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UConn  
2000

32

REPORT



University of  
Connecticut



Thanks in good measure to UCONN 2000, the University continues to attract scores of the state and nation's best and brightest students to Connecticut, most of whom live and work in the Nutmeg State after commencement.

# UConn 2000

## REBUILDING, RENEWING AND ENHANCING THE UNIVERSITY OF CONNECTICUT

**April 2011**

The **Thirty Second**  
in a series of reports to

Governor Dannel P. Malloy  
and the  
Connecticut General Assembly

UNIVERSITY OF CONNECTICUT

## **UCONN 2000: THE UPDATE**

This is the thirty second in a series of semi-annual reports to the Governor and the General Assembly pursuant to the provisions of Sections 10a-109 through 10a-109y of the Connecticut General Statutes, originally An Act to Enhance the Infrastructure of the University of Connecticut, now known as UCONN 2000. These reports have been issued each October and April since passage of UCONN 2000 on June 7, 1995. The law also requires a four-year progress report, which was filed on January 15, 1999, as well as two five-year performance reviews which were submitted January 15, 2006 and January 15, 2011.

## **UCONN 2000: PROGRAM IMPROVEMENT**

During this reporting period the requirements concerning UCONN 2000 contained in Public Act 06-134 continue to be implemented. The Construction Management Oversight Committee (CMOC) meets on a regular basis to review reports from the Office of Construction Oversight, who monitors the project management and procurement policies and procedures. CMOC also reviews the status of major projects and remaining code correction programs.

## **UCONN 2000: STATUS REPORT MASTER PLANNING, DESIGN AND MANAGEMENT**

The University's Master Plan provides a template for the development of the university's physical plant and infrastructure. The 1998 Master Plan was updated in 2006 to reflect the extensive physical changes on all the campuses resulting from the UCONN 2000 and 21st Century UConn initiatives. The Master Plan update was necessary to assist the University in prioritizing and managing its capital resources. The Master Plan updates data of the physical plant, assesses current and projected space needs relative to available facilities; assesses the overall parking and transportation system including its financial and operational aspects. The planning effort includes the Regional campuses. It should be noted that the Master Plan will be modified throughout the project planning, design and construction process of UCONN 2000. The Storrs Campus Master Plan Update – January 2006, was approved by the Board of Trustees on April 11, 2006. Additionally, a plan has been developed for athletic facilities that were presented to the Buildings, Grounds and Environment Committee of the Board of Trustees on June 6, 2008. A Landscape Master Plan for the Storrs Campus was completed in March and the first series of recommendations will be implemented over the course of the next year. The Health Center Master Plan was finalized in 2003 with certain components updated since the 2003 plan. A School of Fine Arts Master Plan was completed in June 2009.

The Board of Trustees, at its April 20, 2010 meeting, approved the FY11 Capital Budget totaling \$138,800,000 as shown in Attachment A. This amount reflects \$93,800,000 for Storrs and the Regional Campuses and \$45,000,000 for the University of Connecticut Health Center.

In February 2009, due to the economic challenges it was facing, the State notified the university that the scheduled FY10 bond authorization in the amount of \$140.5 million would be deferred. Accordingly, the schedule for all UCONN 2000 projects was adjusted based on available funding.

The following is a brief description of the named projects included in the FY11 Capital Budget or previous budgets, which are currently in planning, design or construction.

## Storrs & Regional Campuses



JOHN WARNER OF ARCHITECTURAL AND ENGINEERING SERVICES LEADS STAFF OF THE REGISTRAR'S OFFICE ON A TOUR OF THE 200-SEAT LECTURE HALL IN THE WEST CLASSROOM BUILDING, UNDER CONSTRUCTION ON MAY 2, 2011.

PHOTOS BY  
PETER MORENUS



### *Arjona and Monteith (New Classroom Buildings)*

These two original classroom buildings, are perhaps the most visible on campus, and were constructed in 1959 with each having 68,600 square feet of space on four levels. Connected to the Monteith building is the 4,000 square foot Schenker Lecture Hall. These two buildings are the most heavily used classroom facilities on the Storrs campus. They also contain offices for many of the departments within the College of Liberal Arts and Sciences. It was anticipated within the UCONN 2000 program that these buildings would be renovated; however, 1) the cost far exceeded planned allocations and 2) the University could not take critically important existing classroom space off-line. The current project includes the construction of two new classroom buildings.



THE WEST CLASSROOM BUILDING UNDER CONSTRUCTION ON MAY 12, 2011.

PHOTOS BY  
PETER MORENUS



The West building, on the site of the former Pharmacy Building, will consist primarily of classrooms and auditoria. The East building, on the site of the former UConn Co-op, will contain departmental offices and classrooms. Construction of the West building is approximately 80% complete with an anticipated opening in summer 2011. Underground utilities, including renovation of a steam line and reconfiguration of Fairfield Way, were completed in October 2010. The East building has been successfully bid and construction began in September 2010. The East building is anticipated to be complete in July 2012.

### ***Avery Point Campus Undergraduate and Library Building***

During Phase I of the UCONN 2000 program, the need for research space was addressed with the new Marine Sciences building, as well as construction of a new facility for Project Oceanology. With the repairs to the Branford House, the needs for administrative space were met when new infrastructure was installed. However, the remaining significant need is for undergraduate program space, currently housed in the WWII era former Coast Guard facilities. This project will support new or renovated undergraduate co-curricular space as well as plan the demolition of certain of the Coast Guard R&D facilities. The architectural/engineering team completed assessment and programming and are working on schematic design drawings. Other projects include replacement of the existing roof and repair of exterior masonry of the library, as well as reconfiguration of the interior of both the library and undergraduate building. Mechanical system upgrades of piping and equipment are currently underway.

### ***Benton State Art Museum Addition***

This project built a 6,500 square foot gallery space with support spaces for a café, museum shop and space for general orientation which was completed in January 2004. The original museum was constructed in 1920 and ongoing improvements have included roof replacement, parapet and exterior wall re-pointing, reconstruction of deteriorated entry walls and foundation systems to prevent water infiltration. Recently, the University began replacement of the mechanical systems and installation of a new sprinkler system in the original museum.

### ***Biobehavioral Complex Replacement***

The Biobehavioral Sciences Complex was constructed in the Horsebarn Hill quadrant of campus between 1965 and 1974. This complex is primarily comprised of prefabricated metal buildings that have been used as research laboratories. In 1994, an annex was constructed adjacent to the 1974 Biobehavioral Science Building #4 providing an additional 23,300 gross square feet of research space. The original project plan involved construction of a centralized facility and, upon completion, demolition of the old buildings. Based on evaluation of the complex, renovation now appears to be a more cost-effective approach than replacement. The Fiscal Year 2011 plan provides funding for building envelope repairs, mechanical system improvements, and interior room modifications. The University will renovate portions of the complex to accommodate the Center for Environmental Science and Engineering (CESE) laboratory, office, and research collaboration spaces, as well as temporary offices for programs displaced by construction and renovation projects elsewhere on campus.

### ***Bishop Renovation***

The Merlin D. Bishop Center was completed in 1971 and houses the Center for Continuing Studies. This 36,000 square foot facility has offices and meeting rooms which are among the most heavily used on campus. A study of the mechanical systems and elevators was completed.



### ***Deferred Maintenance/Code/ADA Renovation Lump Sum***

The 2007 amendments to the law define the “deferred maintenance” portion of the project name as “repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair.” In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades
- General building renovations
- Roads, walk and grounds
- Environmental Remediation
- Lump sum funding to supplement named project budgets to cover specified renovation/code activities

The Phase III project budget now stands at \$263.6 million.

### ***Engineering Building***

The School of Engineering is located in several buildings, five on the main Storrs campus and four at the Depot Campus. The three oldest and least renovated buildings on the main campus were built between 1959 and 1987 and can no longer support emerging interdisciplinary engineering programs such as bioengineering and nanotechnology. A planning study is underway to identify program components for a new building. Deferred maintenance projects including code upgrades, mechanical improvements and interior renovations are ongoing in all engineering buildings.

### ***Equipment, Library Collections & Telecommunications***

The enhancement of the University’s infrastructure includes its instructional and scientific equipment. The equipment replacement category permits the University to replace outmoded items with state of the art laboratory devices and computers. The funding encompasses seven major categories: management information systems, computers, research equipment, instructional equipment, furnishings, operational and public safety support and library materials. Library materials are no longer purchased with UCONN 2000 funds; this expense is part of the operating budget. The total Phase III Equipment, Library Collections & Telecommunications budget is now projected at \$74.4 million.

### ***Fine Arts Phase II***

Numerous planning and design efforts since 1991 have evaluated the needs of the School of Fine Arts. In light of the changes in these programs, the Storrs Center initiative, and the dispersal of the School of Fine Arts (SFA) facilities throughout the Storrs and Depot campuses since 1991, a revised Master Plan has assessed the condition of the current facilities, updated the program requirements of the School, and made draft recommendations to guide the use of UCONN 2000 funds at this location. The final Master Plan recommends a multi-phased approach to the expansion and renovation of the entire SFA complex. Immediate improvements are ongoing to ensure continued code compliance and upgrades to the ventilation system in the photography labs. The University is finalizing a plan to undertake renovation of mechanical, electrical, and plumbing systems, building envelope repairs, window replacement, acoustical and ADA improvements.





THE FLORICULTURE GREENHOUSES ADJACENT TO RTE. 195 WERE CONSTRUCTED IN 1953, AND CONTAIN 23,750 SQUARE FEET OF HEADHOUSE AND GREENHOUSE SPACE.

PHOTOS BY  
PETER MORENUS



### ***Floriculture Greenhouse***

This facility is located on Route 195, south of the Towers Dormitories. Constructed in 1953, it contains 23,750 square feet of headhouse and greenhouse space. The headhouse has both classroom lab space and support space for the greenhouse. The original materials used to construct this facility require a large amount of annual maintenance given the significant impact of a greenhouse environment. This project will renovate the headhouse to provide updated mechanical infrastructure, required code improvements, and curriculum support spaces. A new research greenhouse will provide a critically needed sponsored research and curriculum support facility. Design is complete, bids have been received and construction is anticipated to start late in 2011.



THE PLAZA OF THE GANT SCIENCE COMPLEX.

PHOTO BY  
PETER MORENUS

### ***Gant Building Renovations***

This complex, which includes the Institute of Materials Science, Physics and Math buildings, was completed in the early 1970's. The complex has a total of 238,000 square feet of space with offices, research labs, classrooms and computer facilities. The original design of the building was so flawed that the past 30 years have been an exercise in addressing those shortcomings. A major renovation of the space is required to address the physical deterioration caused by bad design, to update the facilities and to meet changed program requirements. This would include repair of the building envelope

(roofs, windows and masonry), improvement of fire alarm and fire suppression systems, and upgrade to mechanical, electrical and plumbing systems. An architecture and engineering team has been selected and the pre-planning work is underway. A new fire alarm was installed throughout the complex and roof replacement and parapet repairs to the Math Science wing was completed in 2010.

### ***Grad Dorm Renovations (Connecticut Commons)***

This project was part of the University's accelerated plan for the installation of sprinklers in residence halls as approved by the Board of Trustees in 2001. At the same time this work was undertaken, other repairs such as roof replacement, façade repairs, and interior improvements were completed by August 2003. Recently, the university designated this residential complex an important component of its Honor's Program and is in the process of upgrading the facility to accommodate undergraduate students by creating suites, converting the older central bathrooms and showers to suite type bathrooms and installing common rooms. The windows were replaced in 2010 and the building is under construction this summer.

### ***Intramural, Recreational and Intercollegiate Facilities (with CPIA and Fields)***

This project will construct facilities to meet a broad range of activities, including scholastic support to student athletes, indoor practice space for intercollegiate and intramural team sports and individual recreational activity, and outdoor athletic and recreational fields (soccer, football and softball). The first major undertaking was the indoor practice facility which was completed in July 2006. This facility houses the football program including offices, training rooms, locker rooms, dining facilities, lounge, strength and conditioning room and an indoor practice facility. When not used by athletic teams, the indoor facility will be used by the recreational programs. The building includes 170,000 square feet of space. Funding for the project includes \$31 million from Phase III of UCONN 2000 and the remainder from private fundraising and Division of Athletics funds. The university undertook a planning study to identify funding and program uses that could be housed in a new recreation facility. This project has been put on hold.

### ***Jorgensen Renovation***

The Jorgensen Center for the Performing Arts was constructed in 1956 for orchestra performances. Over the years, it has been modified to accommodate events and gatherings ranging from student functions to theater performances. The building contains five levels, including mezzanine levels above the basement and first floor. With a total of 76,408 square feet of space, the lower floor houses the Little Theatre, the Jorgensen Gallery, and a television studio. The upper floors contain a 2,600-seat auditorium, lobby areas, and support facilities. One of the shortcomings of the building is the lack of a fly loft. This project will evaluate the need for such capacity. Also included in the project are life safety, mechanical and electrical systems improvements, ADA modifications, and perimeter drainage repairs. Construction has begun on the life safety improvements (including a new fire alarm system). Replacement of the existing seating, installation of new sloped risers and upgrade to interior finishes was completed.



A VIEW OF KOONS HALL.

PHOTO BY  
PETER MORENUS

### ***Koons Hall Renovation/Addition***

Benjamin Franklin Koons Hall was constructed in 1915 and houses the Allied Health programs. It is 28,600 square feet on five levels with classrooms, offices and labs. Within the past ten years, the following construction activities were undertaken: roof repairs, masonry repointing and installation of an elevator. The building is in need of general renovation work including HVAC, lighting and utility upgrades, window and door replacements, and new interior finishes. A construction project to replace the windows and repair the exterior masonry will take place in 2011.

### ***Mansfield Training School Improvements Phases II & III***

This project, in its two phases, will stabilize the facilities that comprise the former Mansfield Training School. Funding to date has been used for renovations in the Longley building (for Engineering research functions and Technology Incubator Program), the Thompson building (for Fine Arts functions), Merritt Hall (for research incubator technology programs and other administrative activities), a campus-wide high voltage electrical system, mechanical and electrical system improvements to various cottages, roof repairs and the construction of new student recreation fields.

Additional renovations, electrical improvements and demolition of uninhabitable buildings will be included in later years of the program.

### ***North Hillside Road Completion***

This project provides for the extension of Hillside Road by 5,300 lineal feet to Route 44. The project also provides for related utilities including gas, electrical, water, sewer and telecommunications to the new extension. The project will enhance access to the Storrs campus directly from Route 44 and provide sorely needed relief from traffic congestion on Route 195. Additionally, both the road and accompanying utilities will permit future development of this important area. The North Campus is envisioned as the primary area of expansion for the main campus, permitting new University buildings, residential capacity, public/private partnerships (including incubator space) and other initiatives. The Town of Mansfield is supportive of the project. UCONN 2000 funds will be augmented by \$6.1 million in federal funds for this project. This project is expected to move forward when the University has obtained the necessary environmental permits for the project.





THE BOUSFIELD PSYCHOLOGY BUILDING.

PHOTO BY  
PETER MORENUS

### ***Psychology Building Renovation/Addition***

The Weston A. Bousfield Psychology Building contains 87,000 square feet and was constructed in 1974. Its three levels contain offices, classrooms, research laboratories and animal care facilities. Previously, in the UCONN 2000 program, the animal care facilities were renovated and roofs and plazas re-built. The programs of the Psychology Department are in increasingly high demand; with expanded enrollment and rapidly increasing research activity. An addition and selected renovations to the building are essential. A team of architects and engineers has completed design and will start construction drawings. Selection of the Construction Manager at Risk is in process. Construction is estimated to start at completion of the new Departmental and Classroom buildings.

### ***Residential Life Facilities***

This named project represents the overarching authorization to undertake activities to provide housing and dining facilities for the University's students. Although the quantity and the diversity of campus living arrangements were expanded under the first two phases of UCONN 2000, much remains to be done. Some renovations of the older dormitories, with code improvements and sprinkler installations, were accomplished. However, a number of the older facilities still await renovation such as the West Campus complex has remained essentially untouched (except for sprinkler/safety improvements) pending a final residential facility plan. This project provides funds for renovation and construction activity as deemed appropriate by the Board of Trustees. Multiple projects are underway or completed for the installation of sprinkler systems, replacement of elevators, windows and roofs in various residential facilities.



THE GROUNDBREAKING FOR THE WIDMER WING OF THE SCHOOL OF NURSING. FROM LEFT, LAWRENCE MCHUGH, CHAIR OF THE BOARD OF TRUSTEES; CHRIS RAGO, A NURSING STUDENT; ERIC WIDMER, A SON OF FORMER DEAN CAROLYN WIDMER; GOVERNOR DANNEL P. MALLOY; DEAN ANNE BAVIER; MICHAEL WIDMER, A SON OF FORMER DEAN CAROLYN WIDMER; AND PROVOST PETER NICHOLLS.

PHOTOS BY  
PETER MORENUS



### ***Storrs Hall Addition***

The School of Nursing is housed in the 35,000 square foot Storrs Hall completed in circa 1908 and a 4,000 square foot modular building. Earlier in the UCONN 2000 program, Storrs Hall underwent some renovation. This project provides for an addition to Storrs Hall to accommodate offices, classrooms and clinical simulation laboratories, as well as building envelope repairs and renovations for faculty offices in Storrs Hall. The University has received bids and will start construction in April 2011.



A VIEW OF CONSTRUCTION ON THE STUDENT UNION MALL ON MAY 2, 2011.

PHOTO BY  
PETER MORENUS

### ***Student Union Quad***

This project includes the phased planning, design and construction of landscaped walks and other improvements for the large green area behind the Student Union including the area previously occupied by the old School of Pharmacy buildings which were demolished during the winter of 2006. Phase I is complete. Design of Phase II which includes the construction of a new terrace behind the Student Union to replace the original one displaced by the expansion of the Student Union, started construction in March 2011.

### ***Torrey Renovation Completion & Biology Expansion***

This project is a replacement facility for the programs in the George Safford Torrey Life Sciences Building. It will include new research and teaching laboratories, classrooms and offices for various biology programs. Due to ongoing planning efforts, the project budget has been revised to reflect program needs for other new buildings; however, due to a lack of funding, planning will analyze the building's potential for renovation and a modest research addition.



A VIEW OF THE TORRINGTON CAMPUS.

PHOTO BY  
PETER MORENUS

### ***Torrington Campus Improvements***

The Torrington Campus Academic Building was constructed in 1965. It has 37,000 square feet of classrooms, teaching labs, library, office and cafeteria space. This project will support renovation, including window and door replacement, exterior work (roof, masonry and drainage), and upgrades to systems and interior finishes. Additional renovation activities are scheduled for later in the UCONN 2000 program.

### ***West Hartford Campus Renovations/Improvements***

The majority of these funds will be used to support major renovations/additions in keeping with the Master Plan for the campus. The major buildings on the West Hartford campus are: Library Building (67,700 square feet of space), School of Social Work (34,000 square feet of space) and the Undergraduate Building (67,400 square feet of space). These buildings were constructed in 1964, 1968 and 1971 respectively. Virtually no renovation or expansion has taken place in the intervening decades. In order for the buildings to meet their functional needs for the twenty-first century renovations/improvements are needed on all buildings. The renovations are being phased over several years. Roof replacement of the Library and Undergraduate Buildings and a new chemistry laboratory were completed; a preliminary study of the HVAC systems is underway and sidewalk/parking/ADA upgrades are complete. The School of Social Work's exterior upgrades are complete. Other design projects include boiler replacement in the Library Building, campus wide electrical upgrades, renovation of student union lounge and offices and road replacement and repair.





THE W. B. YOUNG BUILDING.

PHOTO BY  
PETER MORENUS

### ***Young Building Renovation/Addition***

This building was constructed in 1953 and has 71,937 square feet of office, classroom and lab spaces. The occupant of the building is the College of Agriculture and Natural Resources. This building, because of its lab needs and generally poor condition of all its systems, was slated for a full renovation and an addition should space needs require. The renovation work is the first order of business, with the project scope including replacement of windows, repointing of masonry, restroom renovations, and upgrading of mechanical systems (including laboratory ventilation). An architecture and engineering team has started design. Selection of a Construction Manager at Risk is underway.

## **Health Center**

### ***UCHC New Construction and Renovation (Design & Planning)***

This project will construct a new 169 patient bed tower as an addition to the existing John Dempsey Hospital (JDH). The addition will include 10-12 new operating rooms and an expanded Emergency Department. The renovation work will relocate the Clinical Lab and the Clinical Pharmacy spaces and will replace or refurbish the mechanical and electrical infrastructure for the much of the existing JDH space. The existing JDH building lacks the capacity to accommodate evolving standards of care, new technologies and patient/provider expectations. Since construction completion in 1972, JDH's physical plant infrastructure, including mechanical, electrical, plumbing, HVAC and fire alarm systems and telecommunication cabling, has never been substantially renovated. The addition and renovation will provide a new state of the art platform, including robust information technology systems and new essential medical equipment, for the delivery of healthcare and the education of the State's medical and dental students.

