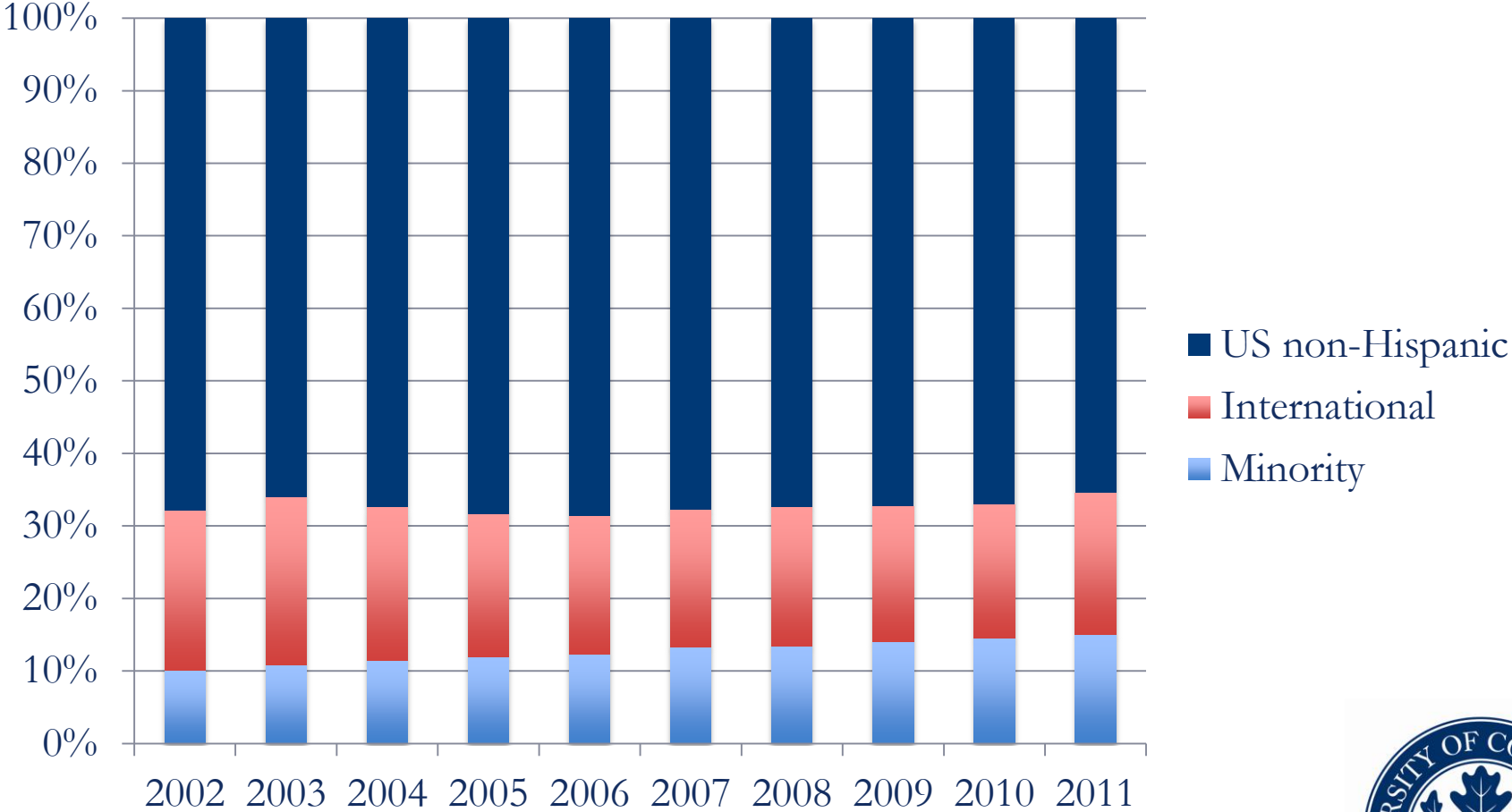


# Graduate Assistants

- Definition clarifies that GAs provide teaching or research support
- Otherwise, tuition payments over \$5250 subject to Federal income tax



# Diversity



# Diversity

- Multicultural Scholars Program
  - $\frac{1}{2}$  fellowship from Graduate School
- Outstanding Multicultural Scholars Program
  - $\frac{1}{2}$  fellowship from Graduate School
  - $\frac{1}{2}$  assistantship from Graduate School



