

August 2007

Minutes August 1, 2007

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**MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

August 1, 2007

OPEN SESSION

The meeting was called to order at 9:38 a.m. by Chairman John Rowe. Trustees present were: Louise Bailey, Philip Barry, Michael Bozzuto, Andrea Dennis-LaVigne, Ross Gionfriddo, Lenworth Jacobs, Jr., Michael Martinez, Joan McDonald, Denis Nayden, Michael Nichols, Thomas Ritter, Wayne Shepperd, and Janine Braun, who represents the Governor's Office.

Trustee Richard Treibick participated by telephone.

Trustees Gerard Burrow, Linda Gatling, Rebecca Lobo, Mark McQuillan, and F. Philip Prelli were absent from the meeting.

University staff present were: President Austin, Vice President and Chief Financial Officer Aronson, Vice President and Chief Operating Officer Feldman, Vice President for Student Affairs Saddle mire, Chief Audit and Compliance Officer Walker, Dr. Schurin, Associate Vice President for Human Resources and Payroll Services Munroe, Senate Executive Committee Chair John DeWolf, Senate Representative Gary English, Director of Dining Services C. Dennis Pierce, and Ms. Locke.

Assistant Attorney General Ralph Urban was also present.

Also in attendance were Ms. Patricia Hassett, who assists Dr. Rowe, and Mr. John Isaacson from the search firm Isaacson, Miller.

EXECUTIVE SESSION

On a motion by Mr. Ritter, seconded by Ms. Bailey, **THE BOARD VOTED** to go into Executive Session at 9:40 a.m. to discuss matters pertaining to personnel and collective bargaining. The Chairman noted that on the advice of counsel only staff members whose presence was necessary to provide their opinion would be permitted to attend Executive Session.

Trustees present were: Bailey, Barry, Bozzuto (present for part of the meeting) Dennis-LaVigne, Gionfriddo, Jacobs, Martinez (present for part of the meeting), McDonald, Nayden, Nichols, Ritter, Rowe, Shepperd, and Janine Braun, who represents the Governor's Office.

Trustee Richard Treibick participated by telephone.

Assistant Attorney General Ralph Urban was present for part of the meeting.

University staff present were: President Austin (present for part of the meeting), Vice President and Chief Operating Officer Feldman (present for part of the meeting), Vice President for Student Affairs Saddle mire (present for part of the meeting), Chief Audit and Compliance Officer Walker (present for part of the meeting), Dr. Schurin (present for part of the meeting), Associate Vice President for Human Resources and Payroll Services Munroe, Senate Executive Committee Chair John DeWolf (present for part of the meeting), Senate Representative Gary English (present for part of the meeting), and Director of Dining Services C. Dennis Pierce (present for part of the meeting).

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Also in attendance were: Ms. Patricia Hassett, who assists Dr. Rowe, and Mr. John Isaacson from the search firm Isaacson, Miller (present for part of the meeting).

OPEN SESSION

Executive Session ended at 11:15 a.m. The Board returned to Open Session at 1:07 p.m. Trustee Drotch joined the meeting at this time.

University staff who joined the meeting at this time were: Provost and Executive Vice President for Academic Affairs Nicholls, Executive Vice President for Health Affairs Deckers, Vice President and Chief Financial Officer Aronson, Vice Provost for Academic Administration Singha, Vice Provost for Undergraduate Education and Regional Campus Administration Makowsky, Vice Provost for Enrollment Management Evanovich, Vice Provost for Research and Graduate Education Anderson, Associate Vice President of Administration and Operations Services Callahan, Special Assistant to the President and Director of University Communications Brohinsky, Interim Executive Director of Architectural and Engineering Services Bradley, Chief Financial Officer DeTora, Construction Assurance Director Patel, Health Center Chief Financial Officer Upton, Health Center Chief Administrative Officer Whetstone, Health Center Director of Campus Planning, Design & Construction Trutter, Senate Representatives Nancy Bull, Karla Fox, and Andrew Moiseff, and Ms. Locke.

Assistant Attorney General Michael Sullivan also joined the meeting.

All actions taken were by unanimous vote of the Trustees present.

1. Public Participation

The following member of the public addressed the Board on the topic noted:

- Jonathan Pelto, President, Impact Strategies, Inc. “Putting UCONN 2000 Back on Track: Making Student Safety and UConn Students a True Priority”

Chairman Rowe indicated that the Board received a handout from Mr. Pelto. Mr. Pelto spoke about his observations two years after the conclusion of the Governor’s Commission on UConn review and accountability. He said that many of the Board members became Trustees after he left public life. His official involvement with the University began in 1984 when as a senior at UConn he was elected to represent this District in the Connecticut State House of Representatives. During his ten year in the Legislature, his primary focus was the University and higher education. He wrote, along with Scott Brohinsky, the landmark flexibility legislation in 1991-92 that gave rise to the transformation that has taken place. Mr. Pelto said that it was the legislation that created the block grant program allowing for position control and also instituted a variety of key measures that lead to the University’s autonomy that is now taken for granted. Soon after that legislative success, he and Scott had concocted the UCONN 2000 concept and had the honor of helping to write that legislation and then to design and lead the historic public relations campaign that helped lead to its adoption. More recently, he served as the co-chair of the Governor’s Commission on UConn Review.