The architect joint venture firm Stephian Bradley Architects (SBA)/HKS began planning and programming in October and completed this phase of design in March 2011. The Construction Manager (CM) selection is complete and Turner Construction is under contract to provide the CM services. Schematic Design work will begin in April and be completed in August, 2011. Per the requirements of PA 10-104, construction will not commence until the University has secured \$100 million for the project from non-state funds.



### ***CLAC Renovation***

This project entails renovations to the building housing the Center for Laboratory Animal Care. The 59,000 square foot facility was built in 1972. The focus of the renovation work for the facility will be the replacement of the aged mechanical systems and lab casework. The planning study for the Health Center's Center for Laboratory Animal Care (CLAC), renovations is complete. The Pre-Design phase of the project is complete and schematic design is underway. The full design is anticipated to be complete in the fall of 2011. This project's construction is now deferred until 2015 to allow the UCHC New Construction and Renovation project to proceed.

### ***Deferred Maintenance/Code/ADA Renovation Lump Sum***

The 2007 amendments to the law define the "deferred maintenance" part of the project name as "repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair." In general, projects fall into one or more of the following categories:

- Roof and exterior repairs
- Safety, code and ADA required improvements
- Building mechanical system improvements
- Utilities repair and upgrades
- Minor renovations
- Roads, walks and grounds improvements

The twelve-year project total is \$47.3 million.

The re-roofing the Academic Building (Building A), will proceed into construction this summer along with limited work for the Main Building exterior façade caulking. Design for the chiller plant upgrades and fire alarm system upgrades are proceeding.

### ***Dental School Renovation***

The School of Dental Medicine has facilities in the "C", "L" and "A" Buildings totaling 103,118 square feet. Most of these areas remain the same as when they were originally constructed in 1975 and are in need of major renovations to bring the facilities in line with current code and accreditation standards. The renovations will be accomplished in phases and will be guided by a detailed planning study for the School of Dental Medicine.

Construction for the first phase of this project, the Pre-Clinical Teaching Lab renovations, is complete. This project relocated and expanded the teaching space and incorporated a teaching simulation center into the Dental School's teaching labs.

### ***Equipment, Library Collections and Telecommunications***

These funds support the Health Center's equipment, library collections and telecommunications infrastructure. More specifically, the project line covers computers, management information systems, research equipment, instructional equipment, furnishings, and operational and public safety support and library materials. Outmoded items must be replaced with equipment that is necessary to support research and instructional activities, maintain building compliance, conserve energy and provide a safe environment for the students, staff, and those who use Health Center services. The twelve-year project total is \$74.1 million.



THE LYMAN MAYNARD STOWE  
LIBRARY AT THE UCONN HEALTH  
CENTER.

PHOTO PROVIDED  
BY THE UCONN HEALTH CENTER

### ***Library/Student Computer Center Renovation***

This project focused on major renovations to the Lyman Maynard Stowe Library. The library service areas were reorganized and consolidated, new computer learning and resource areas were added and the student 24 hour study rooms were expanded. The renovations to the book stack areas were also included as part of the project and with the exception of one final book stack area, the construction is complete (completed in 2008) and the spaces are in use by the students.

The Student Center component of the project will address student life spaces such as a fitness center, student lounge, and student services center. Design work is not scheduled until 2015.

### ***Main Building Renovation***

The Health Center's main building includes access areas used by the general public as well as research, academic and clinical space. The focus of this renovation is the building's research facility, major building systems and public areas. The facility consists of seven floors, which house over 200 research labs and support space, and four floors of mixed use that include classrooms, student support spaces, operations support and mechanical spaces. Over the life of the building, no substantial renovations or upgrades have been undertaken.

An early phase of this project, the Clinical Skills Renovation, was completed in December 2007. This project renovated a portion of the Main Building to allow for the relocation and expansion of the Clinical Skills teaching program. The expanded program includes the use of a life-size programmable mannequin with a computerized graphical user interface used to teach clinical and decision making skills during realistic patient care scenarios.

The next phase of the Main Building renovation focuses on the Health Center's largest research facility, the "L" (LAB) building, which houses over 200,000 square feet of research labs and support space. The goal of the project is the phased replacement of the building heating and air conditioning, electrical, and plumbing systems and renovations to the lab spaces across seven floors of the building.

The Design Development phase is 60% complete. The scope of work for the renovation includes renovation to approximately 50% of space on floors 1-7 in the L building space. The renovations will be completed in 3 phases over several years. The first phase of the work is scheduled to in the spring of 2012.

### ***Medical School Academic Building Renovation***

The Academic Building was built in the late 1960's to house the Schools of Medicine and Dental Medicine. The 181,880 square foot facility includes classrooms, laboratory space, lecture halls, bookstore, and academic and administrative support areas for both schools. This project will provide for renovations to approximately 60,000 square feet of the existing Academic Building and support facilities. Renovations will focus on lecture halls, classrooms and student support spaces.

The first phase of this project, renovations to the Patterson and Massey Auditoria, the Health Center's two main teaching auditoria is complete. The renovations transformed the outdated education spaces into state of the art lecture halls by replacing all of the finishes, providing multi-level lighting control, replacing theater style seating with a fixed table/chair system, and providing a new audio visual systems. The next phase of renovations will be focused on student center space and infrastructure improvements. These renovations cannot begin until the L building renovations are complete and departments in the Academic Building are moved.

### ***Research Tower***

UCHC completed the purchase of 400 Farmington Avenue on February 1, 2007. The 113,000 gross square foot building and 25 acre parcel of land have been renovated to meet the significant need for additional research and incubator space. The need for additional space is based on three important variables:

- Development of the core lab for the UConn Stem Cell Initiative, which will do work on human embryonic stem cells. There is a need for 26,000 square feet of wet lab and associated space.
- Housing additional research activities most closely associated with the work of the Stem Cell Initiative. It is estimated that 73,000 gross square feet will be needed.
- Incubator space for small businesses created by UConn research or wishing to be near UConn research. Approximately 12,000 gross square feet is needed for this type of use.

Status of the project:

The renovations work is now substantially complete and occupied. The contractor is completing minor post occupancy alterations for the user groups and working to close out the project. Goody Clancy is the architect of record and FIP Construction is the Construction Manager for the project. Environmental work to clean up a pre-existing underground fuel oil spill is ongoing with continued indications of positive results. The project was completed on time and under the project budget.

This project was recently awarded "Renovation Lab of the Year" from R&D Magazine. The award recognizes innovation design, material and construction for laboratory facilities.

### ***Support Building Addition/Renovation***

The Health Center has relocated almost all of the administrative activity across the street from the core campus, to the facility at 16 Munson Road which was acquired in 2004. The only significant function remaining at the former Administrative Services Building is the Computer Center (now called the Data Center).

The Board of Trustees has approved that the Data Center be relocated. The support building addition/renovation will provide for the construction of a new, freestanding, approximately 5,000 square foot building next to the main building at 16 Munson Road to house the Data Center, with underground utility infrastructure from the core campus to enhance power reliability. The project is currently on hold pending a review of the future use of the Administrative Services Building.

## **UCONN 2000: SET-ASIDE CONTRACTOR SUMMARY**

Public Act 99-241 called for, among other things, information regarding use of Connecticut-owned businesses on UCONN 2000 program projects, including those owned by women and minorities (“set-aside” contractors). Since Fiscal Year 1996, construction and related contracts for the UCONN 2000 program totaled \$1.55 billion from all fund sources; \$1.350 billion is strictly UCONN 2000 funds. As of March 2010, Connecticut businesses have accounted for \$1.23 billion of the total contracted dollars with “set-aside” general contractors and subcontractors accounting for \$331.9 million. Overall, small business participation has amounted to \$193.4 million, minority business participation has amounted to \$42.8 million, and women-owned business participation has amounted to \$96.7 million.

## **UCONN 2000: FINANCE**

Pursuant to Section 10a-109x of the Connecticut General Statutes, the semi-annual report to the General Assembly is to provide, among other things, information on the number of projects and securities authorized, approved and issued; the payment of debt service requirements, and the payment of principal and interest on the UCONN 2000 securities; and the amount of investment earnings. This section provides that information.

In 2010, PA 10-4, *An Act Establishing the University of Connecticut Health Network and the Connecticut Bioscience Initiative* was enacted, which reallocates the FY10 bond authorization of \$140.5 million over the remaining years of the UCONN 2000 program, reallocates \$25 million from UCHC projects for the design of a new hospital bed tower, provides \$207 million in bond authorizations for the construction of the new tower, and extends the UCONN 2000 program until FY18. Accordingly, the schedule for all UCONN 2000 projects was adjusted based on available funding. The legislation was designed to renovate the aging John Dempsey Hospital on the UCHC campus in Farmington and create a landmark health care partnership throughout the region.

## **General Obligation Bonds Secured by the State’s Debt Service Commitment**

Section 10a-109 of the Connecticut General Statutes empowers the University to issue General Obligation Bonds secured by the State’s Debt Service Commitment (sometimes referred to as “General Obligation Debt Service Commitment Bonds” or “GO-DSC Bonds”). These Bonds are issued pursuant to the General Obligation Master Indenture of Trust, dated as of November 1, 1995, between the University of Connecticut, as Issuer, and Fleet National Bank of Connecticut as Trustee (now U.S. Bank N.A.). The University’s Board of Trustees on November 10, 1995, and the State Bond Commission on December 21, 1995 approved the Master Indenture of Trust. The Master Indenture was subsequently amended and approved by the University’s Board of Trustees on September 26, 2003 and the State Bond Commission on December 19, 2003. The Board of Trustees and the Governor approve the subsequent Supplemental Indentures for each bond issue. The University and the Office of the State Treasurer, working in conjunction, manage the Debt Service Commitment Bond sale process.

**General Obligation Debt Service Commitment Bonds – Bond Issues Completed**

Pursuant to Section 10a-109g of the Connecticut General Statutes, the UCONN 2000 General Obligation Debt Service Commitment Bonds authorized, approved and issued to date are listed below:

**UCONN 2000 GO-DSC Bonds Phase I, II & III**

<b>Date of Issue</b>	<b>Par Amount</b>	<b>TIC (1)</b>	<b>General Obligation Bond Issue</b>
February 21, 1996	\$ 83,929,714.85	4.94%	1996 Series A
April 24, 1997	124,392,431.65	5.48%	1997 Series A
June 24, 1998	99,520,000.00	4.78%	1998 Series A
April 8, 1999	79,735,000.00	4.46%	1999 Series A
March 29, 2000	\$130,850,000.00	5.42%	2000 Series A
April 11, 2001	100,000,000.00	4.54%	2001 Series A
April 18, 2002	100,000,000.00	4.74%	2002 Series A (2)
March 26, 2003	96,210,000.00	3.97%	2003 Series A (3)
January 22, 2004	97,845,000.00	3.76%	2004 Series A (4)
March 16, 2005	98,110,000.00	4.20%	2005 Series A(5)
March 15, 2006	77,145,000.00	4.20%	2006 Series A(6)
April 12, 2007	89,355,000.00	4.10%	2007 Series A(7)
April 16, 2009	144,855,000.00	4.01%	2009 Series A(8)
May 25, 2010	97,115,000.00	3.64%	2010 Series A(9)
<b>Sub Total Phases I,II, &amp; III 1,419,062,146.50</b>			
Refunding Bonds			
January 29, 2004	\$216,950,000.00	3.55%	2004 Series A Refunding (4)
March 15, 2006	61,020,000.00	4.17%	2006 Series A Refunding (6)
April 12, 2007	46,030,000.00	4.19%	2007 Series A Refunding (7)
May 25, 2010	36,095,000.00	2.86%	2010 Series A Refunding (9)
<b>Sub-Total Refunding Bonds \$360,095,000.00</b>			

(1) TIC is the true interest cost reflecting the interest rate for the time value of money across an entire bond issue.

(2) The GO-DSC 2002 Series A Bonds provided \$994,688.03 directly to the Office of the State Treasurer.

(3) The GO-DSC 2003 Series A Bonds par amount of \$96,210,000 plus \$3,790,000 of the original issue premium, totaled \$100,000,000 available for projects.

(4) The GO-DSC 2004 Series A Bonds new money and GO-DSC Refunding 2004 Series A Bonds



were issued under a single Official Statement with a par amount of \$216,950,000. The GO-DSC 2004 new money bonds totaled \$100,000,000 for projects, funded by the \$97,845,000 par amount plus \$2,155,000 of the original issue premium, and the GO-DSC Refunding 2004 Series A Bonds provided \$223,160,000 for a refunding escrow. Additional proceeds including premium funded the refunding escrow and the costs of issuance.

<sup>(5)</sup> The GO-DSC 2005 Series A Bonds totaled \$100,000,000 available for projects funded by the \$98,110,000 par amount plus \$1,890,000 of the original issue premium. Phase II authorizations accounted for \$50 million and Phase III for \$50 million.

<sup>(6)</sup> The GO-DSC 2006 Series A Bonds new money and GO-DSC Refunding 2006 Series A Bonds were issued under a single Official Statement with a par amount of \$138,165,000. The GO-DSC 2006 Series A Bonds new money totaled \$79,000,000 available for projects, funded by the \$77,145,000 par amount plus \$1,835,000 of the original issue premium, and the GO-DSC Refunding 2006 Series A Bonds provided \$65,472,900 for a refunding escrow. Additional proceeds including premium funded the refunding escrow and the costs of issuance.

<sup>(7)</sup> The GO-DSC 2007 Series A Bonds new money and GO-DSC Refunding 2007 Series A Bonds were issued under a single Official Statement with a par amount of \$135,385,000. The GO-DSC 2007 Series A Bonds new money totaled \$89,000,000 available for projects, and the GO-DSC Refunding 2007 Series A Bonds provided \$49,505,476.89 for a refunding escrow. Additional proceeds including premium funded the refunding escrow and the costs of issuance.

<sup>(8)</sup> The GO-DSC 2009 Series A Bonds par amount of \$144,855,000 plus \$5,145,000 of the original issue premium, totaled \$150,000,000 available for projects.

<sup>(9)</sup> The GO-DSC 2010 Series A Bonds new money and GO-DSC Refunding 2010 Series A Bonds were issued under a single Official Statement with a combined par amount of \$133,210,000. The GO-DSC 2010 Series A Bonds new money par amount of \$97,115,000 plus \$7,885,000 of the original issue premium, totaled \$105,000,000 available for projects; and the GO-DSC Refunding 2010 Series A Bonds par amount of \$36,095,000 plus \$2,609,428.69 of the original issue premium provided \$38,704,428.69 for the refunding escrow. Additional proceeds including premium funded the costs of issuance.

The Fourteen series of UCONN 2000 General Obligation DSC bonds issued to fund projects total \$1,419,062,146.50 in par value and provided \$1,435,000,000 for UCONN 2000 project spending (excluding the UCONN 2000 General Obligation DSC Refunding 2004, 2006, 2007 and 2010 Series A Bonds.) The remaining balance, together with accrued interest and net original issue premium, funded the costs of issuance and deposits to the State Treasurer.

### **General Obligation Debt Service Commitment Bonds – Projects**

During Fiscal Year 2005 the University finished Phase I and Phase II and initiated Phase III of the UCONN 2000 project authorizations secured by the State's Debt Service Commitment. To date, ninety-six projects, totaling \$1,573,800,000 have been authorized to receive General Obligation Debt Service Commitment bond proceeds, as follows:

<b>Phases I &amp; II</b>	<b>Authorized</b>
Agricultural Biotechnology Facility Completion	\$3,000,000.00
Agricultural Biotechnology Facility	9,400,000.00

Alumni Quadrant Renovations	11,183,623.91
Avery Point Marine Science Research Center-Phase	130,000,000.00
Avery Point Marine Science Research Center-Phase II	7,254,246.03
Avery Point Renovation	4,875,717.46
Benton State Art Museum Addition	700,000.00
Business School Renovation	7,958,470.42
Central Warehouse New *	6,933,751.77
Chemistry Building	53,062,000.00
Deferred Maintenance & Renovation Lump Sum	40,798,259.65
Deferred Maintenance & Renovation Lump Sum Balance	117,386,096.72
East Campus North Renovations	7,382,604.53
Equipment, Library Collections & Telecommunications	60,500,000.00
Equipment, Library Collections & Telecommunications Completion	105,812,000.00
Gant Plaza Deck	7,287,174.10
Gentry Renovation	9,664,596.69
Grad Dorm Renovations	2,928,227.59
Heating Plant Upgrade	9,969,000.00
Hilltop Dormitory Renovations	8,176,528.89
Ice Rink Enclosure	3,280,000.00
International House Conversion **	886,134.00
Litchfield Agricultural Center-Phase	11,000,000.00
Mansfield Apartments Renovation	2,071,000.00
Mansfield Training School Improvements	3,500,000.00
Monteith Renovation	444,348.00
Music Drama Addition *	7,400,000.00
North Campus Renovation	10,996,050.15
North Superblock Site & Utilities	7,668,000.00
Northwest Quadrant Renovation-Phase	12,001,000.00
Northwest Quadrant Renovation-Phase II	30,000,000.00
Parking Garage-North	9,658,000.00
Pedestrian Walkways ***	6,074,000.00
School of Business	25,652,366.00



School of Pharmacy	88,609,000.00
Shippee/Buckley Renovations	6,920,000.00
South Campus Complex	12,251,000.00
Stamford Downtown Relocation-Phase I	55,781,471.55
Student Union Addition	44,622,633.00
Technology Quadrant-Phase IA	39,993,000.00
Technology Quadrant-Phase II	34,120,000.00
Torrey Life Science Renovation	251,109.43
Towers Renovation	17,950,243.11
Underground Steam & Water Upgrade-Phase I	6,000,000.00
Underground Steam & Water Upgrade Completion-Phase II	6,000,000.00
Waring Building Conversion	11,452,000.00
Waterbury Property Purchase	200,000.00
West Campus Renovations	519,507.20
White Building Renovation	2,427,268.80
Wilbur Cross Building Renovation	19,999,571.00
<b>Phase I &amp; II Totals</b>	<b>\$962,000,000.00</b>

\* Board of Trustees Added Project 2/22/2001

\*\* The International House Conversion is also known as the Museum of Natural History

\*\*\* The Pedestrian Walkways is also known as Fairfield Road Pedestrian Mall

<b>Phase III – Storrs and Regional Campuses</b>	<b>Authorized</b>
Arjona and Monteith (new classroom buildings)	\$95,000,000.00
Avery Point Campus Undergraduate and Library Building	3,550,000.00
Avery Point Renovation	447,282.54
Beach Hall Renovations	1,500,000.00
Benton State Art Museum Addition	3,500,000.00
Biobehavioral Complex Replacement *	1,100,000.00
Deferred Maintenance/Code/ADA Renovation Lump Sum	146,816,292.46
Engineering Building (with Environmental Research Institute)	5,000,000.00

Equipment, Library Collections & Telecommunications	51,414,575.00
Family Studies (DRM) Renovation	3,700,000.00
Farm Buildings Repairs/Replacement	3,745,000.00
Fine Arts Phase II	2,250,000.00
Floriculture Greenhouse	3,000,000.00
Gant Building Renovations	2,750,000.00
Gentry Completion	10,000,000.00
Intramural, Recreational and Intercollegiate Facilities	31,500,000.00
Jorgensen Renovation	2,200,000.00
Koons Hall Renovation/Addition	1,100,000.00
Lakeside Renovation	3,800,000.00
Law School Renovations/Improvements	15,150,000.00
Mansfield Training School Improvements	3,000,000.00
Natural History Museum Completion	500,000.00
North Hillside Road Completion	1,500,000.00
Old Central Warehouse **	126,000.00
Psychology Building Renovation/Addition	2,750,000.00
Residential Life Facilities	1,800,000.00
School of Pharmacy/Biology Completion	6,000,000.00
Stamford Campus Improvements	500,000.00
Storrs Hall Addition	6,530,000.00
Student Union Addition	13,000,000.00
Torrey Renovation Completion and Biology Expansion	1,000,000.00
Torrington Campus Improvements	500,000.00
Waterbury Downtown Campus	450,000.00
West Hartford Campus Renovations/Improvements	8,400,000.00
Young Building Renovation/Addition	2,845,000.00
<b>Total — Storrs and Regional Phase III</b>	<b>\$436,424,150.00</b>

## **HEALTH CENTER**

CLAC Renovation Biosafety Level 3 Lab	\$1,315,000.00
Deferred Maintenance/Code/ADA Renovation Sum — Health Center	28,505,125.00
Dental School Renovation	4,500,000.00
Equipment, Library Collections and Telecommunications - Health Center	49,905,725.00
Library/Student Computer Center Renovation	1,400,000.00
Main Building Renovation	11,385,000.00
Medical School Academic Building Renovation	3,700,000.00
Planning and Design Costs	25,000,000.00
Research Tower***	49,565,000.00
Support Building Addition/Renovation****	100,000.00
<b>Total — Health Center Phase III</b>	<b>\$175,375,850.00</b>

**TOTAL PHASE III PROJECTS** **\$611,800,000.00**

**TOTAL GO-DSC BONDS: PHASE I, II AND III PROJECTS** **\$1,573,800,000.00**

\* The Biobehavioral Complex Replacement was redefined by the BOT 6/24/2008.

\*\* The Old Central Warehouse was added by PA-07-108 effective 7-1-2007

\*\*\* The Research Building was clarified to include construction or purchase and renovation by the BOT 9/26/2006.