# Diversity

- Part-time diversity specialist (through summer 2012)
- Full-time Special Assistant to the Dean for Diversity Programs
  - Search starting soon



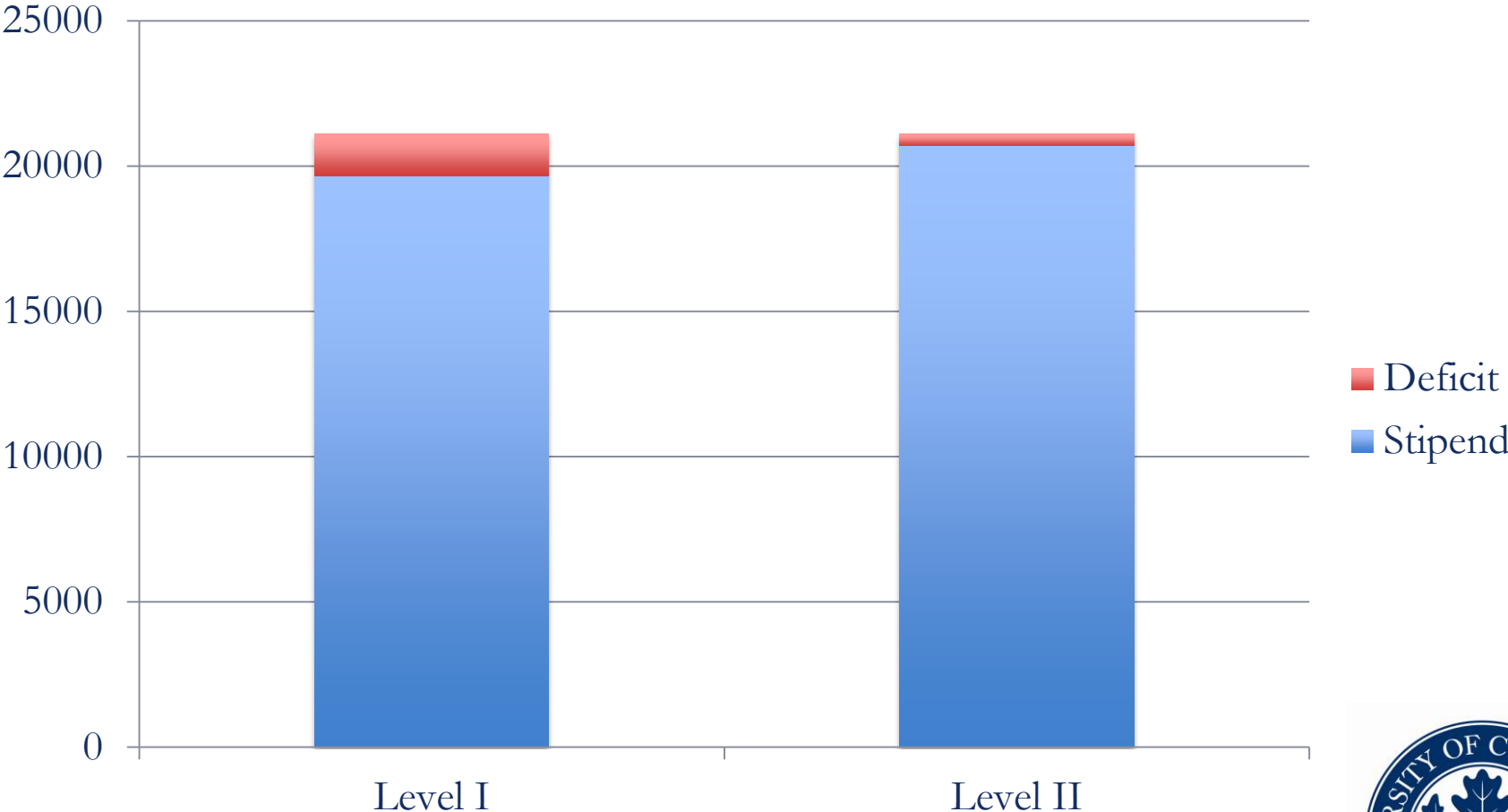
# International Students

- Graduate school must estimate cost of attendance to issue I-20
- Amount required: \$21,117

