

January 2008

Minutes January 22, 2008

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**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

January 22, 2008

OPEN SESSION

The meeting was called to order at 11:08 a.m. by Chairman John Rowe. Trustees present were: Louise Bailey, Philip Barry, Gerard Burrow, Peter Drotch, Ross Gionfriddo, Lenworth Jacobs, Jr., Michael Martinez, F. Philip Prelli, Thomas Ritter, Wayne Shepperd, and Janine Braun, who represents the Governor's Office.

Trustees Michael Bozzuto, Andrea Dennis-LaVigne, Linda Gatling, Rebecca Lobo, Joan McDonald, Denis Nayden, Michael Nichols, Mark McQuillan, and Richard Treibick were absent from the meeting.

University staff present were: President Hogan, Provost and Executive Vice President for Academic Affairs Nicholls, Executive Vice President for Health Affairs Deckers, Vice President and Chief Financial Officer Aronson, Vice President and Chief Operating Officer Feldman, Vice President for Student Affairs Saddlemire, Vice Provost for Academic Administration Singha, Vice Provost for Undergraduate Education and Regional Campus Administration Makowsky, Vice Provost for Enrollment Management Evanovich, Vice Provost for Research and Graduate Education Anderson, Senior Associate to the President and Chief of Staff Troyer, Associate Vice President of Administration and Operations Services Callahan, Associate Vice President for Public and Environment Safety Hudd, Chief Audit and Compliance Officer Walker, Director of Compliance Rubin, Director of University Relations Brohinsky, Associate Vice President of Architectural and Engineering Services Bradley, Associate Vice President for Human Resources and Payroll Services Munroe, Chief Financial Officer DeTora, Athletic Director Hathaway, Special Assistant to the President Rhodes, Health Center Chief Financial Officer Upton, Health Center Chief of Staff Carlson, Senate Representatives Nancy Bull and Andrew Moiseff, Dr. Schurin, and Ms. Locke.

Assistant Attorney Generals Ralph Urban, Michael Sullivan, and UConn Foundation President John Martin were also present.

Also in attendance was Ms. Patricia Hassett, who assists Dr. Rowe.

EXECUTIVE SESSION

On a motion by Dr. Burrow, seconded by Mr. Shepperd, **THE BOARD VOTED** to go into Executive Session at 11:10 a.m. to discuss pending litigation and claims; commercial or financial information given in confidence; potential real estate purchases or leases; and personnel matters. The Chairman noted that on the advice of counsel only staff members whose presence was necessary to provide their opinion would be permitted to attend Executive Session.

Trustees present were: Bailey, Barry, Burrow, Drotch, Gionfriddo, Jacobs, Martinez, Prelli, Ritter, Rowe, Shepperd, and Braun, who represents the Governor's Office.

Assistant Attorneys General Ralph Urban and Michael Sullivan, and UConn Foundation President John Martin (present for part of the meeting) were also present.

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Also in attendance were: Ms. Patricia Hassett, who assists Dr. Rowe, Attorney Laurann Askloff, from the firm Gordon, Muir, and Foley, LLP (present for part of the meeting), Assistant Attorney General Nancy Brouillet (present for part of the meeting), and Assistant Attorney General Margaret Chapple (present for part of the meeting).

OPEN SESSION

Executive Session ended at 12:15 p.m. The Board returned to Open Session at 1:00 p.m. Vice Provost for Multicultural and International Affairs Taylor, and Senate Representatives Gary English, Karla Fox, Kent Holsinger, and Donna Korbel joined the meeting at this time.

Except where noted all actions taken were by unanimous vote of the Trustees present.

Reconvene in Open Session at 1:00 p.m.

1. Public Participation

The following member of the public addressed the Board on the topic noted:

- David Crouse, Graduate Student Academic Integrity – On-line courses

Mr. Crouse expressed concern regarding academic honesty and integrity as it pertains to the increase of on-line courses. He supports on-line courses as they allow many students to take classes who otherwise would not have the opportunity in a traditional setting. His concern was that some students may not be doing the work themselves, especially in cases where on-line courses do not require final examinations in person. There are a number of on-line courses where this would not be an issue. Mr. Crouse suggested that the administration evaluate certain types of on-line coursework requirements to maintain academic integrity. He said that many institutions require that students take exams in person. Mr. Crouse also asked the administration to consider, in the event that UConn would not require that final exams be taken in person either at Storrs or at a participating institution, that there be a comprehensive final at the end of the degree program, to ensure the authenticity of the work that is completed.