\*\*\*\* The Support Building Addition/Renovation was clarified to include construction by the BOT 8/1/2007.

### **General Obligation Debt Service Commitment Bonds – Refunding**

Pursuant to Section 10a-109m of the Connecticut General Statutes, the University may issue refunding securities. The University achieved a total of \$22.7 million in gross debt services savings for Connecticut taxpayers by issuing several series of General Obligation DSC Refunding Bonds as follows.

On January 29, 2004, the University achieved \$15.2 million in debt service savings for Connecticut taxpayers through the \$216,950,000 of UCONN 2000 General Obligation DSC Refunding 2004 Series A Bonds. Proceeds pre-refunded \$223,160,000 of the portions of the 1996, 1997, 1998, 2000, 2001 and 2002 UCONN 2000 General Obligation Debt Service Commitment Bonds. Debt service savings amounted to \$15,215,582.84 on a gross cash debt service savings basis, or \$10,117,718.77 on a net present value basis (4.53% savings over refunded bonds debt service) spread across fiscal years 2004 to 2020.

On March 15, 2006, the University achieved \$4.0 million in debt service savings through the issuance of \$61,020,000 of UCONN 2000 General Obligation DSC Refunding 2006 Series A Bonds. Proceeds pre-refunded \$61,675,000 of the portions of the 1998, 1999, 2000, 2001 and

2002 UCONN 2000 General Obligation Debt Service Commitment Bonds. Debt service savings amounted to \$4,003,734.09 on a gross cash debt service savings basis, or \$2,495,916.47 on a net present value basis (3.94% savings over refunded bonds debt service) spread across fiscal years 2006 to 2020.

On April 12, 2007, the University achieved \$1.7 million in debt service savings through the issuance of \$46,030,000 of UCONN 2000 General Obligation DSC Refunding 2007 Series A Bonds. Proceeds pre-refunded \$46,695,000 of the portions of the 2002 and 2003 UCONN 2000 General Obligation DSC Bonds. Debt service savings amounted to \$1,680,056.23 on a gross cash debt service savings basis, or \$1,387,269.87 on a net present value basis (2.97% savings over refunded bonds debt service) spread across fiscal years 2008 to 2022.

On May 25, 2010, the University achieved \$1.8 million in debt service savings through the issuance of \$36,095,000 of UCONN 2000 General Obligation DSC Refunding 2010 Series A Bonds. Proceeds pre-refunded \$35,885,000 of portions of the 1999, 2001, 2003 and 2004 UCONN 2000 General Obligation DSC Bonds. Debt service savings amounted to \$1,791,739.17 on a gross cash debt service savings basis, or \$1,470,720.91 on a net present value basis (4.1% savings over refunded bonds debt service) spread across fiscal years 2011 to 2021.

### **Special Obligation Student Fee Revenue Bonds Secured by Pledged Revenues**

UCONN 2000 also authorizes the University to issue Special Obligation Revenue bonds. Unlike the UCONN 2000 General Obligation Debt Service Commitment Bonds that are paid from the State's General Fund, debt on the Special Obligation Bonds are paid from certain pledged revenues of the University as defined in the particular bond series indenture.

A Special Capital Reserve Fund may be established for UCONN 2000 Special Obligation bond issues only if the Board of Trustees determines that the Special Obligation bond issue is self-sufficient as defined in the Act. The self-sufficiency finding by the University must be submitted to and confirmed as not unreasonable or arbitrary by the State Treasurer prior to issuance of the bonds. Once approved, the Special Capital Reserve Fund is funded at issuance by the University to meet the minimum capital reserve requirement.

### **Special Obligation Student Fee Revenue Bonds - Bond Issues Completed**

Section 10a-109x of the Connecticut General Statutes requires that the University's Semiannual Report to the General Assembly provide information on the number of projects and securities authorized, approved and issued; the payment of debt service requirements, and the payment of principal and interest on the UCONN 2000 securities; and the amount of investment earnings. The UCONN 2000 Special Obligation Student Fee Revenue Securities authorized, approved and issued to date are listed below.

Student Fee Revenue Bonds have been issued pursuant to the Special Obligation Indenture of Trust, dated as of January 1, 1997, between the University as Issuer and U.S. Bank N.A. as successor to State Street Bank & Trust as Trustee ("the Special Obligation Master Indenture"). The Board of Trustees approved the Master Indenture on November 8, 1996.

The University's Board of Trustees and the Governor approve the subsequent Supplemental Indentures for each Special Obligation bond issue. The University and Office of the State Treasurer, working in conjunction, manage the Special Obligation Bond sale process. UCONN 2000 Special Obligation Student Fee Revenue Bonds issued to date are summarized below:

#### **UCONN 2000 SO-SFR Bonds**

<b>Date of Issue</b>	<b>Par Amount</b>	<b>TIC (1)</b>	<b>Special Obligation Student Fee Revenue Bond Issue</b>
February 4, 1998	\$33,560,000	5.08%	1998 Series A
June 1, 2000	\$89,570,000	6.02%	2000 Series A (2)
February 14, 2002	\$75,430,000	4.94%	2002 Series A
February 27, 2002	\$96,130,000	4.89%	Refunding 2002 Series A
June 16, 2010	\$47,545,000	3.14%	Refunding 2010 Series A

<sup>(1)</sup> TIC is the true interest cost reflecting the interest rate for the time value of money across an entire bond issue. The UCONN 2000 Special Obligation Bonds are generally issued for an approximate 30-year final maturity, compared to a 20-year final maturity for the General Obligation DSC Bonds; hence the TIC may appear relatively higher for Special Obligation Bonds.

<sup>(2)</sup> The 2000 Series A bonds were refunded on February 27, 2002.

On February 4, 1998, the University issued \$33,560,000 of UCONN 2000 Student Fee Revenue 1998 Series A Bonds with a final maturity of November 15, 2027. The Special Obligation First Supplemental Indenture was also dated January 1, 1997 and authorized the issuance of bonds up to a principal amount not to exceed \$30,000,000 for construction of the South Campus Residence and Dining Hall, plus amounts necessary to fund a Special Capital Reserve Fund ("SCRF") and provide for costs of issuance. The University managed the issuance and sale of these bonds and realized a favorable true interest cost over the term. Debt service for these bonds is paid from the student Infrastructure Maintenance Fee, instituted in 1997, and other pledged revenues as further defined in the Indenture of Trust. Such pledged revenues also help support future operation and maintenance costs for facilities built or renovated through UCONN 2000.

On June 1, 2000, the University issued \$89,570,000 of the UCONN 2000 Student Fee Revenue 2000 Series A Bonds pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Second Supplemental Indenture dated as of May 1, 2000. Bond proceeds funded \$87,000,000 of construction for the Hilltop Dormitory, Hilltop Student Rental Apartments, and Parking Garage South. They also provided for capitalized interest and costs of issuance. The \$89,570,000 Student Fee Revenue 2000 Series A Bonds were defeased in substance on February 27, 2002, as further described below, and are no longer reflected as outstanding debt on the University's financial statements.

On February 14, 2002, the University issued \$75,430,000 of the UCONN 2000 Student Fee Revenue 2002 Series A Bonds pursuant to the Special Obligation Master Indenture and the Special

Obligation Student Fee Revenue Bonds Fourth Supplemental Indenture dated as of November 16, 2001. Bond proceeds funded \$72,180,000 of construction for the Alumni Quadrant Renovations, Shippee/Buckley Renovations, East Campus North Renovations, Towers Renovations (including Greek Housing), and North Campus Renovations (including North Campus Student Suites and Apartments).

### **Special Obligation Student Fee Revenue Bonds - Projects**

To date, nine projects have been authorized to receive \$189,180,000 of the UCONN 2000 Special Obligation Student Fee Revenue bond proceeds (some of these projects were also supported by General Obligation bonds or other funding) as follows:

### **Special Obligation Bonds Authorized & Issued**

Alumni Quadrant Renovations <sup>(1)</sup>	\$7,000,000
East Campus North Renovations <sup>(1)</sup>	1,000,000
Hilltop Dormitory New	21,000,000
Hilltop Student Rental Apartments	42,000,000
North Campus Renovation (including North Campus Student Suites & Apartments) <sup>(1)</sup>	45,000,000
Parking Garage-South <sup>(1)</sup>	24,000,000
Shippee/Buckley Renovations	5,000,000
South Campus Complex	30,000,000
Towers Renovations (including Greek Housing) <sup>(1)</sup>	14,180,000
<b>Total</b>	<b>\$189,180,000</b>

<sup>(1)</sup>Also partially funded with proceeds of the University's General Obligation bonds.

### **Special Obligation Student Fee Revenue Bonds - Refunding**

Pursuant to Section 10a-109m of the Connecticut General Statutes, the University may issue refunding securities. The University achieved a total of \$13.0 million in gross debt service savings for Connecticut taxpayers by issuing two series of Special Obligation Student Fee Revenue Refunding Bonds as follows.

On February 27, 2002, the University achieved debt service savings of \$3,553,672 on a gross cash debt service savings basis, or \$2,383,106 on a net present value basis (3.036% savings over refunded bonds debt service) through its issuance of \$96,130,000 of the UCONN 2000 Student Fee Revenue Refunding 2002 Series A Bonds. The bonds were issued pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Third Supplemental Indenture, dated as of February 1, 2002. Proceeds were used to take advantage of favorable market conditions to advance refund and defease in substance all of the \$89,570,000 of Student Fee Revenue 2000 Series A Bonds outstanding. Proceeds were deposited with the Trustee bank in an irrevocable escrow fund sufficient to satisfy future debt service and call premiums on the prior issue.

On June 16, 2010, the University achieved debt service savings of \$9,479,927.38 on a gross cash debt service savings basis, or \$7,211,752.83 on a net present value basis (9.915% savings over refunded bonds debt service) through its issuance of \$47,545,000 of the UCONN 2000 Student Fee Revenue Refunding 2010 Series A Bonds. The bonds were issued pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Third Supplemental Indenture, dated as of February 1, 2002. Proceeds were used to take advantage of favorable market conditions to refund, advance refund and defease in substance \$51,305,000 of prior bonds (including \$25,140,000 of the Student Fee Revenue 1998 Series A Bonds and \$26,165,000 of the Student Fee Revenue 2002 Series A Bonds outstanding). The par amount of \$47,545,000 plus \$4,267,926 of the original issue premium and \$2,126,425 from the 1998 Special Capital Reserve Fund release were deposited with the Trustee bank in a \$53,939,351.28 irrevocable escrow fund to satisfy future debt service and call premiums on the prior issues.

#### **UCONN 2000 Tax-Exempt Governmental Lease Purchase Agreement**

The Board of Trustees authorized \$81,900,000 of UCONN 2000 debt in the form of a Tax-Exempt Governmental Lease Purchase Agreement secured by the University's general obligation for the Cogeneration facility portion of the UCONN 2000 Heating Plant Upgrade project.

This \$81,900,000 of UCONN 2000 debt was not issued under the UCONN 2000 General Obligation or Special Obligation Indentures of Trust, but was entered into under certain separately negotiated documents and agreements in two parts. On December 18, 2003, the University entered into a privately placed \$75,000,000 Tax-Exempt Governmental Lease Purchase Agreement with a reported nominal interest rate of 4.42% compounded monthly to finance the design and construction of a combined heat and power plant. On August 15, 2005, the University amended the agreement for an additional borrowing of up to \$6,900,000 at a 5.09% interest rate compounded monthly (resulting in a combined interest rate of approximately 4.5%).

The Heating Plant Upgrade project is a named project under UCONN 2000. The Cogeneration facility is a linchpin of the University's commitment to energy efficiency and is expected to generate substantially all of the needs for electrical power, heating and cooling on the Storrs campus. Cost avoidance achieved through the construction and operation of the facility is expected to provide funds to pay the debt service. An earlier phase of the Heating Plant Upgrade project was funded with UCONN 2000 General Obligation DSC bonds as listed above.

The Tax-Exempt Governmental Lease Purchase Agreement is not rated by the credit rating agencies but, as UCONN 2000 debt, it is weighted in their credit rating analysis of the UCONN 2000 General Obligation and Special Obligation programs.

#### **Debt Service**

Pursuant to Section 10a-109x of the Connecticut General Statutes, the Semiannual Report to the General Assembly is to list the payment of debt service requirements and the payment of principal and interest on the UCONN 2000 securities.

#### **General Obligation Debt Service Commitment Bonds**

The State General Fund pays the debt service and any arbitrage rebate due on the University's General Obligation Debt Service Commitment Bonds. The University pays the debt service on the Special Obligation Student Fee Revenue Bonds from its own resources.

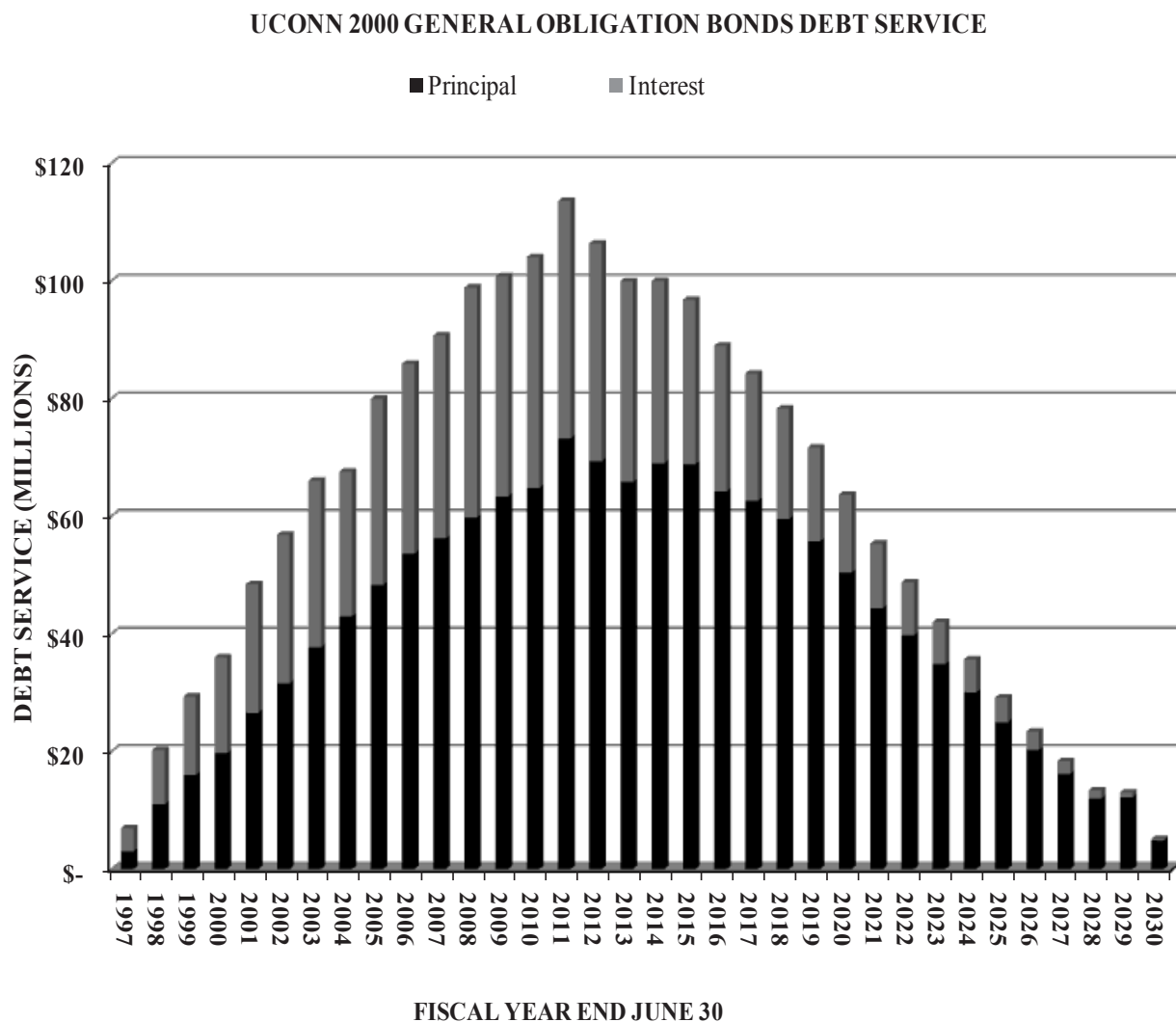


As of March 31, 2011:

- Since the program's inception in 1996, total UCONN 2000 General Obligation Debt Service Commitment debt service (which is paid by the state) amounted to \$2,078.1 million, representing \$1,411.7 million of principal and \$666.4 million of interest (including the Refunding Bonds but net of refunded debt).
- Of this amount, debt service of \$986.5 million, representing \$592.2 million of principal and \$394.3 million of interest (including capital appreciation bonds) has been paid.
- Future debt service requirements at this time amount to \$1,091.6 million representing \$819.5 million of principal and \$272.1 million of interest (including capital appreciation bonds).

For the fiscal year ending June 30, 2010, the Debt Service Commitment paid for the University's General Obligation Bonds amounted to \$103.9 million (representing \$64.7 million of principal and \$39.2 million of interest).

As of March 31, 2011, the following graph shows UCONN 2000 General Obligation Debt Service Commitment debt service by fiscal year including refunding issues, but net of refunded.



### **Special Obligation Student Fee Revenue Bonds**

From time to time, the University may issue Special Obligation Bonds secured by certain revenue flows including student fees. The University is responsible for paying the debt service and any arbitrage rebate due on its Special Obligation debt. All other things equal, the Special Obligation bonds incur proportionally more interest expense because they are generally issued for terms of up to approximately thirty years compared to twenty years for the Debt Service Commitment bonds. The longer maturities generally represent the cost of the assets financed by the bonds being spread over the student populations utilizing the assets.

As of March 31, 2011:

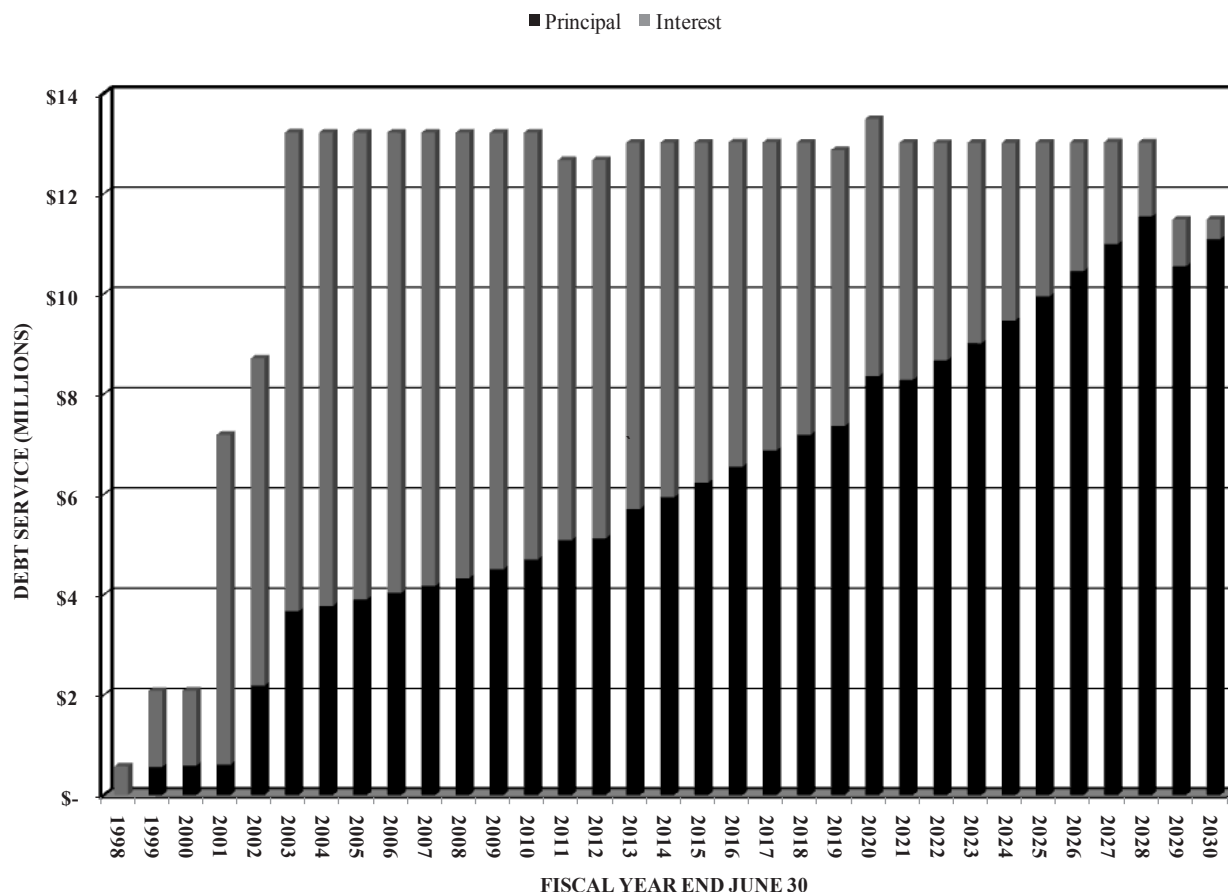
- Total UCONN 2000 Special Obligation Student Fee Revenue debt service (which is paid by the University) amounted to \$383.1 million, representing \$201.4 million of principal and \$181.7 million of interest (including the Special Obligation Student Fee Revenue Refunding 2002 and 2010 Series A Bonds but net of refunded debt service).
- Of this amount the University had paid debt service of \$133.2 million (representing \$40.2 million of principal and \$93.0 million of interest).
- Debt service remaining totals \$249.9 million comprising \$161.2 million of principal and \$88.7 million of interest (including capital appreciation bonds).