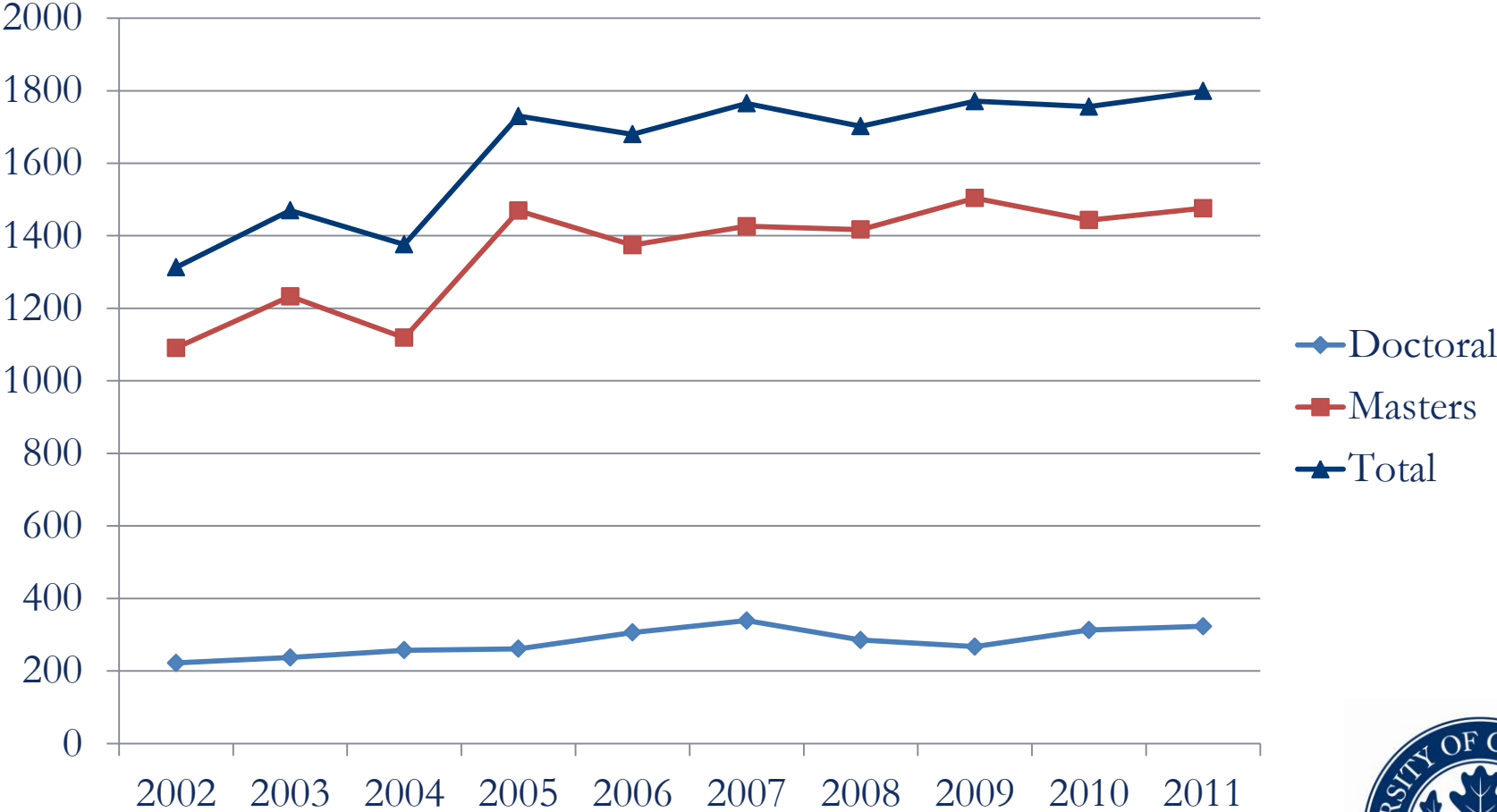




# International Students



# Degrees conferred



# On-line theses

- 208 Masters theses in Digital Commons
- Nearly 19000 full-text downloads to date
- On-line doctoral dissertations coming



# Electronic records

- FileNet and DataCap
- State of Connecticut Contract
- Starting with active files this summer



# Areas of emphasis

- Student success
- Research and economic development
- Philanthropy and fundraising
- Branding – Academic excellence



# Areas of emphasis

- Student success
- Research and economic development
- **Philanthropy and fundraising**
- Branding – Academic excellence



# Philanthropy

- Alfred P. Sloan Foundation Minority Ph.D. Program
- Andrew W. Mellon Foundation – Diversity Initiatives



Thank you!





