

December 2007

Minutes December 4, 2007

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**MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

University of Connecticut Health Center
Academic Research Building
Conference Room EG013
263 Farmington Avenue
Farmington, Connecticut

December 4, 2007

OPEN SESSION

The meeting was called to order at 11:10 a.m. by Chairman John Rowe. Trustees present were: Louise Bailey, Philip Barry, Gerard Burrow, Andrea Dennis-LaVigne, Peter Drotch, Linda Gatling, Ross Gionfriddo, Lenworth Jacobs, Jr., Rebecca Lobo, Michael Martinez, Joan McDonald, Denis Nayden, Michael Nichols, F. Philip Prelli, Thomas Ritter, Wayne Shepperd, and Janine Braun, who represents the Governor's Office.

Trustees Michael Bozzuto, Mark McQuillan, and Richard Treibick were absent from the meeting.

University staff present were: President Hogan, Executive Vice President for Health Affairs Deckers, Vice President and Chief Operating Officer Feldman, Vice President for Student Affairs Saddleire, Vice Provost for Academic Administration Singha, Vice Provost for Enrollment Management Evanovich, Dr. Schurin, Dr. Troyer, Associate Vice President of Administration and Operations Services Callahan, Associate Vice President for Public and Environment Safety Hudd, Chief Audit and Compliance Officer Walker, Director of Compliance Rubin, Director of University Relations Brohinsky, Associate Vice President of Architectural and Engineering Services Bradley, Associate Vice President for Human Resources and Payroll Services Munroe, Chief Financial Officer DeTora, Athletic Director Hathaway, Senior Associate Director of Athletics/Internal Operations Mattessich, Associate Director of Athletics/Licensing and Athletic Traditions Tolokan, Assistant to the Vice President for Student Affairs Elkins, Health Center Chief Financial Officer Upton, Health Center Chief Operating Officer Whetstone, Health Center Chief of Staff Carlson, Health Center Director of Campus Planning, Design and Construction Trutter, Senate Representatives Nancy Bull and Andrew Moiseff, Ms. Locke, and Ms. Carone.

Assistant Attorney Generals Ralph Urban and Michael Sullivan were also present.

Also in attendance were: Ms. Patricia Hassett, who assists Dr. Rowe, and Mr. Jack O'Kelley from the firm Katzenbach Partners LLC.

EXECUTIVE SESSION

On a motion by Mr. Barry, seconded by Ms. Bailey, **THE BOARD VOTED** to go into Executive Session at 11:12 a.m. to discuss personnel matters and commercial information given in confidence. The Chairman noted that on the advice of counsel only staff members whose presence was necessary to provide their opinion would be permitted to attend Executive Session.

Trustees present were: Bailey, Barry, Burrow, Dennis-LaVigne, Drotch, Gatling, Gionfriddo, Jacobs, Lobo, Martinez, McDonald, Nayden, Nichols, Prelli, Ritter, Rowe, Shepperd, and Braun, who represents the Governor's Office.

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University staff present were: President Hogan (present for part of the meeting), Executive Vice President for Health Affairs Deckers (present for part of the meeting), Vice President and Chief Operating Officer Feldman (present for part of the meeting), Vice Provost for Academic Administration Singha (present for part of the meeting), Chief Audit and Compliance Officer Walker (present for part of the meeting), Director of Compliance Rubin (present for part of the meeting), Dr. Schurin (present for part of the meeting), Dr. Troyer (present for part of the meeting), Associate Vice President of Administration and Operations Services Callahan (present for part of the meeting), Associate Vice President for Human Resources and Payroll Services Munroe, Athletic Director Hathaway (present for part of the meeting), Senior Associate Director of Athletics/Internal Operations Mattessich (present for part of the meeting), Associate Director of Athletics/Licensing and Athletic Traditions Tolokan (present for part of the meeting), Assistant to the Vice President for Student Affairs Elkins (present for part of the meeting), and Ms. Carone (present for part of the meeting).

Assistant Attorney General Ralph Urban was also present.

Also in attendance were: Ms. Patricia Hassett, who assists Dr. Rowe, and Mr. Jack O'Kelley from the firm Katzenbach Partners LLC (present for part of the meeting).

OPEN SESSION

Executive Session ended at 12:45 p.m. The Board returned to Open Session at 1:40 p.m. Trustee Treibick participated by telephone. Ms. Patricia Hassett rejoined the meeting by telephone. Senate Representative Donna Korbel joined the meeting at this time.

All actions taken were by unanimous vote of the Trustees present.

1. Public Participation

There were no members of the public who wished to address the Board.

2. Chairman's Report

(a) Matters outstanding

Chairman Rowe directed Trustee attention to resolutions recognizing the passing of former Governors Thomas J. Meskill and William A. O'Neill.

On a motion by Mr. Drotch, seconded by Ms. Bailey, **THE BOARD VOTED** to add to the agenda resolutions recognizing former Governor Thomas J. Meskill and former Governor William A. O'Neill.