Mr. Pelto said that because of the time allotted, he chose five of a larger number of issues in his presentation. These included: 1) the Governor’s 2005 directive to inspect student facilities, 2) the Board’s commitment to implement the Commission’s recommendations, 3) UConn’s failure to meet the mandate set forth in the clean up legislation on UCONN 2000, 4) UConn’s claim that the 77 dormitories were in fact safe, although they had not been inspected,

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and 5) highlights of some of the issues and problems associated with communication and coordination within the management structure. Finally, he wanted to address the September 1 strategy the University has in place to prepare the dormitories for the students' arrival.

A file copy of Mr. Pelto's submission, which summarizes and goes beyond his oral presentation, is attached to the Board minutes.

After 15 minutes, Chairman Rowe asked him to summarize his major points.

Mr. Pelto directed Trustee attention to page 24 in his prepare materials. He said that the Board has been briefed about a process that has been put in place, which is that every building will be going through a mini-inspection process to make sure that sprinklers and alarms work, and exits are not blocked. The problem with this is that it does not guarantee or ensure that these buildings do not have fire code violations. In this State, you cannot move in unless the structure has a certificate of occupancy, which can only be achieved by having a building that has no fire code violations. Mr. Pelto said it is important but not sufficient that these mini-inspections are taking place. Under the law, if a fire occurs that is related to code violations that are left in place because corrective work is not completed until the students return, the University, the administration, and the Board will be sued. The University knew or should have known that comprehensive inspections were needed and for whatever reason now 24 months later, those inspections have not been completed. In less than 30 days, students will be moving into these buildings and regardless of whether this Board was properly briefed and failed to act or was not properly briefed in the first place more than 6,000 students will be moving into residence halls that do not meet fire code.

Mr. Pelto concluded by saying that he hoped the Board would take seriously these observations and others that he has brought forward over the last two years.

2. Chairman's Report

- (a) Minutes of the meeting of June 19, 2007

On a motion by Dr. Jacobs, seconded by Mr. Shepperd, **THE BOARD VOTED** to approve the minutes of the meeting of June 19, 2007.

- (b) 2007-2008 Board meeting schedule (Attachment 1)

On a motion by Mr. Drotch, seconded by Dr. Dennis-LaVigne, **THE BOARD VOTED** to approve the 2007-2008 Board meeting schedule.

Chairman Rowe noted that the 2008 August meeting is scheduled for the Avery Point Campus and that this meeting was initially scheduled there, but for a variety of reasons was relocated to Storrs. He said that they may decide to schedule a meeting there sooner and will discuss this with the staff at some point, but for now it will remain on the calendar as is.

Chairman Rowe noted that in the material that was distributed to the Board for this meeting, there was a chapter in a book written by Dr. Terence McTaggart. As many recall, he led a Board discussion last year. Dr. McTaggart has written a history of some university turnarounds and he dedicated a substantial portion of the chapter to discussing the events at UConn over the past several years.

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At this point, Chairman Rowe adjourned the Board of Trustees meeting and began the Budget Workshop (joint meeting of the Academic Affairs and Financial Affairs Committees).

At 2:05 p.m., Chairman Rowe adjourned the Budget Workshop and resumed the Board of Trustees meeting.

(c) Matters outstanding

Chairman Rowe added the following resolutions to the agenda. Copies of the handouts are attached to the file copy of the Board minutes.

On a motion by Dr. Jacobs, seconded by Ms. Bailey, **THE BOARD VOTED** to add to the agenda a resolution regarding Dr. Austin's titles after he leaves the presidency.

On a motion by Dr. Rowe, seconded by Mr. Drotch, **THE BOARD VOTED** to confer upon Philip E. Austin the title of President Emeritus and University Professor in the Department of Economics, to take effect upon the starting date of the next President of the University of Connecticut.

On a motion by Dr. Jacobs, seconded by Ms. Bailey, **THE BOARD VOTED** to add to the agenda a resolution regarding the contribution of The UConn Foundation to compensate the next President of the University of Connecticut.

On a motion by Dr. Rowe, seconded by Mr. Drotch, **THE BOARD VOTED** to update its policy for the use of The University of Connecticut Foundation as a source of compensation for the President of the University. The policy shall be as follows:

In order to attract an individual of the highest caliber without total dependence on State resources, The University of Connecticut Foundation may be utilized to augment the base pay and/or to provide benefits that supplement the compensation package of the President, when the Board of Trustees determines that it is necessary to do so.

