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# The Efficacy of Exercise Interventions on Cancer-Related Fatigue and Depression among Adult Cancer Survivors: A Meta-Analysis of Randomized Control Trials

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The Efficacy of Exercise Interventions on  
Cancer-Related Fatigue and Depression among Adult Cancer Survivors:  
A Meta-Analysis of Randomized Control Trials

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The Efficacy of Exercise Interventions on  
Cancer-Related Fatigue and Depression among Adult Cancer Survivors:  
A Meta-Analysis of Randomized Control Trials

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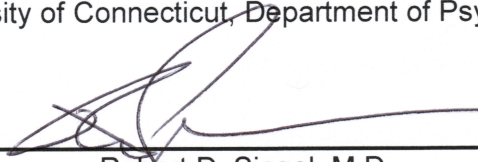
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## Chapter 1 — Introduction

### Background and Significance

#### *Cancer Survivorship*

Cancer is a major public health problem. In 2009 there were an estimated 11 million cancer survivors in the United States. Cancer is the leading cause of death among women 40 to 79 yr and men 60 to 79 yr. The most common forms of cancer among men are prostate, lung, and colorectal cancer with rates of 158.2, 87.3, and 61.2 diagnoses per 100,000 persons, respectively. The cancer incidence rate among White non-Hispanic men is 551 diagnoses per 100,000 people compared to African American men with 652 diagnoses per 100,000 people. The most common forms of cancer among women are breast, lung, and colorectal with rates of 123.6, 55.4, and 44.8 diagnoses per 100,000 persons, respectively. White non-Hispanic women are at higher risk for developing cancer with 423 diagnoses per 100,000 people compared to African American women with 398 diagnoses per 100,000 people. Cancers of the breast, prostate, lung, and colon accounted for an estimated 751,061 new diagnoses (~50% of all cancer diagnoses) and 276,000 deaths (~49% of all cancer related deaths) in 2009 in the United States. The lifetime probability of developing cancer for men is 50% (1 in 2) and for women 38% (~1 in 3) (1).

Despite high incidence rates among the general population, advances in screening, surgical procedures, and pharmacological interventions have increased the 5 yr survival rate among all cancers survivors from 50% in 1974 to 66% in 2009 (1). This 16% increase equates to ~1.7 million people living with cancer for ≥5 yr after diagnosis in 2004 that if diagnosed in 1969 may have not been alive in 1974 (1).

While living longer after diagnosis, cancer survivors frequently report physical and psychological symptoms associated with cancer or cancer treatment(s) including loss of appetite, nausea, difficulty concentrating, fatigue, and depression (2). Nearly all cancer survivors report one or more symptoms affecting their sense of well-being that negatively affects physical and social quality of life (QOL) (3).

Management of symptoms associated with cancer or cancer treatment may have limited or no treatment so that clinicians are often left with the option of advising their patients that cancer related symptoms are something they have to learn to live with (3). However, there is a variety of established interventions to aid in modulating symptom severity. These interventions include individual and family counseling, coping skill development, and communication skill development. These above-mentioned interventions broadly focus on improving psychological components of cancer survivor well-being rather than physical well-being (4, 5). However, in the past two decades, literature has accumulated that indicates *exercise* after cancer diagnosis reduces the incidence and severity of a variety physiologic and psychosocial symptoms' frequently reported by cancer survivors. However, the magnitude of symptom improvement among exercise interventions in cancer survivors is highly variable among individual exercise interventions. These variations in symptom improvement may due to differences among exercise interventions including the type of cancer targeted, stage and type of treatment, type of exercise performed, and the primary health outcomes examined (2, 6).

### *Exercise Interventions*

The accumulation of literature addressing the effect of exercise on symptom management among cancer survivors has spurred various professional organizations to develop exercise recommendations tailored for cancer survivors. These organizations

include the American Cancer Society (7), National Comprehensive Cancer Network (3), and American College of Sports Medicine (ACSM) (2, 8). The two sets of ACSM exercise guidelines were developed differently; one in the form of guidelines based on limited literature-based evidence (8), and the other, an expert panel consensus (2). A noteworthy comment, each exercise recommendation from the American Cancer Society, National Comprehensive Cancer Network and the ACSM suggest different “Exercise Prescription’s (Ex R<sub>x</sub>)” elicit favorable outcomes among cancer survivors. For example, the American Cancer Society and National Comprehensive Cancer Society make no recommendation of resistance training among cancer survivors, whereas the ACSM suggests resistance training performed two days per week to achieve the health-benefits associated with exercise.

The current professional exercise recommendations for cancer survivors (2, 3, 7, 8) are generic, in that one set of recommendations is used for all cancer survivors. However, due to the variety of cancers, their varying pathophysiology, and varying treatment regimes, Ex R<sub>x</sub>’s may need tailoring specific to the health outcome of interest (i.e., reducing depression) for the most efficacious benefits of exercise to be achieved (8). The components of any Ex R<sub>x</sub> are *frequency* (F), *intensity* (I), *time* (T), and *type* (T) of exercise performed, labeled the *FITT* principle of Ex R<sub>x</sub> (8). *Frequency* refers to *how often* the exercise sessions take place (i.e., 2 d·wk<sup>-1</sup>). *Intensity* refers to *how hard* or the level of physical exertion is (i.e., low, moderate, or vigorous). Intensity of exercise can be quantified using metabolic equivalent units (METs). One MET is equal to 3.5 ml·kg<sup>-1</sup>·min<sup>-1</sup>, representing oxygen consumption (ml) per kg of body weight per minute while sitting quietly. METs are categorized into light intensity (<3 METs), moderate intensity (3 to 6 METs), or vigorous intensity (>6 METs). *Time* refers to *how long* each exercise session is (i.e., 30 min·d<sup>-1</sup>). *Type* refers to the *modality* or *kind* of activity completed (i.e.,

cycling, walking, weight training).

*ACSM's Guidelines for Exercise Testing and Prescription, eighth edition* (8) provide the most detailed FITT recommendations for cancer survivors. These recommendations focus on a balanced health-fitness program consisting of cardiovascular fitness, muscular strength, muscular endurance, and flexibility activities (8). These guidelines suggest moderate-intensity aerobic and resistance exercise, complimented with flexibility exercise (Table 1) are appropriate for the general physical and mental health of cancer survivors. However, this FITT Ex Rx is **not** symptom specific and thus, may not be the most effective FITT when attempting to maximize the modulation of specific symptoms and health outcomes of cancer survivors.

**Table 1.** American College of Sports Medicine Exercise Guidelines for Cancer Survivors (8)

Modality	Frequency	Intensity	Time	Type
<b>Aerobic</b>	3-5 d·wk <sup>-1</sup>	40–60% V <sub>O</sub> <sub>2</sub> R 3-6 MET	20-60 min·d <sup>-1</sup>	Walking Cycling Swimming
<b>Resistance</b>	2-3 d·wk <sup>-1</sup>	40-60% 1RM <3 MET	1-3 Sets 8-12 Repetitions	Weight Machines
<b>Flexibility</b>	2-7 d·wk <sup>-1</sup>	Tension	10-30 Seconds 4 Repetitions	Stretching

MET: Metabolic equivalent, 1 MET = 3.5 ml·kg<sup>-1</sup>·min<sup>-1</sup>.

V<sub>O</sub><sub>2</sub>R: Maximal Oxygen Consumption Reserve.

1RM: 1 Repetition Maximum.

The panel of ACSM exercise experts among cancer survivors (2) advised cancer survivors to follow the recommendations set forth by the American Cancer Society (7). The American Cancer Society guidelines emphasize cancer survivors accumulate ≥150 min·wk<sup>-1</sup> of aerobic exercise and make no mention of resistance training or flexibility exercise (7). The ACSM expert panel recommended in addition to the American Cancer Society Guidelines of 150 min·wk<sup>-1</sup> of aerobic exercise (7), moderate intensity, resistance and flexibility exercise be performed to achieve the general health benefits



associated with exercise among cancer survivors. The expert panel concluded exercise is safe among cancer survivors during and after completion of primary pharmacological treatment (i.e., radiation, chemotherapy). However, the panel acknowledged there are considerable gaps in the dose of exercise most effective in reducing the incidence and severity of specific symptoms associated with cancer or cancer treatment. Similar to the ACSM expert consensus statement (2), the National Comprehensive Cancer Network and American Cancer Society suggest accumulating 150 min·wk<sup>-1</sup> of aerobic exercise is efficacious to achieve the health related benefits of exercise specific to cancer survivors (3, 7). However, these guidelines set forth by the ACSM (2, 8) are a general framework that may require adaptation and tailoring as appropriate for the cancer survivor based on disease and functional status, and presence of other comorbidities (2).

#### *Cancer-Related Symptoms and Side Effects*

##### Cancer-Related Fatigue (CRF)

CRF is the most frequent symptom experienced by 70-100% of all cancer survivors (9). CRF is as a distressing, persistent, subjective sense of physical, emotional, and/or cognitive tiredness or exhaustion related to cancer and/or cancer treatment (3). The magnitude of CRF is not proportional to recent activity and may interfere with usual functioning and QOL (3). CRF should not to be confused with general fatigue. General fatigue differs from CRF in general fatigue is proportional to recent activity and is usually relieved after rest periods of sleep. In contrast, the magnitude of CRF does not diminish after a rest period of sleep and may persist for weeks or even years (3).

van den Beuken-van Everdingen *et al.* (10) surveyed 1,429 cancer patients at 11 cancer treatment centers. The primary aims of this study were to: (i) measure the prevalence of symptoms related to all types of cancer; (ii) determine the impact

symptoms have on QOL; and (iii) inquire whether patients receive treatment for their complaints/symptoms. Patients were diagnosed with a variety of cancer types, most commonly breast (24%) followed by colorectal (14%), prostate (13%), and lung (5%) in all stages of treatment. The symptoms “need to rest” and “tiredness” were the most commonly reported symptoms when compared to all other symptoms associated with any type of cancer (Table 2). The symptoms “need to rest” and “tiredness” did not appear to diminish after completion of curative treatment and affected survivors regardless of treatment status. The symptoms “need to rest” and “tiredness” diminished QOL among this sample ( $\beta = -0.261$ ,  $p < .001$ ) (10).

**Table 2.** Most Commonly Reported Symptoms in Cancer Survivors (10)

Treatment Stage	Symptoms	
	“Need to rest”	“Tiredness”
<b>≥ 6 months after curative treatment (n = 384)*</b>	24% (n = 92)	28% (n = 107)
<b>≤ 6 months after curative treatment (n = 384)*</b>	36% (n = 138)	36% (n = 138)
<b>Palliative Therapy (n = 571)**</b>	43% (n = 245)	45% (n = 256)

\*Includes treatments chemotherapy, radiation therapy, hormone therapy, and bone marrow transplant procedures.

\*\*Includes treatments of physical or occupational therapy, psychosocial counseling, and hormone therapy.

Despite the growing literature examining CRF, outcomes have varied considerably, ranging from one-fold *increases* in CRF to two-fold reductions from baseline in response to exercise (11). In addition to the previously discussed literature, five meta-analyses examining the role of exercise in the modulation in CRF have quantified the high variability in randomized controlled exercise trials examining CRF (6, 11-14). Three of these meta-analyses (11, 13, 14) examined CRF moderators or variables that may influence the magnitude of CRF reduction in response to exercise. Moderators included type of cancer, a CRF driven hypothesis, methodological quality, and supervision of exercise sessions. Breast cancer survivors decreased CRF more than non-breast cancer survivors (11, 13, 14). Exercise interventions with an ‘*a priori*’

CRF related hypothesis achieved greater reductions in CRF than studies without an *a priori* CRF hypothesis, and studies of lower methodological quality reduced CRF more than exercise interventions of higher methodological quality (14). However, *no meta-analysis has examined the Ex Rx FITT components as they modulate CRF among adult cancer survivors*. Further, no meta-analysis has examined any potential interactions between the Ex Rx FITT components and clinical characteristics of cancer survivors (i.e., the interaction of stage of treatment with intensity of exercise and the subsequent modulation on CRF).

## **Depression**

Sixteen to 60% of cancer survivors experience depression (15). Depression among cancer survivors may constitute any of the following symptoms: recurrent feeling or thought of death, changes in body image, negative self-esteem or societal role or lifestyle changes, or concern over money and legal matters (16). Prior to treatment, cancer survivors experiencing less depression had a lower incidence and severity of depression at 5 yr follow-up than cancer survivors reporting more depression prior to treatment (4).

The use of physical activity as a non-pharmacological modality to aid in the treatment of depression or depression-related symptoms among healthy populations has been investigated for more than a century (17). Meta-analyses of prospective intervention studies examining the effects of exercise and depression have supported the use of exercise as a non-pharmacological modality to reduce depression among apparently healthy populations, with small to moderate sized standardized mean reductions (18, 19). These meta-analyses (18, 19) have examined moderators of exercise related reductions in depression including age, length of the exercise

intervention, exercise modality, and type of depression questionnaire used. Lawlor *et al.* (18) meta-analyzed 14 exercise interventions among men and women aged 24 to 88, apparently healthy population only diagnosed with clinical depression. They found studies with a shorter exercise intervention length were more efficacious in reducing depression than standard care. Conn *et al.* (19) meta-analyzed 60 exercise interventions among non-cancer survivors, apparently healthy population only diagnosed depression, and concluded studies providing low intensity, aerobic exercise reduced depression to the greatest extent. Additional moderators identified by Conn *et al.* (19) included methodological considerations relating to random assignment and control group management, with studies of higher methodological rigor reducing depression to a lesser extent than studies of poor methodological quality. Additionally, studies providing a true control group reducing depression more than studies providing a placebo, attention control (19).

Despite a large majority of research examining the efficacy of exercise in apparently healthy populations, there is emerging observational and interventional researching examining exercise and depression among cancer survivors. Chen *et al.* (20) observed 1,399 women diagnosed with stage 0 to III breast cancer. Concluding women with higher exercise levels ( $\geq 8.3$  MET  $\cdot$  wk<sup>-1</sup>) were less likely to have depression at 18 months post diagnosis; the multivariate adjusted odds ratio was 0.56 (95% CI 0.35 to 0.88). Yet, contrary to observational studies, prospective exercise intervention studies exhibit moderate to large amounts of heterogeneity among RCTs with improvements in depression ranging from negligible to three-fold improvements relative to baseline (6, 12).

Due to the high variability between individual prospective RCTs, two meta-analyses have examined the standardized mean exercise-related reduction of

depression among cancer survivors (6, 12). Schmitz *et al.* (6) and Speck *et al.* (12) concluded evidence is suggestive, but not statistically significant effects, of exercise providing a small reduction in depression among cancer survivors (standardized mean reductions of 0.20 and 0.30, respectively). Due to small sample sizes of six (6) and seven (12) studies, these meta-analyses may have lacked sufficient statistical power to detect a significant effect in the exercise-induced reduction of depression. In addition, Speck *et al.* (12) reported statistically significant heterogeneity of 85% among depression outcomes. Despite the heterogeneity between studies in this meta-analysis, neither (6, 12) examined moderators of the exercise related reduction of depression among cancer survivors. Lack of moderator analyses in these studies (6, 12) is a research gap in the literature. There is high variability between individual exercise trials with respect to varying Ex Rx characteristics, and clinical cancer survivor characteristics making moderator analysis appropriate to perform (6, 12).

In summary, cancer survivors are clinically heterogeneous with respect to demographic characteristics (i.e., gender, age at diagnosis), disease pathophysiology (i.e., type of cancer, tumor location, and staging), treatment protocols, and symptoms and side effects impairing activities of daily living (2). Clinical characteristics specific to each cancer survivor may influence the efficacy of an exercise intervention on CRF and depression outcomes (5, 13, 14). For example, type of cancer has been shown to be predictive of QOL levels, with gastrointestinal and gynecologic cancer survivors experiencing lower QOL relative to lung, breast and prostate cancer survivors among others ( $\beta = -4.490$ ,  $\beta=2.202$ ,  $p<.001$ , respectively) (10). Therefore, the purpose of this research is to *meta-analytically* investigate the influence of clinical (i.e., type of treatment, tumor location, and staging) and demographic characteristics (i.e., gender,

ethnicity, and age) individually, as well as their interactions with Ex Rx FITT characteristics on CRF and depression modulation among cancer survivors.

## **Meta-Analysis**

Meta-analysis or quantitative reviewing of the literature is the combining of numerical results of individual studies to generate a “summary” result. In the context of this research the effect of the Ex Rx FITT characteristics effects on the modulation of CRF and depression among cancer survivors. Another purpose of the study is to examine the extent to which clinical characteristics moderate the exercise-induced reductions in depression. Further, we will examine interactions among the Ex Rx FITT characteristics and clinical characteristics influencing the efficacy of exercise to reduce CRF and depression among adult cancer survivors. Findings from this analysis may provide guidance as to what specific FITT Ex Rx may prove most efficacious for cancer survivors suffering from CRF and depression.

## **Specific Aims and Hypotheses**

The primary aims of this study are:

Specific Aim 1. To meta-analyze the literature to determine the efficacy of exercise interventions on reductions in CRF and depression among cancer survivors.

*Hypothesis 1. Cancer survivors engaging in exercise will demonstrate a statistically significant reduction in CRF and depression when compared to non-exercising controls.*

Specific Aim 2. To meta-analyze the literature to examine the influence of the Ex Rx FITT components on reductions in CRF and depression among cancer survivors.

*Hypothesis 2. Ex Rx FITT components will modulate the magnitude of the reduction in CRF and depression.*

Specific Aim 3. To meta-analyze the literature to examine the influence of patient clinical characteristics (i.e., cancer type, treatment staging, and age) on reductions in CRF and depression among cancer survivors.

*Hypothesis 3. Patient clinical characteristics of cancer survivors will modulate the magnitude of the reduction in CRF and depression that result from exercise.*

Specific Aim 4. To meta-analyze the literature to examine the influence of the interactions among Ex Rx FITT components (specific aim 2 & hypothesis 2) and patient clinical characteristics (specific aim 3 & hypothesis 3) on reductions in CRF and depression among cancer survivors.

*Hypothesis 4. The interactions among Ex Rx FITT components and patient clinical characteristics will modulate the magnitude of the reduction among cancer survivors.*

## **B. Significance**

Cancer is a disease of global impact with an estimated 25 million cancer survivors worldwide (21). Globally, the World Health Organization (WHO) has assembled a panel of cancer experts to develop long-term cancer goals and objectives (21). Specifically the WHO has established goals for cancer survivorship. The specific WHO goals are to increase the QOL among those living with cancer, and to provide relief from pain and other distressing symptoms among all survivors of cancer. The long-term goal of the WHO is to establish National Cancer Control Programs for holistic cancer guidance in all countries, worldwide (21).

Nationally, the US has developed 10 yr health and disease prevention goals and objectives (22). The two over-arching goals of Healthy People 2010 were to increase quality and years of health life, and to eliminate health disparities. Healthy People 2010 included a target area specific to cancer, addressing a variety of screening, treatment and long-term survivorship goals. Specific to this research project, goal three, objective

15, was to increase the proportion of cancer survivors who are living 5 yr or longer after diagnosis to 70%. Healthy People 2010 failed to reach the target set at 70%, but did increase 5 yr survivorship to 64%. Despite not reaching the objective of 70%, the percentage of cancer survivors living  $\geq 5$  yr after diagnosis did increase by 45% from year 2000. Increasing 5 yr survivorship among cancer survivors has been a renewed objective in Healthy People 2020 (22). The desired percentage of cancer survivors living  $\geq 5$  yr after diagnosis for Healthy People 2020 is 76%.

The clinical significance of this research is two-fold. No study to date has meta-analyzed exercise intervention FITT Ex R<sub>x</sub> characteristics that influence CRF and depression among cancer survivors. This study may provide further support for the use of exercise as a non-pharmacological modality for clinicians to recommend to cancer survivors with CRF and depression. This study may also provide quantitative evidence for the use of *specific* Ex R<sub>x</sub> FITT recommendations targeted to those patients suffering with CRF or depression based on desired health outcome and clinical characteristics.