For the fiscal year ending June 30, 2010, the University paid, from its own resources, Special Obligation Bond debt service of \$13.2 million (representing \$4.7 million of principal and \$8.5 million of interest).

As of July 16, 2010, the University fully refunded, defeased, and called the UCONN 2000 Special Obligation Student Fee Revenue 1998 Series A Bonds that carried the State Special Capital Reserve Fund. During the time they were outstanding, the University's Debt Service Requirement was fulfilled relating to Section 909 of the Special Obligation Indenture that mandates that on or before December 1, annually, if the SCRF amount falls below the required minimum capital reserve the Chairman of the Board of Trustees is to file a Certification with the Secretary of the Office of Policy and Management and the State Treasurer to replenish the Special Capital Reserve Fund. Upon such notification, there is deemed to be appropriated, from the State General Fund, sums necessary to restore each Special Capital Reserve Fund to the required minimum capital reserve.

As of March 31, 2011, the following graph shows UCONN 2000 Special Obligation Student Fee Revenue debt service by fiscal year including refunding issues, but net of refunded.

## UCONN 2000 SPECIAL OBLIGATION STUDENT FEE REVENUE BONDS DEBT SERVICE



### Tax-Exempt Governmental Lease Purchase Agreement

The University is responsible for paying the debt service and any arbitrage rebate for the Tax-Exempt Governmental Lease Purchase Agreements. The two financing tranches provided \$81,900,000 of funding for a cogeneration facility for the UCONN 2000 Heating Plant Upgrade project. Tax-Exempt Governmental Lease Purchase Agreement debt Service payments commenced on January 29, 2006.

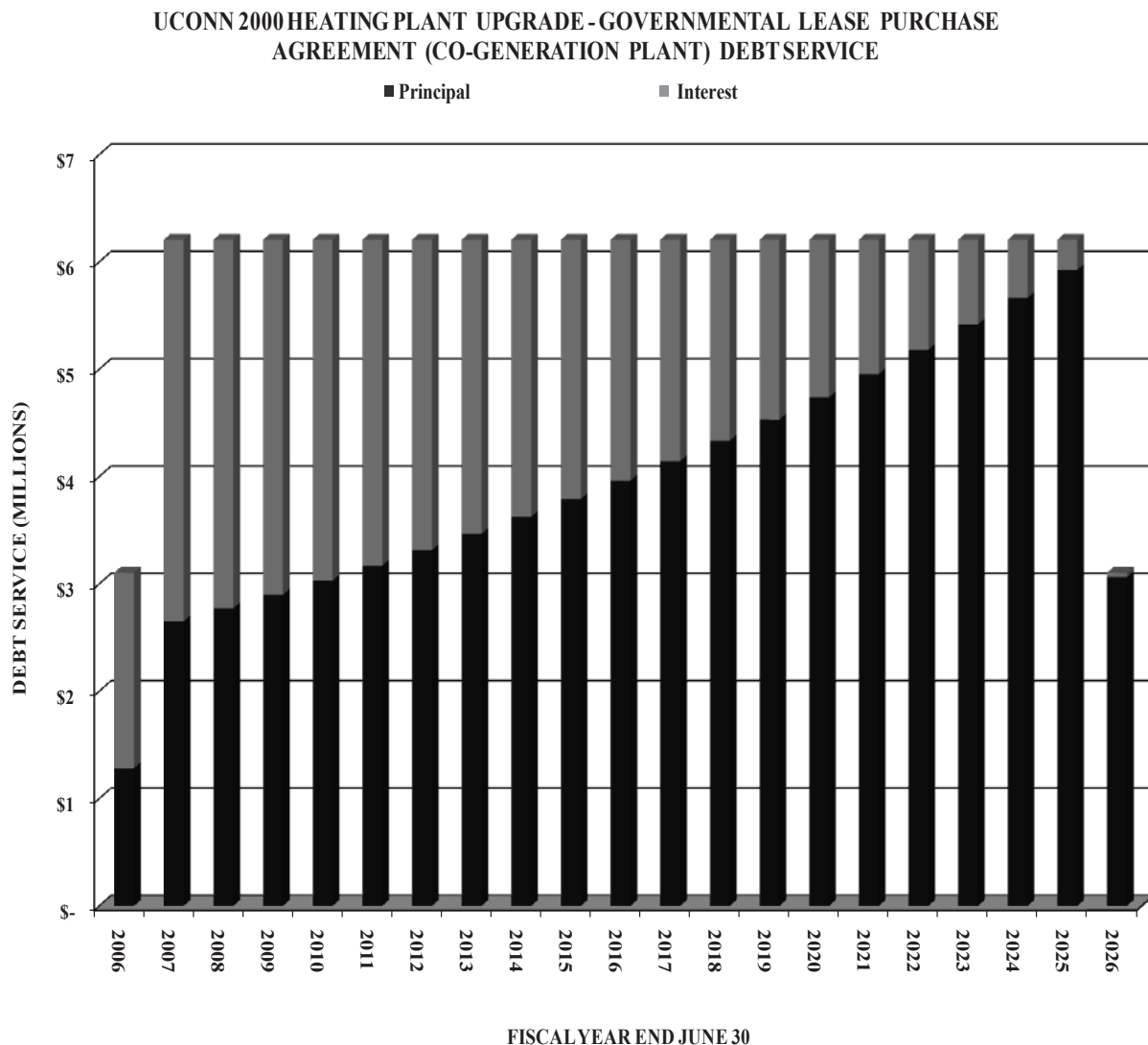
Debt is to be paid in 240 monthly installments of \$517,135 each. Over the life of the financing, debt service totals \$124,112,424 comprising \$42,212,424 of interest and \$81,900,000 of principal.

As of March 31, 2011

- The University had paid down the Tax-Exempt Governmental Lease Purchase Agreement debt service by \$32.5 million (representing \$14.9 million of principal and \$17.6 million of interest).
- Remaining debt service amounts to \$91.5 million (representing \$66.9 million of principal and \$24.6 million of interest).

For the fiscal year ending June 30, 2010, the University paid from its own resources Tax-Exempt Governmental Lease Purchase Agreement debt service of \$6.2 million (representing \$3.0 million of principal and \$3.2 million of interest).

As of March 31, 2011, the following graph shows the UCONN 2000 Heating Plant Upgrade Tax-Exempt Governmental Lease Purchase Agreement (for the co-generation plant) debt service by fiscal year.



### **Investment of Debt Proceeds - Management, Investment and Earnings**

The proceeds of the sale by the University of any bonds are part of the Trust Estate established under the General Obligation Master Indenture of Trust with the Trustee Bank as security for bondholders. Consequently, the University holds all of the bond proceeds at the Trustee Bank, with this exception: the Costs of Issuance account funded by the University's General Obligation Debt Service Commitment bonds may be held and invested by the State Treasurer's Office in a segregated account. The Special Obligation Master Indenture has similar Trust Estate provisions. The Trustee Bank holds all of the Special Obligation bond proceeds received at issuance including the Costs of Issuance account.

Prior to June 1998, all UCONN 2000 General Obligation Debt Service Commitment Bond proceeds were deposited with the Office of the State Treasurer and treated like state bond proceeds, including payments made to vendors through the Office of the State Comptroller. Subsequently, the Office of the Attorney General opined that the University, and not the state, issues UCONN 2000 bonds. Accordingly, upon advice of bond counsel and in conformity with the Master Indenture of Trust, Debt Service Commitment Bond construction fund proceeds were deposited to the Trustee Bank and disbursed as directed by the University pursuant to the Indenture. The UCONN 2000 General Obligation Debt Service Commitment Bond proceeds for costs of issuance are still treated like state bond proceeds and deposited with the Office of the State Treasurer and disbursed through the Office of the State Comptroller.

The Indentures of Trust provide that the University is authorized and directed to order each disbursement from the Construction Account held by the Trustee upon a certification filed with the Trustee bank and, in the case of the Debt Service Commitment bonds, the State Treasurer. The Indentures provide that such certification shall be signed by an Authorized Officer of the University and include certain disbursement information. Once the Authorized Officer certification filings are made, the University can directly disburse payments.

The investment of tax-exempt debt proceeds is heavily regulated by the Internal Revenue Service, the relevant Indentures of Trust with bondholders, Connecticut law, and other regulatory restrictions. In addition to meeting those requirements, the University's general investment policy is to balance an appropriate risk-return level, heavily weighted towards safety of assets, with estimated cash flow needs and liquidity requirements. The University is also mindful that the rating agencies, bond buyers, and bond insurers often weigh the quality of an issuer's investment portfolio.

Bond proceeds form part of the Trust Estate established with the Trustee Bank as security for bondholders. To date, the University has directed the Trustee Bank to invest any Debt Service Commitment construction fund proceeds in the State Treasurer's Short Term Investment Fund ("STIF") which is "AAA" rated and offers daily liquidity and historically attractive risk-adjusted yields. The State Treasurer's Office wishes to hold and invest the University's General Obligation Bonds Debt Service Commitment funded Costs of Issuance account, a much smaller account.

The General Obligation Debt Service Commitment Refunding, 2004, 2006, 2007 and 2010 Series A Bond proceeds, other than the costs of issuance, are held by the Trustee Bank in an irrevocable escrow fund, which is invested in U.S. Treasury Securities and/or U.S. Treasury State and Local Government Securities ("SLGS") and cash pursuant to the relative Escrow Agreements.

It has been the University's practice to invest all of the Special Obligation new money bond proceeds, including the debt service funds, in dedicated STIF accounts, with the exception of the 1998 Special Obligation Special Capital Reserve Fund which from time to time had also been invested in longer term "AAA" rated federal agencies' fixed income Investment Obligations as defined in the Special Obligation Indenture of Trust.

The Special Obligation Student Fee Revenue Refunding 2002 and 2010 Series A Bond proceeds, other than the costs of issuance and debt service accounts that are invested in STIF, are held by the Trustee Bank in their respective irrevocable Escrow Accounts, which are invested in U.S. Treasury State and Local Government Securities ("SLGS"), and cash pursuant to the Escrow Agreement.

The University's General Obligation Debt Service Commitment bond proceeds investment earnings are retained and recorded by the State Treasurer's Office and do not flow to the University or to the Trustee Bank. The University's Special Obligation bond investment earnings are part of the pledged revenues and are directly retained by the Trustee Bank to pay debt service on the bonds, and may also be used to flow to other Trustee bond accounts, if necessary, pursuant to the Indenture of Trust. Fiscal year end June 30, 2010, UCONN 2000 Special Obligation Student Fee Revenue Bond funds (not including the refunding escrows) investment earnings amounted to \$9,261.87 (cash basis).

Investment earnings on the Special Obligation Student Fee Revenue 2002 and 2010 Series A Bonds Refunding Escrow Accounts flow to their respective irrevocable escrows and are used by the Trustee Bank to meet debt service payments on the defeased bonds. Similarly, investment earnings on the General Obligation Debt Service Commitment 2004, 2006, 2007 and 2010 Series A Bonds Refunding Escrow Accounts flow to their respective irrevocable escrows and are used by the Trustee Bank to meet debt service payments on the defeased bonds.

On December 29, 2005, the University received \$15,847,241.65 representing the last advance of the \$81,900,000 of funds to the University under the Tax-Exempt Governmental Lease Purchase Agreement for the Heating Plant Upgrade Cogeneration facility. These funds, and the related investment income, are for uses related to the Cogeneration financing and were deposited in a dedicated STIF account. During December 2006, part of the remaining proceeds, representing the initial December 18, 2003 financing, was yield restricted by investing it in a dedicated Tax Exempt Proceeds Fund. All of the Tax-Exempt Governmental Lease Purchase Agreement proceeds have been spent.

#### **Future UCONN 2000 Debt Issuance**

The University has issued the entire \$962 million of UCONN 2000 Phase I & II authorizations for the General Obligation bonds secured by the State's Debt Service Commitment.

UCONN 2000 Phase III, as amended, provides for an additional \$1,507,000,000 of funding with University General Obligation bonds secured by the State's Debt Service Commitment. As of March 31, 2011, \$611,800,000 of Phase III has been authorized by the Board of Trustees and the Office of the Governor, of which \$138,800,000 remains unissued. During Fiscal Year 2011 or 2012, the University plans to issue a new money UCONN 2000 General Obligation Debt Service Commitment Bond series. From time to time, the University might also issue a refunding series of bonds for its General Obligation or Special Obligation bond programs.

At this time there are no Board of Trustee authorizations to issue Special Obligation bonds for UCONN 2000 projects. However, the University has in the past and could again issue Special Obligation securities for certain projects that have a financial self-sufficiency capacity and/or if aggregate pledged revenues are sufficient to meet requirements of the Special Obligation Indenture.

Additionally, while there are no Board of Trustee authorizations at this time, the University could enter into other types of tax-exempt or taxable financings pursuant to the UCONN 2000 Act.

Market conditions and other factors might also lead to the issuance of General Obligation, Special Obligation, or other refunding debt in the future.

### **Credit Ratings**

As of March 31, 2011, the UCONN 2000 General Obligation Debt Service Commitment bonds were rated “AA” by Standard & Poor’s; “Aa2” by Moody’s Investors Service, and “AA-” by Fitch Investors Service. It is a strong vote of confidence in the University that Moody’s and Standard & Poor’s ranks these bonds as high as the State’s General Obligation Bonds.

The UCONN 2000 Special Obligation Bonds were rated “AA-” by Standard & Poor’s and “Aa2” by Moody’s Investors Service. Fitch Investors Service does not have an underlying rating for the University’s Special Obligation bonds.

In addition to the underlying credit ratings, municipal bond insurance from FGIC, MBIA and FSA secure certain maturities of several of the above bond issues. Originally, the insurance provided “AAA” credit ratings. The bond insurers have experienced a series of downgrades in recent years. At times, this has resulted in the UCONN 2000 bonds underlying credit ratings exceeding the credit ratings for certain bond insurers. As a result, people are advised to check with the credit rating agencies for the most recent bond insurer ratings.

### **Credit Rating History**

Since the inception of UCONN 2000, the University’s bonding program has experienced a favorable credit rating history, including several credit rating upgrades.

The capital markets have recognized the tangible benefits to the state’s economy of meeting the infrastructure and educational goals of the program, as well as the University’s success in implementing them. A high quality credit rating not only provides the state and the University with less expensive access to the capital markets but also supports the state’s quality reputation among investors. A University milestone occurred in 2002 with the achievement of the high-grade “double A” credit-rating category from Moody’s Investors Service for both its General Obligation and Special Obligation bonds.

**February 1996:** the first issue of the University’s General Obligation Bonds secured by the state’s Debt Service Commitment carried underlying ratings of “A1” by Moody’s Investors Service, “AA-” by Standard & Poor’s and “AA-” by Fitch.

**February 1998:** the first issue of UCONN 2000 Special Obligation bonds depended upon the state’s SCRF credit rating. An underlying “stand-alone” credit rating was not available for this nascent program. At the time of issuance, the state SCRF enhancement allowed the bonds to obtain an “AA-” rating from Standard & Poor’s, “AA-” from Fitch Investors Service, and “A1” from Moody’s Investors Service. The bonds were subsequently covered by municipal bond insurance and upgraded to “AAA” at Fitch and Standard & Poor’s and “Aaa” at Moody’s Investors Service.



**October 1998:** Standard & Poor's upgraded the UCONN 2000 General Obligation DSC Bonds and the UCONN 2000 Student Fee Revenue 1998 Series A Bonds (SCRF) to "AA" from "AA-".

**March 2000:** Moody's upgraded UCONN 2000 General Obligation DSC Bonds to "Aa3" from "A1".

**June 2000:** the University achieved a milestone with its first underlying Special Obligation Bond "stand alone" credit rating of "AA-" from Standard & Poor's and an "A1" from Moody's.

**February 2001:** Moody's upgraded UCONN 2000 General Obligation DSC Bonds to "Aa2" from "Aa3". In April 2001, the General Obligation DSC 2001 Series A bonds were sold without any bond insurance security enhancement on any maturity, another successful first-time accomplishment for the UCONN 2000 bond program. Moody's upgraded UConn's Student Fee Revenue 1998 Series A Bonds, which are secured by the state SCRF, at this time to "Aa3" from "A1".

**January 2002:** UCONN 2000 Special Obligation Bonds (Non-SCRF) were upgraded to "Aa3" from "A1" by Moody's. This graduated UConn's Special Obligation bonds to Moody's "high-grade" bond category and impacted the underlying credit on all outstanding Special Obligation Student Fee Revenue Bonds. (The \$33.6 million Special Obligation Student Fee Revenue Series 1998 Series A Bonds additionally secured by the state's SCRF already carried the "Aa3" rating.) This high rating was assigned a stable outlook and represented a positive judgment by the capital markets regarding UConn's financial strength, real and potential growth as an institution, and management.

**August 2002:** Reflecting the outlook changes for the State's General Obligation Bonds, Moody's and Standard and Poor's both moved their outlook from "stable" to "negative" for UCONN 2000 General Obligation DSC Bonds while retaining their respective credit rating levels at "Aa2" and "AA". Fitch took no action. In a sign of confidence in the University's management and growth potential, Moody's and Standard & Poor's kept UConn's Special Obligation Bond ratings levels and stable outlook unchanged.

**March 2003:** During tougher economic times, the rating agencies confirmed the University's General Obligation DSC bond ratings as follows: Fitch "AA-"; Standard & Poor's "AA"; and Moody's "Aa2". Moody's also confirmed UConn's Special Obligation and Foundation bond ratings at "Aa3". Holding the credit ratings was a good sign in light of Moody's February 2003 move of the State General Obligation bonds and consequently the University's DSC and SCRF security bonds to a watch list for possible downgrade.

**July 2003:** On July 2, 2003, citing state budget problems, Moody's downgraded the University's General Obligation DSC bond ratings to "Aa3" from "Aa2" consistent with its action on the State General Obligation bond rating. The good news was that Moody's confirmed UConn's Special Obligation (non-SCRF) bond ratings at "Aa3". Moody's also briefly downgraded the University's 1998 Special Obligation Bonds secured by the state's SCRF to "A1" following a general downgrade of any bonds backed by the state's SCRF but then upgraded the University's 1998 Special Obligation Bonds back to "Aa3" on July 14, 2003.

**January 2004:** We were successful in confirming the credit ratings for the UCONN 2000 General Obligation Debt Service Commitment bonds at a time of state budget deficits and negative press

reports. The unenhanced ratings for the UCONN 2000 GO DSC 2004 new money and refunding bond issues were as follows: Moody's Investors Service "Aa3", Standard & Poor's "AA" and Fitch IBCA "AA-". Several maturities also carried "AAA" rated MBIA bond insurance.

**February 2005:** The unenhanced ratings for the UCONN 2000 GO DSC 2005 new money bond issue were confirmed with Moody's Investors Service "Aa3", Standard & Poor's "AA" and Fitch IBCA "AA-". Several maturities also carried "AAA" rated FSA bond insurance.

**February 2006:** The unenhanced ratings for the UCONN 2000 GO DSC 2006 new money and refunding bond issues were confirmed as follows: Moody's Investors Service "Aa3", Standard & Poor's "AA" and Fitch IBCA "AA-". Several maturities also carried "AAA" rated FGIC bond insurance.

**March 2007:** The unenhanced ratings for the UCONN 2000 GO DSC 2007 new money and refunding bond issues were confirmed as follows: Moody's Investors Service "Aa3", Standard & Poor's "AA" and Fitch IBCA "AA-". The bonds were issued without bond insurance.

**March 2009:** The underlying ratings for the UCONN 2000 GO DSC 2009 new money bond issues that are in the process of being issued were confirmed as follows: Moody's Investors Service "Aa3", Standard & Poor's "AA" and Fitch IBCA "AA-".

**November 2009:** Fitch IBCA retained the "AA-" for UCONN GO-DSC bonds but placed them on "negative outlook" and did the same for the UCONN SO-SFR 1998 SCRF bonds (no UCONN underlying rating), mirroring the negative outlook they had put on the State of Connecticut's General Obligation Bonds, during October 2009. Through diligent management and active communication UCONN's Treasury Services avoided a similar move by other credit rating agencies at this time.

**March 2010:** Standard & Poor's affirmed the "AA-/Stable" unenhanced ratings for UCONN's Special Obligation Student Fee Revenue Bonds Series 2002-A bonds, and Special Obligation Student Fee Revenue Refunding Bonds Series 2002-A bonds.

**April 2010:** FITCH recalibrates UCONN General Obligation and Special Obligation Student Fee Revenue 1998A (SCRF) Bonds to "AA" rating outlook negative, and recalibrates State of Connecticut General Obligation to "AA+" rating outlook negative.