Chairman Rowe said that this was usual and customary with respect to universities, particularly in comparative institutions.

He wanted to mention briefly in his report that the University Fire Department is going to submit a letter to the editor of a local newspaper regarding an article they ran recently about fire departments. Since the article was published, Chairman Rowe said that he received a few questions from some Board members. He wanted to mention two items of historical interest and one new piece of information for the record. The first is that it is quite possible in a careful reading of the article that a couple points may have been missed by the usual reader. The first is that UConn is the only university in New England and one of few nationwide that actually has its own fire department. Most other institutions rely on municipal fire departments, some of which are voluntary.

The second point is that UConn's fire firefighters have an average response time of three minutes or less, which far exceeds the standard recommended by the National Fire Protection Association.

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An additional item which has developed since the recent attention relates to our insurance company. Following the appearance of the article, the University contacted FM Global, which is the insurance company that insures all buildings owned by the State of Connecticut, and requested their viewpoint on the effectiveness of the UConn Fire Department. Their response reads in part:

Overall, we are quite satisfied with the Department and the services it provides. From a property insurance standpoint, the Department is fulfilling our expectations and satisfying our standards in respect to emergency response, maintenance and inspections of fire protection equipment, knowledge of the hazards involved, and the various programs in place to reduce the likelihood of a loss and mitigate damage should (a fire) occur. Notably, the Fire Marshal's office of inspections and code compliance has been doing a very good job in overseeing new projects and addressing outstanding issues.

Chairman Rowe said that he was pleased to have that response from our insurer.

(d) Consent Agenda Items:

On a motion by Mr. Martinez, seconded by Mr. Barry, **THE BOARD VOTED** to approve Consent Agenda items.

(1) Contracts and Agreements for the Storrs-based programs
and the Health Center (Attachment 2)

(2) Process for Hearing Faculty Member Appeals of
Personnel Actions (Attachment 3)

(e) Personnel matters (Storrs-based programs) (Attachment 4)

(1) Appointment with Tenure

On a motion by Mr. Nichols, seconded by Mr. Shepperd, **THE BOARD VOTED** to approve the appointment of Mun Y. Choi as Professor with tenure in the Department of Mechanical Engineering effective January 4, 2008.

(2) Designation of Emeritus Status

On a motion by Mr. Shepperd, seconded by Dr. Jacobs, **THE BOARD VOTED** to approve the designation of emeritus status to the following faculty members effective July 1, 2007:

1. Arms, Karen Professor, Department of Human Development and Family Studies

2. Hightower, Lawrence E., Professor, Department of Molecular and Cell Biology

3. Spiegel, Eugene, Professor, Department of Mathematics

(3) Sabbaticals

On a motion by Dr. Jacobs, seconded by Mr. Ritter, **THE BOARD VOTED** to approve the sabbatic leave list.

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- (4) Informational matters

3. **President's Report**

President Austin said that there was a strong probability that this will be his last meeting as the University's President. Most of the substantive issues were updated through the reports the Board has heard today. He said that this last meeting culminates eleven years at the University - an administrative/academic career with the support of the faculty and the Board and to be surrounded by competent and enthusiastic professional staff, a supportive Foundation, and the Alumni Association. Over the last 25 years, he probably worked with about 150 Board members at four different institutions. By and large, he said that he has felt support from most of them and there are many Board members that he still keeps in touch with, but none has exceeded the strength of support and friendship that he feels from this Board. In addition, the Board's dedication to the University is second to none. President Austin thanked Chairman Rowe for his dedication to UConn, his personal support of him, and his friendship.

Chairman Rowe removed from the Agenda for further review Attachment 5 listed below.

- (a) Collective Bargaining Agreement between the Department of Dining Services and Local 2527T, UNITE / HERE, AFL-CIO, CLC (Attachment 5)
- (b) Other items

4. **Financial Affairs Committee Report**

- (a) Committee report on Committee activities

Chairman Rowe stated that there were many items on the agenda that were derivative of the materials covered in the budget workshop with respect to both the operating budget and the capital budget. Vice-Chair Drotch asked for summary comments on the project budgets, including the two additional project budgets presented at the meeting for review and approval dealing with fire alarm upgrades. He said there was also an additional agenda item on proposed policies and procedures regarding corporate sponsorship agreements, which will allow the University to begin negotiations for an athletic corporate sponsorship.

Vice President Feldman provided information on the items that were to be added to the agenda. The volume of work indicates an increased level of activity and implementation of Phase III of UCONN 2000. He said that upcoming meetings will illustrate similar activity.

Vice President Feldman emphasized Provost Nicholls's earlier comments that the capital investment plan is now in alignment with the Academic Plan.