2. Chairman's Report

- (a) Matters outstanding

Chairman Rowe announced that the inauguration of President Hogan as the University's fourteenth president will be held on Sunday, April 13, 2008 at the Jorgensen Center for the Performing Arts. There will be several campus activities following the ceremony.

Chairman Rowe said that he sent out materials in response to a letter he received from Governor Rell requesting that the Board members receive information regarding their statutory duties and responsibilities as members of the Board. It is his understanding that similar requests were made for all other boards of various institutions around the state.

Chairman Rowe reported Mr. Michael P. Meotti has been appointed the new Commissioner of Higher Education succeeding Valerie Lewis.

- (b) Board recognitions

Chairman Rowe directed Trustee attention to a resolution recognizing the passing of former UConn President Glenn W. Ferguson. The resolution expresses the Board's appreciation for his outstanding service and offers the Board's sincere condolences to his family.

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On a motion by Dr. Jacobs, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the resolution recognizing former UConn President Glenn W. Ferguson.

GLENN W. FERGUSON

WHEREAS, the Board of Trustees learned with great sorrow of the death of former University of Connecticut President Glenn W. Ferguson; and

WHEREAS, President Ferguson served the University with great distinction from 1973 to 1978, a period marked by significant economic, academic and social challenges; and

WHEREAS, President Ferguson worked assiduously to uphold the University's commitments to access, equity, and academic quality, often fighting against great odds to preserve the University's autonomy and integrity; and

WHEREAS, despite great obstacles, the University made significant strides during President Ferguson's tenure, with the adoption of new programs and the construction of new facilities; and

WHEREAS, President Ferguson's service at the University of Connecticut represented only one aspect of an extraordinarily distinguished career as a diplomat, senior official of the federal government, and leader of other major educational and cultural institutions;

NOW THEREFORE BE IT HEREBY RESOLVED, that the Board of Trustees of the University of Connecticut notes its deep regret at President Ferguson's passing, expresses its appreciation for his outstanding service, and sends its sincere condolences to his family.

Chairman Rowe directed Trustee attention to a resolution recognizing the resignation of Executive Secretary to the Board Dr. Ronald C. Schurin.

Chairman Rowe noted that Dr. Schurin has served this Board very effectively during a very significant period of time. He is stepping down from his responsibilities as Executive Secretary to the Board and moving back to the faculty. Given the very significant contributions that he has made, Chairman Rowe read the following resolution.

RONALD C. SCHURIN

WHEREAS, Dr. Ronald C. Schurin has announced that he will be leaving his position as Executive Secretary to the Board of Trustees and Executive Assistant to the President of the University of Connecticut in order to return to his teaching and writing career in Political Science;

WHEREAS, Dr. Ronald C. Schurin has served the Board of Trustees with honor and distinction for ten years, having been appointed Executive Secretary to the Board of Trustees and Executive Assistant to the President on March 30, 1998;

WHEREAS, Dr. Ronald C. Schurin's tenure in the Office of the President, beginning on July 7, 1997 when he assumed the position of Special Assistant to the President for Policy Analysis, has been distinguished by outstanding commitment and strong loyalty to Presidents Philip E. Austin and Michael J. Hogan, and Board Chairmen John W. Rowe, M.D. and Roger A. Gelfenbien;

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WHEREAS, Dr. Ronald C. Schurin has served the University of Connecticut capably and honorably in many capacities, as an administrator, as a teacher, as a community facilitator, and has provided guidance and leadership on many occasions when difficult issues arose;

WHEREAS, Dr. Ronald C. Schurin has been a forceful and effective advocate for this institution, ever mindful of the needs of students and faculty, always committed to the pursuit of quality, and consistently dedicated to enhancing the University's capacity to fulfill its educational, research, and service missions to the citizens of the State of Connecticut;

THEREFORE BE IT RESOLVED, That the Board of Trustees records its deep appreciation to Dr. Ronald C. Schurin for his exemplary service to the Board and to the University of Connecticut, expresses its gratitude for his years of service in the administration of the University, and wishes him and his wife Emily the very best as he continues his outstanding career as a member of the University of Connecticut faculty.

Chairman Rowe announced that after the Board meeting there will be a reception in Dr. Schurin's honor at the President's residence. The Board will have an opportunity to thank him personally. Former President Austin will also be joining the Board.

On a motion by Mr. Drotch, seconded by Mr. Shepperd, **THE BOARD VOTED** to approve the resolution recognizing former Executive Secretary to the Board Dr. Ronald C. Schurin.

- (c) Minutes of the meeting of December 4, 2007

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve the minutes of the meeting of December 4, 2007.