**May 2010:** Moody's recalibrates UCONN's Special Obligation Student Fee Revenue Bonds unenhanced ratings to "Aa2" (upgrade from "Aa3"); and Standard & Poor's affirms at "AA-/Stable".

**May 2010:** Moody's recalibrates the unenhanced ratings of UCONN's General Obligation Debt Service Commitment Bonds to "Aa2" (upgrade from "Aa3"); and Standard & Poor's affirms at "AA/Stable"; and FITCH affirms at "AA".

**June 2010:** FITCH moves UCONN General Obligation and Special Obligation Student Fee Revenue 1998A (SCRF) Bonds back to "AA-" rating outlook stable, and moves State of Connecticut General Obligation back to "AA" rating outlook stable, citing State finances, a month after affirming UConn's "AA" rating outlook negative.

### **UCONN 2000 Debt On Audited Financial Statements**

UCONN 2000 financings are debt obligations of the University, as issuer, and are reflected on UConn's financial statements accordingly. For example, Special Obligation bonds and Tax-Exempt Governmental Lease Purchase Agreement debt are shown as liabilities on the University's financial statements and the related capital improvements are shown as assets. The University is the issuer and ultimately responsible for the UCONN 2000 General Obligation Bonds which also fund certain assets at the UCONN Health Center, and consequently are also partially reflected on the UCONN Health Center's financial statements as further discussed below.

Phase III of the Act authorizes the University to fund projects totaling \$512,400,000 for the Health Center with, among other sources, UCONN 2000 General Obligation Debt Service Commitment Bonds and the University has done so. Accordingly, the UCONN 2000 General Obligation Debt Service Commitment Phase III project proceeds and any unspent debt proceeds are reflected on both UCONN's and UCHC's financial statements. As bonds are issued, the amount of the commitment for the Health Center is recorded as an offset to revenue on UCONN's financial statements in the University's Other Changes in Net Assets section of the Statements of Revenues, Expenses, and Changes in Net Assets. A corresponding liability is recorded in due to affiliate in UCONN's Statement of Net Assets for the unspent portion of the bonds due to the Health Center. Correspondingly the Health Center records that portion of UCONN 2000 bond issuance proceeds for UCHC in the capital appropriation line in UCHC's Statements of Revenues, Expenses, and Changes in Net Assets. A corresponding receivable is recorded for the unspent portion of the bonds. The State's debt service commitment for interest on the UCONN 2000 General Obligation bonds is included in Non-Operating Revenues (Expenses) section of the Statements of Revenues, Expenses, and Changes in Net Assets.

### **UCONN 2000: PRIVATE FINANCIAL SUPPORT**

As of February 28, 2011, fiscal year results tallied \$24 million in new gifts and commitments which represents 44 percent of the \$54 million goal for the year. Cash gifts totaled \$25.1 million, or 50 percent of the \$50 million goal. The number of household donors is 17,525 (58 percent to goal), up from 16,828 in 2010.

The Annual Fund has raised \$5.4 million (77 percent to goal) from 15,552 donors (52 percent to goal). This compares to \$3.6 million and 15,778 donors at this time in fiscal year 2010.

As of February 28, 2011, approximately \$251.2 million was recorded toward the *Our University. Our Moment.* campaign goal of \$600 million. This total includes \$70.8 million raised for endowment. In addition, the campaign has received 44 gifts of \$1 million or more since July 1, 2006.

### **State Endowment Matching Funds**

For calendar year 2010, the dollar value of endowment gifts eligible for state matching grants was a total of \$11 million. Submissions for eligible gifts to endowment resulted in a state match request of \$3 million.

**Significant Commitments**

The Estate of Mr. James L. Draper, Jr. has designated \$1.3 million for the James L. and Shirley A. Draper Scholarship Fund in the College of Liberal Arts and Sciences.

Dr. Charles J. Zwick has pledged \$1 million to provide programmatic enhancements in the College of Agriculture and Natural Resources.

The Singiser Memorial Fellowship in the School of Pharmacy will benefit by the \$728,000 expectancy gift from Dr. and Mrs. Robert E. Singiser.

Mr. and Mrs. John Krenicki, Jr. have pledged \$750,000 to the John and Donna Krenicki Professorship in Biomedical Engineering.

Mr. and Mrs. Edmund A. Grossman have donated \$700,000 to the Stem Cell Institute at the University of Connecticut Health Center.

Mr. and Mrs. Alexander N. Chernak have designated \$500,000 to benefit the University of Connecticut.

Drs. Lynn and Martin Bloom have bequeathed \$300,000 in their will to create The Bloom Endowment Fund for The Mark Twain Distinguished Artist-in-Residence fund in the College of Liberal Arts and Sciences.

The Estate of Dr. Clyde A. Jones has given \$242,500 to provide scholarship support from the Clyde A. Jones Scholarship Fund in the Department of Human Development and Family Studies.

The Klein Family Foundation has donated \$250,000 to the John and Carla Klein Professorship Fund for Urban Education in the Neag School of Education.

Mr. Robert A. Landino has donated \$250,000 toward the building of a new Basketball Practice Facility at the University.

Mr. and Mrs. Michael A. Cronin have set aside \$200,000 to go to the Fund for UConn. This special unrestricted fund is used to provide support for faculty, students and programs at the University.

Mr. and Ms. Lawrence M. Herrmann have left \$187,500 to the School of Nursing Dean's Fund.

An Anonymous gift of \$150,000 has been given to the Natural Resources Conservation Initiative at the College of Agriculture and Natural Resources.

Mr. and Mrs. Myron Dickstein have pledged a further \$150,000 to the Myron and Barbara Dickstein Scholarship Fund to help undergraduates in the School of Business.

Mr. Bernard A. Perella has given \$125,000 to create The Susanne Brennan Perella Memorial Scholarship benefitting students in the College of Liberal Arts and Sciences.

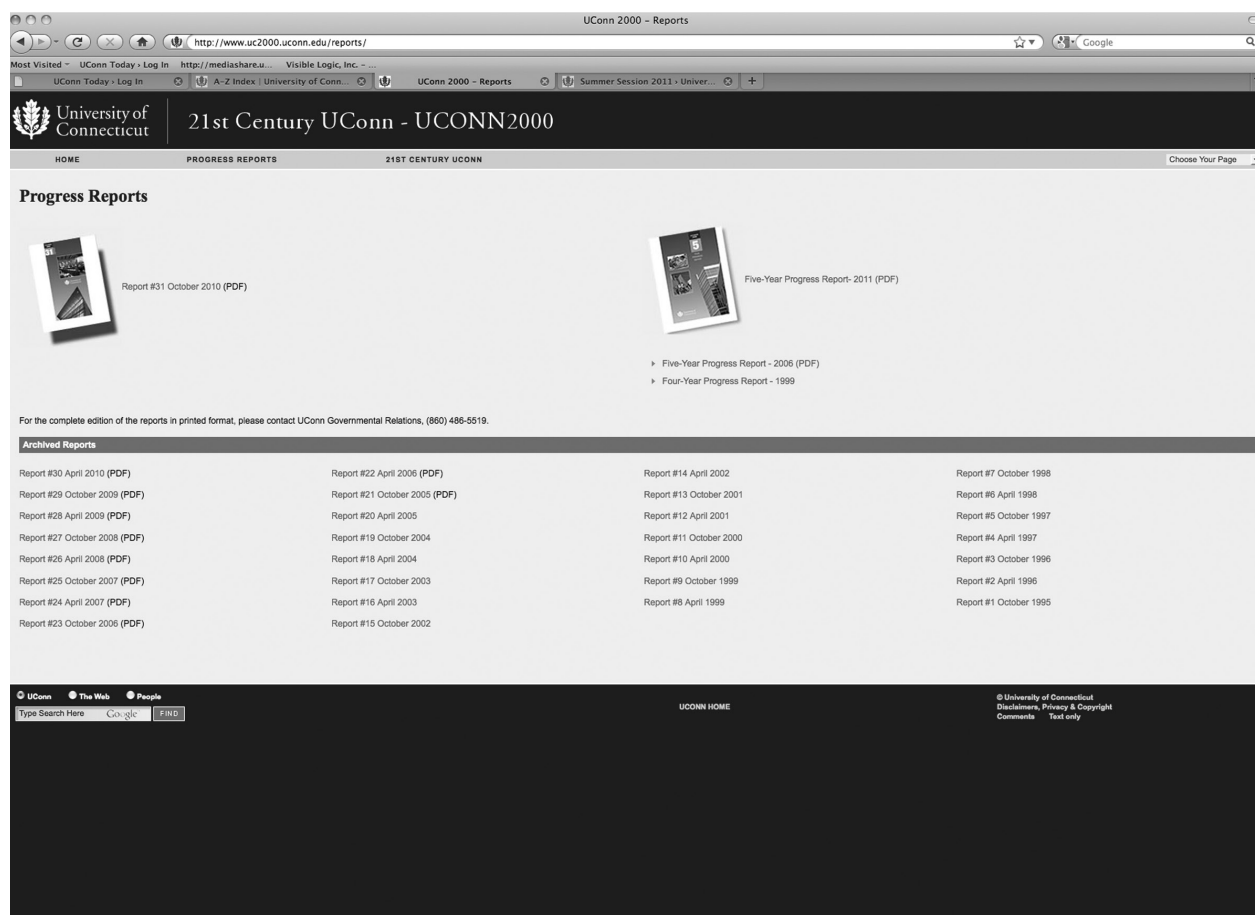
Mr. Anthony Rizza has donated \$115,700 to the Dr. Victor Rizza Scholarship Fund in the College of Liberal Arts and Sciences.

Dr. David G. Woods has created the School of Fine Arts Endowment Fund with a \$100,000 gift. This scholarship will benefit students in the School of Fine Arts.

The Newman's Own Foundation, Inc. has donated \$100,000 to the UNESCO Youth Forum which helps prepare today's youth for tomorrow's human rights leadership roles.

## UConn 2000 IN CYBERSPACE

Information about UCONN 2000 is available on the World Wide Web via the UCONN 2000 homepage. The address: [www.uc2000.uconn.edu/](http://www.uc2000.uconn.edu/)



The website contains all previous legislative updates to the Governor and the General Assembly, the four year progress report, the original UCONN 2000 proposal and UCONN 2000 project updates from the homepages of the University's Facilities Management Department.

# UCONN 2000 Year 16

## FY 2011 Board of Trustee Authorized Projects

### **Storrs & Regional Campuses**

<b><u>Project Name</u></b>	<b><u>Phase III Funding</u></b>
Arjona & Monteith (new classroom buildings)	\$ 45,900,000
Avery Point Campus Undergraduate & Library Building	1,500,000
Biobehavioral Complex Replacement	1,000,000
Deferred Maintenance/Code/ADA Renovation Lump Sum	25,425,000
Engineering Building	2,500,000
Equipment, Library Collections & Telecommunications	2,500,000
Floriculture Greenhouse	2,400,000
Gant Building Renovations	1,000,000
Koons Hall Renovation/Addition	1,000,000
Psychology Building Renovation/Addition	2,000,000
Storrs Hall Addition	4,980,000
West Hartford Campus Renovations/Improvements	1,500,000
Young Building Renovation/Addition	2,095,000
Subtotal of Storrs & Regional Campuses	<u>\$ 93,800,000</u>

### **Health Center**

<b><u>Project Name</u></b>	<b><u>Phase III Funding</u></b>
CLAC Renovation Biosafety Level 3 Lab	\$ 35,000
Deferred Maintenance/Code/ADA Renovation Sum - Health Center	4,000,000
Dental School Renovation	975,000
Equipment, Library Collections & Telecommunications - Health Center	8,750,000
Library/Student Computer Center Renovation	250,000
Main Building Renovation	5,990,000
Planning & Design Costs	25,000,000
Subtotal of Health Center	<u>\$ 45,000,000</u>

**Grand Total FY 2011** **\$ 138,800,000**





## UCONN 2000 Phase III Project List

### State Debt Service Commitment

<b>Project</b>	<b>Amount</b>
<b><u>Storrs and Regional Campus Projects</u></b>	
Arjona and Monteith (new classroom buildings)	\$ 121,000,000
Avery Point Campus Undergraduate & Library Building	12,800,000
Avery Point Renovation	447,283
Beach Hall Renovations	8,000,000
Benton State Art Museum (Completion Addition)	3,500,000
Biobehavioral Complex Replacement	5,000,000
Bishop Renovation	6,000,000
Commissary Warehouse	-
Deferred Maintenance/Code/ADA Renovation Lumpsum	263,572,142
Engineering Building	60,500,000
Equipment, Library Collections & Telecommunications	74,409,575
Family Studies (DRM) Renovation	6,500,000
Farm Buildings Repairs/Replacement	3,745,000
Fine Arts Phase II	23,000,000
Floriculture Greenhouse	5,000,000
Gant Building Renovations	93,600,000
Gentry Completion	10,000,000
Heating Plant Upgrade	30,000,000
Incubator Facilities	-
Intramural, Recreational & Intercollegiate Facilities	34,000,000
Jorgensen Renovation	7,200,000
Koons Hall Renovation/Addition	7,000,000
Lakeside Renovation	3,800,000
Law School Renovations/Improvements	19,500,000
Library Storage Facility	-
Manchester Hall Renovation	6,000,000
Mansfield Training School Improvements	8,500,000
Natural History Museum Completion	500,000
North Hillside Road Completion	8,200,000
Observatory	-
Old Central Warehouse	2,126,000
Parking Garage #3*	5,000,000
Psychology Building Renovation/Addition	22,500,000
Residential Life Facilities*	31,800,000
School of Pharmacy	6,000,000
Stamford Campus Improvements	2,300,000
Storrs Hall Addition	14,000,000
Student Health Services*	7,000,000
Student Union Addition	13,000,000
Support Facility (Architectural & Engineering Services)	500,000
Torrey Renovation Completion & Biology Expansion	85,000,000
Torrington Campus Improvements	2,500,000
UCONN Products Store	-
Waterbury Downtown Campus	1,850,000
West Hartford Campus Renovations/Improvements	12,650,000
Young Building Renovation/Addition	15,000,000

### **Health Center Projects**

**EXHIBIT B**

<b>Project</b>	<b>Amount</b>
CLAC Renovation Biosafety Level 3 Lab	\$ 15,000,000
Deferred Maintenance/Code/ADA Renovation Sum-Health Center	45,900,000
Dental School Renovation	5,000,000
Equipment, Library Collections & Telecommunications-Health Center	63,595,850
Library/Student Computer Center Renovation	5,250,000
Main Building Renovation	77,639,150
Medical School Academic Building Renovation	9,950,000
Parking Garage - Health Center*	8,400,000
Planning & Design Costs	25,000,000
Research Tower	49,565,000
Support Building Addition/Renovation	100,000
UCHC New Construction and Renovation**	307,000,000
<b><i>Total - Storrs and Regional Campus Project List</i></b>	<b>\$ 1,043,000,000</b>
<b><i>Total - Health Center Project List</i></b>	<b>\$ 612,400,000</b>
<b>TOTAL</b>	<b>\$ 1,655,400,000 ***</b>

\* Projects are partially/totally financed by Revenue Bonds

\*\* Project is partially financed by non-state funds.

\*\*\*\$1,507,000,000 may be financed with bonds secured by the State's debt service commitment.

## **EXHIBIT C**

### **POLICIES: SELECTION AND ACQUISITION OF PROFESSIONAL SERVICES**

Section 10a-109d (5) of the Connecticut General Statutes authorizes the University to contract with professionals to plan, design and supervise UCONN 2000 projects and other capital projects.

1. The Vice President for Operations has the responsibility for the administration of these policies. Authority for their implementation may be delegated to appropriate operating departments.
2. A selection board shall be appointed to select professional services for each project.
3. The selection board shall develop the rating criteria.
4. The contract shall be negotiated by the Vice President for Operations or by the Executive Director of Architectural and Engineering Services for Storrs, Law School and Regional Campuses, or by the Director, Campus Planning, Design and Construction as designee for the Health Center.
5. Changes in scope that affect the cost of the project must be approved by the Vice President for Operations.

## **EXHIBIT D**

### **POLICIES: PRE-QUALIFICATION AND SELECTION OF CONTRACTORS**

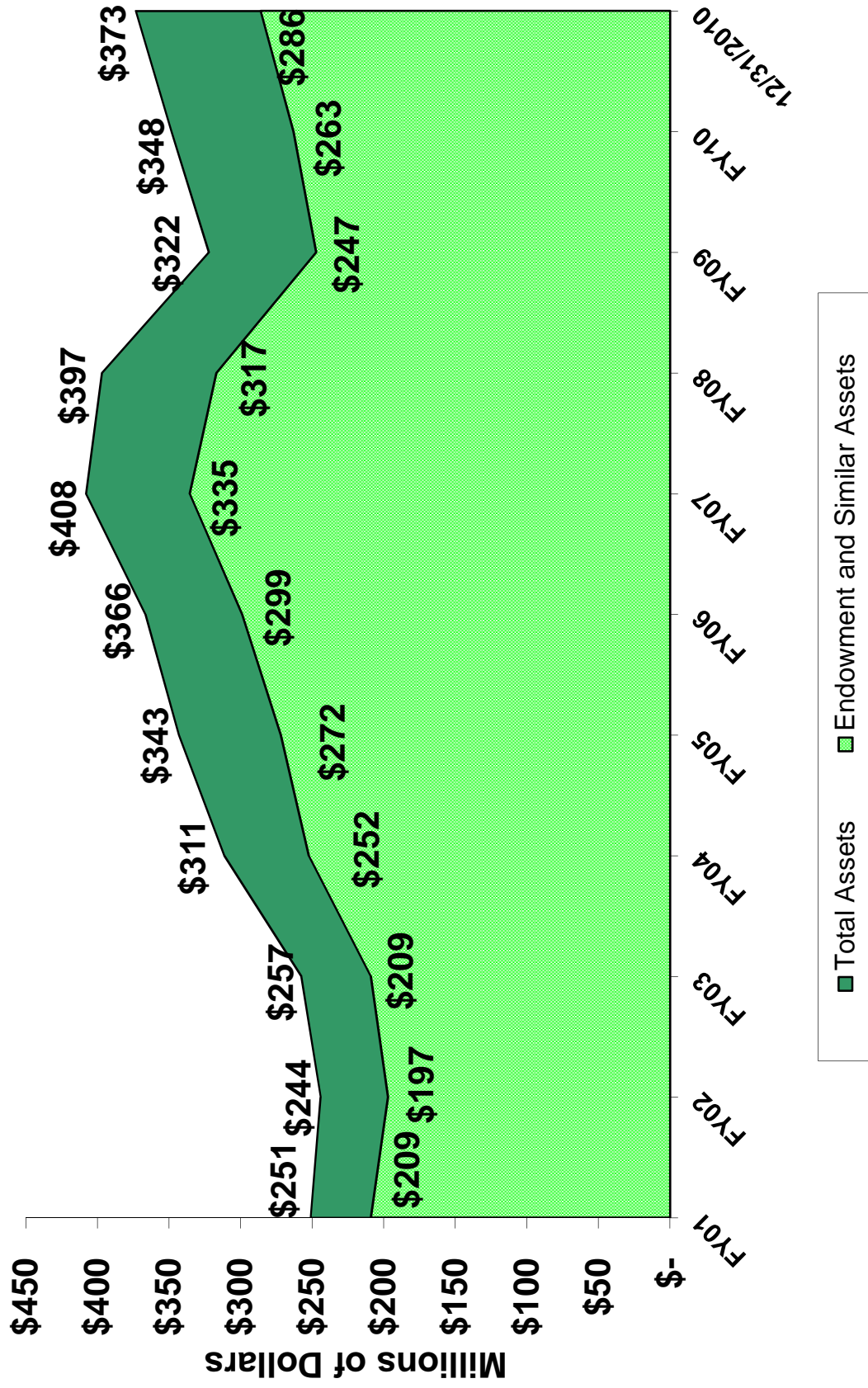
Section 10a-109n (c) (2) of the Connecticut General Statutes authorizes the University to contract with construction firms for the building of UCONN 2000 projects and other capital projects.