Vice-Chair Drotch asked for a brief overview of the two project budgets on the fire alarm upgrades that were provided to the Board. Copies of the handouts are attached to the file copy of the Board minutes.

Mr. Bradley said that the budget for the fire alarm upgrade at the West Campus residences was below the policy limit for Board approval, but it was brought forward so that the Board was aware of the work that is being done over the summer. The fire alarm upgrade for the graduate student residences was above the \$500,000 threshold and must be presented to the Board for approval. Mr. Bradley said that these two budgets were part of the University's fire alarm upgrade program and would be installed soon. A majority of the work will be done while the buildings are vacant or in some cases partially vacant. Mr. Bradley said that that

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these upgrades will bring these residence halls into parity with the others. He said that there are individual detection devices in the rooms, but the new ones represent an upgraded version.

On a motion by Dr. Rowe, seconded by Dr. Dennis-LaVigne, **THE BOARD VOTED** to add a resolution regarding a Final Budget in the amount of \$443,900 for the upgrade of the existing fire alarm systems in the West Campus Residences. Due to the nature, schedule and completion dates of this proposed project, an exception to the normal three-phase budget process is also requested.

On a motion by Dr. Rowe, seconded by Dr. Dennis-LaVigne, **THE BOARD VOTED** to add a Final Budget in the amount of \$668,202 for the upgrade of the existing fire alarm systems in the Graduate Student Residences. Due to the nature, schedule and completion dates of this proposed project, an exception to the normal three phase budget process is also requested.

On a motion by Dr. Rowe, seconded by Mr. Shepperd, **THE BOARD VOTED** to add a resolution endorsing the proposed policies and procedures for corporate sponsorship agreements; and delegating authority to the Financial Affairs Committee to approve them following a period of public comment to be concluded on August 15, 2007.

A copy of the handout is attached to the file copy of the Board minutes.

Vice-Chair Drotch said that this would provide the University with the authority to enter into corporate sponsorships on the basis of the policies and procedures set forth in the memorandum.

Associate Vice President Callahan indicated that Connecticut's constituent units of higher education were authorized to enter into corporate sponsorships agreements pursuant to policies and procedures approved by their governing boards. Mr. Callahan presented proposed policies and procedures for review and endorsement. Corporate sponsorship agreements provide another tool for the University to approach the marketplace with respect to some major agreements, such as athletic apparel. After reviewing the essential elements of the proposed policies and procedures, Mr. Callahan asked the Board to endorse them and provide for a period of two weeks for public comment. He asked that the Financial Affairs Committee be delegated authority to approve the policies and procedures so long as no material changes were made in those presented to Trustees today.

Vice-Chair Drotch asked the Board for a collective vote on Attachments 6 through 27 and the two resolutions listed below that were added to the agenda regarding the project budgets for fire alarm upgrades for the West Campus Residences and the Graduate Student Residences.

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Final Budget in the amount of \$443,900 for the upgrade of the existing fire alarm systems in the West Campus Residences. Due to the nature, schedule, and completion dates of this proposed project, an exception to the normal three phase budget process is also requested.

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Final Budget in the amount of \$668,202 for the upgrade of the existing fire alarm systems in the Graduate Student Residences. Due to the nature, schedule and completion dates of this proposed project, an exception to the normal three phase budget process is also requested.

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(b) Items requiring Board discussion and approval:

- (1) Spending Plan for Fiscal Year 2008 for the University of Connecticut, Storrs and Regional Campuses (Attachment 6)

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Spending Plan for Fiscal Year 2008 of \$903.3 million for the University of Connecticut, Storrs, and Regional Campuses.”

- (2) Health Center Support Building Addition/Renovation Project Definition (Attachment 7)

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARDVOTED** to approve the Resolution Regarding the Health Center Support Building Addition/Renovation clarifying that the project entitled Support Building/Renovation may include the construction of a facility or facilities suited to administrative and support purposes, and further finds and determines that such clarification is dictated by a change in University planning and that the clarified project definition and scope is consistent with the intent or purpose of the original project.

- (3) Fiscal Year 2008 Capital Budget (Attachment 8)

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a capital budget in the amount of \$115,000,000in UCONN 2000 General Obligation Bonds for Fiscal Year 2008.

- (4) Fourteenth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds (Attachment 9)

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Fourteenth Supplemental Indenture authorizing University of Connecticut General Obligation Bonds. This provides for the fourteenth bond issuance under the Master Indenture and authorizes issuance in an amount not to exceed \$115,000,000, plus costs of issuance.

- (5) Deferred Maintenance/Code/ADA Renovation Lump Sum Project List for Fiscal Year 2008 (Attachment 10)

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Deferred Maintenance/Code/ADA Renovation Lump Sum Project List for FY 08 in the total amount of \$40,525,000 for the Storrs-based program and \$6,700,000 for the Health Center.