- (d) Recommendations for Designation as Board of Trustees
Distinguished Professor, Academic Year 2007-2008

(Attachment 1)

Chairman Rowe said that each year the Board has the opportunity to recognize and designate faculty, who have achieved exceptional distinction in scholarship, teaching and service, as Board of Trustees Distinguished Professors. The Selection Committee considers applicants each year as a result of a peer review process. This year three individuals have been selected.

Provost Nichols briefly introduced to the Board each of the faculty members: Professors Richard Bass, Cheryl Tatano Beck, and Dipak Dey. Each made a brief presentation of their research activities. Copies of their presentations are attached to the file copy of the Board minutes.

Provost Nichols first introduced Professor Richard F. Bass, who is a Professor of Mathematic at Storrs and a winner of the University of Connecticut's 2001 Chancellor's Research Excellence Award.

Dr. Bass has an international reputation for his research in probability theory and uses innovative probabilistic techniques to obtain important results in harmonic analysis, partial differential equations, and many other areas of mathematics. He has spoken at the International Congress of Mathematicians in 1994. He is an outstanding graduate instructor and has supervised the work of many doctoral students, and currently has six working with him. He has been one of the prime developers of the Master's Degree in Applied Financial Mathematics.

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Provost Nicholls went on to introduce Professor Cheryl Tatano Beck in the School of Nursing. Dr. Beck is one of the pre-eminent scholars in the field of postpartum depression. She has conducted the definitive research on the experience predictors and consequences of postpartum depression. She is widely cited with 120 publications and many of these translated into multiple languages. Her Post Partum Depression Screening Scale is used worldwide. Dr. Beck has also been elected to a fellowship in the American Academy of Nursing, the highest honor for U.S. nurses. Dr. Beck is also a prolific graduate student advisor with six Ph.D. graduates and has served as an associate advisor to 30-40 past graduates. Dr. Beck is an author of several books and she also guides the School of Nursing undergraduate Honors Program. Provost Nicholls added that Dr. Beck's honors advisees are frequent recipients of awards at national research meetings.

Vice-Chair Jacobs acknowledged Dr. Beck's work and as a colleague. She has contributed greatly this field and has helped so many women with post partum depression. She epitomizes what this distinction represents.

Provost Nicholls then introduced Dr. Dipak Dey, who is a Professor of Statistics and is Head of the Department. Dr. Dey is currently chair of the Section of Bayesian Statistical Science of the American Statistical Association. His publication record includes over 170 refereed journal articles and book chapters in various statistical and interdisciplinary journals as well as five co-authored books and edited volumes. His research includes statistical methodology and applications involving categorical and longitudinal data. He is also a prolific Ph.D. student advisor and has supervised 19 students. He has presented over 140 talks at professional meetings and at other universities around the world.

On a motion by Dr. Jacobs, seconded by Mr. Drotch, **THE BOARD VOTED** to accept the recommendation of the Distinguished Professor Selection Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: Richard Bass (Department of Mathematics), Cheryl Tatano Beck (School of Nursing), and Dipak Dey (Department of Statistics).

A separate ceremony will be held in their honor at a later date.

(e) Consent Agenda Items:

On a motion by Mr. Martinez, seconded by Mr. Shepperd, **THE BOARD VOTED** to approve the Consent Agenda items.

- (1) Contracts and Agreements for the Storrs-based programs and the Health Center (Attachment 2)
- (2) Bachelor of Arts Degree in African American Studies in the College of Liberal Arts and Sciences (Attachment 3)
- (3) Notification of Proposed Amendments to the *University of Connecticut Laws and By-Laws* (Article XV.C.4, Academic Appointment and Tenure) (Attachment 4)
- (4) Notification of Proposed Amendments to the *University of Connecticut Laws and By-Laws* (Article XV.K.5.d, Leaves of Absence) (Attachment 5)

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- (5) Renaming the Ph.D. in Sport, Leisure and Exercise Science to Ph.D. in Kinesiology in the Neag School of Education (Attachment 6)
- (f) Personnel matters (Storrs-based programs) (Attachment 7)
 - (1) Designation of Emeritus Status

On a motion by Mr. Martinez, seconded by Mr. Barry, **THE BOARD VOTED** to approve the designation of emeritus status to the following faculty members effective January 1, 2008:

1. Gordon, Rae-Beth, Professor, Department of Modern and Classical Languages
2. Koerner, Beverly L., Professor, School of Nursing
3. Phillips, Robert L., Professor, Department of Philosophy
4. Villemez, Wayne J, Professor, Department of Sociology

- (2) Informational matters

3. **President's Report**

President Hogan congratulated the newly designated Board of Trustees Distinguished Professors. They join a long list of previously approved faculty members and represent the overall quality of our very able faculty.