## Annual Report to University Senate

## President's Athletic Advisory Committee (PAAC)

Spring 2012

As the PAAC reports directly to President Herbst, her appointment was an opportunity taken to revitalize the PAAC and enhance its efficiency and effectiveness.

The NCAA does not require a PAAC but if one is created, certain stipulations must be followed:

The NCAA by-laws state:

**“6.1.1 President or Chancellor.**

A member institution's president or chancellor has ultimate responsibility and final authority for the conduct of the intercollegiate athletics program and the actions of any board in control of that program.

**6.1.2 Athletics Board.**

A board in control of athletics or an athletics advisory board, which has responsibility for advising or establishing athletics policies and making policy decisions, is not required. However, if such a board exists, it must conform to the following provisions.

**6.1.2.1 Composition.**

Administration and/or faculty staff members shall constitute at least a majority of the board in control of athletics or an athletics advisory board, irrespective of the president or chancellor's responsibility and authority or whether the athletics department is financed in whole or in part by student fees. If the board has a parliamentary requirement necessitating more than a simple majority in order to transact some or all of its business, then the administrative and faculty members shall be of sufficient number to constitute at least that majority.”

Consistent with the above, the 2011-2012 PAAC will be composed of the following constituents:

- FAR
- Alumni representative
- Two elected senate representatives
- Five faculty/staff (one of whom is PAAC chairperson)
- Coalition on Intercollegiate Athletics (COIA) representative

The expectation is the 10 member committee will function as a committee of the whole and create task forces or ad hoc committees as needed.

The purpose of the PAAC has not changed. It is our responsibility to advise the President on all matters related to athletics including recreational services. Specifically, to:

1. Promote an understanding of the Division of Athletics' mission among all members of the University community;

2. Maintain and foster a clear commitment to academic integrity and institutional control as it applies to the Division of Athletics within the University;
3. Ensure a priority to the commitment to student-athletes' welfare;
4. Participate in, and provide advisory support for, the establishment, maintenance, and interpretation of Division of Athletics' policies and University policies as they pertain to student-athletes;
5. Provide counsel to the President, Provost, Board of Trustees, Director of Athletics, and University Senate concerning matters of athletic policy formation, budgetary planning, educational programming, staff development, and athletic scheduling;
6. Provide counsel to the Faculty Athletic Representative (FAR) in all matters pertaining to intercollegiate athletics; and
7. Participate in, and review the results of, periodic institutional self-study processes as appropriate, including the required NCAA certification process and the required review of the Counseling Program for Intercollegiate Athletes (CPIA).

Since our last report in Spring 2011, the PAAC has met eight times, meeting monthly during the academic year and as needed over the summer. President Herbst meets with the PAAC every other month and her Chief of Staff participates in the majority of PAAC meetings. The Athletic Director provides an update to the PAAC at each meeting and others are requested to provide reports as appropriate.

As in the past, this report is organized around the seven specific responsibilities of the PAAC and highlights are provided for each area.

*1. To promote an understanding of the Division of Athletics' mission among all members of the University community.*

To fulfill this responsibility, the PAAC hosts faculty/staff breakfasts and luncheons to share current events within athletics and to hear from members of the UConn community. Three events have been held this year with the next one scheduled for Friday April 13<sup>th</sup>. Conversations have centered on conference alignment, student athlete performance in the classroom, student athlete expectations of courses and behavior within courses, availability of courses, and travel schedules of student athletes. Facilities have also been discussed for student athletes and the student population in general.

Whenever possible, the Director of Athletics, The Faculty Athletic Representative, the PAAC chair & members, and CPIA director attend these sessions.

*2. To maintain and foster a clear commitment to academic integrity and institutional control as it applies to the Division of Athletics within the University.*

2010-2011 was the year of renewed and enhanced focus on academics and this continues into 2011-2012. The newly appointed Director of Athletics, Warde Manuel, has clearly expressed his commitment to excellence on the playing fields and within every classroom.

The Academic Progress Rate (APR) is consistently monitored. Of the 24 intercollegiate teams, all but one exceeded the NCAA standard of 925 for the annual APR. The Men's basketball team did not, and the required Academic Improvement Plan was submitted and reviewed by the NCAA. In November, additional metrics were required by the NCAA and these were provided. Additionally, class attendance is monitored for the men's basketball team and weekly progress is reported for every class.