- (6) Project Budget (Planning) for Benton State Art Museum Addition (Attachment 11)

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$1,700,000 for the repairs and improvements to the William Benton Museum of Art.

- (7) Project Budget (Planning) for a Compost Facility (Attachment 12)

On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$600,000 for a Compost Facility.

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- (8) Project Budget (Planning) for Gulley Hall Roof and Structural Repair (Attachment 13)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$630,000 for the repairs and improvements to the Gulley Hall roof.
- (9) Project Budget (Planning) for Library Storage Facility (Attachment 14)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$9,500,000 for new Library Storage Facilities to include a data center.
- (10) Project Budget (Planning) for Old Central Warehouse (Attachment 15)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget for the Old Central Warehouse renovation and reuse in the amount of \$18,000,000.
- (11) Project Budget (Planning) for Sewage Treatment Plant Clarifier Repairs (Attachment 16)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$1,190,000 for the repairs to the Sewage Treatment Plant clarifiers.
- (12) Project Budget (Planning) for Storrs Hall Addition (Attachment 17)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$7,000,000 for the repairs and improvements to the Storrs Hall Addition.
- (13) Project Budget (Planning) for Torrey Renovation Completion and Biology Expansion (Attachment 18)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$44,305,000 for the Torrey Renovation Completion and Biology Expansion.
- (14) Project Budget (Planning) for the University of Connecticut Health Center Dowling North Renovation (Attachment 19)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$790,000 for the University of Connecticut Health Center Dowling North Renovation.
- (15) Project Budget (Planning) for the University of Connecticut Health Center John Dempsey Hospital Emergency Department Expansion (Attachment 20)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$1,300,000 for the John Dempsey Hospital Emergency Department Expansion project.

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- (16) Project Budget (Planning) for the University of Connecticut Health Center Main Cooling System Back-up Chiller Replacement (Attachment 21)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$800,000 for the University of Connecticut Health Center Main Cooling System Back-Up Chiller Replacement.
- (17) Project Budget (Planning) for the University of Connecticut Health Center Support Building Addition/Renovation (Attachment 22)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Planning Budget in the amount of \$7,800,000 for the University of Connecticut Health Center Support Building Addition/Renovation.
- (18) Project Budget (Design) for Hawley Armory Façade and Interior Repairs (Attachment 23)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Design Budget in the amount of \$2,720,000 for the exterior and interior repairs of the Hawley Armory Facility.
- (19) Project Budget (Design) for Wilbur Cross Façade Repairs (Attachment 24)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Design Budget in the amount of \$1,754,500 for the exterior repairs to the Wilbur Cross Facility.
- (20) Project Budget (Design) for the University of Connecticut Health Center Munson Road Renovation and Expanded Parking (Attachment 25)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Design Budget in the amount of \$4,350,000 for the UHC Munson Road Renovation and Expanded Parking.
- (21) Project Budget (Revised Design) for East Campus Steam and Condensate Piping Replacement at the Avery Point Campus (Attachment 26)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Revised Design Budget in the amount of \$1,600,000 for the replacement of the east campus steam and condensate piping at the Avery Point Campus.
- (22) Project Budget (Final) for Torrey Life Sciences Code Correction (Attachment 27)
On a motion by Ms. Bailey, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Final Budget in the amount of \$750,000 for electrical feeder repair and replacement, emergency lighting upgrades and fire alarm upgrades and improvements to the Torrey Life Sciences Building. Because of the nature and timetable of this activity, resulting in a Final Budget approval request, an exception to the three-phase budget process is also requested.

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A separate motion was made for the following resolution.

On a motion by Mr. Ritter, seconded by Mr. Nayden, **THE BOARD VOTED** to approve a resolution endorsing the proposed policies and procedures for corporate sponsorship agreements; and delegating authority to the Financial Affairs Committee to approve them following a period of public comment to be concluded on August 15, 2007.

(c) Informational items:

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|-----|-------------------------------------|------------------|
| (1) | UCONN 2000 Book #24 | (Separate cover) |
| (2) | Construction Projects Status Report | (Separate cover) |

5. Health Center Report

(a) Report on Health Center activities

Vice-Chair Jacobs gave the report of the Health Center activities on behalf of Chair of the Health Center Board of Directors Gerard Burrow. Dr. Jacobs thanked the leadership of the Health Center, particularly Dr. Deckers, for all the work they he and his colleagues have done this year with communicating the issues with clarity and integrity to the Legislature and other constituents in the public sector.

As the Board heard earlier in the day during the Budget Workshop, the Legislature funded the Academic Gap, which was critical for the Health Center. The operating budget is now approximately \$713 million with about \$130 million coming through the State appropriations and corresponding fringe benefits. This State support represents 18.3% of the budget, which is up from 16.2 % in Fiscal Year 2007.