President Hogan introduced two new members of the faculty – Dr. P. Christopher Earley, Dean, School of Business and Dr. Mun Choi, Dean, School of Engineering. Dr. Earley comes to UConn from the Business School at the National University of Singapore, where he held both an endowed Chair and served as Dean. Prior to that, he was Chair and Professor of Organizational Behavior at the London Business School.

President Hogan also introduced to the Board our new dean of the School of Engineering, Dr. Mun Choi. He comes to us from Drexel University, where he served as Associate Dean for Research and Graduate Studies in the College of Engineering and was also head of the Department of Mechanical Engineering and Mechanics.

The School of Engineering is well-positioned to make further contributions in areas directly related to Dean Choi's areas of interest, including energy and combustion among others. His research has been funded by all the key federal agencies.

President Hogan expressed his gratitude to Provost Nicholls and the members of the search committees for their efforts in recruiting both candidates.

- (a) Award of University Medal

President Hogan directed Trustee attention to the recommendations to award University Medals to Mr. Samuel Kalmanowitz, a distinguished alumnus of the School of Pharmacy, and to Ms. Claire R. Leonardi, an outstanding former member of the Board of Trustees and the Health Center Board of Directors.

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Both nominations were reviewed and approved without dissent by the Committee on Honors and Awards and are strongly supported by the administration.

On a motion by Dr. Burrow, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the award of the University of Connecticut Medal to Samuel Kalmanowitz, UConn Class of 1961.

On a motion by Dr. Burrow, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the award of the University of Connecticut Medal to Claire R. Leonardi.

Chairman Rowe added that Ms. Leonardi has made significant contributions to the University as President Hogan mentioned, but among them was the fact that she was the founding Chair of the Health Center Board of Directors, which was a very significant governance change that occurred a few years ago. Dr. Burrow has succeeded her as Chair. At the outset, it was a very significant amount of effort to establish a new Board and a new set of governing principles and procedures. The Board is very grateful to Ms. Leonardi, who continues to be very helpful to the University.

Vice-Chair Ritter noted that most Board members are not aware that in 1995 Ms. Leonardi was asked to testify on behalf of the University at the first public hearing on UCONN 2000. He said that she did a superb job.

- (b) Notification of Proposed Amendments to the *Laws, By-Laws, and Rules of the University of Connecticut* (Attachment 8)

President Hogan directed Trustee attention to the *University of Connecticut Laws and By-Laws* in Attachment 8, noting that in accordance with our standard practice, these changes are laid on the table at this meeting for approval at the subsequent meeting in February. These changes are related to the proposed reorganization that the Board discussed in December. They place the academic components of the Health Center—the Schools of Medicine and Dental Medicine—under the direction of the University Provost. The other aspects of the Health Center will be led by a Vice President for Health Affairs. It is anticipated that this individual will also serve as Dean of the School of Medicine.

Second, the changes create a position of Vice President for Research, who will have the responsibility for research across the University, both at the Storrs-based programs and at the Health Center. This will replace the existing position, at Storrs, of Vice Provost for Research. We anticipate that this individual will also serve as Dean of the Graduate School.

Since before the December Board meeting, President Hogan noted, he has met with key constituencies and received widespread support. The changes make sense for a number of reasons, but most importantly they serve to strengthen of our research infrastructure and our ability to facilitate opportunities for cross-disciplinary and cross-college collaborations both in research and teaching.

The Bylaws amendments make the technical revisions that facilitate this change, and the substantive portions appear in Article VIII, beginning on page 7 of the attachment. When the Bylaws were reviewed to make these specific changes, it was noted that many other areas are somewhat outdated and will need to be revised at a later time. The changes before the Board today deal only with the vice presidential issues discussed earlier. A small committee has been appointed a small committee to review the Bylaws in greater detail.

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On a motion by Dr. Rowe, seconded by Ms. Bailey, **THE BOARD VOTED** to approve notification of the proposed amendments to the *Laws, By-Laws and Rules of the University of Connecticut*.

Chairman Rowe reiterated that this is the first reading along with the two other changes under the Consent Agenda. He asked that if any Board members had any questions or concerns in the interim to contact him or President Hogan before the next meeting.