HONORABLE THOMAS J. MESKILL 1928-2007

WHEREAS, Thomas J. Meskill served the State of Connecticut and the nation as a whole over a long and highly distinguished career as mayor of his city, Member of Congress, Governor, and jurist; and

WHEREAS, as Governor of Connecticut from 1971 to 1975, Mr. Meskill held the title of President of the University of Connecticut Board of Trustees; and

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WHEREAS, Governor Meskill successfully guided the State of Connecticut and all its institutions, including the University of Connecticut, through a period of great fiscal challenge; and

WHEREAS, in his appointments to the Board of Trustees and in all other areas in which he affected the University of Connecticut, Governor Meskill maintained a deep respect for the importance and vitality of Connecticut's flagship public university; and

WHEREAS, Governor Meskill's death in October of 2007 removed from the Connecticut scene one of our State's ablest public servants;

NOW THEREFORE BE IT HEREBY RESOLVED, that the Board of Trustees of the University of Connecticut notes its deep regret at Governor Meskill's passing, expresses its appreciation for his outstanding service, and sends its condolences to his family.

HONORABLE WILLIAM A. O'NEILL
1930-2007

WHEREAS, the Board of Trustees learned with great sorrow of the passing of former Governor William A. O'Neill; and

WHEREAS, in a lifetime of public service that culminated in ten years as Governor of the State of Connecticut, Mr. O'Neill exemplified integrity, fairness, and belief in government's capacity to improve the lives of ordinary men and women; and

WHEREAS, in his position as Governor, Mr. O'Neill served as President of the University of Connecticut Board of Trustees; and

WHEREAS, through a decade marked by fiscal challenge, Governor O'Neill strove to maintain the fundamental strength of this University and all State institutions, laying the foundation for future progress; and

WHEREAS, Governor O'Neill's passing removes from the State of Connecticut one of our most beloved public figures;

NOW THEREFORE BE IT HEREBY RESOLVED, that the Board of Trustees of the University of Connecticut notes its deep regret at Governor O'Neill's passing, expresses its appreciation for his outstanding service, and sends its sincere condolences to his wife, Nikki, and his family.

On a motion by Mr. Shepperd, seconded by Ms. Bailey, **THE BOARD VOTED** to approve resolutions recognizing former Governor Thomas J. Meskill and former Governor William A. O'Neill.

Chairman Rowe said that this spring there will be an inauguration ceremony for President Hogan. In order to provide ample time to prepare and to include academic and other activities, they are considering the period April 11-13, 2008. The Foundation will be holding a black tie event during that weekend as well. Special Assistant to the President Stephen Rhodes is planning this event and that Board members may hear from him.

Ms. Hassett rejoined the meeting by telephone.

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(b) Committee assignments

Chairman Rowe noted that Dr. Schurin polled Board members regarding committee assignments. He directed Trustee attention to the 2007-08 Committee list at their places. Trustee Nayden has been removed from the Financial Affairs Committee; Michael Nichols has been added to the Buildings, Grounds and Environment Committee; and Peter Drotch has been added as a Board representative to the Benton Museum Advisory Board.

On a motion by Mr. Nichols, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the list of Committee appointments.

(c) Minutes of the meeting of September 25, 2007

On a motion by Ms. Bailey, seconded by Ms. Lobo, **THE BOARD VOTED** to approve the minutes of the meeting of September 25, 2007.

(d) Consent Agenda Items:

Chairman Rowe directed Trustee attention to a revised contracts and agreements document. He noted that Item 8 on page 2 was amended to include the following language "Final approval of this lease is contingent on the approval of the Health Center Board of Directors at their December 10, 2007 meeting."

On a motion by Mr. Barry, seconded by Ms. Lobo, **THE BOARD VOTED** to approve the Consent Agenda items.

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|-----|---|----------------|
| (1) | Contracts and Agreements for the Storrs-based programs and the Health Center | (Attachment 1) |
| (2) | Renaming the Metallurgy and Materials Engineering Graduate Program to Materials Science and Engineering | (Attachment 2) |
| (3) | Doctor of Nursing Practice Program within the School of Nursing | (Attachment 3) |
| (4) | Award of Degree | (Attachment 4) |
| (5) | Appointment of Interim State Historian | (Attachment 5) |

(e) Personnel matters (Storrs-based programs) (Attachment 6)

(1) Award of Tenure

On a motion by Mr. Martinez, seconded by Ms. McDonald, **THE BOARD VOTED** to approve the appointment of John Volin as Professor with tenure in the Department of Natural Resources Management and Engineering, effective August 23, 2007.

(2) Designation of Emeritus Status

On a motion by Mr. Shepperd, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the designation of emeritus status to Professor Michie Hesselbrock in the School of Social Work, effective September 1, 2007.

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(3) Sabbatics

On a motion by Mr. Nayden, seconded by Mr. Drotch, **THE BOARD VOTED** to approve the sabbatic leave list.

(4) Informational matters

Chairman Rowe thanked Dr. Deckers and his staff for hosting the meeting.