The capital budget process was revised with much more emphasis on prioritizing projects and ensuring sufficient cash flow to address the named priorities. While the proposed new hospital project is being reviewed by the Legislature, they are moving ahead on ambulatory space planning and acquisition, 400 Farmington Avenue, which will house the new Stem Cell Institute, and the completion of the administrative space projects. He also noted that the Stem Cell project got a significant amount of the budget appropriated by the State.

(b) Items requiring Board discussion and approval:

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| (1) | Spending Plan for the University of Connecticut Health Center for Fiscal Year 2008 | (Attachment 28) |
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On a motion by Mr. Barry, seconded by Mr. Nayden, **THE BOARD VOTED** to approve the University of Connecticut Health Center Spending Plan for the Fiscal Year 2008.

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| (2) | Capital Spending Plan for Fiscal Year 2008 and Five-Year Capital Spending Plan for Fiscal Years 2009-2013 for the University of Connecticut Health Center | (Attachment 29) |
|-----|---|-----------------|

On a motion by Dr. Dennis-LaVigne, seconded by Dr. Rowe, **THE BOARD VOTED** to approve the University of Connecticut Health Center Capital Spending Plan for Fiscal Year 2008 and the Five Year Capital Spending Plan for Fiscal Years 2009-2013.

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6. Joint Audit and Compliance Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Nayden reported that the Joint Audit and Compliance Committee last met on June 7, 2007. Relative to external engagements, UHY LLP completed the project audits for the period 2003 to 2006 and the audits on Deferred Maintenance. Their report will be presented to the Joint Audit and Compliance Committee on September 11. The additional work surrounding the capital equipment audit has been delayed, because the documentation was contaminated with mold while in storage at the Depot Campus. It will take some time to decontaminate those documents, which has slowed down progress on the audit. Vice-Chair Nayden indicated that he was pleased with UHY in terms of their follow through and completeness of their work.

One of the audits that was just formulated with an implementation plan in place was the follow through on the student loan/financial aid audit. As the Board discussed at its last meeting, the Attorney General's Office has been conducting their own inquiry with cooperation from University officials. The University has provided all the information that was requested. Now that the scope and information request has been finalized by the Attorney General's Office, they will complete their own audit utilizing four independent financial aid offices. The preliminary reports indicated that the University's processes and practices are in good order and they do not anticipate any problems. Nonetheless they will conduct their own audit and complete findings to validate their findings. Interestingly, it should be noted that Senator Edward Kennedy has conducted his own investigation into the marketing practices of lenders in the student loan industry. His office was able to obtain some documentation from many private lending entities. The report described a memorandum obtained from a private lender that described its strategies to increase market share at the University of Connecticut and noted that the University "demonstrates appropriate resistance by school officials to lender offers of inducements, so we won't waste our time there." That is just one piece of positive evidence.

Vice-Chair Nayden updated the Board on Code of Conduct training and State Ethics rules. At Storrs, they are 95% complete and 92% complete at the Health Center. As training will be ongoing, work has already begun on revising next year's training schedule.

Finally, the Health Center has settled with the Department of Health and Human Services Office of Inspector General regarding incorrect billing dating back to 2001. The Health Center signed what is referred to as a Certification of Compliance Agreement (CCA). Under that agreement, there is a three-year program to monitor and report on ongoing practices. That plan has been accepted and that will be fully implemented as part of the settlement. A payment has been made of about \$475,000.

7. Buildings, Grounds and Environment Committee Report

(a) Committee Chairman's report on Committee activities

There was no report of the Buildings, Grounds and Environment Committee.

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8. Construction Management Oversight Committee Report**(a) Committee Chairman's report on Committee activities**

Vice-Chair Ritter reported that the Construction Management Oversight Committee (CMOC) met on July 12, 2007. The Committee focused on forming some common agreements over the summer inspection program. On June 5, there was a meeting at the State Capitol in which the legislative co-chairs from public safety and higher education, a representative from the Governor's Office, Commissioner of Public Safety, and University officials met to ensure that all parties were in agreement.

Chairman Rowe noted that the meeting was attended by a representative of the Governor's Office who also expressed satisfaction with the progress being made. The CMOC Committee asked that a plan of action regarding fire code and building inspection, which complies with legislative requirements, be completed prior to July 1, 2006. The Committee will continue to provide oversight to ensure completion of the summer inspection program.

Mr. Bradley gave a status report of all code inspection activities that were completed, currently underway, or planned. This report listed the building or project name; status of inspections completed; inspection responsibility; inspection dates; outstanding inspections; level of inspection protocol; and inspection commitment dates. Mr. Bradley reviewed the development of the building project list, which was a major part of this process to ensure that the members agreed on the building list, the matrix, and all other matters that needed to be completed for each project. The membership was in agreement regarding the mission for this summer.