(c) Academic Plan update

Provost Nicholls updated the Board on the status of the Academic Plan. He reported that he expects to provide a detailed presentation at the Academic Affairs meeting, and subsequently at the full meeting of the Board in April. He stressed that there has been in-depth discussion with faculty, staff, and students in both the development of the Plan and its implementation. As part of the proposed reorganization that President Hogan recently addressed, it is critical to integrate the Health Center into the Plan.

Provost Nicholls presented a brief PowerPoint presentation. A copy of the handout is attached to the file copy of the Board minutes.

Chairman Rowe thanked Provost Nicholls for his efforts and reaffirmed that this work represents the single most important initiative currently underway. He assured him and his colleagues of the Board's continued commitment. Chairman Rowe stressed that we improve the academic quality of the University not only when we add or strengthen programs, but also when we eliminate weak or non-competitive programs. The Board is fully aware that these decisions are difficult but very important. They look forward to additional reports.

(d) Other items

President Hogan noted that Dr. Ronald Schurin will be leaving his position after today's meeting, but he will remain part of the community as a faculty member in the Department of Political Science. Dr. Schurin has been a valuable asset to the Board and has given many dedicated years of service to the President's Office. President Hogan extended his sincere appreciation to Dr. Schurin for being a wonderful colleague for the last five months and for helping him facilitate his transition and introduction into the University community.

President Hogan said that Dr. Schurin will not be easy to replace and it will take two people to accomplish those tasks. He was Executive Secretary to the Board and also Chief of Staff to the President. President Hogan indicated that his intent was to split the two positions. President Hogan introduced Dr. Lisa Troyer, who came with him from Iowa and is now functioning as Chief of Staff. President Hogan also introduced Mr. Stephen Rhodes, Special Assistant to the President, who also came with President Hogan from Iowa.

After consulting with Dr. Rowe, President Hogan asked Ms. Donna B. Munroe, Associate Vice President for Human Resources and Payroll, to assume the position of Executive Secretary to the Board position effective immediately.

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Ms. Munroe joined UConn as an Associate Vice President for Human Resources and Payroll in August, 2004. She brought to that role over 20 years of experience in faculty and staff human resources and labor relations with both public and private institutions of higher education. She began her career in human resources at the University of Connecticut Health Center. From there she was appointed human resources manager at the Yale School of Medicine. Following Yale, she became the chief human resources officer at Central Connecticut State University.

Ms. Munroe is currently Treasurer of the Academy of Academic Personnel Administrators (AAPA), a member of the College and University Professional Association for Human Resources (CUPA-HR) and the Society for Human Resource Management (SHRM).

Ms. Munroe earned a B.A. in Psychology at the University of New Hampshire, a Masters degree in Rehabilitation Counseling at UConn and continued graduate study in UConn's MBA Program.

Most importantly, Ms. Munroe was staff to the search committee for the president of the University.

4. Academic Affairs Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Jacobs gave the report of the Academic Affairs Committee, which met this morning. The Committee reviewed and approved this year's recommendations for Board of Trustees Distinguished Professor.

The Committee received a brief overview of the Bachelor of Arts Degree in African American Studies, which was approved under the Consent Agenda. This will become the first degree in African American studies offered at a public institution in Connecticut. The Committee felt that this was extremely noteworthy.

Mr. Lee Melvin, Director, Undergraduate Admissions Office gave a brief presentation on The Guaranteed Admissions Program (GAP). The GAP is an agreement between the Connecticut Community College System and the University of Connecticut designed for students who enroll in a Liberal Arts transfer program at one of Connecticut's community colleges.

Dr. Jacobs reiterated the importance of the Academic Plan and expects to have a more detailed discussion in the coming months.

5. Financial Affairs Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Drotch gave the report of the Financial Affairs Committee. He stressed the University Policy on Incubation Company leasing with respect to facilities that the University owns that would be leased to start-up and entrepreneurial organizations.

(b) Items requiring Board discussion and approval:

- (1) University Policy on Incubation Company Leasing (Attachment 9)

Dr. Rowe, seconded by Dr. Jacobs, moved to approve the proposed University Policy on Incubation Company Leasing.

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Trustee Prelli said that he was not present during the earlier discussion in the Financial Affairs Committee, but is concerned about the leasing policy. He said that there are several businesses at the regional campuses, because they offer something for businesses in the State. His concern is that this policy would redirect the focus at the Regional Campuses away from general business to only research. He said it was not clear to him if he was misreading the intent or if he had received incorrect information. He did not want to move away from supporting small entrepreneurial businesses.

Vice-Chair Drotch asked President Hogan to comment.