In summary, the purpose of this research is to quantitatively summarize the effect of exercise on the modulation of CRF and depression among cancer survivors and generate hypotheses for future research. Quantitatively summarizing the literature on exercise and cancer survivorship will shape future exercise interventions, and more importantly, improve current palliative care practices for those cancer survivors currently living with CRF and depression.



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## Chapter 2 — Methods

We investigated the variability in FITT Ex  $R_x$  and the extent to which exercise modulated CRF and depression among cancer survivors using meta-analytic techniques. This chapter describes the procedures used for the meta-analysis including the literature search, initial screening of studies, full-text review, data extraction, calculation of study level effect size, calculation of the pooled effect, tests for heterogeneity, publication bias, and meta-regression techniques.

### Literature Search

***Types of participants:*** Studies considered for inclusion investigated the use of exercise in attempt to modulate CRF or depression levels in adults 18 yr or older. We included both, men and women, all cancer types, stages of cancer, and types of cancer treatment. Subjects were currently receiving treatment, in long-term follow-up, or receiving palliative care.

***Types of interventions:*** Studies considered for inclusion evaluated and reported the effect of exercise on CRF or depression levels in cancer survivors. Studies compared an exercise intervention group to a non-exercise, usual care group, or compared an exercise group to an alternative non-physical intervention such as audio therapy or aroma therapy. The exercise intervention occurred in any setting; home, public location, or medical center. Exercise interventions may have been conducted in group-format (e.g., group exercise classes) or individually (i.e., personal training). All modalities of exercise were considered for inclusion (i.e., aerobic, strength, neuromotor, and flexibility exercises).

**Types of outcome measures:** The primary outcome measures were self-reported CRF or depression levels. To be included, assessment of CRF and depression levels occurred at the start of the exercise intervention and at completion, for each group, intervention and control, respectively.

**Search methods for identifying relevant studies:** The following databases were searched for relevant studies to be included in this meta-analysis; MEDLINE; The Cochrane Controlled Trials Register; PsycINFO, Dissertation Abstracts International, and OregonPDF in Health and Human Performance. The CRF systematic search ended February 2010, and the depression systematic search ended December 2010. Citation lists of all relevant literature were reviewed for additional studies and journals relating to cancer survivors were searched (i.e., *Journal of Cancer Survivorship*). There were no language restrictions when attempting to locate studies for inclusion. Searches included medical subject headings (MeSH) to conduct the systematic literature search (Figure 1).

Screening of all studies in the comprehensive literature search were completed by reviewing the title and abstract for inclusion criteria. Reviewers (i.e., JB and SP) were not blinded to journal title or author. The reviewers (JB, SP) screened both title and abstract for inclusion in this meta-analysis. To ensure proper screening, approximately 10% of all excluded studies were re-screened to validate inclusion/exclusion of appropriate literature.

The inclusion criteria included RCTs that use an exercise intervention compared to a usual care, or non-exercising control group with CRF or depression measured as an outcome variable. The intervention took place in adults of any age, cancer type, treatment stage, or other demographic characteristics.

**Data Extraction:** After appropriate title and abstract screening, the literature was subject to a full-text review. Studies reviewed were issued a unique identification number to ensure organization and quality control. After full-text review, if studies continued to meet the inclusion/exclusion criteria, data were extracted via a comprehensive coding form (see Appendix). Data extracted included information on subject demographics (e.g., age, gender, socioeconomic status), study design characteristics (i.e., randomization and blinding procedures, length of exercise intervention, and location of exercise intervention), and subject cancer characteristics (e.g., cancer type, treatment type, and time length since diagnosis). Characteristics regarding the FITT Ex Rx employed were also extracted. Specifically, *how often* (frequency), *how hard* (intensity), *time* (duration) and *mode* (type) of exercise were extracted. Intensity of exercise was coded in metabolic equivalent units (METs) using the compendium of MET intensities (1). This compendium is valid and widely used in physical activity disciplines for coding absolute energy expenditures.

**Data Extraction Agreement:** Kappa statistic and Pearson's *r* assessed individual coder agreement for categorical variables and continuous variables, respectively. The Kappa statistic accounts for the degree of chance occurrence agreement between the two coders. This statistic provides information on the reliability and reproducibility of the coders, and accounts for the degree of chance occurrence between coders in addition to actual agreement (2). Superior to simply calculating percent agreement, the Kappa statistic ensures quality control in data extraction.

The guidelines for interpreting the Kappa statistic were <0 = poor, 0.00-0.20 = slight, 0.21-0.40 = fair, 0.41-0.60 = moderate, 0.61-0.80 = substantial, and 0.81-1.00 = almost perfect agreement (2, 3). Even in the presence of substantial or almost perfect agreement, the Kappa statistic may appear low, ranging from 0.61-1.00, respectively.

The guidelines for interpreting Pearson's  $r$  were 0.00-0.49 = low to no agreement, 0.50-0.79 = moderate or medium agreement, 0.80-1.00, strong, or perfect agreement (2). The Kappa statistic was applied to categorical study dimensions and Pearson's  $r$  was applied to continuous study dimensions.

**Individual Effect Size Estimates:** Because the majority of randomized controlled trials (RCTs) reported continuous measures of CRF and depression, standardized mean difference effect sizes were used. Effect sizes were used to estimate the efficacy of the FITT Ex Rx on the modulation of CRF and depression. The standardized mean difference effect size ( $d$ ) was the mean difference between the treatment and control groups divided by the pooled standard deviation (4). The effect size  $d$  has a slight bias tending to overestimate the true population mean ( $\delta$ ) when studies have small sample sizes. We removed this bias by applying a correction factor that yields an unbiased estimate of ( $\delta$ ) (5). Applying this correction yielded an error <0.007 and less than 0.035% when  $df \geq 10$  (6). This application was applied to all effect sizes prior to analysis. CRF effect sizes are positive when the treatment group reduced their fatigue more when compared to the usual care group. Depression effect sizes are negative when the treatment group reduced their depression more when compared to the usual care group. Some studies included more than one treatment group. In this situation, we compared each treatment group to the control group, producing two effect size estimates from one study (7).

#### **Mean Effect Size Calculation (Fixed Effects vs. Random Effects)**

**Fixed Effects Modeling:** The overall estimate of the effect was calculated using two models for each CRF and depression outcomes. The first, a fixed effect model assumed all studies in the meta-analysis were treated as sharing a common effect size. All

factors that could influence the effect size were the same in all studies. Each individual study was assigned a weight. This weight corresponded to the inverse within study variance.

**Random Effects Modeling:** In a random-effects model, as with a fixed effects model, each study was weighted by the inverse of its variance. The difference between fixed and random effects model was that the weighting not only included within study variance (as seen with fixed-effects assumptions) but the *between* study variance as well, denoted  $\tau^2$  (tau-squared). Random effects modeling provided wider confidence intervals around the mean effect size, due to added between study variance.

**Fixed vs. Random Effects Modeling:** The mean effect was the weighted average of the means of individual study effects. We implemented both the fixed and random effects models in our analysis to calculate the mean effect. These values provided an estimate on the efficacy of CRF and depression modulation in repose to an exercise intervention.

**Publication Bias:** We examined both forest and funnel plots for publication bias. These graphical techniques illustrated the variability among sampled studies (forest plot) and the expected effect size (funnel plot) by plotting calculated effect size against variance. We also assessed publication bias statistically via Begg and Egger publication bias methods (6) and the non-parametric “Trim and Fill” method, a non-parametric test for asymmetry in the distribution of effect sizes (8).

**Heterogeneity:** The *homogeneity* in effect sizes measured the differences of similarities between studies (9). Homogeneity (Q) was then calculated to determine if there was more variance between studies than would be produced by random sampling alone. Q is not a standardized statistic, making its interpretation difficult in a given context. Q was

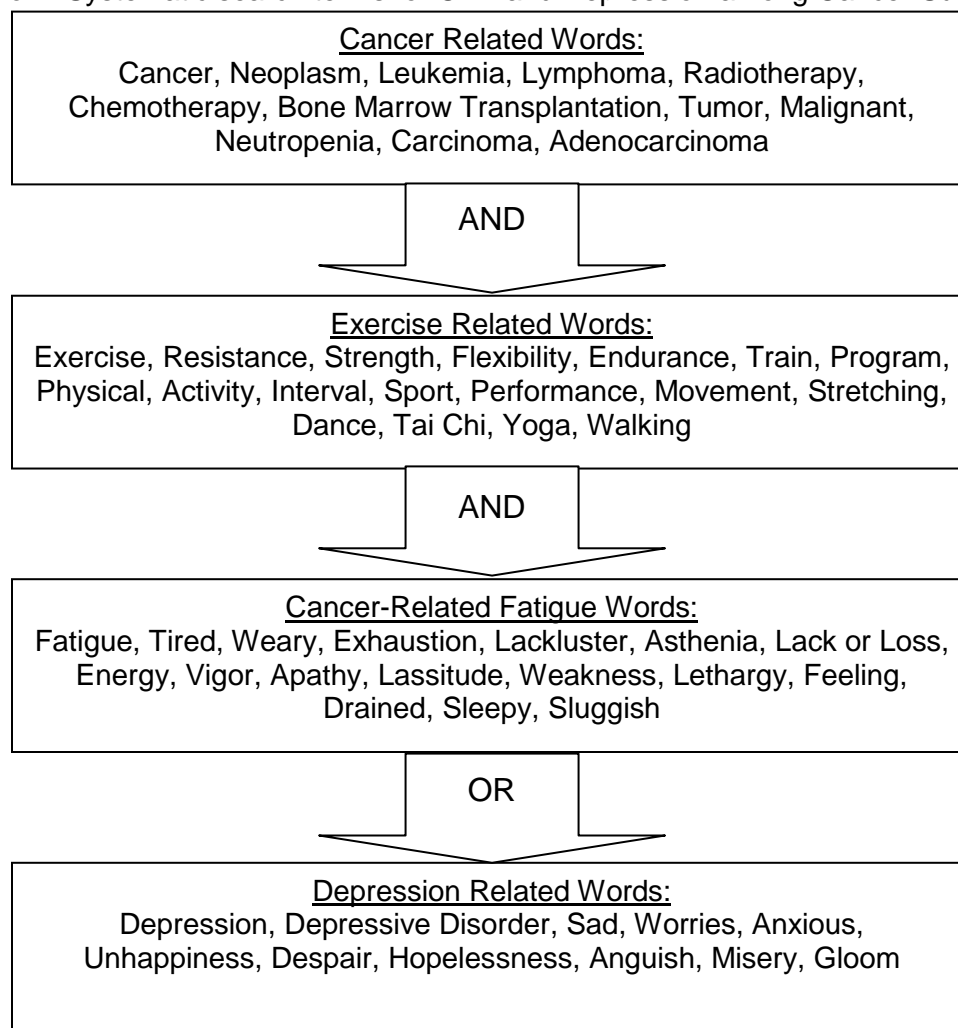
then transformed to  $I^2$ , a standardized measure of homogeneity.  $I^2$  values assumed a range of 0-100%, indicating homogeneity (0%) or heterogeneity (100%) between studies (9).

**Meta-Regression:** Moderators (i.e. covariates) were tested with the FITT Ex  $R_x$  and clinical characteristics with respect to CRF or depression outcomes, respectively. Specific subject demographic characteristics were also examined. Specific characteristics included age, gender, ethnicity, socioeconomic status, education, type of cancer, type of treatment, stage of treatment, and time since diagnosis. Moderators listed above were also included within the comprehensive coding form (Appendix A).

**Statistical Computing:** The statistical software package Intercooled Stata version 11.1 (College Station, Texas) performed all statistical analysis (10). Although Stata does not have built in meta-analytic tools, macros exist for meta-analysis. These macros were freely downloadable and included: meanes, metareg, metafor, metan, metabias, and confunnel. Two-sided statistical significance was set at  $p < 0.05$ .



**Figure 1.** Systematic search terms for CRF and Depression among Cancer Survivors.



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### Chapter 3 — Cancer-Related Fatigue

#### Efficacy of Exercise Interventions in Modulating Cancer-Related Fatigue among Adult Cancer Survivors: A Meta-Analysis

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## Abstract

**Background:** The purpose of this meta-analysis was to explore the efficacy of exercise as a non-pharmacological intervention to reduce cancer-related fatigue (CRF) among adult cancer survivors. We also investigated how different components of the exercise prescription (Ex Rx), methodological considerations, and subject characteristics modulate CRF. **Methods:** A systematic search for randomized controlled trials was conducted using words related to cancer, exercise, and fatigue. **Results:** In total 44 studies with 48 interventions qualified, including 3,254 participants of varying cancer types, stages of diagnosis, treatments, and exercise interventions. Cancer survivors in exercise interventions reduced their CRF levels to a greater extent than usual care controls,  $d_+ = 0.31$  (95% confidence interval = 0.22 to 0.40), an effect that appeared to generalize across several types of cancer. CRF levels improved in direct proportion to the intensity of resistance exercise ( $\beta = 0.60$ ,  $p = .01$ ), a pattern that was stronger in higher quality studies ( $\beta = 0.23$ ,  $p < .05$ ). CRF levels also reduced to a greater extent when interventions were theoretically-driven ( $\beta = 0.48$ ,  $p < .001$ ) or cancer survivors were older ( $\beta = 0.24$ ,  $p = .04$ ). **Conclusions:** Exercise reduced CRF especially in programs that involved moderate intensity, resistance exercise among older cancer survivors and that were guided by theory. **Impact:** Our results indicate exercise interventions for adult cancer survivors should be multi-dimensional and individualized according to health outcome and cancer type.

## Introduction

Currently, there are over 11-million cancer survivors in the United States (1). The 5-yr survival rate for cancer survivors has steadily increased from 50% in 1974 to 66% in 2004 (1). Despite living longer after diagnosis, cancer survivors commonly report having one or more cancer-related symptoms that impact their quality of life and activities of daily living (2). One of the most commonly reported symptoms by cancer survivors is cancer-related fatigue (CRF) (3). CRF is a reported side-effect of all types of cancer treatment (4) affecting nearly 100% of cancer survivors, and persists for years after treatment cessation (5, 6). Cancer survivors often state CRF is the most distressing symptom related to cancer or cancer treatment, more so than pain, nausea, and vomiting (2, 7, 8).

Cancer survivors often are told by medical providers to learn to live with CRF by limiting activity, conserving energy expenditure, and relying on others to complete activities of daily living (3). Yet, new evidence is accumulating that indicates cancer survivors who engage in exercise experience numerous physical and mental health benefits including increased functional capacity (4), improved quality of life (9), and diminished depression (10) and anxiety (10). In addition, meta-analyses (11-14) and systematic reviews (15) suggest exercise interventions may be moderately efficacious in modulating CRF.

Despite the promise of exercise in the management of CRF, an exercise prescription (Ex Rx) tailored for adult cancer survivors experiencing CRF does not exist (3, 4, 16, 17). The available Ex Rx guidelines for cancer survivors (3, 4, 16, 17) broadly focus on the general well-being of cancer survivors, encouraging 150 min/wk of aerobic exercise, 2 d/wk of strength training, and flexibility exercise on days when aerobic or

resistance exercise is not performed. An American College of Sports Medicine (ACSM) panel of experts in Ex Rx for cancer survivors recently concluded exercise is safe for cancer survivors, all cancer survivors should avoid inactivity, and exercise programs should be adapted for the individual survivor on the basis of health status, cancer treatment type, targeted health outcomes, and disease trajectory (4). Yet, the panel acknowledged research in the area of Ex Rx for cancer survivors is in the developmental stage with significant research gaps in the dose of exercise required to ensure cancer survivors receive safe and effective Ex Rx for targeted disease end points such as CRF.

We conducted a quantitative review evaluating the efficacy of exercise as an intervention to reduce CRF among adult cancer survivors. The primary purpose was to investigate which Ex Rx characteristics were associated with the greatest reductions in CRF. We also examined whether study methodological considerations and subject characteristics combined or interacted with the dose of exercise prescribed to reduce CRF further.

## **Methods**

### *Inclusion Criteria*

Included were randomized controlled trials (RCTs) that examined the effects of exercise on CRF in adult patients ( $\geq 18$  years) diagnosed with any type of cancer, stage of diagnosis, and type or stage of treatment including those who have completed treatment. Exercise interventions may have occurred in any setting with or without supervision. RCTs may have compared exercise with a usual care group receiving either (a) standard, usual care (e.g., no exercise program prescribed and to maintain

current activity levels), or (b) non-exercise related information during the intervention period.

**[See online appendix I for detailed systematic search information]**

#### *CRF Outcome Measure*

The outcome variable examined was patient-reported CRF (3), which studies assessed either separately or as a component of a comprehensive psychological questionnaire with a CRF subscale (see: bottom Table 1) (18-23).

#### *Coding and Reliability*

Two independent raters (JB, SP) coded information related to the study (see Table 1). Intensity of exercise was estimated using metabolic equivalent units (METs), where 1 MET = 3.5 ml O<sub>2</sub>·kg<sup>-1</sup>·min<sup>-1</sup>. Corresponding MET values for a given exercise intervention were coded from the Compendium of Physical Activity; these include low (<3 METs), moderate (3-≥6 METs), and vigorous (>6 METs) intensity levels (24). *Methodological quality* was assessed via the Physiotherapy Evidence Database scale (PEDro). PEDro guidelines categorize high quality studies from 6-11, fair quality 4-5, and poor quality <4. Reliability of the raters was high across dimensions (*M* Cohen κ (25) = 0.78 for categorical variables, *M* Spearman-Brown reliability (26) = 0.90 for continuous variables). Disagreements between coders were resolved through discussion.

#### *Study Outcomes and Calculation of Effect Sizes*

Because a majority of RCTs reported continuous measures, effect sizes (*d*) were defined as the standardized mean difference between the exercise and control groups divided by the pooled standard deviation, correcting for sample size bias and baseline

differences (27). Multiple effect sizes were calculated from individual studies when they included more than one exercise intervention group (e.g., aerobic and resistance training groups compared to a control group). Subsequent sensitivity analyses were conducted to confirm the dependence did not influence the mean estimate of the 48 effect sizes (28). Consequently, the 44 included studies provided 48 exercise vs. control group comparisons.

**[Insert Figure 1 here]**

Prior to analysis, data were assessed for publication bias using Begg (29) ( $z = 1.01$ ,  $p = 0.31$ ) and Egger (30) ( $t = 0.06$ ,  $p = 0.95$ ) methods, and yielded no evidence of publication bias (Figure 3 funnel plot, online). The trim-and-fill technique (31) identified no added or omitted studies were necessary to normalize the effect size distribution. Analyses were conducted in Stata 10.1 with macros for meta-analysis (32). The homogeneity statistic,  $Q$ , was calculated to determine whether a weighted mean effect size ( $d_+$ ) characterized a common effect size. A significant  $Q$  indicated the absence of homogeneity (i.e., more variation in effect sizes than sampling error alone would predict). To standardize  $Q$ , the  $I^2$  statistic and its 95%  $CI$  were calculated (33, 34).  $I^2$  ranges from 0% to 100% with low values suggesting homogeneity and large values suggesting heterogeneity. To explain variability in the effect size estimates, the relation between study-level characteristics and the magnitude of the effects, was examined in modified least squares regression analysis with the weights equivalent to the variance for each study effect size (viz., meta-regression). Bivariate analysis was conducted using fixed-effects assumptions, and the final, multi-moderator analysis was conducted using random-effects assumptions. To reduce multicollinearity in multiple moderator



models, all retained continuous moderators were zero-centered, and categorical variables were contrast coded.