Mr. Christopher Laux, State Building Inspector, responded to questions regarding a level of inspection protocols; the work that commenced in February 2006; inspection and correction progress to date; the need to utilize on-staff inspectors; as well as criteria for confirmation that the buildings were ready for occupancy by the end of August.

Vice-Chair Ritter reiterated to the Committee at the last meeting that members should raise issues going forward. The Committee agreed that they would receive periodic status reports on specific dates. To date all inspections have been completed, but not all the requisite corrective action has been finalized. Vice-Chair Ritter indicated that \$3.5 million of deferred maintenance funds have been allocated for this purpose. He thanked Chief Hudd and his inspectors, Vice President Feldman, Mr. Bradley, Mr. Brian Gore from Architectural and Engineering Services.

9. Academic Affairs Committee Report**(a) Committee Chairman's report on Committee activities**

Vice-Chair Jacobs reported that the Academic Affairs Committee met jointly with the Financial Affairs Committee this morning. Provost Nicholls is moving forward on the Academic Plan and it has been realigned with the capital plan consistent with current discussions.

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10. Student Life Committee Report

- (a) Committee Chairwoman's report on Committee activities

Vice-Chair Bailey welcomed newly elected student Trustee Ross Gionfriddo. She invited him to the next meeting of the Student Life Committee scheduled for August 27, 2007.

Vice-Chair Bailey brought to the Board's attention a letter she received from the Dean of Students regarding the Devin Gaines Scholarship Fund. Mr. Gaines was a recent graduate who died tragically on July 10. Mr. Gaines graduated with five degrees at the University. A scholarship fund has been established in his name. The University of Connecticut Foundation is accepting donations for establishing an endowment scholarship. This will bring the Devin Gaines Memorial Fund a step closer permanent endowment status. She asked the Board to consider this important scholarship.

Chairman Rowe asked Dr. Schurin to consult with Mr. Martin about a mailing to the Board regarding the Devin Gaines Scholarship Fund and the fund to be established that was discussed in Executive Session.

11. Institutional Advancement Committee Report

- (a) Committee Chairman's report on Committee activities

Trustee Barry gave the report of the Institutional Advancement Committee on behalf of Vice-Chair Treibick. The Committee met via teleconference on July 24, 2007. At the meeting, Vice-Chair Treibick welcomed Professor Karla Fox as the Senate Representative to the Committee. UConn Foundation Vice President for Development Lisa Baronio provided a brief report regarding fundraising results as of June 30, 2007.

She reported that the Foundation came close to meeting its goal in new gifts and pledges for Fiscal Year 2007. Total new gifts and pledges were \$52.5 million, which reflects \$49 million in cash and pledges and \$4 million in revocable planned gifts per a change in campaign reporting. All Progress Reports will note the changes and clearly identify the new category. The change in reporting policy allows for reporting of revocable planned gifts of donors who will reach age 70 during the span of the campaign to be included in the campaign totals at 100% of the value of the gift, but to be identified in a separate category. This change conforms to the common practice among our peer institutions and was approved by the Foundation Board upon the recommendation of the Foundation Board's Development Committee.

The Annual Giving program broke the \$4 million level for the first time in history, and closed in on a \$4.3 million level. This represents a 23% growth over the prior year.

The Foundation is continuing to prepare for the Capital Campaign and is currently undertaking a review of all donors to the University who have contributed \$100,000 or more during their lifetime.

In June, the Foundation completed a screening of over 300,000 prospects. They will analyze how to distribute this information and to integrate top prospects into the development program.

University Communications Director Scott Brohinsky and Mr. David Martel made a presentation to the Committee regarding the latest innovation in the University's strategic communications program. University Communications, in partnership with Enrollment Management and University Information Technology Services, is implementing a Customer Relationship

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Management (CRM) program. This program will enable the University to connect knowledge of prospective students with relevant University information. The result will be to personalize communications by making them more interactive. The Program will have implications for many other University audiences.

In addition, Mr. Brohinsky reviewed and discussed the budget adopted by the State for the Biennium Fiscal Years 2008 and 2009 as well as other legislative outcomes.

Ms. Lisa Lewis, Executive Director of the Alumni Association, discussed their year-end highlights, including the alumni print directory, the Career Advisory Network, UConn branded e-mail addresses, a partnership with the School of Business, two international alumni events they hosted in London and Athens, and the transfer of membership records to the database maintained by the Foundation.

Chairman Rowe thanked Trustees Barry, Bailey, Drotch, and Ritter for their efforts in planning the dinner held last evening in honor of President Austin. Current and former Trustees along with administrators had an opportunity to thank President Austin for his contributions.