President Hogan said that he was familiar with the issue Trustee Prelli was referring to. He said that he was not familiar with the details of the original agreements for these companies in terms of how they were placed, what assurances they were given, and whether they would be allowed to occupy those spaces for an indefinite period of time. Most universities run incubator projects where they help businesses get launched and then they become responsible for their own progress and upkeep. President Hogan said that he formed a committee to reinvestigate all the documentation concerning these companies' original formation and location on campus. The administration will be guided in part by the recommendations the committee makes, which may be brought to the Board if necessary.

President Hogan stressed that the University plays an important role in the economic development of the State. We are also committed to the institution's research enterprise. Some current companies occupy prime research space that could be utilized to increase the research funding. These funds could develop new partnerships with business, thus helping the State.

President Hogan agreed to update the Board on the final outcome.

Chairman Rowe reiterated that Trustee Prelli's concern was not so much about the companies located on our campuses, but with the role the University can play to foster economic development and entrepreneurship. Chairman Rowe cited the importance of our Center for Entrepreneurship and the University's publication, *The Connecticut Economy*.

Trustee Prelli agreed. He was concerned that promises were made to some businesses when the University set up facilities at Regional Campuses. He was hopeful going forward that there might be space identified for business ventures. He also suggested that they establish internships that were not necessarily tied to research, but to learning activities. The regional campus he is most familiar with is Torrington, which has prime land opportunities, for example, a new education facility for the Extension Service. Trustee Prelli was concerned that the University was going to move away from those types of partnerships with business and wanted to make sure that by approving this policy that would not happen.

Chairman Rowe said that was a good caution. He cited the University's important relationships with the local business community, such as with GE at the Stamford Campus. He asked President Hogan to take this into account in his report.

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President Hogan confirmed that it was important to confirm the terms of engagement.

Vice-Chair Drotch directed Trustee attention to the first bullet under the policy, which stated that “all leases must be approved by the Board of Trustees.” He suggested that leases under this policy be clearly identified, so that they are not overlooked.

THE BOARD VOTED to approve the proposed University Policy on Incubation Company Leasing.

Trustee Prelli voted in opposition.

Vice-Chair Drotch noted that the project budgets for approval in attachments 10-16 represent next stage approvals of projects that had been previously presented and approved. The Committee held an extensive discussion about the University-wide FY 07 Closeout and FY 08 Six Month Update in Item 11 of the Financial Affairs Agenda. It represents a summary of the FY 2007 financials for Storrs and the Regional Campuses and a separate presentation for the Health Center year end financial results.

The final discussion focused on the six-month update of the financial results through December 31, 2007. The presentation was in two parts – Storrs/Regional Campuses and the Health Center. The variances through the six months and their impact on the full year were discussed. The forecast for Storrs and the Regional Campuses was close to the current budget, but the Health Center has been impacted by a significant change in the payor mix (less Medicare patients and more Medicaid patients). They received an extensive presentation on steps being taken to maximize revenue opportunities and to ensure that all costs are subject to appropriate controls. Vice-Chair Drotch recognized that the Health Center has done a tremendous job over the past several years in terms of cost containment and other initiatives.

Chairman Rowe recognized the importance of surgical programs, particularly in smaller hospitals, such as John Dempsey. As was mentioned earlier, there has been a very significant increase in surgical volume this month, which may by the end of the month represent an all-time high for the Hospital. This represents a very positive sign during a very challenging time for the Health Center. Dr. Deckers and his colleagues have made important investments in the Signature Programs and other efforts as a means to strengthen the overall mission.

Vice-Chair Drotch asked the Board for a collective vote on Attachments 10 through 16. On a motion by Mr. Drotch, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the project budgets in Attachments 10 through 16.

- (2) Project Budget (Design) for Steam Pit Replacements (Attachment 10)

On a motion by Mr. Drotch, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the Design Budget for the replacement of steam pits in the amount of \$1,300,000.

- (3) Project Budget (Revised Design) for Central Utility Plant
Emergency Generator System (Attachment 11)

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On a motion by Mr. Drotch, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the Revised Design Budget for the Central Utility Plant Emergency Generator System in the amount of \$1,355,000.

- (4) Project Budget (Final) for Meter Installation - Multiple Locations (Phase II) (Attachment 12)

On a motion by Mr. Drotch, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the Final Budget for meter installation in the amount of \$600,000.

- (5) Project Budget (Final) for Repair and Renovation of the Sherman Athletic Complex (Attachment 13)

On a motion by Mr. Drotch, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the Final Budget for the repair and renovation of the George J. Sherman Athletic Complex in the amount of \$2,641,824.