**[Insert Table 1 and Table 2 here]**

## **Results**

Potentially relevant reports included 7,245 articles of which 44 ( $N= 3,254$ ) satisfied the selection criteria. Of the studies identified, 40 provided one CRF effect size estimate and four studies provided two estimates, yielding 48 effect sizes among 44 studies (See Table 1 for descriptive statistics). Studies providing two effect sizes included two independent exercise intervention groups that were compared to one standard-care group (46, 49, 55, 69). Three interventions with multiple intervention groups were randomized to aerobic exercise, resistance exercise, or control condition (49, 55, 69); whereas the fourth study randomized participants to either supervised exercise, unsupervised exercise, or a control condition (46). The mean methodological quality of the 44 included studies was  $6.8 \pm 1.4$  out of 11 (range: 3-10) (Table 2). The mean age of cancer survivors was  $53.8 \pm 10.5$  yr, and they averaged  $6.7 \pm 13.8$  months post diagnosis. The majority of cancer survivors were women (86%). Approximately half (46%) of cancer survivors were currently being treated with primary pharmacological therapy during the exercise intervention. For those undergoing therapy, a majority of cancer survivors in the sample (75%) were being treated with a combination of chemotherapy and radiotherapy, whereas 13% were treated with only chemotherapy, 6% were treated with only radiation, and 6% were treated with only hormone therapy.

Twenty-five studies examined exercise interventions exclusively in breast cancer survivors (44-55, 57-68), four in prostate cancer survivors (69-72), four in lymphoma (73-

76), one in leukemia (78), and one in colorectal cancer (77). The remaining nine studies examined exercise interventions in a mixed group of cancer survivors (35-43). Twenty-four studies included only aerobic exercise (35, 38, 39, 42-44, 46, 49, 50, 52-59, 61, 65, 69, 70, 74, 77, 78), six studies included only resistance exercise (49, 55, 63, 68, 69, 71), 11 studies included a combination of aerobic and resistance exercise (40, 41, 48, 51, 60, 62, 64, 67, 72, 75, 76), and another six included neuromuscular exercise such as tai-chi, or yoga (36, 37, 45, 47, 66, 73) (Table 5 characteristics of included studies, online).

The average length of the exercise intervention was  $11.5 \pm 5.2$  wk. Cancer survivors exercised  $3.5 \pm 1.4$  d/wk for  $48.5 \pm 22.8$  min/session. The level of physical exertion or average intensity of the aerobic exercise interventions was  $5.6 \pm 3.0$  METs, corresponding to moderate intensity exercise (40-60%  $\dot{V}O_{2\max}$ ), and included walking (48%), stationary cycle ergometry (30%), a combination of walking and cycling (16%), or other modalities of aerobic exercise such as the elliptical trainer or self-selected (6%). The average intensity of resistance training was  $4.5 \pm 2.0$  METs, corresponding to moderate intensity exercise (60-80% one-repetition maximum, 1-RM), and included weight-machines, resistance bands, or free weights (75%). The remaining studies prescribed neuromuscular exercise which commonly included tai-chi or yoga (25%). Flexibility exercise was a component of the exercise in 52% of exercise interventions. Supervision of exercise sessions was provided in 60% of the exercise interventions.

Ten studies used a theoretical basis for the exercise intervention (44, 48, 50, 54, 57-59, 61, 62, 65). Three interventions (48, 58, 62) followed the Transtheoretical model of behavior change (79, 80), two studies (54, 57) followed the model of self-efficacy and stages of exercise change (81), three studies (50, 59, 61) followed the Roy adaptation

model (82), one study (44) followed the Payne adaptation model (83) and one study (65) followed the Levine conservation model (84).

#### *Overall Efficacy of Exercise Interventions on Modulation of CRF*

Table 3 summarizes weighted mean effect sizes,  $d_+$ , for all cancer types collectively, as well as cancer type individually. This analysis indicated exercise reduced CRF (Table 3 and Figure 2), yet its impact did not attain significance for survivors of lymphoma, colorectal, or leukemia cancer, which may have lacked sufficient statistical power to detect a difference. Pooled, the effect sizes for the 48 interventions lacked homogeneity, as did the collection of studies addressing breast cancer survivors.

**[Insert Table 3 and Figure 2 here]**

#### *Factors Related to the Magnitude of CRF Modulation*

Bivariate regression analyses examined potential sample, methodological, and exercise intervention characteristics. Significant bivariate models were then integrated into a combined moderator model to explain unique study variance (Table 4). When integrated the following moderators no longer remained significant: session length (min), number of exercise sessions, and treatment with radiation therapy. Four moderators impacting CRF modulation in adult cancer survivors remained significant. Reductions in CRF were greater to the extent interventions: (1) adhered to a theoretical model (compared to those that did not do so) ( $\beta = 0.48$ ,  $p = <.001$ ); and (2) sampled older cancer survivors ( $\beta = 0.24$ ,  $p = .04$ ). Also (3), the greatest reductions in CRF occurred with moderate intensity (3-6 METs, 60-80%, 1-RM) resistance exercise ( $\beta = 0.60$ ,  $p = .01$ ), particularly for higher quality interventions (interaction  $\beta = 0.23$ ,  $p < .05$ ). In contrast, lower quality interventions were efficacious in reducing CRF at low ( $<3$  METs)

and moderate intensity (3-6 METs, 60-80% 1-RM) resistance exercise. Intensity of resistance exercise, use of theory, age, and methodological quality together explained 52% of the variance among exercise interventions for adult cancer survivors. The estimates in Table 4 reveal exercise interventions of moderate intensity (3-6 METs, 60-80% 1-RM) resistance exercise were successful in reducing CRF, regardless of the use of theory in the exercise intervention, age of the cancer survivor, and methodological intervention quality. In contrast, interventions of low intensity resistance (<3 METs, <60% 1-RM) exercise showed no significant reduction of CRF when theory was absent or in high methodological quality interventions. Time since diagnosis, aerobic exercise, flexibility exercise, or supervision of exercise sessions did not moderate CRF modulation.

**[Insert Table 4 here]**

## **Discussion**

Overall, we found exercise moderately reduced CRF among cancer survivors with an effect size of 0.31 (95% CI: 0.22, 0.40), consistent with prior reviews (12, 15). Of note is our new finding that resistance exercise has a positive, quadratic, and exercise intensity dose response effect on CRF. For cancer survivors engaging in moderate intensity, resistance exercise (3-6 METs, 60-80% 1-RM) reduced CRF more so than those engaging in lower intensity resistance or aerobic exercise of any level of physical exertion. Another interesting finding was exercise interventions based upon a theoretical model of behavior change or adaptation were more successful in reducing CRF than those interventions not based upon such models. Age was also related to CRF reduction, with older cancer survivors reducing CRF to greater levels than younger cancer survivors. Lastly, RCTs of stronger methodological quality (i.e., higher PEDro

score) reduced CRF less than those of weaker methodological quality. Our findings about exercise interventions based upon theoretical models and of higher methodological quality support previous meta-analytic work examining the influence of exercise on CRF (11). They also update the literature with a larger, more diverse sample of cancer survivors and types of exercise interventions (11).

Sub-group analysis relating to cancer type revealed exercise moderately reduced CRF, 0.39 (95% CI: 0.27, 0.51) and 0.42 (95% CI: 0.27, 0.57), among breast and prostate cancer survivors, respectively. These findings update and support previous meta-analytic reviews advocating the use of exercise as a non-pharmacological intervention to reduce CRF among breast and prostate cancer survivors (11, 12). Sub-group analysis among leukemia, lymphoma, and colorectal cancer survivors yielded non-significant reductions in CRF.

Four meta-analyses have been conducted examining the effect of exercise on CRF (11-14). Two of these meta-analysis have examined the mean reduction of exercise on CRF (13, 14) without accounting for exercise characteristics that may moderate the efficacy of exercise on CRF. The remaining two meta-analyses (11, 12) have examined moderators relating to the efficacy of exercise in reducing CRF, however, these meta-analyses were comprised of a smaller number of studies (i.e., 17 (11) and 18 studies (12)), and did not examine specific Ex Rx characteristics included in our analysis that may impact CRF modulation. In our meta-analysis of 48 interventions, we found exercise intensity was a significant moderator of CRF among adult cancer survivors participating in resistance training programs. A positive, quadratic pattern emerged suggesting moderate intensity resistance exercise interventions were more efficacious in diminishing CRF than those of lower intensity or aerobic exercise of any

level of intensity. Our finding of the efficacy of resistance exercise reducing CRF was somewhat unexpected. Current exercise guidelines for cancer survivors emphasize the importance of participating in aerobic exercise, complimented with resistance and flexibility exercises (ACSM Roundtable) (4) and often make no (National Comprehensive Cancer Network) (3) or minimal mention (American Cancer Society) (17) of resistance exercise.

A possible mechanism for the effectiveness of resistance exercise in reducing CRF among breast and prostate cancer survivors is the attenuation of the progressive muscle wasting and disruptions in muscle metabolism that occur with cancer and associated treatments (85). Several hypotheses related to muscle protein synthesis, adenosine triphosphate dysregulation, cytokine dysregulation and progressive muscle wasting have all been postulated as mechanistic underpinnings of CRF (85, 86). Moderate intensity resistance training increases muscle protein synthesis (87), improves cytokine response (88), and diminishes the rate of sarcopenia (89) among healthy human populations as well as those with compromised muscle function such as those with cerebral palsy, and other musculoskeletal disorders (90). Further, recent evidence suggests resistance exercise may provide health benefits such as improved total body muscular strength, self-esteem, and vitality in breast and prostate cancer survivors (49, 72, 91).

Another interesting finding was older cancer survivors reduced CRF to greater levels than younger cancer survivors engaging in any form of exercise. This finding is of particular importance as most cancer survivors are older  $\geq 65$  yr (1), yet most exercise interventions have focused on younger cancer survivors (4). Older cancer survivors are frequently challenged with age-related declines in health (i.e., sarcopenia, decreased

functional capacity) as well as cancer-related declines in health (e.g., cachexia, body composition changes, decreased bone mineral density) (92). Exercise has been shown to elicit favorable health outcomes among older prostate cancer survivors including, increased lean body mass and muscle strength, and increase distance walked in 6 minutes (72). Improving the status of these health parameters (e.g., body-composition, muscular strength, and cardiorespiratory fitness) may influence the modulation of CRF among other populations of cancer survivors.

Exercise interventions that adhered to a theoretical model of behavior change(86, 88) or adaptation model (82) achieved larger reductions in CRF than those that did not adhere to such models. Theoretical models provide empirically supported frameworks that inform behavior change, and may offer useful information about determinants of exercise behavior (93, 94). An understanding of exercise behavior and behavioral determinants among cancer survivors may help clinicians identify specific intervention strategies to facilitation adoption and maintenance of an existing exercise program in this population. Theoretical models of adaptation for cancer survivors may be efficacious in improving psychological components of mental health (e.g., distress of cancer diagnosis) potentially influencing CRF modulation. Despite the promise of such interventions, relatively few of the studies implementing a theoretical framework elaborated on the specific role of theory in the exercise intervention. Therefore, the current meta-analysis is limited in its ability to determine the specific underpinnings of theory mediating the reduction in CRF.

This study is subject to several limitations. Despite our comprehensive review of the literature examining CRF in all types of cancer, our search yielded 28 of the 48 exercise interventions that targeted breast (58%) and prostate cancer (10%) survivors

exclusively. The large number of interventions examining the impact of exercise on CRF modulation among breast cancer survivors limits the generalizability of our findings to other types of cancer survivors. Moreover, we acknowledge that theories of behavior change and adaptation models are hypothesized to influence fatigue through different mechanisms. As noted, we combined them into a single category because there were relatively few instantiations of theory-led interventions. Despite this limitation, the efficacy of the application of either behavior change or adaptation models is promising when compared to those not adhering to a pre-specified theory or model.

Another limitation relates to the major finding of this meta-analysis, that moderate intensity resistance exercise may be beneficial in reducing CRF. In particular, no study examined resistance exercise interventions >6 METs (>80% 1-RM). It remains unknown if more vigorous intensity resistance training would provide greater or lesser reductions in CRF. We did not evaluate adherence to the exercise interventions in this meta-analysis because most studies did not report this information. This variable should have important moderating effects on CRF modulation.

In summary, we confirm with the largest meta-analysis of RCTs conducted to date that moderate resistance exercise reduces CRF among adult cancer survivors, particularly breast and prostate cancer survivors and those of older age. Cancer survivors engaging in moderate-intensity resistance exercise modulated CRF levels more than those engaging in low-intensity resistance exercise or low to moderate intensity, aerobic exercise. Further, the most efficacious exercise interventions were based upon behavior change and adaptation theory. Our findings reinforce the notion that exercise interventions for adult cancer survivors should be individualized based upon the targeted health outcome and possibly cancer type. In addition, exercise



interventions should be multi-dimensional, combining sound exercise as well as behavioral science.

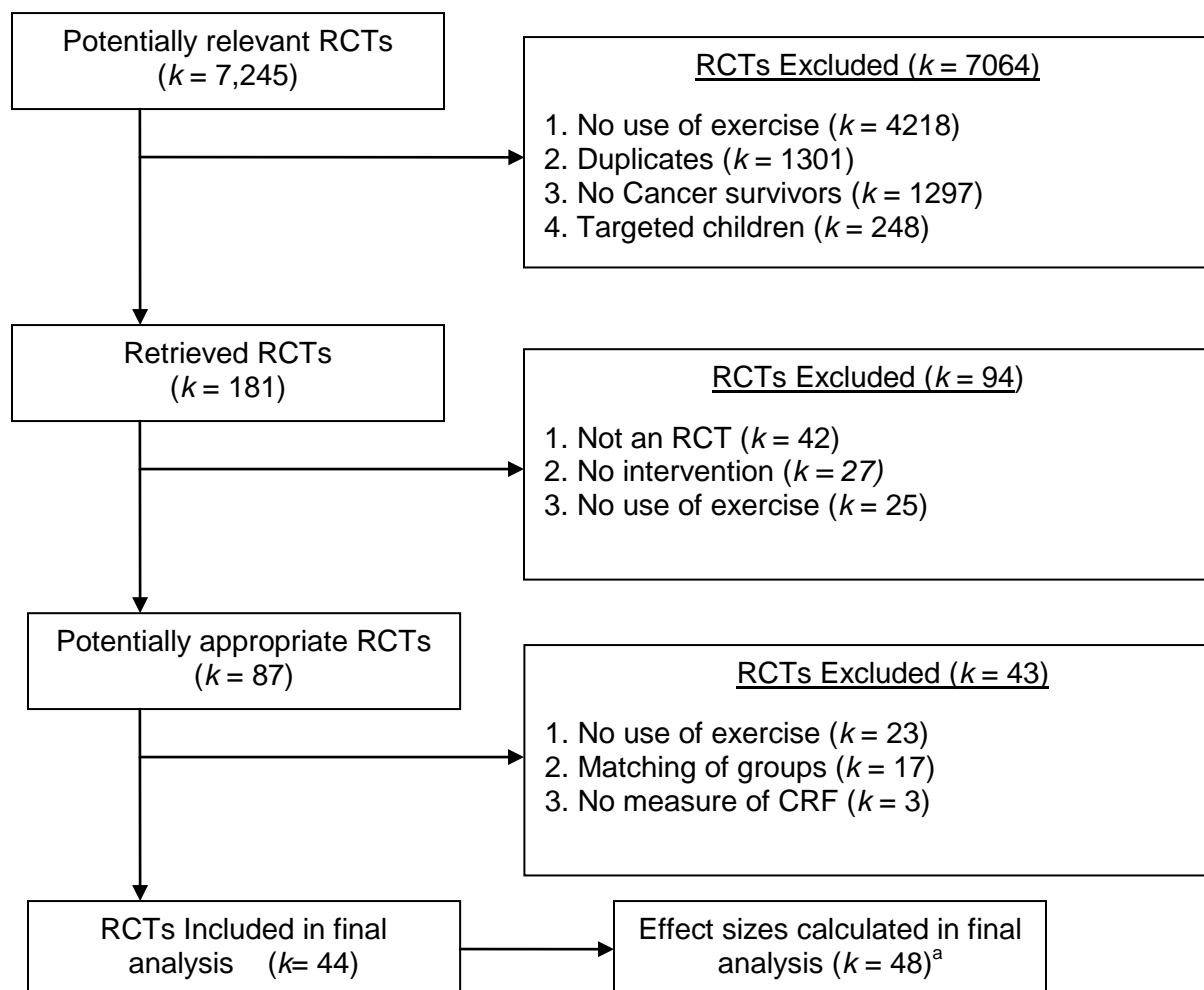
### **Supporting Information (Included in article)**

- Table 1.** Descriptive characteristics of included studies (Means  $\pm$  SD)
- Table 2.** Methodological quality of included studies by cancer type  
modulation by cancer type
- Table 3.** Weighted mean effect of exercise modulating CRF by type of cancer
- Table 4.** Intervention characteristics related to CRF reduction for all cancer survivors
- Figure 1.** Flow diagram of trial identification and selection
- Figure 2.** Forest plot of effect sizes gauging impact of exercise on CRF

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**Figure 1.** Flow diagram of trial identification and selection.



<sup>a</sup>Four studies provided two interventions, yielding two effect size calculations