1. The Vice President for Operations has the responsibility for the administration of these policies. Implementing authority may be delegated to appropriate operating departments.
2. Pre-qualification shall be required of all bidders for projects over \$100,000.
3. Objective written criteria for pre-qualification of firms shall be developed.
4. A list of pre-qualified contractors shall be developed on a project basis. Firms will be evaluated on written criteria, including but not limited to: (1) the firm's previous experience with similar projects, (2) the firm's financial ability to complete the project, (3) the firm's ability to post surety bonds, (4) evaluation of the firm's integrity, (5) a determination that the firm has no conflict of interest in connection with the project, (6) technical ability, (7) managerial ability and (8) whether a contractor or one of their subcontractors on one of their previous projects has been in compliance with the provisions of Part III of Chapter 557 and Chapter 558 during the previous five calendar years.
5. Pre-qualified firms shall be invited to bid upon a specific contract. Contracts shall be awarded to the lowest responsible bidder who conforms to the University's standards for the project.
6. If it is in the best interest of the University and the State of Connecticut, all bids may be rejected and the contracting process reopened.
7. The University may negotiate with any pre-qualified contractor that is currently under contract for incorporation work under exigent circumstance. This new work need not be related to the original contract. The new work shall be of a similar nature as the basic contract.
8. Exigent circumstances include work necessary to:
  - a. Prevent loss of grant income or other revenue
  - b. Produce additional revenue or grant income
  - c. Insure renovations are complete for new faculty and staff members
  - d. Provide for safety and health of the University Community
  - e. Comply with any Federal or State laws or regulations

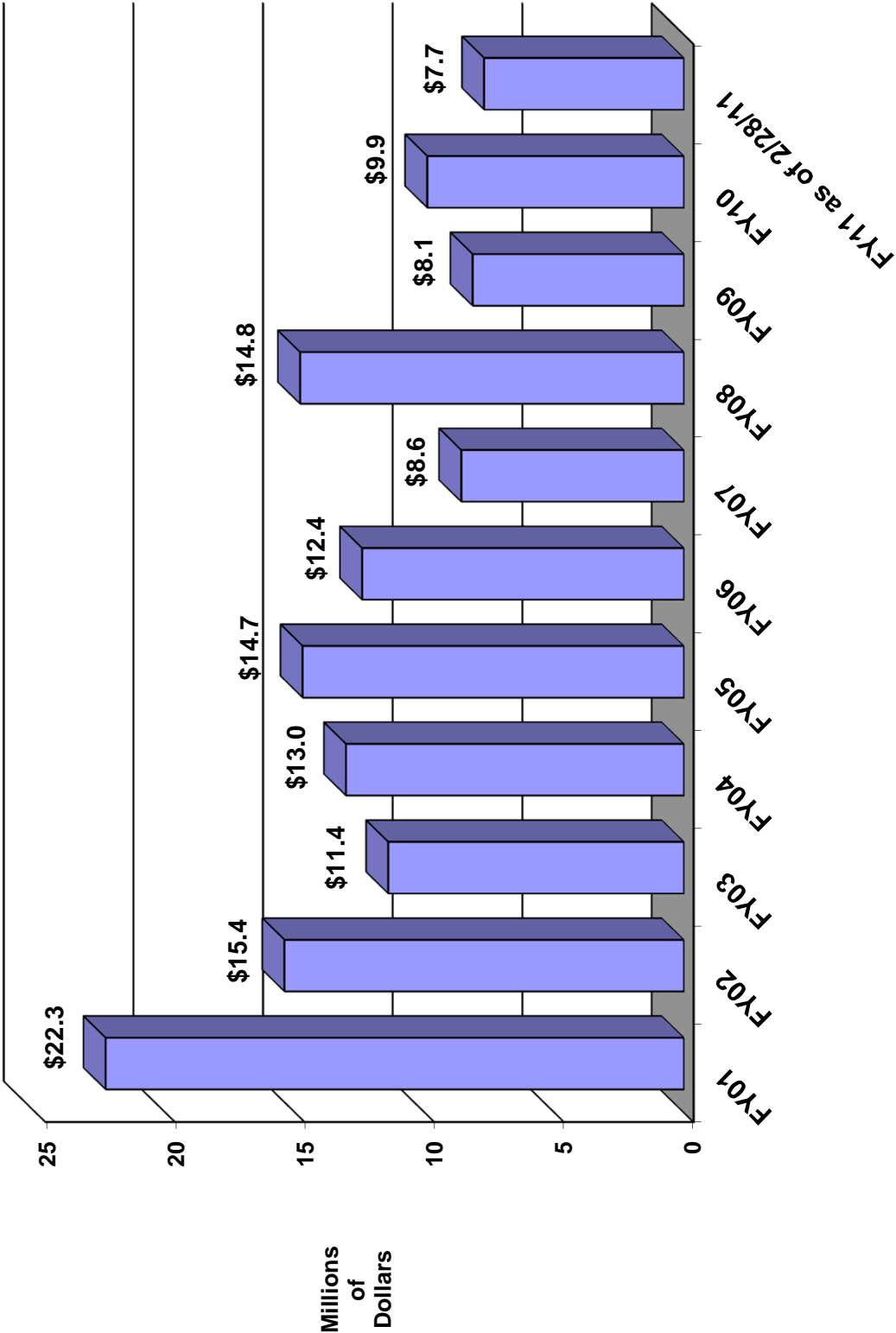


- f. Insure timely and orderly completion of UCONN 2000 or 21<sup>st</sup> Century UConn Projects.
- 9. The Vice President for Operations shall approve use of the exigency provision for an individual job based upon a written recommendation from the Executive Director of Architectural and Engineering Services for Storrs, Law School and Regional Campuses, or by the Director, Campus Planning, Design and Construction as designee for the Health Center
- 10. The negotiations may be conducted with any contractor or contractors currently performing one of the following types of contracts; lumpsum, design build or construction manager at risk.
- 11. The Executive Director of Architectural and Engineering Services for Storrs, Law School and Regional Campuses or the Director, Campus Planning, Design and Construction as designee for the Health Center will prepare a price negotiations memorandum detailing the basis for the award.
- 12. The Vice President for Operations shall also stipulate whether the added work shall be incorporated into the contract by change order or be consummated as a new contract.

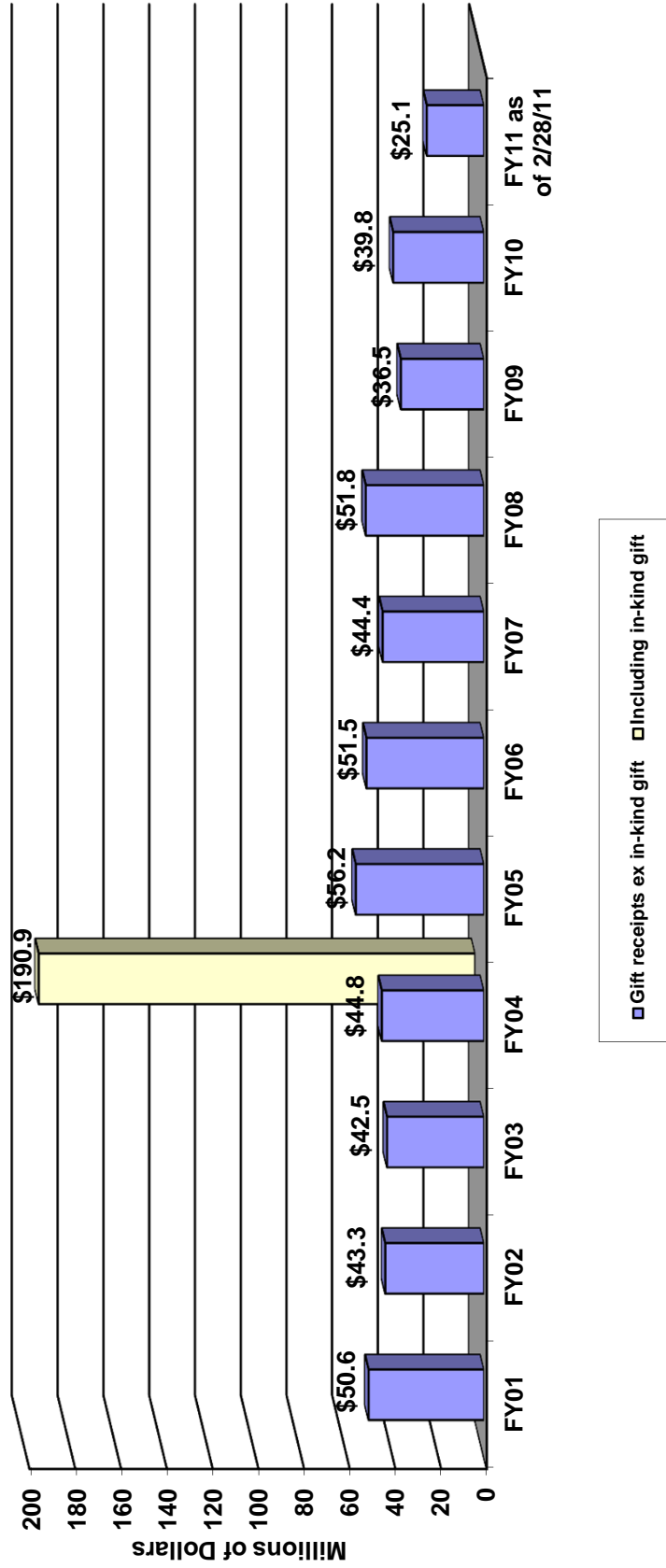
# The University of Connecticut Foundation, Inc Asset Growth



**Total Endowment Gift Receipts for the University of Connecticut**



## Total Gift Receipts for the University of Connecticut



During FY04 a gift in kind of software was received for the benefit of the School of Engineering valued at \$146.1 million.

# CURRENT PROJECTS FUND SOURCES: UCONN 2000 - PHASE I

(As of February 28, 2011)

<i>Project Description</i>	<i>Total Project Funding*</i>	<i>UCONN 2000 DSC Bonds</i>	<i>University Revenue Bonds</i>	<i>Other State Bonds</i>	<i>Federal/Research Funds</i>	<i>Operating Funds</i>	<i>Private Funds</i>
Agriculture Biotechnology Facility	\$13,341,445	\$9,400,000		\$2,457,550	\$1,483,895		
Avery Point Marine Science Research Center: Phase I	\$35,531,445	\$30,000,000		\$5,318,000		\$213,445	
Babbidge Library	Project not funded in UCONN 2000.						
Benton State Art Museum Addition	\$2,322,839	\$700,000				\$30,313	\$1,592,526
Chemistry Building	\$56,863,347	\$53,062,000		\$3,692,200		\$109,147	
Deferred Maintenance & Renovation Lumpsum <sup>A</sup>	\$40,798,260	\$40,798,260					
Equipment, Library Collections & Telecommunications <sup>A</sup>	\$60,500,000	\$60,500,000					
Gant Plaza Deck	\$5,458,000	\$3,516,000		\$1,942,000			
Hartford Relocation Feasibility Study	Project not funded in UCONN 2000.						
Heating Plant Upgrade	\$11,157,272	\$9,969,000		\$700,000		\$488,272	
Ice Rink Enclosure	\$4,044,001	\$3,280,000		\$222,407		\$541,594	
Litchfield Agricultural Center: Phase	\$1,515,682	\$1,000,000				\$515,682	
Mansfield Apartments Renovation	\$2,786,281	\$2,071,000		\$715,281			
North Superblock Site & Utilities	\$7,668,000	\$7,668,000					
Northwest Quadrant Renovation: Phase I	\$2,001,000	\$2,001,000					
Parking Garage - North	\$9,658,000	\$9,658,000					
Pedestrian Spinepath & Walkways (aka Fairfield Road Pedestrian Mall)	\$6,074,000	\$6,074,000					
School of Business	\$21,059,000	\$19,559,000					\$1,500,000
School of Pharmacy	\$3,956,000	\$3,856,000		\$100,000			
South Campus Complex	\$48,339,432	\$12,251,000	\$30,000,000	\$2,621,219		\$3,467,213	
Stamford Downtown Relocation: Phase I	\$72,374,188	\$55,781,472		\$14,000,000		\$2,592,716	
Technology Quadrant: Phase IA	\$68,758,246	\$37,903,000		\$2,200,000		\$28,655,246	
Underground Steam & Water Upgrade: Phase I	\$6,000,000	\$6,000,000					
University Programs Building (aka Visitor's Center)	\$1,556,471					\$6,471	\$1,550,000
Waring Building Conversion	\$916,000	\$916,000					
Waterbury Property Purchase	\$200,000	\$200,000					



## CURRENT PROJECTS FUND SOURCES: UCONN 2000 - PHASE I

(As of February 28, 2011)

<i>Project Description</i>	<i>Total Project Funding*</i>	<i>UConn 2000 DSC Bonds</i>	<i>University Revenue Bonds</i>	<i>Other State Bonds</i>	<i>Federal/Research Funds</i>	<i>Operating Funds</i>	<i>Private Funds</i>
White Building Renovation	\$2,641,008	\$2,427,269		\$213,739			
Wilbur Cross Building Renovation	\$3,409,000	\$3,409,000					
<b>Totals</b>	<b>\$488,928,916</b>	<b>\$382,000,000</b>	<b>\$30,000,000</b>	<b>\$34,182,396</b>	<b>\$1,483,895</b>	<b>\$36,620,099</b>	<b>\$4,642,526</b>

\* Reflects project funding received as of February 28, 2011.

<sup>A</sup> In some cases, certain projects have been supplemented with other fund sources.

# CURRENT PROJECTS FUND SOURCES: UCONN 2000 - PHASE II

(As of February 28, 2011)

<i>Project Description</i>	<i>Total Project Funding*</i>	<i>UCONN 2000 DSC Bonds</i>	<i>University Revenue Bonds</i>	<i>Other State Bonds</i>	<i>Federal/Research Funds</i>	<i>Operating Funds</i>	<i>Private Funds</i>
Agricultural Biotechnology Facility Completion	\$13,707,876	\$3,000,000			\$8,676,360	\$2,031,516	
Alumni Quadrant Renovations	\$18,183,624	\$11,183,624	\$7,000,000				
Avery Point Marine Science Research Center: Phase II	\$7,254,246	\$7,254,246					
Avery Point Renovation	\$4,875,717	\$4,875,717					
Business School Renovation: Phase	\$10,907,241	\$7,958,470				\$270,457	\$2,678,314
Central Warehouse New	\$10,848,752	\$6,933,752		\$3,915,000			
Deferred Maintenance & Renovation Lumpsum <sup>A</sup>	\$117,386,097	\$117,386,097					
East Campus North Renovations	\$8,382,605	\$7,382,605	\$1,000,000				
Equipment, Library Collections & Telecommunications <sup>A</sup>	\$105,812,000	\$105,812,000					
Gant Plaza Deck	\$3,771,174	\$3,771,174					
Gentry Renovation	\$10,446,418	\$9,664,597				\$723,921	\$57,900
Grad Dorm Renovations	\$2,928,228	\$2,928,228					
Hilltop Dormitory New	\$21,009,042		\$21,000,000			\$9,042	
Hilltop Dorm Renovations	\$8,456,164	\$8,176,529				\$279,635	
Hilltop Student Rental Apartments	\$42,000,000		\$42,000,000				
International House Conversion (aka Museum of Natural History)	\$886,134	\$886,134					
Mansfield Training School Improvements: Phase II	\$3,500,000	\$3,500,000					
Monteith Renovation	\$444,348	\$444,348					
Music Drama Addition	\$16,784,314	\$7,400,000		\$9,311,618		\$72,696	
North Campus Renovation	\$12,937,389	\$10,996,050				\$1,941,339	
North Campus Renovation (including North Campus Student Suites & Northwest Quadrant Renovation: Phase II	\$47,150,508		\$45,000,000			\$2,150,508	
Parking Garage - South	\$30,123,843	\$30,000,000				\$123,843	
School of Business	\$27,942,928		\$24,000,000			\$3,942,928	
School of Pharmacy	\$6,762,725	\$6,093,366				\$218,463	\$450,896
School of Pharmacy	\$84,753,000	\$84,753,000					
Shippee/Buckley Renovations	\$11,920,000	\$6,920,000	\$5,000,000				

## CURRENT PROJECTS FUND SOURCES: UCONN 2000 - PHASE II

(As of February 28, 2011)

<i>Project Description</i>	<i>Total Project Funding*</i>	<i>UCONN 2000 DSC Bonds</i>	<i>University Revenue Bonds</i>	<i>Other State Bonds</i>	<i>Federal/Research Funds</i>	<i>Operating Funds</i>	<i>Private Funds</i>
Student Union Addition: Phase II	\$57,141,753	\$44,622,633				\$12,519,120	
Technology Quadrant: Phase IA	\$2,090,000	\$2,090,000					
Technology Quadrant: Phase II	\$34,434,179	\$34,120,000				\$314,179	
Torrey Life Science Renovation & Completion	\$251,109	\$251,109					
Towers Renovation	\$27,378,195	\$17,950,243	\$2,180,000			\$7,247,952	
Towers Renovation (Including Greek Housing)	\$12,704,501	\$0	\$12,000,000			\$704,501	
Underground Steam and Water Upgrade & Completion: Phase II	\$6,000,000	\$6,000,000					
Waring Building Conversion	\$10,536,000	\$10,536,000					
Waterbury Campus Relocation	\$27,238,315			\$22,000,000		\$1,540,999	\$3,697,316
West Campus Renovations	\$519,507	\$519,507					
Wilbur Cross Renovation	\$16,596,296	\$16,590,571				\$5,725	
<b>Totals</b>	<b>\$824,064,228</b>	<b>\$580,000,000</b>	<b>\$159,180,000</b>	<b>\$35,226,618</b>	<b>\$8,676,360</b>	<b>\$34,096,824</b>	<b>\$6,884,426</b>

\* Reflects project funding received as of February 28, 2011.

A In some cases, certain projects have been supplemented with other fund sources.

# CURRENT PROJECTS FUND SOURCES: UCONN 2000 - PHASE III

(As of February 28, 2011)

Project Description	Total Project Funding*	UCONN 2000 DSC Bonds	University Revenue Bonds	Other State Bonds	Federal/Research Funds	Operating Funds	Private Funds
Arjona & Monteith (new classroom buildings)	\$95,000,000	\$95,000,000					
Avery Point Campus Undergraduate & Library Building	\$3,550,000	\$3,550,000					
Avery Point Renovation	\$447,283	\$447,283					
Beach Hall Renovations	\$1,500,000	\$1,500,000					
Benton State Art Museum Addition	\$3,500,000	\$3,500,000					
Biobehavioral Complex Replacement	\$1,100,000	\$1,100,000					
CLAC Renovation Biosafety Level 3 Lab - Health Center	\$1,315,000	\$1,315,000					
Deferred Maintenance/Code /ADA Renovation Lumpsum - Storrs & Regionals <sup>A</sup>	\$146,816,292	\$146,816,292					
Deferred Maintenance/Code /ADA Renovation Lumpsum-Health Center <sup>A</sup>	\$28,505,125	\$28,505,125					
Dental School Renovation-Health	\$4,500,000	\$4,500,000					
Engineering Building	\$5,000,000	\$5,000,000					
Equipment, Library Collections & Telecommunications - Storrs & Regionals <sup>A</sup>	\$51,414,575	\$51,414,575					
Equipment, Library Collections & Telecommunications-Health Center <sup>A</sup>	\$49,905,725	\$49,905,725					
Family Studies (DRM) Renovation	\$3,700,000	\$3,700,000					
Farm Building Repairs/Replacement	\$3,745,000	\$3,745,000					
Fine Arts Phase II	\$2,250,000	\$2,250,000					
Floriculture Greenhouse	\$3,000,000	\$3,000,000					
Gant Building Renovations	\$2,750,000	\$2,750,000					
Gentry Completion	\$10,000,000	\$10,000,000					
Heating Plant Upgrade (aka Cogeneration Chiller Facility) <sup>B</sup>	\$81,900,000	\$0	\$81,900,000				
Intramural, Recreational & Intercollegiate Facilities	\$48,892,037	\$31,500,000				\$4,051,806	\$13,340,231
Jorgensen Renovation	\$2,200,000	\$2,200,000					
Koons Hall Renovation/Addition	\$1,100,000	\$1,100,000					
Lakeside Renovation	\$5,235,200	\$3,800,000				\$1,435,200	
Law School Renovations/Improvements	\$23,232,775	\$15,150,000		\$8,000,000		\$82,775	

## CURRENT PROJECTS FUND SOURCES: UCONN 2000 - PHASE III

(As of February 28, 2011)

<i>Project Description</i>	<i>Total Project Funding*</i>	<i>UCONN 2000 DSC Bonds</i>	<i>University Revenue Bonds</i>	<i>Other State Bonds</i>	<i>Federal/Research Funds</i>	<i>Operating Funds</i>	<i>Private Funds</i>
Library/Student Computer Center Renovation-Health Center	\$1,510,000	\$1,400,000					\$110,000
Main Building Renovation-Health	\$11,385,000	\$11,385,000					
Mansfield Training School	\$3,000,000	\$3,000,000					
Medical School Academic Building Renovation-Health Center	\$3,700,000	\$3,700,000					
Natural History Museum Completion	\$999,669	\$500,000					\$499,669
North Hillside Road Completion	\$1,500,000	\$1,500,000					
Old Central Warehouse	\$126,000	\$126,000					
Planning & Design Costs (UCHC)	\$25,000,000	\$25,000,000					
Psychology Building Renovation/Addition	\$2,750,000	\$2,750,000					
Research Tower-Health Center	\$49,565,000	\$49,565,000					
Residential Life Facilities	\$1,809,675	\$1,800,000				\$9,675	
School of Pharmacy/Biology	\$6,000,000	\$6,000,000					
Stamford Campus Improvements	\$518,676	\$500,000				\$18,676	
Storrs Hall Addition	\$6,530,000	\$6,530,000					
Student Union Addition	\$13,000,000	\$13,000,000					
Support Building Addition/Renovation-Health Center	\$100,000	\$100,000					
Torrey Renovation Completion & Biology Expansion	\$1,000,000	\$1,000,000					
Torrington Campus Improvements	\$500,000	\$500,000					
Waterbury Downtown Campus	\$950,000	\$450,000					\$500,000
West Hartford Campus Renovations/Improvements	\$8,750,000	\$8,400,000				\$350,000	
Young Building Renovation/Addition	\$2,845,000	\$2,845,000					
<b>Totals</b>	<b>\$722,098,032</b>	<b>\$611,800,000</b>	<b>\$81,900,000</b>	<b>\$8,000,000</b>	<b>\$0</b>	<b>\$5,948,132</b>	<b>\$14,449,900</b>

\* Reflects project funding received as of February 28, 2011.