- (6) Project Budget (Final) for SCADA (Supervisory Control and Data Analysis) System (Attachment 14)

On a motion by Mr. Drotch, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the Final Budget for the replacement of the existing Supervisory Control and Data Analysis (SCADA) system in the amount of \$1,300,000.

- (7) Project Budget (Final) for Nayden Clinic Relocation (Attachment 15)

On a motion by Mr. Drotch, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the Final Budget for renovation for the Nayden Clinic in the amount of \$667,000.

- (8) Project Budget (Revised Final) for South Campus Fire Alarm Upgrade (Attachment 16)

On a motion by Mr. Drotch, seconded by Mr. Martinez, **THE BOARD VOTED** to approve a Revised Final Budget in the amount of \$655,765 for the full cost of upgrade of the existing fire alarm systems in the South Campus Residences, and that the Board grant an exception to the three-phase budget process because of the nature and timetable of this activity.

(c) Informational items:

- (1) UCONN 2000 Book #25 (Separate cover)
 (2) Construction Projects Status Report (Separate cover)

Vice-Chair Drotch briefly cited the items listed below for information, which included the Construction Projects Status Report; Contracts and Agreements for Information – Over \$100,000.

6. Joint Audit and Compliance Committee Report

- (a) Report on Committee activities

Vice-Chair Drotch gave the report of the Joint Audit and Compliance Committee on behalf of Vice-Chair Nayden. The Committee discussed at length the proposed Financial Aid Code of

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Conduct. The Attorney General's Office has recommended that all institutions of higher education adopt a uniform code of conduct for financial aid. After extensive discussion, the Code of Conduct was endorsed by the Joint Audit and Compliance Committee. Vice-Chair Drotch said that it codifies practices already in place at the University, but highlights Board members' association with financial institutions involved with student loans, either as board members or in other official capacities. The Code would require that we identify any such conflicts for Trustees currently on the Board or those appointed in the future. Vice-Chair Drotch pointed out that many types of financial institutions, not just major banks, are now offering student loans.

Chairman Rowe added that he also, in addition to the Committee members, had an opportunity to review the proposed Code of Conduct with Mr. Walker and Ms. Rubin. Because of the public interest and concern about the student loan industry, he felt it was important for the Board to adopt the Financial Aid Code of Conduct. By doing so, we can reassure our students, their parents, and the public that University conducts its business in the best interests of our students. The Attorney General has requested that all institutions of higher education adopt this Code. He pointed out that as a public agency, and because this University had already adopted our own general Code of Conduct, we already are essentially operating in compliance with the provisions of this Code. Further, we have reviewed the Board members' current outside affiliations, and have determined that we do not have any conflicts that would prohibit any of our current board members from continuing to serve. Chairman Rowe was confident that each of us has and will continue to take our responsibilities seriously, and I expect every Board member to notify me promptly if, in the future, any member accepts any additional responsibilities or roles that require the Board's review.

Chairman Rowe added that this is an important issue and we need to be very mindful of the complexity of these types of financial institutions that provide or facilitate student loans. A letter will be sent to those Board members not in attendance today.

(b) Item requiring Board discussion and approval:

(1) Proposed University of Connecticut
Financial Aid Code of Conduct (Attachment 17)

On a motion by Dr. Burrow, seconded by Dr. Jacobs, **THE BOARD VOTED** to approve the University of Connecticut Financial Aid Code of Conduct.

7. **Buildings, Grounds and Environment Committee Report**

(a) Committee Chairman's report on Committee activities

Vice-Chair Ritter gave the report of the Buildings, Grounds and Environment Committee. He reported that the Committee has not met since the last Board meeting, but indicated that he expected to have a proposal for the athletic and student recreational facilities for the Board to review by late spring or early summer.

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8. Construction Management Oversight Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Ritter gave the report of the Construction Management Oversight Committee. He reported that the Committee last met on December 4, 2007. He thanked Chairman Rowe and Vice-Chairs Drotch and Nayden for attending. Vice-Chair Ritter said that he has been sensitive to concerns raised about prior activities relating to the building program. Governor Rell asked the Committee to ensure proper procedures were followed for bond indenture revisions and the Board was adequately noticed. Vice-Chair Ritter reported that the internal auditors and Bond Counsel reviewed the materials and reported that the administration followed appropriate protocols. In addition, as a standard practice the State Auditors have also received notification. Vice-Chair Ritter stated that said that the State Auditors will review the materials again.

Vice-Chair added that the Committee received several reports about code inspections. Associate Vice President for Public and Environment Safety Hudd Chief Hudd updated the committee on the status of code enforcement and Mr. Joseph Griffith, Senior Code Official, provided a presentation describing code enforcement.