(b) Items requiring Board discussion and approval:

(1) Academic Naming Recommendation (Attachment 30)

(a) Health Center Endowed Chair

(1) Rename the Boehringer Ingelheim Chair in Clinical Pharmacology to the Boehringer Ingelheim Chair in Cell Sciences

On a motion by Dr. Rowe, seconded by Dr. Dennis-LaVigne, **THE BOARD VOTED** to approve a change in the name of the Health Center's Boehringer Ingelheim Chair in Clinical Pharmacology to the Boehringer Ingelheim Chair in Cell Sciences.

(2) Facilities Naming Recommendation (Attachment 31)

(a) Homer Babbidge Library

(1) Jausz Family Reading Room

On a motion by Dr. Rowe, seconded by Dr. Dennis-LaVigne, **THE BOARD VOTED** to approve a change in the name of the Health Center's Boehringer Ingelheim Chair in Clinical Pharmacology to the Boehringer Ingelheim Chair in Cell Sciences.

(c) Development Progress Executive Summary (Separate cover)

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12. Other

Chairman Rowe reported that since the last Board meeting there have been two meetings of the search committee. At one of the meetings, the Committee identified relevant experiences and skills, which was very helpful. At that point, the Steering Committee identified ten individuals out of a pool of about 500 individuals, who were either nominated by others, nominated themselves, or expressed interest in the position. There was a tremendous response from the marketplace. The Steering Committee consisted of Trustees Drotch, Ritter, and Rowe; Peter Albertsen, M.D. from the Health Center; Dr. John DeWolf and Mr. Gary English from the Senate Executive Committee; Director of Admissions Lee Melvin, and Ms. Janine Braun, representing herself and Governor Rell. The Steering Committee held a two and a half day retreat in Boston, where they interviewed top ten candidates. Chairman Rowe said that the interviewees were an outstanding group of individuals consisting of presidents and provosts of universities, high ranking public officials, including a number of minorities and women. The Steering Committee members felt that several candidates were fully capable of serving effectively as president. The Committee voted by ballot and it was clear that one candidate was the most attractive. That candidate met with Governor Rell, some senior legislators, the full search committee, and Board this morning. Based on the unanimous recommendation of the search committee to the Board, Chairman Rowe said it was his honor to make a motion to appoint Dr. Michael J. Hogan as UConn's new president.

On a motion by Dr. Jacobs, seconded by Mr. Drotch, **THE BOARD VOTED** to add to the agenda a resolution regarding the appointment of the next President of the University of Connecticut.

On a motion by Dr. Rowe, seconded by Ms. Bailey, **THE BOARD VOTED** to approve a resolution appointing Dr. Michael J. Hogan as the fourteenth President of the University of Connecticut.

A copy of the handout is attached to the file copy of the Board minutes.

Chairman Rowe stated that Dr. Hogan emerged from this national search as a remarkable fit with UConn's opportunities and challenges for the future. Dr. Hogan currently serves as Executive Vice President and Provost at The University of Iowa. He has had a long and distinguished academic career. He is a very distinguished historian and a nationally recognized academic leader. His experience as Executive Vice President and Provost at Iowa and previously as Executive Dean of the Colleges of Arts and Sciences at Ohio State equip him well for the challenges ahead. At Iowa, he was responsible for oversight of all academic programs, including student academic services, strategic planning, and promotion of student and faculty diversity. Chairman Rowe pointed out that some students at Iowa have established a group called "Hogan Heroes." They have a website and are entered in Facebook.

The search committee was particularly impressed by his leadership in a number of important areas, such as health sciences and health care issues. The dean of the School of Medicine and the deans of Dentistry, Public Health, Pharmacy, and Nursing all report directly to him. He chairs the policy setting committee of the University that includes the health sciences deans and the directors of the clinical care programs. He has very substantial experience and expertise and understanding with respect to academic medicine.

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Chairman Rowe said that Dr. Hogan has expressed his excitement and enthusiasm about joining the UConn community and would be available after the Board meeting to meet with Trustees and the media. He will be in attendance at Convocation, which begins the Academic Year on August 24 and he will be working full-time by mid-September. Chairman Rowe thanked the search committee, especially the Steering Committee members, and Governor Rell. Although Governor Rell could not be present at the meeting today, she offered the following comments: "Michael Hogan is the ideal candidate to lead our State's Flagship Public University. He is committed to excellence and he shares my vision for the young people who go to UConn to prepare for their futures."

Chairman Rowe also noted that Vice-Chair Ritter was instrumental in facilitating meetings between Dr. Hogan and key legislators.

Chairman Rowe thanked President Austin for his leadership and his contributions.

13. Adjournment

Chairman Rowe noted that the next meeting of the Board is scheduled for Tuesday, September 25, 2007 at 1:00 p.m. at the Rome Commons Ballroom (South Campus Complex), Storrs, Connecticut.

There being no further business appearing, the Board meeting adjourned at 2:57 p.m.

Respectfully submitted,

Louise M. Bailey
Secretary