3. President's Report

President Hogan noted that Board members now have at their places copies of the Presidential Transition Plan from Katzenbach Partners. Katzenbach Partners representative Jack O'Kelley provided to the Board earlier an overview of the work of the transition team. President Hogan will distribute parts of the report to the campus community and to the leadership team, and he will also post them on his website. He expressed his appreciation to Katzenbach Partners and to the Board. He said that he has learned so much about the institution and its constituencies in a short period of time. He also thanked former President Austin and the administration, including Mr. Brohinsky and Ms. Munroe in their work in facilitating the efforts of the transition consultants.

(a) Nomination of Honorary Degrees

President Hogan reported that the University's winter Commencement ceremony is scheduled for Sunday, December 16, 2007. He invited all members of the Board to attend the honorary degree luncheon and the ceremony. Trustees have at their places biographical sketches of the proposed honorary degree nominees. The nominees were recommended by the Honors and Awards Committee, which receives nominations from all constituencies across campus.

The Committee proposed the award of Doctor of Humane Letters to Mr. Gary S. Gladstein, who is a member of the UConn Class of 1966. Mr. Gladstein has had a distinguished career in business and has been a very generous supporter of the University and its programs, especially in the area of human rights.

The Committee also proposed the award of Doctor of Laws to Ms. Charlotte Bunch. Ms. Bunch will deliver the Commencement address to the graduates. She is one of the nation's leaders in human rights and social justice. In addition, she is one of the leading scholars of the feminist movement and now holds a chair at Rutgers University. She has served with great distinction on national and international boards related to women's rights and social justice. In 1999 she was selected by President Bill Clinton as the recipient of the Eleanor Roosevelt Award for Human Rights.

On a motion by Mr. Nichols, seconded Mrs. Gatling, **THE BOARD VOTED** to approve the honorary degree candidates, Mr. Gary S. Gladstein and Ms. Charlotte Bunch for the December 2007 Commencement ceremony. A copy of the handout is attached to the file copy of the Board minutes.

(b) Emergency Communication System update

President Hogan gave a brief update on the University's emergency communications system, which was established as a result of the tragedy at Virginia Tech. He said that many institutions have begun to reexamine their emergency communications systems not only to deal with that particular kind of crisis, but others such as natural disasters. The University has the responsibility for a large community and has an obligation to do as much as possible to

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protect the community's safety and well-being. Under the leadership of Vice President Feldman, a committee was established to develop multiple ways to improve our communication system. In the low tech category, the University has installed nine public address sirens throughout the Storrs campus, and one each at the Greater Hartford and the Law School campuses. Sirens are already located at Avery Point and public address systems are now being installed at the Stamford and Waterbury campuses. They are investigating what might be done at the Torrington campus.

The blue emergency telephones have been upgraded to simultaneously light up and broadcast a voice message. A text messaging system has also been installed, which is a very complex system.

Vice President Feldman reiterated that the nature of the system is redundancy. The focus was to develop a system with multiple parts that were redundant. The purpose was to maximize the number of individuals reached through one of the major mechanisms of communication - e-mail, text message, sirens, blue phones, etc. He also said that the committee meets on a weekly basis to discuss ways to implement these mechanisms on a multiple-campus university.

(c) Storrs Center update

President Hogan said that he became aware of the Storrs Downtown Center before he arrived on campus and held a meeting with the leadership of the Downtown Partnership shortly after his arrival. This meeting provided him with a full briefing on the status of project. President Hogan emphasized the importance of a vital college town community as a means of enhancing the quality of life on campus as well as off campus, attracting students, and attracting and retaining faculty and staff.

At this time, the project is moving forward after several years of hard work and preparation. He mentioned that the Board has been informed about the bonding package, which includes funding for the first parking garage in that location. Once the funding is approved by the Bond Commission, then they will be able to kick-off this project and begin selling the concept to private investors.

Chairman Rowe asked if the project was on schedule. Associate Vice President Callahan responded that it was. They are scheduled to break ground on the Dog Lane 1 (DL1) building later this spring.

4. Academic Affairs Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Jacobs reported that the Academic Affairs Committee met this morning. The action items discussed at this meeting were approved under the Consent Agenda. He noted that Dean Anne Bavier gave a brief report on the status of the field of nursing. Dean Bavier has been charged with implementing a strategy to deal with the significant nursing shortage. She indicated that her focus was to train leaders in the field and that the Doctor of Nursing Practice Program was the first step.

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Chairman Rowe added that he serves as the chairman of a committee in the Institute of Medicine at the National Academy of Sciences, which is charged with studying the future health care workforce. By virtue of that association, Dr. Rowe said he has become involved in issues related to nursing education and that there estimates to be a 40% demand for nurses between 2000 and 2020. The Doctor of Nursing Practice Program is an advanced clinical degree that is different from the Ph.D. in Nursing, which is a more traditional academic scholarly degree. The Doctor of Nursing Practice was specifically designed for those who work primarily in clinical settings. At Columbia, many professionals work in the transplant program where they are the primary care providers for transplant patients. Chairman Rowe stated that it was very innovative for Connecticut to move ahead with respect to this type of program. He stressed that multiple pathways of training in nursing are critical to attract a stronger and more diverse workforce.