9. Health Center Report

(a) Report on Health Center activities

Health Center Board of Directors Chair Burrow gave the report of the Health Center Board of Directors. Because of the Health Center's current financial condition, the Board of Directors has been focused on this matter. As he mentioned at the last meeting, PricewaterhouseCoopers has been retained to review the financial status of the Health Center.

10. Student Life Committee Report

(a) Committee Chairwoman's report on Committee activities

Vice-Chair Bailey gave the report of the Student Life Committee. Vice-Chair Bailey reported that the Student Life Committee last met on January 22, 2008. Trustee Gionfriddo gave a report on campus safety and the Committee held a discussion on the proposed athletic and student recreational facilities. Vice-Chair Bailey noted that the Student Life Committee sent a letter to the Construction Management Oversight Committee concerning its interest in this project.

Vice-Chair Bailey noted that the Student Life Committee will meet immediately following the Board meeting today in the Rome Commons Portico.

11. Institutional Advancement Committee Report

(a) Committee Chairman's report on Committee activities

Trustee Barry gave the report of the Institutional Advancement Committee on behalf of Vice-Chair Treibick. The Committee last met via teleconference on January 8, 2008.

Executive Director of Alumni Relations Lisa Lewis presented a report on the important collaborations between the Alumni Relations and the Athletics staff regarding the Meineke Bowl on December 29 in Charlotte, North Carolina. It was an outstanding show of Connecticut support.

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(b) Items requiring Board discussion and approval:

(1) Academic Naming Recommendations (Attachment 18)

(a) College of Liberal Arts and Sciences

(1) Alan R. Bennett Honors Professorship
in Political Science

On a motion by Ms. Bailey, seconded by Dr. Rowe, **THE BOARD VOTED** to establish the Alan R. Bennett Honors Professorship in Political Science at the University of Connecticut College of Liberal Arts and Sciences.

(2) Doris and Simon Konover Chair of Judaic Studies

On a motion by Ms. Bailey, seconded by Mr. Drotch, **THE BOARD VOTED** to establish the Doris and Simon Konover Chair of Judaic Studies at the University of Connecticut College of Liberal Arts and Sciences.

(2) Facilities Naming Recommendation (Attachment 19)

(a) Devin Thomas Gaines Student Involvement and Activity
Center at the Stamford Campus

On a motion by Mr. Gionfriddo, seconded by Ms. Bailey, **THE BOARD VOTED** to authorize naming the University of Connecticut Stamford Campus Student Involvement and Activities Center the Devin Thomas Gaines Student Involvement and Activities Center.

(c) Development Progress Executive Summary (Attachment 20)

Trustee Barry directed Trustee attention to Attachment 20 – the Development Progress Executive Summary. To date, the Foundation reports that they are down in new gifts and pledges. While this trend can be somewhat attributed to the current economy, it is also important to note that approximately two-thirds of the commitments and pledges typically come during the second half of the year.

The University continues to build a tremendous base toward the development of the upcoming Capital Campaign. To date, the Cumulative Campaign Total is \$84.07 million and current figures show that as of January 15, the new total is \$90 million.

The State Matching Program was almost triggered this past year with a State surplus, but projected deficits for the coming year indicate otherwise.

UConn Foundation President John Martin reported that the Annual Fund stands at \$3.4 million toward our \$5 million goal – 30% ahead compared to last year. The number of donors is down about 1% , which is good news compared to what they are seeing at other public institutions. They expect to have a robust number of gifts committed to the Foundation over the next six months.

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Chairman Rowe asked Vice Provost Evanovich if he thought that a recession would have an impact on enrollment in terms of an increase in the number of applicants who would have otherwise gone to private institutions.

Vice Provost Evanovich responded that private schools will always enroll students despite the economic conditions. UConn is at the upper end of select public universities and we should hold our market position in spite of economic issues. He expects the selection process to become more competitive over the next decade as there will be fewer traditional-age college students graduating in our primary markets. As the cost of college tuition rises, we should better positioned, especially in-State.

12. Adjournment

Chairman Rowe announced that the Student Life Committee meeting will begin immediately following the Board meeting in the Portico.

Chairman Rowe reminded the Board that there will be a reception in Dr. Schurin's honor at the President's residence.

The next meeting of the Board of Trustees is scheduled for Wednesday, February 27, 2008 at 1:00 p.m. at the Merlin D. Bishop Center, Storrs, Connecticut.

There being no further business appearing, the Board meeting adjourned at 2:40 p.m.

Respectfully submitted,

Louise M. Bailey
Secretary