*3. To ensure a priority to the commitment to student-athletes' welfare.*

In late Fall, the PAAC received a report of an analysis of the exit interviews for student-athletes from 2007-2011. The analysis highlighted areas of need such as additional programming on sexual education and alcohol & substance abuse, attention to academic counselors by team, and a continued commitment to academic support. The analysis also showcased the student-athlete's satisfaction with their decision to be a member of the UConn community, their sport and their educational programs.

PAAC members met with the SAAC (student athletic advisory committee) to discuss areas of student welfare. Housing (by teams and during summer/intercessions periods), locker rooms and study halls were discussed. Additionally, the student athlete representatives asked the PAAC to consider whether a student athlete should be a member of the PAAC and this will be discussed at the April meeting.

At a recent meeting, the issue of strength and conditioning activity as punishment was discussed by the COIA representative to PAAC. UConn operates a gold standard strength and conditioning program in collaboration with certified personnel, the Department of Kinesiology and Sports Medicine and the Division of Athletics. PAAC believes this program can serve as a model for other schools and colleges throughout the athletic world.

PAAC received a report from a concerned faculty member, Katherine Capshaw-Smith, about the University's affiliation with WTIC and the broadcast of the Rush Limbaugh show wherein he used inappropriate language and name calling to a women who provided testimony in a hearing on contraception. The PAAC recommended to President Herbst to examine the processes by which all external contracts and affiliations regarding student athletes and the Division of Athletics are pursued and monitored, and to seek ethical and legal counsel as to whether the name calling constituted a hate crime, and if it did, does this violate the UConn code of conduct and ethics expected of its employees and contracted agencies.

*4. To participate in, and provide advisory support for, the establishment, maintenance, and interpretation of Division of Athletics' policies and University policies as they pertain to student-athletes.*

The NCAA rules and regulations are complex and plentiful. The PAAC has received and monitored compliance reports from the Department of Compliance within the Division of Athletics as well as received NCAA updates from the Faculty Athletic Representative, Scott, Brown. Two major issues have been addressed this year by the NCAA and PAAC in regard to multi-year contracts for student-athletes and up to a \$2000 student for student-athletes.

*5. To provide counsel to the President, Provost, Board of Trustees, Director of Athletics, and University Senate concerning matters of athletic policy formation, budgetary planning, educational programming, staff development and athletic scheduling.*

2011-2012 provided a challenge to student-athlete from a scheduling perspective with the revised academic calendar. PAAC worked with the Counseling Program for Intercollegiate Athletics' (CPIA) Director, Bruce Cohen,

to minimize the impact of the schedule. The conference re-alignment will pose additional schedule challenges and these are being addressed at the current time.

*6. To provide counsel to the Faculty Athletic Representative (FAR) in all matters pertaining to intercollegiate athletics.*

Scott Brown is the UConn representative to the NCAA and serves as our FAR. The PAAC receives a reports from him at every meeting and advises him on responses to NCAA governance and related requests. The FAR responsibilities include being an ambassador between two different worlds: academics and athletics. Dr. Brown chairs a SWAT (student-athlete welfare and academic team) which meets bi-monthly to address issues that relate to student-athletes such as summer offerings, registration and appropriate advisement.

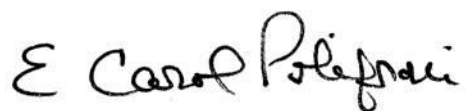
*7. To participate in, and review the results of, periodic institutional self-study processes as appropriate, including the required NCAA certification process and the required review of the CPIA.*

In 2011, an internal review of CPIA was completed by the then Academic Sub-Committee of PAAC. Three areas requiring further examination were defined: study hall facilities, tutor recruitment and training, and an evaluation of the success of the then newly hired learning specialist.

In March 2012, an external review of CPIA is being conducted by the Provost's office through the process of review utilized for all Centers and Institutes. The PAAC chair and FAR will meet with the external reviewers and the PAAC will receive the report.

As a small operating committee, the PAAC has met its purposes and enhanced a university commitment to student-athletes. PAAC facilitated the presence of faculty at the basketball game where student-athletes were recognized for their academic success. PAAC members have made a commitment to be visible at athletic events to support our student-athletes. PAAC looks forward to working with Athletic Director Warde Manuel and continuing our provision of advice and counsel to President Herbst.

Respectfully submitted,

A handwritten signature in black ink that reads "E Carol Polegnaci". The signature is written in a cursive style with a large initial "E".