Chairman Rowe also said that there are approximately 60,000 academically qualified applicants that are turned away every year from two- and four-year programs nationwide because there are no training slots for them. In addition, the lack of nursing faculty further exacerbates the nursing shortage. Chairman Rowe stressed that the problem is not that there are not enough applicants but that there we lack the capacity to train. He applauded the efforts and the commitment to enhancing the capacity and competency of our workforce.

(b) Information items:

Vice-Chair Jacobs directed Trustee attention to Attachments 7 and 8, which were presented for information.

- (1) Establishment of the Center for Health Communications and Marketing (Attachment 7)
- (2) Procedures for Implementation of University Consulting Policy (Attachment 8)

Vice-Chair Jacobs noted that Dr. Maria Martinez, Director, Center for Academic Programs gave a brief overview of the history and mission of the Center. Dr. Martinez discussed issues such as, facilitating University access, retention, and graduation rates for under-represented minority students. She said that many of their students are first-generation college students who lack support services and oftentimes have limited financial resources to continue their studies to graduation. Dr. Martinez indicated that the Center has an important relationship with the Office of Student Financial Aid Services and Enrollment Management, who assists in providing financial aid packages for these students.

5. Financial Affairs Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Drotch reported that the Financial Affairs Committee met earlier this morning. Among the numerous discussions, Mr. DeTora discussed a number of revised allocations of bond proceeds dealing with various phases of UCONN 2000 (Attachments 10-15). Revision of bond indentures is a process that the Board has undertaken in the past. Vice-Chair Drotch said that adjustments are made to indentures for many reasons, including changes to project scope, timing, and cost. As a result of the final audits of several of the projects by external auditors, final adjustments were made to these projects and the bond indentures have been

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adjusted. For the purpose of the Committee and the Board's review, the projects are now considered closed.

The Committee reviewed a number of project budgets in Attachments 16-30. He noted that a revised Capital Project Budget Reporting Form was provided to Trustees at their places. He said that there were a few in which the multi-step process was not followed simply because of the critical nature of the projects, such as the sprinkler systems and fire alarm work that required immediate attention. The Committee has supported the process followed on these types of projects.

Vice-Chair Drotch asked the Board for a collective vote on Attachments 9 through 30. On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the project budgets in Attachments 9 through 30.

(b) Items requiring Board discussion and approval:

(1) Special Payroll Policy (Attachment 9)

Vice-Chair Drotch noted that there was a change to the Special Payroll Policy. This proposal serves to update the University's Special Payroll policy in accordance with current statutes. This Policy continues to permit the hiring of short-term, project-based, seasonal, durational professional staff services under appropriate and current statutory authority. It is not intended to circumvent the hiring of staff under an appropriate collective bargaining agreement.

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to adopt the updated policy codifying operation of the Special Payroll for the University of Connecticut Storrs and the regional campuses under CGS 10a-20 "Jurisdiction over professional staffs of the state system of higher education Personnel Guidelines" and CGS 10a-108 "President Employment of other personnel; compensation."

(2) Revised Allocation of Bond Proceeds of the Second Supplemental Indenture (University of Connecticut General Obligation Bonds, 1997 Series A) (Attachment 10)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the reallocation of bond authorizations among capital projects amending Appendix A of the Second Supplemental Indenture as follows:

- Decrease White Building Renovation by \$2,731.20 for a total Fiscal Year 1997 bond authorization for such project of \$2,427,268.80; and
- Increase Deferred Maintenance & Renovation Lump Sum by \$2,731.20 for a total Fiscal Year 1997 bond authorization for such project of \$11,341,731.20.

(3) Revised Allocation of Bond Proceeds of the Fourth Supplemental Indenture (University of Connecticut General Obligation Bonds, 1999 Series A) (Attachment 11)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the reallocation of bond authorizations among capital projects amending Appendix A of the Fourth Supplemental Indenture as follows:

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- Decrease Stamford Downtown Relocation-Phase I by \$3,528.45 for a total Fiscal Year 1999 bond authorization for such project of \$7,431,471.55; and
- Increase Deferred Maintenance & Renovation Lump Sum by \$3,528.45 for a total Fiscal Year 1999 bond authorization for such project of \$9,716,528.45.

- (4) Revised Allocation of Bond Proceeds of the Sixth Supplemental Indenture (University of Connecticut General Obligation Bonds, 2001 Series A) (Attachment 12)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the reallocation of bond authorizations among capital projects amending Appendix A of the Sixth Supplemental Indenture as follows:

- Decrease Business School Renovation by \$29,505.27 for a total Fiscal Year 2001 bond authorization for such project of \$6,470,494.73; and
- Increase Deferred Maintenance & Renovation Lump Sum Balance by \$29,505.27 for a total Fiscal Year 2001 bond authorization for such project of \$29,921,680.40.