**Table 1.** Descriptive characteristics of included studies. Means ( $\pm$ SD), except where noted

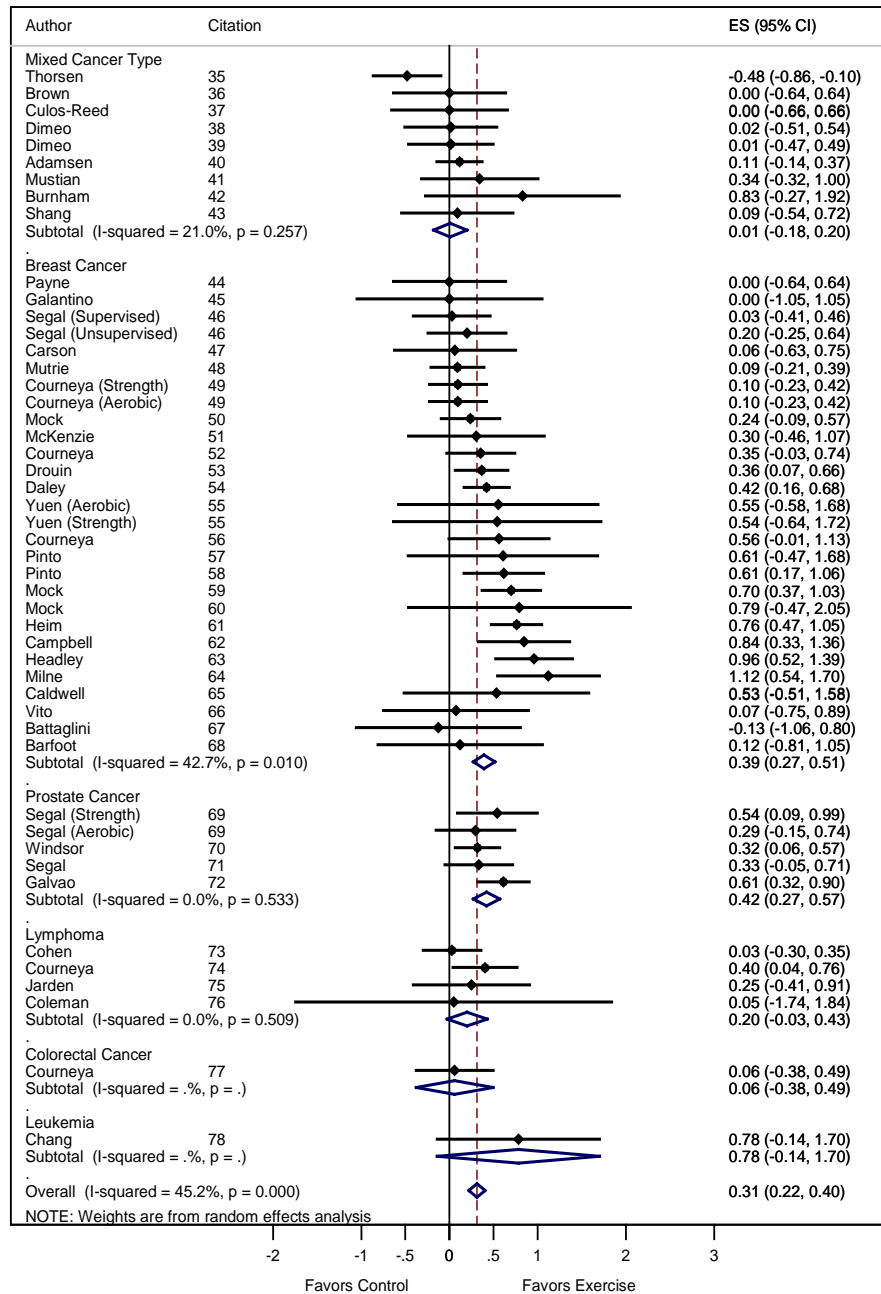
<b>Descriptive Characteristic</b>	<b>All Cancer</b>	<b>Breast</b>	<b>Prostate</b>	<b>Lymphoma</b>
<b>Study Characteristics</b>				
Number of studies, <i>k</i>	44	25	4	4
Year of study	2005 $\pm$ 3.5	2004 $\pm$ 3.4	2006 $\pm$ 2.7	2006 $\pm$ 3.4
Use of theory	27%	22%	0%	0%
Published in journal	88%	84%	100%	100%
<b>Subject Characteristics</b>				
Age	53.8 $\pm$ 10.5	52.6 $\pm$ 4.4	67.9 $\pm$ 1.6	49.8 $\pm$ 7.4
Type of treatment				
Chemotherapy	13%	12%	0%	25%
Radiation	6%	8%	0%	0%
Hormones	6%	4%	80%	0%
Combination	75%	76%	20%	75%
Stage of treatment				
Currently treated	46%	33%	80%	100%
Previously treated	54%	66%	20%	0%
Time since diagnosis, mo.	6.7 $\pm$ 13.8	6.9 $\pm$ 13.1	6.4 $\pm$ 14.3	7.3 $\pm$ 14.6
<b>Intervention Characteristics</b>				
Intervention length, wk	11.5 $\pm$ 5.2	11.8 $\pm$ 4.4	16.0 $\pm$ 7.5	12.3 $\pm$ 8.3
Frequency, d/wk	3.5 $\pm$ 1.4	3.4 $\pm$ 1.1	2.6 $\pm$ 0.4	3.3 $\pm$ 1.7
Length, min/session	48.5 $\pm$ 22.8	46.6 $\pm$ 21.9	60.0 $\pm$ 18.4	60.0 $\pm$ 21.2
Aerobic Intensity, METs	5.6 $\pm$ 3.0	4.9 $\pm$ 2.1	4.9 $\pm$ 5.2	5.6 $\pm$ 3.5
Strength Intensity, METs	4.5 $\pm$ 2.0	4.5 $\pm$ 1.7	2.4 $\pm$ 3.2	2.0 $\pm$ 1.2
Flexibility				
Included	52%	60%	40%	50%
Excluded	48%	40%	60%	50%
CRF scale used				
FACT	30%	36%	60%	25%
Piper fatigue	20%	32%	0%	0%
POMS	13%	8%	0%	25%
Brief fatigue index	11%	4%	0%	0%
Linear analog scale	4%	4%	0%	0%
EORTC QOL-C30	11%	0%	20%	25%
Other	11%	16%	20%	25%
FACT, Functional assessment of cancer therapy. POMS, Profile of mood states. EORTC QOL-C30, European organization for research treatment center quality of life-care 30. METs, metabolic equivalent units. Percentages may not sum to 100% due to rounding error.				

**Table 2.** Methodological quality of included studies by cancer type

		Study quality dimension											
		Citation	Total	1	2	3	4	5	6	7	8	9	10
Thorsen (35)		7	+	+	-	+	-	-	-	+	+	+	+
Brown (36)		7	+	+	-	+	-	-	-	+	+	+	+
Culos-Reed (37)		7	+	+	-	+	-	-	-	+	+	+	+
Dimeo (38)		6	+	+	-	+	-	-	-	-	+	+	+
Dimeo (39)		7	+	+	-	+	-	-	-	+	+	+	+
Adamsen (40)		10	+	+	+	+	-	+	+	+	+	+	+
Mustain (41)		8	+	+	+	+	-	-	-	+	+	+	+
Burnham (42)		7	+	+	-	+	-	-	-	+	+	+	+
Shang (43)		7	+	+	-	+	-	-	-	+	+	+	+
Breast Cancer													
Payne (44)		5	+	+	-	+	-	-	-	+	+	-	-
Galantino (45)		4	+	+	-	-	-	-	-	+	-	-	+
Segal (46)		7	+	+	-	+	-	-	-	+	+	+	+
Carson (47)		7	+	+	-	+	-	-	-	+	+	+	+
Mutrie (48)		10	+	+	+	+	-	+	+	+	+	+	+
Courneya (49)		7	+	+	-	+	-	-	-	+	+	+	+
Mock (50)		7	+	+	-	+	-	-	-	+	+	+	+
McKenzie (51)		8	+	+	-	+	-	-	-	+	+	+	+
Courneya (52)		9	+	+	-	+	-	+	+	+	+	+	+
Drouin (53)		6	+	+	-	+	-	-	-	+	-	+	+
Daley (54)		7	+	+	-	+	-	-	-	+	+	+	+
Yuen (55)		6	+	+	-	+	-	-	-	+	-	+	+
Courneya (56)		8	+	+	-	+	-	-	+	+	-	+	+
Pinto (57)		6	+	+	-	+	-	-	-	+	-	+	+
Pinto (58)		5	+	+	-	-	-	-	-	+	-	+	+
Mock (61)		5	+	+	-	+	-	-	-	+	-	+	-
Heim (60)		6	+	+	-	+	-	-	-	+	-	+	+
Mock (61)		4	+	+	-	+	-	-	-	-	-	+	-
Campbell (62)		6	+	+	-	-	-	-	-	+	+	+	+
Headley (63)		3	+	+	-	-	-	-	-	+	-	-	-
Milne (64)		8	+	+	+	+	-	-	-	+	+	+	+
Caldwell (65)		7	+	+	-	+	-	-	-	+	+	+	+
Vito (66)		7	+	+	-	+	-	-	-	+	+	+	+
Battaglini (67)		8	+	+	-	+	-	-	+	+	+	+	+
Barfoot (68)		7	+	+	-	+	-	-	-	+	+	+	+
Prostate Cancer													
Segal (69)		6	+	+	-	+	-	-	-	-	+	+	+
Windsor (70)		6	+	+	-	+	-	-	-	+	-	+	+
Segal (71)		10	+	+	+	+	-	+	+	+	+	+	+
Galvao (72)		9	+	+	+	+	-	-	+	+	+	+	+
Lymphoma													
Cohen (73)		9	+	+	+	+	-	-	-	+	+	+	+
Courneya (74)		7	+	+	-	+	-	-	-	+	+	+	+
Jarden (75)		7	+	+	-	+	-	-	-	+	+	+	+
Coleman (76)		5	+	+	-	+	-	-	-	-	+	+	-
Colorectal													
Courneya (77)		7	+	+	-	+	-	-	+	+	+	+	+
Leukemia													
Chang (78)		6	+	+	-	+	-	-	-	+	-	+	+

1, eligibility criteria; 2, randomization; 3, concealed allocation; 4, baseline similarity of groups; 5, subject blinding; 6, therapist blinding; 7, assessor blinding; 8, outcome measure from >85% of subjects; 9, "intention to treat"; 10, between group statistical comparisons; 11, point & variability measure.

**Figure 2.** Forest plot of effect sizes gauging impact of exercise on CRF modulation by cancer type with random-effects means.



**Table 3.** Weighted mean effect of exercise modulating CRF by type of cancer

Type of cancer	<i>k</i>	<i>d</i> <sub>+</sub> (95%CI)		Homogeneity of <i>d</i> 's		
		Fixed-effects	Random-effects	Q	P	<i>I</i> <sup>2</sup> (95% CI)
All cancers	44 <sup>*</sup>	0.312 (0.249, 0.375)	0.310 (0.217, 0.403)	93.37	<.001	50% (30, 64)
Breast	25 <sup>†</sup>	0.388 (0.303, 0.472)	0.391 (0.268, 0.514)	47.16	<.001	42% (10, 63)
Prostate	4 <sup>‡</sup>	0.420 (0.270, 0.570)	0.420 (0.270, 0.570)	3.15	.533	0% (0, 96)
Lymphoma	4	0.199 (-0.025, 0.425)	0.199 (-0.025, 0.425)	2.32	.508	0% (0, 99)
Colorectal	1	0.057 (-0.469, 0.583)	...	...	...	...
Leukemia	1	0.779 (-0.141, 1.700)	...	...	...	...

Weighted mean effect size values (*d*<sub>+</sub>) are positive when the exercise intervention was successful in reducing CRF compared to standard care. CRF, cancer-related fatigue. *k*, # of studies.

<sup>a</sup>44 studies provided a total of 48 effect sizes.

<sup>b</sup>25 studies provided a total of 28 effect sizes.

<sup>c</sup>4 studies provided a total of 5 effect sizes.

**Table 4.** Intervention characteristics related to CRF reduction for all cancer survivors, showing estimates at light and moderate levels of resistance exercise.

Study dimension	Level <sup>a</sup>	Estimates of $d_+$ (95% CI) <sup>b</sup> Intensity of Resistance Exercise	
		Light (2.0 METs)	Moderate (6.0 METs)
Use of theory	Absent	-0.034 (-0.207, 0.139)	0.361 (0.141, 0.582)
	Present	0.354 (0.177, 0.531)	0.749 (0.470, 1.029)
Age	39 years	0.160 (0.009, 0.311)	0.555 (0.319, 0.791)
	65 years	0.385 (0.205, 0.564)	0.780 (0.589, 0.971)
	70 years	0.428 (0.214, 0.643)	0.823 (0.612, 1.035)
Intervention quality	Highest quality (PEDro=10)	0.010 (-0.197, 0.217)	0.594 (0.310, 0.879)
	Mean quality (PEDro=6.8)	0.289 (0.165, 0.413)	0.684 (0.506, 0.862)
	Lowest quality (PEDro=3)	0.631 (0.363, 0.900)	0.794 (0.339, 1.249)

NOTE: Weighted mean effect size values ( $d_+$ ) are positive when the exercise intervention was successful in reducing CRF compared to standard care. CRF, cancer-related fatigue. METs, metabolic equivalent of task.

<sup>a</sup>Levels represent values at the extreme observations of each moderator and for other values of interest within that range.

<sup>b</sup> $d_+$  and their 95% CI estimates statistically adjust for the presence of the moderators in the mixed-effects model, including the linear and quadratic trends for strength intensity, use of theory, age, and intervention quality, held constant at their means except for differences in strength intensity and the study dimension in question.

MET values were provided to demonstrate the emerging patterns among theory, age, and intervention quality with increasing resistance exercise intensity, representing light (2.0 MET) and moderate (6.0 MET) intensity.



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## **Supplementary (Online material only)**

**Search strategy.** Systematic Search information

**Figure 3.** Funnel plot of effect size estimates

**Table 5.** Characteristics of included studies

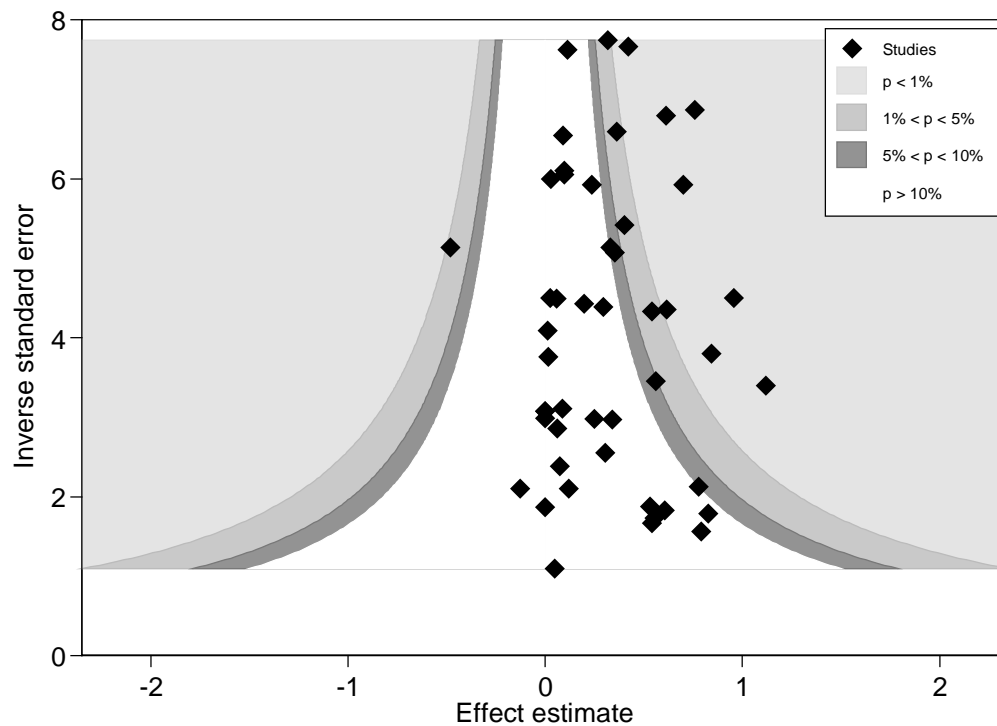
### **Search strategy**

Searches for studies concluded in February 2010 and utilized electronic databases including CINAHL (1981 to 2010), MEDLINE (1949 to 2010), Embase (1973 to 2010), and Scopus (1996 to 2010). OregonPDF in Health and Performance (1947 to 2010), Proquest Dissertations, and Theses (1980 and 2010) were also searched for unpublished literature including search words related to; 1) exercise, and 2) cancer and 3) fatigue. The following search strategy was utilized for this meta-analysis, using text and keyword and MESH terms in each database, with and RCT filter applied:

The databases PubMed, PsycINFO, CINAHL Plus, SPORTSdiscus, OregonPDF in Health and Performance, and ProQuest Theses and Dissertations were searched. We searched all databases using a Boolean search strategy [i.e., (cancer OR neoplas\* OR tumor OR chemo\* OR radiat\* OR malign\* OR carcinom\*) AND (fatigue (fatig\*) tired OR lethargic OR vitality OR weary OR exhaust\* OR energy OR apathy OR lassitude OR weakness OR Drained OR sleepy OR sluggish) AND (exercise OR physical activity OR aerobic OR cardiovascular OR resistance OR strength OR muscular OR flexibility OR walking OR program OR interval OR sport OR fitness OR performance OR movement OR stretching OR tai chi OR yoga OR dance OR body OR composition)]. Journals focusing on cancer survivorship (*Breast Cancer Research and Treatment, Journal of Cancer Survivorship, Oncology Nursing Forum, Journal of Pain and Symptom Management* and the reference lists of included studies were also searched for

additional papers and previous meta-analyses(12-14, 95) and systematic reviews (11, 13-15, 95-99) were searched for additional literature that database searches may have missed.

**Figure 3.** Funnel plot of effect size estimates.



**Table 5.** Characteristics of included studies (**Online material only**)

Subject Characteristics				Exercise Characteristics					
Reference	N	Type of Cancer	Type of Treatment	Frequency (d·wk <sup>-1</sup> )	Intensity	Time (min·d <sup>-1</sup> )	Type	Duration (wk)	CRF Measure
Thorsen (35)	I=59 C=52	Lymphoma Breast Gynecologic	Chemotherapy	2	60-70% HR <sub>Max</sub>	30	Walking Cycling Jogging	14	EORTC-QOL C30
Brown (36)	I=59 C=58	Mixed; Not-Specified	Radiation	1	N/A	90	Yoga Stretching	8	POMS
Culos-Reed (37)	I=20 C=18	Majority Breast; Others Not Specified	Not Specified	1	N/A	75	Yoga	7	POMS
Dimeo (38)	I=27 C=32	Breast Lymphoma Lung	Chemotherapy	7	30-50 RPM	30	Bed- Ergometer	12	POMS
Dimeo (39)	I=34 C=35	Lung Intestinal	Chemotherapy Radiation Surgery	5	80% HR <sub>Max</sub>	30	Stationary Bike	3	EORTC-QOL C30
Adamsen (40)	I=135 C=134	21 Tumor Types	Chemotherapy	3	85-90% HR <sub>Max</sub> 70-100% 1RM	90	Weights Stationary Biking	6	EORTC-QOL C30
Mustain (41)	I=19 C=19	Breast Prostate	Chemotherapy	3	60-70% HR Reserve	60	Walking Stretch Bands	4	BFI
Burnham (42)	I=6 C=12	Breast Colon	Chemotherapy Surgery	2	40-60% HR <sub>Max</sub>	30	Treadmill Biking	10	LAS
Shang (43)	I=68 C=58	Breast Prostate Colon	Chemotherapy Radiation	4	50-70% HR <sub>Max</sub>	30	Walking	13	PFS
<b>Breast</b>									
Payne (44)	I=10 C=10	Breast	Hormone	4	Moderate Intensity	20	Walking	12	PFS
Galantino (45)	I=4 C=4	Breast	Chemotherapy Radiation	1	N/A	60	Tai Chi Walking	3	BFI
Segal (46)	I=42	Breast	Chemotherapy Radiation Surgery	3	50-60% V02 max (Supervised)	30	Walking	26	SF-36
	I=40			5	50-60% V02 max (Self- Directed)				
	C=41								

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Carson (47)	I=16 C=20	Breast	Chemotherapy Surgery	1	N/A	120	Yoga	8	0-9 Scale
Mutrie (48)	I=101 C=102	Breast	Chemotherapy Radiation Surgery	3	50-75% HR <sub>max</sub>	45	Walking Cycling Aerobics	12	FACT
Courneya (49)	I=78	Breast	Chemotherapy	3	70% V <sub>O<sub>2</sub></sub> max	45	Cycle- Ergometer Treadmill Elliptical	17	FACT-A
	I=82 C=82				60-70% 1RM 2 Sets 8-12 Reps	45	Weight- Machines		
Mock (50)	I=60 C=59	Breast	Chemotherapy Radiation Surgery	5	Moderate Intensity	30	Walking	6	PFS
McKenzie (51)	I=7C=7	Breast	Chemotherapy Radiation Surgery	3	Ergometer: 25W Two Sets 10 reps	60	Arm- Ergometer Weight- Machines	8	SF-36
Courneya (52)	I=60 C=48	Breast	Chemotherapy Radiation	5	60-75 HR <sub>max</sub>	30	Walking	10	FACT
Drouin (53)	I=13 C=8	Breast	Radiation	4	50-70% HR <sub>max</sub>	45	Walking	8	PFS
Daley (54)	I=34 C=38	Breast	Chemotherapy Radiation Surgery	3	65-85% HR <sub>max</sub>	50	Not- Specified	8	PFS
Yuen (55)	I=7	Breast	Chemotherapy Radiation Surgery	3	Moderate	30	Walking	12	PFS
	I=8 C=7				8-12 Reps (circuit)		Weight- Machines		
Courneya (56)	I=25 C=28	Breast	Chemotherapy Radiation	3	70-75% V <sub>O<sub>2</sub></sub> max	45	Cycle- Ergometer	15	FACT-B
Pinto (57)	I=9 C=12	Breast	Chemotherapy Radiation Surgery	3	60-70% HR <sub>max</sub>	50	Aerobic- Activities	12	POMS
Pinto (58)	I=43 C=43	Breast	Chemotherapy Radiation Surgery	5	55-65% HR <sub>max</sub>	30	Walking Biking Swimming	12	POMS
Mock (61)	I=23 C=23	Breast	Chemotherapy Radiation Surgery	5	Self-Paced	30	Walking	6	PFS
Heim (60)	I=32 C=31	Breast	Chemotherapy Radiation Surgery Hormones	4	Not Specified	60	Walking Weight- Machines	12	FACT