<sup>A</sup> In some cases, certain projects have been supplemented with other fund sources.

<sup>B</sup> Funded through a Tax Exempt Lease.

## FUTURE PROJECTS: UCONN 2000 - PHASE III



## CURRENT PROJECTS FUND SOURCES: UCONN 2000 - PHASE III

(As of February 28, 2011)

<i>Project Description</i>	<i>Total Project Funding*</i>	<i>UCONN 2000 DSC Bonds</i>	<i>University Revenue Bonds</i>	<i>Other State Bonds</i>	<i>Federal/Research Funds</i>	<i>Operating Funds</i>	<i>Private Funds</i>
Bishop Renovation							
Commissary Warehouse							
Incubator Facilities							
Library Storage Facility							
Manchester Hall Renovation							
Observatory							
Parking Garage #3							
Student Health Services							
Support Facility (A&ES)							
UCONN Products Store							
UCHC New Construction and Renovation							

# CURRENT PROJECT STATUS: UCONN 2000 - PHASE I

(Funding as of February 28, 2011)

<i>Project Description</i>	<i>UCONN 2000 Funding <sup>A</sup></i>	<i>Comments</i>	<i>Implementation- Planning/Design</i>	<i>Construction completed to date</i>			
				25%	50%	75%	100%
Agriculture Biotechnology Facility	\$9,400,000.00	Phase 1 construction complete.	*****	*****	*****	*****	*****
Avery Point Marine Science Research Center: Phase I	\$30,000,000.00	Construction complete.	*****	*****	*****	*****	*****
Babbidge Library	\$0.00	Construction complete.	*****	*****	*****	*****	*****
Benton State Art Museum Addition	\$700,000.00	Construction complete.	*****	*****	*****	*****	*****
Chemistry Building	\$53,062,000.00	Construction complete.	*****	*****	*****	*****	*****
Deferred Maintenance and Renovation Lumpsum	\$40,798,259.65	Phase I construction complete.	*****	*****	*****	*****	*****
Equipment, Library Collections & Telecommunications	\$60,500,000.00	Phase I complete.	*****	*****	*****	*****	*****
Gant Plaza Deck	\$3,516,000.00	Construction complete.	*****	*****	*****	*****	*****
Hartford Relocation Feasibility Study	\$0.00	Project not funded.					
Heating Plant Upgrade	\$9,969,000.00	Construction complete.	*****	*****	*****	*****	*****
Ice Rink Enclosure	\$3,280,000.00	Construction complete.	*****	*****	*****	*****	*****
Litchfield Agricultural Center: Phase I	\$1,000,000.00	Construction complete.	*****	*****	*****	*****	*****
Mansfield Apartments Renovation	\$2,071,000.00	Construction complete.	*****	*****	*****	*****	*****
North Superblock Site & Utilities	\$7,668,000.00	Construction complete.	*****	*****	*****	*****	*****
Northwest Quadrant Renovation: Phase I	\$2,001,000.00	Construction complete.	*****	*****	*****	*****	*****
Parking Garage - North	\$9,658,000.00	Construction complete.	*****	*****	*****	*****	*****
Pedestrian Spinepath & Walkways (aka Fairfield Road Pedestrian Mall)	\$6,074,000.00	Construction complete.	*****	*****	*****	*****	*****
School of Business	\$19,559,000.00	Construction complete.	*****	*****	*****	*****	*****
School of Pharmacy	\$3,856,000.00	Construction complete.	*****	*****	*****	*****	*****
South Campus Complex	\$12,251,000.00	Construction complete.	*****	*****	*****	*****	*****
Stamford Downtown Relocation: Phase I	\$55,781,471.55	Construction complete.	*****	*****	*****	*****	*****
Technology Quadrant: Phase IA	\$37,903,000.00	Construction complete.	*****	*****	*****	*****	*****
Underground Steam & Water Upgrade: Phase I	\$6,000,000.00	Construction complete.	*****	*****	*****	*****	*****
University Programs Building (aka Visitor's Center)	\$0.00	Construction complete.	*****	*****	*****	*****	*****
Waring Building Conversion	\$916,000.00	Construction complete.	*****	*****	*****	*****	*****

(Funding as of February 28, 2011)

Chart Key:

❄	Planning and Design completed
◆	Construction completed

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## (Funding as of February 28, 2011)

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# CURRENT PROJECT STATUS: UCONN 2000 - PHASE II

(Funding as of February 28, 2011)

Project Description	UCONN 2000 Funding <sup>A</sup>	Comments	Implementation- Planning/Design	Construction completed to date			
				25%	50%	75%	100%
Parking Garage - South	\$0.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
School of Business	\$6,093,366.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
School of Pharmacy	\$84,753,000.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Shippee/Buckley Renovations	\$6,920,000.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Student Union Addition: Phase II	\$44,622,633.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Technology Quadrant: Phase IA	\$2,090,000.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Technology Quadrant: Phase II	\$34,120,000.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Torrey Life Science Renovation & Completion	\$251,109.43	Planning on hold	**				
Towers Renovation	\$17,950,243.11	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Towers Renovation (Including Greek Housing)	\$0.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Underground Steam and Water Upgrade & Completion: Phase II	\$6,000,000.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Waring Building Conversion	\$10,536,000.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Waterbury Campus Relocation	\$0.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
West Campus Renovations	\$519,507.20	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
Wilbur Cross Renovation	\$16,590,571.00	Construction complete.	*****	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆	◆◆◆◆◆
<b>Total</b>	<b>\$580,000,000.00</b>						

Chart Key:      \*      Planning and Design completed  
                      ◆      Construction completed

<sup>A</sup> UCONN 2000 DSC bond funding as of February 28, 2011 only; does not include bond funding from Phases I or III or other funding sources.

(Funding as of February 28, 2011)

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# CURRENT PROJECT STATUS: UCONN 2000 - PHASE III

(Funding as of February 28, 2011)

Project Description	UCONN 2000 Funding <sup>A</sup>	Comments	Implementation-Planning/Design	Construction completed to date			
				25%	50%	75%	100%
Gentry Completion	\$10,000,000	Complete.	*****	*****	*****	*****	*****
Heating Plant Upgrade (aka Cogeneration Chiller Facility)	\$0	Fully operational with final acceptance in June 2007.	*****	*****	*****	*****	*****
Intramural, Recreational & Intercollegiate Facilities	\$31,500,000	Construction complete.	*****	*****	*****	*****	*****
Jorgensen Renovation	\$2,200,000	Complete.	*****	*****	*****	*****	*****
Koons Hall Renovation/Addition	\$1,100,000	Design Complete	*****	*****	*****		
Lakeside Renovation	\$3,800,000	Construction complete.	*****	*****	*****	*****	*****
Law School Renovations/Improvements	\$15,150,000	Construction complete.	*****	*****	*****	*****	*****
Library Storage Facility	\$0						
Library/Student Computer Center Renovation-Health Center	\$1,400,000	Phase I construction complete.	*****	*****	*****	*****	*****
Main Building Renovation - Health Center	\$11,385,000	Design Development work complete, CM selection complete	*****				
Mansfield Training School Improvements	\$3,000,000	Design and construction underway for various projects.	***				
Medical School Academic Building Renovation-Health Center	\$3,700,000	Massey and Patterson Renovations are complete.	*****	*****	*****	*****	*****
Natural History Museum Completion	\$500,000	Complete.	*****	*****	*****	*****	*****
North Hillside Road Completion	\$1,500,000	Hold for preparation of Federal Environmental Impact Statement.	***				
Old Central Warehouse	\$126,000						
UCHC New Constuction and Renovations Planning & Design Costs	\$25,000,000	Programming/Master Planning for the new JDH construction is complete. Schematic Desing ot being in April 2011.	**				
Psychology Building Renovation/Addition	\$2,750,000	Design in progress.	*****				
Research Tower-Health Center	\$49,565,000	Construction is complete, final closeout underway.	*****	*****	*****	*****	*****

# CURRENT PROJECT STATUS: UCONN 2000 - PHASE III

(Funding as of February 28, 2011)

Project Description	UCONN 2000 Funding <sup>A</sup>	Comments	Implementation- Planning/Design	Construction completed to date			
				25%	50%	75%	100%
Residential Life Facilities	\$1,800,000	Design and construction underway for various projects.	*****	◆◆◆◆			
School of Pharmacy/Biology Completion	\$6,000,000	Construction complete.	*****	◆◆◆◆◆◆◆◆◆◆			
Stamford Campus Improvements	\$500,000	Phase I complete.	*****	◆◆◆◆◆◆◆◆◆◆			
Storrs Hall Addition	\$6,530,000	Design Complete	*****	◆◆◆◆◆◆◆◆◆◆			
Student Union Addition	\$13,000,000	Construction complete.	*****	◆◆◆◆◆◆◆◆◆◆			
Support Building Addition/Renovation - Health Center	\$100,000	Project deferred.					
Support Facility (Architectural & Engineering Services)	\$0						
Torrey Renovation Completion & Biology Expansion	\$1,000,000	Planning on hold	**				
Torrington Campus Improvements	\$500,000	Project is in acquisition	*****	◆◆◆◆◆◆◆◆◆◆			
Waterbury Downtown Campus	\$450,000	Construction complete.	*****	◆◆◆◆◆◆◆◆◆◆			
West Hartford Campus Renovations/Improvements	\$8,400,000	Design and construction underway for various projects.	*****	◆◆◆◆◆◆◆◆◆◆			
Young Building Renovation/Addition	\$2,845,000	Design in progress.	*				
<b>Total</b>	<b>\$611,800,000</b>						

Chart Key:      \*      Planning and Design completed  
                          ◆      Construction completed

<sup>A</sup> UCONN 2000 DSC bond funding as of February 28, 2011 only; does not include bond funding from Phases I or II or other funding sources.

## **PREVAILING WAGE COMPLIANCE REPORT**

The attachments referred to below cover the reporting period from October 1, 2010 through March 31, 2011 and are issued pursuant to the requirements of subsection (f) of section (7) of Public Act 02-3, an Act Concerning 21<sup>st</sup> Century UCONN, by providing the following information:

(1) The names and addresses of contractors and subcontractors performing repair, addition, alteration and new construction on the university's campuses in the previous six months.

*Attachment A provides the listing in alphabetical order. This is being filed in conjunction with the April 2011 Report Number Thirty-two to provide a consolidated report for UCONN 2000 activities. There is no sub-grouping of contractors or subcontractors as the nature of their business makes each interchangeable with the other as business opportunities become available.*

*Attachment B is based on information from the State of Connecticut Department of Labor, Wage and Workplace Standards Division, Contract Compliance Unit and represents a combined sharing of information by the University of Connecticut and the Department of Labor. According to the Department of Labor, there is nothing to report for this reporting period*

*Attachment C is the Debarment list issued by the Department of Labor*

**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
REPORTING PERIOD: OCTOBER 1, 2010 THRU MARCH 31, 2011

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
A Line Contracting	64 Jordan Lane		North Windham	CT	06256
A&A Window Products, Inc.	15 Joseph Street		Malden	MA	02148
A&J Caulking Company, Inc.	49 Wooster Street		New Britain	CT	06052
A&S Electrical Services	42 Ozick Drive, Suite #1		Durham	CT	06422
A-1 Glass Company	255 Sullivan Avenue		South Windsor	CT	06074
AAS Corporation	802 Boston Post Road		West Haven	CT	06516
Absolute Plumbing & Heating, LLC	470 Stonehouse Road		Trumbull	CT	06611
Ace Electric Company, LLC	51 Edwin Road		South Windsor	CT	06074
Acorn Glass, Inc.	30 Century Drive		Stratford	CT	06615
Action Air Systems	131 Adams Street		Manchester	CT	06040
Action Steel, LLC	34 Oconnell Road Extension		Colchester	CT	06415
ACV Concrete, LLC	46 Marina Court	PO Box 1842	Meriden	CT	06451
Adajian Engineering, Inc.	50 Albany Turnpike		Canton	CT	06019
Adler Roof Vac Systems	95-123 Firmenich Way		Newark	NJ	07114
Advanced Caulking & Restoration, LLC	75 Elliott Street East		Hartford	CT	06114
Advanced Framing Systems, LLC		PO Box 918	Naugatuck	CT	06770
Air Temp Mechanical Services, Inc.	360 Captain Lewis Drive		Southington	CT	06489
Airway Electric Company	33 Bradley Park Road	PO Box 1338	East Granby	CT	06026
AL Fire Protection, LLC	45 Cherry Street		East Hartford	CT	06108
All Industrial Services, Inc.		PO Box 9192	Youngstown	OH	44513
All Phase Renovations, LLC	25 Plantation Road		Broad Brook	CT	06016
All State Construction, Inc.	449 Cooke Street	PO Box 805	Farmington	CT	06032
All-Brite & Company, Inc.	227 West Main Street		Vernon	CT	06066
Allied Restoration Corporation	162 Governor Street	PO Box 280835	East Hartford	CT	06128
All-Phase Enterprises, Inc.	191 West Stafford Road	PO Box 6	Stafford Springs	CT	06076
Allstate Fire Systems	92 Weston Street, Suite 44	PO Box 2350	Hartford	CT	06146
Allstate Traffic Control	268 Congress Avenue #9		Waterbury	CT	06708
Alpha Concrete Construction, LLC	120 Charter Oak Drive		Watertown	CT	06795
American Concrete Pumping	147 Pickering Street		Portland	CT	06480
American Environmental, Inc.	380 Westfield Street		West Springfield	MA	01089
American Overhead Doors, Inc.	1885C South Main Street	PO Box 207	Middletown	CT	06457
American Pride Insulation Company, Inc.	120 Manton Avenue		Providence	RI	02909
American Rigging, Inc.	30 Clark Street		East Hartford	CT	06108
Aqua-Lawn, Inc.	242 Kings Highway, Cut-Off		Fairfield	CT	06824
Architectural Sheet Metal	27 Pleasant Street		Manchester	CT	06040
Armani Restoration, Inc.	191 Franklin Avenue		Hartford	CT	06114
ATC Associates	290 Roberts Street, Suite 301		East Hartford	CT	06108
Atlantic Construction and Restoration	165 Chelmsford Street		Lowell	MA	01851

**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
REPORTING PERIOD: OCTOBER 1, 2010 THRU MARCH 31, 2011

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
Bartholomew Company, Inc.	3324 Main Street		Hartford	CT	06120
Baystate Home Guard, Inc.	38 Dawes Street		Springfield	MA	01109
Beebe, Milton C & Sons, Inc.	12 Beebe Lane		Storrs	CT	06268
Berkshire Construction & Saw Cutting, LLC	3595 Winsted Road		Torrington	CT	06790
Bestech Incorporated of Connecticut	25 Pinney Street		Ellington	CT	06029
B-G Mechanical Contractors, Inc.	6 Second Avenue		Chicopee	MA	01020
Binswager Glass	163 Park Street		Bristol	CT	06010
BKM Office	300 East River Drive		East Hartford	CT	06108
BNC Enterprise, LLC	37 Fyler Road		East Hartford	CT	06027
Bohsen Fabricators & Tool Company, Inc.	10 Masconomet Road		Ipswich	MA	01938
Bonner Electric, Inc.	1865 Norwich-New London Turnpike	PO Box 366	Uncasville	CT	06382
Boyle, John Company		PO BOX 397	New Britain	CT	06050
Bretts Core Drilling	66 Sherman Road		Enfield	CT	06082
BVH Integrated Services	50 Griffin Road South		Bloomfield	CT	06002
BW Dexter II, Inc.	562 Westcott Road		Danielson	CT	06239
C&C Insulation, LLC	300 Front Avenue		West Haven	CT	06516
C&H Electric, Inc.	1999 South Main Street		Waterbury	CT	06706
Capasso Restoration, Inc.	39 Sugar Hill Road		North Haven	CT	06473
Capasso, Frank & Sons, Inc.	1003 Middletown Avenue		Northford	CT	06472
Carlin Construction	5 Shaw's Cove		New London	CT	06320
Caruso Electric Company	815 Farmington Avenue		New Britain	CT	06053
CED/AII Phase Electric Supply	15 Commerce Way		South Windsor	CT	06074
Central Construction Industries, LLC		PO Box 229	Putnam	CT	06260
Central CT Fire Protection, Inc.		PO Box 1825	Meriden	CT	06450
Chapin & Bangs	165 River Street		Bridgeport	CT	06601
Cherry Hill Glass Company, Inc.	20 Elm Street		Branford	CT	06405
Chris Lavery Painting	12 Hickory Street		West Haven	CT	06516
Civittilo Masonry, Inc.	53 Shepard Drive		Newington	CT	06111
Clay Furniture Industries, Inc.	41A Chapel Street		Manchester	CT	06040
CLP Resources, Inc.	1015 "A" Street		Tacoma	WA	98402
Coastal Tool & Supply	510 New Park Ave		West Hartford	CT	06110
Columbia Sheet Metal	4 Commerce Drive		North Windham	CT	06256
Commercial Roofing & Contracting, Inc.	340 Kennedy Drive	PO Box 647	Putnam	CT	06260
Concrete Floors, LLC	13 West Parkway		Plainfield	CT	06374
Concrete Supplement Company, The	272 Norfolk Road	PO Box 501	Litchfield	CT	06759
Consigli Construction Company, Inc.	5100 Bigelow Commons		Enfield	CT	06082
CR&B Group, LLC	2 Hartford Square West, Suite 200		Hartford	CT	06106
CT Paving, LLC	145 Dividend Road - Unit 8		Rocky Hill	CT	06067

**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
REPORTING PERIOD: OCTOBER 1, 2010 THRU MARCH 31, 2011

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
CT Trane Co.	135 South Road		Farmington	CT	06032
Custom Pipe & Drain, Inc.	61 Arrow Road, Suite #8		Wethersfield	CT	06109
D and R Mechanical Insulation, LLC	53 Route 165		Preston	CT	06365
Dalene Hardwood Flooring Company, Inc.	45 Nutmeg Road South		South Windsor	CT	06074
Dana Lilly (dba Design Electric Company)	23 Leila Street		New Haven	CT	06513
Daniel's Caulking, LLC	46 Kennedy Road Unit 5		South Windsor	CT	06074
Dave's Painting	398 Prospect Street		Plantsville	CT	06479
DC Sheetmetal, LLC		PO Box 6027	Yantic	CT	06389
Delta Mechanical (Brian Fournier)		PO Box 581	Griswold	CT	06351
DeMar's Landscaping, LLC	454 East Main Street		Branford	CT	06405
Demeo, Ronnie Construction, LLC	3 Laurel Road		Rocky Hill	CT	06067
DeMonte Painting Company, LLC	190 Lawrence Road		South Windsor	CT	06074
Desco Professional Builders, Inc.	290 Somers Road		Ellington	CT	06029
Division 3 Technologies, LLC	5-BTipping Drive Unit #7		Branford	CT	06405
Donovan, G. Associates, Inc.		PO Box 249	Lebanon	CT	06249
DuBaldo, D., Electric Company, LLC	44 Purnell Place		Manchester	CT	06040
Ducto, LLC	13 Britton Drive		Bloomfield	CT	06002
Dyco Industries, Inc.	229 South Satellite Road		South Windsor	CT	06074
Dyna Electric Company, Inc.		PO Box 1687	Manchester	CT	06040
Eagle Fence & Guardrail	56 South Canal Street	PO Box 7077	Plainville	CT	06062
Eagle Rivet Roof Service Corporation	15 Britton Drive		Bloomfield	CT	06002
East Coast Interiors, LLC	105B Day Street		Newington	CT	06111
East Coast Masonry, LLC	192 Bungay Road		Seymour	CT	06483
East Coast Sheet Metal, LLC	19 Waterbury Road		Thomaston	CT	06787
Eastern Electric, Inc.		PO Box 2116	Vernon	CT	06066
Eastern Energy Services, LLC	15 Wisconsin Avenue		Norwich	CT	06360
Eastside Electric, Inc.		PO Box 476	Torrington	CT	06790
EDI Landscape, LLC	2070 West Street		Southington	CT	06489
Electric Works, Inc.	175 Main Street		Rockfall	CT	06481
Electrical Connections	65 Louis Street, Unit D		Newington	CT	06111
Electrical Contractors, Inc.	3510 Main Street		Hartford	CT	06120
Electrical Energy Systems, Inc.	215 Captain Lewis Drive		Southington	CT	06489
Electrical Wholesalers	333 Arch Street		New Britain	CT	06052
Energy Electric Company, Inc.	740 Quaker Highway		Uxbridge	MA	01569
Enfield Builders, Inc.	1654 King Street	PO Box 1201	Enfield	CT	06083
Environmental Systems Corporation	18 Jansen Court		West Hartford	CT	06110
Environmental Testing & Balancing, Inc.	154 State Street, Suite 208		North Haven	CT	06473
Executive Drywall	455 Middlefield Street		Middletown	CT	06457