- (5) Revised Allocation of Bond Proceeds of the Seventh Supplemental Indenture (University of Connecticut General Obligation Bonds, 2002 Series A) (Attachment 13)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the reallocation of bond authorizations among capital projects amending Appendix A of the Seventh Supplemental Indenture as follows:

- Increase Deferred Maintenance & Renovation Lump Sum Balance by \$523,471.11 for a total Fiscal Year 2002 bond authorization for such project of \$29,523,471.11; and
- Decrease Hilltop Dormitory Renovations by \$523,471.11 for a total Fiscal Year 2002 bond authorization for such project of \$7,476,528.89.

- (6) Revised Allocation of Bond Proceeds of the Ninth Supplemental Indenture (University of Connecticut General Obligation Bonds, 2003 Series A) (Attachment 14)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the reallocation of bond authorizations among capital projects amending Appendix A of the Ninth Supplemental Indenture as follows:

- Decrease Alumni Quadrant Renovations by \$316,376.09 for a total Fiscal Year 2003 bond authorization for such project of \$8,683,623.91; and
- Decrease Gentry Renovation by \$335,403.31 for a total Fiscal Year 2003 bond authorization for such project of \$1,664,596.69.
- Decrease Shippee/Buckley Renovations by \$80,000 for a total Fiscal Year 2003 bond authorization for such project of \$6,920,000; and
- Increase Deferred Maintenance & Renovation Lump Sum Balance by \$731,779.40 for a total Fiscal Year 2003 bond authorization for such project of \$16,929,146.40.

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- (7) Revised Allocation of Bond Proceeds of the Tenth Supplemental Indenture (University of Connecticut General Obligation Bonds, 2004 Series A) (Attachment 15)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the reallocation of bond authorizations among capital projects amending Appendix A of the Tenth Supplemental Indenture as follows:

- Decrease the East Campus North Renovations by \$327,395.47 for a total Fiscal Year 2004 bond authorization for such project of \$5,382,604.53; and
- Decrease the Grad Dorm Renovations by \$71,772.41 for a total Fiscal Year 2004 bond authorization for such project of \$2,928,227.59; and
- Decrease the Towers Renovation by \$1,007,037.09 for a total Fiscal Year 2004 bond authorization for such project of \$18,492,962.91; and
- Increase the Deferred Maintenance & Renovation Lump Sum Balance by \$1,221,167.45 for a total Fiscal Year 2004 bond authorization of \$20,221,167.45; and
- Increase the West Campus Renovations by \$185,037.52 for a total Fiscal Year 2004 bond authorization of \$685,037.52.”

- (8) Project Budget (Design) for Central Utility Plant Emergency Generator System (Attachment 16)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the Design Budget for the Central Utility Plant Emergency Generator System in the amount of \$750,000.

- (9) Project Budget (Design) for the University of Connecticut Health Center Dowling North Renovation (Attachment 17)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the Design Budget of \$905,000 for the University of Connecticut Health Center Dowling North Renovation subject to the approval by the University of Connecticut Health Center Board of Directors.

A revised Capital Project Budget Reporting Form was provided to Trustees at their places. A copy of the handout is attached to the file copy of the Board minutes.

- (10) Project Budget (Design) University of Connecticut Health Center Library/Student Computer Center Renovations (Attachment 18)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the Design Budget of \$1,216,491 for the University of Connecticut Health Center Library and Student Computer Center Renovations, subject to the approval by the University of Connecticut Health Center Board of Directors.

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- (11) Project Budget (Design) for Meter Installation - Multiple Locations (Phase II) (Attachment 19)
On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the Design Budget in the amount of \$600,000 for meter installation at multiple locations.
- (12) Project Budget (Design) for Repair and Renovation of the Sherman Athletic Complex (Attachment 20)
On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the Design Budget for the repair and renovation of the George J. Sherman Athletic complex in the amount of \$2,641,824.
- (13) Project Budget (Design) for SCADA (Supervisory Control and Data Analysis) System (Attachment 21)
On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the Design Budget for the replacement of the Supervisory Control and Data Analysis (SCADA) system for the sewage treatment plant in the amount of \$1,300,000.
- (14) Project Budget (Final) for Agricultural Biotechnology & Advanced Technology Building Code Remediation Projects (Attachment 22)
On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve a Final Budget of \$964,307 in order to remediate building and fire code discrepancies at the Agricultural Biotechnology and Advanced Technology Institute Buildings on the Storrs Campus.
- (15) Project Budget (Final) for the University of Connecticut Health Center Cooling Coil Conversion Project (Attachment 23)
On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the Final Budget of \$1,408,000 for the University of Connecticut Health Center Cooling Coil Conversion Project, subject to approval by the University of Connecticut Health Center Board of Directors.
- (16) Project Budget (Final) for Gulley Hall Roof and Structural Repair (Attachment 24)
On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve a Final Budget of \$630,000 for the repairs and improvements to the Gulley Hall roof, and that the Board grant an exception to the three-phase budget process due to the nature and timing of this activity.
- (17) Project Budget (Final) for Hilltop Apartments Corrective Action Plan, Phase III – (Sprinkler Modifications and Replacement of Electrical Secondary Conductors) (Attachment 25)
On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve a Final Budget in the amount of \$977,252 for sprinkler modifications at the Hilltop Apartment complex, and that the Board grant an exception to the three-phase budget process because of the nature and timetable of this activity.