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Mock (61)	I=9 C=5	Breast	Chemotherapy Radiation Surgery	3	Not Specified	30	Walking	16	SAS
Campbell (62)	I=12 C=10	Breast	Chemotherapy Radiation	2	60-75% HR <sub>max</sub>	45	Walking Cycling Aerobics	12	FACT-G
Headley (63)	I=16 C=16	Breast	Chemotherapy	3	Moderate Intensity	30	Not- Specified	16	FACT-F
Milne (64)	I=29 C=29	Breast	Chemotherapy Radiation Surgery	3	Moderate Intensity 2 sets 10-15 reps	60	Cycling Rowing Weights	12	FACT-B
Caldwell (65)	I=13 C=12	Breast	Chemotherapy Surgery	4	Light Intensity Light Resistance	30	Walking Resistance- Bands	12	SCFC (Schwartz Cancer)
Vito (66)	I=13 C=12	Breast	Chemotherapy Radiation	2	N/A	90	Yoga	8	FACT-B
Battaglini (67)	I=10 C=10	Breast	Radiation	2	Moderate Intensity 3 Sets 8-12 Reps	60	Treadmill Cycling Weights	15	PFS
Barfoot (68)	I=10 C=10	Breast	Chemotherapy Radiation	2	40-60% HR <sub>max</sub> 2-3 Sets 6-12 Reps	60	Cycle- Ergometer Weights	14	PFS
<b>Prostate</b>									
Segal (69)	I=40 I=40 C=41	Prostate	Radiation	3	70-75% V <sub>O<sub>2</sub></sub> max 60-70% 1RM 2 sets 8-12 reps	45	Cycling Weights	24	FACT-F
Windsor (70)	I=33 C=33	Prostate	Radiation Hormones	3	60-70% HR <sub>max</sub>	30	Walking	8	BFI
Segal (71)	I=82 C=73	Prostate	Hormones	3	2 sets 8-12 reps	60	Weights	12	FACT-F
Galvao(72)	I=29 C=29	Prostate	Transplant	2	2-4 sets 6-12 reps	45	Weights	12	EORTC-QOL C30
<b>Lymphoma</b>									
Cohen (73)	I=20 C=19	Lymphoma	Chemotherapy Radiation	1	N/A	60	Yoga	7	BFI
Courneya (74)	I=60 C=62	Lymphoma	Chemotherapy Radiation	3	75% V <sub>O<sub>2</sub></sub> max	45	Cycle- Ergometer	12	FACT-A
Jarden (75)	I=21	Lymphoma	Chemotherapy	5	50-75% HR <sub>max</sub>	30	Cycle	5	EORTC-QOL

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	C=21		Transplant						C30
Coleman (76)	I=14 C=10	Multiple Myeloma	Chemotherapy	3	N/A	20	Walking Cycling Stretch Bands	24	POMS
<b>Colorectal</b>									
Courneya (77)	I=69 C=33	Colorectal	Chemotherapy Surgery	4	65-75% HR <sub>max</sub>	30	Walking	16	FACT-C
<b>Leukemia</b>									
Chang (78)	I=11 C=11	Leukemia	Chemotherapy	5	Moderate	12	Walking	3	BFI

Wk: week; min: minutes; HR<sub>max</sub> : Maximum Heart Rate; HRR: Heart Rate Reserve; V02 max: maximum oxygen consumption; reps: repetition. FACT: Functional Assessment of Cancer Therapy; BFI: Brief Fatigue Index; POMS: Profile of Mood States; EORTC-QOL C-30: Quality of Life Compact 30; PFS: Piper Fatigue Scale; LAS/SAS: Linear/Symptom Analog Scale. I = n for intervention group; C = n for control group

## Chapter 4 — Depression

### The Efficacy of Exercise in Reducing Depression among Cancer Survivors: A Meta-Analysis

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Condensed Abstract: Exercise training provides an overall small reduction in depression among cancer survivors. Cancer survivors age 47–62 yr, those who had supervision, and those who engaged in higher amounts of aerobic exercise elicited the greatest reductions in depression.

Keywords: Physical activity, Behavior, Psychosocial, Quantitative Review.

## **Abstract**

**Introduction:** The purpose of this meta-analysis was to examine the efficacy of exercise in reducing depression among cancer survivors. In addition, we examined the extent to which exercise dose and clinical characteristics of cancer survivors influenced the relationship between exercise and reductions in depression.

**Methods:** We conducted a systematic search identifying randomized controlled trials of exercise interventions among adult cancer survivors examining depression as an outcome. We calculated effect sizes for each study and performed weighted multiple regression moderator analysis.

**Results:** We identified 40 exercise interventions including 2,929 cancer survivors. Diverse groups of cancer survivors were examined in seven exercise interventions; breast cancer survivors were examined in 26; prostate cancer, leukemia, and lymphoma were examined in two; and colorectal cancer in one. Cancer survivors who completed an exercise intervention reduced depression more than controls,  $d_+ = -0.13$  (95% CI: -0.26, -0.01). Aerobic exercise reduced depression in dose response fashion ( $\beta = -0.24$ ,  $p = 0.03$ ), a relationship evident in higher quality trials. Depression was reduced most when exercise sessions were supervised ( $\beta = 0.26$ ,  $p = 0.01$ ); and cancer survivors were between the ages of 47–62 yr ( $\beta = 0.27$ ,  $p = 0.01$ ).

**Conclusion:** Exercise training provides a small overall reduction in depression among cancer survivors but one that increased in dose-response fashion with aerobic exercise. Depression was reduced to the greatest degree among breast cancer survivors, among cancer survivors aged between 47–62 yr, or when exercise sessions were supervised.



## Introduction

There are over 12 million cancer survivors in the US (1). Nearly 100% of all cancer survivors experience psychological and physical symptoms and side effects related to cancer or cancer treatment (2). Cancer survivors may experience fear of death, disease relapse, and body image changes (3) that may contribute to the depression experienced by up to 60% of cancer survivors (4) compared to 7% of the general US population (5). Depression associates with chemotherapy noncompliance (6, 7) and reduced 5 yr survival rates (8, 9). Therefore, management of depression among cancer survivors is of clinical importance. Exercise is an effective non-pharmacological therapy to reduce depression among healthy populations (10) with a moderate standardized mean reduction when compared to those who do not exercise. Exercise provides similar or larger reductions in depression among an array of clinical populations including those living with chronic obstructive pulmonary disease (11), human immunodeficiency virus (12), and coronary artery disease (13).

Accumulating evidence suggests exercise training after diagnosis of cancer may reduce the symptoms associated with cancer survivorship, improve quality of life and reduce cancer-related fatigue (14, 15). However, the efficacy of exercise to reduce depression is inconclusive (2). Some studies have demonstrated moderate to large reductions in depression as the result of exercise programs (16, 17), whereas others observe no such reductions (18, 19). Although a previous meta-analysis (20) quantified the heterogeneity of exercise interventions to reduce depression among cancer survivors and reported a moderate to large amount of heterogeneity ( $I^2=55\%–76\%$ ), it did not examine moderator variables that could explain the heterogeneity in results.

Therefore, this meta-analysis examined the efficacy of exercise to reduce depression among cancer survivors and attempted to identify exercise prescription and clinical factors associated with the greatest reductions in depression. Identification of

characteristics moderating the magnitude of reduction in depression may aid clinicians in prescribing tailored exercise interventions to better manage depression among cancer survivors.

## **Methods**

### **Inclusion Criteria**

Studies were included if they: (1) utilized a randomized controlled design comparing an exercise intervention with a control group (i.e., no exercise program prescribed and instructions to maintain current activity levels or no exercise related information); (2) reported depression outcomes; and (3) targeted adults diagnosed with any type of cancer, regardless of stage of diagnosis or type or stage of treatment. Exercise interventions occurring in any setting, with or without supervision, were eligible.

### **Systematic Search [See supplementary material for systematic search strategy]**

### **Coding and Reliability**

Four independent, trained raters extracted information related to the study with high inter-rater reliability, mean Cohen's  $\kappa=0.90$ , for categorical variables, and mean intra-class correlation  $r=0.94$  for continuous variables. Absolute intensity of exercise was coded using metabolic equivalent units (METs), where 1 MET represents sitting quietly ( $3.5 \text{ ml O}_2 \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ) and  $<3$  METs, 3 to  $<6$  METs, and  $\geq 6$  METs represent low, moderate, and vigorous intensity exercise, respectively (21). We calculated the weekly volume of aerobic exercise as the product of minutes of daily exercise and frequency of exercise sessions per week ( $\text{min} \cdot \text{wk}^{-1}$ ). The Physiotherapy Evidence Database scale (PEDro) assessed methodological quality of the trials in terms of internal validity and statistical reporting (22).

### **Study Outcome and Effect Size Calculation**

Assessment of depression levels among cancer survivors was a continuous outcome variable assessed as a component of a comprehensive psychological questionnaire with a depression subscale (23) or a questionnaire solely assessing depression levels (24-27) (Table 1). In order to standardize these differences across studies, the standardized mean difference effect size ( $d$ ) was calculated to determine the difference in depression at follow-up between the exercise and control groups, correcting for small sample size bias and baseline depression levels (28, 29). For two group comparisons,  $d$  denotes the difference between the mean depression values of the control and exercise groups, divided by the pooled standard deviation (30). When more than one exercise group was provided (e.g., aerobic exercise and resistance exercise) we calculated multiple effect sizes. Subsequent sensitivity analysis examined the dependence between these effect sizes to confirm the weighted mean effect size of all exercise trials ( $d_+$ ) was not influenced by an individual effect size (31). A negative  $d$  value indicated the exercise was efficacious in reducing depression compared to the control group.

Stata 11.1 (StataCorp, College Station, TX) with macros developed for meta-analysis (32) performed all statistical analyses. Prior to analysis, Begg's test (33) ( $z=-1.67$ ,  $p=0.10$ ), Egger's test (34) ( $t=-0.12$ ,  $p=0.90$ ), and the trim-and-fill method (35) identified no asymmetries in the effect size distribution suggestive of publication bias. Potential heterogeneity or between-study variance was calculated as  $Q$  and  $I^2$  (and 95% CI) (36, 37).  $I^2$  ranges from 0% to 100% with low values suggesting homogeneity and large values signifying heterogeneity. To explain variance in the effect size estimates—the relation between study level characteristics and the magnitude of effect size—a modified, weighted least squares regression was used with weights equal to the inverse variance of each exercise intervention effect size (viz., meta-regression). All statistical models pursued fixed effects assumptions. Statistically significant bivariate regression

analyses were integrated into a multi-moderator fixed effects regression to determine which variables could be eliminated and which explain unique between study variance. To reduce multicollinearity in multiple meta-regression models, all continuous variables were zero centered, and categorical variables were contrast coded (-1/+1). Two-sided statistical significance was  $p < 0.05$ .

## **Results**

### **Methodological Characteristics**

Qualifying were 37 relevant randomized controlled exercise interventions (16-19, 38-70) ( $N=2,929$ ) with a total of 40 comparisons ( $k=40$ ) of exercise versus control conditions (Figure 1; Supplementary material describes each trial). Thirty-four studies provided one effect size, and three provided two effect sizes (19, 40, 48). The mean publication year of the exercise interventions was  $2006 \pm 4.2$ . A majority of studies (70%) were conducted in North America. The mean PEDro score of the exercise interventions was  $7.0 \pm 1.0$  indicating high quality (22). Implementation of a theory of behavior change occurred in 20% of the exercise interventions (Table 1). Questionnaires assessing depression included the Center for Epidemiologic Studies-Depression (25) (40%), Profile of Mood States (23) (23%), Beck Depression Inventory (24) (18%), Hospital Anxiety and Depression Scale (26) (12%), and Symptom Assessment Scale (27) (7%).

**[Insert Table 1 & Figure 1 here]**

### **Cancer Survivor Characteristics**

Cancer survivors participating in the exercise trials averaged  $51.3 \pm 6.5$  yr (range: 39–70). The majority of cancer survivors participating in the exercise interventions were white, non-Hispanic ( $n=2,255$ ; 77%) women ( $n=2,548$ ; 87%). Time since cancer diagnosis was  $25.3 \pm 19.6$  months (range: 2.8–73.0). Exercise interventions were more common during curative therapy with 29 of the 40 exercise interventions (73%) occurring during treatment (i.e., chemotherapy or radiation treatment). Diverse groups of cancer

survivors were examined in six exercise interventions (38-43), while breast cancer survivors were examined in 24 (16, 19, 44-65); prostate cancer (18, 66), leukemia (68, 69), and lymphoma (17, 70) in two; and colorectal cancer survivors in one (67).

### **Exercise Intervention Characteristics**

The mean length of the 40 exercise interventions was  $13.2 \pm 11.7$  wk with a session frequency of  $3.0 \pm 2.5$  d·wk<sup>-1</sup> for  $49.1 \pm 27.1$  min·session<sup>-1</sup>. Average weekly volume of all exercise was  $129.4 \pm 64.9$  min·wk<sup>-1</sup>. Exercise modalities included walking ( $k=16$ ; 40%), stationary cycling ( $k=5$ ; 13%), weight machines ( $k=2$ ; 5%), resistance bands ( $k=3$ ; 8%), and yoga ( $k=8$ ; 20%). In addition, flexibility exercises were prescribed in 50% of the exercise interventions. The absolute intensity of exercise was  $3.9 \pm 1.3$  METs indicating they were of low (i.e., <3 METs) to moderate (i.e.,  $\geq 3$  to <6 METs) intensity. A majority of exercise interventions (60%) were supervised.

### **The Influence of Exercise on Depression**

Exercise provided a small overall reduction in depression compared to standard care among all types of cancer [ $d=-0.13$  (95% CI: -0.26, -0.01)]. Subgroup analysis by cancer type revealed significant reductions in depression among breast cancer survivors [ $d=-0.17$  (95% CI: -0.32, -0.02)], but no significant difference in depression among prostate, leukemia, lymphoma, and colorectal cancer survivors (Table 2). Collectively, the 40 effect sizes of the exercise interventions lacked homogeneity [ $I^2=55\%$  (95% CI: 35–68),  $p<0.001$ ], as did the analysis restricted to breast cancer survivors [ $I^2=59\%$  (95% CI: 37–73),  $p<0.001$ ; Table 2].

**[Insert Table 2 here]**

### **Moderators of the Influence of Exercise on Depression**

Three moderators explained unique variance relating to the efficacy of exercise to reduce depression when entered in a multiple regression model. Weekly volume of aerobic exercise reduced depression in dose response fashion ( $\beta=-0.24$ ,  $p=0.03$ ), a

pattern that was more evident in higher quality trials. Depression was reduced most when exercise sessions were supervised ( $\beta=0.26$ ,  $p=0.01$ ); and cancer survivors were between 47–62 yr [ $\beta=0.27$ ,  $p=0.01$ ]; Table 3]. The following bivariate moderators ceased being statistically significant in the face of the former variables: (1) theory; (2) proportion of cancer survivors being non-Hispanic, white race; and (3) months since cancer diagnosis (Table 5, supplementary).

**[Insert Table 3 here]**

## **Discussion**

This review found that exercise provided a small overall reduction in depression among cancer survivors,  $d=0.13$  (95% CI: -0.26, -0.01), but the amount of change varied widely across studies. We also attempted to elucidate the exercise dose and clinical characteristics modulating the overall reduction of depression among cancer survivors. The new and intriguing findings from these moderator analyses were depression reductions were influenced by age, supervision of exercise, and weekly volume of aerobic exercise. The largest reductions appeared among cancer survivors between 47–62 yr, when exercise was supervised, or as weekly volume of aerobic exercise increased. These trends retained significance in a model that included all factors simultaneously, suggesting each term has a unique impact in influencing depression levels.

Our analysis revealed exercise reduced depression among breast cancer survivors,  $d=-0.17$  (95% CI: -0.32, -0.02), a pattern that confirms previous reports in the literature (71). We observed non-significant reductions in depression among prostate, colorectal, leukemia, and lymphoma survivors, but the lack of statistical significance among these types may be due in part to the small numbers of included studies and subsequent lowered statistical power to detect differences.

Depression reduction occurred in dose response fashion with aerobic exercise such that as weekly minutes of aerobic exercise increased so did reductions in depression, a finding observed in higher quality trials (Table 3). These trends are consistent with experimental evidence suggesting exercise reduces depression in dose response fashion among otherwise healthy populations (72). Consistent with our findings, the American College of Sports Medicine consensus statement in exercise and cancer survivorship suggests all cancer survivors strive to achieve a large volume of aerobic exercise of  $\geq 150 \text{ min}\cdot\text{wk}^{-1}$  to maximize the health benefits (2). However, the clinical translation of advocating larger doses of weekly aerobic exercise may be an unrealistic initial exercise prescription for some cancer survivors for many reasons (e.g., previous sedentary behavior, constraints of the disease process itself, other comorbidities) as well as more traditional barriers to exercise such as lack of time (73, 74). Accumulating large volumes of aerobic exercise should be progressive, increasing duration and frequency of exercise over weeks or months of exercise training as the course of the disease process allows and fitness increases (73, 75).

We found supervised exercise reduced depression more so than unsupervised exercise; consistent with improvements in quality of life (14) and fatigue reduction (76) among cancer survivors, and reducing depression among apparently healthy populations (77). Supervised exercise training is preferred over unsupervised exercise by breast and colon cancer survivors (78, 79), and provides opportunity to receive positive feedback and support, increasing compliance and associated mental and physical health benefits (80).

We found cancer survivors between the ages of 47–62 yr reduced depression more than <47 and >62 yr, respectively. The quadratic shape was unexpected as previous reports suggest a negative correlation between depression and age among cancer survivors (81, 82). Therefore, we hypothesized it would be younger cancer

survivors experiencing the greatest reductions in depression occurring in linear fashion. It is unclear why cancer survivors <47 yr did not experience exercise-induced reductions in depression. It is plausible the average weekly aerobic exercise volume performed ( $\sim 130 \text{ min} \cdot \text{wk}^{-1}$ ) was not a large enough dose of exercise to reduce depression among cancer survivors <47 yr. Functional capacity (i.e.,  $\text{VO}_{2\text{peak}}$ ) and age are negatively correlated (83). Thus, reducing depression among cancer survivors <47 yr may require a larger volume of aerobic exercise to elicit reductions in depression. Conversely, the lack of detecting a significant reduction in depression among cancer survivors >62 yr may be due in part to a floor effect (10). That is, older cancer experience less depression at baseline, and show smaller exercise-induced improvements in depression compared to those who are younger (84).

The findings from this meta-analysis provide additional insight to the physiology of depression. The therapeutic efficacy of monoamine oxidase inhibitors and tricyclic anti-depressant medications support the hypothesis of monoamine dysregulation as a mechanistic underpinning of depression (85). Anti-depressants act to increase circulating monoamines (86) and similar increases occur in response to acute and chronic aerobic exercise (87). Acute aerobic exercise increases noradrenaline, adrenaline, and serotonin above pre exercise levels (87, 88). Chronic aerobic exercise training increases noradrenaline, adrenaline, and serotonin levels above the levels elicited by an acute bout of aerobic exercise (87, 89). The higher concentrations of monoamines elicited in response to chronic aerobic exercise training support the use of chronic aerobic exercise training to reduce and manage depression (77). This supports our findings that accumulating larger weekly volumes of repeated bouts of aerobic exercise reduce depression in dose response fashion among cancer survivors. However, the monoamine hypothesis is one hypothesis of the etiology of depression. Continued research should investigate the complex physiology of depression and



exercise. In particular, identification of biomarker responses occurring with varying doses of aerobic exercise and their subsequent influence on depression.

### **Limitations**

Despite our intention to include all types of cancer of any race, 26 of the 40 effect sizes (65%) targeted white, non-Hispanic, breast cancer survivors exclusively which has been a limitation of previous meta-analyses examining a variety of health-related outcomes among cancer survivors (14, 15, 20). The skewed number of exercise interventions among breast cancer survivors limits the generalizability of our findings to other types of cancer. This limitation should provide an impetus for researchers to continue investigating the effects of exercise among other cancer types.