**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
REPORTING PERIOD: OCTOBER 1, 2010 THRU MARCH 31, 2011

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
Farrelly, Jack Company, The	97 Old Poquonock Road		Bloomfield	CT	06002
Ferguson Electric Company, Inc.	112 Northwest Drive		Plainville	CT	06062
First Class Construction, LLC	190 New Park Drive		Berlin	CT	06037
Fisher Tank Company	3131 West 4th Street		Chester	PA	19013
FJ Dahill Company, Inc.	176 Forbes Avenue		New Haven	CT	06512
Four Seasons Landscaping, Inc.	836 Palisado Avenue	PO Box 245	Windsor	CT	06095
Fulton Foundation, Inc.	21 East Street		Morris	CT	06783
Fusco Industries, LLC		PO Box 475	Plainville	CT	06062
G&R Valley, Inc.	350 Chapel Road		South Windsor	CT	06074
Gar-San Corporation	680 Main Street		Watertown	CT	06795
GC Signs, LLC	75 Park Road		Barkhamsted	CT	06063
GDS Contracting Corporation	1623 Berlin Turnpike		Berlin	CT	06037
Gelinas, Steven	265 Canterbury Road		Plainfield	CT	06374
GL Capasso, Inc.	34 Lloyd Street		New Haven	CT	06513
Gnazzo, Joseph Company, Inc.	1053 Buckley Highway		Union	CT	06076
Goss Construction, Inc.		PO Box 331582	West Hartford	CT	06133
GovConnection, Inc.	706 Milford Road		Merrimack	NH	03054
Greenwood Abatement Consultants, Inc.	268 Main Street		Butler	NJ	07405
Greenwood Industries, Inc.		PO Box 2800	Worcester	MA	01603
Hamden Roofing	758 Choate Avenue		Hamden	CT	06518
Hanna Electric, Inc.	19 Mulberry Lane		Somers	CT	06071
Harrington Engineering, Inc.		PO Box 819	Old Saybrook	CT	06475
Hartford Concrete Construction, LLC	60 Cone Street		Hartford	CT	06105
Harvest Hardwoods		PO Box 96	Willington	CT	06279
HAS Construction Services, Inc.		PO Box 566	Northampton	MA	01061
Haz Pro's, Inc.	125-A Brook Street		West Hartford	CT	06110
HHS Mechanical Contractors, Inc.	80 Colonial Road		Manchester	CT	06042
Hoffman Architects, Inc.	2321 Whitney Avenue		Hamden	CT	06518
Hop River Concrete, Inc.	231 Route 6		Columbia	CT	06237
Horton, Arthur A., Inc.	97 River Road, Canton Business Park		Canton	CT	06019
HTH Automatic Sprinkler, LLC		PO Box 82	Goshen	CT	06756
Industrial Electric & Construction, LLC	50 Robert Jackson Way	PO Box 688	Plainville	CT	06062
Industrial Riggers, Inc.	300 Chase River Road		Waterbury	CT	06704
Interior Construction Supplies	12-D Cedar Street		East Hartford	CT	06108
J&P Construction Corporation	224 Krug Road		Preston	CT	06365
Jacobs Building & Remodeling	90 Mountain View Avenue		Bristol	CT	06010
JDS Unlimited	129 Monument Street		Groton	CT	06340
JJS Universal Construction Company	63 Airport Road		Dudley	MA	01571



**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
REPORTING PERIOD: OCTOBER 1, 2010 THRU MARCH 31, 2011

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
John Filloramo Construction Company	82 Glendale Road		South Windsor	CT	06074
John L Simpson Company, Inc.	43 Huntington Road		Bridgeport	CT	06608
Johnson Controls	21 Griffin Road		Windsor	CT	06095
JS Sullivan Cable Company, Inc.	123 East Aurora Street		Waterbury	CT	06708
K&M Fire Protection Services	8 West Street		Plantsville	CT	06479
KBE Building Corporation, Inc.	30 Batterson Park Road		Farmington	CT	06032
Keefe, T. and Son, LLC	1790 Little Meadow Road		Guilford	CT	06437
Kennedy Electrical Contractors, Inc.	35 Lovers Lane		Torrington	CT	06790
Kennedy Sheet Metal Company, Inc.	58 Stockhouse Road		Bozrah	CT	06334
KMK Insulation, Inc.	1907 Hartford Turnpike		North Haven	CT	06473
Kronenberg & Sons Restoration, Inc.	175 Industrial Park Road		Middletown	CT	06457
L&D Caulking and Waterproofing	431 Belchertown Road		Ware	MA	01082
L&L Mechanical, LLC	28 Pie Hill Road		Goshen	CT	06756
L&R Plumbing & Heating, LLC	224 Long Swamp Road		Wolcott	CT	06616
L.P. Electric	12 Laurence Street		Vernon	CT	06066
Lab Furniture Installation & Sales	11 River Street		Middleton	MA	01949
Lavallee Overhead Door, Inc.	151 Strong Road		South Windsor	CT	06074
Lefevre, Bob	69 Quinebaug Drive		Danielson	CT	06239
LePine Electric	436 Lisbon Road		Canterbury	CT	06331
Leppert Nutmeg, Inc.	113 West Dudley Town Road		Bloomfield	CT	06002
Liberty Landscapes, LLC		PO Box 72	South Windsor	CT	06074
Lindon Tree Service, Inc.	38 Providence Pike		Eastford	CT	06242
Lucky Carpet, LLC	250 Foster Road		South Windsor	CT	06074
M&J Sheet Metal, LLC	41 Meadow Street		Winsted	CT	06098
M3P Enterprises, LLC	42 Williams Street		Meriden	CT	06450
Macchi Engineers, LLC	44 Gillett Street		Hartford	CT	06105
Mack Fire Protection, LLC	15 Industrial Park Place		Middletown	CT	06457
MacKenzie Restoration Company, LLC	39 Michaela Circle		Fairfield	CT	06824
Marchuks Welding, LLC	267 Orcuttville Road		Stafford Springs	CT	06076
Marec Heating & A/C, Inc.	12 Jansen Court		West Hartford	CT	06110
Marino Crane	2163 Airways Boulevard		Memphis	TN	38114
Masotti Electric, LLC	277 Captain Lewis Drive		Southington	CT	06489
Mather Corporation	21 West Dudley Town Road		Bloomfield	CT	06002
MCM Acoustics, LLC	151 New Park Avenue		Hartford	CT	06106
Mecho Insulation Co.		PO Box 435	Montville	CT	06353
Medeiros Hydro Seeding and Landscaping	165 Burnstead Road		Monson	MA	01057
Merola Enterprises, LLC (dba Millard Enterprises)	239A Shunpike Road		Cromwell	CT	06416
Midstate Site Development, LLC		PO Box 654	Canton	CT	06019

**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
REPORTING PERIOD: OCTOBER 1, 2010 THRU MARCH 31, 2011

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
Millennium Builders, Inc.	50 Inwood Road		Rocky Hill	CT	06067
MM Systems Corporation	50 MM Way	PO BOX 98	Pendergrass	GA	30567
Modern Mechanical Systems, Inc.	519 Cooke Street	PO Box 492	Farmington	CT	06032
Mohegan Painting Company, LLC	14 Mile River Road		Old Lyme	CT	06371
Montagno Construction, Inc.	75 Progress Lane		Waterbury	CT	06705
Mota Brothers Asbestos, LLC	68 New Park Avenue		Hartford	CT	06106
Nationwide Moving & Storage	100 Peters Road		Bloomfield	CT	06002
Network Interiors, Inc.		PO Box 7074	Plainville	CT	06062
New Britain Plumbing Supply Co	211 John Downey Drive		New Britain	CT	06050-0007
New Cambridge Painting Company, LLC	164 Fleetwood Road		Bristol	CT	06010
New England Masonry & Roofing Company	146 Sheridan Drive		Naugatuck	CT	06770
New Haven Mechanical Contractors, Inc.		PO Box 40	Northford	CT	06472
Noble Construction	216 Essex Plaza		Essex	CT	06426
Northeast Lightning Protection, LLC	10 Peters Road		Bloomfield	CT	06002
Northeast Tradesmen, Inc.	250 Pomeroy Avenue, Suite 102		Meriden	CT	06450
Northeastern Engineering & Contracting Company	115 Daleville School Road		Willington	CT	06279
Northern Construction Services, LLC	1516 Park Street	PO Box 900	Palmer	MA	01069
Northern General Contractors	1516 Park Street	PO Box 900	Palmer	MA	01069
Nutmeg Companies, Inc., The	31 New London Turnpike		Norwich	CT	06360
O&G Industries, Inc.	112 Wall Street		Torrington	CT	06790
OnSite Services, Inc.	23 Meadow Road		Clinton	CT	06413
Orlando Annulli & Sons, Inc.	147 Hale Road	PO Box 610	Manchester	CT	06045
Otis Elevator Company	242 Pitkin Street		East Hartford	CT	06002
Overhead Door Company of Norwich, Inc.	88 Route 2A		Preston	CT	06365
P&D Mechanical, Inc.	627 Old Hartford Road		Colchester	CT	06415
P&P Group, LLC (dba The Atlas Companies)	30 North East Industrial Road		Branford	CT	06405
Paquette Electric Company, Inc.	368 Killingly Road	PO Box 159	Pomfret Center	CT	06259
Pepin Steel & Iron Works, LLC	47 Waterbury Road		Bristol	CT	06010
Perfectly Plumb, LLC	215 Pickeral Lake Road		Colchester	CT	06415
Performance Plumbing & Heating, LLC	609 Migeon Avenue		Torrington	CT	06790
Pierre Marchand architectural Millwork, LLC	154 North Main Street		Norwich	CT	06360
Pike Falls Painting	16 Hamilton Street		West Haven	CT	06516
Pioneer Valley Concrete Service, Inc.	66 North Chicopee Street		Chicopee	MA	01020
Plumbing Solutions, LLC	27 Fulton Street		New Haven	CT	06512
Plymouth Glass & Mirror, Inc.	142 East Main Street		Thomaston	CT	06787
Pomerantz Contracting	64 Jordan Lane		North Windham	CT	06256
PPC Enterprises, LLC	71 Debbie Drive		Meriden	CT	06451
Prime Electric, LLC	33 Wisconsin Avenue, Suite 101		Norwich	CT	06360

**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
REPORTING PERIOD: OCTOBER 1, 2010 THRU MARCH 31, 2011

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
Pro Cut, Inc.	26 South Canal Street		Plainville	CT	06062
Professional Drywall Construction	2119 Riverdale Street		West Springfield	MA	01089
Professional Electrical Contractors of CT, Inc.	145 Robert Jackson Way		Plainville	CT	06062
QSR Steel Corporation, LLC	300 Locust Street		Hartford	CT	06114
Quaker Corporation, The		PO Box 368	Cheshire	CT	06410
Quality Carpet Installations	23 Gaylord Mountain Road		Bethany	CT	06524
R&C Electric, LLC	31 Loomis Lane		Bristol	CT	06010
R&R Window Contractors, Inc.	1 Arthur Street		Easthampton	MA	01027
R&S Construction Services, Inc.	2072 Straits Turnpike		Middlebury	CT	06762
Ramco Environmental, Inc.	253 Locust Street		Hartford	CT	06114
Ravizza Brothers, Inc.	158 Sebethe Drive		Cromwell	CT	06416
Red Technologies, LLC	10 Northwood Drive		Bloomfield	CT	06002
Rest Tech, Inc. (bought out by Pike Falls Painting)	16 Hamilton Street		West Haven	CT	06516
Richards Corporation	72 North Harwinton Avenue		Terryville	CT	06786
Riky & Sons Foundations	569 Center Street, Apt 5		Wallingford	CT	06494
Roman Electric, LLC	23 Kozley Road		Tolland	CT	06084
Roweski Painting, LLC	182 Edgewood Street		Hartford	CT	06112
Safety Marking, Inc.	460 Bostwick Avenue		Bridgeport	CT	06605
Santoro, Inc.	207 Stamm Road		Newington	CT	06111
Sarazin General Contractors, Inc.	6 Commerce Drive	PO BOX 170	North Windham	CT	06256
Scaffold Contractors, Inc.	75 Hockanum Boulevard, Suite 2412		Vernon	CT	06066
Schneider Electric	300 Brickstone Square, Suite 101		Andover	MA	01810
Sea & Shore Contracting	11 Randolph Road		Randolph	MA	02368
Shay, Edward Subcontracting	80 Scotland Road		Windham	CT	06280
Shea's Electrical, Inc.	211 Hartford Turnpike		Tolland	CT	06084
Shepard Steel Company, Inc.	110 Meadow Street		Hartford	CT	06114
Sign Stop	1002 Yale Avenue		Wallingford	CT	06492
Silktown Roofing, Inc.	13 Pleasant Street		Manchester	CT	06040
Simplex Grinnell LP	80 Clark Drive, Unit 5-D		East Berlin	CT	06023
Skanska USA Building, Inc.	545 Long Wharf Drive, 6th Floor		New Haven	CT	06511
Solidus	1084 Cromwell Avenue		Rocky Hill	CT	06067
Soucy & Sons, Inc.	50 Latham Road		Willington	CT	06279
SRI Fire Sprinkler, LLC	1060 Central Avenue		Albany	NY	12205
Stairs & Steel, LLC		PO Box 161	Vernon	CT	06066
State-Wide Electric, Inc.	109 Commerce Street		Glastonbury	CT	06033
STC Building & Property Management, LLC	95 Jinny Hill Road		Cheshire	CT	06410
Stejo Construction Company, Inc.	48 Stock Place		Manchester	CT	06042
Stonington Services, LLC (dba Brand Fire Services)	115B Leonard Drive		Groton	CT	06340

**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
REPORTING PERIOD: OCTOBER 1, 2010 THRU MARCH 31, 2011

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
Stratton Construction	11 North Road		Waterford	CT	06385
Structure Enterprises	755 Bread & Milk Street		Coventry	CT	06238
Suburban Sanitation		PO BOX 307	Canton	CT	06019
Summit Crane Company, The		PO Box 9037	Bristol	CT	06011
T&M Electric, Inc.	130 East Housatonic Court		Dalton	MA	01226
T&T Electrical Contractors, Inc.	420 Windsor Street		Hartford	CT	06120
Tabacco & Son Builders, Inc.	45 Stafford Avenue		Bristol	CT	06010
Talevi Enterprises, Inc.		PO Box 461	Berlin	CT	06037
Tierney Electric, Inc.		PO Box 131	Cobalt	CT	06414
Tilcon Connecticut, Inc.	301 Hartford Avenue		Newington	CT	06111
Titan Mechanical Contractors, Inc.	150 Batson Drive		Manchester	CT	06042
Tradesource, Inc.	205 Hallene Road, Units 211-212		Warwick	RI	02886
Trinity Masons, Inc.		PO Box 282	Somers	CT	06071
Triple "S" Services, LLC	70 Canton Road		West Simsbury	CT	06092
Tri-State Drywall Systems, LLC	45 Gilson Road		Nashua	NH	03062
Tulloch Fence & Building, LLC	21 Hadden Street		Bristol	CT	06010
Ulasik Sheetmetal	12 Stock House Road		Bozrah	CT	06334
United Glass & Mirror Company, Ltd.	15 Stock House Road		Bozrah	CT	06334
United Steel, Inc.	164 School Street		East Hartford	CT	06108
Urethane Systems Northeast	453 North Main Street		Southington	CT	06489
Vandzant, LLC	732 Plainfield Road		Griswold	CT	06351
Veterans Scaffold Services, Inc.		PO Box 174	Blackstone	MA	01504
Walch & Company, Inc.	35 North River Road		Tolland	CT	06084
Walker Crane & Rigging Corporation	50 Farmington Valley Drive		Plainville	CT	06062
Wall, Bob and Associates, Inc.	129 Highland Ave		Cheshire	CT	06410
Wings Testing & Balancing Co.	Route 139	PO Box 1100	Branford	CT	06405
Witch Enterprises, Inc.	467 Silver Street	PO Box 673	Agawam	MA	01001
Wood & Wood Garage Doors, Inc.	420 North Main Street		Southington	CT	06489

**ACTIONS AND INITIATIVES BY THE UNIVERSITY  
TO COOPERATE WITH THE DEPARTMENT OF LABOR IN THE  
ENFORCEMENT OF THE STATE'S PREVAILING WAGE LAWS**

Under state statute, the Department of Labor is the only state agency authorized to enforce the state's prevailing wage laws. The University of Connecticut has put into place measures that help the Department of Labor with enforcement of the law. Furthermore, the University is required under Public Act 02-03 of the May 9, 2002 Special Session to specifically consider labor law compliance by contractors and their subcontractors as part of the Pre-Qualification process and prior to the award of any contract.

The University requests, as part of the Pre-Qualification process, the disclosure of information regarding each applicant's record of compliance with the state's prevailing wage and payment laws. The list of applicants is compared to the Debarment List, (Attachment C), issued by the State of Connecticut Department of Labor, which also contains a list of persons or firms who have been debarred under the Federal Davis Bacon Act. The Debarment List is also circulated to all project managers and construction administrators for their reference.

The list of applicants is submitted to the Department of Labor as a cross check. The information supplied by the applicant is compared to the information supplied by the Department of Labor. Any discrepancies are verified with the Department of Labor and, if warranted, a letter is sent to the contractor to explain the specific circumstances of any violation and what measures they have instituted to prevent a future reoccurrence.

All contracts between the University and its contractors include provisions that require the contractor and its subcontractors to abide by all relevant employment laws, including the prevailing wage statutes. On some projects, the University has required, contractually, that the General Contractor or Construction Manager dedicate staff to monitor its subcontractors' compliance with prevailing wage statutes.

Throughout the duration of the construction project, as part of all regularly scheduled job meetings, the contractor (and through the contractor the subcontractors) are reminded by the project managers and construction administrators of project safety, schedule, CHRO requirements, wage rates and other topics.

Periodically, University staff members from Capital Project & Contract Administration, the Purchasing Department, and Architectural and Engineering Services meet with the Department of Labor's Administrative and Field Representatives from the Wage and Workplace Standards Division, for the purpose of maintaining the procedures used for tracking Certified Payrolls or sharing information relative to the process.



September 13, 2010

### State of Connecticut Debarment List Pursuant to Section 31-53a(a)

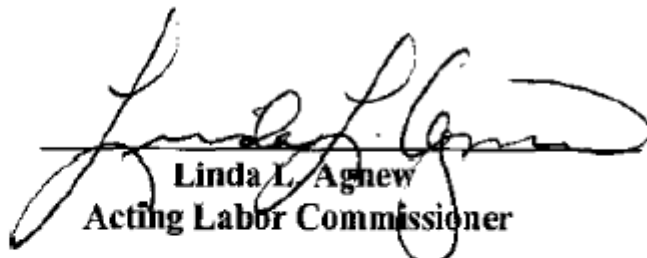
No contract shall be awarded to any person or firms appearing on this list or to any firm, corporation, partnership, or association in which such persons or firms have an interest until the expiration date listed has elapsed.

Please be informed that the following persons or firms have been debarred in accordance with Section 31-53a of the Connecticut General Statutes, as amended:

#### DEBARMENT LIST

<b>Name of Person or Firm</b>	<b>Expiration Date</b>
Hargrove Environmental, Stratford	December 12, 2011
- Clyde Hargrove, an individual	December 12, 2011
City Electrical Enterprises LLC , Hartford	December 22, 2011
- Lloyd Thompson, an individual	December 22, 2011
Gatollari Enterprises Inc., Rochelle Park, NJ	Indefinite
- Robert Gatollari, an individual	Indefinite
Pine Ridge Enterprises Inc., Wallingford	August 7, 2013
- Anai Kijewski, an individual	August 7, 2013
- Chester Kijewski, an individual	August 7, 2013

Any inquiries regarding this list should be directed to the Wage and Workplace Standards Division (860) 263-



**Linda L. Agnew**  
**Acting Labor Commissioner**



**M. Jodi Reil**  
6790. Governor

200 Polly Brook Boulevard, Wethersfield, CT 06109-1114  
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**Modified: August 16, 2010**

## DEBARMENT LIST

The following persons or firms have been debarred under the Federal Davis Bacon Act and are to be included on this list pursuant to Connecticut General Statutes, Section 31-53a.

<b><i>NAME OF FIRM OR INDIVIDUAL</i></b>	<b><i>CITY, STATE</i></b>	<b><i>EXPIRATION DATE</i></b>
Central Valley Construction	Stockton, CA	August 16, 2012
- Arnaiz, Tony, an individual	Stockton, CA	August 16, 2012
Haggard's Inc.	Charlevoix, MI	June 1, 2011
d/b/a Haggard's Plumbing & Heating	Charlevoix, MI	June 1, 2011
Morrison, Don J., an individual	Niehart, MT	Indefinite
Oz, Roni, an individual	Plantation, FL	Indefinite
Rainbow Electric Company	Great Falls, MT	Indefinite



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