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- (18) Project Budget (Final) for South Campus Dorm Fire Alarm Upgrade (Attachment 26)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve a Final Budget in the amount of \$655,765 for the upgrade of the existing fire alarm systems in the South Campus Residences, and that the Board grant an exception to the three-phase budget process because of the nature and timetable of this activity.

- (19) Project Budget (Final) for Stamford Campus Carpet Replacement (Attachment 27)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve a Final Budget in the amount of \$657,504 for the replacement of carpet at the Stamford Campus, and that the Board grant an exception to the three-phase budget process because of safety and timing issues.

- (20) Project Budget (Revised Final) for Fire Alarm Upgrade in the Graduate Student Residences (Attachment 28)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve a Revised Final Budget in the amount of \$754,948 for the upgrade of the existing fire alarm systems in the Graduate Student Residences.

- (21) Project Budget (Revised Final) for Fire Alarm Upgrade in the West Campus Residences (Attachment 29)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve a Revised Final Budget in the amount of \$498,273 for the upgrade of the existing fire alarm systems in the West Campus Residences.

- (22) Project Budget (Revised Final) for Torrey Life Sciences Code Correction (Attachment 30)

On a motion by Mr. Nayden, seconded by Ms. Bailey, **THE BOARD VOTED** to approve a Revised Final Budget in the amount of \$500,000 for the electrical feeder repair and replacement and emergency lighting upgrades based on a change in project scope.

(c) Informational items:

Vice-Chair Drotch briefly cited the items listed below for information, which included the Construction Projects Status Report; Contracts and Agreements – Over \$100,000; UCONN 2000 Phasing Outline by Fiscal Year; and a Master Schedule for UCONN 2000 Phases I, II, and III.

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| (1) | Construction Projects Status Report | (Separate cover) |
| (2) | UCONN 2000 Phasing Outline by Fiscal Year | (Separate cover) |
| (3) | Master Schedule for UCONN 2000 Phases I, II, and III | (Separate cover) |
| (4) | Energy Purchase Status Report | (To be distributed) |

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Vice-Chair Drotch noted that Associate Vice President Callahan provided a brief PowerPoint presentation on the Energy Purchase Status Report. Vice-Chair Drotch added that this is the second year in which the University has hedged its gas purchases. The program has been effective to date in terms of successfully obtaining gas at a reasonable cost for the institution.

Chairman Rowe congratulated Athletic Director Hathaway, Head Football Coach Randy Edsall, the football team, and the staff for finishing the season as Big East champions. This is a remarkable accomplishment in a highly competitive league. It has been a short time since we became a Division IA team. It was very exciting for the entire University. He asked that Mr. Hathaway convey the Board's congratulations to Coach Edsall and the team.

6. Joint Audit and Compliance Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Nayden reported that the Joint Audit and Compliance Committee had not met since the last Board meeting. Their next meeting is scheduled for December 11. In September, the Committee discussed the draft of the Financial Aid Code of Conduct and the Financial Aid internal audit. A summary of audit findings will be presented to the Committee at the end of the month and they will bring it to the Board. The Committee will also review the final draft of the Code of Conduct and Conflicts of Interest. Once they are reviewed, drafts will be presented to the Board for approval.

The selection of external auditors for the UCONN 2000 – Fiscal Year 2007 will be finalized at the December 11 meeting. UHY has been tentatively selected pending review and final approval by the Committee. In conjunction with the external audit, a comprehensive and coordinated review of construction management has been implemented that includes input from the State auditors, internal audit, and the Construction Assurance Officer. The oversight plan will provide for extensive review of the construction program to include financial review, internal policy and procedure review, and vendor contract audits. Additionally, as part of the Committee's annual process, a revised Fiscal Year 2008 audit plan will be presented to the Committee at next week's meeting. The plan will focus solely on high risk audits and special requests that rise to a level of high risk.

Finally, Mr. Walker and his team are actively searching for four staff positions – three positions at the Health Center and one position at Storrs. The Storrs position is a new position that will focus predominantly in the construction area.

Vice-Chair Nayden said that external audits services are being procured to supplement audit activities at the Health Center.

7. Buildings, Grounds and Environment Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Ritter reported that the Buildings, Grounds and Environment Committee met on November 29, 2007. The Committee has two items that require Board approval. He asked for approval of the conveyance of the Nipmuck Trail Maintenance Easement to the Connecticut Forest and Parks Association. A portion of the Nipmuck Trail runs along the Fenton River across University property.