Despite an overall rating of high methodological quality ( $7.0 \pm 1.0$  of 11), we did note some consistent methodological weaknesses throughout the literature, such as inclusion of small sample sizes, inconsistent criterion with respect to study entry eligibility and baseline depression levels, and not following intent-to-treat analytic strategies.

### **Conclusion**

In closing, we confirmed that exercise provides a small reduction in depression among cancer survivors, particularly among breast cancer survivors. Depression reduction occurred in dose response fashion with aerobic exercise. Larger reductions in depression also occurred with supervised exercise, and among cancer survivors 47–62 yr. Cancer survivors should strive to avoid inactivity; discuss the safety and feasibility of exercising with their medical care provider to optimize physical and psychological symptom management and improvement; and eventually aim to achieve larger weekly volumes of aerobic exercise if possible (2).

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**Table 1.** Descriptive characteristics of included studies, subjects and exercise interventions by type of cancer (means  $\pm$  SD, *k* or % where noted)

Descriptive Statistic	All Cancer	Breast	Prostate	Leukemia	Lymphoma
<b>Study Characteristics</b>					
Number of studies, <i>k</i>	40 <sup>a</sup>	26 <sup>b</sup>	2	2	2
Year of study	2006 $\pm$ 4.2	2006 $\pm$ 3.9	2008 $\pm$ 2.1	2008 $\pm$ 0.7	2006 $\pm$ 3.5
Published in journal, <i>k</i>	34	21	2	2	2
PEDro quality	7.0 $\pm$ 1.0	6.7 $\pm$ 1.1	7.0 $\pm$ 0.0	7.5 $\pm$ 0.7	7.5 $\pm$ 0.7
<b>Subject Characteristics</b>					
Total n (% total n)	2929 (100)	1796 (61)	121 (4)	66 (2)	161 (6)
Gender, n of women (% total n)	2548 (87)	1796 (100)	121 (0)	22 (33)	61 (38)
Ethnicity, n (% total n)					
White, non-Hispanic	2255 (77)	1437 (80)	—	—	—
African-American	498 (17)	296 (16)	—	—	—
Hispanic	88 (3)	54 (3)	—	—	—
Asian	59 (2)	18 (1)	—	—	—
Age, yr	51.3 $\pm$ 6.5	50.9 $\pm$ 4.7	68.5 $\pm$ 1.2	45.2 $\pm$ 8.6	52.1 $\pm$ 1.5
Stage of treatment, <i>k</i>					
Currently treated	29	17	2	2	2
Previously treated	11	9	0	0	0
Time since diagnosis, mo	25.3 $\pm$ 19.6	26.9 $\pm$ 21.3	—	—	29.2 $\pm$ 8.0
<b>Exercise Intervention Characteristics</b>					
Intervention length, wk	13.2 $\pm$ 11.7	15.5 $\pm$ 14.2	12.0 $\pm$ 5.6	4.0 $\pm$ 1.4	9.5 $\pm$ 3.5
Length, min·session <sup>-1</sup>	49.1 $\pm$ 27.1	54.7 $\pm$ 27.5	65.0 $\pm$ 35.4	36.0 $\pm$ 33.9	61.2 $\pm$ 40.6
Frequency, session·wk <sup>-1</sup>	3.0 $\pm$ 2.5	2.8 $\pm$ 1.3	2.0 $\pm$ 1.4	5.0 $\pm$ 0.0	2.0 $\pm$ 1.4
Exercise volume, min·wk <sup>-1</sup>	123.9 $\pm$ 52.2	135.2 $\pm$ 25.1	105.0 $\pm$ 21.2	180.0 $\pm$ 169.7	97.5 $\pm$ 0.0
Aerobic intensity, MET	4.8 $\pm$ 1.1	4.7 $\pm$ 0.9	4.4 $\pm$ 0.8	5.4 $\pm$ 2.3	7.0 $\pm$ 0.0
Strength intensity, MET	2.9 $\pm$ 0.5	2.9 $\pm$ 0.6	3.0 $\pm$ 0.0	3.0 $\pm$ 0.0	2.5 $\pm$ 0.0
Neuromuscular, MET	2.5 $\pm$ 0.0	2.5 $\pm$ 0.0	—	—	2.5 $\pm$ 0.0
Flexibility, <i>k</i>					
Included	20	13	2	1	1
Excluded	20	13	0	1	1
Supervision, <i>k</i>					
Supervised	24	19	2	2	2

Unsupervised	16	7	—	—	—
Use of theory, <i>k</i>					
None	32	21	2	2	1
Psychological	8	5	0	0	1
Depression Scale used, <i>k</i>					
CES-D	16	9	1	—	2
POMS	9	7	—	1	—
BDI	7	6	1	—	—
HADS	5	2	—	1	—
SAS	3	2	—	—	—

**NOTE:** Percentages may not sum to 100% due to rounding error.

CES-D, Center for Epidemiologic Studies Depression scale; POMS, Profile Of Mood States; BDI, Beck Depression Inventory; HADS, Hospital Anxiety and Depression Scale; SAS, Symptom Assessment Scale.

*k*, number of studies included.

MET, metabolic equivalent, 1MET = 3.5 ml O<sub>2</sub>·kg·min<sup>-1</sup>.

<sup>a</sup> 37 studies provided 40 total effect size estimates

<sup>b</sup> 24 studies provided 26 total effect size estimates.

**Table 2.** Weighted mean effect of exercise modulating depression by type of cancer

Type of Cancer	<i>k</i>	<i>d</i> <sub>+</sub> (95% CI)		Homogeneity of <i>ds</i>		
		Fixed-Effects	Random-Effects	<i>Q</i>	<i>I</i> <sup>2</sup> (95% CI)	<i>P</i>
<b>All Cancer</b>	40 <sup>a</sup>	-0.13 (-0.21, -0.06)	-0.13 (-0.26, -0.01)	86.13	55% (35, 68)	<0.001
<b>Breast</b>	26 <sup>b</sup>	-0.19 (-0.28, -0.09)	-0.17 (-0.32, -0.02)	60.79	59% (37, 73)	<0.001
<b>Prostate</b>	2	-0.20 (-0.66, 0.25)	-0.20 (-0.82, 0.40)	0.00	0% (0, 100)	0.948
<b>Leukemia</b>	2	-0.22 (-0.73, 0.30)	-0.24 (-0.89, 0.40)	0.94	0% (0, 100)	0.332
<b>Lymphoma</b>	2	-0.35 (-0.67, -0.03)	-0.30 (-0.89, 0.29)	0.64	0% (0, 100)	0.424
<b>Colorectal</b>	1	-0.08 (-0.52, 0.35)	—	—	—	—

**NOTE:** Weighted mean effect size values (*d*<sub>+</sub>) are negative when the exercise intervention was successful in reducing depression compare to standard care.

*k*, number of studies.

<sup>a</sup>37 studies provided 40 total effect size estimates.

<sup>b</sup>24 studies provided 26 total effect size estimates.

**Table 3.** Multi-moderator intervention characteristics related to depression change for all cancer survivors

Study dimension and level <sup>a</sup>			Adjusted <sup>b</sup> $d_+$ (95% CI)	$\beta^c$	P
Accumulated weekly volume of aerobic exercise, min·wk <sup>-1</sup> × PEDro methodological score	PEDro=5 (lower quality)	90 min·wk <sup>-1</sup>	-0.29 (-0.54, 0.04)	-0.24 <sup>d</sup>	0.03
		120 min·wk <sup>-1</sup>	-0.19 (-0.40, 0.02)		
		150 min·wk <sup>-1</sup>	-0.09 (-0.34, 0.14)		
		180 min·wk <sup>-1</sup>	0.00 (-0.34, 0.34)		
	PEDro=10 (higher quality)	90 min·wk <sup>-1</sup>	-0.07 (-0.42, 0.27)		
		120 min·wk <sup>-1</sup>	-0.28 (-0.54, -0.02)		
		150 min·wk <sup>-1</sup>	-0.49 (-0.77, -0.23)		
		180 min·wk <sup>-1</sup>	-0.71 (-1.09, -0.33)		
Supervision of exercise	Unsupervised		-0.13 (-0.23, -0.04)	0.26	0.01
	Supervised		-0.36 (-0.55, -0.18)		
Age, <sup>e</sup> y ( <i>Quadratic</i> )	40		0.16 (-0.08, 0.41)	0.27	0.01
	50		-0.20 (-0.30, -0.10)		
	60		-0.25 (-0.42, -0.08)		
	70		0.01 (-0.47, 0.56)		

**NOTE:** Weighted mean effect size values ( $d_+$ ) are negative when the exercise intervention reduced depression compared to the control group. The regression equation is  $-0.2289 - 0.0164(\text{age, y}) + 0.0016(\text{age}^2, \text{y}) + 0.1117(\text{supervised}) - 0.0993(\text{PEDro}) - 0.0007(\text{Min week aerobic exercise}) - 0.0021(\text{PEDro} \times \text{Min week aerobic exercise})$ , where each continuous variable was zero-centered, and categorical variables were contrast coded (+1 vs -1).

<sup>a</sup>Levels represent values of interest of each moderator.

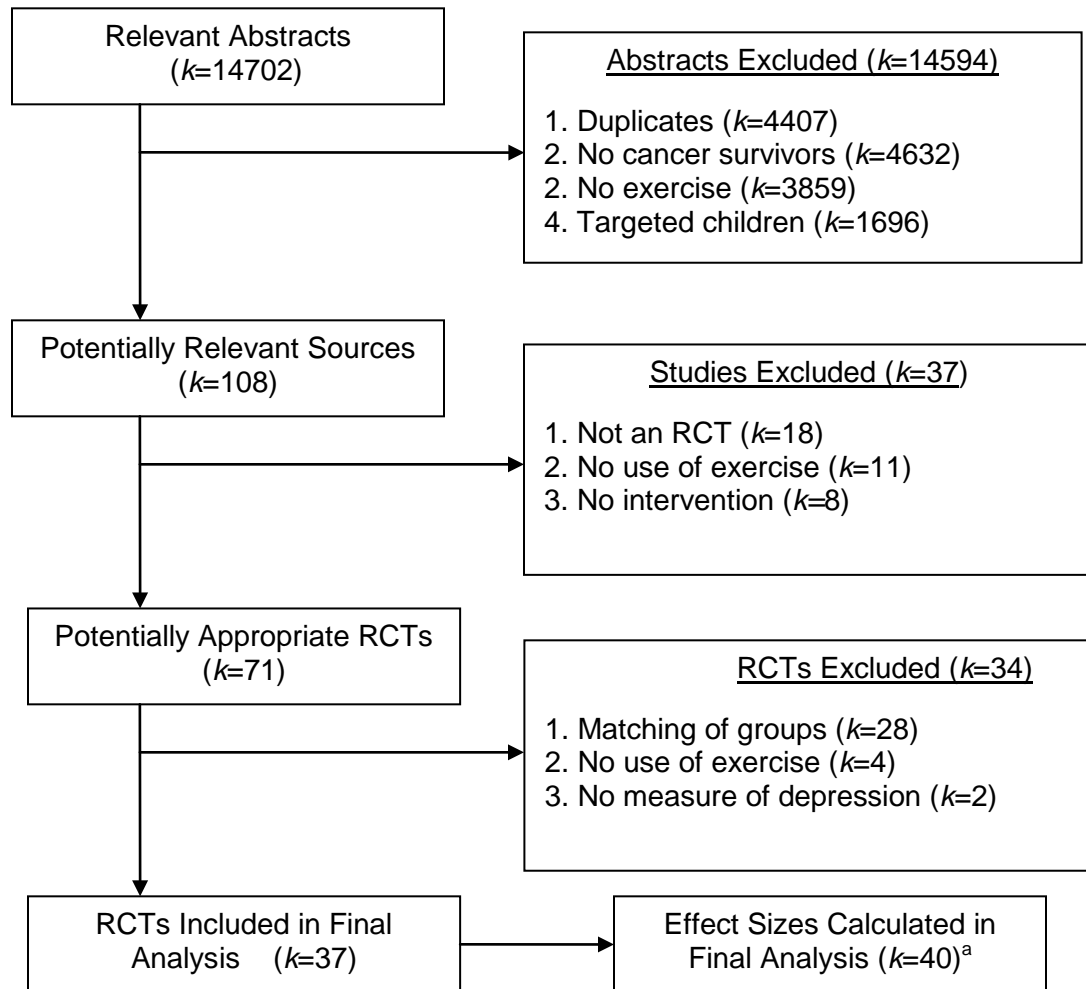
<sup>b</sup> $d_+$  and their 95% CI estimates statistically adjust for the presence of the rest of the moderators in the fixed-effects model, including weekly minutes of exercise × PEDro interaction and their independent linear terms, supervision of exercise, quadratic and linear trends for age, held constant at their means except for the study dimension in question.

<sup>c</sup> $\beta$  values are standardized.

<sup>d</sup> $\beta$  for interaction. Independent  $\beta$ : weekly aerobic volume,  $\beta = -0.09$ ; PEDro methodological score,  $\beta = -0.28$ .

<sup>e</sup>Continuous quadratic trend including linear component.

**Figure 1.** Flow diagram of exercise intervention identification and selection



<sup>a</sup> Three studies provided two interventions, yielding two effect size calculations  
RCT, Randomized controlled trial

**Table and Figure titles and footnotes:**

**Table 2.** Descriptive characteristics of included studies, subjects and exercise interventions by type of cancer (means  $\pm$  SD, *k* or % where noted)

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**Table 2.** Weighted mean effect of exercise modulating depression by type of cancer

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<sup>a</sup>37 studies provided 40 total effect size estimates.

<sup>b</sup>24 studies provided 26 total effect size estimates.

**Table 3.** Multi-moderator intervention characteristics related to depression change for all cancer survivors

**NOTE:** Weighted mean effect size values ( $d_+$ ) are negative when the exercise intervention reduced depression compared to the control group. The regression equation is  $-0.2289 - 0.0164(\text{age, yr}) + 0.0016(\text{age}^2, \text{y}) + 0.1117(\text{supervised}) - 0.0993(\text{PEDro}) - 0.0007(\text{Min week aerobic exercise}) - 0.0021(\text{PEDro} \times \text{Min week aerobic exercise})$ , where each continuous variable was zero-centered, and categorical variables were contrast coded (+1 vs -1).

<sup>a</sup>Levels represent values of interest of each moderator.

<sup>b</sup> $d_+$  and their 95% CI estimates statistically adjust for the presence of the rest of the moderators in the fixed-effects model, including

weekly minutes of exercise  $\times$  PEDro interaction and their independent linear terms, supervision of exercise, quadratic and linear trends for age, held constant at their means except for the study dimension in question.

<sup>c</sup> $\beta$  values are standardized.

<sup>d</sup> $\beta$  for interaction. Independent  $\beta$ : weekly aerobic volume,  $\beta = -0.09$ ; PEDro methodological score,  $\beta = -0.28$ .

<sup>e</sup>Quadratic trend including linear component.

**Figure 1.** Flow diagram of exercise intervention identification and selection

**FOOTNOTE:** <sup>a</sup> Three studies provided two interventions, yielding two effect size calculations

RCT, Randomized controlled trial

### **Systematic search strategy (Supplementary).**

The databases PubMed, PsycINFO, CINAHL Plus, SPORTSdiscus, OregonPDF in Health and Performance, and ProQuest Theses and Dissertations were searched through Nov 18, 2010. We searched all databases using a Boolean search strategy [i.e., (cancer OR neoplas\* OR tumor OR chemo\* OR radiat\* OR malign\* OR carcinom\*) AND (depress\* OR anxiety OR anxious OR worried OR scared OR nervous OR cognitive OR biofeedback OR relaxation OR social support OR mind-body) AND (exercise OR physical activity OR aerobic OR cardiovascular OR resistance OR strength OR muscular OR flexibility OR walking OR program OR interval OR sport OR fitness OR performance OR movement OR stretching OR tai chi OR yoga OR dance OR body OR composition)]. Journals focusing on cancer survivorship (*Breast Cancer Research and Treatment*, *Journal of Cancer Survivorship*, *Oncology Nursing Forum*, *Journal of Pain and Symptom Management* and the reference lists of included studies were also searched for additional papers.



**Table 4.** Clinical, exercise and methodological characteristics of included studies. **Supplementary.**

First Author, Year, Reference	Clinical Characteristics		Exercise Characteristics				Methodological Characteristics		
	Sample Size	Type of Cancer	Freq (d·wk <sup>-1</sup> )	Intensity	Time (min·session <sup>-1</sup> )	Type	Duration (wk)	Depression Measure	PEDro Score
<b>Mixed Cancer Diagnoses</b>									
<b>Burnham, 2002,(38)</b>	I = 12 C = 6	Breast colon	3	40–60% HRR	30	Aerobic; treadmill, stationary cycle, stair climber	10	LASA	7
<b>Dimeo, 1999,(39)</b>	I = 27 C=32	Variety; solid tumors, lymphoma	7	50% HRR	30	Aerobic; supine biking ergometer	4	POMS	8
<b>Dodd, 2010,(40)</b>	I = 44 I (Delayed) = 36 C = 39	Breast Colorectal Ovarian	3–5	60–80% V <sub>O</sub> <sub>2peak</sub>	20–30	Aerobic; walking, jogging, bicycling	52	CES-D	8
<b>Berglund, 1994,(41)</b>	I = 98 C = 101	Majority Breast cancer	2	n/a	60	n/a	7	HADS	7
<b>Courneya, 2003,(42)</b>	I = 60 C = 48	Breast Colon Ovarian Stomach Melanoma Hodgkin Non- Hodgkin's Brain Lung	3–5	65–75% HR <sub>max</sub>	20–30	Aerobic; swimming, cycling	10	CES-D	8
<b>Thorsen, 2005,(43)</b>	I = 59 C = 52	Lymphoma Breast Gyne- cologic Testicular	2	60–70% HR <sub>max</sub>	30	Aerobic; walking, cycling, jogging	14	HADS	8
<b>Breast Cancer</b>									
<b>Daley, 2007,(16)</b>	I = 34 C = 36	Breast	3	65–85% HR <sub>max</sub>	50	n/a	8	BDI	8
<b>Courneya, 2007,(19)</b>	I (Aer) = 78 I (RET) = 82 C = 82	Breast	3	60–70% V <sub>O</sub> <sub>2max</sub> 2 Sets, 60–70%	15–45	Aerobic: cycle ergometer, treadmill, elliptical 9 strength	17	CES-D	7

First Author, Year, Reference	Clinical Characteristics		Exercise Characteristics				Methodological Characteristics		
	Sample Size	Type of Cancer	Freq (d·wk <sup>-1</sup> )	Intensity	Time (min· session <sup>-1</sup> )	Type	Duration (wk)	Depression Measure	PEDro Score
				predicted 1- RM		exercise			
<b>Culos-Reed, 2006,(44)</b>	I = 20 C = 18	Majority breast	1	n/a	75	Yoga	7	POMS	7
<b>Rausch, 2007,(45)</b>	I = 15 C = 8	Breast	1	n/a	90	Tai Chi	10	POMS	5
<b>Ohira, 2006,(46)</b>	I = 43 C = 43	Breast	2	Progressive resistance	60	Weight training	24	CES-D	6
<b>Perna, 2010,(47)</b>	I = 26 C = 25	Breast	3	Aerobic: 50–85% HR <sub>max</sub>  Weight training: 1 set, 12–15 reps	30	Aerobic; treadmill walking  Weight training; weight belts	12	CES-D	9
<b>Lee, 2010,(48)</b>	I = 16 C = 18	Breast	1	Light (<40% 1-RM), elastic band, medicine ball exercise	40	Weight training of shoulder muscle groups	8	BDI	5
<b>Demark- Wahnefried, 2008,(49)</b>	I = 26 C = 29	Breast	≥3	Aerobic: walking  Weight training: Light (<40% 1-RM)	≥30	Aerobic training; walking  Weight training: elastic band, medicine ball exercise	12	HADS	6
<b>Targ, 2002,(50)</b>	I = 74 C = 60	Breast	1	n/a	90	Yoga	12	POMS	6
<b>Mutrie, 2007,(51)</b>	I = 101 C = 102	Breast	3	50–75% HR <sub>max</sub>	45	Walking, cycling, aerobics, strength exercises	12	BDI	9