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(b) Item requiring Board discussion and approval:

(1) Extension of Nipmuck Trail Easement Grant (Attachment 31)

On a motion by Dr. Rowe, seconded by Mr. Nayden, **THE BOARD VOTED** to approve the grant of a trail conservation easement to the Connecticut Forests and Park Association for that portion of the Nipmuck Trail that is located along the Fenton River on University property known as the Fenton Forest on the main campus at Storrs.

Vice-Chair Ritter noted that the following item needed to be added to the agenda – a resolution accepting care and control of a 6,000 square foot parcel of land on Birch Road. The University has reached an agreement with the Connecticut Department of Environment Protection (DEP) in which the University will provide the DEP with \$20,000 for open space purchase purposes. The full resolution was presented as a handout and is attached to the file copy of the Board minutes.

On a motion by Dr. Rowe, seconded by Mr. Ritter, **THE BOARD VOTED** to add to the agenda a resolution to accept care and control of a 6,000 square foot parcel of land located on Birch Road between the Depot and Main Campuses from the Connecticut Department of Environmental Protection in consideration for \$20,000.

On a motion by Dr. Rowe, seconded by Mr. Drotch, **THE BOARD VOTED** to accept care and control of a 6,000 square foot parcel of land located on Birch Road between the Depot and Main Campuses from the Connecticut Department of Environmental Protection in consideration for \$20,000.

Trustee Prelli raised concerns over the agreement. He said that there was an understanding with the Connecticut Department of Environmental Protection that the University would take control of the property. He asked if the approval represented a transfer of land to the University or does the agreement represent a memorandum of understanding that the University would manage the property. He raised concerns about whether all the steps have been completed for a transfer.

Assistant Attorney General Urban responded that the property will be transferred, but he clarified it was an inter-agency transfer. It will be recorded on the land records as a memorandum of agreement.

Trustee Prelli also asked if the transfer had to be processed through surplus land. Assistant Attorney General Urban indicated that this was an inadvertent situation that developed where a building was built on land that turned out to be under the jurisdiction of the DEP. He said that the leadership of the two agencies discussed the issue and determined that this was the best resolution. Attorney Urban said that he is working with his colleague at DEP to facilitate a final agreement. He also said that the Attorney General for DEP asked for a Board resolution.

Vice-Chair Ritter reported that the Committee received an update on the University's water supply. He commended the University community's conservation efforts over the past several months.

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The Committee received a brief report on 400 Farmington Avenue. The final budget, including LEED related costs, continues to be analyzed and evaluated.

Vice-Chair Ritter was pleased to report that both the Health Center and Storrs participated in the electricity procurement/reverse auctions this fall, which involves all State agencies. These auctions provide an opportunity to make projected electricity requirements known to qualified providers, view pricing offers, and contract with approved suppliers. Vice-Chair Ritter stated that the State, including UConn, received favorable pricing compared to the projected standard last resort offer. The Committee recommends that the Board provide policy guidance to the administration for managing potential trade-offs of additional costs associated with the purchase of electricity from renewable sources in the future. There was no price premium associated with a higher percentage derived from renewable resources in the University's September purchase but it could arise in future auctions. The good news is we saved a lot of money and that was not an issue this time, but it will be an issue in the future and we should prepare for it.

This year, the State Legislature amended the new green building law, which requires UConn and other agencies to achieve LEED Silver rating or comply with State green building regulations. Vice-Chair Ritter said that the University is now considered to be the number one agency in the State in terms of having a program for LEED certified buildings. The University continues to work on cost issues and other issues associated with LEED certification.

In the past year, the University has created and implemented a comprehensive environmental management system for managing environmental compliance in its operational and construction activities at Storrs.

The Committee received a progress update on the procurement of design services for the proposed athletic and student recreational facilities. With respect to the student recreational facility, Vice-Chair Ritter indicated that the Board will need a firm understanding of the process going forward and suggested that there are some decisions that the Committee feels would be more effective if they were made earlier rather than later. They include: 1) location, 2) student activity fees, especially in terms of and what the University's tolerance would be for acceptance of fees from students who may never utilize the facilities, and 3) whether the management of the recreational program and facilities would continue fall under the Division of Athletics or move to the Division of Student Affairs. Thus, these issues represent policy decisions that need to be vetted out.

Vice-Chair Ritter also reported that the Committee received a construction projects update, which included a discussion on the Law School Library façade among other topics.

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Vice-Chair Ritter raised some concerns about a more coordinated approach to discussion of the some of the larger construction projects that need to be discussed in more detail at the various Board committees, such as B,G & E and the Financial Affairs Committee. He said that it would helpful for the Buildings, Grounds and Environment Committee discussion to have more information on the financial details of the projects. They have consulted with Vice President Feldman and his staff about suggestions.

Chairman Rowe again praised efforts made regarding water usage and conservation. He reiterated the State-based teamwork early on when the Commissioner of the Department of Environmental Protection, the Commissioner of the Department of Health, the Mayor of Mansfield, the Town Manager of Mansfield, then President Philip Austin, and Associate Vice President Tom Callahan met to address the problem. Their meetings produced a very effective set of discussions and culminated into a strategic plan that was implemented quickly. He said that these efforts represent an excellent example of what can be accomplished at the State level.

8. Construction Management Oversight Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Ritter reported that the Construction Management Oversight Committee was scheduled to meet today immediately following the Board meeting. The Committee will discuss issues that were raised concerning changes in bond indentures. Mr. Walker and his staff have prepared a report for the Committee. Vice-Chair Ritter was confident that the administration followed appropriate protocols and have provided the Board with adequate details regarding bond indenture revisions. Attorney Marie V. Phelan, from the law firm of Pullman & Comley, LLC, is the University's bond counsel and has also reviewed the materials. She will be in attendance at the Committee meeting this afternoon.

9. Health Center Report

(a) Report on Health Center activities

Health Center Board of Directors Chair Burrow reported that the Legislature commissioned a study to be conducted by the Connecticut Academy of Science and Engineering on the John Dempsey Hospital, which will be presented to the Legislature next spring. Dr. Burrow said we hope to see the first draft of the report in February or early March. PricewaterhouseCoopers has been retained to review the financial status of the Health Center and to also help benchmark the Health Center against other academic health centers.

Dr. Burrow also noted that there is strong interest in developing ways in which to distinguish themselves in the market. A team consisting of Health Center Board of Directors' members and staff visited Planetree – a non-profit membership organization that works with hospitals and healthcare centers to develop and implement patient-centered care – located at Griffin Hospital in Derby, Connecticut. Dr. Burrow said that the head of Planetree gave a talk and they were impressed with what their organization has done for the patient experience. They have also looked at the issue of prevention as another way they can differentiate themselves in the market.

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10. Student Life Committee Report**(a) Committee Chairwoman's report on Committee activities**

Vice-Chair Bailey reported that the Student Life Committee meeting last met on September 25, 2007. Mr. James Hintz, Director of the Off-Campus Student Services gave a brief report. This office provides resources and advocacy for University student's off-campus living and/or commuting experiences. Vice-Chair Bailey said that there were 5,500 undergraduate students and 5,000 graduate students living off campus.

During Mr. Hintz' discussion, the Committee raised concerns about Carriage House and how his office has been addressing concerns that arise. He said that a fence was recently erected in front of the property and extra law enforcement personnel have been hired to reduce the number of visitors on the weekends. In addition, the Carriage House management has issued ID cards to their residents.

Vice-Chair Bailey noted that many agree that there seems to be a lack of communication on the part of the Carriage House management to their residents. Mr. Hintz has planned a meeting with the Carriage House management and will report back to the Student Life Committee.

Vice-Chair Bailey also noted that the Student Life Committee will meet immediately following the Board meeting today in Room E7036 on the 7th floor.

11. Institutional Advancement Committee Report**(a) Committee Chairman's report on Committee activities**

Trustee Barry provided the report of the Institutional Advancement Committee on behalf of Vice-Chair Treibick. The Committee did not meet prior to this Board meeting, but is expected to meet sometime in January.

He reported that the Alumni Association is committed to helping the Division of Athletics in rallying support for the football team at the Meineke Car Care Bowl in Charlotte, North Carolina on December 29. Athletic Director Hathaway informed Trustee Barry this morning that 8,000 tickets out of the 12,500 ticket allotment the University was required to purchase had already been sold or committed.

(b) Development Progress Executive Summary (Attachment 32)

Trustee Barry directed Trustee attention to Attachment 32, which is the Development Progress Executive Summary. He noted that the document is broken down into three sections – Progress Toward Goals, Campaign Progress, and State Match Progress. In the first phase, we are running a little behind in new gifts and pledges, but it is not unusual in the first quarter of each year. However, the University is in good shape with cash receipts at 33% in the first quarter, which is comparable to previous years.

The University continues to build a tremendous base toward the development and announcement of the upcoming Capital Campaign. To date, the Cumulative Campaign Total is at \$80.14 million toward the next Campaign.

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The State Matching Program remains in limbo in terms of not having reached the rainy day threshold to trigger the payment of a State match. The Foundation is hopeful that the State will reach that threshold at some point.

UConn Foundation President Martin mentioned that the difference between last year and this year is the receipt of one large gift. He said that their cash receipts are up 89% over last year; the Annual Fund Unrestricted Receipts are up 26%; and the number of donors is up 11% over the previous year.

12. Adjournment

Chairman Rowe announced that the Construction Management Oversight Committee meeting will begin immediately following the Board meeting in this room and the Student Life Committee will meet on the 7th floor in Room E7036.

The next meeting of the Board of Trustees is scheduled for Tuesday, January 22, 2008 at 1:00 p.m. at the Rome Commons Ballroom (South Campus Complex), Storrs, Connecticut.

There being no further business appearing, the Board meeting adjourned at 2:35 p.m.

Respectfully submitted,

Louise M. Bailey
Secretary