First Author, Year, Reference	Clinical Characteristics		Exercise Characteristics				Methodological Characteristics		
	Sample Size	Type of Cancer	Freq (d·wk <sup>-1</sup> )	Intensity	Time (min· session <sup>-1</sup> )	Type	Duration (wk)	Depression Measure	PEDro Score
<b>Latka, 2009,(52)</b>	I = 37 C = 38	Breast	5	60–80% HR <sub>max</sub>	30	Walking	24	CES-D	7
<b>Patel, 2004,(53)</b>	I = 43 C = 19	Breast	1	n/a	90	Yoga	12	POMS	6
<b>Vadiraja, 2009,(54)</b>	I = 44 C = 44	Breast	3	n/a	60	Yoga	6	HADS	8
<b>McClure, 2010,(55)</b>	I = 16 C = 16	Breast	7	Low–moderate intensity	17	Arm flexibility exercise	17	BDI	6
<b>Pinto, 2003,(56)</b>	I = 12 C = 12	Breast	3	60–70% HR <sub>max</sub>	50	Treadmill walking, arm cycling, stationary cycling, rowing	12	POMS	7
<b>Mock, 1997,(57)</b>	I = 22 C = 24	Breast	4–5	Self-paced	20–30	Walking	6	SAS	7
<b>Danhauer, 2009,(58)</b>	I = 13 C = 14	Breast	1	n/a	75	Yoga	10	CES-D	6
<b>Cadmus, 2009,(59) (IMPACT)</b>	I = 25 C = 25	Breast	5	60–80% HR <sub>max</sub>	30	Not-specified	24	CES-D	8
<b>Drouin, 2005,(60)</b>	I = 13 C = 8	Breast	5	50–70% HR <sub>max</sub>	20–45	Treadmill walking	7	POMS	7
<b>Chandwani, 2010,(61)</b>	I = 30 C = 31	Breast	2	n/a	60	Yoga	6	CES-D	6
<b>Vito, 2007,(62)</b>	I = 13 C = 12	Breast	2	n/a	90	Yoga	8	POMS	8
<b>Payne, 2008,(63)</b>	I = 10 C = 10	Breast	4	Moderate intensity	20	Walking	12	CES-D	7
<b>Mock, 1994,(64)</b>	I = 9 C = 9	Breast	≥3	Self-paced	30	Walking	6	SAS	7

First Author, Year, Reference	Clinical Characteristics		Exercise Characteristics				Methodological Characteristics		
	Sample Size	Type of Cancer	Freq (d·wk <sup>-1</sup> )	Intensity	Time (min·session <sup>-1</sup> )	Type	Duration (wk)	Depression Measure	PEDro Score
<b>Eyigor, 2010,(65)</b>	I = 27 C = 25	Breast	3	n/a	20–30	Pilates	8	BDI	5
<b>Prostate Cancer</b>									
<b>Culos-Reed, 2010,(18)</b>	I = 53 C = 47	Prostate	3–5	moderate	60	Walking, resistance exercise	16	CES-D	7
<b>Monga, 2007,(66)</b>	I = 11 C = 10	Prostate	3	65% HR <sub>reserve</sub>	30	Treadmill walking	8	BDI	7
<b>Leukemia</b>									
<b>Jarden, 2009,(68)</b>	I = 21 C = 21	Leukemia	1	50–75% HR <sub>max</sub>  1–2 sets, 10–12 reps	60	Aerobic: Stationary cycling Resistance: Free weights, ankle weights	4–6	HADS	8
<b>Chang, 2008,(69)</b>	I = 11 C = 11	Leukemia	5	60–110 bpm	12	Walking	3	POMS	7
<b>Lymphoma</b>									
<b>Courneya, 2009,(17)</b>	I = 60 C = 62	Lymphoma	3	50–75% V <sub>O</sub> <sub>2peak</sub>	20–45	Recumbent cycle ergometer	12	CES-D	8
<b>Cohen, 2004,(70)</b>	I = 20 C = 19	Lymphoma	1	n/a	60	Yoga	7	CES-D	7
<b>Colorectal Cancer</b>									
<b>Courneya, 2003,(67)</b>	I = 69 C = 33	Colorectal	3–5	65–75% HR <sub>max</sub>	20–30	Walking	16	CES-D	9

**NOTE:** I, Intervention (exercise group); C, control group

CES-D, Center for Epidemiologic Studies Depression scale; POMS, Profile Of Mood States; BDI, Beck Depression Inventory; HADS, Hospital Anxiety and Depression Scale; SAS, Social Anxiety Scale.

HR<sub>max</sub>, maximum heart rate; HRR, heart rate reserve; V<sub>O</sub><sub>2peak</sub>, maximal oxygen consumption (ml·kg·min<sup>-1</sup>); bpm, beats per minute; 1-RM, one-repetition maximum

**Table 5.** Bivariate moderator intervention characteristics related to depression reduction for all cancer survivors. **Supplementary.**

Study dimension and level <sup>a</sup>		$d_+$ (95% CI) <sup>c</sup>	$\beta^d$	P
Theory	None	-0.06 (-0.15, 0.03)	0.26	0.01
	Psychological	-0.26 (-0.39, -0.13)		
Supervision of exercise	Supervised	-0.22 (-0.32, -0.13)	0.37	0.002
	Non-supervised	0.07 (-0.06, 0.21)		
Non-Hispanic white, %	24	-0.34 (-0.52, -0.17)	0.06	0.01
	99	-0.92 (-1.55, -0.29)		
Time since diagnosis, mo	2.8	-0.17 (-0.29, -0.04)	0.35	0.02
	73.0	0.39 (-0.21, 1.00)		
Accumulated weekly volume of aerobic exercise, min·wk <sup>-1</sup> × PEDro methodological score	PEDro = 5 × 90 min·wk <sup>-1</sup>	-0.19 (-0.43, 0.06)	-0.25	0.03
	PEDro = 5 × 150 min·wk <sup>-1</sup>	-0.02 (-0.26, 0.22)		
	PEDro = 10 × 90 min·wk <sup>-1</sup>	0.05 (-0.24, 0.35)		
	PEDro = 10 × 150 min·wk <sup>-1</sup>	-0.35 (-0.61, -0.08)		
Age <sup>e</sup> , y	39	0.22 (-0.04, 0.47)	0.70	0.001
	51	-0.19 (-0.27, -0.10)		
	69	0.12 (-0.31, 0.54)		

**NOTE:** Weighted mean effect size values ( $d_+$ ) are negative when the exercise intervention was successful in reducing depression compared to the control group.

<sup>a</sup>Levels represent values of interest of each moderator.

<sup>b</sup> $k$ , For categorical variables,  $k$  denotes number of effect sizes in each group. For continuous variables,  $k$  denotes total observations.

<sup>c</sup>bivariate  $d_+$  (95% CI) were calculated using fixed-effects models.

<sup>d</sup> $\beta$  values are standardized.

<sup>e</sup>Quadratic trend including linear component.

**Table and Figure titles and footnotes (Supplementary):**

**Table 4. (Supplementary Material)** Clinical, exercise and methodological characteristics of included studies

**NOTE:** I, Intervention (exercise group); C, control group

CES-D, center for epidemiologic studies-depression; HADS, hospital anxiety & depression; POMS, profile of mood states; BDI, Beck depression inventory; SAS, system assessment scales (100-millimeter axis)

HR<sub>max</sub>, maximum heart rate; HRR, heart rate reserve; VO<sub>2peak</sub>, maximal oxygen consumption (ml·kg<sup>-1</sup>·min<sup>-1</sup>); bpm, beats per minute; 1-RM, one-repetition maximum

**Table 5. (Supplementary Material)** Bivariate moderator intervention characteristics related to depression reduction for all cancer survivors

**NOTE:** Weighted mean effect size values ( $d_+$ ) are negative when the exercise intervention was successful in reducing depression compared to the control group.

<sup>a</sup>Levels represent values of interest of each moderator.

<sup>b</sup> $k$ , For categorical variables,  $k$  denotes number of effect sizes in each group. For continuous variables,  $k$  denotes total observations.

<sup>c</sup>bivariate  $d_+$  (95% CI) were calculated using fixed-effects models.

<sup>d</sup> $\beta$  values are standardized.

<sup>e</sup>Quadratic trend including linear component.

## Chapter 5 – Discussion

This thesis includes two studies examining the efficacy of exercise on cancer-related fatigue (CRF) (1) and depression (2) among adult cancer survivors. In this concluding chapter, the specific aims and hypotheses of these studies along with the most relevant findings are reviewed. Then, the clinical significance and the translation of the findings into clinical practice regarding the Ex Rx among cancer survivors are discussed. Lastly, directions for future research and a concluding summary are provided.

### **Specific Aims & Hypotheses**

**Specific Aim 1:** To meta-analyze the literature to determine the efficacy of exercise on reductions in CRF and depression among cancer survivors.

**Hypothesis 1:** Cancer survivors engaging in exercise would demonstrate statistically significant reductions in CRF and depression compared to cancer survivors receiving standard care. Cancer survivors engaging in exercise experienced statistically significant reductions in CRF and depression when compared to cancer survivors receiving standard care.

**Specific Aim 2:** To meta-analyze the literature to examine the influence of the frequency, intensity, time and type (FITT) components of the Ex Rx on reductions in CRF and depression among cancer survivors.

**Hypothesis 2:** FITT components of Ex Rx would modulate the magnitude of the reduction in CRF and depression among cancer survivors. The largest reductions in CRF occurred when cancer survivors performed resistance training (i.e., weight training). CRF reductions occurred in a dose response fashion with resistance exercise such that as intensity of resistance exercise increased, so did reductions in CRF. In contrast, the largest reductions in depression occurred when cancer survivors



performed aerobic exercise. Depression reduction occurred in dose-response fashion with aerobic exercise such that as weekly minutes of aerobic exercise increased, so did reductions in depression, a finding observed in higher quality trials. Moreover, larger reductions in depression occurred with supervised exercise.

**Specific Aim 3:** To meta-analyze the literature to examine the influence of patient clinical characteristics on reductions in CRF and depression among cancer survivors. **Hypothesis 3:** Clinical characteristics of cancer survivors would modulate the magnitude of the reduction in CRF and depression resulting from exercise. Subgroup analysis identified breast and prostate cancer survivors performing exercise significantly reduced CRF compared to breast and prostate cancer survivors receiving standard care. However, *only* breast cancer survivors performing exercise significantly reduced depression compared to other all types of cancer survivors receiving standard care.

Age moderated the magnitude of the exercise-induced reductions in CRF and depression. Interestingly, contrasting trends emerged with respect to age of cancer survivors performing exercise and the magnitude of CRF and depression reduction. Age of cancer survivors performing resistance exercise was positively correlated with CRF reduction such that older cancer survivors reduced their CRF levels to greater levels than younger cancer survivors. Whereas cancer survivors between the ages of 47–62 yr engaging in aerobic exercise reduced their depression levels to greater levels than those <47 or >62 yr.

**Specific Aim 4:** To meta-analyze the literature to examine the influence of the interactions among FITT components of Ex R<sub>x</sub> and patient clinical characteristics

on reductions in CRF and depression among cancer survivors. **Hypothesis 4:** Interactions among FITT components of Ex Rx and patient clinical characteristics will modulate the magnitude of reduction in CRF and depression among cancer survivors. This hypothesis was not supported, as we identified no interactions among the Ex Rx FITT components and patient clinical characteristics on reductions in CRF and depression among cancer survivors.

### **Other findings**

Exercise interventions using behavioral change strategies to develop and guide cancer survivors through the exercise intervention were more efficacious in reducing CRF than exercise interventions not developed or guided by a behavioral change model.

The magnitude of exercise-induced CRF reduction was moderated by the methodological quality of the exercise intervention assessed by the PEDro methodological score (3). There was a significant interaction between the PEDro methodological score and intensity of resistance exercise prescribed. Exercise interventions of lower methodological quality were efficacious in reducing CRF when they prescribed low or moderate intensity resistance exercise. Interventions of higher methodological quality were efficacious in reducing CRF only when they prescribed moderate-intensity resistance exercise.

There was a significant interaction between the PEDro methodological score and weekly volume of aerobic exercise. Exercise interventions of lower methodological quality were not efficacious in reducing depression at any weekly volume of aerobic exercise, whereas interventions of higher methodological quality were efficacious in reducing depression with larger weekly volumes of aerobic exercise.

### **Physiologic specificity of exercise and modulation of CRF and depression**

The efficacy of exercise to reduce CRF and depression emerged to be modality (or type of exercise) specific. Resistance training reduced CRF in dose response fashion, whereas aerobic exercise reduced depression in dose response fashion. Despite the unknown etiology of CRF and depression among cancer survivors, several hypotheses are suggested (4, 5).

CRF associates with variety of physiologic alterations occurring with cancer and cancer treatment. These alterations include decreases in muscle mass and muscle strength, and marked increases in pro inflammatory cytokines, specifically interleukin-6 (IL-6), IL-1 $\beta$ , and tumor necrosis factor- $\alpha$  (TNF-  $\alpha$ ) (5). Interestingly, resistance exercise elicits increases in muscle mass, muscle strength, and a cascade of cytokine responses occurring in dose response fashion with exercise intensity among apparently healthy persons (6). During resistance exercise there is an up regulation of anti-inflammatory cytokines, specifically IL-6 and IL-10 (6, 7). This increase in anti-inflammatory cytokines results in subsequent down regulation of pro-inflammatory cytokines including TNF- $\alpha$  and IL-1 $\beta$ , postulated to result in diminished levels of CRF (5, 7). Appropriately, this meta-analysis found resistance training reduced CRF in dose response fashion, fully supporting the cytokine dysregulation hypothesis of CRF proposed by Al-Majid (5). Moderate intensity resistance training elicits similar cytokine responses in prostate cancer survivors resulting in diminished levels of CRF providing additional evidence for this hypothesis in a randomized controlled trial (8, 9).

The specific etiology of depression remains unclear despite 50 yr of investigation (10). Several hypotheses exist including monoamine imbalance, hypothalamic pituitary axis dysregulation, and depletion of  $\beta$ -endorphins in the brain (10, 11). Monoamine imbalance is the most widely proposed hypothesis

relating to depression. Monoamines (serotonin, noradrenaline, and dopamine) are critical to the efficacy of anti-depressant medication (10). The function of anti-depressants is to retard the rate of monoamine degradation in the body (10). This yields higher bioavailability of monoamines, subsequently increasing their concentration at synaptic junctions in the brain postulated to result in lower levels of depression (10). The physiologic response to anti-depressant medication is similar to that of aerobic exercise (10, 12); increasing the bioavailability of monoamines (12). Acute and chronic aerobic exercise increases monoamine concentrations above pre exercise levels, and above those achieved with heavy resistance training (13). This makes aerobic exercise an optimal modality to improve monoamine concentration among those with depression. An acute bout of aerobic exercise increases monoamine concentrations from pre exercise levels, and chronic aerobic exercise increases monoamine concentration from acute exercise levels (12). This monoamine response makes chronic aerobic exercise training an efficacious intervention for the management of depression. Appropriately, we found aerobic exercise reduced depression in dose response fashion; larger volumes of weekly aerobic exercise were more efficacious in reducing depression among cancer survivors. The finding that aerobic exercise in dose response was more efficacious than strength training to reduce depression supports the monoamine hypothesis proposed by others (10-12). A randomized controlled trial examining aerobic exercise and biomarkers associated with depression would provide additional evidence for this hypothesis.

### **Clinical significance of the findings and their translation into clinical practice**

The current Ex Rx recommendations for cancer survivors suggest a general health fitness program focusing on accruing  $\geq 150 \text{ min} \cdot \text{wk}^{-1}$  of aerobic exercise,

complimented with two days of resistance exercise, and flexibility training on days of non-exercise (14, 15). Ex Rx guidelines from the ACSM expert consensus for cancer survivors are consistent with the recommendations made in 2008 for physical activity among healthy Americans (16).

The current Ex Rx for cancer survivors was not developed and tailored for symptom management. Rather, the generic Ex Rx implements a broad range of modalities of light to moderate intensities, likely providing improvements in health related components of physical fitness including aerobic capacity, muscular strength and endurance, body composition, and flexibility, but providing no insight for symptom management. The current Ex Rx recommendations suggest a 'one size fits all' approach to exercise and symptom management. The expert panel did not provide symptom specific Ex Rx recommendations due to the heterogeneity of results in symptom improvements relating to the varying doses of exercise prescribed (14). The lack of evidence regarding symptom outcomes was a noted research gap warranting further investigation. The expert panel acknowledged, "The existent literature is insufficient to assist fitness professionals with the specifics required to ensure that cancer survivors receive safe and effective exercise prescriptions" (14).

This thesis provides support for the dose-response effects of exercise on CRF and depression. More importantly, the findings from this thesis provide evidence for hypothesis driven prospective randomized control trials to test the dose-response effect of exercise on CRF and depression. Evidence from future randomized trials may confirm the findings of this meta-analysis, suggesting refinement of the current Ex Rx based upon magnitude of symptoms experienced during and after treatment.

The findings of this meta-analysis indicate cancer survivors reporting CRF as their chief complaint may reap the largest benefits in CRF reduction by engaging in a progressive, supervised, strength training program, complimented by aerobic and flexibility exercises. Strength training should begin with little to no weight and progress as appropriate. Schmitz *et al.* (17, 18) demonstrated breast cancer survivors, with and at-risk for lymphedema, have been able to participate in slowly progressive weight training with no maximum intensity restrictions, including one repetition maximum testing (1-RM; the maximum amount of weight lifted one time). This trial used weighted Velcro straps or no weight at all for two sets of each exercise of 10 repetitions per set. After being able to perform two additional repetitions, for two sets, for two consecutive workouts, the resistance increased by the smallest possible increment. This indicates slowly progressive resistance training is safe for breast cancer survivors with and at risk for lymphedema, reduces limb swelling, reduces self-reported lymphedema symptoms, improves quality of life and body image, and reduces CRF.

Conversely, the findings of this meta-analysis suggest cancer survivors reporting depression as their chief complaint may reap the largest reductions in depression by engaging in a structured, supervised, aerobic exercise program with the primary goal of achieving large weekly volumes of aerobic exercise, complimented by strength and flexibility exercise. Breast cancer survivors accrued  $\geq 150 \text{ min}\cdot\text{wk}^{-1}$  of aerobic treadmill exercise in 12 wk (19), and  $225 \text{ min}\cdot\text{wk}^{-1}$  over 24 wk of training. Survivors performed  $3\text{--}5 \text{ d}\cdot\text{wk}^{-1}$  treadmill walking for  $15\text{--}20 \text{ min}\cdot\text{d}^{-1}$  with small weekly increments (i.e., 5–10 min) until 150 or 225  $\text{min}\cdot\text{wk}^{-1}$  was achieved (20). These trials provide a model for clinicians to follow when prescribing progressive aerobic exercise. However, accruing  $\geq 150 \text{ min}\cdot\text{wk}^{-1}$  of aerobic exercise may take longer than 12 wk if pre-diagnosis physical activity

levels were low and other comorbidities exist (i.e., obesity). Jones *et al.* (21) provides a schematic to aid the clinician in identifying the appropriate dose of exercise to prescribe by assessing previous and current exercise levels of patients. The schematic provides the appropriate frequency, intensity, time, and type of exercise recommended by the current ACSM Ex Rx (4, 11). Clinicians and health fitness professionals should always weigh the risk to benefit ratio when prescribing larger or more intense doses of exercise to their patients and clients.

The ACSM expert panel acknowledged the interaction of age with exercise training is of special interest as many cancer survivors are older because they now living with rather than dying from cancer (14). We quantified the moderating effects of age and the exercise-induced reductions in CRF and depression. Cancer survivors reduced CRF to the greatest magnitude with increasing age, whereas cancer survivors age 47–62 yr reduced depression to the greatest magnitude (1, 2). Age modulates CRF and depression differently among different types of cancer survivors when performing exercise, suggesting age may be a characteristic considered when developing an Ex Rx for symptom management.

### **Future Research**

This thesis provides continued evidence supporting the efficacy of exercise training among cancer survivors. However, many research questions remain. Other clinically relevant side effects of cancer or cancer treatment such as anxiety, nausea, and pain and their response to exercise training warrant continued investigation. Existent literature has examined the efficacy of exercise training among breast cancer survivors (22). Future research should investigate the safety and efficacy of exercise training on other common forms of cancer

including lung, colorectal, prostate, and ovarian cancers. Furthermore, a majority of cancer survivors participating in exercise training studies are Caucasian, non-Hispanic whites (14). Noted in the expert consensus statement (14), future research needs to examine the efficacy of exercise training among racial and ethnic minority groups as well as those of low socioeconomic status. Future trials should examine exercise training among cancer survivors presenting with co-morbidities such as cardiac conditions, obesity, metabolic, and bone disorders.

To verify our findings, a large, well-powered, randomized controlled trial examining the efficacy of the specific doses and modalities of exercise found to be efficacious in reducing CRF and depression among cancer survivors should be conducted. For example, a trial designed to test our findings relating to CRF reduction would employ a four arm randomized design with 42 subjects per arm (N=168). This sample would provide 80% power, and two-sided  $\alpha = 0.05$  to detect a reduction in CRF. Participants would be randomized to one of four groups: 1) moderate intensity resistance training (60–80% 1-RM; 2 sets; 8–12 repetitions; 3 d·wk<sup>-1</sup>); 2) moderate intensity aerobic exercise (40–60%  $\dot{V}O_{2peak}$ , 3 d·wk<sup>-1</sup>); 3) a combination of arms aerobic and strength exercise; or 4) placebo wait list control. This trial would compare different modalities of exercise to reduce CRF. Once the optimal modality of exercise is identified, other program variables manipulated in similarly designed trials might include frequency, intensity, and time of exercise. A similar randomized study design could also investigate depression as a primary outcome to elucidate efficacious modalities of exercise.

## **Conclusion**



This meta-analysis examined the magnitude of the exercise induced reductions in CRF and depression among cancer survivors. Additionally, this thesis investigated the Ex Rx and clinical patient characteristics moderating the magnitude of the exercise induced responses in CRF and depression among cancer survivors. This thesis provides evidence that resistance training reduces CRF, and aerobic training reduces depression among cancer survivors. Both CRF and depression responding to exercise training in *dose response* fashion. This research highlights the importance of the continued development of symptom-specific Ex Rx among cancer survivors. The findings from this thesis provide a framework to begin tailoring the FITT components of the Ex Rx for symptom specific management of cancer survivors, whereas prior exercise interventions have prescribed a 'one size fits all' approach to exercise and symptom management. In accordance with current Ex Rx guidelines, all cancer survivors should strive to avoid inactivity if at all possible (14). Cancer survivors are encouraged to discuss the safety, feasibility, and efficacy of beginning an exercise program with their oncologist or primary care physician.

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## **Appendix — Systematic Data Extraction Form**

### **Exercise and Depression in Cancer Patients and Survivors**

#### **Study Selection Criteria**

Studies must have an intervention intended to affect physical activity behavior in individuals who have been diagnosed with cancer (thus studies with no manipulation (or studies with a manipulation but in which researchers determined the manipulation was ineffective and separated the group for analysis based on self-selected exercise), studies with interventions intended to affect another behavior in cancer survivors, or interventions intended to affect behavior in relatives or friends of cancer survivors are excluded).

1. target adult (over age 18) cancer survivors (excluding studies that target pediatric and adolescent cancer survivors)
2. include an appropriate comparison (excluding studies with self-selected intervention/ control groups; does not exclude pre-test/ post-test design, studies that compare a pre-test control group measure to a post-test intervention group measure; non-equivalent control group designs or other designs that do not use randomization but have appropriate comparison data).
3. include non-independent data (excluding studies that are a re-analysis of data in studies already included in the analyses, and studies that use the same participants as studies already included in the analyses).
4. include appropriate quantitative dependent variables (depression, anxiety, physiological, and exercise adherence measures)
5. provide requisite statistical information to allow for calculation of effect size.

PHYSICAL ACTIVITY IN CANCER SURVIVORS META-ANALYSIS CODING  
FORM

24 May 2010

Note: Throughout, use “.” to indicate missing information.

(V1) \_\_\_\_\_ **Coder** (Becky = 1, Blair = 2, Linda = 3, Stacey = 4, Justin = 5,  
Shannon = 6)

**Study Information** (*this page should be coded separately; complete the  
remainder coding pages later, once all information but methods have been  
removed from the folder*)

(V2) \_ \_ \_ **Study ID #** Full APA citation:

(V3) \_ \_ \_ **Publication year** (*consider this missing if unpublished*)

(V4) \_ \_ \_ **Estimated year of data collection** (*earliest date for data  
collection or manuscript submission/publication; if  
unpublished and date unknown, use year manuscript was  
acquired; for dissertation or thesis, use year*)

(V5) \_\_\_\_\_ **Language of publication:**  
1=English 3=German  
2=French 4=other, specify:  
\_\_\_\_\_

(V6) \_\_\_\_\_ **Source:**  
1=journal 2=book 3=thesis/dissertation 4=conference  
paper 5=unpublished document  
6=other published document; specify:  
\_\_\_\_\_

(V7) \_\_\_\_\_ **Dominant theoretical perspective explicitly stated:**  
1=Theory of Reasoned Action/Planned Behavior (*Fishbein,  
Ajzen, etc.*)  
2=Social cognitive/Self-efficacy/Social learning (*Bandura,  
etc.*)  
3=Transtheoretical Model (“*stages of change*”, *Prochaska &  
DiClemente*)  
4=Health Belief Model (HBM, *Rosenstock et al.*)  
5=Information Motivation Behavioral Skills Model (IMB,  
*Fisher & Fisher*)  
6=Protection-Motivation theory (*Rogers, etc.*)  
7=Self-perception (or –persuasion)/Cognitive dissonance  
(*Aronson, Bem, Festinger cited, “hypocrisy” approaches*)  
8=Social Action Theory (Ewart) 9=Social Diffusion  
(Rogers)  
10=Conservation of Resources (Hobfall) 11=Payne  
Theoretical Model  
12=Levine Conservation Model 13=Roy Adaptation  
Model

14= 5 A's of Exercise Adoption (ACSM) 15=Other, specify:  
\_\_\_\_\_

(V8) \_\_\_\_\_ **Type of clinical exercise recommendation followed/prescribed:**

1= National Comprehensive Cancer Network Recommendations (NCCN)

2= American College of Sports Medicine Exercise Rx for cancer survivors

3= American College of Sports Medicine Exercise Rx for (healthy)

4= Australian Association of Exercise and Sport Science Exercise (AAESS)

5= Other clinical recommendation;

specify:\_\_\_\_\_

#### Sample Characteristics

(V9) **Notes on intervention within study relevant to coding (if more than one intervention in study)**  
\_\_\_\_\_  
\_\_\_\_\_

(V10) \_\_\_\_\_ **Ethnicity reported?** 1 = yes; 0 = no

(V11) \_\_\_\_\_ Proportion White; if whole number available:\_\_\_\_\_

(V12) \_\_\_\_\_ Proportion Black; if whole number: \_\_\_\_\_

(V13) \_\_\_\_\_ Proportion Latino/Hispanic; if whole number: \_\_\_\_\_

(V14) \_\_\_\_\_ Proportion Caribbean; if whole number: \_\_\_\_\_

(V15) \_\_\_\_\_ Proportion Asian; if whole number: \_\_\_\_\_

(V16) \_\_\_\_\_ Proportion Mixed/other; if whole number: \_\_\_\_\_

(V17) \_\_\_\_\_ **Education reported?** 1 = yes; 0 = no

(V18) \_\_\_\_\_ Proportion high school only: \_\_\_\_\_

(V19) \_\_\_\_\_ Proportion college only: \_\_\_\_\_

(V20) \_\_\_\_\_ Proportion graduate school:\_\_\_\_\_

(V21) \_\_\_\_\_ **SES**  
0 = Not given  
1= Low  
2 = Middle  
3 = High

(V22) \_\_\_\_\_ **Region of sample**  
1=American city: \_\_\_\_\_  
2=other U.S. general region (*city not specified*):  
\_\_\_\_\_  
3=Canada (city: \_\_\_\_\_)

4=Europe (city: \_\_\_\_\_)  
 5=South or Central America, Mexico, Caribbean (city: \_\_\_\_\_)  
 6=Africa (city: \_\_\_\_\_)  
 7=Asia (city: \_\_\_\_\_)  
 8=Australia (city: \_\_\_\_\_)

(V23) \_\_\_\_\_

**City size**

0=not given  
 1=rural (< 10 thousand people)  
 2=small (10 – 100 thousand people)  
 3=medium (100 thousand – 1 million people)  
 4=large (more than 1 million people)

(V24) \_\_\_\_\_

**Zip Code (US Only)** \_\_\_\_\_

(V25) \_\_\_\_\_

**City:** \_\_\_\_\_

(V26) \_\_\_\_\_

**Average age of sample** \_\_\_\_\_

(V27) \_\_\_\_\_

**SD for age** \_\_\_\_\_

(V28) \_\_\_\_\_

**Population**

1=school or college  
 2=community, not currently institutionalized; specify source (e.g., cancer clinic including University cancer treatment facilities) \_\_\_\_\_  
 3=institutionalized; specify source (e.g., inpatient cancer treatment center; currently hospitalized): \_\_\_\_\_  
 0=not given

**Risk Characteristics**

(V29) \_\_\_\_\_

**Proportion of sample overweight; if whole number:**

(V30) \_\_\_\_\_

**Average minutes of exercise at baseline:** \_\_\_\_\_

(V31) \_\_\_\_\_

**Type of cancer:**

1=breast  
 2=prostate  
 3=head and neck  
 4=colorectal  
 5=skin  
 6=leukemia  
 7=myeloma  
 8=lymphoma  
 9=gastrointestinal  
 10=lung  
 11=ovarian  
 12=pancreatic

13=bladder  
 14=endometrial  
 15=kidney/renal  
 16=appendix  
 17=cervical  
 18=testicular  
 19-brain  
 0=combination (list numbers): \_\_\_\_\_

- (V32) \_\_\_\_\_ **Average Length since cancer diagnosis** (in months):  
 \_\_\_\_\_
- (V33) \_\_\_\_\_ **Proportion of participants in remission**
- (V34) \_\_\_\_\_ **Treatment** (if more than one, indicate percentages)  
 0=none currently  
 1=chemotherapy  
 2=radiation  
 3=surgery  
 4=transplant  
 5=hormones  
 other (specify): \_\_\_\_\_
- (V35) \_\_\_\_\_ **Proportion of participants under chemotherapy in the past**
- (V36) \_\_\_\_\_ **Proportion of participants currently under chemotherapy**
- (V37) \_\_\_\_\_ **Proportion of participants under radiation in the past**
- (V38) \_\_\_\_\_ **Proportion of participants currently under chemotherapy**
- (V39) \_\_\_\_\_ **Average length under treatment**
- (V40) \_\_\_\_\_ **Average length under non treatment**
- (V41) \_\_\_\_\_ **Proportion of the sample under drug treatment** (specify: \_\_\_\_\_)
- (V42) \_\_\_\_\_ **Proportion of the sample with other diseases** (specify: \_\_\_\_\_)
- (V43) \_\_\_\_\_ **Proportion of overweight sample**
- (V44) \_\_\_\_\_ **Proportion of the sample under drug treatment** (specify: \_\_\_\_\_)
- (V45) \_\_\_\_\_ **Proportion of smokers on the sample**

#### Design & Measurement

- (V46) \_\_\_\_\_ **Recruitment method**  
 1=self-selected from community (via flyers, community centers, etc.)  
 2=recruited through clinical contact (cancer clinic, etc.)  
 3=recruited through hospital  
 4=other (specify): \_\_\_\_\_  
 0=not given
- (V47) \_\_\_\_\_ **Type of control group used**  
True control groups



1=random assignment of individuals to conditions  
2=matching individuals on some variable (specify: \_\_\_\_\_), then random assignment

3=random assignment of some groups of individuals (e.g., classrooms)

Nonequivalent control groups (comparison group)

4=tried to ensure some comparability of the nonequivalent control group by: (e.g., *comparing on some var*): \_\_\_\_\_

5=the nonequivalence of comparison group was not addressed

(V48) \_\_\_\_\_ **Number of follow-ups:** \_\_\_\_\_

(V49) \_\_\_\_\_ **Interval of follow-ups:** \_\_\_\_\_

(V50) \_\_\_\_\_ **Scale used to measure depression:** \_\_\_\_\_

(V51) \_\_\_\_\_ **Scale used to measure anxiety:** \_\_\_\_\_

**Control for social-desirability bias in self-report**

(V52) \_\_\_\_\_ **Anonymity attempted** (1 if unclear)

1=no 2=yes 0=no measures self-report

(V53) \_\_\_\_\_ **Low reactivity of measure completion** (1 if unclear)

1=no; intervention and measurement staff were the same &/or face-to-face interviews used

2=yes; used different personnel for intervention and measurement, and measurement technique not highly reactive (written questionnaires used rather than oral responses)

0=no self report

**Experimental (Intervention) Condition Details**

(V54) \_\_\_\_\_ **Length of intervention in weeks:** \_\_\_\_\_

(V55) \_\_\_\_\_ **Aerobic/ Cardiovascular Activities** (in METS as defined in excel file)

(V56) \_\_\_\_\_ **Resistance/ Strength Activities** (in METS as defined in excel file)

(V57) \_\_\_\_\_ **Flexibility**

0=no

1=yes

(V58) \_\_\_\_\_ **Description of exercise based on report** (take description of exercise): \_\_\_\_\_

(V59) \_\_\_\_\_ **Structure of intervention**

1=incentive (e.g., payment based on sessions attended)

2=supervised (group exercise sessions provided)

3=unsupervised (education, etc. provided, but participants expected to exercise on own)

- (V60) \_\_\_\_\_ 4=lifestyle activity  
**Intervention for weight loss or weight gain:**  
 1=loss  
 2=gain  
 3=neither
- (V61) \_\_\_\_\_ **Type of intervention**  
 0=exercise only  
 1=exercise and diet  
 2=exercise and diet other (specify): \_\_\_\_\_  
 3=exercise and other (specify): \_\_\_\_\_
- (V62) \_\_\_\_\_ **Level of intervention used in the study**  
 1=primarily one-on-one (e.g., individual counseling sessions; individuals each exposed to persuasive messages alone or in a group)  
 2=small group processes (interaction between leader and group, and group members)  
 3= small group processes (interaction among the group members, there is not leader)  
 4=single community (e.g., street studies with mix of media and face-to-face interventions)  
 5=multiple communities (e.g., mix of media and face-to-face interventions)
- (V63) \_\_\_\_\_ **Number of experimental conditions for which effect sizes will each be calculate** *(if some experimental conditions in the study are omitted here, explain why they are excluded: \_\_\_\_\_)*
- (V64) \_\_\_\_\_ **Number of DVs for which effect sizes will be calculated for each experimental condition**

Experimental condition \_\_\_\_\_ *(give label for condition, e.g., that used in the article)*

**Intervention Details** for INTERVENTION GROUP: *(use label from study):*

- (V65) \_\_\_\_\_ **Number of sessions**
- (V66) \_\_\_\_\_ **Number of minutes for each session;** if varies, report average; specify each: \_\_\_\_\_
- (V67) \_\_\_\_\_ **Average size of participant group for a session**
- (V68) \_\_\_\_\_ **Number of facilitators/experimenters per group**
- (V69) \_\_\_\_\_ **Training of session leaders or speakers**  
 1=professionals—formal matriculation, licensing, or degree  
 2=paraprofessionals  
 3=peers  
 0=not given

(V70) \_\_\_\_\_ **Content of the intervention (NOT the measures) matched to sample**

- 0=no mention of elicitation research, focus groups to determine relevant issues for this population
- 1=mention of informal assessment of determining content through some kind of elicitation research, or pilot testing of content
- 2=systematic formal assessment of appropriate content—e.g., focus groups with content analyzed, or previous paper analyzing results of elicitation research
- 3=not reported

(V71) \_\_\_\_\_ **Number of participants who began study (in experimental group)**

(V72) \_\_\_\_\_ **Final N in experimental group (after attrition—use largest available)**

(V73) \_\_\_\_\_ **Number of participants who did not complete the study due to cancer-related mortality**

(V74) \_\_\_\_\_ **Number of participants who did not complete the study due to cancer-related illness/ complications**

(V75) \_\_\_\_\_ **Proportion of women in sample; if whole number available: \_\_\_\_\_**

CONTROL CONDITIONS: USE THE FOLLOWING SCHEME:

Codes for **control** conditions

- 1=wait-list/no treatment/no contact control group
- 2=exercise education only
- 3=irrelevant content (+/- education), matched for time/contact to experimental condition
- 4=brief form of experimental condition describe: \_\_\_\_\_
- 5=other kind of comparison condition; specify: \_\_\_\_\_

(V76) \_\_\_\_\_ Number of control/comparison groups in the study (*do not count any that are reasonably considered experimental conditions*); describe each:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(V77) \_\_\_\_\_ Number of participants n control group

(V78) \_\_\_\_\_ Final Control N (after attrition—use largest available at posttest)

(V79) \_\_\_\_\_ Number of participants who did not complete the study due to cancer-related mortality

(V80) \_\_\_\_\_ Number of participants who did not complete the study due to cancer-related illness/ complications

(V81) \_\_\_\_\_ Proportion of women in sample; if whole number available: \_\_\_\_\_

(V82) \_\_\_\_\_ Proportion men in sample; if whole number: \_\_\_\_\_

Criteria for selecting control groups for effect size calculations:

If control condition type 1 is available, use it; otherwise use group 2 to calculate effect sizes; all others should be considered as experimental conditions. If neither control type 1 or 2 is available, use the control condition corresponding to the lowest numerical value above (e.g., use 3 if available, otherwise 4, otherwise 5).

(V83) \_\_\_\_\_ Using the key above, list the code for the control group used in effect sizes

**Content of Control Group (*in calculating time, do not include measurement completion time when possible*)**

- (V84) \_\_\_\_\_ Number of sessions in control group
- (V85) \_\_\_\_\_ Number of minutes for each session; if varies, report  
average (*estimate if necessary*); specify each:
- (V86) \_\_\_\_\_ Average size of participant group for a session (*blank if no  
contact/wait list*)
- (V87) \_\_\_\_\_ Total minutes of exercise information (*estimate if  
necessary*)
- (V88) \_\_\_\_\_ Total minutes of non-exercise education presented (*estimated*)
- 

**1. Eligibility criteria were specified**

1 = Yes

0 = No

**2. Subjects were randomly allocated to groups (in a crossover study,  
subjects  
were randomly allocated an order in which treatments were received)**

1 = Yes

0 = No

**3. Allocation was concealed**

1 = Yes

0 = No

**4. The groups were similar at baseline regarding the most important  
prognostic  
indicators**

1 = Yes

0 = No

**5. There was blinding of all subjects**

1 = Yes

0 = No

**6. There was blinding of all therapists who administered the therapy**

1 = Yes

0 = No

**7. There was blinding of all assessors who measured at least one key  
outcome**

1 = Yes

0 = No

**8. Measures of at least one key outcome were obtained from more than  
85%  
of the subjects initially allocated to groups**

1 = Yes

0 = No

- 9. All subjects for whom outcome measures were available received the treatment or control condition as allocated or, where this was not the case, data for at least one key outcome was analysed by “intention to treat”**

1 = Yes

0 = No

- 10. The results of between-group statistical comparisons are reported for at least one key outcome**

1 = Yes

0 = No

- 11. The study provides both point measures and measures of variability for at least one key outcome**

1 = Yes

0=No